

# Discrete and Continuous Models for Concurrent Systems

## 3. Languages of Higher-Dimensional Automata

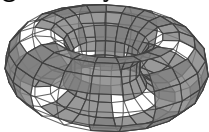
Uli Fahrenberg

EPITA Research Laboratory (LRE), Rennes/Paris, France

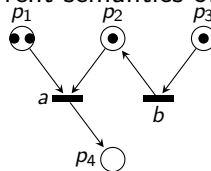
EWSCS 27, Viinistu, March 2025



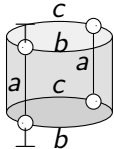
## 1. The geometry of concurrency



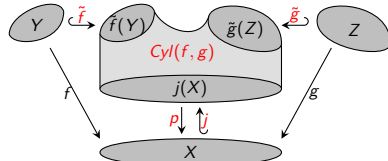
## 2. Concurrent semantics of Petri nets



## 3. Languages of higher-dimensional aut.



## 4. Geometry of higher-dimensional aut.



- ① Introduction
- ② Higher-Dimensional Automata
- ③ Languages of Higher-Dimensional Automata
- ④ Properties

# Higher-Dimensional Automata

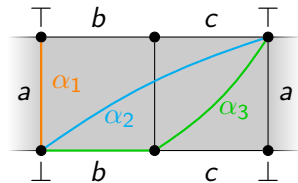
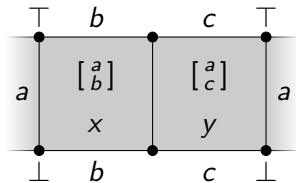
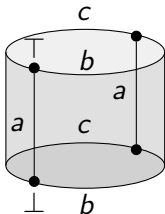
A **conclist** is a finite, totally ordered,  $\Sigma$ -labeled set. (a list of labeled events)

A **precubical set**  $X$  consists of:

- A set of cells  $X$  (cubes)
- Every cell  $x \in X$  has a conclist  $\text{ev}(x)$  (list of events active in  $x$ )
- We write  $X[U] = \{x \in X \mid \text{ev}(x) = U\}$  for a conclist  $U$  (cells of type  $U$ )
- For every conclist  $U$  and  $A \subseteq U$  there are:
  - upper face map  $\delta_A^1 : X[U] \rightarrow X[U \setminus A]$  (terminating events  $A$ )
  - lower face map  $\delta_A^0 : X[U] \rightarrow X[U \setminus A]$  (“unstarting” events  $A$ )
- **Precube identities:**  $\delta_A^\mu \delta_B^\nu = \delta_B^\nu \delta_A^\mu$  for  $A \cap B = \emptyset$  and  $\mu, \nu \in \{0, 1\}$

A **higher dimensional automaton (HDA)** is a precubical set  $X$  with **initial cells**  $\perp \subseteq X$  and **accepting cells**  $\top \subseteq X$  (not necessarily vertices)

# Example

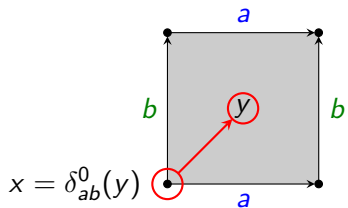


$$a \parallel (bc)^*$$

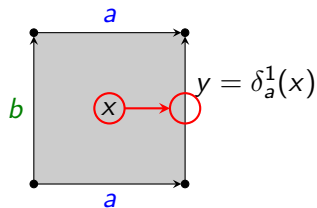
# Computations of HDAs

An HDA computes by **starting** and **terminating** events in sequence:

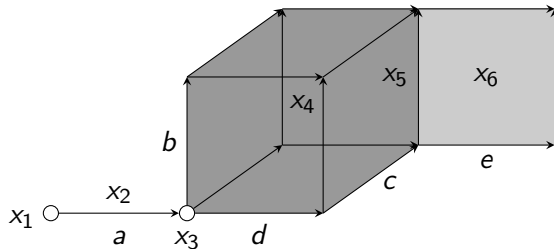
**upstep**  $x \nearrow y$ , starting  $\begin{bmatrix} a \\ b \end{bmatrix}$ :



**downstep**  $x \searrow y$ , terminating  $a$ :



# Example



$$(x_1 \nearrow^a x_2 \searrow_a x_3 \nearrow^{\{b,c,d\}} x_4 \searrow_{\{c,d\}} x_5 \nearrow^e x_6)$$

## Precubical Sets As Presheaves

A **presheaf** over a category  $\mathcal{C}$  is a functor  $\mathcal{C}^{\text{op}} \rightarrow \text{Set}$  (contravariant functor on  $\mathcal{C}$ )

The **precube category**  $\square$  has conclists as objects.

Morphisms are **coface maps**  $d_{A,B} : U \rightarrow V$ , where

- $A, B \subseteq V$  are disjoint subsets,
- $U \simeq V \setminus (A \cup B)$  are isomorphic conclists,
- $d_{A,B} : U \rightarrow V$  is the **unique** label-preserving monotonic map with image  $V \setminus (A \cup B)$ .

Composition of coface maps  $d_{A,B} : U \rightarrow V$  and  $d_{C,D} : V \rightarrow W$  is

$$d_{\partial(A) \cup C, \partial(B) \cup D} : U \rightarrow W,$$

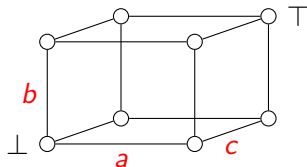
where  $\partial : V \rightarrow W \setminus (C \cup D)$  is the **unique** conclist isomorphism.

- precubical sets: **presheaves over**  $\square$
- HDAs: precubical sets with initial and accepting cells

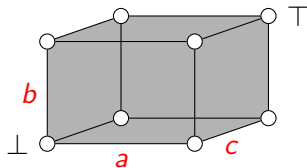


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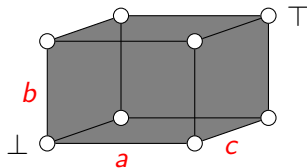
# Languages of HDAs: Examples



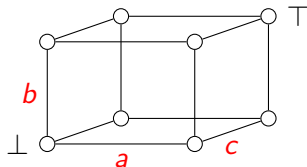
$$L_1 = \{abc, acb, bac, bca, cab, cba\}$$



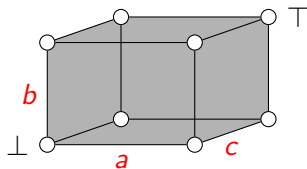
$$L_3 = \left\{ \begin{bmatrix} a \\ b \\ c \end{bmatrix}, \dots \right\}$$



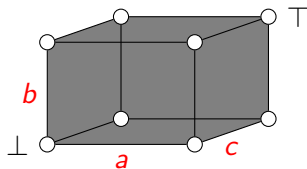
# Languages of HDAs: Examples



$$L_1 = \{abc, acb, bac, bca, cab, cba\}$$



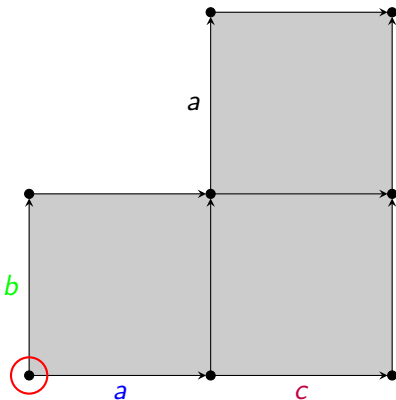
$$L_2 = \left\{ \begin{bmatrix} a \\ b \rightarrow c \end{bmatrix}, \begin{bmatrix} a \\ c \rightarrow b \end{bmatrix}, \begin{bmatrix} b \\ a \rightarrow c \end{bmatrix}, \begin{bmatrix} b \\ c \rightarrow a \end{bmatrix}, \begin{bmatrix} c \\ a \rightarrow b \end{bmatrix}, \begin{bmatrix} c \\ b \rightarrow a \end{bmatrix} \right\} \cup L_1 \cup \dots$$



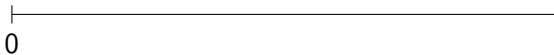
$$L_3 = \left\{ \begin{bmatrix} a \\ b \\ c \end{bmatrix} \right\} \cup L_2$$

sets of pomsets

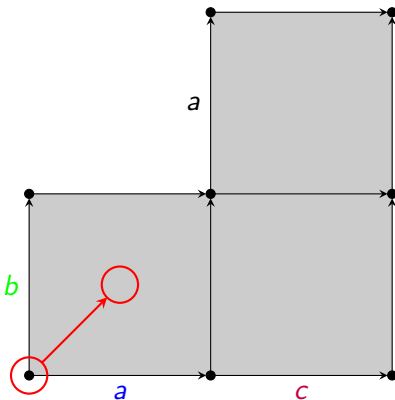
# Event Ipomset of a Path



Lifetimes of events



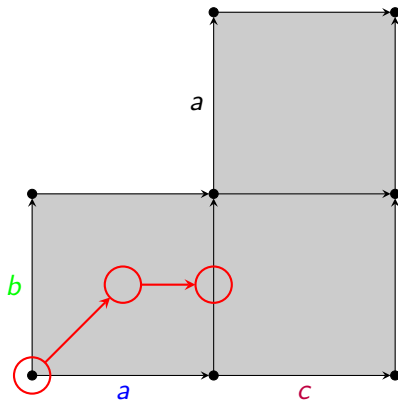
## Event Ipomset of a Path



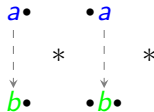
## Lifetimes of events


$$\begin{array}{c} a \bullet \\ | \\ | \\ \downarrow \\ b \bullet \end{array} \quad *$$

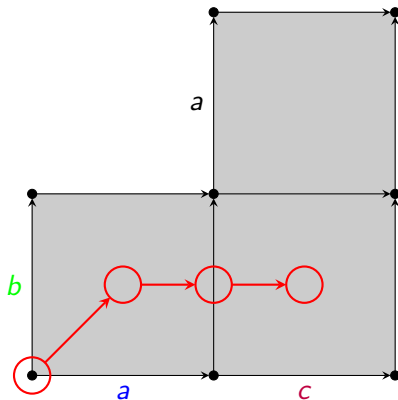
# Event Ipomset of a Path



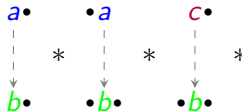
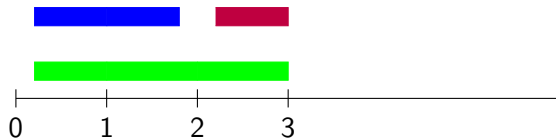
Lifetimes of events



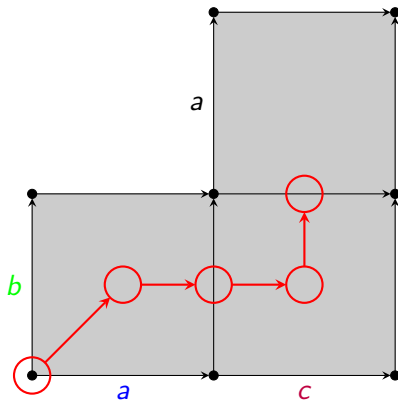
# Event Ipomset of a Path



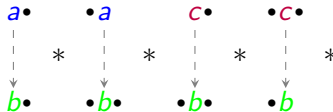
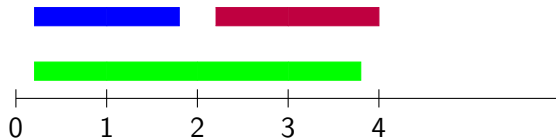
Lifetimes of events



# Event Ipomset of a Path

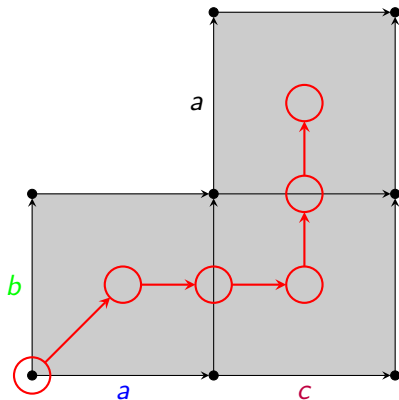


## Lifetimes of events

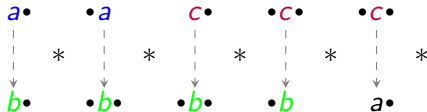
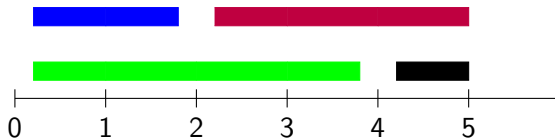




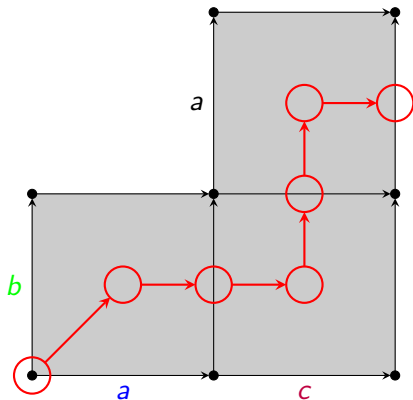
# Event Ipomset of a Path



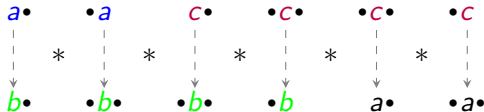
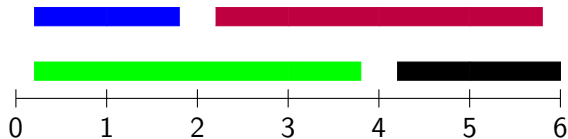
## Lifetimes of events



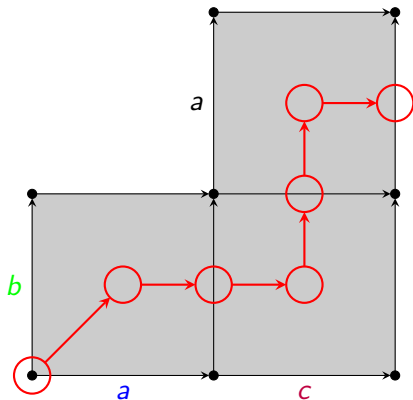
# Event Ipomset of a Path



## Lifetimes of events

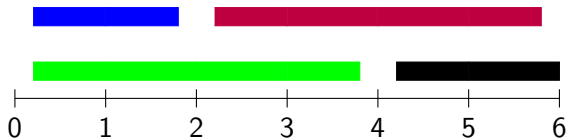


# Event Ipomset of a Path

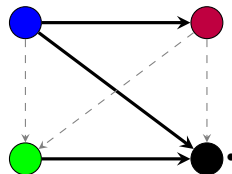


(not series-parallel!)

Lifetimes of events



Event ipomset

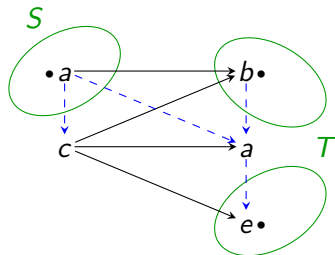


# Pomsets with Interfaces

## Definition

A **pomset with interfaces** (ipomset):  $(P, <, \dashrightarrow, S, T, \lambda)$ :

- finite set  $P$ ;
- two partial orders  $<$  (**precedence order**),  $\dashrightarrow$  (**event order**)
  - s.t.  $< \cup \dashrightarrow$  is a *total relation*;
- $S, T \subseteq P$  **source** and **target interfaces**
  - s.t.  $S$  is  $<$ -minimal and  $T$  is  $<$ -maximal.

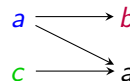
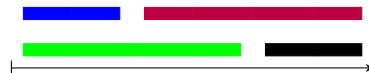
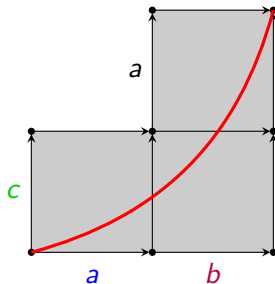


# Interval Orders

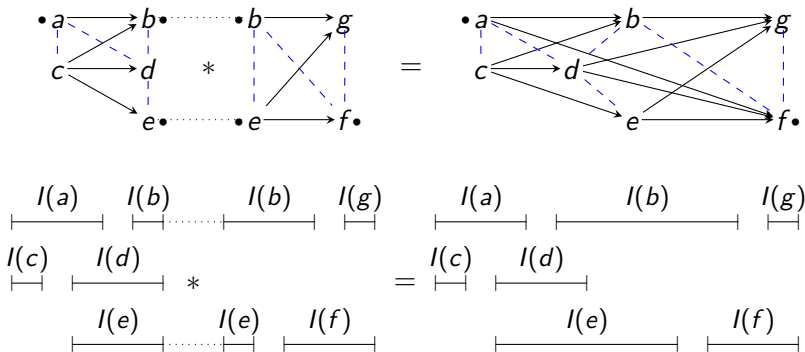
## Definition

An ipomset  $(P, <_P, \dashrightarrow, S, T, \lambda)$  is **interval** if  $(P, <_P)$  has an **interval representation**: functions  $b, e : P \rightarrow \mathbb{R}$  s.t.

- $\forall x \in P : b(x) \leq_{\mathbb{R}} e(x)$ ;
- $\forall x, y \in P : e(x) <_{\mathbb{R}} b(y) \iff x <_P y$

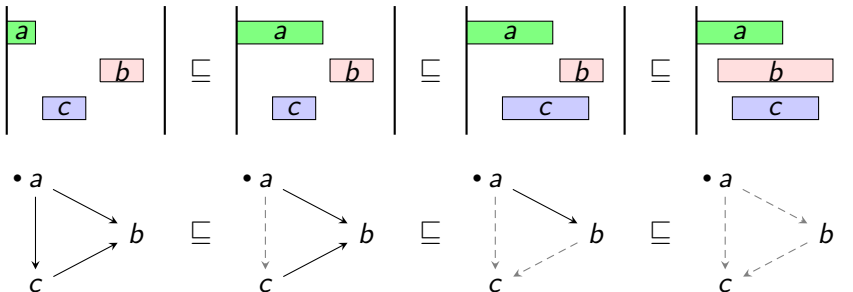


# Gluing Composition



- **Gluing**  $P * Q$ :  $P$  before  $Q$ , except for interfaces (which are identified)
- (also have **parallel composition**  $P \parallel Q$ : disjoint union)

# Subsumption



$P$  refines  $Q$  /  $Q$  subsumes  $P$  /  $P \sqsubseteq Q$  iff

- $P$  and  $Q$  have same interfaces
- $P$  has more  $\rightarrow$  than  $Q$
- $Q$  has more  $\dashrightarrow$  than  $P$

# Languages of HDAs

## Definition

The **language** of an HDA  $X$  is the set of event ipomsets of all accepting paths:

$$L(X) = \{\text{ev}(\pi) \mid \pi \in \text{Paths}(X), \text{src}(\pi) \in \perp_X, \text{tgt}(\pi) \in \top_X\}$$

- $L(X)$  contains only **interval** ipomsets,
- is **closed under subsumption**,
- and has **finite width**

## Definition

A language  $L \subseteq \text{iiPoms}$  is **regular** if there is an HDA  $X$  with  $L = L(X)$ .



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# Theorems

## Definition (Rational Languages over $\Sigma$ )

- Generated by  $\emptyset$ ,  $\{\epsilon\}$ , and all  $\{[a]\}$ ,  $\{[\bullet a]\}$ ,  $\{[a \bullet]\}$ ,  $\{[\bullet a \bullet]\}$  for  $a \in \Sigma$
- under operations  $\cup$ ,  $*$ ,  $\parallel$  and (Kleene plus)  $^+$
- (these need to take **subsumption closure** into account)

## Definition (Monadic Second-Order Logics over Ipomsets)

$$\begin{aligned} \psi ::= & a(x) \mid s(x) \mid t(x) \mid x < y \mid x \dashrightarrow y \mid x \in X \mid \\ & \exists x. \psi \mid \forall x. \psi \mid \exists X. \psi \mid \forall X. \psi \mid \psi_1 \wedge \psi_2 \mid \psi_1 \vee \psi_2 \mid \neg \psi \end{aligned}$$

Theorem (à la Kleene): regular  $\iff$  rational

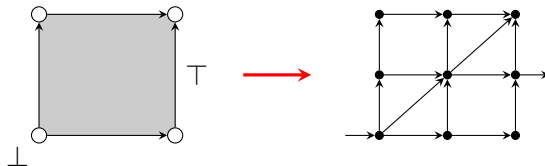
Theorem (à la Myhill-Nerode): regular  $\iff$  finite prefix quotient

Theorem (à la Büchi-Elgot-Trakhtenbrot):

regular  $\iff$  MSO-definable, of finite width, and subsumption-closed

# Kleene Theorem: Easy Parts

- regular  $\implies$  rational: by reduction to **ST-automata**



- rational  $\implies$  regular: generators:

$L(X)$	$\emptyset$	$\{\epsilon\}$	$\{[a]\}$	$\{[\bullet a]\}$	$\{[a \bullet]\}$	$\{[\bullet a \bullet]\}$
$X$	$\emptyset$	$\perp \circ \top$	$\begin{array}{c} \top \\   \\ \perp \\   \\ \circ \end{array} a$	$\begin{array}{c} \top \\   \\ \perp \\   \\ \circ \end{array} a$	$\begin{array}{c} \top \\   \\ a \\   \\ \perp \\   \\ \circ \end{array}$	$\begin{array}{c} \top \\   \\ \perp a \\   \\ \circ \end{array}$

- rational  $\implies$  regular:  $\cup$  and  $\parallel$

$$L(X) \cup L(Y) = L(X \sqcup Y)$$

$$L(X) \parallel L(Y) = L(X \otimes Y)$$

# Kleene Theorem: Difficult Parts

- miss to see: gluings and iterations of regular languages are regular:

$$L(X) * L(Y) = L(X * Y) \qquad L(X)^+ = L(X^+)$$

- much more difficult: higher-dimensional gluings identify too much

- for example:

# Kleene Theorem: Difficult Parts

- miss to see: gluings and iterations of regular languages are regular:

$$L(X) * L(Y) = L(X * Y) \qquad L(X)^+ = L(X^+)$$

- much more difficult: higher-dimensional gluings identify too much

for example:

$L_2 = \emptyset$ 
 $L_1 * L_2 \ni ac$

- use **HDA**s with **interfaces** and **cylinder objects**

## HDAs with Interfaces

A conclist with interfaces (**iconclist**) is a conclist  $U$  with subsets  $S \subseteq U \supseteq T$ , denoted  ${}_S U_T$   
(events in  $T$  cannot be terminated; events in  $S$  cannot be “unstarted”)

A precubical set with interfaces (**ipc-set**)  $X$  consists of a set of cells  $X$  such that:

- Every cell  $x \in X$  has an **iconclist**  $\text{ev}(x)$
- We write  $X[{}_S U_T] = \{x \in X \mid \text{ev}(x) = {}_S U_T\}$ .
- For every  $A \subseteq U - S$  there is a lower face map  $\delta_A^0 : X[U] \rightarrow X[{}_S U_T - A]$ .
- For every  $B \subseteq U - T$  there is an upper face map  $\delta_B^1 : X[U] \rightarrow X[{}_S U_T - B]$ .
- Precubical identities:  $\delta_A^\mu \delta_B^\nu = \delta_B^\nu \delta_A^\mu$  for  $A \cap B = \emptyset$  and  $\mu, \nu \in \{0, 1\}$
- (presheaves over a category **I**□)

An HDA with interfaces (**iHDA**) is a finite ipc-set with start and accept cells.

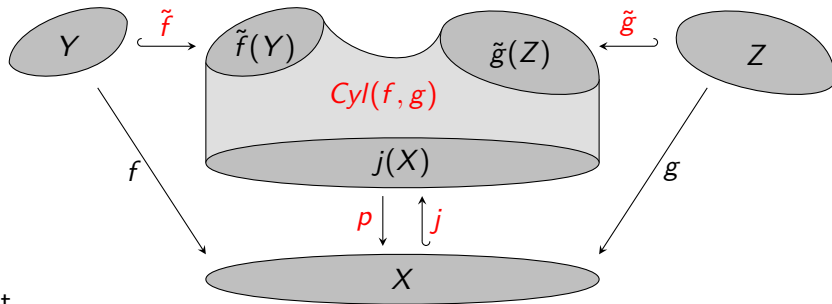
### Extra conditions:

If  $x \in X[{}_S U_T]$  is a start cell, then  $S = U$ .

If  $x \in X[{}_S U_T]$  is an accept cell, then  $T = U$ .

## Cylinders

Let  $X, Y, Z$  be ipc-sets and  $f : Y \rightarrow X, g : Z \rightarrow X$  ipc-maps with  $f(Y) \cap g(Z) = \emptyset$   
There is a diagram of ipc-sets



such that

- $\tilde{f}$  is an **initial inclusion**;
- $\tilde{g}$  is a **final inclusion**;
- all paths in  $X$  from  $f(Y)$  to  $g(Z)$  **lift** to paths in  $Cyl(f, g)$ .

## Cylinders: Construction

$X, Y, Z$ : ipc-sets,  $f : Y \rightarrow X$ ,  $g : Z \rightarrow X$ : ipc-maps with  $f(Y) \cap g(Z) = \emptyset$ .

For  ${}_S U_T \in \mathbf{I}\Box$  let

$$\text{Cyl}(f, g)[{}_S U_T] = \{(x, K, L, \varphi, \psi)\}$$

such that

- $x \in X[{}_S U_T]$ ;
- $K \subseteq \mathbf{I}\Box^U$  is an initial subset;
- $L \subseteq \mathbf{I}\Box^U$  is a final subset;
- $\varphi : K \rightarrow Y$ ,  $\psi : L \rightarrow Z$  are ipc-maps satisfying  $f \circ \varphi = \iota_x|_K$  and  $g \circ \psi = \iota_x|_L$ :

$$\begin{array}{ccccc}
 K & \hookrightarrow & \mathbf{I}\Box^U & \longleftarrow & L \\
 \downarrow \varphi & & \downarrow \iota_x & & \downarrow \psi \\
 Y & \xrightarrow{f} & X & \xleftarrow{g} & Z
 \end{array}$$



# Gluing Composition of Regular Languages Is Regular

## Proposition

Gluing composition of regular languages is regular.

**Proof sketch:** Let  $L$  and  $M$  be regular languages.

- ① We may assume that  $L, M$  are **simple**, i.e.,  $L = L(X)$ ,  $M = L(Y)$  for iHDAs  $X, Y$  having **one initial** and **one accepting cell** each.
- ② Now replace  $X$  by  $X' = \text{Cyl}(X \leftarrow \top_X : j)$  and  $Y$  by  $Y' = \text{Cyl}(i : \perp_Y \rightarrow Y)$ , then  $L(X') = L(X)$  and  $L(Y') = L(Y)$ .
- ③ Go back to HDA and glue:

$$L(\mathbf{CI}(X') * \mathbf{CI}(Y')) = L(X') * L(Y') = L * M.$$

(**closure**  $\mathbf{CI} : \text{iHDA} \rightarrow \text{HDA}$  “adds missing cells”)

- ④ So  $L * M$  is recognized by a finite HDA, hence regular.

## Selected Bibliography

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