# Umang Gupta

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#### Education

# University of Southern California (USC), Los Angeles

2017 - Present

Ph.D. in Computer Science (Expected May 2023)

GPA: 3.81/4

Thesis: Controlling Information for Fairness and Privacy in Machine Learning

Advisor: Prof. Greg Ver Steeg, Information Sciences Institute USC

#### Indian Institute of Technology Delhi (IIT Delhi), India

2010 - 2015 GPA: 8.9/10

B. Tech & M. Tech (Dual Degree), Electrical Engineering (Silver Medalist)

Thesis: Image Classification with Ontology and Deep Learning

Advisor: Prof. Santanu Chaudhury, Indian Institute of Technology, Delhi

Selected Publications [Google Scholar]

### Fair Machine Learning

Umang Gupta, Jwala Dhamala, ..., Rahul Gupta, Kai-Wei Chang, Greg Ver Steeg, Aram Galstyan Equitable Text Generation with Distilled Language Models via Counterfactual Role Reversal Findings of the Association for Computational Linguistics (ACL 2022)

#### Umang Gupta, Aaron Ferber, Bistra Dilkina, Greg Ver Steeg

Controllable Guarantees for Fair Outcomes via Contrastive Information Estimation Proceedings of the 35<sup>th</sup> AAAI Conference on Artificial Intelligence (AAAI 2021)

Ninareh Mehrabi, Umang Gupta, Fred Morstatter, Greg Ver Steeg, Aram Galstvan Attributing Fair Decisions with Attention Interventions

TrustNLP Workshop @ NAACL 2022

### Private, and Distributed Learning

Umang Gupta, Dmitris Stripelis, Pradeep Lam, Paul Thompson, José Luis Ambite, Greg Ver Steeg Membership Inference Attacks on Deep Regression Models for Neuroimaging Proceedings of the 4<sup>th</sup> Conference on Medical Imaging with Deep Learning (MIDL 2021)

## Dmitris Stripelis, Umang Gupta, Greg Ver Steeg, José Luis Ambite

Federated Progressive Sparsification (Purge, Merge, Tune)+

Federated Learning Workshop @ NeurIPS 2022

## Others

Umang Gupta, Tamoghna Chattopadhyay, Nikhil Dhinagar, Paul Thompson, Greg Ver Steeg Transferring Models Trained on Natural Images to 3D MRI via Position Encoded Slice Models IEEE International Symposium on Biomedical Imaging (ISBI), 2023

Sahil Garg, Umang Gupta, Yu Chen, Syamantak Gupta, Yeshaya Adler, Anderson Schneider, Yuriy Nevmyvaka Estimating Transfer Entropy under Long Ranged Dependencies Uncertainty in Artificial Intelligence (UAI), 2022

# Umang Gupta, Pradeep Lam, Greg Ver Steeg, Paul Thompson

Improved Brain Age Estimation with Slice-based Set Networks

IEEE International Symposium on Biomedical Imaging (ISBI), 2021

# Nitin Kamra, Umang Gupta, Fei Fang, Yan Liu, Milind Tambe

Policy Learning for Continuous Space Security Games using Neural Networks Proceedings of the 32<sup>nd</sup> AAAI Conference on Artificial Intelligence (AAAI 2018)

#### Nitin Kamra, Umang Gupta, Yan Liu

Deep Generative Dual Memory Network for Continual Learning

https://arxiv.org/abs/1710.10368

#### Information-Theoretic Measures for Privacy & Fairness

Jul'19 - Present

- → Controlling Fairness via Mutual Information Minimization [AAAI 2021]
  - o Characterized an information-theoretic measure for statistical parity, a popular fairness measure
  - o Demonstrated an effective method for controlling fairness through contrastive mutual information estimation
  - Showcased better fairness-accuracy trade-offs compared to recent competitive baselines
- → Minimizing Privacy Leakage during Training of the Neural Networks
  - O Studied ways in which machine learning models may leak private training set information [MIDL 2021]
  - o Investigating and improving techniques for differential private training of neural networks [Ongoing]

#### Continual Learning with Neural Networks

Aug'17 - Jan'18

Best Theory Project Award, CSCI-599: Deep Learning, USC (2017)

- o Taking inspiration from biological learning mechanisms in humans, we proposed dual generative (memory) models to solve the problem of catastrophic forgetting in Neural Networks
- o Demonstrated better retention of previously seen concepts when training samples arrive in a non-iid fashion

### Work Experience

## Machine Learning Center of Excellence, Morgan Stanley, New York

Jun'22 - Aug'22

Research Intern

- o Contributed to several research projects focused on improving time-series forecasting
- o Analyzed quantization error in transformers, leading to an efficient vector-quantized transformer model whose complexity scales linearly with sequence size [Submitted to ICLR]

### Amazon Alexa-AI, Boston (Virtual)

May'21 - Aug'21

Applied Scientist Intern

- o Studied biases in text generated from language models, especially the effect of model size on biases
- o Devised knowledge-distillation approach for reducing biases in language models [ACL 2022]

#### Futurewei Technnologies, Santa Clara

Jun'18 - Aug'18

Research Intern

Investigated reinforcement learning algorithms for end-to-end training of seq2seq dialogue models

Software Engineering Experiences  → Visa Inc., Bangalore, India  Senior Software Engineer (User-Interface and JavaScript Development)	Aug'15 - Jul'17
$\hookrightarrow$ LCI Lab, University of British Columbia, Vancouver, Canada $MITACS\ Research\ Intern$	May'14 - Jul'14
$\hookrightarrow$ Amagi Media Labs, Bangalore, India Software Development Intern	May'13 - Jul'13
$\hookrightarrow$ Sohum Innovation Labs, Delhi, India Intern	May'12 - Dec'12

# Technical Skills

Programming Languages	Python, C, Shell scripting, JavaScript, Java
	PyTorch (among the top 10 answerers on StackOverflow), JAX, TensorFlow, ReactJS, BackboneJS, NodeJS
Awards and	Honors

Program Rank 1	Recipient of IITD Semester Merit Award for 4 of 8 semesters, 2010 - 2014 Highest GPA in Dual Degree Program, Department of Electrical Engineering
Research	Best Theory Project Award for arxiv:1710.10368, CSCI-599: Deep Learning, USC (2017)
Best Essay	Among the top 20 National Winners, International Year of Forest, 2011 certification program
Hackathons	First Prize, GS Quantify 2014, an annual computing competition organized by Goldman Sachs First Prize, Bing Hackathon 2016, a machine learning contest organized by Microsoft Bing

NIUS 2011 Among 30 students invited to research at HBCSE, Mumbai, under National Initiative for

Undergraduate Science (NIUS) program

Competitive Recipient of KVPY scholarship 2010, Dept. of Science and Technology, Govt. of India

Exams Among the top 1% in Physics (NSEP); Astronomy (NSEA); Chemistry (NSEC) Olympiads 2009

Secured All India Rank 410 in IIT-Joint Entrance Exam among 0.5 million candidates

#### Professional Services

Reviewing Medical Imaging and Analysis (MedIA) Journal

Internation Conference on Learning Representations (ICLR)  $-\ 2023$ 

Association for Computational Linguistics (ACL) - 2023

Uncertainty in Artificial Intelligence (UAI) – 2023

Asian Conference on Machine Learning (ACML) – 2022

Artificial Intelligence and Statistics (AISTATS) - 2021

Medical Imaging with Deep Learning – 2021, 2022, 2023

Workshops: {ENLSP, MedNeurIPS} @ NeurIPS 2022; TrustNLP @ NAACL 2022; FL4NLP @ ACL 2022;

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