

## Week 8: Big Steps, Liveness and Correctness

We first spend some more time practicing with the While language and its big step semantics with some exercises on programming, on derivations and with some proofs on the language as a whole. We then practice safety and correctness reasoning looking at the properties of some interesting While programmes.

Finally, we consider an extension of the language which "goes wrong" in different ways, and consider what that means for the While language semantics.

Familiarity with the mechanics of While derivations is a must, so keep practicing those until you confidently get them. Proofs on the language, and proofs of safety and correctness, are useful to master to reach for first class marks. Understanding how programmes written in the WhileDiv language go wrong will be useful only for very top achievers.

### While practice

In the following, feel free to make use of past results—especially on associativity of sequential composition—when they make derivations less unwieldy. When doing so, be explicit about the fact that you are applying a known result.

- \* 1. Write a programme `exp` in the While language such that, for any state  $\sigma$  with  $0 \leq \sigma(n)$  we have

$$\langle \text{exp}, \sigma \rangle \Downarrow \sigma'$$

for some state  $\sigma'$  such that  $\sigma'(x) = \sigma(x)$ ,  $\sigma'(n) = \sigma(n)$  and  $\sigma'(r) = \sigma(x)^{\sigma(n)}$ .

You do not (yet) need to prove termination or correctness. Choose one initial state  $\sigma_{in}$  that meets the condition above and one initial state  $\sigma_{out}$  that doesn't, and construct derivations for  $\langle \text{exp}, \sigma_{in} \rangle \Downarrow \sigma'$  and  $\langle \text{exp}, \sigma_{out} \rangle \Downarrow \sigma'$  if they exist. (You may wish to carefully select the initial values of  $n$ .)

- \*\* 2. Write a programme `factorial` in the While language such that, for any state  $\sigma$  with  $0 \leq \sigma(n)$  we have

$$\langle \text{factorial}, \sigma \rangle \Downarrow \sigma'$$

for some state  $\sigma'$  such that  $\sigma'(n) = \sigma(n)$  and  $\sigma'(r) = \sigma(n)!$ .

You do not (yet) need to prove termination or correctness. Choose one initial state  $\sigma_{in}$  that meets the condition above and one initial state  $\sigma_{out}$  that doesn't, and construct derivations for  $\langle \text{factorial}, \sigma_{in} \rangle \Downarrow \sigma'$  and  $\langle \text{factorial}, \sigma_{out} \rangle \Downarrow \sigma'$  if they exist. (You may wish to carefully select the initial values of  $n$ .)

- \*\* 3. Consider the following derivation “shapes”. For each, find two distinct programmes  $s$  and  $s'$  and states  $\sigma$  and  $\sigma'$  such that the derivations for  $\langle s, \sigma \rangle \Downarrow \sigma'$  and  $\langle s', \sigma \rangle \Downarrow \sigma'$  match the given shape. In each case, give the relation or fact that appears in the spot marked with “?” in the derivation shape.

$$\begin{array}{c}
 1. \quad \frac{\frac{(BAss) \text{ — } \quad (BWhile_{\perp}) \text{ — } ?}{\dots} \quad (BSeq) \text{ — } \dots}{\dots} \\
 \\
 2. \quad \frac{(BSkip) \text{ — } ? \quad (BAss) \text{ — } \dots}{(BSeq) \text{ — } \dots}
 \end{array}$$

- \*\* 4. Prove that, for any statement  $s$  and any states  $\sigma$  and  $\sigma'$ , we have

$$\langle s, \sigma \rangle \Downarrow \sigma' \Leftrightarrow \langle s; , \sigma \rangle \Downarrow \sigma'$$

(Recall that  $s;$  is linear notation for the tree  $; (s, \text{skip})$ .)

- \*\*\* 5. Write a programme `pingala` in the `While` programming language, which computes the  $n$ th Pingala number  $p(n)$ —with  $p$  as defined below, where  $n$  is the value of variable `n` in the initial state.<sup>a</sup> The value of  $p(n)$  should be, in the final state, stored in variable `r`. You may, if needed, assume that the initial value of `n` is non-negative. You may also, if needed, use variables other than `n` and `r` without worry.

$$p(n) = \begin{cases} 0 & \text{if } n \leq 0 \\ 1 & \text{if } n = 1 \\ p(n-2) + p(n-1) & \text{otherwise} \end{cases}$$

You do not (yet) need to prove termination or correctness. Choose one initial state  $\sigma_{in}$  that meets the condition above and one initial state  $\sigma_{out}$  that doesn't, and construct derivations for  $\langle \text{pingala}, \sigma_{in} \rangle \Downarrow \sigma'$  and  $\langle \text{pingala}, \sigma_{out} \rangle \Downarrow \sigma'$  if they exist. (You may wish to carefully select the initial values of `n`.)

Hint: First work out the logic of turning the recursive definition into an iterative programme, then write it as a programme. Our language is restricted enough that it's a bit painful to do directly. (“A bit” is a bit of an understatement.)

<sup>a</sup>These are commonly known as Fibonacci number. Indian mathematician and poet Pingala first wrote about them about 2000 years before Fibonacci.

- \*\*\* 6. Prove that our  $\Downarrow$  relation is a partial function. Namely, show that, for any statement  $s$  and any states  $\sigma_0$ ,  $\sigma$  and  $\sigma'$ , if we have both  $\langle s, \sigma_0 \rangle \Downarrow \sigma$  and  $\langle s, \sigma_0 \rangle \Downarrow \sigma'$ , then  $\sigma = \sigma'$ . Hint: If induction over derivation trees is too intimidating, you may find that a mathematical induction over the depth/height of the derivation will do.

## Safety and Correctness

- \*\* 7. For as many of the programmes from questions 1, 2 and 5 as you feel is necessary for you to practice this, find:
1. if it exists, a configuration that leads to non-termination, and a loop invariant that proves non-termination; (recall that it needs to hold when we enter the loop, be preserved by the loop, and imply the loop condition)
  2. a configuration that leads to a terminating execution, and a loop variant that proves termination. (Recall that its value needs to be decreased strictly by the loop's body, and must be bounded from below when decreased by the loop.)
- \*\*\* 8. Generalise your answers from the previous question as much as possible—identifying *sets* of initial states that lead to non-termination and termination, keeping your loop invariants and variants in mind.
- \*\*\* 9. For as many of the programmes from question 1, 2 and 5 as you feel is necessary for you to practice this skill, find a loop invariant that can be used to prove (partial) correctness of the programme for all initial states identified in the relevant questions.

## Extending the While language

We now extend the While language with arithmetic operators for division ( $\div$ ) and remainder ( $\%$ ). We call this new language WhileDiv. However, we hate dividing by zero as much as the next language designer.

- \* 10. Define the syntax of arithmetic and boolean expressions, and that of statements in the WhileDiv language. The only meaningful change will be the addition of the two binary operators ( $\div$  and  $\%$ ) to the syntax of arithmetic expressions. These are left-associative and have the same priority as  $*$ .
- \*\* 11. Define, using derivation rules or functional notation, the semantics of WhileDiv's arithmetic and boolean expressions as a total function in  $\mathcal{A} \rightarrow \text{State} \rightarrow \mathbb{Z} \cup \{\star\}$ , where  $\star$ —a big explosion, obviously—is the value of any expression that includes a division (or remainder) by 0, and the value of any expression that does not include a division by 0 is defined as expected. The semantics of  $\div$  and  $\%$  with negative numerators or denominators should be defined, but is up to you. Some definitions make more sense than others. (For example, it is often convenient to have  $\llbracket (-A_1) \div (-A_2) \rrbracket^{\mathcal{B}}(\sigma) = \llbracket A_1 \div A_2 \rrbracket^{\mathcal{B}}(\sigma)$ .)
- \*\*\* 12. Define, using derivation rules or functional notation, the semantics of WhileDiv's statements as a partial function in  $\mathcal{S} \rightarrow \text{State} \rightarrow \mathbb{Z} \cup \{\star\}$ , where  $\star$  denotes the fact that an execution that tries to evaluate a division by 0 “goes wrong” (or “explodes”). Executions that do not divide by 0 should never terminate with  $\star$ .
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13. Show that any WhileDiv programme in which all division and remainder operations have non-zero integer literals as denominators never explodes.