# Veriopt

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#### Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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## 1 Runtime Values and Arithmetic

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

```
So each (IntVal b v) should satisfy the invariants:
```

```
b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}
1 < b \Longrightarrow v \equiv scast \ (signed-take-bit \ b \ v)

type-synonym int64 = 64 \ word - long

type-synonym int32 = 32 \ word - long

type-synonym int16 = 16 \ word - long

type-synonym int8 = 8 \ word - long

type-synonym int8 = 8 \ word - long

type-synonym int8 = 10 \ word - long
```

```
\begin{array}{ll} \textbf{datatype} & Value &= \\ & UndefVal \mid \\ & IntVal32 \mid nt32 \mid \\ & IntVal64 \mid nt64 \mid \\ & FloatVal \mid float \mid \\ & ObjRef \mid objref \mid \\ & ObjStr \mid string \end{array}
```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wf-value* function.

```
— Check that a signed int value does not overflow b bits. fun fits-into-n :: nat \Rightarrow int \Rightarrow bool where fits-into-n b val = ((-(2\widehat{\ }(b-1)) \leq val) \land (val < (2\widehat{\ }(b-1))))
```

```
wf-bool (IntVal32 v) = (v = 0 \lor v = 1)
  wf-bool - = False
fun val-to-bool :: Value \Rightarrow bool where
  val-to-bool (IntVal32 v) = (v = 1)
  val-to-bool - = False
fun bool-to-val :: bool \Rightarrow Value where
  bool-to-val True = (Int Val 32 1)
  bool-to-val False = (IntVal32 0)
value sint(word\text{-}of\text{-}int\ (1)\ ::\ int1)
We need to introduce arithmetic operations which agree with the JVM.
Within the JVM, bytecode arithmetic operations are performed on 32 or 64
bit integers, unboxing where appropriate.
The following collection of intval functions correspond to the JVM arith-
metic operations.
fun intval-add32 :: Value \Rightarrow Value \Rightarrow Value where
  intval-add32 \ (IntVal32 \ v1) \ (IntVal32 \ v2) = (IntVal32 \ (v1+v2))
  intval-add32 - - = UndefVal
fun intval\text{-}add64:: Value \Rightarrow Value \Rightarrow Value  where
  intval-add64 \ (IntVal64 \ v1) \ (IntVal64 \ v2) = (IntVal64 \ (v1+v2)) \ |
  intval-add64 - - = UndefVal
fun intval-add :: Value \Rightarrow Value \Rightarrow Value where
  intval-add (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1+v2))
  intval-add (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1+v2)) |
  intval-add - - = UndefVal
instantiation Value :: plus
begin
definition plus-Value :: Value \Rightarrow Value \Rightarrow Value where
 plus-Value = intval-add
instance \langle proof \rangle
end
```

**fun** wf-bool ::  $Value \Rightarrow bool$  **where** 

```
fun intval-sub :: Value \Rightarrow Value \Rightarrow Value where
  intval-sub (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1-v2))\ |
  intval-sub (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1-v2)) |
  intval-sub - - = UndefVal
instantiation Value :: minus
begin
definition minus-Value :: Value \Rightarrow Value \Rightarrow Value where
  minus-Value = intval-sub
instance \langle proof \rangle
end
fun intval-mul :: Value \Rightarrow Value \Rightarrow Value where
  intval-mul (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1*v2))
  intval-mul (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1*v2))
  intval-mul - - = UndefVal
instantiation Value :: times
begin
definition times-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  times-Value = intval-mul
instance \langle proof \rangle
end
fun intval-div :: Value \Rightarrow Value \Rightarrow Value where
  intval-div \ (IntVal32 \ v1) \ (IntVal32 \ v2) = (IntVal32 \ (word-of-int((sint \ v1) \ sdiv)) \ (val32 \ v2) \ (word-of-int((sint \ v1) \ sdiv))
(sint \ v2)))) \mid
  intval-div (IntVal64 v1) (IntVal64 v2) = (IntVal64 (word-of-int((sint v1) sdiv)))
(sint \ v2)))) \mid
  intval-div - - = UndefVal
instantiation Value :: divide
begin
definition divide-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  divide-Value = intval-div
instance \langle proof \rangle
end
fun intval-mod :: Value \Rightarrow Value \Rightarrow Value where
```

```
intval-mod\ (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (word-of-int((sint\ v1)\ smod\ v2))
(sint \ v2)))) \mid
  intval-mod\ (IntVal64\ v1)\ (IntVal64\ v2) = (IntVal64\ (word-of-int((sint\ v1)\ smod\ v2))
(sint \ v2)))) \mid
  intval-mod - - = UndefVal
instantiation Value :: modulo
begin
definition modulo-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
 modulo	ext{-}Value = intval	ext{-}mod
instance \langle proof \rangle
end
fun intval-and :: Value \Rightarrow Value \Rightarrow Value (infix &&* 64) where
  intval-and (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 AND v2)) |
  intval-and (IntVal64\ v1)\ (IntVal64\ v2) = (IntVal64\ (v1\ AND\ v2))\ |
  intval-and - - = UndefVal
fun intval-or :: Value \Rightarrow Value \Rightarrow Value (infix ||* 59) where
  intval-or (IntVal32\ v1)\ (IntVal32\ v2) = (IntVal32\ (v1\ OR\ v2))
  intval-or (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 OR v2))
  intval-or - - = UndefVal
fun intval-xor :: Value \Rightarrow Value \Rightarrow Value (infix <math>\hat{} * 59) where
  intval-xor (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 XOR v2))
  intval-xor (IntVal64 \ v1) \ (IntVal64 \ v2) = (IntVal64 \ (v1 \ XOR \ v2)) \ |
  intval-xor - - = UndefVal
fun intval-equals :: Value \Rightarrow Value \Rightarrow Value where
  intval-equals (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 = v2)
  intval-equals (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 = v2)
  intval-equals - - = UndefVal
fun intval-less-than :: Value \Rightarrow Value \Rightarrow Value where
  intval-less-than (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 < s v2)
  intval-less-than (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 < s v2) |
  intval-less-than - - = UndefVal
fun intval\text{-}below :: Value <math>\Rightarrow Value \Rightarrow Value where
  intval-below (IntVal32 v1) (IntVal32 v2) = bool-to-val (v1 < v2)
  intval-below (IntVal64 v1) (IntVal64 v2) = bool-to-val (v1 < v2)
  intval-below - - = UndefVal
```

fun intval-not ::  $Value \Rightarrow Value$  where

```
intval-not (IntVal32 \ v) = (IntVal32 \ (NOT \ v))
  intval-not (IntVal64\ v) = (IntVal64\ (NOT\ v))
  intval-not - = UndefVal
fun intval-negate :: Value \Rightarrow Value where
  intval-negate (IntVal32\ v) = IntVal32\ (-\ v)\ |
  intval-negate (IntVal64 \ v) = IntVal64 \ (-v) \ |
  intval\text{-}negate -= UndefVal
\mathbf{fun} \ \mathit{intval-abs} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \ \mathbf{where}
  intval-abs\ (IntVal32\ v) = (if\ (v) < s\ 0\ then\ (IntVal32\ (-\ v))\ else\ (IntVal32\ v))\ |
  intval-abs\ (IntVal64\ v) = (if\ (v) < s\ 0\ then\ (IntVal64\ (-v))\ else\ (IntVal64\ v))\ |
  intval-abs -= UndefVal
lemma word-add-sym:
 shows word-of-int v1 + word-of-int v2 = word-of-int v2 + word-of-int v1
  \langle proof \rangle
lemma intval-add-sym:
  shows intval-add a b = intval-add b a
  \langle proof \rangle
\mathbf{lemma}\ \textit{word-add-assoc} :
  shows (word\text{-}of\text{-}int \ v1 + word\text{-}of\text{-}int \ v2) + word\text{-}of\text{-}int \ v3)
       = word-of-int v1 + (word-of-int v2 + word-of-int v3)
  \langle proof \rangle
lemma intval-bad1 [simp]: intval-add (IntVal32\ x)\ (IntVal64\ y) = UndefVal
lemma intval-bad2 [simp]: intval-add (IntVal64 x) (IntVal32 y) = UndefVal
  \langle proof \rangle
lemma intval-assoc: intval-add32 (intval-add32 xy) z = intval-add32 x (intval-add32
y z
 \langle proof \rangle
code-deps intval-add
code-thms intval-add
```

```
 \begin{array}{l} \textbf{lemma} \ intval\text{-}add \ (IntVal32 \ (2^31-1)) \ (IntVal32 \ (2^31-1)) = IntVal32 \ (-2) \\ \langle proof \rangle \\ \textbf{lemma} \ intval\text{-}add \ (IntVal64 \ (2^31-1)) \ (IntVal64 \ (2^31-1)) = IntVal64 \ 4294967294 \\ \langle proof \rangle \\ \end{array}
```

end

### 2 Nodes

#### 2.1 Types of Nodes

```
\begin{array}{c} \textbf{theory} \ IRNodes2\\ \textbf{imports}\\ \textit{Values2}\\ \textbf{begin} \end{array}
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs\_of and successors\_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat

type-synonym INPUT = ID

type-synonym INPUT-ASSOC = ID

type-synonym INPUT-STATE = ID

type-synonym INPUT-GUARD = ID

type-synonym INPUT-COND = ID

type-synonym INPUT-EXT = ID

type-synonym SUCC = ID
```

```
 \begin{array}{l} \textbf{datatype} \ (\textit{discs-sels}) \ \textit{IRNode} = \\ \textit{AbsNode} \ (\textit{ir-value: INPUT}) \\ | \ \textit{AddNode} \ (\textit{ir-x: INPUT}) \ (\textit{ir-y: INPUT}) \\ | \ \textit{AndNode} \ (\textit{ir-x: INPUT}) \ (\textit{ir-y: INPUT}) \\ | \ \textit{BeginNode} \ (\textit{ir-next: SUCC}) \end{array}
```

```
| BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
 | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
 | ConstantNode (ir-const: Value)
 | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:
INPUT-STATE list option)
| IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC
   IntegerBelowNode (ir-x: INPUT) (ir-y: INPUT)
   IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
  IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
   InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC)
| InvokeWithExceptionNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt:
INPUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC) (ir-exceptionEdge: SUCC)
   IsNullNode (ir-value: INPUT)
 | KillingBeginNode (ir-next: SUCC)
  | LoadFieldNode (ir-nid: ID) (ir-field: string) (ir-object-opt: INPUT option)
(ir-next: SUCC)
 | LogicNegationNode (ir-value: INPUT-COND)|
 | LoopBeginNode (ir-ends: INPUT-ASSOC list) (ir-overflowGuard-opt: INPUT-GUARD
option) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | LoopEndNode (ir-loopBegin: INPUT-ASSOC)
 |\ Loop Exit Node\ (ir\ -loop Begin:\ INPUT-ASSOC)\ (ir\ -state After\ -opt:\ INPUT-STATE)|
option) (ir-next: SUCC)
  | MergeNode (ir-ends: INPUT-ASSOC list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
   MethodCallTargetNode (ir-targetMethod: string) (ir-arguments: INPUT list)
   MulNode (ir-x: INPUT) (ir-y: INPUT)
   NegateNode (ir-value: INPUT)
  NewArrayNode (ir-length: INPUT) (ir-stateBefore-opt: INPUT-STATE option)
(ir-next: SUCC)
  NewInstanceNode (ir-nid: ID) (ir-instanceClass: string) (ir-stateBefore-opt: IN-
PUT-STATE option) (ir-next: SUCC)
  NotNode (ir-value: INPUT)
   OrNode (ir-x: INPUT) (ir-y: INPUT)
   ParameterNode (ir-index: nat)
  PiNode (ir-object: INPUT) (ir-guard-opt: INPUT-GUARD option)
  ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
```

```
ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
  SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  | SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
   SubNode (ir-x: INPUT) (ir-y: INPUT)
   UnwindNode (ir-exception: INPUT)
   ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
   ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
   XorNode (ir-x: INPUT) (ir-y: INPUT)
   NoNode
 | RefNode (ir-ref:ID)
fun opt-to-list :: 'a option \Rightarrow 'a list where
 opt-to-list None = [] |
 opt-to-list (Some \ v) = [v]
fun opt-list-to-list :: 'a list option \Rightarrow 'a list where
 opt-list-to-list None = [] |
 opt-list-to-list (Some \ x) = x
The following functions, inputs_of and successors_of, are automatically gen-
erated from the GraalVM compiler. Their purpose is to partition the node
edges into input or successor edges.
fun inputs-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 inputs-of-AbsNode:
 inputs-of (AbsNode value) = [value]
 inputs-of-AddNode:
 inputs-of (AddNode \ x \ y) = [x, \ y] \mid
 inputs-of-AndNode:
 inputs-of (AndNode\ x\ y) = [x,\ y]
 inputs-of-BeginNode:
 inputs-of (BeginNode next) = [] |
 inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter)
 inputs-of-Conditional Node:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-option = falseValue]
Value, falseValue
```

```
inputs-of-ConstantNode:
 inputs-of (ConstantNode \ const) = [] |
 inputs-of-DynamicNewArrayNode:
  inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
 inputs-of-EndNode:
 inputs-of (EndNode) = [] |
 inputs-of-ExceptionObjectNode:
 inputs-of\ (ExceptionObjectNode\ stateAfter\ next) = (opt-to-list\ stateAfter)\ |
 inputs-of	ext{-}FrameState:
 inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
virtualObjectMappings)
 inputs-of-IfNode:
 inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition]
 inputs-of-IntegerBelowNode:
 inputs-of\ (IntegerBelowNode\ x\ y) = [x,\ y]\ |
 inputs-of-IntegerEqualsNode:
 inputs-of\ (IntegerEqualsNode\ x\ y) = [x,\ y]
 inputs-of-IntegerLessThanNode:
 inputs-of\ (IntegerLessThanNode\ x\ y) = [x,\ y]\ |
 inputs-of-InvokeNode:
  inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list
stateAfter)
 inputs-of-Invoke\ With Exception Node:
 inputs-of (Invoke With Exception Node nid0 call Target class Init state During state After
next\ exceptionEdge) = callTarget\ \#\ (opt\text{-}to\text{-}list\ classInit)\ @\ (opt\text{-}to\text{-}list\ stateDur-
ing) @ (opt-to-list stateAfter) |
 inputs-of-IsNullNode:
 inputs-of (IsNullNode value) = [value]
 inputs-of-KillingBeginNode:
 inputs-of (KillingBeginNode next) = []
 inputs-of-LoadFieldNode:
 inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object) |
 inputs-of-LogicNegationNode:
 inputs-of (LogicNegationNode \ value) = [value]
 inputs-of-LoopBeginNode:
 inputs-of\ (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = ends\ @\ (opt-to-list
overflowGuard) @ (opt-to-list stateAfter) |
 inputs-of-LoopEndNode:
 inputs-of\ (LoopEndNode\ loopBegin) = [loopBegin]
 inputs-of-LoopExitNode:
  inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list
stateAfter) |
 inputs-of-MergeNode:
 inputs-of\ (MergeNode\ ends\ stateAfter\ next) = ends\ @\ (opt-to-list\ stateAfter)\ |
 inputs-of-MethodCallTargetNode:
```

```
inputs-of\ (MethodCallTargetNode\ targetMethod\ arguments) = arguments
 inputs-of-MulNode:
 inputs-of (MulNode x y) = [x, y] \mid
 inputs-of-NegateNode:
 inputs-of (NegateNode value) = [value]
 inputs-of-NewArrayNode:
 inputs-of (NewArrayNode\ length0\ stateBefore\ next) = length0\ \#\ (opt-to-list\ state-
Before) \mid
 inputs-of-NewInstanceNode:
 inputs-of\ (NewInstanceNode\ nid0\ instanceClass\ stateBefore\ next)=(opt-to-list
stateBefore)
 inputs-of-NotNode:
 inputs-of (NotNode value) = [value]
 inputs-of-OrNode:
 inputs-of (OrNode \ x \ y) = [x, \ y] \mid
 inputs-of-ParameterNode:
 inputs-of (ParameterNode index) = []
 inputs-of-PiNode:
 inputs-of\ (PiNode\ object\ guard) = object\ \#\ (opt-to-list\ guard)
 inputs-of-ReturnNode:
  inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap) \mid
 inputs-of-ShortCircuitOrNode:
 inputs-of\ (ShortCircuitOrNode\ x\ y) = [x,\ y]\ |
 inputs-of	ext{-}SignedDivNode:
  inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-SignedRemNode:
  inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-StartNode:
 inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter)
 inputs-of	ext{-}StoreFieldNode:
  inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt-to-list stateAfter) @ (opt-to-list object)
 inputs-of-SubNode:
 inputs-of\ (SubNode\ x\ y) = [x,\ y]\ |
 inputs-of-UnwindNode:
 inputs-of (UnwindNode exception) = [exception]
 inputs-of-ValuePhiNode:
 inputs-of (ValuePhiNode nid values merge) = merge # values |
 inputs-of-ValueProxyNode:
 inputs-of\ (ValueProxyNode\ value\ loopExit) = [value,\ loopExit]
 inputs-of-XorNode:
 inputs-of\ (XorNode\ x\ y) = [x,\ y]\ |
 inputs-of-NoNode: inputs-of (NoNode) = []
 inputs-of-RefNode: inputs-of (RefNode ref) = [ref]
```

```
fun successors-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 successors-of-AbsNode:
 successors-of (AbsNode\ value) = []
 successors-of-AddNode:
 successors-of (AddNode x y) = [] |
 successors-of-AndNode:
 successors-of (AndNode\ x\ y) = []
 successors-of-BeginNode:
 successors-of (BeginNode\ next) = [next]
 successors-of-BytecodeExceptionNode:
 successors-of (BytecodeExceptionNode\ arguments\ stateAfter\ next) = [next]
 successors-of-ConditionalNode:
 successors-of (ConditionalNode condition trueValue\ falseValue) = []
 successors-of-ConstantNode:
 successors-of (ConstantNode\ const) = []
 successors-of-DynamicNewArrayNode:
 successors-of (DynamicNewArrayNode\ elementType\ length0\ voidClass\ stateBefore
next) = [next]
 successors-of-EndNode:
 successors-of\ (EndNode) = []
 successors-of-ExceptionObjectNode:
 successors-of (ExceptionObjectNode\ stateAfter\ next) = [next]
 successors-of-FrameState:
 successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
 successors-of-IfNode:
 successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor
 successors-of-IntegerBelowNode:
 successors-of (IntegerBelowNode\ x\ y) = []
 successors-of-IntegerEqualsNode:
 successors-of (IntegerEqualsNode\ x\ y) = []
 successors-of-IntegerLessThanNode:
 successors-of (IntegerLessThanNode\ x\ y) = []
 successors-of-InvokeNode:
 successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next]
 successors-of-Invoke With Exception Node:
  successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter\ next\ exceptionEdge) = [next,\ exceptionEdge]
 successors-of-IsNullNode:
 successors-of (IsNullNode\ value) = []
 successors-of-KillingBeginNode:
 successors-of (KillingBeginNode\ next) = [next]
 successors-of-LoadFieldNode:
 successors-of (LoadFieldNode nid0 field object next) = [next]
 successors-of-LogicNegationNode:
```

```
successors-of (LogicNegationNode\ value) = []
successors-of-LoopBeginNode:
successors-of\ (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next)=\lceil next \rceil
successors-of-LoopEndNode:
successors-of (LoopEndNode\ loopBegin) = []
successors-of-LoopExitNode:
successors-of (LoopExitNode\ loopBegin\ stateAfter\ next) = [next]
successors-of-MergeNode:
successors-of (MergeNode\ ends\ stateAfter\ next) = [next]
successors-of-MethodCallTargetNode:
successors-of (MethodCallTargetNode\ targetMethod\ arguments) = []
successors-of-MulNode:
successors-of (MulNode\ x\ y) = []
successors-of-NegateNode:
successors-of (NegateNode\ value) = []
successors-of-NewArrayNode:
successors-of (NewArrayNode\ length0\ stateBefore\ next) = [next]
successors-of-NewInstanceNode:
successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next]
successors-of-NotNode:
successors-of (NotNode value) = [] |
successors-of-OrNode:
successors-of (OrNode \ x \ y) = [] 
successors-of-ParameterNode:
successors-of\ (ParameterNode\ index) = [] |
successors-of-PiNode:
successors-of (PiNode object guard) = [] |
successors-of-ReturnNode:
successors-of (ReturnNode\ result\ memoryMap) = []
successors-of-ShortCircuitOrNode:
successors-of (ShortCircuitOrNode\ x\ y) = []
successors-of-SignedDivNode:
successors-of (SignedDivNode\ nid0\ x\ y\ zeroCheck\ stateBefore\ next) = [next]
successors-of-SignedRemNode:
successors-of (SignedRemNode\ nid0\ x\ y\ zeroCheck\ stateBefore\ next) = [next]
successors-of-StartNode:
successors-of (StartNode\ stateAfter\ next) = [next]
successors-of-StoreFieldNode:
successors-of (StoreFieldNode nid0 field value stateAfter\ object\ next) = [next]
successors-of-SubNode:
successors-of (SubNode x y) = [] |
successors-of-UnwindNode:
successors-of (UnwindNode\ exception) = [] |
successors-of-ValuePhiNode:
successors-of (ValuePhiNode nid0 values merge) = []
successors-of-ValueProxyNode:
successors-of (ValueProxyNode\ value\ loopExit) = []
successors-of-XorNode:
successors-of\ (XorNode\ x\ y) = []\ |
```

```
successors-of-NoNode: successors-of\ (NoNode) = [] \mid successors-of-RefNode: successors-of\ (RefNode\ ref) = [ref] \mathbf{lemma}\ inputs-of\ (FrameState\ x\ (Some\ y)\ (Some\ z)\ None) = x\ @\ [y]\ @\ z \ \langle proof \rangle \mathbf{lemma}\ successors-of\ (FrameState\ x\ (Some\ y)\ (Some\ z)\ None) = [] \ \langle proof \rangle \mathbf{lemma}\ inputs-of\ (IfNode\ c\ t\ f) = [c] \ \langle proof \rangle \mathbf{lemma}\ inputs-of\ (EndNode) = [] \ \wedge\ successors-of\ (EndNode) = [] \ \langle proof \rangle \mathbf{lemma}\ inputs-of\ (EndNode) = [] \ \wedge\ successors-of\ (EndNode) = [] \ \langle proof \rangle \mathbf{end}
```

### 2.2 Hierarchy of Nodes

theory IRNodeHierarchy imports IRNodes2 begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is <ClassName >Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode \Rightarrow bool where is-EndNode EndNode = True \mid is-EndNode - = False fun is-ControlSinkNode :: IRNode <math>\Rightarrow bool where is-ControlSinkNode <math>n = ((is-ReturnNode \ n) \lor (is-UnwindNode \ n)) fun is-AbstractMergeNode :: IRNode <math>\Rightarrow bool where is-AbstractMergeNode \ n = ((is-LoopBeginNode \ n) \lor (is-MergeNode \ n))
```

```
fun is-BeginStateSplitNode :: IRNode <math>\Rightarrow bool where
   \textit{is-BeginStateSplitNode} \ n = ((\textit{is-AbstractMergeNode} \ n) \ \lor \ (\textit{is-ExceptionObjectNode} \ n) \ \lor \ (\textit{is-ExceptNode} \ n) \ \lor \ (\textit{is-
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}StartNode\ n))
fun is-AbstractBeginNode :: IRNode <math>\Rightarrow bool where
      is-AbstractBeginNode n = ((is-BeginNode n) \lor (is-BeginStateSplitNode n) \lor
(is\text{-}KillingBeginNode\ n))
fun is-AbstractNewArrayNode :: IRNode <math>\Rightarrow bool where
   is-AbstractNewArrayNode \ n = ((is-DynamicNewArrayNode \ n) \lor (is-NewArrayNode \ n)
n))
fun is-AbstractNewObjectNode :: IRNode <math>\Rightarrow bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n) \lor (is-NewInstanceNode
n))
fun is-IntegerDivRemNode :: IRNode \Rightarrow bool where
    is-IntegerDivRemNode n = ((is-SignedDivNode n) \lor (is-SignedRemNode n))
fun is-FixedBinaryNode :: IRNode <math>\Rightarrow bool where
    is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
fun is-DeoptimizingFixedWithNextNode :: IRNode \Rightarrow bool where
   is-DeoptimizingFixedWithNextNode\ n = ((is-AbstractNewObjectNode\ n) \lor (is-FixedBinaryNode
n))
fun is-AbstractMemoryCheckpoint :: IRNode \Rightarrow bool where
  is-AbstractMemoryCheckpoint n=((is-BytecodeExceptionNode n) \lor (is-InvokeNode
n))
fun is-AbstractStateSplit :: IRNode <math>\Rightarrow bool where
    is-AbstractStateSplit \ n = ((is-AbstractMemoryCheckpoint \ n))
fun is-AccessFieldNode :: IRNode <math>\Rightarrow bool where
    is-AccessFieldNode n = ((is-LoadFieldNode n) \lor (is-StoreFieldNode n))
fun is-FixedWithNextNode :: IRNode <math>\Rightarrow bool where
    is-FixedWithNextNode n = ((is-AbstractBeginNode n) \lor (is-AbstractStateSplit n)
\vee (is-AccessFieldNode n) \vee (is-DeoptimizingFixedWithNextNode n))
fun is-WithExceptionNode :: IRNode \Rightarrow bool where
    is-WithExceptionNode\ n=((is-InvokeWithExceptionNode\ n))
fun is-ControlSplitNode :: IRNode <math>\Rightarrow bool where
    is-ControlSplitNode n = ((is-IfNode n) \lor (is-WithExceptionNode n))
fun is-AbstractEndNode :: IRNode \Rightarrow bool where
    is-AbstractEndNode n = ((is-EndNode n) \lor (is-LoopEndNode n))
```

```
fun is-FixedNode :: IRNode <math>\Rightarrow bool where
   is	ext{-}FixedNode\ n = ((is	ext{-}AbstractEndNode\ n) \lor (is	ext{-}ControlSinkNode\ n) \lor (is	ext{-}ControlSplitNode\ n)
n) \lor (is\text{-}FixedWithNextNode} n))
fun is-FloatingGuardedNode :: IRNode \Rightarrow bool where
     is-FloatingGuardedNode n = ((is-PiNode n))
fun is-UnaryArithmeticNode :: IRNode \Rightarrow bool where
    \textit{is-UnaryArithmeticNode}\ n = ((\textit{is-AbsNode}\ n) \lor (\textit{is-NegateNode}\ n) \lor (\textit{is-NotNode}\ n) \lor (\textit{is-Node}\ n) \lor (\textit{is-NotNode}\ n) \lor (\textit{is-Node}\ n) \lor (\textit
n))
fun is-UnaryNode :: IRNode <math>\Rightarrow bool where
     is-UnaryNode n = ((is-UnaryArithmeticNode n))
fun is-BinaryArithmeticNode :: IRNode <math>\Rightarrow bool where
     is-BinaryArithmeticNode n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-MulNode
n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-BinaryNode :: IRNode <math>\Rightarrow bool where
     is-BinaryNode n = ((is-BinaryArithmeticNode n))
fun is-PhiNode :: IRNode <math>\Rightarrow bool where
     is-PhiNode \ n = ((is-ValuePhiNode \ n))
fun is-IntegerLowerThanNode :: IRNode \Rightarrow bool where
   is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n) \lor (is-IntegerBelowNode
n))
fun is-CompareNode :: IRNode <math>\Rightarrow bool where
    is-CompareNode n = ((is-IntegerEqualsNode n) \lor (is-IntegerLowerThanNode n))
fun is-BinaryOpLogicNode :: IRNode <math>\Rightarrow bool where
     is-BinaryOpLogicNode n = ((is-CompareNode n))
fun is-UnaryOpLogicNode :: IRNode <math>\Rightarrow bool where
     is-UnaryOpLogicNode n = ((is-IsNullNode n))
fun is-LogicNode :: IRNode \Rightarrow bool where
       is-LogicNode n = ((is-BinaryOpLogicNode n) \lor (is-LogicNegationNode n) \lor
(is	ext{-}ShortCircuitOrNode\ n) \lor (is	ext{-}UnaryOpLogicNode\ n))
fun is-ProxyNode :: IRNode <math>\Rightarrow bool where
     is-ProxyNode n = ((is-ValueProxyNode n))
fun is-AbstractLocalNode :: IRNode <math>\Rightarrow bool where
     is-AbstractLocalNode \ n = ((is-ParameterNode \ n))
fun is-FloatingNode :: IRNode <math>\Rightarrow bool where
   is-FloatingNode n = ((is-AbstractLocalNode n) \lor (is-BinaryNode n) \lor (is-ConditionalNode
```

```
n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}FloatingGuardedNode\ n) \lor (is\text{-}LogicNode\ n) \lor
(is-PhiNode\ n) \lor (is-ProxyNode\ n) \lor (is-UnaryNode\ n))
fun is-CallTargetNode :: IRNode <math>\Rightarrow bool where
 is-CallTargetNode n = ((is-MethodCallTargetNode n))
fun is-ValueNode :: IRNode <math>\Rightarrow bool where
  is-ValueNode n = ((is-CallTargetNode n) \lor (is-FixedNode n) \lor (is-FloatingNode
n))
fun is-VirtualState :: IRNode <math>\Rightarrow bool where
  is-VirtualState n = ((is-FrameState n))
fun is-Node :: IRNode \Rightarrow bool where
  is-Node n = ((is-ValueNode n) \lor (is-VirtualState n))
fun is-MemoryKill :: IRNode \Rightarrow bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))
fun is-NarrowableArithmeticNode :: IRNode \Rightarrow bool where
 is-NarrowableArithmeticNode n = ((is-AbsNode n) \lor (is-AddNode n) \lor (is-AndNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}NegateNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}OrNode\ n) \lor
(is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-AnchoringNode :: IRNode <math>\Rightarrow bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))
fun is-DeoptBefore :: IRNode <math>\Rightarrow bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))
fun is-IndirectCanonicalization :: IRNode \Rightarrow bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))
fun is-IterableNodeType :: IRNode <math>\Rightarrow bool where
 is-IterableNodeType n = ((is-AbstractBeginNode n) \lor (is-AbstractMergeNode n) \lor
(is	ext{-}FrameState\ n) \lor (is	ext{-}IfNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n) \lor (is	ext{-}InvokeWithExceptionNode\ n)
n) \lor (is\text{-}LoopBeginNode\ n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n)
\lor (is-ParameterNode n) \lor (is-ReturnNode n) \lor (is-ShortCircuitOrNode n))
fun is-Invoke :: IRNode \Rightarrow bool where
  is-Invoke n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode n))
fun is-Proxy :: IRNode \Rightarrow bool where
  is-Proxy n = ((is-ProxyNode n))
fun is-ValueProxy :: IRNode \Rightarrow bool where
  is-ValueProxy n = ((is-PiNode n) \lor (is-ValueProxyNode n))
fun is-ValueNodeInterface :: IRNode \Rightarrow bool where
```

```
is-ValueNodeInterface n = ((is-ValueNode n))
fun is-ArrayLengthProvider :: IRNode <math>\Rightarrow bool where
    is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) \lor (is-ConstantNode
n))
fun is-StampInverter :: IRNode \Rightarrow bool where
    is-StampInverter n = ((is-NegateNode n) \lor (is-NotNode n))
fun is-GuardingNode :: IRNode <math>\Rightarrow bool where
    is-GuardingNode n = ((is-AbstractBeginNode n))
fun is-SingleMemoryKill :: IRNode <math>\Rightarrow bool where
  is\text{-}Single Memory Kill \ n = ((is\text{-}Bytecode Exception Node \ n) \lor (is\text{-}Exception Object Node \
n) \lor (is\text{-}InvokeNode\ n) \lor (is\text{-}InvokeWithExceptionNode\ n) \lor (is\text{-}KillingBeginNode\ n)
n) \vee (is\text{-}StartNode\ n))
fun is-LIRLowerable :: IRNode <math>\Rightarrow bool where
     is\text{-}LIRLowerable \ n = ((is\text{-}AbstractBeginNode \ n) \lor (is\text{-}AbstractEndNode \ n) \lor
(is-AbstractMergeNode\ n) \lor (is-BinaryOpLogicNode\ n) \lor (is-CallTargetNode\ n) \lor
(is\text{-}ConditionalNode\ n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}IfNode\ n) \lor (is\text{-}InvokeNode\ n)
\lor (is-InvokeWithExceptionNode n) \lor (is-IsNullNode n) \lor (is-LoopBeginNode n) \lor
(is\text{-}PiNode\ n) \lor (is\text{-}ReturnNode\ n) \lor (is\text{-}SignedDivNode\ n) \lor (is\text{-}SignedRemNode\ n)
n) \lor (is\text{-}UnaryOpLogicNode\ n) \lor (is\text{-}UnwindNode\ n))
fun is-GuardedNode :: IRNode <math>\Rightarrow bool where
    is-GuardedNode n = ((is-FloatingGuardedNode n))
fun is-ArithmeticLIRLowerable :: IRNode \Rightarrow bool where
    is-ArithmeticLIRLowerable n = ((is-AbsNode n) \lor (is-BinaryArithmeticNode n)
\vee (is\text{-}NotNode\ n) \vee (is\text{-}UnaryArithmeticNode\ n))
fun is-SwitchFoldable :: IRNode <math>\Rightarrow bool where
    is-SwitchFoldable n = ((is-IfNode n))
\mathbf{fun} \ \mathit{is-VirtualizableAllocation} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
   is-VirtualizableAllocation n = ((is-NewArrayNode n) \lor (is-NewInstanceNode n))
fun is-Unary :: IRNode \Rightarrow bool where
   is-Unary n = ((is-LoadFieldNode n) \lor (is-LoqicNegationNode n) \lor (is-UnaryNode
n) \lor (is\text{-}UnaryOpLogicNode } n))
fun is-FixedNodeInterface :: IRNode <math>\Rightarrow bool where
    is-FixedNodeInterface n = ((is-FixedNode n))
fun is-BinaryCommutative :: IRNode <math>\Rightarrow bool where
   is-Binary Commutative n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-IntegerEqualsNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}XorNode\ n))
```

```
fun is-Canonicalizable :: IRNode \Rightarrow bool where
   \textit{is-Canonicalizable} \ n = ((\textit{is-BytecodeExceptionNode} \ n) \ \lor (\textit{is-ConditionalNode} \ 
(is-DynamicNewArrayNode\ n) \lor (is-PhiNode\ n) \lor (is-PiNode\ n) \lor (is-ProxyNode\ n)
n) \lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-UncheckedInterfaceProvider :: IRNode \Rightarrow bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode
n) \vee (is\text{-}LoadFieldNode\ n) \vee (is\text{-}ParameterNode\ n))
fun is-Binary :: IRNode \Rightarrow bool where
  is-Binary n = ((is-Binary Arithmetic Node n) \lor (is-Binary Node n) \lor (is-Binary OpLogic Node
n) \lor (is\text{-}CompareNode\ n) \lor (is\text{-}FixedBinaryNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
fun is-ArithmeticOperation :: IRNode \Rightarrow bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) \lor (is-UnaryArithmeticNode
n))
fun is-ValueNumberable :: IRNode \Rightarrow bool where
    is-ValueNumberable n = ((is-FloatingNode n) \lor (is-ProxyNode n))
fun is-Lowerable :: IRNode \Rightarrow bool where
      is-Lowerable n = ((is-AbstractNewObjectNode n) \lor (is-AccessFieldNode n) \lor
(is	ext{-}BytecodeExceptionNode\ n) \lor (is	ext{-}ExceptionObjectNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n)
n) \lor (is\text{-}UnwindNode\ n))
fun is-Virtualizable :: IRNode <math>\Rightarrow bool where
    is-Virtualizable n = ((is-IsNullNode n) \lor (is-LoadFieldNode n) \lor (is-PiNode n)
\lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-Simplifiable :: IRNode \Rightarrow bool where
     is-Simplifiable n = ((is-AbstractMergeNode n) \lor (is-BeginNode n) \lor (is-IfNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n) \lor (is\text{-}NewArrayNode\ n))
fun is-StateSplit :: IRNode <math>\Rightarrow bool where
  is-StateSplit n = ((is-AbstractStateSplit n) \lor (is-BeginStateSplitNode n) \lor (is-StoreFieldNode
n))
fun is-sequential-node :: IRNode \Rightarrow bool where
    is-sequential-node (StartNode - -) = True
    is-sequential-node (BeginNode -) = True |
    is-sequential-node (KillingBeginNode -) = True
    is-sequential-node (LoopBeginNode - - - - - - - = True \mid
    is-sequential-node (LoopExitNode - - - -) = True
    is-sequential-node (MergeNode - - -) = True
    is-sequential-node (RefNode -) = True
    is-sequential-node - = False
```

The following convenience function is useful in determining if two IRNodes

are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```
fun is-same-ir-node-type :: IRNode \Rightarrow IRNode \Rightarrow bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode \ n1) \land (is-AbsNode \ n2)) \lor
  ((is-AddNode\ n1) \land (is-AddNode\ n2)) \lor
  ((is-AndNode \ n1) \land (is-AndNode \ n2)) \lor
  ((is\text{-}BeginNode\ n1) \land (is\text{-}BeginNode\ n2)) \lor
  ((is-BytecodeExceptionNode\ n1) \land (is-BytecodeExceptionNode\ n2)) \lor
  ((is-ConditionalNode\ n1) \land (is-ConditionalNode\ n2)) \lor
  ((is\text{-}ConstantNode\ n1) \land (is\text{-}ConstantNode\ n2)) \lor
  ((is-DynamicNewArrayNode\ n1) \land (is-DynamicNewArrayNode\ n2)) \lor
  ((is\text{-}EndNode\ n1) \land (is\text{-}EndNode\ n2)) \lor
  ((is\text{-}ExceptionObjectNode\ n1) \land (is\text{-}ExceptionObjectNode\ n2)) \lor
  ((is\text{-}FrameState \ n1) \land (is\text{-}FrameState \ n2)) \lor
  ((is\text{-}IfNode\ n1) \land (is\text{-}IfNode\ n2)) \lor
  ((is\text{-}IntegerBelowNode\ n1) \land (is\text{-}IntegerBelowNode\ n2)) \lor
  ((is-IntegerEqualsNode\ n1) \land (is-IntegerEqualsNode\ n2)) \lor
  ((is-IntegerLessThanNode\ n1) \land (is-IntegerLessThanNode\ n2)) \lor
  ((is\text{-}InvokeNode\ n1) \land (is\text{-}InvokeNode\ n2)) \lor
  ((is\text{-}InvokeWithExceptionNode\ n1) \land (is\text{-}InvokeWithExceptionNode\ n2)) \lor
  ((is\text{-}IsNullNode\ n1) \land (is\text{-}IsNullNode\ n2)) \lor
  ((is\text{-}KillingBeginNode\ n1) \land (is\text{-}KillingBeginNode\ n2)) \lor
  ((is\text{-}LoadFieldNode\ n1) \land (is\text{-}LoadFieldNode\ n2)) \lor
  ((is\text{-}LogicNegationNode\ n1) \land (is\text{-}LogicNegationNode\ n2)) \lor
  ((is\text{-}LoopBeginNode\ n1) \land (is\text{-}LoopBeginNode\ n2)) \lor
  ((is\text{-}LoopEndNode\ n1) \land (is\text{-}LoopEndNode\ n2)) \lor
  ((is\text{-}LoopExitNode\ n1) \land (is\text{-}LoopExitNode\ n2)) \lor
  ((is\text{-}MergeNode\ n1) \land (is\text{-}MergeNode\ n2)) \lor
  ((is-MethodCallTargetNode\ n1) \land (is-MethodCallTargetNode\ n2)) \lor
  ((is\text{-}MulNode\ n1) \land (is\text{-}MulNode\ n2)) \lor
  ((is\text{-}NegateNode\ n1) \land (is\text{-}NegateNode\ n2)) \lor
  ((is\text{-}NewArrayNode\ n1) \land (is\text{-}NewArrayNode\ n2)) \lor
  ((is-NewInstanceNode\ n1) \land (is-NewInstanceNode\ n2)) \lor
  ((is\text{-}NotNode\ n1) \land (is\text{-}NotNode\ n2)) \lor
  ((is\text{-}OrNode\ n1) \land (is\text{-}OrNode\ n2)) \lor
  ((is-ParameterNode\ n1) \land (is-ParameterNode\ n2)) \lor
  ((is-PiNode \ n1) \land (is-PiNode \ n2)) \lor
  ((is\text{-}ReturnNode\ n1) \land (is\text{-}ReturnNode\ n2)) \lor
  ((is-ShortCircuitOrNode\ n1) \land (is-ShortCircuitOrNode\ n2)) \lor
  ((is\text{-}SignedDivNode\ n1) \land (is\text{-}SignedDivNode\ n2)) \lor
  ((is\text{-}StartNode\ n1) \land (is\text{-}StartNode\ n2)) \lor
  ((is\text{-}StoreFieldNode\ n1) \land (is\text{-}StoreFieldNode\ n2)) \lor
  ((is\text{-}SubNode\ n1) \land (is\text{-}SubNode\ n2)) \lor
  ((is\text{-}UnwindNode\ n1) \land (is\text{-}UnwindNode\ n2)) \lor
  ((is-ValuePhiNode\ n1)\ \land\ (is-ValuePhiNode\ n2))\ \lor
  ((is-ValueProxyNode\ n1) \land (is-ValueProxyNode\ n2)) \lor
  ((is\text{-}XorNode\ n1) \land (is\text{-}XorNode\ n2)))
```

## 3 Stamp Typing

```
theory Stamp2
imports Values2
begin
```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
datatype Stamp =
     VoidStamp
    | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)
       KlassPointerStamp\ (stp-nonNull:\ bool)\ (stp-alwaysNull:\ bool)
        MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
      MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
   | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
        RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
       IllegalStamp
fun bit-bounds :: nat \Rightarrow (int \times int) where
    bit-bounds bits = (((2 \hat{bits}) div 2) * -1, ((2 \hat{bits}) div 2) - 1)
 — A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp <math>\Rightarrow Stamp where
    unrestricted-stamp \ VoidStamp = VoidStamp \ |
      unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
   unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False)
   unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp)
False False)
   unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp nonNull alwaysNull a
False False)
  unrestricted-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp
"" False False False)
    unrestricted-stamp - = IllegalStamp
```

```
fun is-stamp-unrestricted :: Stamp \Rightarrow bool where
      is-stamp-unrestricted s = (s = unrestricted-stamp s)
— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp \Rightarrow Stamp where
      empty-stamp \ VoidStamp = VoidStamp |
    empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds))
bits)) (fst (bit-bounds bits))) |
        empty-stamp \; (KlassPointerStamp \; nonNull \; alwaysNull) = (KlassPointerStamp \; nonNull \; alwaysNull \; nonNull \; alwaysNull \; nonNull \; alwaysNull \; nonNull \; nonNull \; alwaysNull \; nonNull \; no
nonNull \ alwaysNull)
    empty-stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull)
nonNull \ alwaysNull)
    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp nonNull alwaysNull always
nonNull \ alwaysNull)
      empty-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp
"" True True False) |
      empty-stamp stamp = IllegalStamp
fun is-stamp-empty :: Stamp \Rightarrow bool where
      is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |
      is-stamp-empty x = False
— Calculate the meet stamp of two stamps
fun meet :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
      meet\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
      meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
           if b1 \neq b2 then IllegalStamp else
           (IntegerStamp\ b1\ (min\ l1\ l2)\ (max\ u1\ u2))
      meet \ (KlassPointerStamp \ nn1 \ an1) \ (KlassPointerStamp \ nn2 \ an2) = (
           KlassPointerStamp (nn1 \land nn2) (an1 \land an2)
     ) |
        meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 \ an2) = (
           MethodCountersPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
      meet \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
           MethodPointersStamp\ (nn1 \land nn2)\ (an1 \land an2)
      meet \ s1 \ s2 = IllegalStamp
  — Calculate the join stamp of two stamps
fun join :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
    join VoidStamp VoidStamp | VoidStamp |
    join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
```

```
if b1 \neq b2 then IllegalStamp else
       (IntegerStamp b1 (max l1 l2) (min u1 u2))
   ) |
   join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
       if ((nn1 \vee nn2) \wedge (an1 \vee an2))
       then (empty-stamp (KlassPointerStamp nn1 an1))
       else (KlassPointerStamp (nn1 \vee nn2) (an1 \vee an2))
  join \; (MethodCountersPointerStamp \; nn1 \; an1) \; (MethodCountersPointerStamp \; nn2 \; an1) \; (MethodCountersPointerStamp \; nn2 \; an2) \; (MethodCountersPointerStamp \; nn3 \; an3) \; (MethodCounterStamp \; nn3
an2) = (
       if ((nn1 \vee nn2) \wedge (an1 \vee an2))
       then (empty-stamp (MethodCountersPointerStamp nn1 an1))
       else (MethodCountersPointerStamp (nn1 \lor nn2) (an1 \lor an2))
   join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
       if ((nn1 \vee nn2) \wedge (an1 \vee an2))
       then (empty-stamp (MethodPointersStamp nn1 an1))
       else (MethodPointersStamp (nn1 \lor nn2) (an1 \lor an2))
   join \ s1 \ s2 = IllegalStamp
— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.
fun asConstant :: Stamp \Rightarrow Value where
    asConstant (IntegerStamp \ b \ l \ h) = (if \ l = h \ then \ IntVal64 \ (word-of-int \ l) \ else
 UndefVal)
    asConstant -= UndefVal
 — Determine if two stamps never have value overlaps i.e. their join is empty
fun alwaysDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
    alwaysDistinct\ stamp1\ stamp2 = is\text{-}stamp\text{-}empty\ (join\ stamp1\ stamp2)
— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
    never Distinct \ stamp1 \ stamp2 = (as Constant \ stamp1 = as Constant \ stamp2 \ \land
asConstant\ stamp1 \neq UndefVal)
fun constantAsStamp :: Value \Rightarrow Stamp where
    constantAsStamp \ (IntVal32 \ v) = (IntegerStamp \ (nat \ 32) \ (sint \ v) \ (sint \ v))
    constantAsStamp \ (IntVal64 \ v) = (IntegerStamp \ (nat \ 64) \ (sint \ v) \ (sint \ v)) \ |
    constant As Stamp -= Illegal Stamp
   - Define when a runtime value is valid for a stamp
fun valid-value :: Stamp <math>\Rightarrow Value \Rightarrow bool where
    valid-value (IntegerStamp b l h) (IntVal32 v) = (b=32 \land (sint \ v \ge l) \land (sint \ v \le l)
```

```
valid-value (IntegerStamp b l h) (IntVal64 v) = (b=64 \land (sint \ v \ge l) \land (sint \ v \le l))
h)) \mid
 valid-value (VoidStamp) (UndefVal) = True
 valid-value (ObjectStamp klass exact nonNull alwaysNull) (ObjRef ref) =
    (if nonNull then ref \neq None else True)
 valid-value stamp val = False
— The most common type of stamp within the compiler (apart from the Void-
Stamp) is a 32 bit integer stamp with an unrestricted range. We use default-stamp
as it is a frequently used stamp.
definition default-stamp :: Stamp where
 default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
end
     Graph Representation
4
theory IRGraph
 imports
```

```
imports
IRNodeHierarchy
Stamp2
HOL-Library.FSet
HOL.Relation
begin
```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```
 \textbf{typedef} \ \textit{IRGraph} = \{g :: \textit{ID} \rightharpoonup (\textit{IRNode} \times \textit{Stamp}) \ . \ \textit{finite} \ (\textit{dom} \ g) \} \\ \langle \textit{proof} \rangle
```

setup-lifting type-definition-IRGraph

is with-default IllegalStamp and \( \rho proof \)

```
lift-definition ids :: IRGraph \Rightarrow ID \ set

is \lambda g. \ \{nid \in dom \ g : \ \sharp s. \ g \ nid = (Some \ (NoNode, \ s))\} \ \langle proof \rangle

fun with-default :: 'c \Rightarrow ('b \Rightarrow 'c) \Rightarrow (('a \rightharpoonup 'b) \Rightarrow 'a \Rightarrow 'c) where with-default def conv = (\lambda m \ k.

(case \ m \ k \ of \ None \Rightarrow def \ | \ Some \ v \Rightarrow conv \ v))

lift-definition kind :: IRGraph \Rightarrow (ID \Rightarrow IRNode)

is with-default NoNode \ fst \ \langle proof \rangle

lift-definition stamp :: IRGraph \Rightarrow ID \Rightarrow Stamp
```

```
lift-definition add\text{-}node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. \ if \ fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) \ \langle proof \rangle
lift-definition remove-node :: ID \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid\ g.\ g(nid := None)\ \langle proof \rangle
lift-definition replace-node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. \ if \ fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) \ \langle proof \rangle
lift-definition as-list :: IRGraph \Rightarrow (ID \times IRNode \times Stamp) list
  is \lambda g. map \ (\lambda k. \ (k, the \ (g \ k))) \ (sorted-list-of-set \ (dom \ g)) \ \langle proof \rangle
fun no-node :: (ID \times (IRNode \times Stamp)) list \Rightarrow (ID \times (IRNode \times Stamp)) list
where
  no-node q = filter (\lambda n. fst (snd n) \neq NoNode) q
lift-definition irgraph :: (ID \times (IRNode \times Stamp)) \ list \Rightarrow IRGraph
  is map-of \circ no-node
  \langle proof \rangle
code-datatype irgraph
fun filter-none where
  filter-none g = \{ nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, s)) \}
lemma no-node-clears:
  res = no\text{-}node \ xs \longrightarrow (\forall \ x \in set \ res. \ fst \ (snd \ x) \neq NoNode)
  \langle proof \rangle
lemma dom-eq:
  assumes \forall x \in set \ xs. \ fst \ (snd \ x) \neq NoNode
  shows filter-none (map-of xs) = dom (map-of xs)
  \langle proof \rangle
lemma fil-eq:
  filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))
  \langle proof \rangle
lemma irgraph[code]: ids (irgraph m) = set (map fst (no-node m))
  \langle proof \rangle
lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
  \langle proof \rangle
fun inputs :: IRGraph \Rightarrow ID \Rightarrow ID set where
  inputs\ g\ nid = set\ (inputs-of\ (kind\ g\ nid))
 — Get the successor set of a given node ID
fun succ :: IRGraph \Rightarrow ID \Rightarrow ID set where
```

```
succ\ g\ nid = set\ (successors-of\ (kind\ g\ nid))
  - Gives a relation between node IDs - between a node and its input nodes
fun input\text{-}edges :: IRGraph \Rightarrow ID rel where
  input-edges\ g = (\bigcup i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})
— Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  usages g nid = \{j. j \in ids \ g \land (j,nid) \in input\text{-}edges \ g\}
fun successor-edges :: IRGraph \Rightarrow ID rel where
  successor\text{-}edges\ g = (\bigcup\ i \in ids\ g.\ \{(i,j)|j\ .\ j \in (succ\ g\ i)\})
fun predecessors :: IRGraph \Rightarrow ID \Rightarrow ID set where
  predecessors \ g \ nid = \{j. \ j \in ids \ g \land (j,nid) \in successor\text{-}edges \ g\}
fun nodes-of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  nodes-of g \ sel = \{ nid \in ids \ g \ . \ sel \ (kind \ g \ nid) \}
fun edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a where
  edge \ sel \ nid \ q = sel \ (kind \ q \ nid)
fun filtered-inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
  filtered-inputs g nid f = filter (f \circ (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
  filtered-successors q nid f = filter (f \circ (kind q)) (successors-of (kind q nid))
fun filtered-usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  filtered-usages g nid f = \{n \in (usages \ g \ nid). \ f \ (kind \ g \ n)\}
fun is-empty :: IRGraph \Rightarrow bool where
  is\text{-}empty\ g = (ids\ g = \{\})
fun any-usage :: IRGraph \Rightarrow ID \Rightarrow ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))
lemma ids-some[simp]: x \in ids \ g \longleftrightarrow kind \ g \ x \neq NoNode
\langle proof \rangle
lemma not-in-g:
  assumes nid \notin ids g
  shows kind \ g \ nid = NoNode
  \langle proof \rangle
lemma valid-creation[simp]:
  finite (dom\ g) \longleftrightarrow Rep\text{-}IRGraph\ (Abs\text{-}IRGraph\ g) = g
  \langle proof \rangle
lemma [simp]: finite (ids g)
  \langle proof \rangle
lemma [simp]: finite (ids (irgraph g))
  \langle proof \rangle
lemma [simp]: finite (dom\ g) \longrightarrow ids\ (Abs\text{-}IRGraph\ g) = \{nid \in dom\ g\ .\ \nexists\ s.\ g
nid = Some (NoNode, s)
```

```
\langle proof \rangle
lemma [simp]: finite (dom g) \longrightarrow kind (Abs-IRGraph g) = (\lambda x . (case g x of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
  \langle proof \rangle
lemma [simp]: finite (dom g) \longrightarrow stamp (Abs-IRGraph g) = (\lambda x . (case g x of
None \Rightarrow IllegalStamp \mid Some \ n \Rightarrow snd \ n)
  \langle proof \rangle
lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
  \langle proof \rangle
lemma [simp]: kind (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
  \langle proof \rangle
lemma [simp]: stamp (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow IllegalStamp | Some n \Rightarrow snd n)
  \langle proof \rangle
lemma map-of-upd: (map\text{-}of\ g)(k\mapsto v)=(map\text{-}of\ ((k,\ v)\ \#\ g))
  \langle proof \rangle
lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) \# g)))
\langle proof \rangle
lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) \# g)))
  \langle proof \rangle
lemma add-node-lookup:
  gup = add-node nid (k, s) g \longrightarrow
    (if k \neq NoNode then kind gup nid = k \wedge stamp gup nid = s else kind gup nid
= kind \ g \ nid)
\langle proof \rangle
lemma remove-node-lookup:
   gup = remove-node \ nid \ g \longrightarrow kind \ gup \ nid = NoNode \land stamp \ gup \ nid =
IllegalStamp
  \langle proof \rangle
lemma replace-node-lookup[simp]:
  gup = replace - node \ nid \ (k, s) \ g \land k \neq NoNode \longrightarrow kind \ gup \ nid = k \land stamp
gup \ nid = s
  \langle proof \rangle
lemma replace-node-unchanged:
  gup = replace - node \ nid \ (k, s) \ g \longrightarrow (\forall \ n \in (ids \ g - \{nid\}) \ . \ n \in ids \ g \land n \in ids
```

```
gup \wedge kind \ g \ n = kind \ gup \ n)
\langle proof \rangle
```

#### 4.0.1 Example Graphs

```
Example 1: empty graph (just a start and end node)

definition start-end-graph:: IRGraph where
    start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode None None, VoidStamp)]

Example 2: public static int sq(int x) return x * x;

[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

definition eg2-sq:: IRGraph where
    eg2-sq = irgraph [
        (0, StartNode None 5, VoidStamp),
        (1, ParameterNode 0, default-stamp),
        (4, MulNode 1 1, default-stamp),
        (5, ReturnNode (Some 4) None, default-stamp)

]

value input-edges eg2-sq
```

# 5 Data-flow Semantics

```
theory IRTreeEval
imports
Graph.IRGraph
begin
```

value usages eg2-sq 1

end

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym MapState = ID \Rightarrow Value
type-synonym Params = Value list
definition new-map-state :: MapState where
  new-map-state = (\lambda x. \ UndefVal)
fun val-to-bool :: Value \Rightarrow bool where
  val-to-bool (IntVal32 val) = (if val = 0 then False else True)
  val-to-bool v = False
fun bool-to-val :: bool \Rightarrow Value where
  bool-to-val \ True = (Int Val 32 \ 1) \mid
  bool-to-val\ False = (IntVal32\ 0)
fun find-index :: 'a \Rightarrow 'a \ list \Rightarrow nat \ \mathbf{where}
 find-index\ v\ (x\ \#\ xs) = (if\ (x=v)\ then\ 0\ else\ find-index\ v\ xs+1)
fun phi-list :: IRGraph \Rightarrow ID \Rightarrow ID list where
  phi-list g nid =
   (filter (\lambda x.(is-PhiNode\ (kind\ g\ x)))
     (sorted-list-of-set (usages g nid)))
fun input-index :: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow nat where
  input-index g \ n \ n' = find-index n' \ (input s-of (kind \ g \ n))
fun phi-inputs :: IRGraph \Rightarrow nat \Rightarrow ID \ list \Rightarrow ID \ list where
  phi-inputs g \ i \ nodes = (map \ (\lambda n. \ (inputs-of \ (kind \ g \ n))!(i+1)) \ nodes)
fun set-phis :: ID \ list \Rightarrow Value \ list \Rightarrow MapState \Rightarrow MapState \ \mathbf{where}
  set-phis [] [] m = m
  set-phis (nid \# xs) (v \# vs) m = (set-phis xs vs (m(nid := v))) |
  set-phis [] (v \# vs) m = m |
  set-phis (x \# xs) [] m = m
fun find-node-and-stamp :: IRGraph \Rightarrow (IRNode \times Stamp) \Rightarrow ID option where
 find-node-and-stamp q(n,s) =
    find (\lambda i. kind g \ i = n \land stamp \ g \ i = s) (sorted-list-of-set(ids g))
```

### 5.1 Data-flow Tree Representation

```
datatype IRUnaryOp =
   UnaryAbs
   UnaryNeg
   UnaryNot
  UnaryLogicNegation
datatype IRBinaryOp =
   BinAdd
   BinMul
   BinSub
   BinAnd
   BinOr
   BinXor
   BinIntegerEquals
   BinIntegerLessThan
  BinIntegerBelow
datatype (discs-sels) IRExpr =
   UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
   BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
   ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)
 | ConstantExpr (ir-const: Value)
 | ParameterExpr (ir-index: nat) (ir-stamp: Stamp)
 | LeafExpr (ir-nid: ID) (ir-stamp: Stamp)
fun is-preevaluated :: IRNode \Rightarrow bool where
 is-preevaluated (InvokeNode\ nid - - - - - ) = <math>True\ |
 is-preevaluated (InvokeWithExceptionNode nid - - - - -) = True
 is-preevaluated (NewInstanceNode nid - - -) = True |
 is-preevaluated (LoadFieldNode nid - - -) = True
 is-preevaluated (SignedDivNode\ nid - - - - -) = True |
 is-preevaluated (SignedRemNode\ nid - - - -) = True |
 is-preevaluated (ValuePhiNode nid - -) = True |
 is-preevaluated - = False
```

```
inductive
  rep :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool (- \vdash - \triangleright - 55)
  for g where
  ConstantNode:
  \llbracket kind\ g\ n = ConstantNode\ c 
Vert
    \implies g \vdash n \triangleright (ConstantExpr c) \mid
  ParameterNode:
  [kind\ g\ n = ParameterNode\ i;
    stamp \ g \ n = s
    \implies g \vdash n \triangleright (ParameterExpr \ i \ s) \mid
  Conditional Node:\\
  \llbracket kind\ g\ n = ConditionalNode\ c\ t\ f;
    g \vdash c \triangleright ce;
    g \vdash t \triangleright te;
    g \vdash f \triangleright fe
    \implies g \vdash n \triangleright (ConditionalExpr \ ce \ te \ fe) \mid
  AbsNode:
  [kind\ g\ n = AbsNode\ x;
    g \vdash x \triangleright xe
    \implies g \vdash n \rhd (UnaryExpr\ UnaryAbs\ xe) \mid
  NotNode:
  [kind\ g\ n=NotNode\ x;
    g \vdash x \triangleright xe
    \implies g \vdash n \rhd (\mathit{UnaryExpr}\ \mathit{UnaryNot}\ \mathit{xe}) \mid
  NegateNode:
  \llbracket kind\ g\ n = NegateNode\ x;
    g \vdash x \triangleright xe
    \implies g \vdash n \rhd (UnaryExpr\ UnaryNeg\ xe) \mid
  LogicNegationNode:
  \llbracket kind\ g\ n = LogicNegationNode\ x;
    g \vdash x \triangleright xe
    \implies g \vdash n \triangleright (UnaryExpr\ UnaryLogicNegation\ xe) \mid
  AddNode:
  [kind\ g\ n=AddNode\ x\ y;
    g \vdash x \triangleright xe;
    g \vdash y \triangleright ye
    \implies g \vdash n \triangleright (BinaryExpr\ BinAdd\ xe\ ye) \mid
  MulNode:
```

```
\llbracket kind\ g\ n = MulNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinMul\ xe\ ye) \mid
SubNode:
[kind\ g\ n = SubNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinSub\ xe\ ye) \mid
AndNode:
[kind\ g\ n=AndNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinAnd\ xe\ ye) \mid
OrNode:
\llbracket kind\ g\ n = OrNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinOr\ xe\ ye) \mid
XorNode:
[kind\ g\ n = XorNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinXor\ xe\ ye) \mid
IntegerBelowNode:
\llbracket kind\ g\ n = IntegerBelowNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinIntegerBelow\ xe\ ye) \mid
Integer Equals Node:
\llbracket kind\ g\ n = IntegerEqualsNode\ x\ y;
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mid
IntegerLessThanNode:
[kind\ g\ n = IntegerLessThanNode\ x\ y;]
  g \vdash x \triangleright xe;
  g \vdash y \triangleright ye
  \implies g \vdash n \triangleright (BinaryExpr\ BinIntegerLessThan\ xe\ ye) \mid
LeafNode:
[is-preevaluated (kind g n);
```

```
stamp \ g \ n = s
      \implies g \vdash n \rhd (LeafExpr \ n \ s)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprE) rep \langle proof \rangle
inductive
   replist :: IRGraph \Rightarrow ID \ list \Rightarrow IRExpr \ list \Rightarrow bool \ (- \vdash - \triangleright_L - 55)
   for g where
   RepNil:
   g \vdash [] \triangleright_L [] \mid
   RepCons:
   \llbracket g \vdash x \triangleright xe;
      g \vdash xs \triangleright_L xse
      \implies g \vdash x \# xs \triangleright_L xe \# xse
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprListE) \ replist \ \langle proof \rangle
                                                     kind\ g\ n = ConstantNode\ c
                                                        g \vdash n \triangleright ConstantExpr c
                                \mathit{kind}\ \mathit{g}\ \mathit{n} = \mathit{ParameterNode}\ \mathit{i} \qquad \mathit{stamp}\ \mathit{g}\ \mathit{n} = \mathit{s}
                                                     g \vdash n \triangleright ParameterExpr i s
                                          \frac{\textit{kind g } n = \textit{AbsNode } x \qquad \textit{g} \vdash x \vartriangleright xe}{\textit{g} \vdash n \vartriangleright \textit{UnaryExpr UnaryAbs xe}}
                         kind \ g \ n = AddNode \ x \ y \qquad g \vdash x \rhd xe \qquad g \vdash y \rhd ye
                                              g \vdash n \triangleright BinaryExpr\ BinAdd\ xe\ ye
                         \frac{\mathit{kind}\ g\ n = \mathit{MulNode}\ x\ y \qquad g \vdash x \rhd xe \qquad g \vdash y \rhd ye}{g \vdash n \rhd \mathit{BinaryExpr}\ \mathit{BinMul}\ xe\ ye}
                         \frac{\mathit{kind}\ g\ n = \mathit{SubNode}\ x\ y \qquad g \vdash x \, \triangleright \, \mathit{xe} \qquad g \vdash y \, \triangleright \, \mathit{ye}}{g \vdash n \, \triangleright \, \mathit{BinaryExpr}\ \mathit{BinSub}\ \mathit{xe}\ \mathit{ye}}
                                    is-preevaluated (kind g n) stamp g n = s
                                                          g \vdash n \triangleright LeafExpr \ n \ s
values \{t. eg2\text{-}sq \vdash 4 \triangleright t\}
fun stamp-unary :: IRUnaryOp \Rightarrow Stamp \Rightarrow Stamp where
   stamp-unary op (IntegerStamp\ b\ lo\ hi) = unrestricted-stamp\ (IntegerStamp\ b\ lo\ hi)
```

hi)

```
stamp-unary op -= IllegalStamp
fun stamp-binary :: IRBinaryOp \Rightarrow Stamp \Rightarrow Stamp \Rightarrow Stamp where
  stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
  (if (b1 = b2) then unrestricted-stamp (IntegerStamp b1 lo1 hi1) else IllegalStamp)
 stamp-binary op - - = IllegalStamp
fun stamp-expr :: IRExpr \Rightarrow Stamp where
  stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x)
 stamp-expr\ (BinaryExpr\ bop\ x\ y) = stamp-binary\ bop\ (stamp-expr\ x)\ (stamp-expr\ x)
y) \mid
  stamp-expr (ConstantExpr val) = constantAsStamp val |
  stamp-expr(LeafExpr(i s) = s)
  stamp-expr (ParameterExpr i s) = s
  stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)
export-code stamp-unary stamp-binary stamp-expr
fun unary-node :: IRUnaryOp \Rightarrow ID \Rightarrow IRNode where
  unary-node UnaryAbs\ v = AbsNode\ v
  unary-node UnaryNot \ v = NotNode \ v
  unary-node UnaryNeg\ v = NegateNode\ v \mid
  unary-node UnaryLogicNegation v = LogicNegationNode v
fun bin-node :: IRBinaryOp \Rightarrow ID \Rightarrow ID \Rightarrow IRNode where
  bin-node BinAdd\ x\ y = AddNode\ x\ y
  bin-node BinMul\ x\ y = MulNode\ x\ y
  bin-node BinSub \ x \ y = SubNode \ x \ y \mid
  bin-node BinAnd \ x \ y = AndNode \ x \ y \mid
  bin-node BinOr \ x \ y = OrNode \ x \ y \mid
  bin-node\ BinXor\ x\ y = XorNode\ x\ y\ |
  bin-node\ BinIntegerEquals\ x\ y = IntegerEqualsNode\ x\ y\ |
  bin-node\ BinIntegerLessThan\ x\ y = IntegerLessThanNode\ x\ y\ |
  bin-node BinIntegerBelow \ x \ y = IntegerBelowNode \ x \ y
fun unary-eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value where
  unary-eval UnaryAbs\ v = intval-abs\ v \mid
  unary-eval UnaryNeg\ v = intval-negate v \mid
  unary-eval \ UnaryNot \ v = intval-not \ v \mid
  unary-eval\ UnaryLogicNegation\ (IntVal32\ v1) = (if\ v1 = 0\ then\ (IntVal32\ 1)\ else
(Int Val32 0)) |
  unary-eval of v1 = UndefVal
```

```
fun bin-eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value where
  bin-eval\ BinAdd\ v1\ v2=intval-add\ v1\ v2
  bin-eval \ Bin Mul \ v1 \ v2 = int val-mul \ v1 \ v2 \ |
  bin-eval\ BinSub\ v1\ v2 = intval-sub\ v1\ v2
  bin-eval BinAnd\ v1\ v2 = intval-and v1\ v2
  bin-eval\ BinOr\ v1\ v2=intval-or\ v1\ v2
  bin-eval\ BinXor\ v1\ v2 = intval-xor\ v1\ v2
  bin-eval BinIntegerEquals \ v1 \ v2 = intval-equals v1 \ v2 \mid
  bin-eval\ BinIntegerLessThan\ v1\ v2 = intval-less-than\ v1\ v2\ |
  bin-eval\ BinIntegerBelow\ v1\ v2=intval-below\ v1\ v2
inductive fresh-id :: IRGraph \Rightarrow ID \Rightarrow bool where
  nid \notin ids \ g \Longrightarrow fresh-id \ g \ nid
code-pred fresh-id (proof)
fun get-fresh-id :: IRGraph \Rightarrow ID where
  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1
export-code get-fresh-id
value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)
inductive
  unrep :: IRGraph \Rightarrow IRExpr \Rightarrow (IRGraph \times ID) \Rightarrow bool (- < - \leadsto - 55)
  unrepList :: IRGraph \Rightarrow IRExpr\ list \Rightarrow (IRGraph \times ID\ list) \Rightarrow bool\ (- \triangleleft_L - \leadsto -
55)
   where
  ConstantNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ nid \rrbracket
    \implies g \triangleleft (ConstantExpr \ c) \rightsquigarrow (g, \ nid) \mid
  ConstantNodeNew:\\
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = None;
    nid = get\text{-}fresh\text{-}id g;
    g' = add-node nid (ConstantNode c, constantAsStamp c) g
    \implies g \triangleleft (ConstantExpr \ c) \rightsquigarrow (g', \ nid) \mid
  ParameterNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = Some\ nid \rrbracket
    \implies g \mathrel{\triangleleft} (ParameterExpr\ i\ s) \mathrel{\leadsto} (g,\ nid)\ |
```

```
ParameterNodeNew:
\llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = None;
  nid = get-fresh-id g;
 g' = add-node nid (ParameterNode i, s) g
 \implies g \triangleleft (ParameterExpr \ i \ s) \rightsquigarrow (g', \ nid) \mid
Conditional Node Same: \\
\llbracket g \triangleleft_L [ce, te, fe] \rightsquigarrow (g2, [c, t, f]);
 s' = meet (stamp \ g2 \ t) (stamp \ g2 \ f);
 \mathit{find-node-and-stamp\ g2\ (ConditionalNode\ c\ t\ f,\ s') = Some\ nid} \rrbracket
 \implies g \triangleleft (ConditionalExpr \ ce \ te \ fe) \rightsquigarrow (g2, \ nid) \mid
Conditional Node New:\\
\llbracket g \triangleleft_L [ce, te, fe] \rightsquigarrow (g2, [c, t, f]);
 s' = meet (stamp \ q2 \ t) (stamp \ q2 \ f);
 find-node-and-stamp g2 (ConditionalNode c t f, s') = None;
 nid = get-fresh-id g2;
 g' = add-node nid (ConditionalNode c t f, s') g2
 \implies g \triangleleft (ConditionalExpr \ ce \ te \ fe) \rightsquigarrow (g', \ nid) \mid
UnaryNodeSame:
\llbracket g \triangleleft xe \rightsquigarrow (g2, x);
 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
 find-node-and-stamp g2 (unary-node op x, s') = Some \ nid
 \implies g \triangleleft (\mathit{UnaryExpr\ op\ xe}) \leadsto (g2,\ \mathit{nid}) \mid
UnaryNodeNew:
\llbracket g \triangleleft xe \leadsto (g2, x); \rrbracket
 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
 find-node-and-stamp g2 (unary-node op x, s') = None;
 nid = qet-fresh-id q2;
 g' = add-node nid (unary-node op x, s') g2
 \implies g \triangleleft (UnaryExpr \ op \ xe) \leadsto (g', \ nid) \mid
BinaryNodeSame:
\llbracket g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]);
 s' = stamp-binary op (stamp g2 x) (stamp g2 y);
 find-node-and-stamp g2 (bin-node op x y, s') = Some nid
 \implies g \triangleleft (BinaryExpr \ op \ xe \ ye) \rightsquigarrow (g2, \ nid) \mid
BinaryNodeNew:
\llbracket g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]);
 s' = stamp-binary op (stamp g2 x) (stamp g2 y);
 find-node-and-stamp g2 (bin-node op x y, s') = None;
 nid = get-fresh-id g2;
 g' = add-node nid (bin-node op x y, s') g2
  \implies g \triangleleft (BinaryExpr \ op \ xe \ ye) \leadsto (g', \ nid)
```

```
AllLeafNodes:
  stamp \ g \ nid = s
    \implies g \triangleleft (LeafExpr \ nid \ s) \rightsquigarrow (g, \ nid) \mid
  UnrepNil:
  g \triangleleft_L [] \leadsto (g, []) |
  UnrepCons:
  \llbracket g \triangleleft xe \leadsto (g2, x);
    g2 \triangleleft_L xes \leadsto (g3, xs)
    \implies g \triangleleft_L (xe\#xes) \rightsquigarrow (g3, x\#xs)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ unrep E)
  unrep \langle proof \rangle
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ unrepListE) \ unrepList \langle proof \rangle
     find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some nid
                                   q \triangleleft ConstantExpr c \rightsquigarrow (q, nid)
        find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None
                                           nid = qet-fresh-id q
                g' = \mathit{add}\text{-}\mathit{node}\ \mathit{nid}\ (\mathit{ConstantNode}\ \mathit{c},\ \mathit{constantAsStamp}\ \mathit{c})\ \mathit{g}
                                  g \triangleleft ConstantExpr c \leadsto (g', nid)
                 find-node-and-stamp g (ParameterNode i, s) = Some nid
                                q \triangleleft ParameterExpr \ i \ s \leadsto (q, nid)
                    find-node-and-stamp g (ParameterNode i, s) = None
                                            g' = add-node nid (ParameterNode i, s) g
          nid = qet-fresh-id q
                                g \triangleleft ParameterExpr \ i \ s \leadsto (g', \ nid)
     g \triangleleft_L [ce, te, fe] \leadsto (g2, [c, t, f]) s' = meet (stamp g2 t) (stamp g2 f)
             find-node-and-stamp g2 (ConditionalNode c t f, s) = Some nid
                           g \triangleleft ConditionalExpr \ ce \ te \ fe \leadsto (g2, \ nid)
     g \triangleleft_L [ce, te, fe] \rightsquigarrow (g2, [c, t, f]) s' = meet (stamp g2 t) (stamp g2 f)
                find-node-and-stamp g2 (ConditionalNode c t f, s') = None
     nid = get\text{-}fresh\text{-}id \ g2 g' = add\text{-}node \ nid \ (ConditionalNode \ c \ t \ f, \ s') \ g2
                            g \triangleleft ConditionalExpr \ ce \ te \ fe \leadsto (g', nid)
g \triangleleft_L [xe, ye] \leadsto (g2, [x, y]) s' = stamp-binary op (stamp g2 x) (stamp g2 y)
                 find-node-and-stamp g2 (bin-node op x y, s') = Some nid
                              g \triangleleft BinaryExpr \ op \ xe \ ye \leadsto (g2, \ nid)
```

```
s' = stamp-binary op (stamp g2 x) (stamp g2 y)
g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y])
                   find-node-and-stamp g2 (bin-node op x y, s') = None
         nid = get-fresh-id g2 g' = add-node nid (bin-node op x y, s') g2
                             q \triangleleft BinaryExpr \ op \ xe \ ye \leadsto (q', \ nid)
                 g \triangleleft xe \rightsquigarrow (g2, x) s' = stamp\text{-unary op } (stamp \ g2 \ x)
               find-node-and-stamp g2 (unary-node op x, s') = Some \ nid
                               g \triangleleft UnaryExpr \ op \ xe \leadsto (g2, nid)
                                           s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
                g \triangleleft xe \leadsto (g2, x)
                  find-node-and-stamp g2 (unary-node op x, s') = None
                                          g' = add-node nid (unary-node op x, s') g2
        nid = get-fresh-id g2
                               g \triangleleft UnaryExpr \ op \ xe \leadsto (g', \ nid)
                                        stamp \ g \ nid = s
                                 \overline{q \triangleleft LeafExpr\ nid\ s \leadsto (g,\ nid)}
definition sq\text{-}param\theta :: IRExpr where
  sq\text{-}param0 = BinaryExpr\ BinMul
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
values \{(nid, g) : (eg2\text{-}sq \triangleleft sq\text{-}param0 \rightsquigarrow (g, nid))\}
      Data-flow Tree Evaluation
5.2
inductive
  evaltree :: MapState \Rightarrow Params \Rightarrow IRExpr \Rightarrow Value \Rightarrow bool ([-,-] \vdash - \mapsto -55)
  for m p where
  Constant Expr:
  [c \neq UndefVal]
    \implies [m,p] \vdash (ConstantExpr\ c) \mapsto c \mid
  ParameterExpr:
  \llbracket valid\text{-}value\ s\ (p!i) \rrbracket
    \implies [m,p] \vdash (ParameterExpr\ i\ s) \mapsto p!i
  Conditional Expr:
  \llbracket [m,p] \vdash ce \mapsto cond;
    branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe);
    [m,p] \vdash branch \mapsto v
    \implies [m,p] \vdash (ConditionalExpr\ ce\ te\ fe) \mapsto v \mid
  UnaryExpr:
  \llbracket [m,p] \vdash xe \mapsto v \rrbracket
    \implies [m,p] \vdash (UnaryExpr \ op \ xe) \mapsto unary-eval \ op \ v \mid
```

```
BinaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
     [m,p] \vdash ye \mapsto y
     \implies [m,p] \vdash (BinaryExpr\ op\ xe\ ye) \mapsto bin\text{-}eval\ op\ x\ y\ |
  LeafExpr:
  [val = m \ nid;
     valid-value \ s \ val
     \implies [m,p] \vdash LeafExpr \ nid \ s \mapsto val
                                         \frac{c \neq \mathit{UndefVal}}{[\mathit{m,p}] \vdash \mathit{ConstantExpr}\ c \mapsto c}
                                                   valid-value \ s \ p_{[i]}
                                     [m,p] \vdash ParameterExpr \ i \ s \mapsto p_{[i]}
 [m,p] \vdash ce \mapsto cond
                                       branch = (if IRTreeEval.val-to-bool cond then te else fe)
                                                 [m,p] \vdash branch \mapsto v
                                  [m,p] \vdash ConditionalExpr \ ce \ te \ fe \mapsto v
                             \frac{[m,p] \vdash xe \mapsto v}{[m,p] \vdash \textit{UnaryExpr op } xe \mapsto \textit{unary-eval op } v}
                                   [m,p] \vdash xe \mapsto x \qquad [m,p] \vdash ye \mapsto y
                           \overline{[m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto bin-eval\ op\ x\ y}
                                                               valid-value s val
                                     val = m \ nid
                                        [m,p] \vdash LeafExpr \ nid \ s \mapsto val
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalT)
  [show\text{-}steps, show\text{-}mode\text{-}inference, show\text{-}intermediate\text{-}results]
  evaltree \langle proof \rangle
inductive
  evaltrees :: MapState \Rightarrow Params \Rightarrow IRExpr\ list \Rightarrow Value\ list \Rightarrow bool\ ([-,-] \vdash - \mapsto_L
  for m p where
  EvalNil:
  [m,p] \vdash [] \mapsto_L [] \mid
  EvalCons:
  \llbracket [m,p] \vdash x \mapsto xval;
    [m,p] \vdash yy \mapsto_L yyval
```

```
\implies [m,p] \vdash (x\#yy) \mapsto_L (xval\#yyval)
\mathbf{code-pred} \ (modes: \ i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalTs)
evaltrees \ \langle proof \rangle
\mathbf{values} \ \{v. \ evaltree \ new-map-state \ [IntVal32\ 5] \ sq-param0 \ v\}
\mathbf{declare} \ evaltree.intros \ [intro]
\mathbf{declare} \ evaltrees.intros \ [intro]
```

### 5.3 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

```
definition equiv-exprs :: IRExpr \Rightarrow IRExpr \Rightarrow bool \ (- \doteq -55) where (e1 \doteq e2) = (\forall m \ p \ v. \ (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))
```

We also prove that this is a total equivalence relation (equivp equiv-exprs) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

```
lemma equivp equiv-exprs \langle proof \rangle
```

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation IRExpr :: preorder begin

#### definition

```
\begin{array}{l} \textit{le-expr-def [simp]: (e1 \leq e2)} \longleftrightarrow (\forall \ m \ p \ v. \ (([m,p] \vdash e1 \mapsto v) \longrightarrow ([m,p] \vdash e2 \mapsto v))) \end{array}
```

#### definition

```
lt-expr-def [simp]: (e1 < e2) \longleftrightarrow (e1 \le e2 \land \neg (e1 \doteq e2))
```

 $\begin{array}{l} \textbf{instance} \ \langle \textit{proof} \, \rangle \\ \textbf{end} \end{array}$ 

end

# 6 Data-flow Expression-Tree Theorems

```
\begin{array}{c} \textbf{theory} \ \mathit{IRTreeEvalThms} \\ \textbf{imports} \end{array}
```

# 6.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

```
lemma rep-constant:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = ConstantNode\ c \Longrightarrow
    e = ConstantExpr c
   \langle proof \rangle
lemma rep-parameter:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = ParameterNode\ i \Longrightarrow
   (\exists\,s.\ e = \mathit{ParameterExpr}\ i\ s)
   \langle proof \rangle
{f lemma} rep-conditional:
   g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = ConditionalNode\ c\ t\ f \Longrightarrow
   (\exists ce te fe. e = ConditionalExpr ce te fe)
   \langle proof \rangle
lemma rep-abs:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = AbsNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryAbs\ xe)
   \langle proof \rangle
lemma rep-not:
  g \vdash n \triangleright e \Longrightarrow
   kind \ q \ n = NotNode \ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNot\ xe)
   \langle proof \rangle
lemma rep-negate:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = NegateNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNeg\ xe)
   \langle proof \rangle
lemma rep-logicnegation:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = LogicNegationNode\ x \Longrightarrow
```

```
(\exists xe. \ e = UnaryExpr\ UnaryLogicNegation\ xe)
  \langle proof \rangle
lemma rep-add:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = AddNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinAdd \ xe \ ye)
  \langle proof \rangle
lemma rep-sub:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = SubNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinSub \ xe \ ye)
  \langle proof \rangle
lemma rep-mul:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = MulNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinMul \ xe \ ye)
  \langle proof \rangle
lemma rep-and:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = AndNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinAnd \ xe \ ye)
  \langle proof \rangle
lemma rep-or:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = OrNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinOr \ xe \ ye)
  \langle proof \rangle
lemma rep-xor:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = XorNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinXor \ xe \ ye)
  \langle proof \rangle
lemma rep-integer-below:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = IntegerBelowNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerBelow \ xe \ ye)
  \langle proof \rangle
lemma rep-integer-equals:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = IntegerEqualsNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerEquals \ xe \ ye)
```

```
\langle proof \rangle
\mathbf{lemma}\ rep\text{-}integer\text{-}less\text{-}than:
  g \vdash n \triangleright e \Longrightarrow
   kind\ g\ n = IntegerLessThanNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerLessThan \ xe \ ye)
   \langle proof \rangle
lemma rep-load-field:
   g \vdash n \triangleright e \Longrightarrow
    is-preevaluated (kind g n) \Longrightarrow
   (\exists s. \ e = LeafExpr \ n \ s)
   \langle proof \rangle
Now we can prove that 'rep' and 'eval', and their list versions, are determin-
istic.
lemma repDet:
  shows (g \vdash n \triangleright e1) \Longrightarrow (g \vdash n \triangleright e2) \Longrightarrow e1 = e2
\langle proof \rangle
lemma repAllDet:
  g \vdash xs \triangleright_L e1 \Longrightarrow
    g \vdash xs \triangleright_L e2 \Longrightarrow
    e1 = e2
\langle proof \rangle
\mathbf{lemma}\ evalDet:
  [m,p] \vdash e \mapsto v1 \Longrightarrow
   [m,p] \vdash e \mapsto v2 \Longrightarrow
   v1 = v2
   \langle proof \rangle
\mathbf{lemma}\ \mathit{evalAllDet} :
  [m,p] \vdash e \mapsto_L v1 \Longrightarrow
   [m,p] \vdash e \mapsto_L v2 \Longrightarrow
   v1 = v2
   \langle proof \rangle
A valid value cannot be UndefVal.
lemma valid-not-undef:
   assumes a1: valid-value s val
   assumes a2: s \neq VoidStamp
  \mathbf{shows} \ \mathit{val} \neq \mathit{UndefVal}
   \langle proof \rangle
```

**lemma** valid-VoidStamp[elim]:

 $shows \ valid$ -value  $VoidStamp \ val \Longrightarrow$ 

```
val = UndefVal
  \langle proof \rangle
lemma valid-ObjStamp[elim]:
  shows valid-value (ObjectStamp klass exact nonNull alwaysNull) val \Longrightarrow
      (\exists v. val = ObjRef v)
  \langle proof \rangle
lemma valid-int32[elim]:
  shows valid-value (IntegerStamp 32 l h) val \Longrightarrow
      (\exists v. val = IntVal32 v)
  \langle proof \rangle
lemma valid-int64[elim]:
  shows valid-value (IntegerStamp 64 l h) val \Longrightarrow
      (\exists v. val = IntVal64 v)
  \langle proof \rangle
TODO: could we prove that expression evaluation never returns UndefVal?
But this might require restricting unary and binary operators to be total...
lemma leafint32:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ 32\ lo\ hi) \mapsto val
 shows \exists v. val = (Int Val 32 v)
\langle proof \rangle
lemma leafint64:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ 64\ lo\ hi) \mapsto val
 shows \exists v. val = (Int Val 64 v)
\langle proof \rangle
lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648)
2147483647
  \langle proof \rangle
lemma valid32 [simp]:
  assumes valid-value (IntegerStamp 32 lo hi) val
  shows \exists v. (val = (Int Val32 \ v) \land lo \leq sint \ v \land sint \ v \leq hi)
  \langle proof \rangle
lemma valid64 [simp]:
  assumes valid-value (IntegerStamp 64 lo hi) val
  shows \exists v. (val = (IntVal64 \ v) \land lo \leq sint \ v \land sint \ v \leq hi)
  \langle proof \rangle
{\bf lemma}\ int\text{-}stamp\text{-}implies\text{-}valid\text{-}value:
  [m,p] \vdash expr \mapsto val \Longrightarrow
```

```
valid-value (stamp-expr expr) val
\langle proof \rangle
lemma valid32or64:
 assumes valid-value (IntegerStamp b lo hi) x
 shows (\exists v1. (x = IntVal32 v1)) \lor (\exists v2. (x = IntVal64 v2))
  \langle proof \rangle
lemma valid32or64-both:
 assumes valid-value (IntegerStamp\ b\ lox\ hix) x
 and valid-value (IntegerStamp b loy hiy) y
 shows (\exists v1 \ v2. \ x = IntVal32 \ v1 \land y = IntVal32 \ v2) \lor (\exists v3 \ v4. \ x = IntVal64)
v3 \wedge y = IntVal64 v4
 \langle proof \rangle
       Example Data-flow Optimisations
lemma a\theta a-helper [simp]:
 assumes a: valid-value (IntegerStamp 32 lo hi) v
 shows intval-add v (IntVal32 0) = v
\langle proof \rangle
lemma a0a: (BinaryExpr BinAdd (LeafExpr 1 default-stamp) (ConstantExpr (IntVal32
\theta)))
             \leq (LeafExpr\ 1\ default\text{-}stamp)\ (\mathbf{is}\ ?L \leq ?R)
  \langle proof \rangle
lemma xyx-y-helper [simp]:
 assumes valid-value (IntegerStamp 32 lox hix) x
 assumes valid-value (IntegerStamp 32 loy hiy) y
 shows intval-add x (intval-sub y x) = y
\langle proof \rangle
lemma xyx-y:
  (BinaryExpr BinAdd
    (LeafExpr x (IntegerStamp 32 lox hix))
    (BinaryExpr BinSub
      (LeafExpr y (IntegerStamp 32 loy hiy))
      (LeafExpr \ x \ (IntegerStamp \ 32 \ lox \ hix))))
   \leq (LeafExpr\ y\ (IntegerStamp\ 32\ loy\ hiy))
  \langle proof \rangle
```

#### 6.3 Monotonicity of Expression Optimization

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's 'mono' operator (HOL.Orderings theory), proving instantiations like 'mono (UnaryExprop)', but it is not obvious how to do this for both arguments of the binary expressions.

```
lemma mono-unary:
   assumes e \leq e'
   shows (UnaryExpr\ op\ e) \leq (UnaryExpr\ op\ e')
\langle proof \rangle

lemma mono-binary:
   assumes x \leq x'
   assumes y \leq y'
   shows (BinaryExpr\ op\ x\ y) \leq (BinaryExpr\ op\ x'\ y')
\langle proof \rangle

lemma mono-conditional:
   assumes ce \leq ce'
   assumes te \leq te'
   assumes fe \leq fe'
   shows (ConditionalExpr\ ce\ te\ fe) \leq (ConditionalExpr\ ce'\ te'\ fe')
\langle proof \rangle
```

end

#### 7 Control-flow Semantics

```
theory IRStepObj
imports
IRTreeEval
begin
```

#### 7.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the H[f][p] heap representation. See  $\cite{heap-reps-2011}$ . We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

```
type-synonym ('a, 'b) Heap = 'a \Rightarrow 'b \Rightarrow Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap \times Free
fun h-load-field :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) DynamicHeap \Rightarrow Value where h-load-field f f f f f f
```

```
fun h-store-field :: 'a \Rightarrow 'b \Rightarrow Value \Rightarrow ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap where h-store-field f \ r \ v \ (h, \ n) = (h(f := ((h \ f)(r := v))), \ n)

fun h-new-inst :: ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap \times Value where h-new-inst (h, \ n) = ((h, n+1), \ (ObjRef \ (Some \ n)))

type-synonym FieldRefHeap = (string, \ objref) DynamicHeap

definition new-heap :: ('a, 'b) DynamicHeap where new-heap = ((\lambda f, \lambda p, \ UndefVal), \ 0)
```

# 7.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

```
inductive step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow (ID \times MapState \times FieldRef
\times MapState \times FieldRefHeap) \Rightarrow bool
         (-, -\vdash - \rightarrow -55) for g p where
          Sequential Node:
          [is-sequential-node (kind q nid);
                 nid' = (successors-of (kind g nid))!0
                 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
          IfNode:
          \llbracket kind\ g\ nid = (\mathit{IfNode\ cond\ tb\ fb});
                 g \vdash cond \triangleright condE;
                 [m, p] \vdash condE \mapsto val;
                 nid' = (if \ val\ to\ bool \ val \ then \ tb \ else \ fb)
                 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
          EndNodes:
          [is-AbstractEndNode\ (kind\ g\ nid);
                 merge = any-usage g nid;
                 is-AbstractMergeNode (kind g merge);
                 i = find\text{-}index\ nid\ (inputs\text{-}of\ (kind\ g\ merge));
                 phis = (phi-list\ g\ merge);
                 inps = (phi-inputs \ g \ i \ phis);
                 g \vdash inps \triangleright_L inpsE;
                 [m, p] \vdash inpsE \mapsto_L vs;
                 m' = set-phis phis vs m
                 \implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid
```

```
NewInstanceNode:
 [kind\ g\ nid = (NewInstanceNode\ nid\ f\ obj\ nid');
    (h', ref) = h-new-inst h;
   m' = m(nid := ref)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
LoadFieldNode:
  \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');
    g \vdash obj \triangleright objE;
    [m, p] \vdash objE \mapsto ObjRef ref;
   h-load-field f ref h = v;
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h)
SignedDivNode:
 [kind\ g\ nid\ =\ (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);
   g \vdash x \triangleright xe;
    g \vdash y \triangleright ye;
    [m, p] \vdash xe \mapsto v1;
   [m, p] \vdash ye \mapsto v2;
    v = (intval-div \ v1 \ v2);
    m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
SignedRemNode:
  \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);
    g \vdash x \triangleright xe;
    g \vdash y \triangleright ye;
    [m, p] \vdash xe \mapsto v1;
    [m, p] \vdash ye \mapsto v2;
    v = (intval - mod \ v1 \ v2);
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
StaticLoadFieldNode:
  \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid');
    h-load-field f None h = v;
    m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
StoreFieldNode:
  \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ - (Some\ obj)\ nid');
    g \vdash newval \triangleright newvalE;
    g \vdash obj \triangleright objE;
    [m, p] \vdash newvalE \mapsto val;
    [m, p] \vdash objE \mapsto ObjRef ref;
    h' = h-store-field f ref val h;
    m' = m(nid := val)
```

```
\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
  StaticStoreFieldNode:
    \llbracket kind \ g \ nid = (StoreFieldNode \ nid \ f \ newval - None \ nid');
      g \vdash newval \triangleright newvalE;
      [m, p] \vdash newvalE \mapsto val;
      h' = h-store-field f None val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
code-pred (modes: i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool) step \langle proof \rangle
7.3
        Interprocedural Semantics
type-synonym Signature = string
type-synonym\ Program = Signature 
ightharpoonup IRGraph
inductive step-top :: Program \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times ID \times MapState \times Params
FieldRefHeap \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap \Rightarrow
  (-\vdash -\longrightarrow -55)
  for P where
  Lift:
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket
    \implies P \vdash ((g,nid,m,p)\#stk, h) \longrightarrow ((g,nid',m',p)\#stk, h') \mid
  InvokeNodeStep:
  [is-Invoke\ (kind\ g\ nid);
    callTarget = ir\text{-}callTarget (kind g nid);
    kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);
    Some \ targetGraph = P \ targetMethod;
    m' = new-map-state;
    g \vdash arguments \triangleright_L argsE;
    [m, p] \vdash argsE \mapsto_L p'
    \implies P \vdash ((q, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (q, nid, m, p) \# stk, h)
  ReturnNode:
  \llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);
    g \vdash expr \triangleright e;
    [m, p] \vdash e \mapsto v;
    cm' = cm(cnid := v);
    cnid' = (successors-of (kind cg cnid))!0
    \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,h) \longrightarrow ((cg,cnid',cm',cp)\#stk,h) \mid
```

```
ReturnNodeVoid:
  [kind\ g\ nid = (ReturnNode\ None\ -);
    cm' = cm(cnid := (ObjRef (Some (2048))));
    cnid' = (successors-of (kind cg cnid))!0
   \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk, h) \longrightarrow ((cg,cnid',cm',cp)\#stk, h) \mid
  UnwindNode:
  [kind\ g\ nid = (UnwindNode\ exception);
    g \vdash exception \triangleright exceptionE;
    [m, p] \vdash exceptionE \mapsto e;
    kind\ cg\ cnid = (InvokeWithExceptionNode - - - - exEdge);
    cm' = cm(cnid := e)
  \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,\ h) \longrightarrow ((cg,exEdge,cm',cp)\#stk,\ h)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) step-top \langle proof \rangle
7.4 Big-step Execution
type-synonym Trace = (IRGraph \times ID \times MapState \times Params) list
fun has-return :: MapState \Rightarrow bool where
 has\text{-}return \ m = (m \ 0 \neq UndefVal)
inductive exec :: Program
      \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow \mathit{Trace}
      \Rightarrow bool
  (- ⊢ - | - →* - | -)
  for P
  where
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    \neg(has\text{-}return\ m');
    l' = (l @ [(g,nid,m,p)]);
    exec\ P\ (((g',nid',m',p')\#ys),h')\ l'\ next-state\ l'']
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ next-state\ l''
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    has\text{-}return\ m';
    l' = (l @ [(q, nid, m, p)])
```

```
\implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ (((g',nid',m',p')\#ys),h')\ l'
code-pred (modes: i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool \ as \ Exec) \ exec \ \langle proof \rangle
inductive exec-debug :: Program
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow nat
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow bool
  (-⊢-→*-* -)
  where
  [n > 0;
   p \vdash s \longrightarrow s';
    exec-debug p s' (n - 1) s''
    \implies exec\text{-}debug\ p\ s\ n\ s''
  [n = 0]
    \implies exec\text{-}debug\ p\ s\ n\ s
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) exec-debug (proof)
7.4.1 Heap Testing
definition p3:: Params where
 p3 = [IntVal32 \ 3]
\mathbf{values} \ \{ (prod.fst(prod.snd \ (prod.snd \ (hd \ (prod.fst \ res))))) \ \theta
     | res. (\lambda x. Some \ eg2\text{-}sq) \vdash ([(eg2\text{-}sq,0,new\text{-}map\text{-}state,p3), (eg2\text{-}sq,0,new\text{-}map\text{-}state,p3)],
new-heap) \rightarrow *2* res
definition field-sq :: string where
 field-sq = "sq"
definition eg3-sq :: IRGraph where
  eg3-sq = irgraph
    (0,\,StartNode\,\,None\,\,\textit{4},\,\,VoidStamp),
    (1, ParameterNode 0, default-stamp),
    (3, MulNode 1 1, default-stamp),
    (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
    (5, ReturnNode (Some 3) None, default-stamp)
values {h-load-field field-sq None (prod.snd res)
          | res. (\lambda x. Some \ eg3-sq) \vdash ([(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0, new-map-state, p3))
new-map-state, p3)], new-heap) \rightarrow *3* res}
definition eg4-sq :: IRGraph where
  eg4-sq = irgraph
```

```
(0, StartNode\ None\ 4,\ VoidStamp),\\ (1, ParameterNode\ 0,\ default-stamp),\\ (3,\ MulNode\ 1\ 1,\ default-stamp),\\ (4,\ NewInstanceNode\ 4\ ''obj-class''\ None\ 5,\ ObjectStamp\ ''obj-class''\ True\ True\ True),\\ (5,\ StoreFieldNode\ 5\ field-sq\ 3\ None\ (Some\ 4)\ 6,\ VoidStamp),\\ (6,\ ReturnNode\ (Some\ 3)\ None,\ default-stamp)\\ ]\\ \mathbf{values}\ \{h\text{-}load\text{-}field\ field\text{-}sq\ (Some\ 0)\ (prod.snd\ res)\ |\ res.\\ (\lambda x.\ Some\ eg4\text{-}sq)\ \vdash\ ([(eg4\text{-}sq,\ 0,\ new\text{-}map\text{-}state,\ p3),\ (eg4\text{-}sq,\ 0,\ new\text{-}map\text{-}state,\ p3)],\ new\text{-}heap)\ \rightarrow*4*\ res\}\\ \mathbf{end}
```

## 8 Canonicalization Phase

theory CanonicalizationTree imports
Semantics.IRTreeEval
begin

```
fun is-neutral :: IRBinaryOp \Rightarrow Value \Rightarrow bool where is-neutral BinMul (IntVal32\ x) = (x=1) | is-neutral BinMul (IntVal64\ x) = (x=0) | is-neutral BinAdd (IntVal32\ x) = (x=0) | is-neutral BinAdd (IntVal64\ x) = (x=0) | is-neutral BinXor (IntVal32\ x) = (x=0) | is-neutral BinXor (IntVal64\ x) = (x=0) | is-neutral BinSub (IntVal32\ x) = (x=0) | is-neutral BinSub (IntVal64\ x) = (x=0) | is-neutral --=False

fun is-zero :: IRBinaryOp \Rightarrow Value \Rightarrow bool where is-zero BinMul (IntVal32\ x) = (x=0) | is-zero BinMul (IntVal64\ x) = (x=0) | is-zero BinMul (IntVal64\ x) = (x=0) | is-zero BinMul (IntVal64\ x) = (x=0) | is-zero --=False
```

**fun** int-to-value ::  $Value \Rightarrow int \Rightarrow Value$  where

```
int-to-value (Int Val32 -) y = (Int Val32 (word-of-int y))
int-to-value (IntVal64 -) y = (IntVal64 (word-of-int y))
int-to-value - - = UndefVal
inductive CanonicalizeBinaryOp :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
  binary-const-fold:
  [x = (ConstantExpr\ val1);
  y = (ConstantExpr \ val2);
  val = bin-eval \ op \ val1 \ val2;
  val \neq UndefVal
   \implies CanonicalizeBinaryOp (BinaryExpr op x y) (ConstantExpr val)
  binary \hbox{-} fold \hbox{-} yneutral \hbox{:}
  [y = (ConstantExpr\ c);
  is-neutral op c;
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   stp-bits stampx = stp-bits stampy;
   is-IntegerStamp stampx \land is-IntegerStamp stampy
    \implies Canonicalize \textit{BinaryOp} \ (\textit{BinaryExpr op} \ x \ y) \ x \ |
  binary-fold-yzero32:
  [y = ConstantExpr c;]
   is-zero op c;
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   stp-bits stampx = stp-bits stampy;
   stp-bits stampx = 32;
   is-IntegerStamp stampx \land is-IntegerStamp stampy
   \implies CanonicalizeBinaryOp (BinaryExpr op x y) (ConstantExpr (IntVal32 0)) |
  binary-fold-yzero64:
  [y = ConstantExpr c;]
   is-zero op c;
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   stp-bits stampx = stp-bits stampy;
   stp-bits stampx = 64;
   is-IntegerStamp stampx \land is-IntegerStamp stampy
   \implies CanonicalizeBinaryOp (BinaryExpr op x y) (ConstantExpr (IntVal64 0))
inductive Canonicalize Unary Op :: IRExpr \Rightarrow IRExpr \Rightarrow bool where
  unary-const-fold:
  [val' = unary-eval \ op \ val;]
   val' \neq UndefVal
   \implies Canonicalize Unary Op (Unary Expr op (Constant Expr val)) (Constant Expr
val')
```

```
mul-negate 32:
[y = ConstantExpr (IntVal32 (-1));
  stamp-expr \ x = IntegerStamp \ 32 \ lo \ hi
  \implies Canonicalize Mul\ (Binary Expr\ Bin Mul\ x\ y)\ (Unary Expr\ Unary Neg\ x)\ |
 mul-negate 64:
 [y = ConstantExpr (IntVal64 (-1));
  stamp-expr \ x = IntegerStamp \ 64 \ lo \ hi
  \implies CanonicalizeMul (BinaryExpr BinMul x y) (UnaryExpr UnaryNeg x)
inductive CanonicalizeAdd :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
  add-xsub:
 [x = (BinaryExpr\ BinSub\ a\ y);
   stampa = stamp-expr a;
   stampy = stamp-expr y;
   is-IntegerStamp stampa \land is-IntegerStamp stampy;
   stp-bits stampa = stp-bits stampy
   \implies CanonicalizeAdd (BinaryExpr BinAdd x y) a |
  add-ysub:
 [y = (BinaryExpr\ BinSub\ a\ x);
   stampa = stamp-expr a;
   stampx = stamp-expr x;
   is-IntegerStamp stampa \land is-IntegerStamp stampx;
   stp-bits stampa = stp-bits stampx
   \implies CanonicalizeAdd (BinaryExpr BinAdd x y) a |
 add-xnegate:
 [nx = (UnaryExpr\ UnaryNeg\ x);
   stampx = stamp\text{-}expr\ x;
   stampy = stamp-expr y;
   is-IntegerStamp stampx \land is-IntegerStamp stampy;
   stp-bits stampx = stp-bits stampy
   \implies CanonicalizeAdd (BinaryExpr BinAdd nx y) (BinaryExpr BinSub y x)
 add-ynegate:
 [ny = (UnaryExpr\ UnaryNeg\ y);
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   is-IntegerStamp stampx \land is-IntegerStamp stampy;
   stp-bits stampx = stp-bits stampy
```

inductive  $CanonicalizeMul :: IRExpr \Rightarrow IRExpr \Rightarrow bool$  where

```
\implies CanonicalizeAdd (BinaryExpr BinAdd x ny) (BinaryExpr BinSub x y)
inductive CanonicalizeSub :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
 sub-same32:
 [stampx = stamp-expr x;
   stampx = IntegerStamp \ 32 \ lo \ hi
   \implies CanonicalizeSub (BinaryExpr BinSub x x) (ConstantExpr (IntVal32 0)) |
 sub-same 64:
 [stampx = stamp-expr x;
   stampx = IntegerStamp 64 lo hi
   \implies CanonicalizeSub (BinaryExpr BinSub x x) (ConstantExpr (IntVal64 0)) |
 sub-left-add1:
 [x = (BinaryExpr\ BinAdd\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp-expr b;
   is\text{-}IntegerStamp\ stampa\ \land\ is\text{-}IntegerStamp\ stampb};
   stp-bits stampa = stp-bits stampb
   \implies CanonicalizeSub \ (BinaryExpr \ BinSub \ x \ b) \ a \mid
 sub-left-add2:
 [x = (BinaryExpr\ BinAdd\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp\text{-}expr\ b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
   \implies CanonicalizeSub \ (BinaryExpr \ BinSub \ x \ a) \ b \ |
 sub-left-sub:
 [x = (BinaryExpr\ BinSub\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp-expr b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
   \implies CanonicalizeSub (BinaryExpr BinSub x a) (UnaryExpr UnaryNeg b) |
 sub-right-add1:
 [y = (BinaryExpr\ BinAdd\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp-expr b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
```

```
\implies CanonicalizeSub (BinaryExpr BinSub a y) (UnaryExpr UnaryNeg b) |
 sub-right-add2:
 [y = (BinaryExpr\ BinAdd\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp-expr b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
   \implies CanonicalizeSub (BinaryExpr BinSub b y) (UnaryExpr UnaryNeg a) |
 sub-right-sub:
 [y = (BinaryExpr\ BinSub\ a\ b);
   stampa = stamp-expr a;
   stampb = stamp-expr b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
   \implies CanonicalizeSub (BinaryExpr BinSub a y) b |
 sub-xzero32:
 [stampx = stamp-expr x;
   stampx = IntegerStamp 32 lo hi
    \implies CanonicalizeSub (BinaryExpr BinSub (ConstantExpr (IntVal32 0)) x)
(UnaryExpr\ UnaryNeg\ x)
 sub-xzero64:
 [stampx = stamp-expr x;
   stampx = IntegerStamp 64 lo hi
     \implies CanonicalizeSub (BinaryExpr BinSub (ConstantExpr (IntVal64 0)) x)
(UnaryExpr\ UnaryNeg\ x)
 sub\hbox{-} y\hbox{-} negate\hbox{:}
 [nb = (UnaryExpr\ UnaryNeg\ b);
   stampa = stamp\text{-}expr\ a;
   stampb = stamp-expr b;
   is-IntegerStamp stampa \land is-IntegerStamp stampb;
   stp-bits stampa = stp-bits stampb
   ⇒ CanonicalizeSub (BinaryExpr BinSub a nb) (BinaryExpr BinAdd a b)
```

inductive CanonicalizeNegate ::  $IRExpr \Rightarrow IRExpr \Rightarrow bool$  where negate-negate:

```
[nx = (UnaryExpr\ UnaryNeg\ x);
   is-IntegerStamp (stamp-expr x)
   \implies CanonicalizeNegate (UnaryExpr UnaryNeg nx) x |
 negate-sub:
 [e = (BinaryExpr\ BinSub\ x\ y);
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   is-IntegerStamp stampx \land is-IntegerStamp stampy;
   stp-bits stampx = stp-bits stampy
   \implies CanonicalizeNegate (UnaryExpr UnaryNeg e) (BinaryExpr BinSub y x)
inductive CanonicalizeAbs :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
 abs-abs:
 [ax = (UnaryExpr\ UnaryAbs\ x);
   is-IntegerStamp (stamp-expr x)
   \implies CanonicalizeAbs (UnaryExpr UnaryAbs ax) ax
 abs-neg:
 [nx = (UnaryExpr\ UnaryNeg\ x);
   is-IntegerStamp (stamp-expr x)
   \implies CanonicalizeAbs (UnaryExpr UnaryAbs nx) (UnaryExpr UnaryAbs x)
inductive CanonicalizeNot :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
 not-not:
 [nx = (UnaryExpr\ UnaryNot\ x);
   is-IntegerStamp (stamp-expr x)
   \implies CanonicalizeNot (UnaryExpr UnaryNot nx) x
inductive CanonicalizeAnd :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
 and-same:
 [is-IntegerStamp\ (stamp-expr\ x)]
   \implies CanonicalizeAnd (BinaryExpr BinAnd x x) x |
 and-demorgans:
 [nx = (UnaryExpr\ UnaryNot\ x);
   ny = (UnaryExpr\ UnaryNot\ y);
   stampx = stamp-expr x;
   stampy = stamp\text{-}expr\ y;
   is-IntegerStamp stampx \land is-IntegerStamp stampy;
   stp-bits stampx = stp-bits stampy
     ⇒ CanonicalizeAnd (BinaryExpr BinAnd nx ny) (UnaryExpr UnaryNot
(BinaryExpr\ BinOr\ x\ y))
```

```
\mathbf{inductive} \ \mathit{CanonicalizeOr} :: \mathit{IRExpr} \Rightarrow \mathit{IRExpr} \Rightarrow \mathit{bool} \ \mathbf{where}
  or-same:
  [is-IntegerStamp\ (stamp-expr\ x)]
    \implies CanonicalizeOr (BinaryExpr BinOr x x) x \mid
  or-demorgans:
  [nx = (UnaryExpr\ UnaryNot\ x);
   ny = (UnaryExpr\ UnaryNot\ y);
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   is-IntegerStamp stampx \land is-IntegerStamp stampy;
   stp-bits stampx = stp-bits stampy
  \implies CanonicalizeOr (BinaryExpr BinOr nx ny) (UnaryExpr UnaryNot (BinaryExpr
BinAnd x y)
inductive CanonicalizeIntegerEquals :: IRExpr <math>\Rightarrow IRExpr \Rightarrow bool where
  int-equals-same:
 [x = y]
   \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x y) (ConstantExpr
(Int Val32 1))
 int-equals-distinct:
  [alwaysDistinct\ (stamp-expr\ x)\ (stamp-expr\ y)]
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x y) (ConstantExpr
(Int Val 32 \ \theta)) \mid
  int-equals-add-first-both-same:
  [left = (BinaryExpr\ BinAdd\ x\ y);
   right = (BinaryExpr\ BinAdd\ x\ z)
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals \ y \ z)
  int-equals-add-first-second-same:
  \llbracket left = (BinaryExpr\ BinAdd\ x\ y);
   right = (BinaryExpr\ BinAdd\ z\ x)
```

```
\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals \ y \ z) \mid
 int-equals-add-second-first-same:
 [left = (BinaryExpr\ BinAdd\ y\ x);
   right = (BinaryExpr\ BinAdd\ x\ z)
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals \ y \ z) \ |
 int-equals-add-second-both--same:
 [left = (BinaryExpr\ BinAdd\ y\ x);
   right = (BinaryExpr\ BinAdd\ z\ x)
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals\ y\ z)
 int-equals-sub-first-both-same:
 [left = (BinaryExpr\ BinSub\ x\ y);
   right = (BinaryExpr\ BinSub\ x\ z)
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals \ y \ z)
 int-equals-sub-second-both-same:
 [left = (BinaryExpr\ BinSub\ y\ x);
   right = (BinaryExpr\ BinSub\ z\ x)
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left right) (BinaryExpr
BinIntegerEquals \ y \ z)
 int\mbox{-}equals\mbox{-}left\mbox{-}contains\mbox{-}right\mbox{1}{:}
 [left = (BinaryExpr\ BinAdd\ x\ y);
   zero = (ConstantExpr (IntVal32 0))
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left x) (BinaryExpr
BinIntegerEquals\ y\ zero)
 int-equals-left-contains-right 2:
 [left = (BinaryExpr\ BinAdd\ x\ y);
   zero = (ConstantExpr (IntVal32 0))
  \Longrightarrow Canonicalize Integer Equals\ (Binary Expr\ Bin Integer Equals\ left\ y)\ (Binary Expr\ Bin Integer Equals\ left\ y)
BinIntegerEquals \ x \ zero) \mid
 int-equals-right-contains-left 1:
```

```
[right = (BinaryExpr\ BinAdd\ x\ y);
   zero = (ConstantExpr (IntVal32 0))
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x right) (BinaryExpr
BinIntegerEquals y zero) |
  int-equals-right-contains-left 2:
  [right = (BinaryExpr\ BinAdd\ x\ y);
   zero = (ConstantExpr (IntVal32 0))
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals y right) (BinaryExpr
BinIntegerEquals \ x \ zero) \mid
  int-equals-left-contains-right 3:
  [left = (BinaryExpr\ BinSub\ x\ y);
   zero = (ConstantExpr(IntVal32 0))
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left x) (BinaryExpr
BinIntegerEquals y zero) |
  int-equals-right-contains-left3:
  [right = (BinaryExpr\ BinSub\ x\ y);
   zero = (ConstantExpr (IntVal32 0))
  \implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x right) (BinaryExpr
BinIntegerEquals y zero)
inductive CanonicalizeConditional :: IRExpr \Rightarrow IRExpr \Rightarrow bool  where
  eq-branches:
  [t=f]
   \implies Canonicalize Conditional (Conditional Expr c t f) t |
  cond-eq:
  [c = (BinaryExpr\ BinIntegerEquals\ x\ y);
   stampx = stamp-expr x;
   stampy = stamp-expr y;
   is\text{-}IntegerStamp\ stampx\ \land\ is\text{-}IntegerStamp\ stampy};
   stp-bits stampx = stp-bits stampy
   \implies Canonicalize Conditional (Conditional Expr c x y) y
  condition	ext{-}bounds	ext{-}x:
  [c = (BinaryExpr\ BinIntegerLessThan\ x\ y);
   stampx = stamp-expr x;
   stampy = stamp\text{-}expr\ y;
   stpi-upper stampx \leq stpi-lower stampy;
```

```
stp-bits stampx = stp-bits stampy;
 is-IntegerStamp stampx \land is-IntegerStamp stampy
 \implies Canonicalize Conditional (Conditional Expr c x y) x
condition-bounds-y:
[c = (BinaryExpr\ BinIntegerLessThan\ x\ y);
 stampx = stamp-expr x;
 stampy = stamp-expr y;
 \textit{stpi-upper stampx} \leq \textit{stpi-lower stampy};
 stp-bits stampx = stp-bits stampy;
 is-IntegerStamp stampx \land is-IntegerStamp stampy
 \implies CanonicalizeConditional (ConditionalExpr c y x) y |
negate\text{-}condition:
[nc = (UnaryExpr\ UnaryLogicNegation\ c);
 stampc = stamp-expr c;
 stampc = IntegerStamp 32 lo hi;
 stampx = stamp-expr x;
 stampy = stamp-expr y;
 stp-bits stampx = stp-bits stampy;
 is-IntegerStamp stampx \land is-IntegerStamp stampy
 \implies Canonicalize Conditional (Conditional Expr nc x y) (Conditional Expr c y x)
const-true:
[c = ConstantExpr\ val;
 val-to-bool val
 \implies Canonicalize Conditional (Conditional Expr c t f) t |
const-false:
[c = ConstantExpr\ val;
 \neg (val\text{-}to\text{-}bool\ val)
 \implies CanonicalizeConditional (ConditionalExpr c t f) f
```

```
inductive CanonicalizationStep :: IRExpr \Rightarrow IRExpr \Rightarrow bool where BinaryNode:

[CanonicalizeBinaryOp expr expr']
```

```
\implies CanonicalizationStep \ expr \ expr'
  UnaryNode:
  [Canonicalize Unary Op \ expr \ expr']
  \implies CanonicalizationStep\ expr\ expr'
  NegateNode:
  [CanonicalizeNegate\ expr\ expr']
  \implies CanonicalizationStep\ expr\ expr'
  NotNode:
  [CanonicalizeNegate\ expr\ expr']
  \implies CanonicalizationStep expr expr'
  AddNode:
  [CanonicalizeAdd expr expr']
   \implies CanonicalizationStep \ expr \ expr'
  MulNode:
  [CanonicalizeMul expr expr']
  \implies CanonicalizationStep\ expr\ expr'
  SubNode:
  [CanonicalizeSub\ expr\ expr']
   \implies CanonicalizationStep\ expr\ expr'
  And Node:
  [CanonicalizeSub expr expr']
   \implies CanonicalizationStep expr expr'
  OrNode:
  [CanonicalizeSub\ expr\ expr']
   \implies CanonicalizationStep \ expr \ expr'
  IntegerEqualsNode:
  [CanonicalizeIntegerEquals\ expr\ expr']
  \implies CanonicalizationStep expr expr'
  Conditional Node:\\
  [Canonicalize Conditional\ expr\ expr]
   \implies CanonicalizationStep\ expr\ expr'
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeBinaryOp \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) Canonicalize Unary Op \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeNegate \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeNot \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeAdd (proof)
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeSub \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeMul \langle proof \rangle
```

```
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeAnd \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeIntegerEquals \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizeConditional \langle proof \rangle
code-pred (modes: i \Rightarrow o \Rightarrow bool) CanonicalizationStep \langle proof \rangle
end
      Canonicalization Phase
9
{f theory} \ {\it Canonicalization Tree Proofs}
  imports
    Canonicalization Tree
    Semantics.IRTreeEvalThms
begin
lemma neutral-rewrite-helper:
 shows valid-value (IntegerStamp 32 lo hi) x \Longrightarrow intval-mul\ x\ (IntVal32\ (1)) = x
         valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval-mul \ x \ (IntVal64 \ (1)) = x
          valid-value (IntegerStamp 32 lo hi) x \Longrightarrow intval-add \ x \ (IntVal32 \ (0)) = x
  and
          valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval-add \ x (IntVal64 (0)) = x
          valid-value (IntegerStamp 32 lo hi) x \Longrightarrow intval\text{-sub} \ x \ (IntVal32 \ (0)) = x
  and
          valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval\text{-sub} \ x \ (IntVal64 \ (0)) = x
  and
  and
          valid-value (IntegerStamp 32 lo hi) x \Longrightarrow intval\text{-}xor\ x\ (IntVal32\ (0)) = x
          valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval-xor x (IntVal64 (0)) = x
  and
         valid-value (IntegerStamp 32 lo hi) x \Longrightarrow intval\text{-}or\ x\ (IntVal32\ (0)) = x
         valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval\text{-}or\ x\ (IntVal64\ (0)) = x
  and
  \langle proof \rangle
lemma CanonicalizeBinaryProof:
  assumes CanonicalizeBinaryOp before after
 assumes [m, p] \vdash before \mapsto res
 assumes [m, p] \vdash after \mapsto res'
  shows res = res'
  \langle proof \rangle
lemma Canonicalize Unary Proof:
  assumes CanonicalizeUnaryOp before after
 assumes [m, p] \vdash before \mapsto res
  assumes [m, p] \vdash after \mapsto res'
  shows res = res'
  \langle proof \rangle
lemma mul-rewrite-helper:
```

shows valid-value (IntegerStamp 32 lo hi)  $x \Longrightarrow intval-mul\ x\ (IntVal32\ (-1)) =$ 

```
intval-negate x
  and valid-value (IntegerStamp 64 lo hi) x \Longrightarrow intval-mul x (IntVal64 (-1)) =
intval\text{-}negate\ x
  \langle proof \rangle
\mathbf{lemma}\ \mathit{CanonicalizeMulProof}\colon
  {\bf assumes}\ {\it Canonicalize Mul\ before\ after}
 assumes [m, p] \vdash before \mapsto res
 assumes [m, p] \vdash after \mapsto res'
 \mathbf{shows} \ \mathit{res} = \mathit{res}'
  \langle proof \rangle
\mathbf{lemma}\ add-rewrites-helper:
 assumes valid-value (IntegerStamp b lox hix) x
            valid-value (IntegerStamp b loy hiy) y
 shows intval-add (intval-sub x y) y = x
 and intval\text{-}add \ x \ (intval\text{-}sub \ y \ x) = y
 and intval-add (intval-negate x) y = intval-sub y x
 and intval-add x (intval-negate y) = intval-sub x y
  \langle proof \rangle
lemma CanonicalizeAddProof:
  assumes CanonicalizeAdd before after
 assumes [m, p] \vdash before \mapsto res
 assumes [m, p] \vdash after \mapsto res'
 shows res = res'
  \langle proof \rangle
{\bf lemma}\ sub\text{-}rewrites\text{-}helper:
  assumes valid-value (IntegerStamp\ b\ lox\ hix) x
            valid-value (IntegerStamp b loy hiy) y
 \mathbf{shows} \ intval\text{-}sub \ (intval\text{-}add \ x \ y) \ y \ = x
  and intval-sub (intval-add x y) x = y
  and
         intval-sub (intval-sub x y) x = intval-negate y
  and
         intval-sub x (intval-add x y) = intval-negate y
  and intval-sub y (intval-add x y) = intval-negate x
```

```
intval-sub x (intval-sub x y) = y
  \mathbf{and}
           intval-sub x (intval-negate y) = intval-add x y
  \langle proof \rangle
{f lemma}\ sub\text{-}single\text{-}rewrites\text{-}helper:
  assumes valid-value (IntegerStamp \ b \ lox \ hix) x
  shows b = 32 \Longrightarrow intval\text{-sub} \ x \ x = IntVal32 \ 0
              b = 64 \Longrightarrow intval\text{-sub} \ x \ x = IntVal64 \ 0
  and
              b = 32 \Longrightarrow intval\text{-sub} (IntVal32\ 0)\ x = intval\text{-negate}\ x
  and
              b = 64 \Longrightarrow intval\text{-sub} (IntVal64 0) \ x = intval\text{-negate} \ x
  and
  \langle proof \rangle
lemma CanonicalizeSubProof:
  assumes CanonicalizeSub before after
  \mathbf{assumes}\ [m,\ p] \vdash \mathit{before} \mapsto \mathit{res}
  assumes [m, p] \vdash after \mapsto res'
  shows res = res'
  \langle proof \rangle
lemma negate-xsuby-helper:
  assumes valid-value (IntegerStamp b lox hix) x
  and valid-value (IntegerStamp b loy hiy) y
  shows intval-negate (intval-sub x y) = intval-sub y x
  \langle proof \rangle
\mathbf{lemma}\ negate\text{-}negate\text{-}helper:
  assumes valid-value (IntegerStamp\ b\ lox\ hix) x
  shows intval-negate (intval-negate x) = x
  \langle proof \rangle
lemma CanonicalizeNegateProof:
  assumes CanonicalizeNegate before after
  assumes [m, p] \vdash before \mapsto res
  assumes [m, p] \vdash after \mapsto res'
  shows res = res'
  \langle proof \rangle
lemma word-helper:
  shows \bigwedge x :: 32 \ word. \ \neg(-x < s \ \theta \land x < s \ \theta)
  and \bigwedge x :: 64 \text{ word. } \neg(-x < s \ 0 \land x < s \ 0)
  and \bigwedge_{}^{} x :: 32 \ word. \ \neg - x < s \ 0 \land \neg x < s \ 0 \Longrightarrow 2 * x = 0 and \bigwedge_{}^{} x :: 64 \ word. \ \neg - x < s \ 0 \land \neg x < s \ 0 \Longrightarrow 2 * x = 0
  \langle proof \rangle
```

```
lemma abs-rewrite-helper:
  assumes valid-value (IntegerStamp\ b\ lox\ hix) x
 shows intval-abs (intval-negate x) = intval-abs x
 and intval-abs (intval-abs x) = intval-abs x
  \langle proof \rangle
lemma CanonicalizeAbsProof:
  assumes CanonicalizeAbs before after
  assumes [m, p] \vdash before \mapsto res
  assumes [m, p] \vdash after \mapsto res'
 shows res = res'
  \langle proof \rangle
lemma not-rewrite-helper:
  assumes valid-value (IntegerStamp\ b\ lox\ hix) x
 shows intval-not (intval-not x) = x
  \langle proof \rangle
lemma CanonicalizeNotProof:
  {\bf assumes} \ {\it CanonicalizeNot before after}
  assumes [m, p] \vdash before \mapsto res
  assumes [m, p] \vdash after \mapsto res'
  shows res = res'
  \langle proof \rangle
lemma demorgans-rewrites-helper:
  assumes valid-value (IntegerStamp b lox hix) x
            valid\text{-}value\ (IntegerStamp\ b\ loy\ hiy)\ y
 and
 shows intval-and (intval-not x) (intval-not y) = intval-not (intval-or xy)
  and intval\text{-}or\ (intval\text{-}not\ x)\ (intval\text{-}not\ y) = intval\text{-}not\ (intval\text{-}and\ x\ y)
  and x = y \Longrightarrow intval\text{-}and \ x \ y = x
  and x = y \Longrightarrow intval\text{-}or \ x \ y = x
  \langle proof \rangle
lemma CanonicalizeAndProof:
  assumes CanonicalizeAnd before after
  assumes [m, p] \vdash before \mapsto res
  assumes [m, p] \vdash after \mapsto res'
 shows res = res'
  \langle proof \rangle
{\bf lemma}\ {\it Canonicalize Or Proof:}
  {\bf assumes}\ {\it CanonicalizeOr}\ {\it before}\ {\it after}
  assumes [m, p] \vdash before \mapsto res
 assumes [m, p] \vdash after \mapsto res'
 shows res = res'
```

```
\langle proof \rangle
{\bf lemma}\ stamps-touch-but-not-less-than-implies-equal:
  [valid-value\ stampx\ x;
     valid-value stampy y;
     is-IntegerStamp stampx \land is-IntegerStamp stampy;
     stpi-upper\ stampx = stpi-lower\ stampy;
     \neg val\text{-}to\text{-}bool\ (intval\text{-}less\text{-}than\ x\ y)]] \Longrightarrow x = y
   \langle proof \rangle
{\bf lemma}\ disjoint\hbox{-}stamp\hbox{-}implies\hbox{-}less\hbox{-}than:
  [valid-value\ stampx\ x;
     valid-value\ stampy\ y;
     is\text{-}IntegerStamp\ stampx\ \land\ is\text{-}IntegerStamp\ stampy};
     stpi-upper\ stampx < stpi-lower\ stampy
   \implies val\text{-}to\text{-}bool(intval\text{-}less\text{-}than x y)
  \langle proof \rangle
\mathbf{lemma}\ \mathit{CanonicalizeConditionalProof} :
  {\bf assumes}\ {\it Canonicalize Conditional\ before\ after}
  assumes [m, p] \vdash before \mapsto res
  \mathbf{assumes}\ [m,\ p] \vdash \mathit{after} \mapsto \mathit{res'}
  shows res = res'
  \langle proof \rangle
\quad \text{end} \quad
```