

Veriopt

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Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

Contents

1	Runtime Values and Arithmetic	3
2	Nodes	8
2.1	Types of Nodes	8
2.2	Hierarchy of Nodes	15
3	Stamp Typing	21
4	Graph Representation	27
4.0.1	Example Graphs	31
5	Data-flow Semantics	32
6	Control-flow Semantics	38
6.1	Heap	38
6.2	Intraprocedural Semantics	39
6.3	Interprocedural Semantics	45
6.4	Big-step Execution	46
6.4.1	Heap Testing	47
7	Proof Infrastructure	48
7.1	Bisimulation	48
7.2	Formedness Properties	49
7.3	Dynamic Frames	51
7.4	Graph Rewriting	62
7.5	Stuttering	66
8	Canonicalization Phase	67
9	Conditional Elimination Phase	82
9.1	Individual Elimination Rules	82
9.2	Control-flow Graph Traversal	91
10	Graph Construction Phase	100

1 Runtime Values and Arithmetic

```

theory Values
  imports
    HOL-Library.Word
    HOL-Library.Signed-Division
    HOL-Library.Float
    HOL-Library.LaTeXsugar
begin

```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

```

type-synonym objref = nat option

```

```

datatype Value =
  UndefVal |
  IntVal (v-bits: int) (v-int: int) |
  FloatVal (v-bits: int) (v-float: float) |
  ObjRef objref |
  ObjStr string

```

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each (IntVal b v) should satisfy the invariants:

$$b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}$$

$$1 < b \implies v \equiv \text{scast } (\text{signed-take-bit } b \ v)$$

```

type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean

```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wff-value* function.

— Check that a signed int value does not overflow b bits.

```

fun fits-into-n :: nat  $\Rightarrow$  int  $\Rightarrow$  bool where
  fits-into-n b val = ((-(2b-1))  $\leq$  val)  $\wedge$  (val < (2b-1)))

```

definition *int-bits-allowed* :: *int set* **where**
int-bits-allowed = {32}

fun *wff-value* :: *Value* \Rightarrow *bool* **where**
wff-value (*IntVal* *b v*) =
 (*b* \in *int-bits-allowed* \wedge
 (*nat b* = 1 \longrightarrow (*v* = 0 \vee *v* = 1)) \wedge
 (*nat b* > 1 \longrightarrow *fits-into-n* (*nat b*) *v*)) |
wff-value - = *True*

value *sint*(*word-of-int* (1) :: *int1*)

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of *intval* functions correspond to the JVM arithmetic operations.

fun *intval-add* :: *Value* \Rightarrow *Value* \Rightarrow *Value* (**infix** +* 65) **where**
intval-add (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =
 (*if* *b1* \leq 32 \wedge *b2* \leq 32
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) + (*word-of-int* *v2* :: *int32*))))
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) + (*word-of-int* *v2* :: *int64*)))) |
intval-add - = *UndefVal*

fun *intval-sub* :: *Value* \Rightarrow *Value* \Rightarrow *Value* (**infix** -* 65) **where**
intval-sub (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =
 (*if* *b1* \leq 32 \wedge *b2* \leq 32
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) - (*word-of-int* *v2* :: *int32*))))
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) - (*word-of-int* *v2* :: *int64*)))) |
intval-sub - = *UndefVal*

fun *intval-mul* :: *Value* \Rightarrow *Value* \Rightarrow *Value* (**infix** ** 70) **where**
intval-mul (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =
 (*if* *b1* \leq 32 \wedge *b2* \leq 32
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) * (*word-of-int* *v2* :: *int32*))))
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) * (*word-of-int* *v2* :: *int64*)))) |
intval-mul - = *UndefVal*

fun *intval-div* :: *Value* \Rightarrow *Value* \Rightarrow *Value* (**infix** /* 70) **where**

```

intval-div (IntVal b1 v1) (IntVal b2 v2) =
  (if b1 ≤ 32 ∧ b2 ≤ 32
    then (IntVal 32 (sint((word-of-int(v1 sdiv v2) :: int32))))
    else (IntVal 64 (sint((word-of-int(v1 sdiv v2) :: int64)))) |
intval-div - - = UndefVal

```

```

fun intval-mod :: Value ⇒ Value ⇒ Value (infix %* 70) where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
      then (IntVal 32 (sint((word-of-int(v1 smod v2) :: int32))))
      else (IntVal 64 (sint((word-of-int(v1 smod v2) :: int64)))) |
  intval-mod - - = UndefVal

```

```

fun intval-and :: Value ⇒ Value ⇒ Value (infix &* 64) where
  intval-and (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
      then (IntVal 32 (sint((word-of-int v1 :: int32) AND (word-of-int v2 :: int32))))
      else (IntVal 64 (sint((word-of-int v1 :: int64) AND (word-of-int v2 :: int64)))) |
  intval-and - - = UndefVal

```

```

fun intval-or :: Value ⇒ Value ⇒ Value (infix ||* 59) where
  intval-or (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
      then (IntVal 32 (sint((word-of-int v1 :: int32) OR (word-of-int v2 :: int32))))
      else (IntVal 64 (sint((word-of-int v1 :: int64) OR (word-of-int v2 :: int64)))) |
  intval-or - - = UndefVal

```

```

fun intval-xor :: Value ⇒ Value ⇒ Value (infix ^* 59) where
  intval-xor (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
      then (IntVal 32 (sint((word-of-int v1 :: int32) XOR (word-of-int v2 :: int32))))
      else (IntVal 64 (sint((word-of-int v1 :: int64) XOR (word-of-int v2 :: int64)))) |
  intval-xor - - = UndefVal

```

lemma *intval-add-bits*:

assumes b : IntVal b $res = intval-add\ x\ y$

shows $b = 32 \vee b = 64$

proof –

```

have def: intval-add x y ≠ UndefVal
  using b by auto
obtain b1 v1 where x: x = IntVal b1 v1
  by (metis Value.exhaust-sel def intval-add.simps(2,3,4,5))
obtain b2 v2 where y: y = IntVal b2 v2
  by (metis Value.exhaust-sel def intval-add.simps(6,7,8,9))
have
  ax: intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
     then (IntVal 32 (sint((word-of-int v1 :: int32) + (word-of-int v2 :: int32))))
     else (IntVal 64 (sint((word-of-int v1 :: int64) + (word-of-int v2 :: int64)))))
    (is ?L = (if ?C then (IntVal 32 ?A) else (IntVal 64 ?B)))
  by simp
then have l: IntVal b res = ?L using b x y by simp
have (b1 ≤ 32 ∧ b2 ≤ 32) ∨ ¬(b1 ≤ 32 ∧ b2 ≤ 32) by auto
then show ?thesis
proof
  assume (b1 ≤ 32 ∧ b2 ≤ 32)
  then have r32: ?L = (IntVal 32 ?A) using ax by auto
  then have b = 32 using r32 l b by auto
  then show ?thesis by simp
next
  assume ¬(b1 ≤ 32 ∧ b2 ≤ 32)
  then have r64: ?L = (IntVal 64 ?B) using ax by auto
  then have b = 64 using r64 l b by auto
  then show ?thesis by simp
qed
qed

```

```

lemma word-add-sym:
  shows word-of-int v1 + word-of-int v2 = word-of-int v2 + word-of-int v1
  by simp

```

```

lemma intval-add-sym1:
  shows intval-add (IntVal b1 v1) (IntVal b2 v2) = intval-add (IntVal b2 v2) (IntVal
b1 v1)
  by (simp add: word-add-sym)

```

```

lemma intval-add-sym:
  shows intval-add x y = intval-add y x
  using intval-add-sym1 apply simp
  apply (induction x)
  apply auto
  apply (induction y)
  apply auto
done

```

```

lemma wff-int32:
  assumes wf: wff-value (IntVal b v)
  shows b = 32
proof -
  have b ∈ int-bits-allowed
  using wf wff-value.simps(1) by blast
  then show ?thesis
  by (simp add: int-bits-allowed-def)
qed

```

```

lemma wff-int [simp]:
  assumes wff: wff-value (IntVal w n)
  assumes notbool: w = 32
  shows sint((word-of-int n) :: int32) = n
  apply (simp only: int-word-sint)
  using wff notbool apply simp
done

```

```

lemma add32-0:
  assumes z:wff-value (IntVal 32 0)
  assumes b:wff-value (IntVal 32 b)
  shows intval-add (IntVal 32 0) (IntVal 32 b) = (IntVal 32 (b))
  apply (simp only: intval-add.simps word-of-int-0)
  apply (simp only: order-class.order.refl conj-absorb if-True)
  apply (simp only: word-add-def uint-0-eq add-0)
  apply (simp only: word-of-int-uint int-word-sint)
  using b apply simp
done

```

```

code-deps intval-add
code-thms intval-add

```

```

lemma intval-add (IntVal 32 (231-1)) (IntVal 32 (231-1)) = IntVal 32 (-2)
  by eval
lemma intval-add (IntVal 64 (231-1)) (IntVal 32 (231-1)) = IntVal 64 4294967294
  by eval
end

```

2 Nodes

2.1 Types of Nodes

```
theory IRNodes
  imports
    Values
begin
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write `INPUT` (or special case thereof) instead of `ID` for input edges, and `SUCC` instead of `ID` for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
| AddNode (ir-x: INPUT) (ir-y: INPUT)
| AndNode (ir-x: INPUT) (ir-y: INPUT)
| BeginNode (ir-next: SUCC)
| BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue: INPUT)
| ConstantNode (ir-const: Value)
| DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt: INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| EndNode
| ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
```


| *FrameState* (*ir-monitorIds*: INPUT-ASSOC list) (*ir-outerFrameState-opt*: INPUT-STATE option) (*ir-values-opt*: INPUT list option) (*ir-virtualObjectMappings-opt*: INPUT-STATE list option)
 | *IfNode* (*ir-condition*: INPUT-COND) (*ir-trueSuccessor*: SUCC) (*ir-falseSuccessor*: SUCC)
 | *IntegerEqualsNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
 | *IntegerLessThanNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
 | *InvokeNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *InvokeWithExceptionNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC) (*ir-exceptionEdge*: SUCC)
 | *IsNullNode* (*ir-value*: INPUT)
 | *KillingBeginNode* (*ir-next*: SUCC)
 | *LoadFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
 | *LogicNegationNode* (*ir-value*: INPUT-COND)
 | *LoopBeginNode* (*ir-ends*: INPUT-ASSOC list) (*ir-overflowGuard-opt*: INPUT-GUARD option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *LoopEndNode* (*ir-loopBegin*: INPUT-ASSOC)
 | *LoopExitNode* (*ir-loopBegin*: INPUT-ASSOC) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *MergeNode* (*ir-ends*: INPUT-ASSOC list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *MethodCallTargetNode* (*ir-targetMethod*: string) (*ir-arguments*: INPUT list)
 | *MulNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
 | *NegateNode* (*ir-value*: INPUT)
 | *NewArrayNode* (*ir-length*: INPUT) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *NewInstanceNode* (*ir-nid*: ID) (*ir-instanceClass*: string) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *NotNode* (*ir-value*: INPUT)
 | *OrNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
 | *ParameterNode* (*ir-index*: nat)
 | *PiNode* (*ir-object*: INPUT) (*ir-guard-opt*: INPUT-GUARD option)
 | *ReturnNode* (*ir-result-opt*: INPUT option) (*ir-memoryMap-opt*: INPUT-EXT option)
 | *ShortCircuitOrNode* (*ir-x*: INPUT-COND) (*ir-y*: INPUT-COND)
 | *SignedDivNode* (*ir-nid*: ID) (*ir-x*: INPUT) (*ir-y*: INPUT) (*ir-zeroCheck-opt*: INPUT-GUARD option) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *SignedRemNode* (*ir-nid*: ID) (*ir-x*: INPUT) (*ir-y*: INPUT) (*ir-zeroCheck-opt*: INPUT-GUARD option) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *StartNode* (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
 | *StoreFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-value*: INPUT) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
 | *SubNode* (*ir-x*: INPUT) (*ir-y*: INPUT)

```

| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XorNode (ir-x: INPUT) (ir-y: INPUT)
| NoNode

```

```

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option  $\Rightarrow$  'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option  $\Rightarrow$  'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode  $\Rightarrow$  ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
  Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-DynamicNewArrayNode:
  inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
  next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
  |
  inputs-of-EndNode:
  inputs-of (EndNode) = [] |
  inputs-of-ExceptionObjectNode:
  inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |
  inputs-of-FrameState:

```

inputs-of (*FrameState* *monitorIds* *outerFrameState* *values* *virtualObjectMappings*)
 = *monitorIds* @ (*opt-to-list* *outerFrameState*) @ (*opt-list-to-list* *values*) @ (*opt-list-to-list* *virtualObjectMappings*) |
inputs-of-IfNode:
inputs-of (*IfNode* *condition* *trueSuccessor* *falseSuccessor*) = [*condition*] |
inputs-of-IntegerEqualsNode:
inputs-of (*IntegerEqualsNode* *x* *y*) = [*x*, *y*] |
inputs-of-IntegerLessThanNode:
inputs-of (*IntegerLessThanNode* *x* *y*) = [*x*, *y*] |
inputs-of-InvokeNode:
inputs-of (*InvokeNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next*)
 = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |
inputs-of-InvokeWithExceptionNode:
inputs-of (*InvokeWithExceptionNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next* *exceptionEdge*) = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |
inputs-of-IsNullNode:
inputs-of (*IsNullNode* *value*) = [*value*] |
inputs-of-KillingBeginNode:
inputs-of (*KillingBeginNode* *next*) = [] |
inputs-of-LoadFieldNode:
inputs-of (*LoadFieldNode* *nid0* *field* *object* *next*) = (*opt-to-list* *object*) |
inputs-of-LogicNegationNode:
inputs-of (*LogicNegationNode* *value*) = [*value*] |
inputs-of-LoopBeginNode:
inputs-of (*LoopBeginNode* *ends* *overflowGuard* *stateAfter* *next*) = *ends* @ (*opt-to-list* *overflowGuard*) @ (*opt-to-list* *stateAfter*) |
inputs-of-LoopEndNode:
inputs-of (*LoopEndNode* *loopBegin*) = [*loopBegin*] |
inputs-of-LoopExitNode:
inputs-of (*LoopExitNode* *loopBegin* *stateAfter* *next*) = *loopBegin* # (*opt-to-list* *stateAfter*) |
inputs-of-MergeNode:
inputs-of (*MergeNode* *ends* *stateAfter* *next*) = *ends* @ (*opt-to-list* *stateAfter*) |
inputs-of-MethodCallTargetNode:
inputs-of (*MethodCallTargetNode* *targetMethod* *arguments*) = *arguments* |
inputs-of-MulNode:
inputs-of (*MulNode* *x* *y*) = [*x*, *y*] |
inputs-of-NegateNode:
inputs-of (*NegateNode* *value*) = [*value*] |
inputs-of-NewArrayNode:
inputs-of (*NewArrayNode* *length0* *stateBefore* *next*) = *length0* # (*opt-to-list* *stateBefore*) |
inputs-of-NewInstanceNode:
inputs-of (*NewInstanceNode* *nid0* *instanceClass* *stateBefore* *next*) = (*opt-to-list* *stateBefore*) |
inputs-of-NotNode:
inputs-of (*NotNode* *value*) = [*value*] |

inputs-of-OrNode:
inputs-of (OrNode x y) = [x, y] |
inputs-of-ParameterNode:
inputs-of (ParameterNode index) = [] |
inputs-of-PiNode:
inputs-of (PiNode object guard) = object # (opt-to-list guard) |
inputs-of-ReturnNode:
inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |
inputs-of-ShortCircuitOrNode:
inputs-of (ShortCircuitOrNode x y) = [x, y] |
inputs-of-SignedDivNode:
inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
inputs-of-SignedRemNode:
inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
inputs-of-StartNode:
inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |
inputs-of-StoreFieldNode:
inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value # (opt-to-list stateAfter) @ (opt-to-list object) |
inputs-of-SubNode:
inputs-of (SubNode x y) = [x, y] |
inputs-of-UnwindNode:
inputs-of (UnwindNode exception) = [exception] |
inputs-of-ValuePhiNode:
inputs-of (ValuePhiNode nid0 values merge) = merge # values |
inputs-of-ValueProxyNode:
inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |
inputs-of-XorNode:
inputs-of (XorNode x y) = [x, y] |
inputs-of-NoNode: inputs-of (NoNode) = [] |

inputs-of-RefNode: inputs-of (RefNode ref) = [ref]

fun *successors-of* :: *IRNode* \Rightarrow *ID list* **where**

successors-of-AbsNode:
successors-of (AbsNode value) = [] |
successors-of-AddNode:
successors-of (AddNode x y) = [] |
successors-of-AndNode:
successors-of (AndNode x y) = [] |
successors-of-BeginNode:
successors-of (BeginNode next) = [next] |
successors-of-BytecodeExceptionNode:
successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |

successors-of-ConditionalNode:
successors-of (ConditionalNode condition trueValue falseValue) = [] |
successors-of-ConstantNode:
successors-of (ConstantNode const) = [] |
successors-of-DynamicNewArrayNode:
successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [next] |
successors-of-EndNode:
successors-of (EndNode) = [] |
successors-of-ExceptionObjectNode:
successors-of (ExceptionObjectNode stateAfter next) = [next] |
successors-of-FrameState:
successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
successors-of-IfNode:
successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor] |
successors-of-IntegerEqualsNode:
successors-of (IntegerEqualsNode x y) = [] |
successors-of-IntegerLessThanNode:
successors-of (IntegerLessThanNode x y) = [] |
successors-of-InvokeNode:
successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next] |
successors-of-InvokeWithExceptionNode:
successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter next exceptionEdge) = [next, exceptionEdge] |
successors-of-IsNullNode:
successors-of (IsNullNode value) = [] |
successors-of-KillingBeginNode:
successors-of (KillingBeginNode next) = [next] |
successors-of-LoadFieldNode:
successors-of (LoadFieldNode nid0 field object next) = [next] |
successors-of-LogicNegationNode:
successors-of (LogicNegationNode value) = [] |
successors-of-LoopBeginNode:
successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |
successors-of-LoopEndNode:
successors-of (LoopEndNode loopBegin) = [] |
successors-of-LoopExitNode:
successors-of (LoopExitNode loopBegin stateAfter next) = [next] |
successors-of-MergeNode:
successors-of (MergeNode ends stateAfter next) = [next] |
successors-of-MethodCallTargetNode:
successors-of (MethodCallTargetNode targetMethod arguments) = [] |
successors-of-MulNode:
successors-of (MulNode x y) = [] |
successors-of-NegateNode:
successors-of (NegateNode value) = [] |

successors-of-NewArrayNode:
successors-of (NewArrayNode length0 stateBefore next) = [next] |
successors-of-NewInstanceNode:
successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |
successors-of-NotNode:
successors-of (NotNode value) = [] |
successors-of-OrNode:
successors-of (OrNode x y) = [] |
successors-of-ParameterNode:
successors-of (ParameterNode index) = [] |
successors-of-PiNode:
successors-of (PiNode object guard) = [] |
successors-of-ReturnNode:
successors-of (ReturnNode result memoryMap) = [] |
successors-of-ShortCircuitOrNode:
successors-of (ShortCircuitOrNode x y) = [] |
successors-of-SignedDivNode:
successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next] |
successors-of-SignedRemNode:
successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next] |
successors-of-StartNode:
successors-of (StartNode stateAfter next) = [next] |
successors-of-StoreFieldNode:
successors-of (StoreFieldNode nid0 field value stateAfter object next) = [next] |
successors-of-SubNode:
successors-of (SubNode x y) = [] |
successors-of-UnwindNode:
successors-of (UnwindNode exception) = [] |
successors-of-ValuePhiNode:
successors-of (ValuePhiNode nid0 values merge) = [] |
successors-of-ValueProxyNode:
successors-of (ValueProxyNode value loopExit) = [] |
successors-of-XorNode:
successors-of (XorNode x y) = [] |
successors-of-NoNode: successors-of (NoNode) = [] |

successors-of-RefNode: successors-of (RefNode ref) = [ref]

lemma *inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z*

unfolding *inputs-of-FrameState* **by** *simp*

lemma *successors-of (FrameState x (Some y) (Some z) None) = []*

unfolding *inputs-of-FrameState* **by** *simp*

lemma *inputs-of (IfNode c t f) = [c]*

unfolding *inputs-of-IfNode* **by** *simp*

lemma *successors-of (IfNode c t f) = [t, f]*

```

unfolding successors-of-IfNode by simp

lemma inputs-of (EndNode) = []  $\wedge$  successors-of (EndNode) = []
unfolding inputs-of-EndNode successors-of-EndNode by simp

end

```

2.2 Hierarchy of Nodes

```

theory IRNodeHierarchy
imports IRNodes
begin

```

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is*<ClassName>Type will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```

fun is-EndNode :: IRNode  $\Rightarrow$  bool where
  is-EndNode EndNode = True |
  is-EndNode - = False

fun is-ControlSinkNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSinkNode n = ((is-ReturnNode n)  $\vee$  (is-UnwindNode n))

fun is-AbstractMergeNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n)  $\vee$  (is-MergeNode n))

fun is-BeginStateSplitNode :: IRNode  $\Rightarrow$  bool where
  is-BeginStateSplitNode n = ((is-AbstractMergeNode n)  $\vee$  (is-ExceptionObjectNode
n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-StartNode n))

fun is-AbstractBeginNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractBeginNode n = ((is-BeginNode n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$ 
(is-KillingBeginNode n))

fun is-AbstractNewArrayNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n)  $\vee$  (is-NewArrayNode
n))

fun is-AbstractNewObjectNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n)  $\vee$  (is-NewInstanceNode
n))

```

```

fun is-IntegerDivRemNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n)  $\vee$  (is-SignedRemNode n))

fun is-FixedBinaryNode :: IRNode  $\Rightarrow$  bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))

fun is-DeoptimizingFixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n)  $\vee$  (is-FixedBinaryNode n))

fun is-AbstractMemoryCheckpoint :: IRNode  $\Rightarrow$  bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n)  $\vee$  (is-InvokeNode n))

fun is-AbstractStateSplit :: IRNode  $\Rightarrow$  bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AccessFieldNode :: IRNode  $\Rightarrow$  bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n)  $\vee$  (is-StoreFieldNode n))

fun is-FixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractStateSplit n)
 $\vee$  (is-AccessFieldNode n)  $\vee$  (is-DeoptimizingFixedWithNextNode n))

fun is-WithExceptionNode :: IRNode  $\Rightarrow$  bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSplitNode n = ((is-IfNode n)  $\vee$  (is-WithExceptionNode n))

fun is-AbstractEndNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractEndNode n = ((is-EndNode n)  $\vee$  (is-LoopEndNode n))

fun is-FixedNode :: IRNode  $\Rightarrow$  bool where
  is-FixedNode n = ((is-AbstractEndNode n)  $\vee$  (is-ControlSinkNode n)  $\vee$  (is-ControlSplitNode n)
 $\vee$  (is-FixedWithNextNode n))

fun is-FloatingGuardedNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-UnaryNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))

fun is-BinaryArithmeticNode :: IRNode  $\Rightarrow$  bool where

```



```

    is-BinaryArithmeticNode n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-MulNode
n) ∨ (is-OrNode n) ∨ (is-SubNode n) ∨ (is-XorNode n))

fun is-BinaryNode :: IRNode ⇒ bool where
    is-BinaryNode n = ((is-BinaryArithmeticNode n))

fun is-PhiNode :: IRNode ⇒ bool where
    is-PhiNode n = ((is-ValuePhiNode n))

fun is-IntegerLowerThanNode :: IRNode ⇒ bool where
    is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n))

fun is-CompareNode :: IRNode ⇒ bool where
    is-CompareNode n = ((is-IntegerEqualsNode n) ∨ (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode ⇒ bool where
    is-BinaryOpLogicNode n = ((is-CompareNode n))

fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
    is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-LogicNode :: IRNode ⇒ bool where
    is-LogicNode n = ((is-BinaryOpLogicNode n) ∨ (is-LogicNegationNode n) ∨
(is-ShortCircuitOrNode n) ∨ (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode ⇒ bool where
    is-ProxyNode n = ((is-ValueProxyNode n))

fun is-AbstractLocalNode :: IRNode ⇒ bool where
    is-AbstractLocalNode n = ((is-ParameterNode n))

fun is-FloatingNode :: IRNode ⇒ bool where
    is-FloatingNode n = ((is-AbstractLocalNode n) ∨ (is-BinaryNode n) ∨ (is-ConditionalNode
n) ∨ (is-ConstantNode n) ∨ (is-FloatingGuardedNode n) ∨ (is-LogicNode n) ∨
(is-PhiNode n) ∨ (is-ProxyNode n) ∨ (is-UnaryNode n))

fun is-CallTargetNode :: IRNode ⇒ bool where
    is-CallTargetNode n = ((is-MethodCallTargetNode n))

fun is-ValueNode :: IRNode ⇒ bool where
    is-ValueNode n = ((is-CallTargetNode n) ∨ (is-FixedNode n) ∨ (is-FloatingNode
n))

fun is-VirtualState :: IRNode ⇒ bool where
    is-VirtualState n = ((is-FrameState n))

fun is-Node :: IRNode ⇒ bool where
    is-Node n = ((is-ValueNode n) ∨ (is-VirtualState n))

```

```

fun is-MemoryKill :: IRNode  $\Rightarrow$  bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-AddNode n)  $\vee$  (is-AndNode
n)  $\vee$  (is-MulNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode n)  $\vee$  (is-OrNode n)  $\vee$ 
(is-SubNode n)  $\vee$  (is-XorNode n))

fun is-AnchoringNode :: IRNode  $\Rightarrow$  bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode  $\Rightarrow$  bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode  $\Rightarrow$  bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode  $\Rightarrow$  bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractMergeNode n)  $\vee$ 
(is-FrameState n)  $\vee$  (is-IfNode n)  $\vee$  (is-IntegerDivRemNode n)  $\vee$  (is-InvokeWithExceptionNode
n)  $\vee$  (is-LoopBeginNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)
 $\vee$  (is-ParameterNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode  $\Rightarrow$  bool where
  is-Invoke n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode  $\Rightarrow$  bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode  $\Rightarrow$  bool where
  is-ValueProxy n = ((is-PiNode n)  $\vee$  (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode  $\Rightarrow$  bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n)  $\vee$  (is-ConstantNode
n))

fun is-StampInverter :: IRNode  $\Rightarrow$  bool where
  is-StampInverter n = ((is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-GuardingNode :: IRNode  $\Rightarrow$  bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))

fun is-SingleMemoryKill :: IRNode  $\Rightarrow$  bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode
n)  $\vee$  (is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-KillingBeginNode
n)  $\vee$  (is-StartNode n))

```

```

fun is-LIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-LIRLowerable n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractEndNode n)  $\vee$ 
    (is-AbstractMergeNode n)  $\vee$  (is-BinaryOpLogicNode n)  $\vee$  (is-CallTargetNode n)  $\vee$ 
    (is-ConditionalNode n)  $\vee$  (is-ConstantNode n)  $\vee$  (is-IfNode n)  $\vee$  (is-InvokeNode n)
     $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-IsNullNode n)  $\vee$  (is-LoopBeginNode n)  $\vee$ 
    (is-PiNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-SignedDivNode n)  $\vee$  (is-SignedRemNode
    n)  $\vee$  (is-UnaryOpLogicNode n)  $\vee$  (is-UnwindNode n))

fun is-GuardedNode :: IRNode  $\Rightarrow$  bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))

fun is-ArithmeticLIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n)  $\vee$  (is-BinaryArithmeticNode n)
     $\vee$  (is-NotNode n)  $\vee$  (is-UnaryArithmeticNode n))

fun is-SwitchFoldable :: IRNode  $\Rightarrow$  bool where
  is-SwitchFoldable n = ((is-IfNode n))

fun is-VirtualizableAllocation :: IRNode  $\Rightarrow$  bool where
  is-VirtualizableAllocation n = ((is-NewArrayNode n)  $\vee$  (is-NewInstanceNode n))

fun is-Unary :: IRNode  $\Rightarrow$  bool where
  is-Unary n = ((is-LoadFieldNode n)  $\vee$  (is-LogicNegationNode n)  $\vee$  (is-UnaryNode
    n)  $\vee$  (is-UnaryOpLogicNode n))

fun is-FixedNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-FixedNodeInterface n = ((is-FixedNode n))

fun is-BinaryCommutative :: IRNode  $\Rightarrow$  bool where
  is-BinaryCommutative n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-IntegerEqualsNode
    n)  $\vee$  (is-MulNode n)  $\vee$  (is-OrNode n)  $\vee$  (is-XorNode n))

fun is-Canonicalizable :: IRNode  $\Rightarrow$  bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ConditionalNode n)  $\vee$ 
    (is-DynamicNewArrayNode n)  $\vee$  (is-PhiNode n)  $\vee$  (is-PiNode n)  $\vee$  (is-ProxyNode
    n)  $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-UncheckedInterfaceProvider :: IRNode  $\Rightarrow$  bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode
    n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-ParameterNode n))

fun is-Binary :: IRNode  $\Rightarrow$  bool where
  is-Binary n = ((is-BinaryArithmeticNode n)  $\vee$  (is-BinaryNode n)  $\vee$  (is-BinaryOpLogicNode
    n)  $\vee$  (is-CompareNode n)  $\vee$  (is-FixedBinaryNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-ArithmeticOperation :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n)  $\vee$  (is-UnaryArithmeticNode
    n))

```

```

fun is-ValueNumberable :: IRNode  $\Rightarrow$  bool where
  is-ValueNumberable n = ((is-FloatingNode n)  $\vee$  (is-ProxyNode n))

fun is-Lowerable :: IRNode  $\Rightarrow$  bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n)  $\vee$  (is-AccessFieldNode n)  $\vee$ 
    (is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$  (is-IntegerDivRemNode
    n)  $\vee$  (is-UnwindNode n))

fun is-Virtualizable :: IRNode  $\Rightarrow$  bool where
  is-Virtualizable n = ((is-IsNullNode n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-PiNode n)
     $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode  $\Rightarrow$  bool where
  is-Simplifiable n = ((is-AbstractMergeNode n)  $\vee$  (is-BeginNode n)  $\vee$  (is-IfNode
    n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)  $\vee$  (is-NewArrayNode n))

fun is-StateSplit :: IRNode  $\Rightarrow$  bool where
  is-StateSplit n = ((is-AbstractStateSplit n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$  (is-StoreFieldNode
    n))

fun is-sequential-node :: IRNode  $\Rightarrow$  bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False

```

The following convenience function is useful in determining if two IRNodes are of the same type regardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode  $\Rightarrow$  IRNode  $\Rightarrow$  bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1)  $\wedge$  (is-AbsNode n2))  $\vee$ 
  ((is-AddNode n1)  $\wedge$  (is-AddNode n2))  $\vee$ 
  ((is-AndNode n1)  $\wedge$  (is-AndNode n2))  $\vee$ 
  ((is-BeginNode n1)  $\wedge$  (is-BeginNode n2))  $\vee$ 
  ((is-BytecodeExceptionNode n1)  $\wedge$  (is-BytecodeExceptionNode n2))  $\vee$ 
  ((is-ConditionalNode n1)  $\wedge$  (is-ConditionalNode n2))  $\vee$ 
  ((is-ConstantNode n1)  $\wedge$  (is-ConstantNode n2))  $\vee$ 
  ((is-DynamicNewArrayNode n1)  $\wedge$  (is-DynamicNewArrayNode n2))  $\vee$ 
  ((is-EndNode n1)  $\wedge$  (is-EndNode n2))  $\vee$ 
  ((is-ExceptionObjectNode n1)  $\wedge$  (is-ExceptionObjectNode n2))  $\vee$ 
  ((is-FrameState n1)  $\wedge$  (is-FrameState n2))  $\vee$ 
  ((is-IfNode n1)  $\wedge$  (is-IfNode n2))  $\vee$ 

```

```

((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2))

```

end

3 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =

```

```

VoidStamp
| IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp

```

```

fun bit-bounds :: nat  $\Rightarrow$  (int  $\times$  int) where
  bit-bounds bits = (((2  $\wedge$  bits) div 2) * -1, ((2  $\wedge$  bits) div 2) - 1)

```

— A stamp which includes the full range of the type

```

fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp  $\Rightarrow$  bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp  $\Rightarrow$  Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp

```

```

""" True True False) |
empty-stamp stamp = IllegalStamp

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
  meet VoidStamp VoidStamp = VoidStamp |
  meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (min l1 l2) (max u1 u2))
  ) |

  meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
    MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |

  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))

```

```

    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1  $\vee$  nn2) (an1  $\vee$  an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp  $\Rightarrow$  Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b l else UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2  $\wedge$ 
  asConstant stamp1  $\neq$  UndefVal)

```

```

fun constantAsStamp :: Value  $\Rightarrow$  Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp (nat b) v v) |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp

```

fun valid-value :: Stamp  $\Rightarrow$  Value  $\Rightarrow$  bool where
  valid-value (IntegerStamp b1 l h) (IntVal b2 v) = ((b1 = b2)  $\wedge$  (v  $\geq$  l)  $\wedge$  (v  $\leq$ 
  h)) |

  valid-value (VoidStamp) (UndefVal) = True |
  valid-value stamp val = False

```

— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use `default-stamp` as it is a frequently used stamp.

```

definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))

```

lemma *int-valid-range*:

```

assumes stamp = IntegerStamp bits lower upper
shows {x . valid-value stamp x} = {(IntVal bits val) | val . val  $\in$  {lower..upper}}
using assms valid-value.simps apply auto
using valid-value.elims(2) by blast

```



```

lemma disjoint-empty:
  assumes joined = (join x-stamp y-stamp)
  assumes is-stamp-empty joined
  shows  $\{x . \text{valid-value } x\text{-stamp } x\} \cap \{y . \text{valid-value } y\text{-stamp } y\} = \{\}$ 
  using assms int-valid-range
  by (induction x-stamp; induction y-stamp; auto)

lemma join-unequal:
  assumes joined = (join x-stamp y-stamp)
  assumes is-stamp-empty joined
  shows  $\nexists x y . x = y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$ 
  using assms disjoint-empty by auto

lemma neverDistinctEqual:
  assumes neverDistinct x-stamp y-stamp
  shows  $\nexists x y . x \neq y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$ 
  using assms
  by (smt (verit, best) asConstant.simps(1) asConstant.simps(2) asConstant.simps(3)
neverDistinct.elims(2) valid-value.elims(2))

lemma boundsNoOverlapNoEqual:
  assumes stpi-upper x-stamp < stpi-lower y-stamp
  assumes is-IntegerStamp x-stamp  $\wedge$  is-IntegerStamp y-stamp
  shows  $\nexists x y . x = y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$ 
  using assms apply (cases x-stamp; auto)
  using int-valid-range
  by (smt (verit, ccfv-threshold) Stamp.collapse(1) mem-Collect-eq valid-value.simps(1))

lemma boundsNoOverlap:
  assumes stpi-upper x-stamp < stpi-lower y-stamp
  assumes x = IntVal b1 xval
  assumes y = IntVal b2 yval
  assumes is-IntegerStamp x-stamp  $\wedge$  is-IntegerStamp y-stamp
  assumes valid-value x-stamp x  $\wedge$  valid-value y-stamp y
  shows xval < yval
  using assms is-IntegerStamp-def by force

lemma boundsAlwaysOverlap:
  assumes stpi-lower x-stamp  $\geq$  stpi-upper y-stamp
  assumes x = IntVal b1 xval
  assumes y = IntVal b2 yval
  assumes is-IntegerStamp x-stamp  $\wedge$  is-IntegerStamp y-stamp
  assumes valid-value x-stamp x  $\wedge$  valid-value y-stamp y
  shows  $\neg(xval < yval)$ 
  using assms is-IntegerStamp-def
  by fastforce

lemma intstamp-bits-eq-meet:
  assumes (meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2)) = (IntegerStamp

```

```

b3 l3 u3)
  shows  $b1 = b3 \wedge b2 = b3$ 
  by (metis Stamp.distinct(25) assms meet.simps(2))

lemma intstamp-bits-eq-join:
  assumes (join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2)) = (IntegerStamp
b3 l3 u3)
  shows  $b1 = b3 \wedge b2 = b3$ 
  by (metis Stamp.distinct(25) assms join.simps(2))

lemma intstamp-bites-eq-unrestricted:
  assumes (unrestricted-stamp (IntegerStamp b1 l1 u1)) = (IntegerStamp b2 l2 u2)
  shows  $b1 = b2$ 
  using assms by auto

lemma intstamp-bits-eq-empty:
  assumes (empty-stamp (IntegerStamp b1 l1 u1)) = (IntegerStamp b2 l2 u2)
  shows  $b1 = b2$ 
  using assms by auto

notepad
begin
  have unrestricted-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 (- 128) 127)
    by auto
  have unrestricted-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 (- 32768)
32767)
    by auto
  have unrestricted-stamp (IntegerStamp 32 0 10) = (IntegerStamp 32 (- 2147483648)
2147483647)
    by auto
  have empty-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 127 (- 128))
    by auto
  have empty-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 32767 (- 32768))
    by auto
  have empty-stamp (IntegerStamp 32 0 10) = (IntegerStamp 32 2147483647 (-
2147483648))
    by auto
  have join (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 0 10)
    by auto
  have meet (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 (- 100) 20)
    by auto
end

```

end

4 Graph Representation

```

theory IRGraph
  imports
    IRNodeHierarchy
    Stamp
    HOL-Library.FSet
    HOL.Relation
  begin

```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```

typedef IRGraph = {g :: ID  $\rightarrow$  (IRNode  $\times$  Stamp) . finite (dom g)}
proof –
  have finite(dom(Map.empty))  $\wedge$  ran Map.empty = {} by auto
  then show ?thesis
    by fastforce
qed

```

setup-lifting type-definition-IRGraph

```

lift-definition ids :: IRGraph  $\Rightarrow$  ID set
  is  $\lambda g. \{nid \in \text{dom } g . \nexists s. g \text{ nid} = (\text{Some } (\text{NoNode}, s))\}$  .

```

```

fun with-default :: 'c  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  (('a  $\rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c) where
  with-default def conv = ( $\lambda m k.$ 
    (case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))

```

```

lift-definition kind :: IRGraph  $\Rightarrow$  (ID  $\Rightarrow$  IRNode)
  is with-default NoNode fst .

```

```

lift-definition stamp :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  Stamp
  is with-default IllegalStamp snd .

```

```

lift-definition add-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid k g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp

```

```

lift-definition remove-node :: ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid g.$  g(nid := None) by simp

```

```

lift-definition replace-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid k g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp

```

```

lift-definition as-list :: IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  Stamp) list

```

is $\lambda g. \text{map } (\lambda k. (k, \text{the } (g\ k))) \text{ (sorted-list-of-set (dom } g))$.

fun *no-node* :: $(ID \times (IRNode \times Stamp)) \text{ list} \Rightarrow (ID \times (IRNode \times Stamp)) \text{ list}$
where
no-node *g* = *filter* $(\lambda n. \text{fst } (\text{snd } n) \neq \text{NoNode})$ *g*

lift-definition *irgraph* :: $(ID \times (IRNode \times Stamp)) \text{ list} \Rightarrow IRGraph$
is *map-of* \circ *no-node*
by (*simp add: finite-dom-map-of*)

code-datatype *irgraph*

fun *filter-none* **where**
filter-none *g* = $\{nid \in \text{dom } g . \nexists s. g\ nid = (\text{Some } (\text{NoNode}, s))\}$

lemma *no-node-clears*:
 $\text{res} = \text{no-node } xs \longrightarrow (\forall x \in \text{set res}. \text{fst } (\text{snd } x) \neq \text{NoNode})$
by *simp*

lemma *dom-eq*:
assumes $\forall x \in \text{set } xs. \text{fst } (\text{snd } x) \neq \text{NoNode}$
shows *filter-none* $(\text{map-of } xs) = \text{dom } (\text{map-of } xs)$
unfolding *filter-none.simps* **using** *assms map-of-SomeD*
by *fastforce*

lemma *fil-eq*:
filter-none $(\text{map-of } (\text{no-node } xs)) = \text{set } (\text{map fst } (\text{no-node } xs))$
using *no-node-clears*
by (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

lemma *irgraph[code]: ids* $(\text{irgraph } m) = \text{set } (\text{map fst } (\text{no-node } m))$
unfolding *irgraph-def ids-def* **using** *fil-eq*
by (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq*)

lemma *[code]: Rep-IRGraph* $(\text{irgraph } m) = \text{map-of } (\text{no-node } m)$
using *Abs-IRGraph-inverse*
by (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID
fun *inputs* :: $IRGraph \Rightarrow ID \Rightarrow ID \text{ set}$ **where**
inputs *g* *nid* = *set* $(\text{inputs-of } (\text{kind } g\ nid))$
— Get the successor set of a given node ID
fun *succ* :: $IRGraph \Rightarrow ID \Rightarrow ID \text{ set}$ **where**
succ *g* *nid* = *set* $(\text{successors-of } (\text{kind } g\ nid))$
— Gives a relation between node IDs - between a node and its input nodes
fun *input-edges* :: $IRGraph \Rightarrow ID \text{ rel}$ **where**

$input_edges\ g = (\bigcup i \in ids\ g. \{(i,j) | j. j \in (inputs\ g\ i)\})$
 — Find all the nodes in the graph that have nid as an input - the usages of nid
fun $usages :: IRGraph \Rightarrow ID \Rightarrow ID\ set$ **where**
 $usages\ g\ nid = \{j. j \in ids\ g \wedge (j,nid) \in input_edges\ g\}$
fun $successor_edges :: IRGraph \Rightarrow ID\ rel$ **where**
 $successor_edges\ g = (\bigcup i \in ids\ g. \{(i,j) | j. j \in (succ\ g\ i)\})$
fun $predecessors :: IRGraph \Rightarrow ID \Rightarrow ID\ set$ **where**
 $predecessors\ g\ nid = \{j. j \in ids\ g \wedge (j,nid) \in successor_edges\ g\}$
fun $nodes_of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$ **where**
 $nodes_of\ g\ sel = \{nid \in ids\ g. sel\ (kind\ g\ nid)\}$
fun $edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a$ **where**
 $edge\ sel\ nid\ g = sel\ (kind\ g\ nid)$

fun $filtered_inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$ **where**
 $filtered_inputs\ g\ nid\ f = filter\ (f \circ (kind\ g))\ (inputs_of\ (kind\ g\ nid))$
fun $filtered_successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$ **where**
 $filtered_successors\ g\ nid\ f = filter\ (f \circ (kind\ g))\ (successors_of\ (kind\ g\ nid))$
fun $filtered_usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$ **where**
 $filtered_usages\ g\ nid\ f = \{n \in (usages\ g\ nid). f\ (kind\ g\ n)\}$

fun $is_empty :: IRGraph \Rightarrow bool$ **where**
 $is_empty\ g = (ids\ g = \{\})$

fun $any_usage :: IRGraph \Rightarrow ID \Rightarrow ID$ **where**
 $any_usage\ g\ nid = hd\ (sorted_list_of_set\ (usages\ g\ nid))$

lemma $ids_some[simp]: x \in ids\ g \longleftrightarrow kind\ g\ x \neq NoNode$
proof –
have $that: x \in ids\ g \longrightarrow kind\ g\ x \neq NoNode$
using $ids.rep_eq\ kind.rep_eq$ **by** $force$
have $kind\ g\ x \neq NoNode \longrightarrow x \in ids\ g$
unfolding $with_default.simps\ kind_def\ ids_def$
by $(cases\ Rep_IRGraph\ g\ x = None; auto)$
from $this$ **that** **show** $?thesis$ **by** $auto$
qed

lemma $not_in_g:$
assumes $nid \notin ids\ g$
shows $kind\ g\ nid = NoNode$
using $assms\ ids_some$ **by** $blast$

lemma $valid_creation[simp]:$
 $finite\ (dom\ g) \longleftrightarrow Rep_IRGraph\ (Abs_IRGraph\ g) = g$
using $Abs_IRGraph_inverse$ **by** $(metis\ Rep_IRGraph\ mem_Collect_eq)$

lemma $[simp]: finite\ (ids\ g)$
using $Rep_IRGraph\ ids.rep_eq$ **by** $simp$

lemma $[simp]: finite\ (ids\ (irgraph\ g))$

```

by (simp add: finite-dom-map-of)

lemma [simp]: finite (dom g) → ids (Abs-IRGraph g) = {nid ∈ dom g . ∄ s. g
nid = Some (NoNode, s)}
using ids.rep-eq by simp

lemma [simp]: finite (dom g) → kind (Abs-IRGraph g) = (λx . (case g x of None
⇒ NoNode | Some n ⇒ fst n))
by (simp add: kind.rep-eq)

lemma [simp]: finite (dom g) → stamp (Abs-IRGraph g) = (λx . (case g x of
None ⇒ IllegalStamp | Some n ⇒ snd n))
using stamp.abs-eq stamp.rep-eq by auto

lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
using irgraph by auto

lemma [simp]: kind (irgraph g) = (λnid. (case (map-of (no-node g)) nid of None
⇒ NoNode | Some n ⇒ fst n))
using irgraph.rep-eq kind.transfer kind.rep-eq by auto

lemma [simp]: stamp (irgraph g) = (λnid. (case (map-of (no-node g)) nid of None
⇒ IllegalStamp | Some n ⇒ snd n))
using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto

lemma map-of-upd: (map-of g)(k ↦ v) = (map-of ((k, v) # g))
by simp

lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) # g)))
proof (cases fst k = NoNode)
case True
then show ?thesis
by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps replace-node.rep-eq snd-conv)
next
case False
then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
qed

lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) # g)))
by (smt (z3) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq
map-of-upd no-node.simps snd-conv)

lemma add-node-lookup:
gup = add-node nid (k, s) g →

```

```

    (if k ≠ NoNode then kind gup nid = k ∧ stamp gup nid = s else kind gup nid
= kind g nid)
proof (cases k = NoNode)
  case True
    then show ?thesis
      by (simp add: add-node.rep-eq kind.rep-eq)
  next
    case False
    then show ?thesis
      by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed

```

lemma *remove-node-lookup*:

```

  gup = remove-node nid g ⟶ kind gup nid = NoNode ∧ stamp gup nid =
IllegalStamp
  by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)

```

lemma *replace-node-lookup*[simp]:

```

  gup = replace-node nid (k, s) g ∧ k ≠ NoNode ⟶ kind gup nid = k ∧ stamp
gup nid = s
  by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)

```

lemma *replace-node-unchanged*:

```

  gup = replace-node nid (k, s) g ⟶ (∀ n ∈ (ids g - {nid}) . n ∈ ids g ∧ n ∈ ids
gup ∧ kind g n = kind gup n)
  by (simp add: kind.rep-eq replace-node.rep-eq)

```

4.0.1 Example Graphs

Example 1: empty graph (just a start and end node)

definition *start-end-graph*:: *IRGraph* **where**

```

  start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode
None None, VoidStamp)]

```

Example 2: public static int sq(int x) return x * x;

```

[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

```

definition *eg2-sq*:: *IRGraph* **where**

```

eg2-sq = irgraph [
  (0, StartNode None 5, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (4, MulNode 1 1, default-stamp),
  (5, ReturnNode (Some 4) None, default-stamp)
]

```

value *input-edges* *eg2-sq*

```
value usages eg2-sq 1
```

```
end
```

5 Data-flow Semantics

```
theory IREval
imports
  Graph.IRGraph
begin
```

We define the semantics of data-flow nodes as big-step operational semantics. Data-flow nodes are evaluated in the context of the *IRGraph* and a method state (currently called *MapState* in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculated during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated part of the control-flow as the data-flow is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
datatype MapState =
```

```
  MapState
    (m-values: ID  $\Rightarrow$  Value)
    (m-params: Value list)
```

```
definition new-map-state :: MapState where
  new-map-state = MapState ( $\lambda x.$ .UndefVal) []
```

```
fun m-val :: MapState  $\Rightarrow$  ID  $\Rightarrow$  Value where
  m-val m nid = (m-values m) nid
```

```
fun m-set :: ID  $\Rightarrow$  Value  $\Rightarrow$  MapState  $\Rightarrow$  MapState where
  m-set nid v (MapState m p) = MapState (m(nid := v)) p
```

```
fun m-param :: IRGraph  $\Rightarrow$  MapState  $\Rightarrow$  ID  $\Rightarrow$  Value where
  m-param g m nid = (case (kind g nid) of
    (ParameterNode i)  $\Rightarrow$  (m-params m)!i |
    -  $\Rightarrow$ .UndefVal)
```

```
fun set-params :: MapState  $\Rightarrow$  Value list  $\Rightarrow$  MapState where
```



```

    set-params (MapState m -) vs = MapState m vs

fun new-map :: Value list  $\Rightarrow$  MapState where
    new-map ps = set-params new-map-state ps

fun val-to-bool :: Value  $\Rightarrow$  bool where
    val-to-bool (IntVal bits val) = (if val = 0 then False else True) |
    val-to-bool v = False

fun bool-to-val :: bool  $\Rightarrow$  Value where
    bool-to-val True = (IntVal 1 1) |
    bool-to-val False = (IntVal 1 0)

fun find-index :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat where
    find-index - [] = 0 |
    find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

fun phi-list :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID list where
    phi-list g nid =
        (filter ( $\lambda x$ . (is-PhiNode (kind g x))))
        (sorted-list-of-set (usages g nid)))

fun input-index :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  nat where
    input-index g n n' = find-index n' (inputs-of (kind g n))

fun phi-inputs :: IRGraph  $\Rightarrow$  nat  $\Rightarrow$  ID list  $\Rightarrow$  ID list where
    phi-inputs g i nodes = (map ( $\lambda n$ . (inputs-of (kind g n))! (i + 1))) nodes

fun set-phis :: ID list  $\Rightarrow$  Value list  $\Rightarrow$  MapState  $\Rightarrow$  MapState where
    set-phis [] [] m = m |
    set-phis (nid # xs) (v # vs) m = (set-phis xs vs (m-set nid v m)) |
    set-phis [] (v # vs) m = m |
    set-phis (x # xs) [] m = m

inductive
    eval :: IRGraph  $\Rightarrow$  MapState  $\Rightarrow$  IRNode  $\Rightarrow$  Value  $\Rightarrow$  bool (- -  $\vdash$  -  $\mapsto$  - 55)
    for g where

    ConstantNode:
    g m  $\vdash$  (ConstantNode c)  $\mapsto$  c |

    ParameterNode:
    g m  $\vdash$  (ParameterNode i)  $\mapsto$  (m-params m)!i |

    ValuePhiNode:

```

$g \ m \vdash (\text{ValuePhiNode } nid \ - \ -) \mapsto m\text{-val } m \ nid \mid$

ValueProxyNode:

$\llbracket g \ m \vdash (\text{kind } g \ c) \mapsto val \rrbracket$
 $\implies g \ m \vdash (\text{ValueProxyNode } c \ -) \mapsto val \mid$

— Unary arithmetic operators

AbsNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v \rrbracket$
 $\implies g \ m \vdash (\text{AbsNode } x) \mapsto \text{if } v < 0 \text{ then } (\text{intval-sub } (\text{IntVal } b \ 0) (\text{IntVal } b \ v))$
 $\text{else } (\text{IntVal } b \ v) \mid$

NegateNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v \rrbracket$
 $\implies g \ m \vdash (\text{NegateNode } x) \mapsto \text{intval-sub } (\text{IntVal } b \ 0) (\text{IntVal } b \ v) \mid$

NotNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto val; \text{not-val} = (\neg(\text{val-to-bool } val)) \rrbracket$
 $\implies g \ m \vdash (\text{NotNode } x) \mapsto \text{bool-to-val not-val} \mid$

— Binary arithmetic operators

AddNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \text{ } g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket$
 $\implies g \ m \vdash (\text{AddNode } x \ y) \mapsto \text{intval-add } v1 \ v2 \mid$

SubNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \text{ } g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket$
 $\implies g \ m \vdash (\text{SubNode } x \ y) \mapsto \text{intval-sub } v1 \ v2 \mid$

MulNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \text{ } g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket$
 $\implies g \ m \vdash (\text{MulNode } x \ y) \mapsto \text{intval-mul } v1 \ v2 \mid$

SignedDivNode:

$g \ m \vdash (\text{SignedDivNode } nid \ - \ - \ - \ -) \mapsto m\text{-val } m \ nid \mid$

SignedRemNode:

$g \ m \vdash (\text{SignedRemNode } nid \ - \ - \ - \ -) \mapsto m\text{-val } m \ nid \mid$

— Binary logical bitwise operators

AndNode:

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \text{ } g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket$

$$\begin{aligned}
& g \ m \vdash (\text{kind } g \ y) \mapsto v2 \\
& \implies g \ m \vdash (\text{AndNode } x \ y) \mapsto \text{intval-and } v1 \ v2 \mid
\end{aligned}$$

OrNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\
& \implies g \ m \vdash (\text{OrNode } x \ y) \mapsto \text{intval-or } v1 \ v2 \mid
\end{aligned}$$

XorNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\
& \implies g \ m \vdash (\text{XorNode } x \ y) \mapsto \text{intval-xor } v1 \ v2 \mid
\end{aligned}$$

— Comparison operators

IntegerEqualsNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{bool-to-val}(v1 = v2) \rrbracket \\
& \implies g \ m \vdash (\text{IntegerEqualsNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

IntegerLessThanNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{bool-to-val}(v1 < v2) \rrbracket \\
& \implies g \ m \vdash (\text{IntegerLessThanNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

IsNullNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ \text{obj}) \mapsto \text{ObjRef } \text{ref}; \\
& \quad \text{val} = \text{bool-to-val}(\text{ref} = \text{None}) \rrbracket \\
& \implies g \ m \vdash (\text{IsNullNode } \text{obj}) \mapsto \text{val} \mid
\end{aligned}$$

— Other nodes

ConditionalNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ \text{condition}) \mapsto \text{IntVal } 1 \ \text{cond}; \\
& \quad g \ m \vdash (\text{kind } g \ \text{trueExp}) \mapsto \text{IntVal } b \ \text{trueVal}; \\
& \quad g \ m \vdash (\text{kind } g \ \text{falseExp}) \mapsto \text{IntVal } b \ \text{falseVal}; \\
& \quad \text{val} = \text{IntVal } b \ (\text{if } \text{cond} \neq 0 \text{ then } \text{trueVal} \text{ else } \text{falseVal}) \rrbracket \\
& \implies g \ m \vdash (\text{ConditionalNode } \text{condition } \text{trueExp } \text{falseExp}) \mapsto \text{val} \mid
\end{aligned}$$

ShortCircuitOrNode:

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{IntVal } b \ (\text{if } v1 \neq 0 \text{ then } v1 \text{ else } v2) \rrbracket \\
& \implies g \ m \vdash (\text{ShortCircuitOrNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

LogicNegationNode:

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } 1 \ v1; \\ & \quad \text{val} = \text{IntVal } 1 \ (\text{NOT } v1) \rrbracket \\ & \implies g \ m \vdash (\text{LogicNegationNode } x) \mapsto \text{val} \mid \end{aligned}$$

InvokeNodeEval:

$$g \ m \vdash (\text{InvokeNode } \text{nid} \ - \ - \ - \ -) \mapsto m\text{-val } m \ \text{nid} \mid$$

InvokeWithExceptionNodeEval:

$$g \ m \vdash (\text{InvokeWithExceptionNode } \text{nid} \ - \ - \ - \ -) \mapsto m\text{-val } m \ \text{nid} \mid$$

NewInstanceNode:

$$g \ m \vdash (\text{NewInstanceNode } \text{nid} \ \text{class} \ \text{stateBefore} \ \text{next}) \mapsto m\text{-val } m \ \text{nid} \mid$$

LoadFieldNode:

$$g \ m \vdash (\text{LoadFieldNode } \text{nid} \ - \ -) \mapsto m\text{-val } m \ \text{nid} \mid$$

PiNode:

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ \text{object}) \mapsto \text{val} \rrbracket \\ & \implies g \ m \vdash (\text{PiNode } \text{object} \ \text{guard}) \mapsto \text{val} \mid \end{aligned}$$

RefNode:

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{val} \rrbracket \\ & \implies g \ m \vdash (\text{RefNode } x) \mapsto \text{val} \end{aligned}$$

code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ as *evalE*) *eval* .

The step semantics for phi nodes requires all the input nodes of the phi node to be evaluated to a value at the same time.

We introduce the *eval-all* relation to handle the evaluation of a list of node identifiers in parallel. As the evaluation semantics are side-effect free this is trivial.

inductive

eval-all :: *IRGraph* \Rightarrow *MapState* \Rightarrow *ID list* \Rightarrow *Value list* \Rightarrow *bool*

(- - \vdash - \mapsto - 55)

for *g* **where**

Base:

$$g \ m \vdash [] \mapsto [] \mid$$

Transitive:

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ \text{nid}) \mapsto v; \\ & \quad g \ m \vdash xs \mapsto vs \rrbracket \\ & \implies g \ m \vdash (\text{nid} \ \# \ xs) \mapsto (v \ \# \ vs) \end{aligned}$$

code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ as *eval-allE*) *eval-all* .

inductive *eval-graph* :: *IRGraph* \Rightarrow *ID* \Rightarrow *Value list* \Rightarrow *Value* \Rightarrow *bool*
where
 $\llbracket \text{state} = \text{new-map } ps;$
 $g \text{ state} \vdash (\text{kind } g \text{ nid}) \mapsto \text{val} \rrbracket$
 $\implies \text{eval-graph } g \text{ nid } ps \text{ val}$

code-pred (*modes*: *i* \Rightarrow *i* \Rightarrow *i* \Rightarrow *o* \Rightarrow *bool*) *eval-graph* .

values {*v*. *eval-graph* *eg2-sq* 4 [*IntVal* 32 5] *v*}

fun *has-control-flow* :: *IRNode* \Rightarrow *bool* **where**
has-control-flow *n* = (*is-AbstractEndNode* *n*
 \vee (*length* (*successors-of* *n*) > 0))

definition *control-nodes* :: *IRNode set* **where**
control-nodes = {*n* . *has-control-flow* *n*}

fun *is-floating-node* :: *IRNode* \Rightarrow *bool* **where**
is-floating-node *n* = (\neg (*has-control-flow* *n*))

definition *floating-nodes* :: *IRNode set* **where**
floating-nodes = {*n* . *is-floating-node* *n*}

lemma *is-floating-node* *n* \longleftrightarrow \neg (*has-control-flow* *n*)
by *simp*

lemma *n* \in *control-nodes* \longleftrightarrow *n* \notin *floating-nodes*
by (*simp add: control-nodes-def floating-nodes-def*)

Here we show that using the elimination rules for eval we can prove 'inverted rule' properties

lemma *evalAddNode* : *g m* \vdash (*AddNode* *x y*) \mapsto *val* \implies
 $(\exists v1. (g \ m \vdash (\text{kind } g \ x) \mapsto v1) \wedge$
 $(\exists v2. (g \ m \vdash (\text{kind } g \ y) \mapsto v2) \wedge$
 $\text{val} = \text{intval-add } v1 \ v2))$
using *AddNodeE* **by** *auto*

lemma *not-floating*: $(\exists y \ ys. (\text{successors-of } n) = y \ \# \ ys) \longrightarrow \neg(\text{is-floating-node } n)$
unfolding *is-floating-node.simps*
by (*induct* *n*; *simp add: neq-Nil-conv*)

We show that within the context of a graph and method state, the same node will always evaluate to the same value and the semantics is therefore deterministic.

theorem *evalDet*:

```

    (g m ⊢ node ↦ val1) ⇒
    (∀ val2. ((g m ⊢ node ↦ val2) ⇒ val1 = val2))
  apply (induction rule: eval.induct)
  by (rule allI; rule impI; elim EvalE; auto)+

theorem evalAllDet:
  (g m ⊢ nodes ↦ vals1) ⇒
  (∀ vals2. ((g m ⊢ nodes ↦ vals2) ⇒ vals1 = vals2))
  apply (induction rule: eval-all.induct)
  using eval-all.cases apply blast
  by (metis evalDet eval-all.cases list.discI list.inject)

end

```

6 Control-flow Semantics

```

theory IRStepObj
  imports
    IREval
  begin

```

6.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the $H[f][p]$ heap representation. See [\cite{heap-reps-2011}](#). We also introduce the `DynamicHeap` type which allocates new object references sequentially storing the next free object reference as 'Free'.

```

type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b) Dy-
  namicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap ⇒ ('a, 'b) DynamicHeap × Value where
  h-new-inst (h, n) = ((h, n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap

definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = ((λf. λp. UndefVal), 0)

```

6.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

inductive *step* :: *IRGraph* \Rightarrow (*ID* \times *MapState* \times *FieldRefHeap*) \Rightarrow (*ID* \times *MapState* \times *FieldRefHeap*) \Rightarrow *bool*
 (- \vdash - \rightarrow - 55) **for** *g* **where**

SequentialNode:

$\llbracket is_sequential_node \ (kind \ g \ nid);$
 $\quad nid' = (successors_of \ (kind \ g \ nid))!0 \rrbracket$
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

IfNode:

$\llbracket kind \ g \ nid = (IfNode \ cond \ tb \ fb);$
 $\quad g \ m \vdash (kind \ g \ cond) \mapsto val;$
 $\quad nid' = (if \ val_to_bool \ val \ then \ tb \ else \ fb) \rrbracket$
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

EndNodes:

$\llbracket is_AbstractEndNode \ (kind \ g \ nid);$
 $\quad merge = any_usage \ g \ nid;$
 $\quad is_AbstractMergeNode \ (kind \ g \ merge);$

$i = input_index \ g \ merge \ nid;$
 $phis = (phi_list \ g \ merge);$
 $inps = (phi_inputs \ g \ i \ phis);$
 $g \ m \vdash inps \mapsto vs;$

$m' = set_phis \ phis \ vs \ m \rrbracket$
 $\implies g \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

NewInstanceNode:

$\llbracket kind \ g \ nid = (NewInstanceNode \ nid \ f \ obj \ nid');$
 $\quad (h', ref) = h_new_inst \ h;$
 $\quad m' = m_set \ nid \ ref \ m \rrbracket$
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

LoadFieldNode:

$\llbracket kind \ g \ nid = (LoadFieldNode \ nid \ f \ (Some \ obj) \ nid');$
 $\quad g \ m \vdash (kind \ g \ obj) \mapsto ObjRef \ ref;$
 $\quad h_load_field \ f \ ref \ h = v;$
 $\quad m' = m_set \ nid \ v \ m \rrbracket$
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

SignedDivNode:

$\llbracket kind \ g \ nid = (SignedDivNode \ nid \ x \ y \ zero \ sb \ nxt);$
 $\quad g \ m \vdash (kind \ g \ x) \mapsto v1;$

$$\begin{aligned}
& g \ m \vdash (\text{kind } g \ y) \mapsto v2; \\
& v = (\text{intval-div } v1 \ v2); \\
& m' = m\text{-set } nid \ v \ m \\
\implies & g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
\end{aligned}$$

SignedRemNode:

$$\begin{aligned}
& \llbracket \text{kind } g \ nid = (\text{SignedRemNode } nid \ x \ y \ \text{zero } sb \ nxt); \\
& g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\
& g \ m \vdash (\text{kind } g \ y) \mapsto v2; \\
& v = (\text{intval-mod } v1 \ v2); \\
& m' = m\text{-set } nid \ v \ m \\
\implies & g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
\end{aligned}$$

StaticLoadFieldNode:

$$\begin{aligned}
& \llbracket \text{kind } g \ nid = (\text{LoadFieldNode } nid \ f \ \text{None } nid'); \\
& h\text{-load-field } f \ \text{None } h = v; \\
& m' = m\text{-set } nid \ v \ m \\
\implies & g \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
\end{aligned}$$

StoreFieldNode:

$$\begin{aligned}
& \llbracket \text{kind } g \ nid = (\text{StoreFieldNode } nid \ f \ \text{newval} - (\text{Some } obj) \ nid'); \\
& g \ m \vdash (\text{kind } g \ \text{newval}) \mapsto val; \\
& g \ m \vdash (\text{kind } g \ obj) \mapsto \text{ObjRef } ref; \\
& h' = h\text{-store-field } f \ ref \ val \ h; \\
& m' = m\text{-set } nid \ val \ m \\
\implies & g \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
\end{aligned}$$

StaticStoreFieldNode:

$$\begin{aligned}
& \llbracket \text{kind } g \ nid = (\text{StoreFieldNode } nid \ f \ \text{newval} - \text{None } nid'); \\
& g \ m \vdash (\text{kind } g \ \text{newval}) \mapsto val; \\
& h' = h\text{-store-field } f \ \text{None } val \ h; \\
& m' = m\text{-set } nid \ val \ m \\
\implies & g \vdash (nid, m, h) \rightarrow (nid', m', h')
\end{aligned}$$

code-pred (*modes*: $i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow \text{bool}$) *step* .

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

theorem *stepDet*:

$$\begin{aligned}
& (g \vdash (nid, m, h) \rightarrow next) \implies \\
& (\forall \ next'. ((g \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))
\end{aligned}$$

proof (*induction rule*: *step.induct*)

case (*SequentialNode* *nid* *next* *m* *h*)

have *notif*: $\neg(\text{is-IfNode } (\text{kind } g \ nid))$

using *SequentialNode.hyps*(1) *is-sequential-node.simps*

by (*metis is-IfNode-def*)

have *notend*: $\neg(\text{is-AbstractEndNode } (\text{kind } g \ nid))$

using *SequentialNode.hyps*(1) *is-sequential-node.simps*


```

    by (metis is-AbstractEndNode.simps is-EndNode.elims(2) is-LoopEndNode-def)
  have notnew:  $\neg$ (is-NewInstanceNode (kind g nid))
    using SequentialNode.hyps(1) is-sequential-node.simps
    by (metis is-NewInstanceNode-def)
  have notload:  $\neg$ (is-LoadFieldNode (kind g nid))
    using SequentialNode.hyps(1) is-sequential-node.simps
    by (metis is-LoadFieldNode-def)
  have notstore:  $\neg$ (is-StoreFieldNode (kind g nid))
    using SequentialNode.hyps(1) is-sequential-node.simps
    by (metis is-StoreFieldNode-def)
  have notdivrem:  $\neg$ (is-IntegerDivRemNode (kind g nid))
    using SequentialNode.hyps(1) is-sequential-node.simps is-SignedDivNode-def
    is-SignedRemNode-def
    by (metis is-IntegerDivRemNode.simps)
  from notif notend notnew notload notstore notdivrem
  show ?case using SequentialNode.step.cases
    by (smt (verit) IRNode.discI(18) is-IfNode-def is-NewInstanceNode-def is-StoreFieldNode-def
    is-sequential-node.simps(38) is-sequential-node.simps(39) old.prod.inject)
next
case (IfNode nid cond tb fb m val next h)
then have notseq:  $\neg$ (is-sequential-node (kind g nid))
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: IfNode.hyps(1))
have notend:  $\neg$ (is-AbstractEndNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: IfNode.hyps(1))
have notdivrem:  $\neg$ (is-IntegerDivRemNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: IfNode.hyps(1))
from notseq notend notdivrem show ?case using IfNode.evalDet
  using IRNode.distinct(871) IRNode.distinct(891) IRNode.distinct(909) IRN-
ode.distinct(923)
  by (smt (z3) IRNode.distinct(893) IRNode.distinct(913) IRNode.distinct(927)
  IRNode.distinct(929) IRNode.distinct(933) IRNode.distinct(947) IRNode.inject(11)
  Pair-inject step.simps)
next
case (EndNodes nid merge i phis inputs m vs m' h)
have notseq:  $\neg$ (is-sequential-node (kind g nid))
  using EndNodes.hyps(1) is-AbstractEndNode.simps is-sequential-node.simps
  by (metis is-EndNode.elims(2) is-LoopEndNode-def)
have notif:  $\neg$ (is-IfNode (kind g nid))
  using EndNodes.hyps(1)
  by (metis is-AbstractEndNode.elims(1) is-EndNode.simps(12) is-IfNode-def IRN-
ode.distinct-disc(900))
have notref:  $\neg$ (is-RefNode (kind g nid))
  using EndNodes.hyps(1) is-sequential-node.simps
  using IRNode.disc(1899) IRNode.distinct(1473) is-AbstractEndNode.simps
  is-EndNode.elims(2) is-LoopEndNode-def is-RefNode-def
  by (metis IRNode.distinct(737) IRNode.distinct-disc(1518))

```

```

have notnew:  $\neg(\text{is-NewInstanceNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps
using IRNode.distinct-disc(1442) is-EndNode.simps(29) is-NewInstanceNode-def
  by (metis IRNode.distinct-disc(1483))
have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps
  by (metis IRNode.disc(939) is-EndNode.simps(19) is-LoadFieldNode-def)
have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps
  using IRNode.distinct-disc(1504) is-EndNode.simps(39) is-StoreFieldNode-def
  by fastforce
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps is-SignedDivNode-def is-SignedRemNode-def
  using IRNode.distinct-disc(1498) IRNode.distinct-disc(1500) is-IntegerDivRemNode.simps
is-EndNode.simps(36) is-EndNode.simps(37)
  by auto
from notseq notif notref notnew notload notstore notdivrem
show ?case using EndNodes evalAllDet
  by (smt (z3) is-IfNode-def is-LoadFieldNode-def is-NewInstanceNode-def is-RefNode-def
is-StoreFieldNode-def is-SignedDivNode-def is-SignedRemNode-def Pair-inject is-IntegerDivRemNode.elims(3)
step.cases)
next
case (NewInstanceNode nid f obj nxt h' ref h m' m)
then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notref:  $\neg(\text{is-RefNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
from notseq notend notif notref notload notstore notdivrem
show ?case using NewInstanceNode step.cases
  by (smt (z3) IRNode.discI(11) IRNode.discI(18) IRNode.discI(38) IRNode.distinct(1777)
IRNode.distinct(1779) IRNode.distinct(1797) IRNode.inject(28) Pair-inject)
next

```

```

case (LoadFieldNode nid f obj nrt m ref h v m')
then have notseq: ¬(is-sequential-node (kind g nid))
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: LoadFieldNode.hyps(1))
have notend: ¬(is-AbstractEndNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: LoadFieldNode.hyps(1))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: LoadFieldNode.hyps(1))
from notseq notend notdivrem
show ?case using LoadFieldNode step.cases
  by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
IRNode.distinct(1353) IRNode.distinct(1367) IRNode.distinct(893) IRNode.inject(18)
Pair-inject Value.inject(3) evalDet option.distinct(1) option.inject)
next
case (StaticLoadFieldNode nid f nrt h v m' m)
then have notseq: ¬(is-sequential-node (kind g nid))
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StaticLoadFieldNode.hyps(1))
have notend: ¬(is-AbstractEndNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: StaticLoadFieldNode.hyps(1))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  by (simp add: StaticLoadFieldNode.hyps(1))
from notseq notend notdivrem
show ?case using StaticLoadFieldNode step.cases
  by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
IRNode.distinct(1353) IRNode.distinct(1367) IRNode.distinct(893) IRNode.distinct(1297)
IRNode.distinct(1315) IRNode.distinct(1329) IRNode.distinct(871) IRNode.inject(18)
Pair-inject option.discI)
next
case (StoreFieldNode nid f newval uu obj nrt m val ref h' h m')
then have notseq: ¬(is-sequential-node (kind g nid))
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StoreFieldNode.hyps(1))
have notend: ¬(is-AbstractEndNode (kind g nid))
  using is-AbstractEndNode.simps
  by (simp add: StoreFieldNode.hyps(1))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  by (simp add: StoreFieldNode.hyps(1))
from notseq notend notdivrem
show ?case using StoreFieldNode step.cases
  by (smt (z3) IRNode.distinct(1353) IRNode.distinct(1783) IRNode.distinct(1965)
IRNode.distinct(1983) IRNode.distinct(2027) IRNode.distinct(933) IRNode.distinct(1315)
IRNode.distinct(1725) IRNode.distinct(1937) IRNode.distinct(909) IRNode.inject(38)
Pair-inject Value.inject(3) evalDet option.distinct(1) option.inject)
next
case (StaticStoreFieldNode nid f newval uv nrt m val h' h m')

```

```

then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StaticStoreFieldNode.hyps(1))
have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractEndNode.simps
  by (simp add: StaticStoreFieldNode.hyps(1))
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: StaticStoreFieldNode.hyps(1))
from notseq notend notdivrem
show ?case using StoreFieldNode.step.cases
  by (smt (z3) IRNode.distinct(1315) IRNode.distinct(1353) IRNode.distinct(1783)
    IRNode.distinct(1965)
    IRNode.distinct(1983) IRNode.distinct(2027) IRNode.distinct(933) IRN-
    ode.inject(38) IRNode.distinct(1725) Pair-inject StaticStoreFieldNode.hyps(1) Stat-
    icStoreFieldNode.hyps(2) StaticStoreFieldNode.hyps(3) StaticStoreFieldNode.hyps(4)
    evalDet option.discI)
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
    using is-sequential-node.simps is-AbstractMergeNode.simps
    by (simp add: SignedDivNode.hyps(1))
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
    using is-AbstractEndNode.simps
    by (simp add: SignedDivNode.hyps(1))
  from notseq notend
  show ?case using SignedDivNode.step.cases
    by (smt (z3) IRNode.distinct(1347) IRNode.distinct(1777) IRNode.distinct(1961)
      IRNode.distinct(1965) IRNode.distinct(1979) IRNode.distinct(927) IRNode.inject(35)
      Pair-inject evalDet)
  next
    case (SignedRemNode nid x y zero sb nxt m v1 v2 v m' h)
    then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
      using is-sequential-node.simps is-AbstractMergeNode.simps
      by (simp add: SignedRemNode.hyps(1))
    have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
      using is-AbstractEndNode.simps
      by (simp add: SignedRemNode.hyps(1))
    from notseq notend
    show ?case using SignedRemNode.step.cases
      by (smt (z3) IRNode.distinct(1349) IRNode.distinct(1779) IRNode.distinct(1961)
        IRNode.distinct(1983) IRNode.distinct(1997) IRNode.distinct(929) IRNode.inject(36)
        Pair-inject evalDet)
  qed

```

lemma stepRefNode:

$\llbracket \text{kind } g \text{ nid} = \text{RefNode nid} \rrbracket \implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$
by (simp add: SequentialNode)

lemma IfNodeStepCases:

assumes $kind\ g\ nid = IfNode\ cond\ tb\ fb$
assumes $g\ m \vdash kind\ g\ cond \mapsto v$
assumes $g \vdash (nid, m, h) \rightarrow (nid', m, h)$
shows $nid' \in \{tb, fb\}$
using $step.IfNode$
by $(metis\ assms(1)\ assms(2)\ assms(3)\ insert-iff\ prod.inject\ stepDet)$

lemma *IfNodeSeq*:

shows $kind\ g\ nid = IfNode\ cond\ tb\ fb \longrightarrow \neg(is_sequential_node\ (kind\ g\ nid))$
unfolding $is_sequential_node.simps$ **by** $simp$

lemma *IfNodeCond*:

assumes $kind\ g\ nid = IfNode\ cond\ tb\ fb$
assumes $g \vdash (nid, m, h) \rightarrow (nid', m, h)$
shows $\exists v. (g\ m \vdash kind\ g\ cond \mapsto v)$
using $assms(2,1)$ **by** $(induct\ (nid,m,h)\ (nid',m,h)\ rule:\ step.induct;\ auto)$

lemma *step-in-ids*:

assumes $g \vdash (nid, m, h) \rightarrow (nid', m', h')$
shows $nid \in ids\ g$
using $assms$ **apply** $(induct\ (nid, m, h)\ (nid', m', h')\ rule:\ step.induct)$
using $is_sequential_node.simps(45)$ $not-in-g$
apply $simp$
apply $(metis\ is_sequential_node.simps(46))$
using ids_some **apply** $(metis\ IRNode.simps(990))$
using $EndNodes(1)$ $is-AbstractEndNode.simps$ $is-EndNode.simps(45)$ ids_some
apply $(metis\ IRNode.disc(965))$
by $simp+$

6.3 Interprocedural Semantics

type-synonym *Signature* = *string*

type-synonym *Program* = *Signature* \rightarrow *IRGraph*

inductive $step_top :: Program \Rightarrow (Signature \times ID \times MapState) list \times FieldRefHeap$
 $\Rightarrow (Signature \times ID \times MapState) list \times FieldRefHeap \Rightarrow bool$
 $(- \vdash - \longrightarrow -\ 55)$
for p **where**

Lift:

$\llbracket Some\ g = p\ s;$
 $g \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$
 $\implies p \vdash ((s, nid, m) \# stk, h) \longrightarrow ((s, nid', m') \# stk, h') \mid$

InvokeNodeStep:

$\llbracket Some\ g = p\ s;$
 $is-Invoke\ (kind\ g\ nid);$

$callTarget = ir-callTarget\ (kind\ g\ nid);$

$kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);$

$g\ m \vdash arguments \mapsto vs;$
 $m' = set\ params\ m\ vs$
 $\implies p \vdash ((s, nid, m) \# stk, h) \longrightarrow ((targetMethod, 0, m') \# (s, nid, m) \# stk, h) \mid$

ReturnNode:

$\llbracket Some\ g = p\ s;$
 $kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);$
 $g\ m \vdash (kind\ g\ expr) \mapsto v;$

$Some\ c-g = p\ c-s;$
 $c-m' = m-set\ c-nid\ v\ c-m;$
 $c-nid' = (successors-of\ (kind\ c-g\ c-nid))!0$
 $\implies p \vdash ((s, nid, m) \# (c-s, c-nid, c-m) \# stk, h) \longrightarrow ((c-s, c-nid', c-m') \# stk, h) \mid$

ReturnNodeVoid:

$\llbracket Some\ g = p\ s;$
 $kind\ g\ nid = (ReturnNode\ None\ -);$
 $Some\ c-g = p\ c-s;$
 $c-m' = m-set\ c-nid\ (ObjRef\ (Some\ (2048)))\ c-m;$
 $c-nid' = (successors-of\ (kind\ c-g\ c-nid))!0$
 $\implies p \vdash ((s, nid, m) \# (c-s, c-nid, c-m) \# stk, h) \longrightarrow ((c-s, c-nid', c-m') \# stk, h) \mid$

UnwindNode:

$\llbracket Some\ g = p\ s;$
 $kind\ g\ nid = (UnwindNode\ exception);$
 $g\ m \vdash (kind\ g\ exception) \mapsto e;$
 $Some\ c-g = (p\ c-s);$
 $kind\ c-g\ c-nid = (InvokeWithExceptionNode\ -\ -\ -\ -\ -\ exEdge);$
 $c-m' = m-set\ c-nid\ e\ c-m$
 $\implies p \vdash ((s, nid, m) \# (c-s, c-nid, c-m) \# stk, h) \longrightarrow ((c-s, exEdge, c-m') \# stk, h)$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow bool$) *step-top* .

6.4 Big-step Execution

type-synonym *Trace* = (*Signature* \times *ID* \times *MapState*) *list*

fun *has-return* :: *MapState* \Rightarrow *bool* **where**
has-return *m* = ((*m-val* *m* 0) \neq *UndefVal*)

inductive *exec* :: *Program*
 \Rightarrow (*Signature* \times *ID* \times *MapState*) *list* \times *FieldRefHeap*
 \Rightarrow *Trace*

$\Rightarrow (Signature \times ID \times MapState) list \times FieldRefHeap$
 $\Rightarrow Trace$
 $\Rightarrow bool$
 $(- \vdash - \mid - \longrightarrow * - \mid -)$
for p
where
 $\llbracket p \vdash (((s, nid, m) \# xs), h) \longrightarrow (((s', nid', m') \# ys), h');$
 $\neg(has\text{-}return\ m');$
 $l' = (l @ [(s, nid, m)]);$
 $exec\ p\ (((s', nid', m') \# ys), h')\ l'\ next\text{-}state\ l''$
 $\implies exec\ p\ (((s, nid, m) \# xs), h)\ l\ next\text{-}state\ l''$
 \mid
 $\llbracket p \vdash (((s, nid, m) \# xs), h) \longrightarrow (((s', nid', m') \# ys), h');$
 $has\text{-}return\ m';$
 $l' = (l @ [(s, nid, m)]);$
 $\implies exec\ p\ (((s, nid, m) \# xs), h)\ l\ (((s', nid', m') \# ys), h')\ l'$
code-pred ($modes: i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool$ as $Exec$) $exec$.

inductive $exec\text{-}debug :: Program$
 $\Rightarrow (Signature \times ID \times MapState) list \times FieldRefHeap$
 $\Rightarrow nat$
 $\Rightarrow (Signature \times ID \times MapState) list \times FieldRefHeap$
 $\Rightarrow bool$
 $(\vdash \longrightarrow * - \mid -)$
where
 $\llbracket n > 0;$
 $p \vdash s \longrightarrow s';$
 $exec\text{-}debug\ p\ s'\ (n - 1)\ s''$
 $\implies exec\text{-}debug\ p\ s\ n\ s'' \mid$
 $\llbracket n = 0$
 $\implies exec\text{-}debug\ p\ s\ n\ s$
code-pred ($modes: i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$) $exec\text{-}debug$.

6.4.1 Heap Testing

definition $p3 :: MapState$ **where**
 $p3 = set\text{-}params\ new\text{-}map\text{-}state\ [IntVal\ 32\ 3]$

values $\{m\text{-}val\ (prod.snd\ (prod.snd\ (hd\ (prod.fst\ res))))\ 0$
 $\mid res. (\lambda x . Some\ eg2\text{-}sq) \vdash ([('''', 0, p3), ('''', 0, p3)], new\text{-}heap) \rightarrow * 2 * res\}$

definition $field\text{-}sq :: string$ **where**

field-sq = "sq"

definition *eg3-sq* :: *IRGraph* **where**

```
eg3-sq = irgraph [
  (0, StartNode None 4, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (3, MulNode 1 1, default-stamp),
  (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
  (5, ReturnNode (Some 3) None, default-stamp)
]
```

values {*h-load-field field-sq* None (*prod.snd res*)
 | *res*. (λx . Some *eg3-sq*) \vdash [(('', 0, p3), ('', 0, p3)], *new-heap*) \rightarrow^*3^* *res*}

definition *eg4-sq* :: *IRGraph* **where**

```
eg4-sq = irgraph [
  (0, StartNode None 4, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (3, MulNode 1 1, default-stamp),
  (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True),
  (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
  (6, ReturnNode (Some 3) None, default-stamp)
]
```

values {*h-load-field field-sq* (Some 0) (*prod.snd res*)
 | *res*. (λx . Some *eg4-sq*) \vdash [(('', 0, p3), ('', 0, p3)], *new-heap*) \rightarrow^*3^* *res*}
end

7 Proof Infrastructure

7.1 Bisimulation

theory *Bisimulation*

imports

Stuttering

begin

inductive *weak-bisimilar* :: *ID* \Rightarrow *IRGraph* \Rightarrow *IRGraph* \Rightarrow *bool*

(\cdot . $\cdot \sim \cdot$) **for** *nid* **where**

```
 $\llbracket \forall P'. (g \ m \ h \vdash \textit{nid} \rightsquigarrow P') \longrightarrow (\exists Q'. (g' \ m \ h \vdash \textit{nid} \rightsquigarrow Q') \wedge P' = Q');$   

 $\forall Q'. (g' \ m \ h \vdash \textit{nid} \rightsquigarrow Q') \longrightarrow (\exists P'. (g \ m \ h \vdash \textit{nid} \rightsquigarrow P') \wedge P' = Q') \rrbracket$   

 $\impl \textit{nid} . g \sim g'$ 
```

A strong bisimulation between no-op transitions

inductive *strong-noop-bisimilar* :: *ID* \Rightarrow *IRGraph* \Rightarrow *IRGraph* \Rightarrow *bool*
 (- | - \sim -) **for** *nid* **where**
 $\llbracket \forall P'. (g \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g' \vdash (nid, m, h) \rightarrow Q') \wedge P' = Q');$
 $\forall Q'. (g' \vdash (nid, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g \vdash (nid, m, h) \rightarrow P') \wedge P' = Q') \rrbracket$
 $\implies nid \mid g \sim g'$

lemma *lockstep-strong-bisimulation*:
assumes $g' = \text{replace-node } nid \text{ node } g$
assumes $g \vdash (nid, m, h) \rightarrow (nid', m, h)$
assumes $g' \vdash (nid, m, h) \rightarrow (nid', m, h)$
shows $nid \mid g \sim g'$
using *assms(2) assms(3) stepDet strong-noop-bisimilar.simps* **by** *blast*

lemma *no-step-bisimulation*:
assumes $\forall m \ h \ nid' \ m' \ h'. \neg(g \vdash (nid, m, h) \rightarrow (nid', m', h'))$
assumes $\forall m \ h \ nid' \ m' \ h'. \neg(g' \vdash (nid, m, h) \rightarrow (nid', m', h'))$
shows $nid \mid g \sim g'$
using *assms*
by (*simp add: assms(1) assms(2) strong-noop-bisimilar.intros*)

end

7.2 Formedness Properties

theory *Form*
imports
Semantics.IREval
begin

definition *wff-start* **where**
 $wff\text{-}start \ g = (0 \in ids \ g \wedge$
 $is\text{-}StartNode \ (kind \ g \ 0))$

definition *wff-closed* **where**
 $wff\text{-}closed \ g =$
 $(\forall \ n \in ids \ g .$
 $inputs \ g \ n \subseteq ids \ g \wedge$
 $succ \ g \ n \subseteq ids \ g \wedge$
 $kind \ g \ n \neq NoNode)$

definition *wff-phis* **where**
 $wff\text{-}phis \ g =$
 $(\forall \ n \in ids \ g .$
 $is\text{-}PhiNode \ (kind \ g \ n) \longrightarrow$
 $length \ (ir\text{-}values \ (kind \ g \ n))$
 $= length \ (ir\text{-}ends$
 $(kind \ g \ (ir\text{-}merge \ (kind \ g \ n))))$

definition *wff-ends* **where**

```

    wff-ends g =
      (∀ n ∈ ids g .
        is-AbstractEndNode (kind g n) →
        card (usages g n) > 0)

fun wff-graph :: IRGraph ⇒ bool where
  wff-graph g = (wff-start g ∧ wff-closed g ∧ wff-phis g ∧ wff-ends g)

lemmas wff-folds =
  wff-graph.simps
  wff-start-def
  wff-closed-def
  wff-phis-def
  wff-ends-def

fun wff-stamps :: IRGraph ⇒ bool where
  wff-stamps g = (∀ n ∈ ids g .
    (∀ v m . (g m ⊢ (kind g n) ↦ v) → valid-value (stamp g n) v))

fun wff-stamp :: IRGraph ⇒ (ID ⇒ Stamp) ⇒ bool where
  wff-stamp g s = (∀ n ∈ ids g .
    (∀ v m . (g m ⊢ (kind g n) ↦ v) → valid-value (s n) v))

lemma wff-empty: wff-graph start-end-graph
  unfolding start-end-graph-def wff-folds by simp

lemma wff-eg2-sq: wff-graph eg2-sq
  unfolding eg2-sq-def wff-folds by simp

fun wff-values :: IRGraph ⇒ bool where
  wff-values g = (∀ n ∈ ids g .
    (∀ v m . (g m ⊢ kind g n ↦ v) → wff-value v))

lemma wff-value-range:
  b > 1 ∧ b ∈ int-bits-allowed → {v. wff-value (IntVal b v)} = {v. ((¬(2b-1))
  ≤ v) ∧ (v < (2b-1)))}
  unfolding wff-value.simps
  by auto

lemma wff-value-bit-range:
  b = 1 → {v. wff-value (IntVal b v)} = {}
  unfolding wff-value.simps
  by (simp add: int-bits-allowed-def)

end

```

7.3 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

```

theory IRGraphFrames
  imports
    Form
    Semantics.IREval
  begin

  fun unchanged :: ID set  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool where
    unchanged ns g1 g2 = ( $\forall$  n . n  $\in$  ns  $\longrightarrow$ 
      (n  $\in$  ids g1  $\wedge$  n  $\in$  ids g2  $\wedge$  kind g1 n = kind g2 n))

  fun changeonly :: ID set  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool where
    changeonly ns g1 g2 = ( $\forall$  n . n  $\in$  ids g1  $\wedge$  n  $\notin$  ns  $\longrightarrow$ 
      (n  $\in$  ids g1  $\wedge$  n  $\in$  ids g2  $\wedge$  kind g1 n = kind g2 n))

  lemma node-unchanged:
    assumes unchanged ns g1 g2
    assumes nid  $\in$  ns
    shows kind g1 nid = kind g2 nid
    using assms by auto

  lemma other-node-unchanged:
    assumes changeonly ns g1 g2
    assumes nid  $\in$  ids g1
    assumes nid  $\notin$  ns
    shows kind g1 nid = kind g2 nid
    using assms
    using changeonly.simps by blast

```

Some notation for input nodes used

```

inductive eval-uses :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  bool
for g where

  use0: nid  $\in$  ids g
     $\implies$  eval-uses g nid nid |

  use-inp: nid'  $\in$  inputs g n
     $\implies$  eval-uses g nid nid' |

  use-trans:  $\llbracket$  eval-uses g nid nid';
    eval-uses g nid' nid'  $\rrbracket$ 

```

$\implies \text{eval-uses } g \text{ nid nid''}$

fun *eval-usages* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID set* **where**
eval-usages *g* *nid* = {*n* \in *ids g* . *eval-uses g nid n*}

lemma *eval-usages-self*:
assumes *nid* \in *ids g*
shows *nid* \in *eval-usages g nid*
using *assms eval-usages.simps eval-uses.intros(1)*
by (*simp add: ids.rep-eq*)

lemma *not-in-g-inputs*:
assumes *nid* \notin *ids g*
shows *inputs g nid* = {}
proof –
have *k*: *kind g nid* = *NoNode* **using** *assms not-in-g* **by** *blast*
then show *?thesis* **by** (*simp add: k*)
qed

lemma *child-member*:
assumes *n* = *kind g nid*
assumes *n* \neq *NoNode*
assumes *List.member (inputs-of n) child*
shows *child* \in *inputs g nid*
unfolding *inputs.simps* **using** *assms*
by (*metis in-set-member*)

lemma *child-member-in*:
assumes *nid* \in *ids g*
assumes *List.member (inputs-of (kind g nid)) child*
shows *child* \in *inputs g nid*
unfolding *inputs.simps* **using** *assms*
by (*metis child-member ids-some inputs.elims*)

lemma *inp-in-g*:
assumes *n* \in *inputs g nid*
shows *nid* \in *ids g*
proof –
have *inputs g nid* \neq {}
using *assms*
by (*metis empty-iff empty-set*)
then have *kind g nid* \neq *NoNode*
using *not-in-g-inputs*
using *ids-some* **by** *blast*
then show *?thesis*
using *not-in-g*

by *metis*
 qed

lemma *inp-in-g-woff*:
 assumes *woff-graph g*
 assumes $n \in \text{inputs } g \text{ nid}$
 shows $n \in \text{ids } g$
 using *assms unfolding wff-folds*
 using *inp-in-g by blast*

lemma *kind-unchanged*:
 assumes $\text{nid} \in \text{ids } g1$
 assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
 shows $\text{kind } g1 \text{ nid} = \text{kind } g2 \text{ nid}$
 proof –
 show ?thesis
 using *assms eval-usages-self*
 using *unchanged.simps by blast*
 qed

lemma *child-unchanged*:
 assumes $\text{child} \in \text{inputs } g1 \text{ nid}$
 assumes *unchanged* (*eval-usages g1 nid*) *g1 g2*
 shows *unchanged* (*eval-usages g1 child*) *g1 g2*
 by (*smt assms(1) assms(2) eval-usages.simps mem-Collect-eq*
unchanged.simps use-inp use-trans)

lemma *eval-usages*:
 assumes $us = \text{eval-usages } g \text{ nid}$
 assumes $\text{nid}' \in \text{ids } g$
 shows $\text{eval-uses } g \text{ nid nid}' \longleftrightarrow \text{nid}' \in us$ (*is ?P \longleftrightarrow ?Q*)
 using *assms eval-usages.simps*
 by (*simp add: ids.rep-eq*)

lemma *inputs-are-uses*:
 assumes $\text{nid}' \in \text{inputs } g \text{ nid}$
 shows $\text{eval-uses } g \text{ nid nid}'$
 by (*metis assms use-inp*)

lemma *inputs-are-usages*:
 assumes $\text{nid}' \in \text{inputs } g \text{ nid}$
 assumes $\text{nid}' \in \text{ids } g$
 shows $\text{nid}' \in \text{eval-usages } g \text{ nid}$
 using *assms(1) assms(2) eval-usages inputs-are-uses by blast*

lemma *usage-includes-inputs*:
 assumes $us = \text{eval-usages } g \text{ nid}$
 assumes $ls = \text{inputs } g \text{ nid}$

```

assumes  $ls \subseteq ids\ g$ 
shows  $ls \subseteq us$ 
using inputs-are-usages eval-usages
using assms(1) assms(2) assms(3) by blast

lemma elim-inp-set:
assumes  $k = kind\ g\ nid$ 
assumes  $k \neq NoNode$ 
assumes  $child \in set\ (inputs-of\ k)$ 
shows  $child \in inputs\ g\ nid$ 
using assms by auto

lemma eval-in-ids:
assumes  $g\ m \vdash (kind\ g\ nid) \mapsto v$ 
shows  $nid \in ids\ g$ 
using assms by (cases kind g nid = NoNode; auto)

theorem stay-same:
assumes nc: unchanged (eval-usages g1 nid) g1 g2
assumes g1: g1 m  $\vdash$  (kind g1 nid)  $\mapsto$  v1
assumes wff: wff-graph g1
shows  $g2\ m \vdash (kind\ g2\ nid) \mapsto v1$ 
proof –
  have nid: nid  $\in$  ids g1
    using g1 eval-in-ids by simp
  then have nid  $\in$  eval-usages g1 nid
    using eval-usages-self by blast
  then have kind-same: kind g1 nid = kind g2 nid
    using nc node-unchanged by blast
  show ?thesis using g1 nid nc
  proof (induct m (kind g1 nid) v1 arbitrary: nid rule: eval.induct)
    print-cases
    case const: (ConstantNode m c)
      then have (kind g2 nid) = ConstantNode c
        using kind-unchanged by metis
      then show ?case using eval.ConstantNode const.hyps(1) by metis
    next
      case param: (ParameterNode val m i)
        show ?case
        by (metis eval.ParameterNode kind-unchanged param.hyps(1) param.prem(1)
param.prem(2))
    next
      case (ValuePhiNode val nida ux uy)
        then have kind: (kind g2 nid) = ValuePhiNode nida ux uy
          using kind-unchanged by metis
        then show ?case
          using eval.ValuePhiNode kind ValuePhiNode.hyps(1) by metis
    next

```

```

case (ValueProxyNode m child val - nid)
from ValueProxyNode.premis(1) ValueProxyNode.hyps(3)
have inp-in: child ∈ inputs g1 nid
  using child-member-in inputs-of-ValueProxyNode
  by (metis member-rec(1))
then have cin: child ∈ ids g1
  using wff inp-in-g-wff by blast
from inp-in have unc: unchanged (eval-usages g1 child) g1 g2
  using child-unchanged ValueProxyNode.premis(2) by metis
then have g2 m ⊢ (kind g2 child) ↦ val
  using ValueProxyNode.hyps(2) cin
  by blast
then show ?case
  by (metis ValueProxyNode.hyps(3) ValueProxyNode.premis(1) ValueProxyNode.premis(2) eval.ValueProxyNode kind-unchanged)
next
case (AbsNode m x b v -)
then have unchanged (eval-usages g1 x) g1 g2
by (metis child-unchanged elim-inp-set ids-some inputs-of.simps(1) list.set-intros(1))
then have g2 m ⊢ (kind g2 x) ↦ IntVal b v
  using AbsNode.hyps(1) AbsNode.hyps(2) not-in-g
by (metis AbsNode.hyps(3) AbsNode.premis(1) elim-inp-set ids-some inp-in-g-wff
inputs-of.simps(1) list.set-intros(1) wff)
then show ?case
  by (metis AbsNode.hyps(3) AbsNode.premis(1) AbsNode.premis(2) eval.AbsNode
kind-unchanged)
next
case Node: (NegateNode m x b v -)
from inputs-of-NegateNode Node.hyps(3) Node.premis(1)
have xinp: x ∈ inputs g1 nid
  using child-member-in by (metis member-rec(1))
then have xin: x ∈ ids g1
  using wff inp-in-g-wff by blast
from xinp child-unchanged Node.premis(2)
  have ux: unchanged (eval-usages g1 x) g1 g2 by blast
have x1:g1 m ⊢ (kind g1 x) ↦ IntVal b v
  using Node.hyps(1) Node.hyps(2)
  by blast
have x2: g2 m ⊢ (kind g2 x) ↦ IntVal b v
  using kind-unchanged ux xin Node.hyps
  by blast
then show ?case
  using kind-same Node.hyps(1,3) eval.NegateNode
  by (metis Node.premis(1) Node.premis(2) kind-unchanged ux xin)
next
case node:(AddNode m x v1 y v2)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-unchanged inputs.simps inputs-of-AddNode list.set-intros(1))
then have x: g1 m ⊢ (kind g1 x) ↦ v1

```

```

    using node.hyps(1) by blast
    have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis IRNodes.inputs-of-AddNode child-member-in child-unchanged mem-
ber-rec(1) node.hyps(5) node.prem(1) node.prem(2))
    have y: g1 m ⊢ (kind g1 y) ⇔ v2
    using node.hyps(3) by blast
    show ?case
    using node.hyps node.prem ux x uy y
    by (metis AddNode inputs.simp in-in-g-wff inputs-of-AddNode kind-unchanged
list.set-intros(1) set-subset-Cons subset-iff wff)
  next
    case node:(SubNode m x v1 y v2)
    then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
    then have x: g1 m ⊢ (kind g1 x) ⇔ v1
    using node.hyps(1) by blast
    from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
    have y: g1 m ⊢ (kind g1 y) ⇔ v2
    using node.hyps(3) by blast
    show ?case
    using node.hyps node.prem ux x uy y
    by (metis SubNode inputs.simp inputs-of-SubNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wff wff-folds(1,3))
  next
    case node:(MulNode m x v1 y v2)
    then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
    then have x: g1 m ⊢ (kind g1 x) ⇔ v1
    using node.hyps(1) by blast
    from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
    have y: g1 m ⊢ (kind g1 y) ⇔ v2
    using node.hyps(3) by blast
    show ?case
    using node.hyps node.prem ux x uy y
    by (metis MulNode inputs.simp inputs-of-MulNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wff wff-folds(1,3))
  next
    case node:(AndNode m x v1 y v2)
    then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
    then have x: g1 m ⊢ (kind g1 x) ⇔ v1
    using node.hyps(1) by blast
    from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
    have y: g1 m ⊢ (kind g1 y) ⇔ v2
    using node.hyps(3) by blast
    show ?case

```



```

    using node.hyps node.premys ux x uy y
  by (metis AndNode inputs.simps inputs-of-AndNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wff wff-folds(1,3))
next
case node: (OrNode m x v1 y v2)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.premys ux x uy y
  by (metis OrNode inputs.simps inputs-of-OrNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wff wff-folds(1,3))
next
case node: (XorNode m x v1 y v2)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.premys ux x uy y
  by (metis XorNode inputs.simps inputs-of-XorNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wff wff-folds(1,3))
next
case node: (IntegerEqualsNode m x b v1 y v2 val)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode mem-
ber-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ IntVal b v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode mem-
ber-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ IntVal b v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.premys ux x uy y
  by (metis (full-types) IntegerEqualsNode child-member-in in-set-member
inputs-of-IntegerEqualsNode kind-unchanged list.set-intros(1) set-subset-Cons sub-
setD wff wff-folds(1,3))
next

```

```

case node: (IntegerLessThanNode m x b v1 y v2 val)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-IntegerLessThanNode
member-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ IntVal b v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-IntegerLessThanNode
member-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ IntVal b v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.premis ux x uy y
  by (metis (full-types) IntegerLessThanNode child-member-in in-set-member in-
inputs-of-IntegerLessThanNode kind-unchanged list.set-intros(1) set-subset-Cons sub-
setD wff wff-folds(1,3))
next
case node: (ShortCircuitOrNode m x b v1 y v2 val)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode
member-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ IntVal b v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode
member-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ IntVal b v2
  using node.hyps(3) by blast
have x2: g2 m ⊢ (kind g2 x) ⇨ IntVal b v1
by (metis inputs.simps inputs-of-ShortCircuitOrNode list.set-intros(1) node.hyps(2)
node.hyps(6) node.premis(1) subsetD ux wff wff-folds(1,3))
have y2: g2 m ⊢ (kind g2 y) ⇨ IntVal b v2
  by (metis basic-trans-rules(31) inputs.simps inputs-of-ShortCircuitOrNode
list.set-intros(1) node.hyps(4) node.hyps(6) node.premis(1) set-subset-Cons uy wff
wff-folds(1,3))
show ?case
  using node.hyps node.premis ux x uy y x2 y2
  by (metis ShortCircuitOrNode kind-unchanged)
next
case node: (LogicNegationNode m x v1 val nida)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-LogicNegationNode mem-
ber-rec(1))
then have x:g2 m ⊢ (kind g2 x) ⇨ IntVal 1 v1
by (metis inputs.simps inp-in-g-wff inputs-of-LogicNegationNode list.set-intros(1)
node.hyps(2) node.hyps(4) wff)
then show ?case
  by (metis LogicNegationNode kind-unchanged node.hyps(3) node.hyps(4)
node.premis(1) node.premis(2))

```

```

next
  case node: (ConditionalNode m condition cond trueExp b trueVal falseExp falseVal
val)
    have c: condition ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prem(1))
    then have unchanged (eval-usages g1 condition) g1 g2
    using child-unchanged node.prem(2) by blast
    then have cond: g2 m ⊢ (kind g2 condition) ↦ IntVal 1 cond
    using node c inp-in-g-wff wff by blast

    have t: trueExp ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prem(1))
    then have utrue: unchanged (eval-usages g1 trueExp) g1 g2
    using node.prem(2) child-unchanged by blast
    then have trueVal: g2 m ⊢ (kind g2 trueExp) ↦ IntVal b (trueVal)
    using node.hyps node t inp-in-g-wff wff by blast

    have f: falseExp ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prem(1))
    then have ufalse: unchanged (eval-usages g1 falseExp) g1 g2
    using node.prem(2) child-unchanged by blast
    then have falseVal: g2 m ⊢ (kind g2 falseExp) ↦ IntVal b (falseVal)
    using node.hyps node f inp-in-g-wff wff by blast

    have g2 m ⊢ (kind g2 nid) ↦ val
    using kind-same trueVal falseVal cond
    by (metis ConditionalNode kind-unchanged node.hyps(7) node.hyps(8) node.prem(1)
node.prem(2))
    then show ?case
    by blast

next
  case (RefNode m x val nid)
  have x: x ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-RefNode RefNode.hyps(3) RefNode.prem(1)
child-member-in member-rec(1))
  then have ref: g2 m ⊢ (kind g2 x) ↦ val
  using RefNode.hyps(2) RefNode.prem(2) child-unchanged inp-in-g-wff wff by
blast
  then show ?case
  by (metis RefNode.hyps(3) RefNode.prem(1) RefNode.prem(2) eval.RefNode
kind-unchanged)
next
  case (InvokeNodeEval val m - callTarget classInit stateDuring stateAfter nex)
  then show ?case
  by (metis eval.InvokeNodeEval kind-unchanged)

```

```

next
  case (SignedDivNode m x v1 y v2 zeroCheck frameState nex)
  then show ?case
  by (metis eval.SignedDivNode kind-unchanged)
next
  case (SignedRemNode m x v1 y v2 zeroCheck frameState nex)
  then show ?case
  by (metis eval.SignedRemNode kind-unchanged)
next
  case (InvokeWithExceptionNodeEval val m - callTarget classInit stateDuring
stateAfter nex exceptionEdge)
  then show ?case
  by (metis eval.InvokeWithExceptionNodeEval kind-unchanged)
next
  case (NewInstanceNode m nid clazz stateBefore nex)
  then show ?case
  by (metis eval.NewInstanceNode kind-unchanged)
next
  case (IsNullNode m obj ref val)
  have obj: obj ∈ inputs g1 nid
  by (metis IRNodes.inputs-of-IsNullNode IsNullNode.hyps(4) inputs.simps
list.set-intros(1))
  then have ref: g2 m ⊢ (kind g2 obj) ↦ ObjRef ref
  using IsNullNode.hyps(1) IsNullNode.hyps(2) IsNullNode.prem(2) child-unchanged
eval-in-ids by blast
  then show ?case
  by (metis (full-types) IsNullNode.hyps(3) IsNullNode.hyps(4) IsNullNode.prem(1)
IsNullNode.prem(2) eval.IsNullNode kind-unchanged)
next
  case (LoadFieldNode)
  then show ?case
  by (metis eval.LoadFieldNode kind-unchanged)
next
  case (PiNode m object val)
  have object: object ∈ inputs g1 nid
  using inputs-of-PiNode inputs.simps
  by (metis PiNode.hyps(3) append-Cons list.set-intros(1))
  then have ref: g2 m ⊢ (kind g2 object) ↦ val
  using PiNode.hyps(1) PiNode.hyps(2) PiNode.prem(2) child-unchanged
eval-in-ids by blast
  then show ?case
  by (metis PiNode.hyps(3) PiNode.prem(1) PiNode.prem(2) eval.PiNode
kind-unchanged)
next
  case (NotNode m x val not-val)
  have object: x ∈ inputs g1 nid
  using inputs-of-NotNode inputs.simps
  by (metis NotNode.hyps(4) list.set-intros(1))
  then have ref: g2 m ⊢ (kind g2 x) ↦ val

```

```

    using NotNode.hyps(1) NotNode.hyps(2) NotNode.prem(2) child-unchanged
eval-in-ids by blast
  then show ?case
    by (metis NotNode.hyps(3) NotNode.hyps(4) NotNode.prem(1) NotNode.prem(2)
eval.NotNode kind-unchanged)
  qed
qed

```

lemma *add-changed*:

```

  assumes gup = add-node new k g
  shows changeonly {new} g gup
  using assms unfolding add-node-def changeonly.simps
  using add-node.rep-eq add-node-def kind.rep-eq by auto

```

lemma *disjoint-change*:

```

  assumes changeonly change g gup
  assumes nochange = ids g - change
  shows unchanged nochange g gup
  using assms unfolding changeonly.simps unchanged.simps
  by blast

```

lemma *add-node-unchanged*:

```

  assumes new ∉ ids g
  assumes nid ∈ ids g
  assumes gup = add-node new k g
  assumes wff-graph g
  shows unchanged (eval-usages g nid) g gup
proof -
  have new ∉ (eval-usages g nid) using assms
    using eval-usages.simps by blast
  then have changeonly {new} g gup
    using assms add-changed by blast
  then show ?thesis using assms add-node-def disjoint-change
    using Diff-insert-absorb by auto
qed

```

lemma *eval-uses-imp*:

```

  ((nid' ∈ ids g ∧ nid = nid')
  ∨ nid' ∈ inputs g nid
  ∨ (∃ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'))
  ⟷ eval-uses g nid nid'
  using use0 use-inp use-trans
  by (meson eval-uses.simps)

```

lemma *wff-use-ids*:

```

  assumes wff-graph g
  assumes nid ∈ ids g
  assumes eval-uses g nid nid'

```

```

    shows  $nid' \in ids\ g$ 
    using assms(3)
  proof (induction rule: eval-uses.induct)
    case use0
    then show ?case by simp
  next
    case use-inp
    then show ?case
      using assms(1) inp-in-g-wff by blast
  next
    case use-trans
    then show ?case by blast
qed

lemma no-external-use:
  assumes wff-graph g
  assumes  $nid' \notin ids\ g$ 
  assumes  $nid \in ids\ g$ 
  shows  $\neg(eval-uses\ g\ nid\ nid')$ 
proof -
  have 0:  $nid \neq nid'$ 
    using assms by blast
  have inp:  $nid' \notin inputs\ g\ nid$ 
    using assms
    using inp-in-g-wff by blast
  have rec-0:  $\nexists n . n \in ids\ g \wedge n = nid'$ 
    using assms by blast
  have rec-inp:  $\nexists n . n \in ids\ g \wedge n \in inputs\ g\ nid'$ 
    using assms(2) inp-in-g by blast
  have rec:  $\nexists nid'' . eval-uses\ g\ nid\ nid'' \wedge eval-uses\ g\ nid''\ nid'$ 
    using wff-use-ids assms(1) assms(2) assms(3) by blast
  from 0 rec show ?thesis
    using eval-uses-imp by blast
qed

end

```

7.4 Graph Rewriting

```

theory
  Rewrites
imports
  IRGraphFrames
  Stuttering
begin

fun replace-usages ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  where
  replace-usages  $nid\ nid'\ g = replace-node\ nid\ (RefNode\ nid',\ stamp\ g\ nid')\ g$ 

```

```

lemma replace-usages-effect:
  assumes  $g' = \text{replace-usages } \textit{nid} \ \textit{nid}' \ g$ 
  shows  $\textit{kind } g' \ \textit{nid} = \text{RefNode } \textit{nid}'$ 
  using assms replace-node-lookup replace-usages.simps IRNode.distinct(2069)
  by (metis)

lemma replace-usages-changeonly:
  assumes  $\textit{nid} \in \textit{ids } g$ 
  assumes  $g' = \text{replace-usages } \textit{nid} \ \textit{nid}' \ g$ 
  shows  $\textit{changeonly } \{\textit{nid}\} \ g \ g'$ 
  using assms unfolding replace-usages.simps
  by (metis DiffI changeonly.elims(3) ids-some replace-node-unchanged)

lemma replace-usages-unchanged:
  assumes  $\textit{nid} \in \textit{ids } g$ 
  assumes  $g' = \text{replace-usages } \textit{nid} \ \textit{nid}' \ g$ 
  shows  $\textit{unchanged } (\textit{ids } g - \{\textit{nid}\}) \ g \ g'$ 
  using assms unfolding replace-usages.simps
  by (smt (verit, del-insts) DiffE ids-some replace-node-unchanged unchanged.simps)

fun nextNid :: IRGraph  $\Rightarrow$  ID where
  nextNid  $g = (\text{Max } (\textit{ids } g)) + 1$ 

lemma max-plus-one:
  fixes  $c :: \textit{ID set}$ 
  shows  $\llbracket \textit{finite } c; c \neq \{\} \rrbracket \Longrightarrow (\text{Max } c) + 1 \notin c$ 
  by (meson Max-gr-iff less-add-one less-irrefl)

lemma ids-finite:
  finite (ids  $g$ )
  by simp

lemma nextNidNotIn:
   $\textit{ids } g \neq \{\} \longrightarrow \textit{nextNid } g \notin \textit{ids } g$ 
  unfolding nextNid.simps
  using ids-finite max-plus-one by blast

fun constantCondition :: bool  $\Rightarrow$  ID  $\Rightarrow$  IRNode  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph where
  constantCondition  $\textit{val } \textit{nid} \ (\text{IfNode } \textit{cond } t \ f) \ g =$ 
     $\text{replace-node } \textit{nid} \ (\text{IfNode } (\textit{nextNid } g) \ t \ f, \text{stamp } g \ \textit{nid})$ 
     $(\text{add-node } (\textit{nextNid } g) \ ((\text{ConstantNode } (\text{bool-to-val } \textit{val})), \text{default-stamp}) \ g) \mid$ 
     $\textit{constantCondition } \textit{cond } \textit{nid} - g = g$ 

lemma constantConditionTrue:
  assumes  $\textit{kind } g \ \textit{ifcond} = \text{IfNode } \textit{cond } t \ f$ 
  assumes  $g' = \text{constantCondition } \text{True} \ \textit{ifcond} \ (\textit{kind } g \ \textit{ifcond}) \ g$ 
  shows  $g' \vdash (\textit{ifcond}, m, h) \rightarrow (t, m, h)$ 

```

```

proof –
  have  $if'$ :  $kind\ g'\ ifcond = IfNode\ (nextNid\ g)\ t\ f$ 
    by ( $metis\ IRNode.simps(989)\ assms(1)\ assms(2)\ constantCondition.simps(1)$ )
  replace-node-lookup
  have  $bool\text{-}to\text{-}val\ True = (IntVal\ 1\ 1)$ 
    by auto
  have  $ifcond \neq (nextNid\ g)$ 
    by ( $metis\ IRNode.simps(989)\ assms(1)\ emptyE\ ids\text{-}some\ nextNidNotIn$ )
  then have  $c'$ :  $kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal\ 1\ 1)$ 
    using  $assms(2)\ replace\text{-}node\text{-}unchanged$ 
    by ( $metis\ DiffI\ IRNode.distinct(585)\ \langle bool\text{-}to\text{-}val\ True = IntVal\ 1\ 1 \rangle\ add\text{-}node\text{-}lookup$ 
 $assms(1)\ constantCondition.simps(1)\ emptyE\ insertE\ not\text{-}in\text{-}g$ )
  from  $if'\ c'$  show  $?thesis$  using  $IfNode$ 
    by ( $smt\ (z3)\ ConstantNode\ val\text{-}to\text{-}bool.simps(1)$ )
qed

```

```

lemma constantConditionFalse:
  assumes  $kind\ g\ ifcond = IfNode\ cond\ t\ f$ 
  assumes  $g' = constantCondition\ False\ ifcond\ (kind\ g\ ifcond)\ g$ 
  shows  $g' \vdash (ifcond,\ m,\ h) \rightarrow (f,\ m,\ h)$ 
proof –
  have  $if'$ :  $kind\ g'\ ifcond = IfNode\ (nextNid\ g)\ t\ f$ 
    by ( $metis\ IRNode.simps(989)\ assms(1)\ assms(2)\ constantCondition.simps(1)$ )
  replace-node-lookup
  have  $bool\text{-}to\text{-}val\ False = (IntVal\ 1\ 0)$ 
    by auto
  have  $ifcond \neq (nextNid\ g)$ 
    by ( $metis\ IRNode.simps(989)\ assms(1)\ emptyE\ ids\text{-}some\ nextNidNotIn$ )
  then have  $c'$ :  $kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal\ 1\ 0)$ 
    using  $assms(2)\ replace\text{-}node\text{-}unchanged$ 
    by ( $metis\ DiffI\ IRNode.distinct(585)\ \langle bool\text{-}to\text{-}val\ False = IntVal\ 1\ 0 \rangle\ add\text{-}node\text{-}lookup$ 
 $assms(1)\ constantCondition.simps(1)\ emptyE\ insertE\ not\text{-}in\text{-}g$ )
  from  $if'\ c'$  show  $?thesis$  using  $IfNode$ 
    by ( $smt\ (z3)\ ConstantNode\ val\text{-}to\text{-}bool.simps(1)$ )
qed

```

```

lemma diff-forall:
  assumes  $\forall n \in ids\ g - \{nid\}.\ cond\ n$ 
  shows  $\forall n.\ n \in ids\ g \wedge n \notin \{nid\} \longrightarrow cond\ n$ 
  by ( $meson\ Diff\text{-}iff\ assms$ )

```

```

lemma replace-node-changeonly:
  assumes  $g' = replace\text{-}node\ nid\ node\ g$ 
  shows  $changeonly\ \{nid\}\ g\ g'$ 
  using  $assms\ replace\text{-}node\text{-}unchanged$ 
  unfolding  $changeonly.simps$  using diff-forall
  sorry

```

```

lemma add-node-changeonly:

```


assumes $g' = \text{add-node } \textit{nid} \textit{ node } g$
shows $\textit{changeonly } \{\textit{nid}\} g g'$
by (*metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq replace-node-changeonly*)

lemma *constantConditionNoEffect*:
assumes $\neg(\textit{is-IfNode } (\textit{kind } g \textit{ nid}))$
shows $g = \textit{constantCondition } b \textit{ nid } (\textit{kind } g \textit{ nid}) g$
using *assms apply (cases kind g nid)*
using *constantCondition.simps*
apply *presburger+*
apply (*metis is-IfNode-def*)
using *constantCondition.simps*
by *presburger+*

lemma *constantConditionIfNode*:
assumes $\textit{kind } g \textit{ nid} = \textit{IfNode } \textit{cond } t f$
shows $\textit{constantCondition } \textit{val } \textit{nid } (\textit{kind } g \textit{ nid}) g =$
 $\textit{replace-node } \textit{nid } (\textit{IfNode } (\textit{nextNid } g) t f, \textit{stamp } g \textit{ nid})$
 $(\textit{add-node } (\textit{nextNid } g) ((\textit{ConstantNode } (\textit{bool-to-val } \textit{val})), \textit{default-stamp}) g)$
using *constantCondition.simps*
by (*simp add: assms*)

lemma *constantCondition-changeonly*:
assumes $\textit{nid} \in \textit{ids } g$
assumes $g' = \textit{constantCondition } b \textit{ nid } (\textit{kind } g \textit{ nid}) g$
shows $\textit{changeonly } \{\textit{nid}\} g g'$
proof (*cases is-IfNode (kind g nid)*)
case *True*
have $\textit{nextNid } g \notin \textit{ids } g$
using *nextNidNotIn by (metis emptyE)*
then show *?thesis using assms*
using *replace-node-changeonly add-node-changeonly unfolding changeonly.simps*
using *True constantCondition.simps(1) is-IfNode-def*
by (*metis (full-types) DiffD2 Diff-insert-absorb*)
next
case *False*
have $g = g'$
using *constantConditionNoEffect*
using *False assms(2) by blast*
then show *?thesis by simp*
qed

lemma *constantConditionNoIf*:
assumes $\forall \textit{cond } t f. \textit{kind } g \textit{ ifcond} \neq \textit{IfNode } \textit{cond } t f$
assumes $g' = \textit{constantCondition } \textit{val } \textit{ifcond } (\textit{kind } g \textit{ ifcond}) g$
shows $\exists \textit{nid}' . (g \textit{ m } h \vdash \textit{ifcond} \rightsquigarrow \textit{nid}') \longleftrightarrow (g' \textit{ m } h \vdash \textit{ifcond} \rightsquigarrow \textit{nid}')$
proof –

```

have g' = g
  using assms(2) assms(1)
  using constantConditionNoEffect
  by (metis IRNode.collapse(11))
then show ?thesis by simp
qed

lemma constantConditionValid:
  assumes kind g ifcond = IfNode cond t f
  assumes g m ⊢ kind g cond ↦ v
  assumes const = val-to-bool v
  assumes g' = constantCondition const ifcond (kind g ifcond) g
  shows ∃ nid'. (g m h ⊢ ifcond ↘ nid') ⟷ (g' m h ⊢ ifcond ↘ nid')
proof (cases const)
case True
  have ifstep: g ⊢ (ifcond, m, h) → (t, m, h)
    by (meson IfNode True assms(1) assms(2) assms(3))
  have ifstep': g' ⊢ (ifcond, m, h) → (t, m, h)
    using constantConditionTrue
    using True assms(1) assms(4) by presburger
  from ifstep ifstep' show ?thesis
    using StutterStep by blast
next
case False
  have ifstep: g ⊢ (ifcond, m, h) → (f, m, h)
    by (meson IfNode False assms(1) assms(2) assms(3))
  have ifstep': g' ⊢ (ifcond, m, h) → (f, m, h)
    using constantConditionFalse
    using False assms(1) assms(4) by presburger
  from ifstep ifstep' show ?thesis
    using StutterStep by blast
qed
end

```

7.5 Stuttering

```

theory Stuttering
  imports
    Semantics.IRStepObj
begin

```

```

inductive stutter:: IRGraph ⇒ MapState ⇒ FieldRefHeap ⇒ ID ⇒ ID ⇒ bool (-
- ⊢ - ↘ - 55)

```

```

  for g m h where

```

```

    StutterStep:
    [[g ⊢ (nid, m, h) → (nid', m, h)]]
    ⇒ g m h ⊢ nid ↘ nid' |

```

Transitive:
 $\llbracket g \vdash (nid, m, h) \rightarrow (nid'', m, h);$
 $g \ m \ h \vdash nid'' \rightsquigarrow nid \rrbracket$
 $\implies g \ m \ h \vdash nid \rightsquigarrow nid'$

lemma *stuttering-successor:*
assumes $(g \vdash (nid, m, h) \rightarrow (nid', m, h))$
shows $\{P'. (g \ m \ h \vdash nid \rightsquigarrow P')\} = \{nid'\} \cup \{nid''. (g \ m \ h \vdash nid' \rightsquigarrow nid'')\}$
proof –
have *nextin:* $nid' \in \{P'. (g \ m \ h \vdash nid \rightsquigarrow P')\}$
using *assms StutterStep* **by** *blast*
have *nextsubset:* $\{nid''. (g \ m \ h \vdash nid' \rightsquigarrow nid'')\} \subseteq \{P'. (g \ m \ h \vdash nid \rightsquigarrow P')\}$
by (*metis Collect-mono assms stutter.Transitive*)
have $\forall n \in \{P'. (g \ m \ h \vdash nid \rightsquigarrow P')\} . n = nid' \vee n \in \{nid''. (g \ m \ h \vdash nid' \rightsquigarrow nid'')\}$
using *stepDet*
by (*metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps*)
then show *?thesis*
using *insert-absorb mk-disjoint-insert nextin nextsubset* **by** *auto*
qed
end

8 Canonicalization Phase

theory *Canonicalization*
imports
Proofs.IRGraphFrames
Proofs.Stuttering
Proofs.Bisimulation
Proofs.Form

Graph.Traversal
begin

inductive *CanonicalizeConditional* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*
where
negate-condition:
 $\llbracket kind \ g \ cond = LogicNegationNode \ flip \rrbracket$
 $\implies CanonicalizeConditional \ g \ (ConditionalNode \ cond \ tb \ fb) \ (ConditionalNode \ flip \ fb \ tb) \mid$

const-true:
 $\llbracket kind \ g \ cond = ConstantNode \ val; \quad val\text{-to-bool} \ val \rrbracket$
 $\implies CanonicalizeConditional \ g \ (ConditionalNode \ cond \ tb \ fb) \ (RefNode \ tb) \mid$

const-false:

$\llbracket \text{kind } g \text{ cond} = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode fb) } |$

eq-branches:
 $\llbracket tb = fb \rrbracket$
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

cond-eq:
 $\llbracket \text{kind } g \text{ cond} = \text{IntegerEqualsNode } tb \text{ fb} \rrbracket$
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode fb) } |$

condition-bounds-x:
 $\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode } tb \text{ fb}; \text{stpi-upper (stamp } g \text{ tb)} \leq \text{stpi-lower (stamp } g \text{ fb)} \rrbracket$
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

condition-bounds-y:
 $\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode } fb \text{ tb}; \text{stpi-upper (stamp } g \text{ fb)} \leq \text{stpi-lower (stamp } g \text{ tb)} \rrbracket$
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) }$

inductive *CanonicalizeAdd* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*

for *g* **where**

add-both-const:

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c-1; \text{kind } g \text{ y} = \text{ConstantNode } c-2; \text{val} = \text{intval-add } c-1 \text{ } c-2 \rrbracket$
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (ConstantNode val) } |$

add-xzero:

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c-1; \neg(\text{is-ConstantNode (kind } g \text{ y)}); c-1 = (\text{IntVal } 32 \text{ } 0) \rrbracket$
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (RefNode y) } |$

add-yzero:

$\llbracket \neg(\text{is-ConstantNode (kind } g \text{ x)}); \text{kind } g \text{ y} = \text{ConstantNode } c-2; c-2 = (\text{IntVal } 32 \text{ } 0) \rrbracket$
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (RefNode x) } |$

add-xsub:

$$\begin{aligned} & \llbracket \text{kind } g \ x = \text{SubNode } a \ y \rrbracket \\ & \implies \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } a) \mid \end{aligned}$$

add-ysub:

$$\begin{aligned} & \llbracket \text{kind } g \ y = \text{SubNode } a \ x \rrbracket \\ & \implies \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } a) \mid \end{aligned}$$

add-xnegate:

$$\begin{aligned} & \llbracket \text{kind } g \ nx = \text{NegateNode } x \rrbracket \\ & \implies \text{CanonicalizeAdd } g \ (\text{AddNode } nx \ y) \ (\text{SubNode } y \ x) \mid \end{aligned}$$

add-ynegate:

$$\begin{aligned} & \llbracket \text{kind } g \ ny = \text{NegateNode } y \rrbracket \\ & \implies \text{CanonicalizeAdd } g \ (\text{AddNode } x \ ny) \ (\text{SubNode } x \ y) \end{aligned}$$

inductive *CanonicalizeIf* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*

for *g* **where**

trueConst:

$$\begin{aligned} & \llbracket \text{kind } g \ \text{cond} = \text{ConstantNode } \text{condv}; \\ & \quad \text{val-to-bool } \text{condv} \rrbracket \\ & \implies \text{CanonicalizeIf } g \ (\text{IfNode } \text{cond } tb \ fb) \ (\text{RefNode } tb) \mid \end{aligned}$$

falseConst:

$$\begin{aligned} & \llbracket \text{kind } g \ \text{cond} = \text{ConstantNode } \text{condv}; \\ & \quad \neg(\text{val-to-bool } \text{condv}) \rrbracket \\ & \implies \text{CanonicalizeIf } g \ (\text{IfNode } \text{cond } tb \ fb) \ (\text{RefNode } fb) \mid \end{aligned}$$

eqBranch:

$$\begin{aligned} & \llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ \text{cond})); \\ & \quad tb = fb \rrbracket \\ & \implies \text{CanonicalizeIf } g \ (\text{IfNode } \text{cond } tb \ fb) \ (\text{RefNode } tb) \mid \end{aligned}$$

eqCondition:

$$\begin{aligned} & \llbracket \text{kind } g \ \text{cond} = \text{IntegerEqualsNode } x \ x \rrbracket \\ & \implies \text{CanonicalizeIf } g \ (\text{IfNode } \text{cond } tb \ fb) \ (\text{RefNode } tb) \end{aligned}$$

inductive *CanonicalizeBinaryArithmeticNode* :: *ID* \Rightarrow *IRGraph* \Rightarrow *IRGraph* \Rightarrow

bool where
add-const-fold:
 $\llbracket op = \text{kind } g \text{ op-id};$
 $\text{is-AddNode } op;$
 $\text{kind } g \text{ (ir-x op)} = \text{ConditionalNode cond tb fb};$
 $\text{kind } g \text{ tb} = \text{ConstantNode c-1};$
 $\text{kind } g \text{ fb} = \text{ConstantNode c-2};$
 $\text{kind } g \text{ (ir-y op)} = \text{ConstantNode c-3};$
 $tv = \text{intval-add c-1 c-3};$
 $fv = \text{intval-add c-2 c-3};$
 $g' = \text{replace-node tb } ((\text{ConstantNode tv}), \text{constantAsStamp tv}) \text{ } g;$
 $g'' = \text{replace-node fb } ((\text{ConstantNode fv}), \text{constantAsStamp fv}) \text{ } g';$
 $g''' = \text{replace-node op-id } (\text{kind } g \text{ (ir-x op)}, \text{meet } (\text{constantAsStamp tv}) \text{ } (\text{constantAsStamp fv})) \text{ } g'' \rrbracket$
 $\implies \text{CanonicalizeBinaryArithmeticNode op-id } g \text{ } g'''$

inductive *CanonicalizeCommutativeBinaryArithmeticNode :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool*

for g where

add-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$
 $((\text{is-ConstantNode } (\text{kind } g \text{ } x)) \vee (x > y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (AddNode } x \text{ } y) \text{ (AddNode } y \text{ } x) \mid$

and-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$
 $((\text{is-ConstantNode } (\text{kind } g \text{ } x)) \vee (x > y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (AndNode } x \text{ } y) \text{ (AndNode } y \text{ } x) \mid$

int-equals-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$
 $((\text{is-ConstantNode } (\text{kind } g \text{ } x)) \vee (x > y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (IntegerEqualsNode } x \text{ } y) \text{ (IntegerEqualsNode } y \text{ } x) \mid$

mul-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$
 $((\text{is-ConstantNode } (\text{kind } g \text{ } x)) \vee (x > y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (MulNode } x \text{ } y) \text{ (MulNode } y \text{ } x) \mid$

or-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$

$((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y))$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

xor-ids-ordered:
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x) \mid$

add-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

and-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

int-equals-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{IntegerEqualsNode } x \ y)$
 $(\text{IntegerEqualsNode } y \ x) \mid$

mul-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{MulNode } x \ y) \ (\text{MulNode } y \ x) \mid$

or-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

xor-swap-const-first:
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x)$

inductive *CanonicalizeSub* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*

for g where

sub-same:

$\llbracket x = y;$
 $\text{stamp } g \ x = (\text{IntegerStamp } b \ l \ h) \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{ConstantNode } (\text{IntVal } b \ 0)) \mid$

sub-both-const:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$
 $\text{kind } g \ y = \text{ConstantNode } c-2;$
 $\text{val} = \text{intval-sub } c-1 \ c-2 \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{ConstantNode } \text{val}) \mid$

sub-left-add1:

$\llbracket \text{kind } g \ \text{left} = \text{AddNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left} \ b) \ (\text{RefNode } a) \mid$

sub-left-add2:

$\llbracket \text{kind } g \ \text{left} = \text{AddNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left} \ a) \ (\text{RefNode } b) \mid$

sub-left-sub:

$\llbracket \text{kind } g \ \text{left} = \text{SubNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left} \ a) \ (\text{NegateNode } b) \mid$

sub-right-add1:

$\llbracket \text{kind } g \ \text{right} = \text{AddNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{NegateNode } b) \mid$

sub-right-add2:

$\llbracket \text{kind } g \ \text{right} = \text{AddNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } b \ \text{right}) \ (\text{NegateNode } a) \mid$

sub-right-sub:

$\llbracket \text{kind } g \ \text{right} = \text{AddNode } a \ b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{RefNode } a) \mid$

sub-yzero:

$\llbracket \text{kind } g \ y = \text{ConstantNode } (\text{IntVal } - \ 0) \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{RefNode } x) \mid$

sub-xzero:

$\llbracket \text{kind } g \ x = \text{ConstantNode } (\text{IntVal } - \ 0) \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{NegateNode } y) \mid$

sub-y-negate:

$\llbracket \text{kind } g \ nb = \text{NegateNode } b \rrbracket$
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ nb) \ (\text{AddNode } a \ b)$

inductive *CanonicalizeMul* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*

for *g* **where**

mul-both-const:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$
 $\text{kind } g \ y = \text{ConstantNode } c-2;$
 $\text{val} = \text{intval-mul } c-1 \ c-2 \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } \text{val}) \mid$

mul-xzero:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$
 $c-1 = (\text{IntVal } b \ 0) \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } c-1) \mid$

mul-yzero:

$\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$
 $c-1 = (\text{IntVal } b \ 0) \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } c-1) \mid$

mul-xone:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } y) \mid$

mul-yone:

$\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } x) \mid$

mul-xnegate:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$
 $c-1 = (\text{IntVal } b \ (-1)) \rrbracket$
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{NegateNode } y) \mid$

mul-ynegate:

```

[[kind g y = ConstantNode c-1;
  ¬(is-ConstantNode (kind g x));
  c-1 = (IntVal b (-1))]]
⇒ CanonicalizeMul g (MulNode x y) (NegateNode x)

```

inductive CanonicalizeAbs :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool
for g where
 abs-abs:
 [[kind g x = (AbsNode y)]]
 ⇒ CanonicalizeAbs g (AbsNode x) (AbsNode y) |

```

abs-negate:
[[kind g nx = (NegateNode x)]]
⇒ CanonicalizeAbs g (AbsNode nx) (AbsNode x)

```

inductive CanonicalizeNegate :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool
for g where
 negate-const:
 [[kind g nx = (ConstantNode val);
 val = (IntVal b v);
 neg-val = intval-sub (IntVal b 0) val]]
 ⇒ CanonicalizeNegate g (NegateNode nx) (ConstantNode neg-val) |

```

negate-negate:
[[kind g nx = (NegateNode x)]]
⇒ CanonicalizeNegate g (NegateNode nx) (RefNode x) |

```

```

negate-sub:
[[kind g sub = (SubNode x y);
  stamp g sub = (IntegerStamp - - -)]]
⇒ CanonicalizeNegate g (NegateNode sub) (SubNode y x)

```

inductive CanonicalizeNot :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool
for g where
 not-const:
 [[kind g nx = (ConstantNode val);
 neg-val = bool-to-val (¬(val-to-bool val))]]
 ⇒ CanonicalizeNot g (NotNode nx) (ConstantNode neg-val) |

```

not-not:
[[kind g nx = (NotNode x)]]
⇒ CanonicalizeNot g (NotNode nx) (RefNode x)

```

inductive *CanonicalizeAnd* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*
for *g* **where**
and-same:
 $\llbracket x = y \rrbracket$
 \Rightarrow *CanonicalizeAnd* *g* (*AndNode* *x* *y*) (*RefNode* *x*) |

and-xtrue:
 $\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$
 $\text{val-to-bool } \text{val} \rrbracket$
 \Rightarrow *CanonicalizeAnd* *g* (*AndNode* *x* *y*) (*RefNode* *y*) |

and-ytrue:
 $\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$
 $\text{val-to-bool } \text{val} \rrbracket$
 \Rightarrow *CanonicalizeAnd* *g* (*AndNode* *x* *y*) (*RefNode* *x*) |

and-xfalse:
 $\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$
 \Rightarrow *CanonicalizeAnd* *g* (*AndNode* *x* *y*) (*ConstantNode* *val*) |

and-yfalse:
 $\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$
 \Rightarrow *CanonicalizeAnd* *g* (*AndNode* *x* *y*) (*ConstantNode* *val*)

inductive *CanonicalizeOr* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*
for *g* **where**
or-same:
 $\llbracket x = y \rrbracket$
 \Rightarrow *CanonicalizeOr* *g* (*OrNode* *x* *y*) (*RefNode* *x*) |

or-xtrue:
 $\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$
 $\text{val-to-bool } \text{val} \rrbracket$
 \Rightarrow *CanonicalizeOr* *g* (*OrNode* *x* *y*) (*ConstantNode* *val*) |

or-ytrue:
 $\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$
 $\text{val-to-bool } \text{val} \rrbracket$
 \Rightarrow *CanonicalizeOr* *g* (*OrNode* *x* *y*) (*ConstantNode* *val*) |

or-xfalse:
 $\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$

$\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } y) \mid$

or-yfalse:

$\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } x)$

inductive *CanonicalizeDeMorgansLaw* :: *ID* \Rightarrow *IRGraph* \Rightarrow *IRGraph* \Rightarrow *bool*
where

de-morgan-or-to-and:

$\llbracket \text{kind } g \ \text{nid} = \text{OrNode } \text{nx} \ \text{ny};$
 $\text{kind } g \ \text{nx} = \text{NotNode } x;$
 $\text{kind } g \ \text{ny} = \text{NotNode } y;$
 $\text{new-add-id} = \text{nextNid } g;$
 $g' = \text{add-node } \text{new-add-id} \ ((\text{AddNode } x \ y), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g;$
 $g'' = \text{replace-node } \text{nid} \ ((\text{NotNode } \text{new-add-id}), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g' \rrbracket$
 $\implies \text{CanonicalizeDeMorgansLaw } \text{nid} \ g \ g'' \mid$

de-morgan-and-to-or:

$\llbracket \text{kind } g \ \text{nid} = \text{AndNode } \text{nx} \ \text{ny};$
 $\text{kind } g \ \text{nx} = \text{NotNode } x;$
 $\text{kind } g \ \text{ny} = \text{NotNode } y;$
 $\text{new-add-id} = \text{nextNid } g;$
 $g' = \text{add-node } \text{new-add-id} \ ((\text{OrNode } x \ y), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g;$
 $g'' = \text{replace-node } \text{nid} \ ((\text{NotNode } \text{new-add-id}), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g' \rrbracket$
 $\implies \text{CanonicalizeDeMorgansLaw } \text{nid} \ g \ g''$

inductive *CanonicalizeIntegerEquals* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *bool*
for *g* **where**

int-equals-same-node:

$\llbracket x = y \rrbracket$
 $\implies \text{CanonicalizeIntegerEquals } g \text{ (IntegerEqualsNode } x \ y) \text{ (ConstantNode (IntVal } 1 \ 1))} \mid$

int-equals-distinct:

$\llbracket \text{alwaysDistinct } (\text{stamp } g \ x) \ (\text{stamp } g \ y) \rrbracket$
 $\implies \text{CanonicalizeIntegerEquals } g \text{ (IntegerEqualsNode } x \ y) \text{ (ConstantNode (IntVal } 1 \ 0))} \mid$

int-equals-add-first-both-same:

$\llbracket \text{kind } g \ \text{left} = \text{AddNode } x \ y;$

$\text{kind } g \text{ right} = \text{AddNode } x \ z \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

int-equals-add-first-second-same:

$\parallel \text{kind } g \text{ left} = \text{AddNode } x \ y;$
 $\text{kind } g \text{ right} = \text{AddNode } z \ x \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

int-equals-add-second-first-same:

$\parallel \text{kind } g \text{ left} = \text{AddNode } y \ x;$
 $\text{kind } g \text{ right} = \text{AddNode } x \ z \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

int-equals-add-second-both--same:

$\parallel \text{kind } g \text{ left} = \text{AddNode } y \ x;$
 $\text{kind } g \text{ right} = \text{AddNode } z \ x \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

int-equals-sub-first-both-same:

$\parallel \text{kind } g \text{ left} = \text{SubNode } x \ y;$
 $\text{kind } g \text{ right} = \text{SubNode } x \ z \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

int-equals-sub-second-both-same:

$\parallel \text{kind } g \text{ left} = \text{SubNode } y \ x;$
 $\text{kind } g \text{ right} = \text{SubNode } z \ x \parallel$
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z)$

inductive *CanonicalizeIntegerEqualsGraph* :: *ID* \Rightarrow *IRGraph* \Rightarrow *IRGraph* \Rightarrow *bool*
where

int-equals-rewrite:

$\parallel \text{CanonicalizeIntegerEquals } g \ \text{node} \ \text{node}';$
 $\text{node} = \text{kind } g \ \text{nid};$
 $g' = \text{replace-node } \text{nid} \ (\text{node}', \text{stamp } g \ \text{nid}) \ g \parallel$
 $\implies \text{CanonicalizeIntegerEqualsGraph } \text{nid} \ g \ g' \mid$

int-equals-left-contains-right1:
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } x;$
 $\text{kind } g \text{ left} = \text{AddNode } x \text{ } y;$
 $\text{const-id} = \text{nextNid } g;$
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \text{ } 0)), \text{constantAsStamp } (\text{IntVal } 1 \text{ } 0)) \text{ } g;$
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

int-equals-left-contains-right2:
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } y;$
 $\text{kind } g \text{ left} = \text{AddNode } x \text{ } y;$
 $\text{const-id} = \text{nextNid } g;$
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \text{ } 0)), \text{constantAsStamp } (\text{IntVal } 1 \text{ } 0)) \text{ } g;$
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } x \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

int-equals-right-contains-left1:
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } x \text{ right};$
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ } y;$
 $\text{const-id} = \text{nextNid } g;$
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \text{ } 0)), \text{constantAsStamp } (\text{IntVal } 1 \text{ } 0)) \text{ } g;$
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

int-equals-right-contains-left2:
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } y \text{ right};$
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ } y;$
 $\text{const-id} = \text{nextNid } g;$
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \text{ } 0)), \text{constantAsStamp } (\text{IntVal } 1 \text{ } 0)) \text{ } g;$
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } x \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

int-equals-left-contains-right3:
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } x;$
 $\text{kind } g \text{ left} = \text{SubNode } x \text{ } y;$

```

    const-id = nextNid g;
    g' = add-node const-id ((ConstantNode (IntVal 1 0)), constantAsStamp (IntVal
1 0)) g;
    g'' = replace-node const-id ((IntegerEqualsNode y const-id), stamp g nid) g'
    ⇒ CanonicalizeIntegerEqualsGraph nid g g'' |

```

```

int-equals-right-contains-left3:
[[kind g nid = IntegerEqualsNode x right;
  kind g right = SubNode x y;
  const-id = nextNid g;
  g' = add-node const-id ((ConstantNode (IntVal 1 0)), constantAsStamp (IntVal
1 0)) g;
  g'' = replace-node const-id ((IntegerEqualsNode y const-id), stamp g nid) g'
  ⇒ CanonicalizeIntegerEqualsGraph nid g g''

```

```

inductive CanonicalizationStep :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool
for g where
  ConditionalNode:
    [[CanonicalizeConditional g node node']]
    ⇒ CanonicalizationStep g node node' |

  AddNode:
    [[CanonicalizeAdd g node node']]
    ⇒ CanonicalizationStep g node node' |

  IfNode:
    [[CanonicalizeIf g node node']]
    ⇒ CanonicalizationStep g node node' |

```

SubNode:
 $\llbracket \text{CanonicalizeSub } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

MulNode:
 $\llbracket \text{CanonicalizeMul } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

AndNode:
 $\llbracket \text{CanonicalizeAnd } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

OrNode:
 $\llbracket \text{CanonicalizeOr } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

AbsNode:
 $\llbracket \text{CanonicalizeAbs } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

NotNode:
 $\llbracket \text{CanonicalizeNot } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

Negatenode:
 $\llbracket \text{CanonicalizeNegate } g \text{ node node}' \rrbracket$
 $\implies \text{CanonicalizationStep } g \text{ node node}'$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeConditional* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeAdd* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeIf* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeSub* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeMul* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeAnd* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeOr* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeAbs* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeNot* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizeNegate* .
code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizationStep* .

type-synonym *CanonicalizationAnalysis* = *bool option*

fun *analyse* :: (*ID* \times *Seen* \times *CanonicalizationAnalysis*) \Rightarrow *CanonicalizationAnalysis*
where
analyse *i* = *None*

inductive *CanonicalizationPhase*

$:: IRGraph \Rightarrow (ID \times Seen \times CanonicalizationAnalysis) \Rightarrow IRGraph \Rightarrow bool$ **where**

— Can do a step and optimise for the current node

$\llbracket Step\ analyse\ g\ (nid,\ seen,\ i)\ (Some\ (nid',\ seen',\ i'));$
CanonicalizationStep *g* (*kind g nid*) *node*;

g' = *replace-node nid (node, stamp g nid) g*;

CanonicalizationPhase g' (nid', seen', i') g' \rrbracket
 $\implies CanonicalizationPhase\ g\ (nid,\ seen,\ i)\ g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate *ConditionalEliminationStep*

$\llbracket Step\ analyse\ g\ (nid,\ seen,\ i)\ (Some\ (nid',\ seen',\ i'));$

CanonicalizationPhase g (nid', seen', i') g \rrbracket
 $\implies CanonicalizationPhase\ g\ (nid,\ seen,\ i)\ g' \mid$

$\llbracket Step\ analyse\ g\ (nid,\ seen,\ i)\ None;$

Some nid' = pred g nid;

seen' = {nid} \cup seen;

CanonicalizationPhase g (nid', seen', i) g \rrbracket
 $\implies CanonicalizationPhase\ g\ (nid,\ seen,\ i)\ g' \mid$

$\llbracket Step\ analyse\ g\ (nid,\ seen,\ i)\ None;$

None = pred g nid \rrbracket

$\implies CanonicalizationPhase\ g\ (nid,\ seen,\ i)\ g$

code-pred (*modes: i \Rightarrow i \Rightarrow o \Rightarrow bool*) *CanonicalizationPhase* .

type-synonym *Trace* = *IRNode list*

inductive *CanonicalizationPhaseWithTrace*

$:: IRGraph \Rightarrow (ID \times Seen \times CanonicalizationAnalysis) \Rightarrow IRGraph \Rightarrow Trace \Rightarrow Trace \Rightarrow bool$ **where**

— Can do a step and optimise for the current node

$\llbracket Step\ analyse\ g\ (nid,\ seen,\ i)\ (Some\ (nid',\ seen',\ i'));$
CanonicalizationStep *g* (*kind g nid*) *node*;

g' = *replace-node nid (node, stamp g nid) g*;

CanonicalizationPhaseWithTrace g' (nid', seen', i') g'' (kind g nid # t) t' \rrbracket
 $\implies CanonicalizationPhaseWithTrace\ g\ (nid,\ seen,\ i)\ g''\ t\ t' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We

need to find a way to negate ConditionalEliminationStep

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) (Some (nid', seen', i'))} \rrbracket$

$\text{CanonicalizationPhaseWithTrace } g \text{ (nid', seen', i')} \ g' \text{ (kind } g \text{ nid \# t) } t' \rrbracket$
 $\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g' \text{ t } t' \mid$

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) None} \rrbracket$

$\text{Some nid'} = \text{pred } g \text{ nid};$

$\text{seen'} = \{\text{nid}\} \cup \text{seen};$

$\text{CanonicalizationPhaseWithTrace } g \text{ (nid', seen', i) } g' \text{ (kind } g \text{ nid \# t) } t' \rrbracket$

$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g' \text{ t } t' \mid$

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) None} \rrbracket$

$\text{None} = \text{pred } g \text{ nid} \rrbracket$

$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g \text{ t t}$

code-pred (modes: $i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *CanonicalizationPhaseWithTrace*
 .

end

9 Conditional Elimination Phase

theory *ConditionalElimination*

imports

Proofs.IRGraphFrames

Proofs.Stuttering

Proofs.Form

Proofs.Rewrites

Proofs.Bisimulation

begin

9.1 Individual Elimination Rules

We introduce a TriState as in the Graal compiler to represent when static analysis can tell us information about the value of a boolean expression. Unknown = No information can be inferred KnownTrue/KnownFalse = We can infer the expression will always be true or false.

datatype *TriState* = *Unknown* | *KnownTrue* | *KnownFalse*

The implies relation corresponds to the LogicNode.implies method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

inductive *implies* :: *IRGraph* \Rightarrow *IRNode* \Rightarrow *IRNode* \Rightarrow *TriState* \Rightarrow *bool*

$(- \vdash - \& - \hookrightarrow -)$ **for** g **where**
eq-imp-less:
 $g \vdash (\text{IntegerEqualsNode } x \ y) \& (\text{IntegerLessThanNode } x \ y) \hookrightarrow \text{KnownFalse} \mid$
eq-imp-less-rev:
 $g \vdash (\text{IntegerEqualsNode } x \ y) \& (\text{IntegerLessThanNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$
less-imp-rev-less:
 $g \vdash (\text{IntegerLessThanNode } x \ y) \& (\text{IntegerLessThanNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$
less-imp-not-eq:
 $g \vdash (\text{IntegerLessThanNode } x \ y) \& (\text{IntegerEqualsNode } x \ y) \hookrightarrow \text{KnownFalse} \mid$
less-imp-not-eq-rev:
 $g \vdash (\text{IntegerLessThanNode } x \ y) \& (\text{IntegerEqualsNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$

x-imp-x:
 $g \vdash x \& x \hookrightarrow \text{KnownTrue} \mid$

negate-false:
 $\llbracket g \vdash x \& (\text{kind } g \ y) \hookrightarrow \text{KnownTrue} \rrbracket \implies g \vdash x \& (\text{LogicNegationNode } y) \hookrightarrow \text{KnownFalse} \mid$
negate-true:
 $\llbracket g \vdash x \& (\text{kind } g \ y) \hookrightarrow \text{KnownFalse} \rrbracket \implies g \vdash x \& (\text{LogicNegationNode } y) \hookrightarrow \text{KnownTrue}$

Total relation over partial implies relation

inductive *condition-implies* :: $IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow TriState \Rightarrow bool$
 $(- \vdash - \& - \hookrightarrow -)$ **for** g **where**
 $\llbracket \neg(g \vdash a \& b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \& b \hookrightarrow \text{Unknown}) \mid$
 $\llbracket (g \vdash a \& b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \& b \hookrightarrow \text{imp})$

Proofs that the implies relation is correct with respect to the existing evaluation semantics.

lemma *logic-negation-relation:*

assumes *wff-values* g
assumes $g \ m \vdash \text{kind } g \ y \mapsto \text{val}$
assumes $\text{kind } g \ \text{neg} = \text{LogicNegationNode } y$
assumes $g \ m \vdash \text{kind } g \ \text{neg} \mapsto \text{invval}$
shows $\text{val-to-bool } \text{val} \longleftrightarrow \neg(\text{val-to-bool } \text{invval})$
proof –
have *wff-value* val
using $\text{assms}(1) \ \text{assms}(2) \ \text{eval-in-ids} \ \text{wff-values.elims}(2)$
by *meson*
have *wff-value* invval
using $\text{assms}(1,4) \ \text{eval-in-ids} \ \text{wff-values.simps}$ **by** *blast*
then show *?thesis*
using $\text{assms} \ \text{eval.LogicNegationNode}$
by *fastforce*
qed

lemma *implies-valid:*

assumes $\text{wff-graph } g \wedge \text{wff-values } g$

```

assumes  $g \vdash x \ \& \ y \rightharpoonup \text{imp}$ 
assumes  $g \ m \vdash x \mapsto v1$ 
assumes  $g \ m \vdash y \mapsto v2$ 
shows  $(\text{imp} = \text{KnownTrue} \longrightarrow (\text{val-to-bool } v1 \longrightarrow \text{val-to-bool } v2)) \wedge$ 
 $(\text{imp} = \text{KnownFalse} \longrightarrow (\text{val-to-bool } v1 \longrightarrow \neg(\text{val-to-bool } v2)))$ 
 $(\text{is } (?TP \longrightarrow ?TC) \wedge (?FP \longrightarrow ?FC))$ 
apply (intro conjI; rule impI)
proof –
  assume  $\text{KnownTrue}: ?TP$ 
  show  $?TC$  proof –
    have  $s: g \vdash x \ \& \ y \hookrightarrow \text{imp}$ 
    using  $\text{KnownTrue}$   $\text{assms}(2)$  condition-implies.cases by blast
    then show  $?thesis$ 
    using  $\text{KnownTrue}$   $\text{assms}$  proof (induct  $x \ y \ \text{imp}$  rule: implies.induct)
    case (eq-imp-less  $x \ y$ )
    then show  $?case$  by simp
  next
    case (eq-imp-less-rev  $x \ y$ )
    then show  $?case$  by simp
  next
    case (less-imp-rev-less  $x \ y$ )
    then show  $?case$  by simp
  next
    case (less-imp-not-eq  $x \ y$ )
    then show  $?case$  by simp
  next
    case (less-imp-not-eq-rev  $x \ y$ )
    then show  $?case$  by simp
  next
    case ( $x\text{-imp-}x \ x1$ )
    then show  $?case$  using evalDet
    using  $\text{assms}(2,3)$  by blast
  next
    case (negate-false  $x1$ )
    then show  $?case$  using evalDet
    using  $\text{assms}(2,3)$  by blast
  next
    case (negate-true  $x \ y$ )
    then show  $?case$  using logic-negation-relation
    by fastforce
  qed
  qed
next
  assume  $\text{KnownFalse}: ?FP$ 
  show  $?FC$  proof –
    have  $g \vdash x \ \& \ y \hookrightarrow \text{imp}$ 
    using  $\text{KnownFalse}$   $\text{assms}(2)$  condition-implies.cases by blast
    then show  $?thesis$ 
    using  $\text{assms}$   $\text{KnownFalse}$  proof (induct  $x \ y \ \text{imp}$  rule: implies.induct)

```

```

case (eq-imp-less x y)
obtain b xval where xval: g m  $\vdash$  (kind g x)  $\mapsto$  IntVal b xval
  using eq-imp-less.prems(3) by blast
then obtain yval where yval: g m  $\vdash$  (kind g y)  $\mapsto$  IntVal b yval
  using eq-imp-less.prems(3)
  using evalDet by blast
have egeval: g m  $\vdash$  (IntegerEqualsNode x y)  $\mapsto$  bool-to-val(xval = yval)
  using eval.IntegerEqualsNode
  using xval yval by blast
have lesseval: g m  $\vdash$  (IntegerLessThanNode x y)  $\mapsto$  bool-to-val(xval < yval)
  using eval.IntegerLessThanNode
  using xval yval by blast
have xval = yval  $\longrightarrow$   $\neg$ (xval < yval)
  by blast
then show ?case
  using egeval lesseval
by (metis (full-types) eq-imp-less.prems(3) eq-imp-less.prems(4) bool-to-val.simps(2))
evalDet val-to-bool.simps(1))
next
case (eq-imp-less-rev x y)
obtain b xval where xval: g m  $\vdash$  (kind g x)  $\mapsto$  IntVal b xval
  using eq-imp-less-rev.prems(3) by blast
then obtain yval where yval: g m  $\vdash$  (kind g y)  $\mapsto$  IntVal b yval
  using eq-imp-less-rev.prems(3)
  using evalDet by blast
have egeval: g m  $\vdash$  (IntegerEqualsNode x y)  $\mapsto$  bool-to-val(xval = yval)
  using eval.IntegerEqualsNode
  using xval yval by blast
have lesseval: g m  $\vdash$  (IntegerLessThanNode y x)  $\mapsto$  bool-to-val(yval < xval)
  using eval.IntegerLessThanNode
  using xval yval by blast
have xval = yval  $\longrightarrow$   $\neg$ (yval < xval)
  by blast
then show ?case
  using egeval lesseval
by (metis (full-types) eq-imp-less-rev.prems(3) eq-imp-less-rev.prems(4) bool-to-val.simps(2))
evalDet val-to-bool.simps(1))
next
case (less-imp-rev-less x y)
obtain b xval where xval: g m  $\vdash$  (kind g x)  $\mapsto$  IntVal b xval
  using less-imp-rev-less.prems(3) by blast
then obtain yval where yval: g m  $\vdash$  (kind g y)  $\mapsto$  IntVal b yval
  using less-imp-rev-less.prems(3)
  using evalDet by blast
have lesseval: g m  $\vdash$  (IntegerLessThanNode x y)  $\mapsto$  bool-to-val(xval < yval)
  using eval.IntegerLessThanNode
  using xval yval by blast
have revlesseval: g m  $\vdash$  (IntegerLessThanNode y x)  $\mapsto$  bool-to-val(yval < xval)
  using eval.IntegerLessThanNode

```

```

    using xval yval by blast
  have xval < yval  $\longrightarrow$   $\neg$ (yval < xval)
    by simp
  then show ?case
    by (metis (full-types) bool-to-val.simps(2) evalDet less-imp-rev-less.prem(3,4)
less-imp-rev-less.prem(3) lesseval revlesseval val-to-bool.simps(1))
next
  case (less-imp-not-eq x y)
  obtain b xval where xval: g m  $\vdash$  (kind g x)  $\mapsto$  IntVal b xval
    using less-imp-not-eq.prem(3) by blast
  then obtain yval where yval: g m  $\vdash$  (kind g y)  $\mapsto$  IntVal b yval
    using less-imp-not-eq.prem(3)
    using evalDet by blast
  have egeval: g m  $\vdash$  (IntegerEqualsNode x y)  $\mapsto$  bool-to-val(xval = yval)
    using eval.IntegerEqualsNode
    using xval yval by blast
  have lesseval: g m  $\vdash$  (IntegerLessThanNode x y)  $\mapsto$  bool-to-val(xval < yval)
    using eval.IntegerLessThanNode
    using xval yval by blast
  have xval < yval  $\longrightarrow$   $\neg$ (xval = yval)
    by simp
  then show ?case
    by (metis (full-types) bool-to-val.simps(2) egeval evalDet less-imp-not-eq.prem(3,4)
less-imp-not-eq.prem(3) lesseval val-to-bool.simps(1))
next
  case (less-imp-not-eq-rev x y)
  obtain b xval where xval: g m  $\vdash$  (kind g x)  $\mapsto$  IntVal b xval
    using less-imp-not-eq-rev.prem(3) by blast
  then obtain yval where yval: g m  $\vdash$  (kind g y)  $\mapsto$  IntVal b yval
    using less-imp-not-eq-rev.prem(3)
    using evalDet by blast
  have egeval: g m  $\vdash$  (IntegerEqualsNode y x)  $\mapsto$  bool-to-val(yval = xval)
    using eval.IntegerEqualsNode
    using xval yval by blast
  have lesseval: g m  $\vdash$  (IntegerLessThanNode x y)  $\mapsto$  bool-to-val(xval < yval)
    using eval.IntegerLessThanNode
    using xval yval by blast
  have xval < yval  $\longrightarrow$   $\neg$ (yval = xval)
    by simp
  then show ?case
    by (metis (full-types) bool-to-val.simps(2) egeval evalDet less-imp-not-eq-rev.prem(3,4)
less-imp-not-eq-rev.prem(3) lesseval val-to-bool.simps(1))
next
  case (x-imp-x x1)
  then show ?case by simp
next
  case (negate-false x y)
  then show ?case using logic-negation-relation sorry
next

```

```

    case (negate-true x1)
    then show ?case by simp
qed
qed
qed

lemma implies-true-valid:
  assumes wff-graph g ∧ wff-values g
  assumes g ⊢ x & y → imp
  assumes imp = KnownTrue
  assumes g m ⊢ x ↦ v1
  assumes g m ⊢ y ↦ v2
  shows val-to-bool v1 → val-to-bool v2
  using assms implies-valid by blast

```

```

lemma implies-false-valid:
  assumes wff-graph g ∧ wff-values g
  assumes g ⊢ x & y → imp
  assumes imp = KnownFalse
  assumes g m ⊢ x ↦ v1
  assumes g m ⊢ y ↦ v2
  shows val-to-bool v1 → ¬(val-to-bool v2)
  using assms implies-valid by blast

```

The following relation corresponds to the `UnaryOpLogicNode.tryFold` and `BinaryOpLogicNode.tryFold` methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

```

inductive tryFold :: IRNode ⇒ (ID ⇒ Stamp) ⇒ TriState ⇒ bool
where
  [[alwaysDistinct (stamps x) (stamps y)]]
    ⇒ tryFold (IntegerEqualsNode x y) stamps KnownFalse |
  [[neverDistinct (stamps x) (stamps y)]]
    ⇒ tryFold (IntegerEqualsNode x y) stamps KnownTrue |
  [[is-IntegerStamp (stamps x);
    is-IntegerStamp (stamps y);
    stpi-upper (stamps x) < stpi-lower (stamps y)]]
    ⇒ tryFold (IntegerLessThanNode x y) stamps KnownTrue |
  [[is-IntegerStamp (stamps x);
    is-IntegerStamp (stamps y);
    stpi-lower (stamps x) ≥ stpi-upper (stamps y)]]
    ⇒ tryFold (IntegerLessThanNode x y) stamps KnownFalse

```

Proofs that show that when the stamp lookup function is well-formed, the `tryFold` relation correctly predicts the output value with respect to our evaluation semantics.

```

lemma tryFoldIntegerEqualsAlwaysDistinct:

```

```

assumes wff-stamp  $g$  stamps
assumes  $\text{kind } g \text{ nid} = (\text{IntegerEqualsNode } x \ y)$ 
assumes  $g \ m \vdash (\text{kind } g \text{ nid}) \mapsto v$ 
assumes  $\text{alwaysDistinct } (\text{stamps } x) \ (\text{stamps } y)$ 
shows  $v = \text{IntVal } 1 \ 0$ 
using  $\text{assms eval.IntegerEqualsNode join-unequal alwaysDistinct.simps}$ 
by ( $\text{smt } (\text{verit}, \text{best}) \text{IntegerEqualsNodeE bool-to-val.simps}(2) \text{eval-in-ids wff-stamp.elims}(2)$ )

```

lemma *tryFoldIntegerEqualsNeverDistinct*:

```

assumes wff-stamp  $g$  stamps
assumes  $\text{kind } g \text{ nid} = (\text{IntegerEqualsNode } x \ y)$ 
assumes  $g \ m \vdash (\text{kind } g \text{ nid}) \mapsto v$ 
assumes  $\text{neverDistinct } (\text{stamps } x) \ (\text{stamps } y)$ 
shows  $v = \text{IntVal } 1 \ 1$ 
using  $\text{assms neverDistinctEqual IntegerEqualsNodeE}$ 
by ( $\text{smt } (\text{verit}, \text{ccfv-threshold}) \text{Value.inject}(1) \text{bool-to-val.simps}(1) \text{eval-in-ids}$ 
 $\text{wff-stamp.simps}$ )

```

lemma *tryFoldIntegerLessThanTrue*:

```

assumes wff-stamp  $g$  stamps
assumes  $\text{kind } g \text{ nid} = (\text{IntegerLessThanNode } x \ y)$ 
assumes  $g \ m \vdash (\text{kind } g \text{ nid}) \mapsto v$ 
assumes  $\text{stpi-upper } (\text{stamps } x) < \text{stpi-lower } (\text{stamps } y)$ 
shows  $v = \text{IntVal } 1 \ 1$ 

```

proof –

```

have  $\text{stamp-type: is-IntegerStamp } (\text{stamps } x)$ 
using  $\text{assms}$ 
by ( $\text{metis IntegerLessThanNodeE Stamp.disc}(2) \text{Value.distinct}(1) \text{eval-in-ids}$ 
 $\text{valid-value.elims}(2) \text{wff-stamp.elims}(2)$ )
obtain  $xval \ b$  where  $xval: g \ m \vdash \text{kind } g \ x \mapsto \text{IntVal } b \ xval$ 
using  $\text{assms}(2,3) \text{eval.IntegerLessThanNode}$  by auto
obtain  $yval \ b$  where  $yval: g \ m \vdash \text{kind } g \ y \mapsto \text{IntVal } b \ yval$ 
using  $\text{assms}(2,3) \text{eval.IntegerLessThanNode}$  by auto
have  $\text{is-IntegerStamp } (\text{stamps } x) \wedge \text{is-IntegerStamp } (\text{stamps } y)$ 
using  $\text{assms}(4)$ 
by ( $\text{metis stamp-type Stamp.disc}(2) \text{Value.distinct}(1) \text{assms}(1) \text{eval-in-ids}$ 
 $\text{valid-value.elims}(2) \text{wff-stamp.simps } yval$ )
then have  $xval < yval$ 
using  $\text{boundsNoOverlap } xval \ yval \text{assms}(1,4)$ 
using  $\text{eval-in-ids wff-stamp.elims}(2)$ 
by metis
then show ?thesis
by ( $\text{metis } (\text{full-types}) \text{IntegerLessThanNodeE Value.sel}(3) \text{assms}(2) \text{assms}(3)$ 
 $\text{bool-to-val.simps}(1) \text{evalDet } xval \ yval$ )
qed

```

lemma *tryFoldIntegerLessThanFalse*:

```

assumes wff-stamp  $g$  stamps
assumes  $\text{kind } g \text{ nid} = (\text{IntegerLessThanNode } x \ y)$ 

```



```

assumes  $g \vdash (\text{kind } g \text{ nid}) \mapsto v$ 
assumes  $\text{stpi-lower } (\text{stamps } x) \geq \text{stpi-upper } (\text{stamps } y)$ 
shows  $v = \text{IntVal } 1 \ 0$ 
proof –
have  $\text{stamp-type: is-IntegerStamp } (\text{stamps } x)$ 
  using  $\text{assms}$ 
  by ( $\text{metis IntegerLessThanNodeE Stamp.disc(2) Value.distinct(1) eval-in-ids}$ 
 $\text{valid-value.elims(2) wff-stamp.elims(2)}$ )
  obtain  $xval \ b$  where  $xval: g \vdash \text{kind } g \ x \mapsto \text{IntVal } b \ xval$ 
  using  $\text{assms(2,3) eval.IntegerLessThanNode}$  by  $\text{auto}$ 
  obtain  $yval \ b$  where  $yval: g \vdash \text{kind } g \ y \mapsto \text{IntVal } b \ yval$ 
  using  $\text{assms(2,3) eval.IntegerLessThanNode}$  by  $\text{auto}$ 
  have  $\text{is-IntegerStamp } (\text{stamps } x) \wedge \text{is-IntegerStamp } (\text{stamps } y)$ 
  using  $\text{assms(4)}$ 
  by ( $\text{metis stamp-type Stamp.disc(2) Value.distinct(1) assms(1) eval-in-ids}$ 
 $\text{valid-value.elims(2) wff-stamp.simps yval}$ )
  then have  $\neg(xval < yval)$ 
  using  $\text{boundsAlwaysOverlap xval yval assms(1,4)}$ 
  using  $\text{eval-in-ids wff-stamp.elims(2)}$ 
  by  $\text{metis}$ 
  then show  $?thesis$ 
  by ( $\text{smt (verit, best) IntegerLessThanNodeE Value.inject(1) assms(2) assms(3)}$ 
 $\text{bool-to-val.simps(2) evalDet xval yval}$ )
qed

```

```

theorem tryFoldProofTrue:
  assumes  $\text{wff-stamp } g \ \text{stamps}$ 
  assumes  $\text{tryFold } (\text{kind } g \ \text{nid}) \ \text{stamps} \ \text{tristate}$ 
  assumes  $\text{tristate} = \text{KnownTrue}$ 
  assumes  $g \vdash \text{kind } g \ \text{nid} \mapsto v$ 
  shows  $\text{val-to-bool } v$ 
  using  $\text{assms(2)}$  proof ( $\text{induction kind } g \ \text{nid} \ \text{stamps} \ \text{tristate} \ \text{rule: tryFold.induct}$ )
  case ( $1 \ \text{stamps } x \ y$ )
    then show  $?case$  using  $\text{tryFoldIntegerEqualsAlwaysDistinct assms}$ 
    by ( $\text{smt (verit, best) IRNode.distinct(949) TriState.distinct(5) tryFold.cases}$ 
 $\text{tryFoldIntegerEqualsNeverDistinct val-to-bool.simps(1)}$ )
  next
    case ( $2 \ \text{stamps } x \ y$ )
    then show  $?case$  using  $\text{tryFoldIntegerEqualsAlwaysDistinct assms}$ 
    by ( $\text{smt (verit) IRNode.distinct(949) TriState.distinct(5) tryFold.cases tryFold-}$ 
 $\text{IntegerEqualsNeverDistinct val-to-bool.simps(1)}$ )
  next
    case ( $3 \ \text{stamps } x \ y$ )
    then show  $?case$  using  $\text{tryFoldIntegerLessThanTrue assms}$ 
    by ( $\text{smt (verit, best) IRNode.simps(994) TriState.simps(6) tryFold.cases val-to-bool.simps(1)}$ )
  next
    case ( $4 \ \text{stamps } x \ y$ )
    then show  $?case$  using  $\text{tryFoldIntegerLessThanFalse assms}$ 
    by ( $\text{smt (verit, best) IRNode.simps(994) TriState.simps(6) tryFold.simps try-}$ 

```

FoldIntegerLessThanTrue val-to-bool.simps(1)
qed

theorem *tryFoldProofFalse*:
assumes *wff-stamp g stamps*
assumes *tryFold (kind g nid) stamps tristate*
assumes *tristate = KnownFalse*
assumes *g m ⊢ (kind g nid) ↦ v*
shows $\neg(\text{val-to-bool } v)$
using *assms(2)* **proof** (*induction kind g nid stamps tristate rule: tryFold.induct*)
case (*1 stamps x y*)
then show *?case* **using** *tryFoldIntegerEqualsAlwaysDistinct assms*
by (*smt (verit, best) IRNode.distinct(949) TriState.distinct(5) Value.inject(1)*
tryFold.cases val-to-bool.elims(2))
next
case (*2 stamps x y*)
then show *?case* **using** *tryFoldIntegerEqualsNeverDistinct assms*
by (*smt (verit, best) IRNode.distinct(949) TriState.distinct(5) Value.inject(1)*
tryFold.cases tryFoldIntegerEqualsAlwaysDistinct val-to-bool.elims(2))
next
case (*3 stamps x y*)
then show *?case* **using** *tryFoldIntegerLessThanTrue assms*
by (*smt (verit, best) TriState.distinct(5) tryFold.cases tryFoldIntegerEqualsAl-*
waysDistinct tryFoldIntegerLessThanFalse val-to-bool.simps(1))
next
case (*4 stamps x y*)
then show *?case* **using** *tryFoldIntegerLessThanFalse assms*
by (*smt (verit, best) TriState.distinct(5) tryFold.cases tryFoldIntegerEqualsAl-*
waysDistinct val-to-bool.simps(1))
qed

inductive-cases *StepE*:
 $g \vdash (nid, m, h) \rightarrow (nid', m', h)$

Perform conditional elimination rewrites on the graph for a particular node. In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

inductive *ConditionalEliminationStep* ::
 $IRNode \text{ set} \Rightarrow (ID \Rightarrow Stamp) \Rightarrow IRGraph \Rightarrow ID \Rightarrow IRGraph \Rightarrow bool$ **where**
impliesTrue:
 $\llbracket kind \ g \ ifcond = (IfNode \ cid \ t \ f) \rrbracket$

```

cond = kind g cid;
 $\exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownTrue});$ 
g' = constantCondition True ifcond (kind g ifcond) g
 $\parallel \implies \text{ConditionalEliminationStep} \ \text{conds} \ \text{stamps} \ g \ \text{ifcond} \ g' \mid$ 

```

```

impliesFalse:
 $\llbracket \text{kind} \ g \ \text{ifcond} = (\text{IfNode} \ cid \ t \ f);$ 
cond = kind g cid;
 $\exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownFalse});$ 
g' = constantCondition False ifcond (kind g ifcond) g
 $\parallel \implies \text{ConditionalEliminationStep} \ \text{conds} \ \text{stamps} \ g \ \text{ifcond} \ g' \mid$ 

```

```

tryFoldTrue:
 $\llbracket \text{kind} \ g \ \text{ifcond} = (\text{IfNode} \ cid \ t \ f);$ 
cond = kind g cid;
tryFold (kind g cid) stamps KnownTrue;
g' = constantCondition True ifcond (kind g ifcond) g
 $\parallel \implies \text{ConditionalEliminationStep} \ \text{conds} \ \text{stamps} \ g \ \text{ifcond} \ g' \mid$ 

```

```

tryFoldFalse:
 $\llbracket \text{kind} \ g \ \text{ifcond} = (\text{IfNode} \ cid \ t \ f);$ 
cond = kind g cid;
tryFold (kind g cid) stamps KnownFalse;
g' = constantCondition False ifcond (kind g ifcond) g
 $\parallel \implies \text{ConditionalEliminationStep} \ \text{conds} \ \text{stamps} \ g \ \text{ifcond} \ g' \mid$ 

```

code-pred (modes: $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) ConditionalEliminationStep .

thm ConditionalEliminationStep.equation

9.2 Control-flow Graph Traversal

type-synonym Seen = ID set

type-synonym Conditions = IRNode list

type-synonym StampFlow = (ID \Rightarrow Stamp) list

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

fun nextEdge :: Seen \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID option **where**

```

nextEdge seen nid g =
  (let nids = (filter ( $\lambda \text{nid}' . \text{nid}' \notin \text{seen}$ ) (successors-of (kind g nid))) in
   (if length nids > 0 then Some (hd nids) else None))

```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the

first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
        then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the registerNewCondition function which roughly corresponds to the ConditionalEliminationPhase.registerNewCondition. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp ⇒ int ⇒ Stamp where
  clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
  clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
  clip-lower (IntegerStamp b l h) c = (IntegerStamp b c h) |
  clip-lower s c = s
```

```
fun registerNewCondition :: IRGraph ⇒ IRNode ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒ Stamp) where
```

```
  registerNewCondition g (IntegerEqualsNode x y) stamps =
    (stamps(x := join (stamps x) (stamps y)))(y := join (stamps x) (stamps y)) |
```

```
  registerNewCondition g (IntegerLessThanNode x y) stamps =
    (stamps
      (x := clip-upper (stamps x) (clip-lower (stamps y)))
      (y := clip-lower (stamps y) (clip-upper (stamps x)))) |
  registerNewCondition g - stamps = stamps
```

```
fun hdOr :: 'a list ⇒ 'a ⇒ 'a where
  hdOr (x # xs) de = x |
  hdOr [] de = de
```

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always

true stack of IfNode conditions, and the flow-sensitive stamp information.

inductive Step

$:: IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \text{ option} \Rightarrow \text{bool}$

for g where

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

$\llbracket kind\ g\ nid = \text{BeginNode}\ nid';$

$nid \notin seen;$
 $seen' = \{nid\} \cup seen;$

$Some\ ifcond = pred\ g\ nid;$
 $kind\ g\ ifcond = \text{IfNode}\ cond\ t\ f;$

$i = \text{find-index}\ nid\ (\text{successors-of}\ (kind\ g\ ifcond));$
 $c = (\text{if}\ i = 0\ \text{then}\ kind\ g\ cond\ \text{else}\ \text{NegateNode}\ cond);$
 $conds' = c \# conds;$

$flow' = \text{registerNewCondition}\ g\ (kind\ g\ cond)\ (hdOr\ flow\ (stamp\ g))$
 $\implies Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow' \# flow)) \mid$

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket kind\ g\ nid = \text{EndNode};$

$nid \notin seen;$
 $seen' = \{nid\} \cup seen;$

$nid' = \text{any-usage}\ g\ nid;$

$conds' = tl\ conds;$

$flow' = tl\ flow$

$\implies Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow')) \mid$

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(is\text{-EndNode}\ (kind\ g\ nid));$
 $\neg(is\text{-BeginNode}\ (kind\ g\ nid));$

$nid \notin seen;$
 $seen' = \{nid\} \cup seen;$

$Some\ nid' = \text{nextEdge}\ seen'\ nid\ g$

$\implies Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds, flow)) \mid$

— We cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(is-EndNode\ (kind\ g\ nid));$
 $\neg(is-BeginNode\ (kind\ g\ nid));$

$nid \notin seen;$
 $seen' = \{nid\} \cup seen;$

$None = nextEdge\ seen'\ nid\ g$
 $\implies Step\ g\ (nid, seen, conds, flow)\ None \mid$

— We've already seen this node, give back None

$\llbracket nid \in seen \rrbracket \implies Step\ g\ (nid, seen, conds, flow)\ None$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow bool$) *Step* .

The ConditionalEliminationPhase relation is responsible for combining the individual traversal steps from the Step relation and the optimizations from the ConditionalEliminationStep relation to perform a transformation of the whole graph.

inductive ConditionalEliminationPhase

$:: IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow IRGraph \Rightarrow bool$

where

— Can do a step and optimise for the current node

$\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow'));$
 $ConditionalEliminationStep\ (set\ conds)\ (hdOr\ flow\ (stamp\ g))\ g\ nid\ g';$

$ConditionalEliminationPhase\ g'\ (nid', seen', conds', flow')\ g'$
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep

$\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow'));$

$ConditionalEliminationPhase\ g\ (nid', seen', conds', flow')\ g'$
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step but there is a predecessor we can backtrace to

$\llbracket Step\ g\ (nid, seen, conds, flow)\ None;$
 $Some\ nid' = pred\ g\ nid;$
 $seen' = \{nid\} \cup seen;$
 $ConditionalEliminationPhase\ g\ (nid', seen', conds, flow)\ g'$
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step and have no predecessors so terminate

$\llbracket Step\ g\ (nid, seen, conds, flow)\ None;$
 $None = pred\ g\ nid$
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g$

code-pred (*modes*: $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$) *ConditionalEliminationPhase* .

code-pred (*modes*: $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$) *ConditionalElimination-PhaseWithTrace* .

lemma *IfNodeStepE*: $g \vdash (nid, m, h) \rightarrow (nid', m', h) \implies$
 $(\bigwedge \text{cond } tb \text{ } fb \text{ } val.$
 $\quad \text{kind } g \text{ } nid = \text{IfNode cond } tb \text{ } fb \implies$
 $\quad nid' = (\text{if val-to-bool val then tb else fb}) \implies$
 $\quad g \text{ } m \vdash \text{kind } g \text{ } cond \mapsto val \implies m' = m)$
using *StepE*
by (*smt* (*verit*, *best*) *IfNode Pair-inject stepDet*)

lemma *ifNodeHasCondEvalStutter*:
assumes ($g \text{ } m \text{ } h \vdash nid \rightsquigarrow nid'$)
assumes $\text{kind } g \text{ } nid = \text{IfNode cond } t \text{ } f$
shows $\exists v. (g \text{ } m \vdash \text{kind } g \text{ } cond \mapsto v)$
using *IfNodeStepE* *assms(1)* *assms(2)* *stutter.cases*
by (*meson IfNodeCond*)

lemma *ifNodeHasCondEval*:
assumes ($g \vdash (nid, m, h) \rightarrow (nid', m', h')$)
assumes $\text{kind } g \text{ } nid = \text{IfNode cond } t \text{ } f$
shows $\exists v. (g \text{ } m \vdash \text{kind } g \text{ } cond \mapsto v)$
using *IfNodeStepE* *assms(1)* *assms(2)*
by (*smt* (*z3*) *IRNode.disc(932)* *IRNode.simps(938)* *IRNode.simps(958)* *IRNode.simps(972)* *IRNode.simps(974)* *IRNode.simps(978)* *Pair-inject StutterStep ifNodeHasCondEvalStutter is-AbstractEndNode.simps is-EndNode.simps(12)* *step.cases*)

lemma *replace-if-t*:
assumes $\text{kind } g \text{ } nid = \text{IfNode cond } tb \text{ } fb$
assumes $g \text{ } m \vdash \text{kind } g \text{ } cond \mapsto \text{bool}$
assumes val-to-bool bool
assumes $g': g' = \text{replace-usages } nid \text{ } tb \text{ } g$
shows $\exists nid'. (g \text{ } m \text{ } h \vdash nid \rightsquigarrow nid') \iff (g' \text{ } m \text{ } h \vdash nid \rightsquigarrow nid')$
proof –
have *g1step*: $g \vdash (nid, m, h) \rightarrow (tb, m, h)$
by (*meson IfNode assms(1) assms(2) assms(3)*)
have *g2step*: $g' \vdash (nid, m, h) \rightarrow (tb, m, h)$
using *g' unfolding replace-usages.simps*
by (*simp add: stepRefNode*)
from *g1step g2step* **show** *?thesis*
using *StutterStep* **by** *blast*
qed

lemma *replace-if-t-imp*:
assumes *kind g nid = IfNode cond tb fb*
assumes *g m ⊢ kind g cond ↦ bool*
assumes *val-to-bool bool*
assumes *g': g' = replace-usages nid tb g*
shows $\exists \text{nid}'. (g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longrightarrow (g' \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$
using *replace-if-t assms* **by** *blast*

lemma *replace-if-f*:
assumes *kind g nid = IfNode cond tb fb*
assumes *g m ⊢ kind g cond ↦ bool*
assumes $\neg(\text{val-to-bool bool})$
assumes *g': g' = replace-usages nid fb g*
shows $\exists \text{nid}'. (g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$
proof –
have *g1step: g ⊢ (nid, m, h) → (fb, m, h)*
by (*meson IfNode assms(1) assms(2) assms(3)*)
have *g2step: g' ⊢ (nid, m, h) → (fb, m, h)*
using *g' unfolding replace-usages.simps*
by (*simp add: stepRefNode*)
from *g1step g2step* **show** *?thesis*
using *StutterStep* **by** *blast*
qed

Prove that the individual conditional elimination rules are correct with respect to preservation of stuttering steps.

lemma *ConditionalEliminationStepProof*:
assumes *wg: wff-graph g*
assumes *ws: wff-stamps g*
assumes *wv: wff-values g*
assumes *nid: nid ∈ ids g*
assumes *conds-valid: ∀ c ∈ conds. ∃ v. (g m ⊢ c ↦ v) ∧ val-to-bool v*
assumes *ce: ConditionalEliminationStep conds stamps g nid g'*

shows $\exists \text{nid}'. (g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longrightarrow (g' \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$
using *ce* **using** *assms*
proof (*induct g nid g' rule: ConditionalEliminationStep.induct*)
case (*impliesTrue g ifcond cid t f cond conds g'*)
show *?case* **proof** (*cases (g m h ⊢ ifcond ↦ nid')*)
case *True*
obtain *condu where condu: g m ⊢ kind g cid ↦ condu*
using *implies.simps impliesTrue.hyps(3) impliesTrue.prem(4)*
using *impliesTrue.hyps(2) True*
by (*metis ifNodeHasCondEvalStutter impliesTrue.hyps(1)*)
have *conduTrue: val-to-bool condu*
by (*metis condition-implies.intros(2) condu impliesTrue.hyps(2) impliesTrue.hyps(3)*)
impliesTrue.prem(1) impliesTrue.prem(3) impliesTrue.prem(5) implies-true-valid
then **show** *?thesis*


```

    using constantConditionValid
    using impliesTrue.hyps(1) condv impliesTrue.hyps(4)
    by blast
next
  case False
  then show ?thesis by auto
qed
next
  case (impliesFalse g ifcond cid t f cond conds g')
  then show ?case
  proof (cases (g m h  $\vdash$  ifcond  $\rightsquigarrow$  nid'))
    case True
    obtain condv where condv: g m  $\vdash$  kind g cid  $\mapsto$  condv
    using ifNodeHasCondEvalStutter impliesFalse.hyps(1)
    using True by blast
    have condvFalse: False = val-to-bool condv
    by (metis condition-implies.intros(2) condv impliesFalse.hyps(2) implies-
False.hyps(3) impliesFalse.prem(1) impliesFalse.prem(3) impliesFalse.prem(5)
implies-false-valid)
    then show ?thesis
    using constantConditionValid
    using impliesFalse.hyps(1) condv impliesFalse.hyps(4)
    by blast
  next
  case False
  then show ?thesis
  by auto
qed
next
  case (tryFoldTrue g ifcond cid t f cond g' conds)
  then show ?case using constantConditionValid tryFoldProofTrue
    using StutterStep constantConditionTrue by metis
next
  case (tryFoldFalse g ifcond cid t f cond g' conds)
  then show ?case using constantConditionValid tryFoldProofFalse
    using StutterStep constantConditionFalse by metis
qed

```

Prove that the individual conditional elimination rules are correct with respect to finding a bisimulation between the unoptimized and optimized graphs.

lemma *ConditionalEliminationStepProofBisimulation:*

```

assumes wff: wff-graph g  $\wedge$  wff-stamp g stamps  $\wedge$  wff-values g
assumes nid: nid  $\in$  ids g
assumes conds-valid:  $\forall c \in \text{conds} . \exists v . (g m \vdash c \mapsto v) \wedge \text{val-to-bool } v$ 
assumes ce: ConditionalEliminationStep conds stamps g nid g'
assumes gstep:  $\exists h \text{ nid}' . (g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h))$ 

shows nid | g  $\sim$  g'

```

```

using ce gstep using assms
proof (induct g nid g' rule: ConditionalEliminationStep.induct)
  case (impliesTrue g ifcond cid t f cond conds g' stamps)
    from impliesTrue(5) obtain h where gstep:  $g \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    by (metis IfNode StutterStep condition-implies.intros(2) ifNodeHasCondEval-
      Stutter impliesTrue.hyps(1) impliesTrue.hyps(2) impliesTrue.hyps(3) impliesTrue.prem(2)
      impliesTrue.prem(4) implies-true-valid)
    have  $g' \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    using constantConditionTrue impliesTrue.hyps(1) impliesTrue.hyps(4) by blast
    then show ?case using gstep
    by (metis stepDet strong-noop-bisimilar.intros)
  next
    case (impliesFalse g ifcond cid t f cond conds g' stamps)
    from impliesFalse(5) obtain h where gstep:  $g \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    by (metis IfNode condition-implies.intros(2) ifNodeHasCondEval impliesFalse.hyps(1)
      impliesFalse.hyps(2) impliesFalse.hyps(3) impliesFalse.prem(2) impliesFalse.prem(4)
      implies-false-valid)
    have  $g' \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    using constantConditionFalse impliesFalse.hyps(1) impliesFalse.hyps(4) by blast
    then show ?case using gstep
    by (metis stepDet strong-noop-bisimilar.intros)
  next
    case (tryFoldTrue g ifcond cid t f cond stamps g' conds)
    from tryFoldTrue(5) obtain val where  $g \vdash \text{kind } g \text{ cid} \mapsto \text{val}$ 
    using ifNodeHasCondEval tryFoldTrue.hyps(1) by blast
    then have val-to-bool val
    using tryFoldProofTrue tryFoldTrue.prem(2) tryFoldTrue(3)
    by blast
    then obtain h where gstep:  $g \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    using tryFoldTrue(5)
    by (meson IfNode  $\langle g \vdash \text{kind } g \text{ cid} \mapsto \text{val} \rangle$  tryFoldTrue.hyps(1))
    have  $g' \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    using constantConditionTrue tryFoldTrue.hyps(1) tryFoldTrue.hyps(4) by pres-
      burger
    then show ?case using gstep
    by (metis stepDet strong-noop-bisimilar.intros)
  next
    case (tryFoldFalse g ifcond cid t f cond stamps g' conds)
    from tryFoldFalse(5) obtain h where gstep:  $g \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    by (meson IfNode ifNodeHasCondEval tryFoldFalse.hyps(1) tryFoldFalse.hyps(3)
      tryFoldFalse.prem(2) tryFoldProofFalse)
    have  $g' \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    using constantConditionFalse tryFoldFalse.hyps(1) tryFoldFalse.hyps(4) by blast
    then show ?case using gstep
    by (metis stepDet strong-noop-bisimilar.intros)
qed

```

Mostly experimental proofs from here on out.

lemma if-step:

```

assumes  $nid \in ids\ g$ 
assumes  $(kind\ g\ nid) \in control-nodes$ 
shows  $(g\ m\ h \vdash nid \rightsquigarrow nid')$ 
using assms apply (cases kind g nid) sorry

lemma StepConditionsValid:
assumes  $\forall\ cond \in set\ conds. (g\ m \vdash cond \mapsto v) \wedge val-to-bool\ v$ 
assumes Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow'))
shows  $\forall\ cond \in set\ conds'. (g\ m \vdash cond \mapsto v) \wedge val-to-bool\ v$ 
using assms(2)
proof (induction (nid, seen, conds, flow) Some (nid', seen', conds', flow') rule: Step.induct)
  case (1 ifcond cond t f i c)
    obtain cv where cv:  $g\ m \vdash c \mapsto cv$ 
    sorry
    have cvt:  $val-to-bool\ cv$ 
    sorry
    have  $set\ conds' = \{c\} \cup set\ conds$ 
    using 1.hyps(8) by auto
    then show ?case using cv cvt assms(1) sorry
next
  case (2)
    from 2(5) have  $set\ conds' \subseteq set\ conds$ 
    by (metis list.sel(2) list.set-sel(2) subsetI)
    then show ?case using assms(1)
    by blast
next
  case (3)
    then show ?case
    using assms(1) by force
qed

lemma ConditionalEliminationPhaseProof:
assumes wff-graph g
assumes wff-stamps g
assumes ConditionalEliminationPhase g (0, {}, [], []) g'

shows  $\exists\ nid'. (g\ m\ h \vdash 0 \rightsquigarrow nid') \longrightarrow (g'\ m\ h \vdash 0 \rightsquigarrow nid')$ 
proof –
  have  $0 \in ids\ g$ 
  using assms(1) wff-folds by blast
  show ?thesis
using assms(3) assms proof (induct rule: ConditionalEliminationPhase.induct)
  case (1 g nid g' succs nid' g'')
    then show ?case sorry
next
  case (2 succs g nid nid' g'')
    then show ?case sorry
next

```

```

    case ( $\mathcal{J}$  succs  $g$   $nid$ )
    then show ?case
      by simp
next
  case (4)
  then show ?case sorry
qed
qed

end

```

10 Graph Construction Phase

```

theory
  Construction
imports
  Proofs.Bisimulation
  Proofs.IRGraphFrames
begin

lemma add-const-nodes:
  assumes  $xn$ : kind  $g$   $x$  = (ConstantNode (IntVal  $b$   $xv$ ))
  assumes  $yn$ : kind  $g$   $y$  = (ConstantNode (IntVal  $b$   $yv$ ))
  assumes  $zn$ : kind  $g$   $z$  = (AddNode  $x$   $y$ )
  assumes  $wn$ : kind  $g$   $w$  = (ConstantNode (intval-add (IntVal  $b$   $xv$ ) (IntVal  $b$   $yv$ )))
  assumes  $val$ : intval-add (IntVal  $b$   $xv$ ) (IntVal  $b$   $yv$ ) = IntVal  $b$   $v1$ 
  assumes  $ez$ :  $g$   $m$   $\vdash$  (kind  $g$   $z$ )  $\mapsto$  (IntVal  $b$   $v1$ )
  assumes  $ew$ :  $g$   $m$   $\vdash$  (kind  $g$   $w$ )  $\mapsto$  (IntVal  $b$   $v2$ )
  shows  $v1 = v2$ 
proof -
  have  $zv$ :  $g$   $m$   $\vdash$  (kind  $g$   $z$ )  $\mapsto$  IntVal  $b$   $v1$ 
    using eval.AddNode eval.ConstantNode  $xn$   $yn$   $zn$   $val$  by metis
  have  $wv$ :  $g$   $m$   $\vdash$  (kind  $g$   $w$ )  $\mapsto$  IntVal  $b$   $v2$ 
    using eval.ConstantNode  $wn$   $ew$  by blast
  show ?thesis using evalDet  $zv$   $wv$   $ew$   $ez$ 
    using ConstantNode  $val$   $wn$  by auto
qed

lemma add-val-xzero:
  shows intval-add (IntVal  $b$  0) (IntVal  $b$   $yv$ ) = (IntVal  $b$   $yv$ )
  unfolding intval-add.simps sorry

lemma add-val-yzero:
  shows intval-add (IntVal  $b$   $xv$ ) (IntVal  $b$  0) = (IntVal  $b$   $xv$ )
  unfolding intval-add.simps sorry

fun create-add :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  IRNode where

```

```

create-add g x y =
  (case (kind g x) of
    ConstantNode (IntVal b xv) =>
      (case (kind g y) of
        ConstantNode (IntVal b yv) =>
          ConstantNode (intval-add (IntVal b xv) (IntVal b yv)) |
        - => if xv = 0 then RefNode y else AddNode x y
      ) |
    - => (case (kind g y) of
      ConstantNode (IntVal b yv) =>
        if yv = 0 then RefNode x else AddNode x y |
      - => AddNode x y
    )
  )
)

```

lemma *add-node-create*:

```

assumes xv: g m ⊢ (kind g x) ↦ IntVal b xv
assumes yv: g m ⊢ (kind g y) ↦ IntVal b yv
assumes res: res = intval-add (IntVal b xv) (IntVal b yv)
shows
  (g m ⊢ (AddNode x y) ↦ res) ∧
  (g m ⊢ (create-add g x y) ↦ res)

```

proof –

```

let ?P = (g m ⊢ (AddNode x y) ↦ res)
let ?Q = (g m ⊢ (create-add g x y) ↦ res)
have P: ?P
  using xv yv res eval.AddNode by blast
have Q: ?Q
proof (cases is-ConstantNode (kind g x))
  case xconst: True
  then show ?thesis
  proof (cases is-ConstantNode (kind g y))
  case yconst: True
  have create-add g x y = ConstantNode res
    using xconst yconst
    using ConstantNodeE is-ConstantNode-def xv yv res by auto
  then show ?thesis using eval.ConstantNode by simp
  next
  case ynotconst: False
  have kind g x = ConstantNode (IntVal b xv)
    using ConstantNodeE xconst
    by (metis is-ConstantNode-def xv)
  then have add-def:
    create-add g x y = (if xv = 0 then RefNode y else AddNode x y)
    using xconst ynotconst is-ConstantNode-def
    unfolding create-add.simps

```

```

    by (simp split: IRNode.split)
  then show ?thesis
proof (cases xv = 0)
  case xzero: True
  have ref: create-add g x y = RefNode y
    using xzero add-def
    by meson
  have refval: g m ⊢ RefNode y ↦ IntVal b yv
    using eval.RefNode yv by simp
  have res = IntVal b yv
    using res unfolding xzero add-val-xzero by simp
  then show ?thesis using xzero ref refval by simp
next
  case xnotzero: False
  then show ?thesis
    using P add-def by presburger
qed
qed
next
case notxconst: False
then show ?thesis
proof (cases is-ConstantNode (kind g y))
  case yconst: True
  have kind g y = ConstantNode (IntVal b yv)
    using ConstantNodeE yconst
    by (metis is-ConstantNode-def yv)
  then have add-def:
    create-add g x y = (if yv = 0 then RefNode x else AddNode x y)
    using notxconst yconst is-ConstantNode-def
    unfolding create-add.simps
    by (simp split: IRNode.split)
  then show ?thesis
proof (cases yv = 0)
  case yzero: True
  have ref: create-add g x y = RefNode x
    using yzero add-def
    by meson
  have refval: g m ⊢ RefNode x ↦ IntVal b xv
    using eval.RefNode xv by simp
  have res = IntVal b xv
    using res unfolding yzero add-val-yzero by simp
  then show ?thesis using yzero ref refval by simp
next
  case ynotzero: False
  then show ?thesis
    using P add-def by presburger
qed
next

```

```

    case notyconst: False
  have create-add g x y = AddNode x y
    using notxconst notyconst is-ConstantNode-def
    create-add.simps by (simp split: IRNode.split)
  then show ?thesis
    using P by presburger
qed
qed
from P Q show ?thesis by simp
qed

fun add-node-fake :: ID ⇒ IRNode ⇒ IRGraph ⇒ IRGraph where
  add-node-fake nid k g = add-node nid (k, VoidStamp) g
lemma add-node-lookup-fake:
  assumes gup = add-node-fake nid k g
  assumes nid ∉ ids g
  shows kind gup nid = k
  using add-node-lookup proof (cases k = NoNode)
  case True
  have kind g nid = NoNode
    using assms(2)
  using not-in-g by blast
  then show ?thesis using assms
    by (metis add-node-fake.simps add-node-lookup)
next
  case False
  then show ?thesis
    by (simp add: add-node-lookup assms(1))
qed
lemma add-node-unchanged-fake:
  assumes new ∉ ids g
  assumes nid ∈ ids g
  assumes gup = add-node-fake new k g
  assumes wff-graph g
  shows unchanged (eval-usages g nid) g gup
  using add-node-fake.simps add-node-unchanged assms by blast

lemma dom-add-unchanged:
  assumes nid ∈ ids g
  assumes g' = add-node-fake n k g
  assumes nid ≠ n
  shows nid ∈ ids g'
  using add-changed assms(1) assms(2) assms(3) by force

lemma preserve-wff:
  assumes wff: wff-graph g
  assumes nid ∉ ids g
  assumes closed: inputs g' nid ∪ succ g' nid ⊆ ids g

```

```

assumes  $g'$ :  $g' = \text{add-node-fake } \textit{nid } k \ g$ 
shows  $\textit{wff-graph } g'$ 
using assms unfolding  $\textit{wff-folds}$ 
apply (intro conjI)
  apply (metis dom-add-unchanged)
  apply (metis add-node-unchanged-fake assms(1) kind-unchanged)
sorry

lemma equal-closure-bisimilar:
assumes  $\{P'. (g \ m \ h \vdash \textit{nid} \rightsquigarrow P')\} = \{P'. (g' \ m \ h \vdash \textit{nid} \rightsquigarrow P')\}$ 
shows  $\textit{nid} . g \sim g'$ 
by (metis assms weak-bisimilar.simps mem-Collect-eq)

lemma wff-size:
assumes  $\textit{nid} \in \textit{ids } g$ 
assumes  $\textit{wff-graph } g$ 
assumes is-AbstractEndNode (kind g nid)
shows  $\text{card } (\textit{usages } g \ \textit{nid}) > 0$ 
using assms unfolding  $\textit{wff-folds}$ 
by fastforce

lemma sequentials-have-successors:
assumes is-sequential-node n
shows  $\text{size } (\textit{successors-of } n) > 0$ 
using assms by (cases n; auto)

lemma step-reaches-successors-only:
assumes  $(g \vdash (\textit{nid}, m, h) \rightarrow (\textit{nid}', m, h))$ 
assumes  $\textit{wff}: \textit{wff-graph } g$ 
shows  $\textit{nid}' \in \textit{succ } g \ \textit{nid} \vee \textit{nid}' \in \textit{usages } g \ \textit{nid}$ 
using assms proof (induct ( $\textit{nid}, m, h$ ) ( $\textit{nid}', m, h$ ) rule: step.induct)
case SequentialNode
  then show ?case using sequentials-have-successors
    by (metis nth-mem succ.simps)
next
  case (IfNode cond tb fb val)
  then show ?case using successors-of-IfNode
    by (simp add: IfNode.hyps(1))
next
  case (EndNodes i phis inputs vs)
  have  $\textit{nid} \in \textit{ids } g$ 
    using assms(1) step-in-ids
    by blast
  then have usage-size:  $\text{card } (\textit{usages } g \ \textit{nid}) > 0$ 
    using  $\textit{wff EndNodes(1) wff-size}$ 
    by blast
  then have usage-size:  $\text{size } (\textit{sorted-list-of-set } (\textit{usages } g \ \textit{nid})) > 0$ 
    by (metis length-sorted-list-of-set)
  have  $\textit{usages } g \ \textit{nid} \subseteq \textit{ids } g$ 

```



```

    using wff by fastforce
  then have finite-usage: finite (usages g nid)
    by (metis bot-nat-0.extremum-strict list.size(3) sorted-list-of-set.infinite us-
age-size)
  from EndNodes(2) have nid' ∈ usages g nid
    unfolding any-usage.simps
    using usage-size finite-usage
    by (metis hd-in-set length-greater-0-conv sorted-list-of-set(1))
  then show ?case
    by simp
next
  case (NewInstanceNode f obj ref)
  then show ?case using successors-of-NewInstanceNode by simp
next
  case (LoadFieldNode f obj ref v)
  then show ?case by simp
next
  case (SignedDivNode x y zero sb v1 v2 v)
  then show ?case by simp
next
  case (SignedRemNode x y zero sb v1 v2 v)
  then show ?case by simp
next
  case (StaticLoadFieldNode f v)
  then show ?case by simp
next
  case (StoreFieldNode f newval uu obj val ref)
  then show ?case by simp
next
  case (StaticStoreFieldNode f newval uv val)
  then show ?case by simp
qed

lemma stutter-closed:
  assumes g m h ⊢ nid ~→ nid'
  assumes wff-graph g
  shows ∃ n ∈ ids g . nid' ∈ succ g n ∨ nid' ∈ usages g n
  using assms
proof (induct nid nid' rule: stutter.induct)
  case (StutterStep nid nid')
  have nid ∈ ids g
    using StutterStep.hyps step-in-ids by blast
  then show ?case using StutterStep step-reaches-successors-only
    by blast
next
  case (Transitive nid nid'' nid')
  then show ?case
    by blast
qed

```

```

lemma unchanged-step:
  assumes  $g \vdash (nid, m, h) \rightarrow (nid', m, h)$ 
  assumes wff: wff-graph  $g$ 
  assumes kind:  $kind\ g\ nid = kind\ g'\ nid$ 
  assumes unchanged: unchanged (eval-usages  $g\ nid$ )  $g\ g'$ 
  assumes succ:  $succ\ g\ nid = succ\ g'\ nid$ 

  shows  $g' \vdash (nid, m, h) \rightarrow (nid', m, h)$ 
using assms proof (induct ( $nid, m, h$ ) ( $nid', m, h$ ) rule: step.induct)
case SequentialNode
  then show ?case
    by (metis step.SequentialNode)
next
  case (IfNode cond tb fb val)
  then show ?case using stay-same step.IfNode
    by (metis (no-types, lifting) IRNodes.inputs-of-IfNode child-unchanged in-
puts.elims list.set-intros(1))
next
  case (EndNodes i phis inputs vs)
  then show ?case sorry
next
  case (NewInstanceNode f obj ref)
  then show ?case using step.NewInstanceNode
    by metis
next
  case (LoadFieldNode f obj ref v)
  have  $obj \in inputs\ g\ nid$ 
    using LoadFieldNode(1) inputs-of-LoadFieldNode
    using opt-to-list.simps
    by (simp add: LoadFieldNode.hyps(1))
  then have unchanged (eval-usages  $g\ obj$ )  $g\ g'$ 
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash kind\ g'\ obj \mapsto ObjRef\ ref$ 
    using unchanged wff stay-same
    using LoadFieldNode.hyps(2) by presburger
  then show ?case using step.LoadFieldNode
    by (metis LoadFieldNode.hyps(1) LoadFieldNode.hyps(3) LoadFieldNode.hyps(4)
assms(3))
next
  case (SignedDivNode x y zero sb v1 v2 v)
  have  $x \in inputs\ g\ nid$ 
    using SignedDivNode(1) inputs-of-SignedDivNode
    using opt-to-list.simps
    by (simp add: SignedDivNode.hyps(1))
  then have unchanged (eval-usages  $g\ x$ )  $g\ g'$ 
    using unchanged

```

```

    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' x \mapsto v1$ 
    using unchanged wff stay-same
    using SignedDivNode.hyps(2) by presburger
  have  $y \in \text{inputs } g \text{ nid}$ 
    using SignedDivNode(1) inputs-of-SignedDivNode
    using opt-to-list.simps
    by (simp add: SignedDivNode.hyps(1))
  then have unchanged (eval-usages  $g y$ )  $g g'$ 
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' y \mapsto v2$ 
    using unchanged wff stay-same
    using SignedDivNode.hyps(3) by presburger
  then show ?case using step.SignedDivNode
    by (metis SignedDivNode.hyps(1) SignedDivNode.hyps(4) SignedDivNode.hyps(5)
       $\langle g' m \vdash \text{kind } g' x \mapsto v1 \rangle \text{ kind}$ )
next
  case (SignedRemNode  $x y \text{ zero sb } v1 v2 v$ )
  have  $x \in \text{inputs } g \text{ nid}$ 
    using SignedRemNode(1) inputs-of-SignedRemNode
    using opt-to-list.simps
    by (simp add: SignedRemNode.hyps(1))
  then have unchanged (eval-usages  $g x$ )  $g g'$ 
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' x \mapsto v1$ 
    using unchanged wff stay-same
    using SignedRemNode.hyps(2) by presburger
  have  $y \in \text{inputs } g \text{ nid}$ 
    using SignedRemNode(1) inputs-of-SignedRemNode
    using opt-to-list.simps
    by (simp add: SignedRemNode.hyps(1))
  then have unchanged (eval-usages  $g y$ )  $g g'$ 
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' y \mapsto v2$ 
    using unchanged wff stay-same
    using SignedRemNode.hyps(3) by presburger
  then show ?case
    by (metis SignedRemNode.hyps(1) SignedRemNode.hyps(4) SignedRemNode.hyps(5)
       $\langle g' m \vdash \text{kind } g' x \mapsto v1 \rangle \text{ kind step.SignedRemNode}$ )
next
  case (StaticLoadFieldNode  $f v$ )
  then show ?case using step.StaticLoadFieldNode
    by metis
next
  case (StoreFieldNode  $f \text{ newval uu obj val ref}$ )
  have  $\text{obj} \in \text{inputs } g \text{ nid}$ 

```

```

    using StoreFieldName(1) inputs-of-StoreFieldName
    using opt-to-list.simps
    by (simp add: StoreFieldName.hyps(1))
  then have unchanged (eval-usages g obj) g g'
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' \text{ obj} \mapsto \text{ObjRef ref}$ 
    using unchanged wff stay-same
    using StoreFieldName.hyps(3) by presburger
  have newval  $\in$  inputs g nid
    using StoreFieldName(1) inputs-of-StoreFieldName
    using opt-to-list.simps
    by (simp add: StoreFieldName.hyps(1))
  then have unchanged (eval-usages g newval) g g'
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' \text{ newval} \mapsto \text{val}$ 
    using unchanged wff stay-same
    using StoreFieldName.hyps(2) by blast
  then show ?case using step.StoreFieldName
    by (metis StoreFieldName.hyps(1) StoreFieldName.hyps(4) StoreFieldName.hyps(5)
       $\langle g' m \vdash \text{kind } g' \text{ obj} \mapsto \text{ObjRef ref} \rangle$  assms(3))
next
  case (StaticStoreFieldName f newval uv val)
  have newval  $\in$  inputs g nid
    using StoreFieldName(1) inputs-of-StoreFieldName
    using opt-to-list.simps
    by (simp add: StaticStoreFieldName.hyps(1))
  then have unchanged (eval-usages g newval) g g'
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' \text{ newval} \mapsto \text{val}$ 
    using unchanged wff stay-same
    using StaticStoreFieldName.hyps(2) by blast
  then show ?case using step.StaticStoreFieldName
    by (metis StaticStoreFieldName.hyps(1) StaticStoreFieldName.hyps(3) Static-
      StoreFieldName.hyps(4) kind)
qed

```

lemma *unchanged-closure*:

```

  assumes nid  $\notin$  ids g
  assumes wff: wff-graph g  $\wedge$  wff-graph g'
  assumes g':  $g' = \text{add-node-fake } \text{nid } k \text{ } g$ 
  assumes nid'  $\in$  ids g
  shows  $(g m h \vdash \text{nid}' \rightsquigarrow \text{nid}'') \longleftrightarrow (g' m h \vdash \text{nid}' \rightsquigarrow \text{nid}'')$ 
    (is ?P  $\longleftrightarrow$  ?Q)

```

proof

```

  assume P: ?P

```

```

have niddiff:  $nid \neq nid'$ 
  using assms
  by blast
from P show ?Q using assms niddiff
proof (induction rule: stutter.induct)
  case (StutterStep start e)
  have unchanged: unchanged (eval-usages g start) g g'
    using StutterStep.prem(4) add-node-unchanged-fake assms(1) g' wff by blast
  have succ-same: succ g start = succ g' start
    using StutterStep.prem(4) kind-unchanged succ.simps unchanged by pres-
    burger
  have kind g start = kind g' start
    by (metis StutterStep.prem(4) add-node-fake.elims add-node-unchanged
    assms(1) assms(2) g' kind-unchanged)
  then have  $g' \vdash (start, m, h) \rightarrow (e, m, h)$ 
    using unchanged-step wff unchanged succ-same
    by (meson StutterStep.hyps)
  then show ?case
    using stutter.StutterStep by blast
next
  case (Transitive nid nid'' nid')
  then show ?case
    by (metis add-node-unchanged-fake kind-unchanged step-in-ids stutter.Transitive
    stutter.cases succ.simps unchanged-step)
qed
next
assume Q: ?Q
have niddiff:  $nid \neq nid'$ 
  using assms
  by blast
from Q show ?P using assms niddiff
proof (induction rule: stutter.induct)
  case (StutterStep start e)
  have eval-usages  $g' \text{ start} \subseteq \text{eval-usages } g \text{ start}$ 
    using g' eval-usages sorry
  then have unchanged: unchanged (eval-usages g' start) g' g
    by (smt (verit, ccfv-SIG) StutterStep.prem(4) add-node-unchanged-fake
    assms(1) g' subset-iff unchanged.simps wff)
  have succ-same: succ g start = succ g' start
    using StutterStep.prem(4) eval-usages-self node-unchanged succ.simps un-
    changed
    by (metis (no-types, lifting) StutterStep.hyps step-in-ids)
  have kind g start = kind g' start
    by (metis StutterStep.prem(4) add-node-fake.elims add-node-unchanged
    assms(1) assms(2) g' kind-unchanged)
  then have  $g \vdash (start, m, h) \rightarrow (e, m, h)$ 
    using StutterStep(1) wff unchanged-step unchanged succ-same
    sorry
  then show ?case

```

```

    using stutter.StutterStep by blast
  next
    case (Transitive nid nid'' nid')
    then show ?case
      using add-node-unchanged-fake kind-unchanged step-in-ids stutter.Transitive
stutter.cases succ.simps unchanged-step
    sorry
  qed
qed

```

```

fun create-if :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  IRNode
  where
    create-if g cond tb fb =
      (case (kind g cond) of
        ConstantNode condv  $\Rightarrow$ 
          RefNode (if (val-to-bool condv) then tb else fb) |
        -  $\Rightarrow$  (if tb = fb then
          RefNode tb
        else
          IfNode cond tb fb)
      )

```

lemma *if-node-create-bisimulation:*

```

  fixes h :: FieldRefHeap
  assumes wff: wff-graph g
  assumes cv: g m  $\vdash$  (kind g cond)  $\mapsto$  cv
  assumes fresh: nid  $\notin$  ids g
  assumes closed: {cond, tb, fb}  $\subseteq$  ids g
  assumes gif: gif = add-node-fake nid (IfNode cond tb fb) g
  assumes gcreate: gcreate = add-node-fake nid (create-if g cond tb fb) g

```

shows nid . gif \sim gcreate

proof –

```

  have indep:  $\neg$ (eval-uses g cond nid)
    using cv eval-in-ids fresh no-external-use wff by blast
  have kind gif nid = IfNode cond tb fb
    using gif add-node-lookup by simp
  then have {cond, tb, fb} = inputs gif nid  $\cup$  succ gif nid
    using inputs-of-IfNode successors-of-IfNode
  by (metis empty-set inputs.simps insert-is-Un list.simps(15) succ.simps)
  then have wff-gif: wff-graph gif
    using closed wff preserve-wff
  using fresh gif by presburger
  have create-if g cond tb fb = IfNode cond tb fb  $\vee$ 
    create-if g cond tb fb = RefNode tb  $\vee$ 
    create-if g cond tb fb = RefNode fb
  by (cases kind g cond; auto)
  then have kind gcreate nid = IfNode cond tb fb  $\vee$ 

```

```

      kind gcreate nid = RefNode tb ∨
      kind gcreate nid = RefNode fb
    using gcreate add-node-lookup
    using add-node-lookup-fake fresh by presburger
  then have inputs gcreate nid ∪ succ gcreate nid ⊆ {cond, tb, fb}
  using inputs-of-IfNode successors-of-IfNode inputs-of-RefNode successors-of-RefNode
  by force
  then have wff-gcreate: wff-graph gcreate
    using closed wff preserve-wff fresh gcreate
    by (metis subset-trans)
  have tb-unchanged: {nid'. (gif m h ⊢ tb ⇝ nid')} = {nid'. (gcreate m h ⊢ tb ⇝
nid')}
  proof -
    have ¬(∃ n ∈ ids g. nid ∈ succ g n ∨ nid ∈ usages g n)
    using wff
    by (metis (no-types, lifting) fresh mem-Collect-eq subsetD usages.simps
wff-folds(1,3))
    then have nid ∉ {nid'. (g m h ⊢ tb ⇝ nid')}
    using wff stutter-closed
    by (metis mem-Collect-eq)
    have gif-set: {nid'. (gif m h ⊢ tb ⇝ nid')} = {nid'. (g m h ⊢ tb ⇝ nid')}
    using unchanged-closure fresh wff gif closed wff-gif
    by blast
    have gcreate-set: {nid'. (gcreate m h ⊢ tb ⇝ nid')} = {nid'. (g m h ⊢ tb ⇝
nid')}
    using unchanged-closure fresh wff gcreate closed wff-gcreate
    by blast
    from gif-set gcreate-set show ?thesis by simp
  qed
  have fb-unchanged: {nid'. (gif m h ⊢ fb ⇝ nid')} = {nid'. (gcreate m h ⊢ fb ⇝
nid')}
  proof -
    have ¬(∃ n ∈ ids g. nid ∈ succ g n ∨ nid ∈ usages g n)
    using wff
    by (metis (no-types, lifting) fresh mem-Collect-eq subsetD usages.simps
wff-folds(1,3))
    then have nid ∉ {nid'. (g m h ⊢ fb ⇝ nid')}
    using wff stutter-closed
    by (metis mem-Collect-eq)
    have gif-set: {nid'. (gif m h ⊢ fb ⇝ nid')} = {nid'. (g m h ⊢ fb ⇝ nid')}
    using unchanged-closure fresh wff gif closed wff-gif
    by blast
    have gcreate-set: {nid'. (gcreate m h ⊢ fb ⇝ nid')} = {nid'. (g m h ⊢ fb ⇝
nid')}
    using unchanged-closure fresh wff gcreate closed wff-gcreate
    by blast
    from gif-set gcreate-set show ?thesis by simp
  qed
  show ?thesis

```

```

proof (cases  $\exists \text{ val} . (\text{kind } g \text{ cond}) = \text{ConstantNode val}$ )
  let ?gif-closure =  $\{P'. (\text{gif } m \ h \vdash \text{nid} \rightsquigarrow P')\}$ 
  let ?gcreate-closure =  $\{P'. (\text{gcreate } m \ h \vdash \text{nid} \rightsquigarrow P')\}$ 
  case constantCond: True
  obtain val where val:  $(\text{kind } g \text{ cond}) = \text{ConstantNode val}$ 
    using constantCond by blast
  then show ?thesis
  proof (cases val-to-bool val)
    case constantTrue: True
    have if-kind:  $\text{kind gif nid} = (\text{IfNode cond tb fb})$ 
      using gif add-node-lookup by simp
    have if-cv:  $\text{gif } m \vdash (\text{kind gif cond}) \mapsto \text{val}$ 
      by (metis ConstantNodeE add-node-unchanged-fake cv eval-in-ids fresh gif
stay-same val wff)
    have  $(\text{gif} \vdash (\text{nid}, m, h) \rightarrow (\text{tb}, m, h))$ 
      using step.IfNode if-kind if-cv
    using constantTrue by presburger
    then have gif-closure:  $?gif\text{-closure} = \{\text{tb}\} \cup \{\text{nid}'. (\text{gif } m \ h \vdash \text{tb} \rightsquigarrow \text{nid}')\}$ 
      using stuttering-successor by presburger
    have ref-kind:  $\text{kind gcreate nid} = (\text{RefNode tb})$ 
      using gcreate add-node-lookup constantTrue constantCond unfolding cre-
ate-if.simps
    by (simp add: val)
    have  $(\text{gcreate} \vdash (\text{nid}, m, h) \rightarrow (\text{tb}, m, h))$ 
      using stepRefNode ref-kind by simp
    then have gcreate-closure:  $?gcreate\text{-closure} = \{\text{tb}\} \cup \{\text{nid}'. (\text{gcreate } m \ h \vdash \text{tb} \rightsquigarrow \text{nid}')\}$ 
      using stuttering-successor
    by auto
    from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
      using tb-unchanged by simp
    then show ?thesis
      using equal-closure-bisimilar by simp
  next
  case constantFalse: False
  have if-kind:  $\text{kind gif nid} = (\text{IfNode cond tb fb})$ 
    using gif add-node-lookup by simp
  have if-cv:  $\text{gif } m \vdash (\text{kind gif cond}) \mapsto \text{val}$ 
    by (metis ConstantNodeE add-node-unchanged-fake cv eval-in-ids fresh gif
stay-same val wff)
  have  $(\text{gif} \vdash (\text{nid}, m, h) \rightarrow (\text{fb}, m, h))$ 
    using step.IfNode if-kind if-cv
  using constantFalse by presburger
  then have gif-closure:  $?gif\text{-closure} = \{\text{fb}\} \cup \{\text{nid}'. (\text{gif } m \ h \vdash \text{fb} \rightsquigarrow \text{nid}')\}$ 
    using stuttering-successor by presburger
  have ref-kind:  $\text{kind gcreate nid} = \text{RefNode fb}$ 
    using add-node-lookup-fake constantFalse fresh gcreate val by force
  then have  $(\text{gcreate} \vdash (\text{nid}, m, h) \rightarrow (\text{fb}, m, h))$ 
    using stepRefNode by presburger

```



```

    then have gcreate-closure: ?gcreate-closure = {fb} ∪ {nid'. (gcreate m h ⊢ fb
    ~→ nid')}
    using stuttering-successor by presburger
    from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
    using fb-unchanged by simp
    then show ?thesis
    using equal-closure-bisimilar by simp
  qed
next
let ?gif-closure = {P'. (gif m h ⊢ nid ~→ P')}
let ?gcreate-closure = {P'. (gcreate m h ⊢ nid ~→ P')}
case notConstantCond: False
then show ?thesis
proof (cases tb = fb)
  case equalBranches: True
  have if-kind: kind gif nid = (IfNode cond tb fb)
  using gif add-node-lookup by simp
  have (gif ⊢ (nid, m, h) → (tb, m, h)) ∨ (gif ⊢ (nid, m, h) → (fb, m, h))
  using step.IfNode if-kind cv apply (cases val-to-bool cv)
  apply (metis add-node-fake.simps add-node-unchanged eval-in-ids fresh gif
  stay-same wff)
  by (metis add-node-unchanged-fake eval-in-ids fresh gif stay-same wff)
  then have gif-closure: ?gif-closure = {tb} ∪ {nid'. (gif m h ⊢ tb ~→ nid')}
  using equalBranches
  using stuttering-successor by presburger
  have iref-kind: kind gcreate nid = (RefNode tb)
  using gcreate add-node-lookup notConstantCond equalBranches
  unfolding create-if.simps
  by (cases (kind g cond); auto)
  then have (gcreate ⊢ (nid, m, h) → (tb, m, h))
  using stepRefNode by simp
  then have gcreate-closure: ?gcreate-closure = {tb} ∪ {nid'. (gcreate m h ⊢ tb
  ~→ nid')}
  using stuttering-successor by presburger
  from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
  using tb-unchanged by simp
  then show ?thesis
  using equal-closure-bisimilar by simp
next
case uniqueBranches: False
let ?tb-closure = {tb} ∪ {nid'. (gif m h ⊢ tb ~→ nid')}
let ?fb-closure = {fb} ∪ {nid'. (gif m h ⊢ fb ~→ nid')}
have if-kind: kind gif nid = (IfNode cond tb fb)
using gif add-node-lookup by simp
have if-step: (gif ⊢ (nid, m, h) → (tb, m, h)) ∨ (gif ⊢ (nid, m, h) → (fb, m,
h))
using step.IfNode if-kind cv apply (cases val-to-bool cv)
  apply (metis add-node-fake.simps add-node-unchanged eval-in-ids fresh gif
  stay-same wff)

```

```

    by (metis add-node-unchanged-fake eval-in-ids fresh gif stay-same wff)
  then have gif-closure: ?gif-closure = ?tb-closure  $\vee$  ?gif-closure = ?fb-closure
    using stuttering-successor by presburger
  have gc-kind: kind gcreate nid = (IfNode cond tb fb)
    using gcreate add-node-lookup notConstantCond uniqueBranches
    unfolding create-if.simps
    by (cases (kind g cond); auto)
  then have (gcreate  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h))  $\vee$  (gcreate  $\vdash$  (nid, m, h)  $\rightarrow$ 
    (fb, m, h))
    by (metis add-node-lookup-fake fresh gcreate gif if-step)
  then have gcreate-closure: ?gcreate-closure = ?tb-closure  $\vee$  ?gcreate-closure =
    ?fb-closure
    by (metis add-node-lookup-fake fresh gc-kind gcreate gif gif-closure)
  from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
    using tb-unchanged fb-unchanged
    by (metis add-node-lookup-fake fresh gc-kind gcreate gif)
  then show ?thesis
    using equal-closure-bisimilar by simp
qed
qed
qed

```

lemma if-node-create:

```

  assumes wff: wff-graph g
  assumes cv: g m  $\vdash$  (kind g cond)  $\mapsto$  cv
  assumes fresh: nid  $\notin$  ids g
  assumes gif: gif = add-node-fake nid (IfNode cond tb fb) g
  assumes gcreate: gcreate = add-node-fake nid (create-if g cond tb fb) g
  shows  $\exists$  nid'. (gif m h  $\vdash$  nid  $\rightsquigarrow$  nid')  $\wedge$  (gcreate m h  $\vdash$  nid  $\rightsquigarrow$  nid')

```

proof (cases \exists val . (kind g cond) = ConstantNode val)

case True

show ?thesis

proof –

obtain val where val: (kind g cond) = ConstantNode val

using True by blast

have cond-exists: cond \in ids g

using cv eval-in-ids by auto

have if-kind: kind gif nid = (IfNode cond tb fb)

using gif add-node-lookup by simp

have if-cv: gif m \vdash (kind gif cond) \mapsto val

using step.IfNode if-kind

using True eval.ConstantNode gif fresh

using stay-same cond-exists

using val

using add-node.rep-eq kind.rep-eq by auto

have if-step: gif \vdash (nid, m, h) \rightarrow (if val-to-bool val then tb else fb, m, h)

proof –

show ?thesis using step.IfNode if-kind if-cv

```

    by (simp)
  qed
  have create-step: gcreate  $\vdash$  (nid,m,h)  $\rightarrow$  (if val-to-bool val then tb else fb,m,h)
  proof -
    have create-kind: kind gcreate nid = (create-if g cond tb fb)
      using gcreate add-node-lookup-fake
      using fresh by blast
    have create-fun: create-if g cond tb fb = RefNode (if val-to-bool val then tb
else fb)
      using True create-kind val by simp
    show ?thesis using stepRefNode create-kind create-fun if-cv
      by (simp)
  qed
  then show ?thesis using StutterStep create-step if-step
    by blast
  qed
next
case not-const: False
obtain nid' where nid' = (if val-to-bool cv then tb else fb)
  by blast
have nid-eq: (gif  $\vdash$  (nid,m,h)  $\rightarrow$  (nid',m,h))  $\wedge$  (gcreate  $\vdash$  (nid,m,h)  $\rightarrow$  (nid',m,h))
  proof -
    have indep:  $\neg$ (eval-uses g cond nid)
      using no-external-use
      using cv eval-in-ids fresh wff by blast
    have nid': nid' = (if val-to-bool cv then tb else fb)
      by (simp add:  $\langle$ nid' = (if val-to-bool cv then tb else fb) $\rangle$ )
    have gif-kind: kind gif nid = (IfNode cond tb fb)
      using add-node-lookup-fake gif
      using fresh by blast
    then have nid  $\neq$  cond
      using cv fresh indep
      using eval-in-ids by blast
    have unchanged (eval-usages g cond) g gif
      using gif add-node-unchanged-fake
      using cv eval-in-ids fresh wff by blast
    then obtain cv2 where cv2: gif m  $\vdash$  (kind gif cond)  $\mapsto$  cv2
      using cv gif wff stay-same by blast
    then have cv = cv2
      using indep gif cv
      using  $\langle$ nid  $\neq$  cond $\rangle$ 
      using fresh
      using  $\langle$ unchanged (eval-usages g cond) g gif $\rangle$  evalDet stay-same wff by blast
    then have eval-gif: (gif  $\vdash$  (nid,m,h)  $\rightarrow$  (nid',m,h))
      using step.IfNode gif-kind nid' cv2
      by auto
    have gcreate-kind: kind gcreate nid = (create-if g cond tb fb)
      using gcreate add-node-lookup-fake
      using fresh by blast

```

```

have eval-gcreate: gcreate  $\vdash$  (nid,m,h)  $\rightarrow$  (nid',m,h)
proof (cases tb = fb)
  case True
    have create-if g cond tb fb = RefNode tb
      using not-const True by (cases (kind g cond); auto)
    then show ?thesis
      using True gcreate-kind nid' stepRefNode
      by (simp)
  next
    case False
      have create-if g cond tb fb = IfNode cond tb fb
        using not-const False by (cases (kind g cond); auto)
      then show ?thesis
        using eval-gif gcreate gif
        using IfNode (cv = cv2) cv2 gif-kind nid' by auto
      qed
    show ?thesis
      using eval-gcreate eval-gif StutterStep by blast
    qed
  show ?thesis using nid-eq StutterStep by meson
qed
end

```