

Branch And Bound

\* The backtracking algorithm is effective for decision problems, but it is not designed for optimization problems. This drawback is rectified in case of branch and bound technique.

\* In this also we will use bounding function i.e. similar to backtracking.

\* The main difference b/w backtracking and branch and bound technique is, if we get a solution then we will terminate the search procedure in backtracking,

\* where as in branch and bound technique, we will continue the searching until we will get an optimal solution.

S.NO	Backtracking method	Branch and Bound method
1	In this technique, the solution is obtained using DFS	1. In this technique DFS/BFS, can be used to obtain the solution
2	Backtracking approach provides solutions to decision problem	2. Branch and Bound technique is used to solve optimization problems
3	There is a possibility of obtaining bad solutions	3. No bad solutions are obtained.
4	A state space tree is not searched completely instead, the process of searching	4. state space tree generated using branch and bound method is searched completely,

terminate as soon as the solution is obtained

since there is a possibility of obtaining an optimum solution at any point in the state space tree.

5. Backtracking technique is used on problems like graph coloring, sum of subsets, n-queens etc

5. Branch and Bound method is applied to the problems like TSP, job sequencing with deadline, 0/1 knapsack etc.

## Travelling Salesperson problem

(2)

\* If there are  $n$  cities and cost of travelling from one city to other city is given. A salesman has to start from any one of the city and has to visit all the cities exactly once and has to return to the starting place with shortest distance or minimum cost.

\* Assume that every tour starts and ends at vertex 1. To use least cost branch and bound to search the travelling salesperson state space tree,

Reduced cost matrix:- A row or column is said to be reduced if it contains at least one zero and all remaining entries are non-negative. A matrix is reduced if every row and column is reduced.

- 1) If a constant  $k$  is chosen to be minimum entry in row  $i$  or column  $j$  then subtracting it from all entries in row  $i$  (column  $j$ ) will introduce a zero into a row  $i$  (column  $j$ ).
- 2) The total amount subtracted from the columns and rows is lower bound on the length of a minimum cost tour and can be used as the



$\hat{c}(x)$  value for the root of state space tree.

\* with every node in state space tree, we associate a reduced cost matrix.

\* let  $A$  be the reduced cost matrix for node  $R$ .

let  $S$  be the child of  $R$  such that the edge  $(R, S)$  corresponds to including edge  $(i, j)$  in the tour.

\* If  $S$  is not a leaf node then the reduced cost matrix for node  $S$  can be obtained as follows.

1) change all entries in row  $i$  and column  $j$  of  $A$  to  $\infty$

2) Set  $A(j, i)$  to  $\infty$ . To prevent the use of edge  $(j, i)$ .

3) Apply row reduction and column reduction except for rows and columns containing  $\infty$ .

4) the total cost for node  $S$  can be calculated as.

$$\hat{c}(S) = \hat{c}(R) + A(i, j) + \gamma$$

where  $\gamma$  is the total amount subtracted in rows and columns.

Q:- solve the TSP problem using LCB

(3)

	1	2	3	4	5
1	$\infty$	20	30	10	11
2	15	$\infty$	16	4	2
3	3	5	$\infty$	2	4
4	19	6	18	$\infty$	3
5	16	4	7	16	$\infty$

Sol:-

Row Reduction:- select minimum value in a row and subtract with all the values in a row

$\infty$	20	30	10	11	10
15	$\infty$	16	4	2	2
3	5	$\infty$	2	4	2
19	6	18	$\infty$	3	3
16	4	7	16	$\infty$	4

$\Rightarrow$

$\infty$	10	20	0	1
13	$\infty$	14	2	0
1	3	$\infty$	0	2
16	3	15	$\infty$	0
12	0	3	12	$\infty$

21 total

\* In first row 10 is minimum value, so subtract with all the values in a row

\* In second row 2 is minimum value, so subtract with remaining value in a row

\* In third row 2 is minimum value, so subtract with remaining values in a row

\* In 4th row 3 is minimum value, so subtract with remaining in a row

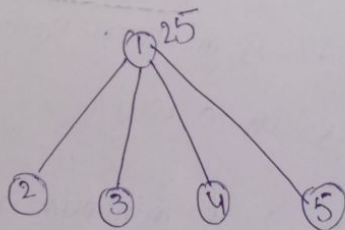
\* In 5th row 4 is minimum value, so subtract with remaining value in a row

Column Reduction:- Select the minimum value in a column And subtract with each value in a column.

$$\begin{bmatrix} \infty & 10 & 20 & 0 & 1 \\ 13 & \infty & 14 & 2 & 0 \\ 1 & 3 & \infty & 0 & 2 \\ 16 & 3 & 15 & \infty & 0 \\ 12 & 0 & 3 & 12 & \infty \\ 1 & 0 & 3 & 0 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & 10 & 17 & 0 & 1 \\ 12 & \infty & 11 & 2 & 0 \\ 0 & 3 & \infty & 0 & 2 \\ 15 & 3 & 12 & \infty & 0 \\ 11 & 0 & 0 & 12 & \infty \end{bmatrix}$$

\* In first column 1 is minimum value, subtract with remaining values in a column. And scan for remaining column in a matrix.

\* Total Amount of subtraction  $x = 21 + 4 = 25$



consider the path (1,2): change all entries of first row and second column of reduced matrix to  $\infty$  and set  $A(2,1)$  to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & 11 & 2 & 0 \\ 0 & \infty & \infty & 0 & 2 \\ 15 & \infty & 12 & \infty & 0 \\ 11 & \infty & 0 & 12 & \infty \end{bmatrix}$$



Apply row reduction And Column reduction

(4)

$$\gamma = 0$$

$$\hat{c}(2) = \hat{c}(1) + A(1,2) + \gamma$$

$$\hat{c}(2) = \hat{c}(1) + A(1,2) + 0$$

$$= 25 + 10 + 0$$

$$\hat{c}(2) = 35$$

Consider the path (1,3): change all entries of first Row And ~~the~~ third column of reduced matrix to  $\infty$ .  
And set  $A(3,1)$  to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & \infty & 2 & 0 \\ \infty & 3 & \infty & 0 & 2 \\ 15 & 3 & \infty & \infty & 0 \\ 11 & 0 & \infty & 12 & \infty \\ 11 & & & & \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 1 & \infty & \infty & 2 & 0 \\ \infty & 3 & \infty & 0 & 2 \\ 4 & 3 & \infty & \infty & 0 \\ 0 & 0 & \infty & 12 & \infty \end{bmatrix}$$

Applying row & column reduction. And total Amount of subtraction  $\gamma = 11 + 0 = 11$

$$\hat{c}(3) = \hat{c}(1) + A(1,3) + \gamma$$

$$= 25 + 17 + 11$$

$$= 53$$

consider the path (1,4):- change All entries of first row and 4th column to  $\infty$ . And set  $A(4,1)$  to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & 11 & \infty & 0 \\ 0 & 3 & \infty & \infty & 2 \\ \infty & 3 & 12 & \infty & 0 \\ 11 & 0 & 0 & \infty & \infty \end{bmatrix}$$

Apply row & Column reduction

All row & Column minimum

value are 0. So Total

cost of subtraction

$$\gamma = 0 + 0 = 0$$

$$Z(4) = Z(1) + A(1,4) + \gamma$$

$$= 25 + 0 + 0$$

$$= 25$$

consider the path (1,5):- change All entries of first row and 5th column to  $\infty$ . And set  $A(5,1)$  to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & 11 & 2 & \infty \\ 0 & 3 & \infty & 0 & \infty \\ 15 & 3 & 12 & \infty & \infty \\ \infty & 0 & 0 & 12 & \infty \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Apply row & Column reduction

So. second <sup>Row</sup> ~~Column~~ minimum

value is 2. So subtract

All values.

\* 3rd row minimum value is 3. So subtract Remaining values

\* there is no minimum value in All column.

\* So the reduced matrix is



$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 10 & \infty & 9 & 0 & \infty \\ 0 & 3 & \infty & 0 & \infty \\ 12 & 0 & 9 & \infty & \infty \\ \infty & 0 & 0 & 12 & \infty \end{bmatrix}$$

(5)

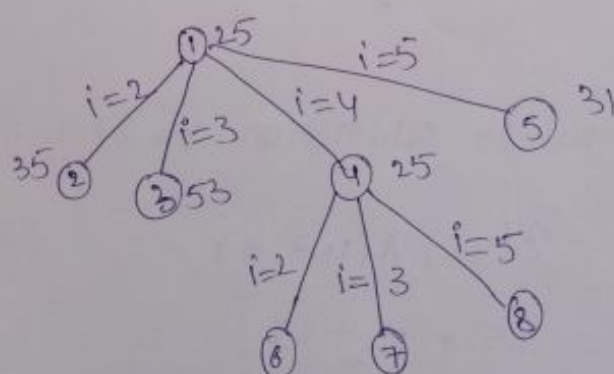
Total Amount of Subtraction  $\gamma = 5 + 0 = 5$

$$\begin{aligned} \hat{z}(5) &= \hat{z}(1) + A(1|5) + \gamma \\ &= 25 + 1 + 5 \\ &= 31 \end{aligned}$$

\* Since the minimum cost is 25, select node 4.

The matrix obtained for path (1,4) is considered as reduced cost matrix.

$$A = \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & 11 & \infty & 0 \\ 0 & 3 & \infty & \infty & 2 \\ \infty & 3 & 12 & \infty & 0 \\ 11 & 0 & 0 & \infty & \infty \end{bmatrix}$$



consider the path (4,2): change All entries of fourth row and 2nd column to  $\infty$ . And set  $A(2|1)$  to  $\infty$ .

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & 6 & 11 & \infty & 0 \\ 0 & \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty & \infty \\ 11 & \infty & 0 & \infty & \infty \end{bmatrix}$$

Apply row & column reduction to each row & column.

$$\gamma = 0 + 0 = 0$$

$$\hat{z}(2) = \hat{z}(4) + A(4,2) + \gamma$$

$$= 25 + 3 + 0$$

$$= 28$$

consider the path (4,3): — change all entries of fourth row and third column to  $\infty$ . And set (3,1) to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & \infty & \infty & 0 \\ \infty & 3 & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty & \infty \\ 11 & 0 & \infty & \infty & \infty \\ 11 \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 1 & \infty & \infty & \infty & 0 \\ \infty & 1 & \infty & \infty & 0 \\ \infty & \infty & \infty & \infty & \infty \\ 0 & 0 & \infty & \infty & \infty \end{bmatrix}$$

total amount of subtraction  $\gamma = 2 + 11 = 13$

$$\hat{z}(9) = \hat{z}(4) + A(4,3) + \gamma$$

$$= 25 + 12 + 13$$

$$= 50$$

(6)

consider the path (4, 5):- change All entries of Fourth row and fifth Column to  $\infty$ . And set  $A(5,1)$  to  $\infty$ .

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & 11 & \infty & 0 \\ 0 & 3 & \infty & \infty & 2 \\ \infty & 3 & 12 & \infty & 0 \\ 11 & 0 & 0 & \infty & \infty \end{bmatrix} \Rightarrow \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 12 & \infty & 11 & \infty & \infty \\ 0 & 3 & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & 0 & 0 & \infty & \infty \end{bmatrix} 11$$

\* Apply row & column reduction method. In second row minimum value is 11. So subtract remaining value in row with value 11. So matrix is

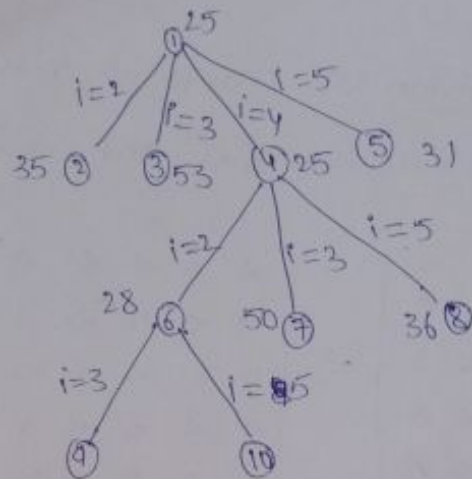
$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ 1 & \infty & 0 & \infty & \infty \\ 0 & 3 & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & 0 & 0 & \infty & \infty \end{bmatrix}$$

total amount of subtraction  
 $x = 11 + 0 = 11$

$$\begin{aligned} \hat{c}(5) &= \hat{c}(4) + A(4,5) + x \\ &= 25 + 0 + 11 \\ &= 36 \end{aligned}$$

\* So minimum cost is 28, select node 2.





\* the matrix obtained for path (42) is considered as reduced cost matrix

$$A = \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & 11 & \infty & 0 \\ 0 & \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty & \infty \\ 11 & \infty & 0 & \infty & \infty \end{bmatrix}$$

consider the path (213) :- change All entries of second row and 3rd column to  $\infty$ . And set  $A(31)$  to  $\infty$

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & 2 \\ \infty & \infty & \infty & \infty & \infty \\ 11 & \infty & \infty & \infty & \infty \end{bmatrix}$$

11

Apply row & column reduction in 3rd row minimum value 2. So subtract will remaining values in a row.

1st column min value 11 is subtracted with remaining values

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & 0 \\ \infty & \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty & \infty \end{bmatrix}$$

Total Amount of subtraction  $\gamma = 2 + 11$   
 $\gamma = 13$

$$\begin{aligned}\hat{c}(3) &= \hat{c}(2) + A(2,3) + \gamma \\ &= 28 + 11 + 13 \\ &= 52\end{aligned}$$

(7)

Consider the path (2,5): change All entries of 2nd row & 5th column to  $\infty$ . And set  $A(5,1)$  to  $\infty$ .

$$\begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & 0 & \infty & \infty \end{bmatrix}$$

Apply row & column reduction to matrix

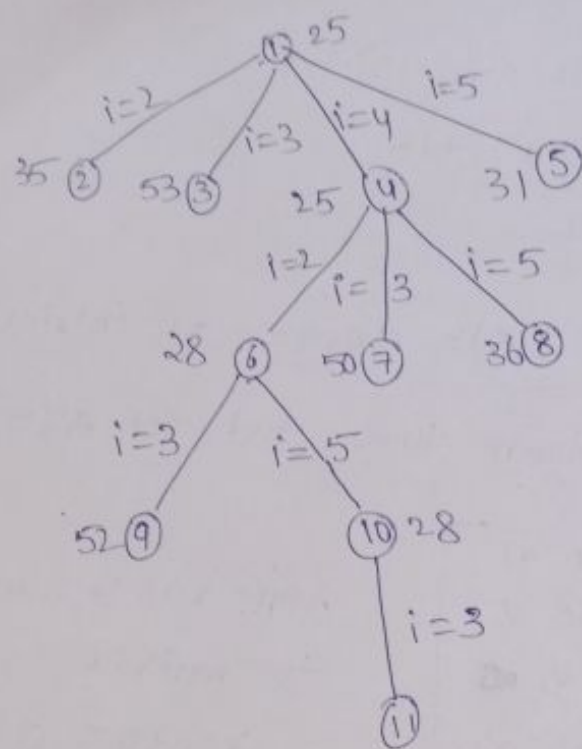
$$\gamma = 0 + 0 = 0$$

$$\begin{aligned}\hat{c}(5) &= \hat{c}(2) + A(2,5) + \gamma \\ &= 28 + 0 + 0 \\ &= 28\end{aligned}$$

\* Since the minimum cost is 28, select node 5.

\* The matrix obtained for path (2,5) is considered as reduced cost matrix.

$$A = \begin{bmatrix} \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ 0 & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty \\ \infty & \infty & 0 & \infty & \infty \end{bmatrix}$$

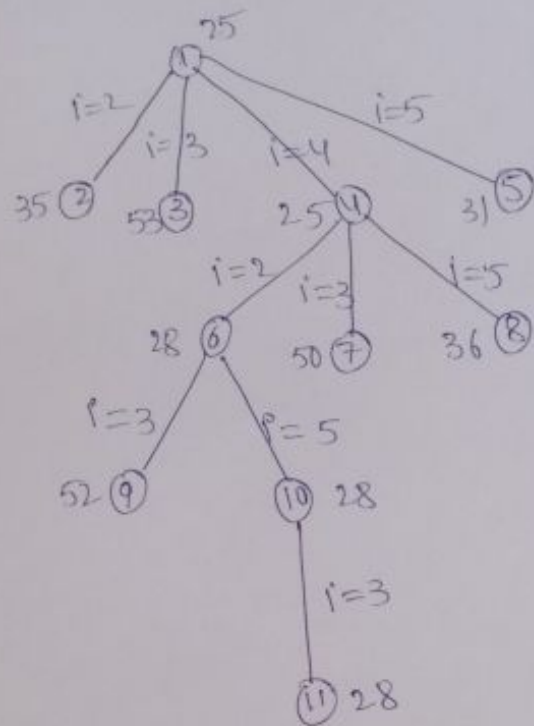


Consider the path (5,3):- change All entries of 5th row & 3rd column to  $\infty$ . And set  $A(3,1)$  to  $\infty$ .

$$\begin{bmatrix}
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty \\
 0 & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & 0 & \infty & \infty
 \end{bmatrix}
 \Rightarrow
 \begin{bmatrix}
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty \\
 \infty & \infty & \infty & \infty & \infty
 \end{bmatrix}$$

Apply row & column reduction so  $r = \infty = 0$





The path is  $1 \rightarrow 4 \rightarrow 2 \rightarrow 5 \rightarrow 3 \rightarrow 1 =$   
 minimum cost  $= 10 + 6 + 2 + 7 + 3 = \underline{\underline{28}}$

## 0/1 knapsack problem

(9)

\* The 0/1 knapsack problem states that, there are  $n$  objects given and capacity of knapsack is  $m$ . then select some objects to fill the knapsack in such a way that it should not exceed the capacity of knapsack and maximum profit can be earned.

\* The 0/1 knapsack problem can be stated as

$$\max Z = P_1x_1 + P_2x_2 + \dots + P_nx_n$$

$$\text{Subject to } w_1x_1 + w_2x_2 + \dots + w_nx_n \leq m, \quad x_i = 0 \text{ or } 1$$

\* A Branch And Bound technique is used to find solution to the knapsack problem. But we can't directly apply the Branch and Bound technique to the knapsack problem.

\* Because the Branch And Bound deals only the minimizing problems. we modify the knapsack problem to the minimization problem. The modified problem is

$$\min Z = -P_1x_1 - P_2x_2$$

$$\text{subject to } w_1x_1 + w_2x_2 + \dots + w_nx_n \leq m, \quad x_i = 0 \text{ or } 1$$

\* let  $\hat{c}(x)$  and  $\hat{d}(x)$  are the two cost functions such that  $\hat{c}(x) \leq c(x) \leq \hat{d}(x)$ , satisfying the requirements where  $c(x) = -\sum p_i x_i$ .

\* the  $c(x)$  is the cost function for answer node  $x$ , which lies b/w two functions called lower and upper bound for the cost function  $c(x)$ .

\* the search begins at the root node. initially we compute the lower and upper bound at root node called  $\hat{c}(1)$  and  $\hat{d}(1)$ . Consider the first variable  $x_1$  to take a decision. the  $x_1$  takes values 0 or 1.

\* compute the lower & upper bound in each case of the variable. select the node whose cost is minimum.

$$c(x) = \min \{ c(\text{left child}(x)), c(\text{right child}(x)) \}$$

$$c(1) = \min \{ c(2), c(3) \}$$

\* the problem can be solved by making a sequence of decisions on the variable  $x_1, x_2, \dots, x_n$  level wise.

\* the path from root to the leaf node whose height is maximum is selected and is the solution space for the knapsack problem.



Q1 draw a portion of state space tree generated by (10)  
LCBB by following knapsack problem  $n=5, m=12$

$$(P_1, P_2, P_3, P_4, P_5) = (10, 15, 6, 8, 4)$$

$$(w_1, w_2, w_3, w_4, w_5) = (4, 6, 3, 4, 2)$$

Sol:- convert the profits to negative  $(P_1, P_2, P_3, P_4, P_5) = (-10, -15, -6, -8, -4)$  calculate the lower bound and upper bound for each node.

place first item in the bag i.e., 4 remaining weight  $y$

$$12 - 4 = 8$$

place second item in the bag i.e., 6, Remaining bag weight  $y$

$$8 - 6 = 2$$

since fractions are not allowed in calculation of upper bound, so we can't place third and fourth item in the bag. place fifth item

$$2 - 2 = 0$$

$$\therefore \text{profit earned} = -10 - 15 - 2 = -27 = \text{upper bound}$$

To ~~place~~ ~~the~~ calculate the lower bound, place third item in a bag since fractions are allowed.

$$\therefore \text{lower bound} = -10 - 15 - \frac{2}{3} \times 6 = -29$$

$$\therefore \underline{f(1) = -29}, \underline{g(1) = -29}$$

\* for node 2,  $x_1 = 1$  means we should place first item in the bag.

place first item in the bag i.e., 4 Remaining weight  $y$

$$12 - 4 = 8$$

place second item in the bag i.e 6 Remaining weight is

$$8 - 6 = 2$$

when we want to calculate upper bound we can't place fraction of item in the bag, so third and fourth item can't be placed. next we have to place 5th item in the bag.

$$2 - 2 = 0$$

$\therefore$  profit earned  $= -10 - 15 - 4 = -29 = \text{upper bound}$

$$\therefore \hat{J}(2) = -10 - 15 - 4 = -29$$

\* when we want to calculate lower bound we can place fraction of item in the bag. so now we can third item in the bag.

place first item in the bag i.e 4, Remaining weight

$$12 - 4 = 8$$

place second item in the bag i.e 6 Remaining weight

$$8 - 6 = 2$$

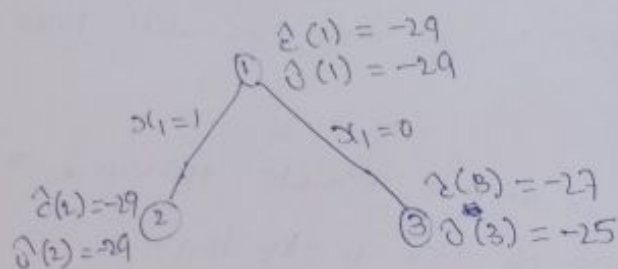
place third item in the bag i.e 3 Remaining weight

$$2 - 2/3 = 1.3$$

$\therefore$  lower bound  $\hat{J}(\frac{2}{3}) = -10 - 15 - \frac{2}{3} \times 6$

$$\hat{J}(\frac{2}{3}) = -10 - 15 - 4 = -29$$

$$\therefore \hat{J}(2) = -29, \hat{J}(\frac{2}{3}) = -29$$



∴ select the minimum of lower bound i.e

$$\min \{ z(2), z(3) \} = \min \{ -29, -27 \} \\ = -29$$

∴ choose the node 2.

∴ first object is selected  $x_1 = 1$

to node 4 ( $x_2 = 1$ ) :- place 2nd item in the bag

\* when we want to calculate upperbound tractiony can't be allowed.

\* place first item in the bag i.e = 4, Remaining weight

$$12 - 4 = 8$$

\* place second item in the bag i.e = 6, Remaining weight

$$8 - 6 = 2$$

\* tractiony can't be placed, so we can't place 3rd & 4th item in the bag, we can place only 5th item in the bag

$$2 - 2 = 0$$

∴ upper bound  $v(4) = -10 - 15 - 4 = -29$

\* when we want to calculate lowerbound tractiony can be allowed.



bot rule 3 ( $x_1=0$ ) :- that means we can't place first item in the bag.

\* ~~when~~ when we want to calculate upperbound we can't place fraction of item in the bag.

\* so place second item in the bag i.e 6. Remaining weight

$$12 - 6 = 6$$

\* place third item in the bag i.e 3, Remaining weight

$$6 - 3 = 3$$

\* we can't place fraction of item in the bag, so we can't place 4th item in the bag. place 5th item in the bag

$$3 - 2 = 1$$

$$\therefore \text{profit earned} = -15 - 6 - 4 = -25$$

$$\therefore \hat{U}(3) = -25$$

\* when we want to calculate lowerbound we can place fraction of items in the bag

\* place second item in the bag i.e 6, Remaining weight

$$12 - 6 = 6$$

\* place third item in the bag i.e 3, Remaining weight

$$6 - 3 = 3$$

\* place fourth item in the bag i.e 4, Remaining weight

$$3 - \frac{3}{4} = 2.25$$

$$\therefore \hat{L}(3) = -15 - 6 - \frac{3}{4} \times 8 = -27$$

\* place first item in the bag i.e 4, Remaining weight (12)

$$12 - 4 = 8$$

\* place second item in the bag i.e 6, Remaining weight

$$8 - 6 = 2$$

\* place third item in the bag i.e 3, Remaining weight

$$2 - \frac{2}{3} = 1.3$$

$$\therefore \hat{z}(4) = -10 - 15 - \frac{2}{3} \times 6 = -29$$

$$\therefore \hat{z}(4) = -29, \hat{v}(4) = -29$$

for node 5 ( $x_2 = 0$ ) :- that means we can't place second item in the bag, then calculate upper bound & lower bound.

\* place first item in the bag i.e = 4, Remaining weight-

$$12 - 4 = 8$$

\* place third item in the bag i.e = 3 Remaining weight

$$8 - 3 = 5$$

\* place 4<sup>th</sup> item in the bag i.e = 4 Remaining weight

$$5 - 4 = 1$$

$$\therefore \text{upper bound } \hat{v}(5) = -10 - 6 - 8 = -24$$

$$\therefore \underline{\hat{v}(5) = -24}$$

\* when we calculate lower bound, place fraction of item in the bag.

\* place first item in the bag i.e = 4 Remaining weight-

$$12 - 4 = 8$$

\* place third item in the bag i.e = 3 Remaining weight-

$$8 - 3 = 5$$

\* place 4th item in the bag i.e 4, Remaining weight  
 $5-4=1$

\* place 5th item in the bag i.e 2, Remaining weight  
 $1-\frac{1}{2} = 0.5$

$$\therefore z(5) = -10-6-8-4 \times \frac{1}{2} = -26$$

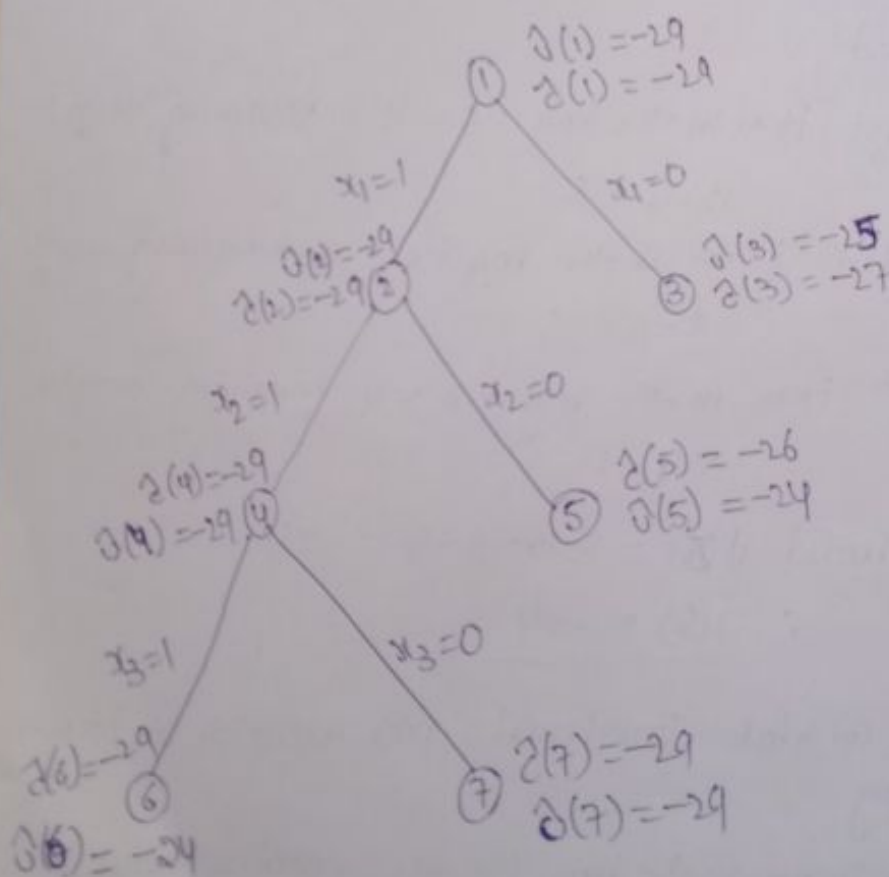
$$\therefore z(5) = -26$$

$\therefore$  select minimum of lower bound

$$\min \{ z(4), z(5) \} = \frac{1}{2} \{ 29, -26 \} = -29$$

$\therefore$  node 4 is selected

$\therefore$  second object is selected  $x_2=1$





Ex rule 6 ( $x_3=1$ ) :- we can place 3<sup>rd</sup> item in the bag (13)

upper bound :- fractions can't be Allowed

place first item in the bag i.e 4, Remaining weight  
 $12-4=8$

place ~~third~~ item in the bag i.e 6, ,,  
 $8-6=2$

place ~~4~~<sup>th</sup> item in the bag i.e 4 ,,  
~~2-4~~  $2-4=-2$

$$\therefore \hat{U}(6) = -10 - 6 - 8 = -24$$

lower bound :- fractions can be Allowed

place first item in the bag i.e 4, Remaining  
 $12-4=8$

place second item in the bag i.e 6 ,,  
 $8-6=2$

place third item in the bag i.e 3 ,,  
 $2 - \frac{2}{3}$

$$\therefore \hat{L}(6) = -10 - 15 - \frac{2}{3} \times 6 = -29$$

$$\therefore \hat{L}(6) = -29, \hat{U}(6) = -24$$

Ex rule 7 ( $x_3=0$ ) :- can't place 3<sup>rd</sup> item in the bag

upper bound :- fractions can't be Allowed

place first item in the bag i.e 4, Remaining weight  
 $12-4=8$

place second item in the bag i.e 6, Remaining weight  
 $8-6=2$

place 5<sup>th</sup> " " " 2 "

$$2-2=0$$

$$\therefore J(7) = -10-15-4 = -29$$

lowerbound:- fraction can be Allowed

place first item in the bag i.e 4, Remaining weight

$$12-4=8$$

place second item in the bag i.e 6, "

$$8-6=2$$

place 4<sup>th</sup> item in the bag i.e 4, "

$$2-2/4$$

$$\therefore J(7) = -10-15-8 + \frac{2}{4} = -29$$

$$\therefore J(7) = -29, \bar{J}(7) = -29$$

$\therefore$  select minimum lowerbound, lowerbounds are same,

select minimum of upper bounds

$$\therefore \min\{J(6), J(7)\} = \min\{-24, -29\} = -29$$

$\therefore$  node 7 is selected

$\therefore$  third object is not selected  $x_3=0$



Consider 4<sup>th</sup> variable

(14)

for node 8 ( $x_4 = 1$ ):- place 4<sup>th</sup> item in the bag

upper bound:- fraction can be allowed

\* place ~~1<sup>st</sup>~~ item in the bag i.e 6, Remaining weight  
 $12 - 6 = 6$

\* place 2<sup>nd</sup> item in the bag i.e 4, " "

\* place 5<sup>th</sup> item in the bag i.e 2,  $8 - 2 = 0$

$$\therefore Z(8) = -4 - 15 - 8 = -27$$

lower bound:- fraction can be allowed

\* place 1<sup>st</sup> item in the bag i.e 4, Remaining weight  
 $12 - 4 = 8$

\* place 2<sup>nd</sup> item in the bag i.e 6, " "  
 $8 - 6 = 2$

\* place 3<sup>rd</sup> item in the bag i.e 3, " "  
 $2 - \frac{2}{3} =$

$$\therefore Z(8) = -10 - 15 - \frac{2}{3} \times 6 = -29$$

for node 9 ( $x_4 = 0$ ):- can't place 4<sup>th</sup> item in the bag

upper bound:- fraction can't Allowed

\* place 1<sup>st</sup> item in the bag i.e 4, Remaining weight  
 $12 - 4 = 8$

\* place 2<sup>nd</sup> item in the bag i.e 6, " "  
 $8 - 6 = 2$

\* place 5<sup>th</sup> item in the bag i.e 2, " "  
 $2 - 2 = 0$



$$\therefore Z(9) = -10 - 15 - 4 = -29$$

Lowerbound :- fractions can be Allowed

\* place 1st item in the bag i.e 4, Remaining weight

$$12 - 4 = 8$$

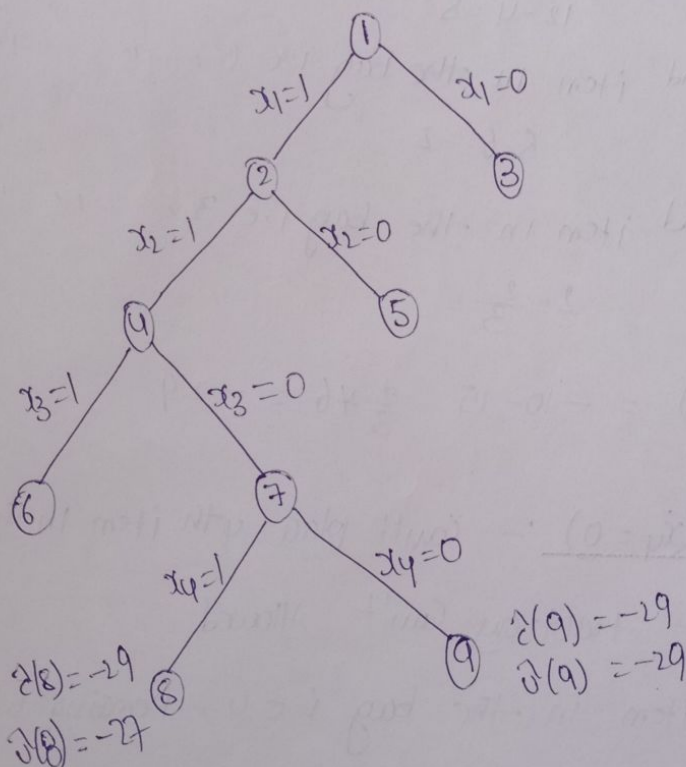
\* place 2nd item in the bag i.e 6, " "

$$8 - 6 = 2$$

\* place 3rd item in the bag i.e 3, " "

$$2 - \frac{2}{3} = \frac{4}{3}$$

$$\therefore Z(9) = -10 - 15 - \frac{2}{3} \times 6 = -29$$



$\therefore$  Since the lowerbounds are same, select the minimum of upper bounds.

$$\therefore \min \{ Z(8), Z(9) \} = \min \{ -27, -29 \}$$

$$= -29$$

$\therefore$  select 9th node. so  $x_4 = 0$

consider 5<sup>th</sup> variable

(15)

for node 10 ( $x_5 = 1$ ) :- place 5<sup>th</sup> item in the bag

upper bound :- transaction can't be Allowed

\* place 1<sup>st</sup> item in the bag  $i \leq 4$ , Remaining weight  
 $12 - 4 = 8$

\* place 2<sup>nd</sup> item in the bag  $i \leq 6$ , " " "  
 $8 - 6 = 2$

\* place 5<sup>th</sup> item in the bag  $i \leq 2$ , " " "  
 $2 - 2 = 0$

$$\therefore \hat{f}(10) = -10 - 15 - 4 = -29$$

lower bound :- transaction be Allowed

\* place first item in the bag  $i \leq 4$ , Remaining weight  
 $12 - 4 = 8$

\* place 2<sup>nd</sup> item in the bag  $i \leq 6$ , " " "  
 $8 - 6 = 2$

\* place 3<sup>rd</sup> item in the bag  $i \leq 3$ , " " "  
 $2 - \frac{2}{3}$

$$\therefore \hat{f}(10) = -10 - 15 - \frac{2}{3} \times 6 = -29$$

for node 11 ( $x_5 = 0$ ) :- 5<sup>th</sup> item can't be placed in the bag

upper bound :- transaction can't be Allowed

\* place 1<sup>st</sup> item in the bag  $i \leq 4$ , Remaining weight  
 $12 - 4 = 8$

\* place 2<sup>nd</sup> item in the bag  $i \leq 6$ , " " "  
 $8 - 6 = 2$

$$\therefore \hat{f}(11) = -10 - 15 = -25$$



Lower bound :- fractions can be Allowed

\* place 1<sup>st</sup> item in the bag i.e 4, Remaining weight-

$$12-4=8$$

\* place 2<sup>nd</sup> item in the bag i.e 6, " "

$$8-6=2$$

\* place 3<sup>rd</sup> item in the bag i.e 3, " "

$$2-\frac{2}{3}=\frac{4}{3}$$

$$\therefore Z(11) = -10-15-\frac{2}{3} \times 6 = -29$$

$\therefore$  select minimum of Lower bounds.

$$\therefore \min \{Z(10), Z(11)\} = \min \{-29, -29\}$$

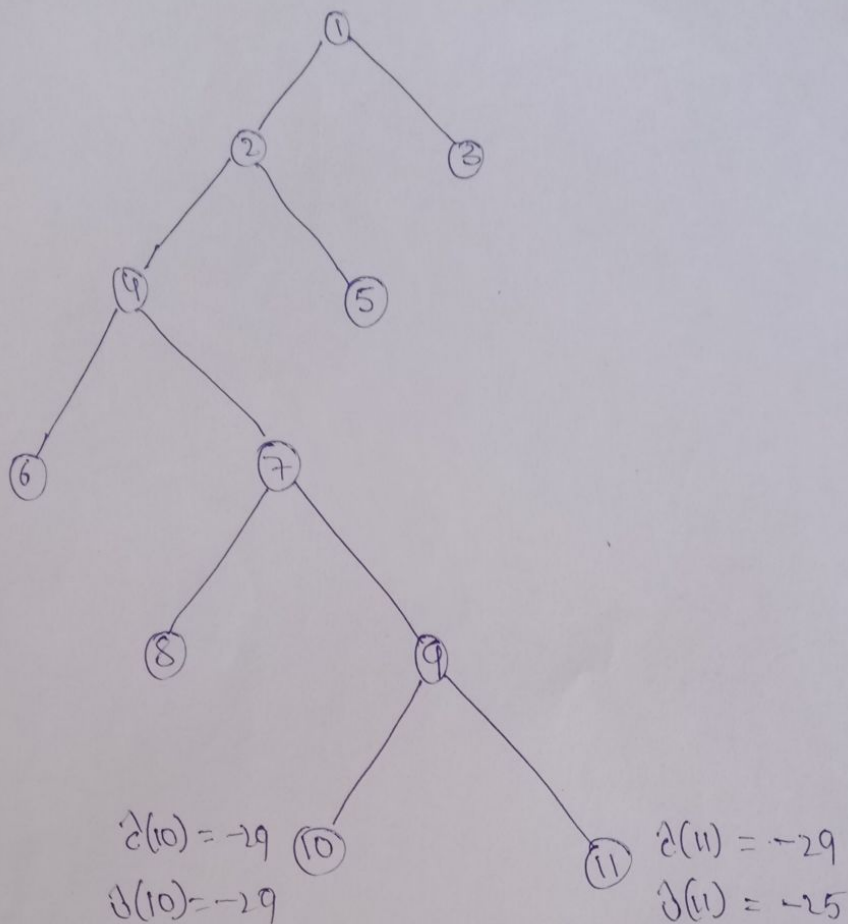
$\therefore$  since lower bounds are same, so select minimum of upper bounds

$$\begin{aligned} \therefore \min \{Z(10), Z(11)\} &= \min \{-29, -25\} \\ &= -29 \end{aligned}$$

$\therefore$  node 10 is selected

$\therefore$  fifth object is selected  $x_5 = 1$





∴ the path is  $1 \rightarrow 2 \rightarrow 4 \rightarrow 7 \rightarrow 9 \rightarrow 10$

∴ the solution for 0/1 knapsack problem is

$$(x_1, x_2, x_3, x_4, x_5) = (1, 1, 0, 0, 1)$$

$$\text{maximum profit} = 10 + 15 + 4 = 29$$

Q1 - Draw the portion of state space tree generated by

LC knap and FIFO knap for the knapsack

Instance  $n=4, m=15, (p_1, p_2, p_3, p_4) = (10, 10, 12, 18)$

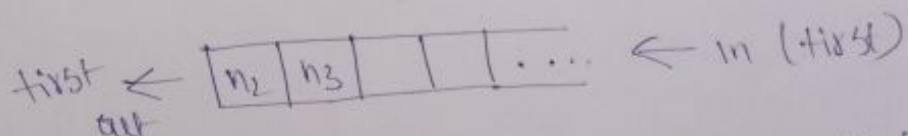
$(w_1, w_2, w_3, w_4) = (2, 4, 6, 9)$

## FIFO Branch and Bound solution

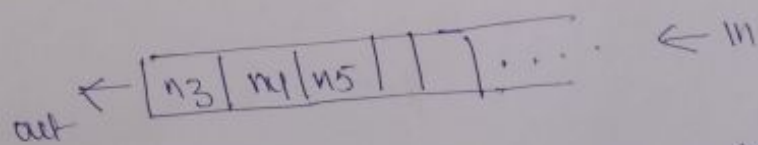
\* The problem is to find the most valuable subset of the items that fit in the knapsack where the given  $n$  items are of known weights  $w_i$  and values  $v_i$ .

$$n = 4, m = 15, (v_1, v_2, v_3, v_4) = (10, 10, 12, 18) \\ (w_1, w_2, w_3, w_4) = (2, 4, 6, 9)$$

\* The FIFOBB Algorithm proceeds with node 1 as the root node and makes it E-node. And hence nodes 2 and 3 are produced and therefore node 2 and 3 are sent to queue.



\* As  $n_2$  is staying first in the queue, it becomes E-node and it produces node 4 and 5 as children. And node 4 and 5 are sent to queue.



\* As  $n_3$  is staying first in the queue, it becomes E-node, and it produces node 6 and 7 as children, and node 6 and 7 are sent to queue.

