## Hierarchical LSTM with Adjusted Temporal Attention for Video Captioning

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## What is Video Captioning?

Video captioning is the task of automatically annotating videos with natural language descriptions.



A person pours milk into a bowl of rice and stirs it with a wooden  $\ensuremath{\operatorname{spoon}}$ 

#### Encoder - Decoder Framework

#### • Encoder:

We use a convolutional neural network (CNN) encoder to extract compact feature vectors of each frame in the video which contains the relevant visual information.

#### Decoder:

The output of the CNN encoder is fed into the decoder which then tries to decode the visual information into a natural language output.

## Basic LSTM for Video Captioning

• Feature extraction using CNN encoder:

$$V = \{v_1, v_2, \dots, v_n\} = \varnothing_E(z) \tag{1}$$

where  $\varnothing_E$  is the encoder, z is the input video,  $v_i$  is the feature vector of  $i^{th}$  frame and n is the number of frames.

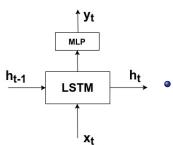
Initial LSTM state computation:

$$\mu = \frac{1}{n} \sum_{i=1}^{n} v_i \tag{2}$$

$$h_0, s_0 = [W^{ih}; W^{ic}]\mu$$
 (3)

where  $W^{ih}$  and  $W^{ic}$  are parameters to be learned,  $h_0$  and  $s_0$  are initial hidden state and cell state of LSTM.

#### LSTM update:



$$h_t, s_t = LSTM(x_t, h_{t-1}, s_{t-1})$$
 (4)

where  $h_t$  and  $s_t$  are hidden state and cell state of LSTM and  $x_t$  is the word embedding at time step t.

### Probability distribution computation:

$$p_t = softmax(U_p\phi(W_p[h_t] + b_p) + d)$$
 (5)

where  $h_t$  is the hidden state of LSTM,  $U_p$ ,  $W_p$ ,  $b_p$  and d are parameters to be learned

### Temporal Attention

• The feature representation of the video V and the bottom LSTM layer's hidden state  $h_t$  are fed through a single layer neural network. Then, softmax function is used to compute the **attention weights** over n frames, at each time step t.

$$\epsilon_t = w^T \tanh(W_a h_t + U_a V + b_a) \tag{6}$$

$$\alpha_t = softmax(\epsilon_t) \tag{7}$$

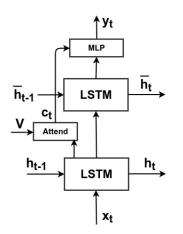
where  $w^T$ ,  $W_a$ ,  $U_a$  and  $b_a$  are the parameters to be learned.

- $\alpha_t \in \mathbb{R}^n$  gives the relevance of each frame at a time step t.
- The attention weights  $\alpha_t^i$  learned through attention using Equation 7 are used compute the **context vector**  $c_t$  by taking the **dynamic weighted sum** of the video features at each time step t:

$$c_t = \frac{1}{n} \sum_{i=1}^n \alpha_t^i v_i \tag{8}$$

where the number of frames in the video is denoted by n.

# Hierarchical LSTM with Temporal Attention for Video Captioning (hLSTMt)



V: feature representation of video

 $x_t$ : word embedding

 $h_t$ : hidden state of bottom LSTM

 $\bar{h}_t$ : hidden state of top LSTM

 $c_t$ : context vector

 $y_t$ : output word

### Visual and Non-Visual Words

#### Visual words :

- Require visual information for prediction.
- eg., "gun", "shooting"

#### Non-visual words :

- Do not require visual information for prediction.
- Can be predicted by using language context information.
- eg., "a", "of', "the", "sign" (after "behind a red stop"), "phone" (following "talking on a cell").
- Imposing attention mechanism on the non-visual words can mislead and decrease the performance of video captioning.

## Adjusted Temporal Attention

• The hidden state of the bottom LSTM layer  $h_t$  is used to compute the adjusted gate  $\beta_t$ :

$$\beta_t = sigmoid(W_s h_t) \tag{9}$$

where  $W_s$  is the parameter to be learned.

•  $\beta_t$  is the adjusted gate which is projected to the range [0,1].

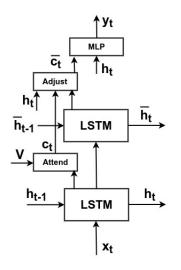
#### Final Context Vector

• The hidden state of the top LSTM layer  $\bar{h}_t$ , context vector  $c_t$  and adjusted gate  $\beta_t$  are used to compute the final context vector  $\bar{c}_t$ :

$$\bar{c}_t = \beta_t c_t + (1 - \beta_t) \bar{h}_t \tag{10}$$

- $\beta_t = 1$ : complete visual information is considered using the context vector  $c_t$  for predicting the next word.
- $\beta_t = 0$ : no visual information is considered and the next word is predicted using only the language context model.

## Hierarchical LSTM with Adjusted Temporal Attention for Video Captioning (hLSTMat)



V : feature representation of video

 $x_t$ : word embedding

 $h_t$ : hidden state of bottom LSTM

 $\bar{h}_t$ : hidden state of top LSTM

 $c_t$ : context vector

 $\bar{c}_t$ : final context vector

*y<sub>t</sub>* : output word

## Mean Aggregation Models

We try to reduce the number of parameters in the model to learn the attention weights better.

#### hLSTMat-PCA

- To reduce the dimensionality of the video feature representation, Principal component analysis (PCA) is used.
- The dimension of the mean of the video features of each video is reduced to the LSTM size using PCA.
- ullet This is used as the new video representation V in Equation 6.

#### hLSTMat-KMeans

- To reduce the dimensionality of the video feature representation, the output of the CNN is clustered using K-Means algorithm with 3 k-centers.
- The video V in Equation 6, is now represented as **(k-centers, mean at each k-center)**.
- The weights for the k-centers are then passed through a single layer neural network to get the weights for each frame of the video.

## Performance Study

### The Microsoft Video Description Corpus (MSVD)

This dataset contains 1970 short videos with various natural language annotations for every video. It has approximately 80000 human annotated video-description pairs. The dataset is split into validation, test and training set with 100, 670 and 1200 videos respectively.

Table: Dataset Description

Name	#Total	#Training	#Validation	#Testing	#Vocabulary
MSVD	1970	1200	100	670	9433

### The Effect of Different CNN Encoders

Table: Effect of different CNN encoders

Model	BLEU@1	BLEU@2	BLEU@3	BLEU@4	METEOR
VGG19	81.2	70.1	61.0	51.1	31.7
ResNet-50	83.1	73.0	64.2	54.6	32.9
ResNet-152	83.0	73.5	65.1	55.3	33.7
Inception-v3	83.8	74.0	65.3	55.7	33.6

- Inception-v3 performs the best with BLEU@4 score of 55.7% and METEOR score of 33.6%.
- ResNet-152 is very close in performance to Inception-v3.
- ResNet-152 seems to perform better than ResNet-50.

## Architecture Exploration and Comparison

This experiment explores the architecture and studies the influence of the attention mechanisms. The experiments are conducted on MSVD dataset and use Inception-v3 as the CNN encoder.

Table: Effect of different types of the decoder

Decoder	BLEU@1	BLEU@2	BLEU@3	BLEU@4	METEOR
Basic LSTM	79.6	69.7	60.3	49.8	32.1
hLSTMt	83.0	72.8	63.2	53.6	32.9
hLSTMat	83.8	74.0	65.3	55.7	33.6

### Results



ground truth: a soccer player making a long goal

basic LSTM : two teams are playing

hLSTMt: a man is running

 ${f hLSTMat}$ : a soccer player makes a goal



ground truth: a jackal is walking around in a field

**basic LSTM**: a dog is catching a fish

**hLSTMt**: a dog is walking

**hLSTMat**: a animal is walking through a field



**ground truth**: a woman is putting on gold eyeshadow basic LSTM: a woman is putting a stick in her mouth

hLSTMt: a girl is applying makeup

hLSTMat: a woman is applying eye makeup

## Temporal Attention Analysis

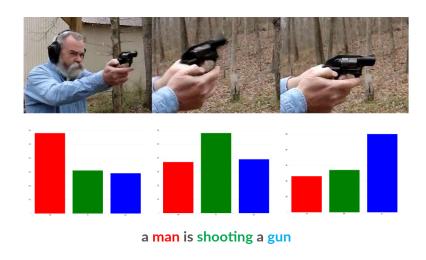
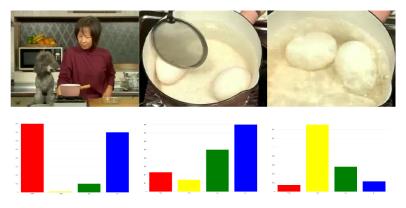


Figure: Video of a man shooting a gun



a woman is boiling eggs in a pan

Figure: Video of a woman boiling eggs in a pan

## Adjusted Temporal Attention Analysis

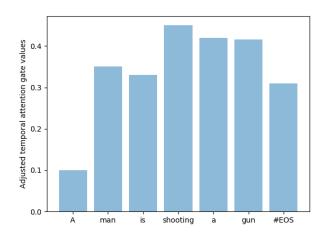


Figure: Video of a man shooting a gun

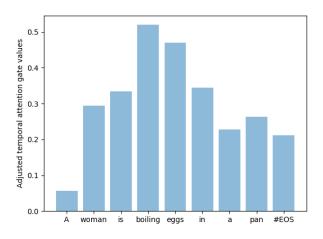


Figure: Video of a woman boiling eggs in a pan

## Mean Aggregation Models Analysis

This experiment compares the performance of the mean aggregation models. The experiments are conducted on MSVD dataset and use Inception-v3 as the CNN encoder.

Table: Comparison of mean aggregation models

Decoder	BLEU@1	BLEU@2	BLEU@3	BLEU@4	METEOR
hLSTMat-PCA	81.5	71.0	61.9	51.3	33.7
hLSTMat-KMeans	82.9	72.4	63.4	53.3	34.1
hLSTMat	83.8	74.0	65.3	55.7	33.6

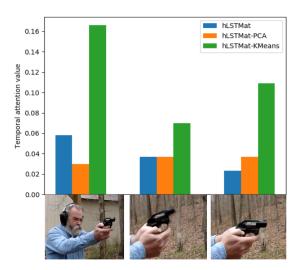


Figure: Temporal attention values while generating the word "man" in the caption

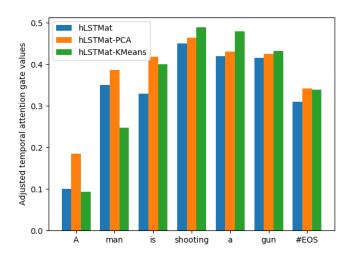


Figure: Adjusted temporal attention plots of a video in MSVD dataset comparing hLSTMat, hLSTMat-PCA and hLSTMat-KMeans as decoder

#### Conclusion and Future Work

- Experiments show that temporal attention and adjusted temporal attention improve the performance of the model and achieve state-of-the-art performance on MSVD dataset.
- The attention weights must further be fine tuned in the model
- Currently, the model uses only spatial visual information.
  Incorporating the model with both temporal and spatial visual information could further improve the performance of the model.

#### References



Jingkuan Song, Lianli Gao, Zhao Guo, Wu Liu, Dongxiang Zhang and Heng Tao Shen (2017)

Hierarchical LSTM with Adjusted Temporal Attention for Video Captioning Proceedings of the Twenty-Sixth International Joint Conference on Artificial Intelligence, IJCAI 2017, Melbourne, Australia, August 19-25, 2017, pages 2737–2743.

## Questions?