# Umberto Cappellazzo

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## EDUCATION

## University of Trento

Trento, Italy

Ph.D. in Information Engineering and Computer Science

Nov. 2021-15/01/2025

- Title: Efficient Knowledge Transfer and Adaptation for Speech and Beyond
- Advisors: Daniele Falavigna, Alessio Brutti
- Research interests: continual learning for audio and speech processing; multi-modal (i.e., audio-language)
   continual learning; parameter-efficient transfer learning of audio/speech (e.g., Adapters, Mixture of Adapters, LoRA); multi-modal LLMs for audio-visual speech recognition.

## University of Padua

Padua, Italy

MSc in Telecommunication Engineering

2016-2019

- Advisors: Michele Rossi, Matteo Gadaleta
- Thesis Title: A Deep Learning-Based ECG Delineator: Evaluation and Comparison on Standard Databases

#### University of Padua

Padua, Italy

BSc in Information Engineering

2013-2016

- Advisor: Nicola Laurenti
- Thesis Title: Message Authentication over an Ideal or Noisy Channel

# WORK EXPERIENCE

# Imperial College London

London, UK

Research Associate in the iBUG group (leader: Maja Pantic)

03/2025-ongoing

- My research focuses on advancing audio-visual speech recognition (AVSR) through Multimodal Large Language Models in a parameter-efficient way. Llama-AVSR, presented at ICASSP 2025, represents the very first attempt to unify AVSR and LLMs.
- Proposed: Llama-SMoP [Interspeech 2025], Llama-Matryoshka [IEEE ASRU 2025], Matryoshka Mixture of Experts [NeurIPS 2025], Omni-AVSR (submitted to ICASSP 2026).
- Supervisor: Stavros Petridis (ICL/NatWest AI Research)

# Imperial College London

London, UK

Research Intern, Audio-visual speech recognition meets LLMs

February 2024 -November 2024

Supervisor: Stavros Petridis (ICL/Meta AI)

I investigated the efficient integration of LLMs for the task of audio-visual speech recognition. This culminated
in Llama-AVSR, a multimodal LLM with strong audio-visual speech recognition abilities. This work has been
accepted at ICASSP 2025. More details here.

Jelinek Summer Workshop on Speech and Language Technology (JSALT) Le Mans, France Junior researcher in the FST group

June 2023 –August 2023

- Junior researcher for the "Finite state methods with modern neural Architectures for speech applications and beyond" group at JSALT2023 in Le Mans, France. I worked on the integration of early-exit techniques to make the training and inference of CTC/MMI systems dynamical. More information available. This led to a publication at ICASSPW 2023.

# Professional Services & Mentorship

#### Reviewer

- Conferences: ICLR, ACMMM, ICASSP, Interspeech, IJCNN, IEEE MLSP.
- Journals: IEEE Signal Processing Letters, Neurocomputing, International Journal of Computer Vision, Transactions on Image Processing, Knowledge-based Systems.

### Mentorship

- Anand [University of British Columbia, MSc student][summer 2025]: attention sinks and massive activation in audio-visual LLMs.
- Lidia Prokopovych [MIT, B.A. student][summer 2025]: robustness evaluation of Llama-AVSR under different acoustic and visual noise.
- Stefano Ciapponi [University of Bologna, MSc student][summer 2023]: prompting techniques to parameter-efficiently fine-tune neural models for speech processing.

# Talks & Presentations

• "Parameter-Efficient Fine-tuning for Audio and Speech Processing." Invited talk at the CUED Speech Group Seminars at the University of Cambridge (April 2024).

# SKILLS

- Programming Languages: Python (advanced), Java (basic), HTML (basic), Matlab (basic)
- ML/DL Toolkits/Libraries: PyTorch (advanced), HF Transformers (advanced), Pytorch Lightning, NumPy, Matplotlib, Scikit-Learn
- **Distributed Systems:** Hands-on experience with large scale training of models using distributed systems
- Tools & Platforms: Git, Docker

#### LANGUAGES

- Italian: Mother tongue
- English: Professional working proficiency

# **PUBLICATIONS**

- [1] Anand, U. Cappellazzo, S. Petridis, and M. Pantic, "Mitigating Attention Sinks and Massive Activations in Audio-Visual Speech Recognition with LLMS", *Under review*, 2025.
- [2] U. Cappellazzo, M. Kim, H. Chen, P. Ma, S. Petridis, D. Falavigna, A. Brutti, and M. Pantic, "Large Language Models are Strong Audio-Visual Speech Recognition Learners", *ICASSP*, 2025.
- [3] U. Cappellazzo, M. Kim, P. Ma, H. Chen, X. Liu, S. Petridis, and M. Pantic, "MoME: Mixture of Matryoshka Experts for Audio-Visual Speech Recognition", Advances in Neural Information Processing Systems (NeurIPS), 2025.
- [4] U. Cappellazzo, M. Kim, and S. Petridis, "Adaptive Audio-Visual Speech Recognition via Matryoshka-Based Multimodal LLMs", *IEEE ASRU*, 2025.

- [5] U. Cappellazzo, M. Kim, S. Petridis, D. Falavigna, and A. Brutti, "Scaling and Enhancing LLM-based AVSR: A Sparse Mixture of Projectors Approach", *Interspeech*, 2025.
- [6] U. Cappellazzo, X. Liu, P. Ma, S. Petridis, and M. Pantic, "Omni-AVSR: Towards Unified Multimodal Speech Recognition with Large Language Models", Under review, 2025.
- [7] U. Cappellazzo, D. Falavigna, and A. Brutti, "Efficient Fine-tuning of Audio Spectrogram Transformers via Soft Mixture of Adapters", *Interspeech*, 2024.
- [8] U. Cappellazzo, D. Falavigna, A. Brutti, and M. Ravanelli, "Parameter-Efficient Transfer Learning of Audio Spectrogram Transformers", *IEEE MLSP Workshop*, 2024.
- [9] U. Cappellazzo, E. Fini, M. Yang, D. Falavigna, A. Brutti, and B. Raj, "Continual Contrastive Spoken Language Understanding", ACL Findings, 2024.
- [10] G. A. Wright, U. Cappellazzo, S. Zaiem, D. Raj, L. Ondel Yang, D. Falavigna, and A. Brutti, "Training dynamic models using early exits for automatic speech recognition on resource-constrained devices", Self-supervision in Audio, Speech and Beyond (SASB) Workshop, ICASSP, 2024.
- [11] M. Yang, U. Cappellazzo, X. Li, S. Watanabe, and B. Raj, "Improving continual learning of acoustic scene classification via mutual information optimization", ICASSP, 2024.
- [12] M. Yang, X. Li, U. Cappellazzo, S. Watanabe, and B. Raj, "Towards Unified Evaluation of Continual Learning in Spoken Language Understanding", *Interspeech*, 2024.
- [13] U. Cappellazzo, D. Falavigna, and A. Brutti, "An Investigation of the Combination of Rehearsal and Knowledge Distillation in Continual Learning for Spoken Language Understanding", *Interspeech*, 2023.
- [14] U. Cappellazzo, M. Yang, D. Falavigna, and A. Brutti, "Sequence-Level Knowledge Distillation for Class-Incremental End-to-End Spoken Language Understanding", *Interspeech*, 2023.

See Google Scholar for my Google Scholar profile.