Natural Language Processing and Transformers

John Giorgi March 8th, 2022 CSC413/2516

The big picture

- Natural language (& speech) are central to human intelligence
- **N**atural **l**anguage **p**rocessing (NLP) attempts to capture some of this intelligence algorithmically and is of huge practical importance
 - o machine translation, chatbots, automatic fact checking, ...
- NLP has seen several transformative shifts in the last few years

Goal of this tutorial

- Build basic *NLP literacy* by looking at **language models**
- Get up to date with recent developments
 - BERT, GPT, Self-Supervised Learning (SSL)
- Know where to look if you're starting an NLP project

Language Models

Language models (LMs) assign **probabilities** to sequences of words

- **Speech recognition**: P(I will be back soonish) > P(I will be bassoon dish)
- **Spell checkers**: P(There are two midterms) > P(Their are two midterms)
- Machine translation:

```
他 向 记者 介绍了 主要 内容
```

P(He to reporters introduced main content) <

P(He briefed reporters on the main contents of the statement) <

Is the product of the conditional probability of each word and its history (chain rule)

$$P(w_{1:n}) = \prod_{k=1}^{n} P(w_k|w_{< k})$$

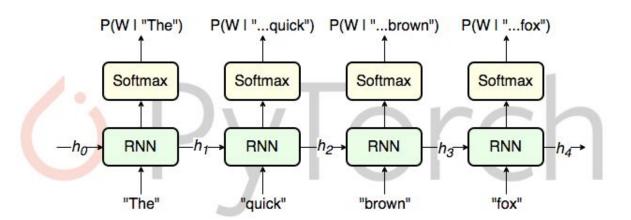
The probability of a sequence of *n* words

$$= \sum_{k=1}^{n} \log P(w_k | w_{\leq k})$$

How do we compute the conditional probability?

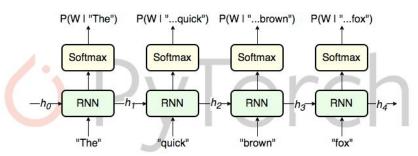
In practice, we take the log sum

- We can use **recurrent** neural networks (RNNs)! E.g. LSTMS, GRUs
- Work well for variable length inputs, like sentences



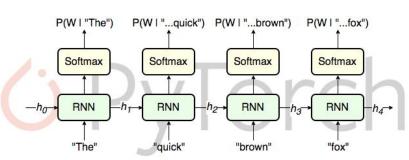
Recurrent neural networks have some shortcomings:

- Not parallelizable within training examples
- Difficult to optimize due to vanishing gradients
- Difficulty modelling long range dependencies



We'd like an architectural primitive that:

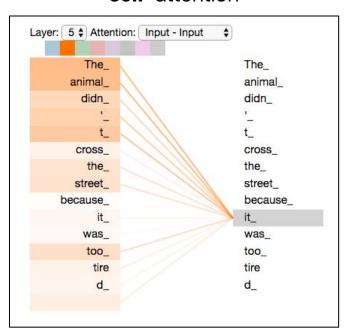
- Is parallelizable within training examples
- Directly facilities interactions between tokens
- Better models long range dependencies
- Attention to the rescue?



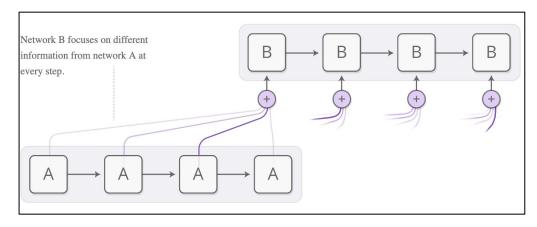
Attention

Attention

Self-attention



Cross-attention



https://jalammar.github.io/illustrated-transformer/https://distill.pub/2016/augmented-rnns/

Attention

- Many flavours of attention have been proposed
- We will focus on the most common, (**scaled**) **dot-product** attention
- Scaled dot-product attention is the backbone of transformers
- Like any attention mechanism, we need to make two decisions:
 - \circ How to compute similarity? \rightarrow **dot-product**
 - \circ How do we normalize the similarity score? \rightarrow **softmax**

Scaled dot-product attention

Scaled dot-product attention takes three matrices as input

Attention $(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_P}})V$ The output is simply a scaling of V

A softmax normalizes similarities \rightarrow [0, 1]

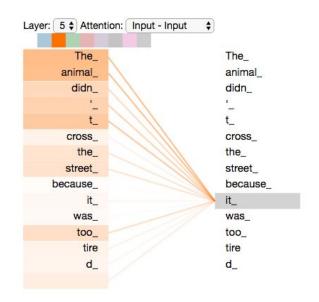
Similarity is simply the dot product between Q and K

$$(\frac{QK^T}{\sqrt{dk}})V$$
 The output is simply a scaling of V

Queries, keys and what?

These will change depending on how the attention mechanism is used

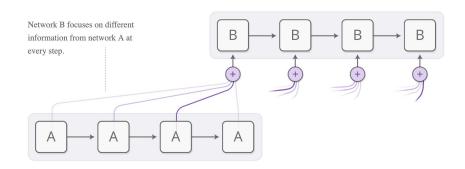
- In **self**-attention, Q == K == V
- We are updating the representation of each token based on the other tokens in the sequence



Queries, keys and what?

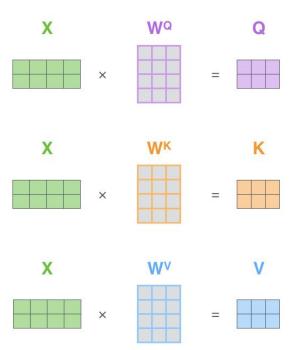
These will change depending on how the attention mechanism is used

- In cross-attention, K == V and come from the encoder. Q comes from the decoder.
- The decoder "focuses" on certain tokens in the encoders output



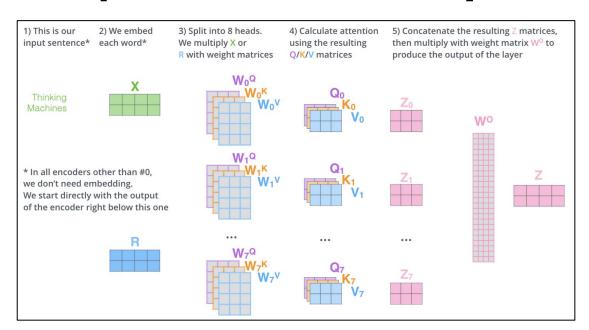
Queries, keys and what?

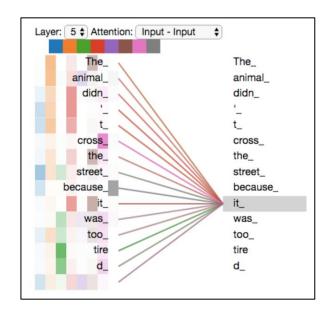
- Q, K & V are projections of embedded tokens
- If this is a multi-layered network (e.g. a transformer), they are outputs of the previous layer



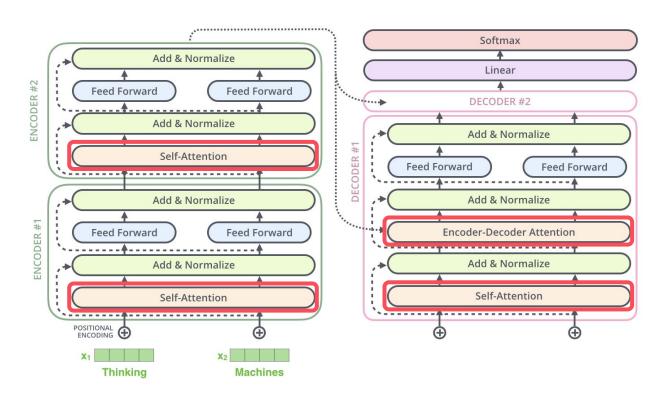
The beast with many heads

Usually, we use **multi-head** scaled dot-product attention





Transformers (covered in lecture)



The payoff

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	O(1)	O(1)
Recurrent	$O(n \cdot d^2)$	O(n)	O(n)
Convolutional	$O(k \cdot n \cdot d^2)$	O(1)	$O(log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	O(1)	O(n/r)

Before we move on...

- LMs assign **probabilities to sequences** and are the "workhorse" of NLP
- Typically implemented with RNNs; being replaced with Transformers
- Multi-head, scaled dot-product attention the backbone of Transformers
 - Allows us to learn long range dependencies and parallelize computation within training examples
- How do we train Transformers as language models?

Pretrained Language Models & Self-Supervised Learning

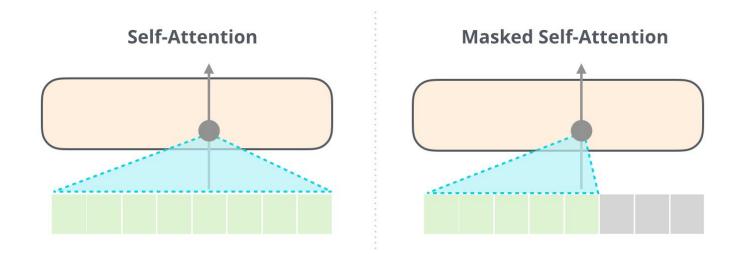
Wishlist

- Want to train transformers as language models → learn general properties of language that can be transferred to downstream tasks
- Ideally, we will use unlabeled text → leverage unsupervised or self-supervised learning (SSL)
- (At least) two paradigms have emerged:
 - Generative Pretrained Transformer (GPT) → next-token prediction, decoder only transformer
 - Bidirectional Encoder Representations from Transformers (BERT) →
 masked language modelling, encoder only transformer

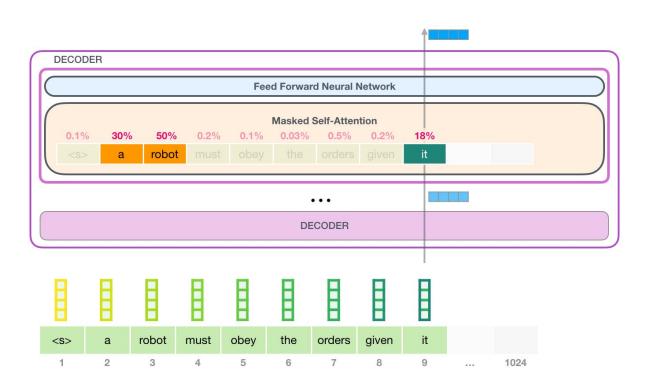
- **GPT** is a *decoder only* transformer pretrained on huge amounts of text
- The latest version, GPT-3 is trained on 45TB of unlabelled text
- The (pre)training objective is simply to predict the next token
- For this, we will need to slightly tweak the self-attention...

Masked self-attention

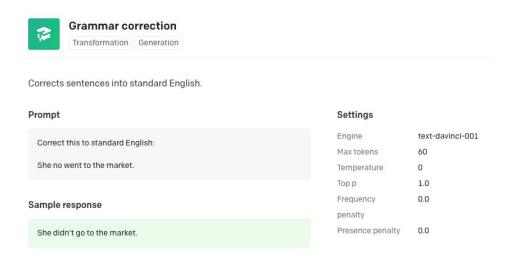
Future timesteps are masked to prevent decoder from "peaking"



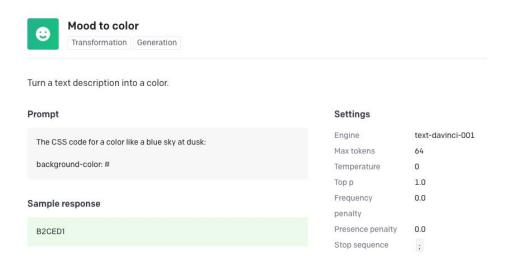
Masked self-attention



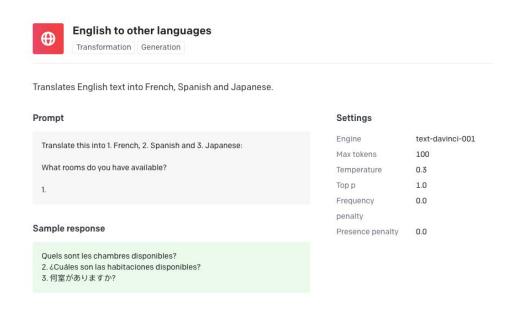
Once pretrained, GPT can be used for any "text in, text out" task



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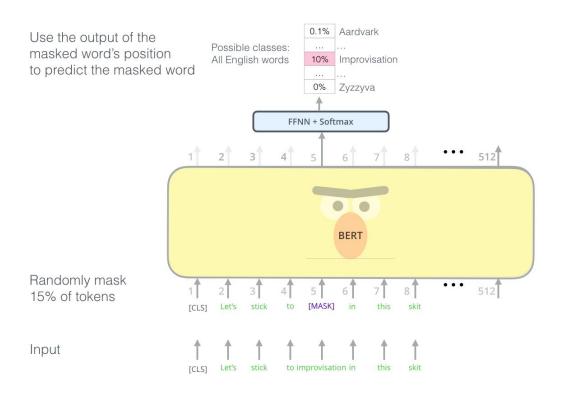
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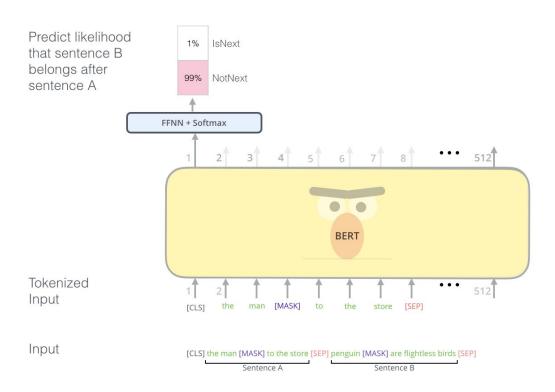
Bidirectional Encoder Representations from Transformers (BERT)

- GPT is a **unidirectional** LM, incorporating context from *previous* tokens
- This is likely sub-optimal for many token- or sentence-level tasks
- BERT proposes a **bidirectional** LM based on a transformer encoder
- BERT is pretrained with two self-supervised objectives:
 - Masked Language Modelling (MLM)
 - Next Sentence Prediction (NSP)

Masked language modelling



Next sentence prediction



BERT fine-tuning

- BERT can easily be fine-tuned on downstream tasks
 - Add & initialize a new layer on top of BERTs outputs
 - Use supervised learning to tune all parameters (pretrained & newly initialized)
- BERT has learned rich representations which encode syntax & semantics →
 fine-tuning BERT is the best performing approach across a wide range of tasks.
- Because BERT is pretrained, fine-tuning is typically cheap
 - 3-4 epochs on 100s or 1000s of labelled examples
 - Typically takes a few hours to fine-tune on GPU(s)

Resources

- For pretrained models, datasets try <u>HuggingFace</u>
- For NLP specific machine learning library, try <u>AllenNLP</u>
- For a great free textbook, try <u>Speech and Language Processing</u>
- For a great MOOC, try <u>Sequence Models</u> (free with UofT Coursera)
- For great blog posts illustrating these concepts, try

https://jalammar.github.io/

Thank you for your attention! (get it?)

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