# Real-time Selective Harmonic Elimination/Modulation through Chebyshev polynomials

#### 1 Problem formulation

The problem consists in eliminating or modulating certain harmonics in a square wave function  $f(\tau)$ ,  $\tau \in (0, 2\pi)$ , to improve the quality of the output signal. This function  $f(\tau)$  can be written in Fourier series as follows:

$$f(\tau) = \sum_{k \in \mathbb{N}} \left( a_k \sin(k\tau) + b_k \cos(k\tau) \right), \tag{1.1}$$

where the coefficients  $\{a_k\}_{k\in\mathbb{N}}$  and  $\{b_k\}_{k\in\mathbb{N}}$  are given by

$$a_k = \frac{1}{\pi} \int_0^{2\pi} f(\tau) \sin(k\tau) d\tau$$

$$b_k = \frac{1}{\pi} \int_0^{2\pi} f(\tau) \cos(k\tau) d\tau.$$
(1.2)

#### 1.1 Two levels in quarter-wave symmetry

Here we will consider the problem in two levels and in quarter-wave symmetry. This means that the function  $f(\tau)$  can only assume the values  $\{-1,1\}$  and

- on the interval  $(0, 2\pi)$ ,  $f(\tau + \pi) = f(\tau)$ ;
- on the intervals  $(0,\pi)$  and  $(\pi,2\pi)$ ,  $f(\tau+\frac{\pi}{2})=-f(\tau)$ .

The quarter-wave symmetry yields that all the coefficients  $\{a_k\}_{k\in\mathbb{N}}$  are zero. Besides, for k even the coefficients  $\{b_k\}_{k\in\mathbb{N}}$  are zero as well. Hence, (1.1) becomes

$$f(\tau) = \sum_{k \in \mathbb{N}} b_k \cos(n\tau), \tag{1.3}$$

where the Fourier coefficients  $\{b_k\}$  are given by

$$b_k = \frac{4}{\pi} \int_0^{\frac{\pi}{2}} f(\tau) \cos(k\tau) d\tau. \tag{1.4}$$

Moreover, in the two levels formulation,  $f(\tau)$  can be represented by the locations where the function changes its value, which are usually referred to as *switching angles* and we will indicate as  $\{\alpha_i\}_{i=1}^n \in (0, \frac{\pi}{2})$  with n a priori unknown. The Fourier coefficients  $\{b_k\}$  can then be expressed in terms of the angles  $\{\alpha_i\}_{i=1}^n$  as:

$$b_k = b_k(\alpha_1, \dots, \alpha_n) = -\frac{4V_{dc}}{k\pi} \left[ 1 + 2\sum_{i=1}^n (-1)^i \cos(k\alpha_i) \right],$$
 (1.5)

where  $k \in \{1, 3, 5, 7, \dots, 2n - 1, \dots\}$  is the harmonic order and  $V_{dc}$  is the DC-link voltage.

Our objective is to determine the switching angles  $\alpha_i$  for which the Fourier coefficients  $b_k$  reach a specific predetermined value.

In other words, given the values of the Fourier coefficients  $b_k$ , we look for the values of  $\alpha_i$  solving the transcendental equations (1.5). Notice that this set of equations can be easily manipulated into the form

$$\sum_{i=1}^{n} (-1)^{i+1} \cos(k\alpha_i) = \frac{1}{2} + \frac{k\pi b_k}{8V_{dc}},$$

leading to the following system

$$\begin{cases}
\sum_{i=1}^{n} (-1)^{i+1} \cos(\alpha_i) = \frac{1}{2} + \frac{\pi b_1}{8V_{dc}} \\
\sum_{i=1}^{n} (-1)^{i+1} \cos(3\alpha_i) = \frac{1}{2} + \frac{3\pi b_3}{8V_{dc}} \\
\sum_{i=1}^{n} (-1)^{i+1} \cos(5\alpha_i) = \frac{1}{2} + \frac{5\pi b_5}{8V_{dc}} \\
\sum_{i=1}^{n} (-1)^{i+1} \cos(7\alpha_i) = \frac{1}{2} + \frac{7\pi b_7}{8V_{dc}} \\
\vdots
\end{cases}$$
(1.6)

## 2 Resolution of the transcendental equations

Following the approach of [2, 3], to solve (1.6) we are going to transform this set of transcendental equations in algebraic ones. To this end, from now on we will make the convention that the number n of switching angles coincides with the number of harmonics we want to eliminate or modulate. With this convention, the system (1.6) becomes

$$\begin{cases}
\sum_{i=1}^{n} (-1)^{i+1} \cos(\alpha_i) = \frac{1}{2} + \frac{\pi b_1}{8V_{dc}} \\
\sum_{i=1}^{n} (-1)^{i+1} \cos(3\alpha_i) = \frac{1}{2} + \frac{3\pi b_3}{8V_{dc}} \\
\sum_{i=1}^{n} (-1)^{i+1} \cos(5\alpha_i) = \frac{1}{2} + \frac{5\pi b_5}{8V_{dc}} \\
\vdots \\
\sum_{i=1}^{n} (-1)^{i+1} \cos((2n-1)\alpha_i) = \frac{1}{2} + \frac{(2n-1)\pi b_{2n-1}}{8V_{dc}}
\end{cases}$$
(2.1)

The strategy for solving (2.1) consists of two main steps:

Step 1. We first transform the transcendental equation into algebraic ones by applying the change of variables

$$x_i = (-1)^{i+1} \cos(\alpha_i), \quad i = 1, 2, \dots, n.$$
 (2.2)

Notice that, since  $\alpha_i \in (0, \frac{\pi}{2})$  for any  $i = 1, \dots, 2$ , the above transformation is one-to-one. By means of (2.2), we obtain from (2.1) a system in the form

$$\begin{cases} x_1 + x_2 + \dots + x_n = s_1 \\ x_1^3 + x_2^3 + \dots + x_n^3 = s_3 \\ x_1^5 + x_2^5 + \dots + x_n^5 = s_5 \\ \vdots \\ x_1^{2n-1} + x_2^{2n-1} + \dots + x_n^{2n-1} = s_{2n-1} \end{cases}$$
(2.3)

where the coefficient  $\{s_{2\ell-1}\}_{\ell=1}^n$  depend on the Fourier coefficients  $\{b_{2\ell-1}\}_{\ell=1}^n$  as follows:

$$s_{1} = s_{1}(b_{1})$$

$$s_{3} = s_{3}(b_{1}, b_{3})$$

$$s_{5} = s_{5}(b_{1}, b_{3}, b_{5})$$

$$\vdots$$

$$s_{2\ell-1} = s_{2\ell-1}(b_{1}, b_{3}, b_{5}, \dots, b_{2\ell-1})$$

$$(2.4)$$

A more detailed presentation of the above procedure will be given in the Appendix A.

**Remark 1.** It is important to remark that, according to (2.4),  $s_{2\ell-1}$  depends on all the Fourier coefficients  $b_1 b_3, \ldots, b_{2\ell-1}$ . As soon as one of these coefficients is unknown, the corresponding value  $s_{2\ell-1}$  is unknown too, as well as all the successive ones  $\{s_k\}_{k>2\ell-1}$ .

Step 2. After Step 1, we reduced our original system (2.1) to a set of sums of odd powers

$$\sum_{i=1}^{n} x_i^{2\ell-1} = s_{\ell-1}, \quad \ell = 1, \dots, n.$$
 (2.5)

Moreover, we know for instance from [1, Theorem 1] that the solution  $\{x_i\}_{i=1}^n$  is determined as the roots of a polynomial of degree n

$$p(x) = \prod_{i=1}^{n} (x - x_i) = \sum_{m=0}^{n} p_m x^{n-m},$$

whose coefficients  $\{p_m\}_{m=0}^n$  which can be computed in terms of  $\{s_{2\ell-1}\}_{\ell=1}^n$  (see Appendix B for more detail). In other words, our original problem (2.1) has now been reduced to the computation of the set of coefficients  $\{p_m\}_{m=0}^n$  which identify univocally the polynomial p and in computing its roots.

# 3 Simulation experiments

Let us present some simulation experiments for the procedure we just described. In what follows, we will consider two specific cases:

- 1. Selective Harmonic Elimination: we set the first Fourier coefficient  $b_1 = 0.5m_a$  for different values of the modulation index  $m_a \in (0.01, 1.05)$  and we eliminate the third, fifth and seventh Fourier coefficients (that is,  $b_3 = b_5 = b_7 = 0$ ).
- 2. Selective Harmonic Modulation: we set the first Fourier coefficient  $b_1 = 0.5m_a$  for different values of the modulation index  $m_a \in (0.01, 1.05)$ ,  $b_3 = 0.05$  and we eliminate the fifth ans seventh Fourier coefficients (that is,  $b_5 = b_7 = 0$ ).

In both cases, (2.3) converts in the following system of four non-linear equations in four variables

$$\begin{cases} x_1 + x_2 + x_3 + x_4 = s_1 \\ x_1^3 + x_2^3 + x_3^3 + x_4^3 = s_3 \\ x_1^5 + x_2^5 + x_3^5 + x_4^5 = s_5 \\ x_1^7 + x_2^7 + x_3^7 + x_4^7 = s_7. \end{cases}$$
(3.1)

#### 3.1 Selective Harmonic Elimination

We start with the Selective Harmonic Elimination problem, in which we want the Fourier coefficients  $(b_1, b_3, b_5, b_7)$  to match the target

$$(b_1^T, b_3^T, b_5^T, b_7^T) = (0.5m_a, 0, 0, 0), \quad m_a \in (0.01, 1.05).$$

In our simulations, we considered a 105-points discretization of the interval (0.01, 1.05),

$$0.01 = m_{a.1} < m_{a.2} < \dots < m_{a.i} < m_{a.i+1} < \dots < m_{a.105} = 1.05$$

with  $m_{a,i} = 0.01 + (i-1)\Delta m_a$ , i = 1, ..., 105,  $\Delta m_a = 10^{-2}$ . For each value of  $m_{a,i}$ , we computed the corresponding vector of target Fourier coefficients

$$\mathbf{b}_{1}^{T} = (b_{1,1}^{T}, b_{1,2}^{T}, b_{1,3}^{T}, \dots, b_{1,105}^{T}) = 0.5(m_{a,1}, m_{a,2}, m_{a,3}, \dots, m_{a,105}) \in \mathbb{R}^{105},$$

$$\mathbf{b}_{3}^{T} = (b_{3,1}^{T}, b_{3,2}^{T}, b_{3,3}^{T}, \dots, b_{3,105}^{T}) = (0, 0, 0, \dots, 0) \in \mathbb{R}^{105},$$

$$\mathbf{b}_{5}^{T} = (b_{5,1}^{T}, b_{5,2}^{T}, b_{5,3}^{T}, \dots, b_{5,105}^{T}) = (0, 0, 0, \dots, 0) \in \mathbb{R}^{105},$$

$$\mathbf{b}_{7}^{T} = (b_{7,1}^{T}, b_{7,2}^{T}, b_{7,3}^{T}, \dots, b_{7,105}^{T}) = (0, 0, 0, \dots, 0) \in \mathbb{R}^{105},$$

and used these values to obtain the four coefficients

$$s_1 = s_1(b_{1,i}^T), \quad s_3 = s_3(b_{1,i}^T, b_{3,i}^T), \quad s_5 = s_5(b_{1,i}^T, b_{3,i}^T, b_{5,i}^T), \quad s_7 = s_7(b_{1,i}^T, b_{3,i}^T, b_{5,i}^T, b_{7,i}^T), \quad i = 1, \dots, 105,$$
 following the procedure presented in Appendix A.

We then solved the corresponding algebraic system (3.1) through the procedure presented in Appendix B, obtaining the switching angles

$$\alpha_{1} = (\alpha_{1,1}, \alpha_{1,2}, \alpha_{1,3}, \dots, \alpha_{1,105}) \in \mathbb{R}^{105}, 
\alpha_{2} = (\alpha_{2,1}, \alpha_{2,2}, \alpha_{2,3}, \dots, \alpha_{2,105}) \in \mathbb{R}^{105}, 
\alpha_{3} = (\alpha_{3,1}, \alpha_{3,2}, \alpha_{3,3}, \dots, \alpha_{3,105}) \in \mathbb{R}^{105}, 
\alpha_{4} = (\alpha_{4,1}, \alpha_{4,2}, \alpha_{4,3}, \dots, \alpha_{4,105}) \in \mathbb{R}^{105},$$

Finally, with these angles we built the function  $f(\tau)$  and we employed the formula (1.4) to obtain the Fourier coefficients

$$\begin{aligned} & \boldsymbol{b}_1 = (b_{1,1}, b_{1,2}, b_{1,3}, \dots, b_{1,105}) \in \mathbb{R}^{105}, \\ & \boldsymbol{b}_3 = (b_{3,1}, b_{3,2}, b_{3,3}, \dots, b_{3,105}) \in \mathbb{R}^{105}, \\ & \boldsymbol{b}_5 = (b_{5,1}, b_{5,2}, b_{5,3}, \dots, b_{5,105}) \in \mathbb{R}^{105}, \\ & \boldsymbol{b}_7 = (b_{7,1}, b_{7,2}, b_{7,3}, \dots, b_{7,105}) \in \mathbb{R}^{105}, \end{aligned}$$

and we compared them with the target vectors  $\mathbf{b}_{2\ell-1}^T$ ,  $\ell=1,\ldots,4$ , by computing the quadratic error

$$e_{2\ell-1} = \|\mathbf{b}_{2\ell-1}^T - \mathbf{b}_{2\ell-1}\|^2.$$

These errors are displayed in Figure 1.

Finally, figure 2 shows the behavior of the function  $f(\tau)$  with respect to the modulation index and the switching angles.

#### 3.2 Selective Harmonic Modulation

We now consider the Selective Harmonic Elimination problem, in which we want the Fourier coefficients  $(b_1, b_3, b_5, b_7)$  to match the target

$$(b_1^T, b_3^T, b_5^T, b_7^T) = (0.5m_a, 0.05, 0, 0), \quad m_a \in (0.01, 1.12).$$

As before, we considered a 112-points discretization of the interval (0.01, 1.12),

$$0.01 = m_{a,1} < m_{a,2} < \dots < m_{a,i} < m_{a,i+1} < \dots < m_{a,112} = 1.12$$

with  $m_{a,i} = 0.01 + (i-1)\Delta m_a$ , i = 1, ..., 112,  $\Delta m_a = 10^{-2}$ . For each value of  $m_{a,i}$ , we computed the corresponding vector of target Fourier coefficients

$$\begin{aligned} \mathbf{b}_{1}^{T} &= (b_{1,1}^{T}, b_{1,2}^{T}, b_{1,3}^{T}, \dots, b_{1,112}^{T}) = 0.5(m_{a,1}, m_{a,2}, m_{a,3}, \dots, m_{a,112}) \in \mathbb{R}^{112}, \\ \mathbf{b}_{3}^{T} &= (b_{3,1}^{T}, b_{3,2}^{T}, b_{3,3}^{T}, \dots, b_{3,112}^{T}) = (0.05, 0.05, 0.05, \dots, 0.05) \in \mathbb{R}^{112}, \\ \mathbf{b}_{5}^{T} &= (b_{5,1}^{T}, b_{5,2}^{T}, b_{5,3}^{T}, \dots, b_{5,112}^{T}) = (0, 0, 0, \dots, 0) \in \mathbb{R}^{112}, \\ \mathbf{b}_{7}^{T} &= (b_{7,1}^{T}, b_{7,2}^{T}, b_{7,3}^{T}, \dots, b_{7,112}^{T}) = (0, 0, 0, \dots, 0) \in \mathbb{R}^{112}, \end{aligned}$$

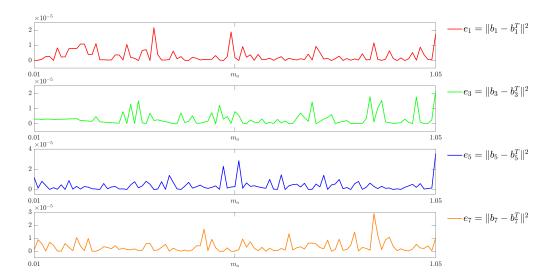


Figure 1: Error  $e_{2\ell-1} = \|\mathbf{b}_{2\ell-1}^T - \mathbf{b}_{2\ell-1}\|^2$ ,  $\ell = 1, \dots, 4$ .

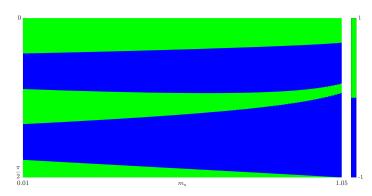


Figure 2: Behavior of the function  $f(\tau)$  for the Selective harmonic Elimination problem with respect to the modulation index (horizontal axis) and the switching angles (vertical axis). The blue region indicates when f takes the value -1, while in the green region f takes the value 1.

and used these values to obtain the four coefficients

$$s_1 = s_1(b_{1,i}^T), \quad s_3 = s_3(b_{1,i}^T, b_{3,i}^T), \quad s_5 = s_5(b_{1,i}^T, b_{3,i}^T, b_{5,i}^T), \quad s_7 = s_7(b_{1,i}^T, b_{3,i}^T, b_{5,i}^T, b_{7,i}^T), \quad i = 1, \dots, 112, \dots,$$

following the procedure presented in Appendix A.

We then solved again the corresponding algebraic system (3.1) through the procedure presented in Appendix B, obtaining the switching angles

$$\begin{aligned} & \boldsymbol{\alpha}_{1} = (\alpha_{1,1}, \alpha_{1,2}, \alpha_{1,3}, \dots, \alpha_{1,112}) \in \mathbb{R}^{112}, \\ & \boldsymbol{\alpha}_{2} = (\alpha_{2,1}, \alpha_{2,2}, \alpha_{2,3}, \dots, \alpha_{2,112}) \in \mathbb{R}^{112}, \\ & \boldsymbol{\alpha}_{3} = (\alpha_{3,1}, \alpha_{3,2}, \alpha_{3,3}, \dots, \alpha_{3,112}) \in \mathbb{R}^{112}, \\ & \boldsymbol{\alpha}_{4} = (\alpha_{4,1}, \alpha_{4,2}, \alpha_{4,3}, \dots, \alpha_{4,112}) \in \mathbb{R}^{112}, \end{aligned}$$

Finally, with these angles we built the function  $f(\tau)$  and we employed the formula (1.4) to obtain the Fourier coefficients

$$\begin{aligned} \boldsymbol{b}_1 &= (b_{1,1}, b_{1,2}, b_{1,3}, \dots, b_{1,112}) \in \mathbb{R}^{112}, \\ \boldsymbol{b}_3 &= (b_{3,1}, b_{3,2}, b_{3,3}, \dots, b_{3,112}) \in \mathbb{R}^{112}, \\ \boldsymbol{b}_5 &= (b_{5,1}, b_{5,2}, b_{5,3}, \dots, b_{5,112}) \in \mathbb{R}^{112}, \\ \boldsymbol{b}_7 &= (b_{7,1}, b_{7,2}, b_{7,3}, \dots, b_{7,112}) \in \mathbb{R}^{112}, \end{aligned}$$

and we compared them with the target vectors  $\mathbf{b}_{2\ell-1}^T$ ,  $\ell=1,\ldots,4$ , by computing the quadratic error

$$e_{2\ell-1} = \|\mathbf{b}_{2\ell-1}^T - \mathbf{b}_{2\ell-1}\|^2.$$

These errors are displayed in Figure 3.

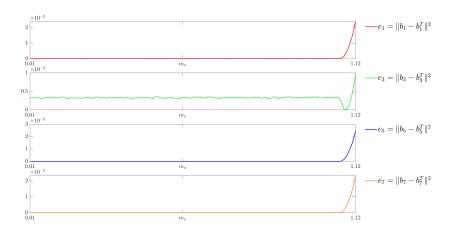


Figure 3: Error  $e_{2\ell-1} = \|\mathbf{b}_{2\ell-1}^T - \mathbf{b}_{2\ell-1}\|^2$ ,  $\ell = 1, \dots, 4$ .

Finally, figure 4 shows the behavior of the function  $f(\tau)$  with respect to the modulation index and the switching angles.

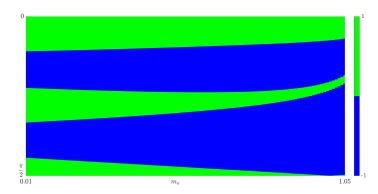


Figure 4: Behavior of the function  $f(\tau)$  for the Selective Harmonic Modulation problem with respect to the modulation index (horizontal axis) and the switching angles (vertical axis). The blue region indicates when f takes the value -1, while in the green region f takes the value 1.

# A Transformation of (2.1) in a set of algebraic equations

As we said, in order to solve system (2.1), we shall transform the transcendental equations in algebraic ones. The procedure to apply summarizes as follows:

**Step 1.** We apply the changes of variables (2.2) to the first equation in (2.1), obtaining

$$x_1 + x_2 + \ldots + x_n = \frac{1}{2} + \frac{\pi b_1}{8V_{dc}} =: s_1.$$

Step 2. By considering the Chebyshev polynomials

$$T_0(x) = 1$$
,  $T_1(x) = x$ ,  $T_{i+1}(x) = 2xT_i(x) - T_{i-1}(x)$ 

and their trigonometric definition

$$T_i(x) = \cos(j\arccos(x)), \quad |x| \le 1,$$

we have for all  $i = 1, 2 \dots, n$ 

$$T_0(\cos(\alpha_i)) = 1,$$

$$T_1(\cos(\alpha_i)) = \cos(\alpha_i),$$

$$T_2(\cos(\alpha_i)) = \cos(2\alpha_i) = 2\cos(\alpha_i)T_1(\cos(\alpha_i)) - T_0(\cos(\alpha_i)) = 2\cos^2(\alpha_i) - 1,$$

$$T_3(\cos(\alpha_i)) = \cos(3\alpha_i) = 2\cos(\alpha_i)T_2(\cos(\alpha_i)) - T_1(\cos(\alpha_i)) = 4\cos^3(\alpha_i) - 3\cos(\alpha_i).$$

Hence, from the second equation in (2.1) we get

$$\frac{1}{2} + \frac{3\pi b_3}{8V_{dc}} = \sum_{i=1}^{n} (-1)^{i+1} \cos(3\alpha_i) = 4\sum_{i=1}^{n} (-1)^{i+1} \cos^3(\alpha_i) - 3\sum_{i=1}^{n} (-1)^{i+1} \cos(\alpha_i).$$

Using again the change of variables (2.2), and noticing that

$$x_i^3 = (-1)^{3i+3} \cos^3(\alpha_i) = (-1)^{i+1} \cos^3(\alpha_i), \quad i = 1, 2, \dots, n,$$

we obtain

$$\frac{1}{2} + \frac{3\pi b_3}{8V_{dc}} = 4(x_1^3 + x_2^3 + \ldots + x_n^3) - 3(x_1 + x_2 + \ldots + x_n) = 4(x_1^3 + x_2^3 + \ldots + x_n^3) - 3s_1.$$

This yields

$$x_1^3 + x_2^3 + \ldots + x_n^3 = \frac{1}{4} \left( \frac{1}{2} + \frac{3\pi b_3}{4V_{dc}} + 3s_1 \right) = \frac{1}{2} \left( 1 + \frac{3\pi b_3}{4V_{dc}} + \frac{3\pi b_1}{4V_{dc}} \right) := s_3.$$

**Step 3.** By employing once again the Chebyshev polynomials, we can easily compute  $\cos(5\alpha_i)$ 

$$T_5(\cos(\alpha_i)) = \cos(5\alpha_i) = 16\cos^5(\alpha_i) - 20\cos^3(\alpha_i) + 5\cos(\alpha_i).$$

Hence, from the third equation in (2.1) we get

$$\frac{1}{2} + \frac{5\pi b_5}{8V_{dc}} = \sum_{i=1}^{n} (-1)^{i+1} \cos(5\alpha_i)$$

$$= 16 \sum_{i=1}^{n} (-1)^{i+1} \cos^5(\alpha_i) - 20 \sum_{i=1}^{n} (-1)^{i+1} \cos^3(\alpha_i) + 5 \sum_{i=1}^{n} (-1)^{i+1} \cos(\alpha_i).$$

Using again the change of variables (2.2), and noticing that

$$x_i^5 = (-1)^{5i+5} \cos^5(\alpha_i) = (-1)^{i+1} \cos^5(\alpha_i), \quad i = 1, 2, \dots, n,$$

we obtain

$$\frac{1}{2} + \frac{5\pi b_5}{8V_{dc}} = 16(x_1^5 + x_2^5 + \dots + x_n^5) - 20(x_1^3 + x_2^3 + \dots + x_n^3) + 5(x_1 + x_2 + \dots + x_n)$$
$$= 16(x_1^5 + x_2^5 + \dots + x_n^5) - 20s_3 + 5s_1.$$

This yields

$$x_1^5 + x_2^5 + \ldots + x_n^5 = \frac{1}{16} \left( \frac{1}{2} + \frac{5\pi b_5}{8V_{dc}} + 20s_3 - 5s_1 \right) = \frac{1}{16} \left( 8 + \frac{5}{2} \frac{\pi b_5}{4V_{dc}} + \frac{15}{2} \frac{\pi b_3}{4V_{dc}} - \frac{5}{2} \frac{\pi b_1}{4V_{dc}} \right) := s_5.$$

Step 4. By iterating the above procedure we get the general algebraic equation

$$x_1^{2n-1} + x_2^{2n-1} + \ldots + x_n^{2n-1} = s_{2n-1}, (A.1)$$

where the coefficients  $s_{2n-1}$  are obtained through the recursive algorithm

$$T_1(x)|_{x=s_1} = s_1 = \frac{1}{2} + \frac{\pi b_1}{8V_{dc}},$$

$$T_{2n-1}(x)|_{x^{2n-1}=s_{2n-1}} = \frac{1}{2} + \frac{(2n-1)\pi b_{2n-1}}{8V_{dc}}, \quad n \ge 2.$$
(A.2)

The procedure (A.2) to compute the coefficients  $\{s_{2\ell-1}\}_{\ell=1}^n$  is implemented through the Matlab functions presented in Algorithms 1 and 2.

## **Algorithm 1** Computation of the coefficients $\{s_{2\ell-1}\}_{\ell=1}^n$ in (2.3)

#### Algorithm 2 Coefficients of the *n*-th Chebyshev polynomial

## B Resolution of the algebraic equations

Let us now discuss the resolution of (2.3). To this end, let us introduce the function

$$G(x) = \exp\left(-\sum_{\substack{\ell \ge 1\\\ell \text{ add}}} \left(\sum_{i=1}^n \frac{x_i^{\ell}}{\ell}\right) x^{-\ell}\right). \tag{B.1}$$

Then, according to [1, Theorem 1], the solution  $\{x_i\}_{i=1}^n$  of (2.3) is given by the roots of the numerator

$$p(x) = \prod_{i=1}^{n} (x - x_i) = \sum_{m=0}^{n} p_m x^{n-m}.$$

in the Padé approximation of order (n, n) of G:

$$G(x) = \frac{p(x)}{q(x)},\tag{B.2}$$

with p(x) and q(x) two polynomials of degree n. Hence, to solve (2.3) we need to determine p(x) and compute its roots. Moreover, let us recall that the Padé approximation of a function is unique. Hence, also the solution  $\{x_i\}_{i=1}^n$  of (2.3) will be unique.

Notice that  $G(-x) = (G(x))^{-1}$ . Then, the Padé approximant q(x) has the property  $q(x) = (-1)^n p(-x)$  and, from (B.2), we easily obtain the identity

$$p(x) = (-1)^n p(-x)G(x). (B.3)$$

Let us now introduce the functions

$$v(x) = -2\sum_{\substack{\ell \ge 1 \\ \ell \text{ odd}}} \left( \sum_{i=1}^n \frac{x_i^{\ell}}{\ell} \right) x^{\ell}, \qquad g(x) = \exp\left(v(x)\right). \tag{B.4}$$

Then, G(x) = g(1/x) and (B.3) can be rewritten as

$$p(x) = (-1)^n p(-x)g\left(\frac{1}{x}\right). \tag{B.5}$$

We can now use (B.5) to obtain explicitly the coefficients  $\{p_m\}_{m=0}^n$  through the following procedure.

**Step 1.** First of all, let us introduce the series expansion of v(x):

$$v(x) = \sum_{\ell > 0} v_{\ell} x^{\ell}.$$

Comparing this with (B.4) we get

$$v(x) = -2\sum_{\substack{\ell \ge 1 \\ \ell \text{ add}}} \left(\sum_{i=1}^n \frac{x_i^\ell}{\ell}\right) x^\ell = \sum_{\ell \ge 0} v_\ell x^\ell$$

and, equating the coefficients of the same order, we have

$$\begin{cases} v_{\ell} = -2\sum_{i=1}^{n} \frac{x_{i}^{\ell}}{\ell}, & \text{for } \ell \text{ odd} \\ v_{\ell} = 0, & \text{for } \ell \text{ even.} \end{cases}$$
(B.6)

Notice that, according to (2.3), we have

$$\sum_{i=1}^{n} x_i^{\ell} = s_{\ell} \quad \text{ for } \ell = 1, 3, 5, \dots 2n - 1.$$

Hence, from (B.6) we can compute the coefficients  $v_{\ell}$  up to  $\ell = 2n$  as

$$\begin{cases} v_{\ell} = -\frac{2s_{\ell}}{\ell}, & \text{for } \ell = 1, \dots, 2n, \quad \ell \text{ odd} \\ v_{\ell} = 0, & \text{for } \ell \text{ even.} \end{cases}$$
(B.7)

This is done with the Matlab function presented in Algorithm 3. Nevertheless, for  $\ell \geq 2n + 1$ , we can only know the even coefficients (which are all zero) while the odd coefficients cannot be computed.

## **Algorithm 3** Computation of the coefficients $\{v_\ell\}_{\ell=1}^{2n}$ using (B.7)

```
function v = coefficients\_v(s)
[lMa,n] = size(s);
% The i-th row of the matrix v contains the 2n coefficients v_i corresponding to the i-th value of the modulation index v = zeros(lMa,2*n);
for l = 1:n
v(:,2*l-1) = -(2/(2*l-1))*s(:,1);
end
```

#### **Step 2.** Let us now introduce the series expansion of g(x)

$$g(x) = \sum_{\ell > 0} g_{\ell} x^{\ell}$$

and notice that, from the expression  $g(x) = \exp(v(x))$ , we obtain

$$\frac{dg(x)}{dx} = \frac{dv(x)}{dx}g(x).$$

Expanding both sides of the identity above, we get

$$g_1 + 2g_2x + 3g_3x^2 + \dots = (v_1 + 2v_2x + 3v_3x^2 + \dots)(g_0 + g_1x + g_2x^2 + \dots)$$
$$= (v_1g_0) + (2v_2g_0 + v_1g_1)x + (3v_3g_0 + 2v_2g_1 + v_1g_2)x^2 + \dots$$

By setting  $g_0 = 1$  (which yields  $v_0 = \ln(g_0) = 0$ ) and equating the coefficients of the left and right-hand side, we thus find that

$$g_0 = 1, \quad g_\ell = \sum_{k=1}^{\ell} \frac{k}{\ell} v_k g_{\ell-k} = \sum_{\substack{k=1 \ k \text{ odd}}}^{\ell} \frac{k}{\ell} v_k g_{\ell-k} \quad \text{ for } \ell \ge 1.$$
 (B.8)

Recall that (B.7) allows to obtain the coefficients  $\{v_\ell\}_{\ell=1}^{2n}$ . Hence, we can use (B.8) to compute  $\{g_\ell\}_{\ell=1}^{2n}$  through the Matlab function presented in Algorithm 4. Nevertheless, also in this case, for  $\ell \geq 2n+1$  the coefficients  $g_\ell$  cannot be computed.

#### **Step 3.** From (B.5) we have

$$p(x) = (-1)^n p(-x) g\left(\frac{1}{x}\right) = (-1)^n p(-x) \left(g_0 + \frac{g_1}{x} + \frac{g_2}{x^2} + \ldots\right),$$

which gives

$$\begin{split} \sum_{m=0}^{n} p_m x^{n-m} &= (-1)^n \left( \sum_{m=0}^{n} p_m (-x)^{n-m} \right) \left( \sum_{m \geq 0} g_m x^{-m} \right) = \left( \sum_{m=0}^{n} (-1)^{2n-m} p_m x^{n-m} \right) \left( \sum_{m \geq 0} g_m x^{-m} \right) \\ &= \left( \sum_{m=0}^{n} (-1)^m p_m x^{n-m} \right) \left( \sum_{m \geq 0} g_m x^{-m} \right). \end{split}$$

## **Algorithm 4** Computation of the coefficients $\{g_{\ell}\}_{\ell=1}^{2n}$ using (B.8)

```
function g = coefficients_g(v)
[lMa,n] = size(v);
% The i-th row of the matrix g contains the 2n coefficients g_i. % corresponding to the i-th value of the modulation index
g = zeros(lMa,n+1);
g(:,1) = 1;
for l = 2:n+1
G = 0;
for k = 1:l-1
G = G + (k/(l-1))*v(:,k).*g(:,l-k);
end
g(:,l) = G;
end
```

By developing the products on the right-hand side of the above identity, and taking into account that  $p_0 = 1 = g_0$ , we get

$$\begin{split} \sum_{m=0}^{n} p_m x^{n-m} &= \sum_{m \geq 0} g_m x^{n-m} - \sum_{m \geq 0} p_1 g_m x^{n-1-m} + \sum_{m \geq 0} p_2 g_m x^{n-2-m} + \ldots + (-1)^n \sum_{m \geq 0} p_n g_m x^{-m} \\ &= x^n + g_1 x^{n-1} + g_2 x^{n-2} + \ldots + g_{n-1} x + g_n + \sum_{m \geq n+1} g_m x^{n-m} \\ &- p_1 x^{n-1} - p_1 g_1 x^{n-2} - p_1 g_2 x^{n-3} - \ldots - p_1 g_{n-2} x - p_1 g_{n-1} - \sum_{m \geq n} p_1 g_m x^{n-1-m} \\ &+ p_2 x^{n-2} + p_2 g_1 x^{n-3} + p_2 g_2 x^{n-4} + \ldots + p_2 g_{n-3} x + p_2 g_{n-2} + \sum_{m \geq n-1} p_2 g_m x^{n-2-m} \\ &+ \ldots + (-1)^n p_n + (-1)^n \sum_{m \geq 1} p_n g_m x^{-m} \\ &= x^n + (g_1 - p_1) x^{n-1} + (g_2 - p_1 g_1 + p_2) x^{n-2} \\ &+ \ldots + (g_{n-1} - p_1 g_{n-2} + p_2 g_{n-3} - \ldots - (-1)^n p_{n-1}) x \\ &+ (g_n - p_1 g_{n-1} + p_2 g_{n-2} + \ldots + (-1)^n p_n) \\ &+ \sum_{m \geq 1} R_m x^{-m}, \end{split}$$

where the coefficients  $R_m$  are given by

$$R_m := g_{m+n} - p_1 g_{m+n-1} + p_2 g_{m+n-2} + \dots + (-1)^{n-1} p_{n-1} g_{m+1} + (-1)^n p_n g_m.$$

This leads to the following identity

$$x^{n} + p_{1}x^{n-1} + p_{2}x^{n-2} + \dots + p_{n-1}x + p_{n} = x^{n} + (g_{1} - p_{1})x^{n-1} + (g_{2} - p_{1}g_{1} + p_{2})x^{n-2} + \dots + (g_{n-1} - p_{1}g_{n-2} + p_{2}g_{n-3} - \dots - (-1)^{n}p_{n-1})x + (g_{n} - p_{1}g_{n-1} + p_{2}g_{n-2} + \dots + (-1)^{n}p_{n}) + \sum_{m \ge 1} R_{m}x^{-m},$$
(B.9)

Moreover, if we introduce the polynomial

$$r(x) = \sum_{m=0}^{n} r_m x^{n-m}$$

$$r_1 = g_1 - p_1$$

$$r_2 = g_2 - p_1 g_1 + p_2$$

$$r_3 = g_3 - p_1 g_2 + p_2 g_1 - p_3$$

$$r_4 = g_4 - p_1 g_3 + p_2 g_2 - p_3 g_1 + p_4$$

$$\vdots$$

$$r_{n-1} = g_{n-1} - p_1 g_{n-2} + p_2 g_{n-3} - \dots - (-1)^n p_{n-1}$$

$$r_n = g_n - p_1 g_{n-1} + p_2 g_{n-2} + \dots + (-1)^n p_n$$

and the remainder term

$$R(x) = \sum_{m>1} R_m x^{-m},$$

the identity (B.9) becomes

$$\sum_{m=0}^{n} (p_m - r_m) x^{n-m} = R(x).$$
 (B.10)

From (B.10), we need to obtain n equations to determine the coefficients  $\{p_m\}_{m=1}^n$ . To do that, we have two possibilities:

- 1. to equate to zero the coefficients  $\{p_m r_m\}_{m=0}^n$ ;
- 2. to equate to zero the first n coefficients coefficients  $\{R_m\}_{m=1}^n$  in the remainder term R.

Following the first path, i.e. setting to zero the coefficients of  $\{p_m - r_m\}_{m=0}^n$ , we get the system

$$\begin{cases} g_1 - p_1 = p_1 \\ g_2 - p_1 g_1 + p_2 = p_2 \\ g_3 - p_1 g_2 + p_2 g_1 - p_3 = p_3 \\ g_4 - p_1 g_3 + p_2 g_2 - p_3 g_1 + p_4 = p_4 \\ \vdots \\ g_{n-1} - p_1 g_{n-2} + p_2 g_{n-3} - \dots - (-1)^n p_{n-1} = p_{n-1} \\ g_n - p_1 g_{n-1} + p_2 g_{n-2} + \dots + (-1)^n p_n = p_n \end{cases}$$
(B.11)

Notice that (B.11) is a cascade system, which is solved through the following n-steps procedure:

- **Step 1.** From the first equation we obtain the value of  $p_1$ .
- **Step 2.** Once  $p_1$  is known, from the second equation we obtain the value of  $p_2$ .
- **Step 3.** Once  $p_2$  is known, from the third equation we obtain the value of  $p_3$ .
- **Step 4.** Once  $p_3$  is known, from the fourth equation we obtain the value of  $p_4$ .

:

**Step** n-1. Once  $p_{n-2}$  is known, from the (n-2)th equation we obtain the value of  $p_{n-1}$ .

**Step** n. Once  $p_{n-1}$  is known, from the (n-1)th equation we obtain the value of  $p_n$ .

Nevertheless, the above process fails at Step 2, since the second equation is actually independent of  $p_2$ . Hence we cannot obtain the coefficients  $\{p_m\}_{m=1}^n$  by solving (B.11).

Our only option is then to follow the second path and set to zero the first n coefficients  $\{R_m\}_{m=1}^n$  in the remainder term R(x) in (B.9) and set the coefficients to zero. Solving the equations  $R_m = 0$  for  $m = 1, \ldots, n$ , we obtain the system

$$\begin{cases}
p_{1}g_{n} - p_{2}g_{n-1} + \dots + (-1)^{n}p_{n-1}g_{2} + (-1)^{n+1}p_{n}g_{1} = g_{n+1} \\
p_{1}g_{n+1} - p_{2}g_{n} + \dots + (-1)^{n}p_{n-1}g_{3} + (-1)^{n+1}p_{n}g_{2} = g_{n+2} \\
p_{1}g_{n+2} - p_{2}g_{n+1} + \dots + (-1)^{n}p_{n-1}g_{4} + (-1)^{n+1}p_{n}g_{3} = g_{n+3} \\
p_{1}g_{n+3} - p_{2}g_{n+2} + \dots + (-1)^{n}p_{n-1}g_{5} + (-1)^{n+1}p_{n}g_{4} = g_{n+4} \\
\vdots \\
p_{1}g_{2n-2} - p_{2}g_{2n-3} + \dots + (-1)^{n}p_{n-1}g_{n} + (-1)^{n+1}p_{n}g_{n-1} = g_{2n-1} \\
p_{1}g_{2n-1} - p_{2}g_{2n-2} + \dots + (-1)^{n}p_{n-1}g_{n+1} + (-1)^{n+1}p_{n}g_{n} = g_{2n}
\end{cases}$$
(B.12)

Denote

$$\mathbf{p} := (p_1, p_2, p_3, p_4, \dots, p_{n-1}, p_n)^{\top}$$
 and  $\mathbf{g} := (g_{n+1}, g_{n+2}, g_{n+3}, g_{n+4}, \dots, g_{2n-1}, g_{2n})^{\top}$ .

Then, (B.12) is equivalent to  $G\mathbf{p} = \mathbf{g}$  with

$$G = \begin{pmatrix} g_n & -g_{n-1} & \dots & (-1)^n g_2 & (-1)^{n+1} g_1 \\ g_{n+1} & -g_n & \dots & (-1)^n g_3 & (-1)^{n+1} g_2 \\ g_{n+2} & -g_{n+1} & \dots & (-1)^n g_4 & (-1)^{n+1} g_3 \\ g_{n+3} & -g_{n+2} & \dots & (-1)^n g_5 & (-1)^{n+1} g_4 \\ \vdots & \vdots & & \vdots & \vdots \\ g_{2n-2} & -g_{2n-3} & \dots & (-1)^n g_n & (-1)^{n+1} g_{n-1} \\ g_{2n-1} & -g_{2n-2} & \dots & (-1)^n g_{n+1} & (-1)^{n+1} g_n \end{pmatrix}.$$
(B.13)

Hence, if the matrix G is invertible, we obtain

$$\mathbf{p} = G^{-1}\mathbf{g}.\tag{B.14}$$

The computation of  $\{p_m\}_{m=1}^n$  is then carried through the Matlab functions presented in Algorithms 5 and 6.

#### **Algorithm 5** Computation of the coefficients $\{p_m\}_{m=1}^n$ solving (B.14)

```
function p = coefficients_p(g)
[gMatrix,gVector] = constructionMatrix_G(g);
p = gMatrix\gVector;
```

#### **Algorithm 6** Construction of the matrix G in (B.13)

This gives us the coefficients  $\{p_m\}_{m=1}^n$ , hence the analytic expression of the polynomial p(x). The solutions to (2.3) will be the roots of this polynomial, which can be approximated through the Newton method. The switching angles  $\{\alpha_i\}_{i=1}^n$  are then determined as

$$\alpha_i = \arccos\left((-1)^i x_i\right), \quad i = 1, \dots, n.$$

**Remark 2.** For completeness, let us briefly discuss the link between the function G and the polynomial p. To this end, let us notice that we can rewrite

$$p(x) = \prod_{i=1}^{n} (x - x_i) = x^n \prod_{i=1}^{n} \left( 1 - \frac{x_i}{x} \right)$$
$$= x^n \exp \left[ \log \left( \prod_{i=1}^{n} \left( 1 - \frac{x_i}{x} \right) \right) \right] = x^n \exp \left[ \sum_{i=1}^{n} \log \left( 1 - \frac{x_i}{x} \right) \right]. \tag{B.15}$$

Moreover, if we introduce the Taylor expansions

$$\log\left(1 - \frac{x_i}{x}\right) = -\sum_{\ell \ge 1} \frac{x_i^{\ell}}{\ell x^{\ell}}, \quad i = 1, \dots, n$$

we get from (B.15) that

$$p(x) = x^n \exp\left(-\sum_{\ell \ge 1} \sum_{i=1}^n \frac{x_i^{\ell}}{\ell x^{\ell}}\right).$$

Finally, we can get rid of the multiplicative factor  $x^n$  by noticing that

$$p(-x) = (-1)^n x^n \exp\left(-\sum_{\ell \ge 1} \sum_{i=1}^n (-1)^\ell \frac{x_i^\ell}{\ell x^\ell}\right),$$

which gives

$$\frac{p(x)}{p(-x)} = (-1)^n \exp\left(-\sum_{\ell \ge 1} \sum_{i=1}^n \left(1 - (-1)^\ell\right) \frac{x_i^\ell}{\ell x^\ell}\right) = (-1)^n \exp\left(-2\sum_{\ell \ge 1} \sum_{i=1}^n \frac{x_i^\ell}{\ell x^\ell}\right). \tag{B.16}$$

#### References

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