

# Algorithms & Data Structures

by Ultan

## Introduction

Notes from the course, Algorithms and Data Structures I took. These are notes that are relevant for a general software engineering position.

Other courses, from my degree, that are relevant for a general, entry level software engineering position are Introduction to Programming, Advanced Telecommunications, Information Management II and Software Engineering. Notes for these courses are also included in ../.

These summary notes are based mainly on content from the course, Algorithms and Data Structures, but also from the textbook Algorithms by Robert Sedgewick and Kevin Wayne, linked [here](#) and from the course Program Design and Methodology II at Duke University, linked [here](#).

## Overview

- Algorithm: Steps to perform a task that solves a general computational problem
- Data Structures: The ways to store the information needed for the algorithm
- Programs must be correct. Mathematical guarantee through formal verification. Confidence of correctness through testing
- Programs must be efficient

## Analysis of Algorithms

- Used to evaluate efficiency (performance)
- How the program scales to larger inputs
- Best case. Average case. Worst case. Generally consider the worst case
- Experimental algorithmics
  - Can measure running time using `Stopwatch()`, `double elapsedTime`
  - System independent effects are algorithm, input data
  - System dependent effects are hardware, software, system (OS)
- Mathematical approach
  - Most primitive operations take constant time (variable declaration, assignments, array access, array allocation). Can count frequency and then assign a cost to each
- Cost models
  - Cost model 1 - constants cost 1
  - Cost model 2 - only highest order terms count (when N is large terms are negligible, when N is small we don't care)
  - Use tilde notation e.g.  $N + 2$  is  $N$ ,  $N(N - 1)$  is  $N^2$  and estimate performance by adding up these simplified frequencies
  - Cost model 3 - count only some operations

## Order of Growth and Asymptotic Notation

- Order of growth ignores leading coefficients and ignores lower order terms

Order of Growth	Name	Typical Code Framework	Description
1	Constant	<code>a = b + c;</code>	Statement
$\log N$	Logarithmic	<code>while (N &gt; 1) {N = N/2;}</code>	Divide in half
$N$	Linear	<code>for(int i = 0; i &lt; N; i++) {}</code>	Loop
$N \log N$	Linearithmic	...	Divide and conquer
$N^2$	Quadratic	<code>for(int i = 0; i &lt; N; i++) {for(int j = 0; j &lt; N; j++) {}}</code>	Double loop
$N^3$	Cubic	<code>for(int i = 0; i &lt; N; i++) {for(int j = 0; j &lt; N; j++) {for(int k = 0; k &lt; N; k++) {}}}</code>	Triple loop
$2^N$	Exponential	...	Exhaustive search

- $\Theta(g(N))$ :  $f(n)$  is bounded above and below by  $g(n)$  asymptotically (ignoring constant factors)
  - Example:  $\Theta(N^2)$
- $O(g(N))$ :  $f(n)$  is bounded above by  $g(n)$  asymptotically (ignoring constant factors)
  - Example:  $O(N^2)$
- $\Omega(g(N))$ :  $f(n)$  is bounded below by  $g(n)$  asymptotically (ignoring constant factors).
  - Example:  $\Omega(N^2)$

Notation	Provides	Example	Shorthand For	Used To
Big Theta	Asymptotic order of growth	$\Theta(N^2)$	$10N^2, 0.5N^2, 5N^2 + 22N \log N, \dots$	Classify algorithms
Big Oh	$\Theta(N^2)$ and smaller	$O(N^2)$	$10N^2, 100N, \dots$	Develop upper bounds
Big Omega	$\Theta(N^2)$ and larger	$\Omega(N^2)$	$0.5N^2, N^5, \dots$	Develop lower bounds

## Properties of Asymptotic Notation

### Sums

If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$ , then  $f_1(n) + f_2(n)$  is  $O(\max\{g_1(n), g_2(n)\})$

### Products

If  $f_1(n)$  is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$ , then  $f_1(n) \cdot f_2(n)$  is  $O(g_1(n) \cdot g_2(n))$

### Bounds

- Upper bound: Performance guarantee of an algorithm for any input
- Lower bound: No algorithm can do better for worst case inputs

## Amortised Analysis

- Starting from an empty data structure, average running time per operation over a worst-case sequence of operations

## Data Structures Listing

Follow the below links.

### 1. Stack

1. Linked-List Implementation: N/A
2. Linked-List Generic Implementation: <https://algs4.cs.princeton.edu/13stacks/LinkedStack.java.html>
3. Fixed-Capacity - Array Implementation: <https://algs4.cs.princeton.edu/13stacks/FixedCapacityStack.java.html>
4. Resizing - Array Implementation: N/A
5. Resizing - Generic Array Implementation: <https://algs4.cs.princeton.edu/13stacks/ResizingArrayStack.java.html>

### 2. Queue

1. Linked-List Implementation: N/A
2. Linked-List Generic Implementation: <https://algs4.cs.princeton.edu/13stacks/Queue.java.html>
3. Fixed-Capacity - Array Implementation: N/A
4. Resizing - Array Implementation: N/A
5. Resizing - Generic Array Implementation: <https://algs4.cs.princeton.edu/13stacks/ResizingArrayQueue.java.html>

### 3. Priority Queue

1. Unordered Array Implementation: <https://algs4.cs.princeton.edu/24pq/UnorderedArrayMaxPQ.java.html>

### 4. Binary Search Tree

1. Generic Implementation: <https://algs4.cs.princeton.edu/32bst/BST.java.html>

## Data Structures

### Java Generics

- Avoids casting the client. Discover type mismatch errors at compile-time instead of run-time
- Achieved by specifying a type parameter, e.g. `Stack<Integer> i = new Stack<Integer>();`
- Autoboxing: Allows dealing with primitive types. Each primitive type has a wrapper object type. Autoboxing performs a cast between a primitive type and its wrapper, e.g. `Stack<Integer> i = new Stack<Integer>(); i.push(17);`
- Iterator: Is `java.lang.Iterable`. An `Iterable` has a method that returns an iterator. An iterator has methods `hasNext()` and `next()`. A data structure can be `Iterable` allowing elegant client code
- Comparable: Is `.compareTo`. `Comparable` is a parametric interface because it doesn't know a priori the type `T`

### Linked List

```

/**
 * Definition for singly-linked list.
 * public class ListNode {
 *     int val;
 *     ListNode next;
 *     ListNode() {}
 *     ListNode(int val) { this.val = val; }
 *     ListNode(int val, ListNode next) { this.val = val; this.next = next; }
 * }
 */

```

## Stack

- LIFO
- API:
  - StackOfStrings() - create an empty stack
  - void push(String item) - insert a new string onto the stack
  - String pop() - remove and return the string most recently added
  - boolean isEmpty() - is the stack empty?
  - int size() - number of strings on the stack
- Using a Linked-List:
  - Maintain a pointer first to first node in a singly linked-list
  - Push new item before first
  - Pop item from first
- Using a Fixed-Capacity Array:
  - Use array s[] to store items in stack
  - push(): Add new item at s[N]
  - pop(): Remove item from s[N-1]
- Using a Resizing Array:
  - push(): Double size of array s[] when array is full
  - pop(): Halve size of array s[] when array is one-quarter full

## Queue

- FIFO
- API:
  - QueueOfStrings(): Create an empty queue
  - void enqueue(String item): Insert a new string onto queue
  - String dequeue(): Remove and return the string least recently added
  - boolean isEmpty(): Is the queue empty?
  - int size(): Number of strings on the queue
- Using a Linked-List:
  - Maintain one pointer first to first node in a singly-linked list
  - Maintain another pointer last to last node

- Dequeue from first
- Enqueue after last
- Using a Fixed-Capacity Array:
  - Use array q[] to store items in a queue
  - enqueue(): Add new item at q[tail]
  - dequeue(): Remove item from q[head]
  - Update head and tail modulo the capacity

## Priority Queue

- Remove the largest item
- API:
  - MaxPQ(): Create an empty priority queue
  - MaxPQ(Key[] a): Create a priority queue with given keys
  - void insert(Key v): Insert a key into the priority queue
  - Key delMax(): Return and remove the largest key
  - boolean isEmpty(): Is the priority queue empty?
  - Key max(): Return the largest key
  - int size(): Number of entries in the priority queue

## Binary Search Tree

```
/**
 * Definition for a binary tree node.
 * public class TreeNode {
 *     int val;
 *     TreeNode left;
 *     TreeNode right;
 *     TreeNode() {}
 *     TreeNode(int val) { this.val = val; }
 *     TreeNode(int val, TreeNode left, TreeNode right) {
 *         this.val = val;
 *         this.left = left;
 *         this.right = right;
 *     }
 * }
 */
```

- A binary tree in symmetric order
- A binary tree can be empty or have two disjoint binary trees (left and right)
- Symmetric order means each node has a key and every node's key is:
  - Larger than all keys in its left subtree
  - Smaller than all keys in its right subtree
- Terminology:
  - Leaves of tree: The nodes with no child nodes
  - Height of tree: The maximum number of links from the root to a leaf

- Levels of tree: The maximum number of nodes from the root to a leaf (including root and leaf)
- Size of tree: The number of nodes in the tree
- Depth of a node: The number of links from the root to this node

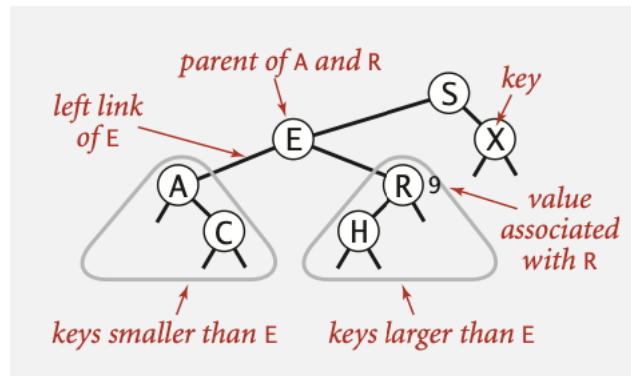


Figure 1:

• Operations:

- Search - If less, go left; if greater, go right; if equal, search hit
- Insert - If less, go left; if greater, go right; if null, insert
- Get - Return value corresponding to given key, or null if no such key
- Put - Associate value with key. Search for key, then two cases:
  - \* Key in tree → reset value
  - \* Key not in tree → add new node

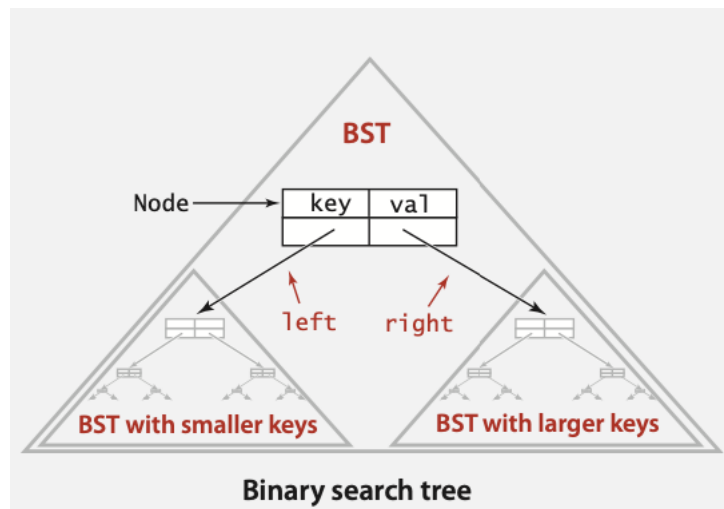


Figure 2:

## Algorithms Listing

Follow the below links.

1. Binary Search: <https://algs4.cs.princeton.edu/11model/BinarySearch.java.html>
2. Selection Sort: <https://algs4.cs.princeton.edu/21elementary/Selection.java.html>
3. Insertion Sort: <https://algs4.cs.princeton.edu/21elementary/Insertion.java.html>
4. Quick Sort: <https://algs4.cs.princeton.edu/23quicksort/Quick.java.html>

# Algorithms

## Design

- Brute-force: Enumerate all possible candidates and check if each candidate satisfies the problem statement, e.g. selection sort
- Decrease and conquer: Establish relationship between a problem and a smaller instance of that problem, e.g. insertion sort
- Divide and conquer: Divide a problem into several subproblems of the same type, ideally of the same size, e.g. quick sort, binary tree traversal
- Transform and conquer: Modify a problem to be more amenable to solution, then solve, e.g. 2-3 trees
- Greedy: Always take the choice that looks best at the moment, e.g. Dijkstra
- Dynamic programming: Similar to divide and conquer but in divide and conquer subproblems are disjoint and in dynamic programming subproblems overlap (solutions to those are stored, indexed and reused)

## Time Complexity

Algorithm	Best	Average	Worst
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n)$	$O(n^2)$	$O(n^2)$
Quick Sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$
Binary Search	$O(1)$	$O(\log n)$	$O(\log n)$

## Use Cases

- Selection Sort: Insensitive to the data. Good if we want to have our sort always take the same time
- Insertion Sort: Good when the number of inversions is small
- Quick Sort: Fastest practical sort. Has excellent average-case behaviour
- Binary Search: For when you have a sorted list

## Binary Search

- Too small, go left
- Too big, go right
- Equal, found

## Selection Sort

- Description: Find the minimum of the remaining elements each time
- Situation after  $i$ th pass: First  $i$  elements are sorted and in proper position

## Insertion Sort

- Description: Pass through and insert record into a (sorted) list of the records processed so far
- Situation after  $i$ th pass: First  $i$  elements sorted

## Quick Sort

- Description: Base case - Is our portion of the vector close to sorted? If so, just use insertion sort.  
Divide step - Choose a pivot and divide the vector into elements smaller than the pivot and elements greater than it