# Veriopt Theories

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# 1 Data-flow Semantics

 $\begin{array}{c} \textbf{theory} \ IRTreeEval \\ \textbf{imports} \\ Graph.Stamp \\ \textbf{begin} \end{array}$ 

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph. As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym ID = nat

type-synonym MapState = ID \Rightarrow Value

type-synonym Params = Value\ list

definition new-map-state :: MapState\ where

new-map-state = (\lambda x.\ UndefVal)
```

#### 1.1 Data-flow Tree Representation

```
{f datatype} \ IRUnaryOp =
   UnaryAbs
   UnaryNeg
   UnaryNot
   UnaryLogicNegation
   UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat)
   UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)
   UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
datatype IRBinaryOp =
   BinAdd
   BinMul
   BinSub
   BinAnd
   BinOr
   BinXor
   BinShortCircuitOr
   BinLeftShift
   BinRightShift
   BinURightShift
   BinIntegerEquals
   BinIntegerLessThan
   BinIntegerBelow
```

```
datatype (discs-sels) IRExpr =
   UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
   BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
   ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)
 | ParameterExpr (ir-index: nat) (ir-stamp: Stamp)
 | LeafExpr (ir-nid: ID) (ir-stamp: Stamp)
   ConstantExpr (ir-const: Value)
   Constant Var (ir-name: string)
   VariableExpr (ir-name: string) (ir-stamp: Stamp)
fun is-ground :: IRExpr \Rightarrow bool where
 is-ground (UnaryExpr\ op\ e) = is-ground e
 is-ground (BinaryExpr op e1 e2) = (is-ground e1 \land is-ground e2)
 is-ground (ConditionalExpr b e1 e2) = (is-ground b \wedge is-ground e1 \wedge is-ground
 is-ground (ParameterExpr i s) = True
 is-ground (LeafExpr n s) = True
 is-ground (ConstantExpr\ v) = True\ |
 is-ground (ConstantVar\ name) = False
 is-ground (VariableExpr\ name\ s) = False
typedef GroundExpr = \{ e :: IRExpr . is-ground e \}
 using is-ground.simps(6) by blast
```

#### 1.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Most operators have the same output bits as their inputs. But the following  $fixed_32$  binary operators always output 32 bits. And the unary operators that are not  $normal_unary$  are narrowing or widening operators, so the result bits is specified by the operator.

```
abbreviation fixed-32 :: IRBinaryOp set where
fixed-32 \equiv {BinShortCircuitOr, BinIntegerEquals, BinIntegerLessThan, BinIntegerBelow}

abbreviation normal-unary :: IRUnaryOp set where
normal-unary \equiv {UnaryAbs, UnaryNeg, UnaryNot, UnaryLogicNegation}

fun stamp-unary :: IRUnaryOp \Rightarrow Stamp \Rightarrow Stamp where

stamp-unary op (IntegerStamp b lo hi) =
unrestricted-stamp (IntegerStamp (if op \in normal-unary then b else (ir-resultBits)
```

```
op)) lo hi)
 stamp-unary op - = IllegalStamp
fun stamp-binary :: IRBinaryOp \Rightarrow Stamp \Rightarrow Stamp \Rightarrow Stamp where
 stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
   (if (b1 \neq b2) then IllegalStamp else
     (if op \in fixed-32
      then unrestricted-stamp (IntegerStamp 32 lo1 hi1)
      else unrestricted-stamp (IntegerStamp b1 lo1 hi1))) |
 stamp-binary op - - = IllegalStamp
fun stamp-expr :: IRExpr \Rightarrow Stamp where
 stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x)
 stamp-expr (BinaryExpr bop x y) = stamp-binary bop (stamp-expr x) (stamp-expr
y)
 stamp-expr (ConstantExpr val) = constantAsStamp val |
 stamp-expr(LeafExpr(i s) = s \mid
 stamp-expr (ParameterExpr i s) = s
 stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)
export-code stamp-unary stamp-binary stamp-expr
1.3
       Data-flow Tree Evaluation
fun unary-eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value  where
 unary-eval UnaryAbs\ v = intval-abs\ v \mid
 unary-eval UnaryNeg\ v = intval-negate v \mid
 unary-eval UnaryNot\ v = intval-not v \mid
 unary-eval\ UnaryLogicNegation\ v=intval-logic-negation\ v\mid
 unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v
 unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits out-
 unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits out-eval
Bits v
fun bin-eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value where
 bin-eval\ BinAdd\ v1\ v2=intval-add\ v1\ v2
 bin-eval\ BinMul\ v1\ v2 = intval-mul\ v1\ v2
 bin-eval \ BinSub \ v1 \ v2 = intval-sub \ v1 \ v2 \mid
 bin-eval BinAnd\ v1\ v2 = intval-and v1\ v2
 bin-eval\ BinOr\ v1\ v2=intval-or\ v1\ v2
 bin-eval BinXor\ v1\ v2 = intval-xor v1\ v2
 bin-eval\ BinShortCircuitOr\ v1\ v2=intval-short-circuit-or\ v1\ v2
 bin-eval\ BinLeftShift\ v1\ v2\ =\ intval-left-shift\ v1\ v2\ |
 bin-eval\ BinRightShift\ v1\ v2=intval-right-shift\ v1\ v2
 bin-eval\ Bin\ URightShift\ v1\ v2=intval-uright-shift\ v1\ v2
```

```
bin-eval BinIntegerEquals \ v1 \ v2 = intval-equals v1 \ v2
  bin-eval\ BinIntegerLessThan\ v1\ v2=intval-less-than\ v1\ v2
  bin-eval BinIntegerBelow\ v1\ v2=intval-below\ v1\ v2
lemmas eval-thms =
  intval-abs.simps\ intval-negate.simps\ intval-not.simps
  intval	ext{-}logic	ext{-}negation.simps intval	ext{-}narrow.simps
  intval-sign-extend.simps intval-zero-extend.simps
  intval-add.simps\ intval-mul.simps\ intval-sub.simps
  intval-and.simps intval-or.simps intval-xor.simps
  intval-left-shift.simps intval-right-shift.simps
  intval-uright-shift.simps intval-equals.simps
  intval\mbox{-}less\mbox{-}than.simps\ intval\mbox{-}below.simps
inductive not-undef-or-fail :: Value \Rightarrow Value \Rightarrow bool where
  \llbracket value \neq UndefVal \rrbracket \implies not\text{-}undef\text{-}or\text{-}fail\ value\ value}
notation (latex output)
  not-undef-or-fail (- = -)
inductive
  evaltree :: MapState \Rightarrow Params \Rightarrow IRExpr \Rightarrow Value \Rightarrow bool ([-,-] \vdash - \mapsto -55)
  for m p where
  Constant Expr:
  \llbracket valid\text{-}value\ c\ (constantAsStamp\ c) 
bracket
    \implies [m,p] \vdash (ConstantExpr\ c) \mapsto c
  ParameterExpr:
  [i < length p; valid-value (p!i) s]
    \implies [m,p] \vdash (ParameterExpr\ i\ s) \mapsto p!i
  Conditional Expr:
  \llbracket [m,p] \vdash ce \mapsto cond;
    branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe);
    [m,p] \vdash branch \mapsto v;
    v \neq UndefVal
    \implies [m,p] \vdash (ConditionalExpr\ ce\ te\ fe) \mapsto v \mid
  UnaryExpr:
  \llbracket [m,p] \vdash xe \mapsto v;
    result = (unary-eval \ op \ v);
    result \neq UndefVal
    \implies [m,p] \vdash (UnaryExpr \ op \ xe) \mapsto result \mid
  BinaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
```

```
[m,p] \vdash ye \mapsto y;
    result = (bin-eval \ op \ x \ y);
    result \neq UndefVal
    \implies [m,p] \vdash (BinaryExpr \ op \ xe \ ye) \mapsto result \mid
  LeafExpr:
  \llbracket val = m \ n;
    valid-value \ val \ s
    \implies [m,p] \vdash \textit{LeafExpr } n \ s \mapsto \textit{val}
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalT)
  [show\_steps, show\_mode\_inference, show\_intermediate\_results]
  evaltree.
inductive
  evaltrees :: MapState \Rightarrow Params \Rightarrow IRExpr\ list \Rightarrow Value\ list \Rightarrow bool\ ([-,-] \vdash - \mapsto_L
  for m p where
  EvalNil:
  [m,p] \vdash [] \mapsto_L [] \mid
  EvalCons:
  \llbracket [m,p] \vdash x \mapsto xval;
    [m,p] \vdash yy \mapsto_L yyval
    \Longrightarrow [m,p] \vdash (x\#yy) \mapsto_L (xval\#yyval)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalTs)
  evaltrees.
definition sq\text{-}param\theta :: IRExpr where
  sq\text{-}param0 = BinaryExpr\ BinMul
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
values \{v. \ evaltree \ new-map-state \ [IntVal \ 32 \ 5] \ sq-param 0 \ v\}
declare evaltree.intros [intro]
declare evaltrees.intros [intro]
```

#### 1.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

```
definition equiv-exprs :: IRExpr \Rightarrow IRExpr \Rightarrow bool \ (- \doteq -55) where (e1 \doteq e2) = (\forall m \ p \ v. \ (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))
```

We also prove that this is a total equivalence relation (equivp equiv-exprs) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

```
lemma equivp equiv-exprs
apply (auto simp add: equivp-def equiv-exprs-def)
by (metis equiv-exprs-def)+
```

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation IRExpr :: preorder begin

```
notation less-eq (infix \Box 65)
definition
  le-expr-def [simp]:
    (e_2 \leq e_1) \longleftrightarrow (\forall \ m \ p \ v. (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))
definition
  lt-expr-def [simp]:
    (e_1 < e_2) \longleftrightarrow (e_1 \le e_2 \land \neg (e_1 \doteq e_2))
instance proof
  \mathbf{fix} \ x \ y \ z :: IRExpr
  show x < y \longleftrightarrow x \le y \land \neg (y \le x) by (simp add: equiv-exprs-def; auto)
  show x \leq x by simp
  show x \le y \Longrightarrow y \le z \Longrightarrow x \le z by simp
qed
end
abbreviation (output) Refines :: IRExpr \Rightarrow IRExpr \Rightarrow bool (infix \supseteq 64)
  where e_1 \supseteq e_2 \equiv (e_2 \leq e_1)
```

#### 1.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to a the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

```
locale stamp-mask =
  fixes up :: IRExpr \Rightarrow int64 (\uparrow)
  fixes down :: IRExpr \Rightarrow int64 (\downarrow)
  assumes up\text{-}spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ v\ (not\ ((ucast\ (\uparrow e))))) = 0
      and down-spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ (not\ v)\ (ucast\ (\downarrow e))) = 0
begin
lemma may-implies-either:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\uparrow e)\ n \Longrightarrow bit\ v\ n = False \lor bit\ v\ n = True
  by simp
lemma not-may-implies-false:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow \neg(bit\ (\uparrow e)\ n) \Longrightarrow bit\ v\ n = False
  using up-spec
  using bit-and-iff bit-eq-iff bit-not-iff bit-unsigned-iff down-spec
  by (smt (verit, best) bit.double-compl)
\mathbf{lemma}\ \mathit{must-implies-true} :
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ v\ n = True
  using down-spec
 by (metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id)
lemma not-must-implies-either:
  [m, p] \vdash e \mapsto IntVal \ b \ v \Longrightarrow \neg(bit \ (\downarrow e) \ n) \Longrightarrow bit \ v \ n = False \lor bit \ v \ n = True
  by simp
lemma must-implies-may:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow n < 32 \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ (\uparrow e)\ n
  by (meson must-implies-true not-may-implies-false)
lemma up-mask-and-zero-implies-zero:
  assumes and (\uparrow x) (\uparrow y) = 0
  assumes [m, p] \vdash x \mapsto IntVal\ b\ xv
  assumes [m, p] \vdash y \mapsto IntVal\ b\ yv
  shows and xv yv = 0
  using assms
 by (smt (23) and.commute and.right-neutral and-zero-eq bit.compl-zero bit.conj-cancel-right
bit.conj-disj-distribs(1) ucast-id up-spec word-bw-assocs(1) word-not-dist(2)
\mathbf{lemma}\ not\text{-}down\text{-}up\text{-}mask\text{-}and\text{-}zero\text{-}implies\text{-}zero\text{:}
  assumes and (not (\downarrow x)) (\uparrow y) = 0
  assumes [m, p] \vdash x \mapsto IntVal\ b\ xv
  \mathbf{assumes}\ [m,\ p] \vdash y \mapsto \mathit{IntVal}\ b\ yv
  shows and xv yv = yv
  using assms
 by (smt (z3) and-zero-eq bit.conj-cancel-left bit.conj-disj-distribs(1) bit.conj-disj-distribs(2)
bit.de-Morgan-disj down-spec or-eq-not-not-and ucast-id up-spec word-ao-absorbs(2)
word-ao-absorbs(8) word-bw-lcs(1) word-not-dist(2))
```

end

end

#### 1.6 Data-flow Tree Theorems

```
\begin{array}{c} \textbf{theory} \ IRTreeEvalThms \\ \textbf{imports} \\ Graph. \ ValueThms \\ IRTreeEval \\ \textbf{begin} \end{array}
```

#### 1.6.1 Deterministic Data-flow Evaluation

```
lemma evalDet:
[m,p] \vdash e \mapsto v_1 \Longrightarrow \\ [m,p] \vdash e \mapsto v_2 \Longrightarrow \\ v_1 = v_2 \Longrightarrow \\ \text{apply } (induction \ arbitrary: \ v_2 \ rule: \ evaltree.induct)
\mathbf{by} \ (elim \ EvalTreeE; \ auto) + \\ \\ \text{lemma} \ evalAllDet: \\ [m,p] \vdash e \mapsto_L v1 \Longrightarrow \\ [m,p] \vdash e \mapsto_L v2 \Longrightarrow \\ v1 = v2 \\ \mathbf{apply} \ (induction \ arbitrary: \ v2 \ rule: \ evaltrees.induct)
\mathbf{apply} \ (induction \ arbitrary: \ v2 \ rule: \ evaltrees.induct)
\mathbf{apply} \ (elim \ EvalTreeE; \ auto)
\mathbf{using} \ evalDet \ \mathbf{by} \ force
```

#### 1.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values:  $is_IntVal32$ ,  $is_IntVal64$  and the more general  $is_IntVal$ .

```
lemma unary-eval-not-obj-ref:

shows unary-eval op x \neq ObjRef\ v

by (cases op; cases x; auto)

lemma unary-eval-not-obj-str:

shows unary-eval op x \neq ObjStr\ v

by (cases op; cases x; auto)
```

lemma unary-eval-int:

```
assumes def: unary-eval op x \neq UndefVal
 shows is-IntVal (unary-eval op x)
 unfolding is-IntVal-def using def
 apply (cases unary-eval op x; auto)
 using unary-eval-not-obj-ref unary-eval-not-obj-str by simp+
lemma bin-eval-int:
 assumes def: bin-eval op x y \neq UndefVal
 shows is-IntVal (bin-eval op x y)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
              apply presburger+
         apply (meson bool-to-val.elims)
        apply (meson bool-to-val.elims)
       apply (smt (verit) new-int.simps)+
 by (meson bool-to-val.elims)+
lemma Int Val0:
 (Int Val 32 0) = (new-int 32 0)
 unfolding new-int.simps
 by auto
lemma Int Val1:
 (Int Val \ 32 \ 1) = (new-int \ 32 \ 1)
 unfolding new-int.simps
 bv auto
\mathbf{lemma}\ bin-eval-new-int:
 assumes def: bin-eval \ op \ x \ y \neq \ UndefVal
 shows \exists b \ v. \ (bin\text{-}eval \ op \ x \ y) = new\text{-}int \ b \ v \ \land
            b = (if \ op \in fixed-32 \ then \ 32 \ else \ intval-bits \ x)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
 apply presburger+
 apply (metis take-bit-and)
 apply presburger
 apply (metis take-bit-or)
 apply presburger
 apply (metis take-bit-xor)
 apply presburger
 using IntVal0 IntVal1
 apply (metis bool-to-val.elims new-int.simps)
 apply presburger
 apply (smt (verit) new-int.elims)
 apply (smt (verit, best) new-int.elims)
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 apply presburger
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
```

```
apply presburger
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 by meson
lemma int-stamp:
 assumes i: is-IntVal\ v
 shows is-IntegerStamp (constantAsStamp \ v)
 using i unfolding is-IntegerStamp-def is-IntVal-def by auto
\mathbf{lemma}\ validStampIntConst:
 assumes v = IntVal\ b\ ival
 assumes 0 < b \land b \le 64
 shows valid-stamp (constantAsStamp v)
proof -
 have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
\leq snd \ (bit\text{-}bounds \ b)
   using assms int-signed-value-bounds
   by presburger
 have s: constant As Stamp \ v = Integer Stamp \ b \ (int-signed-value \ b \ ival) \ (int-signed-value \ b)
b ival
   using assms(1) constantAsStamp.simps(1) by blast
 then show ?thesis
   unfolding s valid-stamp.simps
   using assms(2) assms bnds by linarith
qed
\mathbf{lemma}\ \mathit{validDefIntConst} \colon
 assumes v: v = IntVal \ b \ ival
 assumes 0 < b \land b \le 64
 assumes take-bit b ival = ival
 shows valid-value v (constantAsStamp v)
proof -
 have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
< snd (bit-bounds b)
   using assms int-signed-value-bounds
   by presburger
 have s: constant As Stamp \ v = Integer Stamp \ b \ (int-signed-value \ b \ ival) \ (int-signed-value \ b)
b ival
   using assms(1) constantAsStamp.simps(1) by blast
 then show ?thesis
   unfolding s unfolding v unfolding valid-value.simps
   using assms validStampIntConst
   \mathbf{by} \ simp
qed
```

#### 1.6.3 Evaluation Results are Valid

```
A valid value cannot be UndefVal.
lemma valid-not-undef:
 \mathbf{assumes}\ a1\colon valid\text{-}value\ val\ s
 assumes a2: s \neq VoidStamp
 shows val \neq UndefVal
 apply (rule valid-value.elims(1)[of val s True])
 using a1 a2 by auto
lemma valid-VoidStamp[elim]:
 shows valid-value val VoidStamp \Longrightarrow
     val = UndefVal
 using valid-value.simps by metis
lemma valid-ObjStamp[elim]:
 shows \ valid-value \ val \ (ObjectStamp \ klass \ exact \ nonNull \ alwaysNull) \Longrightarrow
     (\exists v. val = ObjRef v)
 using valid-value.simps by (metis val-to-bool.cases)
lemma valid-int[elim]:
 shows valid-value val (IntegerStamp b lo hi) \Longrightarrow
     (\exists v. val = IntVal b v)
 using valid-value.elims(2) by fastforce
lemmas valid-value-elims =
  valid	ext{-} VoidStamp
  valid-ObjStamp
  valid-int
lemma evaltree-not-undef:
 fixes m p e v
 shows ([m,p] \vdash e \mapsto v) \Longrightarrow v \neq UndefVal
 apply (induction rule: evaltree.induct)
 using valid-not-undef by auto
lemma leafint:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ b\ lo\ hi) \mapsto val
 shows \exists b \ v. \ val = (Int Val \ b \ v)
proof -
 have valid-value val (IntegerStamp b lo hi)
   using ev by (rule LeafExprE; simp)
 then show ?thesis by auto
qed
```

```
lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648) 2147483647 using default-stamp-def by auto

lemma valid-value-signed-int-range [simp]: assumes valid-value val (IntegerStamp b lo hi) assumes lo < 0 shows \exists v. (val = IntVal b v \land lo \leq int\text{-signed-value b } v \leq hi) using assms valid-int by (metis valid-value.simps(1))
```

### 1.6.4 Example Data-flow Optimisations

### 1.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's mono operator (HOL.Orderings theory), proving instantiations like mono(UnaryExprop), but it is not obvious how to do this for both arguments of the binary expressions.

```
lemma mono-unary:
   assumes e \ge e'
   shows (UnaryExpr\ op\ e) \ge (UnaryExpr\ op\ e')
   using UnaryExpr\ assms by auto

lemma mono-binary:
   assumes x \ge x'
   assumes y \ge y'
   shows (BinaryExpr\ op\ x\ y) \ge (BinaryExpr\ op\ x'\ y')
   using BinaryExpr\ assms by auto

lemma never-void:
   assumes [m,\ p] \vdash x \mapsto xv
   assumes valid-value\ xv\ (stamp-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-valid-
```

**lemma** compatible-trans:

```
compatible x \ y \land compatible \ y \ z \Longrightarrow compatible \ x \ z
 by (smt\ (z3)\ compatible.elims(2)\ compatible.simps(1))
lemma compatible-refl:
  compatible \ x \ y \Longrightarrow compatible \ y \ x
 using compatible.elims(2) by fastforce
lemma mono-conditional:
 assumes ce \ge ce'
 assumes te \geq te'
 assumes fe \ge fe'
 shows (ConditionalExpr ce te fe) \geq (ConditionalExpr ce' te' fe')
proof (simp only: le-expr-def; (rule allI)+; rule impI)
 fix m p v
 assume a: [m,p] \vdash ConditionalExpr ce te fe \mapsto v
 then obtain cond where ce: [m,p] \vdash ce \mapsto cond by auto
 then have ce': [m,p] \vdash ce' \mapsto cond using assms by auto
 define branch where b: branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe)
 define branch' where b': branch' = (if val-to-bool cond then te' else fe')
  then have beval: [m,p] \vdash branch \mapsto v using a b ce evalDet by blast
  from beval have [m,p] \vdash branch' \mapsto v using assms b b' by auto
  then show [m,p] \vdash ConditionalExpr ce' te' fe' \mapsto v
   using ConditionalExpr ce' b'
   using a by blast
qed
```

# 1.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level  $bin_eval$  /  $unary_eval$  level, simply by saying  $unfoldingunfold_evaltree$ .

```
lemma unfold-binary:

shows ([m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto val) = (\exists\ x\ y.

(([m,p] \vdash xe \mapsto x) \land

([m,p] \vdash ye \mapsto y) \land

(val = bin\text{-}eval\ op\ x\ y) \land
```

```
(val \neq UndefVal)
      )) (is ?L = ?R)
proof (intro iffI)
 assume 3: ?L
 show ?R by (rule evaltree.cases[OF 3]; blast+)
next
 assume ?R
 then obtain x \ y where [m,p] \vdash xe \mapsto x
       and [m,p] \vdash ye \mapsto y
       and val = bin-eval \ op \ x \ y
      and val \neq UndefVal
   by auto
 then show ?L
    by (rule BinaryExpr)
qed
lemma unfold-unary:
 shows ([m,p] \vdash UnaryExpr \ op \ xe \mapsto val)
       = (\exists x.
           (([m,p] \vdash xe \mapsto x) \land
            (val = unary-eval \ op \ x) \land
            (val \neq UndefVal)
           )) (is ?L = ?R)
 by auto
lemmas unfold-evaltree =
  unfold-binary
  unfold-unary
       Lemmas about new int and integer eval results.
1.8
lemma unary-eval-new-int:
 assumes def: unary-eval op x \neq UndefVal
 shows \exists b \ v. \ unary-eval \ op \ x = new-int \ b \ v \ \land
             b = (if \ op \in normal-unary \ then \ intval-bits \ x \ else \ ir-resultBits \ op)
proof (cases op \in normal\text{-}unary)
 case True
 then show ?thesis
  by (metis def empty-iff insert-iff intval-abs.elims intval-bits.simps intval-logic-negation.elims
intval-negate. elims\ intval-not. elims\ unary-eval. simps(1)\ unary-eval. simps(2)\ unary-eval. simps(3)
unary-eval.simps(4))
\mathbf{next}
 case False
 consider ib \ ob where op = UnaryNarrow \ ib \ ob
         ib\ ob\ {\bf where}\ op=\ {\it UnaryZeroExtend}\ ib\ ob\ |
         ib\ ob\ {\bf where}\ op={\it UnarySignExtend}\ ib\ ob
   by (metis False IRUnaryOp.exhaust insert-iff)
```

```
then show ?thesis
 proof (cases)
   case 1
   then show ?thesis
   by (metis False IRUnaryOp.sel(4) def intval-narrow.elims unary-eval.simps(5))
 next
   case 2
   then show ?thesis
   by (metis\ False\ IRUnaryOp.sel(6)\ def\ intval-zero-extend.elims\ unary-eval.simps(7))
 next
   case 3
   then show ?thesis
   by (metis\ False\ IRUnaryOp.sel(5)\ def\ intval-sign-extend.elims\ unary-eval.simps(6))
 \mathbf{qed}
qed
lemma new-int-unused-bits-zero:
 assumes IntVal\ b\ ival = new\text{-}int\ b\ ival0
 shows take-bit b ival = ival
 using assms(1) new-int-take-bits by blast
lemma unary-eval-unused-bits-zero:
 assumes unary-eval op x = IntVal\ b\ ival
 shows take-bit b ival = ival
 using assms unary-eval-new-int
 by (metis\ Value.inject(1)\ Value.simps(5)\ new-int.elims\ new-int-unused-bits-zero)
lemma bin-eval-unused-bits-zero:
 assumes bin-eval op x y = (IntVal b ival)
 shows take-bit b ival = ival
 using assms bin-eval-new-int
 by (metis Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits)
\mathbf{lemma}\ eval\text{-}unused\text{-}bits\text{-}zero:
 [m,p] \vdash xe \mapsto (IntVal\ b\ ix) \Longrightarrow take-bit\ b\ ix = ix
proof (induction xe)
 case (UnaryExpr x1 xe)
 then show ?case
   using unary-eval-unused-bits-zero by force
next
 case (BinaryExpr x1 xe1 xe2)
 then show ?case
   using bin-eval-unused-bits-zero by force
\mathbf{next}
 case (ConditionalExpr xe1 xe2 xe3)
 then show ?case
   by (metis (full-types) EvalTreeE(3))
next
 case (ParameterExpr\ i\ s)
```

```
then have valid-value (p!i) s
   by fastforce
 then show ?case
  by (metis ParameterExprE Value.distinct(7) intval-bits.simps intval-word.simps
local.ParameterExpr\ valid-value.elims(2))
next
 case (LeafExpr x1 x2)
 then show ?case
  by (smt\ (z3)\ EvalTreeE(6)\ Value.simps(11)\ valid-value.elims(1)\ valid-value.simps(1))
next
 case (ConstantExpr x)
 then show ?case
   by (metis\ EvalTreeE(1)\ constantAsStamp.simps(1)\ valid-value.simps(1))
next
 case (ConstantVar x)
 then show ?case
   by fastforce
next
 case (VariableExpr x1 x2)
 then show ?case
   by fastforce
qed
lemma unary-normal-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes op \in normal-unary
 shows \exists ix. x = IntVal b ix
 apply (cases op)
      prefer 7 using assms apply blast
     prefer 6 using assms apply blast
     prefer 5 using assms apply blast
 using Value.distinct(1) Value.sel(1) assms(1) new-int.simps unary-eval.simps
    intval-abs.\ elims\ intval-negate.\ elims\ intval-not.\ elims\ intval-logic-negation.\ elims
    apply metis+
 done
lemma unary-not-normal-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 \mathbf{assumes}\ op \notin \mathit{normal-unary}
 shows b = ir-resultBits op \land 0 < b \land b \le 64
 apply (cases op)
 using assms apply blast+
  apply (metis\ IRUnaryOp.sel(4)\ Value.distinct(1)\ Value.sel(1)\ assms(1)\ int-
val-narrow.elims intval-narrow-ok new-int.simps unary-eval.simps(5))
  apply (smt (verit) IRUnaryOp.sel(5) Value.distinct(1) Value.sel(1) assms(1)
intval-sign-extend.elims new-int.simps order-less-le-trans unary-eval.simps(6))
 apply (metis IRUnaryOp.sel(6) \ Value.distinct(1) \ assms(1) \ intval-bits.simps \ int-
```

```
val\text{-}zero\text{-}extend.elims\ linorder\text{-}not\text{-}less\ neq0\text{-}conv\ new\text{-}int.simps\ unary\text{-}eval.simps(7))} \mathbf{done}
```

```
lemma unary-eval-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes 2: x = IntVal \ bx \ ix
 assumes \theta < bx \land bx \leq 64
 shows 0 < b \land b \le 64
proof (cases \ op \in normal-unary)
 {\bf case}\ {\it True}
 then obtain tmp where unary-eval of x = new-int bx tmp
   by (cases op; simp; auto simp: 2)
 then show ?thesis
   using assms by simp
\mathbf{next}
 case False
 then obtain tmp where unary-eval op x = new-int b \ tmp \land 0 < b \land b \leq 64
   apply (cases op; simp; auto simp: 2)
  apply (metis 2 \ Value.inject(1) \ Value.simps(5) \ assms(1) \ intval-narrow.simps(1)
intval-narrow-ok new-int.simps\ unary-eval.simps(5))
  apply (metis 2 Value.distinct(1) Value.inject(1) assms(1) bot-nat-0.not-eq-extremum
diff-is-0-eq intval-sign-extend.elims new-int.simps unary-eval.simps(6) zero-less-diff)
     by (smt (verit, del-insts) 2 Value.simps(5) assms(1) intval-bits.simps int-
val-zero-extend.simps(1) new-int.simps order-less-le-trans unary-eval.simps(7))
 then show ?thesis
   by blast
qed
{f lemma}\ bin-eval-inputs-are-ints:
 assumes bin-eval op x y = IntVal b ix
 obtains xb yb xi yi where x = IntVal \ xb \ xi \land y = IntVal \ yb \ yi
proof -
 have bin-eval op x y \neq UndefVal
   by (simp add: assms)
 then show ?thesis
   using assms apply (cases op; cases x; cases y; simp)
   using that by blast+
qed
lemma eval-bits-1-64:
 [m,p] \vdash xe \mapsto (IntVal\ b\ ix) \Longrightarrow 0 < b \land b \le 64
proof (induction xe arbitrary: b ix)
 case (UnaryExpr op x2)
 then obtain xv where
```

```
xv: ([m,p] \vdash x2 \mapsto xv) \land
          IntVal\ b\ ix = unary-eval\ op\ xv
   using unfold-binary by auto
  then have b = (if \ op \in normal-unary \ then \ intval-bits \ xv \ else \ ir-resultBits \ op)
   using unary-eval-new-int
   by (metis\ Value.disc(1)\ Value.discI(1)\ Value.sel(1)\ new-int.simps)
  then show ?case
   by (metis xv UnaryExpr.IH unary-normal-bitsize unary-not-normal-bitsize)
next
  case (BinaryExpr\ op\ x\ y)
 then obtain xv yv where
      xy: ([m,p] \vdash x \mapsto xv) \land
          ([m,p] \vdash y \mapsto yv) \land
          IntVal\ b\ ix = bin-eval\ op\ xv\ yv
   using unfold-binary by auto
 then have def: bin-eval op xv yv \neq UndefVal and xv: xv \neq UndefVal and yv \neq UndefVal
UndefVal
   using evaltree-not-undef xy by (force, blast, blast)
  then have b = (if \ op \in fixed-32 \ then \ 32 \ else \ intval-bits \ xv)
   by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
  then show ?case
  \textbf{by} \ (\textit{metis BinaryExpr.IH}(1) \ \textit{Value.distinct}(7) \ \textit{Value.distinct}(9) \ \textit{xv bin-eval-inputs-are-ints}
intval-bits.elims le-add-same-cancel1 less-or-eq-imp-le numeral-Bit0 xy zero-less-numeral)
next
  case (ConditionalExpr xe1 xe2 xe3)
 then show ?case
   by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr x1 x2)
 then show ?case
  using ParameterExprE\ intval-bits.simps\ valid-stamp.simps(1)\ valid-value.elims(2)
valid-value.simps(17)
   by (metis (no-types, lifting))
next
 case (LeafExpr x1 x2)
 then show ?case
  by (smt\ (z3)\ EvalTreeE(6)\ Value.distinct(7)\ Value.inject(1)\ valid-stamp.simps(1)
valid-value. elims(1)
next
 case (ConstantExpr(x))
 then show ?case
  by (metis\ Eval\ Tree\ E(1)\ constant\ As\ Stamp.simps(1)\ valid-stamp.simps(1)\ valid-value.simps(1))
next
  case (Constant Var x)
 then show ?case
   by blast
next
 case (VariableExpr x1 x2)
```

```
\begin{array}{c} \textbf{then show} ~? case \\ \textbf{by} ~b last \\ \textbf{qed} \end{array}
```

end

## 2 Tree to Graph

```
theory TreeToGraph
imports
Semantics.IRTreeEval
Graph.IRGraph
begin
```

## 2.1 Subgraph to Data-flow Tree

```
fun find-node-and-stamp :: IRGraph \Rightarrow (IRNode \times Stamp) \Rightarrow ID option where find-node-and-stamp g(n,s) = find(\lambda i. \ kind \ g \ i = n \land stamp \ g \ i = s) \ (sorted-list-of-set(ids \ g))
```

export-code find-node-and-stamp

#### inductive

```
rep :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool \ (-\vdash -\simeq -55) for g where ConstantNode: \\ \llbracket kind \ g \ n = ConstantNode \ c \rrbracket \\ \Rightarrow g \vdash n \simeq (ConstantExpr \ c) \mid
```

ParameterNode:

Conditional Node:

```
\llbracket kind\ g\ n = ConditionalNode\ c\ t\ f;
  g \vdash c \simeq ce;
  g \vdash t \simeq te;
  g \vdash f \simeq fe
  \implies g \vdash n \simeq (ConditionalExpr \ ce \ te \ fe) \mid
AbsNode:
[kind\ g\ n = AbsNode\ x;]
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (\mathit{UnaryExpr}\ \mathit{UnaryAbs}\ \mathit{xe}) \mid
NotNode:
\llbracket kind\ g\ n = NotNode\ x;
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid
NegateNode:
\llbracket kind\ g\ n = NegateNode\ x;
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (\mathit{UnaryExpr\ UnaryNeg\ xe}) \mid
LogicNegationNode:
[kind\ g\ n = LogicNegationNode\ x;]
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe) \mid
AddNode:
[kind\ g\ n=AddNode\ x\ y;
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye) \mid
MulNode:
[kind\ g\ n = MulNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinMul\ xe\ ye) \mid
SubNode:
[kind\ g\ n = SubNode\ x\ y;]
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinSub\ xe\ ye) \mid
AndNode:
\llbracket kind\ g\ n = AndNode\ x\ y;
  g \vdash x \simeq xe;
```

```
g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinAnd\ xe\ ye) \mid
OrNode:
\llbracket kind\ g\ n = OrNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinOr\ xe\ ye) \mid
XorNode:
[kind\ g\ n = XorNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinXor\ xe\ ye) \mid
ShortCircuitOrNode:
[kind\ g\ n = ShortCircuitOrNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinShortCircuitOr\ xe\ ye) \mid
LeftShiftNode:
\llbracket kind\ g\ n = LeftShiftNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinLeftShift\ xe\ ye) \mid
RightShiftNode:
[kind\ g\ n = RightShiftNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinRightShift\ xe\ ye) \mid
Unsigned Right Shift Node: \\
\llbracket kind\ g\ n = UnsignedRightShiftNode\ x\ y;
 q \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinURightShift\ xe\ ye)
IntegerBelowNode:
[kind\ g\ n = IntegerBelowNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinIntegerBelow\ xe\ ye)
Integer Equals Node:
[kind\ g\ n = IntegerEqualsNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
```

```
\implies g \vdash n \simeq (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mid
  Integer Less Than Node: \\
  \llbracket kind\ g\ n = IntegerLessThanNode\ x\ y;
    g \vdash x \simeq xe;
    g \vdash y \simeq ye
    \implies g \vdash n \simeq (BinaryExpr\ BinIntegerLessThan\ xe\ ye) \mid
  NarrowNode:
  \llbracket kind \ g \ n = NarrowNode \ inputBits \ resultBits \ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (\textit{UnaryExpr}(\textit{UnaryNarrow inputBits resultBits}) xe) \mid
  SignExtendNode:
  \llbracket kind \ g \ n = SignExtendNode \ inputBits \ resultBits \ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnarySignExtend\ inputBits\ resultBits)\ xe) \mid
  ZeroExtendNode:
  \llbracket kind\ g\ n = ZeroExtendNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnaryZeroExtend\ inputBits\ resultBits)\ xe) \mid
  LeafNode:
  [is-preevaluated (kind g n);
    stamp \ q \ n = s
    \implies g \vdash n \simeq (\textit{LeafExpr} \ n \ s) \mid
  RefNode:
  [kind\ g\ n=RefNode\ n';
    g \vdash n' \simeq e
    \implies g \vdash n \simeq e
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprE) rep.
inductive
  replist :: IRGraph \Rightarrow ID \ list \Rightarrow IRExpr \ list \Rightarrow bool \ (- \vdash - \simeq_L - 55)
  for g where
  RepNil:
  g \vdash [] \simeq_L [] \mid
  RepCons:
  \llbracket g \vdash x \simeq xe;
    g \vdash xs \simeq_L xse
```

```
\Rightarrow g \vdash x \# xs \simeq_L xe \# xse

code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprListE) \ replist.

definition wf-term-graph :: MapState \Rightarrow Params \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool \ \mathbf{where}

wf-term-graph m \ p \ g \ n = (\exists \ e. \ (g \vdash n \simeq e) \land (\exists \ v. \ ([m, \ p] \vdash e \mapsto v)))

values \{t. \ eg2\text{-}sq \vdash 4 \simeq t\}
```

## 2.2 Data-flow Tree to Subgraph

```
fun unary-node :: IRUnaryOp \Rightarrow ID \Rightarrow IRNode where unary-node UnaryAbs v = AbsNode v \mid unary-node UnaryNot v = NotNode v \mid unary-node UnaryNeg v = NegateNode v \mid unary-node UnaryLogicNegation v = LogicNegationNode v \mid unary-node (UnaryNarrow ib rb) v = NarrowNode ib rb v \mid unary-node (UnarySignExtend ib rb) v = SignExtendNode ib rb v \mid unary-node (UnaryZeroExtend ib rb) v = ZeroExtendNode ib rb v
```

```
fun bin-node :: IRBinaryOp \Rightarrow ID \Rightarrow ID \Rightarrow IRNode where bin-node BinAdd x y = AddNode x y | bin-node BinMul x y = MulNode x y | bin-node BinSub x y = SubNode x y | bin-node BinAnd x y = AndNode x y | bin-node BinXor x y = Node x y | bin-node Node N
```

```
\begin{array}{l} \textbf{inductive} \ \textit{fresh-id} :: \textit{IRGraph} \Rightarrow \textit{ID} \Rightarrow \textit{bool} \ \textbf{where} \\ \textit{n} \notin \textit{ids} \ \textit{g} \Longrightarrow \textit{fresh-id} \ \textit{g} \ \textit{n} \\ \\ \textbf{code-pred} \ \textit{fresh-id} \ . \end{array}
```

```
fun get-fresh-id :: IRGraph \Rightarrow ID where get-fresh-id g = last(sorted-list-of-set(ids g)) + 1
```

```
export-code get-fresh-id
value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)
inductive
  unrep :: IRGraph \Rightarrow IRExpr \Rightarrow (IRGraph \times ID) \Rightarrow bool (- \oplus - \leadsto - 55)
   where
  ConstantNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ n 
Vert
    \implies g \oplus (ConstantExpr\ c) \rightsquigarrow (g,\ n)
  ConstantNodeNew:
  \llbracket find-node-and-stamp\ q\ (ConstantNode\ c,\ constantAsStamp\ c) = None;
    n = get\text{-}fresh\text{-}id g;
    g' = add-node n (ConstantNode c, constantAsStamp c) g
    \implies g \oplus (ConstantExpr\ c) \leadsto (g',\ n)
  ParameterNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = Some\ n \rrbracket
    \implies g \oplus (ParameterExpr \ i \ s) \rightsquigarrow (g, \ n) \mid
  ParameterNodeNew:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = None;
    n = get-fresh-id g;
    g' = add-node n (ParameterNode i, s) g
    \implies g \oplus (ParameterExpr \ i \ s) \leadsto (g', \ n) \mid
  Conditional Node Same:
  \llbracket g \oplus ce \leadsto (g2, c);
    g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \rightsquigarrow (g4, f);
    s' = meet (stamp \ g4 \ t) (stamp \ g4 \ f);
    find-node-and-stamp g4 (ConditionalNode c t f, s') = Some n
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g4, n)
  Conditional Node New:\\
  \llbracket g \oplus ce \leadsto (g2, c);
    g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \rightsquigarrow (g4, f);
    s' = meet (stamp g \not\downarrow t) (stamp g \not\downarrow f);
    find-node-and-stamp g4 (ConditionalNode c\ t\ f,\ s') = None;
    n = get-fresh-id g4;
    g' = add-node n (ConditionalNode c t f, s') g4
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g', n) \mid
```

```
UnaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    s' = stamp\text{-}unary op (stamp g2 x);
    find-node-and-stamp \ g2 \ (unary-node \ op \ x, \ s') = Some \ n
    \implies g \oplus (UnaryExpr \ op \ xe) \rightsquigarrow (g2, n)
  UnaryNodeNew:\\
  \llbracket g \oplus xe \leadsto (g2, x);
   s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
    find-node-and-stamp g2 (unary-node op x, s') = None;
    n = get-fresh-id g2;
   g' = add-node n (unary-node op x, s') g2
    \implies g \oplus (UnaryExpr \ op \ xe) \leadsto (g', n)
  BinaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    g2 \oplus ye \rightsquigarrow (g3, y);
    s' = stamp-binary op (stamp g3 x) (stamp g3 y);
    find-node-and-stamp g3 (bin-node op x y, s') = Some n
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g3, n)
  BinaryNodeNew:
  \llbracket g \oplus xe \rightsquigarrow (g2, x);
    g2 \oplus ye \rightsquigarrow (g3, y);
    s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y);
    find-node-and-stamp g3 (bin-node op x y, s') = None;
    n = get-fresh-id g3;
    g' = add-node n (bin-node op x y, s') g3
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g', \ n) \mid
  AllLeafNodes:
  [stamp\ g\ n=s;
    is-preevaluated (kind \ g \ n)
    \implies g \oplus (\textit{LeafExpr} \ n \ s) \leadsto (g, \ n)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ unrep E)
  unrep.
```

```
unrepRules
 find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n
                           g \oplus ConstantExpr c \leadsto (g, n)
  find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None
                                   n = get-fresh-id g
           g' = add-node n (ConstantNode c, constantAsStamp c) g'
                          g \oplus ConstantExpr \ c \leadsto (g', n)
           \mathit{find}\text{-}\mathit{node}\text{-}\mathit{and}\text{-}\mathit{stamp}\ g\ (\mathit{ParameterNode}\ i,\ s) = \mathit{Some}\ n
                         g \oplus ParameterExpr \ i \ s \leadsto (g, \ n)
             find-node-and-stamp g (ParameterNode i, s) = None
       n = get-fresh-id g g' = add-node n (ParameterNode i, s) g
                        g \oplus ParameterExpr i s \leadsto (g', n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
       find-node-and-stamp g4 (ConditionalNode c t f, s) = Some n
                    g \oplus ConditionalExpr \ ce \ \overline{te \ fe} \leadsto (g4, n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
         find-node-and-stamp g4 (ConditionalNode c t f, s') = None
  n = get-fresh-id g4 g' = add-node n (ConditionalNode c t f, s') g4
                     g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g', n)
                            g \oplus xe \leadsto (g2, x)
s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
           find-node-and-stamp g3 (bin-node op x y, s') = Some n
                       g \oplus BinaryExpr \ op \ xe \ ye \leadsto (g3, \ n)
                                  g \oplus xe \leadsto (g2, x)
                               s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
             find-node-and-stamp g3 (bin-node op x y, s') = None
                                   g' = add-node n (bin-node op x y, s') g3
      n = get-fresh-id g3
                       q \oplus BinaryExpr \ op \ xe \ ye \leadsto (q', n)
          g \oplus xe \leadsto (g2, x)
                                    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          find-node-and-stamp g2 (unary-node op x, s') = Some n
                         g \oplus UnaryExpr \ op \ xe \leadsto (g2, n)
                                    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          g \oplus xe \leadsto (g2, x)
            find-node-and-stamp g2 (unary-node op x, s') = None
     n = get-fresh-id g2
                                g' = add-node n (unary-node op x, s') g2
                         g \oplus UnaryExpr \ op \ xe \leadsto (g', n)
                 stamp \ g \ n = s is-preevaluated \ (kind \ g \ n)
                            g \oplus LeafExpr \ n \ s \leadsto (g, n)
```

```
values \{(n, g) : (eg2\text{-}sq \oplus sq\text{-}param0 \leadsto (g, n))\}
```

#### 2.3 Lift Data-flow Tree Semantics

```
definition encodeeval :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow ID \Rightarrow Value \Rightarrow bool ([-,-,-] \vdash - \mapsto - 50) where encodeeval\ g\ m\ p\ n\ v = (\exists\ e.\ (g \vdash n \simeq e) \land ([m,p] \vdash e \mapsto v))
```

#### 2.4 Graph Refinement

```
definition graph-represents-expression :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool (- \vdash - \trianglelefteq - 50) where (g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \land (e' \leq e))
```

**definition** graph-refinement :: 
$$IRGraph \Rightarrow IRGraph \Rightarrow bool$$
 where graph-refinement  $g_1$   $g_2 = ((ids \ g_1 \subseteq ids \ g_2) \land (\forall \ n \ . \ n \in ids \ g_1 \longrightarrow (\forall \ e. \ (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$ 

**lemma** graph-refinement:

```
graph-refinement g1 g2 \Longrightarrow (\forall n \ m \ p \ v. \ n \in ids \ g1 \longrightarrow ([g1, \ m, \ p] \vdash n \mapsto v) \longrightarrow ([g2, \ m, \ p] \vdash n \mapsto v))
```

**by** (meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def)

#### 2.5 Maximal Sharing

```
definition maximal-sharing:
```

```
maximal-sharing g = (\forall n_1 \ n_2 \ . \ n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow (\forall e. \ (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land (stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2))
```

end