

Lecture 7: Review of BST and Big O analysis

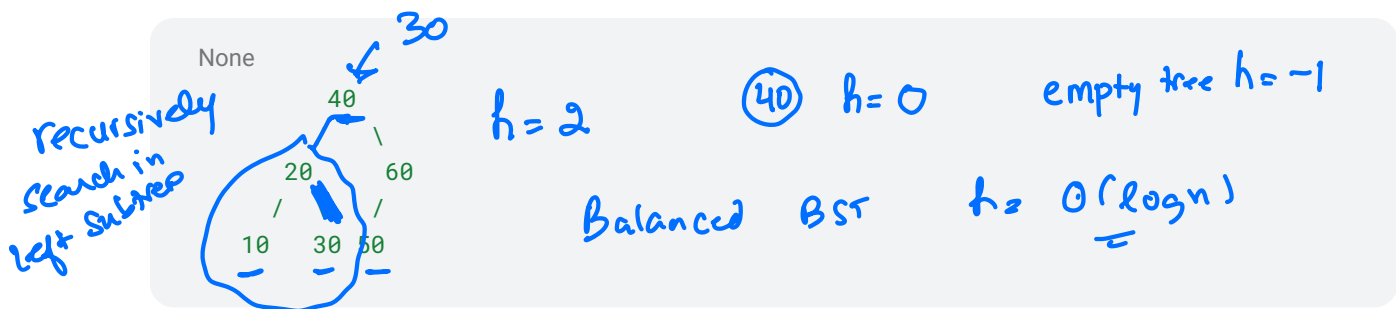
Definition: The **height** of a tree is the length of the longest path (number of edges) from the root to a leaf node.

A single-node tree has height **0**. An empty tree has height **-1**.

Definition: A balanced BST is a BST whose height = $O(\log n)$, where n is the number of keys in the BST. Here we are thinking of height as a function of n , so $h(n) = O(\log n)$

Problem 1: Big-O Analysis of BST Operations

Setup: Put this BST on the board:



Q: What is the height of this tree?

Part A: Trace search

- Walk through searching for **key 30**. Write the path followed from root to 30.

40 → 20 → 30 → Found it!

- How is the running time of search related to the number of nodes visited?

n_{visited} : number of nodes on the path to search.
 $T(n) = O(n_{\text{visited}})$

- How is the number of nodes visited related to height of the BST (h)?

$$\max(n_{\text{visited}}) = h + 1$$
$$T(n) = O(h + 1) = O(h)$$

Part B: Connecting height to Big-O

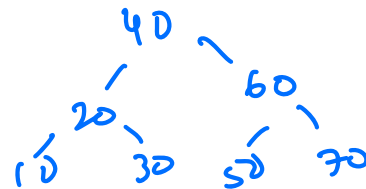
Q: What is the worst-case running time of search in terms of h (height)?

$O(h)$

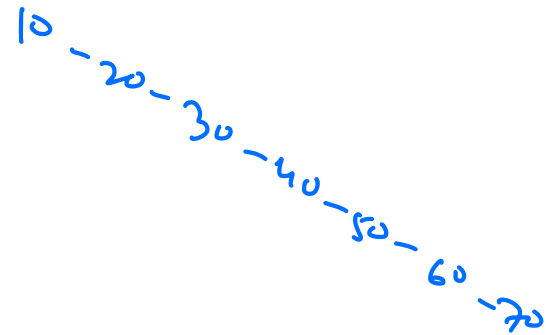
Q: For a BST with n nodes, what are the best and worst possible heights?

Draw best case and worst case with keys 10, 20, 30, 40, 50, 60, 70

Best case - balanced tree e.g.
 $T(n) = O(\log n)$



Worst case skewed tree e.g.
 $T(n) = O(n)$



Takeaway: BST operations (search, insert, min, max) are all $O(h)$. The height depends on insertion order. Worst case is $O(n)$, best case is $O(\log n)$.

Problem 2: Writing a Recursive BST Function

Problem: Check if a binary tree is a valid BST

Approach 1: Check immediate children (naive)

First instinct: At each node, just check that $\text{left child} < \text{node} < \text{right child}$.

None

isBST(node):

if node is null, return true

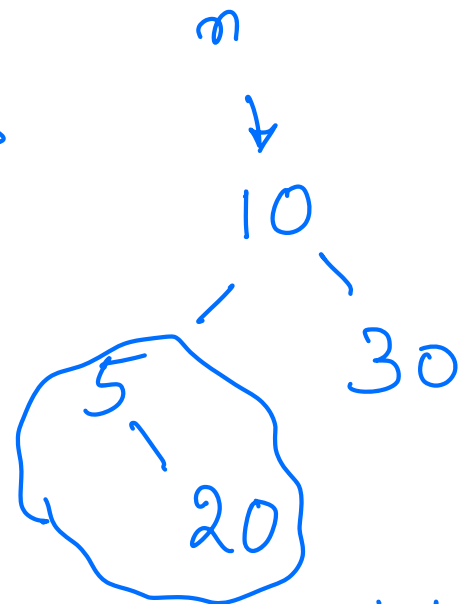
if left child exists and $\text{left} \rightarrow \text{data} \geq \text{node} \rightarrow \text{data}$, return false

if right child exists and $\text{right} \rightarrow \text{data} \leq \text{node} \rightarrow \text{data}$, return false

return isBST(left) AND isBST(right)

Does this work? Why or Why not?

empty tree is a BST? Yes
one node tree is a BST? Yes



Counter example that shows
flaw in algorithm for
approach 1.

Approach 2: Apply the full definition (bottom-up)

None

isBST(node):

if node is null, return true

$O(n)$ if max key in left subtree > node, return false

+ $O(n)$ if min key in right subtree < node, return false

return isBST(left) AND isBST(right)

In class we noted that we cannot apply the BST max algo b/c tree may not be a BST

Defn of BST.

for any node n

$$\text{keys}(T_L(n)) < \text{key}(n) < \text{keys}(T_R(n))$$

Running time of preorder traversal = $O(n)$
Find the min & max at each node adds $O(n)$ per node
traversal. So overall $T(n) = O(n^2)$

Other approaches: ③ Write the keys into a vector using an inorder traversal. Take a second pass through the vector to check whether keys are monotonically increasing (sorted smallest to largest). If yes, tree is a BST otherwise tree is not a BST.

Time complexity = $O(n)$ (Inorder) + $O(n)$ (Pass through vector) = $O(n)$

Space complexity = $O(n)$ (Needs extra space for vector)

④ Yet another approach: modify an inorder traversal to check for a valid BST in a single pass. Need to keep track of pointer to prev node in an inorder traversal & check that value of current node > value of previous node in an inorder traversal

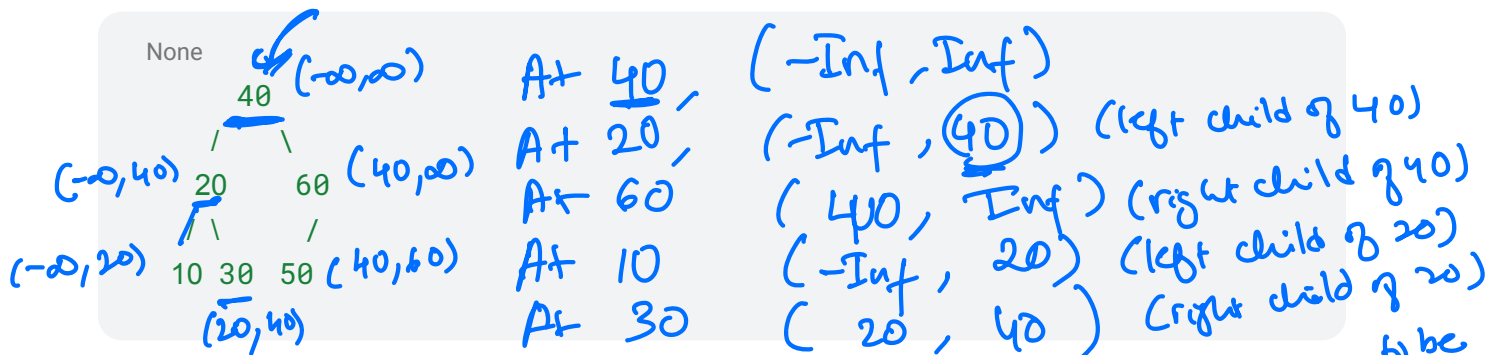
Time complexity $T(n) = O(n)$
Space complexity $S(n) = O(1)$

Next Approach: Flip the direction — push constraints down (top-down)

What extra parameters do I need? A **min** and **max** defining the allowed range.

How do they change? Trace the ranges on a valid BST:

min max



Which traversal?

Pre order

Write the code

Key insight: when recursing left, update max to be value of node, when recursing right, update min to be value of node.

C/C++

```
class bst {
private:
    struct Node {
        int data;
        Node* left;
        Node* right;
    };
    Node* root;
    //Add helper here

public:
    bool isBST() const;
};
```

Link to LeetCode problem:

<https://leetcode.com/problems/validate-binary-search-tree/description/>

Helper needs (node, min, max). Check node against range, recurse with tighter bounds:

```
C/C++
bool bst::isBST() const {
    //TO DO

}
```

Trace to verify

Problem: Return the k-th smallest key in the BST (1-indexed).

We need nodes in sorted order to know the k-th position → **inorder**. We pass a counter by reference that increments each time we visit a node. Once it hits k, we're done.

C/C++

```
void findKthSmallest(Node* r, int k, int& count, int& result) const {  
    if (!r) return;  
  
    findKthSmallest(r->left, k, count, result); // left first (smaller  
keys)  
  
    count++; // visit current node  
    if (count == k) {  
        result = r->data;  
        return;  
    }  
  
    findKthSmallest(r->right, k, count, result); // right (larger keys)  
}
```

Takeaway: Ask yourself — does the answer at this node depend on what's **above** it (constraints from ancestors → preorder) or what's **below** it (results from children → postorder)?