Capstone Project Proposal

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Object Classification Using Convolutional Neural Network for Image Search

1. Overview

There are a lot of images and videos out there in the wild and they can be used for a wide variety of applications. Users search for images for entertainment, academics, presentations, projects etc. Users also need a large number of images belonging to specific category for training applications¹ like Autonomous Cars, Gesture Recognition, Optical Character Recognition, Face Recognition, Remote Sensing, Machine Vision, Robots etc. Users search for these images on the net and it is important to get the right images for the right applications. For a good user experience and for faster search, search engines have to provide a bunch of relevant images based on certain keywords in less time.

Relevant images are those images which have in them the object(s) mentioned in the keyword(s). For e.g., if the user searches for images by entering keyword "car", then any image having car in it, maybe among other objects, is a relevant image. So the search engine has to first classify objects in the images and return those images containing objects of interest.

2. Problem Statement

Classifying objects in an image is very important for a successful image search. Classifying objects incorrectly will lead to unwanted images in the search result and a frustrated user! A general classifying algorithm takes a set of features characterizing an object and uses them to determine the object class. Some algorithms need the user to specify the features whereas some algorithms can learn the features themselves.

A search engine fails if the classifying algorithm performs poorly. If the algorithm recognizes an object when it is not present, then it is termed as False Positive(FP). If the algorithm doesn't recognize the object when it is really present, then it is termed as False Negative(FN). High FP or FN indicate a poorly performing algorithm. This project aims at designing a classification system using simple architecture CNN with low FP and FN.

¹ https://en.wikipedia.org/wiki/Category:Applications of computer vision

3. Dataset

For this project, I will use the publicly available dataset from CIFAR-100². The CIFAR-100 dataset consists of 60000 32x32 color images in 100 classes with 600 images per class. There are 500 training images and 100 testing images per class. The 100 classes in the CIFAR-100 are grouped into 20 super classes. Each image comes with a "fine" label (the class to which it belongs) and a "coarse" label (the super class to which it belongs).

Here is the list of classes in the CIFAR-100:

#	Superclass	Classes
0	aquatic mammals	beaver, dolphin, otter, seal, whale
1	fish	aquarium fish, flatfish, ray, shark, trout
2	flowers	orchids, poppies, roses, sunflowers, tulips
3	food containers	bottles, bowls, cans, cups, plates
4	fruit and vegetables	apples, mushrooms, oranges, pears, sweet peppers
5	household electrical devices	clock, computer keyboard, lamp, telephone, television
6	household furniture	bed, chair, couch, table, wardrobe
7	insects	bee, beetle, butterfly, caterpillar, cockroach
8	large carnivores	bear, leopard, lion, tiger, wolf
9	large man-made outdoor things	bridge, castle, house, road, skyscraper
10	large natural outdoor scenes	cloud, forest, mountain, plain, sea
11	large omnivores and herbivores	camel, cattle, chimpanzee, elephant, kangaroo
12	medium-sized mammals	fox, porcupine, possum, raccoon, skunk
13	non-insect invertebrates	crab, lobster, snail, spider, worm
14	people	baby, boy, girl, man, woman
15	reptiles	crocodile, dinosaur, lizard, snake, turtle
16	small mammals	hamster, mouse, rabbit, shrew, squirrel
17	trees	maple, oak, palm, pine, willow
18	vehicles 1	bicycle, bus, motorcycle, pickup truck, train
19	vehicles 2	lawn-mower, rocket, streetcar, tank, tractor

The superclasses and classes are numbered in alphabetical order as shown below:

1 fish 0 aquatic mammals 2 flowers 3 food containers 4 fruit_and_vegetables 5 household_electrical_devices 6 household_furniture 7 insects 8 large_carnivores 9 large_man-made_outdoor_things 10 large_natural_outdoor_scenes 11 large_omnivores_and_herbivores 12 medium_mammals 13 non-insect invertebrates 14 people 15 reptiles 16 small mammals 17 trees 18 vehicles 1 19 vehicles 2

² https://www.cs.toronto.edu/~kriz/cifar.html

```
************************************CIFAR-100 Classes**************************
                                1 aquarium_fish 2 baby
6 bee 7 beetle
11 boy 12 bridge
                                                                                                                                                               3 bear 4 beaver
8 bicycle 9 bottle
13 bus 14 butterfly
18 caterpillar 19 cattle
23 cloud 24 cockroach
                                                                                                                                                                       3 bear
                                                                                                                                                               8 bicycle
13 bus
  5 bed

      11 boy
      12 bridge
      13 bus
      14 butterfly

      16 can
      17 castle
      18 caterpillar
      19 cattle

      21 chimpanzee
      22 clock
      23 cloud
      24 cockroach

      26 crab
      27 crocodile
      28 cup
      29 dinosaur

      31 elephant
      32 flatfish
      33 forest
      34 fox

      36 hamster
      37 house
      38 kangaroo
      39 keyboard

      41 lawn_mower
      42 leopard
      43 lion
      44 lizard

      46 man
      47 maple_tree
      48 motorcycle
      49 mountain

      51 mushroom
      52 oak_tree
      53 orange
      54 orchid

      56 palm_tree
      57 pear
      58 pickup_truck
      59 pine_tree

      61 plate
      62 poppy
      63 porcupine
      64 possum

      66 raccoon
      67 ray
      68 road
      69 rocket

      71 sea
      72 seal
      73 shark
      74 shrew

10 bowl
15 camel
20 chair
25 couch
30 dolphin
35 girl
40 lamp
45 lobster
50 mouse
55 otter
60 plain

      66 raccoon
      6/ ray
      00 roug

      71 sea
      72 seal
      73 shark
      74 shrew

      76 skyscraper
      77 snail
      78 snake
      79 spider

      81 streetcar
      82 sunflower
      83 sweet_pepper
      84 table

      86 telephone
      87 television
      88 tiger
      89 tractor

      94 wardrobe

65 rabbit
70 rose
75 skunk
80 squirrel
85 tank
90 train
                                                   91 trout
                                                                                                         92 tulip
                                                                                                                                                                 93 turtle
                                                                                                                                                                                                                         94 wardrobe
                                                       96 willow_tree 97 wolf
95 whale
                                                                                                                                                                      98 woman
                                                                                                                                                                                                                              99 worm
```

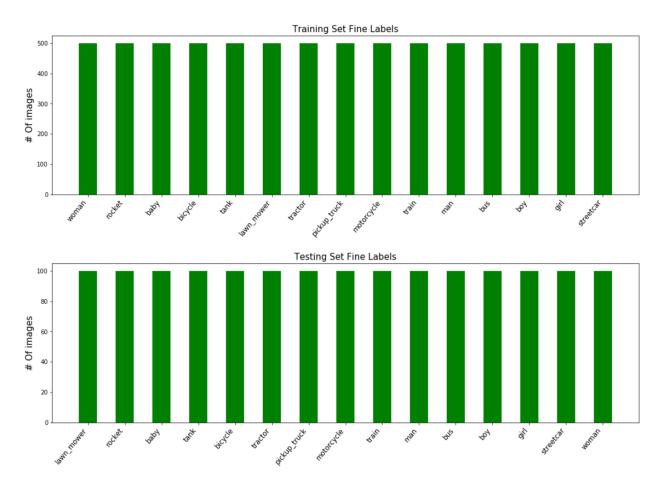
For my project I will not use all the super classes. To keep the computational time less than CIFAR-100 and more challenging than CIFAR-10, I will create a sub dataset, namely CIFAR-15 with reduced super classes. I have selected the below super classes by considering an example of user searching for "pedestrians" or "vehicles".

The superclasses and classes in CIFAR-15 are as shown below:

```
**CIFAR-15 Superclasses**
0 people
1 vehicles_1
2 vehicles_2
```

3.1. Data Exploration

Below is the distribution of each fine label in the CIFAR-15 dataset:



It can be seen that only the fine labels of the selected super classes are present in the CIFAR-15 dataset. There are 500 images of each class in the training set and 100 images of each class in testing set.

3.2. Data Visualization

Below are few images from the CIFAR-15 dataset:

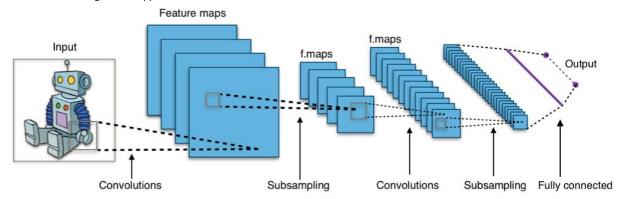


4. Solution Statement

The goal of the project is to design a CNN to classify objects. Convolutional networks were inspired by biological processes in which the connectivity pattern between neurons is inspired by the organization of the animal visual cortex³. CNNs require very little pre-processing compared to other image classification algorithms. This implies that the network learns the filters which in traditional algorithms have to be hand-designed.

³ https://en.wikipedia.org/wiki/Convolutional neural network

Below is an image of a typical CNN:



A typical CNN consists of an input, an output and multiple hidden layers. The hidden layers are either convolutional, pooling/subsampling or fully connected. Convolutional layers apply a convolution operation to the input, passing the result to the next layer. Pooling layers combine the outputs of neuron clusters at one layer into a single neuron in the next layer. Fully connected layers connect every neuron in one layer to every neuron in another layer.

The project aims at developing a CNN model involving mostly of convolutional layers. CNN learns the basic parts of the objects separately and understands the final object using multiple convolutional layers. The goal would also be to use simple model. Simple model implies less memory, less training time and faster execution.

5. Benchmark Model

I will use Support Vector Machine(SVM)⁴ with Radial Basis Function(RBF)⁵ kernel as my benchmark model. SVMs are supervised learning methods used for classification, regression and outliers detection. For obtaining non-linear decision boundaries, SVMs use a kernel function. Usually non-linear kernels, such as RBF, yield better performance for classification.

6. Evaluation Metric

As shown in the Dataset section, every image has got a class number, e.g. class "boy" has got class number 2. The class numbers will be one-hot encoded to get a vector of length 15(maximum number of classes in CIFAR-15), namely y_true. Given an image as input, the CNN outputs a "prediction" class, namely y_pred, predicting the class the image belongs to. As the dataset is uniformly distributed across all the 15 classes, I will use "accuracy" as my evaluation metric.

accuracy = mean(equal(argmax(y_true), argmax(y_pred))

argmax() returns the index of vector element having maximum value

⁴ https://en.wikipedia.org/wiki/Support vector machine

⁵ https://en.wikipedia.org/wiki/Radial basis function kernel

equal() returns a boolean vector mean() return the mean of a vector

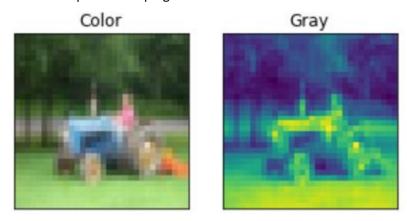
I will also make use of confusion matrix to get a feel of FP and FN numbers.

7. Project Design

The CIFAR-15 dataset contains training and testing data. The training set will be split into training and validation set. The training set will be used to train the model, i.e. modify its weights, and validation set will be used to check how the model is doing. As the validation set is not used to modify the model's weights, it also gives a good indication if the model is overfitting to the training set. The testing set will be used to find the final accuracy of the model. The testing set acts like real world data as the model never saw the testing set.

Training Validation Testing

As part of preprocessing, I will convert each color image into grayscale. As the goal of the project is to classify objects, the shape of the object plays an important role than its color. Converting to grayscale also reduces the input size helping the model to train faster.



I will also normalize the images as part of preprocessing. Images of same class objects taken in different lighting condition will lead to a huge difference in pixel values but I would like my model to classify both these images as same class. Normalizing the images will make sure that all the images have pixel values in similar range. I will normalize the images to be in the range -0.5 to 0.5.

At this stage I will check the accuracy of the benchmark model on CIFAR-15 dataset.

Moving on to designing my model, I will use Keras⁶ and start with a simple architecture of one convolutional layer(CONV) and one fully connected layer(FC) later adding more simple layers(CONV, FC) based on the accuracy of the model. If my model is overfitting or not able to get a better

⁶ https://keras.io/

accuracy or match the accuracy of the benchmark model, then I will consider including other layers such as pooling, dropout.

Based on the accuracy, I will decide to augment data in the preprocessing step. Considering my project, I would use "rotation" as my augmentation criterion.

The intention of this project is to show the usage of object classification algorithm in image search application. The object classification algorithm alone cannot be used for image search. Given an image, the image search application has to conduct a sliding window mechanism, using object classification in every window to check for presence of an object.