

# Dynamic Load Scaling via Distributed Trace Sampling & Analysis

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**Abstract**—Modern systems often use automation for resource scaling. Current automatic scaling systems focus on system-generated metrics like CPU and memory utilization to determine scaling. While these indicators are precise, they often lack insights into the operational performance of applications affected by scaling. Distributed traces model end-to-end requests or transactions as a hierarchical graph of spans, each representing a measured execution point. Trace data can reveal insights into latencies that are not captured by system-level metrics. To address this, we introduce SCALE, an automated resource scaler that uses distributed traces for scaling decisions. SCALE samples trace spans for duration anomalies and processes them through a heuristics-based modeling engine against defined latency thresholds. If thresholds are exceeded, SCALE triggers scaling commands for orchestration systems. SCALE is evaluated using trace data from open-source microservice benchmarks in Kubernetes.

## I INTRODUCTION

Whether running workloads on remote cloud platforms, on-premises clusters, or compute grids, proper resource utilization is one of the most significant factors that can affect operational expenses and capital expenditures. On one end of the spectrum, under-utilizing resources results in wasted costs through unused hardware and network allocation. Conversely, over-utilizing resources can negatively impact response times, causing degradation and failures throughout your application. At a minimum, this can frustrate the client base, while in severe cases, it can lead to detrimental production outages, potentially causing irreparable damage to the company or brand.

Existing technologies for dynamic load scaling are limited. [1] focuses directly on hardware resources such as CPU and memory, where new instances will be created when CPU utilization exceeds a predefined threshold. Some systems delve deeper, relying on application-generated metrics. However, this involves keeping track of the right parts of your application and manually connect the appropriate application metrics to the right scaling actions.

We propose SCALE, a novel technique utilizing distributed tracing data to make scaling decisions based on application performance, as opposed to solely relying on simple metrics. SCALE does not depend on a specific decision-making mechanism or orchestration technology; instead, it provides

abstraction around these two general areas and focuses on differentiating interesting from benign trace data. Such a design would allow research to concentrate on anomaly detection within trace data, enabling operators to customize scaling for diverse and heterogeneous architectures. SCALE emphasizes on the sampling of distributed tracing for anomalous execution durations. In cases where anomalies are detected, the SCALE processor generates scaling signals based on the analysis of tail latencies of individual calls within those graphs.

## II BACKGROUND

In our research, we drew particular motivation from gaps in the current state of the art of autoscaling systems pointed out by our fellow researchers.

### A) Autoscaling

Straesser et al. [1] argue that state-of-the-art autoscalers are often too complex for production and rely heavily on CPU metrics. However, performance for some applications is not driven by CPU or memory, making a combination of platform and application metrics beneficial.

Eder et al. [2] focus on deployment costs in pay-per-use models and ensuring distributed tracing not to degrade application performance. They found that the performance impact—measured in runtime, memory usage, and initialization—varies with tools like Zipkin [3], Otel [4], and SkyWalking [5]. They conclude that with proper tool selection, the benefits of distributed tracing can outweigh performance downsides.

Yu et al. [6] proposed MicroScaler, which identifies services needing scaling to meet SLA requirements by collecting service metrics (QoS, latency) through proxy sidecars in microservice architectures. It bases scaling solely on latency and introduces sidecar overheads.

### B) Sampling

Modern distributed applications are complex, needing deep insights into request orchestration across services. Distributed tracing provides this view but can generate terabytes of trace data daily, complicating processing and storage. Sampling helps maintain efficiency while managing data overload.

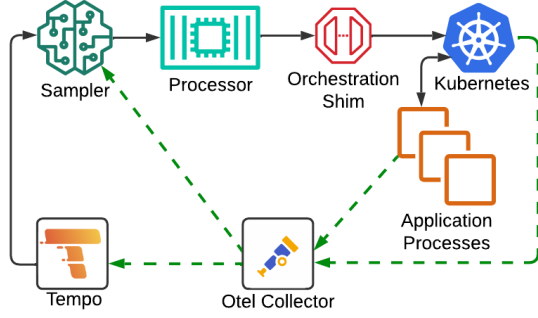


Fig. 1. SCALE Architecture

The common strategy used by tools like Jaeger [7] and Zipkin [3] is uniform random sampling, or head-based sampling, where decisions are made at a trace’s start. This method often captures redundant traces, limiting anomaly detection value. To improve this, tail-based sampling defers decisions until a trace is complete, focusing on traces likely to be informative or anomalous. For instance, Tracemesh [8] uses DenStream [9] for clustering streaming data, sampling traces based on their evolving characteristics to cut back on oversampling. Sieve [10] applies a biased sampling method using attention scores with Robust Random Cut Forests (RRCF) to identify uncommon traces. Both leverage trace structural and temporal variations to boost sampling effectiveness. Sifter [11] prioritizes diverse traces by weighting sampling decisions towards those that are underrepresented in its model, thus improving trace variety. Las-Casas et al. [12] proposed a weighted sampling method using a hierarchical clustering technique called Purity Enhancing Rotations for Cluster Hierarchies (PERCH) to ensure diversity in selected traces.

### III DESIGN

#### A. Overview

We introduce SCALE, a software system which analyzes application generated observability data to perform dynamic scaling of application work loads in cloud environments.

The key idea of SCALE hinges on the viability of making scaling decisions based on distributed tracing data. SCALE’s analysis centers around a heuristics based trace processor which will check trace spans for latency breaches above configured thresholds. The processor initiates the corresponding scaling actions via cloud provider (e.g., Kubernetes) when the thresholds are violated.

Figure 1 represents the core architecture of SCALE. The three major components which make up SCALE itself are the *sampler*, *processor*, and *orchestration shim*. Based on processing of the observability data from the sampler, a processor makes vertical or horizontal scaling decisions. The decisions are carried out by the cloud platform’s client. SCALE can be easily extended to different platforms by implementing the client interface.

#### B. Sampler

The sampler is the first direct SCALE component within the architecture’s overall pipeline. The job of the sampler is to sample trace spans for anomalies before they are sent downstream for scaling determination. This allows SCALE to predetermine interesting traces in a fast manner, so that extra resources are not wasted on a deep analysis of the call graph. Downstream the SCALE processor relies on a heuristics based examination of traces. However, there is a non-insignificant overhead involved in analyzing large call graphs that should be avoided if possible. This would be further exacerbated if the processor (described in Section III-B4) were swapped for an implementation that relied on a more compute intensive approach.

At it’s core the main purpose of the sampler is to identify trace spans that have unusual durations. Many of the researched works attempt to perform a holistic sampling of distributed traces. This is often to identify anomalies in both newly seen call graphs in addition to call durations. In our case we are only interested in anomalies in the duration of calls. Given this our sampling is performed directly on each span individually. This leads to both a more deterministic and more performant implementation.

Spans in open telemetry contain four distinct attributes that are important to our sampling. The first of these two are the service name and operation name. The service name is taken to mean a collection of instances of a specific service as opposed to a single running instance. The operation name denotes a specific unit of execution within a service. Together these help us to uniquely identify very specific call points within a distributed system for classification. The second of the two attributes are start and end time in nanoseconds since Unix epoch. Each span  $S$  is thus characterized by: service name  $s$ , operation name  $o$ , start time  $t_{\text{start}}$ , and end time  $t_{\text{end}}$ .

The sampler uses Half-Space-Trees (HS-Tree) [13] to perform anomaly detection on the durations of spans. An online variant of isolation forests, HS-Tree provides a model for fast one-class anomaly detection within evolving data streams. With HS-Tree you provide bounds on the data space which features can fall within. Multiple trees are then formed based on whether features fall within one half of the bound or the other, with different trees holding different orderings of features. All trees are sampled, and a prediction is formed from a consensus of the results from all trees. HS-Tree is best suited for cases where anomalies are rare which is the expectation in distributed trace data. The HS-Tree model isolates anomalies based on isolation depth  $h$ , producing an anomaly score:

$$\text{Anomaly Score} = 2^{-\frac{h}{H}} \quad (1)$$

where:

$H$  = maximum tree height

TABLE I  
SAMPLER PARAMETERS

Parameter	Description
n_tree	number of HS-Trees
height	height of each HS-Tree
window_size	observations in each HS-Tree node
min_score	minimum score required for sampling
max_duration	maximum bound for duration feature
train_size	number of spans to train the model

A number of proven open source implementations exist, and for SCALE we chose to go with from River [14] an online machine learning library for Python.

To categorize the service and operation name we use one-hot encoding. The river HS-Tree implementation is able to accept a sparse python dictionary that does not contain the entire feature set of your data space. Given this, we simply use simple numerically increasing integers as keys for the feature name of the service and operation.

Each span is represented by the following feature set (where *OneHotEncode* is defined in **Algorithm 1**):

$$\mathbf{X} = \{\text{OneHotEncode}(s, o), D\} \quad (2)$$

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**Algorithm 1** One-Hot Encoding Function

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1: Initialize an empty dictionary encodings
2: Function OneHotEncode(service, operation)
3: call_name  $\leftarrow$  service + " :: " + operation
4: encoding  $\leftarrow$  encodings.get(call_name)
5: if encoding is None then
6:   encoding  $\leftarrow$  len(encodings)
7:   encodings[service]  $\leftarrow$  encoding
8: end if
9: record  $\leftarrow$  {str(encoding) : 1}
10: return record
11: End Function
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We then take the difference of the end timestamp minus start timestamp and scale these to milliseconds. This is added as a second feature to the record and passed into the River HS-Tree model for sampling. To initially train the HS-Tree model, a pre-configured set of traces are queried from Tempo via an HTTP REST service which provides traces in OpenTelemetry protocol buffer format. The traces are stored in a Pandas DataFrame as they are collected. When all traces have been pulled, the model is trained which each span featurized as per the above described algorithm. The sampler has six core parameters which are used to calibrate the model prior to training (Table I).

After the model has been successfully trained according to the parameters, the sampler starts a gRPC listener which exposes two RPCs. The first is an implementation of the OTLP gRPC interface for receiving traces. As shown in figure 1, this allows Collector to stream new traces into the sampler as they are received from upstream applications and infrastructure. The second RPC provides a streaming endpoint which clients can connect to in order

to receive sampled spans, which are provided to the client in their entirety as they were received from Collector. These together along with the core sampler logic essentially form a streaming pipeline of spans, with the OTLP endpoint as the source, the sampler logic as a filter and the client endpoint as a sink.

### C. Processor

The processor serves as a downstream component of the sampler in the SCALE architecture. The processor analyzes traces and makes the decision on whether to perform any scaling operations. The processor is configured with a set of rules defined via YAML configuration. These rules associate latency thresholds with scaling actions. An example of this is of the form *scale service A if operation B reaches a latency of X, N times within a given window*. The rules then drive the heuristics based analysis of the distributed traces received from the sampler.

Scaling actions are triggered when thresholds are exceeded:

$$\text{Action} \iff \text{Count}(D > T, \text{window}) \geq N \quad (3)$$

The system distinguishes between load bottlenecks and resource constraints, applying appropriate scaling decisions:

$$\text{Scale}(s, o) = \begin{cases} \text{Horizontal,} & \text{if load bottleneck} \\ \text{Vertical,} & \text{if resource bound} \end{cases} \quad (4)$$

The processor is composed of two main components, the consumer and processor engine. The consumer initiates a streaming gRPC connection to receive the sampled spans using the gRPC. The spans received contain the same attributes and metadata that were received by the sampler. These spans are somewhat hierarchical, but not organized according to traces, and more so to shared data between spans for compaction purposes. The spans are then dropped into an async queue.

The processor engine then reads these spans from the queue. The spans are iterated over and flattened into a pandas DataFrame. The rule set defined in the configuration file is then applied as precompiled predicates against the DataFrame. Any rule whose criteria is a match has its actions folded into a conflated action set. The purpose of conflation is to deduplicate redundant scaling actions. When the analysis is complete, the processor then calls the Kubernetes shim to perform the scaling action. The processor engine also continually keeps several statistics and trend analytics of inspected spans.

### D. Orchestration Shim

The orchestration shim is the layer between the processor and orchestration platform (Kubernetes). It enables SCALE to easily extended to other platforms. This abstraction model allows for the ability to swap out service orchestration backends. This is manifested in the form of the OrchestrationClient interface which provides a stub for scaling a resource, and another for getting the current scale of a resource.

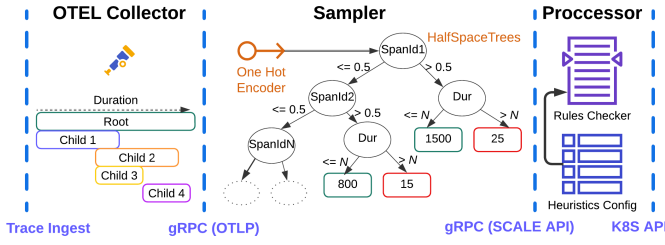


Fig. 2. SCALE Workflow

#### IV EVALUATION

To evaluate our system, we use a comprehensive testing environment that simulates real-world traffic patterns, bottlenecks, and resource constraints. This setup allows controlled comparisons between baseline autoscaling (CPU/memory-based) and SCALE. We evaluate the autoscaling systems using open-source benchmarking suites, along with an in-house architecture resembling a personal finance application. To simulate production-like environments, we use additional open-source simulation tools and chaos testing tools to generate realistic traffic surges and failure scenarios across microservices. We measure the speed and accuracy of autoscaling decisions made by the observability-driven system compared to the baseline.

##### A. Parameter Tuning

The performance of the proposed sampling mechanism was optimized by tuning critical parameters to achieve a balance across four key metrics:

1) *False Positive Rate (FPR)*: FPR measures the proportion of normal spans that are incorrectly flagged as anomalous. This metric is critical for reducing noise.

$$FPR = \frac{\text{False Positives (FP)}}{\text{Total Normal Spans}} \quad (5)$$

2) *Accuracy*: Accuracy quantifies the proportion of correctly classified spans, encompassing both true positives (TP) and true negatives (TN), relative to the total number of spans. Ensuring 100% accuracy was prioritized to avoid misclassification of both normal and anomalous spans.

$$\text{Accuracy} = \frac{\text{True Positives (TP)} + \text{True Negatives (TN)}}{\text{Total Spans}} \quad (6)$$

3) *Processing Time Per Span*: This metric reflects the computational efficiency of the sampler by averaging the time required to process each span. Reducing the value is critical for scalability in high-throughput environments.

$$T_{span} = \frac{T_{total}}{\text{TotalSpans}} \quad (7)$$

4) *F1 Score*: The F1 Score provides a balanced metrics of the sampler's precision and recall, capturing the trade-off between detecting anomalies and minimizing false positives.

The tuning process involved systematic adjustments of parameters such as the target anomaly score, feature

TABLE II  
DATASET COMPARISON

Metric	GTrace	TraceMesh
Total Spans	4881687	57908
Anomalies Detected	196987	4344
True Positives	81361	2895
False Positives	115626	1449
False Negatives	0	0
Precision	0.41302726	0.66643646
Recall	1.0	1.0
F1 Score	0.58459913	0.79983423
Processing Time	71 $\mu$ s	77 $\mu$ s

Confusion Matrix for Anomaly Detection

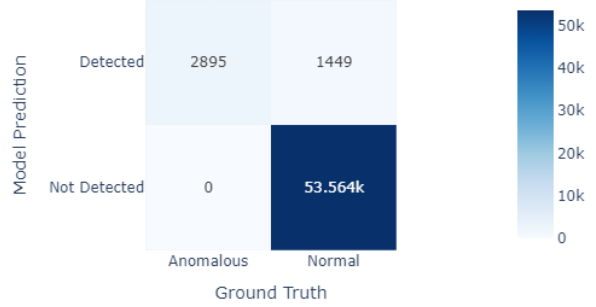


Fig. 3. TraceMesh Dataset Confusion Matrix

scaling factors, height, number of trees, and window size. Each iteration was evaluated against the aforementioned metrics with the goal of minimizing FPR, maintaining 100% detection accuracy, reducing processing time, and maximizing the F1 score. These adjustments were guided by a feedback loop, enabling continuous improvement to optimize sampler performance.

##### B. Dataset Analysis

The optimized sampler was evaluated against two datasets used in previous studies (*GTrace* [15] and *TraceMesh* [8]). For both datasets, the sampler was benchmarked on the following metrics: precision, recall, F1 score, processing time, and FPR. Results demonstrated the sampler consistently maintained 100% accuracy, minimized FPR, and delivered competitive F1 scores while adhering to stringent efficiency requirements. Table II shows the results of these experiments, while 3 presents a confusion matrix visualizing accuracy against expected results.

#### V CONCLUSION

This paper proposes a system for resource autoscaling based on distributed trace data rather than traditional system-level metrics. We propose SCALE, a system which selectively samples trace spans with anomalous durations using half-space trees—an efficient online anomaly detection model. SCALE is shown to be effective at detecting anomalies.

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