SVM Handwriting Classification Midterm Exam

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1 Primal Soft-Margin SVM

The primal soft-margin SVM classifier was built using the optimization problem in Equation 1.

$$\min 0.5 (\vec{w} \cdot \vec{w}) + C \sum_{i=1}^{l} \xi_{i}$$

$$s.t.$$

$$\xi_{i} \ge 0$$

$$y_{i} ((\vec{x_{i}} \cdot \vec{w}) - b) \ge 1, i = 1, 2, ..., l$$

$$(1)$$

1.1 Primal Soft-Margin AMPL Model

```
model;
# lines in file (number of training images)
param 1;
# pixels per image (size of training vector)
param n;
# weight on xi penalty coefficient in primal problem
param C;
# output vector (1 or -1)
param y { 1..1 };
# input data
param x { 1..1, 1..n };
```

1.2 Primal Soft-Margin Results

```
LOQO 6.07: optimal solution (18 QP iterations, 18 evaluations) primal objective 3.808456385 dual objective 3.808456358
```

"option abs_boundtol 2.8429960302606307e-10;" will change deduced dual values.

```
w [*] :=
1 -3.15451e-27
                 17 1.82133e-10
                                  33 -0.111267
                                                    49 -0.0343738
2 0.118075
                 18 0.14054
                                  34 -0.301305
                                                    50 0.0933198
                 19 -0.0293014
                                  35 -0.442642
3 0.213456
                                                    51 0.000171579
4 0.0818948
                 20 -0.0770882
                                  36 -0.0416026
                                                    52 -0.402848
5 0.0715085
                 21 0.741797
                                  37 0.0835767
                                                    53 -0.0667686
6 0.0349342
                 22 0.501937
                                                    54 0.0430135
                                  38 0.0884881
7 -0.0338163
                 23 0.198454
                                  39 -0.232727
                                                    55 0.0195015
8 -3.15451e-27
                 24 -0.131832
                                  40 -0.00596055
                                                    56 -0.00596055
9 8.52162e-11
                 25 -0.111267
                                  41 -0.129117
                                                    57 0.0910099
                                                   58 -0.0155056
10 0.339545
                 26 -0.259003
                                  42 -0.631474
11 0.348543
                 27 -0.217968
                                  43 -0.662013
                                                   59 0.0763903
                                                    60 0.0520377
12 0.475353
                 28 -0.111734
                                  44 -0.151043
13 0.000296062
                 29 0.285148
                                  45 0.0745046
                                                    61 -0.240032
14 0.0637961
                 30 0.328823
                                  46 -0.232932
                                                    62 0.037993
15 0.0661811
                 31 0.179537
                                  47 -0.187038
                                                   63 0.148775
```

```
16 -3.15451e-27
                  32 -3.15451e-27
                                      48 0.0581445
                                                        64 -3.15451e-27
xi [*] :=
  1 3.54215e-10
                    48 4.28256e-10
                                      95 3.68708e-10
                                                         142 3.775e-10
  2 0.463301
                    49 3.71314e-10
                                      96 3.76266e-10
                                                         143 7.32662e-10
  3 1.49441
                    50 0.0323216
                                      97 0.0920738
                                                         144 3.40313e-10
  4 4.08089e-10
                                      98 0.072318
                    51 3.83008e-10
                                                         145 3.31577e-10
  5 4.00877e-10
                    52 3.89458e-10
                                      99 3.7132e-10
                                                         146 4.24756e-10
  6 3.67217e-10
                    53 3.36558e-10
                                      100 1.84125
                                                         147 0.59652
  7 1.20085
                    54 3.87526e-10
                                      101 1.1496
                                                         148 4.18158e-10
  8 3.88911e-10
                    55 4.30938e-10
                                      102 3.60704e-10
                                                         149 3.97591e-10
 9 0.214791
                    56 0.41072
                                      103 0.00359628
                                                         150 4.38972e-10
 10 1.28133e-08
                    57 4.1869e-10
                                      104 3.91538e-10
                                                         151 4.03546e-10
 11 3.94828e-10
                    58 3.16047e-10
                                      105 2.21851e-09
                                                         152 0.565352
 12 3.77228e-10
                    59 3.90868e-10
                                      106 4.3815e-10
                                                         153 0.894454
 13 1.39793e-09
                    60 2.843e-10
                                      107 4.12031e-10
                                                         154 0.375176
 14 3.46076e-10
                    61 1.6605e-08
                                      108 4.11394e-10
                                                         155 3.85445e-10
 15 3.90668e-10
                    62 3.95981e-10
                                      109 3.07597e-08
                                                         156 3.8433e-10
 16 3.89596e-10
                    63 3.38845e-10
                                      110 0.135305
                                                         157 4.00168e-10
 17 3.84253e-10
                    64 0.263084
                                      111 3.85553e-10
                                                         158 4.20697e-10
                    65 7.81376e-10
 18 3.78775e-10
                                      112 3.71473e-10
                                                         159 3.79116e-10
 19 4.17314e-10
                    66 0.402768
                                      113 4.2554e-10
                                                         160 3.89053e-10
 20 3.87605e-10
                    67 3.44195e-10
                                      114 3.831e-10
                                                         161 0.143884
 21 4.04914e-10
                    68 3.35975e-10
                                      115 3.93818e-10
                                                         162 0.199411
 22 3.74905e-10
                    69 0.662451
                                      116 3.27886e-10
                                                         163 4.35077e-10
                    70 3.82252e-10
 23 0.0274426
                                      117 4.22175e-10
                                                         164 4.1439e-10
 24 0.231874
                    71 3.98174e-10
                                      118 0.140067
                                                         165 3.32473e-10
 25 4.19624e-10
                    72 0.145354
                                      119 0.127478
                                                         166 3.72152e-10
 26 1.2731
                    73 0.945562
                                      120 3.7356e-10
                                                         167 6.75207e-10
 27 3.26029e-10
                    74 0.256505
                                      121 4.11598e-10
                                                         168 0.34252
 28 3.88575e-10
                    75 5.01638e-10
                                      122 3.75389e-10
                                                         169 0.575049
 29 8.17445e-10
                    76 3.87452e-10
                                      123 3.1802e-10
                                                         170 4.11112e-10
 30 3.87155e-10
                    77 1.10393e-07
                                      124 4.17731e-10
                                                         171 3.17172e-10
 31 3.61122e-10
                    78 3.27744e-10
                                      125 4.31632e-10
                                                         172 3.99229e-10
 32 7.53563e-10
                    79 4.31609e-08
                                      126 4.18139e-10
                                                         173 3.65298e-10
 33 3.5612e-10
                    80 3.43132e-10
                                      127 0.911277
                                                         174 3.34136e-10
 34 4.35504e-10
                    81 4.26958e-10
                                      128 3.56167e-10
                                                         175 5.7491e-10
 35 3.97985e-09
                    82 3.73867e-10
                                      129 3.55418e-10
                                                         176 0.228682
 36 4.1976e-10
                    83 0.52189
                                      130 3.69854e-10
                                                         177 3.81167e-10
 37 3.87513e-10
                    84 4.06703e-10
                                      131 3.62618e-10
                                                         178 3.75641e-10
 38 1.02411e-08
                    85 3.83031e-10
                                      132 3.84984e-10
                                                         179 0.191379
 39 3.8985e-10
                    86 3.66646e-10
                                      133 4.17247e-10
                                                         180 0.447845
 40 1.84448e-09
                                      134 2.82501e-09
                    87 3.77081e-10
                                                         181 1.42038e-09
 41 4.14366e-10
                    88 0.846396
                                      135 4.24345e-10
                                                         182 3.75385e-10
 42 3.65064e-10
                    89 1.41052
                                      136 8.34873e-10
                                                         183 4.10451e-10
```

```
43 3.91127e-10
                   90 4.08348e-10
                                    137 4.32896e-10
                                                       184 3.636e-10
 44 4.277e-10
                   91 4.05179e-10
                                    138 3.55676e-09
                                                       185 1.45174e-09
 45 4.25439e-10
                   92 4.19322e-10
                                    139 1.16151
                                                       186 4.51322e-10
 46 4.04992e-10
                   93 4.10816e-10
                                    140 4.31328e-10
 47 0.0204862
                   94 4.02686e-10
                                    141 3.93777e-10
b = 0.0120722
```

Java was used to parse the AMPL results and the input data files. The hyperplane defined by \vec{w} and b was then used to classify the testing data and calculate the misclassification error rate. The following Java snippet calculates the classifier output y for a set of test data (data parsing and support code omitted for brevity):

```
public static double[] calculate_y_predicted_primal(
                            List<TrainingExample> dataListTest,
                            List<TrainingExample> dataListTrain,
                            OutputGenerator out, double[] w, double b )
{
    double[] y_predicted = new double[dataListTest.size()];
    // iterate over the training examples
    for ( int i = 0; i < dataListTest.size( ); i++ )</pre>
    {
        TrainingExample x_i = dataListTest.get( i );
        double sum = 0;
        double[] x = x_i.getInputs();
        for ( int j = 0 ; j < x.length ; j++ )
        {
            sum += x[j] * w[j];
        }
        y_predicted[i] = sum - b;
    }
    return y_predicted;
}
```

The penalty constant C was set to 0.1 after testing a series of values, running the classifier, and observing the training error. Figure 1.2 shows the improvement of the testing data error rate as C approaches 0.1.

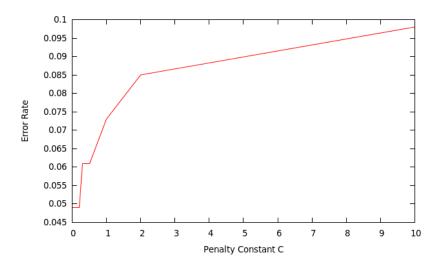


Figure 1: Primal Penality Constant Versus Error Rate

Table 1: Primal Soft-Margin Digit 3 vs 6 Error

Data Set	Error	95% Confidence Interval	
		Lower Bound	Upper Bound
Training Testing	0.038 0.049	0.010 0.002	0.065 0.095

Table 1.2 indicates that the primal soft-margin SVM classifier perfectly classified the training data set and acheived a 0.049 misclassification error rate for the testing data set for digits "3" and "6".

2 Dual Soft-Margin SVM

The dual soft-margin SVM classifier was built using the optimization problem in Equation 2.

$$\max \sum_{i=1}^{l} \alpha_i - 0.5 \sum_{i,j}^{l} \alpha_i \alpha_j y_i y_j (\vec{x_i} \cdot \vec{x_j})$$

$$s.t.$$

$$0 \ge \alpha_i \ge C, i = 1, 2, ..., l$$

$$\sum_{i=1}^{l} i = 1^l \alpha_i y_i = 0, i = 1, 2, ..., l$$
(2)

2.1 Dual Soft-Margin AMPL Model

```
model;
# lines in file (number of training images)
param 1;
# pixels per image (size of training vector)
# weight on xi penalty coefficient in primal problem
param C;
# output vector (1 or -1)
param y { 1..1 };
# input data
param x { 1..1, 1..n };
# dual problem variables and simple constraints
var a { 1..1 } >= 0, <= C ;</pre>
maximize obj: ( sum { i in 1..l } a[i] ) -
                0.5 * sum { i in 1..1, j in 1..1 }
                          (a[i] * a[j] * y[i] * y[j] *
                          sum { k in 1..n } (x[i,k] * x[j,k] ) );
```

```
s.t. const: sum { i in 1..l } a[i] * y[i] = 0 ;
option solver logo;
```

2.2 Dual Soft-Margin Results

```
LOQO 6.07: optimal solution (24 QP iterations, 24 evaluations)
primal objective 3.80845635
  dual objective 3.8084564
a [*] :=
  1 9.08547e-10
                                      95 7.93618e-11
                                                        142 2.44836e-10
                    48 9.32775e-11
  2 0.1
                    49 9.12306e-10
                                      96 4.20253e-10
                                                        143 0.0401223
  3 0.1
                    50 0.1
                                      97 0.1
                                                        144 7.69043e-10
  4 1.2248e-10
                    51 1.31798e-10
                                      98 0.1
                                                        145 1.81561e-09
  5 9.73511e-11
                    52 1.30999e-10
                                      99 0.0119755
                                                        146 1.19908e-10
  6 4.27862e-10
                    53 2.61964e-09
                                     100 0.1
                                                        147 0.1
  7 0.1
                    54 1.2823e-10
                                     101 0.1
                                                        148 2.10874e-10
                    55 8.28051e-11
  8 2.09772e-10
                                     102 1.58991e-09
                                                        149 1.23291e-10
  9 0.1
                    56 0.1
                                     103 0.0999983
                                                        150 9.75451e-11
 10 0.0825943
                    57 1.39014e-10
                                     104 4.12959e-10
                                                        151 1.69916e-10
 11 1.52064e-10
                    58 7.97273e-10
                                     105 0.0700139
                                                        152 0.1
 12 1.1513e-10
                    59 1.02462e-10
                                     106 1.41395e-10
                                                        153 0.1
 13 0.064105
                    60 4.79618e-08
                                      107 1.2443e-10
                                                        154 0.1
 14 3.18092e-10
                    61 0.090468
                                     108 2.16825e-10
                                                        155 1.82193e-10
 15 1.68031e-10
                                     109 0.0896112
                    62 1.43344e-10
                                                        156 5.54399e-10
 16 4.6803e-10
                   63 2.35787e-09
                                     110 0.1
                                                        157 1.47753e-10
 17 5.46372e-10
                    64 0.1
                                     111 0.0179415
                                                        158 3.02381e-10
                    65 0.0437021
 18 5.45239e-10
                                     112 9.37855e-11
                                                        159 2.65331e-10
 19 3.3423e-10
                    66 0.1
                                     113 1.54081e-10
                                                        160 3.81309e-10
 20 1.16503e-10
                    67 6.13164e-09
                                     114 2.23757e-10
                                                        161 0.1
 21 8.35008e-11
                    68 2.57918e-09
                                     115 2.49166e-10
                                                        162 0.1
 22 8.02316e-11
                    69 0.1
                                     116 3.75511e-09
                                                        163 9.7388e-11
                                     117 9.24059e-11
 23 0.1
                    70 4.9684e-10
                                                        164 2.17704e-10
 24 0.1
                    71 4.38411e-10
                                     118 0.1
                                                        165 0.0135987
                   72 0.1
 25 9.85652e-11
                                     119 0.1
                                                        166 5.83863e-10
 26 0.1
                   73 0.1
                                     120 6.04793e-10
                                                        167 0.0325091
 27 1.1187e-09
                    74 0.1
                                     121 1.17864e-10
                                                        168 0.1
 28 0.00971835
                    75 0.050468
                                     122 1.48579e-10
                                                        169 0.1
 29 0.0495885
                    76 0.0180752
                                     123 7.44134e-09
                                                        170 0.0182398
 30 2.73722e-10
                    77 0.0947431
                                     124 1.73175e-10
                                                        171 5.85755e-09
 31 7.17799e-10
                    78 3.29336e-09
                                     125 1.91625e-10
                                                        172 8.09361e-11
 32 0.0255155
                    79 0.0942866
                                     126 2.17789e-10
                                                        173 4.83287e-10
 33 1.56251e-09
                    80 3.20645e-08
                                     127 0.1
                                                        174 8.49808e-10
                                     128 4.13511e-10
 34 1.18584e-10
                    81 1.96128e-10
                                                        175 0.0318319
```

```
35 0.0770716
                   82 9.77418e-10
                                     129 7.37342e-10
                                                        176 0.1
                   83 0.1
36 2.19962e-10
                                     130 5.11948e-10
                                                        177 4.68524e-10
37 1.19845e-10
                   84 1.50151e-10
                                     131 8.73983e-10
                                                        178 3.90043e-10
38 0.0910098
                   85 1.09665e-10
                                     132 2.72968e-10
                                                        179 0.1
39 1.56061e-10
                   86 1.0144e-09
                                     133 7.22915e-11
                                                        180 0.1
40 0.0661812
                   87 1.32879e-09
                                     134 0.0721417
                                                        181 0.0625603
                   88 0.1
41 1.32986e-10
                                     135 9.82361e-11
                                                        182 2.544e-10
42 5.83862e-10
                   89 0.1
                                     136 0.0445782
                                                        183 1.22333e-10
                   90 1.80474e-10
43 9.79412e-11
                                     137 1.97218e-10
                                                        184 1.73124e-09
44 1.8122e-10
                   91 8.51483e-11
                                     138 0.067866
                                                        185 0.0666886
45 1.19837e-10
                   92 1.05237e-10
                                     139 0.1
                                                        186 1.00778e-10
                   93 1.42097e-10
46 1.60272e-10
                                     140 1.73984e-10
47 0.1
                   94 0.0178502
                                     141 1.16911e-10
```

The value of b was calculated for all support vectors (those with $0 < \alpha_i < C$) as a check on the correctness of the solution. The table below displays the calculated b values for each such α . The final b value used in the classification of the testing data was the average of these b values.

```
#alpha index, alpha value, calculated b
6 0.5531 0.188856798305
8 0.3733 0.188861160199
9 0.0029 0.188871934348
12 0.0531 0.188864376841
18 0.0393 0.188854459866
22 0.0602 0.188871164725
25 0.4170 0.188862548853
27 0.2798 0.188865861802
28 0.5098 0.188865357024
34 0.7256 0.188849350391
37 0.1898 0.188853567449
46 0.1669 0.188858772546
48 0.2942 0.188851064662
52 0.5802 0.188862980571
55 0.7082 0.188853273441
63 0.3538 0.188866929197
68 0.6685 0.188871266426
72 0.8144 0.188867736297
73 0.1018 0.188857462442
77 0.0754 0.188856395052
87 0.0556 0.188872370964
96 0.1804 0.188853107931
97 0.5155 0.188852990917
```

```
100 0.4256 0.188855950912
102 0.0825 0.188854038379
104 0.1136 0.188870270977
115 0.5621 0.188873322808
118 0.0967 0.188872624401
126 0.7636 0.188865448670
130 0.1043 0.188856390173
133 0.0968 0.188847704220
138 0.7243 0.188857459050
142 0.0527 0.188860768228
146 0.2022 0.188850473924
151 0.4116 0.188865036435
152 0.8614 0.188865290240
153 0.4057 0.188868509620
160 0.5062 0.188870428611
164 0.6873 0.188869861530
168 0.7740 0.188854538469
169 0.1119 0.188854672264
179 0.1979 0.188858462089
184 0.1469 0.188857771249
```

Java was used to parse the AMPL results and the input data files above. The hyperplane implied by the primal variable \vec{a} and the calculated b was then used to classify the testing data and calculate the misclassification error rate. The following Java snippet calculates the classifier output y for a set of test

Table 2: Dual Soft-Margin Digit 3 vs 6 Error

Data Set	Error	95% Confidence Interval	
		Lower Bound	Upper Bound
Training Testing	0.038 0.049	0.010 0.002	0.065 0.095

data (data parsing and support code omitted for brevity):

```
public static double[] calculate_y_predicted( List<TrainingExample> dataListTest,
                                              List<TrainingExample> dataListTrain,
                                              OutputGenerator out, Kernel kernel,
                                              double[] a, double b )
{
   double[] y_predicted = new double[dataListTest.size()];
    // iterate over the training examples
    for ( int i = 0; i < dataListTest.size( ); i++ )</pre>
    {
        TrainingExample x_i = dataListTest.get( i );
        // compute a y_predicted value based on the alpha vector
        // (solution to the dual problem)
        double sum = 0.0;
        for ( int j = 0; j < a.length; j++)
            TrainingExample x_j = dataListTrain.get( j );
            double y_j = out.getOutput( x_j );
            double a_j = a[j];
            sum += y_j * a_j * kernel.getValue( x_j.getInputs(), x_i.getInputs());
        }
        y_predicted[i] = sum - b;
    }
    return y_predicted;
}
```

Table 2.2 indicates that the dual soft-margin SVM classifier perfectly classified the training data set and acheived a 0.049 misclassification error rate for the

testing data set for digits "3" and "6". This is identical to the results achieved for the primal problem (which makes sense because the formulations should be equivalent). The same penality constant value C=0.1 was the best value for the dual problem as well as the primal problem.

3 Dual Polynomial SVM

The dual polynomial SVM classifier was built using the optimization problem in Equation 3.

$$\max \sum_{i=1}^{l} \alpha_i - 0.5 \sum_{i,j}^{l} \alpha_i \alpha_j y_i y_j \left(\alpha \left(\vec{x_i} \cdot \vec{x_j} \right) + \beta \right)^d$$

$$s.t.$$

$$0 \ge \alpha_i \ge C, i = 1, 2, ..., l$$

$$\sum_{i=1}^{l} \alpha_i y_i = 0, i = 1, 2, ..., l$$
(3)

3.1 Dual Polynomial AMPL Model

```
model;
# lines in file (number of training images)
param 1;
# pixels per image (size of training vector)
param n;
# weight on xi penalty coefficient in primal problem
param C;
# polynomial machine kernel parameters
param alpha;
param beta;
param delta;
# output vector (1 or -1)
param y { 1..1 };
# input data
param x { 1..1, 1..n };
# dual problem variables and simple constraints
var a \{1...1\} >= 0, <= C;
```

3.2 Dual Polynomial Results

```
LOQO 6.07: optimal solution (22 QP iterations, 22 evaluations)
primal objective 2867.882418
  dual objective 2867.882425
a [*] :=
      1.02531e-07
                      48
                           8.61577e-09
                                                2.10839e-08
                                                              142
                                                                     1.48999e-08
    98.4474
                           4.61188e-07
                                              34.9011
                                                                   27.6442
  2
                      49
                                          96
                                                              143
  3 100
                      50
                          30.4183
                                           97
                                               37.7857
                                                              144
                                                                     4.66503
      6.5328e-08
  4
                      51
                           1.86643e-06
                                          98
                                              10.8704
                                                              145
                                                                    2.7213e-08
  5
      1.2032e-07
                           1.11213e-07
                                          99 25.9701
                                                                     1.4305e-08
                      52
                                                              146
  6
    12.9816
                      53
                          97.8993
                                          100 100
                                                              147
                                                                    25.5623
 7
     28.3116
                      54
                           4.77467e-08
                                          101
                                              23.6605
                                                              148
                                                                    9.86569e-09
 8
                           7.64781e-09
                                          102
                                                2.27673e-08
                                                                     1.32595e-08
      6.33472e-08
                      55
                                                              149
  9
    44.7894
                           8.51246
                                                                     2.33402e-08
                      56
                                          103
                                                5.24267e-07
                                                              150
 10 26.3581
                      57
                           1.39012e-08
                                          104 10.3686
                                                              151
                                                                     1.542e-08
 11 36.7381
                      58
                           4.29743e-07
                                          105
                                               39.3451
                                                              152
                                                                     2.82917
     1.07092e-07
                      59
                           2.05962e-08
                                                4.16072e-07
                                                              153 100
 12
                                          106
 13 74.2736
                      60 100
                                          107
                                                2.71187e-08
                                                              154 100
 14 11.9111
                      61
                           8.48449e-08
                                          108
                                                4.24606
                                                              155
                                                                   39.1819
 15
      1.82933e-08
                      62
                           3.15818e-08
                                         109 100
                                                              156
                                                                     8.86615
 16
     1.35129e-07
                      63
                         16.6566
                                         110 36.8726
                                                              157
                                                                     3.07667e-08
 17
    41.4348
                      64
                          63.5389
                                         111 53.4906
                                                              158
                                                                     1.05893e-07
 18
    20.8818
                      65
                          36.6983
                                          112
                                                7.23013
                                                              159
                                                                     2.16737e-05
 19
      4.30859e-06
                     66 100
                                         113 19.614
                                                              160
                                                                    3.15004e-08
 20
      3.23797
                      67
                           0.000156624
                                         114 10.0767
                                                              161
                                                                    67.9115
 21
      1.43615e-08
                      68
                         89.4563
                                         115 100
                                                              162
                                                                     1.16297e-07
 22 100
                      69 100
                                          116 100
                                                              163
                                                                     8.37258e-09
 23 100
                      70
                           2.0411e-08
                                         117
                                                8.08354e-09
                                                              164
                                                                     1.87303e-08
 24 100
                      71
                           1.68419e-08
                                         118 100
                                                                    22.6818
                                                              165
 25
                      72 36.2032
                                                                    96.2878
      1.75544e-08
                                          119 100
                                                              166
 26 100
                      73
                          52.5948
                                          120 67.3897
                                                              167
                                                                     1.95622e-07
 27 100
                      74
                        59.7171
                                         121 100
                                                              168
                                                                   57.8253
 28 40.8574
                           2.47863
                      75
                                         122
                                                2.3803e-08
                                                              169 17.8739
```

```
29 100
                         15.1736
                                         123
                                               25.873
                                                               170
                                                                     6.14482
30
     2.16068e-07
                     77
                                         124
                                                                     1.25933
                          3.22647e-06
                                                7.29567e-08
                                                               171
31
     2.03066e-06
                     78
                         49.6606
                                         125
                                                4.94565e-08
                                                               172
                                                                     1.04854e-07
32
     3.85756e-08
                     79
                          8.19333
                                         126
                                              48.5045
                                                               173
                                                                     9.48377e-08
33
    59.5537
                     80
                          1.37222
                                         127 100
                                                               174
                                                                    18.5993
34
     1.50233e-08
                     81
                          4.01854e-08
                                         128
                                                1.81162e-07
                                                               175
                                                                    37.0473
35
     1.54028e-07
                          4.6698e-08
                     82
                                         129
                                               37.4749
                                                               176 100
36
     1.62842e-08
                         40.1234
                                         130
                                                5.07964e-08
                                                               177
                                                                     2.07399e-08
                     83
37
     1.49206e-08
                          3.85788e-08
                                         131
                                               34.2697
                                                               178
                                                                     3.55846e-08
                     84
38
    16.7662
                     85
                         51.4942
                                         132
                                                7.80473e-08
                                                               179 100
     1.98667e-08
                                                6.14671e-09
39
                     86
                         17.3654
                                         133
                                                               180 100
     2.53905e-07
                         81.9708
                                                2.76429e-08
                                                                    73.9895
40
                     87
                                         134
                                                               181
41
     0.103716
                     88 100
                                         135
                                                1.61786e-08
                                                               182
                                                                     4.9928e-08
42
     5.10616e-08
                     89 100
                                         136
                                                2.2634e-07
                                                               183
                                                                     1.38181e-08
43
     3.01842e-08
                     90
                          4.56927e-08
                                         137
                                                1.14858e-08
                                                               184
                                                                     5.57055e-07
44
     1.19128e-08
                     91
                          1.29279e-08
                                         138
                                                2.34395e-08
                                                               185
                                                                    25.356
45
     1.11601e-08
                     92
                          9.98219
                                         139
                                               82.4303
                                                               186
                                                                     1.12149e-08
46
     2.1805e-08
                     93
                         12.6638
                                         140
                                                1.308e-08
    13.8354
                     94
                         68.5562
                                         141
                                                1.34735e-08
47
```

The value of b was calculated for all support vectors (those with $0 < \alpha_i < C$) in the same manner as for the dual soft-margin problem in Section 2.

```
#alpha value, calculated b
98.4474 0.003688057498
12.9816 0.003688219777
28.3116 0.003689360280
44.7894 0.003688990222
26.3581 0.003688019794
36.7381 0.003689233929
74.2736 0.003688981995
11.9111 0.003688561909
41.4348 0.003689095055
20.8818 0.003689023799
3.2380 0.003688472784
40.8574 0.003688865371
59.5537 0.003688906990
16.7662 0.003688761357
0.1037 0.003695737907
13.8354 0.003688425517
30.4183 0.003688270055
97.8993 0.003688426899
8.5125 0.003688976897
```

- 16.6566 0.003689144953
- 63.5389 0.003689011298
- 36.6983 0.003688580128
- 89.4563 0.003688441483
- 36.2032 0.003688147806
- 52.5948 0.003688233328
- 59.7171 0.003689335758
- 2.4786 0.003688938989
- 15.1736 0.003689095760
- 49.6606 0.003688668794
- 8.1933 0.003688627345
- 1.3722 0.003688150932
- 40.1234 0.003689538042
- 51.4942 0.003688334140
- 17.3654 0.003688354074
- 81.9708 0.003688425479
- 9.9822 0.003688209154 12.6638 0.003687213091
- 12.0000 0.000007210001
- 68.5562 0.003688553410
- 34.9011 0.003690060290
- 37.7857 0.003690222677
- 10.8704 0.003691053320
- 25.9701 0.003689453009
- 23.6605 0.003688199671
- 10.3686 0.003689730633
- 39.3451 0.003689168432
- 4.2461 0.003689282127 36.8726 0.003688797655
- 53.4906 0.003688706942
- 7.2301 0.003688278618
- 19.6140 0.003687876768
- 10.0767 0.003687977832
- 67.3897 0.003688923886
- 25.8730 0.003688569845
- 48.5045 0.003689123141
- 37.4749 0.003688113516
- 34.2697 0.003689853627
- 82.4303 0.003688933590
- 27.6442 0.003690255237
- 27.0442 0.00309023323
- 4.6650 0.003689246088
- 25.5623 0.003689210145
- 2.8292 0.003689643357
- 39.1819 0.003689726944
- 8.8662 0.003689347001 67.9115 0.003688349042
- 22.6818 0.003688557541

Table 3: Dual Polynomial Digit 3 vs 6 Error

Data Set	Error	95% Confidence Interval	
		Lower Bound	Upper Bound
Training Testing	0.000 0.037	0.000 -0.004	0.000 0.077

96.2878 0.003688524804 57.8253 0.003689285044 17.8739 0.003688683644 6.1448 0.003689311403 1.2593 0.003688751264 18.5993 0.003689598874 37.0473 0.003688764178 73.9895 0.003688189170

25.3560 0.003688246100

Table 3.2 indicates that the dual polynomial SVM classifier perfectly classified the training data set and acheived a 0.037 misclassification error rate for the testing data set for digits "3" and "6" with penalty constant C = 100.

4 Dual Radial SVM

The dual radial SVM classifier was built using the optimization problem in Equation 4.

$$\max \sum_{i=1}^{l} \alpha_{i} - 0.5 \sum_{i,j}^{l} \alpha_{i} \alpha_{j} y_{i} y_{j} e^{-\gamma \|x - x_{i}\|^{2}}$$

$$s.t.$$

$$0 \ge \alpha_{i} \ge C, i = 1, 2, ..., l$$

$$\sum_{i=1}^{l} i = 1^{l} \alpha_{i} y_{i} = 0, i = 1, 2, ..., l$$
(4)

4.1 Dual Radial AMPL Model

model;

lines in file (number of training images)
param 1;

```
# pixels per image (size of training vector)
param n;
# weight on xi penalty coefficient in primal problem
param C;
# parameters for radial basis function kernel
param gamma;
# output vector (1 or -1)
param y { 1..1 };
# input data
param x { 1..1, 1..n };
# dual problem variables and simple constraints
var a \{1...1\} >= 0, <= C;
maximize obj: sum { i in 1..l } a[i] -
              0.5 * sum { i in 1..1, j in 1..1 }
                      (a[i] * a[j] * y[i] * y[j] * exp(-gamma * (
                      sum { k in 1..n } ( (x[i,k] - x[j,k])^2)));
s.t. const: sum { i in 1..1 } a[i] * y[i] = 0;
option solver loqo;
```

4.2 Dual Radial Results

```
LOQO 6.07: optimal solution (20 QP iterations, 20 evaluations)
primal objective 53.83866255
 dual objective 53.83866423
a [*] :=
 1 0.0835205
                  48 1.08389e-08
                                    95 3.95684e-09
                                                    142 1.1919e-08
 2 1.68979
                  49 0.721593
                                    96 0.750603
                                                    143 1.1128
 3 2
                  50 1.10289
                                    97 1.62747
                                                    144 0.280222
                                                   145 1.10932e-08
 4 6.86854e-09 51 0.0999029
                                   98 0.469429
 5 8.39209e-09 52 5.7903e-09
                                   99 1.0157
                                                   146 5.18126e-09
 6 0.644339
               53 0.804181
                                 100 2
                                                   147 2
                                                   148 1.91219e-08
 7 1.74816
                 54 9.62904e-09 101 2
 8 1.86438e-08 55 4.49558e-09 102 4.82231e-08 149 6.80483e-09
9 1.83991 56 1.36479 103 0.923025 150 8.22023e-09
10 0.630288 57 6.4741e-09 104 2.37255e-07 151 2.63974e-08
```

```
11 0.310396
                  58 0.64683
                                    105 0.614093
                                                       152 1.55237
                  59 5.99453e-09
12 8.46713e-09
                                    106 1.07806e-08
                                                       153 2
13 1.3559
                  60 1.28657
                                    107 7.64931e-09
                                                       154 2
14 5.8915e-08
                  61 0.12706
                                    108 3.36592e-06
                                                       155 1.59631e-08
15 6.95535e-09
                  62 7.21003e-09
                                    109 5.67999e-08
                                                       156 0.290096
16 0.0708219
                  63 0.315678
                                    110 1.38359
                                                       157 5.62945e-09
17 0.80453
                  64 1.54286
                                    111 0.892388
                                                       158 1.35452e-08
18 0.0250783
                  65 1.02985e-07
                                    112 1.06861e-08
                                                       159 0.149991
                                    113 4.38241e-08
19 3.38021e-08
                  66 2
                                                       160 0.000973461
20 1.09657e-08
                  67 3.23285e-08
                                    114 0.249086
                                                       161 1.37089
21 4.44345e-09
                  68 4.86676e-08
                                                       162 0.11776
                                    115 1.0861e-08
22 3.55428e-09
                  69 2
                                    116 1.15512
                                                       163 6.12141e-09
23 0.591677
                  70 1.76405e-08
                                    117 5.17798e-09
                                                       164 1.79269e-07
24 0.934571
                  71 4.15716e-07
                                    118 0.294603
                                                       165 0.839991
25 4.08618e-09
                  72 1.33675
                                    119 1.81976
                                                       166 0.107207
26 2
                  73 2
                                    120 5.16946e-08
                                                       167 0.5618
27 0.071223
                  74 2
                                    121 7.46079e-09
                                                       168 1.90079
28 5.94108e-08
                  75 0.065721
                                    122 4.35716e-09
                                                       169 1.36503
29 0.631436
                  76 0.22431
                                    123 3.76496e-08
                                                       170 8.8649e-08
30 9.93891e-09
                  77 1.12665
                                    124 1.24853e-08
                                                       171 1.56859
31 2.23578e-08
                  78 2
                                    125 1.11663e-08
                                                       172 1.04136e-06
32 6.03069e-08
                  79 0.880427
                                    126 2.38502e-08
                                                       173 0.122826
                                    127 2
33 0.200163
                  80 0.275896
                                                       174 0.501269
                                    128 0.462759
34 8.73754e-09
                  81 1.66542e-08
                                                       175 0.519653
35 0.694732
                  82 1.87144e-08
                                    129 3.1387e-08
                                                       176 1.03196
36 6.66642e-09
                  83 1.81782
                                    130 6.27573e-08
                                                       177 1.56941e-08
37 5.64813e-09
                  84 9.99141e-09
                                    131 0.475888
                                                       178 5.54177e-08
38 0.865135
                  85 5.67094e-09
                                    132 2.13405e-08
                                                       179 2
                  86 1.58664e-08
                                    133 5.90932e-09
                                                       180 2
39 1.36077e-08
40 0.865875
                  87 9.00442e-08
                                    134 0.786758
                                                       181 1.08228e-07
41 0.126649
                  88 2
                                    135 4.9382e-09
                                                       182 7.55646e-09
42 0.40462
                  89 2
                                    136 0.704509
                                                       183 5.81155e-09
43 5.35998e-09
                  90 9.90716e-09
                                    137 9.22595e-09
                                                       184 0.168461
44 8.1665e-09
                  91 4.23655e-09
                                    138 0.0586415
                                                       185 1.15709
45 6.21639e-09
                  92 1.70153e-08
                                    139 2
                                                       186 7.55563e-09
46 9.33715e-09
                  93 0.225376
                                    140 8.7528e-09
47 1.31906
                  94 1.47
                                    141 1.07458e-08
```

The value of b was calculated for all support vectors (those with $0 < \alpha_i < C$) in the same manner as for the dual soft-margin problem in Section 2.

```
#alpha index, alpha value, calculated b 0 0.0835 -0.005055192502
```

;

- 1 1.6898 -0.005054889793
- 5 0.6443 -0.005053751059
- 6 1.7482 -0.005053520845
- 8 1.8399 -0.005056775412
- 9 0.6303 -0.005051822306
- 10 0.3104 -0.005050092314
- 12 1.3559 -0.005057070199
- 15 0.0708 -0.005052367837
- 16 0.8045 -0.005052634734
- 17 0.0251 -0.005052181395
- 22 0.5917 -0.005055870024
- 23 0.9346 -0.005055457147
- 26 0.0712 -0.005054881999
- 28 0.6314 -0.005054656029
- 32 0.2002 -0.005053132180
- 34 0.6947 -0.005054210510
- 37 0.8651 -0.005052014326
- 39 0.8659 -0.005051188346
- 40 0.1266 -0.005049676286
- 41 0.4046 -0.005054688125
- 46 1.3191 -0.005054698306
- 48 0.7216 -0.005053679687
- 49 1.1029 -0.005054209844
- 50 0.0999 -0.005051708282
- 52 0.8042 -0.005055288183
- 55 1.3648 -0.005050499229
- 57 0.6468 -0.005053825560
- 59 1.2866 -0.005057430412
- 60 0.1271 -0.005056280906
- 62 0.3157 -0.005052908734
- 63 1.5429 -0.005056117219
- 71 1.3368 -0.005057351498 74 0.0657 -0.005054200461
- 75 0.2243 -0.005053737243
- 76 1.1267 -0.005051999063
- 78 0.8804 -0.005056022078
- 79 0.2759 -0.005050818824
- 82 1.8178 -0.005051192172
- 92 0.2254 -0.005050147476
- 93 1.4700 -0.005053762396
- 95 0.7506 -0.005053202436
- 96 1.6275 -0.005048529051 97 0.4694 -0.005050437673
- 98 1.0157 -0.005052563654
- 102 0.9230 -0.005052636251
- 104 0.6141 -0.005055247003

Table 4: Dual Radial Digit 3 vs 6 Error

Data Set	Error	95% Confidence Interval	
		Lower Bound	Upper Bound
Training	0.000	0.000	0.000
Testing	0.024	-0.009	0.058

```
109 1.3836 -0.005053087789
110 0.8924 -0.005054597346
113 0.2491 -0.005052092062
115 1.1551 -0.005054718212
117 0.2946 -0.005056768242
118 1.8198 -0.005054894343
127 0.4628 -0.005055957652
130 0.4759 -0.005052460739
133 0.7868 -0.005049525977
135 0.7045 -0.005049701164
137 0.0586 -0.005057273726
142 1.1128 -0.005049622163
143 0.2802 -0.005050153513
151 1.5524 -0.005055948241
155 0.2901 -0.005052229595
158 0.1500 -0.005053675025
160 1.3709 -0.005057442747
161 0.1178 -0.005056823926
164 0.8400 -0.005055291333
165 0.1072 -0.005054672346
166 0.5618 -0.005053644578
167 1.9008 -0.005050842084
168 1.3650 -0.005047016919
170 1.5686 -0.005056399871
172 0.1228 -0.005052000695
173 0.5013 -0.005051848457
174 0.5197 -0.005054715436
175 1.0320 -0.005056357310
183 0.1685 -0.005055361559
184 1.1571 -0.005050116387
```

The penalty constant C was set to 2.0 after testing a series of values, running the classifier, and observing the training error. Figure 4.2 shows the best testing data error rate was observed for C between 0.25 and 4.0.

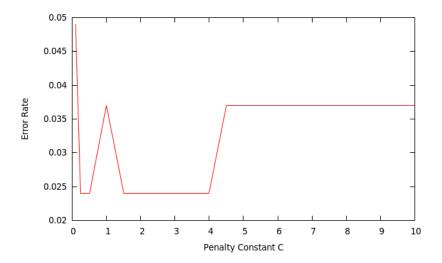


Figure 2: Dual Radial Penality Constant Versus Error Rate

Table 4.2 indicates that the dual radial SVM classifier perfectly classified the training data set and achieved a 0.024 misclassification error rate for the testing data set for digits "3" and "6". Because the radial kernel performed better than the polynomial kernel, it was chosen for the full problem.

5 All Digits Radial Kernel

Because of the size of the full classification problem, the ten hyperplanes (classifying each digit versus all others) were calculated using the NEOS server. The following is an example output from AMPL for the model defining the hyperplane separating digit "9" from other digits.

NEOS Server Version 5.0

Job# : 328628
Password : StLZnfpa
Solver : nco:LOQO:AMPL

Start : 2012-10-20 10:29:39 End : 2012-10-20 10:30:39 Host : neos-2.chtc.wisc.edu

Disclaimer:

This information is provided without any express or implied warranty. In particular, there is no warranty

```
of any kind concerning the fitness of this
  information for any particular purpose.
**********************
Job 328628 sent to neos-2.chtc.wisc.edu
password: StLZnfpa
----- Begin Solver Output -----
Executing /opt/neos/Drivers/loqo-ampl/loqo-driver.py at time: 2012-10-20 09:29:39.861097
File exists
You are using the solver loqo.
Digit 9
Executing AMPL.
processing data.
processing commands.
930 variables, all nonlinear
1 constraint, all linear; 930 nonzeros
1 equality constraint
1 nonlinear objective; 930 nonzeros.
LOQO 6.07: optimal solution (22 QP iterations, 64 evaluations)
primal objective 252.4800216
 dual objective 252.4800227
a [*] :=
 1 2.71101e-10
                234 1.6016e-10
                                467 2.50501e-10
                                                700 0.359631
 2 3.05019e-10 235 4.45621e-10 468 2.34149e-09 701 3.48449e-10
 3 4.23091e-10 236 2.90327e-10 469 5.3794e-10 702 5.22769e-10
 4 0.109576
                237 1.38924e-08 470 1.24123e-08 703 3.31397e-10
                238 2.45279e-10 471 3.6236e-10
 5 4.2032e-10
                                                704 0.824905
 6 0.250079
                239 3.50097e-10 472 3.74267e-10 705 5.22693e-10
 7 2.30671e-10
               240 2.27515e-10 473 2.73113e-10 706 1.11882e-09
 8 2.84478e-10
                241 2.17929e-10 474 1.10803e-09
                                                707 3.11901e-10
 9 8.02454e-10
                242 1.4388e-10
                                475 0.239516
                                                708 2.77106e-10
 10 4.44887e-10
                243 1.14629e-10 476 1.45518e-10
                                                709 3.80716e-10
 11 4.30417e-10
                244 1.95241e-10 477 2.49114e-10
                                                710 1.57364
                                                711 0.233815
12 2.0632e-10
                245 0.0438792
                                478 0.323162
                246 4.80989e-10 479 2.43214e-10
 13 1.62617e-10
                                                712 2
 14 1.85125e-10
                247 3.05068e-10 480 2.5741e-08
                                                713 4.41272e-10
15 3.67808e-10
                248 2.37684e-10 481 2.09477e-09
                                               714 7.11917e-10
 16 0.683228
                249 2.45333e-10 482 8.60567e-10
                                                715 0.377432
 17 7.60347e-10
                250 7.96511e-10
                               483 4.32497e-10
                                                716 0.789347
 18 6.55803e-10
                251 6.06953e-10 484 7.08331e-10 717 4.18937e-10
19 3.15904e-09
                252 1.28136e-10 485 0.379501
                                                718 1.02036e-09
 20 1.79761e-09
                253 1.027e-10
                                486 4.92791e-10 719 5.70864e-10
```

```
21 2.71357e-10
                  254 1.71695e-10
                                     487 1.97112e-10
                                                        720 3.8153e-10
22 1.92212e-10
                  255 1.94053e-10
                                     488 3.79737e-10
                                                        721 0.102697
23 4.72529e-10
                  256 9.73284e-11
                                     489 0.772318
                                                        722 2.15089e-10
24 3.82344e-10
                  257 1.02502e-10
                                     490 2.1232e-10
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218 9.38976e-11
                   451 4.5594e-10
                                      684 4.2523e-10
                                                         917 2
219 2.38589e-10
                   452 2
                                      685 1.64681
                                                         918 0.000627353
220 3.25799e-10
                   453 8.20168e-10
                                      686 0.839054
                                                         919 2
221 1.547e-10
                   454 2.24785e-10
                                      687 3.65933e-09
                                                         920 2
222 2.7325e-10
                   455 1.69306e-10
                                      688 2.91171e-10
                                                         921 2
223 2.55517e-10
                   456 1.67446e-09
                                      689 5.33593e-10
                                                         922 2
224 4.17518e-10
                   457 2
                                      690 2
                                                         923 2
225 4.42715e-10
                   458 1.67866e-10
                                      691 5.28966e-10
                                                         924 2
226 1.84776e-10
                   459 1.7413e-10
                                                         925 2
                                      692 3.81113e-10
                                                         926 2
227 1.21656e-10
                   460 1.6457
                                      693 3.88723e-10
228 1.969e-10
                                                         927 2
                   461 2
                                      694 3.17057e-10
229 1.32151e-10
                   462 3.32011e-10
                                      695 4.07349e-10
                                                         928 8.44138e-10
230 1.71673e-10
                   463 2.65239e-09
                                      696 2.36066e-10
                                                         929 1.09846e-09
231 2.24904e-10
                   464 4.55434e-10
                                      697 1.51219
                                                         930 2
232 2.53547e-10
                   465 1.7445e-10
                                      698 0.299297
233 3.77923e-09
                   466 4.01709e-10
                                      699 3.61046e-10
```

The above results contain 102 support vectors from among the 930 input data elements. This relatively low percentage of the total input data elements suggests that the choice of C=2 was a reasonable one. Calculating the b value for each support vectors verifies that we get the same value for each.

```
#alpha index, alpha value, calculated b
3 0.1096 1.652528615299
5 0.2501 1.652529353414
15 0.6832 1.652531306182
24 0.3813 1.652527500127
25 1.0280 1.652530795828
52 1.9124 1.652527383484
71 0.0412 1.652528013565
```

```
146 0.1771 1.652527764148
148 0.5166 1.652527893505
160 1.2839 1.652530132401
168 1.4835 1.652529075643
244 0.0439 1.652531164695
261 0.1947 1.652530083805
276 0.9074 1.652526149271
279 0.1932 1.652528417878
282 1.1541 1.652524420840
288 0.3510 1.652529477347
312 0.5835 1.652528225378
314 0.2919 1.652528039180
316 1.5572 1.652526531397
323 0.3062 1.652529164868
329 0.1496 1.652529910410
333 0.4302 1.652529765260
337 1.5392 1.652531061831
360 0.1063 1.652530379536
361 0.0270 1.652530174674
367 0.2282 1.652530070206
372 1.3825 1.652528458326
379 0.1559 1.652526904421
382 0.7457 1.652529520710
386 1.7429 1.652530637204
391 1.7723 1.652527386907
401 1.1490 1.652524444029
403 0.4708 1.652528387177
404 0.4708 1.652528387177
408 0.2940 1.652528368235
409 1.7725 1.652527020817
414 1.3485 1.652523632212
419 0.8387 1.652528711269
424 0.8035 1.652526733254
```

426 0.7224 1.652529242901
438 0.5041 1.652528431643
447 1.8435 1.652527445195
459 1.6457 1.652525583912
474 0.2395 1.652528624423
477 0.3232 1.652527227243
484 0.3795 1.652528412434
488 0.7723 1.652526536987
508 0.1971 1.652529961663
518 0.2090 1.652526358944
520 0.3695 1.652531027114

136 1.8392 1.652532499353 140 0.9462 1.652528563888

```
527 0.7421 1.652528796724
529 0.0864 1.652528840065
533 1.2889 1.652528843938
536 0.0964 1.652528090385
537 0.4043 1.652527522760
538 1.3236 1.652526943084
541 0.0895 1.652530441145
555 1.0118 1.652528984266
563 0.4391 1.652531006415
653 0.5941 1.652528068457
661 0.9302 1.652527391766
663 1.8340 1.652524944961
664 0.7170 1.652530665392
665 1.5768 1.652526744789
670 1.5994 1.652524876784
684 1.6468 1.652526021447
685 0.8391 1.652526918713
696 1.5122 1.652527315814
697 0.2993 1.652529869617
699 0.3596 1.652529917065
703 0.8249 1.652525043714
709 1.5736 1.652525375261
710 0.2338 1.652527238423
714 0.3774 1.652526221993
715 0.7893 1.652527541293
720 0.1027 1.652525252885
725 1.2291 1.652527538439
729 1.3929 1.652531032958
734 0.9447 1.652526378642
752 1.8808 1.652529939311
769 0.0651 1.652524700601
774 0.8849 1.652528444583
784 1.6499 1.652527632173
789 0.9563 1.652530350245
797 0.2786 1.652526661335
801 1.0650 1.652523415984
804 0.6197 1.652528203919
812 0.7870 1.652527593202
813 0.6418 1.652528426022
814 0.9171 1.652528377663
816 1.0086 1.652526486404
855 1.8501 1.652526793776
868 1.1883 1.652533655177
876 1.8328 1.652531890671
882 0.9780 1.652531290116
883 1.4114 1.652532387564
```

Table 5: Dual Radial All Digits Error

Data Set	Error	95% Confidence Interval	
		Lower Bound	Upper Bound
Training Testing	$0.053 \\ 0.222$	0.038 0.182	0.067 0.262

895 1.4001 1.652525486924

910 1.6425 1.652530782561

913 0.1788 1.652525697648

As indicated in Table 5, the overall testing misclassification error achieved by the radial SVM classifier was 0.222. This is significantly better than the 0.9 misclassification error that we would expect to achieve by random guessing.