

## Lecture 1: Gale-Shapley Algorithm for Stable Matching

Stable Matching Problem: There are two groups of people:  $A = \{a_1 \dots a_n\}$  and  $B = \{b_1 \dots b_n\}$ , and we want to find a stable matching between  $A$  and  $B$  such that there is no pair of people  $a_i, b_j$  who would rather be with each other than their current partners. i.e We want to find a permutation  $\pi$  such that there are no  $i, j \in [n]$  for whom

1.  $\pi(i) \neq j$
2.  $a_i$  prefers  $b_j$  to  $b_{\pi(i)}$
3.  $b_j$  prefers  $a_i$  to  $b_{\pi^{-1}(j)}$

Gale-Shapley algorithm: The people in group  $A$  make offers in the order of their preference lists. When  $b_j$  receives an offer from  $a_i$ , if  $b_j$  is unmatched or prefers  $a_i$  to their current partner, they accept and become partners with  $a_i$ . Otherwise,  $b_j$  rejects and  $a_i$  moves onto the next person.

Properties:

- The Gale-Shapley algorithm terminates in  $O(n^2)$  steps and results in a stable matching.

Proof: There are  $n^2$  possible offers and each offer is made at most once.

*Terminates:* Observe that if some  $a_i$  makes an offer to their last choice  $b_j$ , then all people except  $b_j$  must be unavailable for  $a_i$  and thus already matched. There are only  $n - 1$  other people in  $A$ , so  $b_j$  must be unmatched, and  $b_j$  accepts  $a_i$ 's offer, and the algorithm terminates.

*Stable:* Assume there are  $i, j \in [n]$  such that  $a_i$  and  $b_j$  prefer each other to their current partners. Let  $b_j$  be  $a_i$ 's current partner and  $b_j'$  be matched with  $a_i$ . Then  $b_j$  is available to  $a_i$ . However, since  $a_i$  is matched with  $b_j'$ ,  $b_j$  must have been unavailable to  $a_i$  at some point, a contradiction.

- As Gale-Shapley progresses, (1) people in  $B$  only become happier, and (2) if  $b_j$  becomes unavailable to  $a_i$  they never become available again.

Proof: (1)  $b_j$  only breaks off from its match if it prefers the new  $a_i$ . (2) Assume  $b_j$  becomes unavailable to  $a_i$  and then becomes available again. Let  $a_i'$  be  $b_j$ 's partner when  $b_j$  became unavailable to  $a_i$ . Let  $a_i''$  be  $b_j$ 's partner when  $b_j$  became available to  $a_i$  again. Then,  $b_j$  prefers  $a_i'$  to  $a_i$  and  $a_i$  to  $a_i''$ . However,  $b_j$  must prefer  $a_i''$  to  $a_i'$ , a contradiction.

## Lecture 2: Big O Notation

Big O Notation: Given functions  $f, g : \mathbb{N} \mapsto \mathbb{R}^+$

1. We say that  $f(n)$  is  $O(g(n))$  if there exist  $n_0, C > 0$  such that for all  $n \geq n_0$ ,  $f(n) \leq Cg(n)$
2. We say that  $f(n)$  is  $\Omega(g(n))$  if there exist  $n_0, c > 0$  such that for all  $n \geq n_0$ ,  $f(n) \geq cg(n)$
3. We say that  $f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is  $O(g(n))$  and  $\Omega(g(n))$  such that there exist  $n_0, c, C'$  such that for all  $n \geq n_0$ ,  $cg(n) \leq f(n) \leq C'g(n)$

Examples:

- If  $f(n) \leq 8n \log_2(n) + 20n + 100$  then  $f(n)$  is  $O(n \log n)$
- If  $f(n) \geq n^2 - 3n - 2$  then  $f(n)$  is  $\Omega(n^2)$
- If  $\frac{1}{2} \log_2 n - 2 \leq f(n) \leq 4 \log_2 n + 1$  then  $f(n)$  is  $\Theta(\log n)$

Properties:

- Given  $f, g, h : \mathbb{N} \mapsto \mathbb{R}^+$ , if  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$ , then  $f(n)$  is  $O(h(n))$
- Given  $f_1, f_2, g_1, g_2 : \mathbb{N} \mapsto \mathbb{R}^+$ , if  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$  then:

- $f_1(n) + f_2(n)$  is  $O(g_1(n) + g_2(n))$  and  $O(\max\{g_1(n), g_2(n)\})$
- $f_1(n)f_2(n)$  is  $O(g_1(n)g_2(n))$

Proof: There exist  $n_1, C_1$  such that  $\forall n \geq n_1 (f_1(n) \leq C_1 g_1(n))$  and there exist  $n_2, C_2$  such that  $\forall n \geq n_2 (f_2(n) \leq C_2 g_2(n))$ . Let  $n' = \max\{n_1, n_2\}$  and  $C' = C_1 C_2$ , So for all  $n \geq n'$ ,  $f_1(n)f_2(n) \leq C' g_1(n)g_2(n)$

Comparing logarithms, polynomials, and exponential functions:

1.  $\log n^{C'}$  is  $O(n^C)$
2.  $n^{O(1)}$  means at most  $n^C$  for some  $C > 0$ . This is polynomial time
3.  $2^{O(n)}$  means at most  $2^{Cn}$  for some  $C > 0$ . This is exponential time.

### Lecture 3: Greedy Algorithms

Interval Scheduling Problem 1 (Maximizing number of jobs): Given  $n$  jobs with a set time interval  $[a_i, b_i]$  and one processor, find a schedule  $S$  which accepts the maximum number of jobs without having two jobs running at the same time. Formally, we say that a sequence  $S = (i_1, \dots, i_m)$  is a valid schedule if for all  $j \in [m-1]$  ( $b_{i_j} \leq a_{i_{j+1}}$ )

Greedy Algorithm for Interval Scheduling 1: When choosing the next job, always choose the job which can be finished first.

- *Stored Data*:  $S_k = (i_1, \dots, i_k)$  of the jobs which have been accepted thus far, and the time  $t_k = b_{i_k}$
- *Initialization*:  $S_0 = \emptyset$  and  $t_0 = 0$
- *Iterative Step*: Choose the next job  $i_{k+1}$  from the set  $\{i \in [n] \mid a_i \geq t_k = b_{i_k}\}$  such that  $b_{i_k}$  is minimized. Update  $S_{k+1}$  and  $t_{k+1} = b_{i_{k+1}}$ , if there are no available jobs left then the algorithm terminates and we take  $S = S_k$

Properties:

- Given a valid schedule  $S = (i_1, \dots, i_m)$ , for all  $j \in [m]$ , we denote the time at which the  $j$ th job in  $S$  finishes as  $t_j(S) = b_{i_j}$ . For all  $j > m$ , we say  $t_j(S) = \infty$
- If the greedy algorithm gives a valid schedule  $S = (i_1, \dots, i_m)$ , then for any other valid schedule  $S' = (i_1, \dots, i'_m)$ ,  $m' \leq m$   
Proof (Using the lemma proved below): Consider  $j = m+1$ ,  $t_{m+1}(S) = \infty$  so we must have that  $t_{m+1}(S') = \infty$ . Thus,  $m' \leq m$
- If  $S = (i_1, \dots, i_m)$  is the schedule given by the greedy algorithm then for any other valid schedule  $S' = (i_1, \dots, i'_m)$ , for all  $j \in \mathbb{N}$ , either  $t_j(S) \leq t_j(S')$  or  $t_j(S') = \infty$   
Proof: By induction on  $j$ . For the inductive step, assume that  $t_k(S) \leq t_k(S')$ . Note that  $a_{i'_{k+1}} \geq t_k(S') \geq t_k(S)$  so job  $i'_{k+1}$  is available to  $S$ . Since  $S$  always chooses the job with the earliest completion time out of the available jobs,  $t_{k+1}(S) = b_{i_{k+1}} \leq b_{i'_{k+1}} = t_{k+1}(S')$ .
- This algorithm can be implemented in  $O(n \log n)$  time by first sorting the jobs in increasing order of  $b_i$

Interval Scheduling Problem 2 (Minimizing maximum lateness): Given  $n$  jobs, each of which has length  $l_i > 0$  and a deadline  $d_i$ , complete the jobs one by one such that the maximum lateness of any single job is minimized. Formally, define  $t_S(i_k) = \sum_{j=1}^k l_{i_j}$  to be the time at which job  $i_k$  is finished, and find a schedule  $S = (i_1, \dots, i_n)$  such that  $\max_{j \in [n]} \{t_S(i_j) - d_{i_j}\}$  is minimized.

Greedy Algorithm for Interval Scheduling 2 (Earliest Deadline First): Take the schedule  $S_{greedy}$  such that  $d_{i_1}, \dots, d_{i_n}$  are in increasing order.

Properties:

- The schedule  $S$  such that  $d_{i_1}, \dots, d_{i_n}$  are in increasing order minimizes  $\max_{j \in [n]} \{t_S(i_j) - d_{i_j}\}$   
Proof: Given an optimal schedule  $S$  that is not  $S_{greedy}$ , we can obtain  $S_{greedy}$  by exchanging pairs of jobs without increasing maximum lateness. Therefore,  $S_{greedy}$  is optimal.
- If  $S$  is an optimal schedule, and  $d_{i_j} > d_{i_{j+1}}$  then the schedule  $S'$  obtained by swapping  $i_j$  and  $i_{j+1}$  is also optimal.  
Proof:
  1. Swapping  $i_j$  and  $i_{j+1}$  does not affect the lateness of any other job as for all  $j' \in [n] \setminus \{j, j+1\}$ ,  $t_{S'}(i_{j'}) = t_S(i_{j'})$
  2.  $t_S(i_{j+1}) = t_{S'}(i_j) = t_{S'}(i_{j+1}) + l_{i_j}$  as schedule  $S'$  puts job  $i_{j+1}$  before job  $i_j$
This implies that  $t_S(i_{j+1}) - d_{i_{j+1}} > t_{S'}(i_{j+1}) - d_{i_{j+1}}$  and  $t_S(i_{j+1}) - d_{i_{j+1}} > t_{S'}(i_j) - d_{i_j}$  as  $d_{i_j} > d_{i_{j+1}}$ . Thus, the lateness of job  $i_{j+1}$  for schedule  $S$  is greater than the lateness of both job  $i_j$  and  $i_{j+1}$  for schedule  $S'$ . So the maximum lateness for  $S'$  is less than or equal to the maximum lateness of  $S$ .

### Lecture 4: Dijkstra's Algorithm

Shortest Path Problem: Given a directed graph  $G = (V, E)$  with edge lengths  $\{\ell_{uv} : (u, v) \in E\}$ , a starting vertex  $s$ , and a destination vertex  $t$ , find the shortest path from  $s$ , to  $t$ .

Properties:

- If the edge lengths are non-negative, at each step, Dijkstra's algorithm correctly computes the distance from  $s$  to each vertex  $u \in S$   
 Proof: By induction on  $..$  Inductive step: assume the distances  $\{d_u : u \in S\}$  are correct. Let  $(u, v)$  be the next edge that Dijkstra's algorithm considers where  $v \notin S$ . This gives a path  $P$  of length  $d_u + \ell_{uv}$ . If  $P'$  is another path from  $s$  to  $v$ , let  $(u', v')$  be the first edge on  $P'$  leaving  $s$ .  $d_{u'} + \ell_{u'v'} \geq d_u + \ell_{uv}$ , so the length of  $P'$  is greater than or equal to  $P$ .

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**Algorithm 1** Dijkstra's Algorithm
 

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Let  $S \subseteq V$  be the set of explored nodes, and  $E_{unexplored}$  be the set of unexplored edges.

**while**  $S \neq V$  **do**

    Choose the edge  $e = (u, v) \in E_{unexplored}$  which minimizes  $d_u + \ell_{uv}$

    If  $v = t$ , we've found the shortest path.

    If  $v \notin S$ , we add  $v$  to  $S$  and set  $d_v = d_u + \ell_{uv}$ , and add  $v$ 's edges to  $E_{unexplored}$

**end while**

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**Lecture 6: Minimum Spanning Trees**

Minimum Spanning Tree: Given a graph  $G = (V, E)$ , the minimum spanning tree is a set of edges  $T \subseteq E$  such that  $(V, T)$  is a tree and  $\sum_{e \in T} \ell_e$  is minimized.

Kruskal's Algorithm: Sort the edges  $E$  in order of their length. We then go through the edges one by one and take each edge which does not form a cycle.

- Stored Data: A set  $T$  of the edges taken thus far
- Iterative Step: We take the next edge  $e \in E$ . If  $T \cup \{e\}$  contains a cycle, discard  $e$ , otherwise add  $e$  to  $T$ . The algorithm terminates when  $|T| = n - 1$  or there are no edges left to consider

Determining if  $T \cup \{e\}$  creates a cycle can be done in  $O(\alpha(n))$  time via union-find where  $\alpha(n)$  is the inverse Ackermann function.

Prim's Algorithm: Iteratively accepts the shortest edge which leads to a new vertex.

- Stored Data: A set  $T$  of edges and a set  $S$  of vertices taken thus far, and a set of edges  $E_{candidate}$  with their lengths  $\ell_e$
- Iterative Step: Remove the shortest edge  $e = \{v, w\} \in E_{candidate}$ . If  $w$  is not in  $S$ , then we add  $w$  to  $S$  and  $e$  to  $T$ , and update  $E_{candidate}$  with all of  $w$ 's edges. The algorithm terminates when  $S = V$  or  $E_{candidate}$  is empty (in which case  $G$  is not connected).

A cut is a partition  $(L, R)$  of the vertices of  $G$  where  $L \neq \emptyset$  and  $R \neq \emptyset$ . We say an edge  $e = \{u, v\}$  crosses the cut  $(L, R)$  if  $u$  is in  $L$  and  $v$  is in  $R$  or vice versa.

Theorem: For each edge  $e \in E$ ,  $e$  is in the MST if and only if there exist a cut  $(L, R)$  such that  $e$  is the shortest edge crossing  $(L, R)$

Proof: If  $e$  is the shortest edge crossing  $(L, R)$ , assume  $e \notin T$ . If so, adding  $e$  creates a cycle, which contains some other edge  $e'$  crossing  $(L, R)$ . So  $T' = (T \cup \{e\}) \setminus \{e'\}$  has shorter total length than  $T$ , a contradiction.

If  $e = \{u, v\} \in T$ , take  $L$  to be the set of vertices reachable from  $u$  using the edges  $T \setminus \{e\}$ . If there were a shorter edge  $e'$  crossing  $(L, R)$ ,  $T' = (T \cup \{e'\}) \setminus \{e\}$  would have shorter total length.

Theorem: For all edges  $e \in E$ ,  $e \notin T$  if and only if there exists a cycle  $C$  such that  $e$  is the longest edge of  $C$

Correctness of Kruskal's Algorithm: If  $e$  is the shortest edge crossing a  $(L, R)$ , adding  $e$  cannot create a cycle so  $e$  will be taken. If Kruskal's algorithm takes  $e = \{u, v\}$ , let  $T$  be the set of edges which were taken so far. Take  $L$  to be the set of vertices reachable from  $u$  with the edges in  $T$ . There cannot be a shorter edge  $e'$  crossing  $(L, R)$ , as otherwise  $e'$  would've been considered before  $e$ .

Correctness of Prim's Algorithm: Observe that at each step, Prim's algorithm takes the shortest edge crossing the cut between  $S$  and the remaining vertices. So Prim's algorithm only takes edges in  $T$ . If  $G$  is connected, the output of Prim's algorithm must be connected. So all edges of  $T$  must be taken.

**Lecture 7: Divide and Conquer Algorithms**

Mergesort: A recursive sorting algorithm which takes  $O(n \log n)$  time. Given an array:

1. Mergesort elements 0 through  $\lfloor \frac{n}{2} \rfloor - 1$  of the array
2. Mergesort elements  $\lfloor \frac{n}{2} \rfloor$  through  $n - 1$  of the array
3. Merge step: If  $A$  and  $B$  are two sorted arrays of lengths  $n_1$  and  $n_2$  then we can merge these arrays into a sorted array  $C$  of length  $n_1 + n_2$  as follows:

- Start with  $i = 0$  and  $j = 0$
- If  $j \geq n_2$  or  $i < n_1$  and  $a_i < b_j$  then set  $c_{i+1} = a_i$  and increment  $i$ . If  $i \geq n_1$  or  $j \leq n_2$  and  $b_j \leq a_i$  then set  $c_{i+1} = b_j$  and increment  $j$ .

## Lecture 8: Recurrence Relations and the Master Theorem

Two methods for solving recurrence relations:

1. Expand out the recurrence relation by substituting it into itself repeatedly. Intuitive but only works for simple relations.  
Example:  $T(1) = 1$ ,  $T(n) = T(\frac{n}{2}) + 5$

$$T(n) = T(\frac{n}{2}) + 5 \quad (1)$$

$$= T(\frac{n}{4}) + 5 + 5 \quad (2)$$

$$= T(\frac{n}{2^k}) + 5k \quad (3)$$

$$T(n) = T(1) + 5 \log_2(n) \quad (4)$$

2. Make an educated guess and solve for the coefficients.

Master Theorem: If  $T(n) \leq aT(\frac{n}{b}) + O(n^c)$ , letting  $c_{crit} = \log_b(a)$ ,

1. If  $c < c_{crit}$ ,  $T(n)$  is  $O(n^{c_{crit}})$
2. If  $c > c_{crit}$ ,  $T(n)$  is  $O(n^c)$
3. If  $c = c_{crit}$ ,  $T(n)$  is  $O(n^{c_{crit}} \log(n))$

Example:  $T(n) \leq 2T(\frac{n}{2}) + O(n)$  So  $a = 2$ ,  $b = 2$ ,  $c = c_{crit} = 1$  for a runtime of  $O(n \log n)$

Example: Stoogesort:

- If  $n \leq 2$ , swap the elements if needed. Otherwise, Stoogesort the first  $2/3$  of the array, Stoogesort the second  $2/3$  of the array, Stoogesort the first  $2/3$  of the array.
- Calls itself 3 times so  $T(n) \leq 3T(\frac{n}{3}) + O(1) \rightarrow a = 3$ ,  $b = \frac{3}{2}$ ,  $c = 0$ ,  $O(n^{\log_{1.5} 3})$

## Lecture 9: More Divide and Conquer

Counting Inversions: Given an array  $A$  of  $n$  distinct numbers, how many pairs  $i < j \in [n]$  are such that  $a_i > a_j$ ?

- Base case: If  $n = 1$ , the array is already sorted and there are no inversions. Otherwise,
  1. Apply this algorithm to each half of  $A$ , and let  $count_L$  and  $count_R$  be the number of inversions in each half.
  2. If  $A$  and  $B$  are two sorted arrays of lengths  $n_1$  and  $n_2$  then we can count the inversions ( $a_i > b_j$ ) and merge these sequences into  $C$  as follows:
    - Initialization: Start with  $i = 0$ ,  $j = 0$ , and  $count_{between} = 0$
    - Iterative step:  $j \geq n_2$  or  $i < n_1$  and  $a_i < b_j$  then set  $c_{i+1} = a_i$  and increment  $i$ . If  $i \geq n_1$  or  $j \leq n_2$  and  $b_j \leq a_i$  then set  $c_{i+1} = b_j$ , increase  $count_{between}$  by  $n_1 - i$ , and increment  $j$ .

This works because for each element of  $B$  added to  $C$ ,  $b_j < a'_i$  for all remaining  $a'_i$  in  $A$ , so each of these is an inversion with  $b_j$ .

Karatsuba algorithm for multiplication: Given two  $n$ -digit numbers  $x$  and  $y$ , taking  $k = \lceil \frac{n}{2} \rceil$ , we can write  $x = 10^k x_1 + x_2$  and  $y = 10^k y_1 + y_2$  where  $x_1$  and  $y_1$  are  $(n - k)$ -digit numbers and  $x_2$  and  $y_2$  are  $k$  digit numbers. We now have that:

$$xy = 10^{2k} x_1 y_1 + 10^k x_1 y_2 + 10^k x_2 y_1 + x_2 y_2$$

So we can compute  $xy$  by taking the sum of smaller products. With some clever rearranging we can only compute three products:

$$xy = 10^{2k} x_1 y_1 + 10^k ((x_1 + x_2)(y_1 + y_2) - x_1 y_1 - x_2 y_2) + x_2 y_2$$

The recurrence relation for which is  $T(n) = 3T(\frac{n}{2}) + O(n)$  which gives that  $T(n)$  is  $O(n^{\log_2 3})$

## Lecture 10: Even More Divide and Conquer

Closest Pair of Points:

- Sort the points by their x-coordinates. Split the points into the left half  $L$  and the right half  $R$
- Find the closest pair of points in  $L$  and the closest pair of points in  $R$ . Let  $d_L$  and  $d_R$  be the distances between them. Let  $d = \min\{d_R, d_L\}$
- We still need to check the pairs of points between  $L$  and  $R$ . For this, it's sufficient to check the middle strip  $M$  of points within distance  $d$  of the dividing line  $x = x_{mid}$ .
  - Split the middle strip into  $\frac{d}{2} \times \frac{d}{2}$  boxes. Observe that if the closest pair of points is between  $L$  and  $R$ , they must be within two rows of each other. And each box has at most one point as if a box contained two points, these points would be within  $\frac{d}{2}$  of each other and thus on the same side, contradicting our choice of  $d$ .
  - So it's sufficient to compare each point in  $M$  with the next eleven points (sorted by  $y$ -coordinates)

#### Implementation:

- Preprocessing: Sort the points by both their  $x$  and  $y$ -coordinates.
- Divide: Split the points into  $L$  and  $R$  st.  $x_{mid}$  is the median of the  $x$ -coordinates
- Conquer: We find the closest pairs of points in  $L$  and  $R$ , and  $d = \min\{d_L, d_R\}$ . We extract the points of  $L$  and  $R$  in order of their  $y$ -coordinate and give this as input to the recursive algorithm.
- Let  $M$  be the set of points with distance  $d$  of the line  $x = x_{mid}$ . For each point in  $M$ , compare it to the next eleven points.

### Lecture 11: Dynamic Programming

Weighted Interval Scheduling: Given  $n$  jobs, each with a set time interval  $[a_i, b_i]$  and a weight  $w_i$ , find a schedule  $S$  which maximizes the total weight of accepted jobs. i.e. find a valid schedule  $S = (i_1 \dots i_m)$  which maximizes  $\sum_{i=1}^{|S|} w_{i_j}$

- Preprocessing: Sort the times  $\{a_i : i \in [n]\} \cup \{b_i : i \in [n]\}$  and let  $(t_1 \dots t_n)$  be the sorted times.
- Consider the following subproblem: for all  $t \in [n]$ , what is the maximum total weight that can be completed by time  $t$ ?
- Define  $S_t$  to be the set of all valid schedules completed by time  $t$ , and let  $w(t) = \max_{S \in S_t} \sum_{j=1}^{|S|} w_{i_j}$ , which gives us the following recurrence relation:
  - If  $t_{k+1} = a_i$  for some  $i \in [n]$ , then  $w(t_{k+1}) = w(t_k)$
  - If  $t_{k+1} = b_j$  for some  $j \in [n]$ , then  $w(t_{k+1}) = \max(w(t_k), w(a_j) + w_j)$

Proof: Observe that if  $t_{k+1} = a_i$  then any schedule which finishes by time  $t_{k+1}$  also finishes by time  $t_k$ , so  $w(t_{k+1}) = w(t_k)$ .

Lower Bound: Observe that there is a schedule  $S$  that finishes by time  $t_k$  with total weight  $w(t_k)$ , so  $w(t_{k+1}) \geq w(t_k)$ . There is also a schedule  $S$  that finishes by time  $a_j$  with total weight  $w(a_j)$ . Adding job  $j$  to this schedule gives a schedule  $S'$  which finishes by time  $t_{k+1} = b_j$  and has total weight  $w(a_j) + w_j$ , so  $w(t_{k+1}) \geq w(a_j) + w_j$ . So  $w(t_{k+1}) \geq \max(w(t_k), w(a_j) + w_j)$ .

Upper Bound: There is a schedule  $S'$  that finishes by time  $t_{k+1}$  with weight  $w(t_{k+1})$ . If  $S'$  does not contain job  $j$  then  $S'$  finishes by time  $t_k$  and thus has weight at most  $w(t_k)$ . If  $S'$  contains job  $j$  then  $S'$  consists of a schedule finishing at time  $a_j$  plus the job  $j$ . So  $w(t_{k+1}) \leq \max(w(t_k), w(a_j) + w_j)$

- Remark: If the assumption that there are no ties does not hold, then we can preprocess the problem by subtracting  $\varepsilon_j$  from each  $b_{i_j}$  and adding  $\varepsilon_j$  to each  $a_{i_j}$ , or employing some other tie breaking constraint.

#### Bellman-Ford Algorithm for Shortest Paths

Shortest Paths: In this variant, edge weights can be negative, but  $G$  cannot contain a negative cycle.

- We consider the following subproblems: for all  $k \in [n]$ , what is the minimum length of a walk from  $s$  to  $v$  which uses at most  $k$  edges?
- Let  $d_k(v)$  be the minimum length of a walk from  $s$  to  $v$  which uses at most  $k$  edges
- If there are no negative cycles in  $G$ , then for all  $k \in \mathbb{N}$ , and all  $v \in V$ ,  $d_k(v)$  is also equal to the minimum length of the path from  $s$  to  $v$  which uses at most  $k$  edges
- We have the following recurrence relation:

$$d_{k+1}(v) = \min\{d_k(v), \min_{u \in V: (u,v) \in E} \{d_k(u) + \ell_{uv}\}\}$$

Proof:

- Upperbound: There is a walk  $W$  of length  $d_k(v)$  from  $s$  to  $v$  that uses  $k < k + 1$  edges, so  $d_{k+1}(v) \leq d_k(v)$ . For all  $u \in V$  there is a walk of length  $d_k(u)$  from  $s$  to  $u$  which uses at most  $k$  edges. Adding the edge  $(u, v)$  to this walk we obtain a walk from  $s$  to  $v$  of length  $d_k(u) + \ell_{uv}$  which uses at most  $k + 1$  edges.
- Lowerbound: If  $W$  uses at most  $k$  edges then it has length at least  $d_k(v)$ , thus  $d_{k+1}(v) \geq d_k(v) \geq \min\{d_k(v), \min_{u \in V: (u,v) \in E} \{d_k(u) + \ell_{uv}\}\}$ . If  $W$  uses  $k + 1$  edges and the final edge is  $(u, v)$  then  $W$  has length at least  $d_k(u) + \ell_{uv}$  and thus  $d_{k+1}(v) \geq d_k(u) + \ell_{uv} \geq \min\{d_k(v), \min_{u \in V: (u,v) \in E} \{d_k(u) + \ell_{uv}\}\}$
- Runtime: let  $n = |V|$  and  $m = |E|$ , Each  $d_k(v)$  takes time  $O(\text{indegree}(v))$  to compute. So the algorithm takes  $O(mn)$  time.
- Finding Negative Cycles: We can check if there are any updates when we look at walks of length  $n$ . Since all paths in  $G$  have length  $n - 1$  if there are any updates then there is a negative cycle, and there will be updates for all  $k$ .

## Lecture 12: Knapsack and Longest Common Subsequence:

Knapsack: Given  $n$  objects with weights  $w_1 \dots w_n$  and values  $v_1 \dots v_n$ , and a weight capacity  $c$ , what is the maximum total value which can be taken without exceeding the weight capacity  $c$ ?

- Subproblems: For each  $k \in [n]$  and  $c' \in [c]$ , what is the maximum total value we can obtain from the first  $k$  objects without exceeding  $c'$ . Define  $v(k, c') = \max_{I \subseteq [k]: \sum_{i \in I} w_i \leq c'} \{\sum_{i \in I} v_i\}$
- $v(k + 1, w) = \max\{v(k, w), v(k, w - w_{k+1}) + v_{k+1}\}$

Longest Common Subsequence: Given two strings  $a_1 \dots a_i$  and  $b_1 \dots b_j$ , what is the longest common subsequence  $S(i, j)$ ?

- Subproblem: What is  $S(i, j)$  for  $i \in [n]$ ,  $j \in [m]$ ?
- Recurrence relation:

- If  $a_i = b_j$  then  $S(i, j) = S(i - 1, j - 1) + 1$
- If  $a_i \neq b_j$  then  $S(i, j) = \max\{S(i - 1, j), S(i, j - 1)\}$

where we take  $S(0, j) = S(i, 0) = 0$

## Lecture 13: Non-crossing matchings:

Non-Crossing Matching: Given an undirected graph  $G$  a non crossing matching is a set of edges  $M \subseteq E$  such that if we put the vertices  $v_1 \dots v_n$  in a circle in clockwise order and draw the edges as chords, no two edges of  $M$  cross or share an endpoint, such that for all pairs of edges  $v_i, v_j$  and  $v_k, v_l \in M$ , where  $i < j$  and  $k < l$ , we do not have that  $i < k < j < l$  or  $k < i < l < j$ . Given an undirected graph  $G$ , what is the largest non-crossing matching of  $G$ ?

- Let  $M(i, j)$  be the size of the maximum non-crossing matching on  $v_i \dots v_j$
- Base cases:
  - \* If  $j \leq i$ ,  $M(i, j) = 0$
  - \* If  $j = i + 1$ ,  $M(i, j) = \begin{cases} 1 & \text{if } \{v_i, v_j\} \in E \\ 0 & \text{if } \{v_i, v_j\} \notin E \end{cases}$
- Recurrence Relation: We can consider which edge incident to  $v_j$  to take, if any.

$$M(i, j) = \max\{M(i, j - 1), \max_{i' : i \leq i' < j \text{ and } (v_{i'}, v_j) \in E} M(i', i' + 1) + M(i' + 1, j - 1) + 1\}$$

## Ford-Fulkerson Algorithm for Max Flow

Max Flow Problem: Given a directed graph  $G$  with non-negative edge capacities  $\{c_e : e \in E\}$ , what is the maximum flow from  $s$  to  $t$ ? Flow: No capacity is exceeded, for all vertices  $v$  except  $s$  and  $t$ , the flow into  $v$  equals the flow out of  $v$ .

Max-Flow/Min-Cut Theorem: The maximum flow from  $s$  to  $t$  is equal to the minimum capacity of a cut  $C = (L, R)$  where  $s \in L$  and  $t \in R$ .

Ford-Fulkerson: Start with 0 flow, and iteratively do the following

1. Compute the residual graph for the current flow, which represents the remaining capacities of the edges
2. Find a flow path from  $s$  to  $t$  in the residual graph. If no flow path exists, stop and output the current flow
3. Route as much flow as possible along the flow path and update the current flow.

For the residual graph, we have both forward edges representing the remaining capacities, and backwards edges representing the possibility of undoing flow.

Correctness: If there is no flow path, consider the cut where  $L = v$  such that there is a flow path from  $s$  to  $v$  in the residual graph. Observe that:

1. All edges from  $L$  to  $R$  must be at full capacity as otherwise the residual graph would have a forward edge from  $L$  to  $R$  with positive capacity.
2. All edges from  $R$  to  $L$  must have 0 flow as otherwise the residual graph would have a backwards edge from  $L$  to  $R$  with positive capacity.

This implies that the flow from  $s$  to  $t$  is the same as the flow across the cut.

Runtime: If all capacities are non-negative integers and the value of the maximum flow is  $F$ , Ford-Fulkerson runs in  $O(F \cdot |E|)$  time. Flow path takes  $O(|E|)$ .

**Maximum Bipartite Matching:** Given a bipartite graph  $G$  with sets of vertices  $A$  and  $B$ , what is the largest matching of  $G$ ?

Hall's Theorem: The maximum matching has size  $|A|$  if and only if for all  $V \subseteq A$ ,  $|N(V)| \geq |V|$

König's Theorem: The maximum size of a matching of  $G$  equals the minimum size of a vertex cover of  $G$ .  $V$  is a vertex cover of  $G$  if every edge  $e \in E$  is incident to at least one vertex in  $V$ .

Reducing the problem to max flow:

1. Add a vertex  $s$  and edges from  $s$  to all vertices in  $A$
2. Make all edges between  $A$  and  $B$  go from  $A$  to  $B$
3. Add a vertex  $t$  and add edges from all vertices in  $B$  to  $t$

Given a matching  $M$  with size  $k$ , there is a corresponding flow in  $G'$  with value  $k$ . Similarly, given an integer valued flow from  $s$  to  $t$  with value  $k$ , we can obtain a matching of  $G$  with size  $k$

Algorithm for finding maximum bipartite matching:

1. Use Ford-Fulkerson to obtain an integer valued max flow  $F$  on  $G'$
2. Take  $M = \{(a_i, b_j) : (a_i, b_j) \text{ has flow 1 in } F\}$

Given an undirected graph  $G$  and a matching  $M$ , an augmenting path is a path  $v_1 \rightarrow \dots \rightarrow v_k$  such that  $k$  is even and:

1.  $v_1$  and  $v_k$  are not incident to an edge in  $M$
2. For all odd  $j \in [k]$ ,  $e = \{v_j, v_{j+1}\} \notin M$
3. For all even  $j \in [k]$ ,  $e = \{v_j, v_{j+1}\} \in M$

Theorem: If  $M$  is not a maximum matching then there exists an augmenting path. Proof sketch: If  $M$  is not a maximum matching then there exists a matching  $|M'| > |M|$ . Consider the multi-graph with vertices  $V(G)$  and edges  $M \cup M'$ . Every vertex in this multigraph has degree  $\leq 2$ .

Proof of König's:

## Lecture 19: Edge and Vertex Disjoint Paths

Edge-disjoint Paths: Reduce to max flow by making each edge have capacity 1. Start from  $s$  and take a walk to  $t$  using edges with flow 1. Then delete the edges of the walk.

Vertex-disjoint Paths:

1. Replace each vertex  $v$  with two vertices,  $v_{in}$  and  $v_{out}$  with an edge of capacity 1 from  $v_{in}$  to  $v_{out}$
2. For each edge,  $u \rightarrow v$ , add an edge with capacity 1 from  $u_{out}$  to  $v_{in}$  ( $s = s_{out}$ ,  $t = t_{in}$ )
3. Find the max flow  $k$

Menger's Theorem: Given a directed graph  $G$  and vertices  $s, t \in V$  such that  $s, t \notin E$ , the maximum number of vertex-disjoint paths from  $s$  to  $t$  is equal to the minimum size of a vertex separator  $S$ ,  $S \subseteq V \setminus \{s, t\}$  such that every path from  $s$  to  $t$  contains at least one vertex in  $S$ , between  $s$  and  $t$ .

Proof: (Easy Direction) If there is a vertex separator  $S$  of size  $k$  then there are at most  $k$  vertex disjoint paths from  $s$  to  $t$ , so each must contain a vertex in  $S$ . (Other Direction): If the max number of vertex disjoint paths is  $k$ , then the max flow in  $G'$  is  $k$ , so by the max-flow min-cut theorem there exists a cut with capacity  $k$ . We can tweak this cut to obtain a cut  $C'$  with capacity  $k$  such that only edges  $v_{in} \rightarrow v_{out}$  cross  $C'$ . We take  $S$  to be  $v$  such that  $v_{in} \rightarrow v_{out}$  crosses  $C'$ .

## Lecture 20: NP, NP-Hardness, NP-Completeness

Non-Deterministic Polynomial Time (NP): the class of YES/NO problems for which a solution can be verified in polynomial time. ie. for which there is a non-deterministic polynomial time algorithm.

Ex. 3 coloring problem: Given a graph  $G$ , is it possible to color the vertices of  $G$  with three different colors? 3-coloring takes  $2^{\Omega(n)}$  time to solve, but can be verified in polynomial time.

Non-Deterministic:  $A$  is a non-deterministic algorithm if

1. If the answer for instance  $x$  is YES,  $A$  has a non-zero chance of saying YES.
2. If the answer to  $x$  is NO,  $A$  always returns NO.
3.  $\exists B, c > 0$ , such that  $A$  always terminates in  $Bn^c$

Can verify a solution in polynomial time  $\rightarrow$  there exists a non-deterministic polynomial time algorithm.  $\longleftrightarrow$  there exists a non-deterministic polynomial time algorithm  $\rightarrow$  there is a kind of solution which can be verified in polynomial time.

Independent Set Problem: Given a graph  $G$ , is there a set  $I$  of  $k$  vertices such that no two vertices in  $I$  are adjacent?

If  $I$  is an independent set then  $V = V(G) \setminus I$  is a vertex cover.

## Lecture 21: NP-completeness Reductions

NP-Hardness: A problem is NP-hard if every problem in NP can be poly-time reduced to it.

NP-completeness: A problem is NP-complete if it is both in NP and NP-hard.

If problem  $A$  is NP-hard and there is a poly-time reduction from  $A$  to  $B$ , then  $B$  is NP-hard.

Proof: For any problem  $p$  in NP,  $p$  is poly-time reducible to  $A$ . Since  $A$  is poly-time reducible to  $B$ ,  $p$  is poly-time reducible to  $B$  as well.

If  $A$  and  $B$  are in NP-Complete then they are poly-time reducible to each other.

Showing problem  $P$  is NP-complete:

1. Show that the problem  $P$  is in NP
2. Choose an NP-complete problem  $A$  and give a polytime reduction from  $A$  to  $P$

Thus,  $P$  is NP-hard. Ex.

Circuit-SAT: Given a boolean circuit, is there an assignment of the inputs which makes the output True?

Cook-Levin Theorem: Circuit-SAT is NP-complete

Idea: given a problem in NP, we can implement the verifier using a boolean circuit.

3-SAT: Given  $m$  clauses, where each clause is the OR of 3 literals, is there an assignment which satisfies the clauses?

Theorem: 3-SAT is NP-complete

Proof: To show it is NP-hard, we'll reduce circuit-SAT to 3-SAT. We'll have a variable for each wire of the circuit. For each gate of the circuit we'll add clauses to ensure that the variables behave as expected.

Theorem: Independent Set is NP-complete.

To show it is NP-Hard, we'll reduce 3-SAT to Independent Set. For each clause  $c_i$ , we'll create 3 vertices  $v_{i1}, v_{i2}, v_{i3}$ .  $v_{ij} \in I$  says clause  $c_i$  is satisfied because its  $j$ 'th literal is true. We'll add edges between conflicting vertices (one says a variable is true and the other says its false). We'll also add edges between the vertices within each clause.