# 4. Autonomous driving: Longitudinal control

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#### Recap

- Trajectory tracking
  - State, dynamics, action, policy
  - Performance of policy
  - Simulation
- n-D dynamical system & control
  - Modeling
  - Objective
  - Theory\*

#### Outline

- Longitudinal control
  - Dynamical equation
  - State-space model
- Synthesis with tracking & following algorithms
  - Trajectory tracking
  - Vehicle following
- Additional issues
  - Linearization
  - Saturation
  - Noise & perturbation
  - Model identification
  - Human driver behavior

#### Longitudinal & lateral control

#### **Longitudinal control**

- Device: throttle, brake, (clutch)
- Action: push/release pedals
- Influences speed and position



#### **Lateral control**

- Device: steering wheel
- Action: turn steering wheel
- Influences angular velocity and direction



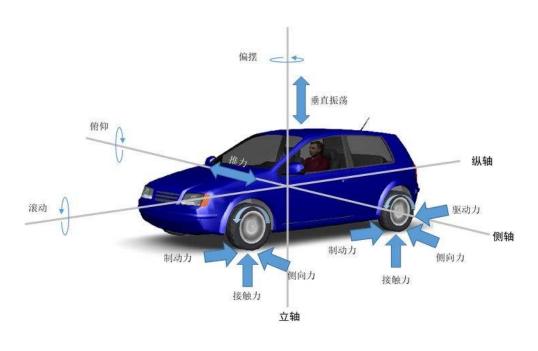
#### Control problem

#### Parameters

- Vehicle mass, wheel radius, gear ratio, etc.
- Air drag coefficient, ground friction coefficient, etc.
- Decision variable
  - Torque generated by the engine
  - Torque generated by the brake
- Constraints
  - Vehicle dynamics
- Objective
  - Make the vehicle move as you want
- Reference:
  - Attia, R., Orjuela, R., & Basset, M. (2014). Combined longitudinal and lateral control for automated vehicle guidance. *Vehicle System Dynamics*, *52*(2), 261-279.

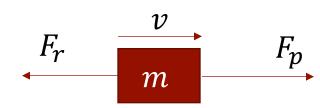
## Vehicle dynamics

What automobile engineers do in the industry...

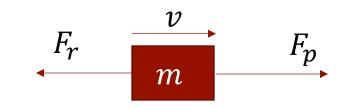




What we do in this course...



- Vehicle mass = m [kg]
- Vehicle speed = v [m/s]
- Propelling force =  $F_p$  [N]



- Aggregate resisting force =  $F_r$  [N], i.e., the sum of
  - Aerodynamic force  $F_a = 1/2\rho C_d v^2$
  - Gravitational force  $F_g = mg \sin \theta$
  - Rolling resistance force  $F_{rr} = C_r mg \cos \theta$
- Dynamic equation

$$m\dot{v} = F_p - F_r$$

where  $\dot{v}$  is the time-derivative of v, i.e., the acceleration.

## **Propelling dynamics**

#### Relevant quantities:

- Moment of inertia of wheel =  $I_w$
- Rotational speed of wheel =  $\omega$
- Longitudinal force from the ground =  $F_l$
- Radius of wheel = R
- Traction torque =  $T_c$
- Brake torque =  $T_b$

#### Newton's second law for wheel (simplified):

$$I_w \dot{\omega} = -F_l R + T_c - T_b.$$

## **Propelling dynamics**

#### **Assumptions:**

non-slip rolling:

$$v = R\omega$$
 (angular speed to linear speed)

• flat ground:  $\theta = 0$ 

$$F_p = F_l$$
 (no impact due to gravity)

no power transmission losses

$$\omega = R_g \omega_e$$
 ( $R_g$  = gearbox ratio)

$$T_e = R_g T_c$$
 ( $T_e$  = engine torque)

Thus, wheel dynamics becomes:

$$\frac{I_w}{R}\dot{v} = -F_pR + \frac{T_e}{R_g} - T_b.$$

Combining

$$m\dot{v} = F_p - F_r$$

$$\frac{I_w}{R}\dot{v} = -F_pR + \frac{T_e}{R_g} - T_b$$

leads to

$$\frac{(mR^2 + I_w)R_g}{R}\dot{v} = T_e - R_g T_b - R_g R F_r.$$

Longitudinal dynamics for control design.

• Let 
$$M_t = \frac{(mR^2 + I_w)R_g}{R}$$
, we have 
$$\dot{v} = \frac{1}{M_t} (T_e - R_g T_b - R_g R F_r).$$
 variable input

Recall resistant force

$$F_r = \frac{1}{2}\rho C_d v^2 + C_r mg.$$

Thus, we have

$$\dot{v} = \frac{1}{M_t} \left( T_e - R_g T_b - R_g R \left( \frac{1}{2} \rho C_d v^2 + C_r mg \right) \right) = -\frac{\rho C_d R_g R}{2M_t} v^2 + \frac{T_e - R_g T_b}{M_t} - \frac{C_r mg R_g R}{M_t}.$$

- That is, the longitudinal dynamics takes the form  $\dot{v} = -av^2 + (b_1T_e b_2T_b) c.$
- Nonlinear dynamics due to the  $v^2$  term.

$$\dot{v} = -av^2 + (b_1T_e - b_2T_b) - c.$$

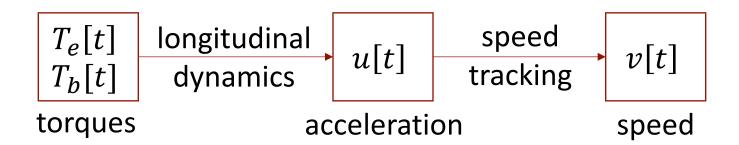
#### Main messages

- We can tune the speed by playing with the torques
- We can consider the total torque

$$T = b_1 T_e - b_2 T_b, \qquad T_e \ge 0, \qquad T_b \ge 0.$$

- One T is selected, we translate it to  $T_e$  or  $T_b$ .
- Note that either  $T_e=0$  or  $T_b=0$ .  $\dot{v}=-av^2+T-c$ .
- We can reformulate the longitudinal dynamics in the standard state-space representation.

- Let' begin with the simpler speed tracking problem.
- That is, we want  $\lim_{t\to\infty}v[t]=\bar{v}$ .
- Recall that in lecture 2, we discussed how to select acceleration u[t] according to speed v[t].
- With longitudinal dynamics involved here, we further need to relate u[t] to the torques  $T_e[t]$  and  $T_b[t]$ .



- Recall our objective: make the actual speed v(t) track the reference speed  $\bar{v}$ .
- The state is essentially speed v(t):

$$\dot{v} = -av^2 + T - c.$$

 However, a more convenient representation is the tracking error

$$e(t) = \bar{v} - v(t).$$

- Since  $v = \bar{v} e$ , we have  $\dot{v} = -\dot{e}$  and thus  $-\dot{e} = -a(\bar{v} e)^2 + T c$   $= -ae^2 + 2a\bar{v}e + T (a\bar{v}^2 + c)$ .
- Let's use the standard notation x and u.

$$x = e, u = T$$
.

- State: tracking error  $x(t) \in \mathbb{R}$ .
- Control input: "total torque"  $u(t) \in \mathbb{R}$ .
- Dynamical equation

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c).$$

• Objective: find a policy  $\mu$ :  $\mathbb{R} \to \mathbb{R}$  such that

$$\lim_{t \to \infty} x(t) = 0.$$
 (speed tracking)

 One way is to linearize the model in the neighborhood of the origin:

$$\dot{x} \approx -2a\bar{v}x - u + (a\bar{v}^2 + c).$$

• Then, proceed as if we had a linear system.

- Linearization may or may not work.
- A more reliable way is to design a controller based on the nonlinear model.
- I claim that the following policy can achieve the above:  $\mu(x) = ax^2 + (1 2a\bar{v})x + (a\bar{v}^2 + c).$
- Idea of this policy: transfer the nonlinear system to a linear one.
- Total torque given by  $u = \mu(x)$ .
- Eventually need to translate u back to  $T_e$  and  $T_b$ .
- Why will this controller work?

#### Proof of convergence

Plug the controller

$$\mu(x) = ax^2 + (1 - 2a\bar{v})x + (a\bar{v}^2 + c)$$

into the dynamic equation

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c)$$
:

we have

$$\dot{x} = ax^2 - 2a\bar{v}x - (ax^2 + (1 - 2a\bar{v})x + (a\bar{v}^2 + c)) + (a\bar{v}^2 + c) = -x.$$

That is, the controlled dynamics is

$$\dot{x} = -x$$
.

- This is an LTI system that converges!
- Don't confuse the above with linearization...

## Interpretation of policy

$$\mu(x) = ax^2 + (1 - 2a\bar{v})x + (a\bar{v}^2 + c)$$

- When tracking error x is large, we need to increase torque u.
- When reference speed  $\bar{v}$  is high, we need to apply a higher torque u.
- When (nominal) resisting force  $(a\bar{v}^2 + c)$  is large, we need to apply higher u.
- Why this particular form of controller? (Not required in this course.)
- $\mu(0) = a\bar{v}^2 + c$ , which means a non-zero torque is needed to maintain the reference speed.

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## Trajectory tracking



## Trajectory tracking

#### Data

- Vehicle parameters (what are they?)
- Reference trajectory  $\bar{x}(t)$  for  $t \geq 0$
- Decision variable
  - Engine & brake torques
  - Equivalently, the total torque
  - Intermediate variable: acceleration

#### Objective

• Make the actual position x(t) asymptotically converge to the reference position  $\bar{x}(t)$ , i.e., "tracking"

## Trajectory tracking

Step 1: use acceleration as decision variable

$$\begin{bmatrix} x[t+1] \\ v[t+1] \end{bmatrix} = \begin{bmatrix} 1 & \delta \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x[t] \\ v[t] \end{bmatrix} + \begin{bmatrix} 0 \\ \delta \end{bmatrix} u[t].$$

Consider linear controller

$$u = \mu(x, v) = -k_1(x[t] - \bar{x}[t]) - k_2(v[t] - \bar{v}).$$

Step 2: select total torque to attain desired acceleration:

$$\dot{v} = -av^2 + T - c = u$$

$$\Rightarrow T = u + av^2 + c.$$

Step 3: translate T to  $T_e$  and  $T_b$  (recall  $T=b_1T_e-b_2T_b$ )

- If T > 0, then  $T_e = T/b_1$  and  $T_b = 0$ .
- If T < 0, then  $T_e = 0$  and  $T_b = -T/b_2$ .



- Data
  - Vehicle parameters
  - Real-time position  $x_{lead}(t)$  and speed  $v_{lead}(t)$  of the leading vehicle
- Decision variables: Engine/brake torque
- Constraints
  - Vehicle dynamics
  - Safety constraints (no collision)
- Objective: Maintain a steady-state distance d to the leading vehicle, i.e., "following" (simplistic objective)

https://www.bilibili.com/video/BV13X4y1M7L4?spm\_id\_from=333.337.search-card.all.click

- Differences w.r.t. trajectory tracking
  - No pre-defined position/speed profile
  - Data received in a real-time manner rather than in a one-time manner at the beginning.
- Again, we consider a DT setting with state  $\left[x[t],v[t]\right]^{T}$ .
- This time we consider x[t] to be the relative distance to leading vehicle. ego v[t]  $v_{lead}[t]$
- Illustration:
- Dynamic equation  $x[t+1] = x[t] + v_{lead}[t]\delta v[t]\delta.$
- We want x to be close to the reference distance d

- We can approximate the vehicle following problem as a trajectory tracking problem.
- Suppose trajectory of leading vehicle  $x_{lead}[0], x_{lead}[1], x_{lead}[2], \dots$
- We want the ego vehicle (i.e., the one we control) to track the following trajectory:

$$x_{lead}[0] - d$$
,  $x_{lead}[1] - d$ ,  $x_{lead}[2] - d$ , ...

The reference speed will be

$$v_{lead}[0], v_{lead}[1], v_{lead}[2], \dots$$

The transformed state will be

$$\begin{bmatrix} \tilde{x}[t] \\ \tilde{v}[t] \end{bmatrix} = \begin{bmatrix} x[t] - x_{lead}[t] + d \\ v[t] - v_{lead}[t] \end{bmatrix}.$$

 The dynamics is more complex than (uniform) trajectory tracking:

$$\begin{split} & \begin{bmatrix} \tilde{x}[t+1] \\ \tilde{v}[t+1] \end{bmatrix} = \begin{bmatrix} x[t+1] - x_{lead}[t+1] + d \\ v[t+1] - v_{lead}[t+1] \end{bmatrix} \\ & = \begin{bmatrix} x[t] + v[t]\delta - x_{lead}[t] - v_{lead}[t]\delta + d \\ v[t] + u[t]\delta - v_{lead}[t] - u_{lead}[t] \end{bmatrix} \\ & = \begin{bmatrix} \tilde{x}[t] + \tilde{v}[t]\delta \\ \tilde{x}[t] + \tilde{v}[t]\delta \end{bmatrix}. \end{split}$$

- Hence, vehicle following is analogous to trajectory tracking, except that the control input is shifted by  $u_{lead}[t]$ .
- If we set  $\tilde{u}[t] = u[t] u_{lead}[t]$ , the tilde system appears to be a trajectory tracking problem.

- Then we can select the control to the tilde system to be  $\tilde{u} = \begin{bmatrix} k_1 & k_2 \end{bmatrix} \begin{bmatrix} \tilde{x} \\ \tilde{v} \end{bmatrix}$  (based on the eigenvalue argument.)
- The actual control policy will be

$$\mu(\tilde{x}, \tilde{v}; t) = \begin{bmatrix} k_1 & k_2 \end{bmatrix} \begin{bmatrix} \tilde{x} \\ \tilde{v} \end{bmatrix} + u_{lead}[t].$$

- Note that the controller is time-varying!
- The reason is that the reference trajectory results from motion of the leading vehicle.
- We cannot perfectly predict trajectory of the leading vehicle.
- Instead, we can only observe it in real time.
- Hence, we need to adjust the controller in real time

- Alternative objective for vehicle following:
- The distance to leading vehicle satisfying  $x[t] = d + \beta v[t]$ .
- That is, longer distance on freeways than on streets.





- How to evaluate a vehicle following policy?
- Note: performance depends leading vehicle!
- Typical evaluation methodology:
- 1. Assume uniform motion for leading vehicle.
- 2. Assume uniform motion with noise for leading vehicle.
- 3. Assume bounded speed range for leading vehicle.
- 4. Assume bounded acceleration for leading vehicle.
- 5. Assume human driver model for leading vehicle.

## Mini project 1

 Step 1: search the internet and make your best guess for the parameters involved in

$$\dot{v} = -\frac{\rho C_d}{2M_t} v^2 + \frac{T_e - R_g T_b}{M_t} - \frac{C_r mg}{M_t},$$

$$M_t = \frac{(mR^2 + I_w)R_g}{R}.$$

 Step 2a: linearized the system at the origin and design that tracks a uniform trajectory in the face of additive Gaussian noise

$$v[t+1] = v[t] + u[t]\delta + w[t].$$

- Step 2b: use the nonlinear model to design a controller.
- Step 3: plot the reference and actual trajectories.

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#### Linearization

Recall that we had a model in the form

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c)$$

- This is a nonlinear ODE, which may not be easy to deal with, if the right-hand side becomes more complex.
- A common technique is to linearize the equation, i.e. applying the idea of Taylor expansion.
- Suppose that the relative speed x is not far away from the equilibrium speed 0.

$$\dot{x} \approx \frac{\partial}{\partial x} [ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c)]_{\substack{x=0 \\ u=0}} x 
+ \frac{\partial}{\partial u} [ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c)]_{\substack{x=0 \\ u=0}} u + (a\bar{v}^2 + c) 
= -2a\bar{v}x - u + (a\bar{v}^2 + c)$$

Thus, the RHS is linear in control input and state!

#### Linearization

- Why would we linearize?
- Recall that the solution to

$$\dot{x} = -kx$$

is

$$x(t) = x(0) \exp(-kt).$$

- That is, the state exponentially converges to the equilibrium, which happens to be the origin in the above ODE.
- The theory of dealing with a linear dynamical system  $\dot{x} = ax + bu$

is very well developed and validated in practice.

• Therefore, in many cases, linearization suffices; no nonlinear control has to be done...

#### Saturation

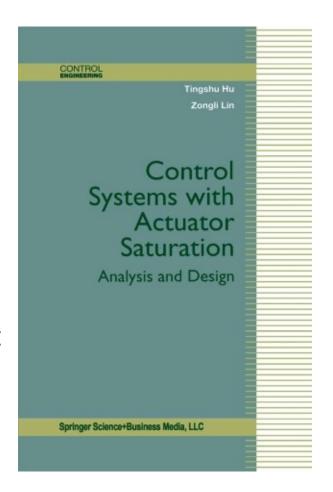
 Recall that the torque (control input) for speed tracking is given by

$$\mu(x) = ax^2 + (1 - 2a\bar{v})x + (a\bar{v}^2 + c).$$

- What if the RHS in the above exceeds the maximally attainable torque?
- The attainable torque is upper-bounded by the physics of the engine.
- Let  $\overline{T}_e$  be the upper bound for engine torque.
- If  $\mu(x) \leq b_1 \overline{T}_e$ , we are good.
- If  $\mu(x) > b_1 \overline{T}_e$ , we say the controller to be saturated.
- That is, the machine cannot implement our command.

#### Saturation

- Saturation can be very tricky in control synthesis.
- At least, saturation slows down the system's convergence.
- Sometimes, saturation can even destabilize a system.
- Two approaches to addressing this problem
  - Lazy approach: design a controller that never reaches its limits
  - Systematic approach: incorporate the saturation nonlinearity in the design process (reachability, stabilizability, etc.)



Recall the dynamic equation is

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c)$$

- The above assumes that the model perfectly matches reality.
- But this never happens. What should we do?
- A random noise w can be added to the RHS!

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c) + w$$

- The noise may result from
  - Modeling error
  - Unmodeled dynamics
  - Environmental perturbations
  - Observation & actuation errors

$$\dot{x} = ax^2 - 2a\bar{v}x - u + (a\bar{v}^2 + c) + w$$

- Control synthesis is more challenging, since everything becomes random.
- Essentially, we are no longer able to exactly tune x(t), since the relative speed now becomes a stochastic process  $\{X(t); t \geq 0\}$ !
- Instead, we can tune its probability distribution!
- That is, we can specify the cumulative distribution function (CDF)  $F_X(x,t)$ .
- We can no longer ensure that the speed exactly tracks the reference speed profile.

- Instead, we can only say something as follows:
  - At time t, the probability that the actual speed lies in the interval  $(\bar{v}(t) \delta, \bar{v}(t) + \delta]$  is given by

$$\Pr\{V(t) \in (\bar{v}(t) - \delta, \bar{v}(t) + \delta]\}$$
  
=  $F_V(\bar{v}(t) + \delta, t) - F_V(\bar{v}(t) - \delta, t)$ 

• The variance of the tracking error converges to 0, i.e.,

$$\lim_{t\to\infty} \mathbf{E}\left[\left(V(t)-\bar{v}(t)\right)^2\right]=0.$$

- In summary, when there is random noise,
  - The actual speed may or may not track the reference speed well;
  - But you can tune your controller so that the actual speed is more likely to track well than not.

Formulation of noise is **significantly simpler** in DT than in CT.

• DT: add a noise term to the dynamical equation:

$$x[t+1] = x[t] + (ax^{2}[t] - 2a\bar{v}x[t] - u[t] + (a\bar{v}^{2} + c))\delta + w[t].$$

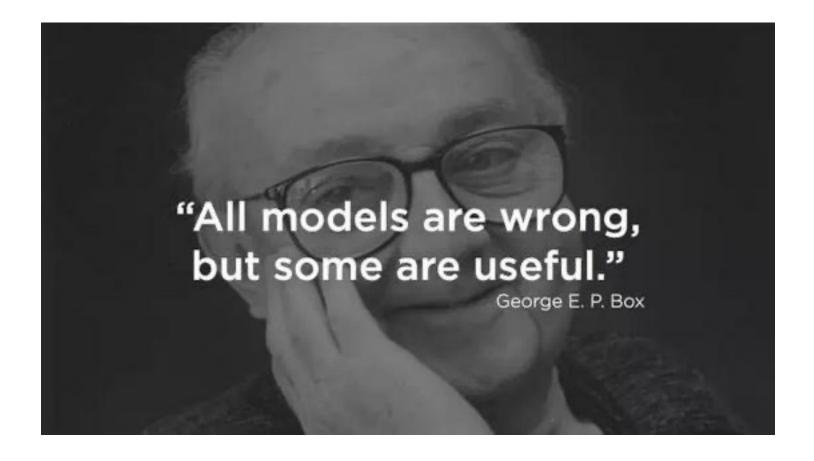
- w[t] follows, say, Gaussian distribution.
- Also, w[0], w[1]. w[2], ... are. independent and identically distributed (IID).
- Also easy to simulate in Python/MATLAB/C.
- [Not required] CT: w(t) is a Wiener process...

Recall the dynamic equation

$$\dot{v} = \frac{1}{M_t} \left( T_e - R_g T_b - R_g R F_r \right)$$

- In practice, how to obtain the parameters?
- An even more disturbing questions is: how do you know the form of the model is correct?
- This process is called model identification.
  - Objective 1: determine the form of the dynamic equation (linear? nonlinear?...)
  - Objective 2: determine the parameters of the dynamic equation.

Yet another piece of philosophy...



- Classical approach: measurements & experiments
- Vehicle mass = m [kg]
- Vehicle speed = v [m/s]
- Propelling force =  $F_p$  [N]
- Aggregate resisting force =  $F_r$  [N]
  - Aerodynamic force  $F_a = 1/2\rho C_d v^2$







- Modern (fancier) approach: machine learning
- Form of dynamic equation

$$\dot{x} = f(x)$$

- We can conduct some simulations or experiments and record x(t) and  $\dot{x}(t)$  for  $t \in [0,T]$
- Then, we use a function  $\hat{f}(x;\theta)$  to approximate the actual dynamics f(x), where  $\theta$  are parameters of the approximation function.
- A fashionable (and usually good) choice of the approximation function f(x): neural networks!
- $\bullet$   $\theta$  is determined by minimizing the error

$$\int_{t=0}^{T} \left( \dot{x}(t) - \hat{f}(x;\theta) \right)^{2} dt$$

- Estimation of parameters: we can use learning again!
- This is often reasonable, since many vehicle parameters vary over time.
  - Vehicle mass varies with passengers/freight/fuel...
  - Power varies with weather/fuel...
  - Air drag varies with weather...
- Instead of estimating the parameters at one time, we can gradually learn these parameters as the vehicle moves -> adaptive control
- Typical paradigm for adaptive control:
  - Start with a nominal controller
  - Fine-tune the nominal controller as real-time data come in

### Model identification: Online & offline

#### Offline model identification

- Dynamics and parameters that do not significantly vary over time
- Use nominal relations/values
- Everything is done before the model is used for control synthesis
- Cannot adapt to perturbations

#### Online model identification

- Estimate parameters (and sometimes even dynamics) in real time, as the vehicle moves
- Mimics the learning process of a student driver
- Knowledge is updated continuously
- Can adapt to perturbations

## Final project option 1

- Based on mini project 1.
- Controls a three-vehicle platoon.
- Addresses at least 3 out 5 problems listed above...



## Summary

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