

Lemma 1.9. Let  $B$  be an operator on  $C(K)$  (more generally, on a Banach lattice). If  $\mu_1, \mu_2 \in \rho(B) \cap \mathbb{R}$  such that  $0 \leq R(\mu_1, B)$ ,  $0 \leq R(\mu_2, B)$  and  $\mu_1 < \mu_2$ , then  $[\mu_1, \mu_2] \subset \rho(B)$  and

$$0 \leq R(\mu_2, B) \leq R(\mu, B) \leq R(\mu_1, B) \quad \text{for all } \mu \in [\mu_1, \mu_2].$$

Proof. Let  $M := \{\mu \in \rho(B) \cap [\mu_1, \mu_2] : [\mu, \mu_2] \subset \rho(B) \text{ and } R(\lambda, B) \geq 0 \text{ for all } \lambda \in [\mu, \mu_2]\}$ .

a) The set  $M$  is open. In fact, let  $\mu \in M$ . Then for small  $h > 0$  one has  $R(\mu-h, B) = \sum_{n=0}^{\infty} h^n R(\mu, B)^{n+1} \geq 0$ .

b)  $M$  is closed. In fact, by the resolvent equation one has for  $\mu \in M$ ,  $R(\mu_1, B) - R(\mu, B) = (\mu - \mu_1)R(\mu_1, B)R(\mu, B) \geq 0$ , hence  $R(\mu, B) \leq R(\mu_1, B)$ . Consequently,  $\text{dist}(\mu, \sigma(B)) \geq 1/\|R(\mu, B)\| \geq 1/\|R(\mu_1, B)\|$  for all  $\mu \in M$ . This implies that  $M$  is closed. The assertions a) and b) imply that  $M = [\mu_1, \mu_2]$ .

□

Remark. a) The lemma shows in particular that the resolvent of the generator  $A$  of a positive semigroup is decreasing on  $(s(A), \infty)$ .

b) There exists a linear operator  $B$  on  $\mathbb{R}^n$  such that  $R(\mu, B) \geq 0$  on some interval  $[\mu_1, \mu_2] \subset \rho(B) \cap \mathbb{R}$  but  $(e^{tB})_{t \geq 0}$  is not positive (see Greiner-Voigt-Wolff (1981)).

Remark. Theorem 1.8 does not hold in  $C_0(X)$ , in general. In fact, the operator  $A$  on  $C_0(0,1]$  given by  $Af(x) = f'(x) + \alpha/x f(x)$  ( $x \in (0,1]$ ) with domain  $D(A) = \{f \in C^1[0,1] : f'(0) = f(0) = 0\}$  where  $\alpha \in (0,1)$  satisfies the following:  $\rho(A) = \mathbb{C}$ ,  $R(\lambda, A) \geq 0$  for all  $\lambda \in \mathbb{R}$ . But  $A$  is not the generator of a semigroup (even if more general classes than  $C_0$ -semigroups are admitted). See Arendt (1985b) for this example and a general theory of resolvent positive operators. Another example is given by Batty-Davies (1983).

Next we investigate consequences of the positive minimum principle for a densely defined operator which is not a priori assumed to be a generator. For that we will make use of the theory of half-norms developed in A-II, Sec.2.

For  $0 < u \in C(K)$  let

$$(1.4) \quad p_u(f) = \inf \{\lambda \in \mathbb{R}_+ : f \leq \lambda u\} = \sup_{x \in K} f^+(x)/u(x).$$