

Automates, algèbre, applications - AAA

CM 3

Uli Fahrenberg

Sven Dziadek

Philipp Schlehuber

Adrien Pommellet

Etienne Renault

EPITA

S6 2022

Foreword

Program of the course

①	CM 1 : Weighted automata	5 May
②	TD : Weighted automata	12 May
③	CM 2 : LTL model checking	12 May
④	CM 3 : ω -Automata	19 May
⑤	DM (noté)	
⑥	TP : ω -Automata	2 June
⑦	CM 4 : Automata learning	16 June

Program of the course

- | | | |
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CM 3 : ω -Automata

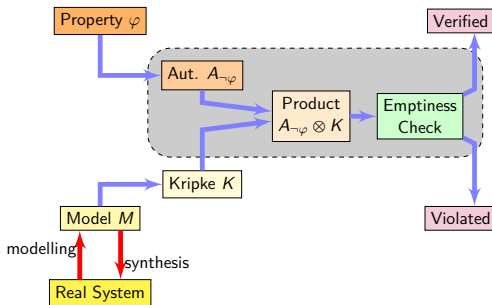
- 1 Büchi Automata
 - Definition
 - Properties
- 2 Muller Automata
 - Büchi vs. Muller
- 3 From Büchi to Spot
 - Transition-Based Generalized Büchi Automata
 - Emerson-Lei Automata
- 4 Summary: Expressive Power

Sources:

- Farwer, B, ω -automata. In: Automata, Logics, and Infinite Games (pp. 3-21), Springer, 2002.
- <https://spot.lrde.epita.fr/concepts.html>

Introduction

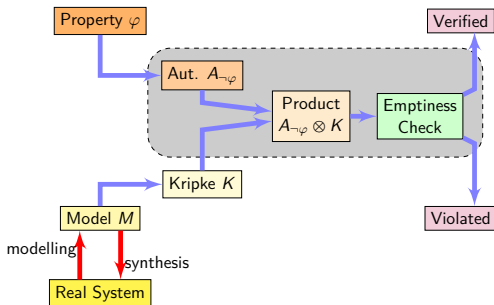
Model Checking



Recall

Model checking translates LTL to automata and checks for emptiness of intersection of model and specification.

Model Checking

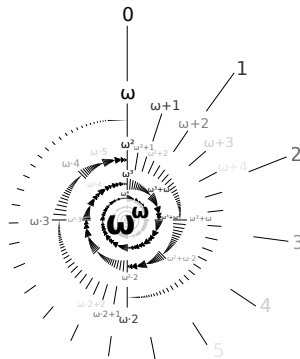


Recall

Model checking translates LTL to **automata** and checks for emptiness of intersection of model and specification.

Goal of this lecture

Understand ω -automata

$$\begin{array}{l} 0 \\ 1 \\ 2 \\ \vdots \\ n \\ \vdots \\ \omega \end{array} \quad \text{order type of } \mathbb{N}$$


specify indices in ordered sequences

ω -Words

Σ : finite alphabet, $n \in \mathbb{N} \cup \{\omega\}$: ordinal number.

Definition

An **n -word** w over Σ is a sequence of length n ,
i.e., a function $w: [0, n[\rightarrow \Sigma$.

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Notations:

Σ^n set of n -words,

Σ^* set of finite words ($n < \omega$),

Σ^ω set of ω -words ($n = \omega$),

$\Sigma^\infty = \Sigma^* \cup \Sigma^\omega$ set of all words ($n \leq \omega$),

ω -Rational Expressions

Definition (ω -Rational Expressions)

ω -languages are called ω -regular if they are of the form:

Above: L is a regular language (of finite words)

K, K_1, K_2 are ω -regular languages

ω -Rational Expressions

Definition (ω -Rational Expressions)

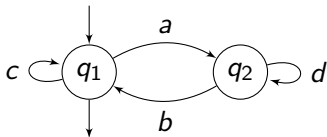
ω -languages are called ω -regular if they are of the form:

- $K_1 \cup K_2$,
- $LK = \{vw \mid v \in L, w \in K\}$,
- $L^\omega = \{w_0w_1w_2\cdots \mid w_i \in L\}$ for $\{\varepsilon\} \notin L$,

Above: L is a regular language (of finite words)

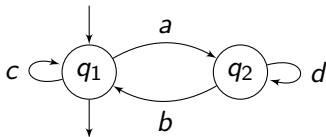
K, K_1, K_2 are ω -regular languages

Example



Which words are accepted?

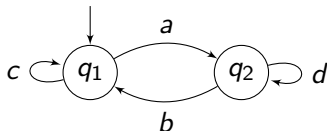
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Which words are accepted?

$$(c^*ad^*b)^*c^*$$

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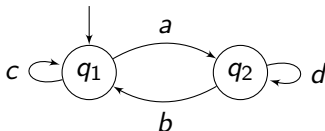


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Which ω -paths exist?

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Which ω -paths exist?

$$(c^*ad^*b)^\omega + (c^*ad^*b)^*c^\omega + (c^*ad^*b)^*c^*ad^\omega$$

Büchi Automata

Infinite Sequences

Definition

$$\text{Inf}((q_i)_{i \geq 0}) = \{q \mid q = q_i \text{ for infinitely many } i \geq 0\}$$

Example

$$\text{Inf}(0, 1, 2, 3, 4, 5, \dots) = \emptyset$$

$$\text{Inf}(0, 1, 2, 3, 3, 3, \dots) = \{3\}$$

$$\text{Inf}(0, 1, 2, 3, 2, 3, 2, 3, \dots) = \{2, 3\}$$

Büchi Automata: Definition

Definition (Büchi Automaton)

$\mathcal{A} = (Q, I, T, F)$ over alphabet Σ where

- Q : finite set of states,
- $I \subseteq Q$: initial states,
- $T \subseteq Q \times \Sigma \times Q$: transitions,
- $F \subseteq Q$: Büchi-accepting states.

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Run of \mathcal{A} on $w \in \Sigma^\omega$: $\rho = (q_i)_{i \geq 0}$ with

- $q_0 \in I$,
- for all $i \geq 0$: $q_i \xrightarrow{w_i} q_{i+1} \in T$

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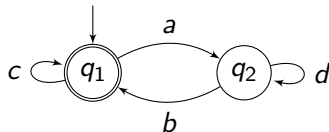
Büchi Automata: Definition 2

The language **recognized** by a Büchi Automaton \mathcal{A} :

$$\mathcal{L}(\mathcal{A}) = \{w \in \Sigma^\omega \mid \exists \text{ Büchi-accepted run of } \mathcal{A} \text{ on } w\}$$

A language recognized by Büchi Automata is called **Büchi-recognizable**.

Büchi Automata: Example

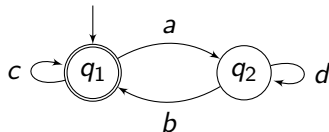


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Büchi Automata: Example



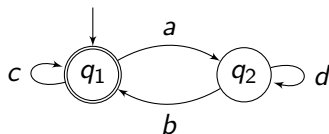
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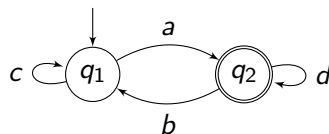
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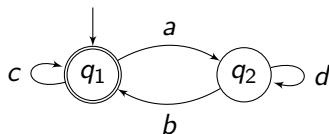
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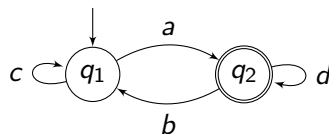
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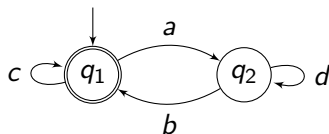
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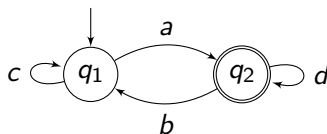
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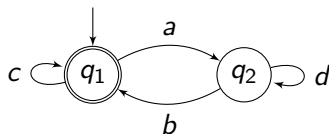
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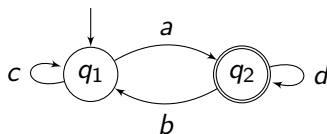
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$$F = \{q_1, q_2\} \Rightarrow$$

$$\mathcal{L}(\mathcal{A}) = (c^* ad^* b)^\omega + (c^* ad^* b)^* c^\omega + (c^* ad^* b)^* c^* ad^\omega$$

Emptiness is Decidable

Büchi automaton: $\mathcal{A} = (Q, I, T, F)$

- Interpret \mathcal{A} as graph (Q, T)
- Check reachability of states in F from states in I (Floyd-Warshall)
- For every reachable accepting state f :
Check (non-trivial) path from f to f .

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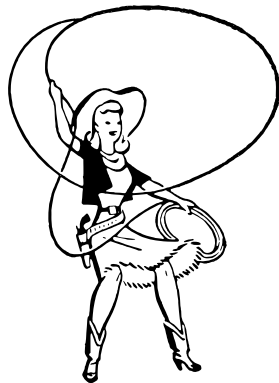
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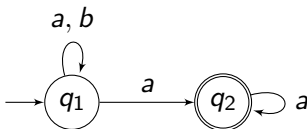
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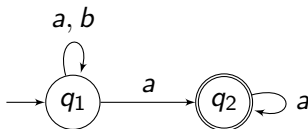


Deterministic Büchi Automata



$$L := \mathcal{L}(\mathcal{A}) = (a + b)^* a^\omega$$

Deterministic Büchi Automata

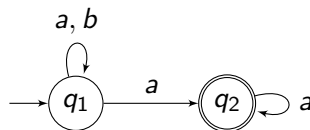


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Lemma

L is not accepted by any deterministic Büchi Automata

Deterministic Büchi Automata



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Proof.

Suppose: $\mathcal{B} = (Q, I, T, F)$ is a det. Büchi automaton recognizing L . \mathcal{B} accepts a^ω . After some finite prefix of a^ω , \mathcal{B} will visit some state in F , say after i_0 letters.

\mathcal{B} also accepts $a^{i_0} b a^\omega$. Therefore, for some i_1 , after the prefix $a^{i_0} b a^{i_1}$, \mathcal{B} will visit some state in F .

Continue: $a^{i_0} b a^{i_1} b a^{i_2} \dots$ is recognized by \mathcal{B} as states in F are visited infinitely often. But: $a^{i_0} b a^{i_1} b a^{i_2} \dots \notin L$. \downarrow

Büchi Automata: Properties

The class of languages accepted by Büchi automata is closed under:

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Büchi (1960): construction with $2^{2^{O(n)}}$ states,

Klarlund (1991), *Safra* (1992): $2^{O(n \log n)}$

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Emptiness is decidable

But: Büchi automata are not always determinisable.

Büchi vs. ω -Regular Expressions

Theorem

Büchi-recognizable languages are exactly the ω -regular languages

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Proof Sketch

⇐ Büchi automata closed under operation for ω -regular expressions

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- \Leftarrow Büchi automata closed under operation for ω -regular expressions
- \Rightarrow Runs ρ in Büchi automata can be divided into two regular parts:
 - prefix: $\rho_f = q_0 \rightarrow \dots \rightarrow q_f$ for $q_0 \in I$ and $q_f \in F$
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 - loop: $\rho'_f = q_f \rightarrow \dots \rightarrow q_f$
- All possible runs: $\bigcup_{f \in F} \rho_f \rho_f'^{\omega}$ is an ω -regular expression

Muller Automata

Muller Automata: Definition

Definition (Muller Automaton)

$\mathcal{A} = (Q, I, T, \mathcal{F})$ over alphabet Σ where

- Q : finite set of states,
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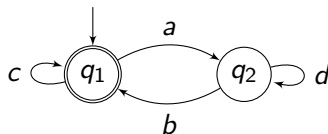
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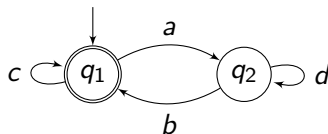


$$\mathcal{F} = \{\{q_1\}\}$$

Which ω -words are accepted?

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Muller Automata: Example



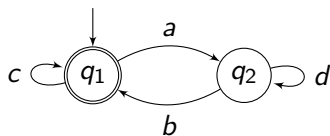
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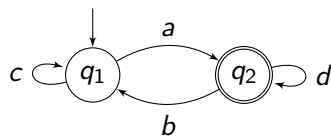
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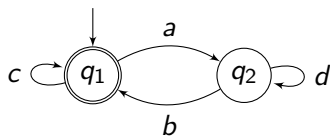
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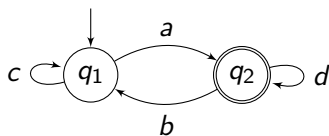
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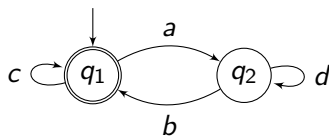
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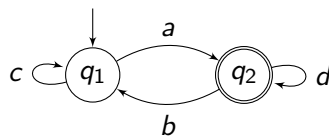
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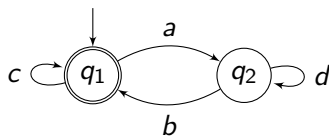
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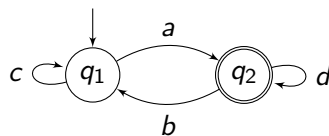
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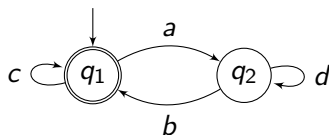
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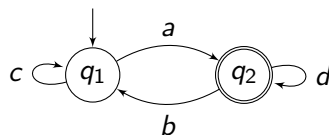
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$$\mathcal{F} = \{\{q_1\}, \{q_2\}\} \Rightarrow \mathcal{L}(\mathcal{A}) = (c^*ad^*b)^*c^\omega + (c^*ad^*b)^*c^*ad^\omega$$

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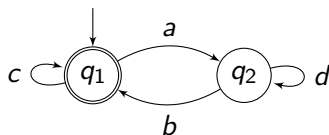
$$(c^*ad^*b)^*c^\omega$$

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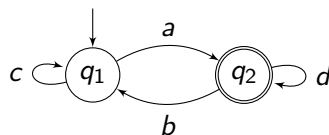
$$\mathcal{F} = \{\{q_1\}, \{q_2\}\} \Rightarrow \mathcal{L}(\mathcal{A}) = (c^*ad^*b)^*c^\omega + (c^*ad^*b)^*c^*ad^\omega$$

$$\mathcal{F} = \{\{q_1, q_2\}\} \Rightarrow$$

Muller Automata: Example



$$\mathcal{F} = \{\{q_1\}\}$$



$$\mathcal{F} = \{\{q_2\}\}$$

Which ω -words are accepted?

$$(\text{Inf}(\rho) \in \mathcal{F})$$

$$(c^*ad^*b)^*c^\omega$$

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$$\mathcal{F} = \{\{q_1, q_2\}\} \Rightarrow \mathcal{L}(\mathcal{A}) = (c^*ad^*b)^\omega$$

Conversion Büchi to Muller

Easy direction:

Take Büchi automaton $\mathcal{B} = (Q, I, T, F)$ and transform it into Muller automaton $\mathcal{M} = (Q, I, T, \mathcal{F})$ with

$$\mathcal{F} = \{S \subseteq Q \mid S \cap F \neq \emptyset\}$$

Recall: Acceptance condition for Büchi: $\text{Inf}(\rho) \cap F \neq \emptyset$

Conversion Muller to Büchi

Harder direction:

Take Muller automaton $\mathcal{M} = (Q, I, T, \mathcal{F})$.

Note that Büchi automata are closed under union.

Thus, assume $\mathcal{F} = \{F\}$ a singleton.

Conversion Muller to Büchi

Harder direction:

Take Muller automaton $\mathcal{M} = (Q, I, T, \mathcal{F})$.

Note that Büchi automata are closed under union.

Thus, assume $\mathcal{F} = \{F\}$ a singleton.

Transform \mathcal{M} into Büchi automaton $\mathcal{B} = (Q', I, T', F')$ with:

$$Q' = Q \cup (Q \times 2^F)$$

$$T' = T$$

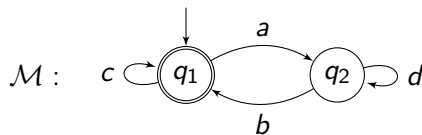
$$\cup \{(q, \sigma, (q', \{q'\})) \mid (q, \sigma, q') \in T, q' \in F\}$$

$$\cup \{((q, S), \sigma, (q', S \cup \{q'\})) \mid (q, \sigma, q') \in T, q, q' \in F, S \subsetneq F\}$$

$$\cup \{((q, F), \sigma, (q', \{q'\})) \mid (q, \sigma, q') \in T, q, q' \in F\}$$

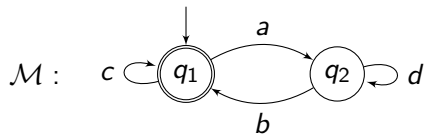
$$F' = F \times \{F\}$$

Conversion Muller to Büchi: Example



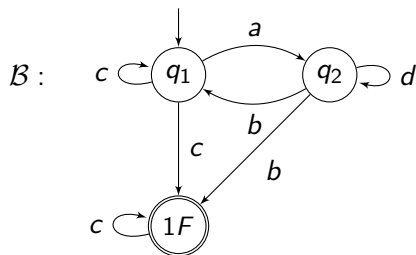
$$\mathcal{F} = \{F\} = \{\{q_1\}\}$$

Conversion Muller to Büchi: Example



$$\mathcal{F} = \{F\} = \{\{q_1\}\}$$

translates to



From Büchi to Spot

Transition-Based Generalized Büchi Automata

Definition (Transition-Based Generalized Büchi Automata (TGBA))

$\mathcal{A} = (Q, I, T, \mathcal{M})$ over alphabet Σ where

- Q : finite set of states,
- $I \subseteq Q$: initial states,
- $T \subseteq Q \times \Sigma \times 2^{\mathcal{M}} \times Q$: transitions,
- \mathcal{M} : finite set of acceptance conditions (colors).

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Run $\rho = q_0 \xrightarrow{w_0}_{M_0} q_1 \xrightarrow{w_1}_{M_1} q_2 \rightarrow \dots$

is **TGBA-accepted** if *all colors are seen infinitely often*

i.e., $\forall m \in \mathcal{M}. \forall i \in \mathbb{N}. \exists j > i. m \in M_j$.

Automata Over Propositions

The following can be done for any automaton:

Take a (finite) set of **atomic propositions** AP .

Put $\Sigma = 2^{AP}$.

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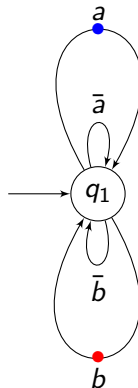
Put $\Sigma = 2^{AP}$.

Note that we could interpret the set of minterms over AP as Σ .

Example

$$(a \wedge b \wedge \bar{c}); (a \wedge \bar{b} \wedge \bar{c}); (a \wedge \bar{b} \wedge c); \dots$$

Transition-Based Generalized Büchi Automata: Example



Degeneralization

Lemma

TGBA can be degeneralized.

Construction is similar to Muller \rightarrow Büchi translation.

Product Construction

Let $A_1 = (Q_1, I_1, T_1, \mathcal{M}_1)$ and $A_2 = (Q_2, I_2, T_2, \mathcal{M}_2)$ be two TGBA over the same set of propositions Σ .

The product construction of A_1 and A_2 is $B = (Q, I, T, \mathcal{M})$ with

- $Q = Q_1 \times Q_2$
- $I = I_1 \times I_2$
- $T = \left\{ ((q_1, q_2), p_1 \cap p_2, M_1 \cup M_2, (q'_1, q'_2)) \mid \right.$
 $\left. (q_1, p_1, M_1, q'_1) \in T_1, (q_2, p_2, M_2, q'_2) \in T_2, p_1 \cap p_2 \neq \emptyset \right\}$
- $\mathcal{M} = \mathcal{M}_1 \uplus \mathcal{M}_2$ (disjoint union)

Product Construction

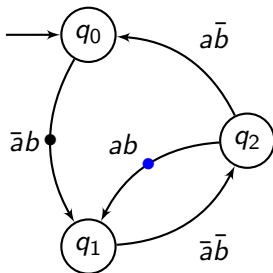
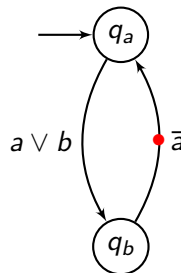
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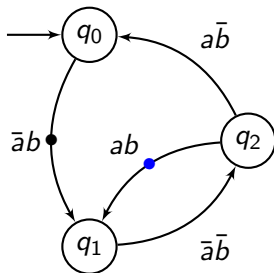
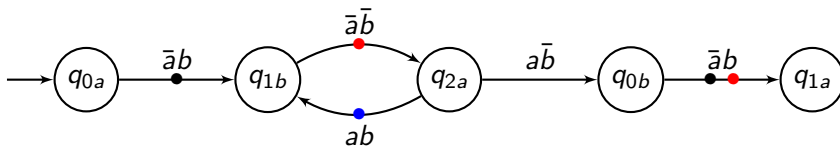
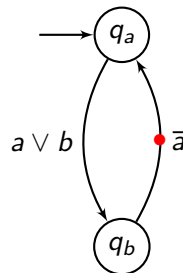
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- $\mathcal{M} = \mathcal{M}_1 \uplus \mathcal{M}_2$ (disjoint union)

We can show: $\mathcal{L}(B) = \mathcal{L}(A_1) \cap \mathcal{L}(A_2)$.

Product Construction: Example

 \cap 

Product Construction: Example


 \cap


Emerson-Lei Automata

Description (Emerson-Lei Automaton)

- contains set of colors \mathcal{M}
 - either states or transitions can be marked by colors
- acceptance condition: positive Boolean formula over the atoms
 - t or f : true and false
 - $\text{Fin}(m)$: color m occurs finitely many times
 - $\text{Inf}(m)$: color m occurs infinitely many times

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Emerson-Lei implemented in Spot (see TP in two weeks!)

Example + Further Acceptance Conditions

<i>none</i>	f
<i>all</i>	t
<i>Büchi</i>	$\text{Inf}(0)$
<i>gen.-Büchi</i>	$\text{Inf}(0) \ \& \ \text{Inf}(1) \ \& \ \dots$
<i>co-Büchi</i>	$\text{Fin}(0)$
<i>gen.-co-Büchi</i>	$\text{Fin}(0) \mid \text{Fin}(1) \mid \dots$
<i>Rabin</i>	$(\text{Fin}(0) \ \& \ \text{Inf}(1)) \mid (\text{Fin}(2) \ \& \ \text{Inf}(3)) \mid \dots$
<i>Streett</i>	$(\text{Fin}(0) \mid \text{Inf}(1)) \ \& \ (\text{Fin}(2) \mid \text{Inf}(3)) \ \& \ \dots$
<i>parity min odd 5</i>	$\text{Fin}(0) \ \& \ (\text{Inf}(1) \mid (\text{Fin}(2) \ \& \ (\text{Inf}(3) \mid \text{Fin}(4))))$

Summary: Expressive Power

Overview: Expressive Power in Σ^ω

- ω -rational expressions
= ω -regular languages
 - **Büchi automata**
 - GBA
 - **TGBA**
 - Muller automata
 - Emerson-Lei automata
- **LTl**
- Deterministic Büchi automata
(incl. GBA, TGBA)

The image features a classic target graphic with a dark blue center and concentric red rings. The text "That's all Folks!" is written in a white, cursive script across the middle of the target.

That's all Folks!

Backup

Notes

Generalized Büchi Automata

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$\mathcal{A} = (Q, I, T, \mathcal{F})$ over alphabet Σ where

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Run ρ **generalized-Büchi-accepted** if
for all $F \in \mathcal{F}$, ρ is Büchi-accepted,
i.e., $\forall F \in \mathcal{F}. \text{Inf}(\rho) \cap F \neq \emptyset$.

Degeneralization

Lemma

TGBA can be degeneralized.

Proof.

Let $\mathcal{A} = (Q, I, T, \mathcal{M})$ be a TGBA with $\mathcal{M} = \{m_1, \dots, m_k\}$.

We construct $\bar{\mathcal{A}} = (Q \times \{1, \dots, k\}, I \times \{1\}, \bar{T}, \{\bar{m}\})$ with

$$\begin{aligned} \bar{T} = & \{((q, i), \sigma, \emptyset, (q', i)) \mid (q, \sigma, M, q') \in T, m_i \notin M\} \\ & \cup \{((q, i), \sigma, \emptyset, (q', i+1)) \mid i \neq k, (q, \sigma, M, q') \in T, m_i \in M\} \\ & \cup \{((q, k), \sigma, \{m_a\}, (q', 1)) \mid (q, \sigma, M, q') \in T, m_k \in M\} \end{aligned}$$

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