# Artificial Intelligence

### Reinforcement Learning



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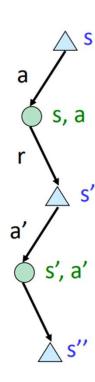
[These slides were created by Dan Klein and Pieter Abbeel for CS188 Intro to AI at UC Berkeley. All CS188 materials are available at http://ai.berkeley.edu.]

## Model Free Learning

- Model-free (temporal difference) learning
  - Receive stream of experiences from the world:

$$(s, a, r, s', a', r', s'', a'', r'', s'''' \dots)$$

■ Update estimates each transition (s, a, r, s')



### Q-learning

- Q-Iteration: do Q-value updates to each Q-state:
  - Initialize Q<sub>0</sub>(s,a) = 0, then iterate:

$$Q_{k+1}(s,a) \leftarrow \sum_{s'} T(s,a,s') \left[ R(s,a,s') + \gamma \max_{a'} Q_k(s',a') \right]$$

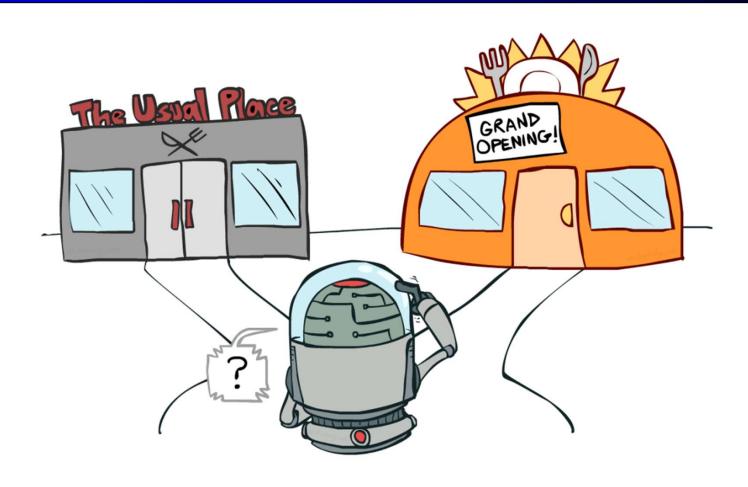
- But can't compute this update without knowing T, R
- Q-Learning: Instead, compute average as we go
  - Receive a sample transition (s,a,r,s')
  - This sample suggests:

$$Q(s, a) \approx r + \gamma \max_{a'} Q(s', a')$$

- But we want to average over results from (s,a)
- So keep a running average:

$$Q(s,a) \leftarrow (1-\alpha)Q(s,a) + (\alpha)\left[r + \gamma \max_{a'} Q(s',a')\right]$$

# Exploration vs. Exploitation



### How to explore

- Several schemes for forcing exploration
- Simplest: random actions ( $\varepsilon$ -greedy)
  - Every time step, flip a coin
  - With (small) probability  $\varepsilon$  , act randomly
  - With (large) probability 1-  $\varepsilon$ , act on current policy

### Problem with random actions

- If a large value for ε is selected, then even after learning the optimal policy, the agent will still behave mostly randomly
- selecting a small value for ε means the agent will explore infrequently, leading Q-learning to learn the optimal policy very slowly
- Solution
  - lower ε over time
  - Use exploration function

## **Exploration Function**

#### When to explore?

- Random actions: explore a fixed amount
- Better idea: explore areas whose badness is not (yet) established, eventually stop exploring

#### Exploration function

■ Takes a value estimate u and a visit count n, and returns an optimistic utility, e.g. f(u,n) = u + k/n

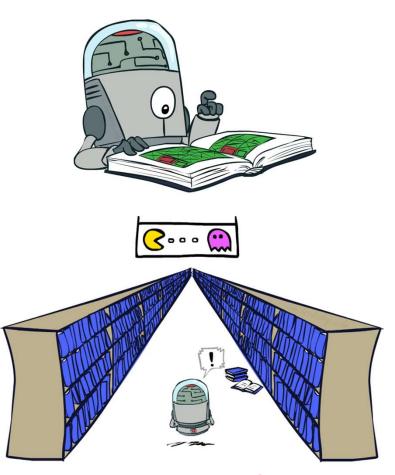
Regular Q-Update: 
$$Q(s, a) \leftarrow_{\alpha} R(s, a, s') + \gamma \max_{a'} Q(s', a')$$

Modified Q-Update: 
$$Q(s, a) \leftarrow_{\alpha} R(s, a, s') + \gamma \max_{a'} f(Q(s', a'), N(s', a'))$$

$$x \leftarrow_{\alpha} v$$
 is shorthand for  $x \leftarrow (1 - \alpha)x + \alpha v$ 

## Generalizing Across States

- Basic Q-Learning keeps a table of all q-values
- In realistic situations, we cannot possibly learn about every single state!
  - Too many states to visit them all in training
  - Too many states to hold the q-tables in memory
- Instead, we want to generalize:
  - Learn about some small number of training states from experience
  - Generalize that experience to new, similar situations
  - This is a fundamental idea in machine learning, and we'll see it over and over again



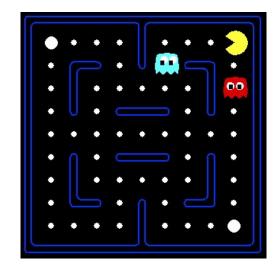
[demo – RL pacman]

### Example: Pacman

Let's say we discover through experience that this state is bad: In naïve q-learning, we know nothing about this state:

Or even this one!



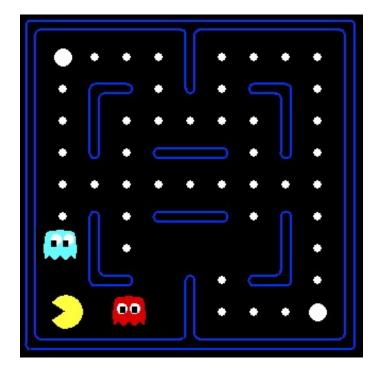




[Demo: Q-learning – pacman – tiny – watch all (L11D5)], [Demo: Q-learning – pacman – tiny – silent train (L11D6)], [Demo: Q-learning – pacman – tricky – watch all (L11D7)]

### Feature-Based Representations

- Solution: describe a state using a vector of features (properties)
  - Features are functions from states to real numbers (often 0/1) that capture important properties of the state
  - Example features:
    - Distance to closest ghost
    - Distance to closest dot
    - Number of ghosts
    - 1 / (dist to dot)<sup>2</sup>
    - Is Pacman in a tunnel? (0/1)
    - ..... etc.
  - Can also describe a q-state (s, a) with features (e.g. action moves closer to food)



### **Linear Value Functions**

Using a feature representation, we can write a q function (or value function) for any state using a few weights:

$$V(s) = w_1 f_1(s) + w_2 f_2(s) + \dots + w_n f_n(s)$$

$$Q(s,a) = w_1 f_1(s,a) + w_2 f_2(s,a) + \dots + w_n f_n(s,a)$$

- Advantage: our experience is summed up in a few powerful numbers
- Disadvantage: states may share features but actually be very different in value!

## Approximate Q-Learning

$$Q(s,a) = w_1 f_1(s,a) + w_2 f_2(s,a) + \dots + w_n f_n(s,a)$$

Q-learning with linear Q-functions:

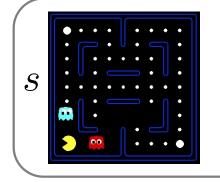
$$\begin{aligned} & \text{transition } = (s, a, r, s') \\ & \text{difference} = \left[r + \gamma \max_{a'} Q(s', a')\right] - Q(s, a) \\ & Q(s, a) \leftarrow Q(s, a) + \alpha \text{ [difference]} \end{aligned} \quad & \text{Exact Q's} \\ & w_i \leftarrow w_i + \alpha \text{ [difference]} f_i(s, a) \quad & \text{Approximate Q's} \end{aligned}$$



- Intuitive interpretation:
  - Adjust weights of active features
  - E.g., if something unexpectedly bad happens, blame the features that were on: disprefer all states with that state's features
- Formal justification: online least squares

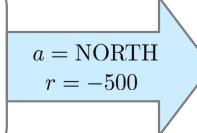
### Example: Q-Pacman

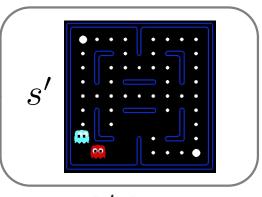
$$Q(s,a) = 4.0 f_{DOT}(s,a) - 1.0 f_{GST}(s,a)$$



 $f_{DOT}(s, NORTH) = 0.5$ 

 $f_{GST}(s, NORTH) = 1.0$ 





$$Q(s, NORTH) = +1$$

$$r + \gamma \max_{a'} Q(s', a') = -500 + 0$$

$$Q(s',\cdot)=0$$

$$\alpha = 0.004$$

difference 
$$= -501$$

$$w_{DOT} \leftarrow 4.0 + \alpha [-501] 0.5$$
  
 $w_{GST} \leftarrow -1.0 + \alpha [-501] 1.0$ 

$$Q(s, a) = 3.0 f_{DOT}(s, a) - 3.0 f_{GST}(s, a)$$

[Demo: approximate Q-learning pacman (L11D10)]