Lambda Calculus

Your Favorite Language

Probably has lots of features:

- Assignment (x = x + 1)
- Booleans, integers, characters, strings, ...
- Conditionals
- Loops
- return, break, continue
- Functions
- Recursion
- References / pointers
- Ohjects and classes
- Inheritance
- ...

Which ones can we do without?

What is the smallest universal language?

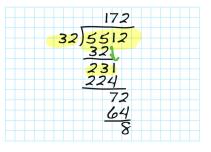


What is computable?

Before 1930s



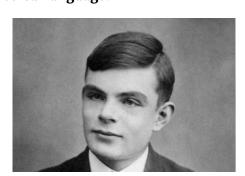
Informal notion of an **effectively calculable** function:



can be computed by a human with pen and paper, following an algorithm

1936: Formalization

What is the smallest universal language?







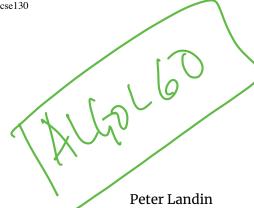
Alan Turing



Alonzo Church

The Next 700 Languages







Whatever the next 700 languages turn out to be, they will surely be variants of lambda calculus.

Peter Landin, 1966

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The Lambda Calculus

Has one feature:

• Functions

No, really

- Assignment (x = x + 1)
- Booleans, integers, characters, strings, ...
- Conditionals

- Loops
- return, break, continue
- Functions
- Recursion
- References / pointers
- Objects and classes
- Inheritance
- Reflection



More precisely, only thing you can do is:

- **Define** a function
- Call a function

Describing a Programming Language

- Syntax: what do programs look like?
- Semantics: what do programs mean?
 - Operational semantics: how do programs execute step-by-step?

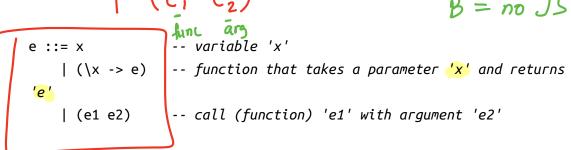
cse130

e:=
$$x, y, z, apple,...$$
 variables
$$(x) \rightarrow e$$

$$Syntax: What Programs Look Like $A = JS$

$$(e, e_2)$$

$$B = no JS$$$$



Programs are **expressions** e (also called λ -**terms**) of one of three kinds:

• Abstraction (aka nameless function definition)

- function (x) { return e} • x is the formal parameter, e is the body
- "for any x compute e"
- **Application** (aka function call) $e_{1}(e_{2})$

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- o (e1 e2)
- $\circ~$ e1 is the function, e2 is the argument
- \circ in your favorite language: e1(e2)

(Here each of $\, e \,$, $\, e \,$ can itself be a variable, abstraction, or application)

Examples

function (x) } return x? $(\x -> x)$ -- The identity function (id) that returns its function (x) { return function (y) { return y}};

function (y)
$$\{ \text{return } y \} \}$$
;

(\x -> (\y -> y)) -- A function that returns (id)

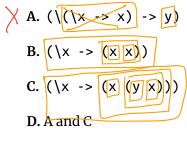
(\f -> (f (\x -> x))) -- A function that applies its argument to id

$$((f -> (f ((x -> x))) -- A function that applies its argument to id fun (f) { return $f (f (u) { return } x})$ }$$

QUIZ

Which of the following terms are syntactically incorrect?

$$e = \alpha | (x \rightarrow e) | (e, e_2)$$



E. all of the above

Examples

How do I define a function with two arguments?

 \bullet e.g. a function that takes $\,x\,$ and $\,y\,$ and returns $\,y\,?\,$

How do I apply a function to two arguments?

• e.g. apply $(\x -> (\y -> y))$ to apple and banana?

Syntactic Sugar

instead of	we write
\x -> (\y -> (\z -> e))	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

```
\x y -> y -- A function that that takes two arguments
-- and returns the second one...
```

($\xy -> y$) apple banana -- ... applied to two arguments



Semantics: What Programs Mean

How do I "run" / "execute" a λ -term?

Think of middle-school algebra:

```
(1 + 2) * ((3 * 8) - 2)

==

3 * ((3 * 8) - 2)

==

3 * (24 - 2)

==

3 * 22

==

66
```

Execute = rewrite step-by-step

- Following simple rules
- until no more rules *apply*

Rewrite Rules of Lambda Calculus

- 1. β -step (aka function call)
- 2. α -step (aka renaming formals)

But first we have to talk about \mathbf{scope}

Semantics: Scope of a Variable

The part of a program where a variable is visible

In the expression $(\x -> e)$

- x is the newly introduced variable
- e is the scope of x
- any occurrence of x in $(\x -> e)$ is **bound** (by the **binder** $\x)$

For example, x is bound in:

An occurrence of $\,x\,$ in $\,e\,$ is $\,$ free if it's $\,$ not bound by an enclosing abstraction

For example, x is free in:

Is x bound or free in the expression $((\langle x -> x \rangle x))$?

- A. first occurrence is bound, second is bound
- **B.** first occurrence is bound, second is free
- C. first occurrence is free, second is bound
- **D.** first occurrence is free, second is free

EXERCISE: Free Variables

An variable x is **free** in e if there exists a free occurrence of x in e

We can formally define the set of all free variables in a term like so:

```
FV(x) = ???

FV(\x -> e) = ???

FV(e1 e2) = ???
```

Closed Expressions

If e has no free variables it is said to be **closed**

• Closed expressions are also called **combinators**

What is the shortest closed expression?

Rewrite Rules of Lambda Calculus

- 1. β -step (aka function call)
- 2. α -step (aka renaming formals)

Semantics: Redex

A function ($x \rightarrow e1$)

- x is the parameter
- e1 is the returned expression

Applied to an argument e2

• e2 is the argument

Semantics: β -Reduction

A **redex** b-steps to another term ...

$$(\x -> e1) e2 =b> e1[x := e2]$$

where e1[x := e2] means

" e1 with all free occurrences of x replaced with e2 "

Computation by search-and-replace:

If you see an abstraction applied to an argument,

- In the *body* of the abstraction
- Replace all free occurrences of the formal by that argument

We say that ($x \rightarrow e1$) e2 β -steps to e1[x := e2]

Redex Examples

 $((\x -> x) apple)$

=b> apple

Is this right? Ask Elsa (https://goto.ucsd.edu/elsa/index.html)

$$((\langle x -> (\langle y -> y \rangle)) \text{ apple})$$

- =b> ???
- A. apple
- $B. \ y \rightarrow apple$
- C. $\x -> apple$
- **D.** \y -> y
- **E.** \x -> y

```
(\x -> (((y x) y) x)) apple
=b> ???
```

A. (((apple apple) apple) apple)

B. (((y apple) y) apple)

C. (((y y) y) y)

D. apple

$$((\langle x -> (x (\langle x -> x)))) apple)$$

A. (apple
$$(\langle x -> x \rangle)$$
)

$$B. (apple (\apple -> apple))$$

C. (apple (
$$x \rightarrow apple$$
))

D. apple

E. ($\x -> x$)

EXERCISE

What is a λ -term fill_this_in such that

fill_this_in apple

=b> banana

ELSA: https://goto.ucsd.edu/elsa/index.html

Click here to try this exercise (https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434473_24432.lc)

A Tricky One

$$((\x -> (\y -> x)) y)$$

Is this right?

Something is Fishy

$$(\x -> (\y -> x)) y$$

=b>
$$(y \rightarrow y)$$

Is this right?

Problem: The *free* y in the argument has been **captured** by \y in *body*!

Solution: Ensure that *formals* in the body are **different from** *free-variables* of argument!

Capture-Avoiding Substitution

We have to fix our definition of β -reduction:

$$(\x -> e1) e2 =b> e1[x := e2]$$

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- e1 with all free occurrences of x replaced with e2
- as long as no free variables of e2 get captured

Formally:

Oops, **but what to do if** y is in the *free-variables* of e?

• i.e. if \y -> ... may capture those free variables?

Rewrite Rules of Lambda Calculus

1. β -step (aka function call)

2. α -step (aka renaming formals)

Semantics: α -Renaming

$$\x -> e = a> \y -> e[x := y]$$

where not (y in FV(e))

- We rename a formal parameter x to y
- By replace all occurrences of x in the body with y
- We say that $\x -> e \alpha$ -steps to $\y -> e[x := y]$

Example:

$$(\langle x -> x \rangle) = a> (\langle y -> y \rangle) = a> (\langle z -> z \rangle)$$

All these expressions are α -equivalent

What's wrong with these?

Tricky Example Revisited

$$((\x -> (\y -> x)) y)$$

$$-- rename 'y' to 'z' to avoid capture$$

$$=a> ((\x -> (\z -> x)) y)$$

$$-- now do b-step without capture!$$

$$=b> (\z -> y)$$

To avoid getting confused,

- you can always rename formals,
- so different variables have different names!

Normal Forms

Recall **redex** is a λ -term of the form

$$((x -> e1) e2)$$

A λ -term is in **normal form** if it contains no redexes.

QUIZ

Which of the following term are **not** in *normal form*?

- **A.** x
- B. (x y)
- C. ((x -> x) y)
- D. (x (y -> y))
- E. C and D

Semantics: Evaluation

- $A \lambda$ -term e **evaluates to** e' if
 - 1. There is a sequence of steps

$$e =?> e_1 =?> \dots =?> e_N =?> e'$$

2. e' is in normal form

Examples of Evaluation

```
((x -> x) apple)
```

=b> apple

Elsa shortcuts

Named λ -terms:

let ID =
$$(\x -> x)$$
 -- abbreviation for $(\x -> x)$

To substitute name with its definition, use a =d> step:

Evaluation:

- e1 =*> e2: e1 reduces to e2 in 0 or more steps
 - \circ where each step is =a>, =b>, or =d>
- e1 =~> e2: e1 evaluates to e2 and e2 is in normal form

EXERCISE

Fill in the definitions of FIRST, SECOND and THIRD such that you get the following behavior in elsa

```
let SECOND = fill this in
let THIRD = fill this in
eval ex1:
 FIRST apple banana orange
 =*> apple
eval ex2:
 SECOND apple banana orange
  =*> banana
eval ex3:
 THIRD apple banana orange
  =*> orange
```

ELSA: https://goto.ucsd.edu/elsa/index.html

let FIRST = fill this in

Click here to try this exercise (https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434130_24421.lc)

Non-Terminating Evaluation

$$((\x -> (x x)) (\x -> (x x)))$$

=b> $((\x -> (x x)) (\x -> (x x)))$

Some programs loop back to themselves ... never reduce to a normal form!

This combinator is called Ω

What if we pass Ω as an argument to another function?

Does this reduce to a normal form? Try it at home!

Programming in λ -calculus

Real languages have lots of features

- Booleans
- Records (structs, tuples)
- Numbers
- Lists
- **Functions** [we got those]
- Recursion

Lets see how to *encode* all of these features with the λ -calculus.

Syntactic Sugar

instead of	we write
\x -> (\y -> (\z -> e))	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

\x y -> y -- A function that that takes two arguments
-- and returns the second one...

($\xy -> y$) apple banana -- ... applied to two arguments

λ-calculus: Booleans

Well, what do we **do** with a Boolean b?

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How can we encode Boolean values (TRUE and FALSE) as functions?

Make a binary choice

• if b then e1 else e2

Booleans: API

We need to define three functions

```
let TRUE = ???
let FALSE = ???
let ITE = \b x y -> ??? -- if b then x else y
such that

ITE TRUE apple banana =~> apple
ITE FALSE apple banana =~> banana
(Here, let NAME = e means NAME is an abbreviation for e)
```

Booleans: Implementation

Example: Branches step-by-step

Example: Branches step-by-step

Now you try it!

Can you fill in the blanks to make it happen? (http://goto.ucsd.edu:8095/index.html#?demo=ite.lc)

```
eval ite_false:
   ITE FALSE e1 e2
   -- fill the steps in!
   =b> e2
```

EXERCISE: Boolean Operators

ELSA: https://goto.ucsd.edu/elsa/index.html Click here to try this exercise (https://goto.ucsd.edu

 $/elsa/index.html\#?demo=permalink\%2F1585435168_24442.lc)$

Now that we have $\,$ ITE $\,$ it's easy to define other Boolean operators: $\,$

When you are done, you should get the following behavior:

```
NOT TRUE =*> FALSE
eval ex_not_f:
  NOT FALSE =*> TRUE
eval ex_or_ff:
  OR FALSE FALSE =*> FALSE
eval ex_or_ft:
  OR FALSE TRUE =*> TRUE
eval ex_or_ft:
  OR TRUE FALSE =*> TRUE
eval ex_or_tt:
  OR TRUE TRUE =*> TRUE
eval ex and ff:
  AND FALSE FALSE =*> FALSE
```

eval ex_and_ft:

AND FALSE TRUE =*> FALSE

eval ex_not_t:

```
eval ex_and_ft:
   AND TRUE FALSE =*> FALSE

eval ex_and_tt:
   AND TRUE TRUE =*> TRUE
```

Programming in λ -calculus

• Booleans [done]
• Records (structs, tuples)
• Numbers
• Lists

- Functions [we got those]
- Recursion

λ-calculus: Records

Let's start with records with two fields (aka pairs)

What do we do with a pair?

- 1. Pack two items into a pair, then PACK
- 2. **Get first** item, or **PST**
- 3. **Get second** item.

Pairs: API

We need to define three functions

```
let PAIR = \xy \rightarrow ??? -- Make a pair with elements x and y
                         -- { fst : x, snd : y }
let FST = \p -> ??? -- Return first element
                         -- p.fst
let SND = \p -> ??? -- Return second element
                          -- p.snd
such that
```

eval ex_fst:

FST (PAIR apple banana) =*> apple

eval ex_snd:

SND (PAIR apple banana) =*> banana

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Pairs: Implementation

A pair of x and y is just something that lets you pick between x and y!

let PAIR =
$$\xy -> (\b -> ITE b x y)$$

i.e. PAIR x y is a function that

• takes a boolean and returns either x or y

We can now implement FST and SND by "calling" the pair with TRUE or FALSE

```
let FST = \parbox{p} -- p TRUE -- call w/ TRUE, get first value

let SND = \parbox{p} -> p FALSE -- call w/ FALSE, get second value
```

EXERCISE: Triples

How can we implement a record that contains three values?

ELSA: https://goto.ucsd.edu/elsa/index.html

Click here to try this exercise (https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434814_24436.lc)

TRIPLE =
$$\langle V_1 \ V_2 \ V_3 \rightarrow PAIR \ V_1 \ (PAIR \ V_2 \ V_3)$$

FST3 = $\langle tup \rightarrow FST \ tup$

SND3 = $\langle tup \rightarrow FST \ (SND \ tup)$

THD3 = $\langle tup \rightarrow SND \ (SND \ tup)$

```
let TRIPLE = \xyz \rightarrow ???
let FST3 = \t -> ???
let SND3 = \t -> ???
let THD3 = \t -> ???
eval ex1:
 FST3 (TRIPLE apple banana orange)
 =*> apple
eval ex2:
 SND3 (TRIPLE apple banana orange)
 =*> banana
eval ex3:
 THD3 (TRIPLE apple banana orange)
```

=*> orange

Programming in λ -calculus

- Booleans [done]
- Records (structs, tuples) [done]
- Numbers
- Lists
- **Functions** [we got those]
- Recursion

λ-calculus: Numbers

Let's start with natural numbers (0, 1, 2, ...)

What do we do with natural numbers?

- Count: 0, inc
- Arithmetic: dec, +, -, *
- Comparisons: ==, <=, etc

Natural Numbers: API

We need to define:

- \bullet A family of numerals: ZERO , ONE , TWO , THREE , ...
- Arithmetic functions: INC, DEC, ADD, SUB, MULT
- Comparisons: IS_ZERO, EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE =~> TWO
```

Natural Numbers: Implementation

Church numerals: a number **N** is encoded as a combinator that calls a function on an argument N times \sim

"n" =
$$|f x \rightarrow f(...(f x))|$$
"n" times

(n f x) = $f(f(f(f(...(f x)))))$
"n" times

QUIZ: Church Numerals

Which of these is a valid encoding of **ZERO**?

- B: **let** ZERO = \f x -> f
- C: let ZERO = \f x -> f x

$$\times$$
 • D: let ZERO = $\frac{1}{1}$ \times \times

• E: None of the above

Does this function look familiar?

INC ZERO
$$\Rightarrow$$
 ONE

INC \Rightarrow TWO \Rightarrow TWO

Example:

```
eval inc_zero :
    INC ZERO
    =d> (\n f x -> f (n f x)) ZERO
    =b> \f x -> f (ZERO f x)
    =*> \f x -> f x
    =d> ONE
```

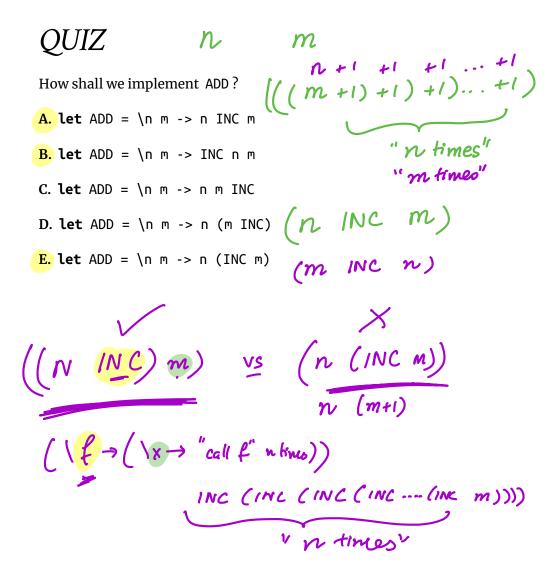
EXERCISE

Fill in the implementation of ADD so that you get the following behavior

Click here to try this exercise (https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585436042_24449.lc)

```
let ZERO = \f x -> x
let ONE = \f x \rightarrow f x
let TWO = \f x \rightarrow f (f x)
let INC = \n f x -> f (n f x)
let ADD = fill_this_in
eval add_zero_zero:
 ADD ZERO ZERO =~> ZERO
eval add_zero_one:
 ADD ZERO ONE =~> ONE
eval add_zero_two:
  ADD ZERO TWO =~> TWO
eval add_one_zero:
 ADD ONE ZERO =~> ONE
eval add_one_zero:
 ADD ONE ONE =~> TWO
eval add_two_zero:
```

ADD TWO ZERO =~> TWO



λ -calculus: Addition

-- Call `f` on `x` exactly `n + m` times

let ADD =
$$\n m$$
 -> n INC m

$$(\f x \rightarrow n f (mf \times))$$

$$(\f x \rightarrow m f (n f \times))$$

Example:

```
eval add_one_zero :
   ADD ONE ZERO
   =~> ONE
```

²QUIZ

How shall we implement MULT?

- A. let MULT = n m -> n ADD m
- B. let $MULT = n m \rightarrow n (ADD m) ZERO$
- C. let $MULT = n m \rightarrow m (ADD n)$ ZERO
- D. let $MULT = n m \rightarrow n (ADD m ZERO)$
- E. let $MULT = n m \rightarrow (n ADD m) ZERO$

λ -calculus: Multiplication

```
-- Call `f` on `x` exactly `n * m` times
let MULT = n m -> n (ADD m) ZERO
```

Example:

```
eval two_times_three :
   MULT TWO ONE
   =~> TWO
```

Programming in λ -calculus

- Booleans [done]
- **Records** (structs, tuples) [done]
- Numbers [done]
- Lists
- **Functions** [we got those]
- Recursion

λ-calculus: Lists

Lets define an API to build lists in the λ -calculus.

An Empty List

NIL

Constructing a list

A list with 4 elements

CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL)))

intuitively CONS h t creates a new list with

- head h
- tail t

Destructing a list

- HEAD 1 returns the *first* element of the list
- TAIL 1 returns the rest of the list

HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
=~> apple

TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))

=~> CONS banana (CONS cantaloupe (CONS dragon NIL)))

λ-calculus: Lists

```
let NIL = ???
let CONS = ???
let HEAD = ???
let TAIL = ???

eval exHd:
   HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
   =~> apple

eval exTl
   TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
   =~> CONS banana (CONS cantaloupe (CONS dragon NIL))))
```

EXERCISE: Nth

Write an implementation of GetNth such that

• GetNth n l returns the n-th element of the list l

Assume that 1 has n or more elements

```
let GetNth = ???
eval nth1:
  GetNth ZERO (CONS apple (CONS banana (CONS cantaloupe NIL)))
  =~> apple
eval nth1:
  GetNth ONE (CONS apple (CONS banana (CONS cantaloupe NIL)))
  =~> banana
eval nth2:
  GetNth TWO (CONS apple (CONS banana (CONS cantaloupe NIL)))
  =~> cantaloupe
Click here to try this in elsa (https://goto.ucsd.edu
```

/elsa/index.html#?demo=permalink%2F1586466816 52273.lc)

λ-calculus: Recursion

I want to write a function that sums up natural numbers up to n:

let SUM =
$$\n -> \dots -- 0 + 1 + 2 + \dots + n$$

such that we get the following behavior

```
eval exSum0: SUM ZERO =~> ZERO
```

eval exSum1: SUM ONE =~> ONE

eval exSum2: SUM TWO =~> THREE

eval exSum3: SUM THREE =~> SIX

Can we write sum **using Church Numerals**?

Click here to try this in Elsa (https://goto.ucsd.edu /elsa/index.html#?demo=permalink%2F1586465192_52175.lc)

QUIZ

You can write SUM using numerals but its tedious.

Is this a correct implementation of SUM?

A. Yes

B. No

No!

- Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to λ -calculus: replace each name with its definition

Recursion:

- Inside this function
- Want to call the same function on DEC n

Looks like we can't do recursion!

- Requires being able to refer to functions by name,
- But λ -calculus functions are anonymous.

Right?

λ-calculus: Recursion

Think again!

Recursion:

Instead of

• Inside this function I want to call the same function on DEC n

Lets try

- Inside this function I want to call some function rec on DEC n
- And BTW, I want rec to be the same function

Step 1: Pass in the function to call "recursively"

Step 2: Do some magic to STEP, so rec is itself

 $n \rightarrow ITE (ISZ n) ZERO (ADD n (rec (DEC n)))$

That is, obtain a term MAGIC such that

MAGIC =*> STEP MAGIC

λ -calculus: Fixpoint Combinator

Wanted: a λ -term FIX such that

• FIX STEP calls STEP with FIX STEP as the first argument:

(In math: a *fixpoint* of a function f(x) is a point x, such that f(x) = x)

Once we have it, we can define:

Then by property of FIX we have:

and so now we compute:

```
eval sum_two:
   SUM TWO
   =*> STEP SUM TWO
   =*> ITE (ISZ TWO) ZERO (ADD TWO (SUM (DEC TWO)))
   =*> ADD TWO (SUM (DEC TWO))
   =*> ADD TWO (SUM ONE)
   =*> ADD TWO (STEP SUM ONE)
   =*> ADD TWO (ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE))))
   =*> ADD TWO (ADD ONE (SUM (DEC ONE)))
   =*> ADD TWO (ADD ONE (SUM ZERO))
   =*> ADD TWO (ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM DEC ZER
0)))
   =*> ADD TWO (ADD ONE (ZERO))
   =*> THREE
```

How should we define FIX ???

The Y combinator

Remember Ω ?

$$(\x -> x x) (\x -> x x)$$

=b> $(\x -> x x) (\x -> x x)$

This is *self-replcating code*! We need something like this but a bit more involved...

The Y combinator discovered by Haskell Curry:

let FIX =
$$\stp -> (\x -> stp (x x)) (\x -> stp (x x))$$

```
How does it work?
```

That's all folks, Haskell Curry was very clever.

Next week: We'll look at the language named after him (Haskell)

```
(https://ucsd-cse130.github.io/wi22/feed.xml) (https://twitter.com/ranjitjhala) (https://plus.google.com/u/0/104385825850161331469) (https://github.com/ranjitjhala)
```

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prog sys/liquid has kell-blog/).