# Veriopt Theories

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1	Runtime Values and Arithmetic	
	eory Values mports	
	$\hat{HOL}-Library.~Word$	
	HOL-Library. Signed-Division	
	HOL-Library.Float	
	HOL-Library.LaTeX sugar	
be	gin	
	$\mathbf{mma} - ((x::float) - y) = (y - x)$	
ŀ	$\mathbf{y} \ simp$	

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full

range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, but during calculations the smaller sizes are sign-extended to 32 bits, so here we model just 32 and 64 bit values.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

```
type-synonym int64 = 64 \ word - \log
type-synonym int32 = 32 \ word - \inf
type-synonym int16 = 16 \ word - \operatorname{short}
type-synonym int8 = 8 \ word - \operatorname{char}
type-synonym int1 = 1 \ word - \operatorname{boolean}
abbreviation valid\text{-}int\text{-}widths :: nat set where}
valid\text{-}int\text{-}widths \equiv \{1, 8, 16, 32, 64\}

type-synonym objref = nat \ option
datatype (discs\text{-}sels) \ Value = UndefVal \ | IntVal32 \ 32 \ word \ | IntVal64 \ 64 \ word \ | ObjRef \ objref \ | ObjStr \ string
```

Characterise integer values, covering both 32 and 64 bit. If a node has a stamp smaller than 32 bits (16, 8, or 1 bit), then the value will be sign-extended to 32 bits. This is necessary to match what the stamps specify E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

```
definition logic\text{-}negate :: ('a::len) \ word \Rightarrow 'a \ word \ \mathbf{where} logic\text{-}negate \ x = (if \ x = 0 \ then \ 1 \ else \ 0)
definition is\text{-}IntVal :: Value \Rightarrow bool \ \mathbf{where} is\text{-}IntVal \ v = (is\text{-}IntVal32 \ v \lor is\text{-}IntVal64 \ v)
Extract signed integer values from both 32 and 64 bit. fun intval :: Value \Rightarrow int \ \mathbf{where} intval \ (IntVal32 \ v) = sint \ v \mid intval \ (IntVal64 \ v) = sint \ v
fun wf\text{-}bool :: Value \Rightarrow bool \ \mathbf{where}
```

wf-bool  $(Int Val 32 \ v) = (v = 0 \lor v = 1)$ 

```
wf-bool -= False

fun val-to-bool :: Value \Rightarrow bool where

val-to-bool (IntVal32\ val) = (if\ val = 0\ then\ False\ else\ True)\ |\ val-to-bool (IntVal64\ val) = (if\ val = 0\ then\ False\ else\ True)\ |\ val-to-bool v = False

fun bool-to-val :: bool \Rightarrow Value where

bool-to-val\ True = (IntVal32\ 1)\ |\ bool-to-val\ False = (IntVal32\ 0)

value sint(word-of-int\ (1) :: int1)

fun is-int-val :: Value \Rightarrow bool\ where

is-int-val\ (IntVal32\ v) = True\ |\ is-int-val\ -= False
```

#### 1.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions know to make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval\text{-}add :: Value \Rightarrow Value \Rightarrow Value where intval\text{-}add (IntVal32\ v1) (IntVal32\ v2) = (IntVal32\ (v1+v2)) intval\text{-}add (IntVal64\ v1) (IntVal64\ v2) = (IntVal64\ (v1+v2)) intval\text{-}add - - = UndefVal

instantiation Value :: ab\text{-}semigroup\text{-}add

begin

definition plus\text{-}Value :: Value \Rightarrow Value \Rightarrow Value where plus\text{-}Value = intval\text{-}add

print-locale! ab\text{-}semigroup\text{-}add

instance proof fix\ a\ b\ c :: Value\ show\ a+b+c=a+(b+c)

apply (simp\ add:\ plus\text{-}Value\text{-}def)
```

```
apply (induction a; induction b; induction c; auto)
   done
 \mathbf{show}\ a+b=b+a
   apply (simp add: plus-Value-def)
   apply (induction a; induction b; auto)
   done
\mathbf{qed}
end
fun intval-sub :: Value \Rightarrow Value \Rightarrow Value where
  intval-sub (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1-v2))
 intval-sub (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1-v2))
 intval-sub - - = UndefVal
instantiation Value :: minus
begin
definition minus-Value :: Value \Rightarrow Value \Rightarrow Value where
 minus-Value = intval-sub
instance proof qed
end
fun intval-mul :: Value \Rightarrow Value \Rightarrow Value where
  intval-mul (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1*v2))
  intval-mul (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1*v2))
 intval-mul - - = UndefVal
instantiation Value :: times
begin
definition times-Value :: Value \Rightarrow Value \Rightarrow Value  where
 times	ext{-}Value = intval	ext{-}mul
instance proof qed
end
fun intval-div :: Value \Rightarrow Value \Rightarrow Value where
  intval-div (IntVal32 v1) (IntVal32 v2) = (IntVal32 (word-of-int((sint v1) sdiv)))
(sint \ v2)))) \mid
  intval-div \ (IntVal64 \ v1) \ (IntVal64 \ v2) = (IntVal64 \ (word-of-int((sint \ v1) \ sdiv)) \ (IntVal64 \ v2) \ (word-of-int((sint \ v1) \ sdiv))
(sint \ v2)))) \mid
 intval-div - - = UndefVal
instantiation Value :: divide
```

```
begin
```

```
definition divide-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  divide-Value = intval-div
instance proof ged
end
\mathbf{fun} \ \mathit{intval\text{-}mod} :: \ \mathit{Value} \Rightarrow \mathit{Value} \Rightarrow \mathit{Value} \ \mathbf{where}
  intval-mod\ (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (word-of-int((sint\ v1)\ smod\ v2))
(sint \ v2)))) \mid
 intval-mod\ (IntVal64\ v1)\ (IntVal64\ v2) = (IntVal64\ (word-of-int((sint\ v1)\ smod\ v2))
(sint \ v2)))) \mid
  intval-mod - - = UndefVal
instantiation Value :: modulo
begin
definition modulo-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  modulo-Value = intval-mod
instance proof qed
end
1.2
       Bitwise Operators and Comparisons
context
 includes bit-operations-syntax
begin
fun intval-and :: Value \Rightarrow Value \Rightarrow Value where
  intval-and (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1\ AND\ v2))
  intval-and (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 AND v2)) |
  intval-and - - = UndefVal
fun intval\text{-}or :: Value \Rightarrow Value \Rightarrow Value where
  intval-or (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1\ OR\ v2))\ |
  intval-or (IntVal64 \ v1) \ (IntVal64 \ v2) = (IntVal64 \ (v1 \ OR \ v2)) \ |
  intval-or - - = UndefVal
fun intval-xor :: Value \Rightarrow Value \Rightarrow Value where
  intval-xor (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 XOR v2))
  intval-xor (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 XOR v2))
  intval-xor - - = UndefVal
```

```
fun intval-short-circuit-or :: Value \Rightarrow Value \Rightarrow Value where
  intval-short-circuit-or\ (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1\ OR\ v2))
  intval-short-circuit-or (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 OR v2))
  intval-short-circuit-or - - = UndefVal
fun intval-equals :: Value \Rightarrow Value \Rightarrow Value where
  intval-equals (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 = v2)
  intval-equals (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 = v2)
  intval-equals - - = UndefVal
fun intval-less-than :: Value \Rightarrow Value \Rightarrow Value where
  intval-less-than (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 < s v2)
  intval-less-than (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 < s v2)
  intval-less-than - - = UndefVal
fun intval-below :: Value \Rightarrow Value \Rightarrow Value where
  intval-below (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 < v2)
  intval-below (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 < v2)
  intval-below - - = UndefVal
fun intval-not :: Value \Rightarrow Value where
  intval-not (IntVal32\ v) = (IntVal32\ (NOT\ v))
  intval-not (IntVal64\ v) = (IntVal64\ (NOT\ v))
  intval-not - = UndefVal
fun intval-negate :: Value \Rightarrow Value where
  intval-negate (IntVal32\ v) = IntVal32\ (-\ v)
  intval-negate (IntVal64 v) = IntVal64 (-v)
  intval-negate - = UndefVal
fun intval-abs :: Value <math>\Rightarrow Value where
  intval-abs\ (IntVal32\ v) = (if\ (v) < s\ 0\ then\ (IntVal32\ (-v))\ else\ (IntVal32\ v))
 intval-abs\ (IntVal64\ v) = (if\ (v) < s\ 0\ then\ (IntVal64\ (-\ v))\ else\ (IntVal64\ v))\ |
  intval-abs -= UndefVal
fun intval\text{-}conditional :: Value <math>\Rightarrow Value \Rightarrow Value \Rightarrow Value  where
  intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)
fun intval-logic-negation :: Value \Rightarrow Value where
  intval-logic-negation (IntVal32 v) = (IntVal32 (logic-negate v))
  intval-logic-negation (IntVal64 v) = (IntVal64 (logic-negate v))
  intval-logic-negation - = UndefVal
lemma intval-eq32:
 assumes intval-equals (IntVal32\ v1)\ v2 \neq UndefVal
 shows is-IntVal32 v2
 by (metis Value.exhaust-disc assms intval-equals.simps(10) intval-equals.simps(12)
intval-equals.simps(15) intval-equals.simps(16) is-IntVal64-def is-ObjRef-def is-ObjStr-def)
```

```
lemma intval-eq32-simp:

assumes intval-equals (IntVal32 v1) v2 \neq UndefVal

shows intval-equals (IntVal32 v1) v2 = bool\text{-}to\text{-}val \ (v1 = un\text{-}IntVal32 \ v2)

by (metis Value.collapse(1) assms intval-eq32 intval-equals.simps(1))
```

#### 1.3 Narrowing and Widening Operators

Note: we allow these operators to have inBits=outBits, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

When narrowing to less than 32 bits, we sign extend back to 32 bits, because we always represent integer values as either 32 or 64 bits.

```
\mathbf{fun} \ narrow\text{-}helper :: nat \Rightarrow nat \Rightarrow int32 \Rightarrow \textit{Value} \ \mathbf{where}
  narrow-helper inBits outBits val =
   (if \ outBits \leq inBits \land outBits \leq 32 \land
        outBits \in valid\text{-}int\text{-}widths \land
        inBits \in valid\text{-}int\text{-}widths
     then IntVal32 (signed-take-bit (outBits -1) val)
     else UndefVal)
value sint(signed-take-bit \ 0 \ (1 :: int32))
fun intval-narrow :: nat \Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-narrow inBits outBits (IntVal32 v) =
     (if inBits = 64)
      then UndefVal
      else\ narrow-helper\ inBits\ outBits\ v)\ |
  intval-narrow inBits outBits (IntVal64 v) =
     (if inBits = 64
      then (if outBits = 64
            then IntVal64 v
            else \ narrow-helper \ inBits \ outBits \ (scast \ v))
      else UndefVal)
  intval-narrow - - - = UndefVal
value intval(intval-narrow 16 8 (IntVal32 (512 - 2)))
fun choose-32-64 :: nat \Rightarrow int64 \Rightarrow Value where
  choose-32-64 outBits v = (if \ outBits = 64 \ then \ (IntVal64 \ v) \ else \ (IntVal32 \ (scast
v)))
value sint (signed-take-bit 7 ((256 + 128) :: int64))
fun sign-extend-helper :: nat \Rightarrow nat \Rightarrow int32 \Rightarrow Value where
  sign-extend-helper inBits outBits val =
   (if \ inBits \leq outBits \land inBits \leq 32 \land
```

```
outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
    then
      (if \ outBits = 64)
       then IntVal64 (scast (signed-take-bit (inBits -1) val))
        else IntVal32 (signed-take-bit (inBits - 1) val))
     else UndefVal)
fun intval-sign-extend :: nat <math>\Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-sign-extend inBits outBits (IntVal32 v) =
    sign-extend-helper inBits outBits v
  intval-sign-extend inBits outBits (IntVal64 v) =
    (if inBits=64 \land outBits=64 then IntVal64 v else UndefVal)
  intval-sign-extend - - - = UndefVal
fun zero-extend-helper :: nat \Rightarrow nat \Rightarrow int32 \Rightarrow Value where
  zero-extend-helper inBits outBits val =
   (if \ inBits \leq outBits \land inBits \leq 32 \land 
       outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
    then
      (if \ out Bits = 64)
       then IntVal64 (ucast (take-bit inBits val))
        else IntVal32 (take-bit inBits val))
    else UndefVal)
fun intval\text{-}zero\text{-}extend :: nat \Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-zero-extend inBits outBits (IntVal32 v) =
     zero-extend-helper inBits outBits v
  intval-zero-extend inBits outBits (IntVal64 v) =
    (if inBits=64 \land outBits=64 then IntVal64 v else UndefVal)
  intval-zero-extend - - - = UndefVal
Some well-formedness results to help reasoning about narrowing and widen-
ing operators
lemma narrow-helper-ok:
  assumes narrow-helper inBits outBits val \neq UndefVal
  shows \theta < outBits \land outBits \leq 32 \land
       outBits \leq inBits \land
       outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
  using assms narrow-helper.simps neq0-conv by fastforce
lemma intval-narrow-ok:
  assumes intval-narrow inBits outBits val \neq UndefVal
  shows \theta < outBits \land
        outBits \leq inBits \land
```

```
outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
 \mathbf{using}\ assms\ narrow-helper-ok\ intval-narrow.simps\ neq 0-conv
 by (smt (verit, best) insertCI intval-sign-extend.elims order-le-less zero-neg-numeral)
lemma narrow-takes-64:
  assumes result = intval-narrow in Bits out Bits value
  assumes result \neq UndefVal
 shows is-IntVal64 value = (inBits = 64)
  using assms by (cases value; simp; presburger)
lemma narrow-gives-64:
  assumes \ result = intval{-}narrow \ in Bits \ out Bits \ value
 assumes result \neq UndefVal
 shows is-IntVal64 result = (outBits = 64)
 using assms
 by (smt (verit, best) Value.case-eq-if Value.discI(1) Value.discI(2) Value.disc-eq-case(3)
add-diff-cancel-left' diff-is-0-eq intval-narrow.elims narrow-helper.simps numeral-Bit0
zero-neg-numeral)
{\bf lemma}\ sign\text{-}extend\text{-}helper\text{-}ok\text{:}
  assumes sign-extend-helper inBits outBits val \neq UndefVal
  shows 0 < inBits \land inBits \leq 32 \land
       inBits \leq outBits \land
       outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
  using assms sign-extend-helper.simps neq0-conv by fastforce
lemma intval-sign-extend-ok:
  assumes intval-sign-extend in Bits out Bits val \neq Undef Val
  shows \theta < inBits \wedge
       inBits \leq outBits \land
       outBits \in valid\text{-}int\text{-}widths \land
       inBits \in valid\text{-}int\text{-}widths
 using assms sign-extend-helper-ok intval-sign-extend.simps neq0-conv
 by (smt (verit, best) insertCI intval-sign-extend.elims order-le-less zero-neq-numeral)
\mathbf{lemma}\ \textit{zero-extend-helper-ok}\colon
  assumes zero-extend-helper inBits outBits val \neq UndefVal
  shows 0 < inBits \land inBits \leq 32 \land
       inBits \leq outBits \land
       outBits \in \mathit{valid-int-widths} \ \land
       inBits \in valid\text{-}int\text{-}widths
  using assms zero-extend-helper.simps neq0-conv by fastforce
```

**lemma** *intval-zero-extend-ok*:

```
assumes intval\text{-}zero\text{-}extend inBits outBits }val \neq UndefVal

shows 0 < inBits \land

inBits \leq outBits \land

outBits \in valid\text{-}int\text{-}widths \land

inBits \in valid\text{-}int\text{-}widths

using assms\ zero\text{-}extend\text{-}helper\text{-}ok\ intval\text{-}zero\text{-}extend\text{.}simps\ neq0\text{-}conv}

by (smt\ (verit,\ best)\ insertCI\ intval\text{-}zero\text{-}extend\text{.}elims\ order\text{-}le\text{-}less\ zero\text{-}neg\text{-}numeral})
```

```
Bit-Shifting Operators
1.4
definition shiftl (infix <<75) where
 shiftl \ w \ n = (push-bit \ n) \ w
lemma shiftl-power[simp]: (x::('a::len) word) * (2 ^j) = x << j
 unfolding shiftl-def apply (induction j)
  apply simp unfolding funpow-Suc-right
 by (metis (no-types, opaque-lifting) push-bit-eq-mult)
lemma (x::('a::len) \ word) * ((2 \ \hat{} \ j) + 1) = x << j + x
 by (simp add: distrib-left)
lemma (x::('a::len) word) * ((2 ^j) - 1) = x << j - x
 by (simp add: right-diff-distrib)
lemma (x::('a::len) word) * ((2\hat{j}) + (2\hat{k})) = x << j + x << k
 by (simp add: distrib-left)
lemma (x::('a::len) \ word) * ((2\hat{j}) - (2\hat{k})) = x << j - x << k
 by (simp add: right-diff-distrib)
definition shiftr (infix >>> 75) where
 shiftr \ w \ n = (drop-bit \ n) \ w
value (255 :: 8 \ word) >>> (2 :: nat)
definition signed-shift :: 'a :: len word \Rightarrow nat \Rightarrow 'a :: len word (infix >> 75)
where
 signed-shift w \ n = word-of-int ((sint \ w) \ div \ (2 \ \widehat{\ } n))
value (128 :: 8 word) >> 2
```

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun intval-left-shift :: Value \Rightarrow Value \Rightarrow Value where intval-left-shift (IntVal32\ v1) (IntVal32\ v2) = IntVal32\ (v1 << unat\ (v2\ AND)
```

```
\theta x1f))
  intval-left-shift (IntVal32 v1) (IntVal64 v2) = IntVal32 (v1 << unat (v2 AND)
0x1f))
  intval-left-shift (IntVal64 \ v1) \ (IntVal32 \ v2) = IntVal64 \ (v1 << unat \ (v2 \ AND)
\theta x3f))
  intval-left-shift (IntVal64 \ v1) \ (IntVal64 \ v2) = IntVal64 \ (v1 << unat \ (v2 \ AND)
\theta x \Im f)) \mid
  intval-left-shift - - = UndefVal
fun intval-right-shift :: Value <math>\Rightarrow Value \Rightarrow Value where
  intval-right-shift (IntVal32 v1) (IntVal32 v2) = IntVal32 (v1 >> unat (v2 AND)
 intval-right-shift (IntVal32 v1) (IntVal64 v2) = IntVal32 (v1 >> unat (v2 AND)
\theta x1f)) \mid
 intval-right-shift\ (IntVal64\ v1)\ (IntVal32\ v2) = IntVal64\ (v1 >> unat\ (v2\ AND)
 intval-right-shift\ (IntVal64\ v1)\ (IntVal64\ v2) = IntVal64\ (v1 >> unat\ (v2\ AND
\theta x3f))
  intval-right-shift - - = UndefVal
fun intval-uright-shift :: Value \Rightarrow Value \Rightarrow Value where
  intval-uright-shift \ (IntVal32 \ v1) \ (IntVal32 \ v2) = IntVal32 \ (v1 >>> unat \ (v2)
AND \ \theta x1f)) \ |
  intval-uright-shift\ (IntVal32\ v1)\ (IntVal64\ v2) = IntVal32\ (v1 >>> unat\ (v2)
AND \ \theta x1f)) \ |
  intval-uright-shift \ (IntVal64 \ v1) \ (IntVal32 \ v2) = IntVal64 \ (v1 >>> unat \ (v2)
AND \ \theta x3f)) \ |
  intval-uright-shift (IntVal64 v1) (IntVal64 v2) = IntVal64 (v1 >>> unat (v2
AND \ \theta x3f)) \ |
 intval\text{-}uright\text{-}shift\text{ - -} = \textit{UndefVal}
```

end

#### $\mathbf{2}$ Examples of Narrowing / Widening Functions

```
experiment begin
```

```
corollary intval-narrow 32 8 (Int Val32 (256 + 128)) = Int Val32 (-128) by simp
corollary intval-narrow 32 8 (IntVal32 (-2)) = IntVal32 (-2) by simp
corollary intval-narrow 32 1 (IntVal32 (-2)) = IntVal32 0 by simp
corollary intval-narrow 32 1 (IntVal32 (-3)) = IntVal32 (-1) by simp
```

```
corollary intval-narrow 32 8 (IntVal64 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal32 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal64 (-2)) = IntVal32 (-2) by simp
corollary intval-narrow 64 8 (IntVal64 (256+127)) = IntVal32 127 by simp
corollary intval-narrow 64 32 (IntVal64 (-2)) = IntVal32 (-2) by simp
corollary intval-narrow 64 64 (Int Val64 (-2)) = Int Val64 (-2) by simp
end
```

```
corollary intval-sign-extend 8 32 (IntVal32 (-2)) = IntVal32 (-2) by simp
corollary intval-sign-extend 1 32 (IntVal32 (-2)) = IntVal32 0 by simp
corollary intval-sign-extend 1 32 (IntVal32 (-3)) = IntVal32 (-1) by simp
corollary intval-sign-extend 8 32 (IntVal64 (-2)) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal64 (-2)) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal32 (-2)) = IntVal64 (-2) by simp
corollary intval-sign-extend 32 64 (IntVal32 (-2)) = IntVal64 (-2) by simp
corollary intval-sign-extend 64 64 (IntVal64 (-2)) = IntVal64 (-2) by simp
end
experiment begin
corollary intval-zero-extend 8 32 (IntVal32 (256 + 128)) = IntVal32 128 by
corollary intval-zero-extend 8 32 (IntVal32 (-2)) = IntVal32 254 by simp
corollary intval-zero-extend 1 32 (IntVal32 (-1)) = IntVal32 1 by simp
corollary intval-zero-extend 1 32 (IntVal32 (-2)) = IntVal32 0 by simp
corollary intval-zero-extend 8 32 (IntVal64 (-2)) = UndefVal by simp
corollary intval-zero-extend 8 64 (IntVal64 (-2)) = UndefVal by simp
corollary intval-zero-extend 8 64 (IntVal32 (-2)) = IntVal64 254 by simp
corollary intval-zero-extend 32 64 (IntVal32 (-2)) = IntVal64 4294967294 by
simp
end
lemma intval-add-sym:
 shows intval-add a b = intval-add b a
 by (induction a; induction b; auto)
code-deps intval-add
code-thms intval-add
lemma intval-add (IntVal32 (2^31-1)) (IntVal32 (2^31-1)) = IntVal32 (-2)
 \mathbf{bv} eval
lemma intval-add (IntVal64 (2^31-1)) (IntVal64 (2^31-1)) = IntVal64 4294967294
```

corollary intval-sign-extend 8 32 (IntVal32 (256 + 128)) = IntVal32 (-128) by

experiment begin

by eval

end

## 3 Nodes

## 3.1 Types of Nodes

```
theory IRNodes
imports
Values
begin
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs\_of and successors\_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
datatype (discs-sels) IRNode =
 AbsNode (ir-value: INPUT)
  AddNode (ir-x: INPUT) (ir-y: INPUT)
  AndNode (ir-x: INPUT) (ir-y: INPUT)
  BeginNode (ir-next: SUCC)
 |\ Bytecode Exception Node\ (ir\text{-}arguments:\ INPUT\ list)\ (ir\text{-}state After\text{-}opt:\ INPUT\text{-}STATE)
option) (ir-next: SUCC)
  ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
 | ConstantNode (ir-const: Value)
```

```
DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  \mid EndNode
 | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
   | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:
INPUT-STATE list option)
 | IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC)
    IntegerBelowNode (ir-x: INPUT) (ir-y: INPUT)
     IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
  | IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
    | InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC)
 | InvokeWithExceptionNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt:
INPUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC) (ir-exceptionEdge: SUCC)
    IsNullNode (ir-value: INPUT)
     KillingBeginNode (ir-next: SUCC)
  | LeftShiftNode (ir-x: INPUT) (ir-y: INPUT)
    | LoadFieldNode (ir-nid: ID) (ir-field: string) (ir-object-opt: INPUT option)
(ir-next: SUCC)
  | LogicNegationNode (ir-value: INPUT-COND)|
  | LoopBeginNode (ir-ends: INPUT-ASSOC list) (ir-overflowGuard-opt: INPUT-GUARD
option) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  | LoopEndNode (ir-loopBegin: INPUT-ASSOC)
 | LoopExitNode\ (ir-loopBegin:\ INPUT-ASSOC)\ (ir-stateAfter-opt:\ INPUT-STATE) | LoopExitNode\ (ir-loopBegin:\ INPUT-STATE) | LoopExi
option) (ir-next: SUCC)
   | \ \textit{MergeNode} \ (\textit{ir-ends: INPUT-ASSOC list}) \ (\textit{ir-stateAfter-opt: INPUT-STATE}) \\
option) (ir-next: SUCC)
     MethodCallTargetNode (ir-targetMethod: string) (ir-arguments: INPUT list)
     MulNode (ir-x: INPUT) (ir-y: INPUT)
     NarrowNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
    NegateNode (ir-value: INPUT)
    NewArrayNode (ir-length: INPUT) (ir-stateBefore-opt: INPUT-STATE option)
(ir-next: SUCC)
    NewInstanceNode (ir-nid: ID) (ir-instanceClass: string) (ir-stateBefore-opt: IN-
PUT-STATE option) (ir-next: SUCC)
     NotNode (ir-value: INPUT)
    OrNode (ir-x: INPUT) (ir-y: INPUT)
     ParameterNode (ir-index: nat)
    PiNode (ir-object: INPUT) (ir-guard-opt: INPUT-GUARD option)
   | ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
     RightShiftNode (ir-x: INPUT) (ir-y: INPUT)
     ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
    SignExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
```

```
| SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
   SubNode (ir-x: INPUT) (ir-y: INPUT)
   UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
   UnwindNode (ir-exception: INPUT)
   ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
   ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
   XorNode (ir-x: INPUT) (ir-y: INPUT)
   ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
   NoNode
 | RefNode (ir-ref:ID)
fun opt-to-list :: 'a option \Rightarrow 'a list where
 opt-to-list None = [] |
 opt-to-list (Some \ v) = [v]
fun opt-list-to-list :: 'a list option \Rightarrow 'a list where
 opt-list-to-list None = []
 opt-list-to-list (Some \ x) = x
The following functions, inputs of and successors of, are automatically gen-
erated from the GraalVM compiler. Their purpose is to partition the node
edges into input or successor edges.
fun inputs-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 inputs-of-AbsNode:
 inputs-of (AbsNode value) = [value] \mid
 inputs-of-AddNode:
 inputs-of (AddNode\ x\ y) = [x,\ y]
 inputs-of-AndNode:
 inputs-of (AndNode x y) = [x, y]
 inputs-of-BeginNode:
 inputs-of (BeginNode next) = [] |
 inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter) |
 inputs-of-Conditional Node:
```

```
inputs-of (ConditionalNode condition trueValue falseValue) = \lceil condition, true-
Value, falseValue
   inputs-of-ConstantNode:
   inputs-of (ConstantNode const) = []
   inputs-of-DynamicNewArrayNode:
    inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
   inputs-of-EndNode:
   inputs-of (EndNode) = [] |
   inputs-of	ext{-}ExceptionObjectNode:
   inputs-of\ (ExceptionObjectNode\ stateAfter\ next) = (opt-to-list\ stateAfter)\ |
   inputs-of	ext{-}FrameState:
  inputs-of\ (FrameState\ monitorIds\ outerFrameState\ values\ virtualObjectMappings)
= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
virtualObjectMappings)
   inputs-of-IfNode:
   inputs-of (IfNode \ condition \ trueSuccessor \ falseSuccessor) = [condition] \ |
   inputs-of-IntegerBelowNode:
   inputs-of (IntegerBelowNode \ x \ y) = [x, y]
   inputs-of-IntegerEqualsNode:
   inputs-of\ (IntegerEqualsNode\ x\ y) = [x,\ y]\ |
   inputs-of-IntegerLessThanNode:
   inputs-of\ (IntegerLessThanNode\ x\ y) = [x,\ y]\ |
   inputs-of-InvokeNode:
    inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next) =
callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list stateAfter)
   inputs-of-Invoke\ With Exception\ Node:
  inputs-of\ (InvokeWithExceptionNode\ nid0\ callTarget\ classInit\ stateDuring\ stateAfter
next\ exceptionEdge) = callTarget\ \#\ (opt-to-list\ classInit)\ @\ (opt-to-list\ stateDur-to-list\ s
ing) @ (opt-to-list stateAfter) |
   inputs-of-IsNullNode:
   inputs-of (IsNullNode value) = [value]
   inputs-of-KillingBeginNode:
   inputs-of (KillingBeginNode next) = []
   inputs-of-LeftShiftNode:
   inputs-of (LeftShiftNode \ x \ y) = [x, \ y] \mid
   inputs-of-LoadFieldNode:
   inputs-of (LoadFieldNode \ nid0 \ field \ object \ next) = (opt-to-list \ object)
   inputs-of-LogicNegationNode:
   inputs-of (LogicNegationNode \ value) = [value]
   inputs-of-LoopBeginNode:
  inputs-of\ (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = ends\ @\ (opt-to-list
overflowGuard) @ (opt-to-list stateAfter) |
   inputs-of-LoopEndNode:
   inputs-of\ (LoopEndNode\ loopBegin) = [loopBegin]
   inputs-of-LoopExitNode:
    inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list
```

```
stateAfter) |
 inputs-of-MergeNode:
 inputs-of (MergeNode\ ends\ stateAfter\ next) = ends\ @\ (opt-to-list\ stateAfter)\ |
 inputs-of-MethodCallTargetNode:
 inputs-of\ (MethodCallTargetNode\ targetMethod\ arguments) = arguments
 inputs-of-MulNode:
 inputs-of (MulNode x y) = [x, y]
 inputs-of-NarrowNode:
 inputs-of\ (NarrowNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-NegateNode:
 inputs-of (NegateNode value) = [value]
 inputs-of-NewArrayNode:
 Before) \mid
 inputs-of-NewInstanceNode:
  inputs-of (NewInstanceNode nid0 instanceClass\ stateBefore\ next) = (opt-to-list
stateBefore
 inputs-of-NotNode:
 inputs-of (NotNode value) = [value]
 inputs-of-OrNode:
 inputs-of (OrNode\ x\ y) = [x,\ y]
 inputs-of\mbox{-}Parameter Node:
 inputs-of (ParameterNode index) = []
 inputs-of-PiNode:
 inputs-of\ (PiNode\ object\ guard) = object\ \#\ (opt-to-list\ guard)
 inputs-of-ReturnNode:
  inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap) |
 inputs-of-RightShiftNode:
 inputs-of (RightShiftNode \ x \ y) = [x, \ y] \mid
 inputs-of-ShortCircuitOrNode:
 inputs-of\ (ShortCircuitOrNode\ x\ y) = [x,\ y]\ |
 inputs-of-SignExtendNode:
 inputs-of\ (SignExtendNode\ inputBits\ resultBits\ value) = [value]
 inputs-of	ext{-}SignedDivNode:
 inputs-of\ (SignedDivNode\ nid0\ x\ y\ zeroCheck\ stateBefore\ next) = [x,y]\ @\ (opt-to-list
zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-SignedRemNode:
  inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of	ext{-}StartNode:
 inputs-of\ (StartNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
 inputs-of-StoreFieldNode:
  inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt-to-list stateAfter) @ (opt-to-list object) |
 inputs-of	ext{-}SubNode:
 inputs-of\ (SubNode\ x\ y) = [x,\ y]\ |
 inputs-of-Unsigned Right Shift Node:
 inputs-of (UnsignedRightShiftNode \ x \ y) = [x, \ y] \mid
```

```
inputs-of-UnwindNode:
 inputs-of (UnwindNode exception) = [exception]
 inputs-of-ValuePhiNode:
 inputs-of (ValuePhiNode nid0 values merge) = merge # values |
 inputs-of-ValueProxyNode:
 inputs-of\ (ValueProxyNode\ value\ loopExit) = [value,\ loopExit]
 inputs-of-XorNode:
 inputs-of (XorNode \ x \ y) = [x, \ y] \mid
 inputs-of-ZeroExtendNode:
 inputs-of\ (ZeroExtendNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-NoNode: inputs-of (NoNode) = [] |
 inputs-of-RefNode: inputs-of (RefNode ref) = [ref]
\mathbf{fun} \ \mathit{successors}\text{-}\mathit{of} :: \mathit{IRNode} \Rightarrow \mathit{ID} \ \mathit{list} \ \mathbf{where}
 successors-of-AbsNode:
 successors-of (AbsNode value) = [] |
 successors-of-AddNode:
 successors-of (AddNode \ x \ y) = [] |
 successors-of-AndNode:
 successors-of (AndNode \ x \ y) = [] 
 successors-of-BeginNode:
 successors-of (BeginNode\ next) = [next]
 successors-of-BytecodeExceptionNode:
 successors-of (BytecodeExceptionNode\ arguments\ stateAfter\ next) = [next]
 successors-of-ConditionalNode:
 successors-of (ConditionalNode condition trueValue\ falseValue) = []
 successors-of-ConstantNode:
 successors-of (ConstantNode const) = [] |
 successors-of-DynamicNewArrayNode:
 successors-of (DynamicNewArrayNode\ elementType\ length0\ voidClass\ stateBefore
next) = [next]
 successors-of-EndNode:
 successors-of (EndNode) = [] |
 successors-of-ExceptionObjectNode:
 successors-of (ExceptionObjectNode\ stateAfter\ next) = [next]
 successors-of-FrameState:
 successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] \mid
 successors-of-IfNode:
 successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor] |
 successors-of-IntegerBelowNode:
 successors-of (IntegerBelowNode \ x \ y) = [] |
 successors-of-IntegerEqualsNode:
 successors-of (IntegerEqualsNode \ x \ y) = []
 successors-of-IntegerLessThanNode:
```

```
successors-of (IntegerLessThanNode\ x\ y) = []
 successors-of-InvokeNode:
 successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next]
 successors-of-Invoke With Exception Node:
  successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter\ next\ exceptionEdge) = [next,\ exceptionEdge]\ |
 successors-of-IsNullNode:
 successors-of\ (IsNullNode\ value) = []\ |
 successors-of-KillingBeginNode:
 successors-of (KillingBeginNode\ next) = [next]
 successors-of-LeftShiftNode:
 successors-of (LeftShiftNode \ x \ y) = [] |
 successors-of-LoadFieldNode:
 successors-of (LoadFieldNode nid0 field object next) = [next]
 successors-of-LogicNegationNode:
 successors-of (LogicNegationNode\ value) = []
 successors-of-LoopBeginNode:
 successors-of (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = [next]
 successors-of-LoopEndNode:
 successors-of (LoopEndNode\ loopBegin) = []
 successors-of-LoopExitNode:
 successors-of (LoopExitNode\ loopBegin\ stateAfter\ next) = [next]
 successors-of-MergeNode:
 successors-of (MergeNode\ ends\ stateAfter\ next) = [next]
 successors-of-MethodCallTargetNode:
 successors-of (MethodCallTargetNode\ targetMethod\ arguments) = []
 successors-of-MulNode:
 successors-of (MulNode\ x\ y) = []
 successors-of-NarrowNode:
 successors-of (NarrowNode\ inputBits\ resultBits\ value) = []
 successors-of-NegateNode:
 successors-of (NegateNode value) = [] |
 successors-of-NewArrayNode:
 successors-of (NewArrayNode\ length0\ stateBefore\ next) = [next]
 successors-of-NewInstanceNode:
 successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next]
 successors-of-NotNode:
 successors-of (NotNode value) = [] |
 successors-of-OrNode:
 successors-of (OrNode \ x \ y) = [] |
 successors-of-ParameterNode:
 successors-of (ParameterNode\ index) = []
 successors-of-PiNode:
 successors-of (PiNode object guard) = [] |
 successors-of-ReturnNode:
 successors-of (ReturnNode\ result\ memoryMap) = []
 successors-of-RightShiftNode:
 successors-of (RightShiftNode \ x \ y) = [] |
```

```
successors-of-ShortCircuitOrNode:
 successors-of (ShortCircuitOrNode\ x\ y) = []
 successors-of-SignExtendNode:
 successors-of (SignExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-SignedDivNode:
 successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next]
 successors-of-SignedRemNode:
 successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next]
 successors-of-StartNode:
 successors-of\ (StartNode\ stateAfter\ next) = [next]
 successors-of-StoreFieldNode:
 successors-of (StoreFieldNode nid0 field value stateAfter\ object\ next) = [next]
 successors-of-SubNode:
 successors-of (SubNode \ x \ y) = [] |
 successors-of-Unsigned Right Shift Node:\\
 successors-of (UnsignedRightShiftNode \ x \ y) = []
 successors-of-UnwindNode:
 successors-of (UnwindNode exception) = [] |
 successors-of-ValuePhiNode:
 successors-of (ValuePhiNode nid0 values merge) = []
 successors-of-ValueProxyNode:
 successors-of\ (ValueProxyNode\ value\ loopExit) = []\ |
 successors-of-XorNode:
 successors-of\ (XorNode\ x\ y) = []\ |
 successors-of-ZeroExtendNode:
 successors-of (ZeroExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-NoNode: successors-of (NoNode) = []
 successors-of-RefNode: successors-of (RefNode ref) = [ref]
lemma inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z
 unfolding inputs-of-FrameState by simp
lemma successors-of (FrameState x (Some y) (Some z) None) = []
 unfolding inputs-of-FrameState by simp
lemma inputs-of (IfNode c t f) = [c]
 unfolding inputs-of-IfNode by simp
lemma successors-of (IfNode c\ t\ f) = [t, f]
 unfolding successors-of-IfNode by simp
lemma inputs-of (EndNode) = [] \land successors-of (EndNode) = []
 unfolding inputs-of-EndNode successors-of-EndNode by simp
end
```

#### 3.2 Hierarchy of Nodes

theory IRNodeHierarchy imports IRNodes begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is < ClassName > Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
\mathbf{fun} \ \textit{is-EndNode} :: \textit{IRNode} \Rightarrow \textit{bool} \ \mathbf{where}
  is-EndNode \ EndNode = True
  is-EndNode - = False
fun is-VirtualState :: IRNode \Rightarrow bool where
  is-VirtualState n = ((is-FrameState n))
fun is-BinaryArithmeticNode :: IRNode <math>\Rightarrow bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-MulNode
n) \ \lor \ (is\text{-}OrNode\ n) \ \lor \ (is\text{-}SubNode\ n) \ \lor \ (is\text{-}XorNode\ n))
fun is-ShiftNode :: IRNode \Rightarrow bool where
 is-ShiftNode n = ((is-LeftShiftNode n) \lor (is-RightShiftNode n) \lor (is-UnsignedRightShiftNode
n))
fun is-BinaryNode :: IRNode <math>\Rightarrow bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n) \lor (is-ShiftNode n))
fun is-AbstractLocalNode :: IRNode <math>\Rightarrow bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))
fun is-IntegerConvertNode :: IRNode \Rightarrow bool where
 is-IntegerConvertNode n = ((is-NarrowNode n) \lor (is-SignExtendNode n) \lor (is-ZeroExtendNode
fun is-UnaryArithmeticNode :: IRNode <math>\Rightarrow bool where
 is-UnaryArithmeticNode n = ((is-AbsNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-UnaryNode :: IRNode \Rightarrow bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) \lor (is-UnaryArithmeticNode n))
```

```
fun is-PhiNode :: IRNode <math>\Rightarrow bool where
  is-PhiNode n = ((is-ValuePhiNode n))
fun is-FloatingGuardedNode :: IRNode <math>\Rightarrow bool where
  is-FloatingGuardedNode n = ((is-PiNode n))
fun is-UnaryOpLogicNode :: IRNode <math>\Rightarrow bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))
\mathbf{fun} \ \mathit{is-IntegerLowerThanNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
 is-IntegerLowerThanNode n = ((is-IntegerBelowNode n) \lor (is-IntegerLessThanNode
n))
\mathbf{fun} \ \mathit{is-CompareNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is\text{-}CompareNode\ n = ((is\text{-}IntegerEqualsNode\ n) \lor (is\text{-}IntegerLowerThanNode\ n))
fun is-BinaryOpLogicNode :: IRNode <math>\Rightarrow bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))
fun is-LogicNode :: IRNode \Rightarrow bool where
   is\text{-}LogicNode \ n = ((is\text{-}BinaryOpLogicNode \ n) \lor (is\text{-}LogicNegationNode \ n) \lor
(is	ext{-}ShortCircuitOrNode\ n) \lor (is	ext{-}UnaryOpLogicNode\ n))
fun is-ProxyNode :: IRNode <math>\Rightarrow bool where
  is-ProxyNode\ n = ((is-ValueProxyNode\ n))
fun is-FloatingNode :: IRNode <math>\Rightarrow bool where
 is-FloatingNode n = ((is-AbstractLocalNode n) \lor (is-BinaryNode n) \lor (is-ConditionalNode
n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}FloatingGuardedNode\ n) \lor (is\text{-}LogicNode\ n) \lor
(is-PhiNode\ n) \lor (is-ProxyNode\ n) \lor (is-UnaryNode\ n))
fun is-AccessFieldNode :: IRNode <math>\Rightarrow bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n) \lor (is-StoreFieldNode n))
fun is-AbstractNewArrayNode :: IRNode <math>\Rightarrow bool where
 is-AbstractNewArrayNode \ n = ((is-DynamicNewArrayNode \ n) \lor (is-NewArrayNode \ n)
n))
fun is-AbstractNewObjectNode :: IRNode <math>\Rightarrow bool where
 is-AbstractNewObjectNode\ n=((is-AbstractNewArrayNode\ n)\lor (is-NewInstanceNode\ n)
n))
fun is-IntegerDivRemNode :: IRNode \Rightarrow bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n) \lor (is-SignedRemNode n))
fun is-FixedBinaryNode :: IRNode <math>\Rightarrow bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
fun is-DeoptimizingFixedWithNextNode :: IRNode \Rightarrow bool where
```

```
is-DeoptimizingFixedWithNextNode\ n = ((is-AbstractNewObjectNode\ n) \lor (is-FixedBinaryNode
n))
fun is-AbstractMemoryCheckpoint :: IRNode <math>\Rightarrow bool where
 is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n) \lor (is-InvokeNode
n))
fun is-AbstractStateSplit :: IRNode \Rightarrow bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))
fun is-AbstractMergeNode :: IRNode <math>\Rightarrow bool where
  is-AbstractMergeNode \ n = ((is-LoopBeginNode \ n) \lor (is-MergeNode \ n))
fun is-BeginStateSplitNode :: IRNode <math>\Rightarrow bool where
 is-BeginStateSplitNode n = ((is-AbstractMergeNode n) \lor (is-ExceptionObjectNode
n) \vee (is\text{-}LoopExitNode\ n) \vee (is\text{-}StartNode\ n))
fun is-AbstractBeginNode :: IRNode <math>\Rightarrow bool where
   is-AbstractBeginNode n = ((is-BeginNode n) \lor (is-BeginStateSplitNode n) \lor
(is-KillingBeginNode\ n))
fun is-FixedWithNextNode :: IRNode <math>\Rightarrow bool where
 is-FixedWithNextNode n = ((is-AbstractBeginNode n) \lor (is-AbstractStateSplit n)
\lor (is\text{-}AccessFieldNode\ n) \lor (is\text{-}DeoptimizingFixedWithNextNode\ n))
fun is-WithExceptionNode :: IRNode \Rightarrow bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))
fun is-ControlSplitNode :: IRNode <math>\Rightarrow bool where
  is-ControlSplitNode n = ((is-IfNode n) \lor (is-WithExceptionNode n))
fun is-ControlSinkNode :: IRNode <math>\Rightarrow bool where
  is-ControlSinkNode n = ((is-ReturnNode n) \lor (is-UnwindNode n))
fun is-AbstractEndNode :: IRNode <math>\Rightarrow bool where
  is-AbstractEndNode n = ((is-EndNode n) \lor (is-LoopEndNode n))
fun is-FixedNode :: IRNode <math>\Rightarrow bool where
 is-FixedNode n = ((is-AbstractEndNode n) \lor (is-ControlSinkNode n) \lor (is-ControlSplitNode
n) \vee (is\text{-}FixedWithNextNode }n))
fun is-CallTargetNode :: IRNode <math>\Rightarrow bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))
\mathbf{fun} \ \mathit{is-ValueNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-ValueNode n = ((is-CallTargetNode n) \lor (is-FixedNode n) \lor (is-FloatingNode
n))
fun is-Node :: IRNode \Rightarrow bool where
```

```
is-Node n = ((is-ValueNode n) \lor (is-VirtualState n))
fun is-MemoryKill :: IRNode \Rightarrow bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))
fun is-NarrowableArithmeticNode :: IRNode \Rightarrow bool where
 is-Narrowable Arithmetic Node n = ((is-AbsNode n) \lor (is-AddNode n) \lor (is-AndNode
n) \lor (is\text{-}NulNode\ n) \lor (is\text{-}NeqateNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}OrNode\ n) \lor
(is\text{-}ShiftNode\ n) \lor (is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-AnchoringNode :: IRNode \Rightarrow bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))
fun is-DeoptBefore :: IRNode <math>\Rightarrow bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))
fun is-IndirectCanonicalization :: IRNode \Rightarrow bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))
fun is-IterableNodeType :: IRNode <math>\Rightarrow bool where
 is-IterableNodeType n = ((is-AbstractBeqinNode n) \lor (is-AbstractMerqeNode n) \lor
(is	ext{-}FrameState\ n) \lor (is	ext{-}IfNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n) \lor (is	ext{-}Invoke\,WithExceptionNode\ n)
n) \lor (is\text{-}LoopBeginNode\ n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n)
\lor (is\text{-}ParameterNode\ n) \lor (is\text{-}ReturnNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
fun is-Invoke :: IRNode \Rightarrow bool where
  is-Invoke n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode n))
fun is-Proxy :: IRNode \Rightarrow bool where
  is-Proxy n = ((is-ProxyNode n))
fun is-ValueProxy :: IRNode \Rightarrow bool where
  is-ValueProxy n = ((is-PiNode n) \lor (is-ValueProxyNode n))
fun is-ValueNodeInterface :: IRNode \Rightarrow bool where
  is-ValueNodeInterface n = ((is-ValueNode n))
fun is-ArrayLengthProvider :: IRNode \Rightarrow bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) \lor (is-ConstantNode
n))
fun is-StampInverter :: IRNode \Rightarrow bool where
 is-StampInverter n = ((is-IntegerConvertNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-GuardingNode :: IRNode <math>\Rightarrow bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))
fun is-SingleMemoryKill :: IRNode <math>\Rightarrow bool where
```

```
is-SingleMemoryKill n = ((is-BytecodeExceptionNode n) \lor (is-ExceptionObjectNode
n) \lor (is\text{-}InvokeNode\ n) \lor (is\text{-}InvokeWithExceptionNode\ n) \lor (is\text{-}KillingBeginNode\ n)
n) \lor (is\text{-}StartNode\ n))
fun is-LIRLowerable :: IRNode \Rightarrow bool where
   is-LIRLowerable n = ((is-AbstractBeginNode n) \lor (is-AbstractEndNode n) \lor
(is	ext{-}AbstractMergeNode\ n)\ \lor\ (is	ext{-}BinaryOpLogicNode\ n)\ \lor\ (is	ext{-}CallTargetNode\ n)
\lor (is\text{-}ConditionalNode\ n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}IfNode\ n) \lor (is\text{-}InvokeNode\ n)
n \mid \forall (is\text{-}InvokeWithExceptionNode\ n) \mid \forall (is\text{-}IsNullNode\ n) \mid \forall (is\text{-}LoopBeqinNode\ n)
\lor (is\text{-}PiNode\ n) \lor (is\text{-}ReturnNode\ n) \lor (is\text{-}SignedDivNode\ n) \lor (is\text{-}SignedRemNode\ n)
n) \vee (is\text{-}UnaryOpLogicNode\ n) \vee (is\text{-}UnwindNode\ n))
fun is-GuardedNode :: IRNode <math>\Rightarrow bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))
fun is-ArithmeticLIRLowerable :: IRNode \Rightarrow bool where
 is-ArithmeticLIRLowerable n = ((is-AbsNode n) \lor (is-BinaryArithmeticNode n) \lor
(is\text{-}IntegerConvertNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n)
fun is-SwitchFoldable :: IRNode <math>\Rightarrow bool where
  is-SwitchFoldable n = ((is-IfNode n))
fun is-VirtualizableAllocation :: IRNode \Rightarrow bool where
  is-Virtualizable Allocation \ n = ((is-NewArrayNode \ n) \lor (is-NewInstanceNode \ n))
fun is-Unary :: IRNode \Rightarrow bool where
 is-Unary n = ((is-LoadFieldNode n) \lor (is-LoqicNegationNode n) \lor (is-UnaryNode
n) \lor (is\text{-}UnaryOpLogicNode } n))
fun is-FixedNodeInterface :: IRNode <math>\Rightarrow bool where
  is-FixedNodeInterface n = ((is-FixedNode n))
fun is-BinaryCommutative :: IRNode <math>\Rightarrow bool where
 is-Binary Commutative n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-IntegerEqualsNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}XorNode\ n))
fun is-Canonicalizable :: IRNode \Rightarrow bool where
 is-Canonicalizable n = ((is-BytecodeExceptionNode n) \lor (is-ConditionalNode n) \lor
(is-DynamicNewArrayNode\ n) \lor (is-PhiNode\ n) \lor (is-PiNode\ n) \lor (is-ProxyNode\ n)
n) \lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-UncheckedInterfaceProvider :: IRNode \Rightarrow bool where
 is-UncheckedInterfaceProvider n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode
n) \lor (is\text{-}LoadFieldNode\ n) \lor (is\text{-}ParameterNode\ n))
fun is-Binary :: IRNode \Rightarrow bool where
 is-Binary n = ((is-Binary Arithmetic Node n) \lor (is-Binary Node n) \lor (is-Binary Op Logic Node n)
n) \lor (is\text{-}CompareNode\ n) \lor (is\text{-}FixedBinaryNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
```

```
fun is-ArithmeticOperation :: IRNode \Rightarrow bool where
 is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) \lor (is-IntegerConvertNode
n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n))
fun is-ValueNumberable :: IRNode <math>\Rightarrow bool where
  is-ValueNumberable n = ((is-FloatingNode n) \lor (is-ProxyNode n))
\mathbf{fun} \ \mathit{is-Lowerable} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-Lowerable n = ((is-AbstractNewObjectNode n) \lor (is-AccessFieldNode n) \lor
(is-BytecodeExceptionNode n) \lor (is-ExceptionObjectNode n) \lor (is-IntegerDivRemNode
n) \vee (is\text{-}UnwindNode\ n))
fun is-Virtualizable :: IRNode <math>\Rightarrow bool where
  is-Virtualizable n = ((is-IsNullNode n) \lor (is-LoadFieldNode n) \lor (is-PiNode n)
\lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
\mathbf{fun} \ \mathit{is\text{-}Simplifiable} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-Simplifiable n = ((is-AbstractMergeNode n) \lor (is-BeginNode n) \lor (is-IfNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n) \lor (is\text{-}NewArrayNode\ n))
fun is-StateSplit :: IRNode <math>\Rightarrow bool where
 is-StateSplit n = ((is-AbstractStateSplit n) \lor (is-BeginStateSplitNode n) \lor (is-StoreFieldNode
n))
fun is-ConvertNode :: IRNode <math>\Rightarrow bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))
fun is-sequential-node :: IRNode \Rightarrow bool where
  is-sequential-node (StartNode - -) = True
  is-sequential-node (BeginNode -) = True
  is-sequential-node (KillingBeginNode -) = True
  is-sequential-node (LoopBeginNode - - - - - - - = True \mid
  is-sequential-node (LoopExitNode - - -) = True
  is-sequential-node (MergeNode - - -) = True
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False
The following convenience function is useful in determining if two IRNodes
are of the same type irregardless of their edges. It will return true if both
the node parameters are the same node class.
fun is-same-ir-node-type :: IRNode \Rightarrow IRNode \Rightarrow bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode \ n1) \land (is-AbsNode \ n2)) \lor
  ((is-AddNode\ n1) \land (is-AddNode\ n2)) \lor
```

 $((is-BytecodeExceptionNode\ n1) \land (is-BytecodeExceptionNode\ n2)) \lor$ 

 $((is-AndNode\ n1) \land (is-AndNode\ n2)) \lor ((is-BeginNode\ n1) \land (is-BeginNode\ n2)) \lor$ 

```
((is-ConditionalNode\ n1) \land (is-ConditionalNode\ n2)) \lor
((is-ConstantNode\ n1) \land (is-ConstantNode\ n2)) \lor
((is-DynamicNewArrayNode\ n1) \land (is-DynamicNewArrayNode\ n2)) \lor
((is\text{-}EndNode\ n1) \land (is\text{-}EndNode\ n2)) \lor
((is\text{-}ExceptionObjectNode\ n1) \land (is\text{-}ExceptionObjectNode\ n2)) \lor
((is\text{-}FrameState\ n1) \land (is\text{-}FrameState\ n2)) \lor
((is\text{-}IfNode\ n1) \land (is\text{-}IfNode\ n2)) \lor
((is\text{-}IntegerBelowNode\ n1) \land (is\text{-}IntegerBelowNode\ n2)) \lor
((is-IntegerEqualsNode\ n1) \land (is-IntegerEqualsNode\ n2)) \lor
((is\text{-}IntegerLessThanNode\ n1) \land (is\text{-}IntegerLessThanNode\ n2)) \lor
((is\text{-}InvokeNode\ n1) \land (is\text{-}InvokeNode\ n2)) \lor
((is-InvokeWithExceptionNode\ n1) \land (is-InvokeWithExceptionNode\ n2)) \lor
((is\text{-}IsNullNode\ n1) \land (is\text{-}IsNullNode\ n2)) \lor
((is\text{-}KillingBeginNode\ n1) \land (is\text{-}KillingBeginNode\ n2)) \lor
((is\text{-}LoadFieldNode\ n1) \land (is\text{-}LoadFieldNode\ n2)) \lor
((is\text{-}LogicNegationNode\ n1) \land (is\text{-}LogicNegationNode\ n2)) \lor
((is\text{-}LoopBeginNode\ n1) \land (is\text{-}LoopBeginNode\ n2)) \lor
((is\text{-}LoopEndNode\ n1) \land (is\text{-}LoopEndNode\ n2)) \lor
((is\text{-}LoopExitNode\ n1) \land (is\text{-}LoopExitNode\ n2)) \lor
((is\text{-}MergeNode\ n1) \land (is\text{-}MergeNode\ n2)) \lor
((is-MethodCallTargetNode\ n1) \land (is-MethodCallTargetNode\ n2)) \lor
((is\text{-}MulNode\ n1) \land (is\text{-}MulNode\ n2)) \lor
((is\text{-}NegateNode\ n1) \land (is\text{-}NegateNode\ n2)) \lor
((is-NewArrayNode\ n1) \land (is-NewArrayNode\ n2)) \lor
((is-NewInstanceNode\ n1) \land (is-NewInstanceNode\ n2)) \lor
((is\text{-}NotNode\ n1) \land (is\text{-}NotNode\ n2)) \lor
((is-OrNode \ n1) \land (is-OrNode \ n2)) \lor
((is-ParameterNode\ n1) \land (is-ParameterNode\ n2)) \lor
((is-PiNode\ n1) \land (is-PiNode\ n2)) \lor
((is\text{-}ReturnNode\ n1) \land (is\text{-}ReturnNode\ n2)) \lor
((is-ShortCircuitOrNode\ n1) \land (is-ShortCircuitOrNode\ n2)) \lor
((is\text{-}SignedDivNode\ n1) \land (is\text{-}SignedDivNode\ n2)) \lor
((is\text{-}StartNode\ n1) \land (is\text{-}StartNode\ n2)) \lor
((is\text{-}StoreFieldNode\ n1) \land (is\text{-}StoreFieldNode\ n2)) \lor
((is\text{-}SubNode\ n1) \land (is\text{-}SubNode\ n2)) \lor
((is-UnwindNode\ n1) \land (is-UnwindNode\ n2)) \lor
((is-ValuePhiNode\ n1) \land (is-ValuePhiNode\ n2)) \lor
((is-ValueProxyNode\ n1) \land (is-ValueProxyNode\ n2)) \lor
((is\text{-}XorNode\ n1) \land (is\text{-}XorNode\ n2)))
```

end

# 4 Stamp Typing

theory Stamp imports Values begin

The GraalVM compiler uses the Stamp class to store range and type infor-

mation for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

| IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

 $\begin{array}{c} \mathbf{datatype} \ \mathit{Stamp} = \\ \mathit{VoidStamp} \end{array}$ 

```
KlassPointerStamp\ (stp-nonNull:\ bool)\ (stp-alwaysNull:\ bool)
       MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
    | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
       RawPointerStamp\ (stp-nonNull:\ bool)\ (stp-alwaysNull:\ bool)
      IllegalStamp
fun bit-bounds :: nat \Rightarrow (int \times int) where
    bit-bounds bits = (((2 \hat{bits}) div 2) * -1, ((2 \hat{bits}) div 2) - 1)
experiment begin
corollary bit-bounds 1 = (-1, 0) by simp
— A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp \Rightarrow Stamp where
    unrestricted-stamp VoidStamp = VoidStamp
      unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False)
   unrestricted\text{-}stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull \ always
False False)
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp)
False False)
   unrestricted-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp
"" False False False) |
    unrestricted-stamp - = IllegalStamp
fun is-stamp-unrestricted :: Stamp \Rightarrow bool where
    is-stamp-unrestricted s = (s = unrestricted-stamp s)
```

```
— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp \Rightarrow Stamp where
   empty-stamp VoidStamp = VoidStamp
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds)
bits)) (fst (bit-bounds bits))) |
     empty-stamp (KlassPointerStamp\ nonNull\ alwaysNull) = (KlassPointerStamp\ nonNull\ alwaysNull)
nonNull\ alwaysNull)
  empty-stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull \ nonNull \ alwaysNull \ nonNull \ alwaysNull \ nonNull \ alwaysNull \ nonNull \ nonNul
nonNull \ alwaysNull)
  empty-stamp \ (MethodPointersStamp \ nonNull \ alwaysNull) = (MethodPointersStamp \ nonNull \ alwaysNull)
nonNull alwaysNull)
   empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
'''' True True False) |
   empty-stamp stamp = IllegalStamp
fun is-stamp-empty :: Stamp \Rightarrow bool where
   is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |
   is-stamp-empty x = False
— Calculate the meet stamp of two stamps
fun meet :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
   meet\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
   meet (IntegerStamp \ b1 \ l1 \ u1) (IntegerStamp \ b2 \ l2 \ u2) = (
       if b1 \neq b2 then IllegalStamp else
      (IntegerStamp b1 (min l1 l2) (max u1 u2))
   ) |
   meet \ (KlassPointerStamp \ nn1 \ an1) \ (KlassPointerStamp \ nn2 \ an2) = (
       KlassPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
     meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 \ an2) = (
      MethodCountersPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
   ) |
   meet \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
       MethodPointersStamp\ (nn1 \land nn2)\ (an1 \land an2)
   meet\ s1\ s2\ =\ IllegalStamp
— Calculate the join stamp of two stamps
fun join :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
   join\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
   join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
      if b1 \neq b2 then IllegalStamp else
       (IntegerStamp b1 (max l1 l2) (min u1 u2))
   ) |
```

```
join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
   if ((nn1 \lor nn2) \land (an1 \lor an2))
   then (empty-stamp (KlassPointerStamp nn1 an1))
   else (KlassPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
   if ((nn1 \lor nn2) \land (an1 \lor an2))
   then\ (empty\text{-}stamp\ (MethodCountersPointerStamp\ nn1\ an1))
   else (MethodCountersPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
   if ((nn1 \lor nn2) \land (an1 \lor an2))
   then (empty-stamp (MethodPointersStamp nn1 an1))
   else (MethodPointersStamp (nn1 \vee nn2) (an1 \vee an2))
 join \ s1 \ s2 = IllegalStamp
— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.
fun asConstant :: Stamp \Rightarrow Value where
  asConstant (IntegerStamp \ b \ l \ h) = (if \ l = h \ then \ IntVal64 \ (word-of-int \ l) \ else
UndefVal)
  asConstant -= UndefVal
— Determine if two stamps never have value overlaps i.e. their join is empty
fun alwaysDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
  alwaysDistinct\ stamp1\ stamp2 = is\text{-}stamp\text{-}empty\ (join\ stamp1\ stamp2)
— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
  neverDistinct\ stamp1\ stamp2\ =\ (asConstant\ stamp1\ =\ asConstant\ stamp2\ \land
asConstant\ stamp1 \neq UndefVal)
fun constantAsStamp :: Value <math>\Rightarrow Stamp where
  constant As Stamp \ (Int Val 32 \ v) = (Integer Stamp \ (nat \ 32) \ (sint \ v) \ (sint \ v))
  constantAsStamp (IntVal64 \ v) = (IntegerStamp (nat 64) (sint v) (sint v))
  constantAsStamp - = IllegalStamp
— Define when a runtime value is valid for a stamp
fun valid-value :: Value <math>\Rightarrow Stamp \Rightarrow bool where
  valid-value (IntVal32 v) (IntegerStamp b l h) = ((b=32 \lor b=16 \lor b=8 \lor b=1)
\land (sint \ v \ge l) \land (sint \ v \le h)) \mid
  valid-value (IntVal64 v) (IntegerStamp b l h) = (b=64 \land (sint v \ge l) \land (sint v
\leq h)) \mid
```

```
valid\text{-}value\ (ObjRef\ ref)\ (ObjectStamp\ klass\ exact\ nonNull\ alwaysNull) = \\ ((alwaysNull\ \longrightarrow\ ref\ =\ None)\ \land\ (ref=None\ \longrightarrow\ \neg\ nonNull))\ |\ valid\text{-}value\ stamp\ val} = False \mathbf{fun}\ compatible\ ::\ Stamp\ \Rightarrow\ Stamp\ \Rightarrow\ bool\ \mathbf{where} compatible\ (IntegerStamp\ b1\ -\ -)\ (IntegerStamp\ b2\ -\ -) = (b1\ =\ b2)\ |\ compatible\ (VoidStamp)\ (VoidStamp)\ =\ True\ |\ compatible\ -\ -\ =\ False \mathbf{fun}\ stamp\text{-}under\ ::\ Stamp\ \Rightarrow\ Stamp\ \Rightarrow\ bool\ \mathbf{where} stamp\text{-}under\ x\ y\ =\ ((stpi\text{-}upper\ x)\ <\ (stpi\text{-}lower\ y)) -\ \mathsf{The}\ most\ common\ type\ of\ stamp\ within\ the\ compiler\ (apart\ from\ the\ VoidStamp)\ is\ a\ 32\ bit\ integer\ stamp\ with\ an\ unrestricted\ range.\ We\ use\ default\text{-}stamp\ as\ it\ is\ a\ frequently\ used\ stamp. \mathbf{definition}\ default\text{-}stamp\ ::\ Stamp\ \mathbf{where} default\text{-}stamp\ =\ (unrestricted\text{-}stamp\ (IntegerStamp\ 32\ 0\ 0)) end
```

## 5 Graph Representation

```
theory IRGraph
imports
IRNodeHierarchy
Stamp
HOL-Library.FSet
HOL.Relation
begin
```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```
typedef IRGraph = \{g :: ID \rightarrow (IRNode \times Stamp) : finite (dom g)\}

proof —

have finite(dom(Map.empty)) \land ran Map.empty = \{\} by auto

then show ?thesis

by fastforce

qed

setup-lifting type-definition-IRGraph

lift-definition ids :: IRGraph \Rightarrow ID \ set

is \lambda g. \{nid \in dom \ g : \ \sharp s. \ g \ nid = (Some \ (NoNode, \ s))\}.
```

```
fun with-default :: c \Rightarrow (b \Rightarrow c) \Rightarrow ((a \rightarrow b) \Rightarrow a \Rightarrow c) where
  with-default def conv = (\lambda m \ k.
    (case \ m \ k \ of \ None \Rightarrow def \ | \ Some \ v \Rightarrow conv \ v))
lift-definition kind :: IRGraph \Rightarrow (ID \Rightarrow IRNode)
  is with-default NoNode fst.
lift-definition stamp :: IRGraph \Rightarrow ID \Rightarrow Stamp
  is with-default IllegalStamp and .
lift-definition add\text{-}node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition remove-node :: ID \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ q. \ q(nid := None) by simp
lift-definition replace-node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition as-list :: IRGraph \Rightarrow (ID \times IRNode \times Stamp) list
  is \lambda g. map (\lambda k. (k, the (g \ k))) (sorted-list-of-set (dom \ g)).
fun no-node :: (ID \times (IRNode \times Stamp)) list \Rightarrow (ID \times (IRNode \times Stamp)) list
where
  no\text{-}node\ g = filter\ (\lambda n.\ fst\ (snd\ n) \neq NoNode)\ g
lift-definition irgraph :: (ID \times (IRNode \times Stamp)) \ list \Rightarrow IRGraph
  is map-of \circ no-node
  by (simp add: finite-dom-map-of)
definition as-set :: IRGraph \Rightarrow (ID \times (IRNode \times Stamp)) set where
  as-set g = \{(n, kind \ g \ n, stamp \ g \ n) \mid n \ . \ n \in ids \ g\}
definition true\text{-}ids :: IRGraph \Rightarrow ID \text{ set } \mathbf{where}
  true-ids g = ids \ g - \{n \in ids \ g. \ \exists \ n' \ . \ kind \ g \ n = RefNode \ n'\}
definition domain-subtraction :: 'a set \Rightarrow ('a \times 'b) set \Rightarrow ('a \times 'b) set
  (infix \leq 3\theta) where
  domain-subtraction s \ r = \{(x, y) \ . \ (x, y) \in r \land x \notin s\}
notation (latex)
  domain-subtraction (- \triangleleft -)
code-datatype irgraph
fun filter-none where
  filter-none g = \{ nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, s)) \}
```

```
lemma no-node-clears:
  res = no\text{-}node \ xs \longrightarrow (\forall \ x \in set \ res. \ fst \ (snd \ x) \neq NoNode)
 by simp
lemma dom-eq:
  assumes \forall x \in set \ xs. \ fst \ (snd \ x) \neq NoNode
  shows filter-none (map\text{-}of xs) = dom (map\text{-}of xs)
  unfolding filter-none.simps using assms map-of-SomeD
  by fastforce
lemma fil-eq:
  filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))
  using no-node-clears
  by (metis dom-eq dom-map-of-conv-image-fst list.set-map)
lemma irgraph[code]: ids (irgraph m) = set (map fst (no-node m))
  unfolding irgraph-def ids-def using fil-eq
  by (smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq
ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq)
lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
  using Abs-IRGraph-inverse
  by (simp add: irgraph.rep-eq)
— Get the inputs set of a given node ID
fun inputs :: IRGraph \Rightarrow ID \Rightarrow ID set where
  inputs\ g\ nid = set\ (inputs-of\ (kind\ g\ nid))
 — Get the successor set of a given node ID
fun succ :: IRGraph \Rightarrow ID \Rightarrow ID set where
  succ\ q\ nid = set\ (successors-of\ (kind\ q\ nid))
— Gives a relation between node IDs - between a node and its input nodes
fun input\text{-}edges :: IRGraph \Rightarrow ID rel where
  input\text{-}edges\ g = (\bigcup i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})
— Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  usages\ g\ nid = \{i.\ i \in ids\ g \land nid \in inputs\ g\ i\}
fun successor-edges :: IRGraph \Rightarrow ID rel where
  successor\text{-}edges\ g=(\bigcup\ i\in\mathit{ids}\ g.\ \{(\mathit{i,j})|j\ .\ j\in(\mathit{succ}\ g\ i)\})
fun predecessors :: IRGraph \Rightarrow ID \Rightarrow ID set where
  predecessors \ g \ nid = \{i. \ i \in ids \ g \land nid \in succ \ g \ i\}
fun nodes-of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  nodes-of g \ sel = \{ nid \in ids \ g \ . \ sel \ (kind \ g \ nid) \}
fun edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a where
  edge \ sel \ nid \ g = sel \ (kind \ g \ nid)
fun filtered-inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-inputs g nid f = filter (f \circ (kind g)) (inputs-of (kind g nid))
```

```
fun filtered-successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID \ list \ where
 filtered-successors g nid f = filter (f \circ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
 filtered-usages g nid f = \{n \in (usages \ g \ nid), f \ (kind \ g \ n)\}
fun is-empty :: IRGraph \Rightarrow bool where
  is\text{-}empty\ g = (ids\ g = \{\})
fun any-usage :: IRGraph \Rightarrow ID \Rightarrow ID where
  any-usage g nid = hd (sorted-list-of-set (usages g \ nid))
lemma ids-some[simp]: x \in ids \ g \longleftrightarrow kind \ g \ x \neq NoNode
proof -
 have that: x \in ids \ g \longrightarrow kind \ g \ x \neq NoNode
   using ids.rep-eq kind.rep-eq by force
 have kind q x \neq NoNode \longrightarrow x \in ids q
   unfolding with-default.simps kind-def ids-def
   by (cases Rep-IRGraph g x = None; auto)
 from this that show ?thesis by auto
qed
lemma not-in-g:
 assumes nid \notin ids g
 shows kind \ g \ nid = NoNode
 using assms ids-some by blast
lemma valid-creation[simp]:
 finite (dom\ g) \longleftrightarrow Rep-IRGraph\ (Abs-IRGraph\ g) = g
 using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)
lemma [simp]: finite (ids g)
 using Rep-IRGraph ids.rep-eq by simp
lemma [simp]: finite (ids\ (irgraph\ g))
 by (simp add: finite-dom-map-of)
lemma [simp]: finite\ (dom\ g) \longrightarrow ids\ (Abs-IRGraph\ g) = \{nid \in dom\ g\ .\ \nexists\ s.\ g
nid = Some (NoNode, s)
 using ids.rep-eq by simp
lemma [simp]: finite (dom g) \longrightarrow kind (Abs-IRGraph g) = (\lambda x . (case g x of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 by (simp add: kind.rep-eq)
lemma [simp]: finite (dom g) \longrightarrow stamp (Abs-IRGraph g) = (\lambda x . (case g x of
None \Rightarrow IllegalStamp \mid Some \ n \Rightarrow snd \ n))
 using stamp.abs-eq stamp.rep-eq by auto
lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
```

```
lemma [simp]: kind (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 using irgraph.rep-eq kind.transfer kind.rep-eq by auto
lemma [simp]: stamp (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow IllegalStamp | Some n \Rightarrow snd n)
 using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto
lemma map-of-upd: (map\text{-}of\ g)(k\mapsto v)=(map\text{-}of\ ((k,\ v)\ \#\ g))
 by simp
lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) \# g)))
proof (cases fst k = NoNode)
 case True
 then show ?thesis
  by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps replace-node.rep-eq snd-conv)
next
 {f case}\ {\it False}
 then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
   by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
qed
lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) \# g)))
 by (smt (23) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq
map-of-upd no-node.simps snd-conv)
lemma add-node-lookup:
 gup = add-node nid(k, s) g \longrightarrow
   (if k \neq NoNode then kind gup nid = k \wedge stamp gup nid = s else kind gup nid
= kind \ q \ nid
proof (cases k = NoNode)
 case True
 then show ?thesis
   by (simp add: add-node.rep-eq kind.rep-eq)
next
 case False
 then show ?thesis
   by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed
lemma remove-node-lookup:
 gup = remove\text{-node nid } g \longrightarrow kind \ gup \ nid = NoNode \land stamp \ gup \ nid = Ille-
galStamp
```

using irgraph by auto

```
by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)
lemma replace-node-lookup[simp]:
       gup = replace - node \ nid \ (k, s) \ g \land k \neq NoNode \longrightarrow kind \ gup \ nid = k \land stamp
gup \ nid = s
     by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)
lemma replace-node-unchanged:
       gup = \textit{replace-node nid } (\textit{k, s}) \ \textit{g} \ \longrightarrow \ (\forall \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in \textit{ids} \ \textit{g} \ \land \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in \textit{ids} \ \textit{g} \ \land \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in \textit{ids} \ \textit{g} \ \land \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in \textit{ids} \ \textit{g} \ \land \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in \textit{ids} \ \textit{g} \ \land \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ . \ \textit{n} \in (\textit{ids} \ \textit{g} - \{\textit{nid}\}) \ . \ . \ . 
ids \ gup \wedge kind \ g \ n = kind \ gup \ n)
     by (simp add: kind.rep-eq replace-node.rep-eq)
5.0.1 Example Graphs
Example 1: empty graph (just a start and end node)
definition start-end-graph:: IRGraph where
       None None, VoidStamp)]
Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]
definition eq2-sq :: IRGraph where
       eg2-sq = irgraph
            (0, StartNode None 5, VoidStamp),
            (1, ParameterNode 0, default-stamp),
            (4, MulNode 1 1, default-stamp),
            (5, ReturnNode (Some 4) None, default-stamp)
value input-edges eq2-sq
value usages eg2-sq 1
end
5.1
                           Control-flow Graph Traversal
theory
       Traversal
imports
      IRGraph
begin
type-synonym Seen = ID set
```

nextEdge helps determine which node to traverse next by returning the first

successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```
fun nextEdge :: Seen \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID option where 
 <math>nextEdge \ seen \ nid \ g = 
 (let \ nids = (filter \ (\lambda nid'. \ nid' \notin seen) \ (successors-of \ (kind \ g \ nid))) \ in 
 (if \ length \ nids > 0 \ then \ Some \ (hd \ nids) \ else \ None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where

pred g nid = (case kind g nid of

(MergeNode ends - -) ⇒ Some (hd ends) |

- ⇒

(if IRGraph.predecessors g nid = {}

then None else

Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))

)
```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the Conditional Elimination phase

```
type-synonym 'a TraversalState = (ID \times Seen \times 'a)
```

#### inductive Step

 $:: ('a\ TraversalState \Rightarrow 'a) \Rightarrow IRGraph \Rightarrow 'a\ TraversalState \Rightarrow 'a\ TraversalState option \Rightarrow bool$ 

#### for $sa\ q$ where

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

 $[kind\ g\ nid\ =\ BeginNode\ nid';$ 

```
nid \notin seen;

seen' = \{nid\} \cup seen;

Some \ if cond = pred \ g \ nid;

kind \ g \ if cond = If Node \ cond \ t \ f;
```

```
analysis' = sa (nid, seen, analysis)
  \implies Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |
  — Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions
and stamp stack
  [kind\ g\ nid = EndNode;]
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   nid' = any-usage g nid;
   analysis' = sa (nid, seen, analysis)
   \implies Step sa g (nid, seen, analysis) (Some (nid', seen', analysis'))
  — We can find a successor edge that is not in seen, go there
  [\neg(is\text{-}EndNode\ (kind\ g\ nid));
    \neg (is\text{-}BeginNode\ (kind\ g\ nid));
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   Some nid' = nextEdge seen' nid g;
   analysis' = sa (nid, seen, analysis)
  \implies Step sa g (nid, seen, analysis) (Some (nid', seen', analysis'))
  — We can cannot find a successor edge that is not in seen, give back None
  [\neg (is\text{-}EndNode\ (kind\ g\ nid));
    \neg (is\text{-}BeginNode\ (kind\ g\ nid));
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   None = nextEdge seen' nid q
   \implies Step sa g (nid, seen, analysis) None |
 — We've already seen this node, give back None
  [nid \in seen] \implies Step \ sa \ g \ (nid, \ seen, \ analysis) \ None
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) Step.
end
```

#### 5.2 Structural Graph Comparison

### theory

Comparison

```
\begin{matrix} \textbf{imports} \\ IRGraph \\ \textbf{begin} \end{matrix}
```

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

```
chains for any given nodes.
fun find-ref-nodes :: IRGraph \Rightarrow (ID \rightarrow ID) where
find-ref-nodes q = map-of
 (map (\lambda n. (n, ir-ref (kind g n))) (filter (\lambda id. is-RefNode (kind g id)) (sorted-list-of-set
(ids \ g))))
fun replace-ref-nodes :: IRGraph \Rightarrow (ID \rightarrow ID) \Rightarrow ID \ list \Rightarrow ID \ list where
replace-ref-nodes g m xs = map (\lambda id. (case (m id) of Some other \Rightarrow other | None)
\Rightarrow id)) xs
fun find-next :: ID \ list \Rightarrow ID \ set \Rightarrow ID \ option \ \mathbf{where}
  find\text{-}next \ to\text{-}see \ seen = (let \ l = (filter \ (\lambda nid. \ nid \notin seen) \ to\text{-}see)
    in (case l of [] \Rightarrow None \mid xs \Rightarrow Some (hd xs)))
inductive reachables :: IRGraph \Rightarrow ID \ list \Rightarrow ID \ set \Rightarrow ID \ set \Rightarrow bool \ where
reachables g [] \{\} \{\} |
[None = find\text{-}next \ to\text{-}see \ seen] \implies reachables \ g \ to\text{-}see \ seen \ |
[Some \ n = find\text{-}next \ to\text{-}see \ seen;]
  node = kind \ g \ n;
  new = (inputs-of \ node) @ (successors-of \ node);
  reachables g (to-see @ new) (\{n\} \cup seen) seen' \parallel \implies reachables g to-see seen
seen'
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) [show-steps, show-mode-inference, show-intermediate-results]
reachables.
inductive nodeEq :: (ID \rightarrow ID) \Rightarrow IRGraph \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool
\llbracket kind \ g1 \ n1 = RefNode \ ref; \ nodeEq \ m \ g1 \ ref \ g2 \ n2 \ \rrbracket \implies nodeEq \ m \ g1 \ n1 \ g2 \ n2
[x = kind \ g1 \ n1;
  y = kind g2 n2;
  is-same-ir-node-type x y;
  replace-ref-nodes q1 m (successors-of x) = successors-of y;
  replace-ref-nodes q1 m (inputs-of x) = inputs-of y \mathbb{I}
  \implies nodeEq \ m \ g1 \ n1 \ g2 \ n2
code-pred [show-modes] nodeEq.
fun diffNodesGraph :: IRGraph <math>\Rightarrow IRGraph \Rightarrow ID set where
diffNodesGraph \ g1 \ g2 = (let \ refNodes = find-ref-nodes \ g1 \ in
```

 $\{ n : n \in Predicate.the (reachables-i-i-i-o g1 [0] \{\}) \land (case refNodes n of Some \} \}$ 

```
\textit{-} \Rightarrow \textit{False} \mid \textit{-} \Rightarrow \textit{True}) \, \land \, \neg (\textit{nodeEq refNodes g1 n g2 n}) \})
```

**fun**  $diffNodesInfo :: IRGraph \Rightarrow IRGraph \Rightarrow (ID \times IRNode \times IRNode) set$ **where** $<math>diffNodesInfo \ g1 \ g2 = \{(nid, kind \ g1 \ nid, kind \ g2 \ nid) \mid nid \ . \ nid \in diffNodesGraph \ g1 \ g2\}$ 

```
fun eqGraph :: IRGraph \Rightarrow IRGraph \Rightarrow bool where eqGraph isabelle-graph graal-graph = ((diffNodesGraph isabelle-graph graal-graph) = {})
```

end