Veriopt Theories

April 4, 2023

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1 Additional Theorems about Computer Words

theory *JavaWords*
imports
HOL−Library.Word
HOL−Library.Signed-Division
HOL−Library.Float
HOL−Library.LaTeXsugar
begin

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

type-synonym *int64* = *64 word* — long
type-synonym *int32* = *32 word* — int
type-synonym *int16* = *16 word* — short
type-synonym *int8* = *8 word* — char
type-synonym *int1* = *1 word* — boolean

abbreviation *valid-int-widths* :: *nat set* **where**
valid-int-widths ≡ {*1*, *8*, *16*, *32*, *64*}

type-synonym *iwidth* = *nat*

fun *bit-bounds* :: *nat* ⇒ (*int* × *int*) **where**
bit-bounds bits = (((*2* ^ *bits*) *div 2*) ∗ −*1*, ((*2* ^ *bits*) *div 2*) − *1*)

definition *logic-negate* :: ('*a*::*len*) *word* ⇒ '*a word* **where**
logic-negate x = (*if x* = *0 then 1 else 0*)

fun *int-signed-value* :: *iwidth* ⇒ *int64* ⇒ *int* **where**
int-signed-value b v = *sint* (*signed-take-bit* (*b* − *1*) *v*)

fun *int-unsigned-value* :: *iwidth* ⇒ *int64* ⇒ *int* **where**
int-unsigned-value b v = *uint v*

A convenience function for directly constructing -1 values of a given bit size.

fun *neg-one* :: *iwidth* ⇒ *int64* **where**
neg-one b = *mask b*

1.1 Bit-Shifting Operators

definition *shiftl* (**infix** << *75*) **where**

shiftl w n = (push-bit n) w

lemma *shiftl-power*[*simp*]: (*x*::('*a*::*len*) *word*) * (*2* ^ *j*) = *x* << *j*
unfolding *shiftl-def* **apply** (*induction j*)
apply *simp* **unfolding** *funpow-Suc-right*
by (*metis* (*no-types*, *opaque-lifting*) *push-bit-eq-mult*)

lemma (*x*::('*a*::*len*) *word*) * ((*2* ^ *j*) + *1*) = *x* << *j* + *x*
by (*simp add*: *distrib-left*)

lemma (*x*::('*a*::*len*) *word*) * ((*2* ^ *j*) − *1*) = *x* << *j* − *x*
by (*simp add*: *right-diff-distrib*)

lemma (*x*::('*a*::*len*) *word*) * ((*2*^*j*) + (*2*^*k*)) = *x* << *j* + *x* << *k*
by (*simp add*: *distrib-left*)

lemma (*x*::('*a*::*len*) *word*) * ((*2*^*j*) − (*2*^*k*)) = *x* << *j* − *x* << *k*
by (*simp add*: *right-diff-distrib*)

Unsigned shift right.

definition *shiftr* (**infix** >>> *75*) **where**
shiftr w n = drop-bit n w

corollary (*255* :: *8 word*) >>> (*2* :: *nat*) = *63* **by** *code-simp*

Signed shift right.

definition *sshiftr* :: '*a* :: *len word* ⇒ *nat* ⇒ '*a* :: *len word* (**infix** >> *75*) **where**
sshiftr w n = word-of-int ((*sint w*) *div* (*2* ^ *n*))

corollary (*128* :: *8 word*) >> *2* = *0xE0* **by** *code-simp*

1.2 Fixed-width Word Theories

1.2.1 Support Lemmas for Upper/Lower Bounds

lemma *size32*: *size v = 32* **for** *v* :: *32 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs*(*2*) *len-of-numeral-defs*(*3*) *mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *size64*: *size v = 64* **for** *v* :: *64 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs*(*2*) *len-of-numeral-defs*(*3*) *mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *lower-bounds-equiv*:
assumes *0 < N*
shows *−(((2::int) ^ (N−1))) = (2::int) ^ N div 2 * − 1*
by (*simp add*: *assms int-power-div-base*)

lemma *upper-bounds-equiv*:
assumes *0 < N*
shows *(2::int) ^ (N−1) = (2::int) ^ N div 2*
by (*simp add*: *assms int-power-div-base*)

Some min/max bounds for 64-bit words

lemma *bit-bounds-min64*: *((fst (bit-bounds 64))) ≤ (sint (v::int64))*
unfolding *bit-bounds.simps fst-def*
using *sint-ge*[*of v*] **by** *simp*

lemma *bit-bounds-max64*: *((snd (bit-bounds 64))) ≥ (sint (v::int64))*
unfolding *bit-bounds.simps fst-def*
using *sint-lt*[*of v*] **by** *simp*

Extend these min/max bounds to extracting smaller signed words using *signed_take_bit*.

Note: we could use signed to convert between bit-widths, instead of *signed_take_bit*. But that would have to be done separately for each bit-width type.

corollary *sint*(*signed-take-bit 7 (128 :: int8*)) = *−128* **by** *code-simp*

ML-val ‹@{*thm signed-take-bit-decr-length-iff*}›
declare [[*show-types=true*]]
ML-val ‹@{*thm signed-take-bit-int-less-exp*}›

lemma *signed-take-bit-int-less-exp-word*:
fixes *ival :: 'a :: len word*
assumes *n < LENGTH('a)*
shows *sint*(*signed-take-bit n ival*) *< (2::int) ^ n*
apply *transfer*
by (*smt* (*verit*, *best*) *not-take-bit-negative signed-take-bit-eq-take-bit-shift*
signed-take-bit-int-less-exp take-bit-int-greater-self-iff)

lemma *signed-take-bit-int-greater-eq-minus-exp-word*:
fixes *ival :: 'a :: len word*
assumes *n < LENGTH('a)*
shows *− (2 ^ n) ≤ sint*(*signed-take-bit n ival*)
apply *transfer*
by (*smt* (*verit*, *best*) *signed-take-bit-int-greater-eq-minus-exp*
signed-take-bit-int-greater-eq-self-iff signed-take-bit-int-less-exp)

lemma *signed-take-bit-range*:

fixes *ival* :: *'a* :: *len word*
assumes *n* < *LENGTH*('*a*)
assumes *val* = *sint*(*signed-take-bit n ival*)
shows − (*2* ^ *n*) ≤ *val* ∧ *val* < *2* ^ *n*
using *signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word*
using *assms* **by** *blast*

A *bit_bounds* version of the above lemma.

lemma *signed-take-bit-bounds*:
fixes *ival* :: *'a* :: *len word*
assumes *n* ≤ *LENGTH*('*a*)
assumes *0* < *n*
assumes *val* = *sint*(*signed-take-bit* (*n* − *1*) *ival*)
shows *fst* (*bit-bounds n*) ≤ *val* ∧ *val* ≤ *snd* (*bit-bounds n*)
using *assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv*
by (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt snd-conv zle-diff1-eq*)

lemma *signed-take-bit-bounds64*:
fixes *ival* :: *int64*
assumes *n* ≤ *64*
assumes *0* < *n*
assumes *val* = *sint*(*signed-take-bit* (*n* − *1*) *ival*)
shows *fst* (*bit-bounds n*) ≤ *val* ∧ *val* ≤ *snd* (*bit-bounds n*)
using *assms signed-take-bit-bounds*
by (*metis size64 word-size*)

lemma *int-signed-value-bounds*:
assumes *b1* ≤ *64*
assumes *0* < *b1*
shows *fst* (*bit-bounds b1*) ≤ *int-signed-value b1 v2* ∧
int-signed-value b1 v2 ≤ *snd* (*bit-bounds b1*)
using *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

lemma *int-signed-value-range*:
fixes *ival* :: *int64*
assumes *val* = *int-signed-value n ival*
shows − (*2* ^ (*n* − *1*)) ≤ *val* ∧ *val* < *2* ^ (*n* − *1*)
using *signed-take-bit-range assms*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def diff-less int-signed-value.elims len-gt-0 len-num1 power-less-imp-less-exp power-strict-increasing sint-greater-eq sint-less*)

Some lemmas to relate (int) bit bounds to bit-shifting values.

lemma *bit-bounds-lower*:
assumes *0* < *bits*
shows *word-of-int* (*fst* (*bit-bounds bits*)) = ((−*1*) << (*bits* − *1*))
unfolding *bit-bounds.simps fst-conv*
by (*metis* (*mono-tags*, *opaque-lifting*) *assms*(*1*) *mult-1 mult-minus1-right mult-minus-left*

of-int-minus of-int-power shiftl-power upper-bounds-equiv word-numeral-alt)

lemma *two-exp-div*:
assumes *0 < bits*
shows ((*2*::*int*) ^ *bits div* (*2*::*int*)) = (*2*::*int*) ^ (*bits − Suc 0*)
using *assms* **by** (*auto simp*: *int-power-div-base*)

declare [[*show-types*]]

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

lemma *take-bit-smaller-range*:
fixes *ival* :: *'a* :: *len word*
assumes *n < LENGTH('a)*
assumes *val = sint*(*take-bit n ival*)
shows *0 ≤ val ∧ val <* (*2*::*int*) ^ *n*
by (*simp add*: *assms signed-take-bit-eq*)

lemma *take-bit-same-size-nochange*:
fixes *ival* :: *'a* :: *len word*
assumes *n = LENGTH('a)*
shows *ival = take-bit n ival*
by (*simp add*: *assms*)

A simplification lemma for *new_int*, showing that upper bits can be ignored.

lemma *take-bit-redundant*[*simp*]:
fixes *ival* :: *'a* :: *len word*
assumes *0 < n*
assumes *n < LENGTH('a)*
shows *signed-take-bit* (*n − 1*) (*take-bit n ival*) = *signed-take-bit* (*n − 1*) *ival*
proof −
have ¬ (*n ≤ n − 1*) **using** *assms* **by** *arith*
then have ⋀*i* . *signed-take-bit* (*n − 1*) (*take-bit n i*) = *signed-take-bit* (*n−1*) *i*
using *signed-take-bit-take-bit* **by** (*metis* (*mono-tags*))
then show *?thesis*
by *blast*
qed

lemma *take-bit-same-size-range*:
fixes *ival* :: *'a* :: *len word*
assumes *n = LENGTH('a)*
assumes *ival2 = take-bit n ival*
shows *− (2 ^ n div 2) ≤ sint ival2 ∧ sint ival2 < 2 ^ n div 2*
using *assms lower-bounds-equiv sint-ge sint-lt* **by** *auto*

lemma *take-bit-same-bounds*:
fixes *ival* :: *'a* :: *len word*
assumes *n = LENGTH('a)*
assumes *ival2 = take-bit n ival*

shows *fst* (*bit-bounds n*) ≤ *sint ival2* ∧ *sint ival2* ≤ *snd* (*bit-bounds n*)
unfolding *bit-bounds.simps*
using *assms take-bit-same-size-range*
by *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using scast now?)

lemma *scast-max-bound*:
assumes *sint* (*v* :: *'a* :: *len word*) < *M*
assumes *LENGTH*(*'a*) < *LENGTH*(*'b*)
shows *sint* ((*scast v*) :: *'b* :: *len word*) < *M*
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt* (*verit*, *best*) *One-nat-def assms*(*1*) *assms*(*2*) *decr-length-less-iff linorder-not-le power-strict-increasing-iff signed-take-bit-int-less-self-iff sint-greater-eq*)

lemma *scast-min-bound*:
assumes *M* ≤ *sint* (*v* :: *'a* :: *len word*)
assumes *LENGTH*(*'a*) < *LENGTH*(*'b*)
shows *M* ≤ *sint* ((*scast v*) :: *'b* :: *len word*)
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt* (*verit*) *One-nat-def Suc-pred assms*(*1*) *assms*(*2*) *len-gt-0 less-Suc-eq order-less-le order-less-le-trans power-le-imp-le-exp signed-take-bit-int-greater-eq-self-iff sint-lt*)

lemma *scast-bigger-max-bound*:
assumes (*result* :: *'b* :: *len word*) = *scast* (*v* :: *'a* :: *len word*)
shows *sint result* < *2* ^ *LENGTH*(*'a*) *div 2*
using *sint-lt upper-bounds-equiv scast-max-bound*
by (*smt* (*verit*, *best*) *assms*(*1*) *len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff sint-ge sint-less upper-bounds-equiv*)

lemma *scast-bigger-min-bound*:
assumes (*result* :: *'b* :: *len word*) = *scast* (*v* :: *'a* :: *len word*)
shows − (*2* ^ *LENGTH*(*'a*) *div 2*) ≤ *sint result*
using *sint-ge lower-bounds-equiv scast-min-bound*
by (*smt* (*verit*) *assms len-gt-0 nat-less-le not-less scast-max-bound*)

lemma *scast-bigger-bit-bounds*:
assumes (*result* :: *'b* :: *len word*) = *scast* (*v* :: *'a* :: *len word*)
shows *fst* (*bit-bounds* (*LENGTH*(*'a*))) ≤ *sint result* ∧ *sint result* ≤ *snd* (*bit-bounds* (*LENGTH*(*'a*)))
using *assms scast-bigger-min-bound scast-bigger-max-bound*
by *auto*

1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take_bit wrappers.

lemma *take-bit-dist-addL*[*simp*]:
fixes $x :: 'a :: len\ word$
shows *take-bit b* (*take-bit b x* + *y*) = *take-bit b* (*x* + *y*)
proof (*induction b*)
case *0*
then show *?case*
by *simp*
next
case (*Suc b*)
then show *?case*
by (*simp add*: *add.commute mask-eqs*(*2*) *take-bit-eq-mask*)
qed

lemma *take-bit-dist-addR*[*simp*]:
fixes $x :: 'a :: len\ word$
shows *take-bit b* (*x* + *take-bit b y*) = *take-bit b* (*x* + *y*)
using *take-bit-dist-addL* **by** (*metis add.commute*)

lemma *take-bit-dist-subL*[*simp*]:
fixes $x :: 'a :: len\ word$
shows *take-bit b* (*take-bit b x* − *y*) = *take-bit b* (*x* − *y*)
by (*metis take-bit-dist-addR uminus-add-conv-diff*)

lemma *take-bit-dist-subR*[*simp*]:
fixes $x :: 'a :: len\ word$
shows *take-bit b* (*x* − *take-bit b y*) = *take-bit b* (*x* − *y*)
using *take-bit-dist-subL*
by (*metis* (*no-types*, *opaque-lifting*) *diff-add-cancel diff-right-commute diff-self*)

lemma *take-bit-dist-neg*[*simp*]:
fixes $ix :: 'a :: len\ word$
shows *take-bit b* (− *take-bit b* (*ix*)) = *take-bit b* (− *ix*)
by (*metis diff-0 take-bit-dist-subR*)

lemma *signed-take-take-bit*[*simp*]:
fixes $x :: 'a :: len\ word$
assumes *0* < *b*
shows *signed-take-bit* (*b* − *1*) (*take-bit b x*) = *signed-take-bit* (*b* − *1*) *x*
by (*smt* (*verit*, *best*) *Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit*)

lemma *mod-larger-ignore*:
fixes $a :: int$

fixes *m n* :: *nat*
assumes *n* < *m*
shows (*a mod 2* ^ *m*) *mod 2* ^ *n* = *a mod 2* ^ *n*
by (*smt* (*verit*, *del-insts*) *assms exp-mod-exp linorder-not-le mod-0-imp-dvd mod-mod-cancel mod-self order-less-imp-le*)

lemma *mod-dist-over-add*:
fixes *a b c* :: *int64*
fixes *n* :: *nat*
assumes *1*: *0* < *n*
assumes *2*: *n* < *64*
shows (*a mod 2*^*n* + *b*) *mod 2*^*n* = (*a* + *b*) *mod 2*^*n*
proof −
have *3*: (*0* :: *int64*) < *2* ^ *n*
using *assms* **by** (*simp add*: *size64 word-2p-lem*)
then show *?thesis*
unfolding *word-mod-2p-is-mask*[*OF 3*]
apply *transfer*
by (*metis* (*no-types*, *opaque-lifting*) *and.right-idem take-bit-add take-bit-eq-mask*)
qed

end

2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

theory *JavaLong*
imports *JavaWords*
HOL−Library.FSet
begin

lemma *negative-all-set-32*:
n < *32* ⟹ *bit* (*−1*::*int32*) *n*
apply *transfer* **by** *auto*

definition *MaxOrNeg* :: *nat set* ⇒ *int* **where**
MaxOrNeg s = (*if s* = {} *then −1 else Max s*)

definition *MinOrHighest* :: *nat set* ⇒ *nat* ⇒ *nat* **where**
MinOrHighest s m = (*if s* = {} *then m else Min s*)

lemma *MaxOrNegEmpty*:
MaxOrNeg s = *−1* ⟷ *s* = {}
unfolding *MaxOrNeg-def* **by** *auto*

2.1 Long.highestOneBit

definition *highestOneBit* :: (*'a*::*len*) *word* ⇒ *int* **where**
highestOneBit v = *MaxOrNeg* {*n*. *bit v n*}

lemma *highestOneBitInvar*:
highestOneBit v = *j* ⟹ (∀ *i*::*nat*. (*int i* > *j* ⟶ ¬ (*bit v i*)))
apply (*induction size v*)
apply *simp*
by (*smt* (*verit*) *MaxOrNeg-def Max-ge empty-iff finite-bit-word highestOneBit-def mem-Collect-eq of-nat-mono*)

lemma *highestOneBitNeg*:
highestOneBit v = −*1* ⟷ *v* = *0*
unfolding *highestOneBit-def MaxOrNeg-def*
by (*metis Collect-empty-eq-bot bit-0-eq bit-word-eqI int-ops*(*2*) *negative-eq-positive one-neq-zero*)

lemma *higherBitsFalse*:
fixes *v* :: *'a* :: *len word*
shows *i* > *size v* ⟹ ¬ (*bit v i*)
by (*simp add*: *bit-word.rep-eq size-word.rep-eq*)

lemma *highestOneBitN*:
assumes *bit v n*
assumes ∀ *i*::*nat*. (*int i* > *n* ⟶ ¬ (*bit v i*))
shows *highestOneBit v* = *n*
unfolding *highestOneBit-def MaxOrNeg-def*
by (*metis Max-ge Max-in all-not-in-conv assms*(*1*) *assms*(*2*) *finite-bit-word mem-Collect-eq of-nat-less-iff order-less-le*)

lemma *highestOneBitSize*:
assumes *bit v n*
assumes *n* = *size v*
shows *highestOneBit v* = *n*
by (*metis assms*(*1*) *assms*(*2*) *not-bit-length wsst-TYs*(*3*))

lemma *highestOneBitMax*:
highestOneBit v < *size v*
unfolding *highestOneBit-def MaxOrNeg-def*
using *higherBitsFalse*
by (*simp add*: *bit-imp-le-length size-word.rep-eq*)

lemma *highestOneBitAtLeast*:
assumes *bit v n*
shows *highestOneBit v* ≥ *n*
proof (*induction size v*)
case *0*

then show *?case* **by** *simp*
next
case (*Suc x*)
then have $\forall i.$ *bit v i* $\longrightarrow$ $i <$ *Suc x*
by (*simp add*: *bit-imp-le-length wsst-TYs*(*3*))
then show *?case*
unfolding *highestOneBit-def MaxOrNeg-def*
using *assms* **by** *auto*
qed

lemma *highestOneBitElim*:
highestOneBit v = *n*
$\Longrightarrow$ ((*n* = −*1* ∧ *v* = *0*) ∨ (*n* ≥ *0* ∧ *bit v n*))
unfolding *highestOneBit-def MaxOrNeg-def*
by (*metis Max-in finite-bit-word le0 le-minus-one-simps*(*3*) *mem-Collect-eq of-nat-0-le-iff of-nat-eq-iff*)

A recursive implementation of highestOneBit that is suitable for code generation.

fun *highestOneBitRec* :: *nat* ⇒ (*'a*::*len*) *word* ⇒ *int* **where**
highestOneBitRec n v =
(*if bit v n then n*
else if n = *0 then* −*1*
else highestOneBitRec (*n* − *1*) *v*)

lemma *highestOneBitRecTrue*:
highestOneBitRec n v = *j* $\Longrightarrow$ *j* ≥ *0* $\Longrightarrow$ *bit v j*
proof (*induction n*)
case *0*
then show *?case*
by (*metis diff-0 highestOneBitRec.simps leD of-nat-0-eq-iff of-nat-0-le-iff zle-diff1-eq*)

next
case (*Suc n*)
then show *?case*
by (*metis diff-Suc-1 highestOneBitRec.elims nat.discI nat-int*)
qed

lemma *highestOneBitRecN*:
assumes *bit v n*
shows *highestOneBitRec n v* = *n*
by (*simp add*: *assms*)

lemma *highestOneBitRecMax*:
highestOneBitRec n v ≤ *n*
by (*induction n*; *simp*)

lemma *highestOneBitRecElim*:
assumes *highestOneBitRec n v* = *j*

shows $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge bit\ v\ j))$
using *assms highestOneBitRecTrue* **by** *blast*

lemma *highestOneBitRecZero*:
$v = 0 \Longrightarrow highestOneBitRec\ (size\ v)\ v = -1$
by (*induction rule*: *highestOneBitRec.induct*; *simp*)

lemma *highestOneBitRecLess*:
assumes $\neg\ bit\ v\ n$
shows $highestOneBitRec\ n\ v = highestOneBitRec\ (n - 1)\ v$
using *assms* **by** *force*

Some lemmas that use masks to restrict highestOneBit and relate it to highestOneBitRec.

lemma *highestOneBitMask*:
assumes $size\ v = n$
shows $highestOneBit\ v = highestOneBit\ (and\ v\ (mask\ n))$
by (*metis assms dual-order.refl lt2p-lem mask-eq-iff size-word.rep-eq*)

lemma *maskSmaller*:
fixes $v :: 'a :: len\ word$
assumes $\neg\ bit\ v\ n$
shows $and\ v\ (mask\ (Suc\ n)) = and\ v\ (mask\ n)$
unfolding *bit-eq-iff*
by (*metis assms bit-and-iff bit-mask-iff less-Suc-eq*)

lemma *highestOneBitSmaller*:
assumes $size\ v = Suc\ n$
assumes $\neg\ bit\ v\ n$
shows $highestOneBit\ v = highestOneBit\ (and\ v\ (mask\ n))$
by (*metis assms highestOneBitMask maskSmaller*)

lemma *highestOneBitRecMask*:
shows $highestOneBit\ (and\ v\ (mask\ (Suc\ n))) = highestOneBitRec\ n\ v$
proof (*induction n*)
case *0*
then show *?case*
by (*smt* (*verit*, *ccfv-SIG*) *Word.mask-Suc-0 and-mask-lt-2p and-nonnegative-int-iff bit-1-iff bit-and-iff highestOneBitN highestOneBitNeg highestOneBitRec.simps mask-eq-exp-minus-1 of-int-0 uint-1-eq uint-and word-and-def*)
next
case (*Suc n*)
then show *?case*
proof (*cases bit v* (*Suc n*))
case *True*
have *1*: $highestOneBitRec\ (Suc\ n)\ v = Suc\ n$
by (*simp add*: *True*)
have $\forall\ i{::}nat.\ (int\ i > (Suc\ n) \longrightarrow \neg\ (bit\ (and\ v\ (mask\ (Suc\ (Suc\ n))))\ i))$
by (*simp add*: *bit-and-iff bit-mask-iff*)

```
    then have 2: highestOneBit (and v (mask (Suc (Suc n)))) = Suc n
      using True highestOneBitN
      by (metis bit-take-bit-iff lessI take-bit-eq-mask)
    then show ?thesis
      using 1 2 by auto
  next
    case False
    then show ?thesis
      by (simp add: Suc maskSmaller)
  qed
qed
```

Finally - we can use the mask lemmas to relate highestOneBitRec to its spec.

```
lemma highestOneBitImpl[code]:
  highestOneBit v = highestOneBitRec (size v) v
  by (metis highestOneBitMask highestOneBitRecMask maskSmaller not-bit-length
wsst-TYs(3))


lemma highestOneBit (0x5 :: int8) = 2 by code-simp
```

2.2 Long.lowestOneBit

```
definition lowestOneBit :: ('a::len) word ⇒ nat where
  lowestOneBit v = MinOrHighest {n . bit v n} (size v)

lemma max-bit: bit (v::('a::len) word) n ⟹ n < size v
  by (simp add: bit-imp-le-length size-word.rep-eq)

lemma max-set-bit: MaxOrNeg {n . bit (v::('a::len) word) n} < Nat.size v
  using max-bit unfolding MaxOrNeg-def
  by force
```

2.3 Long.numberOfLeadingZeros

```
definition numberOfLeadingZeros :: ('a::len) word ⇒ nat where
  numberOfLeadingZeros v = nat (Nat.size v − highestOneBit v − 1)

lemma MaxOrNeg-neg: MaxOrNeg {} = −1
  by (simp add: MaxOrNeg-def)

lemma MaxOrNeg-max: s ≠ {} ⟹ MaxOrNeg s = Max s
  by (simp add: MaxOrNeg-def)

lemma zero-no-bits:
  {n . bit 0 n} = {}
  by simp
```

lemma *highestOneBit* (*0*::*64 word*) = *−1*
by (*simp add*: *MaxOrNeg-neg highestOneBit-def*)

lemma *numberOfLeadingZeros* (*0*::*64 word*) = *64*
unfolding *numberOfLeadingZeros-def* **using** *MaxOrNeg-neg highestOneBit-def size64*
by (*smt* (*verit*) *nat-int zero-no-bits*)

lemma *highestOneBit-top*: *Max* {*highestOneBit* (*v*::*64 word*)} < *64*
unfolding *highestOneBit-def*
by (*metis Max-singleton int-eq-iff-numeral max-set-bit size64*)

lemma *numberOfLeadingZeros-top*: *Max* {*numberOfLeadingZeros* (*v*::*64 word*)} ≤ *64*
unfolding *numberOfLeadingZeros-def*
using *size64*
by (*simp add*: *MaxOrNeg-def highestOneBit-def nat-le-iff*)

lemma *numberOfLeadingZeros-range*: *0* ≤ *numberOfLeadingZeros a* ∧ *numberOfLeadingZeros a* ≤ *Nat.size a*
unfolding *numberOfLeadingZeros-def*
using *MaxOrNeg-def highestOneBit-def nat-le-iff*
by (*smt* (*verit*) *bot-nat-0.extremum int-eq-iff*)

lemma *leadingZerosAddHighestOne*: *numberOfLeadingZeros v* + *highestOneBit v* = *Nat.size v* − *1*
unfolding *numberOfLeadingZeros-def highestOneBit-def*
using *MaxOrNeg-def int-nat-eq int-ops*(*6*) *max-bit order-less-irrefl* **by** *fastforce*

2.4 Long.numberOfTrailingZeros

definition *numberOfTrailingZeros* :: ('*a*::*len*) *word* ⇒ *nat* **where**
numberOfTrailingZeros v = *lowestOneBit v*

lemma *lowestOneBit-bot*: *lowestOneBit* (*0*::*64 word*) = *64*
unfolding *lowestOneBit-def MinOrHighest-def*
by (*simp add*: *size64*)

lemma *bit-zero-set-in-top*: *bit* (*−1*::'*a*::*len word*) *0*
by *auto*

lemma *nat-bot-set*: (*0*::*nat*) ∈ *xs* ⟶ (∀ *x* ∈ *xs* . *0* ≤ *x*)
by *fastforce*

lemma *numberOfTrailingZeros* (*0*::*64 word*) = *64*
unfolding *numberOfTrailingZeros-def*
using *lowestOneBit-bot* **by** *simp*

2.5 Long.bitCount

definition *bitCount* :: (*'a*::*len*) *word* ⇒ *nat* **where**
bitCount v = *card* {*n* . *bit v n*}

lemma *bitCount 0* = *0*
unfolding *bitCount-def*
by (*metis card.empty zero-no-bits*)

2.6 Long.zeroCount

definition *zeroCount* :: (*'a*::*len*) *word* ⇒ *nat* **where**
zeroCount v = *card* {*n*. *n* < *Nat.size v* ∧ ¬(*bit v n*)}

lemma *zeroCount-finite*: *finite* {*n*. *n* < *Nat.size v* ∧ ¬(*bit v n*)}
using *finite-nat-set-iff-bounded* **by** *blast*

lemma *negone-set*:
bit (−*1*::(*'a*::*len*) *word*) *n* ⟷ *n* < *LENGTH*(*'a*)
by *simp*

lemma *negone-all-bits*:
{*n* . *bit* (−*1*::(*'a*::*len*) *word*) *n*} = {*n* . *0* ≤ *n* ∧ *n* < *LENGTH*(*'a*)}
using *negone-set*
by *auto*

lemma *bitCount-finite*:
finite {*n* . *bit* (*v*::(*'a*::*len*) *word*) *n*}
by *simp*

lemma *card-of-range*:
x = *card* {*n* . *0* ≤ *n* ∧ *n* < *x*}
by *simp*

lemma *range-of-nat*:
{(*n*::*nat*) . *0* ≤ *n* ∧ *n* < *x*} = {*n* . *n* < *x*}
by *simp*

lemma *finite-range*:
finite {*n*::*nat* . *n* < *x*}
by *simp*

lemma *range-eq*:
fixes *x y* :: *nat*
shows *card* {*y*..<*x*} = *card* {*y*<..*x*}
using *card-atLeastLessThan card-greaterThanAtMost* **by** *presburger*

lemma *card-of-range-bound*:
fixes *x y* :: *nat*

assumes $x > y$
shows $x - y = card\ \{n\ .\ y < n \wedge n \leq x\}$
proof −
have *finite*: *finite* $\{n\ .\ y \leq n \wedge n < x\}$
by *auto*
have *nonempty*: $\{n\ .\ y \leq n \wedge n < x\} \neq \{\}$
using *assms* **by** *blast*
have *simprep*: $\{n\ .\ y < n \wedge n \leq x\} = \{y<..x\}$
by *auto*
have $x - y = card\ \{y<..x\}$
by *auto*
then show *?thesis*
unfolding *simprep* **by** *blast*
qed

lemma *bitCount* $(-1::('a::len)\ word) = LENGTH('a)$
unfolding *bitCount-def* **using** *card-of-range*
by (*metis* (*no-types*, *lifting*) *Collect-cong negone-all-bits*)

lemma *bitCount-range*:
fixes $n :: ('a::len)\ word$
shows $0 \leq bitCount\ n \wedge bitCount\ n \leq Nat.size\ n$
unfolding *bitCount-def*
by (*metis atLeastLessThan-iff bot-nat-0.extremum max-bit mem-Collect-eq subsetI subset-eq-atLeast0-lessThan-card*)

lemma *zerosAboveHighestOne*:
$n > highestOneBit\ a \Longrightarrow \neg(bit\ a\ n)$
unfolding *highestOneBit-def MaxOrNeg-def*
by (*metis* (*mono-tags*, *opaque-lifting*) *Collect-empty-eq Max-ge finite-bit-word less-le-not-le mem-Collect-eq of-nat-le-iff*)

lemma *zerosBelowLowestOne*:
assumes $n < lowestOneBit\ a$
shows $\neg(bit\ a\ n)$
proof (*cases* $\{i.\ bit\ a\ i\} = \{\}$)
case *True*
then show *?thesis* **by** *simp*
next
case *False*
have $n < Min\ (Collect\ (bit\ a)) \Longrightarrow \neg\ bit\ a\ n$
using *False* **by** *auto*
then show *?thesis*
by (*metis False MinOrHighest-def assms lowestOneBit-def*)
qed

lemma *union-bit-sets*:
fixes $a :: ('a::len)\ word$
shows $\{n\ .\ n < Nat.size\ a \wedge bit\ a\ n\} \cup \{n\ .\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{n$

. $n < Nat.size\ a\}$
by *fastforce*

lemma *disjoint-bit-sets*:
fixes $a :: ('a::len)\ word$
shows $\{n\ .\ n < Nat.size\ a \wedge bit\ a\ n\} \cap \{n\ .\ n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{\}$
by *blast*

lemma *qualified-bitCount*:
$bitCount\ v = card\ \{n\ .\ n < Nat.size\ v \wedge bit\ v\ n\}$
by (*metis* (*no-types*, *lifting*) *Collect-cong bitCount-def max-bit*)

lemma *card-eq*:
assumes *finite* $x \wedge$ *finite* $y \wedge$ *finite* z
assumes $x \cup y = z$
assumes $y \cap x = \{\}$
shows $card\ z - card\ y = card\ x$
using *assms add-diff-cancel-right' card-Un-disjoint*
by (*metis inf.commute*)

lemma *card-add*:
assumes *finite* $x \wedge$ *finite* $y \wedge$ *finite* z
assumes $x \cup y = z$
assumes $y \cap x = \{\}$
shows $card\ x + card\ y = card\ z$
using *assms card-Un-disjoint*
by (*metis inf.commute*)

lemma *card-add-inverses*:
assumes *finite* $\{n.\ Q\ n \wedge \neg(P\ n)\} \wedge$ *finite* $\{n.\ Q\ n \wedge P\ n\} \wedge$ *finite* $\{n.\ Q\ n\}$
shows $card\ \{n.\ Q\ n \wedge P\ n\} + card\ \{n.\ Q\ n \wedge \neg(P\ n)\} = card\ \{n.\ Q\ n\}$
apply (*rule card-add*)
using *assms* **apply** *simp*
apply *auto*[*1*]
by *auto*

lemma *ones-zero-sum-to-width*:
$bitCount\ a + zeroCount\ a = Nat.size\ a$
proof −
have *add-cards*: $card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge (bit\ a\ n)\} + card\ \{n.\ (\lambda n.\ n < size\ a)\ n \wedge \neg(bit\ a\ n)\} = card\ \{n.\ (\lambda n.\ n < size\ a)\ n\}$
apply (*rule card-add-inverses*) **by** *simp*
then have $... = Nat.size\ a$
by *auto*
then show *?thesis*
unfolding *bitCount-def zeroCount-def* **using** *max-bit*
by (*metis* (*mono-tags*, *lifting*) *Collect-cong add-cards*)
qed

lemma *intersect-bitCount-helper*:
  card $\{n$. $n <$ *Nat.size a*$\}$ − *bitCount a* = *card* $\{n$. $n <$ *Nat.size a* $\wedge \neg$(*bit a n*)$\}$
proof −
  have *size-def*: *Nat.size a* = *card* $\{n$. $n <$ *Nat.size a*$\}$
    using *card-of-range* **by** *simp*
  have *bitCount-def*: *bitCount a* = *card* $\{n$. $n <$ *Nat.size a* $\wedge$ *bit a n*$\}$
    using *qualified-bitCount* **by** *auto*
  have *disjoint*: $\{n$. $n <$ *Nat.size a* $\wedge$ *bit a n*$\} \cap \{n$. $n <$ *Nat.size a* $\wedge \neg$(*bit a n*)$\} = \{\}$
    using *disjoint-bit-sets* **by** *auto*
  have *union*: $\{n$. $n <$ *Nat.size a* $\wedge$ *bit a n*$\} \cup \{n$. $n <$ *Nat.size a* $\wedge \neg$(*bit a n*)$\}$ = $\{n$. $n <$ *Nat.size a*$\}$
    using *union-bit-sets* **by** *auto*
  show *?thesis*
    unfolding *bitCount-def*
    apply (*rule card-eq*)
    using *finite-range* **apply** *simp*
    using *union* **apply** *blast*
    using *disjoint* **by** *simp*
qed

lemma *intersect-bitCount*:
  Nat.size a − *bitCount a* = *card* $\{n$. $n <$ *Nat.size a* $\wedge \neg$(*bit a n*)$\}$
  using *card-of-range intersect-bitCount-helper* **by** *auto*

hide-fact *intersect-bitCount-helper*

end

3 Operator Semantics

theory *Values*
  imports
    JavaWords
begin

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

type-synonym *objref* = *nat option*

datatype (*discs-sels*) *Value* =
  UndefVal |

  IntVal iwidth int64 |

  ObjRef objref |
  ObjStr string

fun *intval-bits* :: *Value* ⇒ *nat* **where**
  intval-bits (*IntVal b v*) = *b*

fun *intval-word* :: *Value* ⇒ *int64* **where**
  intval-word (*IntVal b v*) = *v*

Converts an integer word into a Java value.

fun *new-int* :: *iwidth* ⇒ *int64* ⇒ *Value* **where**
  new-int b w = *IntVal b* (*take-bit b w*)

Converts an integer word into a Java value, iff the two types are equal.

fun *new-int-bin* :: *iwidth* ⇒ *iwidth* ⇒ *int64* ⇒ *Value* **where**
  new-int-bin b1 b2 w = (*if b1*=*b2 then new-int b1 w else UndefVal*)

fun *wf-bool* :: *Value* ⇒ *bool* **where**
  wf-bool (*IntVal b w*) = (*b* = *1*) |
  wf-bool - = *False*

fun *val-to-bool* :: *Value* ⇒ *bool* **where**
  val-to-bool (*IntVal b val*) = (*if val* = *0 then False else True*) |
  val-to-bool val = *False*

fun *bool-to-val* :: *bool* ⇒ *Value* **where**
  bool-to-val True = (*IntVal 32 1*) |
  bool-to-val False = (*IntVal 32 0*)

Converts an Isabelle bool into a Java value, iff the two types are equal.

fun *bool-to-val-bin* :: *iwidth* ⇒ *iwidth* ⇒ *bool* ⇒ *Value* **where**

bool-to-val-bin t1 t2 b = (*if t1* = *t2 then bool-to-val b else UndefVal*)

fun *is-int-val* :: *Value* ⇒ *bool* **where**
is-int-val v = *is-IntVal v*

lemma *neg-one-value*[*simp*]: *new-int b* (*neg-one b*) = *IntVal b* (*mask b*)
by *simp*

lemma *neg-one-signed*[*simp*]:
assumes *0* < *b*
shows *int-signed-value b* (*neg-one b*) = −*1*
by (*smt* (*verit*, *best*) *assms diff-le-self diff-less int-signed-value.simps less-one mask-eq-take-bit-minus-one neg-one.simps nle-le signed-minus-1 signed-take-bit-of-minus-1 signed-take-bit-take-bit verit-comp-simplify1*(*1*))

3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

fun *intval-add* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-add (*IntVal b1 v1*) (*IntVal b2 v2*) =
(*if b1* = *b2 then IntVal b1* (*take-bit b1* (*v1*+*v2*)) *else UndefVal*) |
intval-add - - = *UndefVal*

fun *intval-sub* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-sub (*IntVal b1 v1*) (*IntVal b2 v2*) = *new-int-bin b1 b2* (*v1*−*v2*) |
intval-sub - - = *UndefVal*

fun *intval-mul* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-mul (*IntVal b1 v1*) (*IntVal b2 v2*) = *new-int-bin b1 b2* (*v1*∗*v2*) |
intval-mul - - = *UndefVal*

fun *intval-div* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-div (*IntVal b1 v1*) (*IntVal b2 v2*) =
new-int-bin b1 b2 (*word-of-int*
((*int-signed-value b1 v1*) *sdiv* (*int-signed-value b2 v2*))) |
intval-div - - = UndefVal

fun *intval-mod* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-mod (*IntVal b1 v1*) (*IntVal b2 v2*) =
new-int-bin b1 b2 (*word-of-int*
((*int-signed-value b1 v1*) *smod* (*int-signed-value b2 v2*))) |
intval-mod - - = UndefVal

fun *intval-negate* :: *Value* ⇒ *Value* **where**
intval-negate (*IntVal t v*) = *new-int t* (− *v*) |
intval-negate - = UndefVal

fun *intval-abs* :: *Value* ⇒ *Value* **where**
intval-abs (*IntVal t v*) = *new-int t* (*if int-signed-value t v* < *0 then* − *v else v*) |
intval-abs - = UndefVal

TODO: clarify which widths this should work on: just 1-bit or all?

fun *intval-logic-negation* :: *Value* ⇒ *Value* **where**
intval-logic-negation (*IntVal b v*) = *new-int b* (*logic-negate v*) |
intval-logic-negation - = UndefVal

3.2 Bitwise Operators

fun *intval-and* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-and (*IntVal b1 v1*) (*IntVal b2 v2*) = *new-int-bin b1 b2* (*and v1 v2*) |
intval-and - - = UndefVal

fun *intval-or* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-or (*IntVal b1 v1*) (*IntVal b2 v2*) = *new-int-bin b1 b2* (*or v1 v2*) |
intval-or - - = UndefVal

fun *intval-xor* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-xor (*IntVal b1 v1*) (*IntVal b2 v2*) = *new-int-bin b1 b2* (*xor v1 v2*) |
intval-xor - - = UndefVal

fun *intval-not* :: *Value* ⇒ *Value* **where**
intval-not (*IntVal t v*) = *new-int t* (*not v*) |
intval-not - = UndefVal

3.3 Comparison Operators

fun *intval-short-circuit-or* :: *Value* ⇒ *Value* ⇒ *Value* **where**
intval-short-circuit-or (*IntVal b1 v1*) (*IntVal b2 v2*) = *bool-to-val-bin b1 b2* (((*v1* ≠ *0*) ∨ (*v2* ≠ *0*))) |

```
  intval-short-circuit-or - - = UndefVal

fun intval-equals :: Value ⇒ Value ⇒ Value where
  intval-equals (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 = v2) |
  intval-equals - - = UndefVal

fun intval-less-than :: Value ⇒ Value ⇒ Value where
  intval-less-than (IntVal b1 v1) (IntVal b2 v2) =
    bool-to-val-bin b1 b2 (int-signed-value b1 v1 < int-signed-value b2 v2) |
  intval-less-than - - = UndefVal

fun intval-below :: Value ⇒ Value ⇒ Value where
  intval-below (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 < v2) |
  intval-below - - = UndefVal

fun intval-conditional :: Value ⇒ Value ⇒ Value ⇒ Value where
  intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)
```

3.4 Narrowing and Widening Operators

Note: we allow these operators to have inBits=outBits, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that $take\_bit N$ and $signed\_take\_bit(N-1)$ match up as expected.

```
corollary sint (signed-take-bit 0 (1 :: int32)) = -1 by code-simp
corollary sint (signed-take-bit 7 ((256 + 128) :: int64)) = -128 by code-simp
corollary sint (take-bit 7 ((256 + 128 + 64) :: int64)) = 64 by code-simp
corollary sint (take-bit 8 ((256 + 128 + 64) :: int64)) = 128 + 64 by code-simp


fun intval-narrow :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-narrow inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64
     then new-int outBits v
     else UndefVal) |
  intval-narrow - - - = UndefVal


fun intval-sign-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal

fun intval-zero-extend :: nat ⇒ nat ⇒ Value ⇒ Value where
  intval-zero-extend inBits outBits (IntVal b v) =
```

```
    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal
```

Some well-formedness results to help reasoning about narrowing and widening operators

```
lemma intval-narrow-ok:
  assumes intval-narrow inBits outBits val ≠ UndefVal
  shows 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64 ∧ outBits ≤ 64 ∧
        is-IntVal val ∧
        intval-bits val = inBits
  using assms intval-narrow.simps neq0-conv intval-bits.simps
  by (metis Value.disc(2) intval-narrow.elims le-trans)

lemma intval-sign-extend-ok:
  assumes intval-sign-extend inBits outBits val ≠ UndefVal
  shows 0 < inBits ∧
        inBits ≤ outBits ∧ outBits ≤ 64 ∧
        is-IntVal val ∧
        intval-bits val = inBits
  using assms intval-sign-extend.simps neq0-conv
  by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def)

lemma intval-zero-extend-ok:
  assumes intval-zero-extend inBits outBits val ≠ UndefVal
  shows 0 < inBits ∧
        inBits ≤ outBits ∧ outBits ≤ 64 ∧
        is-IntVal val ∧
        intval-bits val = inBits
  using assms intval-sign-extend.simps neq0-conv
  by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def)
```

3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))

fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2) |
  intval-left-shift - - = UndefVal
```

Signed shift is more complex, because we sometimes have to insert 1 bits at

the correct point, which is at b1 bits.

```
fun intval-right-shift :: Value ⇒ Value ⇒ Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
     (let shift = shift-amount b1 v2 in
      let ones = and (mask b1) (not (mask (b1 − shift) :: int64)) in
      (if int-signed-value b1 v1 < 0
       then new-int b1 (or ones (v1 >>> shift))
       else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal

fun intval-uright-shift :: Value ⇒ Value ⇒ Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal
```

3.5.1 Examples of Narrowing / Widening Functions

```
experiment begin
corollary intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp
corollary intval-narrow 32 8 (IntVal 32 (−2)) = IntVal 8 254 by simp
corollary intval-narrow 32 1 (IntVal 32 (−2)) = IntVal 1 0    by simp
corollary intval-narrow 32 1 (IntVal 32 (−3)) = IntVal 1 1 by simp


corollary intval-narrow 32 8 (IntVal 64 (−2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 32 (−2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp
corollary intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp
corollary intval-narrow 64 64 (IntVal 64 (−2)) = IntVal 64 (−2) by simp
end

experiment begin
corollary intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2^32 −
128) by simp
corollary intval-sign-extend 8 32 (IntVal 8 (−2)) = IntVal 32 (2^32 − 2) by
simp
corollary intval-sign-extend 1 32 (IntVal 1 (−2)) = IntVal 32 0    by simp
corollary intval-sign-extend 1 32 (IntVal 1 (−3)) = IntVal 32 (mask 32) by simp


corollary intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal 32 254) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal 8 254) = IntVal 64 (−2) by simp
corollary intval-sign-extend 32 64 (IntVal 32 (2^32 − 2)) = IntVal 64 (−2) by
simp
corollary intval-sign-extend 64 64 (IntVal 64 (−2)) = IntVal 64 (−2) by simp
end
```

experiment begin
corollary *intval-zero-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 128* **by** *simp*
corollary *intval-zero-extend 8 32 (IntVal 8 (−2)) = IntVal 32 254* **by** *simp*
corollary *intval-zero-extend 1 32 (IntVal 1 (−1)) = IntVal 32 1* **by** *simp*
corollary *intval-zero-extend 1 32 (IntVal 1 (−2)) = IntVal 32 0* **by** *simp*

corollary *intval-zero-extend 8 32 (IntVal 64 (−2)) = UndefVal* **by** *simp*
corollary *intval-zero-extend 8 64 (IntVal 64 (−2)) = UndefVal* **by** *simp*
corollary *intval-zero-extend 8 64 (IntVal 8 254) = IntVal 64 254* **by** *simp*
corollary *intval-zero-extend 32 64 (IntVal 32 (2^32 − 2)) = IntVal 64 (2^32 − 2)* **by** *simp*
corollary *intval-zero-extend 64 64 (IntVal 64 (−2)) = IntVal 64 (−2)* **by** *simp*
end

experiment begin
corollary *intval-right-shift (IntVal 8 128) (IntVal 8 0) = IntVal 8 128* **by** *eval*
corollary *intval-right-shift (IntVal 8 128) (IntVal 8 1) = IntVal 8 192* **by** *eval*
corollary *intval-right-shift (IntVal 8 128) (IntVal 8 2) = IntVal 8 224* **by** *eval*
corollary *intval-right-shift (IntVal 8 128) (IntVal 8 8) = IntVal 8 255* **by** *eval*
corollary *intval-right-shift (IntVal 8 128) (IntVal 8 31) = IntVal 8 255* **by** *eval*
end

lemma *intval-add-sym*:
  shows *intval-add a b = intval-add b a*
  by (*induction a*; *induction b*; *auto simp*: *add.commute*)

lemma *intval-add (IntVal 32 (2^31−1)) (IntVal 32 (2^31−1)) = IntVal 32 (2^32 − 2)*
  by *eval*
lemma *intval-add (IntVal 64 (2^31−1)) (IntVal 64 (2^31−1)) = IntVal 64 4294967294*
  by *eval*

end

3.6 Fixed-width Word Theories

theory *ValueThms*
  imports *Values*
begin

3.6.1 Support Lemmas for Upper/Lower Bounds

lemma *size32*: *size v = 32* **for** *v :: 32 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3) mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *size64*: *size v = 64* **for** *v :: 64 word*
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3) mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0*
by (*smt* (*verit*, *del-insts*) *mult.commute*)

lemma *lower-bounds-equiv*:
assumes *0 < N*
shows *−(((2::int) ^ (N−1))) = (2::int) ^ N div 2 * − 1*
by (*simp add*: *assms int-power-div-base*)

lemma *upper-bounds-equiv*:
assumes *0 < N*
shows *(2::int) ^ (N−1) = (2::int) ^ N div 2*
by (*simp add*: *assms int-power-div-base*)

Some min/max bounds for 64-bit words

lemma *bit-bounds-min64*: *((fst (bit-bounds 64))) ≤ (sint (v::int64))*
unfolding *bit-bounds.simps fst-def*
using *sint-ge*[*of v*] **by** *simp*

lemma *bit-bounds-max64*: *((snd (bit-bounds 64))) ≥ (sint (v::int64))*
unfolding *bit-bounds.simps fst-def*
using *sint-lt*[*of v*] **by** *simp*

Extend these min/max bounds to extracting smaller signed words using *signed_take_bit*.

Note: we could use signed to convert between bit-widths, instead of signed_take_bit. But that would have to be done separately for each bit-width type.

value *sint*(*signed-take-bit 7 (128 :: int8)*)

ML-val ‹@{*thm signed-take-bit-decr-length-iff*}›
declare [[*show-types=true*]]
ML-val ‹@{*thm signed-take-bit-int-less-exp*}›

lemma *signed-take-bit-int-less-exp-word*:

fixes *ival* :: *'a* :: *len word*
assumes *n* < *LENGTH*('*a*)
shows *sint*(*signed-take-bit n ival*) < (*2*::*int*) ^ *n*
apply *transfer*
by (*smt* (*verit*, *best*) *not-take-bit-negative signed-take-bit-eq-take-bit-shift signed-take-bit-int-less-exp take-bit-int-greater-self-iff*)

lemma *signed-take-bit-int-greater-eq-minus-exp-word*:
fixes *ival* :: *'a* :: *len word*
assumes *n* < *LENGTH*('*a*)
shows − (*2* ^ *n*) ≤ *sint*(*signed-take-bit n ival*)
apply *transfer*
by (*smt* (*verit*, *best*) *signed-take-bit-int-greater-eq-minus-exp signed-take-bit-int-greater-eq-self-iff signed-take-bit-int-less-exp*)

lemma *signed-take-bit-range*:
fixes *ival* :: *'a* :: *len word*
assumes *n* < *LENGTH*('*a*)
assumes *val* = *sint*(*signed-take-bit n ival*)
shows − (*2* ^ *n*) ≤ *val* ∧ *val* < *2* ^ *n*
using *signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word*
using *assms* **by** *blast*

A *bit_bounds* version of the above lemma.

lemma *signed-take-bit-bounds*:
fixes *ival* :: *'a* :: *len word*
assumes *n* ≤ *LENGTH*('*a*)
assumes *0* < *n*
assumes *val* = *sint*(*signed-take-bit* (*n* − *1*) *ival*)
shows *fst* (*bit-bounds n*) ≤ *val* ∧ *val* ≤ *snd* (*bit-bounds n*)
using *assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv*
by (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt snd-conv zle-diff1-eq*)

lemma *signed-take-bit-bounds64*:
fixes *ival* :: *int64*
assumes *n* ≤ *64*
assumes *0* < *n*
assumes *val* = *sint*(*signed-take-bit* (*n* − *1*) *ival*)
shows *fst* (*bit-bounds n*) ≤ *val* ∧ *val* ≤ *snd* (*bit-bounds n*)
using *assms signed-take-bit-bounds*
by (*metis size64 word-size*)

lemma *int-signed-value-bounds*:
assumes *b1* ≤ *64*
assumes *0* < *b1*
shows *fst* (*bit-bounds b1*) ≤ *int-signed-value b1 v2* ∧
int-signed-value b1 v2 ≤ *snd* (*bit-bounds b1*)

using *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

lemma *int-signed-value-range*:
fixes *ival* :: *int64*
assumes *val* = *int-signed-value n ival*
shows − (*2* ^ (*n* − *1*)) ≤ *val* ∧ *val* < *2* ^ (*n* − *1*)
using *signed-take-bit-range assms*
by (*smt* (*verit*, *ccfv-SIG*) *One-nat-def diff-less int-signed-value.elims len-gt-0 len-num1 power-less-imp-less-exp power-strict-increasing sint-greater-eq sint-less*)

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

lemma *take-bit-smaller-range*:
fixes *ival* :: *'a* :: *len word*
assumes *n* < *LENGTH*('*a*)
assumes *val* = *sint*(*take-bit n ival*)
shows *0* ≤ *val* ∧ *val* < (*2*::*int*) ^ *n*
by (*simp add*: *assms signed-take-bit-eq*)

lemma *take-bit-same-size-nochange*:
fixes *ival* :: *'a* :: *len word*
assumes *n* = *LENGTH*('*a*)
shows *ival* = *take-bit n ival*
by (*simp add*: *assms*)

A simplification lemma for *new_int*, showing that upper bits can be ignored.

lemma *take-bit-redundant*[*simp*]:
fixes *ival* :: *'a* :: *len word*
assumes *0* < *n*
assumes *n* < *LENGTH*('*a*)
shows *signed-take-bit* (*n* − *1*) (*take-bit n ival*) = *signed-take-bit* (*n* − *1*) *ival*
proof −
have ¬ (*n* ≤ *n* − *1*) **using** *assms* **by** *arith*
then have ⋀*i* . *signed-take-bit* (*n* − *1*) (*take-bit n i*) = *signed-take-bit* (*n*−*1*) *i*
using *signed-take-bit-take-bit* **by** (*metis* (*mono-tags*))
then show *?thesis*
by *blast*
qed

lemma *take-bit-same-size-range*:
fixes *ival* :: *'a* :: *len word*
assumes *n* = *LENGTH*('*a*)
assumes *ival2* = *take-bit n ival*
shows − (*2* ^ *n div 2*) ≤ *sint ival2* ∧ *sint ival2* < *2* ^ *n div 2*
using *assms lower-bounds-equiv sint-ge sint-lt* **by** *auto*

lemma *take-bit-same-bounds*:
fixes *ival* :: *'a* :: *len word*
assumes *n* = *LENGTH*('*a*)

assumes *ival2 = take-bit n ival*
shows *fst (bit-bounds n) ≤ sint ival2 ∧ sint ival2 ≤ snd (bit-bounds n)*
unfolding *bit-bounds.simps*
using *assms take-bit-same-size-range*
by *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using scast now?)

lemma *scast-max-bound*:
assumes *sint (v :: 'a :: len word) < M*
assumes *LENGTH('a) < LENGTH('b)*
shows *sint ((scast v) :: 'b :: len word) < M*
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt* (*verit*, *best*) *One-nat-def assms(1) assms(2) decr-length-less-iff linorder-not-le power-strict-increasing-iff signed-take-bit-int-less-self-iff sint-greater-eq*)

lemma *scast-min-bound*:
assumes *M ≤ sint (v :: 'a :: len word)*
assumes *LENGTH('a) < LENGTH('b)*
shows *M ≤ sint ((scast v) :: 'b :: len word)*
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt* (*verit*) *One-nat-def Suc-pred assms(1) assms(2) len-gt-0 less-Suc-eq order-less-le order-less-le-trans power-le-imp-le-exp signed-take-bit-int-greater-eq-self-iff sint-lt*)

lemma *scast-bigger-max-bound*:
assumes *(result :: 'b :: len word) = scast (v :: 'a :: len word)*
shows *sint result < 2 ^ LENGTH('a) div 2*
using *sint-lt upper-bounds-equiv scast-max-bound*
by (*smt* (*verit*, *best*) *assms(1) len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff sint-ge sint-less upper-bounds-equiv*)

lemma *scast-bigger-min-bound*:
assumes *(result :: 'b :: len word) = scast (v :: 'a :: len word)*
shows *− (2 ^ LENGTH('a) div 2) ≤ sint result*
using *sint-ge lower-bounds-equiv scast-min-bound*
by (*smt* (*verit*) *assms len-gt-0 nat-less-le not-less scast-max-bound*)

lemma *scast-bigger-bit-bounds*:
assumes *(result :: 'b :: len word) = scast (v :: 'a :: len word)*
shows *fst (bit-bounds (LENGTH('a))) ≤ sint result ∧ sint result ≤ snd (bit-bounds (LENGTH('a)))*
using *assms scast-bigger-min-bound scast-bigger-max-bound*
by *auto*

Results about *new_int*.

lemma *new-int-take-bits*:
  assumes *IntVal b val* = *new-int b ival*
  shows *take-bit b val* = *val*
  using *assms* **by** *force*

3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take_bit wrappers.

lemma *take-bit-dist-addL*[*simp*]:
  fixes *x* :: *'a* :: *len word*
  shows *take-bit b* (*take-bit b x* + *y*) = *take-bit b* (*x* + *y*)
proof (*induction b*)
  case *0*
  then show *?case*
    by *simp*
next
  case (*Suc b*)
  then show *?case*
    by (*simp add*: *add.commute mask-eqs*(*2*) *take-bit-eq-mask*)
qed

lemma *take-bit-dist-addR*[*simp*]:
  fixes *x* :: *'a* :: *len word*
  shows *take-bit b* (*x* + *take-bit b y*) = *take-bit b* (*x* + *y*)
  using *take-bit-dist-addL* **by** (*metis add.commute*)

lemma *take-bit-dist-subL*[*simp*]:
  fixes *x* :: *'a* :: *len word*
  shows *take-bit b* (*take-bit b x* − *y*) = *take-bit b* (*x* − *y*)
  by (*metis take-bit-dist-addR uminus-add-conv-diff*)

lemma *take-bit-dist-subR*[*simp*]:
  fixes *x* :: *'a* :: *len word*
  shows *take-bit b* (*x* − *take-bit b y*) = *take-bit b* (*x* − *y*)
  using *take-bit-dist-subL*
  by (*metis* (*no-types*, *opaque-lifting*) *diff-add-cancel diff-right-commute diff-self*)

lemma *take-bit-dist-neg*[*simp*]:
  fixes *ix* :: *'a* :: *len word*
  shows *take-bit b* (− *take-bit b* (*ix*)) = *take-bit b* (− *ix*)
  by (*metis diff-0 take-bit-dist-subR*)

lemma *signed-take-take-bit*[*simp*]:
  fixes *x* :: *'a* :: *len word*

assumes *0 < b*
shows *signed-take-bit (b − 1) (take-bit b x) = signed-take-bit (b − 1) x*
by (*smt* (*verit*, *best*) *Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit*)

lemma *mod-larger-ignore*:
fixes *a* :: *int*
fixes *m n* :: *nat*
assumes *n < m*
shows (*a mod 2 ^ m*) *mod 2 ^ n = a mod 2 ^ n*
by (*smt* (*verit*, *del-insts*) *assms exp-mod-exp linorder-not-le mod-0-imp-dvd mod-mod-cancel mod-self order-less-imp-le*)

lemma *mod-dist-over-add*:
fixes *a b c* :: *int64*
fixes *n* :: *nat*
assumes *1*: *0 < n*
assumes *2*: *n < 64*
shows (*a mod 2^n + b*) *mod 2^n = (a + b) mod 2^n*
proof −
have *3*: (*0* :: *int64*) *< 2 ^ n*
using *assms* **by** (*simp add*: *size64 word-2p-lem*)
then show *?thesis*
unfolding *word-mod-2p-is-mask*[*OF 3*]
apply *transfer*
by (*metis* (*no-types*, *opaque-lifting*) *and.right-idem take-bit-add take-bit-eq-mask*)
qed

end

4 Stamp Typing

theory *Stamp*
imports *Values*
begin

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

datatype *Stamp* =
VoidStamp
| *IntegerStamp* (*stp-bits*: *nat*) (*stpi-lower*: *int*) (*stpi-upper*: *int*)

```
  | KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
 | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
  | RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | IllegalStamp


fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False
```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what StampFactory.forUnsignedInteger does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid-stamp :: Stamp ⇒ bool where
  valid-stamp (IntegerStamp bits lo hi) =
    (0 < bits ∧ bits ≤ 64 ∧
    fst (bit-bounds bits) ≤ lo ∧ lo ≤ snd (bit-bounds bits) ∧
    fst (bit-bounds bits) ≤ hi ∧ hi ≤ snd (bit-bounds bits)) |
  valid-stamp s = True



experiment begin
corollary bit-bounds 1 = (−1, 0) by simp
end
```

```
— A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp ⇒ Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
   unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
```

```
  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
'''' False False False) |
  unrestricted-stamp - = IllegalStamp

fun is-stamp-unrestricted :: Stamp ⇒ bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

   empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
'''' True True False) |
  empty-stamp stamp = IllegalStamp


— Calculate the meet stamp of two stamps
fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
  meet VoidStamp VoidStamp = VoidStamp |
  meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (min l1 l2) (max u1 u2))
  ) |

  meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
   meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
    MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
```

```
  meet s1 s2 = IllegalStamp

— Calculate the join stamp of two stamps
fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |

  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b (word-of-int l) else
UndefVal) |
  asConstant - = UndefVal

— Determine if two stamps never have value overlaps i.e. their join is empty
fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
b v)) |
```

constantAsStamp - = IllegalStamp

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.
fun *valid-value* :: *Value* ⇒ *Stamp* ⇒ *bool* **where**
valid-value (*IntVal b1 val*) (*IntegerStamp b l h*) =
(*if b1 = b then*
valid-stamp (*IntegerStamp b l h*) ∧
take-bit b val = val ∧
l ≤ *int-signed-value b val* ∧ *int-signed-value b val* ≤ *h*
else False) |

valid-value (*ObjRef ref*) (*ObjectStamp klass exact nonNull alwaysNull*) =
((*alwaysNull* ⟶ *ref = None*) ∧ (*ref=None* ⟶ ¬ *nonNull*)) |
valid-value stamp val = False

definition *wf-value* :: *Value* ⇒ *bool* **where**
wf-value v = valid-value v (*constantAsStamp v*)

lemma *unfold-wf-value*[*simp*]:
wf-value v ⟹ *valid-value v* (*constantAsStamp v*)
using *wf-value-def* **by** *auto*

fun *compatible* :: *Stamp* ⇒ *Stamp* ⇒ *bool* **where**
compatible (*IntegerStamp b1 lo1 hi1*) (*IntegerStamp b2 lo2 hi2*) =
(*b1 = b2* ∧ *valid-stamp* (*IntegerStamp b1 lo1 hi1*) ∧ *valid-stamp* (*IntegerStamp b2 lo2 hi2*)) |
compatible (*VoidStamp*) (*VoidStamp*) = *True* |
compatible - - = False

fun *stamp-under* :: *Stamp* ⇒ *Stamp* ⇒ *bool* **where**
stamp-under (*IntegerStamp b1 lo1 hi1*) (*IntegerStamp b2 lo2 hi2*) = (*hi1 < lo2*) |
stamp-under - - = False

— The most common type of stamp within the compiler (apart from the VoidStamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.
definition *default-stamp* :: *Stamp* **where**
default-stamp = (*unrestricted-stamp* (*IntegerStamp 32 0 0*))

value *valid-value* (*IntVal 8* (*255*)) (*IntegerStamp 8* (*−128*) *127*)
end

5 Graph Representation

5.1 IR Graph Nodes

theory *IRNodes*
imports
Values
begin

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs_of and successors_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

type-synonym *ID* = *nat*
type-synonym *INPUT* = *ID*
type-synonym *INPUT-ASSOC* = *ID*
type-synonym *INPUT-STATE* = *ID*
type-synonym *INPUT-GUARD* = *ID*
type-synonym *INPUT-COND* = *ID*
type-synonym *INPUT-EXT* = *ID*
type-synonym *SUCC* = *ID*

datatype (*discs-sels*) *IRNode* =
AbsNode (*ir-value*: *INPUT*)
| *AddNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *AndNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *BeginNode* (*ir-next*: *SUCC*)
| *BytecodeExceptionNode* (*ir-arguments*: *INPUT list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *ConditionalNode* (*ir-condition*: *INPUT-COND*) (*ir-trueValue*: *INPUT*) (*ir-falseValue*: *INPUT*)
| *ConstantNode* (*ir-const*: *Value*)
| *DynamicNewArrayNode* (*ir-elementType*: *INPUT*) (*ir-length*: *INPUT*) (*ir-voidClass-opt*: *INPUT option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *EndNode*
| *ExceptionObjectNode* (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

| *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)
| *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)
| *IntegerBelowNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)
| *IsNullNode* (*ir-value*: *INPUT*)
| *KillingBeginNode* (*ir-next*: *SUCC*)
| *LeftShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)
| *LogicNegationNode* (*ir-value*: *INPUT-COND*)
| *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)
| *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*)
| *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *NarrowNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)
| *NegateNode* (*ir-value*: *INPUT*)
| *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
| *NotNode* (*ir-value*: *INPUT*)
| *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *ParameterNode* (*ir-index*: *nat*)
| *PiNode* (*ir-object*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*)
| *ReturnNode* (*ir-result-opt*: *INPUT option*) (*ir-memoryMap-opt*: *INPUT-EXT option*)
| *RightShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
| *ShortCircuitOrNode* (*ir-x*: *INPUT-COND*) (*ir-y*: *INPUT-COND*)
| *SignExtendNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)
| *SignedDivNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

| *SignedRemNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

```
  | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
  | SubNode (ir-x: INPUT) (ir-y: INPUT)
  | UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
  | UnwindNode (ir-exception: INPUT)
  | ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)

  | ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
  | XorNode (ir-x: INPUT) (ir-y: INPUT)
  | ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
  | NoNode



  | RefNode (ir-ref:ID)



fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x
```

The following functions, inputs_of and successors_of, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```
fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BeginNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
   inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
   inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-DynamicNewArrayNode:
```

inputs-of (*DynamicNewArrayNode elementType length0 voidClass stateBefore next*) = [*elementType*, *length0*] @ (*opt-to-list voidClass*) @ (*opt-to-list stateBefore*)
|
inputs-of-EndNode:
inputs-of (*EndNode*) = [] |
inputs-of-ExceptionObjectNode:
inputs-of (*ExceptionObjectNode stateAfter next*) = (*opt-to-list stateAfter*) |
inputs-of-FrameState:
inputs-of (*FrameState monitorIds outerFrameState values virtualObjectMappings*) = *monitorIds* @ (*opt-to-list outerFrameState*) @ (*opt-list-to-list values*) @ (*opt-list-to-list virtualObjectMappings*) |
inputs-of-IfNode:
inputs-of (*IfNode condition trueSuccessor falseSuccessor*) = [*condition*] |
inputs-of-IntegerBelowNode:
inputs-of (*IntegerBelowNode x y*) = [*x*, *y*] |
inputs-of-IntegerEqualsNode:
inputs-of (*IntegerEqualsNode x y*) = [*x*, *y*] |
inputs-of-IntegerLessThanNode:
inputs-of (*IntegerLessThanNode x y*) = [*x*, *y*] |
inputs-of-InvokeNode:
inputs-of (*InvokeNode nid0 callTarget classInit stateDuring stateAfter next*) = *callTarget* # (*opt-to-list classInit*) @ (*opt-to-list stateDuring*) @ (*opt-to-list stateAfter*)
|
inputs-of-InvokeWithExceptionNode:
inputs-of (*InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = *callTarget* # (*opt-to-list classInit*) @ (*opt-to-list stateDuring*) @ (*opt-to-list stateAfter*) |
inputs-of-IsNullNode:
inputs-of (*IsNullNode value*) = [*value*] |
inputs-of-KillingBeginNode:
inputs-of (*KillingBeginNode next*) = [] |
inputs-of-LeftShiftNode:
inputs-of (*LeftShiftNode x y*) = [*x*, *y*] |
inputs-of-LoadFieldNode:
inputs-of (*LoadFieldNode nid0 field object next*) = (*opt-to-list object*) |
inputs-of-LogicNegationNode:
inputs-of (*LogicNegationNode value*) = [*value*] |
inputs-of-LoopBeginNode:
inputs-of (*LoopBeginNode ends overflowGuard stateAfter next*) = *ends* @ (*opt-to-list overflowGuard*) @ (*opt-to-list stateAfter*) |
inputs-of-LoopEndNode:
inputs-of (*LoopEndNode loopBegin*) = [*loopBegin*] |
inputs-of-LoopExitNode:
inputs-of (*LoopExitNode loopBegin stateAfter next*) = *loopBegin* # (*opt-to-list stateAfter*) |
inputs-of-MergeNode:
inputs-of (*MergeNode ends stateAfter next*) = *ends* @ (*opt-to-list stateAfter*) |
inputs-of-MethodCallTargetNode:
inputs-of (*MethodCallTargetNode targetMethod arguments*) = *arguments* |

inputs-of-MulNode:
inputs-of (*MulNode x y*) = [*x*, *y*] |
inputs-of-NarrowNode:
inputs-of (*NarrowNode inputBits resultBits value*) = [*value*] |
inputs-of-NegateNode:
inputs-of (*NegateNode value*) = [*value*] |
inputs-of-NewArrayNode:
inputs-of (*NewArrayNode length0 stateBefore next*) = *length0* # (*opt-to-list stateBefore*) |
inputs-of-NewInstanceNode:
inputs-of (*NewInstanceNode nid0 instanceClass stateBefore next*) = (*opt-to-list stateBefore*) |
inputs-of-NotNode:
inputs-of (*NotNode value*) = [*value*] |
inputs-of-OrNode:
inputs-of (*OrNode x y*) = [*x*, *y*] |
inputs-of-ParameterNode:
inputs-of (*ParameterNode index*) = [] |
inputs-of-PiNode:
inputs-of (*PiNode object guard*) = *object* # (*opt-to-list guard*) |
inputs-of-ReturnNode:
inputs-of (*ReturnNode result memoryMap*) = (*opt-to-list result*) @ (*opt-to-list memoryMap*) |
inputs-of-RightShiftNode:
inputs-of (*RightShiftNode x y*) = [*x*, *y*] |
inputs-of-ShortCircuitOrNode:
inputs-of (*ShortCircuitOrNode x y*) = [*x*, *y*] |
inputs-of-SignExtendNode:
inputs-of (*SignExtendNode inputBits resultBits value*) = [*value*] |
inputs-of-SignedDivNode:
inputs-of (*SignedDivNode nid0 x y zeroCheck stateBefore next*) = [*x*, *y*] @ (*opt-to-list zeroCheck*) @ (*opt-to-list stateBefore*) |
inputs-of-SignedRemNode:
inputs-of (*SignedRemNode nid0 x y zeroCheck stateBefore next*) = [*x*, *y*] @ (*opt-to-list zeroCheck*) @ (*opt-to-list stateBefore*) |
inputs-of-StartNode:
inputs-of (*StartNode stateAfter next*) = (*opt-to-list stateAfter*) |
inputs-of-StoreFieldNode:
inputs-of (*StoreFieldNode nid0 field value stateAfter object next*) = *value* # (*opt-to-list stateAfter*) @ (*opt-to-list object*) |
inputs-of-SubNode:
inputs-of (*SubNode x y*) = [*x*, *y*] |
inputs-of-UnsignedRightShiftNode:
inputs-of (*UnsignedRightShiftNode x y*) = [*x*, *y*] |
inputs-of-UnwindNode:
inputs-of (*UnwindNode exception*) = [*exception*] |
inputs-of-ValuePhiNode:
inputs-of (*ValuePhiNode nid0 values merge*) = *merge* # *values* |
inputs-of-ValueProxyNode:

inputs-of (*ValueProxyNode value loopExit*) = [*value*, *loopExit*] |
inputs-of-XorNode:
inputs-of (*XorNode x y*) = [*x*, *y*] |
inputs-of-ZeroExtendNode:
inputs-of (*ZeroExtendNode inputBits resultBits value*) = [*value*] |
inputs-of-NoNode: *inputs-of* (*NoNode*) = [] |

inputs-of-RefNode: *inputs-of* (*RefNode ref*) = [*ref*]

fun *successors-of* :: *IRNode* ⇒ *ID list* **where**
successors-of-AbsNode:
successors-of (*AbsNode value*) = [] |
successors-of-AddNode:
successors-of (*AddNode x y*) = [] |
successors-of-AndNode:
successors-of (*AndNode x y*) = [] |
successors-of-BeginNode:
successors-of (*BeginNode next*) = [*next*] |
successors-of-BytecodeExceptionNode:
successors-of (*BytecodeExceptionNode arguments stateAfter next*) = [*next*] |
successors-of-ConditionalNode:
successors-of (*ConditionalNode condition trueValue falseValue*) = [] |
successors-of-ConstantNode:
successors-of (*ConstantNode const*) = [] |
successors-of-DynamicNewArrayNode:
successors-of (*DynamicNewArrayNode elementType length0 voidClass stateBefore next*) = [*next*] |
successors-of-EndNode:
successors-of (*EndNode*) = [] |
successors-of-ExceptionObjectNode:
successors-of (*ExceptionObjectNode stateAfter next*) = [*next*] |
successors-of-FrameState:
successors-of (*FrameState monitorIds outerFrameState values virtualObjectMappings*) = [] |
successors-of-IfNode:
successors-of (*IfNode condition trueSuccessor falseSuccessor*) = [*trueSuccessor*, *falseSuccessor*] |
successors-of-IntegerBelowNode:
successors-of (*IntegerBelowNode x y*) = [] |
successors-of-IntegerEqualsNode:
successors-of (*IntegerEqualsNode x y*) = [] |
successors-of-IntegerLessThanNode:
successors-of (*IntegerLessThanNode x y*) = [] |
successors-of-InvokeNode:
successors-of (*InvokeNode nid0 callTarget classInit stateDuring stateAfter next*) = [*next*] |
successors-of-InvokeWithExceptionNode:

successors-of (*InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = [*next*, *exceptionEdge*] |
successors-of-IsNullNode:
successors-of (*IsNullNode value*) = [] |
successors-of-KillingBeginNode:
successors-of (*KillingBeginNode next*) = [*next*] |
successors-of-LeftShiftNode:
successors-of (*LeftShiftNode x y*) = [] |
successors-of-LoadFieldNode:
successors-of (*LoadFieldNode nid0 field object next*) = [*next*] |
successors-of-LogicNegationNode:
successors-of (*LogicNegationNode value*) = [] |
successors-of-LoopBeginNode:
successors-of (*LoopBeginNode ends overflowGuard stateAfter next*) = [*next*] |
successors-of-LoopEndNode:
successors-of (*LoopEndNode loopBegin*) = [] |
successors-of-LoopExitNode:
successors-of (*LoopExitNode loopBegin stateAfter next*) = [*next*] |
successors-of-MergeNode:
successors-of (*MergeNode ends stateAfter next*) = [*next*] |
successors-of-MethodCallTargetNode:
successors-of (*MethodCallTargetNode targetMethod arguments*) = [] |
successors-of-MulNode:
successors-of (*MulNode x y*) = [] |
successors-of-NarrowNode:
successors-of (*NarrowNode inputBits resultBits value*) = [] |
successors-of-NegateNode:
successors-of (*NegateNode value*) = [] |
successors-of-NewArrayNode:
successors-of (*NewArrayNode length0 stateBefore next*) = [*next*] |
successors-of-NewInstanceNode:
successors-of (*NewInstanceNode nid0 instanceClass stateBefore next*) = [*next*] |
successors-of-NotNode:
successors-of (*NotNode value*) = [] |
successors-of-OrNode:
successors-of (*OrNode x y*) = [] |
successors-of-ParameterNode:
successors-of (*ParameterNode index*) = [] |
successors-of-PiNode:
successors-of (*PiNode object guard*) = [] |
successors-of-ReturnNode:
successors-of (*ReturnNode result memoryMap*) = [] |
successors-of-RightShiftNode:
successors-of (*RightShiftNode x y*) = [] |
successors-of-ShortCircuitOrNode:
successors-of (*ShortCircuitOrNode x y*) = [] |
successors-of-SignExtendNode:
successors-of (*SignExtendNode inputBits resultBits value*) = [] |
successors-of-SignedDivNode:

successors-of (*SignedDivNode nid0 x y zeroCheck stateBefore next*) = [*next*] |
successors-of-SignedRemNode:
successors-of (*SignedRemNode nid0 x y zeroCheck stateBefore next*) = [*next*] |
successors-of-StartNode:
successors-of (*StartNode stateAfter next*) = [*next*] |
successors-of-StoreFieldNode:
successors-of (*StoreFieldNode nid0 field value stateAfter object next*) = [*next*] |
successors-of-SubNode:
successors-of (*SubNode x y*) = [] |
successors-of-UnsignedRightShiftNode:
successors-of (*UnsignedRightShiftNode x y*) = [] |
successors-of-UnwindNode:
successors-of (*UnwindNode exception*) = [] |
successors-of-ValuePhiNode:
successors-of (*ValuePhiNode nid0 values merge*) = [] |
successors-of-ValueProxyNode:
successors-of (*ValueProxyNode value loopExit*) = [] |
successors-of-XorNode:
successors-of (*XorNode x y*) = [] |
successors-of-ZeroExtendNode:
successors-of (*ZeroExtendNode inputBits resultBits value*) = [] |
successors-of-NoNode: *successors-of* (*NoNode*) = [] |

successors-of-RefNode: *successors-of* (*RefNode ref*) = [*ref*]

lemma *inputs-of* (*FrameState x* (*Some y*) (*Some z*) *None*) = *x* @ [*y*] @ *z*
unfolding *inputs-of-FrameState* **by** *simp*
lemma *successors-of* (*FrameState x* (*Some y*) (*Some z*) *None*) = []
unfolding *inputs-of-FrameState* **by** *simp*

lemma *inputs-of* (*IfNode c t f*) = [*c*]
unfolding *inputs-of-IfNode* **by** *simp*
lemma *successors-of* (*IfNode c t f*) = [*t*, *f*]
unfolding *successors-of-IfNode* **by** *simp*

lemma *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []
unfolding *inputs-of-EndNode successors-of-EndNode* **by** *simp*

end

5.2 IR Graph Node Hierarchy

theory *IRNodeHierarchy*
imports *IRNodes*
begin

It is helpful to introduce a node hierarchy into our formalization. Often the

GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is<ClassName>Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode ⇒ bool where
  is-EndNode EndNode = True |
  is-EndNode - = False


fun is-VirtualState :: IRNode ⇒ bool where
  is-VirtualState n = ((is-FrameState n))

fun is-BinaryArithmeticNode :: IRNode ⇒ bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-MulNode
n) ∨ (is-OrNode n) ∨ (is-SubNode n) ∨ (is-XorNode n))

fun is-ShiftNode :: IRNode ⇒ bool where
  is-ShiftNode n = ((is-LeftShiftNode n) ∨ (is-RightShiftNode n) ∨ (is-UnsignedRightShiftNode
n))

fun is-BinaryNode :: IRNode ⇒ bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n) ∨ (is-ShiftNode n))

fun is-AbstractLocalNode :: IRNode ⇒ bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))

fun is-IntegerConvertNode :: IRNode ⇒ bool where
  is-IntegerConvertNode n = ((is-NarrowNode n) ∨ (is-SignExtendNode n) ∨ (is-ZeroExtendNode
n))

fun is-UnaryArithmeticNode :: IRNode ⇒ bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n) ∨ (is-NegateNode n) ∨ (is-NotNode
n))

fun is-UnaryNode :: IRNode ⇒ bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) ∨ (is-UnaryArithmeticNode n))

fun is-PhiNode :: IRNode ⇒ bool where
  is-PhiNode n = ((is-ValuePhiNode n))

fun is-FloatingGuardedNode :: IRNode ⇒ bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
```

is-UnaryOpLogicNode n = ((*is-IsNullNode n*))

fun *is-IntegerLowerThanNode* :: *IRNode* ⇒ *bool* **where**
is-IntegerLowerThanNode n = ((*is-IntegerBelowNode n*) ∨ (*is-IntegerLessThanNode n*))

fun *is-CompareNode* :: *IRNode* ⇒ *bool* **where**
is-CompareNode n = ((*is-IntegerEqualsNode n*) ∨ (*is-IntegerLowerThanNode n*))

fun *is-BinaryOpLogicNode* :: *IRNode* ⇒ *bool* **where**
is-BinaryOpLogicNode n = ((*is-CompareNode n*))

fun *is-LogicNode* :: *IRNode* ⇒ *bool* **where**
is-LogicNode n = ((*is-BinaryOpLogicNode n*) ∨ (*is-LogicNegationNode n*) ∨ (*is-ShortCircuitOrNode n*) ∨ (*is-UnaryOpLogicNode n*))

fun *is-ProxyNode* :: *IRNode* ⇒ *bool* **where**
is-ProxyNode n = ((*is-ValueProxyNode n*))

fun *is-FloatingNode* :: *IRNode* ⇒ *bool* **where**
is-FloatingNode n = ((*is-AbstractLocalNode n*) ∨ (*is-BinaryNode n*) ∨ (*is-ConditionalNode n*) ∨ (*is-ConstantNode n*) ∨ (*is-FloatingGuardedNode n*) ∨ (*is-LogicNode n*) ∨ (*is-PhiNode n*) ∨ (*is-ProxyNode n*) ∨ (*is-UnaryNode n*))

fun *is-AccessFieldNode* :: *IRNode* ⇒ *bool* **where**
is-AccessFieldNode n = ((*is-LoadFieldNode n*) ∨ (*is-StoreFieldNode n*))

fun *is-AbstractNewArrayNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractNewArrayNode n = ((*is-DynamicNewArrayNode n*) ∨ (*is-NewArrayNode n*))

fun *is-AbstractNewObjectNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractNewObjectNode n = ((*is-AbstractNewArrayNode n*) ∨ (*is-NewInstanceNode n*))

fun *is-IntegerDivRemNode* :: *IRNode* ⇒ *bool* **where**
is-IntegerDivRemNode n = ((*is-SignedDivNode n*) ∨ (*is-SignedRemNode n*))

fun *is-FixedBinaryNode* :: *IRNode* ⇒ *bool* **where**
is-FixedBinaryNode n = ((*is-IntegerDivRemNode n*))

fun *is-DeoptimizingFixedWithNextNode* :: *IRNode* ⇒ *bool* **where**
is-DeoptimizingFixedWithNextNode n = ((*is-AbstractNewObjectNode n*) ∨ (*is-FixedBinaryNode n*))

fun *is-AbstractMemoryCheckpoint* :: *IRNode* ⇒ *bool* **where**
is-AbstractMemoryCheckpoint n = ((*is-BytecodeExceptionNode n*) ∨ (*is-InvokeNode n*))

fun *is-AbstractStateSplit* :: *IRNode* ⇒ *bool* **where**
is-AbstractStateSplit n = ((*is-AbstractMemoryCheckpoint n*))

fun *is-AbstractMergeNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractMergeNode n = ((*is-LoopBeginNode n*) ∨ (*is-MergeNode n*))

fun *is-BeginStateSplitNode* :: *IRNode* ⇒ *bool* **where**
is-BeginStateSplitNode n = ((*is-AbstractMergeNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-LoopExitNode n*) ∨ (*is-StartNode n*))

fun *is-AbstractBeginNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractBeginNode n = ((*is-BeginNode n*) ∨ (*is-BeginStateSplitNode n*) ∨ (*is-KillingBeginNode n*))

fun *is-FixedWithNextNode* :: *IRNode* ⇒ *bool* **where**
is-FixedWithNextNode n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractStateSplit n*) ∨ (*is-AccessFieldNode n*) ∨ (*is-DeoptimizingFixedWithNextNode n*))

fun *is-WithExceptionNode* :: *IRNode* ⇒ *bool* **where**
is-WithExceptionNode n = ((*is-InvokeWithExceptionNode n*))

fun *is-ControlSplitNode* :: *IRNode* ⇒ *bool* **where**
is-ControlSplitNode n = ((*is-IfNode n*) ∨ (*is-WithExceptionNode n*))

fun *is-ControlSinkNode* :: *IRNode* ⇒ *bool* **where**
is-ControlSinkNode n = ((*is-ReturnNode n*) ∨ (*is-UnwindNode n*))

fun *is-AbstractEndNode* :: *IRNode* ⇒ *bool* **where**
is-AbstractEndNode n = ((*is-EndNode n*) ∨ (*is-LoopEndNode n*))

fun *is-FixedNode* :: *IRNode* ⇒ *bool* **where**
is-FixedNode n = ((*is-AbstractEndNode n*) ∨ (*is-ControlSinkNode n*) ∨ (*is-ControlSplitNode n*) ∨ (*is-FixedWithNextNode n*))

fun *is-CallTargetNode* :: *IRNode* ⇒ *bool* **where**
is-CallTargetNode n = ((*is-MethodCallTargetNode n*))

fun *is-ValueNode* :: *IRNode* ⇒ *bool* **where**
is-ValueNode n = ((*is-CallTargetNode n*) ∨ (*is-FixedNode n*) ∨ (*is-FloatingNode n*))

fun *is-Node* :: *IRNode* ⇒ *bool* **where**
is-Node n = ((*is-ValueNode n*) ∨ (*is-VirtualState n*))

fun *is-MemoryKill* :: *IRNode* ⇒ *bool* **where**
is-MemoryKill n = ((*is-AbstractMemoryCheckpoint n*))

fun *is-NarrowableArithmeticNode* :: *IRNode* ⇒ *bool* **where**
is-NarrowableArithmeticNode n = ((*is-AbsNode n*) ∨ (*is-AddNode n*) ∨ (*is-AndNode*

$n) \vee (is\text{-}MulNode\ n) \vee (is\text{-}NegateNode\ n) \vee (is\text{-}NotNode\ n) \vee (is\text{-}OrNode\ n) \vee (is\text{-}ShiftNode\ n) \vee (is\text{-}SubNode\ n) \vee (is\text{-}XorNode\ n))$

fun *is-AnchoringNode* :: *IRNode* ⇒ *bool* **where**
is-AnchoringNode n = ((*is-AbstractBeginNode n*))

fun *is-DeoptBefore* :: *IRNode* ⇒ *bool* **where**
is-DeoptBefore n = ((*is-DeoptimizingFixedWithNextNode n*))

fun *is-IndirectCanonicalization* :: *IRNode* ⇒ *bool* **where**
is-IndirectCanonicalization n = ((*is-LogicNode n*))

fun *is-IterableNodeType* :: *IRNode* ⇒ *bool* **where**
is-IterableNodeType n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractMergeNode n*) ∨ (*is-FrameState n*) ∨ (*is-IfNode n*) ∨ (*is-IntegerDivRemNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-LoopBeginNode n*) ∨ (*is-LoopExitNode n*) ∨ (*is-MethodCallTargetNode n*) ∨ (*is-ParameterNode n*) ∨ (*is-ReturnNode n*) ∨ (*is-ShortCircuitOrNode n*))

fun *is-Invoke* :: *IRNode* ⇒ *bool* **where**
is-Invoke n = ((*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*))

fun *is-Proxy* :: *IRNode* ⇒ *bool* **where**
is-Proxy n = ((*is-ProxyNode n*))

fun *is-ValueProxy* :: *IRNode* ⇒ *bool* **where**
is-ValueProxy n = ((*is-PiNode n*) ∨ (*is-ValueProxyNode n*))

fun *is-ValueNodeInterface* :: *IRNode* ⇒ *bool* **where**
is-ValueNodeInterface n = ((*is-ValueNode n*))

fun *is-ArrayLengthProvider* :: *IRNode* ⇒ *bool* **where**
is-ArrayLengthProvider n = ((*is-AbstractNewArrayNode n*) ∨ (*is-ConstantNode n*))

fun *is-StampInverter* :: *IRNode* ⇒ *bool* **where**
is-StampInverter n = ((*is-IntegerConvertNode n*) ∨ (*is-NegateNode n*) ∨ (*is-NotNode n*))

fun *is-GuardingNode* :: *IRNode* ⇒ *bool* **where**
is-GuardingNode n = ((*is-AbstractBeginNode n*))

fun *is-SingleMemoryKill* :: *IRNode* ⇒ *bool* **where**
is-SingleMemoryKill n = ((*is-BytecodeExceptionNode n*) ∨ (*is-ExceptionObjectNode n*) ∨ (*is-InvokeNode n*) ∨ (*is-InvokeWithExceptionNode n*) ∨ (*is-KillingBeginNode n*) ∨ (*is-StartNode n*))

fun *is-LIRLowerable* :: *IRNode* ⇒ *bool* **where**
is-LIRLowerable n = ((*is-AbstractBeginNode n*) ∨ (*is-AbstractEndNode n*) ∨ (*is-AbstractMergeNode n*) ∨ (*is-BinaryOpLogicNode n*) ∨ (*is-CallTargetNode n*)

$\vee$ (*is-ConditionalNode n*) $\vee$ (*is-ConstantNode n*) $\vee$ (*is-IfNode n*) $\vee$ (*is-InvokeNode n*) $\vee$ (*is-InvokeWithExceptionNode n*) $\vee$ (*is-IsNullNode n*) $\vee$ (*is-LoopBeginNode n*) $\vee$ (*is-PiNode n*) $\vee$ (*is-ReturnNode n*) $\vee$ (*is-SignedDivNode n*) $\vee$ (*is-SignedRemNode n*) $\vee$ (*is-UnaryOpLogicNode n*) $\vee$ (*is-UnwindNode n*))

fun *is-GuardedNode* :: *IRNode* $\Rightarrow$ *bool* **where**
is-GuardedNode n = ((*is-FloatingGuardedNode n*))

fun *is-ArithmeticLIRLowerable* :: *IRNode* $\Rightarrow$ *bool* **where**
is-ArithmeticLIRLowerable n = ((*is-AbsNode n*) $\vee$ (*is-BinaryArithmeticNode n*) $\vee$ (*is-IntegerConvertNode n*) $\vee$ (*is-NotNode n*) $\vee$ (*is-ShiftNode n*) $\vee$ (*is-UnaryArithmeticNode n*))

fun *is-SwitchFoldable* :: *IRNode* $\Rightarrow$ *bool* **where**
is-SwitchFoldable n = ((*is-IfNode n*))

fun *is-VirtualizableAllocation* :: *IRNode* $\Rightarrow$ *bool* **where**
is-VirtualizableAllocation n = ((*is-NewArrayNode n*) $\vee$ (*is-NewInstanceNode n*))

fun *is-Unary* :: *IRNode* $\Rightarrow$ *bool* **where**
is-Unary n = ((*is-LoadFieldNode n*) $\vee$ (*is-LogicNegationNode n*) $\vee$ (*is-UnaryNode n*) $\vee$ (*is-UnaryOpLogicNode n*))

fun *is-FixedNodeInterface* :: *IRNode* $\Rightarrow$ *bool* **where**
is-FixedNodeInterface n = ((*is-FixedNode n*))

fun *is-BinaryCommutative* :: *IRNode* $\Rightarrow$ *bool* **where**
is-BinaryCommutative n = ((*is-AddNode n*) $\vee$ (*is-AndNode n*) $\vee$ (*is-IntegerEqualsNode n*) $\vee$ (*is-MulNode n*) $\vee$ (*is-OrNode n*) $\vee$ (*is-XorNode n*))

fun *is-Canonicalizable* :: *IRNode* $\Rightarrow$ *bool* **where**
is-Canonicalizable n = ((*is-BytecodeExceptionNode n*) $\vee$ (*is-ConditionalNode n*) $\vee$ (*is-DynamicNewArrayNode n*) $\vee$ (*is-PhiNode n*) $\vee$ (*is-PiNode n*) $\vee$ (*is-ProxyNode n*) $\vee$ (*is-StoreFieldNode n*) $\vee$ (*is-ValueProxyNode n*))

fun *is-UncheckedInterfaceProvider* :: *IRNode* $\Rightarrow$ *bool* **where**
is-UncheckedInterfaceProvider n = ((*is-InvokeNode n*) $\vee$ (*is-InvokeWithExceptionNode n*) $\vee$ (*is-LoadFieldNode n*) $\vee$ (*is-ParameterNode n*))

fun *is-Binary* :: *IRNode* $\Rightarrow$ *bool* **where**
is-Binary n = ((*is-BinaryArithmeticNode n*) $\vee$ (*is-BinaryNode n*) $\vee$ (*is-BinaryOpLogicNode n*) $\vee$ (*is-CompareNode n*) $\vee$ (*is-FixedBinaryNode n*) $\vee$ (*is-ShortCircuitOrNode n*))

fun *is-ArithmeticOperation* :: *IRNode* $\Rightarrow$ *bool* **where**
is-ArithmeticOperation n = ((*is-BinaryArithmeticNode n*) $\vee$ (*is-IntegerConvertNode n*) $\vee$ (*is-ShiftNode n*) $\vee$ (*is-UnaryArithmeticNode n*))

fun *is-ValueNumberable* :: *IRNode* $\Rightarrow$ *bool* **where**
is-ValueNumberable n = ((*is-FloatingNode n*) $\vee$ (*is-ProxyNode n*))

```
fun is-Lowerable :: IRNode ⇒ bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n) ∨ (is-AccessFieldNode n) ∨
(is-BytecodeExceptionNode n) ∨ (is-ExceptionObjectNode n) ∨ (is-IntegerDivRemNode
n) ∨ (is-UnwindNode n))

fun is-Virtualizable :: IRNode ⇒ bool where
  is-Virtualizable n = ((is-IsNullNode n) ∨ (is-LoadFieldNode n) ∨ (is-PiNode n)
∨ (is-StoreFieldNode n) ∨ (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode ⇒ bool where
  is-Simplifiable n = ((is-AbstractMergeNode n) ∨ (is-BeginNode n) ∨ (is-IfNode
n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n) ∨ (is-NewArrayNode n))

fun is-StateSplit :: IRNode ⇒ bool where
  is-StateSplit n = ((is-AbstractStateSplit n) ∨ (is-BeginStateSplitNode n) ∨ (is-StoreFieldNode
n))

fun is-ConvertNode :: IRNode ⇒ bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))


fun is-sequential-node :: IRNode ⇒ bool where
  is-sequential-node (StartNode - -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False
```

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```
fun is-same-ir-node-type :: IRNode ⇒ IRNode ⇒ bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨
```

$((is\text{-}IntegerBelowNode\ n1) \wedge (is\text{-}IntegerBelowNode\ n2)) \vee$
$((is\text{-}IntegerEqualsNode\ n1) \wedge (is\text{-}IntegerEqualsNode\ n2)) \vee$
$((is\text{-}IntegerLessThanNode\ n1) \wedge (is\text{-}IntegerLessThanNode\ n2)) \vee$
$((is\text{-}InvokeNode\ n1) \wedge (is\text{-}InvokeNode\ n2)) \vee$
$((is\text{-}InvokeWithExceptionNode\ n1) \wedge (is\text{-}InvokeWithExceptionNode\ n2)) \vee$
$((is\text{-}IsNullNode\ n1) \wedge (is\text{-}IsNullNode\ n2)) \vee$
$((is\text{-}KillingBeginNode\ n1) \wedge (is\text{-}KillingBeginNode\ n2)) \vee$
$((is\text{-}LeftShiftNode\ n1) \wedge (is\text{-}LeftShiftNode\ n2)) \vee$
$((is\text{-}LoadFieldNode\ n1) \wedge (is\text{-}LoadFieldNode\ n2)) \vee$
$((is\text{-}LogicNegationNode\ n1) \wedge (is\text{-}LogicNegationNode\ n2)) \vee$
$((is\text{-}LoopBeginNode\ n1) \wedge (is\text{-}LoopBeginNode\ n2)) \vee$
$((is\text{-}LoopEndNode\ n1) \wedge (is\text{-}LoopEndNode\ n2)) \vee$
$((is\text{-}LoopExitNode\ n1) \wedge (is\text{-}LoopExitNode\ n2)) \vee$
$((is\text{-}MergeNode\ n1) \wedge (is\text{-}MergeNode\ n2)) \vee$
$((is\text{-}MethodCallTargetNode\ n1) \wedge (is\text{-}MethodCallTargetNode\ n2)) \vee$
$((is\text{-}MulNode\ n1) \wedge (is\text{-}MulNode\ n2)) \vee$
$((is\text{-}NarrowNode\ n1) \wedge (is\text{-}NarrowNode\ n2)) \vee$
$((is\text{-}NegateNode\ n1) \wedge (is\text{-}NegateNode\ n2)) \vee$
$((is\text{-}NewArrayNode\ n1) \wedge (is\text{-}NewArrayNode\ n2)) \vee$
$((is\text{-}NewInstanceNode\ n1) \wedge (is\text{-}NewInstanceNode\ n2)) \vee$
$((is\text{-}NotNode\ n1) \wedge (is\text{-}NotNode\ n2)) \vee$
$((is\text{-}OrNode\ n1) \wedge (is\text{-}OrNode\ n2)) \vee$
$((is\text{-}ParameterNode\ n1) \wedge (is\text{-}ParameterNode\ n2)) \vee$
$((is\text{-}PiNode\ n1) \wedge (is\text{-}PiNode\ n2)) \vee$
$((is\text{-}ReturnNode\ n1) \wedge (is\text{-}ReturnNode\ n2)) \vee$
$((is\text{-}RightShiftNode\ n1) \wedge (is\text{-}RightShiftNode\ n2)) \vee$
$((is\text{-}ShortCircuitOrNode\ n1) \wedge (is\text{-}ShortCircuitOrNode\ n2)) \vee$
$((is\text{-}SignedDivNode\ n1) \wedge (is\text{-}SignedDivNode\ n2)) \vee$
$((is\text{-}SignedRemNode\ n1) \wedge (is\text{-}SignedRemNode\ n2)) \vee$
$((is\text{-}SignExtendNode\ n1) \wedge (is\text{-}SignExtendNode\ n2)) \vee$
$((is\text{-}StartNode\ n1) \wedge (is\text{-}StartNode\ n2)) \vee$
$((is\text{-}StoreFieldNode\ n1) \wedge (is\text{-}StoreFieldNode\ n2)) \vee$
$((is\text{-}SubNode\ n1) \wedge (is\text{-}SubNode\ n2)) \vee$
$((is\text{-}UnsignedRightShiftNode\ n1) \wedge (is\text{-}UnsignedRightShiftNode\ n2)) \vee$
$((is\text{-}UnwindNode\ n1) \wedge (is\text{-}UnwindNode\ n2)) \vee$
$((is\text{-}ValuePhiNode\ n1) \wedge (is\text{-}ValuePhiNode\ n2)) \vee$
$((is\text{-}ValueProxyNode\ n1) \wedge (is\text{-}ValueProxyNode\ n2)) \vee$
$((is\text{-}XorNode\ n1) \wedge (is\text{-}XorNode\ n2)) \vee$
$((is\text{-}ZeroExtendNode\ n1) \wedge (is\text{-}ZeroExtendNode\ n2)))$

end

5.3 IR Graph Type

theory *IRGraph*
imports
IRNodeHierarchy
Stamp
HOL−Library.FSet

HOL.Relation
begin

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

typedef *IRGraph* = {*g* :: *ID* ⇀ (*IRNode* × *Stamp*) . *finite* (*dom g*)}
proof −
  have *finite*(*dom*(*Map.empty*)) ∧ *ran Map.empty* = {} **by** *auto*
  then show *?thesis*
    by *fastforce*
qed

setup-lifting *type-definition-IRGraph*

lift-definition *ids* :: *IRGraph* ⇒ *ID set*
  is λ*g*. {*nid* ∈ *dom g* . ∄*s*. *g nid* = (*Some* (*NoNode*, *s*))} **.**

fun *with-default* :: *'c* ⇒ (*'b* ⇒ *'c*) ⇒ ((*'a* ⇀ *'b*) ⇒ *'a* ⇒ *'c*) **where**
  with-default def conv = (λ*m k*.
    (*case m k of None* ⇒ *def* | *Some v* ⇒ *conv v*))

lift-definition *kind* :: *IRGraph* ⇒ (*ID* ⇒ *IRNode*)
  is *with-default NoNode fst* **.**

lift-definition *stamp* :: *IRGraph* ⇒ *ID* ⇒ *Stamp*
  is *with-default IllegalStamp snd* **.**

lift-definition *add-node* :: *ID* ⇒ (*IRNode* × *Stamp*) ⇒ *IRGraph* ⇒ *IRGraph*
  is λ*nid k g*. *if fst k* = *NoNode then g else g*(*nid* ↦ *k*) **by** *simp*

lift-definition *remove-node* :: *ID* ⇒ *IRGraph* ⇒ *IRGraph*
  is λ*nid g*. *g*(*nid* := *None*) **by** *simp*

lift-definition *replace-node* :: *ID* ⇒ (*IRNode* × *Stamp*) ⇒ *IRGraph* ⇒ *IRGraph*
  is λ*nid k g*. *if fst k* = *NoNode then g else g*(*nid* ↦ *k*) **by** *simp*

lift-definition *as-list* :: *IRGraph* ⇒ (*ID* × *IRNode* × *Stamp*) *list*
  is λ*g*. *map* (λ*k*. (*k*, *the* (*g k*))) (*sorted-list-of-set* (*dom g*)) **.**

fun *no-node* :: (*ID* × (*IRNode* × *Stamp*)) *list* ⇒ (*ID* × (*IRNode* × *Stamp*)) *list*
where
  no-node g = *filter* (λ*n*. *fst* (*snd n*) ≠ *NoNode*) *g*

lift-definition *irgraph* :: (*ID* × (*IRNode* × *Stamp*)) *list* ⇒ *IRGraph*
  is *map-of* ∘ *no-node*
  by (*simp add*: *finite-dom-map-of*)

definition *as-set* :: *IRGraph* ⇒ (*ID* × (*IRNode* × *Stamp*)) *set* **where**
as-set g = {(*n*, *kind g n*, *stamp g n*) | *n* . *n* ∈ *ids g*}

definition *true-ids* :: *IRGraph* ⇒ *ID set* **where**
true-ids g = *ids g* − {*n* ∈ *ids g*. ∃ *n'* . *kind g n* = *RefNode n'*}

definition *domain-subtraction* :: *'a set* ⇒ (*'a* × *'b*) *set* ⇒ (*'a* × *'b*) *set*
(**infix** ⩤ *30*) **where**
domain-subtraction s r = {(*x*, *y*) . (*x*, *y*) ∈ *r* ∧ *x* ∉ *s*}

notation (*latex*)
domain-subtraction (- ⩤ -)

code-datatype *irgraph*

fun *filter-none* **where**
filter-none g = {*nid* ∈ *dom g* . ∄ *s*. *g nid* = (*Some* (*NoNode*, *s*))}

lemma *no-node-clears*:
res = *no-node xs* ⟶ (∀ *x* ∈ *set res*. *fst* (*snd x*) ≠ *NoNode*)
by *simp*

lemma *dom-eq*:
assumes ∀ *x* ∈ *set xs*. *fst* (*snd x*) ≠ *NoNode*
shows *filter-none* (*map-of xs*) = *dom* (*map-of xs*)
unfolding *filter-none.simps* **using** *assms map-of-SomeD*
by *fastforce*

lemma *fil-eq*:
filter-none (*map-of* (*no-node xs*)) = *set* (*map fst* (*no-node xs*))
using *no-node-clears*
by (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

lemma *irgraph*[*code*]: *ids* (*irgraph m*) = *set* (*map fst* (*no-node m*))
unfolding *irgraph-def ids-def* **using** *fil-eq*
by (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq*)

lemma [*code*]: *Rep-IRGraph* (*irgraph m*) = *map-of* (*no-node m*)
using *Abs-IRGraph-inverse*
by (*simp add*: *irgraph.rep-eq*)

— Get the inputs set of a given node ID
fun *inputs* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**
inputs g nid = *set* (*inputs-of* (*kind g nid*))
— Get the successor set of a given node ID
fun *succ* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**

```
  succ g nid = set (successors-of (kind g nid))
— Gives a relation between node IDs - between a node and its input nodes
fun input-edges :: IRGraph ⇒ ID rel where
  input-edges g = (⋃ i ∈ ids g. {(i,j)|j. j ∈ (inputs g i)})
— Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph ⇒ ID ⇒ ID set where
  usages g nid = {i. i ∈ ids g ∧ nid ∈ inputs g i}
fun successor-edges :: IRGraph ⇒ ID rel where
  successor-edges g = (⋃ i ∈ ids g. {(i,j)|j . j ∈ (succ  g i)})
fun predecessors :: IRGraph ⇒ ID ⇒ ID set where
  predecessors g nid = {i. i ∈ ids g ∧ nid ∈ succ g i}
fun nodes-of :: IRGraph ⇒ (IRNode ⇒ bool) ⇒ ID set where
  nodes-of g sel = {nid ∈ ids g . sel (kind g nid)}
fun edge :: (IRNode ⇒ 'a) ⇒ ID ⇒ IRGraph ⇒ 'a where
  edge sel nid g = sel (kind g nid)

fun filtered-inputs :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID list where
  filtered-inputs g nid f = filter (f ∘ (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID list where
  filtered-successors g nid f = filter (f ∘ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID set where
  filtered-usages g nid f = {n ∈ (usages g nid). f (kind g n)}

fun is-empty :: IRGraph ⇒ bool where
  is-empty g = (ids g = {})

fun any-usage :: IRGraph ⇒ ID ⇒ ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))

lemma ids-some[simp]: x ∈ ids g ⟷ kind g x ≠ NoNode
proof −
  have that: x ∈ ids g ⟶ kind g x ≠ NoNode
    using ids.rep-eq kind.rep-eq by force
  have kind g x ≠ NoNode ⟶ x ∈ ids g
    unfolding with-default.simps kind-def ids-def
    by (cases Rep-IRGraph g x = None; auto)
  from this that show ?thesis by auto
qed

lemma not-in-g:
  assumes nid ∉ ids g
  shows kind g nid = NoNode
  using assms ids-some by blast

lemma valid-creation[simp]:
  finite (dom g) ⟷ Rep-IRGraph (Abs-IRGraph g) = g
  using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)

lemma [simp]: finite (ids g)
```

using *Rep-IRGraph ids.rep-eq* **by** *simp*

lemma [*simp*]: *finite* (*ids* (*irgraph g*))
by (*simp add*: *finite-dom-map-of*)

lemma [*simp*]: *finite* (*dom g*) ⟶ *ids* (*Abs-IRGraph g*) = {*nid* ∈ *dom g* . ∄*s*. *g nid* = *Some* (*NoNode*, *s*)}
using *ids.rep-eq* **by** *simp*

lemma [*simp*]: *finite* (*dom g*) ⟶ *kind* (*Abs-IRGraph g*) = (λ*x* . (*case g x of None* ⇒ *NoNode* | *Some n* ⇒ *fst n*))
by (*simp add*: *kind.rep-eq*)

lemma [*simp*]: *finite* (*dom g*) ⟶ *stamp* (*Abs-IRGraph g*) = (λ*x* . (*case g x of None* ⇒ *IllegalStamp* | *Some n* ⇒ *snd n*))
using *stamp.abs-eq stamp.rep-eq* **by** *auto*

lemma [*simp*]: *ids* (*irgraph g*) = *set* (*map fst* (*no-node g*))
using *irgraph* **by** *auto*

lemma [*simp*]: *kind* (*irgraph g*) = (λ*nid*. (*case* (*map-of* (*no-node g*)) *nid of None* ⇒ *NoNode* | *Some n* ⇒ *fst n*))
using *irgraph.rep-eq kind.transfer kind.rep-eq* **by** *auto*

lemma [*simp*]: *stamp* (*irgraph g*) = (λ*nid*. (*case* (*map-of* (*no-node g*)) *nid of None* ⇒ *IllegalStamp* | *Some n* ⇒ *snd n*))
using *irgraph.rep-eq stamp.transfer stamp.rep-eq* **by** *auto*

lemma *map-of-upd*: (*map-of g*)(*k* ↦ *v*) = (*map-of* ((*k*, *v*) # *g*))
by *simp*

lemma [*code*]: *replace-node nid k* (*irgraph g*) = (*irgraph* (((*nid*, *k*) # *g*)))
proof (*cases fst k* = *NoNode*)
case *True*
then show *?thesis*
by (*metis* (*mono-tags*, *lifting*) *Rep-IRGraph-inject filter.simps*(*2*) *irgraph.abs-eq no-node.simps replace-node.rep-eq snd-conv*)
next
case *False*
then show *?thesis* **unfolding** *irgraph-def replace-node-def no-node.simps*
by (*smt* (*verit*, *best*) *Rep-IRGraph comp-apply eq-onp-same-args filter.simps*(*2*) *id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims replace-node.abs-eq replace-node-def snd-eqD*)
qed

lemma [*code*]: *add-node nid k* (*irgraph g*) = (*irgraph* (((*nid*, *k*) # *g*)))
by (*smt* (*z3*) *Rep-IRGraph-inject add-node.rep-eq filter.simps*(*2*) *irgraph.rep-eq map-of-upd no-node.simps snd-conv*)

lemma *add-node-lookup*:
gup = add-node nid (k, s) g ⟶
(if k ≠ NoNode then kind gup nid = k ∧ stamp gup nid = s else kind gup nid = kind g nid)
proof (*cases k = NoNode*)
case *True*
then show *?thesis*
by (*simp add*: *add-node.rep-eq kind.rep-eq*)
next
case *False*
then show *?thesis*
by (*simp add*: *kind.rep-eq add-node.rep-eq stamp.rep-eq*)
qed

lemma *remove-node-lookup*:
gup = remove-node nid g ⟶ kind gup nid = NoNode ∧ stamp gup nid = IllegalStamp
by (*simp add*: *kind.rep-eq remove-node.rep-eq stamp.rep-eq*)

lemma *replace-node-lookup*[*simp*]:
gup = replace-node nid (k, s) g ∧ k ≠ NoNode ⟶ kind gup nid = k ∧ stamp gup nid = s
by (*simp add*: *replace-node.rep-eq kind.rep-eq stamp.rep-eq*)

lemma *replace-node-unchanged*:
gup = replace-node nid (k, s) g ⟶ (∀ n ∈ (ids g − {nid}) . n ∈ ids g ∧ n ∈ ids gup ∧ kind g n = kind gup n)
by (*simp add*: *kind.rep-eq replace-node.rep-eq*)

5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

definition *start-end-graph*:: *IRGraph* **where**
start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode None None, VoidStamp)]

Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

definition *eg2-sq* :: *IRGraph* **where**
eg2-sq = irgraph [
(0, StartNode None 5, VoidStamp),
(1, ParameterNode 0, default-stamp),
(4, MulNode 1 1, default-stamp),
(5, ReturnNode (Some 4) None, default-stamp)
]

value *input-edges eg2-sq*
value *usages eg2-sq 1*

end

5.4 Structural Graph Comparison

theory
Comparison
imports
IRGraph
begin

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

fun *find-ref-nodes* :: *IRGraph* ⇒ (*ID* ⇀ *ID*) **where**
find-ref-nodes g = map-of
(*map* (λ*n*. (*n*, *ir-ref* (*kind g n*))) (*filter* (λ*id*. *is-RefNode* (*kind g id*)) (*sorted-list-of-set* (*ids g*))))

fun *replace-ref-nodes* :: *IRGraph* ⇒ (*ID* ⇀ *ID*) ⇒ *ID list* ⇒ *ID list* **where**
replace-ref-nodes g m xs = *map* (λ*id*. (*case* (*m id*) *of Some other* ⇒ *other* | *None* ⇒ *id*)) *xs*

fun *find-next* :: *ID list* ⇒ *ID set* ⇒ *ID option* **where**
find-next to-see seen = (*let l* = (*filter* (λ*nid*. *nid* ∉ *seen*) *to-see*)
in (*case l of* [] ⇒ *None* | *xs* ⇒ *Some* (*hd xs*)))

inductive *reachables* :: *IRGraph* ⇒ *ID list* ⇒ *ID set* ⇒ *ID set* ⇒ *bool* **where**
reachables g [] {} {} |
⟦*None* = *find-next to-see seen*⟧ ⟹ *reachables g to-see seen seen* |
⟦*Some n* = *find-next to-see seen*;
node = *kind g n*;
new = (*inputs-of node*) @ (*successors-of node*);
reachables g (*to-see* @ *new*) ({*n*} ∪ *seen*) *seen′* ⟧ ⟹ *reachables g to-see seen seen′*

code-pred (*modes*: *i* ⇒ *i* ⇒ *i* ⇒ *o* ⇒ *bool*) [*show-steps*,*show-mode-inference*,*show-intermediate-results*]

reachables .

inductive *nodeEq* :: (*ID* ⇀ *ID*) ⇒ *IRGraph* ⇒ *ID* ⇒ *IRGraph* ⇒ *ID* ⇒ *bool*
where
⟦ *kind g1 n1* = *RefNode ref*; *nodeEq m g1 ref g2 n2* ⟧ ⟹ *nodeEq m g1 n1 g2 n2*
|
⟦ *x* = *kind g1 n1*;

y = kind g2 n2;
is-same-ir-node-type x y;
replace-ref-nodes g1 m (successors-of x) = successors-of y;
replace-ref-nodes g1 m (inputs-of x) = inputs-of y ⟧
⟹ *nodeEq m g1 n1 g2 n2*

code-pred [*show-modes*] *nodeEq* **.**

fun *diffNodesGraph* :: *IRGraph* ⇒ *IRGraph* ⇒ *ID set* **where**
diffNodesGraph g1 g2 = (*let refNodes = find-ref-nodes g1 in*
{ *n* . *n* ∈ *Predicate.the* (*reachables-i-i-i-o g1* [*0*] {}) ∧ (*case refNodes n of Some* - ⇒ *False* | - ⇒ *True*) ∧ ¬(*nodeEq refNodes g1 n g2 n*)})

fun *diffNodesInfo* :: *IRGraph* ⇒ *IRGraph* ⇒ (*ID* × *IRNode* × *IRNode*) *set* (**infix** ∩$_s$ *20*)
where
diffNodesInfo g1 g2 = {(*nid*, *kind g1 nid*, *kind g2 nid*) | *nid* . *nid* ∈ *diffNodesGraph g1 g2*}

fun *eqGraph* :: *IRGraph* ⇒ *IRGraph* ⇒ *bool* (**infix** ≈$_s$ *20*)
where
eqGraph isabelle-graph graal-graph = ((*diffNodesGraph isabelle-graph graal-graph*) = {})

end

5.5 Control-flow Graph Traversal

theory
Traversal
imports
IRGraph
begin

type-synonym *Seen* = *ID set*

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

fun *nextEdge* :: *Seen* ⇒ *ID* ⇒ *IRGraph* ⇒ *ID option* **where**
nextEdge seen nid g =
(*let nids* = (*filter* (λ*nid'*. *nid'* ∉ *seen*) (*successors-of* (*kind g nid*))) *in*
(*if length nids* > *0 then Some* (*hd nids*) *else None*))

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the

first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

fun *pred* :: *IRGraph* ⇒ *ID* ⇒ *ID option* **where**
pred g nid = (*case kind g nid of*
(*MergeNode ends* - -) ⇒ *Some* (*hd ends*) |
- ⇒
(*if IRGraph.predecessors g nid* = {}
then None else
Some (*hd* (*sorted-list-of-set* (*IRGraph.predecessors g nid*)))
)
)

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the ConditionalElimination phase

type-synonym *'a TraversalState* = (*ID* × *Seen* × *'a*)

inductive *Step*
:: (*'a TraversalState* ⇒ *'a*) ⇒ *IRGraph* ⇒ *'a TraversalState* ⇒ *'a TraversalState option* ⇒ *bool*
for *sa g* **where**
— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information
⟦*kind g nid* = *BeginNode nid'*;

nid ∉ *seen*;
seen' = {*nid*} ∪ *seen*;

Some ifcond = *pred g nid*;
kind g ifcond = *IfNode cond t f*;

analysis' = *sa* (*nid*, *seen*, *analysis*)⟧
⟹ *Step sa g* (*nid*, *seen*, *analysis*) (*Some* (*nid'*, *seen'*, *analysis'*)) |

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack
⟦*kind g nid* = *EndNode*;

nid ∉ *seen*;
seen' = {*nid*} ∪ *seen*;

$nid' = any\text{-}usage\ g\ nid$;

$analysis' = sa\ (nid,\ seen,\ analysis)$⟧
⟹ $Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))$ |

— We can find a successor edge that is not in seen, go there
⟦¬($is\text{-}EndNode\ (kind\ g\ nid)$);
¬($is\text{-}BeginNode\ (kind\ g\ nid)$);

$nid \notin seen$;
$seen' = \{nid\} \cup seen$;

$Some\ nid' = nextEdge\ seen'\ nid\ g$;

$analysis' = sa\ (nid,\ seen,\ analysis)$⟧
⟹ $Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))$ |

— We can cannot find a successor edge that is not in seen, give back None
⟦¬($is\text{-}EndNode\ (kind\ g\ nid)$);
¬($is\text{-}BeginNode\ (kind\ g\ nid)$);

$nid \notin seen$;
$seen' = \{nid\} \cup seen$;

$None = nextEdge\ seen'\ nid\ g$⟧
⟹ $Step\ sa\ g\ (nid,\ seen,\ analysis)\ None$ |

— We've already seen this node, give back None
⟦$nid \in seen$⟧ ⟹ $Step\ sa\ g\ (nid,\ seen,\ analysis)\ None$

code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$) $Step$ **.**

end