

Chapter 4 : Intermediate SQL

Instructor : Fatemeh Mansoori University of Isfahan

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Outline

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Index Definition in SQL
- Authorization



Joined Relations

- Join operations take two relations and return as a result another relation.
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the from clause
- Three types of joins:
 - Natural join
 - Inner join
 - Outer join



Natural Join in SQL

- Natural join matches tuples with the same values for all common attributes, and retains only one copy of each common column.
- List the names of instructors along with the course ID of the courses that they taught
 - select name, course_id
 from students, takes
 where student.ID = takes.ID;
- Same query in SQL with "natural join" construct
 - select name, course_id
 from student natural join takes;



Natural Join in SQL (Cont.)

The from clause can have multiple relations combined using natural join:

```
select A_1, A_2, ... A_n
from r_1 natural join r_2 natural join ... natural join r_n
where P;
```



Student Relation

ID	name	dept_name	tot_cred
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120



Takes Relation

ID	course_id	sec_id	semester	year	grade
00128	CS-101	1	Fall	2017	A
00128	CS-347	1	Fall	2017	A-
12345	CS-101	1	Fall	2017	С
12345	CS-190	2	Spring	2017	A
12345	CS-315	1	Spring	2018	A
12345	CS-347	1	Fall	2017	A
19991	HIS-351	1	Spring	2018	В
23121	FI N -201	1	Spring	2018	C+
44553	PHY-101	1	Fall	2017	B-
45678	CS-101	1	Fa11	2017	F
45678	CS-101	1	Spring	2018	B+
45678	CS-319	1	Spring	2018	В
54321	CS-101	1	Fall	2017	A-
54321	CS-190	2	Spring	2017	B+
55739	MU-199	1	Spring	2018	A-
76543	CS-101	1	Fall	2017	A
76543	CS-319	2	Spring	2018	A
76653	EE-181	1	Spring	2017	С
98765	CS-101	1	Fall	2017	C-
98765	CS-315	1	Spring	2018	В
98988	BIO-101	1	Summer	2017	A
98988	BIO-301	1	Summer	2018	null



student natural join takes

ID	name	dept_name	tot_cred	course_id	sec_id	semester	year	grade
00128	Zhang	Comp. Sci.	102	CS-101	1	Fa11	2017	A
00128	Zhang	Comp. Sci.	102	CS-347	1	Fa11	2017	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2017	С
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2017	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2018	A
12345	Shankar	Comp. Sci.	32	CS-347	1	Fa11	2017	A
19991	Brandt	History	80	HIS-351	1	Spring	2018	В
23121	Chavez	Finance	110	FI N-2 01	1	Spring	2018	C+
44553	Peltier	Physics	56	PHY-101	1	Fall	2017	B-
45678	Levy	Physics	46	CS-101	1	Fall	2017	F
45678	Levy	Physics	46	CS-101	1	Spring	2018	B+
45678	Levy	Physics	46	CS-319	1	Spring	2018	В
54321	Williams	Comp. Sci.	54	CS-101	1	Fall	2017	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2017	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2018	A-
76543	Brown	Comp. Sci.	58	CS-101	1	Fall	2017	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2018	A
76653	A oi	Elec. Eng.	60	EE-181	1	Spring	2017	С
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2017	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2018	В
98988	Tanaka	Biology	120	BIO-101	1	Summer	2017	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2018	null



Dangerous in Natural Join

- Beware of unrelated attributes with same name which get equated incorrectly
- Example -- List the names of students instructors along with the titles of courses that they have taken
 - Correct version

```
select name, title
from student natural join takes, course
where takes.course_id = course.course_id;
```

Incorrect version

```
select name, title from student natural join takes natural join course;
```

- This query omits all (student name, course title) pairs where the student takes a course in a department other than the student's own department.
- The correct version (above), correctly outputs such pairs.



Natural Join with Using Clause

- To avoid the danger of equating attributes erroneously, we can use the "using" construct that allows us to specify exactly which columns should be equated.
- Query example

select name, title from (student natural join takes) join course using (course_id)



Join Condition

- The on condition allows a general predicate over the relations being joined
- This predicate is written like a where clause predicate except for the use of the keyword on
- Query example

```
select *
from student join takes on student_ID = takes_ID
```

- The on condition above specifies that a tuple from student matches a tuple from takes if their ID values are equal.
- Equivalent to:

```
select *
from student, takes
where student_ID = takes_ID
```



Join Condition (Cont.)

- The on condition allows a general predicate over the relations being joined.
- This predicate is written like a where clause predicate except for the use of the keyword on.
- Query example

```
select *
from student join takes on student_ID = takes_ID
```

- The on condition above specifies that a tuple from student matches a tuple from takes if their ID values are equal.
- Equivalent to:

```
select *
from student, takes
where student_ID = takes_ID
```



Outer Join

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join.
- Uses null values.
- Three forms of outer join:
 - left outer join
 - right outer join
 - full outer join



Outer Join Examples

Relation course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Relation prereq

course_id	prereg_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

Observe that
 course information is missing CS-437
 prereq information is missing CS-315

• X



Left Outer Join

course natural left outer join prereq

course_id	title	dept_name	credits	prereq_id
할어있었다면하면 그 맛이 없어 그르다	Genetics Game Design	Biology	100	BIO-101 CS-101
CS-190 CS-315	Robotics	Comp. Sci.	100	null

In relational algebra: course ⋈ prereq



Right Outer Join

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology		BIO-101
CS-190	Game Design	Comp. Sci.	- 22	CS-101
CS-347	null	null	null	CS-101

■ In relational algebra: *course* ⋈ *prereq*



Full Outer Join

course natural full outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

In relational algebra: course

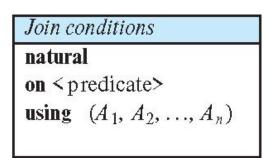
prereq



Joined Types and Conditions

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the **from** clause
- Join condition defines which tuples in the two relations match.
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join types
inner join
left outer join
right outer join
full outer join





Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course full outer join prereq using (course_id)

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



Joined Relations – Examples

course inner join prereq on course.course_id = prereq.course_id

course_id	title	dept_name	credits	prereq_id	course_id
	Genetics Game Design	Biology Comp. Sci.	55	BIO-101 CS-101	BIO-301 CS-190

- What is the difference between the above, and a natural join?
- course left outer join prereq on course.course_id = prereq.course_id

course_id	title	dept_name	credits	prereq_id	course_id
BIO-301		Biology	53	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null



Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course full outer join prereq using (course_id)

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



Views

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

select *ID*, *name*, *dept_name* **from** *instructor*

- A view provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view.



View Definition

A view is defined using the create view statement which has the form

create view vas < query expression >

where <query expression> is any legal SQL expression. The view name is represented by ν .

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query expression
 - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.



View Definition and Use

A view of instructors without their salary

```
create view faculty as select ID, name, dept_name from instructor
```

Find all instructors in the Biology department

```
select name
from faculty
where dept name = 'Biology'
```

Create a view of department salary totals

```
create view departments_total_salary(dept_name, total_salary) as select dept_name, sum (salary) from instructor group by dept_name;
```



Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation v_1 is said to **depend directly** on a view relation v_2 if v_2 is used in the expression defining v_1
- A view relation ν_1 is said to **depend on** view relation ν_2 if either ν_1 depends directly to ν_2 or there is a path of dependencies from ν_1 to ν_2
- A view relation ν is said to be **recursive** if it depends on itself.



Views Defined Using Other Views

- create view physics_fall_2017 as
 select course.course_id, sec_id, building, room_number
 from course, section
 where course.course_id = section.course_id
 and course.dept_name = 'Physics'
 and section.semester = 'Fall'
 and section.year = '2017';
- create view physics_fall_2017_watson as select course_id, room_number from physics_fall_2017 where building= 'Watson';



View Expansion

Expand the view :

```
create view physics_fall_2017_watson as select course_id, room_number from physics_fall_2017 where building= 'Watson'
```

To:

```
create view physics_fall_2017_watson as
select course_id, room_number
from (select course.course_id, building, room_number
from course, section
where course.course_id = section.course_id
and course.dept_name = 'Physics'
and section.semester = 'Fall'
and section.year = '2017')
where building= 'Watson';
```



View Expansion (Cont.)

- A way to define the meaning of views defined in terms of other views.
- Let view v_1 be defined by an expression e_1 that may itself contain uses of view relations.
- View expansion of an expression repeats the following replacement step:

repeat

Find any view relation v_i in e_1 Replace the view relation v_i by the expression defining v_i **until** no more view relations are present in e_1

As long as the view definitions are not recursive, this loop will terminate



Materialized Views

- Certain database systems allow view relations to be physically stored.
 - Physical copy created when the view is defined.
 - Such views are called Materialized view:
- If relations used in the query are updated, the materialized view result becomes out of date
 - Need to maintain the view, by updating the view whenever the underlying relations are updated.



Update of a View

Add a new tuple to faculty view which we defined earlier

insert into faculty

values ('30765', 'Green', 'Music');

- This insertion must be represented by the insertion into the *instructor* relation
 - Must have a value for salary.
- Two approaches
 - Reject the insert
 - Inset the tuple

('30765', 'Green', 'Music', null)

into the *instructor* relation



Some Updates Cannot be Translated Uniquely

- create view instructor_info as
 select ID, name, building
 from instructor, department
 where instructor.dept_name= department.dept_name;
- insert into instructor_info values ('69987', 'White', 'Taylor');
- Issues
 - Which department, if multiple departments in Taylor?
 - What if no department is in Taylor?



And Some Not at All

- create view history_instructors as select * from instructor where dept_name= 'History';
- What happens if we insert ('25566', 'Brown', 'Biology', 100000) into history_instructors?



View Updates in SQL

- Most SQL implementations allow updates only on simple views
 - The from clause has only one database relation.
 - The select clause contains only attribute names of the relation, and does not have any expressions, aggregates, or distinct specification.
 - Any attribute not listed in the select clause can be set to null
 - The query does not have a group by or having clause.



Integrity Constraints

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency.
 - A checking account must have a balance greater than \$10,000.00
 - A salary of a bank employee must be at least \$4.00 an hour
 - A customer must have a (non-null) phone number



Constraints on a Single Relation

- not null
- primary key
- unique
- **check** (P), where P is a predicate



Not Null Constraints

not null

Declare name and budget to be not null

name varchar(20) not null
budget numeric(12,2) not null



Unique Constraints

- unique $(A_1, A_2, ..., A_m)$
 - The unique specification states that the attributes $A_1, A_2, ..., A_m$ form a candidate key.
 - Candidate keys are permitted to be null (in contrast to primary keys).



The check clause

- The check (P) clause specifies a predicate P that must be satisfied by every tuple in a relation.
- Example: ensure that semester is one of fall, winter, spring or summer

```
create table section

(course_id varchar (8),
sec_id varchar (8),
semester varchar (6),
year numeric (4,0),
building varchar (15),
room_number varchar (7),
time slot id varchar (4),
primary key (course_id, sec_id, semester, year),
check (semester in ('Fall', 'Winter', 'Spring', 'Summer')))
```



Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
 - Example: If "Biology" is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for "Biology".
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a foreign key of R if for any values of A appearing in R these values also appear in S.



Referential Integrity (Cont.)

 Foreign keys can be specified as part of the SQL create table statement

foreign key (dept_name) references department

- By default, a foreign key references the primary-key attributes of the referenced table.
- SQL allows a list of attributes of the referenced relation to be specified explicitly.

foreign key (dept_name) references department (dept_name)



Cascading Actions in Referential Integrity

- When a referential-integrity constraint is violated, the normal procedure is to reject the action that caused the violation.
- An alternative, in case of delete or update is to cascade

- Instead of cascade we can use :
 - set null,
 - set default



Integrity Constraint Violation During Transactions

Consider:

```
create table person (
//D char(10),
name char(40),
spouse char(10),
primary key //D,
foreign key spouse references person)
```

How to insert a tuple without causing constraint violation?



Transactions

- A transaction consists of a sequence of query and/or update statements and is a "unit" of work
- The SQL standard specifies that a transaction begins implicitly when an SQL statement is executed.
- The transaction must end with one of the following statements:
 - Commit work. The updates performed by the transaction become permanent in the database.
 - Rollback work. All the updates performed by the SQL statements in the transaction are undone.
- Atomic transaction
 - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions



Transaction properties

- ACID (<u>atomicity</u>, <u>consistency</u>, <u>isolation</u>, <u>durability</u>)
- Atomicity
 - guarantees that each transaction is treated as a single "unit" which either succeeds completely, or fails completely
 - if any of the statements constituting a transaction fails to complete, the entire transaction fails and the database is left unchanged
- Consistency (Correctness)
 - ensures that a transaction can only bring the database from one valid state to another
 - Referential integrity
- Isolation
 - ensures that concurrent execution of transactions leaves the database in the same state that would have been obtained if the transactions were executed sequentially.
- Durability
 - guarantees that once a transaction has been committed, it will remain committed even in the case of a system failure



In PostgreSQL, a transaction is set up by surrounding the SQL commands of the transaction with BEGIN and COMMIT commands

```
BEGIN;
UPDATE accounts SET balance = balance - 100.00
WHERE name = 'Alice';
-- etc etc
COMMIT;
```

If, partway through the transaction, we decide we do not want to commit, (perhaps we just noticed that Alice's balance went negative), we can issue a Rollback instead Of commit and all our updates so far will be canceled



```
BEGIN;
UPDATE accounts SET balance = balance - 100.00
    WHERE name = 'Alice';
SAVEPOINT my_savepoint;
UPDATE accounts SET balance = balance + 100.00
    WHERE name = 'Bob';
-- oops ... forget that and use Wally's account
ROLLBACK TO my_savepoint;
UPDATE accounts SET balance = balance + 100.00
    WHERE name = 'Wally';
COMMIT;
```



Built-in Data Types in SQL

- date: Dates, containing a (4 digit) year, month and date
 - Example: date '2005-7-27'
- time: Time of day, in hours, minutes and seconds.
 - Example: time '09:00:30' time '09:00:30.75'
- timestamp: date plus time of day
 - Example: timestamp '2005-7-27 09:00:30.75'
- interval: period of time
 - Example: interval '1' day
 - Subtracting a date/time/timestamp value from another gives an interval value
 - Interval values can be added to date/time/timestamp values



User-Defined Types

create type construct in SQL creates user-defined type

create type *Dollars* as numeric (12,2) final

Example:

create table department (dept_name varchar (20), building varchar (15), budget Dollars);



Index Creation

- Many queries reference only a small proportion of the records in a table.
- It is inefficient for the system to read every record to find a record with particular value
- An index on an attribute of a relation is a data structure that allows the database system to find those tuples in the relation that have a specified value for that attribute efficiently, without scanning through all the tuples of the relation.
- We create an index with the create index command
 create index <name> on <relation-name> (attribute);



Index Creation Example

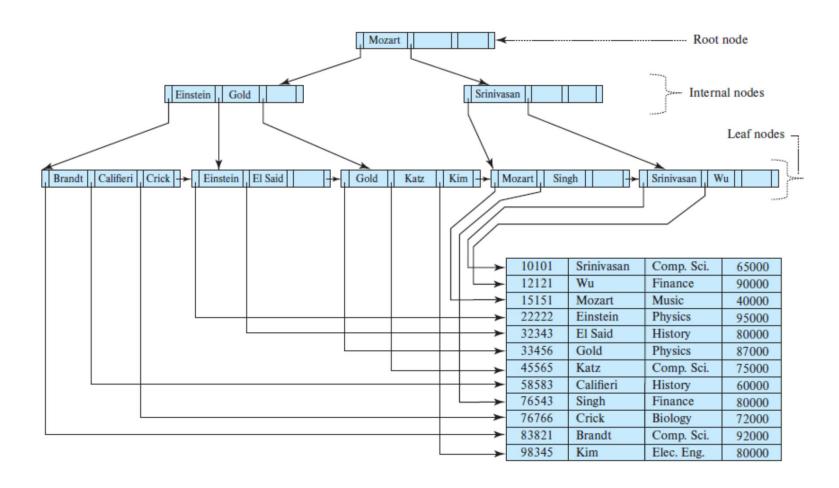
- create table student
 (ID varchar (5),
 name varchar (20) not null,
 dept_name varchar (20),
 tot_cred numeric (3,0) default 0,
 primary key (ID))
- create index studentID_index on student(ID)
- The query:

```
select *
from student
where ID = '12345'
```

can be executed by using the index to find the required record, without looking at all records of *student*



B tree for instructor





Authorization

- We may assign a user several forms of authorizations on parts of the database.
 - Read allows reading, but not modification of data.
 - Insert allows insertion of new data, but not modification of existing data.
 - Update allows modification, but not deletion of data.
 - Delete allows deletion of data.
- Each of these types of authorizations is called a privilege. We may authorize the user all, none, or a combination of these types of privileges on specified parts of a database, such as a relation or a view.



Authorization (Cont.)

- Forms of authorization to modify the database schema
 - Index allows creation and deletion of indices.
 - Resources allows creation of new relations.
 - Alteration allows addition or deletion of attributes in a relation.
 - Drop allows deletion of relations.



Authorization Specification in SQL

- The grant statement is used to confer authorization
 grant <privilege list> on <relation or view > to <user list>
- <user list> is:
 - a user-id
 - public, which allows all valid users the privilege granted
 - A role (more on this later)
- Example:
 - grant select on department to Amit, Satoshi
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).



Privileges in SQL

- select: allows read access to relation, or the ability to query using the view
 - Example: grant users U_1 , U_2 , and U_3 **select** authorization on the *instructor* relation:

grant select on instructor to U_1 , U_2 , U_3

- insert: the ability to insert tuples
- update: the ability to update using the SQL update statement
- delete: the ability to delete tuples.
- all privileges: used as a short form for all the allowable privileges



Revoking Authorization in SQL

- The revoke statement is used to revoke authorization.
 revoke <privilege list> on <relation or view> from <user list>
- Example:
 - revoke select on student from U_1 , U_2 , U_3
- <privilege-list> may be all to revoke all privileges the revokee may hold.
- If <revokee-list> includes public, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.



Roles

- A role is a way to distinguish among various users as far as what these users can access/update in the database.
- To create a role we use:

create a role <name>

- Example:
 - create role instructor
- Once a role is created we can assign "users" to the role using:
 - grant <role> to <users>



Roles Example

- create role instructor;
- grant instructor to Amit;
- Privileges can be granted to roles:
 - grant select on takes to instructor,
- Roles can be granted to users, as well as to other roles
 - create role teaching_assistant
 - grant teaching_assistant to instructor,
 - Instructor inherits all privileges of teaching_assistant
- Chain of roles
 - create role dean;
 - grant instructor to dean;
 - grant dean to Satoshi;



Authorization on Views

- create view geo_instructor as
 (select *
 from instructor
 where dept_name = 'Geology');
- grant select on geo_instructor to geo_staff
- Suppose that a geo_staff member issues
 - select * from geo_instructor,
- What if
 - Creator of view did not have some permissions on instructor?



Other Authorization Features

- references privilege to create foreign key
 - grant reference (dept_name) on department to Mariano;
 - Why is this required?
- transfer of privileges
 - grant select on department to Amit with grant option;
 - revoke select on department from Amit, Satoshi cascade;
 - revoke select on department from Amit, Satoshi restrict;
 - And more!



End of Chapter 4