# BLG435E Artificial Intelligence





#### Lecture 7: Planning





#### Outline



The Planning problem

Planning with State-space search

Partial-order planning



# What is Planning



- Generate sequences of actions to perform tasks and achieve objectives.
  - States, actions and goals
- Search for solution over abstract space of plans.
- Classical planning environment: fully observable, deterministic, finite, static and discrete.
- Assists humans in practical applications
  - design and manufacturing
  - games
  - space exploration
  - military operations



# Difficulty of real-world problems



- Assume a problem-solving agent using some search method ...
  - Which actions are relevant?
    - Exhaustive search vs. backward search
  - What is a good heuristic function?
    - Good estimate of the cost of the state?
    - Problem-dependent vs, -independent
  - How to decompose the problem?
    - Most real-world problems are nearly decomposable.



# Planning language



- What is a good language?
  - Expressive enough to describe a wide variety of problems.
  - Restrictive enough to allow efficient algorithms to operate on it.
  - Planning algorithm should be able to take advantage of the logical structure of the problem.

STRIPS and ADL



# General language features



#### Representation of states

- Decompose the world in logical conditions and represent a state as a conjunction of positive literals.
  - Propositional literals: Poor ∧ Unknown
  - FO-literals (ground and function-free): *At(Plane1, Melbourne)* ∧ *At(Plane2, Sydney)*
- Closed world assumption

#### Representation of goals

- Partially specified state and represented as a conjunction of positive ground literals
  - Poor ∧ Unknown ∧ At(P2, Tahiti)
- A goal is satisfied if the state contains all literals in the goal.



# General language features



#### Representations of actions

- Action = PRECOND + EFFECT
   Action(Fly(p,from, to),
   PRECOND: At(p,from) ∧ Plane(p) ∧ Airport(from) ∧ Airport(to)
   EFFECT: ¬AT(p,from) ∧ At(p,to))
- = action schema (p, from, to: need to be instantiated)
  - Action name and parameter list
  - Precondition (conj. of function-free positive literals)
  - Effect (conj of function-free literals and P is True and not P is false)
- Add-list vs delete-list in Effect



## Language semantics?



#### How do actions affect states?

- An action is applicable in any state that satisfies the precondition.
- FO action schema applicability involves a substitution  $\theta$  for the variables in the PRECOND.

 $At(P1,JFK) \land At(P2,SFO) \land Plane(P1) \land Plane(P2) \land Airport(JFK) \land Airport(SFO)$ 

Satisfies :  $At(p,from) \land Plane(p) \land Airport(from) \land Airport(to)$ With  $\theta = \{p/P1,from/JFK,to/SFO\}$ 

Thus the action *Fly(P1,JFK, SFO)* is applicable.



# Language semantics?



- The result of executing action a in state s is the state s'
  - s' is the same as s except
    - Any positive literal P in the effect of a is added to s'
    - Any negative literal ¬P is removed from s'

*EFFECT:*  $\neg AT(p,from) \land At(p,to)$ :

 $At(P1,SFO) \land At(P2,SFO) \land Plane(P1) \land Plane(P2) \land Airport(JFK) \land Airport(SFO)$ 

STRIPS assumption: (avoids representational frame problem)

every literal NOT in the effect remains unchanged



# Expressiveness and extensions



- STRIPS is simplified
  - Important limit: function-free literals
    - Allows for propositional representation
    - Function symbols lead to infinitely many states and actions
- Recent extension: Action Description language (ADL)

```
Action(Fly(p:Plane, from: Airport, to: Airport),

PRECOND: At(p,from) \land (from \neq to)

EFFECT: \neg At(p,from) \land At(p,to))
```

Standardization : Planning domain definition language (PDDL)



# Example: air cargo transport



```
Init(At(C1, SFO) \land At(C2,JFK) \land At(P1,SFO) \land At(P2,JFK) \land Cargo(C1) \land Cargo(C2) \land Plane(P1) \land Plane(P2) \land Airport(JFK) \land Airport(SFO)) Goal(At(C1,JFK) \land At(C2,SFO))
```

Action(Load(c,p,a)

PRECOND:  $At(c,a) \land At(p,a) \land Cargo(c) \land Plane(p) \land Airport(a)$ 

EFFECT:  $\neg At(c,a) \land In(c,p)$ )

Action(Unload(c,p,a)

PRECOND:  $In(c,p) \land At(p,a) \land Cargo(c) \land Plane(p) \land Airport(a)$ 

EFFECT:  $At(c,a) \land \neg In(c,p)$ 

Action(Fly(p,from,to)

PRECOND:  $At(p,from) \land Plane(p) \land Airport(from) \land Airport(to)$ 

EFFECT:  $\neg At(p,from) \land At(p,to)$ )

[Load(C1,P1,SFO), Fly(P1,SFO,JFK), Load(C2,P2,JFK), Fly(P2,JFK,SFO)]



## Example: Spare tire problem



 $Init(At(Flat, Axle) \land At(Spare, trunk))$ 

Goal(At(Spare,Axle))

Action(Remove(Spare,Trunk)

PRECOND: *At(Spare,Trunk)* 

EFFECT:  $\neg At(Spare,Trunk) \land At(Spare,Ground))$ 

Action(Remove(Flat,Axle)

PRECOND: *At(Flat,Axle)* 

EFFECT:  $\neg At(Flat, Axle) \land At(Flat, Ground))$ 

Action(PutOn(Spare,Axle)

PRECOND:  $At(Spare, Ground) \land \neg At(Flat, Axle)$ 

EFFECT:  $At(Spare,Axle) \land \neg At(Spare,Ground))$ 

Action(LeaveOvernight

PRECOND:

EFFECT: ¬  $At(Spare,Ground) \land \neg At(Spare,Axle) \land \neg At(Spare,trunk) \land \neg At(Flat,Ground) \land \neg At(Flat,Axle)$ 

RACKS RACKS

This example goes beyond STRIPS: negative literal in pre-condition (ADL description)



# Example Spare tire problem - PDDL



```
Init(Tire(Flat) \land Tire(Spare) \land At(Flat, Axle) \land At(Spare, Trunk))
Goal(At(Spare, Axle))
Action(Remove(obj, loc),
PRECOND: At(obj, loc) \land At(obj, Ground))
Action(PutOn(t, Axle),
PRECOND: Tire(t) \land At(t, Ground) \land \neg At(Flat, Axle)
EFFECT: \neg At(t, Ground) \land At(t, Axle))
Action(LeaveOvernight,
PRECOND:
EFFECT: \neg At(Spare, Ground) \land \neg At(Spare, Axle) \land \neg At(Spare, Trunk)
\land \neg At(Flat, Ground) \land \neg At(Flat, Axle) \land \neg At(Flat, Trunk))
```

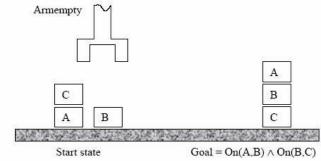


## Example: Blocks world



Init(On(A, Table)  $\land$  On(B,Table)  $\land$  On(C,Table)  $\land$  Block(A)  $\land$  Block(B)  $\land$  Block(C)  $\land$  Clear(A)  $\land$  Clear(B)  $\land$  Clear(C))

 $Goal(On(A,B) \wedge On(B,C))$ 



Action(Move(b,x,y)

PRECOND:  $On(b,x) \land Clear(b) \land Clear(y) \land Block(b) \land (b \neq x)$ 

 $\land$  (b\neq y)  $\land$  (x\neq y)

EFFECT:  $On(b,y) \wedge Clear(x) \wedge \neg On(b,x) \wedge \neg Clear(y)$ 

Action(MoveToTable(b,x)

PRECOND:  $On(b,x) \land Clear(b) \land Block(b) \land (b \neq x)$ 

EFFECT:  $On(b,Table) \land Clear(x) \land \neg On(b,x))$ 

Spurious actions are possible: Move(B,C,C)



# Planning with state-space search



Both forward and backward search possible

#### Progression planners

- forward state-space search
- Consider the effects of all possible actions in a given state

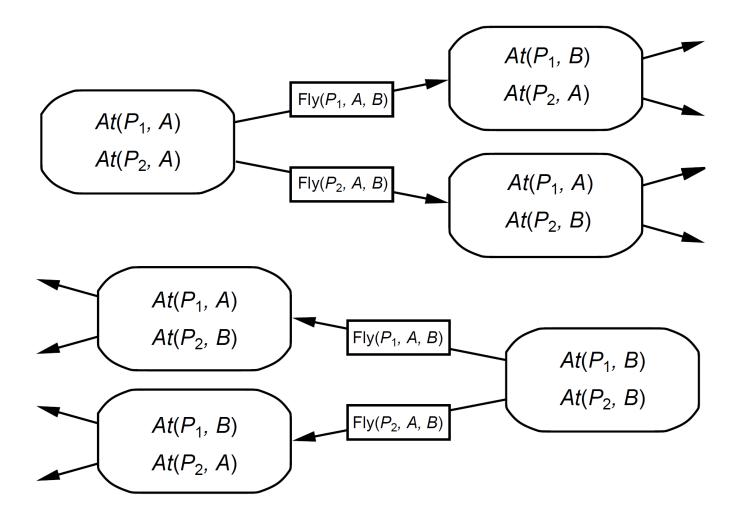
#### Regression planners

- backward state-space search
- To achieve a goal, what must have been true in the previous state.



# Progression and regression







# Progression algorithm



- Formulation as state-space search problem:
  - Initial state = initial state of the planning problem
    - Literals not appearing are false
  - Actions = those whose preconditions are satisfied
    - Add positive effects, delete negative
  - Goal test = does the state satisfy the goal
  - Step cost = each action costs 1
- No functions ... any graph search that is complete is a complete planning algorithm.
  - e.g. A\*
- Inefficient:
  - (1) irrelevant action problem
  - (2) good heuristic is required for efficient search



# Regression algorithm



- How to determine predecessors?
  - What are the states from which applying a given action leads to the goal?

```
Goal state = At(C1, B) \land At(C2, B) \land ... \land At(C20, B)
Relevant action for the first conjunct: Unload(C1, p, B)
Works only if pre-conditions are satisfied.
Previous state= In(C1, p) \land At(p, B) \land At(C2, B) \land ... \land At(C20, B)
Subgoal At(C1,B) should not be present in this state.
```

- Actions must not undo desired literals (consistent)
- Main advantage: only relevant actions are considered.
  - Often much lower branching factor than forward search.



# Regression algorithm



- General process for predecessor construction
  - Give a goal description G
  - Let A be an action that is relevant and consistent
  - The predecessors is as follows:
    - Any positive effects of A that appear in G are deleted.
    - Each precondition literal of A is added, unless it already appears.
- Any standard search algorithm can be used to perform the search.
- Termination when predecessor is satisfied by the initial state.
  - In FO case, satisfaction might require a substitution.



# Heuristics for state-space search



- Neither progression nor regression are very efficient without a good heuristic.
  - How many actions are needed to achieve the goal?
  - Exact solution is NP hard, find a good estimate
- Two approaches to find admissible heuristic:
  - The optimal solution to the relaxed problem.
    - Remove all preconditions from actions
  - The subgoal independence assumption:
    - The cost of solving a conjunction of subgoals is approximated by the sum of the costs of solving the subproblems independently.



# Partial-order planning



- Progression and regression planning are totally ordered plan search forms.
  - They cannot take advantage of problem decomposition.
    - Decisions must be made on how to sequence actions on all the subproblems

- Least commitment strategy:
  - Delay choice during search



# Shoe example



```
Goal(RightShoeOn ∧ LeftShoeOn)
Init()
Action(RightShoe, PRECOND: RightSockOn
  EFFECT: RightShoeOn)
Action(RightSock, PRECOND:
  EFFECT: RightSockOn)
Action(LeftShoe,
                          PRECOND: LeftSockOn
  EFFECT: LeftShoeOn)
Action(LeftSock, PRECOND:
  EFFECT: LeftSockOn)
```

Planner: combine two action sequences (1)leftsock, leftshoe (2)rightsock, rightshoe



# Partial-order planning (POP)



 Any planning algorithm that can place two actions into a plan without specifying the orders is a PO planner.

#### Partial Order Plan: Total Order Plans: Start Start Start Start Start Start Start Right Left Right Left Right Left Sock Sock Sock Sock Sock Sock Right Left Sock Sock Right Right Left Left Right Left Shoe Sock Sock Sock Shoe Sock LeftSockOn RightSockOn Left Right Right Right Left Left Right Left Sock Sock Shoe Shoe Shoe Shoe Shoe Shoe Right Right Right Left Left Left Shoe Shoe Shoe Shoe Shoe Shoe LeftShoeOn, RightShoeOn Finish Finish Finish Finish Finish Finish Finish

linearization



# POP as a search problem



- States are (mostly unfinished) plans.
  - The empty plan contains only start and finish actions.
- Each plan has 4 components:
  - A set of actions (steps of the plan)
  - A set of ordering constraints: A < B (A before B)</li>
    - Cycles represent contradictions.
  - A set of causal links
    - The plan may not be extended by adding a new action C that conflicts with the causal link. (if the effect of C is ¬p and if C could come after A and before B)
  - A set of open preconditions.
    - If precondition is not achieved by actions in the plan.



# Example of final plan



 Actions={Rightsock, Rightshoe, Leftsock, Leftshoe, Start, Finish}

- Orderings={Rightsock < Rightshoe; Leftsock < Leftshoe}</li>
- Links={Rightsock->Rightsockon -> Rightshoe,
   Leftsock->Leftsockon-> Leftshoe,
   Rightshoe->Rightshoeon->Finish, ...}
- Open preconditions={}



# POP as a search problem



 A plan is consistent iff there are no cycles in the ordering constraints and no conflicts with the causal links.

A consistent plan with no open preconditions is a solution.

 A partial order plan is executed by repeatedly choosing any of the possible next actions.



# Solving POP



### Assume propositional planning problems:

- The initial plan contains Start and Finish,
   the ordering constraint Start < Finish, no causal links, all the preconditions in Finish are open.</li>
- Successor function :
  - picks one open precondition p on an action B and
  - generates a successor plan for every possible consistent way of choosing action A that achieves p.
- Test goal



# **Enforcing consistency**



# When generating successor plan:

- The causal link  $A \xrightarrow{p} B$  and the ordering constraint A < B is added to the plan.
  - If A is new, also add start < A and A < B to the plan</li>
- Resolve conflicts between new causal link and all existing actions
- Resolve conflicts between action A (if new) and all existing causal links.
- If a conflict between the casual link and action C
  - either add C < A or B < C</li>



# Process summary



- Operators on partial plans
  - Add link from existing plan to open precondition.
  - Add a step to fulfill an open condition.
  - Order one step w.r.t another to remove possible conflicts
- Gradually move from incomplete/vague plans to complete/correct plans
- Backtrack if an open condition is unachievable or if a conflict is irresolvable.



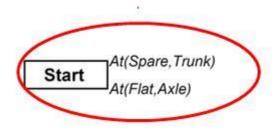
# Example: Spare tire problem

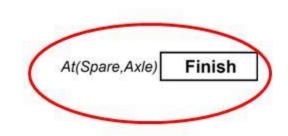


```
Init(At(Flat, Axle) \land At(Spare, trunk))
Goal(At(Spare, Axle))
Action(Remove(Spare,Trunk)
   PRECOND: At(Spare,Trunk)
   EFFECT: \neg At(Spare, Trunk) \land At(Spare, Ground))
Action(Remove(Flat,Axle)
   PRECOND: At(Flat,Axle)
   EFFECT: \neg At(Flat, Axle) \land At(Flat, Ground))
Action(PutOn(Spare, Axle)
   PRECOND: At(Spare, Groundp) \land \neg At(Flat, Axle)
   EFFECT: At(Spare,Axle) \land \neg Ar(Spare,Ground))
Action(LeaveOvernight
   PRECOND:
   EFFECT: \neg At(Spare,Ground) \land \neg At(Spare,Axle) \land \neg At(Spare,trunk) \land
   \neg At(Flat,Ground) \land \neg At(Flat,Axle))
```



• Initial plan: Start with EFFECTS and Finish with PRECOND.









- Initial plan: Start with EFFECTS and Finish with PRECOND.
- Pick an open precondition: At(Spare, Axle)

Start At(Spare, Trunk)
At(Flat, Axle)





# Example: Spare tire problem

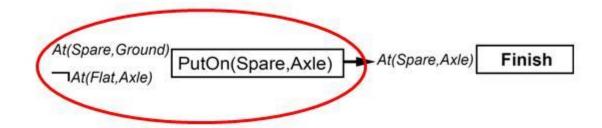


```
Init(At(Flat, Axle) \land At(Spare, trunk))
Goal(At(Spare, Axle))
Action(Remove(Spare,Trunk)
   PRECOND: At(Spare,Trunk)
   EFFECT: \neg At(Spare, Trunk) \land At(Spare, Ground))
Action(Remove(Flat,Axle)
   PRECOND: At(Flat,Axle)
   EFFECT: \neg At(Flat, Axle) \land At(Flat, Ground))
Action(PutOn(Spare, Axle)
   PRECOND: At(Spare, Groundp) \land \neg At(Flat, Axle)
   EFFECT: At(Spare,Axle) \land \neg Ar(Spare,Ground))
Action(LeaveOvernight
   PRECOND:
   EFFECT: \neg At(Spare,Ground) \land \neg At(Spare,Axle) \land \neg At(Spare,trunk) \land
   \neg At(Flat,Ground) \land \neg At(Flat,Axle))
```



- Pick an open precondition: At(Spare, Axle)
- Only PutOn(Spare, Axle) is applicable
- Add constraint : PutOn(Spare, Axle) < Finish</li>
- Add causal link:  $PutOn(Spare, Axle) \xrightarrow{At(Spare, Axle)} Finish$

Start At(Spare, Trunk)
At(Flat, Axle)

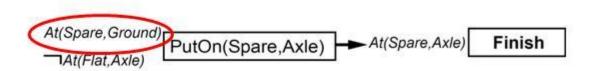






Pick an open precondition: At(Spare, Ground)

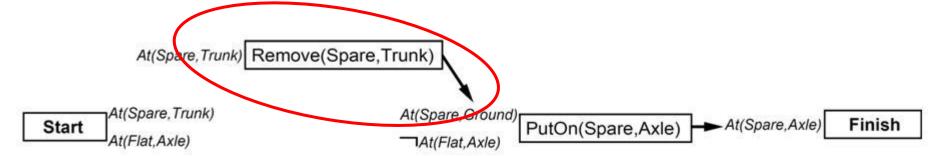
Start At(Spare, Trunk)
At(Flat, Axle)







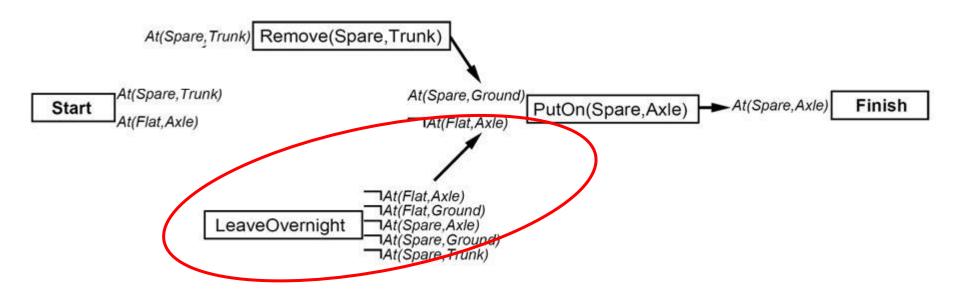
- Pick an open precondition: At(Spare, Ground)
- Only Remove(Spare, Trunk) is applicable
- Add causal link:  $Remove(Spare, Trunk) \xrightarrow{At(Spare, Ground)} PutOn(Spare, Axle)$
- Add constraint : Remove(Spare, Trunk) < PutOn(Spare, Axle)







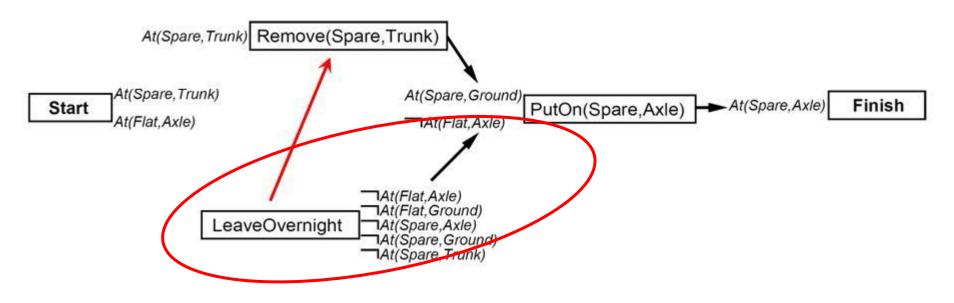
- Pick an open precondition: ¬At(Flat, Axle)
- LeaveOverNight is applicable
- Add causal link: LeaveOverNight $\xrightarrow{\neg At(Flat,Axle)}$ PutOn(Spare,Axle)
- Is there any conflict?







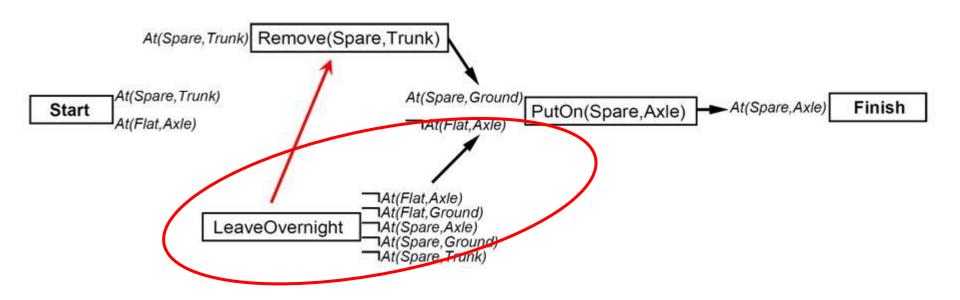
- LeaveOverNight is applicable
- conflict: LeaveOverNight also has the effect
   ¬At(Spare,Ground)
- To resolve, add constraint : LeaveOverNight 
   Remove(Spare, Trunk)







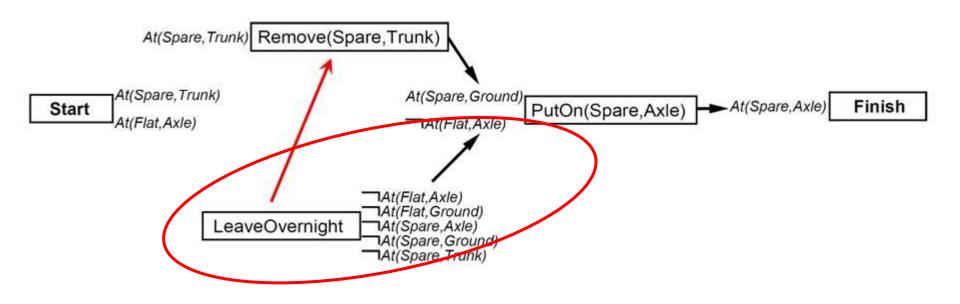
- To resolve, add constraint : LeaveOverNight < Remove(Spare, Trunk)
- Add causal link:  $LeaveOverNight \xrightarrow{\neg At(Spare,Ground)} Remove(Spare,Trunk)$







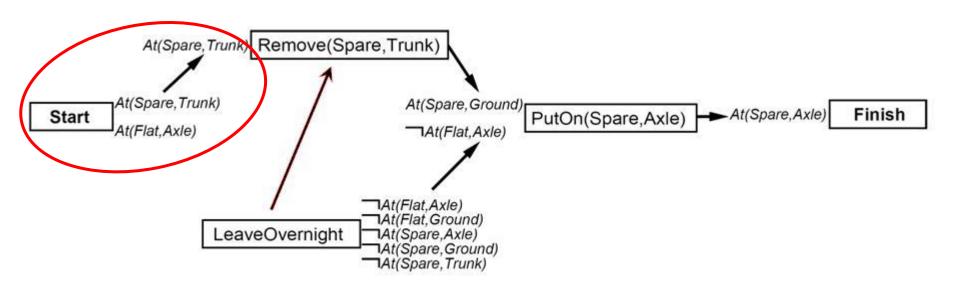
Pick an open precondition: At(Spare, Trunk)







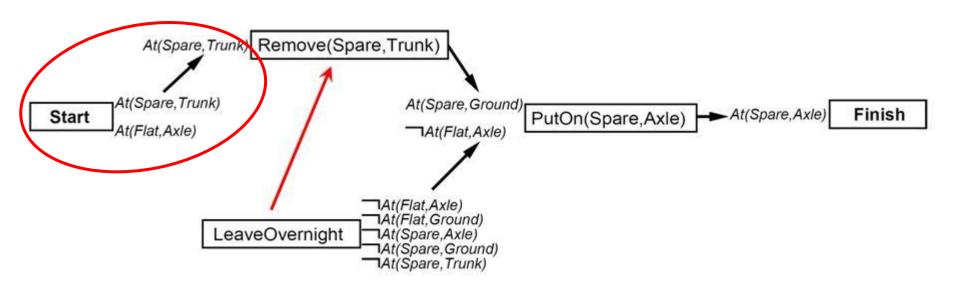
- Only Start is applicable
- Add causal link:  $Start \xrightarrow{At(Spare,Trunk)} Remove(Spare,Trunk)$
- Is there any conflict?







- Only Start is applicable
- Add causal link:  $Start \xrightarrow{At(Spare,Trunk)} Remove(Spare,Trunk)$
- Conflict of causal link with effect ¬At(Spare,Trunk) in LeaveOverNight

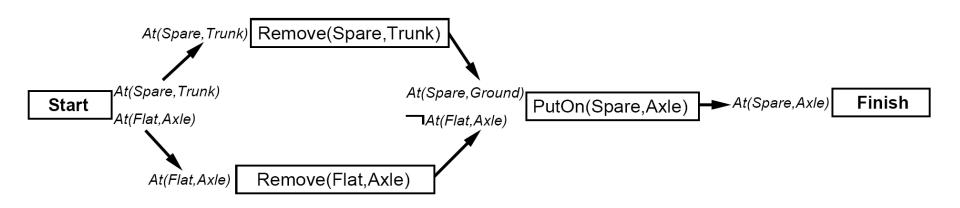


No re-ordering solution possible, backtrack





- Remove LeaveOverNight, Remove(Spare, Trunk) and causal links
- Repeat step with Remove(Spare,Trunk)
- Add also Remove(Flat, Axle) and finish





#### Some details ...



- What happens when a first-order representation that includes variables is used?
  - Complicates the process of detecting and resolving conflicts.
  - Can be resolved by introducing inequality constraints.

 CSP's most-constrained-variable constraint can be used for planning algorithms to select a PRECOND.



## Planning graphs



- Used to achieve better heuristic estimates.
  - A solution can also be directly extracted using GRAPHPLAN.
- Consists of a sequence of levels that correspond to time steps in the plan.
  - Level 0 is the initial state.
  - Each level consists of a set of literals and a set of actions.
    - Literals = all those that **could** be true at that time step, depending upon the actions executed at the preceding time step.
    - Actions = all those actions that could have their preconditions satisfied at that time step, depending on which of the literals actually hold.



#### Planning graphs



- They work only for propositional problems.
- Example:

```
Init(Have(Cake))
```

```
Goal(Have(Cake) ∧ Eaten(Cake))
```

Action(Eat(Cake), PRECOND: Have(Cake)

EFFECT: ¬Have(Cake) ∧ Eaten(Cake))

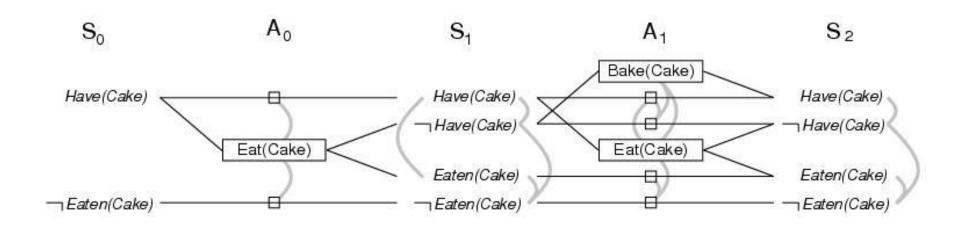
Action(Bake(Cake), PRECOND: ¬ Have(Cake)

EFFECT: Have(Cake))





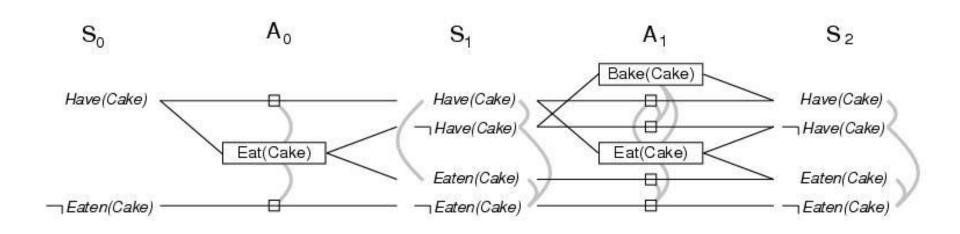
- Start at level S0 and determine action level A0 and next level S1.
  - A0 >> all actions whose preconditions are satisfied in the previous level.
  - Connect preconditions and effects of actions SO --> S1
  - Inaction is represented by persistence actions.
- Level A0 contains the actions that could occur
  - Conflicts between actions are represented by mutex links







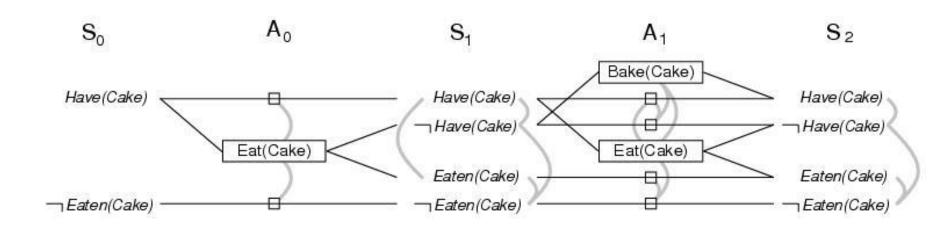
- Level S1 contains all literals that could result from picking any subset of actions in A0
  - Conflicts between literals that can not occur together (as a consequence of the selection of the action) are represented by mutex links.
  - S1 defines multiple states and the mutex links are the constraints that define this set of states.
- Continue until two consecutive levels are identical: leveled off
  - Or contain the same number of literals







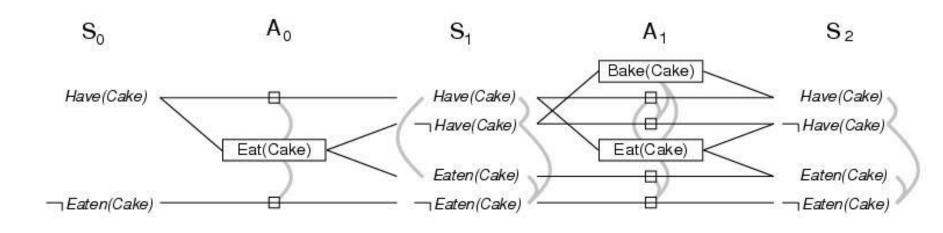
- A mutex relation holds between two actions when:
  - Inconsistent effects: one action negates the effect of another.
  - Interference: one of the effects of one action is the negation of a precondition of the other.
  - Competing needs: one of the preconditions of one action is mutually exclusive with the precondition of the other.







- A mutex relation holds between two literals when (inconsistent support):
  - If one is the negation of the other
  - if each possible action pair that could achieve the literals is mutex.





#### PG and heuristic estimation



- PGs provide information about the problem
  - A literal that does not appear in the final level of the graph cannot be achieved by any plan.
    - Useful for backward search (cost = inf).
  - Level of appearance can be used as cost estimate of achieving any goal literals = level cost.
  - Small problem: several actions can occur
    - Restrict to one action using serial PG (add mutex links between every pair of actions, except persistence actions).
    - Can be used to construct heuristics
  - Cost of a conjunction of goals? Max-level, sum-level and set-level heuristics.
- PG is a relaxed problem.



## The GRAPHPLAN Algorithm



How to extract a solution directly from the PG

 $graph \leftarrow \text{Initial-Planning-Graph}(problem)$   $goals \leftarrow \text{Conjuncts}(problem.\text{Goal})$   $nogoods \leftarrow \text{an empty hash table}$   $for \ tl = 0 \ to \infty \ do$   $if \ goals \ all \ non-mutex \ in \ S_t \ of \ graph \ then$ 

 $solution \leftarrow \text{Extract-Solution}(graph, goals, \text{NumLevels}(graph), nogoods)$ 

if  $solution \neq failure$  then return solutionif graph and nogoods have both leveled off then return failure

 $graph \leftarrow \text{EXPAND-GRAPH}(graph, problem)$ 

**function** GRAPHPLAN(problem) returns solution or failure



## Example: Spare tire problem

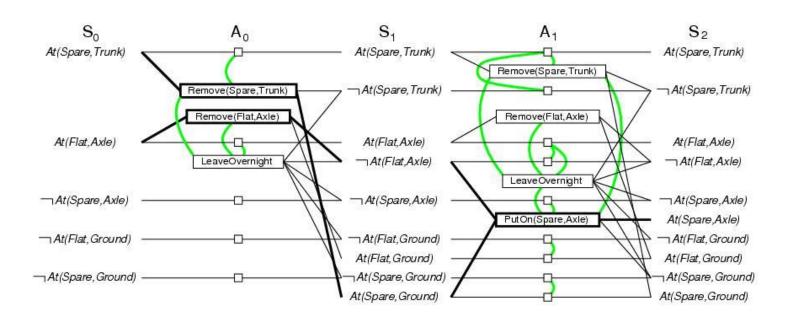


```
Init(At(Flat, Axle) \land At(Spare, trunk))
Goal(At(Spare,Axle))
Action(Remove(Spare,Trunk)
    PRECOND: At(Spare,Trunk)
    EFFECT: \neg At(Spare,Trunk) \land At(Spare,Ground))
Action(Remove(Flat,Axle)
    PRECOND: At(Flat,Axle)
    EFFECT: \neg At(Flat, Axle) \land At(Flat, Ground)
Action(PutOn(Spare, Axle)
    PRECOND: At(Spare, Groundp) \land \neg At(Flat, Axle)
    EFFECT: At(Spare,Axle) \land \neg Ar(Spare,Ground))
Action(LeaveOvernight
    PRECOND:
    EFFECT: \neg At(Spare,Ground) \land \neg At(Spare,Axle) \land \neg At(Spare,trunk) \land \negAt(Flat,Ground) \land \neg
    At(Flat, Axle))
```





- Initially the plan consist of 5 literals from the initial state and the CWA literals (S0).
- Add actions whose preconditions are satisfied by EXPAND-GRAPH (A0)
- Also add persistence actions and mutex relations.
- Add the effects at level S1
- Repeat until goal is in level Si

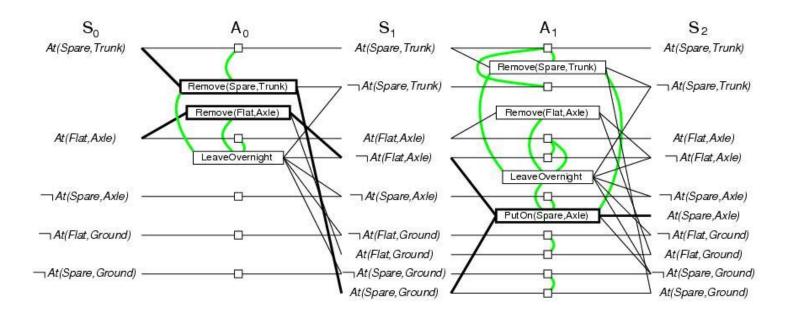






#### EXPAND-GRAPH also looks for mutex relations

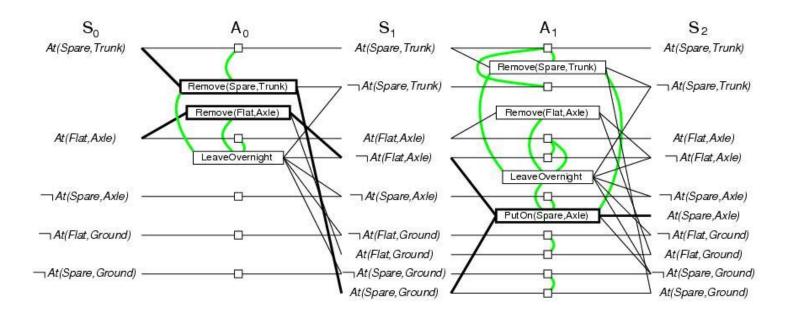
- Inconsistent effects
  - E.g. Remove(Spare, Trunk) and LeaveOverNight due to At(Spare, Ground) and not At(Spare, Ground)
- Interference
  - E.g. Remove(Flat, Axle) and LeaveOverNight At(Flat, Axle) as PRECOND and not At(Flat,Axle) as EFFECT
- Competing needs
  - E.g. PutOn(Spare, Axle) and Remove(Flat, Axle) due to preconditions
- Inconsistent support
  - E.g. in S2, At(Spare,Axle) and At(Flat,Axle)







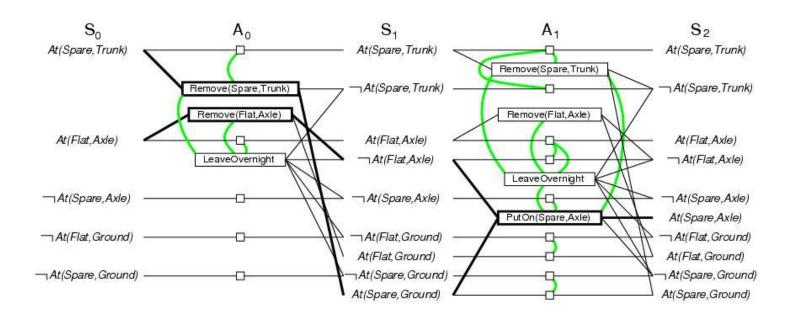
- In S2, the goal literals exist and are not mutex with any other
  - Solution might exist and EXTRACT-SOLUTION will try to find it
- EXTRACT-SOLUTION solves a Boolean CSP to solve the problem or a search process:
  - Initial state = last level of PG and the goals of planning problem
  - Actions = select any set of non-conflicting actions that cover the goals in the state
  - Goal = reach level S0 such that all goals are satisfied
  - Cost = 1 for each action.







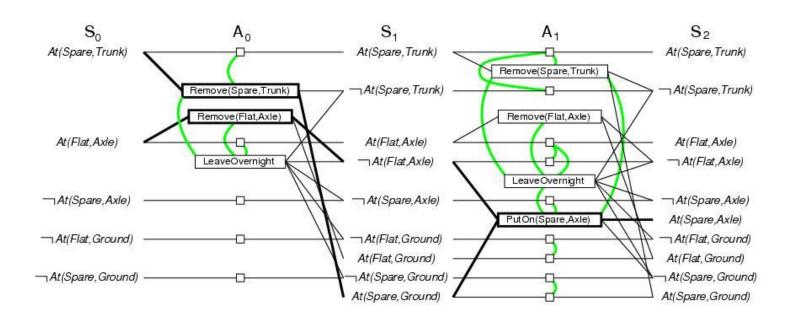
- Use heuristics for bakward search
  - Pick first the literal with the highgest level cost
  - To achieve it, choose the action with the easiest preconditions first (sum of level costs of preconditions is smallest)







- Termination? YES
- PG are monotonically increasing or decreasing:
  - Literals increase monotonically
  - Actions increase monotonically
  - Mutexes decrease monotonically
- Because of these properties and because there is a finite number of actions and literals, every PG will eventually level off!





## Analysis of planning approaches



- Planning is an area of great interest within Al
  - Search for solution
  - Constructively prove an existence of solution
- Biggest problem is the combinatorial explosion in states
- Efficient methods are under research
  - e.g. divide-and-conquer

