### An Invitation to Higher-Dimensional Auomata Theory

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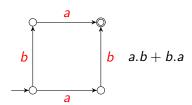
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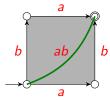
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## Higher-dimensional automata

a in parallel with b:





a and b are independent

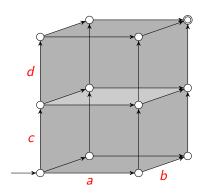
### Higher-dimensional automata & concurrency

HDA as a model for concurrency:

- points: states
- edges: transitions
- squares, cubes etc.: independency relations (concurrently executing events)
- two-dimensional automata ≅ asynchronous transition systems
   [Bednarczyk]

[van Glabbeek 2006, TCS]: Up to history-preserving bisimilarity, HDA "generalize the main models of concurrency proposed in the literature" (notably, event structures and Petri nets)

### A 3D example



- no cubes, all faces except middle horizontal
- a and b independent; c introduces conflict; d releases conflict

### Precubical sets and higher dimensional automata

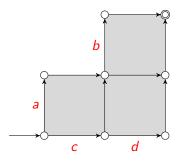
An loset is a finite, ordered and  $\Sigma$ -labelled set. (a list of events)

A precubical set *X* consists of:

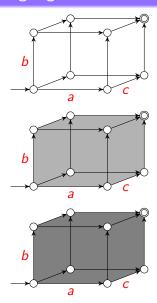
- A set of cells X (cubes)
- Every cell  $x \in X$  has an loset ev(x) (list of events active in x)
- We write  $X[U] = \{x \in X \mid \text{ev}(x) = U\}$  for an loset U (cells of type U)
- For every loset U and  $A \subseteq U$  there are: upper face map  $\delta_A^1: X[U] \to X[U-A]$  (terminating events A) lower face map  $\delta_A^0: X[U] \to X[U-A]$  (unstarting events A)
- Precube identities:  $\delta^{\mu}_{A}\delta^{\nu}_{B} = \delta^{\nu}_{B}\delta^{\mu}_{A}$  for  $A \cap B = \emptyset$  and  $\mu, \nu \in \{0, 1\}$

A higher dimensional automaton (HDA) is a precubical set X with start cells  $X_{\perp} \subseteq X$  and accept cells  $X^{\top} \subseteq X$  (not necessarily vertices)

# Example

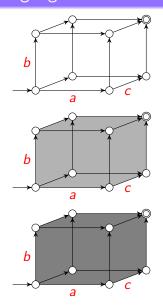


- Automata have languages
- HDA don't (hitherto)
- (focus has been on operational and topological aspects)



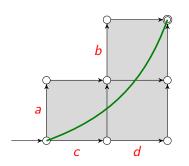
$$L_1 = \{abc, acb, bac, bca, cab, cba\}$$

$$L_3 = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix}, \dots \right\}$$



$$L_1 = \{abc, acb, bac, bca, cab, cba\}$$

$$L_{2} = \left\{ \begin{pmatrix} a \\ b \to c \end{pmatrix}, \begin{pmatrix} a \\ c \to b \end{pmatrix}, \begin{pmatrix} b \\ a \to c \end{pmatrix}, \\ \begin{pmatrix} b \\ c \to a \end{pmatrix}, \begin{pmatrix} c \\ a \to b \end{pmatrix}, \begin{pmatrix} c \\ b \to a \end{pmatrix} \right\} \cup L_{1}$$
sets of pomsets
$$L_{3} = \left\{ \begin{pmatrix} a \\ b \\ c \end{pmatrix} \right\} \cup L_{2}$$



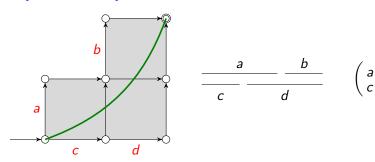
$$\begin{pmatrix}
a \rightarrow b \\
c \rightarrow d
\end{pmatrix}$$

not series-parallel!

### Are all pomsets generated by HDA?

### No, only (labeled) interval orders

- Poset  $(P, \leq)$  is an interval order iff it has an interval representation:
  - a set  $I = \{[I_i, r_i]\}$  of real intervals
  - with order  $[I_i, r_i] \leq [I_j, r_j]$  iff  $r_i \leq I_j$
  - and an order isomorphism  $(P, \leq) \leftrightarrow (I, \preceq)$
- [Fishburn 1970]



#### Pomsets with interfaces

### Definition (Ipomset)

A pomset with interfaces (and event order):  $(P, <, -\rightarrow, S, T, \lambda)$ :

- finite set P;
- two partial orders < (precedence order), --→ (event order)</li>
  - s.t.  $< \cup --\rightarrow$  is a total relation;
- $S, T \subseteq P$  source and target interfaces
  - s.t. S is <-minimal, T is <-maximal.

### Composition of ipomsets

- Gluing P \* Q: P before Q, except for interfaces (which are identified)
- Parallel composition  $P \parallel Q$ : P above Q (disjoint union)

- For an HDA X, L(X) is a set of interval-order ipomsets
  - and closed under subsumption

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- For any interval order P,  $\exists$  HDA  $\square^P$  for which  $L(\square^P) = \{P\} \downarrow$ 
  - and then for any HDA X,  $P \in L(X)$  iff  $\exists f : \Box^P \to X$

### Definition (Rational Languages over $\Sigma$ )

- Generated by  $\emptyset$ ,  $\{\epsilon\}$ , and all  $\{[a]\}$ ,  $\{[\bullet \ a]\}$ ,  $\{[a \bullet]\}$ ,  $\{[\bullet \ a \bullet]\}$  for  $a \in \Sigma$
- under operations  $\cup$ , \*,  $\parallel$  and (Kleene plus)  $^+$

#### Theorem (à la Kleene)

A language is rational iff it is recognized by an HDA.

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### Theorem (à la Myhill-Nerode)

A language is rational iff it has finite prefix quotient.

### Myhill-Nerode

- $P \setminus L := \{ Q \in \mathsf{iiPoms} \mid PQ \in L \}$
- $suff(L) := \{P \setminus L \mid P \in iiPoms\}$

#### $\mathsf{Theorem}$

L is rational iff suff (L) is finite.

Construction  $L \sim M(L)$ :

- $P \sim_L Q :\Leftrightarrow P \backslash L = Q \backslash L$
- $P \approx_L Q :\Leftrightarrow \forall A \subseteq T_P : (P-A) \setminus L = (Q-A) \setminus L$
- cells of M(L) are  $\approx_I$ -equivalence classes
- M(L) may be non-deterministic
- if L is determinizable, then M(L) is deterministic (and minimal (?))
- but there exist non-determinizable languages
- in fact, there are infinitely ambiguous languages