

Whole-Genome Sequencing: Technology and Data

Bioinformatics Workshop for *M. tuberculosis*
Genomics and Phylogenomics

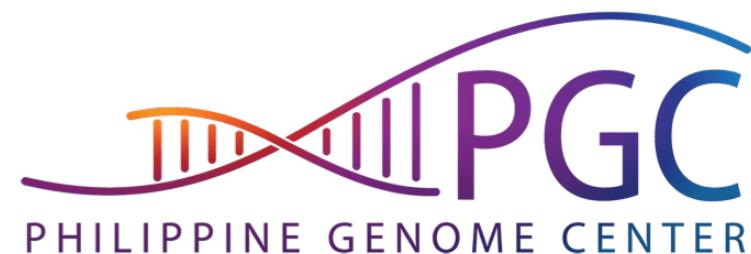
July 9-14, 2018 @The Philippine Genome Center



University of California
San Francisco
advancing health worldwide



Ulas Karaoz, PhD
Ecology Department,
Berkeley Lab



DNA Sequencing Technologies: Past and Present



Nanopore



DNA Sequencing: Why do we care?

“... [A] knowledge of sequences could contribute much to our understanding of living matter.”
Frederick Sanger, 1980.

First Generation DNA Sequencing: Sanger dideoxy sequencing (~1975)



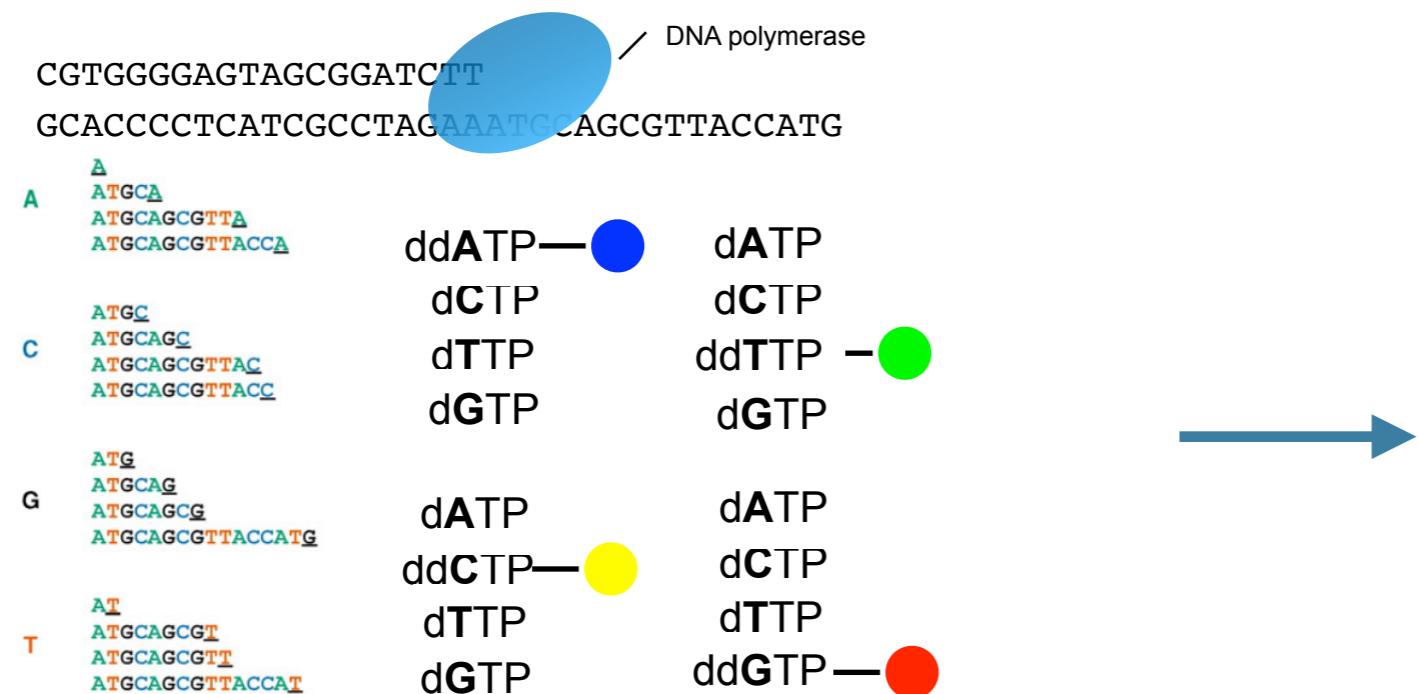
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I. DNA Synthesis with dideoxynucleotides



Heather JM. The sequence of sequencers: The history of sequencing DNA. *Genomics* 2016.

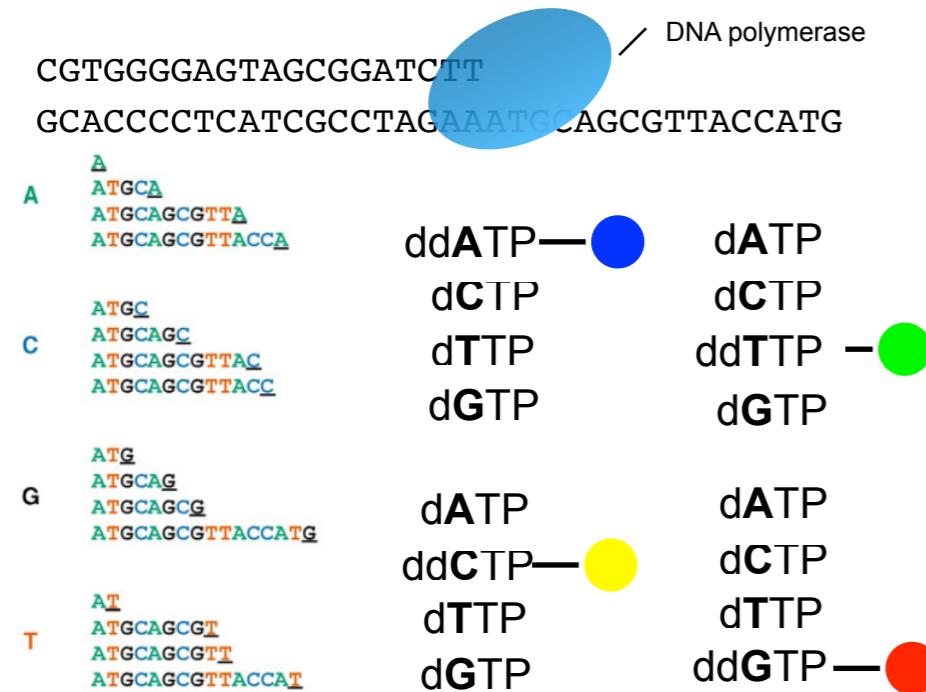
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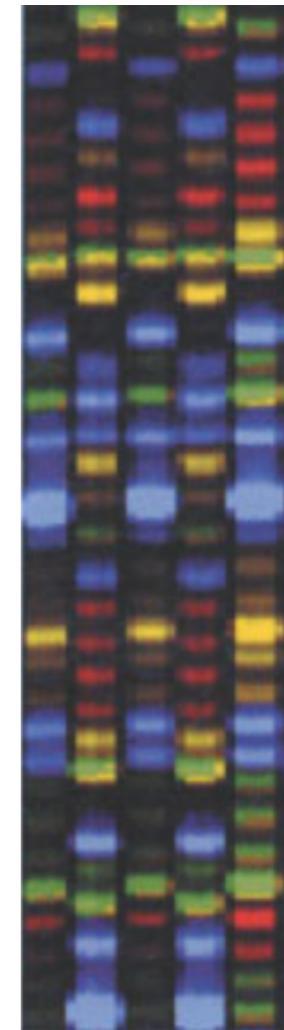


First Generation DNA Sequencing: Sanger dideoxy sequencing (~1975)

I. DNA Synthesis with dideoxynucleotides



II. Electrophoresis



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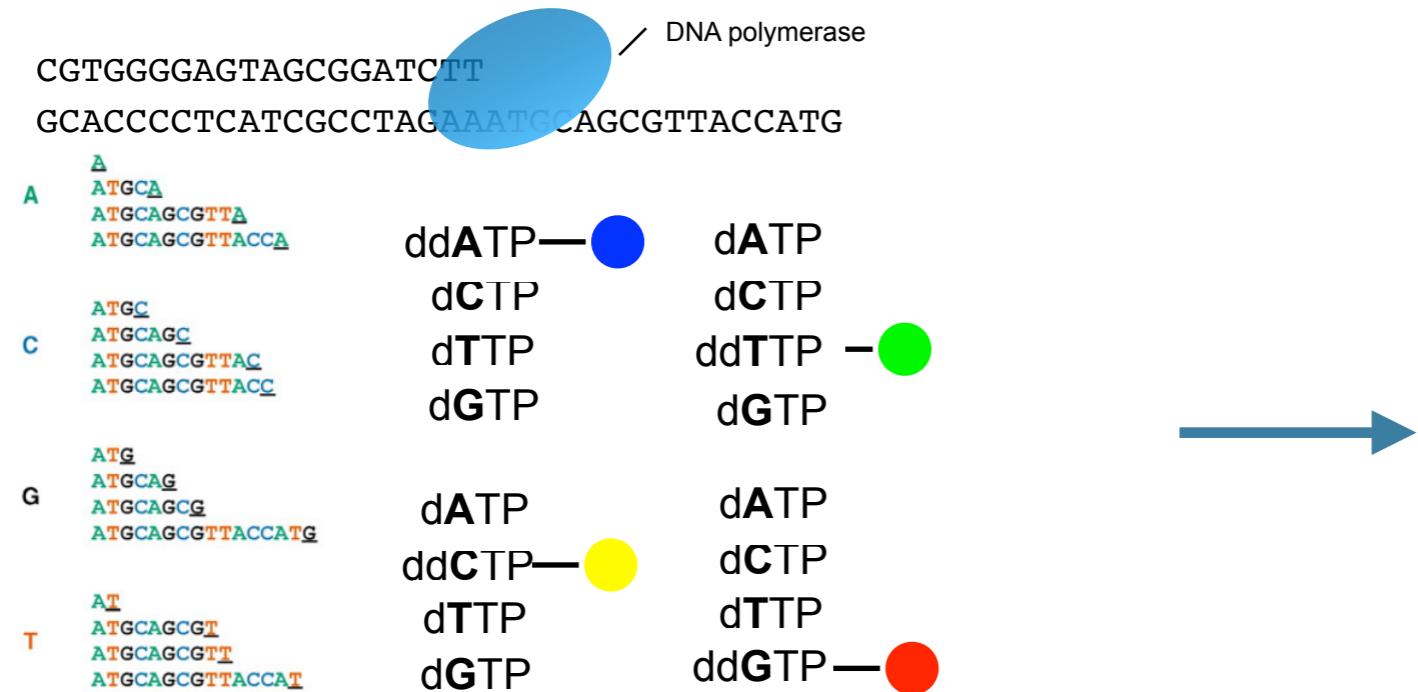
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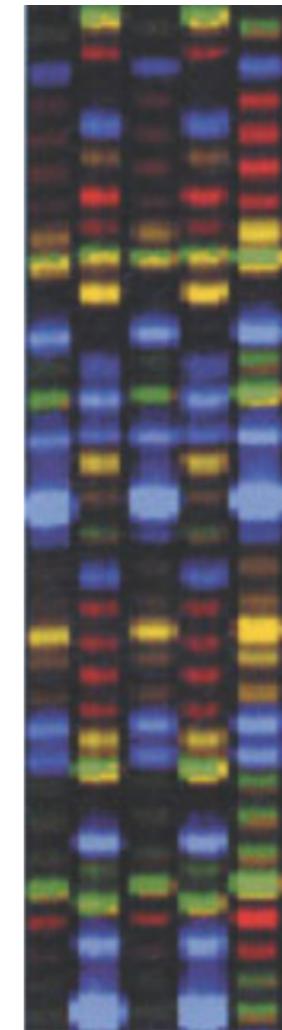
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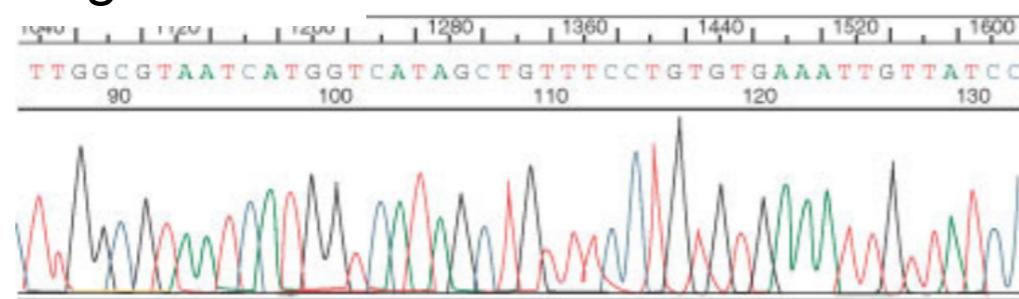


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II. Electrophoresis



III. Electropherogram



Automation of Sanger Sequencing

ABI 3730xl: 96/384 well
capillary system

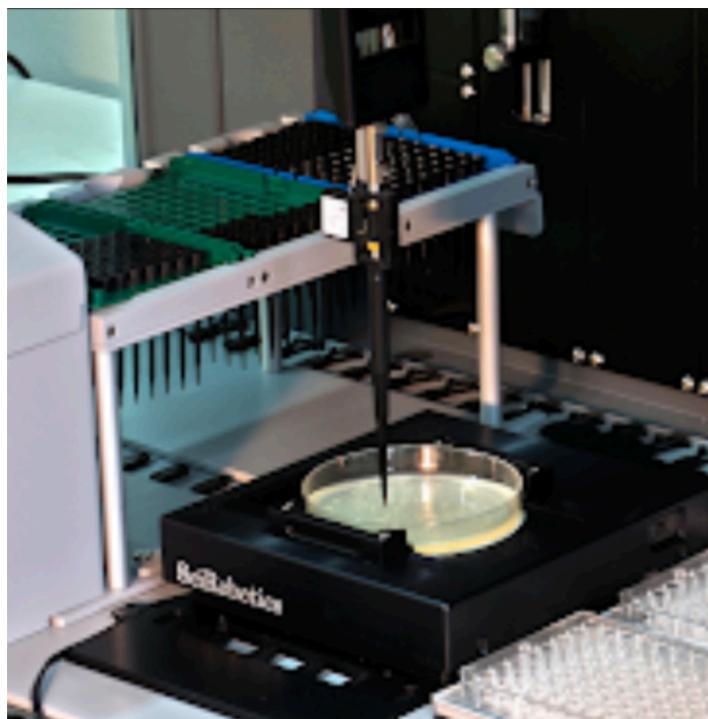


2001



ABI Sequencers, Venter Institute

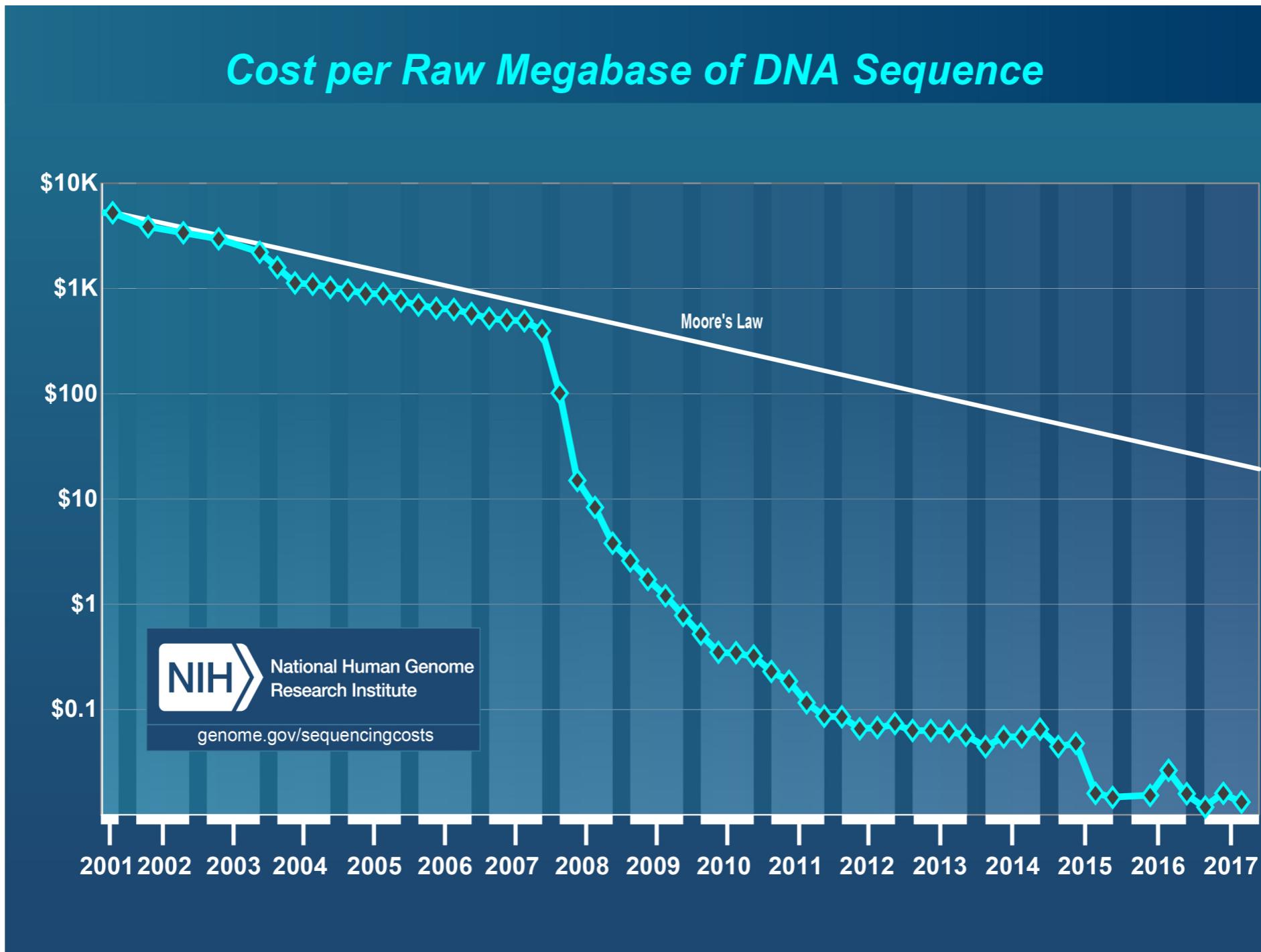
Automated colony picking



Automated plating

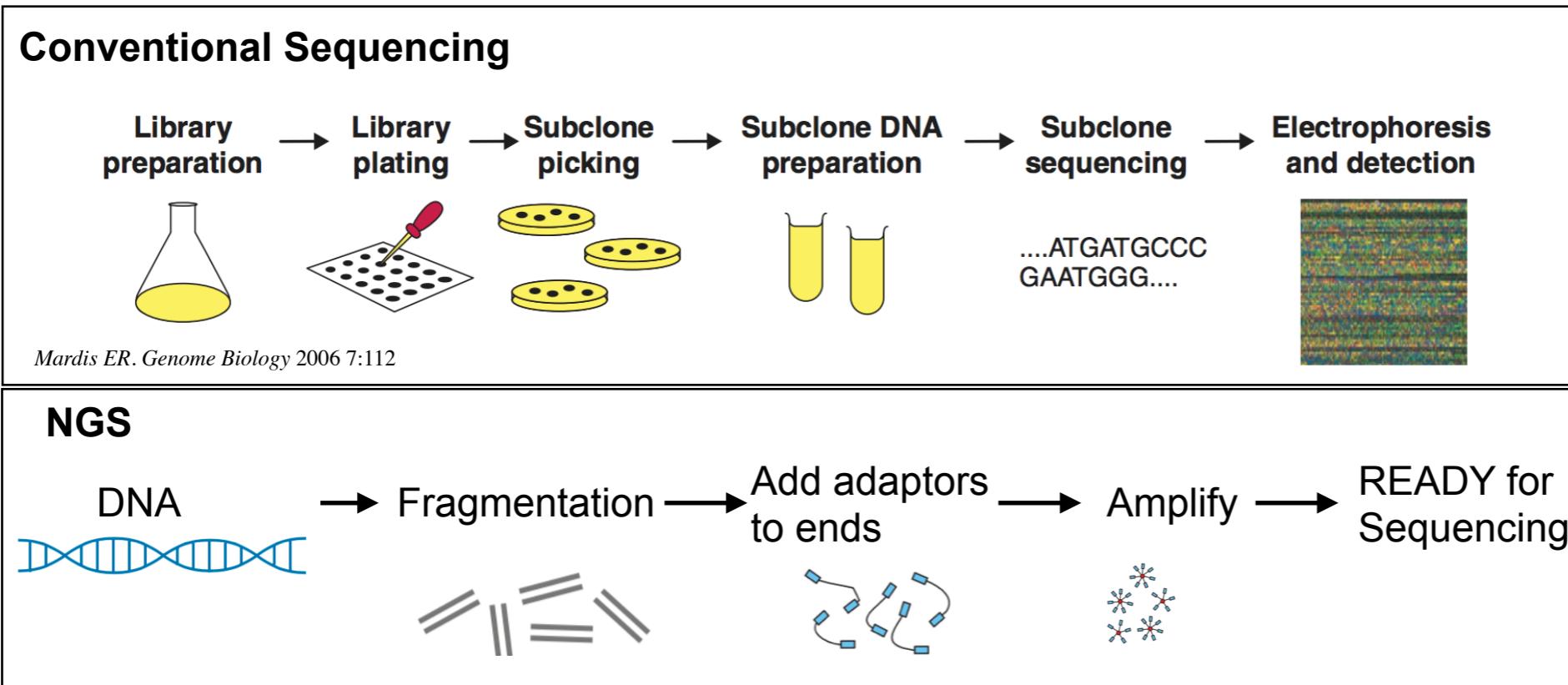


2004-2007: from colonies to clusters



Next-Generation Sequencing (NGS)

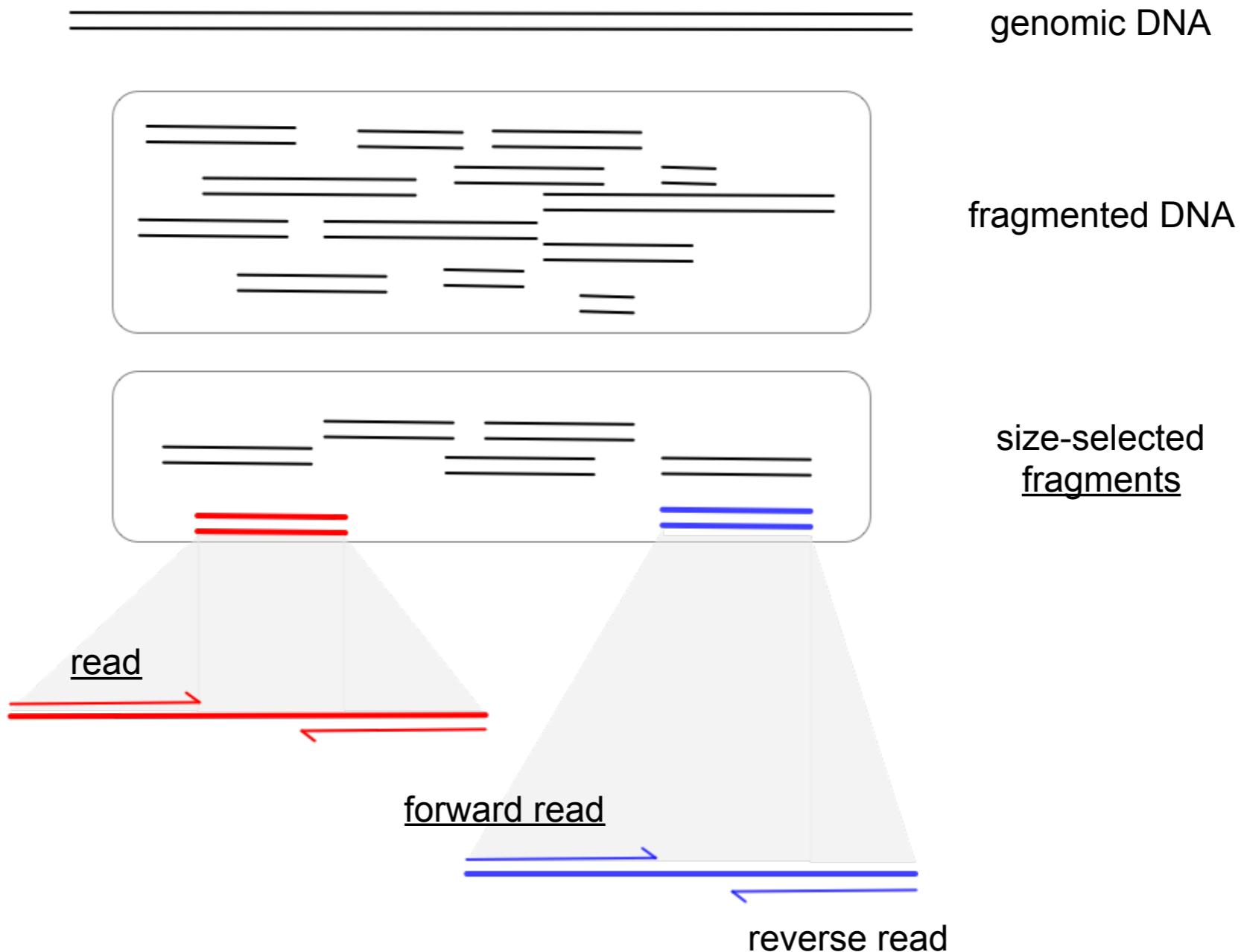
Next-generation Sequencing = Second-generation Sequencing = Massively Parallel Sequencing



NGS Commonalities

- Sequencing by synthesis: coupling of molecular biology and detection
- Library construction: easier, faster, cheaper
- randomly fragmented DNA + "adapter" sequences (platform-specific)
- Amplification needed before sequencing

Next-Generation Sequencing (NGS)



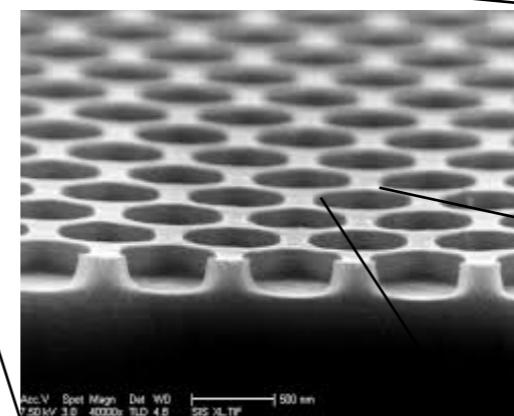
Illumina Sequencing: Flow cell

Illumina flow cell: glass slide where sequencing chemistry occurs

illumina®

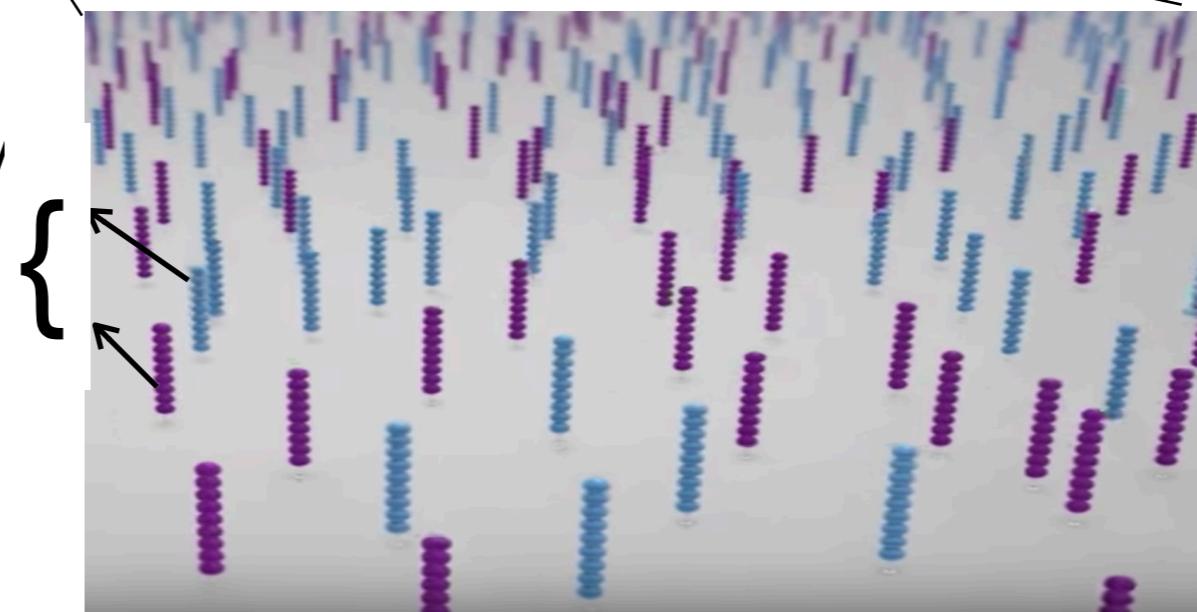


lane



"Lawn" of oligos on the flow cell surface

2 types of flow cell oligos



DNA fragments with adapters complementary to these oligos at ends



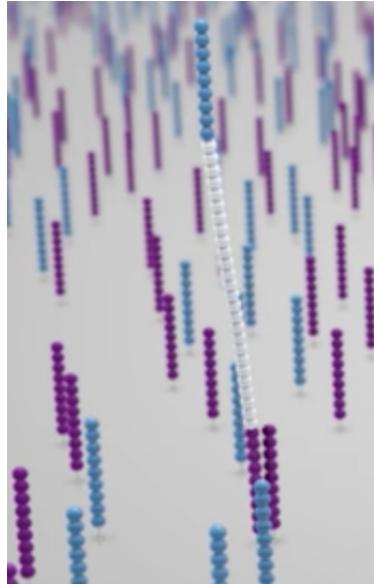
Illumina Sequencing: Amplification

Clustering: Isothermal amplification of the DNA fragments on the solid surface

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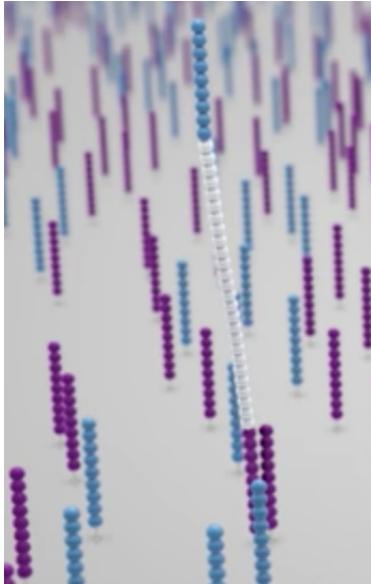
Hybridization of
fragments
(templates)



Illumina Sequencing: Amplification

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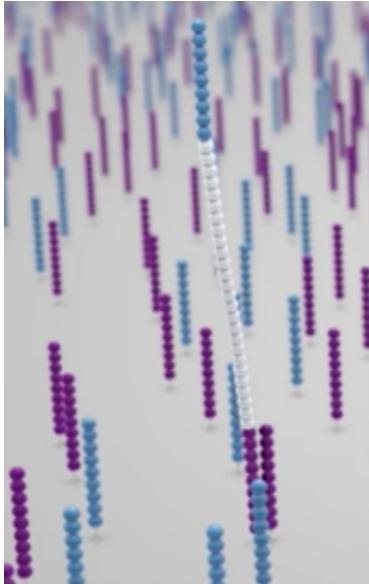
Synthesis of
dsDNA



Illumina Sequencing: Amplification

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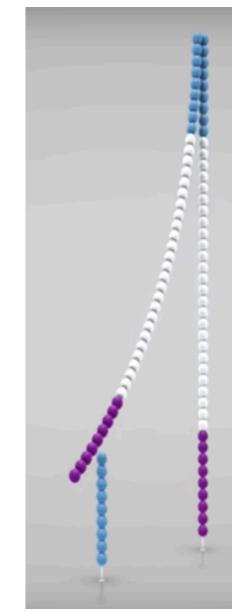
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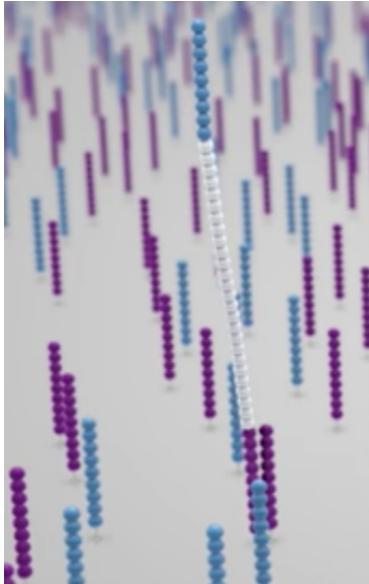
Original strand denatured
& washed away



Illumina Sequencing: Amplification

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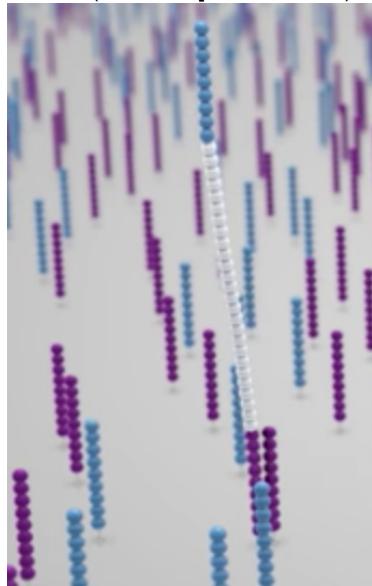
Strand folds over
& hybridizes



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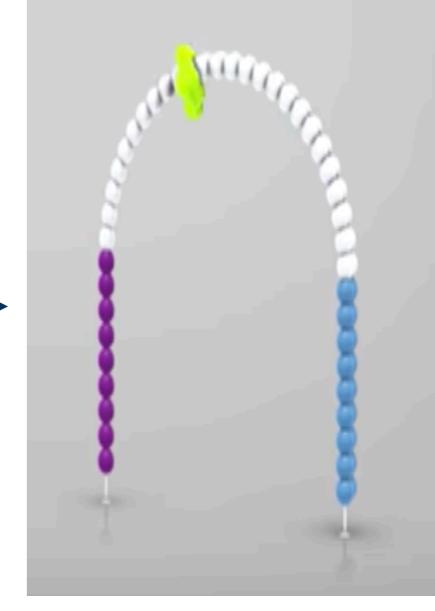
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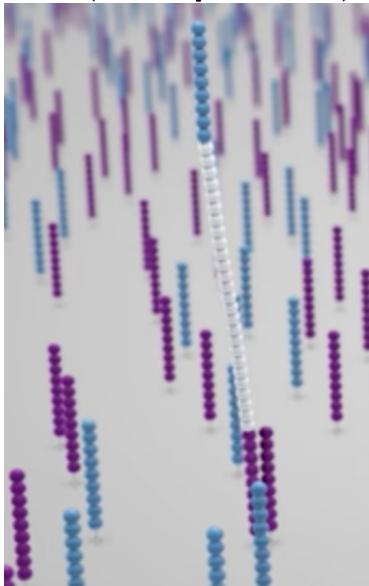
Synthesis of double
stranded bridge



Illumina Sequencing: Amplification

Clustering: Isothermal amplification of the DNA fragments on the solid surface

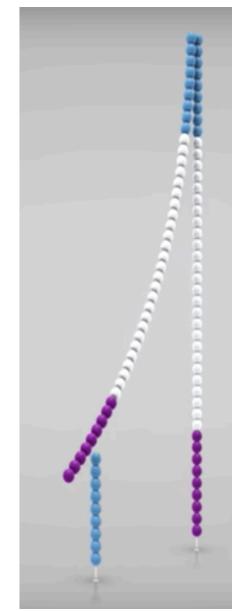
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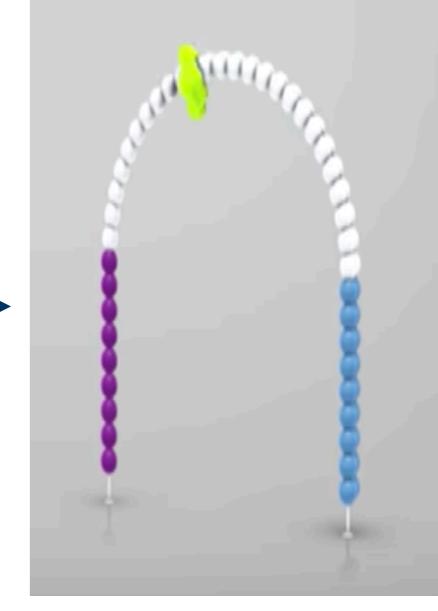
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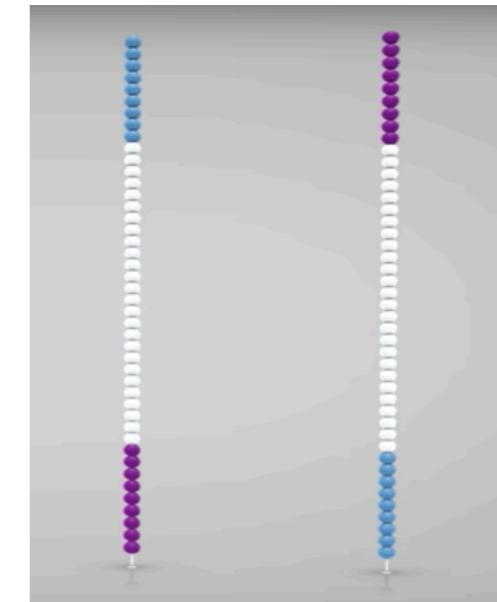
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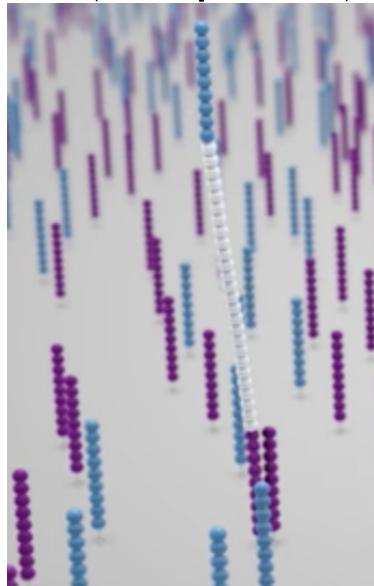
Single stranded DNA molecules
tethered to the flow cell



Illumina Sequencing: Amplification

Clustering: Isothermal amplification of the DNA fragments on the solid surface

Hybridization of
fragments
(templates)



Synthesis of
dsDNA



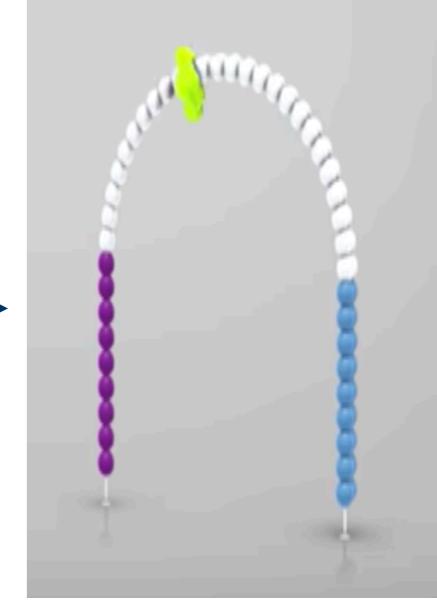
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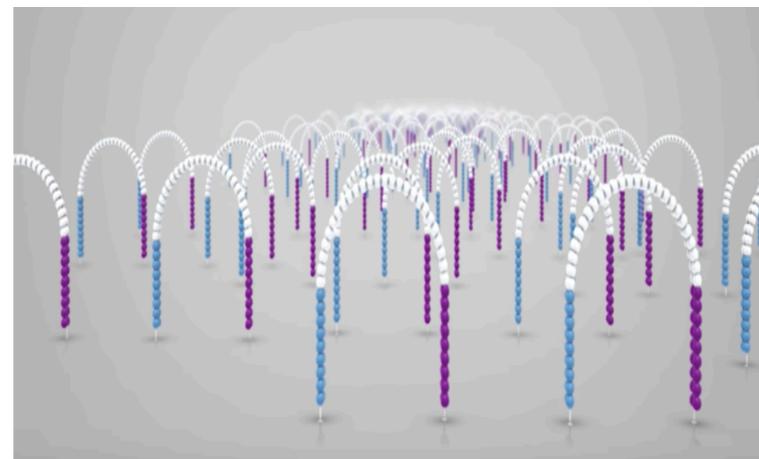
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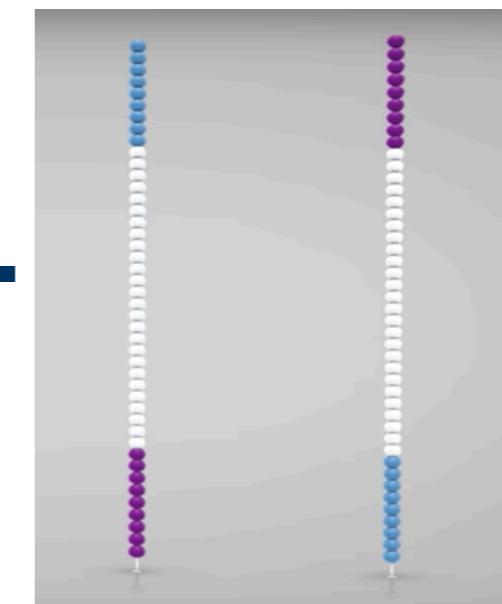
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Repeat in parallel



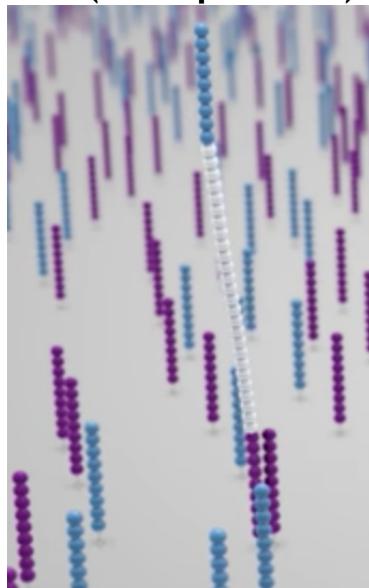
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Synthesis of
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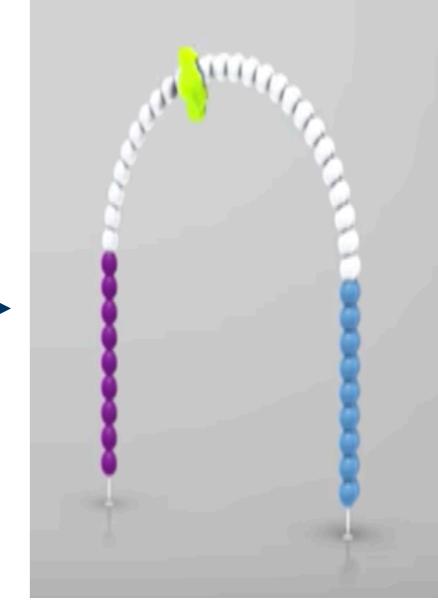
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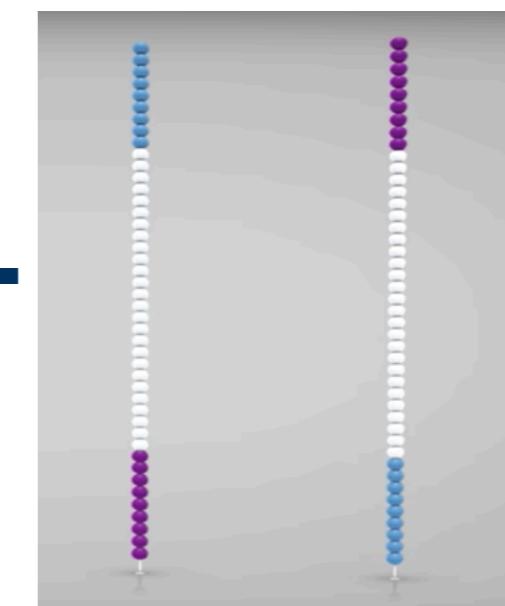
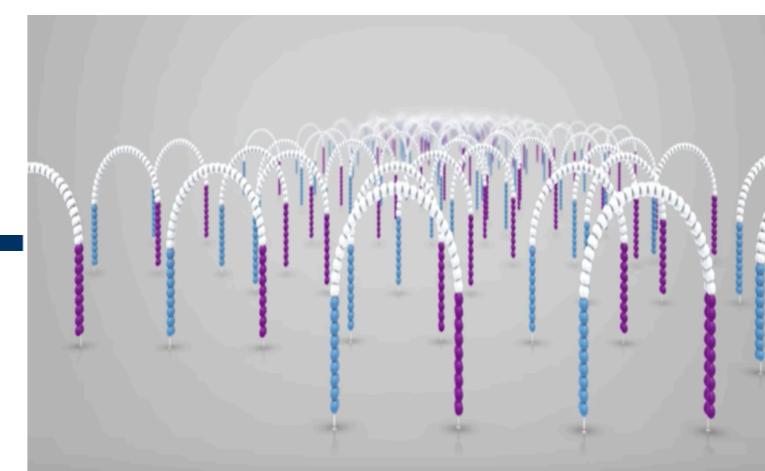
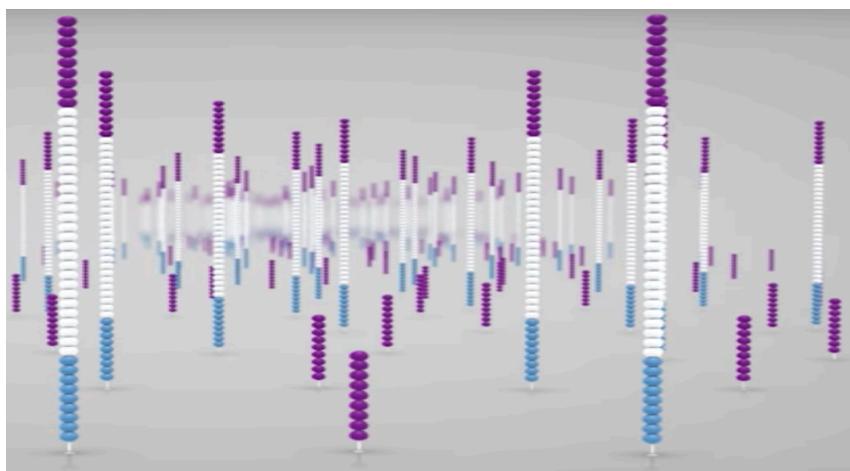
Synthesis of double
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Millions of copies of forward Strand
DNA tethered to the flow cell

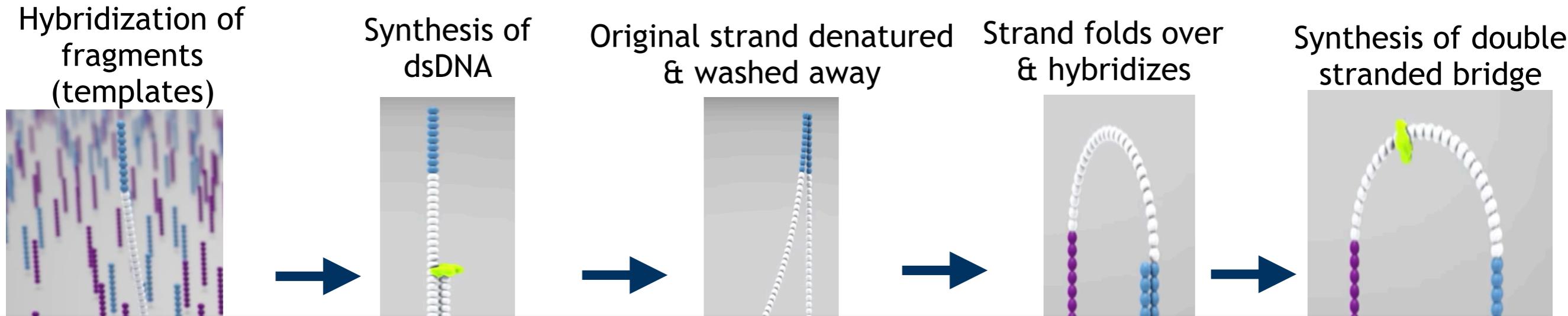
Repeat in parallel

Single stranded DNA molecules
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Illumina Sequencing: Amplification

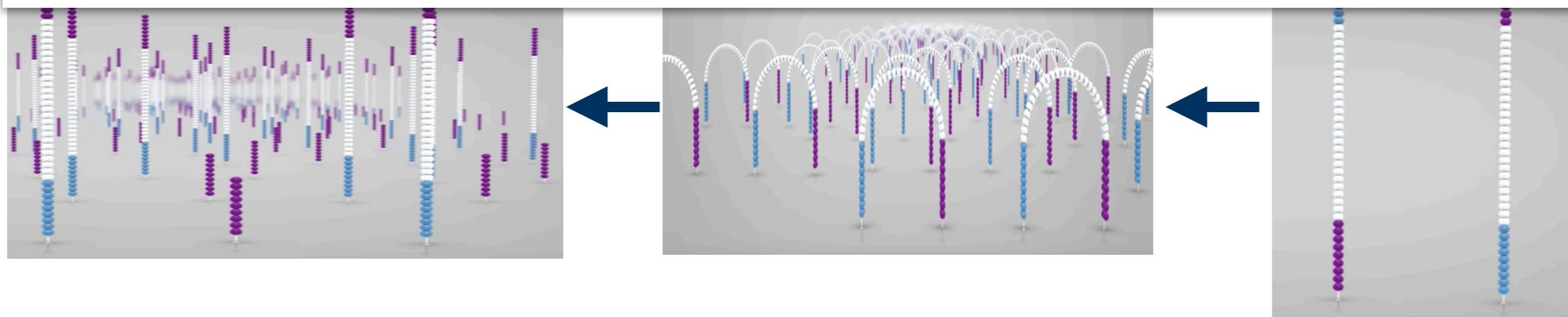
Clustering: Isothermal amplification of the DNA fragments on the solid surface



Cluster formation is a type of PCR ("bridge amplification")

PCR can introduce preferential amplification of some fragments

PCR can introduce artifacts, which will lead to false positive variants



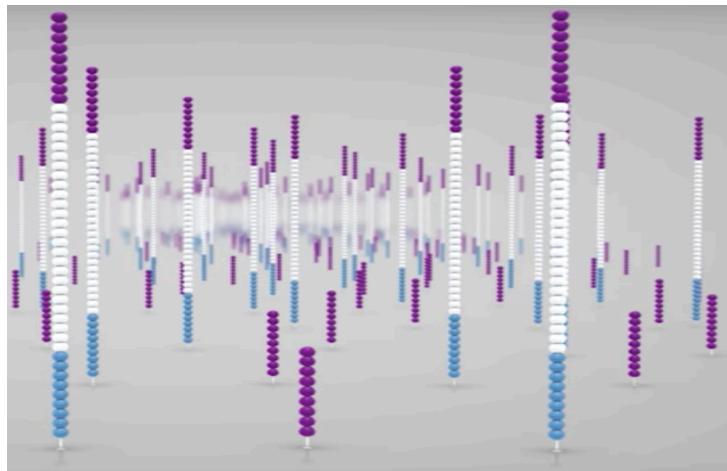
Illumina Sequencing: Sequencing by synthesis

number of cycles => read length

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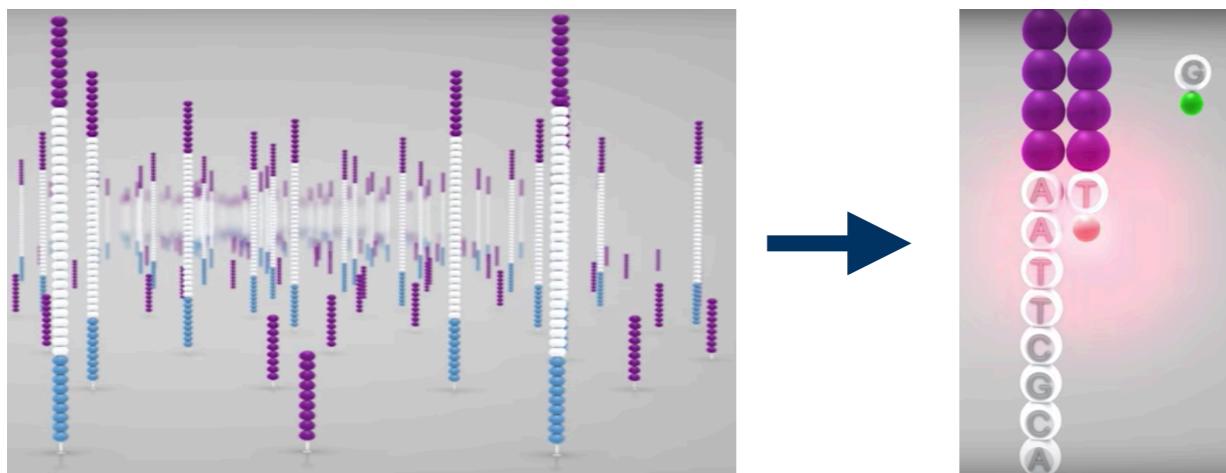


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Sequencing primer
extended, sequencing
begins



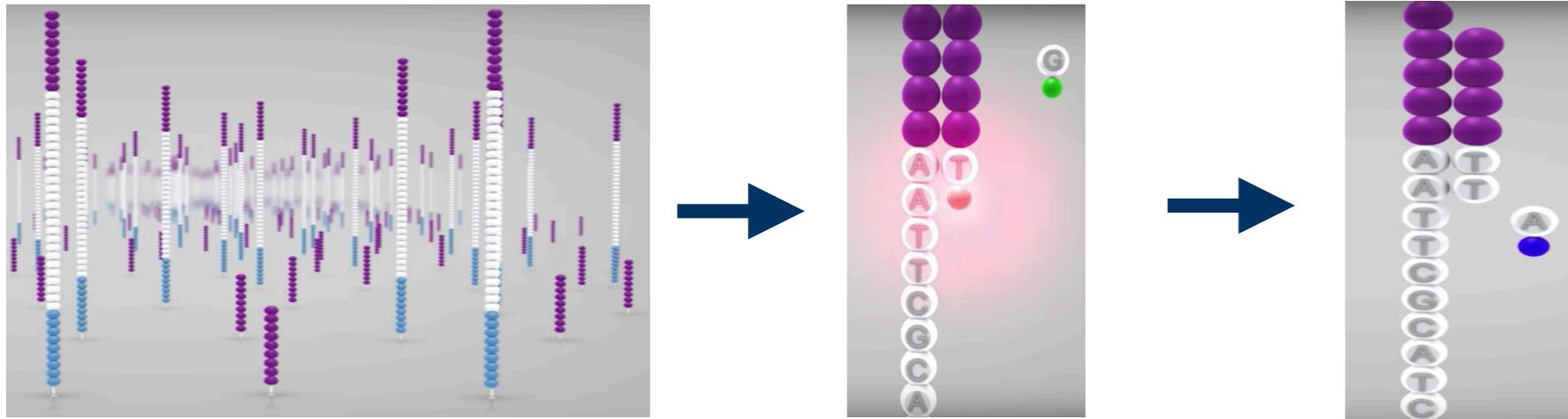
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In each cycle, only one
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nucleotide is incorporated



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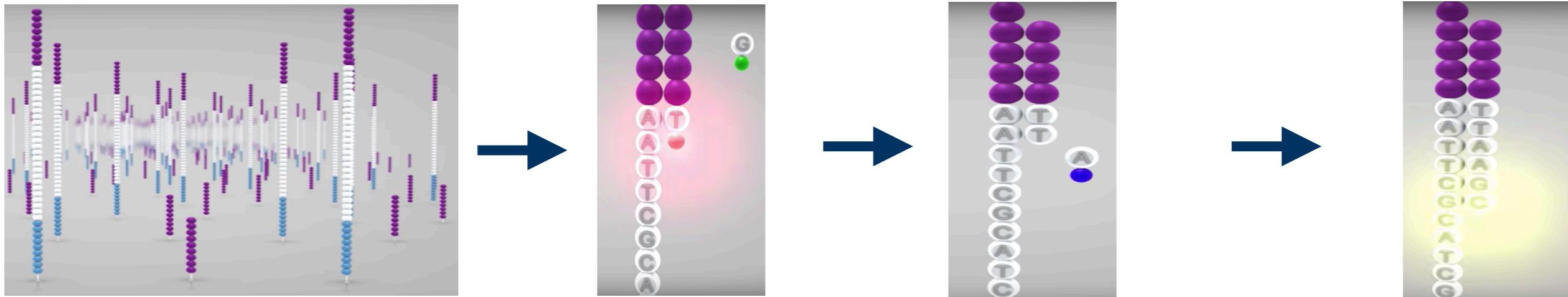
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Excitation & Emission:

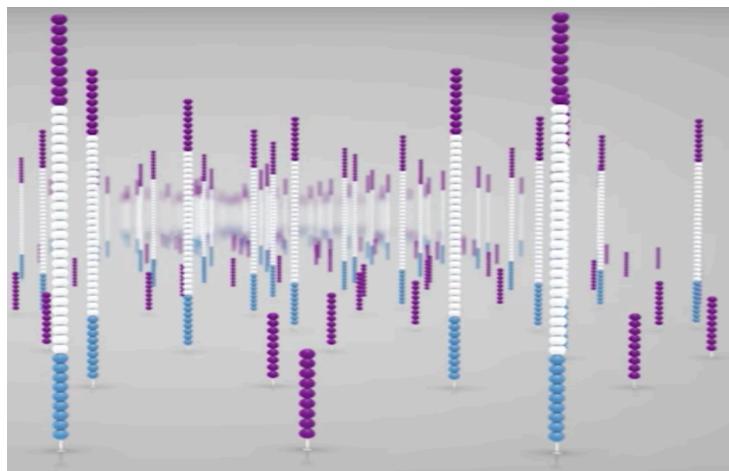
After nucleotide addition,
clusters are excited by a
light source



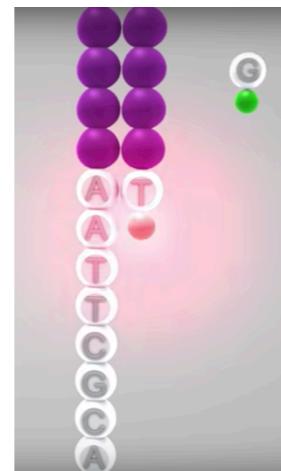
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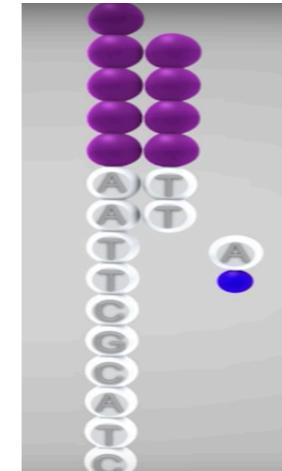
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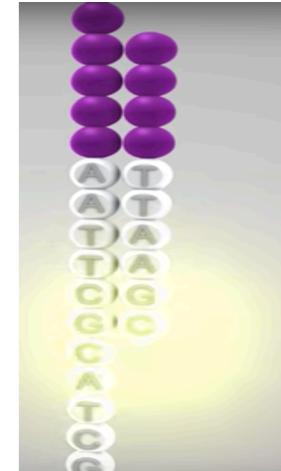


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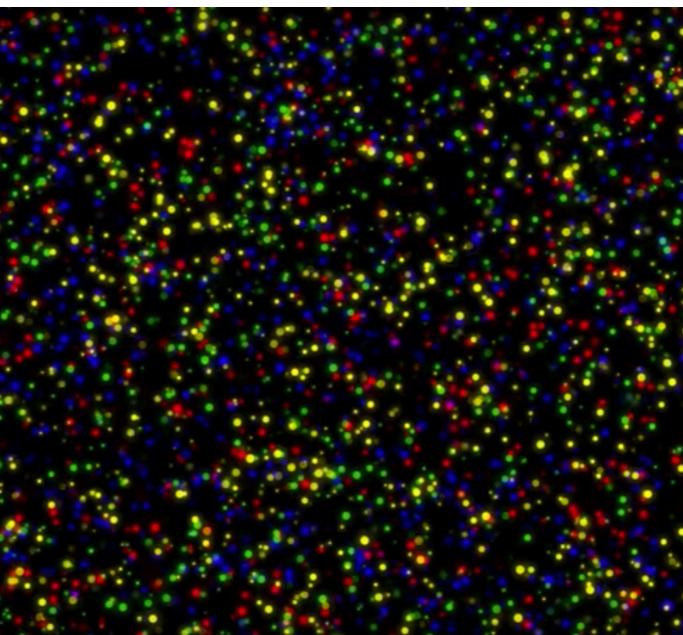


Excitation & Emission:

After nucleotide addition,
clusters are excited by a
light source



Four images taken per
sequencing cycle



Illumina Sequencing: Sequencing by synthesis

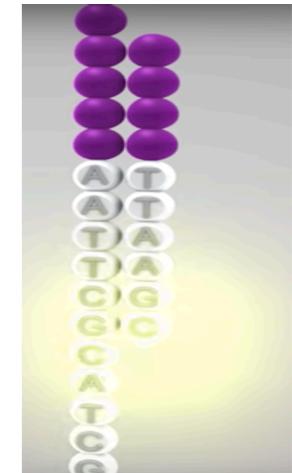
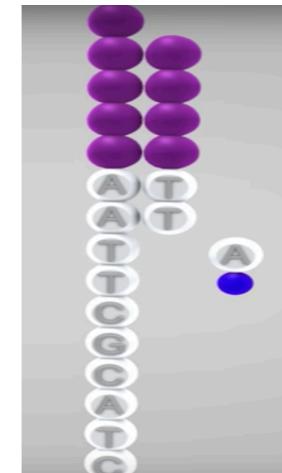
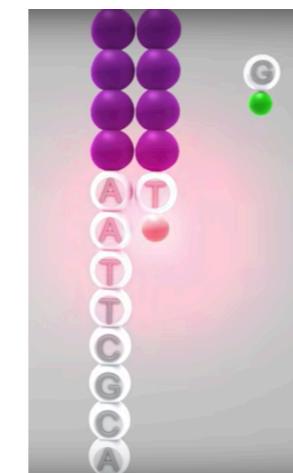
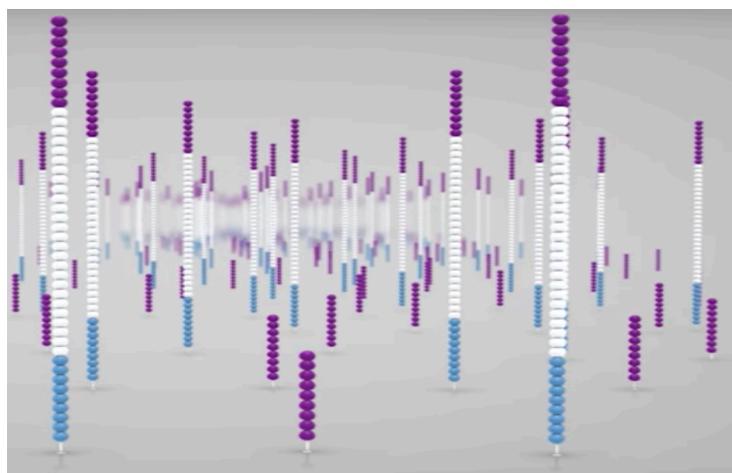
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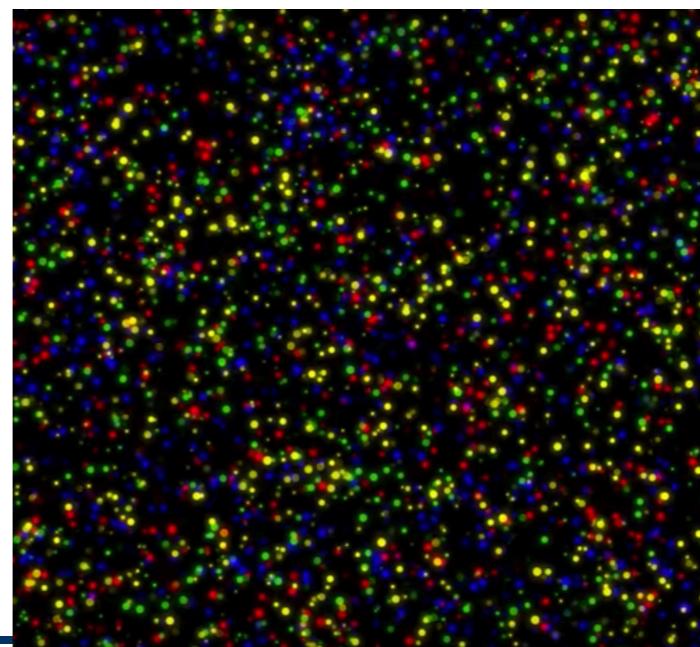
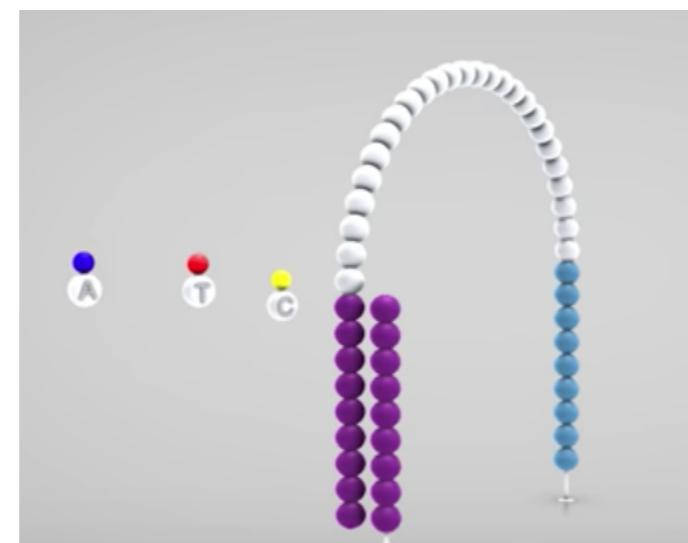
Excitation & Emission:
After nucleotide addition,
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light source



Generate Read 2, repeating for
the reverse strand

Four images taken per
sequencing cycle

...



Illumina Platforms



Miniseq

- small, benchtop
- 2x150 bp
- Two output modes:
 - high: ~6 Gb
 - mid: ~2 Gb



MiSeq

- benchtop
- v3 chemistry offers 2x300 bp reads
- Reverse read quality drops after ~200th cycle
- Throughput: 25 million reads/lane



Illumina HiSeq

~3 billion paired 100bp reads
~600Gb, \$10K, 8 days
(or “rapid run” ~90Gb in 1-2 days)

Illumina X Ten

~6 billion paired 150bp reads
1.8Tb, <3 days, ~1000 / genome(\$\$)
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Illumina NextSeq

One human genome in <30 hours

Illumina Platforms



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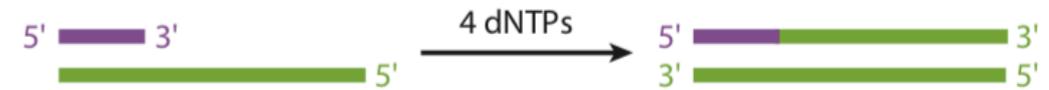
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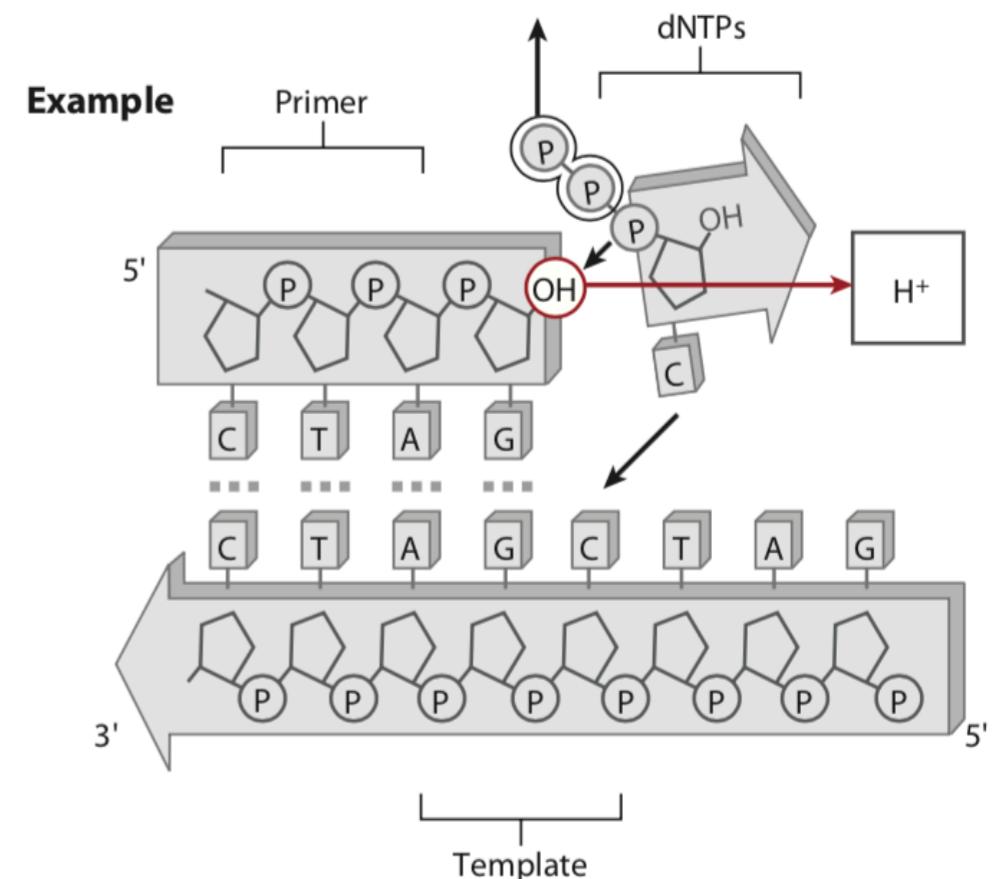
Ion Semiconductor Sequencing

Detection is electrochemical, no optics



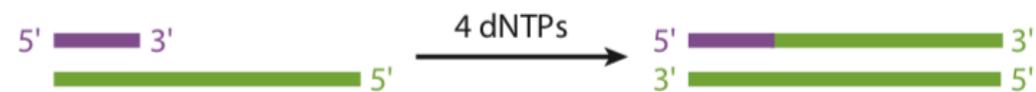
What is detected?

Hydrogen ions (H^+) released during nucleotide incorporation



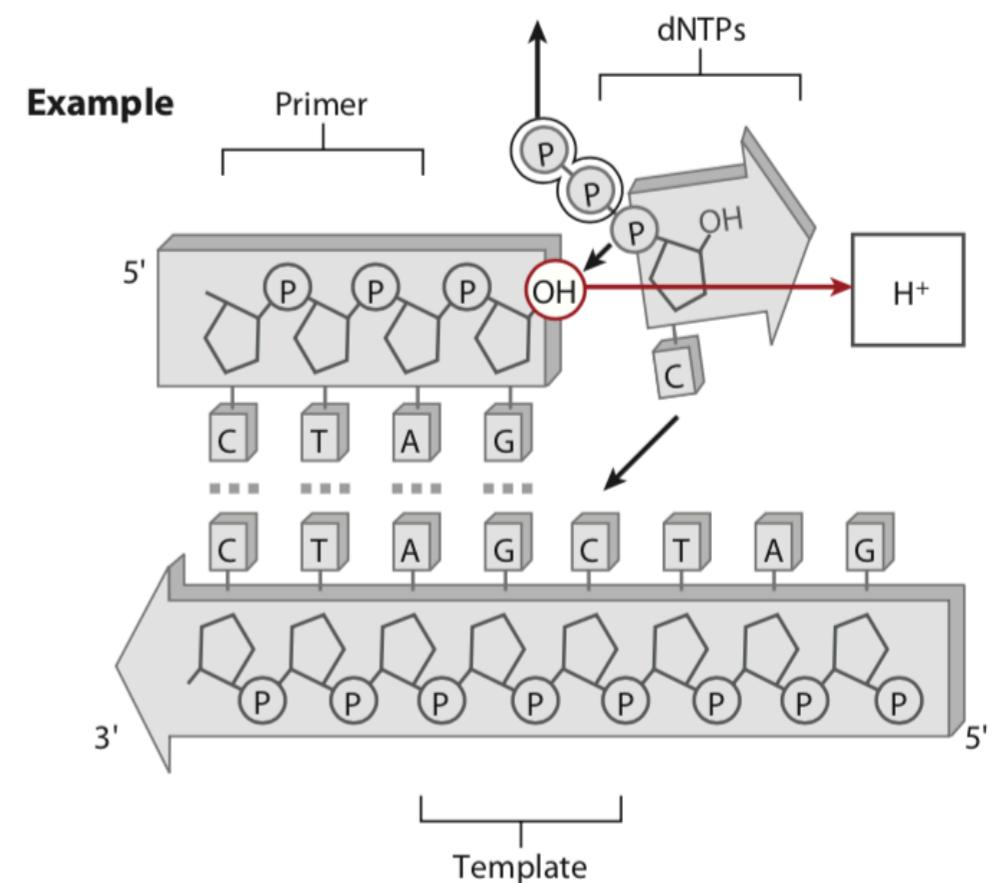
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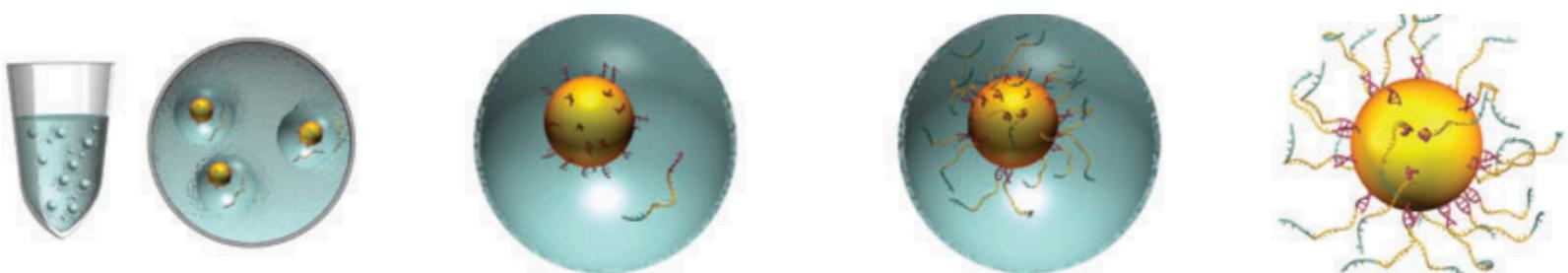
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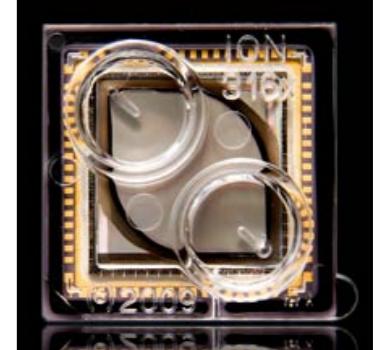
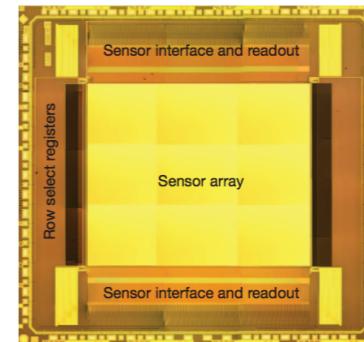
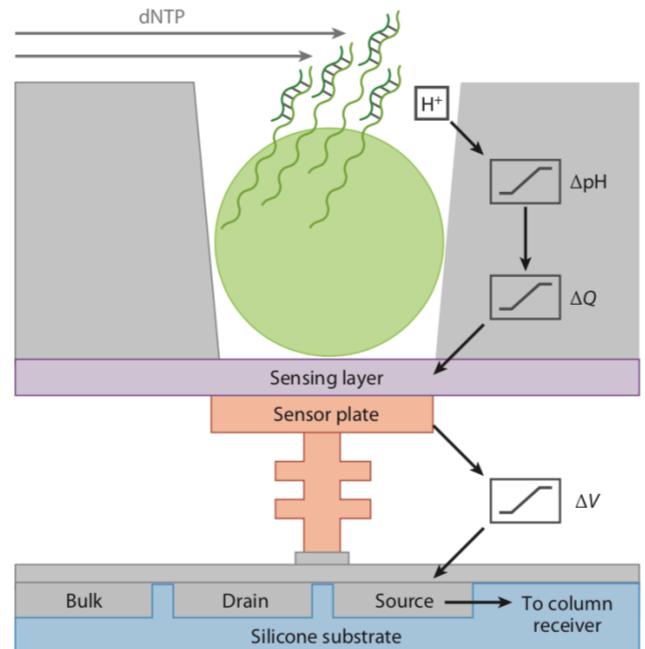
Amplification:

bead-based, emulsion-PCR



adapted from Mardis 2008. Annu. Rev. Genomics Hum. Genet.

Ion Semiconductor Sequencing



Rothberg et al. *Nature* 2011. An integrated semiconductor device enabling non-optical genome sequencing.

1-11 million wells

iontorrent

by Thermo Fisher Scientific

Ion Proton



Ion PGM

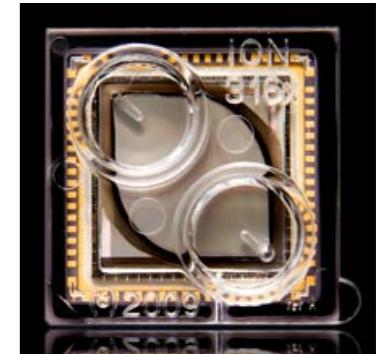
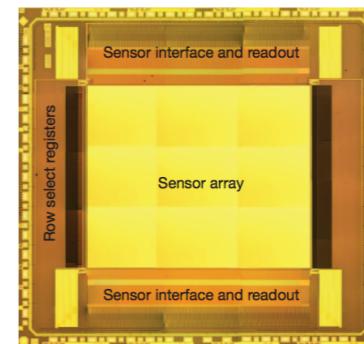
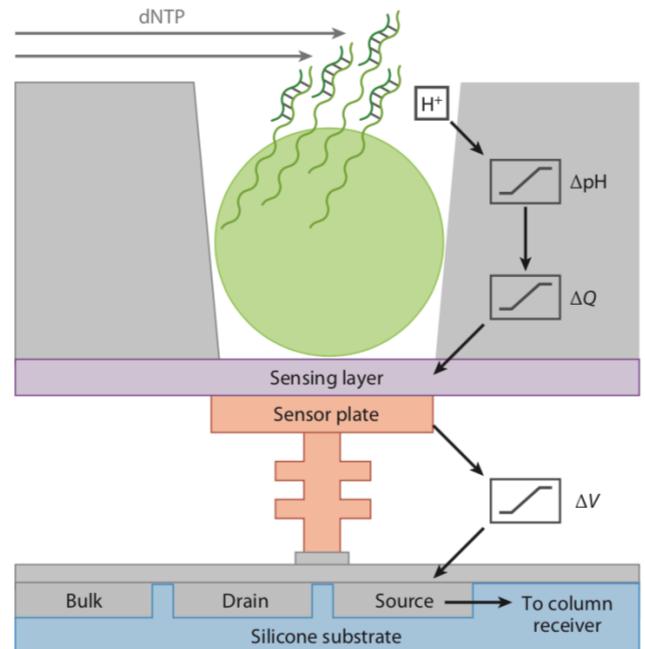


- linear dynamic range
- no substitution errors
- low startup cost
- short run time

- paired-end reads not supported
- higher error rates
- cost/base high
- short read lengths (200 bp)

Ion Semiconductor Sequencing

Semiconductor based pH-meter



Rothberg et al. *Nature* 2011. An integrated semiconductor device enabling non-optical genome sequencing.

1-11 million wells

iontorrent

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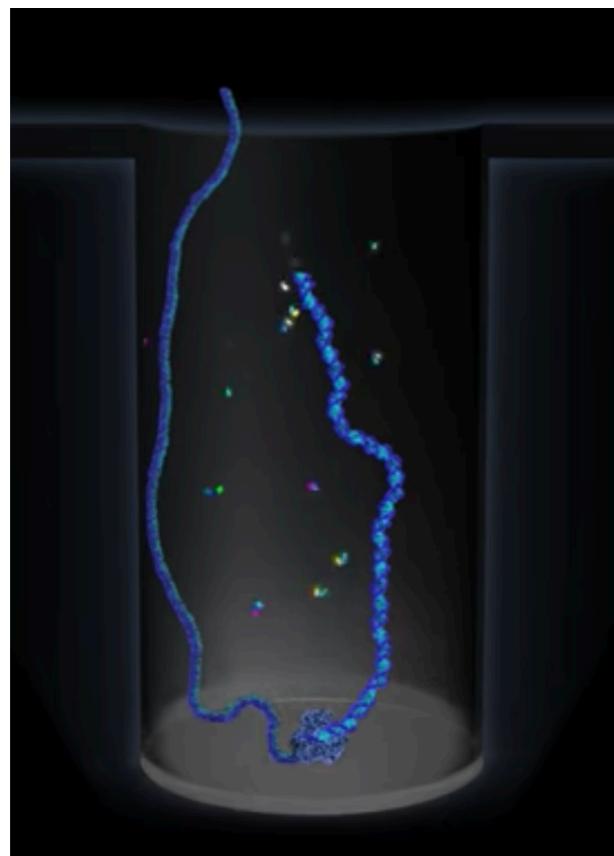
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Third-generation Sequencing

**Single Molecule
Sequencing**

**"Real-time"
Sequencing**

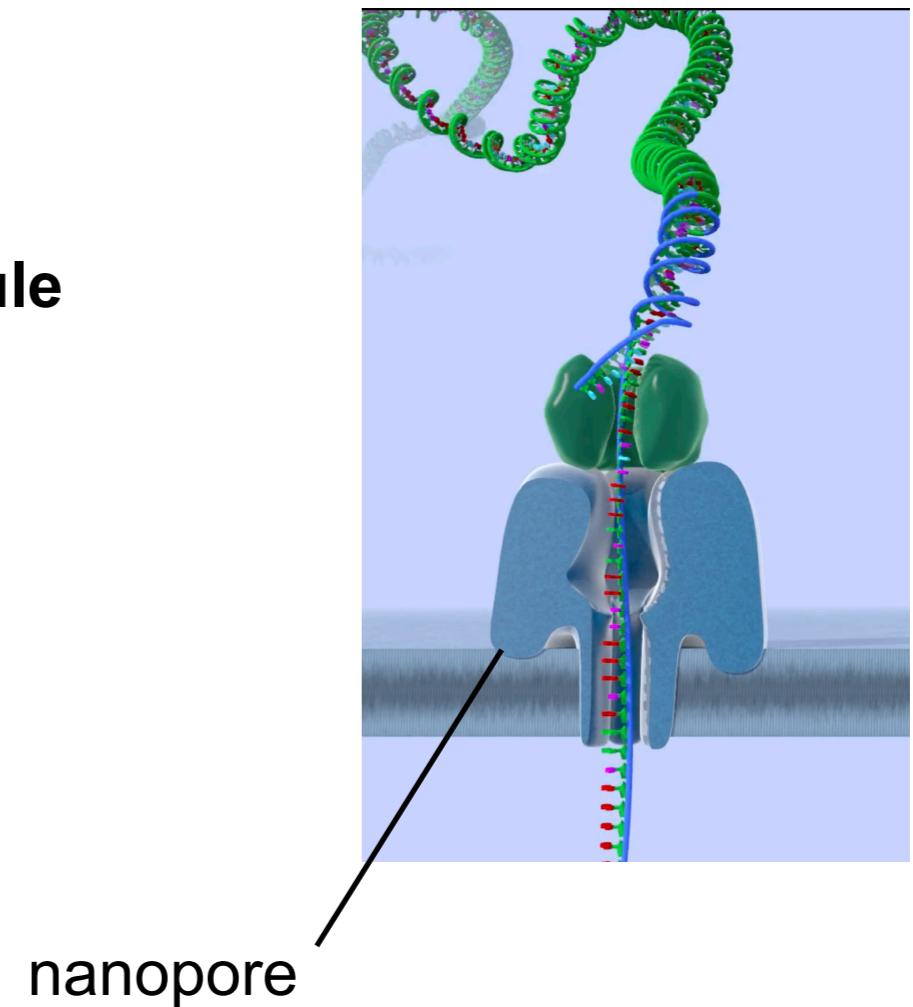
Third-generation Sequencing



nano-well

**Single Molecule
Sequencing**

**"Real-time"
Sequencing**



nanopore

PacBio Single Molecule Sequencing using Zero-mode Waveguides

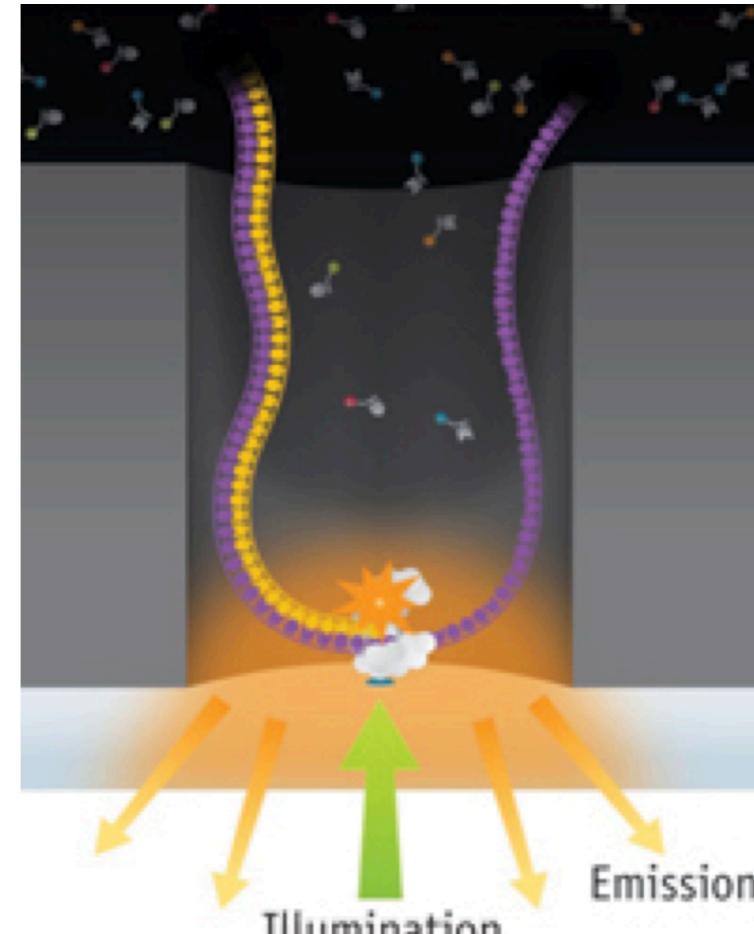
Zero-mode Waveguide (ZMW):

provides *zeptoliter* (10^{-21}) scale detection volume



SMRT Cell:

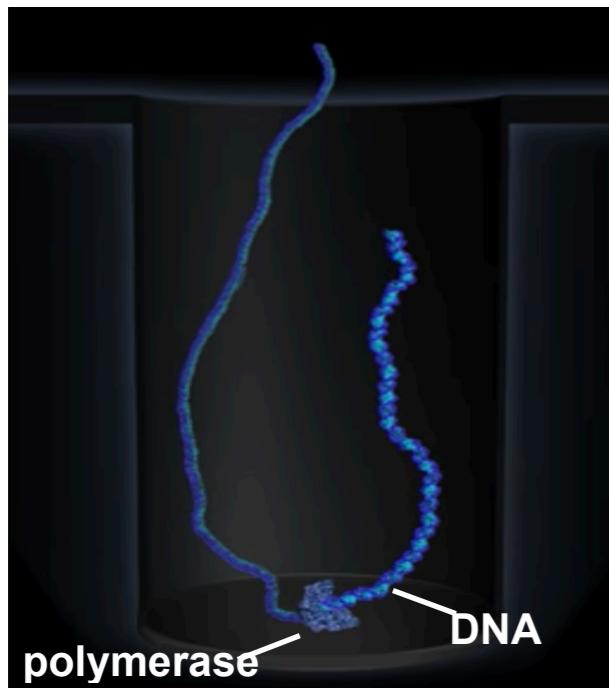
nanofabricated array of ZMWs



Zero-mode Waveguide (ZMW)

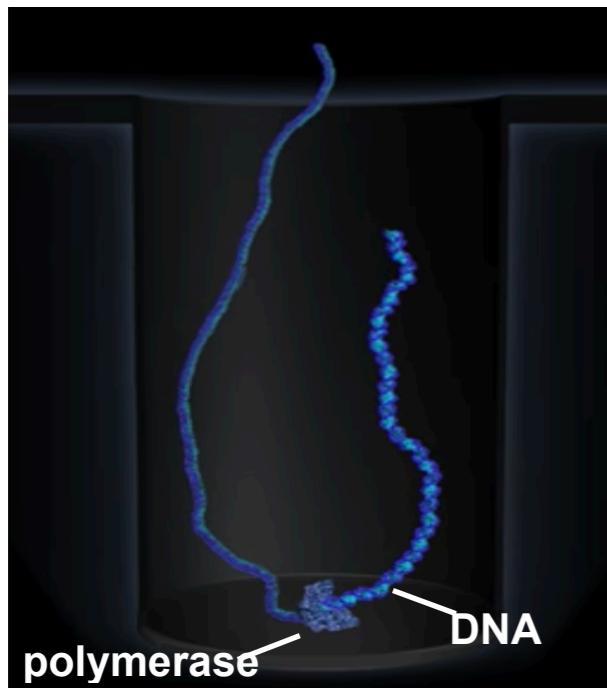
PacBio Sequencing: Single-molecule, real time sequencing

I. DNA:polymerase complex,
immobilized at the bottom of ZMW

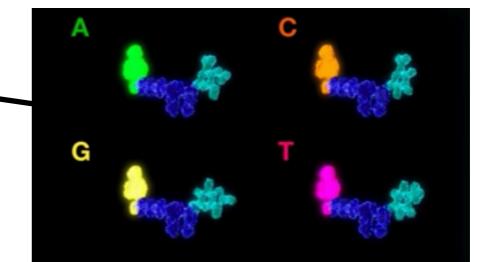
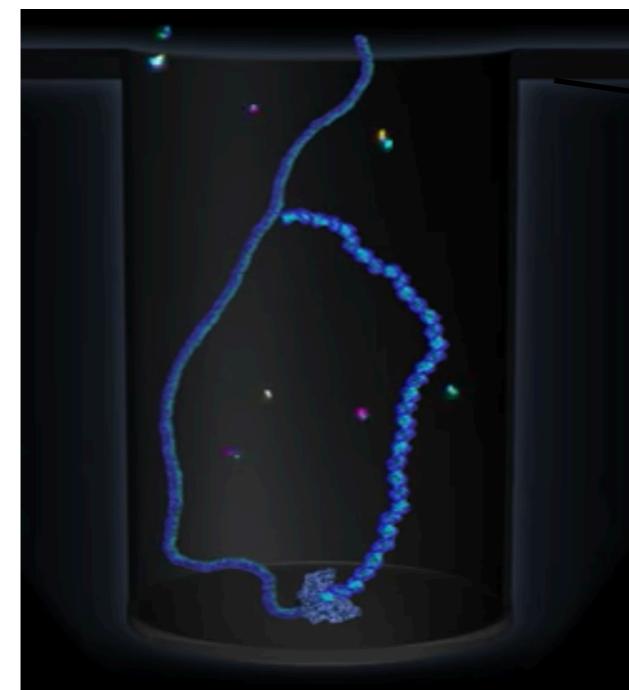


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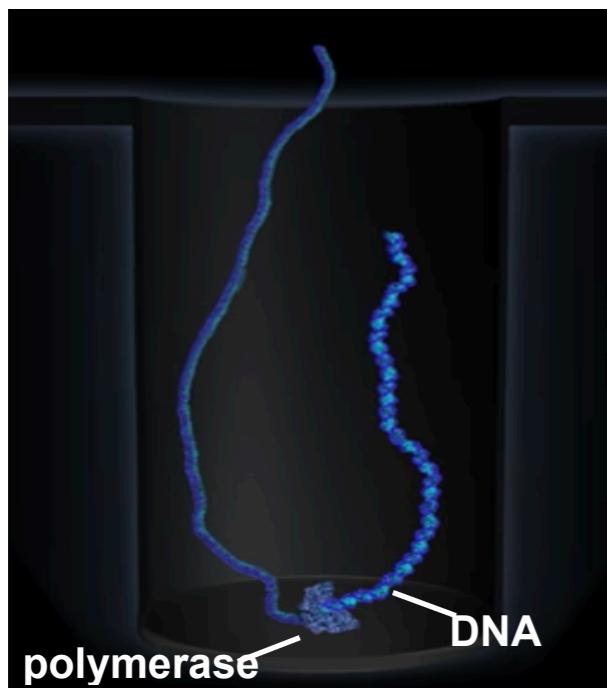
II. Flow in fluorescently labeled nucleotides



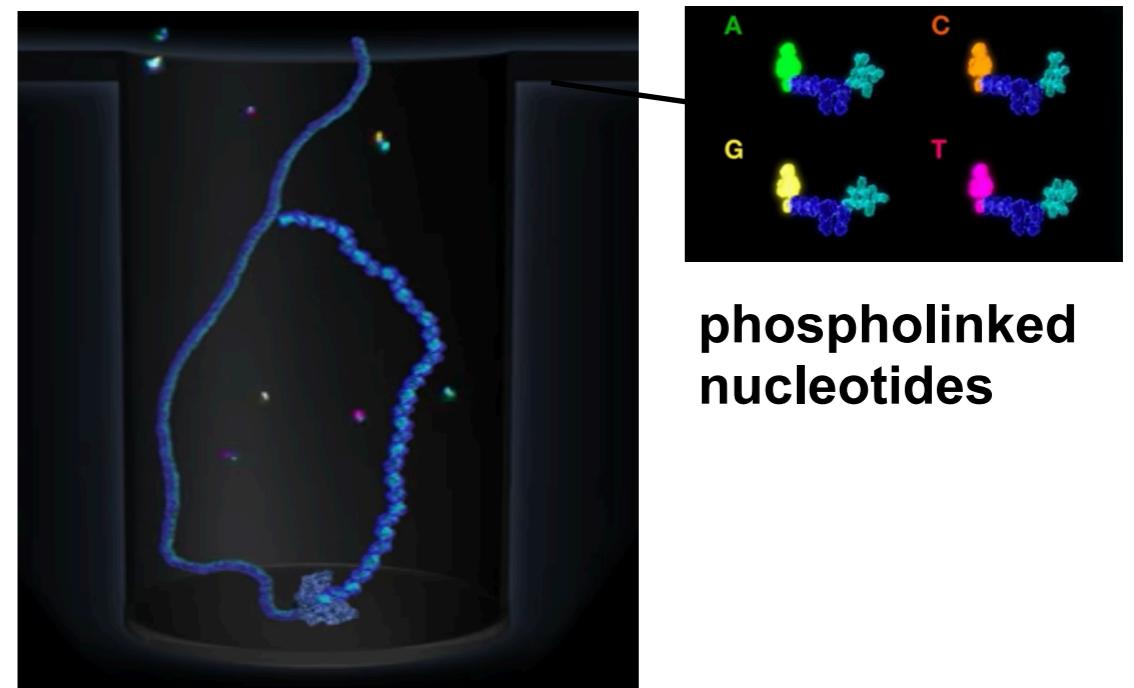
phospholinked
nucleotides

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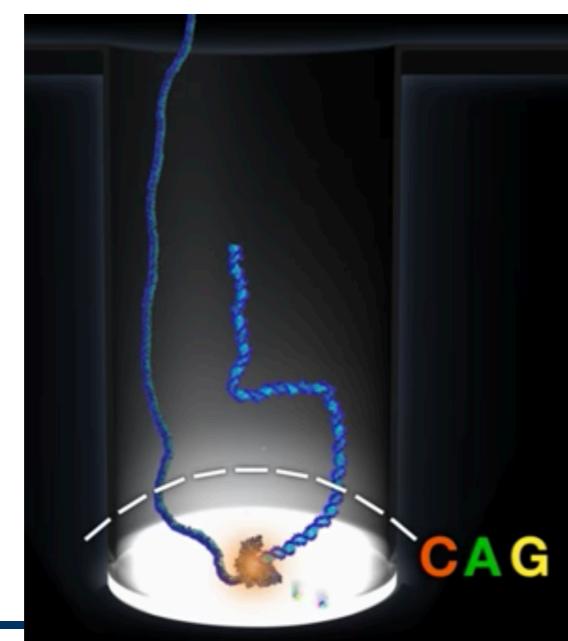


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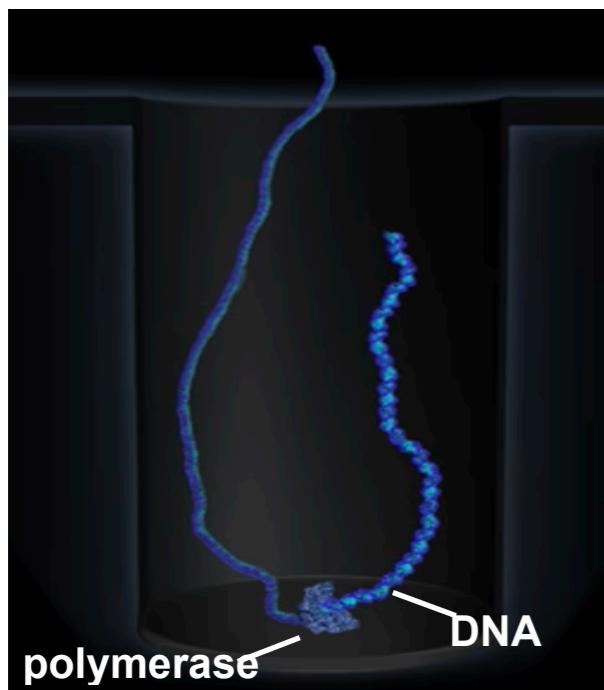
phospholinked
nucleotides

III. Fluorescent nucleotide in the active site, a light pulse is produced

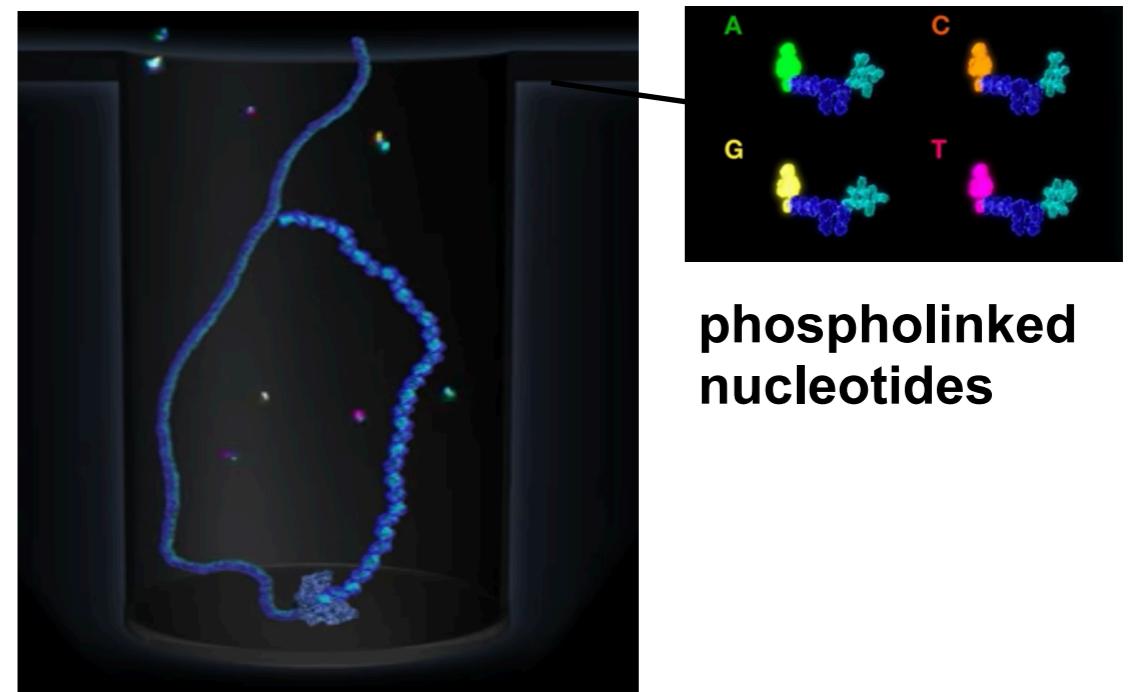


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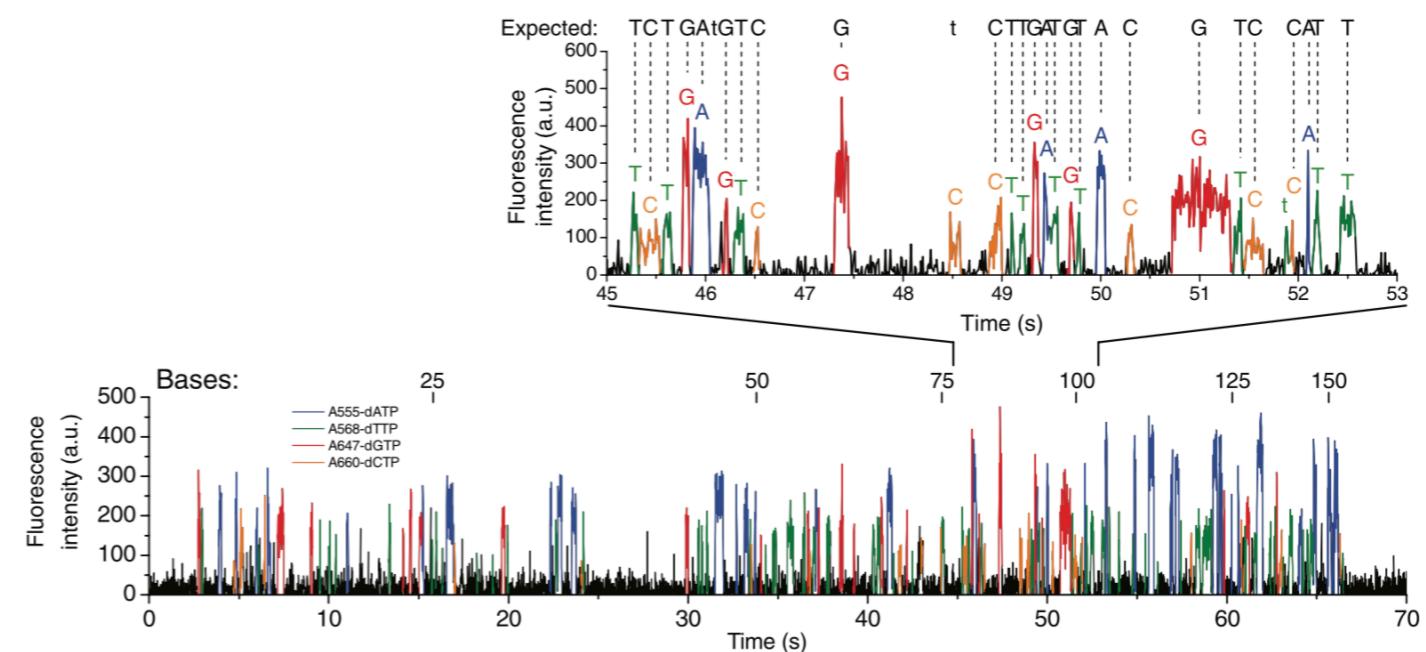
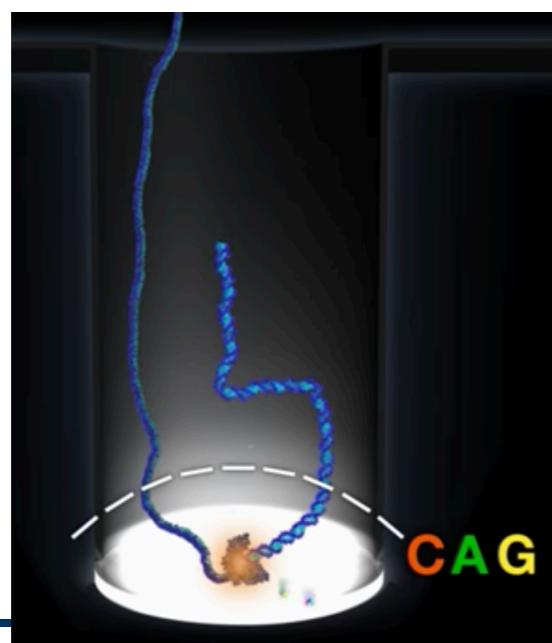


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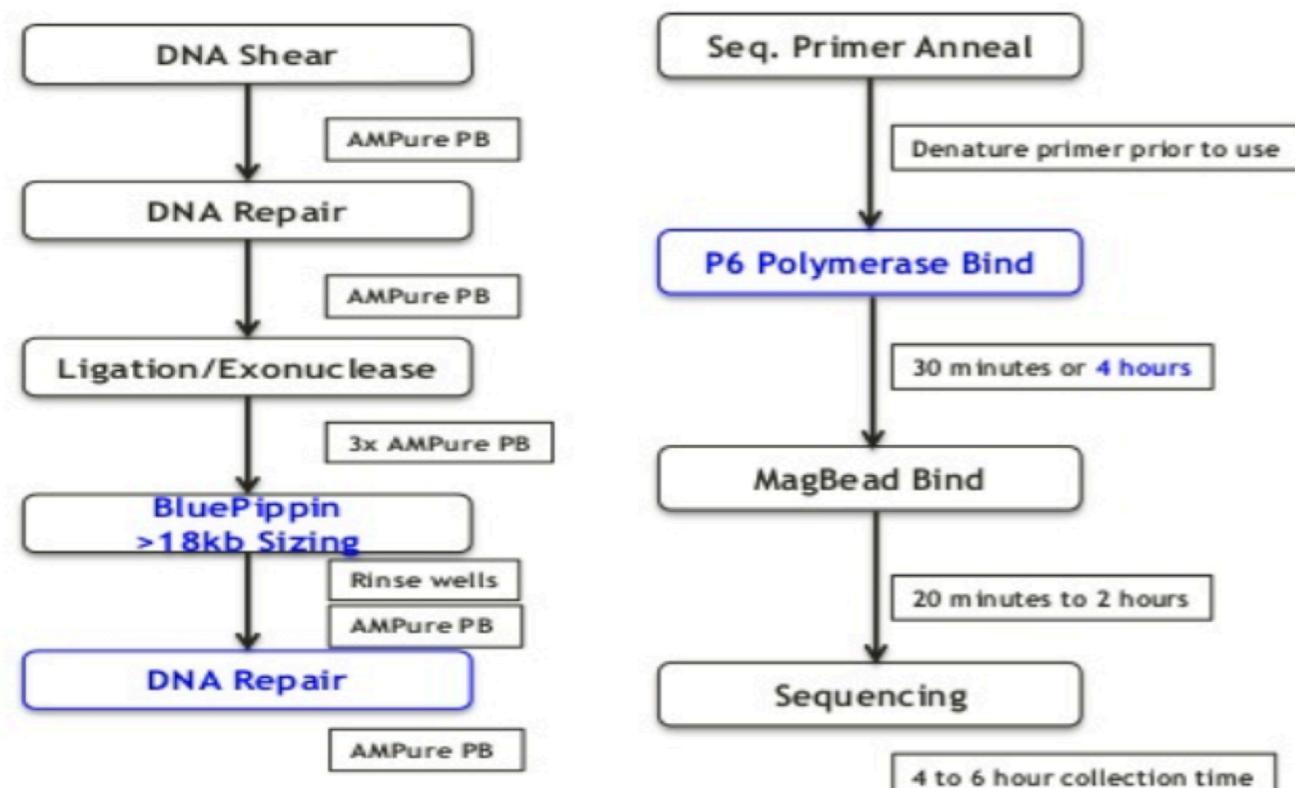
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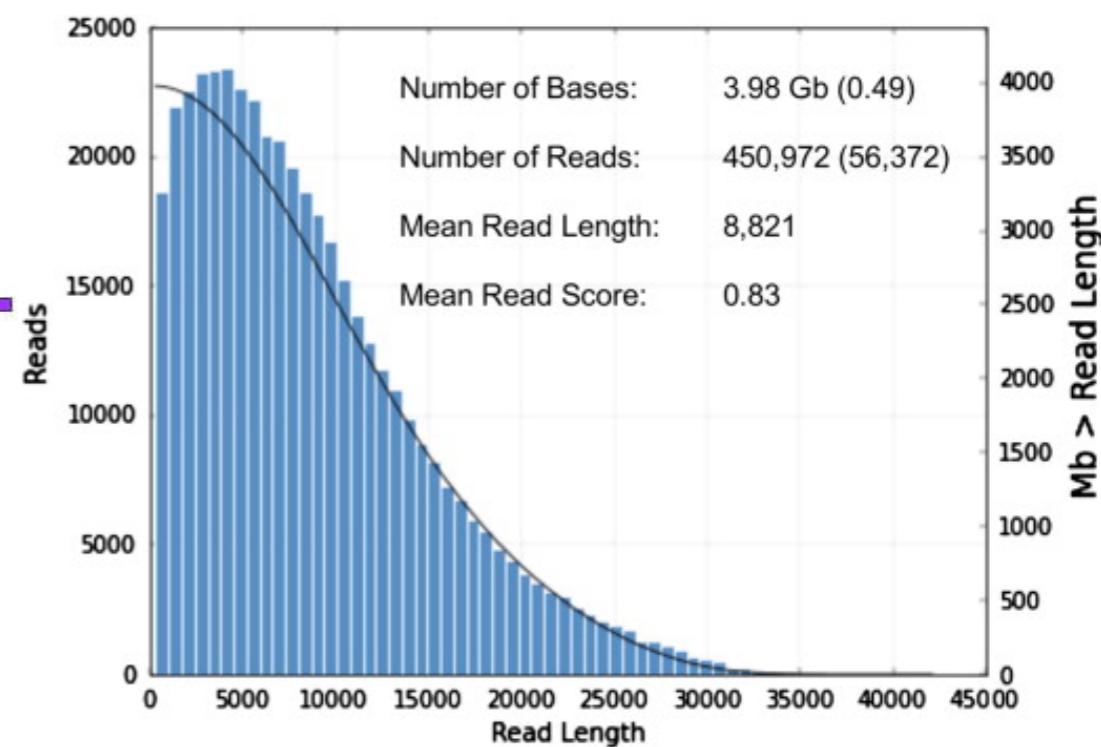
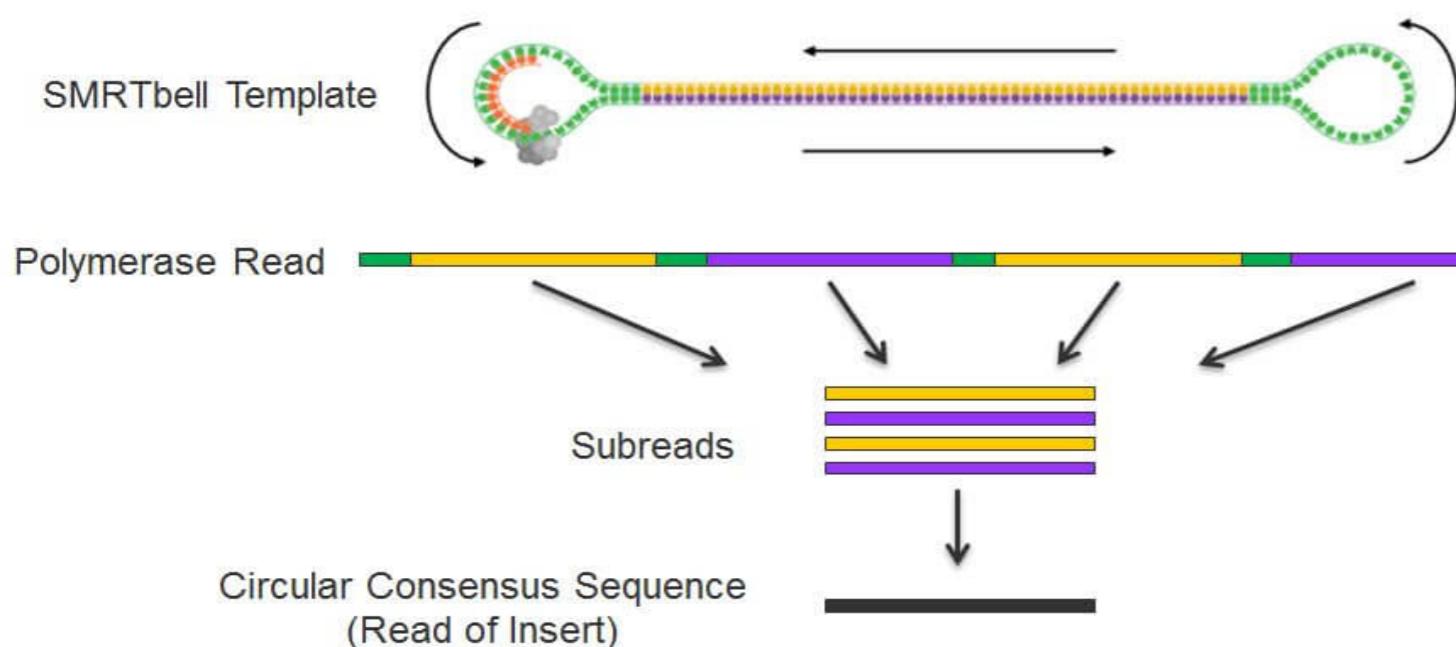
Eid et al. 2009. *Science. Real-Time DNA Sequencing from Single Polymerase Molecules*

PacBio Sequencing: Library Workflows

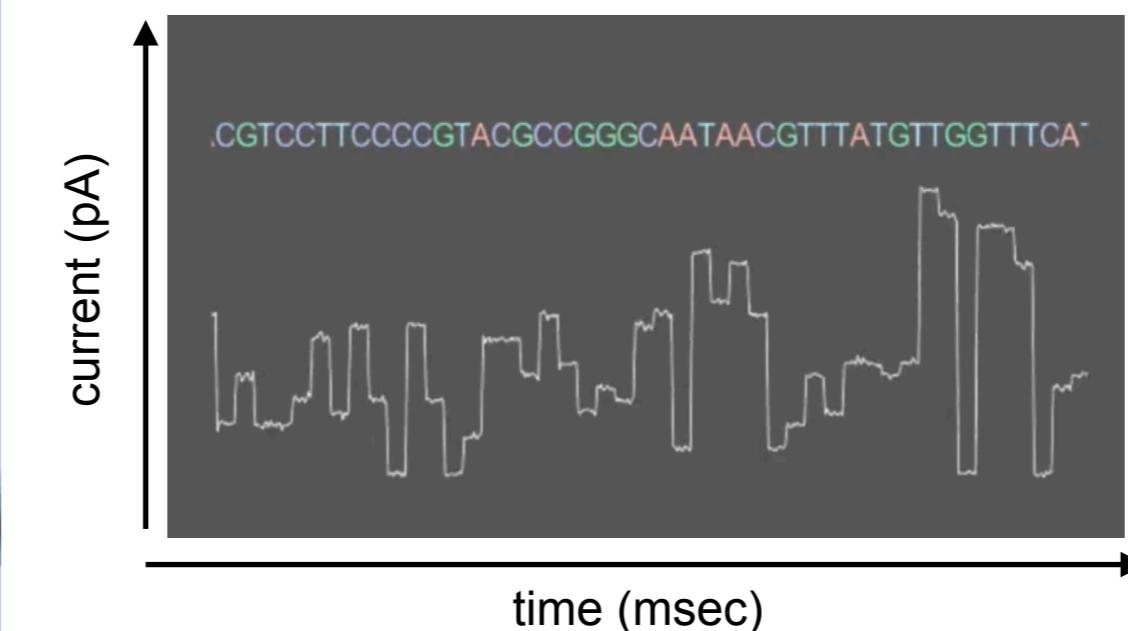
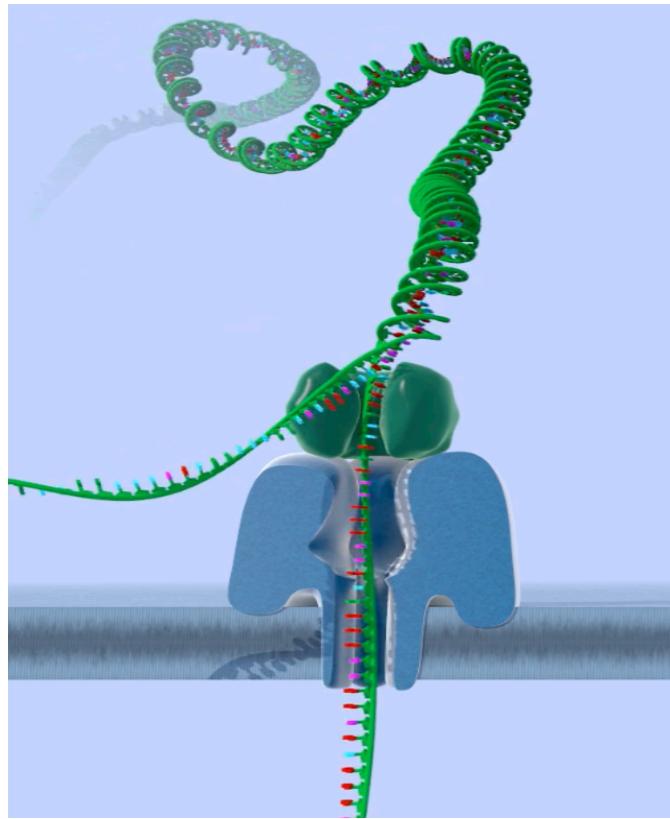
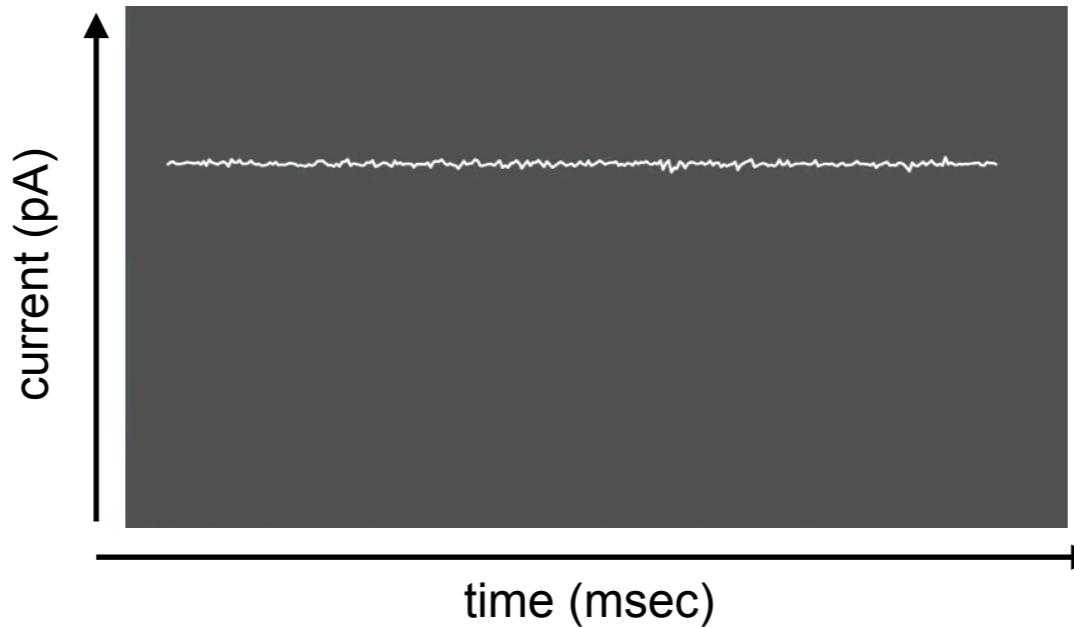
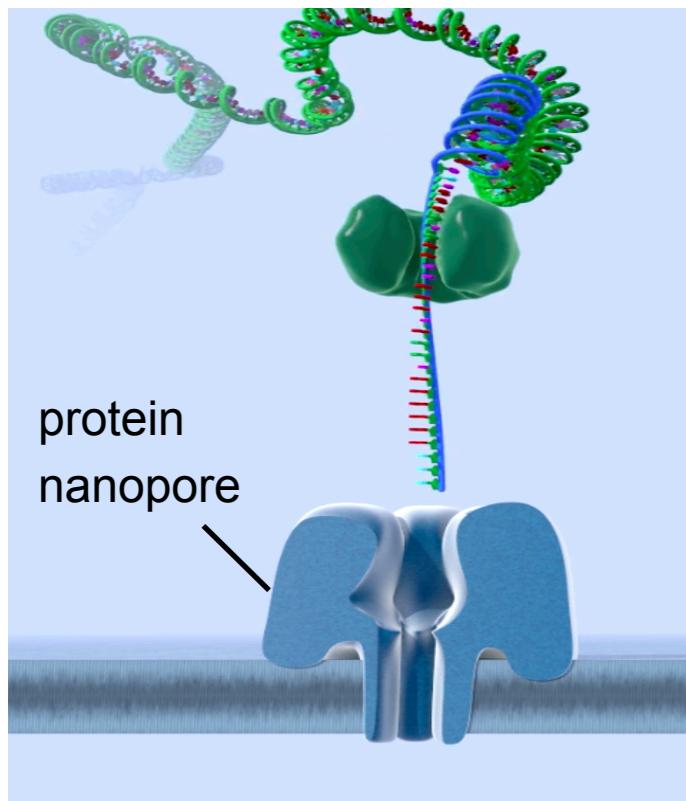


Considerations

- High-quality, high molecular weight DNA
- Consistent shearing > 30 kb



Nanopore Sequencing



- Electrical current based detection of nucleotides in the pore
- Variable read lengths
- Error rate: 5-15%

Oxford Nanopore Sequencing Device



- Handheld
- Low power
- Low capital cost (\$1000)



NGS vs. Single-Molecule Sequencing

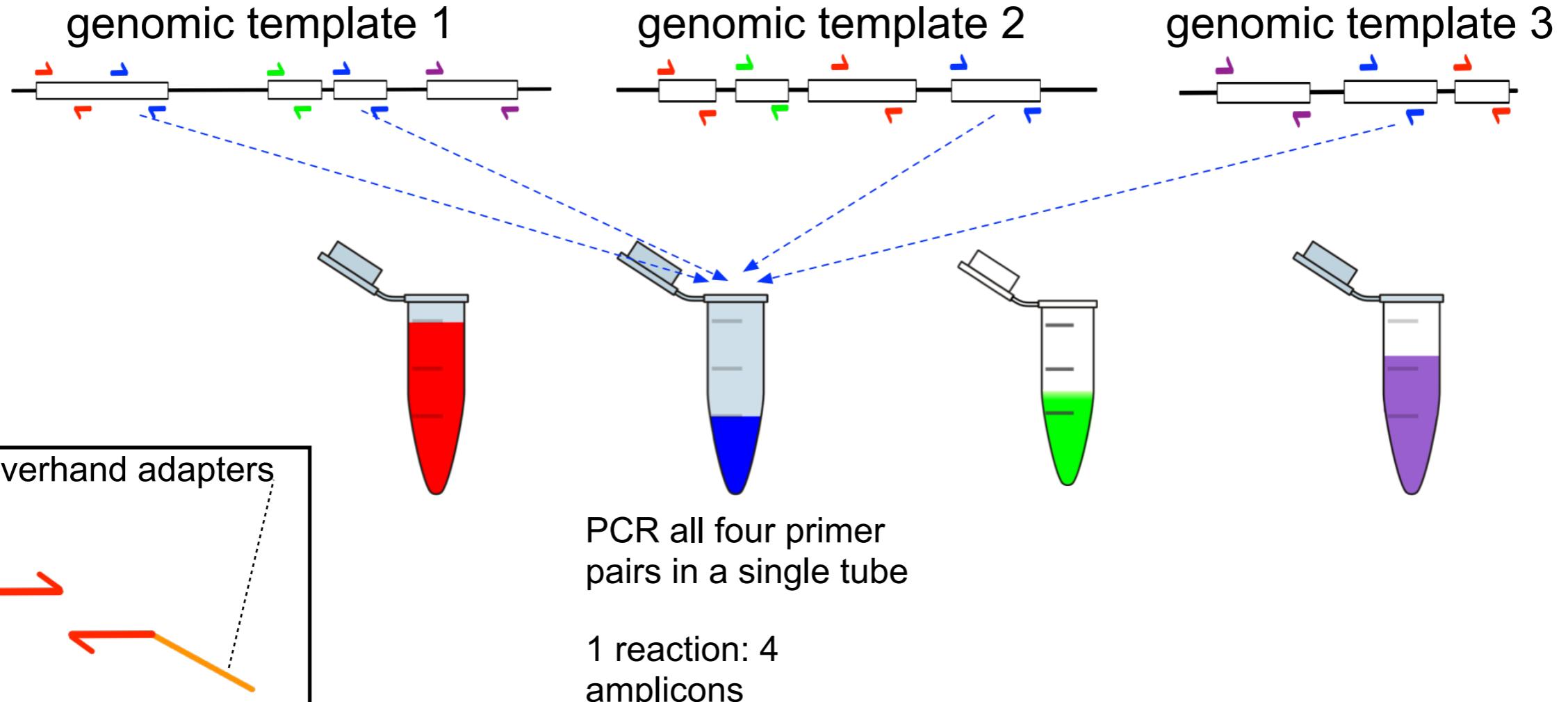
	Next-generation	Single Molecule
Amplification	needed	none
Cost (startup)	high	low
Cost (per bp)	low	high
Run Time	hours (IonTorrent)-days (Illumina)	hours
Read Length	short (<400bp)	long
Error Rate	low	high

Targeted Enrichment and Sequencing

- **Hybridization-based**
- **PCR-based**

Multiplex PCR Panels

12 primer pairs

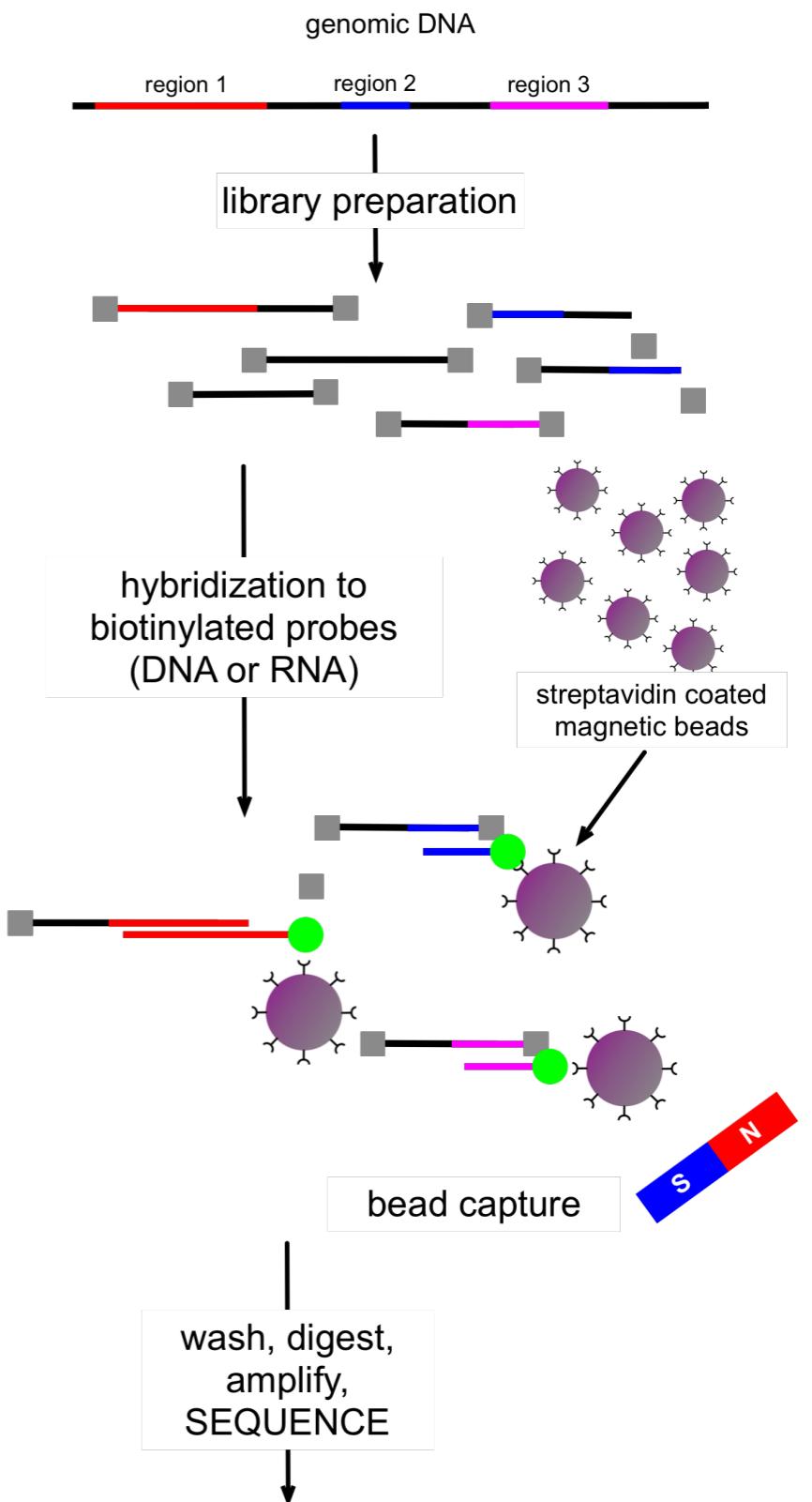
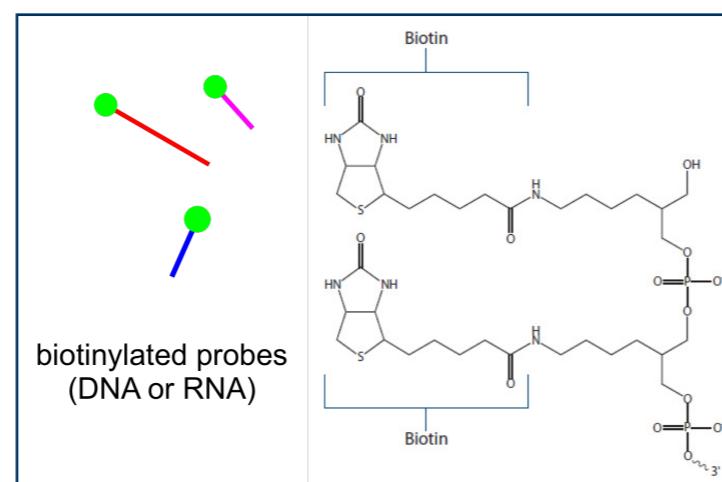
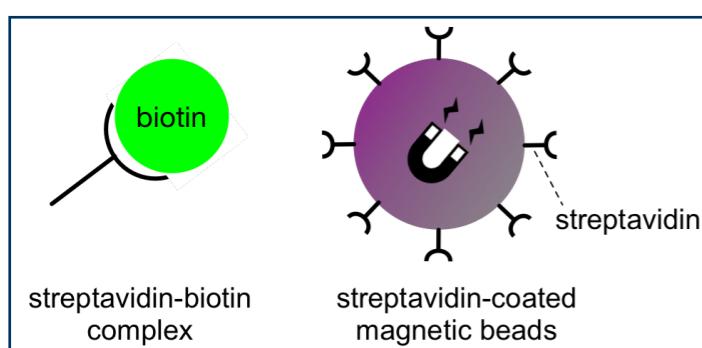


- Design primer pairs for targets, longer regions might need tiled primers
- Group primer pairs according to GC content, Tm and reaction condition specifics
- Amplify genomic DNA to generate multiple products from each primer set, pool products
- Create sequencing library by ligation or tail platform specific adaptors on the primer ends
- SEQUENCE

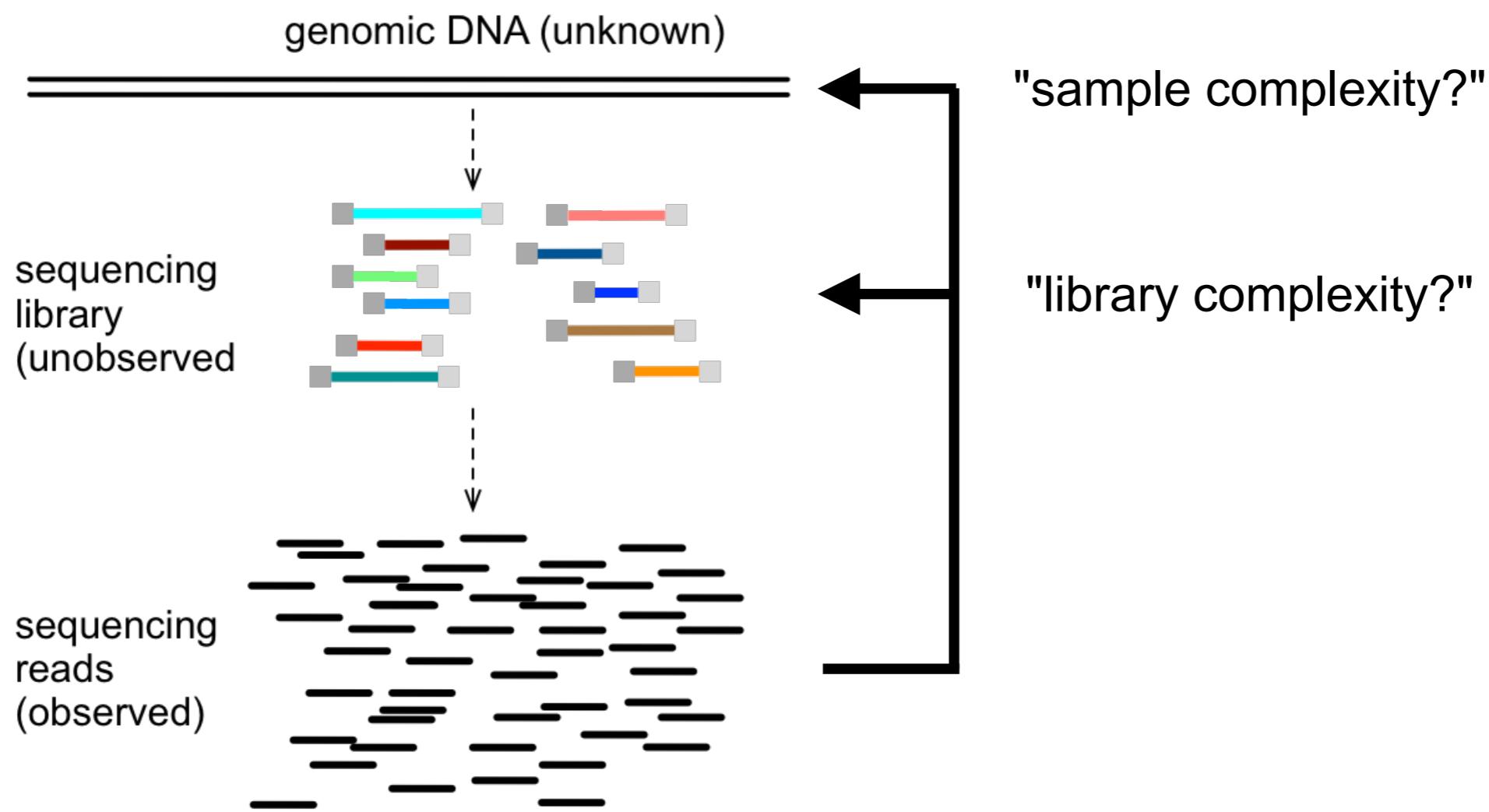
Hybrid Capture (since ~2010)

"subsetting the genome"

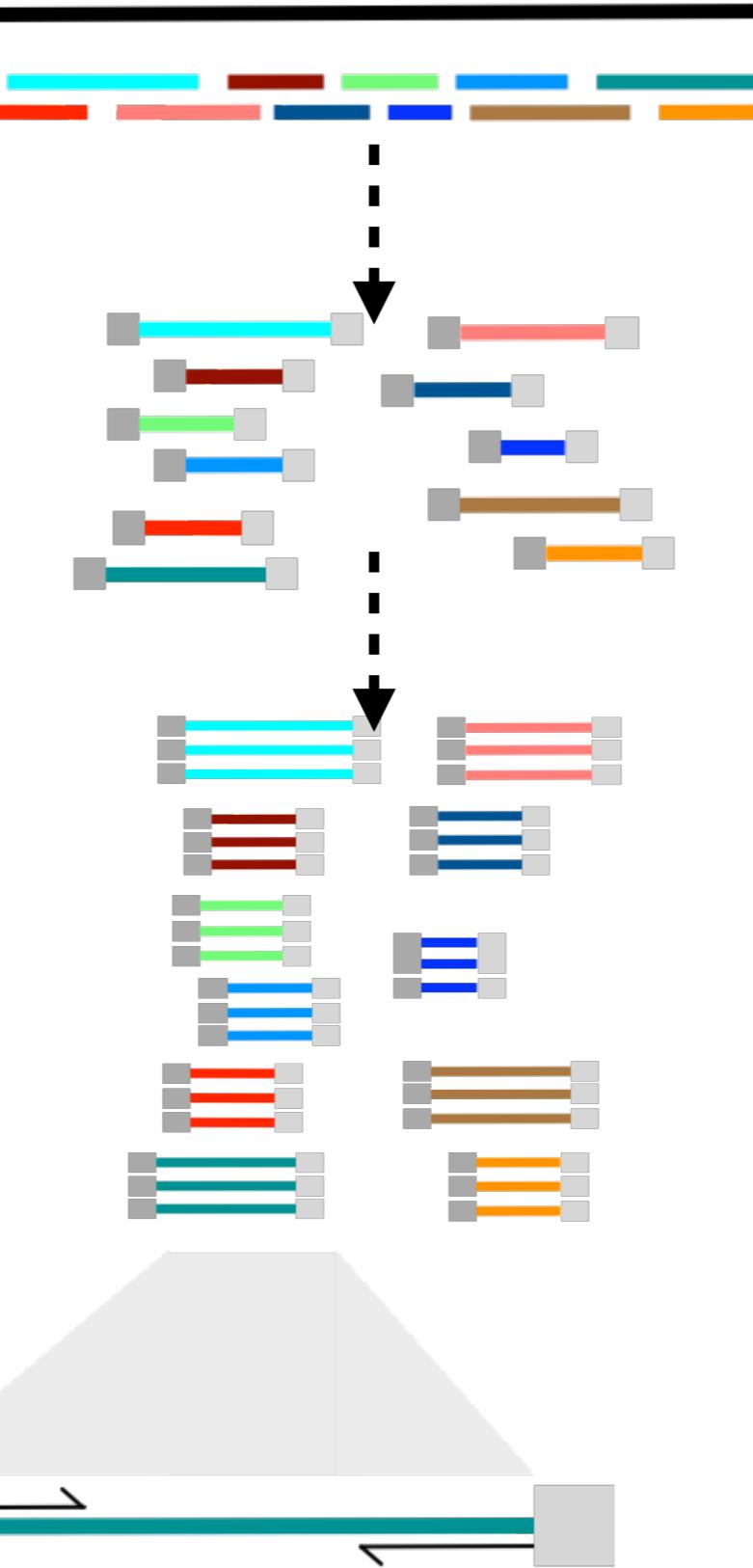
- Hybrid capture: fragments from a whole genome library are selected by using "probes" corresponding to targets
- DNA-DNA or DNA-RNA
- Probes are biotinylated, enabling selection from solution with streptavidin magnetic beads
- below 3-4 Mb of target sequence, target capture sequencing is not efficient, off-target effects etc.



Library Complexity



Library Complexity



Modeling Library Complexity: Poisson Model

Sequencing a library is *sampling* from it: estimate complexity from sequencing data

C: library complexity, sequence diversity of molecules, #types of molecules

N: number of reads we have

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- We don't see what we donot sequence: use a truncated Poisson-we only observe events that happened between a and b times

Truncated Poisson distribution:

$$\text{Poisson}(x_i | \lambda) = \frac{1}{K_{a,b}(\lambda)} \cdot \frac{e^{-\lambda} \cdot \lambda^{x_i}}{x_i!}; K_{a,b}(\lambda) = \sum_{x=a}^b P(x | \lambda)$$

Cohen et al, Estimating parameters in a conditional Poisson distribution. JASA 1960

Modeling Library Complexity: Poisson Model

- Maximum Likelihood estimate of the library size:

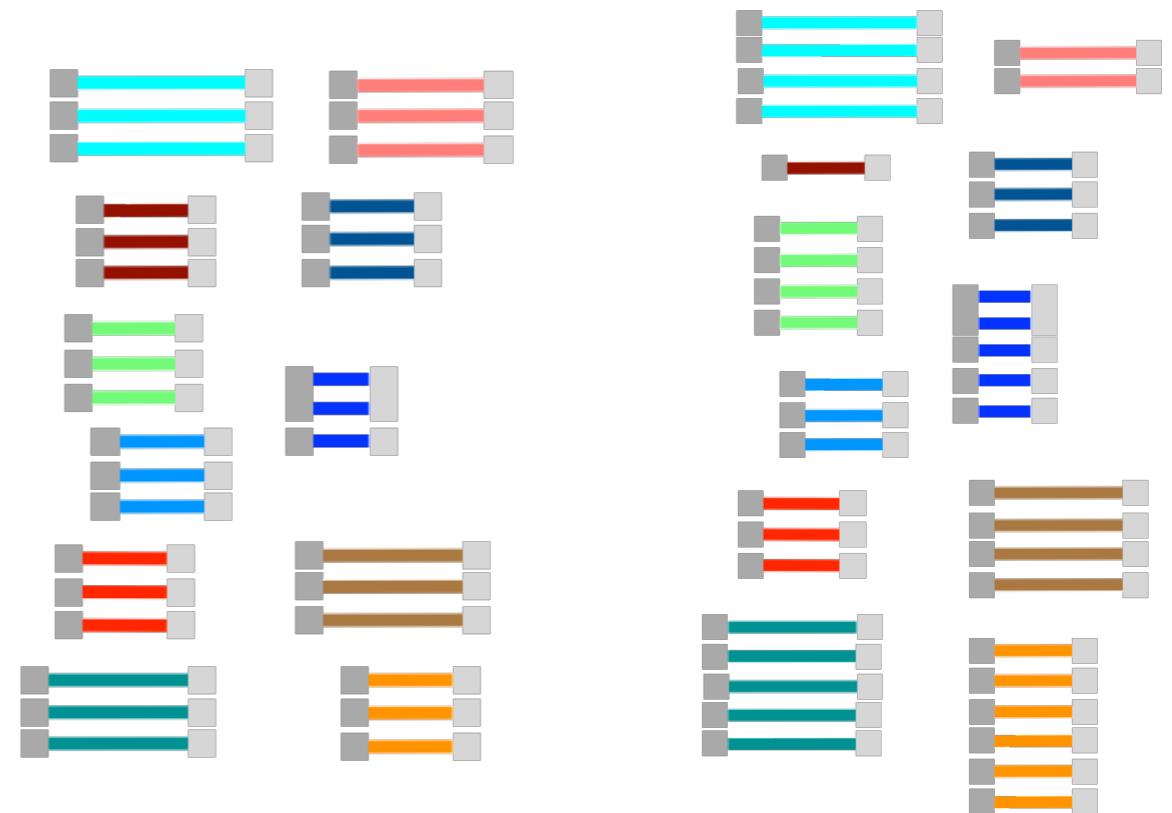
$$\hat{C} = \frac{M}{K_{a,b}(\lambda)} \approx \frac{M}{1 - Poisson(0,\lambda)}$$

where M is the number of unique sequences

- Poisson model underestimates library complexity: non-uniformity in the original population

- PCR bias
- repeats...
- Poisson:

$$mean = variance = \lambda$$



Modeling Library Complexity: Negative Binomial Model

$$Poisson(x; \lambda) = \frac{e^{-\lambda} \cdot \lambda^x}{x!}$$

Poisson sampling models sequencing

$$Gamma(x; \alpha, \beta) = \frac{\beta^\alpha x^{\alpha-1} e^{-\beta x}}{\Gamma(\alpha)}$$

models the entire population (library preparation)

Gamma distribution is a conjugate prior for Poisson:

$$NegBinomial(y; \alpha, \beta) = \int_0^{\infty} Poisson(y; x) Gamma(x; \alpha, \beta) . dx$$

Complexity Estimate using Negative Binomial Model

$$P(x_i | \lambda, k) = NegBinomial(x_i | \lambda, k)$$

dispersion, sampling rate variance
(latent variable)

$$= NegBinomial(x_i | n, p)$$

$$n = \frac{1}{k} \quad p = \frac{\lambda}{(\lambda + 1/k)}$$

C: library complexity

N: number of reads we have

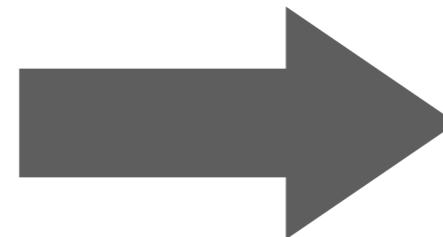
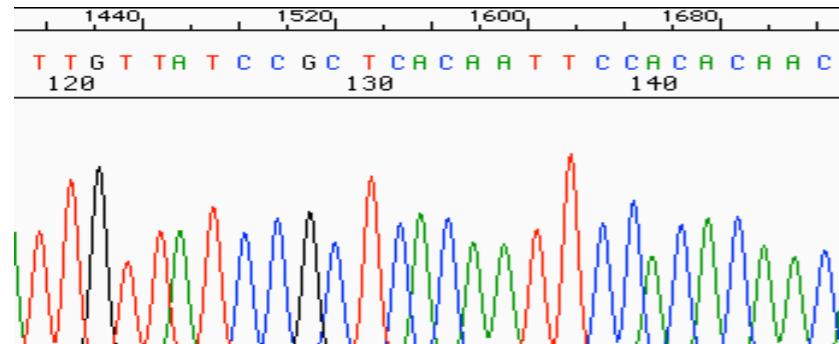
M: number of unique sequences

$$M: (1 - NegBinomial(0 | \lambda, k)) * C$$

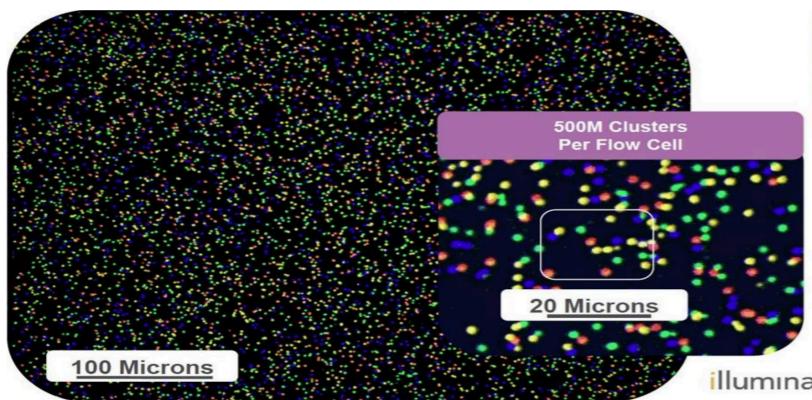
Sequencing Data Aspects

Basecalling

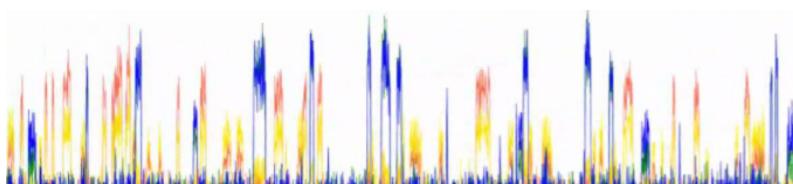
How do we translate the machine output to base calls?



...TGTAGCAGAGAAGACGCCTACTGAATT...



How do we quantify base quality?



FASTQ format & base qualities

```
@J00113:349:HMJHHBBXX:2:1101:12165:4110 1:N:0:TCACTCGA+TCGAGTGA  
CTGTCGACCCGGAAAGCTTGGAGCTACACCGAAAAACCGGTACGCCCGCCACCGCCGTAC  
+  
?AF<FJFJJJJFJJJJJJJJJAFFJJFJJJJFJJJJJJJAJJJJJJFJJJJJJ
```



? = ASCII code 63

Base quality = ASCII code - 33 = 30

$$Q_{\text{phred}} = -10 \log_{10}(P_{\text{error}})$$

base quality	P _{error}
3	50%
5	32%
10	10%
20	1%
30	0.1%
40	0.01%

Error rates

	Primary Errors	Single-pass Error Rate (%)	Final Error Rate (%)
3730xl (capillary)	substitution	0.1-1	0.1-1
Illumina	substitution	~0.1	~0.1
PacBio RS	indel	~13	<=1
Oxford Nanopore	deletions	>=4	4
Ion Torrent	indel	~1	~1

Challenges: Sequencing by Synthesis

illumina®

Sequencing errors tend to be more prominent at the end of the reads

reference

ACGGTATTGTATTTTT**CCAC**ATCC

| | | | | | | | | | | | | | | | | |

TTGTATTTTT**ACTG**



sequencing errors

Challenges: Single Molecule



dominated by *indel* errors

reference

ACGGTATTGTATT**T**TTT**C**CC**A**CATCC

| | | | | | | | | | | | | | | |

TTGTAT-TT-TTCC-C



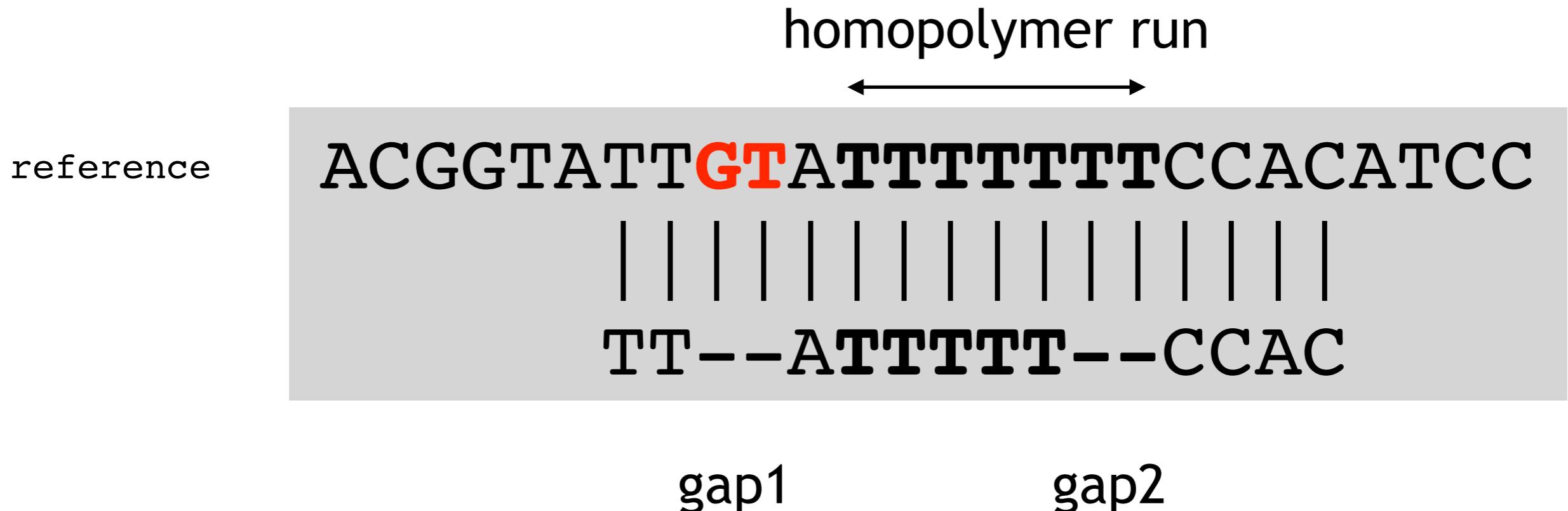
deletion errors

Challenges: Ion Semiconductor Sequencing

iontorrent

by Thermo Fisher Scientific

difficult to estimate the length of long homopolymers



Take Home: Planning Experiments

Considerations

- Number of biological replicates needed
 - biological variability & technical "noise"
 - sequencing depth (effect size: will I see differences at this coverage?)
 - sample heterogeneity
- Sequencing decisions (every company will tell you they have the greatest technology)
 - coverage, coverage, coverage!: number of reads/sample (->sequencing depth)
 - read length
 - base-level quality: get your money's worth
 - paired end vs single end
 - be attentive about batch effects
 - consider library complexity

**Sequencing experiment starts before sequencing:
PLAN, THINK, REVIEW and PLAN again**