

# EC601 Replacement Presentation

Option 3. Kaggle Steel Defect Detection

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Aug.30<sup>th</sup>, 2019

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# Problem Introduction

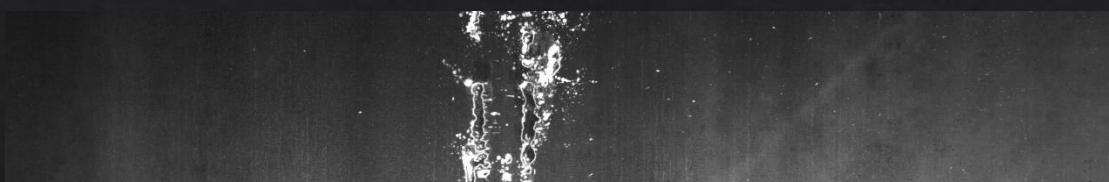
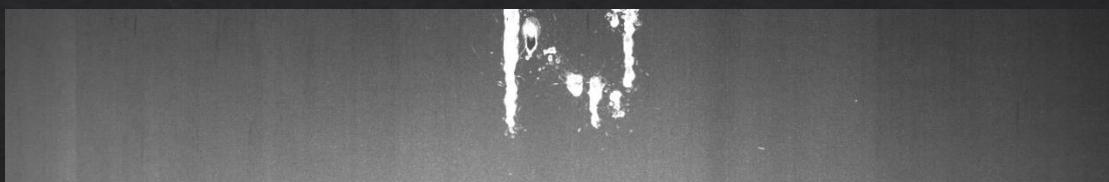
- ❖ **Quality** is a critical factor in steel industrial production
- ❖ **Manual** inspection in most quality control is slow and unreliable
- ❖ **Automated machine vision** inspection is a faster and more reliable method

# Data Set

- ❖ Normal steel surface



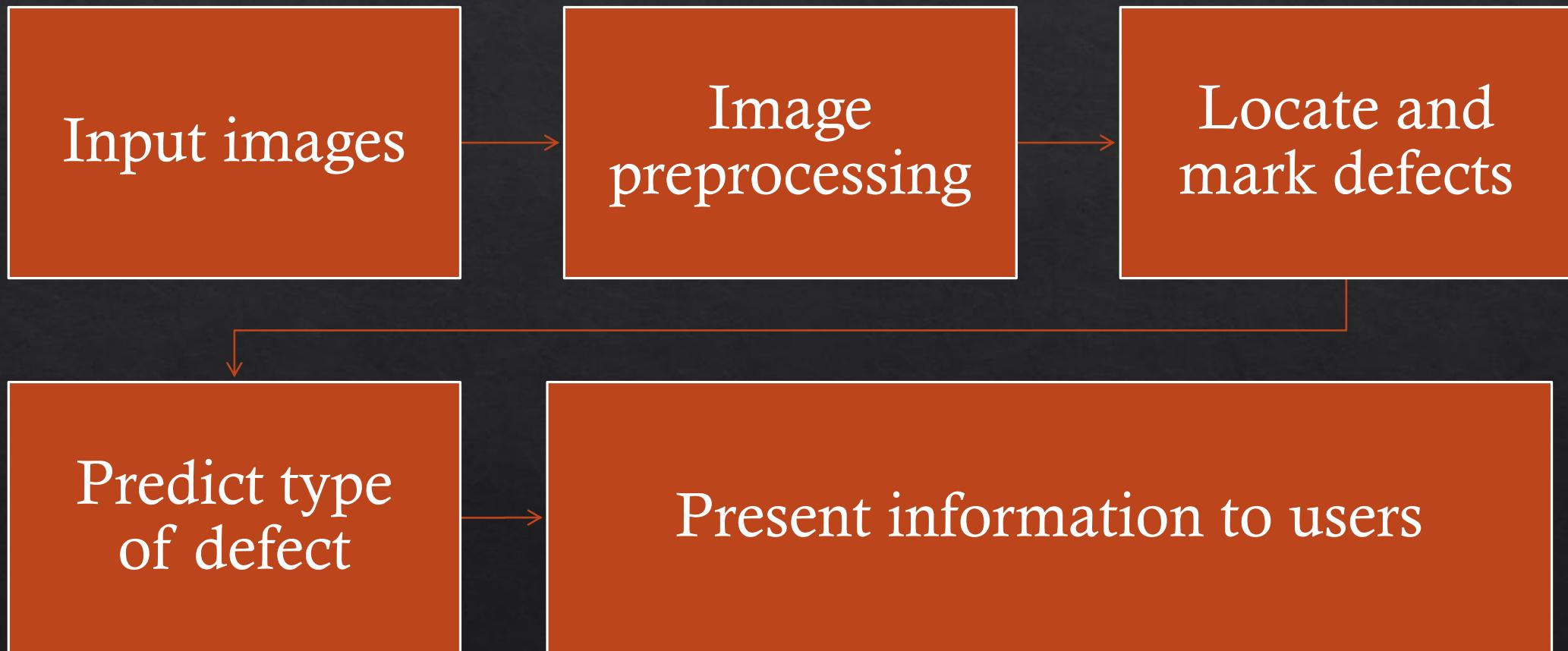
- ❖ Defective steel surface (Welding, Cracking, Oxidation, Exfoliation etc.)



# Product Concept

- ❖ Based on the competition provided by Severstal:  
“the main goal of the competition is to develop an algorithm for **localizing** and **classifying** the defects on a steel sheet.”
  
- ❖ Our product is designed to satisfy three main requirements:
  - (1) Are there defects on the steel surface?
  - (2) If any defects, where are they?
  - (3) What kinds of defects?

# Product Concept



# Product Concept

- ❖ General image **data preprocessing** methods are applied to the input images in order to **reduce** the distortion and **eliminate** the noise in the images.
- ❖ Our product will work on the interested regions with **a specific grid size** to find the areas where the defects may occur.
- ❖ Training and classifying are essential on these **specific grid size sub-regions**
- ❖ This trained model helps users make predictions regarding the **preprocessed** test images and **highlights** the defective regions
- ❖ Localization of defects will have a **rough shape** rather than a simple bounding box

# User Story

- ❖ As a user, several requirements should be satisfied:
  - (1) Are there any defects existing in the image
  - (2) The user should know where the defect is located in the image
  - (3) The user should know the type of defect
  - (4) The system should be reliable for the users

# User Story

- (1) Are there any defects in the image?

The fundamental outcome of the algorithm is **whether there is a defect**.

- (2) The user should know where the defect is located in the image:

Users view the defects with the visualized **rough profile**

# User Story

(3) The user should know the type of defect:

There can be **various types** of defects occurring in the image.

A **simple line or circle** is an example of a simple geometric defect

**Complex** defective shapes include oxidation, exfoliation, texture-like defects.

In order to analyze the defect, **classification** for the defect is necessary.

# User Story

(4) The system should be reliable for the users:

Our product should guarantee that **all critical defects will be detected without generating false alarms**

The user needs a reliable system that provides **high accuracy**.

# Minimum Viable Product

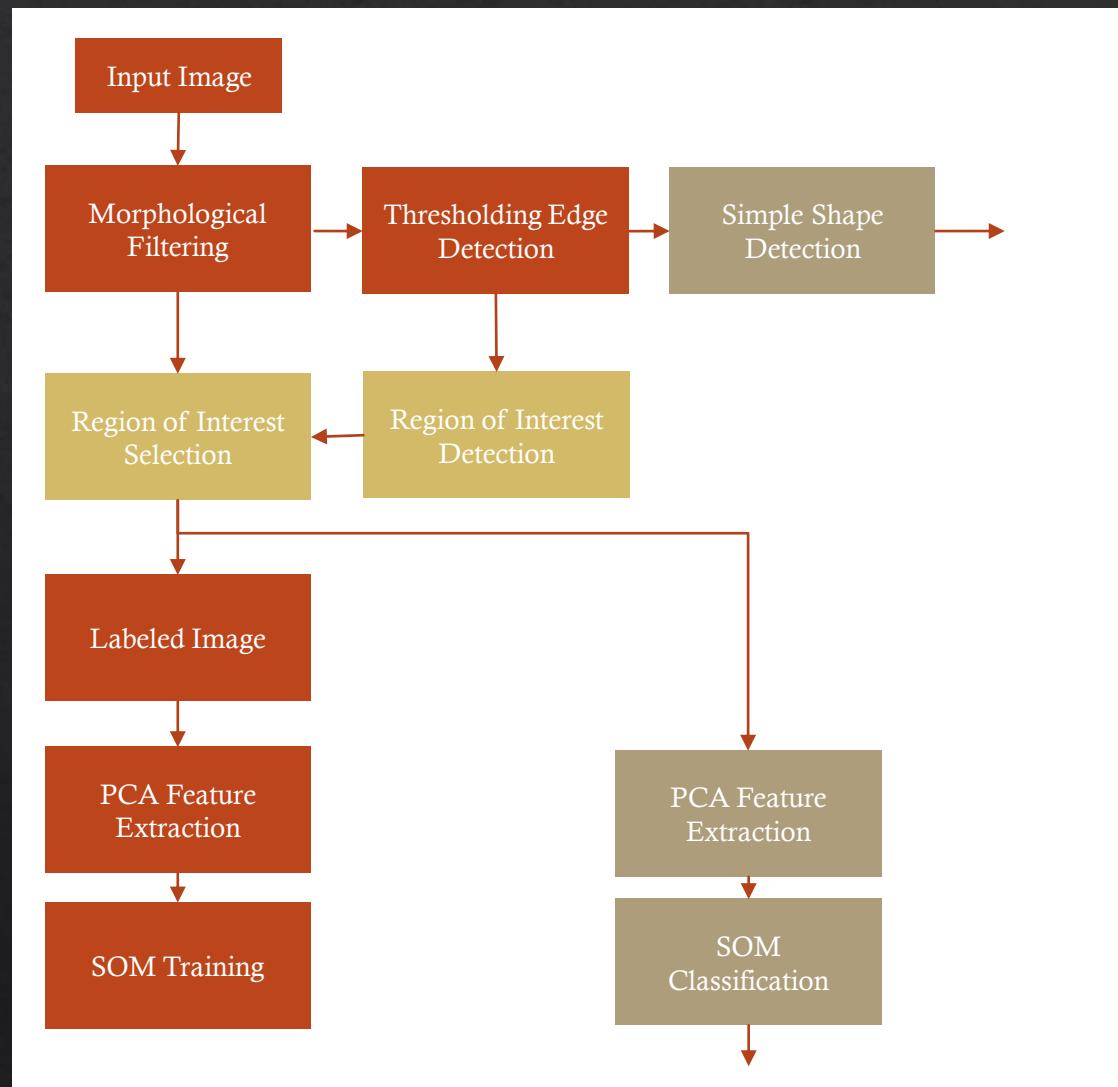
- ❖ Minimum viable product includes both training and testing operations.
- ❖ MVP will do preprocessing operations (morphological filtering and edge detection) to eliminate the noise and thus increase the prediction accuracy.
- ❖ Then the preprocessed images will be divided into several blocks with specific pixels size and the blocks of interest are chosen by algorithm. These chosen blocks are labeled and contribute to the training of the model.

# Minimum Viable Product

- ❖ Principle Component Analysis (PCA) realizes the **feature extraction** of the block.
- ❖ Self-Organizing Map (SOM) realizes the **classification** of each block.
- ❖ The well-trained model will finally give out the **prediction for each block** and **highlight** the blocks with **different colors** according to their **defective type**.

# System Architecture

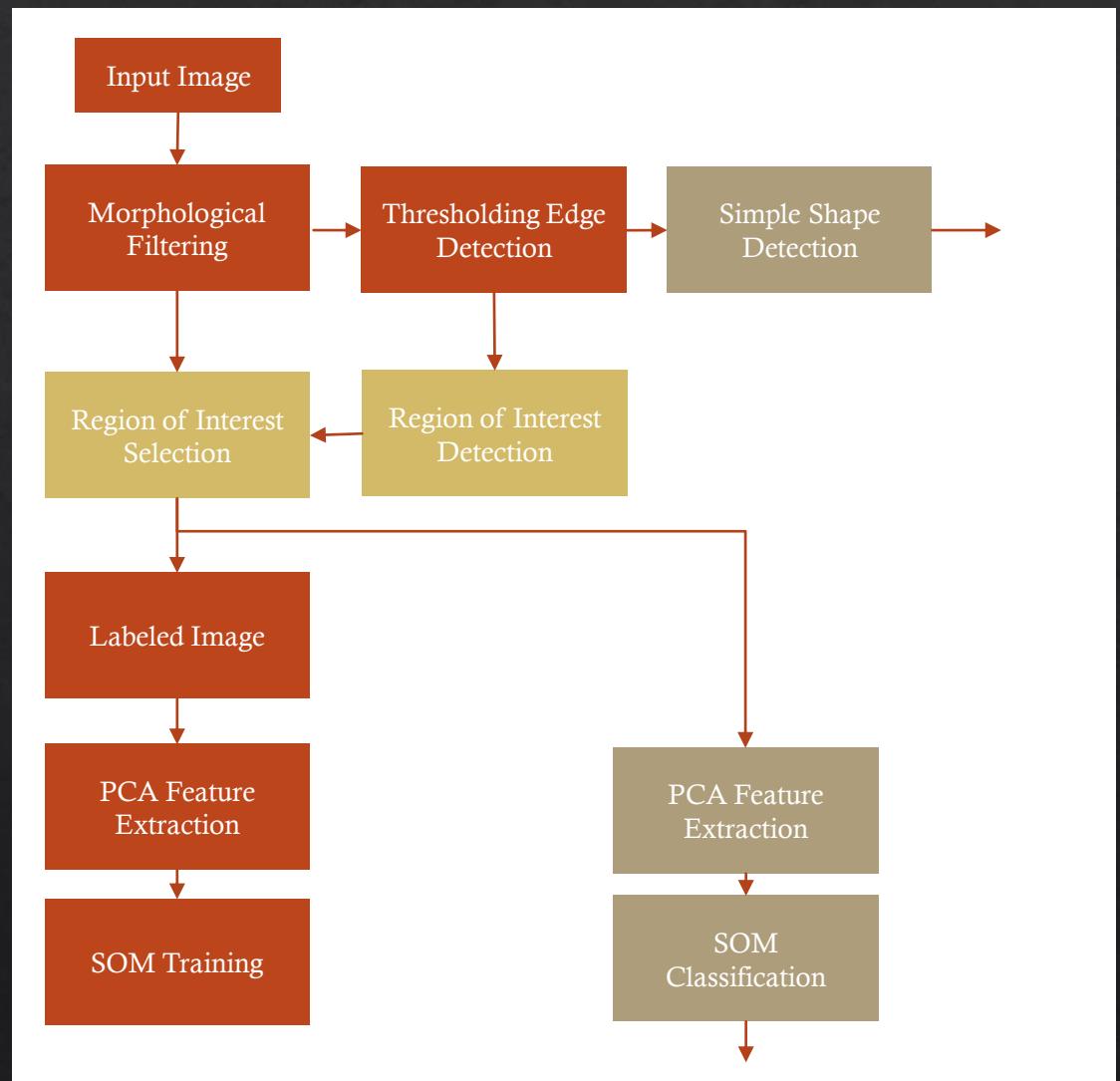
- ❖ Data Preprocessing
- ❖ Region of Interest Chosen
- ❖ Training && Testing



# Data Preprocessing

## ❖ Phase 1. Morphological Filtering:

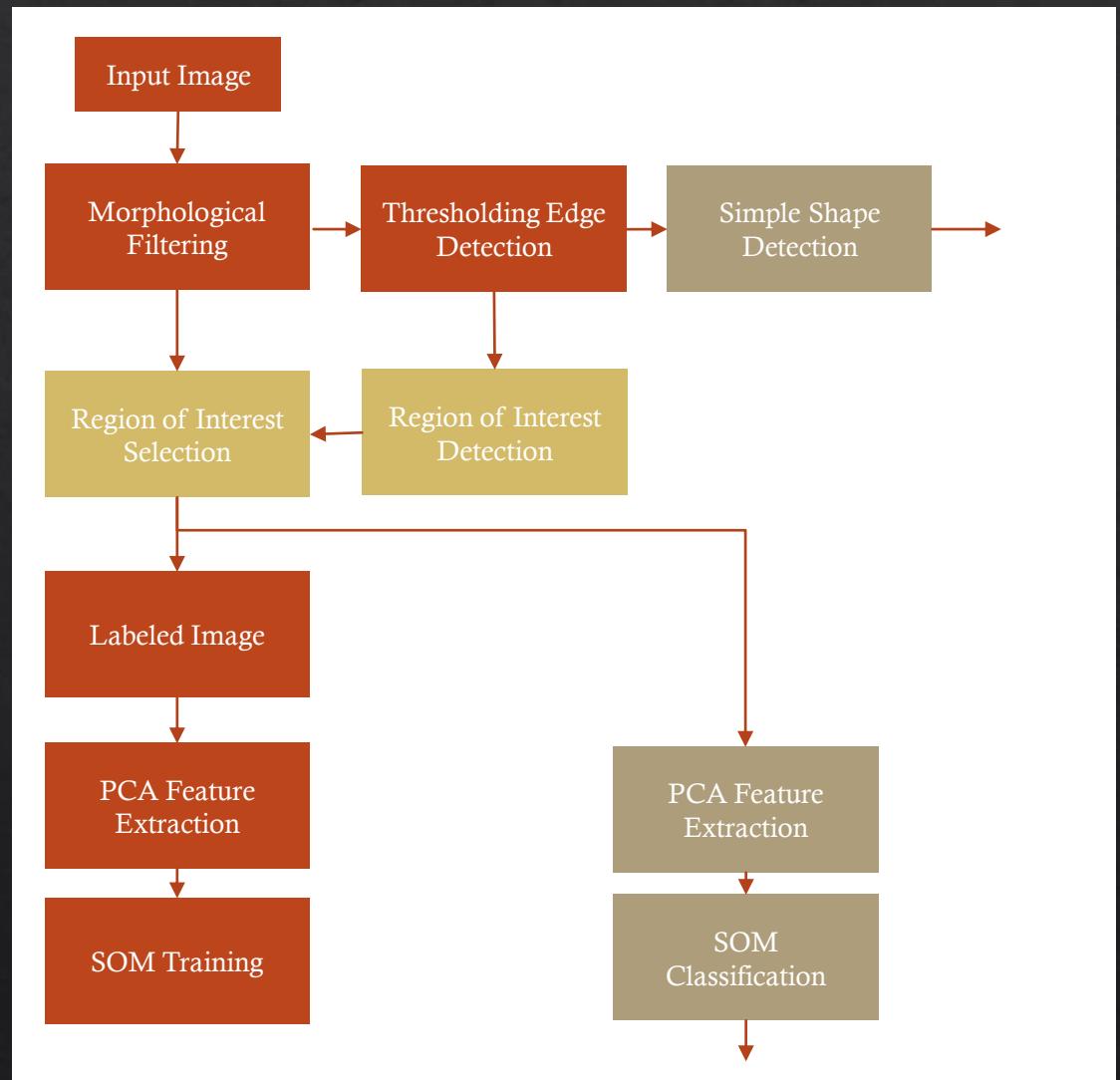
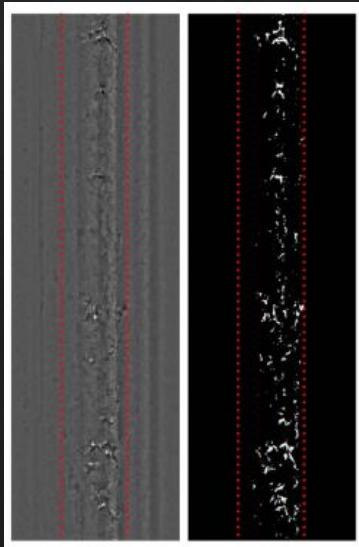
The Gaussian and Morphological filters are operated on the image to form a **gray scale** image and **eliminate** the effect of **noise**



# Data Preprocessing

## ❖ Phase 2. Thresholding Edge Detection:

Threshold edge detection method is applied to **segment** the gray level image. The image is transformed to a **binary image** which is **clear** to **detect the interested region** in the later phase.

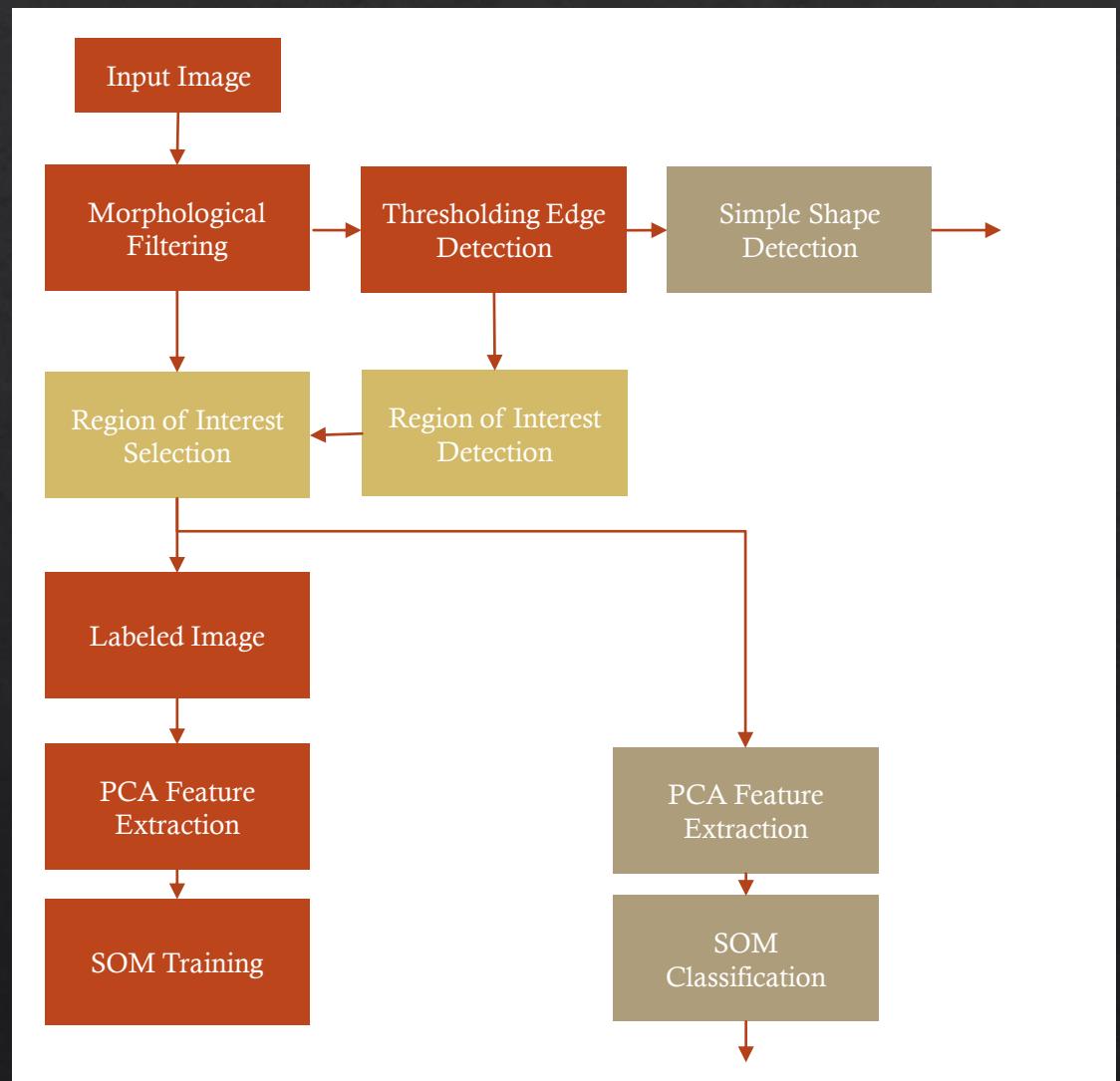


# Simple Detection

## ❖ Phase 3. Simple Shape Detection:

The binary image produced in the phase 2 will be submitted to phase 3. **Hough transform** is used in this phase to detect simple shape like straight-line and circle defects.

These kinds of defects will be **directly** predicted to the output module

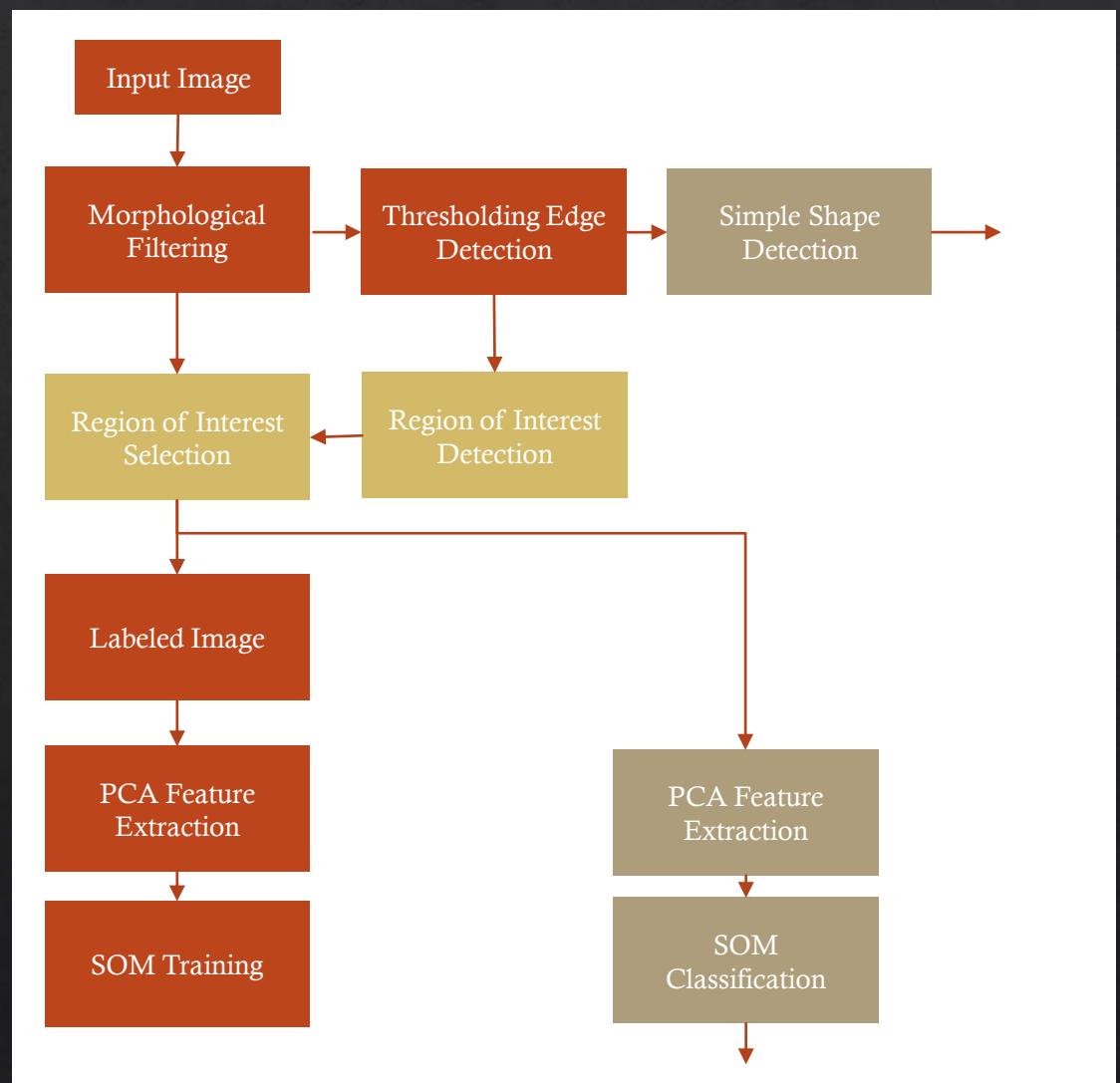


# Region of Interest

## ❖ Phase 4. Region of Interest Detection:

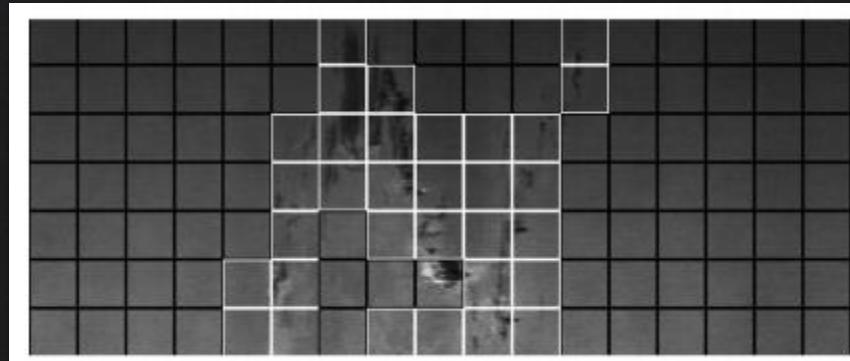
A **window** with a specific size like  $32 \times 32$  pixels will slide through the whole binarized image to **form sub-image regions**. Among these regions, the region with a **quantity** of total pixels over a **threshold** and without any line & circle will be **chosen** to next phase and be the **candidate for the training**.

These regions are called **region of interest**, and they may contain the defects.



# Reason for dividing into sub-images

- ❖ Dividing the image into several sub-images could make it easier to extract features out of local regions without losing so many important information.
- ❖ Also, dividing into blocks makes the localization clearer and more accurate
- ❖ Localization draws out a rough profile of defects rather than simply using a bounding box

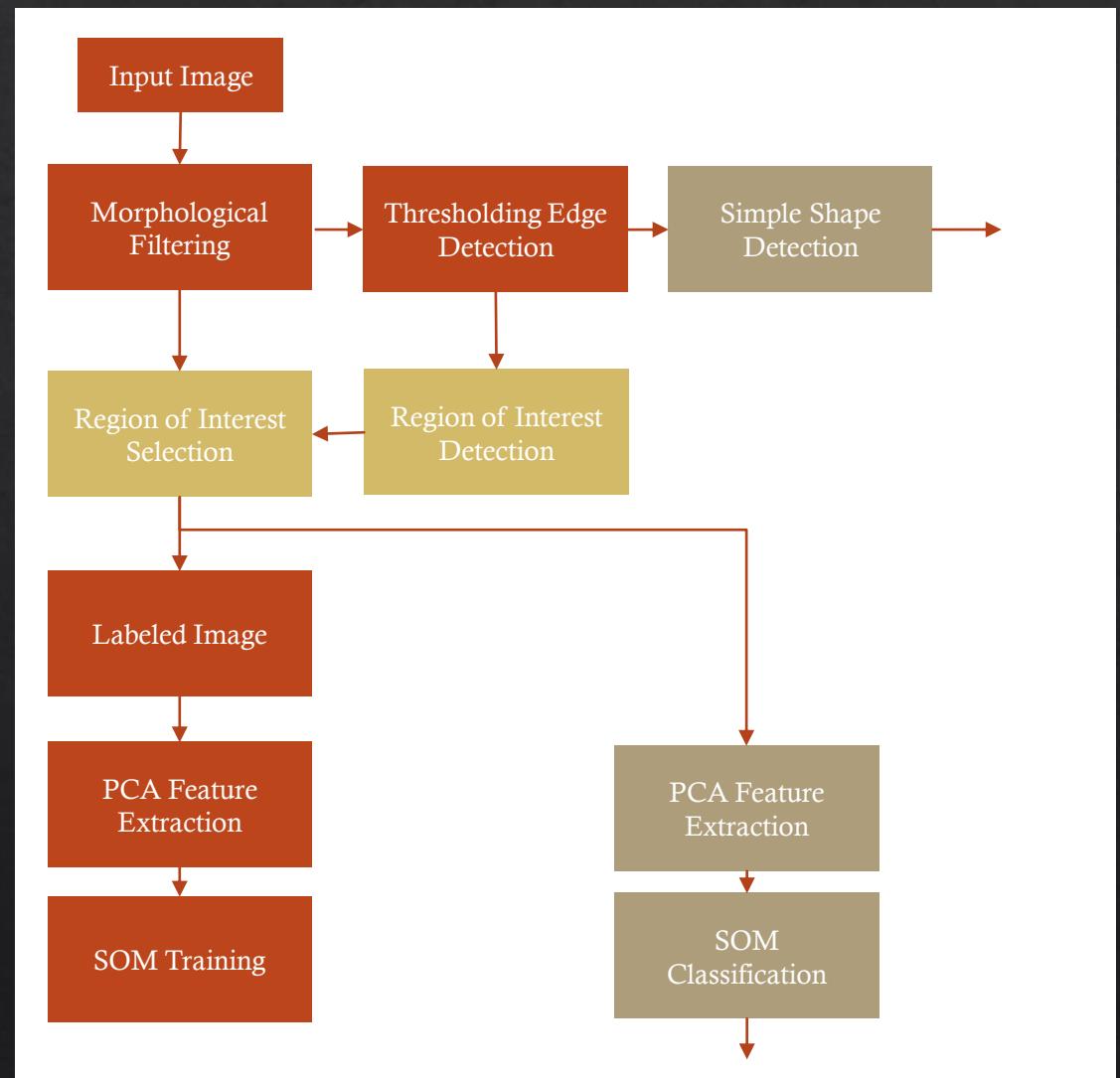
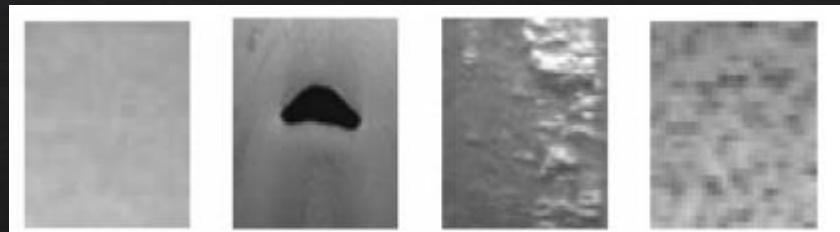


# Region of Interest

## ❖ Phase 5. Region of Interest Selection:

These regions of interest will be recorded and saved. Then the system will **crop** the corresponding regions from **the image after phase 1 filtering**.

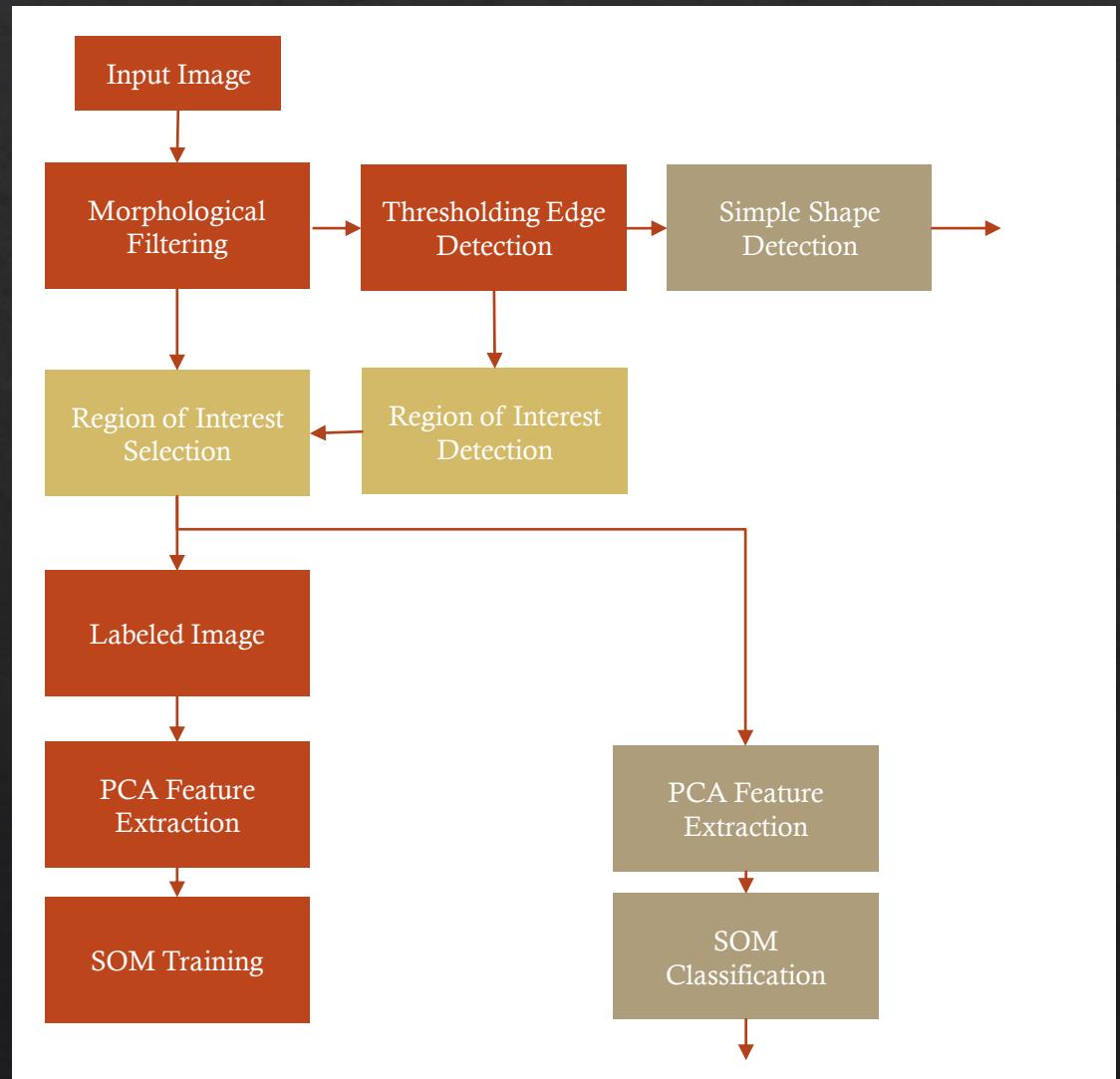
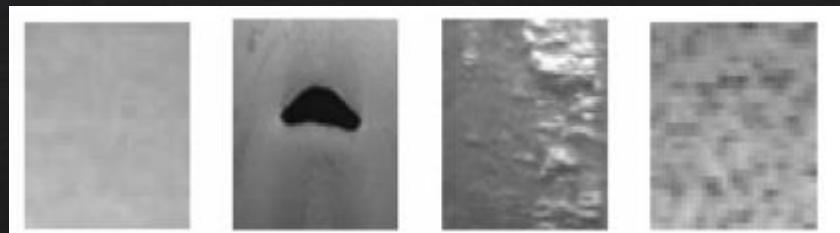
These regions will be used for **both training** and predicting.



# Training

## ❖ Phase 6. Labeled Image:

The regions of interest chosen from the former phase are labeled as **defective or normal** for training. If it is defective, the **corresponding defect type** is also labeled.

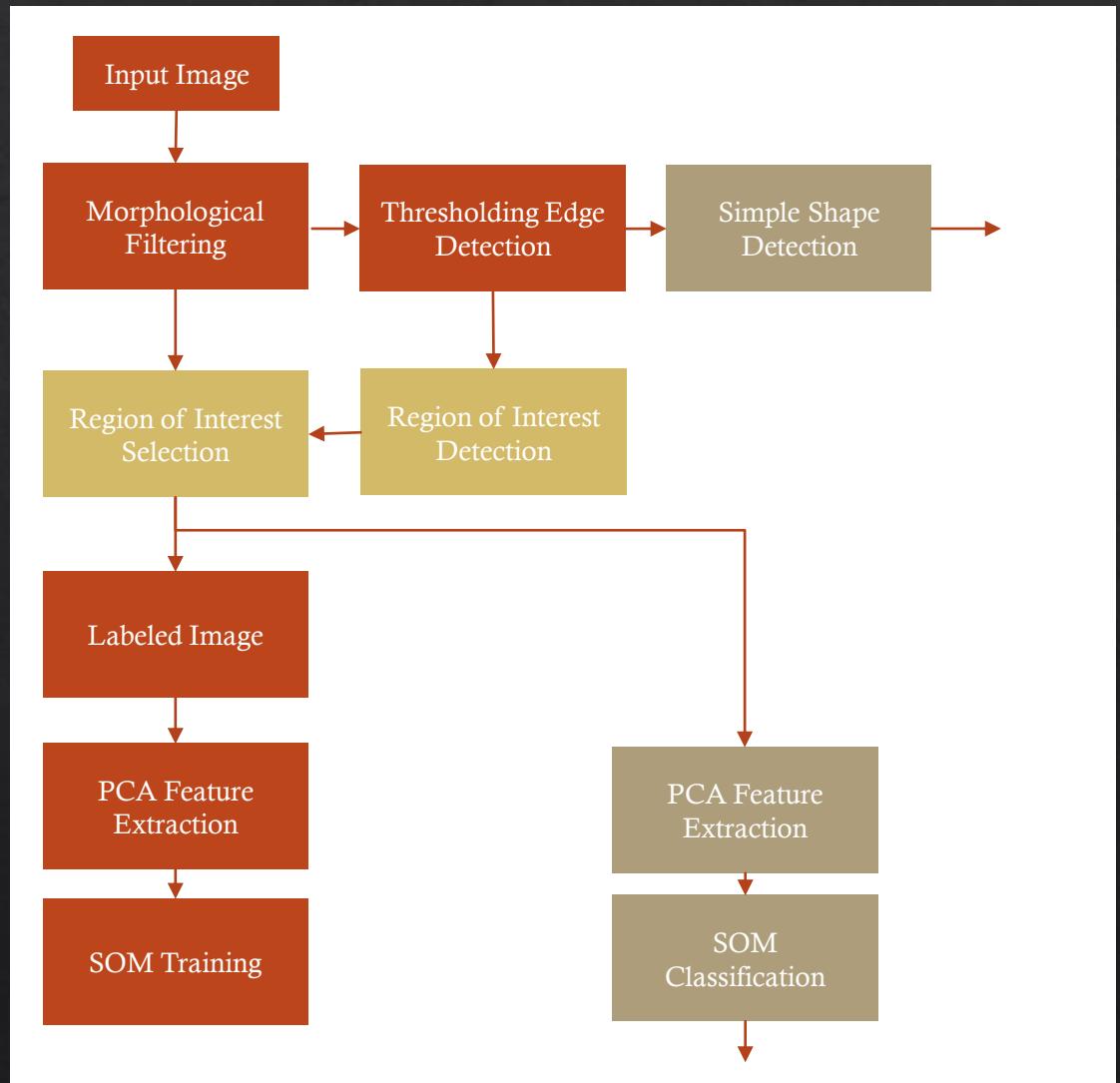


# Training

- ❖ Phase 7. PCA Feature Extraction:

Use PCA (Principal Component Analysis) to extract the features out of the regions.

PCA is a method that could both reduce the data dimensions and also keep the critical features of the data. Our product chooses PCA since it can be applied easily by using sklearn API and extract features well without losing important information.

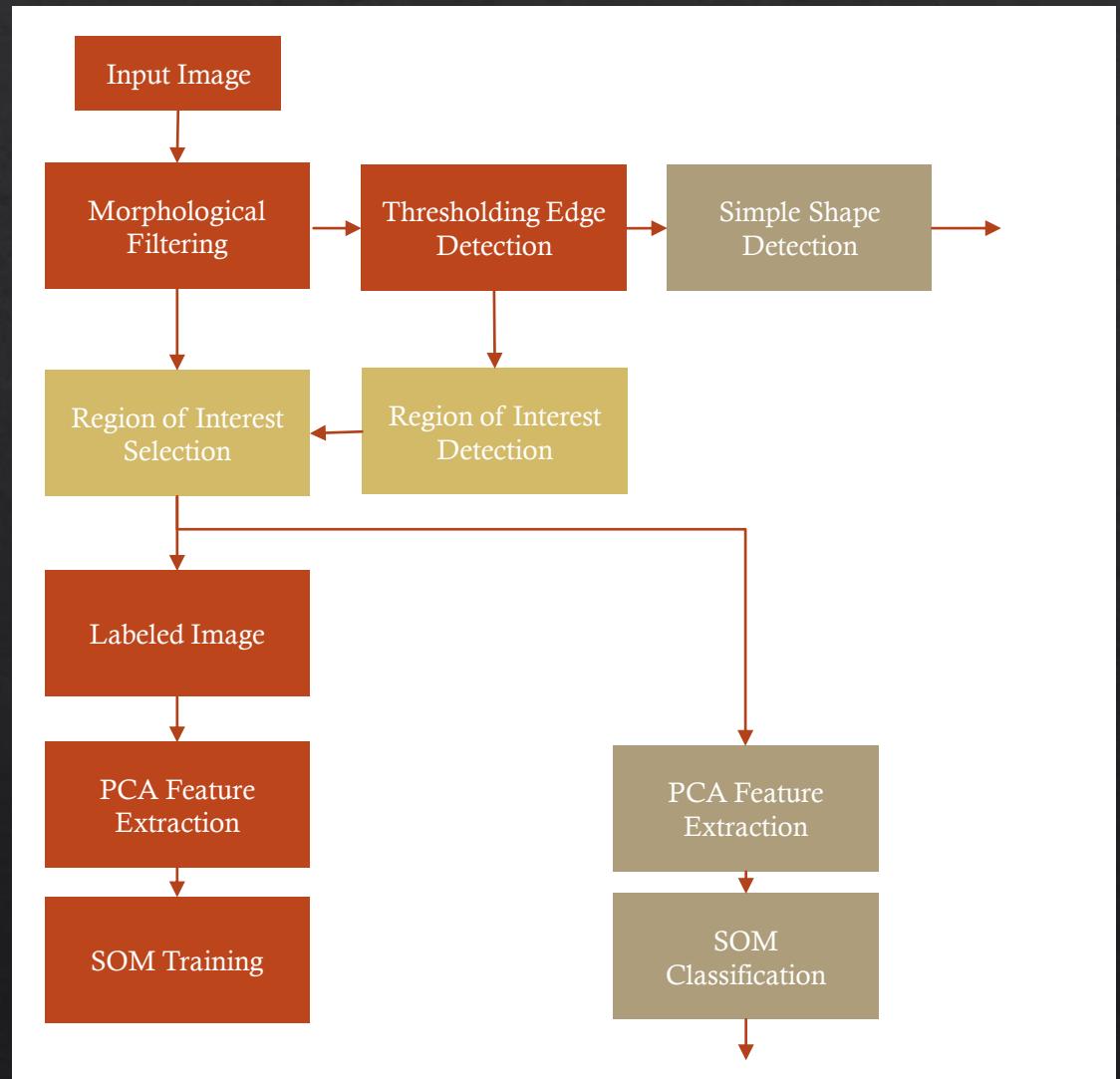
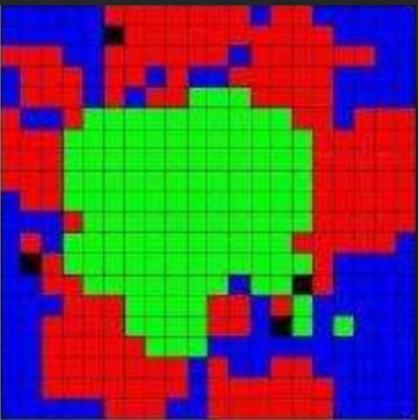


# Training

- ❖ Phase 8. SOM Training:

SOM--- Self-Organizing Map is **clustering** method that could be used as a **grouping classification**.

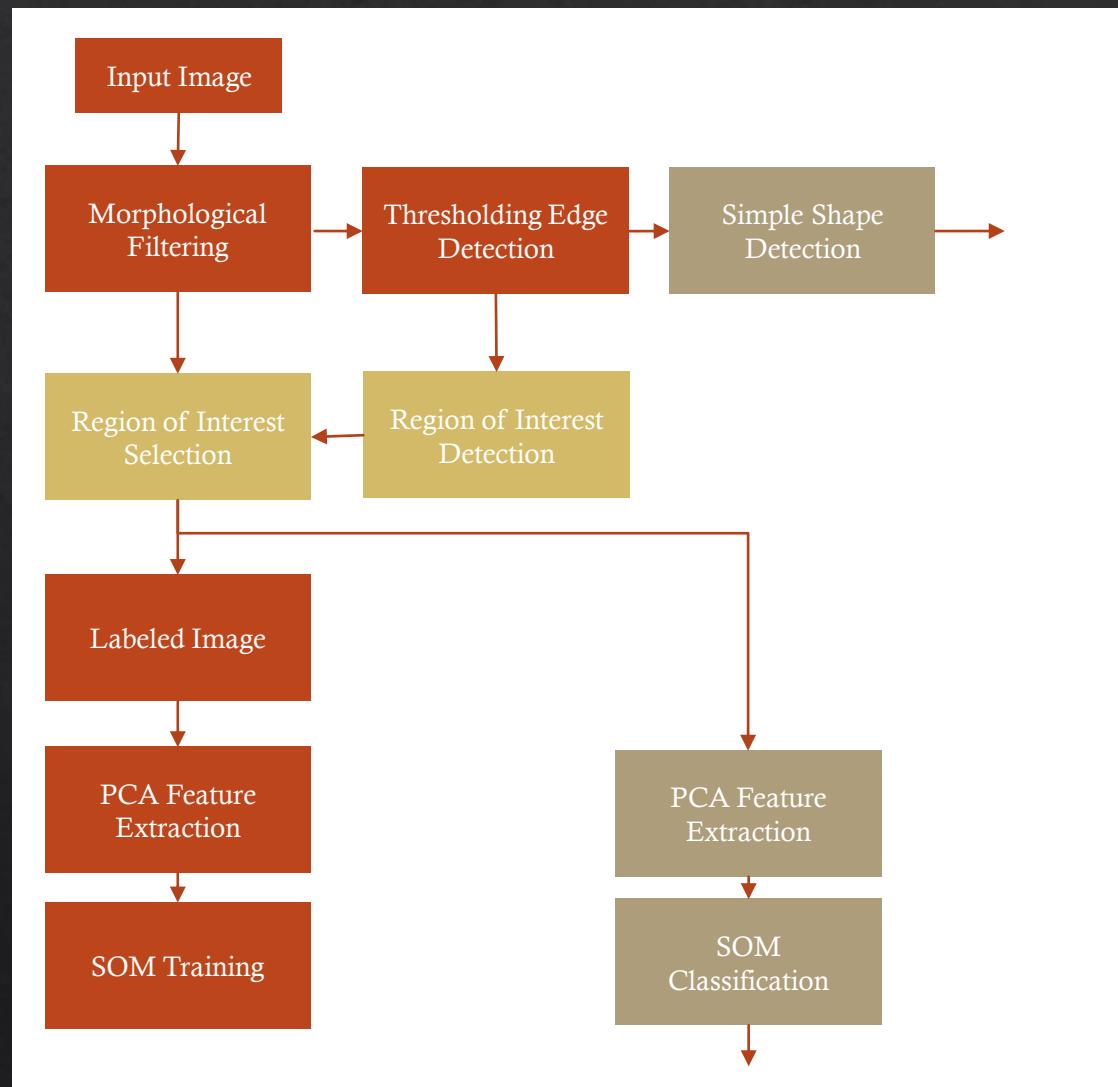
The feature vectors with the defective labels are trained by SOM and form a model that could be used later.



# Testing

- ❖ Phase 9. PCA Feature Extraction:

This phase is similar as the phase 7. The regions of interest are chosen from the phase 1 and feature vectors are extracted out to be used for classification.



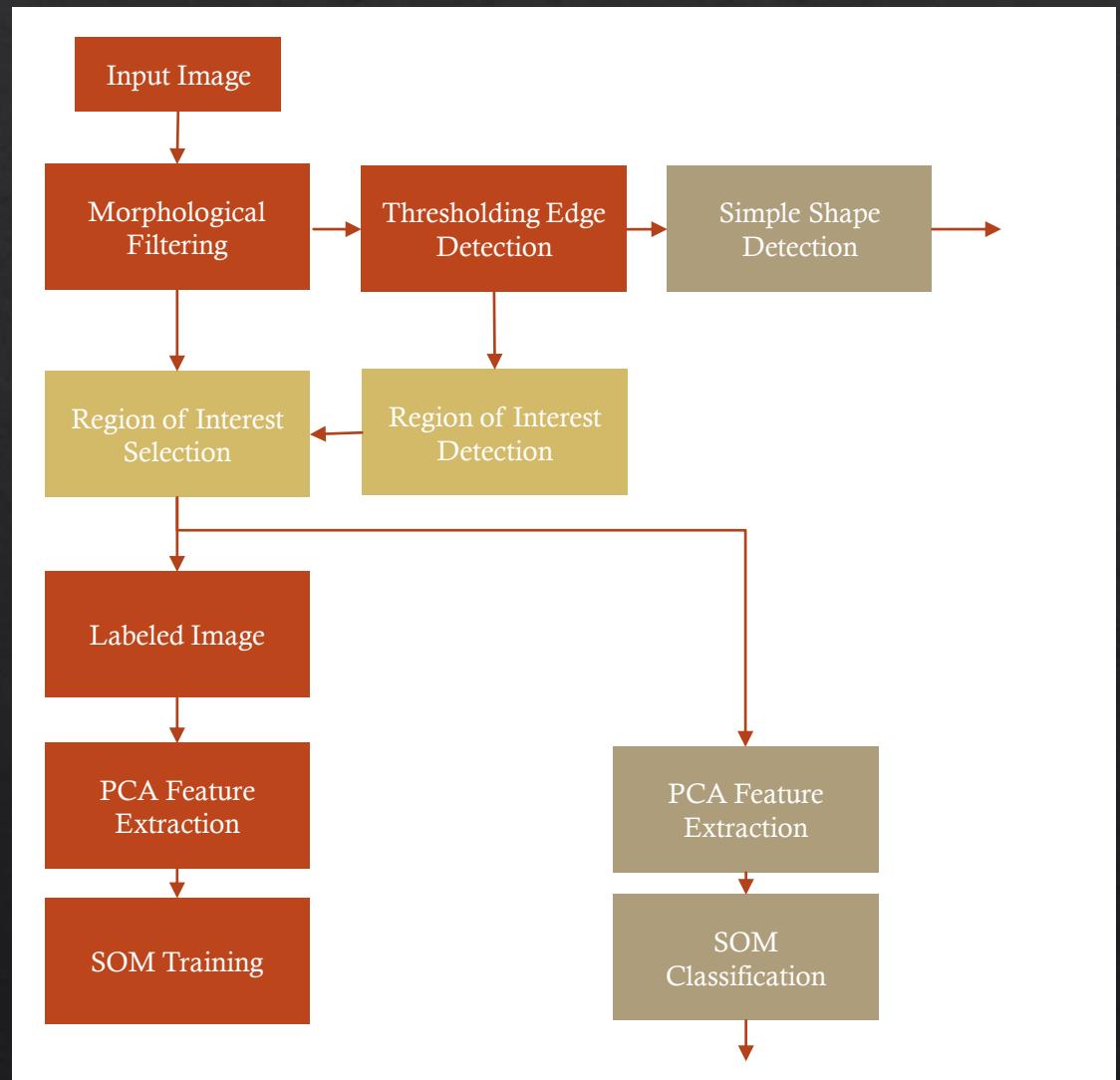
# Testing

- ❖ Phase 10. (Testing) SOM Classification:

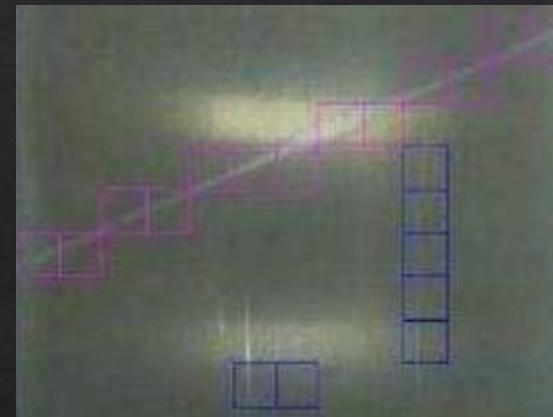
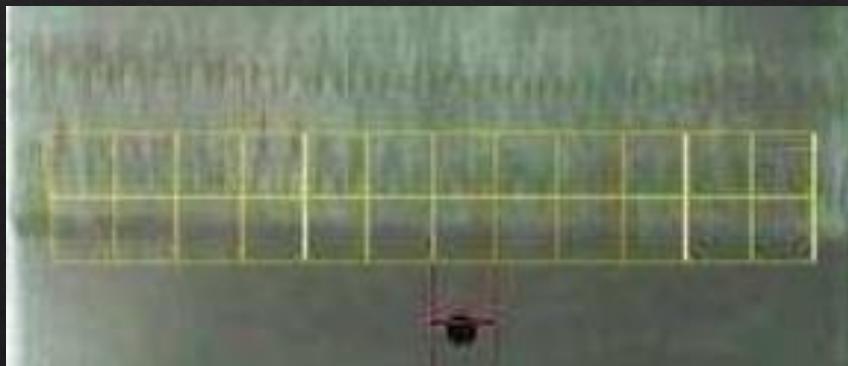
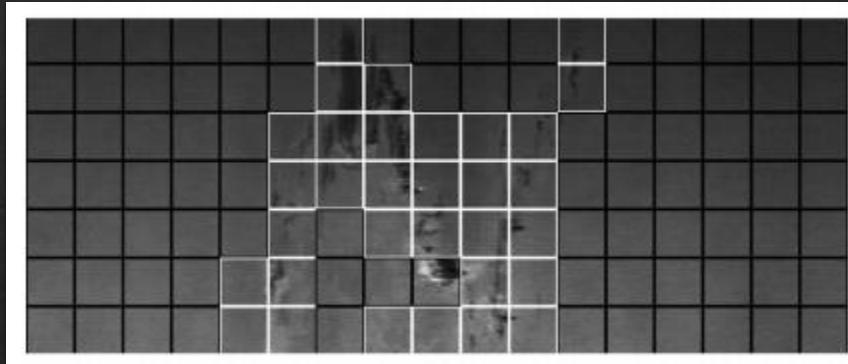
SOM model will detect and predict type if the defects exist in these sub-images.

The sub-images with the defects detected will be highlighted by **specific colored block boxes** corresponding to their defect types.

The examples are shown in next slide:



# Example Output



# Testing Strategy

- ❖ In order to meet the users' requirement, our product needs to consider several coefficients like precision, recall and their combination G-mean and F-mean.
- ❖ Precision =  $\frac{TP}{TP+FP}$ , Recall =  $\frac{TP}{TP+FN}$

$$G\text{-mean} = \sqrt{TPr \times TNr}$$

$$F\text{-measure} = \frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}$$

Our product's testing goal is to maintain them in a reasonable level.

Then the user requirement is met:

guarantee that no critical defect will go undetected, but without generating many false alarms that make the system unusable

# Alternative 1: Feature extraction and training/classification model

- ❖ During literature research about the method of the feature extraction → **few method** about the feature extraction on the steel surface image.
- ❖ The oxidation and cracks on the steel surface remind me of the **fabric and the clothes**. The **textured analysis methods** are generally usable for the steel defect detection.
- ❖ Recommend: 2D discrete wavelet transform

# Alternative 1

Total number of articles recommending DWT: 6

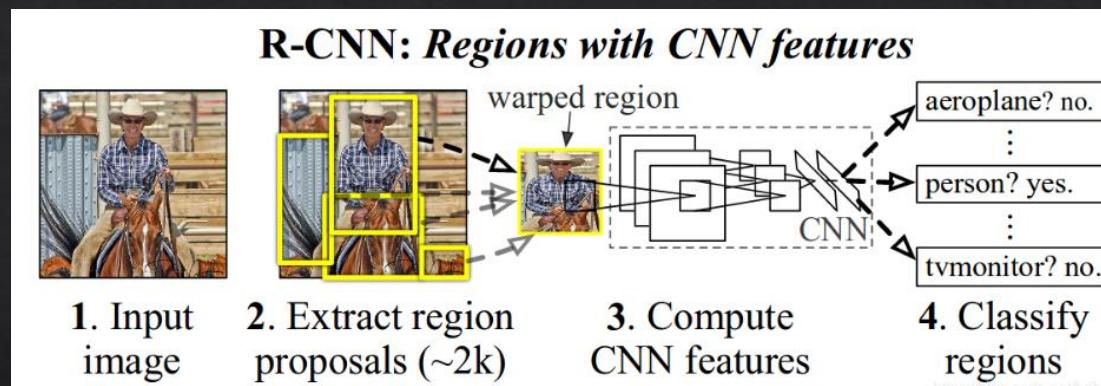
- ❖ [1] *Automatic Defect Detection on Hot-Rolled Flat Steel Products*
- ❖ [2] *Defect detection for corner cracks in steel billets using a wavelet reconstruction method*
- ❖ [3] *Vision-based defect detection of scale-covered steel billet surfaces*
- ❖ [4] *Texture classification and segmentation using wavelet packet frame and Gaussian mixture model*
- ❖ [5] *Automated surface inspection for statistical textures*
- ❖ [6] *Stitching defect detection and classification using wavelet transform and BP neural network*

# 2D discrete wavelet transform

- ❖ Discrete Wavelet Transform is **one of the famous textured analysis methods**. The PCA feature extraction method could be replaced by 2D discrete wavelet transform (DWT). In the computational period of the DWT, we could decompose the  $32 \times 32$  input sub-images into **three levels which are vertical level, horizontal level and diagonal level** and extract the features out in these three levels respectively.
- ❖ Also, the training model of SOM could also be replaced by Support Vector Machine (SVM) which creates a hyper-plane that could help classify the defect types.

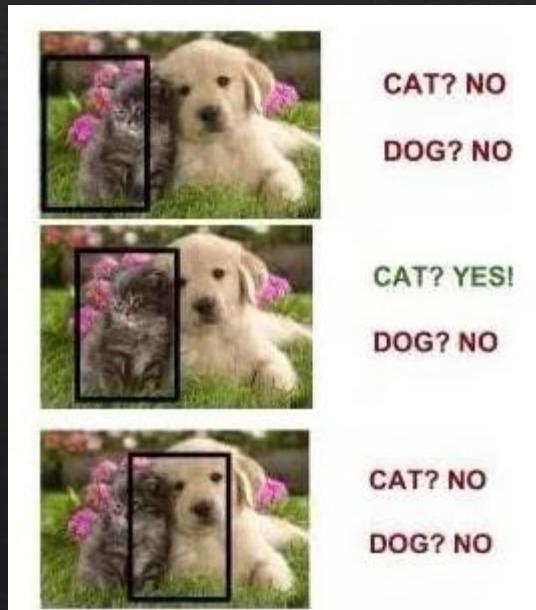
# Alternative 2: Alternative for localization: Mask RCNN

- ❖ Actually, in the field of object localization, RCNN is popular and useful for the multiple object and region localization



# RCNN & Faster RCNN

- ❖ RCNN is neural network that **slides** a **specific size window** through the whole image and select some interested region which produced by **Region Proposal Network (RPN)**. Classifier makes the classification and detection in each proposal region and finally multiple objects could be detected in the whole image.



# Mask RCNN

- ❖ During literature review, I found a neural network named **Mask R-CNN** is suitable for our product.
- ❖ It combines both **faster-RCNN** and **instance segmentation** together. It has **two branches** in total, one is for **multiple objects detection** and the other is for **instance segmentation** which could outline the location of the defects clearly



# Alternative 2

Total number of articles recommending RCNN: 4

- ❖ [7] *Autonomous Structural Visual Inspection Using Region-Based Deep Learning for Detecting Multiple Damage Types*
  - ❖ [8] *Automatic Localization of Casting Defects with Convolutional Neural Networks*
  - ❖ [9] *Detection of Rail Surface Defects Based on CNN Image Recognition and Classification*
  - ❖ [10] *Mask R-CNN*
- 
- ❖ However, consider that our input images are **gray scale** and **don't** have so **many vivid features** among the whole picture, Mask R-CNN is difficult to work well in our problem as detecting defects on steel surface since the **instance segmentation can be difficult**

# Reference

- ❖ *Automatic Defect Detection on Hot-Rolled Flat Steel Products.* Santanu Ghorai, Member, IEEE, Anirban Mukherjee, Member, IEEE, M. Gangadaran, and Pranab K. Dutta, Member, IEEE. 2013.
- ❖ *Defect detection for corner cracks in steel billets using a wavelet reconstruction method.* Yong-Ju Jeon,<sup>1</sup> Doo-chul Choi,<sup>1</sup> Sang Jun Lee,<sup>1</sup> Jong Pil Yun,<sup>2</sup> and Sang Woo Kim. 2014.
- ❖ *Vision-based defect detection of scale-covered steel billet surfaces.* Jong Pil, Yun SungHoo Choi, Sang Woo Kim. 2009.
- ❖ *Texture classification and segmentation using wavelet packet frame and Gaussian mixture model.* Soo Chang Kim, Tae Jin Kang. 2006.
- ❖ *Automated surface inspection for statistical textures.* Du Ming Tsai, Tse-Yun Huang. 2003.
- ❖ *Stitching defect detection and classification using wavelet transform and BP neural network.* W.K. Wong, C.W.M. Yuen, D.D. Fan, L.K. Chan, E.H.K. Fung. 2009.
- ❖ *Autonomous Structural Visual Inspection Using Region-Based Deep Learning for Detecting Multiple Damage Types.* Young Jin Cha, Wooram Choi, Gahyun Suh & Sadegh Mahmoudkhuni. 2018.
- ❖ *Automatic Localization of Casting Defects with Convolutional Neural Networks.* Max Ferguson, Ronay Ak, Yung-Tsun Tina Lee, Kincho H. Law.
- ❖ *Detection of Rail Surface Defects Based on CNN Image Recognition and Classification.* Lidan SHANG, Qiushi YANG, Jianing WANG, Shubin LI, Weimin LEI. 2018.
- ❖ *Mask R-CNN.* Kaiming He Georgia Gkioxari Piotr Dollar Ross Girshick. 2018.

Q & A

Thank you