

# Lambda Calculus

## Your Favorite Language

Probably has lots of features:

- Assignment (x = x + 1)
- Booleans, integers, characters, strings, ...
- Conditionals
- Loops
- `return`, `break`, `continue`
- Functions
- Recursion
- References / pointers
- Objects and classes
- Inheritance
- ...

Which ones can we do without?

What is the **smallest universal language**?

def foo(...):  
[  
 name —

*What is computable?*

*Before 1930s*

Informal notion of an effectively calculable function:

$$\begin{array}{r} 172 \\ 32 \overline{)5512} \\ 32 \\ \hline 231 \\ 224 \\ \hline 72 \\ 64 \\ \hline 8 \end{array}$$

Inputs, produce, output  
↓  
"Operations"

"Simplification"

"doing stuff"

can be computed by a human with pen and paper, following an algorithm

*1936: Formalization*

What is the smallest universal language?



UK

Alan Turing



Alonzo Church

1930s

John McCarthy

LISP

1950s

SAIL

*The Next 700 Languages*



Peter Landin

*Whatever the next 700 languages turn out to be, they will surely be variants of lambda calculus.*

Peter Landin, 1966

## *The Lambda Calculus*

Has one feature:

- Functions

No, *really*

- ~~Assignment (`x = x + 1`)~~
- ~~Booleans, integers, characters, strings, ...~~
- ~~Conditionals~~
- ~~Loops~~
- ~~return, break, continue~~
- Functions
- Recursion
- ~~References / pointers~~
- Objects and classes
- Inheritance
- Reflection

$$(\text{function}(x)\{x\})(y) \rightarrow y$$

More precisely, *only* thing you can do is:

- Define a function
- Call a function

# Describing a Programming Language

- Syntax: what do programs look like?
- Semantics: what do programs mean?
  - Operational semantics: how do programs execute step-by-step?

## Syntax: What Programs Look Like

$$e ::= \begin{array}{l} x, y, z, \dots \\ | (\lambda x \rightarrow e) \\ | (e e) \end{array}$$

vars  
func  
args

$$E ::= \begin{array}{l} x, y, z, \dots \\ | \text{function}(x)\{ E \} \\ | E(E) \end{array}$$

vars  
func  
calls

Programs are expressions  $e$  (also called  $\lambda$ -terms) of one of three kinds:

- Variable
  - $x, y, z$
- Abstraction (aka nameless function definition)
  - $(\lambda x \rightarrow e)$
  - $x$  is the *formal parameter*,  $e$  is the *body*
  - “for any  $x$  compute  $e$ ”
- Application (aka function call)

“abstraction” = fun def  
“application” = fun call

- $(e_1 \ e_2)$
- $e_1$  is the *function*,  $e_2$  is the *argument*
- in your favorite language:  $e_1(e_2)$

(Here each of  $e$ ,  $e_1$ ,  $e_2$  can itself be a variable, abstraction, or application)

## Examples

$\lambda x \rightarrow x$  -- The "identity function" (*id*)  
-- ("for any  $x$  compute  $x$ ")

$\lambda x \rightarrow (\lambda y \rightarrow y)$  -- A function that returns (*id*)

$\lambda f \rightarrow (f (\lambda x \rightarrow x))$  -- A function that applies its argument to *id*

$$\begin{array}{c} e ::= x, y, z, \dots \\ = | (\lambda x \rightarrow e) \\ | (e \ e) \end{array}$$

## QUIZ

*not a thing*  ~~$\lambda e \rightarrow e$~~

Which of the following terms are syntactically incorrect?

X A.  $\lambda(\lambda x \rightarrow x) \rightarrow y$

*i.e. NOT valid LC express*

*"var"* "func that takes  $(\lambda x \rightarrow x)$  as input"

- B.  $\lambda x \rightarrow x x$   
 C.  $\lambda x \rightarrow x (y x)$   
 D. A and C  
 E. all of the above

$\lambda x \rightarrow (\lambda y \rightarrow \dots)$

## Examples

$\lambda x \rightarrow x$	-- The identity function -- ("for any $x$ compute $x$ ")
$\lambda x \rightarrow (\lambda y \rightarrow y)$	-- A function that returns the identity function
$\lambda f \rightarrow f (\lambda x \rightarrow x)$	-- A function that applies its argument -- to the identity function

How do I define a function with two arguments?

- e.g. a function that takes  $x$  and  $y$  and returns  $y$ ?

```
\x -> (\y -> y)      -- A function that returns the identity function  
                      -- OR: a function that takes two arguments  
                      -- and returns the second one!
```

How do I apply a function to two arguments?

- e.g. apply  $\lambda x -> (\lambda y -> y)$  to apple and banana?

$((((\lambda x -> (\lambda y -> \dots)) \text{ apple}) \text{ banana})$

$(\lambda x y -> y) \text{ apple} \text{ banana}$

$((((\lambda x -> (\lambda y -> y)) \text{ apple}) \text{ banana})$  -- first apply to apple,  
-- then apply the result to banana

## Syntactic Sugar

$$((x \ y) \ z) \quad x \ (y \ z)$$

instead of	we write
$\lambda x \rightarrow (\lambda y \rightarrow (\lambda z \rightarrow e))$	$\lambda x \rightarrow \lambda y \rightarrow \lambda z \rightarrow e$
$\lambda x \rightarrow \lambda y \rightarrow \lambda z \rightarrow e$	$\lambda x \ y \ z \rightarrow e$
$\lambda (((e_1 \ e_2) \ e_3) \ e_4)$	$e_1 \ e_2 \ e_3 \ e_4$

$\lambda x \ y \rightarrow y$  -- A function that takes two arguments  
-- and returns the second one...

$(\lambda x \ y \rightarrow y) \text{ apple banana}$  -- ... applied to two arguments

## Semantics : What Programs Mean

How do I “run” / “execute” a  $\lambda$ -term?

Think of middle-school algebra:

*e*

↓  
*a*

↓  
*e*

⋮

↓  
*v*

↓  
*e<sub>k</sub>*

-- Simplify expression:  

$$\begin{aligned} & (1 + 2) * ((3 * 8) - 2) \\ & = 3 * ((3 * 8) - 2) \quad \text{rewr} \\ & = 3 * (24 - 2) \quad \text{rew} \\ & = 3 * 22 \quad \text{rew} \\ & = 66 \end{aligned}$$

"redex"

$$\begin{aligned} & (1+2) * (3-0) \\ & = (1+2) * 3 \\ & = 3 * 3 \\ & = 9 \end{aligned}$$

Execute = rewrite step-by-step

- Following simple *rules*
- until no more rules *apply*

$$(\lambda x \rightarrow e_1) e_2 \Rightarrow e_1[x := e_2]$$

$$(\lambda x \rightarrow x) \text{ apple} \xrightarrow{\quad} \text{apple}$$

$$(\lambda x \rightarrow y) \text{ apple} \Rightarrow y$$

1. apple

2. y

1.  $\beta$ -step (aka function call)  
 2.  $\alpha$ -step (aka renaming formals)

$e := x, y, z \dots$

- ① "use/acc"
- ② "define"
- ③

$| (\lambda x \rightarrow e)$

$| (e e)$

Where are variables "introduced"

But first we have to talk about scope

"The scope of a variable is  
 the parts/region of the code  
 where you can access that  
 variable"

stuff  $(\lambda z \rightarrow \boxed{\text{stuff}}) :: \text{stuff}$   
 $\downarrow$   
 $z$  in scope

## Semantics: Scope of a Variable

The part of a program where a variable is visible

In the expression  $(\lambda x \rightarrow e)$

- x is the newly introduced variable
- e is the scope of x
- any occurrence of x in  $(\lambda x \rightarrow e)$  is bound (by the binder  $\lambda x$ )

For example, x is bound in:

$$(\lambda x \rightarrow x) \\ (\lambda x \rightarrow (\lambda y \rightarrow x))$$

$$\lambda x \underline{x} (\lambda y ((\lambda y \rightarrow \underline{x})))$$

An occurrence of x in e is free if it's not bound by an enclosing abstraction

For example,  $x$  is free in:

$(x \ y)$	-- no binders at all!
$(\lambda y \rightarrow x \ y)$	-- no $\lambda x$ binder
$(\lambda x \rightarrow (\lambda y \rightarrow y)) \ x$	-- $x$ is outside the scope of the $\lambda x$ binder; -- intuition: it's not "the same" $x$

## QUIZ

In the expression  $(\lambda x \rightarrow x) \ x$ , is  $x$  bound or free?

- A. first occurrence is bound, second is bound ✗
- B. first occurrence is bound, second is free ✓
- C. first occurrence is free, second is bound ✗
- D. first occurrence is free, second is free ✗

## EXERCISE: Free Variables

An variable  $x$  is free in  $e$  if there exists a free occurrence of  $x$  in  $e$

We can formally define the set of all free variables in a term like so:

$$\begin{aligned} FV(x) &= \{x\} \\ FV(\lambda x \rightarrow e) &= FV(e) - \{x\} \\ FV(e_1 e_2) &= FV(e_1) \cup FV(e_2) \end{aligned}$$

$$\begin{aligned} FV(\lambda x \rightarrow x) &= \emptyset \\ FV(\lambda x \rightarrow y) &= \{y\} \\ FV((\lambda x \rightarrow x)x) &= \{x\} \\ FV(x y) &= \{x, y\} \\ FV(x) &= \{x\} \end{aligned}$$

## Closed Expressions

If  $e$  has no free variables it is said to be closed

- Closed expressions are also called combinators

$\lambda$ -COMBINATOR "STARTUP INCUBATOR"

What is the shortest closed expression?

$(\lambda x \rightarrow x)$

# Rewrite Rules of Lambda Calculus

1.  $\beta$ -step (aka *function call*)
2.  $\alpha$ -step (aka *renaming formals*)

## Semantics: Redex

$n_1 \oplus n_2$

A **redex** is a term of the form

$$(\lambda x \rightarrow e_1) e_2 \xrightarrow{\beta} e_1[x := e_2]$$

A function  $(\lambda x \rightarrow e_1)$

- $x$  is the *parameter*
- $e_1$  is the *returned expression*

Applied to an argument  $e_2$

- $e_2$  is the *argument*

$(\lambda x \rightarrow x) \text{ apple}$  $=_{\beta} \text{ apple}$  $(\lambda x \rightarrow (\lambda x \rightarrow x)) \text{ apple}$  $\stackrel{1}{=} \beta \lambda \text{apple} \rightarrow \text{apple}$  $\stackrel{2}{=} \beta \lambda \text{apple} \rightarrow x$  $\stackrel{3}{=} \beta \lambda x \rightarrow \text{apple}$  $\stackrel{4}{=} \beta \boxed{\lambda x \rightarrow x}$  $\stackrel{5}{=} \beta \text{WTF } \beta \text{ going on !!!}$ 

A **redex**  $\beta$ -steps to another term ...

 $(\lambda x \rightarrow e_1) e_2 =_{\beta} e_1[x := e_2]$ 

where  $e_1[x := e_2]$  means

“  $e_1$  with all *free* occurrences of  $x$  replaced with  $e_2$  ”



Computation by *search-and-replace*:

- If you see an *abstraction* applied to an *argument*, take the *body* of the abstraction and replace all *free* occurrences of the *formal* by that *argument*
- We say that  $(\lambda x \rightarrow e_1) e_2 \beta\text{-steps to } e_1[x := e_2]$

## *Redex Examples*

```
(\x -> x) apple  
=b> apple
```

Is this right? Ask Elsa ([https://elsa.goto.ucsd.edu/index.html#?demo=permalink%2F1695925711\\_23.lc](https://elsa.goto.ucsd.edu/index.html#?demo=permalink%2F1695925711_23.lc))

## *QUIZ*

```
(\x -> (\y -> y)) apple  
=b> ???
```

- A. apple
- B. \y -> apple
- C. \x -> apple
- D. \y -> y
- E. \x -> y

## QUIZ

 $((a \ b) c) d)$ 

$(\lambda x \rightarrow y \ x \ y \ x) \ \text{apple}$   
 $= b > ???$

- A. apple apple apple apple
- B. y apple y apple
- C. y y y y
- D. apple

## QUIZ

$(\lambda x \rightarrow x (\lambda x \rightarrow x)) \ \text{apple}$   
 $= b > ???$

Rodex?  
 NO! Lam-on-left

- A. apple  $(\lambda x \rightarrow x)$  ✓
- B. apple  $(\lambda \text{apple} \rightarrow \text{apple})$
- C. apple  $(\lambda x \rightarrow \text{apple})$
- D. apple
- E.  $\lambda x \rightarrow x$

 $((e_1 \ e_2) \ e_3)$ 
 $(\lambda x \rightarrow x ((\lambda x \rightarrow x) \text{banana})) \ \text{apple}$

## *EXERCISE*

What is a  $\lambda$ -term `fill_this_in` such that

```
fill_this_in apple  
=b> banana
```

ELSA: <https://elsa.goto.ucsd.edu/index.html>

Click here to try this exercise ([https://elsa.goto.ucsd.edu/index.html#?demo=permalink%2F1585434473\\_24432.lc](https://elsa.goto.ucsd.edu/index.html#?demo=permalink%2F1585434473_24432.lc))

*A Tricky One*

$$(\lambda x \rightarrow (\lambda y \rightarrow x)) y$$

= b>  $\lambda y \rightarrow y$

Is this right?

## Something is Fishy

$$(\lambda x \rightarrow (\lambda y \rightarrow x)) y$$

= a>  $(\lambda x \rightarrow (\lambda \text{giraffe} \rightarrow x)) y$   
= b>  $(\lambda \text{giraff} \rightarrow y)$

Is this right?

Problem: The free y in the argument has been captured by \y in body!

Solution: Ensure that formals in the body are different from free-variables of argument!

# Capture-Avoiding Substitution

We have to fix our definition of  $\beta$ -reduction:

$$(\lambda x \rightarrow e_1) e_2 =_{\beta} e_1[x := e_2]$$

where  $e_1[x := e_2]$  means “ $e_1$  with all free occurrences of  $x$  replaced with  $e_2$ ”

- $e_1$  with all free occurrences of  $x$  replaced with  $e_2$
- as long as no free variables of  $e_2$  get captured

Formally:

$$\text{cat}[\text{cat} := \text{horse}] \rightarrow \text{horse}$$
$$x[x := e] = e$$

$$\text{cat}[\text{dog} := \text{horse}] \rightarrow \text{cat}$$
$$y[x := e] = y \quad \text{-- as } x \neq y$$

$$(e_1 e_2)[x := e] = (e_1[x := e]) (e_2[x := e])$$

$$(\lambda \text{cat} \rightarrow \text{cat})[\text{cat} := \text{dog}] = \lambda x \rightarrow e_1 \quad \text{-- Q: Why `e1` unchanged?}$$

$$(\lambda y \rightarrow x)[x := y] \\ (\lambda y \rightarrow e_1)[x := e] \\ \text{not } (y \text{ in } FV(e)) = \lambda y \rightarrow e_1[x := e]$$

Oops, but what to do if  $y$  is in the free-variables of  $e$ ?

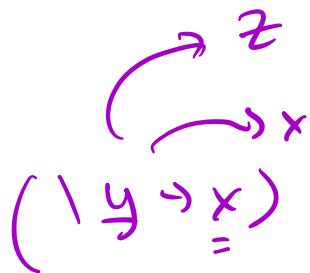
- i.e. if  $\lambda y \rightarrow \dots$  may capture those free variables?

## Rewrite Rules of Lambda Calculus

1.  $\beta$ -step (aka *function call*)
2.  $\alpha$ -step (aka *renaming formals*)

## *Semantics: $\alpha$ -Renaming*

$\lambda x \rightarrow e =\alpha> \lambda y \rightarrow e[x := y]$   
**where** not ( $y$  in  $\text{FV}(e)$ )



- We rename a formal parameter  $x$  to  $y$
- By replace all occurrences of  $x$  in the body with  $y$
- We say that  $\lambda x \rightarrow e$   $\alpha$ -steps to  $\lambda y \rightarrow e[x := y]$

Example:

$\lambda x \rightarrow x =\alpha> \lambda y \rightarrow y =\alpha> \lambda z \rightarrow z$

All these expressions are  $\alpha$ -equivalent

What's wrong with these?

-- (A)  
 $\lambda f \rightarrow f x =\alpha> \lambda x \rightarrow x x$

$$\text{-- } (B) \\ (\lambda x \rightarrow (\lambda y \rightarrow y)) y =a> (\lambda x \rightarrow (\lambda z \rightarrow z)) z$$

## Tricky Example Revisited

```
(\x -> (\y -> x)) y
                  ^
                  -- rename 'y' to 'z' to avoid capture
=a> (\x -> (\z -> x)) y
                  ^
                  -- now do b-step without capture!
=b> \z -> y
      _____
```

To avoid getting confused,

- you can **always rename** formals,
- so different **variables** have different **names!**

## Normal Forms

Recall **redex** is a  $\lambda$ -term of the form

$(\lambda x \rightarrow e_1) e_2$

A  $\lambda$ -term is in **normal form** if it contains no redexes.

## QUIZ

Which of the following term are **not** in *normal form* ?

A. x ✓

B. x y ✓

\* C.  $(\lambda x \rightarrow x) y$  → y

D. x ( $\lambda y \rightarrow y$ ) ✓

E. C and D

## Semantics: Evaluation

A  $\lambda$ -term  $e$  evaluates to  $e'$  if

1. There is a sequence of steps

$$e =?> e_1 =?> \dots =?> e_N =?> e'$$

where each  $=?>$  is either  $=a>$  or  $=b>$  and  $N \geq 0$

2.  $e'$  is in *normal form*

## Examples of Evaluation

$$(\lambda x \rightarrow x) \text{ apple}$$
$$=b> \text{apple}$$

*(\lambda f \rightarrow [f (\lambda x \rightarrow x)]) (\lambda x \rightarrow x)*

*formal body*      *args*

$=?> ???$

$\Rightarrow (\lambda x \rightarrow x) (\lambda x \rightarrow x)$

$\Rightarrow (\lambda x \rightarrow x)$

$$(\lambda x \rightarrow x \ x) (\lambda x \rightarrow x)$$
$$=?> ???$$

## *Elsa shortcuts*

Named  $\lambda$ -terms:

```
let ID = \x -> x -- abbreviation for \x -> x
```

To substitute name with its definition, use a  $=d>$  step:

```
ID apple
=d> (\x -> x) apple -- expand definition
=b> apple           -- beta-reduce
```

Evaluation:

- $e_1 =*> e_2$ :  $e_1$  reduces to  $e_2$  in 0 or more steps
  - where each step is  $=a>$ ,  $=b>$ , or  $=d>$
- $e_1 =\sim> e_2$ :  $e_1$  evaluates to  $e_2$  and  $e_2$  is in normal form

## *EXERCISE*

Fill in the definitions of FIRST, SECOND and THIRD such that you get the following behavior in elsa

```

let FIRST = fill_this_in
let SECOND = fill_this_in
let THIRD = fill_this_in
eval ex1 :
((FIRST apple) banana) orange
=> apple
eval ex2 :
((SECOND apple) banana) orange
=> banana
eval ex3 :
((THIRD apple) banana) orange
=> orange

```

$(\lambda_1 \rightarrow (\lambda_2 \rightarrow (\lambda_3 \rightarrow \underline{x_1})))$   
 $(\lambda_1 \rightarrow (\lambda_2 \rightarrow (\lambda_3 \rightarrow x_2)))$   
 $(\lambda_1 \rightarrow (\lambda_2 \rightarrow (\lambda_3 \rightarrow x_3)))$

ELSA: <https://goto.ucsd.edu/elsa/index.html>

Click here to try this exercise ([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434130\\_24421.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434130_24421.lc))

## Non-Terminating Evaluation

```

(\x -> x x) (\x -> x x)
=> (\x -> x x) (\x -> x x)

```

Some programs loop back to themselves...

... and *never* reduce to a normal form!

This combinator is called  $\Omega$

What if we pass  $\Omega$  as an argument to another function?

```

let OMEGA = (\x -> x x) (\x -> x x)

(\x -> (\y -> y)) OMEGA

```

Does this reduce to a normal form? Try it at home!

# Programming in $\lambda$ -calculus

Real languages have lots of features

- Booleans
- Records (structs, tuples), lists, trees, ...
- Numbers
- Functions [we got those]
- Recursion

Lets see how to encode all of these features with the  $\lambda$ -calculus.

$\text{ITE} = \lambda b \times y \rightarrow (b \ x \ y)$

2 values      logical operators  
"true"      "false"      - or, and, not, ...  
✓  $\text{ite } b = e_1 \quad e_2 =$

$\lambda\text{-calculus: Booleans}$

"if b is true"  $\rightarrow x$   
"else"  $\rightarrow y$

$\text{TRUE} \equiv (\lambda x \rightarrow (y \rightarrow x))$   
 $\text{FALSE} \equiv (\lambda x \rightarrow (y \rightarrow y))$

$\text{TRUE } x \ y \doteq x$   
 $\text{TRUE } x \doteq \lambda y \rightarrow x$   
 $\text{TRUE} \doteq \lambda x \lambda y \rightarrow x$

$\text{TRUE } x \ y = x$   
 $\text{FALSE } x \ y = y$

$\text{FOO } x = e$   
 $\text{FOO} = \lambda x \rightarrow e$

How can we encode Boolean values ( TRUE and FALSE ) as functions?

Well, what do we do with a Boolean b ?

make a choice

Make a *binary choice*

- **if b then e1 else e2**

## *Booleans: API*

We need to define three functions

```
let TRUE  = ???  
let FALSE = ???  
let ITE   = \b x y -> ??? -- if b then x else y
```

such that

```
ITE TRUE apple banana =~> apple  
ITE FALSE apple banana =~> banana
```

(Here, **let NAME = e** means NAME is an *abbreviation* for e )

## *Booleans: Implementation*

```
let TRUE  = \x y -> x          -- Returns its first argument
let FALSE = \x y -> y          -- Returns its second argument
let ITE   = \b x y -> b x y    -- Applies condition to branches
                                -- (redundant, but improves readability)
```

## *Example: Branches step-by-step*

```
eval ite_true:
  ITE TRUE e1 e2
  =d> (\b x y -> b x y) TRUE e1 e2      -- expand def ITE
  =b>  (\x y -> TRUE x y)     e1 e2      -- beta-step
  =b>    (\y -> TRUE e1 y)     e2        -- beta-step
  =b>      TRUE e1 e2           -- expand def TRUE
  =d>    (\x y -> x) e1 e2      -- beta-step
  =b>      (\y -> e1)   e2        -- beta-step
  =b>  e1
```

## *Example: Branches step-by-step*

Now you try it!

Can you fill in the blanks to make it happen? (<https://elsa.goto.ucsd.edu/index.html#?demo=ite.lc>)

```
eval ite_false:  
  ITE FALSE e1 e2  
  
  -- fill the steps in!  
  
=b> e2
```

## *EXERCISE: Boolean Operators*

ELSA: <https://goto.ucsd.edu/elsa/index.html> Click here to try this exercise  
([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585435168\\_24442.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585435168_24442.lc))

Now that we have `ITE` it's easy to define other Boolean operators:

```
let NOT = \b      -> ???  
let OR  = \b1 b2 -> ???  
let AND = \b1 b2 -> ???
```

When you are done, you should get the following behavior:

```
eval ex_not_t:  
NOT TRUE => FALSE  
  
eval ex_not_f:  
NOT FALSE => TRUE  
  
eval ex_or_ff:  
OR FALSE FALSE => FALSE  
  
eval ex_or_ft:  
OR FALSE TRUE => TRUE  
  
eval ex_or_ft:  
OR TRUE FALSE => TRUE  
  
eval ex_or_tt:  
OR TRUE TRUE => TRUE  
  
eval ex_and_ff:  
AND FALSE FALSE => FALSE  
  
eval ex_and_ft:  
AND FALSE TRUE => FALSE  
  
eval ex_and_ft:  
AND TRUE FALSE => FALSE  
  
eval ex_and_tt:  
AND TRUE TRUE => TRUE
```

- Booleans [done] ✓
- Records (structs, tuples)
- Numbers
- Functions [we got those]
- Recursion

collection of things

↳ "get" one thing from collection

↳ "set" / "create" collection

$FST(MkPair\ t_1\ t_2)$

$$= t_1$$

$SND(MkPair\ t_1\ t_2)$

$$= t_2$$

$MkPair = \lambda t_1\ t_2 \rightarrow b \rightarrow \text{ITE } b \underset{=} {t_1\ t_2}$

$FST = \lambda p \rightarrow p \text{ TRUE}$

$SND = \lambda p \rightarrow p \text{ FALSE}$

## $\lambda$ -calculus: Records

Let's start with records with *two* fields (aka pairs)

What do we *do* with a pair?

1. Pack two items into a pair, then
2. Get first item, or
3. Get second item.

## *Pairs : API*

We need to define three functions

```
let PAIR = \x y -> ???      -- Make a pair with elements x and y
                                         -- { fst : x, snd : y }
let FST  = \p -> ???      -- Return first element
                                         -- p.fst
let SND  = \p -> ???      -- Return second element
                                         -- p.snd
```

such that

```
eval ex_fst:
  FST (PAIR apple banana) => apple
```

```
eval ex_snd:
  SND (PAIR apple banana) => banana
```

## *Pairs: Implementation*

A pair of  $x$  and  $y$  is just something that lets you pick between  $x$  and  $y$ ! (i.e. a function that takes a boolean and returns either  $x$  or  $y$ )

```
let PAIR = \x y -> (\b -> ITE b x y)
let FST  = \p -> p TRUE    -- call w/ TRUE, get first value
let SND  = \p -> p FALSE   -- call w/ FALSE, get second value
```

## *EXERCISE: Triples*

How can we implement a record that contains three values?

ELSA: <https://goto.ucsd.edu/elsa/index.html>

Click here to try this exercise ([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434814\\_24436.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585434814_24436.lc))

```
let TRIPLE = \x y z -> ???  
let FST3   = \t -> ???  
let SND3   = \t -> ???  
let THD3   = \t -> ???  
  
eval ex1:  
  FST3 (TRIPLE apple banana orange)  
  => apple  
  
eval ex2:  
  SND3 (TRIPLE apple banana orange)  
  => banana  
  
eval ex3:  
  THD3 (TRIPLE apple banana orange)  
  => orange
```

# Programming in $\lambda$ -calculus

- **Booleans** [done]
- **Records** (structs, tuples) [done]
- **Numbers**
- **Functions** [we got those]
- **Recursion**

$3 \quad S \quad 7$   
 $\text{|||} \quad \text{||||} \quad \text{|||||}$

create new bools  
from old (e.g. NOT, AND)  
/ "construct" / "build"

$\underline{\text{do}}$  "choice" (ITE)  
"destruct" / "use"

zero + "succ"/"next"

"S"	= do an op 5 times	$\lambda f x \rightarrow f(f(f(f(f x))))$
"7"	= do an op 7 times	$\lambda f x \rightarrow f(f(f(f(f(f(f(f x)))))))$
"0"	= do an op 0 times	$\lambda f x \rightarrow x$
"1"	= do an op 1 times	$\lambda f x \rightarrow f x$

## $\lambda$ -calculus: Numbers

Let's start with **natural numbers** ( $0, 1, 2, \dots$ )

What do we *do* with natural numbers?

- Count:  $0, \text{inc}$
- Arithmetic:  $\text{dec}, +, -, *$
- Comparisons:  $==, \leq, \text{etc}$

$$"(n" = \lambda f x \rightarrow \underbrace{f \dots f}_{n\text{-times}}(f x))$$

$$(n f x) \equiv f^n(x)$$

## Natural Numbers: API

We need to define:

- A family of **numerals**: ZERO , ONE , TWO , THREE , ...
- Arithmetic functions: INC , DEC , ADD , SUB , MULT
- Comparisons: IS\_ZERO , EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO      =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE           =~> TWO
...
...
```

## Natural Numbers: Implementation

**Church numerals:** a number  $N$  is encoded as a combinator that calls a function on an argument  $N$  times

```
let ONE   = \f x -> f x
let TWO   = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR  = \f x -> f (f (f (f x)))
let FIVE  = \f x -> f (f (f (f (f x))))
let SIX   = \f x -> f (f (f (f (f (f x)))))
...
...
```

## *QUIZ: Church Numerals*

Which of these is a valid encoding of ZERO ?

- A: `let ZERO = \f x -> x`
- B: `let ZERO = \f x -> f`
- C: `let ZERO = \f x -> f x`      *ONE!*
- D: `let ZERO = \x -> x`      *X*
- E: None of the above

Does this function look familiar?

$\lambda$ -calculus: Increment

-- Call `f` on `x` one more time than `n` does

```
let INC = \n -> (\f x -> ???)
```

$$\begin{aligned} INC_n &= \lambda f x \rightarrow f(\underbrace{\dots f(f(f(x)))}_{(n f x) \text{ times}}) \\ &= \lambda f x \rightarrow f(n f x)_{n+1 \text{ times}} \\ &= \lambda f x \rightarrow n f (f x) \\ n f x &= f^n(x) \end{aligned}$$

Example:

```
eval inc_zero :  
INC ZERO  
=> (\n f x -> f (n f x)) ZERO  
=> \f x -> f (ZERO f x)  
=> \f x -> f x  
=> ONE
```

## EXERCISE

Fill in the implementation of ADD so that you get the following behavior

Click here to try this exercise ([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585436042\\_24449.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1585436042_24449.lc))

```

let ZERO = \f x -> x
let ONE = \f x -> f x
let TWO = \f x -> f (f x)
let INC = \n f x -> f (n f x)

```

```
let ADD = fill_this_in
```

```
eval add_zero_zero:  
ADD ZERO ZERO => ZERO
```

```
eval add_zero_one:  
ADD ZERO ONE => ONE
```

```
eval add_zero_two:  
ADD ZERO TWO => TWO
```

```
eval add_one_zero:  
ADD ONE ZERO => ONE
```

```
eval add_one_zero:  
ADD ONE ONE => TWO
```

```
eval add_two_zero:  
ADD TWO ZERO => TWO
```

$n_1 + n_2$

$(n_2 + 1) + 1 + 1 + \dots$

$\underbrace{\text{INC} \dots (\text{INC} (\text{INC} (\text{INC } n_2)))}_{n_1}$        $n_1 \text{ INC } n_2$

$(n_2 + \dots + (n_2 + (n_2 + \text{ZERO})))$   
 $\underbrace{\dots}_{n_1 \text{ times}}$

## QUIZ

How shall we implement ADD ?

- A. **let** ADD = \n m -> n INC m
- B. **let** ADD = \n m -> INC n m
- C. **let** ADD = \n m -> n m INC
- D. **let** ADD = \n m -> n (m INC)
- E. **let** ADD = \n m -> n (INC m)

$\lambda$ -calculus: Addition

```
-- Call `f` on `x` exactly `n + m` times
let ADD = \n m -> n INC m
```

**Example:**

```
eval add_one_zero :
  ADD ONE ZERO
=~/> ONE
```

## QUIZ

How shall we implement MULT?

- A. **let** MULT = \n m -> n ADD m
- B. **let** MULT = \n m -> n (ADD m) ZERO
- C. **let** MULT = \n m -> m (ADD n) ZERO
- D. **let** MULT = \n m -> n (ADD m ZERO)
- E. **let** MULT = \n m -> (n ADD m) ZERO

## $\lambda$ -calculus: Multiplication

-- Call `f` on `x` exactly `n \* m` times  
**let** MULT =  $\lambda n\ m\ \rightarrow\ n\ (\text{ADD } m)\ \text{ZERO}$

Example:

```
eval two_times_three :  
  MULT TWO ONE  
=~> TWO
```

IS\_ZERO ZERO → TRUE  
IS\_ZERO ONE → FALSE  
IS\_ZERO TWO → FALSE

## Programming in $\lambda$ -calculus

- ✓ Booleans [done]

Haskeell

- ✓ • Records (structs, tuples) [done]
- ✓ • Numbers [done]
- Lists
- Functions [we got those]
- Recursion

## $\lambda$ -calculus: Lists

Lets define an API to build lists in the  $\lambda$ -calculus.

### An Empty List

NIL

### Constructing a list

A list with 4 elements

HEAD

CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL)))

intuitively CONS h t creates a new list with

- head h
- tail t

### Destructing a list

- HEAD l returns the *first* element of the list
- TAIL l returns the *rest* of the list

HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))  
=~> apple

TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))  
=~> CONS banana (CONS cantaloupe (CONS dragon NIL)))

## $\lambda$ -calculus: Lists

**let** NIL = ???  
**let** CONS = ???  
**let** HEAD = ???  
**let** TAIL = ???

eval exHd:

HEAD (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))  
=~> apple

eval exTl

TAIL (CONS apple (CONS banana (CONS cantaloupe (CONS dragon NIL))))  
=~> CONS banana (CONS cantaloupe (CONS dragon NIL)))

"fst"      "mkPair"  
HEAD (cons h t)  
=\*> h

"snd"  
TAIL (cons h t)  
=\*> t

## EXERCISE: Nth

Write an implementation of GetNth such that

- GetNth n l returns the n-th element of the list l

Assume that l has n or more elements

**let** GetNth = ???  
=  $\lambda n \lambda l \rightarrow$  "call tail n times, then ret head"  
**eval** nth1 :  
GetNth ZERO (CONS apple (CONS banana (CONS cantaloupe NIL)))  
=~> apple  $n$

**eval** nth1 :  
GetNth ONE (CONS apple (CONS banana (CONS cantaloupe NIL)))  
=~> banana

**eval** nth2 :  
GetNth TWO (CONS apple (CONS banana (CONS cantaloupe NIL)))  
=~> cantaloupe

Click here to try this in elsa ([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1586466816\\_52273.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1586466816_52273.lc))

## $\lambda$ -calculus: Recursion

I want to write a function that sums up natural numbers up to n :

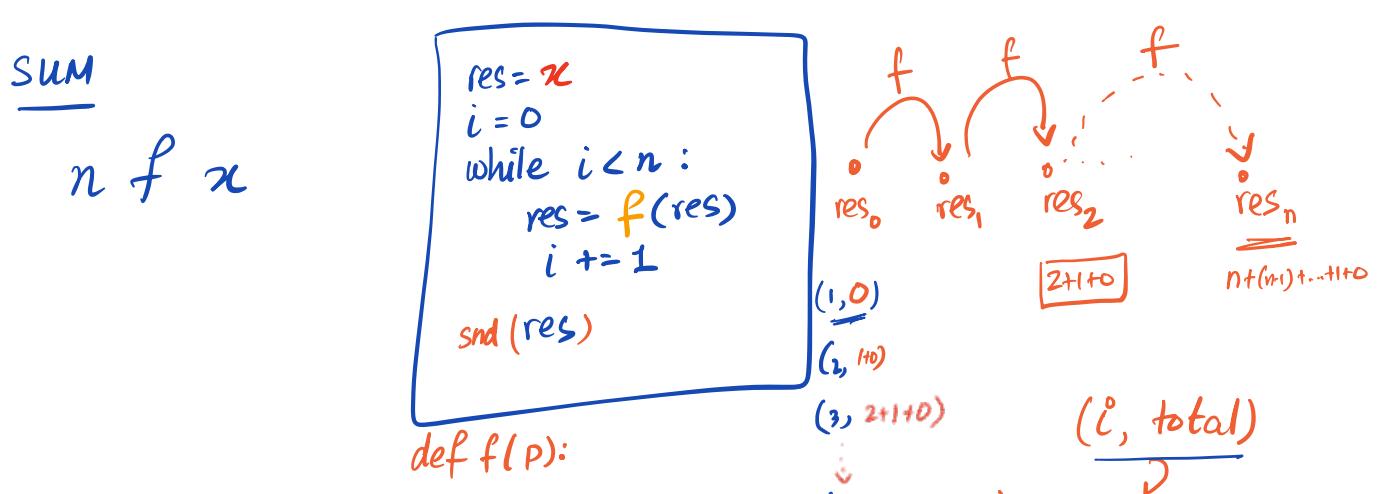
**let** SUM =  $\lambda n \rightarrow \dots$  -- 0 + 1 + 2 + ... + n

such that we get the following behavior

eval exSum0: SUM ZERO	=~> ZERO	0
eval exSum1: SUM ONE	=~> ONE	0+1
eval exSum2: SUM TWO	=~> THREE	0+1+2
eval exSum3: SUM THREE	=~> SIX	0+1+2+3

Can we write sum using Church Numerals?

Click here to try this in Elsa ([https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1586465192\\_52175.lc](https://goto.ucsd.edu/elsa/index.html#?demo=permalink%2F1586465192_52175.lc))



## QUIZ

You can write  $\text{SUM}$  using numerals but its tedious.

Is this a correct implementation of  $\text{SUM}$ ?

```

let SUM = \n -> ITE (ISZ n)
      ZERO
      (ADD n (SUM (DEC n)))
  
```

A. Yes

B. No

$f_0 : \lambda p \rightarrow \text{Pair } ("i+1") ("i+\text{total}")$

$f_0 : \lambda p \rightarrow \text{let } i = \text{fst } p$   
 $\quad \text{let } \text{total} = \text{snd } p$   
 $\quad \text{PAIR } (\text{ADD ONE } i) (\text{ADD } i \text{ tot})$

$x_0 = \text{PAIR ONE ZERO}$

$\text{SUM} = \lambda n \rightarrow \text{SND} (\lambda f_0 \ x_0)$

No!

- Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to  $\lambda$ -calculus: replace each name with its definition

```
\n -> ITE (ISZ n)
      ZERO
      (ADD n (SUM (DEC n))) -- But SUM is not yet defined!
```

### Recursion:

- Inside *this* function
- Want to call the *same* function on DEC n

Looks like we can't do recursion!

- Requires being able to refer to functions *by name*,
- But  $\lambda$ -calculus functions are *anonymous*.

Right?

## $\lambda$ -calculus: Recursion

Think again!

Recursion:

Instead of

$$\text{SUM} = \lambda n \rightarrow (\text{ISZ } n) \text{ ZERO} (\text{ADD } n (\underline{\text{SUM}} (\text{DEC } n)))$$

- Inside this function I want to call the same function on DEC n

Lets try

- Inside this function I want to call some function rec on DEC n
- And BTW, I want rec to be the same function

"bob"

"bob"

Step 1: Pass in the function to call "recursively"

```
let STEP =  
  \rec -> \n -> ITE (ISZ n)  
    ZERO  
    (ADD n (rec (DEC n))) -- Call some rec
```

Step 2: Do some magic to STEP, so rec is itself

\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))

That is, obtain a term MAGIC such that

MAGIC => STEP MAGIC

# $\lambda$ -calculus: Fixpoint Combinator

Wanted: a  $\lambda$ -term FIX such that

- FIX STEP calls STEP with FIX STEP as the first argument:

$$(\text{FIX } \text{STEP}) =^*> \text{STEP } (\text{FIX } \text{STEP})$$

$$\text{FIX } \text{STEP} \equiv \text{STEP } (\text{FIX } \text{STEP})$$

(In math: a fixpoint of a function  $f(x)$  is a point  $x$ , such that  $f(x) = x$ )

$$x \rightarrow f(x) \rightarrow f(f(x)) \dots f^n(x) \stackrel{\curvearrowright}{=} f^{n+1}(x)$$

Diagram illustrating the recursive nature of fixpoints: A pink wavy line starts at a point labeled 'x' and continues through several loops, with an arrow pointing from the end of one loop to the start of the next, symbolizing the iterative application of a function.

Once we have it, we can define:

$$\text{SUM} \longrightarrow \text{STEP } \text{SUM}$$

**let** SUM = FIX STEP

Then by property of FIX we have:

$$\text{SUM} =^*> \text{FIX } \text{STEP} =^*> \text{STEP } (\text{FIX } \text{STEP}) =^*> \text{STEP } \text{SUM}$$

and so now we compute:

```

eval sum_two:
  SUM TWO
  => STEP SUM TWO
  => ITE (ISZ TWO) ZERO (ADD TWO (SUM (DEC TWO)))
  => ADD TWO (SUM (DEC TWO))
  => ADD TWO (SUM ONE)
  => ADD TWO (STEP SUM ONE)
  => ADD TWO (ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE))))
  => ADD TWO (ADD ONE (SUM (DEC ONE)))
  => ADD TWO (ADD ONE (SUM ZERO))
  => ADD TWO (ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM DEC ZERO))))
  => ADD TWO (ADD ONE (ZERO))
  => THREE

```

How should we define FIX ???



## The Y combinator

Remember  $\Omega$ ?

```
(\x -> x x) (\x -> x x)  
=> (\x -> x x) (\x -> x x)
```

This is *self-replicating code!* We need something like this but a bit more involved...

The Y combinator discovered by Haskell Curry:

```
let FIX = \stp -> (\x -> stp (x x)) (\x -> stp (x x))
```

Fix STEP  $\longrightarrow^*$  STEP (Fix STEP)

How does it work?

```
eval fix_step:  
  FIX STEP  
=> (\stp -> (\x -> stp (x x)) (\x -> stp (x x))) STEP  
=> (\x -> STEP (x x)) (\x -> STEP (x x))  
=> STEP ((\x -> STEP (x x)) (\x -> STEP (x x)))  
--           ^^^^^^^^^^ this is FIX STEP ^^^^^^^^^^
```

That's all folks, Haskell Curry was very clever.

Next week: We'll look at the language named after him ( Haskell )

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