

when we can show that  $H_{2\varepsilon} \subseteq R\sigma(A_1)$ . In case (c) one has  $K_s = K_\infty$  for some  $s < \infty$ , which implies  $T(s)|_{I_\infty} = 0$ . Hence we have

$\sigma(A|_{I_\infty}) = \emptyset$  and therefore  $\sigma(A) = \sigma(A/I_\infty)$  by A-III, Prop. 4.2.

Henceforth we will assume that  $K = K_\infty$ , that is,  $\phi$  is surjective (cf. Lemma 4.2(b)).

We choose  $\tau > 0$  such that (4.5) is true. Since  $\phi$  is surjective, for every  $f \in C(K)$  there is a  $x_f \in K$  such that  $\|f\| = \|f(\phi(\tau, x_f))\|$  and we obtain for  $\lambda \in H_{2\varepsilon}$ ,  $\lambda = \alpha + i\beta$ ,  $\alpha, \beta \in \mathbb{R}$ :

$$\begin{aligned}
 (4.9) \quad \|(e^{\lambda\tau} - T(\tau))f\| &\geq |h_\tau(x_f)f(\phi(\tau, x_f)) - e^{\lambda\tau}f(x_f)| \\
 &\geq h_\tau(x_f)\|f\| - e^{\alpha\tau}|f(x_f)| \\
 &\geq e^{(\alpha+\varepsilon)\tau}\|f\| - e^{\alpha\tau}\|f\| \\
 &= e^{\alpha\tau}(e^{\varepsilon\tau} - 1)\|f\|.
 \end{aligned}$$

It follows that the disc  $D := \{\lambda \in \mathbb{C} : |\lambda| < \exp(\underline{c}(h, \phi) - 2\varepsilon)\}$  has an empty intersection with  $A\sigma(T(\tau))$  and therefore  $H_{2\varepsilon} \cap A\sigma(A) = \emptyset$  by A-III, 6.2. Since every boundary point of the spectrum is an approximate eigenvalue (A-III, Prop. 2.2(i)) we have the following alternative:

$$\begin{aligned}
 (4.10) \quad \text{Either } D \subseteq \rho(T(\tau)) \text{ and } H_{2\varepsilon} \subseteq \rho(A) \text{ or else} \\
 D \subseteq R\sigma(T(\tau)) \text{ and } H_{2\varepsilon} \subseteq R\sigma(A).
 \end{aligned}$$

It is not difficult to see that  $0 \in \rho(T(\tau))$  whenever  $\phi_t$  is bijective and that  $0$  is an eigenvalue of  $T(\tau)$  if  $\phi_t$  is not injective. Since we assumed that  $\phi$  is surjective, assertions (b) and (c) of the theorem are immediate consequences of (4.10).

□

The examples 4.3(a), (b) and (c) respectively are prototypes of the three different cases considered in Thm. 4.4. Ex. 4.3(c) also shows that there are semigroups whose spectrum is contained in a right half-plane, although they cannot be embedded in a group (compare Cor. 4.5 below!). Ex. 4.3(d) shows that (a) and (b) do not exclude each other.

**Corollary 4.5.** If  $\phi$  is injective or surjective, then the following assertions are equivalent:

- (i)  $A$  is the generator of a strongly continuous group.
- (ii)  $\sigma(A)$  is contained in a right half-plane.