# Survey of Hardware Security in Modern CPUs and GPGPUs

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by

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### Introduction

The current trends in computer architecture are increasingly focusing on sharing computing resources among multiple programs and processes. Multi-thread and multi-core processors are commonplace in personal computers and mobile phones, even embedded devices. In cloud computing, technologies like virtual machines and virtual environments are allowing multiple different programs to share the same computing resources. These shared resources include all the structures inside cores and multi-core processors which can be accessed simultaneously by threads colocated on a single core, or even processes on two different cores. This poses a threat to the data security of many critical processes which run in such a shared context.

Attackers with the right knowledge and tools can leverage hardware implementation flaws in the design of these shared resources to extract data from a victim process via undetectable side-channels. Malicious trojans can use these shared resources to construct covert-channels to establish inter-process communication undetectable by the core or OS. With the rapid increase of need of powerful computation resources, GPUs have been extended to support general purpose computing. More recently, multiple processes are able to share the GPGPU resource and this opens up a new domain of security attacks which can be mounted on GPGPUs.

With the recent Meltdown (11) and Spectre (12) attacks capable of compromising any Intel core regardless of the OS, it is obvious that along with power and performance, design of computer architecture needs to consider data security as an important metric. Moreover, a lot of software based attacks like buffer overflow and return-oriented programming can be thwarted effectively using additional hardware structures. Hardware support for security against software exploits is an efficient mitigation and should also be considered when designing processors.

In this report, an outline of recent security attack methods and mitigations on both CPU and GPGPU is presented. The attacks range from side-channels and covert-channels over various CPU resources to different types of return-oriented programming exploits. Hardware-based mitigations and their effectiveness over software implementations is shown. The literature survey is followed with an implementation of cache reverse-engineering procedure and results.

### **Side and Covert Channel Attacks**

Shared resources of the processor can leak information about the tasks being performed in it as shown in Fig. 2.1. This leaked information may be extracted by an attacker using various means. The attacker will try to use some form of measurement like cache hit/miss, time of execution, power consumption, EMI spikes to determine what part of code is running or what data is being processed (1). These kind of attacks have been proven to be effective on cryptography algorithms.

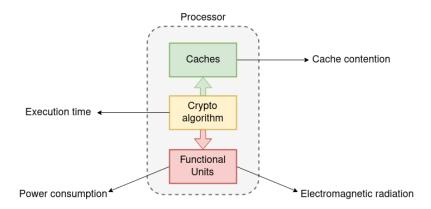


Figure 2.1: A number of side channels which are capable of leaking data.

### 2.1 Data dependent execution in encryption algorithms

Encryption standards like RSA, ECDSA, AES have been implemented in programs in a way which causes certain branches and memory access patterns to be dependent on the secret key. By using side channels to analyse which branch was taken or detect which memory address was loaded, it is possible to decode the secret key. For example, Listing 2.1 shows the key-dependent branch of fast exponentiation part of RSA. Fast exponentiation works by repeated squaring and multiplying when bit of the exponent is 1. In RSA, the

secret key is used as the exponent hence we get a bit-by-bit difference in executed code. When analysing power trace or measuring timing of the execution of this part of code, we can infer that higher power consumption and larger execution time occur when key bit is 1. This proves that there is information being leaked bit by bit.

Listing 2.1: Key-dependent branch of fast exponentiation used in RSA

```
while (key > 0) {
    e = key % 2;

    Square ();
    Reduce ();
    if (e == 1) {
        Multiply ();
        Reduce ();
    }

    key >>= 1;
}
```

In algorithms like AES and DES, P-box and S-box are used for fast permutation and substitution. They essentially store a mapped permutation or substitution for each key value. This means that during execution, AES algorithm will access various different blocks from S-box and P-box memory region depending on the key which is being used. If we can trace these memory accesses in some way, we can infer the secret key. Memory buses leak data about the address via EMI channel, and by analysing that we can get a trace of the memory access pattern.

A better and more effective way of obtaining memory access patterns is by analysing the cache.

#### 2.2 Cache side channel

All the threads running in a single core use the same L1 caches inside that core. Processes running on two different cores in a multi-core processor share the Last level cache. The data access patterns of a process leaves behind fingerprints in the cache. Because of set-associativity, if we can determine which cache line is being accessed by the process, we can determine the actual address which was accessed.

2.3 Prime+Probe 5

This is done without ever having to read the actual cache line, by causing contention on that cache line by an attacker process (2). When the attacker and victim are both trying to use the same cache line, the attacker will get noticeable difference in execution time due to cache misses. There are various ways in which a cache side channel can be created.

#### 2.3 Prime+Probe

The steps followed by Prime+Probe attack are as follows:

- 1. Attacker primes the cache line by loading his own data which.
- Victim process runs and accesses memory mapped to same cache line, hence evicting attacker's data.
- 3. Attacker probes the cache line by reloading the same data, and looking for a cache hit/miss.

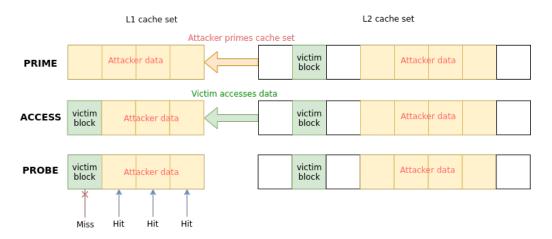


Figure 2.2: Example of a prime-probe attack on single L1 cache set. Miss in the PROBE step can be noticed by increased execution time

A miss in the probe step results in increased code execution time for the attacker, which it can easily measure by reading the Time Step Counter present in many modern cores. As shown in Fig. 2.2, attacker has to prime the entire cache set (all ways) for a successful attack. For analysing the victim's every memory access, attacker needs to prime the entire cache. This priming step leads to a lot of cache misses and can be tracked by event counters and trigger security exceptions when the cache misses reach an alarming amount. Moreover, in cases where attacker and victim are not colocated on the same core, such an attack would have to use a lower level of shared cache like LLC. The probing step requires LLCs to be fully inclusive else the victim will not evict attacker's data from the LLC and not lead to the required cache miss.

2.4 Flush+Reload 6

#### 2.4 Flush+Reload

Flush+Reload is a side channel attack on caches which relies on the clflush instruction present in X86 ISA (and similar variants in other ISAs). Flush+Reload is able to work at a finer granularity than Prime+Probe. It is also able to successfully mount cross-core attacks via the LLC.

- 1. Attacker flushes a cache line using clflush.
- 2. Victim process runs and accesses memory hence loading the flushed block into cache.
- 3. Attacker reloads the same data, looking for a cache hit/miss.

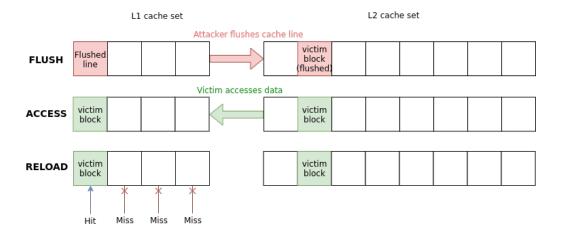


Figure 2.3: Example of a flush-reload attack on single L1 cache set. Hit in the RELOAD step can be noticed by decreased execution time

As seen in Fig. 2.3, the granularity of Flush+Reload is at cache line level rather than cache set level. This happens because the attacker tries to access the same data as the victim, instead of creating contention with other data mapping to the same cache set. Accessing same data is possible because majority of encryption algorithms are provided as system-wide shared libraries. Both the code and data regions of these libraries can be accessed by all processes. As opposed to Prime+Probe, this makes Flush+Reload a very practical and efficient attack. Flush+Reload is able to achieve greater granularity and accuracy due to it scanning for Cache Hit instead of Cache Miss.

Flush+Reload is also effective on LLCs because inclusivity will not affect clflush behaviour, hence attacker will get an LLC hit when the victim process accessed data. This opens up possibility of mounting a Cross-VM attack (3) like shown in Fig. 2.4

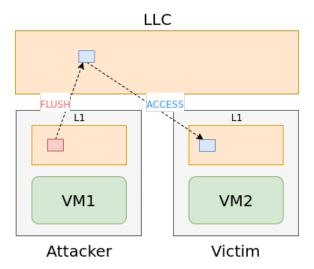


Figure 2.4: Flush+Reload via the LLC enables mounting Cross-VM attacks. This exploit is extremely significant in cloud computing environments

#### 2.5 Cache side channels on GPGPU

GPGPUs have a massively parallel architecture which allows for SIMT workloads to efficiently run. Apart from graphics and display use-cases, GPGPUs are being used for parallel computation using frameworks like CUDA and OpenCL. GPGPUs in cloud services are specifically designed for such computational use-cases. Nvidia GPGPUs have recently started to support concurrent kernel execution at SM level, which allows multiple programs to simultaneously use the GPGPU resource. In this shared context, one must look at side channels which can be exploited.

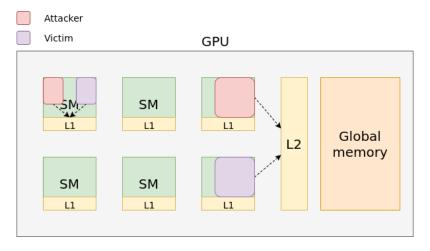


Figure 2.5: GPGPU memory layout. Attacker and Victim colocation allows using L1 and L2 caches as side channels.

The structure of GPGPU memory layout is shown in Fig. 2.6. Every SM contains a private L1 cache, and all SMs share an L2 cache. The Global memory contains multiple

types of memory division like Constant memory, Texture memory etc. Concurrent kernel execution allows co-location of different kernels on same SM. Due to resource constraints, kernels could also run on two different SMs simultaneously. The first case allows attacker to use L1 cache as side channel, and in the second case attacker has to use L2 cache.

Mounting a side channel attack on AES is possible on GPGPU because of existing implementations of AES for GPGPU. However, there are not many cases where encryption algorithms are run on GPGPUs. So these side channels are used as covert channels instead. Covert channels use the same methods as side channel but they are used to set up communication between two malicious programs. Such covert channels can be useful to leak data to third parties without the OS or hardware detecting malicious behaviour.

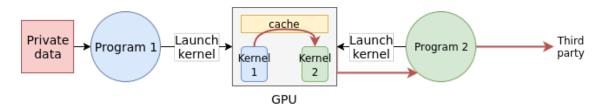


Figure 2.6: Structure of covert channel via GPGPU.

Naghibijouybari et al in (4) achieve communication speed of over 4Mbps using a combination of L1 cache contention and SFU contention as covert channels on multiple Nvidia GPGPU architectures. They have used the inherent parallelism in GPGPUs to multiply the speed of the created covert channels by opening parallel communication channels on each SM.

A critical part of their attack is reverse engineering various parameters of GPGPU architecture. To use caches as a side channel, we need to know all parameters of the cache structure. We also need to know of the warp scheduling policy to control colocation of two different kernels on same SM.

### 2.6 Reverse engineering cache parameters

This implementation of reverse engineering cache parameters is based on (5). In that paper, Wong et al show how using a stride access pattern over an array to trigger a predictable number of cache-misses. By measuring latency of stride access, we can get an idea of the number of cache misses.

For a given array size, we need to feed in the stride pattern into the array i.e. array[i] should contain location of array[i+STRIDE]. We can create such an array pattern offline before starting timing measurements. In this way, we can do a linked-list like traversal of

the array without needing to calculate next stride location online. Listing 2.2 shows how one can create the array with a stride pattern.

Listing 2.2: Offline formation of array with stride access pattern

```
size_t* array; // malloced beforehand
size_t t;

for (int i=0; i<array_size; i++) {
    t = i + STRIDE:
    if(t >= array_size) t %= STRIDE;
    array[i] = (size_t)array + sizeof(size_t)*t;
}
```

For measuring timing of the array access, rdtsc instruction is used to get a reading of the Time Step Counter before and after accessing the array. The difference is plot versus array size. Listing 2.3 shows how to traverse the array using the stride access data stored in it. The next\_ptr variable stores pointer to next element to access. It is dereferenced and the loaded data is again stored into next\_ptr for the next iteration.

Listing 2.3: Timing measurement of stride access over the entire array

```
long start = __rdtsc();
size_t* next_ptr = &array[0];
for(int i=0; i < MAX_ITERS; i++) {
    next_ptr = *((size_t**)next_ptr);
}
long time = __rdtsc() - start;</pre>
```

Fig. 2.7 shows a plot of latency vs array size. The latency plot stays constant initially until an array size which fills up the whole cache. Once that happens, some lines in the cache start getting evicted and we see a steep rise in latency. After any rise, the latency stays constant for the line size of the cache. This is obvious because any access in the same cache line will incur same total latency as there will only be one cache miss. This latency rise occurs once for each cache set, because as long as there are new cache sets to evict, there will be misses. The latency increase stops when one whole way of the cache is replaced once by the array access. The starting point of latency increase gives us cache size. The step width gives us line size. Number of steps gives number of sets, but that is

hard to clearly determine when noise is present in measurements. Thus we determine way size by looking at the point where the latency plot flattens out again. Then sets =  $\frac{\text{waysize}}{\text{linesize}}$ .

#### 2.6.1 Experimental setup

For all cases, stride of 64B was used.

One set of simulations was done using gem5 simple CPU and configurable cache sizes. This was done for testing out the algorithm. Fig. 2.7 was plot for L1 data cache of 1KB size, 2-way, 64B line size. Fig. 2.8 was plot for L1 data cache of 16KB size, 4-way, 64B line size.

The same algorithm was run on Intel Skylake i5-6500 processor with L1 cache of 32KB size, 8-way, 64B line size. The latency plot is shown in Fig. 2.9. As is seen, there is some amount of noise due to Out-of-Order processing and other programs interfering with the execution of the latency measurements. Despite the noise, we can clearly make out the steps, beginning of the latency increase, and way size. This gives us every parameter required for the cache.

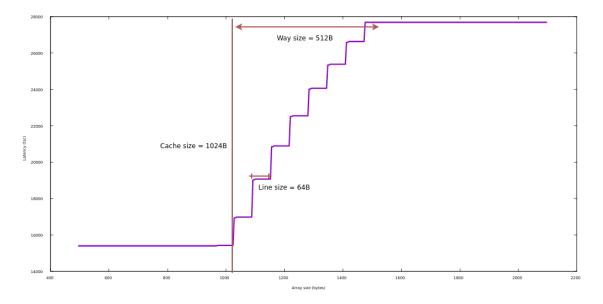


Figure 2.7: Latency vs. Array size plot for a 1kB 2-way cache with 64B cache line.

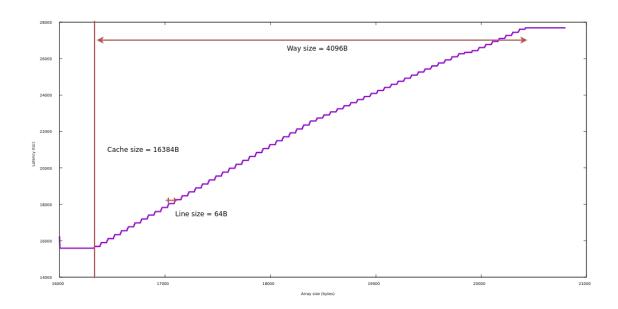


Figure 2.8: Latency vs. Array size plot for a 16kB 4-way cache with 64B cache line.

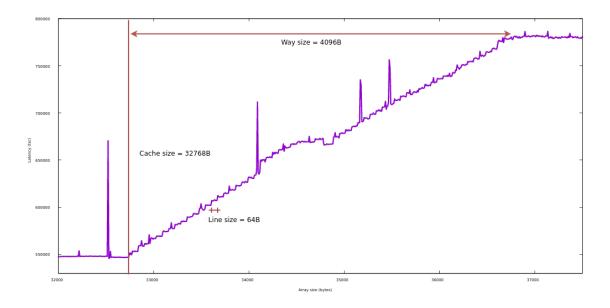


Figure 2.9: Latency vs. Array size plot for a 32kB 8-way cache with 64B cache line. Run on Intel Skylake i5-6500

# Mitigations against Cache side channels

Software based mitigations against cache side channels involve changing the implementation of each encryption algorithm to avoid leaking data. But that is only possible for a specific set of known attacks, and it is unavoidable for any software to not leave some kind of fingerprint in the shared resources.

A proper solution involves changing hardware design of caches so that one process doesn't affect other processes via its cache accesses in a predictable way.

#### 3.1 Partition-locked cache

Cache partitioning is a naive way of isolating processes from interfering with each other's cache accesses. A partitioned cache will let only one process access a single partition at a time (6). If we partition the cache statically, it is equivalent to having a private cache for every thread on the core. This either leads to huge power and area usage or high drop in performance.

Wang et al have proposed a dynamically partitioned cache in (7). As seen in Fig. 3.1, they add extra bits to every cache line to determine whether line is "Locked" and "ID" of thread which locked it. A modified cache replacement policy takes into account these bits when replacing any line. This ensures that locked lines can only be replaced by the process that locked them. Hence, other processes will not be able to interfere with locked lines.



Figure 3.1: A single cache line of PLCache

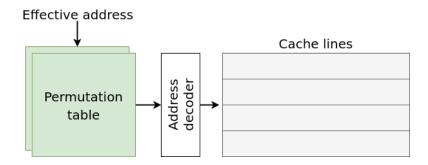


Figure 3.2: Address decoding in RPCache

For implementing the PLCache, the hardware level changes include extra bits for every cache line and a change of the replacement policy. However, PLCache requires addition of special locked-load/store instructions to the ISA. This also means OS has to look over which process gets to use them as a fairness measure. If unchecked, attackers can intentionally lock lines which will hinder performance of other programs. Overuse or abuse of the locking feature can lead to severe performance degradation, if not checked by the OS.

PLCache is better than static partitioning in that it allows locked partitions of the cache to be assigned dynamically. But it has certain drawbacks in terms of implementation.

### 3.2 Random permutation cache

Random-permutation cache is another cache design proposed by Wang et al in (7). They have added a redirection step in the address decoder of caches which uses a random permutation table as seen in Fig. 3.2. The permutation table essentially randomises the cache line in which an address will be stored. The size of permutation table is larger than cache size (in terms of number of lines) such that there is lesser aliasing in the permutation table. Moreover, the replacement policy is modified to update the permutation table for every replacement, hence an attacker will not be able to decode the mapping.

RPcache is also able to mark sensitive data using "Lock" bits which are derived by page protected bit. This is possible without any modification to ISA hence it is better than PLCache. The only drawback of RPCache is the added step in address decoding, which will increase cache latency by one or two cycles. This may not affect L2 or L3 caches much but it will drastically change performance of L1. To overcome this Wang et al propose optimisations to the gate-level hardware of the decoder. The also propose an improved cache architecture called Newcache (8) which overcomes these issues while not losing in power and performance.

#### 3.3 Intentional Cache pollution

Cache pollution happens when unnecessary data resides in cache and evicts important data which is being used by processes. It happens generally due to poor design and designers will try to avoid it as much as possible, by using smarter replacement policies.

From a security perspective, we can use cache pollution to our advantage by introducing enough noise in a cache side channel such that is hides leaked information. There are multiple ways of intentionally polluting the cache. Two such ways are presented below.

#### 3.3.1 Disruptive Prefetching

Pre-fetchers are hardware blocks which were originally designed to hide memory access latency by guessing the need of certain memory address based on previous memory access patterns. Memory locations guessed by the pre-fetcher are loaded into cache so that when the code execution requires that memory, it gives a cache hit instead of miss. Pre-fetchers like Stride pre-fetcher and GHB pre-fetcher are based on finding patterns in the previous memory accesses and guessing that the next locations in that pattern will be accessed. Fuchs et al in (9) introduce additional steps to the pre-fetchers to increase the randomness in the loaded memory address. They randomise the pattern sequence and stride value to intentionally pollute the cache with unnecessary data. This will degrade the performance of non-malicious programs by a bit, but will terribly disrupt any side channel established by an attacker. For example, in Prime+Probe attack, the attacker will not know whether the victim or the pre-fetcher evicted its block from the cache, hence it will wrongly trace the memory access of the victim. In the same way, Flush+Reload would get false cache hits which were not caused by the victim.

#### 3.3.2 Context sensitive decoding

A lot of modern processors use decoders to convert from ISA to an internal instruction representation. Most popularly Intel converts from x86 ISA to microcode using a microcode cache mapping table. Taram et al explore in (10) if a custom decoder can be used to improve the security of certain programs. They use the decoder to introduce decoy instructions in the pipeline. These decoy instructions will change the timing characteristics of the executing program, they will pollute the cache by running decoy loads and will disrupt attackers attempting side channel or timing attacks. Their implementation, as seen in Fig. ?? includes adding custom decoder hardware, and a few changes to the microcode mapping table (of which there exists an established update procedure), and a few model

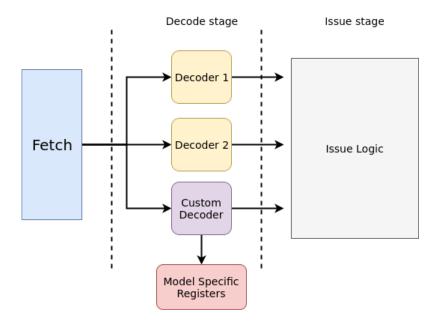


Figure 3.3: Custom decoder for context sensitive decoding

specific registers to control the context of the program. They show their method to be effective in stopping I-Cache and D-Cache side channel attacks against RSA and AES.

## **Conclusion**

As we saw above, the recent advances in computer architecture for performance and power gains leads to introduction of a series of leakages from the processor which is a security concern. It is required to design hardware by keeping security in mind as the processors get more and more complex in order to extract higher performance gains. The industry runs a lot of security critical applications which if broken can cause many problems. It is important for us to keep in mind the existance of such side channels to ensure minimal leakage of sensitive data.

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