### VE320 Introduction to Semiconductor Physics and Devices

Recitation Class for Midterm 2, Part 1

#### VE320 Teaching Group SU2022

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# Notation

Symbol	Definition
$n_0, p_0$	Thermal-equilibrium electron and hole concentrations (independent of time and also usually position)
n, p	Total electron and hole concentrations
$\delta n = n - n_0$	Excess electron and hole concentrations (may
$\delta p = p - p_0$	be functions of time and/or position)
$g'_n, g'_p$	Excess electron and hole generation rates
$R'_n, R'_p$	Excess electron and hole recombination rates
$ au_{n0}, au_{p0}$	Excess minority carrier electron and hole lifetimes

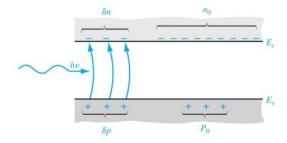
## Thermal Equilibrium

- Thermal equilibrium
  - The net carrier concentrations are independent of time.
  - The generation and recombination of electrons and holes are equal.
  - Generation rate = Recombination rate:  $G_{n0} = G_{p0} = R_{n0} = R_{p0}$ . Unit:  $(cm^3 \cdot s)^{-1}$



### Non-equilibrium

- Non-equilibrium
  - The semiconductor is affected by time-varying factors like light/current.
  - A higher generation rate: total generation rate =  $G_{n0} + g'_n = G_{p0} + g'_p$ .
  - A higher amount of n and p:  $n = n_0 + \delta n$ ,  $p = p_0 + \delta p$ .
  - In normal cases (direct generation),  $\delta n = \delta p$ .
  - Note  $np \neq n_0 p_0 = n_i^2$ .
  - Generation rate is only decided by temperature and light/current but not by n or p.
  - Recombination rate is decided by n and p:  $R_n = R_p = \alpha_r np$



#### Net Recombination Rate

n-type:

$$R_n' = R_p' = \frac{\delta p}{\tau_{p0}}$$

p-type:

$$R_n' = R_p' = \frac{\delta n}{\tau_{n0}}$$

## Continuity Equation

For p-type: 
$$D_n \frac{\mathrm{d}^2 n}{\mathrm{d}x^2} + \mu_n \left( E \frac{\mathrm{d}n}{\mathrm{d}x} + n \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g_n - \frac{n}{\tau_{n0}} = \frac{\mathrm{d}n}{\mathrm{d}t}$$
  
For n-type:  $D_p \frac{\mathrm{d}^2 p}{\mathrm{d}x^2} - \mu_p \left( E \frac{\mathrm{d}p}{\mathrm{d}x} + p \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g_p - \frac{p}{\tau_{p0}} = \frac{\mathrm{d}p}{\mathrm{d}t}$ 

where  $g_n$  and  $g_p$  are the total generation rates. For homogeneous semiconductor,  $n(x) = n_0 + \delta n(x)$ , the equation can be simplified to

$$D_{n} \frac{\mathrm{d}^{2}(\delta n)}{\mathrm{d}x^{2}} + \mu_{n} \left( E \frac{\mathrm{d}(\delta n)}{\mathrm{d}x} + n \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g'_{n} - \frac{\delta n}{\tau_{n0}} = \frac{\mathrm{d}(\delta n)}{\mathrm{d}t}$$

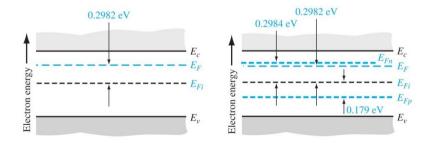
$$D_{p} \frac{\mathrm{d}^{2}(\delta p)}{\mathrm{d}x^{2}} - \mu_{p} \left( E \frac{\mathrm{d}(\delta p)}{\mathrm{d}x} + p \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g'_{p} - \frac{\delta p}{\tau_{p0}} = \frac{\mathrm{d}(\delta p)}{\mathrm{d}t}$$

# Solving Continuity Equation

Specification	Effect
Steady state	$\frac{\partial(\delta n)}{\partial t} = 0,  \frac{\partial(\delta p)}{\partial t} = 0$
Uniform distribution of excess carriers	$D_n rac{\partial^2 (\delta n)}{\partial x^2} = 0,  D_p rac{\partial^2 (\delta n)}{\partial x^2} = 0$
Zero electric field	$\mathrm{E}rac{\partial (\delta n)}{\partial x} = 0,  \mathrm{E}rac{\partial (\delta p)}{\partial x} = 0$
No excess carrier generation	g'=0
No excess carrier recombination (infinite lifetime)	$\frac{\delta n}{\tau_{n0}} = 0,  \frac{\delta p}{\tau_{p0}} = 0$

## Quasi-Fermi Energy Level

$$n_0 + \delta n = n_i \exp\left(\frac{E_{Fn} - E_{Fi}}{kT}\right)$$
  
 $p_0 + \delta p = n_i \exp\left(\frac{E_{Fi} - E_{Fp}}{kT}\right)$ 



With excess carriers, quasi-Fermi energy level for minority carriers may vary much.

#### **Excess Carrier Lifetime**

$$R_{n} = R_{p} = \frac{C_{n}C_{p}N_{t} (np - n_{i}^{2})}{C_{n} (n + n') + C_{p} (p + p')}$$
$$= \frac{(np - n_{i}^{2})}{\tau_{p0} (n + n') + \tau_{n0} (p + p')}$$

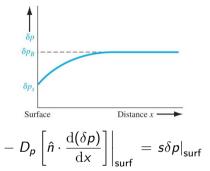
where

$$n' = N_c \exp\left[-rac{E_c - E_t}{kT}
ight], p' = N_v \exp\left[-rac{E_t - E_v}{kT}
ight], ext{ and } au_{n0} = rac{1}{C_n N_t}$$

#### Surface Effect

- Periodic potential wells break on the surface.
- Allowed energy levels appear inside forbidden band.
- A lot of traps in the middle of forbidden band.
- Higher recombination rate.
- Lower excess carrier concentration on the surface.

#### Surface Effect

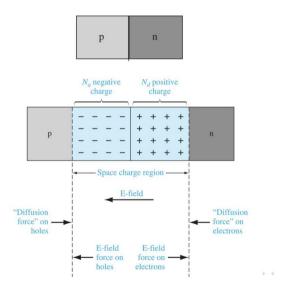


where s is surface recombination velocity.

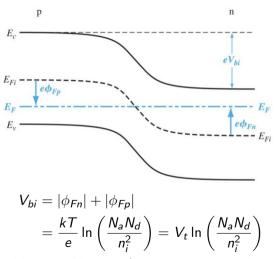
If we have a semiconductor that has a surface at one end and an even excess carrier generation rate without electric field,

$$\delta p(x) = g' au_{
ho 0} \left( 1 - rac{s L_{
ho} \mathrm{e}^{-x/L_{
ho}}}{D_{
ho} + s L_{
ho}} 
ight)$$

## Basic Structure of pn Junction

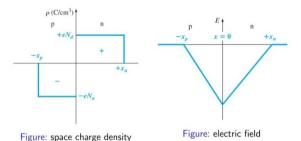


#### Built-in Potential Barrier



 $V_{bi}$  is the built-in potential barrier.  $V_t = kT/e$  is the thermal voltage.

#### Electric Field



 $\rho(x) = \begin{cases} -eN_a, & -x_p \le x \le 0 \\ +eN_d, & 0 \le x \le x_n \end{cases} \frac{d^2\Phi(x)}{dx^2} = -\frac{\rho(x)}{\epsilon_s} = -\frac{dE(x)}{dx} \text{ where } \epsilon_s \text{ is the dielectric constant.}$ 

#### Electric Field

$$E(x) = \begin{cases} -\frac{eN_a}{\epsilon_s} (x + x_p), & -x_p \le x \le 0 \\ -\frac{eN_d}{\epsilon_s} (x_n - x), & 0 \le x \le x_n \end{cases}$$

At x = 0, E is continuous.

$$N_a x_p = N_d x_n$$

Maximum electric field intensity at x = 0 is then

$$E_{\mathsf{max}} = -rac{eN_{\mathsf{a}}x_{\mathsf{p}}}{\epsilon_{\mathsf{s}}} = -rac{eN_{\mathsf{d}}x_{\mathsf{n}}}{\epsilon_{\mathsf{s}}}$$

#### **Potential**

Suppose  $\Phi(x) = 0$  when  $x = -x_p$ .

$$\Phi(x) = \begin{cases} \frac{eN_a}{2\epsilon_s} (x + x_p)^2, & -x_p \le x \le 0\\ \frac{eN_d}{\epsilon_s} (x_n x - \frac{x^2}{2}) + \frac{eN_a}{2\epsilon_s} x_p^2, & 0 \le x \le x_n \end{cases}$$

When  $x = x_n$ , the potential is the same as the built-in potential barrier.

$$V_{bi} = |\Phi(x = x_n)| = \frac{e}{2\epsilon_s} \left(N_d x_n^2 + N_a x_p^2\right)$$

# Space Charge Width

$$\begin{cases} x_n = \left[\frac{2\epsilon_s(V_{bi})}{e} \left(\frac{N_a}{N_d}\right) \left(\frac{1}{N_a + N_d}\right)\right]^{1/2} \\ x_p = \left[\frac{2\epsilon_s(V_{bi})}{e} \left(\frac{N_d}{N_a}\right) \left(\frac{1}{N_a + N_d}\right)\right]^{1/2} \end{cases}$$

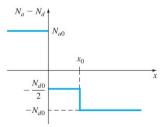
The total depletion region is then

$$W = x_n + x_p$$

$$= \left[ \frac{2\epsilon_s (V_{bi})}{e} \left( \frac{N_a + N_d}{N_a N_d} \right) \right]^{1/2}$$

A pn junction has the doping profile shown in Figure. Assume that  $x_n > x_0$  for all reverse-biased voltages.

- (a) For the abrupt junction approximation, sketch the charge density through the junction.
- (b) What is the built-in potential across the junction?
- (c) Derive the expression for the electric field through the space charge region, in terms of  $x_p$  and  $x_n$ .
- (d) Find out  $x_p$  and  $x_n$ .



# **Example Solution**

(b)

$$V_{bi} = V_t \ln \left( \frac{N_{a0} N_{d0}}{n_i^2} \right)$$

(c) p-region

$$\frac{d\mathbf{E}}{dx} = \frac{\rho(x)}{\epsilon_s} = -\frac{eN_{a0}}{\epsilon_s}$$

or

$$E = -\frac{eN_{a0}x}{\epsilon_s} + C_1$$

We have

$$E = 0$$
 at  $x = -x_p \Rightarrow C_1 = -\frac{eN_{a0}x_p}{c_a}$ 

Then for  $-x_p < x < 0$ 

$$E = -\frac{eN_{a0}}{\epsilon_s} \left( x + x_p \right)$$

n-region,  $0 < x < x_0$ 

 $\frac{d\mathbf{E}_1}{dx} = \frac{\rho(x)}{\epsilon_s} = \frac{eN_{d0}}{2\epsilon_s}$ 

or

$$\mathrm{E}_1 = \frac{eN_{d0}x}{2\epsilon_s} + C_2$$

n-region,  $x_0 < x < x_n$ 

$$\frac{dE_2}{dx} = \frac{\rho(x)}{\epsilon_s} = \frac{eN_{d0}}{\epsilon_s}$$

or

$$E_2 = \frac{eN_{d0}x}{\epsilon_s} + C_3$$

We have  $\mathrm{E}_2=0$  at  $x=x_n$   $\Rightarrow \mathit{C}_3=-\frac{e\mathit{N}_{d0}\mathit{x}_n}{\epsilon_{s}}$ 

so that for  $x_0 < x < x_n$ , we have

$$E_2 = -\frac{eN_{d0}}{\epsilon_s} \left( x_n - x \right)$$

We also have  $E_2 = E_1$  at  $x = x_0$  Then

$$\frac{eN_{d0}x_0}{2\epsilon_s} + C_2 = -\frac{eN_{d0}}{\epsilon_s}(x_n - x_0)$$

which gives

$$C_2 = -\frac{eN_{d0}}{\epsilon_s} \left( x_n - \frac{x_0}{2} \right)$$

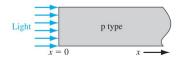
(d)

$$V_{bi} = -\int_{-x_0}^{x_n} \mathrm{E} dx, \quad N_{a0} x_p = \frac{N_{d0} x_0}{2} + (x_n - x_0) N_{d0}$$

# Sample Exam Question 2

Consider a bar of p-type silicon that is uniformly doped with a value of  $N_a=2\times 10^{16}~cm^{-3}$  at T=300~K. A light source is incident on the end of the semiconductor. The steady-state concentration of excess carriers generated at x=0 is  $\delta p(0)=\delta n(0)=2\times 10^{14}~cm^{-3}$ .  $\mu_n=1200~cm^2/Vs, \mu_p=400~cm^2/Vs, \tau_{p0}=1\mu s, \tau_{p0}=0.5\mu s$ . Neglecting surface effects.

- (a) Determine the steady-state excess electron and hole concentration as a function of distance into the semiconductor, when the applied electric field is zero.
- (b) Calculate the steady-state electron and hole diffusion current densities as a function of distance into the semiconductor, when the applied electric field is zero.
- (c) Assume a constant electric field of 5  $\rm V/cm$  is applied in the +x direction. Derive the expression for the steady-state excess electron concentration as a function of distance into the semiconductor.



## **Example Solution**

Minority carriers are electrons.

$$D_n = \left(\frac{kT}{e}\right) \mu_n = (0.0259)(1200)$$

$$= 31.08 \text{ cm}^2/\text{s}$$

$$L_n = \sqrt{D_n \tau_{n0}} = \left[ (31.08) (10^{-6}) \right]^{1/2}$$

$$= 5.575 \times 10^{-3} \text{ cm}$$

(a) 
$$\delta n(x) = \delta p(x) = 2 \times 10^{14} e^{-x/L_n} \text{ cm}^{-3}$$

(b)

$$J_{n} = eD_{n} \frac{d(\delta n)}{dx} = eD_{n} \frac{d}{dx} \left[ 2 \times 10^{14} e^{-x/L_{n}} \right]$$

$$= \frac{-eD_{n}}{L_{n}} \left( 2 \times 10^{14} \right) e^{-x/L_{n}}$$

$$= \frac{-\left( 1.6 \times 10^{-19} \right) \left( 31.08 \right) \left( 2 \times 10^{14} \right)}{\left( 5.575 \times 10^{-3} \right)} e^{-x/L_{n}}$$

$$J_{n} = -0.1784 e^{-x/L_{n}} \text{ A/cm}^{2}$$

Holes diffuse at same rate as minority carrier electrons, so

$$J_p = +0.1784e^{-x/L_n} \text{ A/cm}^2$$

(c) Write out continuity equation for p-type semiconductor.

$$D_n \frac{\mathrm{d}^2(\delta n)}{\mathrm{d}x^2} + \mu_n \left( E \frac{\mathrm{d}(\delta n)}{\mathrm{d}x} + n \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g'_n - \frac{\delta n}{\tau_{n0}} = \frac{\mathrm{d}(\delta n)}{\mathrm{d}t}$$

Simplify the equation to get

$$D_n \frac{\mathrm{d}^2(\delta n)}{\mathrm{d}x^2} + \mu_n E \frac{\mathrm{d}(\delta n)}{\mathrm{d}x} - \frac{\delta n}{\tau_{n0}} = 0$$

Boundary condition

$$\delta n(\infty) = 0$$

Use the solution model and do some simplifications to get

$$\delta n(x) = \delta n(0) \exp(\lambda x)$$

where 
$$\lambda = \frac{-\tau_{n0}\mu_n E - \sqrt{(\tau_{n0}\mu_n E)^2 + 4\tau_{n0}D_n}}{2\tau D_n}$$
.

# Supplementary Materials

# Case Study 1: Removing the Light

We consider a case where a light is on the semiconductor for a long time so that n and p becomes constant.

$$G_n = R_n = \alpha_r np$$

After removing the light:

$$R'_{n/p} = -\frac{d\delta n}{dt}$$
  
=  $-(G_n - R_n) = -((G_{n0} + g'_n) - \alpha_r np)$   
=  $-\alpha_r (n_i^2 - (n_0 + \delta n)(p_0 + \delta p))$ 

where  $g'_n$  is now 0.

We assume the low-injection condition: the maximum of  $n_0$  and  $p_0$  is much greater than excess carriers. But the excess carriers can be much more than the minimum of  $n_0$  and  $p_0$ .

$$R'_{n/p} = \alpha_r \max(n_0, p_0) \delta n$$

# Case Study 1, Continued

$$\delta n = \delta p = \delta n(0)e^{-t/\tau}$$

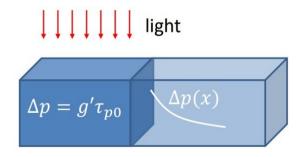
where  $\tau = 1/\max(n_0, p_0)$ . We call it excess minority carrier lifetime.

- Note
  - In p-type, we only care about  $\delta n$  since  $\delta p$  is small compared with  $p_0$ . And we use  $\tau_{n0} = 1/\alpha_r p_0$ .
  - In n-type, we only care about  $\delta p$  since  $\delta n$  is small compared with  $n_0$ . And we use  $\tau_{p0} = 1/\alpha_r n_0$ .
  - Excess carrier recombination rate:  $R'_n = R'_p = \delta n/\tau = \delta p/\tau$ .

Summary of case study: when light applied suddenly decreased, excess carriers decrease exponentially with respect to time.

## Case Study 2: Diffusion

N-type semiconductor. On the one end, there is non-zero excess carrier generation rate, and on the other end, there is no excess carrier generation.



## Case Study 2: Diffusion

$$\frac{d\text{flux}}{dx} = -R'_p = -\frac{\delta p}{\tau_{p0}}$$

$$D_p \frac{d^2 \delta p}{dx^2} = \frac{\delta p}{\tau_{p0}}$$

Therefore,

$$\delta p(x) = Ae^{-x/L_p} + Be^{x/L_p}$$

where  $L_p = \sqrt{D_p \tau_{p0}}$ .

Summary of case study: excess carriers change exponentially with respect to space.

#### Solution Model: Time

$$\frac{\mathrm{d}(\delta p)}{\mathrm{d}t} = -\frac{\delta p}{\tau_{p0}}$$

solution:

$$\delta p(t) = \delta p(0) \mathrm{e}^{-t/\tau_{p0}}$$
 $g' - \frac{\delta p}{\tau_{p0}} = \frac{\mathrm{d}(\delta p)}{\mathrm{d}t}$ 

solution:

$$\delta 
ho(t) = g' au_{
ho 0} \left( 1 - \mathrm{e}^{-t/ au_{
ho 0}} 
ight)$$

#### Solution Model: Distance

$$D_n \frac{\mathrm{d}^2(\delta n)}{\mathrm{d}x^2} - \frac{\delta n}{\tau_{n0}} = 0$$

solution:

$$\delta n(x) = Ae^{-x/L_n} + Be^{x/L_n}, \quad L_n = \sqrt{D_n \tau_{n0}}$$

special:

$$\delta n(x) = \begin{cases} \delta n(0) e^{-x/L_n}, x \ge 0 \\ \delta n(0) e^{+x/L_n}, x \le 0 \end{cases}$$
$$D_p \frac{\mathrm{d}^2 \delta p}{\mathrm{d} x^2} - \frac{\delta p}{\pi} + g = 0$$

solution:

$$\delta p(x) = A \exp(\lambda x) + B \exp(-\lambda x) + g\tau, \quad \lambda = \frac{1}{\sqrt{D_p \tau}}$$

#### Solution Model: Electrical Field

$$D_{p} \frac{\mathrm{d}^{2}(\delta p)}{\mathrm{d}x^{2}} - \mu_{p} E_{0} \frac{\mathrm{d}(\delta p)}{\mathrm{d}x} - \frac{\delta p}{\tau_{p0}} = \frac{\mathrm{d}(\delta p)}{\mathrm{d}t}$$

solution:

$$\delta p(x,t) = rac{\mathrm{e}^{-t/ au_{p0}}}{(4\pi D_p t)^{1/2}} \exp\left[rac{-(x-\mu_p E_0 t)^2}{4D_p t}
ight]$$

#### Solution Model: Electrical Field

$$D_{p} \frac{\mathrm{d}^{2} \delta p}{\mathrm{d} x^{2}} - \mu_{p} E \frac{\mathrm{d} \delta p}{\mathrm{d} x} - \frac{\delta p}{\tau} = 0$$

solution:

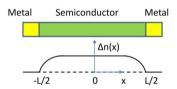
$$\delta p(x) = A \exp(\lambda x) + C$$

$$\lambda = \frac{L_p(E) \pm \sqrt{L_p^2(E) + 4L_p^2}}{2L_p^2}, \quad L_p = \sqrt{\tau D_p}, \quad L_p(E) = \tau \mu_p E$$

special:

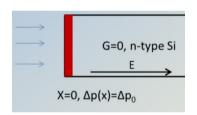
$$\delta p(x) = \delta p(0) \exp \left[ \frac{L_p(E) \pm \sqrt{L_p^2(E) + 4L_p^2}}{2L_p^2} x \right] = \begin{cases} \delta p(0) \exp \left( -\frac{x}{L_p} \right), & \text{if } L_p(E) \ll L_p \\ \delta p(0) \exp \left( -\frac{x}{L_p(E)} \right), & \text{if } L_p(E) \gg L_p \end{cases}$$

Given a piece of p-type uniformly doped semiconductor in contact with two metal electrodes separated by a length of L, forming a photoconductor device. The light illumination will create electron-hole pairs at a generation rate of g'. The minority carrier recombination lifetime is  $\tau_0$ . Find the analytical distribution of the excess minority electrons at zero external bias. Note that light illumination will not create excess carriers in metals.



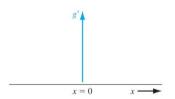
$$D_n \frac{\mathrm{d}^2(\delta n)}{\mathrm{d}x^2} + \mu_n \left( E \frac{\mathrm{d}(\delta n)}{\mathrm{d}x} + n \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g_n - \frac{n}{\tau_{nt}} = \frac{\mathrm{d}(\delta n)}{\mathrm{d}t}$$

A light beam is illuminated on the surface of a silicon wafer, generating excess carriers  $\Delta p_0$  at the surface (x=0). The wafer is placed in a constant electric field with a known intensity E. We assume there is no external generation inside the wafer. The thickness of the wafer is infinite. Find the excess minority carriers at equilibrium as a function of the distance away from the surface (x=0). Small injection condition is always maintained and the wafer is uniformly doped as  $N_d$ .



$$D_{p} \frac{\mathrm{d}^{2}(\delta p)}{\mathrm{d}x^{2}} - \mu_{p} \left( E \frac{\mathrm{d}(\delta p)}{\mathrm{d}x} + p \frac{\mathrm{d}E}{\mathrm{d}x} \right) + g_{p} - \frac{p}{\tau_{pt}} = \frac{\mathrm{d}(\delta p)}{\mathrm{d}t}$$

Consider a p-type semiconductor that is homogeneous and infinite in extent. Assume a zero applied electric field. For a one-dimensional crystal, assume that excess carriers are being generated at x=0 only, as indicated in Figure below. The excess carriers being generated at x=0 will begin diffusing in both the +x and -x directions. Calculate the steady-state excess carrier concentration as a function of x.



$$D_{n}\frac{\mathrm{d}^{2}(\delta n)}{\mathrm{d}x^{2}} + \mu_{n}\left(E\frac{\mathrm{d}(\delta n)}{\mathrm{d}x} + n\frac{\mathrm{d}E}{\mathrm{d}x}\right) + g_{n} - \frac{n}{\tau_{nt}} = \frac{\mathrm{d}(\delta n)}{\mathrm{d}t}$$

# Good Luck!