



Analysis of the Advanced Message Queuing Protocol (AMQP) and comparison with the Real-Time Publish Subscribe Protocol (DDS-RTPS Interoperability Protocol)

July 2007

Gerardo Pardo-Castellote, Ph.D.
CTO, Real-Time Innovations, Inc.

gerardo@rti.com

Angelo Corsaro, Ph.D.

angelo.corsaro@gmail.com



Background: AMQP

AMQP = Advanced Message Queuing Protocol

A protocol to communicate between clients and messaging middleware servers (brokers)

- A protocol developed by an industry consortia:
 - IONA
 - JP Morgan
 - RedHat
 - 29West
 - Others
- The goals are:
 - Support Messaging semantics of financial industry
 - Provide needed performance to financial industry
 - Be extensible to new queuing and routing
 - Allow service to be programmed by the application
- High-level Requirements:
 - To accommodate existing messaging API standards (for example, Sun's JMS)
 - To allow complete configuration of server wiring via the protocol
- Low-level Design Requirements:
 - To be compact, using a binary encoding that packs and unpacks rapidly
 - To handle messages of any size without significant limit
 - To carry multiple channels across a single connection
 - To be longlived, with no significant inbuilt limitations
 - To allow asynchronous command pipelining



Background: DDS-RTPS

- DDS-RTPS = Data-Distribution Service Real-Time Publish Subscriber Protocol
 The standard protocol used by the OMG Data-Distribution Service Specification
- An OMG standard developed and supported by middleware vendors and users:
 - RTI, PrismTech, THALES, SELEX, NSWC-DD, MITRE, OCI, MilSOFT, etc.
- The goals are:
 - Support Real-Time Publish-Subscribe Middleware
 - Deliver high performance and scale to large systems
 - Support packet based unrealizable transports, including multicast
 - Support Quality of Service
 - Provide for forward and backwards compatibility
 - Provide automatic discovery
- High-level Requirements:
 - To accommodate the OMG DDS specification and all its Qos
- Low-level Design Requirements:
 - To be compact, using a binary encoding that packs and unpacks rapidly
 - To handle messages of any size without significant limit
 - To carry multiple channels across a single connection
 - To be longlived, with no significant inbuilt limitations
 - To allow asynchronous command pipelining



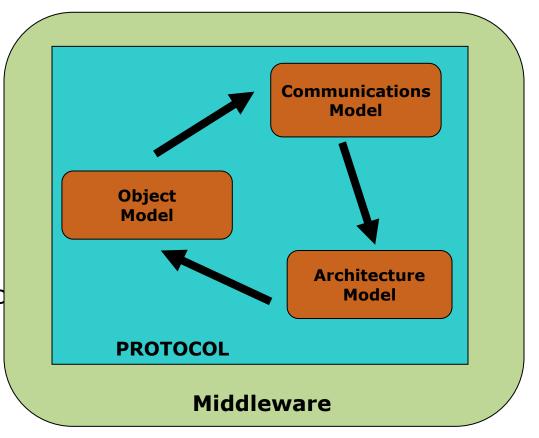
Agenda

- Middleware Models and Protocols
 - Service Models
 - Protocol
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



Middleware = Service Model + Protocol

- Service model composed of:
 - Communications model
 - Object Model
 - Architecture model
- All these interact
- The service model and protocol are also coupled



Protocols cannot be compared in isolation!
They must be compared in the context of the service model



Middleware Service Model

Service Model = Communications Model

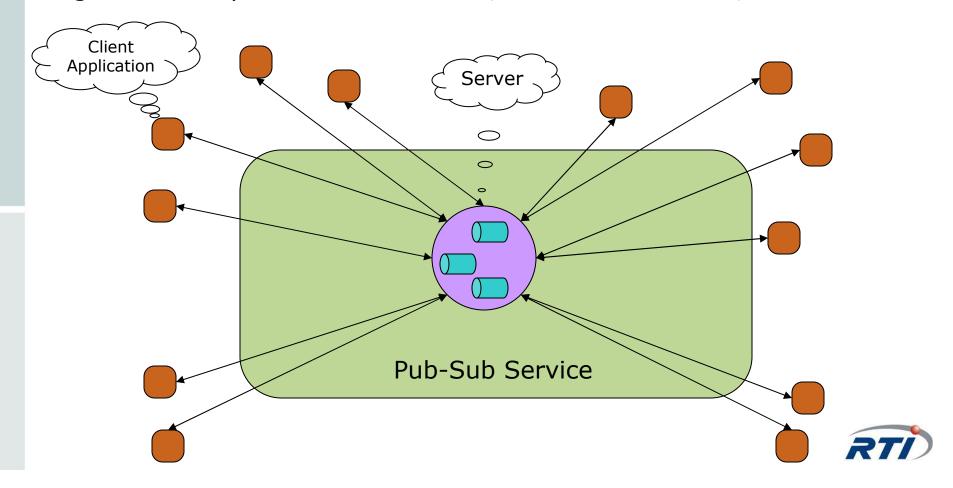
- + Object Model
- + Architecture Model
- Communications Model:
 - Abstract model of how applications interact:
 - Queue Based
 - Data-Distribution
 - Replicated Data
 - Distributed Transactions
 - Remote Method Invocation
- Object Model
 - Middleware entities the application uses to interact with the service:
 - Queues, Publishers, Domains, Caches, Federations, Remote Objects...
- Architecture Model
 - Centralized, Brokered, Peer-to-Peer



Centralized Broker Pub-Sub Service

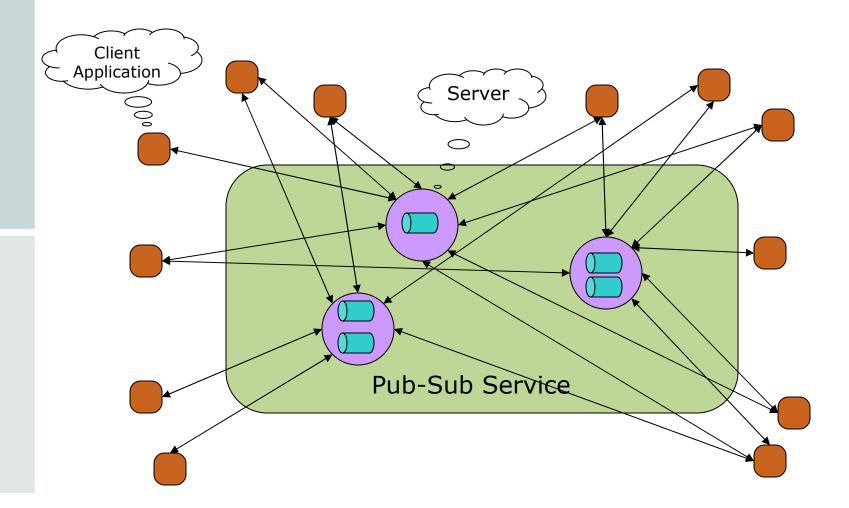
One central server materializes all middleware entities All traffic flows via server

E.g. "naïve" implementations of JMS, CORBA Notification, etc.



Centralized Multi-Broker Pub-Sub Service

Each Queue/Topic Can be placed on a different Server E.g. Better implementations of JMS, CORBA Notification, etc.

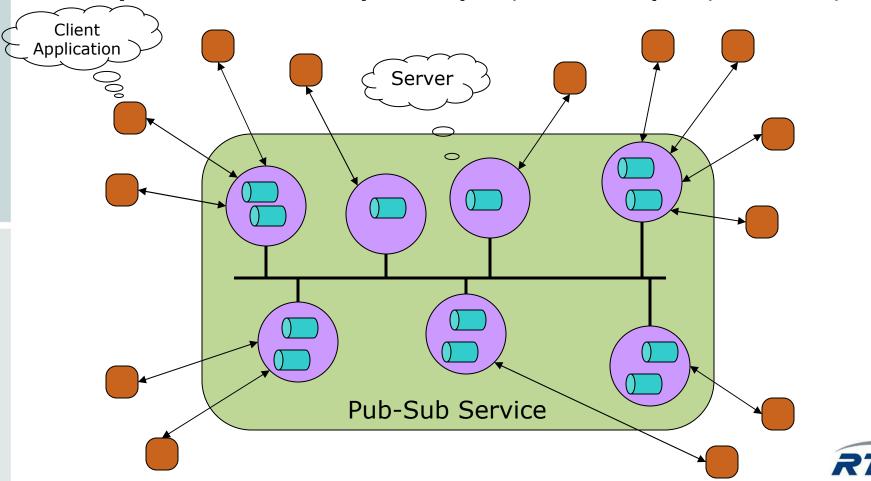




De-centralized Brokered Pub-Sub Service

App uses messaging or RMI to interact with Service Access points
Pub-Sub Service distributes messages internally between servers

Internally PS-Service can be peer-to-peer, hub-and-spoke, multicast, etc.



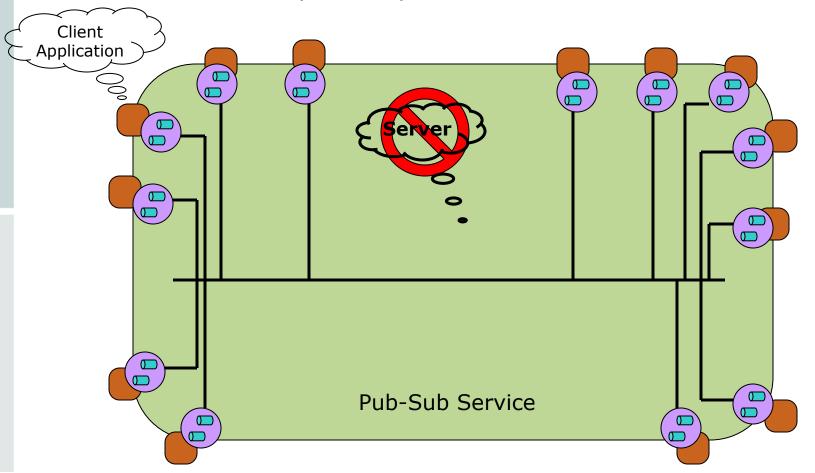


De-Centralized Unbrokered Pub-Sub Service

App links (binds) directly with the Pub-Sub service

Queuing occurs locally on each client

Clients communicate peer-to-peer





Service Model Architecture Examples

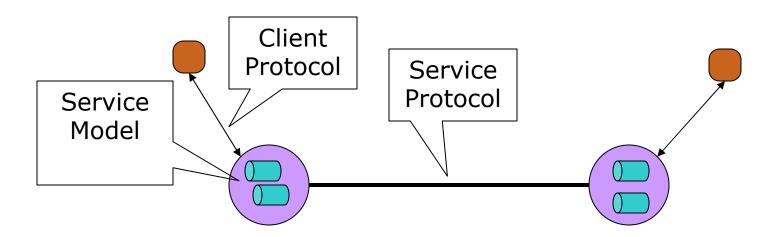
Model	Examples	
Centralized	Typical JMS implementations	
Brokered		
Centralized	Better JMS implementations	
Multi-Brokered	CORBA event & notification service	
De-centralized Brokered	TIBCO RendezVous	
	TIBCO SmartSockets	
	IBM WebSphere MQ (MQSeries) using client connection	
De-centralized	Most DDS implementations: RTI DDS, OpenSplice, Tao-DDS	
Un-brokered	IBM WebSphere MQ (MQSeries) using Binding connection	
(Peer-to-peer)		

Agenda

- Middleware Models and Protocols
 - Service Models
 - Protocols
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



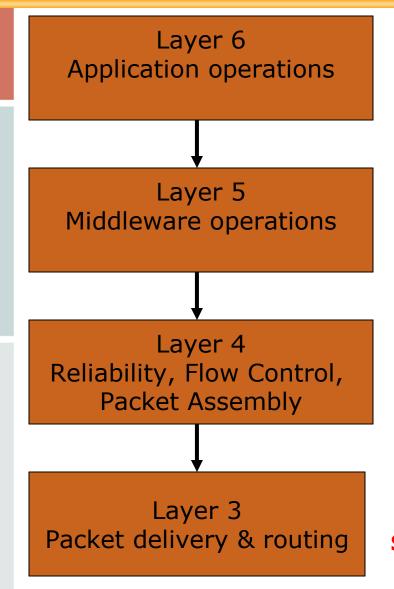
Service Model and Protocol relationship



- There are interactions between the service model and the Protocol
- A Brokered Service model requires 2 protocols:
 - Client Protocol (used by client applications)
 - Service Protocol (used between the Brokers)
- A fully peer-to-peer middleware requires only one protocol



Protocol layers: Middleware perspective (proposed)



The existing middleware perspectives are inadequate to model middleware protocols:

The 7-layer OSI model:

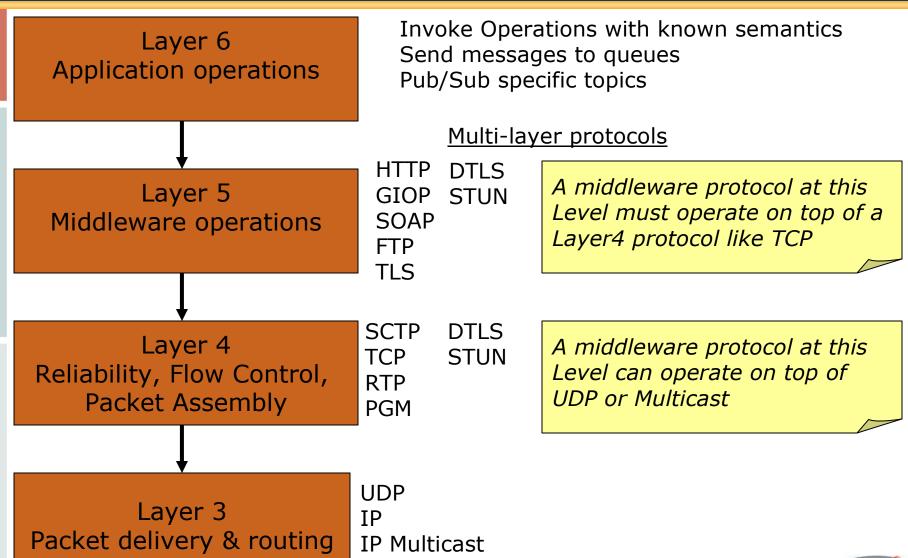
- Has layers with very limited functionality: (Session Presentation)
- Considers TCP and UDP both "layer 4 concepts"

The 5-layer TCP/IP model:

- Combines all layers above Transport into single "Application Layer"
- Considers TCP and UDP equivalent

Some protocols may expand multiple layers

Protocol layers (Middleware perspective)

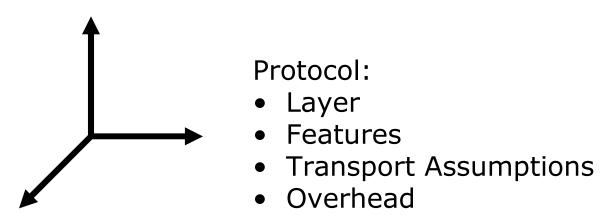




Middleware Comparison Dimensions

Service Model:

- Communication Model
- Object Model
- Architecture Model (+ required brokers)



Impact/Capabilities:

- Features: Reliability, Persistence, QoS, Batching
- Impact:
 - Deployment
 - Performance (protocol overhead, #intermediaries)
 - Robustness (failure conditions)

AMQP vs. DDS-RTPS

Aspect	AMQP	DDS-RTPS
Communications	Message Queues	Data Distribution
(service model)	Globally addressable queues	Global Data Space
Object Model	AMQ Broker	DomainParticipant
(service model)	Exchange	Topic
(66,7,66,7,7,646,7)	Binding	Publisher Subscriber
	Queue	DataWriter DataReader
	Routing Key	
Analoitaatuus	Clients and Brokers	Peer-to-Peer
Architecture (service model)	Queues Centralized in Brokers	No Intermediaries
Protocol	AMQP – Layer 5 only	RTPS – Layer 4 and 5
	(only middleware actions)	Middleware actions
		Reliability, Flow control, Fragmentation

Agenda

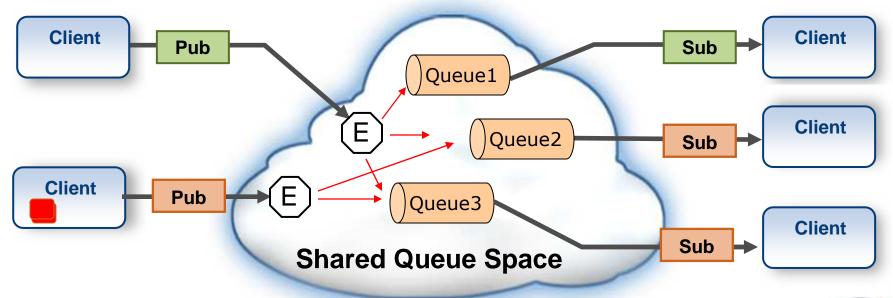
- Middleware Models and Protocols
 - Service Models
 - Protocol
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



AMQ Service Model: Communication Model

Provides a "Shared Queue Space" that is accessible to all interested applications.

- Message are sent to an Exchange
- Each message has an associated Routing Key
- Brokers forward messages to one or more Queues based on the Routing Key
- Subscriber get messages from named Queues
- Only one subscriber can get a given message from each Queue

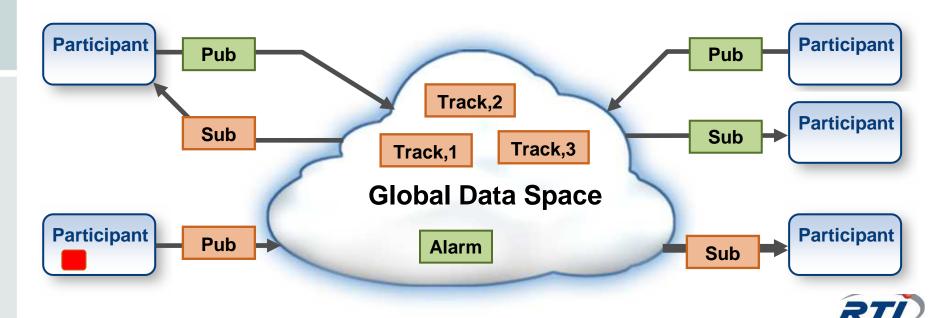




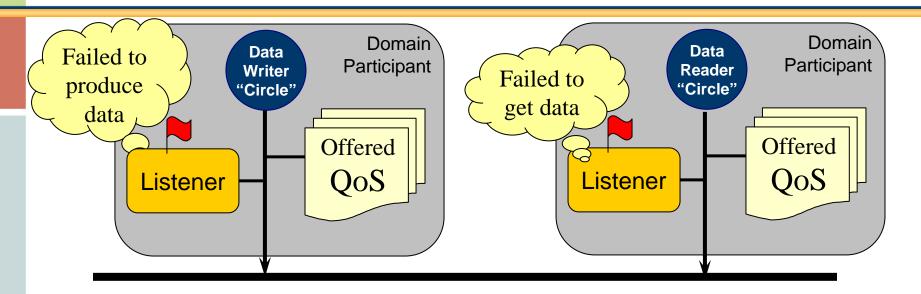
DDS Service Model: Communication Model

Provides a "Global Data Space" that is accessible to all interested applications.

- Data objects addressed by **Domain**, **Topic** and **Key**
- Subscriptions are decoupled from Publications
- Contracts established by means of QoS
- Automatic discovery and configuration



DDS Service Model: Communication Model



- Publisher declares information it has and specifies the Topic
 - and the offered QoS contract
 - and an associated listener to be alerted of any significant status changes
- Subscriber declares information it wants and specifies the Topic
 - and the requested QoS contract
 - and an associated listener to be alerted of any significant status changes
- DDS automatically discovers publishers and subscribers
 - DDS ensures QoS matching and alerts of inconsistencies

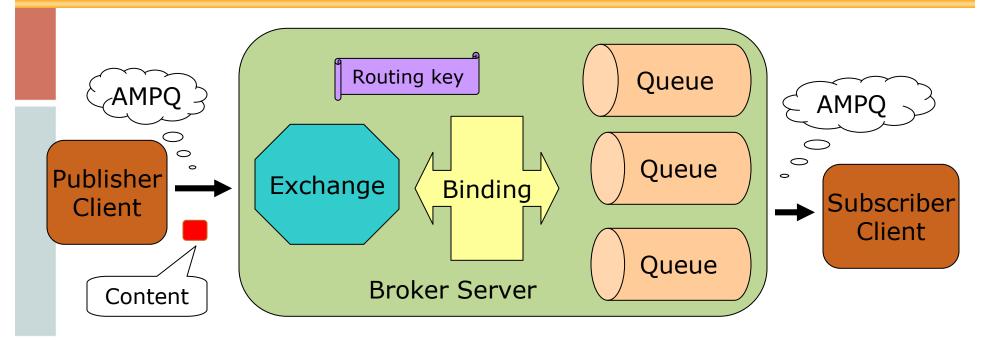


Agenda

- Middleware Models and Protocols
 - Service Models
 - Protocol
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



AMQ Service Model: Object Model

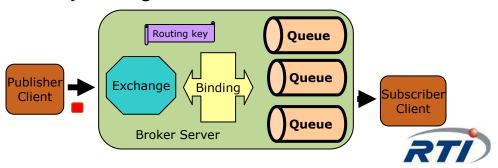


- Exchange Receives messages and routes to a set of message queues
- Queue Stores messages until they can be processed by the application(s)
- Binding Routes messages between Exchange and Queue. Configured externally to the application
 - Default binding maps routing-key to Queue name
- Routing Key label used by the exchange to route Content to the queues
- Content Encapsulates application data and provides the methods to send receive, acknowledge, etc.



AMQ Queues

- Stores and distributes messages
- Each message delivered to a single client consumer
- Properties (on creation):
 - Name
 - Client Named or Server Named
 - Durable
 - Durable remains present after re-start
 - But may lose non-persistent messages
 - Auto-delete
 - Will auto-delete when all clients have finished using it
 - Private (Exclusive)/Shared
 - Private (Exclusive)
 read by a single consumer



AMQ Binding & Routing Key

- Binding
 - Tells exchange how to route messages:
 Queue.Bind <queue> TO <exchange> WHERE <condition>
- The <condition> can involve:
 - Message Properties
 - Header fields
 - Content
 - In most cases uses a single field: the "routing key"
- Routing key = virtual address used in the binding
 - For Point2point routing-key = name of msgQ
 - For Topic PubSub routing-key = topic hierarchy value

Publisher

Client

In other cases routing-key may be combined with msg header and content

Routing key

Exchange Binding

Broker Server

Queue

Queue

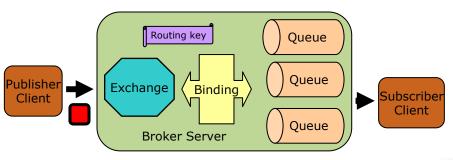
Queue

Subscribe

Client

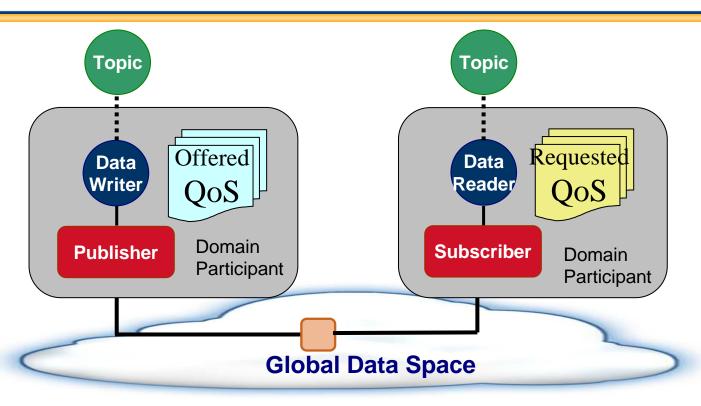
AMQ Content

- Client producer creates message
- Producer fill content, properties and routing information
- Producer sends msg to Exchange
- Exchange route msg to set of Queues. Each is treated as a separate copy (no common identifier)
- Queue passes message to a single consumer if present or else buffers it.
 - Upon 'delivery' msg removed from queue.
- 2 kind of acks: Automatic or Explicit
 - Explicit requires app to indicate so for each message





DDS Service Model: Object Model



- **DomainParticipant** Allows application to join a DDS Domain (Global Data Space)
- Topic A string that addresses a group of objects in the Global Data Space
 - Each Object is identified by a Key (some fields within the object data)
- **Publisher, Subscriber** Pools resources for DataWriters and DataReaders
- DataWriter Declares intent to publish a Topic and provides type-safe operations to write/send data
- DataReader Declares intent to subscribe to a Topic and provides type-safe operations to read/receive data



Agenda

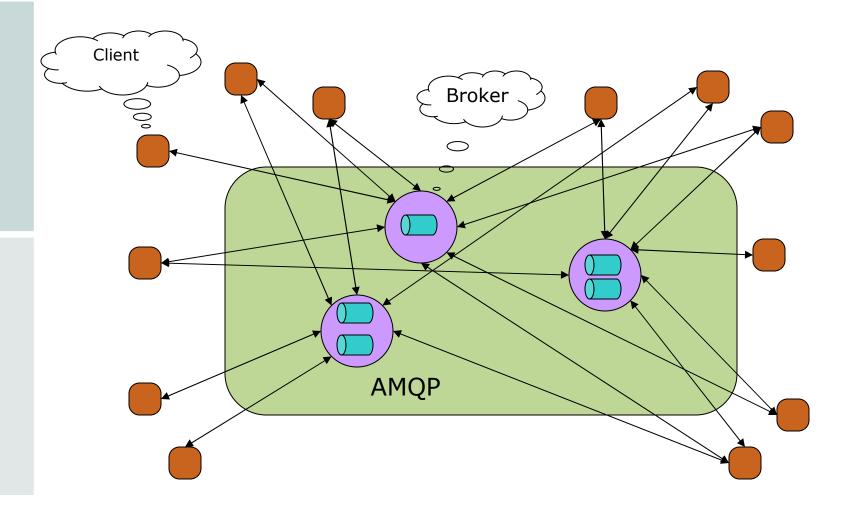
- Middleware Models and Protocols
 - Service Models
 - Protocol
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



AMQP Service Model: Architecture Model

Centralized Multi Broker

Each Queue is materialized in a Broker

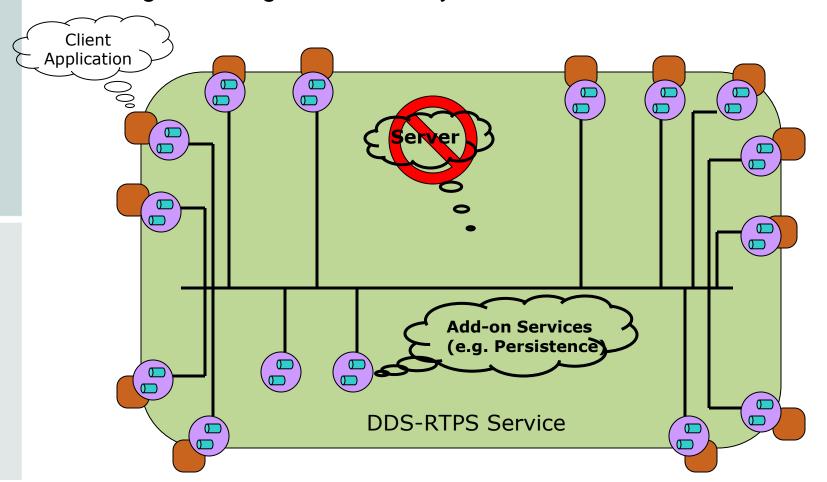




DDS-RTPS: Unbrokered Pub-Sub Service

Unbrokered Peer-to-Peer

Each application links (binds) directly with the Pub-Sub service "Queuing/Cacheing" occurs locally on each client





Model tradeoffs for RT systems

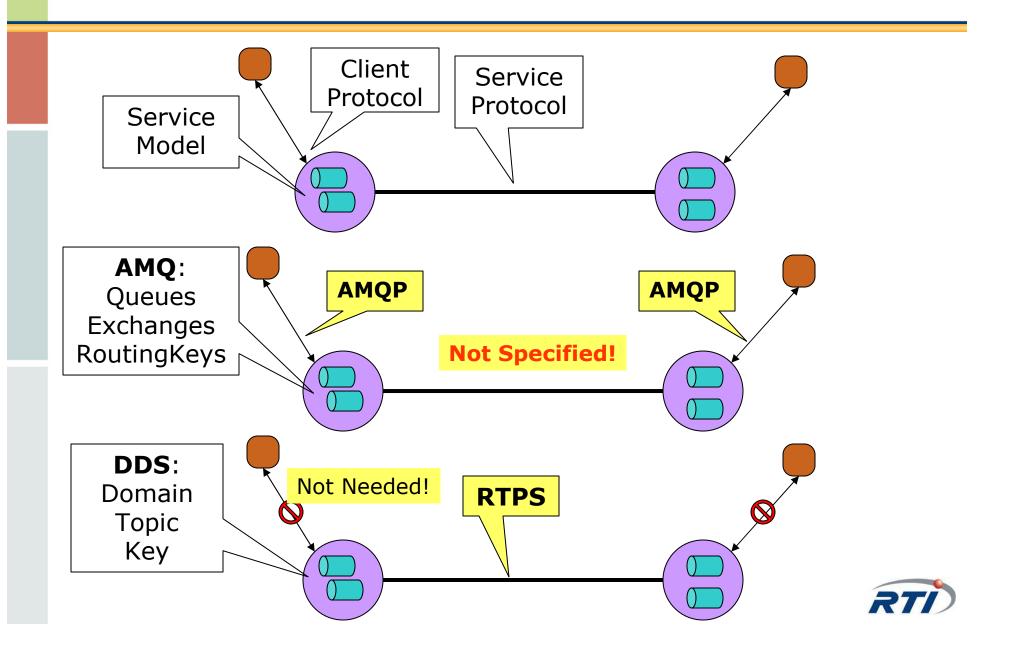
Model	Advantages	Disadvantages
Centralized Brokered	Simplest to implement Fewest network connections per client	Single point of failure Server is bottleneck Poor performance Poor predictability Does not scale
Centralized Multi- Brokered	Simple to implement Scales to many Queues	Hard to administer Potentially many connections per client Servers can be bottlenecks Single point of failure for any queue Not scalable to many readers/writers per queue
De-centralized Brokered	Scales to large # queues Scales to large # readers/queue Low number of connections No single points of failure	Worst latency Worst predictability
De-centralized Un-brokered (Peer-to-peer)	Scales to large # queues Scales to large # readers/queue Best latency Best predictability No single points of failure	Most difficult to implement Requires use of UDP to avoid large # connections

Agenda

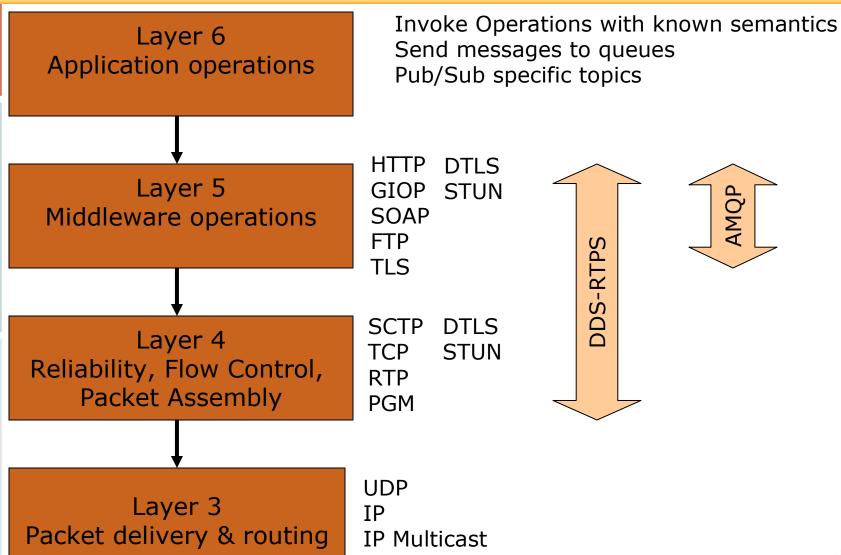
- Middleware Models and Protocols
 - Service Models
 - Protocol
- Comparison
 - Communications Model
 - Object Model
 - Architecture Model
 - Protocol
- Conclusion



AMQP vs DDS-RTPS Protocols: Scope



AMQP vs DDS-RTPS Protocol: Layers





AMQP vs. DDS-RTPS Protocols

Aspect	AMQP	DDS-RTPS
Reliability	NO: Must be Provided by Transport	YES: Ack and Nack based Configurable Heartbeats
Fragmentation	NO: Must be Provided by Transport	YES: Fragmentation fully supported Reliability supported at the fragment level
Discovery	Not defined by protocol	Defined by protocol
Multiplexed connections, Batching	Yes	Yes
Expected deployment	Up to 500 msgs/sec per broker	Up to 100000 msgs/sec per peer
Transport	Connection-Oriented (Assumes TCP) No UDP, No Multicast	RTPS – Layer 4 and 5 Middleware actions Reliability, Flow control, Fragmentation



Conclusion

- Protocols must be compared in the context of the Middleware Service model they support
- AMQP developed to support a Brokered model.
 - Layer 5 protocol that defines only Client 2 Broker communications
 - Inter-Broker communications undefined
 - Assumers TCP-like transport
- DDS-RTPS developed to support un-brokered fully peer-to-peer model
 - Layer 4 + 5 protocol defines peer-to-peer interactions
 - All communication aspects defined
 - Assumes datagrams only. Supports UDP and Multicast
- DDS-RTPS far more suitable for high-performance and real-time systems
 - No intermediate brokers => better latency and predictability
 - No bottlenecks or single points of failure
 - Supports Multicast and unreliable channels (e.g. wireless links with dropouts)