

# VE320

## Intro to Semiconductor Devices

### RC Week3

Yucheng Huang

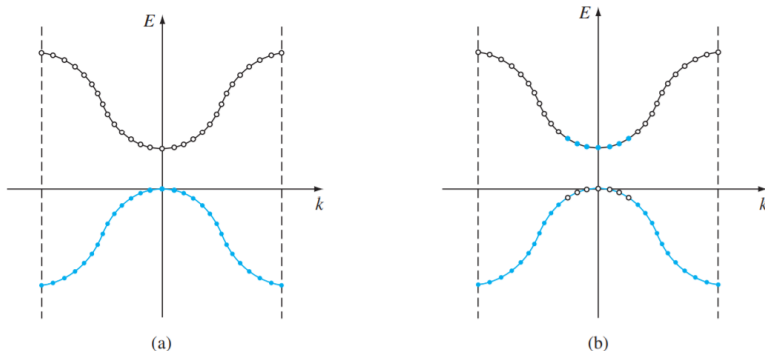
University of Michigan  
Shanghai Jiao Tong University  
Joint Institute

May 27, 2022

- 1 Quantum Theory of Solids
  - Electrical Conduction In Solids
  - Density of States Function
  
- 2 The Semiconductor in Equilibrium
  - The Semiconductor in Equilibrium
  - The Extrinsic Semiconductor

- 1 Quantum Theory of Solids
  - Electrical Conduction In Solids
  - Density of States Function
  
- 2 The Semiconductor in Equilibrium
  - The Semiconductor in Equilibrium
  - The Extrinsic Semiconductor

# Electrical Conduction In Solids



**Figure:** The  $E$  versus  $k$  diagram of the conduction and valence bands of a semiconductor

# Electrical Conduction In Solids

$$F_{\text{total}} = F_{\text{ext}} + F_{\text{int}} = ma$$

Since it is difficult to take into account all of the internal forces, we will write the equation

$$F_{\text{ext}} = m^* a$$

Relating momentum to velocity, Equation can be written as

$$\frac{1}{\hbar} \frac{dE}{dk} = \frac{p}{m} = v$$

If we now take the second derivative of  $E$  with respect to  $k$ , we have

$$\frac{d^2 E}{dk^2} = \frac{\hbar^2}{m}$$

We may rewrite Equation as

$$\frac{1}{\hbar^2} \frac{d^2 E}{dk^2} = \frac{1}{m}$$

# Exercise 1

The  $E$  versus  $k$  diagram for a particular allowed energy band is shown in Figure 1. Determine (a) the sign of the effective mass and (b) the direction of velocity for a particle at each of the four positions shown.

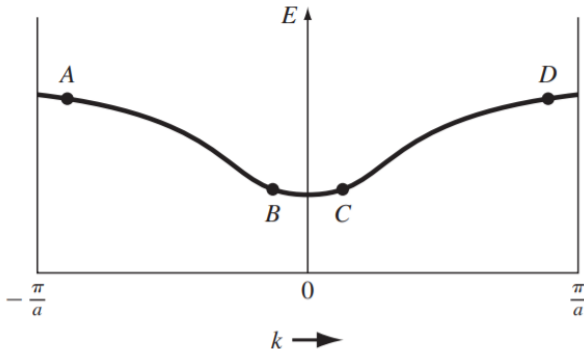


Figure: Figure for Problem 1

# Exercise 1 Solution

Points A, B:  $\frac{dE}{dk} < 0 \Rightarrow$  velocity in  $-x$  direction

Points C, D:  $\frac{dE}{dk} > 0 \Rightarrow$  velocity in  $+x$  direction

Points A, D:  $\frac{d^2E}{dk^2} < 0 \Rightarrow$  negative effective mass

Points B, C:  $\frac{d^2E}{dk^2} > 0 \Rightarrow$  positive effective mass

## Exercise 2

A simplified  $E$  versus  $k$  curve for an electron in the conduction band is given. The value of  $a$  is  $10\text{\AA}$ . Determine the relative effective mass  $m^*/m_0$ .

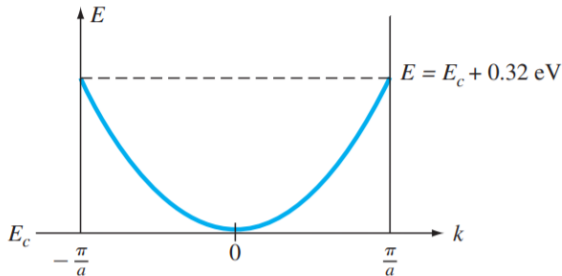


Figure: Figure for Problem 2



## Exercise 2 Solution

We have  $E - E_c = C_1 k^2$

$$\begin{aligned} & (E_c + 0.32 - E_c) (1.6 \times 10^{-19}) \\ &= C_1 \left( \frac{\pi}{10 \times 10^{-10}} \right)^2 \end{aligned}$$

so that  $C_1 = 5.1876 \times 10^{-39}$  We have

$$\begin{aligned} m^* &= \frac{\hbar^2}{2C_1} \Rightarrow \frac{m^*}{m_o} = \frac{\hbar^2}{2m_o C_1} \\ &= \frac{(1.054 \times 10^{-34})^2}{2(9.11 \times 10^{-31})(5.1876 \times 10^{-39})} \end{aligned}$$

Or

$$\frac{m^*}{m_o} = 1.175$$

- 1 Quantum Theory of Solids
  - Electrical Conduction In Solids
  - Density of States Function
  
- 2 The Semiconductor in Equilibrium
  - The Semiconductor in Equilibrium
  - The Extrinsic Semiconductor

# Density of States Function

A general expression for the density of allowed electron quantum states using the model of a free electron with mass  $m$  bounded in a three-dimensional infinite potential well:

$$g(E) = \frac{4\pi(2m)^{3/2}}{h^3} \sqrt{E}$$

The density of quantum states is a function of energy  $E$

# Density of States Function

Consider the density of states for a free electron given by Equation. Calculate the density of states per unit volume with energies between 0 and 1 eV.

The volume density of quantum states is

$$N = \int_0^{1\text{eV}} g(E) dE = \frac{4\pi(2m)^{3/2}}{h^3} \cdot \int_0^{1\text{eV}} \sqrt{E} dE$$

or

$$N = \frac{4\pi(2m)^{3/2}}{h^3} \cdot \frac{2}{3} \cdot E^{3/2}$$

The density of states is now

$$N = \frac{4\pi [2(9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \cdot \frac{2}{3} \cdot (1.6 \times 10^{-19})^{3/2} = 4.5 \times 10^{27} \text{ m}^{-3}$$

or

$$N = 4.5 \times 10^{21} \text{ states /cm}^3$$

# Extension to Semiconductors

The density of allowed electronic energy states in the conduction band:

$$g_c(E) = \frac{4\pi (2m_n^*)^{3/2}}{h^3} \sqrt{E - E_c}$$

Similarly,

$$g_v(E) = \frac{4\pi (2m_p^*)^{3/2}}{h^3} \sqrt{E_v - E}$$

# Exercise 3

Determine the number ( $\#/cm^3$ ) of quantum states in silicon between  $E_c$  and  $E_c + kT$  at  $T = 300$  K.

# Exercise 3 Solution

$$\begin{aligned}
 N &= \int_{E_c}^{E_c+kT} \frac{4\pi (2m_n^*)^{3/2}}{h^3} \sqrt{E - E_c} \cdot dE \\
 &= \frac{4\pi (2m_n^*)^{3/2}}{h^3} \cdot \frac{2}{3} \cdot (E - E_c)^{3/2} \bigg|_{E_c}^{E_c+kT} \\
 &= \frac{4\pi [2(1.08) (9.11 \times 10^{-31})]^{3/2}}{(6.625 \times 10^{-34})^3} \cdot \frac{2}{3} \cdot [(0.0259) (1.6 \times 10^{-19})]^{3/2} \\
 &= 2.12 \times 10^{25} \text{ m}^{-3}
 \end{aligned}$$

Or

$$N = 2.12 \times 10^{19} \text{ cm}^{-3}$$

# The Fermi–Dirac Probability Function

The actual number of independent ways of realizing a distribution of  $N_i$  particles in the  $i$  th level is

$$W_i = \frac{g_i!}{N_i! (g_i - N_i)!}$$



# The Fermi–Dirac Probability Function

We may write the most probable distribution function as

$$\frac{N(E)}{g(E)} = f_F(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

The number density  $N(E)$  is the number of particles per unit volume per unit energy and the function  $g(E)$  is the number of quantum states per unit volume per unit energy. The function  $f_F(E)$  is called the Fermi–Dirac distribution or probability function and gives the probability that a quantum state at the energy  $E$  will be occupied by an electron. The energy  $E_F$  is called the Fermi energy.

# Example 1

Let  $T = 300$  K. Determine the probability that an energy level  $3kT$  above the Fermi energy is occupied by an electron.

From Equation above, we can write

$$f_F(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)} = \frac{1}{1 + \exp\left(\frac{3kT}{kT}\right)}$$

which becomes

$$f_F(E) = \frac{1}{1 + 20.09} = 0.0474 = 4.74\%$$

## Exercise 4

Assume that the Fermi energy level for a particular material is  $6.25\text{eV}$  and that the electrons in this material follow the Fermi-Dirac distribution function. Calculate the temperature at which there is a 1 percent probability that a state  $0.30\text{eV}$  below the Fermi energy level will not contain an electron.

# Exercise 4 Solution

The probability that a state is empty is

$$1 - f_F(E) = 1 - \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)}$$

Then

$$0.01 = 1 - \frac{1}{1 + \exp\left(\frac{5.95 - 6.25}{kT}\right)}$$

Solving for  $kT$ , we find  $kT = 0.06529\text{eV}$ , so that the temperature is  $T = 756\text{ K}$ .

# Maxwell-Boltzmann approximation

Consider the case when  $E - E_F \gg kT$ , where the exponential term in the denominator of Equation is much greater than unity. We may neglect the 1 in the denominator, so the Fermi-Dirac distribution function becomes

$$f_F(E) \approx \exp \left[ \frac{-(E - E_F)}{kT} \right]$$

is known as the Maxwell-Boltzmann approximation, or simply the Boltzmann approximation, to the Fermi-Dirac distribution function. Figure 3.35 shows the Fermi-Dirac probability function and the Boltzmann approximation. This figure gives an indication of the range of energies over which the approximation is valid.

- Electrical Conduction In Solids
- Density of States Function

## 2

- The Semiconductor in Equilibrium
- The Extrinsic Semiconductor

- 1 Quantum Theory of Solids
  - Electrical Conduction In Solids
  - Density of States Function
  
- 2 The Semiconductor in Equilibrium
  - The Semiconductor in Equilibrium
  - The Extrinsic Semiconductor

# The Semiconductor in Equilibrium

The distribution (with respect to energy) of electrons in the conduction band is given by the density of allowed quantum states times the probability that a state is occupied by an electron. This statement is written in equation form as

$$n(E) = g_c(E) f_F(E)$$

Similarly,

$$p(E) = g_v(E) [1 - f_F(E)]$$



# The Semiconductor in Equilibrium

The equation for the thermalequilibrium concentration of electrons may be found by integrating Equation above over the conduction band energy, or

$$n_0 = \int g_c(E) f_F(E) dE$$

$$n_0 = N_c \exp \left[ \frac{-(E_c - E_F)}{kT} \right]$$

## Exercise 5

Calculate the probability that a quantum state in the conduction band at  $E = E_c + kT/2$  is occupied by an electron, and calculate the thermal-equilibrium electron concentration in silicon at  $T = 300$  K.

Assume the Fermi energy is 0.25eV below the conduction band. The value of  $N_c$  for silicon at  $T = 300$  K is  $N_c = 2.8 \times 10^{19} \text{ cm}^{-3}$  (see Appendix B).

## Exercise 5 Solution

The probability that a quantum state at  $E = E_c + kT/2$  is occupied by an electron is given by

$$f_F(E) = \frac{1}{1 + \exp\left(\frac{E - E_F}{kT}\right)} = \exp\left[\frac{-(E_c + (kT/2) - E_F)}{kT}\right]$$

or

$$f_F(E) = \exp\left[\frac{-(0.25 + (0.0259/2))}{0.0259}\right] = 3.90 \times 10^{-5}$$

The electron concentration is given by

$$n_0 = N_c \exp\left[\frac{-(E_c - E_F)}{kT}\right] = (2.8 \times 10^{19}) \exp\left[\frac{-0.25}{0.0259}\right]$$

or

$$n_0 = 1.80 \times 10^{15} \text{ cm}^{-3}$$

# The Semiconductor in Equilibrium

The thermalequilibrium concentration of holes in the valence band may now be written as

$$p_0 = N_v \exp \left[ \frac{-(E_F - E_v)}{kT} \right]$$

# The Intrinsic Carrier Concentration

For an intrinsic semiconductor, the concentration of electrons in the conduction band is equal to the concentration of holes in the valence band. We may denote  $n_i$  and  $p_i$  as the electron and hole concentrations, respectively, in the intrinsic semiconductor.

The Fermi energy level for the intrinsic semiconductor is called the intrinsic Fermi energy, or  $E_F = E_{Fi}$ . We can write

$$n_0 = n_i = N_c \exp \left[ \frac{-(E_c - E_{Fi})}{kT} \right]$$

and

$$p_0 = p_i = n_i = N_v \exp \left[ \frac{-(E_{Fi} - E_v)}{kT} \right]$$

$$n_i^2 = N_c N_v \exp \left[ \frac{-(E_c - E_{Fi})}{kT} \right] \cdot \exp \left[ \frac{-(E_{Fi} - E_v)}{kT} \right]$$
$$n_i^2 = N_c N_v \exp \left[ \frac{-(E_c - E_v)}{kT} \right] = N_c N_v \exp \left[ \frac{-E_g}{kT} \right]$$

## Example 3

Calculate the intrinsic carrier concentration in silicon at  $T = 250$  K .

The values of  $N_c$  and  $N_v$  for silicon at  $T = 300$  K are  $2.8 \times 10^{19} \text{ cm}^{-3}$  and  $1.04 \times 10^{19} \text{ cm}^{-3}$ , respectively. Both  $N_c$  and  $N_v$  vary as  $T^{3/2}$ . Assume the bandgap energy of silicon is 1.12eV and does not vary over this temperature range.

We find, at  $T = 250$  K

$$\begin{aligned} n_i^2 &= (2.8 \times 10^{19}) (1.04 \times 10^{19}) \left( \frac{250}{300} \right)^3 \exp \left[ \frac{-1.12}{(0.0259)(250/300)} \right] \\ &= 4.90 \times 10^{15} \end{aligned}$$

or

$$n_i = 7.0 \times 10^7 \text{ cm}^{-3}$$

$$\left[ \begin{array}{cc} \frac{\partial^2}{\partial x^2} & \frac{\partial^2}{\partial x \partial y} \\ \frac{\partial^2}{\partial x \partial y} & \frac{\partial^2}{\partial y^2} \end{array} \right]$$

1. *Chlorophyll a* (Chl *a*)



## 1 Quantum Theory of Solids

- Electrical Conduction In Solids
- Density of States Function

## 2 The Semiconductor in Equilibrium

- The Semiconductor in Equilibrium
- The Extrinsic Semiconductor

# The Extrinsic Semiconductor

The thermal-equilibrium electron concentration can be written as

$$n_0 = n_i \exp \left[ \frac{E_F - E_{Fi}}{kT} \right]$$

Similarly, if we add and subtract an intrinsic Fermi energy in the exponent of Equation, we will obtain

$$p_0 = n_i \exp \left[ \frac{-(E_F - E_{Fi})}{kT} \right]$$

# The Extrinsic Semiconductor

If  $n_0 > p_0$ , the semiconductor is n type. In an n-type semiconductor, electrons are referred to as the majority carrier and holes as the minority carrier. By comparing the relative values of  $n_0$  and  $p_0$  in the example, it is easy to see how this designation came about. Similarly, in a p-type semiconductor where  $p_0 > n_0$ , holes are the majority carrier and electrons are the minority carrier.

## Exercise 6

The electron concentration in silicon at  $T = 300$  K is  
 $n_0 = 2 \times 10^5 \text{ cm}^{-3}$ .

- (a) Determine the position of the Fermi level with respect to the valence band energy level.
- (b) Determine  $p_0$ .
- (c) Is this n- or p-type material?

(a)

(a)

$$E_F - E_v = 0.2764\text{eV}$$

(b)

$$\begin{aligned} \rho_o &= (1.04 \times 10^{19}) \exp\left(\frac{-0.27637}{0.0259}\right) \\ &= 2.414 \times 10^{14} \text{ cm}^{-3} \end{aligned}$$

(c) p-type

END

Thanks