

## **Genetic Algorithms**

ITP 435 Week 3, Lecture 1

Lecturer: Sanjay Madhav





# Why Genetic Algorithms?



## A Problem Statement – "Travelling Salesperson"



- Given LAX + 19 different locations in Los Angeles
- Find the shortest path that starts at LAX, visits each other location exactly once, and then returns to LAX (a tour)

Assume we can fly with a helicopter (roads add complexity)



### Travelling Trojan – Locations, Longitude/Latitude



```
LAX Airport, 33.941845, -118.408635
Tommy Trojan, 34.020547, -118.285397
Coliseum, 34.014156, -118.287923
Chinese Theatre, 34.102021, -118.340946
Whiskey a Go Go, 34.090839, -118.385725
Getty Center, 34.078062, -118.473892
Getty Villa, 34.045868, -118.564850
Disneyland, 33.812110, -117.918921
The Huntington Library, 34.129178, -118.114556
Rose Bowl, 34.161373, -118.167646
Griffith Observatory, 34.118509, -118.300414
Hollywood Sign, 34.134124, -118.321548
Magic Mountain, 34.425392, -118.597230
Third Street Promenade, 34.016297, -118.496838
Venice Beach, 33.985857, -118.473167
Catalina Island, 33.394698, -118.415119
Staples Center, 34.043097, -118.267351
Dodger Stadium, 34.072744, -118.240594
La Brea Tar Pits, 34.063814, -118.355466
Zuma Beach, 34.015489, -118.822160
```

#### **Brute force?**



- Idea: Brute force and try every possible permutation
- **Problem**: There are a lot of possible tours, 19! in this case
  - 19! is a big number
  - Suppose I can test one billion tours/second...

## How big?



• If I can test one billion tours/second, and keep running nonstop...

```
19! /
(1,000,000,000 tours/sec ) /
(60 seconds/minute) /
(60 minutes/hour) /
(24 hours/day) /
(365.25 days/year) =
```

## How big?



If I can test one billion tours/second, and keep running nonstop...

```
19! /
(1,000,000,000 tours/sec ) /
(60 seconds/minute) /
(60 minutes/hour) /
(24 hours/day) /
(365.25 days/year) =
```

# ~3.85 years

(And one billion tours/second is pretty fast!)

## A more efficient algorithm?



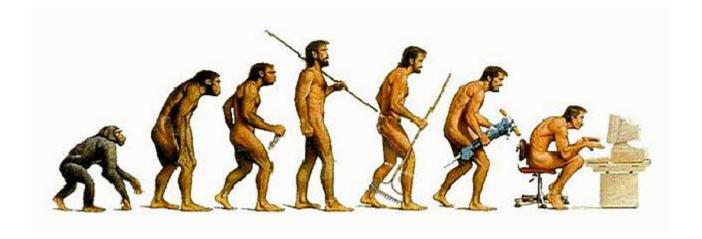
We don't know a more efficient algorithm that can guarantee the optimal solution

 Instead use a heuristic algorithm – try to find a solution that we think is pretty good (but can't prove how good it is)

A genetic algorithm (GA) is one type of heuristic algorithm

### **Genetic Algorithms**



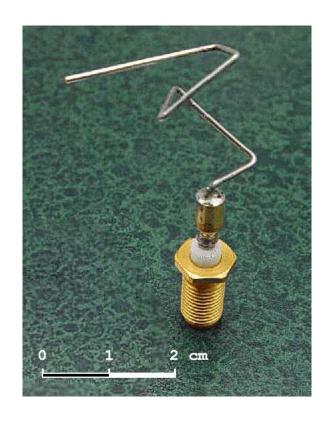


- Takes the idea of Darwinism and applies it as a heuristic algorithm
- Roughly, we start with some guesses, we try to pick the "fittest" guesses to evolve into better guesses
- After enough iterations, we stop and have a pretty good solution

## Other examples - NASA designing an antenna



NASA used a GA to design a better antenna for a spacecraft



## **Other examples – Car aerodynamics**



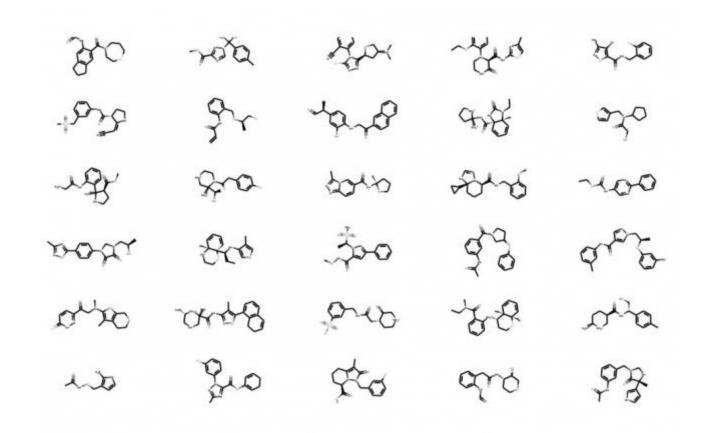
Can use a GA to improve the aerodynamics to reduce drag (and increase speed)



## Other examples – Drug designs



 Pharmaceutical companies increasingly use GAs and other methods to come up with new drug molecules



## When are GAs a good option?



☐ You have a problem where you want the "best possible" solution

☐ There's no known efficient algorithm to find the optimal solution

☐ You can quantify how good or bad a solution is



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You have a problem where you want the "best possible" solution

We want the "best tour"

☐ There's no known efficient algorithm to find the optimal solution

☐ You can quantify how good or bad a solution is



You have a problem where you want the "best possible" solution

We want the "best tour"

There's no known efficient algorithm to find the optimal solution Brute force is n!

☐ You can quantify how good or bad a solution is



You have a problem where you want the "best possible" solution

We want the "best tour"

There's no known efficient algorithm to find the optimal solution Brute force is n!

You can quantify how good or bad a solution is Better solutions have shorter paths



You have a problem where you want the "best possible" solution

We want the "best tour"

There's no known efficient algorithm to find the optimal solution Brute force is n!

You can quantify how good or bad a solution is Better solutions have shorter paths

You have a way to combine parts of two (or more) solutions

We can take portions of multiple tours (more about this soon...)

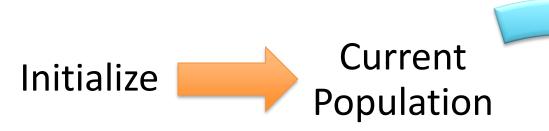


# **Genetic Algorithm Cycle**



#### **Overview**





Calculate Fitness



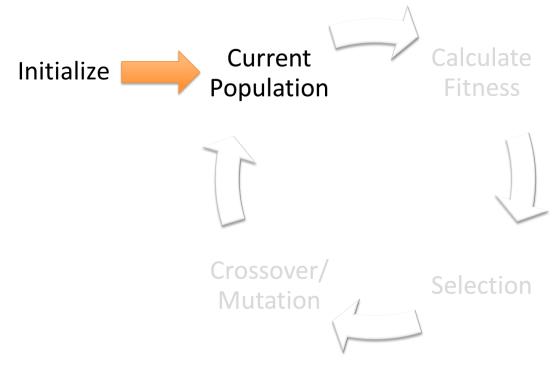


Crossover/ Mutation



#### Initialize GA





- Generate a random initial population
- Ideally want a simple representation of each member (text "genomes" are popular, but not the only way)
- Size of population varies (for us, a command line argument)



## Our Population "Genome" Representation



 A vector of numbers corresponding to the index of the location (the first location in the file is 0)

So this...

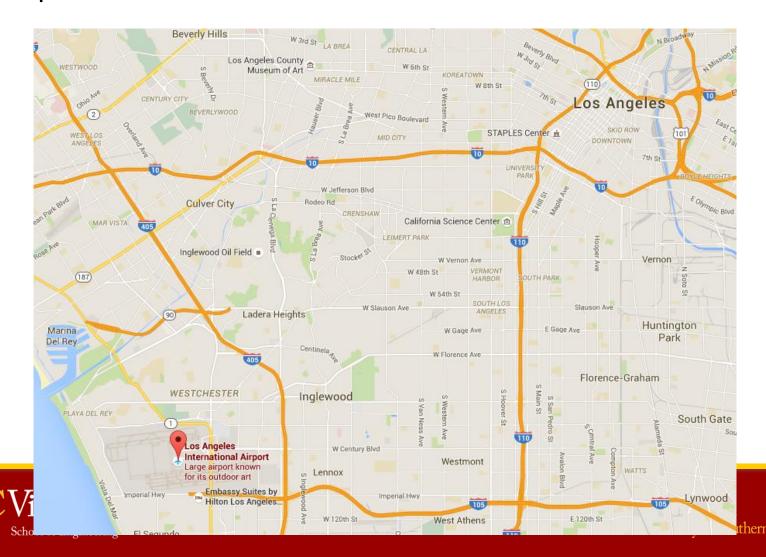
0 5 3 2 1 4
-------------

 Means first start at location 0, then go to 5, then go to 3, then go to 2, then go to 1, then go to 4, then return to 0 (implied)

## Location 0 is special in our case



 We always start/end at location 0 (LAX in this example), so every valid path will have index 0 as the first location



## Sample Initial Population (Size = 8)

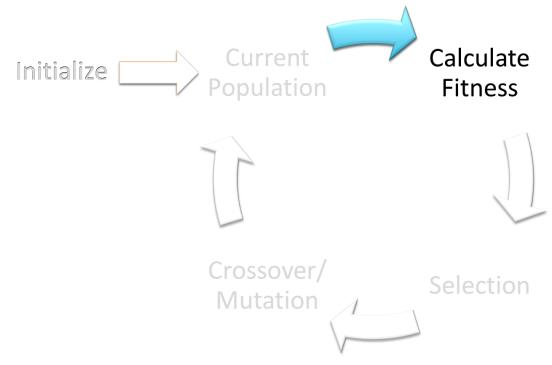


- 0 5 3 2 1 4
- 0 2 4 5 3 1
- 3 5 2 4 0 1
- 1 3 2 4 5 0
- 5 4 0 2 1 3
- 5 2 0 1 4 3
- | 5 | 0 | 2 | 4 | 3 | 1
- 7 0 1 3 2 4 5

**Note:** In this case, we assume the tour can start at any location. In our "travelling Trojan" problem we will always start at location 0

#### **Calculate Fitness**





- Calculate the fitness function for each member of the population
- For us, lower fitness = shorter distance = better

#### **Fitness Function**



 For fitness, we use the *Haversine distance* formula to compute the distance of each segment on the path:

```
dlon = lon2 - lon1
dlat = lat2 - lat1
a = (sin(dlat/2))<sup>2</sup> + cos(lat1) * cos(lat2) * (sin(dlon/2))<sup>2</sup>
c = 2 * atan2( sqrt(a), sqrt(1-a) )
distance = 3961 * c
```

- Once we have the segment distances, sum them together for the total tour distance
- PA Note: You have to convert the numbers in the input file from degrees to radians. To convert, multiply by 0.0174533

## **Sample Calculate Fitness**

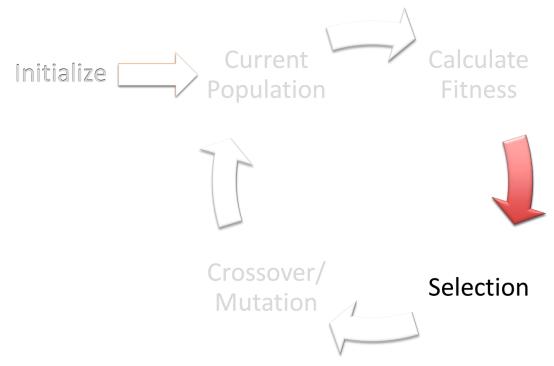


#		P	opul	latio	n		Fitness
0	0	5	3	2	1	4	75 mi
1	0	2	4	5	3	1	85 mi
2	3	5	2	4	0	1	80 mi
3	1	3	2	4	5	0	70 mi
4	5	4	0	2	1	3	90 mi
5	5	2	0	1	4	3	87 mi
6	5	0	2	4	3	1	91 mi
7	0	1	3	2	4	5	92 mi

In this example, population member #3 is fittest (shortest tour)

#### **Selection**





- Based on fitness rankings, select pairs of individuals to reproduce
- Should give some (but not all) preference to fitter individuals
- Select number of pairs = population size for pairwise reproduction
- Many ways to do this, slides describe what we use in the PA

### **Survival of the Fittest?**







#		Р	opul	latio	n		Fitness			Sel	ectio	ո Chai	nce				
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6	7		
1	0	2	4	5	3	1	85 mi										
2	3	5	2	4	0	1	80 mi	Step: 1. Sort population									
3	1	3	2	4	5	0	70 mi	member #s by fitness (smallest to largest)									
4	5	4	0	2	1	3	90 mi		(				,				
5	5	2	0	1	4	3	87 mi										
6	5	0	2	4	3	1	91 mi										
7	0	1	3	2	4	5	92 mi										



#		Р	opu	latio	n		Fitness			Sel	ectio	ո Chai	nce				
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6	7		
1	0	2	4	5	3	1	85 mi	1/8	1/8	1/8							
2	3	5	2	4	0	1	80 mi	<i>Step:</i> 2.a. Give each									
3	1	3	2	4	5	0	70 mi	member the same probability (1/popSize)									
4	5	4	0	2	1	3	90 mi										
5	5	2	0	1	4	3	87 mi										
6	5	0	2	4	3	1	91 mi										
7	0	1	3	2	4	5	92 mi										



#		Р	opul	latio	n		Fitness	Selection Chance										
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6	7			
1	0	2	4	5	3	1	85 mi	6/8 6/8 1/8 1/8 1/8 1/8 1/8										
2	3	5	2	4	0	1	80 mi	Step: 2.b. Multiply the										
3	1	3	2	4	5	0	70 mi	two fittest by 6 (the 6 is an arbitrary magic										
4	5	4	0	2	1	3	90 mi		nu	mbe	r)	J						
5	5	2	0	1	4	3	87 mi											
6	5	0	2	4	3	1	91 mi											
7	0	1	3	2	4	5	92 mi											



#	Population 1 1 1 1						Fitness	Selection Chance										
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6	7			
1	0	2	4	5	3	1	85 mi	6/8 6/8 3/8 3/8 1/8 1/8 1/8										
2	3	5	2	4	0	1	80 mi	<b>Step.</b> 2.c. Multiply the										
3	1	3	2	4	5	0	70 mi	remainder of the top half, not including the										
4	5	4	0	2	1	3	90 mi		top	o two	b, by	3 (3 i	s a					
5	5	2	0	1	4	3	87 mi		ma	agic r	numb	er)						
6	5	0	2	4	3	1	91 mi											
7	0	1	3	2	4	5	92 mi											



#		Р	opu	latio	n		Fitness			Sel	ectio	ո Char	nce					
0	0	5	3	2	1	4	75 mi	3	0	2	5	4	6	7				
1	0	2	4	5	3	1	85 mi	6/8	6/8	3/8	3/8	1/8	1/8	1/8	1/8			
				!	!	<u> </u>					sum =	= 22/8						
2	3	5	2	4	0	1	80 mi	Step: 2.d. Renormalize										
_								the probabilities (sum										
3	_ 1	3	2	4	5	0	70 mi	them and divide by										
_					Ι.						ira ar	VIGC	~ y					
4	5	4	0	2	1	3	90 mi		SUI	m)								
_				Γ	Γ													
5	5	2	0	1	4	3	87 mi											
				Γ	Γ													
6	5	0	2	4	3	1	91 mi											
_	_				l .													
7	0	1	3	2	4	5	92 mi											



#		P	opul	atio	n		Fitness	Selection Chance									
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6			
1	0	2	4	5	3	1	85 mi	6/22	6/22	3/22	3/22	1/22	1/22	1/22			
											sum =	= 22/8					
2	3	5	2	4	0	1	80 mi										
3	1	3	2	4	5	0	70 mi	the probabilities (sum									
										_	na ai	viue	Dy				
4	5	4	0	2	1	3	90 mi		su	m)							
		ı															
5	5	2	0	1	4	3	87 mi										
		Γ															
6	5	0	2	4	3	1	91 mi										
7	0	1	3	2	4	5	92 mi										



#		P	opu	latio	n		Fitness			Sel	ectio	n Chai	nce				
0	0	5	3	2	1	4	75 mi	3	0	2	1	5	4	6	7		
1	0	2	4	5	3	1	85 mi	6/22	6/22	3/22	3/22	1/22	1/22	1/22	1/2		
				<u> </u>	<u> </u>	<u> </u>		sum = 22/8									
2	3	5	2	4	0	1	80 mi	•									
3	1	3	2	4	5	0	70 mi	the probabilities (sum									
										_	iiu ui	viue	Dy				
4	5	4	0	2	1	3	90 mi		SU	m)							
5	5	2	0	1	4	3	87 mi										
J			U		4	5	07 1111										
6	5	0	2	4	3	1	91 mi										
7	0	1	3	2	4	5	92 mi										

# **Sample Selection Probabilities**



#	Population						Fitness	Selection Pr	
0	0	5	3	2	1	4	75 mi	6/22	
1	0	2	4	5	3	1	85 mi	3/22	
2	3	5	2	4	0	1	80 mi	3/22	<i>Step:</i> Now we
3	1	3	2	4	5	0	70 mi	6/22	have selection
4	5	4	0	2	1	3	90 mi	1/22	probabilities
5	5	2	0	1	4	3	87 mi	1/22	
6	5	0	2	4	3	1	91 mi	1/22	
7	0	1	3	2	4	5	92 mi	1/22	

### **Sample Selecting Pairs**



#	Pr
---	----

0 6/22

6/22 3/22 6/22 1/22 each
1 3/22 0 1 2 3 4 5 6 7

2 3/22 0.0

3 6/22

4 1/22

5 1/22

6 1/22

7 1/22

**Step:** 3. Assign the range of [0,1] to the different population members, based on probabilities



## **Sample Selecting Pairs**



#	P

0 6/22

6/22 3/22 6/22 1/22 each
1 3/22 0 1 2 3 4 5 6 7

2 3/22 0.0 1.0

Random Doubles = (0.40, 0.68)Parent Pair = (1, 3)

7 1/22

**Step:** 4. Generate two random doubles in the range [0, 1] to figure out two parents

### **Sample Selecting Pairs**



#### **Pairs**

- (1, 3)
- (2, 1)
- (0, 3)
- (4, 1)
- (3, 5)
- (3, 7)
- (3, 3)
- (2, 3)

 6/22
 3/22
 3/22
 6/22
 1/22 each

 0
 1
 2
 3
 4
 5
 6
 7

 0.0
 1.0

**Step:** 5. Repeat step 4 for however many pairs you want (in our case, popSize)

#### **Our Selection Process**



#### Generate the pairs as follows:

- 1. Sort the population by fitness
- Distribute probability as follows:
  - a. Give each member the same probability (1/popSize)
  - b. Multiply the two fittest by 6
  - c. Multiply the remainder of the top half, not including the top two, by 3
  - d. Renormalize the probabilities
- 3. Assign the range of [0,1] to the different population members, based on probabilities
- 4. Generate two random doubles in the range [0, 1] to figure out two parents
- Repeat step 4 for however many pairs you want (in our case, popSize)

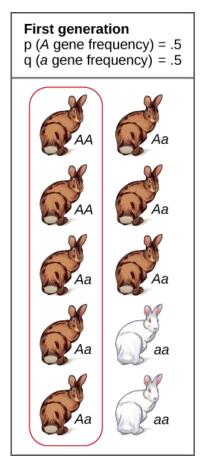
### Why not only select fittest?

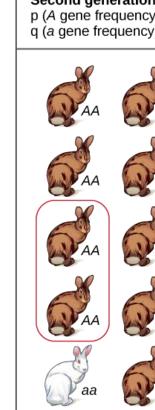


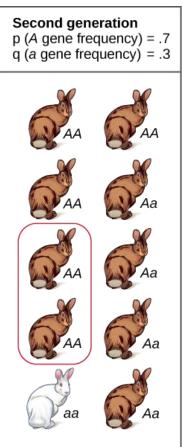
We want to avoid rapid genetic drift

5 rabbits

reproduce

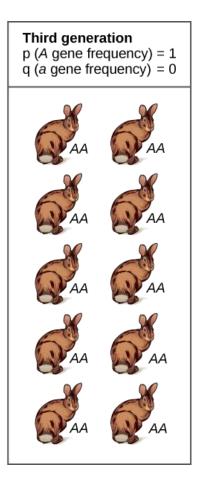






2 rabbits

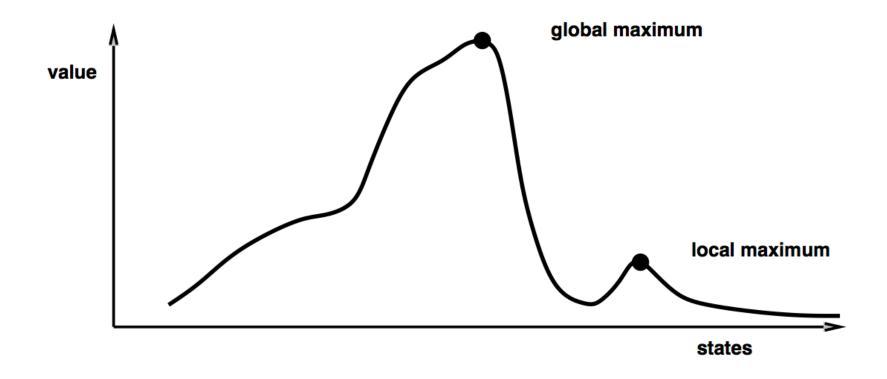
reproduce



#### **Genetic Drift** = Bad



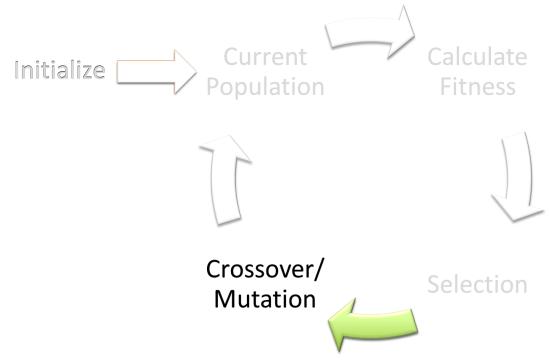
- Genetic drift means we quickly converge on a solution
- However, there's no guarantee the solution is globally optimal it could be locally optimal (classic "hill climbing" problem)





### **Crossover/Mutation**





- Reproduce selected pairs by "crossing over" the genomes
- Might also introduce random mutations (as in real genetics)

### **Crossover Example**



- Select whether parent A or B goes first
  - For this example, A
- Select a crossover index from 1 to size 2
  - For this example 2

Α	0	5	3	2	1	4
В	0	4	3	5	1	2
·						
Child						

### **Crossover Example**



- Since A goes first, and 2 is the crossover index...
- Copy from A [0...2]





### **Crossover Example**



- Since B goes second
- From B, copy any locations that aren't already in the child (in order)

Α	0	5	3	2	1	4
---	---	---	---	---	---	---



 Child
 0
 5
 3
 4
 1
 2

#### **Mutations**





- We'll just use a simple mutation implementation:
- There's a chance a mutation occurs when creating a child
- If we mutate, pick two random indices (not including index 0), and swap the values

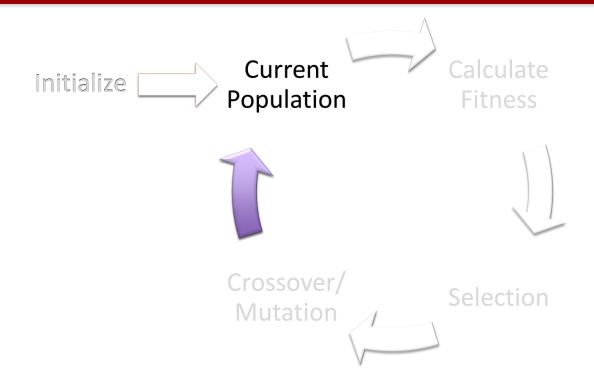
### **Crossover Summary**



- 1. Generate a random crossover index value from [1, size 2]
- 2. "Flip a coin" to decide which parent should go first
- 3. Selected first parent will copy all elements from beginning up to and including crossover index into child
- 4. Second parent will start at the beginning, and copy over all elements that don't already appear in the child
- 5. Mutate, based on probability

#### **Next Generation**

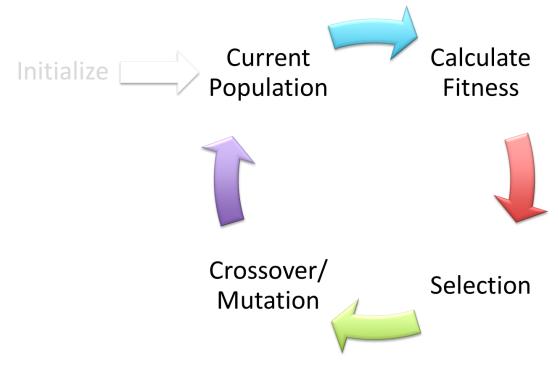




 After calculating crossover/mutation for all pairs, we'll have a new generation for the "current population"

### Repeat



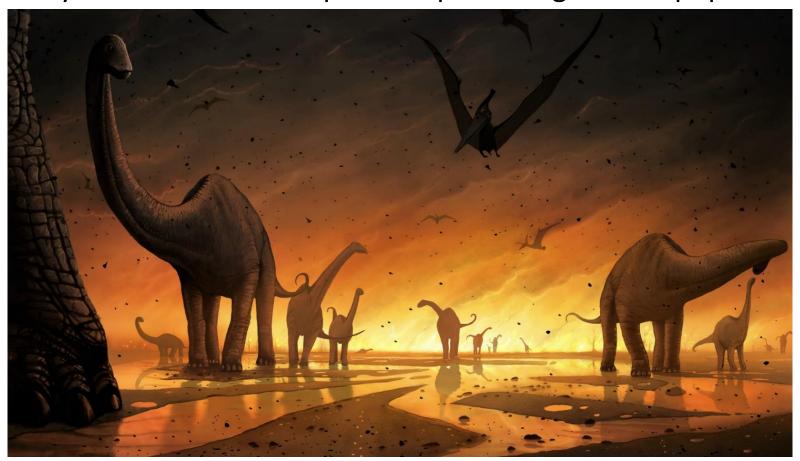


- Keep repeating until reaching a condition of termination
- In our case, number of generations is specified as a command line argument

### Other Ways to Shake Up the Population?



 Other approaches include adding random probabilities of cataclysmic events that wipe out a percentage of the population



### Convergence in our case



Eventually, we end up with a population with very similar members:

```
GENERATION: 200
```

```
0,3,13,5,6,19,12,11,10,14,4,18,2,1,15,16,17,9,8,7
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,6,13,5,14,19,10,12,11,3,4,18,2,1,16,17,9,8,7,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,7,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,14,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,14,13,5,6,12,19,11,10,3,4,18,2,1,16,17,9,8,7,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,4,13,5,6,19,12,11,10,3,14,18,2,1,16,17,9,8,7,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
0,14,13,5,6,19,12,11,10,3,4,18,2,1,16,17,9,8,7,15
```

#### **Best Route?**



LAX Airport

Venice Beach

Third Street Promenade

**Getty Center** 

Getty Villa

Zuma Beach

Magic Mountain

Hollywood Sign

Griffith Observatory

Chinese Theatre

Whiskey a Go Go

La Brea Tar Pits

Coliseum

Tommy Trojan

Staples Center

Dodger Stadium

Rose Bowl

The Huntington Library

Disneyland

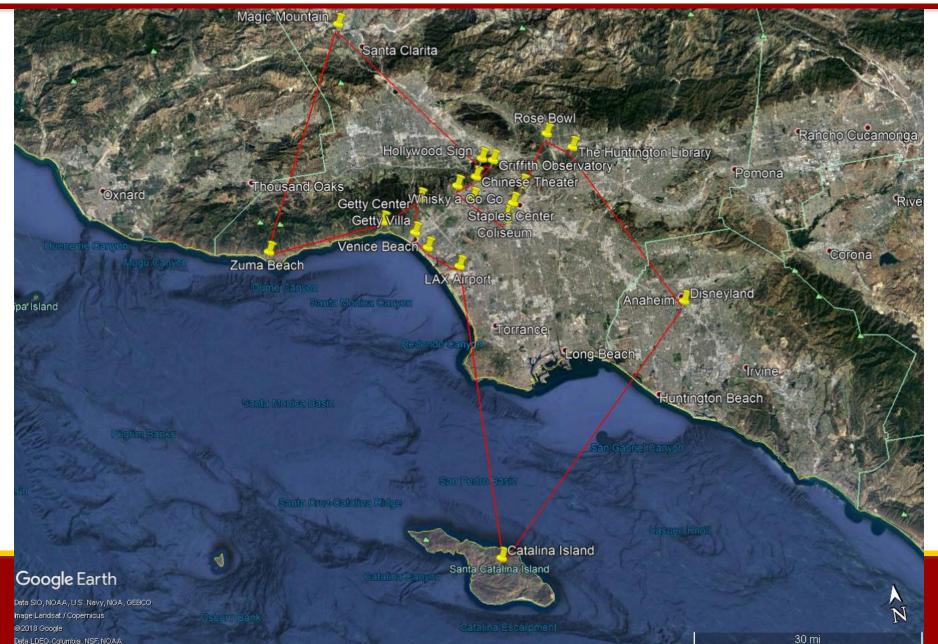
Catalina Island

LAX Airport

DISTANCE: 222.736 miles

### **Our Tour Looks Pretty Good**





#### For a real case...



- Try different:
  - Population size
  - Number of generations
  - Crossover methods
  - Mutation methods
  - Mutation chance

 After trying a set of options, pick the "best" result from that, and use that as your solution



### Random Numbers in Modern C++



#### C++11 Random



- The original rand() is implementation-defined, which means:
  - Not portable
  - May not be thread-safe
  - May not be a great random number generator
  - Code like rand() % num isn't a great way to guarantee a specific type of distribution

#### C++11 Random



- The original rand() is implementation-defined, which means:
  - Not portable
  - May not be thread-safe
  - May not be a great random number generator
  - Code like rand() % num isn't a great way to guarantee a specific type of distribution
- In C++11 there is now a standardized library in <random>:
  - Defines a set of random number generators with specific implementations
  - Defines specific ways to extract randomized distributions (uniform, Gaussian, etc.)

### C++11 Random, Basics



- First, declare an instance of a generator
- We're using the Mersenne Twister PRNG:

```
std::mt19937 randGen(seed);
```

- In our case, the seed value will be a command line parameter. This
  is to guarantee your results will match my results.
- PA Note: You must make sure you generate random numbers where the instructions tell you to, or you will get different results.

### C++11 Random, Distribution



- First declare the distribution
- Then when you want to use it, you call it like a function, passing in the random number generator:

```
// Pick a random value from [0, 10]
std::uniform_int_distribution<int> myDist(0, 10);
int index1 = myDist(randGen);
```

#### **Distributions**



- uniform\_int\_distribution Evenly distributed integer range
- uniform\_real\_distribution Evenly distributed real range
- binomial\_distribution
- normal\_distribution Normal/Gaussian
- Some other stuff too!