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System Calls for Containerising and Managing Processes in Linux

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# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Motivation . . . . .	1
1.2	Objectives . . . . .	1
1.3	Content Structure . . . . .	1
<b>2</b>	<b>Fundamentals</b>	<b>2</b>
2.1	Virtualisation . . . . .	2
2.1.1	Axioms . . . . .	2
2.1.2	Hardware Virtualisation . . . . .	3
2.1.3	Operating System Virtualisation . . . . .	6
2.2	Processes . . . . .	9
2.2.1	Memory Layout . . . . .	9
2.2.2	Execution Scheduling . . . . .	10
2.2.3	Creation . . . . .	11
2.2.4	Termination . . . . .	11
2.2.5	Monitoring . . . . .	11
2.2.6	Communication . . . . .	11
2.2.7	Resource Management . . . . .	11
2.2.8	Security . . . . .	11
	<b>List of Figures</b>	<b>I</b>
	<b>List of Tables</b>	<b>II</b>
	<b>Source Code Content</b>	<b>III</b>
	<b>References</b>	<b>IV</b>
	<b>Online References</b>	<b>VI</b>

# Chapter 1

## Introduction

### 1.1 Motivation

Primitive support for multiprocessing in the form of basic context switching and dedicated input-output components was introduced in the late 1950s. Multiprocessing allowed for concurrent execution of multiple instructions at the cost of increased system complexity. Interleaved processes had a global unrestricted view of the system which inevitably led to unpredictable program behaviour. For example, programs had the ability to modify each other's memory and monopolise computer resources. Hence, to ensure correctness, every program had to carefully manage its interactions with hardware and all other processes in the system, which resulted in an unsustainable programming model.

The aforementioned issues were addressed by shifting the responsibility of resource management and process protection into a privileged control program that acted as an intermediary between hardware and user programs.

### 1.2 Objectives

### 1.3 Content Structure

# Chapter 2

## Fundamentals

### 2.1 Virtualisation

Chapter 2.1.1 introduces the fundamental axioms that define virtualisation - noninterference, isolation and performance. Chapter 2.1.2 presents hardware virtualisation as a concept, discusses the mechanisms it uses to satisfy the axioms, and highlights the trade-offs intrinsic to its design. Chapter 2.1.3 describes a more coarse-grained approach - operating system virtualisation - that has become the de-facto way of managing cloud workloads in multitenant environments.

#### 2.1.1 Axioms

##### Noninterference

Codd et al. [Cod59] summarise the fundamental requirements of a multiprogramming system and emphasise the concept of noninterference between processes across space and time. *Spatial noninterference* is represented by all mechanisms that protect references to memory, disk and input-output devices [Cod59]. For example, memory segmentation is a method found in operating system kernels that assigns each process a dedicated portion of physical memory that is invisible to all other processes in the system. The kernel traps any attempt made by a process to access memory outside its allocated memory segment, thereby guaranteeing spatial noninterference [SGG18]. *Temporal noninterference* refers to those mechanisms that allocate execution time and protect against the monopolisation thereof [Cod59]. For instance, CPU scheduling is a technique that decides which process shall run on a core such that the core does not idle and all processes make sufficient runtime progress [SGG18]. The scheduling semantics, paired with an interrupt mechanism that makes sure that no process has hold of the core for too long, guarantee temporal noninterference.

## Isolation

Anjali, Caraza-Harter, and Swift [ACS20] define isolation as the level of dependency that a virtualisation platform has towards the host kernel. We generalise this definition and say that *isolation* is the level of dependency that one piece of software has to another. Conceptually, isolation deals with explicit vertical relationships between software, and noninterference deals with implicit horizontal relationships between processes. Isolation can be quantified by counting the lines of external source code that a software executes to obtain a particular functionality. For example, Anjali, Caraza-Harter, and Swift [ACS20] count the lines of kernel code that a virtualisation platform executes when providing services to sandboxed applications. High counts indicate a strong dependency, i.e. weak isolation, towards the kernel.

## Performance

Randal [Ran20] defines performance as the contention between the overhead associated with isolating a process from its environment and the benefits of sharing resources between processes, i.e. fully utilising the capacity of the underlying resource pool. Anjali, Caraza-Harter, and Swift [ACS20] use the same definition and contrast the isolation mechanisms provided by three different virtualisation platforms against processing unit, memory and input-output performance metrics. In particular, the authors define an application that computes prime numbers up to a limit. Since the workload is compute-bound, processing speed is measured and compared to the number of executed lines of code that reside in the `/arch`, `/virt` and `/arch/x86/kvm` subsystems of the Linux kernel. Manco et al. [Man17] use *same-host density* as a performance metric that measures the number of sandboxed applications that can be consolidated onto a single server. In addition, *boot*, *pause* and *unpause times* are also considered to be important performance indicators for particular use cases, such as elastic content delivery networks [Kue17] [Man17] and serverless computing.

### 2.1.2 Hardware Virtualisation

Popek and Goldberg [PG74] refer to the control program as a *virtual machine monitor* that ensures isolation and noninterference by providing every program with an environment that is “[...] effect identical with that demonstrated if the program had been run on the original machine directly” [PG74, p. 2]. This definition implies that a running program does not directly use the bare metal resources available. Instead, resources are emulated by the virtual machine monitor at the hardware level and presented as a dedicated physical system. Such an environment is called a *virtual machine*.

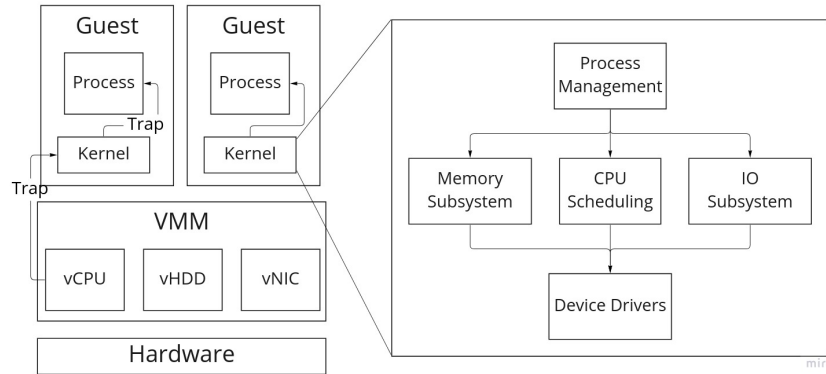


Figure 2.1: Hardware virtualisation architecture. Each guest runs a complete operating system. Privileged operations are trapped by the virtual machine monitor and emulated to provide hardware services.

Popek and Goldberg [PG74] define a requirement that the instruction-set architecture of a computer has to satisfy for it to be virtualisable. The instruction set must be segregated into three groups of instructions - privileged, sensitive and innocuous. An instruction is privileged if it requires changing the mode of execution from user to supervisor mode by means of a trap [PG74]. An instruction  $i$  is control-sensitive if, when applied to the current processor state  $S_1$ , results in a new state  $i(S_1) = S_2$  such that the execution mode of  $S_2$  does not equal that of  $S_1$  or if  $S_2$  has access to different resources than  $S_1$  or both [PG74]. An instruction is behaviour-sensitive if its execution depends on the execution mode or its position in memory [PG74]. An instruction is innocuous if it is not sensitive. Given these definitions, a computer is virtualisable “[...] if the set of sensitive instructions for that computer is a subset of the set of privileged instructions” [PG74, p. 6]. If this criterion is met, the virtual machine monitor can trap all sensitive instructions and emulate each via a homomorphism  $i : C_r \rightarrow C_v$  that maps the state space of the processor without the virtual machine monitor loaded  $C_r$  to the state space with the virtual machine monitor loaded  $C_v$  [PG74]. Innocuous instructions do not require protection, i.e a homomorphic mapping, and are directly executed by the processor [PG74].

Given the aforementioned homomorphism, a virtual machine can host a *guest kernel* (Figure 2.1) that runs completely in user mode. Whenever the guest kernel attempts to execute a privileged instruction, the virtual machine monitor traps the attempt and emulates the instruction. Consequently, the guest kernel does not have to be a part of the trusted computing base. Even if it is compromised or encounters an unrecoverable error condition, other virtual machines remain unaffected. As a result, the isolation boundary between user programs running in different virtual machines is stronger compared to processes running on a shared kernel.

In order to fully guarantee spatial noninterference between processes, the virtual machine monitor must be in full control of the host system’s memory. There are two primary methods to do this - *shadow paging* and *extended page tables*. The former mechanism is considered first. The virtual

machine monitor maintains a nested page table per guest, also called a *shadow page table* [SN05]. In turn, the guest kernel maintains a page table per process. Whenever the guest kernel schedules a new process for execution, it modifies the *page-table base register* to point to the page table for that process [SN05]. The virtual machine monitor intercepts this attempt and transparently updates the page table pointer to point to the guest’s shadow page table corresponding to that process [SN05]. Note that the virtual machine monitor has to traverse the shadow page table for that guest in order to find the nested entry corresponding to the process. Afterwards, the memory management unit takes care of translating the virtual memory addresses of the guest and updating the *translation lookaside buffer*. Alternatively, the memory management unit may be “virtualisation-aware” in the sense that it knows there are two page tables it needs to traverse - the page table that maps guest virtual memory to guest “physical memory”, and the page table that maps guest physical memory to actual physical memory. The former is maintained by the guest kernel, whilst the latter is maintained by the virtual machine monitor. The extended page table approach is up to 50% faster than shadow paging [Esx06] because table walks are done in hardware - by the memory management unit. Nevertheless, maintaining page table data structures inside the virtual machine monitor and the guests leads to memory pressure, which is further amplified by the fact that guests, their applications and the virtual machine monitor all share the same physical memory [SGG18].

The spatial noninterference property necessitates that the virtual machine monitor manage all input-output devices and their interactions with the guests. This is accomplished by the already introduced trap-and-emulate pattern. When an application within a virtual machine issues a system call requesting some form of input-output, the request is processed by the I/O stack inside the guest. At the lowest level of the stack, the device driver issues a command to the device, typically by writing to memory specifically assigned to the device, or by calling specific input-output instructions [SGG18]. Either way, the virtual machine monitor intercepts this and traverses its own I/O stack, which remaps guest and real input-output addresses and forwards the request to a physical device [WR12]. After processing the request, the physical device triggers an interrupt that is caught by the virtual machine monitor and transformed into a virtual equivalent that is sent to the virtual machine that issued the request. To reduce the overhead associated with interrupt processing, the virtual machine monitor can batch multiple events together and use a single interrupt to notify the guest kernel [WR12]. Still, a request must traverse two input-output stacks. The same holds for the response. In addition, hardware optimisations such as direct memory access are emulated in software, which further degrades performance. This, however, can be mitigated by integrating an input-output memory management unit that remaps all direct memory accesses of a device on the host to an address space in the guest.

The cost of hardware virtualisation becomes apparent when measuring same-host density and boot times. Manco et al. [Man17] consider memory consumption and on-disk image size as the primary limiting factors. The authors measure the time it takes to create and boot virtual machines using the Xen virtual machine monitor and show the negative effects that on-disk

image size has by starting images with varying sizes by manually “[...] injecting binary objects into the uncompressed image file” [Man17, p. 3]. As the number of consolidated virtual instances increases and the image size grows, creation and boot times increase linearly. Furthermore, the authors show that creating and starting a process directly on the host is, on average, two orders of magnitude faster. Lv et al. [Lv12] also evaluate Xen and state that processing units spend 25% of their total cycles in hypervisor mode instead of executing guest applications when running “[...] SPEC’s first benchmark addressing performance evaluation of datacenter servers used in virtualised server consolidation” [Lv12, p. 2], which includes components such as a web, database and application server.

### 2.1.3 Operating System Virtualisation

*Operating system virtualisation* refers to all mechanisms that enable the creation of secure and isolated application environments that run on top of a shared kernel. Conventionally, these mechanisms are baked into the kernel and are therefore part of the trusted computing base. The kernel may expose these through its system-call interface, thereby allowing a user-space daemon program to provide an automated facility for creating and orchestrating sandboxed environments. This is the only feasible architecture on a general-purpose kernel such as Linux. Alternatively, the kernel may treat every software component, including its own subsystems, as an entity to be wrapped in a sandbox. In that case, the concept of a process itself would have to satisfy all three virtualisation axioms. Examples of such operating systems include the seL4 *microkernel* - the first operating system to be formally verified as free of programming errors [Kle09], and Google’s Fuchsia - described by Pagano, Verderame, and Merlo [PVM21].

We first consider operating system virtualisation using a user-space daemon program. In this architecture, an application, referred to as a *container*, is defined as an encapsulation of “[...] a software component and all its dependencies in a format that is self-describing and portable, so that any compliant container runtime can run it without extra dependencies, regardless of the underlying machine and the contents of the container” [Ini16, p. 1]. A *container runtime* is the user-space daemon program responsible for bringing this portable but static representation of an application into execution. In runtime, a container consists of a collection of processes that share a restricted view of the system’s resources. For example, every container “believes” it has a dedicated network stack with its own network interfaces, routing tables and packet-forwarding rules. All processes in the container can access and manipulate that network stack, but no other process outside the container has that capability. The container runtime configures this invariant and the operating system enforces it by namespacing system resources. The Open Containers Initiative (OCI) [Ini15] has developed a runtime specification that standardises the operations a container runtime needs to support. Most importantly, it must allow an external process called a *container engine* to hook into the lifecycle of a container. The container engine can use these hooks to manage all the containers on a single host system. In addition, the engine can attach



network and storage to containers, thereby allowing processes in different sandboxes to share state and communicate with each other, if required. At the highest level of abstraction sits an orchestration platform that manages containers on multiple hosts by interacting with the container engine on each system. This platform constantly monitors node and container health and dynamically multiplexes workloads based on various system properties of the cluster as to ensure maximum application availability.

It is important to note that, by definition, the kernel is assumed to be trustworthy. It has full control of all hardware resources and can access and modify the execution environment of every process on the system. In other words, noninterference between the kernel and user processes is not guaranteed. Therefore, if the kernel is compromised, all processes on the system become untrustworthy. It follows that if a process compromises the kernel, it transitively interferes with all other processes on the system. Hardening the operating system by implementing various security features such as mandatory access control has been the primary approach for protection against such scenarios. However, the size of a monolithic general-purpose kernel is too large to adequately create a threat model that captures all possible vectors of attack. This problem is of particular concern to infrastructure providers whose entire business model revolves around consolidating hundreds of potentially malicious client applications on the same server, all of which share the same kernel and are allowed to directly interact with it via its system call interface.

Unlike hardware virtualisation, this architecture does not use hardware emulation as an isolation primitive. This means that shadow pages need not be maintained per virtual environment. Input-output operations need only traverse the kernel's stack without any address translations and with the additional performance benefit of direct memory access. As a result, the isolation overhead is lower compared to a virtual machine, which allows more applications to be consolidated onto a single server. Furthermore, guests do not boot up complete operating system images, which reduces start times and memory consumption. Friedhorsky and Randles [PR17] use containers in high-performance computing clusters to run user-defined compute jobs and show that the imposed performance penalties are, at most, negligible compared to vanilla processes that have no additional isolation. Felter et al. [Fel15] show the exact same thing and further conclude that the Docker container engine is resource-friendlier and faster than the Kernel Virtual Machine (KVM) when stressing the “memory, IPC, network and filesystem subsystems” Felter et al. [Fel15, p. 1] of the Linux kernel by running a database inside a virtual environment and evaluating its performance via the SysBench OLTP benchmark [ZK04].

Google's gVisor [Goo18] attempts to sustain the performance advantages of containers whilst introducing an additional isolation boundary between the kernel and each container. The authors implement a substantial portion of the system call interface in a user-space process called Sentry. Their dedicated container runtime calls out to Sentry instead of the kernel when issuing system calls.

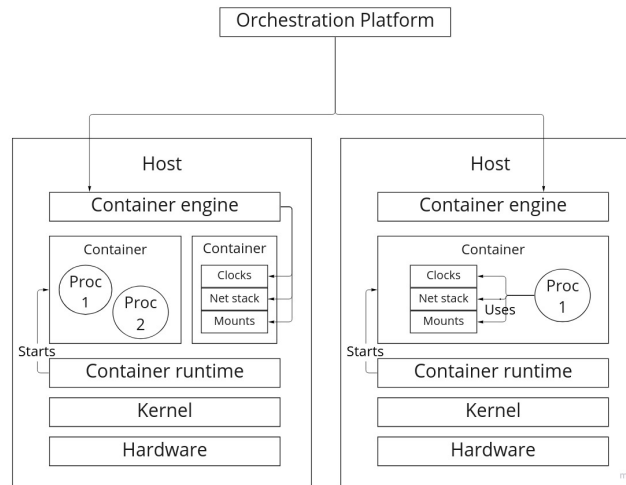


Figure 2.2: Operating system virtualisation architecture using containers. The container runtime starts containers on a single host. A user process can see a bundle of resources allocated to it by the kernel. The kernel guarantees that a process cannot see any other resources. The container engine manages all containers on a single system and allocates storage and networking to create explicit paths between containers. The orchestration platform talks to all engines inside a cluster to provide automatic workload management.

However, Young et al. [You19] show that network and memory allocation performance greatly suffer. This can be partially attributed to the fact that the Sentry process is implemented in a garbage-collected language and lacks the fine-grained optimisations contained in the Linux kernel. Agache et al. [Aga20] take a different approach and try to fuse the security of virtual machines with the performance of containers by programming a custom virtual machine monitor called Firecracker that runs on top of KVM. Firecracker completely relies on the Linux kernel for memory management, CPU scheduling and block I/O. To reduce its attack surface, the virtual machine monitor sacrifices portability by supporting a limited set of emulated network and block devices. To further strengthen the noninterference boundary, the devices have configurable built-in rate limiters that can control the number of operations per second, e.g disk/packets per second. Unlike a traditional container runtime, Firecracker’s rate-limiting implementation does not rely on the kernel, which makes its isolation boundary to the kernel stronger. Anjali, Caraza-Harter, and Swift [ACS20] evaluate both gVisor and Firecracker and show that the latter “[...] is effective at reducing the frequency of kernel code invocations, but had a much smaller impact on reducing the footprint of kernel code” [ACS20, p. 12].

## 2.2 Processes

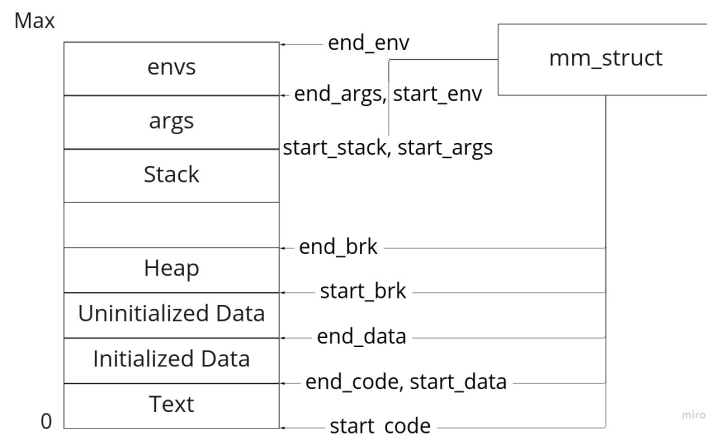


Figure 2.3: Memory layout of a process [SGG18]

A process is the fundamental abstraction of operating-system virtualisation. It is the primary unit of work in a computer system and represents a program in execution. This chapter introduces various aspects of a process - how it is laid out in memory (Chapter 2.2.1), how it is scheduled for execution (Chapter 2.2.2), and the system call interface for creating, terminating, monitoring and protecting processes from each other (Chapters 2.2.3, 2.2.4, 2.2.5, 2.2.7, 2.2.8).

### 2.2.1 Memory Layout

The kernel partitions the virtual address space of a process into multiple regions shown in Figure 2.3. The address space itself is encapsulated in a memory descriptor type called `mm_struct` [Tor22a] and every process is associated with one or more instances of that type. The text segment of the address space is a read-only memory section that contains the set of executable instructions that the program compiles down to [SGG18]. When the program is first scheduled for execution, the central processing unit jumps to the text section's initial memory address, which is stored in the `start_code` field of the process's memory descriptor and starts executing from there until it reaches the final linear address `end_code`. The data section contains globally defined variables that can be referenced throughout the entire lifecycle of the process [SGG18]. The data section is further deconstructed into uninitialised and initialised subsections. Similarly to the text section, it does not grow or shrink because its size is known at program startup and does not change. The `start_data` and `end_data` fields in the memory descriptor refer to the contiguous memory region that represents the initialised data region. The heap segment contains memory that is allocated during runtime as per demand. The process itself is responsible for releasing any memory acquired from the heap. The latter is important for two reasons. First, the heap grows upwards towards the stack and may overlap with it. Second, memory allocation

interfaces such as `malloc` acquire memory from a system-wide pool. If a long-running process acquires dynamic memory but fails to release it, the whole system will eventually run out of memory - an example of spatial interference. The kernel tracks the heap areas allocated to a particular process via the `start_brk` and `brk` fields of the memory descriptor which denote its initial and final linear addresses, respectively. The stack is a data structure that acts as temporary storage for a process. Whenever a process calls a function, that function's parameters, local variables and return address are pushed onto the stack. When the function returns control to the caller, these values are popped of [SGG18]. The stack grows downwards towards lower addresses and its starting address `start_stack` sits right above the `main()` function's return address.

Note that the virtual address space of the process is sparse because it contains a hole between the stack and the heap that will require physical pages only if those two segments grow. This hole may be utilised to bring in page frames that can be shared between processes in order to reduce memory consumption or to allow two processes to communicate with each other. This has positive implications on same-host density - a key performance metric for container runtimes. For example, the `libc` library is reentrant and can be brought into physical memory only once. The memory descriptor of a process that depends on `libc` does not have to load its own copy of the library into memory. Instead, all processes can refer to the same physical frames.

### 2.2.2 Execution Scheduling

A process is associated with a state object that dynamically changes as it executes. On Linux, the state object is a simple unsigned integer that can hold any of the values listed in Table 2.1.

State identifier	Description
<code>TASK_RUNNING</code>	The process is being executed by a CPU or is waiting to be assigned to one
<code>TASK_UNINTERRUPTIBLE</code>	The process is waiting for an event or a resource and cannot be interrupted by a signal while doing so
<code>TASK_INTERRUPTIBLE</code>	The process is waiting for an event or a resource and can be interrupted by a signal while doing so
<code>TASK_NEW</code>	The process has been created but it cannot be scheduled for execution and it cannot react to events
<code>TASK_DEAD</code>	The process is being cleaned up and deleted

Table 2.1: Table of most important process states in Linux derived from [Tor22c] and [Tor22b]

### **2.2.3 Creation**

### **2.2.4 Termination**

### **2.2.5 Monitoring**

### **2.2.6 Communication**

### **2.2.7 Resource Management**

### **2.2.8 Security**

# List of Figures

2.1	Hardware virtualisation architecture. Each guest runs a complete operating system. Privileged operations are trapped by the virtual machine monitor and emulated to provide hardware services. . . . .	4
2.2	Operating system virtualisation architecture using containers. The container runtime starts containers on a single host. A user process can see a bundle of resources allocated to it by the kernel. The kernel guarantees that a process cannot see any other resources. The container engine manages all containers on a single system and allocates storage and networking to create explicit paths between containers. The orchestration platform talks to all engines inside a cluster to provide automatic workload management. . . . .	8
2.3	Memory layout of a process [SGG18] . . . . .	9

# List of Tables

- 2.1 Table of most important process states in Linux derived from [Tor22c] and [Tor22b] 10

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