

# Exploring Data Security on Microprocessor Hardware

*M.Tech Thesis*  
*Submitted in partial fulfillment of*  
*the requirements for the degree of*  
***Dual Degree Project***  
*by*

**Meet Udeshi**  
(Roll No. 14D070007)

Supervisor:  
**Prof. Virendra Singh**



Department of Electrical Engineering  
Indian Institute of Technology Bombay  
Mumbai 400076 (India)

25 June 2019

# Abstract

The current trends in computer architecture are increasingly focusing on sharing computing resources among multiple programs and users. Multiple programs can share a single core using simultaneous multi-threading which is widely supported by most processors and OSes. Virtual machine technology allows running multiple OS instances on the same processor. While the software and hardware of VMs or multi-threading OS is able to isolate illegal access of data to prevent exploits, it cannot prevent the leakage of sensitive data via side-channels which exist due to design flaws in shared hardware like caches, branch predictors, prefetchers. Attackers have successfully been able to extract encryption keys of various cryptographically secure algorithms like AES and RSA. These leakages are possible and viable because hardware design does not take care of the security against such side-channels. Moreover, software trojans can use these leakages to create a covert channel of communication unknown and undetectable by the OS and any software anti-viruses. Also, software exploits like return oriented programming and buffer overflow attacks can be thwarted more effectively with hardware solutions rather than software defenses. It has become increasingly necessary to consider data security as an important metric for hardware design.

This thesis first gives a summary of the various side-channel attacks using shared hardware which are present in literature. The summary gives a motivation for including hardware security as an important aspect of hardware design. An implementation of a reverse-engineering attack is described to extract parameters of the caches present in X86 cores. A denial-of-service attack on the prefetcher is described, followed by its implementation and test results. A hypothetical side-channel using the shared reorder buffer on SMT cores is presented.

# Table of Contents

<b>Abstract</b>	<b>i</b>
<b>1 Introduction</b>	<b>1</b>
<b>2 Side Channel Attacks</b>	<b>3</b>
2.1 Data dependent execution in encryption algorithms . . . . .	3
2.2 Cache side channel . . . . .	4
2.3 Prime+Probe . . . . .	5
2.4 Flush+Reload . . . . .	6
2.5 Reverse engineering cache parameters . . . . .	7
2.5.1 Experimental setup . . . . .	8
<b>3 Covert Channel Attacks</b>	<b>11</b>
3.1 Cache channels on GPGPU . . . . .	11
<b>4 Mitigations against Cache side channels</b>	<b>13</b>
4.1 Partition-locked cache . . . . .	13
4.2 Random permutation cache . . . . .	14
4.3 Intentional Cache pollution . . . . .	15
4.3.1 Disruptive Prefetching . . . . .	15
4.3.2 Context sensitive decoding . . . . .	16
<b>5 Disabling Prefetcher to Amplify Side Channels</b>	<b>17</b>
5.1 Motivation . . . . .	17
5.2 Attack Vectors . . . . .	18
5.3 Attacker Implementation . . . . .	19
5.3.1 Full Attacker . . . . .	19
5.3.2 Targeted Attacker . . . . .	21
5.4 Simulation . . . . .	22
5.5 Results . . . . .	22

*Table of Contents*

iii

---

5.6	Conclusion . . . . .	23
5.7	Future Scope . . . . .	24
<b>6</b>	<b>Side-channel using Reorder Buffer</b>	<b>25</b>
<b>7</b>	<b>Conclusion</b>	<b>26</b>

# Chapter 1

## Introduction

In recent years, it has become difficult to keep up with Moore's law using conventional transistor scaling. Computer architecture has shifted focus from optimizing single-thread performance to increasing throughput by running multiple threads and multiple programs simultaneously. The current trends are increasingly focusing on sharing computing resources among multiple programs and processes. Multi-thread and multi-core processors are commonplace in personal computers and mobile phones, even embedded devices. In cloud computing, technologies like virtual machines and virtual environments are allowing multiple different programs to share the same computing resources. These shared resources include all the structures inside cores and multi-core processors which can be accessed simultaneously by threads colocated on a single core, or even processes on two different cores. This poses a threat to the data security of many critical processes which run in such a shared context.

Attackers with the right knowledge and tools can leverage hardware implementation flaws in the design of these shared resources to extract data from a victim process via undetectable side-channels. Malicious trojans can use these shared resources to construct covert-channels to establish inter-process communication undetectable by the core or OS. With the rapid increase of need of powerful computation resources, GPUs have been extended to support general purpose computing. More recently, multiple processes are able to share the GPGPU resource and this opens up a new domain of security attacks which can be mounted on GPGPUs.

With the recent Meltdown (11) and Spectre (12) attacks capable of compromising any Intel core regardless of the OS, it is obvious that along with power and performance, design of computer architecture needs to consider data security as an important metric. Moreover, a lot of software based attacks like buffer overflow and return-oriented programming can be thwarted effectively using additional hardware structures. Hardware

support for security against software exploits is an efficient mitigation and should also be considered when designing processors.

A lot of side-channel attacks are based on caches due to their common accessibility between different programs. Cache accesses are abstracted away from the program hence OS-level access-restrictions do not apply on them. Caches also have a well-defined memory to cache-line mapping which is used by the attacker to infer memory addresses. The time-difference between a cache-hit versus a cache-miss is also noticeable enough to be detected by an executing program. These characteristics make the cache vulnerable to side-channel leakages (9). Cache designs which try to avoid any one of these characteristics lead to severe performance degradation. For example, if the memory to cache-line mapping is to be avoided, a fully-associative cache may be used instead of a direct-mapped or set-associative cache. But a fully-associative design limits the cache-size to a value much smaller than that desired by modern programs. In fact, the decision of moving from fully-associative caches to set-associative caches was done to make larger cache-sizes feasible on modern hardware, and that decision cannot be undone only for security measures without major impact on performance.

Cache designs like Newcache (8) try to achieve the same level of performance while also preventing side-channel leakage. There are other security methods which add enough noise to the cache to disrupt any side-channel. The Disruptive Prefetching (9) method utilises the function of prefetcher to generate random memory accesses to confuse an attacker while not interfering with program execution and performance. Another method introduces a new context-sensitive decoder (10) to mask legitimate memory access instructions with extra random accesses during instruction decode.

Side channels work best when only the targeted region of code of the victim is making memory accesses. While it is possible to prevent other programs and victim's irrelevant code from interfering, hardware which generates memory accesses like the prefetcher are difficult to stop. The thesis presents an attack on the prefetcher which tries to completely disable it from generating any memory accesses. This will help enhance the side channel to facilitate better and faster key extraction.

A new potential side-channel which exploits shared Reorder Buffer (ROB) in SMT cores is presented. ROB is one shared resource which hasn't been analysed before for potential side-channel leakages. A scenario is shown where stalls in one thread can affect IPC of another thread sharing the same core.

# Chapter 2

## Side Channel Attacks

Shared resources of the processor can leak information about the tasks being performed in it as shown in Fig. 2.1. This leaked information may be extracted by an attacker using various means. The attacker will try to use some form of measurement like cache hit/miss, time of execution, power consumption, EMI spikes to determine what part of code is running or what data is being processed (1). These kind of attacks have been proven to be effective on cryptography algorithms.

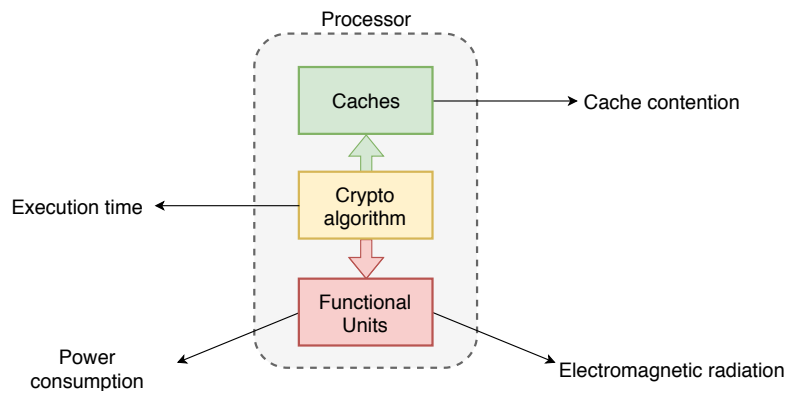


Figure 2.1: A number of side channels which are capable of leaking data.

### 2.1 Data dependent execution in encryption algorithms

Encryption standards like RSA, ECDSA, AES have been implemented in programs in a way which causes certain branches and memory access patterns to be dependent on the secret key. By using side channels to analyse which branch was taken or detect which memory address was loaded, it is possible to decode the secret key. For example, Listing 2.1 shows the key-dependent branch of fast exponentiation part of RSA. Fast exponentiation works by repeated squaring and multiplying when bit of the exponent is 1. In RSA, the

secret key is used as the exponent hence we get a bit-by-bit difference in executed code. When analysing power trace or measuring timing of the execution of this part of code, we can infer that higher power consumption and larger execution time occur when key bit is 1. This proves that there is information being leaked bit by bit.

Listing 2.1: Key-dependent branch of fast exponentiation used in RSA

```
while (key > 0) {  
    e = key % 2;  
  
    Square ();  
    Reduce ();  
    if (e == 1) {  
        Multiply ();  
        Reduce ();  
    }  
  
    key >>= 1;  
}
```

In algorithms like AES and DES, P-box and S-box are used for fast permutation and substitution. They essentially store a mapped permutation or substitution for each key value. This means that during execution, AES algorithm will access various different blocks from S-box and P-box memory region depending on the key which is being used. If we can trace these memory accesses in some way, we can infer the secret key. Memory buses leak data about the address via EMI channel, and by analysing that we can get a trace of the memory access pattern. A better and more effective way of obtaining memory access patterns is by analysing the cache.

## 2.2 Cache side channel

All the threads running in a single core use the same L1 caches inside that core. Processes running on two different cores in a multi-core processor share the Last level cache. The data access patterns of a process leaves behind fingerprints in the cache. Because of set-associativity, if we can determine which cache line is being accessed by the process, we can determine the actual address which was accessed.

This is done without ever having to read the actual cache line, by causing contention on that cache line by an attacker process (2). When the attacker and victim are both trying to



use the same cache line, the attacker will get noticeable difference in execution time due to cache misses. There are various ways in which a cache side channel can be created.

## 2.3 Prime+Probe

The steps followed by Prime+Probe attack are as follows:

1. Attacker primes the cache line by loading his own data which .
2. Victim process runs and accesses memory mapped to same cache line, hence evicting attacker's data.
3. Attacker probes the cache line by reloading the same data, and looking for a cache hit/miss.

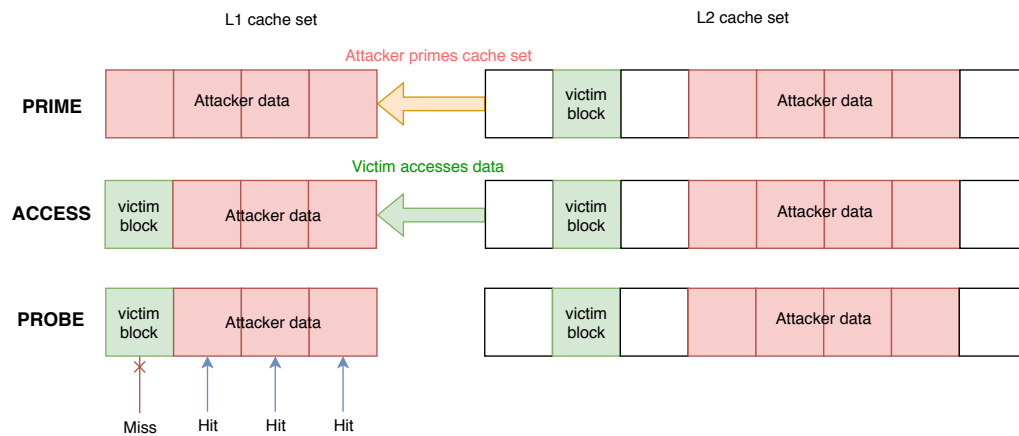


Figure 2.2: Example of a prime-probe attack on single L1 cache set. Miss in the PROBE step can be noticed by increased execution time

A miss in the probe step results in increased code execution time for the attacker, which it can easily measure by reading the Time Step Counter present in many modern cores. As shown in Fig. 2.2, attacker has to prime the entire cache set (all ways) for a successful attack. For analysing the victim's every memory access, attacker needs to prime the entire cache. This priming step leads to a lot of cache misses and can be tracked by event counters and trigger security exceptions when the cache misses reach an alarming amount. Moreover, in cases where attacker and victim are not colocated on the same core, such an attack would have to use a lower level of shared cache like LLC. The probing step requires LLCs to be fully inclusive else the victim will not evict attacker's data from the LLC and not lead to the required cache miss.

## 2.4 Flush+Reload

Flush+Reload is a side channel attack on caches which relies on the `clflush` instruction present in X86 ISA (and similar variants in other ISAs). Flush+Reload is able to work at a finer granularity than Prime+Probe. It is also able to successfully mount cross-core attacks via the LLC.

1. Attacker flushes a cache line using `clflush`.
2. Victim process runs and accesses memory hence loading the flushed block into cache.
3. Attacker reloads the same data, looking for a cache hit/miss.

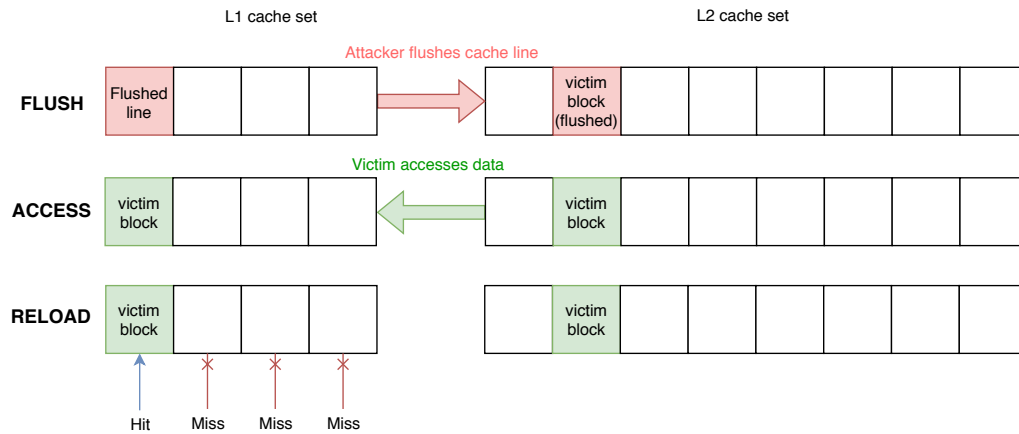


Figure 2.3: Example of a flush-reload attack on single L1 cache set. Hit in the RELOAD step can be noticed by decreased execution time

As seen in Fig. 2.3, the granularity of Flush+Reload is at cache line level rather than cache set level. This happens because the attacker tries to access the same data as the victim, instead of creating contention with other data mapping to the same cache set. Accessing same data is possible because majority of encryption algorithms are provided as system-wide shared libraries. Both the code and data regions of these libraries can be accessed by all processes. As opposed to Prime+Probe, this makes Flush+Reload a very practical and efficient attack. Flush+Reload is able to achieve greater granularity and accuracy due to it scanning for Cache Hit instead of Cache Miss.

Flush+Reload is also effective on LLCs because inclusivity will not affect `clflush` behaviour, hence attacker will get an LLC hit when the victim process accessed data. This opens up possibility of mounting a Cross-VM attack (3) like shown in Fig. 2.4

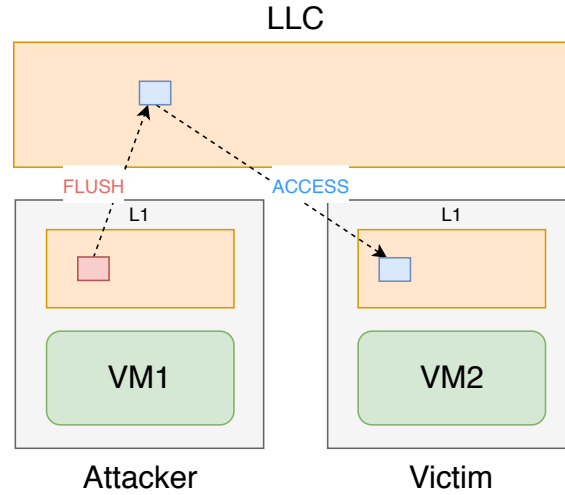


Figure 2.4: Flush+Reload via the LLC enables mounting Cross-VM attacks. This exploit is extremely significant in cloud computing environments

## 2.5 Reverse engineering cache parameters

This implementation of reverse engineering cache parameters is based on (5). In that paper, Wong et al show how using a stride access pattern over an array to trigger a predictable number of cache-misses. By measuring latency of stride access, we can get an idea of the number of cache misses.

For a given array size, we need to feed in the stride pattern into the array i.e. `array[i]` should contain location of `array[i+STRIDE]`. We can create such an array pattern offline before starting timing measurements. In this way, we can do a linked-list like traversal of the array without needing to calculate next stride location online. Listing 2.2 shows how one can create the array with a stride pattern.

Listing 2.2: Offline formation of array with stride access pattern

```
size_t* array; // malloced beforehand
size_t t;

for (int i=0; i<array_size; i++) {
    t = i + STRIDE;
    if(t >= array_size) t %= STRIDE;
    array[i] = (size_t)array + sizeof(size_t)*t;
}
```

For measuring timing of the array access, `rdtsc` instruction is used to get a reading of the Time Step Counter before and after accessing the array. The difference is plot versus

array size. Listing 2.3 shows how to traverse the array using the stride access data stored in it. The `next_ptr` variable stores pointer to next element to access. It is dereferenced and the loaded data is again stored into `next_ptr` for the next iteration.

Listing 2.3: Timing measurement of stride access over the entire array

```
long start = __rdtsc();
size_t* next_ptr = &array[0];
for(int i=0; i<MAX_ITERS; i++) {
    next_ptr = *((size_t**)next_ptr);
}
long time = __rdtsc() - start;
```

Fig. 2.5 shows a plot of latency vs array size. The latency plot stays constant initially until an array size which fills up the whole cache. Once that happens, some lines in the cache start getting evicted and we see a steep rise in latency. After any rise, the latency stays constant for the line size of the cache. This is obvious because any access in the same cache line will incur same total latency as there will only be one cache miss. This latency rise occurs once for each cache set, because as long as there are new cache sets to evict, there will be misses. The latency increase stops when one whole way of the cache is replaced once by the array access. The starting point of latency increase gives us cache size. The step width gives us line size. Number of steps gives number of sets, but that is hard to clearly determine when noise is present in measurements. Thus we determine way size by looking at the point where the latency plot flattens out again. Then  $\text{sets} = \frac{\text{waysize}}{\text{linesize}}$ .

### 2.5.1 Experimental setup

For all cases, stride of 64B was used.

One set of simulations was done using gem5 simple CPU and configurable cache sizes. This was done for testing out the algorithm. Fig. 2.5 was plot for L1 data cache of 1KB size, 2-way, 64B line size. Fig. 2.6 was plot for L1 data cache of 16KB size, 4-way, 64B line size.

The same algorithm was run on Intel Skylake i5-6500 processor with L1 cache of 32KB size, 8-way, 64B line size. The latency plot is shown in Fig. 2.7. As is seen, there is some amount of noise due to Out-of-Order processing and other programs interfering with the execution of the latency measurements. Despite the noise, we can clearly make out the steps, beginning of the latency increase, and way size. This gives us every parameter required for the cache.

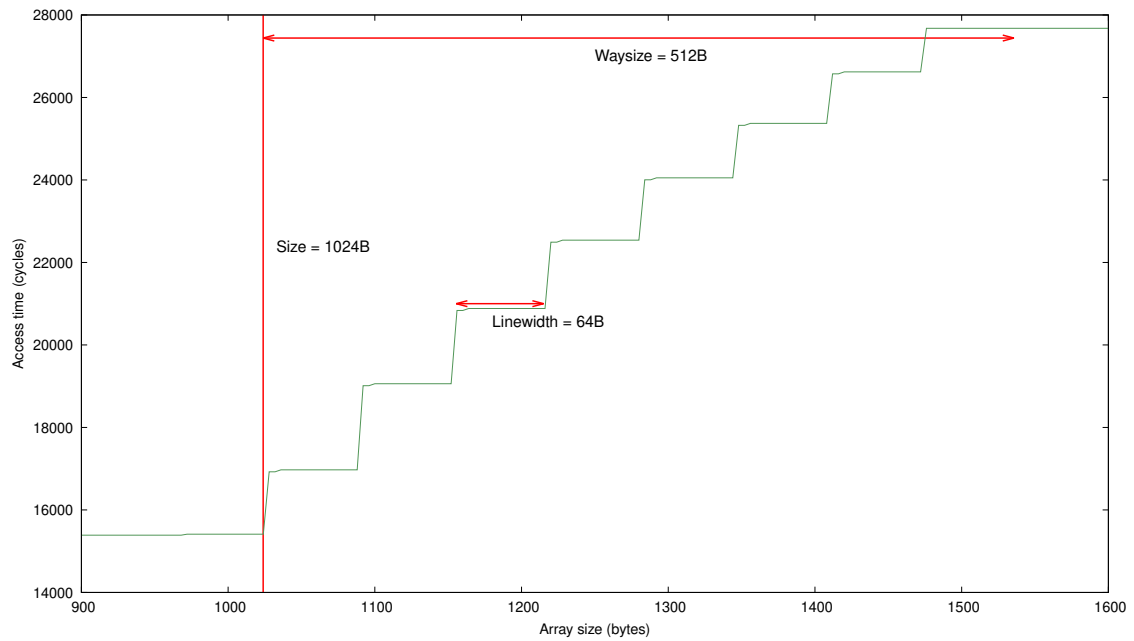


Figure 2.5: Latency vs. Array size plot for a 1kB 2-way cache with 64B cache line.

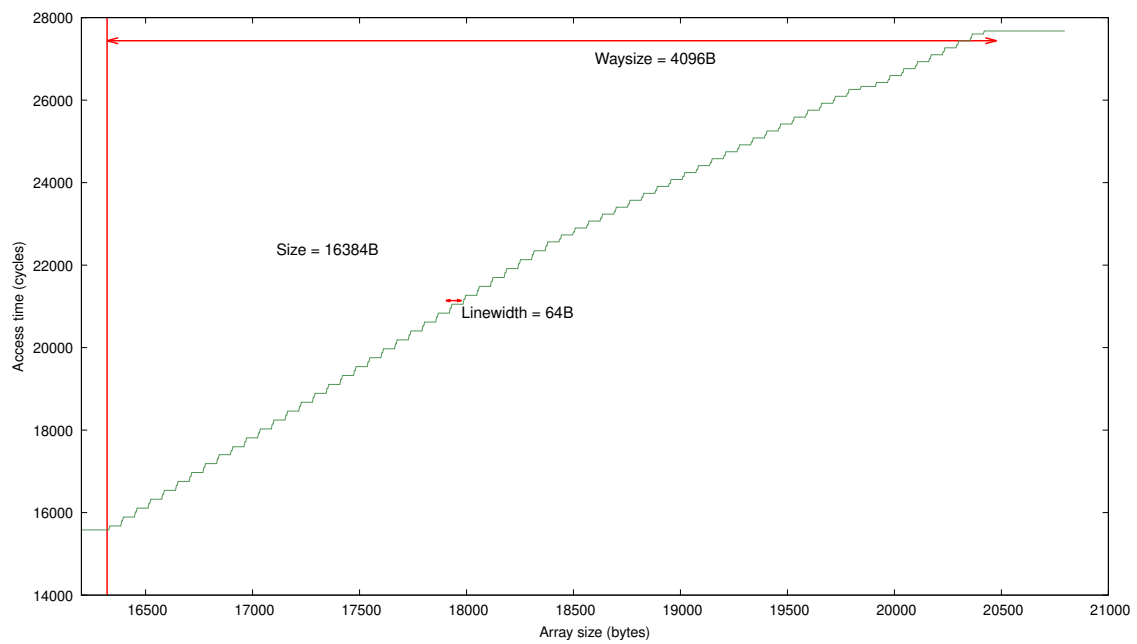


Figure 2.6: Latency vs. Array size plot for a 16kB 4-way cache with 64B cache line.

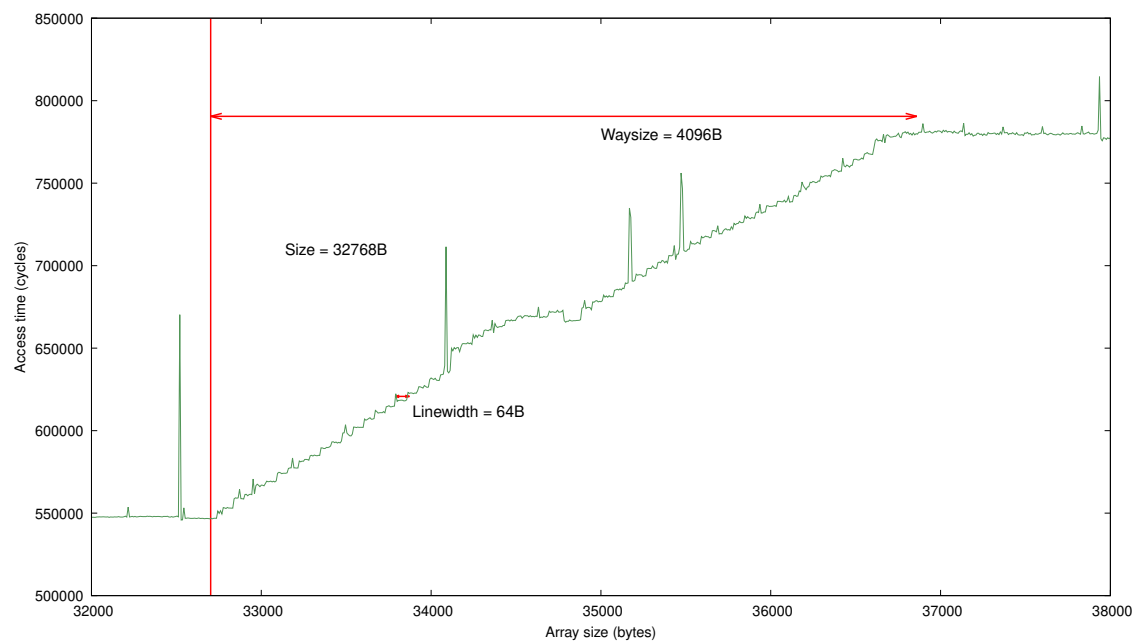


Figure 2.7: Latency vs. Array size plot for a 32kB 8-way cache with 64B cache line. Run on Intel Skylake i5-6500

# Chapter 3

## Covert Channel Attacks

### 3.1 Cache channels on GPGPU

GPGPUs have a massively parallel architecture which allows for SIMT workloads to efficiently run. Apart from graphics and display use-cases, GPGPUs are being used for parallel computation using frameworks like CUDA and OpenCL. GPGPUs in cloud services are specifically designed for such computational use-cases. Nvidia GPGPUs have recently started to support concurrent kernel execution at SM level, which allows multiple programs to simultaneously use the GPGPU resource. In this shared context, one must look at side channels which can be exploited.

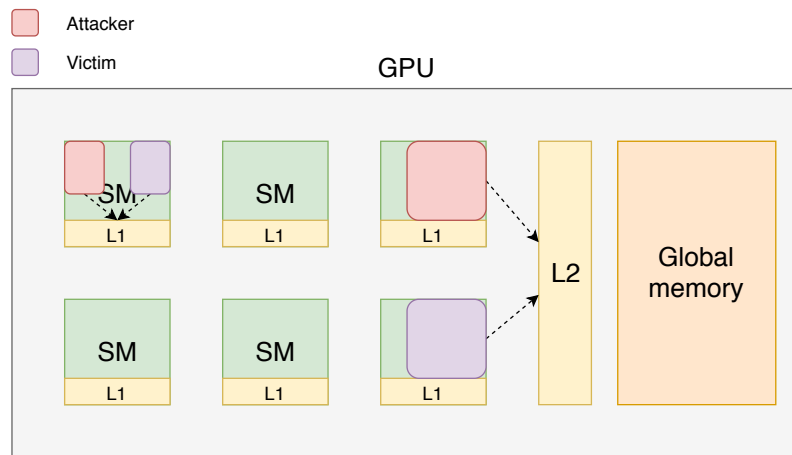


Figure 3.1: GPGPU memory layout. Attacker and Victim colocation allows using L1 and L2 caches as side channels.

The structure of GPGPU memory layout is shown in Fig. 3.2. Every SM contains a private L1 cache, and all SMs share an L2 cache. The Global memory contains multiple types of memory division like Constant memory, Texture memory etc. Concurrent kernel execution allows co-location of different kernels on same SM. Due to resource constraints,

kernels could also run on two different SMs simultaneously. The first case allows attacker to use L1 cache as side channel, and in the second case attacker has to use L2 cache.

Mounting a side channel attack on AES is possible on GPGPU because of existing implementations of AES for GPGPU. However, there are not many cases where encryption algorithms are run on GPGPUs. So these side channels are used as covert channels instead. Covert channels use the same methods as side channel but they are used to set up communication between two malicious programs. Such covert channels can be useful to leak data to third parties without the OS or hardware detecting malicious behaviour.

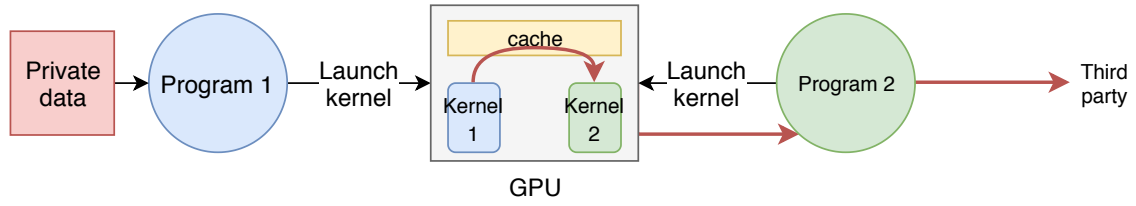


Figure 3.2: Structure of covert channel via GPGPU.

Naghijouybari et al in (4) achieve communication speed of over 4Mbps using a combination of L1 cache contention and SFU contention as covert channels on multiple Nvidia GPGPU architectures. They have used the inherent parallelism in GPGPUs to multiply the speed of the created covert channels by opening parallel communication channels on each SM.

A critical part of their attack is reverse engineering various parameters of GPGPU architecture. To use caches as a side channel, we need to know all parameters of the cache structure. We also need to know of the warp scheduling policy to control colocation of two different kernels on same SM.



# Chapter 4

## Mitigations against Cache side channels

Software based mitigations against cache side channels involve changing the implementation of each encryption algorithm to avoid leaking data. But that is only possible for a specific set of known attacks, and it is unavoidable for any software to not leave some kind of fingerprint in the shared resources.

A proper solution involves changing hardware design of caches so that one process doesn't affect other processes via its cache accesses in a predictable way.

### 4.1 Partition-locked cache

Cache partitioning is a naive way of isolating processes from interfering with each other's cache accesses. A partitioned cache will let only one process access a single partition at a time (6). If we partition the cache statically, it is equivalent to having a private cache for every thread on the core. This either leads to huge power and area usage or high drop in performance.

Wang et al have proposed a dynamically partitioned cache in (7). As seen in Fig. 4.1, they add extra bits to every cache line to determine whether line is "Locked" and "ID" of thread which locked it. A modified cache replacement policy takes into account these bits when replacing any line. This ensures that locked lines can only be replaced by the process that locked them. Hence, other processes will not be able to interfere with locked lines.



Figure 4.1: A single cache line of PLCache

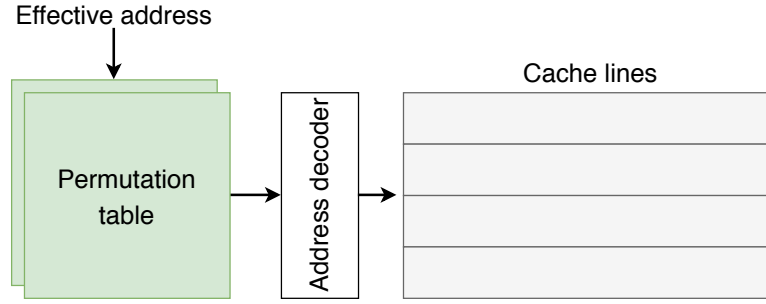


Figure 4.2: Address decoding in RPCache

For implementing the PLCache, the hardware level changes include extra bits for every cache line and a change of the replacement policy. However, PLCache requires addition of special locked-load/store instructions to the ISA. This also means OS has to look over which process gets to use them as a fairness measure. If unchecked, attackers can intentionally lock lines which will hinder performance of other programs. Overuse or abuse of the locking feature can lead to severe performance degradation, if not checked by the OS.

PLCache is better than static partitioning in that it allows locked partitions of the cache to be assigned dynamically. But it has certain drawbacks in terms of implementation.

## 4.2 Random permutation cache

Random-permutation cache is another cache design proposed by Wang et al in (7). They have added a redirection step in the address decoder of caches which uses a random permutation table as seen in Fig. 4.2. The permutation table essentially randomises the cache line in which an address will be stored. The size of permutation table is larger than cache size (in terms of number of lines) such that there is lesser aliasing in the permutation table. Moreover, the replacement policy is modified to update the permutation table for every replacement, hence an attacker will not be able to decode the mapping.

RPcache is also able to mark sensitive data using "Lock" bits which are derived by page protected bit. This is possible without any modification to ISA hence it is better than PLCache. The only drawback of RPCache is the added step in address decoding, which will increase cache latency by one or two cycles. This may not affect L2 or L3 caches much but it will drastically change performance of L1. To overcome this Wang et al propose optimisations to the gate-level hardware of the decoder. They also propose an improved cache architecture called Newcache (8) which overcomes these issues while not losing in power and performance.

## 4.3 Intentional Cache pollution

Cache pollution happens when unnecessary data resides in cache and evicts important data which is being used by processes. It happens generally due to poor design and designers will try to avoid it as much as possible, by using smarter replacement policies.

From a security perspective, we can use cache pollution to our advantage by introducing enough noise in a cache side channel such that it hides leaked information. There are multiple ways of intentionally polluting the cache. Two such ways are presented below.

### 4.3.1 Disruptive Prefetching

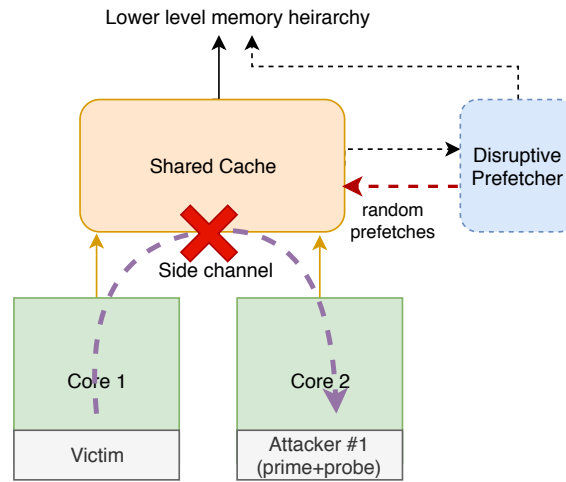


Figure 4.3: Disruptive prefetcher preventing side channel leakage

Pre-fetchers are hardware blocks which were originally designed to hide memory access latency by guessing the need of certain memory address based on previous memory access patterns. Memory locations guessed by the pre-fetcher are loaded into cache so that when the code execution requires that memory, it gives a cache hit instead of miss. Pre-fetchers like Stride pre-fetcher and GHB pre-fetcher are based on finding patterns in the previous memory accesses and guessing that the next locations in that pattern will be accessed. Fuchs et al in (9) introduce additional steps to the prefetchers to increase the randomness in the memory access pattern. They randomise the pattern sequence and degree of prefetching to intentionally pollute the cache with unnecessary data. This will degrade the performance of non-malicious programs by a bit, but will terribly disrupt any side channel established by an attacker. For example, in Prime+Probe attack, the attacker will not know whether the victim or the pre-fetcher evicted its block from the cache, hence it will wrongly trace the memory access of the victim. In the same way, Flush+Reload would get false cache hits which were not caused by the victim.

In Fig. 4.3, there is a side channel established between Victim and Attacker process. The shared cache has a disruptive prefetcher which is continuously introducing random prefetches on every access (prefetcher hit or miss). This is causing the Prime+Probe attack to detect other cache locations which were not accessed by the victim but because of these random prefetches.

### 4.3.2 Context sensitive decoding

A lot of modern processors use decoders to convert from ISA to an internal instruction representation. Most popularly Intel converts from x86 ISA to microcode using a microcode cache mapping table. Taram et al explore in (10) if a custom decoder can be used to improve the security of certain programs. They use the decoder to introduce decoy instructions in the pipeline. These decoy instructions will change the timing characteristics of the executing program, they will pollute the cache by running decoy loads and will disrupt attackers attempting side channel or timing attacks. Their implementation, as seen in Fig. 4.4 includes adding custom decoder hardware, and a few changes to the microcode mapping table (of which there exists an established update procedure), and a few model specific registers to control the context of the program. They show their method to be effective in stopping I-Cache and D-Cache side channel attacks against RSA and AES.

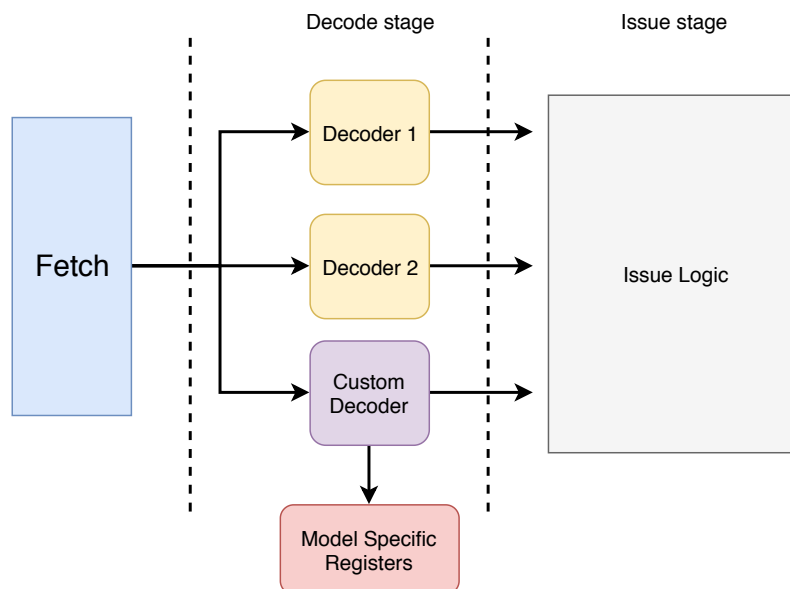


Figure 4.4: Custom decoder for context sensitive decoding

# Chapter 5

## Disabling Prefetcher to Amplify Side Channels

Cache side channels are well known for being effective in extracting data from modern cryptographic ciphers. Attackers try to generate collisions with a victim program sharing the same cache, and study their own cache timings to infer the victim's memory accesses. Some other hardware accessing the cache, e.g. prefetcher, degrades the quality of the side channel by introducing false positives in the attacker's data. This paper describes a method to disable the prefetcher by preventing it from generating memory accesses and interfering with side channels running in the cache. An attacker implementation is designed to work on a Stride Prefetcher. Results show that it is able to significantly reduce the number of prefetches generated to almost 0.

### 5.1 Motivation

An attacker program using the cache as a side channel tries to force collisions with the victim program by making accesses which alias to the same cache lines (? ). Time taken for subsequent accesses to these cache lines differs and is used to determine whether there was a successful collision or not. This data is further used to infer whether the victim accessed a particular cache line or not, thus leaking data about the data of the program. Different implementations of the side channel look for either a cache hit or a cache miss as a sign of successful collision. The Prime+Probe attack fills the cache lines in a set with data other than that being accessed by the victim. Any access by the victim to that set will cause attacker's data to be evicted, which will show up during the Probe step as a cache miss (? ). Similarly, the Flush+Reload attack looks for a cache hit to the same data as the victim. A cache hit in the Reload step is inferred as successful collision (? ).

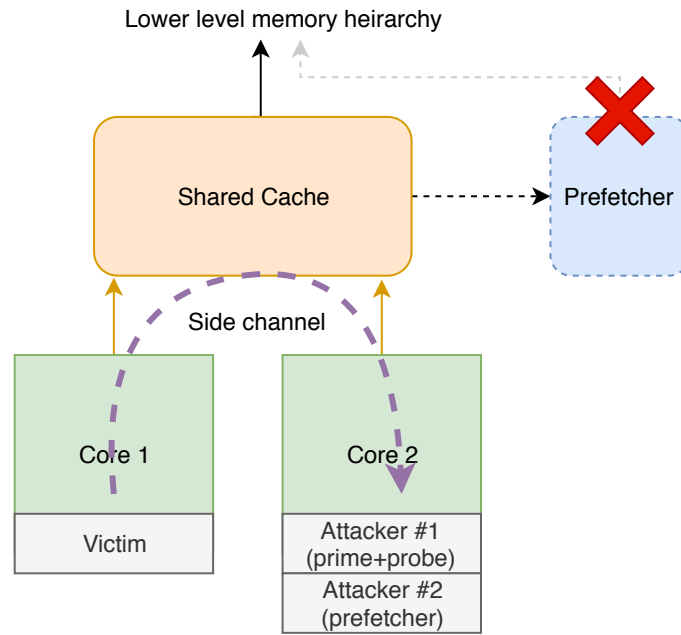


Figure 5.1: Prevent prefetcher from issuing memory accesses

The attacker assumes a scenario where only the victim is making memory accesses, hence is able to deduce the memory access pattern. If there is another program or hardware making memory accesses, they will surely interfere with the side channel. After obtaining a successful collision, there is no way for the attacker to distinguish whether the source of this collision was truly the victim. Fuchs et al ( ? ) introduce a Disruptive Prefetcher which generates spurious memory accesses, making the victim's accesses indistinguishable for any attacker.

This paper focuses on a way to disable the prefetcher and significantly reduce the number of generated prefetches. With a separate attacker focusing on disabling the prefetcher, it becomes extremely unlikely for the side channel attacker to see a collision with a prefetcher generated access. This enhances the side channel and can enable faster and better data retrieval. The attack implementation has been designed specific to a Stride prefetcher ( ? ). However, the implementation can be used as-is or easily extended to apply to any PC-indexed prefetcher table.

## 5.2 Attack Vectors

A Stride Prefetcher tries to identify load instructions which have a pattern with constant distance between accesses i.e. a fixed stride. The prefetcher table stores entries containing PC address of the load instruction, the last accessed memory address, the stride value and a confidence counter. The table is indexed using the load PC, which leads to aliasing be-

tween multiple PCs. Higher the value of the confidence counter, higher is the probability that the next access follows the same stride pattern currently stored. The prefetcher generates memory accesses when it sees an entry with high enough confidence. Every entry needs to be prevented from reaching this condition to disable the prefetcher. This design exposes two attack vectors which the attacker can use to prevent entries from gaining high confidence.

**Evict Table Entries:** The attacker can keep creating many new entries in the table, and the prefetcher will be forced to evict older entries of the victim which have gained high confidence. When the victim's entry is added again to the table, it will start from a lower default confidence and will have to go through the training phase again. If the victim's entry is quickly evicted by the attacker's entry, it can never gain enough confidence to generate memory accesses.

**Decrement Confidence:** The prefetcher calculates the new stride value for every access using the last address. When this new stride differs from the last stride stored in the entry, the confidence counter is decremented and the old stride is replaced with the newly calculated one. This helps to keep confidence low for the attacker's entries and ensures that there are not accesses generated due to the attacker.

These two attack vectors are utilised to implement an attacker whose target is to reduce the memory accesses generated by the prefetcher to zero.

## 5.3 Attacker Implementation

To create new entries in the table, every load executed by the attacker has to come at a new PC address. A single load inside a loop will only create one new entry. The attacker binary is created such that a large number of load instructions are placed at different PC addresses. There need to be enough load instructions properly located at different PC addresses so that, after aliasing, every location in the prefetcher table is accessed atleast once.

It is generally the case that the prefetcher is accessed only on cache miss. To ensure that the attacker's loads generate a cache miss the memory address is flushed from the cache hierarchy using `clflush` instruction (? ).

### 5.3.1 Full Attacker

The full attacker is designed in a way to target the whole prefetcher table, without considering the victim program running. It targets to disable every entry in the table by

keeping the confidence value low. Multiple load instructions have to be placed at different PC addresses so that each entry in the table is aliased to atleast once. Considering a set-associative table, the set-indexing bits of the PC are identified. Single load instruction in x86 is of 3 bytes. The corresponding `clflush` instruction is of 4 bytes. An extra `nop` instruction has been added with the pair to round up the PC increment to 8 bytes. A large enough sequence of these set of instructions, with extra `nop` instructions wherever required, is generated to ensure aliasing to every entry in the table. It is important that each entry gets a nearly equal number of hits from the attacker, to be properly effective. The size of 8 bytes of the set of instructions helps in this versus 7 bytes.

Listing 5.1: Full Attacker disassembly: load misses at different PCs

```
000000000000006ca <attack>:
...
6ce: 8b 58 36      mov     0x36(%rax),%ebx
6d1: 90             nop
6d2: 0f ae 78 36    clflush 0x36(%rax)
6d6: 8b 58 08      mov     0x8(%rax),%ebx
6d9: 90             nop
6da: 0f ae 78 08    clflush 0x8(%rax)
6de: 8b 58 3f      mov     0x3f(%rax),%ebx
6e1: 90             nop
6e2: 0f ae 78 3f    clflush 0x3f(%rax)
6e6: 8b 58 38      mov     0x38(%rax),%ebx
6e9: 90             nop
6ea: 0f ae 78 38    clflush 0x38(%rax)
6ee: 8b 58 20      mov     0x20(%rax),%ebx
6f1: 90             nop
...
```

Listing 5.1 shows a part of the disassembly of the binary generated. The full attacker takes time to run a single iteration of the attack because of the repeated cache misses. An attacker targeting a 16-set 4-way prefetcher table requires 128 load instructions. When each of these loads gives a cache miss, the latency of the attacker becomes very high. While one iteration of the attack is running, it is possible that some of the victim's loads can re-enter the table and build up a high enough confidence to generate prefetches. This will be seen in the results in Section 5.5.



### 5.3.2 Targeted Attacker

A faster implementation is required which can quickly evict such notorious loads of the victim program. When the victim program is known, it is possible to predict which loads will be able to re-train the prefetcher very quickly. The victim program is simulated and its memory access pattern is recorded. This pattern when applied to a simulated model of the prefetcher gives an idea about the load instructions which are likely generate the most prefetches. The targeted attacker is tailored to these load instructions and leaves the rest of the prefetcher entries untouched. The targeted attacker is generated by filtering out unnecessary load instructions from the full attacker binary and replacing them by nop instructions. This leads to a binary with few load instructions scattered and filled with nop slides. A binary generated for hitting 2 load instructions of the victim requires 16 loads compared to the 128 loads of the full attacker. This reduces the latency of the attacker significantly and makes the attacker more effective against a victim program with few notorious loads.

Listing 5.2: Targeted attacker disassembly: loads at aliased PCs

```
000000000000006ca <attack>:
    ...
6d9: 90          nop
6da: 8b 58 0f    mov     0xf(%rax),%ebx
6dd: 0f ae 78 0f  clflush 0xf(%rax)
6e1: 90          nop
6e2: 90          nop
6e3: 90          nop
6e4: 8b 58 3c    mov     0x3c(%rax),%ebx
6e7: 0f ae 78 3c  clflush 0x3c(%rax)
6eb: 90          nop
6ec: 90          nop
    <nop slide> ...
6f7: 90          nop
6f8: 8b 58 2f    mov     0x2f(%rax),%ebx
6fb: 0f ae 78 2f  clflush 0x2f(%rax)
6ff: 90          nop
    ...
```

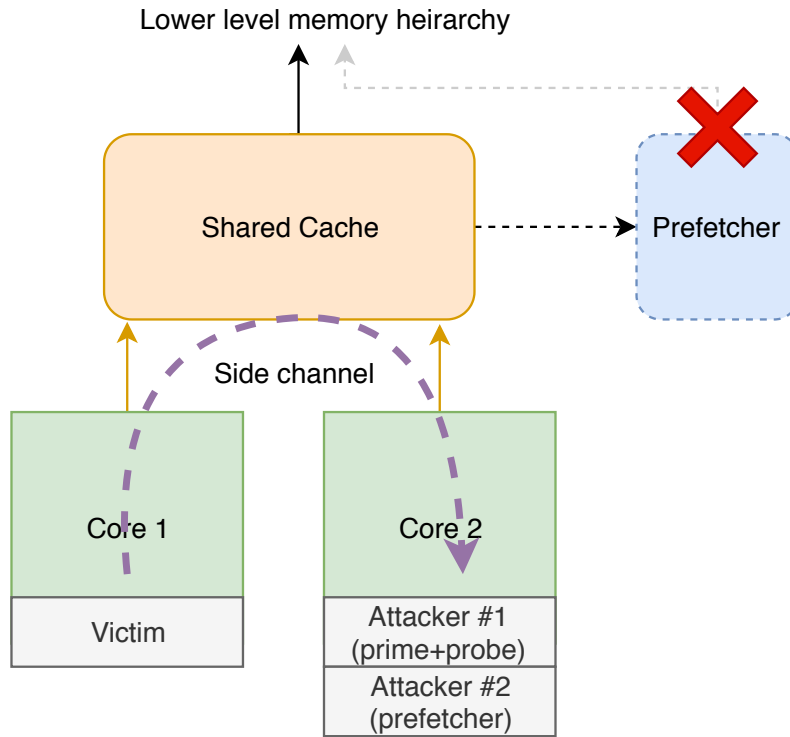


Figure 5.2: Setup of attack to disable prefetcher from generating memory accesses

Listing 5.2 shows a part of the disassembly of the targeted attacker binary. The nop slides look like they would add some delay in between but that is masked by the cache miss latency caused by the load instruction.

## 5.4 Simulation

Table 5.1 shows the configuration of the simulator and included hardware. The victim program runs on core 1 and attacker runs on core 2. The simulator makes measurements for a phase of certain number of instructions. It records the number of prefetches issued, average confidence of the entries, hits and misses to the prefetcher table by victim program.

## 5.5 Results

Figures 5.3 and 5.4 show results of testing the full attacker with a benchmark programs *astar*, *bzip2* and a sample program *stride access generator*. It is evident that the full attacker is not very effective in some conditions of the program, which is unacceptable.

For more relevant results, further tests are conducted with the OpenSSL implementation of AES algorithm. The AES library function is run repeatedly with random inputs and

Simulator	gem5 X86
Core Type	O3 CPUs 8-wide fetch
Number of Cores	2
L1 Icache	32K 8-way
L1 Dcache	32K 8-way
L2 cache	256K 16-way shared between cores
L2 prefetcher	Stride 64-entry 4-way, confidence threshold 4

Table 5.1: Simulation setup

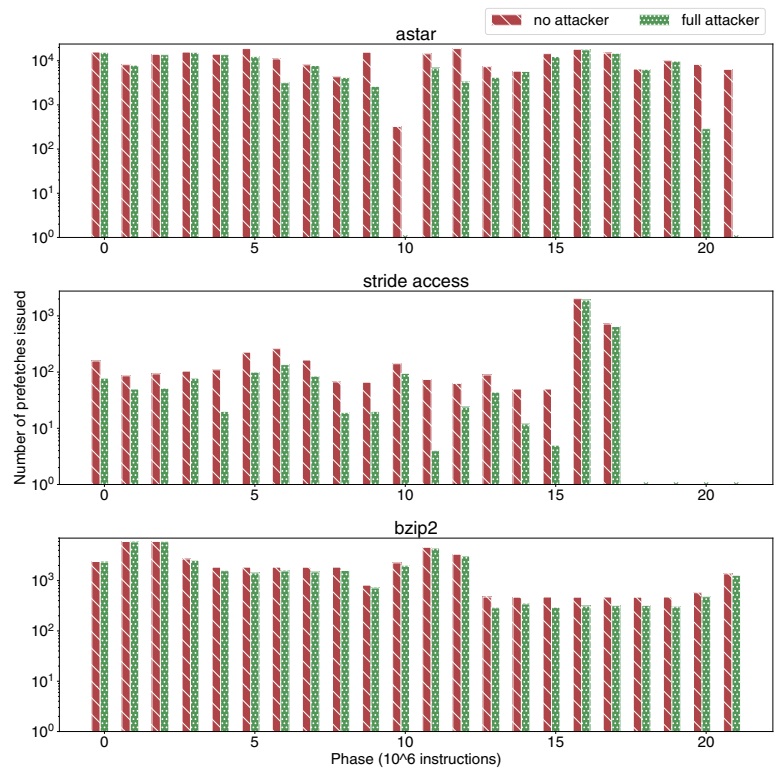


Figure 5.3: Number of prefetches issued on different benchmarks

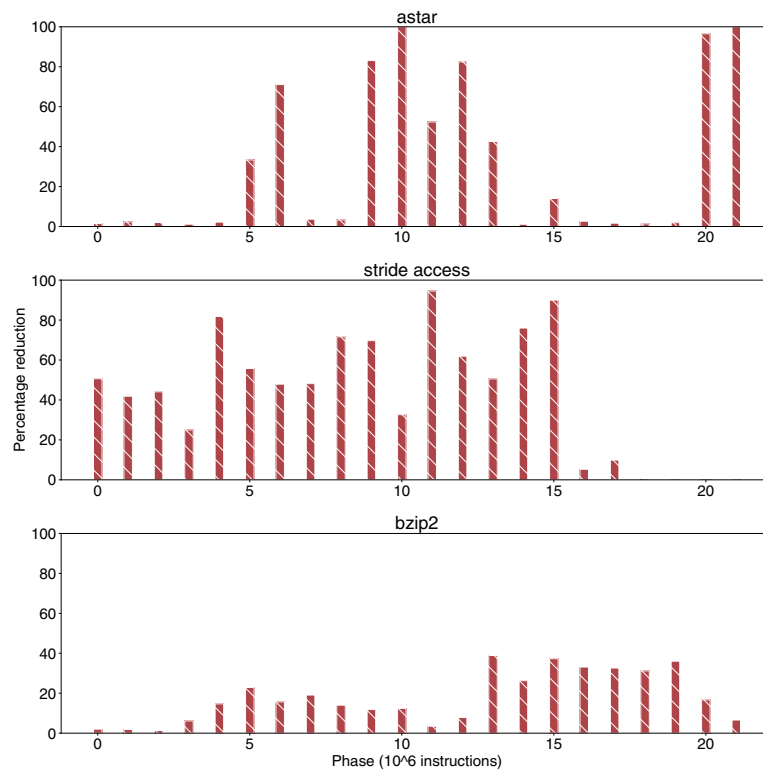


Figure 5.4: Percentage reduction in number of prefetches

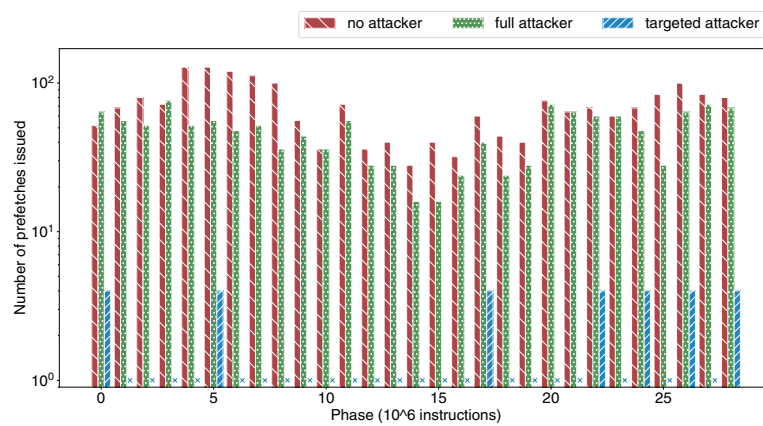


Figure 5.5: Comparison of number of prefetches issued by AES program

the same key. The results under various attack scenarios are measured and compared in Figures 5.5, 5.6 and 5.7. Two load instructions are identified for AES victim by using the method outlined in Section 5.3.2. The targeted attacker is tailored to those load PCs. The main observation in Figure 5.5 is that the targeted attacker is significantly more effective than the full attacker. The full attacker is able to achieve an average reduction of 32%, while the targeted attacker is able to successfully reduce the prefetches to 0. In Figure 5.6, the average confidence of the 2 sets which targeted prefetcher is attacking is shown. The average confidence with no attacker is 6.9, with full attacker is 5.2 and with targeted attacker is 3.5. The targeted attacker is able to lower confidence below the threshold value of 4 hence is successful in reducing the prefetches.

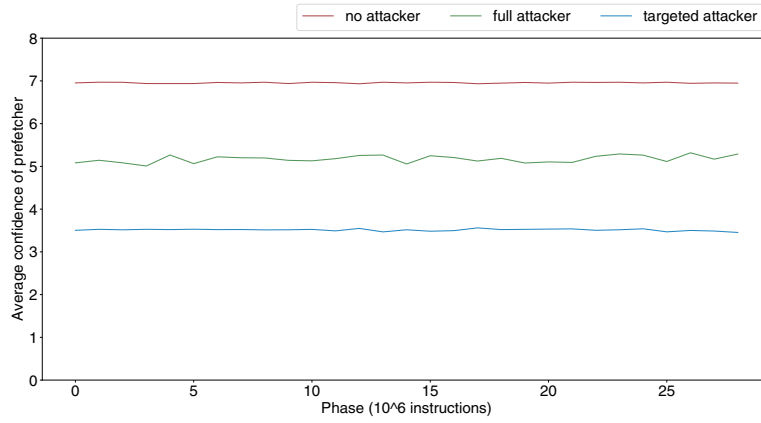


Figure 5.6: Comparison of average confidence of prefetcher with AES program

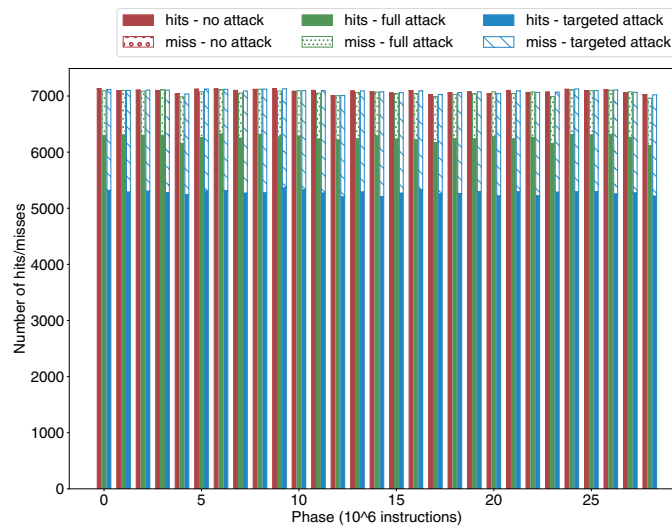


Figure 5.7: Comparison of prefetch table hit and miss count by AES program

The effectiveness of targeted attacker can also be seen in Figure 5.7 as it is able to double the miss rate of the victim program.

**DCPT prefetcher:** The same full attacker implementation is tested with a DCPT prefetcher. A DCPT Prefetcher(17) is a PC indexed table which uses history buffers to stores deltas of every memory address instead of a single stride value. The history is then used to predict future deltas. A 128 entry fully associative table is added instead of the stride prefetcher in the same setup described in Table 5.1. Figure 5.8 shows that the full attacker is able to reduce the number of prefetches by 99%. This is because of the random strides generated by the attacker which fill up history buffers of each entry with irrelevant info. The victim's pattern does not get enough time to be recorded completely.

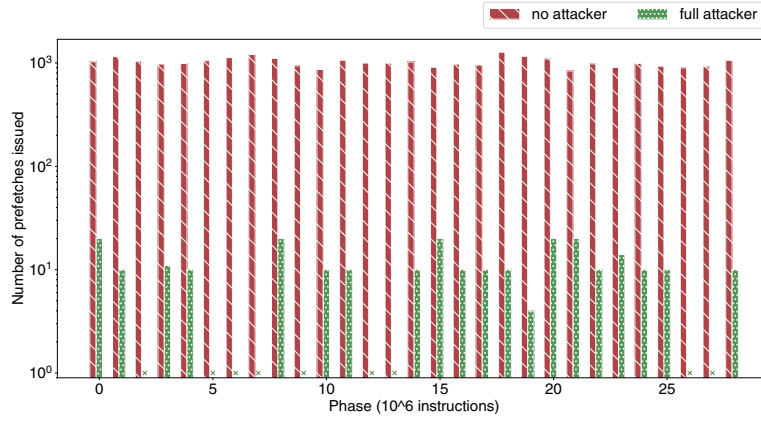


Figure 5.8: Comparision of number of prefetches issued by DCPT Prefetcher with AES program

## 5.6 Conclusion

The motivation of this work was to disable the prefetcher from generating memory accesses and prevent it from adding noise to cache side channels. The memory access generated by a prefetcher may or may not be eventually requested by the victim program, hence the prefetcher causes false positives in a cache side channel. When the prefetcher is disabled, the system becomes equivalent to that with no prefetcher present and side channels are amplified. This paper presents two implementations of an attack on the prefetcher. An analysis of the working of a stride prefetcher presents two attack vectors which can be exploited for an attack. Stride prefetchers use the confidence counter of a valid entry in the table to generate prefetches. The attacker is designed such that valid entries of the victim program are regularly evicted from the table, and the confidence of these entries is not allowed to cross the threshold.

The full attacker is designed to work on the whole prefetcher table. It is only able to reduce the number of prefetches issued by 32% for the AES victim program. The inefficiency of the full attacker is improved in the targeted attacker which only attacks specific entries of the victim program. These entries are identified beforehand by running simulations and the targeted attacker is constructed to target these entries. The targeted attacker is able to reduce the number of prefetches issued to 0. The reason of this significantly better performance can be seen in the plots of average confidence and hit rate.

The full attacker is also tried on a DCPT prefetcher having a fully associative table of 128 entries. The attacker gives a reduction of 99% in number of prefetches issued. However, it is not able to reduce the number to completely 0.

## **5.7 Future Scope**

There is scope to build a better attacker which is tailored for history-buffer based prefetchers like the DCPT prefetcher. Further tests can be run by testing the attacker in parallel with a side channel prime+probe attacker to see the impact. The effectiveness of this attacker on ciphers apart from AES also can be explored. A similar analysis of security-oriented prefetcher designs, e.g. the Disruptive prefetcher, can be conducted. It may expose certain weaknesses of the design.

# Chapter 6

## Side-channel using Reorder Buffer

Reorder buffer is an important component of an Out-of-Order core utilised in the Tomasulo algorithm. It stores the incoming order of instructions before they are issued in an out-of-order fashion. In an SMT context, this Reorder Buffer may either be shared among threads or statically partitioned. The commit stage of the pipeline retires instructions from the buffer as and when they get ready i.e. finish execution. The commit stage will have a width equal to the pipeline width, so a 4-wide pipeline will have a commit stage which retires 4 instructions at max in a single cycle.

This allows for a side-channel leakage to occur because a shared Reorder Buffer and a shared commit stage will lead to interference among the two thread's IPC. Fig. 6.1 shows how stalling of Thread 1 may lead to increase in IPC of Thread 2 because it can now utilise the full commit width.

If Thread 2 can determine with reasonable accuracy when Thread 1 is stalled, then we can infer the data being processed if those stalls are data dependent. Data dependent stalls can include cache misses and branch mispredictions. As we have seen in previous chapters, encryption algorithms contain such data dependent loads and branches.

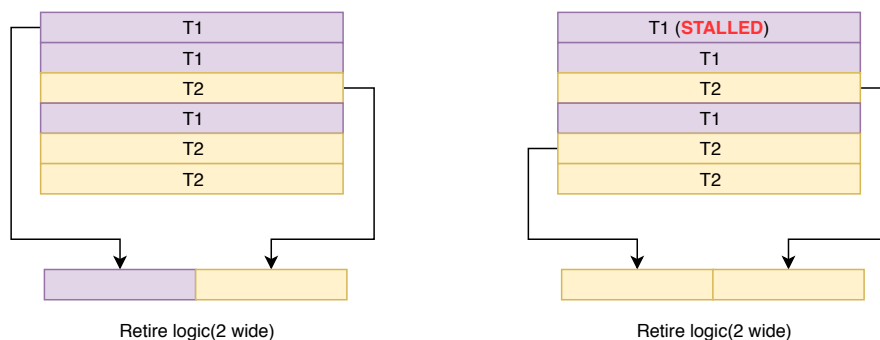


Figure 6.1: Reorder buffer for SMT. When T1 is stalled T2 retires twice as many instructions.



# Chapter 7

## Conclusion

As we saw above, the recent advances in computer architecture for performance and power gains leads to introduction of a series of leakages from the processor which is a security concern. It is required to design hardware by keeping security in mind as the processors get more and more complex in order to extract higher performance gains. The industry runs a lot of security critical applications which if broken can cause many problems. It is important for us to keep in mind the existence of such side channels to ensure minimal leakage of sensitive data.

# References

- [1] Chenglu Jin, *Side Channel Attacks*,
- [2] D. Page, *Theoretical Use of Cache Memory as a Cryptanalytic Side-Channel*
- [3] Gorka Irazoqui, Mehmet Sinan Inci, Thomas Eisenbarth, Berk Sunar, *Wait a minute! A fast, Cross-VM attack on AES*
- [4] Hoda Naghibijouybari, Khaled N. Khasawneh, Nael Abu-Ghazaleh, *Constructing and Characterizing Covert Channels on GPGPUs*
- [5] Henry Wong, Misel-Myrto Papadopoulou, Maryam Sadooghi-Alvandi, and Andreas Moshovos, *Demystifying GPU Microarchitecture through Microbenchmarking*
- [6] D. Page, *Partitioned Cache Architecture as a Side-Channel Defence Mechanism*
- [7] Zhenghong Wang and Ruby B. Lee, *New Cache Designs for Thwarting Software Cache-based Side Channel Attacks*
- [8] Zhenghong Wang and Ruby B. Lee, *A Novel Cache Architecture with Enhanced Performance and Security*
- [9] Adi Fuchs, Ruby B. Lee, *Disruptive Prefetching: Impact on Side-Channel Attacks and Cache Designs*
- [10] Mohammadkazem Taram, Ashish Venkat, Dean Tullsen, *Mobilizing the Micro-Ops: Exploiting Context Sensitive Decoding for Security and Energy Efficiency*
- [11] Moritz Lipp, Michael Schwarz, Daniel Gruss, Thomas Prescher, Werner Haas, Stefan Mangard, Paul Kocher, Daniel Genkin, Yuval Yarom, Mike Hamburg, *Meltdown*
- [12] Paul Kocher, Jann Horn, Anders Fogh, Daniel Genkin, Daniel Gruss, Werner Haas, Mike Hamburg, Moritz Lipp, Stefan Mangard, Thomas Prescher, Michael Schwarz, Yuval Yarom, *Spectre Attacks: Exploiting Speculative Execution*

- 
- [13] J. W. C. Fu, J. H. Patel and B. L. Janssens, *Stride Directed Prefetching In Scalar Processors*, [1992] Proceedings the 25th Annual International Symposium on Microarchitecture MICRO 25
  - [14] The gem5 Simulator. Nathan Binkert, Bradford Beckmann, Gabriel Black, Steven K. Reinhardt, Ali Saidi, Arkaprava Basu, Joel Hestness, Derek R. Hower, Tushar Krishna, Somayeh Sardashti, Rathijit Sen, Korey Sewell, Muhammad Shoaib, Nilay Vaish, Mark D. Hill, and David A. Wood. May 2011, ACM SIGARCH Computer Architecture News.
  - [15] [http://www.gem5.org/docs/html/stride\\_8cc\\_source.html](http://www.gem5.org/docs/html/stride_8cc_source.html)
  - [16] [https://github.com/gem5/gem5/blob/master/src/mem/cache/prefetch/delta\\_correlating\\_prediction\\_table.cc](https://github.com/gem5/gem5/blob/master/src/mem/cache/prefetch/delta_correlating_prediction_table.cc)
  - [17] Storage efficient hardware prefetching using delta-correlating prediction tables, M Grannaes, M Jahre, L Natvig - Journal of Instruction-Level Parallelism, 2011