Veriopt

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Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

Contents

1 Runtime Values and Arithmetic

theory *Values*
imports
HOL−Library.Word
HOL−Library.Signed-Division
HOL−Library.Float
HOL−Library.LaTeXsugar
begin

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, but during calculations the smaller sizes are expanded to 32 bits, so here we model just 32 and 64 bit values.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

type-synonym *int64* = *64 word* — long
type-synonym *int32* = *32 word* — int
type-synonym *int16* = *16 word* — short
type-synonym *int8* = *8 word* — char
type-synonym *int1* = *1 word* — boolean

type-synonym *objref* = *nat option*

datatype (*discs-sels*) *Value* =
UndefVal |
IntVal32 int32 |
IntVal64 int64 |

ObjRef objref |
ObjStr string

fun *wf-bool* :: *Value* ⇒ *bool* **where**
wf-bool (*IntVal32 v*) = (*v* = *0* ∨ *v* = *1*) |
wf-bool - = *False*

fun *val-to-bool* :: *Value* ⇒ *bool* **where**
val-to-bool (*IntVal32 val*) = (*if val* = *0 then False else True*) |
val-to-bool (*IntVal64 val*) = (*if val* = *0 then False else True*) |
val-to-bool v = *False*

fun *bool-to-val* :: *bool* ⇒ *Value* **where**
bool-to-val True = (*IntVal32 1*) |

```
  bool-to-val False = (IntVal32 0)

value sint(word-of-int (1) :: int1)

fun is-int-val :: Value ⇒ bool where
  is-int-val (IntVal32 v) = True |
  is-int-val (IntVal64 v) = True |
  is-int-val - = False
```

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions know to make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value ⇒ Value ⇒ Value where
  intval-add (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1+v2)) |
  intval-add (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1+v2)) |
  intval-add - - = UndefVal

instantiation Value :: ab-semigroup-add
begin

definition plus-Value :: Value ⇒ Value ⇒ Value where
  plus-Value = intval-add

print-locale! ab-semigroup-add

instance proof
  fix a b c :: Value
  show a + b + c = a + (b + c)
    apply (simp add: plus-Value-def)
    apply (induction a; induction b; induction c; auto)
    done
  show a + b = b + a
    apply (simp add: plus-Value-def)
    apply (induction a; induction b; auto)
    done
qed
end


fun intval-sub :: Value ⇒ Value ⇒ Value where
  intval-sub (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1−v2)) |
```

```
  intval-sub (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1−v2)) |
  intval-sub - - = UndefVal

instantiation Value :: minus
begin

definition minus-Value :: Value ⇒ Value ⇒ Value where
  minus-Value = intval-sub

instance proof qed
end


fun intval-mul :: Value ⇒ Value ⇒ Value where
  intval-mul (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1∗v2)) |
  intval-mul (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1∗v2)) |
  intval-mul - - = UndefVal

instantiation Value :: times
begin

definition times-Value :: Value ⇒ Value ⇒ Value where
  times-Value = intval-mul

instance proof qed
end



fun intval-div :: Value ⇒ Value ⇒ Value where
   intval-div (IntVal32 v1) (IntVal32 v2) = (IntVal32 (word-of-int((sint v1) sdiv
(sint v2)))) |
   intval-div (IntVal64 v1) (IntVal64 v2) = (IntVal64 (word-of-int((sint v1) sdiv
(sint v2)))) |
  intval-div - - = UndefVal

instantiation Value :: divide
begin

definition divide-Value :: Value ⇒ Value ⇒ Value where
  divide-Value = intval-div

instance proof qed
end


fun intval-mod :: Value ⇒ Value ⇒ Value where
  intval-mod (IntVal32 v1) (IntVal32 v2) = (IntVal32 (word-of-int((sint v1) smod
(sint v2)))) |
```

intval-mod (*IntVal64 v1*) (*IntVal64 v2*) = (*IntVal64* (*word-of-int*((*sint v1*) *smod* (*sint v2*)))) |
intval-mod - - = *UndefVal*

instantiation *Value* :: *modulo*
begin

definition *modulo-Value* :: *Value* ⇒ *Value* ⇒ *Value* **where**
modulo-Value = *intval-mod*

instance proof qed
end

context
includes *bit-operations-syntax*
begin

fun *intval-and* :: *Value* ⇒ *Value* ⇒ *Value* (**infix** &&∗ *64*) **where**
intval-and (*IntVal32 v1*) (*IntVal32 v2*) = (*IntVal32* (*v1 AND v2*)) |
intval-and (*IntVal64 v1*) (*IntVal64 v2*) = (*IntVal64* (*v1 AND v2*)) |
intval-and - - = *UndefVal*

fun *intval-or* :: *Value* ⇒ *Value* ⇒ *Value* (**infix** ||∗ *59*) **where**
intval-or (*IntVal32 v1*) (*IntVal32 v2*) = (*IntVal32* (*v1 OR v2*)) |
intval-or (*IntVal64 v1*) (*IntVal64 v2*) = (*IntVal64* (*v1 OR v2*)) |
intval-or - - = *UndefVal*

fun *intval-xor* :: *Value* ⇒ *Value* ⇒ *Value* (**infix** ⊕∗ *59*) **where**
intval-xor (*IntVal32 v1*) (*IntVal32 v2*) = (*IntVal32* (*v1 XOR v2*)) |
intval-xor (*IntVal64 v1*) (*IntVal64 v2*) = (*IntVal64* (*v1 XOR v2*)) |
intval-xor - - = *UndefVal*

fun *intval-equals* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-equals (*IntVal32 v1*) (*IntVal32 v2*) = *bool-to-val* (*v1* = *v2*) |
intval-equals (*IntVal64 v1*) (*IntVal64 v2*) = *bool-to-val* (*v1* = *v2*) |
intval-equals - - = *UndefVal*

fun *intval-less-than* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-less-than (*IntVal32 v1*) (*IntVal32 v2*) = *bool-to-val* (*v1* <*s v2*) |
intval-less-than (*IntVal64 v1*) (*IntVal64 v2*) = *bool-to-val* (*v1* <*s v2*) |
intval-less-than - - = *UndefVal*

fun *intval-below* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-below (*IntVal32 v1*) (*IntVal32 v2*) = *bool-to-val* (*v1* < *v2*) |
intval-below (*IntVal64 v1*) (*IntVal64 v2*) = *bool-to-val* (*v1* < *v2*) |

intval-below - - = UndefVal

fun *intval-not* :: *Value* ⇒ *Value* **where**
intval-not (IntVal32 v) = (IntVal32 (NOT v)) |
intval-not (IntVal64 v) = (IntVal64 (NOT v)) |
intval-not - = UndefVal

fun *intval-negate* :: *Value* ⇒ *Value* **where**
intval-negate (IntVal32 v) = IntVal32 (− v) |
intval-negate (IntVal64 v) = IntVal64 (− v) |
intval-negate - = UndefVal

fun *intval-abs* :: *Value* ⇒ *Value* **where**
intval-abs (IntVal32 v) = (if (v) <s 0 then (IntVal32 (− v)) else (IntVal32 v)) |
intval-abs (IntVal64 v) = (if (v) <s 0 then (IntVal64 (− v)) else (IntVal64 v)) |
intval-abs - = UndefVal

fun *intval-conditional* :: *Value* ⇒ *Value* ⇒ *Value* ⇒ *Value* **where**
intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)

fun *intval-logic-negation* :: *Value* ⇒ *Value* **where**
intval-logic-negation (IntVal32 v) = (if v = 0 then (IntVal32 1) else (IntVal32 0)) |
intval-logic-negation (IntVal64 v) = (if v = 0 then (IntVal64 1) else (IntVal64 0)) |
intval-logic-negation - = UndefVal

definition *shiftl* (**infix** << 75) **where**
shiftl w n = (push-bit n) w

lemma *shiftl-power*[*simp*]: (*x*::('*a*::*len*) *word*) * (2 ^ *j*) = *x* << *j*
unfolding *shiftl-def* **apply** (*induction j*)
apply *simp* **unfolding** *funpow-Suc-right*
by (*metis* (*no-types*, *opaque-lifting*) *push-bit-eq-mult*)

lemma (*x*::('*a*::*len*) *word*) * ((2 ^ *j*) + 1) = *x* << *j* + *x*
by (*simp add*: *distrib-left*)

lemma (*x*::('*a*::*len*) *word*) * ((2 ^ *j*) − 1) = *x* << *j* − *x*
by (*simp add*: *right-diff-distrib*)

lemma (*x*::('*a*::*len*) *word*) * ((2^*j*) + (2^*k*)) = *x* << *j* + *x* << *k*
by (*simp add*: *distrib-left*)

lemma (*x*::('*a*::*len*) *word*) * ((2^*j*) − (2^*k*)) = *x* << *j* − *x* << *k*
by (*simp add*: *right-diff-distrib*)

definition *shiftr* (**infix** *>>>* *75*) **where**
shiftr w n = (*drop-bit n*) *w*

value (*255* :: *8 word*) *>>>* (*2* :: *nat*)

definition *signed-shiftr* :: *'a* :: *len word* ⇒ *nat* ⇒ *'a* :: *len word* (**infix** *>>* *75*) **where**
signed-shiftr w n = *word-of-int* ((*sint w*) *div* (*2* ^ *n*))

value (*128* :: *8 word*) *>>* *2*

fun *intval-left-shift* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-left-shift (*IntVal32 v1*) (*IntVal32 v2*) = *IntVal32* (*v1 << unat* (*v2 AND 0x1f*)) |
intval-left-shift (*IntVal64 v1*) (*IntVal64 v2*) = *IntVal64* (*v1 << unat* (*v2 AND 0x3f*)) |
intval-left-shift - - = *UndefVal*

fun *intval-right-shift* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-right-shift (*IntVal32 v1*) (*IntVal32 v2*) = *IntVal32* (*v1 >> unat* (*v2 AND 0x1f*)) |
intval-right-shift (*IntVal64 v1*) (*IntVal64 v2*) = *IntVal64* (*v1 >> unat* (*v2 AND 0x3f*)) |
intval-right-shift - - = *UndefVal*

fun *intval-uright-shift* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-uright-shift (*IntVal32 v1*) (*IntVal32 v2*) = *IntVal32* (*v1 >>> unat* (*v2 AND 0x1f*)) |
intval-uright-shift (*IntVal64 v1*) (*IntVal64 v2*) = *IntVal64* (*v1 >>> unat* (*v2 AND 0x3f*)) |
intval-uright-shift - - = *UndefVal*

end

lemma *intval-add-sym*:
shows *intval-add a b* = *intval-add b a*
by (*induction a*; *induction b*; *auto*)

code-deps *intval-add*
code-thms *intval-add*

lemma *intval-add* (*IntVal32* (*2^31−1*)) (*IntVal32* (*2^31−1*)) = *IntVal32* (*−2*)
  by *eval*
lemma *intval-add* (*IntVal64* (*2^31−1*)) (*IntVal64* (*2^31−1*)) = *IntVal64 4294967294*
  by *eval*

end

2 Nodes

2.1 Types of Nodes

theory *IRNodes*
  imports
    Values
begin

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs_of and successors_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

type-synonym *ID* = *nat*
type-synonym *INPUT* = *ID*
type-synonym *INPUT-ASSOC* = *ID*
type-synonym *INPUT-STATE* = *ID*
type-synonym *INPUT-GUARD* = *ID*
type-synonym *INPUT-COND* = *ID*
type-synonym *INPUT-EXT* = *ID*
type-synonym *SUCC* = *ID*

datatype (*discs-sels*) *IRNode* =
  AbsNode (*ir-value*: *INPUT*)
  | *AddNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)

| *AndNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *BeginNode* (*ir-next*: *SUCC*)
| *BytecodeExceptionNode* (*ir-arguments*: *INPUT list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *ConditionalNode* (*ir-condition*: *INPUT-COND*) (*ir-trueValue*: *INPUT*) (*ir-falseValue*: *INPUT*)
| *ConstantNode* (*ir-const*: *Value*)
| *DynamicNewArrayNode* (*ir-elementType*: *INPUT*) (*ir-length*: *INPUT*) (*ir-voidClass-opt*: *INPUT option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *EndNode*
| *ExceptionObjectNode* (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

| *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)
| *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)
| *IntegerBelowNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)
| *IsNullNode* (*ir-value*: *INPUT*)
| *KillingBeginNode* (*ir-next*: *SUCC*)
| *LeftShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)
| *LogicNegationNode* (*ir-value*: *INPUT-COND*)
| *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)
| *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*)
| *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *NarrowNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)
| *NegateNode* (*ir-value*: *INPUT*)
| *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *NotNode* (*ir-value*: *INPUT*)
| *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)

```
  | ParameterNode (ir-index: nat)
  | PiNode (ir-object: INPUT) (ir-guard-opt: INPUT-GUARD option)
  | ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
  | RightShiftNode (ir-x: INPUT) (ir-y: INPUT)
  | ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
  | SignExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
  | SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

  | SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

  | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
  | SubNode (ir-x: INPUT) (ir-y: INPUT)
  | UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
  | UnwindNode (ir-exception: INPUT)
  | ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
  | ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
  | XorNode (ir-x: INPUT) (ir-y: INPUT)
  | ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
  | NoNode



  | RefNode (ir-ref:ID)



fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x
```

The following functions, inputs_of and successors_of, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```
fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
```

inputs-of-BeginNode:
inputs-of (*BeginNode next*) = [] |
inputs-of-BytecodeExceptionNode:
inputs-of (*BytecodeExceptionNode arguments stateAfter next*) = *arguments* @ (*opt-to-list stateAfter*) |
inputs-of-ConditionalNode:
inputs-of (*ConditionalNode condition trueValue falseValue*) = [*condition*, *trueValue*, *falseValue*] |
inputs-of-ConstantNode:
inputs-of (*ConstantNode const*) = [] |
inputs-of-DynamicNewArrayNode:
inputs-of (*DynamicNewArrayNode elementType length0 voidClass stateBefore next*) = [*elementType*, *length0*] @ (*opt-to-list voidClass*) @ (*opt-to-list stateBefore*) |
inputs-of-EndNode:
inputs-of (*EndNode*) = [] |
inputs-of-ExceptionObjectNode:
inputs-of (*ExceptionObjectNode stateAfter next*) = (*opt-to-list stateAfter*) |
inputs-of-FrameState:
inputs-of (*FrameState monitorIds outerFrameState values virtualObjectMappings*) = *monitorIds* @ (*opt-to-list outerFrameState*) @ (*opt-list-to-list values*) @ (*opt-list-to-list virtualObjectMappings*) |
inputs-of-IfNode:
inputs-of (*IfNode condition trueSuccessor falseSuccessor*) = [*condition*] |
inputs-of-IntegerBelowNode:
inputs-of (*IntegerBelowNode x y*) = [*x*, *y*] |
inputs-of-IntegerEqualsNode:
inputs-of (*IntegerEqualsNode x y*) = [*x*, *y*] |
inputs-of-IntegerLessThanNode:
inputs-of (*IntegerLessThanNode x y*) = [*x*, *y*] |
inputs-of-InvokeNode:
inputs-of (*InvokeNode nid0 callTarget classInit stateDuring stateAfter next*) = *callTarget* # (*opt-to-list classInit*) @ (*opt-to-list stateDuring*) @ (*opt-to-list stateAfter*) |
inputs-of-InvokeWithExceptionNode:
inputs-of (*InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = *callTarget* # (*opt-to-list classInit*) @ (*opt-to-list stateDuring*) @ (*opt-to-list stateAfter*) |
inputs-of-IsNullNode:
inputs-of (*IsNullNode value*) = [*value*] |
inputs-of-KillingBeginNode:
inputs-of (*KillingBeginNode next*) = [] |
inputs-of-LeftShiftNode:
inputs-of (*LeftShiftNode x y*) = [*x*, *y*] |
inputs-of-LoadFieldNode:
inputs-of (*LoadFieldNode nid0 field object next*) = (*opt-to-list object*) |
inputs-of-LogicNegationNode:
inputs-of (*LogicNegationNode value*) = [*value*] |
inputs-of-LoopBeginNode:

inputs-of (*LoopBeginNode ends overflowGuard stateAfter next*) = *ends* @ (*opt-to-list overflowGuard*) @ (*opt-to-list stateAfter*) |
inputs-of-LoopEndNode:
inputs-of (*LoopEndNode loopBegin*) = [*loopBegin*] |
inputs-of-LoopExitNode:
inputs-of (*LoopExitNode loopBegin stateAfter next*) = *loopBegin* # (*opt-to-list stateAfter*) |
inputs-of-MergeNode:
inputs-of (*MergeNode ends stateAfter next*) = *ends* @ (*opt-to-list stateAfter*) |
inputs-of-MethodCallTargetNode:
inputs-of (*MethodCallTargetNode targetMethod arguments*) = *arguments* |
inputs-of-MulNode:
inputs-of (*MulNode x y*) = [*x*, *y*] |
inputs-of-NarrowNode:
inputs-of (*NarrowNode inputBits resultBits value*) = [*value*] |
inputs-of-NegateNode:
inputs-of (*NegateNode value*) = [*value*] |
inputs-of-NewArrayNode:
inputs-of (*NewArrayNode length0 stateBefore next*) = *length0* # (*opt-to-list stateBefore*) |
inputs-of-NewInstanceNode:
inputs-of (*NewInstanceNode nid0 instanceClass stateBefore next*) = (*opt-to-list stateBefore*) |
inputs-of-NotNode:
inputs-of (*NotNode value*) = [*value*] |
inputs-of-OrNode:
inputs-of (*OrNode x y*) = [*x*, *y*] |
inputs-of-ParameterNode:
inputs-of (*ParameterNode index*) = [] |
inputs-of-PiNode:
inputs-of (*PiNode object guard*) = *object* # (*opt-to-list guard*) |
inputs-of-ReturnNode:
inputs-of (*ReturnNode result memoryMap*) = (*opt-to-list result*) @ (*opt-to-list memoryMap*) |
inputs-of-RightShiftNode:
inputs-of (*RightShiftNode x y*) = [*x*, *y*] |
inputs-of-ShortCircuitOrNode:
inputs-of (*ShortCircuitOrNode x y*) = [*x*, *y*] |
inputs-of-SignExtendNode:
inputs-of (*SignExtendNode inputBits resultBits value*) = [*value*] |
inputs-of-SignedDivNode:
inputs-of (*SignedDivNode nid0 x y zeroCheck stateBefore next*) = [*x*, *y*] @ (*opt-to-list zeroCheck*) @ (*opt-to-list stateBefore*) |
inputs-of-SignedRemNode:
inputs-of (*SignedRemNode nid0 x y zeroCheck stateBefore next*) = [*x*, *y*] @ (*opt-to-list zeroCheck*) @ (*opt-to-list stateBefore*) |
inputs-of-StartNode:
inputs-of (*StartNode stateAfter next*) = (*opt-to-list stateAfter*) |
inputs-of-StoreFieldNode:

inputs-of (*StoreFieldNode nid0 field value stateAfter object next*) = *value* # (*opt-to-list stateAfter*) @ (*opt-to-list object*) |
inputs-of-SubNode:
inputs-of (*SubNode x y*) = [*x*, *y*] |
inputs-of-UnsignedRightShiftNode:
inputs-of (*UnsignedRightShiftNode x y*) = [*x*, *y*] |
inputs-of-UnwindNode:
inputs-of (*UnwindNode exception*) = [*exception*] |
inputs-of-ValuePhiNode:
inputs-of (*ValuePhiNode nid0 values merge*) = *merge* # *values* |
inputs-of-ValueProxyNode:
inputs-of (*ValueProxyNode value loopExit*) = [*value*, *loopExit*] |
inputs-of-XorNode:
inputs-of (*XorNode x y*) = [*x*, *y*] |
inputs-of-ZeroExtendNode:
inputs-of (*ZeroExtendNode inputBits resultBits value*) = [*value*] |
inputs-of-NoNode: *inputs-of* (*NoNode*) = [] |

inputs-of-RefNode: *inputs-of* (*RefNode ref*) = [*ref*]

fun *successors-of* :: *IRNode* ⇒ *ID list* **where**
successors-of-AbsNode:
successors-of (*AbsNode value*) = [] |
successors-of-AddNode:
successors-of (*AddNode x y*) = [] |
successors-of-AndNode:
successors-of (*AndNode x y*) = [] |
successors-of-BeginNode:
successors-of (*BeginNode next*) = [*next*] |
successors-of-BytecodeExceptionNode:
successors-of (*BytecodeExceptionNode arguments stateAfter next*) = [*next*] |
successors-of-ConditionalNode:
successors-of (*ConditionalNode condition trueValue falseValue*) = [] |
successors-of-ConstantNode:
successors-of (*ConstantNode const*) = [] |
successors-of-DynamicNewArrayNode:
successors-of (*DynamicNewArrayNode elementType length0 voidClass stateBefore next*) = [*next*] |
successors-of-EndNode:
successors-of (*EndNode*) = [] |
successors-of-ExceptionObjectNode:
successors-of (*ExceptionObjectNode stateAfter next*) = [*next*] |
successors-of-FrameState:
successors-of (*FrameState monitorIds outerFrameState values virtualObjectMappings*) = [] |
successors-of-IfNode:
successors-of (*IfNode condition trueSuccessor falseSuccessor*) = [*trueSuccessor*,

falseSuccessor] |
successors-of-IntegerBelowNode:
successors-of (*IntegerBelowNode x y*) = [] |
successors-of-IntegerEqualsNode:
successors-of (*IntegerEqualsNode x y*) = [] |
successors-of-IntegerLessThanNode:
successors-of (*IntegerLessThanNode x y*) = [] |
successors-of-InvokeNode:
successors-of (*InvokeNode nid0 callTarget classInit stateDuring stateAfter next*) = [*next*] |
successors-of-InvokeWithExceptionNode:
successors-of (*InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = [*next*, *exceptionEdge*] |
successors-of-IsNullNode:
successors-of (*IsNullNode value*) = [] |
successors-of-KillingBeginNode:
successors-of (*KillingBeginNode next*) = [*next*] |
successors-of-LeftShiftNode:
successors-of (*LeftShiftNode x y*) = [] |
successors-of-LoadFieldNode:
successors-of (*LoadFieldNode nid0 field object next*) = [*next*] |
successors-of-LogicNegationNode:
successors-of (*LogicNegationNode value*) = [] |
successors-of-LoopBeginNode:
successors-of (*LoopBeginNode ends overflowGuard stateAfter next*) = [*next*] |
successors-of-LoopEndNode:
successors-of (*LoopEndNode loopBegin*) = [] |
successors-of-LoopExitNode:
successors-of (*LoopExitNode loopBegin stateAfter next*) = [*next*] |
successors-of-MergeNode:
successors-of (*MergeNode ends stateAfter next*) = [*next*] |
successors-of-MethodCallTargetNode:
successors-of (*MethodCallTargetNode targetMethod arguments*) = [] |
successors-of-MulNode:
successors-of (*MulNode x y*) = [] |
successors-of-NarrowNode:
successors-of (*NarrowNode inputBits resultBits value*) = [] |
successors-of-NegateNode:
successors-of (*NegateNode value*) = [] |
successors-of-NewArrayNode:
successors-of (*NewArrayNode length0 stateBefore next*) = [*next*] |
successors-of-NewInstanceNode:
successors-of (*NewInstanceNode nid0 instanceClass stateBefore next*) = [*next*] |
successors-of-NotNode:
successors-of (*NotNode value*) = [] |
successors-of-OrNode:
successors-of (*OrNode x y*) = [] |
successors-of-ParameterNode:
successors-of (*ParameterNode index*) = [] |

successors-of-PiNode:
successors-of (*PiNode object guard*) = [] |
successors-of-ReturnNode:
successors-of (*ReturnNode result memoryMap*) = [] |
successors-of-RightShiftNode:
successors-of (*RightShiftNode x y*) = [] |
successors-of-ShortCircuitOrNode:
successors-of (*ShortCircuitOrNode x y*) = [] |
successors-of-SignExtendNode:
successors-of (*SignExtendNode inputBits resultBits value*) = [] |
successors-of-SignedDivNode:
successors-of (*SignedDivNode nid0 x y zeroCheck stateBefore next*) = [*next*] |
successors-of-SignedRemNode:
successors-of (*SignedRemNode nid0 x y zeroCheck stateBefore next*) = [*next*] |
successors-of-StartNode:
successors-of (*StartNode stateAfter next*) = [*next*] |
successors-of-StoreFieldNode:
successors-of (*StoreFieldNode nid0 field value stateAfter object next*) = [*next*] |
successors-of-SubNode:
successors-of (*SubNode x y*) = [] |
successors-of-UnsignedRightShiftNode:
successors-of (*UnsignedRightShiftNode x y*) = [] |
successors-of-UnwindNode:
successors-of (*UnwindNode exception*) = [] |
successors-of-ValuePhiNode:
successors-of (*ValuePhiNode nid0 values merge*) = [] |
successors-of-ValueProxyNode:
successors-of (*ValueProxyNode value loopExit*) = [] |
successors-of-XorNode:
successors-of (*XorNode x y*) = [] |
successors-of-ZeroExtendNode:
successors-of (*ZeroExtendNode inputBits resultBits value*) = [] |
successors-of-NoNode: *successors-of* (*NoNode*) = [] |

successors-of-RefNode: *successors-of* (*RefNode ref*) = [*ref*]

lemma *inputs-of* (*FrameState x* (*Some y*) (*Some z*) *None*) = *x* @ [*y*] @ *z*
  unfolding *inputs-of-FrameState* **by** *simp*
lemma *successors-of* (*FrameState x* (*Some y*) (*Some z*) *None*) = []
  unfolding *inputs-of-FrameState* **by** *simp*

lemma *inputs-of* (*IfNode c t f*) = [*c*]
  unfolding *inputs-of-IfNode* **by** *simp*
lemma *successors-of* (*IfNode c t f*) = [*t*, *f*]
  unfolding *successors-of-IfNode* **by** *simp*

lemma *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []
unfolding *inputs-of-EndNode successors-of-EndNode* **by** *simp*

end

2.2 Hierarchy of Nodes

theory *IRNodeHierarchy*
imports *IRNodes*
begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is<ClassName>Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

fun *is-EndNode* :: *IRNode* ⇒ *bool* **where**
is-EndNode EndNode = *True* |
is-EndNode - = *False*

fun *is-VirtualState* :: *IRNode* ⇒ *bool* **where**
is-VirtualState n = ((*is-FrameState n*))

fun *is-BinaryArithmeticNode* :: *IRNode* ⇒ *bool* **where**
is-BinaryArithmeticNode n = ((*is-AddNode n*) ∨ (*is-AndNode n*) ∨ (*is-MulNode n*) ∨ (*is-OrNode n*) ∨ (*is-SubNode n*) ∨ (*is-XorNode n*))

fun *is-ShiftNode* :: *IRNode* ⇒ *bool* **where**
is-ShiftNode n = ((*is-LeftShiftNode n*) ∨ (*is-RightShiftNode n*) ∨ (*is-UnsignedRightShiftNode n*))

fun *is-BinaryNode* :: *IRNode* ⇒ *bool* **where**
is-BinaryNode n = ((*is-BinaryArithmeticNode n*) ∨ (*is-ShiftNode n*))

fun *is-AbstractLocalNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractLocalNode n = ((*is-ParameterNode n*))

fun *is-IntegerConvertNode* :: *IRNode* ⇒ *bool* **where**
is-IntegerConvertNode n = ((*is-NarrowNode n*) ∨ (*is-SignExtendNode n*) ∨ (*is-ZeroExtendNode n*))

fun *is-UnaryArithmeticNode* :: *IRNode* ⇒ *bool* **where**

is-UnaryArithmeticNode n = ((*is-AbsNode n*) ∨ (*is-NegateNode n*) ∨ (*is-NotNode n*))

fun *is-UnaryNode* :: *IRNode* ⇒ *bool* **where**
is-UnaryNode n = ((*is-IntegerConvertNode n*) ∨ (*is-UnaryArithmeticNode n*))

fun *is-PhiNode* :: *IRNode* ⇒ *bool* **where**
is-PhiNode n = ((*is-ValuePhiNode n*))

fun *is-FloatingGuardedNode* :: *IRNode* ⇒ *bool* **where**
is-FloatingGuardedNode n = ((*is-PiNode n*))

fun *is-UnaryOpLogicNode* :: *IRNode* ⇒ *bool* **where**
is-UnaryOpLogicNode n = ((*is-IsNullNode n*))

fun *is-IntegerLowerThanNode* :: *IRNode* ⇒ *bool* **where**
is-IntegerLowerThanNode n = ((*is-IntegerBelowNode n*) ∨ (*is-IntegerLessThanNode n*))

fun *is-CompareNode* :: *IRNode* ⇒ *bool* **where**
is-CompareNode n = ((*is-IntegerEqualsNode n*) ∨ (*is-IntegerLowerThanNode n*))

fun *is-BinaryOpLogicNode* :: *IRNode* ⇒ *bool* **where**
is-BinaryOpLogicNode n = ((*is-CompareNode n*))

fun *is-LogicNode* :: *IRNode* ⇒ *bool* **where**
is-LogicNode n = ((*is-BinaryOpLogicNode n*) ∨ (*is-LogicNegationNode n*) ∨ (*is-ShortCircuitOrNode n*) ∨ (*is-UnaryOpLogicNode n*))

fun *is-ProxyNode* :: *IRNode* ⇒ *bool* **where**
is-ProxyNode n = ((*is-ValueProxyNode n*))

fun *is-FloatingNode* :: *IRNode* ⇒ *bool* **where**
is-FloatingNode n = ((*is-AbstractLocalNode n*) ∨ (*is-BinaryNode n*) ∨ (*is-ConditionalNode n*) ∨ (*is-ConstantNode n*) ∨ (*is-FloatingGuardedNode n*) ∨ (*is-LogicNode n*) ∨ (*is-PhiNode n*) ∨ (*is-ProxyNode n*) ∨ (*is-UnaryNode n*))

fun *is-AccessFieldNode* :: *IRNode* ⇒ *bool* **where**
is-AccessFieldNode n = ((*is-LoadFieldNode n*) ∨ (*is-StoreFieldNode n*))

fun *is-AbstractNewArrayNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractNewArrayNode n = ((*is-DynamicNewArrayNode n*) ∨ (*is-NewArrayNode n*))

fun *is-AbstractNewObjectNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractNewObjectNode n = ((*is-AbstractNewArrayNode n*) ∨ (*is-NewInstanceNode n*))

fun *is-IntegerDivRemNode* :: *IRNode* ⇒ *bool* **where**

is-IntegerDivRemNode n = ((*is-SignedDivNode n*) ∨ (*is-SignedRemNode n*))

fun *is-FixedBinaryNode* :: *IRNode* ⇒ *bool* **where**
is-FixedBinaryNode n = ((*is-IntegerDivRemNode n*))

fun *is-DeoptimizingFixedWithNextNode* :: *IRNode* ⇒ *bool* **where**
is-DeoptimizingFixedWithNextNode n = ((*is-AbstractNewObjectNode n*) ∨ (*is-FixedBinaryNode n*))

fun *is-AbstractMemoryCheckpoint* :: *IRNode* ⇒ *bool* **where**
is-AbstractMemoryCheckpoint n = ((*is-BytecodeExceptionNode n*) ∨ (*is-InvokeNode n*))

fun *is-AbstractStateSplit* :: *IRNode* ⇒ *bool* **where**
is-AbstractStateSplit n = ((*is-AbstractMemoryCheckpoint n*))

fun *is-AbstractMergeNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractMergeNode n = ((*is-LoopBeginNode n*) ∨ (*is-MergeNode n*))

fun *is-BeginStateSplitNode* :: *IRNode* ⇒ *bool* **where**
is-BeginStateSplitNode n = ((*is-AbstractMergeNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-LoopExitNode n*) ∨ (*is-StartNode n*))

fun *is-AbstractBeginNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractBeginNode n = ((*is-BeginNode n*) ∨ (*is-BeginStateSplitNode n*) ∨ (*is-KillingBeginNode n*))

fun *is-FixedWithNextNode* :: *IRNode* ⇒ *bool* **where**
is-FixedWithNextNode n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractStateSplit n*) ∨ (*is-AccessFieldNode n*) ∨ (*is-DeoptimizingFixedWithNextNode n*))

fun *is-WithExceptionNode* :: *IRNode* ⇒ *bool* **where**
is-WithExceptionNode n = ((*is-InvokeWithExceptionNode n*))

fun *is-ControlSplitNode* :: *IRNode* ⇒ *bool* **where**
is-ControlSplitNode n = ((*is-IfNode n*) ∨ (*is-WithExceptionNode n*))

fun *is-ControlSinkNode* :: *IRNode* ⇒ *bool* **where**
is-ControlSinkNode n = ((*is-ReturnNode n*) ∨ (*is-UnwindNode n*))

fun *is-AbstractEndNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractEndNode n = ((*is-EndNode n*) ∨ (*is-LoopEndNode n*))

fun *is-FixedNode* :: *IRNode* ⇒ *bool* **where**
is-FixedNode n = ((*is-AbstractEndNode n*) ∨ (*is-ControlSinkNode n*) ∨ (*is-ControlSplitNode n*) ∨ (*is-FixedWithNextNode n*))

fun *is-CallTargetNode* :: *IRNode* ⇒ *bool* **where**
is-CallTargetNode n = ((*is-MethodCallTargetNode n*))

fun *is-ValueNode* :: *IRNode* ⇒ *bool* **where**
is-ValueNode n = ((*is-CallTargetNode n*) ∨ (*is-FixedNode n*) ∨ (*is-FloatingNode n*))

fun *is-Node* :: *IRNode* ⇒ *bool* **where**
is-Node n = ((*is-ValueNode n*) ∨ (*is-VirtualState n*))

fun *is-MemoryKill* :: *IRNode* ⇒ *bool* **where**
is-MemoryKill n = ((*is-AbstractMemoryCheckpoint n*))

fun *is-NarrowableArithmeticNode* :: *IRNode* ⇒ *bool* **where**
is-NarrowableArithmeticNode n = ((*is-AbsNode n*) ∨ (*is-AddNode n*) ∨ (*is-AndNode n*) ∨ (*is-MulNode n*) ∨ (*is-NegateNode n*) ∨ (*is-NotNode n*) ∨ (*is-OrNode n*) ∨ (*is-ShiftNode n*) ∨ (*is-SubNode n*) ∨ (*is-XorNode n*))

fun *is-AnchoringNode* :: *IRNode* ⇒ *bool* **where**
is-AnchoringNode n = ((*is-AbstractBeginNode n*))

fun *is-DeoptBefore* :: *IRNode* ⇒ *bool* **where**
is-DeoptBefore n = ((*is-DeoptimizingFixedWithNextNode n*))

fun *is-IndirectCanonicalization* :: *IRNode* ⇒ *bool* **where**
is-IndirectCanonicalization n = ((*is-LogicNode n*))

fun *is-IterableNodeType* :: *IRNode* ⇒ *bool* **where**
is-IterableNodeType n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractMergeNode n*) ∨ (*is-FrameState n*) ∨ (*is-IfNode n*) ∨ (*is-IntegerDivRemNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-LoopBeginNode n*) ∨ (*is-LoopExitNode n*) ∨ (*is-MethodCallTargetNode n*) ∨ (*is-ParameterNode n*) ∨ (*is-ReturnNode n*) ∨ (*is-ShortCircuitOrNode n*))

fun *is-Invoke* :: *IRNode* ⇒ *bool* **where**
is-Invoke n = ((*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*))

fun *is-Proxy* :: *IRNode* ⇒ *bool* **where**
is-Proxy n = ((*is-ProxyNode n*))

fun *is-ValueProxy* :: *IRNode* ⇒ *bool* **where**
is-ValueProxy n = ((*is-PiNode n*) ∨ (*is-ValueProxyNode n*))

fun *is-ValueNodeInterface* :: *IRNode* ⇒ *bool* **where**
is-ValueNodeInterface n = ((*is-ValueNode n*))

fun *is-ArrayLengthProvider* :: *IRNode* ⇒ *bool* **where**
is-ArrayLengthProvider n = ((*is-AbstractNewArrayNode n*) ∨ (*is-ConstantNode n*))

fun *is-StampInverter* :: *IRNode* ⇒ *bool* **where**
is-StampInverter n = ((*is-IntegerConvertNode n*) ∨ (*is-NegateNode n*) ∨ (*is-NotNode*

$n))$

fun *is-GuardingNode* :: *IRNode* ⇒ *bool* **where**
is-GuardingNode n = ((*is-AbstractBeginNode n*))

fun *is-SingleMemoryKill* :: *IRNode* ⇒ *bool* **where**
is-SingleMemoryKill n = ((*is-BytecodeExceptionNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-KillingBeginNode n*) ∨ (*is-StartNode n*))

fun *is-LIRLowerable* :: *IRNode* ⇒ *bool* **where**
is-LIRLowerable n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractEndNode n*) ∨ (*is-AbstractMergeNode n*) ∨ (*is-BinaryOpLogicNode n*) ∨ (*is-CallTargetNode n*) ∨ (*is-ConditionalNode n*) ∨ (*is-ConstantNode n*) ∨ (*is-IfNode n*) ∨ (*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-IsNullNode n*) ∨ (*is-LoopBeginNode n*) ∨ (*is-PiNode n*) ∨ (*is-ReturnNode n*) ∨ (*is-SignedDivNode n*) ∨ (*is-SignedRemNode n*) ∨ (*is-UnaryOpLogicNode n*) ∨ (*is-UnwindNode n*))

fun *is-GuardedNode* :: *IRNode* ⇒ *bool* **where**
is-GuardedNode n = ((*is-FloatingGuardedNode n*))

fun *is-ArithmeticLIRLowerable* :: *IRNode* ⇒ *bool* **where**
is-ArithmeticLIRLowerable n = ((*is-AbsNode n*) ∨ (*is-BinaryArithmeticNode n*) ∨ (*is-IntegerConvertNode n*) ∨ (*is-NotNode n*) ∨ (*is-ShiftNode n*) ∨ (*is-UnaryArithmeticNode n*))

fun *is-SwitchFoldable* :: *IRNode* ⇒ *bool* **where**
is-SwitchFoldable n = ((*is-IfNode n*))

fun *is-VirtualizableAllocation* :: *IRNode* ⇒ *bool* **where**
is-VirtualizableAllocation n = ((*is-NewArrayNode n*) ∨ (*is-NewInstanceNode n*))

fun *is-Unary* :: *IRNode* ⇒ *bool* **where**
is-Unary n = ((*is-LoadFieldNode n*) ∨ (*is-LogicNegationNode n*) ∨ (*is-UnaryNode n*) ∨ (*is-UnaryOpLogicNode n*))

fun *is-FixedNodeInterface* :: *IRNode* ⇒ *bool* **where**
is-FixedNodeInterface n = ((*is-FixedNode n*))

fun *is-BinaryCommutative* :: *IRNode* ⇒ *bool* **where**
is-BinaryCommutative n = ((*is-AddNode n*) ∨ (*is-AndNode n*) ∨ (*is-IntegerEqualsNode n*) ∨ (*is-MulNode n*) ∨ (*is-OrNode n*) ∨ (*is-XorNode n*))

fun *is-Canonicalizable* :: *IRNode* ⇒ *bool* **where**
is-Canonicalizable n = ((*is-BytecodeExceptionNode n*) ∨ (*is-ConditionalNode n*) ∨ (*is-DynamicNewArrayNode n*) ∨ (*is-PhiNode n*) ∨ (*is-PiNode n*) ∨ (*is-ProxyNode n*) ∨ (*is-StoreFieldNode n*) ∨ (*is-ValueProxyNode n*))

fun *is-UncheckedInterfaceProvider* :: *IRNode* ⇒ *bool* **where**

is-UncheckedInterfaceProvider n = ((*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-LoadFieldNode n*) ∨ (*is-ParameterNode n*))

fun *is-Binary* :: *IRNode* ⇒ *bool* **where**
is-Binary n = ((*is-BinaryArithmeticNode n*) ∨ (*is-BinaryNode n*) ∨ (*is-BinaryOpLogicNode n*) ∨ (*is-CompareNode n*) ∨ (*is-FixedBinaryNode n*) ∨ (*is-ShortCircuitOrNode n*))

fun *is-ArithmeticOperation* :: *IRNode* ⇒ *bool* **where**
is-ArithmeticOperation n = ((*is-BinaryArithmeticNode n*) ∨ (*is-IntegerConvertNode n*) ∨ (*is-ShiftNode n*) ∨ (*is-UnaryArithmeticNode n*))

fun *is-ValueNumberable* :: *IRNode* ⇒ *bool* **where**
is-ValueNumberable n = ((*is-FloatingNode n*) ∨ (*is-ProxyNode n*))

fun *is-Lowerable* :: *IRNode* ⇒ *bool* **where**
is-Lowerable n = ((*is-AbstractNewObjectNode n*) ∨ (*is-AccessFieldNode n*) ∨ (*is-BytecodeExceptionNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-IntegerDivRemNode n*) ∨ (*is-UnwindNode n*))

fun *is-Virtualizable* :: *IRNode* ⇒ *bool* **where**
is-Virtualizable n = ((*is-IsNullNode n*) ∨ (*is-LoadFieldNode n*) ∨ (*is-PiNode n*) ∨ (*is-StoreFieldNode n*) ∨ (*is-ValueProxyNode n*))

fun *is-Simplifiable* :: *IRNode* ⇒ *bool* **where**
is-Simplifiable n = ((*is-AbstractMergeNode n*) ∨ (*is-BeginNode n*) ∨ (*is-IfNode n*) ∨ (*is-LoopExitNode n*) ∨ (*is-MethodCallTargetNode n*) ∨ (*is-NewArrayNode n*))

fun *is-StateSplit* :: *IRNode* ⇒ *bool* **where**
is-StateSplit n = ((*is-AbstractStateSplit n*) ∨ (*is-BeginStateSplitNode n*) ∨ (*is-StoreFieldNode n*))

fun *is-ConvertNode* :: *IRNode* ⇒ *bool* **where**
is-ConvertNode n = ((*is-IntegerConvertNode n*))

fun *is-sequential-node* :: *IRNode* ⇒ *bool* **where**
is-sequential-node (*StartNode* - -) = *True* |
is-sequential-node (*BeginNode* -) = *True* |
is-sequential-node (*KillingBeginNode* -) = *True* |
is-sequential-node (*LoopBeginNode* - - - -) = *True* |
is-sequential-node (*LoopExitNode* - - -) = *True* |
is-sequential-node (*MergeNode* - - -) = *True* |
is-sequential-node (*RefNode* -) = *True* |
is-sequential-node - = *False*

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

fun *is-same-ir-node-type* :: *IRNode* ⇒ *IRNode* ⇒ *bool* **where**

is-same-ir-node-type n1 n2 = (
  ((*is-AbsNode n1*) ∧ (*is-AbsNode n2*)) ∨
  ((*is-AddNode n1*) ∧ (*is-AddNode n2*)) ∨
  ((*is-AndNode n1*) ∧ (*is-AndNode n2*)) ∨
  ((*is-BeginNode n1*) ∧ (*is-BeginNode n2*)) ∨
  ((*is-BytecodeExceptionNode n1*) ∧ (*is-BytecodeExceptionNode n2*)) ∨
  ((*is-ConditionalNode n1*) ∧ (*is-ConditionalNode n2*)) ∨
  ((*is-ConstantNode n1*) ∧ (*is-ConstantNode n2*)) ∨
  ((*is-DynamicNewArrayNode n1*) ∧ (*is-DynamicNewArrayNode n2*)) ∨
  ((*is-EndNode n1*) ∧ (*is-EndNode n2*)) ∨
  ((*is-ExceptionObjectNode n1*) ∧ (*is-ExceptionObjectNode n2*)) ∨
  ((*is-FrameState n1*) ∧ (*is-FrameState n2*)) ∨
  ((*is-IfNode n1*) ∧ (*is-IfNode n2*)) ∨
  ((*is-IntegerBelowNode n1*) ∧ (*is-IntegerBelowNode n2*)) ∨
  ((*is-IntegerEqualsNode n1*) ∧ (*is-IntegerEqualsNode n2*)) ∨
  ((*is-IntegerLessThanNode n1*) ∧ (*is-IntegerLessThanNode n2*)) ∨
  ((*is-InvokeNode n1*) ∧ (*is-InvokeNode n2*)) ∨
  ((*is-InvokeWithExceptionNode n1*) ∧ (*is-InvokeWithExceptionNode n2*)) ∨
  ((*is-IsNullNode n1*) ∧ (*is-IsNullNode n2*)) ∨
  ((*is-KillingBeginNode n1*) ∧ (*is-KillingBeginNode n2*)) ∨
  ((*is-LoadFieldNode n1*) ∧ (*is-LoadFieldNode n2*)) ∨
  ((*is-LogicNegationNode n1*) ∧ (*is-LogicNegationNode n2*)) ∨
  ((*is-LoopBeginNode n1*) ∧ (*is-LoopBeginNode n2*)) ∨
  ((*is-LoopEndNode n1*) ∧ (*is-LoopEndNode n2*)) ∨
  ((*is-LoopExitNode n1*) ∧ (*is-LoopExitNode n2*)) ∨
  ((*is-MergeNode n1*) ∧ (*is-MergeNode n2*)) ∨
  ((*is-MethodCallTargetNode n1*) ∧ (*is-MethodCallTargetNode n2*)) ∨
  ((*is-MulNode n1*) ∧ (*is-MulNode n2*)) ∨
  ((*is-NegateNode n1*) ∧ (*is-NegateNode n2*)) ∨
  ((*is-NewArrayNode n1*) ∧ (*is-NewArrayNode n2*)) ∨
  ((*is-NewInstanceNode n1*) ∧ (*is-NewInstanceNode n2*)) ∨
  ((*is-NotNode n1*) ∧ (*is-NotNode n2*)) ∨
  ((*is-OrNode n1*) ∧ (*is-OrNode n2*)) ∨
  ((*is-ParameterNode n1*) ∧ (*is-ParameterNode n2*)) ∨
  ((*is-PiNode n1*) ∧ (*is-PiNode n2*)) ∨
  ((*is-ReturnNode n1*) ∧ (*is-ReturnNode n2*)) ∨
  ((*is-ShortCircuitOrNode n1*) ∧ (*is-ShortCircuitOrNode n2*)) ∨
  ((*is-SignedDivNode n1*) ∧ (*is-SignedDivNode n2*)) ∨
  ((*is-StartNode n1*) ∧ (*is-StartNode n2*)) ∨
  ((*is-StoreFieldNode n1*) ∧ (*is-StoreFieldNode n2*)) ∨
  ((*is-SubNode n1*) ∧ (*is-SubNode n2*)) ∨
  ((*is-UnwindNode n1*) ∧ (*is-UnwindNode n2*)) ∨
  ((*is-ValuePhiNode n1*) ∧ (*is-ValuePhiNode n2*)) ∨
  ((*is-ValueProxyNode n1*) ∧ (*is-ValueProxyNode n2*)) ∨
  ((*is-XorNode n1*) ∧ (*is-XorNode n2*)))

end

3 Stamp Typing

theory *Stamp*
imports *Values*
begin

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

datatype *Stamp* =
VoidStamp
| *IntegerStamp* (*stp-bits*: *nat*) (*stpi-lower*: *int*) (*stpi-upper*: *int*)

| *KlassPointerStamp* (*stp-nonNull*: *bool*) (*stp-alwaysNull*: *bool*)
| *MethodCountersPointerStamp* (*stp-nonNull*: *bool*) (*stp-alwaysNull*: *bool*)
| *MethodPointersStamp* (*stp-nonNull*: *bool*) (*stp-alwaysNull*: *bool*)
| *ObjectStamp* (*stp-type*: *string*) (*stp-exactType*: *bool*) (*stp-nonNull*: *bool*) (*stp-alwaysNull*: *bool*)
| *RawPointerStamp* (*stp-nonNull*: *bool*) (*stp-alwaysNull*: *bool*)
| *IllegalStamp*

fun *bit-bounds* :: *nat* ⇒ (*int* × *int*) **where**
bit-bounds bits = (((2 ^ *bits*) *div* 2) ∗ −1, ((2 ^ *bits*) *div* 2) − 1)

— A stamp which includes the full range of the type
fun *unrestricted-stamp* :: *Stamp* ⇒ *Stamp* **where**
unrestricted-stamp VoidStamp = *VoidStamp* |
unrestricted-stamp (*IntegerStamp bits lower upper*) = (*IntegerStamp bits* (*fst* (*bit-bounds bits*)) (*snd* (*bit-bounds bits*))) |

unrestricted-stamp (*KlassPointerStamp nonNull alwaysNull*) = (*KlassPointerStamp False False*) |
unrestricted-stamp (*MethodCountersPointerStamp nonNull alwaysNull*) = (*MethodCountersPointerStamp False False*) |
unrestricted-stamp (*MethodPointersStamp nonNull alwaysNull*) = (*MethodPointersStamp False False*) |
unrestricted-stamp (*ObjectStamp type exactType nonNull alwaysNull*) = (*ObjectStamp* '''' *False False False*) |
unrestricted-stamp - = *IllegalStamp*

fun *is-stamp-unrestricted* :: *Stamp* ⇒ *bool* **where**

```
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

   empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
'''' True True False) |
  empty-stamp stamp = IllegalStamp

fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False

— Calculate the meet stamp of two stamps
fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
  meet VoidStamp VoidStamp = VoidStamp |
  meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (min l1 l2) (max u1 u2))
  ) |

  meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
   meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
    MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet s1 s2 = IllegalStamp

— Calculate the join stamp of two stamps
fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
```

```
  ) |

  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp
```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the asConstant function converts the stamp to a value where one can be inferred.

```
fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal64 (word-of-int l) else
UndefVal) |
  asConstant - = UndefVal
```

— Determine if two stamps never have value overlaps i.e. their join is empty

```
fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)
```

— Determine if two stamps must always be the same value i.e. two equal constants

```
fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal32 v) = (IntegerStamp (nat 32) (sint v) (sint v)) |
  constantAsStamp (IntVal64 v) = (IntegerStamp (nat 64) (sint v) (sint v)) |

  constantAsStamp - = IllegalStamp
```

— Define when a runtime value is valid for a stamp

```
fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal32 v) (IntegerStamp b l h) = (b=32 ∧ (sint v ≥ l) ∧ (sint v ≤
h)) |
  valid-value (IntVal64 v) (IntegerStamp b l h) = (b=64 ∧ (sint v ≥ l) ∧ (sint v ≤
```

h)) |

valid-value (*ObjRef ref*) (*ObjectStamp klass exact nonNull alwaysNull*) = *False* |
valid-value stamp val = *False*

fun *compatible* :: *Stamp* ⇒ *Stamp* ⇒ *bool* **where**
compatible (*IntegerStamp b1* - -) (*IntegerStamp b2* - -) = (*b1* = *b2*) |
compatible (*VoidStamp*) (*VoidStamp*) = *True* |
compatible - - = *False*

fun *stamp-under* :: *Stamp* ⇒ *Stamp* ⇒ *bool* **where**
stamp-under x y = ((*stpi-upper x*) < (*stpi-lower y*))

— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.
definition *default-stamp* :: *Stamp* **where**
default-stamp = (*unrestricted-stamp* (*IntegerStamp 32 0 0*))

end

4 Graph Representation

theory *IRGraph*
imports
IRNodeHierarchy
Stamp
HOL−Library.FSet
HOL.Relation
begin

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

typedef *IRGraph* = {*g* :: *ID* ⇀ (*IRNode* × *Stamp*) . *finite* (*dom g*)}
proof −
have *finite*(*dom*(*Map.empty*)) ∧ *ran Map.empty* = {} **by** *auto*
then show *?thesis*
by *fastforce*
qed

setup-lifting *type-definition-IRGraph*

lift-definition *ids* :: *IRGraph* ⇒ *ID set*
is λ*g*. {*nid* ∈ *dom g* . ∄*s*. *g nid* = (*Some* (*NoNode*, *s*))} **.**

fun *with-default* :: $'c \Rightarrow ('b \Rightarrow 'c) \Rightarrow (('a \rightharpoonup 'b) \Rightarrow 'a \Rightarrow 'c)$ **where**
with-default def conv = $(\lambda m\ k.$
$(case\ m\ k\ of\ None \Rightarrow def \mid Some\ v \Rightarrow conv\ v))$

lift-definition *kind* :: *IRGraph* $\Rightarrow$ $(ID \Rightarrow IRNode)$
is *with-default NoNode fst* **.**

lift-definition *stamp* :: *IRGraph* $\Rightarrow$ *ID* $\Rightarrow$ *Stamp*
is *with-default IllegalStamp snd* **.**

lift-definition *add-node* :: *ID* $\Rightarrow$ $(IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$
is $\lambda nid\ k\ g.$ *if fst k* = *NoNode then g else* $g(nid \mapsto k)$ **by** *simp*

lift-definition *remove-node* :: *ID* $\Rightarrow$ *IRGraph* $\Rightarrow$ *IRGraph*
is $\lambda nid\ g.\ g(nid := None)$ **by** *simp*

lift-definition *replace-node* :: *ID* $\Rightarrow$ $(IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$
is $\lambda nid\ k\ g.$ *if fst k* = *NoNode then g else* $g(nid \mapsto k)$ **by** *simp*

lift-definition *as-list* :: *IRGraph* $\Rightarrow$ $(ID \times IRNode \times Stamp)$ *list*
is $\lambda g.$ *map* $(\lambda k.\ (k,$ *the* $(g\ k)))$ $(sorted\text{-}list\text{-}of\text{-}set\ (dom\ g))$ **.**

fun *no-node* :: $(ID \times (IRNode \times Stamp))$ *list* $\Rightarrow$ $(ID \times (IRNode \times Stamp))$ *list*
where
no-node g = *filter* $(\lambda n.\ fst\ (snd\ n) \neq NoNode)\ g$

lift-definition *irgraph* :: $(ID \times (IRNode \times Stamp))$ *list* $\Rightarrow$ *IRGraph*
is *map-of* $\circ$ *no-node*
by (*simp add*: *finite-dom-map-of*)

definition *as-set* :: *IRGraph* $\Rightarrow$ $(ID \times (IRNode \times Stamp))$ *set* **where**
as-set g = $\{(n,$ *kind g n*, *stamp g n*$) \mid n\ .\ n \in ids\ g\}$

definition *domain-subtraction* :: $'a$ *set* $\Rightarrow$ $('a \times 'b)$ *set* $\Rightarrow$ $('a \times 'b)$ *set*
(**infix** $\trianglelefteq$ *30*) **where**
domain-subtraction s r = $\{(x,\ y)\ .\ (x,\ y) \in r \wedge x \notin s\}$

notation (*latex*)
domain-subtraction (- $\trianglelefteq$ -)

code-datatype *irgraph*

fun *filter-none* **where**
filter-none g = $\{nid \in dom\ g\ .\ \nexists s.\ g\ nid = (Some\ (NoNode,\ s))\}$

lemma *no-node-clears*:
res = *no-node xs* $\longrightarrow$ $(\forall x \in set\ res.\ fst\ (snd\ x) \neq NoNode)$

by *simp*

lemma *dom-eq*:
assumes $\forall x \in set\ xs.\ fst\ (snd\ x) \neq NoNode$
shows *filter-none* (*map-of xs*) = *dom* (*map-of xs*)
unfolding *filter-none.simps* **using** *assms map-of-SomeD*
by *fastforce*

lemma *fil-eq*:
filter-none (*map-of* (*no-node xs*)) = *set* (*map fst* (*no-node xs*))
using *no-node-clears*
by (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

lemma *irgraph*[*code*]: *ids* (*irgraph m*) = *set* (*map fst* (*no-node m*))
unfolding *irgraph-def ids-def* **using** *fil-eq*
by (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq*)

lemma [*code*]: *Rep-IRGraph* (*irgraph m*) = *map-of* (*no-node m*)
using *Abs-IRGraph-inverse*
by (*simp add*: *irgraph.rep-eq*)

— Get the inputs set of a given node ID
fun *inputs* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
inputs g nid = *set* (*inputs-of* (*kind g nid*))
— Get the successor set of a given node ID
fun *succ* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
succ g nid = *set* (*successors-of* (*kind g nid*))
— Gives a relation between node IDs - between a node and its input nodes
fun *input-edges* :: *IRGraph* ⇒ *ID rel* **where**
input-edges g = $(\bigcup\ i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})$
— Find all the nodes in the graph that have nid as an input - the usages of nid
fun *usages* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
usages g nid = $\{j.\ j \in ids\ g \wedge (j,nid) \in input\text{-}edges\ g\}$
fun *successor-edges* :: *IRGraph* ⇒ *ID rel* **where**
successor-edges g = $(\bigcup\ i \in ids\ g.\ \{(i,j)|j\ .\ j \in (succ\ \ g\ i)\})$
fun *predecessors* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
predecessors g nid = $\{j.\ j \in ids\ g \wedge (j,nid) \in successor\text{-}edges\ g\}$
fun *nodes-of* :: *IRGraph* ⇒ (*IRNode* ⇒ *bool*) ⇒ *ID set* **where**
nodes-of g sel = $\{nid \in ids\ g\ .\ sel\ (kind\ g\ nid)\}$
fun *edge* :: (*IRNode* ⇒ *'a*) ⇒ *ID* ⇒ *IRGraph* ⇒ *'a* **where**
edge sel nid g = *sel* (*kind g nid*)

fun *filtered-inputs* :: *IRGraph* ⇒ *ID* ⇒ (*IRNode* ⇒ *bool*) ⇒ *ID list* **where**
filtered-inputs g nid f = *filter* (*f* ∘ (*kind g*)) (*inputs-of* (*kind g nid*))
fun *filtered-successors* :: *IRGraph* ⇒ *ID* ⇒ (*IRNode* ⇒ *bool*) ⇒ *ID list* **where**
filtered-successors g nid f = *filter* (*f* ∘ (*kind g*)) (*successors-of* (*kind g nid*))
fun *filtered-usages* :: *IRGraph* ⇒ *ID* ⇒ (*IRNode* ⇒ *bool*) ⇒ *ID set* **where**

filtered-usages g nid f = {*n* ∈ (*usages g nid*). *f* (*kind g n*)}

fun *is-empty* :: *IRGraph* ⇒ *bool* **where**
is-empty g = (*ids g* = {})

fun *any-usage* :: *IRGraph* ⇒ *ID* ⇒ *ID* **where**
any-usage g nid = *hd* (*sorted-list-of-set* (*usages g nid*))

lemma *ids-some*[*simp*]: *x* ∈ *ids g* ⟷ *kind g x* ≠ *NoNode*
proof −
have *that*: *x* ∈ *ids g* ⟶ *kind g x* ≠ *NoNode*
using *ids.rep-eq kind.rep-eq* **by** *force*
have *kind g x* ≠ *NoNode* ⟶ *x* ∈ *ids g*
unfolding *with-default.simps kind-def ids-def*
by (*cases Rep-IRGraph g x* = *None*; *auto*)
from *this that* **show** *?thesis* **by** *auto*
qed

lemma *not-in-g*:
assumes *nid* ∉ *ids g*
shows *kind g nid* = *NoNode*
using *assms ids-some* **by** *blast*

lemma *valid-creation*[*simp*]:
finite (*dom g*) ⟷ *Rep-IRGraph* (*Abs-IRGraph g*) = *g*
using *Abs-IRGraph-inverse* **by** (*metis Rep-IRGraph mem-Collect-eq*)

lemma [*simp*]: *finite* (*ids g*)
using *Rep-IRGraph ids.rep-eq* **by** *simp*

lemma [*simp*]: *finite* (*ids* (*irgraph g*))
by (*simp add*: *finite-dom-map-of*)

lemma [*simp*]: *finite* (*dom g*) ⟶ *ids* (*Abs-IRGraph g*) = {*nid* ∈ *dom g* . ∄*s*. *g nid* = *Some* (*NoNode*, *s*)}
using *ids.rep-eq* **by** *simp*

lemma [*simp*]: *finite* (*dom g*) ⟶ *kind* (*Abs-IRGraph g*) = (λ*x* . (*case g x of None* ⇒ *NoNode* | *Some n* ⇒ *fst n*))
by (*simp add*: *kind.rep-eq*)

lemma [*simp*]: *finite* (*dom g*) ⟶ *stamp* (*Abs-IRGraph g*) = (λ*x* . (*case g x of None* ⇒ *IllegalStamp* | *Some n* ⇒ *snd n*))
using *stamp.abs-eq stamp.rep-eq* **by** *auto*

lemma [*simp*]: *ids* (*irgraph g*) = *set* (*map fst* (*no-node g*))
using *irgraph* **by** *auto*

lemma [*simp*]: *kind* (*irgraph g*) = (λ*nid*. (*case* (*map-of* (*no-node g*)) *nid of None*

⇒ *NoNode* | *Some n* ⇒ *fst n*))
using *irgraph.rep-eq kind.transfer kind.rep-eq* **by** *auto*

lemma [*simp*]: *stamp* (*irgraph g*) = (λ*nid*. (*case* (*map-of* (*no-node g*)) *nid of None* ⇒ *IllegalStamp* | *Some n* ⇒ *snd n*))
using *irgraph.rep-eq stamp.transfer stamp.rep-eq* **by** *auto*

lemma *map-of-upd*: (*map-of g*)(*k* ↦ *v*) = (*map-of* ((*k*, *v*) # *g*))
by *simp*

lemma [*code*]: *replace-node nid k* (*irgraph g*) = (*irgraph* (((*nid*, *k*) # *g*)))
proof (*cases fst k* = *NoNode*)
case *True*
then show *?thesis*
by (*metis* (*mono-tags*, *lifting*) *Rep-IRGraph-inject filter.simps*(*2*) *irgraph.abs-eq no-node.simps replace-node.rep-eq snd-conv*)
next
case *False*
then show *?thesis* **unfolding** *irgraph-def replace-node-def no-node.simps*
by (*smt* (*verit*, *best*) *Rep-IRGraph comp-apply eq-onp-same-args filter.simps*(*2*) *id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims replace-node.abs-eq replace-node-def snd-eqD*)
qed

lemma [*code*]: *add-node nid k* (*irgraph g*) = (*irgraph* (((*nid*, *k*) # *g*)))
by (*smt* (*z3*) *Rep-IRGraph-inject add-node.rep-eq filter.simps*(*2*) *irgraph.rep-eq map-of-upd no-node.simps snd-conv*)

lemma *add-node-lookup*:
gup = *add-node nid* (*k*, *s*) *g* ⟶
(*if k* ≠ *NoNode then kind gup nid* = *k* ∧ *stamp gup nid* = *s else kind gup nid* = *kind g nid*)
proof (*cases k* = *NoNode*)
case *True*
then show *?thesis*
by (*simp add*: *add-node.rep-eq kind.rep-eq*)
next
case *False*
then show *?thesis*
by (*simp add*: *kind.rep-eq add-node.rep-eq stamp.rep-eq*)
qed

lemma *remove-node-lookup*:
gup = *remove-node nid g* ⟶ *kind gup nid* = *NoNode* ∧ *stamp gup nid* = *IllegalStamp*
by (*simp add*: *kind.rep-eq remove-node.rep-eq stamp.rep-eq*)

lemma *replace-node-lookup*[*simp*]:

gup = replace-node nid (k, s) g ∧ k ≠ NoNode ⟶ kind gup nid = k ∧ stamp gup nid = s
by (*simp add*: *replace-node.rep-eq kind.rep-eq stamp.rep-eq*)

lemma *replace-node-unchanged*:
gup = replace-node nid (k, s) g ⟶ (∀ n ∈ (ids g − {nid}) . n ∈ ids g ∧ n ∈ ids gup ∧ kind g n = kind gup n)
by (*simp add*: *kind.rep-eq replace-node.rep-eq*)

4.0.1 Example Graphs

Example 1: empty graph (just a start and end node)

definition *start-end-graph*:: *IRGraph* **where**
start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode None None, VoidStamp)]

Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

definition *eg2-sq* :: *IRGraph* **where**
eg2-sq = irgraph [
(0, StartNode None 5, VoidStamp),
(1, ParameterNode 0, default-stamp),
(4, MulNode 1 1, default-stamp),
(5, ReturnNode (Some 4) None, default-stamp)
]

value *input-edges eg2-sq*
value *usages eg2-sq 1*

end

4.1 Control-flow Graph Traversal

theory
Traversal
imports
IRGraph
begin

type-synonym *Seen = ID set*

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

fun *nextEdge* :: *Seen ⇒ ID ⇒ IRGraph ⇒ ID option* **where**

```
nextEdge seen nid g =
  (let nids = (filter (λnid'. nid' ∉ seen) (successors-of (kind g nid))) in
   (if length nids > 0 then Some (hd nids) else None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends - -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
        then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))
      )
  )
```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the ConditionalElimination phase

```
type-synonym 'a TraversalState = (ID × Seen × 'a)

inductive Step
  :: ('a TraversalState ⇒ 'a) ⇒ IRGraph ⇒ 'a TraversalState ⇒ 'a TraversalState
option ⇒ bool
  for sa g where
  — Hit a BeginNode with an IfNode predecessor which represents the start of a
basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find
the first and only predecessor. 3. Extract condition from the preceding IfNode. 4.
Negate condition if the begin node is second branch (we've taken the else branch of
the condition) 5. Add the condition or the negated condition to stack 6. Perform
any stamp updates based on the condition using the registerNewCondition function
and place them on the top of the stack of stamp information
  ⟦kind g nid = BeginNode nid';

    nid ∉ seen;
    seen' = {nid} ∪ seen;

    Some ifcond = pred g nid;
    kind g ifcond = IfNode cond t f;

    analysis' = sa (nid, seen, analysis)⟧
  ⟹ Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |
```

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack
⟦*kind g nid* = *EndNode*;

nid ∉ *seen*;
seen' = {*nid*} ∪ *seen*;

nid' = *any-usage g nid*;

analysis' = *sa* (*nid*, *seen*, *analysis*)⟧
⟹ *Step sa g* (*nid*, *seen*, *analysis*) (*Some* (*nid'*, *seen'*, *analysis'*)) |

— We can find a successor edge that is not in seen, go there
⟦¬(*is-EndNode* (*kind g nid*));
¬(*is-BeginNode* (*kind g nid*));

nid ∉ *seen*;
seen' = {*nid*} ∪ *seen*;

Some nid' = *nextEdge seen' nid g*;

analysis' = *sa* (*nid*, *seen*, *analysis*)⟧
⟹ *Step sa g* (*nid*, *seen*, *analysis*) (*Some* (*nid'*, *seen'*, *analysis'*)) |

— We can cannot find a successor edge that is not in seen, give back None
⟦¬(*is-EndNode* (*kind g nid*));
¬(*is-BeginNode* (*kind g nid*));

nid ∉ *seen*;
seen' = {*nid*} ∪ *seen*;

None = *nextEdge seen' nid g*⟧
⟹ *Step sa g* (*nid*, *seen*, *analysis*) *None* |

— We've already seen this node, give back None
⟦*nid* ∈ *seen*⟧ ⟹ *Step sa g* (*nid*, *seen*, *analysis*) *None*

code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool*) *Step* .

end

4.2 Structural Graph Comparison

theory
Comparison
imports
IRGraph
begin

We introduce a form of structural graph comparison that is able to assert

structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

fun *find-ref-nodes* :: *IRGraph* ⇒ (*ID* ⇀ *ID*) **where**
find-ref-nodes g = *map-of*
(*map* (λ*n*. (*n*, *ir-ref* (*kind g n*))) (*filter* (λ*id*. *is-RefNode* (*kind g id*)) (*sorted-list-of-set* (*ids g*))))

fun *replace-ref-nodes* :: *IRGraph* ⇒ (*ID* ⇀ *ID*) ⇒ *ID list* ⇒ *ID list* **where**
replace-ref-nodes g m xs = *map* (λ*id*. (*case* (*m id*) *of Some other* ⇒ *other* | *None* ⇒ *id*)) *xs*

fun *find-next* :: *ID list* ⇒ *ID set* ⇒ *ID option* **where**
find-next to-see seen = (*let l* = (*filter* (λ*nid*. *nid* ∉ *seen*) *to-see*)
in (*case l of* [] ⇒ *None* | *xs* ⇒ *Some* (*hd xs*)))

inductive *reachables* :: *IRGraph* ⇒ *ID list* ⇒ *ID set* ⇒ *ID set* ⇒ *bool* **where**
reachables g [] {} {} |
⟦*None* = *find-next to-see seen*⟧ ⟹ *reachables g to-see seen seen* |
⟦*Some n* = *find-next to-see seen*;
node = *kind g n*;
new = (*inputs-of node*) @ (*successors-of node*);
reachables g (*to-see* @ *new*) ({*n*} ∪ *seen*) *seen*′ ⟧ ⟹ *reachables g to-see seen seen*′

code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool*) [*show-steps*,*show-mode-inference*,*show-intermediate-results*]

reachables .

inductive *nodeEq* :: (*ID* ⇀ *ID*) ⇒ *IRGraph* ⇒ *ID* ⇒ *IRGraph* ⇒ *ID* ⇒ *bool* **where**
⟦ *kind g1 n1* = *RefNode ref*; *nodeEq m g1 ref g2 n2* ⟧ ⟹ *nodeEq m g1 n1 g2 n2* |
⟦ *x* = *kind g1 n1*;
y = *kind g2 n2*;
is-same-ir-node-type x y;
replace-ref-nodes g1 m (*successors-of x*) = *successors-of y*;
replace-ref-nodes g1 m (*inputs-of x*) = *inputs-of y* ⟧
⟹ *nodeEq m g1 n1 g2 n2*

code-pred [*show-modes*] *nodeEq* .

fun *diffNodesGraph* :: *IRGraph* ⇒ *IRGraph* ⇒ *ID set* **where**
diffNodesGraph g1 g2 = (*let refNodes* = *find-ref-nodes g1 in*
{ *n* . *n* ∈ *Predicate.the* (*reachables-i-i-i-o g1* [*0*] {}) ∧ (*case refNodes n of Some* - ⇒ *False* | - ⇒ *True*) ∧ ¬(*nodeEq refNodes g1 n g2 n*)})

fun *diffNodesInfo* :: *IRGraph* ⇒ *IRGraph* ⇒ (*ID* × *IRNode* × *IRNode*) *set* **where**
diffNodesInfo g1 g2 = {(*nid*, *kind g1 nid*, *kind g2 nid*) | *nid* . *nid* ∈ *diffNodesGraph g1 g2*}

fun *eqGraph* :: *IRGraph* ⇒ *IRGraph* ⇒ *bool* **where**
eqGraph isabelle-graph graal-graph = ((*diffNodesGraph isabelle-graph graal-graph*) = {})

end

5 Data-flow Semantics

theory *IRTreeEval*
imports
Graph.Values
Graph.Stamp
begin

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

type-synonym *ID* = *nat*
type-synonym *MapState* = *ID* ⇒ *Value*
type-synonym *Params* = *Value list*

definition *new-map-state* :: *MapState* **where**
new-map-state = (λ*x*. *UndefVal*)

5.1 Data-flow Tree Representation

datatype *IRUnaryOp* =
UnaryAbs
| *UnaryNeg*
| *UnaryNot*
| *UnaryLogicNegation*
| *UnaryNarrow* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*)

```
  | UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)
  | UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)

datatype IRBinaryOp =
    BinAdd
  | BinMul
  | BinSub
  | BinAnd
  | BinOr
  | BinXor
  | BinLeftShift
  | BinRightShift
  | BinURightShift
  | BinIntegerEquals
  | BinIntegerLessThan
  | BinIntegerBelow

datatype (discs-sels) IRExpr =
    UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
  | BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
  | ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)

  | ParameterExpr (ir-index: nat) (ir-stamp: Stamp)


  | LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

  | ConstantExpr (ir-const: Value)
  | ConstantVar (ir-name: string)
  | VariableExpr (ir-name: string) (ir-stamp: Stamp)

fun is-ground :: IRExpr ⇒ bool where
  is-ground (UnaryExpr op e) = is-ground e |
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 ∧ is-ground e2) |
  is-ground (ConditionalExpr b e1 e2) = (is-ground b ∧ is-ground e1 ∧ is-ground
e2) |
  is-ground (ParameterExpr i s) = True |
  is-ground (LeafExpr n s) = True |
  is-ground (ConstantExpr v) = True |
  is-ground (ConstantVar name) = False |
  is-ground (VariableExpr name s) = False

typedef GroundExpr = { e :: IRExpr . is-ground e }
  using is-ground.simps(6) by blast


fun stamp-unary :: IRUnaryOp ⇒ Stamp ⇒ Stamp where
  stamp-unary op (IntegerStamp b lo hi) = unrestricted-stamp (IntegerStamp b lo
```

hi) |

stamp-unary op - = IllegalStamp

definition *fixed-32* :: *IRBinaryOp set* **where**
fixed-32 = {*BinIntegerEquals*, *BinIntegerLessThan*, *BinIntegerBelow*}

fun *stamp-binary* :: *IRBinaryOp* ⇒ *Stamp* ⇒ *Stamp* ⇒ *Stamp* **where**
stamp-binary op (*IntegerStamp b1 lo1 hi1*) (*IntegerStamp b2 lo2 hi2*) =
(*case op* ∈ *fixed-32 of True* ⇒ *unrestricted-stamp* (*IntegerStamp 32 lo1 hi1*) |
False ⇒
(*if* (*b1* = *b2*) *then unrestricted-stamp* (*IntegerStamp b1 lo1 hi1*) *else IllegalStamp*)) |

stamp-binary op - - = IllegalStamp

fun *stamp-expr* :: *IRExpr* ⇒ *Stamp* **where**
stamp-expr (*UnaryExpr op x*) = *stamp-unary op* (*stamp-expr x*) |
stamp-expr (*BinaryExpr bop x y*) = *stamp-binary bop* (*stamp-expr x*) (*stamp-expr y*) |
stamp-expr (*ConstantExpr val*) = *constantAsStamp val* |
stamp-expr (*LeafExpr i s*) = *s* |
stamp-expr (*ParameterExpr i s*) = *s* |
stamp-expr (*ConditionalExpr c t f*) = *meet* (*stamp-expr t*) (*stamp-expr f*)

export-code *stamp-unary stamp-binary stamp-expr*

5.2 Data-flow Tree Evaluation

fun *unary-eval* :: *IRUnaryOp* ⇒ *Value* ⇒ *Value* **where**
unary-eval UnaryAbs v = *intval-abs v* |
unary-eval UnaryNeg v = *intval-negate v* |
unary-eval UnaryNot v = *intval-not v* |
unary-eval UnaryLogicNegation v = *intval-logic-negation v* |
unary-eval op v1 = *UndefVal*

fun *bin-eval* :: *IRBinaryOp* ⇒ *Value* ⇒ *Value* ⇒ *Value* **where**
bin-eval BinAdd v1 v2 = *intval-add v1 v2* |
bin-eval BinMul v1 v2 = *intval-mul v1 v2* |
bin-eval BinSub v1 v2 = *intval-sub v1 v2* |
bin-eval BinAnd v1 v2 = *intval-and v1 v2* |
bin-eval BinOr v1 v2 = *intval-or v1 v2* |
bin-eval BinXor v1 v2 = *intval-xor v1 v2* |
bin-eval BinLeftShift v1 v2 = *intval-left-shift v1 v2* |
bin-eval BinRightShift v1 v2 = *intval-right-shift v1 v2* |
bin-eval BinURightShift v1 v2 = *intval-uright-shift v1 v2* |
bin-eval BinIntegerEquals v1 v2 = *intval-equals v1 v2* |
bin-eval BinIntegerLessThan v1 v2 = *intval-less-than v1 v2* |
bin-eval BinIntegerBelow v1 v2 = *intval-below v1 v2*

inductive *not-undef-or-fail* :: *Value* ⇒ *Value* ⇒ *bool* **where**
⟦*value* ≠ *UndefVal*⟧ ⟹ *not-undef-or-fail value value*

notation (*latex* **output**)
not-undef-or-fail (- = -)

inductive
evaltree :: *MapState* ⇒ *Params* ⇒ *IRExpr* ⇒ *Value* ⇒ *bool* ([-,-] ⊢ - ↦ - 55)
for *m p* **where**

ConstantExpr:
⟦*valid-value c* (*constantAsStamp c*)⟧
⟹ [*m*,*p*] ⊢ (*ConstantExpr c*) ↦ *c* |

ParameterExpr:
⟦*i* < *length p*; *valid-value* (*p*!*i*) *s*⟧
⟹ [*m*,*p*] ⊢ (*ParameterExpr i s*) ↦ *p*!*i* |

ConditionalExpr:
⟦[*m*,*p*] ⊢ *ce* ↦ *cond*;
branch = (*if val-to-bool cond then te else fe*);
[*m*,*p*] ⊢ *branch* ↦ *v*;
v ≠ *UndefVal*⟧
⟹ [*m*,*p*] ⊢ (*ConditionalExpr ce te fe*) ↦ *v* |

UnaryExpr:
⟦[*m*,*p*] ⊢ *xe* ↦ *v*;
result = (*unary-eval op v*);
result ≠ *UndefVal*⟧
⟹ [*m*,*p*] ⊢ (*UnaryExpr op xe*) ↦ *result* |

BinaryExpr:
⟦[*m*,*p*] ⊢ *xe* ↦ *x*;
[*m*,*p*] ⊢ *ye* ↦ *y*;
result = (*bin-eval op x y*);
result ≠ *UndefVal*⟧
⟹ [*m*,*p*] ⊢ (*BinaryExpr op xe ye*) ↦ *result* |

LeafExpr:
⟦*val* = *m n*;
valid-value val s⟧
⟹ [*m*,*p*] ⊢ *LeafExpr n s* ↦ *val*

code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool as evalT*)
[*show-steps*,*show-mode-inference*,*show-intermediate-results*]
evaltree .

inductive
evaltrees :: *MapState* ⇒ *Params* ⇒ *IRExpr list* ⇒ *Value list* ⇒ *bool* ([-,-] ⊢ - ↦$_L$ - *55*)
for *m p* **where**

EvalNil:
[*m*,*p*] ⊢ [] ↦$_L$ [] |

EvalCons:
⟦[*m*,*p*] ⊢ *x* ↦ *xval*;
[*m*,*p*] ⊢ *yy* ↦$_L$ *yyval*⟧
⟹ [*m*,*p*] ⊢ (*x*#*yy*) ↦$_L$ (*xval*#*yyval*)

code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool as evalTs*)
evaltrees **.**

definition *sq-param0* :: *IRExpr* **where**
sq-param0 = *BinaryExpr BinMul*
(*ParameterExpr 0* (*IntegerStamp 32* (− *2147483648*) *2147483647*))
(*ParameterExpr 0* (*IntegerStamp 32* (− *2147483648*) *2147483647*))

values {*v*. *evaltree new-map-state* [*IntVal32 5*] *sq-param0 v*}

declare *evaltree.intros* [*intro*]
declare *evaltrees.intros* [*intro*]

5.3 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

definition *equiv-exprs* :: *IRExpr* ⇒ *IRExpr* ⇒ *bool* (- ≐ - *55*) **where**
(*e1* ≐ *e2*) = (∀ *m p v*. (([*m*,*p*] ⊢ *e1* ↦ *v*) ⟷ ([*m*,*p*] ⊢ *e2* ↦ *v*)))

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv_Relations), so that we can reuse standard results about equivalence relations.

lemma *equivp equiv-exprs*
apply (*auto simp add*: *equivp-def equiv-exprs-def*)
by (*metis equiv-exprs-def*)+

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation *IRExpr* :: *preorder* **begin**

notation *less-eq* (**infix** $\sqsubseteq$ *65*)

definition
le-expr-def [*simp*]:
$(e_2 \leq e_1) \longleftrightarrow (\forall\ m\ p\ v.\ (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))$

definition
lt-expr-def [*simp*]:
$(e_1 < e_2) \longleftrightarrow (e_1 \leq e_2 \wedge \neg\ (e_1 \doteq e_2))$

instance proof
fix $x\ y\ z$ :: *IRExpr*
show $x < y \longleftrightarrow x \leq y \wedge \neg\ (y \leq x)$ **by** (*simp add*: *equiv-exprs-def*; *auto*)
show $x \leq x$ **by** *simp*
show $x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z$ **by** *simp*
qed

end

abbreviation (**output**) *Refines* :: *IRExpr* $\Rightarrow$ *IRExpr* $\Rightarrow$ *bool* (**infix** $\sqsupseteq$ *64*)
where $e_1 \sqsupseteq e_2 \equiv (e_2 \leq e_1)$

end

5.4 Data-flow Tree Theorems

theory *IRTreeEvalThms*
imports
IRTreeEval
begin

5.4.1 Deterministic Data-flow Evaluation

lemma *evalDet*:
$[m,p] \vdash e \mapsto v_1 \Longrightarrow$
$[m,p] \vdash e \mapsto v_2 \Longrightarrow$
$v_1 = v_2$
apply (*induction arbitrary*: v_2 *rule*: *evaltree.induct*)
by (*elim EvalTreeE*; *auto*)+

lemma *evalAllDet*:
$[m,p] \vdash e \mapsto_L v1 \Longrightarrow$
$[m,p] \vdash e \mapsto_L v2 \Longrightarrow$
$v1 = v2$
apply (*induction arbitrary*: *v2 rule*: *evaltrees.induct*)
apply (*elim EvalTreeE*; *auto*)
using *evalDet* **by** *force*

5.4.2 Evaluation Results are Valid

A valid value cannot be $UndefVal$.

lemma *valid-not-undef*:
assumes *a1*: *valid-value val s*
assumes *a2*: *s* ≠ *VoidStamp*
shows *val* ≠ *UndefVal*
apply (*rule valid-value.elims*(*1*)[*of val s True*])
using *a1 a2* **by** *auto*

lemma *valid-VoidStamp*[*elim*]:
shows *valid-value val VoidStamp* ⟹
val = *UndefVal*
using *valid-value.simps* **by** *metis*

lemma *valid-ObjStamp*[*elim*]:
shows *valid-value val* (*ObjectStamp klass exact nonNull alwaysNull*) ⟹
(∃ *v*. *val* = *ObjRef v*)
using *valid-value.simps* **by** (*metis val-to-bool.cases*)

lemma *valid-int32*[*elim*]:
shows *valid-value val* (*IntegerStamp 32 l h*) ⟹
(∃ *v*. *val* = *IntVal32 v*)
apply (*rule val-to-bool.cases*[*of val*])
using *Value.distinct* **by** *simp*+

lemma *valid-int64*[*elim*]:
shows *valid-value val* (*IntegerStamp 64 l h*) ⟹
(∃ *v*. *val* = *IntVal64 v*)
apply (*rule val-to-bool.cases*[*of val*])
using *Value.distinct* **by** *simp*+

lemmas *valid-value-elims* =
valid-VoidStamp
valid-ObjStamp
valid-int32
valid-int64

lemma *evaltree-not-undef*:
fixes *m p e v*
shows ([*m*,*p*] ⊢ *e* ↦ *v*) ⟹ *v* ≠ *UndefVal*
apply (*induction rule*: *evaltree.induct*)
using *valid-not-undef* **by** *auto*

lemma *leafint32*:
assumes *ev*: [*m*,*p*] ⊢ *LeafExpr i* (*IntegerStamp 32 lo hi*) ↦ *val*

shows $\exists\, v.\ val = (IntVal32\ v)$

proof −
have *valid-value val* (*IntegerStamp 32 lo hi*)
using *ev* **by** (*rule LeafExprE*; *simp*)
then show *?thesis* **by** *auto*
qed

lemma *leafint64*:
assumes *ev*: [*m*,*p*] ⊢ *LeafExpr i* (*IntegerStamp 64 lo hi*) ↦ *val*
shows $\exists\, v.\ val = (IntVal64\ v)$

proof −
have *valid-value val* (*IntegerStamp 64 lo hi*)
using *ev* **by** (*rule LeafExprE*; *simp*)
then show *?thesis* **by** *auto*
qed

lemma *default-stamp* [*simp*]: *default-stamp* = *IntegerStamp 32* (−*2147483648*) *2147483647*
using *default-stamp-def* **by** *auto*

lemma *valid32* [*simp*]:
assumes *valid-value val* (*IntegerStamp 32 lo hi*)
shows $\exists\, v.\ (val = (IntVal32\ v) \wedge lo \leq sint\ v \wedge sint\ v \leq hi)$
using *assms valid-int32* **by** *force*

lemma *valid64* [*simp*]:
assumes *valid-value val* (*IntegerStamp 64 lo hi*)
shows $\exists\, v.\ (val = (IntVal64\ v) \wedge lo \leq sint\ v \wedge sint\ v \leq hi)$
using *assms valid-int64* **by** *force*

lemma *valid32or64*:
assumes *valid-value x* (*IntegerStamp b lo hi*)
shows $(\exists\ v1.\ (x = IntVal32\ v1)) \vee (\exists\ v2.\ (x = IntVal64\ v2))$
using *valid32 valid64 assms valid-value.elims*(*2*) **by** *blast*

lemma *valid32or64-both*:
assumes *valid-value x* (*IntegerStamp b lox hix*)
and *valid-value y* (*IntegerStamp b loy hiy*)
shows $(\exists\ v1\ v2.\ x = IntVal32\ v1 \wedge y = IntVal32\ v2) \vee (\exists\ v3\ v4.\ x = IntVal64\ v3 \wedge y = IntVal64\ v4)$
using *assms valid32or64 valid32 valid-value.elims*(*2*) *valid-value.simps*(*1*) **by** *metis*

5.4.3 Example Data-flow Optimisations

lemma *a0a-helper* [*simp*]:

assumes *a*: *valid-value v* (*IntegerStamp 32 lo hi*)
shows *intval-add v* (*IntVal32 0*) = *v*
proof −
obtain *v32* :: *int32* **where** *v* = (*IntVal32 v32*) **using** *a valid32* **by** *blast*
then show *?thesis* **by** *simp*
qed

lemma *a0a*: (*BinaryExpr BinAdd* (*LeafExpr 1 default-stamp*) (*ConstantExpr* (*IntVal32 0*)))
≥ (*LeafExpr 1 default-stamp*)
by (*auto simp add*: *evaltree.LeafExpr*)

lemma *xyx-y-helper* [*simp*]:
assumes *valid-value x* (*IntegerStamp 32 lox hix*)
assumes *valid-value y* (*IntegerStamp 32 loy hiy*)
shows *intval-add x* (*intval-sub y x*) = *y*
proof −
obtain *x32* :: *int32* **where** *x*: *x* = (*IntVal32 x32*) **using** *assms valid32* **by** *blast*
obtain *y32* :: *int32* **where** *y*: *y* = (*IntVal32 y32*) **using** *assms valid32* **by** *blast*
show *?thesis* **using** *x y* **by** *simp*
qed

lemma *xyx-y*:
(*BinaryExpr BinAdd*
(*LeafExpr x* (*IntegerStamp 32 lox hix*))
(*BinaryExpr BinSub*
(*LeafExpr y* (*IntegerStamp 32 loy hiy*))
(*LeafExpr x* (*IntegerStamp 32 lox hix*))))
≥ (*LeafExpr y* (*IntegerStamp 32 loy hiy*))
by (*auto simp add*: *LeafExpr*)

5.4.4 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's 'mono' operator (HOL.Orderings theory), proving instantiations like 'mono (UnaryExpr op)', but it is not obvious how to do this for both arguments of the binary expressions.

lemma *mono-unary*:
assumes *e* ≥ *e′*
shows (*UnaryExpr op e*) ≥ (*UnaryExpr op e′*)
using *UnaryExpr assms* **by** *auto*

lemma *mono-binary*:

assumes $x \geq x'$
assumes $y \geq y'$
shows $(BinaryExpr\ op\ x\ y) \geq (BinaryExpr\ op\ x'\ y')$
using *BinaryExpr assms* **by** *auto*

lemma *never-void*:
assumes $[m,\ p] \vdash x \mapsto xv$
assumes $valid\text{-}value\ xv\ (stamp\text{-}expr\ xe)$
shows $stamp\text{-}expr\ xe \neq VoidStamp$
using *valid-value.simps*
using *assms*(*2*) **by** *force*

lemma *stamp32*:
$\exists\, v\ .\ xv = IntVal32\ v \longleftrightarrow valid\text{-}value\ xv\ (IntegerStamp\ 32\ lo\ hi)$
using *valid-int32*
by (*metis* (*full-types*) *Value.inject*(*1*) *zero-neq-one*)

lemma *stamp64*:
$\exists\, v\ .\ xv = IntVal64\ v \longleftrightarrow valid\text{-}value\ xv\ (IntegerStamp\ 64\ lo\ hi)$
using *valid-int64*
by (*metis* (*full-types*) *Value.inject*(*2*) *zero-neq-one*)

lemma *stamprange*:
$valid\text{-}value\ v\ s \longrightarrow (\exists\, b\ lo\ hi.\ (s = IntegerStamp\ b\ lo\ hi) \wedge (b = 32 \vee b = 64))$
using *valid-value.elims stamp32 stamp64*
by (*smt* (*verit*, *del-insts*))

lemma *compatible-trans*:
$compatible\ x\ y \wedge compatible\ y\ z \Longrightarrow compatible\ x\ z$
by (*smt* (*verit*, *best*) *compatible.elims*(*2*) *compatible.simps*(*1*))

lemma *compatible-refl*:
$compatible\ x\ y \Longrightarrow compatible\ y\ x$
using *compatible.elims*(*2*) **by** *fastforce*

lemma *mono-conditional*:
assumes $ce \geq ce'$
assumes $te \geq te'$
assumes $fe \geq fe'$
shows $(ConditionalExpr\ ce\ te\ fe) \geq (ConditionalExpr\ ce'\ te'\ fe')$
proof (*simp only*: *le-expr-def*; (*rule allI*)+; *rule impI*)
fix *m p v*
assume *a*: $[m,p] \vdash ConditionalExpr\ ce\ te\ fe \mapsto v$
then obtain *cond* **where** *ce*: $[m,p] \vdash ce \mapsto cond$ **by** *auto*
then have *ce'*: $[m,p] \vdash ce' \mapsto cond$ **using** *assms* **by** *auto*

define *branch* **where** *b*: $branch = (if\ val\text{-}to\text{-}bool\ cond\ then\ te\ else\ fe)$

define *branch'* **where** *b'*: *branch'* = (*if val-to-bool cond then te' else fe'*)
then have *beval*: [*m*,*p*] ⊢ *branch* ↦ *v* **using** *a b ce evalDet* **by** *blast*

from *beval* **have** [*m*,*p*] ⊢ *branch'* ↦ *v* **using** *assms b b'* **by** *auto*
then show [*m*,*p*] ⊢ *ConditionalExpr ce' te' fe'* ↦ *v*
using *ConditionalExpr ce' b'*
using *a* **by** *blast*
qed

end

6 Tree to Graph

theory *TreeToGraph*
imports
Semantics.IRTreeEval
Graph.IRGraph
begin

6.1 Subgraph to Data-flow Tree

fun *find-node-and-stamp* :: *IRGraph* ⇒ (*IRNode* × *Stamp*) ⇒ *ID option* **where**
find-node-and-stamp g (*n*,*s*) =
find (λ*i*. *kind g i* = *n* ∧ *stamp g i* = *s*) (*sorted-list-of-set*(*ids g*))

export-code *find-node-and-stamp*

fun *is-preevaluated* :: *IRNode* ⇒ *bool* **where**
is-preevaluated (*InvokeNode n - - - - -*) = *True* |
is-preevaluated (*InvokeWithExceptionNode n - - - - - -*) = *True* |
is-preevaluated (*NewInstanceNode n - - -*) = *True* |
is-preevaluated (*LoadFieldNode n - - -*) = *True* |
is-preevaluated (*SignedDivNode n - - - - -*) = *True* |
is-preevaluated (*SignedRemNode n - - - - -*) = *True* |
is-preevaluated (*ValuePhiNode n - -*) = *True* |
is-preevaluated - = *False*

inductive
rep :: *IRGraph* ⇒ *ID* ⇒ *IRExpr* ⇒ *bool* (- ⊢ - ≃ - *55*)
for *g* **where**

ConstantNode:
⟦*kind g n* = *ConstantNode c*⟧
⟹ *g* ⊢ *n* ≃ (*ConstantExpr c*) |

ParameterNode:

$[\![kind\ g\ n = ParameterNode\ i;$
$\ \ stamp\ g\ n = s]\!]$
$\ \ \Longrightarrow g\ \vdash n \simeq (ParameterExpr\ i\ s)\ |$

ConditionalNode:
$[\![kind\ g\ n = ConditionalNode\ c\ t\ f;$
$\ \ g \vdash c \simeq ce;$
$\ \ g \vdash t \simeq te;$
$\ \ g \vdash f \simeq fe]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (ConditionalExpr\ ce\ te\ fe)\ |$

AbsNode:
$[\![kind\ g\ n = AbsNode\ x;$
$\ \ g \vdash x \simeq xe]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (UnaryExpr\ UnaryAbs\ xe)\ |$

NotNode:
$[\![kind\ g\ n = NotNode\ x;$
$\ \ g \vdash x \simeq xe]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe)\ |$

NegateNode:
$[\![kind\ g\ n = NegateNode\ x;$
$\ \ g \vdash x \simeq xe]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (UnaryExpr\ UnaryNeg\ xe)\ |$

LogicNegationNode:
$[\![kind\ g\ n = LogicNegationNode\ x;$
$\ \ g \vdash x \simeq xe]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe)\ |$

AddNode:
$[\![kind\ g\ n = AddNode\ x\ y;$
$\ \ g \vdash x \simeq xe;$
$\ \ g \vdash y \simeq ye]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye)\ |$

MulNode:
$[\![kind\ g\ n = MulNode\ x\ y;$
$\ \ g \vdash x \simeq xe;$
$\ \ g \vdash y \simeq ye]\!]$
$\ \ \Longrightarrow g \vdash n \simeq (BinaryExpr\ BinMul\ xe\ ye)\ |$

SubNode:
$[\![kind\ g\ n = SubNode\ x\ y;$
$\ \ g \vdash x \simeq xe;$
$\ \ g \vdash y \simeq ye]\!]$

$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinSub\ xe\ ye)\ |$

AndNode:
$[\![kind\ g\ n = AndNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinAnd\ xe\ ye)\ |$

OrNode:
$[\![kind\ g\ n = OrNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinOr\ xe\ ye)\ |$

XorNode:
$[\![kind\ g\ n = XorNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinXor\ xe\ ye)\ |$

IntegerBelowNode:
$[\![kind\ g\ n = IntegerBelowNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinIntegerBelow\ xe\ ye)\ |$

IntegerEqualsNode:
$[\![kind\ g\ n = IntegerEqualsNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinIntegerEquals\ xe\ ye)\ |$

IntegerLessThanNode:
$[\![kind\ g\ n = IntegerLessThanNode\ x\ y;$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye]\!]$
$\Longrightarrow g \vdash n \simeq (BinaryExpr\ BinIntegerLessThan\ xe\ ye)\ |$

NarrowNode:
$[\![kind\ g\ n = NarrowNode\ inputBits\ resultBits\ x;$
$g \vdash x \simeq xe]\!]$
$\Longrightarrow g \vdash n \simeq (UnaryExpr\ (UnaryNarrow\ inputBits\ resultBits)\ xe)\ |$

SignExtendNode:
$[\![kind\ g\ n = SignExtendNode\ inputBits\ resultBits\ x;$
$g \vdash x \simeq xe]\!]$
$\Longrightarrow g \vdash n \simeq (UnaryExpr\ (UnarySignExtend\ inputBits\ resultBits)\ xe)\ |$

ZeroExtendNode:
⟦*kind g n* = *ZeroExtendNode inputBits resultBits x*;
g ⊢ *x* ≃ *xe*⟧
⟹ *g* ⊢ *n* ≃ (*UnaryExpr* (*UnaryZeroExtend inputBits resultBits*) *xe*) |

LeafNode:
⟦*is-preevaluated* (*kind g n*);
stamp g n = *s*⟧
⟹ *g* ⊢ *n* ≃ (*LeafExpr n s*)

code-pred (*modes*: *i* ⇒ *i* ⇒ *o* ⇒ *bool as exprE*) *rep* **.**

inductive
replist :: *IRGraph* ⇒ *ID list* ⇒ *IRExpr list* ⇒ *bool* (- ⊢ - ≃$_L$ - 55)
for *g* **where**

RepNil:
g ⊢ [] ≃$_L$ [] |

RepCons:
⟦*g* ⊢ *x* ≃ *xe*;
g ⊢ *xs* ≃$_L$ *xse*⟧
⟹ *g* ⊢ *x*#*xs* ≃$_L$ *xe*#*xse*

code-pred (*modes*: *i* ⇒ *i* ⇒ *o* ⇒ *bool as exprListE*) *replist* **.**

definition *wf-term-graph* :: *MapState* ⇒ *Params* ⇒ *IRGraph* ⇒ *ID* ⇒ *bool* **where**
wf-term-graph m p g n = (∃ *e*. (*g* ⊢ *n* ≃ *e*) ∧ (∃ *v*. ([*m*, *p*] ⊢ *e* ↦ *v*)))

values {*t*. *eg2-sq* ⊢ *4* ≃ *t*}

6.2 Data-flow Tree to Subgraph

fun *unary-node* :: *IRUnaryOp* ⇒ *ID* ⇒ *IRNode* **where**
unary-node UnaryAbs v = *AbsNode v* |
unary-node UnaryNot v = *NotNode v* |
unary-node UnaryNeg v = *NegateNode v* |
unary-node UnaryLogicNegation v = *LogicNegationNode v* |
unary-node (*UnaryNarrow ib rb*) *v* = *NarrowNode ib rb v* |
unary-node (*UnarySignExtend ib rb*) *v* = *SignExtendNode ib rb v* |
unary-node (*UnaryZeroExtend ib rb*) *v* = *ZeroExtendNode ib rb v*

fun *bin-node* :: *IRBinaryOp* ⇒ *ID* ⇒ *ID* ⇒ *IRNode* **where**
bin-node BinAdd x y = *AddNode x y* |

```
  bin-node BinMul x y = MulNode x y |
  bin-node BinSub x y = SubNode x y |
  bin-node BinAnd x y = AndNode x y |
  bin-node BinOr  x y = OrNode x y |
  bin-node BinXor x y = XorNode x y |
  bin-node BinLeftShift x y = LeftShiftNode x y |
  bin-node BinRightShift x y = RightShiftNode x y |
  bin-node BinURightShift x y = UnsignedRightShiftNode x y |
  bin-node BinIntegerEquals x y = IntegerEqualsNode x y |
  bin-node BinIntegerLessThan x y = IntegerLessThanNode x y |
  bin-node BinIntegerBelow x y = IntegerBelowNode x y



fun choose-32-64 :: int ⇒ int64 ⇒ Value where
  choose-32-64 bits val =
     (if bits = 32
      then (IntVal32 (ucast val))
      else (IntVal64 (val)))


inductive fresh-id :: IRGraph ⇒ ID ⇒ bool where
  n ∉ ids g ⟹ fresh-id g n

code-pred fresh-id .


fun get-fresh-id :: IRGraph ⇒ ID where

  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1

export-code get-fresh-id

value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)


inductive
  unrep :: IRGraph ⇒ IRExpr ⇒ (IRGraph × ID) ⇒ bool (- ◁ - ⇝ - 55)
 and
  unrepList :: IRGraph ⇒ IRExpr list ⇒ (IRGraph × ID list) ⇒ bool (- ◁L - ⇝ -
55)
  where

  ConstantNodeSame:
  ⟦find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n⟧
    ⟹ g ◁ (ConstantExpr c) ⇝ (g, n) |

  ConstantNodeNew:
```

$[\![$*find-node-and-stamp g* (*ConstantNode c*, *constantAsStamp c*) = *None*;
  n = *get-fresh-id g*;
  g′ = *add-node n* (*ConstantNode c*, *constantAsStamp c*) *g* $]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*ConstantExpr c*) $\rightsquigarrow$ (*g′*, *n*) |

ParameterNodeSame:
$[\![$*find-node-and-stamp g* (*ParameterNode i*, *s*) = *Some n*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*ParameterExpr i s*) $\rightsquigarrow$ (*g*, *n*) |

ParameterNodeNew:
$[\![$*find-node-and-stamp g* (*ParameterNode i*, *s*) = *None*;
  n = *get-fresh-id g*;
  g′ = *add-node n* (*ParameterNode i*, *s*) *g*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*ParameterExpr i s*) $\rightsquigarrow$ (*g′*, *n*) |

ConditionalNodeSame:
$[\![$*g* $\triangleleft_L$ [*ce*, *te*, *fe*] $\rightsquigarrow$ (*g2*, [*c*, *t*, *f*]);
  s′ = *meet* (*stamp g2 t*) (*stamp g2 f*);
  find-node-and-stamp g2 (*ConditionalNode c t f*, *s′*) = *Some n*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*ConditionalExpr ce te fe*) $\rightsquigarrow$ (*g2*, *n*) |

ConditionalNodeNew:
$[\![$*g* $\triangleleft_L$ [*ce*, *te*, *fe*] $\rightsquigarrow$ (*g2*, [*c*, *t*, *f*]);
  s′ = *meet* (*stamp g2 t*) (*stamp g2 f*);
  find-node-and-stamp g2 (*ConditionalNode c t f*, *s′*) = *None*;
  n = *get-fresh-id g2*;
  g′ = *add-node n* (*ConditionalNode c t f*, *s′*) *g2*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*ConditionalExpr ce te fe*) $\rightsquigarrow$ (*g′*, *n*) |

UnaryNodeSame:
$[\![$*g* $\triangleleft$ *xe* $\rightsquigarrow$ (*g2*, *x*);
  s′ = *stamp-unary op* (*stamp g2 x*);
  find-node-and-stamp g2 (*unary-node op x*, *s′*) = *Some n*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*UnaryExpr op xe*) $\rightsquigarrow$ (*g2*, *n*) |

UnaryNodeNew:
$[\![$*g* $\triangleleft$ *xe* $\rightsquigarrow$ (*g2*, *x*);
  s′ = *stamp-unary op* (*stamp g2 x*);
  find-node-and-stamp g2 (*unary-node op x*, *s′*) = *None*;
  n = *get-fresh-id g2*;
  g′ = *add-node n* (*unary-node op x*, *s′*) *g2*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*UnaryExpr op xe*) $\rightsquigarrow$ (*g′*, *n*) |

BinaryNodeSame:
$[\![$*g* $\triangleleft_L$ [*xe*, *ye*] $\rightsquigarrow$ (*g2*, [*x*, *y*]);
  s′ = *stamp-binary op* (*stamp g2 x*) (*stamp g2 y*);
  find-node-and-stamp g2 (*bin-node op x y*, *s′*) = *Some n*$]\!]$
  $\Longrightarrow$ *g* $\triangleleft$ (*BinaryExpr op xe ye*) $\rightsquigarrow$ (*g2*, *n*) |

BinaryNodeNew:
$[\![g \lhd_L [xe, ye] \rightsquigarrow (g2, [x, y]);$
$s' = stamp\text{-}binary\ op\ (stamp\ g2\ x)\ (stamp\ g2\ y);$
$find\text{-}node\text{-}and\text{-}stamp\ g2\ (bin\text{-}node\ op\ x\ y, s') = None;$
$n = get\text{-}fresh\text{-}id\ g2;$
$g' = add\text{-}node\ n\ (bin\text{-}node\ op\ x\ y, s')\ g2]\!]$
$\Longrightarrow g \lhd (BinaryExpr\ op\ xe\ ye) \rightsquigarrow (g', n)$ |

AllLeafNodes:
$stamp\ g\ n = s$
$\Longrightarrow g \lhd (LeafExpr\ n\ s) \rightsquigarrow (g, n)$ |

UnrepNil:
$g \lhd_L [] \rightsquigarrow (g, [])$ |

UnrepCons:
$[\![g \lhd xe \rightsquigarrow (g2, x);$
$g2 \lhd_L xes \rightsquigarrow (g3, xs)]\!]$
$\Longrightarrow g \lhd_L (xe\#xes) \rightsquigarrow (g3, x\#xs)$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow bool$ *as unrepE*)
unrep **.**
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow bool$ *as unrepListE*) *unrepList* **.**

unrepRules

$$\frac{\mathit{find\text{-}node\text{-}and\text{-}stamp}\ g\ (\mathit{ConstantNode}\ c,\ \mathit{constantAsStamp}\ c) = \mathit{Some}\ n}{g \triangleleft \mathit{ConstantExpr}\ c \rightsquigarrow (g,\ n)}$$

$$\frac{\begin{array}{c}\mathit{find\text{-}node\text{-}and\text{-}stamp}\ g\ (\mathit{ConstantNode}\ c,\ \mathit{constantAsStamp}\ c) = \mathit{None} \\ n = \mathit{get\text{-}fresh\text{-}id}\ g \\ g' = \mathit{add\text{-}node}\ n\ (\mathit{ConstantNode}\ c,\ \mathit{constantAsStamp}\ c)\ g\end{array}}{g \triangleleft \mathit{ConstantExpr}\ c \rightsquigarrow (g',\ n)}$$

$$\frac{\mathit{find\text{-}node\text{-}and\text{-}stamp}\ g\ (\mathit{ParameterNode}\ i,\ s) = \mathit{Some}\ n}{g \triangleleft \mathit{ParameterExpr}\ i\ s \rightsquigarrow (g,\ n)}$$

$$\frac{\begin{array}{c}\mathit{find\text{-}node\text{-}and\text{-}stamp}\ g\ (\mathit{ParameterNode}\ i,\ s) = \mathit{None} \\ n = \mathit{get\text{-}fresh\text{-}id}\ g \qquad g' = \mathit{add\text{-}node}\ n\ (\mathit{ParameterNode}\ i,\ s)\ g\end{array}}{g \triangleleft \mathit{ParameterExpr}\ i\ s \rightsquigarrow (g',\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft_L [ce,\ te,\ fe] \rightsquigarrow (g2,\ [c,\ t,\ f]) \qquad s' = \mathit{meet}\ (\mathit{stamp}\ g2\ t)\ (\mathit{stamp}\ g2\ f) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{ConditionalNode}\ c\ t\ f,\ s') = \mathit{Some}\ n\end{array}}{g \triangleleft \mathit{ConditionalExpr}\ ce\ te\ fe \rightsquigarrow (g2,\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft_L [ce,\ te,\ fe] \rightsquigarrow (g2,\ [c,\ t,\ f]) \qquad s' = \mathit{meet}\ (\mathit{stamp}\ g2\ t)\ (\mathit{stamp}\ g2\ f) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{ConditionalNode}\ c\ t\ f,\ s') = \mathit{None} \\ n = \mathit{get\text{-}fresh\text{-}id}\ g2 \qquad g' = \mathit{add\text{-}node}\ n\ (\mathit{ConditionalNode}\ c\ t\ f,\ s')\ g2\end{array}}{g \triangleleft \mathit{ConditionalExpr}\ ce\ te\ fe \rightsquigarrow (g',\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft_L [xe,\ ye] \rightsquigarrow (g2,\ [x,\ y]) \\ s' = \mathit{stamp\text{-}binary}\ op\ (\mathit{stamp}\ g2\ x)\ (\mathit{stamp}\ g2\ y) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{bin\text{-}node}\ op\ x\ y,\ s') = \mathit{Some}\ n\end{array}}{g \triangleleft \mathit{BinaryExpr}\ op\ xe\ ye \rightsquigarrow (g2,\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft_L [xe,\ ye] \rightsquigarrow (g2,\ [x,\ y]) \\ s' = \mathit{stamp\text{-}binary}\ op\ (\mathit{stamp}\ g2\ x)\ (\mathit{stamp}\ g2\ y) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{bin\text{-}node}\ op\ x\ y,\ s') = \mathit{None} \\ n = \mathit{get\text{-}fresh\text{-}id}\ g2 \qquad g' = \mathit{add\text{-}node}\ n\ (\mathit{bin\text{-}node}\ op\ x\ y,\ s')\ g2\end{array}}{g \triangleleft \mathit{BinaryExpr}\ op\ xe\ ye \rightsquigarrow (g',\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft xe \rightsquigarrow (g2,\ x) \qquad s' = \mathit{stamp\text{-}unary}\ op\ (\mathit{stamp}\ g2\ x) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{unary\text{-}node}\ op\ x,\ s') = \mathit{Some}\ n\end{array}}{g \triangleleft \mathit{UnaryExpr}\ op\ xe \rightsquigarrow (g2,\ n)}$$

$$\frac{\begin{array}{c}g \triangleleft xe \rightsquigarrow (g2,\ x) \qquad s' = \mathit{stamp\text{-}unary}\ op\ (\mathit{stamp}\ g2\ x) \\ \mathit{find\text{-}node\text{-}and\text{-}stamp}\ g2\ (\mathit{unary\text{-}node}\ op\ x,\ s') = \mathit{None} \\ n = \mathit{get\text{-}fresh\text{-}id}\ g2 \qquad g' = \mathit{add\text{-}node}\ n\ (\mathit{unary\text{-}node}\ op\ x,\ s')\ g2\end{array}}{g \triangleleft \mathit{UnaryExpr}\ op\ xe \rightsquigarrow (g',\ n)}$$

$$\frac{\mathit{stamp}\ g\ n = s}{g \triangleleft \mathit{LeafExpr}\ n\ s \rightsquigarrow (g,\ n)}$$

values $\{(n,\ g)\ .\ (eg2\text{-}sq \triangleleft sq\text{-}param0 \rightsquigarrow (g,\ n))\}$

6.3 Lift Data-flow Tree Semantics

definition *encodeeval* :: *IRGraph* $\Rightarrow$ *MapState* $\Rightarrow$ *Params* $\Rightarrow$ *ID* $\Rightarrow$ *Value* $\Rightarrow$ *bool*
([-,-,-] $\vdash$ - $\mapsto$ - *50*)
where
encodeeval g m p n v = $(\exists\ e.\ (g \vdash n \simeq e) \wedge ([m,p] \vdash e \mapsto v))$

6.4 Graph Refinement

definition *graph-represents-expression* :: *IRGraph* $\Rightarrow$ *ID* $\Rightarrow$ *IRExpr* $\Rightarrow$ *bool*
(- $\vdash$ - $\trianglelefteq$ - *50*)
where
$(g \vdash n \trianglelefteq e) = (\exists\, e'\ .\ (g \vdash n \simeq e') \wedge (e' \leq e))$

definition *graph-refinement* :: *IRGraph* $\Rightarrow$ *IRGraph* $\Rightarrow$ *bool* **where**
graph-refinement $g_1\ g_2$ =
$((ids\ g_1 \subseteq ids\ g_2) \wedge$
$(\forall\ n\ .\ n \in ids\ g_1 \longrightarrow (\forall\, e.\ (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$

lemma *graph-refinement*:
graph-refinement g1 g2 $\Longrightarrow$ $(\forall\, n\ m\ p\ v.\ n \in ids\ g1 \longrightarrow ([g1,\ m,\ p] \vdash n \mapsto v) \longrightarrow ([g2,\ m,\ p] \vdash n \mapsto v))$
by (*meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def*)

6.5 Maximal Sharing

definition *maximal-sharing*:
maximal-sharing g = $(\forall\ n_1\ n_2\ .\ n_1 \in ids\ g \wedge n_2 \in ids\ g \longrightarrow$
$(\forall\ e.\ (g \vdash n_1 \simeq e) \wedge (g \vdash n_2 \simeq e) \longrightarrow n_1 = n_2))$

end

6.6 Tree to Graph Theorems

theory *TreeToGraphThms*
imports
TreeToGraph
IRTreeEvalThms
HOL−Eisbach.Eisbach
begin

6.6.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

named-theorems *rep*

lemma *rep-constant* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = ConstantNode c $\Longrightarrow$
e = ConstantExpr c
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-parameter* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = ParameterNode i $\Longrightarrow$
$(\exists\, s.\ e = ParameterExpr\ i\ s)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-conditional* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = ConditionalNode c t f $\Longrightarrow$
$(\exists\ ce\ te\ fe.\ e = ConditionalExpr\ ce\ te\ fe)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-abs* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = AbsNode x $\Longrightarrow$
$(\exists\, xe.\ e = UnaryExpr\ UnaryAbs\ xe)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-not* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = NotNode x $\Longrightarrow$
$(\exists\, xe.\ e = UnaryExpr\ UnaryNot\ xe)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-negate* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = NegateNode x $\Longrightarrow$
$(\exists\, xe.\ e = UnaryExpr\ UnaryNeg\ xe)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-logicnegation* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = LogicNegationNode x $\Longrightarrow$
$(\exists\, xe.\ e = UnaryExpr\ UnaryLogicNegation\ xe)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-add* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
kind g n = AddNode x y $\Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinAdd\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-sub* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = SubNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinSub\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-mul* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = MulNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinMul\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-and* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = AndNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinAnd\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-or* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = OrNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinOr\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-xor* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = XorNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinXor\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-integer-below* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = IntegerBelowNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinIntegerBelow\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-integer-equals* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = IntegerEqualsNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinIntegerEquals\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-integer-less-than* [*rep*]:
$g \vdash n \simeq e \Longrightarrow$
$kind\ g\ n = IntegerLessThanNode\ x\ y \Longrightarrow$
$(\exists\, xe\ ye.\ e = BinaryExpr\ BinIntegerLessThan\ xe\ ye)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-narrow* [*rep*]:
 $g \vdash n \simeq e \Longrightarrow$
 kind g n = NarrowNode ib rb x $\Longrightarrow$
 $(\exists x.\ e =$ *UnaryExpr* (*UnaryNarrow ib rb*) $x)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-sign-extend* [*rep*]:
 $g \vdash n \simeq e \Longrightarrow$
 kind g n = SignExtendNode ib rb x $\Longrightarrow$
 $(\exists x.\ e =$ *UnaryExpr* (*UnarySignExtend ib rb*) $x)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-zero-extend* [*rep*]:
 $g \vdash n \simeq e \Longrightarrow$
 kind g n = ZeroExtendNode ib rb x $\Longrightarrow$
 $(\exists x.\ e =$ *UnaryExpr* (*UnaryZeroExtend ib rb*) $x)$
by (*induction rule*: *rep.induct*; *auto*)

lemma *rep-load-field* [*rep*]:
 $g \vdash n \simeq e \Longrightarrow$
 is-preevaluated (*kind g n*) $\Longrightarrow$
 $(\exists s.\ e =$ *LeafExpr n s*$)$
by (*induction rule*: *rep.induct*; *auto*)

method *solve-det* **uses** *node* =
 (*match node* **in** *kind* - - = *node* - **for** *node* $\Rightarrow$
 ‹*match rep in r*: - $\Longrightarrow$ - = *node* - $\Longrightarrow$ - $\Rightarrow$
 ‹*match IRNode.inject in i*: (*node* - = *node* -) = - $\Rightarrow$
 ‹*match RepE in e*: - $\Longrightarrow$ ($\bigwedge x.$ - = *node x* $\Longrightarrow$ -) $\Longrightarrow$ - $\Rightarrow$
 ‹*metis i e r*››››› |
 match node **in** *kind* - - = *node* - - **for** *node* $\Rightarrow$
 ‹*match rep in r*: - $\Longrightarrow$ - = *node* - - $\Longrightarrow$ - $\Rightarrow$
 ‹*match IRNode.inject in i*: (*node* - - = *node* - -) = - $\Rightarrow$
 ‹*match RepE in e*: - $\Longrightarrow$ ($\bigwedge x\ y.$ - = *node x y* $\Longrightarrow$ -) $\Longrightarrow$ - $\Rightarrow$
 ‹*metis i e r*›››› |
 match node **in** *kind* - - = *node* - - - **for** *node* $\Rightarrow$
 ‹*match rep in r*: - $\Longrightarrow$ - = *node* - - - $\Longrightarrow$ - $\Rightarrow$
 ‹*match IRNode.inject in i*: (*node* - - - = *node* - - -) = - $\Rightarrow$
 ‹*match RepE in e*: - $\Longrightarrow$ ($\bigwedge x\ y\ z.$ - = *node x y z* $\Longrightarrow$ -) $\Longrightarrow$ - $\Rightarrow$
 ‹*metis i e r*›››› |
 match node **in** *kind* - - = *node* - - - **for** *node* $\Rightarrow$
 ‹*match rep in r*: - $\Longrightarrow$ - = *node* - - - $\Longrightarrow$ - $\Rightarrow$
 ‹*match IRNode.inject in i*: (*node* - - - = *node* - - -) = - $\Rightarrow$
 ‹*match RepE in e*: - $\Longrightarrow$ ($\bigwedge x.$ - = *node* - - *x* $\Longrightarrow$ -) $\Longrightarrow$ - $\Rightarrow$
 ‹*metis i e r*››››)

Now we can prove that 'rep' and 'eval', and their list versions, are deterministic.

lemma *repDet*:
  shows $(g \vdash n \simeq e_1) \Longrightarrow (g \vdash n \simeq e_2) \Longrightarrow e_1 = e_2$
proof (*induction arbitrary*: e_2 *rule*: *rep.induct*)
  case (*ConstantNode n c*)
  then show *?case* **using** *rep-constant* **by** *auto*
next
  case (*ParameterNode n i s*)
  then show *?case* **using** *rep-parameter* **by** *auto*
next
  case (*ConditionalNode n c t f ce te fe*)
  then show *?case*
    by (*solve-det node*: *ConditionalNode*)
next
  case (*AbsNode n x xe*)
  then show *?case*
    by (*solve-det node*: *AbsNode*)
next
  case (*NotNode n x xe*)
  then show *?case*
    by (*solve-det node*: *NotNode*)
next
  case (*NegateNode n x xe*)
  then show *?case*
    by (*solve-det node*: *NegateNode*)
next
  case (*LogicNegationNode n x xe*)
  then show *?case*
    by (*solve-det node*: *LogicNegationNode*)
next
  case (*AddNode n x y xe ye*)
  then show *?case*
    by (*solve-det node*: *AddNode*)
next
  case (*MulNode n x y xe ye*)
  then show *?case*
    by (*solve-det node*: *MulNode*)
next
  case (*SubNode n x y xe ye*)
  then show *?case*
    by (*solve-det node*: *SubNode*)
next
  case (*AndNode n x y xe ye*)
  then show *?case*
    by (*solve-det node*: *AndNode*)
next
  case (*OrNode n x y xe ye*)
  then show *?case*
    by (*solve-det node*: *OrNode*)
next

```
  case (XorNode n x y xe ye)
  then show ?case
    by (solve-det node: XorNode)
next
  case (IntegerBelowNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerBelowNode)
next
  case (IntegerEqualsNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerEqualsNode)
next
  case (IntegerLessThanNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerLessThanNode)
next
  case (NarrowNode n x xe)
  then show ?case
    by (metis IRNode.inject(28) NarrowNodeE rep-narrow)
next
  case (SignExtendNode n x xe)
  then show ?case
    using SignExtendNodeE rep-sign-extend IRNode.inject(39)
    by (metis IRNode.inject(39) rep-sign-extend)
next
  case (ZeroExtendNode n x xe)
  then show ?case
    by (metis IRNode.inject(50) ZeroExtendNodeE rep-zero-extend)
next
  case (LeafNode n s)
  then show ?case using rep-load-field LeafNodeE by blast
qed

lemma repAllDet:
  g ⊢ xs ≃L e1 ⟹
   g ⊢ xs ≃L e2 ⟹
   e1 = e2
proof (induction arbitrary: e2 rule: replist.induct)
  case RepNil
  then show ?case
    using replist.cases by auto
next
  case (RepCons x xe xs xse)
  then show ?case
    by (metis list.distinct(1) list.sel(1) list.sel(3) repDet replist.cases)
qed

lemma encodeEvalDet:
  [g,m,p] ⊢ e ↦ v1 ⟹
```

$[g,m,p] \vdash e \mapsto v2 \Longrightarrow$
$v1 = v2$
by (*metis encodeeval-def evalDet repDet*)

lemma *graphDet*: $([g,m,p] \vdash nid \mapsto v_1) \wedge ([g,m,p] \vdash nid \mapsto v_2) \Longrightarrow v_1 = v_2$
using *encodeEvalDet* **by** *blast*

6.6.2 Monotonicity of Graph Refinement

Lift refinement monotonicity to graph level. Hopefully these shouldn't really be required.

lemma *mono-abs*:
assumes *kind g1 n = AbsNode x* $\wedge$ *kind g2 n = AbsNode x*
assumes $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$
assumes $xe1 \geq xe2$
assumes $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$
shows $e1 \geq e2$
by (*metis AbsNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet*)

lemma *mono-not*:
assumes *kind g1 n = NotNode x* $\wedge$ *kind g2 n = NotNode x*
assumes $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$
assumes $xe1 \geq xe2$
assumes $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$
shows $e1 \geq e2$
by (*metis NotNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet*)

lemma *mono-negate*:
assumes *kind g1 n = NegateNode x* $\wedge$ *kind g2 n = NegateNode x*
assumes $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$
assumes $xe1 \geq xe2$
assumes $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$
shows $e1 \geq e2$
by (*metis NegateNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet*)

lemma *mono-logic-negation*:
assumes *kind g1 n = LogicNegationNode x* $\wedge$ *kind g2 n = LogicNegationNode x*
assumes $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$
assumes $xe1 \geq xe2$
assumes $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$
shows $e1 \geq e2$
by (*metis LogicNegationNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet*)

lemma *mono-narrow*:
assumes *kind g1 n = NarrowNode ib rb x* $\wedge$ *kind g2 n = NarrowNode ib rb x*
assumes $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$
assumes $xe1 \geq xe2$
assumes $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$

shows *e1* $\geq$ *e2*
using *assms mono-unary repDet NarrowNode*
by *metis*

lemma *mono-sign-extend*:
assumes *kind g1 n* = *SignExtendNode ib rb x* $\wedge$ *kind g2 n* = *SignExtendNode ib rb x*
assumes (*g1* $\vdash$ *x* $\simeq$ *xe1*) $\wedge$ (*g2* $\vdash$ *x* $\simeq$ *xe2*)
assumes *xe1* $\geq$ *xe2*
assumes (*g1* $\vdash$ *n* $\simeq$ *e1*) $\wedge$ (*g2* $\vdash$ *n* $\simeq$ *e2*)
shows *e1* $\geq$ *e2*
by (*metis SignExtendNode assms*(*1*) *assms*(*2*) *assms*(*3*) *assms*(*4*) *mono-unary repDet*)

lemma *mono-zero-extend*:
assumes *kind g1 n* = *ZeroExtendNode ib rb x* $\wedge$ *kind g2 n* = *ZeroExtendNode ib rb x*
assumes (*g1* $\vdash$ *x* $\simeq$ *xe1*) $\wedge$ (*g2* $\vdash$ *x* $\simeq$ *xe2*)
assumes *xe1* $\geq$ *xe2*
assumes (*g1* $\vdash$ *n* $\simeq$ *e1*) $\wedge$ (*g2* $\vdash$ *n* $\simeq$ *e2*)
shows *e1* $\geq$ *e2*
using *assms mono-unary repDet ZeroExtendNode*
by *metis*

lemma *mono-conditional-graph*:
assumes *kind g1 n* = *ConditionalNode c t f* $\wedge$ *kind g2 n* = *ConditionalNode c t f*
assumes (*g1* $\vdash$ *c* $\simeq$ *ce1*) $\wedge$ (*g2* $\vdash$ *c* $\simeq$ *ce2*)
assumes (*g1* $\vdash$ *t* $\simeq$ *te1*) $\wedge$ (*g2* $\vdash$ *t* $\simeq$ *te2*)
assumes (*g1* $\vdash$ *f* $\simeq$ *fe1*) $\wedge$ (*g2* $\vdash$ *f* $\simeq$ *fe2*)
assumes *ce1* $\geq$ *ce2* $\wedge$ *te1* $\geq$ *te2* $\wedge$ *fe1* $\geq$ *fe2*
assumes (*g1* $\vdash$ *n* $\simeq$ *e1*) $\wedge$ (*g2* $\vdash$ *n* $\simeq$ *e2*)
shows *e1* $\geq$ *e2*
by (*metis ConditionalNodeE IRNode.inject*(*6*) *assms*(*1*) *assms*(*2*) *assms*(*3*) *assms*(*4*) *assms*(*5*) *assms*(*6*) *mono-conditional repDet rep-conditional*)

lemma *mono-add*:
assumes *kind g1 n* = *AddNode x y* $\wedge$ *kind g2 n* = *AddNode x y*
assumes (*g1* $\vdash$ *x* $\simeq$ *xe1*) $\wedge$ (*g2* $\vdash$ *x* $\simeq$ *xe2*)
assumes (*g1* $\vdash$ *y* $\simeq$ *ye1*) $\wedge$ (*g2* $\vdash$ *y* $\simeq$ *ye2*)
assumes *xe1* $\geq$ *xe2* $\wedge$ *ye1* $\geq$ *ye2*
assumes (*g1* $\vdash$ *n* $\simeq$ *e1*) $\wedge$ (*g2* $\vdash$ *n* $\simeq$ *e2*)
shows *e1* $\geq$ *e2*
using *mono-binary assms*
by (*metis AddNodeE IRNode.inject*(*2*) *repDet rep-add*)

lemma *mono-mul*:
assumes *kind g1 n* = *MulNode x y* $\wedge$ *kind g2 n* = *MulNode x y*
assumes (*g1* $\vdash$ *x* $\simeq$ *xe1*) $\wedge$ (*g2* $\vdash$ *x* $\simeq$ *xe2*)
assumes (*g1* $\vdash$ *y* $\simeq$ *ye1*) $\wedge$ (*g2* $\vdash$ *y* $\simeq$ *ye2*)

assumes *xe1* $\geq$ *xe2* $\wedge$ *ye1* $\geq$ *ye2*
assumes (*g1* $\vdash$ *n* $\simeq$ *e1*) $\wedge$ (*g2* $\vdash$ *n* $\simeq$ *e2*)
shows *e1* $\geq$ *e2*
using *mono-binary assms*
by (*metis IRNode.inject*(*27*) *MulNodeE repDet rep-mul*)

lemma *term-graph-evaluation*:
(*g* $\vdash$ *n* $\trianglelefteq$ *e*) $\Longrightarrow$ ($\forall$ *m p v* . ([*m*,*p*] $\vdash$ *e* $\mapsto$ *v*) $\longrightarrow$ ([*g*,*m*,*p*] $\vdash$ *n* $\mapsto$ *v*))
unfolding *graph-represents-expression-def* **apply** *auto*
by (*meson encodeeval-def*)

lemma *encodes-contains*:
g $\vdash$ *n* $\simeq$ *e* $\Longrightarrow$
kind g n $\neq$ *NoNode*
apply (*induction rule*: *rep.induct*)
apply (*match IRNode.distinct* **in** *e*: *?n* $\neq$ *NoNode* $\Rightarrow$
‹*presburger add*: *e*›)+
by *fastforce*

lemma *no-encoding*:
assumes *n* $\notin$ *ids g*
shows $\neg$(*g* $\vdash$ *n* $\simeq$ *e*)
using *assms* **apply** *simp* **apply** (*rule notI*) **by** (*induction e*; *simp add*: *encodes-contains*)

lemma *not-excluded-keep-type*:
assumes *n* $\in$ *ids g1*
assumes *n* $\notin$ *excluded*
assumes (*excluded* $\trianglelefteq$ *as-set g1*) $\subseteq$ *as-set g2*
shows *kind g1 n* = *kind g2 n* $\wedge$ *stamp g1 n* = *stamp g2 n*
using *assms* **unfolding** *as-set-def domain-subtraction-def* **by** *blast*

method *metis-node-eq-unary* **for** *node* :: *'a* $\Rightarrow$ *IRNode* =
(*match IRNode.inject* **in** *i*: (*node* - = *node* -) = - $\Rightarrow$
‹*metis i*›)
method *metis-node-eq-binary* **for** *node* :: *'a* $\Rightarrow$ *'a* $\Rightarrow$ *IRNode* =
(*match IRNode.inject* **in** *i*: (*node* - - = *node* - -) = - $\Rightarrow$
‹*metis i*›)
method *metis-node-eq-ternary* **for** *node* :: *'a* $\Rightarrow$ *'a* $\Rightarrow$ *'a* $\Rightarrow$ *IRNode* =
(*match IRNode.inject* **in** *i*: (*node* - - - = *node* - - -) = - $\Rightarrow$
‹*metis i*›)

6.6.3 Lift Data-flow Tree Refinement to Graph Refinement

theorem *graph-semantics-preservation*:
assumes *a*: *e1'* $\geq$ *e2'*
assumes *b*: ({*n'*} $\trianglelefteq$ *as-set g1*) $\subseteq$ *as-set g2*
assumes *c*: *g1* $\vdash$ *n'* $\simeq$ *e1'*

assumes *d*: $g2 \vdash n' \simeq e2'$
shows *graph-refinement g1 g2*
unfolding *graph-refinement-def* **apply** *rule*
apply (*metis b d ids-some no-encoding not-excluded-keep-type singleton-iff subsetI*)
apply (*rule allI*) **apply** (*rule impI*) **apply** (*rule allI*) **apply** (*rule impI*)
unfolding *graph-represents-expression-def*
proof −
fix *n e1*
assume *e*: $n \in ids\ g1$
assume *f*: $(g1 \vdash n \simeq e1)$

show $\exists\ e2.\ (g2 \vdash n \simeq e2) \wedge e1 \geq e2$
proof (*cases* $n = n'$)
case *True*
have *g*: $e1 = e1'$ **using** *c f True repDet* **by** *simp*
have *h*: $(g2 \vdash n \simeq e2') \wedge e1' \geq e2'$
using *True a d* **by** *blast*
then show *?thesis*
using *g* **by** *blast*
next
case *False*
have $n \notin \{n'\}$
using *False* **by** *simp*
then have *i*: $kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n$
using *not-excluded-keep-type*
using *b e* **by** *presburger*
show *?thesis* **using** *f i*
proof (*induction e1*)
case (*ConstantNode n c*)
then show *?case*
by (*metis eq-refl rep.ConstantNode*)
next
case (*ParameterNode n i s*)
then show *?case*
by (*metis eq-refl rep.ParameterNode*)
next
case (*ConditionalNode n c t f ce1 te1 fe1*)
have *k*: $g1 \vdash n \simeq ConditionalExpr\ ce1\ te1\ fe1$ **using** *f ConditionalNode*
by (*simp add*: *ConditionalNode.hyps*(*2*) *rep.ConditionalNode*)
obtain *cn tn fn* **where** *l*: $kind\ g1\ n = ConditionalNode\ cn\ tn\ fn$
using *ConditionalNode.hyps*(*1*) **by** *blast*
then have *mc*: $g1 \vdash cn \simeq ce1$
using *ConditionalNode.hyps*(*1*) *ConditionalNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *mt*: $g1 \vdash tn \simeq te1$
using *ConditionalNode.hyps*(*1*) *ConditionalNode.hyps*(*3*) **by** *fastforce*
from *l* **have** *mf*: $g1 \vdash fn \simeq fe1$
using *ConditionalNode.hyps*(*1*) *ConditionalNode.hyps*(*4*) **by** *fastforce*
then show *?case*

```
    proof −
      have g1 ⊢ cn ≃ ce1 using mc by simp
      have g1 ⊢ tn ≃ te1 using mt by simp
      have g1 ⊢ fn ≃ fe1 using mf by simp
      have cer: ∃ ce2. (g2 ⊢ cn ≃ ce2) ∧ ce1 ≥ ce2
        using ConditionalNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-ternary ConditionalNode)
      have ter: ∃ te2. (g2 ⊢ tn ≃ te2) ∧ te1 ≥ te2
       using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-ternary ConditionalNode)
      have ∃ fe2. (g2 ⊢ fn ≃ fe2) ∧ fe1 ≥ fe2
       using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-ternary ConditionalNode)
         then have ∃ ce2 te2 fe2. (g2 ⊢ n ≃ ConditionalExpr ce2 te2 fe2) ∧
ConditionalExpr ce1 te1 fe1 ≥ ConditionalExpr ce2 te2 fe2
        using ConditionalNode.prems l rep.ConditionalNode cer ter
        by (smt (verit) mono-conditional)
      then show ?thesis
        by meson
    qed
  next
    case (AbsNode n x xe1)
    have k: g1 ⊢ n ≃ UnaryExpr UnaryAbs xe1 using f AbsNode
      by (simp add: AbsNode.hyps(2) rep.AbsNode)
    obtain xn where l: kind g1 n = AbsNode xn
      using AbsNode.hyps(1) by blast
    then have m: g1 ⊢ xn ≃ xe1
      using AbsNode.hyps(1) AbsNode.hyps(2) by fastforce
    then show ?case
    proof (cases xn = n′)
      case True
      then have n: xe1 = e1′ using c m repDet by simp
      then have ev: g2 ⊢ n ≃ UnaryExpr UnaryAbs e2′ using AbsNode.hyps(1)
l m n
        using AbsNode.prems True d rep.AbsNode by simp
      then have r: UnaryExpr UnaryAbs e1′ ≥ UnaryExpr UnaryAbs e2′
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
    next
      case False
      have g1 ⊢ xn ≃ xe1 using m by simp
      have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using AbsNode
      using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        by (metis-node-eq-unary AbsNode)
```

```
        then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryAbs xe2) ∧ UnaryExpr
UnaryAbs xe1 ≥ UnaryExpr UnaryAbs xe2
          by (metis AbsNode.prems l mono-unary rep.AbsNode)
        then show ?thesis
          by meson
      qed
    next
      case (NotNode n x xe1)
      have k: g1 ⊢ n ≃ UnaryExpr UnaryNot xe1 using f NotNode
        by (simp add: NotNode.hyps(2) rep.NotNode)
      obtain xn where l: kind g1 n = NotNode xn
        using NotNode.hyps(1) by blast
      then have m: g1 ⊢ xn ≃ xe1
        using NotNode.hyps(1) NotNode.hyps(2) by fastforce
      then show ?case
      proof (cases xn = n')
        case True
        then have n: xe1 = e1' using c m repDet by simp
        then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNot e2' using NotNode.hyps(1)
l m n
          using NotNode.prems True d rep.NotNode by simp
        then have r: UnaryExpr UnaryNot e1' ≥ UnaryExpr UnaryNot e2'
          by (meson a mono-unary)
        then show ?thesis using ev r
          by (metis n)
      next
        case False
        have g1 ⊢ xn ≃ xe1 using m by simp
        have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
          using NotNode
          using False i b l not-excluded-keep-type singletonD no-encoding
          by (metis-node-eq-unary NotNode)
          then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryNot xe2) ∧ UnaryExpr
UnaryNot xe1 ≥ UnaryExpr UnaryNot xe2
          by (metis NotNode.prems l mono-unary rep.NotNode)
        then show ?thesis
          by meson
      qed
    next
      case (NegateNode n x xe1)
      have k: g1 ⊢ n ≃ UnaryExpr UnaryNeg xe1 using f NegateNode
        by (simp add: NegateNode.hyps(2) rep.NegateNode)
      obtain xn where l: kind g1 n = NegateNode xn
        using NegateNode.hyps(1) by blast
      then have m: g1 ⊢ xn ≃ xe1
        using NegateNode.hyps(1) NegateNode.hyps(2) by fastforce
      then show ?case
      proof (cases xn = n')
        case True
```

```
      then have n: xe1 = e1' using c m repDet by simp
     then have ev: g2 ⊢ n ≃ UnaryExpr UnaryNeg e2' using NegateNode.hyps(1)
l m n
        using NegateNode.prems True d rep.NegateNode by simp
      then have r: UnaryExpr UnaryNeg e1' ≥ UnaryExpr UnaryNeg e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
    next
      case False
      have g1 ⊢ xn ≃ xe1 using m by simp
      have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using NegateNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NegateNode)
        then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr UnaryNeg xe2) ∧ UnaryExpr
UnaryNeg xe1 ≥ UnaryExpr UnaryNeg xe2
        by (metis NegateNode.prems l mono-unary rep.NegateNode)
      then show ?thesis
        by meson
    qed
  next
    case (LogicNegationNode n x xe1)
     have k: g1 ⊢ n ≃ UnaryExpr UnaryLogicNegation xe1 using f LogicNega-
tionNode
      by (simp add: LogicNegationNode.hyps(2) rep.LogicNegationNode)
    obtain xn where l: kind g1 n = LogicNegationNode xn
      using LogicNegationNode.hyps(1) by blast
    then have m: g1 ⊢ xn ≃ xe1
      using LogicNegationNode.hyps(1) LogicNegationNode.hyps(2) by fastforce
    then show ?case
    proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c m repDet by simp
         then have ev: g2 ⊢ n ≃ UnaryExpr UnaryLogicNegation e2' using
LogicNegationNode.hyps(1) l m n
        using LogicNegationNode.prems True d rep.LogicNegationNode by simp
      then have r: UnaryExpr UnaryLogicNegation e1' ≥ UnaryExpr UnaryLog-
icNegation e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
    next
      case False
      have g1 ⊢ xn ≃ xe1 using m by simp
      have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using LogicNegationNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary LogicNegationNode)
```

then have $\exists$ *xe2*. (*g2* $\vdash$ *n* $\simeq$ *UnaryExpr UnaryLogicNegation xe2*) $\wedge$ *UnaryExpr UnaryLogicNegation xe1* $\geq$ *UnaryExpr UnaryLogicNegation xe2*
by (*metis LogicNegationNode.prems l mono-unary rep.LogicNegationNode*)
then show *?thesis*
by *meson*
qed
next
case (*AddNode n x y xe1 ye1*)
have *k*: *g1* $\vdash$ *n* $\simeq$ *BinaryExpr BinAdd xe1 ye1* **using** *f AddNode*
by (*simp add*: *AddNode.hyps*(*2*) *rep.AddNode*)
obtain *xn yn* **where** *l*: *kind g1 n* = *AddNode xn yn*
using *AddNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1* $\vdash$ *xn* $\simeq$ *xe1*
using *AddNode.hyps*(*1*) *AddNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1* $\vdash$ *yn* $\simeq$ *ye1*
using *AddNode.hyps*(*1*) *AddNode.hyps*(*3*) **by** *fastforce*
then show *?case*
proof −
have *g1* $\vdash$ *xn* $\simeq$ *xe1* **using** *mx* **by** *simp*
have *g1* $\vdash$ *yn* $\simeq$ *ye1* **using** *my* **by** *simp*
have *xer*: $\exists$ *xe2*. (*g2* $\vdash$ *xn* $\simeq$ *xe2*) $\wedge$ *xe1* $\geq$ *xe2*
using *AddNode*
using *a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary AddNode*)
have $\exists$ *ye2*. (*g2* $\vdash$ *yn* $\simeq$ *ye2*) $\wedge$ *ye1* $\geq$ *ye2*
using *AddNode*
using *a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary AddNode*)
then have $\exists$ *xe2 ye2*. (*g2* $\vdash$ *n* $\simeq$ *BinaryExpr BinAdd xe2 ye2*) $\wedge$ *BinaryExpr BinAdd xe1 ye1* $\geq$ *BinaryExpr BinAdd xe2 ye2*
by (*metis AddNode.prems l mono-binary rep.AddNode xer*)
then show *?thesis*
by *meson*
qed
next
case (*MulNode n x y xe1 ye1*)
have *k*: *g1* $\vdash$ *n* $\simeq$ *BinaryExpr BinMul xe1 ye1* **using** *f MulNode*
by (*simp add*: *MulNode.hyps*(*2*) *rep.MulNode*)
obtain *xn yn* **where** *l*: *kind g1 n* = *MulNode xn yn*
using *MulNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1* $\vdash$ *xn* $\simeq$ *xe1*
using *MulNode.hyps*(*1*) *MulNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1* $\vdash$ *yn* $\simeq$ *ye1*
using *MulNode.hyps*(*1*) *MulNode.hyps*(*3*) **by** *fastforce*
then show *?case*
proof −
have *g1* $\vdash$ *xn* $\simeq$ *xe1* **using** *mx* **by** *simp*
have *g1* $\vdash$ *yn* $\simeq$ *ye1* **using** *my* **by** *simp*
have *xer*: $\exists$ *xe2*. (*g2* $\vdash$ *xn* $\simeq$ *xe2*) $\wedge$ *xe1* $\geq$ *xe2*

```
      using MulNode
      using a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary MulNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using MulNode
      using a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary MulNode)
   then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinMul xe2 ye2) ∧ BinaryExpr
BinMul xe1 ye1 ≥ BinaryExpr BinMul xe2 ye2
      by (metis MulNode.prems l mono-binary rep.MulNode xer)
    then show ?thesis
      by meson
  qed
 next
  case (SubNode n x y xe1 ye1)
  have k: g1 ⊢ n ≃ BinaryExpr BinSub xe1 ye1 using f SubNode
    by (simp add: SubNode.hyps(2) rep.SubNode)
  obtain xn yn where l: kind g1 n = SubNode xn yn
    using SubNode.hyps(1) by blast
  then have mx: g1 ⊢ xn ≃ xe1
    using SubNode.hyps(1) SubNode.hyps(2) by fastforce
  from l have my: g1 ⊢ yn ≃ ye1
    using SubNode.hyps(1) SubNode.hyps(3) by fastforce
  then show ?case
  proof −
    have g1 ⊢ xn ≃ xe1 using mx by simp
    have g1 ⊢ yn ≃ ye1 using my by simp
    have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
      using SubNode
      using a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary SubNode)
    have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
   using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary SubNode)
   then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinSub xe2 ye2) ∧ BinaryExpr
BinSub xe1 ye1 ≥ BinaryExpr BinSub xe2 ye2
      by (metis SubNode.prems l mono-binary rep.SubNode xer)
    then show ?thesis
      by meson
  qed
 next
  case (AndNode n x y xe1 ye1)
  have k: g1 ⊢ n ≃ BinaryExpr BinAnd xe1 ye1 using f AndNode
    by (simp add: AndNode.hyps(2) rep.AndNode)
  obtain xn yn where l: kind g1 n = AndNode xn yn
    using AndNode.hyps(1) by blast
  then have mx: g1 ⊢ xn ≃ xe1
    using AndNode.hyps(1) AndNode.hyps(2) by fastforce
  from l have my: g1 ⊢ yn ≃ ye1
```

```
      using AndNode.hyps(1) AndNode.hyps(3) by fastforce
    then show ?case
    proof −
      have g1 ⊢ xn ≃ xe1 using mx by simp
      have g1 ⊢ yn ≃ ye1 using my by simp
      have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using AndNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AndNode)
      have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
          using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary AndNode)
     then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinAnd xe2 ye2) ∧ BinaryExpr
BinAnd xe1 ye1 ≥ BinaryExpr BinAnd xe2 ye2
        by (metis AndNode.prems l mono-binary rep.AndNode xer)
      then show ?thesis
        by meson
    qed
  next
    case (OrNode n x y xe1 ye1)
    have k: g1 ⊢ n ≃ BinaryExpr BinOr xe1 ye1 using f OrNode
      by (simp add: OrNode.hyps(2) rep.OrNode)
    obtain xn yn where l: kind g1 n = OrNode xn yn
      using OrNode.hyps(1) by blast
    then have mx: g1 ⊢ xn ≃ xe1
      using OrNode.hyps(1) OrNode.hyps(2) by fastforce
    from l have my: g1 ⊢ yn ≃ ye1
      using OrNode.hyps(1) OrNode.hyps(3) by fastforce
    then show ?case
    proof −
      have g1 ⊢ xn ≃ xe1 using mx by simp
      have g1 ⊢ yn ≃ ye1 using my by simp
      have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using OrNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
      have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
      using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
     then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinOr xe2 ye2) ∧ BinaryExpr
BinOr xe1 ye1 ≥ BinaryExpr BinOr xe2 ye2
        by (metis OrNode.prems l mono-binary rep.OrNode xer)
      then show ?thesis
        by meson
    qed
  next
    case (XorNode n x y xe1 ye1)
    have k: g1 ⊢ n ≃ BinaryExpr BinXor xe1 ye1 using f XorNode
```

by (*simp add*: *XorNode.hyps*(*2*) *rep.XorNode*)
obtain *xn yn* **where** *l*: *kind g1 n* = *XorNode xn yn*
using *XorNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1* ⊢ *xn* ≃ *xe1*
using *XorNode.hyps*(*1*) *XorNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1* ⊢ *yn* ≃ *ye1*
using *XorNode.hyps*(*1*) *XorNode.hyps*(*3*) **by** *fastforce*
then show *?case*
proof −
have *g1* ⊢ *xn* ≃ *xe1* **using** *mx* **by** *simp*
have *g1* ⊢ *yn* ≃ *ye1* **using** *my* **by** *simp*
have *xer*: ∃ *xe2*. (*g2* ⊢ *xn* ≃ *xe2*) ∧ *xe1* ≥ *xe2*
using *XorNode*
using *a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary XorNode*)
have ∃ *ye2*. (*g2* ⊢ *yn* ≃ *ye2*) ∧ *ye1* ≥ *ye2*
using *XorNode a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary XorNode*)
then have ∃ *xe2 ye2*. (*g2* ⊢ *n* ≃ *BinaryExpr BinXor xe2 ye2*) ∧ *BinaryExpr BinXor xe1 ye1* ≥ *BinaryExpr BinXor xe2 ye2*
by (*metis XorNode.prems l mono-binary rep.XorNode xer*)
then show *?thesis*
by *meson*
qed
next
case (*IntegerBelowNode n x y xe1 ye1*)
have *k*: *g1* ⊢ *n* ≃ *BinaryExpr BinIntegerBelow xe1 ye1* **using** *f IntegerBelowNode*
by (*simp add*: *IntegerBelowNode.hyps*(*2*) *rep.IntegerBelowNode*)
obtain *xn yn* **where** *l*: *kind g1 n* = *IntegerBelowNode xn yn*
using *IntegerBelowNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1* ⊢ *xn* ≃ *xe1*
using *IntegerBelowNode.hyps*(*1*) *IntegerBelowNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1* ⊢ *yn* ≃ *ye1*
using *IntegerBelowNode.hyps*(*1*) *IntegerBelowNode.hyps*(*3*) **by** *fastforce*
then show *?case*
proof −
have *g1* ⊢ *xn* ≃ *xe1* **using** *mx* **by** *simp*
have *g1* ⊢ *yn* ≃ *ye1* **using** *my* **by** *simp*
have *xer*: ∃ *xe2*. (*g2* ⊢ *xn* ≃ *xe2*) ∧ *xe1* ≥ *xe2*
using *IntegerBelowNode*
using *a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary IntegerBelowNode*)
have ∃ *ye2*. (*g2* ⊢ *yn* ≃ *ye2*) ∧ *ye1* ≥ *ye2*
using *IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary IntegerBelowNode*)
then have ∃ *xe2 ye2*. (*g2* ⊢ *n* ≃ *BinaryExpr BinIntegerBelow xe2 ye2*) ∧

BinaryExpr BinIntegerBelow xe1 ye1 ≥ BinaryExpr BinIntegerBelow xe2 ye2
by (*metis IntegerBelowNode.prems l mono-binary rep.IntegerBelowNode xer*)
then show *?thesis*
by *meson*
qed
next
case (*IntegerEqualsNode n x y xe1 ye1*)
have *k*: *g1 ⊢ n ≃ BinaryExpr BinIntegerEquals xe1 ye1* **using** *f IntegerEqualsNode*
by (*simp add*: *IntegerEqualsNode.hyps*(*2*) *rep.IntegerEqualsNode*)
obtain *xn yn* **where** *l*: *kind g1 n = IntegerEqualsNode xn yn*
using *IntegerEqualsNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1 ⊢ xn ≃ xe1*
using *IntegerEqualsNode.hyps*(*1*) *IntegerEqualsNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1 ⊢ yn ≃ ye1*
using *IntegerEqualsNode.hyps*(*1*) *IntegerEqualsNode.hyps*(*3*) **by** *fastforce*
then show *?case*
proof −
have *g1 ⊢ xn ≃ xe1* **using** *mx* **by** *simp*
have *g1 ⊢ yn ≃ ye1* **using** *my* **by** *simp*
have *xer*: ∃ *xe2*. (*g2 ⊢ xn ≃ xe2*) ∧ *xe1 ≥ xe2*
using *IntegerEqualsNode*
using *a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary IntegerEqualsNode*)
have ∃ *ye2*. (*g2 ⊢ yn ≃ ye2*) ∧ *ye1 ≥ ye2*
using *IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type repDet singletonD*
by (*metis-node-eq-binary IntegerEqualsNode*)
then have ∃ *xe2 ye2*. (*g2 ⊢ n ≃ BinaryExpr BinIntegerEquals xe2 ye2*) ∧ *BinaryExpr BinIntegerEquals xe1 ye1 ≥ BinaryExpr BinIntegerEquals xe2 ye2*
by (*metis IntegerEqualsNode.prems l mono-binary rep.IntegerEqualsNode xer*)
then show *?thesis*
by *meson*
qed
next
case (*IntegerLessThanNode n x y xe1 ye1*)
have *k*: *g1 ⊢ n ≃ BinaryExpr BinIntegerLessThan xe1 ye1* **using** *f IntegerLessThanNode*
by (*simp add*: *IntegerLessThanNode.hyps*(*2*) *rep.IntegerLessThanNode*)
obtain *xn yn* **where** *l*: *kind g1 n = IntegerLessThanNode xn yn*
using *IntegerLessThanNode.hyps*(*1*) **by** *blast*
then have *mx*: *g1 ⊢ xn ≃ xe1*
using *IntegerLessThanNode.hyps*(*1*) *IntegerLessThanNode.hyps*(*2*) **by** *fastforce*
from *l* **have** *my*: *g1 ⊢ yn ≃ ye1*
using *IntegerLessThanNode.hyps*(*1*) *IntegerLessThanNode.hyps*(*3*) **by** *fastforce*

```
      then show ?case
      proof −
        have g1 ⊢ xn ≃ xe1 using mx by simp
        have g1 ⊢ yn ≃ ye1 using my by simp
        have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
          using IntegerLessThanNode
          using a b c d l no-encoding not-excluded-keep-type repDet singletonD
          by (metis-node-eq-binary IntegerLessThanNode)
        have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
           using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
          by (metis-node-eq-binary IntegerLessThanNode)
       then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinIntegerLessThan xe2 ye2)
∧ BinaryExpr BinIntegerLessThan xe1 ye1 ≥ BinaryExpr BinIntegerLessThan xe2
ye2
      by (metis IntegerLessThanNode.prems l mono-binary rep.IntegerLessThanNode
xer)
        then show ?thesis
          by meson
      qed
    next
      case (NarrowNode n inputBits resultBits x xe1)
      have k: g1 ⊢ n ≃ UnaryExpr (UnaryNarrow inputBits resultBits) xe1 using
f NarrowNode
        by (simp add: NarrowNode.hyps(2) rep.NarrowNode)
      obtain xn where l: kind g1 n = NarrowNode inputBits resultBits xn
        using NarrowNode.hyps(1) by blast
      then have m: g1 ⊢ xn ≃ xe1
        using NarrowNode.hyps(1) NarrowNode.hyps(2)
        by auto
      then show ?case
      proof (cases xn = n′)
        case True
        then have n: xe1 = e1′ using c m repDet by simp
       then have ev: g2 ⊢ n ≃ UnaryExpr (UnaryNarrow inputBits resultBits) e2′
using NarrowNode.hyps(1) l m n
          using NarrowNode.prems True d rep.NarrowNode by simp
     then have r: UnaryExpr (UnaryNarrow inputBits resultBits) e1′ ≥ UnaryExpr
(UnaryNarrow inputBits resultBits) e2′
          by (meson a mono-unary)
        then show ?thesis using ev r
          by (metis n)
      next
        case False
        have g1 ⊢ xn ≃ xe1 using m by simp
        have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
          using NarrowNode
        using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
          by (metis-node-eq-ternary NarrowNode)
```

then have $\exists$ *xe2*. (*g2* $\vdash$ *n* $\simeq$ *UnaryExpr* (*UnaryNarrow inputBits resultBits*) *xe2*) $\wedge$ *UnaryExpr* (*UnaryNarrow inputBits resultBits*) *xe1* $\geq$ *UnaryExpr* (*UnaryNarrow inputBits resultBits*) *xe2*
by (*metis NarrowNode.prems l mono-unary rep.NarrowNode*)
then show *?thesis*
by *meson*
qed
next
case (*SignExtendNode n inputBits resultBits x xe1*)
have *k*: *g1* $\vdash$ *n* $\simeq$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *xe1* **using** *f SignExtendNode*
by (*simp add*: *SignExtendNode.hyps*(*2*) *rep.SignExtendNode*)
obtain *xn* **where** *l*: *kind g1 n* = *SignExtendNode inputBits resultBits xn*
using *SignExtendNode.hyps*(*1*) **by** *blast*
then have *m*: *g1* $\vdash$ *xn* $\simeq$ *xe1*
using *SignExtendNode.hyps*(*1*) *SignExtendNode.hyps*(*2*)
by *auto*
then show *?case*
proof (*cases xn* = *n'*)
case *True*
then have *n*: *xe1* = *e1'* **using** *c m repDet* **by** *simp*
then have *ev*: *g2* $\vdash$ *n* $\simeq$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *e2'* **using** *SignExtendNode.hyps*(*1*) *l m n*
using *SignExtendNode.prems True d rep.SignExtendNode* **by** *simp*
then have *r*: *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *e1'* $\geq$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *e2'*
by (*meson a mono-unary*)
then show *?thesis* **using** *ev r*
by (*metis n*)
next
case *False*
have *g1* $\vdash$ *xn* $\simeq$ *xe1* **using** *m* **by** *simp*
have $\exists$ *xe2*. (*g2* $\vdash$ *xn* $\simeq$ *xe2*) $\wedge$ *xe1* $\geq$ *xe2*
using *SignExtendNode*
using *False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff*
by (*metis-node-eq-ternary SignExtendNode*)
then have $\exists$ *xe2*. (*g2* $\vdash$ *n* $\simeq$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *xe2*) $\wedge$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *xe1* $\geq$ *UnaryExpr* (*UnarySignExtend inputBits resultBits*) *xe2*
by (*metis SignExtendNode.prems l mono-unary rep.SignExtendNode*)
then show *?thesis*
by *meson*
qed
next
case (*ZeroExtendNode n inputBits resultBits x xe1*)
have *k*: *g1* $\vdash$ *n* $\simeq$ *UnaryExpr* (*UnaryZeroExtend inputBits resultBits*) *xe1* **using** *f ZeroExtendNode*
by (*simp add*: *ZeroExtendNode.hyps*(*2*) *rep.ZeroExtendNode*)
obtain *xn* **where** *l*: *kind g1 n* = *ZeroExtendNode inputBits resultBits xn*

```
      using ZeroExtendNode.hyps(1) by blast
    then have m: g1 ⊢ xn ≃ xe1
      using ZeroExtendNode.hyps(1) ZeroExtendNode.hyps(2)
      by auto
    then show ?case
    proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c m repDet by simp
     then have ev: g2 ⊢ n ≃ UnaryExpr (UnaryZeroExtend inputBits resultBits)
e2' using ZeroExtendNode.hyps(1) l m n
        using ZeroExtendNode.prems True d rep.ZeroExtendNode by simp
        then have r: UnaryExpr (UnaryZeroExtend inputBits resultBits) e1' ≥
UnaryExpr (UnaryZeroExtend inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
    next
      case False
      have g1 ⊢ xn ≃ xe1 using m by simp
      have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
        using ZeroExtendNode
      using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        by (metis-node-eq-ternary ZeroExtendNode)
     then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr (UnaryZeroExtend inputBits result-
Bits) xe2) ∧ UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1 ≥ UnaryExpr
(UnaryZeroExtend inputBits resultBits) xe2
        by (metis ZeroExtendNode.prems l mono-unary rep.ZeroExtendNode)
      then show ?thesis
        by meson
    qed
  next
    case (LeafNode n s)
  then show ?case
    by (metis eq-refl rep.LeafNode)
  qed
 qed
qed

lemma graph-semantics-preservation-subscript:
  assumes a: e1' ≥ e2'
  assumes b: ({n} ⊴ as-set g1) ⊆ as-set g2
  assumes c: g1 ⊢ n ≃ e1'
  assumes d: g2 ⊢ n ≃ e2'
  shows graph-refinement g1 g2
  using graph-semantics-preservation assms by simp


lemma tree-to-graph-rewriting:
  e1 ≥ e2
```

$\wedge$ $(g_1 \vdash n \simeq e_1)$ $\wedge$ *maximal-sharing* g_1
$\wedge$ $(\{n\} \trianglelefteq$ *as-set* $g_1) \subseteq$ *as-set* g_2
$\wedge$ $(g_2 \vdash n \simeq e_2)$ $\wedge$ *maximal-sharing* g_2
$\Longrightarrow$ *graph-refinement* g_1 g_2
using *graph-semantics-preservation*
by *auto*

declare [[*simp-trace*]]
lemma *equal-refines*:
fixes *e1 e2* :: *IRExpr*
assumes *e1* = *e2*
shows *e1* $\geq$ *e2*
using *assms*
by *simp*
declare [[*simp-trace*=*false*]]

lemma *subset-implies-evals*:
assumes *as-set g1* $\subseteq$ *as-set g2*
shows $(g1 \vdash n \simeq e) \Longrightarrow (g2 \vdash n \simeq e)$
proof (*induction e arbitrary*: *n*)
case (*UnaryExpr op e*)
then have *n* $\in$ *ids g1*
using *no-encoding* **by** *force*
then have *kind g1 n* = *kind g2 n*
using *assms* **unfolding** *as-set-def*
by *blast*
then show *?case* **using** *UnaryExpr UnaryRepE*
by (*smt* (*verit*, *ccfv-threshold*) *AbsNode LogicNegationNode NarrowNode NegateNode NotNode SignExtendNode ZeroExtendNode*)
next
case (*BinaryExpr op e1 e2*)
then have *n* $\in$ *ids g1*
using *no-encoding* **by** *force*
then have *kind g1 n* = *kind g2 n*
using *assms* **unfolding** *as-set-def*
by *blast*
then show *?case* **using** *BinaryExpr BinaryRepE*
by (*smt* (*verit*, *ccfv-threshold*) *AddNode MulNode SubNode AndNode OrNode XorNode IntegerBelowNode IntegerEqualsNode IntegerLessThanNode*)
next
case (*ConditionalExpr e1 e2 e3*)
then have *n* $\in$ *ids g1*
using *no-encoding* **by** *force*
then have *kind g1 n* = *kind g2 n*
using *assms* **unfolding** *as-set-def*
by *blast*
then show *?case* **using** *ConditionalExpr ConditionalExprE*
by (*smt* (*verit*, *best*) *ConditionalNode ConditionalNodeE*)
next

```
  case (ConstantExpr x)
  then have n ∈ ids g1
    using no-encoding by force
  then have kind g1 n = kind g2 n
    using assms unfolding as-set-def
    by blast
  then show ?case using ConstantExpr ConstantExprE
    by (metis ConstantNode ConstantNodeE)
next
  case (ParameterExpr x1 x2)
  then have in-g1: n ∈ ids g1
    using no-encoding by force
  then have kinds: kind g1 n = kind g2 n
    using assms unfolding as-set-def
    by blast
  from in-g1 have stamps: stamp g1 n = stamp g2 n
    using assms unfolding as-set-def
    by blast
  from kinds stamps show ?case using ParameterExpr ParameterExprE
    by (metis ParameterNode ParameterNodeE)
next
  case (LeafExpr nid s)
  then have in-g1: n ∈ ids g1
    using no-encoding by force
  then have kinds: kind g1 n = kind g2 n
    using assms unfolding as-set-def
    by blast
  from in-g1 have stamps: stamp g1 n = stamp g2 n
    using assms unfolding as-set-def
    by blast
  from kinds stamps show ?case using LeafExpr LeafExprE LeafNode
   by (smt (z3) IRExpr.distinct(29) IRExpr.simps(16) IRExpr.simps(28) rep.simps)

next
  case (ConstantVar x)
  then have in-g1: n ∈ ids g1
    using no-encoding by force
  then have kinds: kind g1 n = kind g2 n
    using assms unfolding as-set-def
    by blast
  from in-g1 have stamps: stamp g1 n = stamp g2 n
    using assms unfolding as-set-def
    by blast
  from kinds stamps show ?case using ConstantVar
    using rep.simps by blast
next
  case (VariableExpr x s)
  then have in-g1: n ∈ ids g1
    using no-encoding by force
```

then have *kinds*: *kind g1 n = kind g2 n*
using *assms* **unfolding** *as-set-def*
by *blast*
from *in-g1* **have** *stamps*: *stamp g1 n = stamp g2 n*
using *assms* **unfolding** *as-set-def*
by *blast*
from *kinds stamps* **show** *?case* **using** *VariableExpr*
using *rep.simps* **by** *blast*
qed

lemma *subset-refines*:
assumes *as-set g1* $\subseteq$ *as-set g2*
shows *graph-refinement g1 g2*
proof −
have *ids g1* $\subseteq$ *ids g2* **using** *assms* **unfolding** *as-set-def*
by *blast*
then show *?thesis* **unfolding** *graph-refinement-def* **apply** *rule*
apply (*rule allI*) **apply** (*rule impI*) **apply** (*rule allI*) **apply** (*rule impI*)
unfolding *graph-represents-expression-def*
proof −
fix *n e1*
assume *1*:$n \in$ *ids g1*
assume *2*:*g1* $\vdash n \simeq$ *e1*

show $\exists$ *e2*. (*g2* $\vdash n \simeq$ *e2*) $\wedge$ *e1* $\geq$ *e2*
using *assms 1 2* **using** *subset-implies-evals*
by (*meson equal-refines*)
qed
qed

lemma *graph-construction*:
$e_1 \geq e_2$
$\wedge$ *as-set* $g_1 \subseteq$ *as-set* $g_2 \wedge$ *maximal-sharing* g_1
$\wedge$ ($g_2 \vdash n \simeq e_2$) $\wedge$ *maximal-sharing* g_2
$\Longrightarrow$ ($g_2 \vdash n \trianglelefteq e_1$) $\wedge$ *graph-refinement* g_1 g_2
using *subset-refines*
by (*meson encodeeval-def graph-represents-expression-def le-expr-def*)

end

7 Control-flow Semantics

theory *IRStepObj*
imports
TreeToGraph
begin

7.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the H[f][p] heap representation. See *cite{heap−reps−2011*}. We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

heapdef

```
type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b)
DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap ⇒ ('a, 'b) DynamicHeap × Value
where
  h-new-inst (h, n) = ((h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

```
definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap =  ((λf. λp. UndefVal), 0)
```

7.2 Intraprocedural Semantics

```
fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g n =
    (filter (λx.(is-PhiNode (kind g x)))
      (sorted-list-of-set (usages g n)))

fun input-index :: IRGraph ⇒ ID ⇒ ID ⇒ nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))

fun phi-inputs :: IRGraph ⇒ nat ⇒ ID list ⇒ ID list where
  phi-inputs g i nodes = (map (λn. (inputs-of (kind g n))!(i + 1)) nodes)

fun set-phis :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phis [] [] m = m |
  set-phis (n # xs) (v # vs) m = (set-phis xs vs (m(n := v))) |
```

set-phis [] (*v* # *vs*) *m* = *m* |
set-phis (*x* # *xs*) [] *m* = *m*

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

inductive *step* :: *IRGraph* ⇒ *Params* ⇒ (*ID* × *MapState* × *FieldRefHeap*) ⇒ (*ID* × *MapState* × *FieldRefHeap*) ⇒ *bool*
(-, - ⊢ - → - *55*) **for** *g p* **where**

SequentialNode:
⟦*is-sequential-node* (*kind g nid*);
nid′ = (*successors-of* (*kind g nid*))!*0*⟧
⟹ *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid′*, *m*, *h*) |

IfNode:
⟦*kind g nid* = (*IfNode cond tb fb*);
g ⊢ *cond* ≃ *condE*;
[*m*, *p*] ⊢ *condE* ↦ *val*;
nid′ = (*if val-to-bool val then tb else fb*)⟧
⟹ *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid′*, *m*, *h*) |

EndNodes:
⟦*is-AbstractEndNode* (*kind g nid*);
merge = *any-usage g nid*;
is-AbstractMergeNode (*kind g merge*);

i = *find-index nid* (*inputs-of* (*kind g merge*));
phis = (*phi-list g merge*);
inps = (*phi-inputs g i phis*);
g ⊢ *inps* ≃*L* *inpsE*;
[*m*, *p*] ⊢ *inpsE* ↦*L* *vs*;

m′ = *set-phis phis vs m*⟧
⟹ *g*, *p* ⊢ (*nid*, *m*, *h*) → (*merge*, *m′*, *h*) |

NewInstanceNode:
⟦*kind g nid* = (*NewInstanceNode nid f obj nid′*);
(*h′*, *ref*) = *h-new-inst h*;
m′ = *m*(*nid* := *ref*)⟧
⟹ *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid′*, *m′*, *h′*) |

LoadFieldNode:
⟦*kind g nid* = (*LoadFieldNode nid f* (*Some obj*) *nid′*);
g ⊢ *obj* ≃ *objE*;
[*m*, *p*] ⊢ *objE* ↦ *ObjRef ref*;
h-load-field f ref h = *v*;
m′ = *m*(*nid* := *v*)⟧

$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nid',\ m',\ h)\ |$

SignedDivNode:
$[\![kind\ g\ nid = (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye;$
$[m,\ p] \vdash xe \mapsto v1;$
$[m,\ p] \vdash ye \mapsto v2;$
$v = (intval\text{-}div\ v1\ v2);$
$m' = m(nid := v)]\!]$
$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nxt,\ m',\ h)\ |$

SignedRemNode:
$[\![kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);$
$g \vdash x \simeq xe;$
$g \vdash y \simeq ye;$
$[m,\ p] \vdash xe \mapsto v1;$
$[m,\ p] \vdash ye \mapsto v2;$
$v = (intval\text{-}mod\ v1\ v2);$
$m' = m(nid := v)]\!]$
$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nxt,\ m',\ h)\ |$

StaticLoadFieldNode:
$[\![kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid');$
$h\text{-}load\text{-}field\ f\ None\ h = v;$
$m' = m(nid := v)]\!]$
$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nid',\ m',\ h)\ |$

StoreFieldNode:
$[\![kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ \text{-}\ (Some\ obj)\ nid');$
$g \vdash newval \simeq newvalE;$
$g \vdash obj \simeq objE;$
$[m,\ p] \vdash newvalE \mapsto val;$
$[m,\ p] \vdash objE \mapsto ObjRef\ ref;$
$h' = h\text{-}store\text{-}field\ f\ ref\ val\ h;$
$m' = m(nid := val)]\!]$
$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nid',\ m',\ h')\ |$

StaticStoreFieldNode:
$[\![kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ \text{-}\ None\ nid');$
$g \vdash newval \simeq newvalE;$
$[m,\ p] \vdash newvalE \mapsto val;$
$h' = h\text{-}store\text{-}field\ f\ None\ val\ h;$
$m' = m(nid := val)]\!]$
$\Longrightarrow g,\ p \vdash (nid,\ m,\ h) \rightarrow (nid',\ m',\ h')$

code-pred (*modes*: $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool$) *step* **.**

7.3 Interprocedural Semantics

type-synonym *Signature* = *string*
type-synonym *Program* = *Signature* ⇀ *IRGraph*

inductive *step-top* :: *Program* ⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap* ⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap* ⇒ *bool*
(- ⊢ - ⟶ - *55*)
for *P* **where**

Lift:
⟦*g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m'*, *h'*)⟧
⟹ *P* ⊢ ((*g*,*nid*,*m*,*p*)#*stk*, *h*) ⟶ ((*g*,*nid'*,*m'*,*p*)#*stk*, *h'*) |

InvokeNodeStep:
⟦*is-Invoke* (*kind g nid*);

callTarget = *ir-callTarget* (*kind g nid*);
kind g callTarget = (*MethodCallTargetNode targetMethod arguments*);
Some targetGraph = *P targetMethod*;
m' = *new-map-state*;
g ⊢ *arguments* ≃$_L$ *argsE*;
[*m*, *p*] ⊢ *argsE* ↦$_L$ *p'*⟧
⟹ *P* ⊢ ((*g*,*nid*,*m*,*p*)#*stk*, *h*) ⟶ ((*targetGraph*,*0*,*m'*,*p'*)#(*g*,*nid*,*m*,*p*)#*stk*, *h*)
|

ReturnNode:
⟦*kind g nid* = (*ReturnNode* (*Some expr*) -);
g ⊢ *expr* ≃ *e*;
[*m*, *p*] ⊢ *e* ↦ *v*;

cm' = *cm*(*cnid* := *v*);
cnid' = (*successors-of* (*kind cg cnid*))!*0*⟧
⟹ *P* ⊢ ((*g*,*nid*,*m*,*p*)#(*cg*,*cnid*,*cm*,*cp*)#*stk*, *h*) ⟶ ((*cg*,*cnid'*,*cm'*,*cp*)#*stk*, *h*) |

ReturnNodeVoid:
⟦*kind g nid* = (*ReturnNode None* -);
cm' = *cm*(*cnid* := (*ObjRef* (*Some* (*2048*))));

cnid' = (*successors-of* (*kind cg cnid*))!*0*⟧
⟹ *P* ⊢ ((*g*,*nid*,*m*,*p*)#(*cg*,*cnid*,*cm*,*cp*)#*stk*, *h*) ⟶ ((*cg*,*cnid'*,*cm'*,*cp*)#*stk*, *h*) |

UnwindNode:
⟦*kind g nid* = (*UnwindNode exception*);

g ⊢ *exception* ≃ *exceptionE*;
[*m*, *p*] ⊢ *exceptionE* ↦ *e*;

kind cg cnid = (*InvokeWithExceptionNode* - - - - - - *exEdge*);

$cm' = cm(cnid := e)$⟧
⟹ $P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,\ h) \longrightarrow ((cg,exEdge,cm',cp)\#stk,\ h)$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow bool$) *step-top* **.**

7.4 Big-step Execution

type-synonym *Trace* = (*IRGraph* × *ID* × *MapState* × *Params*) *list*

fun *has-return* :: *MapState* ⇒ *bool* **where**
has-return m = (*m 0* ≠ *UndefVal*)

inductive *exec* :: *Program*
⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap*
⇒ *Trace*
⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap*
⇒ *Trace*
⇒ *bool*
(- ⊢ - | - ⟶* - | -)
for *P*
where
⟦$P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h')$;
¬(*has-return m'*);

$l' = (l\ @\ [(g,nid,m,p)])$;

exec P $(((g',nid',m',p')\#ys),h')$ *l' next-state l''*⟧
⟹ *exec P* $(((g,nid,m,p)\#xs),h)$ *l next-state l''*

|
⟦$P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h')$;
has-return m';

$l' = (l\ @\ [(g,nid,m,p)])$⟧
⟹ *exec P* $(((g,nid,m,p)\#xs),h)$ *l* $(((g',nid',m',p')\#ys),h')$ *l'*
code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool$ *as Exec*) *exec* **.**

inductive *exec-debug* :: *Program*
⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap*
⇒ *nat*
⇒ (*IRGraph* × *ID* × *MapState* × *Params*) *list* × *FieldRefHeap*
⇒ *bool*
(-⊢-⟶*-* -)
where
⟦$n > 0$;
$p \vdash s \longrightarrow s'$;
exec-debug p s' $(n - 1)$ *s''*⟧

$\Longrightarrow$ *exec-debug p s n s''* |

⟦*n = 0*⟧
$\Longrightarrow$ *exec-debug p s n s*
code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool*) *exec-debug* **.**

7.4.1 Heap Testing

definition *p3*:: *Params* **where**
p3 = [*IntVal32 3*]

values {(*prod.fst*(*prod.snd* (*prod.snd* (*hd* (*prod.fst res*))))) *0*
| *res*. (λ*x* . *Some eg2-sq*) ⊢ ([(*eg2-sq*,*0*,*new-map-state*,*p3*), (*eg2-sq*,*0*,*new-map-state*,*p3*)], *new-heap*) →∗*2*∗ *res*}

definition *field-sq* :: *string* **where**
field-sq = *''sq''*

definition *eg3-sq* :: *IRGraph* **where**
eg3-sq = *irgraph* [
(*0*, *StartNode None 4*, *VoidStamp*),
(*1*, *ParameterNode 0*, *default-stamp*),
(*3*, *MulNode 1 1*, *default-stamp*),
(*4*, *StoreFieldNode 4 field-sq 3 None None 5*, *VoidStamp*),
(*5*, *ReturnNode* (*Some 3*) *None*, *default-stamp*)
]

values {*h-load-field field-sq None* (*prod.snd res*)
| *res*. (λ*x*. *Some eg3-sq*) ⊢ ([(*eg3-sq*, *0*, *new-map-state*, *p3*), (*eg3-sq*, *0*, *new-map-state*, *p3*)], *new-heap*) →∗*3*∗ *res*}

definition *eg4-sq* :: *IRGraph* **where**
eg4-sq = *irgraph* [
(*0*, *StartNode None 4*, *VoidStamp*),
(*1*, *ParameterNode 0*, *default-stamp*),
(*3*, *MulNode 1 1*, *default-stamp*),
(*4*, *NewInstanceNode 4 ''obj-class'' None 5*, *ObjectStamp ''obj-class'' True True True*),
(*5*, *StoreFieldNode 5 field-sq 3 None* (*Some 4*) *6*, *VoidStamp*),
(*6*, *ReturnNode* (*Some 3*) *None*, *default-stamp*)
]

values {*h-load-field field-sq* (*Some 0*) (*prod.snd res*) | *res*.
(λ*x*. *Some eg4-sq*) ⊢ ([(*eg4-sq*, *0*, *new-map-state*, *p3*), (*eg4-sq*, *0*, *new-map-state*, *p3*)], *new-heap*) →∗*4*∗ *res*}

end

7.5 Control-flow Semantics Theorems

theory *IRStepThms*
imports
IRStepObj
TreeToGraphThms
begin

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

7.5.1 Control-flow Step is Deterministic

theorem *stepDet*:
$(g, p \vdash (nid,m,h) \rightarrow next) \Longrightarrow$
$(\forall\ next'.\ ((g, p \vdash (nid,m,h) \rightarrow next') \longrightarrow next = next'))$
proof (*induction rule*: *step.induct*)
case (*SequentialNode nid next m h*)
have *notif*: ¬(*is-IfNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps*
by (*metis is-IfNode-def*)
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps*
by (*metis is-AbstractEndNode.simps is-EndNode.elims*(*2*) *is-LoopEndNode-def*)
have *notnew*: ¬(*is-NewInstanceNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps*
by (*metis is-NewInstanceNode-def*)
have *notload*: ¬(*is-LoadFieldNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps*
by (*metis is-LoadFieldNode-def*)
have *notstore*: ¬(*is-StoreFieldNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps*
by (*metis is-StoreFieldNode-def*)
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
using *SequentialNode.hyps*(*1*) *is-sequential-node.simps is-SignedDivNode-def*
is-SignedRemNode-def
by (*metis is-IntegerDivRemNode.simps*)
from *notif notend notnew notload notstore notdivrem*
show *?case* **using** *SequentialNode step.cases*
by (*smt* (*z3*) *IRNode.disc*(*1028*) *IRNode.disc*(*2270*) *IRNode.discI*(*31*) *Pair-inject*
is-sequential-node.simps(*18*) *is-sequential-node.simps*(*43*) *is-sequential-node.simps*(*44*))
next
case (*IfNode nid cond tb fb m val next h*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *IfNode.hyps*(*1*))

have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *IfNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *IfNode.hyps*(*1*))
from *notseq notend notdivrem* **show** *?case* **using** *IfNode repDet evalDet IRNode.distinct IRNode.inject*(*11*) *Pair-inject step.simps*
by (*smt* (*z3*) *IRNode.distinct IRNode.inject*(*12*) *Pair-inject step.simps*)
next
case (*EndNodes nid merge i phis inputs m vs m' h*)
have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-AbstractEndNode.simps is-sequential-node.simps*
by (*metis is-EndNode.elims*(*2*) *is-LoopEndNode-def*)
have *notif*: ¬(*is-IfNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-IfNode-def is-AbstractEndNode.elims*
by (*metis IRNode.distinct-disc*(*1058*) *is-EndNode.simps*(*12*))
have *notref*: ¬(*is-RefNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-sequential-node.simps*
using *IRNode.disc*(*1899*) *IRNode.distinct*(*1473*) *is-AbstractEndNode.simps is-EndNode.elims*(*2*) *is-LoopEndNode-def is-RefNode-def*
by *metis*
have *notnew*: ¬(*is-NewInstanceNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-AbstractEndNode.simps*
using *IRNode.distinct-disc*(*1442*) *is-EndNode.simps*(*29*) *is-NewInstanceNode-def*
by (*metis IRNode.distinct-disc*(*1901*) *is-EndNode.simps*(*32*))
have *notload*: ¬(*is-LoadFieldNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-AbstractEndNode.simps*
using *is-LoadFieldNode-def*
by (*metis IRNode.distinct-disc*(*1706*) *is-EndNode.simps*(*21*))
have *notstore*: ¬(*is-StoreFieldNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-AbstractEndNode.simps is-StoreFieldNode-def*
by (*metis IRNode.distinct-disc*(*1926*) *is-EndNode.simps*(*44*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
using *EndNodes.hyps*(*1*) *is-AbstractEndNode.simps is-SignedDivNode-def is-SignedRemNode-def*
using *IRNode.distinct-disc*(*1498*) *IRNode.distinct-disc*(*1500*) *is-IntegerDivRemNode.simps is-EndNode.simps*(*36*) *is-EndNode.simps*(*37*)
by *auto*
from *notseq notif notref notnew notload notstore notdivrem*
show *?case* **using** *EndNodes repAllDet evalAllDet*
by (*smt* (*z3*) *is-IfNode-def is-LoadFieldNode-def is-NewInstanceNode-def is-RefNode-def is-StoreFieldNode-def is-SignedDivNode-def is-SignedRemNode-def Pair-inject is-IntegerDivRemNode.elims*(*3*) *step.cases*)
next
case (*NewInstanceNode nid f obj nxt h' ref h m' m*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))

using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notif*: ¬(*is-IfNode* (*kind g nid*))
using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notref*: ¬(*is-RefNode* (*kind g nid*))
using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notload*: ¬(*is-LoadFieldNode* (*kind g nid*))
using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notstore*: ¬(*is-StoreFieldNode* (*kind g nid*))
using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
using *is-AbstractMergeNode.simps*
by (*simp add*: *NewInstanceNode.hyps*(*1*))
from *notseq notend notif notref notload notstore notdivrem*
show *?case* **using** *NewInstanceNode step.cases*
by (*smt* (*z3*) *IRNode.disc*(*1028*) *IRNode.disc*(*2270*) *IRNode.discI*(*11*) *IRNode.distinct*(*2311*) *IRNode.distinct*(*2313*) *IRNode.inject*(*31*) *Pair-inject*)
next
case (*LoadFieldNode nid f obj nxt m ref h v m'*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *LoadFieldNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *LoadFieldNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *LoadFieldNode.hyps*(*1*))
from *notseq notend notdivrem*
show *?case* **using** *LoadFieldNode step.cases repDet evalDet*
by (*smt* (*z3*) *IRNode.distinct*(*1051*) *IRNode.distinct*(*1721*) *IRNode.distinct*(*1739*) *IRNode.distinct*(*1741*) *IRNode.distinct*(*1745*) *IRNode.inject*(*20*) *Pair-inject Value.inject*(*3*) *option.distinct*(*1*) *option.inject*)
next
case (*StaticLoadFieldNode nid f nxt h v m' m*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *StaticLoadFieldNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *StaticLoadFieldNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
by (*simp add*: *StaticLoadFieldNode.hyps*(*1*))
from *notseq notend notdivrem*
show *?case* **using** *StaticLoadFieldNode step.cases*

by (*smt* (*z3*) *IRNode.distinct*(*1051*) *IRNode.distinct*(*1721*) *IRNode.distinct*(*1739*) *IRNode.distinct*(*1741*) *IRNode.distinct*(*1745*) *IRNode.inject*(*20*) *Pair-inject option.distinct*(*1*))
next
case (*StoreFieldNode nid f newval uu obj nxt m val ref h' h m'*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *StoreFieldNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *StoreFieldNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
by (*simp add*: *StoreFieldNode.hyps*(*1*))
from *notseq notend notdivrem*
show *?case* **using** *StoreFieldNode step.cases repDet evalDet*
by (*smt* (*z3*) *IRNode.distinct*(*1097*) *IRNode.distinct*(*1745*) *IRNode.distinct*(*2317*) *IRNode.distinct*(*2605*) *IRNode.distinct*(*2627*) *IRNode.inject*(*43*) *Pair-inject Value.inject*(*3*) *option.distinct*(*1*) *option.inject*)
next
case (*StaticStoreFieldNode nid f newval uv nxt m val h' h m'*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *StaticStoreFieldNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *StaticStoreFieldNode.hyps*(*1*))
have *notdivrem*: ¬(*is-IntegerDivRemNode* (*kind g nid*))
by (*simp add*: *StaticStoreFieldNode.hyps*(*1*))
from *notseq notend notdivrem*
show *?case* **using** *StoreFieldNode step.cases repDet evalDet*
by (*smt* (*z3*) *IRNode.distinct*(*1097*) *IRNode.distinct*(*1745*) *IRNode.distinct*(*2317*) *IRNode.distinct*(*2605*) *IRNode.distinct*(*2627*) *IRNode.inject*(*43*) *Pair-inject StaticStoreFieldNode.hyps*(*1*) *StaticStoreFieldNode.hyps*(*2*) *StaticStoreFieldNode.hyps*(*3*) *StaticStoreFieldNode.hyps*(*4*) *StaticStoreFieldNode.hyps*(*5*) *option.distinct*(*1*))
next
case (*SignedDivNode nid x y zero sb nxt m v1 v2 v m' h*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*
by (*simp add*: *SignedDivNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *SignedDivNode.hyps*(*1*))
from *notseq notend*
show *?case* **using** *SignedDivNode step.cases repDet evalDet*
by (*smt* (*z3*) *IRNode.distinct*(*1091*) *IRNode.distinct*(*1739*) *IRNode.distinct*(*2311*) *IRNode.distinct*(*2601*) *IRNode.distinct*(*2605*) *IRNode.inject*(*40*) *Pair-inject*)
next
case (*SignedRemNode nid x y zero sb nxt m v1 v2 v m' h*)
then have *notseq*: ¬(*is-sequential-node* (*kind g nid*))
using *is-sequential-node.simps is-AbstractMergeNode.simps*

by (*simp add*: *SignedRemNode.hyps*(*1*))
have *notend*: ¬(*is-AbstractEndNode* (*kind g nid*))
using *is-AbstractEndNode.simps*
by (*simp add*: *SignedRemNode.hyps*(*1*))
from *notseq notend*
show *?case* **using** *SignedRemNode step.cases repDet evalDet*
by (*smt* (*z3*) *IRNode.distinct*(*1093*) *IRNode.distinct*(*1741*) *IRNode.distinct*(*2313*)
IRNode.distinct(*2601*) *IRNode.distinct*(*2627*) *IRNode.inject*(*41*) *Pair-inject*)
qed

lemma *stepRefNode*:
⟦*kind g nid* = *RefNode nid'*⟧ ⟹ *g*, *p* ⊢ (*nid*,*m*,*h*) → (*nid'*,*m*,*h*)
by (*simp add*: *SequentialNode*)

lemma *IfNodeStepCases*:
assumes *kind g nid* = *IfNode cond tb fb*
assumes *g* ⊢ *cond* ≃ *condE*
assumes [*m*, *p*] ⊢ *condE* ↦ *v*
assumes *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m*, *h*)
shows *nid'* ∈ {*tb*, *fb*}
using *step.IfNode repDet stepDet assms*
by (*metis insert-iff old.prod.inject*)

lemma *IfNodeSeq*:
shows *kind g nid* = *IfNode cond tb fb* ⟶ ¬(*is-sequential-node* (*kind g nid*))
unfolding *is-sequential-node.simps* **by** *simp*

lemma *IfNodeCond*:
assumes *kind g nid* = *IfNode cond tb fb*
assumes *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m*, *h*)
shows ∃ *condE v*. ((*g* ⊢ *cond* ≃ *condE*) ∧ ([*m*, *p*] ⊢ *condE* ↦ *v*))
using *assms*(*2*,*1*) **by** (*induct* (*nid*,*m*,*h*) (*nid'*,*m*,*h*) *rule*: *step.induct*; *auto*)

lemma *step-in-ids*:
assumes *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m'*, *h'*)
shows *nid* ∈ *ids g*
using *assms* **apply** (*induct* (*nid*, *m*, *h*) (*nid'*, *m'*, *h'*) *rule*: *step.induct*)
using *is-sequential-node.simps*(*45*) *not-in-g*
apply *simp*
apply (*metis is-sequential-node.simps*(*53*))
using *ids-some*
using *IRNode.distinct*(*1113*) **apply** *presburger*
using *EndNodes*(*1*) *is-AbstractEndNode.simps is-EndNode.simps*(*45*) *ids-some*
apply (*metis IRNode.disc*(*1218*) *is-EndNode.simps*(*52*))
by *simp+*

end

8 Proof Infrastructure

8.1 Bisimulation

theory *Bisimulation*
imports
Stuttering
begin

inductive *weak-bisimilar* :: *ID* ⇒ *IRGraph* ⇒ *IRGraph* ⇒ *bool*
(- . - ∼ -) **for** *nid* **where**
⟦∀ *P'*. (*g m p h* ⊢ *nid* ⇝ *P'*) ⟶ (∃ *Q'* . (*g' m p h* ⊢ *nid* ⇝ *Q'*) ∧ *P'* = *Q'*);
∀ *Q'*. (*g' m p h* ⊢ *nid* ⇝ *Q'*) ⟶ (∃ *P'* . (*g m p h* ⊢ *nid* ⇝ *P'*) ∧ *P'* = *Q'*)⟧
⟹ *nid* . *g* ∼ *g'*

A strong bisimilution between no-op transitions

inductive *strong-noop-bisimilar* :: *ID* ⇒ *IRGraph* ⇒ *IRGraph* ⇒ *bool*
(- | - ∼ -) **for** *nid* **where**
⟦∀ *P'*. (*g*, *p* ⊢ (*nid*, *m*, *h*) → *P'*) ⟶ (∃ *Q'* . (*g'*, *p* ⊢ (*nid*, *m*, *h*) → *Q'*) ∧ *P'* = *Q'*);
∀ *Q'*. (*g'*, *p* ⊢ (*nid*, *m*, *h*) → *Q'*) ⟶ (∃ *P'* . (*g*, *p* ⊢ (*nid*, *m*, *h*) → *P'*) ∧ *P'* = *Q'*)⟧
⟹ *nid* | *g* ∼ *g'*

lemma *lockstep-strong-bisimilulation*:
assumes *g'* = *replace-node nid node g*
assumes *g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m*, *h*)
assumes *g'*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m*, *h*)
shows *nid* | *g* ∼ *g'*
using *assms*(*2*) *assms*(*3*) *stepDet strong-noop-bisimilar.simps* **by** *metis*

lemma *no-step-bisimulation*:
assumes ∀ *m p h nid' m' h'*. ¬(*g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m'*, *h'*))
assumes ∀ *m p h nid' m' h'*. ¬(*g'*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m'*, *h'*))
shows *nid* | *g* ∼ *g'*
using *assms*
by (*simp add*: *assms*(*1*) *assms*(*2*) *strong-noop-bisimilar.intros*)

end

8.2 Formedness Properties

theory *Form*
imports
Semantics.TreeToGraph
Snippets.Snipping
begin

definition *wf-start* **where**
wf-start g = (*0* ∈ *ids g* ∧
is-StartNode (*kind g 0*))

definition *wf-closed* **where**
wf-closed g =
(∀ *n* ∈ *ids g* .
inputs g n ⊆ *ids g* ∧
succ g n ⊆ *ids g* ∧
kind g n ≠ *NoNode*)

definition *wf-phis* **where**
wf-phis g =
(∀ *n* ∈ *ids g*.
is-PhiNode (*kind g n*) ⟶
length (*ir-values* (*kind g n*))
= *length* (*ir-ends*
(*kind g* (*ir-merge* (*kind g n*)))))

definition *wf-ends* **where**
wf-ends g =
(∀ *n* ∈ *ids g* .
is-AbstractEndNode (*kind g n*) ⟶
card (*usages g n*) > *0*)

wf-graph

fun *wf-graph* :: *IRGraph* ⇒ *bool* **where**
wf-graph g = (*wf-start g* ∧ *wf-closed g* ∧ *wf-phis g* ∧ *wf-ends g*)

lemmas *wf-folds* =
wf-graph.simps
wf-start-def
wf-closed-def
wf-phis-def
wf-ends-def

fun *wf-stamps* :: *IRGraph* ⇒ *bool* **where**
wf-stamps g = (∀ *n* ∈ *ids g* .
(∀ *v m p e* . (*g* ⊢ *n* ≃ *e*) ∧ ([*m*, *p*] ⊢ *e* ↦ *v*) ⟶ *valid-value v* (*stamp-expr e*)))

fun *wf-stamp* :: *IRGraph* ⇒ (*ID* ⇒ *Stamp*) ⇒ *bool* **where**
wf-stamp g s = (∀ *n* ∈ *ids g* .
(∀ *v m p e* . (*g* ⊢ *n* ≃ *e*) ∧ ([*m*, *p*] ⊢ *e* ↦ *v*) ⟶ *valid-value v* (*s n*)))

lemma *wf-empty*: *wf-graph start-end-graph*
unfolding *start-end-graph-def wf-folds* **by** *simp*

lemma *wf-eg2-sq*: *wf-graph eg2-sq*
unfolding *eg2-sq-def wf-folds* **by** *simp*

fun *wf-logic-node-inputs* :: *IRGraph* ⇒ *ID* ⇒ *bool* **where**
wf-logic-node-inputs g n =
(∀ *inp* ∈ *set* (*inputs-of* (*kind g n*)) . (∀ *v m p* . ([*g*, *m*, *p*] ⊢ *inp* ↦ *v*) ⟶ *wf-bool v*))

fun *wf-values* :: *IRGraph* ⇒ *bool* **where**
wf-values g = (∀ *n* ∈ *ids g* .
(∀ *v m p* . ([*g*, *m*, *p*] ⊢ *n* ↦ *v*) ⟶
(*is-LogicNode* (*kind g n*) ⟶
wf-bool v ∧ *wf-logic-node-inputs g n*)))

end

8.3 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

theory *IRGraphFrames*
imports
Form
Semantics.IRTreeEval
begin

fun *unchanged* :: *ID set* ⇒ *IRGraph* ⇒ *IRGraph* ⇒ *bool* **where**
unchanged ns g1 g2 = (∀ *n* . *n* ∈ *ns* ⟶
(*n* ∈ *ids g1* ∧ *n* ∈ *ids g2* ∧ *kind g1 n* = *kind g2 n* ∧ *stamp g1 n* = *stamp g2 n*))

fun *changeonly* :: *ID set* ⇒ *IRGraph* ⇒ *IRGraph* ⇒ *bool* **where**
changeonly ns g1 g2 = (∀ *n* . *n* ∈ *ids g1* ∧ *n* ∉ *ns* ⟶
(*n* ∈ *ids g1* ∧ *n* ∈ *ids g2* ∧ *kind g1 n* = *kind g2 n* ∧ *stamp g1 n* = *stamp g2 n*))

lemma *node-unchanged*:
assumes *unchanged ns g1 g2*
assumes *nid* ∈ *ns*
shows *kind g1 nid* = *kind g2 nid*
using *assms* **by** *auto*

lemma *other-node-unchanged*:
assumes *changeonly ns g1 g2*
assumes *nid* ∈ *ids g1*
assumes *nid* ∉ *ns*
shows *kind g1 nid* = *kind g2 nid*
using *assms*

using *changeonly.simps* **by** *blast*

Some notation for input nodes used

inductive *eval-uses*:: *IRGraph* ⇒ *ID* ⇒ *ID* ⇒ *bool*
for *g* **where**

use0: *nid* ∈ *ids g*
⟹ *eval-uses g nid nid* |

use-inp: *nid′* ∈ *inputs g n*
⟹ *eval-uses g nid nid′* |

use-trans: ⟦*eval-uses g nid nid′*;
eval-uses g nid′ nid′′⟧
⟹ *eval-uses g nid nid′′*

fun *eval-usages* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
eval-usages g nid = {*n* ∈ *ids g* . *eval-uses g nid n*}

lemma *eval-usages-self*:
assumes *nid* ∈ *ids g*
shows *nid* ∈ *eval-usages g nid*
using *assms eval-usages.simps eval-uses.intros*(*1*)
by (*simp add*: *ids.rep-eq*)

lemma *not-in-g-inputs*:
assumes *nid* ∉ *ids g*
shows *inputs g nid* = {}
proof −
have *k*: *kind g nid* = *NoNode* **using** *assms not-in-g* **by** *blast*
then show *?thesis* **by** (*simp add*: *k*)
qed

lemma *child-member*:
assumes *n* = *kind g nid*
assumes *n* ≠ *NoNode*
assumes *List.member* (*inputs-of n*) *child*
shows *child* ∈ *inputs g nid*
unfolding *inputs.simps* **using** *assms*
by (*metis in-set-member*)

lemma *child-member-in*:
assumes *nid* ∈ *ids g*
assumes *List.member* (*inputs-of* (*kind g nid*)) *child*
shows *child* ∈ *inputs g nid*
unfolding *inputs.simps* **using** *assms*
by (*metis child-member ids-some inputs.elims*)

lemma *inp-in-g*:
assumes $n \in$ *inputs g nid*
shows *nid* $\in$ *ids g*
proof −
have *inputs g nid* $\neq \{\}$
using *assms*
by (*metis empty-iff empty-set*)
then have *kind g nid* $\neq$ *NoNode*
using *not-in-g-inputs*
using *ids-some* **by** *blast*
then show *?thesis*
using *not-in-g*
by *metis*
qed

lemma *inp-in-g-wf*:
assumes *wf-graph g*
assumes $n \in$ *inputs g nid*
shows $n \in$ *ids g*
using *assms* **unfolding** *wf-folds*
using *inp-in-g* **by** *blast*

lemma *kind-unchanged*:
assumes *nid* $\in$ *ids g1*
assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
shows *kind g1 nid* = *kind g2 nid*
proof −
show *?thesis*
using *assms eval-usages-self*
using *unchanged.simps* **by** *blast*
qed

lemma *stamp-unchanged*:
assumes *nid* $\in$ *ids g1*
assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
shows *stamp g1 nid* = *stamp g2 nid*
by (*meson assms*(*1*) *assms*(*2*) *eval-usages-self unchanged.elims*(*2*))

lemma *child-unchanged*:
assumes *child* $\in$ *inputs g1 nid*
assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
shows *unchanged* (*eval-usages g1 child*) *g1 g2*
by (*smt assms*(*1*) *assms*(*2*) *eval-usages.simps mem-Collect-eq*
unchanged.simps use-inp use-trans)

lemma *eval-usages*:
assumes *us* = *eval-usages g nid*
assumes *nid′* ∈ *ids g*
shows *eval-uses g nid nid′* ⟷ *nid′* ∈ *us* (**is** *?P* ⟷ *?Q*)
using *assms eval-usages.simps*
by (*simp add*: *ids.rep-eq*)

lemma *inputs-are-uses*:
assumes *nid′* ∈ *inputs g nid*
shows *eval-uses g nid nid′*
by (*metis assms use-inp*)

lemma *inputs-are-usages*:
assumes *nid′* ∈ *inputs g nid*
assumes *nid′* ∈ *ids g*
shows *nid′* ∈ *eval-usages g nid*
using *assms*(*1*) *assms*(*2*) *eval-usages inputs-are-uses* **by** *blast*

lemma *inputs-of-are-usages*:
assumes *List.member* (*inputs-of* (*kind g nid*)) *nid′*
assumes *nid′* ∈ *ids g*
shows *nid′* ∈ *eval-usages g nid*
by (*metis assms*(*1*) *assms*(*2*) *in-set-member inputs.elims inputs-are-usages*)

lemma *usage-includes-inputs*:
assumes *us* = *eval-usages g nid*
assumes *ls* = *inputs g nid*
assumes *ls* ⊆ *ids g*
shows *ls* ⊆ *us*
using *inputs-are-usages eval-usages*
using *assms*(*1*) *assms*(*2*) *assms*(*3*) **by** *blast*

lemma *elim-inp-set*:
assumes *k* = *kind g nid*
assumes *k* ≠ *NoNode*
assumes *child* ∈ *set* (*inputs-of k*)
shows *child* ∈ *inputs g nid*
using *assms* **by** *auto*

lemma *encode-in-ids*:
assumes *g* ⊢ *nid* ≃ *e*
shows *nid* ∈ *ids g*
using *assms*
apply (*induction rule*: *rep.induct*)
apply *simp+*
by *fastforce*

lemma *eval-in-ids*:
assumes [*g*, *m*, *p*] ⊢ *nid* ↦ *v*

shows *nid* ∈ *ids g*
using *assms* **using** *encodeeval-def encode-in-ids*
by *auto*

lemma *transitive-kind-same*:
assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
shows ∀ *nid′* ∈ (*eval-usages g1 nid*) . *kind g1 nid′* = *kind g2 nid′*
using *assms*
by (*meson unchanged.elims*(*1*))

theorem *stay-same-encoding*:
assumes *nc*: *unchanged* (*eval-usages g1 nid*) *g1 g2*
assumes *g1*: *g1* ⊢ *nid* ≃ *e*
assumes *wf*: *wf-graph g1*
shows *g2* ⊢ *nid* ≃ *e*
proof −
have *dom*: *nid* ∈ *ids g1*
using *g1 encode-in-ids* **by** *simp*
show *?thesis*
using *g1 nc wf dom* **proof** (*induction e rule*: *rep.induct*)
case (*ConstantNode n c*)
then have *kind g2 n* = *ConstantNode c*
using *dom nc kind-unchanged*
by *metis*
then show *?case* **using** *rep.ConstantNode*
by *presburger*
next
case (*ParameterNode n i s*)
then have *kind g2 n* = *ParameterNode i*
by (*metis kind-unchanged*)
then show *?case*
by (*metis ParameterNode.hyps*(*2*) *ParameterNode.prems*(*1*) *ParameterNode.prems*(*3*) *rep.ParameterNode stamp-unchanged*)
next
case (*ConditionalNode n c t f ce te fe*)
then have *kind g2 n* = *ConditionalNode c t f*
by (*metis kind-unchanged*)
have *c* ∈ *eval-usages g1 n* ∧ *t* ∈ *eval-usages g1 n* ∧ *f* ∈ *eval-usages g1 n*
using *inputs-of-ConditionalNode*
by (*metis ConditionalNode.hyps*(*1*) *ConditionalNode.hyps*(*2*) *ConditionalNode.hyps*(*3*) *ConditionalNode.hyps*(*4*) *encode-in-ids inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons subset-code*(*1*))
then show *?case* **using** *transitive-kind-same*
by (*metis ConditionalNode.hyps*(*1*) *ConditionalNode.prems*(*1*) *IRNodes.inputs-of-ConditionalNode* ‹*kind g2 n* = *ConditionalNode c t f*› *child-unchanged inputs.simps list.set-intros*(*1*) *local.ConditionalNode*(*5*) *local.ConditionalNode*(*6*) *local.ConditionalNode*(*7*) *local.ConditionalNode*(*9*) *rep.ConditionalNode set-subset-Cons subset-code*(*1*) *unchanged.elims*(*2*))
next
case (*AbsNode n x xe*)

then have *kind g2 n = AbsNode x*
using *kind-unchanged*
by *metis*
then have *x ∈ eval-usages g1 n*
using *inputs-of-AbsNode*
by (*metis AbsNode.hyps(1) AbsNode.hyps(2) encode-in-ids inputs.simps inputs-are-usages list.set-intros(1)*)
then show *?case*
by (*metis AbsNode.IH AbsNode.hyps(1) AbsNode.prems(1) AbsNode.prems(3) IRNodes.inputs-of-AbsNode ‹kind g2 n = AbsNode x› child-member-in child-unchanged local.wf member-rec(1) rep.AbsNode unchanged.simps*)
next
case (*NotNode n x xe*)
then have *kind g2 n = NotNode x*
using *kind-unchanged*
by *metis*
then have *x ∈ eval-usages g1 n*
using *inputs-of-NotNode*
by (*metis NotNode.hyps(1) NotNode.hyps(2) encode-in-ids inputs.simps inputs-are-usages list.set-intros(1)*)
then show *?case*
by (*metis NotNode.IH NotNode.hyps(1) NotNode.prems(1) NotNode.prems(3) IRNodes.inputs-of-NotNode ‹kind g2 n = NotNode x› child-member-in child-unchanged local.wf member-rec(1) rep.NotNode unchanged.simps*)
next
case (*NegateNode n x xe*)
then have *kind g2 n = NegateNode x*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n*
using *inputs-of-NegateNode*
by (*metis NegateNode.hyps(1) NegateNode.hyps(2) encode-in-ids inputs.simps inputs-are-usages list.set-intros(1)*)
then show *?case*
by (*metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1) NegateNode.prems(1) NegateNode.prems(3) ‹kind g2 n = NegateNode x› child-member-in child-unchanged local.wf member-rec(1) rep.NegateNode unchanged.elims(1)*)
next
case (*LogicNegationNode n x xe*)
then have *kind g2 n = LogicNegationNode x*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n*
using *inputs-of-LogicNegationNode inputs-of-are-usages*
by (*metis LogicNegationNode.hyps(1) LogicNegationNode.hyps(2) encode-in-ids member-rec(1)*)
then show *?case*
by (*metis IRNodes.inputs-of-LogicNegationNode LogicNegationNode.IH LogicNegationNode.hyps(1) LogicNegationNode.hyps(2) LogicNegationNode.prems(1) ‹kind g2 n = LogicNegationNode x› child-unchanged encode-in-ids inputs.simps list.set-intros(1) local.wf rep.LogicNegationNode*)

next
case (*AddNode n x y xe ye*)
then have *kind g2 n = AddNode x y*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n*
using *inputs-of-LogicNegationNode inputs-of-are-usages*
by (*metis AddNode.hyps*(*1*) *AddNode.hyps*(*2*) *AddNode.hyps*(*3*) *IRNodes.inputs-of-AddNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case*
by (*metis AddNode.IH*(*1*) *AddNode.IH*(*2*) *AddNode.hyps*(*1*) *AddNode.hyps*(*2*) *AddNode.hyps*(*3*) *AddNode.prems*(*1*) *IRNodes.inputs-of-AddNode ‹kind g2 n = AddNode x y› child-unchanged encode-in-ids in-set-member inputs.simps local.wf member-rec*(*1*) *rep.AddNode*)
next
case (*MulNode n x y xe ye*)
then have *kind g2 n = MulNode x y*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n*
using *inputs-of-LogicNegationNode inputs-of-are-usages*
by (*metis MulNode.hyps*(*1*) *MulNode.hyps*(*2*) *MulNode.hyps*(*3*) *IRNodes.inputs-of-MulNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *MulNode inputs-of-MulNode*
by (*metis ‹kind g2 n = MulNode x y› child-unchanged inputs.simps list.set-intros*(*1*) *rep.MulNode set-subset-Cons subset-iff unchanged.elims*(*2*))
next
case (*SubNode n x y xe ye*)
then have *kind g2 n = SubNode x y*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n*
using *inputs-of-LogicNegationNode inputs-of-are-usages*
by (*metis SubNode.hyps*(*1*) *SubNode.hyps*(*2*) *SubNode.hyps*(*3*) *IRNodes.inputs-of-SubNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *SubNode inputs-of-SubNode*
by (*metis ‹kind g2 n = SubNode x y› child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.SubNode*)
next
case (*AndNode n x y xe ye*)
then have *kind g2 n = AndNode x y*
using *kind-unchanged* **by** *metis*
then have *x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n*
using *inputs-of-LogicNegationNode inputs-of-are-usages*
by (*metis AndNode.hyps*(*1*) *AndNode.hyps*(*2*) *AndNode.hyps*(*3*) *IRNodes.inputs-of-AndNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *AndNode inputs-of-AndNode*
by (*metis ‹kind g2 n = AndNode x y› child-unchanged inputs.simps list.set-intros*(*1*) *rep.AndNode set-subset-Cons subset-iff unchanged.elims*(*2*))
next
case (*OrNode n x y xe ye*)
then have *kind g2 n = OrNode x y*

using *kind-unchanged* **by** *metis*
then have $x \in$ *eval-usages g1 n* $\wedge$ $y \in$ *eval-usages g1 n*
using *inputs-of-OrNode inputs-of-are-usages*
by (*metis OrNode.hyps*(*1*) *OrNode.hyps*(*2*) *OrNode.hyps*(*3*) *IRNodes.inputs-of-OrNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *OrNode inputs-of-OrNode*
by (*metis* ‹*kind g2 n* = *OrNode x y*› *child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.OrNode*)
next
case (*XorNode n x y xe ye*)
then have *kind g2 n* = *XorNode x y*
using *kind-unchanged* **by** *metis*
then have $x \in$ *eval-usages g1 n* $\wedge$ $y \in$ *eval-usages g1 n*
using *inputs-of-XorNode inputs-of-are-usages*
by (*metis XorNode.hyps*(*1*) *XorNode.hyps*(*2*) *XorNode.hyps*(*3*) *IRNodes.inputs-of-XorNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *XorNode inputs-of-XorNode*
by (*metis* ‹*kind g2 n* = *XorNode x y*› *child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.XorNode*)
next
case (*IntegerBelowNode n x y xe ye*)
then have *kind g2 n* = *IntegerBelowNode x y*
using *kind-unchanged* **by** *metis*
then have $x \in$ *eval-usages g1 n* $\wedge$ $y \in$ *eval-usages g1 n*
using *inputs-of-IntegerBelowNode inputs-of-are-usages*
by (*metis IntegerBelowNode.hyps*(*1*) *IntegerBelowNode.hyps*(*2*) *IntegerBelowNode.hyps*(*3*) *IRNodes.inputs-of-IntegerBelowNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *IntegerBelowNode inputs-of-IntegerBelowNode*
by (*metis* ‹*kind g2 n* = *IntegerBelowNode x y*› *child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.IntegerBelowNode*)
next
case (*IntegerEqualsNode n x y xe ye*)
then have *kind g2 n* = *IntegerEqualsNode x y*
using *kind-unchanged* **by** *metis*
then have $x \in$ *eval-usages g1 n* $\wedge$ $y \in$ *eval-usages g1 n*
using *inputs-of-IntegerEqualsNode inputs-of-are-usages*
by (*metis IntegerEqualsNode.hyps*(*1*) *IntegerEqualsNode.hyps*(*2*) *IntegerEqualsNode.hyps*(*3*) *IRNodes.inputs-of-IntegerEqualsNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *IntegerEqualsNode inputs-of-IntegerEqualsNode*
by (*metis* ‹*kind g2 n* = *IntegerEqualsNode x y*› *child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.IntegerEqualsNode*)
next
case (*IntegerLessThanNode n x y xe ye*)
then have *kind g2 n* = *IntegerLessThanNode x y*
using *kind-unchanged* **by** *metis*
then have $x \in$ *eval-usages g1 n* $\wedge$ $y \in$ *eval-usages g1 n*
using *inputs-of-IntegerLessThanNode inputs-of-are-usages*

by (*metis IntegerLessThanNode.hyps*(*1*) *IntegerLessThanNode.hyps*(*2*) *IntegerLessThanNode.hyps*(*3*) *IRNodes.inputs-of-IntegerLessThanNode encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros*(*1*) *set-subset-Cons*)
then show *?case* **using** *IntegerLessThanNode inputs-of-IntegerLessThanNode*
by (*metis ‹kind g2 n = IntegerLessThanNode x y› child-member child-unchanged encode-in-ids ids-some member-rec*(*1*) *rep.IntegerLessThanNode*)
next
case (*NarrowNode n ib rb x xe*)
then have *kind g2 n = NarrowNode ib rb x*
using *kind-unchanged* **by** *metis*
then have *x* ∈ *eval-usages g1 n*
using *inputs-of-NarrowNode inputs-of-are-usages*
by (*metis NarrowNode.hyps*(*1*) *NarrowNode.hyps*(*2*) *IRNodes.inputs-of-NarrowNode encode-in-ids inputs.simps inputs-are-usages list.set-intros*(*1*))
then show *?case* **using** *NarrowNode inputs-of-NarrowNode*
by (*metis ‹kind g2 n = NarrowNode ib rb x› child-unchanged inputs.elims list.set-intros*(*1*) *rep.NarrowNode unchanged.simps*)
next
case (*SignExtendNode n ib rb x xe*)
then have *kind g2 n = SignExtendNode ib rb x*
using *kind-unchanged* **by** *metis*
then have *x* ∈ *eval-usages g1 n*
using *inputs-of-SignExtendNode inputs-of-are-usages*
by (*metis SignExtendNode.hyps*(*1*) *SignExtendNode.hyps*(*2*) *encode-in-ids inputs.simps inputs-are-usages list.set-intros*(*1*))
then show *?case* **using** *SignExtendNode inputs-of-SignExtendNode*
by (*metis ‹kind g2 n = SignExtendNode ib rb x› child-member-in child-unchanged in-set-member list.set-intros*(*1*) *rep.SignExtendNode unchanged.elims*(*2*))
next
case (*ZeroExtendNode n ib rb x xe*)
then have *kind g2 n = ZeroExtendNode ib rb x*
using *kind-unchanged* **by** *metis*
then have *x* ∈ *eval-usages g1 n*
using *inputs-of-ZeroExtendNode inputs-of-are-usages*
by (*metis ZeroExtendNode.hyps*(*1*) *ZeroExtendNode.hyps*(*2*) *IRNodes.inputs-of-ZeroExtendNode encode-in-ids inputs.simps inputs-are-usages list.set-intros*(*1*))
then show *?case* **using** *ZeroExtendNode inputs-of-ZeroExtendNode*
by (*metis ‹kind g2 n = ZeroExtendNode ib rb x› child-member-in child-unchanged member-rec*(*1*) *rep.ZeroExtendNode unchanged.simps*)
next
case (*LeafNode n s*)
then show *?case*
by (*metis kind-unchanged rep.LeafNode stamp-unchanged*)
qed
qed

theorem *stay-same*:

```
  assumes nc: unchanged (eval-usages g1 nid) g1 g2
  assumes g1: [g1, m, p] ⊢ nid ↦ v1
  assumes wf: wf-graph g1
  shows [g2, m, p] ⊢ nid ↦ v1
proof −
  have nid: nid ∈ ids g1
    using g1 eval-in-ids by simp
  then have nid ∈ eval-usages g1 nid
    using eval-usages-self by blast
  then have kind-same: kind g1 nid = kind g2 nid
    using nc node-unchanged by blast
  obtain e where e: (g1 ⊢ nid ≃ e) ∧ ([m,p] ⊢ e ↦ v1)
    using encodeeval-def g1
    by auto
  then have val: [m,p] ⊢ e ↦ v1
    using g1 encodeeval-def
    by simp
  then show ?thesis using e nid nc
    unfolding encodeeval-def
  proof (induct e v1 arbitrary: nid rule: evaltree.induct)
    case (ConstantExpr c)
    then show ?case
      by (metis ConstantNode ConstantNodeE kind-unchanged)
  next
    case (ParameterExpr i s)
    have g2 ⊢ nid ≃ ParameterExpr i s
      using stay-same-encoding ParameterExpr
      by (meson local.wf)
    then show ?case using evaltree.ParameterExpr
      by (meson ParameterExpr.hyps)
  next
    case (ConditionalExpr ce cond branch te fe v)
    then have g2 ⊢ nid ≃ ConditionalExpr ce te fe
    using ConditionalExpr.prems(1) ConditionalExpr.prems(3) local.wf stay-same-encoding
      by presburger
    then show ?case
        by (meson ConditionalExpr.prems(1) ConditionalExpr.prems(3) local.wf
stay-same-encoding)
  next
    case (UnaryExpr xe v op)
    then show ?case
      using local.wf stay-same-encoding by blast
  next
    case (BinaryExpr xe x ye y op)
    then show ?case
      using local.wf stay-same-encoding by blast
  next
    case (LeafExpr val nid s)
    then show ?case
```

```
    by (metis local.wf stay-same-encoding)
  qed
qed


lemma add-changed:
  assumes gup = add-node new k g
  shows changeonly {new} g gup
  using assms unfolding add-node-def changeonly.simps
  using add-node.rep-eq add-node-def kind.rep-eq stamp.rep-eq by simp

lemma disjoint-change:
  assumes changeonly change g gup
  assumes nochange = ids g − change
  shows unchanged nochange g gup
  using assms unfolding changeonly.simps unchanged.simps
  by blast

lemma add-node-unchanged:
  assumes new ∉ ids g
  assumes nid ∈ ids g
  assumes gup = add-node new k g
  assumes wf-graph g
  shows unchanged (eval-usages g nid) g gup
proof −
  have new ∉ (eval-usages g nid) using assms
    using eval-usages.simps by blast
  then have changeonly {new} g gup
    using assms add-changed by blast
  then show ?thesis using assms add-node-def disjoint-change
    using Diff-insert-absorb by auto
qed

lemma eval-uses-imp:
  ((nid′ ∈ ids g ∧ nid = nid′)
    ∨ nid′ ∈ inputs g nid
    ∨ (∃ nid′′ . eval-uses g nid nid′′ ∧ eval-uses g nid′′ nid′))
    ⟷ eval-uses g nid nid′
  using use0 use-inp use-trans
  by (meson eval-uses.simps)

lemma wf-use-ids:
  assumes wf-graph g
  assumes nid ∈ ids g
  assumes eval-uses g nid nid′
  shows nid′ ∈ ids g
  using assms(3)
proof (induction rule: eval-uses.induct)
  case use0
```

then show *?case* **by** *simp*
next
case *use-inp*
then show *?case*
using *assms(1) inp-in-g-wf* **by** *blast*
next
case *use-trans*
then show *?case* **by** *blast*
qed

lemma *no-external-use*:
assumes *wf-graph g*
assumes *nid′ ∉ ids g*
assumes *nid ∈ ids g*
shows ¬(*eval-uses g nid nid′*)
proof −
have *0*: *nid ≠ nid′*
using *assms* **by** *blast*
have *inp*: *nid′ ∉ inputs g nid*
using *assms*
using *inp-in-g-wf* **by** *blast*
have *rec-0*: ∄*n* . *n ∈ ids g ∧ n = nid′*
using *assms* **by** *blast*
have *rec-inp*: ∄*n* . *n ∈ ids g ∧ n ∈ inputs g nid′*
using *assms(2) inp-in-g* **by** *blast*
have *rec*: ∄*nid″* . *eval-uses g nid nid″ ∧ eval-uses g nid″ nid′*
using *wf-use-ids assms(1) assms(2) assms(3)* **by** *blast*
from *inp 0 rec* **show** *?thesis*
using *eval-uses-imp* **by** *blast*
qed

end

8.4 Graph Rewriting

theory
Rewrites
imports
IRGraphFrames
Stuttering
begin

fun *replace-usages* :: *ID* ⇒ *ID* ⇒ *IRGraph* ⇒ *IRGraph* **where**
replace-usages nid nid′ g = *replace-node nid* (*RefNode nid′*, *stamp g nid′*) *g*

lemma *replace-usages-effect*:
assumes *g′* = *replace-usages nid nid′ g*
shows *kind g′ nid* = *RefNode nid′*
using *assms replace-node-lookup replace-usages.simps*

by (*metis IRNode.distinct*(*2755*))

lemma *replace-usages-changeonly*:
assumes *nid* ∈ *ids g*
assumes *g'* = *replace-usages nid nid' g*
shows *changeonly* {*nid*} *g g'*
using *assms* **unfolding** *replace-usages.simps*
by (*metis add-changed add-node-def replace-node-def*)

lemma *replace-usages-unchanged*:
assumes *nid* ∈ *ids g*
assumes *g'* = *replace-usages nid nid' g*
shows *unchanged* (*ids g* − {*nid*}) *g g'*
using *assms* **unfolding** *replace-usages.simps*
using *assms*(*2*) *disjoint-change replace-usages-changeonly* **by** *presburger*

fun *nextNid* :: *IRGraph* ⇒ *ID* **where**
nextNid g = (*Max* (*ids g*)) + *1*

lemma *max-plus-one*:
fixes *c* :: *ID set*
shows ⟦*finite c*; *c* ≠ {}⟧ ⟹ (*Max c*) + *1* ∉ *c*
by (*meson Max-gr-iff less-add-one less-irrefl*)

lemma *ids-finite*:
finite (*ids g*)
by *simp*

lemma *nextNidNotIn*:
ids g ≠ {} ⟶ *nextNid g* ∉ *ids g*
unfolding *nextNid.simps*
using *ids-finite max-plus-one* **by** *blast*

fun *constantCondition* :: *bool* ⇒ *ID* ⇒ *IRNode* ⇒ *IRGraph* ⇒ *IRGraph* **where**
constantCondition val nid (*IfNode cond t f*) *g* =
replace-node nid (*IfNode* (*nextNid g*) *t f*, *stamp g nid*)
(*add-node* (*nextNid g*) ((*ConstantNode* (*bool-to-val val*)), *constantAsStamp*
(*bool-to-val val*)) *g*) |
constantCondition cond nid - *g* = *g*

lemma *constantConditionTrue*:
assumes *kind g ifcond* = *IfNode cond t f*
assumes *g'* = *constantCondition True ifcond* (*kind g ifcond*) *g*
shows *g'*, *p* ⊢ (*ifcond*, *m*, *h*) → (*t*, *m*, *h*)
proof −
have *ifn*: ⋀ *c t f*. *IfNode c t f* ≠ *NoNode*
by *simp*

then have *if'*: *kind g' ifcond = IfNode (nextNid g) t f*
using *assms(1) assms(2) constantCondition.simps(1) replace-node-lookup*
by *presburger*
have *truedef*: *bool-to-val True = (IntVal32 1)*
by *auto*
from *ifn* **have** *ifcond ≠ (nextNid g)*
by (*metis assms(1) emptyE ids-some nextNidNotIn*)
moreover have ⋀ *c. ConstantNode c ≠ NoNode* **by** *simp*
ultimately have *kind g' (nextNid g) = ConstantNode (bool-to-val True)*
using *add-changed add-node-def assms(1) assms(2) constantCondition.simps(1) not-in-g other-node-unchanged replace-node-def replace-node-lookup singletonD*
by (*smt (z3) DiffI add-node-lookup replace-node-unchanged*)
then have *c'*: *kind g' (nextNid g) = ConstantNode (IntVal32 1)*
using *truedef* **by** *simp*
have *valid-value (IntVal32 1) (constantAsStamp (IntVal32 1))*
unfolding *constantAsStamp.simps valid-value.simps*
using *nat-numeral* **by** *blast*
then have [*g'*, *m*, *p*] ⊢ *nextNid g ↦ IntVal32 1*
using *ConstantExpr ConstantNode Value.distinct(1)* ‹*kind g' (nextNid g) = ConstantNode (bool-to-val True)*› *encodeeval-def truedef*
by *metis*
from *if' c'* **show** *?thesis* **using** *IfNode*
by (*metis* (*no-types*, *opaque-lifting*) *val-to-bool.simps(1)* ‹[*g'*,*m*,*p*] ⊢ *nextNid g ↦ IntVal32 1*› *encodeeval-def zero-neq-one*)
qed

lemma *constantConditionFalse*:
assumes *kind g ifcond = IfNode cond t f*
assumes *g' = constantCondition False ifcond (kind g ifcond) g*
shows *g'*, *p* ⊢ (*ifcond*, *m*, *h*) → (*f*, *m*, *h*)
proof −
have *ifn*: ⋀ *c t f. IfNode c t f ≠ NoNode*
by *simp*
then have *if'*: *kind g' ifcond = IfNode (nextNid g) t f*
by (*metis assms(1) assms(2) constantCondition.simps(1) replace-node-lookup*)
have *falsedef*: *bool-to-val False = (IntVal32 0)*
by *auto*
from *ifn* **have** *ifcond ≠ (nextNid g)*
by (*metis assms(1) equals0D ids-some nextNidNotIn*)
moreover have ⋀ *c. ConstantNode c ≠ NoNode* **by** *simp*
ultimately have *kind g' (nextNid g) = ConstantNode (bool-to-val False)*
by (*smt (z3) add-changed add-node-def assms(1) assms(2) constantCondition.simps(1) not-in-g other-node-unchanged replace-node-def replace-node-lookup singletonD*)
then have *c'*: *kind g' (nextNid g) = ConstantNode (IntVal32 0)*
using *falsedef* **by** *simp*
have *valid-value (IntVal32 0) (constantAsStamp (IntVal32 0))*
unfolding *constantAsStamp.simps valid-value.simps*
using *nat-numeral* **by** *blast*

then have $[g', m, p] \vdash nextNid\ g \mapsto IntVal32\ 0$
by (*metis ConstantExpr ConstantNode ‹kind g' (nextNid g) = ConstantNode (bool-to-val False)› encodeeval-def falsedef*)
from *if' c'* **show** *?thesis* **using** *IfNode*
by (*metis* (*no-types*, *opaque-lifting*) *val-to-bool.simps*(*1*) *‹[g',m,p] ⊢ nextNid g ↦ IntVal32 0› encodeeval-def*)
qed

lemma *diff-forall*:
assumes $\forall n{\in}ids\ g - \{nid\}.\ cond\ n$
shows $\forall n.\ n \in ids\ g \wedge n \notin \{nid\} \longrightarrow cond\ n$
by (*meson Diff-iff assms*)

lemma *replace-node-changeonly*:
assumes $g' = replace\text{-}node\ nid\ node\ g$
shows *changeonly* $\{nid\}\ g\ g'$
using *assms replace-node-unchanged*
unfolding *changeonly.simps* **using** *diff-forall*
by (*metis add-changed add-node-def changeonly.simps replace-node-def*)

lemma *add-node-changeonly*:
assumes $g' = add\text{-}node\ nid\ node\ g$
shows *changeonly* $\{nid\}\ g\ g'$
by (*metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq replace-node-changeonly*)

lemma *constantConditionNoEffect*:
assumes $\neg(is\text{-}IfNode\ (kind\ g\ nid))$
shows $g = constantCondition\ b\ nid\ (kind\ g\ nid)\ g$
using *assms* **apply** (*cases kind g nid*)
using *constantCondition.simps*
apply *presburger+*
apply (*metis is-IfNode-def*)
using *constantCondition.simps*
by *presburger+*

lemma *constantConditionIfNode*:
assumes $kind\ g\ nid = IfNode\ cond\ t\ f$
shows $constantCondition\ val\ nid\ (kind\ g\ nid)\ g =$
$replace\text{-}node\ nid\ (IfNode\ (nextNid\ g)\ t\ f,\ stamp\ g\ nid)$
$(add\text{-}node\ (nextNid\ g)\ ((ConstantNode\ (bool\text{-}to\text{-}val\ val)),\ constantAsStamp\ (bool\text{-}to\text{-}val\ val))\ g)$
using *constantCondition.simps*
by (*simp add*: *assms*)

lemma *constantCondition-changeonly*:
assumes $nid \in ids\ g$
assumes $g' = constantCondition\ b\ nid\ (kind\ g\ nid)\ g$
shows *changeonly* $\{nid\}\ g\ g'$

proof (*cases is-IfNode* (*kind g nid*))
case *True*
have *nextNid g* ∉ *ids g*
using *nextNidNotIn* **by** (*metis emptyE*)
then show *?thesis* **using** *assms*
using *replace-node-changeonly add-node-changeonly* **unfolding** *changeonly.simps*
using *True constantCondition.simps*(*1*) *is-IfNode-def*
by (*metis* (*no-types*, *lifting*) *insert-iff*)
next
case *False*
have *g* = *g*′
using *constantConditionNoEffect*
using *False assms*(*2*) **by** *blast*
then show *?thesis* **by** *simp*
qed

lemma *constantConditionNoIf*:
assumes ∀ *cond t f*. *kind g ifcond* ≠ *IfNode cond t f*
assumes *g*′ = *constantCondition val ifcond* (*kind g ifcond*) *g*
shows ∃ *nid*′ .(*g m p h* ⊢ *ifcond* ⇝ *nid*′) ⟷ (*g*′ *m p h* ⊢ *ifcond* ⇝ *nid*′)
proof −
have *g*′ = *g*
using *assms*(*2*) *assms*(*1*)
using *constantConditionNoEffect*
by (*metis IRNode.collapse*(*11*))
then show *?thesis* **by** *simp*
qed

lemma *constantConditionValid*:
assumes *kind g ifcond* = *IfNode cond t f*
assumes [*g*, *m*, *p*] ⊢ *cond* ↦ *v*
assumes *const* = *val-to-bool v*
assumes *g*′ = *constantCondition const ifcond* (*kind g ifcond*) *g*
shows ∃ *nid*′ .(*g m p h* ⊢ *ifcond* ⇝ *nid*′) ⟷ (*g*′ *m p h* ⊢ *ifcond* ⇝ *nid*′)
proof (*cases const*)
case *True*
have *ifstep*: *g*, *p* ⊢ (*ifcond*, *m*, *h*) → (*t*, *m*, *h*)
by (*meson IfNode True assms*(*1*) *assms*(*2*) *assms*(*3*) *encodeeval-def*)
have *ifstep*′: *g*′, *p* ⊢ (*ifcond*, *m*, *h*) → (*t*, *m*, *h*)
using *constantConditionTrue*
using *True assms*(*1*) *assms*(*4*) **by** *presburger*
from *ifstep ifstep*′ **show** *?thesis*
using *StutterStep* **by** *blast*
next
case *False*
have *ifstep*: *g*, *p* ⊢ (*ifcond*, *m*, *h*) → (*f*, *m*, *h*)
by (*meson IfNode False assms*(*1*) *assms*(*2*) *assms*(*3*) *encodeeval-def*)
have *ifstep*′: *g*′, *p* ⊢ (*ifcond*, *m*, *h*) → (*f*, *m*, *h*)

using *constantConditionFalse*
using *False assms(1) assms(4)* **by** *presburger*
from *ifstep ifstep'* **show** *?thesis*
using *StutterStep* **by** *blast*
qed

end

8.5 Stuttering

theory *Stuttering*
imports
Semantics.IRStepThms
begin

inductive *stutter*:: *IRGraph* ⇒ *MapState* ⇒ *Params* ⇒ *FieldRefHeap* ⇒ *ID* ⇒ *ID* ⇒ *bool* (- - - - ⊢ - ⇝ - *55*)
for *g m p h* **where**

StutterStep:
⟦*g*, *p* ⊢ (*nid*,*m*,*h*) → (*nid'*,*m*,*h*)⟧
⟹ *g m p h* ⊢ *nid* ⇝ *nid'* |

Transitive:
⟦*g*, *p* ⊢ (*nid*,*m*,*h*) → (*nid''*,*m*,*h*);
g m p h ⊢ *nid''* ⇝ *nid'*⟧
⟹ *g m p h* ⊢ *nid* ⇝ *nid'*

lemma *stuttering-successor*:
assumes (*g*, *p* ⊢ (*nid*, *m*, *h*) → (*nid'*, *m*, *h*))
shows {*P'*. (*g m p h* ⊢ *nid* ⇝ *P'*)} = {*nid'*} ∪ {*nid''*. (*g m p h* ⊢ *nid'* ⇝ *nid''*)}
proof −
have *nextin*: *nid'* ∈ {*P'*. (*g m p h* ⊢ *nid* ⇝ *P'*)}
using *assms StutterStep* **by** *blast*
have *nextsubset*: {*nid''*. (*g m p h* ⊢ *nid'* ⇝ *nid''*)} ⊆ {*P'*. (*g m p h* ⊢ *nid* ⇝ *P'*)}
by (*metis Collect-mono assms stutter.Transitive*)
have ∀ *n* ∈ {*P'*. (*g m p h* ⊢ *nid* ⇝ *P'*)} . *n* = *nid'* ∨ *n* ∈ {*nid''*. (*g m p h* ⊢ *nid'* ⇝ *nid''*)}
using *stepDet*
by (*metis* (*no-types*, *lifting*) *Pair-inject assms mem-Collect-eq stutter.simps*)
then show *?thesis*
using *insert-absorb mk-disjoint-insert nextin nextsubset* **by** *auto*
qed

end

8.6 Evaluation Stamp Theorems

theory *StampEvalThms*
imports *Semantics.IRTreeEvalThms*

begin

8.6.1 Evaluated Value Satisfies Stamps

lemma *size32*: *size v = 32* **for** *v :: 32 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3) mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *size64*: *size v = 64* **for** *v :: 64 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3) mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *signed-int-bottom32*: *−(((2::int) ^ 31)) ≤ sint (v::int32)*
using *sint-range-size size32*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def Suc-pred add-Suc add-Suc-right eval-nat-numeral(3) nat.inject numeral-2-eq-2 numeral-Bit0 numeral-Bit1 zero-less-numeral*)

lemma *signed-int-top32*: *(2 ^ 31) − 1 ≥ sint (v::int32)*
using *sint-range-size size32*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def Suc-pred add-Suc add-Suc-right eval-nat-numeral(3) nat.inject numeral-2-eq-2 numeral-Bit0 numeral-Bit1 zero-less-numeral*)

lemma *lower-bounds-equiv32*: *−(((2::int) ^ 31)) = (2::int) ^ 32 div 2 ∗ − 1*
by *fastforce*

lemma *upper-bounds-equiv32*: *(2::int) ^ 31 = (2::int) ^ 32 div 2*
by *simp*

lemma *bit-bounds-min32*: *((fst (bit-bounds 32))) ≤ (sint (v::int32))*
unfolding *bit-bounds.simps fst-def* **using** *signed-int-bottom32 lower-bounds-equiv32*
by *auto*

lemma *bit-bounds-max32*: *((snd (bit-bounds 32))) ≥ (sint (v::int32))*
unfolding *bit-bounds.simps fst-def* **using** *signed-int-top32 upper-bounds-equiv32*
by *auto*

lemma *signed-int-bottom64*: *−(((2::int) ^ 63)) ≤ sint (v::int64)*
using *sint-range-size size64*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def Suc-pred add-Suc add-Suc-right eval-nat-numeral(3) nat.inject numeral-2-eq-2 numeral-Bit0 numeral-Bit1 zero-less-numeral*)

lemma *signed-int-top64*: *(2 ^ 63) − 1 ≥ sint (v::int64)*
using *sint-range-size size64*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def Suc-pred add-Suc add-Suc-right eval-nat-numeral(3) nat.inject numeral-2-eq-2 numeral-Bit0 numeral-Bit1 zero-less-numeral*)

lemma *lower-bounds-equiv64*: $-(((2::int) \hat{} 63)) = (2::int) \hat{} 64 \ div\ 2 * - 1$
by *fastforce*

lemma *upper-bounds-equiv64*: $(2::int) \hat{} 63 = (2::int) \hat{} 64 \ div\ 2$
by *simp*

lemma *bit-bounds-min64*: $((fst\ (bit\text{-}bounds\ 64))) \leq (sint\ (v::int64))$
unfolding *bit-bounds.simps fst-def* **using** *signed-int-bottom64 lower-bounds-equiv64*
by *auto*

lemma *bit-bounds-max64*: $((snd\ (bit\text{-}bounds\ 64))) \geq (sint\ (v::int64))$
unfolding *bit-bounds.simps fst-def* **using** *signed-int-top64 upper-bounds-equiv64*
by *auto*

lemma *unrestricted-32bit-always-valid*:
$valid\text{-}value\ (IntVal32\ v)\ (unrestricted\text{-}stamp\ (IntegerStamp\ 32\ lo\ hi))$
using *valid-value.simps(1) bit-bounds-min32 bit-bounds-max32*
using *unrestricted-stamp.simps(2)* **by** *presburger*

lemma *unrestricted-64bit-always-valid*:
$valid\text{-}value\ (IntVal64\ v)\ (unrestricted\text{-}stamp\ (IntegerStamp\ 64\ lo\ hi))$
using *valid-value.simps(2) bit-bounds-min64 bit-bounds-max64*
using *unrestricted-stamp.simps(2)* **by** *presburger*

lemma *unary-undef*: $val = UndefVal \Longrightarrow unary\text{-}eval\ op\ val = UndefVal$
by (*cases op*; *auto*)

lemma *unary-obj*: $val = ObjRef\ x \Longrightarrow unary\text{-}eval\ op\ val = UndefVal$
by (*cases op*; *auto*)

lemma *unary-eval-implies-valid-value*:
assumes $[m,p] \vdash expr \mapsto val$
assumes $result = unary\text{-}eval\ op\ val$
assumes $result \neq UndefVal$
assumes $valid\text{-}value\ val\ (stamp\text{-}expr\ expr)$
shows $valid\text{-}value\ result\ (stamp\text{-}expr\ (UnaryExpr\ op\ expr))$
proof −
have *is-IntVal*: $\exists\ x\ y.\ result = IntVal32\ x \vee result = IntVal64\ y$
using *assms(2,3)* **apply** (*cases op*; *auto*; *cases val*; *auto*)
apply *metis*
by *metis*
then have $is\text{-}IntegerStamp\ (stamp\text{-}expr\ expr)$
using *assms(2,3,4)* **apply** (*cases* (*stamp-expr expr*); *auto*)
using *valid-VoidStamp unary-undef* **apply** *simp*
using *valid-VoidStamp unary-undef* **apply** *simp*
using *valid-ObjStamp unary-obj* **apply** *fastforce*
using *valid-ObjStamp unary-obj* **by** *fastforce*
then obtain *b lo hi* **where** *stamp-expr-def*: $stamp\text{-}expr\ expr = (IntegerStamp\ b$

lo hi)
  using *is-IntegerStamp-def* **by** *auto*
 then have *stamp-expr* (*UnaryExpr op expr*) = *unrestricted-stamp* (*IntegerStamp b lo hi*)
  using *stamp-expr.simps*(*1*) *stamp-unary.simps*(*1*) **by** *presburger*
 from *stamp-expr-def* **have** *bit32*: *b* = *32* ⟹ ∃ *x*. *result* = *IntVal32 x*
  using *assms*(*2*,*3*,*4*) **by** (*cases op*; *auto*; *cases val*; *auto*)
 from *stamp-expr-def* **have** *bit64*: *b* = *64* ⟹ ∃ *x*. *result* = *IntVal64 x*
  using *assms*(*2*,*3*,*4*) **by** (*cases op*; *auto*; *cases val*; *auto*)

 show *?thesis* **using** *valid-value.simps*(*1*,*2*)
  unrestricted-32bit-always-valid unrestricted-64bit-always-valid stamp-expr-def bit32 bit64
  by (*metis* ‹*stamp-expr* (*UnaryExpr op expr*) = *unrestricted-stamp* (*IntegerStamp b lo hi*)› *assms*(*4*) *valid32or64-both*)
qed

lemma *binary-undef*: *v1* = *UndefVal* ∨ *v2* = *UndefVal* ⟹ *bin-eval op v1 v2* = *UndefVal*
 by (*cases op*; *auto*)

lemma *binary-obj*: *v1* = *ObjRef x* ∨ *v2* = *ObjRef y* ⟹ *bin-eval op v1 v2* = *UndefVal*
 by (*cases op*; *auto*)

lemma *binary-eval-bits-equal*:
 assumes *result* = *bin-eval op val1 val2*
 assumes *result* ≠ *UndefVal*
 assumes *valid-value val1* (*IntegerStamp b1 lo1 hi1*)
 assumes *valid-value val2* (*IntegerStamp b2 lo2 hi2*)
 shows *b1* = *b2*
 using *assms*
 by (*cases op*; *cases val1*; *cases val2*; *auto*)

lemma *binary-eval-values*:
 assumes ∃ *x y*. *result* = *IntVal32 x* ∨ *result* = *IntVal64 y*
 assumes *result* = *bin-eval op val1 val2*
 shows ∃ *x32 x64 y32 y64*. *val1* = *IntVal32 x32* ∧ *val2* = *IntVal32 y32* ∨ *val1* = *IntVal64 x64* ∧ *val2* = *IntVal64 y64*
 using *assms* **apply** (*cases result*)
    apply *simp* **apply** (*cases op*; *cases val1*; *cases val2*; *auto*)
   apply (*cases op*; *cases val1*; *cases val2*; *auto*) **by** *auto*+

lemma *binary-eval-implies-valid-value*:
 assumes [*m*,*p*] ⊢ *expr1* ↦ *val1*
 assumes [*m*,*p*] ⊢ *expr2* ↦ *val2*
 assumes *result* = *bin-eval op val1 val2*
 assumes *result* ≠ *UndefVal*
 assumes *valid-value val1* (*stamp-expr expr1*)

assumes *valid-value val2* (*stamp-expr expr2*)
shows *valid-value result* (*stamp-expr* (*BinaryExpr op expr1 expr2*))
proof −
have *is-IntVal*: ∃ *x y*. *result* = *IntVal32 x* ∨ *result* = *IntVal64 y*
using *assms*(*1*,*2*,*3*,*4*) **apply** (*cases op*; *auto*; *cases val1*; *auto*; *cases val2*; *auto*)
by (*meson Values.bool-to-val.elims*)+
then have *expr1-intstamp*: *is-IntegerStamp* (*stamp-expr expr1*)
using *assms*(*1*,*3*,*4*,*5*) **apply** (*cases* (*stamp-expr expr1*); *auto simp*: *valid-VoidStamp binary-undef*)
using *valid-ObjStamp binary-obj* **apply** (*metis assms*(*4*))
using *valid-ObjStamp binary-obj* **by** (*metis assms*(*4*))
from *is-IntVal* **have** *expr2-intstamp*: *is-IntegerStamp* (*stamp-expr expr2*)
using *assms*(*2*,*3*,*4*,*6*) **apply** (*cases* (*stamp-expr expr2*); *auto simp*: *valid-VoidStamp binary-undef*)
using *valid-ObjStamp binary-obj* **apply** (*metis assms*(*4*))
using *valid-ObjStamp binary-obj* **by** (*metis assms*(*4*))
from *expr1-intstamp* **obtain** *b1 lo1 hi1* **where** *stamp-expr1-def*: *stamp-expr expr1* = (*IntegerStamp b1 lo1 hi1*)
using *is-IntegerStamp-def* **by** *auto*
from *expr2-intstamp* **obtain** *b2 lo2 hi2* **where** *stamp-expr2-def*: *stamp-expr expr2* = (*IntegerStamp b2 lo2 hi2*)
using *is-IntegerStamp-def* **by** *auto*

have ∃ *x32 x64 y32 y64* . (*val1* = *IntVal32 x32* ∧ *val2* = *IntVal32 y32*) ∨ (*val1* = *IntVal64 x64* ∧ *val2* = *IntVal64 y64*)
using *is-IntVal assms*(*3*) *binary-eval-values*
by *presburger*

have *b1* = *b2*
using *assms*(*3*,*4*,*5*,*6*) *stamp-expr1-def stamp-expr2-def*
using *binary-eval-bits-equal*
by *auto*
then have *stamp-def*: *stamp-expr* (*BinaryExpr op expr1 expr2*) =
(*case op* ∈ *fixed-32 of True* ⇒ *unrestricted-stamp* (*IntegerStamp 32 lo1 hi1*)| *False* ⇒ *unrestricted-stamp* (*IntegerStamp b1 lo1 hi1*))
using *stamp-expr.simps*(*2*) *stamp-binary.simps*(*1*)
using *stamp-expr1-def stamp-expr2-def* **by** *presburger*
from *stamp-expr1-def* **have** *bit32*: *b1* = *32* ⟹ ∃ *x*. *result* = *IntVal32 x*
using *assms* **apply** (*cases op*; *cases val1*; *cases val2*; *auto*)
by (*meson Values.bool-to-val.elims*)+
from *stamp-expr1-def* **have** *bit64*: *b1* = *64* ∧ *op* ∉ *fixed-32* ⟹ ∃ *x y*. *result* = *IntVal64 x*
using *assms* **apply** (*cases op*; *cases val1*; *cases val2*; *simp*)
using *fixed-32-def* **by** *auto*+
from *stamp-expr1-def* **have** *fixed*: *op* ∈ *fixed-32* ⟹ ∃ *x y*. *result* = *IntVal32 x*
using *assms* **unfolding** *fixed-32-def* **apply** (*cases op*; *auto*)
apply (*cases val1*; *cases val2*; *auto*)
using *bit32* **apply** *fastforce*
apply (*meson Values.bool-to-val.elims*)

```
     apply (cases val1; cases val2; auto)
    using bit32 apply fastforce
     apply (meson Values.bool-to-val.elims)
      apply (cases val1; cases val2; auto)
    using bit32 apply fastforce
    by (meson Values.bool-to-val.elims)

  show ?thesis apply (cases op ∈ fixed-32) defer using valid-value.simps(1,2)
    unrestricted-32bit-always-valid unrestricted-64bit-always-valid stamp-expr1-def
    bit32 bit64 stamp-def apply auto
    using ‹∃ x32 x64 y32 y64. val1 = IntVal32 x32 ∧ val2 = IntVal32 y32 ∨ val1
= IntVal64 x64 ∧ val2 = IntVal64 y64› assms(5) apply auto[1]
    using fixed by force
qed

lemma stamp-meet-is-valid:
  assumes valid-value val stamp1 ∨ valid-value val stamp2
  assumes meet stamp1 stamp2 ≠ IllegalStamp
  shows valid-value val (meet stamp1 stamp2)
  using assms proof (cases stamp1)
  case VoidStamp
  then show ?thesis
     by (metis Stamp.exhaust assms(1) assms(2) meet.simps(1) meet.simps(37)
meet.simps(44) meet.simps(51) meet.simps(58) meet.simps(65) meet.simps(66) meet.simps(67))
next
  case (IntegerStamp b lo hi)
  obtain b2 lo2 hi2 where stamp2-def: stamp2 = IntegerStamp b2 lo2 hi2
   by (metis IntegerStamp assms(2) meet.simps(45) meet.simps(52) meet.simps(59)
meet.simps(6) meet.simps(65) meet.simps(66) meet.simps(67) unrestricted-stamp.cases)
  then have b = b2 using meet.simps(2) assms(2)
    by (metis IntegerStamp)
  then have meet-def: meet stamp1 stamp2 = (IntegerStamp b (min lo lo2) (max
hi hi2))
    by (simp add: IntegerStamp stamp2-def)
  then show ?thesis proof (cases b = 32)
    case True
    then obtain x where val-def: val = IntVal32 x
      using IntegerStamp assms(1) valid32
      using ‹b = b2› stamp2-def by blast
    have min: sint x ≥ min lo lo2
      using val-def
      using IntegerStamp assms(1)
      using stamp2-def by force
    have max: sint x ≤ max hi hi2
      using val-def
      using IntegerStamp assms(1)
      using stamp2-def by force
    from min max show ?thesis
      by (simp add: True meet-def val-def)
```

```
  next
    case False
    then have bit64: b = 64
      using assms(1) IntegerStamp valid-value.simps
      valid32or64-both
      by (metis ‹b = b2› stamp2-def)
    then obtain x where val-def: val = IntVal64 x
      using IntegerStamp assms(1) valid64
      using ‹b = b2› stamp2-def by blast
    have min: sint x ≥ min lo lo2
      using val-def
      using IntegerStamp assms(1)
      using stamp2-def by force
    have max: sint x ≤ max hi hi2
      using val-def
      using IntegerStamp assms(1)
      using stamp2-def by force
    from min max show ?thesis
      by (simp add: bit64 meet-def val-def)
  qed
next
  case (KlassPointerStamp x31 x32)
  then show ?thesis using assms valid-value.elims(2)
    by (metis meet.simps(14) valid-value.simps(21))
next
  case (MethodCountersPointerStamp x41 x42)
  then show ?thesis using assms valid-value.elims(2)
    by (metis meet.simps(39) valid-value.simps(22))
next
  case (MethodPointersStamp x51 x52)
then show ?thesis using assms valid-value.elims(2)
  by (metis meet.simps(40) valid-value.simps(23))
next
  case (ObjectStamp x61 x62 x63 x64)
  then show ?thesis using assms
    using meet.simps(34) by blast
next
  case (RawPointerStamp x71 x72)
  then show ?thesis using assms
    using meet.simps(35) by blast
next
  case IllegalStamp
  then show ?thesis using assms
    using meet.simps(36) by blast
qed


lemma conditional-eval-implies-valid-value:
  assumes [m,p] ⊢ cond ↦ condv
```

assumes *expr* = (*if val-to-bool condv then expr1 else expr2*)
assumes [*m*,*p*] ⊢ *expr* ↦ *val*
assumes *val* ≠ *UndefVal*
assumes *valid-value condv* (*stamp-expr cond*)
assumes *valid-value val* (*stamp-expr expr*)
assumes *compatible* (*stamp-expr expr1*) (*stamp-expr expr2*)
shows *valid-value val* (*stamp-expr* (*ConditionalExpr cond expr1 expr2*))
proof −
have *meet* (*stamp-expr expr1*) (*stamp-expr expr2*) ≠ *IllegalStamp*
using *assms*
by (*metis Stamp.distinct*(*13*) *Stamp.distinct*(*25*) *compatible.elims*(*2*) *meet.simps*(*1*) *meet.simps*(*2*))
then show *?thesis* **using** *stamp-meet-is-valid* **using** *stamp-expr.simps*(*6*)
using *assms*(*2*) *assms*(*6*) **by** *presburger*
qed

experiment begin
lemma *stamp-implies-valid-value*:
assumes [*m*,*p*] ⊢ *expr* ↦ *val*
shows *valid-value val* (*stamp-expr expr*)
using *assms* **proof** (*induction expr val*)
case (*UnaryExpr expr val result op*)
then show *?case* **using** *unary-eval-implies-valid-value* **by** *simp*
next
case (*BinaryExpr expr1 val1 expr2 val2 result op*)
then show *?case* **using** *binary-eval-implies-valid-value* **by** *simp*
next
case (*ConditionalExpr cond condv expr expr1 expr2 val*)
then show *?case* **using** *conditional-eval-implies-valid-value* **sorry**
next
case (*ParameterExpr x1 x2*)
then show *?case* **by** *auto*
next
case (*LeafExpr x1 x2*)
then show *?case* **by** *auto*
next
case (*ConstantExpr x*)
then show *?case* **by** *auto*
qed

lemma *value-range*:
assumes [*m*, *p*] ⊢ *e* ↦ *v*
shows *v* ∈ {*val* . *valid-value val* (*stamp-expr e*)}
using *assms* **sorry**
end

lemma *upper-bound-32*:
assumes *val* = *IntVal32 v*
assumes ∃ *l h*. *s* = (*IntegerStamp 32 l h*)

shows *valid-value val s* $\Longrightarrow$ *sint v* $\leq$ (*stpi-upper s*)
using *assms* **by** *force*

lemma *upper-bound-64*:
assumes *val* = *IntVal64 v*
assumes $\exists$ *l h*. *s* = (*IntegerStamp 64 l h*)
shows *valid-value val s* $\Longrightarrow$ *sint v* $\leq$ (*stpi-upper s*)
using *assms* **by** *force*

lemma *lower-bound-32*:
assumes *val* = *IntVal32 v*
assumes $\exists$ *l h*. *s* = (*IntegerStamp 32 l h*)
shows *valid-value val s* $\Longrightarrow$ *sint v* $\geq$ (*stpi-lower s*)
using *assms* **by** *force*

lemma *lower-bound-64*:
assumes *val* = *IntVal64 v*
assumes $\exists$ *l h*. *s* = (*IntegerStamp 64 l h*)
shows *valid-value val s* $\Longrightarrow$ *sint v* $\geq$ (*stpi-lower s*)
using *assms*
by *force*

lemma *stamp-under-semantics*:
assumes *stamp-under* (*stamp-expr x*) (*stamp-expr y*)
assumes [*m*, *p*] $\vdash$ (*BinaryExpr BinIntegerLessThan x y*) $\mapsto$ *v*
assumes *stamp-implies-valid-value*: $\forall$ *m p expr val* . ([*m*,*p*] $\vdash$ *expr* $\mapsto$ *val*) $\longrightarrow$ *valid-value val* (*stamp-expr expr*)
shows *val-to-bool v*
proof −
obtain *xval* **where** *xval-def*: [*m*, *p*] $\vdash$ *x* $\mapsto$ *xval*
using *assms*(*2*) **by** *blast*
obtain *yval* **where** *yval-def*: [*m*, *p*] $\vdash$ *y* $\mapsto$ *yval*
using *assms*(*2*) **by** *blast*
have *is-IntVal32 xval* $\vee$ *is-IntVal64 xval*
by (*metis BinaryExprE Value.exhaust-disc assms*(*2*) *bin-eval.simps*(*11*) *binary-obj binary-undef evalDet intval-less-than.simps*(*9*) *is-ObjRef-def is-ObjStr-def xval-def*)
have *is-IntVal32 yval* $\vee$ *is-IntVal64 yval*
by (*metis BinaryExprE Value.exhaust-disc assms*(*2*) *bin-eval.simps*(*11*) *binary-obj binary-undef evalDet intval-less-than.simps*(*16*) *is-ObjRef-def is-ObjStr-def yval-def*)
have *is-IntVal32 xval* = *is-IntVal32 yval*
by (*metis BinaryExprE Value.collapse*(*2*) ‹*is-IntVal32 xval* $\vee$ *is-IntVal64 xval*› ‹*is-IntVal32 yval* $\vee$ *is-IntVal64 yval*› *assms*(*2*) *bin-eval.simps*(*11*) *evalDet intval-less-than.simps*(*12*) *intval-less-than.simps*(*5*) *is-IntVal32-def xval-def yval-def*)
have *is-IntVal64 xval* = *is-IntVal64 yval*
using ‹*is-IntVal32 xval* = *is-IntVal32 yval*› ‹*is-IntVal32 xval* $\vee$ *is-IntVal64 xval*› ‹*is-IntVal32 yval* $\vee$ *is-IntVal64 yval*› **by** *blast*

have (*intval-less-than xval yval*) ≠ *UndefVal*
using *assms*(*2*)
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet xval-def yval-def*)
have *is-IntVal32 xval* ⟹ ((∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*) ∧
(∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*))
using *assms*(*2*) *binary-eval-bits-equal stamp-implies-valid-value valid-value.elims*(*2*)
xval-def
by (*smt* (*verit*) *BinaryExprE Value.discI*(*2*) *Value.distinct-disc*(*9*) *assms*(*2*)
binary-eval-bits-equal stamp-implies-valid-value valid-value.elims(*2*) *xval-def*)
have *is-IntVal64 xval* ⟹ ((∃ *lo hi*. *stamp-expr x* = *IntegerStamp 64 lo hi*) ∧
(∃ *lo hi*. *stamp-expr y* = *IntegerStamp 64 lo hi*))
by (*smt* (*verit*, *best*) *BinaryExprE* ‹*intval-less-than xval yval* ≠ *UndefVal*›
assms(*2*) *binary-eval-bits-equal intval-less-than.simps*(*5*) *is-IntVal64-def stamp-implies-valid-value*
valid-value.elims(*2*) *yval-def*)
have *xvalid*: *valid-value xval* (*stamp-expr x*)
using *stamp-implies-valid-value xval-def* **by** *auto*
have *yvalid*: *valid-value yval* (*stamp-expr y*)
using *stamp-implies-valid-value yval-def* **by** *auto*
{ assume *c*: *is-IntVal32 xval*
obtain *xxval* **where** *x32*: *xval* = *IntVal32 xxval*
using *c is-IntVal32-def* **by** *blast*
obtain *yyval* **where** *y32*: *yval* = *IntVal32 yyval*
using ‹*is-IntVal32 xval* = *is-IntVal32 yval*› *c is-IntVal32-def* **by** *auto*
have *xs*: ∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*
by (*simp add*: ‹*is-IntVal32 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32*
lo hi) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*)› *c*)
have *ys*: ∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*
using ‹*is-IntVal32 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*)
∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*)› *c* **by** *blast*
have *sint xxval* ≤ *stpi-upper* (*stamp-expr x*)
using *upper-bound-32 x32 xs xvalid* **by** *presburger*
have *stpi-lower* (*stamp-expr y*) ≤ *sint yyval*
using *lower-bound-32 y32 ys yvalid* **by** *presburger*
have *stpi-upper* (*stamp-expr x*) < *stpi-lower* (*stamp-expr y*)
using *assms*(*1*) **unfolding** *stamp-under.simps*
by *auto*
then have *xxval* <*s yyval*
using *assms*(*1*) **unfolding** *stamp-under.simps*
using ‹*sint xxval* ⊑ *stpi-upper* (*stamp-expr x*)› ‹*stpi-lower* (*stamp-expr y*) ⊑
sint yyval› *word-sless-alt* **by** *fastforce*
then have (*intval-less-than xval yval*) = *IntVal32 1*
by (*simp add*: *x32 y32*)
}
note *case32* = *this*
{ assume *c*: *is-IntVal64 xval*
obtain *xxval* **where** *x64*: *xval* = *IntVal64 xxval*
using *c is-IntVal64-def* **by** *blast*
obtain *yyval* **where** *y64*: *yval* = *IntVal64 yyval*
using ‹*is-IntVal64 xval* = *is-IntVal64 yval*› *c is-IntVal64-def* **by** *auto*

have *xs*: ∃ *lo hi*. *stamp-expr x* = *IntegerStamp 64 lo hi*
by (*simp add*: ‹*is-IntVal64 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 64 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 64 lo hi*)› *c*)
have *ys*: ∃ *lo hi*. *stamp-expr y* = *IntegerStamp 64 lo hi*
using ‹*is-IntVal64 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 64 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 64 lo hi*)› *c* **by** *blast*
have *sint xxval* ≤ *stpi-upper* (*stamp-expr x*)
using *upper-bound-64 x64 xs xvalid* **by** *presburger*
have *stpi-lower* (*stamp-expr y*) ≤ *sint yyval*
using *lower-bound-64 y64 ys yvalid* **by** *presburger*
have *stpi-upper* (*stamp-expr x*) < *stpi-lower* (*stamp-expr y*)
using *assms*(*1*) **unfolding** *stamp-under.simps*
by *auto*
then have *xxval* <*s yyval*
using *assms*(*1*) **unfolding** *stamp-under.simps*
using ‹*sint xxval* ⊑ *stpi-upper* (*stamp-expr x*)› ‹*stpi-lower* (*stamp-expr y*) ⊑ *sint yyval*› *word-sless-alt* **by** *fastforce*
then have (*intval-less-than xval yval*) = *IntVal32 1*
by (*simp add*: *x64 y64*)
}
note *case64* = *this*
have (*intval-less-than xval yval*) = *IntVal32 1*
using ‹*is-IntVal32 xval* ∨ *is-IntVal64 xval*› *case32 case64* **by** *fastforce*
then show *?thesis*
by (*metis BinaryExprE assms*(*2*) *bin-eval.simps*(*11*) *evalDet val-to-bool.simps*(*1*) *xval-def yval-def zero-neq-one*)
qed

lemma *stamp-under-semantics-inversed*:
assumes *stamp-under* (*stamp-expr y*) (*stamp-expr x*)
assumes [*m*, *p*] ⊢ (*BinaryExpr BinIntegerLessThan x y*) ↦ *v*
assumes *stamp-implies-valid-value*: ∀ *m p expr val* . ([*m*,*p*] ⊢ *expr* ↦ *val*) ⟶ *valid-value val* (*stamp-expr expr*)
shows ¬(*val-to-bool v*)
proof −
obtain *xval* **where** *xval-def*: [*m*, *p*] ⊢ *x* ↦ *xval*
using *assms*(*2*) **by** *blast*
obtain *yval* **where** *yval-def*: [*m*, *p*] ⊢ *y* ↦ *yval*
using *assms*(*2*) **by** *blast*
have *is-IntVal32 xval* ∨ *is-IntVal64 xval*
by (*metis is-IntVal32-def is-IntVal64-def stamp-implies-valid-value valid-value.elims*(*2*) *xval-def*)
have *is-IntVal32 yval* ∨ *is-IntVal64 yval*
by (*metis is-IntVal32-def is-IntVal64-def stamp-implies-valid-value valid-value.elims*(*2*) *yval-def*)
have *is-IntVal32 xval* = *is-IntVal32 yval*
by (*metis BinaryExprE Value.collapse*(*2*) ‹*is-IntVal32 xval* ∨ *is-IntVal64 xval*› ‹*is-IntVal32 yval* ∨ *is-IntVal64 yval*› *assms*(*2*) *bin-eval.simps*(*11*) *evalDet intval-less-than.simps*(*12*) *intval-less-than.simps*(*5*) *is-IntVal32-def xval-def yval-def*)

have *is-IntVal64 xval = is-IntVal64 yval*
using ‹*is-IntVal32 xval = is-IntVal32 yval*› ‹*is-IntVal32 xval ∨ is-IntVal64 xval*› ‹*is-IntVal32 yval ∨ is-IntVal64 yval*› **by** *blast*
have (*intval-less-than xval yval*) ≠ *UndefVal*
using *assms*(*2*)
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet xval-def yval-def*)
have *is-IntVal32 xval* ⟹ ((∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*))
by (*smt* (*verit*) *BinaryExprE Value.discI*(*2*) *Value.distinct-disc*(*9*) *assms*(*2*) *binary-eval-bits-equal stamp-implies-valid-value valid-value.elims*(*2*) *xval-def*)
have *is-IntVal64 xval* ⟹ ((∃ *lo hi*. *stamp-expr x* = *IntegerStamp 64 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 64 lo hi*))
by (*smt* (*verit*, *best*) *BinaryExprE* ‹*intval-less-than xval yval* ≠ *UndefVal*› *assms*(*2*) *binary-eval-bits-equal intval-less-than.simps*(*5*) *is-IntVal64-def stamp-implies-valid-value valid-value.elims*(*2*) *yval-def*)
have *xvalid*: *valid-value xval* (*stamp-expr x*)
using *stamp-implies-valid-value xval-def* **by** *auto*
have *yvalid*: *valid-value yval* (*stamp-expr y*)
using *stamp-implies-valid-value yval-def* **by** *auto*
{ assume *c*: *is-IntVal32 xval*
obtain *xxval* **where** *x32*: *xval* = *IntVal32 xxval*
using *c is-IntVal32-def* **by** *blast*
obtain *yyval* **where** *y32*: *yval* = *IntVal32 yyval*
using ‹*is-IntVal32 xval = is-IntVal32 yval*› *c is-IntVal32-def* **by** *auto*
have *xs*: ∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*
by (*simp add*: ‹*is-IntVal32 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*)› *c*)
have *ys*: ∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*
using ‹*is-IntVal32 xval* ⟹ (∃ *lo hi*. *stamp-expr x* = *IntegerStamp 32 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y* = *IntegerStamp 32 lo hi*)› *c* **by** *blast*
have *sint yyval* ≤ *stpi-upper* (*stamp-expr y*)
using *y32 ys yvalid* **by** *force*
have *stpi-lower* (*stamp-expr x*) ≤ *sint xxval*
using *x32 xs xvalid* **by** *force*
have *stpi-upper* (*stamp-expr y*) < *stpi-lower* (*stamp-expr x*)
using *assms*(*1*) **unfolding** *stamp-under.simps*
by *auto*
then have *yyval* <*s xxval*
using *assms*(*1*) **unfolding** *stamp-under.simps*
using ‹*sint yyval* ⊑ *stpi-upper* (*stamp-expr y*)› ‹*stpi-lower* (*stamp-expr x*) ⊑ *sint xxval*› *word-sless-alt* **by** *fastforce*
then have (*intval-less-than xval yval*) = *IntVal32 0*
using *signed.less-not-sym x32 y32* **by** *fastforce*
}
note *case32* = *this*
{ assume *c*: *is-IntVal64 xval*
obtain *xxval* **where** *x64*: *xval* = *IntVal64 xxval*
using *c is-IntVal64-def* **by** *blast*
obtain *yyval* **where** *y64*: *yval* = *IntVal64 yyval*

using ‹*is-IntVal64 xval = is-IntVal64 yval*› *c is-IntVal64-def* **by** *auto*
have *xs*: ∃ *lo hi*. *stamp-expr x = IntegerStamp 64 lo hi*
by (*simp add*: ‹*is-IntVal64 xval* ⟹ (∃ *lo hi*. *stamp-expr x = IntegerStamp 64 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y = IntegerStamp 64 lo hi*)› *c*)
have *ys*: ∃ *lo hi*. *stamp-expr y = IntegerStamp 64 lo hi*
using ‹*is-IntVal64 xval* ⟹ (∃ *lo hi*. *stamp-expr x = IntegerStamp 64 lo hi*) ∧ (∃ *lo hi*. *stamp-expr y = IntegerStamp 64 lo hi*)› *c* **by** *blast*
have *sint yyval* ≤ *stpi-upper* (*stamp-expr y*)
using *y64 ys yvalid* **by** *force*
have *stpi-lower* (*stamp-expr x*) ≤ *sint xxval*
using *x64 xs xvalid* **by** *force*
have *stpi-upper* (*stamp-expr y*) < *stpi-lower* (*stamp-expr x*)
using *assms*(*1*) **unfolding** *stamp-under.simps*
by *auto*
then have *yyval* <*s xxval*
using *assms*(*1*) **unfolding** *stamp-under.simps*
using ‹*sint yyval* ⊑ *stpi-upper* (*stamp-expr y*)› ‹*stpi-lower* (*stamp-expr x*) ⊑ *sint xxval*› *word-sless-alt* **by** *fastforce*
then have (*intval-less-than xval yval*) = *IntVal32 0*
using *signed.less-imp-triv x64 y64* **by** *fastforce*
}
note *case64* = *this*
have (*intval-less-than xval yval*) = *IntVal32 0*
using ‹*is-IntVal32 xval* ∨ *is-IntVal64 xval*› *case32 case64* **by** *fastforce*
then show *?thesis*
by (*metis BinaryExprE assms*(*2*) *bin-eval.simps*(*11*) *evalDet val-to-bool.simps*(*1*) *xval-def yval-def*)
qed

end

9 Optization DSLs

theory *Markup*
imports *Semantics.IRTreeEval Snippets.Snipping*
begin

datatype *'a Rewrite* =
Transform 'a 'a (- ↦ - *10*) |
Conditional 'a 'a bool (- ↦ - *when* - *70*) |
Sequential 'a Rewrite 'a Rewrite |
Transitive 'a Rewrite

datatype *'a ExtraNotation* =
ConditionalNotation 'a 'a 'a (- *?* - : -) |
EqualsNotation 'a 'a (- *eq* -) |
ConstantNotation 'a (*const* - *120*) |
TrueNotation (*true*) |
FalseNotation (*false*)

ML-file ‹*markup.ML*›

ML ‹
structure IRExprTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term BinaryExpr} $ @{term BinAdd}
| markup DSL-Tokens.Sub = @{term BinaryExpr} $ @{term BinSub}
| markup DSL-Tokens.Mul = @{term BinaryExpr} $ @{term BinMul}
| markup DSL-Tokens.And = @{term BinaryExpr} $ @{term BinAnd}
| markup DSL-Tokens.Abs = @{term UnaryExpr} $ @{term UnaryAbs}
| markup DSL-Tokens.Less = @{term BinaryExpr} $ @{term BinIntegerLessThan}
| markup DSL-Tokens.Equals = @{term BinaryExpr} $ @{term BinIntegerEquals}
| markup DSL-Tokens.Not = @{term UnaryExpr} $ @{term UnaryLogicNegation}
| markup DSL-Tokens.Negate = @{term UnaryExpr} $ @{term UnaryNeg}
| markup DSL-Tokens.LeftShift = @{term BinaryExpr} $ @{term BinLeftShift}
| markup DSL-Tokens.RightShift = @{term BinaryExpr} $ @{term BinRightShift}
| markup DSL-Tokens.UnsignedRightShift = @{term BinaryExpr} $ @{term BinURightShift}
| markup DSL-Tokens.Conditional = @{term ConditionalExpr}
| markup DSL-Tokens.Constant = @{term ConstantExpr}
| markup DSL-Tokens.TrueConstant = @{term ConstantExpr (IntVal32 1)}
| markup DSL-Tokens.FalseConstant = @{term ConstantExpr (IntVal32 0)}
end

structure IntValTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term intval-add}
| markup DSL-Tokens.Sub = @{term intval-sub}
| markup DSL-Tokens.Mul = @{term intval-mul}
| markup DSL-Tokens.And = @{term intval-and}
| markup DSL-Tokens.Abs = @{term intval-abs}
| markup DSL-Tokens.Less = @{term intval-less-than}
| markup DSL-Tokens.Equals = @{term intval-equals}
| markup DSL-Tokens.Not = @{term intval-logic-negation}
| markup DSL-Tokens.Negate = @{term intval-negate}
| markup DSL-Tokens.LeftShift = @{term intval-left-shift}
| markup DSL-Tokens.RightShift = @{term intval-right-shift}
| markup DSL-Tokens.UnsignedRightShift = @{term intval-uright-shift}
| markup DSL-Tokens.Conditional = @{term intval-conditional}
| markup DSL-Tokens.Constant = @{term IntVal32}
| markup DSL-Tokens.TrueConstant = @{term IntVal32 1}
| markup DSL-Tokens.FalseConstant = @{term IntVal32 0}
end

structure IRExprMarkup = DSL-Markup(IRExprTranslator);
structure IntValMarkup = DSL-Markup(IntValTranslator);
›

ir expression translation

syntax -*expandExpr* :: *term* ⇒ *term* (*exp*[-])
parse-translation ‹ [(@{*syntax-const* -*expandExpr*} , *IRExprMarkup.markup-expr*)] ›

value expression translation

syntax -*expandIntVal* :: *term* ⇒ *term* (*val*[-])
parse-translation ‹ [(@{*syntax-const* -*expandIntVal*} , *IntValMarkup.markup-expr*)] ›

ir expression example

value $exp[(e_1 < e_2)\ ?\ e_1 : e_2]$

$ConditionalExpr\ (BinaryExpr\ BinIntegerLessThan\ e_1\ e_2)\ e_1\ e_2$

value expression example

value $val[(e_1 < e_2)\ ?\ e_1 : e_2]$

$intval\text{-}conditional\ (intval\text{-}less\text{-}than\ e_1\ e_2)\ e_1\ e_2$

value $exp[((e_1 - e_2) + (const\ (IntVal32\ 0)) + e_2) \mapsto e_1\ when\ True]$
value $val[((e_1 - e_2) + (const\ 0) + e_2) \mapsto e_1\ when\ True]$

end
theory *Phase*
  imports *Main*
begin

ML-file *map.ML*
ML-file *phase.ML*

end

9.1 Canonicalization DSL

theory *Canonicalization*
  imports
    Markup
    Phase
  keywords
    phase :: *thy-decl* **and**
    terminating :: *quasi-command* **and**
    print-phases :: *diag* **and**
    optimization :: *thy-goal-defn*

begin

ML ‹
```
datatype 'a Rewrite =
  Transform of 'a * 'a |
  Conditional of 'a * 'a * term |
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite

type rewrite = {name: string, rewrite: term Rewrite}

structure RewriteRule : Rule =
struct
type T = rewrite;

fun pretty-rewrite ctxt (Transform (from, to)) =
      Pretty.block [
        Syntax.pretty-term ctxt from,
        Pretty.str ↦ ,
        Syntax.pretty-term ctxt to
      ]
  | pretty-rewrite ctxt (Conditional (from, to, cond)) =
      Pretty.block [
        Syntax.pretty-term ctxt from,
        Pretty.str ↦ ,
        Syntax.pretty-term ctxt to,
        Pretty.str  when ,
        Syntax.pretty-term ctxt cond
      ]
  | pretty-rewrite - - = Pretty.str not implemented

fun pretty ctxt t =
  Pretty.block [
    Pretty.str ((#name t) ^: ),
    pretty-rewrite ctxt (#rewrite t)
  ]
end

structure RewritePhase = DSL-Phase(RewriteRule);

val - =
  Outer-Syntax.command command-keyword‹phase› enter an optimization phase
    (Parse.binding --| Parse.$$$ terminating -- Parse.const --| Parse.begin
      >> (Toplevel.begin-main-target true o RewritePhase.setup));

fun print-phases ctxt =
  let
    val thy = Proof-Context.theory-of ctxt;
    fun print phase = RewritePhase.pretty phase ctxt
```

```
  in
    map print (RewritePhase.phases thy)
  end

fun print-optimizations thy =
  print-phases thy |> Pretty.writeln-chunks

val - =
  Outer-Syntax.command command-keyword‹print-phases›
    print debug information for optimizations
    (Scan.succeed
      (Toplevel.keep (print-optimizations o Toplevel.context-of)));
›

ML-file rewrites.ML

fun rewrite-preservation :: IRExpr Rewrite ⇒ bool where
  rewrite-preservation (Transform x y) = (y ≤ x) |
  rewrite-preservation (Conditional x y cond) = (cond ⟶ (y ≤ x)) |
  rewrite-preservation (Sequential x y) = (rewrite-preservation x ∧ rewrite-preservation
y) |
  rewrite-preservation (Transitive x) = rewrite-preservation x

fun rewrite-termination :: IRExpr Rewrite ⇒ (IRExpr ⇒ nat) ⇒ bool where
  rewrite-termination (Transform x y) trm = (trm y < trm x) |
  rewrite-termination (Conditional x y cond) trm = (cond ⟶ (trm y < trm x)) |
  rewrite-termination (Sequential x y) trm = (rewrite-termination x trm ∧ rewrite-termination
y trm) |
  rewrite-termination (Transitive x) trm = rewrite-termination x trm

fun intval :: Value Rewrite ⇒ bool where
  intval (Transform x y) = (x ≠ UndefVal ∧ y ≠ UndefVal ⟶ x = y) |
  intval (Conditional x y cond) = (cond ⟶ (x = y)) |
  intval (Sequential x y) = (intval x ∧ intval y) |
  intval (Transitive x) = intval x

ML ‹
structure System : RewriteSystem =
struct
val preservation = @{const rewrite-preservation};
val termination = @{const rewrite-termination};
val intval = @{const intval};
end

structure DSL = DSL-Rewrites(System);

val - =
  Outer-Syntax.local-theory-to-proof command-keyword‹optimization›
    define an optimization and open proof obligation
```

(*Parse-Spec.thm-name* : −− *Parse.term*
>> *DSL.rewrite-cmd*);
›

end

10 Canonicalization Phase

theory *Common*
imports
OptimizationDSL.Canonicalization
HOL−Eisbach.Eisbach
begin

fun *size* :: *IRExpr* ⇒ *nat* **where**
size (*UnaryExpr op e*) = (*size e*) + *1* |
size (*BinaryExpr BinAdd x y*) = (*size x*) + ((*size y*) ∗ *2*) |
size (*BinaryExpr op x y*) = (*size x*) + (*size y*) |
size (*ConditionalExpr cond t f*) = (*size cond*) + (*size t*) + (*size f*) + *2* |
size (*ConstantExpr c*) = *1* |
size (*ParameterExpr ind s*) = *2* |
size (*LeafExpr nid s*) = *2* |
size (*ConstantVar c*) = *2* |
size (*VariableExpr x s*) = *2*

method *unfold-optimization* =
(*unfold rewrite-preservation.simps*, *unfold rewrite-termination.simps*,
unfold intval.simps,
rule conjE, *simp*, *simp del*: *le-expr-def*)
| (*unfold rewrite-preservation.simps*, *unfold rewrite-termination.simps*,
rule conjE, *simp*, *simp del*: *le-expr-def*)

end

10.1 Conditional Expression

theory *ConditionalPhase*
imports
Common
Proofs.StampEvalThms
begin

phase *Conditional*
terminating *size*
begin

lemma *negates*: *is-IntVal32 e* ∨ *is-IntVal64 e* ⟹ *val-to-bool* (*val*[*e*]) ≡ ¬(*val-to-bool* (*val*[¬*e*]))
by (*smt* (*verit*, *best*) *Value.disc*(*1*) *Value.disc*(*10*) *Value.disc*(*4*) *Value.disc*(*5*)

Value.disc(6) Value.disc(9) intval-logic-negation.elims val-to-bool.simps(1) val-to-bool.simps(2) zero-neq-one)

optimization *negate-condition*: $((\neg e)\ ?\ x : y) \mapsto (e\ ?\ y : x)$
  apply *unfold-optimization* **apply** *simp* **using** *negates*
 using *ConditionalExprE UnaryExprE intval-logic-negation.elims unary-eval.simps(4) val-to-bool.simps(1) val-to-bool.simps(2) zero-neq-one*
  apply (*smt* (*verit*) *ConditionalExpr*)
  unfolding *size.simps* **by** *simp*

optimization *const-true*: $(true\ ?\ x : y) \mapsto x$
 apply *unfold-optimization*
 apply *force*
 unfolding *size.simps* **by** *simp*

optimization *const-false*: $(false\ ?\ x : y) \mapsto y$
 apply *unfold-optimization*
 apply *force*
 unfolding *size.simps* **by** *simp*

optimization *equal-branches*: $(e\ ?\ x : x) \mapsto x$
 apply *unfold-optimization*
 apply *force*
 unfolding *size.simps* **by** *auto*

definition *wff-stamps* :: *bool* **where**
 wff-stamps = $(\forall\ m\ p\ expr\ val\ .\ ([m,p] \vdash expr \mapsto val) \longrightarrow valid\text{-}value\ val\ (stamp\text{-}expr\ expr))$

optimization *condition-bounds-x*: $((x < y)\ ?\ x : y) \mapsto x\ when\ (stamp\text{-}under\ (stamp\text{-}expr\ x)\ (stamp\text{-}expr\ y) \wedge wff\text{-}stamps)$
 apply *unfold-optimization*
 using *stamp-under-semantics*
 using *wff-stamps-def* **apply** *fastforce*
 unfolding *size.simps* **by** *simp*

optimization *condition-bounds-y*: $((x < y)\ ?\ x : y) \mapsto y\ when\ (stamp\text{-}under\ (stamp\text{-}expr\ y)\ (stamp\text{-}expr\ x) \wedge wff\text{-}stamps)$
 apply *unfold-optimization*
 using *stamp-under-semantics-inversed*
 using *wff-stamps-def* **apply** *fastforce*
 unfolding *size.simps* **by** *simp*

optimization *b*[*intval*]: $((x\ eq\ y)\ ?\ x : y) \mapsto y$
 apply *unfold-optimization*
  apply (*smt* (*z3*) *bool-to-val.simps(2) intval-equals.elims val-to-bool.simps(1) val-to-bool.simps(3)*)

unfolding *intval.simps*
apply (*smt* (*z3*) *BinaryExprE ConditionalExprE Value.inject*(*1*) *Value.inject*(*2*) *bin-eval.simps*(*10*) *bool-to-val.simps*(*2*) *evalDet intval-equals.simps*(*1*) *intval-equals.simps*(*10*) *intval-equals.simps*(*12*) *intval-equals.simps*(*15*) *intval-equals.simps*(*16*) *intval-equals.simps*(*2*) *intval-equals.simps*(*5*) *intval-equals.simps*(*8*) *intval-equals.simps*(*9*) *le-expr-def val-to-bool.cases val-to-bool.elims*(*2*))
unfolding *size.simps* **by** *auto*

end

end

11 Conditional Elimination Phase

theory *ConditionalElimination*
imports
Proofs.IRGraphFrames
Proofs.Stuttering
Proofs.Form
Proofs.Rewrites
Proofs.Bisimulation
begin

11.1 Individual Elimination Rules

We introduce a TriState as in the Graal compiler to represent when static analysis can tell us information about the value of a boolean expression. Unknown = No information can be inferred KnownTrue/KnownFalse = We can infer the expression will always be true or false.

datatype *TriState* = *Unknown* | *KnownTrue* | *KnownFalse*

The implies relation corresponds to the LogicNode.implies method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

inductive *implies* :: *IRGraph* ⇒ *IRNode* ⇒ *IRNode* ⇒ *TriState* ⇒ *bool*
(- ⊢ - & - ↪ -) **for** *g* **where**
eq-imp-less:
g ⊢ (*IntegerEqualsNode x y*) & (*IntegerLessThanNode x y*) ↪ *KnownFalse* |
eq-imp-less-rev:
g ⊢ (*IntegerEqualsNode x y*) & (*IntegerLessThanNode y x*) ↪ *KnownFalse* |
less-imp-rev-less:
g ⊢ (*IntegerLessThanNode x y*) & (*IntegerLessThanNode y x*) ↪ *KnownFalse* |
less-imp-not-eq:
g ⊢ (*IntegerLessThanNode x y*) & (*IntegerEqualsNode x y*) ↪ *KnownFalse* |
less-imp-not-eq-rev:
g ⊢ (*IntegerLessThanNode x y*) & (*IntegerEqualsNode y x*) ↪ *KnownFalse* |

x-imp-x:
$g \vdash x \;\&\; x \hookrightarrow \mathit{KnownTrue}$ |

negate-false:
$[\![g \vdash x \;\&\; (\mathit{kind}\ g\ y) \hookrightarrow \mathit{KnownTrue}]\!] \Longrightarrow g \vdash x \;\&\; (\mathit{LogicNegationNode}\ y) \hookrightarrow \mathit{KnownFalse}$ |
negate-true:
$[\![g \vdash x \;\&\; (\mathit{kind}\ g\ y) \hookrightarrow \mathit{KnownFalse}]\!] \Longrightarrow g \vdash x \;\&\; (\mathit{LogicNegationNode}\ y) \hookrightarrow \mathit{KnownTrue}$

Total relation over partial implies relation

inductive *condition-implies* :: $\mathit{IRGraph} \Rightarrow \mathit{IRNode} \Rightarrow \mathit{IRNode} \Rightarrow \mathit{TriState} \Rightarrow \mathit{bool}$
($- \vdash - \;\&\; - \rightharpoonup -$) **for** g **where**
$[\![\neg(g \vdash a \;\&\; b \hookrightarrow \mathit{imp})]\!] \Longrightarrow (g \vdash a \;\&\; b \rightharpoonup \mathit{Unknown})$ |
$[\![(g \vdash a \;\&\; b \hookrightarrow \mathit{imp})]\!] \Longrightarrow (g \vdash a \;\&\; b \rightharpoonup \mathit{imp})$

inductive *implies-tree* :: $\mathit{IRExpr} \Rightarrow \mathit{IRExpr} \Rightarrow \mathit{bool} \Rightarrow \mathit{bool}$
($- \;\&\; - \hookrightarrow -$) **where**
eq-imp-less:
$(\mathit{BinaryExpr}\ \mathit{BinIntegerEquals}\ x\ y) \;\&\; (\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ x\ y) \hookrightarrow \mathit{False}$ |
eq-imp-less-rev:
$(\mathit{BinaryExpr}\ \mathit{BinIntegerEquals}\ x\ y) \;\&\; (\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ y\ x) \hookrightarrow \mathit{False}$ |
less-imp-rev-less:
$(\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ x\ y) \;\&\; (\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ y\ x) \hookrightarrow \mathit{False}$ |
less-imp-not-eq:
$(\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ x\ y) \;\&\; (\mathit{BinaryExpr}\ \mathit{BinIntegerEquals}\ x\ y) \hookrightarrow \mathit{False}$ |
less-imp-not-eq-rev:
$(\mathit{BinaryExpr}\ \mathit{BinIntegerLessThan}\ x\ y) \;\&\; (\mathit{BinaryExpr}\ \mathit{BinIntegerEquals}\ y\ x) \hookrightarrow \mathit{False}$ |

x-imp-x:
$x \;\&\; x \hookrightarrow \mathit{True}$ |

negate-false:
$[\![x \;\&\; y \hookrightarrow \mathit{True}]\!] \Longrightarrow x \;\&\; (\mathit{UnaryExpr}\ \mathit{UnaryLogicNegation}\ y) \hookrightarrow \mathit{False}$ |
negate-true:
$[\![x \;\&\; y \hookrightarrow \mathit{False}]\!] \Longrightarrow x \;\&\; (\mathit{UnaryExpr}\ \mathit{UnaryLogicNegation}\ y) \hookrightarrow \mathit{True}$

Proofs that the implies relation is correct with respect to the existing evaluation semantics.

experiment begin
lemma *logic-negate-type*:
assumes $[m, p] \vdash \mathit{UnaryExpr}\ \mathit{UnaryLogicNegation}\ x \mapsto v$

assumes $v \neq UndefVal$
shows $\exists\, v2.\ [m,\ p] \vdash x \mapsto IntVal32\ v2$
proof −
obtain *ve* **where** *ve*: $[m,\ p] \vdash x \mapsto ve$
using *assms*(*1*) **by** *blast*
then have $[m,\ p] \vdash UnaryExpr\ UnaryLogicNegation\ x \mapsto unary\text{-}eval\ UnaryLogicNegation\ ve$
by (*metis UnaryExprE assms*(*1*) *evalDet*)
then show *?thesis* **using** *assms unary-eval.elims evalDet ve IRUnaryOp.distinct*
sorry
qed

lemma *logic-negation-relation-tree*:
assumes $[m,\ p] \vdash y \mapsto val$
assumes $[m,\ p] \vdash UnaryExpr\ UnaryLogicNegation\ y \mapsto invval$
assumes $intval \neq UndefVal$
shows $val\text{-}to\text{-}bool\ val \longleftrightarrow \neg(val\text{-}to\text{-}bool\ invval)$
proof −
obtain *v* **where** $invval = unary\text{-}eval\ UnaryLogicNegation\ v$
using *assms*(*2*) **by** *blast*
then have $[m,\ p] \vdash y \mapsto v$ **using** *UnaryExprE assms*(*1,2*) **sorry**
then show *?thesis* **sorry**
qed

lemma *logic-negation-relation*:
assumes $[g,\ m,\ p] \vdash y \mapsto val$
assumes $kind\ g\ neg = LogicNegationNode\ y$
assumes $[g,\ m,\ p] \vdash neg \mapsto invval$
assumes $intval \neq UndefVal$
shows $val\text{-}to\text{-}bool\ val \longleftrightarrow \neg(val\text{-}to\text{-}bool\ invval)$
proof −
obtain *yencode* **where** $g \vdash y \simeq yencode$
using *assms*(*1*) *encodeeval-def* **by** *auto*
then have $g \vdash neg \simeq UnaryExpr\ UnaryLogicNegation\ yencode$
using *rep.intros*(*7*) *assms*(*2*) **by** *simp*
then have $[m,\ p] \vdash UnaryExpr\ UnaryLogicNegation\ yencode \mapsto invval$
using *assms*(*3*) *encodeeval-def*
by (*metis repDet*)
obtain *v1* **where** $[g,\ m,\ p] \vdash y \mapsto IntVal32\ v1$
using *assms*(*1,2,3,4*) **using** *logic-negate-type* **sorry**
have $invval = bool\text{-}to\text{-}val\ (\neg(val\text{-}to\text{-}bool\ val))$
using *assms*(*1,2,3*) *evalDet unary-eval.simps*(*4*)
by (*smt* (*verit*, *ccfv-SIG*) *UnaryExprE* ‹$[m,p] \vdash UnaryExpr\ UnaryLogicNegation\ yencode \mapsto invval$› ‹$g \vdash y \simeq yencode$› *bool-to-val.simps*(*1*) *bool-to-val.simps*(*2*) *encodeeval-def intval-logic-negation.simps*(*1*) *logic-negate-type repDet val-to-bool.simps*(*1*))
have $val\text{-}to\text{-}bool\ invval \longleftrightarrow \neg(val\text{-}to\text{-}bool\ val)$
using ‹$invval = bool\text{-}to\text{-}val\ (\neg\ val\text{-}to\text{-}bool\ val)$› **by** *force*
then show *?thesis*

```
    by simp
qed
end

lemma implies-valid:
  assumes x & y ↪ imp
  assumes [m, p] ⊢ x ↦ v1
  assumes [m, p] ⊢ y ↦ v2
  assumes v1 ≠ UndefVal ∧ v2 ≠ UndefVal
  shows (imp ⟶ (val-to-bool v1 ⟶ val-to-bool v2)) ∧
        (¬imp ⟶ (val-to-bool v1 ⟶ ¬(val-to-bool v2)))
    (is (?TP ⟶ ?TC) ∧ (?FP ⟶ ?FC))
  apply (intro conjI; rule impI)
proof −
  assume KnownTrue: ?TP
  show ?TC
 using assms(1) KnownTrue assms(2−) proof (induct x y imp rule: implies-tree.induct)
    case (eq-imp-less x y)
    then show ?case by simp
  next
    case (eq-imp-less-rev x y)
    then show ?case by simp
  next
    case (less-imp-rev-less x y)
    then show ?case by simp
  next
    case (less-imp-not-eq x y)
    then show ?case by simp
  next
    case (less-imp-not-eq-rev x y)
    then show ?case by simp
  next
    case (x-imp-x)
    then show ?case
      by (metis evalDet)
  next
    case (negate-false x1)
    then show ?case using evalDet
      using assms(2,3) by blast
  next
    case (negate-true y)
    then show ?case
      sorry
  qed
next
  assume KnownFalse: ?FP
 show ?FC using assms KnownFalse proof (induct x y imp rule: implies-tree.induct)
    case (eq-imp-less x y)
    obtain xval where xval: [m, p] ⊢ x ↦ xval
```

using *eq-imp-less*(*1*) *eq-imp-less.prems*(*3*)
by *blast*
then obtain *yval* **where** *yval*: [*m*, *p*] ⊢ *y* ↦ *yval*
using *eq-imp-less.prems*(*3*)
using *eq-imp-less.prems*(*2*) **by** *blast*
have *eqeval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerEquals x y*) ↦ *intval-equals xval yval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*10*) *eq-imp-less.prems*(*1*) *evalDet*)
have *lesseval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerLessThan x y*) ↦ *intval-less-than xval yval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *eq-imp-less.prems*(*2*) *evalDet*)
have *val-to-bool* (*intval-equals xval yval*) ⟶ ¬(*val-to-bool* (*intval-less-than xval yval*))
using *assms*(*4*) **apply** (*cases xval*; *cases yval*; *auto*)
apply (*metis* (*full-types*) *val-to-bool.simps*(*1*) *Values.bool-to-val.simps*(*2*) *signed.less-irrefl*)
by (*metis* (*mono-tags*) *val-to-bool.simps*(*1*) *Values.bool-to-val.elims signed.order.strict-implies-not-eq*)
then show *?case*
using *eqeval lesseval*
by (*metis eq-imp-less.prems*(*1*) *eq-imp-less.prems*(*2*) *evalDet*)
next
case (*eq-imp-less-rev x y*)
obtain *xval* **where** *xval*: [*m*, *p*] ⊢ *x* ↦ *xval*
using *eq-imp-less-rev.prems*(*3*)
using *eq-imp-less-rev.prems*(*2*) **by** *blast*
obtain *yval* **where** *yval*: [*m*, *p*] ⊢ *y* ↦ *yval*
using *eq-imp-less-rev.prems*(*3*)
using *eq-imp-less-rev.prems*(*2*) **by** *blast*
have *eqeval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerEquals x y*) ↦ *intval-equals xval yval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*10*) *eq-imp-less-rev.prems*(*1*) *evalDet*)
have *lesseval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerLessThan y x*) ↦ *intval-less-than yval xval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *eq-imp-less-rev.prems*(*2*) *evalDet*)
have *val-to-bool* (*intval-equals xval yval*) ⟶ ¬(*val-to-bool* (*intval-less-than yval xval*))
using *assms*(*4*) **apply** (*cases xval*; *cases yval*; *auto*)
apply (*metis* (*full-types*) *val-to-bool.simps*(*1*) *Values.bool-to-val.simps*(*2*) *signed.less-irrefl*)
by (*metis* (*full-types*) *val-to-bool.simps*(*1*) *Values.bool-to-val.elims signed.order.strict-implies-not-eq*)
then show *?case*
using *eqeval lesseval*
by (*metis eq-imp-less-rev.prems*(*1*) *eq-imp-less-rev.prems*(*2*) *evalDet*)
next
case (*less-imp-rev-less x y*)

obtain *xval* **where** *xval*: $[m, p] \vdash x \mapsto xval$
using *less-imp-rev-less.prems*(*3*)
using *less-imp-rev-less.prems*(*2*) **by** *blast*
obtain *yval* **where** *yval*: $[m, p] \vdash y \mapsto yval$
using *less-imp-rev-less.prems*(*3*)
using *less-imp-rev-less.prems*(*2*) **by** *blast*
have *lesseval*: $[m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-}less\text{-}than\ xval\ yval$
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet less-imp-rev-less.prems*(*1*))
have *revlesseval*: $[m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ y\ x) \mapsto intval\text{-}less\text{-}than\ yval\ xval$
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet less-imp-rev-less.prems*(*2*))
have *val-to-bool* (*intval-less-than xval yval*) $\longrightarrow \neg$(*val-to-bool* (*intval-less-than yval xval*))
using *assms*(*4*) **apply** (*cases xval*; *cases yval*; *auto*)
apply (*metis val-to-bool.simps*(*1*) *Values.bool-to-val.elims signed.not-less-iff-gr-or-eq*)
by (*metis val-to-bool.simps*(*1*) *Values.bool-to-val.elims signed.less-asym'*)
then show *?case*
by (*metis evalDet less-imp-rev-less.prems*(*1*) *less-imp-rev-less.prems*(*2*) *lesseval revlesseval*)
next
case (*less-imp-not-eq x y*)
obtain *xval* **where** *xval*: $[m, p] \vdash x \mapsto xval$
using *less-imp-not-eq.prems*(*3*)
using *less-imp-not-eq.prems*(*1*) **by** *blast*
obtain *yval* **where** *yval*: $[m, p] \vdash y \mapsto yval$
using *less-imp-not-eq.prems*(*3*)
using *less-imp-not-eq.prems*(*1*) **by** *blast*
have *eqeval*: $[m, p] \vdash (BinaryExpr\ BinIntegerEquals\ x\ y) \mapsto intval\text{-}equals\ xval\ yval$
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*10*) *evalDet less-imp-not-eq.prems*(*2*))
have *lesseval*: $[m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-}less\text{-}than\ xval\ yval$
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet less-imp-not-eq.prems*(*1*))
have *val-to-bool* (*intval-less-than xval yval*) $\longrightarrow \neg$(*val-to-bool* (*intval-equals xval yval*))
using *assms*(*4*) **apply** (*cases xval*; *cases yval*; *auto*)
apply (*metis* (*full-types*) *bool-to-val.simps*(*2*) *signed.less-imp-not-eq val-to-bool.simps*(*1*))
by (*metis* (*full-types*) *bool-to-val.simps*(*2*) *signed.less-imp-not-eq2 val-to-bool.simps*(*1*))
then show *?case*
by (*metis eqeval evalDet less-imp-not-eq.prems*(*1*) *less-imp-not-eq.prems*(*2*) *lesseval*)
next
case (*less-imp-not-eq-rev x y*)
obtain *xval* **where** *xval*: $[m, p] \vdash x \mapsto xval$

using *less-imp-not-eq-rev.prems*(*3*)
using *less-imp-not-eq-rev.prems*(*1*) **by** *blast*
obtain *yval* **where** *yval*: [*m*, *p*] ⊢ *y* ↦ *yval*
using *less-imp-not-eq-rev.prems*(*3*)
using *less-imp-not-eq-rev.prems*(*1*) **by** *blast*
have *eqeval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerEquals y x*) ↦ *intval-equals yval xval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*10*) *evalDet less-imp-not-eq-rev.prems*(*2*))
have *lesseval*: [*m*, *p*] ⊢ (*BinaryExpr BinIntegerLessThan x y*) ↦ *intval-less-than xval yval*
using *xval yval evaltree.BinaryExpr*
by (*metis BinaryExprE bin-eval.simps*(*11*) *evalDet less-imp-not-eq-rev.prems*(*1*))
have *val-to-bool* (*intval-less-than xval yval*) ⟶ ¬(*val-to-bool* (*intval-equals yval xval*))
using *assms*(*4*) **apply** (*cases xval*; *cases yval*; *auto*)
apply (*metis* (*full-types*) *bool-to-val.simps*(*2*) *signed.less-imp-not-eq2 val-to-bool.simps*(*1*))
by (*metis* (*full-types*, *opaque-lifting*) *val-to-bool.simps*(*1*) *Values.bool-to-val.elims signed.dual-order.strict-implies-not-eq*)
then show *?case*
by (*metis eqeval evalDet less-imp-not-eq-rev.prems*(*1*) *less-imp-not-eq-rev.prems*(*2*) *lesseval*)
next
case (*x-imp-x x1*)
then show *?case* **by** *simp*
next
case (*negate-false x y*)
then show *?case* **sorry**
next
case (*negate-true x1*)
then show *?case* **by** *simp*
qed
qed

lemma *implies-true-valid*:
assumes *x* & *y* ↪ *imp*
assumes *imp*
assumes [*m*, *p*] ⊢ *x* ↦ *v1*
assumes [*m*, *p*] ⊢ *y* ↦ *v2*
assumes *v1* ≠ *UndefVal* ∧ *v2* ≠ *UndefVal*
shows *val-to-bool v1* ⟶ *val-to-bool v2*
using *assms implies-valid*
by *blast*

lemma *implies-false-valid*:
assumes *x* & *y* ↪ *imp*
assumes ¬*imp*
assumes [*m*, *p*] ⊢ *x* ↦ *v1*
assumes [*m*, *p*] ⊢ *y* ↦ *v2*

assumes *v1* $\neq$ *UndefVal* $\wedge$ *v2* $\neq$ *UndefVal*
shows *val-to-bool v1* $\longrightarrow$ $\neg$(*val-to-bool v2*)
using *assms implies-valid* **by** *blast*

The following relation corresponds to the UnaryOpLogicNode.tryFold and BinaryOpLogicNode.tryFold methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

inductive *tryFold* :: *IRNode* $\Rightarrow$ (*ID* $\Rightarrow$ *Stamp*) $\Rightarrow$ *bool* $\Rightarrow$ *bool*
where
⟦*alwaysDistinct* (*stamps x*) (*stamps y*)⟧
$\Longrightarrow$ *tryFold* (*IntegerEqualsNode x y*) *stamps False* |
⟦*neverDistinct* (*stamps x*) (*stamps y*)⟧
$\Longrightarrow$ *tryFold* (*IntegerEqualsNode x y*) *stamps True* |
⟦*is-IntegerStamp* (*stamps x*);
is-IntegerStamp (*stamps y*);
stpi-upper (*stamps x*) < *stpi-lower* (*stamps y*)⟧
$\Longrightarrow$ *tryFold* (*IntegerLessThanNode x y*) *stamps True* |
⟦*is-IntegerStamp* (*stamps x*);
is-IntegerStamp (*stamps y*);
stpi-lower (*stamps x*) $\geq$ *stpi-upper* (*stamps y*)⟧
$\Longrightarrow$ *tryFold* (*IntegerLessThanNode x y*) *stamps False*

Proofs that show that when the stamp lookup function is well-formed, the tryFold relation correctly predicts the output value with respect to our evaluation semantics.

lemma
assumes *kind g nid* = *IntegerEqualsNode x y*
assumes [*g*, *m*, *p*] $\vdash$ *nid* $\mapsto$ *v*
assumes *v* $\neq$ *UndefVal*
assumes ([*g*, *m*, *p*] $\vdash$ *x* $\mapsto$ *xval*) $\wedge$ ([*g*, *m*, *p*] $\vdash$ *y* $\mapsto$ *yval*)
shows *val-to-bool* (*intval-equals xval yval*) $\longleftrightarrow$ *v* = *IntVal32 1*
proof −
have *v* = *intval-equals xval yval*
using *assms*(*1*, *2*, *3*, *4*) *BinaryExprE IntegerEqualsNode bin-eval.simps*(*7*)
by (*smt* (*verit*) *bin-eval.simps*(*10*) *encodeeval-def evalDet repDet*)
then show *?thesis* **using** *intval-equals.simps val-to-bool.simps* **sorry**
qed

lemma *tryFoldIntegerEqualsAlwaysDistinct*:
assumes *wf-stamp g stamps*
assumes *kind g nid* = (*IntegerEqualsNode x y*)
assumes [*g*, *m*, *p*] $\vdash$ *nid* $\mapsto$ *v*
assumes *alwaysDistinct* (*stamps x*) (*stamps y*)
shows *v* = *IntVal32 0*
proof −
have $\forall$ *val*. $\neg$(*valid-value val* (*join* (*stamps x*) (*stamps y*)))

```
    using assms(1,4) unfolding alwaysDistinct.simps
  by (metis is-stamp-empty.elims(2) le-less-trans not-less valid32or64 valid-value.simps(1)
valid-value.simps(2))
  have ¬(∃ val . ([g, m, p] ⊢ x ↦ val) ∧ ([g, m, p] ⊢ y ↦ val))
     using assms(1,4) unfolding alwaysDistinct.simps wf-stamp.simps encodee-
val-def sorry
  then show ?thesis sorry
qed

lemma tryFoldIntegerEqualsNeverDistinct:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerEqualsNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes neverDistinct (stamps x) (stamps y)
  shows v = IntVal32 1
  using assms IntegerEqualsNodeE sorry

lemma tryFoldIntegerLessThanTrue:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerLessThanNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes stpi-upper (stamps x) < stpi-lower (stamps y)
  shows v = IntVal32 1
proof −
  have stamp-type: is-IntegerStamp (stamps x)
    using assms
    sorry
  obtain xval where xval: [g, m, p] ⊢ x ↦ xval
    using assms(2,3) sorry
  obtain yval where yval: [g, m, p] ⊢ y ↦ yval
    using assms(2,3) sorry
  have is-IntegerStamp (stamps x) ∧ is-IntegerStamp (stamps y)
    using assms(4)
    sorry
  then have val-to-bool (intval-less-than xval yval)
    sorry
  then show ?thesis
    sorry
qed

lemma tryFoldIntegerLessThanFalse:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerLessThanNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes stpi-lower (stamps x) ≥ stpi-upper (stamps y)
  shows v = IntVal32 0
  proof −
  have stamp-type: is-IntegerStamp (stamps x)
    using assms
```

```
    sorry
  obtain xval where xval: [g, m, p] ⊢ x ↦ xval
    using assms(2,3) sorry
  obtain yval where yval: [g, m, p] ⊢ y ↦ yval
    using assms(2,3) sorry
  have is-IntegerStamp (stamps x) ∧ is-IntegerStamp (stamps y)
    using assms(4)
    sorry
  then have ¬(val-to-bool (intval-less-than xval yval))
    sorry
  then show ?thesis
    sorry
qed

theorem tryFoldProofTrue:
  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps True
  assumes [g, m, p] ⊢ nid ↦ v
  shows val-to-bool v
  using assms(2) proof (induction kind g nid stamps True rule: tryFold.induct)
case (1 stamps x y)
  then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry
next
  case (2 stamps x y)
  then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry
next
  case (3 stamps x y)
  then show ?case using tryFoldIntegerLessThanTrue assms sorry
next
case (4 stamps x y)
  then show ?case using tryFoldIntegerLessThanFalse assms sorry
qed

theorem tryFoldProofFalse:
  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps False
  assumes [g, m, p] ⊢ nid ↦ v
  shows ¬(val-to-bool v)
using assms(2) proof (induction kind g nid stamps False rule: tryFold.induct)
case (1 stamps x y)
  then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry
next
case (2 stamps x y)
  then show ?case using tryFoldIntegerEqualsNeverDistinct assms sorry
next
  case (3 stamps x y)
  then show ?case using tryFoldIntegerLessThanTrue assms sorry
next
  case (4 stamps x y)
```

then show *?case* **using** *tryFoldIntegerLessThanFalse assms* **sorry**

qed

inductive-cases *StepE*:
g, *p* ⊢ (*nid*,*m*,*h*) → (*nid'*,*m'*,*h*)

Perform conditional elimination rewrites on the graph for a particular node.

In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

inductive *ConditionalEliminationStep* ::
IRExpr set ⇒ (*ID* ⇒ *Stamp*) ⇒ *IRGraph* ⇒ *ID* ⇒ *IRGraph* ⇒ *bool* **where**
impliesTrue:
⟦*kind g ifcond* = (*IfNode cid t f*);
g ⊢ *cid* ≃ *cond*;
∃ *ce* ∈ *conds* . (*ce* & *cond* ↪ *True*);
g' = *constantCondition True ifcond* (*kind g ifcond*) *g*
⟧ ⟹ *ConditionalEliminationStep conds stamps g ifcond g'* |

impliesFalse:
⟦*kind g ifcond* = (*IfNode cid t f*);
g ⊢ *cid* ≃ *cond*;
∃ *ce* ∈ *conds* . (*ce* & *cond* ↪ *False*);
g' = *constantCondition False ifcond* (*kind g ifcond*) *g*
⟧ ⟹ *ConditionalEliminationStep conds stamps g ifcond g'* |

tryFoldTrue:
⟦*kind g ifcond* = (*IfNode cid t f*);
cond = *kind g cid*;
tryFold (*kind g cid*) *stamps True*;
g' = *constantCondition True ifcond* (*kind g ifcond*) *g*
⟧ ⟹ *ConditionalEliminationStep conds stamps g ifcond g'* |

tryFoldFalse:
⟦*kind g ifcond* = (*IfNode cid t f*);
cond = *kind g cid*;
tryFold (*kind g cid*) *stamps False*;
g' = *constantCondition False ifcond* (*kind g ifcond*) *g*
⟧ ⟹ *ConditionalEliminationStep conds stamps g ifcond g'*

code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$) *ConditionalEliminationStep* .

thm *ConditionalEliminationStep.equation*

11.2 Control-flow Graph Traversal

type-synonym *Seen* = *ID set*
type-synonym *Condition* = *IRNode*
type-synonym *Conditions* = *Condition list*
type-synonym *StampFlow* = (*ID* ⇒ *Stamp*) *list*

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```
fun nextEdge :: Seen ⇒ ID ⇒ IRGraph ⇒ ID option where
  nextEdge seen nid g =
    (let nids = (filter (λnid'. nid' ∉ seen) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends - -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
        then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))
      )
  )
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the registerNewCondition funciton which roughly corresponds to the ConditionalEliminationPhase.registerNewCondition. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp ⇒ int ⇒ Stamp where
  clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
  clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
```

```
  clip-lower (IntegerStamp b l h) c = (IntegerStamp b c h) |
  clip-lower s c = s

fun registerNewCondition :: IRGraph ⇒ Condition ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒
Stamp) where

  registerNewCondition g (IntegerEqualsNode x y) stamps =
    (stamps(x := join (stamps x) (stamps y)))(y := join (stamps x) (stamps y)) |

  registerNewCondition g (IntegerLessThanNode x y) stamps =
    (stamps
      (x := clip-upper (stamps x) (stpi-lower (stamps y))))
      (y := clip-lower (stamps y) (stpi-upper (stamps x))) |
  registerNewCondition g - stamps = stamps

fun hdOr :: 'a list ⇒ 'a ⇒ 'a where
  hdOr (x # xs) de = x |
  hdOr [] de = de
```

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always true stack of IfNode conditions, and the flow-sensitive stamp information.

```
inductive Step
  :: IRGraph ⇒ (ID × Seen × Conditions × StampFlow) ⇒ (ID × Seen ×
Conditions × StampFlow) option ⇒ bool
  for g where
```

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

```
  ⟦kind g nid = BeginNode nid';

    nid ∉ seen;
    seen' = {nid} ∪ seen;

    Some ifcond = pred g nid;
    kind g ifcond = IfNode cond t f;

    i = find-index nid (successors-of (kind g ifcond));
    c = (if i = 0 then kind g cond else LogicNegationNode cond);
    conds' = c # conds;

    flow' = registerNewCondition g c (hdOr flow (stamp g))⟧
  ⟹ Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow' # flow)) |
```

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack
⟦*kind g nid* = *EndNode*;

nid ∉ *seen*;
seen′ = {*nid*} ∪ *seen*;

nid′ = *any-usage g nid*;

conds′ = *tl conds*;
flow′ = *tl flow*⟧
⟹ *Step g* (*nid*, *seen*, *conds*, *flow*) (*Some* (*nid*′, *seen*′, *conds*′, *flow*′)) |

— We can find a successor edge that is not in seen, go there
⟦¬(*is-EndNode* (*kind g nid*));
¬(*is-BeginNode* (*kind g nid*));

nid ∉ *seen*;
seen′ = {*nid*} ∪ *seen*;

Some nid′ = *nextEdge seen*′ *nid g*⟧
⟹ *Step g* (*nid*, *seen*, *conds*, *flow*) (*Some* (*nid*′, *seen*′, *conds*, *flow*)) |

— We can cannot find a successor edge that is not in seen, give back None
⟦¬(*is-EndNode* (*kind g nid*));
¬(*is-BeginNode* (*kind g nid*));

nid ∉ *seen*;
seen′ = {*nid*} ∪ *seen*;

None = *nextEdge seen*′ *nid g*⟧
⟹ *Step g* (*nid*, *seen*, *conds*, *flow*) *None* |

— We've already seen this node, give back None
⟦*nid* ∈ *seen*⟧ ⟹ *Step g* (*nid*, *seen*, *conds*, *flow*) *None*

code-pred (*modes*: *i* ⇒ *i* ⇒ *o* ⇒ *bool*) *Step* **.**

The ConditionalEliminationPhase relation is responsible for combining the individual traversal steps from the Step relation and the optimizations from the ConditionalEliminationStep relation to perform a transformation of the whole graph.

end