

# Mean Shift Tracking

CS4243 Computer Vision and Pattern Recognition

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# Mean Shift

Mean Shift [Che98, FH75, Sil86]

- An algorithm that iteratively shifts a data point to the average of data points in its neighborhood.
- Similar to clustering.
- Useful for clustering, mode seeking, probability density estimation, tracking, etc.

- Consider a set  $S$  of  $n$  data points  $\mathbf{x}_i$  in  $d$ -D Euclidean space  $X$ .
- Let  $K(\mathbf{x})$  denote a **kernel function** that indicates how much  $\mathbf{x}$  contributes to the estimation of the mean.
- Then, the **sample mean**  $\mathbf{m}$  at  $\mathbf{x}$  with kernel  $K$  is given by

$$\mathbf{m}(\mathbf{x}) = \frac{\sum_{i=1}^n K(\mathbf{x} - \mathbf{x}_i) \mathbf{x}_i}{\sum_{i=1}^n K(\mathbf{x} - \mathbf{x}_i)} \quad (1)$$

- The difference  $\mathbf{m}(\mathbf{x}) - \mathbf{x}$  is called **mean shift**.
- **Mean shift algorithm**: iteratively move data point to its mean.
- In each iteration,  $\mathbf{x} \leftarrow \mathbf{m}(\mathbf{x})$ .
- The algorithm stops when  $\mathbf{m}(\mathbf{x}) = \mathbf{x}$ .

- The sequence  $\mathbf{x}, \mathbf{m}(\mathbf{x}), \mathbf{m}(\mathbf{m}(\mathbf{x})), \dots$  is called the **trajectory** of  $\mathbf{x}$ .
- If sample means are computed at multiple points, then at each iteration, update is done simultaneously to all these points.

# Kernel

- Typically, kernel  $K$  is a function of  $\|\mathbf{x}\|^2$ :

$$K(\mathbf{x}) = k(\|\mathbf{x}\|^2) \quad (2)$$

- $k$  is called the **profile** of  $K$ .

Properties of Profile:

- 1  $k$  is nonnegative.
- 2  $k$  is nonincreasing:  $k(x) \geq k(y)$  if  $x < y$ .
- 3  $k$  is piecewise continuous and

$$\int_0^\infty k(x)dx < \infty \quad (3)$$

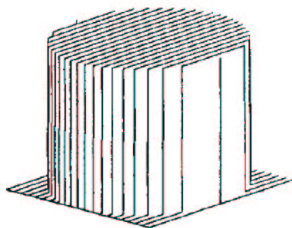
Examples of kernels [Che98]:

- Flat kernel:

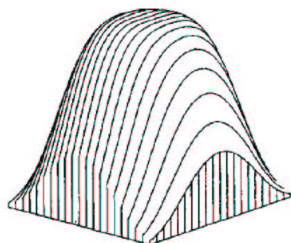
$$K(\mathbf{x}) = \begin{cases} 1 & \text{if } \|\mathbf{x}\| \leq 1 \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

- Gaussian kernel:

$$K(\mathbf{x}) = \exp(-\|\mathbf{x}\|^2) \quad (5)$$



(a) Flat kernel



(b) Gaussian kernel

# Density Estimation

- **Kernel density estimation** (Parzen window technique) is a popular method for estimating probability density [CRM00, CRM02, DH73].
- For a set of  $n$  data points  $\mathbf{x}_i$  in  $d$ -D space, the kernel density estimate with kernel  $K(\mathbf{x})$  (profile  $k(x)$ ) and radius  $h$  is

$$\begin{aligned}\tilde{f}_K(\mathbf{x}) &= \frac{1}{nh^d} \sum_{i=1}^n K\left(\frac{\mathbf{x} - \mathbf{x}_i}{h}\right) \\ &= \frac{1}{nh^d} \sum_{i=1}^n k\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)\end{aligned}\tag{6}$$

- The quality of kernel density estimator is measured by the mean square error between the actual density and the estimate.

- The mean square error is minimized by the **Epanechnikov** kernel:

$$K_E(\mathbf{x}) = \begin{cases} \frac{1}{2C_d}(d+2)(1 - \|\mathbf{x}\|^2) & \text{if } \|\mathbf{x}\| \leq 1 \\ 0 & \text{otherwise} \end{cases} \quad (7)$$

where  $C_d$  is the volume of the unit  $d$ -D sphere, with profile

$$k_E(x) = \begin{cases} \frac{1}{2C_d}(d+2)(1 - x) & \text{if } 0 \leq x \leq 1 \\ 0 & \text{if } x > 1 \end{cases} \quad (8)$$

- A more commonly used kernel is Gaussian

$$K(\mathbf{x}) = \frac{1}{\sqrt{(2\pi)^d}} \exp\left(-\frac{1}{2}\|\mathbf{x}\|^2\right) \quad (9)$$

with profile

$$k(x) = \frac{1}{\sqrt{(2\pi)^d}} \exp\left(-\frac{1}{2}x\right) \quad (10)$$



- Define another kernel  $G(\mathbf{x}) = g(\|\mathbf{x}\|^2)$  such that

$$g(x) = -k'(x) = -\frac{dk(x)}{dx} \quad (11)$$

### Important Result

Mean shift with kernel  $G$  moves  $\mathbf{x}$  along the direction of the gradient of density estimate  $\tilde{f}$  with kernel  $K$ .

- Define density estimate with kernel  $K$ .
- But, perform mean shift with kernel  $G$ .
- Then, mean shift performs gradient ascent on density estimate.

Proof:

- Define  $\tilde{f}$  with kernel  $G$

$$\tilde{f}_G(\mathbf{x}) \equiv \frac{C}{nh^d} \sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right) \quad (12)$$

where  $C$  is a normalization constant.

- Mean shift  $\mathbf{M}$  with kernel  $G$  is

$$\mathbf{M}_G(\mathbf{x}) \equiv \frac{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right) \mathbf{x}_i}{\sum_{i=1}^n g\left(\left\|\frac{\mathbf{x} - \mathbf{x}_i}{h}\right\|^2\right)} - \mathbf{x} \quad (13)$$

- Estimate of density gradient is the gradient of density estimate

$$\begin{aligned}
 \tilde{\nabla} f_K(\mathbf{x}) &\equiv \nabla \tilde{f}_K(\mathbf{x}) \\
 &= \frac{2}{nh^{d+2}} \sum_{i=1}^n (\mathbf{x} - \mathbf{x}_i) k' \left( \left\| \frac{\mathbf{x} - \mathbf{x}_i}{h} \right\|^2 \right) \\
 &= \frac{2}{nh^{d+2}} \sum_{i=1}^n (\mathbf{x}_i - \mathbf{x}) g \left( \left\| \frac{\mathbf{x} - \mathbf{x}_i}{h} \right\|^2 \right) \\
 &= \frac{2}{Ch^2} \tilde{f}_G(\mathbf{x}) \mathbf{M}_G(\mathbf{x})
 \end{aligned} \tag{14}$$

- Then,

$$\mathbf{M}_G(\mathbf{x}) = \frac{Ch^2}{2} \frac{\tilde{\nabla} f_K(\mathbf{x})}{\tilde{f}_G(\mathbf{x})} \tag{15}$$

- Thus,  $\mathbf{M}_G$  is an estimate of the normalized gradient of  $f_K$ .
- So, can use mean shift (Eq. 13) to obtain estimate of  $f_K$ .

# Mean Shift Tracking

Basic Ideas [CRM00]:

- Model object using color probability density.
- Track target object in video by matching color density.
- Use mean shift to estimate color density and target location.

# Object Model

- Let  $\mathbf{x}_i$ ,  $i = 1, \dots, n$ , denote pixel locations of model centered at  $\mathbf{0}$ .
- Represent color distribution by discrete  $m$ -bin color histogram.
- Let  $b(\mathbf{x}_i)$  denote the color bin of the color at  $\mathbf{x}_i$ .
- Assume size of model is normalized; so, kernel radius  $h = 1$ .
- Then, the probability  $q$  of color  $u$  in the model is

$$q_u = C \sum_{i=1}^n k(\|\mathbf{x}_i\|^2) \delta(b(\mathbf{x}_i) - u) \quad (16)$$

- $C$  is the normalization constant

$$C = \left[ \sum_{i=1}^n k(\|\mathbf{x}_i\|^2) \right]^{-1} \quad (17)$$

- Kernel profile  $k$  weights contribution by distance to centroid.

- $\delta$  is the Kronecker delta function

$$\delta(a) = \begin{cases} 1 & \text{if } a = 0 \\ 0 & \text{otherwise} \end{cases} \quad (18)$$

That is, contribute  $k(\|\mathbf{x}_i\|^2)$  to  $q_u$  if  $b(\mathbf{x}_i) = u$ .

# Target Candidate

- Let  $\mathbf{y}_i$ ,  $i = 1, \dots, n_h$ , denote pixel locations of target centered at  $\mathbf{y}$ .
- Then, the probability  $p$  of color  $u$  in the target is

$$p_u(\mathbf{y}) = C_h \sum_{i=1}^{n_h} k \left( \left\| \frac{\mathbf{y} - \mathbf{y}_i}{h} \right\|^2 \right) \delta(b(\mathbf{y}_i) - u) \quad (19)$$

- $C_h$  is the normalization constant

$$C_h = \left[ \sum_{i=1}^{n_h} k \left( \left\| \frac{\mathbf{y} - \mathbf{y}_i}{h} \right\|^2 \right) \right]^{-1} \quad (20)$$

# Color Density Matching

- Use **Bhattacharyya coefficient**  $\rho$

$$\rho(p(\mathbf{y}), q) = \sum_{u=1}^m \sqrt{p_u(\mathbf{y}) q_u} \quad (21)$$

- $\rho$  is the cosine of vectors  $(\sqrt{p_1}, \dots, \sqrt{p_m})^\top$  and  $(\sqrt{q_1}, \dots, \sqrt{q_m})^\top$ .
- Large  $\rho$  means good color match.
- For each image frame, find  $\mathbf{y}$  that maximizes  $\rho$ .
- This  $\mathbf{y}$  is the location of the target.



# Tracking Algorithm

Given  $\{q_u\}$  of model and location  $\mathbf{y}$  of target in previous frame:

- 1 Initialize location of target in current frame as  $\mathbf{y}$ .
- 2 Compute  $\{p_u(\mathbf{y})\}$  and  $\rho(p(\mathbf{y}), q)$ .
- 3 Apply mean shift: Compute new location  $\mathbf{z}$  as

$$\mathbf{z} = \frac{\sum_{i=1}^{n_h} g\left(\left\|\frac{\mathbf{y} - \mathbf{y}_i}{h}\right\|^2\right) \mathbf{y}_i}{\sum_{i=1}^{n_h} g\left(\left\|\frac{\mathbf{y} - \mathbf{y}_i}{h}\right\|^2\right)} \quad (22)$$

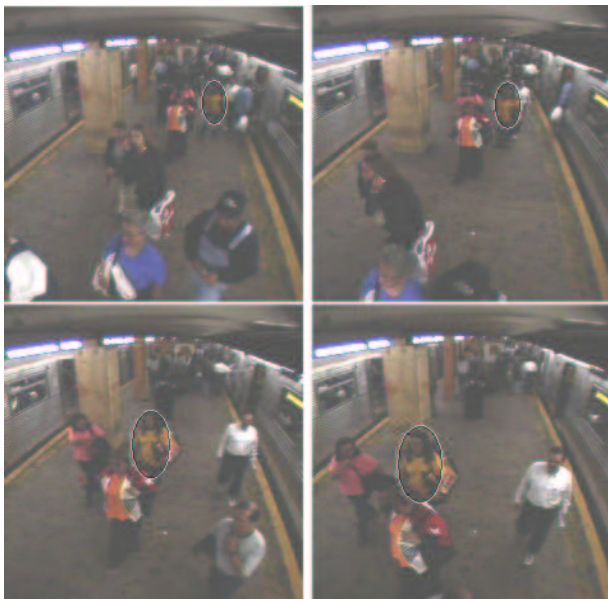
- 4 Compute  $\{p_u(\mathbf{z})\}$  and  $\rho(p(\mathbf{z}), q)$ .
- 5 While  $\rho(p(\mathbf{z}), q) < \rho(p(\mathbf{y}), q)$ , do  $\mathbf{z} \leftarrow \frac{1}{2}(\mathbf{y} + \mathbf{z})$ .
- 6 If  $\|\mathbf{z} - \mathbf{y}\|$  is small enough, stop. Else, set  $\mathbf{y} \leftarrow \mathbf{z}$  and goto (1).

- Step 3: In practice, a window of pixels  $\mathbf{y}_i$  is considered. Size of window is related to  $h$ .
- Step 5 is used to validate the target's new location. Can stop Step 5 if  $\mathbf{y}$  and  $\mathbf{z}$  round off to the same pixel.
- Tests show that Step 5 is needed only 0.1% of the time.
- Step 6: can stop algorithm if  $\mathbf{y}$  and  $\mathbf{z}$  round off to the same pixel.
- To track object that changes size, varies radius  $h$  (see [CRM00] for details).

Example 1: Track football player no. 78 [CRM00].



Example 2: Track a passenger in train station [CRM00].



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