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10

Abstract

How does the abstract concept of linguistic negation develop in early child language? Prior 12 research has suggested that abstract negation develops in stages and from more concrete 13 communicative functions such as rejection, prohibition, or non-existence. The evidence for the emergence of these functions in stages is mixed, however, leaving the possibility that negation is an abstract concept since the beginning that can serve multiple specific functions depending on early communicative environment. Leveraging automatic annotations of 17 large-scale child speech corpora in English, we examine the production trajectores of seven 18 negative constructions that tend to convey communicative functions previously discussed in 19 the literature. The results demonstrate the emergence and gradual increase of these constructions in child speech within the age range of 18-36 months. Production mostly 21 remains stable, regular, and comparable to parents' levels after this age range. These 22 findings are consistent with two hypotheses: first, that negation starts as an abstract concept 23 that can convey multiple functions from the beginning; and second, that negation develops in distinct stages for separate communicative functions but the developmental patterns at 25 different stages are early and quick, leaving our methods incapable of detecting them from the available corpus data. 27

Keywords: negation; syntactic construction; communicative function; development; child language.

Word count: X

The Development of English Negative Constructions and Communicative Functions in Early
Child Language

Introduction

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Negation is a basic human concept and foundational to many areas of human thought 34 including logic and mathematics. All previously studied languages have a way of expressing negation using words or morphemes like not (English), bu (Mandarin Chinese), and $l\bar{a}$ (Arabic) (!citation: Jespersen, Haspelmath). An important feature of linguistic negation is 37 that it has an abstract meaning and serves different communicative functions in different contexts. For example, a coffee shop can use it to divide the menu into "coffee" and "not 39 coffee" sections, with "not coffee" bringing together diverse items such as tea and hot 40 chocolate. The coffee shop can use it in a sign like "no mask, no entry" to regulate customer 41 behavior, and an employee could say "I don't like Mondays" to express their dislike for coming back to work on Mondays. Despite its abstract meaning, a word like no is among the early words produced by children. Therefore, a fundamental question in cognitive development and language acquisition is how negation emerges and develops in the human mind. Are early stages of negation in child language specific to a few communicative functions? Or does negation emerge as an abstract and multi-functional concept from the beginning?

Previous literature has proposed that abstract negation develops from less abstract communicative functions in fixed and ordered stages (Bloom, 1970; Choi, 1988; McNeill & McNeill, 1968; Pea, 1978). For instance, Darwin (1872) hypothesized that headshake as a sign for negation develops from infants' habit to refuse or reject food from parents by withdrawing their heads. Similarly, Pea (1978) proposed that at first, children use *no* to convey "rejection." In a second stage, they conceptualize and express non-existence of objects (e.g. "no water [in the cup]"), and finally in the third stage, negation reaches an abstract status that can deny truth of statements (e.g. "that is not a cow"). For Pea (1978),

this order reflected a natural progression in the conceptual space: from the more primitive
domain of internal desires to the more complex domain of external existence, and finally
abstract truth. As we will discuss in the next section, the theoretical and empirical
landscape is not so clean and clear. Over the past fifty years, many studies have proposed
different communicative functions and stages of acquisition, without reaching a consensus.
We will discuss some possible causes for this lack of consensus but here we only emphasize
the main hypotheses in previous literature: that the abstract concept of negation develops in
fixed ordered stages from concrete communicative functions, and that children's early
linguistic productions reflect these stages.

In this study, we argue that evidence from children's linguistic productions does not 66 unequivocally support the functional development of negation in fixed ordered stages. We 67 provide evidence from child-speech corpora in English that suggests the functional 68 development of negation in fixed ordered stages cannot be taken for granted. Given previous studies and our own, we conclude that it is possible that negation starts as an abstract concept that can be used to perform different communicative functions depending on the 71 demands of early linguistic interactions. Alternatively, it is possible that negation develops in quick functional stages, becoming abstract between 18-36 months of age. Future research 73 using denser corpora in this age range or testing children's comprehension rather than production can judge between these two possibilities. In the next section we review previous studies on children's productions of negative utterances before moving to our own study.

Previous Studies

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Darwin (1872, Chapter 11) explained the emergence of linguistic negation using the function it plays in early communication. He hypothesized that nodding and shaking are the earliest expressions of affirmation and negation respectively and argued: "With infants, the first act of denial consists in refusing food; and I repeatedly noticed with my own infants, that they did so by withdrawing their heads laterally from the breast, or from anything

offered them in a spoon ... [moreover] ... when the voice is exerted with closed teeth or lips, it produces the sound of the letter n or m. Hence we may account for the use of the particle ne to signify negation, ..." In later research, this communicative function of negation was referred to as "rejection" or "refusal" (Bloom, 1970; Choi, 1988; Pea, 1978).

Unlike Darwin, McNeill and McNeill (1968)'s developmental account did not start with 87 rejections. They studied the development of three Japanese negative morphemes (nai, iya, 88 iiya) in the speech of a 27-month-old Japanese speaking girl called Izanami. According to 89 McNeill and McNeill (1968), in Japanese, nai expresses falsity of statements (e.g. "no [that's 90 not an apple]), iya expresses desires (e.g. "no [I don't want an apple]"), and iiya expresses 91 contrast (e.g. no [I didn't have an apple. I had a pear]). Therefore their appearance in the 92 speech of a child reflects the developmental stages for the respective communicative 93 functions. They reported that in the first stage, Izanami used a simple negation like nai to express non-existence of events and objects. They also mentioned the early use of shira-nai 95 "I don't know") but did not incorporate it into their developmental account. In the second stage, Izanami used negation to mark incorrectness of statements - like saying false. Such 97 uses of negation were labeled as"denials" in later research. In stage three, negation was also used to express disapproval or rejection - like saying "I don't want that." In the fourth stage, Izanami used negation to express contrasts - as if to say "not this but something else." 100 Finally in the last stage, Izanami had an abstract and multi-functional concept of negation. 101 According to McNeill and McNeill (1968), these stages took about five months and started 102 with expressing external states (non-existence of objects) before internal desires (rejection). 103

Bloom (1970) considered three communicative functions for early negation:
non-existence, rejection, and denial. She studied three children, two from 19 months and
another from 21 months of age. She argued that in all three children, negation was produced
in the following order: non-existence, rejection, and denial. Table 1 provides a few examples
for each category. Many of these examples do not immediately stand out as instances of

their category. This is partly because many early examples are minimal and underspecified constructions that rely heavily on context for their interpretation. It is therefore hard to assess the intention behind the use of negation in such cases.

Table 1

Examples of non-existence, rejection, and denial negation in the speech of Eric, Kathryn, and Gia from Bloom (1970).

Non-existence	Rejection	Denial
no more choochoo train	no train	no Daddy hungry
no more noise	no want this	no more birdie
no children	no bear book	no ready
no it won't fit	no go outside	no tire
Kathryn no like celery	no dirty soap	no dirty

Pea (1978) studied six children between the ages of 8-24 months. Children were 112 recorded in their homes for about 90 minutes every month. All negative utterances 113 (e.g. containing no, not, all gone, gone, away, stop) and gestures (e.g. headshakes and 114 headnods) were annotated and analyzed. Pea (1978) reported that children first started by 115 using negation to express internal states (i.e. rejection), then external states 116 (i.e. disappearance), and finally they used negation to connect language and the external 117 world, i.e. truth-functional negation or denials). This was in direct contradiction to McNeill 118 and McNeill (1968) who proposed that children start with expressing external states (non-existence) before internal states (rejection).

de Villiers and de Villiers (1979) studied the communicative functions of negation in the speech of Adam (27-31 months), Eve (18-22 months), and their own child Nicholas (23-29 months). The first two children were recorded for an hour every two or three weeks (Brown, 1973). They annotated children's examples of negation for six communicative

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functions: non-existence, disappearance, non-occurrence, cessation, rejection, and denial.

Disappearance referred to cases where an object became hidden and cessation referred to the

use of negation when a movement or action stopped (e.g. "no walk" when a toy stopped

walking). They found rejections and denials to be the most frequent (and most

reliable-to-annotate) functions of negation. Both functions were present from the earliest

samples of children's speech and the authors concluded that there are individual differences

that mirror child-directed speech.

Choi (1988) looked at the speech of 11 children (2 English, 4 Korean and 5 French 132 speaking) between 19 to 40 months of age. She reported 9 communicative functions for 133 children's negation shown in Table 2. She matched communicative functions with linguistic 134 constructions that commonly convey them and proposed that these forms and functions 135 developed in three phases. First, children used "no" alone to express the four functions of 136 nonexistence, prohibition, rejection, and failure. In the second phase, no was used to express 137 denial, inability, and epistemic negation. Novel constructions such as "not+NP" (e.g "not a 138 bee"), can't (e.g. "I can't put back"), and I don't know were also used to express these functions. New constructions emerged to distinguish the functions in the previous phase such 140 as rejection as well (e.g. "I don't want to"). In the third phase, normative negation and 141 inferential negation emerged in children's speech with modal auxiliaries like can't. Negative 142 forms for prohibition also emerged with the structure "don't+Verb." 143

Table 2

Examples of communicative functions and their forms in Choi (1988).

Function	Definition	Forms	Example
Non-existence	expressing absence of entities	no+V	"no more" (after
			emptying a bag)
Failure	expressing absence of an	it won't	"not work" (puzzle
	event		piece not fitting)

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Function	Definition	Forms	Example
Prohibition	negating actions of others	don't + V	
Rejection	negating the child's own	I don't want	
	actions	(to)	
Denial	negating others' propositions	AUX + not	"no that's a pony"
			(in response to "Is
			this a car?")
Inability	expressing physical inability		"can't!" (taking two
			lego pieces apart)
Epistemic	lack of knowledge	$I\ don't$	" $I don't know$ " (in
		know	response to "what
			color is this?")
Normative	expressing expected norms	(you) can't	"Him can't go on a
			boat"
Inferential	child's inference about the	AUX + not	"I not broken this"
	listener		(seeing a broken
			crayon)

Cameron-Faulkner, Lieven, and Theakston (2007) recorded an English speaking child 144 for an hour five times a week between the ages of 27 to 39 months. They classified his 145 negative utterances into seven communicative functions by using categories from Choi (1988) 146 and leaving out normative and inferential negation. They found examples of all seven 147 functions in Brian's early speech. Starting at 27 months, single-word discourse-level no was 148 used to convey most functions but gradually other forms using not, don't, can't, or won't 149 emerged and replaced no in usage. For example with inability and prohibition, Brian mostly 150 used no and not at 27 months but switched to can't to express inability, and don't to express 151

prohibition at 39 months. Cameron-Faulkner, Lieven, and Theakston (2007) argued that at 27 months, Brian had a broad conceptualization of negation and likely represented it as a "unitary category in conceptual space."

In a recent study, Nordmeyer and Frank (2018) looked at twice-a-month recordings of 155 five children between the 12-36 months of age (1-3 years) in the Providence corpus (Demuth, 156 Culbertson, & Alter, 2006) and classified children's negative utterances into seven functional 157 categories: disappearance, prohibition, self-prohibition, rejection (refusal), failure, denial, 158 and unfulfilled expectations. Self-prohibition referred to cases where children addressed a 159 prohibition to themselves (e.g. saying no to themselves when reaching for a forbidden object) 160 and unfulfilled expectations referred to cases that expressed surprise when an object was not 161 in an expected place, similar to some cases of non-existence in previous research. They found 162 that refusals (rejections) and denials were the most common functions in children's 163 productions and that children varied with respect to which function was produced first. In 164 line with de Villiers and de Villiers (1979), they concluded that the developmental trajectory 165 of different communicative functions of negation may not be as consistent across individuals as some previous research had suggested.

Table 3

Summary of previous studies on the development of negation's communicative functions.

Study	Number	Age Range	Proposed Functional Stages
	of	(Months)	
	Children		
McNeill and	1	27-32 Months	${\rm non\text{-}existence} > {\rm denial} \; ({\rm non\text{-}contrastive}) >$
McNeill (1968)			rejection > denial (contrastive)
Bloom (1970)	3	19-28 Months	non-existence > rejection > denial
Pea (1978)	6	8-24	rejection > non-existence > denial

Study	Number of Children	Age Range (Months)	Proposed Functional Stages
de Villiers and de Villiers (1979)	3	18-31	rejection, denial (variable)
Choi (1988)	11	19-40	nonexistence, prohibition, rejection, failure > denial, inability, epistemic > normative, inferential
Cameron- Faulkner, Lieven, and Theakston	1	27-39	non-existence, failure, prohibition, rejection, denial, inability, epistemic
(2007) Nordmeyer and Frank (2018)	5	12-36	denial, rejection, prohibition, failure, disappearance (variable)

Table 3 provides a summary of previous research on the communicative functions of 168 negation in children's speech. As the summary shows, there is currently no consensus on 169 which functional categories should be included or in which order they are produced. Here we 170 are going to discuss three possible reasons for this lack of consensus. First, de Villiers and de 171 Villiers (1979) and Nordmeyer and Frank (2018) have emphasized that there is considerable 172 variability among children and their parents in their use of negation. Given that previous 173 studies have typically considered only a few children (3-4 on average), they could have 174 reached conclusions that are true of their sample but not of the population of 175 English-speaking children. Second, previous studies have used monthly or fortnightly 176 recordings of children's speech for about 60-90 minutes per recording session. Given that 177 children produce many hours of speech daily, such sparse sampling may have created 178

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accidental gaps for certain communicative functions and consequently made it as if functions appear in ordered stages. The only study with relatively dense recording is

Cameron-Faulkner, Lieven, and Theakston (2007) which reports the presence of all

communicative functions in the child's speech from early on. However, the recordings for this study start at a later age than many other studies.

Third, previous research shows that defining and detecting the communicative 184 functions of negation is not a trivial task. Different studies have sometimes used different 185 basic categories and different definitions or criteria for classifying negative utterances. 186 Therefore, what counts as an instance of rejection or non-existence may vary among studies 187 and contribute to the reported variability. Most importantly, annotations focus on many 188 underspecified utterances such as "no car" or "no more" which are highly ambiguous and 189 can count as an instance of different communicative functions. Does no car mean "there is 190 no car here" or "I don't want a toy car?" Researchers often have to rely on the context but 191 the context is not fully represented in many child language corpora used for annotations. 192 More importantly, this approach is not scalable to larger numbers of children and bigger 193 corpora since manual annotations take considerable amount of time, energy, and training. In 194 the next section, we discuss how the current study addresses these three issues. 195

Current Study

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We build on previous research and address the methodological issues discussed in the 197 previous section in two ways. First, in this study we use large corpora of parent-child 198 interactions, aggregating speech samples from X children between the ages of 1-6 years 199 (12-72 months). If the lack of consensus in previous research was mainly due to the small 200 number of children, increasing this number should address the issue. Aggregating speech 201 samples across children would also provide denser samples at each time interval and reduce 202 the possibility of accidental gaps in age intervals. The reasoning behind this approach is that 203 despite individual variation, if there are major developmental stages, they should be 204

²⁰⁵ detectable in large aggregate corpora of child speech.

Second, in this study we shift the focus from detecting and classifying negative 206 communicative functions such as rejection to detecting and classifying negative constructions 207 that typically communicate them. Here by negative constructions, we refer to syntactic 208 constructions modified by any one of the three negative morphemes in English: no, not, n't. 209 Table summarizes the constructions and communicative functions used in this study. This 210 approach has several advantages. To begin with, negative constructions are more concrete 211 and easier to define and classify. This way we can avoid inconsistent definitions and criteria 212 for classification. For example, utterances that combine negation with the main verb want 213 (e.g., "I don't want that") constitute a construction that typically conveys rejection. In 214 addition, due to their concrete definitions, constructions can be detected and classified 215 automatically in large corpora. While it is difficult to manually annotate for examples of 216 rejection across thousands of utterances, it is relatively easier to automatically detect 217 utterances containing the verb want modified by negative morphemes. This approach is 218 similar in spirit to that of Choi (1988) who manually annotated for both communicative 219 functions and their common linguistic forms (Table 3).

One downside of focusing on negative constructions is that it may systematically 221 underestimate children's knowledge of negation. Due to early limited productive capacities, 222 children produce shorter forms before longer ones. Therefore, they can convey a 223 communicative function like rejection using a simple no before they can produce the full 224 construction "I don't want that." Focusing on children's production of explicit constructions, 225 we might arrive at a conservative estimate of when children are able to convey a 226 communicative function. To make up for this, besides investigating negative constructions at 227 the sentence level, we also investigate children and parents' use of no as a response particle 228 and at the discourse level. Negative response particles like no can be used in isolation to 220 negate the content of a previous utterance. For example, if a mother asks "do you want some 230

milk?" and the child responds with "no," the negative particle anaphorically targets the 231 proposition "I want some milk" and negates it to convey "I don't want milk." By using 232 negative discourse particles like no, children can convey complex negative content without 233 actually producing them in words. This approach is useful early in their development when they have limited productive capacities. Therefore, we also look at children and parents' use of negative discourse particles. More specifically, we examine the constructions immediately 236 preceding and negated by the response particle no. The analysis of these constructions can 237 capture communicative functions that the response particle no conveys before children are 238 capable of producing their full syntactic forms. 239

Table: Negative constructions used in this study that typically convey communicative functions studied in previous functional accounts of negation development

Data and preprocessing

For child speech data in English, we turned to the CHILDES database (MacWhinney, 243 2000)¹ and selected English speaking children with typical development within the age range of 12-72 months. Parents' and children's utterances were extracted via the childes-db (Sanchez et al., 2019) interface using the programming language R. In order to obtain (morpho)syntactic representations for parents' and children's utterances, we used the 247 dependency grammar framework (Tesnière, 1959). Part-of-speech (POS) tags for each token within an utterance were automatically derived using Stanza (Qi, Zhang, Zhang, Bolton, & 249 Manning, 2020), an open-source natural language processing library; dependency relations 250 for all utterances were acquired also in an automatic fashion using DiaParser (Attardi, 251 Sartiano, & Yu, n.d.), a dependency parsing system that has been demonstrated to achieve 252 excellent performance for at least written texts in English. 253

254 At the sentence level, we characterized the syntactic features of the negative utterances

¹ Code and data are in quarantine at https://github.com/zoeyliu18/Negative Constructions.

associated with each communicative function, then classified utterances based on these
features in a rule-based fashion with the help of POS information and syntactic dependencies.
To decouple the development of the syntactic construction from the development of negation
in that construction, we also examined the production of positive counterparts to each
negative construction. The positive counterpart of our negative constructions share the same
syntactic features (e.g., same head verb) but they have no negative morphemes ("I know" for
"I don't know"). These positive constructions do not express the same communicative
function as their negative counterparts. Our main purpose for including the positive
counterparts is to factor in the development of the syntactic construction without negation.

At the discourse level, we need to analyze the negative constructions that the discourse 264 particle no stands for. To achieve this, we selected utterances that started with negative 265 discourse particles like "no no I like it," where the dependency relation of these discourse 266 particles is "discourse" by the dependency parser; we also included cases with just one or 267 duplications of the discourse particles ("no no no"). For each negative utterance identified 268 this way, we extracted the previous utterance (the antecedent) in the discourse context. For 260 child speech, we included interactions (negative utterances + their antecedents) where 270 antecedents were produced by either the parents or the children themselves. For parent 271 speech, we only included interactions where the antecedent was produced by children. We 272 then applied the same analyses performed to sentence level constructions to these antecedent 273 utterances. The assumption here is that the negative discourse particles are implicitly 274 negating the content of their discourse antecedents. 275

276 Measures

We took age as a proxy for children's development and divided the 12-72 months range into monthly bins. We used the following two metrics for each age bin and communicative function. First, we defined the ratio $f_{c,t}$ for construction c and age bin t as the number of utterances in construction c and age bin t divided by the total number of utterances produced at age bin t. For example at age 30 months, children produced a total of 81,302 utterances, out of which 391 were classified as rejections. Therefore the ratio of rejection at 30 months is 391/81,302 = 0.005.

$$f_{c,t} = \frac{n_{c,t}}{n_t}$$

Second, we borrowed the measure of "cumulative (moving) ratio" from the analysis of 285 time series data (Wei, 2006). We defined the cumulative ratio $F_{c,t}$ for a construction c at age bin t, as the sum of the number of utterances produced with construction c from the first age bin to age bin t, divided by the sum of all utterances produced between the first age bin and age bin t. For example up to age 30 months, children in our corpus produced 721,748 total 289 utterances, out of which 2,166 were instances of rejection. Therefore, the cumulative ratio of 290 rejection at age 30 months is $2{,}166/721{,}748 = 0.003$. The cumulative ratio has the advantage 291 that at each age bin, it takes into account the productions in previous age bins. Assuming 292 that children accumulate linguistic knowledge throughout their development, this measure 293 provides a more realistic and stable measure of children's productive capacity at each age. 294

$$F_{c,t} = \frac{\sum_{i=1}^{t} n_{c,i}}{\sum_{i=1}^{t} n_i}$$

The two ratios mentioned above were calculated for negative constructions and their 296 positive counterparts at the sentence and discourse levels for children as well as parents. 297 With that being said, for the sake of presentations, our figures focus on the results of 298 cumulative ratios. In addition, in this study we use parents' speech as a benchmark for 290 children's development. Therefore, the subfigures within each figure contrast children's 300 production to that of parents at the corresponding age of the children. In what follows, we 301 describe in detail the results for each communicative function and its associated negative 302 constructions. 303

304 Negative Constructions

Rejection. For instances of "rejection" and positive counterparts, we selected 305 utterances in which the lemma of the head verb of the phrase was either like or want. For 306 negative instances, the head verb is modified by one of the three negative morphemes no, not 307 or n't, whereas cases including the same head verb but without negation were classified as positive. Table 4 shows examples of negative utterances including those in which the speakers describe their own desires with or without an auxiliary verb, cases that express 310 rhetorical inquiries of desires from one interlocutor to another, and instances where the 311 speaker is describing the desires of somebody else. We classified a total of 20,641 negative 312 utterances (child: 9,398; parent: 11,243), and a total of 180,881 positive utterances (child: 313 63,427; parent: 117,454). 314

Table 4

Examples of sentence-level rejections (negative) and their positive counterparts in children's speech.

Rejection (Negative)	Positive Counterpart
I no like sea	she likes cheese
don't wanna go	I want it
don't you wanna try it	I wanna have that
Sarah doesn't like that either	she likes this one

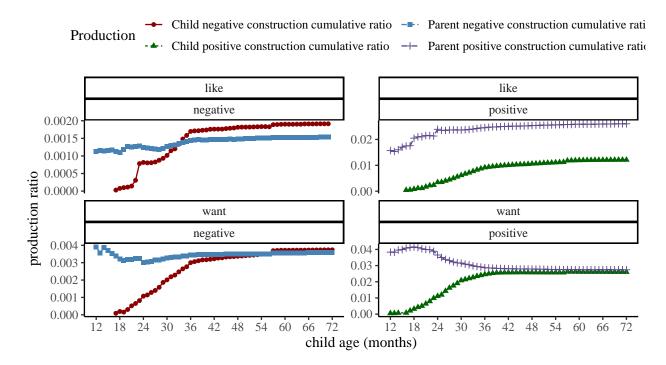


Figure 1. Cumulative ratios for the production of rejection at the sentence level for children between 12 to 72 months of age, and their parents. The y-axes are scaled differently for the panels to accommodate differences in relative frequencies.

Starting with our analysis at the sentence level, Figure 1 shows the cumulative ratios of 315 parents' and children's instances of rejections and their positive counterparts (y-axis) with 316 age along the x-axis. Overall, we see a similar pattern of production for rejection whether 317 the head verb is want or like in child speech. Comparing the cumulative ratios between 318 parents and children, children's production of rejection gradually increases between the ages 319 of 18 and 36 months. After about 36 months of age, children's production of these 320 constructions stays at a relatively constant rate and close to parent levels. The main 321 exception is positive instances with the head verb like. Parents produced such constructions 322 more frequently than children across at different ages. In all age bins, the production ratio 323 for negative utterances was lower than that for their positive counterparts. 324

On the discourse level, we investigated discourse interactions (antecedent + negative

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utterance) in which the antecedent has one of the head verbs *like* or *want*, yet the head verb does not have to be modified by negative morphemes (Table 5). We found a total of 11,021 such utterances (child: 7,903; parent: 3,118). As shown in Figure 2, children's production of no to convey rejection increases regularly from the age of 18 - 36 months². Overall, negation is produced at the discourse level more frequently in child speech compared to parent speech. Table 5

Examples of discourse-level rejections and their antecedents in parents' and children's speech.

Antecedent	Utterance
Parent: I want you to try it	Child: no no no
Parent: would you like to go	Child: no no
Child: I don't like that	Parent: no honey you have to try it
Child: I want it	Parent: no this is not for you

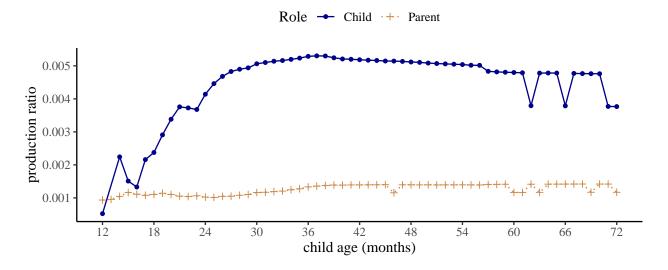


Figure 2. Cumulative ratios for the production of rejection at the discourse level for children between 12 to 72 months of age, and their parents.

² For each communicative function, at the discourse level we also examined cases of different subtypes (e.g., different head verbs) separately; though due to data sparsity issues, we collapsed these instances for our final analyses

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Non-existence. For the function of non-existence we searched for the English expletive construction and extracted utterances that had *there*-expletives, followed by a copula, and a noun phrase (phrases headed by either nouns or pronouns). We classified utterances where the predicate was modified by negation as negative, and the rest as positive. This led to a total of 1,983 negative utterances (child: 498; parent: 1,485), and a total of 35,287 positive utterances (child: 8,385; parent: 26,902).

Table 6

Examples of sentence-level non-existence (negative) and positive counterparts in children's speech.

Non-existence (Negative)	Existentials (Positive)
there's no (more) water	there are books
there isn't it	there is it

At the sentence level, children produced negative constructions to express non-existence 337 less frequently than the positive counterparts. As Figure 3 shows, the cumulative ratio for 338 the production of non-existence increases from 18 to 36 months. Around and after 36 months 339 of age, children's production reaches a stable cumulative ratio but stays below parents' level. 340 Notice that there appears to be slight fluctuations of cumulative ratios between the age of 19 341 and 25 months in child production. A closer inspection of the data reveals that within that 342 age range, the frequency of negative utterances at most ages is either one or zero. Therefore as the number of total utterances increases along the developmental trajectory, the 344 cumulative ratio for negative existential utterances actually decreases in this brief period. 345

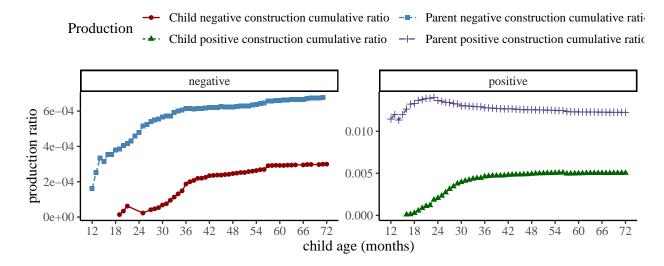


Figure 3. Cumulative ratios for the production of non-existence at the sentence level for children between 12 to 72 months of age, and their parents.

For non-existence at the discourse level, we applied similar selection criteria and
extracted negative utterances with existential constructions in their antecedents (Table 7).
This led to a total of 1,202 utterances (child: 828; parent: 374). As Figure 4 shows, there is
an increase in children's responses with *no* to parents' existential utterances between the
ages of 18 and 36 months. After 36 months, despite the fact that ratios show fluctuations,
the cumulative ratios of children's production seem stable and similar. Therefore with
non-existence, both sentence level and discourse level analyses point to substantial
development in the age rage of 18-36 months.

Table 7

Examples of discourse-level non-existence and their antecedents in parents' and children's speech.

Antecedent	Utterance
Parent: is there a bunny	Child: no no bunny
Child: there is my ball	Parent: no that's not yours

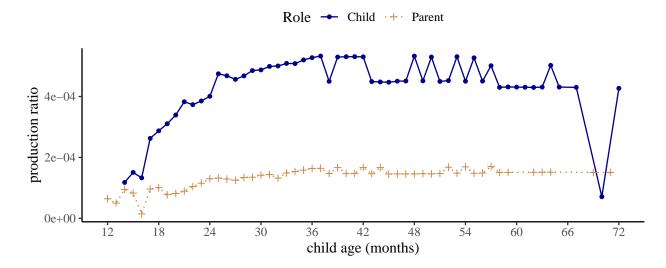


Figure 4. Cumulative ratios for the production of non-existence at the discourse level for children between 12 to 72 months of age, and their parents.

Prohibition. For constructions that typically convey prohibition, we extracted 354 utterances that were labeled as imperatives in CHILDES. In particular, we selected instances 355 where the head verbs do not take any subjects. As before, cases without any negative 356 morphemes are considered as positive. For negative constructions, we chose structures where 357 the negative morphemes are combined with the auxiliary verb do (as well as does or did to 358 include possible errors) and they together modify the head verbs of the sentences. In order 350 to not have overlap with rejection, non-existence, epistemic negation and possession (see 360 below), our search excluded utterances where the head verb had any of the following lemma 361 forms: like, want, know, think, remember, have. This resulted in a total of 1,069 negative 362 utterances (child: 309; parent: 760), and a total of 25,542 positive utterances (child: 8,659; 363 parent: 16,883).

Figure 5 shows the cumulative ratios of prohibitions and their positive counterparts in parents' and children's speech at the sentence level. In both child and parent speech, negative constructions for prohibition are consistently produced less frequently than their positive counterparts. Children produce prohibitions (negative imperatives) more and more often between 24 and 36 months. In comparison, the cumulative ratio in parent speech

gradually decreases at the beginning when children are between 12 - 24 months. Yet overall,
child production remains consistently lower than parent production of prohibition. This
might be due to the social nature of parent-child interactions, in which it is more likely for
parents to explicitly command and direct children's actions than the other way round.
Table 8

Examples of sentence-level prohibition (negative) and positive counterparts in children's speech.

Prohibition (Negative)	Imperatives (Positive)
don't blame Charlotte	cook it
don't do that	try this

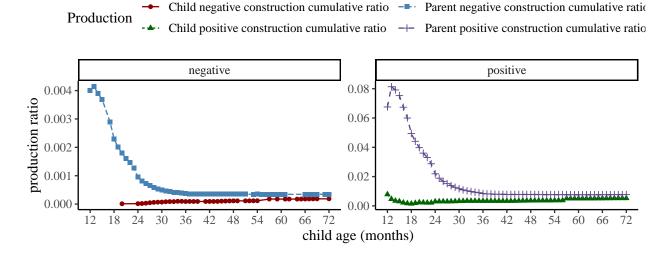


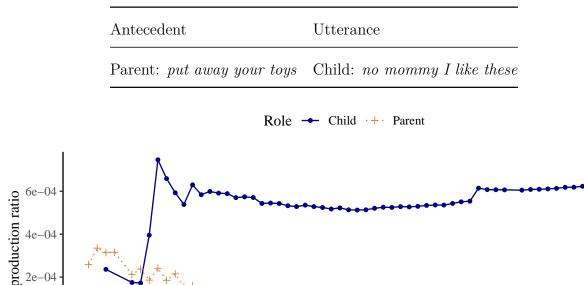
Figure 5. Cumulative ratios for the production of prohibition at the sentence level for children between 12 to 72 months of age, and their parents.

At the discourse level, we selected *no*-utterances with antecedents that were subjectless imperatives headed by a verb, excluding the following lemma forms: *like*, *want*, *know*, *think*, *remember*, and *have*. This resulted in a total of 1,270 utterances (child: 1,055; parent: 215).

As shown in Figure 6, children's usage of negation as a response particle to express

prohibition increases within the age range of 18 to 24 months, and stays relatively stable 378 after. Parents productions decreases when the children are between 12 to 36 months. 379 Table 9

Example antecedents imperatives and discourse level negation in parents' and children's productions.



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Figure 6. Cumulative ratios for the production of prohibition at the discourse level for children between 12 to 72 months of age, and their parents.

child age (months)

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Inability. For the function of inability, we analyzed instances with head verbs that 380 are modified by the modal auxiliaries can and could. If the head verb was also modified by a 381 negative morpheme, we classified it as negative. Otherwise, we considered it positive. Cases 382 without a subject (e.g., "can't play") or with subjects that were not first person singular 383 (e.g., "you can't do that," "this can't go in the box") were excluded. This is because such 384 cases often yield readings other than "(in)ability." Depending on the larger context, they 385 could be deontic (e.g., "you are not allowed to do that") or epistemic (e.g., "it is not possible 386 for it to go in the box"). To avoid this ambiguity and focus more on constructions that 387

convey an individual's ability, we restricted our analyses only to cases with the first person singular subject *I*. This led to 7,115 negative utterances (child: 3,917; parent: 3,198), and 14,433 positive utterances (child: 7,589; parent: 6,844). Table 10 shows a few example of the cases we considered.

Table 10

Examples of sentence-level inability (negative) and positive counterparts in children's speech.

Inability (Negative)	Ability (Positive)
I can't see	you could do it
She can't go	mommy could help me

Figure 7 shows cumulative ratios of parents and children's productions of inability constructions as defined above. Similar to previous constructions, positive instances are generally more frequent than negative ones. Children produce inability negation more and more frequently between 18-36 months. After 36 months, their productions are stable and higher than parent ratios.

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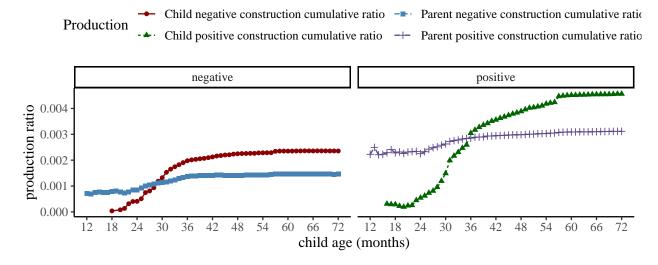


Figure 7. Cumulative ratios for the production of inability at the sentence level for children between 12 to 72 months of age, and their parents.

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At the discourse level, we selected utterances with the negative particle no in response to antecedents that had a similar structure to the inability construction defined above. This yielded a total of 1,275 negative utterances (child: 621; parent: 654). Figure 8 shows the ratios and the cumulative ratios for parents' and children's production of discourse level inability construction. Considering cumulative ratios, children's productions gradually increase from 24 to 36 months and stabilizes after 36 months at a similar rate to that of parent's. 403

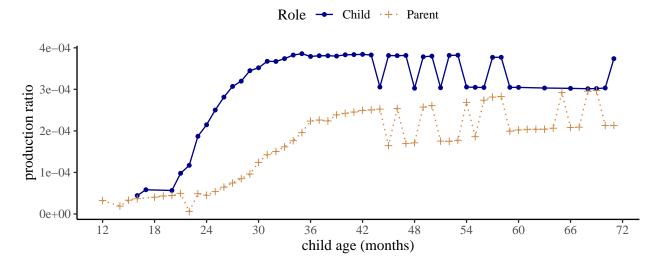


Figure 8. Cumulative ratios for the production of inability at the discourse level for children between 12 to 72 months of age, and their parents.

To capture the function of labeling at the sentence level, we concentrated 404 on copula structures in which the predicate is a nominal or an adjectival phrase. Specifically, the nominal predicates exclude possessive pronouns (e.g., "mine") as well as nominals with a 406 possessive dependent (e.g., "my book") in order to not overlap with the communicative 407 function of possession (see below). We considered instances where the predicate is modified 408 by negative morphemes as negative, and others as positive. To also avoid overlap with cases 409 of non-existence, none of the utterances contained expletives (e.g., "there is no book"). This 410 resulted in a total of 36,410 negative utterances (Child: 6,193; Parent: 30,217), and 484,679 411 positive utterances (Child: 121,107; Parent: 363,572). 412

Table 11

Examples of sentence-level labeling (negative) and positive counterparts in children's speech.

Labeling (Negative)	Labeling (Positive)
that's not a farmer	this is a book
this is not the book	this is nice
I'm not a heavy baby Mum	it's a nice bowl
It's no good	she's pretty

Figure 9 shows cumulative ratios for parent's and children's production of the labeling
construction at the sentence level. In both parents and children, the frequency of positive
counterparts is consistently higher than that of negative labeling instances. Children's
productions of negative labeling increased between 18-36 months, and remained stable after
then; though the production ratios remained lower than those of parents' production.

Child negative construction cumulative ratio --- Parent negative construction cumulative ratio

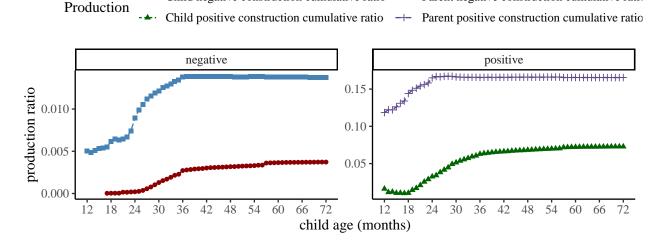


Figure 9. Cumulative ratios for the production of (negative) labeling at the sentence level for children between 12 to 72 months of age, and their parents.

At the discourse level, we selected antecedent utterances with copula structures that combined with a nominal or an adjectival predicate. Table 12 shows a few examples. We

found 18,037 utterances (Child: 12,501; Parent: 5,536). Figure 10 shows the cumulative ratios for labeling instances at the discourse level. There is an increase in children use of negation to respond to labeling utterances between 18 to 36 months. After 36 months, however, these productions stay at a stable rate above parents level.

Table 12

Examples of discourse-level labeling (negative) and their antecedents in parents' and children's speech.

Antecedent	Utterance
Parent: is this one good	Child: no it's not
Parent: are you a captain	Child: no I'm not
Child: that's the one	Parent: no it's the green one
Child: this is the key	Parent: no no

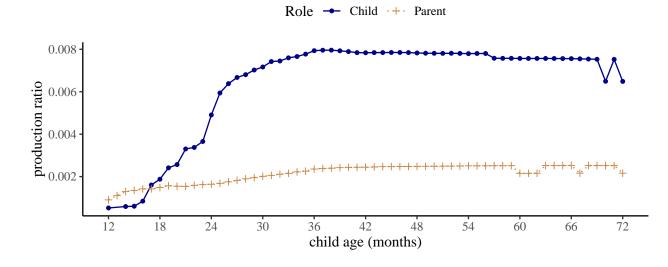


Figure 10. Cumulative ratios for the production of (negative) labeling at the discourse level for children between 12 to 72 months of age, and their parents.

Epistemic Negation. Previous studies have reported instances in which children combined negative morphemes with mental state verbs such as *know*, *think*, and *remember* to express "epistemic negation" (Choi, 1988). For defining epistemic constructions we also

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focused on these three verbs. For sentence level epistemic negation, we analyzed negative utterances where these verbs were modified by negative morphemes, possibly after combining with an auxiliary verb like do. Table 14 shows a few examples. Instances where the speaker asked about or described the negative epistemic state of another speaker were also included, leading to 31,696 negative utterances in total (child: 9,852; parent: 21,844). For the positive counterparts, we selected instances with the same head verbs except that these verbs were not modified by negation. This resulted in a total of 95,679 negative utterances (child: 16,322; parent: 79,357).

Table 13

Examples of sentence-level epistemic negation and positive counterparts in children's speech.

Epistemic (Negative)	Epistemic (Positive)
I not know	I knows
I didn't remember	she remembers
I don't think so	he thinks this one is good
She doesn't know this	She knows about this

Figure 11 shows the cumulative ratios of the epistemic construction as defined above in parents' and children's speech at the sentence level. Overall, positive epistemic utterances were more frequent than negative epistemic utterances, with the possible exception of *know* for children. Across the three head verbs, children's productions with *know* gradually approached that of parents' around 30 - 36 months, whereas cases with the head verb remember and think were produced less frequently by children.

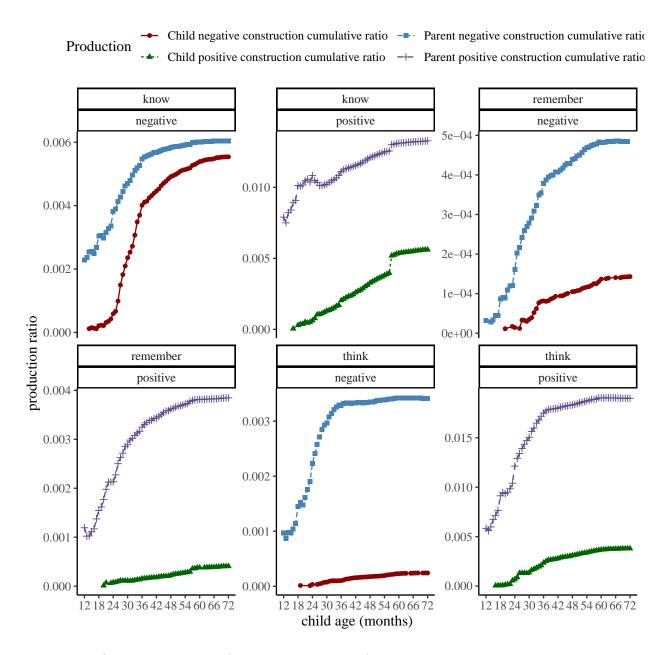


Figure 11. Cumulative ratios for the production of epistemic negation at the sentence level for children between 12 to 72 months of age, and their parents.

For epistemic negation at the discourse level, we examined interactions in which the antecedent utterances took any of the three head verbs *know*, *remember* and *think*, leading to a total of 5,695 utterances (child: 26,174; parent: 101,201). As shown in Figure 12, children's production of epistemic negation at the discourse level increase rapidly between 18-36 months and was in general higher than the production ratio of parents.

Table 14

Examples of sentence-level epistemic negation and positive counterparts in children's speech.

Epistemic (Negative)	Epistemic (Positive)
I not know	$I\ knows$
I didn't remember	she remembers
I don't think so	he thinks this one is good
She doesn't know this	She knows about this

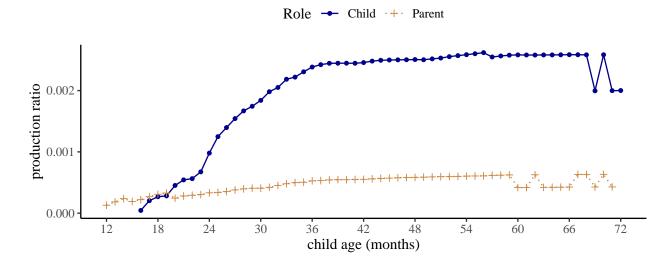


Figure 12. Cumulative ratios for the production of epistemic negation at the discourse level for children between 12 to 72 months of age, and their parents.

Possession. The last function we explored was "possession." At the syntactic level, for negative structures we selected cases where negative morphemes were combined with auxiliary verbs to modify a head verb with the lemma form *have* and a POS tag of VERB. We also included cases of which the syntactic head is a nominal predicate; the nominal predicate can either be a possessive pronoun (e.g., "yours") or a noun phrase with a possessive modifier (e.g., "her book"). Table 15 shows a few examples. The number of negative utterances that were subjected to analysis for this function is 8,892 (child: 2,830;

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parent: 6,062). Again the positive counterparts share similar structures except with no negation, leading to a total of 86,665 (child: 27,730; parent: 58,935). One thing to note here is that for the positive structures with the head verb *have*, we restricted our search to cases where the verb takes a direct object (with the dependency relation *obj*). This is to avoid potential parsing errors of instances such as *I have*, where the verb could ambiguously be an auxiliary.

Table 15

Examples of sentence-level possession and positive counterparts in children's speech.

Posession (Negative)	Posession (Positive)
I don't have it	you have that
you don't have my toy car	she has it
not mine	this is hers
not yours either	mine mine mine

Figure 13 presents cumulative ratios of the negative and positive possession

construction at the sentence level. The production trajectory for possession in child speech

appears to have notable differences depending on what the negative morpheme modifies.

With have as the syntactic head, children increase their productions between 18-36 months,

and stay below parent levels of production even after 36 months. However, for utterances

headed by possessive pronouns, productions increase rapidly between 18-24 months and stays

above parent levels as early as 24 months of age.

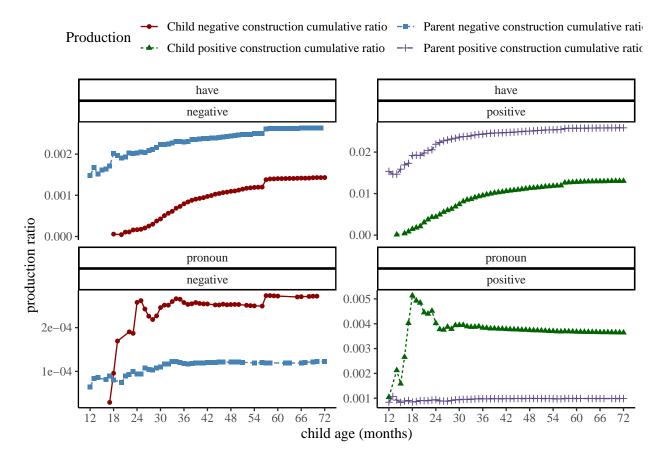


Figure 13. Cumulative ratios for the production of possession at the sentence level for children between 12 to 72 months of age, and their parents.

For discourse level possessives, we selected antecedents to discourse level negative utterances (e.g., no!) which themselves had structures similar to both the negative and positive constructions of possession at the syntactic level (Table 16). Based on Figure 14, the overall patterns indicate that children's production of discourse level possession increases gradually within the age range of 18 to 36 months; and their production is mostly higher than that of parents.

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Table 16

Examples of discourse-level possession and their antecedents in parents' and children's speech.

Antecedent	Utterance
Parent: not yours	Child: no it's mine mine
Parent: do you still have that picture	Child: no
Child: I don't have the book	Parent: no no mommy please read it to me
Child: mommy has it	Parent: no mommy gave it back to your auntie

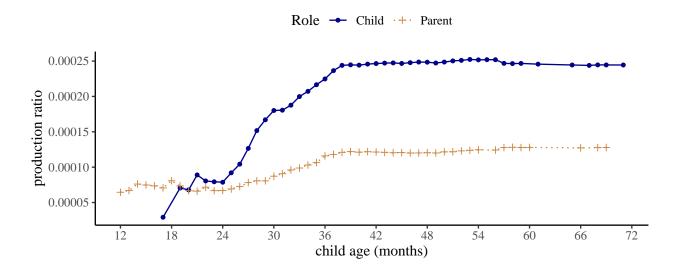


Figure 14. Cumulative ratios for the production of possession at the discourse level for children between 12 to 72 months of age, and their parents.

Discussion and Analysis. Figure 15 shows the cumulative ratios of all our negative constructions at the sentence level for children (left panel) and parents (right panel). For most constructions, parents produce them at relatively constant rates across most of the age bins. Notable exceptions are labeling, epistemic, and prohibitions between 12-36 months. Parents seem to increase their productions of labeling and epistemic constructions in this period and produce them at a relatively constant rates between 36-72 months. This may be due to the substantial change in the nature of parent-child interactions and conversations

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around 36 months. Children become more conversant and interactive around this age which 479 in turn may affect parent productions and affect certain constructions more than others. 480 Prohibitions start as one of the most frequent constructions at 12-18 months of age and end 481 up as the least frequently used construction after around 30 months. One obvious reason for this trend may be that when children are younger, parents guide their actions through imperatives and commands a lot more frequently than later in the child's life. Children start 484 producing most constructions in the 12-24 age range. Two constructions, non-existence and 485 prohibition, seem to show some delay. With non-existence, even though there are examples 486 between 18-24 months, there is a discontinuity between 22 - 24 months instead of a slow and 487 steady increase seen in most of the other functions. This may be partly due to the samples 488 available at that age range and with more data a clearer pattern may emerge. With 489 prohibitions, we see a relatively smooth pattern. Children start to produce them later than 490 other functions (more regularly between 24-30 months) and its rate of production stays 491 below parents' levels. It is possible that parent-child interaction does not provide many 492 contexts for children to prohibit parents. Nevertheless by 36 months of age, the production 493 of most constructions appears to be stable. 494

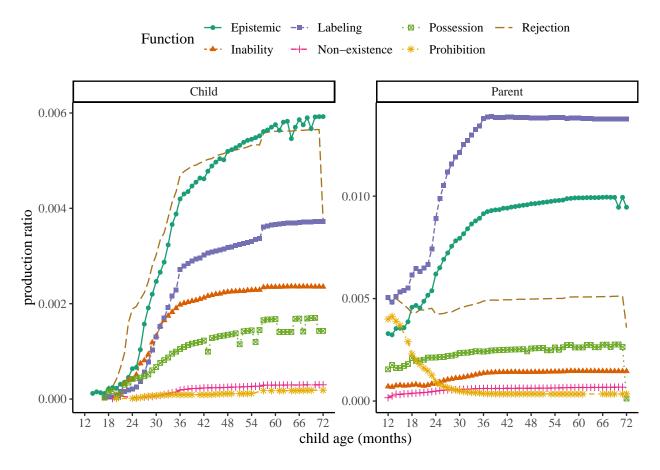


Figure 15. Cumulative ratios for all negative constructions at the syntactic level.

Figure 16 shows the cumulative ratios of all positive counterparts to our negative 495 constructions at the sentence level for children (left panel) and parents (right panel). For 496 almost all constructions, parents productions are stable and constant. Notable exceptions are 497 labeling and positive counterparts to prohibitions (positive imperatives) between 12-30 498 months. Similar to negative instances of labeling, positive instances increase in frequency 499 between 12-30 months but stay stable and constant after. Positive prohibitions are produced 500 much more frequently at the beginning and between 12-36 months, but their production 501 decreases later. This pattern mirrors what we see in Figure 15 with (negative) prohibitions. 502 Children started producing all positive counterparts to our negative constructions before 24 503 months of age. By 36 months, almost all positive constructions are being produced at a 504 relatively constant rate close to parents' levels. Another noteworthy pattern is the relative 505

high frequency of positive counterparts to prohibitions in the 12-24 months age period.

Unlike (negative) prohibitions that were produced with some delay (compared to other

constructions) around 24-30 months, positive imperatives are produced with high frequency

even before 24 months of age. In other words, even though children do not frequently

prohibit parents, they seem to be frequently ordering or commanding parents to do things

for them; a conclusion that may not surprise many parents or caregivers.

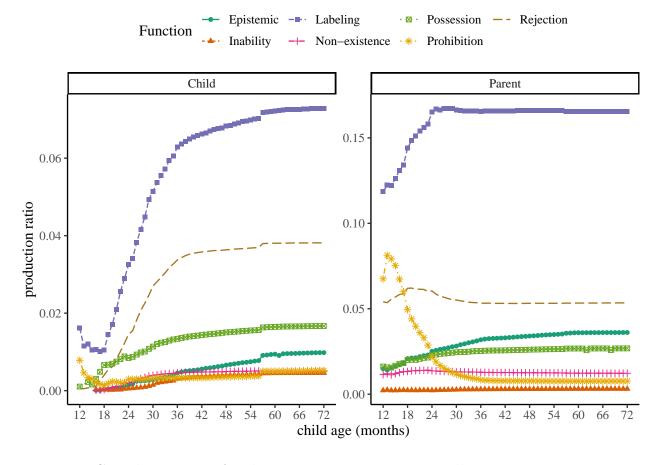


Figure 16. Cumulative ratios for the positive counterparts to all negative constructions at the syntactic level.

Finally, Figure 17 shows the cumulative ratios of all negative responses to a previous utterance that used the negative constructions or their positive counterparts. Starting with parents' productions on the right, we see again a relatively constant rate of producing negative responses to each construction after 36 months. Before 36 months, however, most

constructions show a gradual increase with the exception of prohibitions. Parents' start with
more frequent "no!"-responses to imperatives produced by children, but the frequency of
these negative responses drops to a relatively low and stable level after children are 36
months of age. Looking at children's negative responses on the left panel, we see that
productions begin for all functions before 30 months of age and by 36 months children are
already producing negative responses at a relatively constant rate or even higher than
parents' production levels. Compared to the sentence level, the most frequent communicative
functions expressed by these discourse interactions are still rejection, epistemic, and labeling.

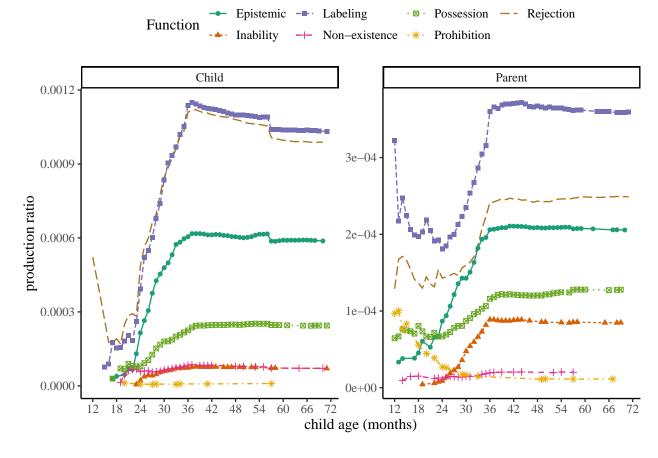


Figure 17. Cumulative ratios for all negative constructions at the discourse level.

524 Conclusion

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Using automatic annotations of large-scale corpora of child-parent interactions, we presented production trajectories for seven negative constructions that tend to express

rejection, non-existence, prohibition, inability, labeling, epistemic states, and possession 527 (Table 1). The results suggest that the production of almost all these negative constructions 528 (except for prohibition) emerges and gradually increases within the 18-36 months age range (Figure 8). Their production frequencies remain stable and regular after 36 months and relatively comparable to parents' levels of production. It is important to note that similar to 531 prior studies, our conclusions are limited to negation in children's production. Systematic 532 experiments testing children's comprehension of negative utterances with different 533 communicative functions are necessary to better understand the origins and developmental 534 trajectory of negation. 535

ZL: I didn't understand the next paragraph. It could be just me. It also feels like general discussion. Maybe I remembered it wrong.

A different hypothesis is that from the start, negation is an abstract concept that can 538 serve different communicative functions. The main task of the learner is to break the speech 539 stream, detect negative morphemes like no, not, or nt', and map them to this abstract 540 meaning. She should then learn to use them appropriately in composition with other words 541 to convey the right communicative function in context. There is either no substantial 542 conceptual development for a logical concept such as negation, or this development is 543 complete by the time the process of form-meaning mapping starts. This account predicts 544 that conceptually speaking, different communicative functions should be learnable and 545 expressable early on and around the same time. Any delays in the comprehension or 546 production of negative constructions and functions must be due to lack of experience with 547 that construction or limitations in children's productive capacity. Therefore, it is possible for 548 communicative functions of negation to not be comprehended or produced in fixed and ordered stages. Children may vary considerably on what constructions or functions they 550 comprehend or produce earlier. 551

There are a few theoretical and methodological caveats, however. Studies that

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hypothesize stages in the development of negation almost exclusively study children's productions. Our methods of data collection and analysis may also affect our ability to provide data for or against these hypotheses.

Nevertheless, there seems to be some consensus among researchers that the crucial period for the development of negation is the period between 18 and 30 months of age. Some researchers suggest that by 36 months, children have an abstract concept of negation that is used to convey a variety of communicative functions (Cameron-Faulkner, Lieven, & Theakston, 2007; McNeill & McNeill, 1968; Pea, 1978).

Fourth, previous studies have almost exclusively focused on children's production of negation. A tacit assumption is that children's linguistic production provides a straightforward window into their conceptual development. However, children's linguistic comprehension may differ substantially from their production, and these in turn may differ from their conceptual representations. . . . Therefore, developmental patterns

For future work, we would like to explore several directions. First, to more thoroughly 566 examine and potentially model the developmental trajectories of negation in child production, 567 certain production-specific factors (e.g., length of utterance, ease of pronunciation) should be 568 taken into account as well in order to paint a more clear picture about the development of 569 negation. Additionally, to avoid as much ambiguity resulted from the automatic parser as 570 possible, we tried to be restrictive when identifying the negative constructions of interest in 571 our study. This means that the structures analyzed here do not include all constructions 572 modified by the three negative morphemes in English, such as instances where the syntactic 573 head is a noun phrase that does not fall under the category of possession (e.g., "not table"). 574 It would be worthwile for future studies to take upon these cases as well to investigate more 575 broadly what lexical items negative morphemes co-occur with, and how the lexical diversity 576 of these structures change along the developmental trajectory. 577

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- Given previous studies and our own, we conclude that it is possible that negation starts as an abstract concept that can be used to perform different communicative functions, and it is possible that it develops relatively quickly in functional stages becoming more abstract between 18-30 months of age.
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Function	Negative morpheme combines with	Negative Examples
Rejection	*like*/*want*	*I not like it*; *not want it*
Non-existence	*there*-expletive	*there is no soup*
Prohibition	imperative subjectless *do*	*do not spill milk*
Inability	*can*	*I cannot zip it*
Labeling (Denial)	nominal/adjectival predicates	*that's not a crocodile*; *it's no interesting
Epistemic	*know*/*think*/*remember*	*I not know/think/remember*
Possession	*have*/possesive pronouns	*not have the toy*; *not mine*

Table 17

English negative communicative functions and constructions used in this study.