

Chapter 3

Growth of Functions

Algorithm Analysis

School of CSEE

Growth of Function

time complexity

- In this chapter we will study growth of function.
time complexity
- The order of growth of the running time of an algorithm gives a simple characterization of the algorithm's efficiency and also allows us to compare the relative performance of alternative algorithms.
 $n^2/n^3/\log n$

Growth of Functions

- Although we can sometimes determine the exact running time of an algorithm, as we did for insertion sort in Chapter 2, the extra precision is not usually worth the effort of computing it.
- Asymptotic** efficiency - how the running time increases with the size of the input *in the limit*.
- Focus on what's important by abstracting away *low-order terms* and *constant factors*.

$\rightarrow n=00$ (복잡도를 계산하는
 time complexity를 찾는
 $n=1, n=2 \dots$ 이런 식 X.)

$$n^3 + \underline{on}$$

low-order term \approx X

$$\cancel{3}n^3$$

↑ coefficient \approx X.

Notations

Theta Theta	$f(n) = \theta(g(n))$	$f(n) \approx c g(n)$ 가지 모든 경우에 $f(n)$ 과 $g(n)$ 은 비례하는 경우
BigOh BigOh #Upper Bound.	$f(n) = O(g(n))$	$f(n) \leq c g(n)$ $f(n)$ 이 어떤 상수 $c g(n)$ 보다 작을 수 있는 경우
Omega Omega #Lower Bound.	$f(n) = \Omega(g(n))$	$f(n) \geq c g(n)$ 가지 모든 경우에 $f(n)$ 은 $c g(n)$ 보다 크거나 같은 경우
Little Oh	$f(n) = o(g(n))$	$f(n) < c g(n)$ $f(n)$ 은 $c g(n)$ 보다 훨씬 작을 수 있는 경우
Little Omega	$f(n) = \omega(g(n))$	$f(n) > c g(n)$ $f(n)$ 은 $c g(n)$ 보다 훨씬 큰 경우

O-notation – upper bound

- $O(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$

$$0 \leq f(n) \leq c g(n) \text{ for all } n \geq n_0 \} \quad \text{--- set}$$

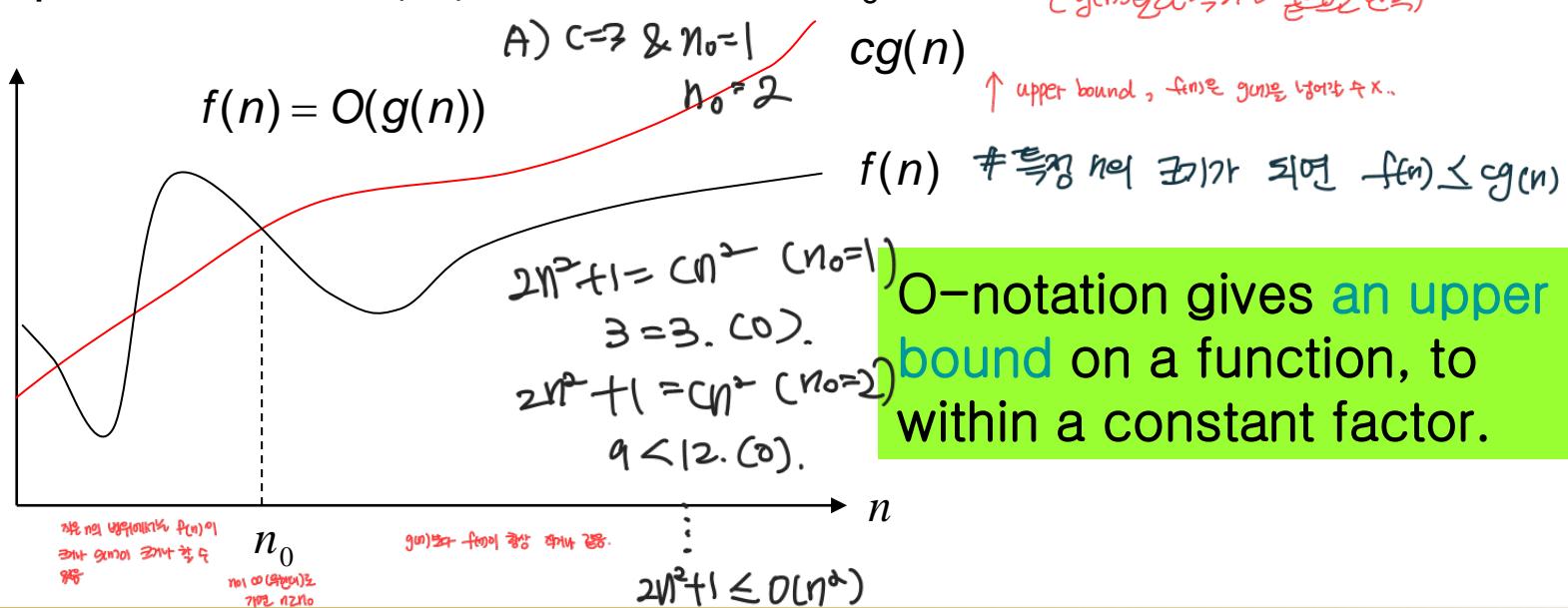
↳ time complexity에서 용수 고려 X.

If $f(n) \in O(g(n))$, we write $f(n) = O(g(n))$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow c < \infty \Rightarrow f(n) = O(g(n))$$

↑ 어떤 정수
분모는

Example : $2n^2 + 1 = O(n^2)$, with $c = ?$ and $n_0 = ?$

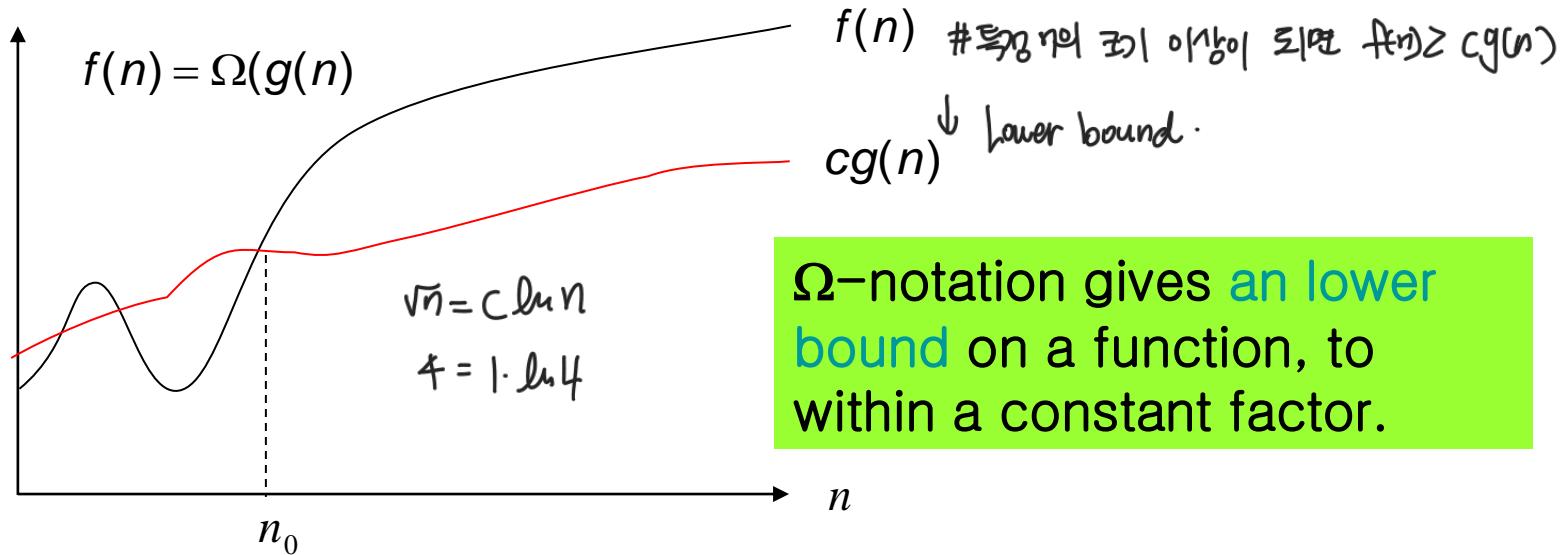


Ω -notation – lower bound

- $\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that}$
 $0 \leq c g(n) \leq f(n) \text{ for all } n \geq n_0 \}$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow c > 0 \Rightarrow f(n) = \Omega(g(n))$$

Example : $\sqrt{n} = \Omega(\lg n)$, with $c = 1$ and $n_0 = 16$



Θ -notation – tight (exact) bound

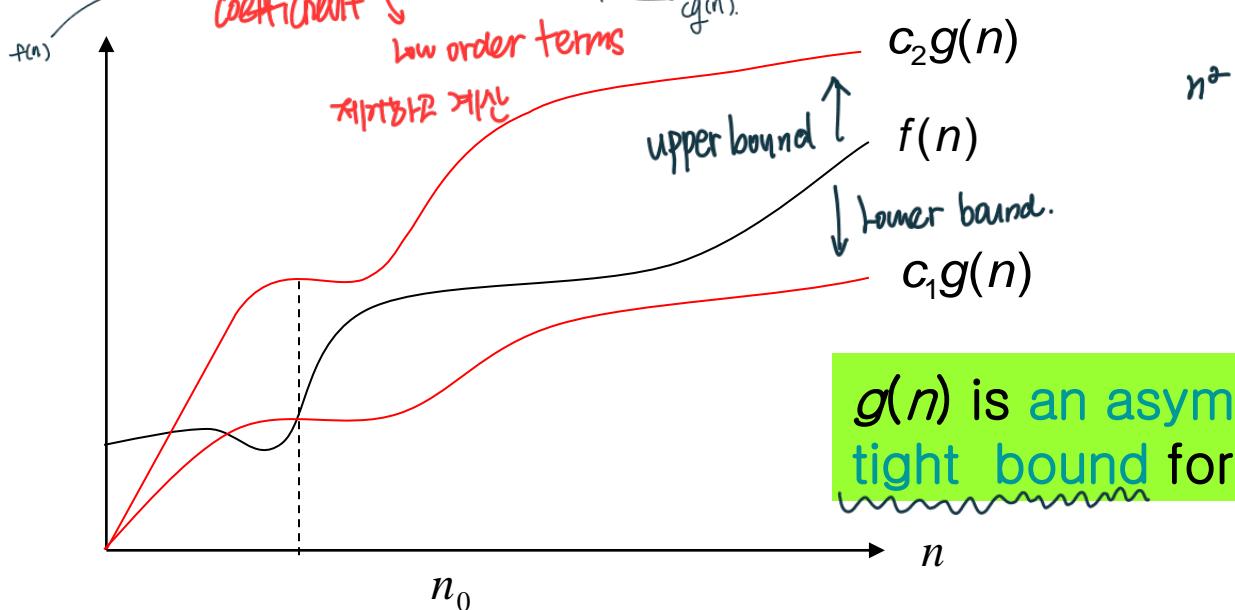
- $\Theta(g(n)) = \{ f(n) : \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \text{ such that}$

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0 \}$$

$$0 < \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow c < \infty \Rightarrow f(n) = \Theta(g(n))$$

증명 및 만족하는 $f(n)$

Example : $n^2 - 2n = \Theta(n^2)$ with $c_1 = 1/4, c_2 = 1/2, n_0 = 8$



Theorem 3.1

For two functions $f(n)$ and $g(n)$, we have $f(n) = \Theta(g(n))$
if and only if $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$.

L'Hôpital's Rule

로피탈 정리

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f'(n)}{g'(n)}$$

$$\lim_{n \rightarrow \infty} \frac{(\log n)'}{n'} = \lim_{n \rightarrow \infty} \frac{\frac{1}{n}}{1} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0$$

~~~~~  
→ upper bound of  $\log n$

# o-notation

little-o

- $\text{o}(g(n)) = \{ f(n) : \text{for any positive constant } c > 0, \text{ there exist a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < c g(n) \text{ for all } n \geq n_0 \}$
- ↗ equal sign ← (from big-notation)  
 $f(n)$  is **asymptotically smaller** than  $g(n)$  if  $f(n) = \text{o}(g(n))$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow 0 \Rightarrow f(n) = \text{o}(g(n))$$

equal sign (→ 무시해 0이)

$$\underline{n - 5}, \underline{n}, \underline{n^2}, \underline{10^{10}n^2 + 10^5n + 10^9}, \underline{n^2 - 9} \in \text{o}(n^3)$$

$$\text{o}(f) = \overset{\triangle}{\text{O}}(f) - \Theta(f)$$

↗ equal.

$\text{o}(f)$  is usually called “little oh of  $f$ ”.

$$n^3 = \text{O}(n^3) \quad (\text{o})$$

$$n^3 \not\in \text{o}(n^3)$$

$n^3 \in \text{O}(n^3)$   
 $n^3 \in \Theta(n^3)$   
 가능.  
 예)  $n^3 \notin \text{o}(n^3)$  임.

외부화하면  $n^3$ 은  $\text{O}(n^3)$ 이 아니라는.

θ 부분을 제거해야함.

# ω-notation

- $\omega(g(n)) = \{ f(n) : \text{for any positive constant } c > 0, \text{ there exist a constant } n_0 > 0 \text{ such that } 0 \leq c g(n) < f(n) \text{ for all } n \geq n_0 \}$   
*f(n) is **asymptotically larger** than g(n) if  $f(n) = \omega(g(n))$*

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} \rightarrow \infty \Rightarrow f(n) = \omega(g(n))$$

$$n^2, 10^{10}n^2 + 10^5n + 10^9, n^3 - 9 \in \omega(n)$$

Note:  $g(n) = o(f(n)) \Leftrightarrow f(n) = \omega(g(n))$

$$\omega(f) = \Omega(f) - \Theta(f).$$

$\omega(f)$  is usually called “little omega of  $f$ ”

$$h = \Omega(n) \quad (\circ)$$

$$n = \Theta(h)$$

~~$n \in \Theta(n)$~~

# Example

Two functions  $f$  and  $g$  have the same order if and only if  
차원이 같음.

$$\Theta(f(n)) = \Theta(g(n))$$

$$\Theta(\cancel{8n^3} + \cancel{n^{1/2}} + \log n) - (n^3)^{\frac{1}{2}} = n^{\frac{3}{2}}$$

$$= \Theta(\cancel{2n^{3/2}} + 6n \ln n)$$

$$= \Theta(n^{3/2})$$

$$= \Theta(n^{3/2} + \cancel{23n^{1/3}})$$

$$= \Theta\left(\frac{n^3 + \cancel{n+1}}{\cancel{4n^{3/2}} + \ln n}\right) = \frac{n^3}{n^{\frac{3}{2}}} = n^{3-\frac{3}{2}} = n^{\frac{3}{2}}$$

simplest form

(coefficients 중  
Low order terms 제거)

Also specify O or Θ

time complexity

$\Theta(n^2), \Theta(n^{\frac{3}{2}})$

$n^2$  만 보면XX.

# Proposition

$\Theta$  determines an equivalence relation on  $F$  – i.e., for  $f(n), g(n) \in F$

1.  $f(n) \in \Theta(f(n))$  (reflexive)
2.  $f(n) \in \Theta(g(n)) \Leftrightarrow g(n) \in \Theta(f(n))$   $\because$  Same order (symmetric)
3.  $f(n) \in \Theta(g(n))$  and  $g(n) \in \Theta(h(n)) \Rightarrow f(n) \in \Theta(h(n))$  (transitive)

Two functions  $f$  and  $g$  have the **same order** if  $f(n) \in \Theta(g(n))$

\* Read page 51 & 52

The time complexity of an **algorithm** is the largest time required on any input of size  $n$ .

**$O(n^2)$ :** **Upper bound** on the worst case running time of an algorithm.

넓지 않는다.

**$\Omega(n^2)$ :** **Lower bound** on the best case of running time of an algorithm.

넓은 바다.

**$\Theta(n^2)$ :** Do both.

tight하게 적용된다!



$$A: n^2+n , \quad \Theta(n^2) \rightarrow O(n^2) \rightarrow O(n^3) \rightarrow O(n!)$$

↑  
very large version

# Optimality of algorithm

- Each problem has inherent complexity; that is,  
there is some minimum amount of work required  
to solve it. → 그 문제를 풀기 위한 최소한의 애가 있음  
→ 문제를 풀 때 필요한 최소한의 시간
- Lower bound of problem : minimal number of  
operation needed to solve the problem
- When the worst-case time complexity of an  
algorithm matches lower bound of the problem,  
the algorithm is said to be optimal.  
↳ 문제는 꼭 끝나는 경우에 문제를 풀 때  
↳ 최악의 경우에 문제를 풀 때  
→ 알고리즘이 문제의 복잡도와 문제를 풀 때  
Lower bound과 일치하면 알고리즘은最优이라고  
그 알고리즘은  
optimal이라고 볼 수 x.
- “Optimal” does not mean “the best known”; it  
means “the best possible”.

Insertion sort :  $O(n^2)$   
 Selection sort :  $\Theta(n^2)$   
 Merge sort :  $\Theta(n \log n)$

# Example

Time for several algorithms for a given problem

- ✓ Algorithm1 :  $\theta(n^2)$
- ✓ Algorithm2 :  $\theta(n^2 \lg n)$
- ✓ Algorithm3 :  $\theta(n^3)$

→ If someone proves the given problem needs at least  $n^2$  basic operations, then the algorithm1 is optimal.

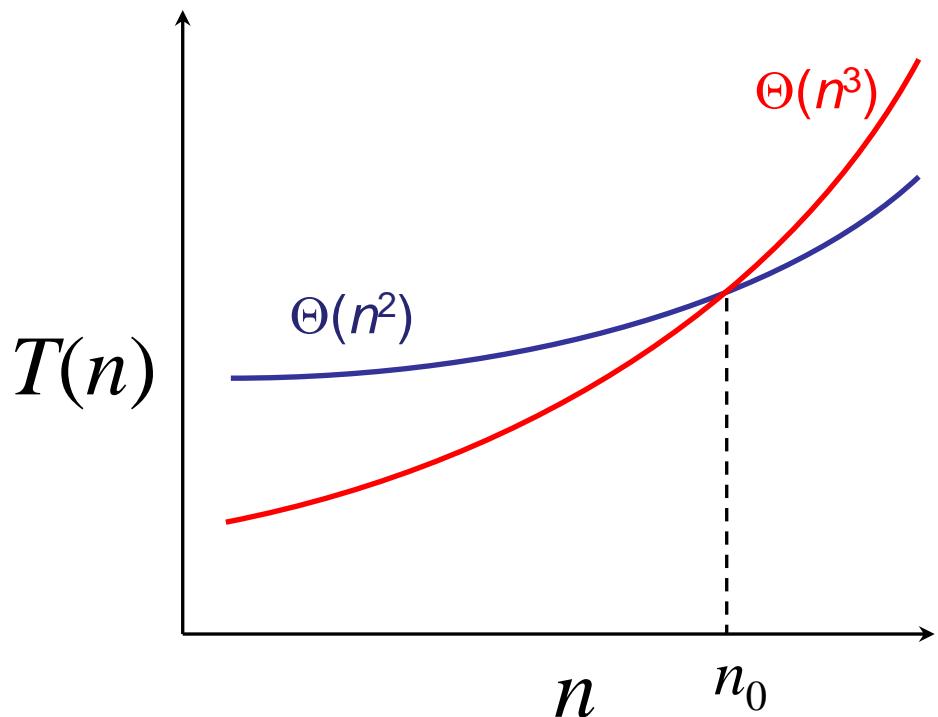
이거보다 더 빠르게 수행할 수 없기 때문에 optimal하다.

# Asymptotic performance

$O(n^3)$   $O(n^2)$   $\Omega(n^2)$

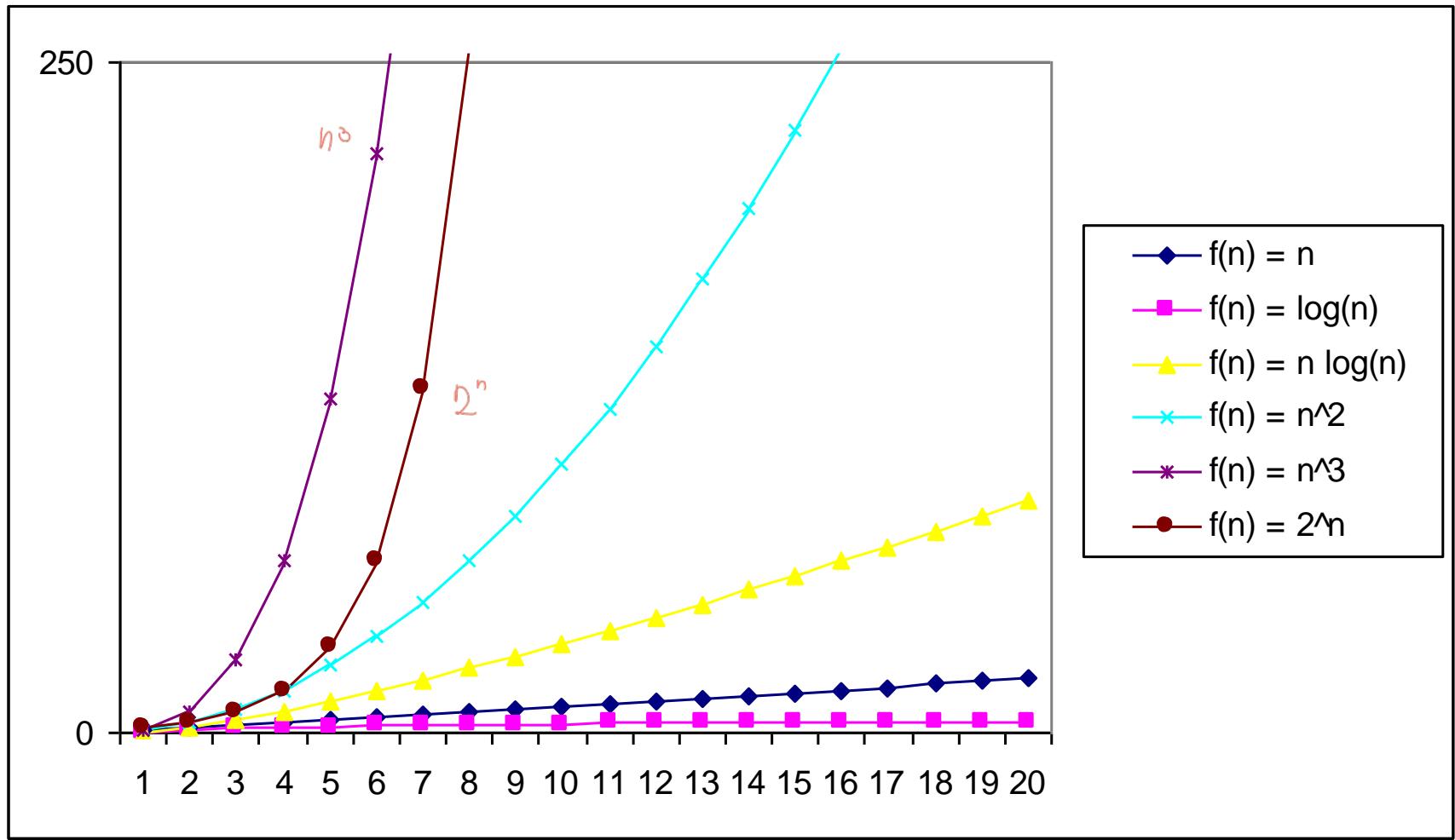
When  $n$  gets large enough, a  $\Theta(n^2)$  algorithm  
**always** beats a  $\Theta(n^3)$  algorithm.

물론이다.

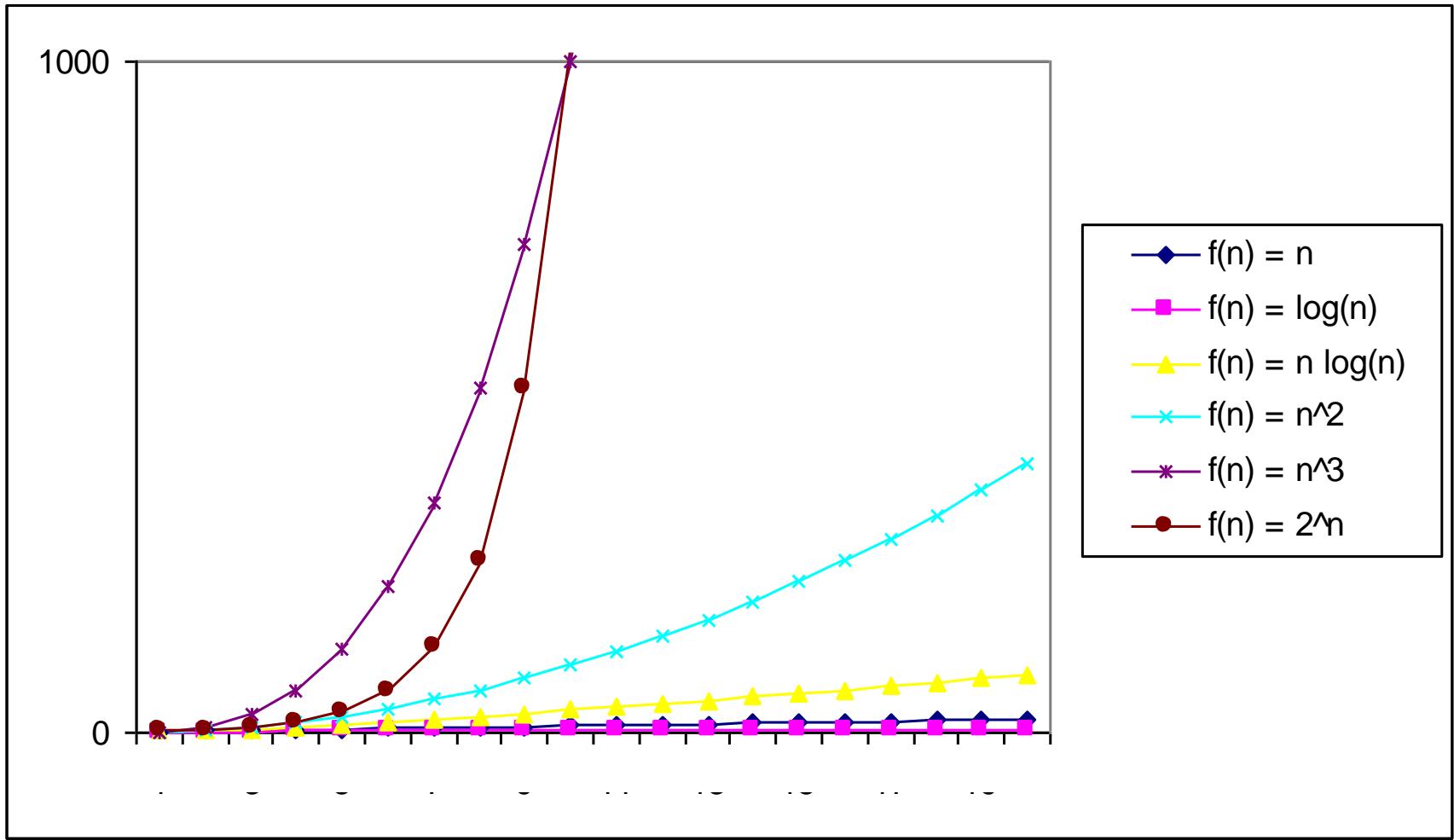


- Asymptotic analysis is a useful tool to help to structure our thinking toward better algorithm.
- We shouldn't ignore asymptotically slower algorithms, however.
- Real-world design situations often call for a careful balancing

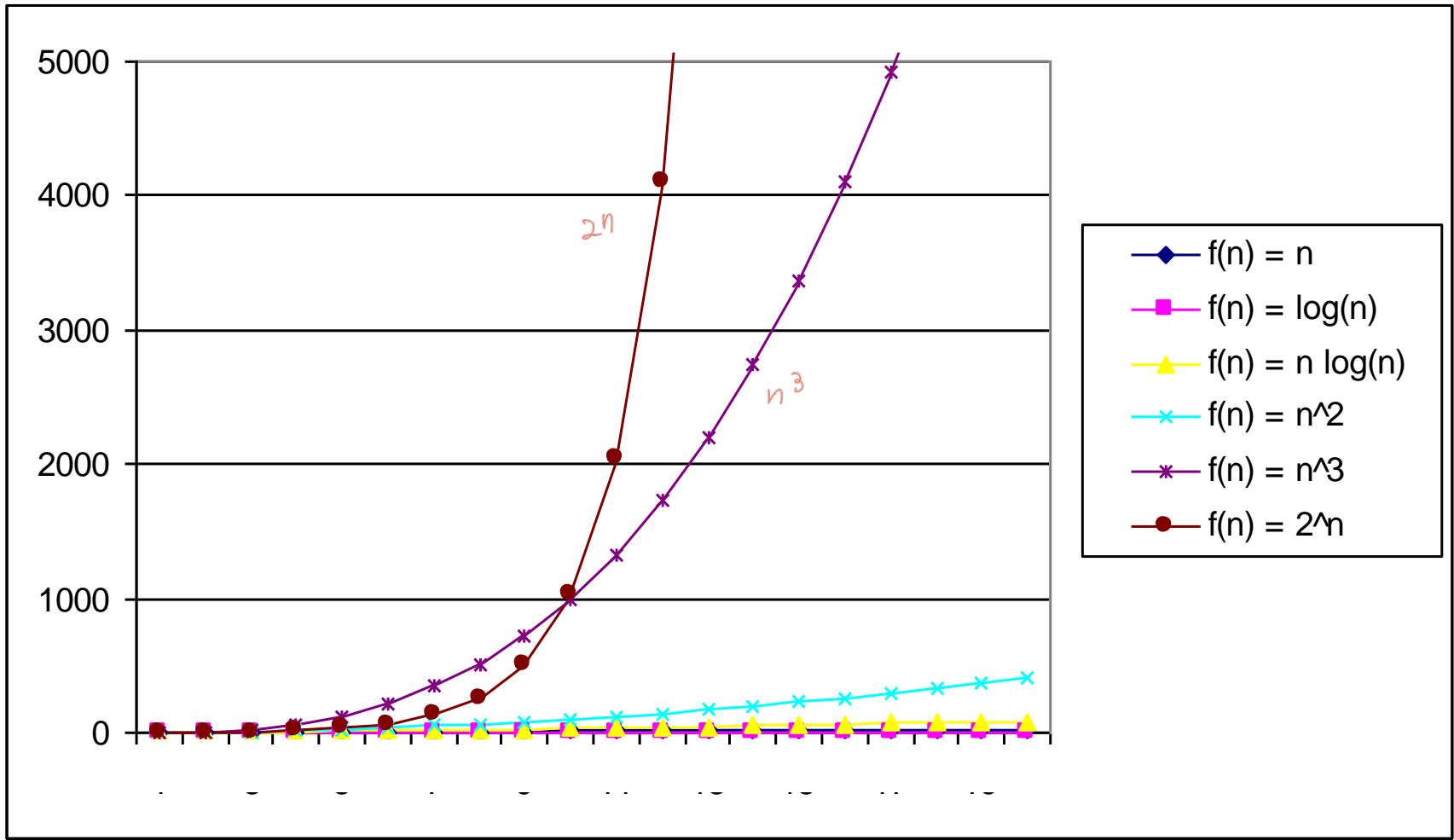
# Practical Complexity



# Practical Complexity



# Practical Complexity



# Hierarchy of Orders

Given function  $f(n)$  and  $g(n)$ , we say that  $f(n)$  has **smaller order than**  $g(n)$ , if  $O(f(n))$  is strictly contained in  $O(g(n))$ .

i.e.,  $O(f(n)) \subset O(g(n))$ .

Example:

$$\lim_{n \rightarrow \infty} \frac{(\sqrt{n})'}{(\log n)'} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2}n^{\frac{-1}{2}}}{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2}n^{-\frac{1}{2}}}{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2}}{\frac{1}{n^{\frac{1}{2}}}} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2}}{n^{\frac{-1}{2}}} = \lim_{n \rightarrow \infty} \frac{\sqrt{n}}{2} = \infty.$$

$$\begin{aligned}
 O(1) &\subset O(\log n) \subset O(\sqrt{n}) \subset O(n) \subset O(n \log n) \\
 &\subset O(n^2) \subset O(n^3) \subset O(2^n) \subset O(n2^n) \subset O(n!)
 \end{aligned}$$

Where does  $O(n^{1/1000})$  belong?

$$\begin{aligned}
 \lim_{n \rightarrow \infty} \frac{(\log n)'}{\left(\frac{1}{n^{1000}}\right)'} &= \lim_{n \rightarrow \infty} \frac{\frac{1}{n}}{\frac{1}{1000} n^{-1001}} = \lim_{n \rightarrow \infty} 1000 n^{\frac{999}{1000}} \\
 &= \lim_{n \rightarrow \infty} 1000 \frac{1}{n^{\frac{1}{1000}}} = \infty. \text{ Therefore}
 \end{aligned}$$

# Time complexity comparison

비교 소프트웨어(algorithm)을 만드는 것은 중요함.

| $n$       | Cray-1 Fortran <sup>a</sup><br>$3n^3$ nanoseconds | TRS-80 Basic <sup>b</sup><br>$19,500,000n$ nanoseconds |
|-----------|---------------------------------------------------|--------------------------------------------------------|
| 10        | 3 microseconds                                    | .2 seconds                                             |
| 100       | 3 milliseconds                                    | 2.0 seconds                                            |
| 1,000     | 3 seconds                                         | 20.0 seconds                                           |
| 2,500     | 50 seconds                                        | 50.0 seconds                                           |
| 10,000    | 49 minutes                                        | 3.2 minutes                                            |
| 1,000,000 | 95 years                                          | 5.4 hours                                              |

<sup>a</sup> Cray-1 is a trademark of Cray Research, Inc.

<sup>b</sup> TRS-80 is a trademark of Tandy Corporation.

# Time complexity comparison

| Algorithm                    | 1                                     | 2           | 3          | 4          | 5                     |
|------------------------------|---------------------------------------|-------------|------------|------------|-----------------------|
| Time function<br>(microsec.) | $33n$                                 | $46n \lg n$ | $13n^2$    | $3.4n^3$   | $2^n$                 |
| Input size(n)                | Solution time                         |             |            |            |                       |
| 10                           | .0033 sec.                            | .0015 sec.  | .0013 sec. | .0034 sec. | .001 sec.             |
| 100                          | .003 sec.                             | .03 sec.    | .13 sec.   | 3.4 sec.   | $4 \cdot 10^{16}$ yr. |
| 1,000                        | .033 sec.                             | .45 sec.    | 13 sec.    | .96 hr.    |                       |
| 10,000                       | .33 sec.                              | 6.1 sec.    | 22 min.    | 39 days    |                       |
| 100,000                      | 3.3 sec.                              | 1.3 min.    | 1.5 days   | 108 yr.    |                       |
| Time allowed                 | Maximum solvable input size (approx.) |             |            |            |                       |
| 1 second                     | 30,000                                | 2,000       | 280        | 67         | 20                    |
| 1 minute                     | 1,800,000                             | 82,000      | 2,200      | 260        | 26                    |

Table is adapted from *Programming Pearls* by John Bentley.