

# Reductions in Classical Complexity

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November 7, 2022



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# Introduction

# Preliminaries

Suppose  $\Sigma^*$  is the set of finite strings on an alphabet  $\Sigma$ .

**Definition.** A problem  $X \subseteq \Sigma^*$  is a language interpreted as the set of strings that correspond to a “Yes” instance of the decision problem it defines.

- Call an *instance* of  $X$  a set of fixed inputs for the problem and denote it  $I_X$ . For example if the problem is  $k$ -coloring then  $I_X$  could be  $(G, k)$  where  $G$  is a graph and  $k$  is an integer.

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- Call an *instance* of  $X$  a set of fixed inputs for the problem and denote it  $I_X$ . For example if the problem is  $k$ -coloring then  $I_X$  could be  $(G, k)$  where  $G$  is a graph and  $k$  is an integer.
- $I_X$  can be interpreted as a language, but this formality is not often considered depending on the type of reduction you're going for.

# Preliminaries

We would like a way to say “problem  $Y$  is at least as hard as  $X$ ” or “ $X$  is no more difficult than  $Y$ ” which we can denote  $X \leq Y$ . To accomplish this we will construct a **reduction**. Now I want to present two different notions of reducibility.

# Reductions

# Many-to-one Reductions

**Definition.** Suppose  $X \subset \Sigma^*$ ,  $Y \subset \Gamma^*$  are two problems. A many-to-one reduction is a computable function  $f : \Sigma^* \rightarrow \Gamma^*$  such that if  $x \in X$  then  $f(x) \in Y$ . Then we can say  $X$  is reducible to  $Y$ ,  $X \leq_m Y$ .

If  $f$  is polynomial time, then this is called a **Karp** reduction  $X \leq_P Y$ .



# $SAT \leq_P 3SAT$

- A clause is a sequence of boolean variables connected by logical disjunction ie:  $x_1 \vee x_2 \vee x_3$  is a clause of length 3.
- A conjunctive normal form (CNF) formula is a sequence of clauses connected by logical conjunction ie:  $c_1 \wedge c_2$ .

The problem  $SAT$  is the decision problem: Is there an assignment to boolean variables  $x_1, \dots, x_N$  such that  $\varphi(x_1, \dots, x_N)$  is true where  $\varphi$  is a CNF formula.

The problem  $3SAT$  is the same thing, but now in the sentence  $\varphi$  each clause is of length 3. We can easily see the  $3SAT \leq_P SAT$  since an instance of  $3SAT$  is an instance of  $SAT$ , but now we want to prove  $SAT \leq_P 3SAT$ .

# $SAT \leq_p 3SAT$

We proceed by mapping each type of clause we may encounter in  $SAT$  to a clause of length 3. Let's count the number of boolean variables in each clause and discuss how to map to a clause with 3 variables.

- Clause has 1 variables ( $c = x_1$ ): Introduce two new literals  $u$  and  $v$ . Now we can construct  

$$c' = (x \vee u \vee v) \wedge (x_1 \vee u \vee \neg v) \wedge (x_1 \vee \neg u \vee v) \wedge (x_1 \vee \neg u \vee \neg v).$$
 Notice  $c' \iff c$ .
- Clause has 2 variables ( $c = x_1 \vee x_2$ ): Introduce 1 new literal  $u$  and construct  

$$c' = (x_1 \vee x_2 \vee u) \wedge (x_1 \vee x_2 \vee \neg u).$$
 Again  $c' \iff c$ .
- Clause has 3 variables: We don't need to do anything here.
- Clause has more than 3 variables:  $c' = (x_1 \vee x_2 \vee u_1) \wedge (x_3 \vee \neg u_1 \vee u_2) \wedge (x_4 \vee \neg u_2 \vee u_3) \vee \dots \vee (x_{k-2} \vee \neg u_{k-4} \vee u_{k-3}) \wedge (x_{k-1} \vee x_k \vee \neg u_{k-3})$ .

$$SAT \leq_P 3SAT$$

That completes the reduction. Since each new clause  $c'$  coincides with the old clause  $c$  we can see that this reduction will preserve membership in the language. Now let's talk about a different type of reduction.

# Turing reducibility

- An **oracle** to  $X$  is a function  $\mathcal{O}$  which for any word  $w \in \Sigma^*$   $\mathcal{O}(w)$  returns “yes” if  $w \in X$ .
- $X$  is **Turing reducible** to  $Y$  if there is an algorithm which solves  $X$  using oracle access to  $Y$ . We can then write  $X \leq_{TM} Y$ .

Turing reductions are great for proving decidability. If  $X \leq_{TM} Y$  then if  $Y$  is decidable so is  $X$  (and we can apply the contrapositive to show undecidability).

# Turing reducibility

Suppose  $A$  is some complexity class. Then the set of problems solvable by an algorithm in  $A$  with oracle access to a language  $L$  is  $A^L$ . From the example Karp reduction done on the previous slides we can see that  $P^{SAT} = P^{3SAT}$ .

This is enough to make you wonder if there is a connection between these types of reductions.

# Relating reductions

Say  $X \leq_P Y$  through the mapping  $f$ . Then we can construct an algorithm which solves  $X$  with access to an oracle  $Y$ ; we apply the mapping  $f$  to the word  $w$ , send the image to oracle for  $Y$ , and return the response from the oracle. This tells us  $X \leq_{TM} Y$ .

Conversely say we have  $X \leq_{TM} Y$ . Then there is an algorithm  $\mathcal{A}$  which solves  $X$  with oracle  $\mathcal{O}$  access to  $Y$  with additional restriction that we only query the oracle **once** in this algorithm. Then we can build a many to one reduction  $f(w) = \tilde{w}$  where  $\tilde{w}$  is the oracle query passed in  $\mathcal{A}$ .

# Restricting reductions

Notice in the previous slide that I restricted the number of calls to the oracle in order to faithfully relate a Turing and a Karp reduction. In general we can bound to polynomial runtime of the algorithm and polynomial calls of an oracle to get a **Cook reduction**. It is vital keep track of the resources used in the reduction when trying to prove a problem belongs to a specific complexity class.

# The end!