CMPE 322/327 - Theory of Computation Week 7: An Overview for the Midterm

Burak Ekici

April 4-8, 2022

Outline

1 Midterm

2 Finite Automata

Regular Expressions

• on April the 10th in between 13h00 – 15h30 (UTC+3 istanbul Time)

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- three questions (with sub-items):

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 - designing DFAs recognizing certain regular languages (no correctness proof is necessary, however provide a few sentences of explanation)

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 - NFA_{ε} $\xrightarrow{\varepsilon$ -elimination NFA subset construction DFA minimization minimal DFA (with all intermediate steps clearly stated)

- on April the 10th in between 13h00 15h30 (UTC+3 İstanbul Time)
- three questions (with sub-items):
 - designing DFAs recognizing certain regular languages (no correctness proof is necessary, however provide a few sentences of explanation)
 - NFA $_{\varepsilon}$ $\xrightarrow{\varepsilon-\text{elimination}}$ NFA $\xrightarrow{\text{subset construction}}$ DFA $\xrightarrow{\text{minimization}}$ minimal DFA (with all intermediate steps clearly stated)
 - NFA $_{\epsilon} \rightarrow$ regular expressions (with all recursive calls to the algorithm (definition) clearly traced and computed)

Topics Covered Thus Far

deterministic finite state machines (DFA), nondeterminism in finite state machines (NFA), closure properties of regular sets, ε -transitions (NFA $_{\varepsilon}$), homomorphisms, minimization, Myhill-Nerode relations, regular expressions, derivatives, Kleene algebra

Topics Appear in the Midterm

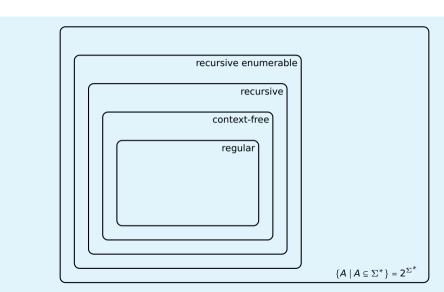
deterministic finite state machines (DFA), nondeterminism in finite state machines (NFA), closure properties of regular sets, ε -transitions (NFA $_{\varepsilon}$), homomorphisms, minimization, Myhill-Nerode relations, regular expressions, derivatives, Kleene algebra

Outline

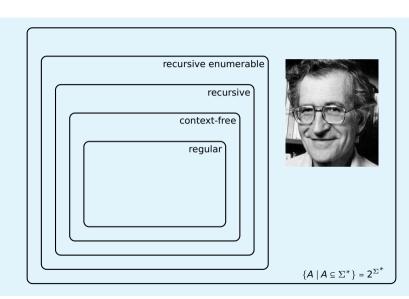
1 Midterm

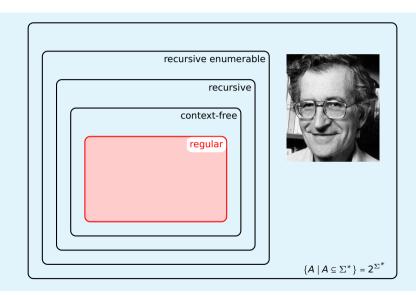
2 Finite Automata

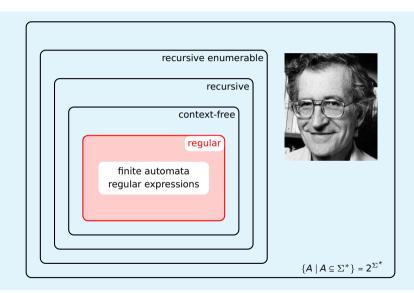
Regular Expressions

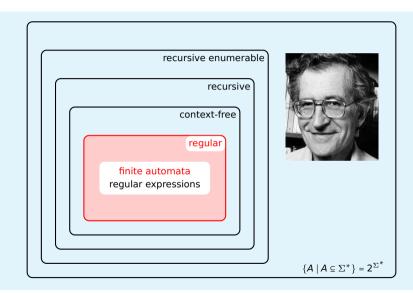


Midterm









deterministic finite state machines (DFA),

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deterministic finite state machines (DFA), nondeterminism in finite state machines (NFA), closure properties of regular sets, ε -transitions (NFA $_{\varepsilon}$), minimization

set $A \subseteq \Sigma^*$ is regular if A = L(M) for some DFA M

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Theorem

regular sets are effectively closed under intersection,

set $A \subseteq \Sigma^*$ is regular if A = L(M) for some DFA M

Theorem

regular sets are effectively closed under intersection, complement,

set $A \subseteq \Sigma^*$ is regular if A = L(M) for some DFA M

Theorem

regular sets are effectively closed under intersection, complement, union,

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regular sets are effectively closed under intersection, complement, union, concatenation,

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regular sets are effectively closed under intersection, complement, union, concatenation, asterate,

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regular sets are effectively closed under intersection, complement, union, concatenation, asterate, homomorphic image

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regular sets are effectively closed under intersection, complement, union, concatenation, asterate, homomorphic image and homomorphic preimage

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Theorem

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Theorem

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Theorem

every set accepted by NFA_{ε} is regular

• NFA_{ε} N₁ = (Q, Σ , ε , Δ ₁, S, F₁)

- NFA_{ε} $N_1 = (Q, \Sigma, \varepsilon, \Delta_1, S, F_1)$
- $L(N_1) = L(N_2)$ for NFA $N_2 = (Q, \Sigma, \Delta_2, S, F_2)$ with

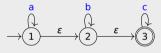
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$$\forall q \in Q \ \forall a \in \Sigma$$

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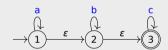




Example (ε -elimination)

NFA_{ε} $N_1 = (\{1, 2, 3\}, \{a, b, c\}, \varepsilon, \Delta_1, \{1\}, \{3\})$ with

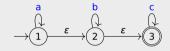
	Δ_1	a	b	C	ε
•	1	{1 }	Ø	Ø	{2}
	2	Ø	{2 }	Ø	{3}
	3	Ø	Ø	{3}	Ø



NFA_{ε} $N_1 = (\{1, 2, 3\}, \{a, b, c\}, \varepsilon, \Delta_1, \{1\}, \{3\})$ with

	Δ_1	а	b	С	ε
	1	{1 }	Ø	Ø	{2}
•	2	Ø	{2 }	Ø	{3 }
	3	Ø	Ø	{3}	Ø

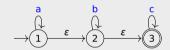
•
$$F_2 = \{ q \mid C_{\varepsilon}(\{q\}) \cap F_1 \neq \emptyset \}$$



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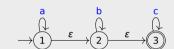
NFA_{ε} N₁ = ({1, 2, 3}, {a, b, c}, ε , Δ ₁, {1}, {3}) with

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$$\Delta$$
 a b c

$$\Delta_2(1,a) = \widehat{\Delta}_1(\{1\},a) = \bigcup \{C_{\varepsilon}(\Delta_1(q,a)) \mid q \in \widehat{\Delta}_1(\{1\},\varepsilon)\}$$



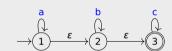
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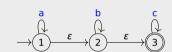
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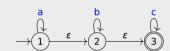


NFA_{$$\varepsilon$$} $N_1 = (\{1, 2, 3\}, \{a, b, c\}, \varepsilon, \Delta_1, \{1\}, \{3\})$ with

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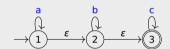


NFA_{ε} $N_1 = (\{1, 2, 3\}, \{a, b, c\}, \varepsilon, \Delta_1, \{1\}, \{3\})$ with

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$$\bullet \quad \frac{\Delta \quad a \quad b \quad c}{1 \quad \{1,2,3\}}$$



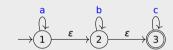
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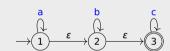


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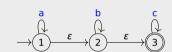
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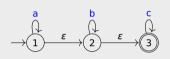


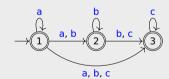
NFA_{ε} $N_1 = (\{1, 2, 3\}, \{a, b, c\}, \varepsilon, \Delta_1, \{1\}, \{3\})$ with

Δ_1	a	a	С	ε
1	{1}	Ø	Ø	{2}
2	Ø	{2 }	Ø	{3}
3	Ø	Ø	{3}	Ø

•
$$F_2 = \{q \mid C_{\varepsilon}(\{q\}) \cap F_1 \neq \emptyset\}$$

	Δ		b	С
	1	{1, 2, 3}	{2,3}	{3}
•	2	{1, 2, 3} Ø	{2,3}	{3}
	3		Ø	{3}





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- L(N) = L(M) for some DFA $M = (Q_M, \Sigma, \delta_M, s_M, F_M)$ with

 - $\begin{array}{lll}
 \mathbf{1} Q_M & := 2^{Q_N} \\
 \mathbf{2} \delta_M(A, a) & := \widehat{\Delta}(A, a)
 \end{array}$

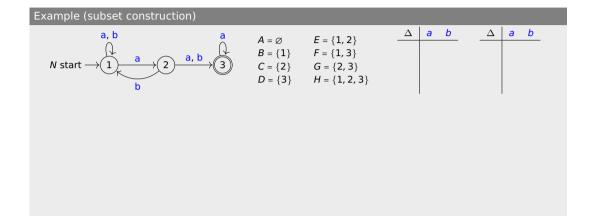
 $\forall A \subseteq O_N \ \forall a \in \Sigma$

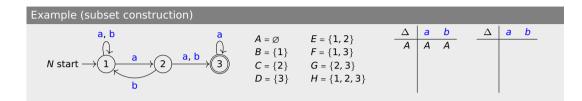
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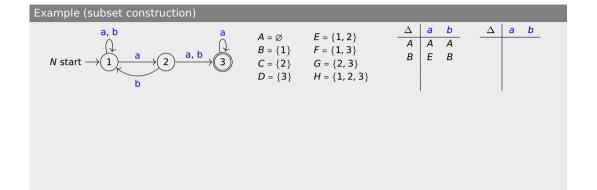
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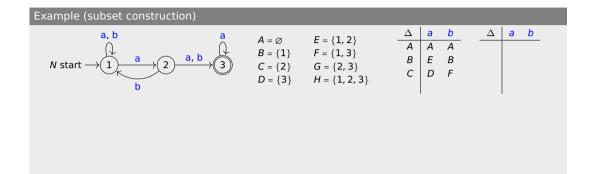
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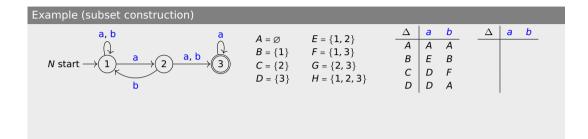
• L(N) = L(M) for some DFA $M = (Q_M, \Sigma, \delta_M, s_M, F_M)$ with



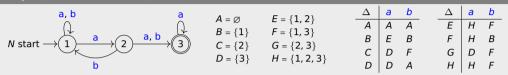


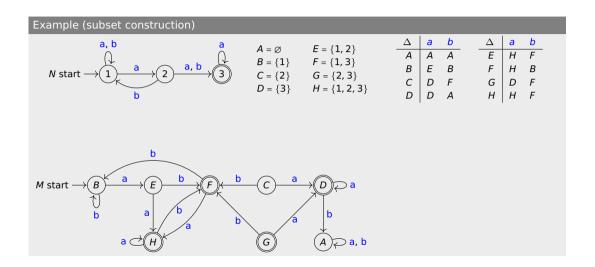


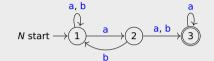








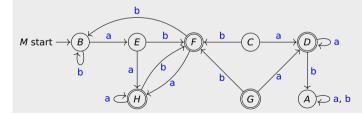


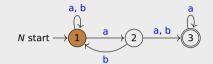


$$\begin{array}{ll} A=\varnothing & E=\{1,2\} \\ B=\{1\} & F=\{1,3\} \\ C=\{2\} & G=\{2,3\} \\ D=\{3\} & H=\{1,2,3\} \end{array}$$

Δ	a	b
Α	Α	Α
В	Ε	В
С	D	F
D	D	Α

Ε	Н	F
F	Н	В
G	D	F
Н	Н	F

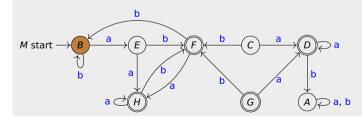


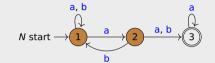


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Δ	а	b	
Α	Α	Α	
В	Ε	В	
С	D	F	
D	D	Α	

Ε	Н	ı
F	Н	ı
G	D	
Η	Н	

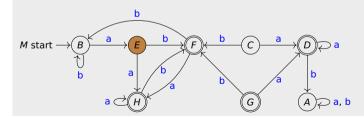


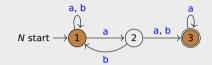


$$A = \emptyset \qquad E = \{1, 2\}$$

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 $E = \{1, 2\}$
 $B = \{1\}$ $F = \{1, 3\}$
 $C = \{2\}$ $G = \{2, 3\}$
 $D = \{3\}$ $H = \{1, 2, 3\}$

$$\begin{array}{c|cccc}
\Delta & a & b \\
\hline
A & A & A \\
B & E & B
\end{array}$$





$$A = \emptyset$$
 $E = B = \{1\}$ $F = \{1\}$

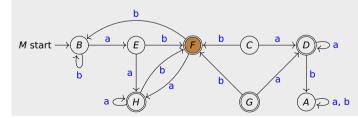
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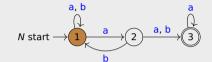
$$B = \{1\} \qquad F = \{1, 3\}$$

$$C = \{2\} \qquad G = \{2, 3\}$$

$$D = \{3\} \qquad H = \{1, 2, 3\}$$

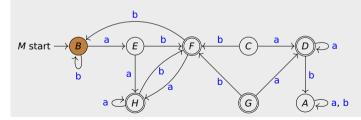
$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \end{array}$$

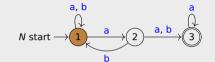




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Δ	a	b	E F G H	a	b	
Α	Α	Α	Ε	Н	F	
В	E	В	F	Н	В	
С	D	F	G	D	F	
D	A E D	Α	Н	Н	F	

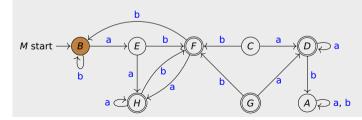


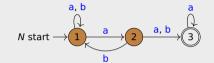


$$\begin{array}{ll} A=\varnothing & E=\{1,2\} \\ B=\{1\} & F=\{1,3\} \\ C=\{2\} & G=\{2,3\} \\ D=\{3\} & H=\{1,2,3\} \end{array}$$

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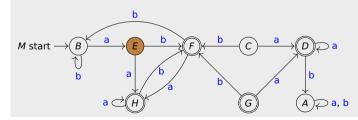




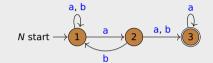
$$A = \emptyset$$
 $E = \{1, 2\}$
 $B = \{1\}$ $F = \{1, 3\}$

$$B = \{1\}$$
 $F = \{1, 3\}$
 $C = \{2\}$ $G = \{2, 3\}$
 $D = \{3\}$ $H = \{1, 2, 3\}$

$$\begin{array}{c|cccc}
\Delta & a & b \\
\hline
A & A & A \\
B & F & B
\end{array}$$



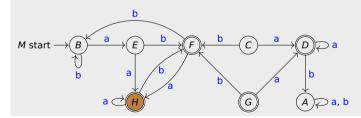




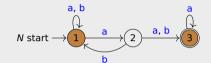
$$A = \emptyset \qquad E = \{1, 2\}$$

$$B = \{1\}$$

$$A = \emptyset$$
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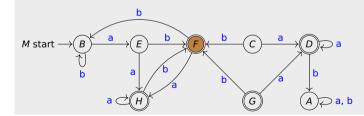




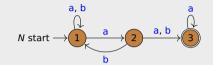
$$A = \emptyset$$
 $E = \{1, 2\}$

$$B = \{1\}$$
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$$\begin{array}{c|cccc}
\Delta & a & b \\
\hline
A & A & A \\
B & F & B
\end{array}$$

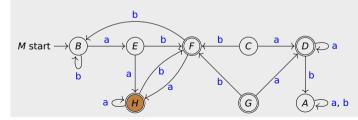




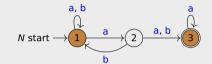


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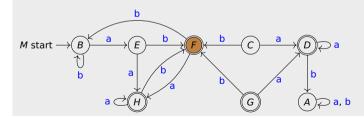


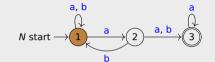


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$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \end{array}$$

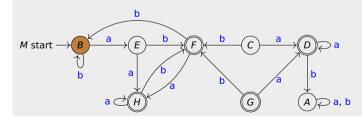


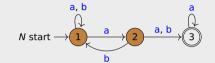


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Δ	a	b	
Α	Α	Α	
В	E	В	
С	D	F	
D	D	Α	

Ε	Н	F
F	Н	Е
G	D	F
Н	Н	F

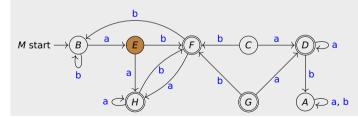


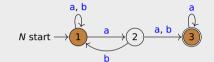


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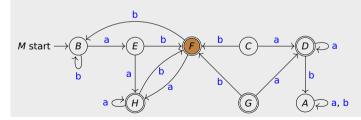
$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \end{array}$$

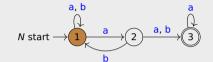




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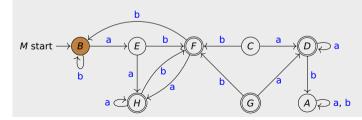


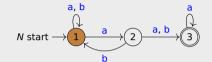


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	а	
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Ε	Н	F
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Н	Н	F

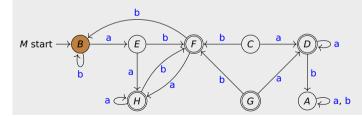


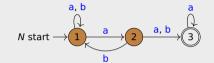


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Δ	а	b	
Α	Α	A	
В	Ε	В	
С	D	F	
D	D	Α	

Ε	Н	F
F	Н	Е
G	D	F
Н	Н	F



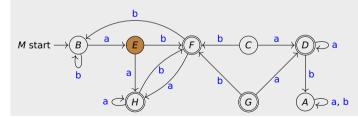


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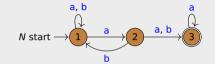
$$F = \{1, 3\}$$

 $G = \{2, 3\}$
 $H = \{1, 2, 3\}$

Δ	a	b	Δ	a	b
Α	Α	Α	Ε	Н	F
В	A E D	В	F	H H D	В
С	D	F	G	D	F
D	D	Δ	н	н	F







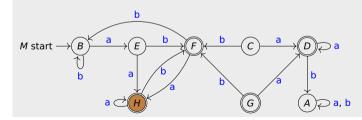
$$A = \emptyset$$
 $E = \{$
 $B = \{1\}$ $F = \{$

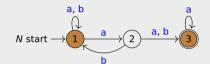
$$A = \emptyset \qquad E = \{1, 2\}$$

$$B = \{1\} \qquad F = \{1, 3\}$$

$$C = \{2\} \qquad G = \{2, 3\}$$

$$D = \{3\} \qquad H = \{1, 2, 3\}$$

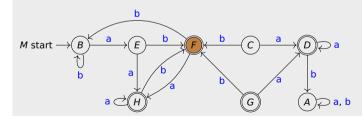




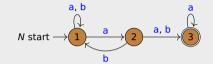
$$A = \emptyset \qquad E = \{1, 2\}$$

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$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \\ \hline \end{array}$$





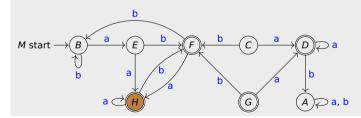


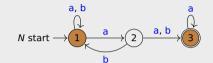
$$A = \emptyset \qquad E = \{1, 2\}$$

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$$\begin{array}{c|cccc}
\Delta & a & b \\
\hline
A & A & A \\
B & E & B
\end{array}$$

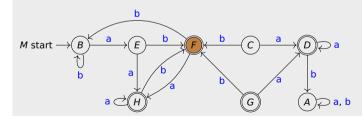


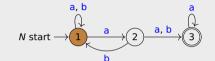


$$A = \emptyset \qquad E = \{1, 2\}$$

$$B = \{1\}$$
 $F = \{1, 3\}$
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$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \\ \hline \end{array}$$





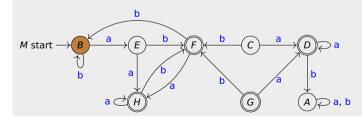
$$\begin{array}{ll} A=\varnothing & E=\{1,2\} \\ B=\{1\} & F=\{1,3\} \\ C=\{2\} & G=\{2,3\} \\ D=\{3\} & H=\{1,2,3\} \end{array}$$

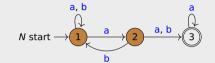
$$F = \{1, 3\}$$

 $F = \{2, 3\}$
 $F = \{2, 3\}$
 $F = \{1, 2, 3\}$

7	A E D	b	Δ	a	b	
4	Α	Α	Ε	Н	F	
В	E	В	F	H H D	В	
С	D	F	G	D	F	
D	D	Δ	Н	Н	F	

abbbaababbaababb<mark>a</mark>

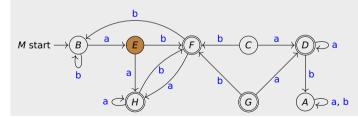


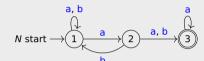


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 $D = \{3\}$ $H = \{1, 2, 3\}$

$$\begin{array}{c|cccc} \Delta & a & b \\ \hline A & A & A \\ B & E & B \end{array}$$





$$B = \{1\}$$

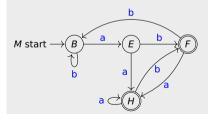
$$E = \{1, 2\}$$

 $B = \{1\}$ $F = \{1, 3\}$

 $H = \{1, 2, 3\}$

abbbaababbaababba

remove inaccessible states



DFA $M = (Q, \Sigma, \delta, s, F)$

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remove inaccessible states

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- nemove inaccessible states
- 2 for every two different states, determine whether they are distinguishable (marking)

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- © collapse indistinguishable states

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- of for every two different states, determine whether they are distinguishable (marking)
- © collapse indistinguishable states

Marking Algorithm

given DFA $M = (Q, \Sigma, \delta, s, F)$ without inaccessible states

① tabulate all unordered pairs $\{p, q\}$ with $p, q \in Q$, initially unmarked

DFA $M = (Q, \Sigma, \delta, s, F)$

- nemove inaccessible states
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Marking Algorithm

given DFA $M = (Q, \Sigma, \delta, s, F)$ without inaccessible states

- ① tabulate all unordered pairs $\{p, q\}$ with $p, q \in Q$, initially unmarked
- 2 mark $\{p, q\}$ if $p \in F$ and $q \notin F$ or vice versa

DFA $M = (Q, \Sigma, \delta, s, F)$

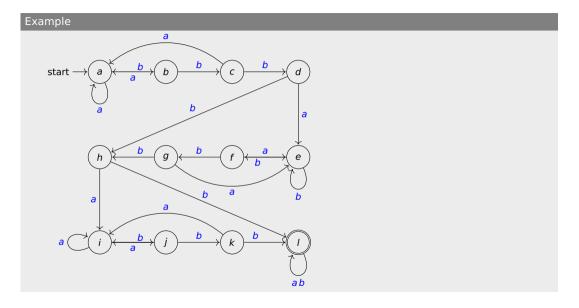
- nemove inaccessible states
- (marking)
- © collapse indistinguishable states

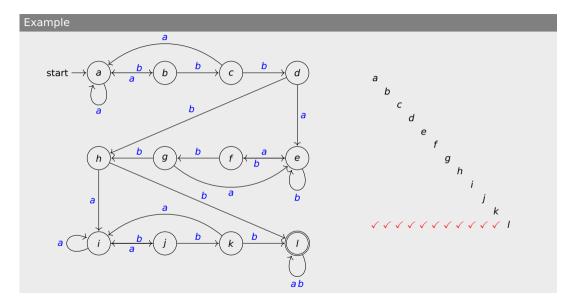
Marking Algorithm

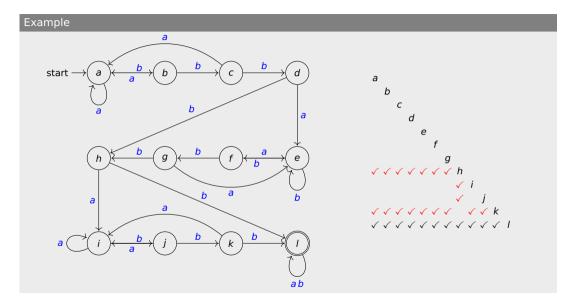
given DFA $M = (Q, \Sigma, \delta, s, F)$ without inaccessible states

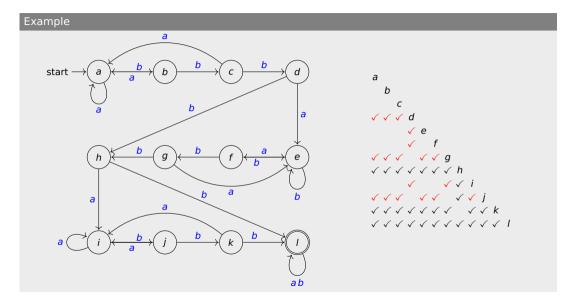
- ① tabulate all unordered pairs $\{p, q\}$ with $p, q \in Q$, initially unmarked
- \bigcirc mark $\{p, q\}$ if $p \in F$ and $q \notin F$ or vice versa
- s repeat until no change:

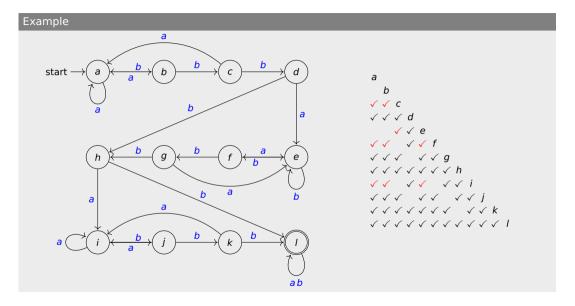
mark $\{p, q\}$ if $\{\delta(p, a), \delta(q, a)\}$ is marked for some $a \in \Sigma$

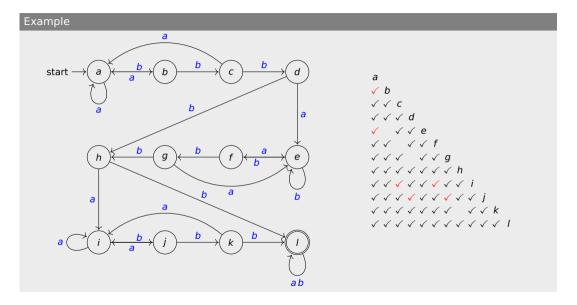


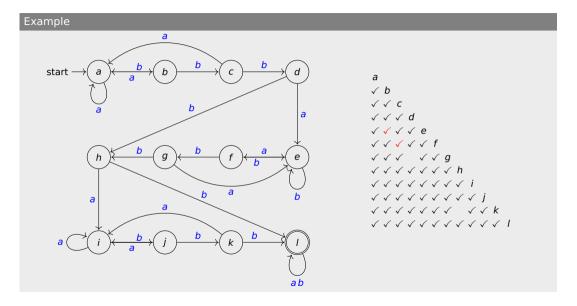


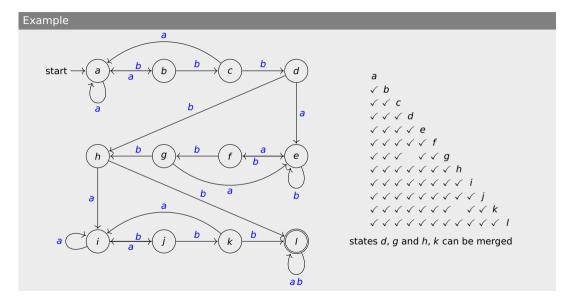










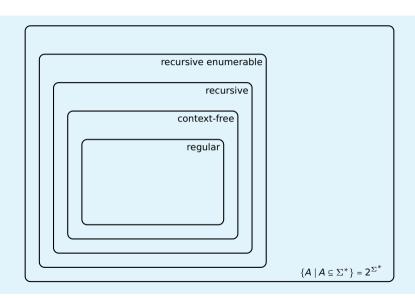


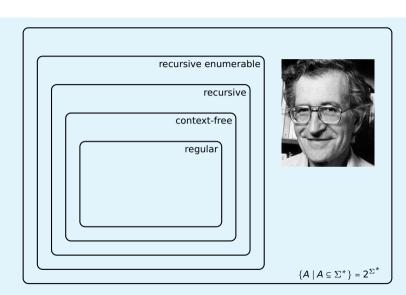
Outline

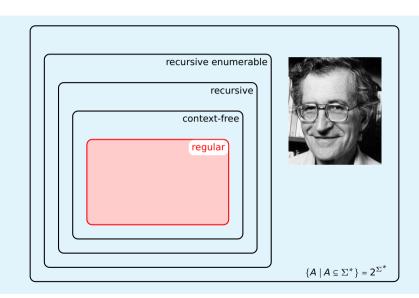
1 Midtern

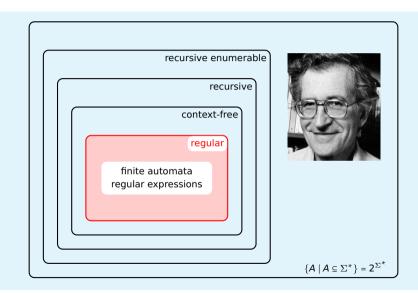
2 Finite Automata

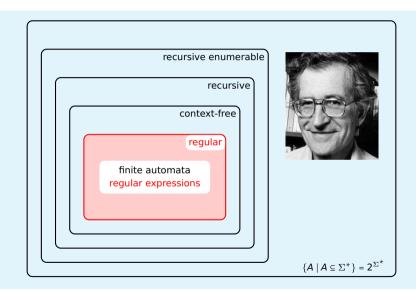
3 Regular Expressions











Topics Appear in the Midterm (Regular Expressions)

DFAs to regular expressions

Definition

regular expressions are restricted patterns which use only

$$\mathbf{a} \in \Sigma$$
 $\mathbf{\varepsilon}$ $\mathbf{\varnothing}$ $\alpha + \beta$ α^* $\alpha\beta$

Definition

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$$\mathbf{a} \in \Sigma$$
 $\mathbf{\varepsilon}$ $\mathbf{\emptyset}$ $\alpha + \beta$ α^* $\alpha\beta$

Theorem

finite automata and regular expressions are equivalent:

Definition

regular expressions are restricted patterns which use only

$$\mathbf{a} \in \Sigma$$
 $\mathbf{\varepsilon}$ $\mathbf{\emptyset}$ $\alpha + \beta$ α^* $\alpha\beta$

finite automata and regular expressions are equivalent:

 \Leftrightarrow 2 $A = L(\alpha)$ for some regular expression α

Proof. (
$$\bigcirc$$
 \bigcirc \bigcirc – An idea)

given NFA $_{\varepsilon}$ $N_{\varepsilon} = (Q, \Sigma, \varepsilon, \Delta, S, F)$

 $\forall Y \subseteq Q \quad \forall u, v \in Q$ construct regular expression α_{uv}^{Y} such that

$$x \in L(\alpha_{uv}^Y) \iff$$

 \exists a path from \underline{u} to \underline{v} labeled x ($v \in \widehat{\Delta}(\{u\}, x)$) such that all intermediate states belong to Y

Proof. ($\mathbf{1} \Longrightarrow \mathbf{2}$ – An idea)

given NFA $_{\varepsilon}$ N_{ε} = $(Q, \Sigma, \varepsilon, \Delta, S, F)$

 $\forall Y \subseteq Q \quad \forall u, v \in Q$ construct regular expression α_{uv}^{Y} such that

 $x \in L(\alpha_{uv}^Y)$ \iff \exists a path from u to v labeled x ($v \in \widehat{\Delta}(\{u\}, x)$) such that all intermediate states belong to Y

Definitions

$$\bullet \ \alpha_{uv}^{\varnothing} := \begin{cases} a_1 + \ldots + a_k & \text{if } u \neq v \text{ and } k > 0 \\ \varnothing & \text{if } u \neq v \text{ and } k = 0 \\ a_1 + \ldots + a_k + \varepsilon & \text{if } u = v \text{ and } k > 0 \\ \varepsilon & \text{if } u = v \text{ and } k > 0 \end{cases}$$

$$\{a_1, \ldots, a_k\} := \{a \in \Sigma \cup \{\varepsilon\} \mid v \in \Delta(u, a)\}$$

 $\{a_1,\ldots,a_k\}:=\{a\in\Sigma\cup\{\varepsilon\}\mid v\in\Delta(u,a)\}$

Proof. (1) \Longrightarrow 2 – An idea)

given NFA_{ε} $N_{\varepsilon} = (Q, \Sigma, \varepsilon, \Delta, S, F)$

 $\forall Y \subseteq Q \quad \forall u, v \in Q$ construct regular expression α_{vv}^Y such that

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Definitions

$$\{a_1 + \ldots + a_k \quad \text{if } u \neq v \text{ and } k > 0\}$$

•
$$\alpha_{uv}^{\varnothing} := \begin{cases} \mathbf{a_1} + \ldots + \mathbf{a_k} & \text{if } u \neq v \text{ and } k > 0 \\ \varnothing & \text{if } u \neq v \text{ and } k = 0 \\ \mathbf{a_1} + \ldots + \mathbf{a_k} + \varepsilon & \text{if } u = v \text{ and } k > 0 \\ \varepsilon & \text{if } u = v \text{ and } k = 0 \end{cases}$$

if
$$u = v$$
 and $k = 0$

•
$$\alpha_{uv}^{Y} := \alpha_{uv}^{Y-\{q\}} + \alpha_{uq}^{Y-\{q\}} (\alpha_{qq}^{Y-\{q\}})^* \alpha_{qv}^{Y-\{q\}}$$
 for some fixed $q \in Y$

Proof. (
$$\bigcirc$$
 \Longrightarrow \bigcirc – An idea)

given NFA $_{\varepsilon}$ $N_{\varepsilon} = (Q, \Sigma, \varepsilon, \Delta, S, F)$

 $\forall Y \subseteq Q \quad \forall u, v \in Q$ construct regular expression α_{uv}^{Y} such that

$$x \in L(\alpha_{uv}^Y) \iff$$

 \exists a path from \underline{u} to \underline{v} labeled x ($v \in \widehat{\Delta}(\{u\}, x)$) such that all intermediate states belong to Y

$$L(N_{\varepsilon}) = L\left(\sum_{s \in S, t \in F} \alpha_{st}^{Q}\right)$$

Thanks! & Questions?