

These are notes for an ongoing Fall 2023 course on the Riemann zeta function and its generalizations, L -functions. These notes will be filled in as we go.

1. REFERENCES THUS FAR

- Generating functions and asymptotics: [1, §5.2]
- Mellin transform and asymptotics: [2]

2. OUTLINES THUS FAR

- Tuesday, 29 Aug: parts of §4; §4 and §4.
- Thursday, 31 Aug: §?? and §??
- Friday, 1 Sep: §?? and §??
- Tuesday, 5 Sep: §5

3. COURSE NOTES THAT I'VE SINCE SPLIT OFF INTO SEPARATE FILES

- Complex-analytic preliminaries
- Generating functions and asymptotics
- Fourier and Mellin transforms

4. BACKGROUND

4.1. **General notation.** $\mathbb{R}^+ := (0, \infty)$.

4.2. **Asymptotic notation.** We use the equivalent notations

$$A = O(B), \quad A \ll B, \quad B \gg A$$

to denote that

$$|A| \leq C|B|$$

for some “constant” C . The precise meaning of “constant” will either be specified or clear from context.

4.3. Definition and basic properties of ζ : overview. The Riemann zeta function is defined for a complex number s by the series

$$\zeta(s) = \sum_{n \geq 1} \frac{1}{n^s}.$$

Lemma 4.1. *The series converges absolutely for $\Re(s) > 1$, uniformly for $\Re(s) \geq 1 + \varepsilon$ for each $\varepsilon > 0$.*

Proof. Using the identity

$$\left| \frac{1}{n^s} \right| = \frac{1}{n^{\Re(s)}},$$

we reduce to the case that s is real, in which this is a familiar consequence of the integral test. \square

Our first main goal in the course is to explain the following basic facts.

Theorem 4.2. *The Riemann zeta function admits a meromorphic continuation to the entire complex plane. It is holomorphic away from a simple pole at $s = 1$, where it has residue 1. It admits a functional equation relating $\zeta(s)$ to $\zeta(1 - s)$.*

One historical motivation for considering the zeta function at complex arguments comes from the prime number theorem.

Theorem 4.3 (Prime number theorem). *Let $\pi(x) := \#\{\text{primes } p \leq x\}$ denote the prime counting function. Then*

$$\frac{\pi(x)}{x/\log x} \rightarrow 1 \text{ as } x \rightarrow \infty.$$

This is related to the following analytic fact concerning the zeros of the zeta function.

Theorem 4.4 (Prime number theorem, formulated in terms of ζ). *We have $\zeta(s) = 0$ only if $\Re(s) < 1$.*

Remark 4.5. Even the statement of Theorem 4.4 is not clear without knowing the meromorphic continuation of ζ . This may offer some motivation for understanding the latter.

We expect stronger nonvanishing properties:

Conjecture 1 (Riemann Hypothesis). *We have $\zeta(s) = 0$ only if $\Re(s) < 1/2$.*

This corresponds to a conjectural stronger form of the prime number theorem, namely that

$$\pi(x) = \int_2^x \frac{t}{\log t} dt + O(x^{1/2} \log x).$$

5. BASIC ANALYTIC PROPERTIES OF ζ

Example 5.1. Take

$$h(y) = \frac{1}{e^y - 1}.$$

The function $yh(y)$ extends to a holomorphic function of y on the disc $\{y \in \mathbb{C} : |y| < 2\pi\}$, so h is represented for small $y > 0$ by an absolutely convergent Laurent series of the following form:

$$h(y) = \frac{1}{y} + \sum_{n=1}^{\infty} \frac{B_n}{n!} y^{n-1}.$$

Here the B_n are complex coefficients, called the *Bernoulli numbers*. On the other hand, h decays rapidly (like $O(y^N)$ for any fixed N) as $y \rightarrow \infty$. By the analysis we've now seen many times, we deduce that the Mellin transform $H(s)$ of $h(y)$ converges absolutely for $\Re(s) > 1$, where it defines a holomorphic function, and extends to a meromorphic function on the complex plane whose only poles are simple poles at $s = 1$ (corresponding to the $1/y$ term in the asymptotic expansion as $y \rightarrow 0$) and at $s = -n$ for each $n \in \mathbb{Z}_{\geq 0}$, with residue given by 1 in the former case and by $B_{n+1}/(n+1)!$ in the latter.

On the other hand, we can rewrite

$$h(y) = \frac{e^{-y}}{1 - e^{-y}} = e^{-y} + e^{-2y} + e^{-3y} + \dots,$$

giving

$$H(s) = \int_{\mathbb{R}^+} y^s \sum_{n=1}^{\infty} e^{-ny} d^\times y.$$

The following calculations will show that the doubly integral/sum converges absolutely for $\Re(s) > 1$, so we may rearrange it as

$$\sum_{n=1}^{\infty} \int_{\mathbb{R}^+} y^s e^{-ny} d^\times y.$$

The inner integral may be simplified by the substitution $y \mapsto y/n$. This has no effect on the measure $d^\times y$, but replaces y^s by $n^{-s}y^s$, giving

$$\sum_{n=1}^{\infty} n^{-s} \int_{\mathbb{R}^+} y^s e^{-y} d^\times y = \zeta(s) \Gamma(s).$$

We see in this way that the ζ function admits a meromorphic continuation. Since the Γ -function does not vanish, we deduce that the only pole of ζ is the simple one at $s = 1$, with residue $s = 0$. Also, since we have computed the residues of both $\Gamma(s)$ and $\zeta(s)\Gamma(s)$ at the nonpositive integers, we may calculate in this way the values of $\zeta(-n)$ for each $n \in \mathbb{Z}_{\geq 0}$:

$$\zeta(-n) = (-1)^n \frac{B_{n+1}}{n+1}.$$

REFERENCES

- [1] Herbert S. Wilf. *generatingfunctionology*. A K Peters, Ltd., Wellesley, MA, third edition, 2006.
- [2] Don Zagier. The mellin transform and other useful analytic techniques. <http://people.mpim-bonn.mpg.de/zagier/files/tex/MellinTransform/fulltext.pdf>, 2006.