

Example 4.7.(a) Consider the flow on $[-\frac{\pi}{2}, \frac{\pi}{2}]$ defined by

$$\phi(t, x) := \arctan(\tan x - t), \quad x \in [-\frac{\pi}{2}, \frac{\pi}{2}], \quad t \in \mathbb{R}$$

(it belongs to the differential equation $y' = -\cos^2 y$), and a continuous function $h : [-\frac{\pi}{2}, \frac{\pi}{2}] \rightarrow \mathbb{R}$ with $h(-\frac{\pi}{2}) \leq h(\frac{\pi}{2})$. Then we have $\underline{c}(h, \phi) = h(-\frac{\pi}{2})$ and $\bar{c}(h, \phi) = h(\frac{\pi}{2})$. The spectrum of the corresponding semigroup is given by $\sigma(A) = \{\lambda \in \mathbb{C} : h(-\frac{\pi}{2}) \leq \operatorname{Re} \lambda \leq h(\frac{\pi}{2})\}$.

(b) Consider $K = \{z \in \mathbb{C} : 1 \leq |z| \leq 2\} = \{r \cdot e^{i\omega} : \omega \in \mathbb{R}, 1 \leq r \leq 2\}$ and a continuous function $\kappa : [1, 2] \rightarrow \mathbb{R}_+$.

Let $\bar{\phi}$ be the flow on K governed by the differential equation $\dot{\omega} = \kappa(r)$, $\dot{r} = 0$ (hence $\bar{\phi}(t, r \cdot e^{i\omega}) = r \cdot e^{i(\omega + \kappa(r)t)}$).

For a continuous function $h : K \rightarrow \mathbb{R}$ let $\hat{h}(r) := \frac{1}{2\pi} \int_0^{2\pi} h(r \cdot e^{it}) dt$ ($1 \leq r \leq 2$). The spectrum of the semigroup corresponding to $\bar{\phi}$ and h (cf. (4.1)) is given by

$$\sigma(A) = \{\hat{h}(r) + ik\kappa(r) : k \in \mathbb{Z}, 1 \leq r \leq 2\}^- \cup \{h(z) : \kappa(|z|) = 0\}.$$

Proposition 4.8. Suppose the semigroup $(T(t))_{t \geq 0}$ on $C(K)$ is given by (4.1) and let $\underline{c}(h, \phi)$, $\bar{c}(h, \phi)$ be defined as in (4.4). Then the following assertions hold:

- (a) $\{\lambda \in \mathbb{C} : \operatorname{Re} \lambda > \bar{c}(h, \phi)\} \subset \rho(A)$;
- (b) $\bar{c}(h, \phi)$ and $\underline{c}(h, \phi)$ are spectral values;
- (c) If $\phi(t, x_0) = x_0$ for every $t \geq 0$, then $h(x_0) \in \operatorname{R}\sigma(A)$;
- (d) Assume x_0 has a finite orbit (i.e., $\phi(\mathbb{R}_+, x_0) = \phi([0, T], x_0)$ for some $T < \infty$) and $\tau := \inf\{t > 0 : \phi(T+t, x_0) = \phi(T, x_0)\} > 0$, then $\hat{h}(x_0) + \frac{2\pi}{\tau}i\mathbb{Z} \subset \operatorname{R}\sigma(A)$ where $\hat{h}(x_0) := 1/\tau \int_T^{T+\tau} h(\phi(s, x_0)) ds$.
- (e) If x_0 has an infinite orbit and $\hat{h} := \lim_{t \rightarrow \infty} h(\phi(t, x_0))$ exists, then $\hat{h} + i\mathbb{R} \subset \sigma(A)$.

Proof. (a) and (b): A look at (4.4) shows that $\bar{c}_t(h, \phi) = 1/t \cdot \log \|T(t)\|$ hence $\bar{c}(h, \phi) = \omega(A)$ (cf. A-I, (1.1)). Consequently, we have $\{\lambda \in \mathbb{C} : \operatorname{Re} \lambda > \bar{c}(h, \phi)\} \subset \rho(A)$ and $\bar{c}(h, \phi) \in \sigma(A)$ by Thm.1.6. To prove $\underline{c}(h, \phi) \in \sigma(A)$, we can assume by Thm.4.4 that $K_\infty = K_s$ for some s and that $\phi|_{K_\infty}$ is injective. It is easy to see that $\underline{c}(h, \phi) = \underline{c}(\hat{h}|_{K_\infty}, \phi|_{K_\infty})$, moreover, we have $\sigma(A|_{I_\infty}) = \emptyset$ hence $\sigma(A) = \sigma(A/I_\infty)$ by A-III, Prop.4.2. This shows that we also can assume that $K = K_\infty$, i.e., ϕ is bijective or A is the generator of a group. Now the assertion follows from

$$\underline{c}(h, \phi) = \underline{c}(h, \phi^{-1}) = -\bar{c}(-h, \phi^{-1}) = -s(-A).$$

(c) and (d): One can check easily that in case of (c) the Dirac functional δ_{x_0} is an eigenvector of A' corresponding to $h(x_0)$.