# Umberto Cappellazzo

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#### EDUCATION

#### University of Trento

Trento, Italy

Ph.D. in Information Engineering and Computer Science

Nov. 2021-15/01/2025

- Title: Efficient Knowledge Transfer and Adaptation for Speech and Beyond
- Advisors: Daniele Falavigna, Alessio Brutti
- Research interests: continual learning for audio and speech processing; multi-modal (i.e., audio-language)
   continual learning; parameter-efficient transfer learning of audio/speech (e.g., Adapters, Mixture of Adapters, LoRA); Multi-modal LLMs for audio-visual speech recognition.

University of Padua

Padua, Italy

MSc in Telecommunication Engineering

2016-2019

- Advisors: Michele Rossi, Matteo Gadaleta
- Thesis Title: A Deep Learning-Based ECG Delineator: Evaluation and Comparison on Standard Databases

## University of Padua

Padua, Italy

BSc in Information Engineering

2013-2016

- Advisor: Nicola Laurenti
- Thesis Title: Message Authentication over an Ideal or Noisy Channel

## Work Experience

#### Imperial College London

London, UK

Research Associate in the iBUG group (leader: Maja Pantic)

03/2025-ongoing

- My research focuses on the parameter-efficient massive scaling of audio-visual models using Mixture of Experts and Large Language Models.
- Supervisor: Stavros Petridis

## Imperial College London

London, UK

Research Intern, Audio-visual speech recognition meets LLMs

February 2024 -November 2024

Supervisor: Stavros Petridis (ICL/Meta AI)

- Proposed Llama-AVSR, a multimodal LLM with strong audio-visual speech recognition abilities. This work has been accepted at *ICASSP* 2025. More details here.

Jelinek Summer Workshop on Speech and Language Technology (JSALT) Le Mans, France Junior researcher in the FST group

June 2023 –August 2023

- Junior researcher for the "Finite state methods with modern neural Architectures for speech applications and beyond" group at JSALT2023 in Le Mans, France. I worked on the integration of early-exit techniques to make the training and inference of CTC/MMI systems dynamical. Our group included people from Google, JHU, Telecom Paris to name a few. More information available here.

## MENTORSHIP & PROFESSIONAL SERVICES

- Reviewer: Conferences: ACMMM 2025, ICASSP 2024-2025, Interspeech 2024-2025, IJCNN 2025, ANNPR 2024. Journals: Signal Processing Letters, Neurocomputing, International Journal of Computer Vision (IJCV)
- Co-supervision: I co-supervised an MSc student from the University of Bologna (thesis title: "On the use of Prompting for Fine-Tuning Neural Models for Speech Processing")

## Talks & Presentations

• "Parameter-Efficient Fine-tuning for Audio and Speech Processing." Invited talk at the CUED Speech Group Seminars at the University of Cambridge (April 2024).

#### SKILLS

- **Programming Languages:** Python (advanced), Java (basic), HTML (basic), Matlab (basic)
- ML/DL Toolkits/Libraries: PyTorch (advanced), HF Transformers (advanced), Pytorch Lightning, NumPy, Matplotlib. Good experience with CL libraries like Continuum and Avalanche
- **Distributed Systems:** Hands-on experience with large scale training of models using distributed systems
- ASR Frameworks: good experience with SpeechBrain and K2/icefall
- Other: Git, Docker

## LANGUAGES

• Italian: mother tongue

• English: C1

- **TOEFL:** 100/120

## **PUBLICATIONS**

- [1] U. Cappellazzo, M. Kim, H. Chen, P. Ma, S. Petridis, D. Falavigna, A. Brutti, and M. Pantic, "Large Language Models are Strong Audio-Visual Speech Recognition Learners", *ICASSP*, 2025.
- [2] U. Cappellazzo, M. Kim, and S. Petridis, "Adaptive Audio-Visual Speech Recognition via Matryoshka-Based Multimodal LLMs", arxiv pre-print, 2025.
- [3] U. Cappellazzo, M. Kim, S. Petridis, D. Falavigna, and A. Brutti, "Scaling and Enhancing LLM-based AVSR: A Sparse Mixture of Projectors Approach", *Interspeech*, 2025.
- [4] U. Cappellazzo, D. Falavigna, and A. Brutti, "Efficient Fine-tuning of Audio Spectrogram Transformers via Soft Mixture of Adapters", *Interspeech*, 2024.
- [5] U. Cappellazzo, D. Falavigna, A. Brutti, and M. Ravanelli, "Parameter-Efficient Transfer Learning of Audio Spectrogram Transformers", *IEEE MLSP Workshop*, 2024.
- [6] U. Cappellazzo, E. Fini, M. Yang, D. Falavigna, A. Brutti, and B. Raj, "Continual Contrastive Spoken Language Understanding", ACL Findings, 2024.
- [7] G. A. Wright, U. Cappellazzo, S. Zaiem, D. Raj, L. Ondel Yang, D. Falavigna, and A. Brutti, "Training dynamic models using early exits for automatic speech recognition on resource-constrained devices", Self-supervision in Audio, Speech and Beyond (SASB) Workshop, ICASSP, 2024.
- [8] M. Yang, U. Cappellazzo, X. Li, S. Watanabe, and B. Raj, "Improving continual learning of acoustic scene classification via mutual information optimization", *ICASSP*, 2024.
- [9] M. Yang, X. Li, U. Cappellazzo, S. Watanabe, and B. Raj, "Towards Unified Evaluation of Continual Learning in Spoken Language Understanding", *Interspeech*, 2024.

- [10] U. Cappellazzo, D. Falavigna, and A. Brutti, "An Investigation of the Combination of Rehearsal and Knowledge Distillation in Continual Learning for Spoken Language Understanding", *Interspeech*, 2023.
- [11] U. Cappellazzo, M. Yang, D. Falavigna, and A. Brutti, "Sequence-Level Knowledge Distillation for Class-Incremental End-to-End Spoken Language Understanding", *Interspeech (Oral)*, 2023.

See Google Scholar for my Google Scholar profile.