

tion seems to be justified by the fact that for each of the following subsets of  $\sigma(A)$  there exist canonical constructions converting the corresponding spectral values into eigenvalues (see Prop. 2.2.ii and Prop. 4.5 below).

Definition 2.1. For a closed, densely defined, linear operator  $A$  with domain  $D(A)$  in the Banach space  $E$  denote by the

- (i) point spectrum  $P\sigma(A)$  the set of all  $\lambda \in \mathbb{C}$  such that  $\lambda - A$  is not injective.
- (ii) approximate point spectrum  $A\sigma(A)$  the set of all  $\lambda \in \mathbb{C}$  such that  $\lambda - A$  is not injective or  $(\lambda - A)D(A)$  is not closed in  $E$ .
- (iii) residual spectrum  $R\sigma(A)$  the set of all  $\lambda \in \mathbb{C}$  such that  $(\lambda - A)D(A)$  is not dense in  $E$ .

From these definitions it follows that  $\lambda \in \mathbb{C}$  is an eigenvalue of  $A$ , i.e.  $\lambda \in P\sigma(A)$ , if and only if there exists an eigenvector  $0 \neq f \in D(A)$  such that  $Af = \lambda f$ . It follows from the Open Mapping Theorem that  $\lambda \in A\sigma(A)$  if and only if  $\lambda$  is an approximate eigenvalue, i.e. there exists a sequence  $(f_n)_{n \in \mathbb{N}} \subset D(A)$ , called an approximate eigenvector, such that  $\|f_n\| = 1$  and  $\lim_{n \rightarrow \infty} \|Af_n - \lambda f_n\| = 0$ .

Clearly we have  $P\sigma(A) \subset A\sigma(A)$  and  $\sigma(A) = A\sigma(A) \cup R\sigma(A)$  where the union need not be disjoint.

The following proposition is a first indication that the subdivision we made implies nice properties.

Proposition 2.2. For a closed, densely defined, linear operator  $(A, D(A))$  in a Banach space  $E$  the following holds:

- (i) The topological boundary  $\partial\sigma(A)$  of  $\sigma(A)$  is contained in  $A\sigma(A)$ .
- (ii)  $R\sigma(A) = P\sigma(A')$  for the adjoint operator  $A'$  on  $E'$ .

Proof. (i) Take  $\lambda_0 \in \partial\sigma(A)$  and  $\lambda_n \in \rho(A)$  such that  $\lambda_n \rightarrow \lambda_0$ . Since  $\|R(\lambda_n, A)\| \geq (\text{dist}(\lambda_n, \sigma(A)))^{-1}$  (see Prop. 2.5.(ii)), by the uniform boundedness principle we find  $f \in E$  such that

$$\lim_{n \rightarrow \infty} \|R(\lambda_n, A)f\| = \infty.$$