

CMPE 322/327 - Theory of Computation

Week 6: Derivatives & Kleene Algebra & Equivalence of Regular Expressions

Burak Ekici

March 28 - April 1, 2022

Outline

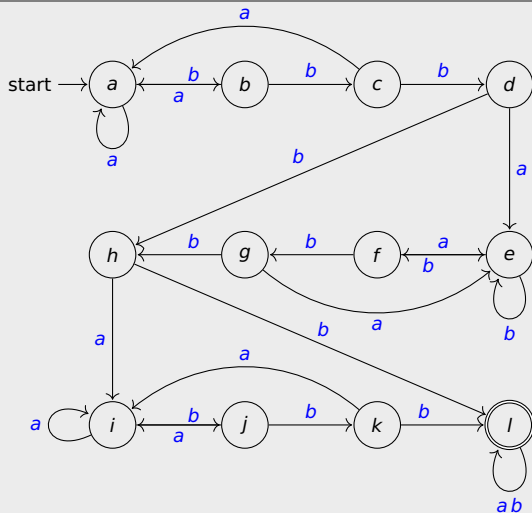
1 A Quick Recap

2 Derivatives

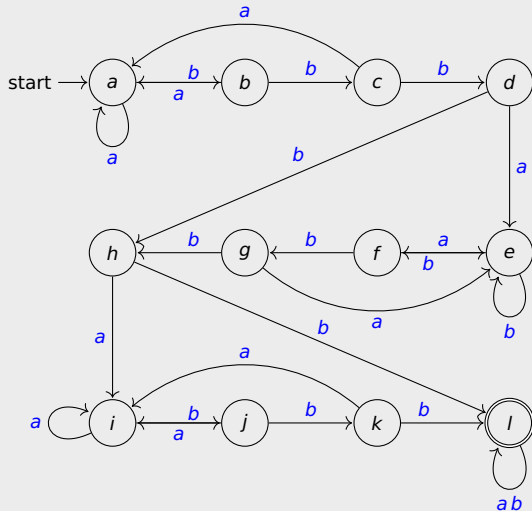
3 Kleene Algebra

4 Equivalence of Regular Expressions

Example (Marking Algorithm – Ordering)

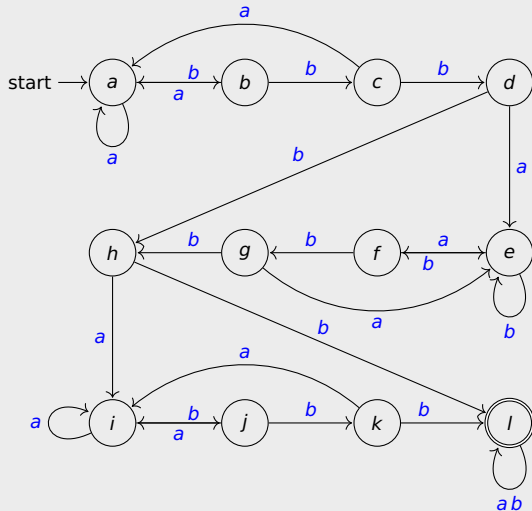


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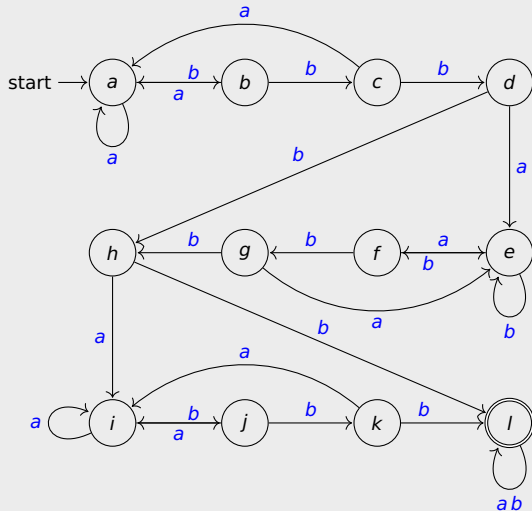


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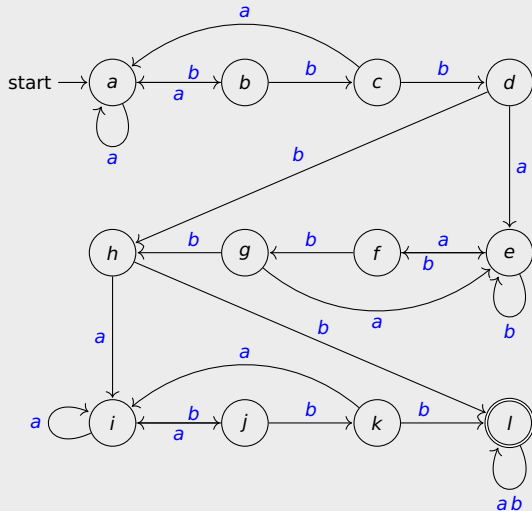


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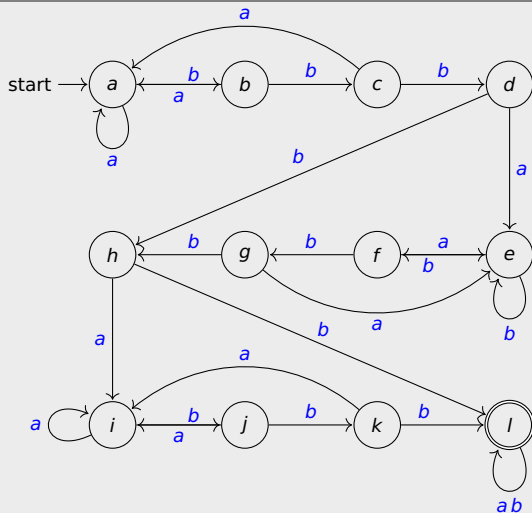
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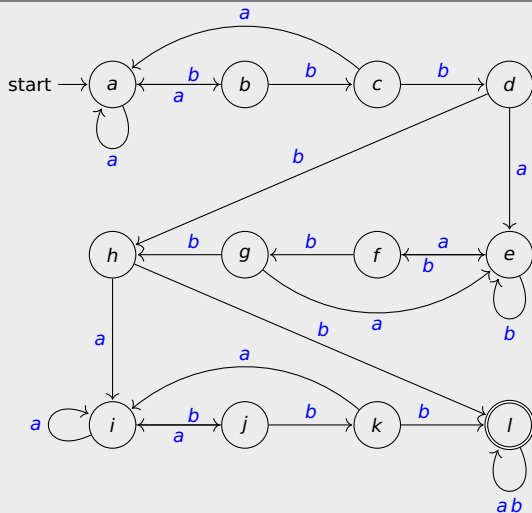
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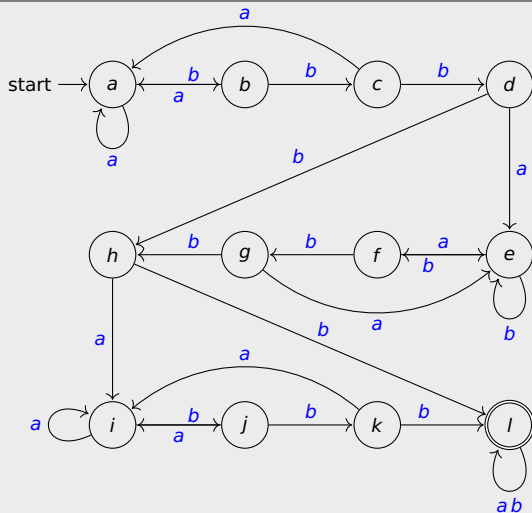
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states d, g and h, k can be merged

Definition

equivalence relation \equiv_M on Σ^* for DFA $M = (Q, \Sigma, \delta, s, F)$ is defined as follows:

$$x \equiv_M y \iff \widehat{\delta}(s, x) = \widehat{\delta}(s, y)$$

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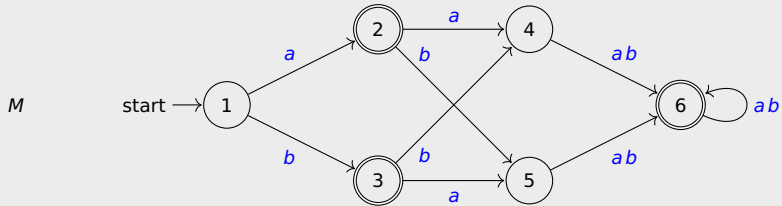
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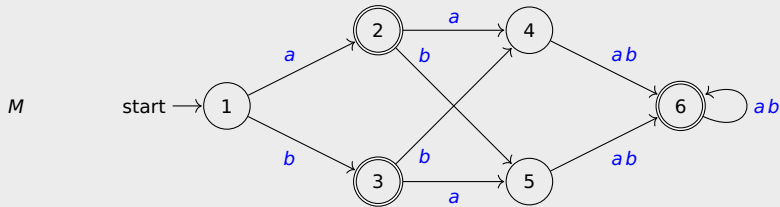
Definition

Myhill-Nerode relation for $L \subseteq \Sigma^*$ is right congruent equivalence relation of finite index on Σ^* that refines L

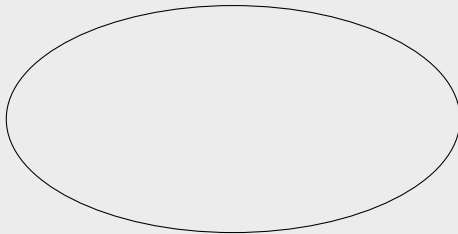
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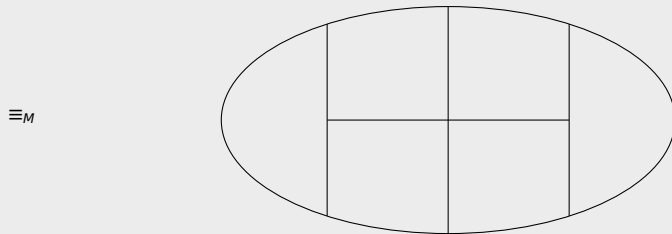
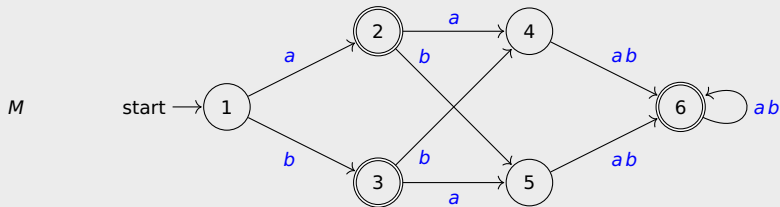
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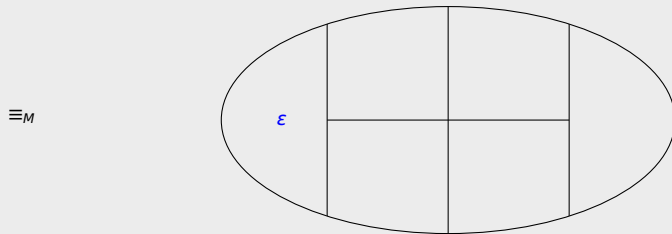
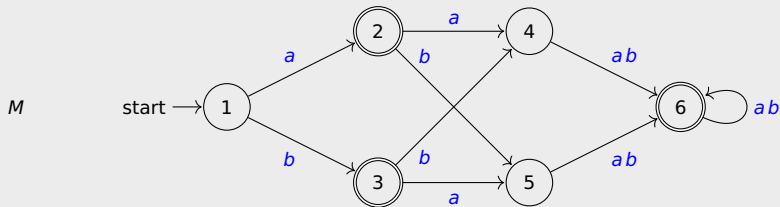
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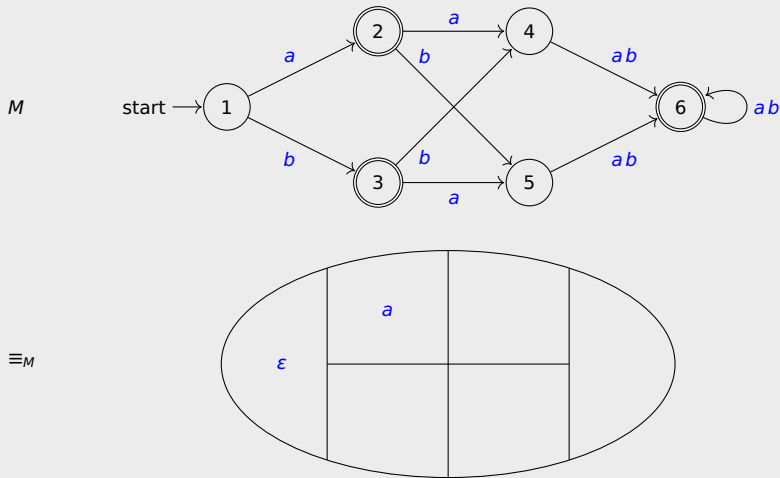
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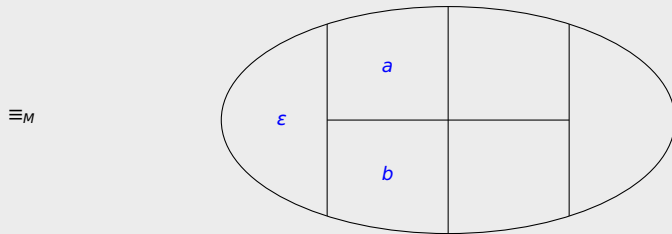
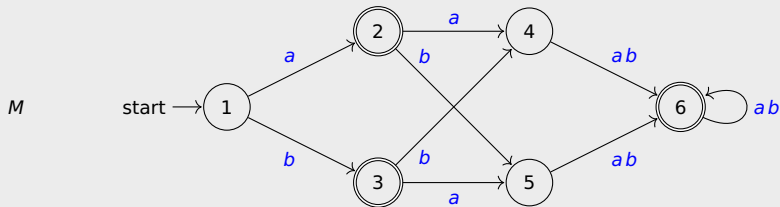
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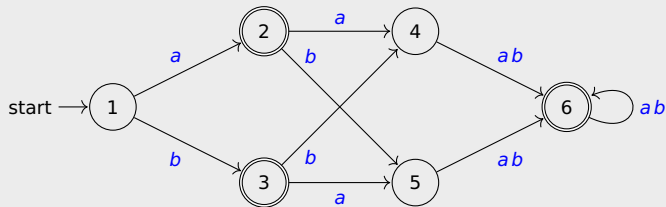
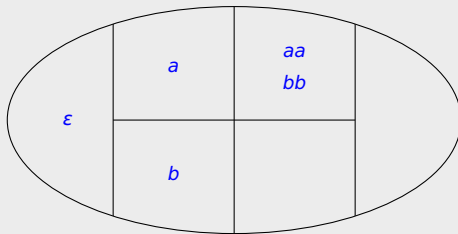
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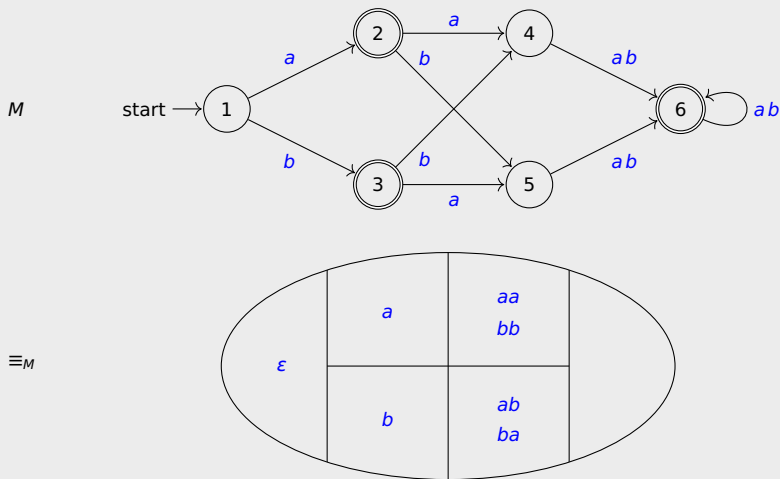
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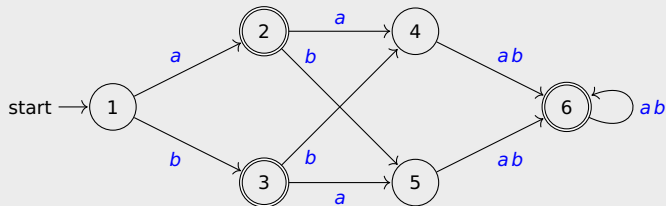
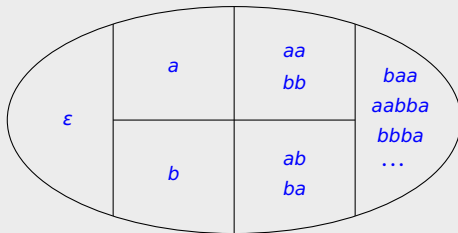
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given Myhill-Nerode relation \equiv for set $L \subseteq \Sigma^*$, DFA M_{\equiv} is defined as $(Q, \Sigma, \delta, s, F)$ with

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② $x \in L \iff [x]_{\equiv} \in F$

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if \sim is right congruent equivalence relation refining L then

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\equiv_L has fewest equivalence classes

Theorem (Myhill-Nerode)

following statements are equivalent for any set $L \subseteq \Sigma^*$:

- L is regular
- L admits Myhill-Nerode relation

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Theorem

for every DFA M , $M/\approx \simeq M_{\equiv_L}$

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- 2 Derivatives
- 3 Kleene Algebra
- 4 Equivalence of Regular Expressions

$$x \in \Sigma^* \quad A \subseteq \Sigma^*$$

Definitions

- **x-derivative** of A: $A_x := \{y \mid xy \in A\}$

$x \in \Sigma^*$ $A \subseteq \Sigma^*$ $a \in \Sigma$ regular expression α over Σ

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Example

- $\alpha = (a + b)^*$

$$\alpha_a = (a + b)_a(a + b)^*$$

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$$\begin{aligned}\alpha_b &= (a + b)_b(a + b)^* \\ &= (a_b + b_b)(a + b)^* \\ &= (\emptyset + \varepsilon)(a + b)^* \\ &\equiv (a + b)^*\end{aligned}$$

- $\beta = (a^*b)^*a^*$

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$$\begin{aligned}\beta_b &= ((a^*b)^*)_b a^* + (a^*)_b \\ &= (a^*b)_b (a^*b)^* a^* + a_b a^* \\ &= ((a^*)_b b + b_b)(a^*b)^* a^* + \emptyset a^* \\ &= ((a_b) a^* b + \varepsilon)(a^*b)^* a^* + \emptyset a^*\end{aligned}$$

Example

- $\alpha = (a + b)^*$

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$x \in \Sigma^*$ $A \subseteq \Sigma^*$ $a \in \Sigma$ regular expression α over Σ

Definitions

- **x-derivative** of A : $A_x := \{y \mid xy \in A\}$
- **a-derivative** of α is regular expression defined inductively as follows:

$$\alpha_a := \begin{cases} \emptyset & \text{if } \alpha = \emptyset \text{ or } \alpha = \varepsilon \text{ or } \alpha = b \text{ with } b \neq a \\ \varepsilon & \text{if } \alpha = a \\ \beta_a + \gamma_a & \text{if } \alpha = \beta + \gamma \\ \beta_a \gamma + \gamma_a & \text{if } \alpha = \beta \gamma \text{ and } \varepsilon \in L(\beta) \\ \beta_a \gamma & \text{if } \alpha = \beta \gamma \text{ and } \varepsilon \notin L(\beta) \\ \beta_a \beta^* & \text{if } \alpha = \beta^* \end{cases}$$

Lemma

$$L(\alpha_a) = L(\alpha)_a$$

Notation

$\alpha \downarrow$ for $\varepsilon \in L(\alpha)$ $\alpha \uparrow$ for $\varepsilon \notin L(\alpha)$

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- $\emptyset \uparrow$
- $\varepsilon \downarrow$
- $a \uparrow$ for all $a \in \Sigma$

Notation

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- $\emptyset \uparrow$
- $\varepsilon \downarrow$
- $a \uparrow$ for all $a \in \Sigma$
- $(\alpha + \beta) \downarrow \iff \alpha \downarrow \text{ or } \beta \downarrow$

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Theorem

for every regular expression α over $\Sigma = \{a_1, \dots, a_n\}$ $\alpha \equiv \varepsilon(\alpha)$

Notation

$\alpha \downarrow$ for $\varepsilon \in L(\alpha)$ $\alpha \uparrow$ for $\varepsilon \notin L(\alpha)$ $\varepsilon(\alpha) = \emptyset$ if $\alpha \uparrow$ $\varepsilon(\alpha) = \varepsilon$ if $\alpha \downarrow$

- $\emptyset \uparrow$
- $\varepsilon \downarrow$
- $a \uparrow$ for all $a \in \Sigma$
- $(\alpha + \beta) \downarrow \iff \alpha \downarrow \text{ or } \beta \downarrow$
- $(\alpha\beta) \downarrow \iff \alpha \downarrow \text{ and } \beta \downarrow$
- $a^* \downarrow$

Theorem

for every regular expression α over $\Sigma = \{a_1, \dots, a_n\}$ $\alpha \equiv \varepsilon(\alpha) + a_1\alpha_{a_1} + \dots + a_n\alpha_{a_n}$

Outline

- 1 A Quick Recap
- 2 Derivatives
- 3 Kleene Algebra**
- 4 Equivalence of Regular Expressions

Definition

Kleene Algebra consists of set K with distinguished elements $0, 1 \in K$ and operations $*$: $K \rightarrow K$ and $+, \times$: $K \times K \rightarrow K$

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$$\begin{aligned}a + (b + c) &= (a + b) + c \\a + b &= b + a \\a + a &= a \\a + 0 &= a\end{aligned}$$

for all $a, b, c \in K$

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$$\begin{array}{lll} a + (b + c) & = & (a + b) + c \\ a + b & = & b + a \\ a + a & = & a \\ a + 0 & = & a \end{array} \quad \begin{array}{lll} a0 & = & 0 \\ 0a & = & 0 \\ 1a & = & a \\ a1 & = & a \end{array} \quad a(bc) = (ab)c$$

for all $a, b, c \in K$

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$$\begin{aligned} 1 + aa^* &= a^* \\ 1 + a^*a &= a^* \\ ac \leq c &\implies a^*c \leq c \\ ca \leq c &\implies ca^* \leq c \end{aligned}$$

for all $a, b, c \in K$

Notation

- ab for $a \times b$ a^* for $*(a)$ $a \leq b$ for $a + b = b$
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$$(A.14) \quad b + ac \leq c \implies a^*b \leq c$$

$$(A.15) \quad b + ca \leq c \implies ba^* \leq c$$

for all $a, b, c \in K$

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for all $a, b, c \in K$

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$$(A.16) \quad (a+b)^* = (a^*b)^*a^*$$

$$(A.17) \quad a(ba)^* = (ab)^*a$$

for all $a, b, c \in K$

Notation

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Example

- **regular sets** over alphabet Σ form Kleene algebra

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 - \emptyset for 0

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 - \emptyset for 0
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- **regular sets** over alphabet Σ form Kleene algebra
 - \emptyset for 0
 - $\{\epsilon\}$ for 1
 - union for $+$
 - concatenation for \times

Example

- **regular sets** over alphabet Σ form Kleene algebra
 - \emptyset for 0
 - $\{\epsilon\}$ for 1
 - union for $+$
 - concatenation for \times
 - asterate for $*$

Example

- **regular sets** over alphabet Σ form Kleene algebra
 - \emptyset for 0
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- **binary relations** over set A form Kleene algebra

Example

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 - \emptyset for 0
 - $\{\epsilon\}$ for 1
 - union for $+$
 - concatenation for \times
 - asterate for $*$
- **binary relations** over set A form Kleene algebra
 - empty relation \emptyset for 0

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 - \emptyset for 0
 - $\{\epsilon\}$ for 1
 - union for $+$
 - concatenation for \times
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- **binary relations** over set A form Kleene algebra
 - empty relation \emptyset for 0
 - identity relation $\{(a, a) \mid a \in A\}$ for 1

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 - \emptyset for 0
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 - relational composition for \times

Example

- **regular sets** over alphabet Σ form Kleene algebra
 - \emptyset for 0
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 - union for $+$
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- **binary relations** over set A form Kleene algebra
 - empty relation \emptyset for 0
 - identity relation $\{(a, a) \mid a \in A\}$ for 1
 - union for $+$
 - relational composition for \times
 - reflexive transitive closure for $*$

Theorem

for all regular expressions α and β

$$\alpha \equiv \beta \iff \alpha = \beta \text{ can be proven from Kleene algebra axioms}$$

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Inference Rules

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for all regular expressions α and β

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Inference Rules

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$$\frac{}{\alpha = \alpha}$$

$$\frac{\alpha = \beta}{\beta = \alpha}$$

$$\frac{\alpha = \beta \quad \beta = \gamma}{\alpha = \gamma}$$

Theorem

for all regular expressions α and β

$\alpha \equiv \beta \iff \alpha = \beta$ can be **proven** from Kleene algebra axioms

Inference Rules

- equivalence

$$\frac{}{\alpha = \alpha} \qquad \frac{\alpha = \beta}{\beta = \alpha} \qquad \frac{\alpha = \beta \quad \beta = \gamma}{\alpha = \gamma}$$

- application**

$$\frac{\sigma(\gamma) = \sigma(\delta)}{\sigma(\alpha) = \sigma(\beta)} \quad \forall \text{ axioms } \gamma = \delta \implies \alpha = \beta \quad \forall \text{ substitutions } \sigma$$

Theorem

for all regular expressions α and β

$\alpha \equiv \beta \iff \alpha = \beta$ can be **proven** from Kleene algebra axioms

Inference Rules

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$$\frac{}{\sigma(\alpha) = \sigma(\beta)} \quad \forall \text{ axioms} \qquad \alpha = \beta \quad \forall \text{ substitutions } \sigma$$

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Inference Rules

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$$\frac{\sigma(\gamma) = \sigma(\delta)}{\sigma(\alpha) = \sigma(\beta)} \quad \forall \text{ axioms } \gamma = \delta \implies \alpha = \beta \quad \forall \text{ substitutions } \sigma$$

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$$\frac{\alpha = \gamma \quad \beta = \delta}{\alpha + \beta = \gamma + \delta}$$

Theorem

for all regular expressions α and β

$\alpha \equiv \beta \iff \alpha = \beta$ can be **proven** from Kleene algebra axioms

Inference Rules

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$$\frac{\alpha = \gamma \quad \beta = \delta}{\alpha + \beta = \gamma + \delta} \qquad \frac{\alpha = \gamma \quad \beta = \delta}{\alpha\beta = \gamma\delta}$$

Theorem

for all regular expressions α and β

$\alpha \equiv \beta \iff \alpha = \beta$ can be **proven** from Kleene algebra axioms

Inference Rules

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$$\frac{\sigma(\gamma) = \sigma(\delta)}{\sigma(\alpha) = \sigma(\beta)} \quad \forall \text{ axioms } \gamma = \delta \implies \alpha = \beta \quad \forall \text{ substitutions } \sigma$$

- congruence**

$$\frac{\alpha = \gamma \quad \beta = \delta}{\alpha + \beta = \gamma + \delta} \qquad \frac{\alpha = \gamma \quad \beta = \delta}{\alpha\beta = \gamma\delta} \qquad \frac{\alpha = \beta}{\alpha^* = \beta^*}$$

Example (page 11)

$$\beta_a = a^*b(a^*b)^*a^* + a^*$$

Example (page 11)

$$\begin{aligned}\beta_a &= a^*b(a^*b)^*a^* + a^* \\ &= xx^*y + y\end{aligned}$$

Example (page 11)

$$\begin{aligned}\beta_a &= a^*b(a^*b)^*a^* + a^* \\ &= xx^*y + y \quad x := (a^*b)\end{aligned}$$

Example (page 11)

$$\begin{aligned}\beta_a &= a^*b(a^*b)^*a^* + a^* \\ &= xx^*y + y \quad x := (a^*b) \quad y := (a^*)\end{aligned}$$

Example (page 11)

$$\begin{aligned}
 \beta_a &= a^*b(a^*b)^*a^* + a^* \\
 &= xx^*y + y \quad x := (a^*b) \quad y := (a^*) \\
 &= (xx^* + \epsilon)y
 \end{aligned}$$

Example (page 11)

$$\begin{aligned}
 \beta_a &= a^*b(a^*b)^*a^* + a^* \\
 &= xx^*y + y \quad x := (a^*b) \quad y := (a^*) \\
 &= (xx^* + \epsilon)y \\
 &= x^*y
 \end{aligned}$$

Example (page 11)

$$\begin{aligned}
 \beta_a &= a^*b(a^*b)^*a^* + a^* \\
 &= xx^*y + y \quad x := (a^*b) \quad y := (a^*) \\
 &= (xx^* + \epsilon)y \\
 &= x^*y \\
 &= (a^*b)^*a^*
 \end{aligned}$$

Example (page 11)

$$\begin{aligned}
 \beta_a &= a^*b(a^*b)^*a^* + a^* \\
 &= xx^*y + y \quad x := (a^*b) \quad y := (a^*) \\
 &= (xx^* + \epsilon)y \\
 &= x^*y \\
 &= (a^*b)^*a^* \\
 &= (a+b)^*
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\alpha = (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0)$$

Example (w4.pdf – page 19)

$$\begin{aligned}\alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\ &= x + xy^*y\end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}\alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\ &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0)\end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}\alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\ &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0) \quad y := ((0 + \epsilon) + 1(1 + \epsilon)^*0)\end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
 &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0) \quad y := ((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
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 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 \\
 &= 0 + (1 + \epsilon)1^*0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 \\
 &= 0 + (1 + \epsilon)1^*0 \\
 &= 0 + 11^*0 + 1^*0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
 &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0) \quad y := ((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 \\
 &= 0 + (1 + \epsilon)1^*0 \\
 &= 0 + 11^*0 + 1^*0 \\
 &= (\epsilon + 11^* + 1^*)0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}\alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\ &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0) \quad y := ((0 + \epsilon) + 1(1 + \epsilon)^*0) \\ &= x(\epsilon + y^*y) = xy^* \\ x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 \\ &= 0 + (1 + \epsilon)1^*0 \\ &= 0 + 11^*0 + 1^*0 \\ &= (\epsilon + 11^* + 1^*)0 \\ &= (1^* + 1^*)0\end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
 &= x + xy^*y \quad x := (0 + (1 + \epsilon)(1 + \epsilon)^*0) \quad y := ((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
 &= x(\epsilon + y^*y) = xy^* \\
 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 \\
 &= 0 + (1 + \epsilon)1^*0 \\
 &= 0 + 11^*0 + 1^*0 \\
 &= (\epsilon + 11^* + 1^*)0 \\
 &= (1^* + 1^*)0 \\
 &= 1^*0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 &= 0 + 11^*0 + 1^*0 \\
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 \end{aligned}$$

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 &= 0 + (1 + \epsilon)1^*0 & &= (0 + \epsilon) + 11^*0 \\
 &= 0 + 11^*0 + 1^*0 \\
 &= (\epsilon + 11^* + 1^*)0 \\
 &= (1^* + 1^*)0 \\
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 \end{aligned}$$

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 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 &= 0 + (1 + \epsilon)1^*0 & &= (0 + \epsilon) + 11^*0 \\
 &= 0 + 11^*0 + 1^*0 & &= \epsilon + (0 + 11^*0) \\
 &= (\epsilon + 11^* + 1^*)0 \\
 &= (1^* + 1^*)0 \\
 &= 1^*0
 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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Example (w4.pdf – page 19)

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 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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Example (w4.pdf – page 19)

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 &= x(\epsilon + y^*y) = xy^*
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$$\begin{array}{ll}
 x &:= 0 + (1 + \epsilon)(1 + \epsilon)^*0 & y &:= (0 + \epsilon) + 1(1 + \epsilon)^*0 \\
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 &= (\epsilon + 11^* + 1^*)0 & &= \epsilon + (\epsilon + 11^*)0 \\
 &= (1^* + 1^*)0 & &= \epsilon + 1^*0 \\
 &= 1^*0 & &
 \end{array}$$

$$xy^* := (1^*0)(\epsilon + 1^*0)^*$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 &= (1^* + 1^*)0 & &= \epsilon + 1^*0 \\
 &= 1^*0
 \end{aligned}$$

$$\begin{aligned}
 xy^* &:= (1^*0)(\epsilon + 1^*0)^* \\
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 \end{aligned}$$

Example (w4.pdf – page 19)

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 &= (\epsilon + 11^* + 1^*)0 & &= \epsilon + (\epsilon + 11^*)0 \\
 &= (1^* + 1^*)0 & &= \epsilon + 1^*0 \\
 &= 1^*0
 \end{aligned}$$

$$\begin{aligned}
 xy^* &:= (1^*0)(\epsilon + 1^*0)^* \\
 &= (1^*0)(1^*0)^* \\
 &= (1^*0)^*(1^*0)
 \end{aligned}$$

Example (w4.pdf – page 19)

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 &= (1^* + 1^*)0 & &= \epsilon + 1^*0 \\
 &= 1^*0 & &
 \end{array}$$

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 xy^* &:= (1^*0)(\epsilon + 1^*0)^* \\
 &= (1^*0)(1^*0)^* \\
 &= (1^*0)^*(1^*0) \\
 &= ((1^*0)^*1^*)0
 \end{aligned}$$

Example (w4.pdf – page 19)

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 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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 &= 0 + 11^*0 + 1^*0 & &= \epsilon + (0 + 11^*0) \\
 &= (\epsilon + 11^* + 1^*)0 & &= \epsilon + (\epsilon + 11^*)0 \\
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 xy^* &:= (1^*0)(\epsilon + 1^*0)^* \\
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 &= ((1^*0)^*1^*)0 \\
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 \end{aligned}$$

Example (w4.pdf – page 19)

$$\begin{aligned}
 \alpha &= (0 + (1 + \epsilon)(1 + \epsilon)^*0) + (0 + (1 + \epsilon)(1 + \epsilon)^*0)((0 + \epsilon) + 1(1 + \epsilon)^*0)^*((0 + \epsilon) + 1(1 + \epsilon)^*0) \\
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$$\begin{aligned}
 xy^* &:= (1^*0)(\epsilon + 1^*0)^* \\
 &= (1^*0)(1^*0)^* \\
 &= (1^*0)^*(1^*0) \\
 &= ((1^*0)^*1^*)0 \\
 &= (1 + 0)^*0 \\
 &= (0 + 1)^*0
 \end{aligned}$$

Outline

- 1 A Quick Recap
- 2 Derivatives
- 3 Kleene Algebra
- 4 Equivalence of Regular Expressions

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- 1 convert α and β into equivalent finite automata N_α and N_β

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- 1 convert α and β into equivalent finite automata N_α and N_β
- 2 determinize and minimize N_α and N_β into D_α and D_β

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

① convert α and β into equivalent finite automata N_α and N_β

② determinize and minimize N_α and N_β into D_α and D_β

③ check whether D_α and D_β are identical (isomorphic):

yes $\implies L(\alpha) = L(\beta)$

no $\implies L(\alpha) \neq L(\beta)$

Theorem

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inefficient decision procedure

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inefficient decision procedure

Alternative Approaches (employing derivatives)

Theorem

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inefficient decision procedure

Alternative Approaches (employing derivatives)

① derivatives:

Theorem

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Decision Procedure

- ① convert α and β into equivalent finite automata N_α and N_β
- ② determinize and minimize N_α and N_β into D_α and D_β
- ③ check whether D_α and D_β are identical (isomorphic):

yes $\implies L(\alpha) = L(\beta)$
no $\implies L(\alpha) \neq L(\beta)$

inefficient decision procedure

Alternative Approaches (employing derivatives)

- ① derivatives: build DFAs D_α and D_β

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- ① convert α and β into equivalent finite automata N_α and N_β
- ② determinize and minimize N_α and N_β into D_α and D_β
- ③ check whether D_α and D_β are identical (isomorphic):

yes $\implies L(\alpha) = L(\beta)$
no $\implies L(\alpha) \neq L(\beta)$

inefficient decision procedure

Alternative Approaches (employing derivatives)

- ① derivatives: build DFAs D_α and D_β then minimize and check whether $D_\alpha \simeq D_\beta$

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- 1 convert α and β into equivalent finite automata N_α and N_β
- 2 determinize and minimize N_α and N_β into D_α and D_β
- 3 check whether D_α and D_β are identical (isomorphic):
yes $\implies L(\alpha) = L(\beta)$ no $\implies L(\alpha) \neq L(\beta)$

inefficient decision procedure

Alternative Approaches (employing derivatives)

- 1 derivatives: build DFAs D_α and D_β then minimize and check whether $D_\alpha \simeq D_\beta$
- 2 derivatives + bisimulation:

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- ① convert α and β into equivalent finite automata N_α and N_β
- ② determinize and minimize N_α and N_β into D_α and D_β
- ③ check whether D_α and D_β are identical (isomorphic):

yes $\implies L(\alpha) = L(\beta)$
no $\implies L(\alpha) \neq L(\beta)$

inefficient decision procedure

Alternative Approaches (employing derivatives)

- ① derivatives: build DFAs D_α and D_β then minimize and check whether $D_\alpha \simeq D_\beta$
- ② derivatives + bisimulation: check whether $L(\alpha) = L(\beta)$

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

question: $L(\alpha) = L(\beta)$

is **decidable**

Decision Procedure

- 1 convert α and β into equivalent finite automata N_α and N_β
- 2 determinize and minimize N_α and N_β into D_α and D_β
- 3 check whether D_α and D_β are identical (isomorphic):
yes $\implies L(\alpha) = L(\beta)$ no $\implies L(\alpha) \neq L(\beta)$

inefficient decision procedure

Alternative Approaches (employing derivatives)

- 1 derivatives: build DFAs D_α and D_β then minimize and check whether $D_\alpha \simeq D_\beta$ (next slide)
- 2 derivatives + bisimulation: check whether $L(\alpha) = L(\beta)$

Theorem

equivalence problem for regular expression

instance: regular expressions α and β over alphabet Σ

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is **decidable**

Decision Procedure

- 1 convert α and β into equivalent finite automata N_α and N_β
- 2 determinize and minimize N_α and N_β into D_α and D_β
- 3 check whether D_α and D_β are identical (isomorphic):
yes $\implies L(\alpha) = L(\beta)$ no $\implies L(\alpha) \neq L(\beta)$

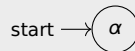
inefficient decision procedure

Alternative Approaches (employing derivatives)

- 1 derivatives: build DFAs D_α and D_β then minimize and check whether $D_\alpha \simeq D_\beta$ (next slide)
- 2 derivatives + bisimulation: check whether $L(\alpha) = L(\beta)$ (slides #23 – #26)

Example

- $\alpha = (a + b)^*$

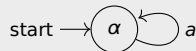


Lemma

every regular expression α can be transformed into equivalent DFA using derivatives

Example

- $\alpha = (a + b)^*$, $\alpha_a \equiv \alpha$

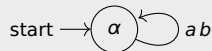


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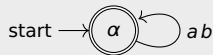


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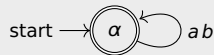


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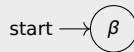
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- $\alpha = (a + b)^*$, $\alpha_a \equiv \alpha$, $\alpha_b \equiv \alpha$, $\alpha \downarrow$



- $\beta = (a^* b)^* a^*$

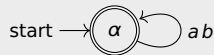


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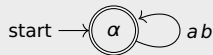


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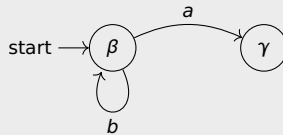
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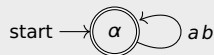


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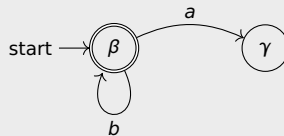
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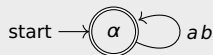


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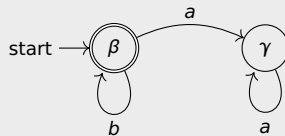
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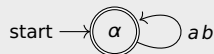


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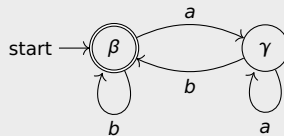
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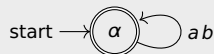


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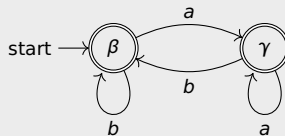
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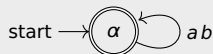


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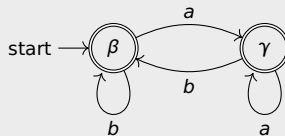
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Lemma

every regular expression α can be transformed into equivalent DFA using derivatives
 (and 'easy' Kleene algebra axioms for simplification)

Example

$$\alpha = a^*$$

Example

$$\alpha = a^* \quad \alpha_a = \epsilon a^*$$

Example

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Remark

- ‘easy’ Kleene algebra axioms: ACI of +

$$a + (b + c) = (a + b) + c$$

$$a + b = b + a$$

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Lemma

every regular expression has finitely many derivatives modulo ACI of +

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Remark

- ‘easy’ Kleene algebra axioms: ACI of +

$$a + (b + c) = (a + b) + c$$

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- using more Kleene algebra axioms might speed up computation of equivalent DFA

Lemma

every regular expression has finitely many derivatives modulo ACI of +

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$A \downarrow$ denotes $\varepsilon \in A$

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bisimulation is binary relation \sim between languages over alphabet Σ

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- ① $A_a \sim B_a$ for all $a \in \Sigma$
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Example (bisimulation of languages)

$L = \{aa, ba\}$ and $M = \{aa, bb\}$ over $\Sigma = \{a, b\}$

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languages L_b and M_b are not bisimilar therefore L and M cannot be bisimilar

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Remark

only **equal** languages are **bisimilar** (next slide)

Theorem

- 1 regular expressions α and β are equivalent $\iff L(\alpha) \sim L(\beta)$ for some bisimulation \sim

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- if $x = \varepsilon$ then $L(\alpha) \downarrow$

Theorem

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\impliedby suppose $x \in L(\alpha)$

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- if $x = \varepsilon$ then $L(\alpha) \downarrow$
 $L(\beta) \downarrow$ because $L(\alpha) \sim L(\beta)$ and thus $x \in L(\beta)$
- $x = ay$ for some $a \in \Sigma$ with IH: $\forall a \in \Sigma, y \in L(\alpha)_a \iff y \in L(\beta)_a$

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given $x = ay$ then $y \in L(\alpha)_a$
 $y \in L(\beta)_a$ according to IH

Theorem

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- ② $L(\alpha) = L(\beta) \iff L(\alpha) \sim L(\beta)$ for some bisimulation \sim

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\impliedby suppose $x \in L(\alpha)$

we show $x \in L(\beta)$ by induction on x

- if $x = \varepsilon$ then $L(\alpha) \downarrow$
 $L(\beta) \downarrow$ because $L(\alpha) \sim L(\beta)$ and thus $x \in L(\beta)$
- $x = ay$ for some $a \in \Sigma$ with IH: $\forall a \in \Sigma, y \in L(\alpha)_a \iff y \in L(\beta)_a$
given $x = ay$ then $y \in L(\alpha)_a$
 $y \in L(\beta)_a$ according to IH
therefore $L(\alpha)_a = L(\beta)_a \quad \forall a \in \Sigma$

Theorem

- 1 regular expressions α and β are equivalent $\iff L(\alpha) = L(\beta)$ for some bisimulation \sim
- 2 $L(\alpha) = L(\beta) \iff L(\alpha) \sim L(\beta)$ for some bisimulation \sim

Proof. (second statement)

\implies identity relation on languages is bisimulation that satisfies $L(\alpha) = L(\beta)$

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therefore $L(\alpha)_a = L(\beta)_a \quad \forall a \in \Sigma$
and thus $L(\alpha) = L(\beta)$

Example

$$\alpha = (a + b)^* \text{ and } \beta = (a^* b)^* a^*$$

Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$

	a	b	
α	α	α	\downarrow

Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

	a	b	
α	α	α	\downarrow

	a	b	
β	γ	β	\downarrow

Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

	a	b	
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	a	b	
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Example

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	a	b	
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if $L(\alpha) \sim L(\beta)$, it must be that

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γ	γ	β	\downarrow

if $L(\alpha) \sim L(\beta)$, it must be that

$$\textcircled{1} L(\alpha)_a \sim L(\beta)_a = L(\alpha_a) \sim L(\beta_a) = L(\alpha) \sim L(\gamma)$$

Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

	a	b	
α	α	α	\downarrow

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- ③ $L(\alpha) \downarrow \iff L(\beta) \downarrow \quad \checkmark$

Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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	a	b	
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Example

$\alpha = (a + b)^*$ and $\beta = (a^*b)^*a^*$ and $\gamma = a^*b(a^*b)^*a^* + a^*$

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α	α	α	\downarrow

	a	b	
β	γ	β	\downarrow
γ	γ	β	\downarrow

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if $L(\alpha) \sim L(\gamma)$, it must be that

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- ② $L(\alpha)_b \sim L(\gamma)_b = L(\alpha_b) \sim L(\gamma_b) = L(\alpha) \sim L(\beta) \quad \checkmark$
- ③ $L(\alpha) \downarrow \iff L(\gamma) \downarrow \quad \checkmark$

hence $\{(L(\alpha), L(\beta)), (L(\alpha), L(\gamma))\}$ is bisimulation and thus $L(\alpha) = L(\beta) = L(\gamma)$

Example

$\alpha = ab^*(a+b)^*b$ and $\beta = aa^*(b^*a)^*b$

Example

$\alpha = ab^*(a+b)^*b$ and $\beta = aa^*(b^*a)^*b$

derivatives

$$\alpha_a = b^*(a+b)^*b =: \alpha_1$$

$$(\alpha_1)_a = (a+b)^*b =: \alpha_2$$

$$(\alpha_2)_a = \alpha_2$$

$$(\alpha_3)_a = \alpha_2$$

$$(\alpha_4)_a = \alpha_2$$

$$\beta_a = a^*(b^*a)^*b =: \beta_1$$

$$(\beta_1)_a = a^*(b^*a)^*b + (b^*a)^*b =: \beta_2$$

$$(\beta_2)_a = \beta_2$$

$$(\beta_3)_a = (b^*a)^*b =: \beta_4$$

$$(\beta_4)_a = \beta_4$$

$$(\beta_5)_a = \beta_4$$

$$\alpha_b = \emptyset$$

$$(\alpha_1)_b = b^*(a+b)^*b + (a+b)^*b + \varepsilon =: \alpha_3$$

$$(\alpha_2)_b = (a+b)^*b + \varepsilon =: \alpha_4$$

$$(\alpha_3)_b = \alpha_3$$

$$(\alpha_4)_b = \alpha_4$$

$$\beta_b = \emptyset$$

$$(\beta_1)_b = b^*a(b^*a)^*b + \varepsilon =: \beta_3$$

$$(\beta_2)_b = \beta_3$$

$$(\beta_3)_b = b^*a(b^*a)^*b =: \beta_5$$

$$(\beta_4)_b = \beta_3$$

$$(\beta_5)_b = \beta_5$$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b	
α	α_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow
α_2	α_2	α_4	\uparrow
α_3	α_2	α_3	\downarrow
α_4	α_2	α_4	\downarrow
\emptyset	\emptyset	\emptyset	\uparrow

	a	b	
β	β_1	\emptyset	\uparrow
β_1	β_2	β_3	\uparrow
β_2	β_2	β_3	\uparrow
β_3	β_4	β_5	\downarrow
β_4	β_4	β_3	\uparrow
β_5	β_4	β_5	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow		β	β_1	\uparrow
α_1	α_2	α_3	\uparrow		β_1	β_2	\uparrow
α_2	α_2	α_4	\uparrow		β_2	β_2	\uparrow
α_3	α_2	α_3	\downarrow		β_3	β_4	\downarrow
α_4	α_2	α_4	\downarrow		β_4	β_4	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow		β_5	β_4	\uparrow
					\emptyset	\emptyset	\uparrow

- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_1) \sim L(\beta_1)$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow

- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_3)$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow

- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_5)$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow


- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_5)$
- $L(\alpha_3) \downarrow$ and $L(\beta_5) \uparrow$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow


- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_5)$
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Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

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	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow


- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_5)$
- $L(\alpha_3) \downarrow$ and $L(\beta_5) \uparrow$ 
- $L(\alpha) \neq L(\beta)$

Example

$$\alpha = ab^*(a+b)^*b \text{ and } \beta = aa^*(b^*a)^*b$$

tables

	a	b			a	b	
α	α_1	\emptyset	\uparrow	β	β_1	\emptyset	\uparrow
α_1	α_2	α_3	\uparrow	β_1	β_2	β_3	\uparrow
α_2	α_2	α_4	\uparrow	β_2	β_2	β_3	\uparrow
α_3	α_2	α_3	\downarrow	β_3	β_4	β_5	\downarrow
α_4	α_2	α_4	\downarrow	β_4	β_4	β_3	\uparrow
\emptyset	\emptyset	\emptyset	\uparrow	β_5	β_4	β_5	\uparrow
				\emptyset	\emptyset	\emptyset	\uparrow

- any bisimulation \sim satisfying $L(\alpha) \sim L(\beta)$ requires $L(\alpha_3) \sim L(\beta_5)$
- $L(\alpha_3) \downarrow$ and $L(\beta_5) \uparrow$ 
- $L(\alpha) \neq L(\beta)$ (witness: $abb \in L(\alpha) \setminus L(\beta)$)

Thanks! & Questions?