

# Umang Gupta

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## Education

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<b>University of Southern California (USC), Los Angeles</b> Ph.D. in Computer Science ( <i>Expected May 2023</i> ) Thesis: Controlling Information for Fairness and Privacy in Machine Learning Advisor: Prof. Greg Ver Steeg, Information Sciences Institute USC	<b>2017 - Present</b> GPA: 3.81/4
<b>Indian Institute of Technology Delhi (IIT Delhi), India</b> B. Tech & M. Tech (Dual Degree), Electrical Engineering ( <i>Silver Medalist</i> ) Thesis: Image Classification with Ontology and Deep Learning Advisor: Prof. Santanu Chaudhury, Indian Institute of Technology, Delhi	<b>2010 - 2015</b> GPA: 8.9/10

## Selected Publications [\[Google Scholar\]](#)

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### Fair Machine Learning

**Umang Gupta**, Jwala Dhamala, . . . , Rahul Gupta, Kai-Wei Chang, Greg Ver Steeg, Aram Galstyan  
Equitable Text Generation with Distilled Language Models via Counterfactual Role Reversal  
*Findings of the Association for Computational Linguistics (ACL 2022)*

**Umang Gupta**, Aaron Ferber, Bistra Dilkina, Greg Ver Steeg  
Controllable Guarantees for Fair Outcomes via Contrastive Information Estimation  
*Proceedings of the 35<sup>th</sup> AAAI Conference on Artificial Intelligence (AAAI 2021)*

Ninareh Mehrabi, **Umang Gupta**, Fred Morstatter, Greg Ver Steeg, Aram Galstyan  
Attributing Fair Decisions with Attention Interventions  
*TrustNLP Workshop @ NAACL 2022*

### Private, and Distributed Learning

**Umang Gupta**, Dimitris Stripelis, Pradeep Lam, Paul Thompson, José Luis Ambite, Greg Ver Steeg  
Membership Inference Attacks on Deep Regression Models for Neuroimaging  
*Proceedings of the 4<sup>th</sup> Conference on Medical Imaging with Deep Learning (MIDL 2021)*

Dimitris Stripelis, **Umang Gupta**, Greg Ver Steeg, José Luis Ambite  
Federated Progressive Sparsification (Purge, Merge, Tune)+  
*Federated Learning Workshop @ NeurIPS 2022*

### Others

**Umang Gupta**, Tamoghna Chattopadhyay, Nikhil Dhinagar, Paul Thompson, Greg Ver Steeg  
Transferring Models Trained on Natural Images to 3D MRI via Position Encoded Slice Models  
*IEEE International Symposium on Biomedical Imaging (ISBI), 2023*

Sahil Garg, **Umang Gupta**, Yu Chen, Syamantak Gupta, Yeshaya Adler, Anderson Schneider, Yuriy Nevmyvaka  
Estimating Transfer Entropy under Long Ranged Dependencies  
*Uncertainty in Artificial Intelligence (UAI), 2022*

**Umang Gupta**, Pradeep Lam, Greg Ver Steeg, Paul Thompson  
Improved Brain Age Estimation with Slice-based Set Networks  
*IEEE International Symposium on Biomedical Imaging (ISBI), 2021*

Nitin Kamra, **Umang Gupta**, Fei Fang, Yan Liu, Milind Tambe  
Policy Learning for Continuous Space Security Games using Neural Networks  
*Proceedings of the 32<sup>nd</sup> AAAI Conference on Artificial Intelligence (AAAI 2018)*

Nitin Kamra, **Umang Gupta**, Yan Liu  
Deep Generative Dual Memory Network for Continual Learning  
<https://arxiv.org/abs/1710.10368>

## Selected Projects

### Information-Theoretic Measures for Privacy & Fairness

Jul'19 - Present

↪ *Controlling Fairness via Mutual Information Minimization* [AAAI 2021]

- Characterized an information-theoretic measure for statistical parity, a popular fairness measure
- Demonstrated an effective method for controlling fairness through contrastive mutual information estimation
- Showcased *better fairness-accuracy trade-offs* compared to recent competitive baselines

↪ *Minimizing Privacy Leakage during Training of the Neural Networks*

- Studied ways in which machine learning models may leak private training set information [MIDL 2021]
- Investigating and improving techniques for differential private training of neural networks [Ongoing]

### Continual Learning with Neural Networks

Aug'17 - Jan'18

*Best Theory Project Award, CSCI-599: Deep Learning, USC (2017)*

- Taking inspiration from biological learning mechanisms in humans, we proposed dual generative (memory) models to solve the problem of catastrophic forgetting in Neural Networks
- Demonstrated *better retention of previously seen concepts* when training samples arrive in a non-iid fashion

## Work Experience

### Machine Learning Center of Excellence, Morgan Stanley, New York

Jun'22 - Aug'22

*Research Intern*

- Contributed to several research projects focused on improving time-series forecasting
- Analyzed quantization error in transformers, leading to an efficient vector-quantized transformer model whose complexity *scales linearly with sequence size* [Submitted to ICLR]

### Amazon Alexa-AI, Boston (Virtual)

May'21 - Aug'21

*Applied Scientist Intern*

- Studied biases in text generated from language models, especially the effect of model size on biases
- Devised knowledge-distillation approach for reducing biases in language models [ACL 2022]

### Huawei Research, Santa Clara

Jun'18 - Aug'18

*Research Intern*

Investigated reinforcement learning algorithms for end-to-end training of **seq2seq** dialogue models

### Software Engineering Experiences

↪ Visa Inc., Bangalore, India

Aug'15 - Jul'17

*Senior Software Engineer (User-Interface and JavaScript Development)*

↪ LCI Lab, University of British Columbia, Vancouver, Canada

May'14 - Jul'14

*MITACS Research Intern*

↪ Amagi Media Labs, Bangalore, India

May'13 - Jul'13

*Software Development Intern*

↪ Sohum Innovation Labs, Delhi, India

May'12 - Dec'12

*Intern*

## Technical Skills

### Programming

Languages Python, C, Shell scripting, JavaScript, Java

Libraries & Tools PyTorch (among the top 10 [answerers](#) on [StackOverflow](#)), JAX, TensorFlow, ReactJS, BackboneJS, NodeJS

## Awards and Honors

Program Recipient of IITD Semester Merit Award for 4 of 8 semesters, 2010 - 2014

Rank 1 Highest GPA in Dual Degree Program, Department of Electrical Engineering

Research Best Theory Project Award for [arxiv:1710.10368](#), CSCI-599: Deep Learning, USC (2017)

Best Essay Among the top 20 National Winners, International Year of Forest, 2011 certification program

Hackathons First Prize, GS Quantify 2014, an annual computing competition organized by Goldman Sachs  
First Prize, Bing Hackathon 2016, a machine learning contest organized by Microsoft Bing

NIUS 2011	Among 30 students invited to research at HBCSE, Mumbai, under National Initiative for Undergraduate Science (NIUS) program
Competitive Exams	Recipient of KVPY scholarship 2010, Dept. of Science and Technology, Govt. of India Among the top 1% in Physics (NSEP); Astronomy (NSEA); Chemistry (NSEC) Olympiads 2009 Secured All India Rank 410 in IIT-Joint Entrance Exam among 0.5 million candidates

## Professional Services

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Reviewing	Medical Imaging and Analysis (MedIA) Journal Internation Conference on Learning Representations (ICLR) – 2023 Association for Computational Linguistics (ACL) – 2023 Uncertainty in Artificial Intelligence (UAI) – 2023 Asian Conference on Machine Learning (ACML) – 2022 Artificial Intelligence and Statistics (AISTATS) – 2021 Medical Imaging with Deep Learning – 2021, 2022, 2023 <b>Workshops:</b> {ENLSP, MedNeurIPS} @ NeurIPS 2022; TrustNLP @ NAACL 2022; FL4NLP @ ACL 2022;
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