## Types and $\lambda$ -calculus

# Problem Sheet 3

This week, questions 2, 8 and 9 will be marked.

Recall that a *closed* term has no free variables.

- \* 1. Perform one step of reduction for each of the following terms:
  - (a) const pred pred
  - (b) subconst
  - (c)  $(\lambda x.xx)(\lambda x.xx)$
  - (d) const (pred pred)

Solution

- (a)  $(\lambda y. \text{pred})$  pred
- (b)  $\lambda yz. \underline{\mathsf{const}} z(yz)$
- (c)  $(\lambda x. x x)(\lambda x. x x)$
- (d)  $\lambda y$ . pred pred or const wrong
- \* 2. For each of the following reduction steps M > N, identify the redex P, the contraction Q, and the context C[] in which the contraction happens, i.e. such that M = C[P] and N = C[Q].
  - (a)  $\lambda x$ . pred (pred 2)  $\triangleright \lambda x$ . pred 1
  - (b) id (const div 0)  $\triangleright$  id (const (id div) 0)
  - (c) const (id id) (S x)  $\triangleright$  ( $\lambda y$ . id id) (S x)

Solution -

(a)  $P = \text{pred } 2, Q = 1, C[] = \lambda x. \text{ pred } []$ 

(b) 
$$P = \underline{\text{div}}, Q = \underline{\text{id}} \underline{\text{div}}, C[] = \underline{\text{id}} (\underline{\text{const}} [] \underline{0})$$
  
(c)  $P = \text{const} (\underline{\text{id}} \underline{\text{id}}), Q = \lambda y. \underline{\text{id}} \underline{\text{id}}, C[] = [] (S x)$ 

\* 3. Let us define the Booleans as follows:

$$\frac{\text{false}}{\text{true}} = \underline{0}$$

Define Boolean conjunction as a term  $\underline{and}$ , disjunction as a term  $\underline{or}$  and negation as a term not.

Solution —

Define:

$$\underline{\text{not}} = \lambda x. \text{ if } z x \underline{\text{ true false}} 
\underline{\text{and}} = \lambda x y. \text{ if } z x \underline{\text{ false}} (\text{if } z y \underline{\text{ false true}}) 
\underline{\text{or}} = \lambda x y. \underline{\text{not}} (\underline{\text{and}} (\underline{\text{not}} x) (\underline{\text{not}} y))$$

\* 4. Define terms curry and uncurry with the following behaviour:

$$\frac{\operatorname{curry} M \, N \, P \, \rhd^* \, M \, (N, P)}{\operatorname{uncurry} M \, (N, P) \, \rhd^* \, M \, N \, P}$$

Solution —

$$\underline{\text{curry}} := \lambda f x y. f(x, y)$$

$$\underline{\text{uncurry}} := \lambda f p. f(\underline{\text{proj}}_1^2 p)(\underline{\text{proj}}_2^2 p)$$

\* 5. For each of the following specifications, give an example of a *closed* term *N* in normal form that satisfies it (i.e do some reduction):

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(b)  $\underline{\mathsf{sub}} \ \underline{\mathsf{const}} \ \underline{\mathsf{const}} \ \triangleright^* \ N$ 

(c) fix  $(\lambda xy.y) >^* N$ 

(d)  $(\lambda x y. yx)$  (const const)  $(\lambda x. xx) >^* N$ 

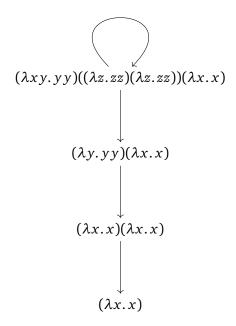
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- (a) <u>id</u>
- (b) id
- (c) <u>id</u>
- (d) const

\* 6. Draw the reduction graph of the term  $(\lambda xy.yy)$   $((\lambda z.zz)$   $(\lambda z.zz)$   $(\lambda x.x)$ . (This graph will have 4 vertices). What I mean by this is to draw a directed graph where:

- The nodes are all N s.t.  $(\lambda xy.yy)((\lambda z.zz)(\lambda z.zz))(\lambda x.x) >^* N$
- There is an edge from node M to node N iff M > N

Solution —



- \*\* 7. Give an example of a *closed* term *M* for each of the following properties:
  - (a) M is in normal form.
  - (b) *M* has exactly one proper reduct.
  - (c) *M* contains strictly fewer redexes than one of its reducts (here we mean "fewer in number", the redexes may be quite different).
  - (d) A reduct of M contains a redex that did not occur anywhere in M.

Solution

There are lots of possible answers, here is one set:

- (a) id
- (b) pred 2
- (c)  $(\lambda x. xxx)$  (id 1)
- (d)  $(\lambda xy.xy)$  id
- \*\* 8. Prove the following statement:

For all M, N and C[]: if M > N then C[M] > C[N].

Note that "if M > N then C[M] > C[N]" is subtly different from the definition of > which says that C[P] > C[Q] whenever P is a redex and Q the contraction. Here, M and N can be any terms.

You do *not* need to use induction to prove it. You will need to work closely with the definition of  $\triangleright$ : on the one hand you will assume  $M \triangleright N$  and want to know what you get out of it and, on the other hand, you will want to show  $C[M] \triangleright C[N]$  and thus need to know what evidence is required to put into it.

Look again at the definition of  $\triangleright$  using contexts. In the definition, "just if" means the same as "iff", so the definition of  $\triangleright$  can be seen as a pair of implications: one direction tells you what follows from  $M \triangleright N$  when you have it as an assumption (forwards reasoning) and the other tells you what you need in order to deduce  $M \triangleright N$  (backwards reasoning).

### Solution -

Let M, N be terms and let C[] be an evaluation context. Suppose M > N. Then it follows from the definition of > that there must exist an evaluation context C'[] and a redex/contraction pair P, Q such that M = C'[P] and N = C'[Q]. So, M > N is really:

$$C'[P] \triangleright C'[Q]$$

Now, by these equations, C[M] = C[C'[P]] and C[N] = C[C'[Q]] (\*). Our aim is to prove that  $C[M] \triangleright C[N]$  so, by definition of  $\triangleright$ , we have to find a context C''[] and a redex/contraction pair P', Q' such that C[M] = C''[P'] and C''[Q'] = C[N]. By (\*) we can take C''[] = C[C'[]] and P' = P and Q' = Q.

\*\* 9.

(a) Complete the following proof by filling in (a):

For all P, C[], for all  $n \in \mathbb{N}$ : for all Q, if  $P \triangleright^n Q$  then  $C[P] \triangleright^n C[Q]$ .

*Proof.* Let *P* be a term and C[] a context. We show that, for all  $n \in \mathbb{N}$ , for all  $Q, P \rhd^n Q$  implies  $C[P] \rhd^n C[Q]$  by induction on n:

- When n = 0, let Q be a term and suppose P > 0 Q. Then, by definition, P = Q and hence C[P] = C[Q]. By definition, therefore C[P] > 0 C[Q].
- When n is of shape k+1, we can assume the induction hypothesis: **(IH)** forall Q,  $P >^k Q$  implies  $C[P] >^k C[Q]$ .

... (a) ...

(b) Deduce that (i.e. give a short proof of): For all P, Q, C[]: if  $P \rhd^* Q$  then  $C[P] \rhd^* C[Q]$ .

#### Solution -

- (a) Now, let Q be a term and suppose  $P \rhd^{k+1} Q$ . Then, by definition, there is some U such that (i)  $P \rhd^k U$  and (ii)  $U \rhd Q$ . We can use (IH) on (i) to obtain  $C[P] \rhd^k C[U]$  (\*). We can use the statement of the previous question on (ii) to obtain  $C[U] \rhd C[Q]$  (\*\*). By definition of  $\rhd^{k+1}$ , we can use (\*) and (\*\*) to obtain  $C[P] \rhd^{k+1} C[Q]$ .
- (b) Let P and Q be terms and C[] a context. Suppose  $P \rhd^* Q$ . By definition, there is some n such that  $P \rhd^n Q$ . It follows from the previous result that, therefore,  $C[P] \rhd^n C[Q]$ . Hence, by definition of  $\rhd^*$ ,  $C[P] \rhd^* C[Q]$ .
- \*\* 10. Show that there is no term P that satisfies: for all M and N, P(MN) > N. In other words, prove that we cannot write a PCF program that extracts the argument of an application.

#### Solution

Suppose such a P exists and we look to obtain a contradiction. Then we know that this P satisfies  $\forall MN$ .  $P(MN) \rhd^* N$ , let's call this (\*). Then, instantiating M by const (id id) and N by const in (\*), we have:

$$P$$
 (const (id id) const)  $\triangleright^*$  const

However, by simply performing the reductions, we also have  $P(\underline{\mathsf{const}}(\underline{\mathsf{id}}\underline{\mathsf{id}})\underline{\mathsf{const}}) \rhd^* P(\underline{\mathsf{id}}\underline{\mathsf{id}})$ . By instantiating (\*) with  $M = \underline{\mathsf{id}}$  and  $N = \underline{\mathsf{id}}$  we get  $P(\underline{\mathsf{id}}\underline{\mathsf{id}}) \rhd^*$  id. So, overall, we also have:

$$P$$
 (const (id id) const)  $\triangleright^*$  id

However, with the other reduction sequence inset above, this contradicts the unique normal forms theorem, since id and const are distinct normal forms of  $P(const(id\ id)\ const)$ , so we have our contradiction.