
VE320 – Summer 2022

Introduction to Semiconductor Devices

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**Chapter 6 Non-Equilibrium Excess Carriers in
Semiconductors**

Outline

6.1 Carrier generation and recombination

6.2 Characteristics of excess carriers

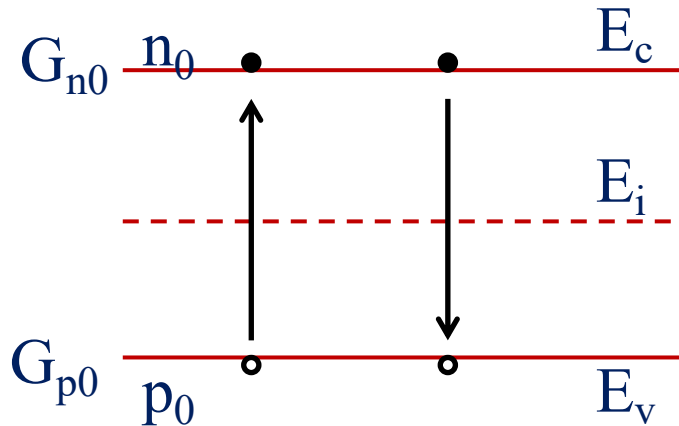
6.3 Quasi-Fermi levels

6.4 Excess carrier lifetime

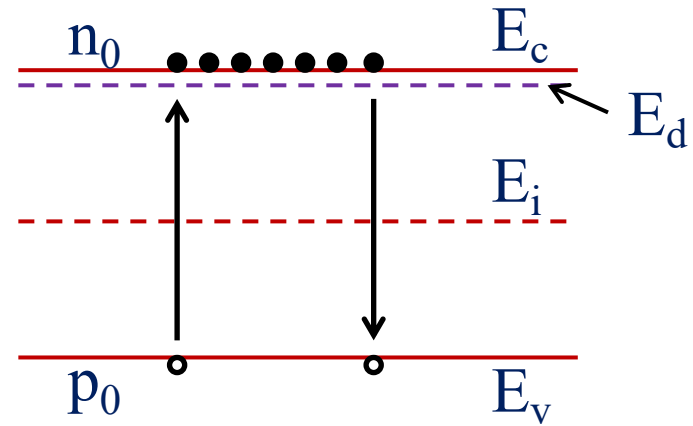
6.5 Surface effects

6.1 Carrier generation and recombination

The semiconductor in equilibrium



Intrinsic: $n_0 = p_0 = n_i$



n type : $n_0 \gg n_i \gg p_0$

G_{n0} : the thermal generation rate of electrons

G_{p0} : the thermal generation rate of holes

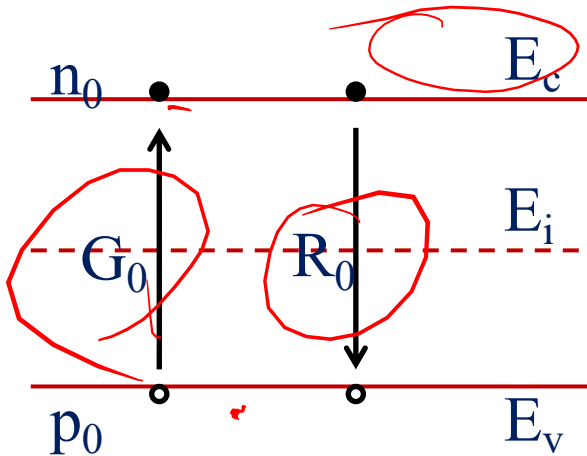
R_{n0} : the recombination rate of electrons

R_{p0} : the recombination rate of holes

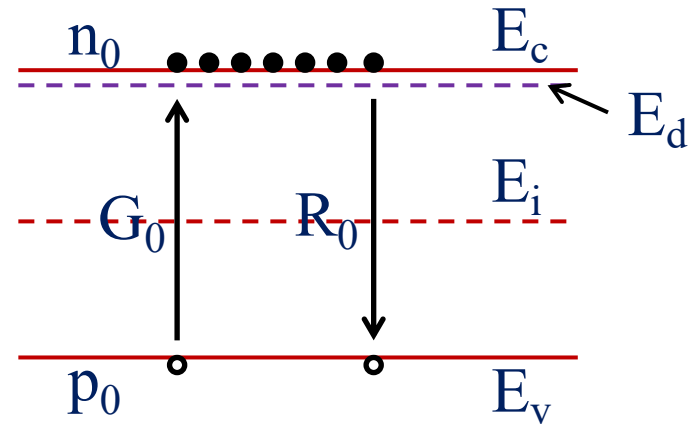
$$G_{n0} = G_{p0} = R_{n0} = R_{p0} \quad (\text{direct G and R from band to band})$$

6.1 Carrier generation and recombination

The semiconductor in equilibrium



Intrinsic: $n_0 = p_0 = n_i$



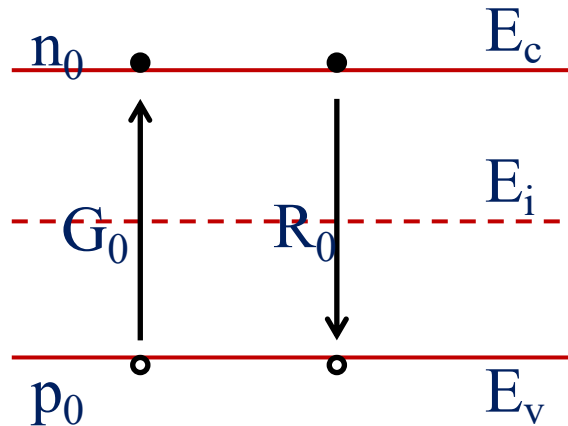
n type : $n_0 \gg n_i \gg p_0$

$$R_0 \sim \underbrace{n \cdot p}_{n_i + \Delta n} = \underline{n_0 \cdot p_0}$$

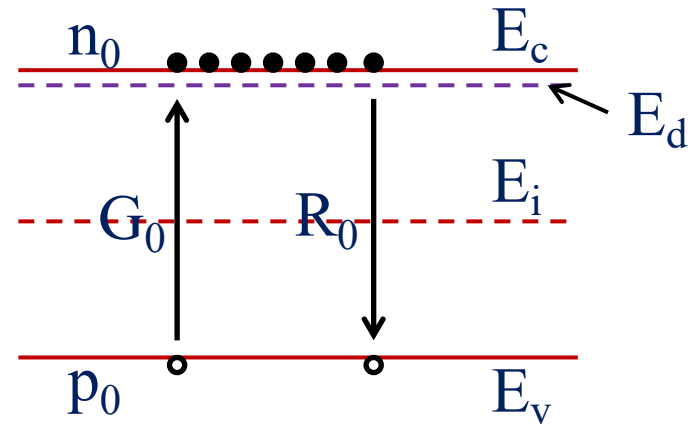
$$\cancel{G_0 \sim n_0 \cdot p_0} \quad G_0 = R_0 = n_0 \cdot p_0$$

6.1 Carrier generation and recombination

The semiconductor in equilibrium



Intrinsic: $n_0 = p_0 = n_i$

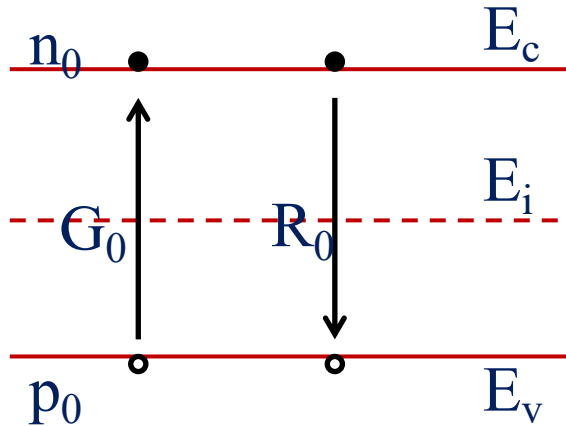


n type : $n_0 \gg n_i \gg p_0$

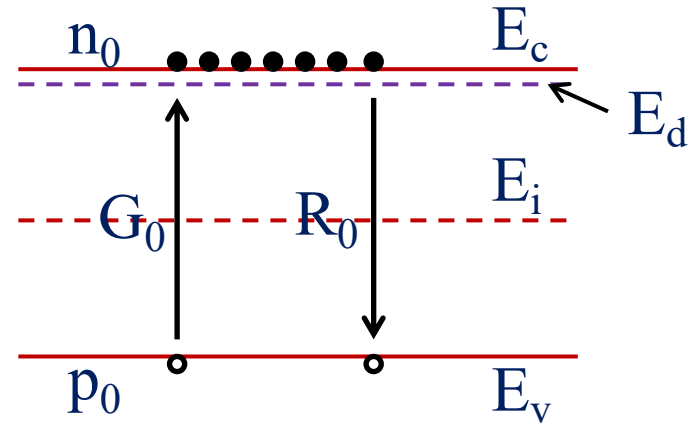
$$G_0 = R_0 = \alpha_r \cdot n_0 \cdot p_0$$

6.1 Carrier generation and recombination

The semiconductor in equilibrium



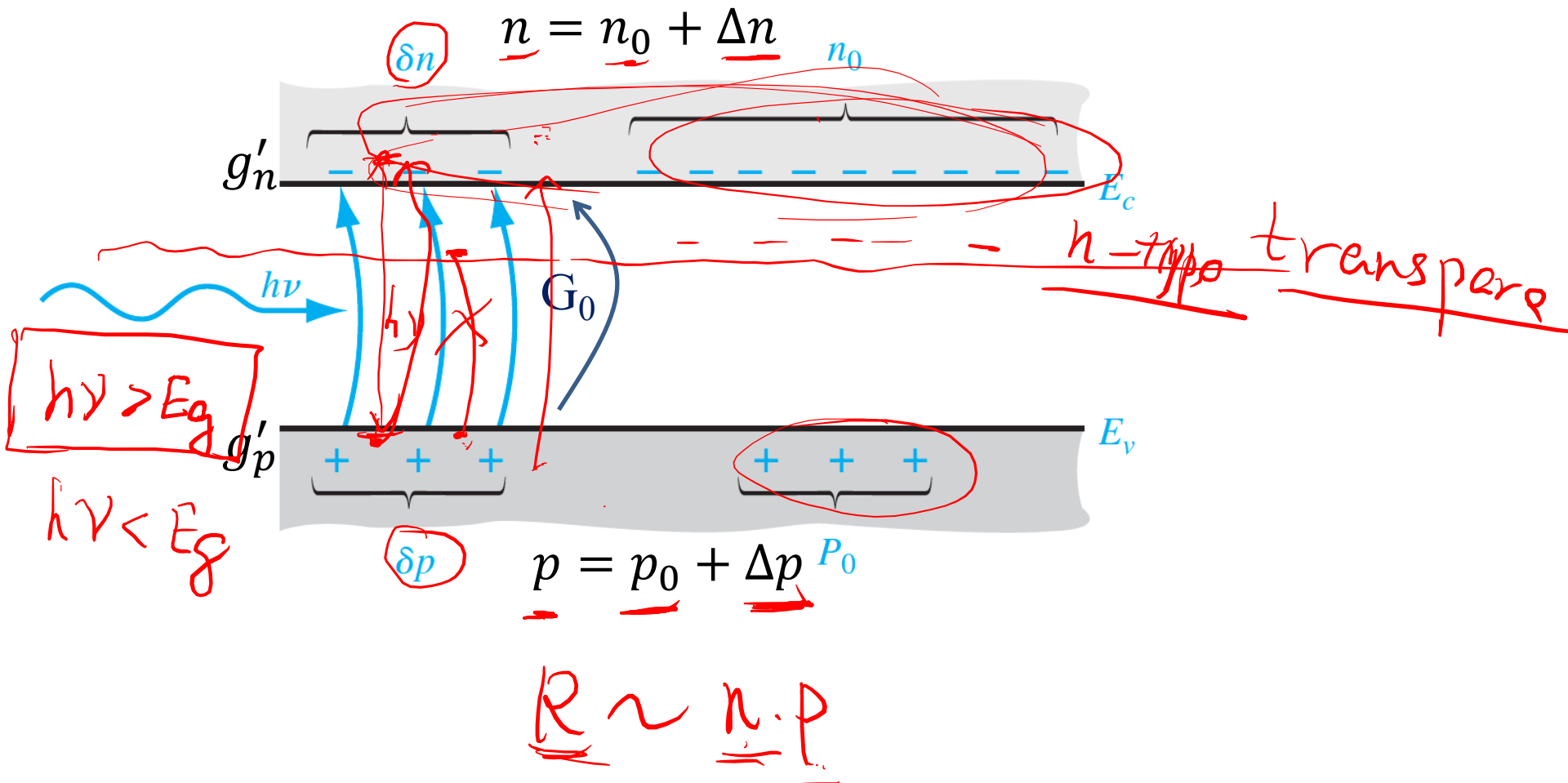
Intrinsic: $n_0 = p_0 = n_i$



n type : $n_0 \gg n_i \gg p_0$

6.1 Carrier generation and recombination

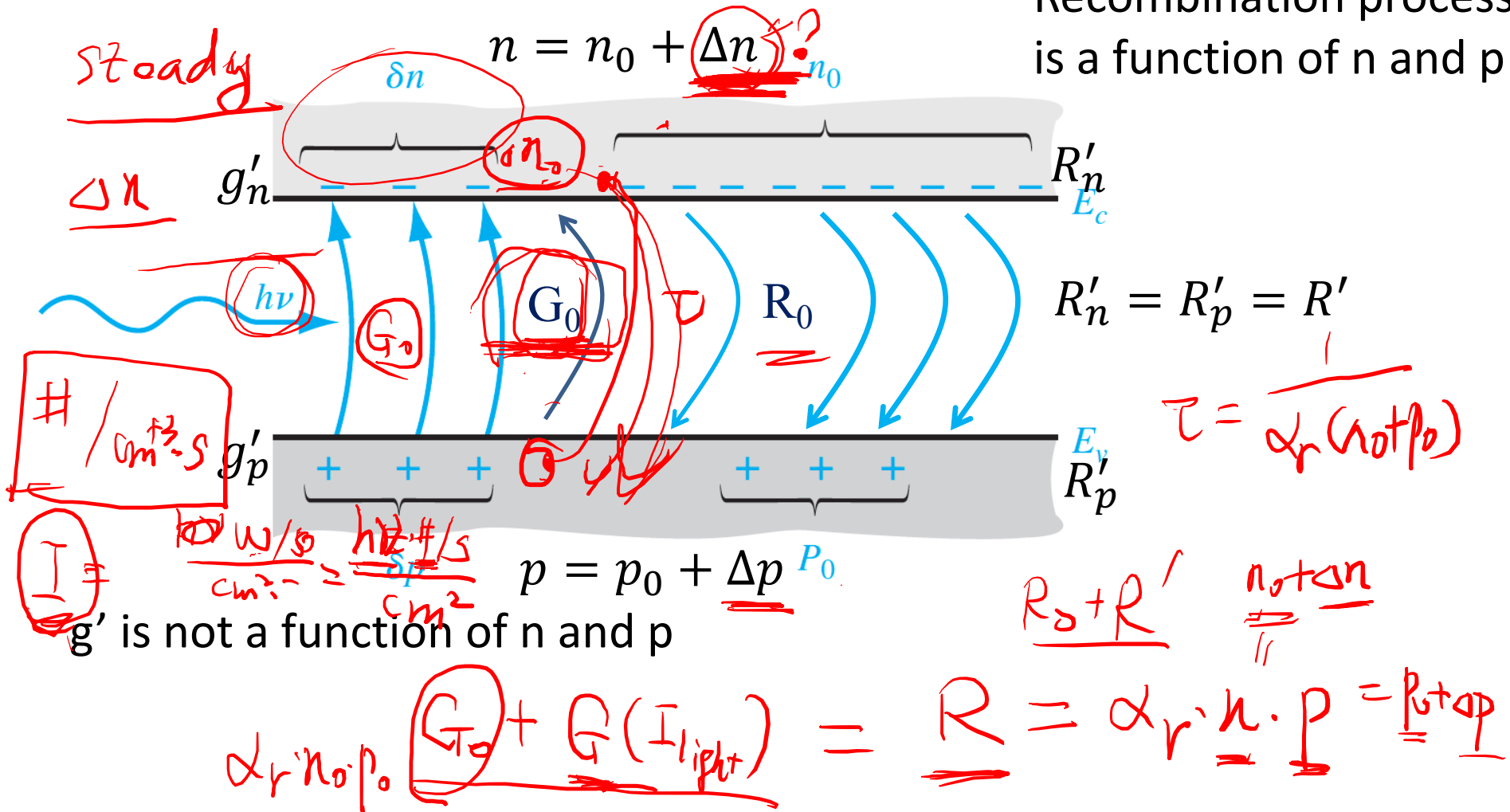
Excess carrier generation and recombination



6.1 Carrier generation and recombination

Excess carrier generation and recombination

Recombination process is a function of n and p



Excess carrier generation and recombination

lifetime τ

small injection

$\Delta n \ll n_0$ not p_0

Light is off

$t_0 = 6s$

$n = n_0 + \Delta n$

$p = p_0 + \Delta p$

$\Delta n = G_0 \tau$

n_0

p_0

R'_n

R'_p

R_0

V_0

V

δn

δp

E_v

E_c

$$R'_n = R'_p = R'$$

~~$$= \alpha_r (n_0 + \Delta n) (p_0 + \Delta p)$$~~

$$R = \alpha_p \cdot n_d \cdot P_a \cdot \frac{R_o}{\rho}$$

$$n = R' \pm R \quad \text{---} \quad \underline{\underline{2R'}}$$

$$K \subseteq K' \implies \text{Tot}(K, p) \subseteq \text{Tot}(K', p)$$

$$t_0 = 65$$

$$p = p_0 + \Delta p$$

$$G = G_0 + G' = R = \alpha_r \cdot (n_0 + \Delta n) \cdot (p_0 + \Delta p)$$

$$\frac{d\sigma(t)}{dt}$$

$$= - (R' + R_0) = \alpha_r (n_0 p_0 + n_0 \phi + \phi) = \alpha_r n_0 p_0 + (n_0 + p_0) \phi$$

6.1 Carrier generation and recombination

Excess carrier generation and recombination

Net recombination rate

$$\cancel{G_0} + \underline{G'} = R = \cancel{\alpha_r n_0 p_0} + \alpha_r \cdot (n_0 + p_0) \Delta n + \alpha_r (\Delta n)^2$$

$$G' = \alpha_r (n_0 + p_0) \cdot \Delta n + \alpha_r \cdot (\Delta n)^2$$

$$\underline{\Delta n} = \frac{G'}{\alpha_r (n_0 + p_0 + \underline{\Delta n})}$$

$$= G' \cdot \frac{1}{\alpha_r (n_0 + p_0 + \underline{\Delta n})}$$

Small injection
condition
if $\Delta n \ll n_0 + p_0$

$$\boxed{\alpha_r (n_0 + p_0 + \underline{\Delta n})}$$

τ lifetime

6.1 Carrier generation and recombination

Excess carrier generation and recombination

$$\frac{d\Delta p(t)}{dt} = -\alpha_r (n_0 + p_0) \Delta n(t) = \frac{\Delta n}{\tau_n}$$

Handwritten notes: 10^{15} , $n_i = 10^7 \text{ cm}^{-3}$, $p_0 = 10^{13} \text{ cm}^{-3}$, $R' = R - R_0$, $\Delta n = 10^{12} \text{ cm}^{-3}$, $\alpha_r = \frac{1}{\tau_n}$

For n-type semiconductor, net recombination rate

$$R'_n = R'_p = \frac{\Delta p(t)}{\tau_{p0}}$$

$$\frac{d\Delta n(t)}{\Delta n(t)} = -\alpha_r (n_0 + p_0) dt$$

$$d(\ln \Delta n(t)) = -\alpha_r (n_0 + p_0) dt$$

For p-type semiconductor, net recombination rate

$$R'_n = R'_p = \frac{\Delta n(t)}{\tau_{n0}}$$

$$\ln \Delta n(t) = -\alpha_r (n_0 + p_0) t + C$$

$$\Delta n(t) = C' e^{-\alpha_r (n_0 + p_0) t}$$

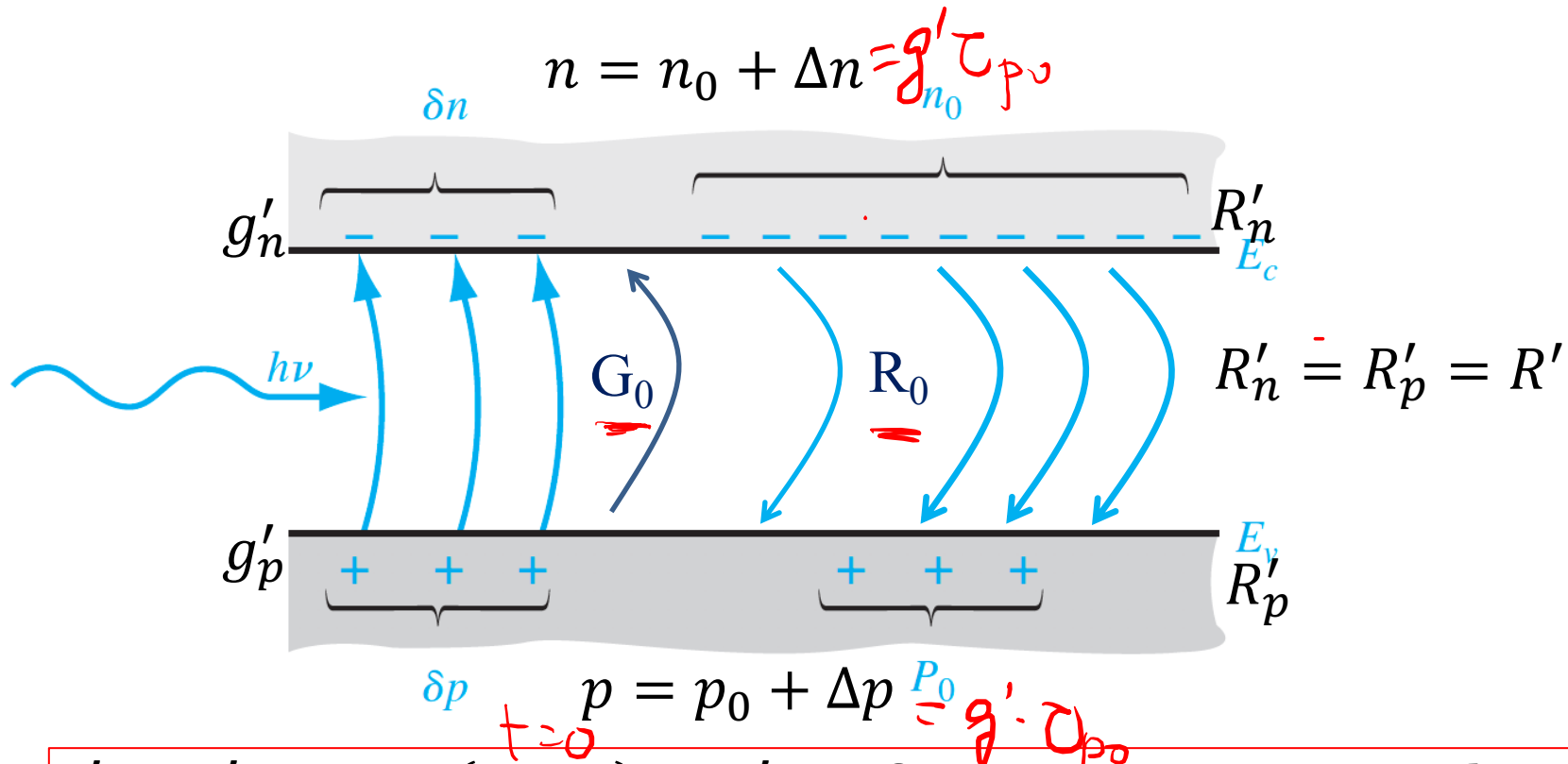
$$= C' e^{-t/\tau} = G' \tau$$

$$\Delta n(t) = G' \tau e^{-t/\tau}$$

$$\tau = \frac{1}{\alpha_r (n_0 + p_0)} \text{ lifetime}$$

6.1 Carrier generation and recombination

Excess carrier generation and recombination



$$g' = R' \Rightarrow \Delta p(t \leq 0) = g' \tau_{p0} \text{ for } n\text{-type semiconductors}$$

$$g' = R' \Rightarrow \Delta n(t \leq 0) = g' \tau_{n0} \text{ for } p\text{-type semiconductors}$$

Check your understanding

Problem Example #1

Assume that excess carriers have been generated uniformly in a semiconductor to a concentration of $\Delta n(0) = 10^{15} \text{ cm}^{-3}$. The generation of the excess carriers turns off at time $t=0$. Assuming the excess carrier lifetime is $\tau_{n0} = 10^{-6} \text{ s}$, $\approx 1 \mu\text{s}$, calculate the recombination rate of excess carriers for $t = 4 \mu\text{s}$.

$$R' = \alpha_r (n_0 + p_0) \Delta n = \frac{\Delta n}{\tau_{n0}}$$

$$R = \alpha_r \cdot n \cdot p$$

$$\frac{d\Delta n(t)}{dt} = -R' = -\frac{\Delta n}{\tau_{n0}}$$

$$R_0 = \alpha_r \cdot n_0 \cdot p_0 = G_0$$

$$\Delta n(t) = \Delta n(t=0) e^{-t/\tau_{n0}}$$

$$g' \rightarrow \Delta n = g' / \alpha_r (n_0 + p_0)$$

$$\begin{aligned} &= 10^{15} e^{-4/1} \quad \left(\Delta n = g' e^{-\alpha_r (n_0 + p_0) t} \right) \quad \frac{1}{\alpha_r (n_0 + p_0)} = \frac{1}{\tau} \\ &= 10^{15} e^{-4} \\ &= \boxed{1.83 \times 10^3 \text{ cm}^{-3}} e^{-t/\tau} \end{aligned}$$

$$\frac{d\Delta n}{dt} = - (R' + R_0 - G_0)$$

$$\tau = \frac{1}{\alpha_r (n_0 + p_0)} = -\alpha_r (n_0 + p_0 + \Delta n)$$

Outline

6.1 Carrier generation and recombination

6.2 Characteristics of excess carriers

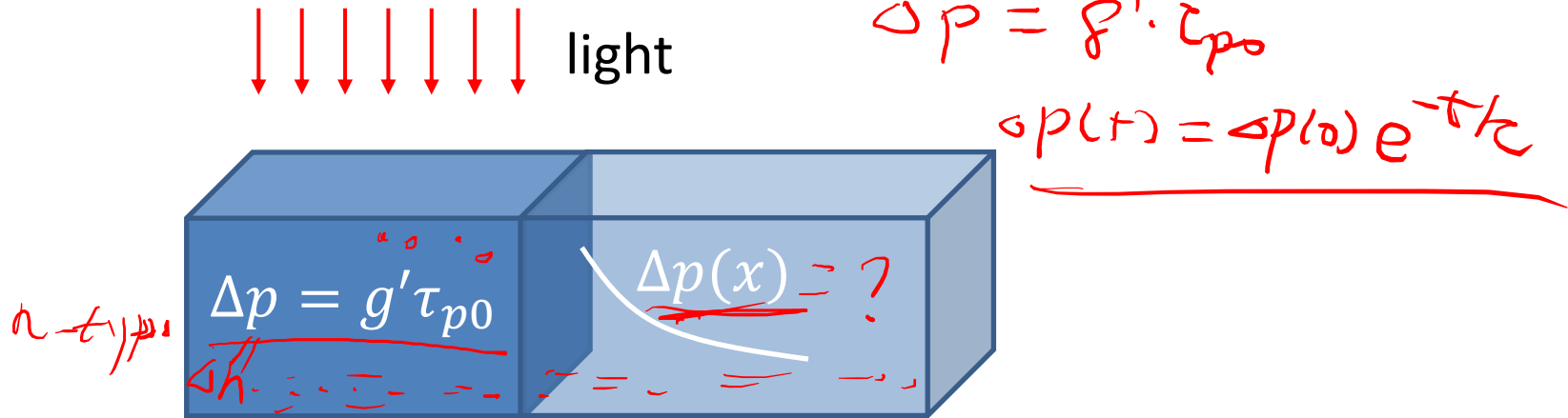
6.3 Quasi-Fermi levels

6.4 Excess carrier lifetime

6.5 Surface effects

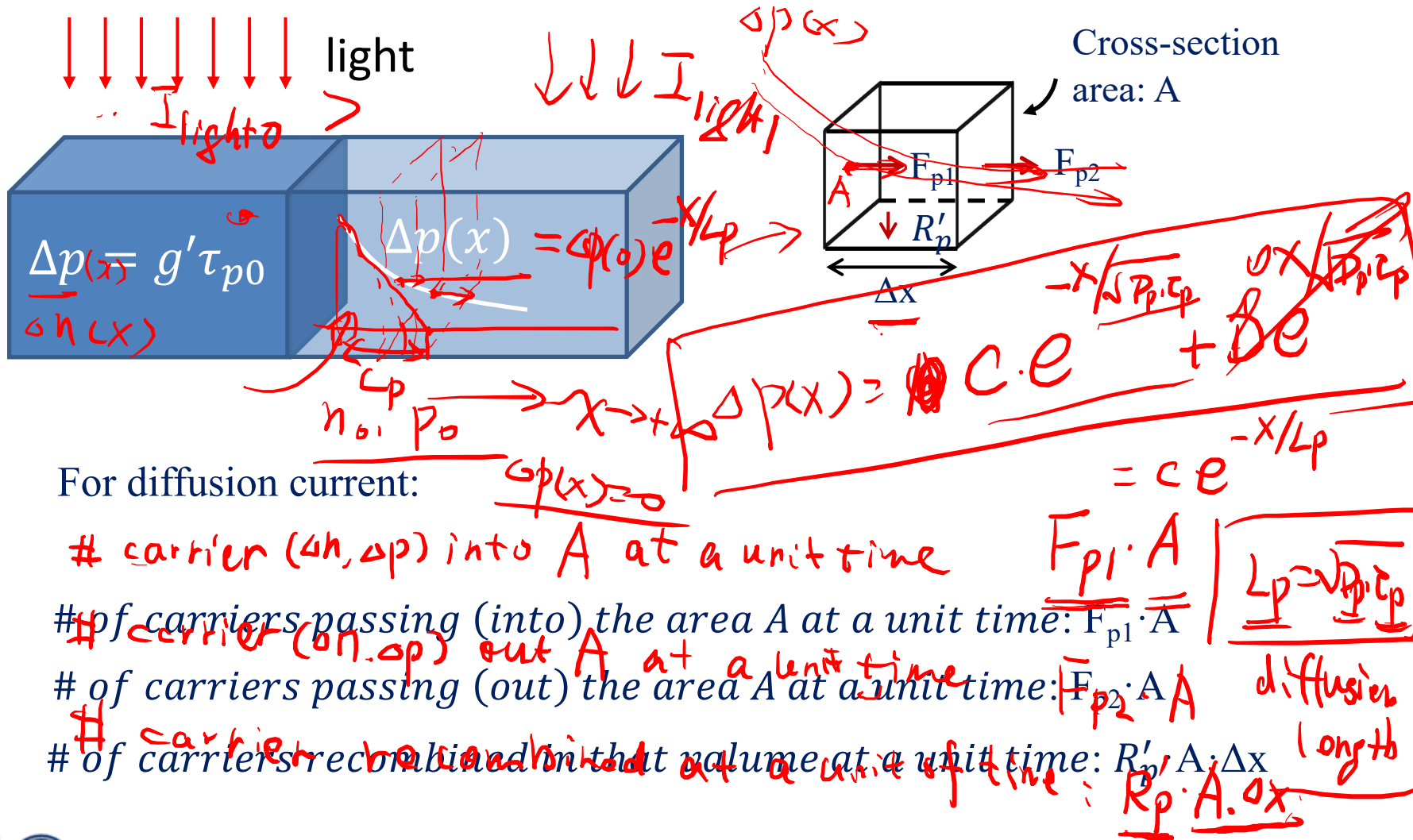
6.2 Characteristics of excess carriers

Continuity equation at steady state



6.2 Characteristics of excess carriers

Continuity equation at steady state



6.2 Characteristics of excess carriers

Continuity equation at steady state

diffusion

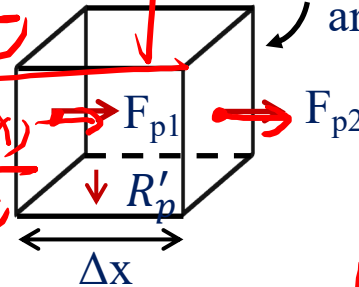
Cross-section
area: A

$$\Delta p(x) = A e^{-x/\sqrt{D_p \tau}} + B e^{x/\sqrt{D_p \tau}}$$

For diffusion current:

$$\frac{d^2 \Delta p(x)}{dx^2} = \frac{\Delta p(x)}{L_p^2}$$

$$\frac{d \Delta p(x)}{dx} = \frac{\Delta p(x)}{D_p \tau}$$



$$F_{p2} \cdot A - F_{p1} \cdot A = -R'_p \cdot A \cdot \Delta x$$

$$(F_{p2} - F_{p1}) A = -\frac{\Delta n}{\tau} \cdot A \cdot \Delta x$$

$$\lim_{\Delta x \rightarrow 0} \frac{F_{p2} - F_{p1}}{\Delta x} = -\frac{\Delta n}{\tau}$$

$$\frac{dF_p}{dx} = -\left(\frac{\Delta n}{\tau}\right) + g'_p$$

$$F_p = -D_p \frac{d\Delta p}{dx}$$

$$p = p_0 + \Delta p$$

$$= -D_p \frac{d\Delta p}{dx}$$

$$R'_p = \frac{\Delta n}{\tau}$$

$$= \alpha_r (n_0 + p_0) \Delta n$$

6.2 Characteristics of excess carriers

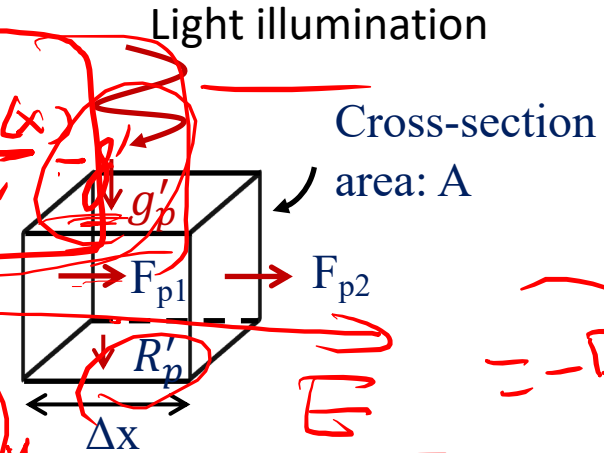
Steady-state continuity equation

diffusion equation

$$\frac{d^2 \delta p(x)}{dx^2} = \frac{\delta p(x)}{\tau}$$

$$F = \frac{J}{q}$$

$$F_{p2}A - F_{p1}A = -R_p' \cdot A \cdot \Delta x + G_p' \cdot A \cdot \Delta x$$



$$F = -D_p \frac{d\delta p}{dx}$$

$$F = -D_p \frac{d\delta p}{dx}$$

$$\lim_{\Delta x \rightarrow 0} \frac{F_{p2} - F_{p1}}{\Delta x} = -R_p' + G_p' = -\frac{\delta p}{\tau} + g'$$

$$F = -D_p \frac{d\delta p}{dx} + \frac{q \mu_p E}{p = p_0 + \delta p}$$

$$p = p_0 + \delta p$$

$$-D_p \frac{d^2 \delta p}{dx^2} + \frac{d(p \mu_p E)}{dx} = -\frac{\delta p}{\tau} + g'$$

$$-D_p \frac{d^2 \delta p}{dx^2} = -\frac{\delta p}{\tau} + g'$$

6.2 Characteristics of excess carriers

Steady-state continuity equation

Steady state:

$$D_p \frac{d^2 p}{dx^2} - \mu_p E \frac{dp}{dx} - p \mu_p \frac{dE}{dx} - \frac{\Delta p}{\tau_{p0}} + g'_p = 0$$

Handwritten notes: Above the equation, $\frac{dE}{dx}$ is written in red. The term $\mu_p E \frac{dp}{dx}$ is circled in red. The term $p \mu_p \frac{dE}{dx}$ is circled in red. The term $\frac{\Delta p}{\tau_{p0}}$ is circled in red. The term g'_p has a red arrow pointing to it.

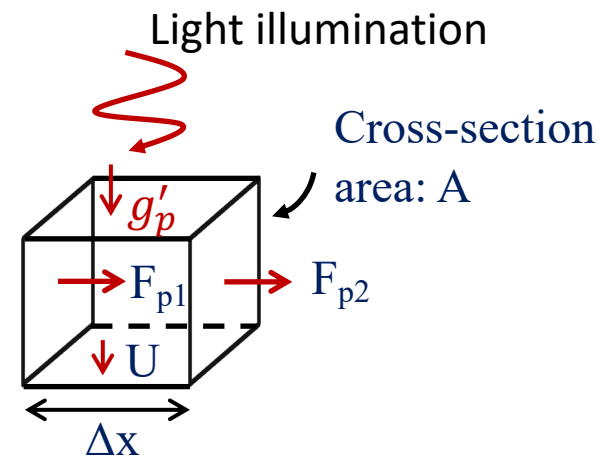
When the n-type semiconductor is uniformly doped,

$$p(x) = p_0 + \Delta p(x)$$

$$D_p \frac{d^2 \Delta p}{dx^2} - \mu_p E \frac{d\Delta p}{dx} - \Delta p \mu_p \frac{dE}{dx} - \frac{\Delta p}{\tau_{p0}} + g'_p = 0$$

6.2 Characteristics of excess carriers

Time-dependent continuity equation



6.2 Characteristics of excess carriers

Time-dependent continuity equation

For an n-type semiconductor,

$$\frac{d\Delta p}{dt} = D_p \frac{d^2 p}{dx^2} - \mu_p E \frac{dp}{dx} - \bar{p} \mu_p \frac{dE}{dx} - R'_p + g'_p$$

(minority carriers)

$$\frac{\Delta p}{\tau_{p0}}$$

holes as minority

$$R'_p = \frac{\Delta p}{\tau_{p0}}$$

$$\frac{d\Delta n}{dt} = D_n \frac{d^2 n}{dx^2} + \mu_n E \frac{dn}{dx} + n \mu_n \frac{dE}{dx} - R'_n + g'_n$$

nonlinear (majority carriers)

$$\frac{\Delta p}{\tau_{p0}}$$

$$R'_n = R'_p = \frac{\Delta p}{\tau_{p0}}$$

$$g'_n = g'_p$$

Summary

Table 6.2 |

Specification	Effect
Steady state	$\frac{\partial(\delta n)}{\partial t} = 0, \quad \frac{\partial(\delta p)}{\partial t} = 0$
Uniform distribution of excess carriers (uniform generation rate) + no boundary confinement	$D_n \frac{\partial^2(\delta n)}{\partial x^2} = 0, \quad D_p \frac{\partial^2(\delta n)}{\partial x^2} = 0$
Zero electric field	$E \frac{\partial(\delta n)}{\partial x} = 0, \quad E \frac{\partial(\delta p)}{\partial x} = 0$
No excess carrier generation	$g' = 0$
No excess carrier recombination (infinite lifetime)	$\frac{\delta n}{\tau_{n0}} = 0, \quad \frac{\delta p}{\tau_{p0}} = 0$