## Selective Harmonic Elimination via Optimal Control Theory

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#### Abstract

#### 1 Introduction

In this document, we propose a optimal control perspective of selective harmonic elimination problem (SHE) with symmetry of quarter wave. In mathematical point of view, SHE problem can be seen as search of a square wave function  $f(\omega t) \mid \omega t \in (0, 2\pi)$  which have fixed a few Fourier coefficients.

In this way, the  $f(\omega t)$  can be written in Fourier series as follows:

$$f(\omega t) = \sum_{n=1}^{\infty} [a_n \cos(n\omega t) + b_n \sin(n\omega t)]$$
 (1)

Where  $a_n$  and  $b_n$  coefficients are:

$$a_n = \frac{1}{2\pi} \int_0^{2\pi} f(\omega t) \cos(n\omega t) d(\omega t)$$
 (2)

$$b_n = \frac{1}{2\pi} \int_0^{2\pi} f(\omega t) \sin(n\omega t) d(\omega t)$$
 (3)

On the other hand, the symmetry of quarter wave implies:

$$f(\omega t + \pi) = -f(\omega t) \quad t \in (0, \pi) \tag{4}$$

$$f(\omega t + \pi/2) = +f(\omega) \quad t \in (0, \pi/2)$$

$$\tag{5}$$

This two conditions simplify the expressions (2) and (3), in this way:

$$a_n = 0 \mid \forall n \in \mathbb{Z} \tag{6}$$

$$b_n = \begin{cases} \frac{2}{\pi} \int_0^{\pi/2} f(\omega t) \sin(n\omega t) d(\omega t) & \text{if } n \text{ odd} \\ 0 & \text{if } n \text{ even} \end{cases}$$
 (7)

So, in summary  $f(\omega t)$  can be written as follows:

$$f(\omega t) = \sum_{n \text{ odd}}^{\infty} b_n \sin(n\omega t)$$
 (8)

$$b_n = \frac{2}{\pi} \int_0^{\pi/2} f(\omega t) \sin(n\omega t) d(\omega t) \mid n \text{ odd}$$
(9)

Now in this context, we can define a SHE problem as follows:

**Problem 1.1 (SHE two levels)** Given  $\boldsymbol{b}_T = [b_T^1 \ , \ b_T^3 \ , \ b_T^5 \ , \ \dots \ , \ b_T^{N/2}] \in \mathbb{R}^{N/2}$ , we search a wave form  $f(\omega t) \mid \omega t \in (0,\pi/2)$  such that f only can take values  $\{-1,1\}$  and its Fourier coefficients  $b_n$  satisfies  $b_n = b_T^n \mid \forall n \in \{1, 3, \dots, N/2\}.$ 

In the typical formulation of this problem, the function  $f(\omega t)$  can be represented by locations where the function  $f(\omega t)$  changes its value, this locations are named switching angles. Given a some vector  $\boldsymbol{b}^T$ , the number of switching angles M is a priori unknown, so it's necessary fixed it. If we name switching angles as  $\phi = [\phi_1, \phi_2, \dots, \phi_M] \in \mathbb{R}^M$ , we can simplify the expression (9) as follows:

$$b_n(\phi) = \frac{2}{n\pi} \left[ -1 + 2\sum_{i=1}^{M} (-1)^{i+1} \cos(n\phi_i) \right] \mid \forall n \text{ odd}$$
 (10)

With this expression, we can formulate the problem (1.1) as the next mimization problem:

$$\min_{\boldsymbol{\phi} \in \mathbb{R}^m} \sum_{n \text{ odd}}^{N/2} (b_n(\boldsymbol{\phi}) - b_T^n)^2 \tag{11}$$

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subject to: 
$$\begin{cases}
0 < \phi_1 \\ \phi_n < \phi_n + 2 \quad \forall n \in \{3, 5, \dots, N/2 - 2\} \\ \phi_{N/2} < \pi/2
\end{cases}$$

This formulation don't give a clearly procedure to choose a number of angles.

We propose consider a search of a function  $f(\omega t)$  directly. In this way, instead of looking for the switching angles  $\phi \in \mathbb{R}^M$ , we look for a function  $f(\omega t) \in \{g(\omega t) \in L^{\infty}([0, \pi/2]) / |g(\omega t)| < 1\}$ .

Gracias al teorema fundamental del cálculo, podemos afirmar que una función  $\beta(\tau)$  definida como:

$$\beta_n(\tau) = \frac{2}{\pi} \int_0^{\tau} f(\omega t) \sin(n\omega t) d(\omega t) \Rightarrow \begin{cases} \frac{\partial \beta}{\partial \tau} &= \frac{2}{\pi} f(\tau) \sin(n\tau) \\ \beta(0) &= 0 \end{cases}$$
(13)

Cuando resolvemos la ecuación diferencial ordinaria (13) hasta tiempo  $T = \pi/2$  obtenemos el valor del coeficiente de Fourier  $b_n$ .

Este se puede ver como una ecuación diferencial ordinaria controlada donde los estados del sistema son  $\beta_n$  y el control es  $f(\tau) \mid \tau \in [0, \pi/2]$ . Entonces se puede plantear el problema de control cuyo objetivo es llevar el sistema  $\beta_n(\tau)$  desde el estado nulo hasta  $b_T^n$  para cada  $n \in \{1, 3, 5, \ldots, N/2\}$  en un tiempo final  $\tau_f = \pi/2$ 

### 2 Optimal control formulation

**Problem 2.1** Given  $b_T \in \mathbb{R}^{n_b}$ , we define a cost functional in this way:

$$J[f(\tau)] = \left[ ||\boldsymbol{b}_T - \boldsymbol{\beta}(T)||^2 - \epsilon \int_0^{\pi/2} ||f(\tau)||^2 d\tau \right]$$
 (14)

where  $\beta(\tau) = [\beta_1(\tau) \ \beta_3(\tau) \ ... \ \beta_{N/2}(\tau)]^T$ , ||.|| is a euclidean norm and  $\epsilon$  is a penalization parameter to maximized the norm of control  $f(\tau)$ . This maximization and constraint  $|f(\tau)| < 1$ , produce a *bang-bang* control.

So, the optimal control problem can be write:

$$\min_{|f(\tau)| < 1} J[f(\tau)] \tag{15}$$

suject to: 
$$\begin{cases} \frac{d\beta_n}{d\tau} = (2/\pi)\sin(n\tau)f(\tau) & \tau \in [0, \pi/2] \\ \beta_n(0) = 0 \end{cases}$$
$$\forall n \in \{1, 3, 5, \dots, N/2\}$$
 (16)

#### 3 Numerical Results

Sea 
$$\boldsymbol{b}_T = [b_T^1, b_T^5, b_T^7, b_T^{11}] = [MI, 0, 0, 0, 0]$$

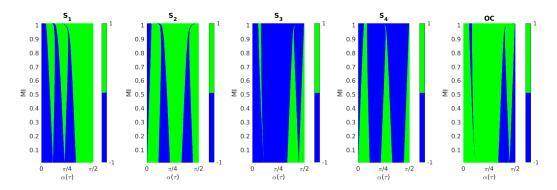


Figure 1: Solutions

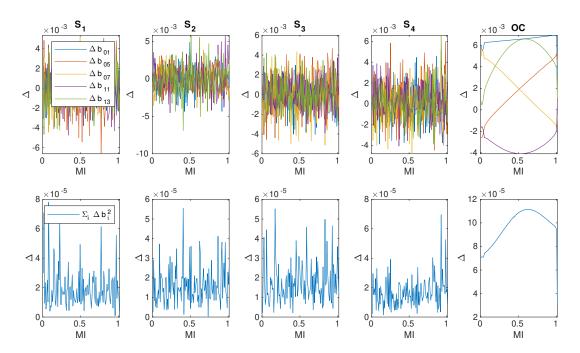


Figure 2: Errors

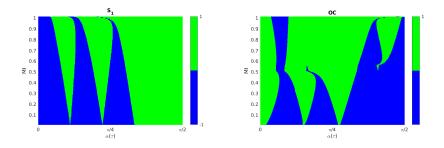


Figure 3: Solutions

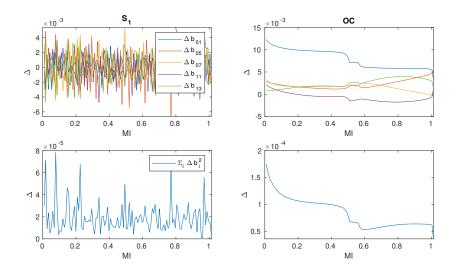


Figure 4: Errors

# A Other

$$\int_0^{\pi/2} ||f(\tau)||^2 d\tau = \pi/2 \tag{17}$$

$$\int_0^{\pi/2} ||f(\tau)||^2 d\tau = \pi/2 \int_0^{\pi/2} ||\sum_{n \text{ odd}}^{\infty} b_n \sin(n\tau)||^2 d\tau = \pi/2$$
(18)

$$\int_0^{\pi/2} \sum_{n,n'}^{\infty} odd b_n b_n' \sin(n\tau) \sin(n'\tau) d\tau = \pi/2$$
(19)

$$\sum_{n,n' \text{ odd}}^{\infty} b_n b_n' \int_0^{\pi/2} \sin(n\tau) \sin(n'\tau) d\tau = \pi/2$$
(20)

$$?? (21)$$

$$\frac{\pi}{4} \sum_{n \text{ odd}}^{\infty} b_n^2 = \pi/2 \tag{22}$$

$$\sum_{n \text{ odd}}^{\infty} b_n^2 = 2 \tag{23}$$

### References