

# TYPES AND $\lambda$ -CALCULUS

## Problem Sheet 6

Questions 1 and 3(c) will be marked.

\* 1. Give a type derivation/proof tree for the judgements:

- (a)  $\vdash (\lambda x. x) \underline{2} : \text{Nat}$
- (b)  $x : \text{Nat}, y : \text{Nat} \vdash \text{ifz } y \ x \ (\text{pred } x) : \text{Nat}$
- (c)  $\vdash \lambda xy. yxx : a \rightarrow (a \rightarrow a \rightarrow b) \rightarrow b$
- (d)  $\vdash \lambda xyz. y(xz) : (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow a \rightarrow c$

Solution

- (a) I have to split up the derivation to keep it on the page. First let  $\delta$  be the following derivation of  $\vdash \underline{2} : \text{Nat}$ .

$$\frac{\frac{}{\vdash S : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{\frac{}{\vdash S : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\vdash \underline{0} : \text{Nat}} (\text{TCst})}{\vdash \underline{1} : \text{Nat}} (\text{TApp})}{\vdash \underline{2} : \text{Nat}} (\text{TApp})$$

Then we extend this as follows:

$$\frac{\frac{\frac{}{x : \text{Nat} \vdash x : \text{Nat}} (\text{TVar})}{\vdash (\lambda x. x) : \text{Nat} \rightarrow \text{Nat}} (\text{TAbs}) \quad \delta}{\vdash (\lambda x. x) \underline{2} : \text{Nat}} (\text{TApp})$$

- (b) I have to split the derivation up so it fits onto the page. With  $\Gamma = \{x : \text{Nat}, y : \text{Nat}\}$ . First, let  $\delta$  be this derivation of  $\Gamma \vdash \text{ifz } y \ x : \text{Nat} \rightarrow \text{Nat}$ :

$$\frac{\frac{\frac{}{\Gamma \vdash \text{ifz} : \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\Gamma \vdash y : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{ifz } y : \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} (\text{TApp}) \quad \frac{}{\Gamma \vdash x : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{ifz } y \ x : \text{Nat} \rightarrow \text{Nat}} (\text{TApp})$$

Then we extend this derivation  $\delta$  as follows:

$$\frac{\delta \quad \frac{\frac{}{\Gamma \vdash \text{pred} : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\Gamma \vdash x : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{pred } x : \text{Nat}} (\text{TApp})}{\Gamma \vdash \text{ifz } y \ x \ (\text{pred } x) : \text{Nat}} (\text{TApp})$$

(c) With  $\Gamma = \{x : a, y : a \rightarrow a \rightarrow b\}$ :

$$\frac{\frac{\frac{}{\Gamma \vdash y : a \rightarrow a \rightarrow b} (\text{TVar}) \quad \frac{}{\Gamma \vdash x : a} (\text{TVar})}{\Gamma \vdash yx : a \rightarrow b} (\text{TApp}) \quad \frac{}{\Gamma \vdash x : a} (\text{TApp})}{\Gamma \vdash yxx : b} (\text{TApp})$$

$$\frac{x : a \vdash \lambda y. yxx : (a \rightarrow a \rightarrow b) \rightarrow b}{\vdash \lambda xy. yxx : a \rightarrow (a \rightarrow a \rightarrow b) \rightarrow b} (\text{TAbs})$$

(d) With  $\Gamma = \{x : a \rightarrow b, y : b \rightarrow c, z : a\}$ :

$$\frac{\frac{}{\Gamma \vdash y : b \rightarrow c} (\text{Var}) \quad \frac{\frac{}{\Gamma \vdash x : a \rightarrow c} (\text{Var}) \quad \frac{}{\Gamma \vdash z : a} (\text{Var})}{\Gamma \vdash xz : b} (\text{App})}{\Gamma \vdash y(xz) : c} (\text{App})$$

$$\frac{x : a \rightarrow b, y : b \rightarrow c \vdash \lambda z. y(xz) : a \rightarrow c}{x : a \rightarrow b \vdash \lambda yz. y(xz) : (b \rightarrow c) \rightarrow a \rightarrow c} (\text{Abs})$$

$$\frac{x : a \rightarrow b \vdash \lambda yz. y(xz) : (b \rightarrow c) \rightarrow a \rightarrow c}{\vdash \lambda xyz. y(xz) : (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow a \rightarrow c} (\text{Abs})$$

\*\* 2. Give terms  $M$  in normal form that satisfy each of the following (you are *not* required to justify them with a proof tree, but you may wish to so as to check your answer):

- (a)  $\vdash M : (a \rightarrow b) \rightarrow a \rightarrow b$
- (b)  $x : (a \rightarrow a) \rightarrow c \vdash M : c$
- (c)  $\vdash M : a \rightarrow b \rightarrow \text{Nat}$

Solution

- (a) e.g.  $\lambda x. x$  or perhaps  $\lambda xy. xy$
- (b) e.g.  $x(\lambda y. y)$
- (c) e.g.  $\lambda xy. \underline{2}$

\*\* 3. Use inversion to prove that the following terms are not typable:

- (a)  $\underline{1} (\lambda x. x)$
- (b)  $\text{pred} (\lambda x. x)$
- (c)  $\lambda xy. xy(yx)$

Solution

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- (a) Suppose  $\underline{1} (\lambda x. x)$  is typable and we look for a contradiction. Then, by definition, there is a type  $A$  such that  $\vdash \underline{1} (\lambda x. x) : A$ . By inversion, there is a type  $B$  such that:

- (i)  $\vdash \underline{1} : B \rightarrow A$  and
- (ii)  $\vdash (\lambda x. x) : B$

By inversion on (i), we must have that there is a type  $C$  such that:

- (a)  $\vdash S : C \rightarrow B \rightarrow A$  and
- (b)  $\vdash \underline{0} : C$

By inversion on (a),  $S : C \rightarrow B \rightarrow A$  is in  $\mathbb{C}$ , but this is impossible, because the only assignment to  $S$  in  $\mathbb{C}$  is  $\text{Nat} \rightarrow \text{Nat}$ .

- (b) Suppose  $\text{pred} (\lambda x. x)$  is typable and we look for a contradiction. Then, by definition, there is a type  $A$  and  $\vdash \text{pred} (\lambda x. x) : A$ . By inversion, there is a type  $B$  and:

- (i)  $\vdash \text{pred} : B \rightarrow A$
- (ii)  $\vdash \lambda x. x : B$

By inversion on (i),  $\text{pred} : B \rightarrow A$  must be in  $\mathbb{C}$ , from which, by definition of  $\mathbb{C}$ , we deduce that  $B = A = \text{Nat}$ . This makes (ii)  $\vdash \lambda x. x : \text{Nat}$ . By inversion on (ii), we have that there are types  $C_1$  and  $C_2$  such that  $\text{Nat} = C_1 \rightarrow C_2$ , but this is impossible.

- (c) Suppose that  $\lambda xy. xy(yx)$  were typable. By definition of typability, there is some  $A$  such that  $\vdash \lambda xy. xy(yx) : A$ . By inversion twice, it must be that there are types  $B, C$  and  $D$  such that (1)  $A = B \rightarrow C \rightarrow D$  and  $x:B, y:C \vdash xy(yx) : D$ . By inversion on this judgement, we have that there is some type  $E$  such that:

- i  $x:B, y:C \vdash xy : E \rightarrow D$
- ii  $x:B, y:C \vdash yx : E$

By inversion on the former, we have that there is some type  $F$  such that:

- (a)  $x:B, y:C \vdash x : F \rightarrow E \rightarrow D$
- (b)  $x:B, y:C \vdash y : F$

By inversion on these two judgments, we have that (2)  $B = F \rightarrow E \rightarrow D$  and (3)  $C = F$ . By inversion on (ii) we get that there is a type  $G$  such that:

$$(A) \ x:B, y:C \vdash y : G \rightarrow E$$

$$(B) \ x:B, y:C \vdash x : G$$

By inversion on these two judgements, we get that (4)  $C = G \rightarrow E$  and (5)  $B = G$ . Now, by combining equations (1)–(5) we obtain:

$$F = G \rightarrow E = B \rightarrow E = (F \rightarrow E \rightarrow D) \rightarrow E$$

but this is impossible because whatever type  $F$  is cannot include itself as a substring.

\*\* 4. The following property is called *Weakening*:

For all  $\Gamma, \Gamma'$  and  $A$ : if  $\Gamma \vdash M : A$  and  $\Gamma \subseteq \Gamma'$  then  $\Gamma' \vdash M : A$ .

We can prove Weakening by induction on  $M$ .

*Proof.* The proof is by induction on  $M$ .

- When  $M$  is a variable  $x$  ... (a)
- When  $M$  is a constant  $c$ , let  $A$  be a type,  $\Gamma$  and  $\Gamma'$  be type environments such that  $\Gamma \subseteq \Gamma'$  and suppose  $\Gamma \vdash c : A$ . By inversion, it follows that  $c:A \in \mathbb{C}$ . Therefore, the side condition is fulfilled to use (TCst) to also justify  $\Gamma' \vdash c : A$  (this rule does not place any requirements on the environment).
- When  $M$  is an application  $PQ$ , assume the induction hypotheses:
  - (IH1) For all  $\Gamma''$  and  $\Gamma'''$  and  $A'$ , if  $\Gamma'' \subseteq \Gamma'''$  and  $\Gamma'' \vdash P : A'$  then  $\Gamma''' \vdash P : A'$ .
  - (IH2) For all  $\Gamma''$  and  $\Gamma'''$  and  $A'$ , if  $\Gamma'' \subseteq \Gamma'''$  and  $\Gamma'' \vdash Q : A'$  then  $\Gamma''' \vdash Q : A'$ .

Let  $A$  be a type,  $\Gamma$  and  $\Gamma'$  be environments such that  $\Gamma \subseteq \Gamma'$ . Then suppose  $\Gamma \vdash PQ : A$ . By inversion, there must be a type  $B$  such that  $\Gamma \vdash P : B \rightarrow A$  and  $\Gamma \vdash Q : B$ . It follows from (IH1) with  $\Gamma'' := \Gamma$  and  $\Gamma''' := \Gamma'$  and  $A' := B \rightarrow A$  that  $\Gamma' \vdash P : B \rightarrow A$ . It follows from (IH2) with  $\Gamma'' := \Gamma$ ,  $\Gamma''' := \Gamma'$  and  $A' := B$  that  $\Gamma' \vdash Q : B$ . Therefore, by (TApp),  $\Gamma' \vdash PQ : A$ .

- When  $M$  is an abstraction  $\lambda x. P$  ... (b)

□

Complete the remaining two cases.

## Solution

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- (a) When  $M$  is a variable  $x$ , let  $A$  be a type,  $\Gamma$  and  $\Gamma'$  be type environments such that  $\Gamma \subseteq \Gamma'$  and suppose  $\Gamma \vdash x : A$ . By inversion, it follows that  $x : A \in \Gamma$ . Since  $\Gamma'$  contains all the typings of  $\Gamma$ , also  $x : A \in \Gamma'$ . Hence, by (TVar),  $\Gamma' \vdash x : A$ .
- (b) When  $M$  is an abstraction  $\lambda x. P$ , assume the induction hypothesis:
- (IH) For all  $\Gamma''$  and  $\Gamma'''$  and  $A'$ , if  $\Gamma'' \subseteq \Gamma'''$  and  $\Gamma'' \vdash P : A'$  then  $\Gamma''' \vdash P : A'$ .
- Let  $A$  be a type,  $\Gamma$  and  $\Gamma'$  be type environments such that  $\Gamma \subseteq \Gamma'$ . Then suppose  $\Gamma \vdash \lambda x. P : A$ . By the variable convention we can assume that  $x$  does not occur in  $\Gamma$  or  $\Gamma'$ . By inversion, it follows that there are types  $B$  and  $C$  such that  $A = B \rightarrow C$  and  $\Gamma, x:B \vdash P : C$ . Then, it follows from the induction hypothesis with  $\Gamma'' = \Gamma \cup \{x:B\}$  and  $\Gamma''' = \Gamma' \cup \{x : B\}$  and  $A' := C$ , that  $\Gamma', x:B \vdash P : C$ . It follows by (TAbs) that, therefore,  $\Gamma' \vdash \lambda x. P : B \rightarrow C$ , as required.

\*\*\* 5. Find terms  $M$  and  $N$  such that:

- (i)  $M$  is not typable
- (ii)  $N$  is typable
- (iii)  $M \triangleright N$

## Solution

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Let  $M := (\lambda y. \underline{0}) (\lambda x. xx)$  and  $N := \underline{0}$ . Clearly requirements (ii) and (iii) are satisfied.  $M$  is not typable because it has an untypable term as a subterm. It is possible to prove by induction that if  $M$  is typable then it cannot have an untypable subterm, but you can also “see” this if you consider that each rule of the type system has a conclusion of shape  $\Gamma \vdash M : A$  and judgements as premises whose subjects are exactly the immediate subterms of  $M$ . Hence, every subterm of  $M$  will eventually be the conclusion of a rule in the derivation of  $\Gamma \vdash M : A$ . Hence, it must be that every closed subterm is typable.