



# RESULTS FROM LEPTON FLAVOR UNIVERSALITY TESTS AT LHCb

2019 MEETING OF THE DIVISION OF PARTICLES & FIELDS  
OF THE AMERICAN PHYSICAL SOCIETY

TUESDAY 30 JULY 2019

PHOEBE HAMILTON  
UNIVERSITY OF MARYLAND/LHCb

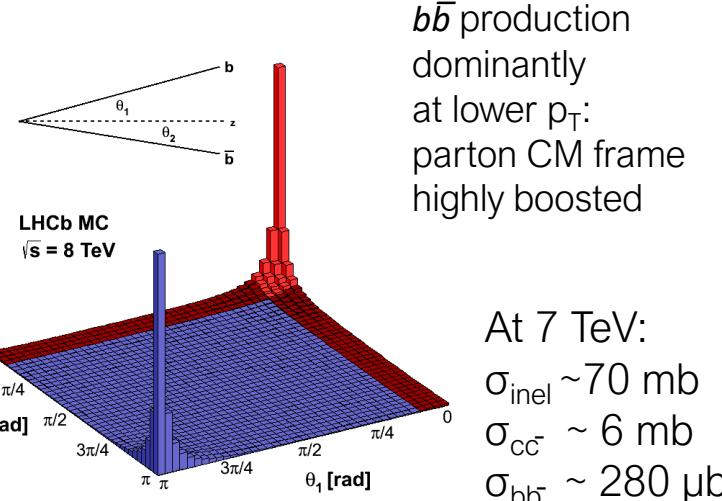
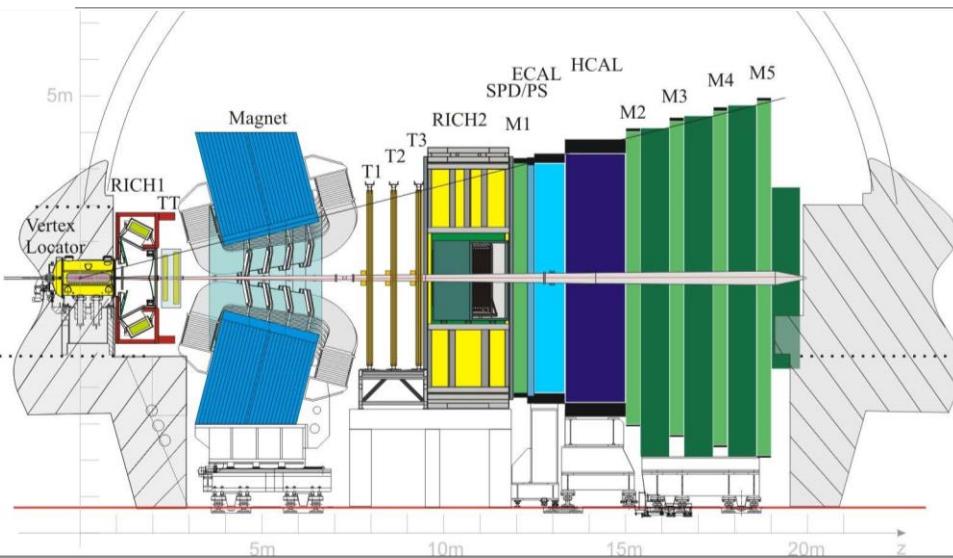


# Lepton universality

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- In Standard Model (SM), charged lepton flavors are *identical copies* of one another
  - Electroweak couplings are trivially equal for all three flavors by construction, only Higgs Yukawa couplings differentiate them
  - Amplitudes for processes involving  $e, \mu, \tau$  must all be identical up to explicit mass dependence (phase space, fermion helicity)
  - Examples:
    - $\mathcal{B}(Z \rightarrow e^+ e^-) = \mathcal{B}(Z \rightarrow \mu^+ \mu^-) = \mathcal{B}(Z \rightarrow \tau^+ \tau^-)$
    - $\mathcal{B}(\psi(2S) \rightarrow e^+ e^-) = \mathcal{B}(\psi(2S) \rightarrow \mu^+ \mu^-) = 2.574 \times \mathcal{B}(\psi(2S) \rightarrow \tau^+ \tau^-)$   
(P = 1840 MeV for  $e^+ e^-$  vs 489 MeV for  $\tau^+ \tau^-$ )
  - Tests of SM LFU have been performed in a number of different systems over the years
    - $Z \rightarrow \ell\ell, W \rightarrow \ell\nu, \tau \rightarrow \ell\nu\bar{\nu}, \pi \rightarrow \ell\nu, K \rightarrow \pi\ell\nu$ , etc...
- Universality of the EW interactions does not necessarily imply universality of physics beyond the SM
- New physics preferentially coupling to the 3<sup>rd</sup> generation is usually less well-constrained, and can modify SM charged and neutral currents
  - Examples:  $A^0, H^\pm$ , new vectors coupled to SM Higgs doublet, leptoquarks
- Many LFU violating NP models are strongly constrained by direct searches, but can be tuned to evade these bounds while preserving their effect on heavy flavor observables

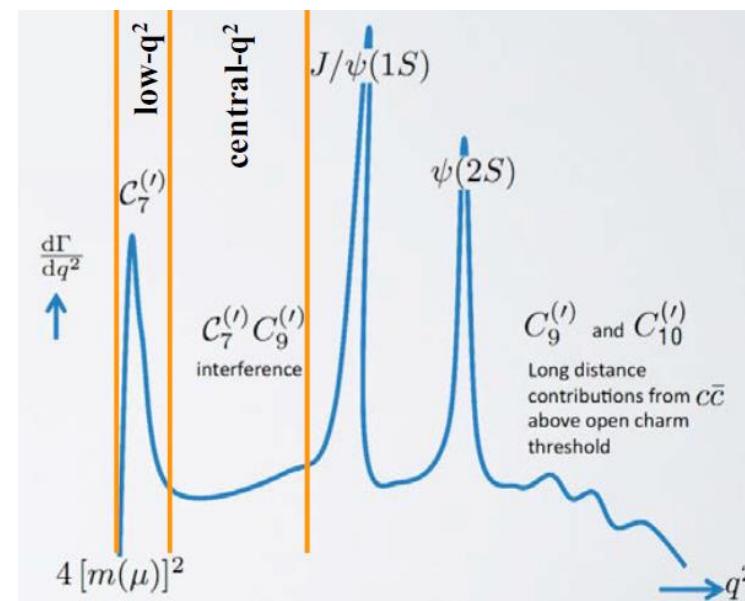
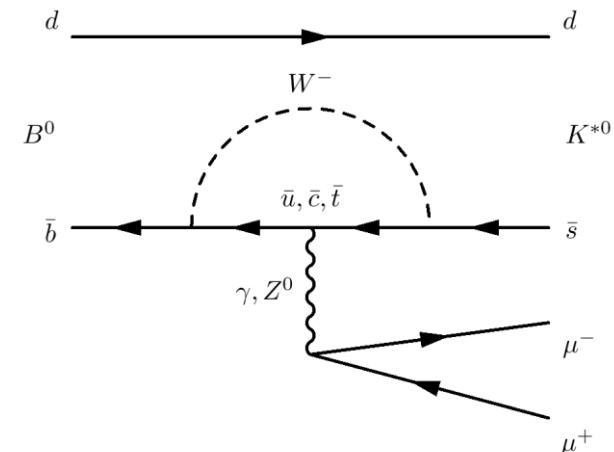
# The LHCb Detector



- Focus on forward direction to exploit highly-boosted  $b$  quark production at LHC: cover 27% (25%) of (pair) production while instrumenting < 3% of the solid angle (value!)
- Single arm spectrometer optimized for beauty and charm physics at large  $\eta$ :
  - Trigger: ~90% efficient for dimuon channels, ~30% for all-hadronic
  - Tracking:  $\sigma_p/p \sim 0.4\%-0.6\%$  ( $p$  from 5 GeV to 100 GeV),  $\sigma_{IP} < 20 \mu\text{m}$
  - Vertexing:  $\sigma_\tau \sim 45 \text{ fs}$  for  $B_s \rightarrow J/\psi \phi$
  - PID: 97%  $\mu$  ID for 1-3%  $\pi \rightarrow \mu$  misID
  - Dipole magnet polarity periodically flipped to change the sign of many reconstruction asymmetries

# Electroweak Penguin Decays

- Penguin transitions stringently test the structure of the electroweak interaction
    - In SM: loop structure with almost all major SM EWSB players at once:  $W, Z, \gamma, t$
    - New particles connected to EWSB can appear and introduce  $q^2$ - or angular-dependent interference
    - $q^2 \equiv (p_{\ell^+} + p_{\ell^-})^2$
  - Excellent targets for LHCb
    - Dilepton in final state allows for clean event selection
    - Rich phenomenology with scalar and vector hadronic daughters
    - SM calculations become unreliable at higher  $q^2$ : tree-level  $b \rightarrow c\bar{c}s$  amplitudes,  $c\bar{c}$  vacuum polarization, long distance effects...
    - Focus on  $q^2 < 6 \text{ GeV}^2$  to avoid these issues
  - Lepton universality test: general consensus in literature that if only SM fields participate
- $$R_{K^{(*)}} \equiv \frac{\mathcal{B}(B \rightarrow K^{(*)}\mu^+\mu^-)}{\mathcal{B}(B \rightarrow K^{(*)}e^+e^-)} = 1 \pm \mathcal{O}(10^{-3})$$
- Today: focus on new measurement with 5/fb (all data up to 2016) in “central  $q^2$ ”



# RK event selection and raw yields

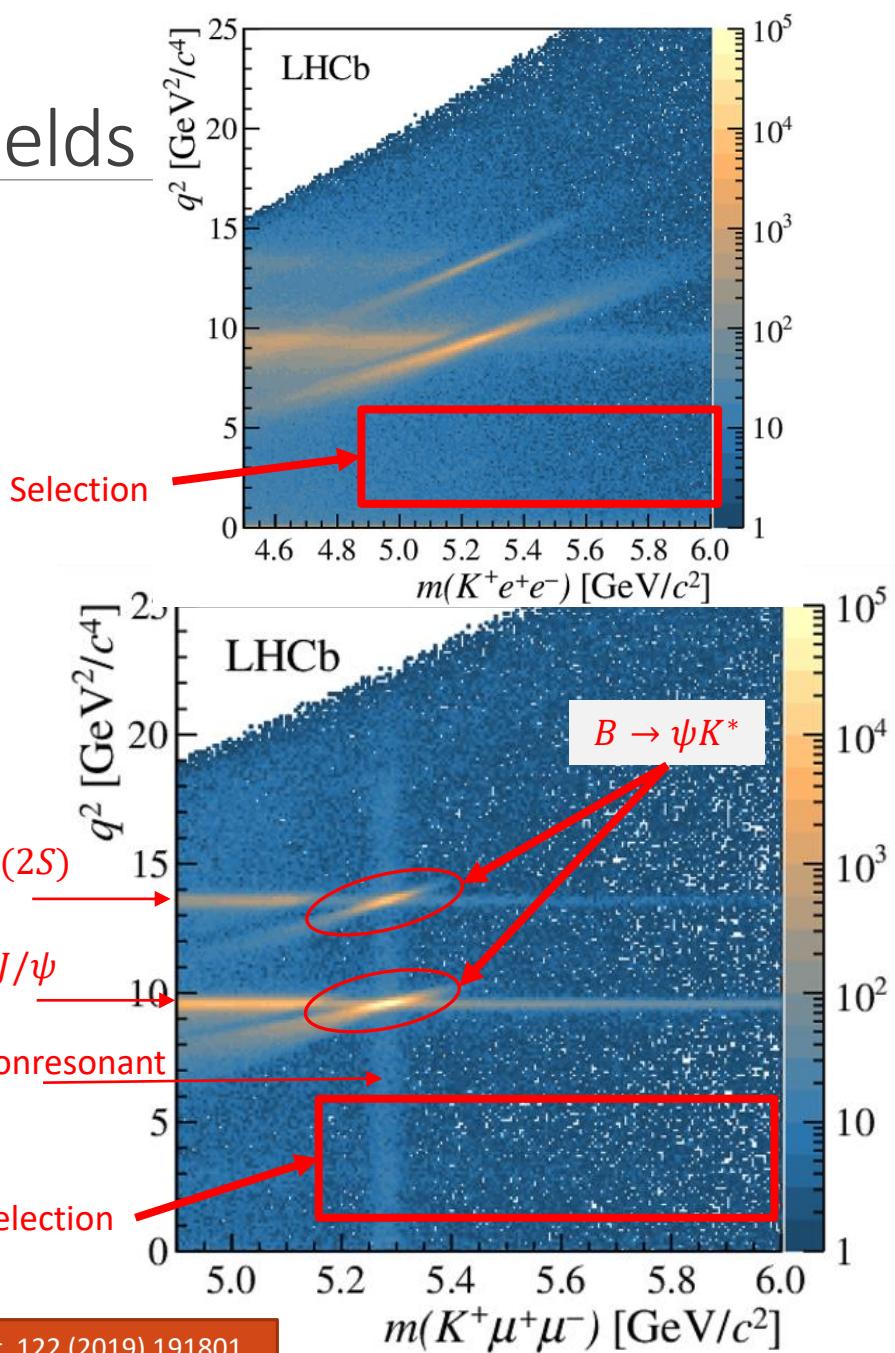
- Main challenge experimentally at LHCb: **electron reconstruction**
  - Electron momentum resolution is considerably worsened by bremsstrahlung
  - $X/X_0 \approx 30\%$  before magnet)
  - Recovery algorithms find the hardest pre-magnet emissions ( $E_T > 75$  MeV)
  - Dielectron mass resolution also dependent on trigger path

- Minimize impact of reconstruction systematics with double ratio

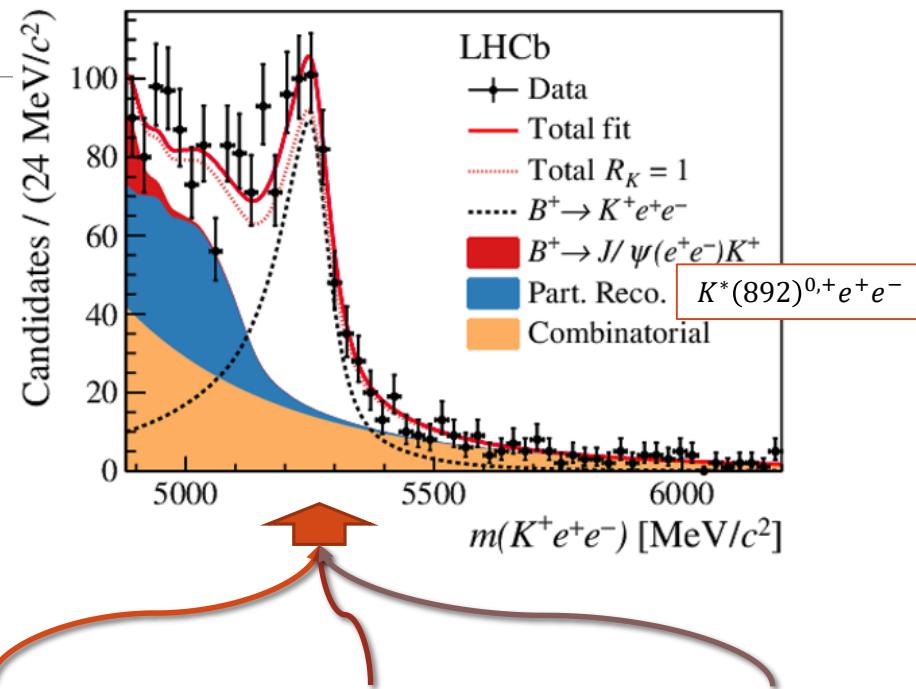
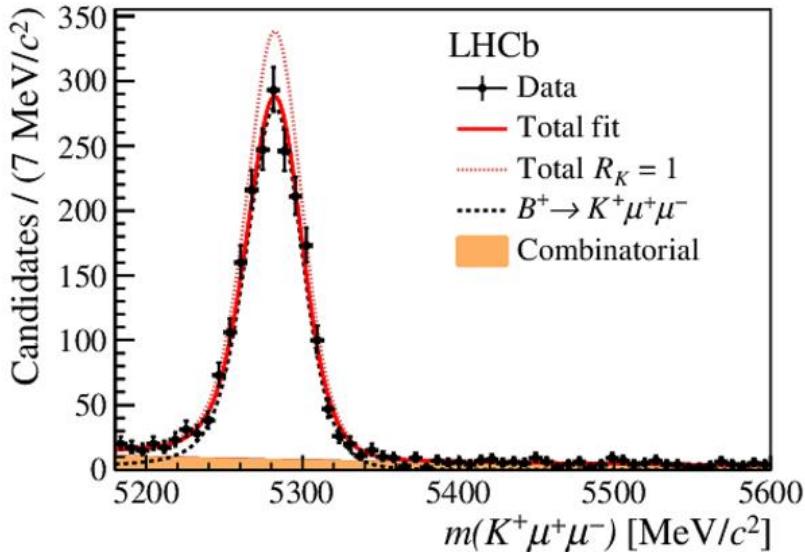
$$\frac{\mathcal{B}(B \rightarrow K^* \mu\mu)}{\mathcal{B}(B \rightarrow J/\psi [\rightarrow \mu\mu] K^*)} / \frac{\mathcal{B}(B \rightarrow K^* ee)}{\mathcal{B}(B \rightarrow J/\psi [\rightarrow ee] K^*)}$$

$$= \frac{\mathcal{B}(B \rightarrow K^* \mu\mu)}{\mathcal{B}(B \rightarrow K^* ee)} / r_{J/\psi}$$

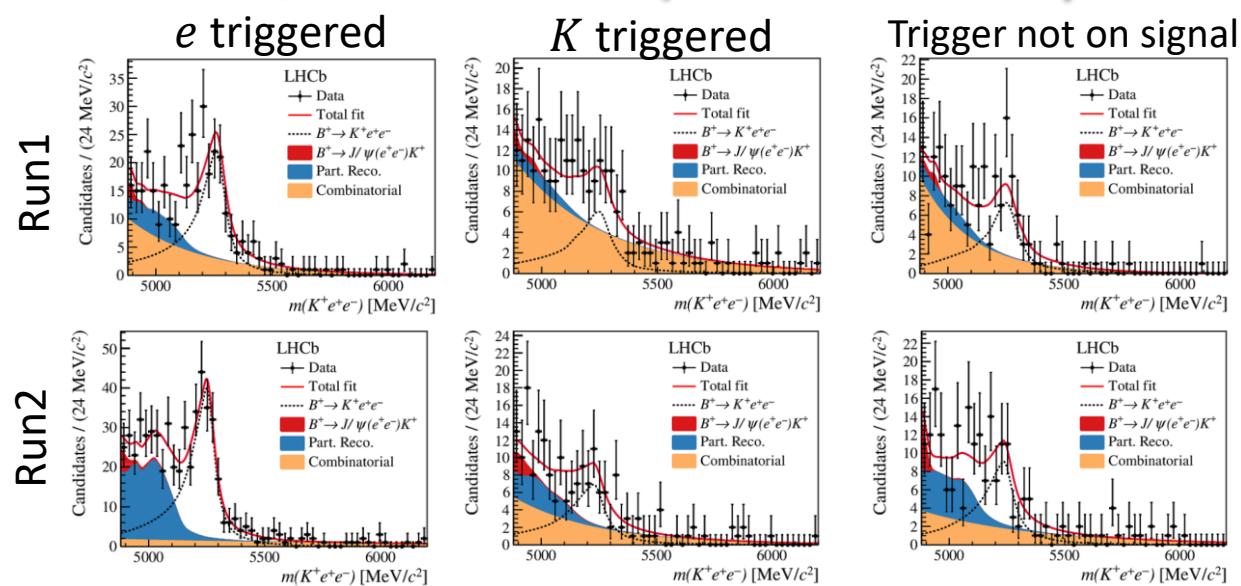
- Extensively cross-checked
  - double-ratio of  $\psi(2S)K$  vs  $J/\psi K$
  - Single ratio  $r_{J/\psi}$  average and stability vs lab-frame kinematics affecting reconstruction



# $R_K$ fit

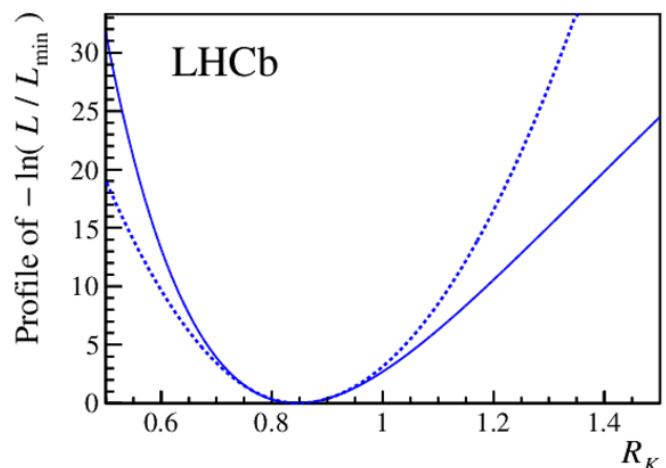
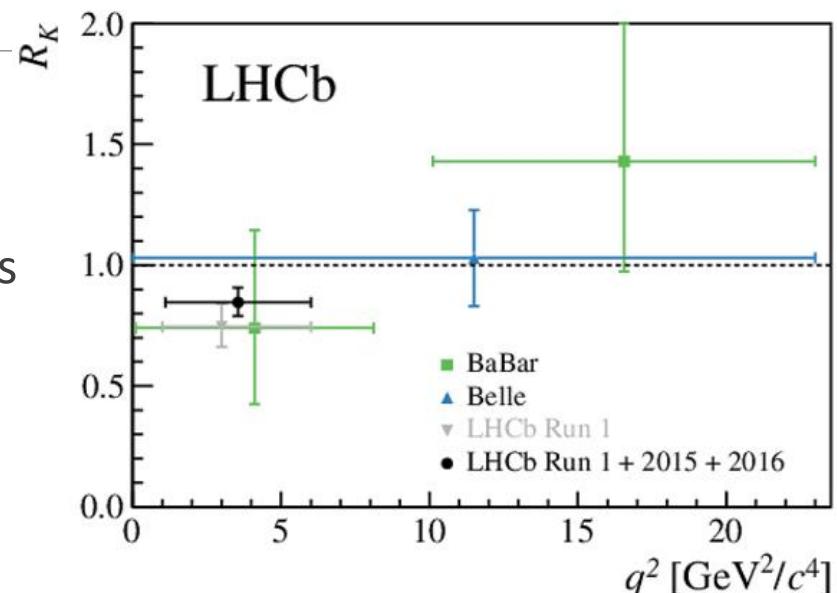


- Mass shape in electron mode is sum of shapes corresponding to brem reco for 0, 1, both electrons
  - Relative fractions from simulation
  - Mass shape parameters fixed from fits to (corrected) simulation
  - Corrections from subsample of resonant mode



# RK results

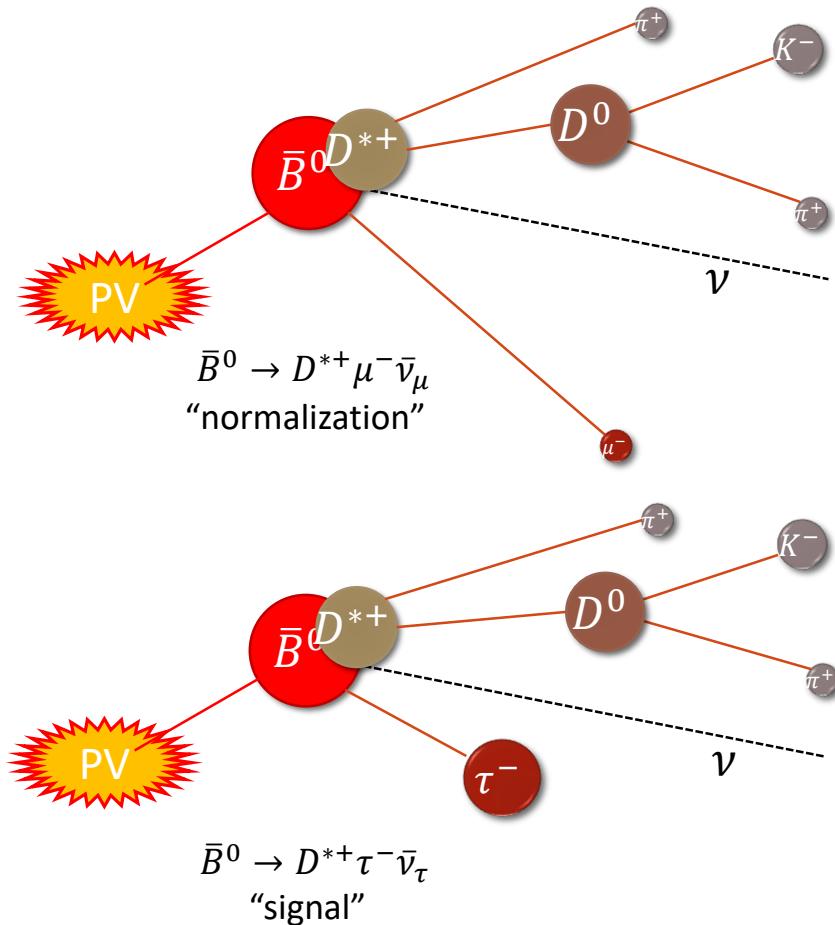
- $R_K(1.1 - 6.0 \text{ GeV}^2) = 0.846^{+0.060 +0.016}_{-0.054 -0.014}$ 
  - $2.5\sigma$  from SM
  - Run1 consistent with previous, Run2 pulls slightly higher
- Other LHCb results:
  - $R_{K^*}(\text{low } q^2) = 0.66^{+0.11}_{-0.07} \pm 0.03$ 
    - predictions ( $\sim 0.92$ )
  - $R_{K^*}(\text{central } q^2) = 0.69^{+0.11}_{-0.07} \pm 0.05$ 
    - predictions ( $\sim 1.0$ )
- Low RK consistent with  $C_9/C_9 - C_{10}$ -type new physics picture preferred by global fits to  $b \rightarrow s\ell\ell$  data
- Still the “poster child” of statistics-limited measurements. Fast improvements as more data are incorporated



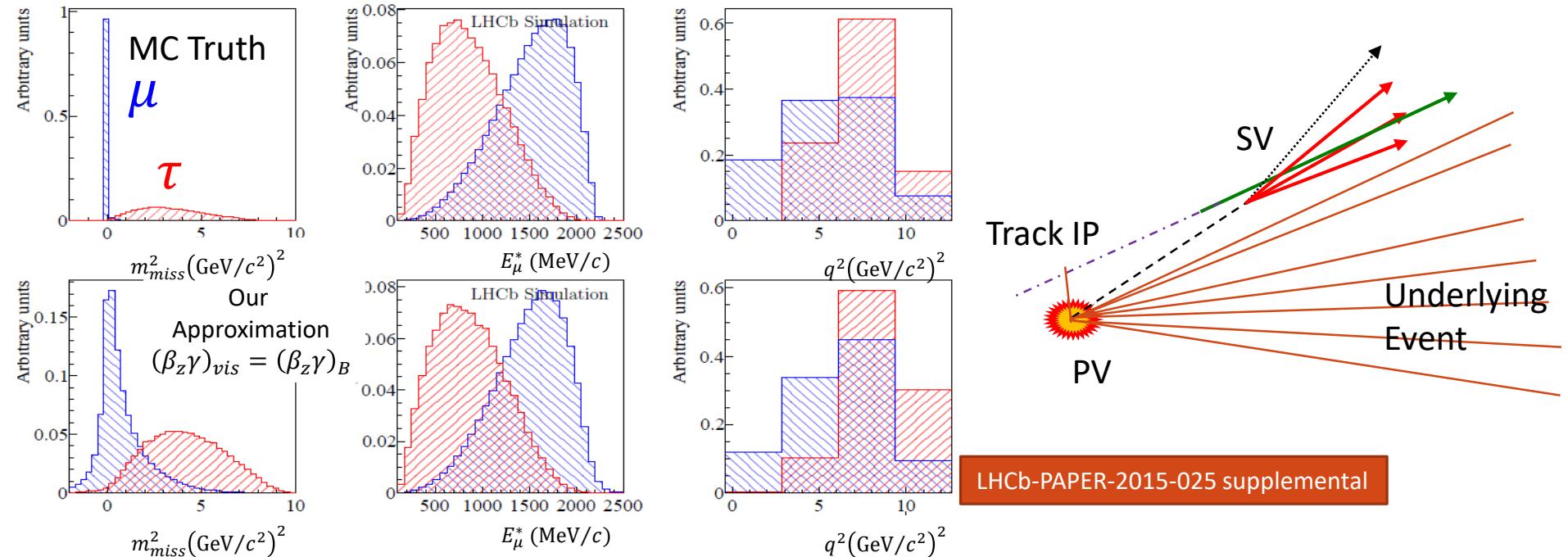
# LFU in Semileptonic B Decays

$$R(D^{*+}) \equiv \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\ell)}$$

- Theoretically clean due to cancellation of most of the form factor uncertainty
  - Helicity-suppressed amplitudes as well as the FFs in the low  $q^2$  normalization region don't cancel
  - SM (BGL, HQET):  $R(D^*) = 0.258(5)$  [HFLAV] [JHEP 1711 061 (2017), JHEP 1712 060 (2017), PRD 95 115008 (2017)]
- $\tau^- \rightarrow \mu^- \bar{\nu}_\ell \nu_\tau$ 
  - Direct normalization from identical (visible) final state
  - Must disentangle from  $\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu$  in fit
- $\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$ 
  - Clear signature at LHCb: higher signal purity
  - Reliant on external measurements to get back  $R(D^*)$
- Common Challenges: missing neutrinos with poorly constrained momentum
  - Don't know full momentum  $\rightarrow$  unknown rest frame
  - Large partially-reconstructed  $B$  backgrounds

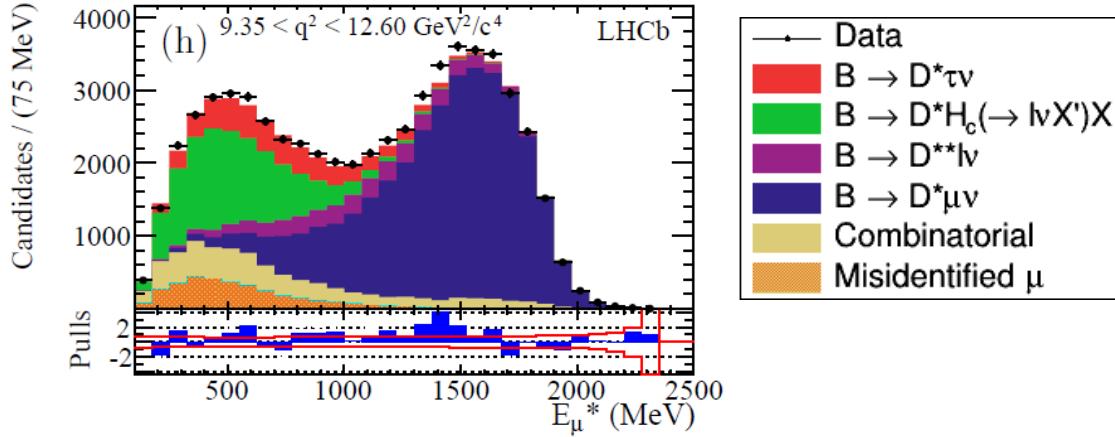
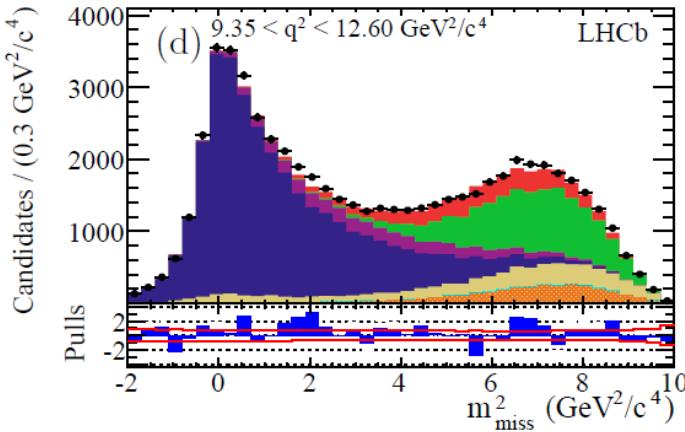


# LHCb techniques: boost approximation

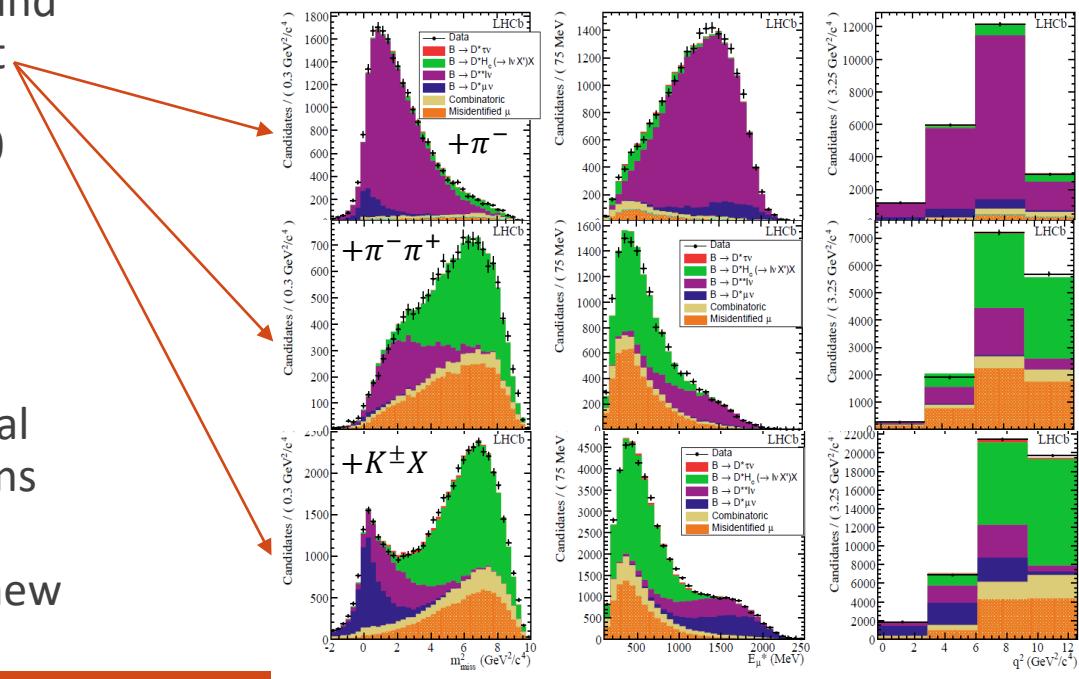


- No information on initial B momentum to reconstruct the discriminating variables
  - Key: Resolution on rest frame variables doesn't matter much because distributions are broad to begin with -- well-behaved approximation will still preserve differences for fit
  - Approximation + knowledge of direction from PV to SV => solve for full B momentum
- Use superb tracking system to fight huge partially-reconstructed background
  - Scan over every track and compare against  $D^{*+} \mu^-$  vertex with machine-learning alg.
  - Allows for cleaner signal sample \*and\* data control samples enriched in key backgrounds

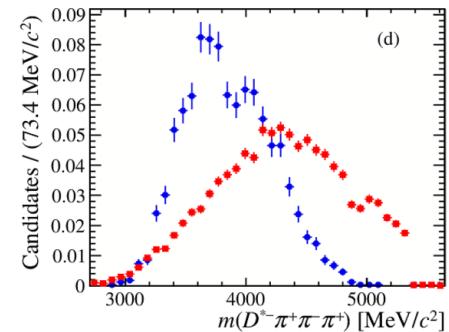
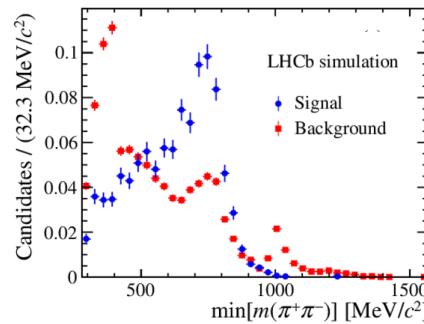
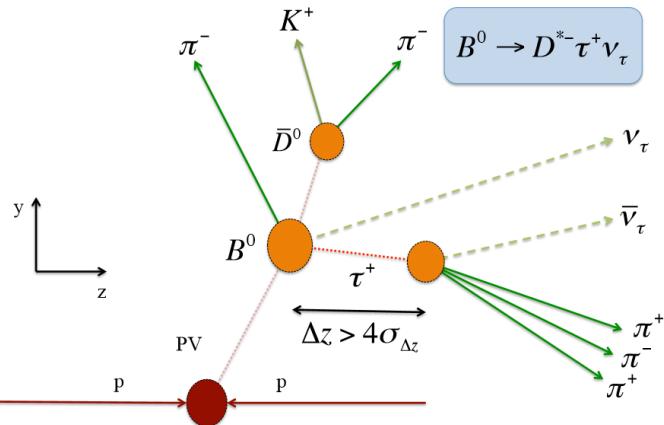
# Result



- 3D fit to  $m_{miss}^2, E_\mu^*, q^2$ 
  - Multiple control samples to validate and constrain shapes of backgrounds in fit
- Result:  $R(D^*) = 0.336 \pm 0.027 \pm 0.030$ 
  - First measurement of a  $b \rightarrow X\tau\nu$  decay at a hadron collider
  - Excellent agreement with BaBar 2012
- Dominant systematics from MC statistical uncertainty and background from hadrons misidentified as muons
  - Latter can be greatly improved with new techniques

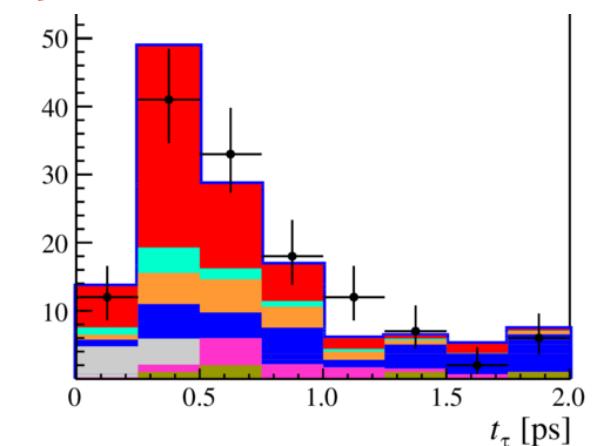
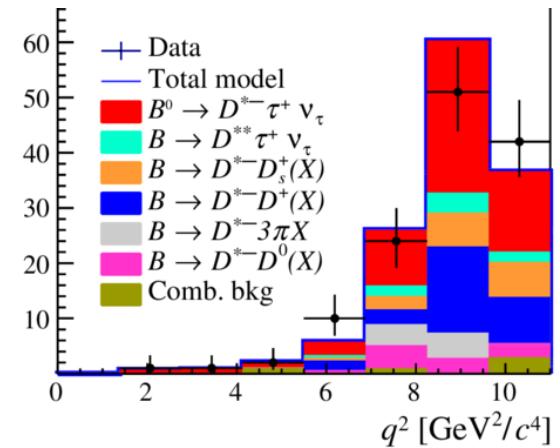
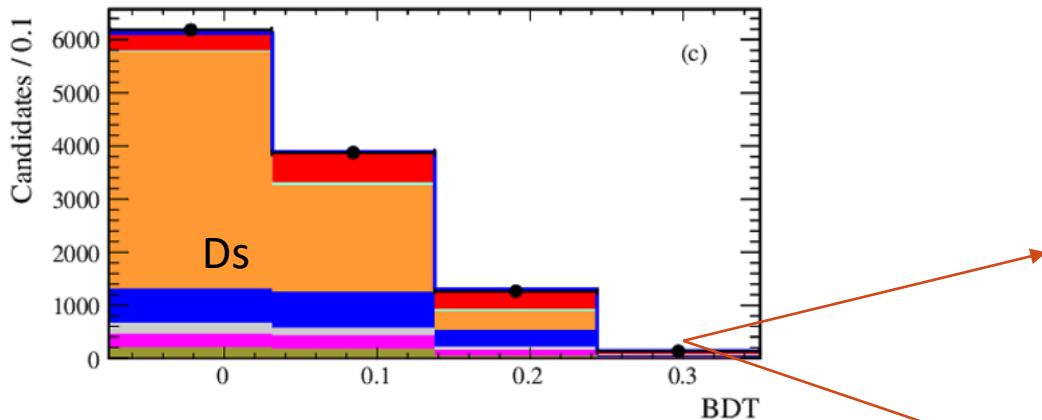


# $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$ with $\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu$



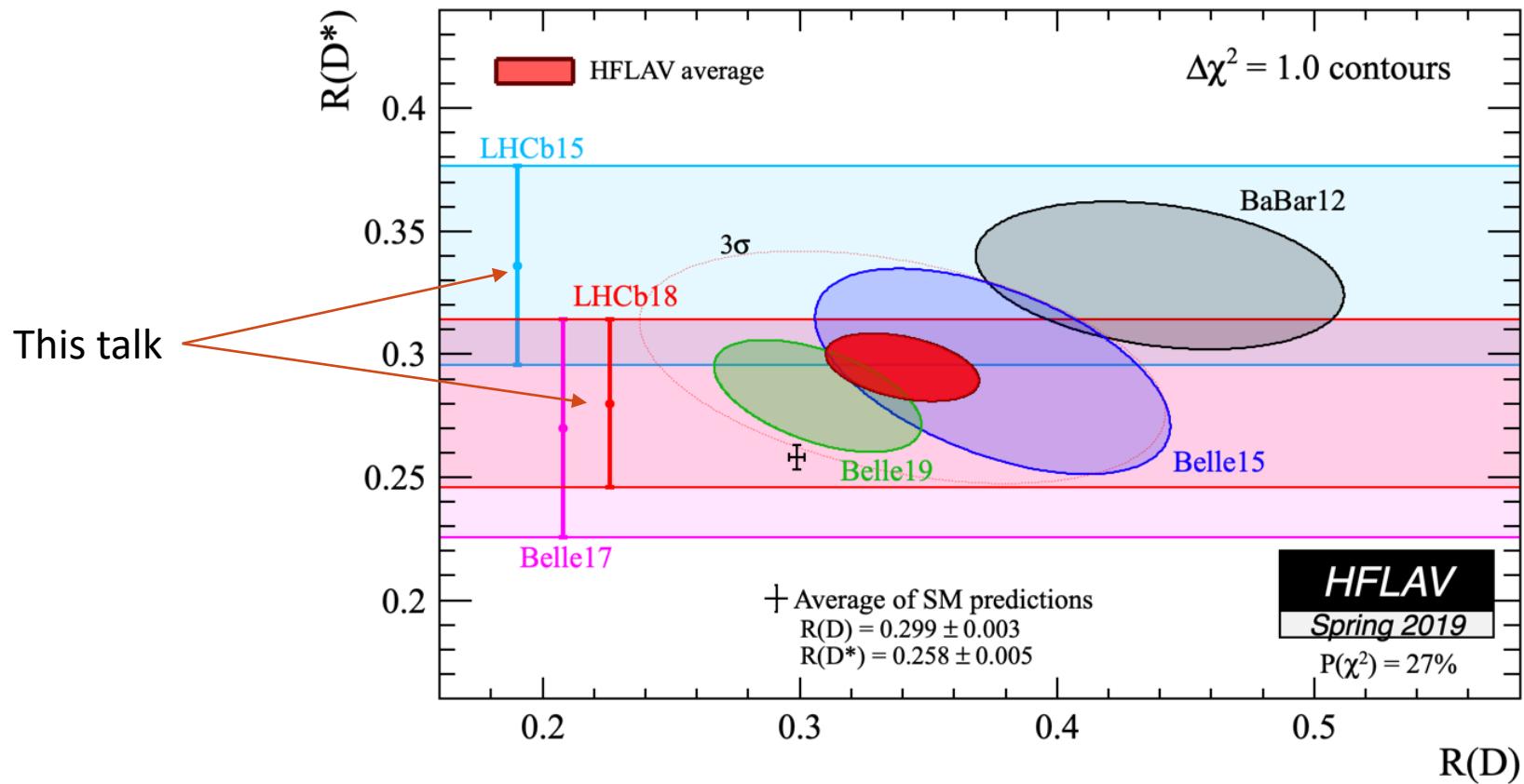
- This mode is historically very challenging due to the large inclusive  $\bar{B} \rightarrow D^{*+} 3\pi_{direct} X$  branching fraction (**100x expected signal**)
- Very large boost and excellent vertexing at LHCb comes to the rescue:
  - 4 $\sigma$  separation of vertices along  $\hat{z}$  removes 99.9% of non-flying background, retains  $\sim 34\%$  of signal**
  - Result is O(11%) signal purity, compared to 3.5% signal in muonic mode**
  - Further enhance the signal: require no tracks with  $< 5\sigma$  IP significance to B vertex
- Different approximation for B-frame used (see backups)
- Use BDT to distinguish signal vs  $B \rightarrow D^* D_s^- [\rightarrow 3\pi X]$  backgrounds as selection and fit variable
  - calibrate simulation decay model in anti-selected region

# $\bar{B}^0 \rightarrow D^{*+} \tau^- [\rightarrow 3\pi(\pi^0)\nu] \bar{\nu}$ result



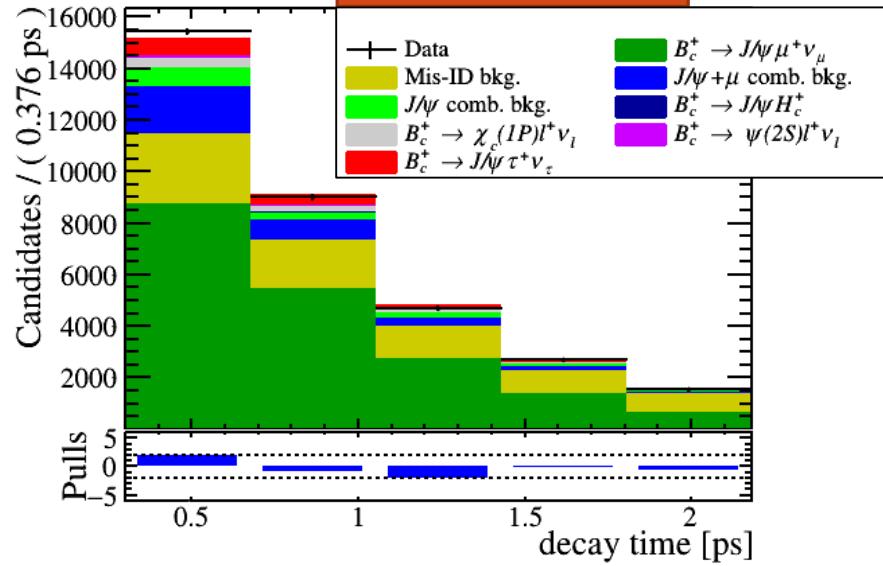
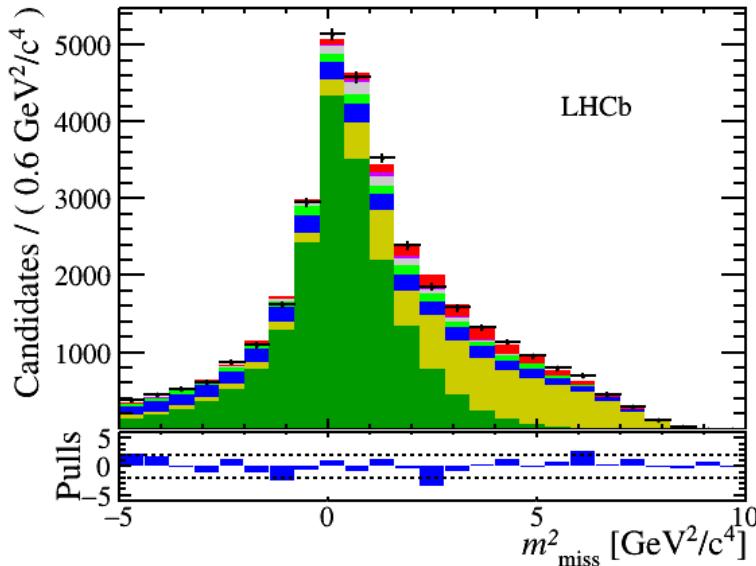
- 3D fit in  $q^2$ ,  $\tau$  decay time, BDT
  - Control data improves  $q^2$  description for  $3\pi$  from  $D^0, D^\pm$
- Exclusive  $\bar{B} \rightarrow D^{*+} 3\pi_{\text{direct}}$  provides normalization
  - $K(D^*) \equiv \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}$
  - $R(D^*) = K(D^*) \times \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\tau)}$
- **Result:**  $0.291 \pm 0.019 \pm 0.026 \pm 0.013$ 
  - HFLAV2019 inputs:  $0.280 \pm 0.018 \pm 0.026 \pm 0.012$

# LHCb R(D<sup>\*</sup>) summary



# $R(J/\psi)$

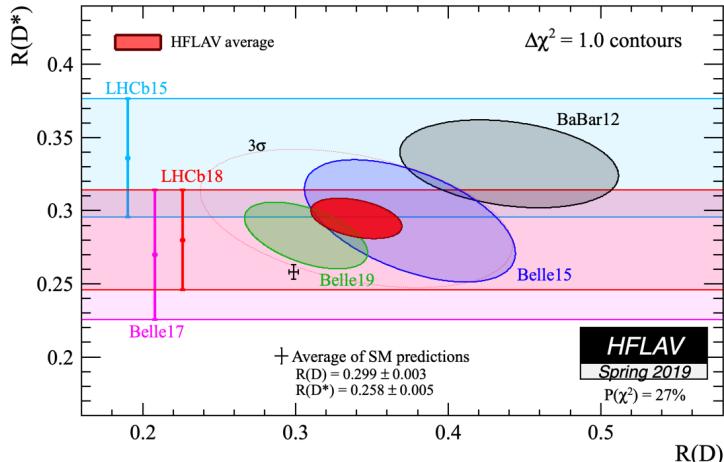
PRL 120, 121801 (2018)



$$R(J/\psi) \equiv \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau \nu)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu \nu)} = 0.71 \pm 0.17 \pm 0.18$$

- Advantage: striking tri-muon signature in  $\tau \rightarrow \mu \nu \nu$ ,  $J/\psi \rightarrow \mu \mu$  decay chain
  - Disadvantage: lighter b hadrons 100x more common – **large background from  $J/\psi$ +misidentified hadron**
- 3D fit in  $m_{\text{miss}}^2$ ,  $\tau_B$ , and  $q^2/E_\ell$  categorical variable  $Z$  (not shown) uses only on Run1 dataset and is limited by statistics and (lack of) knowledge of form factors
  - Efficient triggering and event selection even in higher pileup (LHCb upgrade) environment – good target for any LHCb upgrade scenario
  - Analysis in the  $\tau \rightarrow 3\pi \nu$  submode can also expect to benefit from high efficiency

# $R(D^0)$ vs $R(D^*)$ with $\tau \rightarrow \mu\bar{\nu}\nu$



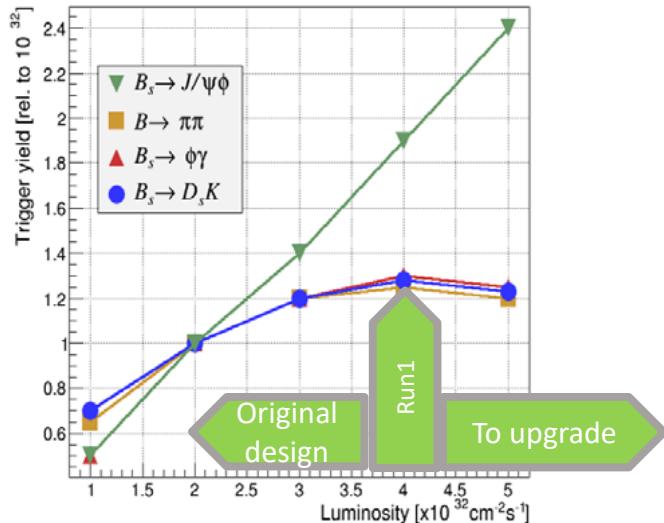
$$\frac{B^- \rightarrow D^{*0} [\rightarrow D^0(\pi^0/\gamma)]\mu\bar{\nu}}{B^- \rightarrow D^0\mu\bar{\nu}} \approx 2.5$$

$$\frac{B^0 \rightarrow D^{*+} [\rightarrow D^0\pi_{missing}^+] \mu\bar{\nu}}{B^- \rightarrow D^0\mu\bar{\nu}} \approx 0.75$$

$$\frac{B_s^0 \rightarrow D_s^{**+} [\rightarrow D^0 K_{missing}^+] \mu\bar{\nu}}{B^- \rightarrow D^0\mu\bar{\nu}} \approx 0.06$$

- Muonic  $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}$  served as a prototype due to simpler structure of and better handles on backgrounds
  - Goal: Turn our band into an ellipse
- $B^- \rightarrow D^0\tau^-\bar{\nu}$  appears very possible at LHCb (with \*lots\* of effort!)
  - Simultaneous fit to disjoint  $D^0\mu^-$  and  $D^{*+}\mu^-$  samples
    - Feed-down from  $D^*$  always present in  $D^0\mu^-$  sample
      - Refitting  $D^{*+}\mu^-$  sample helps control & constrain
    - Low slow pion efficiency  $\rightarrow D^0\mu^-$  sample is 5x larger
    - 75% is  $D^*$  feed down  $\rightarrow$  big improvement in  $R(D^*)$
    - Challenge: simulation mismodeling in templates must be controlled to high precision
- $R(D^+)$  not feasible with Run1 dataset (no suitable trigger)
  - Run 2 added dedicated triggers for  $D^0\mu X$  these final states as well as  $D^+\mu X$ ,  $\Lambda_c^+\mu X$ ,  $D_s^+\mu X$

# To the LHCb Upgrade



## LHCb Upgrade Trigger Diagram

**30 MHz inelastic event rate  
(full rate event building)**

### Software High Level Trigger

**Full event reconstruction, inclusive and exclusive kinematic/geometric selections**

**Buffer events to disk, perform online detector calibration and alignment**

**Add offline precision particle identification and track quality information to selections**

**Output full event information for inclusive triggers, trigger candidates and related primary vertices for exclusive triggers**

**2-5 GB/s to storage**

- Goal: increase dataset by an order of magnitude over next phase of running
- Upgrade: 26 kHz of beauty in acceptance (10 MHz of charm!) with 5-7 interactions per crossing
  - Hardware must be able to be read out much faster than 1 MHz
    - Readout of all subdetectors must be replaced
    - Occupancy demands all-new tracking system
  - Cannot rely on hardware  $E_T$  thresholds and expect to make intelligent trigger decisions
- All-software trigger needed to react to this data rate

# Summary

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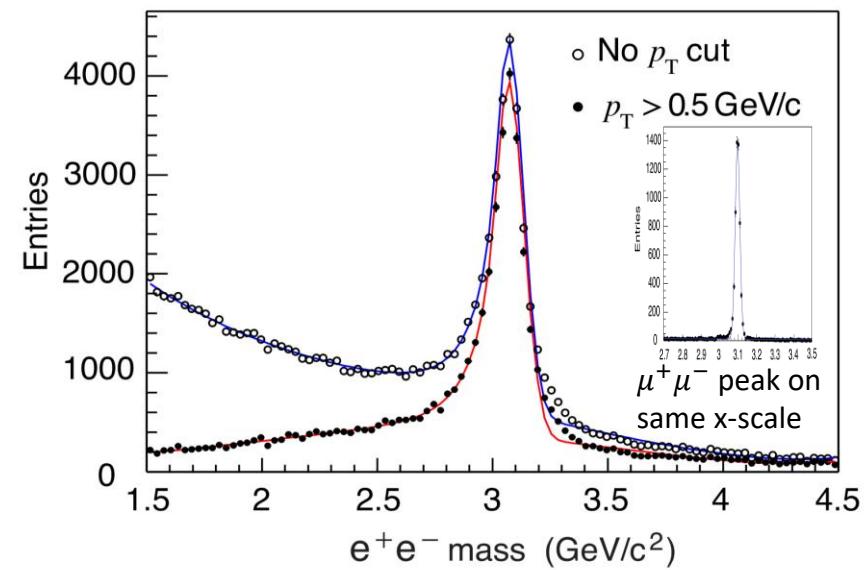
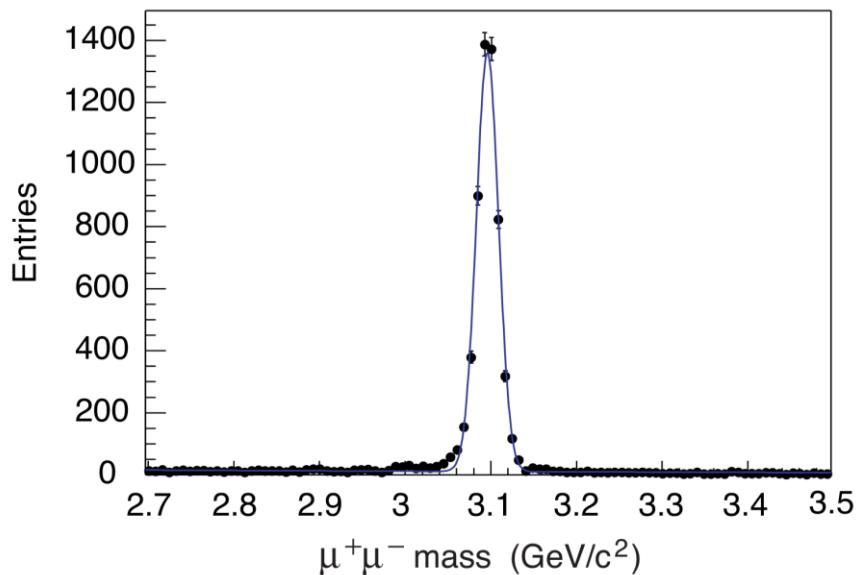
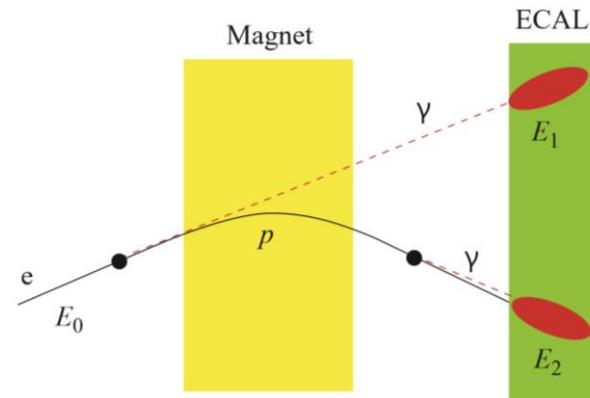
- B physics experiments are pushing lepton universality tests beyond validating the electroweak interaction, with LHCb playing a key role
- Measurements of LFU in electroweak penguin decays are continuing to push to higher precision
  - Consistent but inconclusive results favoring lower muon (higher electron) branching fractions fit in with consistently low  $b \rightarrow s\mu\mu$  branching fractions from other analyses
  - Improvements already observed with early Run2 data ✓
    - More lumi is on disk already, stay tuned!
- LHCb has launched a program of studying semileptonic  $b \rightarrow X\tau\nu$  decays (initially dismissed as too hard to do in pp collisions)
  - “Prototype” measurements completed in both  $\tau \rightarrow \mu\nu\nu$  and  $\tau \rightarrow \pi\pi\pi\nu$  sub-modes ✓
  - Lots of “to-do”s to extend the program, limited by manpower and long lead times required for these systematics-sensitive analyses (precision template fits are **hard!**)
- Run2 LHCb data-taking very smooth and successful, with 5.7/fb of 13 TeV data
- LHCb upgrade will allow continued progress on flavor observables at the current pace post-2020
  - Ideas already in the pipeline beyond first upgrade

# Backup

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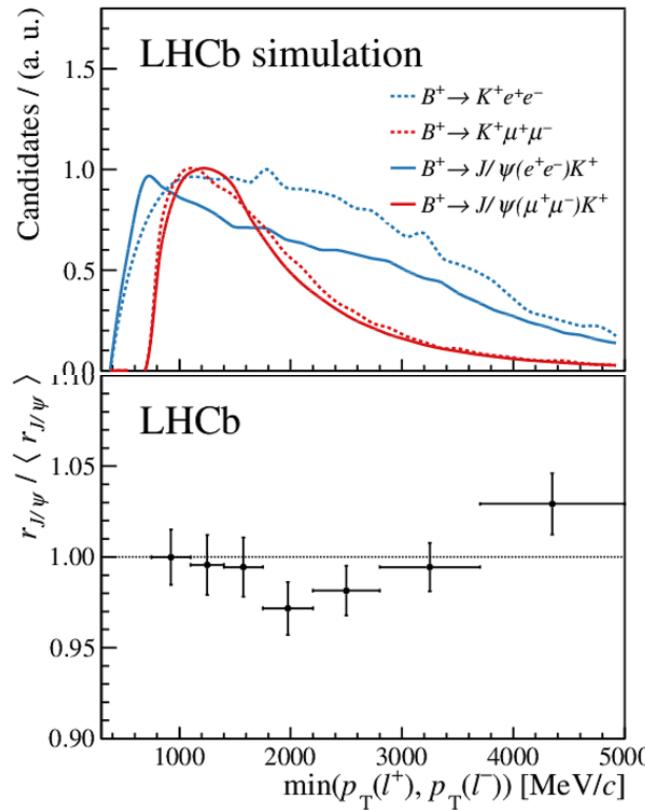
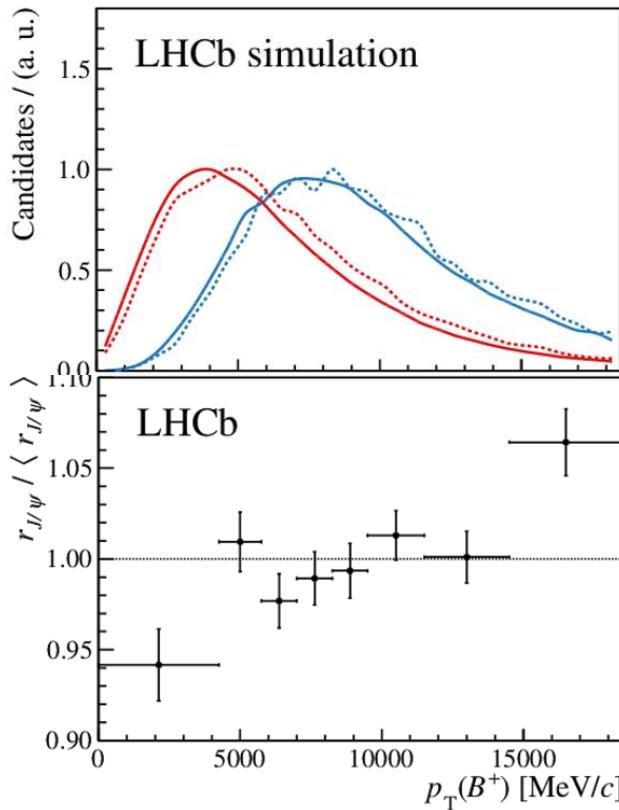
# Electron mode tools

- Hard bremsstrahlung (top) can be corrected by explicitly searching for the calorimeter deposits from the emitted  $\gamma$  ( $E_1$  in left figure)
  - LHCb reconstruction searches for such deposits isolated from tracks with ET above threshold
  - Late emissions ( $E_2$ ) are typically merged with the electron shower and are used for e/hadron separation ( $E/p$ )
    - Remember,  $p$  measured by curvature, so  $p \sim p_{\text{final}} + E_2$

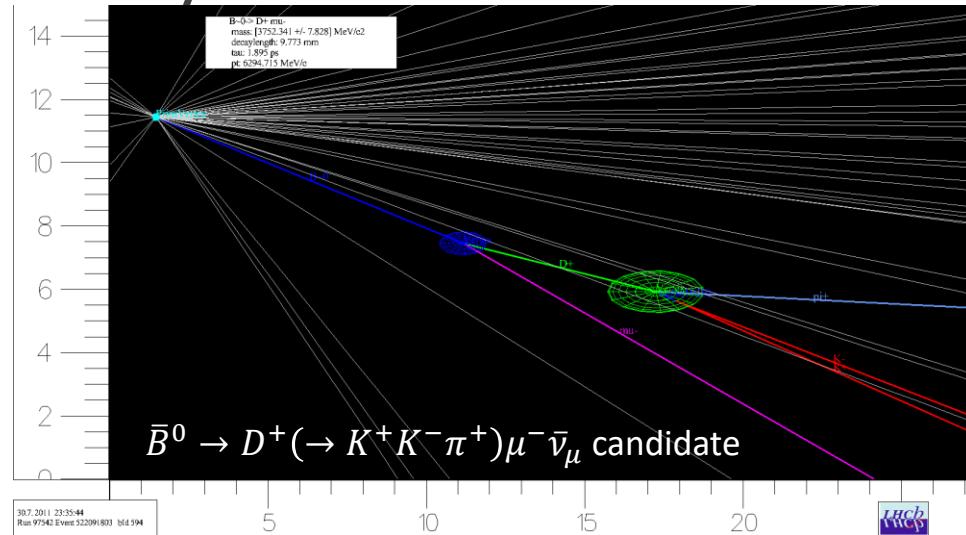
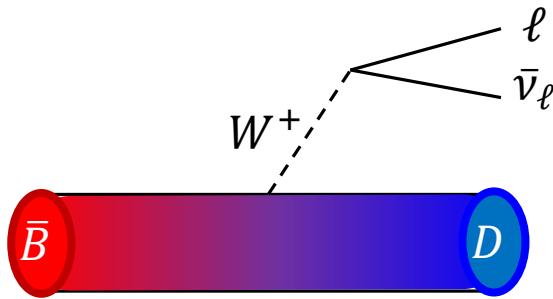


# Validation of Double Ratio

- To check calibration and efficiency estimates, use single ratio (more sensitive to reconstruction issues)
  - Find  $\langle r_{J/\psi} \rangle = 1.014 \pm 0.035$  (error only includes systematics for double ratio)
    - Check variation as function of  $e$  and  $\mu$  kinematics
    - Quantities with worst overlap below. Variation seen gives only 0.002 variation in  $R_K$



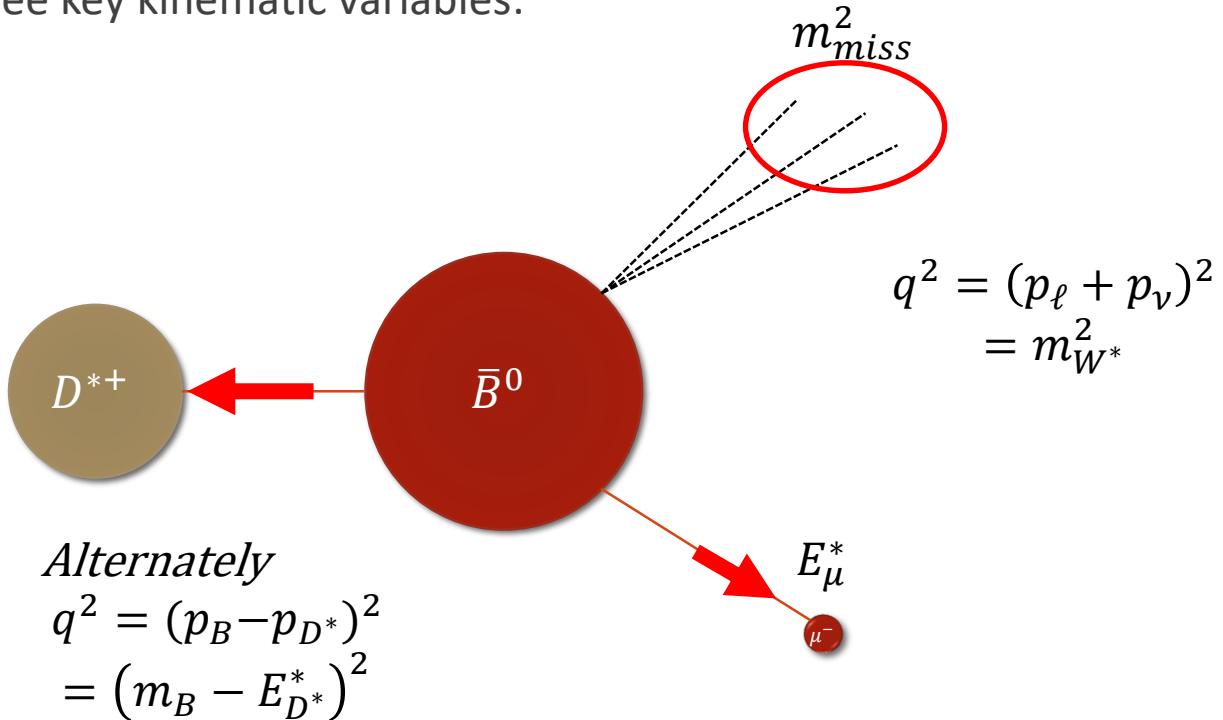
# Semileptonic B decays



- “Beta decay” of  $B$  hadrons – signature is **lepton** ( $\mu$  or  $e$  (or  $\tau$ !)), **recoiling hadronic system**, and **missing momentum**
- Theoretically well-understood in the SM
  - Tree level virtual  $W$  emission – strong V-A structure
  - No QCD interaction between the lepton-neutrino system and the recoiling hadron(s)
    - $\bar{B} \rightarrow W^{*\pm} D^{(*)}$  half of the decay still needs non-perturbative input
- Charged lepton universality implies branching fractions for semileptonic decays to  $e, \mu, \tau$  differ only phase space and helicity-suppressed contributions

# Distinguishing $b \rightarrow c\tau(\rightarrow \mu\nu\nu)\nu$ from $b \rightarrow c\mu\nu$

- In B rest frame, three key kinematic variables:

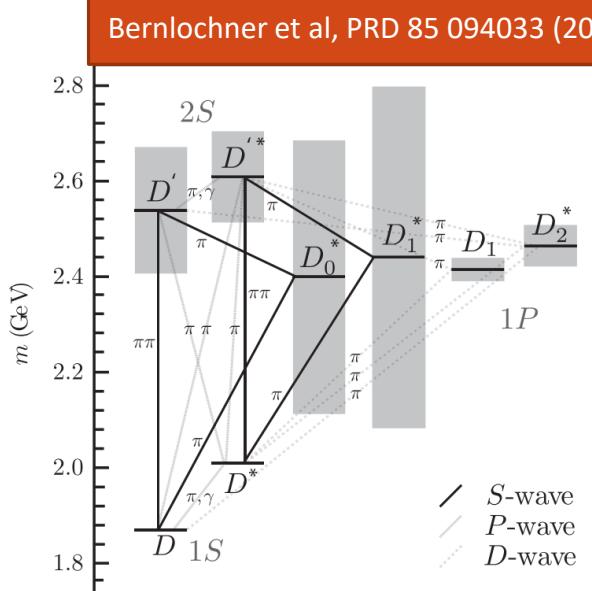


$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}$	$\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}$
$m_{miss}^2 > 0$	$m_{miss}^2 = 0$
$E_l^*$ spectrum is soft	$E_l^*$ spectrum is hard
$m_\tau^2 \leq q^2 \leq 10.6 \text{ GeV}^2$	$0 \leq q^2 \leq 10.6 \text{ GeV}^2$

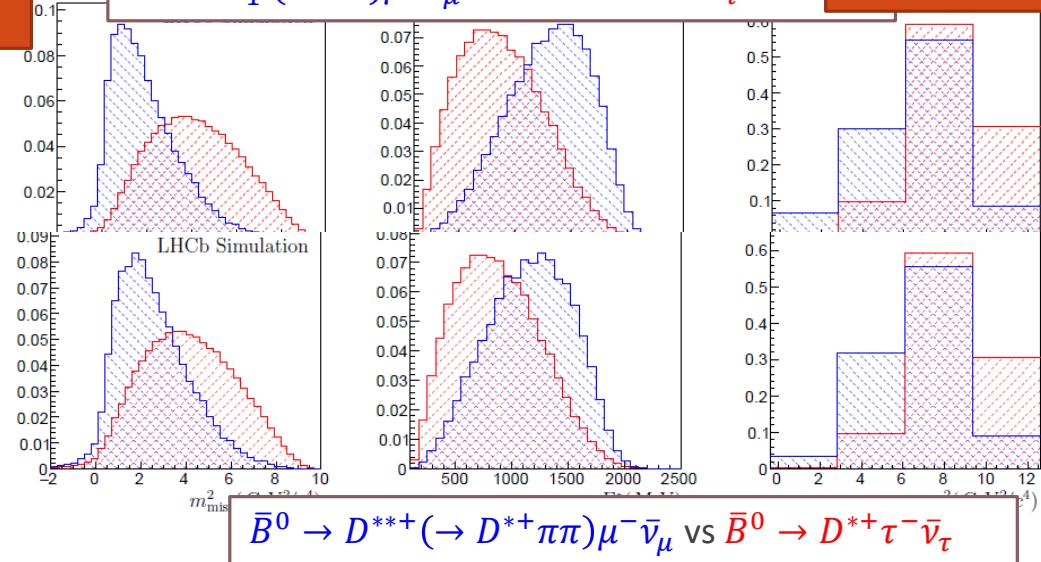
# Semileptonic Backgrounds

LHCb-PAPER-2015-025  
supplementary

Bernlochner et al, PRD 85 094033 (2012)



$\bar{B}^0 \rightarrow D_1^+(2420)\mu^-\bar{\nu}_\mu$  vs  $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau$

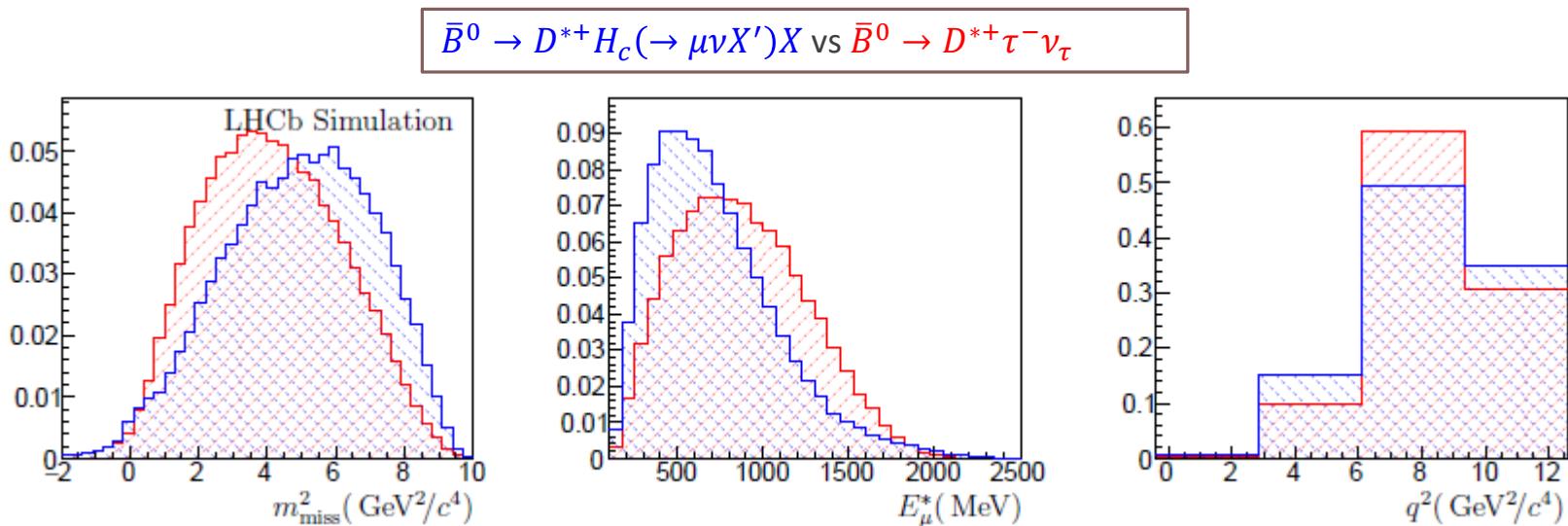


$\bar{B}^0 \rightarrow D^{*+}(\rightarrow D^+\pi\pi)\mu^-\bar{\nu}_\mu$  vs  $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau$

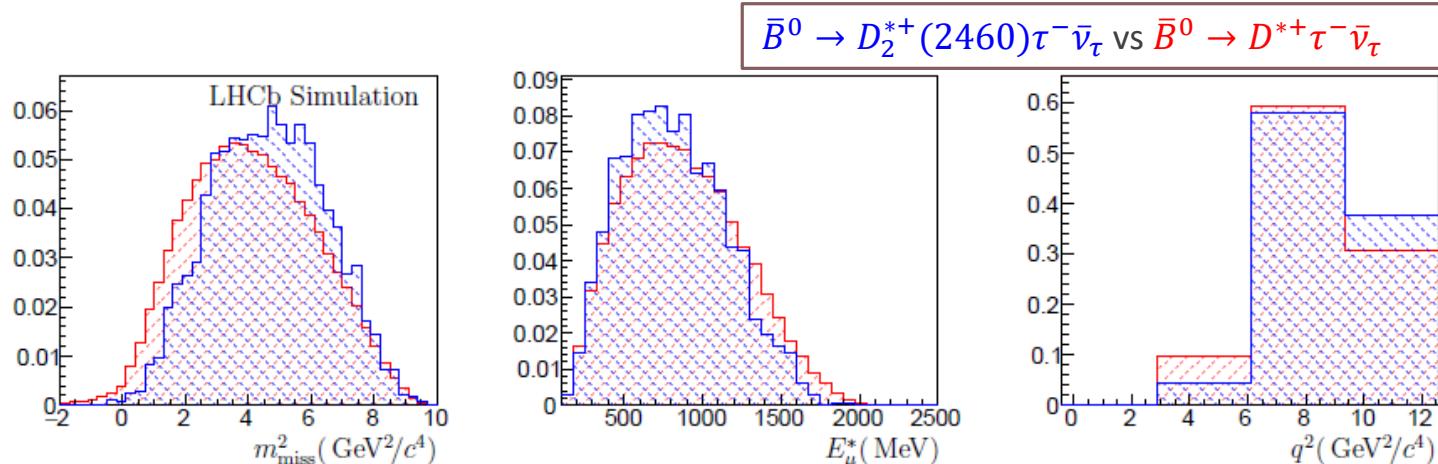
- Contributions of excited charm states in the  $B^{\pm,0} \rightarrow (c\bar{q})\mu\nu$  transition are large
  - We directly fit for contributions of 1P states constrained and unconstrained
    - Excellent consistency of resulting  $R(D^*)$  with and without external measurements as input
    - $D^{*+}\mu^-\pi^-$  control sample sets nonperturbative shape parameters for input to signal fit  $\sim 1.8\%$  relative systematic
  - States decaying as  $D^*\pi\pi$  less well-understood, fit insensitive to exact composition.
    - $D^{*+}\mu^-\pi^+\pi^-$  control sample used to correct  $q^2$  spectrum to match data  $\sim 1.2\%$  relative systematic
- Distinguishable by “edge” at missing mass  $\approx (2)m_\pi$
- Use mu component plus reasonable guess (with large error bars) on  $R(D^{**})$  to constraint tau component (only adds 1.5% relative systematic)

# $B \rightarrow D^{*+} H_c (\rightarrow \mu\nu X') X$ background

- $b \rightarrow c\bar{c}q$  decays can lead to very similar shapes to the semitauonic decay (e.g.  $\bar{B}^0 \rightarrow D^{*+} D_s^- (\rightarrow \phi \mu\nu) + \text{many others}$ )
- Branching fractions well-cataloged, but detailed descriptions of the  $D^* D K (n \geq 0 \pi)$  final states are not simulated using full Dalitz plot description
  - Dedicated  $D^{*+} \mu^- K^\pm$  control sample used to improve the template to match data
  - (1.5% relative systematic)
- Nastiest background – unconstrained in fit (major contributor to statistical uncertainty)



# Tau backgrounds



- All backgrounds with real  $\tau \rightarrow \mu \bar{\nu} \nu$  decays are an order of magnitude (at least) smaller than the signal
  - Background contributions from  $\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau$  are considered to be fixed relative to the corresponding decay modes to muons
    - Very small component, varying this contribution by 50% only moves  $R(D^*)$  by 0.005
  - Similarly,  $\bar{B} \rightarrow D^{*+}D_s^- (\rightarrow \tau^-\nu)X$  are fixed to a known fraction of the  $\bar{B} \rightarrow D^{*+}H_c (\rightarrow \mu\nu X')X$  background
    - Again, these have a negligible effect on  $R(D^*)$

# Fit structure and control samples

$\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu$  (normalization)

$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$   
(signal)

$\bar{B}^0 \rightarrow D^{**+} \mu^- \bar{\nu}_\mu + \bar{B}^0 \rightarrow D^{**+} \tau^- \bar{\nu}_\tau$   
 $\bar{B}^- \rightarrow D^{**0} \mu^- \bar{\nu}_\mu + \bar{B}^- \rightarrow D^{**0} \tau^- \bar{\nu}_\tau$   
 $D^{**} \rightarrow D^{*+} \pi^-$

$\bar{B}_s^0 \rightarrow D_s^{**+} \mu^- \bar{\nu}_\mu$   
 $D_s^{**+} \rightarrow D^{*+} K_S^0$

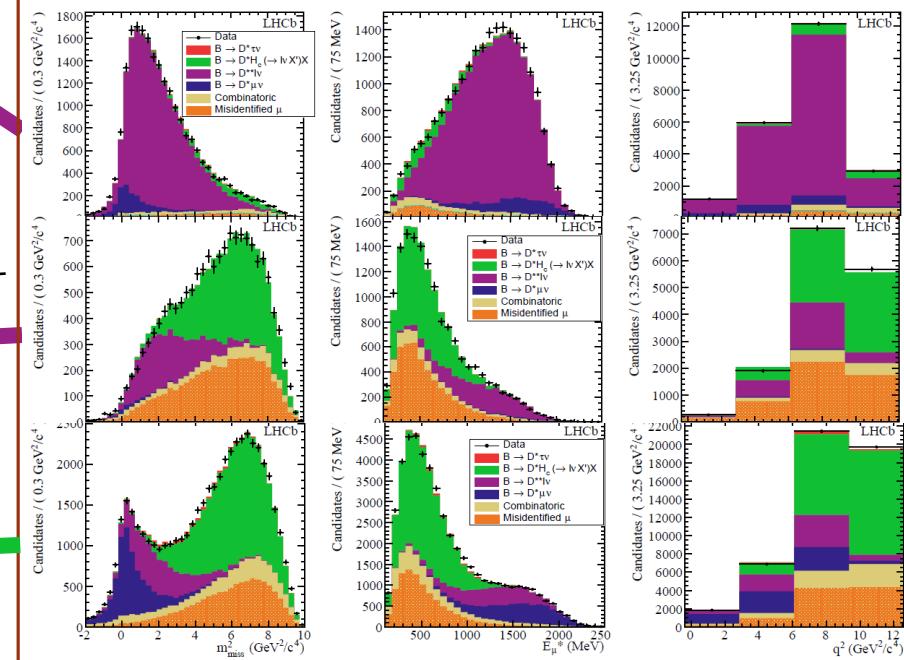
$B^{+,0} \rightarrow \bar{D}^{**} \mu^+ \nu_\mu$   
 $\bar{D}^{**} \rightarrow D^{*-} \pi\pi$

$\bar{B} \rightarrow D^{*+} H_c (\rightarrow \mu\nu X') X$   
 $+ \bar{B} \rightarrow D^{*+} D_s^- (\rightarrow \tau^- \bar{\nu}_\tau) X$

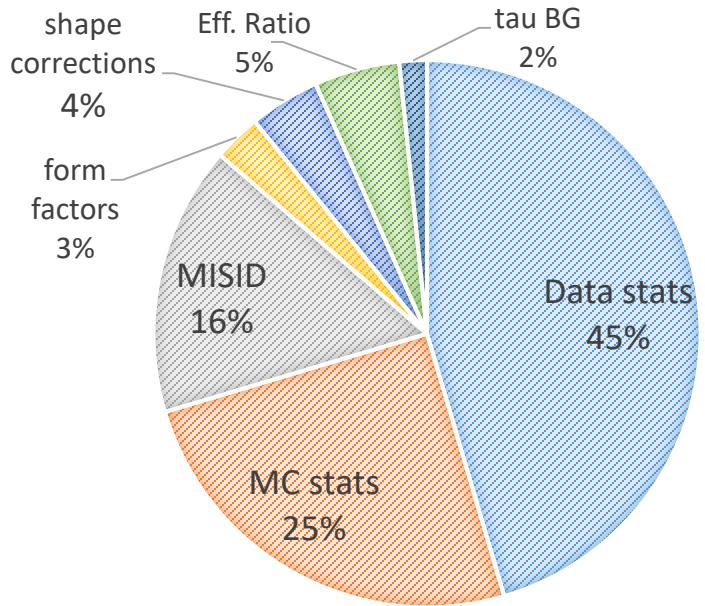
combinatorial  $D^{*+}$   
combinatorial  $D^{*+} \mu^-$

$h \rightarrow \mu$  misidentification

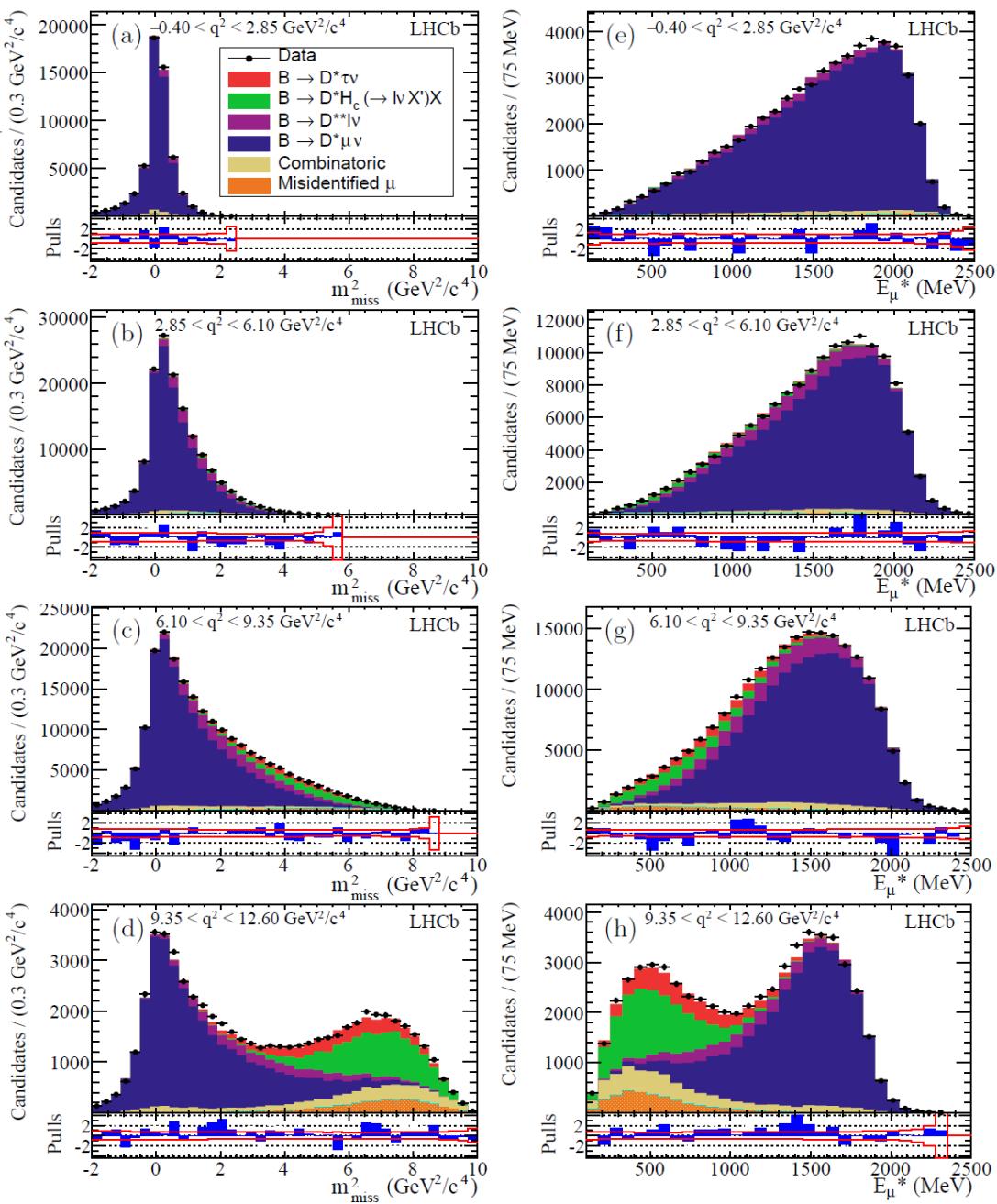
Control sample fits to constrain shapes



# R(D<sup>\*</sup>) fit result and systematics



Contribution of each source to the **squared** total measurement uncertainty



# Reconstruction of Fit Variables

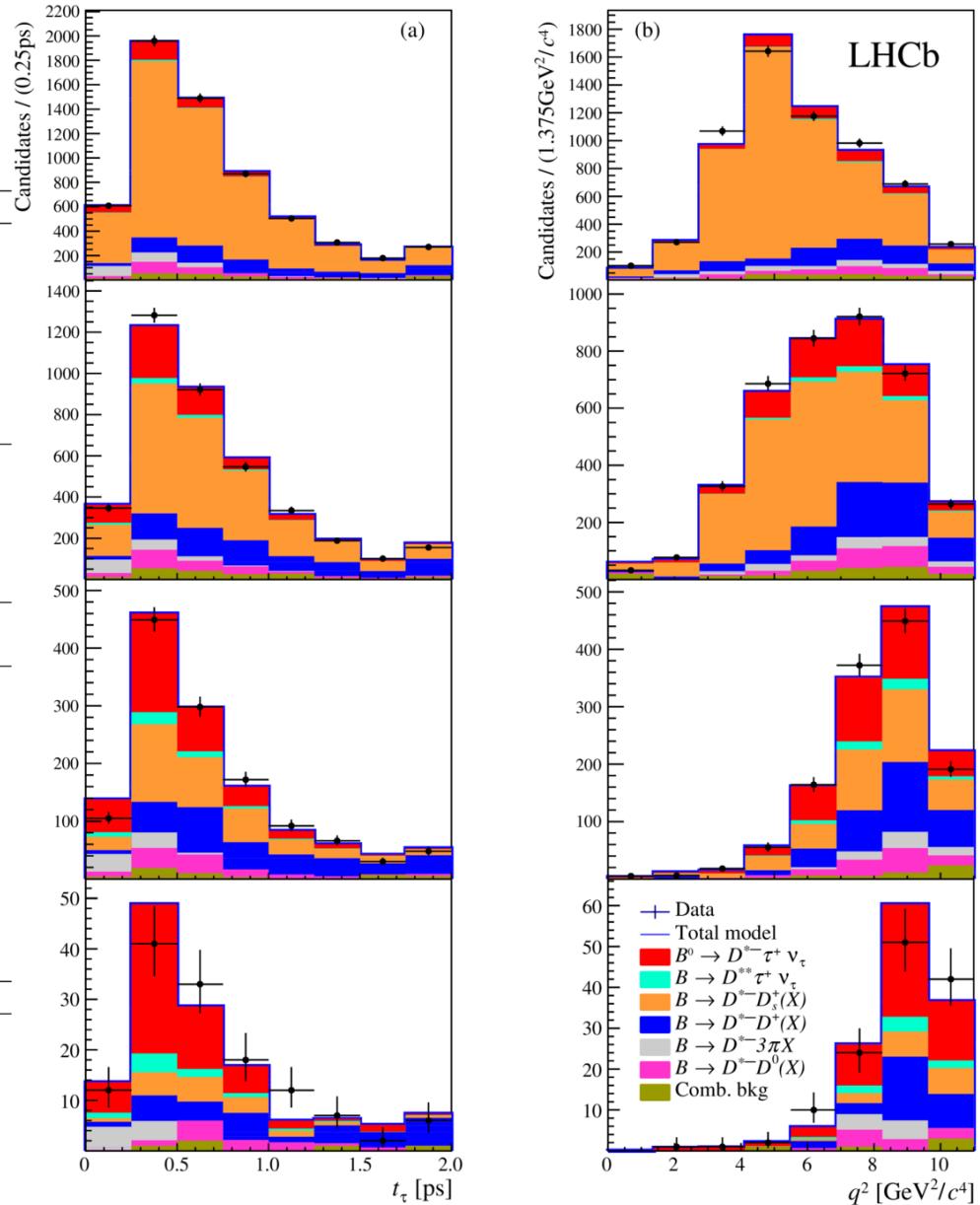
- Reconstructed variables used for 3-dimensional fit to  $q^2$ ,  $\tau$  decay time, BDT
- This measurement again hits the difficulty of underconstrained kinematics with missing neutrinos
  - Know:  $p_{3\pi}$ ,  $p_{D^*}$ ,  $B$  flight vector from PV,  $3\pi$  flight vector from  $D^*$
  - Using known  $B$  and  $\tau$  mass to solve results in  $2 \times 2$ -fold quadratic ambiguities – better than previous situation, but still not complete!

$$|\vec{p}_{B^0}| = \frac{(m_{D^*\tau}^2 + m_{B^0}^2)|\vec{p}_{D^*\tau}| \cos \theta_{B^0,D^*\tau} \pm E_{D^*\tau} \sqrt{(m_{B^0}^2 - m_{D^*\tau}^2)^2 - 4m_{B^0}^2 |\vec{p}_{D^*\tau}|^2 \sin^2 \theta_{B^0}}}{2(E_{D^*\tau}^2 - |\vec{p}_{D^*\tau}|^2 \cos^2 \theta_{B^0,D^*\tau})}$$
$$|\vec{p}_\tau| = \frac{(m_{3\pi}^2 + m_\tau^2)|\vec{p}_{3\pi}| \cos \theta_{\tau,3\pi} \pm E_{3\pi} \sqrt{(m_\tau^2 - m_{3\pi}^2)^2 - 4m_\tau^2 |\vec{p}_{3\pi}|^2 \sin^2 \theta_{\tau,3\pi}}}{2(E_{3\pi}^2 - |\vec{p}_{3\pi}|^2 \cos^2 \theta_{\tau,3\pi})}$$

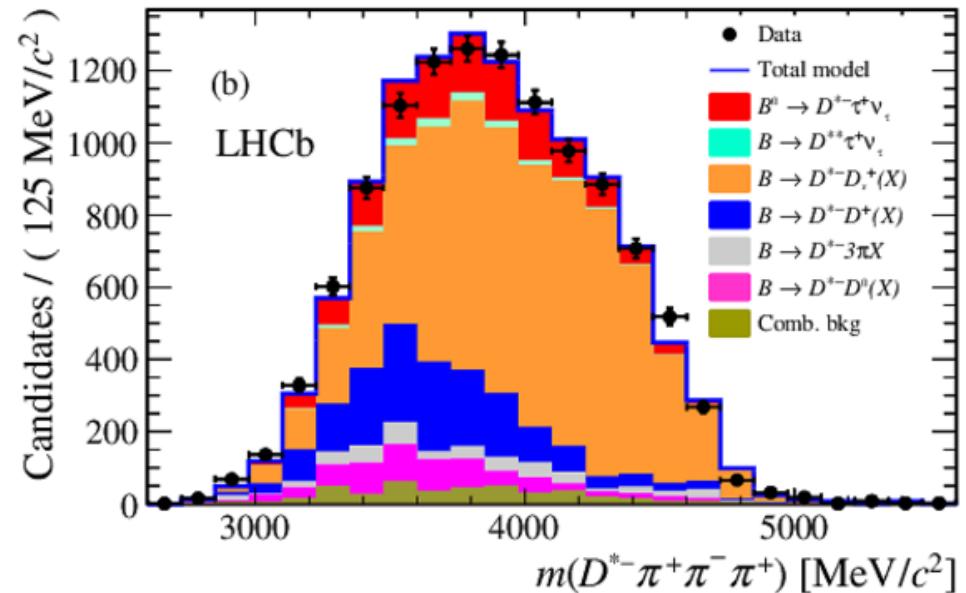
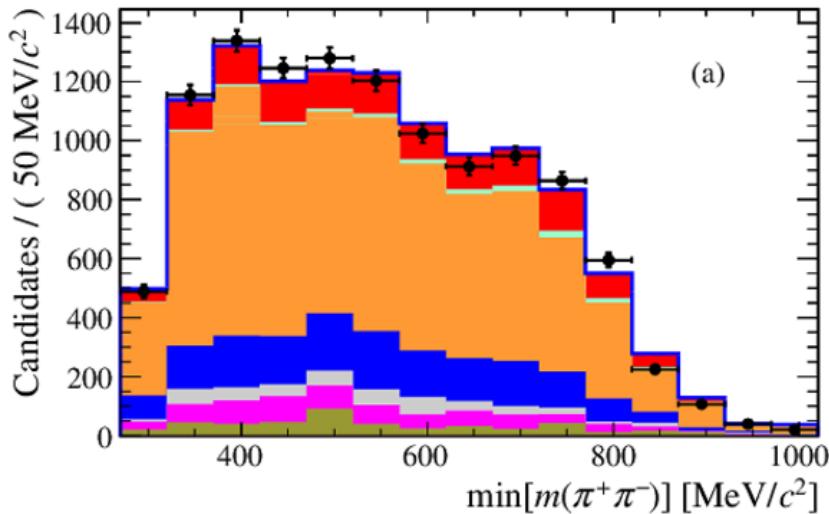
- Choose  $\theta, \theta'$  such that the ambiguity vanishes
  - Provides  $\approx 10\%$  resolution on  $q^2$
- 2<sup>nd</sup> reconstruction hypothesis: assume no neutrinos at B vertex, unknown mass neutral system at 3pi vertex – obtain estimate for mass  $m(3\pi+N)$  which peaks for Ds bkgnd

# R(D<sup>\*</sup>) 3pi fit detail

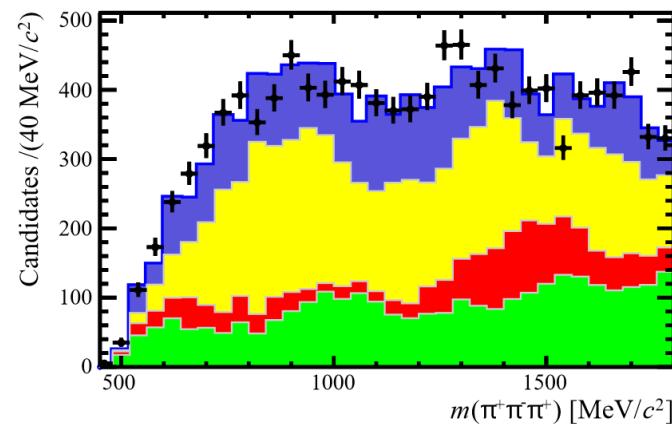
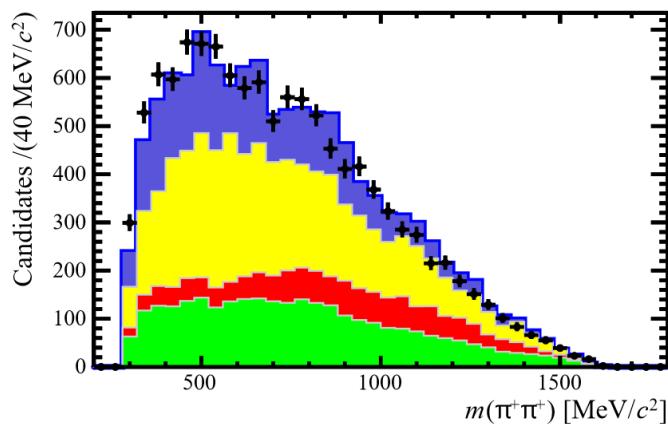
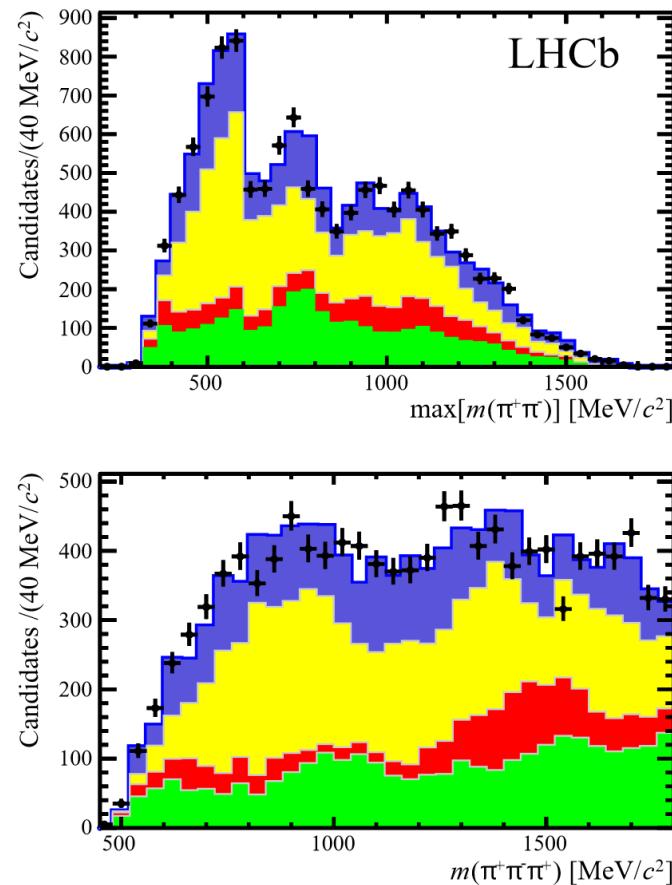
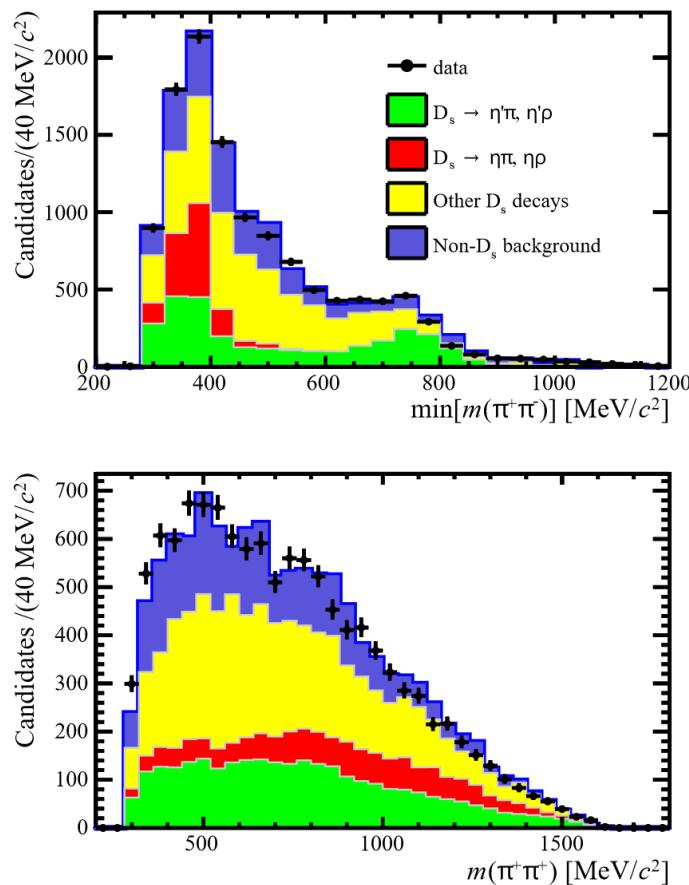
Contribution	Value in %
$\mathcal{B}(\tau^+ \rightarrow 3\pi\bar{\nu}_\tau)/\mathcal{B}(\tau^+ \rightarrow 3\pi(\pi^0)\bar{\nu}_\tau)$	0.7
Form factors (template shapes)	0.7
Form factors (efficiency)	1.0
$\tau$ polarization effects	0.4
Other $\tau$ decays	1.0
$B \rightarrow D^{**}\tau^+\nu_\tau$	2.3
$B_s^0 \rightarrow D_s^{**}\tau^+\nu_\tau$ feed-down	1.5
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$D_s^+, D^0$ and $D^+$ template shape	2.9
$B \rightarrow D^{*-}D_s^+(X)$ and $B \rightarrow D^{*-}D^0(X)$ decay model	2.6
$D^{*-}3\pi X$ from $B$ decays	2.8
Combinatorial background (shape + normalization)	0.7
Bias due to empty bins in templates	1.3
Size of simulation samples	4.1
Trigger acceptance	1.2
Trigger efficiency	1.0
Online selection	2.0
Offline selection	2.0
Charged-isolation algorithm	1.0
Particle identification	1.3
Normalization channel	1.0
Signal efficiencies (size of simulation samples)	1.7
Normalization channel efficiency (size of simulation samples)	1.6
Normalization channel efficiency (modeling of $B^0 \rightarrow D^{*-}3\pi$ )	2.0
Total uncertainty	9.1



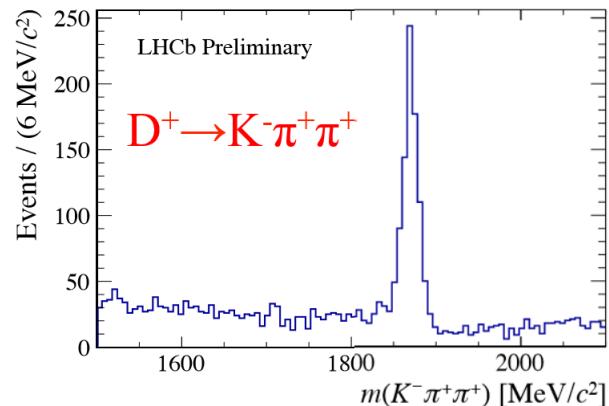
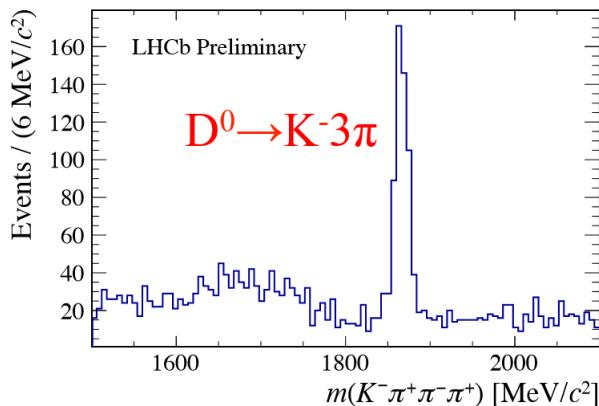
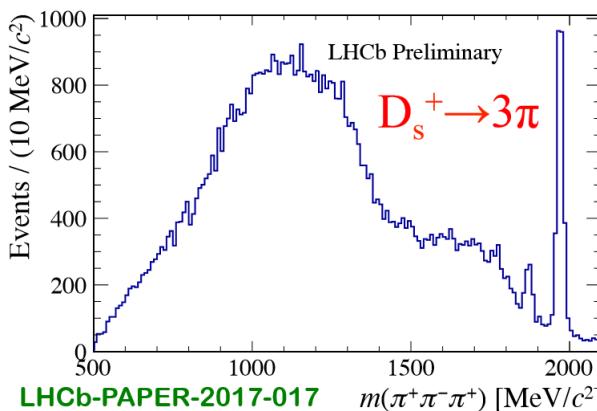
# Post-fit agreement



# D<sub>s</sub> Background calibration



# Calibrating Simulation



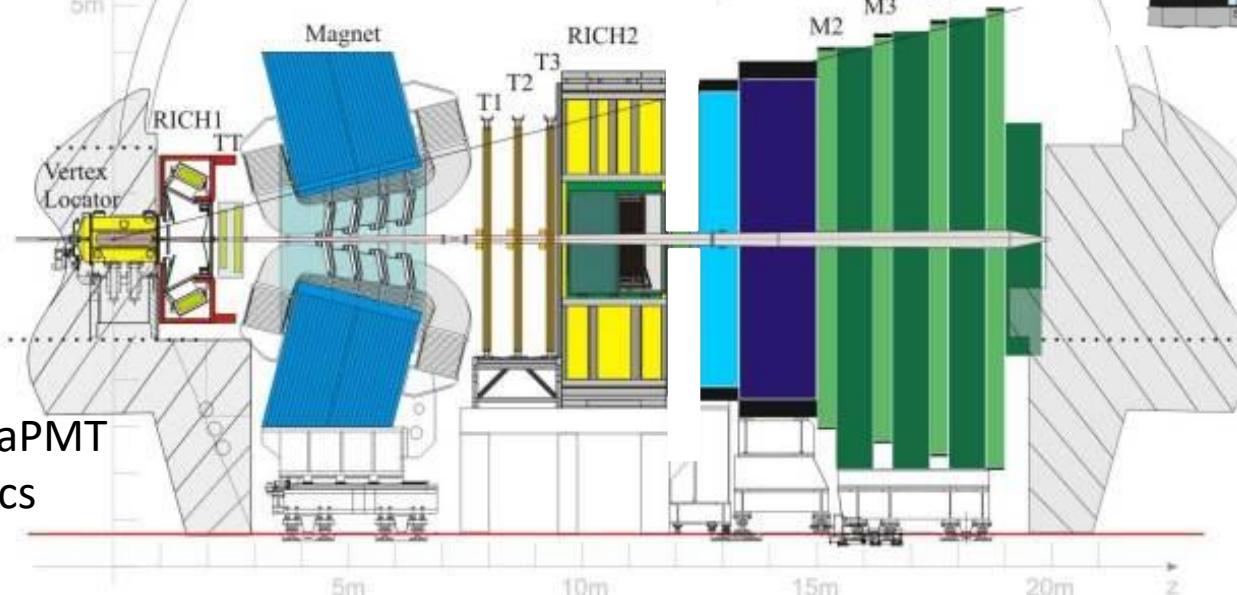
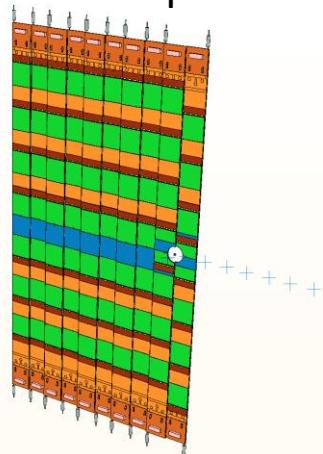
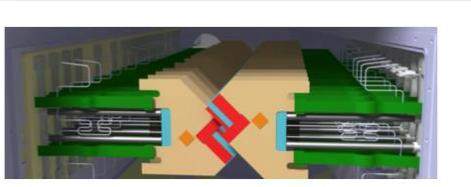
- $q^2$  distributions for each of the double charm background classes are validated and corrected in subsets of the data with fully reconstructed  $D$  mesons
  - $D_s \rightarrow m_{3\pi}$  above kinematic window for  $\tau$  decay
  - $D^0 \rightarrow$  invert isolation requirements to find  $D^0 \rightarrow K^- 3\pi$  decays
  - $D^+ \rightarrow$  invert PID requirements on minority-sign pion

# LHCb Upgrade Hardware

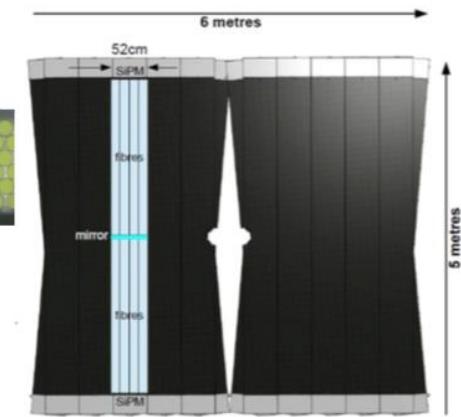
Improved Upstream  
Si StripTracking

Scintillating Fibre Downstream  
Tracking

Pixel Velo



New RICH MaPMT  
sensors+optics



40MHz Muon  
Readout