# End to End 3D Face Model Synthesis Using Textual Descriptions

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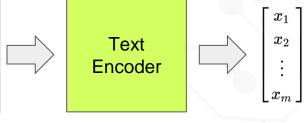
#### A Quick Demo

A young male with black hair .

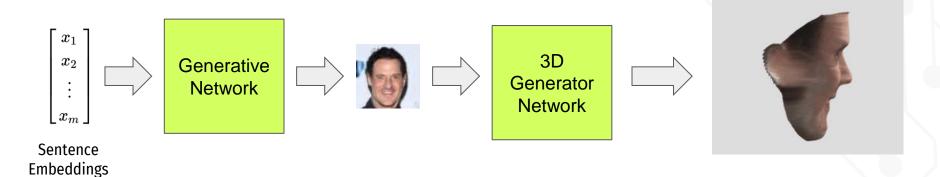
He has big nose.

He has bags under his eyes.

The man has a slightly open mouth and he is smiling.



Sentence Embeddings

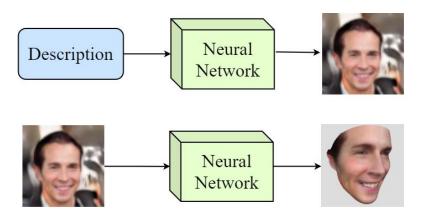


#### Outline

- Introduction
- Background
  - Generative Adversarial Networks (GANs) and Conditional GANs
  - Word Embeddings as Conditioning Variable
  - StyleGAN
  - Position Map Regression Network (PRN)
  - Learned Perceptual Image Patch Similarity (LPIPS)
- Proposed Methods
- Experiments
- Results
- Conclusion

#### In this thesis:

- We improve currently available Text to Face results.
- We propose a measure for evaluating generative models.
- We are providing end to end system for generating 3D face models from given textual descriptions



#### Goal of this thesis:

- Providing realistic 3D face models from textual description.
- Combining advantages of Conditional GANs with StyleGAN architecture on human face generation task.
- Exploring GAN evaluation measures.

#### Why?

- Visualising a human face important applications
- 3D modeling is difficult, time consuming and expensive
- 3D models can transmit more information.
- Automating this process can be very impactful





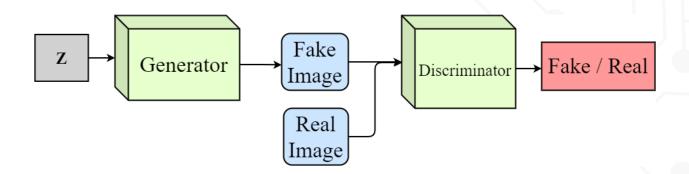




- Generative NNs can generate realistic results.
- With the development of GANs, results are getting better, StyleGAN results that are mostly indistinguishable from real images.
- However, controlling the output is a difficult task.
- Conditional GANs aim to solve this problem.

### Background - GANs

• GANs are composed of two main components: **generator** G and **discriminator** D.

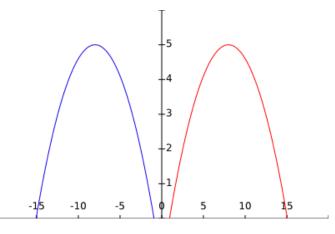


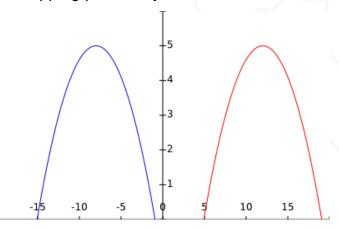
$$\min_{G} \max_{D} V(D, G) = E_{x \sim p_{data}(x)}[logD(x)] + E_{z \sim p_{z}(z)}[log(1 - D(G(z)))]$$

# Background - GAN Loss Function

#### Wasserstein GANs:

- Naive GANs use Jensen Shannon Divergence (JSD) as loss function, which is based on Kullback-Leibler Divergence.
- JSD falls short in taking the distance between two probability distributions into consideration if there is no overlapping (log2).
- Wasserstein Distance works well even with non-overlapping probability distributions.

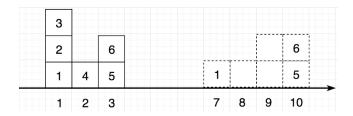




### Background - GAN Loss Function

#### Wasserstein Distance:

- Also called Earth Mover's Distance
- Defined as minimum work required to convert one distribution into another

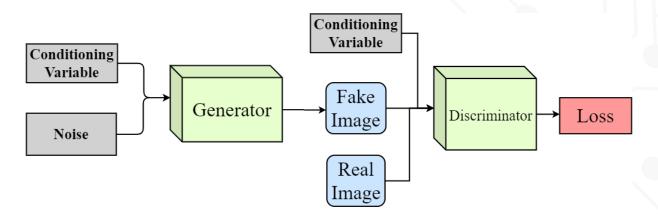


Wasserstein Distance is defined as:

$$W(\mathbb{P}_r, \mathbb{P}_g) = \inf_{\gamma \in \Pi(\mathbb{P}_r, \mathbb{P}_g)} \mathbb{E}_{(x,y) \sim \gamma} [\|x - y\|]$$

### Background - Conditional GANs

- We cannot control output of Naive GANs.
- Conditional GANs (cGANs) allows controlling output with Conditioning Variable (CV).



$$\min_{G} \max_{D} V(D,G) = E_{x \sim p_{data}(x)}[logD(x|y)] + E_{z \sim p_{z}(z)}[log(1 - D(G(z|y)))]$$

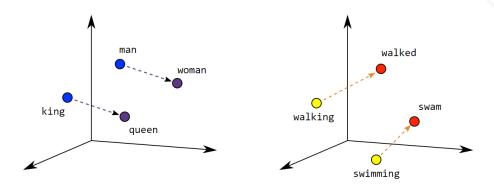
### Background - Conditional GANs

#### **GAN-CLS Loss:**

- In addition to input with correct CV, an incorrect CV is given.
- Discriminator learns to penalize if generated samples are not matching with CV.
- Discriminator should classify samples with wrong CV as fake: increased loss for generator
- This type of discriminators are called Matching-aware Discriminator.

#### Word Embeddings:

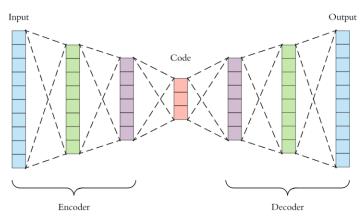
- We need numerical representation for words.
- Mapping of words in vector space that contain information about word.
- Words with similar meanings have similar representations.



<sup>\*</sup> Image taken from: https://developers.google.com/machine-learning/crash-course/images/linear-relationships.svg

#### SkipGram Model:

- For each word, network also takes words surrounding the current word in a range.
- Network can predict probability of a word appearing in the window of word that is selected.
- We are interested in values in middle layers, which we call embeddings



<sup>\*</sup>Image taken from: https://towardsdatascience.com/applied-deep-learning-part-3-autoencoders-1c083af4d798

SkipGram Minimizes the average negative log likelihood:

$$-\frac{1}{T} \sum_{i=1}^{T} \sum_{-m \le j \le m, j \ne 0} log(P(w_{i+j}|w_i))$$

Probability of a word o (outside word) given a center word c:

$$P(o|c) = \frac{e^{u_o^T v_c}}{\sum_{w \in V} e^{u_w^T v_c}}$$

#### FastText:

- Used in this thesis for its ability to compute embeddings for unknown words.
- Computes embeddings for each character n-gram using SkipGram method.
- Allows computing representations for words that are not available in the corpus.



#### Sentence Embeddings:

- Two problems in word embeddings: dimension of input and longer training times.
- Sentence embeddings solve both problems: fixed, smaller dimensions.
- Computed by summing embeddings of all words in the sentence
- However, may cause loss of information.

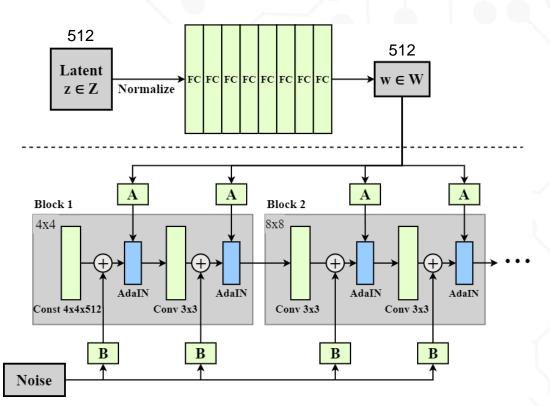
### Background - StyleGAN

- Proposed by Karras et al. (2018) with a novel generator architecture.
- StyleGAN is a Wasserstein GAN based on Progressively Growing GAN.
- Training starts with only a few layers, more layers are added as training progresses.
- Each block is responsible from different level of details in resulting output.

### Background - StyleGAN

Generator of StyleGAN is composed of two parts:

- 1) Mapping Network
- 2) Synthesis Network
- A: Learned Affine Transform
- B: Learned per-channel scaling factor



### Background - StyleGAN

Adaptive Instance Normalization (AdaIN) is an extension to Instance Normalization proposed by Ulyanov et al. (2016).

**Instance Normalization:** 

$$y_{tijk} = \frac{x_{tijk} - \mu_{ti}}{\sqrt{\sigma_{ti}^2 + \epsilon}}, \quad \mu_{ti} = \frac{1}{HW} \sum_{l=1}^{W} \sum_{m=1}^{H} x_{tilm}, \quad \sigma_{ti}^2 = \frac{1}{HW} \sum_{l=1}^{W} \sum_{m=1}^{H} (x_{tilm} - mu_{ti})^2$$

Adaptive Instance Normalization:

AdaIN
$$(x, y) = \sigma(y) \left( \frac{x - \mu(x)}{\sigma(x)} \right) + \mu(y)$$

# Background - Position Map Regression Network

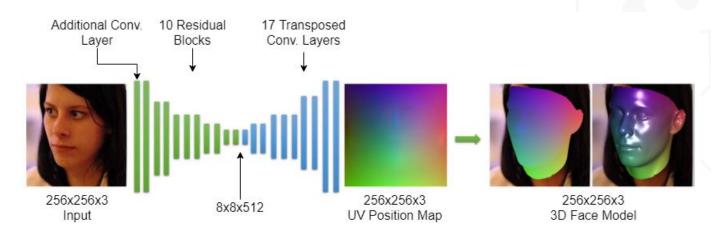
Based on 3D Morphable Model, proposed by Feng et al. (2018) as an end to end architecture that does:

- Face Alignment,
- Regression of 3D Facial Geometry.

Previous methods that predict parameters instead of coordinates directly are harder to train and achieving good results require special care.

# Background - Position Map Regression Network

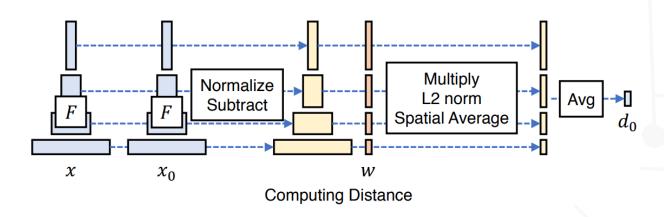
- Proposes UV Position Map to represent 3D facial structure.
- Encoder has one convolutional layer, followed by 10 residual blocks.
- Decoder contains 17 transposed convolution layers.
- The Green rectangles represent the residual blocks (encoder), and the blue ones represent the transposed convolutional layers (decoder).



### Background - LPIPS

- Comparing two images directly with Euclidean Distance is not useful in our case.
- Learned Perceptual Image Patch Similarity (LPIPS) focuses on perceptive similarity.
- Zhang et al. (2018) used deep features that are obtained from intermediate layers of neural networks for comparing image similarity.
- Pretrained AlexNet model is used for computing deep embeddings.

### Background - LPIPS



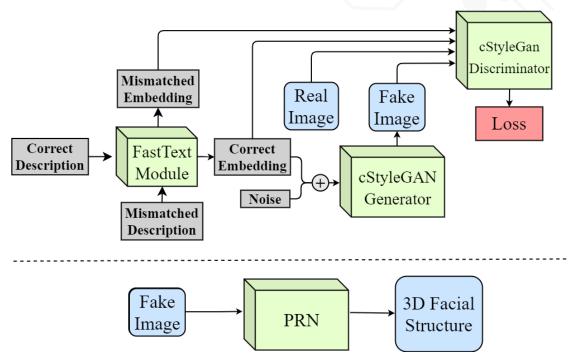
Similarity between two images:

$$d(x, x_0) = \sum_{l} \frac{1}{H_l W_l} \sum_{h, w} ||w_l \odot (\hat{y}_{hw}^l - \hat{y}_{0hw}^l)||_2^2$$

Our methods can be divided as follows:

- Our proposed Conditional StyleGAN (cStyleGAN) which uses sentence embeddings.
- Our GAN evaluation measure based on LPIPS: Perceptual Quality Distance (PQD).
- Improving 3D models by applying post-process onto 3D models.
- Extending CelebA dataset by providing descriptions generated by using annotations that are available in CelebA dataset.

#### System Pipeline:



#### cStyleGAN:

- Achieved by conditioning both generator and discriminator of StyleGAN on conditioning variable.
- Input is 300 dimension sentence embeddings obtained from FastText module + 100 dimension noise.
- Trained on extended CelebA and Face2Text datasets.
- Generates 64x64 resolution realistic images aligned with given descriptions.

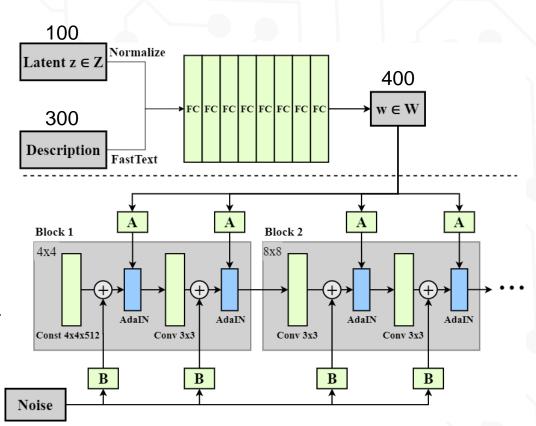
#### Generator of cStyleGAN:

#### Mapping Network:

 Input is sentence embeddings concatenated with noise.

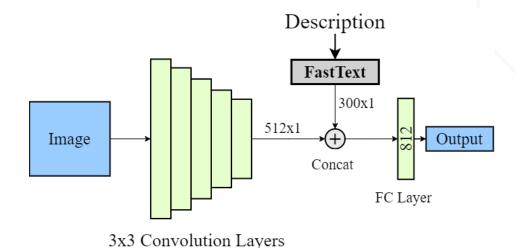
#### Synthesis Network:

- Output is 2D face image with changing size.
- Best results are achieved at 64x64.
- Noise sampled from N(0, 0.07)



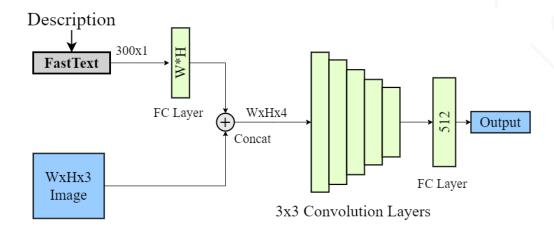
Discriminator Type 1 of cStyleGAN:

- Convolution layers are not utilizing information of word embeddings
- Outputs are not successfully conditioned



#### Discriminator Type 2 of cStyleGAN:

- Convolution layers are utilizing information of word embeddings as well as FC layer.
- Outputs are successfully conditioned.
- Additional learned FC Layer for reshaping embeddings.



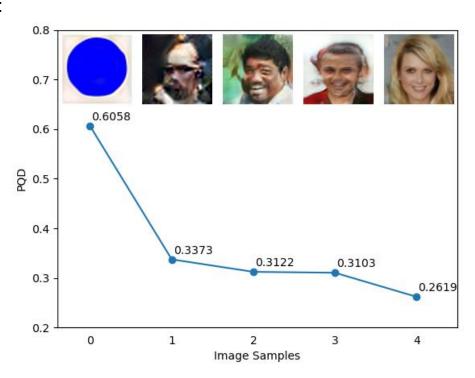
Perceptual Quality Distance (PQD):

- Based on LPIPS.
- Compares distance between generated images and dataset.

PQD between two sets of images are calculated as follows:

$$PQD(x_g, x_r) = \sum_{m=1}^{M} t_m \sum_{n=1}^{N} t_n F(x_{gn}, x_{rm}) \frac{1}{N} \frac{1}{M}$$

#### PQD Scores:



#### Improvements on 3D pipeline:

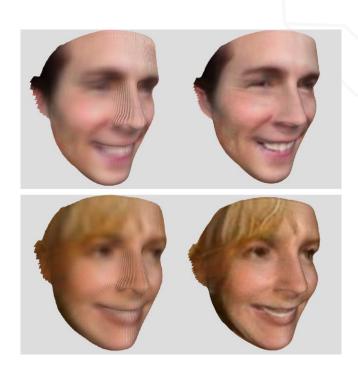
- 3D model smoothed in a window of 7.
- RGB image converted to grayscale, normalized to range [-0.5, 0.5]
- Values outside of range [-0.49, 0.49] and values between range [-0.35, 0.26] eliminated.
- Estimated height-map is applied to Z channel of 3D model for enhanced depth information.
- Textures of 3D models are upscaled by using pre-trained ESRGAN.







Improvements on 3D pipeline:



Extending CelebA dataset with textual descriptions:

- Originally, there are 40 annotations for each 202599 image in CelebA dataset.
- We have generated randomised sentences using annotations.
- Low quality annotations are eliminated.
- 48069 descriptions are generated after eliminating low quality annotations.

Attractive: 1 Blond\_Hair: 1

Heavy\_Makeup: 1

**Male**: -1

Pointy\_Nose: 1 Wavy\_Hair: 1

Young: -1



**Generated Description**: An old female with blond wavy hair. She has pointy nose. The attractive woman is wearing heavy make up.

Annotation Index	Meaning
0	5_o_Clock <sub>S</sub> hadow
1	Arched_Eyebrows
2	Attractive
3	Bags_Under_Eyes
4	Bald
5	Bangs
6	Big_Lips
7	Big_Nose
8	Black_Hair
9	Blond_Hair
10	Blurry
11	Brown_Hair
12	Bushy_Eyebrows
13	Chubby
14	Double_Chin
15	Eyeglasses
16	Goatee
17	Gray_Hair
18	Heavy_Makeup
19	High_Cheekbones
20	Male
21	Mouth_Slightly_Open
22	Mustache
23	Narrow_Eyes
24	No_Beard
25	Oval_Face
26	Pale_Skin
27	Pointy_Nose
28	Receding_Hairline
29	Rosy_Cheeks
30	Sideburns
31	Smiling
32	Straight_Hair
33	Wavy_Hair
34	Wearing_Earrings
35	Wearing_Hat
36	Wearing_Lipstick
37	Wearing_Necklace
38	Wearing_Necktie
39	Young

# **Proposed Methods**

#### **Numbers of Eliminated Annotations:**

Reason	Number of images eliminated
Have less than specified amount (8) of annotations marked as "1"	84251
Conflicting annotations marked as "1"	77236
Skipped for preserving male to female ratio	70138
Generated description amount	48069

#### Datasets:

- Face2Text Dataset: has 4076 images from CelebA dataset with descriptions.
- CelebA Dataset: has 202599 images, each with 40 annotations.
- Extended Dataset: Combined Face2Text and additional images from CelebA
  with generated descriptions, resulting in 52145 images with descriptions.
- A toy dataset of colored shapes for testing conditioning performance.

#### cStyleGAN trained only on Face2Text Dataset:



A young man with short brown hair and small eyes. His eyebrows are thick. His nose is small and his lips are thin. A stubble is growing on his face. He has got a well defined jawline.



A man with short, brunette hair, thick eyebrows, light eyes, a long nose and a stubble beard and moustache. He has thin, smiling lips and a prominent chin.

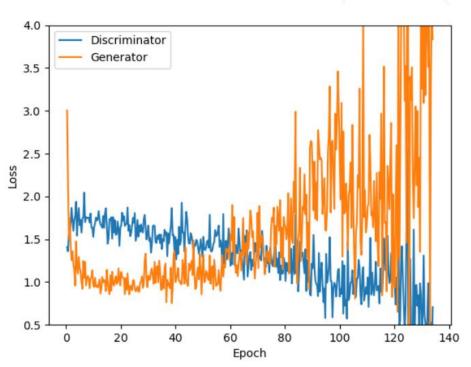


A woman with curtained, blond hair, a beaky nose, dark eyes, thin eyebrows and a wide smile.



A woman with long blonde hair, big green eyes and full pouting lips. She is wearing makeup.

Exploding losses after 64x64 resolution (Epoch 120):



#### Effects of Noise:

- Noise is sampled from N (0, 0.07).
- Fixed descriptions with different noise values result in different images.

A serious looking woman with straight blond hair. She has arched eyebrows. Her eyes are brown and big and her lips are thin. She has got a heavy lower lip.







#### Data Augmentation:

- Augmenting data caused unwanted results.
- Model learned augmentations as well.

Applied Transform	Probability of Being Applied
Random Horizontal Flip	50%
5 degrees of random rotation	100%
Adding Gaussian Noise $\mathcal{N}(0, 0.07)$	30%
, , ,	1%
Erasing random patch from image	170







#### Ablation Study:

- We have disabled certain convolution blocks in synthesis network.
- Subsequent blocks are dependent on previous blocks.
- **Disabling Block 2**: Loss of general facial structure details and image composition
- **Disabling Block 3**: More detailed, but still a blurry face image
- Disabling Block 2 and Block 3: Similar result of only disabling Block 3; Block 3 is dependent on the output of Block 2



(a) Original Output



(b) Block 2 Disabled



(c) Block 3 Disabled

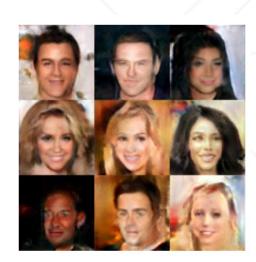


(d) Block 2 and 3 Disabled

Results of cStyleGAN trained on Face2Text Dataset (4K data instances):

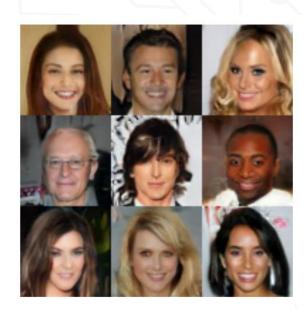
- **Left:** Mode collapsed with 1.5m iterations per each resolution.
- Right: Reducing iterations to 600K resulted in better outputs.





#### Results of cStyleGAN trained on Extended Dataset:

- Best results so far.
- No Mode Collapse experienced.
- Trained with 1.5m iterations per each resolution.
- Generated images are 64x64 resolution.





A woman with wavy black hair. She has arched eyebrows. Her eyes are brown and small and her lips are thin. She has got a heavy lower lip. She looks serious.



A woman with straight blond hair with bangs. She has arched eyebrows. Her eyes are brown and small and her lips are thin. She has got a heavy lower lip. She is smiling.



A male with brown hair. The man has a serious look on his face. He has big nose. He has bags under his eyes. He has no beard.



A woman with straight black hair. She has arched eyebrows. Her eyes are brown and small and her lips are thin. She has got a heavy lower lip. She is smiling.



A pale skinned woman with straight blond hair with bangs. She has arched eyebrows. Her eyes are brown and small and her lips are thin. She has got a heavy lower lip. She is smiling.



A male with brown hair. The man is smiling. He has big nose. He has bags under his eyes. He has no beard.



A woman with wavy black hair with bangs. She has arched eyebrows. Her eyes are brown and small and her lips are thin. She has got a heavy lower lip. She is smiling.



A serious looking woman with straight blond hair. She has arched eyebrows. Her eyes are brown and big and her lips are thin. She has got a heavy lower lip.



A male with brown hair. He has oval face. The man is smiling. He has small, pointy nose. He has bags under his eyes. He has a goatee.

#### Comparison with Existing Work:







(a) Pro-StackGAN on Face2Text







(b) Pro-StackGAN on Extended







 $^{\rm (c)}_{\rm Text2FaceGan}$ 







(d) cStyleGAN on Face2Text (600K iterations)







(e) cStyleGAN on Extended

Model	Dataset	PQD
Pro-StackGAN	Face2Text	0.36279
Pro-StackGAN	Extended	0.31031
cStyleGAN (ours)	Face2Text	0.28584
cStyleGAN (ours)	Extended	0.26478

Inception Score		
Text2FaceGAN	$1.4 \pm 0.7$	
Ours (Face2Text Dataset)	$1.9 \pm 0.2$	
Ours (Extended Dataset)	$2.4 \pm 0.1$	

#### 3D Generation:

A serious looking woman with straight blond hair. She has arched eyebrows. Her eyes are brown and big and her lips are thin. She has got a heavy lower lip.

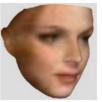
A young male with black straight hair. He has bags under his eyes. He has no beard. The man is attractive. He has big nose. The man is smiling.

A young female with blond hair with bangs. The woman is attractive. She has bags under her eyes. The woman is smiling. She has pointy and big nose.













Input Resolution	Time
8x8	N/A
16x16	N/A
32x32	N/A
64x64	1.471 seconds
128x128	3.713 seconds
256x256	12.517 seconds

### Conclusion

#### In conclusion:

- Existing Face to Text implementations do not achieve high quality results.
- Existing methods do not utilize big datasets such as CelebA, limited to Face2Text Dataset.
- Results can be improved by utilizing synthetic descriptions.
- There is no existing work that generates end to end 3D facial models from text data.
- GAN evaluation methods are lacking.

### Conclusion

#### In this thesis:

- We have improved existing Text to Face results using Conditional StyleGAN.
- We extend CelebA Dataset by providing synthetic descriptions and utilize in our training.
- We propose our evaluation measure: Perceptual Quality Distance.
- Finally, we provide end to end 3D face generation pipeline from textual descriptions.

### Conclusion

In this thesis, we show that:

- StyleGAN can be used for achieving state of the art text 2 face results.
- Pre-trained word embedders such as FastText can be used in Text to Face domain without additional training.
- Synthetic sentences can be used for improving results.

# Thank you for listening!

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