

Data on the Heap

Next, let's add support for

- Data Structures

In the process of doing so, we will learn about

- Heap Allocation
- Run-time Tags
- High-order Func (Closures)

num
bool
x char
x double

$\langle \text{env}, \text{ code} \rangle$

Creating Heap Data Structures

We have already support for two primitive data types

```
data Ty
= TNumber      -- e.g. 0,1,2,3,...
| TBoolean     -- e.g. true, false
```

we could add several more of course, e.g.

- Char
- Double or Float

etc. (you should do it!)

However, for all of those, the same principle applies, more or less

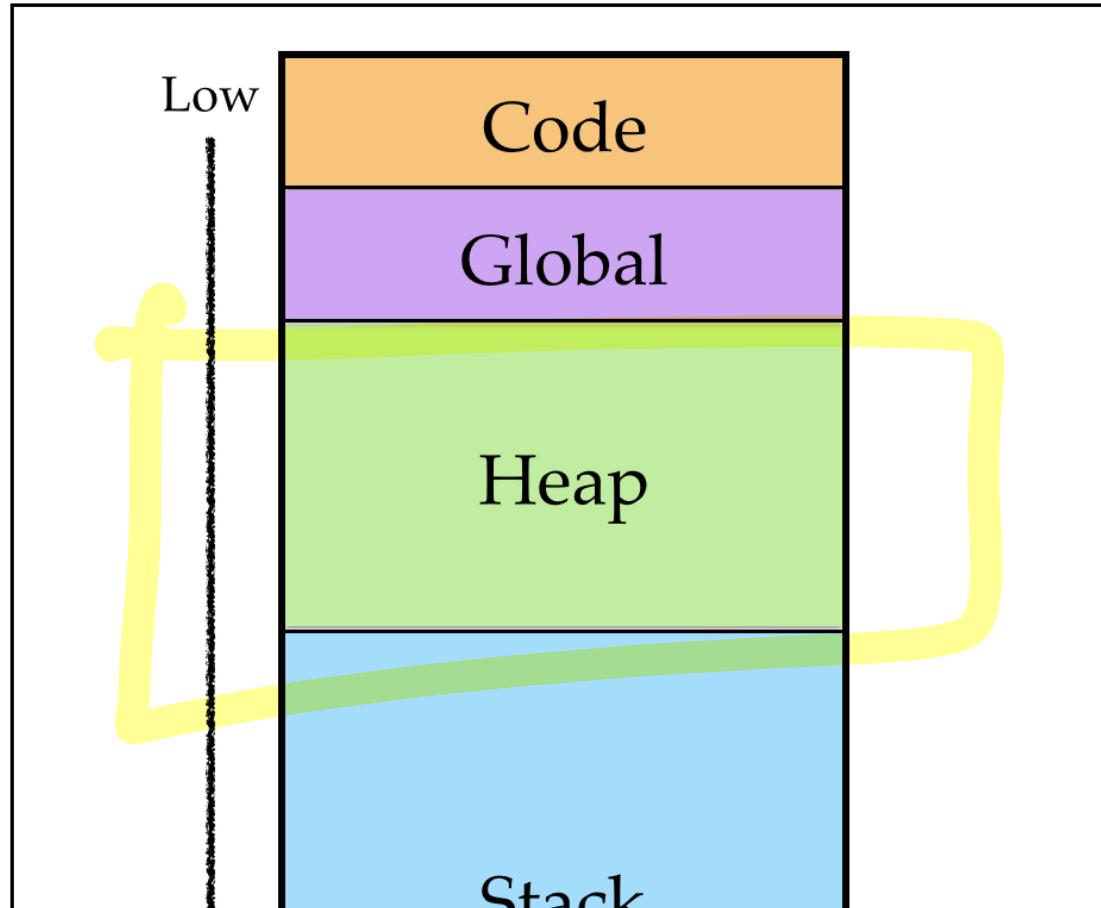
- As long as the data fits into a single word (8-bytes)

Instead, lets learn how to make **unbounded data structures**

- Lists
- Trees
- ...

which require us to put data on the **heap**

not just the stack that we've used so far.

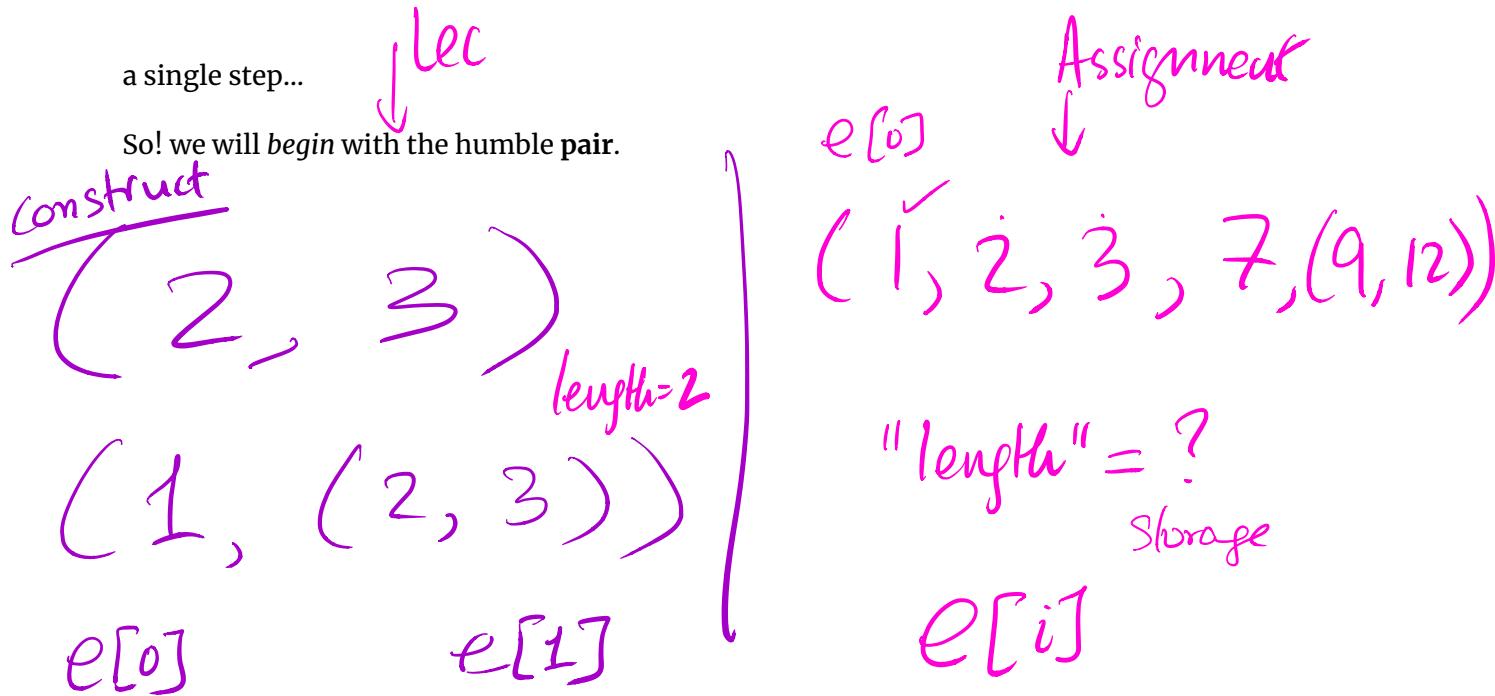




Stack vs. Heap

Pairs

While our *goal* is to get to lists and trees, the journey of a thousand miles begins with



Pairs: Semantics (Behavior)

First, lets ponder what exactly we're trying to achieve.

We want to enrich our language with *two* new constructs:

- **Constructing** pairs, with a new expression of the form (e_0, e_1) where e_0 and e_1 are expressions.
- **Accessing** pairs, with new expressions of the form $e[0]$ and $e[1]$ which

e[0] e[1]

evaluate to the first and second element of the tuple `e` respectively.

For example,

```
let t = (2, 3) in  
  t[0] + t[1]
```

should evaluate to 5.

Strategy

Next, let's informally develop a strategy for extending our language with pairs, implementing the above semantics. We need to work out strategies for:

1. Representing pairs in the machine's memory,

$$(e_0, e_1) \longrightarrow \langle \text{asm} \rangle$$

e[0]asm

2. Constructing pairs (i.e. implementing e_0 , e_1 in assembly),
3. Accessing pairs (i.e. implementing $e[0]$ and $e[1]$ in assembly).

1. Representation

Recall that we represent all values: (05-cobra.md/#option-2-use-a-tag-bit)

- Number like 0, 1, 2 ...
- Boolean like true, false

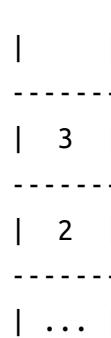
} 64

as a single word either

- 8 bytes on the stack, or
- a single register `rax`, `rbx` etc.

EXERCISE

What kinds of problems do you think might arise if we represent a pair $(2, 3)$ on the stack as:

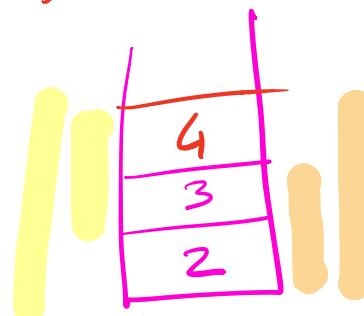


$$t = ((\underline{2}, \underline{3}), 4)$$
$$t = (2, (3, 4))$$

Let $t = ((\underline{2}, \underline{3}), 4)$ in

:

:



$t[0][0]$

1, 2, 3, 4, 5

$\text{cons}(1, \text{cons}(2, \text{cons}(3, \text{cons}(4, \text{nil}))))$
 $(1, (2, (3, (4, \text{false}))))$

QUIZ

How many words would we need to store the tuple

(3, (4, 5))

1. 1 word

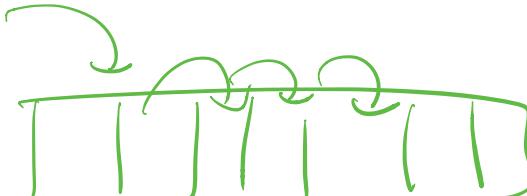
2. 2 words

3. 3 words

4. 4 words

5. 5 words

\underline{l}



tup

(1,2)

(e₀, e₁)

e[0], e[1]

3

def tail(l):
 $l[1]$

def isNil(l):
 $l == \text{False}$

def nil():
 false

[def cons(h,t):
 (h,t)

{ def range(lo, hi):
 $\text{if } lo > hi:$

$\text{cons}(lo, \text{range}(lo+1, hi))$
 else:
 $\text{nil}()$

def length(l):

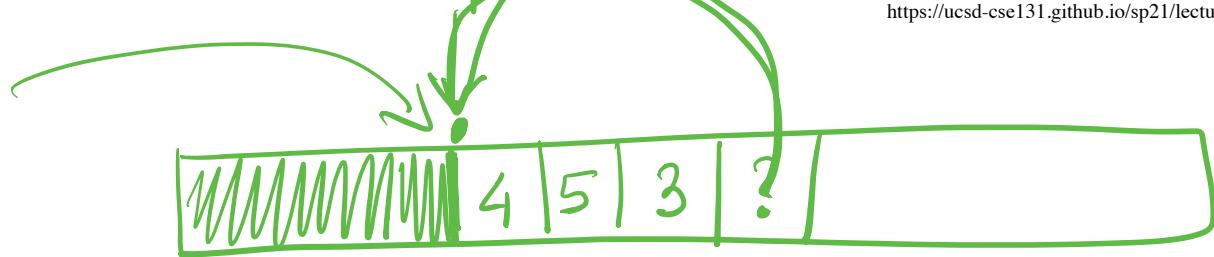
$\text{if } \text{isNil}(l):$

0

else:
 $1 + \text{length}(\text{tail}(l))$

build
list

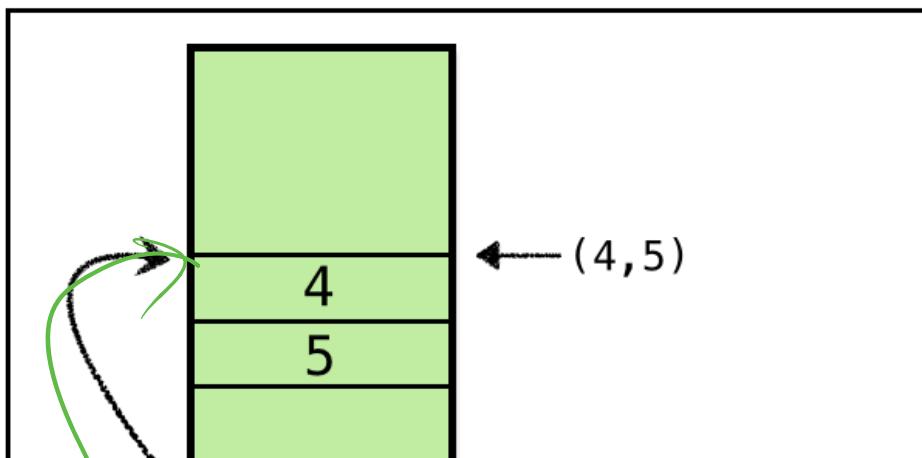
[l = range(0, 100)
 $\text{length}(l)$

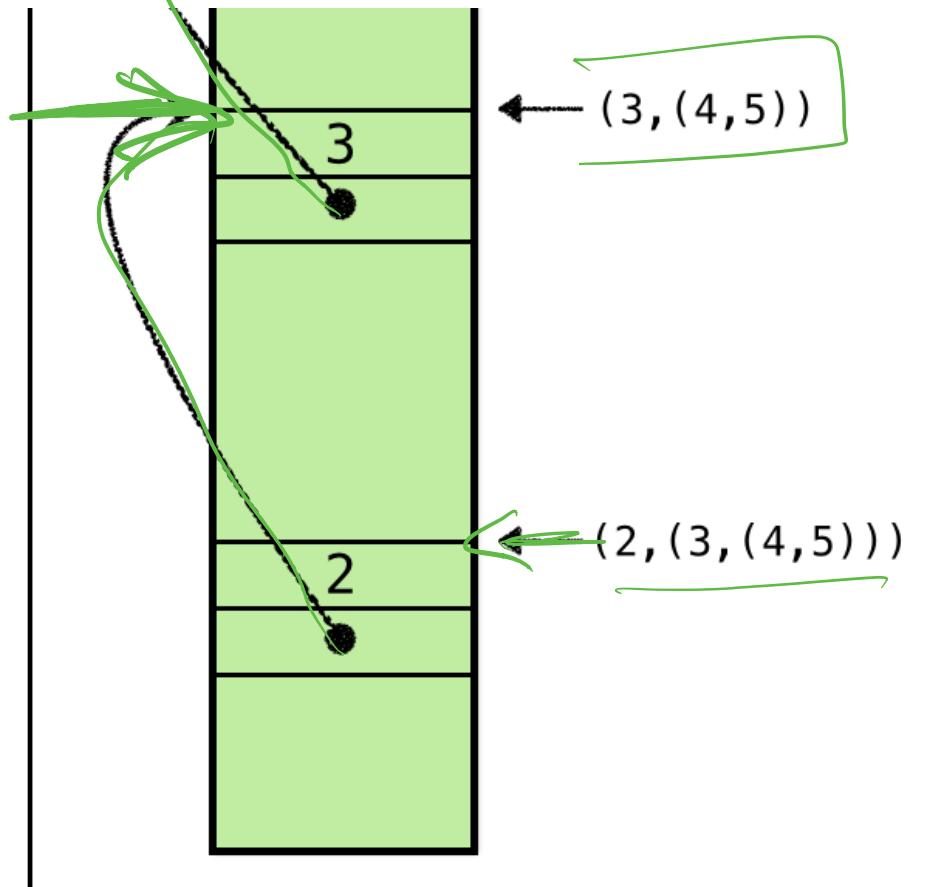


Pointers

Every problem in computing can be solved by adding a level of indirection.

We will **represent a pair by a pointer** to a block of **two adjacent words** of memory.





Pairs on the heap

The above shows how the pair $(2, (3, (4, 5)))$ and its sub-pairs can be stored in the **heap** using pointers.

(4, 5) is stored by adjacent words storing

- 4 and
- 5

(3, (4, 5)) is stored by adjacent words storing

- 3 and
- a **pointer** to a heap location storing (4, 5)

(2, (3, (4, 5))) is stored by adjacent words storing

- 2 and
- a **pointer** to a heap location storing (3, (4, 5)).

A Problem: Numbers vs. Pointers?

How will we tell the difference between *numbers* and *pointers*?

That is, how can we tell the difference between

1. the *number* 5 and
2. a *pointer* to a block of memory (with address 5)?

Each of the above corresponds to a *different* tuple

1. (4, 5) or
2. (4, (...)).

so its pretty crucial that we have a way of knowing which value it is.

$$t = (1, (2, 3))$$

$$t = 3$$

$$t = \text{false}$$

Tagging Pointers

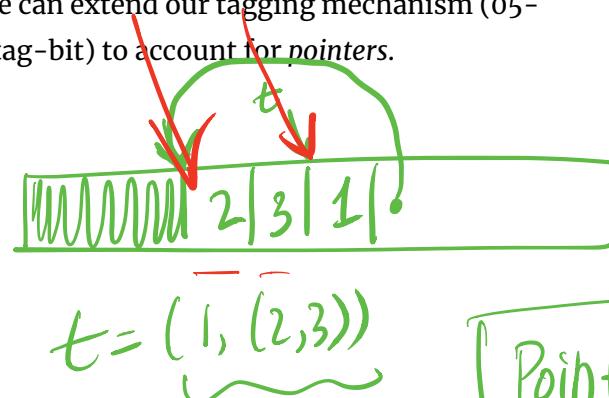
As you might have guessed, we can extend our tagging mechanism (05-cobra.md/#option-2-use-a-tag-bit) to account for pointers.

Type	LSB
number	xx0
boolean	111
pointer	001

That is, for

- number the **last bit** will be **0** (as before),
- boolean the **last 3 bits** will be **111** (as before), and
- pointer the **last 3 bits** will be **001**.

(We have 3-bits worth for tags, so have wiggle room for other primitive types.)



Pointers are
8-byte aligned

Address Alignment

As we have a **3 bit tag**

- leaving **64 - 3 = 61 bits** for the actual address

So actual addresses, written in binary, omitting trailing zeros, are of the form

Binary	Decimal
0b00000000	0
0b00001000	8
0b00010000	16
0b00011000	24
0b00100000	32
...	

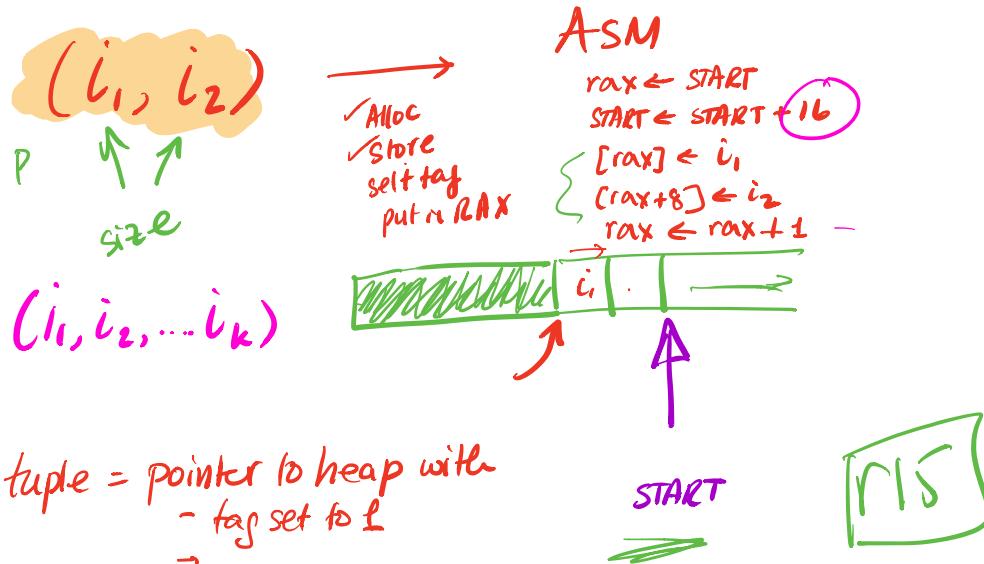
That is, the addresses are **8-byte aligned**.

Which is great because at each address, we have a pair, i.e. a **2-word = 16-byte block**, so the **next allocated address will also fall on an 8-byte boundary**.



START

- But ... what if we had 3-tuples? or 5-tuples? ...



2. Construction

Next, let's look at how to implement pair **construction** that is, generate the assembly for expressions like:

(e1, e2)

To construct a pair (e1, e2) we

1. Allocate a new 2-word block, and getting the starting address at `rax`,
2. Copy the value of `e1` (resp. `e2`) into `[rax]` (resp. `[rax + 8]`).

3. Tag the last bit of `rax` with 1.

The resulting `eax` is the **value of the pair**

- The *last step* ensures that the value carries the proper tag.

ANF will ensure that `e1` and `e2` are immediate expressions (04-boa.md/#idea-immediate-expressions)

- will make the second step above straightforward.

EXERCISE How will we do ANF conversion for `(e1, e2)`?

Allocating Addresses

Lets use a **global** register `r15` to maintain the address of the **next free block** on the heap.

Every time we need a *new* block, we will:

1. Copy the current `r15` into `rax`

- Set the last bit to `1` to ensure proper tagging.
- `rax` will be used to fill in the values

2. Increment the value of `r15` by `16`

- Thus *allocating* 8 bytes (= 2 words) at the address in `rax`

Note that addresses stay 8-byte aligned (last 3 bits = 0) if we

- Start our blocks at an 8-byte boundary, and
- Allocate 16 bytes at a time,

NOTE: Your assignment will have *blocks of varying sizes*

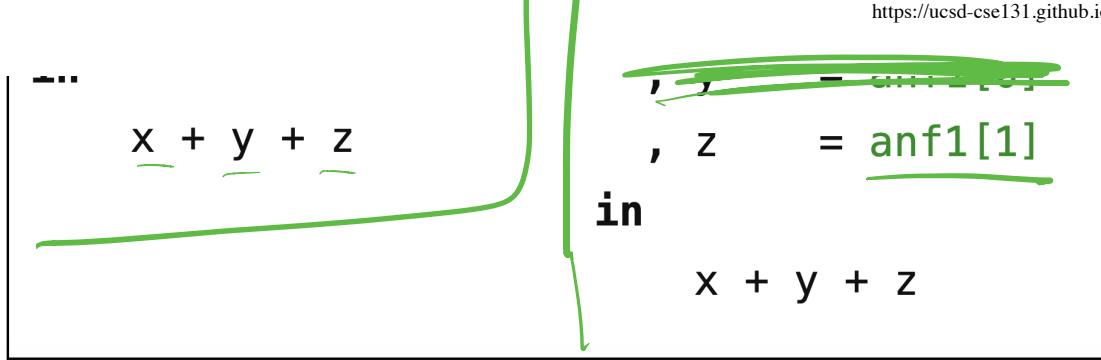
- You will have to *Maintain* the 8-byte alignment by *padding*

Example: Allocation

In the figure below, we have

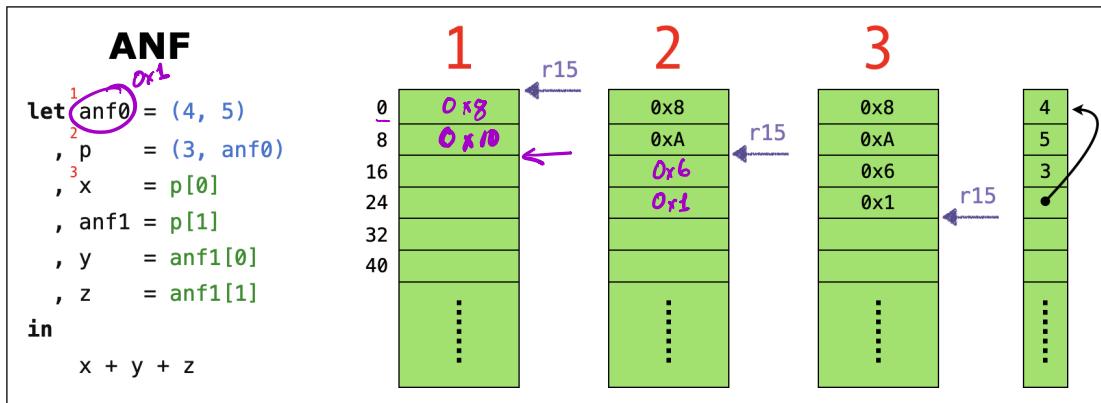
- a source program on the left,
- the ANF equivalent next to it.

Source	ANF
<pre>let p = (3, (4, 5)) , x = p[0] => , y = p[1][0] = 4 , z = p[1][1] => 5 in</pre>	<p>START</p> <pre>0 let anf0 = (4, 5) , p = (3, anf0) , x = p[0] , anf1 = p[1] = anf1[0]</pre>



Example of Pairs

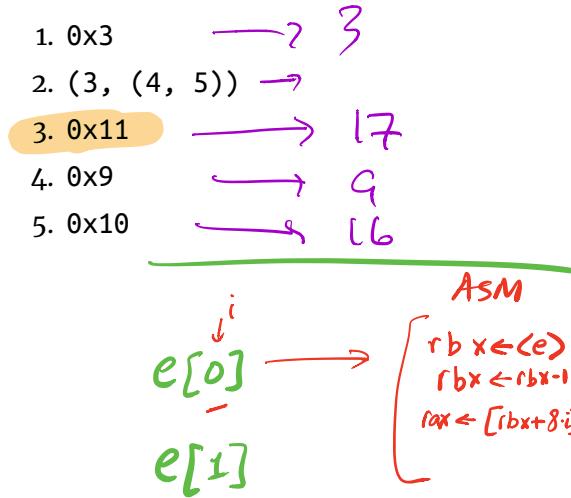
The figure below shows the how the heap and `r15` evolve at points 1, 2 and 3:



Allocating Pairs on the Heap

QUIZ

In the ANF version, *p* is the *second (local) variable* stored in the stack frame. What *value* gets moved into the *second stack slot* when evaluating the above program?



3. Accessing

Finally, to **access** the elements of a pair

Lets compile $e[0]$ to get the first or $e[1]$ to get the second element

1. **Check** that immediate value e is a pointer
2. **Load** e into rbx
3. **Remove** the tag bit from rbx

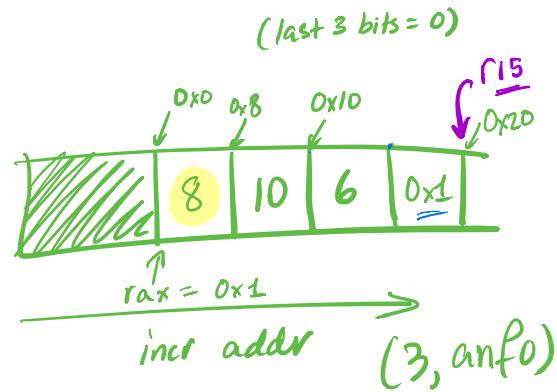
4. Copy the value in $[rbx]$ (resp. $[rbx + 8]$) into rbx .

```

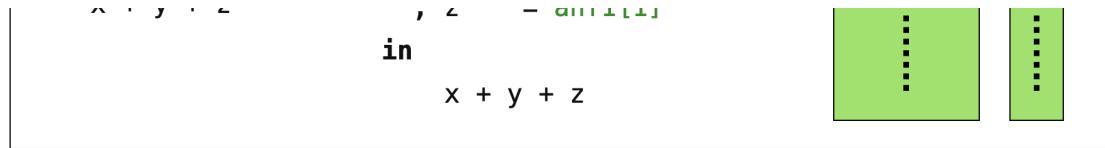
    mov rax, <anf0>
    -sub rax, 1
    mov rax, [rax+8.1]
  
```

^a Example: Access

Here is a snapshot of the heap after the pair(s) are allocated.



Source	ANF	Heap																						
<pre> let p = (3, (4, 5)) , x = p[0] , y = p[1][0] , z = p[1][1] in tmp = print(p) x+y+z </pre>	<pre> let anf0 = (4, 5) p = (3, anf0) , x = p[0] , anf1 = p[1] , y = anf1[0] , z = anf1[1] </pre>	<p>Diagram illustrating the state of the heap after memory allocation. The heap is 16-byte aligned and contains the following values:</p> <table border="1"> <tr><td>0</td><td>0x8</td></tr> <tr><td>4</td><td>0xA</td></tr> <tr><td>8</td><td>0x6</td></tr> <tr><td>12</td><td>0x1</td></tr> <tr><td>16</td><td>0x11</td></tr> <tr><td>20</td><td>0x1</td></tr> <tr><td>24</td><td>0x1</td></tr> <tr><td>28</td><td>0x1</td></tr> <tr><td>32</td><td>0x1</td></tr> <tr><td>36</td><td>0x1</td></tr> <tr><td>40</td><td>0x1</td></tr> </table> <p>A red arrow points from the value at address 0x16 (0x11) to the value at address 0x1C (0x1), indicating a pointer from p[1] to anf1. A blue arrow points from the value at address 0x20 (0x1) to the value at address 0x24 (0x1), indicating a pointer from anf1[1] to its first element.</p>	0	0x8	4	0xA	8	0x6	12	0x1	16	0x11	20	0x1	24	0x1	28	0x1	32	0x1	36	0x1	40	0x1
0	0x8																							
4	0xA																							
8	0x6																							
12	0x1																							
16	0x11																							
20	0x1																							
24	0x1																							
28	0x1																							
32	0x1																							
36	0x1																							
40	0x1																							



Allocating Pairs on the Heap

Lets work out how the values corresponding to `x`, `y` and `z` in the example above get stored on the stack frame in the course of evaluation.

Variable	Hex Value	Value
anf0	0x001	ptr 0
p	0x011	ptr 16
x	0x006	num 3
anf1	0x001	ptr 0
y	0x008	num 4
z	0x00A	num 5
anf2	0x00E	num 7
result	0x018	num 12

Plan

$(1, (2, (3, 4)))$

Pretty pictures are well and good, time to build stuff!

As usual, lets continue with our recipe:

1. Run-time
 2. Types
 3. Transforms
- 

We've already built up intuition of the *strategy* for implementing tuples. Next, lets look at how to implement each of the above.

Run-Time

We need to extend the run-time (`c-bits/main.c`) in two ways.

1. **Allocate** a chunk of space on the heap and pass in start address to `our_code` .
2. **Print** pairs properly.

Allocation

The first step is quite easy we can use `calloc` as follows:

```
int main(int argc, char** argv) {  
    int* HEAP = calloc(HEAP_SIZE, sizeof (int));  
    long result = our_code_starts_here(HEAP);  
    print(result);  
    return 0;  
}
```

*Where does 'HEAP' live?
in our code....*

The above code,

- (A) r15 (B) rdi

1. Allocates a big block of contiguous memory (starting at `HEAP`), and
2. Passes this address in to `our_code`.

Now, `our_code` needs to, at the beginning start with instructions that

- 8-byte?*
- copy the parameter (in `rdi`) into global pointer (`r15`)
 - and then bump it up at each allocation.

alloc
print ✓



Printing

tuple = 00 1
bool = 11 1

To print pairs, we must recursively traverse pointers

- until we hit number or boolean.

We can check if a value is a pair by looking at its last 3 bits:

```
int isPair(int p) {  
    return (p & 0x00000007) == 0x00000001;  
}
```

We can use the above test to recursively print (word)-values:

```
void print(long val) {
    if(val & 0x1 == 0) { // val is a number
        printf("%ld", val >> 1);
    }
    else if(val == CONST_TRUE) {           // val is true
        printf("true");
    }
    else if(val == CONST_FALSE) {          // val is false
        printf("false");
    }
    else if(val & 7 == 1) {
        long* valp = (long *) (val - 1); // extract address
        printf("(");
        print(*valp);                  // print first element
        printf(", ");
        print(*(valp + 1));            // print second element
        printf(")");
    }
    else {
        printf("Unknown value: %#010x", val);
    }
}
```

$e_1 [e_2]$

Types

Next, let's move into our compiler, and see how the **core types** need to be extended.

Source

$(e_1, e_2) \rightarrow \text{Pair } e_1 \ e_2$

We need to extend the source Expr with support for tuples

`data Expr a = ...
| Pair (Expr a) (Expr a) a -- ^ construct a pair
| GetItem (Expr a) Field a -- ^ access a pair's element`

In the above, Field is

$e[1] \rightarrow \text{GetItem } e \text{ Second}$

| Tuple [Expr a] a

| GetItem (Expr) Int → "Static"

data Field

= First -- ^ access first element of pair
| Second -- ^ access second element of pair

(Expr a) → "dynamic"

NOTE: Your assignment will generalize pairs to n-ary tuples using

- Tuple [Expr a] representing (e1, ..., en)
- GetItem (Expr a) (Expr a) representing e1[e2]

Dynamic Types

Let us extend our dynamic types Ty see (05-cobra.md/#types) to include pairs:

```
data Ty = TNumber | TBoolean | TPair  
          ↓   ↓   ↓  
        0x0 0x11 0x001
```

Assembly

The assembly `Instruction` are changed minimally; we just need access to `r15` which will hold the value of the *next* available memory block:

```
data Register  
= ...  
| R15
```

Transforms

Our code must take care of three things:

1. Initialize `r15` to allow heap allocation,
2. Construct pairs,
3. Access pairs.

`compileEnv`

`compileEnv`

Pair / Tuple

Get Item

The latter two will be pointed out as cases in `anf` and `compileEnv`

- Tuple
- GetItem

Pair e_1 e_2

ANF = like any
Prim2

Get them e_1, e_2

Initialize

We need to **initialize r15** with the **start position** of the heap

- passed in as `rdi` by the run-time.

How shall we get a hold of this position?

To do so, `our_code` starts off with a `prelude`

```
prelude :: [Instruction]
prelude =
  [ IMov (Reg R15) (Reg RDI) ] -- copy param (HEAP) off rdi
```

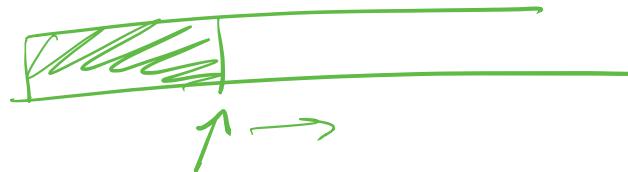
Is that it?

`mov r15, rdi`

- ① Find the gap
add the gap as pad
- ② zero out last bits

QUIZ

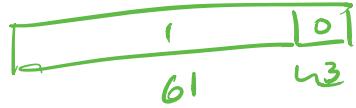
Is r15 8-byte aligned?



- A. Yes
- B. No

Ensuring alignment

64



prelude :: [Instruction]

prelude =

```
[ IMov (Reg RAX) (HexConst 0xFFFFFFFF)      -- setup regMask  
  , IShl (Reg RAX) (Const 32)  
  , IOr (Reg RAX) (HexConst 0xFFFFFFF8)  
  
  , IMov (Reg R15) (Reg RDI)                 -- copy param (HEAP) of  
f rdi  
  , IAdd (Reg R15) (Const 8)                  -- add 8 and mask 3 bit  
s to ensure  
  , IAnd (Reg R15) (Reg RAX)                  -- 8-byte aligned  
 ]
```

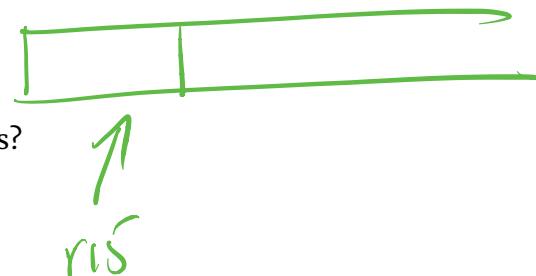
1. Copy the value off the (parameter) stack, and
2. Adjust the value to ensure the value is 8-byte aligned.

mov r15, rdi
add r15, 8
and r15, 0x111...000
61-bits

QUIZ

Why add 8 to r15? What would happen if we removed that operation?

- A. r15 would not be 8-byte aligned?
- B. r15 would point into the stack?
- C. r15 would not point into the heap?
- D. r15 would not have enough space to write 2 bytes?



Construct

To construct a pair (v_1, v_2) we directly implement the above strategy (07-egg-eater.md/#2-construction):

(Tuple vs)

```

compileEnv env (Tuple v1 v2)
  = pairAlloc      tupleAlloc (n+1) -- 1. allocate pair, resulting a
    ddr in `rax`           slot           -- 2. copy first value into slot
    ++ pairCopy First  (immArg env v1)   slot
    ++ pairCopy Second (immArg env v2)   slot           -- 3. copy second value into slot
    ++ setTag     RAX      TPair          -- 3. set the tag-bits of `rax`
  t

```

Lets look at each step in turn.

add RAX, 1

pair Alloc =
 mov rax, r15
 add r15, 16

tupleAlloc k =
 mov rax, r15
 $\text{add r15, 8 \cdot k}$

pairCopy fld arg =

mov rbx, arg
 $\text{mov [rax+off], rbx}$

[rax + off]

where
 $off = \text{FieldOff fld} [rbp \pm -]$

Allocate

r15

To allocate, we just copy the current pointer `r15` and increment by 16 bytes,

- accounting for two 8-byte blocks for each element.

```
pairAlloc :: Asm
pairAlloc
  = [ IMov (Reg RAX) (Reg R15)      -- copy current "free address" `esi
    ` into `eax`
    , IAdd (Reg RAX) (Const 16)     -- increment `esi` by 8
    ]
```

Exercise How would you make this work for n -tuples?

Copy

We copy an Arg into a Field by

- saving the Arg into a helper register rbx ,
- copying rbx into the field's slot on the heap.

```
pairCopy :: Field -> Arg -> Asm
```

```
pairCopy fld arg
```

```
= [ IMov (Reg RBX) ← arg  
      → IMov (pairAddr fld) (Reg RBX)  
    ]
```

Recall, the field's slot is either [rax] or [rax + 8] depending on whether the field is First or Second .

QUIZ

What shall we fill in for $_1$ and $_2$?

$v_0 \downarrow v_1 v_2 v_3$
 $0 -1 -2 -3$

```
pairAddr :: Field -> Arg
```

```
pairAddr First = RegOffset 1 RAX
```

```
pairAddr Second = RegOffset ? RAX
```

A. 0 and 1

✓ B. 0 and -1

1
 -1
 -1

ResOff 3 RBP \rightarrow [RBP - 3*i]

C. 1 and 2

D. -1 and -2

E. huh?

Tag

Finally, we set the tag bits of `rax` by using `typeTag TPair` which is defined

```
setTag :: Register -> Asm
setTag r = [ IAdd (Reg r) (HexConst 0x1) ]
```

e[0]

e[1]

Access

To access tuples, let's update `compileEnv` with the strategy above:

```
compileExpr env (GetItem e fld)
  = assertType env e TPair
    r) pointer
    ++ [ IMov (Reg RAX) (immArg env e) ]           -- 1. check that e is a (pair
    ++ unsetTag RAX                                -- 2. load pointer into eax
    ++ pairAddr fld                                -- 3. remove tag bit to get a
    let to eax                                     -- 4. copy value from resp. slot to eax
```

we remove the tag bits by doing the opposite of `setTag` namely:

```
unsetTag :: Register -> Asm
unsetTag r = ISub (Reg RAX) (HexConst 0x1)
```

N-ary Tuples

Thats it! Lets take our compiler out for a spin, by using it to write some interesting programs!

First, lets see how to generalize pairs to allow for

- triples (e_1, e_2, e_3)
- quadruples $\underline{(e_1, e_2, e_3, e_4)}$
- pentuples $\underline{\underline{(e_1, e_2, e_3, e_4, e_5)}}$

and so on.

$(e_1, (e_2, e_3))$
 $(e_1, (e_2, (e_3, (e_4, -))))$

We just need a library of functions in our new egg language to

- Construct such tuples, and
- Access their fields.

Constructing Tuples

We can write a small set of functions to **construct** tuples (up to some given size):



```
def tup3(x1, x2, x3):  
    (x1, (x2, x3))
```



```
def tup4(x1, x2, x3, x4):  
    (x1, (x2, (x3, x4)))
```



```
def tup5(x1, x2, x3, x4, x5):  
    (x1, (x2, (x3, (x4, x5)))))
```

Accessing Tuples

We can write a single function to access tuples of any size.

So the below code

```
let yuple = (10, (20, (30, (40, (50, false))))) in  
  
get(yuple, 0) = 10  
get(yuple, 1) = 20  
get(yuple, 2) = 30  
get(yuple, 3) = 40  
get(yuple, 4) = 50
```

```
def tup3(x1, x2, x3):  
    (x1, (x2, x3))  
  
def tup5(x1, x2, x3, x4, x5):  
    (x1, (x2, (x3, (x4, x5))))  
  
let t = tup5(1, 2, 3, 4, 5) in  
    , x0 = print(get(t, 0))  
    , x1 = print(get(t, 1))  
    , x2 = print(get(t, 2))  
    , x3 = print(get(t, 3))  
    , x4 = print(get(t, 4))  
in
```

99

should print out:

0
1
2
3
4
99

How shall we write it?

```
def get(t, i):  
    TODO-IN-CLASS
```

QUIZ

Using the above “library” we can write code like:

```
let quad = tup4(1, 2, 3, 4) in  
    get(quad, 0) + get(quad, 1) + get(quad, 2) + get(quad, 3)
```

What will be the result of compiling the above?

1. Compile error
2. Segmentation fault
3. Other run-time error
4. 4
5. 10

QUIZ

Using the above “library” we can write code like:

```
def get(t, i):
    if i == 0:
        t[0]
    else:
        get(t[1],i-1)

def tup3(x1, x2, x3):
    (x1, (x2, (x3, false)))

let quad = tup3(1, 2, 3) in
    get(quad, 0) + get(quad, 1) + get(quad, 2) + get(quad, 3)
```

What will be the result of compiling the above?

1. Compile error
2. Segmentation fault
3. Other run-time error
4. 4
5. 10

Lists

Once we have pairs, we can start encoding **unbounded lists**.

To build a list, we need two constructor functions:

```
def empty():
    false

def cons(h, t):
    (h, t)
``
```

We can now encode lists as:

```
```python
cons(1, cons(2, cons(3, cons(4, empty()))))
```

## Access

To **access** a list, we need to know

1. Whether the list `isEmpty`, and
2. A way to access the `head` and the `tail` of a non-empty list.

```
def isEmpty(l):
 l == empty()
```

```
def head(l):
 l[0]
```

```
def tail(l):
 l[1]
```

## *Examples*

We can now write various functions that build and operate on lists, for example, a function to generate the list of numbers between  $i$  and  $j$

```
def range(i, j):
 if (i < j):
 cons(i, range(i+1, j))
 else:
 empty()
```

```
range(1, 5)
```

which should produce the result

```
(1,(2,(3,(4, false))))
```

and a function to sum up the elements of a list:

```
def sum(xs):
 if (isEmpty(xs)):
 0
 else:
 head(xs) + sum(tail(xs))
```

```
sum(range(1, 5))
```

which should produce the result 10.

# Recap

We have a pretty serious language now, with:

- **Data Structures**

which are implemented using

- **Heap Allocation**
- **Run-time Tags**

which required a bunch of small but subtle changes in the

- runtime and compiler

In your assignment, you will add *native* support for n-ary tuples, letting the programmer write code like:

```
(e1, e2, e3, ..., en) # constructing tuples of arbitrary arity
e1[e2] # allowing expressions to be used as fields
```

Next, we'll see how to

- use the “tuple” mechanism to implement **higher-order functions** and
  - reclaim unused memory via **garbage collection**.
- 



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