

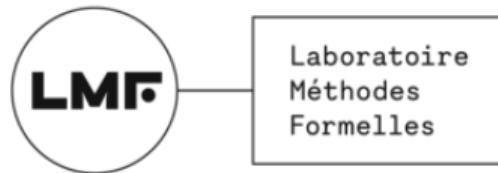
# Discrete and Continuous Models for Concurrent Systems

## 2. Concurrent Semantics of Petri Nets

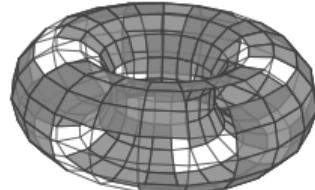
Uli Fahrenberg

LMF, Université Paris-Saclay, France

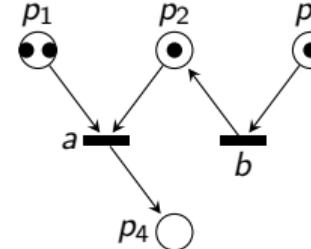
POPL 2026 Tutorial, Rennes, France



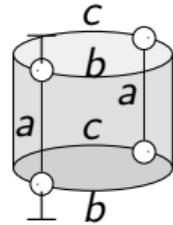
## 1. The geometry of concurrency



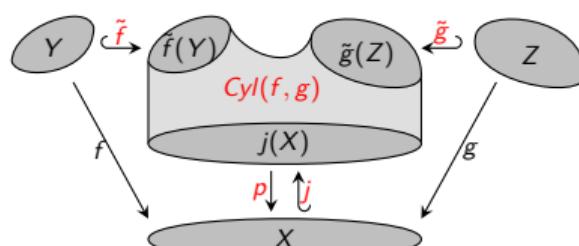
## 2. Concurrent semantics of Petri nets



## 3. Languages of higher-dimensional aut.



## 4. Advanced topics



## 1 Introduction

## 2 Petri Nets

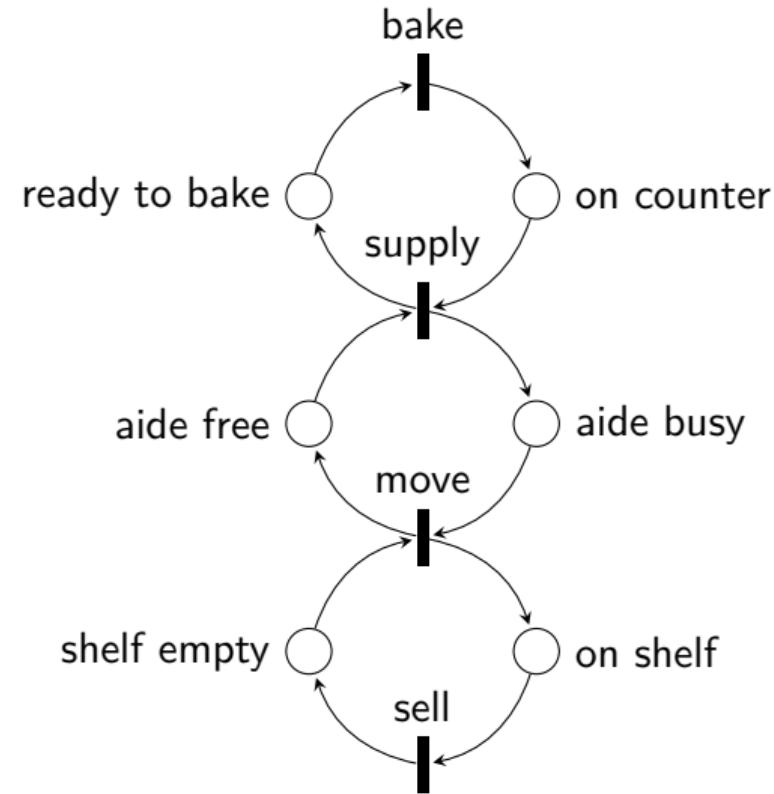
## 3 Higher-Dimensional Automata

## 4 Concurrent Semantics of Petri Nets

# Petri Nets

A **Petri net**  $(S, T, F)$ :

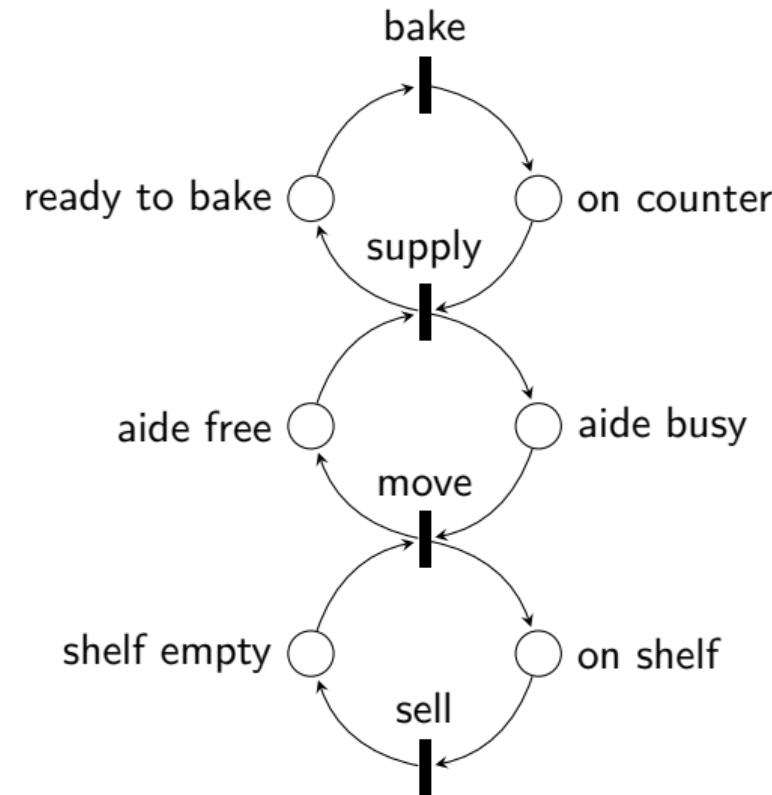
- $S$  set of **places**
- $T$  set of **transitions**,  $S \cap T = \emptyset$
- $F \subseteq S \times T \cup T \times S$  **flow** relation
- very useful for modeling distributed or concurrent systems
- invented in 1962; ubiquitous in modeling



# Petri Nets

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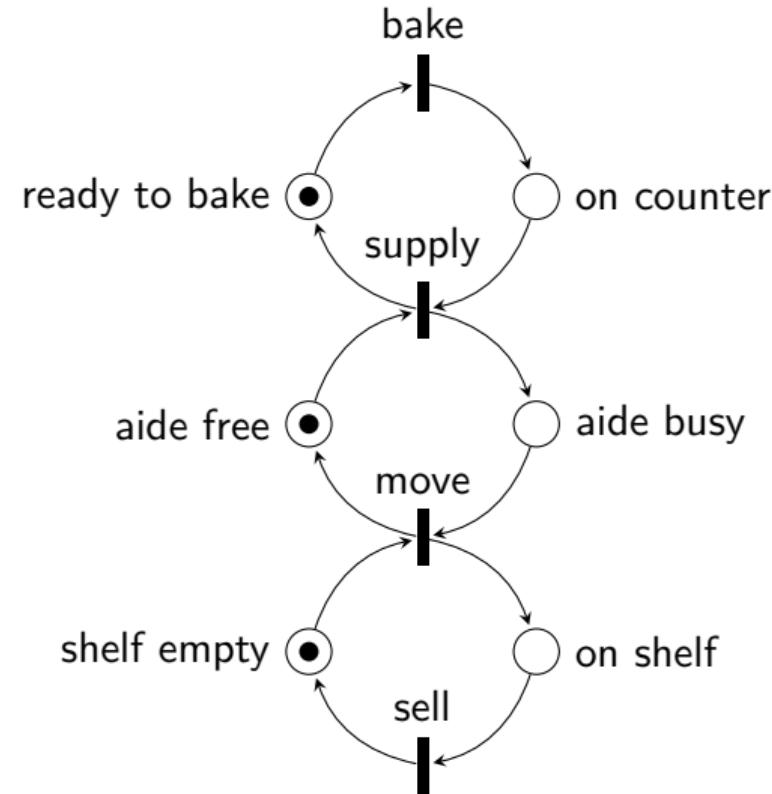
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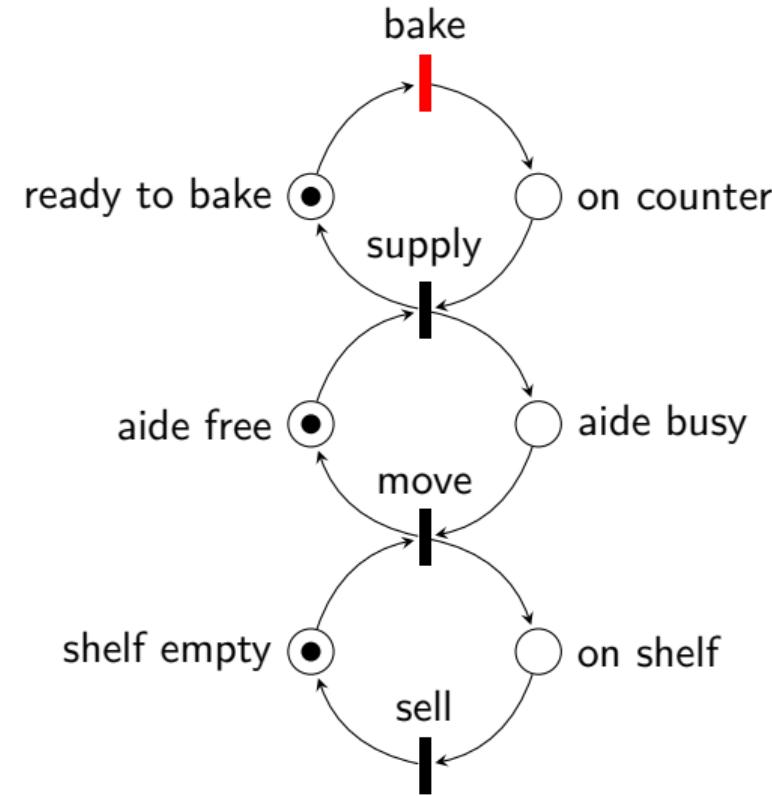
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number of **tokens** per place
- **preset** of  $t$ :  $\bullet t = F(s, t)$
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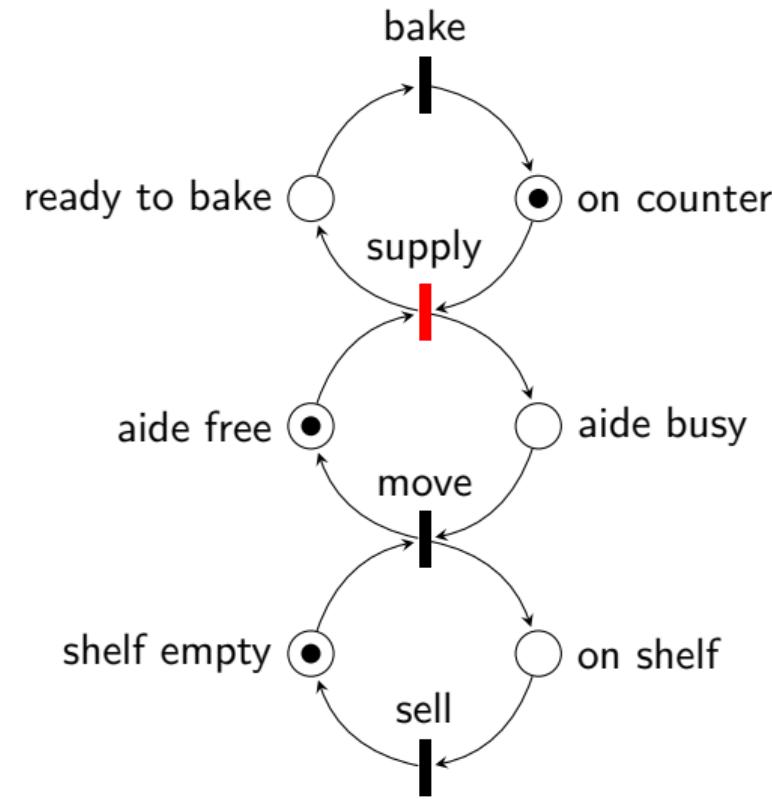
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$$m' = m - \bullet t + t^\bullet$$
- **only if**  $\bullet t \leq m$



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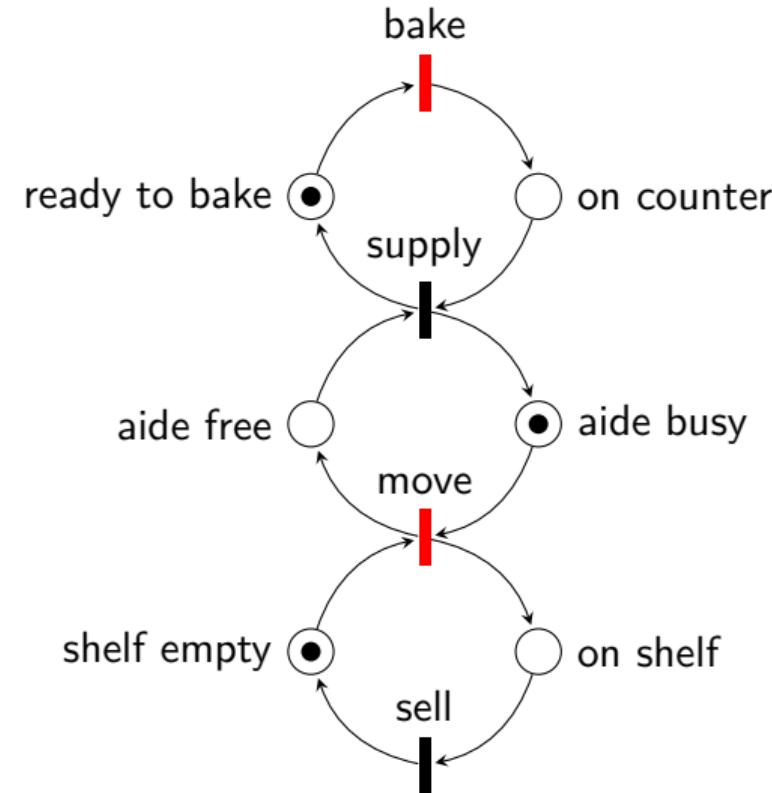
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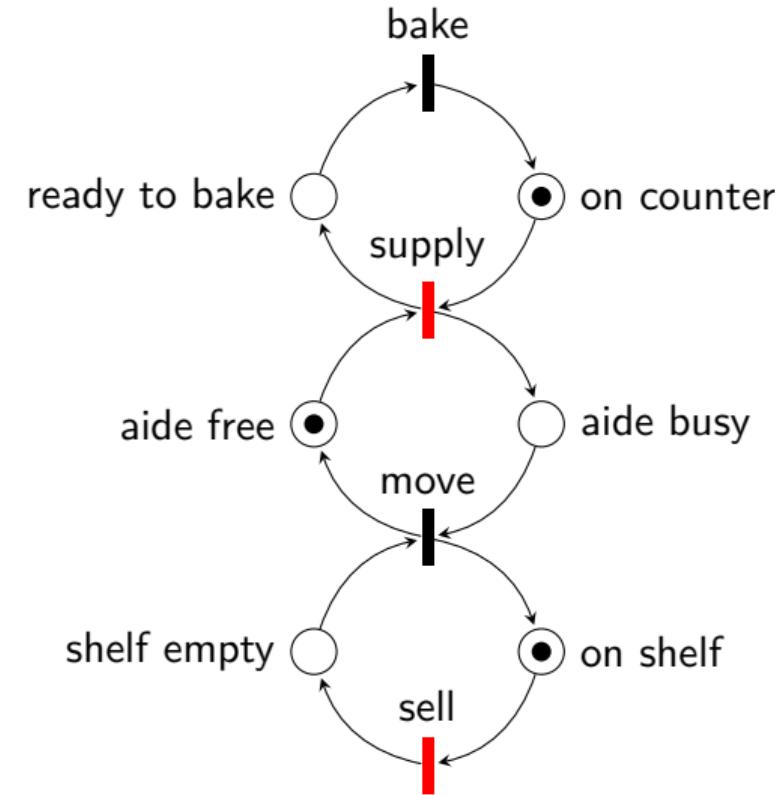
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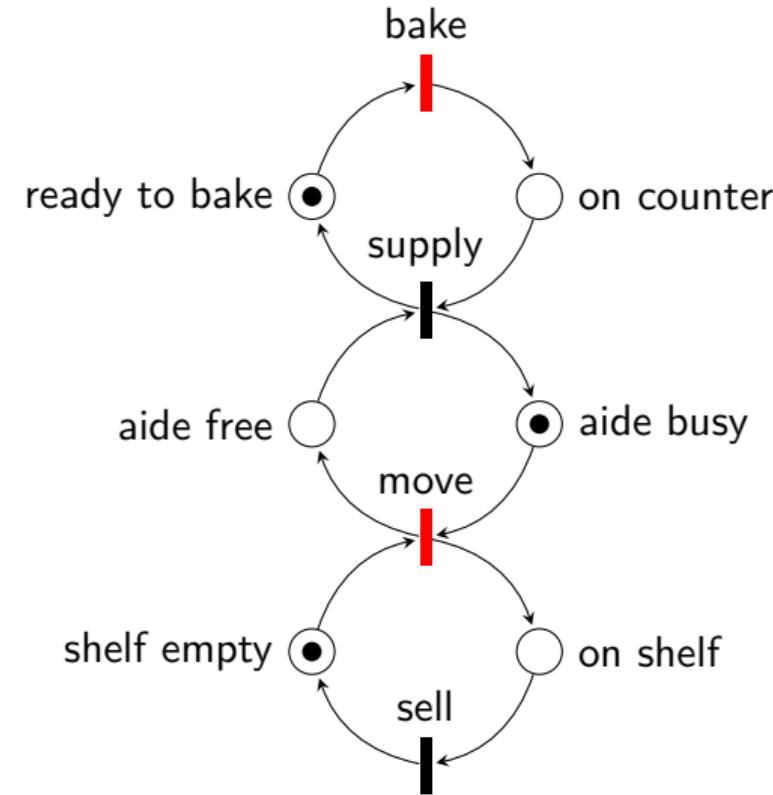


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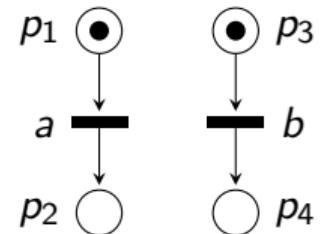
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## Semantics of Petri Nets

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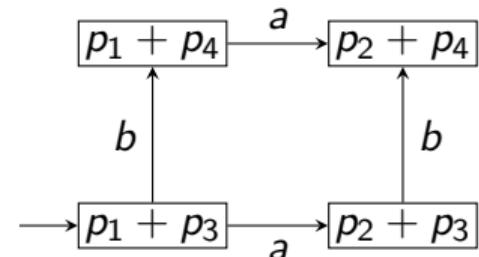
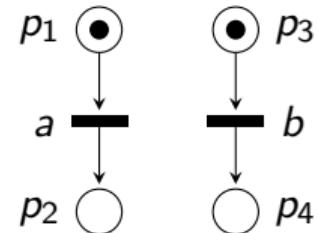


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Petri net  $(S, T, F)$ : places  $S$ ; transitions  $T$ ;  
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Interleaved semantics (reachability graph)  $(V, E)$ :

- $V = \mathbb{N}^S$ : all markings
- $E \subseteq V \times T \times V$ : one transition at a time
- $E = \{(m, t, m') \mid \bullet t \leq m, m' = m - \bullet t + t^\bullet\}$
- initial marking  $\implies$  initial state; take reachable part



# Semantics of Petri Nets

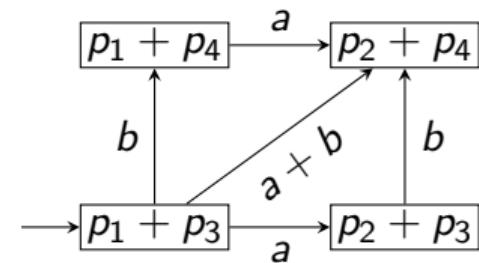
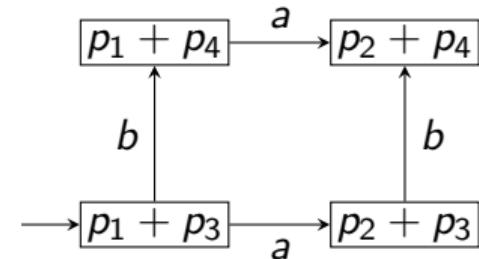
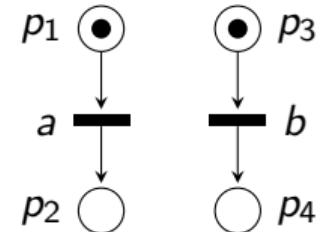
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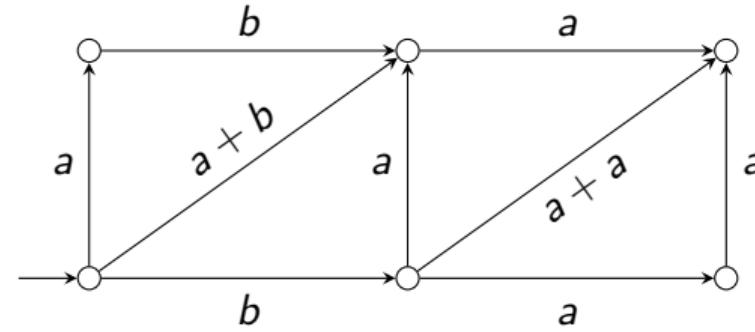
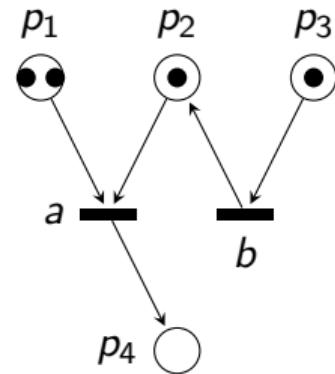
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Concurrent step reachability graph  $(V, E')$ :

- $V = \mathbb{N}^S$
- $E' \subseteq V \times \mathbb{N}^T \times V$ : multisets of transitions
- $E' = \{(m, U, m') \mid \bullet U \leq m, m' = m - \bullet U + U^\bullet\}$

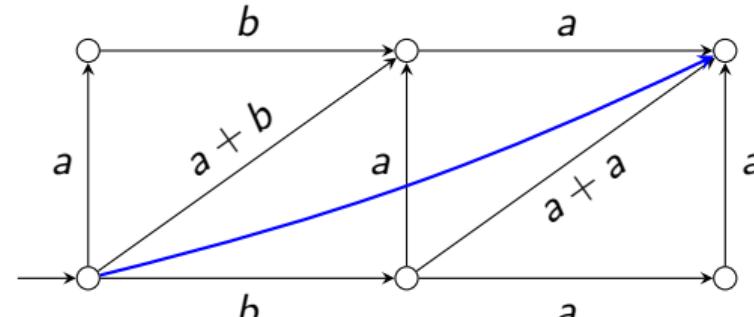
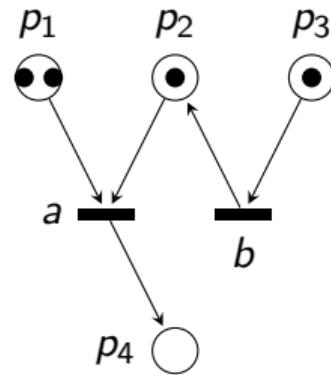


## Another Example



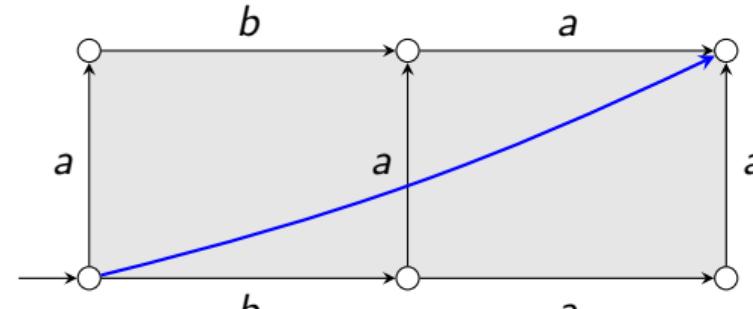
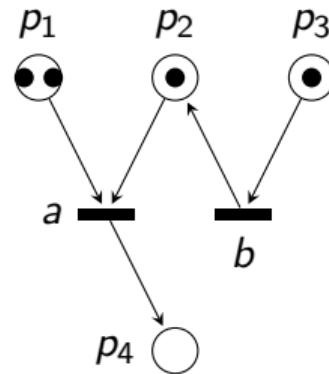
- after firing  $b$ ,  $a$  is **auto-concurrent**

## Another Example



- after firing  $b$ ,  $a$  is **auto-concurrent**
- semantics misses some behavoir?
  - start  $a$  – start  $b$  – finish  $b$  – start another  $a$  – etc.

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- after firing  $b$ ,  $a$  is **auto-concurrent**
- semantics misses some behavoir?
  - start  $a$  – start  $b$  – finish  $b$  – start another  $a$  – etc.
- enter **higher-dimensional automata**
  - replace multi-transitions by **squares**

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# Higher-Dimensional Automata

A **conclist** is a finite, totally ordered,  $\Sigma$ -labeled set.

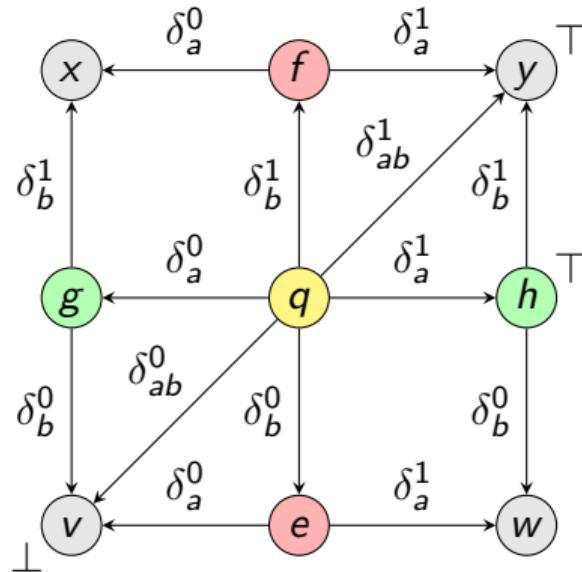
(a list of labeled events)

A **precubical set**  $X$  consists of:

- A set of cells  $X$  (cubes)
- Every cell  $x \in X$  has a conclist  $\text{ev}(x)$  (list of events active in  $x$ )
- We write  $X[U] = \{x \in X \mid \text{ev}(x) = U\}$  for a conclist  $U$  (cells of type  $U$ )
- For every conclist  $U$  and  $A \subseteq U$  there are:
  - upper face map  $\delta_A^1 : X[U] \rightarrow X[U \setminus A]$  (terminating events  $A$ )
  - lower face map  $\delta_A^0 : X[U] \rightarrow X[U \setminus A]$  ("unstarting" events  $A$ )
- Precube identities:  $\delta_A^\mu \delta_B^\nu = \delta_B^\nu \delta_A^\mu$  for  $A \cap B = \emptyset$  and  $\mu, \nu \in \{0, 1\}$

A **higher dimensional automaton (HDA)** is a precubical set  $X$  with **initial cells**  $\perp \subseteq X$  and **accepting cells**  $\top \subseteq X$  (not necessarily vertices)

## Example



$$X[\emptyset] = \{v, w, x, y\}$$

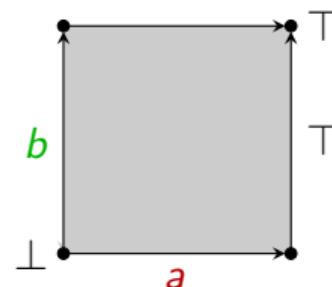
$$X[a] = \{e, f\}$$

$$X[b] = \{g, h\}$$

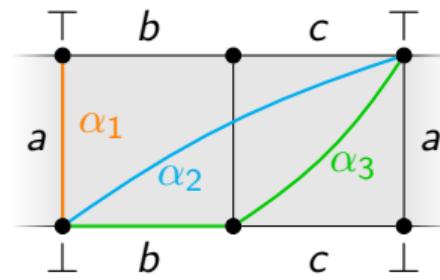
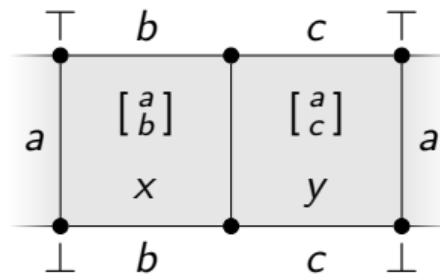
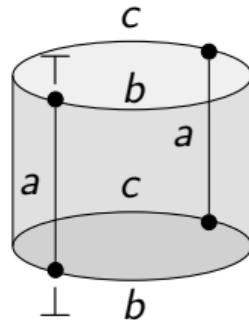
$$X[[\begin{smallmatrix} a \\ b \end{smallmatrix}]] = \{q\}$$

$$\perp_X = \{v\}$$

$$\top_X = \{h, y\}$$

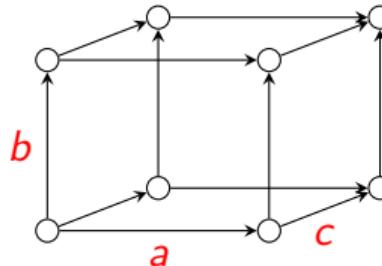


## Another One

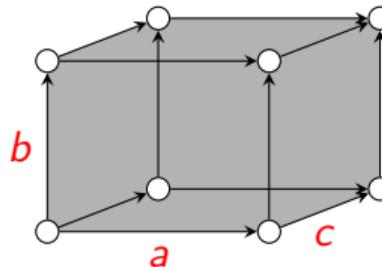


$$a \parallel (bc)^*$$

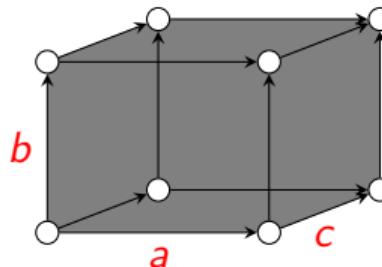
## More Examples



no concurrency



two out of three



full concurrency

# Higher-Dimensional Automata & Concurrency Theory

HDAs as a model for **concurrency**:

- points: **states**
- edges: **transitions**
- squares, cubes etc.: **independency** relations / **concurrently** executing events
- **two-dimensional automata**  $\cong$  asynchronous transition systems
- Introduced in 1990
- Generalize all main models of concurrency proposed in the literature
- (event structures; Petri nets; communicating automata; etc.)

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# Concurrent Semantics of Petri Nets

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Interleaved semantics  $(V, E)$ :  $V = \mathbb{N}^S$ ;  $E \subseteq V \times T \times V$

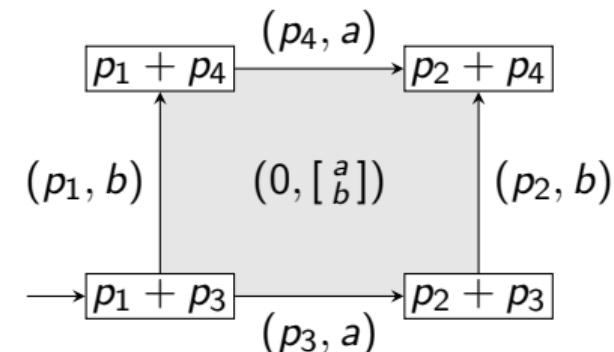
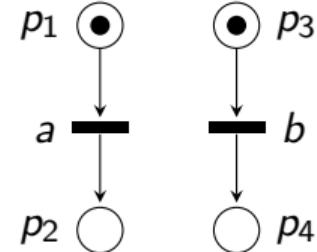
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Concurrent semantics as HDA:

$\square = \square(T)$ ,  $X = \mathbb{N}^S \times \square$ ,  $\text{ev}(m, \tau) = \tau$

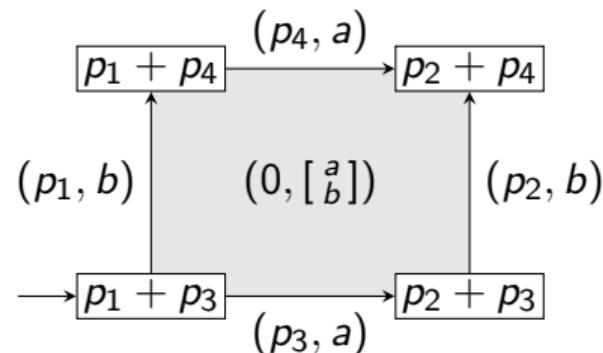
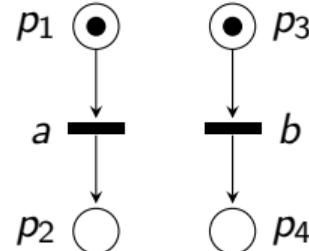
- for  $x = (m, \tau) \in X[\tau]$  with  $\tau = (t_1, \dots, t_n)$ :
 
$$\delta_{t_i}^0(x) = (\mathbf{m} + \bullet t_i, (t_1, \dots, t_{i-1}, t_{i+1}, \dots, t_n))$$

$$\delta_{t_i}^1(x) = (\mathbf{m} + t_i^\bullet, (t_1, \dots, t_{i-1}, t_{i+1}, \dots, t_n))$$
- initial marking  $\implies$  initial cell; take reachable part
- (no accepting cells)

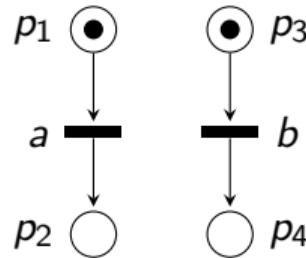


# Event Order

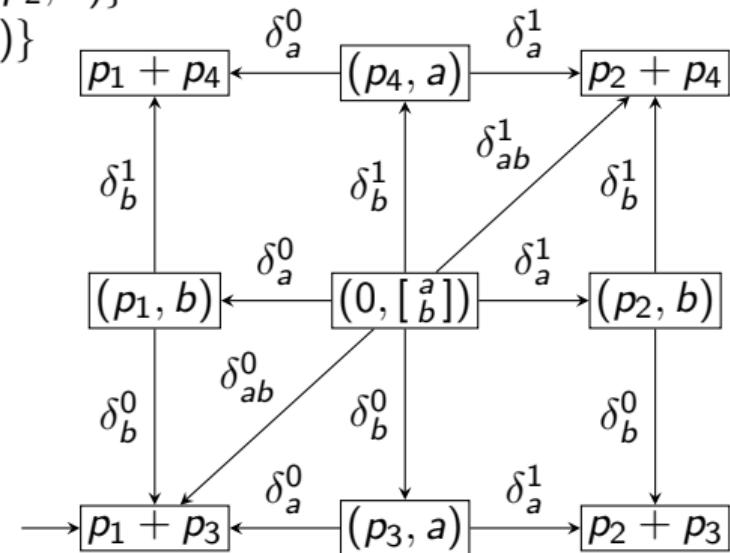
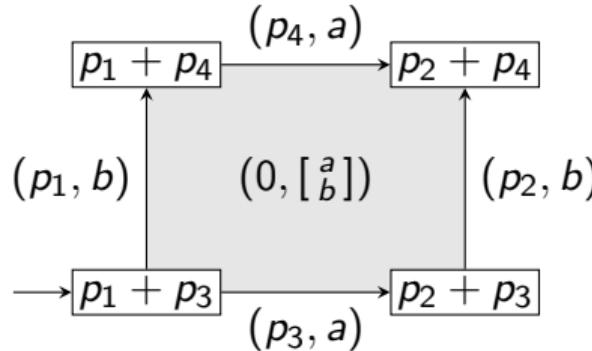
- trouble with symmetry:  
have a cell  $(0, [\frac{a}{b}])$ , but also  $(0, [\frac{b}{a}])$  (not shown)
- solution: fix an arbitrary **order  $\preccurlyeq$**  on  $T$
- and use  $\square = \left\{ \begin{bmatrix} t_1 \\ \vdots \\ t_n \end{bmatrix} \mid \forall i = 1, \dots, n-1 : t_i \preccurlyeq t_{i+1} \right\}$   
instead of  $\square(T)$
- order  $\preccurlyeq$  may be chosen (and re-chosen) at will
- here: lexicographic  $a \prec b \prec \dots$



## Example, Complete



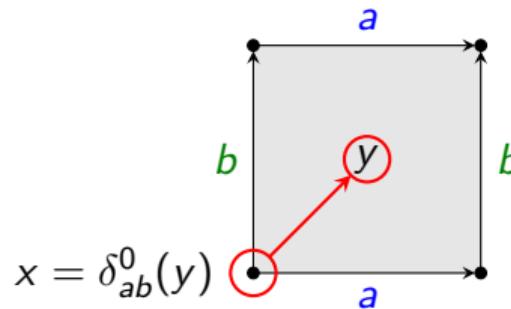
$$\begin{aligned}
 X[\emptyset] &= \{p_1 + p_3, p_2 + p_3, p_1 + p_4, p_2 + p_4\} \\
 X[a] &= \{(p_3, a), (p_4, a)\} \\
 X[b] &= \{(p_1, b), (p_2, b)\} \\
 X[[\overset{a}{b}]] &= \{(0, [\overset{a}{b}])\}
 \end{aligned}$$



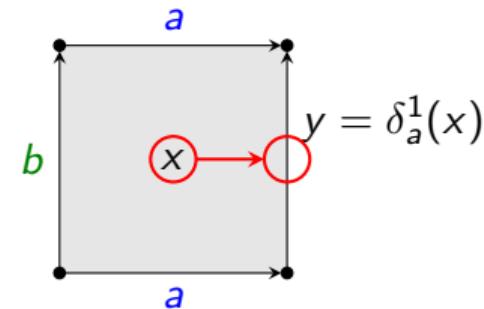
## Computations of HDAs

An HDA computes by **starting** and **terminating** events in sequence:

upstep  $x \nearrow y$ , starting  $[^a_b]$ :



downstep  $x \searrow y$ , terminating  $a$ :



Idea: Use this to define an automata-like operational semantics **for HDAs**

- an **ST-automaton** (def. next slide) has
  - transitions which start and terminate events
  - states which remember which events are currently running

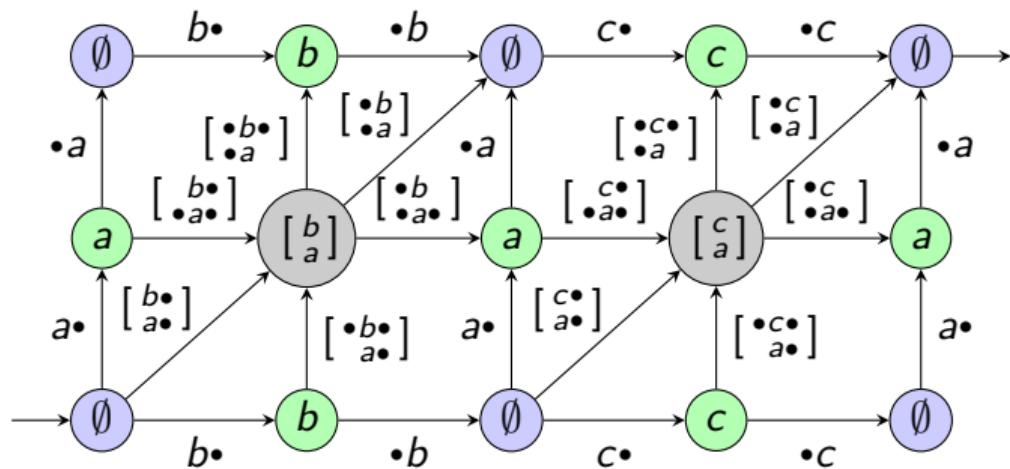
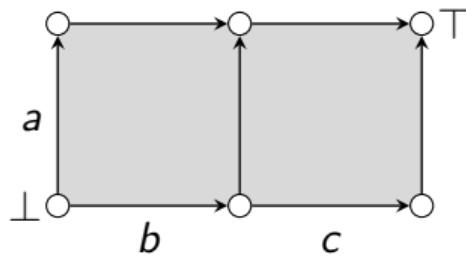
# ST-Automata

- a **starter**  $(A, U)$ : conclist  $U$ , subset  $A \subseteq U$
- a **terminator**  $(U, B)$ : conclist  $U$ , subset  $B \subseteq U$
- starting  $A$ ; terminating  $B$ : written  $A \uparrow U$  resp.  $U \downarrow B$
- Let ST denote the (infinite) set of starters and terminators

An **ST-automaton**  $(Q, \perp, \top, E, \lambda)$ :

- $Q$  set of **states**;  $\perp, \top \subseteq Q$  initial resp. accepting states
- $E \subseteq Q \times \text{ST} \times Q$  **transitions**
- $\lambda : Q \rightarrow \square$  **state labeling**, such that for all  $(p, x, q) \in E$ :
  - if  $x = A \uparrow U$ , then  $\lambda(p) = U \setminus A$  and  $\lambda(q) = U$ ;
  - if  $x = U \downarrow B$ , then  $\lambda(p) = U$  and  $\lambda(q) = U \setminus B$ .

# Translation

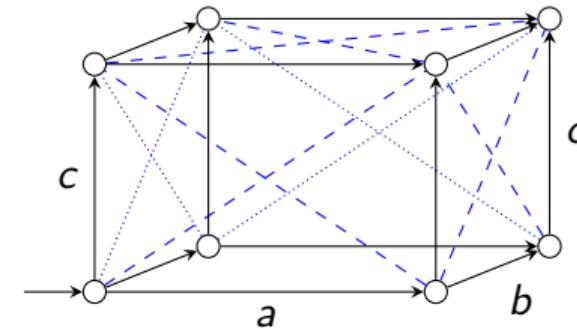
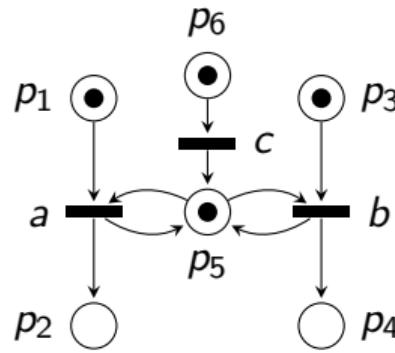


from HDA  $(X, \perp, \top)$  to ST-automaton  $(Q, \perp, \top, E, \lambda)$ :

- $Q = X$ ,  $\lambda = \text{ev}$ ,  $E = \{\delta_A^0(x) \xrightarrow{A \uparrow \text{ev}(x)} x \mid A \subseteq \text{ev}(x)\} \cup \{x \xrightarrow{\text{ev}(x) \downarrow A} \delta_A^1(x) \mid A \subseteq \text{ev}(x)\}$

from ST-automata to HDAs: complicated; we've lost geometric information

# One Last Example



- initially,  $p_5$  is a **mutex place**: it disables concurrency of  $a$  and  $b$
- after  $c$  fires,  $p_5$  holds two tokens, so  $a$  and  $b$  **become concurrent**
- semantically, a hollow cube without bottom face
- the **five faces**: front:  $(p_3, [^a_c])$ , back:  $(p_4, [^a_c])$   
left:  $(p_1, [^b_c])$ , right:  $(p_2, [^b_c])$   
top:  $(0, [^a_b])$

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