

Bank Vontobel Europe AG Frankfurt Branch / SST and DI / Mai 2023

## Information regarding systematic internalisation

Bank Vontobel Europe AG ("Vontobel") opted-in to act as a Systematic Internaliser for specific non-equity instruments from 3rd January 2018 on:

- Vontobel is a Systematic Internaliser for all securitized derivatives issued by Vontobel Financial Products GmbH, Frankfurt/Main, which are traded on a trading venue within the European Economic Area;
- the relevant instruments can be found on <https://zertifikate.vontobel.com> ("Website");
- Vontobel SI MIC code: VONT;
- Vontobel will continuously stream and publish quotes for the relevant instruments during general trading hours on the Website;
- general trading hours: 08:00 CET - 22:00 CET. Specific participation / tracker certificates may be traded from 08:00 CET - 20:00 CET only;
- Vontobel trades on a request for quote basis only. Therefore, each quote is in general limited to execution to one client only. Vontobel may respond to later requests of different clients with a different quote if execution at the same quote would contravene our internal risk management procedures;
- Vontobel may update its quotes at any time and withdraw its quotes under exceptional market conditions and/or technical issues;
- where Vontobel deals in sizes above the standard market size specific to such instruments, Vontobel will not be subject to pre-trade transparency requirements;
- Vontobel may execute transactions above standard market size or that are subject to conditions other than the current market price at prices different from its published quotes.

### Company locations and address

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Bank Vontobel Europe AG is subject to supervision by the German Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht – BaFin).

"Vontobel Europe" and "Bank Vontobel Europe AG, Frankfurt Branch" are the names of Bank Vontobel Europe AG.