

Cyclic Name Resolution for Scope Graphs using Circular Attribute Grammars

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Abstract

Name binding, associating references with appropriate declarations, is an integral part of programming language analysis. However, no uniform model for this process has been widely adopted - many language designers instead implement ad-hoc approaches for their specific needs. Recognizing this, Eelco Visser and his colleagues proposed scope graphs to fill this role. The scope graphs model represents the binding structure of programs as a graph, and provides a generic means for resolving names. A challenge in this model is scheduling the construction and interrogation of the scope graph, which may grow during a program analysis, influencing the results of later name resolution in it.

Work with attribute grammars and STATIX has shown that they can be used to interleave these actions, supporting features like type-dependent name resolution. Demand-driven evaluation of attribute grammars results in such a schedule being discovered on-demand, while STATIX interprets scope graph-based program analysis as a constraint-solving problem, and uses a notion of missing critical edges to schedule name resolution. Both approaches are comparable in that the results of their separate analyses of a program, for instance determining if a program is type-correct, coincide. Importantly, both implementations fail under *self-influencing* name resolution semantics; when one result of a name lookup can influence the other results of the same lookup circularly. Such behavior is erroneous in conventional attribute grammars, so specifications using self-influencing name resolution are impossible. Similarly, in STATIX, constraint solving becomes stuck. In this work we develop a solution using fixed-point computation of name resolution that can support self-influencing imports as used by RUST, and apply these ideas using circular attribute grammars.

1 Introduction

Binding references to their correct declarations is an integral part of the analysis of a program. For instance, type checking of references requires knowledge of the type of the corresponding declaration of that name, and implementing module imports requires a resolution of that import to the correct module. Despite the importance of this analysis, name binding has often been treated in an ad-hoc way. Language designers tend to define name binding structures and lookup operations to suit their own needs, so no widely used generic model exists. This greatly inhibits the intelligibility and portability of these systems. As such, the programming

```
1 pub mod foo {  
2     pub mod bar {  
3         pub static x:u8 = 1;  
4     }  
5 }  
6 pub mod test {  
7     use super::*;

 1 pub mod foo {  
2     pub mod foo {  
3         pub static x:u8 = 1;  
4     }  
5 }  
6 pub mod test {  
7     use super::*;

 1 pub static z:u8 = y+1;  
2 pub static y:u8 = x;
```

(a)

(b)

Figure 1. Two Rust programs. The left correctly resolves each use declaration. The right is ambiguous on foo.

languages community would benefit from a model generic and expressive enough to support most name analyses.

The *scope graphs* model developed by Visser et al. [16, 24] is a promising candidate to fill this role. Under this approach, the name binding structure of a program is represented as a graph in which nodes represent the program’s scopes and declarations. Scopes are regions of a program where name resolution acts uniformly. That is, identical name references in the same scope will resolve to the same declaration. An example of a program scope is the body of the RUST module test declared on line 6 of Figure 1a, as well as the bodies of foo on line 1 and bar on line 2. The RUST examples shown were modified versions of programs defined by Rouvoet et al. [18], which we have extended with variable declarations and references. are used here for their interesting name resolution behavior. Names declared in a RUST module are visible in definitions of other names before and after it in the same module - e.g. y on line 11 of Figure 1a is visible in the definition of z on line 10. Declared names such as x on line 3 of Figure 1a are represented in a scope graph by declaration nodes. The name of a declaration is associated with its declaration node by a mapping of scope graph nodes to strings, used during name resolution. Certain program constructs can be represented by a node that acts as both a scope, i.e. where we look up names, as well as a declaration. RUST modules like foo declared on line 1, bar on line 2, and test on line 6 would each be represented by a node that is a declaration of the module name, as well as the lookup scope of references in the module. Directed edges in a scope graph represent syntactic or semantic relationships between scopes and declarations in the input program. Each edge has

111 a label taken from a language-specific set, but some common
 112 examples of these are LEX for lexical parenthood and VAR for
 113 variable declarations. A scope graph for Figure 1a could use
 114 both of these; e.g. a LEX edge from the node representing
 115 module bar on line 2 to that of foo on line 1 would encode
 116 that the latter is the lexical parent of the former. VAR edges
 117 would associate variable declarations such as y on line 11
 118 and z on line 10 of Figure 1a with their module scope.

119 Name analysis is performed by *queries* - walks in the scope
 120 graph from the scope containing a reference, such as y on
 121 line 10 of Figure 1a, to matching declarations. Queries walk
 122 the structure of the scope graph, following edges and comput-
 123 ing paths from their start scope to declaration nodes whose
 124 name matches the reference being resolved. The result of a
 125 query is a set of paths in the scope graph from the start scope
 126 to declaration nodes whose name matches the reference to
 127 resolve. The results of queries can be used to *extend* the scope
 128 graph with new edges during the course of program analysis.
 129 For instance, resolving foo in the use declaration on line 9 of
 130 Figure 1a might result in an import (IMP) edge being added to
 131 the scope graph from the node representing module test, to
 132 that of foo. This edge would be used to resolve reference bar
 133 on line 8 to bar on line 2, after which we add another import
 134 edge used to resolve x on line 11. The resolution of variable
 135 references in an importing scope such as test in Figure 1a
 136 may not find the intended declarations unless the import
 137 resolutions occur first, and corresponding edges have been
 138 added to the graph that are followed when resolving a vari-
 139 able reference. This highlights a key challenge in the scope
 140 graphs model; *interleaving* name resolution with extensions
 141 to the graph.

142 The scope graphs model has been implemented in previous
 143 work on STATIX [18, 21], as well as in attribute gram-
 144 mmars [2]. STATIX is a constraint-solving system for defining
 145 name/type analysis of programming languages. STATIX en-
 146 sures that name resolution and extensions to the scope graph
 147 are scheduled correctly by only executing a query once it
 148 is determined that the scope graph contains all edges that
 149 the query could ever follow, even those that may not lead
 150 to matching declarations. For instance, the resolution of x
 151 on line 11 of Figure 1a may only begin once all of the im-
 152 port edges that come from resolving the use declarations
 153 on lines 7–9. The attribute grammar approach instead uses
 154 *demand-driven evaluation* in such a way that we can begin
 155 the execution of any query. Along the way the query *de-
 156 demands* the unbuilt edges it requires, pausing to wait for their
 157 construction, which may entail executing other queries to
 158 compute the targets of those edges, before continuing. I.e.
 159 the attribute grammar will begin the query of reference x on
 160 line 11 of Figure 1a and discover along the way that there are
 161 missing import edges from the node representing module
 162 test. At this point, the query of x pauses to wait for those
 163 of super, bar and foo on lines 7–9, after which the missing
 164 import edges are added and the query of x continues. Our

166 previous work [2] has shown that these two approaches pro-
 167 duce the same results for a given language specification and
 168 input program, being equivalent in their expressiveness.

169 Importantly, both approaches are limited by their inability
 170 to support a particular class of import semantics - those
 171 that are *self-influencing* - namely, when one result in the
 172 set returned of a particular query is used by other results
 173 of the same query in a circular way. An example of a self-
 174 influencing name resolution semantics is that of RUST use
 175 declarations. For example, the use foo::: declaration line 8
 176 of the program in Figure 1b, which contains two nested foo
 177 modules on lines 1–2. The RUST compiler determines this
 178 reference is ambiguous because its resolution to the outer
 179 module foo makes available another resolution to the inner
 180 one. In scope graph terms, one result of a query of foo on
 181 line 8 is to the outer foo on line 1, yielding an import edge
 182 that allows the same query to produce another result to
 183 the inner foo on line 2. In STATIX or conventional attribute
 184 grammars approach it is not possible to define queries that
 185 behave in this way. In STATIX, constraint solving becomes
 186 stuck because missing edges required to begin an import
 187 query are not buildable until the query, which determines
 188 the targets of those edges, is complete. In attribute grammars,
 189 self-influencing imports involve circular definitions which
 190 cannot be evaluated conventionally.

191 Circular attribute grammars [5, 10] extend conventional
 192 attribute grammars [12] with *circular attributes*, which are
 193 able to compute cyclic definitions using a fixed-point com-
 194 putation. These have been used in the past for other circular
 195 programming language analyses such as liveness analysis
 196 [5, 10, 13] and computing first/follow sets for parsing [13].
 197 We show that they can be used to resolve self-influencing
 198 imports through a computation which iteratively grows the
 199 result set of an import query, using edges introduced by the
 200 resolution results of one iteration to find new resolutions
 201 in the next. This opens the door to more exotic name bind-
 202 ing semantics, such as those of RUST imports that we have
 203 described, as well as others we explore.

204 We begin by introducing the theory of scope graphs in Sec-
 205 tion 2, and show that two different scope graphs for a given
 206 input program encode different name resolution semantics
 207 for a toy language LM defined by Neron et al. [16]. Section 3
 208 details the implementation of scope graphs in conventional
 209 attribute grammars, following our previous work [2]. Here
 210 we detail how demand-driven evaluation is used to sched-
 211 ule the interleaving of scope graph construction and name
 212 analysis. We develop a fixed-point computation in Section 4
 213 that implements self-influencing imports, using as examples
 214 two distinct import semantics inspired by our exploration
 215 of RUST. We also introduce a *coherence* property which says
 216 that all edges used in all name resolutions must appear in the
 217 final scope graph. Our attribute grammar definition of scope
 218 graphs in Section 3 is then extended in Section 5, where

219
 220

221 the fixed-point computation developed in Section 4 is implemented using circular attributes. A discussion of our work
 222 with self-influencing imports occurs in Section 6. We detail related work in Section 7, then conclude and identify
 223 interesting future work in Section 8.

227 2 Scope Graphs and Name Resolution

228 In this section we expand on the scope graphs model we
 229 have described; what a scope graph is comprised of, and
 230 how to do name analysis in them. The example program we
 231 use in Figure 2a is written in LM, a toy language defined by
 232 Neron et al. [16], which is flexible enough for us to “plug
 233 in” different import semantics such as those similar to RUST
 234 that we will see in Section 4. The syntax of LM includes
 235 variable and modules definitions, as well as imports, each
 236 of which should be self-explanatory. We see in Figure 2a an
 237 LM program with module declarations on A_1 on line 1, B_1 on
 238 line 3, B_2 on line 8, A_2 on line 10, and C_1 on line 15. Variable
 239 definitions are present in these modules, such as y_1 on line 18.
 240 Note that all names here have a numeric subscript. These are
 241 there for us to refer to specific instances of a name and are
 242 not part of LM syntax. The example provided in Figure 2a is
 243 interesting in that results of the imports on lines 16–17 are
 244 unique under *sequential* and *parallel* imports we discuss in
 245 this section, as well as under the two self-influencing import
 246 semantics we explore in Section 4. An import semantics is
 247 *sequential* if the result of an import declaration can influence
 248 only name resolutions which syntactically follow it in the
 249 same syntactic block. e.g. in Figure 2a, the result of importing
 250 B_3 can influence the resolution of A_3 , but not the other way
 251 around. An import semantics is *parallel* if the result of an
 252 import can influence only import resolutions which occur in
 253 nested syntactic blocks. Neither of the imports on lines 16–17
 254 of Figure 2a can influence the other under parallel imports,
 255 under which all imports in a module are resolved separately
 256 and simultaneously. We show the final scope graphs for our
 257 program under sequential and parallel imports in Figure 2b
 258 and Figure 2c, and discuss these throughout this section.

261 2.1 Scope graphs

262 As described in the introduction, scope graphs are graph
 263 representations of the binding structure of programs. Nodes
 264 in them represent declarations in a program, and its scope
 265 regions. Directed edges between nodes describe relationships
 266 defined by their language-specific edge labels. The
 267 edge labels are taken from a language-specific label set \mathcal{L}
 268 defined by the language implementor. The scope graphs in
 269 Figure 2b and Figure 2c use LEX edges for lexical parenthood,
 270 IMP edges for imports, VAR edges for variable declarations,
 271 and MOD edges for module declarations. For example, the LEX
 272 edge from S_2 to the global scope S_1 in Figure 2a encodes
 273 that S_1 is its lexical parent. The MOD edge from S_1 to S_2 in
 274 the same graph says that module A_1 is defined in the global
 275

276 scope. We associate with each declaration its name, e.g. node
 277 S_5 in Figure 2b and S_5 in Figure 2c both map to the program
 278 name x_1 on line 2 of Figure 2a.

279 **Definition 2.1.** A *scope graph* with label set \mathcal{L} is a triple $G =$
 280 $\langle S_G, E_G, \rho_G \rangle$ comprised of a set of nodes S_G , edges $(s, l, s') \in$
 281 E_G with $s, s' \in S_G$, $l \in \mathcal{L}$, and $\rho_G : S_G \rightarrow \text{String}$, a partial
 282 mapping of nodes to names.

283 The structure of a scope graph helps to define the desired
 284 name resolution semantics for a language, influencing the
 285 set of possible paths usable when resolving names. We use
 286 as examples the two distinct scope graphs in Figure 2b and
 287 Figure 2c, both representing the LM program in Figure 2a.
 288 The name resolution and edge extension actions we describe
 289 here are explained in Section 2.2 and Section 2.3.

290 Figure 2b gives the scope graph for Figure 2a under se-
 291 quential import semantics. As well as nodes corresponding
 292 to all module and variable declarations, this scope graph
 293 involves a distinct scope node S_i for every statement in the
 294 program. For instance there are three scope nodes S_{15} , S_{20} and
 295 S_{22} under S_{10} because module C_1 in Figure 2a contains three
 296 statements - the two imports on lines 16–17, and variable
 297 y_1 on line 18. The pattern is that the scope paired with each
 298 statement has as its lexical parent, encoded by LEX edges,
 299 the scope node of the previous. e.g. the LEX edge from S_{15}
 300 to S_{10} in Figure 2b. Names in each successive statement are
 301 then resolved in their statement scope, allowing extensions
 302 to scopes above to influence their result. The IMP edges in a
 303 module are applied to the statement scopes sequentially, so
 304 that an imports can only influence the resolution of names
 305 that occur after it. This process is discussed in Section 2.3.
 306 We focus particularly on sequential imports in the following
 307 subsections.

308 Figure 2c gives the scope graph under parallel import
 309 semantics for the program in Figure 2a. Unlike for sequential
 310 imports, we do not construct a new scope for every statement
 311 in the program. Instead, all variable name resolutions happen
 312 from the scope of their module. For example, resolving x_5 on
 313 line 18 of Figure 2a starts in scope S_4 of Figure 2c. Imports
 314 are resolved in the *lexical parent* of their enclosing module
 315 scope, but the resulting IMP edges are applied to the module
 316 scope. e.g., import references B_3 on line 16 and A_3 on line 17
 317 of Figure 2a are both resolved from scope S_1 , but their IMP
 318 edges are added to scope S_4 . This is so that import resolutions
 319 in a module do not influence one another when considering
 320 the LM query regular expression we describe in Section 2.2,
 321 and only influence the resolution of variable references in
 322 the same module or any names in nested modules.

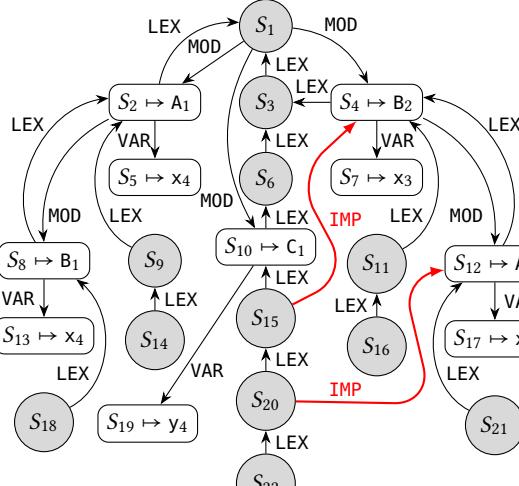
325 2.2 Name resolution

326 In the scope graphs model, a *name resolution*, denoted $r \mapsto_G$
 327 p , associates a reference r with a path p from the scope
 328 of the reference to declarations in the graph [18]. A *program
 329 resolution* for a scope graph G is a set $pr_G = \{r_1 \mapsto_G$

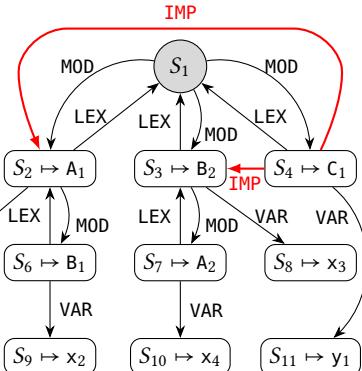
```

331 1 module A1 {
332 2   def x1 = 1
333 3   module B1 {
334 4     def x2 = 2
335 5   }
336 6 }
337 7 module B2 {
338 8   def x3 = 2
339 9   module A2 {
340 10    def x4 = 2
341 11  }
342 12 }
343 13 module C1 {
344 14   import B3
345 15   import A3
346 16   def y1 = x5
347 17 }
348 18 }
```

(a)



(b)



(c)

Figure 2. An LM program and its complete scope graph under sequential (left) and parallel (right) import semantics.

$p_1, \dots, r_n \mapsto_G p_n\}$ of resolutions for references in an input program. We denote a path p in a graph G from scope s to s' as $G \vdash p : s \xrightarrow{w} s'$, with w being the word formed by concatenating the labels of the edges in the path. For instance, $G \vdash S_{15} \xrightarrow{\text{IMP}} S_4 \xrightarrow{\text{MOD}} S_{12} : S_{15} \xrightarrow{\text{IMP MOD}} S_{12}$ is a valid path when G is the scope graph in Figure 2b. Paths such as these are returned by *queries*, traversals of the scope graph from a start scope to declarations matching the name being resolved.

Definition 2.2. A *query* in scope graph G with label set \mathcal{L} is a function of type $S_G \times R_{\mathcal{L}} \times \text{String} \rightarrow P$, taking a starting scope node, a path well-formedness regular expression, and a name to look for, yielding a set of resolution paths such that $p \in \text{query}(s, rx, "x")$ when $G \vdash p : s \xrightarrow{w} s'$, $w \in L(rx)$, and $\rho_G(s') = "x"$.

A path in a scope graph belongs to the result set of a query for “ x ” when its combined labels form a word in the language of the query regular expression, and the name of its node is “ x ”. In LM we use regular expression $\text{LEX}^* \text{IMP? MOD}$ for import resolution, and $\text{LEX}^* \text{IMP? VAR}$ for variables. That is, a valid resolution path is comprised of zero or more LEX edges, followed by an optional IMP edge, and ending in a MOD edge for imports or a VAR edge for variables. $S_{22} \xrightarrow{\text{LEX}} S_{20} \xrightarrow{\text{IMP}} S_{12} \xrightarrow{\text{VAR}} S_{17}$ is one valid resolution path for resolving reference x_5 on line 18 of Figure 2a to x_4 under the scope graph in Figure 2b. Another follows the IMP edge from S_{15} to find x_3 in S_7 .

We mentioned that queries return a set of paths in the scope graph from the start scope to all matching declarations. These paths are all of the paths to declarations that are *reachable* in the graph. Any declaration at the end of a valid path with respect to the query regular expression is

reachable. It is up to the language designer to reduce that set to only the declarations that are *visible* - i.e. those that shadow others with respect to a language-specific partial ordering on labels. This involves defining an ordering over the edge label set, and then picking minimal paths from a query result set lexicographically. For LM, we use ordering $\text{MOD} = \text{VAR} < \text{IMP} < \text{LEX}$. I.e., we prefer IMP over LEX edges, and prefer MOD and VAR over others. Accordingly, the resolution of x_5 on line 18 of Figure 2a to x_4 in Figure 2b shadows the one to x_3 , because the first edge of the former is an IMP edge whereas the latter starts with a LEX .

2.3 Extensions to scope graphs

The results of queries can be used to *extend* the scope graph with new edges. For instance in Figure 2b, after the resolution of import B_3 the scope graph was extended with the IMP edge shown from S_{15} to S_4 . This edge was then used in resolving A_3 , leading to the addition of the IMP edge from S_{20} to S_{12} . These edges are then used to resolve x_5 in our example. We say that a path p returned by a query *yields* an edge from s to s' with label l , written $G \vdash p \triangleright s \xrightarrow{l} s'$, when s' is identified as the target of path p . The scope graph can be extended during the course of a program analysis, but a given query can only take into account the current state of the scope graph when it is executed. This presents us with the challenge of *scheduling* our extension and name analysis operations so that a query result is *stable* - a property identified by Rouvoet et al. [18]. They say that the results of a query are stable when they are equal to those that would be returned if we had executed the same query on the final scope graph. This property ensures that name resolution is sound with respect to the target language’s desired name resolution semantics.

441 In Figure 2b, x_5 is resolved from scope S_{22} . If we were
 442 to resolve this name before having resolved the imports on
 443 lines 16–17, and adding the IMP edges they yield to scopes
 444 S_9 and S_{10} , we would not find any matching declarations.
 445 On the other hand if we were to resolve only one of these
 446 imports, say B_3 from C_1 , resolution of x_5 would only find
 447 declaration x_3 by following the IMP edge from S_{15} . Neither of
 448 these results is stable, since the later addition of IMP edges
 449 to the scope graph changes the result of the query. Thus we
 450 must only resolve x_5 after both imports are resolved, and
 451 their IMP edges added. Resolving x_5 then correctly results in
 452 two valid resolution paths, an ambiguity. Similarly, both IMP
 453 edges must be added to scope S_4 in Figure 2c by resolving
 454 the imports on lines 16–17 of Figure 2a, before we resolve
 455 x_5 .

456 Ensuring stability of name resolution using scope graph
 457 has been the focus of Rouvoet et al. [18] and our previous
 458 work [2] which builds on the former. We discuss in Section 3
 459 how we ensure stability of name resolution in an attribute
 460 grammar implementation of scope graphs.

462 3 Attribute Grammars for Scope Graphs

463 In this section we define attribute grammars, using as
 464 examples our implementation of scope graphs and specifica-
 465 tion of sequential and parallel import semantics for LM. We
 466 then describe how name resolution proceeds in our attribute
 467 grammar implementation. In particular, how demand-driven
 468 evaluation solves the scheduling problem and results in sta-
 469 ble name resolution.

471 3.1 Attribute Grammars

472 An attribute grammar [12] is a context-free grammar aug-
 473 mented with semantic attributes, and equations defining
 474 them, which compute information for a syntax tree. Attribute
 475 grammars are generally used for defining both the syntax of
 476 programming languages and their semantic properties.

477 **Definition 3.1.** An attribute grammar is a 4-tuple $\langle G =$
 478 $\langle NT, T, P, S \in NT \rangle, A, O, EQ \rangle$ consisting of a context-
 479 free grammar G , attributes A , attribute occurrence relation
 480 $O \subseteq NT \times A$ and equations EQ defining attributes in A , each
 481 equation being associated with a production in P .

484 The context-free grammar G defines the syntactic struc-
 485 ture of a language, including nonterminal symbols NT , other
 486 symbol types T such as Booleans and strings, productions P
 487 and start symbol S . Our focus is on *abstract* syntax. That is,
 488 a representation that abstracts away the terminal symbols
 489 used in *concrete* syntax. We see in Figure 3 an attribute gram-
 490 mar defining the abstract syntax of LM. Nonterminals are
 491 declared with the `nt` keyword, such as `Prog` on line 5, which
 492 we take to be our start symbol. Productions have the form
 493 `prod p : n0 : NT0 ::= x1 : X1, ..., xj : Xj | nta1 : NT1, ..., nta_k :`
 494 NT_k , defining a name p for the production, node name n_0

```

1  inh attr s:Scope, si:Scope;          496
2  syn attr ok:Bool, ty:Ty,           497
   s_IMP:[Scope], s_VAR:[Scope], s_MOD:[Scope]; 498
4
5  nt Prog with ok;                 499
6  prod root: top:Prog ::= ds:Stmts | sg:Scope { 500
7    sg = mkScope(); sg.LEX = []; sg.IMP = ds.s_IMP; 501
8    sg.VAR = ds.s_VAR; sg.MOD = ds.s_MOD;        502
9    ds.s = sg; ds.si = sg;                      503
10   ds.ok = ds.ok; }                           504
11
12 nt Stmts with ok, s, si, s_MOD, s_VAR, s_IMP; 505
13 prod cons: top:Stmts ::= d:Stmt ds:Stmts { 506
14   d.s = top.s; ds.s = top.s;                507
15   d.si = top.si; ds.si = top.si;            508
16   top.ok = d.ok && ds.ok;                  509
17   top.s_IMP = d.s_IMP ++ ds.s_IMP;         510
18   top.s_MOD = d.s_MOD ++ ds.s_MOD;         511
19   top.s_VAR = d.s_VAR ++ ds.s_VAR;         512
20 prod nil: top:Stmts ::= {               513
21   top.ok = true;                         514
22   top.s_MOD = []; top.s_VAR = []; top.s_IMP = []; } 515
23
24 nt Stmt with ok, s, si, s_MOD, s_VAR, s_IMP; 516
25 prod mod: top:Stmt ::= x:String ds:Stmts | sm:Scope { 517
26   sm = mkScopeDcl(x);                   518
27   sm.LEX = [top.s]; sm.IMP = ds.s_IMP;     519
28   sm.VAR = ds.s_VAR; sm.MOD = ds.s_MOD;   520
29   ds.s = sm; ds.si = top.s;              521
30   top.ok = ds.ok; top.s_MOD = [sm];       522
31   top.s_VAR = []; top.s_IMP = []; }        523
32 prod imp: top:Stmt ::= i:String {          524
33   local ps:[Path] = query(top.si, LEX* IMP? MOD, i); 525
34   local ps':[Path] = min(ps, VAR = MOD < IMP < LEX); 526
35   local p:Path = hd(ps'); local s_tgt:Scope = tgt(p); 527
36   top.s_IMP = [s_tgt]; top.ok = length(ps') == 1;      528
37   top.s_MOD = []; top.s_VAR = []; }         529
38 prod var: top:Stmt ::= x:String e:Expr | sv:Scope { 530
39   sv = mkScopeDcl(x);                   531
40   sv.LEX = []; sv.VAR = []; sv.MOD = []; sv.IMP = []; 532
41   e.s = top.s;                       533
42   top.s_VAR = [sv]; top.s_MOD = []; top.s_IMP = []; 534
43   top.ok = e.ok; }                   535

```

536 **Figure 3.** An attribute grammar definition of LM declara-
 537 tions under parallel imports.

538 used by equations associated with this production for re-
 539 ferring to the node it builds (we use `top`), nonterminal type
 540 NT_0 , as well as the names and types of children. The children
 541 before the vertical bar (`|`) are understood as regular produc-
 542 tion children corresponding to the LM syntax. Those after
 543 the `|` are discussed shortly, as well as the bodies of these
 544 productions, i.e. between the `{...}`. The single production
 545 for nonterminal `Prog` is defined on line 6: The productions
 546 and nonterminals in an attribute grammar define how to
 547 construct trees that represent the object language. For exam-
 548 ple, to construct a tree of nonterminal type `Prog` we require
 549 a child tree whose root is a node of nonterminal `Stmt`. Each

551 node in such a tree has a unique identifier that we use in the
 552 definition of attributes in a tree.

553 Attributes in A occur on the nodes in a syntax tree as
 554 specified by the occurrence relation O . Examples of attribute
 555 definitions in Figure 3 are on lines 1–3, each with a name
 556 and a type. The occurrence relation O is defined in Figure 3
 557 by uses of the `with` keyword. By line 12, all tree nodes of
 558 nonterminal `Stmt` must have instances of attributes `ok`, `s`,
 559 `si`, `s_MOD`, `s_VAR` and `s_IMP`. Equations define the values of
 560 these attribute instances. Equations are associated with pro-
 561 ductions in Figure 3 by being defined in the bodies of those
 562 productions, i.e. between the `{...}`. For example each tree
 563 node built by the `root` production on line 6 has its attributes
 564 defined by the equations on lines 7–10. Attributes associated
 565 with nonterminals in O are either *inherited* or *synthesized*.
 566 The values of synthesized (`syn`) attribute instances on a node
 567 are defined by equations associated that node. e.g., the equa-
 568 tion defining `top.ok` on line 10 defines the `ok` attribute on
 569 the current node, referred to locally as `top`. The values of
 570 inherited (`inh`) attribute instances on a node are instead de-
 571 fined by equations on its parent node. e.g. the equations on
 572 line 9 of Figure 3 define inherited attributes `s` and `si` of child
 573 `ds`. Individual productions may also declare *local* attributes,
 574 these are only definable by and can only be referred to in
 575 equations in the same production. Examples of these are on
 576 lines 33–35 of Figure 3.

577 In classical attribute grammars [12], all attributes have
 578 primitive types. For instance, `Bool`, `String`, or `[String]` for
 579 a list of strings. Later advancements introduced *higher-order*
 580 *attribute grammars* [22], which allow us to construct new
 581 trees at runtime of the same kind as the program syntax tree,
 582 but separated from it or as extensions to it. New trees are
 583 defined by *nonterminal attributes*. These are associated with
 584 particular productions, e.g. in Figure 3 by their declaration
 585 after the `|`. These new trees can have attributes such as
 586 inherited ones that are defined in the production that built
 587 them, just as those of regular children are. Definitions of
 588 nonterminal attributes, such as that of `sg` on line 7 of Figure 3,
 589 use productions in the attribute grammar to build these trees.
 590 The production used in this definition is `mkScope`, specified
 591 in Figure 4 which we discuss in Section 3.3. The nonterminal
 592 attribute `sg` is treated as a child in production `root`, just
 593 as `ds` is. Another advancement to attribute grammars are
 594 reference attributes [4]. These allow us to store references
 595 to tree nodes as attributes. These references can then be
 596 communicated around a tree by definition of inherited and
 597 synthesized attributes. In Figure 3, `s`, `si`, `s_IMP`, `s_VAR` and
 598 `s_MOD` are all reference attributes, and their values will be (or
 599 are lists that contain) trees. We pass a reference to the Scope
 600 tree `sg`, created as a nonterminal attribute in production `root`
 601 on line 7, to the child `ds` by defining `ds`'s inherited `s` and `si`
 602 attributes as `sg`.

603
 604
 605

3.2 Evaluation of attribute grammars

The task of an attribute grammar evaluator is to find an order
 606 in which to evaluate the equations that define attributes
 607 in the tree. Some of these equations cannot be evaluated
 608 until those which define other attributes have been evalua-
 609 ted first. That is, the equation, and thereby the attribute it
 610 defines, *depends on* the values of other attributes defined by
 611 other equations. For instance, the equation defining `top.ok`
 612 on line 10 for a root node clearly depends on the value of
 613 child `ds`'s `ok` attribute instance. Therefore `top.ok` has `ds.ok`
 614 as a dependency, meaning the equation defining the latter
 615 must be evaluated first. We can identify some dependencies
 616 statically by looking at the attribute grammar specification.
 617 For instance, the dependency of a root node's `ok` on child
 618 `ds`'s `ok` attribute value we described. However in general we
 619 cannot compute all dependencies ahead of time.

The most prevalent approach to finding such a schedule
 620 to evaluate equations is in a *demand-driven* way [9]. Under
 621 demand-driven attribute grammar evaluation, the dependen-
 622 cies of attributes determine a schedule for executing equa-
 623 tions. That is, if when evaluating the equation defining a
 624 particular attribute we discover a dependency on another
 625 attribute, then we pause to compute the latter before coming
 626 back to the former, substituting in the resulting value of the
 627 attribute depended on. Computation of the attributes in a
 628 syntax tree begins by demanding a synthesized attribute
 629 from its root node. That node's attributes may depend on the
 630 values of others around the tree, which in turn have their
 631 dependencies. For LM, our initial demand will be for the
 632 `ok` attribute of that node, as we discuss in Section 3.3. The
 633 computation of the initial attribute involves following depen-
 634 dencies transitively, which takes our evaluation around the
 635 program's syntax tree. Importantly, the equation defining an
 636 attribute instance is only ever evaluated if that attribute is de-
 637 manded by another at runtime, and attributes that are never
 638 demanded are never computed. When an attribute's defin-
 639 ing expression has become a value, the attribute is marked
 640 as completed and the value stored in the tree. Then if the
 641 same attribute is demanded later, the value is immediately
 642 available. I.e., each attribute instance is only computed at
 643 most one time. The demand-driven behavior we describe
 644 here is fundamental to how we schedule the construction
 645 and querying of scope graphs, described in Section 3.4.

3.3 Scope Graphs for LM in Attribute Grammars

Our approach to implementing scope graphs in attribute
 652 grammars is to represent the nodes in a scope graph as trees
 653 constructed as nonterminal attributes. In our implementa-
 654 tion scopes in the graph are always single-node trees, whereas
 655 declarations have a child that is their name. Our representa-
 656 tion of the collection of a nodes in a scope graph is thereby
 657 as a forest of such trees. Reference attributes occur on the
 658 scope trees which denote the edges in a scope graph. Each

```

661 1  inh attr LEX:[Scope], IMP:[Scope],
662 2      VAR:[Scope], MOD:[Scope];
663 3  syn attr name:String;
664 4
665 5  nt Scope with LEX, IMP, VAR, MOD, name;
666 6  prod mkScope: top:Scope ::= {
667 7      top.name = "";
668 8  prod mkScopeDcl: top:Scope ::= id::String {
669 9      top.name = id; }
```

Figure 4. Scope graphs in attribute grammars.

edge label used in the graph is represented by an attribute whose type is a list of Scope references, similar to attributes `s_VAR`, `s_MOD` and `s_IMP` in Figure 3. Each Scope tree node then has instances of these attributes, defined as the list of references to scopes that are the targets of corresponding edges sourced from that scope.

We define in Figure 4 the nodes of a scope graph with a nonterminal `Scope`, and the edges from a scope as attributes occurring on that nonterminal. The edge attributes `LEX`, `IMP`, `VAR` and `MOD`, defined on lines 1–2 represent the LM edges seen in Figure 2. These all have type `[Scope]`, i.e. each instance of one of these attributes is a list of scopes that are the targets of corresponding edges in the scope graph. We define these as inherited attributes, which is important in scheduling scope graph construction and name analysis under eager evaluation. If we instead defined them as synthesized attributes and provided to a scope these edges as arguments to a production such as `mkScope` on line 6, an attribute grammar which eagerly evaluates expressions would fully evaluate the edge lists given as arguments before building the scope node itself. As we discuss in Section 3.4, we only evaluate the edges of a scope graph during the execution of queries. We also define a synthesized attribute `name` as a String which occurs on scopes, for use in name resolution. The two productions in Figure 4 define two ways to create new scopes. We use the `mkScope` production for scope graph nodes that are merely scoping regions in a program, and `mkScopeDcl` for declarations, taking a name as an argument. Both productions have one equation which defines the synthesized `name` attribute, the empty string used for regular scopes.

Scope and declaration nodes of an LM scope graph are constructed as nonterminal attributes in the productions of the LM grammar in Figure 3. Figure 3 shows the declaration of nonterminal attribute for the global scope on line 6 and its definition on line 7 using the production `mkScope` from Figure 4. I.e. `sg` is a new tree of nonterminal type `Scope` with no children, constructed by use of production `mkScope`. Similarly, module scopes are defined in `mod` on line 26, and a variable declaration scopes on line 39, instead using the `mkScopeDcl` production on line 8 of Figure 4. The module and variable declaration productions both pass their declaration name to the `mkScopeDcl` production. The synthesized

attribute `name` on these declaration nodes is then defined on line 9 as the same string. The inherited edge attributes for a scope must be defined in the same production that the scope is built in. We see definitions of these attributes for each nonterminal attribute defined in Figure 3. For instance, the attribute definitions on lines 7–8 define the edges of the global scope.

Edge attributes are populated by references to scope nodes built in various places around a program’s syntax tree. In LM, under the name resolution semantics we focus on, references to variable and module declaration scope graph nodes are passed up the tree as synthesized attributes before becoming part of the, `VAR` and `MOD` attribute of their enclosing module scope. This is because declarations in modules are always lower in the syntax tree than the module’s own declaration. Often the definition of a synthesized attribute instance on a node is simply the combination of the same attribute on that node’s children. In Figure 3 we use synthesized attributes `s_VAR`, `s_MOD` and `s_IMP`, defined on line 3 for this purpose. These are typed as `[Scope]`, just as the inherited edge attributes in Figure 4 are. References to lexical parent scopes, the targets of `LEX` edges, are instead passed down the tree as inherited attributes, since lexical parent scopes are always defined higher up in the tree than references to them. We use inherited attributes `s` and `si`, defined on line 1, to communicate lexical parent scopes, the targets of `LEX` edges, for parallel imports. These scopes are copied down to the attributes of module declarations by the inherited attribute equations on lines 14–15. `s` is the scope of the enclosing module with which member declarations are associated by `VAR` and `MOD` edges, and in which variable references are resolved. For example, this `s` attribute’s value for the LM tree nodes corresponding to statements on lines 16–18 of Figure 2a is a reference to scope C_1 in Figure 2c. `si` is the lexically enclosing scope of the module, in which we resolve all import references in that module. For the imports on lines 16–17 of Figure 2a, this is the global scope S_1 . We see an example of a `LEX` edges definition on line 27 of Figure 3, defining it as a singleton list of the inherited attribute `s`. We synthesize the targets of `VAR`, `MOD` and `IMP` edges as lists, but the targets of `LEX` edges as single `Scope` references `s` and `si`. This is because we do not know ahead of time how many variables, modules or imports will be defined in a module when writing the attribute grammar, but we do know that only two lexical scopes are required to implement LM with parallel imports.

3.4 Name Resolution in Attribute Grammars

We implement queries as functions taking as arguments the query source scope, its path well-formedness regular expression, and the name to resolve. Inspired by Zwaan et al. [23] edges in the scope graph are followed during a query based on a DFA representation of the query regular expression over edge labels. A transition table defining a DFA of the LM import query regular expression `LEX* IMP?`

771 MOD, with start state st_1 , intermediate state st_2 and accepting
 772 state st_3 is as follows:

From	Transition	To
st_1	- LEX \rightarrow	st_1
	- IMP \rightarrow	st_2
	- MOD \rightarrow	st_3
st_2	- MOD \rightarrow	st_3

779 As we follow an edge during a query, we make the corre-
 780 sponding transition in the DFA. The transitions possible from
 781 the new state tell us what edges can now be followed in the
 782 graph to other scopes. When we reach the accepting state of
 783 the DFA, we know that the current scope is a declaration and
 784 can test for name equality. References to declaration scopes
 785 whose name matches are collected and combined together
 786 into one list returned by the query. In Figure 3 we show
 787 one example of a query function application on line 33 for
 788 resolving an import reference.

789 Demand-driven evaluation, as we described in Section 3.2,
 790 is a fundamental part of our approach to scheduling the con-
 791 struction and interrogation of scope graphs [2]. By using
 792 demand-driven evaluation in our implementation of scope
 793 graphs, we can ensure that the nodes and edges of a scope
 794 graph are not constructed until they are demanded during
 795 name resolution. In our implementation of LM, query func-
 796 tions are the only computation which demand that the edge
 797 attributes and scope definitions are evaluated. Initially a
 798 query such as the one on line 33 of Figure 3 depends on its
 799 source scope being built, which may have occurred already if
 800 another query was executed from the same scope. Our DFA-
 801 based name resolution then, starting in state st_1 described
 802 above, demands edge attributes on that scope, correspond-
 803 ing to valid transitions in the DFA. We use as an example
 804 the query of x_5 using the scope graph in Figure 2c that en-
 805 codes parallel import semantics for the program in Figure 2a.
 806 This query starts in scope S_4 and has regular expression $LEX^*IMP?MOD$. It first demands the LEX, IMP and then MOD edges
 807 from scope S_4 - these are all valid transitions from st_1 in
 808 our DFA. Demanding the LEX edges for S_4 causes the single
 809 node tree representing the global scope S_1 to be built, by
 810 the dependencies of that attribute. This involves evaluating
 811 the nonterminal attribute definition of S_1 , specified on line 7.
 812 When $S_4.LEX$ is computed, a continuation of the query begins
 813 at scope S_1 and state st_1 in the DFA described above. The
 814 edge attributes are demanded from this scope in the same
 815 way, building new scopes and edges by evaluating reference
 816 and nonterminal attributes to extend the scope graph.

817 Of particular importance is that the IMP attribute on scope
 818 S_4 depends on import queries in that module. That is, each
 819 member of this attribute comes from executing the import
 820 query specified on line 33 of Figure 3, the results of which are
 821 used in the definition of s_IMP on line 36. Demanding $S_4.IMP$
 822 during the query of x_5 thereby causes our current name
 823 resolution to pause and wait for the result of those import
 824 queries.

825 queries. The computation of $S_4.IMP$ draws into the scope
 826 graph the two IMP edges from S_4 to S_2 and S_3 in the scope
 827 graph in Figure 2c. The query function for x_5 then continues
 828 at these module scopes after transitioning in the DFA to state
 829 st_2 , from which the only transition is to follow a VAR edge.
 830 In this way the construction of a scope graph and queries
 831 in it are scheduled by the demand-driven evaluation of our
 832 attribute grammar. Namely, queries demand the construction
 833 of scopes and edges that may in turn depend on other queries
 834 that are completed before the original. This approach ensures
 835 that name resolution is stable, a property we discussed in
 836 Section 2, since queries can only be completed once all of the
 837 attributes which correspond to edges they can follow have
 838 been demanded.

4 Self-influencing Imports

We consider in this section import queries that are *self-influencing* and thereby circularly defined. The two case studies we use to present our ideas are *recursive* as well as *unordered* imports, both simplifications of the RUST import semantics we described previously. In our definition, the structure of the scope graph for these semantics, other than IMP edges, is the same as for parallel imports. What distinguishes the two is how imports are resolved, and thus what the set of IMP edges is. The idea is to develop a general fixed-point computation that finds a set of *candidate* imports in a process that is the same for both recursive and unordered imports, and then filter this set with a post-processing function that distinguishes one semantics from the other.

4.1 Self-influencing imports

A query is *self-influencing* when a path in its result set makes use of the edge yielded by another resolution in the same set. Self-influencing import queries are therefore those whose resulting IMP edges are used by that same query in producing new paths. This behavior is captured by the right-hand side RUST program in Figure 1, where the resolution of `foo` to the outer module named `foo` is used to find a new resolution to the inner one. RUST determines here that `foo` is ambiguous, since the self-influencing resolution behavior means that both module declarations are discovered, and the resolution to either module does not shadow the other.

Definition 4.1. A query $q_G(s, r, "x")$ and result ps in scope graph G is *self-influencing* if $\exists p, p' \in ps . G \vdash p \triangleright e \wedge e \in p'$.

That is, a query is *self-influencing* when there is a path p in its resolution set that yields an edge e that are used in another path p' in the same result set. For the two import semantics explored in this section, recursive and unordered, all edges yielded by self-influencing import queries are sourced at the start scope of the query. Thus e will be the first edge in p' .

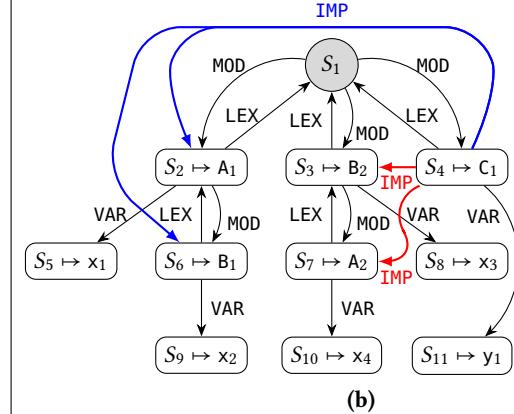
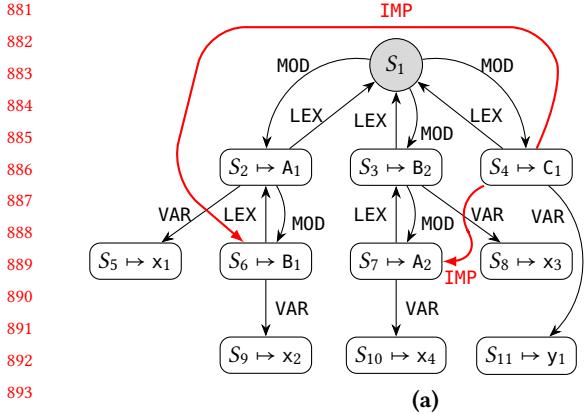


Figure 5. Scope graphs for program in Figure 2a under recursive (a) and unordered (b) import semantics

4.2 A fixed-point approach

Self-influencing imports exhibit circular behavior; given paths in the result set of a query may yield new edges that are used in other paths in the same result set. Problems that exhibit circular dependencies are often solvable using a *fixed-point computation* [20]. These are computations which interpret cyclic computation in an iterated, acyclic way. We are interested in *least* fixed-point computation in particular. That is, the iterative process starts with an initial minimal value, and each iteration successively contributes more to the result of the computation, until no new results are found by the final iteration. We have then reached a *fixed-point* where successive iterations can not grow the result any more. Our focus is on the fixed-point computation of *sets*, since we will compute a set of paths yielding candidate import edges for a particular query. Accordingly, we take the initial value for a fixed-point computation to be \emptyset . A fixed-point computation f with k iterations is characterized by an application $f_k(f_{k-1}(\dots(f_1(\emptyset))\dots))$ where each f_i for $i > 1$ is the application of computation f with the result of $f_{i-1}(\dots)$ as its input. For a set c , it must be the case that $f_{i-1}(c) \subseteq f_i(f_{i-1}(c))$. Specifically, if i is the final iteration we have $f_{i-1}(c) = f_i(f_{i-1}(c))$ where a fixed-point has been found, otherwise $f_{i-1}(c) \subset f_i(f_{i-1}(c))$ with $f_i(f_{i-1}(c))$ contributing new elements to the growing set. This ensures that the result set monotonically grows with each iteration. That is, the result of every iteration is a superset of that of the previous.

The problem of self-influencing imports lends itself to fixed-point computation, since there is a clear ordering of the paths that result from a self-influencing import query based on the import edges they yield. As identified in Definition 4.1, a path that results from a self-influencing import query can use the edges yielded by others. We denote this notion of use of one path by another in graph G as $p < p'$ iff $G \vdash p \triangleright e$ and $e \in p'$. The resolution of self-influencing

queries in a module as can be framed as a fixed-point computation. If each iteration executes the import queries in a particular module, then the **IMP** edges yielded by paths contributed by iteration f_{i-1} can be used by f_i to find more resolutions. Since the imports of a particular module can influence each other, as well as themselves, this computation comprises all of the import queries in a module. All import queries in a module therefore contribute results to the fixed-point computation simultaneously. Unlike the computation f described previously, there are two inputs to this computation. These are the scope graph to resolve in, and the monotonically growing set of **IMP** edges from the query start scope. We split these into two arguments because the **IMP** edges computed during this process may not all appear in the final scope graph - some may be filtered out in the post-processing step we discuss in Section 4.3.

An application of our computation f is $f(G, c)$ for some scope graph G and set of **IMP** edges c , defined as \emptyset in the first iteration. The scope graph itself does not grow during the computation, but is a necessary in discovering resolution paths. Our computation is such that each iteration finds new import resolution paths by following the **IMP** edges in the set computed by the previous. That is, $p < p'$ when p was contributed to the growing set of import edges by an earlier iteration than p' . Our use of \emptyset as the initial set of **IMP** edges from the source scope ensures that the first iteration must execute the import queries by first following other edges from that scope. Import edges from other scopes can be followed, however, if the query regular expression allows as is the case in LM with query regular expression **LEX*** **IMP?** **MOD**. This process stops when the **IMP** edges from the final iteration do not lead to new resolutions.

We can think of the fixed-point computation of imports in a module as a strongly connected component whose vertices are the import queries in that module, and whose edges describe influence. Namely, each import can influence the resolution of the other as well as itself. Our definition of

991 self-influencing imports in LM is such that there is a distinct
 992 strongly connected component for every module. This is because although a query may use the IMP edges of its lexical
 993 parent scopes, the reverse is not true. Thus the import queries in our strongly connected components may be influenced
 994 by resolutions that occur in lexically enclosing modules, but
 995 may not influence them. We will exploit this fact in Section 5
 996 when implementing the ideas discussed here.
 997

998 For the LM program in Figure 2 and either scope graph
 1000 in Figure 5 without the IMP edges, the imports B_3 and A_3 are
 1001 resolved from the scope of module S_4 find resolutions B_2 and
 1002 A_1 in the first iteration of the fixed-point computation both
 1003 by following a LEX edge followed by MOD, since there are currently no IMP edges sourced from the scope of S_4 . We then
 1004 contribute references to those discovered modules to growing
 1005 the set of candidate IMP edges from scope S_4 , resulting in
 1006 candidate IMP edges to S_3 and S_2 . In the second iteration, the
 1007 IMP edges yielded by the first are followed to find the inner
 1008 modules B_1 at S_6 and A_2 at S_7 . The third iteration finds no
 1009 new resolutions after following these candidate IMP edges.
 1010 The fixed-point computation therefore finishes, and the resulting
 1011 import edges point to both A and both B modules.
 1012 The following representation of this result set highlights the
 1013 iterated behavior of our fixed-point computation:
 1014

$$\begin{aligned} & \emptyset \\ & \cup \{p_1 : S_4 \xrightarrow{\text{LEX}} S_1 \xrightarrow{\text{MOD}} S_3, p_2 : S_4 \xrightarrow{\text{LEX}} S_1 \xrightarrow{\text{MOD}} S_2\} \\ & \cup \{p_3 : S_4 \xrightarrow{\text{IMP}} S_3 \xrightarrow{\text{MOD}} S_7, p_4 : S_4 \xrightarrow{\text{IMP}} S_2 \xrightarrow{\text{MOD}} S_6\} \end{aligned}$$

1015
 1016 The initial set as input to the first iteration is empty, the
 1017 input to the second is the empty set unioned with the new
 1018 resolutions of the first, and so on. In terms of our use relation
 1019 we have that $p_1 \prec p_3$ and $p_2 \prec p_4$.

1020 4.3 The post-processing step

1021 In general, this process for computing self-influencing imports
 1022 will return *all* matching module declarations that are found during its iterations. This may be a large set of paths,
 1023 and will likely not reflect the intended import semantics. To
 1024 that end, we use a post-processing step which involves filtering
 1025 the set of IMP edges to only those that satisfy the desired
 1026 semantics. To support this behavior, we first re-define the IMP
 1027 edges yielded by the fixed-point computation as *candidate*
 1028 import edges, for which we use a label IMPc. The IMPc edges
 1029 populate the second argument of our computation f , that
 1030 may only be followed during their own fixed-point computation,
 1031 i.e. only by the import resolution queries that comprise it. IMP
 1032 edges of lexically enclosing scopes can be followed during this
 1033 computation, but those are *persistent* edges in G , the first
 1034 argument to f . I.e., those that will appear in the final
 1035 scope graph. This ensures that each module in the program
 1036 is associated with a single strongly connected component
 1037 that is computed independently of others. The filtering step
 1038

1039 can then occur per-module. The persistent edges for each
 1040 scope are the result of filtering the IMPc edges to a smaller
 1041 set of edges which are then re-drawn as IMP edges. The defi-
 1042 nition of self-influencing import behavior then consists of a
 1043 generic fixed-point computation of candidate import reso-
 1044 lutions IMPc, followed by a language-specific filtering step
 1045 which computes all of the persistent IMP edges - those which
 1046 encode the desired import semantics. As examples of this
 1047 filtering step we focus on two examples of self-influencing
 1048 import semantics, *recursive* and *unordered*.
 1049

1050 Recursive imports are characterized by a behavior where
 1051 import references resolve to the modules found by the longest
 1052 resolution paths, with respect to replacement of IMP edges
 1053 by the paths that yielded them. For example we resolve the
 1054 two imports on lines 16–17 of Figure 2a to the two inner
 1055 modules, as shown in Figure 5, because the paths to these are
 1056 longer when replacing their IMP edges with the paths to the
 1057 outer modules that yielded them. In terms of our fixed-point
 1058 computation, these are resolutions whose paths yield edges
 1059 used by no other paths in the set of candidate resolutions -
 1060 i.e. the resolutions contributed by the final iteration. More
 1061 formally, given a set of candidate paths ps , under recursive
 1062 imports the set of paths which should yield persistent IMP
 1063 edges is $ps_{rec} = \{p \in ps \mid \nexists p' \in ps . G \vdash p \triangleright e \wedge e \in p'\}$. For
 1064 the imports in module C_1 of Figure 2, must then be the inner
 1065 modules - B_1 and A_2 . This final resolution is represented in
 1066 Figure 5b, where the scope graph encodes recursive imports
 1067 with IMP edges to the last resolutions found, to the inner A
 1068 and B modules.

1069 *Unordered* imports are another example of a semantics,
 1070 distinct from recursive, that we can compute with our fixed-
 1071 point computation. Under unordered imports, the syntactic
 1072 order of imports in a module does not determine the order in
 1073 which they are resolved, and each import may influence the
 1074 resolution of others in that module but not itself. The aim is
 1075 to find an order with which all of the imports in a module
 1076 can be resolved if they were interpreted sequentially. In Fig-
 1077 ure 5 there are two such orders whose resulting IMP edges
 1078 are grouped by color. First, resolving B_3 before A_3 means that
 1079 modules B_2 at scope S_3 and A_2 at S_7 are imported, since the
 1080 resolution of A_3 uses the IMP edge yielded by the resolution
 1081 of B_3 to B_2 . Alternatively, resolving A_3 before B_3 instead leads
 1082 to the IMP edges to A_1 at scope S_2 and B_1 at S_6 . In this example
 1083 both orders can be used to resolve the imports, and neither
 1084 has paths that are more minimal than the other, with respect
 1085 to the LM label ordering. This means that the imports in mod-
 1086 ule C_1 are ambiguous. We leave it to the language designer to
 1087 determine what effect this has on their program analysis. We
 1088 simply make persistent in Figure 5 the edges yielded by both
 1089 orderings. A post-processing function supporting unordered
 1090 imports entails, for each ordering of imports in a module
 1091 picking the minimal, with respect to label ordering, *coherent*
 1092 resolution path for each import reference in sequence.
 1093

1094
 1095
 1096
 1097
 1098
 1099
 1100

1101 **Definition 4.2.** A name resolution $r \mapsto_G p$ is *coherent* with
 1102 respect to a program resolution pr_G , iff $\forall e = (s_i \xrightarrow{IMP} s_j) \in$
 1103 $p, \exists (i \mapsto_G p') \in pr_G$ such that $G \vdash p' \triangleright e$.

1105 Coherence requires that every IMP edge used in a path to
 1106 resolve a name is persistent. Note that recursive imports do
 1107 not need to satisfy coherence, since a module's persistent
 1108 IMP edges may have been resolved using candidate edges
 1109 which were not made persistent. On the other hand non-self-
 1110 influencing import resolution such as we saw in Section 4
 1111 is always coherent because there are never non-persistent
 1112 IMP edges in the scope graph. The process for computing the
 1113 persistent IMP edges for unordered imports is as follows: For
 1114 every permutation of an ordering of import references in a
 1115 scope, we find the minimal (with respect to the language-
 1116 specific edge label ordering) candidate resolution for each
 1117 import reference, in sequence, that is *coherent* with respect
 1118 to the resolutions of the previous references in that permu-
 1119 tation. This means that the first import reference in each
 1120 permutation is resolved through a path that uses no candi-
 1121 date IMP edges, and therefore must have been contributed
 1122 by the first iteration of our fixed-point computation. The
 1123 next may use IMP edges yielded by a paths in that import's
 1124 resolution, and so on. When ordering B_3 before A_3 in Fig-
 1125 ure 5, B_3 is resolved using path $C_1 \xrightarrow{\text{LEX}} G \xrightarrow{\text{MOD}} B_2$, then the
 1126 resolution of A_3 uses the IMP edge yielded by this path, in
 1127 $C_1 \xrightarrow{\text{IMP}} B_2 \xrightarrow{\text{MOD}} A_2$.

1128 The set of import resolution paths yielding persistent IMP
 1129 edges for a particular scope under unordered imports, given
 1130 candidate imports edges yielded by paths $ps = \{p_1, p_2, \dots, p_n\}$,
 1131 and ordered permutations of all import references in that
 1132 scope os , is $ps_{uno} = \bigcup_{o \in os} \{ps_o \subseteq ps \mid o = r_1 < \dots < r_k \wedge \forall r_i, r_j \in$
 1133 $o, \exists p_i, p_j \in ps_o . (r_i \mapsto_G p_i \wedge r_j \mapsto_G p_j \wedge G \vdash p_j \triangleright e \wedge i < j \Rightarrow$
 1134 $e \notin p_i)\}$. This is the union of sets of import resolution paths
 1135 p_i is picked in a coherent way, for each ordering o of import
 1136 references r_i . For every import reference in an ordering,
 1137 the resolution path for it may only use edges yielded by
 1138 resolution paths for references before in the ordering.
 1139

1140 To summarize, our approach is one in which a set of
 1141 *candidate* import resolutions are discovered by a generic
 1142 fixed-point computation described in Section 4.2, and then
 1143 a smaller set of language-specific *persistent* import edges
 1144 are derived from this. Each candidate edge from a scope
 1145 is only followable by self-influencing import resolutions in
 1146 the same scope, each of which contributes to that set. The
 1147 fixed-point computation is such that the set of candidate
 1148 edges grows until no more import resolutions can be found
 1149 to draw candidate import edges for. The persistent edges are
 1150 the ones which encode the desired import semantics. On the
 1151 other hand, for a given program, the set of candidate edges
 1152 is the same for any self-influencing import semantics. One
 1153 approach is distinguished from another by how the *filtering*
 1154 of candidate resolutions is defined.

```

1  inh circ attr IMPc:[Path] init [];
2  nt Scope with LEX, VAR, IMP, IMPc, name;
3
4  inh attr s:Scope;
5  syn attr ok:Bool, ty:Ty, s_VAR:[Scope], s_MOD:[Scope];
6  syn circ attr s_IMPc:[Path] init [];
7
8  ntStmts with ok, s, s_MOD, s_VAR, s_IMPc;
9  prod cons: top:Stmts ::= d:Stmt ds:Stmts | sm':Scope {
10    d.s = top.s; ds.s = top.s;
11    top.s_MOD = d.s_MOD ++ ds.s_MOD;
12    top.s_VAR = d.s_VAR ++ ds.s_VAR;
13    top.s_IMPc = d.s_IMPc ++ ds.s_IMPc;
14    top.ok = d.ok && ds.ok; }
15
16  nt Stmt with ok, s, s_MOD, s_VAR, s_IMPc;
17  prod mod: top:Stmt ::= x:String ds:Stmt {
18    sm' = mkScopeDcl(x); ds.s = sm'; sm'.LEX = [top.s];
19    sm'.VAR = ds.s_VAR; sm'.MOD = ds.s_MOD;
20    sm'.IMPc = ds.s_IMPc;
21    sm'.IMP = lm-post-process(sm'.IMPc);
22    top.ok = ds.ok; top.sm_MOD = [sm'];
23    top.s_VAR = []; top.s_IMPc = [];}
24  prod imp: top:Stmt ::= i:String {
25    top.s_IMPc = query_imp(top.s, LEX*IMP?MOD, i);
26    top.s_MOD = []; top.s_VAR = [];
27    top.ok = true; }
```

Figure 6. LM under recursive/unordered imports.

5 Imports as Circular Attributes

The ideas developed in Section 4 can be implemented in an attribute grammar by using *circular attributes*. Circular attribute grammars were introduced by Farrow [5], and combined with reference attribute grammars [8] by Hedin et al. [14]. These are attributes that may transitively depend on themselves, using fixed-point computation to compute cycles iteratively. Each attribute in one of these dependency cycles must be declared as circular, and must satisfy the conditions for fixed-point computation as discussed in Section 4. That is, they must be defined with an initial value which grows monotonically with each iteration of the fixed-point computation, and the output of one iteration is the input of the next. Each iteration computes every attribute in a cycle once by following the corresponding dependency graph. If an attribute in the dependency cycle is demanded more than once in an iteration, its result from the previous iteration is used. It is circular reference attribute grammars (CRAGs) that we use here since our fixed-point computation computes sets of scope references.

We identified in Section 4 that each module has associated with it a strongly connected component that describes influence between imports in that module. We define our circular attributes correspondingly, such that their dependencies encode the influence of imports on each other. Each scope has an IMPc attribute defining the candidate import edges for that module. This attribute and its occurrence on the Scope

1211 nonterminal are seen on lines 1–2 of Figure 6. `s_IMPc` is de-
 1212 fined as a circular (`circ`) synthesized attribute on line 6. Note
 1213 that the type of the circular attributes is `[Path]` as opposed
 1214 to `[Scope]`. To do post-processing we need to know the path
 1215 that leads to each module scope found during a query, par-
 1216 ticularly for ensuring coherence of unordered imports, and
 1217 cannot rely on only that target scope itself. We implement
 1218 sets of candidate imports found during the fixed-point com-
 1219 putation as lists, with `[]` as the initial value and `++` to append
 1220 two lists. A list representation is sufficient because this com-
 1221 putation only involves adding paths that yield new candidate
 1222 `IMP` edges to the set and following those yielded edges in
 1223 successive iterations; the unordered property of sets is not
 1224 needed for our purposes. The other edge attributes `LEX`, `VAR`
 1225 and `MOD` have the same definition as in Figure 3 and Figure 4.

1226 We described in Section 4 that each strongly connected
 1227 component associated with a module consists of only the
 1228 import queries in that module. This was without considera-
 1229 tion of an attribute grammar implementation, which makes
 1230 use of synthesized attributes to communicate data up a syn-
 1231 tax tree. The attribute which lifts up the target scopes of
 1232 `IMPc` edges becomes part of the dependency cycle under
 1233 self-influencing imports and must be circular. The cycle of
 1234 attribute dependencies for a module is represented by the
 1235 equations that define these attributes in Figure 6, as well as
 1236 the `query_imp` function. This function differs from the `query`
 1237 in Figure 3 as it depends on the circular `IMPc` attribute of its
 1238 source scope as opposed to the `IMP` attribute, thus comple-
 1239 ting the dependency cycle. The post processing function is
 1240 denoted `lm-post-process` on line 21 of Figure 6, which takes
 1241 as argument all of the paths yielding candidate `IMP` edges.
 1242 The `IMP` attribute of a module scope, the list of persistent
 1243 import edges, is defined as its result. In computing the ten-
 1244 tative import edges on a scope, we do not need to do the
 1245 ambiguity check for imports that we see for production `imp`
 1246 in Figure 3. This is because we are gathering all reachable
 1247 tentative imports. It is for the post-processing function to
 1248 decide what to do with ambiguities when computing the
 1249 persistent import edges.

1250 An initial demand of the `IMP` attribute of a scope demands
 1251 the same scope’s `IMPc` attribute by line 21 of Figure 6. This
 1252 then begins the fixed-point computation of our circular at-
 1253 tributes for the associated module. Attributes on other scopes,
 1254 such as those of lexical parents, may also be demanded dur-
 1255 ing this computation. In these situations, the circular com-
 1256 putation of imports in the original module is paused until a
 1257 value comes from that demand. For a lexical parent scope,
 1258 this may cause its `IMPc` circular attribute to be computed if
 1259 our query demands its `IMP` attribute. After these demanded
 1260 edge attributes are computed, computation of the original
 1261 fixed-point computation continues. When `IMPc` is computed
 1262 for a given scope the resulting value, a list of references to
 1263 scopes at the end of candidate import edges, the attribute is
 1264 marked as computed with that value.

6 Discussion

1266 Recall that a coherent import resolution is one that only
 1267 uses *persistent* import edges. That is, import edges that ap-
 1268 pear in the final scope graph. We highlighted in Section 4
 1269 that resolutions under recursive imports are always stable,
 1270 but not always coherent. On the other hand unordered im-
 1271 ports as we have defined them lead to always coherent but
 1272 sometimes unstable resolutions. This is because a resolution
 1273 that would be stable is often ignored in favor of one which
 1274 is coherent with respect to the other imports in a module.
 1275 With recursive imports we instead pick the resolution with
 1276 the most minimal candidate import path with respect to the
 1277 language-specific label ordering. As a consequence we forget
 1278 the candidate import edges used in such a path’s resolution.
 1279 This relationship suggests that the properties of stability and
 1280 coherence are at odds with one another, that in general only
 1281 one of these holds for a particular resolution semantics. We
 1282 do not claim that either property is more desirable than the
 1283 other, but leave the choice of which to satisfy to the language
 1284 designer.

1285 Self-influencing imports are admittedly a peculiar lan-
 1286 guage feature. As far as we have seen, RUST is the only exam-
 1287 ple of a ubiquitous general purpose programming language
 1288 that has self-influencing imports. One reason for this is that
 1289 uncareful use of such imports for more complex programs
 1290 than those given in this work could lead to incomprehensible
 1291 resolution behavior. On top of this, they are often much
 1292 more difficult to reason about than conventional kinds of
 1293 imports, sequential for example. Our purpose here was to
 1294 show that attribute grammars, when extended with circular
 1295 attributes, go a step further than STATIX and our previous
 1296 use of attribute grammars [2], and not to recommend the use
 1297 of self-influencing imports. To that end, we have provided
 1298 a generic means for computing such imports by a generic
 1299 fixed-point computation of *candidate* resolutions that can
 1300 be defined using circular attributes, followed by a language-
 1301 specific filter step that distinguishes one semantics from
 1302 another.

1303 A kind of semantics that we have not considered here
 1304 are *transitive* imports. These allow resolution paths to use
 1305 multiple import edges, meaning not only names declared in
 1306 imported module are visible, but also those imported by that
 1307 module. An example query regular expression for transitive
 1308 imports in LM is `LEX* IMP* MOD`, allowing any number of
 1309 successive `IMP` edges in a resolution path. Under transitive
 1310 imports it is possible for a module A to import itself, if it
 1311 imports another module B which has its own import of A. If
 1312 implementing transitive sequential or parallel imports, one
 1313 may place a simple restriction on resolution paths deter-
 1314 mining that they cannot be circular in this way. The DFAs
 1315 used to compute queries would also be defined to satisfy
 1316 the new query regular expression. The implementation of
 1317 queries under transitive sequential or parallel imports can
 1318 1319

remain unchanged otherwise. On the other hand, combining transitive with self-influencing imports requires more modification. In terms of the fixed-point computation of candidate IMP edges, there is no longer one strongly connected component per LM module. There would instead be larger ones that encompass multiple modules. For our example this means that the IMPc circular attributes for modules *A* and *B*, as discussed in Section 5, would depend on one another. An implementation of a coherent self-influencing import semantics would then have to take into account the candidate import resolutions for each module in such a cycle. One approach to this could be to compute the candidate import resolutions for every import resolution in a program collectively, and then perform one filter over them all that enforces coherence.

7 Related Work

Name binding has been a topic of interest for a long time, and many systems exist to relate name references with declarations in programs. De Bruijn indices [3] are numeric representations of names where references are replaced with indeces that are shared with declarations of the same name. Name resolution then acts uniformly for identical indices in a scope. The higher-order abstract syntax of and Miller and Nadathur [15], and later Pfenning et al.[17], represents specification of binding constructs as a polymorphic simply-typed λ -calculus, in which object language variables become meta-variables in the abstract syntax. Gabbay et al. [6] use nominal sets to develop a meta-theory of formal systems that have name binding operations. Each of these formalisms supports the definition of program transformations involving names, such as substitution and α -conversion, by lifting program names up to the meta level. Using scope graphs and attribute grammars we can implement transformations such as these by, for instance, resolving program references to declarations using scope graph queries and then rewriting those program references to the value their declaration is defined with by using forwarding of attribute grammars - a notion that is out of scope for this paper.

STATIX, introduced by van Antwerpen et al. [21], is a constraint-solving system for specifying the name and type analysis of languages in terms of predicates over abstract syntax trees. This first formulation of STATIX was found to be too restrictive in its scheduling of name resolution, over-approximating extensions to a scope graph and blocking the execution of queries whose result would in fact be stable. Further development of STATIX by Rouvoet et al. [18] allowed for sound interleaving of name resolution and the extension of a scope graph by modifying the conditions on when a query can be executed. Their approach uses the presence of assertions of *weakly critical edges* for a given query, edges whose addition to the graph *may* affect the result of that query, as a condition for blocking the query from executing.

Thus name resolution only occurs when the scope graph is deemed ready enough for the query, as opposed to starting a query and building necessary edges along the way as we do. STATIX also does not support name resolution that is self-influencing, with constraint-solving becoming stuck. In our previous work [2] we determined that for corresponding name/type analysis specifications, STATIX and non-circular attribute grammars produce the same result. For instance, when constraint solving in STATIX becomes stuck during self-influencing name resolution, we have an attribute cycle in the attribute grammar.

Later work by Poulsen et al. [1] supports unordered imports for LM, expanding on previous work on STATIX [18, 21]. Their approach schedules name resolution for scope graphs by using the applicative functors of Gibbons et al. [7] to map syntax trees onto phased monadic computations which allow fine tuning of the order in which names are resolved. They implement unordered imports by trying sequential resolutions in every permutation of import references in a module, speculatively adding candidate edges to the scope graph during the computation of each permutation, and backtracking the state of the scope graph if no good resolution is found for a particular permutation. The approach they describe is more restrictive than ours, in that stability is always enforced even when name resolution is coherent, which limits the set of acceptable programs. For example the program in Figure 1b is deemed erroneous based on a stability error, rather than by ambiguity of results as the RUST compiler determines.

We are not the first to consider scope graphs in attribute grammars. Kastens and Waite [11] define an alternate, although less general, scope graphs model implemented in attribute grammars with a built-in edge set and a notion of multiple scope graphs for a program with multiple namespaces. Our goal here is not to advocate for any particular formalism for specifying name binding systems, but to solve a particular problem we identified with the expressiveness of conventional attribute grammars and STATIX. That is, neither implementation of scope graphs has the expressive power to define the semantics of self-influencing name resolution.

Circular attribute grammars [5] have been used in various applications such as liveness analysis of programs [5, 10, 14, 19], constant propagation [10], and computation of nullable, first, and follow sets in parsers [14]. Our use of circular attributes was a natural solution to a problem we with self-influencing imports that we observed in our previous work [2], namely that the corresponding name analysis is circularly defined. Of particular interest to our work are the circular reference attribute grammars of Magnusson et al. [14], which combine the reference attribute grammars of Hedin et al. [8] with circular attribute grammars, allowing reference attributes to be computed using circular attributes, which we exploit in the computation of candidate import edges in a scope graph.

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1431 8 Conclusion

1432 We have shown that self-influencing imports can be resolved
 1433 in scope graphs using circular reference attribute grammars.
 1434 By supporting this kind of import behavior, we have gone a
 1435 step further than STATIX and our previous work [2], which
 1436 both abruptly fail with errors when name resolution is circu-
 1437 larly defined. These additions result in a formalism that has
 1438 the expressive power to support RUST-style self-influencing
 1439 imports, as well as other similar import semantics.

1440 Future work on this topic could include extensions to
 1441 STATIX, described in Section 2, supporting fixed-point com-
 1442 putation for self-influencing imports using the ideas pre-
 1443 sented here. This would give STATIX the expressive power to
 1444 support language specifications that we employ circular at-
 1445 tributes for. Another direction involves further investigating
 1446 the idea of transitive imports in our formulation, which we
 1447 have not focused on here. As mentioned in the discussion,
 1448 this may mean implementing program-wide candidate im-
 1449 port filtering when implementing a coherent self-influencing
 1450 import semantics. A formalism of these kinds of imports and
 1451 their properties would be an interesting application of scope
 1452 graphs, and would further highlight their flexibility.

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