

TYPES AND λ -CALCULUS

Problem Sheet 6

Questions 1 and 3 will be marked.

* 1. Give a type derivation/proof tree for the judgements:

- (a) $\vdash (\lambda x. x) \underline{2} : \text{Nat}$
- (b) $x : \text{Nat}, y : \text{Nat} \vdash \text{ifz } y \ x \ (\text{pred } x) : \text{Nat}$
- (c) $\vdash \lambda xy. yxx : a \rightarrow (a \rightarrow a \rightarrow b) \rightarrow b$
- (d) $\vdash \lambda xyz. y(xz) : (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow a \rightarrow c$

Solution

- (a) I have to split up the derivation to keep it on the page. First let δ be the following derivation of $\vdash \underline{2} : \text{Nat}$.

$$\frac{\frac{}{\vdash S : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{\frac{}{\vdash S : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\vdash \underline{0} : \text{Nat}} (\text{TCst})}{\vdash \underline{1} : \text{Nat}} (\text{TApp})}{\vdash \underline{2} : \text{Nat}} (\text{TApp})$$

Then we extend this as follows:

$$\frac{\frac{\frac{}{x : \text{Nat} \vdash x : \text{Nat}} (\text{TVar})}{\vdash (\lambda x. x) : \text{Nat} \rightarrow \text{Nat}} (\text{TAbs}) \quad \delta}{\vdash (\lambda x. x) \underline{2} : \text{Nat}} (\text{TApp})$$

- (b) I have to split the derivation up so it fits onto the page. With $\Gamma = \{x : \text{Nat}, y : \text{Nat}\}$. First, let δ be this derivation of $\Gamma \vdash \text{ifz } y \ x : \text{Nat} \rightarrow \text{Nat}$:

$$\frac{\frac{\frac{}{\Gamma \vdash \text{ifz} : \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\Gamma \vdash y : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{ifz } y : \text{Nat} \rightarrow \text{Nat} \rightarrow \text{Nat}} (\text{TApp}) \quad \frac{}{\Gamma \vdash x : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{ifz } y \ x : \text{Nat} \rightarrow \text{Nat}} (\text{TApp})$$

Then we extend this derivation δ as follows:

$$\frac{\delta \quad \frac{\frac{}{\Gamma \vdash \text{pred} : \text{Nat} \rightarrow \text{Nat}} (\text{TCst}) \quad \frac{}{\Gamma \vdash x : \text{Nat}} (\text{TVar})}{\Gamma \vdash \text{pred } x : \text{Nat}} (\text{TApp})}{\Gamma \vdash \text{ifz } y \ x \ (\text{pred } x) : \text{Nat}} (\text{TApp})$$

(c) With $\Gamma = \{x : a, y : a \rightarrow a \rightarrow b\}$:

$$\frac{\frac{\frac{}{\Gamma \vdash y : a \rightarrow a \rightarrow b} (\text{TVar}) \quad \frac{}{\Gamma \vdash x : a} (\text{TVar})}{\Gamma \vdash yx : a \rightarrow b} (\text{TApp}) \quad \frac{}{\Gamma \vdash x : a} (\text{TApp})}{\Gamma \vdash yxx : b} (\text{TApp})$$

$$\frac{\Gamma \vdash yxx : b}{x : a \vdash \lambda y. yxx : (a \rightarrow a \rightarrow b) \rightarrow b} (\text{TAbs})$$

$$\frac{x : a \vdash \lambda y. yxx : (a \rightarrow a \rightarrow b) \rightarrow b}{\vdash \lambda xy. yxx : a \rightarrow (a \rightarrow a \rightarrow b) \rightarrow b} (\text{TAbs})$$

(d) With $\Gamma = \{x : a \rightarrow b, y : b \rightarrow c, z : a\}$:

$$\frac{\frac{}{\Gamma \vdash y : b \rightarrow c} (\text{Var}) \quad \frac{\frac{}{\Gamma \vdash x : a \rightarrow c} (\text{Var}) \quad \frac{}{\Gamma \vdash z : a} (\text{Var})}{\Gamma \vdash xz : b} (\text{App})}{\Gamma \vdash y(xz) : c} (\text{App})$$

$$\frac{\Gamma \vdash y(xz) : c}{x : a \rightarrow b, y : b \rightarrow c \vdash \lambda z. y(xz) : a \rightarrow c} (\text{Abs})$$

$$\frac{x : a \rightarrow b, y : b \rightarrow c \vdash \lambda z. y(xz) : a \rightarrow c}{x : a \rightarrow b \vdash \lambda yz. y(xz) : (b \rightarrow c) \rightarrow a \rightarrow c} (\text{Abs})$$

$$\frac{x : a \rightarrow b \vdash \lambda yz. y(xz) : (b \rightarrow c) \rightarrow a \rightarrow c}{\vdash \lambda xyz. y(xz) : (a \rightarrow b) \rightarrow (b \rightarrow c) \rightarrow a \rightarrow c} (\text{Abs})$$

** 2. Give terms M in normal form that satisfy each of the following (you are *not* required to justify them with a proof tree, but you may wish to so as to check your answer):

- (a) $\vdash M : (a \rightarrow b) \rightarrow a \rightarrow b$
- (b) $x : (a \rightarrow a) \rightarrow c \vdash M : c$
- (c) $\vdash M : a \rightarrow b \rightarrow \text{Nat}$

Solution

- (a) e.g. $\lambda x. x$ or perhaps $\lambda xy. xy$
- (b) e.g. $x(\lambda y. y)$
- (c) e.g. $\lambda xy. \underline{2}$

** 3. Use inversion to prove that the following terms are not typable:

- (a) $\underline{1} (\lambda x. x)$
- (b) $\text{pred} (\lambda x. x)$
- (c) $\lambda xy. xy(yx)$

Solution

- (a) Suppose $\underline{1} (\lambda x. x)$ is typable and we look for a contradiction. Then, by definition, there is a type A such that $\vdash \underline{1} (\lambda x. x) : A$. By inversion, there is a type B such that:

- (i) $\vdash \underline{1} : B \rightarrow A$ and
- (ii) $\vdash (\lambda x. x) : B$

By inversion on (i), we must have that there is a type C such that:

- (a) $\vdash S : C \rightarrow B \rightarrow A$ and
- (b) $\vdash \underline{0} : C$

By inversion on (a), $S : C \rightarrow B \rightarrow A$ is in \mathbb{C} , but this is impossible, because the only assignment to S in \mathbb{C} is $\text{Nat} \rightarrow \text{Nat}$.

- (b) Suppose $\text{pred} (\lambda x. x)$ is typable and we look for a contradiction. Then, by definition, there is a type A and $\vdash \text{pred} (\lambda x. x) : A$. By inversion, there is a type B and:

- (i) $\vdash \text{pred} : B \rightarrow A$
- (ii) $\vdash \lambda x. x : B$

By inversion on (i), $\text{pred} : B \rightarrow A$ must be in \mathbb{C} , from which, by definition of \mathbb{C} , we deduce that $B = A = \text{Nat}$. This makes (ii) $\vdash \lambda x. x : \text{Nat}$. By inversion on (ii), we have that there are types C_1 and C_2 such that $\text{Nat} = C_1 \rightarrow C_2$, but this is impossible.

- (c) Suppose that $\lambda xy. xy(yx)$ were typable. By definition of typability, there is some A such that $\vdash \lambda xy. xy(yx) : A$. By inversion twice, it must be that there are types B, C and D such that (1) $A = B \rightarrow C \rightarrow D$ and $x:B, y:C \vdash xy(yx) : D$. By inversion on this judgement, we have that there is some type E such that:

- i $x:B, y:C \vdash xy : E \rightarrow D$
- ii $x:B, y:C \vdash yx : E$

By inversion on the former, we have that there is some type F such that:

- (a) $x:B, y:C \vdash x : F \rightarrow E \rightarrow D$
- (b) $x:B, y:C \vdash y : F$

By inversion on these two judgments, we have that (2) $B = F \rightarrow E \rightarrow D$ and (3) $C = F$. By inversion on (ii) we get that there is a type G such that:

$$(A) \ x:B, y:C \vdash y : G \rightarrow E$$

$$(B) \ x:B, y:C \vdash x : G$$

By inversion on these two judgements, we get that (4) $C = G \rightarrow E$ and (5) $B = G$. Now, by combining equations (1)–(5) we obtain:

$$F = G \rightarrow E = B \rightarrow E = (F \rightarrow E \rightarrow D) \rightarrow E$$

but this is impossible because whatever type F is cannot include itself as a substring.

** 4. The following property is called *Weakening*:

For all Γ, Γ' and A : if $\Gamma \vdash M : A$ and $\Gamma \subseteq \Gamma'$ then $\Gamma' \vdash M : A$.

We can prove Weakening by induction on M .

Proof. The proof is by induction on M .

- When M is a variable x ... (a)
- When M is a constant c , let A be a type, Γ and Γ' be type environments such that $\Gamma \subseteq \Gamma'$ and suppose $\Gamma \vdash c : A$. By inversion, it follows that $c:A \in \mathbb{C}$. Therefore, the side condition is fulfilled to use (TCst) to also justify $\Gamma' \vdash c : A$ (this rule does not place any requirements on the environment).
- When M is an application PQ , assume the induction hypotheses:
 - (IH1) For all Γ'' and Γ''' and A' , if $\Gamma'' \subseteq \Gamma'''$ and $\Gamma'' \vdash P : A'$ then $\Gamma''' \vdash P : A'$.
 - (IH2) For all Γ'' and Γ''' and A' , if $\Gamma'' \subseteq \Gamma'''$ and $\Gamma'' \vdash Q : A'$ then $\Gamma''' \vdash Q : A'$.

Let A be a type, Γ and Γ' be environments such that $\Gamma \subseteq \Gamma'$. Then suppose $\Gamma \vdash PQ : A$. By inversion, there must be a type B such that $\Gamma \vdash P : B \rightarrow A$ and $\Gamma \vdash Q : B$. It follows from (IH1) with $\Gamma'' := \Gamma$ and $\Gamma''' := \Gamma'$ and $A' := B \rightarrow A$ that $\Gamma' \vdash P : B \rightarrow A$. It follows from (IH2) with $\Gamma'' := \Gamma$, $\Gamma''' := \Gamma'$ and $A' := B$ that $\Gamma' \vdash Q : B$. Therefore, by (TApp), $\Gamma' \vdash PQ : A$.

- When M is an abstraction $\lambda x. P$... (b)

□

Complete the remaining two cases.

Solution

- (a) When M is a variable x , let A be a type, Γ and Γ' be type environments such that $\Gamma \subseteq \Gamma'$ and suppose $\Gamma \vdash x : A$. By inversion, it follows that $x : A \in \Gamma$. Since Γ' contains all the typings of Γ , also $x : A \in \Gamma'$. Hence, by (TVar), $\Gamma' \vdash x : A$.
- (b) When M is an abstraction $\lambda x. P$, assume the induction hypothesis:
- (IH) For all Γ'' and Γ''' and A' , if $\Gamma'' \subseteq \Gamma'''$ and $\Gamma'' \vdash P : A'$ then $\Gamma''' \vdash P : A'$.
- Let A be a type, Γ and Γ' be type environments such that $\Gamma \subseteq \Gamma'$. Then suppose $\Gamma \vdash \lambda x. P : A$. By the variable convention we can assume that x does not occur in Γ or Γ' . By inversion, it follows that there are types B and C such that $A = B \rightarrow C$ and $\Gamma, x:B \vdash P : C$. Then, it follows from the induction hypothesis with $\Gamma'' = \Gamma \cup \{x:B\}$ and $\Gamma''' = \Gamma' \cup \{x : B\}$ and $A' := C$, that $\Gamma', x:B \vdash P : C$. It follows by (TAbs) that, therefore, $\Gamma' \vdash \lambda x. P : B \rightarrow C$, as required.

*** 5. Find terms M and N such that:

- (i) M is not typable
- (ii) N is typable
- (iii) $M \triangleright N$

Solution

Let $M := (\lambda y. \underline{0}) (\lambda x. xx)$ and $N := \underline{0}$. Clearly requirements (ii) and (iii) are satisfied. M is not typable because it has an untypable term as a subterm. It is possible to prove by induction that if M is typable then it cannot have an untypable subterm, but you can also “see” this if you consider that each rule of the type system has a conclusion of shape $\Gamma \vdash M : A$ and judgements as premises whose subjects are exactly the immediate subterms of M . Hence, every subterm of M will eventually be the conclusion of a rule in the derivation of $\Gamma \vdash M : A$. Hence, it must be that every closed subterm is typable.