## Exam WS 2018/2019

## Information Theory and Coding

Name:	Student ID:	
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	Points	From
Task 1		
Task 2		
Total points		
Grade		

- The following aids are allowed in this exam:
  - 2 DIN A4 sheets, **handwritten** on both sides (4 pages in total)
  - Calculator (non-programmable, not graphical, not capable of communication)
  - o Pens
- Other aids are not allowed.
- Please use a separate solution sheet for each task.
- Write your name and matriculation number on each solution sheet.
- An arrow next to a question means that this part of the task can be solved independently of the rest of the task.
- For calculations the approach as well as the steps must be specified.
- Please do not write with pencils and do not use a red pen.
- The duration of the exam is 90 minutes.
- The exam consists of 5 pages (including this cover page).
- Switch off your cell phones!

## Task 1: Slice Codes

We consider source coding for a source which emits the symbols  $x \in \{1, 2, 3, 4, 5\}$  according to the following probabilities:

$$\begin{array}{c|cc}
x & p(x) \\
\hline
1 & 0.25 \\
2 & 0.25 \\
3 & 0.2 \\
4 & 0.15 \\
5 & 0.15
\end{array}$$

It can be observed from the table above, that the symbols are ordered according to ascending probability.

- $\square$  a) Construct a binary Huffman-Code for encoding of the realizations x. Make sure that all steps in the construction of the Huffman code are clearly given.
  - b) Sketch the tree representation of your Huffman code from a).
  - c) Determine the average codeword length of the Huffman code from a).

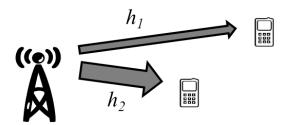
A slice code uses the same code tree structure as a Huffman code but maps the code bits in a different way: Starting from the root of the tree, at each level a question of the following form is asked: "Is x > a?" for some  $a \in \{1, 2, 3, 4, 5\}$ . The answer "yes" is encoded by a code bit "0", the answer "no" is encoded by a code bit "1".

d) Determine the mapping of source symbols x to codewords c for a slice code. Use the tree structure of the Huffman code from a). Make sure that each step in your derivation can be clearly understood. Represent the mapping of the slice code both in a table and in a code tree.

- e) Determine the average codeword length of the slice code from d) and compare to the codeword length of the Huffman code from a)-c).
- f) Give a lower bound and an upper bound on the average codeword length  $E\{L\}$  of the slice code.

## Task 2: Capacity Region of Superposition Coding in a Broadcast Channel

Consider a wireless broadcast channel, where a base station serves two users:



The available bandwidth is denoted B and the maximum transmit power of the base station is  $P_B$ . The received signal at user k is given by

$$y_k = h_k x + n_k, (1)$$

where  $h_k$  is the channel coefficient of user k, x is the transmit signal and  $n_k$  is additive white Gaussian noise with the same power  $P_N$  at both users. The base station applies superposition coding strategy such that the transmit signal is a superposition of the signals  $s_k$  intended for the two users  $k \in \{1, 2\}$ :

$$x = s_1 + s_2, \tag{2}$$

where  $s_k$  is contained in x with power  $P_k$  and

$$P_1 + P_2 \le P_B.$$

Under the assumption that

$$|h_1|^2 < |h_2|^2,$$

the achievable rates for the superposition coding strategy have been shown to be given by the following expressions:

$$R_1 = B \log_2 \left( 1 + \frac{|h_1|^2 P_1}{|h_1|^2 P_2 + P_N} \right), \tag{3}$$

$$R_2 = B \log_2 \left( 1 + \frac{|h_2|^2 P_2}{P_N} \right). \tag{4}$$

- $\square$  a) Which power allocation  $P_1, P_2$  in equation (2) corresponds to a single user transmission?
- b) Give an interpretation of equations (3) and (4): Which transmission and detection strategies can achieve the rates according to (3) and (4)? Answer in complete sentences!
- c) Determine an equation for the achievable rate region of superposition coding.
  - d) Is superposition coding an optimum transmission scheme in the sense that it can achieve the maximum possible rate region? Give clear reasons and answer in complete sentences!
- $\square$  e) Which transmission strategy maximizes the sum rate of superposition coding in case of unequal channel quality, i.e.  $|h_1|^2 \neq |h_2|^2$ ?
  - f) Determine an equation for the maximum sum rate according to your solution from e).
- g) Under which condition for  $|h_1|^2$  and  $|h_2|^2$  does superposition coding provide a larger achievable rate region than time division multiple access (TDMA)?