

Designing Reliable Virtualized Radio Access Networks

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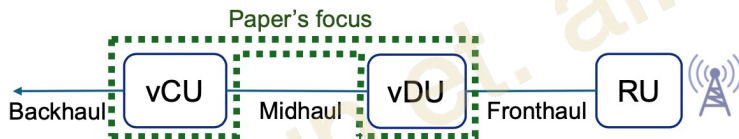


Virtualized Radio Access Networks (vRAN or Cloud RAN)

Horizontal Disaggregation (CU/DU) of RAN Functions

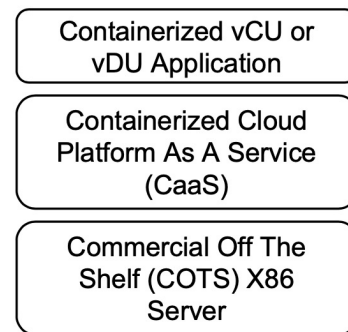


(a) Traditional Distributed Radio Access Networks (dRAN) Architecture with Baseband Units (BBU)



(b) Disaggregated dRAN Architecture with virtualized Distributed Unit (vDU) and virtualized Central Unit (vCU)

Vertical Disaggregation or Virtualization of RAN Functions



(c) Virtualized RAN Stack

How does disaggregation impact availability?
Do we need hardware/software replication?

Analytical Modeling

First focus on cloudification of RAN – vCU & vDU

What can fail in vRAN?

1. Hardware (*permanent*) failures

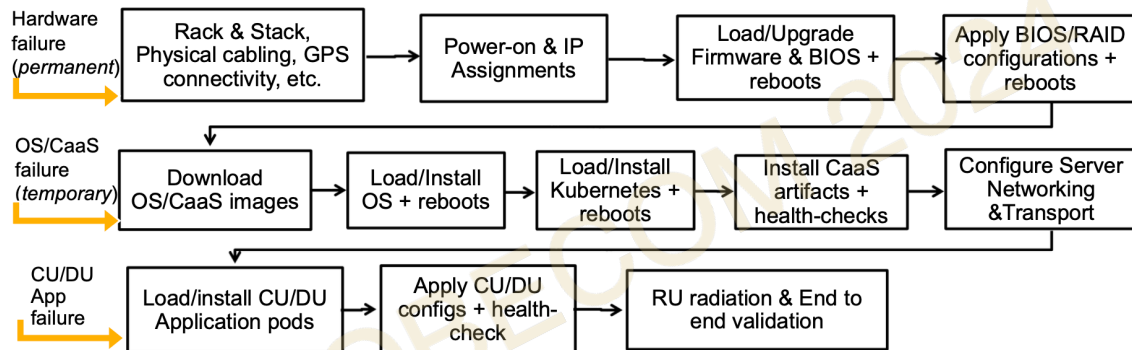
a team needs to drive to the location of failure

2. OS/CaaS (*temporary*) failures

Cloud platform needs reboot

3. CU/DU Application failures

Software containers need reboot

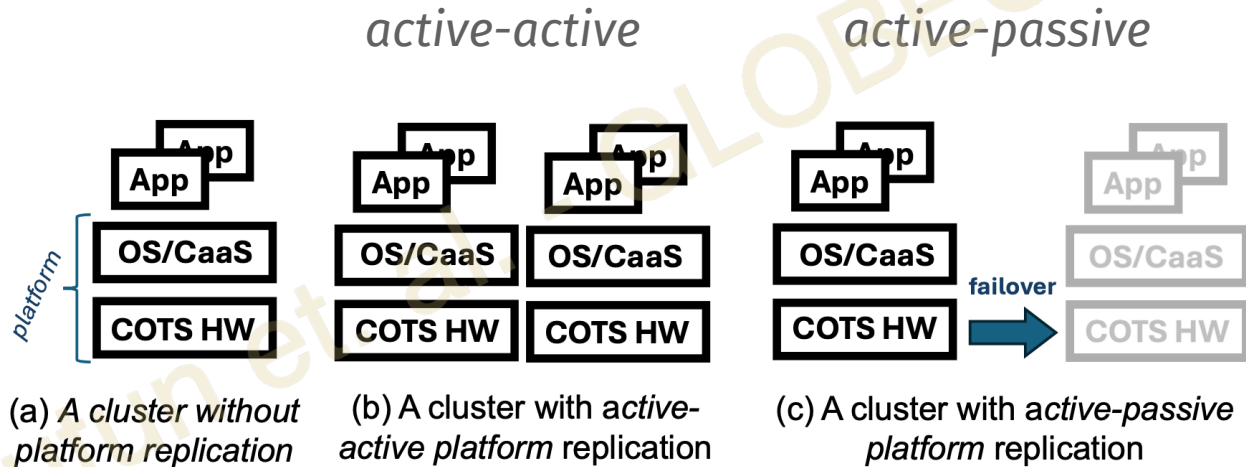


| | Parameter | Value | Reference |
|-------|-----------|---------------------|---------------------|
| Perm | $MTTF_h$ | 10 – 100 years | [1]-[3] |
| | $MTTR_h$ | 10 hours | This study |
| Temp. | $MTTF_o$ | 17 – 70 days | [4] |
| | $MTTR_o$ | 0.5 min - 1.5 hours | [5], This study |
| App. | $MTTF_s$ | 7 – 52 days | [6] |
| | $MTTR_s$ | 1 s – 30 min | [7]-[9], This study |

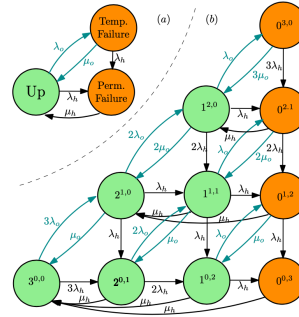
MTTF: Mean time to fail

MTTR: Mean time to recover

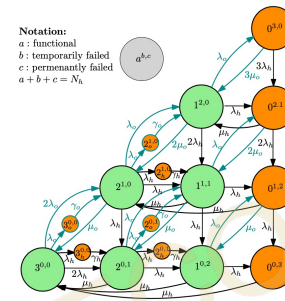
Replication types in consideration



Modeling Approach

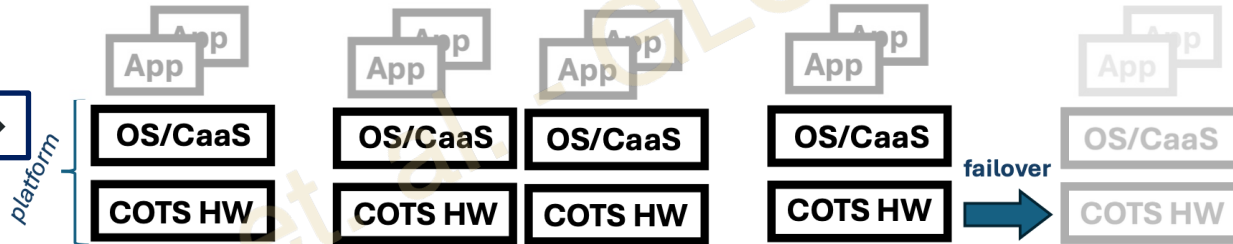


active-active



active-passive

2 Platform Models →



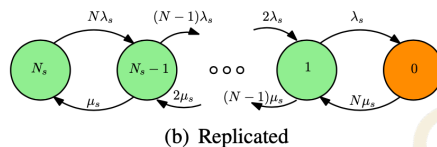
(a) A cluster without platform replication

(b) A cluster with active-active platform replication

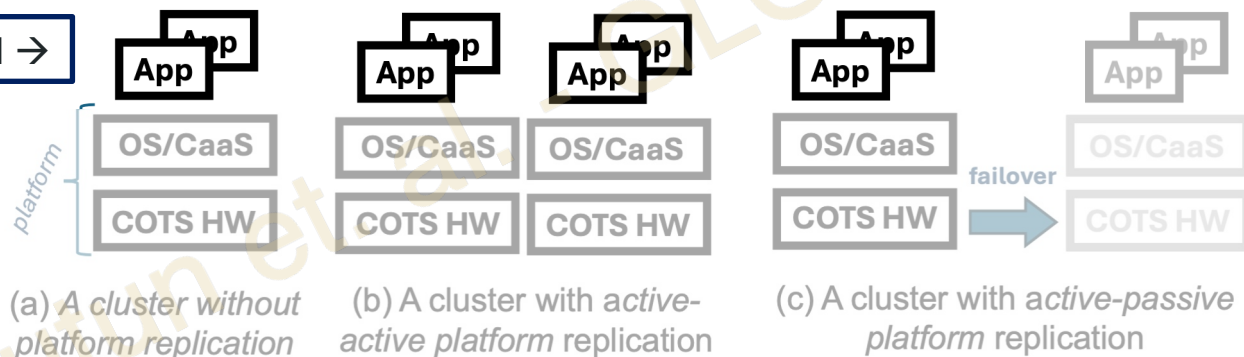
(c) A cluster with active-passive platform replication

- We use *Continuous Time Markov Chains* within the context of Machine-Repair Problems to model the DU and the CU.

Modeling Approach



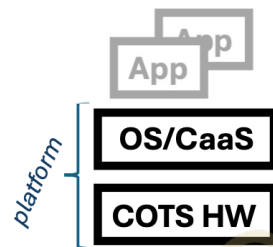
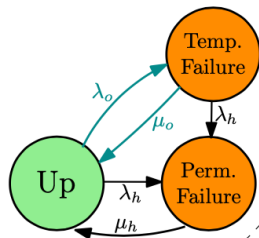
1 Application Model →



- We use *Continuous Time Markov Chains* within the context of Machine-Repair Problems to model the DU and the CU.

Modeling the platform

Simplest case



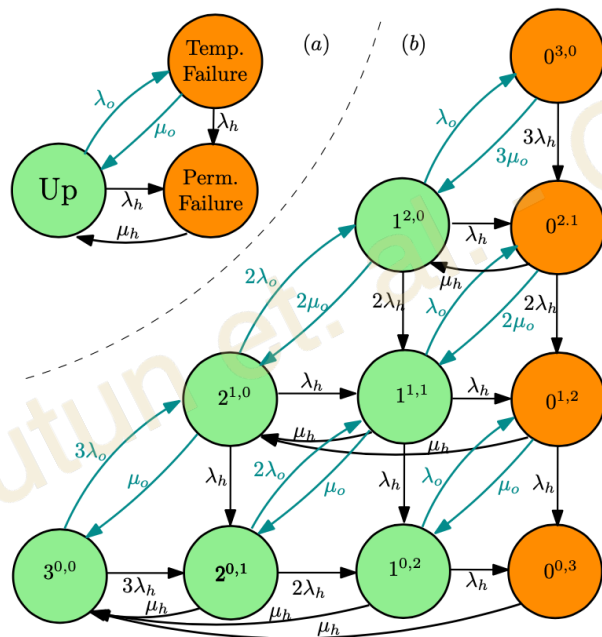
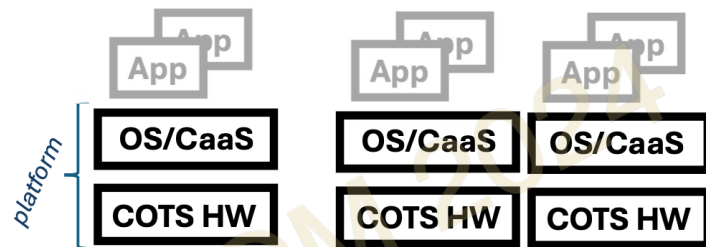
(a) A cluster without platform replication

1. **Hardware (*permanent*) failures**
 $\lambda_h = 1/MTTF_h$ and $\mu_h = 1/MTTR_h$
2. **OS/CaaS (*temporary*) failures**
 $\lambda_o = 1/MTTF_o$ and $\mu_o = 1/MTTR_o$

- Exponentially distributed repair times and times between failures assumed.
- We solve for steady state probabilities, analytically or numerically.

Modeling the platform

Active-active platform model



1.

Hardware (*permanent*) failures

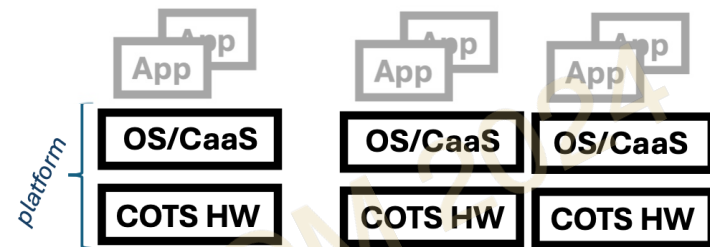
$$\lambda_h = 1/MTTF_h \text{ and } \mu_h = 1/MTTR_h$$

2.

OS/CaaS (*temporary*) failures

$$\lambda_o = 1/MTTF_o \text{ and } \mu_o = 1/MTTR_o$$

Active-active platforms



(a) A cluster without platform replication

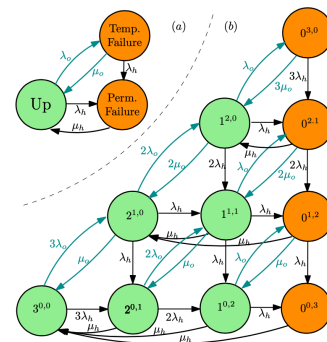
(b) A cluster with active-active platform replication

Hardware replication necessary to reach 5-nines availability!

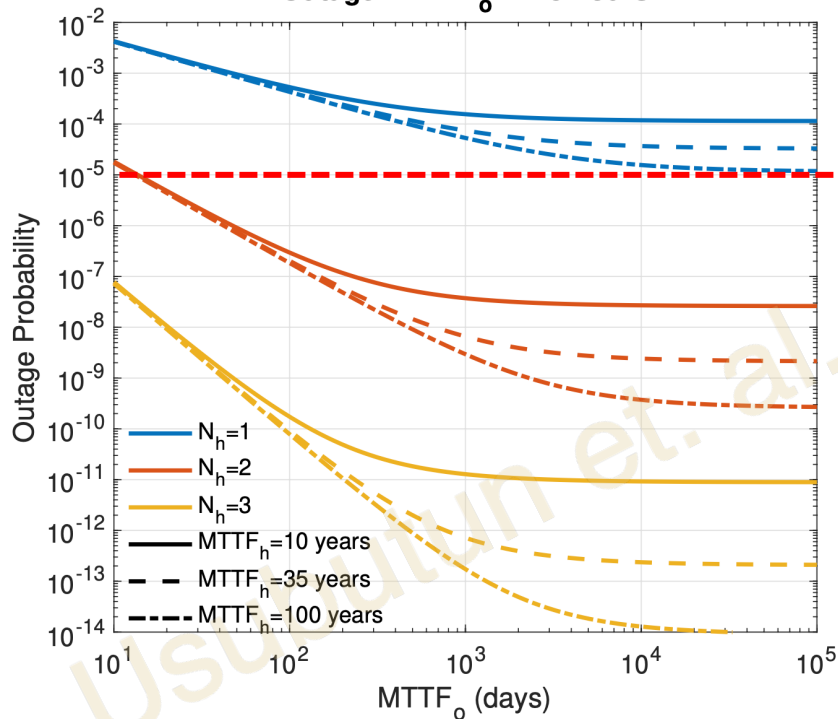
Result 1:

Lines: HW MTTF

x-axis: OS/CaaS MTTF



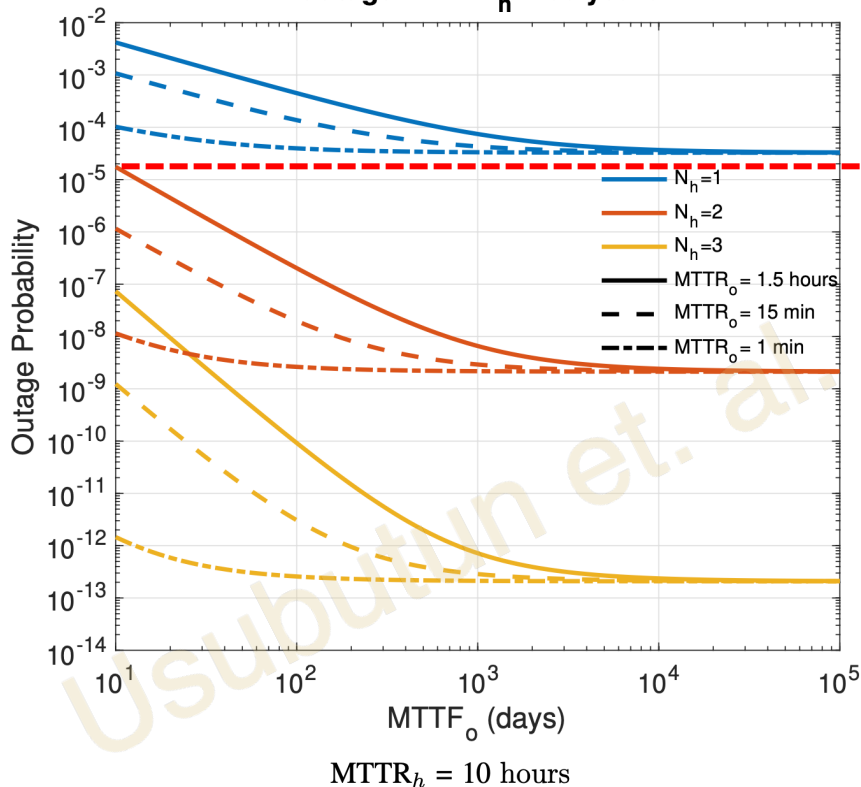
Outage - $MTTR_o = 1.5$ hours



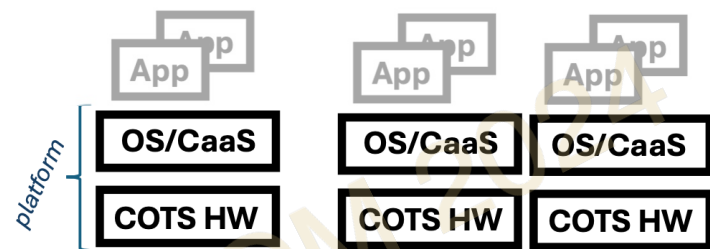
$MTTR_h = 10$ hours

Active-active platforms

Outage - $MTTF_h = 35 \text{ years}$

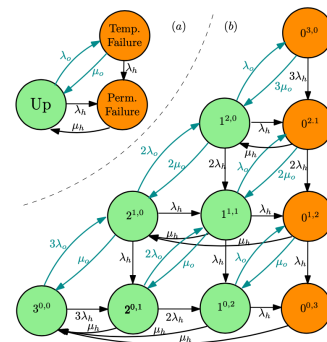


Hardware replication necessary to reach 5-nines availability!



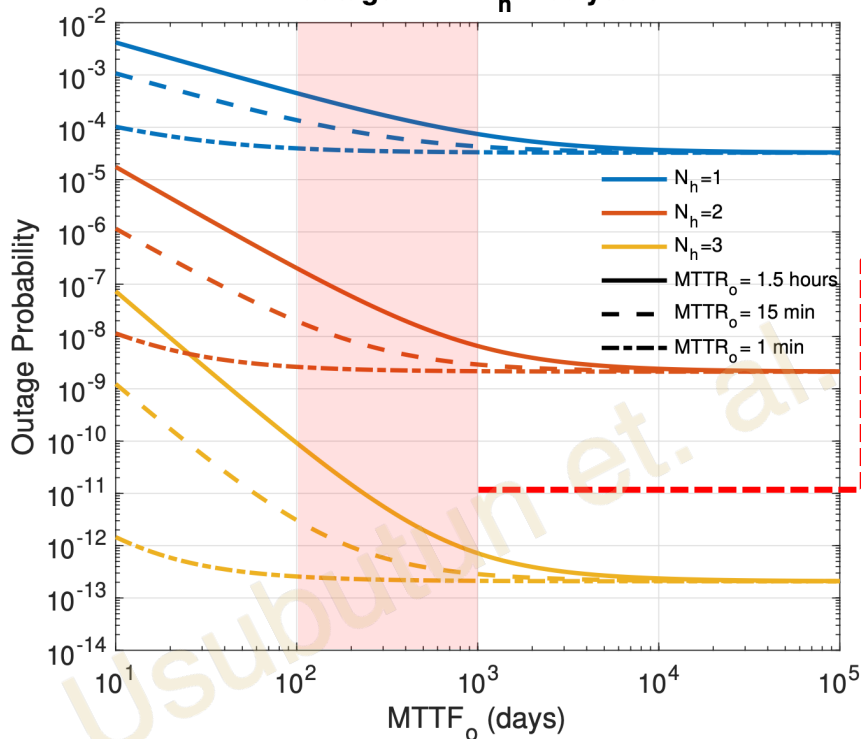
Result 2:

Lines: OS/CaaS MTTR
x-axis: OS/CaaS MTTF



Active-active platforms

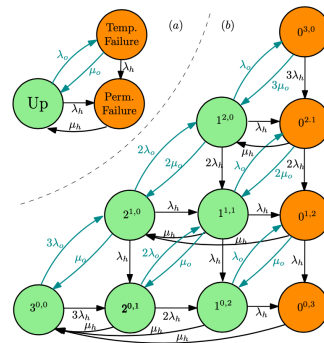
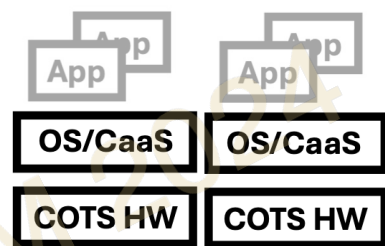
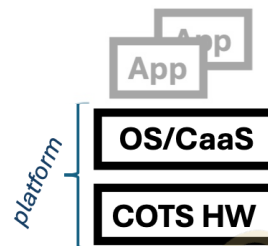
Outage - $MTTF_h = 35 \text{ years}$



How often OS/CaaS platform fails and how fast we repair makes 1 to 3 nines of difference!

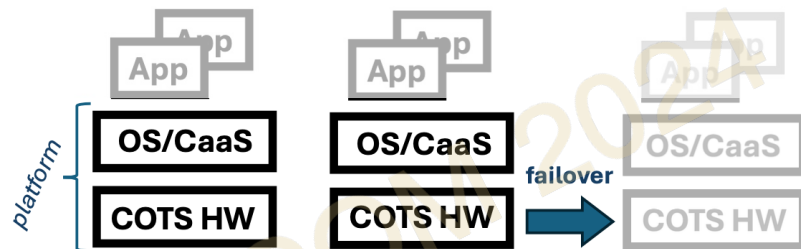
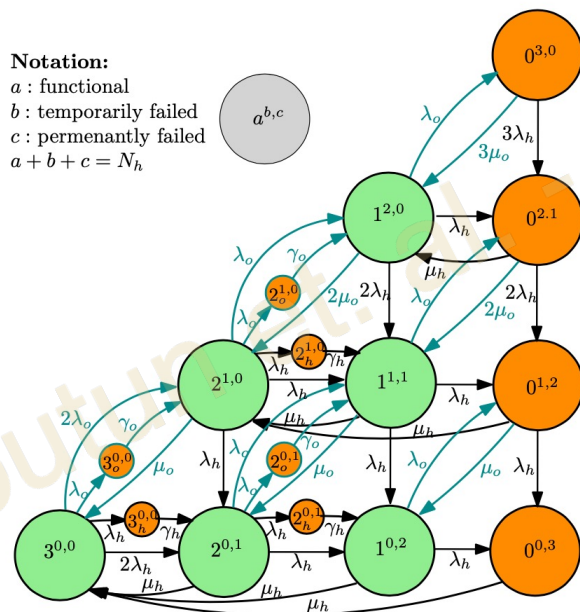
Result 2:

Lines: OS/CaaS MTTR
x-axis: OS/CaaS MTTF



Modeling the platform (2)

Active-passive platform model



(a) A cluster without platform replication

(c) A cluster with active-passive platform replication

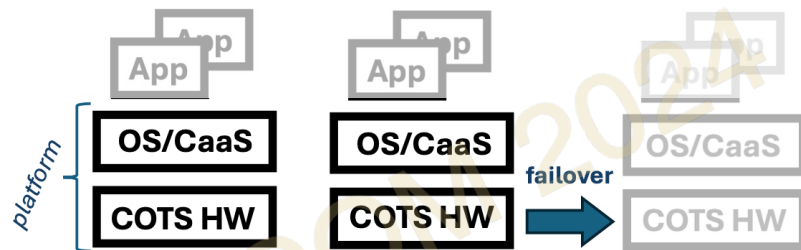
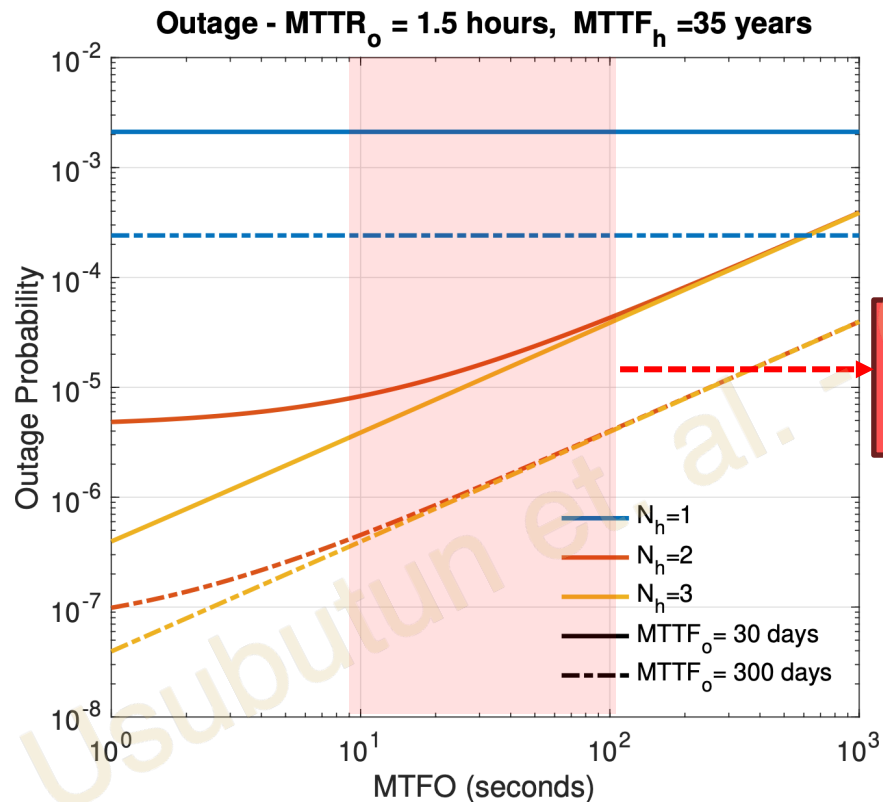
1. Failover from hardware (*permanent*) failures

$$\gamma_h = 1/MTFO$$

2. Failover from OS/CaaS (*temporary*) failures

$$\gamma_o = 1/MTFO$$

Active-passive platforms



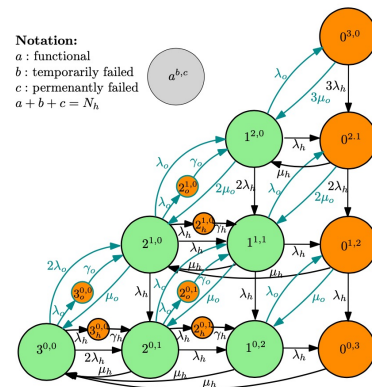
(a) A cluster without platform replication

(c) A cluster with active-passive platform replication

We can't fully exploit HW replication if we do not failover fast enough!

Result 3:

Lines: OS/CaaS MTTF
x-axis: Failover time

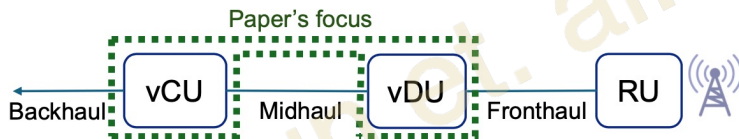


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Vertical Disaggregation or Virtualization of RAN Functions



(c) Virtualized RAN Stack

Now focus on network elements – CU & DU (typically uses existing transport infrastructure)

Impact of centralizing the CU

X_d and X_c : # of unavailable cell sites for distributed and centralized CUs.

They have different distributions.

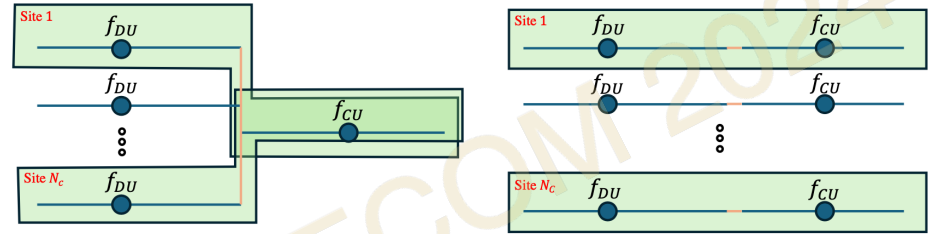
Yet interestingly:

$$E[X_d] = E[X_c] = N_c(1 - f_{DU}f_{CU})$$

With the same availability, the two schemes perform the same on average!

Cell site outage:

$$E[X_d]/N_c = E[X_c]/N_c = (1 - f_{DU}f_{CU})$$



(a) Centralized vCU

(b) Distributed vCU

| DU Outage | CU Outage | Cell Outage |
|--------------|--------------|---------------------------|
| $1 - f_{DU}$ | $1 - f_{CU}$ | $1 - f_{DU}f_{CU}$ |
| 10^{-5} | 10^{-5} | $\sim 1.99 \cdot 10^{-5}$ |
| 10^{-5} | 10^{-6} | $\sim 1.10 \cdot 10^{-5}$ |
| 10^{-5} | 10^{-7} | $\sim 1.01 \cdot 10^{-5}$ |

Impact of centralizing the CU

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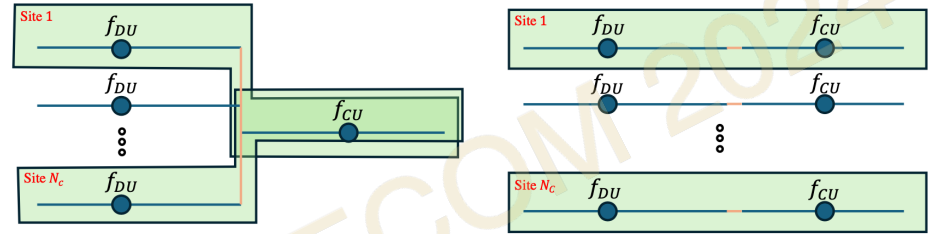
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(a) Centralized vCU

(b) Distributed vCU

| DU Outage | CU Outage | Cell Outage | All Cells Unavailable $\Pr(X_c = N_c)$ |
|--------------|--------------|---------------------------|---|
| $1 - f_{DU}$ | $1 - f_{CU}$ | $1 - f_{DU}f_{CU}$ | |
| 10^{-5} | 10^{-5} | $\sim 1.99 \cdot 10^{-5}$ | $\sim 10^{-5}$ |
| 10^{-5} | 10^{-6} | $\sim 1.10 \cdot 10^{-5}$ | $\sim 10^{-6}$ |
| 10^{-5} | 10^{-7} | $\sim 1.01 \cdot 10^{-5}$ | $\sim 10^{-7}$ |

Improving CU resiliency is key to avoiding an “all cells” outage

Fewer vCU locations in centralized vCU model !

Conclusions

Find our paper here →



- Hardware replication necessary to go beyond 5-nines availability.
- OS/CaaS reliability can significantly alter the availability (1 to 3 nines!).
- In active-passive systems, failover times, if slow, can hinder the gain from replicating hardware.
- Centralized CU deployments have a single point of failure. This weakness can be mitigated by making them more reliable. This is justifiable due to fewer quantity.

Questions?

References – for MTTFs and MTTRs

- [1] J. Scaramella, M. Marden, J. Daly, and R. Perry, “The cost of retaining aging it infrastructure,” Technical report, International Data Corporation (IDC), Framingham, MA, Tech. Rep., 2014.
- [2] K. V. Vishwanath and N. Nagappan, “Characterizing cloud computing hardware reliability,” in *ACM SoCC*, 2010.
- [3] G. Wang, L. Zhang, and W. Xu, “What can we learn from four years of data center hardware failures?” in *IEEE/IFIP DSN 2017*, 2017.
- [4] R. Matias, M. Prince, L. Borges, C. Sousa, and L. Henrique, “An empirical exploratory study on operating system reliability,” in *Proc. ACM Symp. Appl. Comput.*, 2014, pp. 1523–1528.
- [5] S. I. Abrita, M. Sarker, F. Abrar, and M. A. Adnan, “Benchmarking VM startup time in the cloud,” in *Benchmarking, Measuring, and Optimizing*, C. Zheng and J. Zhan, Eds. Cham: Springer, 2019, pp. 53–64.
- [6] P. Jalote, B. Murphy, M. Garzia, B. Errez, and O. R. Way, “Measuring reliability of software products,” in *IEEE ISSRE*, 2004.
- [7] E. F. Boza, C. L. Abad, S. P. Narayanan, B. Balasubramanian, and M. Jang, “A case for performance-aware deployment of containers,” in *WOC*, 2019, pp. 25–30.
- [8] T. K. Authors, “Configure liveness, readiness and startup probes,” [www.kubernetes.io/docs/tasks/configure-pod-container/configure-liveness-readiness-startup-probes/](https://kubernetes.io/docs/tasks/configure-pod-container/configure-liveness-readiness-startup-probes/), accessed: 2024-03-17.
- [9] R.-S. Schmoll, T. Fischer, H. Salah, and F. H. P. Fitzek, “Comparing and evaluating application-specific boot times of virtualized instances,” in *IEEE 5GWF*, 2019, pp. 602–606.

Backup Slides



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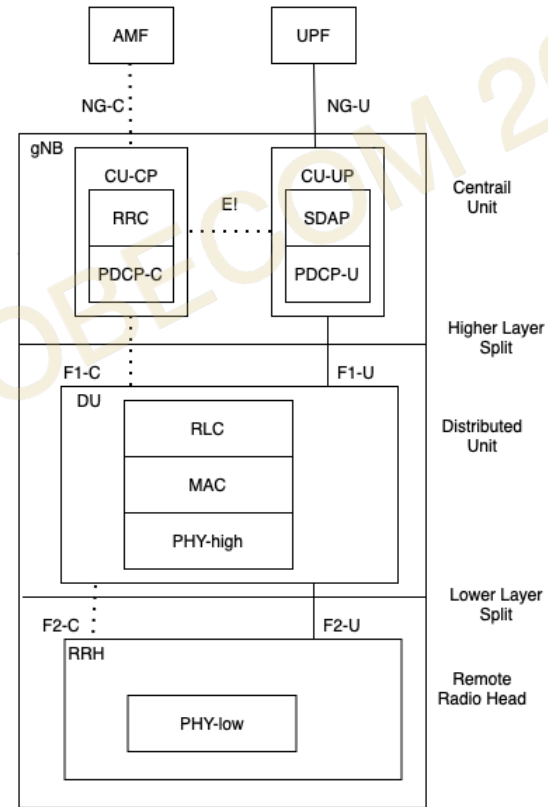
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5G Radio Access Networks

gNB is a logical name.

3GPP further defines functional blocks

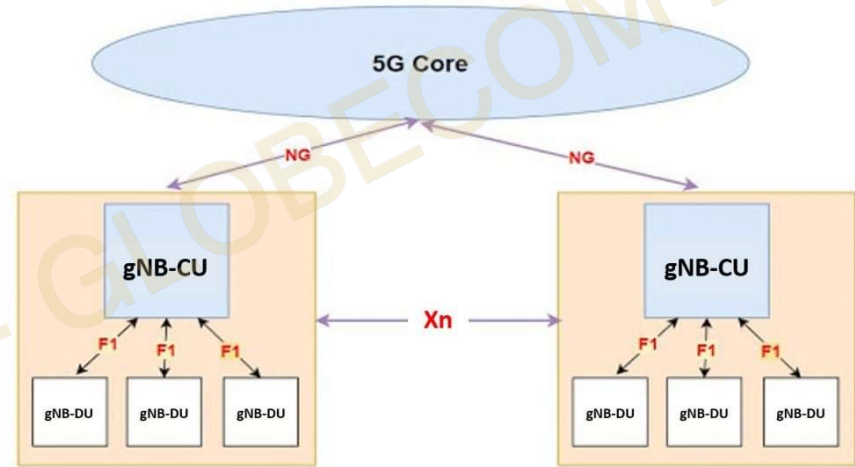
All the layers/functional blocks need not be implemented in the same physical location.



5G Radio Access Networks

A gNB-CU (Centralized Unit)
can handle multiple gNB-DUs
(Distributed Unit)

And a DU can handle
multiple Radio Units (RU)



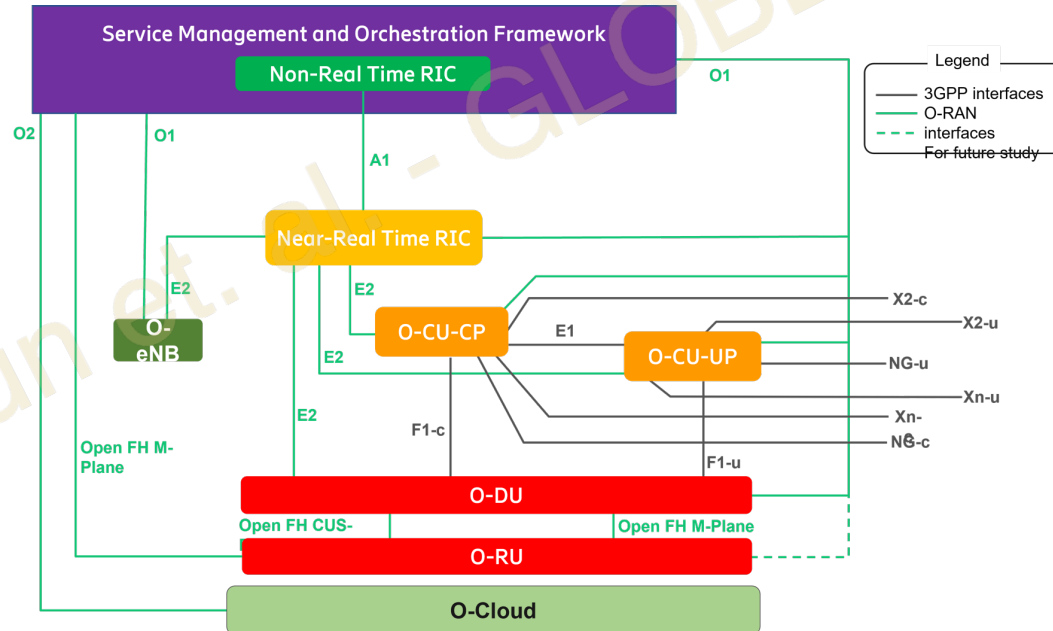
<https://www.5gworldpro.com/5g-knowledge/what-is-cu-and-du-in-5g.html>

Let me briefly revisit the O-RAN diagram

- O-CU-CP, O-CU-UP, O-DU etc. are all SOFTWARE!
- Running on the O-Cloud

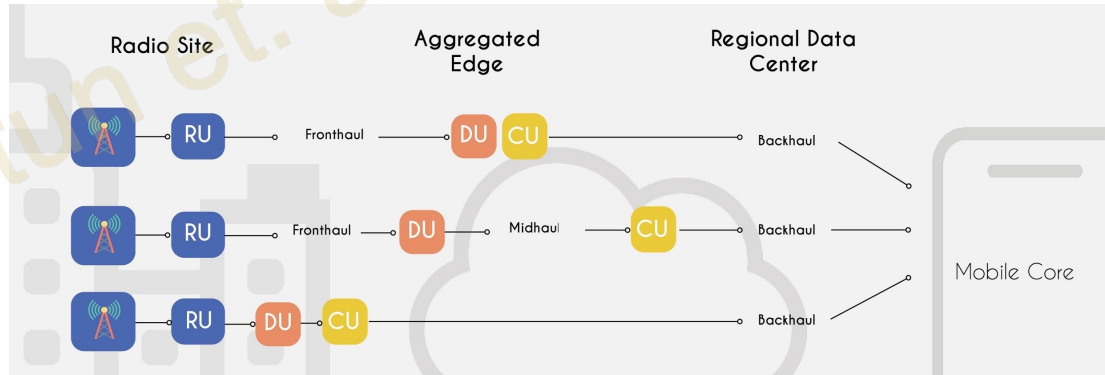
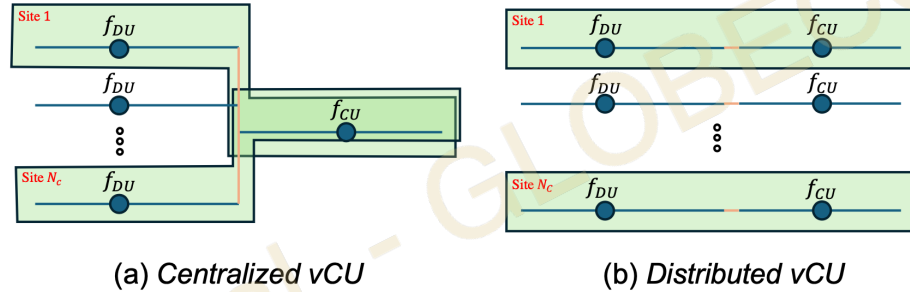
The diagram illustrates the O-RAN architecture. At the top is the **Service Management and Orchestration Framework**, which contains the **Non-Real Time RIC**. Below it is the **Near-Real Time RIC**. To the left is the **O-eNB**. In the center are the **O-CU-CP** and **O-CU-UP** components. At the bottom are the **O-DU** and **O-RU** components. The **O-DU** and **O-RU** are part of the **Open FH M-Plane**. The **O-RU** is also labeled as **Open FH CUS-**. A legend indicates that solid black lines represent 3GPP interfaces, solid green lines represent O-RAN interfaces, and dashed green lines represent interfaces for future study. Key interfaces shown include O1, A1, E1, E2, F1-c, F1-u, X2-c, X2-u, NG-u, Xn-u, and N6-c.

- O-CU-CP, O-CU-UP, O-DU etc. are all SOFTWARE!
- Running on the O-Cloud



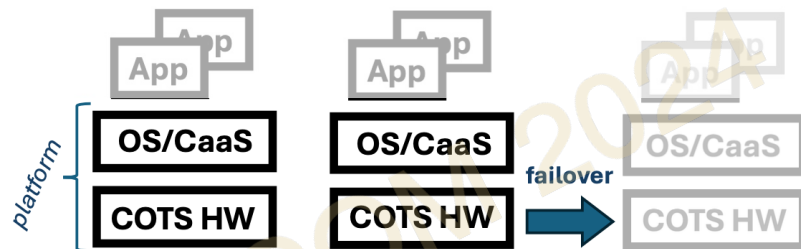
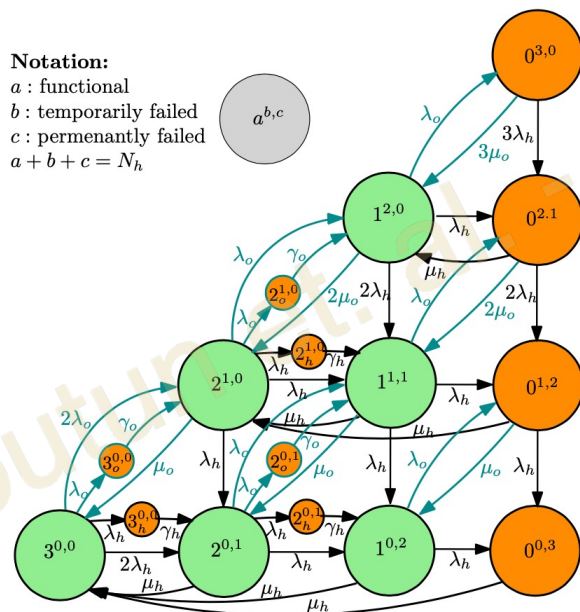
Resilience Modeling – Centralized vs Distributed Deployment

- How should Cell Sites be built?
 - Centralized or Distributed



Modeling the platform (2)

Active-passive platform model



(a) A cluster without platform replication

(c) A cluster with active-passive platform replication

1. Failover from hardware (*permanent*) failures

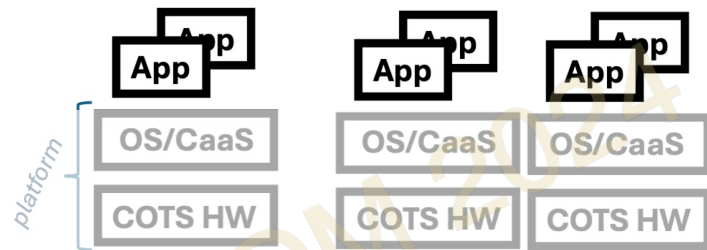
$$\gamma_h = 1/MTFO$$

2. Failover from OS/CaaS (*temporary*) failures

$$\gamma_o = 1/MTFO$$

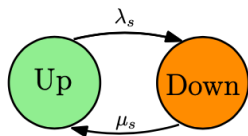
Modeling the RAN Applications

Modeled in cascade to the *platform*

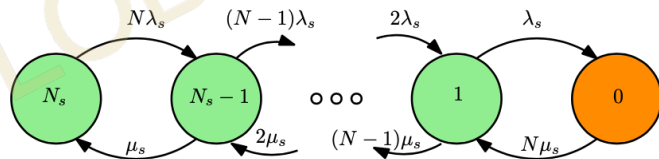


(a) A cluster without platform replication

(b) A cluster with active-active platform replication



(a) Single



(b) Replicated

$$\Pr(\text{Up}) = \mu_s / (\lambda_s + \mu_s), \quad \Pr(\text{Down}) = \lambda_s / (\lambda_s + \mu_s)$$

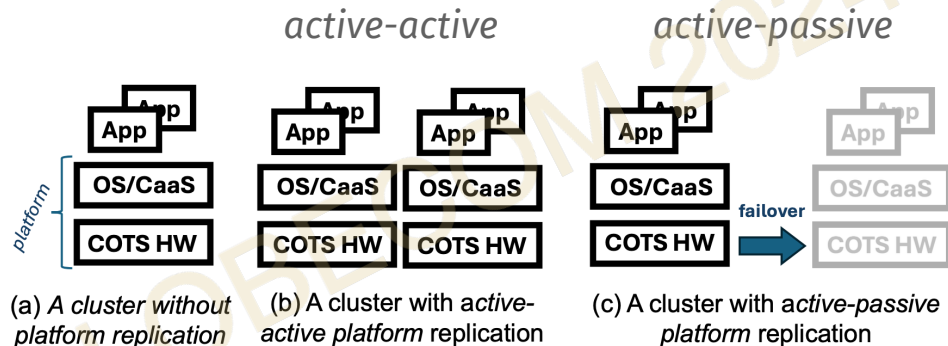
$$p_i = \binom{N_s}{i} \left(\frac{\mu_s}{\lambda_s + \mu_s} \right)^i \left(\frac{\lambda_s}{\lambda_s + \mu_s} \right)^{N_s - i}$$

3. CU/DU Application failures

$$\lambda_h = 1/MTTF_h \text{ and } \mu_h = 1/MTTR_h$$

Modeling Cluster Availability

Modeled in cascade



Active-active cluster availability

$$f_a = \text{Active-active platform avail.}(N_h) * \text{App. avail.}(N_h * N_s)$$

Active-passive cluster availability

$$f_p = \text{Active-active platform avail.}(N_h) * \text{App. avail.}(N_s)$$

What is needed for 6-nines overall availability?

Active-active

| #9s | #9s _p | #9s _s | N _h | MTTF _h | MTTF _o | MTTR _o | N _s | MTTR _s |
|-----|------------------|------------------|----------------|---------------------|-------------------|-------------------|----------------|-------------------|
| 6 | 6 | 6 | 2 | 10 years | 10 months | 90 min | 1 | 30 min |
| 6 | 6 | 6 | 2 | 10 years, 100 years | 1 months | 15 min | 1 | 30 min |
| 6 | 6 | 8 | 2 | 10 years | 10 months | 90 min | 1 | 5 min |
| 6 | 6 | 8 | 2 | 10 years, 100 years | 1 months | 15 min | 1 | 5 min |
| 7 | 7 | 8 | 2 | 10 years | 10 months | 15 min | 1 | 5 min |
| 7 | 7 | 8 | 2 | 100 years | 10 months | 90 min | 1 | 5 min |

Active-passive

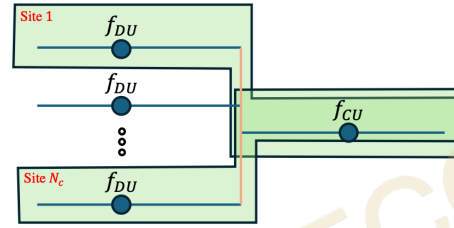
| #9s | #9s _p | #9s _s | N _h | MTTF _h | MTTF _o | MTTR _o | MTFO | N _s | MTTR _s |
|-----|------------------|------------------|----------------|---------------------|-------------------|-----------------------|---------|----------------|-------------------|
| 5 | 5 | 5 | 2, 3 | 10 years, 100 years | 10 months | 1 min, 15 min, 90 min | 100 sec | 2 | 30 min |
| 5 | 5 | 7 | 2, 3 | 10 years, 100 years | 10 months | 1 min, 15 min, 90 min | 100 sec | 2 | 5 min |
| 6 | 6 | 7 | 2, 3 | 10 years, 100 years | 10 months | 1 min, 15 min, 90 min | 10 sec | 2 | 5 min |
| 6 | 6 | 8 | 2, 3 | 10 years, 100 years | 10 months | 1 min, 15 min, 90 min | 10 sec | 3 | 30 min |

MTTR_h = 10 hours, MTTF_s = 2 months

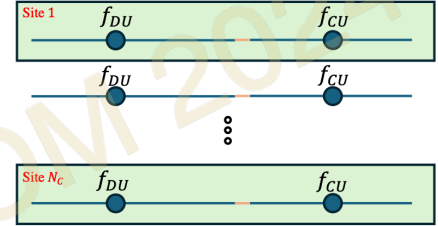
All possible combinations of parameters in a given row result in the same number of nines for the cluster, platform and application respectively.

Impact of centralizing the CU

X_d and X_c : # of unavailable cell sites for distributed and centralized CUs.



(a) Centralized vCU



(b) Distributed vCU

$$\Pr(X_d = k) = \binom{N_c}{k} (1 - f_{DU}f_{CU})^k (f_{DU}f_{CU})^{N_c-k}$$

All independent
(Binomial Dist.)

$$\Pr(X_c = k) = \begin{cases} f_{CU} \binom{N_c}{k} (\overline{f_{DU}})^k (f_{DU})^{N_c-k}, & \text{else} \\ \overline{f_{CU}} + f_{CU} (\overline{f_{DU}})^{N_c}, & \text{if } k = N_c \end{cases}$$

Some sites down
All sites down

Interestingly:

$$E[X_d] = E[X_c] = N_c(1 - f_{DU}f_{CU})$$

With the same availability, the two schemes perform the same on average!

Site outage:

$$E[X_d]/N_c = E[X_c]/N_c = (1 - f_{DU}f_{CU})$$

Impact of centralizing the CU

X_d and X_c : # of unavailable cell sites for distributed and centralized CUs.

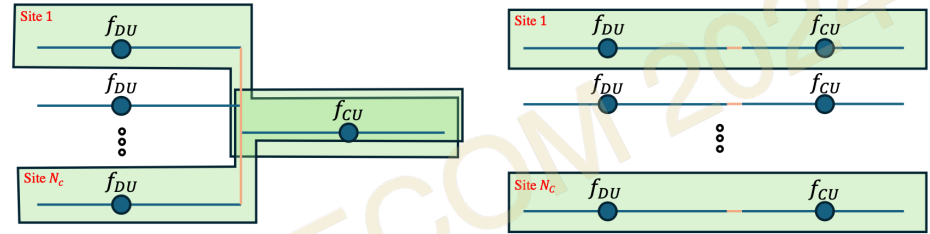
$$\Pr(X_d = k) = \binom{N_c}{k} (1 - f_{DU}f_{CU})^k (f_{DU}f_{CU})^{N_c-k}$$

$$\Pr(X_c = k) = \begin{cases} f_{CU} \binom{N_c}{k} (\overline{f_{DU}})^k (f_{DU})^{N_c-k}, & \text{else} \\ \overline{f_{CU}} + f_{CU} (\overline{f_{DU}})^{N_c}, & \text{if } k = N_c \end{cases}$$

Interestingly:

$$E[X_d] = E[X_c] = N_c(1 - f_{DU}f_{CU})$$

With the same availability, the two schemes perform the same on average!



(a) Centralized vCU

(b) Distributed vCU

| DU Outage | CU Outage | Cell Outage |
|--------------|--------------|---------------------------|
| $1 - f_{DU}$ | $1 - f_{CU}$ | $1 - f_{DU}f_{CU}$ |
| 10^{-5} | 10^{-5} | $\sim 1.99 \cdot 10^{-5}$ |
| 10^{-5} | 10^{-6} | $\sim 1.10 \cdot 10^{-5}$ |
| 10^{-5} | 10^{-7} | $\sim 1.01 \cdot 10^{-5}$ |

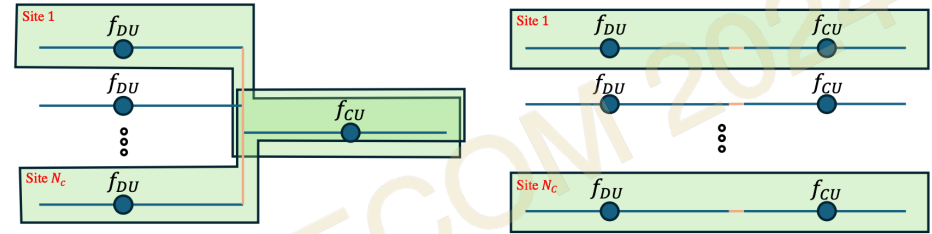
Improving vCU resiliency is key to minimizing outage impact

Site outage:

$$E[X_d]/N_c = E[X_c]/N_c = (1 - f_{DU}f_{CU})$$

Impact of centralizing the CU

X_d and X_c : # of unavailable cell sites for distributed and centralized CUs.



(a) Centralized vCU

(b) Distributed vCU

$$\Pr(X_d = k) = \binom{N_c}{k} (1 - f_{DU}f_{CU})^k (f_{DU}f_{CU})^{N_c - k}$$

$$\Pr(X_c = k) = \begin{cases} f_{CU} \binom{N_c}{k} (\overline{f_{DU}})^k (f_{DU})^{N_c - k}, & \text{else} \\ \overline{f_{CU}} + f_{CU} (\overline{f_{DU}})^{N_c}, & \text{if } k = N_c \end{cases}$$

Interestingly:

$$E[X_d] = E[X_c] = N_c(1 - f_{DU}f_{CU})$$

With the same availability, the two schemes perform the same on average!

| DU Outage | CU Outage | Cell Outage | All Cells Unavailable |
|--------------|--------------|---------------------------|-----------------------|
| $1 - f_{DU}$ | $1 - f_{CU}$ | $1 - f_{DU}f_{CU}$ | $\Pr(X_c = N_c)$ |
| 10^{-5} | 10^{-5} | $\sim 1.99 \cdot 10^{-5}$ | $\sim 10^{-5}$ |
| 10^{-5} | 10^{-6} | $\sim 1.10 \cdot 10^{-5}$ | $\sim 10^{-6}$ |
| 10^{-5} | 10^{-7} | $\sim 1.01 \cdot 10^{-5}$ | $\sim 10^{-7}$ |

Improving vCU resiliency is key to minimizing outage impact

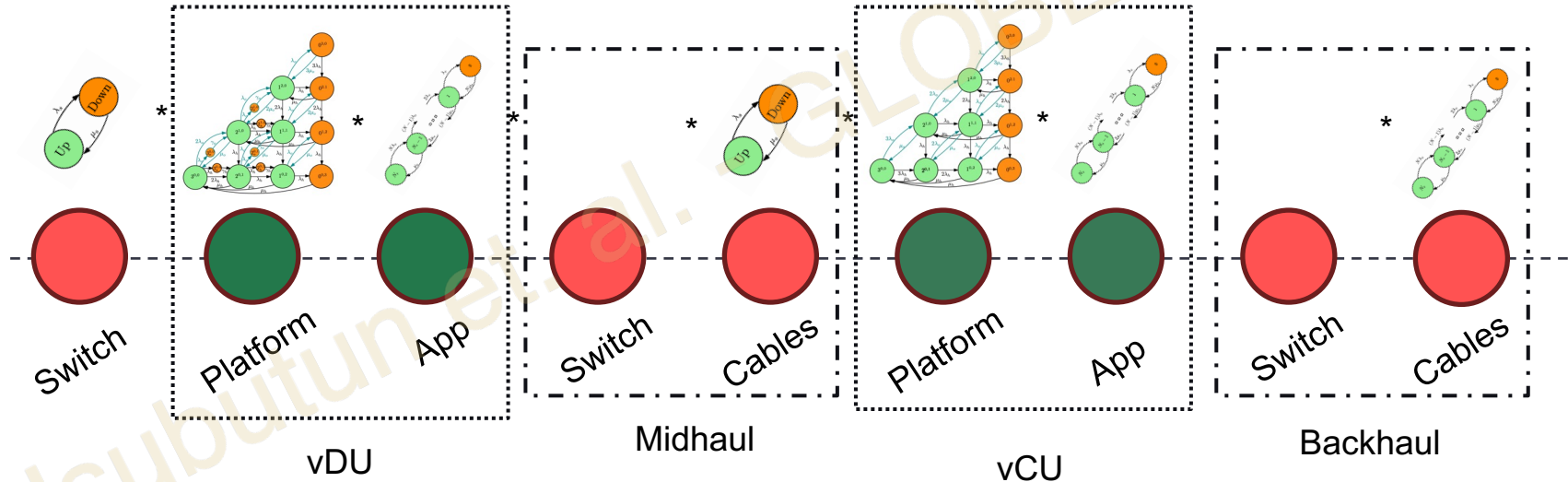
Fewer vCU locations in centralized vCU model !

Site out

$$E[X_d]/N_c = E[X_c]/N_c = (1 - f_{DU}f_{CU})$$

We provided a model for vDU and vCU

However, this approach can be expanded to the transport and beyond!



Proof: Centralized-Distributed Expected Outage Equivalence

The random variable X_d : number of cell sites out in the distributed setting

$$\Pr(X_d = k) = \binom{N_c}{k} (1 - f_{DU} f_{CU})^k (f_{DU} f_{CU})^{N_c - k}$$

By definition of binomial distribution

$$\mathbb{E}[X_d] = N_c (1 - f_{DU} f_{CU})$$

Cont'd in the next page

Proof: Centralized-Distributed Expected Outage Equivalence

The random variable X_c : number of cell sites out in the centralized setting

$$\Pr(X_c = k) = \begin{cases} f_{CU} \binom{N_c}{k} (\overline{f_{DU}})^k (f_{DU})^{N_c-k}, & \text{else} \\ \overline{f_{CU}} + f_{CU} (\overline{f_{DU}})^{N_c}, & \text{if } k = N_c \end{cases}$$

For simplicity define

$$\begin{aligned} f_{CU} &= \alpha, & \overline{f_{CU}} &= 1 - f_{CU} = \beta \\ f_{DU} &= p, & \overline{f_{DU}} &= 1 - f_{DU} = q \end{aligned}$$

Substituting these

$$\Pr(X_c = k) = \begin{cases} \alpha \binom{N_c}{k} q^k p^{N_c-k}, & \text{else} \\ \beta + \alpha q^{N_c}, & \text{if } k = N_c \end{cases}$$

Now define dummy variable X' such that,

$$\begin{aligned} \Pr(X' = k) &= \binom{N_c}{k} (q)^k (p)^{N_c-k} \\ \mathbb{E}[X'] &= N_c q \end{aligned}$$

Therefore,

$$\begin{aligned} \mathbb{E}[X_c] &= \alpha [\mathbb{E}[X'] - N_c \cdot \Pr(X' = N_c)] + N_c \cdot \Pr(X_c = N_c) \\ &= \alpha [N_c q - N_c q^{N_c}] + N_c \cdot (\beta + \alpha q^{N_c}) \\ &= N_c (\alpha q + \beta) \\ &= N_c (\alpha (1 - p) + 1 - \alpha) \\ &= N_c (1 - p\alpha) \\ &= N_c (1 - f_{DU} f_{CU}) = \mathbb{E}[X_d] \end{aligned}$$