

TYPES AND λ -CALCULUS

Problem Sheet 5

Questions 3 and 4 will be marked.

The **pure, untyped λ -calculus** is the subset of PCF that does not include *any* constants. That is, *pure* terms are defined by the following grammar:

$$M, N ::= x \mid (M N) \mid (\lambda x. M)$$

In this problem sheet we are going to show that we can actually *define* a version of each PCF constant: Z, S, pred, ifz and fix, rather than considering them to be “baked-in” to the language. Each will be just an abbreviation for a *pure* λ -term.

The **Church numeral** for the number n , abbreviated $\ulcorner n \urcorner$, is:

$$\lambda f x. \underbrace{f(\cdots(f x)\cdots)}_{n\text{-times}}$$

In other words, the Church numeral for n is the pure term that takes a function f and an argument x and iterates f n -times on x . Let’s list the first natural numbers, using Church numerals:

$$\begin{aligned} \ulcorner 0 \urcorner &= \lambda f x. x \\ \ulcorner 1 \urcorner &= \lambda f x. f x \\ \ulcorner 2 \urcorner &= \lambda f x. f (f x) \\ \ulcorner 3 \urcorner &= \lambda f x. f (f (f x)) \\ \ulcorner 4 \urcorner &= \lambda f x. f (f (f (f x))) \\ &\vdots \end{aligned}$$

We can define this formally (without the need to resort to \cdots), if we first define an auxilliary function $\text{iter} : \text{Var} \times \text{Var} \rightarrow \mathbb{N} \rightarrow \Lambda$ which takes a pair of variables and a natural number and delivers a pure term.

$$\text{iter}(f, x)(0) = x \tag{1}$$

$$\text{iter}(f, x)(m + 1) = f (\text{iter}(f, x)(m)) \tag{2}$$

We have, for example, $\text{iter}(f, x)(3) = f(f(f x))$. Then we can define Church Numerals by:

$$\ulcorner n \urcorner = \lambda f x. \text{iter}(f, x)(n)$$

So that we get $\ulcorner 3 \urcorner = \lambda f x. \text{iter}(f x)(3) = \lambda f x. f(f(f x))$, as expected.

We are going to replace our numerical constants by abbreviations using pure terms that manipulate Church numerals. To get started, let's define:

$$\underline{0} = \ulcorner 0 \urcorner$$

$$\underline{S} = \lambda n. \lambda f x. f(n f x)$$

** 1.

- (a) Using induction on n , prove that, for all $n \in \mathbb{N}$, $\ulcorner n \urcorner g y \approx \text{iter}(g, y)(n)$.
- (b) Use this to show that, for all $n \in \mathbb{N}$, $\underline{S} \ulcorner n \urcorner \approx \ulcorner n + 1 \urcorner$.

Solution

- (a) By induction on $n \in \mathbb{N}$.

- When $n = 0$, $\ulcorner 0 \urcorner g y = (\lambda f x. x) g y \triangleright^* y = \text{iter}(g, y)(0)$.
- When n is of shape $k + 1$, assume the induction hypothesis:

$$\ulcorner k \urcorner g y \approx \text{iter}(g, y)(k)$$

Then reason equationally:

$$\begin{aligned} \ulcorner k + 1 \urcorner g y &= (\lambda f x. \text{iter}(f, x)(k + 1)) g y \\ &= (\lambda f x. f(\text{iter}(f, x)(k))) g y \\ &\approx (\lambda f x. f(\ulcorner k \urcorner f x)) g y \\ &\approx g(\ulcorner k \urcorner g y) \\ &\approx g(\text{iter}(g, y)(k)) \\ &= \text{iter}(g, y)(k + 1) \end{aligned}$$

Where we use the induction hypothesis twice: in different directions in the third and fifth lines.

- (b) Let n be a natural number. We reason as follows:

$$\begin{aligned} \underline{S} \ulcorner n \urcorner &\approx \lambda f x. f(\ulcorner n \urcorner f x) \\ &\approx \lambda f x. f(\text{iter}(f, x)(n)) \\ &= \lambda f x. \text{iter}(f, x)(n + 1) \\ &= \ulcorner n + 1 \urcorner \end{aligned}$$

** 2. Construct a *pure* term ifz satisfying, for all natural numbers $n \in \mathbb{N}$, all M, N :

$$\begin{aligned}\underline{\text{ifz}} \ulcorner 0 \urcorner M N &\approx M \\ \underline{\text{ifz}} \ulcorner n + 1 \urcorner M N &\approx N\end{aligned}$$

Solution

$$\underline{\text{ifz}} = \lambda x y z. x (\lambda z_1. z) y$$

** 3. The predecessor is somewhat more difficult to define as a pure term. We will need to recall that tuples and their projections, as we defined them, are themselves pure terms.

Consider the following Haskell program pred' on natural numbers.

$$\text{pred}' n = \text{fst} (\text{foldn } n \text{ incr } (0, 0))$$

where

$$\text{incr } (n, 0) = (n, 1)$$

$$\text{incr } (n, 1) = (n + 1, 1)$$

$$\text{foldn } 0 f x = x$$

$$\text{foldn } n f x = f (\text{foldn } (n - 1) f x)$$

- (a) What is the result of computing $\text{foldn } 3 \text{ incr } (0, 0)$?
- (b) What is the result of computing $\text{foldn } 4 \text{ incr } (0, 0)$?
- (c) Following the same strategy as the Haskell program, construct a *pure* term pred that satisfies:

$$\underline{\text{pred}} \ulcorner 0 \urcorner \approx \ulcorner 0 \urcorner \quad \text{and} \quad \underline{\text{pred}} \ulcorner k + 1 \urcorner \approx \ulcorner k \urcorner$$

Hint: Compare the behaviour of $\text{foldn } n$ and $\ulcorner n \urcorner$.

Solution

- (a) (2,1)
- (b) (3,1)
- (c) Let incr be the term:

$$\lambda p. \underline{\text{ifz}} (\text{proj}_2^2 p) (\text{proj}_1^2 p, \ulcorner 1 \urcorner) (\underline{\text{S}} (\text{proj}_1^2 p), \ulcorner 1 \urcorner)$$

Then we can define $\underline{\text{pred}} = \lambda n. \text{proj}_1^2 (n \text{ incr } (\ulcorner 0 \urcorner, \ulcorner 0 \urcorner))$.

4. We have already seen in the previous problem sheet the pure term \underline{Y} which can be used as a fixed point combinator in place of fix .

(a) Use this to define a *pure* term addcn which satisfies:

$$\begin{aligned}\text{addcn } \ulcorner 0 \urcorner \ulcorner m \urcorner &\approx \ulcorner m \urcorner \\ \text{addcn } \ulcorner n + 1 \urcorner \ulcorner m \urcorner &\approx \underline{S} (\text{addcn } \ulcorner n \urcorner \ulcorner m \urcorner)\end{aligned}$$

(b) Prove, by induction on $n \in \mathbb{N}$ that, therefore, addcn satisfies:

$$\text{addcn } \ulcorner n \urcorner \ulcorner m \urcorner \approx \ulcorner n + m \urcorner$$

Do not unpack your definition of addcn . Instead, just use the two equations from part (a). As long as you used the recipe correctly, your definition will be guaranteed to satisfy these two equations - that is the whole point of following the recipe!

Solution

(a) $\underline{Y}(\lambda f x y. \underline{\text{ifz}} x y (\underline{S}(f(\underline{\text{pred}} x) y)))$

(b) By induction on n :

- When $n = 0$, our goal is to show $\text{addcn } \ulcorner 0 \urcorner \ulcorner m \urcorner \approx \ulcorner 0 + m \urcorner = \ulcorner m \urcorner$, and this is just the first equation given in (a).
- When n is of shape $k + 1$, our goal is to show that

$$\text{addcn } \ulcorner k + 1 \urcorner \ulcorner m \urcorner \approx \ulcorner k + 1 + m \urcorner$$

Assume the induction hypothesis:

$$\text{addcn } \ulcorner k \urcorner \ulcorner m \urcorner \approx \ulcorner k + m \urcorner$$

We reason equationally, using the second of the two equations given in (a), the induction hypothesis and the equation from Q1:

$$\begin{aligned}\text{addcn } \ulcorner k + 1 \urcorner \ulcorner m \urcorner &\approx \underline{S} (\text{addcn } \ulcorner k \urcorner \ulcorner m \urcorner) \\ &\approx \underline{S} \ulcorner m + k \urcorner \\ &\approx \ulcorner m + k + 1 \urcorner\end{aligned}$$

Equipped with our pure abbreviations for all of the constants, we are now in a position to define also a version of $\underline{\text{mult}}$ that works on Church numerals, a version of $\underline{\text{leq}}$ and so on. By doing so, we can build a translation from While programs to *pure* terms, following the same pattern as we have done for PCF terms, except that λ -definability will use Church numerals rather than PCF numerals. Then we will have shown that the pure λ -calculus is also Turing-complete.