FORMAT

DECLARATION TO BE MADE WHEN LENDING TO / OR ACCPTIMG DEPOSITS FROM POLITCALLY EXPOSED PERSONS (PEPs)

(*Loans / Lease / Gold Loans / SAVINGS / Fixed Deposits)*

Date

1. Nature of the Business Relationship

|  |  |  |
| --- | --- | --- |
| **Branch** |  | |
| 1. **Name of Borrower / Depositor** |  | |
| 1. **Nature of Credit Facility** | **Loan / Lease/ Gold Loan** | |
| 1. **Amount of facility** | **Rs** | **A/ C No** |
| 1. **Nature of Deposit** | **Savings / Fixed Deposit** | |
| 1. **Balance in (d) above** | **Rs** | **A/ c No** |
| **POSITION / OCCUPATION / PROFESSION OF THE ABOVE NAMED** | | |

1. Declaration

**As per the list overleaf the above stated Borrower / depositor is considered as a PEP under item No…………………….. (Please refer attachment which is an essential part of this format.)**

**We declare that when establishing the business relationship described under 1 above we have not granted any preferential treatment with regard to applicable rates of interest recovery of / fees/ charges violating, either the related rates of interest / fees/ charges structure and collateral requirements of our company or any regulatory or statutory requirement,**

|  |  |
| --- | --- |
| ***For Credit & all Deposit Relationships***  **Branch Manager Date** | ***For Credit Facilities only***  **Senior Manager Credit (CCD) Date** |
| ***For Lease Facilities only***  **Head of Leasing) Date** | |
| ***For Savings & Term Deposits only***  **DGM (Business Development & FM) Date** | ***For all lending operations only***  **DGM (Credit) Date** |
| **Reviewed BY**  **CEO/ Executive Director Date** | |

**Note**

**This Declaration duly completed should be placed in the securities files or in the mandate file as applicable.**

**ATTACHMENT REFFERRED TO IN THE DECLARATION FORMAT**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Politically Exposed Person (PEP) Check List** | | | **Check Box (X)** | |
| **DOMESTIC PEPS** | | |  | |
| **A.** | **1** | The President |  | |
| **2** | The Prime Minister |  | |
| **3** | The Speaker and the Deputy Speaker of the Parliament |  | |
| **4** | Cabinet Ministers, Non-Cabinet Ministers, State Ministers, Deputy Ministers |  | |
| **5** | Members of Parliament |  | |
| **6** | Leaders of Political Parties |  | |
|  |  |  |  |  |
| **B** | **7** | Governors of Provinces |  |  |
| **8** | Chief Ministers of Provinces |  |  |
| **9** | Mayor, Chairman of Municipal Councils |  |  |
| **10** | Chairman of Provincial Councils |  |  |
| **11** | Members of Municipal Councils/ Provincial Councils / Local Government Bodies |  |  |
| **12** | Commissioners/ Secretaries to Municipal Councils/ Provincial Councils / Local  Government Bodies |  | |
|  |  |  |  |  |
| **C** | **13** | Chief Justice |  |  |
| **14** | Attorney General |  |  |
| **15** | Judges of Supreme Court |  |  |
| **16** | Judges of the Court of Appeal |  |  |
| **17** | Solicitor General of the Attorney General’s Department |  |  |
| **18** | Judges of High Courts/Provincial High Courts |  |  |
| **19** | Judges of District Courts |  |  |
| **20** | Judges of Magistrate Courts |  |  |
| **21** | Registrar of Supreme Court |  |  |
| **22** | Registrar of the Court of Appeal |  |  |
| **23** | Registrars of Judges of High Courts/Provincial High Courts |  |  |
| **24** | Registrars of District Courts |  |  |
| **25** | Registrars of Magistrate Courts |  |  |
|  |  |  |  |  |
| **D** | **26** | Ambassadors /High Commissioners |  |  |
|  | **27** | Consul-General/ Deputy Head of Mission/Charge d'affaires/Honorary Consul |  |  |
|  | **28** | Ministers plenipotentiary and Envoys Extraordinary |  |  |
|  | **29** | Representatives of UN agencies and Heads of other international organizations |  |  |
|  |  |  |  |  |
| **E** | **30** | Secretary/ Senior Additional Secretaries/ Additional Secretaries to the President |  |  |
| **31** | Secretary/ Senior Additional Secretaries/ Additional Secretaries to the Prime Minister |  |  |
| **32** | Secretary /Senior Additional Secretaries/ Additional Secretaries to the Cabinet of Ministers, Non-Cabinet Ministers, State Ministers, Deputy Ministers |  | |
| **33** | Deputy Secretary to the Treasury |  |  |
| **34** | Secretary/ Senior Additional Secretaries /Additional Secretaries/ Deputy Secretaries to Ministries |  |  |
| **35** | Members of the Monetary Board |  |  |
| **36** | Governor / Deputy Governors / Assistant Governors and Heads and Additional Heads of Department of the Central Bank of Sri Lanka |  | |
| **37** | Advisors to the President/ Prime Minister / Ministers/ Ministries |  |  |
| **38** | Chief of staff of presidential secretariat |  |  |
| **39** | Auditor General |  |  |
| **40** | Secretary General of Parliament |  |  |
| **41** | District Secretaries/ Government Agent and Secretaries |  |  |
| **42** | Heads and Senior Officials of Government Departments |  |  |
| **43** | Chairmen and Senior Officials of State Enterprises |  |  |
| **44** | Chairmen and Senior Officials of State Corporations / Statutory Boards/ Authorities/ Public Corporations |  | |
|  |  |  |  |  |
| **F** | **45** | Field Marshall / Admiral of the Fleet/ Marshal of the Air Force |  |  |
| **46** | Chief of Defence Staff |  |  |
| **47** | General of Sri Lanka Army/Admiral of Sri Lanka Navy/ Air Chief Marshal of Sri Lanka Air Force |  | |
| **48** | Officers in the Rank of Lieutenant Colonel and above of Sri Lanka Army |  |  |
| **49** | Officers in the Rank of Commander and above of Sri Lanka Navy |  |  |
| **50** | Officers in the Rank of Wing Commander and above of Sri Lanka Air Force |  |  |
| **51** | Inspector General of Police |  |  |
| **52** | Police officers above the rank of Asst. Superintendent of Police |  |  |
|  |  |  |  |  |
| **G** | **53** | Chairman/ members and senior officers of the Public Service Commission |  |  |
| **54** | Chairman/ members and senior officers of the National Police Commission |  |  |
| **55** | Chairman/ members and senior officers of the Human Right Commission |  |  |
| **56** | Chairman/ members and senior officers of the Commission to Investigation Allegations of Bribery or Corruption |  | |
| **57** | Chairman/ members and senior officers of the Finance Commission |  |  |
| **58** | Chairman/ members and senior officers of the Election Commission |  |  |
| **59** | Members of Constitutional Council |  |  |
| **60** | Chairman/ members and senior officers of the Audi Service Commission |  |  |
| **61** | Chairman/ members and senior officers of the Delimitation Commission |  |  |
| **62** | Chairman/ members and senior officers of the National Procurement Commission |  |  |
| **63** | Members of Cabinet appointed committees |  |  |
|  |  |  |  |  |
| H | 64 | Chairman, Members and senior officers of University Grant Commission |  | |
| 65 | Chairman, members of University Councils |  | |
| 66 | Chancellor |  | |
| 67 | Vice Chancellor |  | |
| 68 | Registrar of universities |  | |
|  |  |  |  |  |
| **FOREIGN PEPS** | | |  | |
| I | 69 | Officials of international organizations who hold or have held, in the course of the last 5 years, management positions in such organizations (directors, heads of the boards or their deputies) |  | |
| 70 | Officials of international organization who perform or performed any other management functions on the highest level, particularly in international and intergovernmental organizations, |  | |
| 71 | Members of international parliamentary assemblies, |  | |
| 72 | Judges and management officials of international courts |  | |