# Veriopt

July 3, 2021

#### Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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#### 1 Runtime Values and Arithmetic

```
\begin{array}{c} \textbf{theory } \textit{Values} \\ \textbf{imports} \\ \textit{HOL-Library.Word} \\ \textit{HOL-Library.Signed-Division} \\ \textit{HOL-Library.Float} \\ \textit{HOL-Library.LaTeXsugar} \\ \textbf{begin} \end{array}
```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

 $type-synonym \ objref = nat \ option$ 

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each (IntVal b v) should satisfy the invariants:

```
b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}

1 < b \Longrightarrow v \equiv scast \ (signed-take-bit \ b \ v)

type-synonym int64 = 64 \ word - long

type-synonym int32 = 32 \ word - long

type-synonym int16 = 16 \ word - long

type-synonym int16 = 16 \ word - long

type-synonym int16 = 10 \ word - long
```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the wf-value function.

```
— Check that a signed int value does not overflow b bits. fun fits-into-n :: nat \Rightarrow int \Rightarrow bool where fits-into-n b val = ((-(2\widehat{\ }(b-1)) \leq val) \land (val < (2\widehat{\ }(b-1))))
```

```
definition int-bits-allowed :: int set where
  int-bits-allowed = \{32\}
fun wf-value :: Value \Rightarrow bool where
  wf-value (IntVal\ b\ v) =
   (b \in int\text{-}bits\text{-}allowed \land
   (nat \ b = 1 \longrightarrow (v = 0 \lor v = 1)) \land
    (nat \ b > 1 \longrightarrow fits-into-n \ (nat \ b) \ v)) \mid
  wf-value - = True
fun wf-bool :: Value \Rightarrow bool where
  wf-bool (IntVal\ b\ v) = (b = 1 \land (v = 0 \lor v = 1))
  wf-bool - = False
value sint(word\text{-}of\text{-}int(1) :: int1)
We need to introduce arithmetic operations which agree with the JVM.
Within the JVM, bytecode arithmetic operations are performed on 32 or 64
bit integers, unboxing where appropriate.
The following collection of intval functions correspond to the JVM arith-
metic operations.
fun intval-add :: Value \Rightarrow Value \Rightarrow Value where
  intval-add (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) =
    (if \ b1 \le 32 \land b2 \le 32)
      then (IntVal\ 32\ (sint((word-of-int\ v1::int32) + (word-of-int\ v2::int32))))
      else (IntVal\ 64\ (sint((word-of-int\ v1::int64)+(word-of-int\ v2::int64)))))\ |
  intval-add - - = UndefVal
instantiation Value :: plus
begin
definition plus-Value :: Value \Rightarrow Value \Rightarrow Value where
 plus-Value = intval-add
instance proof qed
end
fun intval-sub :: Value \Rightarrow Value \Rightarrow Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) =
    (if \ b1 \le 32 \land b2 \le 32
      then (IntVal\ 32\ (sint((word-of-int\ v1::int32)-(word-of-int\ v2::int32))))
```

else (IntVal 64 ( $sint((word-of-int\ v1::int64) - (word-of-int\ v2::int64))))) |$ 

```
intval-sub - - = UndefVal
{\bf instantiation}\ \ Value::minus
begin
definition minus-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  minus-Value = intval-sub
instance proof qed
end
fun intval-mul :: Value \Rightarrow Value \Rightarrow Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) =
     (if b1 < 32 \land b2 < 32
       then (IntVal 32 (sint((word-of-int\ v1::int32)*(word-of-int\ v2::int32))))
       else (IntVal 64 (sint((word-of-int\ v1::int64)*(word-of-int\ v2::int64))))) |
  intval-mul - - = UndefVal
instantiation Value :: times
begin
definition times-Value :: Value \Rightarrow Value \Rightarrow Value where
  times-Value = intval-mul
instance proof qed
end
\mathbf{fun} \ \mathit{intval\text{-}div} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value}
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
     (if \ b1 \le 32 \land b2 \le 32
       then\ (\mathit{IntVal}\ 32\ (\mathit{sint}((\mathit{word}\text{-}\mathit{of}\text{-}\mathit{int}(\mathit{v1}\ \mathit{sdiv}\ \mathit{v2})\ ::\ \mathit{int32}))))
       else (IntVal 64 (sint((word-of-int(v1 \ sdiv \ v2) :: int64)))))
  intval-div - - = UndefVal
instantiation Value :: divide
begin
definition divide-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  divide-Value = intval-div
instance proof qed
end
fun intval-mod :: Value \Rightarrow Value \Rightarrow Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
     (if \ b1 \le 32 \land \ b2 \le 32
```

```
then (IntVal\ 32\ (sint((word-of-int(v1\ smod\ v2)\ ::\ int32))))
      else (IntVal \ 64 \ (sint((word-of-int(v1 \ smod \ v2) :: int64))))) |
  intval	ext{-}mod - - = UndefVal
instantiation Value :: modulo
begin
definition modulo-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  modulo-Value = intval-mod
instance proof qed
end
fun intval-and :: Value \Rightarrow Value \Rightarrow Value (infix &&* 64) where
  intval-and (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) =
    (if \ b1 \le 32 \land b2 \le 32
     then (IntVal\ 32\ (sint((word-of-int\ v1::int32)\ AND\ (word-of-int\ v2::int32))))
     else (IntVal 64 (sint((word-of-int v1 :: int64) AND (word-of-int v2 :: int64)))))
 intval-and - - = UndefVal
fun intval-or :: Value \Rightarrow Value \Rightarrow Value (infix ||* 59) where
  intval-or (IntVal b1 v1) (IntVal b2 v2) =
    (if \ b1 \le 32 \land b2 \le 32
      then (IntVal\ 32\ (sint((word-of-int\ v1::int32)\ OR\ (word-of-int\ v2::int32))))
      else (IntVal 64 (sint((word-of-int\ v1::int64)\ OR\ (word-of-int\ v2::int64)))))
 intval-or - - = UndefVal
fun intval-xor :: Value \Rightarrow Value \Rightarrow Value (infix <math>\hat{} * 59) where
  intval-xor (IntVal b1 v1) (IntVal b2 v2) =
    (if \ b1 \le 32 \land b2 \le 32)
     then (IntVal\ 32\ (sint((word-of-int\ v1::int32)\ XOR\ (word-of-int\ v2::int32))))
     else (IntVal 64 (sint((word-of-int\ v1::int64)\ XOR\ (word-of-int\ v2::int64)))))
  intval-xor - - = UndefVal
fun intval-not :: Value \Rightarrow Value where
  intval-not (IntVal\ b\ v) =
    (if b \leq 32
      then (IntVal\ 32\ (sint(NOT\ (word-of-int\ v::\ int32))))
      else (IntVal\ 64\ (sint(NOT\ (word-of-int\ v::\ int64))))) |
  intval	ext{-}not -= UndefVal
```

```
lemma intval-add-bits:
 assumes b: IntVal\ b\ res = intval\text{-}add\ x\ y
 shows b = 32 \lor b = 64
proof -
 have def: intval-add x y \neq UndefVal
   using b by auto
 obtain b1 v1 where x: x = IntVal b1 v1
   by (metis Value.exhaust-sel def intval-add.simps(2,3,4,5))
 obtain b2 v2 where y: y = IntVal b2 v2
   by (metis Value.exhaust-sel def intval-add.simps(6,7,8,9))
 have
    ax: intval-add (IntVal b1 v1) (IntVal b2 v2) =
     (if \ b1 \le 32 \land \ b2 \le 32
      then (IntVal\ 32\ (sint((word-of-int\ v1::int32) + (word-of-int\ v2::int32))))
      else (IntVal 64 (sint((word-of-int\ v1::int64) + (word-of-int\ v2::int64)))))
     (is ?L = (if ?C then (Int Val 32 ?A) else (Int Val 64 ?B)))
   bv simp
 then have l: IntVal\ b\ res = ?L\ using\ b\ x\ y\ by\ simp
 have (b1 \le 32 \land b2 \le 32) \lor \neg (b1 \le 32 \land b2 \le 32) by auto
 then show ?thesis
 proof
   assume (b1 \le 32 \land b2 \le 32)
   then have r32: ?L = (IntVal 32 ?A) using ax by auto
   then have b = 32 using r32 l b by auto
   then show ?thesis by simp
 next
   assume \neg (b1 \leq 32 \land b2 \leq 32)
   then have r64: ?L = (IntVal\ 64\ ?B) using ax by auto
   then have b = 64 using r64 l b by auto
   then show ?thesis by simp
 qed
qed
lemma word-add-sym:
 shows word-of-int v1 + word-of-int v2 = word-of-int v2 + word-of-int v1
 by simp
lemma intval-add-sym1:
 shows intval-add (IntVal\ b1\ v1) (IntVal\ b2\ v2) = intval-add (IntVal\ b2\ v2) (IntVal\ b2\ v2)
b1 v1
 by (simp add: word-add-sym)
\mathbf{lemma}\ intval\text{-}add\text{-}sym:
 shows intval-add x y = intval-add y x
 using intval-add-sym1 apply simp
 apply (induction x)
```

```
apply auto
 apply (induction y)
     \mathbf{apply} \ \mathit{auto}
  done
\mathbf{lemma}\ \textit{word-add-assoc} :
  shows (word\text{-}of\text{-}int\ v1 + word\text{-}of\text{-}int\ v2) + word\text{-}of\text{-}int\ v3)
      = word-of-int v1 + (word-of-int v2 + word-of-int v3)
 \mathbf{by} \ simp
lemma wf-int32:
  assumes wf: wf-value (IntVal\ b\ v)
 shows b = 32
proof -
 have b \in int\text{-}bits\text{-}allowed
   using wf wf-value.simps(1) by blast
  then show ?thesis
   by (simp add: int-bits-allowed-def)
qed
lemma wf-int [simp]:
  assumes wf: wf-value (IntVal\ w\ n)
 assumes notbool: w = 32
 \mathbf{shows} \ \mathit{sint}((\mathit{word}\text{-}\mathit{of}\text{-}\mathit{int}\ n) :: \mathit{int32}) = n
 apply (simp only: int-word-sint)
  using wf notbool apply simp
  done
lemma add32-0:
  assumes z:wf-value (IntVal 32 0)
 assumes b:wf-value (IntVal 32 b)
 shows intval-add (IntVal 32 0) (IntVal 32 b) = (IntVal 32 (b))
 apply (simp only: intval-add.simps word-of-int-0)
  apply (simp only: order-class.order.refl conj-absorb if-True)
  apply (simp only: word-add-def uint-0-eq add-0)
  apply (simp only: word-of-int-uint int-word-sint)
  using b apply simp
  done
code-deps intval-add
code-thms intval-add
```

```
lemma intval-add (IntVal 32 (2^31-1)) (IntVal 32 (2^31-1)) = IntVal 32 (-2) by eval lemma intval-add (IntVal 64 (2^31-1)) (IntVal 32 (2^31-1)) = IntVal 64 4294967294 by eval
```

#### 2 Nodes

#### 2.1 Types of Nodes

option) (ir-next: SUCC)

 $\begin{array}{c} \textbf{theory} \ IRNodes\\ \textbf{imports}\\ \textit{Values2} \\ \textbf{begin} \end{array}$ 

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs\_of and successors\_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat

type-synonym INPUT = ID

type-synonym INPUT-ASSOC = ID

type-synonym INPUT-STATE = ID

type-synonym INPUT-GUARD = ID

type-synonym INPUT-COND = ID

type-synonym INPUT-EXT = ID

type-synonym SUCC = ID

datatype (discs-sels) IRNode =
AbsNode (ir-value: INPUT)

|AddNode (ir-x: INPUT) (ir-y: INPUT)

|AndNode (ir-x: INPUT) (ir-y: INPUT)

|BeginNode (ir-next: SUCC)

|BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE
```

```
| ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
    | ConstantNode (ir-const: Value)
   | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
    \perp EndNode
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
       FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT\text{-}STATE\ option)\ (ir\text{-}values\text{-}opt:\ INPUT\ list\ option)\ (ir\text{-}virtualObjectMappings\text{-}opt:\ INPUT\ list\ optio
INPUT-STATE list option)
  | IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC)
       IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
       IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
       | InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC)
  | \ Invoke With Exception Node \ (ir\text{-}nid:\ ID) \ (ir\text{-}call Target:\ INPUT\text{-}EXT) \ (ir\text{-}class Init\text{-}opt:\ INPUT\text{-}EXT) \ (ir\text{-}class Init -opt:\ INPUT\text{-}
INPUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC) (ir-exceptionEdge: SUCC)
        IsNullNode (ir-value: INPUT)
    | KillingBeginNode (ir-next: SUCC)
      | LoadFieldNode (ir-nid: ID) (ir-field: string) (ir-object-opt: INPUT option)
(ir-next: SUCC)
    | LogicNegationNode (ir-value: INPUT-COND)
  | LoopBeqinNode (ir-ends: INPUT-ASSOC list) (ir-overflowGuard-opt: INPUT-GUARD
option) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
       LoopEndNode (ir-loopBegin: INPUT-ASSOC)
  | LoopExitNode (ir-loopBegin: INPUT-ASSOC) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
        MergeNode (ir-ends: INPUT-ASSOC list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
        MethodCallTargetNode (ir-targetMethod: string) (ir-arguments: INPUT list)
        MulNode (ir-x: INPUT) (ir-y: INPUT)
       NegateNode (ir-value: INPUT)
      NewArrayNode (ir-length: INPUT) (ir-stateBefore-opt: INPUT-STATE option)
(ir-next: SUCC)
      NewInstanceNode (ir-nid: ID) (ir-instanceClass: string) (ir-stateBefore-opt: IN-
PUT-STATE option) (ir-next: SUCC)
        NotNode (ir-value: INPUT)
        OrNode (ir-x: INPUT) (ir-y: INPUT)
        ParameterNode (ir-index: nat)
       PiNode (ir-object: INPUT) (ir-guard-opt: INPUT-GUARD option)
      | ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
       ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
     SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
```

```
| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
   SubNode (ir-x: INPUT) (ir-y: INPUT)
   UnwindNode (ir-exception: INPUT)
   ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
   ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
   XorNode\ (ir-x:INPUT)\ (ir-y:INPUT)
   NoNode
 | RefNode (ir-ref:ID)
fun opt-to-list :: 'a option \Rightarrow 'a list where
 opt-to-list None = []
 opt-to-list (Some \ v) = [v]
fun opt-list-to-list :: 'a list option \Rightarrow 'a list where
 opt-list-to-list None = []
 opt-list-to-list (Some \ x) = x
The following functions, inputs of and successors of, are automatically gen-
erated from the GraalVM compiler. Their purpose is to partition the node
edges into input or successor edges.
fun inputs-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 inputs-of-AbsNode:
 inputs-of (AbsNode value) = [value]
 inputs-of-AddNode:
 inputs-of (AddNode \ x \ y) = [x, \ y] \mid
 inputs-of-AndNode:
 inputs-of (AndNode \ x \ y) = [x, \ y] \mid
 inputs-of-BeginNode:
 inputs-of (BeginNode next) = [] |
 inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter)
 inputs-of-Conditional Node:
  inputs-of (ConditionalNode condition trueValue falseValue) = \lceil condition, true-
Value, falseValue
 inputs-of-ConstantNode:
 inputs-of (ConstantNode const) = [] |
 inputs-of-DynamicNewArrayNode:
```

```
inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
 inputs-of-EndNode:
 inputs-of (EndNode) = [] |
 inputs-of-ExceptionObjectNode:
 inputs-of\ (ExceptionObjectNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
 inputs-of	ext{-}FrameState:
 inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
virtualObjectMappings)
 inputs-of-IfNode:
 inputs-of\ (IfNode\ condition\ trueSuccessor\ falseSuccessor) = [condition]\ |
 inputs-of-IntegerEqualsNode:
 inputs-of\ (IntegerEqualsNode\ x\ y) = [x,\ y]\ |
 inputs-of-IntegerLessThanNode:
 inputs-of\ (IntegerLessThanNode\ x\ y) = [x,\ y]\ |
 inputs-of-InvokeNode:
  inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list
stateAfter)
 inputs-of	ext{-}Invoke\,WithExceptionNode:
 inputs-of\ (InvokeWithExceptionNode\ nid0\ callTarget\ classInit\ stateDuring\ stateAfter
next\ exceptionEdge) = callTarget\ \#\ (opt\text{-}to\text{-}list\ classInit)\ @\ (opt\text{-}to\text{-}list\ stateDur-
ing) @ (opt-to-list stateAfter) |
 inputs-of-IsNullNode:
 inputs-of (IsNullNode value) = [value]
 inputs-of-KillingBeginNode:
 inputs-of (KillingBeginNode next) = [] |
 inputs-of\text{-}LoadFieldNode:
 inputs-of (LoadFieldNode \ nid0 \ field \ object \ next) = (opt-to-list \ object) \mid
 inputs-of-LogicNegationNode:
 inputs-of (LogicNegationNode \ value) = [value]
 inputs-of-LoopBeginNode:
 inputs-of\ (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = ends\ @\ (opt-to-list
overflowGuard) @ (opt-to-list stateAfter) |
 inputs-of-LoopEndNode:
 inputs-of\ (LoopEndNode\ loopBegin) = [loopBegin]\ |
 inputs-of-LoopExitNode:
  inputs-of (LoopExitNode\ loopBegin\ stateAfter\ next) = loopBegin\ \#\ (opt-to-list
stateAfter) |
 inputs-of-MergeNode:
 inputs-of\ (MergeNode\ ends\ stateAfter\ next) = ends\ @\ (opt-to-list\ stateAfter)\ |
 inputs-of-MethodCallTargetNode:
 inputs-of\ (MethodCallTargetNode\ targetMethod\ arguments) = arguments\ |
 inputs-of-MulNode:
 inputs-of (MulNode x y) = [x, y]
 inputs-of-NegateNode:
 inputs-of (NegateNode value) = [value]
```

```
inputs-of-NewArrayNode:
 Before) |
 inputs-of-NewInstanceNode:
  inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list
stateBefore)
 inputs-of-NotNode:
 inputs-of (NotNode value) = [value]
 inputs-of-OrNode:
 inputs-of\ (OrNode\ x\ y) = [x,\ y]\ |
 inputs-of-ParameterNode:
 inputs-of\ (ParameterNode\ index) = []
 inputs-of-PiNode:
 inputs-of\ (PiNode\ object\ guard) = object\ \#\ (opt-to-list\ guard)\ |
 inputs-of-ReturnNode:
  inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap)
 inputs-of	ext{-}ShortCircuitOrNode:
 inputs-of\ (ShortCircuitOrNode\ x\ y) = [x,\ y]\ |
 inputs-of-SignedDivNode:
  inputs-of (SignedDivNode nid0 \ x \ y \ zeroCheck \ stateBefore \ next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-SignedRemNode:
  inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of	ext{-}StartNode:
 inputs-of\ (StartNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
 inputs-of-StoreFieldNode:
  inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt-to-list stateAfter) @ (opt-to-list object) |
 inputs-of	ext{-}SubNode:
 inputs-of\ (SubNode\ x\ y) = [x,\ y]\ |
 inputs-of-UnwindNode:
 inputs-of (UnwindNode exception) = [exception]
 inputs-of-ValuePhiNode:
 inputs-of (ValuePhiNode nid values merge) = merge # values |
 inputs-of-ValueProxyNode:
 inputs-of\ (ValueProxyNode\ value\ loopExit) = [value,\ loopExit]\ |
 inputs-of-XorNode:
 inputs-of\ (XorNode\ x\ y) = [x,\ y]
 inputs-of-NoNode: inputs-of (NoNode) = []
 inputs-of-RefNode: inputs-of (RefNode ref) = [ref]
fun successors-of :: IRNode \Rightarrow ID list where
 successors-of-AbsNode:
 successors-of (AbsNode value) = [] |
```

```
successors-of-AddNode:
 successors-of (AddNode \ x \ y) = [] \mid
 successors-of-AndNode:
 successors-of (AndNode\ x\ y) = []
 successors-of-BeginNode:
 successors-of (BeginNode next) = [next]
 successors-of-BytecodeExceptionNode:
 successors-of (BytecodeExceptionNode\ arguments\ stateAfter\ next) = [next]
 successors-of-ConditionalNode:
 successors-of (ConditionalNode condition trueValue\ falseValue) = []
 successors-of-ConstantNode:
 successors-of (ConstantNode const) = []
 successors-of-DynamicNewArrayNode:
 successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [next]
 successors-of-EndNode:
 successors-of (EndNode) = [] |
 successors-of-ExceptionObjectNode:
 successors-of (ExceptionObjectNode\ stateAfter\ next) = [next]
 successors-of-FrameState:
 successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
 successors-of-IfNode:
  successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor
 successors-of-IntegerEqualsNode:
 successors-of (IntegerEqualsNode \ x \ y) = []
 successors-of-IntegerLessThanNode:
 successors-of (IntegerLessThanNode\ x\ y) = []
 successors-of-InvokeNode:
 successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next]
 successors-of-Invoke With Exception Node:
  successors-of (InvokeWithExceptionNode\ nid0\ callTarget\ classInit\ stateDuring
stateAfter\ next\ exceptionEdge) = [next,\ exceptionEdge]
 successors-of-IsNullNode:
 successors-of (IsNullNode value) = [] |
 successors-of-KillingBeginNode:
 successors-of (KillingBeginNode\ next) = [next]
 successors-of-LoadFieldNode:
 successors-of (LoadFieldNode nid0 field object next) = [next]
 successors-of-LogicNegationNode:
 successors-of (LogicNegationNode\ value) = []
 successors-of-LoopBeginNode:
 successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next]
 successors-of-LoopEndNode:
 successors-of (LoopEndNode\ loopBegin) = []
 successors-of-LoopExitNode:
 successors-of (LoopExitNode\ loopBegin\ stateAfter\ next) = [next]
```

```
successors-of-MerqeNode:
successors-of (MergeNode\ ends\ stateAfter\ next) = [next]
successors-of-MethodCallTargetNode:
successors-of (MethodCallTargetNode\ targetMethod\ arguments) = []
successors-of-MulNode:
successors-of (MulNode x y) = [] |
successors-of-NegateNode:
successors-of (NegateNode\ value) = []
successors-of-NewArrayNode:
successors-of (NewArrayNode\ length0\ stateBefore\ next) = [next]
successors-of-NewInstanceNode:
successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next]
successors-of-NotNode:
successors-of (NotNode value) = [] |
successors-of-OrNode:
successors-of (OrNode x y) = [] 
successors-of-ParameterNode:
successors-of (ParameterNode\ index) = []
successors-of-PiNode:
successors-of (PiNode\ object\ guard) = []
successors-of-ReturnNode:
successors-of (ReturnNode\ result\ memoryMap) = []
successors-of-Short Circuit Or Node:
successors-of (ShortCircuitOrNode\ x\ y) = []
successors-of-SignedDivNode:
successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next]
successors-of-SignedRemNode:
successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next]
successors-of-StartNode:
successors-of (StartNode\ stateAfter\ next) = [next]
successors-of-StoreFieldNode:
successors-of (StoreFieldNode nid0 field value stateAfter\ object\ next) = [next]
successors-of-SubNode:
successors-of (SubNode\ x\ y) = []
successors-of-UnwindNode:
successors-of (UnwindNode\ exception) = [] |
successors-of-ValuePhiNode:
successors-of (ValuePhiNode nid0 values merge) = [] |
successors-of-ValueProxyNode:
successors-of (ValueProxyNode\ value\ loopExit) = []
successors-of-XorNode:
successors-of (XorNode \ x \ y) = [] 
successors-of-NoNode: successors-of (NoNode) = []
```

successors-of-RefNode: successors-of (RefNode ref) = [ref]

```
lemma inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z unfolding inputs-of-FrameState by simp lemma successors-of (FrameState x (Some y) (Some z) None) = [] unfolding inputs-of-FrameState by simp lemma inputs-of (IfNode c t f) = [c] unfolding inputs-of-IfNode by simp lemma successors-of (IfNode c t f) = [t, f] unfolding successors-of-IfNode by simp lemma inputs-of (EndNode) = [] \land successors-of (EndNode) = [] unfolding inputs-of-EndNode successors-of-EndNode by simp
```

#### 2.2 Hierarchy of Nodes

theory IRNodeHierarchy imports IRNodes2 begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is < ClassName > Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode ⇒ bool where is-EndNode EndNode = True | is-EndNode -= False  

fun is-ControlSinkNode :: IRNode ⇒ bool where is-ControlSinkNode n = ((is\text{-ReturnNode }n) \lor (is\text{-UnwindNode }n))  
fun is-AbstractMergeNode :: IRNode ⇒ bool where is-AbstractMergeNode n = ((is\text{-LoopBeginNode }n) \lor (is\text{-MergeNode }n))  
fun is-BeginStateSplitNode :: IRNode ⇒ bool where is-BeginStateSplitNode n = ((is\text{-AbstractMergeNode }n) \lor (is\text{-ExceptionObjectNode }n) \lor (is\text{-LoopExitNode }n) \lor (is\text{-StartNode }n))  
fun is-AbstractBeginNode :: IRNode ⇒ bool where is-AbstractBeginNode :: IRNode ⇒ bool where is-AbstractBeginNode n = ((is\text{-BeginNode }n) \lor (is\text{-BeginStateSplitNode }n) \lor (is\text{-KillingBeginNode }n))
```

```
fun is-AbstractNewArrayNode :: IRNode <math>\Rightarrow bool where
 is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n) \lor (is-NewArrayNode
n))
fun is-AbstractNewObjectNode :: IRNode <math>\Rightarrow bool where
 is-AbstractNewObjectNode \ n = ((is-AbstractNewArrayNode \ n) \lor (is-NewInstanceNode \ n) \lor (is-NewInstanceNode \ n) \lor (is-NewInstanceNode \ n)
n))
fun is-IntegerDivRemNode :: IRNode \Rightarrow bool where
  \textit{is-IntegerDivRemNode} \ n = ((\textit{is-SignedDivNode} \ n) \ \lor \ (\textit{is-SignedRemNode} \ n))
fun is-FixedBinaryNode :: IRNode <math>\Rightarrow bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
fun is-DeoptimizingFixedWithNextNode :: IRNode \Rightarrow bool where
 is-Deoptimizing Fixed With Next Node \ n = ((is-Abstract New Object Node \ n) \lor (is-Fixed Binary Node
n))
fun is-AbstractMemoryCheckpoint :: IRNode \Rightarrow bool where
 is-AbstractMemoryCheckpoint n=((is-BytecodeExceptionNode n) \lor (is-InvokeNode
n))
fun is-AbstractStateSplit :: IRNode \Rightarrow bool where
  is-AbstractStateSplit \ n = ((is-AbstractMemoryCheckpoint \ n))
fun is-AccessFieldNode :: IRNode <math>\Rightarrow bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n) \lor (is-StoreFieldNode n))
fun is-FixedWithNextNode :: IRNode <math>\Rightarrow bool where
 is-Fixed With Next Node n = ((is-Abstract Begin Node n) \lor (is-Abstract State Split n)
\vee (is-AccessFieldNode n) \vee (is-DeoptimizingFixedWithNextNode n))
fun is-WithExceptionNode :: IRNode \Rightarrow bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))
fun is-ControlSplitNode :: IRNode <math>\Rightarrow bool where
  is-ControlSplitNode n = ((is-IfNode n) \lor (is-WithExceptionNode n))
fun is-AbstractEndNode :: IRNode <math>\Rightarrow bool where
  is-AbstractEndNode n = ((is-EndNode n) \lor (is-LoopEndNode n))
fun is-FixedNode :: IRNode <math>\Rightarrow bool where
 is-FixedNode n = ((is-AbstractEndNode n) \lor (is-ControlSinkNode n) \lor (is-ControlSplitNode n)
n) \lor (is\text{-}FixedWithNextNode} n))
fun is-FloatingGuardedNode :: IRNode \Rightarrow bool where
  is-FloatingGuardedNode n = ((is-PiNode n))
```

```
fun is-UnaryArithmeticNode :: IRNode \Rightarrow bool where
 is-UnaryArithmeticNode n = ((is-AbsNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-UnaryNode :: IRNode <math>\Rightarrow bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))
fun is-BinaryArithmeticNode :: IRNode <math>\Rightarrow bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-MulNode
n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-BinaryNode :: IRNode <math>\Rightarrow bool where
  is-BinaryNode\ n = ((is-BinaryArithmeticNode\ n))
fun is-PhiNode :: IRNode <math>\Rightarrow bool where
  is-PhiNode n = ((is-ValuePhiNode n))
fun is-IntegerLowerThanNode :: IRNode \Rightarrow bool where
  is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n))
fun is-CompareNode :: IRNode <math>\Rightarrow bool where
 is-CompareNode n = ((is-IntegerEqualsNode n) \lor (is-IntegerLowerThanNode n))
fun is-BinaryOpLogicNode :: IRNode <math>\Rightarrow bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))
fun is-UnaryOpLogicNode :: IRNode <math>\Rightarrow bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))
fun is-LogicNode :: IRNode <math>\Rightarrow bool where
   is\text{-}LogicNode \ n = ((is\text{-}BinaryOpLogicNode \ n) \lor (is\text{-}LogicNegationNode \ n) \lor
(is	ext{-}ShortCircuitOrNode\ n) \lor (is	ext{-}UnaryOpLogicNode\ n))
fun is-ProxyNode :: IRNode <math>\Rightarrow bool where
  is-ProxyNode n = ((is-ValueProxyNode n))
fun is-AbstractLocalNode :: IRNode \Rightarrow bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))
fun is-FloatingNode :: IRNode <math>\Rightarrow bool where
 is-FloatingNode n = ((is-AbstractLocalNode n) \lor (is-BinaryNode n) \lor (is-ConditionalNode
n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}FloatingGuardedNode\ n) \lor (is\text{-}LogicNode\ n) \lor
(is-PhiNode\ n) \lor (is-ProxyNode\ n) \lor (is-UnaryNode\ n))
fun is-CallTargetNode :: IRNode <math>\Rightarrow bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))
fun is-ValueNode :: IRNode \Rightarrow bool where
  is-ValueNode n = ((is-CallTargetNode n) \lor (is-FixedNode n) \lor (is-FloatingNode
```

```
n))
\mathbf{fun} \ \mathit{is-VirtualState} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
 is-VirtualState n = ((is-FrameState n))
fun is-Node :: IRNode \Rightarrow bool where
  is-Node n = ((is-ValueNode n) \lor (is-VirtualState n))
fun is-MemoryKill :: IRNode <math>\Rightarrow bool where
  is-MemoryKill\ n = ((is-AbstractMemoryCheckpoint\ n))
fun is-NarrowableArithmeticNode :: IRNode \Rightarrow bool where
 is-NarrowableArithmeticNode n = ((is-AbsNode n) \lor (is-AddNode n) \lor (is-AndNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}NegateNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}OrNode\ n) \lor
(is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-AnchoringNode :: IRNode \Rightarrow bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))
fun is-DeoptBefore :: IRNode \Rightarrow bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))
fun is-IndirectCanonicalization :: IRNode \Rightarrow bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))
fun is-IterableNodeType :: IRNode <math>\Rightarrow bool where
 is-IterableNodeType n = ((is-AbstractBeqinNode n) \lor (is-AbstractMergeNode n) \lor
(is	ext{-}FrameState\ n) \lor (is	ext{-}IfNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n) \lor (is	ext{-}InvokeWithExceptionNode\ n)
n) \lor (is\text{-}LoopBeginNode\ n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n)
\lor (is\text{-}ParameterNode\ n) \lor (is\text{-}ReturnNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
fun is-Invoke :: IRNode \Rightarrow bool where
  is-Invoke n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode n))
fun is-Proxy :: IRNode \Rightarrow bool where
  is-Proxy n = ((is-ProxyNode n))
fun is-ValueProxy :: IRNode \Rightarrow bool where
  is-ValueProxy n = ((is-PiNode n) \lor (is-ValueProxyNode n))
fun is-ValueNodeInterface :: IRNode \Rightarrow bool where
  is-ValueNodeInterface n = ((is-ValueNode n))
fun is-ArrayLengthProvider :: IRNode \Rightarrow bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) \lor (is-ConstantNode
n))
fun is-StampInverter :: IRNode <math>\Rightarrow bool where
  is-StampInverter n = ((is-NegateNode n) \lor (is-NotNode n))
```

```
fun is-GuardingNode :: IRNode <math>\Rightarrow bool where
   is-GuardingNode n = ((is-AbstractBeginNode n))
fun is-SingleMemoryKill :: IRNode \Rightarrow bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n) \lor (is-ExceptionObjectNode
n) \lor (is\text{-}InvokeNode\ n) \lor (is\text{-}InvokeWithExceptionNode\ n) \lor (is\text{-}KillingBeginNode\ n)
n) \vee (is\text{-}StartNode\ n))
fun is-LIRLowerable :: IRNode \Rightarrow bool where
     is-LIRLowerable n = ((is-AbstractBeginNode n) \lor (is-AbstractEndNode n) \lor
(is-AbstractMergeNode\ n) \lor (is-BinaryOpLogicNode\ n) \lor (is-CallTargetNode\ n) \lor
(is-ConditionalNode n) \lor (is-ConstantNode n) \lor (is-IfNode n) \lor (is-InvokeNode n)
\lor (is-InvokeWithExceptionNode n) \lor (is-IsNullNode n) \lor (is-LoopBeginNode n) \lor
(is-PiNode\ n) \lor (is-ReturnNode\ n) \lor (is-SignedDivNode\ n) \lor (is-SignedRemNode\ n)
n) \lor (is\text{-}UnaryOpLogicNode\ n) \lor (is\text{-}UnwindNode\ n))
fun is-GuardedNode :: IRNode <math>\Rightarrow bool where
   is-GuardedNode n = ((is-FloatingGuardedNode n))
fun is-ArithmeticLIRLowerable :: IRNode \Rightarrow bool where
   is-ArithmeticLIRLowerable n = ((is-AbsNode n) \lor (is-BinaryArithmeticNode n)
\lor (is\text{-}NotNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n))
fun is-SwitchFoldable :: IRNode <math>\Rightarrow bool where
   is-SwitchFoldable n = ((is-IfNode n))
fun is-VirtualizableAllocation :: IRNode \Rightarrow bool where
   is-VirtualizableAllocation n = ((is-NewArrayNode n) \lor (is-NewInstanceNode n))
fun is-Unary :: IRNode \Rightarrow bool where
   is-Unary n = ((is-LoadFieldNode n) \lor (is-LogicNegationNode n) \lor (is-UnaryNode
n) \lor (is\text{-}UnaryOpLogicNode } n))
fun is-FixedNodeInterface :: IRNode <math>\Rightarrow bool where
   is-FixedNodeInterface n = ((is-FixedNode n))
fun is-BinaryCommutative :: IRNode \Rightarrow bool where
  is-Binary Commutative n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-IntegerEqualsNode
n) \vee (is\text{-}MulNode\ n) \vee (is\text{-}OrNode\ n) \vee (is\text{-}XorNode\ n))
fun is-Canonicalizable :: IRNode \Rightarrow bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n) \lor (is-ConditionalNode n) \lor (is-Condition
(is-DynamicNewArrayNode\ n)\ \lor\ (is-PhiNode\ n)\ \lor\ (is-PiNode\ n)\ \lor\ (is-ProxyNode\ n)
n) \lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-UncheckedInterfaceProvider :: IRNode \Rightarrow bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode
n) \lor (is\text{-}LoadFieldNode\ n) \lor (is\text{-}ParameterNode\ n))
```

```
fun is-Binary :: IRNode \Rightarrow bool where
 is-Binary n = ((is-Binary Arithmetic Node n) \lor (is-Binary Node n) \lor (is-Binary Op Logic Node n)
n) \lor (is\text{-}CompareNode\ n) \lor (is\text{-}FixedBinaryNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
fun is-ArithmeticOperation :: IRNode \Rightarrow bool where
 is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) \lor (is-UnaryArithmeticNode
n))
fun is-ValueNumberable :: IRNode \Rightarrow bool where
  is-ValueNumberable n = ((is-FloatingNode n) \lor (is-ProxyNode n))
fun is-Lowerable :: IRNode \Rightarrow bool where
   is-Lowerable n = ((is-AbstractNewObjectNode n) \lor (is-AccessFieldNode n) \lor
(is-BytecodeExceptionNode n) \lor (is-ExceptionObjectNode n) \lor (is-IntegerDivRemNode
n) \vee (is\text{-}UnwindNode\ n))
fun is-Virtualizable :: IRNode \Rightarrow bool where
  is-Virtualizable n = ((is-IsNullNode n) \lor (is-LoadFieldNode n) \lor (is-PiNode n)
\vee (is-StoreFieldNode n) \vee (is-ValueProxyNode n))
\mathbf{fun} \ \mathit{is\text{-}Simplifiable} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-Simplifiable n = ((is-AbstractMergeNode n) \lor (is-BeginNode n) \lor (is-IfNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n}) \lor (is\text{-}NewArrayNode\ n}))
fun is-StateSplit :: IRNode <math>\Rightarrow bool where
 is-StateSplit n = ((is-AbstractStateSplit n) \lor (is-BeginStateSplitNode n) \lor (is-StoreFieldNode
n))
fun is-sequential-node :: IRNode \Rightarrow bool where
  is-sequential-node (StartNode - -) = True
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True
  is-sequential-node (LoopBeginNode - - - - -) = True
  is-sequential-node (LoopExitNode - - -) = True
  is-sequential-node (MergeNode - - -) = True
  is-sequential-node (RefNode -) = True
  is-sequential-node - = False
The following convenience function is useful in determining if two IRNodes
are of the same type irregardless of their edges. It will return true if both
the node parameters are the same node class.
fun is-same-ir-node-type :: IRNode \Rightarrow IRNode \Rightarrow bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode \ n1) \land (is-AbsNode \ n2)) \lor
```

 $((is-AddNode\ n1) \land (is-AddNode\ n2)) \lor ((is-AndNode\ n1) \land (is-AndNode\ n2)) \lor ((is-BeginNode\ n1) \land (is-BeginNode\ n2)) \lor$ 

```
((is-BytecodeExceptionNode\ n1) \land (is-BytecodeExceptionNode\ n2)) \lor
((is\text{-}ConditionalNode\ n1) \land (is\text{-}ConditionalNode\ n2)) \lor
((is\text{-}ConstantNode\ n1) \land (is\text{-}ConstantNode\ n2)) \lor
((is-DynamicNewArrayNode\ n1) \land (is-DynamicNewArrayNode\ n2)) \lor
((is\text{-}EndNode\ n1) \land (is\text{-}EndNode\ n2)) \lor
((is\text{-}ExceptionObjectNode\ n1) \land (is\text{-}ExceptionObjectNode\ n2)) \lor
((is\text{-}FrameState \ n1) \land (is\text{-}FrameState \ n2)) \lor
((is\text{-}IfNode\ n1) \land (is\text{-}IfNode\ n2)) \lor
((is-IntegerEqualsNode\ n1) \land (is-IntegerEqualsNode\ n2)) \lor
((is-IntegerLessThanNode\ n1) \land (is-IntegerLessThanNode\ n2)) \lor
((is\text{-}InvokeNode\ n1) \land (is\text{-}InvokeNode\ n2)) \lor
((is-InvokeWithExceptionNode\ n1) \land (is-InvokeWithExceptionNode\ n2)) \lor
((is\text{-}IsNullNode\ n1) \land (is\text{-}IsNullNode\ n2)) \lor
((is\text{-}KillingBeginNode\ n1) \land (is\text{-}KillingBeginNode\ n2)) \lor
((is\text{-}LoadFieldNode\ n1) \land (is\text{-}LoadFieldNode\ n2)) \lor
((is\text{-}LogicNegationNode\ n1) \land (is\text{-}LogicNegationNode\ n2)) \lor
((is\text{-}LoopBeginNode\ n1) \land (is\text{-}LoopBeginNode\ n2)) \lor
((is\text{-}LoopEndNode\ n1) \land (is\text{-}LoopEndNode\ n2)) \lor
((is\text{-}LoopExitNode\ n1) \land (is\text{-}LoopExitNode\ n2)) \lor
((is\text{-}MergeNode\ n1) \land (is\text{-}MergeNode\ n2)) \lor
((is-MethodCallTargetNode\ n1) \land (is-MethodCallTargetNode\ n2)) \lor
((is\text{-}MulNode\ n1) \land (is\text{-}MulNode\ n2)) \lor
((is\text{-}NegateNode\ n1) \land (is\text{-}NegateNode\ n2)) \lor
((is-NewArrayNode\ n1) \land (is-NewArrayNode\ n2)) \lor
((is-NewInstanceNode\ n1) \land (is-NewInstanceNode\ n2)) \lor
((is\text{-}NotNode\ n1) \land (is\text{-}NotNode\ n2)) \lor
((is\text{-}OrNode\ n1) \land (is\text{-}OrNode\ n2)) \lor
((is-ParameterNode\ n1) \land (is-ParameterNode\ n2)) \lor
((is-PiNode\ n1) \land (is-PiNode\ n2)) \lor
((is\text{-}ReturnNode\ n1) \land (is\text{-}ReturnNode\ n2)) \lor
((is	ext{-}ShortCircuitOrNode\ n1) \land (is	ext{-}ShortCircuitOrNode\ n2)) \lor
((is\text{-}SignedDivNode\ n1) \land (is\text{-}SignedDivNode\ n2)) \lor
((is\text{-}StartNode\ n1) \land (is\text{-}StartNode\ n2)) \lor
((is\text{-}StoreFieldNode\ n1) \land (is\text{-}StoreFieldNode\ n2)) \lor
((is\text{-}SubNode\ n1) \land (is\text{-}SubNode\ n2)) \lor
((is-UnwindNode\ n1) \land (is-UnwindNode\ n2)) \lor
((is-ValuePhiNode\ n1) \land (is-ValuePhiNode\ n2)) \lor
((is-ValueProxyNode\ n1) \land (is-ValueProxyNode\ n2)) \lor
((is\text{-}XorNode\ n1) \land (is\text{-}XorNode\ n2)))
```

## 3 Stamp Typing

```
theory Stamp
imports Values2
begin
```

The GraalVM compiler uses the Stamp class to store range and type infor-

mation for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
datatype Stamp =
    VoidStamp
    | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)
      KlassPointerStamp\ (stp-nonNull:\ bool)\ (stp-alwaysNull:\ bool)
       MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
    | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
       RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
     IllegalStamp
fun bit-bounds :: nat \Rightarrow (int \times int) where
    bit-bounds bits = (((2 \hat{bits}) div 2) * -1, ((2 \hat{bits}) div 2) - 1)
— A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp <math>\Rightarrow Stamp where
    unrestricted-stamp \ VoidStamp = VoidStamp \ |
     unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False)
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp nonNull alwaysNull alwaysNull) = (MethodCountersPointerStamp nonNull alwaysNull alwaysNull
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp)
False False) |
  unrestricted-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp \ type \ alwaysNull)
"" False False False) |
    unrestricted-stamp - = IllegalStamp
fun is-stamp-unrestricted :: Stamp \Rightarrow bool where
    is-stamp-unrestricted s = (s = unrestricted-stamp s)
— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp \Rightarrow Stamp where
    empty-stamp \ VoidStamp = VoidStamp |
   empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds)
bits)) (fst (bit-bounds bits))) |
```

```
empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull\ alwaysNull)
  empty-stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull)
nonNull alwaysNull)
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp nonNull alwaysNull)
nonNull \ alwaysNull)
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp type exactType nonNull alwaysNull alwaysNull exactType nonNull alwaysNull exactType nonNull alwaysNull exactType nonNull exactType no
'''' True True False) |
    empty-stamp \ stamp = IllegalStamp
fun is-stamp-empty :: Stamp \Rightarrow bool where
    is-stamp-empty (IntegerStamp\ b\ lower\ upper) = (upper < lower) |
    is-stamp-empty x = False
  - Calculate the meet stamp of two stamps
fun meet :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
    meet\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
    meet (IntegerStamp \ b1 \ l1 \ u1) (IntegerStamp \ b2 \ l2 \ u2) = (
       if b1 \neq b2 then IllegalStamp else
       (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |
    meet \ (KlassPointerStamp \ nn1 \ an1) \ (KlassPointerStamp \ nn2 \ an2) = (
       KlassPointerStamp (nn1 \land nn2) (an1 \land an2)
     meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 \ an2) = (
       MethodCountersPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
    meet \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
       MethodPointersStamp (nn1 \land nn2) (an1 \land an2)
    meet \ s1 \ s2 = IllegalStamp
— Calculate the join stamp of two stamps
fun join :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
   join\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
   join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
       if b1 \neq b2 then IllegalStamp else
       (IntegerStamp\ b1\ (max\ l1\ l2)\ (min\ u1\ u2))
   join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
       if ((nn1 \vee nn2) \wedge (an1 \vee an2))
       then (empty-stamp (KlassPointerStamp nn1 an1))
       else (KlassPointerStamp (nn1 \lor nn2) (an1 \lor an2))
```

) |

```
join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodCountersPointerStamp nn1 an1))
   else (MethodCountersPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodPointersStamp nn1 an1))
   else (MethodPointersStamp (nn1 \lor nn2) (an1 \lor an2))
 join \ s1 \ s2 = IllegalStamp
— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.
fun asConstant :: Stamp <math>\Rightarrow Value where
  asConstant (IntegerStamp \ b \ l \ h) = (if \ l = h \ then \ IntVal32 \ (word-of-int \ l) \ else
 asConstant -= UndefVal
— Determine if two stamps never have value overlaps i.e. their join is empty
fun alwaysDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
 alwaysDistinct\ stamp1\ stamp2 = is\text{-}stamp\text{-}empty\ (join\ stamp1\ stamp2)
— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
  never Distinct\ stamp1\ stamp2\ =\ (as Constant\ stamp1\ =\ as Constant\ stamp2\ \land
asConstant\ stamp1 \neq UndefVal)
fun constantAsStamp :: Value <math>\Rightarrow Stamp where
 constantAsStamp (IntVal32 \ v) = (IntegerStamp \ 32 \ (sint \ v) \ (sint \ v))
 constantAsStamp -= IllegalStamp
— Define when a runtime value is valid for a stamp
fun valid-value :: Stamp \Rightarrow Value \Rightarrow bool where
 valid-value (IntegerStamp b1 l h) (IntVal32 v) = ((sint v \ge l) \land (sint \ v \le h))
 valid-value (VoidStamp) (UndefVal) = True
 valid-value\ stamp\ val = False
— The most common type of stamp within the compiler (apart from the Void-
Stamp) is a 32 bit integer stamp with an unrestricted range. We use default-stamp
as it is a frequently used stamp.
definition default-stamp :: Stamp where
 default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

```
notepad
begin
 have unrestricted-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 (- 128) 127)
 have unrestricted-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 (- 32768)
32767)
   by auto
have unrestricted-stamp (IntegerStamp 32 0 10) = (IntegerStamp 32 (- 2147483648)
2147483647)
   by auto
 have empty-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 127 (-128))
   by auto
 have empty-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 32767 (- 32768))
 have empty-stamp (IntegerStamp 32\ 0\ 10) = (IntegerStamp 32\ 2147483647 (-
2147483648))
  by auto
 have join (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 0 10)
   by auto
 have meet (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 (-100) 20)
   by auto
end
```

### 4 Graph Representation

```
theory IRGraph
imports
IRNodeHierarchy
Stamp2
HOL-Library.FSet
HOL.Relation
begin
```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```
typedef IRGraph = \{g :: ID \rightarrow (IRNode \times Stamp) : finite (dom g)\}

proof -

have finite(dom(Map.empty)) \land ran Map.empty = \{\} by auto

then show ?thesis
```

```
by fastforce
qed
setup-lifting type-definition-IRGraph
lift-definition ids :: IRGraph \Rightarrow ID \ set
  is \lambda g. \{nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, \ s))\}.
fun with-default :: 'c \Rightarrow ('b \Rightarrow 'c) \Rightarrow (('a \rightharpoonup 'b) \Rightarrow 'a \Rightarrow 'c) where
  with-default def conv = (\lambda m \ k.
    (case \ m \ k \ of \ None \Rightarrow def \mid Some \ v \Rightarrow conv \ v))
lift-definition kind :: IRGraph \Rightarrow (ID \Rightarrow IRNode)
  is with-default NoNode fst .
lift-definition stamp :: IRGraph \Rightarrow ID \Rightarrow Stamp
  is with-default IllegalStamp and .
lift-definition add\text{-}node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition remove-node :: ID \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid\ g.\ g(nid:=None) by simp
lift-definition replace-node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition as-list :: IRGraph \Rightarrow (ID \times IRNode \times Stamp) list
  is \lambda g. map (\lambda k. (k, the (g k))) (sorted-list-of-set (dom g)).
fun no-node :: (ID \times (IRNode \times Stamp)) list \Rightarrow (ID \times (IRNode \times Stamp)) list
  no\text{-}node\ g = filter\ (\lambda n.\ fst\ (snd\ n) \neq NoNode)\ g
lift-definition irgraph :: (ID \times (IRNode \times Stamp)) \ list \Rightarrow IRGraph
  is map-of \circ no-node
  by (simp add: finite-dom-map-of)
code-datatype irgraph
fun filter-none where
  filter-none g = \{nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, s))\}
\mathbf{lemma}\ no\text{-}node\text{-}clears:
  res = no\text{-}node \ xs \longrightarrow (\forall \ x \in set \ res. \ fst \ (snd \ x) \neq NoNode)
  by simp
lemma dom-eq:
```

```
assumes \forall x \in set \ xs. \ fst \ (snd \ x) \neq NoNode
  shows filter-none (map-of xs) = dom (map-of xs)
  unfolding filter-none.simps using assms map-of-SomeD
  by fastforce
lemma fil-eq:
  filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))
  using no-node-clears
  by (metis dom-eq dom-map-of-conv-image-fst list.set-map)
lemma irgraph[code]: ids (irgraph m) = set (map fst (no-node m))
  unfolding irgraph-def ids-def using fil-eq
  by (smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq
ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq)
lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
  using Abs-IRGraph-inverse
  by (simp add: irgraph.rep-eq)
— Get the inputs set of a given node ID
fun inputs :: IRGraph \Rightarrow ID \Rightarrow ID set where
  inputs\ g\ nid = set\ (inputs-of\ (kind\ g\ nid))

    Get the successor set of a given node ID

fun succ :: IRGraph \Rightarrow ID \Rightarrow ID set where
  succ\ g\ nid = set\ (successors-of\ (kind\ g\ nid))
— Gives a relation between node IDs - between a node and its input nodes
fun input\text{-}edges :: IRGraph \Rightarrow ID rel where
  input-edges\ g = (\bigcup\ i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})
 - Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  usages g nid = \{j. j \in ids \ g \land (j,nid) \in input\text{-}edges \ g\}
fun successor-edges :: IRGraph \Rightarrow ID rel where
  successor\text{-}edges\ g = (\bigcup\ i \in ids\ g.\ \{(i,j)|j\ .\ j \in (succ\ g\ i)\})
fun predecessors :: IRGraph \Rightarrow ID \Rightarrow ID set where
  predecessors \ q \ nid = \{j, j \in ids \ q \land (j,nid) \in successor-edges \ q\}
fun nodes-of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  nodes-of g \ sel = \{ nid \in ids \ g \ . \ sel \ (kind \ g \ nid) \}
fun edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a where
  edge \ sel \ nid \ g = sel \ (kind \ g \ nid)
fun filtered-inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-inputs g nid f = filter (f \circ (kind \ g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-successors g nid f = filter (f \circ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
 filtered-usages g nid f = \{n \in (usages \ g \ nid), f \ (kind \ g \ n)\}
```

```
is\text{-}empty\ g = (ids\ g = \{\})
fun any-usage :: IRGraph \Rightarrow ID \Rightarrow ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))
lemma ids-some[simp]: x \in ids \ g \longleftrightarrow kind \ g \ x \neq NoNode
proof -
 have that: x \in ids \ g \longrightarrow kind \ g \ x \neq NoNode
   using ids.rep-eq kind.rep-eq by force
 have kind g x \neq NoNode \longrightarrow x \in ids g
   {\bf unfolding} \ \textit{with-default.simps kind-def ids-def}
   by (cases Rep-IRGraph g x = None; auto)
 from this that show ?thesis by auto
qed
lemma not-in-q:
 assumes nid \notin ids q
 \mathbf{shows} \ kind \ g \ nid = NoNode
 using assms ids-some by blast
lemma valid-creation[simp]:
 finite\ (dom\ g) \longleftrightarrow Rep-IRGraph\ (Abs-IRGraph\ g) = g
 using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)
lemma [simp]: finite (ids g)
  using Rep-IRGraph ids.rep-eq by simp
lemma [simp]: finite (ids (irgraph g))
 by (simp add: finite-dom-map-of)
lemma [simp]: finite (dom\ g) \longrightarrow ids\ (Abs\text{-}IRGraph\ g) = \{nid \in dom\ g\ .\ \nexists s.\ g
nid = Some (NoNode, s)
 using ids.rep-eq by simp
lemma [simp]: finite (dom\ g) \longrightarrow kind\ (Abs\text{-}IRGraph\ g) = (\lambda x\ .\ (case\ g\ x\ of\ None
\Rightarrow NoNode | Some n \Rightarrow fst n)
 by (simp add: kind.rep-eq)
lemma [simp]: finite (dom g) \longrightarrow stamp (Abs-IRGraph g) = (\lambda x . (case g x of
None \Rightarrow IllegalStamp \mid Some \ n \Rightarrow snd \ n)
 using stamp.abs-eq stamp.rep-eq by auto
lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
 using irgraph by auto
lemma [simp]: kind (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 using irgraph.rep-eq kind.transfer kind.rep-eq by auto
```

```
lemma [simp]: stamp (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow IllegalStamp | Some n \Rightarrow snd n)
 using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto
lemma map-of-upd: (map-of\ g)(k\mapsto v)=(map-of\ ((k,\ v)\ \#\ g))
 by simp
lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) \# g)))
\mathbf{proof}\;(\mathit{cases}\;\mathit{fst}\;k=\mathit{NoNode})
 {f case}\ True
 then show ?thesis
   by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps\ replace-node.rep-eq\ snd-conv)
next
 case False
 then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
   by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
qed
lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) \# g)))
  by (smt\ (z3)\ Rep-IRGraph-inject\ add-node.rep-eq\ filter.simps(2)\ irgraph.rep-eq
map-of-upd no-node.simps snd-conv)
lemma add-node-lookup:
  gup = add-node nid(k, s) g \longrightarrow
   (if k \neq NoNode then kind gup nid = k \wedge stamp gup nid = s else kind gup nid
= kind \ g \ nid)
proof (cases \ k = NoNode)
 case True
 then show ?thesis
   by (simp add: add-node.rep-eq kind.rep-eq)
next
 {f case} False
 then show ?thesis
   by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed
lemma remove-node-lookup:
  gup = remove\text{-}node \ nid \ g \longrightarrow kind \ gup \ nid = NoNode \land stamp \ gup \ nid =
IllegalStamp
 by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)
lemma replace-node-lookup[simp]:
  qup = replace - node \ nid \ (k, s) \ g \land k \neq NoNode \longrightarrow kind \ qup \ nid = k \land stamp
qup \ nid = s
 by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)
```

```
lemma replace-node-unchanged:
 gup = replace - node \ nid \ (k, s) \ g \longrightarrow (\forall \ n \in (ids \ g - \{nid\}) \ . \ n \in ids \ g \land n \in ids
gup \wedge kind \ g \ n = kind \ gup \ n)
 by (simp add: kind.rep-eq replace-node.rep-eq)
4.0.1 Example Graphs
Example 1: empty graph (just a start and end node)
definition start-end-graph:: IRGraph where
  start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode
None None, VoidStamp)
Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]
definition eg2-sq :: IRGraph where
 eq2-sq = irgraph
   (0, StartNode None 5, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (4, MulNode 1 1, default-stamp),
   (5, ReturnNode (Some 4) None, default-stamp)
value input-edges eg2-sq
value usages eg2-sq 1
end
```

### 5 Data-flow Semantics

```
theory IREval
imports
Graph.IRGraph
begin
```

We define the semantics of data-flow nodes as big-step operational semantics. Data-flow nodes are evaluated in the context of the *IRGraph* and a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated part of the control-flow as the data-flow is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym MapState = ID \Rightarrow Value
type-synonym Params = Value list
definition new-map-state :: MapState where
  new-map-state = (\lambda x. \ UndefVal)
fun find-index :: 'a \Rightarrow 'a \ list \Rightarrow nat \ \mathbf{where}
 find-index - [] = 0
 find-index\ v\ (x\ \#\ xs) = (if\ (x=v)\ then\ 0\ else\ find-index\ v\ xs+1)
fun phi-list :: IRGraph \Rightarrow ID \Rightarrow ID list where
  phi-list g nid =
    (filter (\lambda x.(is-PhiNode\ (kind\ g\ x)))
      (sorted-list-of-set (usages g nid)))
fun input-index :: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))
fun phi-inputs :: IRGraph \Rightarrow nat \Rightarrow ID \ list \Rightarrow ID \ list where
  phi-inputs g \ i \ nodes = (map \ (\lambda n. \ (inputs-of \ (kind \ g \ n))!(i+1)) \ nodes)
fun set-phis :: ID list \Rightarrow Value\ list \Rightarrow MapState \Rightarrow MapState where
  set-phis <math> [ ]  [ ]  m = m | ] 
  set-phis (nid \# xs) (v \# vs) m = (set-phis xs vs (m(nid := v))) |
  set-phis [] (v # vs) m = m |
  set-phis (x \# xs) [] m = m
inductive
  eval :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow IRNode \Rightarrow Value \Rightarrow bool ([-, -, -] \vdash
- \mapsto -55
  for g m p where
  ConstantNode: \\
  [g, m, p] \vdash (ConstantNode \ c) \mapsto c \mid
  ParameterNode:
  [g, m, p] \vdash (ParameterNode \ i) \mapsto p!i \mid
  ValuePhiNode:
```

```
[g, m, p] \vdash (ValuePhiNode\ nid - -) \mapsto m\ nid
  Value Proxy Node:
  \llbracket [g, m, p] \vdash (kind \ g \ c) \mapsto val \rrbracket
    \implies [g, m, p] \vdash (ValueProxyNode \ c \ -) \mapsto val \mid
 — Unary arithmetic operators
  AbsNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto IntVal32 \ v \rrbracket
    \implies [g, m, p] \vdash (AbsNode x) \mapsto if v < 0 then (intval-sub (IntVal32 0) (IntVal32))
v)) else (IntVal32 v) |
  NegateNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v \rrbracket
    \implies [g, m, p] \vdash (NegateNode \ x) \mapsto (IntVal32 \ \theta) - v \mid
  NotNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v;
    nv = intval-not v
    \implies [g, m, p] \vdash (NotNode \ x) \mapsto nv \mid
 — Binary arithmetic operators
  AddNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
    [g, m, p] \vdash (kind \ g \ y) \mapsto v2
    \implies [g, m, p] \vdash (AddNode \ x \ y) \mapsto v1 + v2 \mid
  SubNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
    [g, m, p] \vdash (kind \ g \ y) \mapsto v2
    \implies [g, m, p] \vdash (SubNode x y) \mapsto v1 - v2 \mid
  MulNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
    [g, m, p] \vdash (kind \ g \ y) \mapsto v2
    \implies [g, m, p] \vdash (MulNode \ x \ y) \mapsto v1 * v2 \mid
  SignedDivNode:
  [g, m, p] \vdash (SignedDivNode \ nid - - - -) \mapsto m \ nid \mid
  SignedRemNode:
  [g, m, p] \vdash (SignedRemNode\ nid - - - -) \mapsto m\ nid
  — Binary logical bitwise operators
  AndNode:
  \llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
```

```
[g, m, p] \vdash (kind \ g \ y) \mapsto v2
  \implies [g, m, p] \vdash (AndNode \ x \ y) \mapsto intval\text{-}and \ v1 \ v2 \mid
OrNode:
[[g, m, p] \vdash (kind \ g \ x) \mapsto v1;
  [g, m, p] \vdash (kind \ g \ y) \mapsto v2
  \implies [g, m, p] \vdash (OrNode \ x \ y) \mapsto intval\text{-}or \ v1 \ v2 \mid
XorNode:
\llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
  [g, m, p] \vdash (kind \ g \ y) \mapsto v2
  \implies [g, m, p] \vdash (XorNode \ x \ y) \mapsto intval\text{-}xor \ v1 \ v2 \mid
— Comparison operators
IntegerEqualsNode:
\llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto IntVal32 \ v1;
  [g, m, p] \vdash (kind g y) \mapsto IntVal32 v2;
  val = bool-to-val(v1 = v2)
 \implies [g, m, p] \vdash (IntegerEqualsNode \ x \ y) \mapsto val \mid
IntegerLessThanNode:
[[g, m, p] \vdash (kind \ g \ x) \mapsto IntVal32 \ v1;
  [g, m, p] \vdash (kind g y) \mapsto IntVal32 v2;
  val = bool-to-val(v1 < v2)
  \implies [g, m, p] \vdash (IntegerLessThanNode \ x \ y) \mapsto val \mid
IsNullNode:
\llbracket [g, m, p] \vdash (kind \ g \ obj) \mapsto ObjRef \ ref;
  val = bool\text{-}to\text{-}val(ref = None)
  \implies [g, m, p] \vdash (IsNullNode \ obj) \mapsto val \mid
— Other nodes
Conditional Node:
\llbracket [g, m, p] \vdash (kind \ g \ condition) \mapsto IntVal32 \ cond;
  [g, m, p] \vdash (kind \ g \ trueExp) \mapsto IntVal32 \ trueVal;
  [g, m, p] \vdash (kind \ g \ falseExp) \mapsto IntVal32 \ falseVal;
  val = IntVal32 \ (if \ (val\text{-}to\text{-}bool \ (IntVal32 \ cond)) \ then \ trueVal \ else \ falseVal)
  \implies [g, m, p] \vdash (ConditionalNode\ condition\ trueExp\ falseExp) <math>\mapsto val
ShortCircuitOrNode:
\llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto IntVal32 \ v1;
 [g, m, p] \vdash (kind g y) \mapsto Int Val 32 v 2;
  val = IntVal32 \ (if \ v1 \neq 0 \ then \ v1 \ else \ v2)
  \implies [g, m, p] \vdash (ShortCircuitOrNode \ x \ y) \mapsto val \mid
```

```
LogicNegationNode:
\llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto IntVal32 \ v1;
  neg-v1 = (\neg(val-to-bool\ (IntVal32\ v1)));
 val = bool-to-val neg-v1
 \implies [g, m, p] \vdash (LogicNegationNode \ x) \mapsto val \mid
InvokeNodeEval:
[g, m, p] \vdash (InvokeNode\ nid - - - -) \mapsto m\ nid\ |
Invoke With Exception Node Eval:
[g, m, p] \vdash (InvokeWithExceptionNode\ nid - - - - -) \mapsto m\ nid \mid
NewInstanceNode:
[g, m, p] \vdash (NewInstanceNode\ nid - - -) \mapsto m\ nid
LoadFieldNode:
[g, m, p] \vdash (LoadFieldNode\ nid - - -) \mapsto m\ nid
PiNode:
\llbracket [g, m, p] \vdash (kind \ g \ object) \mapsto val \rrbracket
  \implies [g, m, p] \vdash (PiNode \ object \ guard) \mapsto val \mid
RefNode:
\llbracket [g, m, p] \vdash (kind \ g \ x) \mapsto val \rrbracket
  \implies [g, m, p] \vdash (RefNode \ x) \mapsto val
```

The step semantics for phi nodes requires all the input nodes of the phi node to be evaluated to a value at the same time.

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalE$ ) eval.

We introduce the *eval-all* relation to handle the evaluation of a list of node identifiers in parallel. As the evaluation semantics are side-effect free this is trivial.

#### inductive

```
\begin{array}{l} eval\text{-}all :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow ID \ list \Rightarrow Value \ list \Rightarrow bool \\ ([\neg, \neg, \neg] \vdash \neg \longmapsto \neg 55) \\ \textbf{for} \ g \ m \ p \ \textbf{where} \\ Base: \\ [g, \ m, \ p] \vdash [] \longmapsto [] \mid \\ \\ Transitive: \\ [[g, \ m, \ p] \vdash (kind \ g \ nid) \mapsto v; \\ [g, \ m, \ p] \vdash xs \longmapsto vs] \\ \Longrightarrow [g, \ m, \ p] \vdash (nid \ \# \ xs) \longmapsto (v \ \# \ vs) \end{array}
```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ eval-allE$ ) eval-all.

```
\mathbf{inductive} \ \mathit{eval-graph} :: \mathit{IRGraph} \Rightarrow \mathit{ID} \Rightarrow \mathit{Value} \ \mathit{list} \Rightarrow \mathit{Value} \Rightarrow \mathit{bool}
  where
  \llbracket [g, new\text{-}map\text{-}state, ps] \vdash (kind \ g \ nid) \mapsto val \rrbracket
    \implies eval\text{-}graph \ g \ nid \ ps \ val
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) eval-graph.
values \{v. \ eval\ -graph \ eg2\ -sq\ 4 \ [IntVal32\ 5]\ v\}
fun has\text{-}control\text{-}flow :: IRNode <math>\Rightarrow bool where
  has\text{-}control\text{-}flow\ n=(is\text{-}AbstractEndNode\ n
    \vee (length (successors-of n) > 0))
definition control-nodes :: IRNode set where
  control\text{-}nodes = \{n \text{ . } has\text{-}control\text{-}flow n\}
fun is-floating-node :: IRNode \Rightarrow bool where
  is-floating-node n = (\neg(has\text{-}control\text{-}flow\ n))
definition floating-nodes :: IRNode set where
  floating-nodes = \{n : is-floating-node n\}
lemma is-floating-node n \longleftrightarrow \neg(has\text{-}control\text{-}flow\ n)
  by simp
lemma n \in control\text{-}nodes \longleftrightarrow n \notin floating\text{-}nodes
  by (simp add: control-nodes-def floating-nodes-def)
Here we show that using the elimination rules for eval we can prove 'inverted
rule' properties
lemma evalAddNode: [g, m, p] \vdash (AddNode x y) \mapsto val \Longrightarrow
  (\exists v1. ([g, m, p] \vdash (kind g x) \mapsto v1) \land
```

by (induct n; simp add: neq-Nil-conv)

We show that within the context of a graph and method state, the same node will always evaluate to the same value and the semantics is therefore

**lemma** not-floating:  $(\exists y \ ys. \ (successors-of \ n) = y \ \# \ ys) \longrightarrow \neg (is-floating-node \ n)$ 

theorem evalDet:

deterministic.

 $(\exists v2. ([g, m, p] \vdash (kind g y) \mapsto v2) \land$ 

using AddNodeE plus-Value-def by metis

 $val = intval - add \ v1 \ v2)$ 

unfolding is-floating-node.simps

```
 \begin{array}{l} ([g,\,m,\,p] \vdash node \mapsto val1) \Longrightarrow \\ (\forall \ val2. \ (([g,\,m,\,p] \vdash node \mapsto val2) \longrightarrow val1 = val2)) \\ \textbf{apply} \ (induction \ rule: \ eval.induct) \\ \textbf{by} \ (rule \ allI; \ rule \ impI; \ elim \ EvalE; \ auto) + \\ \\ \textbf{theorem} \ \ evalAllDet: \\ ([g,\,m,\,p] \vdash nodes \longmapsto vals1) \Longrightarrow \\ (\forall \ vals2. \ (([g,\,m,\,p] \vdash nodes \longmapsto vals2) \longrightarrow vals1 = vals2)) \\ \textbf{apply} \ (induction \ rule: \ eval-all.induct) \\ \textbf{using} \ \ eval-all.cases \ \textbf{apply} \ \ blast \\ \textbf{by} \ (metis \ evalDet \ eval-all.cases \ list.discI \ list.inject) \\ \end{array}
```

 $\mathbf{end}$ 

# 6 Control-flow Semantics

```
theory IRStepObj
imports
IREval
begin
```

### 6.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the H[f][p] heap representation. See  $\cite{heap-reps-2011}$ . We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

```
type-synonym ('a, 'b) Heap = 'a \Rightarrow 'b \Rightarrow Value

type-synonym Free = nat

type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap \times Free

fun h-load-field :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) DynamicHeap \Rightarrow Value where

h-load-field r f (h, n) = h r f

fun h-store-field :: 'a \Rightarrow 'b \Rightarrow Value \Rightarrow ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap where

h-store-field r f v (h, n) = (h(r := ((h r)(f := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap \times Value where

h-new-inst (h, n) = ((h,n+1), (ObjRef (Some n)))

type-synonym RefFieldHeap = (objref, string) DynamicHeap

definition new-heap :: ('a, 'b) DynamicHeap where

new-heap = ((\lambda f. \lambda p. UndefVal), 0)
```

### 6.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

```
inductive step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times RefFieldHeap) \Rightarrow (ID \times MapState \times RefField
\times MapState \times RefFieldHeap) \Rightarrow bool
      (-, -\vdash - \rightarrow -55) for g p where
       SequentialNode:
       [is-sequential-node\ (kind\ g\ nid);
            nid' = (successors-of (kind \ g \ nid))!0
            \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
       IfNode:
       [kind\ g\ nid = (IfNode\ cond\ tb\ fb);
            [g, m, p] \vdash (kind \ g \ cond) \mapsto val;
            nid' = (if \ val - to - bool \ val \ then \ tb \ else \ fb)
            \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
       EndNodes:
       \llbracket is\text{-}AbstractEndNode \ (kind \ g \ nid); 
brace
            merge = any-usage g nid;
            is-AbstractMergeNode (kind g merge);
            i = find\text{-}index\ nid\ (inputs\text{-}of\ (kind\ g\ merge));
            phis = (phi-list\ g\ merge);
             inps = (phi-inputs \ g \ i \ phis);
            [g, m, p] \vdash inps \longmapsto vs;
            m' = set-phis phis vs m
             \implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid
       NewInstanceNode:
             [kind\ g\ nid\ =\ (NewInstanceNode\ nid\ f\ obj\ nid');
                   (h', ref) = h\text{-}new\text{-}inst h;
                   m' = m(nid := ref)
            \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
       LoadFieldNode:
              \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');
                   [g, m, p] \vdash (kind \ g \ obj) \mapsto ObjRef \ ref;
                   h-load-field ref f h = v;
                   m' = m(nid := v)
            \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
       SignedDivNode:
            [kind\ g\ nid\ =\ (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);
                   [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
```

```
[g, m, p] \vdash (kind \ g \ y) \mapsto v2;
      v = (intval-div \ v1 \ v2);
      m' = m(nid := v)
    \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h)
  SignedRemNode:
    \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);
      [g, m, p] \vdash (kind \ g \ x) \mapsto v1;
      [g, m, p] \vdash (kind \ g \ y) \mapsto v2;
      v = (intval - mod \ v1 \ v2);
      m' = m(nid := v)
    \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h)
  StaticLoadFieldNode:
    [kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid');
      h-load-field None f h = v;
      m' = m(nid := v)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
  StoreFieldNode:
    \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ -\ (Some\ obj)\ nid');
      [g, m, p] \vdash (kind \ g \ newval) \mapsto val;
      [g, m, p] \vdash (kind \ g \ obj) \mapsto ObjRef \ ref;
      h' = h-store-field ref f val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
  StaticStoreFieldNode:
    \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ -\ None\ nid');
      [g, m, p] \vdash (kind \ g \ newval) \mapsto val;
      h' = h-store-field None f val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
code-pred (modes: i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool) step.
```

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

```
theorem stepDet:
(g, p \vdash (nid, m, h) \rightarrow next) \Longrightarrow
(\forall next'. ((g, p \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))
proof (induction \ rule: \ step.induct)
case (SequentialNode \ nid \ next \ m \ h)
have notif: \neg (is\text{-}IfNode \ (kind \ g \ nid))
using SequentialNode.hyps(1) \ is\text{-}sequential-node.simps}
by (metis \ is\text{-}IfNode\text{-}def)
have notend: \neg (is\text{-}AbstractEndNode \ (kind \ g \ nid))
using SequentialNode.hyps(1) \ is\text{-}sequential-node.simps}
```

```
by (metis\ is-AbstractEndNode.simps\ is-EndNode.elims(2)\ is-LoopEndNode-def)
 have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-NewInstanceNode-def)
 have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-LoadFieldNode-def)
 have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-StoreFieldNode-def)
 have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
    using SequentialNode.hyps(1) is-sequential-node.simps is-SignedDivNode-def
is-SignedRemNode-def
   by (metis is-IntegerDivRemNode.simps)
 from notif notend notnew notload notstore notdivrem
 show ?case using SequentialNode step.cases
  by (smt (verit) IRNode.discI(18) is-IfNode-def is-NewInstanceNode-def is-StoreFieldNode-def
is-sequential-node.simps(38) is-sequential-node.simps(39) old.prod.inject)
 case (If Node nid cond to for m val next h)
 then have notseq: \neg(is\text{-sequential-node (kind g nid)})
   {f using}\ is\ -sequential\ -node. simps\ is\ -AbstractMergeNode. simps
   by (simp\ add:\ IfNode.hyps(1))
 have notend: \neg(is-AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ IfNode.hyps(1))
 have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ IfNode.hyps(1))
 from notseq notend notdivrem show ?case using IfNode evalDet
    using IRNode.distinct(871) IRNode.distinct(891) IRNode.distinct(909) IRN-
ode.distinct(923)
   by (smt (z3) IRNode.distinct(893) IRNode.distinct(913) IRNode.distinct(927)
IRNode.distinct(929) IRNode.distinct(933) IRNode.distinct(947) IRNode.inject(11)
Pair-inject step.simps)
 case (EndNodes\ nid\ merge\ i\ phis\ inputs\ m\ vs\ m'\ h)
 have notseg: \neg(is\text{-}sequential\text{-}node\ (kind\ q\ nid))
   using EndNodes.hyps(1) is-AbstractEndNode.simps is-sequential-node.simps
   by (metis is-EndNode.elims(2) is-LoopEndNode-def)
 have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
   using EndNodes.hyps(1)
  by (metis is-AbstractEndNode.elims(1) is-EndNode.simps(12) is-IfNode-def IRN-
ode.distinct-disc(900))
 have notref: \neg(is\text{-}RefNode\ (kind\ g\ nid))
   using EndNodes.hyps(1) is-sequential-node.simps
     using IRNode.disc(1899) IRNode.distinct(1473) is-AbstractEndNode.simps
is-EndNode.elims(2) is-LoopEndNode-def is-RefNode-def
   by (metis IRNode.distinct(737) IRNode.distinct-disc(1518))
```

```
have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ q\ nid))
           \mathbf{using}\ EndNodes.hyps(1)\ is	ext{-}AbstractEndNode.simps
        \mathbf{using}\ IRNode.\ distinct-disc(1442)\ is-EndNode.\ simps(29)\ is-NewInstanceNode-def
           by (metis\ IRNode.distinct-disc(1483))
      have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
           using EndNodes.hyps(1) is-AbstractEndNode.simps
           by (metis IRNode.disc(939) is-EndNode.simps(19) is-LoadFieldNode-def)
      have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
           using EndNodes.hyps(1) is-AbstractEndNode.simps
           \mathbf{using}\ IRNode. distinct-disc (1504)\ is-EndNode. simps (39)\ is-Store FieldNode-defined (2004)\ is-Store (2004)\ is-Stor
           by fastforce
      have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
        \textbf{using} \ EndNodes. hyps (1) \ is - AbstractEndNode. simps \ is - SignedDivNode-def \ is - SignedRemNode-def \ is - Si
        \mathbf{using}\ IRNode. distinct\text{-}disc(1498)\ IRNode. distinct\text{-}disc(1500)\ is\text{-}IntegerDivRemNode. simps
is-EndNode.simps(36) is-EndNode.simps(37)
           by auto
      from notseg notif notref notnew notload notstore notdivrem
     show ?case using EndNodes evalAllDet
        \textbf{by} \ (smt \ (z3) \ is \textit{-} If Node-def \ is \textit{-} LoadFieldNode-def \ is \textit{-} New InstanceNode-def \ is \textit{-} RefNode-def \ is \textit{-} New InstanceNode-def \ is \textit{-} New InstanceNode-def
is-StoreFieldNode-def is-SignedDivNode-def is-SignedRemNode-def Pair-inject is-IntegerDivRemNode.elims(3)
step.cases)
next
      case (NewInstanceNode nid f obj nxt h' ref h m' m)
      then have notseq: \neg(is\text{-sequential-node}\ (kind\ g\ nid))
            using is-sequential-node.simps is-AbstractMergeNode.simps
           by (simp\ add:\ NewInstanceNode.hyps(1))
      have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
           using is-AbstractMergeNode.simps
           by (simp add: NewInstanceNode.hyps(1))
      have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
            using is-AbstractMergeNode.simps
           by (simp add: NewInstanceNode.hyps(1))
      have notref: \neg(is\text{-}RefNode\ (kind\ g\ nid))
           using is-AbstractMergeNode.simps
           by (simp\ add:\ NewInstanceNode.hyps(1))
      have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
           using is-AbstractMergeNode.simps
           by (simp add: NewInstanceNode.hyps(1))
      have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
            using is-AbstractMergeNode.simps
           by (simp\ add:\ NewInstanceNode.hyps(1))
      have notdivrem: \neg (is-IntegerDivRemNode\ (kind\ g\ nid))
           using is-AbstractMergeNode.simps
           by (simp\ add:\ NewInstanceNode.hyps(1))
      from notseq notend notif notref notload notstore notdivrem
      show ?case using NewInstanceNode step.cases
        by (smt (z3) IRNode.discI(11) IRNode.discI(18) IRNode.discI(38) IRNode.distinct(1777)
 IRNode.distinct(1779) IRNode.distinct(1797) IRNode.inject(28) Pair-inject)
```

next

```
case (LoadFieldNode nid f obj nxt m ref h v m')
  then have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ g\ nid))
   {\bf using} \ is-sequential - node. simps \ is-AbstractMergeNode. simps
   by (simp\ add:\ LoadFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ LoadFieldNode.hyps(1))
  have notdivrem: \neg (is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp add: LoadFieldNode.hyps(1))
  from notseq notend notdivrem
 show ?case using LoadFieldNode step.cases
  by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
IRNode.distinct(1353) IRNode.distinct(893) IRNode.inject(18) Pair-inject Value.inject(4)
evalDet\ option.distinct(1)\ option.inject)
next
  case (StaticLoadFieldNode\ nid\ f\ nxt\ h\ v\ m'\ m)
 then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ StaticLoadFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ StaticLoadFieldNode.hyps(1))
  have not divrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp add: StaticLoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using StaticLoadFieldNode step.cases
  by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
IRNode.distinct(1353) IRNode.distinct(1367) IRNode.distinct(893) IRNode.distinct(1297)
IRNode.distinct(1315) IRNode.distinct(1329) IRNode.distinct(871) IRNode.inject(18)
Pair-inject\ option.discI)
next
  case (StoreFieldNode nid f newval uu obj nxt m val ref h' h m')
  then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp add: StoreFieldNode.hyps(1))
 have notend: \neg(is-AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ StoreFieldNode.hyps(1))
  have not divrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp\ add:\ StoreFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using StoreFieldNode step.cases
  by (smt (z3) IRNode.distinct(1353) IRNode.distinct(1783) IRNode.distinct(1965)
IRNode.distinct(1983) IRNode.distinct(933) IRNode.inject(38) Pair-inject Value.inject(4)
evalDet\ option.distinct(1)\ option.inject)
  case (StaticStoreFieldNode nid f newval uv nxt m val h' h m')
 then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
```

```
using is-sequential-node.simps is-AbstractMergeNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
  have not divrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp add: StaticStoreFieldNode.hyps(1))
  from notseq notend notdivrem
 show ?case using StoreFieldNode step.cases
  by (smt (z3) IRNode.distinct(1315) IRNode.distinct(1353) IRNode.distinct(1783)
IRNode.distinct(1965)
        IRNode.distinct(1983) IRNode.distinct(2027) IRNode.distinct(933) IRN-
ode.inject(38) IRNode.distinct(1725) Pair-inject StaticStoreFieldNode.hyps(1) Stat-
icStoreFieldNode.hyps(2) StaticStoreFieldNode.hyps(3) StaticStoreFieldNode.hyps(4)
evalDet\ option.discI)
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
 then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
  from notseq notend
 show ?case using SignedDivNode step.cases
  by (smt (z3) IRNode.distinct(1347) IRNode.distinct(1777) IRNode.distinct(1961)
IRNode.distinct(1965) IRNode.distinct(1979) IRNode.distinct(927) IRNode.inject(35)
Pair-inject evalDet)
next
  case (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt\ m\ v1\ v2\ v\ m'\ h)
  then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   using is-sequential-node.simps is-AbstractMergeNode.simps
   by (simp\ add:\ SignedRemNode.hyps(1))
 have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ SignedRemNode.hyps(1))
 from notseq notend
 show ?case using SignedRemNode step.cases
  by (smt (23) IRNode.distinct(1349) IRNode.distinct(1779) IRNode.distinct(1961)
IRNode.distinct(1983) IRNode.distinct(1997) IRNode.distinct(929) IRNode.inject(36)
Pair-inject \ evalDet)
\mathbf{qed}
lemma stepRefNode:
  \llbracket \mathit{kind} \ g \ \mathit{nid} = \mathit{RefNode} \ \mathit{nid'} \rrbracket \Longrightarrow g, \ p \vdash (\mathit{nid}, m, h) \to (\mathit{nid'}, m, h)
 by (simp add: SequentialNode)
lemma IfNodeStepCases:
 assumes kind\ g\ nid = IfNode\ cond\ tb\ fb
```

```
assumes [g, m, p] \vdash kind \ g \ cond \mapsto v
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows nid' \in \{tb, fb\}
 using step.IfNode
 by (metis assms(1) assms(2) assms(3) insert-iff prod.inject stepDet)
lemma IfNodeSeq:
 shows kind q nid = IfNode cond to fb \longrightarrow \neg (is\text{-sequential-node (kind q nid)})
 unfolding is-sequential-node.simps by simp
lemma IfNodeCond:
 assumes kind \ g \ nid = IfNode \ cond \ tb \ fb
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows \exists v. ([g, m, p] \vdash kind g cond \mapsto v)
 using assms(2,1) by (induct\ (nid,m,h)\ (nid',m,h)\ rule:\ step.induct;\ auto)
lemma step-in-ids:
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
 shows nid \in ids \ g
 using assms apply (induct (nid, m, h) (nid', m', h') rule: step.induct)
 using is-sequential-node.simps(45) not-in-g
 apply simp
 apply (metis\ is-sequential-node.simps(46))
  using ids-some apply (metis\ IRNode.simps(990))
  using EndNodes(1) is-AbstractEndNode.simps is-EndNode.simps(45) ids-some
 apply (metis\ IRNode.disc(965))
 by simp+
       Interprocedural Semantics
6.3
type-synonym Signature = string
type-synonym\ Program = Signature 
ightharpoonup IRGraph
inductive step-top :: Program \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times
RefFieldHeap \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times RefFieldHeap \Rightarrow
bool
  (-\vdash -\longrightarrow -55)
 for P where
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket
   \implies P \vdash ((g,nid,m,p)\#stk, h) \longrightarrow ((g,nid',m',p)\#stk, h') \mid
  InvokeNodeStep:
  [is-Invoke\ (kind\ g\ nid);
    callTarget = ir\text{-}callTarget (kind g nid);
   kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);
   Some \ targetGraph = P \ targetMethod;
```

```
m' = new-map-state;
    [g, m, p] \vdash arguments \longmapsto p'
    \implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk, h)
  ReturnNode:
  \llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);
    [g, m, p] \vdash (kind \ g \ expr) \mapsto v;
    cm' = cm(cnid := v);
    cnid' = (successors-of (kind cg cnid))!0
    \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,h) \longrightarrow ((cg,cnid',cm',cp)\#stk,h) \mid
  ReturnNodeVoid:
  [kind\ g\ nid = (ReturnNode\ None\ -);
    cm' = cm(cnid := (ObjRef (Some (2048))));
    cnid' = (successors-of (kind cg cnid))!0
   \implies P \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h) \mid
  UnwindNode:
  [kind\ g\ nid = (UnwindNode\ exception);
    [g, m, p] \vdash (kind \ g \ exception) \mapsto e;
    kind\ cg\ cnid = (InvokeWithExceptionNode - - - - exEdge);
    cm' = cm(cnid := e)
  \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk, h) \longrightarrow ((cg,exEdge,cm',cp)\#stk, h)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) step-top.
6.4 Big-step Execution
\mathbf{type\text{-}synonym}\ \mathit{Trace} = (\mathit{IRGraph} \times \mathit{ID} \times \mathit{MapState} \times \mathit{Params})\ \mathit{list}
fun has-return :: MapState <math>\Rightarrow bool where
  has\text{-}return \ m = (m \ 0 \neq UndefVal)
inductive exec :: Program
      \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times RefFieldHeap
      \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times RefFieldHeap
      \Rightarrow Trace
      \Rightarrow bool
  (- ⊢ - | - →* - | -)
  for P
  where
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
```

```
\neg(has\text{-}return\ m');
    l' = (l @ [(g, nid, m, p)]);
    exec\ P\ (((g',nid',m',p')\#ys),h')\ l'\ next-state\ l'']
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ next-state\ l''
   P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h'); 
    has\text{-}return\ m';
    l' = (l @ [(g, nid, m, p)])
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ (((g',nid',m',p')\#ys),h')\ l'
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool \ as \ Exec) exec.
\mathbf{inductive}\ \mathit{exec-debug} :: \mathit{Program}
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times RefFieldHeap
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times RefFieldHeap
     \Rightarrow bool
  (-⊢-→*-* -)
  where
  [n > 0;
    p \vdash s \longrightarrow s';
    exec-debug p \ s' \ (n-1) \ s''
    \implies exec\text{-}debug\ p\ s\ n\ s''
  [n = 0]
    \implies exec\text{-}debug\ p\ s\ n\ s
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) exec-debug.
6.4.1 Heap Testing
definition p3:: Params where
  p3 = [IntVal32 \ 3]
values {(prod.fst(prod.snd (prod.snd (hd (prod.fst res))))) 0
     | res. (\lambda x. Some \ eg2\text{-}sq) \vdash ([(eg2\text{-}sq,0,new\text{-}map\text{-}state,p3), (eg2\text{-}sq,0,new\text{-}map\text{-}state,p3)],
new-heap) \rightarrow *2* res
\textbf{definition} \ \mathit{field-sq} :: \mathit{string} \ \textbf{where}
  field-sq = "sq"
definition eg3-sq :: IRGraph where
  eg3-sq = irgraph
    (0, StartNode None 4, VoidStamp),
    (1, ParameterNode 0, default-stamp),
```

```
(3, MulNode 1 1, default-stamp),
   (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
   (5, ReturnNode (Some 3) None, default-stamp)
values {h-load-field None field-sq (prod.snd res)
         | res. (\lambda x. Some \ eg3-sq) \vdash ([(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0, new-map-state, p3))
new-map-state, p3)], new-heap) \rightarrow *3* res}
definition eg4-sq :: IRGraph where
  eg4-sq = irgraph
   (0, StartNode None 4, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (3, MulNode 1 1, default-stamp),
   (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True).
   (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
   (6, ReturnNode (Some 3) None, default-stamp)
values {h-load-field (Some 0) field-sq (prod.snd res)
         | res. (\lambda x. Some \ eg4-sq) \vdash ([(eg4-sq, 0, new-map-state, p3), (eg4-sq, 0, new-map-state, p3))
new-map-state, p3], new-heap) \rightarrow *3* res}
end
```

# 7 Proof Infrastructure

### 7.1 Bisimulation

theory Bisimulation imports Stuttering begin

```
inductive weak-bisimilar :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool

(- . - \sim -) for nid where

\llbracket \forall P'. (g \ m \ p \ h \vdash nid \leadsto P') \longrightarrow (\exists \ Q' \ . (g' \ m \ p \ h \vdash nid \leadsto Q') \land P' = Q');

\forall \ Q'. (g' \ m \ p \ h \vdash nid \leadsto Q') \longrightarrow (\exists \ P' \ . (g \ m \ p \ h \vdash nid \leadsto P') \land P' = Q') \rrbracket

\implies nid \ . \ g \sim g'
```

A strong bisimilation between no-op transitions

```
inductive strong-noop-bisimilar :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool (- | - \sim -) for nid where [\![\forall P'. (g, p \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \land P' = Q');
```

```
\forall \ Q'. \ (g', \ p \vdash (nid, \ m, \ h) \rightarrow Q') \longrightarrow (\exists \ P' \ . \ (g, \ p \vdash (nid, \ m, \ h) \rightarrow P') \land P' =
 \implies nid \mid g \sim g'
{f lemma}\ lockstep	ext{-strong-bisimilulation}.
  assumes g' = replace - node \ nid \ node \ g
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 assumes g', p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows nid \mid g \sim g'
  using assms(2) assms(3) stepDet strong-noop-bisimilar.simps by metis
lemma no-step-bisimulation:
  assumes \forall m \ p \ h \ nid' \ m' \ h'. \ \neg(g, \ p \vdash (nid, \ m, \ h) \rightarrow (nid', \ m', \ h'))
 assumes \forall m \ p \ h \ nid' \ m' \ h' . \ \neg(g', p \vdash (nid, m, h) \rightarrow (nid', m', h'))
 shows nid \mid g \sim g'
  using assms
 by (simp add: assms(1) assms(2) strong-noop-bisimilar.intros)
end
7.2
       Formedness Properties
theory Form
imports
  Semantics. IR Eval\\
begin
definition wf-start where
  wf-start g = (0 \in ids \ g \land 
    is-StartNode (kind g(\theta))
definition wf-closed where
  wf-closed g =
    (\forall n \in ids g.
      inputs\ g\ n\subseteq ids\ g\ \land
      succ\ g\ n\subseteq ids\ g\ \land
      kind \ g \ n \neq NoNode
definition wf-phis where
  wf-phis g =
    (\forall n \in ids \ g.
      is-PhiNode (kind g n) \longrightarrow
      length (ir-values (kind g n))
      = length (ir-ends)
           (kind\ g\ (ir\text{-}merge\ (kind\ g\ n)))))
definition wf-ends where
  wf-ends g =
    (\forall n \in ids \ q).
```

```
is-AbstractEndNode (kind g n) \longrightarrow
       card (usages g n) > 0)
fun wf-graph :: IRGraph \Rightarrow bool where
  wf-graph g = (wf-start g \wedge wf-closed g \wedge wf-phis g \wedge wf-ends g)
lemmas wf-folds =
  wf-graph.simps
  wf-start-def
  wf-closed-def
  wf-phis-def
  wf-ends-def
fun wf-stamps :: IRGraph \Rightarrow bool where
  wf-stamps g = (\forall n \in ids \ g).
    (\forall v \ m \ p \ . ([g, m, p] \vdash (kind \ g \ n) \mapsto v) \longrightarrow valid\text{-}value \ (stamp \ g \ n) \ v))
fun wf-stamp :: IRGraph \Rightarrow (ID \Rightarrow Stamp) \Rightarrow bool where
  wf-stamp g s = (\forall n \in ids \ g).
    (\forall v \ m \ p \ . ([g, m, p] \vdash (kind \ g \ n) \mapsto v) \longrightarrow valid\text{-}value \ (s \ n) \ v))
lemma wf-empty: wf-graph start-end-graph
  unfolding start-end-graph-def wf-folds by simp
lemma wf-eg2-sq: wf-graph eg2-sq
  unfolding eg2-sq-def wf-folds by simp
fun wf-logic-node-inputs :: IRGraph \Rightarrow ID \Rightarrow bool where
wf-logic-node-inputs g n =
 (\forall \ \textit{inp} \in \textit{set} \ (\textit{inputs-of} \ (\textit{kind} \ g \ n)) \ . \ (\forall \ \textit{v} \ \textit{m} \ \textit{p} \ . \ ([\textit{g}, \ \textit{m}, \ \textit{p}] \vdash \textit{kind} \ \textit{g} \ \textit{inp} \mapsto \textit{v}) \longrightarrow
wf-bool v))
```

### 7.3 Dynamic Frames

end

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

```
theory IRGraphFrames
imports
Form
Semantics.IREval
begin

fun unchanged :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
```

```
unchanged ns g1 g2 = (\forall n . n \in ns \longrightarrow
   (n \in ids \ g1 \ \land \ n \in ids \ g2 \ \land \ kind \ g1 \ n = kind \ g2 \ n))
fun changeonly :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
  changeonly ns g1 g2 = (\forall n . n \in ids g1 \land n \notin ns \longrightarrow
   (n \in ids \ g1 \land n \in ids \ g2 \land kind \ g1 \ n = kind \ g2 \ n))
lemma node-unchanged:
  assumes unchanged ns g1 g2
  assumes nid \in ns
 shows kind \ g1 \ nid = kind \ g2 \ nid
 using assms by auto
lemma other-node-unchanged:
  assumes changeonly ns q1 q2
  assumes nid \in ids \ q1
 assumes nid \notin ns
 shows kind \ g1 \ nid = kind \ g2 \ nid
  using assms
  using changeonly.simps by blast
Some notation for input nodes used
inductive eval-uses:: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow bool
  for g where
  use0: nid \in ids g
   \implies eval\text{-}uses\ g\ nid\ nid\ |
  use-inp: nid' \in inputs \ g \ n
   \implies eval-uses g nid nid' |
  use-trans: [eval-uses g nid nid';
    eval-uses q nid' nid''
    \implies eval\text{-}uses\ g\ nid\ nid''
fun eval-usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  eval-usages g nid = \{n \in ids \ g : eval-uses g nid n\}
lemma eval-usages-self:
  assumes nid \in ids g
 shows nid \in eval\text{-}usages g nid
 using assms eval-usages.simps eval-uses.intros(1)
  by (simp add: ids.rep-eq)
{f lemma} not-in-g-inputs:
  assumes nid \notin ids g
  shows inputs g nid = \{\}
```

```
proof -
 have k: kind\ g\ nid = NoNode\ using\ assms\ not-in-g\ by\ blast
 then show ?thesis by (simp add: k)
lemma child-member:
 assumes n = kind \ g \ nid
 assumes n \neq NoNode
 assumes List.member (inputs-of n) child
 shows child \in inputs g \ nid
 {\bf unfolding} \ inputs.simps \ {\bf using} \ assms
 by (metis in-set-member)
\mathbf{lemma}\ \mathit{child-member-in} :
 assumes nid \in ids q
 assumes List.member (inputs-of (kind g nid)) child
 shows child \in inputs g \ nid
 unfolding inputs.simps using assms
 by (metis child-member ids-some inputs.elims)
lemma inp-in-g:
 assumes n \in inputs \ g \ nid
 shows nid \in ids \ g
proof -
 have inputs g nid \neq \{\}
   using assms
   by (metis empty-iff empty-set)
 then have kind \ g \ nid \neq NoNode
   using not-in-g-inputs
   using ids-some by blast
 then show ?thesis
   \mathbf{using}\ \mathit{not-in-g}
   by metis
qed
lemma inp-in-g-wf:
 assumes wf-graph q
 assumes n \in inputs \ g \ nid
 shows n \in ids g
 using assms unfolding wf-folds
 using inp-in-g by blast
\mathbf{lemma} \ \mathit{kind}\text{-}\mathit{unchanged}\text{:}
 assumes nid \in ids \ q1
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows kind \ g1 \ nid = kind \ g2 \ nid
```

```
proof -
 \mathbf{show}~? the sis
   using assms eval-usages-self
   using unchanged.simps by blast
qed
lemma child-unchanged:
 assumes child \in inputs \ g1 \ nid
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows unchanged (eval-usages g1 child) g1 g2
 by (smt \ assms(1) \ assms(2) \ eval-usages.simps \ mem-Collect-eq
     unchanged.simps use-inp use-trans)
lemma eval-usages:
 assumes us = eval\text{-}usages g \ nid
 assumes nid' \in ids \ q
 shows eval-uses g nid nid' \longleftrightarrow nid' \in us (is ?P \longleftrightarrow ?Q)
 using assms eval-usages.simps
 by (simp add: ids.rep-eq)
\mathbf{lemma}\ inputs\text{-}are\text{-}uses:
 assumes nid' \in inputs \ g \ nid
 shows eval-uses g nid nid'
 by (metis assms use-inp)
\mathbf{lemma}\ inputs\text{-}are\text{-}usages:
 assumes nid' \in inputs \ g \ nid
 assumes nid' \in ids \ g
 shows nid' \in eval\text{-}usages g nid
 using assms(1) assms(2) eval-usages inputs-are-uses by blast
lemma usage-includes-inputs:
 assumes us = eval\text{-}usages g \ nid
 \mathbf{assumes}\ \mathit{ls} = \mathit{inputs}\ \mathit{g}\ \mathit{nid}
 assumes ls \subseteq ids \ g
 shows ls \subseteq us
 using inputs-are-usages eval-usages
 using assms(1) assms(2) assms(3) by blast
lemma elim-inp-set:
 assumes k = kind \ g \ nid
 assumes k \neq NoNode
 assumes child \in set (inputs-of k)
 shows child \in inputs g \ nid
 using assms by auto
lemma eval-in-ids:
 assumes [g, m, p] \vdash (kind \ g \ nid) \mapsto v
 shows nid \in ids \ g
```

```
theorem stay-same:
   assumes nc: unchanged (eval-usages g1 nid) g1 g2
   assumes g1: [g1, m, p] \vdash (kind \ g1 \ nid) \mapsto v1
   assumes wf: wf-graph g1
   shows [g2, m, p] \vdash (kind \ g2 \ nid) \mapsto v1
proof -
   have nid: nid \in ids \ g1
       using g1 eval-in-ids by simp
   then have nid \in eval\text{-}usages g1 \ nid
       using eval-usages-self by blast
   then have kind-same: kind g1 nid = kind g2 nid
       using nc node-unchanged by blast
    show ?thesis using q1 nid nc
   proof (induct (kind g1 nid) v1 arbitrary: nid rule: eval.induct)
       print-cases
       case const: (ConstantNode c)
       then have (kind \ g2 \ nid) = ConstantNode \ c
          using kind-unchanged by metis
       then show ?case using eval. ConstantNode const.hyps(1) by metis
    next
       case param: (ParameterNode val i)
       show ?case
        by (metis eval.ParameterNode kind-unchanged param.hyps(1) param.prems(1)
param.prems(2)
   next
       case (ValuePhiNode nida vals merges)
       then have kind: (kind \ g2 \ nid) = ValuePhiNode \ nida \ vals \ merges
          using kind-unchanged by metis
       then show ?case
          using eval. ValuePhiNode kind ValuePhiNode.hyps(1) by metis
       case (ValueProxyNode child val - nid)
       from ValueProxyNode.prems(1) ValueProxyNode.hyps(3)
       have inp-in: child \in inputs \ g1 \ nid
          using child-member-in inputs-of-ValueProxyNode
          by (metis\ member-rec(1))
       then have cin: child \in ids \ g1
          using wf inp-in-g-wf by blast
       from inp-in have unc: unchanged (eval-usages g1 child) g1 g2
          using child-unchanged ValueProxyNode.prems(2) by metis
       then have [g2, m, p] \vdash (kind \ g2 \ child) \mapsto val
          using ValueProxyNode.hyps(2) cin
          by blast
       then show ?case
           \mathbf{by} \ (metis \ ValueProxyNode.hyps(3) \ ValueProxyNode.prems(1) \ ValueProxyNode.prems(1) \ ValueProxyNode.prems(2) \ ValueProxyNode.prems(3) \ ValueProxyNode.prems(3) \ ValueProxyNode.prems(4) \ ValueProxyNode.prems(4) \ ValueProxyNode.prems(5) \ ValueProxyNode.prems(5) \ ValueProxyNode.prems(6) \ 
ode.prems(2) eval.ValueProxyNode kind-unchanged)
```

```
next
   case (AbsNode \ x \ v \ -)
   then have unchanged (eval-usages g1 x) g1 g2
   by (metis child-unchanged elim-inp-set ids-some inputs-of.simps(1) list.set-intros(1))
   then have [g2, m, p] \vdash (kind \ g2 \ x) \mapsto IntVal32 \ v
     using AbsNode.hyps(1) AbsNode.hyps(2) not-in-g
   by (metis AbsNode.hyps(3) AbsNode.prems(1) elim-inp-set ids-some inp-in-q-wf
inputs-of.simps(1) list.set-intros(1) wf)
   then show ?case
   by (metis\ AbsNode.hyps(3)\ AbsNode.prems(1)\ AbsNode.prems(2)\ eval.AbsNode
kind-unchanged)
 next
   case Node: (NegateNode \ x \ v -)
   from inputs-of-NegateNode Node.hyps(3) Node.prems(1)
   have xinp: x \in inputs \ q1 \ nid
     using child-member-in by (metis member-rec(1))
   then have xin: x \in ids \ q1
     using wf inp-in-g-wf by blast
   from xinp child-unchanged Node.prems(2)
     have ux: unchanged (eval-usages g1 x) g1 g2 by blast
   have x1:[g1, m, p] \vdash (kind \ g1 \ x) \mapsto v
     using Node.hyps(1) Node.hyps(2)
     by blast
   have x2: [g2, m, p] \vdash (kind g2 x) \mapsto v
     using kind-unchanged ux xin Node.hyps
     by blast
   then show ?case
     using kind-same Node.hyps(1,3) eval.NegateNode
     by (metis Node.prems(1) Node.prems(2) kind-unchanged ux xin)
 next
   case node: (AddNode \ x \ v1 \ y \ v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
     by (metis child-unchanged inputs.simps inputs-of-AddNode list.set-intros(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
     using node.hyps(1) by blast
   have uy: unchanged (eval-usages q1 y) q1 q2
   by (metis IRNodes2.inputs-of-AddNode child-member-in child-unchanged mem-
ber-rec(1) \ node.hyps(5) \ node.prems(1) \ node.prems(2))
   have y: [q1, m, p] \vdash (kind \ q1 \ y) \mapsto v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
   by (metis AddNode inputs.simps inp-in-q-wf inputs-of-AddNode kind-unchanged
list.set-intros(1) set-subset-Cons subset-iff wf)
 next
   case node:(SubNode \ x \ v1 \ y \ v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
```

```
using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
   have y: [q1, m, p] \vdash (kind \ q1 \ y) \mapsto v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
   by (metis SubNode inputs.simps inputs-of-SubNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
 next
   case node: (MulNode x v1 y v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages q1 y) q1 q2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
   \textbf{by} \ (\textit{metis MulNode inputs.simps inputs-of-MulNode kind-unchanged list.set-intros} \ (\textit{1})
set-subset-Cons subsetD wf wf-folds(1,3)
 \mathbf{next}
   case node:(AndNode x v1 y v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
     \mathbf{using} \ node.hyps(1) \ \mathbf{by} \ blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto v2
     \mathbf{using} \ node.hyps(3) \ \mathbf{by} \ blast
   show ?case
     using node.hyps node.prems ux x uy y
   by (metis AndNode inputs.simps inputs-of-AndNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3)
   case node: (OrNode x v1 y v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
     by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
     by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
```

```
by (metis OrNode inputs.simps inputs-of-OrNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
 next
   case node: (XorNode \ x \ v1 \ y \ v2)
   then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
   by (metis XorNode inputs.simps inputs-of-XorNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
 next
   case node: (IntegerEqualsNode x v1 y v2 val)
   then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode mem-
ber-rec(1)
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto IntVal32 \ v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode mem-
ber-rec(1)
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto IntVal32 v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
       by (metis (full-types) IntegerEqualsNode child-member-in in-set-member
inputs-of-IntegerEqualsNode kind-unchanged list.set-intros(1) set-subset-Cons sub-
setD \ wf \ wf-folds(1,3))
 next
   case node: (IntegerLessThanNode x v1 y v2 val)
   then have ux: unchanged (eval-usages q1 x) q1 q2
      by (metis child-member-in child-unchanged inputs-of-IntegerLessThanNode
member-rec(1)
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto Int Val 32 \ v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
      \mathbf{by} \ (\textit{metis child-member-in child-unchanged inputs-of-IntegerLessThanNode}
member-rec(1)
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto IntVal32 v2
     using node.hyps(3) by blast
   show ?case
     using node.hyps node.prems ux x uy y
    by (metis (full-types) IntegerLessThanNode child-member-in in-set-member in-
puts-of-IntegerLessThanNode kind-unchanged list.set-intros(1) set-subset-Cons sub-
```

```
setD \ wf \ wf-folds(1,3))
 next
   case node: (ShortCircuitOrNode x v1 y v2 val)
   then have ux: unchanged (eval-usages g1 x) g1 g2
       by (metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode
member-rec(1)
   then have x: [g1, m, p] \vdash (kind \ g1 \ x) \mapsto IntVal32 \ v1
     using node.hyps(1) by blast
   from node have uy: unchanged (eval-usages g1 y) g1 g2
       \mathbf{by} \ (\textit{metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode}
member-rec(1)
   have y: [g1, m, p] \vdash (kind g1 y) \mapsto IntVal32 v2
     using node.hyps(3) by blast
   have x2: [g2, m, p] \vdash (kind g2 x) \mapsto IntVal32 v1
   by (metis inputs.simps inputs-of-ShortCircuitOrNode list.set-intros(1) node.hyps(2)
node.hyps(6) node.prems(1) subsetD ux wf wf-folds(1,3))
   have y2: [g2, m, p] \vdash (kind g2 y) \mapsto IntVal32 v2
      by (metis basic-trans-rules(31) inputs.simps inputs-of-ShortCircuitOrNode
list.set-intros(1) node.hyps(4) node.hyps(6) node.prems(1) set-subset-Cons uy wf
wf-folds(1,3)
   show ?case
     using node.hyps node.prems ux x uy y x2 y2
     by (metis ShortCircuitOrNode kind-unchanged)
 next
   case node: (LogicNegationNode x v1 val nida)
   then have ux: unchanged (eval-usages g1 x) g1 g2
   by (metis child-member-in child-unchanged inputs-of-LogicNegationNode mem-
ber-rec(1)
   then have x:[g2, m, p] \vdash (kind g2 x) \mapsto IntVal32 v1
     using eval-in-ids node.hyps(1) node.hyps(2) by blast
   then show ?case
       by (metis LogicNegationNode kind-unchanged node.hyps(3) node.hyps(4)
node.hyps(5) node.prems(1) node.prems(2))
    case node:(ConditionalNode condition cond trueExp trueVal falseExp falseVal
val)
   have c: condition \in inputs g1 \ nid
   by (metis\ IRNodes 2.inputs-of-Conditional Node\ child-member-in\ member-rec(1)
node.hyps(8) \ node.prems(1))
   then have unchanged (eval-usages g1 condition) g1 g2
     using child-unchanged node.prems(2) by blast
   then have cond: [g2, m, p] \vdash (kind \ g2 \ condition) \mapsto IntVal32 \ cond
     using node c inp-in-g-wf wf by blast
   have t: trueExp \in inputs \ g1 \ nid
   \textbf{by } (\textit{metis IRNodes2}. inputs-of-Conditional Node \textit{child-member-in member-rec} (\textit{1})
node.hyps(8) \ node.prems(1))
   then have utrue: unchanged (eval-usages g1 trueExp) g1 g2
     using node.prems(2) child-unchanged by blast
```

```
then have trueVal: [g2, m, p] \vdash (kind g2 trueExp) \mapsto IntVal32 (trueVal)
    using node.hyps node t inp-in-g-wf wf by blast
   have f: falseExp \in inputs \ g1 \ nid
   by (metis IRNodes2.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prems(1))
   then have ufalse: unchanged (eval-usages g1 falseExp) g1 g2
     using node.prems(2) child-unchanged by blast
   then have falseVal: [g2, m, p] \vdash (kind g2 falseExp) \mapsto IntVal32 (falseVal)
    using node.hyps node f inp-in-g-wf wf by blast
   have [g2, m, p] \vdash (kind \ g2 \ nid) \mapsto val
    using kind-same trueVal falseVal cond
   by (metis ConditionalNode kind-unchanged node.hyps(7) node.hyps(8) node.prems(1)
node.prems(2))
   then show ?case
    by blast
 next
   case (RefNode \ x \ val \ nid)
   have x: x \in inputs \ g1 \ nid
      by (metis IRNodes2.inputs-of-RefNode RefNode.hyps(3) RefNode.prems(1)
child-member-in member-rec(1)
   then have ref: [g2, m, p] \vdash (kind \ g2 \ x) \mapsto val
    using RefNode.hyps(2) RefNode.prems(2) child-unchanged inp-in-g-wf wf by
blast
   then show ?case
    by (metis RefNode.hyps(3) RefNode.prems(1) RefNode.prems(2) eval.RefNode
kind-unchanged)
 next
   case (InvokeNodeEval val - callTarget classInit stateDuring stateAfter nex)
   then show ?case
    by (metis eval.InvokeNodeEval kind-unchanged)
   case (SignedDivNode x v1 y v2 zeroCheck frameState nex)
    then show ?case
      by (metis eval.SignedDivNode kind-unchanged)
   case (SignedRemNode x v1 y v2 zeroCheck frameState nex)
    then show ?case
      by (metis eval.SignedRemNode kind-unchanged)
 next
     case (InvokeWithExceptionNodeEval val - callTarget classInit stateDuring
stateAfter nex exceptionEdge)
   then show ?case
    \mathbf{by}\ (metis\ eval.InvokeWithExceptionNodeEval\ kind-unchanged)
   case (NewInstanceNode nid clazz stateBefore nex)
   then show ?case
```

```
by (metis eval.NewInstanceNode kind-unchanged)
 next
   case (IsNullNode obj ref val)
   have obj: obj \in inputs \ g1 \ nid
      by (metis IRNodes2.inputs-of-IsNullNode IsNullNode.hyps(4) inputs.simps
list.set-intros(1)
   then have ref: [g2, m, p] \vdash (kind \ g2 \ obj) \mapsto ObjRef \ ref
   using IsNullNode.hyps(1) IsNullNode.hyps(2) IsNullNode.prems(2) child-unchanged
eval-in-ids by blast
   then show ?case
   \mathbf{by}\ (\textit{metis}\ (\textit{full-types})\ \textit{IsNullNode.hyps}(3)\ \textit{IsNullNode.hyps}(4)\ \textit{IsNullNode.prems}(1)
IsNullNode.prems(2) eval. IsNullNode kind-unchanged)
 next
   \mathbf{case} \ (LoadFieldNode)
   then show ?case
     by (metis eval.LoadFieldNode kind-unchanged)
   case (PiNode object val)
   have object: object \in inputs g1 \ nid
     using inputs-of-PiNode inputs.simps
     by (metis\ PiNode.hyps(3)\ list.set-intros(1))
   then have ref: [g2, m, p] \vdash (kind \ g2 \ object) \mapsto val
        using PiNode.hyps(1) PiNode.hyps(2) PiNode.prems(2) child-unchanged
eval-in-ids by blast
   then show ?case
       by (metis PiNode.hyps(3) PiNode.prems(1) PiNode.prems(2) eval.PiNode
kind-unchanged)
 \mathbf{next}
   case (NotNode \ x \ val \ not-val)
   have object: x \in inputs \ g1 \ nid
     using inputs-of-NotNode inputs.simps
     by (metis\ NotNode.hyps(4)\ list.set-intros(1))
   then have ref: [g2, m, p] \vdash (kind \ g2 \ x) \mapsto val
     using NotNode.hyps(1) NotNode.hyps(2) NotNode.prems(2) child-unchanged
eval-in-ids by blast
   then show ?case
   by (metis NotNode.hyps(3) NotNode.hyps(4) NotNode.prems(1) NotNode.prems(2)
eval.NotNode kind-unchanged)
 qed
qed
lemma add-changed:
 assumes gup = add-node new k g
 shows changeonly \{new\} g gup
 using assms unfolding add-node-def changeonly.simps
 using add-node.rep-eq add-node-def kind.rep-eq by auto
```

lemma disjoint-change:

```
assumes changeonly change g gup
 \mathbf{assumes}\ nochange = ids\ g - change
 {f shows} unchanged nochange g gup
 using assms unfolding changeonly.simps unchanged.simps
 \mathbf{bv} blast
\mathbf{lemma}\ add\text{-}node\text{-}unchanged:
 assumes new \notin ids \ g
 assumes nid \in ids g
 assumes gup = add-node new k g
 assumes wf-graph g
 shows unchanged (eval-usages g nid) g gup
proof -
 have new \notin (eval\text{-}usages \ g \ nid) using assms
   using eval-usages.simps by blast
 then have changeonly {new} q qup
   using assms add-changed by blast
 then show ?thesis using assms add-node-def disjoint-change
   using Diff-insert-absorb by auto
qed
\mathbf{lemma}\ eval\text{-}uses\text{-}imp:
  ((nid' \in ids \ g \land nid = nid')
   \vee nid' \in inputs g nid
   \vee (\exists nid'' . eval\text{-}uses \ g \ nid \ nid'' \land eval\text{-}uses \ g \ nid'' \ nid'))
   \longleftrightarrow eval-uses g nid nid'
 using use0 use-inp use-trans
 by (meson eval-uses.simps)
lemma wf-use-ids:
 assumes wf-graph g
 assumes nid \in ids g
 assumes eval-uses g nid nid'
 shows nid' \in ids \ g
 using assms(3)
proof (induction rule: eval-uses.induct)
 case use\theta
 then show ?case by simp
next
 case use-inp
 then show ?case
   using assms(1) inp-in-g-wf by blast
\mathbf{next}
 case use-trans
 then show ?case by blast
qed
lemma no-external-use:
 assumes wf-graph g
```

```
assumes nid' \notin ids \ g
 assumes nid \in ids \ g
 shows \neg(eval\text{-}uses\ g\ nid\ nid')
proof -
 have \theta: nid \neq nid'
   using assms by blast
 have inp: nid' \notin inputs \ g \ nid
   using assms
   using inp-in-g-wf by blast
 have rec-0: \nexists n . n \in ids \ g \land n = nid'
   using assms by blast
 have rec-inp: \nexists n . n \in ids \ g \land n \in inputs \ g \ nid'
   using assms(2) inp-in-g by blast
 have rec: \nexists nid''. eval-uses g nid nid'' \land eval-uses g nid'' nid'
   using wf-use-ids assms(1) assms(2) assms(3) by blast
 from inp 0 rec show ?thesis
   using eval-uses-imp by blast
qed
end
       Graph Rewriting
7.4
theory
 Rewrites
imports
 IRGraphFrames
  Stuttering
begin
fun replace-usages :: ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph where
  replace-usages nid \ nid' \ g = replace-node nid \ (RefNode \ nid', \ stamp \ g \ nid') \ g
lemma replace-usages-effect:
 assumes g' = replace-usages nid \ nid' \ g
 shows kind g' nid = RefNode nid'
 using assms replace-node-lookup replace-usages.simps IRNode.distinct(2069)
 by (metis)
lemma replace-usages-changeonly:
 assumes nid \in ids \ q
 assumes g' = replace-usages nid \ nid' \ g
 shows changeonly \{nid\} g g'
 using assms unfolding replace-usages.simps
 by (metis\ DiffI\ change only.elims(3)\ ids-some\ replace-node-unchanged)
\mathbf{lemma}\ replace\text{-}usages\text{-}unchanged:
 assumes nid \in ids g
 assumes g' = replace-usages nid \ nid' \ g
```

```
shows unchanged (ids g - \{nid\}) g g'
 using assms unfolding replace-usages.simps
 by (smt (verit, del-insts) DiffE ids-some replace-node-unchanged unchanged.simps)
fun nextNid :: IRGraph \Rightarrow ID where
  nextNid \ g = (Max \ (ids \ g)) + 1
lemma max-plus-one:
 fixes c :: ID \ set
 shows \llbracket finite \ c; \ c \neq \{\} \rrbracket \Longrightarrow (Max \ c) + 1 \notin c
 by (meson Max-gr-iff less-add-one less-irrefl)
lemma ids-finite:
 finite (ids q)
 by simp
\mathbf{lemma}\ nextNidNotIn:
  ids \ g \neq \{\} \longrightarrow nextNid \ g \notin ids \ g
 unfolding nextNid.simps
 using ids-finite max-plus-one by blast
fun constantCondition :: bool <math>\Rightarrow ID \Rightarrow IRNode \Rightarrow IRGraph \Rightarrow IRGraph where
  constantCondition\ val\ nid\ (IfNode\ cond\ t\ f)\ g =
   replace-node nid (IfNode (nextNid g) t f, stamp g nid)
       (add-node (nextNid q) ((ConstantNode (bool-to-val val)), constantAsStamp
(bool-to-val\ val))\ g)\ |
  constantCondition\ cond\ nid - g=g
lemma constantConditionTrue:
 assumes kind\ g\ if cond = If Node\ cond\ t\ f
 assumes g' = constantCondition True if cond (kind g if cond) g
 shows g', p \vdash (ifcond, m, h) \rightarrow (t, m, h)
proof -
 have if': kind \ q' \ ifcond = IfNode \ (nextNid \ q) \ t \ f
    by (metis\ IRNode.simps(989)\ assms(1)\ assms(2)\ constantCondition.simps(1)
replace-node-lookup)
 have bool-to-val True = (Int Val32 1)
   by auto
 have ifcond \neq (nextNid \ g)
   by (metis IRNode.simps(989) assms(1) emptyE ids-some nextNidNotIn)
  then have c': kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal32\ 1)
   using assms(2) replace-node-unchanged
  by (metis DiffI IRNode.distinct(585) \langle bool\text{-}to\text{-}val \ True = IntVal32 \ 1 \rangle add-node-lookup
assms(1) \ constantCondition.simps(1) \ emptyE \ insertE \ not-in-g)
 from if' c' show ?thesis using IfNode
   by (metis (no-types, hide-lams) ConstantNode val-to-bool.simps(1))
qed
```

```
\mathbf{lemma}\ constant Condition False:
 assumes kind \ g \ if cond = If Node \ cond \ t \ f
 assumes g' = constantCondition False if cond (kind g if cond) g
 shows g', p \vdash (ifcond, m, h) \rightarrow (f, m, h)
proof -
 have if': kind\ g'\ ifcond = IfNode\ (nextNid\ g)\ t\ f
   by (metis\ IRNode.simps(989)\ assms(1)\ assms(2)\ constantCondition.simps(1)
replace-node-lookup)
 have bool-to-val False = (IntVal32 \ 0)
   by auto
 have ifcond \neq (nextNid \ g)
   by (metis IRNode.simps(989) assms(1) emptyE ids-some nextNidNotIn)
 then have kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal32\ 0)
   using assms(2) replace-node-unchanged
  assms(1) constantCondition.simps(1) emptyE insertE not-in-g)
 then have c': [g', m, p] \vdash kind g' (nextNid g) \mapsto IntVal32 0
   using ConstantNode by presburger
 have \neg(val\text{-}to\text{-}bool\ (IntVal32\ \theta))
   by simp
 from if' c' show ?thesis using IfNode
   using \langle \neg val\text{-}to\text{-}bool \ (IntVal32 \ \theta) \rangle by presburger
qed
lemma diff-forall:
 assumes \forall n \in ids \ g - \{nid\}. \ cond \ n
 shows \forall n. n \in ids \ g \land n \notin \{nid\} \longrightarrow cond \ n
 by (meson Diff-iff assms)
lemma replace-node-changeonly:
 assumes g' = replace - node \ nid \ node \ g
 shows changeonly \{nid\} g g'
 using assms replace-node-unchanged
 unfolding changeonly.simps using diff-forall
 by (metis Rep-IRGraph-inverse add-changed add-node.rep-eq ids-some other-node-unchanged
replace-node.rep-eq)
lemma add-node-changeonly:
 assumes g' = add-node nid node g
 shows changeonly \{nid\} g g'
  by (metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq re-
place-node-changeonly)
\mathbf{lemma}\ constant Condition No Effect:
 assumes \neg(is-IfNode (kind g nid))
 shows q = constantCondition b nid (kind q nid) q
 using assms apply (cases kind g nid)
 using constant Condition.simps
```

```
apply presburger+
 apply (metis is-IfNode-def)
 {\bf using} \ constant Condition. simps
 by presburger+
\mathbf{lemma}\ constant Condition If Node:
 assumes kind\ g\ nid = IfNode\ cond\ t\ f
 shows constant Condition val nid (kind g nid) g =
   replace-node nid (IfNode (nextNid g) t f, stamp g nid)
      (add-node\ (nextNid\ g)\ ((ConstantNode\ (bool-to-val\ val)),\ constantAsStamp
(bool-to-val\ val))\ g)
 using constantCondition.simps
 by (simp add: assms)
lemma constantCondition-changeonly:
  assumes nid \in ids \ q
 assumes g' = constantCondition \ b \ nid \ (kind \ g \ nid) \ g
 shows changeonly \{nid\} g g'
proof (cases is-IfNode (kind g nid))
 case True
 have nextNid \ g \notin ids \ g
   using nextNidNotIn by (metis emptyE)
  then show ?thesis using assms
  {\bf using} \ replace-node-change only \ add-node-change only \ {\bf unfolding} \ change only. simps
   using True constantCondition.simps(1) is-IfNode-def
   by (metis (full-types) DiffD2 Diff-insert-absorb)
next
 case False
 have g = g'
   using constant Condition No Effect
   using False \ assms(2) by blast
  then show ?thesis by simp
qed
\mathbf{lemma}\ constant Condition No If:
 assumes \forall cond t f. kind g ifcond \neq IfNode cond t f
 assumes g' = constantCondition \ val \ if cond \ (kind \ g \ if cond) \ g
 shows \exists nid' . (g \ m \ p \ h \vdash ifcond \leadsto nid') \longleftrightarrow (g' \ m \ p \ h \vdash ifcond \leadsto nid')
proof -
 have g' = g
   using assms(2) assms(1)
   using constantConditionNoEffect
   by (metis\ IRNode.collapse(11))
 then show ?thesis by simp
qed
\mathbf{lemma}\ constant Condition Valid:
 assumes kind\ g\ if cond = If Node\ cond\ t\ f
```

```
assumes [g, m, p] \vdash kind \ g \ cond \mapsto v
  \mathbf{assumes}\ const = \mathit{val}\text{-}\mathit{to}\text{-}\mathit{bool}\ \mathit{v}
  assumes g' = constantCondition const if cond (kind g if cond) g
  shows \exists nid' . (q \ m \ p \ h \vdash ifcond \leadsto nid') \longleftrightarrow (q' \ m \ p \ h \vdash ifcond \leadsto nid')
proof (cases const)
  case True
  have ifstep: g, p \vdash (ifcond, m, h) \rightarrow (t, m, h)
    by (meson\ IfNode\ True\ assms(1)\ assms(2)\ assms(3))
  have ifstep': g', p \vdash (ifcond, m, h) \rightarrow (t, m, h)
    \mathbf{using}\ constant Condition True
    using True \ assms(1) \ assms(4) by presburger
  from ifstep ifstep' show ?thesis
    using StutterStep by blast
\mathbf{next}
  case False
  have ifstep: q, p \vdash (ifcond, m, h) \rightarrow (f, m, h)
    by (meson IfNode False assms(1) assms(2) assms(3))
  have ifstep': g', p \vdash (ifcond, m, h) \rightarrow (f, m, h)
    \mathbf{using}\ constant Condition False
    using False assms(1) assms(4) by presburger
  from ifstep ifstep' show ?thesis
    using StutterStep by blast
qed
end
7.5
        Stuttering
theory Stuttering
  imports
    Semantics.IRStepObj
begin
inductive stutter:: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow RefFieldHeap \Rightarrow ID \Rightarrow
ID \Rightarrow bool (---- \vdash - \leadsto -55)
  for g m p h where
  StutterStep:
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \rrbracket
   \implies g \ m \ p \ h \vdash nid \leadsto nid' \mid
  Transitive:
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid'', m, h);
    g \ m \ p \ h \vdash nid'' \leadsto nid'
   \implies g \ m \ p \ h \vdash nid \leadsto nid'
{\bf lemma}\ stuttering\text{-}successor:
  assumes (g, p \vdash (nid, m, h) \rightarrow (nid', m, h))
  shows \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\} = \{nid'\} \cup \{nid''. (g \ m \ p \ h \vdash nid' \leadsto nid'')\}
```

```
have nextin: nid' \in \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\}
   using assms StutterStep by blast
 have next subset: \{nid''. (g \ m \ p \ h \vdash nid' \leadsto nid'')\} \subseteq \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\}
   by (metis Collect-mono assms stutter. Transitive)
 have \forall n \in \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\}. n = nid' \lor n \in \{nid''. (g \ m \ p \ h \vdash nid')\}
→ nid'')}
   using stepDet
   by (metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps)
  then show ?thesis
   using insert-absorb mk-disjoint-insert nextin nextsubset by auto
qed
end
      Canonicalization Phase
8
theory Canonicalization
 imports
    Proofs.IRGraphFrames
    Proofs.Stuttering
    Proofs. Bisimulation
    Proofs.Form
    Graph. Traversal
begin
\mathbf{inductive} \ \ \mathit{CanonicalizeConditional} \ :: \ \mathit{IRGraph} \ \Rightarrow \ \mathit{IRNode} \ \Rightarrow \ \mathit{IRNode} \ \Rightarrow \ \mathit{bool}
where
  negate-condition:
  \llbracket kind \ g \ cond = LogicNegationNode \ flip \rrbracket
  \implies Canonicalize Conditional g (Conditional Node cond to fb) (Conditional Node
flip fb tb) |
  const-true:
  [kind\ g\ cond = ConstantNode\ val;]
   val-to-bool val
  \implies CanonicalizeConditional g (ConditionalNode cond the fb) (RefNode tb)
  const-false:
  \llbracket kind \ q \ cond = ConstantNode \ val;
    \neg(val\text{-}to\text{-}bool\ val)
  \implies CanonicalizeConditional g (ConditionalNode cond to fb) (RefNode fb) |
  eq-branches:
  [tb = fb]
  \implies CanonicalizeConditional g (ConditionalNode cond the fb) (RefNode tb)
  cond-eq:
```

proof -

```
\llbracket kind \ g \ cond = IntegerEqualsNode \ tb \ fb \rrbracket
  \implies CanonicalizeConditional g (ConditionalNode cond to fb) (RefNode fb) |
  condition	ext{-}bounds	ext{-}x	ext{:}
  \llbracket kind\ g\ cond = IntegerLessThanNode\ tb\ fb;
   stpi-upper\ (stamp\ g\ tb) \leq stpi-lower\ (stamp\ g\ fb)
  \implies CanonicalizeConditional g (ConditionalNode cond the fb) (RefNode tb) |
  condition-bounds-y:
  \llbracket kind\ g\ cond = IntegerLessThanNode\ fb\ tb;
   stpi-upper\ (stamp\ g\ fb) \leq stpi-lower\ (stamp\ g\ tb)
  \implies Canonicalize Conditional g (Conditional Node cond to fb) (RefNode tb)
inductive CanonicalizeAdd :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  add-both-const:
  [kind\ g\ x = ConstantNode\ c-1;
   kind\ g\ y = ConstantNode\ c-2;
   val = intval - add \ c - 1 \ c - 2
   \implies CanonicalizeAdd g (AddNode x y) (ConstantNode val) |
  add-xzero:
  [kind\ g\ x = ConstantNode\ c-1;
    \neg (is\text{-}ConstantNode\ (kind\ g\ y));
   c-1 = (Int Val 32 \ \theta)
   \implies CanonicalizeAdd g (AddNode x y) (RefNode y) |
  add-yzero:
  [\neg(is\text{-}ConstantNode\ (kind\ g\ x));
    kind \ g \ y = ConstantNode \ c-2;
   c-2 = (Int Val 32 \ \theta)
   \implies CanonicalizeAdd g (AddNode x y) (RefNode x)
  add-xsub:
  \llbracket kind \ g \ x = SubNode \ a \ y \ \rrbracket
   \implies CanonicalizeAdd g (AddNode x y) (RefNode a) |
  add-ysub:
```

 $\llbracket kind \ g \ y = SubNode \ a \ x \ \rrbracket$ 

```
\implies CanonicalizeAdd g (AddNode x y) (RefNode a)
  add-xnegate:
  \llbracket kind \ g \ nx = NegateNode \ x \ \rrbracket
    \implies CanonicalizeAdd g (AddNode nx y) (SubNode y x) |
  add-ynegate:
  \llbracket kind \ g \ ny = NegateNode \ y \ \rrbracket
    \implies CanonicalizeAdd g (AddNode x ny) (SubNode x y)
inductive CanonicalizeIf :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  trueConst:
  \llbracket kind\ g\ cond = ConstantNode\ condv;
   val-to-bool condv
  \implies CanonicalizeIf g (IfNode cond tb fb) (RefNode tb) |
  falseConst:
  [kind\ g\ cond = ConstantNode\ condv;]
   \neg(val\text{-}to\text{-}bool\ condv)
  \implies CanonicalizeIf g (IfNode cond to fb) (RefNode fb)
  eqBranch:
  [\neg(is\text{-}ConstantNode\ (kind\ g\ cond));
   \implies CanonicalizeIf g (IfNode cond tb fb) (RefNode tb) |
  eqCondition:
  \llbracket kind \ g \ cond = IntegerEqualsNode \ x \ x \rrbracket
   \implies CanonicalizeIf g (IfNode cond tb fb) (RefNode tb)
inductive CanonicalizeBinaryArithmeticNode :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow
bool where
 add-const-fold:
   \llbracket op = kind \ g \ op-id;
   is-AddNode op;
   kind\ g\ (ir-x\ op) = ConditionalNode\ cond\ tb\ fb;
   kind\ g\ tb = ConstantNode\ c-1;
   kind\ g\ fb = ConstantNode\ c-2;
   kind\ g\ (ir-y\ op) = ConstantNode\ c-3;
   tv = intval\text{-}add c\text{-}1 c\text{-}3;
```

```
fv = intval-add \ c-2 \ c-3;
   g' = replace - node \ tb \ ((ConstantNode \ tv), \ constantAsStamp \ tv) \ g;
   g'' = replace-node\ fb\ ((ConstantNode\ fv),\ constantAsStamp\ fv)\ g';
  g''' = replace - node \ op - id \ (kind \ g \ (ir - x \ op), \ meet \ (constant As Stamp \ tv) \ (constant As Stamp \ tv)
fv)) q'' \parallel
    \implies CanonicalizeBinaryArithmeticNode op-id g g'''
inductive Canonicalize Commutative Binary Arithmetic Node :: IRGraph \Rightarrow IRNode
\Rightarrow IRNode \Rightarrow bool
 for g where
  add-ids-ordered:
  [\neg (is\text{-}ConstantNode\ (kind\ q\ y));
   ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (Add Node x y) (Add Node
y(x) \mid
  and-ids-ordered:
  [\neg (is\text{-}ConstantNode\ (kind\ g\ y));
   ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (And Node x y) (And Node
y(x) \mid
  int-equals-ids-ordered:
  [\neg(is\text{-}ConstantNode\ (kind\ g\ y));
   ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
   \implies CanonicalizeCommutativeBinaryArithmeticNode g (IntegerEqualsNode x y)
(IntegerEqualsNode\ y\ x)
  mul-ids-ordered:
  [\neg (is\text{-}ConstantNode\ (kind\ g\ y));
   ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (MulNode x y) (MulNode
y(x) \mid
  or	ext{-}ids	ext{-}ordered:
  [\neg (is\text{-}ConstantNode\ (kind\ g\ y));
    ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
    \implies Canonicalize Commutative Binary Arithmetic Node g (Or Node x y) (Or Node
y(x)
  xor\mbox{-}ids\mbox{-}ordered:
  [\neg(is\text{-}ConstantNode\ (kind\ g\ y));
   ((is\text{-}ConstantNode\ (kind\ g\ x)) \lor (x>y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (Xor Node x y) (Xor Node
y(x) \mid
```

```
add-swap-const-first:
  [is-ConstantNode\ (kind\ g\ x);
   \neg (is\text{-}ConstantNode\ (kind\ g\ y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (Add Node x y) (Add Node
y x) \mid
  and-swap-const-first:
  [is-ConstantNode\ (kind\ g\ x);
    \neg (is\text{-}ConstantNode\ (kind\ g\ y))]
  \implies Canonicalize Commutative Binary Arithmetic Node g (And Node x y) (And Node
y(x) \mid
  int-equals-swap-const-first:
  [is-ConstantNode\ (kind\ q\ x);
   \neg (is\text{-}ConstantNode\ (kind\ g\ y))
   \implies CanonicalizeCommutativeBinaryArithmeticNode g (IntegerEqualsNode x y)
(IntegerEqualsNode\ y\ x)
  mul-swap-const-first:
  [is-ConstantNode\ (kind\ g\ x);
    \neg (is\text{-}ConstantNode\ (kind\ g\ y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (MulNode x y) (MulNode
y(x) \mid
  or-swap-const-first:
  [is-ConstantNode\ (kind\ g\ x);
    \neg (is\text{-}ConstantNode\ (kind\ g\ y))]
    \implies CanonicalizeCommutativeBinaryArithmeticNode g (OrNode x y) (OrNode
y(x)
 xor-swap-const-first:
 [is-ConstantNode\ (kind\ g\ x);
   \neg (is\text{-}ConstantNode\ (kind\ g\ y))
   \implies Canonicalize Commutative Binary Arithmetic Node g (XorNode x y) (XorNode
y(x)
inductive CanonicalizeSub :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
 for g where
  sub-same:
  \llbracket x = y;
   stamp \ g \ x = (IntegerStamp \ b \ l \ h)
   \implies CanonicalizeSub g (SubNode x y) (ConstantNode (IntVal32 0)) |
  sub-both-const:
  \llbracket kind \ g \ x = ConstantNode \ c-1;
   kind\ g\ y = ConstantNode\ c-2;
```

```
val = intval\text{-}sub \ c\text{-}1 \ c\text{-}2
 \implies CanonicalizeSub g (SubNode x y) (ConstantNode val) |
sub-left-add1:
\llbracket kind \ g \ left = AddNode \ a \ b 
rbracket
 \implies CanonicalizeSub g (SubNode left b) (RefNode a) |
sub-left-add2:
[kind \ g \ left = AddNode \ a \ b]
 \implies CanonicalizeSub g (SubNode left a) (RefNode b)
sub-left-sub:
\llbracket kind \ q \ left = SubNode \ a \ b \rrbracket
 \implies CanonicalizeSub g (SubNode left a) (NegateNode b) |
sub-right-add1:
\llbracket kind \ g \ right = AddNode \ a \ b \rrbracket
 \implies CanonicalizeSub g (SubNode a right) (NegateNode b) |
sub-right-add2:
\llbracket kind \ g \ right = AddNode \ a \ b \rrbracket
 \implies CanonicalizeSub g (SubNode b right) (NegateNode a)
sub-right-sub:
\llbracket kind \ g \ right = AddNode \ a \ b \rrbracket
 \implies CanonicalizeSub g (SubNode a right) (RefNode a)
sub-yzero:
\llbracket kind \ g \ y = ConstantNode \ (IntVal32 \ 0) \rrbracket
 \implies CanonicalizeSub g (SubNode x y) (RefNode x) |
sub-xzero:
\llbracket kind \ g \ x = ConstantNode \ (IntVal32 \ 0) \rrbracket
 \implies CanonicalizeSub g (SubNode x y) (NegateNode y) |
sub-y-negate:
\llbracket kind \ g \ nb = NegateNode \ b \rrbracket
 \implies CanonicalizeSub g (SubNode a nb) (AddNode a b)
```

```
inductive CanonicalizeMul :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
 for g where
  mul-both-const:
  [kind\ g\ x = ConstantNode\ c-1];
   kind\ g\ y = ConstantNode\ c-2;
   val = intval-mul \ c-1 \ c-2
   \implies CanonicalizeMul\ g\ (MulNode\ x\ y)\ (ConstantNode\ val)\ |
  mul-xzero:
  [kind\ g\ x = ConstantNode\ c-1;
    \neg (is\text{-}ConstantNode\ (kind\ g\ y));
   c-1 = (Int Val 32 \ 0)
   \implies CanonicalizeMul g (MulNode x y) (ConstantNode c-1)
  mul-yzero:
  [kind\ g\ y = ConstantNode\ c-1;
   \neg (is\text{-}ConstantNode\ (kind\ g\ x));
   c-1 = (Int Val32 \ \theta)
   \implies CanonicalizeMul g (MulNode x y) (ConstantNode c-1) |
  mul-xone:
  [kind\ g\ x = ConstantNode\ c-1;
   \neg (is\text{-}ConstantNode\ (kind\ g\ y));
   c-1 = (Int Val 32 \ 1)
   \implies CanonicalizeMul g (MulNode x y) (RefNode y) |
  mul-yone:
  [kind\ g\ y = ConstantNode\ c-1;
    \neg (is\text{-}ConstantNode\ (kind\ g\ x));
   c-1 = (Int Val 32 1)
   \implies CanonicalizeMul g (MulNode x y) (RefNode x)
  mul-xnegate:
  [kind\ g\ x = ConstantNode\ c-1;
   \neg (is\text{-}ConstantNode\ (kind\ g\ y));
   c-1 = (Int Val 32 (-1))
   \implies CanonicalizeMul g (MulNode x y) (NegateNode y) |
  mul-ynegate:
  [kind\ g\ y = ConstantNode\ c-1;
    \neg (is\text{-}ConstantNode\ (kind\ g\ x));
   c-1 = (Int Val 32 (-1))
   \implies CanonicalizeMul g (MulNode x y) (NegateNode x)
```

```
inductive CanonicalizeAbs :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  abs-abs:
  \llbracket kind \ g \ x = (AbsNode \ y) \rrbracket
    \implies CanonicalizeAbs g (AbsNode x) (AbsNode y)
  abs-negate:
  \llbracket kind \ g \ nx = (NegateNode \ x) \rrbracket
    \implies CanonicalizeAbs g (AbsNode nx) (AbsNode x)
inductive CanonicalizeNegate :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  negate\text{-}const:
  [kind\ g\ nx = (ConstantNode\ val);
    val = (Int Val 32 \ v);
    neg\text{-}val = intval\text{-}sub (IntVal32 0) val
    \implies CanonicalizeNegate g (NegateNode nx) (ConstantNode neg-val)
  negate-negate:
  \llbracket kind \ g \ nx = (NegateNode \ x) \rrbracket
    \implies CanonicalizeNegate g (NegateNode nx) (RefNode x) |
  negate-sub:
  \llbracket kind\ g\ sub = (SubNode\ x\ y);
    stamp \ g \ sub = (IntegerStamp - - -)
    \implies CanonicalizeNegate g (NegateNode sub) (SubNode y x)
inductive CanonicalizeNot :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  not	ext{-}const:
  [kind\ g\ nx = (ConstantNode\ val);
    neg\text{-}val = intval\text{-}not \ val
    \implies CanonicalizeNot q (NotNode nx) (ConstantNode neq-val)
  not-not:
  \llbracket kind \ g \ nx = (NotNode \ x) \rrbracket
    \implies CanonicalizeNot g (NotNode nx) (RefNode x)
inductive\ Canonicalize Logic Negation: IR Graph \Rightarrow IR Node \Rightarrow IR Node \Rightarrow bool
  for q where
  logical-not-const:
  [kind\ g\ nx = (ConstantNode\ val);
    neg\text{-}val = bool\text{-}to\text{-}val \ (\neg(val\text{-}to\text{-}bool\ val))
  \implies CanonicalizeLogicNegation q (LogicNegationNode nx) (ConstantNode neg-val)
```

```
logical-not-not:
  [kind \ g \ nx = (LogicNegationNode \ x)]
   \implies Canonicalize Logic Negation \ g \ (Logic Negation Node \ nx) \ (Ref Node \ x)
inductive CanonicalizeAnd :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
 for g where
  and-same:
  [x = y]
   \implies CanonicalizeAnd g (AndNode x y) (RefNode x) |
  and-xtrue:
  [kind\ g\ x = ConstantNode\ val;]
   val-to-bool val
   \implies CanonicalizeAnd g (AndNode x y) (RefNode y) |
  and-ytrue:
  [kind\ g\ y = ConstantNode\ val;
   val-to-bool val
   \implies CanonicalizeAnd g (AndNode x y) (RefNode x)
  and-xfalse:
  [kind\ g\ x = ConstantNode\ val;]
    \neg (val\text{-}to\text{-}bool\ val)
   \implies CanonicalizeAnd g (AndNode x y) (ConstantNode val) |
  and-yfalse:
  \llbracket kind \ g \ y = ConstantNode \ val;
    \neg (val\text{-}to\text{-}bool\ val)
   \implies CanonicalizeAnd g (AndNode x y) (ConstantNode val)
inductive CanonicalizeOr :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
 for g where
  or-same:
 [x = y]
   \implies CanonicalizeOr g (OrNode x y) (RefNode x)
  or-xtrue:
  [kind\ g\ x = ConstantNode\ val;
   val-to-bool val
   \implies CanonicalizeOr g (OrNode x y) (ConstantNode val) |
  or-ytrue:
  [kind\ g\ y = ConstantNode\ val;]
   val-to-bool val
   \implies CanonicalizeOr g (OrNode x y) (ConstantNode val) |
```

```
or-xfalse:
  [kind\ g\ x = ConstantNode\ val;
    \neg(val\text{-}to\text{-}bool\ val)
   \implies CanonicalizeOr g (OrNode x y) (RefNode y)
  or-yfalse:
  [kind\ g\ y = ConstantNode\ val;
    \neg (val\text{-}to\text{-}bool\ val)
   \implies CanonicalizeOr g (OrNode x y) (RefNode x)
inductive \ Canonicalize DeMorgans Law :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool
where
  de	ext{-}morgan	ext{-}or	ext{-}to	ext{-}and:
  [kind\ g\ nid = OrNode\ nx\ ny;]
   kind\ g\ nx = NotNode\ x;
   kind\ g\ ny = NotNode\ y;
   new-add-id = nextNid g;
   g' = add-node new-add-id ((AddNode x y), (IntegerStamp 1 0 1)) g;
   g'' = replace - node \ nid \ ((NotNode \ new - add - id), \ (IntegerStamp \ 1 \ 0 \ 1)) \ g''
   \implies CanonicalizeDeMorgansLaw nid g g'' |
  de	ext{-}morgan	ext{-}and	ext{-}to	ext{-}or:
  \llbracket kind \ g \ nid = AndNode \ nx \ ny;
   kind\ g\ nx = NotNode\ x;
   kind\ g\ ny = NotNode\ y;
   new-add-id = nextNid g;
   g' = add-node new-add-id ((OrNode x y), (IntegerStamp 1 0 1)) g;
   g'' = replace - node \ nid \ ((NotNode \ new - add - id), \ (IntegerStamp \ 1 \ 0 \ 1)) \ g''
   \implies CanonicalizeDeMorgansLaw \ nid \ q \ q''
inductive\ CanonicalizeIntegerEquals::IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
  for g where
  int-equals-same-node:
  \llbracket x = y 
rbracket
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode x y) (ConstantNode (IntVal32)
1)) |
  int-equals-distinct:
  [alwaysDistinct\ (stamp\ g\ x)\ (stamp\ g\ y)]
  \implies CanonicalizeIntegerEquals q (IntegerEqualsNode x y) (ConstantNode (IntVal32
\theta)) \mid
```

```
int-equals-add-first-both-same:
  \llbracket kind \ g \ left = AddNode \ x \ y;
   kind\ g\ right = AddNode\ x\ z
  \implies CanonicalizeIntegerEquals q (IntegerEqualsNode left right) (IntegerEqualsNode
y z) \mid
  int-equals-add-first-second-same:
  [kind \ g \ left = AddNode \ x \ y;]
   kind\ g\ right = AddNode\ z\ x
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) \mid
  int-equals-add-second-first-same:
  [kind\ g\ left = AddNode\ y\ x;]
   kind\ g\ right = AddNode\ x\ z
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) \mid
  int-equals-add-second-both--same:
  [kind\ g\ left = AddNode\ y\ x;]
   kind\ g\ right = AddNode\ z\ x
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) \mid
  int-equals-sub-first-both-same:
  \llbracket kind \ g \ left = SubNode \ x \ y;
   kind\ g\ right = SubNode\ x\ z
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) \mid
  int-equals-sub-second-both-same:
  \llbracket kind \ g \ left = SubNode \ y \ x;
   kind\ g\ right = SubNode\ z\ x
  \implies CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z
inductive\ CanonicalizeIntegerEqualsGraph::ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool
where
  int-equals-rewrite:
  [CanonicalizeIntegerEquals g node node';
   node = kind \ g \ nid;
```

```
g' = replace - node \ nid \ (node', stamp \ g \ nid) \ g \ 
    \implies CanonicalizeIntegerEqualsGraph \ nid \ g \ g' \mid
  int-equals-left-contains-right1:
  \llbracket kind\ g\ nid = IntegerEqualsNode\ left\ x;
   kind\ g\ left = AddNode\ x\ y;
    const-id = nextNid g;
  g' = add-node const-id ((ConstantNode (IntVal32 0)), constantAsStamp (IntVal32
\theta)) g;
   g'' = replace-node \ const-id \ ((Integer Equals Node \ y \ const-id), \ stamp \ g \ nid) \ g''
   \implies CanonicalizeIntegerEqualsGraph nid g g'' |
  int-equals-left-contains-right2:
  [kind\ g\ nid = IntegerEqualsNode\ left\ y;]
   kind\ g\ left = AddNode\ x\ y;
   const-id = nextNid g;
  g' = add-node const-id ((ConstantNode (IntVal32 0)), constantAsStamp (IntVal32
\theta)) g;
   g'' = replace-node\ const-id\ ((IntegerEqualsNode\ x\ const-id),\ stamp\ g\ nid)\ g'
   \implies CanonicalizeIntegerEqualsGraph nid g g'' |
  int-equals-right-contains-left 1:
  [kind\ g\ nid = IntegerEqualsNode\ x\ right;]
   kind\ g\ right = AddNode\ x\ y;
   const-id = nextNid g;
  g' = add\text{-}node\ const-id\ ((\ ConstantNode\ (IntVal32\ 0)),\ constantAsStamp\ (IntVal32\ 0)),\ constantAsStamp\ (IntVal32\ 0)),\ constantAsStamp\ (IntVal32\ 0))
\theta)) g;
   g'' = replace-node\ const-id\ ((IntegerEqualsNode\ y\ const-id),\ stamp\ g\ nid)\ g''
   \implies CanonicalizeIntegerEqualsGraph nid g g'' |
  int-equals-right-contains-left 2:
  [kind\ g\ nid = IntegerEqualsNode\ y\ right;]
   kind\ g\ right = AddNode\ x\ y;
    const-id = nextNid g;
  q' = add-node const-id ((ConstantNode (IntVal32 0)), constantAsStamp (IntVal32
\theta)) g;
   g'' = replace-node\ const-id\ ((IntegerEqualsNode\ x\ const-id),\ stamp\ g\ nid)\ g''
   \implies CanonicalizeIntegerEqualsGraph nid g g'' |
```

```
int-equals-left-contains-right 3:
  \llbracket kind\ g\ nid = IntegerEqualsNode\ left\ x;
   kind\ g\ left = SubNode\ x\ y;
   const-id = nextNid g;
  g' = add-node const-id ((ConstantNode (IntVal32 0)), constantAsStamp (IntVal32
   g'' = replace-node\ const-id\ ((IntegerEqualsNode\ y\ const-id),\ stamp\ g\ nid)\ g''
   \implies CanonicalizeIntegerEqualsGraph nid g g'' |
  int-equals-right-contains-left 3:
  \llbracket kind\ g\ nid = IntegerEqualsNode\ x\ right;
   kind\ g\ right = SubNode\ x\ y;
   const\text{-}id = nextNid \ g;
  g' = add-node const-id ((ConstantNode (IntVal32 0)), constantAsStamp (IntVal32
   g'' = replace-node \ const-id \ ((IntegerEqualsNode \ y \ const-id), \ stamp \ g \ nid) \ g''
   \implies CanonicalizeIntegerEqualsGraph nid g g''
inductive CanonicalizationStep :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool
 for g where
  Conditional Node:\\
  [Canonicalize Conditional\ g\ node\ node']
  \implies CanonicalizationStep\ g\ node\ node'
  AddNode:
  [CanonicalizeAdd\ g\ node\ node']
  \implies CanonicalizationStep g node node'
```

IfNode:

```
[Canonicalize If g node node']
    \implies CanonicalizationStep\ g\ node\ node'
  SubNode:
  [CanonicalizeSub\ g\ node\ node']
   \implies CanonicalizationStep g node node'
  MulNode:
  [CanonicalizeMul\ g\ node\ node']
   \implies CanonicalizationStep\ g\ node\ node'
  AndNode:
  [CanonicalizeAnd\ g\ node\ node']
   \implies CanonicalizationStep q node node'
  OrNode:
  [CanonicalizeOr\ g\ node\ node']
   \implies CanonicalizationStep g node node'
  AbsNode:
  [CanonicalizeAbs\ g\ node\ node']
   \implies CanonicalizationStep g node node'
  NotNode:
  [CanonicalizeNot g node node']
   \implies CanonicalizationStep g node node'
  NegateNode:
  [CanonicalizeNegate\ g\ node\ node']
   \implies CanonicalizationStep g node node'
  LogicNegationNode:
  [CanonicalizeLogicNegation\ g\ node\ node']
   \implies CanonicalizationStep \ q \ node \ node'
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeConditional.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeAdd.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeIf.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeSub.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeMul.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeAnd.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeOr.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeAbs.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeNot.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeNegate.
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizeLogicNegation.
\mathbf{code\text{-}pred}\ (\mathit{modes}:\ i\Rightarrow i\Rightarrow o\Rightarrow \mathit{bool})\ \mathit{CanonicalizationStep}\ .
```

```
type-synonym CanonicalizationAnalysis = bool option
fun analyse :: (ID \times Seen \times CanonicalizationAnalysis) \Rightarrow CanonicalizationAnalysis
where
  analyse i = None
{\bf inductive} \ {\it Canonicalization Phase}
 :: IRGraph \Rightarrow (ID \times Seen \times CanonicalizationAnalysis) \Rightarrow IRGraph \Rightarrow bool  where
  — Can do a step and optimise for the current node
 [Step analyse g (nid, seen, i) (Some (nid', seen', i'));
    CanonicalizationStep\ g\ (kind\ g\ nid)\ node;
   q' = replace - node \ nid \ (node, stamp \ q \ nid) \ q;
    CanonicalizationPhase g' (nid', seen', i') g''
   \implies CanonicalizationPhase g (nid, seen, i) g''
 — Can do a step, matches whether optimised or not causing non-determinism We
need to find a way to negate Conditional
EliminationStep
  [Step analyse g (nid, seen, i) (Some (nid', seen', i'));
    CanonicalizationPhase g (nid', seen', i') g'
   \implies CanonicalizationPhase g (nid, seen, i) g'
  [Step analyse g (nid, seen, i) None;
    Some nid' = pred \ g \ nid;
   seen' = \{nid\} \cup seen;
   CanonicalizationPhase g (nid', seen', i) g'
   \implies CanonicalizationPhase g (nid, seen, i) g'
  [Step\ analyse\ g\ (nid,\ seen,\ i)\ None;
    None = pred \ q \ nid
   \implies CanonicalizationPhase g (nid, seen, i) g
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) CanonicalizationPhase.
type-synonym Trace = IRNode list
{\bf inductive} \ \ Canonicalization Phase With Trace
 :: IRGraph \Rightarrow (ID \times Seen \times CanonicalizationAnalysis) \Rightarrow IRGraph \Rightarrow Trace \Rightarrow
Trace \Rightarrow bool \text{ where}
  — Can do a step and optimise for the current node
```

[Step analyse g (nid, seen, i) (Some (nid', seen', i'));

```
CanonicalizationStep\ g\ (kind\ g\ nid)\ node;
   g' = replace - node \ nid \ (node, \ stamp \ g \ nid) \ g;
    CanonicalizationPhaseWithTrace g' (nid', seen', i') g'' (kind g nid \# t) t'
   \implies CanonicalizationPhaseWithTrace g (nid, seen, i) g'' t t'
 — Can do a step, matches whether optimised or not causing non-determinism We
need to find a way to negate Conditional Elimination Step
  [Step\ analyse\ g\ (nid,\ seen,\ i)\ (Some\ (nid',\ seen',\ i'));
    CanonicalizationPhaseWithTrace g (nid', seen', i') g' (kind g nid \# t) t'
   \implies CanonicalizationPhaseWithTrace g (nid, seen, i) g' t t' |
  [Step\ analyse\ g\ (nid,\ seen,\ i)\ None;
   Some nid' = pred g nid;
   seen' = \{nid\} \cup seen;
   CanonicalizationPhaseWithTrace g (nid', seen', i) g' (kind g nid \# t) t'
   \implies Canonicalization Phase \textit{With Trace g (nid, seen, i) g' t t'} \mid
  [Step\ analyse\ g\ (nid,\ seen,\ i)\ None;
   None = pred \ g \ nid
   \implies CanonicalizationPhaseWithTrace g (nid, seen, i) g t t
\mathbf{code\text{-}pred}\ (modes: i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow o \Rightarrow bool)\ CanonicalizationPhaseWithTrace
```

 $\mathbf{end}$