



## QUIZ

Is  $x$  *bound* or *free* in the expression  $((\lambda x \rightarrow x) x)$ ?

- A. first occurrence is bound, second is bound  
B. first occurrence is bound, second is free  
C. first occurrence is free, second is bound  
D. first occurrence is free, second is free

## EXERCISE: Free Variables

An variable  $x$  is **free** in  $e$  if *there* exists a free occurrence of  $x$  in  $e$

We can formally define the set of *all free variables* in a term like so:

```
FV(x)           = ???  
FV( $\lambda x \rightarrow e$ ) = ???  
FV(e1 e2)      = ???
```

## Closed Expressions

If  $e$  has *no free variables* it is said to be **closed**

- Closed expressions are also called **combinators**

What is the shortest closed expression?

## Rewrite Rules of Lambda Calculus

- $\beta$ -step (aka *function call*)
- $\alpha$ -step (aka *renaming formals*)

## Semantics: Redex

A **redex** is a term of the form

```
( $\lambda x \rightarrow e1$ ) e2
```

A *function*  $(\lambda x \rightarrow e1)$

- $x$  is the *parameter*
- $e1$  is the *returned expression*

*Applied* to an argument  $e2$

- $e2$  is the *argument*

## Semantics: $\beta$ -Reduction

A **redex**  $b$ -steps to another term ...

```
( $\lambda x \rightarrow e1$ ) e2  =>  e1[x := e2]
```

where  $e1[x := e2]$  means

" $e1$  with all *free* occurrences of  $x$  replaced with  $e2$ "

Computation by *search-and-replace*:

If you see an *abstraction* applied to an *argument*,

- In the *body* of the abstraction
- Replace all *free occurrences of the formal* by that *argument*

We say that  $(\lambda x \rightarrow e1) e2$   $\beta$ -steps to  $e1[x := e2]$

## Redex Examples

```
( $\lambda x \rightarrow x$ ) apple
```

=> apple

Is this right? Ask [Elsa](#)

## QUIZ

```
( $\lambda x \rightarrow (\lambda y \rightarrow y)$ ) apple
```

=> ???

- A. apple  
B.  $\lambda y \rightarrow$  apple  
C.  $\lambda x \rightarrow$  apple  
D.  $\lambda y \rightarrow y$   
E.  $\lambda x \rightarrow y$

## QUIZ

```
( $\lambda x \rightarrow (((\lambda x) y) x)$ ) apple
```

=> ???

- A.  $((\text{apple apple}) \text{apple}) \text{apple}$   
B.  $((\lambda y \text{ apple}) y) \text{apple}$   
C.  $((\lambda y) y) y$   
D. apple

## QUIZ

```
( $\lambda x \rightarrow (x (\lambda x \rightarrow x))$ ) apple
```

=> ???

- A.  $(\text{apple } (\lambda x \rightarrow x))$   
B.  $(\text{apple } (\text{apple } \rightarrow \text{apple}))$   
C.  $(\text{apple } (\lambda x \rightarrow \text{apple}))$   
D. apple  
E.  $(\lambda x \rightarrow x)$

## EXERCISE

What is a  $\lambda$ -term `fill_this_in` such that

```
fill_this_in apple
```

=> banana

ELSA: <https://goto.ucsd.edu/elsa/index.html>

[Click here to try this exercise](#)

## A Tricky One

```
( $\lambda x \rightarrow (\lambda y \rightarrow x)$ ) y
```

=>  $\lambda y \rightarrow y$

Is this right?

## Something is Fishy

```
( $\lambda x \rightarrow (\lambda y \rightarrow x)$ ) y
```

=>  $(\lambda y \rightarrow y)$

Is this right?

**Problem:** The *free*  $y$  in the argument has been **captured** by  $\lambda y$  in body!

**Solution:** Ensure that *formals* in the body are **different from free-variables** of argument!

## Capture-Avoiding Substitution

We have to fix our definition of  $\beta$ -reduction:

```
( $\lambda x \rightarrow e1$ ) e2  =>  e1[x := e2]
```

where  $e1[x := e2]$  means  **$e1$  with all free occurrences of  $x$  replaced with  $e2$**

- $e1$  with all *free* occurrences of  $x$  replaced with  $e2$
- as long as no free variables of  $e2$  get captured

Formally:

```
x[x := e]      = e
```

```
y[x := e]      = y      -- as  $x \neq y$ 
```

```
(e1 e2)[x := e] = (e1[x := e]) (e2[x := e])
```

```
( $\lambda x \rightarrow e1$ )[x := e] = ( $\lambda x \rightarrow e1$ )      -- Q: Why leave 'e1' unchanged?
```

```
( $\lambda y \rightarrow e1$ )[x := e] = ( $\lambda y \rightarrow e1$ )  
| not (y in FV(e)) =  $\lambda y \rightarrow e1[x := e]$ 
```

Oops, but what to do if  $y$  is in the *free-variables* of  $e$ ?

- i.e. if  $\lambda y \rightarrow \dots$  may capture those free variables?

## Rewrite Rules of Lambda Calculus

- $\beta$ -step (aka *function call*)
- $\alpha$ -step (aka *renaming formals*)

## Semantics: $\alpha$ -Renaming

```
 $\lambda x \rightarrow e$   =>   $\lambda y \rightarrow e[x := y]$   
where not (y in FV(e))
```

- We rename a formal parameter  $x$  to  $y$
- By replace all occurrences of  $x$  in the body with  $y$
- We say that  $\lambda x \rightarrow e$   $\alpha$ -steps to  $\lambda y \rightarrow e[x := y]$

Example:

```
( $\lambda x \rightarrow x$ )      =>  ( $\lambda y \rightarrow y$ )      =>  ( $\lambda z \rightarrow z$ )
```

All these expressions are  **$\alpha$ -equivalent**

What's wrong with these?

```
-- (A)  
( $\lambda f \rightarrow (f x)$ )  =>  ( $\lambda x \rightarrow (x x)$ )
```

```
-- (B)  
( $\lambda x \rightarrow (\lambda y \rightarrow y)$ ) y  =>  (( $\lambda x \rightarrow (\lambda z \rightarrow z)$ ) z)
```

## Tricky Example Revisited

```
(( $\lambda x \rightarrow (\lambda y \rightarrow x)$ ) y)      -- rename 'y' to 'z' to avoid capture
```

```
=> (( $\lambda x \rightarrow (\lambda z \rightarrow x)$ ) y)  -- now do  $\beta$ -step without capture!
```

```
=> ( $\lambda z \rightarrow y$ )
```

To avoid getting confused,

- you can **always** rename formals,
- so different **variables** have different **names**!

## Normal Forms

Recall **redex** is a  $\lambda$ -term of the form

```
( $\lambda x \rightarrow e1$ ) e2
```

A  $\lambda$ -term is in **normal form** if it contains *no redexes*.





```
let TRIPLE = \x y z -> ???
let FST3  = \t -> ???
let SND3  = \t -> ???
let THD3  = \t -> ???

eval ex1:
  FST3 (TRIPLE apple banana orange)
=> apple

eval ex2:
  SND3 (TRIPLE apple banana orange)
=> banana

eval ex3:
  THD3 (TRIPLE apple banana orange)
=> orange
```

## Programming in $\lambda$ -calculus

- Booleans [done]
- Records (structs, tuples) [done]
- Numbers
- Lists
- Functions [we got those]
- Recursion

## $\lambda$ -calculus: Numbers

Let's start with **natural numbers** (0, 1, 2, ...)

What do we *do* with natural numbers?

- Count: 0, inc
- Arithmetic: dec, +, -, \*
- Comparisons: ==, <=, etc

## Natural Numbers: API

We need to define:

- A family of **numerals**: ZERO, ONE, TWO, THREE, ...
- Arithmetic functions: INC, DEC, ADD, SUB, MULT
- Comparisons: IS\_ZERO, EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO      ==> TRUE
IS_ZERO (INC ZERO) ==> FALSE
INC ONE           ==> TWO
...
```

## Natural Numbers: Implementation

Church numerals: a number  $N$  is encoded as a combinator that calls a function on an argument  $N$  times

```
let ONE  = \f x -> f x
let TWO  = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR  = \f x -> f (f (f (f x)))
let FIVE  = \f x -> f (f (f (f (f x))))
let SIX   = \f x -> f (f (f (f (f (f x))))))
...
```

## QUIZ: Church Numerals

Which of these is a valid encoding of ZERO ?

- A: let ZERO = \f x -> x
- B: let ZERO = \f x -> f
- C: let ZERO = \f x -> f x
- D: let ZERO = \x -> x
- E: None of the above

Does this function look familiar?

## $\lambda$ -calculus: Increment

-- Call 'f' on 'x' one more time than 'n' does

```
let INC = \n -> (\f x -> ???)
```

Example:

```
eval inc_zero :
  INC ZERO
=> \n f x -> f (n f x) ZERO
=> \f x -> f (ZERO f x)
=> \f x -> f x
=> ONE
```

## EXERCISE

Fill in the implementation of ADD so that you get the following behavior

[Click here to try this exercise](#)

```
let ZERO = \f x -> x
let ONE  = \f x -> f x
let TWO  = \f x -> f (f x)
let INC  = \n f x -> f (n f x)

let ADD = fill_this_in

eval add_zero_zero:
  ADD ZERO ZERO ==> ZERO

eval add_zero_one:
  ADD ZERO ONE ==> ONE

eval add_zero_two:
  ADD ZERO TWO ==> TWO

eval add_one_zero:
  ADD ONE ZERO ==> ONE

eval add_one_one:
  ADD ONE ONE ==> TWO

eval add_two_zero:
  ADD TWO ZERO ==> TWO
```

## QUIZ

How shall we implement ADD ?

- A. let ADD = \n m -> n INC n
- B. let ADD = \n m -> INC n m
- C. let ADD = \n m -> n m INC
- D. let ADD = \n m -> n (INC)
- E. let ADD = \n m -> n (INC n)

$\lambda$ -calculus: Addition

-- Call 'f' on 'x' exactly 'n + m' times

```
let ADD = \n m -> n INC m
```

Example:

```
eval add_one_zero :
  ADD ONE ZERO
=> ONE
```

## QUIZ

How shall we implement MULT ?

- A. let MULT = \n m -> n ADD n
- B. let MULT = \n m -> n (ADD n) ZERO
- C. let MULT = \n m -> n (ADD n) ZERO
- D. let MULT = \n m -> n (ADD n ZERO)
- E. let MULT = \n m -> (n ADD n) ZERO

## $\lambda$ -calculus: Multiplication

-- Call 'f' on 'x' exactly 'n \* m' times

```
let MULT = \n m -> n (ADD n) ZERO
```

Example:

```
eval two_times_three :
  MULT TWO ONE
=> TWO
```

## Programming in $\lambda$ -calculus

- Booleans [done]
- Records (structs, tuples) [done]
- Numbers [done]
- Lists
- Functions [we got those]
- Recursion

## $\lambda$ -calculus: Lists

Lets define an API to build lists in the  $\lambda$ -calculus.

**An Empty List**

```
NIL
```

**Constructing a list**

A list with 4 elements

```
CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL)))
```

intuitively CONS h t creates a new list with

- head h
- tail t

**Destructing a list**

- HEAD l returns the first element of the list
- TAIL l returns the rest of the list

```
HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
=> apple
```

```
TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
=> CONS banana (CONS cantaloupe (CONS dragon NIL))
```

## $\lambda$ -calculus: Lists

```
let NIL = ???
let CONS = ???
let HEAD = ???
let TAIL = ???
```

```
eval exhd:
  HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
=> apple

eval extl
  TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))
=> CONS banana (CONS cantaloupe (CONS dragon NIL))
```

## EXERCISE: Nth

Write an implementation of GetNth such that

- GetNth n l returns the n-th element of the list l

Assume that l has n or more elements

```
let GetNth = ???
```

```
eval nth1 :
  GetNth ZERO (CONS apple (CONS banana (CONS cantaloupe NIL)))
=> apple

eval nth1 :
  GetNth ONE (CONS apple (CONS banana (CONS cantaloupe NIL)))
=> banana
```

```
eval nth2 :
  GetNth TWO (CONS apple (CONS banana (CONS cantaloupe NIL)))
=> cantaloupe
```

[Click here to try this in elsa](#)

## $\lambda$ -calculus: Recursion

I want to write a function that sums up natural numbers up to n :

```
let SUM = \n -> ... -- 0 + 1 + 2 + ... + n
```

such that we get the following behavior

```
eval exSum0: SUM ZERO ==> ZERO
eval exSum1: SUM ONE  ==> ONE
eval exSum2: SUM TWO  ==> THREE
eval exSum3: SUM THREE ==> SIX
```

Can we write sum using Church Numerals?

[Click here to try this in Elsa](#)

## QUIZ

You can write SUM using numerals but its tedious.

Is this a correct implementation of SUM?

```
let SUM = \n -> ITE (ISZ n)
  ZERO
  (ADD n (SUM (DEC n)))

A. Yes
B. No
```

No!

- Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to  $\lambda$ -calculus: replace each name with its definition

```
\n -> ITE (ISZ n)
      ZERO
      (ADD n (SUM (DEC n))) -- But SUM is not yet defined!
```

**Recursion:**

- Inside *this* function
- Want to call the *same* function on `DEC n`

Looks like we can't do recursion!

- Requires being able to refer to functions *by name*,
- But  $\lambda$ -calculus functions are *anonymous*.

Right?

## $\lambda$ -calculus: Recursion

Think again!

**Recursion:**

Instead of

- ~~Inside *this* function I want to call the *same* function on `DEC n`~~

Lets try

- Inside *this* function I want to call *some* function `rec` on `DEC n`
- And BTW, I want `rec` to be the *same* function

**Step 1:** Pass in the function to call “recursively”

```
let STEP =
  \rec -> \n -> ITE (ISZ n)
            ZERO
            (ADD n (rec (DEC n))) -- Call some rec
```

**Step 2:** Do some magic to `STEP`, so `rec` is itself

```
\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))
```

That is, obtain a term `MAGIC` such that

```
MAGIC => STEP MAGIC
```

## $\lambda$ -calculus: Fixpoint Combinator

**Wanted:** a  $\lambda$ -term `FIX` such that

- `FIX STEP` calls `STEP` with `FIX STEP` as the first argument:

```
(FIX STEP) => STEP (FIX STEP)
```

(In math: a *fixpoint* of a function  $f(x)$  is a point  $x$ , such that  $f(x) = x$ )

Once we have it, we can define:

```
let SUM = FIX STEP
```

Then by property of `FIX` we have:

```
SUM  =>  FIX STEP  =>  STEP (FIX STEP)  =>  STEP SUM
```

and so now we compute:

```
eval sum_two:
  SUM TWO
=>> STEP SUM TWO
=>> ITE (ISZ TWO) ZERO (ADD TWO (SUM (DEC TWO)))
=>> ADD TWO (SUM (DEC TWO))
=>> ADD TWO (SUM ONE)
=>> ADD TWO (STEP SUM ONE)
=>> ADD TWO (ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE))))
=>> ADD TWO (ADD ONE (SUM (DEC ONE)))
=>> ADD TWO (ADD ONE (SUM ZERO))
=>> ADD TWO (ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM DEC ZERO)))
=>> ADD TWO (ADD ONE (ZERO))
=>> THREE
```

How should we define `FIX`???

## The Y combinator

Remember  $\Omega$ ?

```
(\x -> x x) (\x -> x x)
=>b> (\x -> x x) (\x -> x x)
```

This is *self-replicating code*! We need something like this but a bit more involved..

The Y combinator discovered by Haskell Curry:

```
let FIX  = \stp -> (\x -> stp (x x)) (\x -> stp (x x))
```

How does it work?

```
eval fix_step:
  FIX STEP
=>d> (\stp -> (\x -> stp (x x)) (\x -> stp (x x))) STEP
=>b> (\x -> STEP (x x)) (\x -> STEP (x x))
=>b> STEP ((\x -> STEP (x x)) (\x -> STEP (x x)))
--      ^^^^^^^^^^^ this is FIX STEP ^^^^^^^^^^^^^
```

That's all folks, Haskell Curry was very clever.

**Next week:** We'll look at the language named after him (`Haskell`)