

MSc in Artificial Intelligence and Robotics

MSc in Control Engineering

A.Y. 2019/20

Neuroengineering

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Engineering Antonio Ruberti

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Teaching material

Books:

- R. Hari and A. Puce, *MEG-EEG primer*, Oxford Press, 2017, ISBN: 9780190497774
- J. Wolpaw and E Wolpaw (eds.), *Brain-Computer Interfaces*, Oxford University Press, 2012. ISBN 9780195388855 / 9780199921485
- L.F. Dayan and D. Abbott, *Theoretical Neuroscience. Computational and Mathematical Modeling of Neural Systems*, the MIT Press, 2005. ISBN: 9780262041997 / 9780262541855

Handouts:

- Course notes and scientific articles distributed by the teachers

Course resources:

- Course mailing list
- Class communications and discussion in the Piazza class
- Google Drive shared folder

Questions, clarifications, support with the course

- During lessons breaks
- Through Piazza
- By email
- By remote/in person meetings (according to the measurements for containing the COVID-19 outbreak)→ by appointment

Exams

- See introductory lesson by prof. Cincotti for general information
- Open answer and multiple choice questions
- **Examples** of written tests will be provided throughout the course for self-evaluation

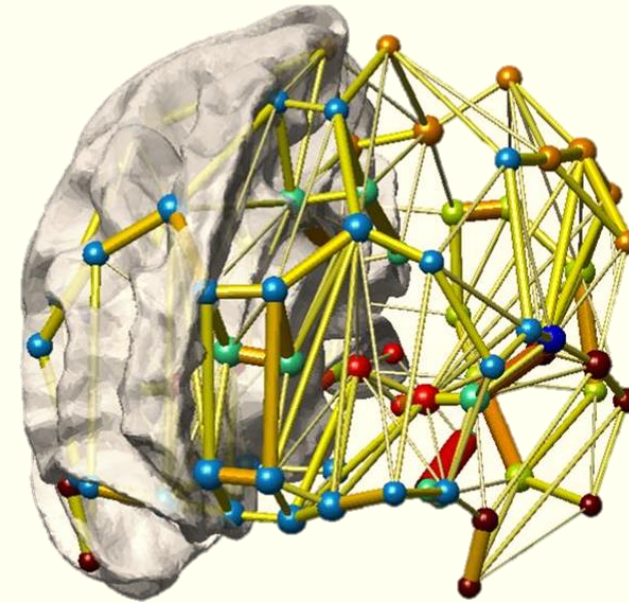
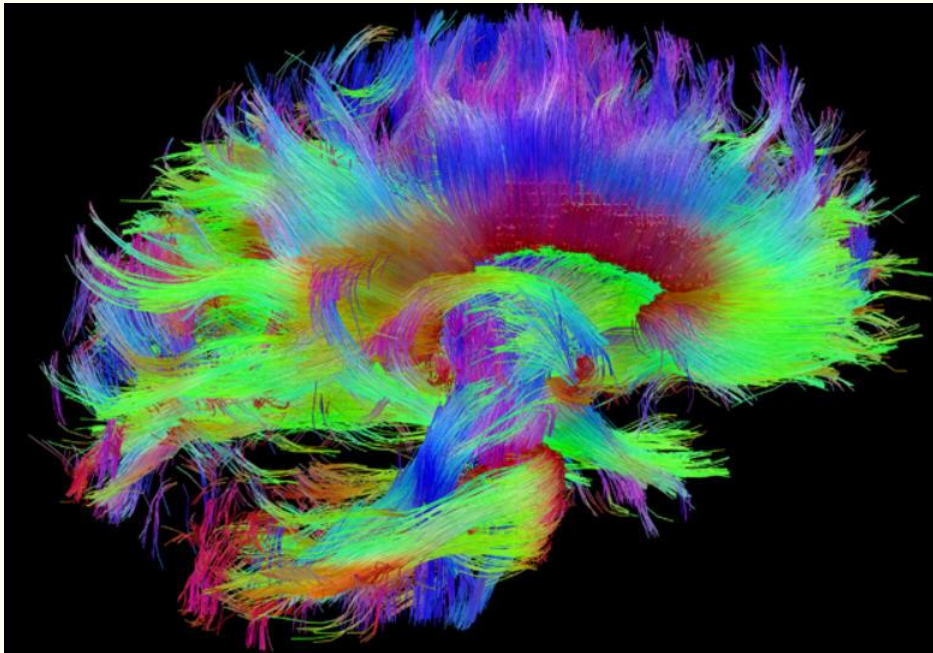


INTRODUCTION

The brain is an extremely complicated learning system. The brain has the purpose to collect info from the environment in the form of physical info. Our brain processes info by the sensors and this process is extremely complicated and fast (millisecond). We continuously take decisions which are translated into actions.

Why a Neuroengineering course?

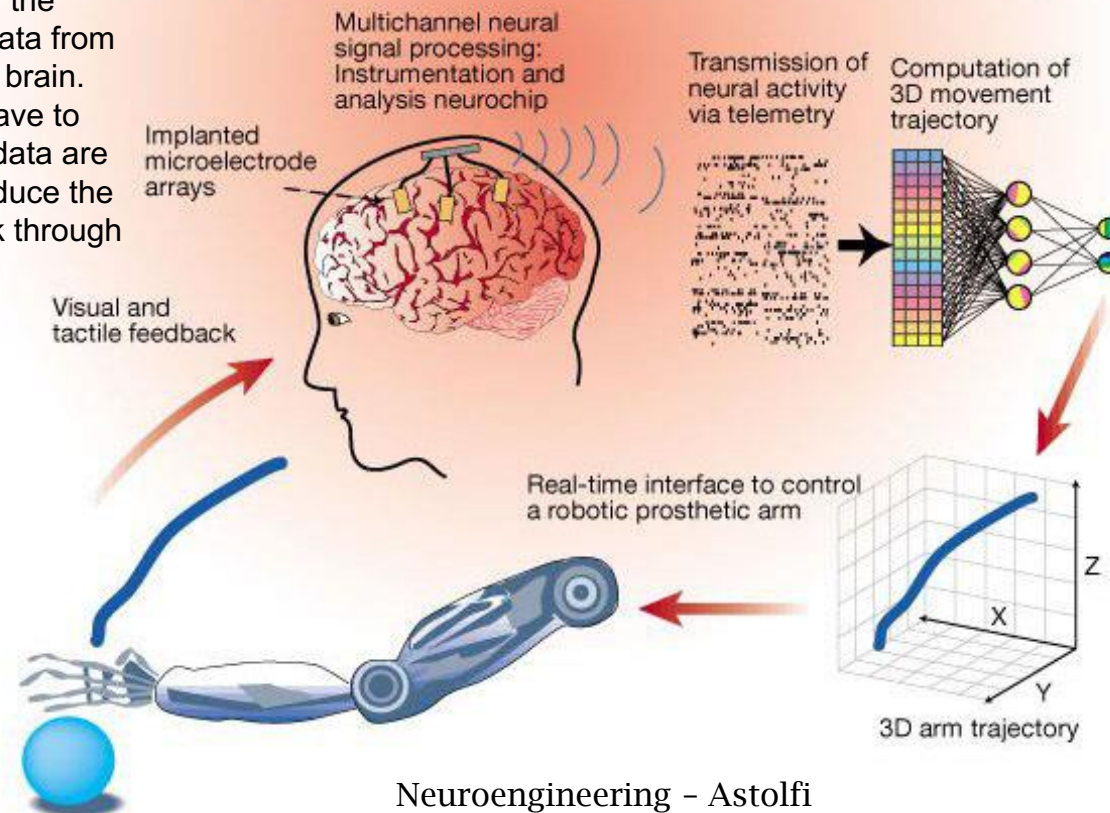
The human brain is a **complex learning system** able to continuously process an enormous information flow and to translate it into actions with a time scale of milliseconds.



Why a Neuroengineering course?

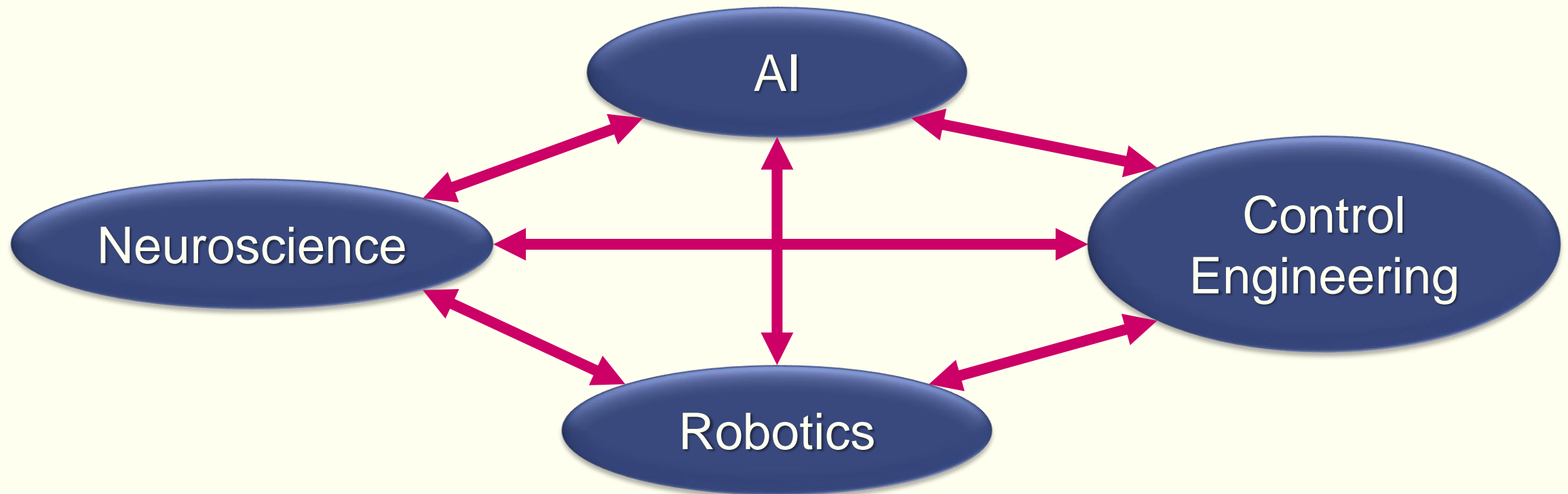
As such, it has inspired many engineering solutions that are currently transforming the way we address problems at all levels and in all domains (including Neuroscience!)

This is an ex. of the brain computer interface, for the control of robotic arm. In this scene, we record data from the brain invasively by putting electrodes in the brain. Data are send to the computer and then we have to decode this data, using classification (ML). The data are translated and converted into a trajectory to conduct the arm. Finally there is a visual and tactile feedback through sensors.



Why a Neuroengineering course?

Neuroengineering, Artificial Intelligence, Robotics and Control Engineering are intertwined: Neuroscience can inspire new engineering approaches and Engineering can provide solutions to many open problems in Neuroscience



Learning objectives of the II module

At the end of the course, you will be able to:

1. **Describe** the basics of the neural cells structure and organization at different scales
2. **Explain** their role and functioning, **illustrate** how neurons exchange information through the propagation of electrical signals
3. **Interpret** the principal signals correlated to the brain activity and their neurophysiological origin
4. **Explain** the meaning of the neural encoding and decoding, **describe** the main techniques used to model these functions and their application
5. **Compare** different definitions of neural/brain networks and **select** the most appropriate for the specific application
6. **Choose** the tools to compute and interpret brain networks, **judge** the appropriateness of a procedure
7. **Provide examples** of applications to clinical and physiological problems and **devise** possible innovative scenarios

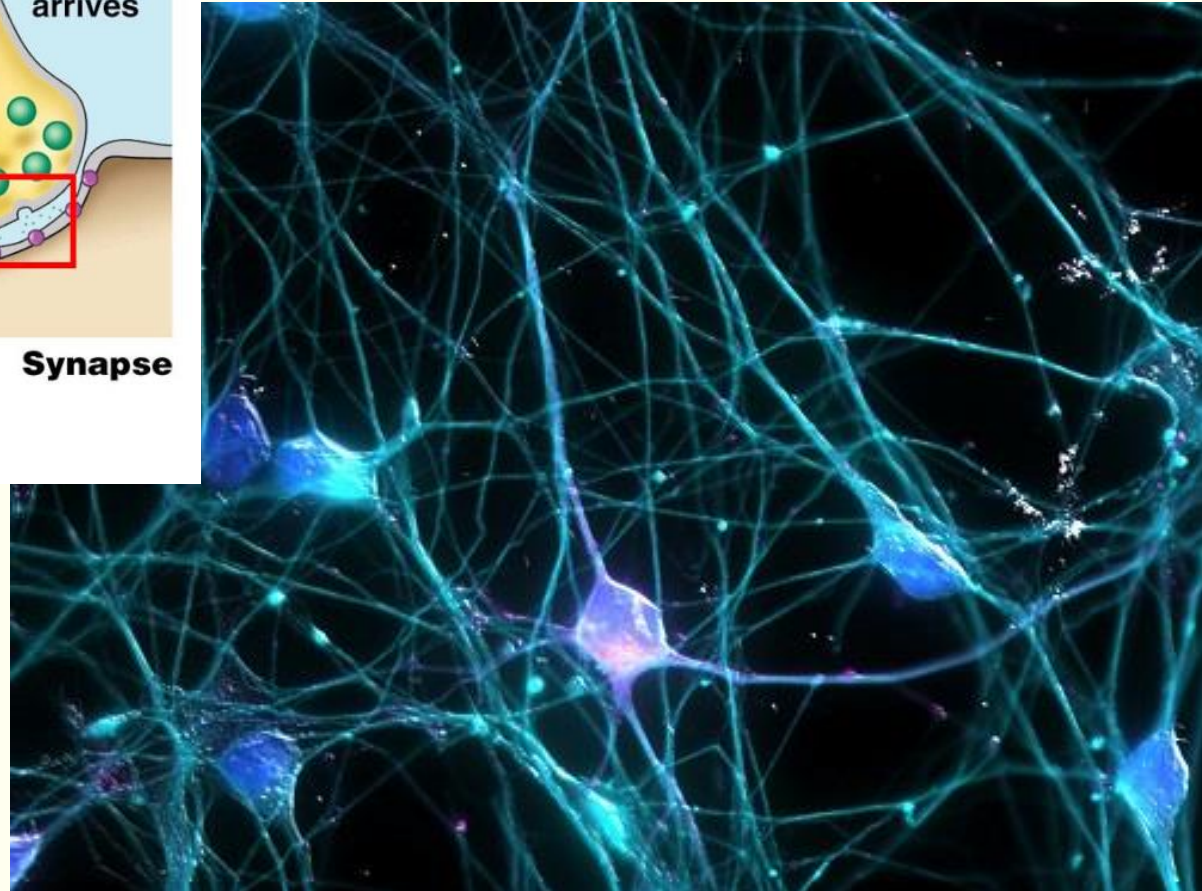
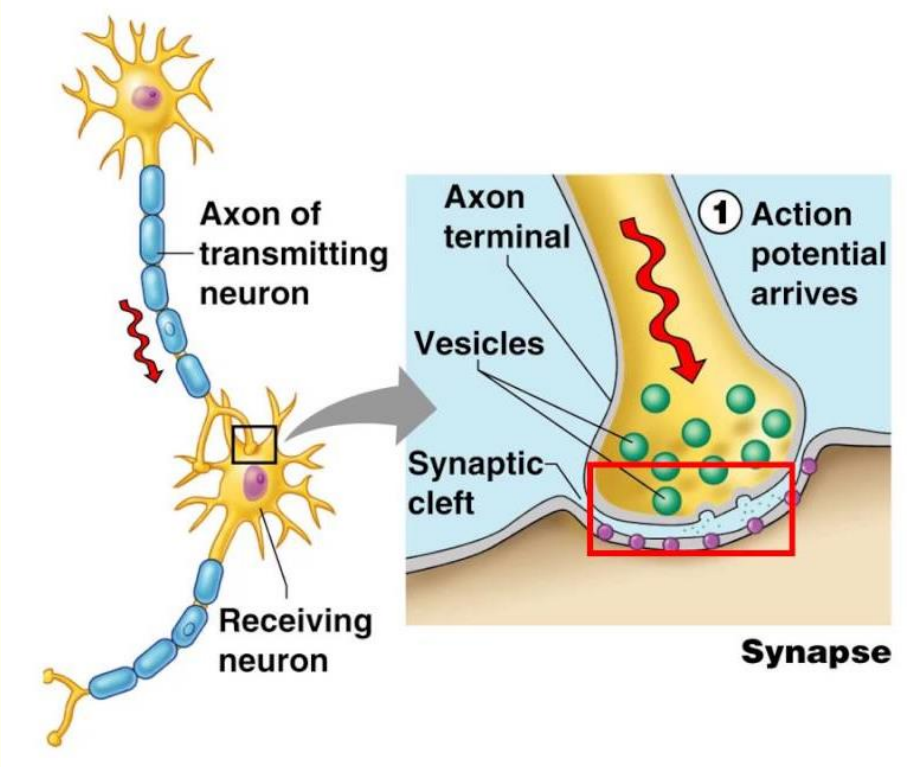
Contents of the II module

1. Structure of the **neural cell**, **neuronal groups**, **brain regions** and **brain systems**
2. Physiology of the neuron: **generation**, **integration** and **propagation** of **neural electrical** signals
3. Mechanisms of generation of neural **electrical** and **metabolic** correlates
4. Neural **encoding** and **decoding**
5. Natural **neural networks**, basic definitions of **network neuroscience** (synchronicity, causality, influence)
6. Model-free (data driven) vs model-based (biologically inspired) **models** of the brain as a **complex system** at different scales
7. Examples of application to **clinical** and **physiological problems**

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Principles of neuronal structure, functioning and communication



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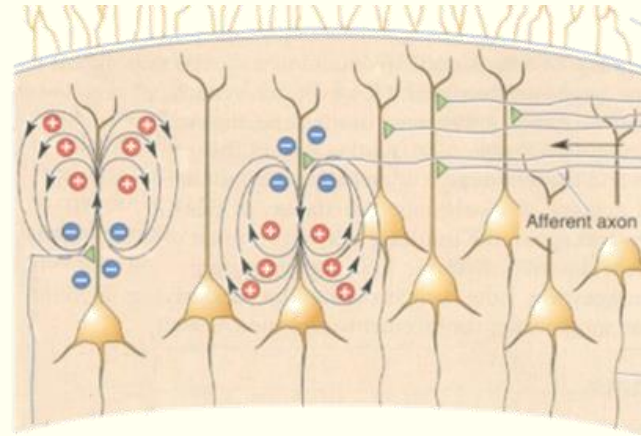
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Generation of neural correlates

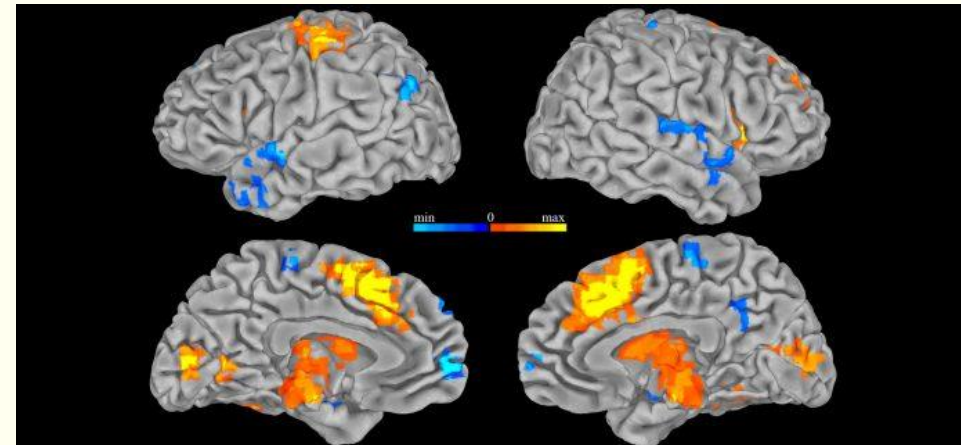
- Electrical correlates

Non invasively

We will see how neurons generate electrical signals which propagate through the tissues of the head.



- Metabolic correlates



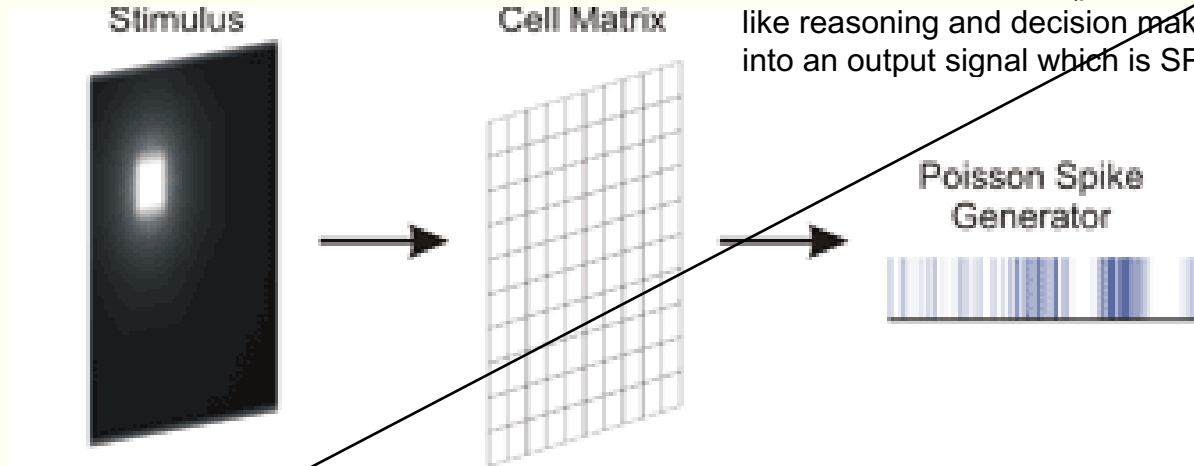
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Two main procedures that the brain performs in order to translate info from the external world into decision.

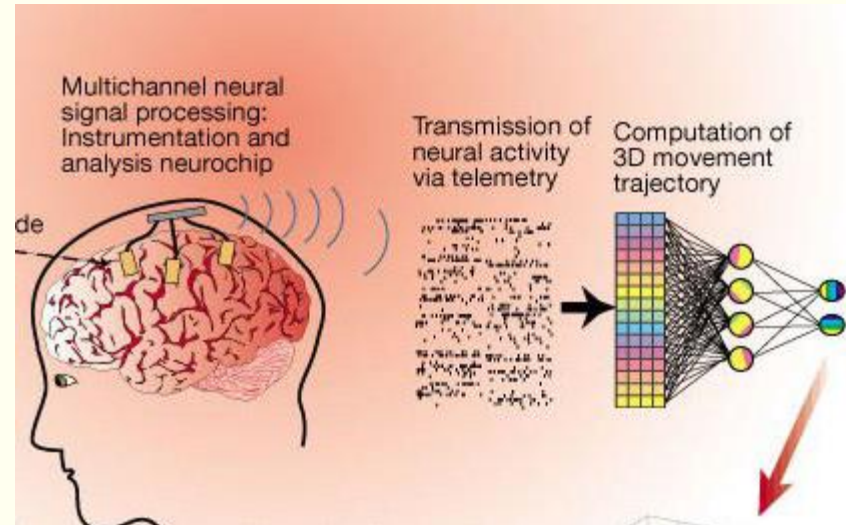
Basics of neural encoding and decoding

Procedure by which a neuron or a group of neurons collect info from the external world (physical stimulation) and from the internal like reasoning and decision make by the subject and traduce it into an output signal which is SPIKE TRAIN.



So the neural encoding is how we can model the behaviour of neuron when the input is a physical or internal stimulation and the output is a binary signal produced by the neuron itself.

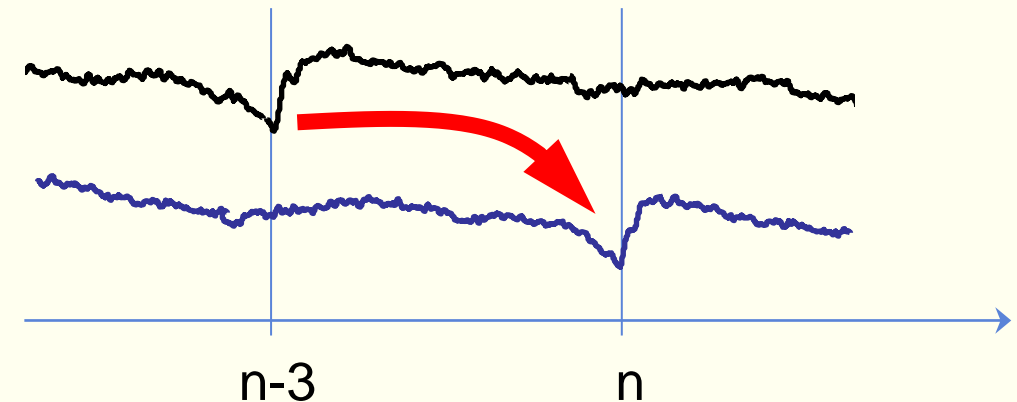
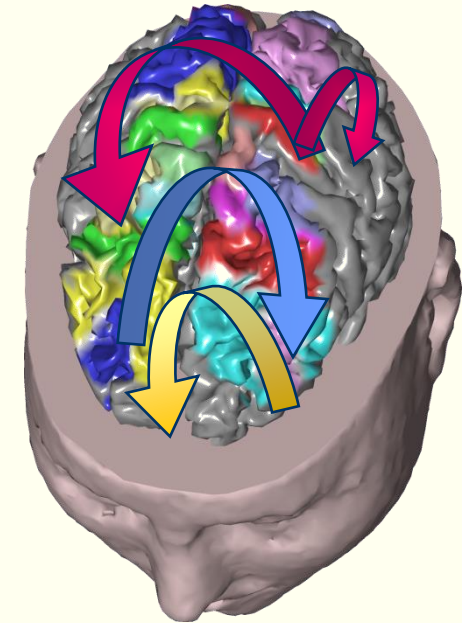
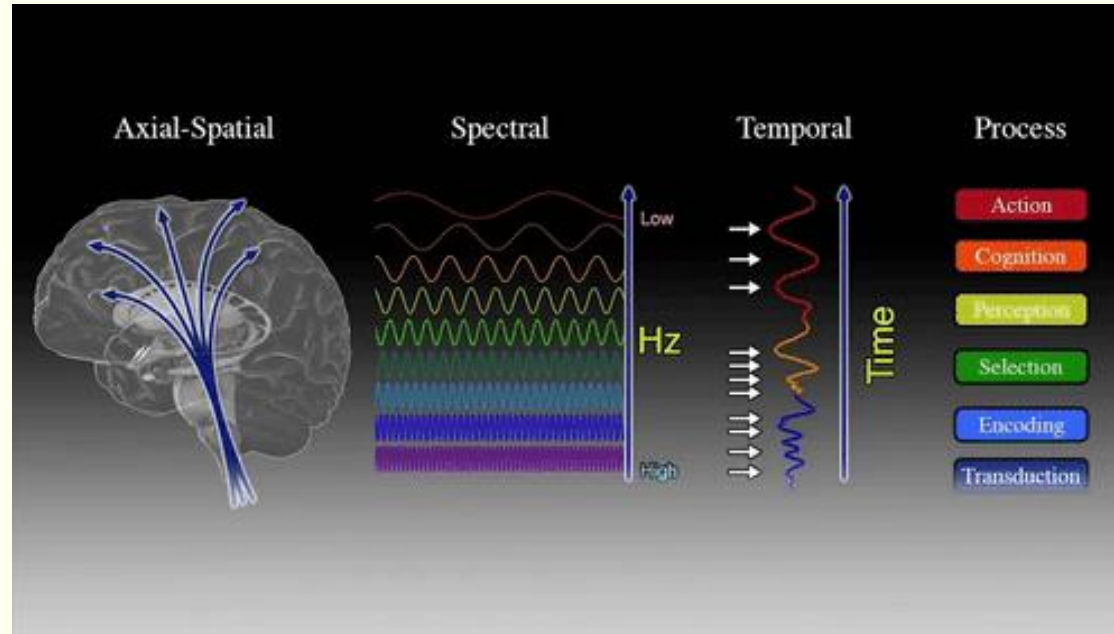
It's the reverse procedure. In the neural decoding we start from the output of the neuron and to use what we know about the encoding procedure to understand what is the stimulus that produce our output. (To understand which are the intentions of the subject)



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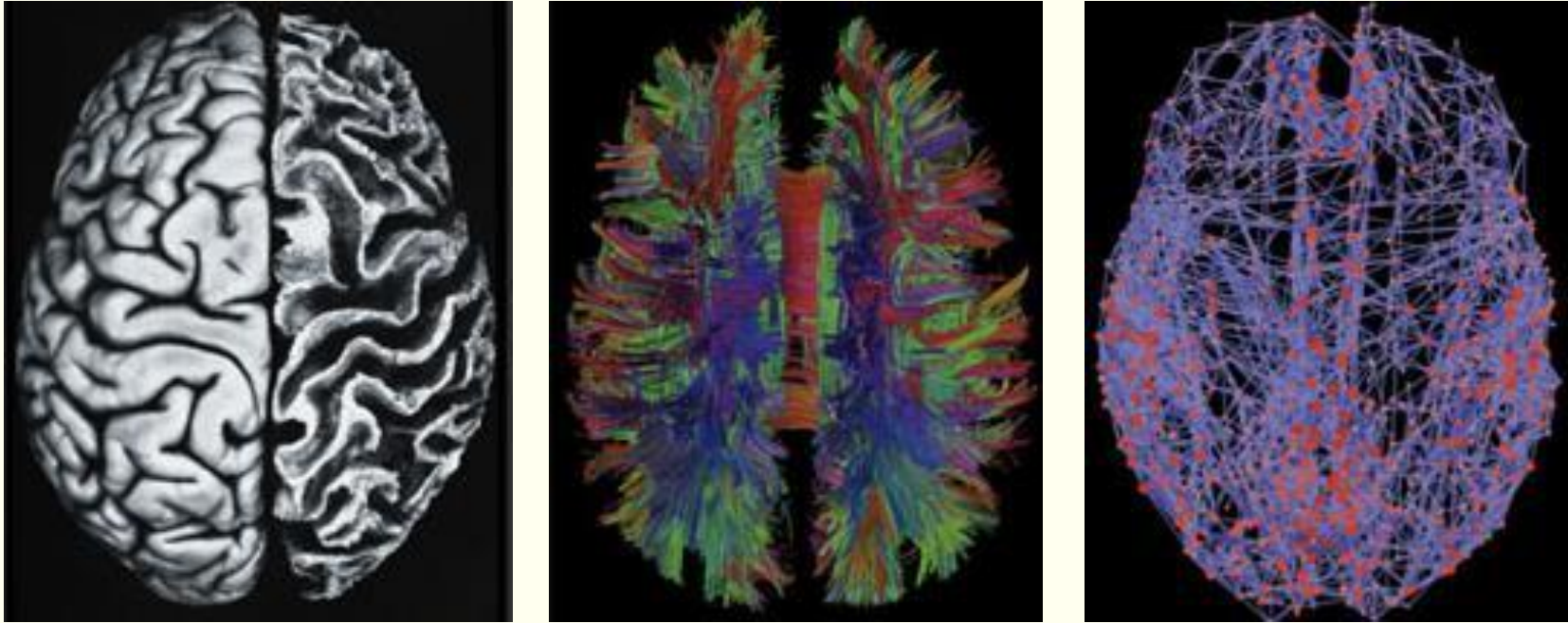
Synchronicity, causality, influence



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Principles of the brain organization, natural neural networks, different levels of organization



Adapted from: Sporns, Olaf, and Patric Hagmann. 2008. *The Human Connectome*.

Neural populations (functionally specialized regions) are **physically connected** (anatomical connectivity) and interact **within** and **among** themselves (**brain networks**)

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Applications





THE NEURAL CELL

Learning objectives of the lesson

1. **List** the 3 main functions of the neural cell (neuron)
2. **Describe** the specialized structure allowing the neuron to carry out its functions and the nature of membrane potentials
3. **Explain** the role of the main ion families in the electrical behavior of the neuronal membrane
4. **Understand** how the information is collected by the cell post-synaptic membrane and **tell the difference** between excitatory and inhibitory synapses
5. **Explain** how the analog, multiple information collected by the neuron is translate into a binary decision (output)
6. **Illustrate** the nature of the neuronal cell output signal

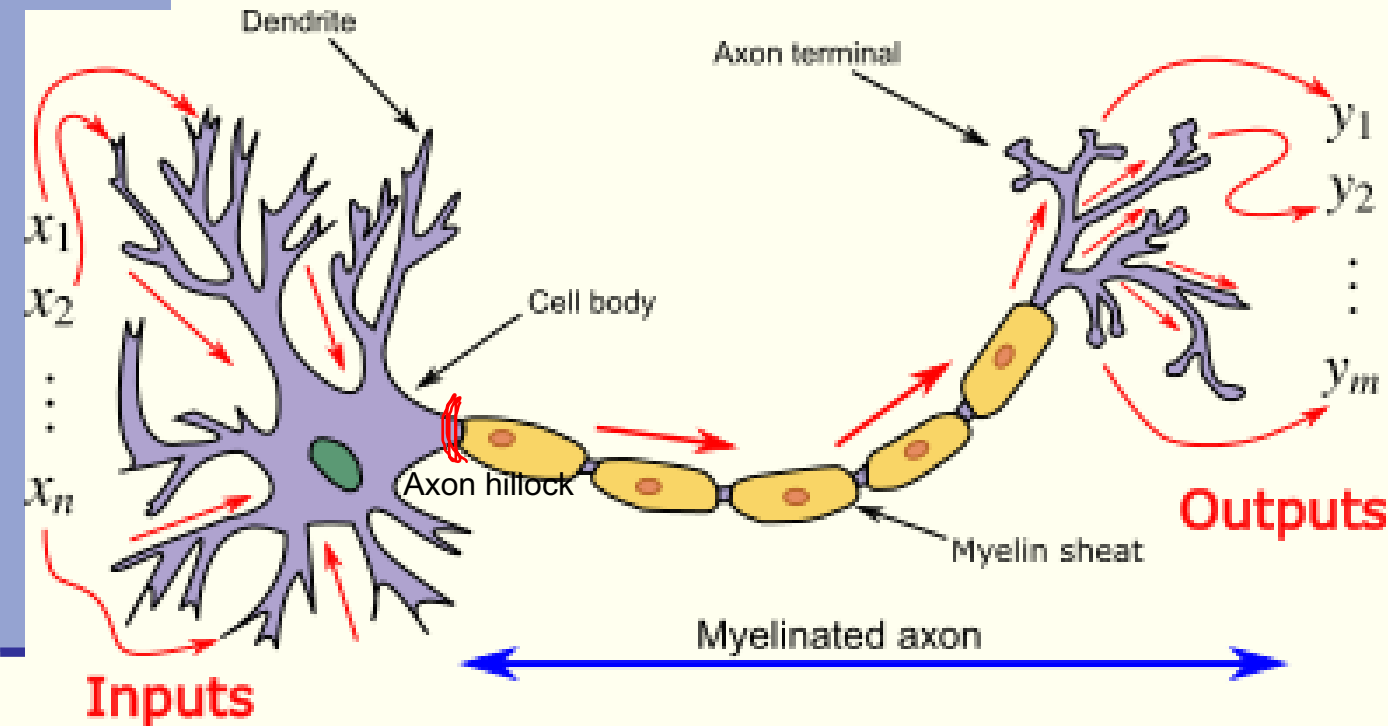
It's a cell with a soma and a nucleus in the cytoplasm. It has specific functions and structure. The first function is to collect info. It receives this info from many sources (this info arrives continuously, it's a dynamic process). The function goes with the structure (dendrites). The dendrites collect info. The second function is the processing of info. The processing is based on mathematical operation which is integration. Integration means a summation in time and space.

The neuron

The axon is unique because the info which arrives to cell is multiple but the output is single.

Basics of neuron structure and functions:

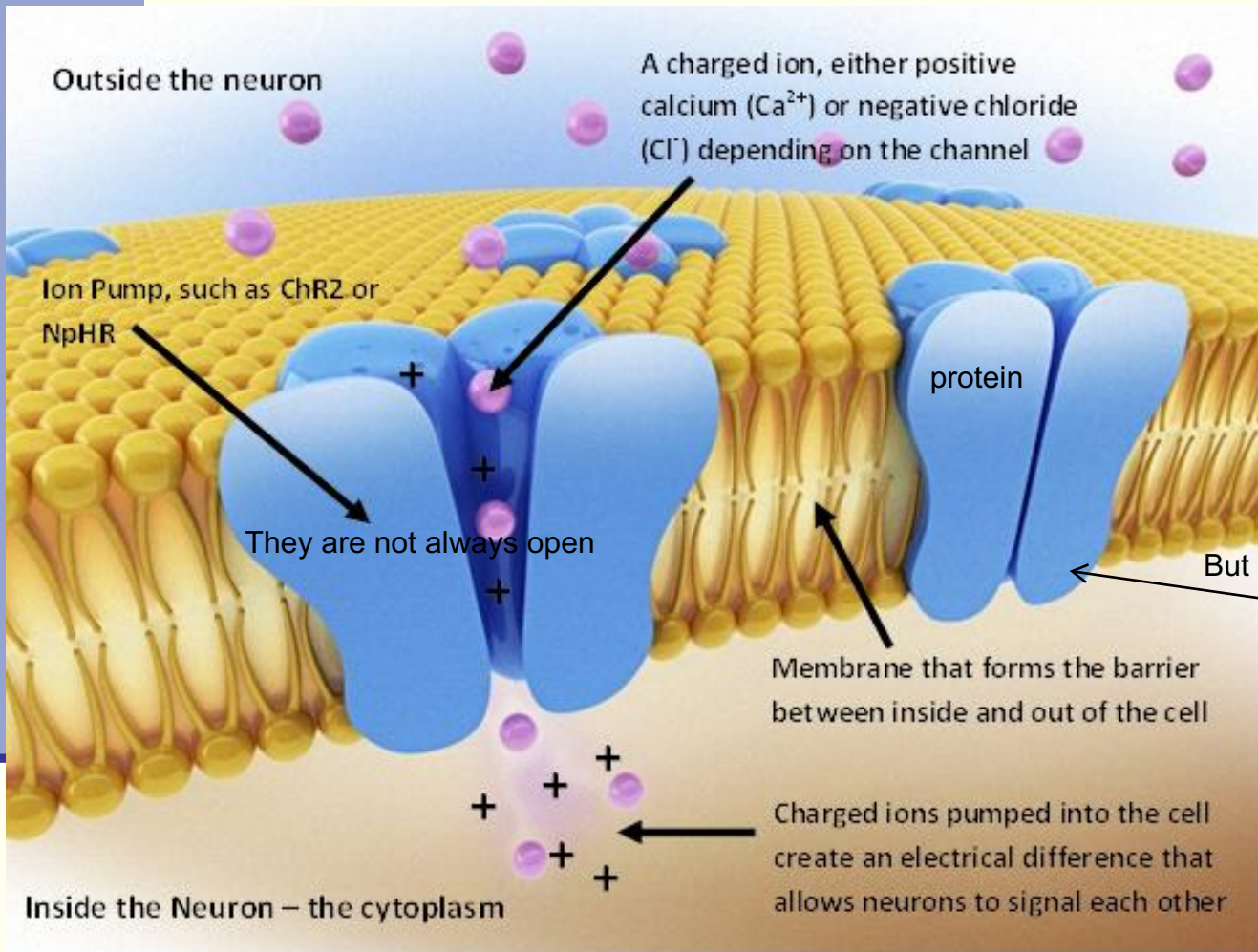
1. **Collection** of information from multiple sources (other neural cells/receptors)
2. **Integration** (in time and space) of incoming information to provide a binary decision through dendrites and soma.
3. **Generation and propagation** of a bit of information up to target cells (other neural cells, muscle cells)



BINARY DECISION: result of integration. This is a binary so it will be 1 or 0. It's 0 if the decision of the cell is not to produce a signal to be sent to other cells. It's 1 if the decision of the cell is to produce this binary signal which is the output of the cell. The input is more complicated because it's not binary. This is a continuously produced result.

The yellow elements are phospholipids. they are made by phosphoric head (in contact with water) and a lipidic tail (idrophobic). In the internal there is the cytoplasm, and externally there is a water solution. Very few substances can cross the layer

The neuronal membrane



- It's the main **morphologically specialized** structure of the neuron
- **Selectively permeable** to ions (electrically charged atoms or molecules)
The ion are electchtral, and the electchtral charge do not cross the double layer.
- **Main ion families: Na^+ , K^+ , Cl^- , Ca^{++}**
But there isn't impossible for these to cross the membrane
- **Ion channels and ion pumps** allow ions to move into and out of the cell by **opening and closing** in response to voltage changes and to both internal and external signals.

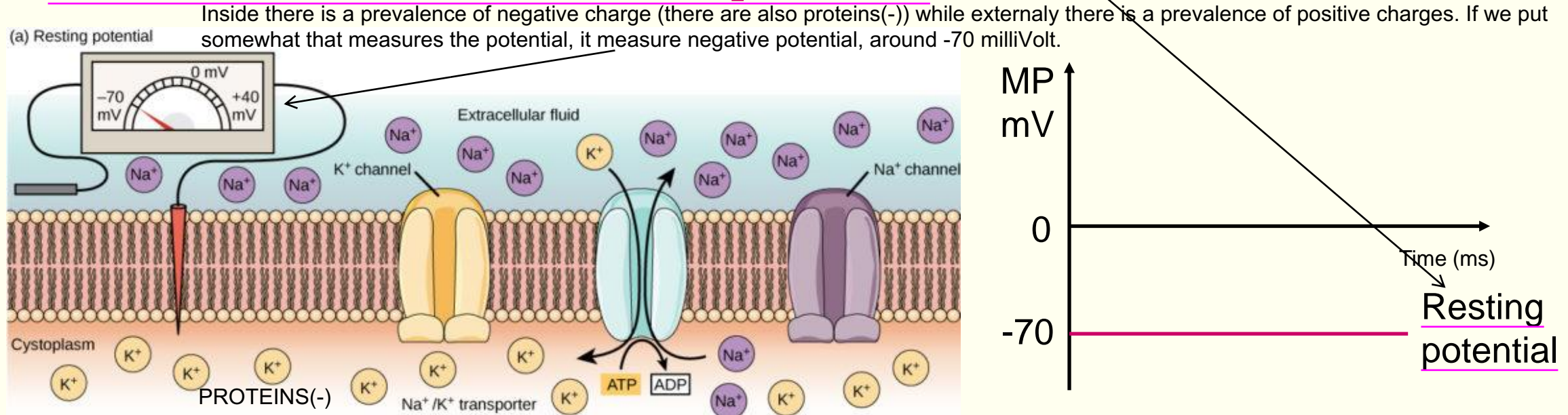
If you are curious about membrane transport mechanisms: <https://youtu.be/J5pWH1r3pgU> (not part of the course program)

It's the mean in which the neuron performs its functions. It's based on 2 electrical signals related to the membrane. They are the MEMBRANE CURRENT and MEMBRANE POTENTIAL which is a consequence of the first.

Membrane potential

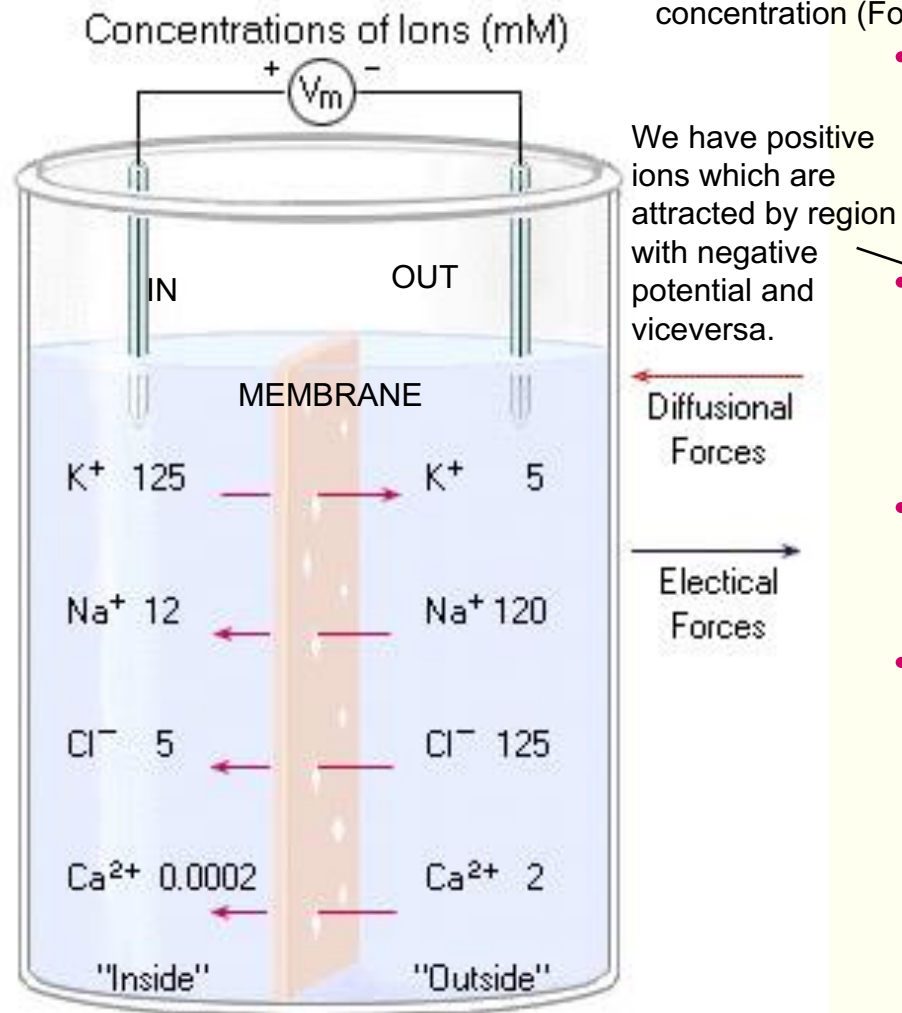
Membrane current: the membrane is electrical charged, when charged are in movement we have an electric current. In certain circumstances ions are free to move across the membrane. When they do this the ions cross the membrane, it means that current crosses the membrane. The current direction follows the sign of the ions. When info don't arrive, in the membrane we have an equilibrium in all the transport mechanisms (channel, pumps, ions movements) through the membrane, so we are in stable condition but the concentration of ions in and out the cell isn't the same.

- It's the **difference in electrical potential** between the interior of a neuron and the surrounding extracellular fluid
- It is due to the different ion concentrations on the two ends of the membrane
One of the role of proteins is to maintain the resting potential (for ex. the ion pumps: it uses energy in the form of ATP, and it uses energy. They keep the resting potential or go back to this).
- At rest (unperturbed membrane) it's around **-70 mV**
- The cell membrane is said to be **polarized**



Membrane potential at rest and electrochemical equilibrium

If I have 2 solutions and a membrane between them and different concentration in an out the membrane of a substance, I have a force that flows from the higher concentration to the lower. This is the **DIFFUSIONAL FORCE**. the aim of this force is to reduce this difference and to reach the same concentration (For ex. the sugar is mix completely with water).



- **Diffusional forces**: due to the **chemical gradient** (different concentrations of ions in the intra- and extra-cellular fluids)
- **Electrical forces**: positive ions are attracted toward the region with a negative potential, and vice versa (**electrical gradient**)
- The sum and balance of diffusional and electrical forces leads to an **equilibrium**
- The equilibrium is given by the Nernst equation:

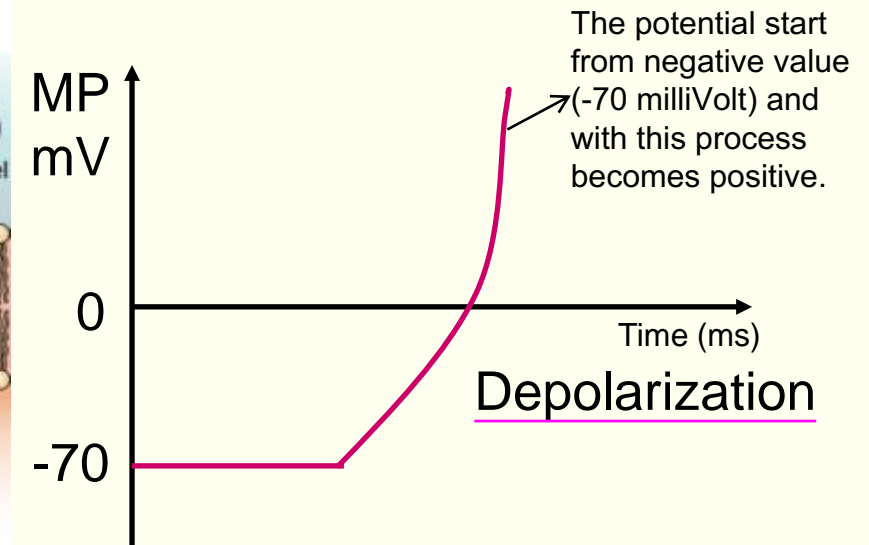
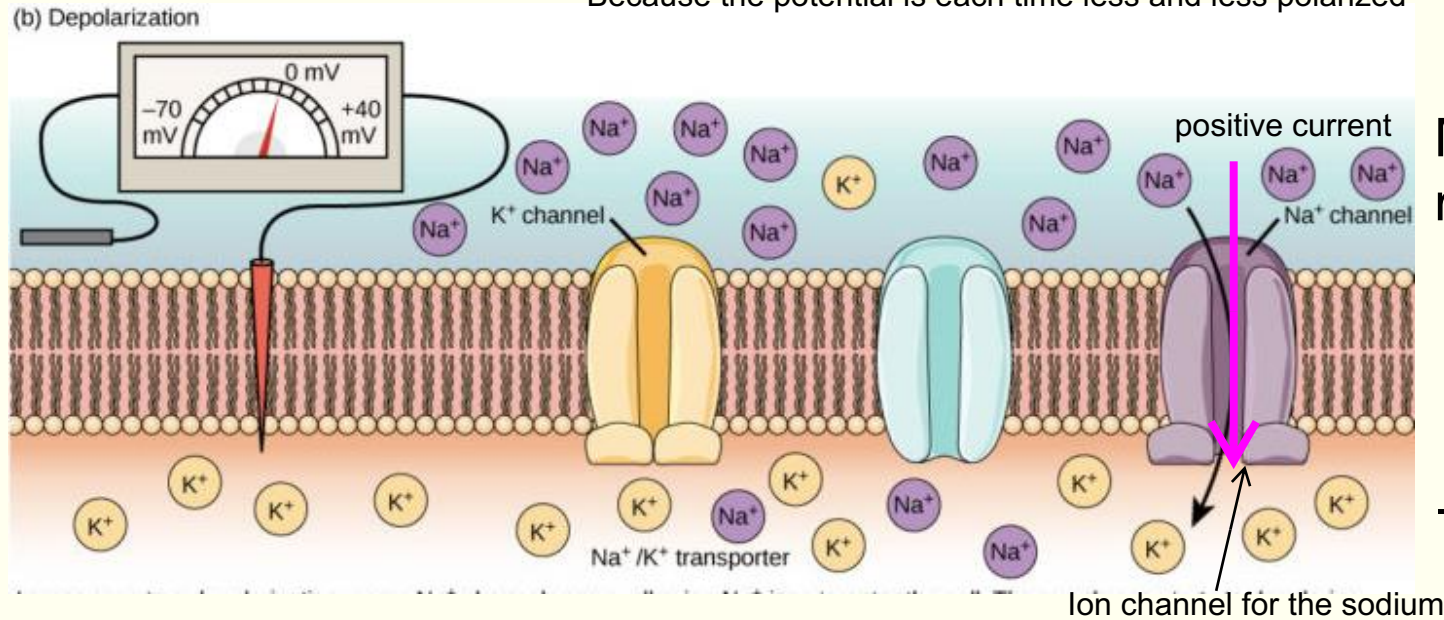
$$\Delta\mu = RT \ln \frac{[X]_A}{[X]_B} + zF(E_A - E_B)$$

Membrane depolarization

- Current in the form of **positively charged ions** flowing **into** the cell (or **negatively charged ions** flowing **out of** the cell) makes the membrane potential **less negative** or even **positive** → membrane **depolarization**

The sodium has more concentration out of the cell with respect to the in. When the gate is open and sodium can cross the membrane, positive charges move to the region with higher concentration to the region with lower concentration. At rest, the current through the membrane is zero and membrane potential is constant. The positive current moves the ions to the positive region into a region that has more negative charge. The membrane potential becomes less negative, because positive charges are in the negative zone.

Because the potential is each time less and less polarized

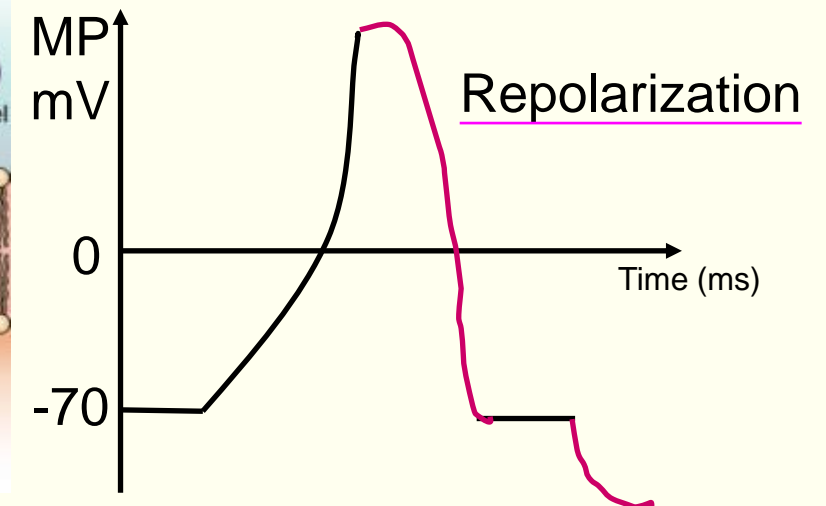
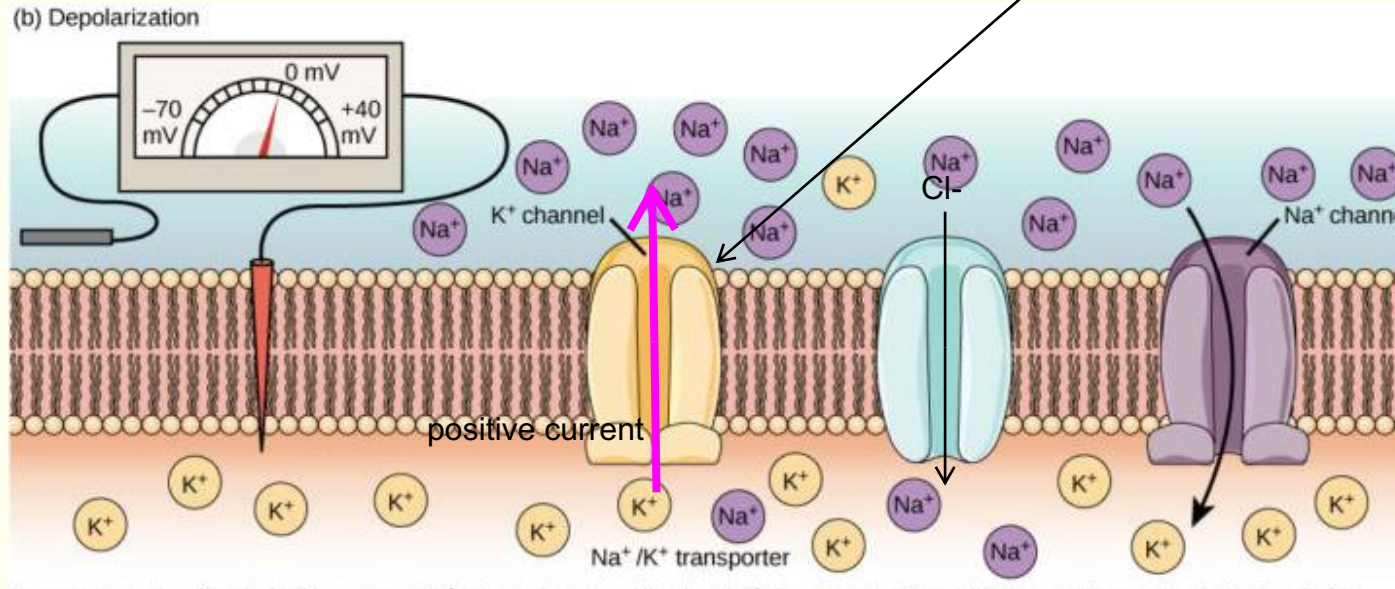


Membrane hyperpolarization

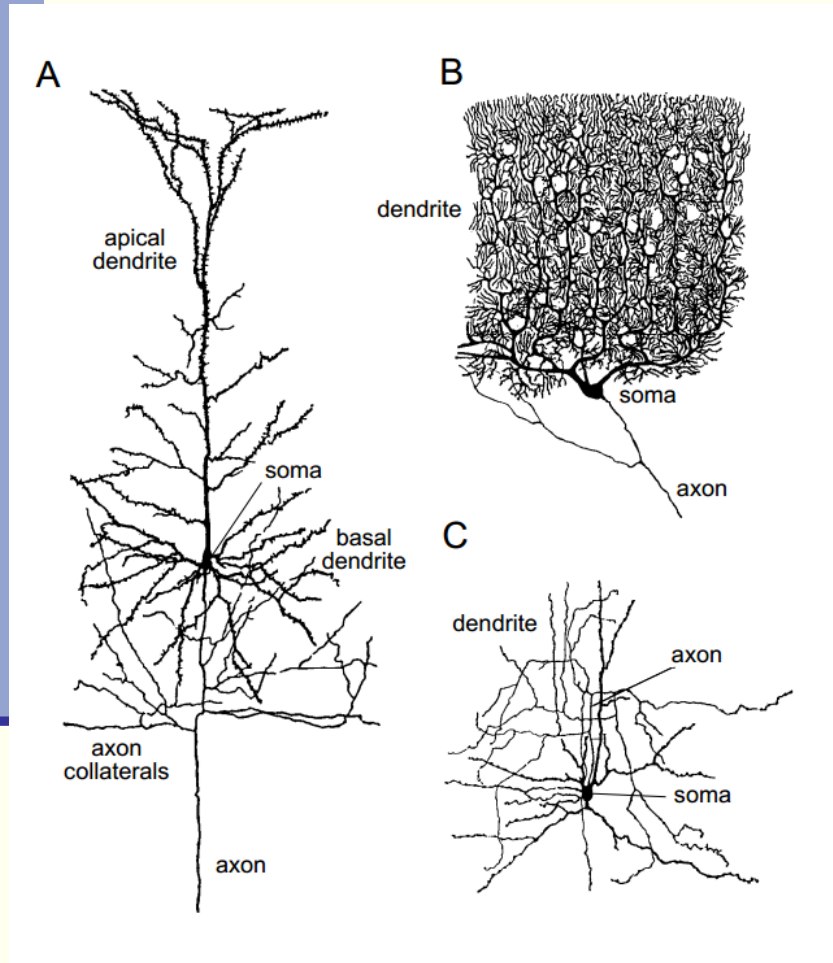
- Current in the form of **positively charged ions flowing out of the cell** (or **negatively charged ions flowing into the cell**) makes the membrane potential **more negative** → membrane **hyperpolarization/repolarization**

A different case is that of potassium. It has more concentration in the cell with respect to the out. When the gate is open the current move the charges from the internal to the external, so the potential becomes more negative, because we are removing positive charges.

When we have chlorine Cl^- , that is a negative ion, when the current moves this from the external of the cell to the internal, what happens is exactly the same. The negative charges enter the cell and the membrane potential becomes more negative



1 - Dendritic tree



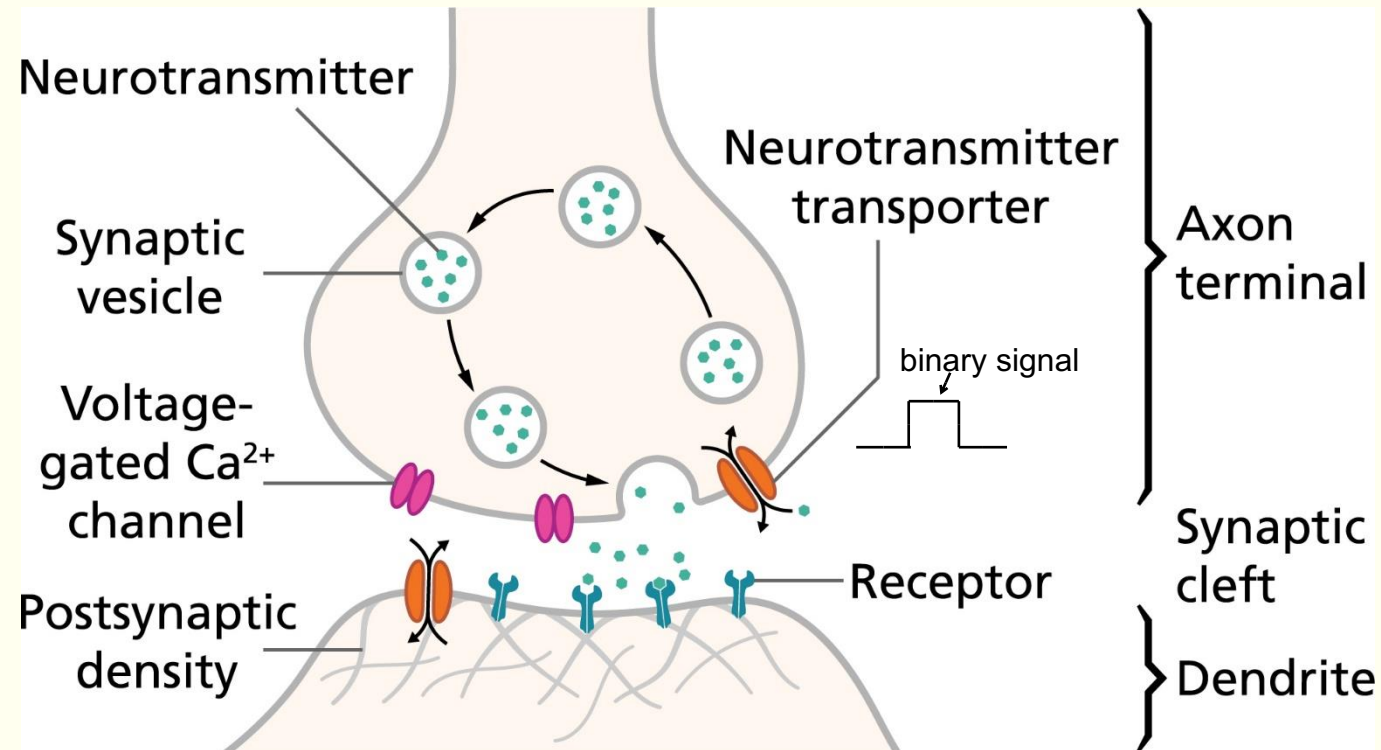
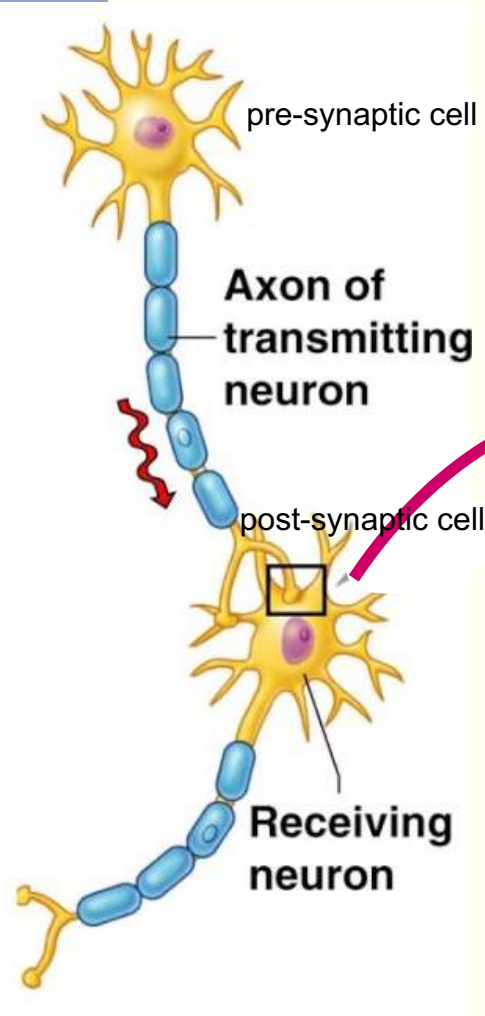
- **Collects** information from other neural cells/receptors through synaptic connections
- 1/100 thousands inputs for each cell
- **Summation** effects (in time and space)

How binary output signal is traduced into non binary input signal? This happens by means of synapses. They are the parts where synapses communicate and are connected.

1- Synapses

The PRE cell is the neuron which is sending the binary output and the POST is the neuron which is receiving this whit output of many other cells. What happens in the synapses? Here ther is a translation of the electrical signal into a chemical signal, and then again into an electrical signal.

When the binary signal arrives here, each time the signal is equal to 1 (if it is zero nothing happens) the pre-synaptic neuron realize a chemical transmitter which is called neurotransmitter (it is like the key to open the gate). When the gate is open an ion curren cross it.

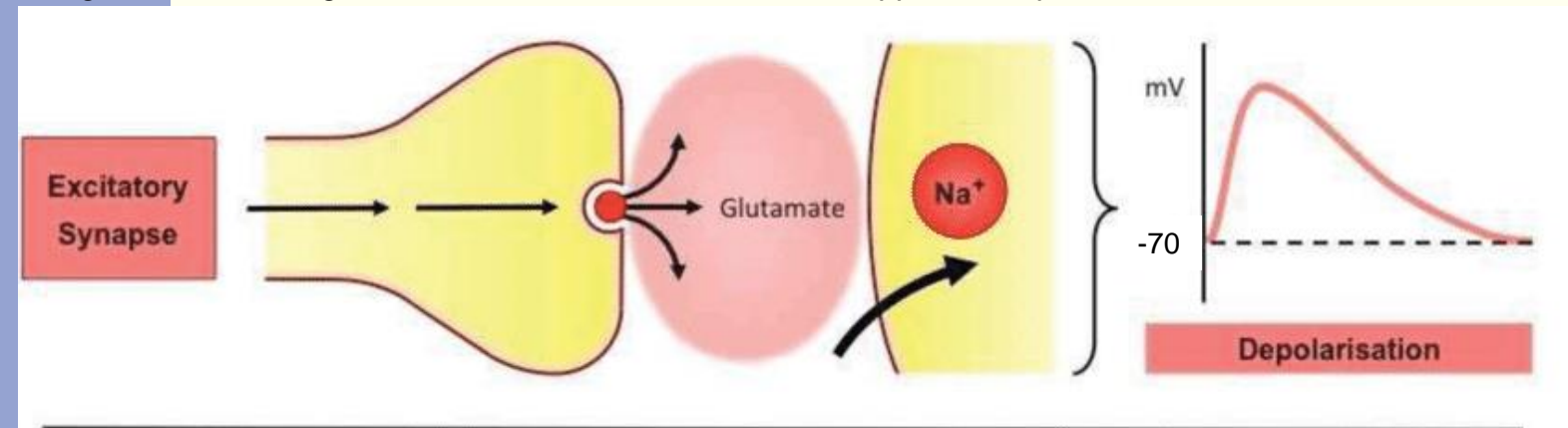


Chemical signals (mediated by neurotransmitters) cause the opening of specific **ion gate channels**

The gates are open for few milliseconds and then they close. The synapses cannot be both excitatory and inhibitory.

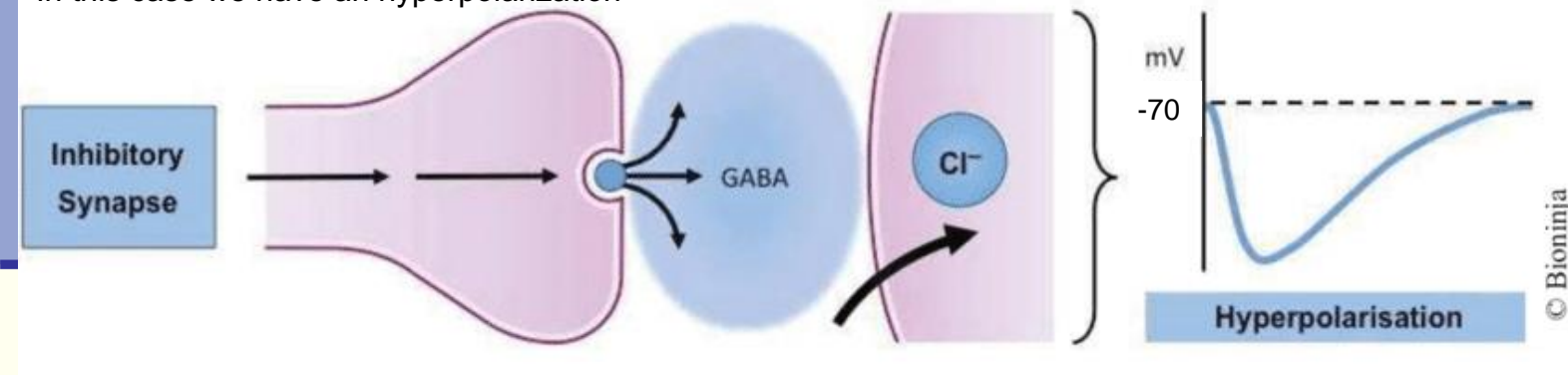
1 - Excitatory and inhibitory synapses

If the gate is a sodium gate, we will have a sodium current. Happens a depolarization



- **Excitatory** Post-Synaptic Potential (EPSP) → depolarisation

In this case we have an hyperpolarization

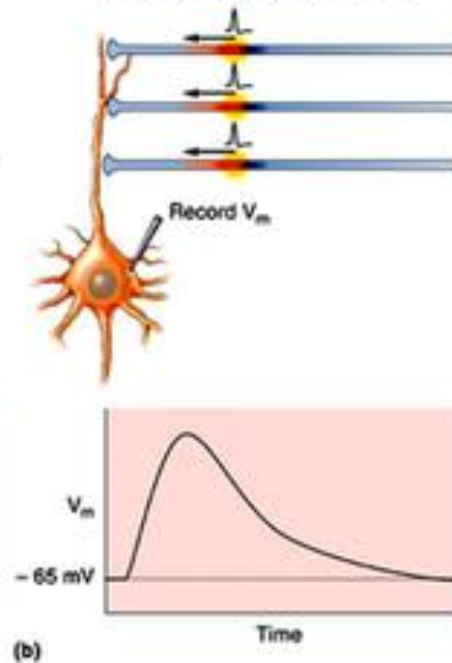
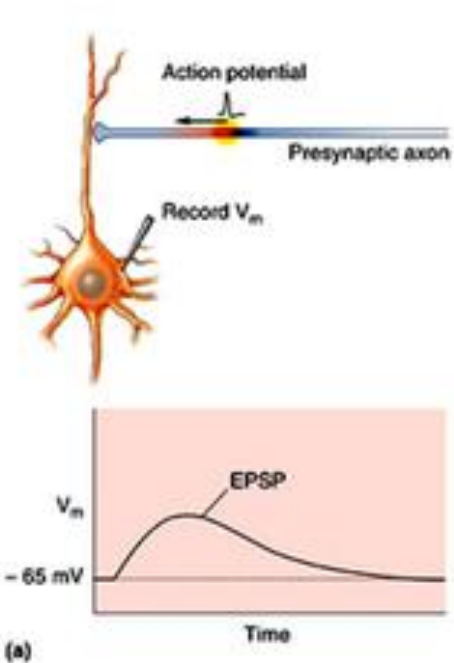


- **Inhibitory** Post-Synaptic Potential (IPSP) → hyperpolarization

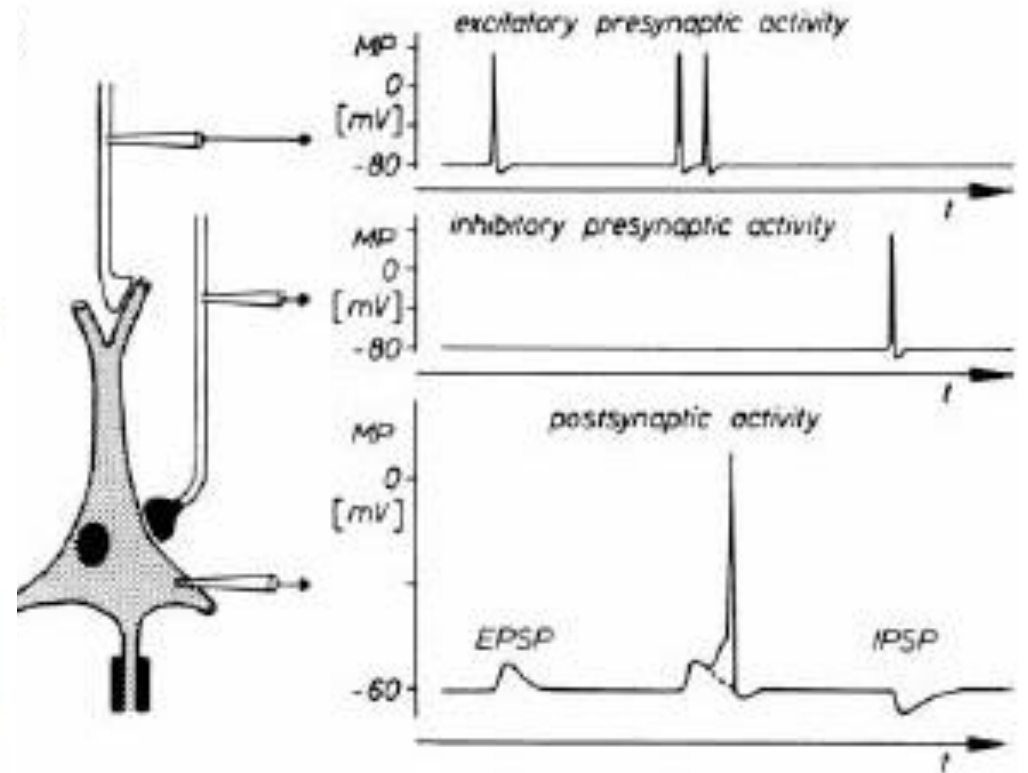
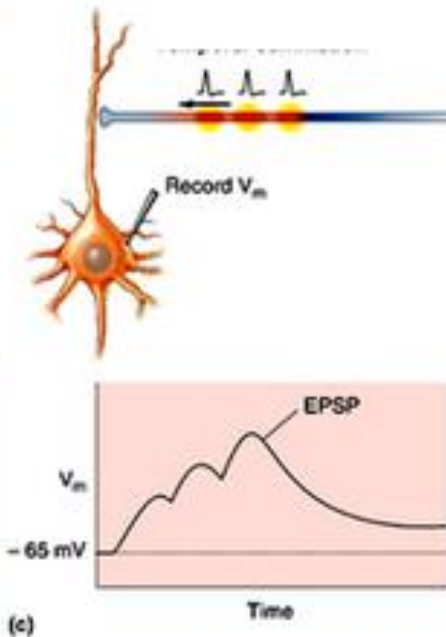
Excitatory and inhibitory to what? To the neuron response!

2 - Summation of PSP

Spatial summation:
several different pre-synaptic neurons firing
(at same time) at
different synapses



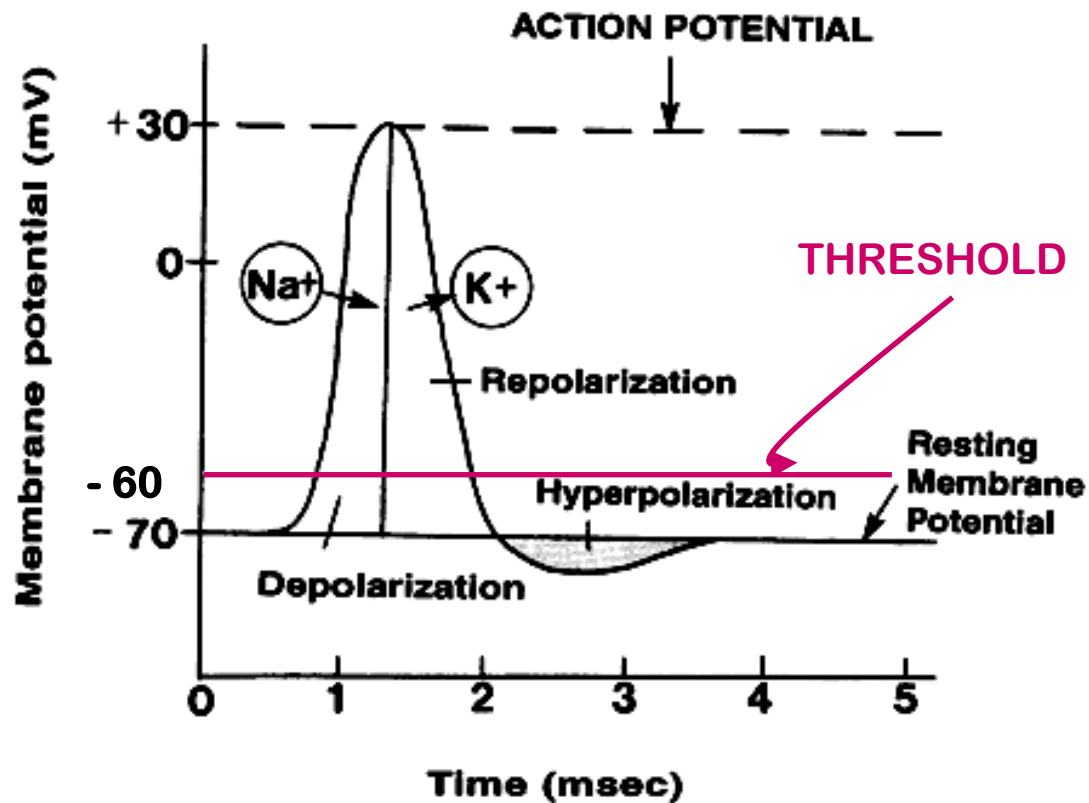
Temporal summation:
same or nearby pre-synaptic neuron firing
multiple times in close
succession



2- Integration of the information

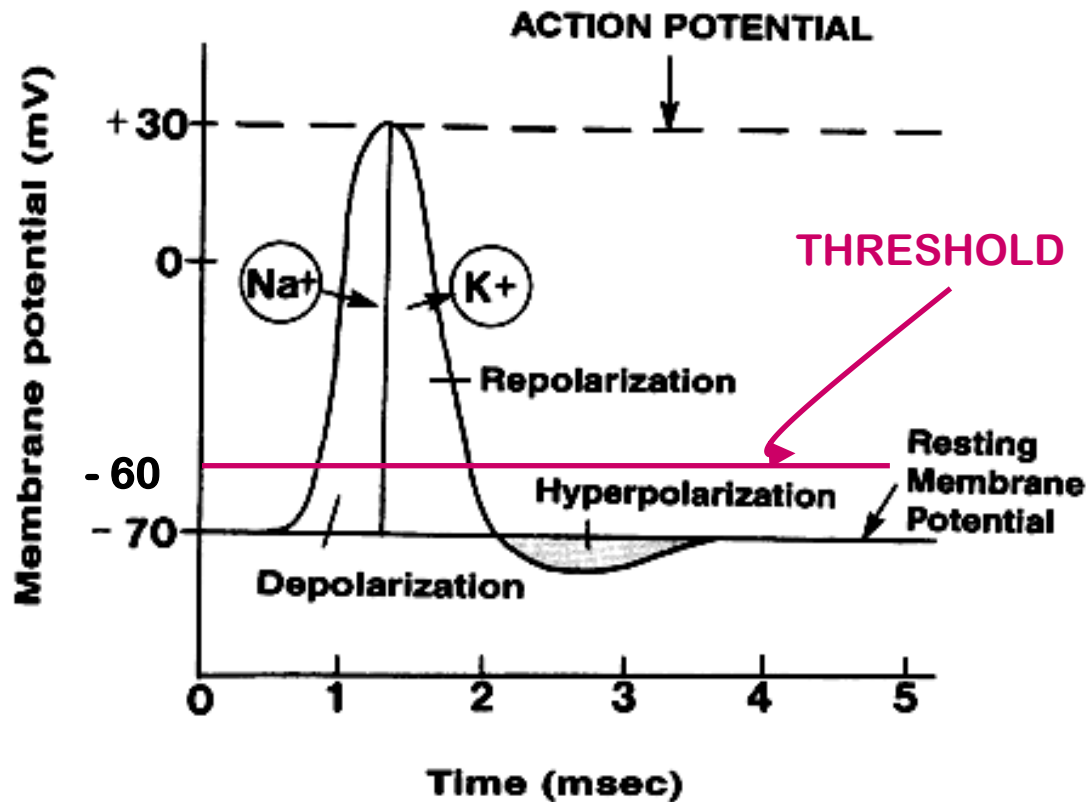
- The information processing by the neuron consists of the **summation** (with sign) of **EPSPs** and **IPSPs**
- The results is **propagated** along the membrane up to the **axon hillock**
- According to the result, the cell may (or not) fire an **action potential**

3 - Action potential

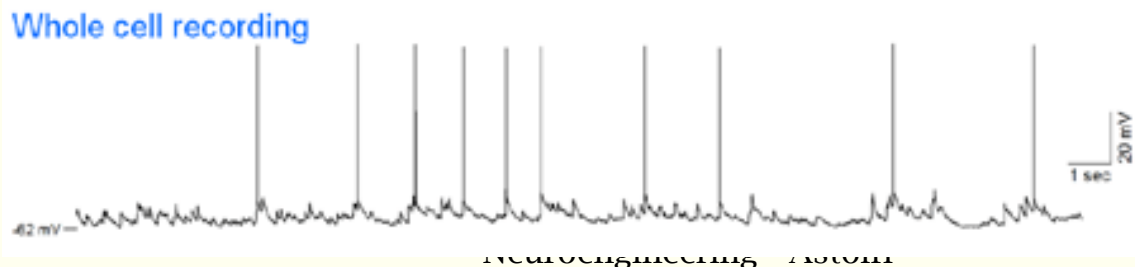


- If a neuron is **depolarized** sufficiently to raise its membrane potential **above a threshold level**, the neuron generates an **action potential**
- It's a fast variation of the membrane potential
- Fast **depolarization**, followed by a fast **repolarization** and then an undershoot (**hyperpolarization**) before returning to the resting potential

3 - Action potential

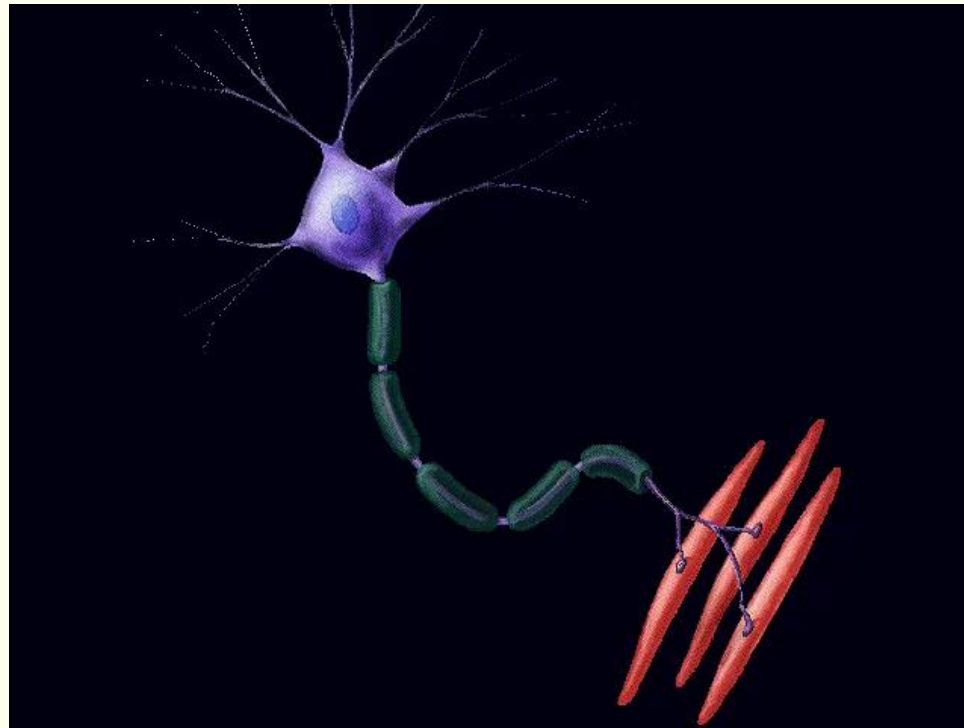


- All-or-none signal:
 - Same shape
 - Same duration (2 ms)
 - Same amplitude (100 mV)
 - Very fast, very intense: similar to a **spike**
 - The information is not in its shape, but in the moment it appears: **binary output** (spike trains)
 - It's propagated to the synaptic button (next synapses)



3 - Propagation of the axon potential

- Action potentials travel down nerve fibers at high speed and are propagated rapidly over large distances (centimeters)



Self-evaluation

1. What are the 3 main functions of the neural cell?
2. What are the four main ion families having a role in the neuron functioning?
3. How is the resting membrane potential determined? What value does it assume?
4. How is the membrane potential modified by an excitatory synapse? And by an inhibitory one?
5. What's the difference between temporal and spatial summation? Can they occur simultaneously?
7. Why is a depolarizing post-synaptic potential called “excitatory”?
8. What is the use of an inhibitory PSP?

Self-evaluation

9. Do we have to measure the amplitude and duration of an action potential each time it occurs to understand the cell behavior?
10. Which parameter of the spike train in output to a neuronal cell is the most informative:
 - A. The amplitude of the spikes
 - B. The spatial position in which the spikes are generated
 - C. The temporal distance between spikes
11. What will the frequency of the spikes influence:
 - A. The temporal summation of the PSPs
 - B. The spatial summation of the PSPs
 - C. The amplitude of the resulting action potential in the post-synaptic cell