## Veriopt

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#### Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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#### 1 Runtime Values and Arithmetic

```
theory Values imports HOL-Library.Word HOL-Library.Signed-Division HOL-Library.Float HOL-Library.LaTeXsugar begin lemma -((x::float)-y)=(y-x) by simp
```

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

```
type-synonym int64 = 64 word — long type-synonym int32 = 32 word — int type-synonym int16 = 16 word — short type-synonym int8 = 8 word — char type-synonym int1 = 1 word — boolean abbreviation valid-int-widths :: nat set where valid-int-widths \equiv \{1, 8, 16, 32, 64\} Option 2: explicit width stored with each integer value. However, this does not help us to distinguish between short (signed) and char (unsigned). typedef IntWidth = \{ w :: nat \cdot w = 1 \lor w = 8 \lor w = 16 \lor w = 32 \lor w = 64 \} by blast setup-lifting type-definition-IntWidth lift-definition IntWidthBits :: IntWidth <math>\Rightarrow nat is \lambda w. w. Option 3: explicit type stored with each integer value.
```

 $\mathbf{datatype} \ \mathit{IntType} = \mathit{ILong} \mid \mathit{IInt} \mid \mathit{IShort} \mid \mathit{IChar} \mid \mathit{IByte} \mid \mathit{IBoolean}$ 

```
\mathbf{fun} \ \mathit{int-bits} :: \mathit{IntType} \Rightarrow \mathit{nat} \ \mathbf{where}
  int-bits ILong = 64 |
  int-bits IInt = 32
  int-bits IShort = 16
  int-bits IChar = 16 |
 int-bits IByte = 8
  int-bits IBoolean = 1
fun int-signed :: IntType \Rightarrow bool where
  int-signed ILong = True \mid
  int-signed IInt = True
  int-signed IShort = True \mid
  int-signed IChar = False
  int-signed IByte = True \mid
  int-signed IBoolean = True
Option 4: int64 with the number of significant bits.
type-synonym iwidth = nat
type-synonym \ objref = nat \ option
datatype (discs-sels) Value =
  UndefVal
 IntVal iwidth int64 |
  ObjRef objref |
  ObjStr string
fun intval-bits :: Value <math>\Rightarrow nat where
  intval-bits (IntVal\ b\ v) = b
fun intval\text{-}word :: Value \Rightarrow int64 where
  intval-word (IntVal\ b\ v) = v
fun bit-bounds :: nat \Rightarrow (int \times int) where
  bit-bounds bits = (((2 \hat{bits}) div 2) * -1, ((2 \hat{bits}) div 2) - 1)
definition logic-negate :: ('a::len) word \Rightarrow 'a word where
 logic-negate x = (if x = 0 then 1 else 0)
fun int-signed-value :: iwidth \Rightarrow int64 \Rightarrow int where
  int-signed-value b v = sint (signed-take-bit (b - 1) v)
```

```
fun int-unsigned-value :: iwidth \Rightarrow int64 \Rightarrow int where int-unsigned-value b v = uint v

Converts an integer word into a Java value.

fun new-int :: iwidth \Rightarrow int64 \Rightarrow Value where
```

new-int b w = IntVal b (take-bit b w)

Converts an integer word into a Java value, iff the two types are equal.

```
fun new-int-bin :: iwidth \Rightarrow iwidth \Rightarrow int64 \Rightarrow Value where new-int-bin b1 b2 w = (if b1=b2 then new-int b1 w else UndefVal)
```

```
fun wf-bool :: Value \Rightarrow bool where wf-bool (IntVal\ b\ w) = (b = 1)\ |\ wf-bool - = False fun val-to-bool :: Value \Rightarrow bool where val-to-bool (IntVal\ b\ val) = (if\ val = 0\ then\ False\ else\ True)\ |\ val-to-bool val = False fun bool-to-val :: bool \Rightarrow Value where bool-to-val\ True = (IntVal\ 32\ 1)\ |\ bool-to-val\ False = (IntVal\ 32\ 0)
```

Converts an Isabelle bool into a Java value, iff the two types are equal.

```
fun bool-to-val-bin :: iwidth \Rightarrow iwidth \Rightarrow bool \Rightarrow Value where bool-to-val-bin t1 t2 b = (if t1 = t2 then bool-to-val b else UndefVal)
```

```
fun is\text{-}int\text{-}val :: Value \Rightarrow bool where} is\text{-}int\text{-}val \ v = is\text{-}IntVal \ v
```

A convenience function for directly constructing -1 values of a given bit size.

```
fun neg\text{-}one :: iwidth \Rightarrow int64 where neg\text{-}one \ b = mask \ b
```

```
lemma neg\text{-}one\text{-}value[simp]: new\text{-}int\ b\ (neg\text{-}one\ b) = IntVal\ b\ (mask\ b) by simp
```

```
lemma neg-one-signed[simp]:

assumes 0 < b

shows int-signed-value b (neg-one b) = -1
```

**by** (smt (verit, best) assms diff-le-self diff-less int-signed-value.simps less-one mask-eq-take-bit-minus-one neg-one.simps nle-le signed-minus-1 signed-take-bit-of-minus-1 signed-take-bit-take-bit verit-comp-simplify1(1))

#### 1.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value \Rightarrow Value \Rightarrow Value where
  intval-add (IntVal b1 v1) (IntVal b2 v2) =
   (if b1 = b2 then Int Val b1 (take-bit b1 (v1+v2)) else Undef Val)
  intval-add - - = UndefVal
fun intval-sub :: Value \Rightarrow Value \Rightarrow Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - = UndefVal
instantiation Value :: minus
begin
definition minus-Value :: Value \Rightarrow Value \Rightarrow Value where
 minus-Value = intval-sub
instance proof qed
end
fun intval-mul :: Value \Rightarrow Value \Rightarrow Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2)
  intval-mul - - = UndefVal
instantiation Value :: times
begin
definition times-Value :: Value \Rightarrow Value \Rightarrow Value where
  times-Value = intval-mul
instance proof qed
```

```
fun intval-div :: Value \Rightarrow Value \Rightarrow Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
       new-int-bin b1 b2 (word-of-int
          ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2))) |
  intval-div - - = UndefVal
instantiation Value :: divide
begin
definition divide-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
  divide-Value = intval-div
instance proof qed
end
fun intval-mod :: Value \Rightarrow Value \Rightarrow Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
       new-int-bin b1 b2 (word-of-int
          ((int-signed-value b1 v1) smod (int-signed-value b2 v2))) |
 intval	ext{-}mod - - = UndefVal
instantiation Value :: modulo
begin
definition modulo-Value :: Value <math>\Rightarrow Value \Rightarrow Value where
 modulo-Value = intval-mod
instance proof qed
end
1.2
       Bitwise Operators and Comparisons
context
 includes bit-operations-syntax
begin
\mathbf{fun} \ \mathit{intval\text{-}and} :: \ \mathit{Value} \Rightarrow \mathit{Value} \Rightarrow \mathit{Value} \ \mathbf{where}
  intval-and (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) = new-int-bin\ b1\ b2\ (v1\ AND\ v2)
  intval-and - - = UndefVal
fun intval\text{-}or :: Value \Rightarrow Value \Rightarrow Value where
  intval-or (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1 OR v2)
```

```
intval-or - - = UndefVal
\mathbf{fun} \ \mathit{intval\text{-}xor} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \\ \mathbf{where}
       intval-xor (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1 XOR v2)
       intval-xor - - = UndefVal
fun intval-short-circuit-or :: Value \Rightarrow Value \Rightarrow Value where
       intval-short-circuit-or (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (((v1)
\neq 0) \vee (v2 \neq 0)))
       intval-short-circuit-or - - = UndefVal
fun intval-equals :: Value \Rightarrow Value \Rightarrow Value where
       intval-equals (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 = v2) |
       intval-equals - - = UndefVal
fun intval-less-than :: Value \Rightarrow Value \Rightarrow Value where
       intval-less-than (IntVal b1 v1) (IntVal b2 v2) =
              bool-to-val-bin\ b1\ b2\ (int-signed-value\ b1\ v1 < int-signed-value\ b2\ v2)
       intval-less-than - - = UndefVal
fun intval-below :: Value <math>\Rightarrow Value \Rightarrow Value where
       intval-below (IntVal \ b1 \ v1) (IntVal \ b2 \ v2) = bool-to-val-bin \ b1 \ b2 \ (v1 < v2)
       intval-below - - = UndefVal
fun intval-not :: Value \Rightarrow Value where
       intval-not (IntVal\ t\ v) = new-int t\ (NOT\ v)
       intval-not - = UndefVal
fun intval-negate :: Value \Rightarrow Value where
       intval-negate (IntVal\ t\ v) = new-int\ t\ (-\ v)
       intval-negate - = UndefVal
fun intval-abs :: Value \Rightarrow Value where
       intval-abs\ (IntVal\ t\ v) = new-int\ t\ (if\ int-signed-value\ t\ v < 0\ then\ -\ v\ else\ v)
       intval-abs - = UndefVal
\mathbf{fun} \ \mathit{intval\text{-}conditional} :: \ \mathit{Value} \Rightarrow \ \mathit{V
       intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)
TODO: clarify which widths this should work on: just 1-bit or all?
fun intval-logic-negation :: Value \Rightarrow Value where
       intval-logic-negation (IntVal b v) = new-int b (logic-negate v)
       intval-logic-negation - = UndefVal
```

#### 1.3 Narrowing and Widening Operators

Note: we allow these operators to have inBits=outBits, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

```
value sint(signed-take-bit \ 0 \ (1 :: int32))
\mathbf{fun} \ \mathit{intval\text{-}narrow} :: \ \mathit{nat} \Rightarrow \mathit{nat} \Rightarrow \mathit{Value} \Rightarrow \mathit{Value} \ \mathbf{where}
  intval-narrow inBits outBits (IntVal \ b \ v) =
    (if\ inBits = b \land 0 < outBits \land outBits \leq inBits \land inBits \leq 64
     then new-int outBits v
     else UndefVal) |
  intval-narrow - - - = UndefVal
value intval(intval-narrow 16 8 (IntVal32 (512 - 2)))
value sint (signed-take-bit 7 ((256 + 128) :: int64))
fun intval-sign-extend :: nat <math>\Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if\ inBits = b \land 0 < inBits \land inBits \leq outBits \land outBits \leq 64
     then new-int outBits (signed-take-bit (inBits -1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal
fun intval-zero-extend :: nat \Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-zero-extend inBits outBits (IntVal\ b\ v) =
    (if\ inBits = b \land 0 < inBits \land inBits \leq outBits \land outBits \leq 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal
Some well-formedness results to help reasoning about narrowing and widen-
ing operators
lemma intval-narrow-ok:
  assumes intval-narrow inBits outBits val \neq UndefVal
 shows 0 < outBits \land outBits \le inBits \land inBits \le 64 \land outBits \le 64 \land
       is-IntVal val \land
       intval	ext{-}bits\ val=inBits
  using assms intval-narrow.simps neq0-conv intval-bits.simps
  by (metis Value.disc(2) intval-narrow.elims le-trans)
lemma intval-sign-extend-ok:
  assumes intval-sign-extend inBits outBits val \neq UndefVal
 shows \theta < inBits \wedge
        inBits \leq outBits \land outBits \leq 64 \land
       is-IntVal val \land
       intval-bits val = inBits
  using assms intval-sign-extend.simps neq0-conv
  by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def)
```

```
lemma intval-zero-extend-ok:
 assumes intval-zero-extend inBits outBits val \neq UndefVal
 shows 0 < inBits \land
      inBits \leq outBits \land outBits \leq 64 \land
      is-IntVal val \land
      intval-bits val = inBits
 using assms intval-sign-extend.simps neq0-conv
 by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def)
1.4
      Bit-Shifting Operators
definition shiftl (infix <<75) where
 shiftl \ w \ n = (push-bit \ n) \ w
lemma shiftl-power[simp]: (x::('a::len) \ word) * (2 \ \hat{} j) = x << j
 unfolding shiftl-def apply (induction j)
  apply simp unfolding funpow-Suc-right
 by (metis (no-types, opaque-lifting) push-bit-eq-mult)
lemma (x::('a::len) word) * ((2 ^j) + 1) = x << j + x
 by (simp add: distrib-left)
lemma (x::('a::len) word) * ((2 ^j) - 1) = x << j - x
 by (simp add: right-diff-distrib)
lemma (x::('a::len) \ word) * ((2\hat{j}) + (2\hat{k})) = x << j + x << k
 by (simp add: distrib-left)
lemma (x::('a::len) word) * ((2\hat{j}) - (2\hat{k})) = x << j - x << k
 by (simp add: right-diff-distrib)
definition shiftr (infix >>> 75) where
 shiftr \ w \ n = (drop-bit \ n) \ w
value (255 :: 8 word) >>> (2 :: nat)
```

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

**definition** signed-shiftr :: 'a :: len word  $\Rightarrow$  nat  $\Rightarrow$  'a :: len word (infix >> 75)

signed-shiftr w n = word-of-int ((<math>sint w)  $div (2 ^n)$ )

where

**value** (128 :: 8 word) >> 2

```
fun shift-amount :: iwidth \Rightarrow int64 \Rightarrow nat where
 shift-amount b val = unat (val AND (if b = 64 then 0x3F else 0x1f))
fun intval-left-shift :: Value \Rightarrow Value \Rightarrow Value where
 intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount)
b1 \ v2) \ |
 intval-left-shift - - = UndefVal
Signed shift is more complex, because we sometimes have to insert 1 bits at
the correct point, which is at b1 bits.
\mathbf{fun} \ \mathit{intval\text{-}right\text{-}shift} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value}
 intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = mask\ b1\ AND\ (NOT\ (mask\ (b1-shift)::int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (ones OR (v1 >>> shift))
      else new-int b1 (v1 >>> shift)))
 intval-right-shift - - = UndefVal
fun intval-uright-shift :: Value \Rightarrow Value \Rightarrow Value where
 intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
 intval-uright-shift - - = UndefVal
end
2
     Examples of Narrowing / Widening Functions
experiment begin
corollary intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp
corollary intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp
corollary intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp
corollary intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp
corollary intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp
corollary intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp
corollary intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp
end
experiment begin
corollary intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2^32 -
128) by simp
corollary intval-sign-extend 8 32 (Int Val 8 (-2)) = Int Val 32 (2^32 - 2) by simp
corollary intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp
corollary intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp
```

```
corollary intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp corollary intval-sign-extend 8 64 (IntVal 32 254) = UndefVal by simp corollary intval-sign-extend 8 64 (IntVal 8 254) = IntVal 64 (-2) by simp corollary intval-sign-extend 32 64 (IntVal 32 (2^32 - 2)) = IntVal 64 (-2) by simp corollary intval-sign-extend 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp end
```

#### experiment begin

```
corollary intval-zero-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 128 by simp corollary intval-zero-extend 8 32 (IntVal 8 (-2)) = IntVal 32 254 by simp corollary intval-zero-extend 1 32 (IntVal 1 (-1)) = IntVal 32 1 by simp corollary intval-zero-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp
```

```
corollary intval-zero-extend 8 32 (IntVal 64 (-2)) = UndefVal by simp corollary intval-zero-extend 8 64 (IntVal 64 (-2)) = UndefVal by simp corollary intval-zero-extend 8 64 (IntVal 8 254) = IntVal 64 254 by simp corollary intval-zero-extend 32 64 (IntVal 32 (2^32 - 2)) = IntVal 64 (2^32 - 2) by simp corollary intval-zero-extend 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp end
```

#### experiment begin

```
corollary intval-right-shift (IntVal 8 128) (IntVal 8 0) = IntVal 8 128 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 1) = IntVal 8 192 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 2) = IntVal 8 224 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 8) = IntVal 8 255 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 31) = IntVal 8 255 by eval end
```

```
lemma intval-add-sym:
```

```
shows intval-add a b = intval-add b a by (induction \ a; induction \ b; auto \ simp: add.commute)
```

 ${f code-deps}\ intval-add$   ${f code-thms}\ intval-add$ 

```
lemma intval-add (IntVal 32 (2^31-1)) (IntVal 32 (2^31-1)) = IntVal 32 (2^32 - 2) by eval
```

```
lemma intval-add (IntVal 64 (2^31-1)) (IntVal 64 (2^31-1)) = IntVal 64 4294967294 by eval
```

end

#### 3 Nodes

#### 3.1 Types of Nodes

```
\begin{array}{c} \textbf{theory} \ IRNodes \\ \textbf{imports} \\ \textit{Values} \\ \textbf{begin} \end{array}
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs\_of and successors\_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
datatype (discs-sels) IRNode =
 AbsNode (ir-value: INPUT)
  AddNode (ir-x: INPUT) (ir-y: INPUT)
  AndNode\ (ir-x:INPUT)\ (ir-y:INPUT)
  BeginNode (ir-next: SUCC)
 \mid BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
 ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
 | ConstantNode (ir-const: Value)
```

```
DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  \mid EndNode
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
    | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:
INPUT-STATE list option)
 | IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC)
     IntegerBelowNode (ir-x: INPUT) (ir-y: INPUT)
     IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
   | IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
    | InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC)
 | InvokeWithExceptionNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt:
INPUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC) (ir-exceptionEdge: SUCC)
     IsNullNode (ir-value: INPUT)
     KillingBeginNode (ir-next: SUCC)
   | LeftShiftNode (ir-x: INPUT) (ir-y: INPUT)
    | LoadFieldNode (ir-nid: ID) (ir-field: string) (ir-object-opt: INPUT option)
(ir-next: SUCC)
   | LogicNegationNode (ir-value: INPUT-COND)|
  | LoopBeginNode (ir-ends: INPUT-ASSOC list) (ir-overflowGuard-opt: INPUT-GUARD
option) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
   | LoopEndNode (ir-loopBegin: INPUT-ASSOC)
  | LoopExitNode\ (ir-loopBegin:\ INPUT-ASSOC)\ (ir-stateAfter-opt:\ INPUT-STATE) | LoopExitNode\ (ir-loopBegin:\ INPUT-STATE) | LoopExi
option) (ir-next: SUCC)
    | MergeNode (ir-ends: INPUT-ASSOC list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
     MethodCallTargetNode (ir-targetMethod: string) (ir-arguments: INPUT list)
     MulNode (ir-x: INPUT) (ir-y: INPUT)
     NarrowNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
     NegateNode (ir-value: INPUT)
    NewArrayNode (ir-length: INPUT) (ir-stateBefore-opt: INPUT-STATE option)
(ir-next: SUCC)
    NewInstanceNode (ir-nid: ID) (ir-instanceClass: string) (ir-stateBefore-opt: IN-
PUT-STATE option) (ir-next: SUCC)
     NotNode (ir-value: INPUT)
     OrNode\ (ir-x:INPUT)\ (ir-y:INPUT)
     ParameterNode (ir-index: nat)
     PiNode (ir-object: INPUT) (ir-guard-opt: INPUT-GUARD option)
    | ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
     RightShiftNode (ir-x: INPUT) (ir-y: INPUT)
     ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
     SignExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
```

```
| SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  | SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
   SubNode (ir-x: INPUT) (ir-y: INPUT)
   UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
   UnwindNode (ir-exception: INPUT)
   ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
   ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
   XorNode (ir-x: INPUT) (ir-y: INPUT)
   ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
   NoNode
 | RefNode (ir-ref:ID)
fun opt-to-list :: 'a option \Rightarrow 'a list where
 opt-to-list None = [] |
 opt-to-list (Some \ v) = [v]
fun opt-list-to-list :: 'a list option \Rightarrow 'a list where
 opt-list-to-list None = [] |
 opt-list-to-list (Some \ x) = x
The following functions, inputs_of and successors_of, are automatically gen-
erated from the GraalVM compiler. Their purpose is to partition the node
edges into input or successor edges.
fun inputs-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 inputs-of-AbsNode:
 inputs-of (AbsNode value) = [value]
 inputs-of-AddNode:
 inputs-of (AddNode\ x\ y) = [x,\ y]
 inputs-of-AndNode:
 inputs-of (AndNode \ x \ y) = [x, \ y] \mid
 inputs-of-BeginNode:
 inputs-of (BeginNode next) = [] |
 inputs-of-BytecodeExceptionNode:
  inputs-of\ (BytecodeExceptionNode\ arguments\ stateAfter\ next) = arguments\ @
(opt-to-list stateAfter)
 inputs-of-Conditional Node:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-option = falseValue]
```

```
Value, falseValue
   inputs-of-ConstantNode:
   inputs-of (ConstantNode \ const) = [] |
   inputs-of-DynamicNewArrayNode:
    inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
   inputs-of-EndNode:
   inputs-of (EndNode) = [] |
   inputs-of	ext{-}ExceptionObjectNode:
   inputs-of\ (ExceptionObjectNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
   inputs-of	ext{-}FrameState:
  inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
virtualObjectMappings)
   inputs-of-IfNode:
   inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition]
   inputs-of-IntegerBelowNode:
   inputs-of\ (IntegerBelowNode\ x\ y) = [x,\ y]\ |
   inputs-of-IntegerEqualsNode:
   inputs-of\ (IntegerEqualsNode\ x\ y) = [x,\ y]\ |
   inputs-of-IntegerLessThanNode:
   inputs-of\ (IntegerLessThanNode\ x\ y) = [x,\ y]\ |
   inputs-of-InvokeNode:
     inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list
stateAfter)
   inputs-of-Invoke\ With Exception\ Node:
  inputs-of\ (InvokeWithExceptionNode\ nid0\ callTarget\ classInit\ stateDuring\ stateAfter
next\ exceptionEdge) = callTarget\ \#\ (opt-to-list\ classInit)\ @\ (opt-to-list\ stateDur-to-list\ s
ing) @ (opt-to-list stateAfter) |
   inputs-of-IsNullNode:
   inputs-of (IsNullNode value) = [value]
   inputs-of-KillingBeginNode:
   inputs-of (KillingBeginNode next) = []
   inputs-of-LeftShiftNode:
   inputs-of (LeftShiftNode x y) = [x, y] |
   inputs-of-LoadFieldNode:
   inputs-of (LoadFieldNode \ nid0 \ field \ object \ next) = (opt-to-list \ object)
   inputs-of-LogicNegationNode:
   inputs-of\ (LogicNegationNode\ value) = [value]\ |
   inputs-of-LoopBeginNode:
  inputs-of (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = ends\ @\ (opt-to-list
overflowGuard) @ (opt-to-list stateAfter) |
   inputs-of\text{-}LoopEndNode:
   inputs-of (LoopEndNode\ loopBegin) = [loopBegin]
   inputs-of-LoopExitNode:
    inputs-of (LoopExitNode\ loopBegin\ stateAfter\ next) = loopBegin\ \#\ (opt-to-list
stateAfter)
```

```
inputs-of-MergeNode:
 inputs-of\ (MergeNode\ ends\ stateAfter\ next) = ends\ @\ (opt-to-list\ stateAfter)\ |
 inputs-of-Method Call Target Node:
 inputs-of (MethodCallTargetNode targetMethod arguments) = arguments
 inputs-of-MulNode:
 inputs-of (MulNode x y) = [x, y] |
 inputs-of-NarrowNode:
 inputs-of\ (NarrowNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-NegateNode:
 inputs-of (NegateNode \ value) = [value] \mid
 inputs-of-NewArrayNode:
 inputs-of (NewArrayNode\ length0\ stateBefore\ next) = length0\ \#\ (opt-to-list\ state-
Before) \mid
 inputs-of-NewInstanceNode:
 inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list
stateBefore)
 inputs-of-NotNode:
 inputs-of (NotNode value) = [value]
 inputs-of-OrNode:
 inputs-of (OrNode\ x\ y) = [x,\ y]
 inputs-of-ParameterNode:
 inputs-of\ (ParameterNode\ index) = []
 inputs-of-PiNode:
 inputs-of\ (PiNode\ object\ guard) = object\ \#\ (opt-to-list\ guard)
 inputs-of-ReturnNode:
  inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap)
 inputs-of-RightShiftNode:
 inputs-of (RightShiftNode \ x \ y) = [x, \ y] \mid
 inputs-of	ext{-}ShortCircuitOrNode:
 inputs-of\ (ShortCircuitOrNode\ x\ y) = [x,\ y]
 inputs-of-SignExtendNode:
 inputs-of\ (SignExtendNode\ inputBits\ resultBits\ value) = [value]
 inputs-of	ext{-}SignedDivNode:
  inputs-of (SignedDivNode nid0 \ x \ y \ zeroCheck \ stateBefore \ next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-SignedRemNode:
  inputs-of (SignedRemNode nid0 \ x \ y \ zeroCheck \ stateBefore \ next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of	ext{-}StartNode:
 inputs-of\ (StartNode\ stateAfter\ next) = (opt-to-list\ stateAfter)\ |
 inputs-of-StoreFieldNode:
  inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt\text{-}to\text{-}list\ stateAfter) @ (opt\text{-}to\text{-}list\ object) \mid
 inputs-of	ext{-}SubNode:
 inputs-of\ (SubNode\ x\ y) = [x,\ y]\ |
 inputs-of-UnsignedRightShiftNode:
 inputs-of (UnsignedRightShiftNode \ x \ y) = [x, y] 
 inputs-of-UnwindNode:
```

```
inputs-of (UnwindNode exception) = [exception]
 inputs-of-ValuePhiNode:
 inputs-of (ValuePhiNode nid0 values merge) = merge # values |
 inputs-of-ValueProxyNode:
 inputs-of\ (ValueProxyNode\ value\ loopExit) = [value,\ loopExit]\ |
 inputs-of-XorNode:
 inputs-of\ (XorNode\ x\ y) = [x,\ y]\ |
 inputs-of-ZeroExtendNode:
 inputs-of\ (ZeroExtendNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-NoNode: inputs-of (NoNode) = []
 inputs-of-RefNode: inputs-of (RefNode ref) = [ref]
fun successors-of :: IRNode \Rightarrow ID list where
 successors-of-AbsNode:
 successors-of (AbsNode\ value) = []
 successors-of-AddNode:
 successors-of (AddNode\ x\ y) = []
 successors-of-AndNode:
 successors-of (AndNode \ x \ y) = [] |
 successors-of-BeginNode:
 successors-of (BeginNode\ next) = [next]
 successors-of-BytecodeExceptionNode:
 successors-of (BytecodeExceptionNode arguments stateAfter next) = [next]
 successors-of-ConditionalNode:
 successors-of (ConditionalNode condition trueValue\ falseValue) = []
 successors-of-ConstantNode:
 successors-of (ConstantNode const) = []
 successors-of-DynamicNewArrayNode:
 successors-of (DynamicNewArrayNode\ elementType\ length0\ voidClass\ stateBefore
next) = [next]
 successors-of-EndNode:
 successors-of (EndNode) = []
 successors-of-ExceptionObjectNode:
 successors-of (ExceptionObjectNode\ stateAfter\ next) = [next]
 successors-of-FrameState:
 successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
 successors-of-IfNode:
 successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor
 successors-of-IntegerBelowNode:
 successors-of (IntegerBelowNode \ x \ y) = [] |
 successors-of-IntegerEqualsNode:
 successors-of (IntegerEqualsNode \ x \ y) = []
 successors-of-IntegerLessThanNode:
 successors-of (IntegerLessThanNode \ x \ y) = [] |
```

```
successors-of-InvokeNode:
 successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next]
 successors-of-Invoke With Exception Node:
  successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter\ next\ exceptionEdge) = [next,\ exceptionEdge]
 successors-of-IsNullNode:
 successors-of (IsNullNode\ value) = []
 successors-of-KillingBeginNode:
 successors-of (KillingBeginNode\ next) = [next]
 successors-of-LeftShiftNode:
 successors-of (LeftShiftNode x y) = [] |
 successors-of-LoadFieldNode:
 successors-of (LoadFieldNode nid0 field object next) = [next]
 successors-of-LogicNegationNode:
 successors-of (LogicNegationNode\ value) = []
 successors-of-LoopBeginNode:
 successors-of (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = \lceil next \rceil
 successors-of-LoopEndNode:
 successors-of (LoopEndNode\ loopBegin) = []
 successors-of-LoopExitNode:
 successors-of (LoopExitNode\ loopBegin\ stateAfter\ next) = [next]
 successors-of-MergeNode:
 successors-of (MergeNode\ ends\ stateAfter\ next) = [next]
 successors-of-MethodCallTargetNode:
 successors-of (MethodCallTargetNode\ targetMethod\ arguments) = []
 successors-of-MulNode:
 successors-of (MulNode x y) = []
 successors-of-NarrowNode:
 successors-of (NarrowNode\ inputBits\ resultBits\ value) = []
 successors-of-NegateNode:
 successors-of (NegateNode\ value) = []
 successors-of-NewArrayNode:
 successors-of (NewArrayNode\ length0\ stateBefore\ next) = [next]
 successors-of-NewInstanceNode:
 successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next]
 successors-of-NotNode:
 successors-of (NotNode value) = [] |
 successors-of-OrNode:
 successors-of (OrNode \ x \ y) = [] |
 successors-of-ParameterNode:
 successors-of (ParameterNode\ index) = [] |
 successors-of-PiNode:
 successors-of (PiNode object guard) = [] |
 successors-of-ReturnNode:
 successors-of (ReturnNode\ result\ memoryMap) = []
 successors-of-RightShiftNode:
 successors-of (RightShiftNode x y) = [] |
 successors-of-ShortCircuitOrNode:
```

```
successors-of (ShortCircuitOrNode\ x\ y) = []
 successors-of-SignExtendNode:
 successors-of (SignExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-SignedDivNode:
 successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next]
 successors-of-SignedRemNode:
 successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next]
 successors-of-StartNode:
 successors-of (StartNode\ stateAfter\ next) = [next]
 successors-of-StoreFieldNode:
 successors-of (StoreFieldNode nid0 field value stateAfter\ object\ next) = [next]
 successors-of-SubNode:
 successors-of (SubNode x y) = [] |
 successors-of-UnsignedRightShiftNode:
 successors-of (UnsignedRightShiftNode\ x\ y) = []
 successors-of-UnwindNode:
 successors-of (UnwindNode\ exception) = []
 successors-of-ValuePhiNode:
 successors-of (ValuePhiNode nid0 values merge) = [] |
 successors-of-ValueProxyNode:
 successors-of (ValueProxyNode\ value\ loopExit) = []
 successors-of-XorNode:
 successors-of (XorNode \ x \ y) = [] \mid
 successors-of-ZeroExtendNode:
 successors-of (ZeroExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-NoNode: successors-of (NoNode) = []
 successors-of-RefNode: successors-of (RefNode ref) = [ref]
lemma inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z
 unfolding inputs-of-FrameState by simp
lemma successors-of (FrameState x (Some y) (Some z) None) = []
 unfolding inputs-of-FrameState by simp
lemma inputs-of (IfNode c \ t \ f) = [c]
 unfolding inputs-of-IfNode by simp
lemma successors-of (IfNode c\ t\ f) = [t, f]
 unfolding successors-of-IfNode by simp
lemma inputs-of (EndNode) = [] \land successors-of (EndNode) = []
 unfolding inputs-of-EndNode successors-of-EndNode by simp
end
```

#### 3.2 Hierarchy of Nodes

theory IRNodeHierarchy imports IRNodes begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is < ClassName > Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
\mathbf{fun} \ \textit{is-EndNode} :: IRNode \Rightarrow \textit{bool} \ \mathbf{where}
  is-EndNode \ EndNode = True
  is-EndNode - = False
fun is-VirtualState :: IRNode \Rightarrow bool where
  is-VirtualState n = ((is-FrameState n))
fun is-BinaryArithmeticNode :: IRNode <math>\Rightarrow bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-MulNode
n) \ \lor \ (is\text{-}OrNode\ n) \ \lor \ (is\text{-}SubNode\ n) \ \lor \ (is\text{-}XorNode\ n))
fun is-ShiftNode :: IRNode \Rightarrow bool where
 is-ShiftNode n = ((is-LeftShiftNode n) \lor (is-RightShiftNode n) \lor (is-UnsignedRightShiftNode
n))
fun is-BinaryNode :: IRNode \Rightarrow bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n) \lor (is-ShiftNode n))
fun is-AbstractLocalNode :: IRNode <math>\Rightarrow bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))
fun is-IntegerConvertNode :: IRNode \Rightarrow bool where
   is-IntegerConvertNode n = ((is-NarrowNode n) \lor (is-SignExtendNode n) \lor
(is-ZeroExtendNode\ n))
fun is-UnaryArithmeticNode :: IRNode <math>\Rightarrow bool where
 is-UnaryArithmeticNode n = ((is-AbsNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-UnaryNode :: IRNode \Rightarrow bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) \lor (is-UnaryArithmeticNode n))
```

```
fun is-PhiNode :: IRNode <math>\Rightarrow bool where
    is-PhiNode n = ((is-ValuePhiNode n))
fun is-FloatingGuardedNode :: IRNode <math>\Rightarrow bool where
    is-FloatingGuardedNode n = ((is-PiNode n))
fun is-UnaryOpLogicNode :: IRNode <math>\Rightarrow bool where
    is-UnaryOpLogicNode n = ((is-IsNullNode n))
\mathbf{fun} \ \mathit{is-IntegerLowerThanNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
   \textit{is-IntegerLowerThanNode} \ n = ((\textit{is-IntegerBelowNode} \ n) \ \lor (\textit{is-IntegerLessThanNode} \ n) \
n))
\mathbf{fun} \ \mathit{is-CompareNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
    is\text{-}CompareNode\ n = ((is\text{-}IntegerEqualsNode\ n) \lor (is\text{-}IntegerLowerThanNode\ n))
fun is-BinaryOpLogicNode :: IRNode <math>\Rightarrow bool where
    is-BinaryOpLogicNode n = ((is-CompareNode n))
fun is-LogicNode :: IRNode \Rightarrow bool where
      is\text{-}LogicNode \ n = ((is\text{-}BinaryOpLogicNode \ n) \lor (is\text{-}LogicNegationNode \ n) \lor
(is	ext{-}ShortCircuitOrNode\ n) \lor (is	ext{-}UnaryOpLogicNode\ n))
fun is-ProxyNode :: IRNode <math>\Rightarrow bool where
    is-ProxyNode\ n = ((is-ValueProxyNode\ n))
fun is-FloatingNode :: IRNode <math>\Rightarrow bool where
  is-FloatingNode n = ((is-AbstractLocalNode n) \lor (is-BinaryNode n) \lor (is-ConditionalNode
n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}FloatingGuardedNode\ n) \lor (is\text{-}LogicNode\ n) \lor
(is-PhiNode\ n) \lor (is-ProxyNode\ n) \lor (is-UnaryNode\ n))
fun is-AccessFieldNode :: IRNode <math>\Rightarrow bool where
    is-AccessFieldNode n = ((is-LoadFieldNode n) \lor (is-StoreFieldNode n))
fun is-AbstractNewArrayNode :: IRNode <math>\Rightarrow bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n) \lor (is-NewArrayNode
n))
fun is-AbstractNewObjectNode :: IRNode <math>\Rightarrow bool where
  is-AbstractNewObjectNode\ n=((is-AbstractNewArrayNode\ n)\lor (is-NewInstanceNode\ n)
n))
fun is-IntegerDivRemNode :: IRNode \Rightarrow bool where
    is-IntegerDivRemNode n = ((is-SignedDivNode n) \lor (is-SignedRemNode n))
fun is-FixedBinaryNode :: IRNode <math>\Rightarrow bool where
    is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
fun is-DeoptimizingFixedWithNextNode :: IRNode \Rightarrow bool where
```

```
is-Deoptimizing Fixed With Next Node \ n = ((is-Abstract New Object Node \ n) \lor (is-Fixed Binary Node
n))
fun is-AbstractMemoryCheckpoint :: IRNode <math>\Rightarrow bool where
 is-AbstractMemoryCheckpoint n=((is-BytecodeExceptionNode n) \lor (is-InvokeNode
n))
fun is-AbstractStateSplit :: IRNode \Rightarrow bool where
  is-AbstractStateSplit\ n=((is-AbstractMemoryCheckpoint\ n))
fun is-AbstractMergeNode :: IRNode <math>\Rightarrow bool where
  is-AbstractMergeNode \ n = ((is-LoopBeginNode \ n) \lor (is-MergeNode \ n))
fun is-BeginStateSplitNode :: IRNode <math>\Rightarrow bool where
 is-BeginStateSplitNode n = ((is-AbstractMergeNode n) \lor (is-ExceptionObjectNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}StartNode\ n))
fun is-AbstractBeginNode :: IRNode <math>\Rightarrow bool where
   is-AbstractBeginNode n = ((is-BeginNode n) \lor (is-BeginStateSplitNode n) \lor
(is-KillingBeginNode\ n))
fun is-FixedWithNextNode :: IRNode <math>\Rightarrow bool where
 is-FixedWithNextNode n = ((is-AbstractBeginNode n) \lor (is-AbstractStateSplit n)
\lor (is\text{-}AccessFieldNode\ n) \lor (is\text{-}DeoptimizingFixedWithNextNode\ n))
fun is-WithExceptionNode :: IRNode \Rightarrow bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))
fun is-ControlSplitNode :: IRNode <math>\Rightarrow bool where
  is-ControlSplitNode n = ((is-IfNode n) \lor (is-WithExceptionNode n))
fun is-ControlSinkNode :: IRNode <math>\Rightarrow bool where
  is-ControlSinkNode n = ((is-ReturnNode n) \lor (is-UnwindNode n))
fun is-AbstractEndNode :: IRNode <math>\Rightarrow bool where
  is-AbstractEndNode n = ((is-EndNode n) \lor (is-LoopEndNode n))
fun is-FixedNode :: IRNode <math>\Rightarrow bool where
 is-FixedNode n = ((is-AbstractEndNode n) \lor (is-ControlSinkNode n) \lor (is-ControlSplitNode
n) \lor (is\text{-}FixedWithNextNode} n))
fun is-CallTargetNode :: IRNode <math>\Rightarrow bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))
\mathbf{fun} \ \mathit{is-ValueNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-ValueNode n = ((is-CallTargetNode n) \lor (is-FixedNode n) \lor (is-FloatingNode
n))
fun is-Node :: IRNode \Rightarrow bool where
```

```
is-Node n = ((is-ValueNode n) \lor (is-VirtualState n))
fun is-MemoryKill :: IRNode \Rightarrow bool where
  is-MemoryKill\ n = ((is-AbstractMemoryCheckpoint\ n))
fun is-NarrowableArithmeticNode :: IRNode \Rightarrow bool where
 is-NarrowableArithmeticNode n = ((is-AbsNode n) \lor (is-AddNode n) \lor (is-AndNode
n) \lor (is\text{-}NulNode\ n) \lor (is\text{-}NeqateNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}OrNode\ n) \lor
(is\text{-}ShiftNode\ n) \lor (is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-AnchoringNode :: IRNode \Rightarrow bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))
fun is-DeoptBefore :: IRNode <math>\Rightarrow bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))
fun is-IndirectCanonicalization :: IRNode \Rightarrow bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))
fun is-IterableNodeType :: IRNode <math>\Rightarrow bool where
 is-IterableNodeType n = ((is-AbstractBeqinNode n) \lor (is-AbstractMerqeNode n) \lor
(is	ext{-}FrameState\ n) \lor (is	ext{-}IfNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n) \lor (is	ext{-}InvokeWithExceptionNode\ n)
n) \lor (is\text{-}LoopBeginNode\ n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n)
\lor (is-ParameterNode n) \lor (is-ReturnNode n) \lor (is-ShortCircuitOrNode n))
fun is-Invoke :: IRNode \Rightarrow bool where
  is-Invoke n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode n))
fun is-Proxy :: IRNode \Rightarrow bool where
  is-Proxy n = ((is-ProxyNode n))
fun is-ValueProxy :: IRNode \Rightarrow bool where
  is-ValueProxy n = ((is-PiNode n) \lor (is-ValueProxyNode n))
fun is-ValueNodeInterface :: IRNode \Rightarrow bool where
  is-ValueNodeInterface n = ((is-ValueNode n))
fun is-ArrayLengthProvider :: IRNode \Rightarrow bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) \lor (is-ConstantNode
n))
fun is-StampInverter :: IRNode \Rightarrow bool where
 is-StampInverter n = ((is-IntegerConvertNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-GuardingNode :: IRNode <math>\Rightarrow bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))
fun is-SingleMemoryKill :: IRNode <math>\Rightarrow bool where
```

```
is-SingleMemoryKill n = ((is-BytecodeExceptionNode n) \lor (is-ExceptionObjectNode
n) \lor (is\text{-}InvokeNode\ n) \lor (is\text{-}InvokeWithExceptionNode\ n}) \lor (is\text{-}KillingBeginNode\ n})
n) \lor (is\text{-}StartNode\ n))
fun is-LIRLowerable :: IRNode \Rightarrow bool where
      is-LIRLowerable n = ((is-AbstractBeginNode n) \lor (is-AbstractEndNode n) \lor
(\textit{is-AbstractMergeNode } n) \ \lor \ (\textit{is-BinaryOpLogicNode } n) \ \lor \ (\textit{is-CallTargetNode }
(is\text{-}ConditionalNode\ n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}IfNode\ n) \lor (is\text{-}InvokeNode\ n)
\lor (is\text{-}InvokeWithExceptionNode\ n) \lor (is\text{-}IsNullNode\ n) \lor (is\text{-}LoopBeqinNode\ n) \lor
(is\text{-}PiNode\ n) \lor (is\text{-}ReturnNode\ n) \lor (is\text{-}SignedDivNode\ n) \lor (is\text{-}SignedRemNode\ n)
n) \vee (is\text{-}UnaryOpLogicNode\ n) \vee (is\text{-}UnwindNode\ n))
fun is-GuardedNode :: IRNode <math>\Rightarrow bool where
    is-GuardedNode n = ((is-FloatingGuardedNode n))
fun is-ArithmeticLIRLowerable :: IRNode \Rightarrow bool where
   is-ArithmeticLIRLowerable n = ((is-AbsNode n) \lor (is-BinaryArithmeticNode n) \lor
(is\text{-}IntegerConvertNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n)
fun is-SwitchFoldable :: IRNode <math>\Rightarrow bool where
    is-SwitchFoldable n = ((is-IfNode n))
fun is-VirtualizableAllocation :: IRNode \Rightarrow bool where
    is-VirtualizableAllocation n = ((is-NewArrayNode n) \lor (is-NewInstanceNode n))
fun is-Unary :: IRNode \Rightarrow bool where
   is-Unary n = ((is-LoadFieldNode n) \lor (is-LoqicNegationNode n) \lor (is-UnaryNode
n) \lor (is\text{-}UnaryOpLogicNode } n))
fun is-FixedNodeInterface :: IRNode <math>\Rightarrow bool where
    is-FixedNodeInterface n = ((is-FixedNode n))
fun is-BinaryCommutative :: IRNode <math>\Rightarrow bool where
  is-Binary Commutative n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-IntegerEqualsNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}XorNode\ n))
fun is-Canonicalizable :: IRNode \Rightarrow bool where
   is-Canonicalizable n = ((is-BytecodeExceptionNode n) \lor (is-ConditionalNode n) \lor
(is-DynamicNewArrayNode\ n) \lor (is-PhiNode\ n) \lor (is-PiNode\ n) \lor (is-ProxyNode\ n)
n) \lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-UncheckedInterfaceProvider :: IRNode \Rightarrow bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode
n) \lor (is\text{-}LoadFieldNode\ n) \lor (is\text{-}ParameterNode\ n))
fun is-Binary :: IRNode \Rightarrow bool where
  is-Binary n = ((is-BinaryArithmeticNode n) \lor (is-BinaryNode n) \lor (is-BinaryOpLoqicNode
n) \lor (is\text{-}CompareNode\ n) \lor (is\text{-}FixedBinaryNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
```

```
fun is-ArithmeticOperation :: IRNode \Rightarrow bool where
 is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) \lor (is-IntegerConvertNode
n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n))
fun is-ValueNumberable :: IRNode \Rightarrow bool where
  is-ValueNumberable n = ((is-FloatingNode n) \lor (is-ProxyNode n))
\mathbf{fun} \ \mathit{is-Lowerable} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
   is-Lowerable n = ((is-AbstractNewObjectNode n) \lor (is-AccessFieldNode n) \lor
(is-BytecodeExceptionNode\;n) \lor (is-ExceptionObjectNoden) \lor (is-IntegerDivRemNoden)
n) \vee (is\text{-}UnwindNode\ n))
fun is-Virtualizable :: IRNode <math>\Rightarrow bool where
  is-Virtualizable n = ((is-IsNullNode n) \lor (is-LoadFieldNode n) \lor (is-PiNode n)
\vee (is-StoreFieldNode n) \vee (is-ValueProxyNode n))
\mathbf{fun} \ \mathit{is\text{-}Simplifiable} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-Simplifiable n = ((is-AbstractMergeNode n) \lor (is-BeginNode n) \lor (is-IfNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n) \lor (is\text{-}NewArrayNode\ n))
fun is-StateSplit :: IRNode \Rightarrow bool where
 is-StateSplit\ n = ((is-AbstractStateSplit\ n) \lor (is-BeginStateSplitNode\ n) \lor (is-StoreFieldNode\ n) \lor (is-StoreFieldNode\ n)
n))
fun is-ConvertNode :: IRNode <math>\Rightarrow bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))
fun is-sequential-node :: IRNode \Rightarrow bool where
  is-sequential-node (StartNode - -) = True
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True
  is-sequential-node (LoopBeginNode - - - - -) = True
  is-sequential-node (LoopExitNode - - - -) = True |
  is-sequential-node (MergeNode - - -) = True
  is-sequential-node (RefNode -) = True
  is-sequential-node - = False
The following convenience function is useful in determining if two IRNodes
are of the same type irregardless of their edges. It will return true if both
the node parameters are the same node class.
fun is-same-ir-node-type :: IRNode \Rightarrow IRNode \Rightarrow bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode \ n1) \land (is-AbsNode \ n2)) \lor
  ((is-AddNode\ n1) \land (is-AddNode\ n2)) \lor
```

 $((is-BytecodeExceptionNode\ n1) \land (is-BytecodeExceptionNode\ n2)) \lor$ 

 $((is-AndNode\ n1) \land (is-AndNode\ n2)) \lor ((is-BeginNode\ n1) \land (is-BeginNode\ n2)) \lor$ 

```
((is-ConditionalNode\ n1) \land (is-ConditionalNode\ n2)) \lor
((is\text{-}ConstantNode\ n1) \land (is\text{-}ConstantNode\ n2)) \lor
((is-DynamicNewArrayNode\ n1) \land (is-DynamicNewArrayNode\ n2)) \lor
((is\text{-}EndNode\ n1) \land (is\text{-}EndNode\ n2)) \lor
((is\text{-}ExceptionObjectNode\ n1) \land (is\text{-}ExceptionObjectNode\ n2)) \lor
((is\text{-}FrameState \ n1) \land (is\text{-}FrameState \ n2)) \lor
((is\text{-}IfNode\ n1) \land (is\text{-}IfNode\ n2)) \lor
((is\text{-}IntegerBelowNode\ n1) \land (is\text{-}IntegerBelowNode\ n2)) \lor
((is-IntegerEqualsNode\ n1) \land (is-IntegerEqualsNode\ n2)) \lor
((is\text{-}IntegerLessThanNode\ n1) \land (is\text{-}IntegerLessThanNode\ n2)) \lor
((is\text{-}InvokeNode\ n1) \land (is\text{-}InvokeNode\ n2)) \lor
((is-InvokeWithExceptionNode\ n1) \land (is-InvokeWithExceptionNode\ n2)) \lor
((is\text{-}IsNullNode\ n1) \land (is\text{-}IsNullNode\ n2)) \lor
((is\text{-}KillingBeginNode\ n1) \land (is\text{-}KillingBeginNode\ n2)) \lor
((is\text{-}LoadFieldNode\ n1) \land (is\text{-}LoadFieldNode\ n2)) \lor
((is\text{-}LogicNegationNode\ n1) \land (is\text{-}LogicNegationNode\ n2)) \lor
((is\text{-}LoopBeginNode\ n1) \land (is\text{-}LoopBeginNode\ n2)) \lor
((is\text{-}LoopEndNode\ n1) \land (is\text{-}LoopEndNode\ n2)) \lor
((is\text{-}LoopExitNode\ n1) \land (is\text{-}LoopExitNode\ n2)) \lor
((is\text{-}MergeNode\ n1) \land (is\text{-}MergeNode\ n2)) \lor
((is-MethodCallTargetNode\ n1) \land (is-MethodCallTargetNode\ n2)) \lor
((is\text{-}MulNode\ n1) \land (is\text{-}MulNode\ n2)) \lor
((is\text{-}NegateNode\ n1) \land (is\text{-}NegateNode\ n2)) \lor
((is-NewArrayNode\ n1) \land (is-NewArrayNode\ n2)) \lor
((is-NewInstanceNode\ n1) \land (is-NewInstanceNode\ n2)) \lor
((is\text{-}NotNode\ n1) \land (is\text{-}NotNode\ n2)) \lor
((is\text{-}OrNode\ n1) \land (is\text{-}OrNode\ n2)) \lor
((is-ParameterNode\ n1) \land (is-ParameterNode\ n2)) \lor
((is-PiNode \ n1) \land (is-PiNode \ n2)) \lor
((is\text{-}ReturnNode\ n1) \land (is\text{-}ReturnNode\ n2)) \lor
((is	ext{-}ShortCircuitOrNode\ n1) \land (is	ext{-}ShortCircuitOrNode\ n2)) \lor
((is\text{-}SignedDivNode\ n1) \land (is\text{-}SignedDivNode\ n2)) \lor
((is\text{-}StartNode\ n1) \land (is\text{-}StartNode\ n2)) \lor
((is\text{-}StoreFieldNode\ n1) \land (is\text{-}StoreFieldNode\ n2)) \lor
((is\text{-}SubNode\ n1) \land (is\text{-}SubNode\ n2)) \lor
((is-UnwindNode\ n1) \land (is-UnwindNode\ n2)) \lor
((is-ValuePhiNode\ n1) \land (is-ValuePhiNode\ n2)) \lor
((is-ValueProxyNode\ n1) \land (is-ValueProxyNode\ n2)) \lor
((is\text{-}XorNode\ n1) \land (is\text{-}XorNode\ n2)))
```

end

### 4 Stamp Typing

```
theory Stamp
imports Values
begin
```

The GraalVM compiler uses the Stamp class to store range and type infor-

mation for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
 \begin{array}{l} \textbf{datatype} \; Stamp = \\ VoidStamp \\ | \; IntegerStamp \; (stp-bits: \; nat) \; (stpi-lower: \; int) \; (stpi-upper: \; int) \\ | \; KlassPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; MethodCountersPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; MethodPointersStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; ObjectStamp \; (stp-type: \; string) \; (stp-exactType: \; bool) \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; RawPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; IllegalStamp \\ \\ \textbf{fun} \; \; is\text{-}stamp\text{-}empty \; :: \; Stamp \; \Rightarrow \; bool \; \textbf{where} \\ is\text{-}stamp\text{-}empty \; (IntegerStamp \; b \; lower \; upper) = \; (upper < \; lower) \; | \\ is\text{-}stamp\text{-}empty \; x = \; False \\ \end{array}
```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what StampFactory.forUnsignedInteger does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid\text{-}stamp :: Stamp \Rightarrow bool \text{ where} valid\text{-}stamp \ (IntegerStamp \ bits \ lo \ hi) = (0 < bits \land bits \leq 64 \land fst \ (bit\text{-}bounds \ bits) \leq lo \land lo \leq snd \ (bit\text{-}bounds \ bits) \land fst \ (bit\text{-}bounds \ bits) \leq hi \land hi \leq snd \ (bit\text{-}bounds \ bits)) \mid valid\text{-}stamp \ s = True
```

```
experiment begin corollary bit-bounds 1 = (-1, 0) by simp end
```

```
— A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp \Rightarrow Stamp where
    unrestricted-stamp\ VoidStamp = VoidStamp\ |
     unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False)
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp)
False False)
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp)
False False)
  unrestricted-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp \ type \ alwaysNull)
"" False False False) |
    unrestricted-stamp - = IllegalStamp
fun is-stamp-unrestricted :: Stamp \Rightarrow bool where
    is-stamp-unrestricted s = (s = unrestricted-stamp s)
— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp \Rightarrow Stamp where
    empty-stamp VoidStamp = VoidStamp
  empty-stamp (IntegerStamp \ bits \ lower \ upper) = (IntegerStamp \ bits \ (snd \ (bit-bounds \ upper)))
bits)) (fst (bit-bounds bits))) |
     empty-stamp (KlassPointerStamp nonNull alwaysNull) = <math>(KlassPointerStamp nonNull alwaysNull)
nonNull\ alwaysNull)
  empty-stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull)
nonNull \ alwaysNull)
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp nonNull alwaysNull)
nonNull \ alwaysNull)
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp type exactType nonNull alwaysNull alwaysNull exactType nonNull alwaysNull exactType nonNull alwaysNull exactType nonNull exactType no
'''' True True False) |
    empty-stamp stamp = IllegalStamp
— Calculate the meet stamp of two stamps
fun meet :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
    meet\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
       if b1 \neq b2 then IllegalStamp else
       (IntegerStamp b1 (min l1 l2) (max u1 u2))
   ) |
```

```
meet \ (KlassPointerStamp \ nn1 \ an1) \ (KlassPointerStamp \ nn2 \ an2) = (
   KlassPointerStamp (nn1 \land nn2) (an1 \land an2)
  meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 \ an2) = (
   MethodCountersPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
 meet \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
   MethodPointersStamp (nn1 \land nn2) (an1 \land an2)
 meet \ s1 \ s2 = IllegalStamp
— Calculate the join stamp of two stamps
fun join :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
 join VoidStamp VoidStamp | VoidStamp |
 join (IntegerStamp \ b1 \ l1 \ u1) (IntegerStamp \ b2 \ l2 \ u2) = (
   if b1 \neq b2 then IllegalStamp else
   (IntegerStamp b1 (max l1 l2) (min u1 u2))
 ) |
 join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (KlassPointerStamp nn1 an1))
   else (KlassPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodCountersPointerStamp nn1 an1))
   else (MethodCountersPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodPointersStamp nn1 an1))
   else (MethodPointersStamp (nn1 \lor nn2) (an1 \lor an2))
 join \ s1 \ s2 = IllegalStamp
— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.
fun asConstant :: Stamp \Rightarrow Value where
  asConstant \ (IntegerStamp \ b \ l \ h) = (if \ l = h \ then \ IntVal \ b \ (word-of-int \ l) \ else
UndefVal) |
 asConstant -= UndefVal
```

```
fun alwaysDistinct :: Stamp <math>\Rightarrow Stamp \Rightarrow bool where
    alwaysDistinct\ stamp1\ stamp2 = is\text{-}stamp\text{-}empty\ (join\ stamp1\ stamp2)
— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
     neverDistinct\ stamp1\ stamp2\ =\ (asConstant\ stamp1\ =\ asConstant\ stamp2\ \land
asConstant\ stamp1 \neq UndefVal)
fun constantAsStamp :: Value \Rightarrow Stamp where
   constant As Stamp \ (Int Val \ b \ v) = (Integer Stamp \ b \ (int\text{-}signed\text{-}value \ b \ v) \ (int\text{-}signed\text{-}value \ b \ v)
(b \ v)) \mid
    constantAsStamp -= IllegalStamp
— Define when a runtime value is valid for a stamp. The stamp bounds must be
valid, and val must be zero-extended.
fun valid-value :: Value <math>\Rightarrow Stamp \Rightarrow bool where
    valid-value (IntVal b1 val) (IntegerStamp b l h) =
         (if b1 = b then
             valid-stamp (IntegerStamp \ b \ l \ h) \land 
             take-bit b val = val \land
             l \leq int-signed-value b val \wedge int-signed-value b val \leq h
           else False) |
    valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
         ((alwaysNull \longrightarrow ref = None) \land (ref=None \longrightarrow \neg nonNull))
    valid-value stamp val = False
fun compatible :: Stamp \Rightarrow Stamp \Rightarrow bool where
    compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
        (b1 = b2 \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ hi1) \land valid
b2 lo2 hi2)) |
    compatible (VoidStamp) (VoidStamp) = True \mid
    compatible - - = False
fun stamp-under :: Stamp <math>\Rightarrow Stamp \Rightarrow bool where
    stamp-under \ x \ y = ((stpi-upper \ x) < (stpi-lower \ y))
— The most common type of stamp within the compiler (apart from the Void-
Stamp) is a 32 bit integer stamp with an unrestricted range. We use default-stamp
as it is a frequently used stamp.
definition default-stamp :: Stamp where
    default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
value valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)
```

theory IRGraph imports

### 5 Graph Representation

```
IRNodeHierarchy
    Stamp
    HOL-Library.FSet
    HOL.Relation
begin
This theory defines the main Graal data structure - an entire IR Graph.
IRGraph is defined as a partial map with a finite domain. The finite domain
is required to be able to generate code and produce an interpreter.
\mathbf{typedef}\ \mathit{IRGraph} = \{g :: \mathit{ID} \rightharpoonup (\mathit{IRNode} \times \mathit{Stamp}) \ . \ \mathit{finite}\ (\mathit{dom}\ g)\}
proof -
 have finite(dom(Map.empty)) \land ran\ Map.empty = \{\} by auto
  then show ?thesis
    \mathbf{by} fastforce
qed
setup-lifting type-definition-IRGraph
lift-definition ids :: IRGraph \Rightarrow ID \ set
 is \lambda g. \{nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, \ s))\}.
fun with-default :: 'c \Rightarrow ('b \Rightarrow 'c) \Rightarrow (('a \rightarrow 'b) \Rightarrow 'a \Rightarrow 'c) where
  with-default def conv = (\lambda m \ k.
    (case \ m \ k \ of \ None \Rightarrow def \mid Some \ v \Rightarrow conv \ v))
lift-definition kind :: IRGraph \Rightarrow (ID \Rightarrow IRNode)
  is with-default NoNode fst.
lift-definition stamp :: IRGraph \Rightarrow ID \Rightarrow Stamp
 is with-default IllegalStamp and .
lift-definition add\text{-}node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition remove-node :: ID \Rightarrow IRGraph \Rightarrow IRGraph
 is \lambda nid\ g.\ g(nid:=None) by simp
lift-definition replace-node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
 is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition as-list :: IRGraph \Rightarrow (ID \times IRNode \times Stamp) list
  is \lambda g. map (\lambda k. (k, the (g k))) (sorted-list-of-set (dom g)).
```

```
fun no-node :: (ID \times (IRNode \times Stamp)) list \Rightarrow (ID \times (IRNode \times Stamp)) list
where
  no-node g = filter (\lambda n. fst (snd n) \neq NoNode) g
lift-definition irgraph :: (ID \times (IRNode \times Stamp)) \ list \Rightarrow IRGraph
  is map-of \circ no-node
 by (simp add: finite-dom-map-of)
definition as-set :: IRGraph \Rightarrow (ID \times (IRNode \times Stamp)) set where
  as-set g = \{(n, kind \ g \ n, stamp \ g \ n) \mid n \ . \ n \in ids \ g\}
definition true\text{-}ids :: IRGraph \Rightarrow ID \text{ set } \mathbf{where}
  true-ids\ g = ids\ g - \{n \in ids\ g.\ \exists\ n'\ .\ kind\ g\ n = RefNode\ n'\}
definition domain-subtraction :: 'a set \Rightarrow ('a \times 'b) set \Rightarrow ('a \times 'b) set
  (infix \triangleleft 30) where
  domain-subtraction s \ r = \{(x, y) \ . \ (x, y) \in r \land x \notin s\}
notation (latex)
  domain-subtraction (- \triangleleft -)
code-datatype irgraph
fun filter-none where
 filter-none g = \{nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, s))\}
lemma no-node-clears:
  res = no\text{-}node \ xs \longrightarrow (\forall \ x \in set \ res. \ fst \ (snd \ x) \neq NoNode)
 by simp
lemma dom-eq:
  assumes \forall x \in set \ xs. \ fst \ (snd \ x) \neq NoNode
  shows filter-none (map-of xs) = dom (map-of xs)
  unfolding filter-none.simps using assms map-of-SomeD
 by fastforce
lemma fil-eq:
  filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))
  using no-node-clears
 by (metis dom-eq dom-map-of-conv-image-fst list.set-map)
lemma irgraph[code]: ids (irgraph m) = set (map fst (no-node m))
  unfolding irgraph-def ids-def using fil-eq
  by (smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq
ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq)
lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
```

```
— Get the inputs set of a given node ID
fun inputs :: IRGraph \Rightarrow ID \Rightarrow ID set where
  inputs\ g\ nid = set\ (inputs-of\ (kind\ g\ nid))
— Get the successor set of a given node ID
fun succ :: IRGraph \Rightarrow ID \Rightarrow ID set where
  succ\ g\ nid = set\ (successors-of\ (kind\ g\ nid))
— Gives a relation between node IDs - between a node and its input nodes
fun input\text{-}edges :: IRGraph \Rightarrow ID rel where
  input-edges\ g = (\bigcup i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})
— Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  usages\ q\ nid = \{i.\ i \in ids\ q \land nid \in inputs\ q\ i\}
fun successor\text{-}edges :: IRGraph \Rightarrow ID rel where
  successor\text{-}edges\ g = (\bigcup i \in ids\ g.\ \{(i,j)|j\ .\ j \in (succ\ g\ i)\})
fun predecessors :: IRGraph \Rightarrow ID \Rightarrow ID set where
  predecessors\ g\ nid = \{i.\ i \in ids\ g \land nid \in succ\ g\ i\}
fun nodes-of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  nodes\text{-}of\ g\ sel = \{nid \in ids\ g\ .\ sel\ (kind\ g\ nid)\}
fun edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a where
  edge \ sel \ nid \ g = sel \ (kind \ g \ nid)
fun filtered-inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-inputs g nid f = filter (f \circ (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-successors g nid f = filter (f \circ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
 filtered-usages g nid f = \{n \in (usages \ g \ nid), f \ (kind \ g \ n)\}
fun is-empty :: IRGraph \Rightarrow bool where
  is\text{-}empty\ g = (ids\ g = \{\})
fun any-usage :: IRGraph \Rightarrow ID \Rightarrow ID where
  any-usage q nid = hd (sorted-list-of-set (usages q nid))
lemma ids-some[simp]: x \in ids \ g \longleftrightarrow kind \ g \ x \neq NoNode
proof -
  have that: x \in ids \ g \longrightarrow kind \ g \ x \neq NoNode
    using ids.rep-eq kind.rep-eq by force
  have kind g \ x \neq NoNode \longrightarrow x \in ids \ g
    unfolding with-default.simps kind-def ids-def
    by (cases Rep-IRGraph g x = None; auto)
  from this that show ?thesis by auto
lemma not-in-q:
```

**using** Abs-IRGraph-inverse **by** (simp add: irgraph.rep-eq)

```
assumes nid \notin ids g
 shows kind g nid = NoNode
 using assms ids-some by blast
lemma valid-creation[simp]:
 finite\ (dom\ g) \longleftrightarrow Rep-IRGraph\ (Abs-IRGraph\ g) = g
 using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)
lemma [simp]: finite (ids g)
 using Rep-IRGraph ids.rep-eq by simp
lemma [simp]: finite (ids (irgraph g))
 by (simp add: finite-dom-map-of)
lemma [simp]: finite (dom\ g) \longrightarrow ids\ (Abs\text{-}IRGraph\ g) = \{nid \in dom\ g\ .\ \nexists\ s.\ g
nid = Some (NoNode, s)
 using ids.rep-eq by simp
lemma [simp]: finite (dom\ g) \longrightarrow kind\ (Abs\text{-}IRGraph\ g) = (\lambda x\ .\ (case\ g\ x\ of\ None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 by (simp add: kind.rep-eq)
lemma [simp]: finite (dom g) \longrightarrow stamp (Abs-IRGraph g) = (\lambda x . (case g x of
None \Rightarrow IllegalStamp \mid Some \ n \Rightarrow snd \ n)
 using stamp.abs-eq stamp.rep-eq by auto
lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
 using irgraph by auto
lemma [simp]: kind (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 using irgraph.rep-eq kind.transfer kind.rep-eq by auto
lemma [simp]: stamp (irgraph g) = (\lambdanid. (case (map-of (no-node g)) nid of None
\Rightarrow IllegalStamp | Some n \Rightarrow snd n)
 using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto
lemma map-of-upd: (map\text{-}of\ g)(k\mapsto v)=(map\text{-}of\ ((k,\ v)\ \#\ g))
 by simp
lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) \# g)))
proof (cases fst k = NoNode)
 case True
 then show ?thesis
   by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps replace-node.rep-eq snd-conv)
next
 case False
```

```
then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
      by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
ged
lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) \# g)))
    by (smt (z3) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq
map-of-upd no-node.simps snd-conv)
lemma add-node-lookup:
    gup = add-node nid (k, s) g \longrightarrow
       (if k \neq NoNode then kind gup nid = k \wedge stamp gup nid = s else kind gup nid
= kind \ g \ nid)
proof (cases k = NoNode)
    case True
   then show ?thesis
       by (simp add: add-node.rep-eq kind.rep-eq)
   case False
   then show ?thesis
       by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed
lemma remove-node-lookup:
     gup = remove\text{-}node \ nid \ g \longrightarrow kind \ gup \ nid = NoNode \land stamp \ gup \ nid =
IllegalStamp
   by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)
lemma replace-node-lookup[simp]:
    gup = replace - node \ nid \ (k, s) \ g \land k \neq NoNode \longrightarrow kind \ gup \ nid = k \land stamp
qup \ nid = s
   by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)
lemma replace-node-unchanged:
   gup = replace - node \ nid \ (k, s) \ g \longrightarrow (\forall \ n \in (ids \ g - \{nid\}) \ . \ n \in ids \ g \land n \in ids
gup \wedge kind \ g \ n = kind \ gup \ n)
   by (simp add: kind.rep-eq replace-node.rep-eq)
5.0.1 Example Graphs
Example 1: empty graph (just a start and end node)
\textbf{definition} \ \textit{start-end-graph} {::} \ \textit{IRGraph} \ \textbf{where}
    start-end-graph = irgraph \ [(0, StartNode\ None\ 1, VoidStamp), (1, ReturnNode\ Node\ No
None None, VoidStamp)]
Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]
```

```
definition eg2-sq :: IRGraph where
eg2-sq = irgraph [
    (0, StartNode None 5, VoidStamp),
    (1, ParameterNode 0, default-stamp),
    (4, MulNode 1 1, default-stamp),
    (5, ReturnNode (Some 4) None, default-stamp)
]
```

```
value input-edges eg2-sq
value usages eg2-sq 1
```

#### 5.1 Control-flow Graph Traversal

```
theory
Traversal
imports
IRGraph
begin
```

```
type-synonym Seen = ID set
```

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```
fun nextEdge :: Seen \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID option where 
 <math>nextEdge \ seen \ nid \ g = 
 (let \ nids = (filter \ (\lambda nid'. \ nid' \notin seen) \ (successors-of \ (kind \ g \ nid))) \ in 
 (if \ length \ nids > 0 \ then \ Some \ (hd \ nids) \ else \ None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph \Rightarrow ID \Rightarrow ID \ option \ \mathbf{where}
pred \ g \ nid = (case \ kind \ g \ nid \ of
(MergeNode \ ends - -) \Rightarrow Some \ (hd \ ends) \ |
- \Rightarrow
(if \ IRGraph.predecessors \ g \ nid = \{\}
then \ None \ else
```

```
Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))
)
```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the Conditional Elimination phase

```
type-synonym 'a TraversalState = (ID \times Seen \times 'a)
```

#### inductive Step

 $:: ('a\ TraversalState \Rightarrow 'a) \Rightarrow IRGraph \Rightarrow 'a\ TraversalState \Rightarrow 'a\ TraversalState$  option  $\Rightarrow bool$ 

#### for $sa\ q$ where

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

 $[kind\ g\ nid = BeginNode\ nid';$ 

```
nid \notin seen;
seen' = \{nid\} \cup seen;
Some if cond = pred g nid;
kind g if cond = If Node cond t f;
analysis' = sa (nid, seen, analysis)
\implies Step sa g (nid, seen, analysis) (Some (nid', seen', analysis'))
```

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

```
[kind\ g\ nid\ =\ EndNode;
```

 $seen' = \{nid\} \cup seen;$ 

```
nid \notin seen;
seen' = \{nid\} \cup seen;
nid' = any\text{-}usage \ g \ nid;
analysis' = sa \ (nid, seen, analysis)
\implies Step \ sa \ g \ (nid, seen, analysis) \ (Some \ (nid', seen', analysis')) \ |
- We can find a successor edge that is not in seen, go there
[\neg (is\text{-}EndNode \ (kind \ g \ nid));
\neg (is\text{-}BeginNode \ (kind \ g \ nid));
nid \notin seen;
```

```
Some nid' = nextEdge\ seen'\ nid\ g;
analysis' = sa\ (nid,\ seen,\ analysis)]]
\Rightarrow Step\ sa\ g\ (nid,\ seen,\ analysis)\ (Some\ (nid',\ seen',\ analysis'))\ |
- \text{We can cannot find a successor edge that is not in seen, give back None}
[\neg(is\text{-}EndNode\ (kind\ g\ nid));
\neg(is\text{-}BeginNode\ (kind\ g\ nid));
nid\ \notin\ seen;
seen' = \{nid\}\ \cup\ seen;
None\ = nextEdge\ seen'\ nid\ g]]
\Rightarrow\ Step\ sa\ g\ (nid,\ seen,\ analysis)\ None\ |
- \text{We've already seen this node, give back None}
[nid\ \in\ seen]]\ \Rightarrow\ Step\ sa\ g\ (nid,\ seen,\ analysis)\ None
\texttt{code-pred}\ (modes:\ i\ \Rightarrow\ i\ \Rightarrow\ o\ \Rightarrow\ bool)\ Step\ .
```

## 5.2 Structural Graph Comparison

```
theory
Comparison
imports
IRGraph
begin
```

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

```
fun find-ref-nodes :: IRGraph ⇒ (ID → ID) where
find-ref-nodes g = map\text{-}of
  (map (\lambda n. (n, ir\text{-}ref (kind <math>g n)))) (filter (\lambda id. is\text{-}RefNode (kind <math>g id)) (sorted\text{-}list\text{-}of\text{-}set (ids g))))

fun replace-ref-nodes :: IRGraph ⇒ (ID → ID) ⇒ ID list ⇒ ID list where
replace-ref-nodes g m xs = map (\lambda id. (case (m id) of Some other ⇒ other | None ⇒ id)) xs

fun find-next :: ID list ⇒ ID set ⇒ ID option where
find-next to-see seen = (let l = (filter (\lambda nid. nid \notin seen) to\text{-}see)
  in (case l of [] ⇒ None | xs \Rightarrow Some (hd xs)))

inductive reachables :: IRGraph ⇒ ID list ⇒ ID set ⇒ ID set ⇒ bool where
reachables g [] {} {}
[None = find-next to-see seen] ⇒ reachables g to-see seen seen |
```

```
[Some \ n = find\text{-}next \ to\text{-}see \ seen;]
  node = kind \ g \ n;
  new = (inputs-of \ node) @ (successors-of \ node);
  reachables q (to-see @ new) (\{n\} \cup seen) seen' \parallel \implies reachables q to-see seen
seen'
\mathbf{code\text{-}pred}\ (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool)\ [show\text{-}steps, show\text{-}mode\text{-}inference, show\text{-}intermediate\text{-}results]
reachables.
inductive nodeEq :: (ID \rightarrow ID) \Rightarrow IRGraph \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool
\llbracket kind \ g1 \ n1 = RefNode \ ref; \ nodeEq \ m \ g1 \ ref \ g2 \ n2 \ \rrbracket \implies nodeEq \ m \ g1 \ n1 \ g2 \ n2 \ \rrbracket
[x = kind \ g1 \ n1;
  y = kind \ q2 \ n2;
  is-same-ir-node-type x y;
  replace-ref-nodes\ g1\ m\ (successors-of\ x) = successors-of\ y;
  replace-ref-nodes g1 m (inputs-of x) = inputs-of y \mathbb{I}
  \implies nodeEq \ m \ g1 \ n1 \ g2 \ n2
code-pred [show-modes] nodeEq.
fun diffNodesGraph :: IRGraph <math>\Rightarrow IRGraph \Rightarrow ID set where
diffNodesGraph \ g1 \ g2 = (let \ refNodes = find-ref-nodes \ g1 \ in
    \{ n : n \in Predicate.the (reachables-i-i-i-o g1 [0] \{ \} ) \land (case refNodes n of Some \} \}
- \Rightarrow False \mid - \Rightarrow True \land \neg (nodeEq \ refNodes \ g1 \ n \ g2 \ n) \})
fun diffNodesInfo :: IRGraph \Rightarrow IRGraph \Rightarrow (ID \times IRNode \times IRNode) set where
diffNodesInfo\ g1\ g2 = \{(nid,\ kind\ g1\ nid,\ kind\ g2\ nid)\mid nid\ .\ nid\in diffNodesGraph\}
g1 g2}
fun eqGraph :: IRGraph \Rightarrow IRGraph \Rightarrow bool where
eqGraph \ isabelle-graph \ graal-graph = ((diffNodesGraph \ isabelle-graph \ graal-graph)
= \{\})
```

 $\mathbf{end}$ 

# 6 Data-flow Semantics

theory IRTreeEval imports Graph.Stamp begin

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently

called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph. As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym ID = nat

type-synonym MapState = ID \Rightarrow Value

type-synonym Params = Value\ list

definition new-map-state :: MapState\ where

new-map-state = (\lambda x.\ UndefVal)
```

## 6.1 Data-flow Tree Representation

```
datatype IRUnaryOp =
   UnaryAbs
   UnaryNeg
   UnaryNot
   UnaryLogicNegation
   UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat)
   UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)
  UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
datatype IRBinaryOp =
   BinAdd
   BinMul
   BinSub
   BinAnd
   BinOr
   BinXor
   BinShortCircuitOr
   BinLeftShift
   BinRightShift
   Bin URight Shift
   BinIntegerEquals
   BinIntegerLessThan
  BinIntegerBelow
datatype (discs-sels) IRExpr =
```

```
UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
   BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
   ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)
 | ParameterExpr (ir-index: nat) (ir-stamp: Stamp)
 | LeafExpr (ir-nid: ID) (ir-stamp: Stamp)
   ConstantExpr (ir-const: Value)
   Constant Var (ir-name: string)
   VariableExpr (ir-name: string) (ir-stamp: Stamp)
fun is-ground :: IRExpr \Rightarrow bool where
 is-ground (UnaryExpr op e) = is-ground e
 is-ground (BinaryExpr op e1 e2) = (is-ground e1 \land is-ground e2)
 is-ground (ConditionalExpr b e1 e2) = (is-ground b \land is-ground e1 \land is-ground
 is-ground (ParameterExpr\ i\ s) = True\ |
 is-ground (LeafExpr n s) = True
 is-ground (ConstantExpr\ v) = True
 is-ground (ConstantVar\ name) = False |
 is-ground (VariableExpr\ name\ s) = False
typedef GroundExpr = \{ e :: IRExpr . is-ground e \}
 using is-ground.simps(6) by blast
```

## 6.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Most operators have the same output bits as their inputs. But the following  $fixed_32$  binary operators always output 32 bits. And the unary operators that are not  $normal_unary$  are narrowing or widening operators, so the result bits is specified by the operator.

```
abbreviation fixed-32 :: IRBinaryOp set where
fixed-32 \equiv {BinShortCircuitOr, BinIntegerEquals, BinIntegerLessThan, BinIntegerBelow}
abbreviation normal-unary :: IRUnaryOp set where
normal-unary \equiv {UnaryAbs, UnaryNeg, UnaryNot, UnaryLogicNegation}
fun stamp-unary :: IRUnaryOp \Rightarrow Stamp \Rightarrow Stamp where
stamp-unary op (IntegerStamp b lo hi) =
unrestricted-stamp (IntegerStamp (if op \in normal-unary then b else (ir-resultBits op)) lo hi) |
```

```
stamp-unary op -= IllegalStamp
fun stamp-binary :: IRBinaryOp \Rightarrow Stamp \Rightarrow Stamp \Rightarrow Stamp where
 stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
   (if (b1 \neq b2) then IllegalStamp else
     (if op \in fixed-32
      then unrestricted-stamp (IntegerStamp 32 lo1 hi1)
      else unrestricted-stamp (IntegerStamp b1 lo1 hi1))) |
 stamp-binary op - - = IllegalStamp
fun stamp-expr :: IRExpr \Rightarrow Stamp where
 stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x) \mid
 stamp-expr\ (BinaryExpr\ bop\ x\ y) = stamp-binary\ bop\ (stamp-expr\ x)\ (stamp-expr\ x)
y)
 stamp-expr (ConstantExpr val) = constantAsStamp val |
 stamp-expr(LeafExpr(i s) = s)
 stamp-expr (ParameterExpr i s) = s \mid
 stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)
export-code stamp-unary stamp-binary stamp-expr
      Data-flow Tree Evaluation
6.3
fun unary-eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value where
 unary-eval UnaryAbs\ v = intval-abs\ v \mid
 unary-eval \ UnaryNeg \ v = intval-negate \ v \mid
 unary-eval \ UnaryNot \ v = intval-not \ v \mid
 unary-eval\ UnaryLogicNegation\ v=intval-logic-negation\ v
 unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v
 unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits outBits
 unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits outBits
fun bin-eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value where
 bin-eval BinAdd\ v1\ v2 = intval-add v1\ v2
 bin-eval BinMul v1 v2 = intval-mul v1 v2 |
 bin-eval\ BinSub\ v1\ v2 = intval-sub\ v1\ v2
 bin-eval BinAnd\ v1\ v2 = intval-and v1\ v2
 bin-eval\ BinOr\ v1\ v2=intval-or\ v1\ v2
 bin-eval BinXor\ v1\ v2 = intval-xor v1\ v2
 bin-eval\ BinShortCircuitOr\ v1\ v2=intval-short-circuit-or\ v1\ v2
 bin-eval\ BinLeftShift\ v1\ v2=intval-left-shift\ v1\ v2
 bin-eval\ BinRightShift\ v1\ v2=intval-right-shift\ v1\ v2
 bin-eval\ BinURightShift\ v1\ v2=intval-uright-shift\ v1\ v2
```

 $bin-eval\ BinIntegerEquals\ v1\ v2 = intval-equals\ v1\ v2$ 

```
lemmas eval-thms =
  intval-abs.simps\ intval-negate.simps\ intval-not.simps
  intval	ext{-}logic	ext{-}negation.simps intval	ext{-}narrow.simps
  intval-sign-extend.simps intval-zero-extend.simps
  intval-add.simps intval-mul.simps intval-sub.simps
  intval-and.simps intval-or.simps intval-xor.<math>simps
  intval-left-shift.simps intval-right-shift.simps
  intval-uright-shift.simps intval-equals.simps
  intval\mbox{-}less\mbox{-}than.simps\ intval\mbox{-}below.simps
inductive not-undef-or-fail :: Value \Rightarrow Value \Rightarrow bool where
  \llbracket value \neq UndefVal \rrbracket \implies not\text{-}undef\text{-}or\text{-}fail\ value\ value}
notation (latex output)
  not-undef-or-fail (- = -)
inductive
  evaltree :: MapState \Rightarrow Params \Rightarrow IRExpr \Rightarrow Value \Rightarrow bool ([-,-] \vdash - \mapsto -55)
  for m p where
  ConstantExpr:
  \llbracket valid\text{-}value\ c\ (constantAsStamp\ c) 
bracket
    \implies [m,p] \vdash (ConstantExpr\ c) \mapsto c
  ParameterExpr:
  [i < length p; valid-value (p!i) s]
    \implies [m,p] \vdash (ParameterExpr\ i\ s) \mapsto p!i
  Conditional Expr:
  \llbracket [m,p] \vdash ce \mapsto cond;
    branch = (if val-to-bool cond then to else fe);
    [m,p] \vdash branch \mapsto v;
    v \neq UndefVal
    \implies [m,p] \vdash (ConditionalExpr \ ce \ te \ fe) \mapsto v \mid
  UnaryExpr:
  \llbracket [m,p] \vdash xe \mapsto v;
    result = (unary-eval \ op \ v);
    result \neq UndefVal
    \implies [m,p] \vdash (UnaryExpr \ op \ xe) \mapsto result \mid
  BinaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
    [m,p] \vdash ye \mapsto y;
```

bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2

bin-eval  $BinIntegerBelow\ v1\ v2 = intval$ -below\ v1\ v2

```
result = (bin-eval \ op \ x \ y);
    result \neq UndefVal
    \implies [m,p] \vdash (BinaryExpr\ op\ xe\ ye) \mapsto result
  LeafExpr:
  \llbracket val = m \ n;
    valid-value \ val \ s
    \implies [m,p] \vdash LeafExpr \ n \ s \mapsto val
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalT)
  [show-steps, show-mode-inference, show-intermediate-results] \\
  evaltree.
inductive
  evaltrees :: MapState \Rightarrow Params \Rightarrow IRExpr\ list \Rightarrow Value\ list \Rightarrow bool\ ([-,-] \vdash - \mapsto_L
 for m p where
  EvalNil:
 [m,p] \vdash [] \mapsto_L [] \mid
  EvalCons:
  \llbracket [m,p] \vdash x \mapsto xval;
    [m,p] \vdash yy \mapsto_L yyval
    \implies [m,p] \vdash (x \# yy) \mapsto_L (xval \# yyval)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalTs)
  evaltrees.
definition sq\text{-}param\theta :: IRExpr where
  sq\text{-}param0 = BinaryExpr\ BinMul
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
values \{v. \ evaltree \ new-map-state \ [IntVal \ 32 \ 5] \ sq-param 0 \ v\}
declare evaltree.intros [intro]
declare evaltrees.intros [intro]
```

#### 6.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

```
definition equiv-exprs :: IRExpr \Rightarrow IRExpr \Rightarrow bool \ (- \doteq -55) where (e1 \doteq e2) = (\forall m \ p \ v. \ (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))
```

We also prove that this is a total equivalence relation (equivp equiv-exprs) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

```
lemma equivp equiv-exprs
apply (auto simp add: equivp-def equiv-exprs-def)
by (metis equiv-exprs-def)+
```

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation IRExpr :: preorder begin

```
notation less-eq (infix \Box 65)
definition
  le-expr-def [simp]:
    (e_2 \leq e_1) \longleftrightarrow (\forall \ m \ p \ v. (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))
definition
  lt-expr-def [simp]:
    (e_1 < e_2) \longleftrightarrow (e_1 \le e_2 \land \neg (e_1 \doteq e_2))
instance proof
  \mathbf{fix} \ x \ y \ z :: IRExpr
  show x < y \longleftrightarrow x \le y \land \neg (y \le x) by (simp add: equiv-exprs-def; auto)
  show x \leq x by simp
  show x \le y \Longrightarrow y \le z \Longrightarrow x \le z by simp
qed
end
abbreviation (output) Refines :: IRExpr \Rightarrow IRExpr \Rightarrow bool (infix \supseteq 64)
  where e_1 \supseteq e_2 \equiv (e_2 \leq e_1)
```

## 6.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to a the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

```
locale stamp-mask =
  fixes up :: IRExpr \Rightarrow int64 (\uparrow)
  fixes down :: IRExpr \Rightarrow int64 (\downarrow)
  assumes up-spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ v\ (not\ ((ucast\ (\uparrow e))))) = 0
      and down-spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ (not\ v)\ (ucast\ (\downarrow e))) = 0
begin
lemma may-implies-either:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\uparrow e)\ n \Longrightarrow bit\ v\ n = False \lor bit\ v\ n = True
  by simp
lemma not-may-implies-false:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow \neg(bit\ (\uparrow e)\ n) \Longrightarrow bit\ v\ n = False
  using up-spec
  using bit-and-iff bit-eq-iff bit-not-iff bit-unsigned-iff down-spec
  by (smt (verit, best) bit.double-compl)
{f lemma} must-implies-true:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ v\ n = True
  using down-spec
 by (metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id)
lemma not-must-implies-either:
  [m, p] \vdash e \mapsto IntVal \ b \ v \Longrightarrow \neg(bit \ (\downarrow e) \ n) \Longrightarrow bit \ v \ n = False \lor bit \ v \ n = True
  by simp
lemma must-implies-may:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow n < 32 \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ (\uparrow e)\ n
  by (meson must-implies-true not-may-implies-false)
lemma up-mask-and-zero-implies-zero:
  assumes and (\uparrow x) (\uparrow y) = 0
  \mathbf{assumes}\ [m,\ p] \ \vdash \ x \mapsto \mathit{IntVal}\ b\ xv
  assumes [m, p] \vdash y \mapsto IntVal\ b\ yv
  shows and xv yv = 0
  using assms
 by (smt (z3) and.commute and.right-neutral and-zero-eq bit.compl-zero bit.conj-cancel-right
bit.conj-disj-distribs(1) ucast-id up-spec word-bw-assocs(1) word-not-dist(2)
\mathbf{lemma}\ not\text{-}down\text{-}up\text{-}mask\text{-}and\text{-}zero\text{-}implies\text{-}zero\text{:}
  assumes and (not (\downarrow x)) (\uparrow y) = 0
  assumes [m, p] \vdash x \mapsto IntVal\ b\ xv
  assumes [m, p] \vdash y \mapsto IntVal\ b\ yv
  shows and xv yv = yv
  using assms
 by (smt (z3) and-zero-eq bit.conj-cancel-left bit.conj-disj-distribs(1) bit.conj-disj-distribs(2)
bit.de-Morgan-disj down-spec or-eq-not-not-and ucast-id up-spec word-ao-absorbs(2)
word-ao-absorbs(8) word-bw-lcs(1) word-not-dist(2))
```

end

#### 6.6 Data-flow Tree Theorems

```
\begin{array}{c} \textbf{theory} \ IRTreeEvalThms \\ \textbf{imports} \\ Graph. \ ValueThms \\ IRTreeEval \\ \textbf{begin} \end{array}
```

#### 6.6.1 Deterministic Data-flow Evaluation

```
lemma evalDet:
[m,p] \vdash e \mapsto v_1 \Longrightarrow
[m,p] \vdash e \mapsto v_2 \Longrightarrow
v_1 = v_2
apply (induction \ arbitrary: \ v_2 \ rule: \ evaltree.induct)
by (elim \ EvalTreeE; \ auto) +
lemma \ evalAllDet:
[m,p] \vdash e \mapsto_L \ v1 \Longrightarrow
[m,p] \vdash e \mapsto_L \ v2 \Longrightarrow
v1 = v2
apply (induction \ arbitrary: \ v2 \ rule: \ evaltrees.induct)
apply (elim \ EvalTreeE; \ auto)
using evalDet by force
```

#### 6.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values:  $is_IntVal32$ ,  $is_IntVal64$  and the more general  $is_IntVal$ .

```
lemma unary-eval-not-obj-ref:

shows unary-eval op x \neq ObjRef \ v

by (cases op; cases x; auto)

lemma unary-eval-not-obj-str:

shows unary-eval op x \neq ObjStr \ v

by (cases op; cases x; auto)
```

 $\mathbf{lemma}\ unary\text{-}eval\text{-}int:$ 

```
assumes def: unary-eval op x \neq UndefVal
 shows is-IntVal (unary-eval op x)
 unfolding is-IntVal-def using def
 apply (cases unary-eval op x; auto)
 using unary-eval-not-obj-ref unary-eval-not-obj-str by simp+
lemma bin-eval-int:
 assumes def: bin-eval op x y \neq UndefVal
 shows is-IntVal (bin-eval op x y)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
              apply presburger+
         apply (meson bool-to-val.elims)
        apply (meson bool-to-val.elims)
       apply (smt (verit) new-int.simps)+
 by (meson bool-to-val.elims)+
lemma Int Val0:
 (Int Val \ 32 \ 0) = (new-int \ 32 \ 0)
 unfolding new-int.simps
 by auto
lemma Int Val1:
 (Int Val \ 32 \ 1) = (new-int \ 32 \ 1)
 unfolding new-int.simps
 bv auto
\mathbf{lemma}\ bin-eval-new-int:
 assumes def: bin-eval \ op \ x \ y \neq UndefVal
 shows \exists b \ v. \ (bin\text{-}eval \ op \ x \ y) = new\text{-}int \ b \ v \ \land
            b = (if \ op \in fixed-32 \ then \ 32 \ else \ intval-bits \ x)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
 apply presburger+
 apply (metis take-bit-and)
 apply presburger
 apply (metis take-bit-or)
 apply presburger
 apply (metis take-bit-xor)
 apply presburger
 using IntVal0 IntVal1
 apply (metis bool-to-val.elims new-int.simps)
 apply presburger
 apply (smt (verit) new-int.elims)
 apply (smt (verit, best) new-int.elims)
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 apply presburger
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
```

```
apply presburger
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 by meson
lemma int-stamp:
 assumes i: is-IntVal v
 shows is-IntegerStamp (constantAsStamp \ v)
 using i unfolding is-IntegerStamp-def is-IntVal-def by auto
\mathbf{lemma}\ validStampIntConst:
 assumes v = IntVal\ b\ ival
 assumes \theta < b \land b \le 64
 shows valid-stamp (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
\leq snd \ (bit\text{-}bounds \ b)
   using assms int-signed-value-bounds
   by presburger
 \mathbf{have}\ s:\ constant AsStamp\ v = Integer Stamp\ b\ (int\text{-}signed\text{-}value\ b\ ival})\ (int\text{-}signed\text{-}value\ b\ ival})
b ival
   using assms(1) constantAsStamp.simps(1) by blast
  then show ?thesis
   unfolding s valid-stamp.simps
   using assms(2) assms bnds by linarith
qed
\mathbf{lemma}\ validDefIntConst:
 assumes v: v = IntVal \ b \ ival
 assumes 0 < b \land b \le 64
 assumes take-bit b ival = ival
 shows valid-value v (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
< snd (bit-bounds b)
   \mathbf{using}\ assms\ int\text{-}signed\text{-}value\text{-}bounds
   by presburger
 have s: constant As Stamp \ v = Integer Stamp \ b \ (int-signed-value \ b \ ival) \ (int-signed-value
b ival
   using assms(1) constantAsStamp.simps(1) by blast
  then show ?thesis
   unfolding s unfolding v unfolding valid-value.simps
   using assms validStampIntConst
   \mathbf{by} \ simp
qed
```

#### 6.6.3 Evaluation Results are Valid

```
A valid value cannot be UndefVal.
lemma valid-not-undef:
 assumes a1: valid\text{-}value \ val \ s
 assumes a2: s \neq VoidStamp
 shows val \neq UndefVal
 apply (rule valid-value.elims(1)[of val s True])
 using a1 a2 by auto
lemma valid-VoidStamp[elim]:
 shows valid-value val VoidStamp \Longrightarrow
     val = UndefVal
 using valid-value.simps by metis
lemma valid-ObjStamp[elim]:
 shows \ valid-value \ val \ (ObjectStamp \ klass \ exact \ nonNull \ alwaysNull) \Longrightarrow
     (\exists v. val = ObjRef v)
 using valid-value.simps by (metis val-to-bool.cases)
lemma valid-int[elim]:
 shows valid-value val (IntegerStamp b lo hi) \Longrightarrow
     (\exists v. val = IntVal b v)
 using valid-value.elims(2) by fastforce
\mathbf{lemmas}\ valid\text{-}value\text{-}elims =
  valid	ext{-}VoidStamp
  valid-ObjStamp
  valid-int
lemma evaltree-not-undef:
 fixes m p e v
 shows ([m,p] \vdash e \mapsto v) \Longrightarrow v \neq UndefVal
 apply (induction rule: evaltree.induct)
 using valid-not-undef by auto
lemma leafint:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ b\ lo\ hi) \mapsto val
 shows \exists b \ v. \ val = (IntVal \ b \ v)
proof -
 have valid-value val (IntegerStamp b lo hi)
   using ev by (rule LeafExprE; simp)
 then show ?thesis by auto
qed
```

```
lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648) 2147483647 using default-stamp-def by auto

lemma valid-value-signed-int-range [simp]: assumes valid-value val (IntegerStamp b lo hi) assumes lo < 0 shows \exists v. (val = IntVal b v \land lo \leq int\text{-signed-value b } v \leq hi) using assms valid-int by (metis valid-value.simps(1))
```

## 6.6.4 Example Data-flow Optimisations

#### 6.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's mono operator (HOL.Orderings theory), proving instantiations like mono(UnaryExprop), but it is not obvious how to do this for both arguments of the binary expressions.

```
lemma mono-unary:
   assumes e \ge e'
   shows (UnaryExpr\ op\ e) \ge (UnaryExpr\ op\ e')
   using UnaryExpr\ assms by auto

lemma mono-binary:
   assumes x \ge x'
   assumes y \ge y'
   shows (BinaryExpr\ op\ x\ y) \ge (BinaryExpr\ op\ x'\ y')
   using BinaryExpr\ assms by auto

lemma never-void:
   assumes [m,\ p] \vdash x \mapsto xv
   assumes valid-value\ xv\ (stamp-value\ xv)
   shows valid-value\ simps
   using valid-value\ simps
   using valid-value\ simps
   using valid-value\ simps
   using valid-valve\ simps
```

**lemma** compatible-trans:

```
compatible x \ y \land compatible \ y \ z \Longrightarrow compatible \ x \ z
 by (smt (z3) compatible.elims(2) compatible.simps(1))
lemma compatible-refl:
  compatible \ x \ y \Longrightarrow compatible \ y \ x
 using compatible.elims(2) by fastforce
lemma mono-conditional:
 assumes ce \ge ce'
 assumes te \geq te'
 assumes fe \geq fe'
 shows (ConditionalExpr ce te fe) \geq (ConditionalExpr ce' te' fe')
proof (simp only: le-expr-def; (rule allI)+; rule impI)
 \mathbf{fix} \ m \ p \ v
 assume a: [m,p] \vdash ConditionalExpr ce te fe \mapsto v
 then obtain cond where ce: [m,p] \vdash ce \mapsto cond by auto
 then have ce': [m,p] \vdash ce' \mapsto cond using assms by auto
 define branch where b: branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe)
 define branch' where b': branch' = (if val-to-bool cond then te' else fe')
  then have beval: [m,p] \vdash branch \mapsto v using a b ce evalDet by blast
  from beval have [m,p] \vdash branch' \mapsto v using assms b b' by auto
  then show [m,p] \vdash ConditionalExpr ce' te' fe' \mapsto v
   using ConditionalExpr ce' b'
   using a by blast
qed
```

# 6.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level  $bin_eval$  /  $unary_eval$  level, simply by saying  $unfoldingunfold_evaltree$ .

```
lemma unfold-binary:

shows ([m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto val) = (\exists\ x\ y.

(([m,p] \vdash xe \mapsto x) \land

([m,p] \vdash ye \mapsto y) \land

(val = bin\text{-}eval\ op\ x\ y) \land
```

```
assume 3: ?L
 show ?R by (rule\ evaltree.cases[OF\ 3];\ blast+)
next
 assume ?R
 then obtain x \ y where [m,p] \vdash xe \mapsto x
       and [m,p] \vdash ye \mapsto y
       and val = bin-eval \ op \ x \ y
      and val \neq UndefVal
   by auto
 then show ?L
    by (rule BinaryExpr)
qed
lemma unfold-unary:
 shows ([m,p] \vdash UnaryExpr \ op \ xe \mapsto val)
       = (\exists x.
           (([m,p] \vdash xe \mapsto x) \land
            (val = unary-eval \ op \ x) \land
            (val \neq UndefVal)
           )) (is ?L = ?R)
 by auto
lemmas unfold-evaltree =
  unfold-binary
  unfold-unary
      Lemmas about new int and integer eval results.
lemma unary-eval-new-int:
 assumes def: unary-eval op x \neq UndefVal
 shows \exists b \ v. \ unary-eval \ op \ x = new-int \ b \ v \ \land
             b = (if \ op \in normal-unary \ then \ intval-bits \ x \ else \ ir-resultBits \ op)
proof (cases op \in normal-unary)
 case True
 then show ?thesis
  by (metis def empty-iff insert-iff intval-abs.elims intval-bits.simps intval-logic-negation.elims
intval-negate.elims\ intval-not.elims\ unary-eval.simps(1)\ unary-eval.simps(2)\ unary-eval.simps(3)
unary-eval.simps(4))
next
 case False
 consider ib \ ob where op = UnaryNarrow \ ib \ ob
         ib\ ob\ {\bf where}\ op = {\it UnaryZeroExtend}\ ib\ ob\ |
         ib\ ob\ {\bf where}\ op = {\it UnarySignExtend}\ ib\ ob
   by (metis False IRUnaryOp.exhaust insert-iff)
```

 $(val \neq UndefVal)$ )) (**is** ?L = ?R)

proof (intro iffI)

```
then show ?thesis
 proof (cases)
   case 1
   then show ?thesis
   by (metis False IRUnary Op. sel(4) def intval-narrow.elims unary-eval.simps(5))
 next
   case 2
   then show ?thesis
   by (metis\ False\ IRUnaryOp.sel(6)\ def\ intval-zero-extend.elims\ unary-eval.simps(7))
 next
   case 3
   then show ?thesis
   by (metis\ False\ IRUnaryOp.sel(5)\ def\ intval-sign-extend.elims\ unary-eval.simps(6))
 qed
qed
lemma new-int-unused-bits-zero:
 assumes IntVal\ b\ ival = new-int\ b\ ival0
 shows take-bit b ival = ival
 using assms(1) new-int-take-bits by blast
lemma unary-eval-unused-bits-zero:
 assumes unary-eval op x = IntVal\ b\ ival
 shows take-bit b ival = ival
 using assms unary-eval-new-int
 by (metis Value.inject(1) Value.simps(5) new-int.elims new-int-unused-bits-zero)
lemma bin-eval-unused-bits-zero:
 assumes bin-eval op x y = (IntVal b ival)
 shows take-bit b ival = ival
 using assms bin-eval-new-int
 by (metis Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits)
\mathbf{lemma}\ \textit{eval-unused-bits-zero} :
 [m,p] \vdash xe \mapsto (IntVal\ b\ ix) \Longrightarrow take-bit\ b\ ix = ix
proof (induction xe)
 case (UnaryExpr x1 xe)
 then show ?case
   using unary-eval-unused-bits-zero by force
next
 case (BinaryExpr x1 xe1 xe2)
 then show ?case
   using bin-eval-unused-bits-zero by force
\mathbf{next}
 case (ConditionalExpr xe1 xe2 xe3)
 then show ?case
   by (metis (full-types) EvalTreeE(3))
next
 case (ParameterExpr i s)
```

```
then have valid-value(p!i) s
   by fastforce
 then show ?case
  by (metis ParameterExprE Value.distinct(7) intval-bits.simps intval-word.simps
local.ParameterExpr\ valid-value.elims(2))
next
 case (LeafExpr x1 x2)
 then show ?case
  by (smt\ (z3)\ EvalTreeE(6)\ Value.simps(11)\ valid-value.elims(1)\ valid-value.simps(1))
next
 case (ConstantExpr x)
 then show ?case
   by (metis\ EvalTreeE(1)\ constantAsStamp.simps(1)\ valid-value.simps(1))
next
 case (ConstantVar x)
 then show ?case
   by fastforce
next
 case (VariableExpr x1 x2)
 then show ?case
   by fastforce
qed
lemma unary-normal-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes op \in normal\text{-}unary
 shows \exists ix. x = IntVal b ix
 apply (cases op)
      prefer 7 using assms apply blast
     prefer 6 using assms apply blast
     prefer 5 using assms apply blast
 using Value.distinct(1) Value.sel(1) assms(1) new-int.simps unary-eval.simps
    intval-abs.elims\ intval-negate.elims\ intval-not.elims\ intval-logic-negation.elims
    apply metis+
 done
lemma unary-not-normal-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 \mathbf{assumes}\ \mathit{op} \notin \mathit{normal-unary}
 shows b = ir-resultBits op \land 0 < b \land b \le 64
 apply (cases op)
 using assms apply blast+
 apply (metis IRUnaryOp.sel(4) \ Value.distinct(1) \ Value.sel(1) \ assms(1) \ intval-narrow.elims
intval-narrow-ok new-int.simps\ unary-eval.simps(5))
   apply (smt\ (verit)\ IRUnaryOp.sel(5)\ Value.distinct(1)\ Value.sel(1)\ assms(1)
intval-sign-extend.elims new-int.simps order-less-le-trans unary-eval.simps(6))
 apply (metis IRUnaryOp.sel(6) Value.distinct(1) assms(1) intval-bits.simps int-
```

```
val\text{-}zero\text{-}extend.elims\ linorder\text{-}not\text{-}less\ neq0\text{-}conv\ new\text{-}int.simps\ unary\text{-}eval.simps(7)) \mathbf{done}
```

```
lemma unary-eval-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes 2: x = IntVal \ bx \ ix
 assumes 0 < bx \land bx \le 64
 shows 0 < b \land b \le 64
proof (cases op \in normal\text{-}unary)
 {\bf case}\ {\it True}
 then obtain tmp where unary-eval op x = new-int bx tmp
   by (cases op; simp; auto simp: 2)
 then show ?thesis
   using assms by simp
\mathbf{next}
 case False
 then obtain tmp where unary-eval op x = new-int b \ tmp \land 0 < b \land b \leq 64
   apply (cases op; simp; auto simp: 2)
   apply (metis 2 \ Value.inject(1) \ Value.simps(5) \ assms(1) \ intval-narrow.simps(1)
intval-narrow-ok new-int.simps unary-eval.simps(5)
  apply (metis 2 \ Value. distinct(1) \ Value. inject(1) \ assms(1) \ bot-nat-0.not-eq-extremum
diff-is-0-eq intval-sign-extend. elims new-int. simps unary-eval. simps (6) zero-less-diff)
     by (smt (verit, del-insts) 2 Value.simps(5) assms(1) intval-bits.simps int-
val-zero-extend.simps(1) new-int.simps order-less-le-trans unary-eval.simps(7))
 then show ?thesis
   by blast
qed
{f lemma}\ bin-eval-inputs-are-ints:
 assumes bin-eval op x y = IntVal b ix
 obtains xb yb xi yi where x = IntVal \ xb \ xi \land y = IntVal \ yb \ yi
proof -
 have bin-eval op x y \neq UndefVal
   by (simp add: assms)
 then show ?thesis
   using assms apply (cases op; cases x; cases y; simp)
   using that by blast+
qed
lemma eval-bits-1-64:
 [m,p] \vdash xe \mapsto (Int Val \ b \ ix) \Longrightarrow 0 < b \land b \le 64
proof (induction xe arbitrary: b ix)
 case (UnaryExpr op x2)
 then obtain xv where
```

```
xv: ([m,p] \vdash x2 \mapsto xv) \land
                       IntVal\ b\ ix = unary\text{-}eval\ op\ xv
       using unfold-binary by auto
    then have b = (if \ op \in normal-unary \ then \ intval-bits \ xv \ else \ ir-resultBits \ op)
       using unary-eval-new-int
       by (metis\ Value.disc(1)\ Value.discI(1)\ Value.sel(1)\ new-int.simps)
    then show ?case
       by (metis xv UnaryExpr.IH unary-normal-bitsize unary-not-normal-bitsize)
next
    case (BinaryExpr\ op\ x\ y)
   then obtain xv yv where
             xy: ([m,p] \vdash x \mapsto xv) \land
                       ([m,p] \vdash y \mapsto yv) \land
                       IntVal\ b\ ix = bin-eval\ op\ xv\ yv
       using unfold-binary by auto
   then have def: bin-eval op xv yv \neq UndefVal and xv: xv \neq UndefVal and yv \neq Undef
 UndefVal
       using evaltree-not-undef xy by (force, blast, blast)
    then have b = (if \ op \in fixed-32 \ then \ 32 \ else \ intval-bits \ xv)
       by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
    then show ?case
     by (metis BinaryExpr.IH(1) Value.distinct(7) Value.distinct(9) xv bin-eval-inputs-are-ints
intval-bits.elims le-add-same-cancel1 less-or-eq-imp-le numeral-Bit0 xy zero-less-numeral)
next
    case (ConditionalExpr xe1 xe2 xe3)
   then show ?case
       by (metis (full-types) EvalTreeE(3))
next
    case (ParameterExpr x1 x2)
   then show ?case
     using ParameterExprE\ intval-bits.simps\ valid-stamp.simps(1)\ valid-value.elims(2)
valid-value.simps(17)
       by (metis (no-types, lifting))
next
   case (LeafExpr x1 x2)
   then show ?case
     by (smt (z3) EvalTreeE(6) Value.distinct(7) Value.inject(1) valid-stamp.simps(1)
valid-value. elims(1))
next
   case (ConstantExpr x)
   then show ?case
     by (metis\ EvalTreeE(1)\ constantAsStamp.simps(1)\ valid-stamp.simps(1)\ valid-value.simps(1))
next
    case (Constant Var x)
   then show ?case
       by blast
next
   case (VariableExpr x1 x2)
```

```
then show ?case
by blast
qed
```

## 7 Tree to Graph

```
theory TreeToGraph
imports
Semantics.IRTreeEval
Graph.IRGraph
begin
```

## 7.1 Subgraph to Data-flow Tree

```
fun find-node-and-stamp :: IRGraph \Rightarrow (IRNode \times Stamp) \Rightarrow ID option where find-node-and-stamp g (n,s) = find (\lambda i. \ kind \ g \ i = n \land stamp \ g \ i = s) (sorted-list-of-set(ids \ g))
```

export-code find-node-and-stamp

```
fun is-preevaluated :: IRNode ⇒ bool where is-preevaluated (InvokeNode n - - - - -) = True | is-preevaluated (InvokeWithExceptionNode n - - - - -) = True | is-preevaluated (NewInstanceNode n - - -) = True | is-preevaluated (LoadFieldNode n - - -) = True | is-preevaluated (SignedDivNode n - - - -) = True | is-preevaluated (SignedRemNode n - - - - -) = True | is-preevaluated (ValuePhiNode n - - - - -) = True | is-preevaluated - = False
```

#### inductive

Conditional Node:

 $stamp \ g \ n = s$ 

 $\implies g \vdash n \simeq (ParameterExpr \ i \ s) \mid$ 

```
\llbracket kind\ g\ n = ConditionalNode\ c\ t\ f;
  g \vdash c \simeq ce;
  g \vdash t \simeq te;
  g \vdash f \simeq fe
  \implies g \vdash n \simeq (ConditionalExpr \ ce \ te \ fe) \mid
AbsNode:
\llbracket kind\ g\ n = AbsNode\ x;
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (\mathit{UnaryExpr}\ \mathit{UnaryAbs}\ \mathit{xe}) \mid
NotNode:
\llbracket kind\ g\ n = NotNode\ x;
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid
NegateNode:
\llbracket kind\ g\ n = NegateNode\ x;
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (\mathit{UnaryExpr\ UnaryNeg\ xe}) \mid
LogicNegationNode:
[kind\ g\ n = LogicNegationNode\ x;]
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe) \mid
AddNode:
[kind\ g\ n=AddNode\ x\ y;
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye) \mid
MulNode:
[kind\ g\ n = MulNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
 \implies g \vdash n \simeq (\textit{BinaryExpr BinMul xe ye}) \mid
SubNode:
[kind\ g\ n = SubNode\ x\ y;]
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinSub\ xe\ ye) \mid
AndNode:
\llbracket kind\ g\ n = AndNode\ x\ y;
  g \vdash x \simeq xe;
```

```
g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinAnd\ xe\ ye) \mid
OrNode:
\llbracket kind\ g\ n = OrNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinOr\ xe\ ye) \mid
XorNode:
[kind\ g\ n = XorNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinXor\ xe\ ye) \mid
ShortCircuitOrNode:
[kind\ g\ n = ShortCircuitOrNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinShortCircuitOr\ xe\ ye) \mid
LeftShiftNode:
\llbracket kind\ g\ n = LeftShiftNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinLeftShift\ xe\ ye) \mid
RightShiftNode:
[kind\ g\ n = RightShiftNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinRightShift\ xe\ ye) \mid
Unsigned Right Shift Node: \\
\llbracket kind\ g\ n = UnsignedRightShiftNode\ x\ y;
 q \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinURightShift\ xe\ ye) \mid
IntegerBelowNode:
[kind\ g\ n = IntegerBelowNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinIntegerBelow\ xe\ ye) \mid
Integer Equals Node:
[kind\ g\ n = IntegerEqualsNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
```

```
\implies g \vdash n \simeq (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mid
  IntegerLessThanNode:
  \llbracket kind\ g\ n = IntegerLessThanNode\ x\ y;
    g \vdash x \simeq xe;
    g \vdash y \simeq ye
    \implies g \vdash n \simeq (BinaryExpr\ BinIntegerLessThan\ xe\ ye) \mid
  NarrowNode:
  \llbracket kind\ g\ n = NarrowNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnaryNarrow\ inputBits\ resultBits)\ xe) \mid
  SignExtendNode:
  \llbracket kind \ g \ n = SignExtendNode \ inputBits \ resultBits \ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnarySignExtend\ inputBits\ resultBits)\ xe) \mid
  ZeroExtendNode:
  \llbracket kind\ g\ n = ZeroExtendNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnaryZeroExtend\ inputBits\ resultBits)\ xe) \mid
  LeafNode:
  [is-preevaluated (kind g n);
    stamp \ q \ n = s
    \implies g \vdash n \simeq (\textit{LeafExpr} \ n \ s) \mid
  RefNode:
  [kind\ g\ n=RefNode\ n';
    g \vdash n' \simeq e
    \implies g \vdash n \simeq e
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprE) rep.
inductive
  replist :: IRGraph \Rightarrow ID \ list \Rightarrow IRExpr \ list \Rightarrow bool \ (-\vdash -\simeq_L - 55)
  for g where
  RepNil:
  g \vdash [] \simeq_L [] \mid
  RepCons:
  \llbracket g \vdash x \simeq xe;
    g \vdash xs \simeq_L xse
```

```
\implies g \vdash x \# xs \simeq_L xe \# xse
\mathbf{code\text{-}pred}\ (\mathit{modes}:\ i\Rightarrow i\Rightarrow o\Rightarrow \mathit{bool}\ \mathit{as}\ \mathit{exprListE})\ \mathit{replist} .
definition wf-term-graph :: MapState \Rightarrow Params \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool where
  wf-term-graph m p g n = (\exists e. (g \vdash n \simeq e) \land (\exists v. ([m, p] \vdash e \mapsto v)))
values \{t. \ eg2\text{-}sq \vdash 4 \simeq t\}
       Data-flow Tree to Subgraph
fun unary-node :: IRUnaryOp \Rightarrow ID \Rightarrow IRNode where
  unary-node UnaryAbs\ v = AbsNode\ v
  unary-node UnaryNot \ v = NotNode \ v \mid
  unary-node\ UnaryNeg\ v=NegateNode\ v\mid
  unary-node\ UnaryLogicNegation\ v=LogicNegationNode\ v\mid
  unary-node (UnaryNarrow\ ib\ rb) v=NarrowNode\ ib\ rb\ v
  unary-node (UnarySignExtend ib rb) v = SignExtendNode ib rb v
  unary-node (UnaryZeroExtend\ ib\ rb) v=ZeroExtendNode\ ib\ rb\ v
fun bin-node :: IRBinaryOp \Rightarrow ID \Rightarrow ID \Rightarrow IRNode where
  bin-node BinAdd\ x\ y = AddNode\ x\ y\ |
  bin-node BinMul\ x\ y = MulNode\ x\ y
  bin\text{-}node\ BinSub\ x\ y = SubNode\ x\ y\ |
  bin-node\ BinAnd\ x\ y = AndNode\ x\ y\ |
  bin-node\ BinOr\ \ x\ y = OrNode\ x\ y\ |
  bin-node BinXor x y = XorNode x y
```

```
bin-node BinMul x y = MulNode x y |
bin-node BinSub x y = SubNode x y |
bin-node BinAnd x y = AndNode x y |
bin-node BinOr x y = OrNode x y |
bin-node BinXor x y = XorNode x y |
bin-node BinShortCircuitOr x y = ShortCircuitOrNode x y |
bin-node BinLeftShift x y = LeftShiftNode x y |
bin-node BinRightShift x y = RightShiftNode x y |
bin-node BinURightShift x y = UnsignedRightShiftNode x y |
bin-node BinIntegerEquals x y = IntegerEqualsNode x y |
bin-node BinIntegerLessThan x y = IntegerBelowNode x y |
bin-node BinIntegerBelow x y = IntegerBelowNode x y
```

```
\begin{array}{l} \textbf{inductive} \ \textit{fresh-id} :: \textit{IRGraph} \Rightarrow \textit{ID} \Rightarrow \textit{bool} \ \textbf{where} \\ \textit{n} \notin \textit{ids} \ \textit{g} \Longrightarrow \textit{fresh-id} \ \textit{g} \ \textit{n} \\ \\ \textbf{code-pred} \ \textit{fresh-id} \ . \end{array}
```

```
\label{eq:funget-fresh-id} \mbox{ :: } \mbox{$IRGraph$$ $\Rightarrow$ $ID$ $ \mbox{\bf where}$} \mbox{$get\mbox{-}fresh\mbox{-}id$ $g$ $=$ $last(sorted\mbox{-}list\mbox{-}of\mbox{-}set(ids$ $g$))$ $+$ $1$}
```

```
export-code get-fresh-id
value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)
inductive
  unrep :: IRGraph \Rightarrow IRExpr \Rightarrow (IRGraph \times ID) \Rightarrow bool (- \oplus - \leadsto - 55)
   where
  ConstantNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ n 
Vert
    \implies g \oplus (ConstantExpr\ c) \rightsquigarrow (g,\ n)
  ConstantNodeNew:
  \llbracket find-node-and-stamp\ q\ (ConstantNode\ c,\ constantAsStamp\ c) = None;
    n = get\text{-}fresh\text{-}id g;
    g' = add-node n (ConstantNode c, constantAsStamp c) g \parallel
    \implies g \oplus (ConstantExpr\ c) \leadsto (g',\ n)
  ParameterNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = Some\ n \rrbracket
    \implies g \oplus (ParameterExpr \ i \ s) \rightsquigarrow (g, \ n) \mid
  ParameterNodeNew:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = None;
    n = get\text{-}fresh\text{-}id g;
    g' = add-node n (ParameterNode i, s) g
    \implies g \oplus (ParameterExpr \ i \ s) \leadsto (g', \ n) \mid
  Conditional Node Same:
  \llbracket g \oplus ce \leadsto (g2, c);
    g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \rightsquigarrow (g4, f);
    s' = meet (stamp \ g4 \ t) (stamp \ g4 \ f);
    find-node-and-stamp g_4 (ConditionalNode c t f, s') = Some n
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g4, \ n) \mid
  Conditional Node New:\\
  \llbracket g \oplus ce \leadsto (g2, c); \rrbracket
    g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \rightsquigarrow (g4, f);
    s' = meet (stamp g \not\downarrow t) (stamp g \not\downarrow f);
    find-node-and-stamp g4 (ConditionalNode c t f, s') = None;
    n = get-fresh-id g4;
    g' = add-node n (ConditionalNode c t f, s') g4
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g', \ n) \mid
```

```
UnaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
    find-node-and-stamp\ g2\ (unary-node\ op\ x,\ s')=Some\ n
    \implies g \oplus (UnaryExpr \ op \ xe) \rightsquigarrow (g2, n)
  UnaryNodeNew:\\
  \llbracket g \oplus xe \leadsto (g2, x);
    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
    find-node-and-stamp g2 (unary-node op x, s') = None;
    n = get-fresh-id g2;
    g' = add-node n (unary-node op x, s') g2
    \implies g \oplus (UnaryExpr \ op \ xe) \leadsto (g', \ n) \mid
  BinaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    g2 \oplus ye \leadsto (g3, y);
    s' = stamp-binary \ op \ (stamp \ g3 \ x) \ (stamp \ g3 \ y);
    find-node-and-stamp g3 (bin-node op x y, s') = Some n
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g3, n)
  BinaryNodeNew:
  \llbracket g \oplus xe \rightsquigarrow (g2, x);
    g2 \oplus ye \rightsquigarrow (g3, y);
    s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y);
    find-node-and-stamp g3 (bin-node op x y, s') = None;
    n = get-fresh-id g3;
    g' = add-node n (bin-node op x y, s') g3
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g', \ n) \mid
  AllLeafNodes:
  [stamp\ g\ n=s;
    is-preevaluated (kind \ g \ n)
    \implies g \oplus (\textit{LeafExpr} \ n \ s) \leadsto (g, \ n)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ unrep E)
  unrep.
```

```
unrepRules
 find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n
                          g \oplus ConstantExpr \ c \leadsto (g, n)
   find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None
                                  n = get-fresh-id g
           g' = add-node n (ConstantNode c, constantAsStamp c) g
                         g \oplus ConstantExpr \ c \leadsto (g', n)
           find-node-and-stamp g (ParameterNode i, s) = Some n
                        g \oplus ParameterExpr \ i \ s \leadsto (g, \ n)
            find-node-and-stamp g (ParameterNode i, s) = None
       n = get-fresh-id g g' = add-node n (ParameterNode i, s) g
                        g \oplus ParameterExpr \ i \ s \leadsto (g', n)
                   g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
       find-node-and-stamp g4 (ConditionalNode c t f, s) = Some n
                    g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g4, n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
         find-node-and-stamp g4 (ConditionalNode c t f, s') = None
  n = get\text{-}fresh\text{-}id\ g4 g' = add\text{-}node\ n\ (ConditionalNode\ c\ t\ f,\ s')\ g4
                    g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g', n)
                           g \oplus xe \leadsto (g2, x)
s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
           find-node-and-stamp g3 (bin-node op x y, s') = Some n
                      g \oplus BinaryExpr \ op \ xe \ ye \leadsto (g3, \ n)
                                 g \oplus xe \leadsto (g2, x)
                              s' = stamp-binary op (stamp g3 x) (stamp g3 y)
 g2 \oplus ye \rightsquigarrow (g3, y)
            find-node-and-stamp g3 (bin-node op x y, s') = None
                                  g' = add-node n (bin-node op x y, s') g3
      n = get-fresh-id g3
                       q \oplus BinaryExpr \ op \ xe \ ye \leadsto (q', n)
          g \oplus xe \rightsquigarrow (g2, x) s' = stamp-unary op (stamp g2 x)
          find-node-and-stamp g2 (unary-node op x, s') = Some n
                        g \oplus UnaryExpr \ op \ xe \leadsto (g2, \ n)
                                 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          g \oplus xe \leadsto (g2, x)
            find-node-and-stamp g2 (unary-node op x, s') = None
     n = get-fresh-id g2
                                g' = add-node n (unary-node op x, s') g2
                         g \oplus UnaryExpr \ op \ xe \leadsto (g', n)
                 stamp \ g \ n = s is-preevaluated \ (kind \ g \ n)
                           q \oplus LeafExpr \ n \ s \leadsto (q, n)
```

```
values \{(n, g) : (eg2\text{-}sq \oplus sq\text{-}param0 \leadsto (g, n))\}
```

#### 7.3 Lift Data-flow Tree Semantics

```
definition encodeeval :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow ID \Rightarrow Value \Rightarrow bool ([-,-,-] \vdash - \mapsto - 50) where encodeeval\ g\ m\ p\ n\ v = (\exists\ e.\ (g \vdash n \simeq e) \land ([m,p] \vdash e \mapsto v))
```

#### 7.4 Graph Refinement

```
definition graph-represents-expression :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool

(- \vdash - \trianglelefteq - 50)

where

(g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \land (e' \leq e))
```

**definition** graph-refinement :: 
$$IRGraph \Rightarrow IRGraph \Rightarrow bool$$
 where graph-refinement  $g_1$   $g_2 = ((ids \ g_1 \subseteq ids \ g_2) \land (\forall \ n \ . \ n \in ids \ g_1 \longrightarrow (\forall \ e. \ (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$ 

**lemma** graph-refinement:

```
graph-refinement g1 g2 \Longrightarrow (\forall n \ m \ p \ v. \ n \in ids \ g1 \longrightarrow ([g1, \ m, \ p] \vdash n \mapsto v) \longrightarrow ([g2, \ m, \ p] \vdash n \mapsto v))
```

**by** (meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def)

#### 7.5 Maximal Sharing

```
definition maximal-sharing:
```

```
maximal-sharing g = (\forall n_1 \ n_2 \ . \ n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow (\forall e. \ (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land (stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2))
```

end

#### 7.6 Formedness Properties

```
{\bf theory} \ Form \\ {\bf imports} \\ Semantics. Tree To Graph \\ {\bf begin} \\
```

```
definition wf-start where
wf-start g = (0 \in ids \ g \land is\text{-}StartNode\ (kind\ g\ 0))
```

**definition** 
$$wf$$
-closed where  $wf$ -closed  $g = (\forall n \in ids \ g \ .$ 

```
inputs g n \subseteq ids g \land
       succ\ g\ n\subseteq ids\ g\ \land
       kind \ g \ n \neq NoNode
definition wf-phis where
  wf-phis g =
    (\forall n \in ids g.
       is-PhiNode (kind g n) \longrightarrow
       length (ir-values (kind g n))
        = length (ir-ends)
             (kind\ g\ (ir\text{-}merge\ (kind\ g\ n)))))
definition wf-ends where
  wf-ends g =
    (\forall n \in ids g.
       is-AbstractEndNode (kind q n) \longrightarrow
       card (usages g n) > 0)
fun wf-graph :: IRGraph \Rightarrow bool where
  wf-graph g = (wf-start g \wedge wf-closed g \wedge wf-phis g \wedge wf-ends g)
lemmas wf-folds =
  wf-graph.simps
  wf-start-def
  wf-closed-def
  wf-phis-def
  wf-ends-def
fun wf-stamps :: IRGraph \Rightarrow bool where
  \textit{wf-stamps}\ g = (\forall\ n \in \textit{ids}\ g\ .
    (\forall \ v \ m \ p \ e \ . \ (g \vdash n \simeq e) \land ([m, \, p] \vdash e \mapsto v) \longrightarrow valid\text{-}value \ v \ (stamp\text{-}expr \ e)))
fun \textit{wf-stamp} :: IRGraph \Rightarrow (ID \Rightarrow Stamp) \Rightarrow \textit{bool} \ \textbf{where}
  wf-stamp g s = (\forall n \in ids g).
    (\forall \ v \ m \ p \ e \ . \ (g \vdash n \simeq e) \ \land \ ([m, \ p] \vdash e \mapsto v) \longrightarrow \textit{valid-value} \ v \ (s \ n)))
lemma wf-empty: wf-graph start-end-graph
  unfolding start-end-graph-def wf-folds by simp
lemma wf-eg2-sq: wf-graph eg2-sq
  unfolding eg2-sq-def wf-folds by simp
fun wf-logic-node-inputs :: IRGraph \Rightarrow ID \Rightarrow bool where
wf-logic-node-inputs g n =
 (\forall \ \textit{inp} \in \textit{set} \ (\textit{inputs-of} \ (\textit{kind} \ \textit{g} \ \textit{n})) \ . \ (\forall \ \textit{v} \ \textit{m} \ \textit{p} \ . \ ([\textit{g}, \ \textit{m}, \ \textit{p}] \vdash \textit{inp} \mapsto \textit{v}) \longrightarrow \textit{wf-bool}
v))
fun wf-values :: IRGraph \Rightarrow bool where
  wf-values g = (\forall n \in ids \ g).
```

```
 \begin{array}{c} (\forall \ v \ m \ p \ . \ ([g, \ m, \ p] \vdash n \mapsto v) \longrightarrow \\ (is\text{-}LogicNode \ (kind \ g \ n) \longrightarrow \\ wf\text{-}bool \ v \land wf\text{-}logic\text{-}node\text{-}inputs \ g \ n))) \end{array}
```

## 7.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

```
theory IRGraphFrames
 imports
    Form
begin
fun unchanged :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
  unchanged \ ns \ g1 \ g2 = (\forall \ n \ . \ n \in ns \longrightarrow
   (n \in ids \ g1 \land n \in ids \ g2 \land kind \ g1 \ n = kind \ g2 \ n \land stamp \ g1 \ n = stamp \ g2 \ n))
fun changeonly :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
  changeonly ns g1 g2 = (\forall n . n \in ids g1 \land n \notin ns \longrightarrow
    (n \in ids \ g1 \land n \in ids \ g2 \land kind \ g1 \ n = kind \ g2 \ n \land stamp \ g1 \ n = stamp \ g2 \ n))
lemma node-unchanged:
  assumes unchanged ns g1 g2
 assumes nid \in ns
 shows kind \ g1 \ nid = kind \ g2 \ nid
 using assms by auto
lemma other-node-unchanged:
  assumes changeonly ns g1 g2
  assumes nid \in ids \ g1
  assumes nid \notin ns
  shows kind \ g1 \ nid = kind \ g2 \ nid
  using assms
  using changeonly.simps by blast
Some notation for input nodes used
inductive eval-uses:: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow bool
  for g where
  use\theta: nid \in ids g
    \implies eval\text{-}uses\ g\ nid\ nid\ |
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use-inp: nid' \in inputs \ g \ n
   \implies eval\text{-}uses\ g\ nid\ nid'
 use-trans: [eval-uses g nid nid';
   eval-uses q nid' nid''
   \implies eval-uses g nid nid"
fun eval-usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
 eval-usages g nid = \{n \in ids \ g : eval-uses g nid n\}
lemma eval-usages-self:
 assumes nid \in ids g
 shows nid \in eval\text{-}usages g nid
 using assms eval-usages.simps eval-uses.intros(1)
 by (simp add: ids.rep-eq)
lemma not-in-g-inputs:
 assumes nid \notin ids g
 shows inputs g nid = \{\}
proof -
 have k: kind g \ nid = NoNode using assms not-in-g by blast
 then show ?thesis by (simp add: k)
qed
lemma child-member:
 assumes n = kind \ g \ nid
 assumes n \neq NoNode
 assumes List.member (inputs-of n) child
 shows child \in inputs g \ nid
 unfolding inputs.simps using assms
 by (metis in-set-member)
lemma child-member-in:
 assumes nid \in ids g
 assumes List.member (inputs-of (kind g nid)) child
 shows child \in inputs g \ nid
 unfolding inputs.simps using assms
 by (metis child-member ids-some inputs.elims)
lemma inp-in-g:
 assumes n \in inputs \ g \ nid
 shows nid \in ids g
proof -
 have inputs g nid \neq \{\}
   using assms
   by (metis empty-iff empty-set)
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then have kind g nid \neq NoNode
   \mathbf{using}\ not\text{-}in\text{-}g\text{-}inputs
   using ids-some by blast
  then show ?thesis
   using not-in-g
   by metis
qed
lemma inp-in-g-wf:
 assumes wf-graph g
 assumes n \in inputs g \ nid
 shows n \in ids g
 using assms unfolding wf-folds
 using inp-in-g by blast
lemma kind-unchanged:
 assumes nid \in ids \ g1
 assumes unchanged (eval-usages g1 nid) g1 g2
 \mathbf{shows} \ kind \ g1 \ nid = kind \ g2 \ nid
proof -
 show ?thesis
   using assms eval-usages-self
   using unchanged.simps by blast
qed
lemma stamp-unchanged:
 assumes nid \in ids \ g1
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows stamp \ g1 \ nid = stamp \ g2 \ nid
 by (meson \ assms(1) \ assms(2) \ eval-usages-self \ unchanged.elims(2))
lemma child-unchanged:
 assumes child \in inputs \ g1 \ nid
 assumes unchanged (eval-usages q1 nid) q1 q2
 shows unchanged (eval-usages g1 child) g1 g2
 by (smt assms(1) assms(2) eval-usages.simps mem-Collect-eq
     unchanged.simps use-inp use-trans)
lemma eval-usages:
 assumes us = eval\text{-}usages g \ nid
 assumes nid' \in ids \ g
 shows eval-uses g nid nid' \longleftrightarrow nid' \in us (is ?P \longleftrightarrow ?Q)
 \mathbf{using}\ assms\ eval	ext{-}usages.simps
 by (simp add: ids.rep-eq)
lemma inputs-are-uses:
 assumes nid' \in inputs \ g \ nid
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shows eval-uses g nid nid'
 by (metis assms use-inp)
lemma inputs-are-usages:
 assumes nid' \in inputs \ g \ nid
 assumes nid' \in ids g
 shows nid' \in eval\text{-}usages g nid
 using assms(1) assms(2) eval-usages inputs-are-uses by blast
lemma inputs-of-are-usages:
 assumes List.member (inputs-of (kind g nid)) nid'
 assumes nid' \in ids \ g
 shows nid' \in eval\text{-}usages g nid
 by (metis assms(1) assms(2) in-set-member inputs.elims inputs-are-usages)
lemma usage-includes-inputs:
 assumes us = eval\text{-}usages \ q \ nid
 assumes ls = inputs g \ nid
 assumes ls \subseteq ids g
 shows ls \subseteq us
 using inputs-are-usages eval-usages
 using assms(1) assms(2) assms(3) by blast
lemma elim-inp-set:
 assumes k = kind \ g \ nid
 assumes k \neq NoNode
 assumes child \in set (inputs-of k)
 shows child \in inputs g \ nid
 using assms by auto
\mathbf{lemma}\ encode\text{-}in\text{-}ids:
 assumes g \vdash nid \simeq e
 shows nid \in ids g
 using assms
 apply (induction rule: rep.induct)
 apply simp+
 by fastforce+
{f lemma} eval-in-ids:
 assumes [g, m, p] \vdash nid \mapsto v
 shows nid \in ids g
 \mathbf{using}\ assms\ \mathbf{using}\ encode eval\text{-}def\ encode\text{-}in\text{-}ids
 by auto
\mathbf{lemma}\ transitive\text{-}kind\text{-}same:
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows \forall nid' \in (eval\text{-}usages\ g1\ nid). kind\ g1\ nid' = kind\ g2\ nid'
 using assms
 by (meson\ unchanged.elims(1))
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theorem stay-same-encoding:
     assumes nc: unchanged (eval-usages g1 nid) g1 g2
     assumes g1: g1 \vdash nid \simeq e
    assumes wf: wf-graph g1
     shows g2 \vdash nid \simeq e
proof -
     have dom: nid \in ids \ g1
          using g1 encode-in-ids by simp
     show ?thesis
using g1 nc wf dom proof (induction e rule: rep.induct)
     case (ConstantNode \ n \ c)
     then have kind g2 n = ConstantNode c
          using dom nc kind-unchanged
          by metis
     then show ?case using rep. ConstantNode
          by presburger
next
     case (ParameterNode \ n \ i \ s)
     then have kind g2 \ n = ParameterNode \ i
          by (metis kind-unchanged)
     then show ?case
      \textbf{by} \ (metis\ Parameter Node. hyps (2)\ Parameter Node. prems (1)\ Parameter Node. prems (3)
rep.ParameterNode stamp-unchanged)
\mathbf{next}
     case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
     then have kind g2 n = ConditionalNode c t f
          by (metis kind-unchanged)
     have c \in eval\text{-}usages\ g1\ n\ \land\ t \in eval\text{-}usages\ g1\ n\ \land\ f \in eval\text{-}usages\ g1\ n
          using inputs-of-ConditionalNode
              by (metis\ ConditionalNode.hyps(1)\ ConditionalNode.hyps(2)\ ConditionalNode.hyps(2)
ode.hyps(3) ConditionalNode.hyps(4) encode-in-ids inputs.simps inputs-are-usages
list.set-intros(1) set-subset-Cons subset-code(1))
     then show ?case using transitive-kind-same
      \textbf{by} \ (metis\ Conditional Node. py s(1)\ Conditional Node. prems (1)\ IR Nodes. inputs-of-Conditional Node (1)\ Conditional Node (2)\ Conditional Node
\langle kind\ q2\ n=ConditionalNode\ c\ t\ f \rangle\ child-unchanged\ inputs.simps\ list.set-intros(1)
local. \ Conditional Node (5)\ local. \ Conditional Node (6)\ local. \ Conditional Node (7)\ local. \ Conditional Node (9)\ local. \ Conditional Node (10)\ loca
rep.ConditionalNode\ set-subset-Cons\ subset-code(1)\ unchanged.elims(2))
next
     case (AbsNode \ n \ x \ xe)
     then have kind g2 n = AbsNode x
          using kind-unchanged
          by metis
     then have x \in eval\text{-}usages g1 n
          \mathbf{using}\ inputs-of-AbsNode
              by (metis\ AbsNode.hyps(1)\ AbsNode.hyps(2)\ encode-in-ids\ inputs.simps\ in-
puts-are-usages list.set-intros(1))
     then show ?case
           by (metis AbsNode.IH AbsNode.hyps(1) AbsNode.prems(1) AbsNode.prems(3)
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IRNodes.inputs-of-AbsNode \langle kind \ g2 \ n = AbsNode \ x \rangle child-member-in child-unchanged
local.wf member-rec(1) rep.AbsNode unchanged.simps)
next
   case (NotNode \ n \ x \ xe)
   then have kind q2 \ n = NotNode \ x
      using kind-unchanged
      by metis
   then have x \in eval\text{-}usages \ g1 \ n
      using inputs-of-NotNode
        by (metis\ NotNode.hyps(1)\ NotNode.hyps(2)\ encode-in-ids\ inputs.simps\ in-
puts-are-usages list.set-intros(1))
   then show ?case
       by (metis NotNode.IH NotNode.hyps(1) NotNode.prems(1) NotNode.prems(3)
IRNodes.inputs-of-NotNode \land kind \ g2 \ n = NotNode \ x \gt \ child-member-in \ child-unchanged
local.wf member-rec(1) rep.NotNode unchanged.simps)
next
   case (NegateNode \ n \ x \ xe)
   then have kind g2 n = NegateNode x
      using kind-unchanged by metis
   then have x \in eval\text{-}usages \ g1 \ n
      using inputs-of-NegateNode
       by (metis NegateNode.hyps(1) NegateNode.hyps(2) encode-in-ids inputs.simps
inputs-are-usages\ list.set-intros(1))
   then show ?case
        by (metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1)
NegateNode.prems(1) NegateNode.prems(3) \land kind g2 n = NegateNode x \land child-member-in
child-unchanged local.wf member-rec(1) rep.NegateNode unchanged.elims(1))
next
   case (LogicNegationNode \ n \ x \ xe)
   then have kind g2 \ n = LogicNegationNode \ x
      using kind-unchanged by metis
   then have x \in eval\text{-}usages g1 n
      {\bf using} \ inputs-of\text{-}LogicNegationNode \ inputs-of\text{-}are\text{-}usages
      by (metis\ LogicNegationNode.hyps(1)\ LogicNegationNode.hyps(2)\ encode-in-ids
member-rec(1)
   then show ?case
       {f by}\ (metis\ IRNodes.inputs-of-LogicNegationNode\ LogicNegationNode.IH\ Logic-logicNegationNode\ LogicNegationNode\ Logic
NegationNode.hyps(1)\ LogicNegationNode.hyps(2)\ LogicNegationNode.prems(1)\ \langle kind
g2 n = LogicNegationNode x > child-unchanged encode-in-ids inputs.simps list.set-intros(1)
local.wf rep.LogicNegationNode)
next
   case (AddNode \ n \ x \ y \ xe \ ye)
   then have kind g2 n = AddNode x y
      using kind-unchanged by metis
   then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
      {f using}\ inputs-of-LogicNegationNode\ inputs-of-are-usages
    by (metis\ AddNode.hyps(1)\ AddNode.hyps(2)\ AddNode.hyps(3)\ IRNodes.inputs-of-AddNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
   then show ?case
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by (metis\ AddNode.IH(1)\ AddNode.IH(2)\ AddNode.hyps(1)\ AddNode.hyps(2)
AddNode.hyps(3) \ AddNode.prems(1) \ IRNodes.inputs-of-AddNode \land kind \ g2 \ n = AddNode
xy child-unchanged encode-in-ids in-set-member inputs.simps local.wf member-rec(1)
rep.AddNode
next
     case (MulNode \ n \ x \ y \ xe \ ye)
     then have kind g2 n = MulNode x y
         using kind-unchanged by metis
     then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
         {f using}\ inputs-of\mbox{-}LogicNegationNode\ inputs-of\mbox{-}are\mbox{-}usages
     \textbf{by} \ (metis \ MulNode.hyps(2) \ MulNode.hyps(2) \ MulNode.hyps(3) \ IRNodes.inputs-of-MulNode \ Apple \ A
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using MulNode inputs-of-MulNode
     by (metis \land kind \ g2 \ n = MulNode \ x \ y) \ child-unchanged inputs.simps \ list.set-intros(1)
rep.MulNode\ set-subset-Cons subset-iff unchanged.elims(2))
next
     case (SubNode \ n \ x \ y \ xe \ ye)
    then have kind g2 \ n = SubNode \ x \ y
        using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-LogicNegationNode inputs-of-are-usages
     \textbf{by} \ (metis \ SubNode.hyps(1) \ SubNode.hyps(2) \ SubNode.hyps(3) \ IRNodes.inputs-of-SubNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using SubNode inputs-of-SubNode
      by (metis \land kind \ q2 \ n = SubNode \ x \ y) \ child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.SubNode)
next
     case (AndNode \ n \ x \ y \ xe \ ye)
     then have kind g2 n = AndNode x y
        using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-LogicNegationNode inputs-of-are-usages
     \textbf{by} \; (metis \; And Node. hyps(1) \; And Node. hyps(2) \; And Node. hyps(3) \; IR Nodes. inputs-of-And Node \; hyps(2) \; And Node \; hyps(3) \; IR Nodes. inputs-of-And Node \; hyps(4) \; IR Nodes. inputs-of-And Node \; hyps(4) \; IR Node \; 
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using AndNode inputs-of-AndNode
     by (metis \land kind \ q2 \ n = AndNode \ x \ y) \ child-unchanged inputs.simps \ list.set-intros(1)
rep. And Node\ set-subset-Cons\ subset-iff\ unchanged. elims (2))
next
     case (OrNode \ n \ x \ y \ xe \ ye)
     then have kind g2 n = OrNode x y
         using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-OrNode inputs-of-are-usages
     \textbf{by} \ (metis \ OrNode.hyps(1) \ OrNode.hyps(2) \ OrNode.hyps(3) \ IRNodes.inputs-of-OrNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using OrNode inputs-of-OrNode
       by (metis \langle kind \ g \ 2 \ n = OrNode \ x \ y \rangle child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.OrNode)
next
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case (XorNode \ n \ x \ y \ xe \ ye)
  then have kind g2 n = XorNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   using inputs-of-XorNode inputs-of-are-usages
  by (metis\ XorNode.hyps(1)\ XorNode.hyps(2)\ XorNode.hyps(3)\ IRNodes.inputs-of-XorNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using XorNode inputs-of-XorNode
  by (metis \land kind \ g2 \ n = XorNode \ x \ y) \ child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.XorNode)
next
  case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
  then have kind g2 n = ShortCircuitOrNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
   using inputs-of-XorNode inputs-of-are-usages
    by (metis ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(2) ShortCir-
cuitOrNode.hyps(3) IRNodes.inputs-of-ShortCircuitOrNode encode-in-ids in-mono
inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using ShortCircuitOrNode inputs-of-ShortCircuitOrNode
   by (metis \langle kind \ g2 \ n = ShortCircuitOrNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.ShortCircuitOrNode)
case (LeftShiftNode\ n\ x\ y\ xe\ ye)
  then have kind g2 n = LeftShiftNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   \mathbf{using}\ inputs-of\text{-}XorNode\ inputs-of\text{-}are\text{-}usages
    by (metis\ LeftShiftNode.hyps(1)\ LeftShiftNode.hyps(2)\ LeftShiftNode.hyps(3)
IRNodes.inputs-of-LeftShiftNode\ encode-in-ids\ in-mono\ inputs.simps\ inputs-are-usages
list.set-intros(1) set-subset-Cons)
 then show ?case using LeftShiftNode inputs-of-LeftShiftNode
     by (metis \langle kind \ g2 \ n = LeftShiftNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.LeftShiftNode)
next
case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind g2 n = RightShiftNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   using inputs-of-RightShiftNode inputs-of-are-usages
  \textbf{by} \ (\textit{metis RightShiftNode.hyps}(1) \ \textit{RightShiftNode.hyps}(2) \ \textit{RightShiftNode.hyps}(3)
IRNodes.inputs-of-RightShiftNode\ encode-in-ids\ in-mono\ inputs.simps\ inputs-are-usages
list.set-intros(1) set-subset-Cons)
  then show ?case using RightShiftNode inputs-of-RightShiftNode
    by (metis \langle kind \ g2 \ n = RightShiftNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.RightShiftNode)
case (UnsignedRightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind g2 n = UnsignedRightShiftNode x y
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using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   \mathbf{using}\ inputs-of\text{-}\textit{UnsignedRightShiftNode}\ inputs-of\text{-}\textit{are-usages}
   by (metis\ UnsignedRightShiftNode.hyps(1)\ UnsignedRightShiftNode.hyps(2)\ Un-
signedRightShiftNode.hyps(3) IRNodes.inputs-of-UnsignedRightShiftNode encode-in-ids
in-mono\ inputs.simps\ inputs-are-usages\ list.set-intros(1)\ set-subset-Cons)
 then show ?case using UnsignedRightShiftNode inputs-of-UnsignedRightShiftNode
  by (metis \land kind \ g2 \ n = UnsignedRightShiftNode \ x \ y) \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep. UnsignedRightShiftNode)
next
  case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
  then have kind g2 \ n = IntegerBelowNode \ x \ y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   using inputs-of-IntegerBelowNode inputs-of-are-usages
   by (metis\ IntegerBelowNode.hyps(1)\ IntegerBelowNode.hyps(2)\ IntegerBelowN-
ode.hyps(3) IRNodes.inputs-of-IntegerBelowNode encode-in-ids in-mono inputs.simps
inputs-are-usages list.set-intros(1) set-subset-Cons)
  {\bf then \ show} \ ? case \ {\bf using} \ Integer Below Node \ inputs-of-Integer Below Node
   by (metis \land kind \ g2 \ n = IntegerBelowNode \ x \ y) \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerBelowNode)
next
  case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
  then have kind g2 n = IntegerEqualsNode x y
   using kind-unchanged by metis
  then have x \in eval-usages g1 n \land y \in eval-usages g1 n
   using inputs-of-IntegerEqualsNode inputs-of-are-usages
   by (metis\ Integer Equals Node. hyps(1)\ Integer Equals Node. hyps(2)\ Integer Equal-
sNode.hyps (\textit{3}) \ IRNodes.inputs-of-Integer Equals Node\ encode-in-ids\ in-mono\ inputs.simps
inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using IntegerEqualsNode inputs-of-IntegerEqualsNode
   by (metis \langle kind \ q2 \ n = Integer Equals Node \ x \ y \rangle \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerEqualsNode)
  case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then have kind q2 n = IntegerLessThanNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
   \mathbf{using}\ inputs-of\text{-}IntegerLessThanNode\ inputs-of\text{-}are\text{-}usages
     by (metis\ IntegerLessThanNode.hyps(1)\ IntegerLessThanNode.hyps(2)\ Inte-
gerLessThanNode.hyps(3)\ IRNodes.inputs-of-IntegerLessThanNode\ encode-in-ids\ in-mono
inputs.simps\ inputs-are-usages\ list.set-intros(1)\ set-subset-Cons)
 then show ?case using IntegerLessThanNode inputs-of-IntegerLessThanNode
  by (metis \langle kind \ g \ 2 \ n = IntegerLessThanNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerLessThanNode)
next
  case (NarrowNode \ n \ ib \ rb \ x \ xe)
  then have kind g2 \ n = NarrowNode \ ib \ rb \ x
   using kind-unchanged by metis
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then have x \in eval\text{-}usages \ q1 \ n
           {\bf using} \ inputs-of\text{-}NarrowNode \ inputs-of\text{-}are\text{-}usages
       \textbf{by} \; (\textit{metis NarrowNode.hyps(1)} \; \textit{NarrowNode.hyps(2)} \; \textit{IRNodes.inputs-of-NarrowNode} \\
 encode-in-ids inputs.simps inputs-are-usages list.set-intros(1))
      then show ?case using NarrowNode inputs-of-NarrowNode
               by (metis \langle kind \ g2 \ n = NarrowNode \ ib \ rb \ x \rangle child-unchanged inputs.elims
list.set-intros(1) rep.NarrowNode unchanged.simps)
      case (SignExtendNode \ n \ ib \ rb \ x \ xe)
      then have kind g2 n = SignExtendNode ib rb x
           using kind-unchanged by metis
      then have x \in eval\text{-}usages g1 n
           \mathbf{using}\ inputs-of\text{-}SignExtendNode\ inputs-of\text{-}are\text{-}usages
             \mathbf{by}\ (\mathit{metis}\ \mathit{SignExtendNode.hyps}(1)\ \mathit{SignExtendNode.hyps}(2)\ \mathit{encode-in-ids}\ \mathit{in-ids}\ \mathit{in-id
puts.simps inputs-are-usages list.set-intros(1)
      then show ?case using SignExtendNode inputs-of-SignExtendNode
       by (metis \land kind g2 \ n = SignExtendNode \ ib \ rb \ x) \ child-member-in \ child-unchanged
in\text{-}set\text{-}member\ list.set\text{-}intros(1)\ rep.SignExtendNode\ unchanged.elims(2))}
      case (ZeroExtendNode \ n \ ib \ rb \ x \ xe)
      then have kind g2 \ n = ZeroExtendNode \ ib \ rb \ x
            using kind-unchanged by metis
      then have x \in eval\text{-}usages g1 n
           using inputs-of-ZeroExtendNode inputs-of-are-usages
       \textbf{by} \ (metis\ ZeroExtendNode.hyps(1)\ ZeroExtendNode.hyps(2)\ IRNodes.inputs-of-ZeroExtendNode
encode-in-ids inputs.simps inputs-are-usages list.set-intros(1))
      then show ?case using ZeroExtendNode inputs-of-ZeroExtendNode
       by (metis \land kind \ g2 \ n = ZeroExtendNode \ ib \ rb \ x) \ child-member-in \ child-unchanged
member-rec(1) rep.ZeroExtendNode unchanged.simps)
next
      case (LeafNode n s)
      then show ?case
           by (metis kind-unchanged rep.LeafNode stamp-unchanged)
      case (RefNode \ n \ n')
     then have kind q2 \ n = RefNode \ n'
           using kind-unchanged by metis
      then have n' \in eval\text{-}usages \ q1 \ n
                by (metis IRNodes.inputs-of-RefNode RefNode.hyps(1) RefNode.hyps(2) en-
code-in-ids inputs.elims inputs-are-usages list.set-intros(1))
      then show ?case
       \textbf{by} \ (\textit{metis IRNodes.inputs-of-RefNode RefNode.IH RefNode.hyps(1)} \ RefNode.hyps(2)
RefNode.prems(1) \land kind \ g2 \ n = RefNode \ n' \land child-unchanged \ encode-in-ids \ in-ids 
puts.elims list.set-intros(1) local.wf rep.RefNode)
qed
qed
```

```
theorem stay-same:
 assumes nc: unchanged (eval-usages g1 nid) g1 g2
 assumes g1: [g1, m, p] \vdash nid \mapsto v1
 assumes wf: wf-graph g1
 shows [g2, m, p] \vdash nid \mapsto v1
proof -
 have nid: nid \in ids \ g1
   using q1 eval-in-ids by simp
 then have nid \in eval\text{-}usages g1 \ nid
   using eval-usages-self by blast
 then have kind-same: kind g1 nid = kind g2 nid
   using nc node-unchanged by blast
 obtain e where e: (g1 \vdash nid \simeq e) \land ([m,p] \vdash e \mapsto v1)
   using encodeeval-def g1
   by auto
 then have val: [m,p] \vdash e \mapsto v1
   using g1 encodeeval-def
   by simp
 then show ?thesis using e nid nc
   unfolding encodeeval-def
 proof (induct e v1 arbitrary: nid rule: evaltree.induct)
   case (ConstantExpr\ c)
   then show ?case
     by (meson local.wf stay-same-encoding)
 next
   case (ParameterExpr i s)
   have g2 \vdash nid \simeq ParameterExpr i s
     using stay-same-encoding ParameterExpr
     by (meson\ local.wf)
   then show ?case using evaltree.ParameterExpr
     by (meson ParameterExpr.hyps)
   case (ConditionalExpr ce cond branch te fe v)
   then have g2 \vdash nid \simeq ConditionalExpr \ ce \ te \ fe
   using Conditional Expr.prems(1) Conditional Expr.prems(3) local.wf stay-same-encoding
     by presburger
   then show ?case
       by (meson\ Conditional Expr.prems(1)\ Conditional Expr.prems(3)\ local.wf
stay-same-encoding)
 next
   case (UnaryExpr xe v op)
   then show ?case
     using local.wf stay-same-encoding by blast
 next
   case (BinaryExpr\ xe\ x\ ye\ y\ op)
   then show ?case
     using local.wf stay-same-encoding by blast
 next
   case (LeafExpr\ val\ nid\ s)
```

```
then show ?case
     by (metis local.wf stay-same-encoding)
 \mathbf{qed}
qed
lemma add-changed:
 assumes gup = add-node new k g
 shows changeonly \{new\} g gup
 using assms unfolding add-node-def changeonly.simps
 using add-node.rep-eq add-node-def kind.rep-eq stamp.rep-eq by simp
lemma disjoint-change:
 assumes changeonly change g gup
 assumes nochange = ids g - change
 shows unchanged nochange q qup
 using assms unfolding changeonly.simps unchanged.simps
 \mathbf{by} blast
lemma add-node-unchanged:
 assumes new \notin ids g
 assumes nid \in ids g
 assumes gup = add-node new k g
 assumes wf-graph g
 shows unchanged (eval-usages g nid) g gup
proof -
 have new \notin (eval\text{-}usages \ g \ nid) using assms
   using eval-usages.simps by blast
 then have changeonly \{new\} g gup
   using assms add-changed by blast
 then show ?thesis using assms add-node-def disjoint-change
   using Diff-insert-absorb by auto
qed
lemma eval-uses-imp:
 ((nid' \in ids \ q \land nid = nid')
   \vee nid' \in inputs g nid
   \vee (\exists nid'' . eval\text{-}uses g nid nid'' \wedge eval\text{-}uses g nid'' nid'))
   \longleftrightarrow eval-uses g nid nid'
 using use0 use-inp use-trans
 by (meson eval-uses.simps)
lemma wf-use-ids:
 assumes wf-graph g
 assumes nid \in ids g
 assumes eval-uses g nid nid'
 shows nid' \in ids g
 using assms(3)
proof (induction rule: eval-uses.induct)
```

```
case use0
 then show ?case by simp
\mathbf{next}
  case use-inp
 then show ?case
   using assms(1) inp-in-g-wf by blast
\mathbf{next}
 {f case}\ use\mbox{-}trans
 then show ?case by blast
qed
lemma no-external-use:
 assumes wf-graph g
 assumes nid' \notin ids g
 assumes nid \in ids q
 shows \neg(eval\text{-}uses\ q\ nid\ nid')
proof -
 have 0: nid \neq nid'
   using assms by blast
 \mathbf{have}\ inp:\ nid'\notin\ inputs\ g\ nid
   using assms
   using inp-in-g-wf by blast
 have rec-0: \nexists n . n \in ids \ g \land n = nid'
   using assms by blast
 have rec-inp: \nexists n . n \in ids \ g \land n \in inputs \ g \ nid'
   using assms(2) inp-in-g by blast
 have rec: \nexists nid''. eval-uses g nid nid'' \land eval-uses g nid'' nid'
   using wf-use-ids assms(1) assms(2) assms(3) by blast
 from inp 0 rec show ?thesis
   using eval-uses-imp by blast
qed
end
```

## 7.8 Tree to Graph Theorems

```
\begin{tabular}{l} \textbf{theory} & \textit{TreeToGraphThms} \\ \textbf{imports} \\ & \textit{IRTreeEvalThms} \\ & \textit{IRGraphFrames} \\ & \textit{HOL-Eisbach.Eisbach} \\ & \textit{HOL-Eisbach.Eisbach-Tools} \\ \textbf{begin} \\ \end{tabular}
```

## 7.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful

```
named-theorems rep
lemma rep-constant [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = ConstantNode\ c \Longrightarrow
   e = ConstantExpr\ c
  by (induction rule: rep.induct; auto)
lemma rep-parameter [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind \ q \ n = ParameterNode \ i \Longrightarrow
   (\exists s. e = ParameterExpr i s)
  by (induction rule: rep.induct; auto)
lemma rep-conditional [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = ConditionalNode\ c\ t\ f \Longrightarrow
  (\exists ce te fe. e = ConditionalExpr ce te fe)
  by (induction rule: rep.induct; auto)
lemma rep-abs [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = AbsNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryAbs\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-not [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = NotNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNot\ xe)
 by (induction rule: rep.induct; auto)
lemma rep-negate [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = NegateNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNeg\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-logicnegation [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = LogicNegationNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryLogicNegation\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-add [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = AddNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinAdd \ xe \ ye)
```

for proving that 'rep' is deterministic.

```
by (induction rule: rep.induct; auto)
lemma rep-sub [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = SubNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinSub \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-mul [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind \ g \ n = MulNode \ x \ y \Longrightarrow
  (\exists xe \ ye. \ e = BinaryExpr \ BinMul \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-and [rep]:
  q \vdash n \simeq e \Longrightarrow
  kind\ g\ n = AndNode\ x\ y \Longrightarrow
  (\exists xe \ ye. \ e = BinaryExpr \ BinAnd \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-or [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind \ g \ n = OrNode \ x \ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinOr \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-xor [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = XorNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinXor \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-short-circuit-or [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = ShortCircuitOrNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinShortCircuitOr \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-left-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = LeftShiftNode\ x\ y \Longrightarrow
  (\exists xe \ ye. \ e = BinaryExpr \ BinLeftShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-right-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = RightShiftNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinRightShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
```

```
lemma rep-unsigned-right-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = UnsignedRightShiftNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinURightShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-below [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = IntegerBelowNode\ x\ y \Longrightarrow
  (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerBelow \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-equals [rep]:
  q \vdash n \simeq e \Longrightarrow
   kind\ q\ n = IntegerEqualsNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerEquals \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-less-than [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = IntegerLessThanNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerLessThan \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-narrow [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = NarrowNode\ ib\ rb\ x \Longrightarrow
  (\exists x. \ e = UnaryExpr(UnaryNarrow ib \ rb) \ x)
  by (induction rule: rep.induct; auto)
lemma rep-sign-extend [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = SignExtendNode\ ib\ rb\ x \Longrightarrow
   (\exists x. \ e = UnaryExpr (UnarySignExtend \ ib \ rb) \ x)
 by (induction rule: rep.induct; auto)
lemma rep-zero-extend [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = ZeroExtendNode\ ib\ rb\ x \Longrightarrow
   (\exists x. \ e = UnaryExpr\ (UnaryZeroExtend\ ib\ rb)\ x)
  by (induction rule: rep.induct; auto)
lemma rep-load-field [rep]:
  g \vdash n \simeq e \Longrightarrow
   is-preevaluated (kind \ g \ n) \Longrightarrow
   (\exists s. \ e = LeafExpr \ n \ s)
  by (induction rule: rep.induct; auto)
```

```
g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = RefNode\ n' \Longrightarrow
    g \vdash n' \simeq e
  by (induction rule: rep.induct; auto)
method solve-det uses node =
   (match\ node\ \mathbf{in}\ kind\ {\mbox{--}} = node\ {\mbox{--}}\ \mathbf{for}\ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node\ -=\ node\ -)=-\Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x. \; - = node \; x \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ - \neq RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle |
    match \ node \ \mathbf{in} \ kind - - = node - - \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node --= node --) = - \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x \; y. \; - = node \; x \; y \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ -\ - \neq RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle \rangle
    match \ node \ \mathbf{in} \ kind - - = node - - - \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node --- = node ---) = - \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x \; y \; z. \; - = \; node \; x \; y \; z \Longrightarrow -) \Longrightarrow - \Longrightarrow
              < match\ IRNode.distinct\ in\ d:\ node\ -\ -\ - \neq\ RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle |
   match \ node \ \mathbf{in} \ kind - - = node - - - \ \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node\ {\ \ ---} = node\ {\ \ ---}) = {\ \ -} \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x. \; - = node \; - \; x \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ -\ -\ \neq\ RefNode\ -\ \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle
Now we can prove that 'rep' and 'eval', and their list versions, are determin-
istic.
lemma repDet:
  shows (g \vdash n \simeq e_1) \Longrightarrow (g \vdash n \simeq e_2) \Longrightarrow e_1 = e_2
proof (induction arbitrary: e<sub>2</sub> rule: rep.induct)
   case (ConstantNode \ n \ c)
   then show ?case using rep-constant by auto
next
  case (ParameterNode \ n \ i \ s)
  then show ?case
     by (metis IRNode.disc(2685) ParameterNodeE is-RefNode-def rep-parameter)
   case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
   then show ?case
     using IRNode.distinct(593)
     \mathbf{using}\ \mathit{IRNode.inject}(6)\ \mathit{ConditionalNodeE}\ \mathit{rep-conditional}
```

**lemma** rep-ref [rep]:

```
by metis
next
 case (AbsNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: AbsNode)
next
 case (NotNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: NotNode)
\mathbf{next}
 case (NegateNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: NegateNode)
\mathbf{next}
  case (LogicNegationNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: LogicNegationNode)
next
 case (AddNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: AddNode)
\mathbf{next}
  case (MulNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: MulNode)
next
 case (SubNode \ n \ x \ y \ xe \ ye)
 then show ?case
   \mathbf{by}\ (solve\text{-}det\ node:\ SubNode)
next
 case (AndNode \ n \ x \ y \ xe \ ye)
 then show ?case
   \mathbf{by}\ (solve\text{-}det\ node:\ AndNode)
 case (OrNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: OrNode)
next
 case (XorNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: XorNode)
next
 case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: ShortCircuitOrNode)
 case (LeftShiftNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: LeftShiftNode)
```

```
next
 case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: RightShiftNode)
next
  \mathbf{case} \ (\mathit{UnsignedRightShiftNode} \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: UnsignedRightShiftNode)
next
  case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: IntegerBelowNode)
next
 case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: IntegerEqualsNode)
 case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: IntegerLessThanNode)
\mathbf{next}
  case (NarrowNode \ n \ x \ xe)
 then show ?case
   by (metis IRNode.distinct(2203) IRNode.inject(28) NarrowNodeE rep-narrow)
next
  case (SignExtendNode \ n \ x \ xe)
  then show ?case
  by (metis IRNode.distinct(2599) IRNode.inject(39) SignExtendNodeE rep-sign-extend)
\mathbf{next}
 case (ZeroExtendNode \ n \ x \ xe)
 then show ?case
  by (metis IRNode.distinct(2753) IRNode.inject(50) ZeroExtendNodeE rep-zero-extend)
next
 case (LeafNode \ n \ s)
 then show ?case using rep-load-field LeafNodeE
   by (metis\ is-preevaluated.simps(53))
next
  case (RefNode n')
 then show ?case
   using rep-ref by blast
\mathbf{qed}
lemma repAllDet:
 g \vdash xs \simeq_L e1 \Longrightarrow
  g \vdash xs \simeq_L e2 \Longrightarrow
proof (induction arbitrary: e2 rule: replist.induct)
 case RepNil
 then show ?case
```

```
using replist.cases by auto
\mathbf{next}
 case (RepCons \ x \ xe \ xs \ xse)
 then show ?case
   by (metis list.distinct(1) list.sel(1) list.sel(3) repDet replist.cases)
\mathbf{qed}
lemma encodeEvalDet:
 [g,m,p] \vdash e \mapsto v1 \Longrightarrow
  [g,m,p] \vdash e \mapsto v2 \Longrightarrow
  v1 = v2
 by (metis encodeeval-def evalDet repDet)
lemma graphDet: ([g,m,p] \vdash n \mapsto v_1) \land ([g,m,p] \vdash n \mapsto v_2) \Longrightarrow v_1 = v_2
 using encodeEvalDet by blast
7.8.2 Monotonicity of Graph Refinement
Lift refinement monotonicity to graph level. Hopefully these shouldn't really
be required.
lemma mono-abs:
 assumes kind \ g1 \ n = AbsNode \ x \land kind \ g2 \ n = AbsNode \ x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 by (metis AbsNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet)
lemma mono-not:
 assumes kind\ g1\ n = NotNode\ x \land kind\ g2\ n = NotNode\ x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \geq e2
 by (metis\ NotNode\ assms(1)\ assms(2)\ assms(3)\ assms(4)\ mono-unary\ repDet)
lemma mono-negate:
 assumes kind g1 n = NegateNode x \land kind g2 n = NegateNode x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 by (metis NegateNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet)
lemma mono-logic-negation:
 assumes kind g1 n = LogicNegationNode x \land kind g2 n = LogicNegationNode x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
```

assumes  $(g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)$ 

```
shows e1 > e2
 by (metis LogicNegationNode assms(1) assms(2) assms(3) assms(4) mono-unary
repDet)
lemma mono-narrow:
 assumes kind g1 n = NarrowNode ib rb x \wedge kind g2 n = NarrowNode ib rb x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using assms mono-unary repDet NarrowNode
 by metis
lemma mono-sign-extend:
 assumes kind g1 n = SignExtendNode ib rb x \wedge kind g2 n = SignExtendNode ib
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
  by (metis SignExtendNode assms(1) assms(2) assms(3) assms(4) mono-unary
repDet)
lemma mono-zero-extend:
 assumes kind g1 n = ZeroExtendNode ib rb x \land kind g2 n = ZeroExtendNode ib
rb x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using assms mono-unary repDet ZeroExtendNode
 by metis
lemma mono-conditional-graph:
 assumes kind g1 n = ConditionalNode\ c\ t\ f \land kind\ g2\ n = ConditionalNode\ c\ t\ f
 assumes (g1 \vdash c \simeq ce1) \land (g2 \vdash c \simeq ce2)
 assumes (g1 \vdash t \simeq te1) \land (g2 \vdash t \simeq te2)
 assumes (g1 \vdash f \simeq fe1) \land (g2 \vdash f \simeq fe2)
 assumes ce1 \ge ce2 \land te1 \ge te2 \land fe1 \ge fe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using ConditionalNodeE\ IRNode.inject(6)\ assms(1)\ assms(2)\ assms(3)\ assms(4)
assms(5) assms(6) mono-conditional repDet rep-conditional
 by (smt (verit, best) ConditionalNode)
lemma mono-add:
  assumes kind g1 n = AddNode \ x \ y \land kind \ g2 \ n = AddNode \ x \ y
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes (g1 \vdash y \simeq ye1) \land (g2 \vdash y \simeq ye2)
```

```
assumes xe1 \ge xe2 \land ye1 \ge ye2
  assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
  shows e1 \ge e2
  using mono-binary assms AddNodeE IRNode.inject(2) repDet rep-add
  by (metis\ IRNode.distinct(205))
lemma mono-mul:
  assumes kind g1 n = MulNode \ x \ y \land kind \ g2 \ n = MulNode \ x \ y
  assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
  assumes (g1 \vdash y \simeq ye1) \land (g2 \vdash y \simeq ye2)
  assumes xe1 \ge xe2 \land ye1 \ge ye2
  assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
  shows e1 \ge e2
  using mono-binary assms IRNode.inject(27) MulNodeE repDet rep-mul
  by (smt (verit, best) MulNode)
lemma term-graph-evaluation:
  (g \vdash n \leq e) \Longrightarrow (\forall m p v . ([m,p] \vdash e \mapsto v) \longrightarrow ([g,m,p] \vdash n \mapsto v))
  unfolding graph-represents-expression-def apply auto
  by (meson encodeeval-def)
lemma encodes-contains:
  g \vdash n \simeq e \Longrightarrow
  kind \ g \ n \neq NoNode
  apply (induction rule: rep.induct)
  apply (match IRNode.distinct in e: ?n \neq NoNode \Rightarrow
         \langle presburger \ add: \ e \rangle) +
  apply force
  by fastforce
lemma no-encoding:
  assumes n \notin ids g
 shows \neg(g \vdash n \simeq e)
  using assms apply simp apply (rule notI) by (induction e; simp add: en-
codes-contains)
lemma not-excluded-keep-type:
  assumes n \in ids \ g1
  assumes n \notin excluded
  assumes (excluded \subseteq as\text{-}set g1) \subseteq as\text{-}set g2
  shows kind \ g1 \ n = kind \ g2 \ n \wedge stamp \ g1 \ n = stamp \ g2 \ n
  using assms unfolding as-set-def domain-subtraction-def by blast
method metis-node-eq-unary for node :: 'a \Rightarrow IRNode =
  (match\ IRNode.inject\ \mathbf{in}\ i:\ (node\ -=\ node\ -)=-\Rightarrow
     \langle metis i \rangle
method metis-node-eq-binary for node :: 'a \Rightarrow 'a \Rightarrow IRNode =
  (match\ IRNode.inject\ \mathbf{in}\ i:\ (node\ -\ -=\ node\ -\ -)=\ -\Rightarrow
```

```
\langle metis \ i \rangle \rangle method metis-node-eq-ternary for node :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow IRNode = (match \ IRNode.inject \ in \ i: (node - - - = node - - -) = - \Rightarrow \langle metis \ i \rangle )
```

## 7.8.3 Lift Data-flow Tree Refinement to Graph Refinement

```
theorem graph-semantics-preservation:
 assumes a: e1' \geq e2'
 assumes b: (\{n'\} \subseteq as\text{-set } g1) \subseteq as\text{-set } g2
 assumes c: g1 \vdash n' \simeq e1'
 assumes d: g2 \vdash n' \simeq e2'
 shows graph-refinement g1 g2
 unfolding graph-refinement-def apply rule
  apply (metis b d ids-some no-encoding not-excluded-keep-type singleton-iff sub-
setI)
 apply (rule allI) apply (rule impI) apply (rule allI) apply (rule impI)
 unfolding graph-represents-expression-def
proof -
 fix n e1
 assume e: n \in ids \ g1
 assume f: (g1 \vdash n \simeq e1)
 show \exists e2. (g2 \vdash n \simeq e2) \land e1 \geq e2
 proof (cases n = n')
   case True
   have g: e1 = e1' using cf True repDet by simp
   have h: (g2 \vdash n \simeq e2') \land e1' \geq e2'
     using True a d by blast
   then show ?thesis
     using q by blast
 next
   {\bf case}\ \mathit{False}
   have n \notin \{n'\}
     using False by simp
   then have i: kind\ g1\ n=kind\ g2\ n\ \wedge\ stamp\ g1\ n=stamp\ g2\ n
     using not-excluded-keep-type
     using b e by presburger
   show ?thesis using f i
   proof (induction e1)
     case (ConstantNode \ n \ c)
     then show ?case
       by (metis eq-refl rep. ConstantNode)
   next
     case (ParameterNode \ n \ i \ s)
     then show ?case
       \mathbf{by}\ (metis\ eq\text{-}refl\ rep.ParameterNode)
   next
     case (ConditionalNode n c t f ce1 te1 fe1)
```

```
have k: q1 \vdash n \simeq ConditionalExpr ce1 te1 fe1 using f ConditionalNode
      by (simp\ add:\ ConditionalNode.hyps(2)\ rep.ConditionalNode)
     obtain cn tn fn where l: kind g1 n = ConditionalNode cn tn fn
       using ConditionalNode.hyps(1) by blast
     then have mc: g1 \vdash cn \simeq ce1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(2) by fastforce
     from l have mt: g1 \vdash tn \simeq te1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(3) by fastforce
     from l have mf: g1 \vdash fn \simeq fe1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(4) by fastforce
     then show ?case
     proof -
      have g1 \vdash cn \simeq ce1 using mc by simp
      have g1 \vdash tn \simeq te1 using mt by simp
      have g1 \vdash fn \simeq fe1 using mf by simp
      have cer: \exists ce2. (q2 \vdash cn \simeq ce2) \land ce1 > ce2
        using ConditionalNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-ternary ConditionalNode)
       have ter: \exists te2. (g2 \vdash tn \simeq te2) \land te1 \geq te2
        using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singleton D
        by (metis-node-eq-ternary ConditionalNode)
      have \exists fe2. (g2 \vdash fn \simeq fe2) \land fe1 \geq fe2
        using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-ternary ConditionalNode)
         then have \exists ce2 te2 fe2. (g2 \vdash n \simeq ConditionalExpr ce2 te2 fe2) \land
Conditional Expr\ ce1\ te1\ fe1 \geq\ Conditional Expr\ ce2\ te2\ fe2
        using ConditionalNode.prems l rep.ConditionalNode cer ter
        by (smt (verit) mono-conditional)
      then show ?thesis
        \mathbf{by}\ meson
     qed
   next
     case (AbsNode \ n \ x \ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryAbs\ xe1\ using\ f\ AbsNode
      \mathbf{by}\ (simp\ add:\ AbsNode.hyps(2)\ rep.AbsNode)
     obtain xn where l: kind g1 n = AbsNode xn
       using AbsNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using AbsNode.hyps(1) AbsNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      {\bf case}\  \, True
      then have n: xe1 = e1' using c m repDet by simp
       then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryAbs\ e2' using AbsNode.hyps(1)
l m n
        using AbsNode.prems True d rep.AbsNode by simp
```

```
then have r: UnaryExpr\ UnaryAbs\ e1' \geq UnaryExpr\ UnaryAbs\ e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      {f case} False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AbsNode
       using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        \mathbf{by}\ (\textit{metis-node-eq-unary}\ \textit{AbsNode})
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryAbs\ xe2) \land UnaryExpr
UnaryAbs \ xe1 \ge UnaryExpr \ UnaryAbs \ xe2
        by (metis AbsNode.prems l mono-unary rep.AbsNode)
      then show ?thesis
        by meson
     qed
   next
     case (NotNode \ n \ x \ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryNot\ xe1\ using\ f\ NotNode
      by (simp add: NotNode.hyps(2) rep.NotNode)
     obtain xn where l: kind g1 n = NotNode xn
       using NotNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using NotNode.hyps(1) NotNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      \mathbf{case} \ \mathit{True}
      then have n: xe1 = e1' using c \ m \ repDet by simp
       then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryNot\ e2'\ using\ NotNode.hyps(1)
l m n
        using NotNode.prems True d rep.NotNode by simp
      then have r: UnaryExpr\ UnaryNot\ e1' \ge UnaryExpr\ UnaryNot\ e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
       case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        \mathbf{using}\ \mathit{NotNode}
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NotNode)
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryNot\ xe2) \land UnaryExpr
UnaryNot \ xe1 \ge UnaryExpr \ UnaryNot \ xe2
        by (metis NotNode.prems l mono-unary rep.NotNode)
       then show ?thesis
        by meson
     qed
```

```
next
     case (NegateNode \ n \ x \ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryNeg\ xe1\ using\ f\ NegateNode
      by (simp add: NegateNode.hyps(2) rep.NegateNode)
     obtain xn where l: kind g1 n = NegateNode xn
       using NegateNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using NegateNode.hyps(1) NegateNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      {\bf case}\ {\it True}
      then have n: xe1 = e1' using c \ m \ repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryNeg\ e2'\ using\ NegateNode.hyps(1)
l m n
        using NegateNode.prems True d rep.NegateNode by simp
      then have r: UnaryExpr\ UnaryNeg\ e1' \geq UnaryExpr\ UnaryNeg\ e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using NegateNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NegateNode)
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryNeg\ xe2) \land UnaryExpr
UnaryNeg \ xe1 \ge UnaryExpr \ UnaryNeg \ xe2
        by (metis NegateNode.prems l mono-unary rep.NegateNode)
      then show ?thesis
        by meson
     qed
   next
     case (LogicNegationNode\ n\ x\ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ xe1\ using\ f\ LogicNega-
      \mathbf{by}\ (simp\ add:\ LogicNegationNode.hyps(2)\ rep.LogicNegationNode)
     obtain xn where l: kind g1 n = LogicNegationNode xn
       using LogicNegationNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using LogicNegationNode.hyps(1) LogicNegationNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c m repDet by simp
         then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ e2' using
LogicNegationNode.hyps(1) \ l \ m \ n
        using LogicNegationNode.prems True d rep.LogicNegationNode by simp
      then have r: UnaryExpr\ UnaryLogicNegation\ e1' \geq UnaryExpr\ UnaryLog-
```

```
icNegation e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      {f case} False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using LogicNegationNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary LogicNegationNode)
         then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ xe2) \land
UnaryExpr\ UnaryLogicNegation\ xe1 \geq UnaryExpr\ UnaryLogicNegation\ xe2
       by (metis\ LogicNegationNode.prems\ l\ mono-unary\ rep.LogicNegationNode)
      then show ?thesis
        by meson
     qed
   next
     case (AddNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinAdd\ xe1\ ye1 using f\ AddNode
      \mathbf{by}\ (simp\ add\colon AddNode.hyps(2)\ rep.AddNode)
     obtain xn yn where l: kind g1 n = AddNode xn yn
       using AddNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using AddNode.hyps(1) AddNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
      using AddNode.hyps(1) AddNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AddNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AddNode)
      have \exists ye2. (q2 \vdash yn \simeq ye2) \land ye1 > ye2
        using AddNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AddNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAdd xe2 ye2) \land BinaryExpr
BinAdd\ xe1\ ye1 \geq BinaryExpr\ BinAdd\ xe2\ ye2
        by (metis AddNode.prems l mono-binary rep.AddNode xer)
      then show ?thesis
        by meson
     qed
   next
     case (MulNode \ n \ x \ y \ xe1 \ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinMul\ xe1\ ye1\ using\ f\ MulNode
      by (simp add: MulNode.hyps(2) rep.MulNode)
```

```
obtain xn yn where l: kind q1 n = MulNode xn yn
       using MulNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using MulNode.hyps(1) MulNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using MulNode.hyps(1) MulNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using MulNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary MulNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
        using MulNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary MulNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMul xe2 ye2) \land BinaryExpr
BinMul\ xe1\ ye1 \geq BinaryExpr\ BinMul\ xe2\ ye2
        by (metis MulNode.prems l mono-binary rep.MulNode xer)
       then show ?thesis
        by meson
     qed
   next
     case (SubNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinSub\ xe1\ ye1 using f\ SubNode
      by (simp\ add:\ SubNode.hyps(2)\ rep.SubNode)
     obtain xn yn where l: kind g1 n = SubNode xn yn
      using SubNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using SubNode.hyps(1) SubNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using SubNode.hyps(1) SubNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using SubNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary SubNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
      \mathbf{using} \; SubNode \; a \; b \; c \; d \; l \; no\text{-}encoding \; not\text{-}excluded\text{-}keep\text{-}type \; repDet \; singletonD
        by (metis-node-eq-binary SubNode)
      then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinSub xe2 ye2) \land BinaryExpr
BinSub \ xe1 \ ye1 > BinaryExpr \ BinSub \ xe2 \ ye2
        by (metis SubNode.prems l mono-binary rep.SubNode xer)
      then show ?thesis
```

```
by meson
     qed
   \mathbf{next}
     case (AndNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinAnd\ xe1\ ye1 using f\ AndNode
      by (simp\ add:\ AndNode.hyps(2)\ rep.AndNode)
     obtain xn yn where l: kind g1 n = AndNode xn yn
       using AndNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using AndNode.hyps(1) AndNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
      using AndNode.hyps(1) AndNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have q1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AndNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AndNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary AndNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAnd xe2 ye2) \land BinaryExpr
BinAnd\ xe1\ ye1 \geq BinaryExpr\ BinAnd\ xe2\ ye2
        by (metis AndNode.prems l mono-binary rep.AndNode xer)
      then show ?thesis
        \mathbf{by}\ meson
     qed
   next
     case (OrNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinOr\ xe1\ ye1\ using\ f\ OrNode
      by (simp\ add:\ OrNode.hyps(2)\ rep.OrNode)
     obtain xn yn where l: kind g1 n = OrNode xn yn
      using OrNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using OrNode.hyps(1) OrNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using OrNode.hyps(1) OrNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using OrNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
```

```
using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
      then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinOr xe2 ye2) \land BinaryExpr
BinOr\ xe1\ ye1 \geq BinaryExpr\ BinOr\ xe2\ ye2
        by (metis OrNode.prems l mono-binary rep.OrNode xer)
      then show ?thesis
        by meson
    qed
   next
    case (XorNode \ n \ x \ y \ xe1 \ ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinXor\ xe1\ ye1 using f\ XorNode
      by (simp\ add:\ XorNode.hyps(2)\ rep.XorNode)
    obtain xn yn where l: kind g1 n = XorNode xn yn
      using XorNode.hyps(1) by blast
    then have mx: q1 \vdash xn \simeq xe1
      using XorNode.hyps(1) XorNode.hyps(2) by fastforce
    from l have my: g1 \vdash yn \simeq ye1
      using XorNode.hyps(1) XorNode.hyps(3) by fastforce
    then show ?case
    proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using XorNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary XorNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary XorNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinXor xe2 ye2) \land BinaryExpr
BinXor\ xe1\ ye1 \ge BinaryExpr\ BinXor\ xe2\ ye2
        by (metis XorNode.prems l mono-binary rep.XorNode xer)
      then show ?thesis
        by meson
    qed
   \mathbf{next}
   case (ShortCircuitOrNode n x y xe1 ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinShortCircuitOr\ xe1\ ye1\ using\ f\ ShortCir-
cuitOrNode
      by (simp add: ShortCircuitOrNode.hyps(2) rep.ShortCircuitOrNode)
    obtain xn yn where l: kind g1 n = ShortCircuitOrNode xn yn
      using ShortCircuitOrNode.hyps(1) by blast
    then have mx: g1 \vdash xn \simeq xe1
     using ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(2) by fastforce
    from l have my: g1 \vdash yn \simeq ye1
     using ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(3) by fastforce
    then show ?case
    proof -
```

```
have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using ShortCircuitOrNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary ShortCircuitOrNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
          using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
        by (metis-node-eq-binary ShortCircuitOrNode)
      then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinShortCircuitOr xe2 ye2) \land
BinaryExpr\ BinShortCircuitOr\ xe1\ ye1 \geq BinaryExpr\ BinShortCircuitOr\ xe2\ ye2
       \mathbf{by}\ (\mathit{metis}\ ShortCircuitOrNode.prems\ l\ mono-binary\ rep.ShortCircuitOrNode
xer
       then show ?thesis
        by meson
     qed
   next
     case (LeftShiftNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinLeftShift\ xe1\ ye1\ using\ f\ LeftShiftNode
       by (simp add: LeftShiftNode.hyps(2) rep.LeftShiftNode)
     obtain xn yn where l: kind g1 n = LeftShiftNode xn yn
       using LeftShiftNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using LeftShiftNode.hyps(1) LeftShiftNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using LeftShiftNode.hyps(1) LeftShiftNode.hyps(3) by fastforce
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using LeftShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary LeftShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 > ye2
         using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary LeftShiftNode)
         then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinLeftShift xe2 ye2) \land
BinaryExpr\ BinLeftShift\ xe1\ ye1 \geq BinaryExpr\ BinLeftShift\ xe2\ ye2
        by (metis LeftShiftNode.prems l mono-binary rep.LeftShiftNode xer)
       then show ?thesis
        by meson
     qed
   next
     case (RightShiftNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinRightShift\ xe1\ ye1\ using\ f\ RightShiftNode
       by (simp add: RightShiftNode.hyps(2) rep.RightShiftNode)
```

```
obtain xn yn where l: kind g1 n = RightShiftNode xn yn
       using RightShiftNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using RightShiftNode.hyps(1) RightShiftNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using RightShiftNode.hyps(1) RightShiftNode.hyps(3) by fastforce
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using RightShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary RightShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
         using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary RightShiftNode)
        then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinRightShift xe2 ye2) \land
BinaryExpr\ BinRightShift\ xe1\ ye1 \geq BinaryExpr\ BinRightShift\ xe2\ ye2
        by (metis RightShiftNode.prems l mono-binary rep.RightShiftNode xer)
       then show ?thesis
        \mathbf{by} \ meson
     qed
   next
     case (UnsignedRightShiftNode n x y xe1 ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinURightShift\ xe1\ ye1\ using\ f\ UnsignedRight-
ShiftNode
      \textbf{by} \ (simp \ add: \ Unsigned Right Shift Node. hyps (2) \ rep. \ Unsigned Right Shift Node)
     obtain xn \ yn \ where l: kind \ g1 \ n = UnsignedRightShiftNode <math>xn \ yn
       using UnsignedRightShiftNode.hyps(1) by blast
     then have mx: q1 \vdash xn \simeq xe1
       using UnsignedRightShiftNode.hyps(1) UnsignedRightShiftNode.hyps(2) by
fast force
     from l have my: g1 \vdash yn \simeq ye1
       using UnsignedRightShiftNode.hyps(1) UnsignedRightShiftNode.hyps(3) by
fast force
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using UnsignedRightShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary UnsignedRightShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
        using UnsignedRightShiftNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
        by (metis-node-eq-binary UnsignedRightShiftNode)
```

```
then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinURightShift xe2 ye2) \land
BinaryExpr\ BinURightShift\ xe1\ ye1 \geq BinaryExpr\ BinURightShift\ xe2\ ye2
     \mathbf{by} \; (\textit{metis UnsignedRightShiftNode.prems l mono-binary rep. UnsignedRightShiftNode})
xer
      then show ?thesis
        by meson
     qed
     case (IntegerBelowNode n x y xe1 ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerBelow\ xe1\ ye1 using f IntegerBe-
lowNode
      by (simp\ add:\ IntegerBelowNode.hyps(2)\ rep.IntegerBelowNode)
     obtain xn yn where l: kind g1 n = IntegerBelowNode xn yn
      using IntegerBelowNode.hyps(1) by blast
     then have mx: q1 \vdash xn \simeq xe1
       using IntegerBelowNode.hyps(1) IntegerBelowNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using IntegerBelowNode.hyps(1) IntegerBelowNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using IntegerBelowNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary IntegerBelowNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
       using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary IntegerBelowNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerBelow xe2 ye2) <math>\land
BinaryExpr\ BinIntegerBelow\ xe1\ ye1 \geq BinaryExpr\ BinIntegerBelow\ xe2\ ye2
          by (metis\ IntegerBelowNode.prems\ l\ mono-binary\ rep.IntegerBelowNode
xer
      then show ?thesis
        by meson
     qed
     case (IntegerEqualsNode\ n\ x\ y\ xe1\ ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerEquals\ xe1\ ye1 using f IntegerEqual-
sNode
      by (simp\ add:\ IntegerEqualsNode.hyps(2)\ rep.IntegerEqualsNode)
     obtain xn yn where l: kind g1 n = IntegerEqualsNode xn yn
      using IntegerEqualsNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using IntegerEqualsNode.hyps(1) IntegerEqualsNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using IntegerEqualsNode.hyps(1) IntegerEqualsNode.hyps(3) by fastforce
     then show ?case
```

```
proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
         using IntegerEqualsNode
         using a b c d l no-encoding not-excluded-keep-type repDet singletonD
         by (metis-node-eq-binary IntegerEqualsNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
         by (metis-node-eq-binary IntegerEqualsNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerEquals xe2 ye2) \land
BinaryExpr\ BinIntegerEquals\ xe1\ ye1 \geq BinaryExpr\ BinIntegerEquals\ xe2\ ye2
         \mathbf{by}\ (\mathit{metis}\ \mathit{IntegerEqualsNode}. \mathit{prems}\ \mathit{l}\ \mathit{mono-binary}\ \mathit{rep}. \mathit{IntegerEqualsNode}
xer
       then show ?thesis
         by meson
     qed
   next
     case (IntegerLessThanNode\ n\ x\ y\ xe1\ ye1)
      have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerLessThan\ xe1\ ye1 using f Inte-
gerLessThanNode
       by (simp\ add:\ IntegerLessThanNode.hyps(2)\ rep.IntegerLessThanNode)
     obtain xn yn where l: kind g1 n = IntegerLessThanNode <math>xn yn
       using IntegerLessThanNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using IntegerLessThanNode.hyps(1) IntegerLessThanNode.hyps(2) by fast-
force
     from l have my: g1 \vdash yn \simeq ye1
       using IntegerLessThanNode.hyps(1) IntegerLessThanNode.hyps(3) by fast-
force
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (q2 \vdash xn \simeq xe2) \land xe1 > xe2
         using IntegerLessThanNode
         using a b c d l no-encoding not-excluded-keep-type repDet singletonD
         by (metis-node-eq-binary IntegerLessThanNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
         {\bf using} \ IntegerLess Than Node \ a \ b \ c \ d \ l \ no\text{-}encoding \ not\text{-}excluded\text{-}keep\text{-}type
repDet \ singletonD
         by (metis-node-eq-binary IntegerLessThanNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerLessThan xe2 ye2)
\land BinaryExpr BinIntegerLessThan xe1 ye1 \ge BinaryExpr BinIntegerLessThan xe2
ye2
      by (metis\ IntegerLess\ ThanNode.prems\ l\ mono-binary\ rep.IntegerLess\ ThanNode
xer
       then show ?thesis
```

```
by meson
     \mathbf{qed}
   \mathbf{next}
     case (NarrowNode n inputBits resultBits x xe1)
     have k: g1 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) xe1 using
f NarrowNode
      by (simp\ add:\ NarrowNode.hyps(2)\ rep.NarrowNode)
     obtain xn where l: kind g1 n = NarrowNode inputBits resultBits <math>xn
      \mathbf{using}\ \mathit{NarrowNode.hyps}(\mathit{1})\ \mathbf{by}\ \mathit{blast}
     then have m: g1 \vdash xn \simeq xe1
      using NarrowNode.hyps(1) NarrowNode.hyps(2)
      by auto
     then show ?case
     proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c \ m \ repDet by simp
      then have ev: g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) e2'
using NarrowNode.hyps(1) \ l \ m \ n
        using NarrowNode.prems True d rep.NarrowNode by simp
    then have r: UnaryExpr(UnaryNarrow\ inputBits\ resultBits)\ e1' \geq UnaryExpr
(UnaryNarrow inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using NarrowNode
       using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        by (metis-node-eq-ternary NarrowNode)
         then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits re-
sultBits) \ xe2) \land \ UnaryExpr \ (UnaryNarrow \ inputBits \ resultBits) \ xe1 \ge UnaryExpr
(UnaryNarrow inputBits resultBits) xe2
        by (metis NarrowNode.prems l mono-unary rep.NarrowNode)
      then show ?thesis
        by meson
     qed
   next
     case (SignExtendNode n inputBits resultBits x xe1)
      have k: g1 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits resultBits) xe1
using f SignExtendNode
      by (simp add: SignExtendNode.hyps(2) rep.SignExtendNode)
     obtain xn where l: kind g1 n = SignExtendNode inputBits resultBits xn
      using SignExtendNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using SignExtendNode.hyps(1) SignExtendNode.hyps(2)
      by auto
     then show ?case
```

```
proof (cases xn = n')
      {f case} True
      then have n: xe1 = e1' using c m repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits resultBits)
e2' using SignExtendNode.hyps(1) l m n
        using SignExtendNode.prems True d rep.SignExtendNode by simp
        then have r: UnaryExpr (UnarySignExtend inputBits resultBits) e1' \ge
UnaryExpr (UnarySignExtend inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     \mathbf{next}
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using SignExtendNode
       using False b encodes-contains l not-excluded-keep-type not-in-q singleton-iff
        \mathbf{by}\ (\textit{metis-node-eq-ternary}\ \textit{SignExtendNode})
     then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits result-
Bits) xe2) \land UnaryExpr (UnarySignExtend\ inputBits\ resultBits) xe1 \ge UnaryExpr
(UnarySignExtend inputBits resultBits) xe2
        by (metis\ SignExtendNode.prems\ l\ mono-unary\ rep.SignExtendNode)
      then show ?thesis
        by meson
     qed
   next
     case (ZeroExtendNode\ n\ inputBits\ resultBits\ x\ xe1)
     have k: g1 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1
using f ZeroExtendNode
      by (simp add: ZeroExtendNode.hyps(2) rep.ZeroExtendNode)
     obtain xn where l: kind g1 n = ZeroExtendNode inputBits resultBits xn
      using ZeroExtendNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using ZeroExtendNode.hyps(1) ZeroExtendNode.hyps(2)
      by auto
     then show ?case
     proof (cases xn = n')
      \mathbf{case} \ \mathit{True}
      then have n: xe1 = e1' using c \ m \ repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits resultBits)
e2' using ZeroExtendNode.hyps(1) l m n
        using ZeroExtendNode.prems True d rep.ZeroExtendNode by simp
        then have r: UnaryExpr (UnaryZeroExtend inputBits resultBits) e1' \geq
UnaryExpr (UnaryZeroExtend inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
     next
      case False
```

```
have g1 \vdash xn \simeq xe1 using m by simp
       have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
         \mathbf{using}\ \mathit{ZeroExtendNode}
        using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
         by (metis-node-eq-ternary ZeroExtendNode)
      then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits result-
Bits) \ xe2) \land \ UnaryExpr \ (UnaryZeroExtend \ inputBits \ resultBits) \ xe1 \ge UnaryExpr
(UnaryZeroExtend inputBits resultBits) xe2
         by (metis ZeroExtendNode.prems l mono-unary rep.ZeroExtendNode)
       then show ?thesis
         \mathbf{by}\ meson
     qed
   \mathbf{next}
     case (LeafNode \ n \ s)
     then show ?case
       by (metis eq-refl rep.LeafNode)
     case (RefNode n')
     then show ?case
         by (metis a b c d no-encoding not-excluded-keep-type rep.RefNode repDet
singletonD)
   qed
  qed
qed
{\bf lemma}\ graph-semantics-preservation-subscript:
  assumes a: e_1' \geq e_2'
  assumes b: (\{n\} \leq as\text{-}set \ g_1) \subseteq as\text{-}set \ g_2
 assumes c: g_1 \vdash n \simeq e_1'
 assumes d: g_2 \vdash n \simeq e_2'
 shows graph-refinement g_1 g_2
  using graph-semantics-preservation assms by simp
lemma tree-to-graph-rewriting:
  e_1 \geq e_2
  \land (g_1 \vdash n \simeq e_1) \land maximal\text{-}sharing g_1
  \land (\{n\} \leq as\text{-}set \ g_1) \subseteq as\text{-}set \ g_2
  \land (g_2 \vdash n \simeq e_2) \land maximal\text{-}sharing g_2
  \implies graph-refinement g_1 g_2
  \mathbf{using}\ graph\text{-}semantics\text{-}preservation
  by auto
declare [[simp-trace]]
lemma equal-refines:
  fixes e1 e2 :: IRExpr
  assumes e1 = e2
  shows e1 \ge e2
  using assms
```

```
by simp
declare [[simp-trace=false]]
lemma eval-contains-id[simp]: g1 \vdash n \simeq e \Longrightarrow n \in ids \ g1
 using no-encoding by blast
lemma subset-kind[simp]: as-set g1 \subseteq as-set g2 \Longrightarrow g1 \vdash n \simeq e \Longrightarrow kind g1 n =
kind g2 n
  using eval-contains-id unfolding as-set-def
 \mathbf{by} blast
lemma subset-stamp[simp]: as-set g1 \subseteq as-set g2 \Longrightarrow g1 \vdash n \simeq e \Longrightarrow stamp \ g1 \ n
= stamp \ g2 \ n
 using eval-contains-id unfolding as-set-def
 by blast
method solve-subset-eval uses as-set eval =
  (metis eval as-set subset-kind subset-stamp)
  metis eval as-set subset-kind)
lemma subset-implies-evals:
  assumes as-set g1 \subseteq as-set g2
 assumes (g1 \vdash n \simeq e)
 shows (g2 \vdash n \simeq e)
 using assms(2)
 apply (induction \ e)
                     apply (solve-subset-eval as-set: assms(1) eval: ConstantNode)
                    apply (solve-subset-eval as-set: assms(1) eval: ParameterNode)
                   apply (solve-subset-eval as-set: assms(1) eval: ConditionalNode)
                    apply (solve-subset-eval as-set: assms(1) eval: AbsNode)
                   apply (solve-subset-eval as-set: assms(1) eval: NotNode)
                  apply (solve-subset-eval as-set: assms(1) eval: NegateNode)
                apply (solve-subset-eval as-set: assms(1) eval: LogicNegationNode)
                \mathbf{apply}\ (solve\text{-}subset\text{-}eval\ as\text{-}set:\ assms(1)\ eval:\ AddNode)
               apply (solve-subset-eval as-set: assms(1) eval: MulNode)
               apply (solve-subset-eval as-set: assms(1) eval: SubNode)
              apply (solve-subset-eval as-set: assms(1) eval: AndNode)
             apply (solve-subset-eval as-set: assms(1) eval: OrNode)
            apply (solve-subset-eval as-set: assms(1) eval: XorNode)
           apply (solve-subset-eval as-set: assms(1) eval: ShortCircuitOrNode)
          apply (solve-subset-eval as-set: assms(1) eval: LeftShiftNode)
         apply (solve-subset-eval as-set: assms(1) eval: RightShiftNode)
        apply (solve-subset-eval as-set: assms(1) eval: UnsignedRightShiftNode)
       apply (solve-subset-eval as-set: assms(1) eval: IntegerBelowNode)
       apply (solve-subset-eval as-set: assms(1) eval: IntegerEqualsNode)
      apply (solve-subset-eval as-set: assms(1) eval: IntegerLessThanNode)
```

```
apply (solve-subset-eval as-set: assms(1) eval: NarrowNode)
    apply (solve-subset-eval as-set: assms(1) eval: SignExtendNode)
   apply (solve-subset-eval as-set: assms(1) eval: ZeroExtendNode)
  apply (solve-subset-eval as-set: assms(1) eval: LeafNode)
  by (solve-subset-eval as-set: assms(1) eval: RefNode)
lemma subset-refines:
 assumes as-set g1 \subseteq as-set g2
 shows graph-refinement g1 g2
proof -
 have ids \ g1 \subseteq ids \ g2 using assms unfolding as-set-def
   by blast
  then show ?thesis unfolding graph-refinement-def apply rule
   apply (rule allI) apply (rule impI) apply (rule allI) apply (rule impI)
   unfolding graph-represents-expression-def
   proof -
     fix n e1
     assume 1:n \in ids \ g1
     assume 2:g1 \vdash n \simeq e1
     show \exists e2. (g2 \vdash n \simeq e2) \land e1 \geq e2
       using assms 1 2 using subset-implies-evals
       by (meson equal-refines)
   qed
 qed
lemma graph-construction:
  e_1 \geq e_2
  \land as\text{-}set \ g_1 \subseteq as\text{-}set \ g_2
 \wedge (g_2 \vdash n \simeq e_2)
  \implies (g_2 \vdash n \trianglelefteq e_1) \land graph\text{-refinement } g_1 \ g_2
 \mathbf{using}\ \mathit{subset-refines}
 by (meson encodeeval-def graph-represents-expression-def le-expr-def)
7.8.4 Term Graph Reconstruction
lemma find-exists-kind:
 assumes find-node-and-stamp q (node, s) = Some nid
 shows kind \ q \ nid = node
 using assms unfolding find-node-and-stamp.simps
 by (metis (mono-tags, lifting) find-Some-iff)
lemma find-exists-stamp:
 assumes find-node-and-stamp g (node, s) = Some nid
 shows stamp \ g \ nid = s
 using assms unfolding find-node-and-stamp.simps
 by (metis (mono-tags, lifting) find-Some-iff)
lemma find-new-kind:
```

```
assumes g' = add-node nid (node, s) g
   assumes node \neq NoNode
   shows kind g' nid = node
   using assms
   using add-node-lookup by presburger
lemma find-new-stamp:
    assumes g' = add-node nid (node, s) g
   assumes node \neq NoNode
   shows stamp \ g' \ nid = s
   using assms
   using add-node-lookup by presburger
lemma sorted-bottom:
   assumes finite xs
   assumes x \in xs
   shows x \leq last(sorted-list-of-set(xs::nat set))
   using assms
   using sorted2-simps(2) sorted-list-of-set(2)
  by (smt (verit, del-insts) Diff-iff Max-qe Max-in empty-iff list.set(1) snoc-eq-iff-butlast
sorted-insort-is-snoc\ sorted-list-of-set(1)\ sorted-list-of-set. fold-insort-key. in finite\ sorted-list-of-set. fold-insort-key. In finite sorted-list-of-set. fold-list-of-set. fold-insort-key. Fold-list-of-set. fold-list-of-set.
lemma fresh: finite xs \Longrightarrow last(sorted-list-of-set(xs::nat\ set)) + 1 \notin xs
    using sorted-bottom
   using not-le by auto
lemma fresh-ids:
   assumes n = get-fresh-id g
   shows n \notin ids \ g
proof -
   have finite (ids g) using Rep-IRGraph by auto
   then show ?thesis
       using assms fresh unfolding get-fresh-id.simps
       by blast
qed
lemma graph-unchanged-rep-unchanged:
    assumes \forall n \in ids \ g. \ kind \ g \ n = kind \ g' \ n
   assumes \forall n \in ids \ g. \ stamp \ g \ n = stamp \ g' \ n
   shows (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
   apply (rule impI) subgoal premises e using e assms
       apply (induction \ n \ e)
                                                apply (metis no-encoding rep. ConstantNode)
                                              apply (metis no-encoding rep.ParameterNode)
                                            apply (metis no-encoding rep.ConditionalNode)
                                          apply (metis no-encoding rep.AbsNode)
                                        apply (metis no-encoding rep.NotNode)
                                       apply (metis no-encoding rep.NegateNode)
                                     apply (metis no-encoding rep.LogicNegationNode)
```

```
apply (metis no-encoding rep.AddNode)
              apply (metis no-encoding rep.MulNode)
              apply (metis no-encoding rep.SubNode)
             apply (metis no-encoding rep.AndNode)
            apply (metis no-encoding rep.OrNode)
             apply (metis no-encoding rep.XorNode)
            {\bf apply}\ (metis\ no\text{-}encoding\ rep.ShortCircuitOrNode)
           apply (metis no-encoding rep.LeftShiftNode)
          apply (metis no-encoding rep.RightShiftNode)
          apply (metis no-encoding rep. UnsignedRightShiftNode)
         apply (metis no-encoding rep.IntegerBelowNode)
        apply (metis no-encoding rep.IntegerEqualsNode)
       apply (metis no-encoding rep.IntegerLessThanNode)
      apply (metis no-encoding rep.NarrowNode)
     apply (metis no-encoding rep.SignExtendNode)
    apply (metis no-encoding rep.ZeroExtendNode)
    apply (metis no-encoding rep.LeafNode)
   by (metis no-encoding rep.RefNode)
 done
\mathbf{lemma}\ \mathit{fresh-node-subset}:
 assumes n \notin ids \ q
 assumes g' = add-node n(k, s) g
 shows as-set g \subseteq as-set g'
 using assms
 by (smt (verit, del-insts) Collect-mono-iff Diff-idemp Diff-insert-absorb add-changed
as-set-def disjoint-change unchanged.simps)
lemma unrep-subset:
 assumes (g \oplus e \leadsto (g', n))
 shows as-set g \subseteq as-set g'
 using assms proof (induction g \in (g', n) arbitrary: g'(n)
 case (ConstantNodeSame\ g\ c\ n)
 then show ?case by blast
next
 case (ConstantNodeNew\ q\ c\ n\ q')
 then show ?case using fresh-ids fresh-node-subset
   by presburger
next
 case (ParameterNodeSame\ g\ i\ s\ n)
 then show ?case by blast
next
 case (ParameterNodeNew\ g\ i\ s\ n\ g')
 then show ?case using fresh-ids fresh-node-subset
   by presburger
 case (ConditionalNodeSame\ g\ ce\ g2\ c\ te\ g3\ t\ fe\ g4\ f\ s'\ n)
 then show ?case by blast
next
```

```
case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
  then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
 case (UnaryNodeSame\ g\ xe\ g2\ x\ s'\ op\ n)
 then show ?case by blast
next
  case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
  then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
  case (BinaryNodeSame\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n)
 then show ?case by blast
next
  case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
 then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
 case (AllLeafNodes\ g\ n\ s)
 then show ?case by blast
qed
lemma fresh-node-preserves-other-nodes:
 assumes n' = get\text{-}fresh\text{-}id g
 assumes g' = add-node n'(k, s) g
 shows \forall n \in ids \ g \cdot (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
 using assms
 by (smt (verit, ccfv-SIG) Diff-idemp Diff-insert-absorb add-changed disjoint-change
fresh-ids\ graph-unchanged-rep-unchanged\ unchanged.elims(2))
lemma found-node-preserves-other-nodes:
 assumes find-node-and-stamp g(k, s) = Some n
 shows \forall n \in ids \ g. \ (g \vdash n \simeq e) \longleftrightarrow (g \vdash n \simeq e)
 using assms
 by blast
lemma unrep-ids-subset[simp]:
 assumes g \oplus e \leadsto (g', n)
 shows ids g \subseteq ids g'
 using assms\ unrep-subset
 by (meson graph-refinement-def subset-refines)
lemma unrep-unchanged:
 assumes g \oplus e \leadsto (g', n)
 shows \forall n \in ids \ g \ . \ \forall e. \ (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
 using assms unrep-subset fresh-node-preserves-other-nodes
  by (meson subset-implies-evals)
```

**theorem** term-graph-reconstruction:

```
g \oplus e \leadsto (g', n) \Longrightarrow (g' \vdash n \simeq e) \land as\text{-set } g \subseteq as\text{-set } g'
subgoal premises e apply (rule \ conjI) defer
 using e unrep-subset apply blast using e
proof (induction g \in (g', n) arbitrary: g'(n)
 case (ConstantNodeSame\ g'\ c\ n)
 then have kind g' n = ConstantNode c
   using find-exists-kind local.ConstantNodeSame by blast
 then show ?case using ConstantNode by blast
next
 \mathbf{case} \ (\mathit{ConstantNodeNew} \ g \ c)
 then show ?case
   using ConstantNode IRNode.distinct(683) add-node-lookup by presburger
next
 case (ParameterNodeSame \ i \ s)
 then show ?case
   by (metis ParameterNode find-exists-kind find-exists-stamp)
 case (ParameterNodeNew\ g\ i\ s)
 then show ?case
   by (metis IRNode.distinct(2447) ParameterNode add-node-lookup)
 case (ConditionalNodeSame\ g\ ce\ g2\ c\ te\ g3\ t\ fe\ g4\ f\ s'\ n)
 then have k: kind g \nmid n = ConditionalNode \ c \ t f
   using find-exists-kind by blast
 have c: g4 \vdash c \simeq ce using local. ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 have t: g \nmid \vdash t \simeq te using local.ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 have f: g_4 \vdash f \simeq fe using local.ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 then show ?case using c t f
   using ConditionalNode\ k by blast
next
 case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
 moreover have ConditionalNode\ c\ t\ f \neq NoNode
   using unary-node.elims by blast
 ultimately have k: kind g' n = ConditionalNode c t f
   \mathbf{using}\ find\text{-}new\text{-}kind\ local.\ Conditional Node New
   by presburger
 then have c: g' \vdash c \simeq ce using local.ConditionalNodeNew unrep-unchanged
   using no-encoding
   by (metis\ ConditionalNodeNew.hyps(9)\ fresh-node-preserves-other-nodes)
 then have t: q' \vdash t \simeq te using local. Conditional Node New unrep-unchanged
   using no-encoding fresh-node-preserves-other-nodes
   by metis
 then have f: g' \vdash f \simeq fe using local. ConditionalNodeNew unrep-unchanged
   using no-encoding fresh-node-preserves-other-nodes
   by metis
 then show ?case using c \ t f
```

```
using ConditionalNode\ k by blast
next
 case (UnaryNodeSame\ g\ xe\ g'\ x\ s'\ op\ n)
 then have k: kind g' n = unary-node op x
   using find-exists-kind local. UnaryNodeSame by blast
 then have g' \vdash x \simeq xe using local. UnaryNodeSame by blast
 then show ?case using k
   apply (cases op)
   using AbsNode unary-node.simps(1) apply presburger
   \mathbf{using}\ \textit{NegateNode}\ \textit{unary-node.simps}(3)\ \mathbf{apply}\ \textit{presburger}
   using NotNode unary-node.simps(2) apply presburger
   using LogicNegationNode unary-node.simps(4) apply presburger
   using NarrowNode unary-node.simps(5) apply presburger
   using SignExtendNode unary-node.simps(6) apply presburger
   using ZeroExtendNode unary-node.simps(7) by presburger
 case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
 moreover have unary-node op x \neq NoNode
   using unary-node.elims by blast
 ultimately have k: kind g' n = unary-node op x
   using find-new-kind local. UnaryNodeNew
   by presburger
 have x \in ids \ g2 \ using \ local. UnaryNodeNew
   using eval-contains-id by blast
 then have x \neq n using local. UnaryNodeNew(5) fresh-ids by blast
 have g' \vdash x \simeq xe using local. UnaryNodeNew fresh-node-preserves-other-nodes
   using \langle x \in ids \ g2 \rangle by blast
 then show ?case using k
   apply (cases op)
   using AbsNode unary-node.simps(1) apply presburger
   using NegateNode unary-node.simps(3) apply presburger
   using NotNode\ unary-node.simps(2) apply presburger
   using LogicNegationNode unary-node.simps(4) apply presburger
   using NarrowNode unary-node.simps(5) apply presburger
   using SignExtendNode unary-node.simps(6) apply presburger
   using ZeroExtendNode unary-node.simps(7) by presburger
next
 case (BinaryNodeSame\ q\ xe\ q2\ x\ ye\ q3\ y\ s'\ op\ n)
 then have k: kind g3 n = bin-node op x y
   using find-exists-kind by blast
 have x: g3 \vdash x \simeq xe using local.BinaryNodeSame unrep-unchanged
   using no-encoding by blast
 have y: g3 \vdash y \simeq ye using local.BinaryNodeSame unrep-unchanged
   using no-encoding by blast
 then show ?case using x \ y \ k apply (cases op)
   using AddNode bin-node.simps(1) apply presburger
   using MulNode\ bin-node.simps(2) apply presburger
   using SubNode\ bin-node.simps(3) apply presburger
   using AndNode bin-node.simps(4) apply presburger
```

```
using OrNode bin-node.simps(5) apply presburger
     using XorNode bin-node.simps(6) apply presburger
     using ShortCircuitOrNode bin-node.simps(7) apply presburger
     using LeftShiftNode bin-node.simps(8) apply presburger
     using RightShiftNode bin-node.simps(9) apply presburger
     using UnsignedRightShiftNode bin-node.simps(10) apply presburger
     using IntegerEqualsNode bin-node.simps(11) apply presburger
     using IntegerLessThanNode bin-node.simps(12) apply presburger
     using IntegerBelowNode bin-node.simps(13) by presburger
 next
   case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
   moreover have bin-node op x y \neq NoNode
     using bin-node.elims by blast
   ultimately have k: kind g' n = bin-node op x y
     using find-new-kind local.BinaryNodeNew
     by presburger
   then have k: kind q' n = bin-node op x y
     using find-exists-kind by blast
   have x: g' \vdash x \simeq xe using local.BinaryNodeNew unrep-unchanged
     using no-encoding
     by (meson fresh-node-preserves-other-nodes)
   have y: g' \vdash y \simeq ye using local.BinaryNodeNew unrep-unchanged
     using no-encoding
     by (meson fresh-node-preserves-other-nodes)
   then show ?case using x \ y \ k apply (cases op)
     using AddNode bin-node.simps(1) apply presburger
     using MulNode bin-node.simps(2) apply presburger
     using SubNode bin-node.simps(3) apply presburger
     \mathbf{using}\ AndNode\ bin-node.simps(4)\ \mathbf{apply}\ presburger
     using OrNode\ bin-node.simps(5) apply presburger
     using XorNode\ bin-node.simps(6) apply presburger
     \mathbf{using}\ \mathit{ShortCircuitOrNode}\ \mathit{bin-node.simps}(7)\ \mathbf{apply}\ \mathit{presburger}
     using LeftShiftNode bin-node.simps(8) apply presburger
     using RightShiftNode bin-node.simps(9) apply presburger
     using UnsignedRightShiftNode bin-node.simps(10) apply presburger
     using IntegerEqualsNode bin-node.simps(11) apply presburger
     {\bf using} \ {\it IntegerLessThanNode} \ bin-node.simps (12) \ {\bf apply} \ presburger
     using IntegerBelowNode bin-node.simps(13) by presburger
 next
   case (AllLeafNodes\ q\ n\ s)
   then show ?case using rep.LeafNode by blast
 qed
 done
lemma ref-refinement:
 assumes g \vdash n \simeq e_1
 assumes kind q n' = RefNode n
 shows g \vdash n' \unlhd e_1
 using assms RefNode
```

```
by (meson equal-refines graph-represents-expression-def)
lemma unrep-refines:
 assumes g \oplus e \leadsto (g', n)
 shows graph-refinement g g'
 using assms
 using graph-refinement-def subset-refines unrep-subset by blast
lemma add-new-node-refines:
 assumes n \notin ids g
 assumes g' = add-node n(k, s) g
 shows graph-refinement g g'
 using assms unfolding graph-refinement
 using fresh-node-subset subset-refines by presburger
lemma add-node-as-set:
 assumes g' = add-node n(k, s) g
 shows (\{n\} \leq as\text{-}set\ g) \subseteq as\text{-}set\ g'
 using assms unfolding as-set-def domain-subtraction-def
 using add-changed
 by (smt\ (z3)\ case-prodE\ change only.simps\ mem-Collect-eq\ prod.sel(1)\ subset I)
theorem refined-insert:
 assumes e_1 \geq e_2
 assumes g_1 \oplus e_2 \rightsquigarrow (g_2, n')
 shows (g_2 \vdash n' \leq e_1) \land graph\text{-refinement } g_1 \ g_2
 using assms
 using graph-construction term-graph-reconstruction by blast
lemma ids-finite: finite (ids g)
 using Rep-IRGraph ids.rep-eq by simp
lemma unwrap-sorted: set (sorted-list-of-set (ids g)) = ids g
 using Rep-IRGraph set-sorted-list-of-set ids-finite
 by blast
lemma find-none:
 assumes find-node-and-stamp g(k, s) = None
 shows \forall n \in ids \ g. \ kind \ g \ n \neq k \lor stamp \ g \ n \neq s
proof -
 have (\nexists n. n \in ids \ g \land (kind \ g \ n = k \land stamp \ g \ n = s))
    using assms unfolding find-node-and-stamp.simps using find-None-iff un-
wrap-sorted
   by (metis (mono-tags, lifting))
  then show ?thesis
   by blast
\mathbf{qed}
```

```
 \begin{array}{l} \textbf{method} \ \textit{ref-represents} \ \textbf{uses} \ \textit{node} = \\ (\textit{metis} \ \textit{IRNode.distinct(2755)} \ \textit{RefNode} \ \textit{dual-order.refl} \ \textit{find-new-kind} \ \textit{fresh-node-subset} \\ \textit{node} \ \textit{subset-implies-evals}) \end{array}
```

## 7.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

```
lemma same-kind-stamp-encodes-equal:
 assumes kind g n = kind g n'
 assumes stamp \ g \ n = stamp \ g \ n'
 assumes \neg(is\text{-}preevaluated\ (kind\ g\ n))
 shows \forall e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)
 apply (rule allI)
 subgoal for e
   apply (rule \ impI)
   subgoal premises eval using eval assms
     apply (induction e)
   using ConstantNode apply presburger
   using ParameterNode apply presburger
                   apply (metis ConditionalNode)
                   apply (metis AbsNode)
                   apply (metis NotNode)
                  apply (metis NegateNode)
                 apply (metis LogicNegationNode)
                apply (metis AddNode)
               apply (metis MulNode)
              apply (metis SubNode)
              apply (metis AndNode)
             apply (metis OrNode)
             apply (metis XorNode)
             apply (metis ShortCircuitOrNode)
           apply (metis LeftShiftNode)
          {\bf apply} \ (\textit{metis RightShiftNode})
         apply (metis UnsignedRightShiftNode)
         apply (metis IntegerBelowNode)
        \mathbf{apply} \ (metis\ IntegerEqualsNode)
       apply (metis IntegerLessThanNode)
      apply (metis NarrowNode)
```

```
apply (metis SignExtendNode)
     apply (metis ZeroExtendNode)
   defer
    apply (metis RefNode)
   by blast
   done
 done
lemma new-node-not-present:
 assumes find-node-and-stamp g (node, s) = None
 assumes n = get\text{-}fresh\text{-}id g
 assumes g' = add-node n \ (node, s) \ g
 shows \forall n' \in true\text{-}ids \ g. \ (\forall e. \ ((g \vdash n \simeq e) \land (g \vdash n' \simeq e)) \longrightarrow n = n')
 using assms
 using encode-in-ids fresh-ids by blast
lemma true-ids-def:
 true-ids\ g = \{n \in ids\ g.\ \neg(is-RefNode\ (kind\ g\ n)) \land ((kind\ g\ n) \neq NoNode)\}
 unfolding true-ids-def ids-def
 using ids-def is-RefNode-def by fastforce
lemma add-node-some-node-def:
 assumes k \neq NoNode
 assumes g' = add-node nid(k, s) g
 shows g' = Abs\text{-}IRGraph ((Rep\text{-}IRGraph g)(nid \mapsto (k, s)))
 using assms
 by (metis Rep-IRGraph-inverse add-node.rep-eq fst-conv)
lemma ids-add-update-v1:
 assumes g' = add-node nid (k, s) g
 assumes k \neq NoNode
 shows dom (Rep-IRGraph g') = dom (Rep-IRGraph g) \cup \{nid\}
 using assms ids.rep-eq add-node-some-node-def
 by (simp add: add-node.rep-eq)
lemma ids-add-update-v2:
 assumes g' = add-node nid (k, s) g
 assumes k \neq NoNode
 shows nid \in ids \ g'
 using assms
 using find-new-kind ids-some by presburger
lemma add-node-ids-subset:
 assumes n \in ids g
 assumes g' = add-node n node g
 shows ids g' = ids g \cup \{n\}
 using assms unfolding add-node-def
 apply (cases fst \ node = NoNode)
 using ids.rep-eq replace-node.rep-eq replace-node-def apply auto[1]
```

```
ids.rep-eq ids-def insert-absorb mem-Collect-eq option.inject option.simps(3) re-
place-node.rep-eq replace-node-def sup-bot.right-neutral)
lemma convert-maximal:
 assumes \forall n \ n'. \ n \in true\text{-}ids \ g \land n' \in true\text{-}ids \ g \longrightarrow (\forall e \ e'. \ (g \vdash n \simeq e) \land (g \vdash n \simeq e))
n' \simeq e' \longrightarrow e \neq e'
 shows maximal-sharing g
 using assms
 using maximal-sharing by blast
lemma add-node-set-eq:
 assumes k \neq NoNode
 \mathbf{assumes}\ n \not\in \mathit{ids}\ g
 shows as-set (add\text{-}node\ n\ (k,\ s)\ q) = as\text{-}set\ q \cup \{(n,\ (k,\ s))\}
 using assms unfolding as-set-def add-node-def apply transfer apply simp
 by blast
lemma add-node-as-set-eq:
 assumes g' = add-node n(k, s) g
 assumes n \notin ids g
 shows (\{n\} \leq as\text{-}set\ g') = as\text{-}set\ g
 using assms unfolding domain-subtraction-def
 using add-node-set-eq
 by (smt (z3) Collect-cong Rep-IRGraph-inverse UnCI UnE add-node.rep-eq as-set-def
case-prodE2 case-prodI2 le-boolE le-boolI' mem-Collect-eq prod.sel(1) singletonD
singletonI)
lemma true-ids:
  true-ids\ g = ids\ g - \{n \in ids\ g.\ is-RefNode\ (kind\ g\ n)\}
 {f unfolding}\ true{\it -ids-def}
 by fastforce
\mathbf{lemma}\ as	ext{-}ids:
 assumes as-set q = as-set q'
 shows ids g = ids g'
 using assms
 by (metis antisym equalityD1 graph-refinement-def subset-refines)
lemma ids-add-update:
 assumes k \neq NoNode
 assumes n \notin ids \ q
 assumes g' = add-node n(k, s) g
 shows ids g' = ids g \cup \{n\}
 using assms apply (subst assms(3)) using add-node-set-eq as-set-ids
 by (smt (verit, del-insts) Collect-cong Diff-idemp Diff-insert-absorb Un-commute
add-node.rep-eq add-node-def ids.rep-eq ids-add-update-v1 ids-add-update-v2 insertE
insert-Collect insert-is-Un map-upd-Some-unfold mem-Collect-eq replace-node-def
```

by (smt (verit, best) Collect-cong Un-insert-right dom-fun-upd fst-conv fun-upd-apply

unfolding ids-def

```
replace-node-unchanged)
```

next

```
{f lemma} true-ids-add-update:
 assumes k \neq NoNode
 assumes n \notin ids g
 assumes g' = add-node n(k, s) g
 assumes \neg(is\text{-}RefNode\ k)
 shows true-ids g' = true-ids g \cup \{n\}
 \mathbf{using}\ assms\ \mathbf{using}\ true\text{-}ids\ ids\text{-}add\text{-}update
  \mathbf{by}\ (smt\ (z3)\ Collect\text{-}cong\ Diff\text{-}iff\ Diff\text{-}insert\text{-}absorb\ Un\text{-}commute\ add\text{-}node\text{-}def
find-new-kind insert-Diff-if insert-is-Un mem-Collect-eq replace-node-def replace-node-unchanged)
lemma new-def:
 assumes (new \le as\text{-}set g') = as\text{-}set g
 shows n \in ids \ g \longrightarrow n \notin new
 using assms
 by (smt (z3) as-set-def case-prodD domain-subtraction-def mem-Collect-eq)
lemma add-preserves-rep:
 assumes unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g
 assumes closed: wf-closed g
 assumes existed: n \in ids \ g
 assumes g' \vdash n \simeq e
 shows g \vdash n \simeq e
proof (cases \ n \in new)
 case True
 have n \notin ids \ q
   using unchanged True unfolding as-set-def domain-subtraction-def
  then show ?thesis using existed by simp
next
 case False
 then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind g n' = kind g' n'
   — can be more general than stamp eq because NoNode default is equal
   using unchanged not-excluded-keep-type
   by (smt (z3) case-prodE domain-subtraction-def ids-some mem-Collect-eq sub-
setI)
 from False have stamp-eq: \forall n' \in ids \ g' \ . \ n' \notin new \longrightarrow stamp \ g \ n' = stamp \ g'
n'
   using unchanged not-excluded-keep-type
   by (metis\ equalityE)
 show ?thesis using assms(4) kind-eq stamp-eq False
 proof (induction n e rule: rep.induct)
   case (ConstantNode \ n \ c)
   then show ?case
     using rep. ConstantNode kind-eq by presburger
```

```
case (ParameterNode \ n \ i \ s)
   then show ?case
     {\bf using} \ rep. Parameter Node
     by (metis no-encoding)
  next
   case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
   have kind: kind g n = ConditionalNode c t f
      using ConditionalNode.hyps(1) ConditionalNode.prems(3) kind-eq by pres-
burger
   then have isin: n \in ids g
     by simp
   have inputs: \{c, t, f\} = inputs g n
    \mathbf{using} \ kind \ \mathbf{unfolding} \ inputs.simps \ \mathbf{using} \ inputs-of\text{-}ConditionalNode \ \mathbf{by} \ simp
   have c \in ids \ g \land t \in ids \ g \land f \in ids \ g
     \mathbf{using}\ closed\ \mathbf{unfolding}\ \textit{wf-closed-def}
     using isin inputs by blast
   then have c \notin new \land t \notin new \land f \notin new
     using new-def unchanged by blast
   then show ?case using ConditionalNode apply simp
     using rep.ConditionalNode by presburger
 \mathbf{next}
   case (AbsNode \ n \ x \ xe)
   then have kind: kind g n = AbsNode x
     by simp
   then have isin: n \in ids \ g
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids \ g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case
     using AbsNode
     using rep.AbsNode by presburger
 next
   case (NotNode \ n \ x \ xe)
   then have kind: kind g \ n = NotNode \ x
     by simp
   then have isin: n \in ids g
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
```

```
then show ?case using NotNode
   using rep.NotNode by presburger
next
 case (NegateNode \ n \ x \ xe)
 then have kind: kind g n = NegateNode x
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using NegateNode
   using rep.NegateNode by presburger
next
 case (LogicNegationNode \ n \ x \ xe)
 then have kind: kind g n = LogicNegationNode x
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using LogicNegationNode
   using rep.LogicNegationNode by presburger
next
 case (AddNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = AddNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using AddNode
   using rep.AddNode by presburger
next
 case (MulNode \ n \ x \ y \ xe \ ye)
```

```
then have kind: kind g \ n = MulNode \ x \ y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using MulNode
   using rep.MulNode by presburger
next
 case (SubNode \ n \ x \ y \ xe \ ye)
 then have kind: kind q n = SubNode x y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using SubNode
   using rep.SubNode by presburger
next
 case (AndNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = AndNode x y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using AndNode
   using rep.AndNode by presburger
next
 case (OrNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g \ n = OrNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
```

```
have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using OrNode
   using rep.OrNode by presburger
next
 case (XorNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = XorNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs \ q \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using XorNode
   using rep.XorNode by presburger
next
 case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = ShortCircuitOrNode x y
   bv simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using ShortCircuitOrNode
   using rep.ShortCircuitOrNode by presburger
next
 case (LeftShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = LeftShiftNode x y
   by simp
 then have isin: n \in ids \ g
   \mathbf{by} \ simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
```

```
using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using LeftShiftNode
   using rep.LeftShiftNode by presburger
next
 case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = RightShiftNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using RightShiftNode
   using rep.RightShiftNode by presburger
next
 case (UnsignedRightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind \ g \ n = UnsignedRightShiftNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using UnsignedRightShiftNode
   using rep. UnsignedRightShiftNode by presburger
 case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g \ n = IntegerBelowNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   \mathbf{using}\ new\text{-}def\ unchanged\ \mathbf{by}\ blast
 then show ?case using IntegerBelowNode
```

```
using rep.IntegerBelowNode by presburger
next
 case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g \ n = IntegerEqualsNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using IntegerEqualsNode
   using rep.IntegerEqualsNode by presburger
 case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g \ n = IntegerLessThanNode \ x \ y
   by simp
 then have isin: n \in ids \ q
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using IntegerLessThanNode
   using rep.IntegerLessThanNode by presburger
 case (NarrowNode\ n\ inputBits\ resultBits\ x\ xe)
 then have kind: kind g n = NarrowNode inputBits resultBits x
   by simp
 then have isin: n \in ids q
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ q
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using NarrowNode
   using rep.NarrowNode by presburger
 case (SignExtendNode\ n\ inputBits\ resultBits\ x\ xe)
 then have kind: kind \ g \ n = SignExtendNode \ inputBits \ resultBits \ x
```

```
by simp
   then have isin: n \in ids g
    \mathbf{by} \ simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case using SignExtendNode
     using rep.SignExtendNode by presburger
 next
   case (ZeroExtendNode\ n\ inputBits\ resultBits\ x\ xe)
   then have kind: kind g n = ZeroExtendNode inputBits resultBits x
     by simp
   then have isin: n \in ids q
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case using ZeroExtendNode
     using rep.ZeroExtendNode by presburger
 \mathbf{next}
   case (LeafNode \ n \ s)
   then show ?case
     by (metis no-encoding rep.LeafNode)
   case (RefNode \ n \ n' \ e)
   then have kind: kind g n = RefNode n'
    by simp
   then have isin: n \in ids q
     \mathbf{by} \ simp
   have inputs: \{n'\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have n' \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have n' \notin new
     using new-def unchanged by blast
   then show ?case
     using RefNode
     using rep.RefNode by presburger
 \mathbf{qed}
qed
```

```
lemma not-in-no-rep:
 n \notin ids \ g \Longrightarrow \forall \ e. \ \neg(g \vdash n \simeq e)
 using eval-contains-id by blast
lemma unary-inputs:
 assumes kind g n = unary-node op x
 shows inputs g n = \{x\}
 using assms by (cases op; auto)
lemma unary-succ:
 assumes kind g n = unary-node op x
 shows succ g n = \{\}
 using assms by (cases op; auto)
lemma binary-inputs:
 assumes kind \ g \ n = bin-node \ op \ x \ y
 shows inputs g n = \{x, y\}
 using assms by (cases op; auto)
lemma binary-succ:
 assumes kind g n = bin-node op x y
 shows succ \ g \ n = \{\}
 using assms by (cases op; auto)
lemma unrep-contains:
 assumes g \oplus e \leadsto (g', n)
 shows n \in ids g'
 using assms
 using not-in-no-rep term-graph-reconstruction by blast
{\bf lemma}\ unrep-preserves\text{-}contains:
 assumes n \in ids g
 assumes g \oplus e \leadsto (g', n')
 shows n \in ids g'
 using assms
 by (meson subsetD unrep-ids-subset)
{\bf lemma}\ unrep-preserves-closure:
 assumes wf-closed g
 assumes g \oplus e \leadsto (g', n)
 shows wf-closed g'
 \mathbf{using}\ assms(2,1)\ \mathbf{unfolding}\ \textit{wf-closed-def}
 proof (induction g \in (g', n) arbitrary: g' n)
   case (ConstantNodeSame\ g\ c\ n)
   then show ?case
     \mathbf{by} blast
```

```
next
   case (ConstantNodeNew\ g\ c\ n\ g')
   then have dom: ids g' = ids g \cup \{n\}
     by (meson IRNode.distinct(683) add-node-ids-subset ids-add-update)
   have k: kind q' n = ConstantNode c
     using ConstantNodeNew add-node-lookup by simp
   then have inp: \{\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ g' n
     unfolding succ.simps by simp
   have inputs g' n \subseteq ids g' \land succ g' n \subseteq ids g' \land kind g' n \neq NoNode
     using inp \ suc \ k by simp
   then show ?case
   \mathbf{by} \; (smt \; (verit) \; ConstantNodeNew.hyps(3) \; ConstantNodeNew.prems \; Un-insert-right
add-changed change only. elims(2) dom inputs. simps insert-iff singleton-iff subset-insert I
subset-trans succ.simps sup-bot-right)
 next
   case (ParameterNodeSame\ g\ i\ s\ n)
   then show ?case by blast
   case (ParameterNodeNew\ g\ i\ s\ n\ g')
   then have dom: ids g' = ids g \cup \{n\}
     using IRNode.distinct(2447) fresh-ids ids-add-update by presburger
   have k: kind g' n = ParameterNode i
     using ParameterNodeNew add-node-lookup by simp
   then have inp: \{\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ g' n
     unfolding succ.simps by simp
   \mathbf{have}\ \mathit{inputs}\ g'\ n\subseteq \mathit{ids}\ g' \land \mathit{succ}\ g'\ n\subseteq \mathit{ids}\ g' \land \mathit{kind}\ g'\ n\neq \mathit{NoNode}
     using k inp suc by simp
   then show ?case
   by (smt (verit) ParameterNodeNew.hyps(3) ParameterNodeNew.prems Un-insert-right
add-node-as-set dom inputs.elims insertE not-excluded-keep-type order-trans single-
tonD subset-insertI succ.elims sup-bot-right)
   case (ConditionalNodeSame g ce g2 c te g3 t fe g4 f s' n)
   then show ?case by blast
  next
   case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
   then have dom: ids g' = ids \ g_4 \cup \{n\}
     by (meson IRNode.distinct(591) add-node-ids-subset ids-add-update)
   have k: kind g' n = ConditionalNode\ c\ t\ f
     using ConditionalNodeNew add-node-lookup by simp
   then have inp: \{c, t, f\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ \ g' \ n
     unfolding succ.simps by simp
   have inputs g' n \subseteq ids \ g' \land succ \ g' \ n \subseteq ids \ g' \land kind \ g' \ n \neq NoNode
```

```
using ConditionalNodeNew(1,3,5,10)
      by (smt (verit) IRNode.simps(643) Un-insert-right bot.extremum dom in-
sert-absorb insert-subset subset-insertI sup-bot-right)
   then show ?case using dom
   by (smt\ (z3)\ ConditionalNodeNew.hyps(10)\ ConditionalNodeNew.hyps(2)\ Con-
ditional Node New. hyps(4) \ Conditional Node New. hyps(6) \ Conditional Node New. prems
Diff-eq-empty-iff Diff-iff Un-insert-right Un-upper1 add-node-def inputs.simps in-
sertE\ replace-node-def\ replace-node-unchanged\ subset-trans\ succ.simps\ sup-bot-right)
 next
   case (UnaryNodeSame\ g\ xe\ g2\ x\ s'\ op\ n)
   then show ?case by blast
 next
   case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
   then have dom: ids g' = ids g2 \cup \{n\}
     by (metis add-node-ids-subset add-node-lookup ids-add-update ids-some un-
rep. UnaryNodeNew unrep-contains)
   have k: kind g' n = unary-node op x
    using UnaryNodeNew\ add-node-lookup
    by (metis fresh-ids ids-some)
   then have inp: \{x\} = inputs g' n
    using unary-inputs by simp
   from k have suc: \{\} = succ \ g' \ n
     using unary-succ by simp
   have inputs g' n \subseteq ids g' \land succ g' n \subseteq ids g' \land kind g' n \neq NoNode
    using k inp suc unrep-contains unrep-preserves-contains
    using UnaryNodeNew(1,6)
       by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty in-
sert-subset I not-in-g-inputs subset-iff)
   then show ?case
   by (smt\ (verit)\ Un-insert-right\ UnaryNodeNew.hyps(2)\ UnaryNodeNew.hyps(6)
UnaryNodeNew.prems\ add-changed\ changeonly.elims(2)\ dom\ inputs.simps\ insert-iff
singleton-iff subset-insertI subset-trans succ.simps sup-bot-right)
   case (BinaryNodeSame\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n)
   then show ?case by blast
 next
   case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
   then have dom: ids g' = ids g3 \cup \{n\}
      by (metis binary-inputs fresh-ids ids-add-update ids-some insert-not-empty
not-in-g-inputs)
   have k: kind g' n = bin-node op x y
    using BinaryNodeNew add-node-lookup
    by (metis fresh-ids ids-some)
   then have inp: \{x, y\} = inputs g' n
    using binary-inputs by simp
   from k have suc: \{\} = succ \ g' \ n
    using binary-succ by simp
   have inputs g' n \subseteq ids \ g' \land succ \ g' \ n \subseteq ids \ g' \land kind \ g' \ n \neq NoNode
```

using k in p suc unrep-contains unrep-preserves-contains

```
using k in p suc unrep-contains unrep-preserves-contains
     using BinaryNodeNew(1,3,6)
        by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty in-
sert-subset I not-in-g-inputs subset-iff)
   then show ?case using dom BinaryNodeNew
     by (smt (verit, del-insts) Diff-eq-empty-iff Diff-iff Un-insert-right Un-upper1
add-node-definputs. simps\ insert E\ replace-node-def\ replace-node-unchanged\ subset-trans
succ.simps sup-bot-right)
 next
   case (AllLeafNodes\ g\ n\ s)
   then show ?case
     by blast
 qed
inductive-cases ConstUnrepE: g \oplus (ConstantExpr \ x) \leadsto (g', \ n)
definition constant-value where
 constant-value = (IntVal \ 32 \ 0)
definition bad-graph where
 bad-graph = irgraph
   (0, AbsNode 1, constantAsStamp constant-value),
   (1, RefNode 2, constantAsStamp constant-value),
   (2, ConstantNode constant-value, constantAsStamp constant-value)
experiment begin
lemma
 assumes maximal-sharing g
 assumes wf-closed g
 assumes kind \ g \ y = AbsNode \ y'
 assumes kind \ g \ y' = RefNode \ y''
 assumes kind \ g \ y^{\prime\prime} = \ ConstantNode \ v
 assumes stamp \ g \ y'' = constant As Stamp \ v
 assumes g \oplus (UnaryExpr\ UnaryAbs\ (ConstantExpr\ v)) \leadsto (g',\ n) (is g \oplus ?e \leadsto
(g', n)
 shows \neg (maximal\text{-}sharing q')
 using assms(3,2,1)
proof -
 have y'' \in ids \ g
   using assms(5) by simp
 then have List.member (sorted-list-of-set (ids g)) y''
   by (metis member-def unwrap-sorted)
 then have find (\lambda i. kind g i = ConstantNode v \wedge stamp <math>g i = constantAsStamp
v) (sorted-list-of-set (ids g)) = Some y''
   using assms(5,6) find-Some-iff sorry
 then have g \oplus ConstantExpr \ v \leadsto (g, y'')
   using assms(5) ConstUnrepE sorry
 then show ?thesis sorry
qed
```

## end

```
\mathbf{lemma}\ conditional\text{-}rep\text{-}kind:
 assumes g \vdash n \simeq ConditionalExpr \ ce \ te \ fe
 assumes g \vdash c \simeq ce
 assumes g \vdash t \simeq te
 assumes g \vdash f \simeq fe
 assumes \neg(\exists n'. kind \ g \ n = RefNode \ n')
 shows kind g n = ConditionalNode c t f
 using assms apply (induction n ConditionalExpr ce te fe rule: rep.induct) defer
 apply meson using repDet sorry
lemma unary-rep-kind:
 assumes g \vdash n \simeq UnaryExpr \ op \ xe
 assumes q \vdash x \simeq xe
 assumes \neg(\exists n'. kind \ q \ n = RefNode \ n')
 shows kind g n = unary-node op x
 using assms apply (cases op) using AbsNodeE sorry
lemma binary-rep-kind:
 \mathbf{assumes}\ g \vdash n \simeq \mathit{BinaryExpr}\ \mathit{op}\ \mathit{xe}\ \mathit{ye}
 assumes g \vdash x \simeq xe
 assumes g \vdash y \simeq ye
 assumes \neg(\exists n'. kind g n = RefNode n')
 shows kind g n = bin-node op x y
 using assms sorry
theorem unrep-maximal-sharing:
 assumes maximal-sharing g
 assumes wf-closed g
 assumes g \oplus e \leadsto (g', n)
 shows maximal-sharing g'
 using assms(3,2,1)
 proof (induction g \ e \ (g', \ n) arbitrary: g' \ n)
   case (ConstantNodeSame\ g\ c\ n)
   then show ?case by blast
 next
   case (ConstantNodeNew\ g\ c\ n\ g')
   then have kind g' n = ConstantNode c
     using find-new-kind by blast
   then have repn: g' \vdash n \simeq ConstantExpr c
     using rep.ConstantNode by simp
    from ConstantNodeNew have real-node: \neg(is-RefNode (ConstantNode c)) \wedge
ConstantNode\ c \neq NoNode
     \mathbf{by} \ simp
   then have dom: true-ids g' = true-ids g \cup \{n\}
     using ConstantNodeNew.hyps(2) ConstantNodeNew.hyps(3) fresh-ids
     by (meson true-ids-add-update)
   have new: n \notin ids g
```

```
using fresh-ids
      using ConstantNodeNew.hyps(2) by blast
    obtain new where new = true\text{-}ids \ g' - true\text{-}ids \ g
    then have new-def: new = \{n\}
    \mathbf{by}\ (\mathit{metis}\ (\mathit{no-types},\ \mathit{lifting})\ \mathit{DiffE}\ \mathit{Diff-cancel}\ \mathit{IRGraph.true-ids-def}\ \mathit{Un-insert-right}
dom insert-Diff-if new sup-bot-right)
    then have unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g
      using ConstantNodeNew(3) new add-node-as-set-eq
      by presburger
    then have kind\text{-}eq: \forall n'. n' \notin new \longrightarrow kind g n' = kind g' n'
    by (metis\ ConstantNodeNew.hyps(3) \land new = \{n\} \land add-node-as-set\ dual-order.eq-iff
not-excluded-keep-type not-in-g)
     from unchanged have stamp-eq: \forall n' \in ids \ g \ . \ n' \notin new \longrightarrow stamp \ g \ n' =
stamp \ q' \ n'
      using not-excluded-keep-type new-def new
      by (metis ConstantNodeNew.hyps(3) add-node-as-set)
    show ?case unfolding maximal-sharing apply (rule allI; rule allI; rule impI)
      using ConstantNodeNew(5) unfolding maximal-sharing apply auto
      proof -
      fix n_1 n_2 e
      assume 1: \forall n_1 \ n_2.
          n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow
         (\exists e. (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2
      assume n_1 \in true\text{-}ids \ g'
      assume n_2 \in true\text{-}ids \ g'
     \mathbf{show}\ g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow \mathit{stamp}\ g'\ n_1 = \mathit{stamp}\ g'\ n_2 \Longrightarrow n_1 =
n_2
      proof (cases n_1 \in true\text{-}ids g)
        case n1: True
        then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
        proof (cases n_2 \in true\text{-}ids g)
          case n2: True
          assume n1rep': g' \vdash n_1 \simeq e
          assume n2rep': g' \vdash n_2 \simeq e
          assume stmp: stamp g' n_1 = stamp g' n_2
          have n1rep: g \vdash n_1 \simeq e
            using n1rep' kind-eq stamp-eq new-def add-preserves-rep
             using ConstantNodeNew.prems(1) IRGraph.true-ids-def n1 unchanged
by auto
          have n2rep: g \vdash n_2 \simeq e
            using n2rep' kind-eq stamp-eq new-def add-preserves-rep
             using ConstantNodeNew.prems(1) IRGraph.true-ids-def n2 unchanged
by auto
          have stamp \ g \ n_1 = stamp \ g \ n_2
           by (metis ConstantNodeNew.hyps(3) stmp fresh-node-subset n1rep n2rep
new subset-stamp)
          then show ?thesis using 1
```

```
using n1 n2
           using n1rep \ n2rep \ by \ blast
       \mathbf{next}
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stmp: stamp g' n_1 = stamp g' n_2
         have n2-def: n_2 = n
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ dom \ n2 \ by \ auto
         have n1rep: g \vdash n_1 \simeq ConstantExpr c
               by (metis (no-types, lifting) ConstantNodeNew.prems(1) DiffE IR-
Graph.true-ids-def add-preserves-rep n1 n1rep' n2-def n2rep' repDet repn unchanged)
         then have n1in: n_1 \in ids \ g
           using no-encoding by metis
         have k: kind\ g\ n_1 = ConstantNode\ c
           using Tree To Graph Thms. true-ids-def n1 n1rep by force
         have s: stamp \ g \ n_1 = constantAsStamp \ c
        by (metis ConstantNodeNew.hyps(3) real-node n2-def stmp find-new-stamp
fresh-node-subset n1rep new subset-stamp)
         from k s show ?thesis
           using find-none ConstantNodeNew.hyps(1) n1in by blast
       qed
     next
       case n1: False
       then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
       proof (cases n_2 \in true\text{-}ids g)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stmp: stamp g' n_1 = stamp g' n_2
         have n1-def: n_1 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1 \ \mathbf{by} \ auto
         have n2in: n_2 \in ids \ g
          using IRGraph.true-ids-def n2 by auto
         have k: kind q n_2 = ConstantNode c
        by (metis (mono-tags, lifting) ConstantNodeE ConstantNodeNew.prems(1)
DiffE IRGraph.true-ids-def add-preserves-rep mem-Collect-eq n1-def n1rep' n2 n2rep'
repDet repn unchanged)
         have s: stamp \ g \ n_2 = constantAsStamp \ c
                 by (metis\ ConstantNodeNew.hyps(3)\ Tree\ To\ Graph\ Thms.new-def
add-node-lookup n1-def n2in real-node stamp-eq stmp unchanged)
         from k s show ?thesis
           using find-none ConstantNodeNew.hyps(1) n2in by blast
       next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
```

```
have n_1 = n \wedge n_2 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1
          using \langle n_2 \in true\text{-}ids \ g' \rangle \ n2 \ \text{by} \ blast
         then show ?thesis
          by simp
       \mathbf{qed}
     qed
   qed
  next
   case (ParameterNodeSame\ g\ i\ s\ n)
   then show ?case by blast
   case (ParameterNodeNew\ g\ i\ s\ n\ g')
   then have k: kind g' n = ParameterNode i
     using find-new-kind by blast
   have stamp q' n = s
     using ParameterNodeNew.hyps(3) find-new-stamp by blast
   then have repn: g' \vdash n \simeq ParameterExpr i s
     using rep.ParameterNode k by simp
    from ConstantNodeNew have \neg(is-RefNode\ (ParameterNode\ i)) \land ParameterNode\ i)
terNode i \neq NoNode
     \mathbf{by} \ simp
   then have dom: true-ids g' = true-ids g \cup \{n\}
     using ParameterNodeNew.hyps(2) ParameterNodeNew.hyps(3) fresh-ids
     by (meson true-ids-add-update)
   have new: n \notin ids q
     using fresh-ids
     using ParameterNodeNew.hyps(2) by blast
   obtain new where new = true\text{-}ids g' - true\text{-}ids g
     by simp
   then have new-def: new = \{n\}
   by (metis (no-types, lifting) DiffE Diff-cancel IRGraph.true-ids-def Un-insert-right
dom insert-Diff-if new sup-bot-right)
   then have unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g
     using ParameterNodeNew(3) new add-node-as-set-eq
     by presburger
   then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind g n' = kind g' n'
   by (metis\ ParameterNodeNew.hyps(3) \land new = \{n\} \land add-node-as-set\ dual-order.eq-iff
not-excluded-keep-type not-in-g)
    from unchanged have stamp-eq: \forall n' \in ids \ g \ . \ n' \notin new \longrightarrow stamp \ g \ n' =
stamp \ g' \ n'
     using not-excluded-keep-type new-def new
     by (metis\ ParameterNodeNew.hyps(3)\ add-node-as-set)
   show ?case unfolding maximal-sharing apply (rule allI; rule allI; rule impI)
     using ParameterNodeNew(5) unfolding maximal-sharing apply auto
     proof -
     fix n_1 n_2 e
     assume 1: \forall n_1 \ n_2.
         n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow
```

```
(\exists e. (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2
                       assume n_1 \in true\text{-}ids \ g'
                       assume n_2 \in true\text{-}ids g'
                      show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2 \Longrightarrow n_2
n_2
                       proof (cases n_1 \in true\text{-}ids g)
                              case n1: True
                                 then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
                              proof (cases n_2 \in true\text{-}ids g)
                                      case n2: True
                                      assume n1rep': g' \vdash n_1 \simeq e
                                      assume n2rep': g' \vdash n_2 \simeq e
                                      assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                                      have n1rep: g \vdash n_1 \simeq e
                                              using n1rep' kind-eq stamp-eq new-def add-preserves-rep
                                               using ParameterNodeNew.prems(1) IRGraph.true-ids-def n1 unchanged
by auto
                                      have n2rep: g \vdash n_2 \simeq e
                                              using n2rep' kind-eq stamp-eq new-def add-preserves-rep
                                               using ParameterNodeNew.prems(1) IRGraph.true-ids-def n2 unchanged
by auto
                                      have stamp \ g \ n_1 = stamp \ g \ n_2
                                                          by (metis\ ParameterNodeNew.hyps(3) \ \langle stamp\ g'\ n_1 = stamp\ g'\ n_2 \rangle
fresh-node-subset n1rep n2rep new subset-stamp)
                                      then show ?thesis using 1
                                              using n1 \ n2
                                              using n1rep n2rep by blast
                               next
                                      case n2: False
                                      assume n1rep': g' \vdash n_1 \simeq e
                                      assume n2rep': g' \vdash n_2 \simeq e
                                      assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                                      have n_2 = n
                                             using \langle n_2 \in true\text{-}ids \ g' \rangle \ dom \ n2 \ by \ auto
                                      then have ne: n_2 \notin ids \ q
                                              using new n2 by blast
                                      have n1rep: g \vdash n_1 \simeq e
                                              using n1rep' kind-eq stamp-eq new-def add-preserves-rep
                                               using ParameterNodeNew.prems(1) IRGraph.true-ids-def n1 unchanged
by auto
                                      have n2rep: g \vdash n_2 \simeq e
                                             using n2rep' kind-eq stamp-eq new-def add-preserves-rep
                                              using ParameterNodeNew.prems(1) IRGraph.true-ids-def unchanged
                                                                     by (metis\ (no\text{-}types,\ lifting)\ IRNode.disc(2703)\ ParameterNodeE
ParameterNodeNew.hyps(1) TreeToGraphThms.true-ids-def \langle n_2 = n \rangle find-none
mem-Collect-eq n1 n1rep' repDet repn)
                                      then show ?thesis
                                              using n2rep not-in-no-rep ne by blast
```

```
qed
     next
       case n1: False
        then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
       proof (cases n_2 \in true\text{-}ids g)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n_1 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1 \ \mathbf{by} \ auto
         then have ne: n_1 \notin ids \ g
           using new n2 by blast
         have n1rep: g \vdash n_1 \simeq e
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
           using ParameterNodeNew.prems(1) IRGraph.true-ids-def n1 unchanged
                by (metis (no-types, lifting) IRNode.disc(2703) ParameterNodeE
ParameterNodeNew.hyps(1) TreeToGraphThms.true-ids-def \langle n_1 = n \rangle find-none
mem-Collect-eq n2 n2rep' repDet repn)
         then show ?thesis
           using n1rep not-in-no-rep ne by blast
       next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n_1 = n \wedge n_2 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ n2 \text{ by } blast
         then show ?thesis
           by simp
       qed
     qed
   qed
 next
   case (ConditionalNodeSame g ce g2 c te g3 t fe g4 f s' n)
   then show ?case
     using unrep-preserves-closure by blast
  next
   case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
   then have k: kind g' n = ConditionalNode c t f
     using find-new-kind by blast
   have stamp g' n = s'
    using ConditionalNodeNew.hyps(10) IRNode.distinct(591) find-new-stamp by
blast
   then have repn: g' \vdash n \simeq ConditionalExpr \ ce \ te \ fe
     using rep.ConditionalNode k
    by (metis\ ConditionalNodeNew.hyps(1)\ ConditionalNodeNew.hyps(10)\ Condi-
```

```
fresh-ids fresh-node-subset subset-implies-evals term-graph-reconstruction)
       from ConstantNodeNew have \neg(is-RefNode (ConditionalNode c t f)) <math>\wedge Con-
ditionalNode\ c\ t\ f \neq NoNode
          by simp
       then have dom: true-ids g' = true-ids g \neq \{n\}
            using ConditionalNodeNew.hyps(10) ConditionalNodeNew.hyps(9) fresh-ids
true-ids-add-update by presburger
       have new: n \notin ids \ g
          using fresh-ids
            \mathbf{by} \ (\textit{meson ConditionalNodeNew.hyps(1)} \ \textit{ConditionalNodeNew.hyps(3)} \ \textit{Conditional
ditionalNodeNew.hyps(5) ConditionalNodeNew.hyps(9) unrep-preserves-contains)
       obtain new where new = true\text{-}ids g' - true\text{-}ids g4
          by simp
       then have new-def: new = \{n\}
          using dom
            by (metis ConditionalNodeNew.hyps(9) DiffD1 DiffI Diff-cancel Diff-insert
 Un-insert-right\ boolean-algebra.\ disj-zero-right\ fresh-ids\ insert\ CI\ insert-Diff\ true-ids)
       then have unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g/
          using new add-node-as-set-eq
            using ConditionalNodeNew.hyps(10) ConditionalNodeNew.hyps(9) fresh-ids
by presburger
       then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind g \notin n' = kind g' n'
       by (metis\ ConditionalNodeNew.hyps(10)\ add-node-as-set\ equalityE\ local.new-def
not-excluded-keep-type not-in-g)
        from unchanged have stamp-eq: \forall n' \in ids \ q \ . \ n' \notin new \longrightarrow stamp \ q4 \ n' =
stamp \ g' \ n'
          using not-excluded-keep-type new-def new
         by (metis ConditionalNodeNew.hyps(1) ConditionalNodeNew.hyps(10) Condi-
tionalNodeNew.hyps(3) ConditionalNodeNew.hyps(5) add-node-as-set unrep-preserves-contains)
       have max-g4: maximal-sharing g4
          using ConditionalNodeNew.hyps(1) ConditionalNodeNew.hyps(2) ConditionalNodeNew.hyps(2)
alNodeNew.hyps(3) ConditionalNodeNew.hyps(4) ConditionalNodeNew.hyps(6) Con-
ditional Node New.prems(1) Conditional Node New.prems(2) unrep-preserves-closure
by blast
      show ?case unfolding maximal-sharing apply (rule allI; rule allI; rule impI)
          using max-g4 unfolding maximal-sharing apply auto
          proof -
          fix n_1 n_2 e
          assume 1: \forall n_1 \ n_2.
                  n_1 \in true\text{-}ids \ g4 \land n_2 \in true\text{-}ids \ g4 \longrightarrow
                  (\exists e. (g_4 \vdash n_1 \simeq e) \land (g_4 \vdash n_2 \simeq e) \land stamp \ g_4 \ n_1 = stamp \ g_4 \ n_2) \longrightarrow
          assume n_1 \in true\text{-}ids g'
          assume n_2 \in true\text{-}ids g'
          show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2 \Longrightarrow n_1 =
n_2
          proof (cases n_1 \in true\text{-}ids g \not 4)
              case n1: True
```

tionalNodeNew.hyps(3) ConditionalNodeNew.hyps(5) ConditionalNodeNew.hyps(9)

```
then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
       proof (cases n_2 \in true\text{-}ids g4)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n1rep: g4 \vdash n_1 \simeq e
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
          \mathbf{using}\ Conditional Node New.prems (1)\ IR Graph.true-ids-def\ n1\ unchanged
           \mathbf{by}\ (\mathit{metis}\ (\mathit{mono-tags},\ \mathit{lifting})\ \mathit{ConditionalNodeNew.hyps}(1)\ \mathit{Condition-number}(2)
alNodeNew.hyps(3) ConditionalNodeNew.hyps(5) DiffE unrep-preserves-closure)
         have n2rep: g4 \vdash n_2 \simeq e
           using n2rep' kind-eq stamp-eq new-def add-preserves-rep
          using ConditionalNodeNew.prems(1) IRGraph.true-ids-def n2 unchanged
          by (metis (no-types, lifting) ConditionalNodeNew.hyps(1) ConditionalN-
odeNew.hyps(3) ConditionalNodeNew.hyps(5) DiffE unrep-preserves-closure)
         have stamp g_4 n_1 = stamp g_4 n_2
            by (metis\ ConditionalNodeNew.hyps(10)\ ConditionalNodeNew.hyps(9)
\langle stamp\ g'\ n_1 = stamp\ g'\ n_2 \rangle fresh-ids fresh-node-subset n1rep n2rep subset-stamp)
         then show ?thesis using 1
           using n1 n2
           using n1rep n2rep by blast
       next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stmp: stamp g' n_1 = stamp g' n_2
         have n2-def: n_2 = n
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ dom \ n2 \ \mathbf{by} \ auto
         have n1rep: g4 \vdash n_1 \simeq ConditionalExpr \ ce \ te \ fe
        by (metis (no-types, lifting) ConditionalNodeNew.hyps(1) ConditionalNode-
New.hyps(3) ConditionalNodeNew.hyps(5) ConditionalNodeNew.prems(1) Diff-iff
IRGraph.true-ids-def add-preserves-rep n1 n1rep' n2-def n2rep' repDet repn un-
changed unrep-preserves-closure)
         then have n1in: n_1 \in ids \ g4
           using no-encoding by metis
         have rep: (g4 \vdash c \simeq ce) \land (g4 \vdash t \simeq te) \land (g4 \vdash f \simeq fe)
            by (meson\ ConditionalNodeNew.hyps(1)\ ConditionalNodeNew.hyps(3)
Conditional Node New.hyps(5) subset-implies-evals term-graph-reconstruction)
         have not-ref: \neg(\exists n'. kind g \not = n_1 = RefNode n')
           using Tree To Graph Thms. true-ids-def n1 by fastforce
         then have kind g \not = ConditionalNode \ c \ t f
           \mathbf{using}\ conditional\text{-}rep\text{-}kind
           using local.rep n1rep by presburger
         then show ?thesis
           using find-none ConditionalNodeNew.hyps(8) n1in
            by (metis\ ConditionalNodeNew.hyps(10)\ ConditionalNodeNew.hyps(9)
```

```
\langle stamp \ g' \ n = s' \rangle fresh-ids fresh-node-subset n1rep n2-def stmp subset-stamp)
       qed
     next
       case n1: False
        then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
       proof (cases n_2 \in true\text{-}ids g4)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have new-n1: n_1 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1 \ \mathbf{by} \ auto
         then have ne: n_1 \notin ids \ g4
           using new n1
           using ConditionalNodeNew.hyps(9) fresh-ids by blast
         have unrep-cond: g \not \mid \vdash n_2 \simeq \textit{ConditionalExpr ce te fe}
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
           using ConditionalNodeNew.prems(1) IRGraph.true-ids-def n2 unchanged
           by (metis (no-types, lifting) ConditionalNodeNew.hyps(1) ConditionalN-
odeNew.hyps(3) ConditionalNodeNew.hyps(5) DiffD1 n2rep' new-n1 repDet repn
unrep-preserves-closure)
         have rep: (g4 \vdash c \simeq ce) \land (g4 \vdash t \simeq te) \land (g4 \vdash f \simeq fe)
             by (meson\ ConditionalNodeNew.hyps(1)\ ConditionalNodeNew.hyps(3)
Conditional Node New.hyps(5) subset-implies-evals term-graph-reconstruction)
         have not-ref: \neg(\exists n'. kind g_4 n_2 = RefNode n')
           using TreeToGraphThms.true-ids-def n2 by fastforce
         then have kind g \nmid n_2 = ConditionalNode \ c \ t \ f
           using conditional-rep-kind
           using local.rep unrep-cond by presburger
         then show ?thesis using find-none ConditionalNodeNew.hyps(8)
           by (metis ConditionalNodeNew.hyps(10) \langle stamp \ g' \ n = s' \rangle \langle stamp \ g' \ n_1 \rangle
= stamp \ g' \ n_2 > encodes-contains fresh-node-subset ne new-n1 not-in-g subset-stamp
unrep-cond)
       next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n_1 = n \wedge n_2 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ n2
           by simp
         then show ?thesis
           by simp
       qed
     ged
   qed
  next
```

```
case (UnaryNodeSame\ g\ xe\ g2\ x\ s'\ op\ n)
   then show ?case by blast
 next
   case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
   then have k: kind g' n = unary-node op x
     using find-new-kind
     by (metis add-node-lookup fresh-ids ids-some)
   have stamp g' n = s'
    by (metis UnaryNodeNew.hyps(6) empty-iff find-new-stamp ids-some insertI1
k not-in-g-inputs unary-inputs)
   then have repn: g' \vdash n \simeq UnaryExpr \ op \ xe
   using UnaryNodeNew.hyps(1) UnaryNodeNew.hyps(3) UnaryNodeNew.hyps(4)
UnaryNodeNew.hyps(5) UnaryNodeNew.hyps(6) term-graph-reconstruction unrep.UnaryNodeNew.hyps(6)
   from ConstantNodeNew have \neg(is-RefNode (unary-node op x)) \wedge unary-node
op x \neq NoNode
     by (cases op; auto)
   then have dom: true-ids g' = true-ids g2 \cup \{n\}
   using UnaryNodeNew.hyps(5) UnaryNodeNew.hyps(6) fresh-ids true-ids-add-update
by presburger
   have new: n \notin ids g
     using fresh-ids
   by (meson\ UnaryNodeNew.hyps(1)\ UnaryNodeNew.hyps(5)\ unrep-preserves-contains)
   obtain new where new = true\text{-}ids g' - true\text{-}ids g2
     by simp
   then have new-def: new = \{n\}
     using dom
    by (metis Diff-cancel Diff-iff Un-insert-right UnaryNodeNew.hyps(5) fresh-ids
insert-Diff-if sup-bot.right-neutral true-ids)
   then have unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g2
     using new add-node-as-set-eq
    using UnaryNodeNew.hyps(5) UnaryNodeNew.hyps(6) fresh-ids by presburger
   then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind \ g2 \ n' = kind \ g' \ n'
      by (metis UnaryNodeNew.hyps(6) add-node-as-set equalityD1 local.new-def
not-excluded-keep-type not-in-q)
   from unchanged have stamp-eq: \forall n' \in ids \ g \ . \ n' \notin new \longrightarrow stamp \ g2 \ n' =
stamp \ q' \ n'
     using not-excluded-keep-type new-def new
      by (metis UnaryNodeNew.hyps(1) UnaryNodeNew.hyps(6) add-node-as-set
unrep-preserves-contains)
   have max-g2: maximal-sharing g2
    by (simp\ add:\ UnaryNodeNew.hyps(2)\ UnaryNodeNew.prems(1)\ UnaryNode-
New.prems(2))
   show ?case unfolding maximal-sharing apply (rule allI; rule allI; rule impI)
     using max-g2 unfolding maximal-sharing apply auto
     proof -
     \mathbf{fix} \ n_1 \ n_2 \ e
     assume 1: \forall n_1 \ n_2.
```

```
n_1 \in true\text{-}ids \ g2 \land n_2 \in true\text{-}ids \ g2 \longrightarrow
          (\exists e. (g2 \vdash n_1 \simeq e) \land (g2 \vdash n_2 \simeq e) \land stamp \ g2 \ n_1 = stamp \ g2 \ n_2) \longrightarrow
n_1 = n_2
     assume n_1 \in true\text{-}ids \ g'
     assume n_2 \in true\text{-}ids \ g'
     show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2 \Longrightarrow n_1 =
n_2
     proof (cases n_1 \in true\text{-}ids \ g2)
       case n1: True
        then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
       proof (cases n_2 \in true\text{-}ids \ g2)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n1rep: g2 \vdash n_1 \simeq e
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
           using Diff-iff IRGraph.true-ids-def UnaryNodeNew.hyps(1) UnaryNode-
New.prems(1) n1 unchanged unrep-preserves-closure by auto
         have n2rep: g2 \vdash n_2 \simeq e
           using n2rep' kind-eq stamp-eq new-def add-preserves-rep
             by (metis (no-types, lifting) Diff-iff IRGraph.true-ids-def UnaryNode-
New.hyps(1) UnaryNodeNew.prems(1) n2 unchanged unrep-preserves-closure)
         have stamp \ g2 \ n_1 = stamp \ g2 \ n_2
           by (metis\ UnaryNodeNew.hyps(5)\ UnaryNodeNew.hyps(6)\ \langle stamp\ g'\ n_1
= stamp \ g' \ n_2 \rightarrow fresh-ids \ fresh-node-subset \ n1rep \ n2rep \ subset-stamp)
         then show ?thesis using 1
           using n1 n2
           using n1rep n2rep by blast
        next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have new-n2: n_2 = n
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ dom \ n2 \ \mathbf{by} \ auto
         then have ne: n_2 \notin ids \ g2
           using new n2
           using UnaryNodeNew.hyps(5) fresh-ids by blast
         have unrep-un: g2 \vdash n_1 \simeq UnaryExpr \ op \ xe
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
             by (metis (no-types, lifting) Diff-iff IRGraph.true-ids-def UnaryNode-
New.hyps(1) UnaryNodeNew.prems(1) n1 n2rep' new-n2 repDet repn unchanged
unrep-preserves-closure)
         have rep: (g2 \vdash x \simeq xe)
           using UnaryNodeNew.hyps(1) term-graph-reconstruction by auto
         have not-ref: \neg(\exists n'. kind g2 n_1 = RefNode n')
           using TreeToGraphThms.true-ids-def n1 by force
```

```
then have kind g2 n_1 = unary-node op x
           using unrep-un unary-rep-kind rep by simp
         then show ?thesis using find-none UnaryNodeNew.hyps(4)
             by (metis\ UnaryNodeNew.hyps(6) \ \langle stamp\ g'\ n=s' \rangle \ \langle stamp\ g'\ n_1=
stamp \ g' \ n_2  fresh-node-subset ne new-n2 no-encoding subset-stamp unrep-un)
       qed
     next
       case n1: False
        then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
       proof (cases n_2 \in true\text{-}ids \ g2)
         case n2: True
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have new-n1: n_1 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1 \ \mathbf{by} \ auto
         then have ne: n_1 \notin ids \ g2
           using new n1
           using UnaryNodeNew.hyps(5) fresh-ids by blast
         have unrep-un: g2 \vdash n_2 \simeq UnaryExpr \ op \ xe
           using n1rep' kind-eq stamp-eq new-def add-preserves-rep
             by (metis (no-types, lifting) Diff-iff IRGraph.true-ids-def UnaryNode-
New.hyps(1) UnaryNodeNew.prems(1) n2 n2rep' new-n1 repDet repn unchanged
unrep-preserves-closure)
         have rep: (g2 \vdash x \simeq xe)
           using UnaryNodeNew.hyps(1) term-graph-reconstruction by presburger
         have not-ref: \neg(\exists n'. kind g2 n_2 = RefNode n')
           using TreeToGraphThms.true-ids-def n2 by fastforce
         then have kind g2 n_2 = unary-node op x
           using unary-rep-kind
           using local.rep unrep-un by presburger
         then show ?thesis using find-none UnaryNodeNew.hyps(4)
             by (metis\ UnaryNodeNew.hyps(6) \ \langle stamp\ g'\ n=s' \rangle \ \langle stamp\ g'\ n_1=
stamp\ g'\ n_2 resh-node-subset\ ne\ new-n1\ no-encoding\ subset-stamp\ unrep-un)
       next
         case n2: False
         assume n1rep': g' \vdash n_1 \simeq e
         assume n2rep': g' \vdash n_2 \simeq e
         assume stamp \ g' \ n_1 = stamp \ g' \ n_2
         have n_1 = n \wedge n_2 = n
           using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1
           using \langle n_2 \in true\text{-}ids \ g' \rangle \ n2
          by simp
         then show ?thesis
           by simp
       qed
     qed
```

```
qed
 \mathbf{next}
   case (BinaryNodeSame\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n)
   then show ?case
     using unrep-preserves-closure by blast
  next
   case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
   then have k: kind g' n = bin-node op x y
     using find-new-kind
     by (metis add-node-lookup fresh-ids ids-some)
   have stamp \ g' \ n = s'
       by (metis\ BinaryNodeNew.hyps(1)\ BinaryNodeNew.hyps(3)\ BinaryNode-
New.hyps(5) BinaryNodeNew.hyps(6) BinaryNodeNew.hyps(7) BinaryNodeNew.hyps(8)
find-new-stamp ids-some k unrep.BinaryNodeNew unrep-contains)
   then have repn: q' \vdash n \simeq BinaryExpr op xe ye
     using k
   using BinaryNodeNew.hyps(1) BinaryNodeNew.hyps(3) BinaryNodeNew.hyps(5)
BinaryNodeNew.hyps(6) BinaryNodeNew.hyps(7) BinaryNodeNew.hyps(8) term-graph-reconstruction
unrep.BinaryNodeNew by blast
   from BinaryNodeNew have \neg(is-RefNode (bin-node op x y)) \wedge bin-node op x
y \neq NoNode
     by (cases op; auto)
   then have dom: true-ids g' = true-ids g3 \cup \{n\}
   \mathbf{using}\ BinaryNodeNew.hyps(?)\ BinaryNodeNew.hyps(8)\ fresh-ids\ true-ids-add-update
by presburger
   have new: n \notin ids g
     using fresh-ids
       by (meson\ BinaryNodeNew.hyps(1)\ BinaryNodeNew.hyps(3)\ BinaryNode-
New.hyps(7) unrep-preserves-contains)
   obtain new where new = true\text{-}ids g' - true\text{-}ids g3
     by simp
   then have new-def: new = \{n\}
     using dom
    by (metis BinaryNodeNew.hyps(7) Diff-cancel Diff-iff Un-insert-right fresh-ids
insert-Diff-if sup-bot.right-neutral true-ids)
   then have unchanged: (new \triangleleft as\text{-}set \ q') = as\text{-}set \ q3
     using new add-node-as-set-eq
   using BinaryNodeNew.hyps(7) BinaryNodeNew.hyps(8) fresh-ids by presburger
   then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind g3 n' = kind g' n'
      by (metis BinaryNodeNew.hyps(8) add-node-as-set equalityD1 local.new-def
not-excluded-keep-type not-in-g)
    \textbf{from} \ \textit{unchanged} \ \textbf{have} \ \textit{stamp-eq:} \ \forall \, n' \in \textit{ids} \ \textit{g} \ . \ n' \notin \textit{new} \longrightarrow \textit{stamp} \ \textit{g3} \ n' =
stamp \ g' \ n'
     using not-excluded-keep-type new-def new
       \textbf{by} \ (\textit{metis} \ \textit{BinaryNodeNew.hyps}(\textit{1}) \ \textit{BinaryNodeNew.hyps}(\textit{3}) \ \textit{BinaryNode-}
New.hyps(8) add-node-as-set unrep-preserves-contains)
   have max-q3: maximal-sharing q3
   using BinaryNodeNew.hyps(1) BinaryNodeNew.hyps(2) BinaryNodeNew.hyps(4)
```

BinaryNodeNew.prems(1) BinaryNodeNew.prems(2) unrep-preserves-closure by blast

```
show ?case unfolding maximal-sharing apply (rule allI; rule allI; rule impI)
           using max-g3 unfolding maximal-sharing apply auto
           proof -
           fix n_1 n_2 e
           assume 1: \forall n_1 \ n_2.
                  n_1 \in true\text{-}ids \ g\beta \land n_2 \in true\text{-}ids \ g\beta \longrightarrow
                   (\exists e. (g3 \vdash n_1 \simeq e) \land (g3 \vdash n_2 \simeq e) \land stamp \ g3 \ n_1 = stamp \ g3 \ n_2) \longrightarrow
n_1 = n_2
           assume n_1 \in true\text{-}ids g'
          assume n_2 \in true\text{-}ids g'
          show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2 \Longrightarrow n_1 =
           proof (cases n_1 \in true\text{-}ids g3)
              case n1: True
                then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
\implies n_1 = n_2
              proof (cases n_2 \in true\text{-}ids \ g3)
                  case n2: True
                  assume n1rep': g' \vdash n_1 \simeq e
                  assume n2rep': g' \vdash n_2 \simeq e
                  assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                  have n1rep: g3 \vdash n_1 \simeq e
                      using n1rep' kind-eq stamp-eq new-def add-preserves-rep
                               by (metis (no-types, lifting) BinaryNodeNew.hyps(1) BinaryNode-
New.hyps(3) BinaryNodeNew.prems(1) Diff-iff IRGraph.true-ids-def n1 unchanged
unrep-preserves-closure)
                  have n2rep: g3 \vdash n_2 \simeq e
                      using n2rep' kind-eq stamp-eq new-def add-preserves-rep
                    \mathbf{by}\ (\mathit{metis}\ BinaryNodeNew.hyps(1)\ BinaryNodeNew.hyps(3)\ BinaryNodeNew.hyps(4)\ Bi
New.prems(1) DiffE n2 true-ids unchanged unrep-preserves-closure)
                  have stamp g3 n_1 = stamp g3 n_2
                    by (metis\ BinaryNodeNew.hyps(7)\ BinaryNodeNew.hyps(8) \land stamp\ g'\ n_1
= stamp \ g' \ n_2 \land fresh-ids \ fresh-node-subset \ n1rep \ n2rep \ subset-stamp)
                  then show ?thesis using 1
                      using n1 n2
                      using n1rep n2rep by blast
              next
                  case n2: False
                  assume n1rep': g' \vdash n_1 \simeq e
                  assume n2rep': g' \vdash n_2 \simeq e
                  assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                  have new-n2: n_2 = n
                      using \langle n_2 \in true\text{-}ids \ g' \rangle \ dom \ n2 \ \mathbf{by} \ auto
                  then have ne: n_2 \notin ids \ g3
                      using new n2
                      using BinaryNodeNew.hyps(7) fresh-ids by presburger
                  have unrep-bin: g3 \vdash n_1 \simeq BinaryExpr op xe ye
                      using n1rep' kind-eq stamp-eq new-def add-preserves-rep
                    by (metis\ BinaryNodeNew.hyps(1)\ BinaryNodeNew.hyps(3)\ BinaryNodeNew.hyps(3)
```

```
New.prems(1) DiffE \langle new = true - ids \ g' - true - ids \ g \rangle \rangle encodes-contains ids-some
n1 n2rep' new-n2 repDet repn unchanged unrep-preserves-closure)
                                have rep: (g3 \vdash x \simeq xe) \land (g3 \vdash y \simeq ye)
                         by (meson\ BinaryNodeNew.hyps(1)\ BinaryNodeNew.hyps(3)\ term-graph-reconstruction
unrep-contains unrep-unchanged)
                                have not-ref: \neg(\exists n'. kind g3 n_1 = RefNode n')
                                      using TreeToGraphThms.true-ids-def n1 by force
                                then have kind g3 n_1 = bin\text{-}node op x y
                                      using unrep-bin binary-rep-kind rep by simp
                                then show ?thesis using find-none BinaryNodeNew.hyps(6)
                                            by (metis\ BinaryNodeNew.hyps(8) \ \langle stamp\ g'\ n=s' \rangle \ \langle stamp\ g'\ n_1=s' \rangle \ \langle stamp\ g'\ n_1=
stamp \ g' \ n_2 > fresh-node-subset \ ne \ new-n2 \ no-encoding \ subset-stamp \ unrep-bin)
                         qed
                   next
                          case n1: False
                            then show g' \vdash n_1 \simeq e \Longrightarrow g' \vdash n_2 \simeq e \Longrightarrow stamp \ g' \ n_1 = stamp \ g' \ n_2
                         proof (cases n_2 \in true\text{-}ids g3)
                                case n2: True
                                assume n1rep': g' \vdash n_1 \simeq e
                                assume n2rep': g' \vdash n_2 \simeq e
                                assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                                have new-n1: n_1 = n
                                      using \langle n_1 \in true\text{-}ids \ g' \rangle \ dom \ n1 \ \mathbf{by} \ auto
                                then have ne: n_1 \notin ids \ g3
                                      using new n1
                                      using BinaryNodeNew.hyps(7) fresh-ids by blast
                                have unrep-bin: g3 \vdash n_2 \simeq BinaryExpr op xe ye
                                      using n1rep' kind-eq stamp-eq new-def add-preserves-rep
                                                          by (metis (mono-tags, lifting) BinaryNodeNew.hyps(1) BinaryN-
odeNew.hyps(3) BinaryNodeNew.prems(1) Diff-iff IRGraph.true-ids-def n2 n2rep'
new-n1 repDet repn unchanged unrep-preserves-closure)
                                have rep: (g3 \vdash x \simeq xe) \land (g3 \vdash y \simeq ye)
                          using BinaryNodeNew.hyps(1) BinaryNodeNew.hyps(3) term-graph-reconstruction
unrep-contains unrep-unchanged by blast
                                have not-ref: \neg(\exists n'. kind \ g3 \ n_2 = RefNode \ n')
                                      using TreeToGraphThms.true-ids-def n2 by fastforce
                                then have kind g3 n_2 = bin\text{-}node op x y
                                      using unrep-bin binary-rep-kind rep by simp
                                then show ?thesis using find-none BinaryNodeNew.hyps(6)
                                            by (metis\ BinaryNodeNew.hyps(8) \ \langle stamp\ g'\ n=s' \rangle \ \langle stamp\ g'\ n_1=s' \rangle \ \langle stamp\ g'\ n_1=
stamp \ g' \ n_2 
ightharpoonup fresh-node-subset \ ne \ new-n1 \ no-encoding \ subset-stamp \ unrep-bin)
                                case n2: False
                                assume n1rep': g' \vdash n_1 \simeq e
                                assume n2rep': g' \vdash n_2 \simeq e
                                assume stamp \ g' \ n_1 = stamp \ g' \ n_2
                                have n_1 = n \wedge n_2 = n
                                      \mathbf{using} \ \langle n_1 \in \mathit{true\text{-}ids} \ g' \rangle \ \mathit{dom} \ n1
```

```
using \langle n_2 \in true\text{-}ids \ g' \rangle \ n2
by simp
then show ?thesis
by simp
qed
qed
qed
next
case (AllLeafNodes \ g \ n \ s)
then show ?case by blast
qed
```

### 8 Control-flow Semantics

```
theory IRStepObj
imports
TreeToGraph
begin
```

### 8.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the H[f][p] heap representation. See  $\cite{heap-reps-2011}$ . We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

```
type-synonym ('a, 'b) Heap = 'a \Rightarrow 'b \Rightarrow Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap \times Free
fun h-load-field :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) DynamicHeap \Rightarrow Value where
h-load-field fr (h, n) = h fr
fun h-store-field :: 'a \Rightarrow 'b \Rightarrow Value \Rightarrow ('a, 'b) DynamicHeap \Rightarrow ('a, 'b)
DynamicHeap where
h-store-field fr v (h, n) = (h(f := ((h f)(r := v))), n)
fun h-new-inst :: ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap \times Value
where
h-new-inst (h, n) = ((h,n+1), (ObjRef (Some n)))
type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

 $\textit{definition new-heap} :: (\textit{'a}, \textit{'b}) \; \textit{DynamicHeap} \; \mathbf{where}$ 

```
new-heap = ((\lambda f. \lambda p. UndefVal), 0)
```

### 8.2 Intraprocedural Semantics

```
fun find-index :: 'a \Rightarrow 'a \ list \Rightarrow nat \ \mathbf{where}
     find-index v(x \# xs) = (if(x=v) then 0 else find-index v(xs+1)
fun phi-list :: IRGraph \Rightarrow ID \Rightarrow ID list where
     phi-list g n =
           (filter (\lambda x.(is-PhiNode\ (kind\ q\ x)))
                (sorted-list-of-set\ (usages\ g\ n)))
fun input-index :: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow nat where
      input-index g n n' = find-index n' (inputs-of (kind g n))
fun phi-inputs :: IRGraph \Rightarrow nat \Rightarrow ID \ list \Rightarrow ID \ list where
      phi-inputs g i nodes = (map (\lambda n. (inputs-of (kind g n))!(i + 1)) nodes)
fun set-phis :: ID \ list \Rightarrow \ Value \ list \Rightarrow \ MapState \Rightarrow \ MapState \ \mathbf{where}
      set-phis [] [] m = m
      set-phis (n \# xs) (v \# vs) m = (set-phis xs vs (m(n := v)))
     set-phis [] (v # vs) m = m |
     set-phis (x \# xs) [] m = m
Intraprocedural semantics are given as a small-step semantics.
Within the context of a graph, the configuration triple, (ID, MethodState,
Heap), is related to the subsequent configuration.
inductive step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow (ID \times FieldRef
\times MapState \times FieldRefHeap) \Rightarrow bool
     (-, - \vdash - \rightarrow -55) for g p where
      SequentialNode:
      [is-sequential-node\ (kind\ g\ nid);
          nid' = (successors-of (kind \ g \ nid))!0
          \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
      IfNode:
      \llbracket kind\ g\ nid = (IfNode\ cond\ tb\ fb);
          q \vdash cond \simeq condE;
          [m, p] \vdash condE \mapsto val;
          nid' = (if \ val\ to\ bool \ val \ then \ tb \ else \ fb)
          \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
      EndNodes:
      [is-AbstractEndNode\ (kind\ g\ nid);
          merge = any-usage g nid;
           is-AbstractMergeNode (kind g merge);
```

```
i = find\text{-}index\ nid\ (inputs\text{-}of\ (kind\ g\ merge));
 phis = (phi-list\ g\ merge);
 inps = (phi-inputs \ g \ i \ phis);
 g \vdash inps \simeq_L inpsE;
 [m, p] \vdash inpsE \mapsto_L vs;
 m' = set-phis phis vs m
 \implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid
NewInstanceNode:
 \llbracket kind\ g\ nid = (NewInstanceNode\ nid\ f\ obj\ nid');
   (h', ref) = h-new-inst h;
   m' = m(nid := ref)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
LoadFieldNode:
 \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');
   g \vdash obj \simeq objE;
   [m, p] \vdash objE \mapsto ObjRef ref;
   h-load-field f ref h = v;
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
SignedDivNode:
 [kind\ g\ nid\ =\ (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);
   g \vdash x \simeq xe;
   g \vdash y \simeq ye;
   [m, p] \vdash xe \mapsto v1;
   [m, p] \vdash ye \mapsto v2;
   v = (intval-div \ v1 \ v2);
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
SignedRemNode:
 [kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);
   g \vdash x \simeq xe;
   g \vdash y \simeq ye;
   [m, p] \vdash xe \mapsto v1;
   [m, p] \vdash ye \mapsto v2;
   v = (intval - mod \ v1 \ v2);
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
StaticLoadFieldNode:
 [kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid');
   h-load-field f None h = v;
   m' = m(nid := v)
 \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
```

```
StoreFieldNode:
    \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - (Some\ obj)\ nid');
      g \vdash newval \simeq newvalE;
      g \vdash obj \simeq objE;
      [m, \, p] \vdash newvalE \mapsto val;
      [m, p] \vdash objE \mapsto ObjRef ref;
      h' = h-store-field f ref val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
  StaticStoreFieldNode:
    [kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ -\ None\ nid');
      g \vdash newval \simeq newvalE;
      [m, p] \vdash newvalE \mapsto val;
      h' = h-store-field f None val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
code-pred (modes: i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool) step.
       Interprocedural Semantics
type-synonym Signature = string
type-synonym Program = Signature \rightarrow IRGraph
\textbf{inductive} \ \textit{step-top} :: \textit{Program} \Rightarrow (\textit{IRGraph} \times \textit{ID} \times \textit{MapState} \times \textit{Params}) \ \textit{list} \times \\
FieldRefHeap \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap \Rightarrow
bool
  (-\vdash -\longrightarrow -55)
  for P where
  Lift:
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket
    \implies P \vdash ((g,nid,m,p)\#stk, h) \longrightarrow ((g,nid',m',p)\#stk, h') \mid
  InvokeNodeStep:
  [is-Invoke\ (kind\ g\ nid);
    callTarget = ir\text{-}callTarget (kind g nid);
    kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);
    Some \ targetGraph = P \ targetMethod;
    m' = new-map-state;
    g \vdash arguments \simeq_L argsE;
    [m, p] \vdash argsE \mapsto_L p'
    \implies P \vdash ((g,nid,m,p)\#stk, h) \longrightarrow ((targetGraph,0,m',p')\#(g,nid,m,p)\#stk, h)
```

```
ReturnNode:
  \llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);
   g \vdash expr \simeq e;
   [m, p] \vdash e \mapsto v;
   cm' = cm(cnid := v);
   cnid' = (successors\text{-}of\ (kind\ cg\ cnid))!0
   \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk, h) \longrightarrow ((cg,cnid',cm',cp)\#stk, h) \mid
  ReturnNodeVoid:
  [kind\ g\ nid = (ReturnNode\ None\ -);
    cm' = cm(cnid := (ObjRef (Some (2048))));
   cnid' = (successors-of (kind cg cnid))!0
   \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,h) \longrightarrow ((cg,cnid',cm',cp)\#stk,h) \mid
  UnwindNode:
  [kind\ g\ nid\ =\ (UnwindNode\ exception);
   g \vdash exception \simeq exceptionE;
   [m, p] \vdash exceptionE \mapsto e;
   kind\ cg\ cnid = (InvokeWithExceptionNode - - - - exEdge);
   cm' = cm(cnid := e)
  \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,\ h) \longrightarrow ((cg,exEdge,cm',cp)\#stk,\ h)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) step-top.
8.4 Big-step Execution
type-synonym Trace = (IRGraph \times ID \times MapState \times Params) list
fun has-return :: MapState \Rightarrow bool where
 has\text{-}return \ m = (m \ 0 \neq UndefVal)
inductive exec :: Program
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow Trace
     \Rightarrow bool
  (- ⊢ - | - →* - | -)
  for P
  where
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    \neg(has\text{-}return\ m');
   l' = (l @ [(q,nid,m,p)]);
```

```
exec\ P\ (((g',nid',m',p')\#ys),h')\ l'\ next-state\ l'']
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ next-state\ l'''
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    has\text{-}return m';
    l' = (l @ [(g,nid,m,p)])]
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ (((g',nid',m',p')\#ys),h')\ l'
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool \ as \ Exec) exec.
\mathbf{inductive}\ \mathit{exec-debug} :: \mathit{Program}
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow bool
  (-⊢-→*-* -)
  where
  [n > 0;
    p \vdash s \longrightarrow s';
    exec-debug p \ s' \ (n-1) \ s''
    \implies exec\text{-}debug\ p\ s\ n\ s^{\prime\prime}\ |
  [n = 0]
    \implies exec\text{-}debug\ p\ s\ n\ s
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) exec-debug.
8.4.1 Heap Testing
definition p3:: Params where
  p3 = [IntVal \ 32 \ 3]
values {(prod.fst(prod.snd (prod.snd (hd (prod.fst res))))) 0
     | res. (\lambda x. Some \ eg2\text{-}sq) \vdash ([(eg2\text{-}sq,0,new\text{-}map\text{-}state,p3), (eg2\text{-}sq,0,new\text{-}map\text{-}state,p3)],
new-heap) \rightarrow *2* res
\textbf{definition} \ \mathit{field-sq} :: \mathit{string} \ \textbf{where}
  field-sq = "sq"
definition eg3-sq :: IRGraph where
  eg3-sq = irgraph
    (0, StartNode\ None\ 4,\ VoidStamp),
    (1, ParameterNode 0, default-stamp),
    (3, MulNode 1 1, default-stamp),
    (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
    (5, ReturnNode (Some 3) None, default-stamp)
```

```
values {h-load-field field-sq None (prod.snd res)
          | res. (\lambda x. Some \ eg3-sq) \vdash ([(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0, new-map-state, p3))
new-map-state, p3)], new-heap) \rightarrow *3* res}
definition eq4-sq :: IRGraph where
  eq4-sq = irgraph
    (0, StartNode None 4, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (3, MulNode 1 1, default-stamp),
   (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True),
    (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
   (6, ReturnNode (Some 3) None, default-stamp)
values \{h\text{-load-field field-sq }(Some \ 0) \ (prod.snd \ res) \mid res.
               (\lambda x. \ Some \ eg4\text{-}sq) \vdash ([(eg4\text{-}sq, \ 0, \ new\text{-}map\text{-}state, \ p3), \ (eg4\text{-}sq, \ 0, \ new\text{-}map\text{-}state, \ p3))
new-map-state, p3), new-heap) \rightarrow *3* res
end
```

### 8.5 Control-flow Semantics Theorems

```
theory IRStepThms
imports
IRStepObj
TreeToGraphThms
begin
```

]

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

### 8.5.1 Control-flow Step is Deterministic

```
theorem stepDet:
(g, p \vdash (nid, m, h) \rightarrow next) \Longrightarrow
(\forall next'. ((g, p \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))
proof (induction \ rule: \ step.induct)
case (SequentialNode \ nid \ next \ m \ h)
have notif: \neg (is\text{-}IfNode \ (kind \ g \ nid))
using SequentialNode.hyps(1) \ is\text{-}sequential\text{-}node.simps}
by (metis \ is\text{-}IfNode\text{-}def)
have notend: \neg (is\text{-}AbstractEndNode \ (kind \ g \ nid))
using SequentialNode.hyps(1) \ is\text{-}sequential\text{-}node.simps}
```

```
by (metis is-AbstractEndNode.simps is-EndNode.elims(2) is-LoopEndNode-def)
 have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-NewInstanceNode-def)
 have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-LoadFieldNode-def)
 have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-StoreFieldNode-def)
 have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
    using SequentialNode.hyps(1) is-sequential-node.simps is-SignedDivNode-def
is-SignedRemNode-def
   by (metis is-IntegerDivRemNode.simps)
 from notif notend notnew notload notstore notdivrem
 show ?case using SequentialNode step.cases
  by (smt (z3) IRNode.disc(1028) IRNode.disc(2270) IRNode.discI(31) Pair-inject
is-sequential-node.simps(18) is-sequential-node.simps(43) is-sequential-node.simps(44))
 case (If Node nid cond to for m val next h)
 then have notseq: \neg(is\text{-sequential-node (kind g nid)})
   {f using}\ is\ -sequential\ -node. simps\ is\ -AbstractMergeNode. simps
   by (simp\ add:\ IfNode.hyps(1))
 have notend: \neg(is-AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ IfNode.hyps(1))
 have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ IfNode.hyps(1))
 from notseq notend notdivrem show ?case using IfNode repDet evalDet IRN-
ode.distinct IRNode.inject(11) Pair-inject step.simps
   by (smt (z3) IRNode.distinct IRNode.inject(12) Pair-inject step.simps)
next
 case (EndNodes\ nid\ merge\ i\ phis\ inputs\ m\ vs\ m'\ h)
 have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ g\ nid))
   using EndNodes.hyps(1) is-AbstractEndNode.simps is-sequential-node.simps
   by (metis is-EndNode.elims(2) is-LoopEndNode-def)
 have notif: \neg(is\text{-}IfNode\ (kind\ q\ nid))
   using EndNodes.hyps(1) is-IfNode-def is-AbstractEndNode.elims
   by (metis\ IRNode.distinct-disc(1058)\ is-EndNode.simps(12))
 have notref: \neg(is\text{-}RefNode\ (kind\ g\ nid))
   using EndNodes.hyps(1) is-sequential-node.simps
     using IRNode.disc(1899) IRNode.distinct(1473) is-AbstractEndNode.simps
is-EndNode.elims(2) is-LoopEndNode-def is-RefNode-def
   by metis
 have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ g\ nid))
   using EndNodes.hyps(1) is-AbstractEndNode.simps
  using IRNode.distinct-disc(1442) is-EndNode.simps(29) is-NewInstanceNode-def
   by (metis\ IRNode.distinct-disc(1901)\ is-EndNode.simps(32))
```

```
have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
      \mathbf{using}\ EndNodes.hyps(1)\ is	ext{-}AbstractEndNode.simps
      using is-LoadFieldNode-def
      by (metis IRNode.distinct-disc(1706) is-EndNode.simps(21))
   have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ q\ nid))
      using EndNodes.hyps(1) is-AbstractEndNode.simps is-StoreFieldNode-def
      by (metis IRNode.distinct-disc(1926) is-EndNode.simps(44))
   have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
    \textbf{using} \ EndNodes. hyps (1) \ is - AbstractEndNode. simps \ is - SignedDivNode-def \ is - SignedRemNode-def
    using IRNode.distinct-disc(1498) IRNode.distinct-disc(1500) is-Integer DivRemNode.simps
is-EndNode.simps(36) is-EndNode.simps(37)
      by auto
   from notseq notif notref notnew notload notstore notdivrem
   show ?case using EndNodes repAllDet evalAllDet
    by (smt (z3) is-IfNode-def is-LoadFieldNode-def is-NewInstanceNode-def is-RefNode-def
is-StoreFieldNode-def is-SiqnedDivNode-def is-SiqnedRemNode-def Pair-inject is-IntegerDivRemNode.elims(3)
step.cases)
next
   case (NewInstanceNode nid f obj nxt h' ref h m' m)
   then have notseq: \neg(is\text{-sequential-node (kind g nid)})
      {\bf using} \ is-sequential - node. simps \ is-AbstractMergeNode. simps
      by (simp\ add:\ NewInstanceNode.hyps(1))
   have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
      using is-AbstractMergeNode.simps
      by (simp add: NewInstanceNode.hyps(1))
   have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
      using is-AbstractMergeNode.simps
      by (simp add: NewInstanceNode.hyps(1))
   have notref: \neg(is\text{-}RefNode\ (kind\ g\ nid))
      using is-AbstractMergeNode.simps
      by (simp\ add:\ NewInstanceNode.hyps(1))
   have notload: \neg(is\text{-}LoadFieldNode\ (kind\ q\ nid))
      using is-AbstractMergeNode.simps
      by (simp\ add:\ NewInstanceNode.hyps(1))
   have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
      using is-AbstractMergeNode.simps
      by (simp add: NewInstanceNode.hyps(1))
   have not divrem: \neg (is-Integer DivRemNode (kind q nid))
      using is-AbstractMergeNode.simps
      by (simp\ add:\ NewInstanceNode.hyps(1))
   from notseq notend notif notref notload notstore notdivrem
   show ?case using NewInstanceNode step.cases
        by (smt\ (z3)\ IRNode.disc(1028)\ IRNode.disc(2270)\ IRNode.discI(11)\ IRNode.discI(210)\ IRNode.discI(210)
ode.distinct(2311) IRNode.distinct(2313) IRNode.inject(31) Pair-inject)
   case (LoadFieldNode\ nid\ f\ obj\ nxt\ m\ ref\ h\ v\ m')
   then have notseq: \neg(is\text{-sequential-node (kind g nid)})
      {\bf using} \ is-sequential-node.simps \ is-AbstractMergeNode.simps
      by (simp\ add:\ LoadFieldNode.hyps(1))
```

```
have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   \mathbf{using}\ is\text{-}AbstractEndNode.simps
   by (simp\ add:\ LoadFieldNode.hyps(1))
  have notdivrem: \neg (is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp add: LoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using LoadFieldNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1051) IRNode.distinct(1721) IRNode.distinct(1739)
IRNode.distinct(1741) IRNode.distinct(1745) IRNode.inject(20) Pair-inject Value.inject(2)
option.distinct(1) \ option.inject)
next
  case (StaticLoadFieldNode\ nid\ f\ nxt\ h\ v\ m'\ m)
 then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   using is-sequential-node.simps is-AbstractMergeNode.simps
   by (simp add: StaticLoadFieldNode.hyps(1))
 have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp add: StaticLoadFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp\ add:\ StaticLoadFieldNode.hyps(1))
  from notseq notend notdivrem
  {\bf show} \ ?case \ {\bf using} \ StaticLoadFieldNode \ step. cases
  by (smt (23) IRNode.distinct(1051) IRNode.distinct(1721) IRNode.distinct(1739)
IRNode.distinct(1741) IRNode.distinct(1745) IRNode.inject(20) Pair-inject option.distinct(1))
next
  case (StoreFieldNode nid f newval uu obj nxt m val ref h' h m')
  then have notseg: \neg(is\text{-sequential-node }(kind \ q \ nid))
   {\bf using} \ is-sequential-node.simps \ is-AbstractMergeNode.simps
   by (simp\ add:\ StoreFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ StoreFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp\ add:\ StoreFieldNode.hyps(1))
 from notseg notend notdivrem
 show ?case using StoreFieldNode step.cases repDet evalDet
  by (smt (23) IRNode.distinct(1097) IRNode.distinct(1745) IRNode.distinct(2317)
IRNode.distinct(2605) IRNode.distinct(2627) IRNode.inject(43) Pair-inject Value.inject(2)
option.distinct(1) option.inject)
next
  case (StaticStoreFieldNode nid f newval uv nxt m val h' h m')
  then have notseq: \neg(is\text{-sequential-node (kind g nid)})
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
 have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
```

```
by (simp add: StaticStoreFieldNode.hyps(1))
  from notseq notend notdivrem
 show ?case using StoreFieldNode step.cases repDet evalDet
  by (smt (23) IRNode.distinct(1097) IRNode.distinct(1745) IRNode.distinct(2317)
IRNode.distinct(2605) IRNode.distinct(2627) IRNode.inject(43) Pair-inject Static-
StoreFieldNode.hyps(1) StaticStoreFieldNode.hyps(2) StaticStoreFieldNode.hyps(3)
StaticStoreFieldNode.hyps(4) StaticStoreFieldNode.hyps(5) option.distinct(1)
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ g\ nid))
   using is-sequential-node.simps is-AbstractMergeNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
 have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
 from notseq notend
 show ?case using SignedDivNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1091) IRNode.distinct(1739) IRNode.distinct(2311)
IRNode.distinct(2601) IRNode.distinct(2605) IRNode.inject(40) Pair-inject)
  case (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt\ m\ v1\ v2\ v\ m'\ h)
  then have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ g\ nid))
   {\bf using} \ is-sequential-node. simps \ is-AbstractMergeNode. simps
   by (simp\ add:\ SignedRemNode.hyps(1))
  have notend: \neg(is-AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ SignedRemNode.hyps(1))
  from notseg notend
 show ?case using SignedRemNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1093) IRNode.distinct(1741) IRNode.distinct(2313)
IRNode.distinct(2601) IRNode.distinct(2627) IRNode.inject(41) Pair-inject)
qed
lemma stepRefNode:
 \llbracket \mathit{kind}\ g\ \mathit{nid} = \mathit{RefNode}\ \mathit{nid'} \rrbracket \Longrightarrow g,\ p \vdash (\mathit{nid}, \mathit{m}, \mathit{h}) \to (\mathit{nid'}, \mathit{m}, \mathit{h})
 using SequentialNode
 by (metis IRNodes.successors-of-RefNode is-sequential-node.simps(7) nth-Cons-0)
lemma IfNodeStepCases:
 assumes kind\ g\ nid = IfNode\ cond\ tb\ fb
 assumes g \vdash cond \simeq condE
 assumes [m, p] \vdash condE \mapsto v
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows nid' \in \{tb, fb\}
 \mathbf{using}\ step. \mathit{IfNode}\ repDet\ stepDet\ assms
 by (metis insert-iff old.prod.inject)
lemma IfNodeSeq:
 shows kind g nid = IfNode cond to fb \longrightarrow \neg (is-sequential-node (kind g nid))
```

```
unfolding is-sequential-node.simps
  using is-sequential-node.simps(18) by presburger
lemma IfNodeCond:
 assumes kind \ q \ nid = IfNode \ cond \ tb \ fb
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows \exists condE \ v. \ ((g \vdash cond \simeq condE) \land ([m, p] \vdash condE \mapsto v))
 using assms(2,1) by (induct\ (nid,m,h)\ (nid',m,h)\ rule:\ step.induct;\ auto)
{f lemma} step-in-ids:
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
 shows nid \in ids \ g
 using assms apply (induct (nid, m, h) (nid', m', h') rule: step.induct)
 using is-sequential-node.simps(45) not-in-g
 apply simp
 apply (metis is-sequential-node.simps(53))
 using ids-some
 using IRNode.distinct(1113) apply presburger
 using EndNodes(1) is-AbstractEndNode.simps is-EndNode.simps(45) ids-some
 apply (metis\ IRNode.disc(1218)\ is\text{-}EndNode.simps(52))
 by simp+
```

## $\mathbf{end}$

### 9 Proof Infrastructure

### 9.1 Bisimulation

```
theory Bisimulation
imports
Stuttering
begin
```

```
inductive weak-bisimilar :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool

(- . - \sim -) for nid where

\llbracket \forall P'. (g \ m \ p \ h \vdash nid \leadsto P') \longrightarrow (\exists \ Q' \ . (g' \ m \ p \ h \vdash nid \leadsto Q') \land P' = Q');

\forall \ Q'. (g' \ m \ p \ h \vdash nid \leadsto Q') \longrightarrow (\exists \ P' \ . (g \ m \ p \ h \vdash nid \leadsto P') \land P' = Q') \rrbracket

\implies nid \ . g \sim g'
```

A strong bisimilation between no-op transitions

```
inductive strong-noop-bisimilar :: ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool

(- \mid - \sim -) for nid where

\llbracket \forall P'. (g, p \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \land P' = Q');

\forall Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g, p \vdash (nid, m, h) \rightarrow P') \land P' = Q') \rrbracket

\implies nid \mid g \sim g'
```

```
\mathbf{lemma}\ lockstep\text{-}strong\text{-}bisimilulation:
 assumes g' = replace - node \ nid \ node \ g
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 assumes g', p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows nid \mid g \sim g'
 using assms(2) assms(3) stepDet strong-noop-bisimilar.simps by metis
lemma no-step-bisimulation:
 assumes \forall m \ p \ h \ nid' \ m' \ h'. \neg(g, p \vdash (nid, m, h) \rightarrow (nid', m', h'))
 assumes \forall m \ p \ h \ nid' \ m' \ h'. \ \neg(g', p \vdash (nid, m, h) \rightarrow (nid', m', h'))
 shows nid \mid g \sim g'
 using assms
 by (simp add: assms(1) assms(2) strong-noop-bisimilar.intros)
end
9.2
       Graph Rewriting
theory
  Rewrites
imports
  Stuttering
begin
fun replace-usages :: ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph where
  replace-usages nid nid' g = replace-node nid (RefNode nid', stamp g nid') g
lemma replace-usages-effect:
 assumes q' = replace-usages nid nid' q
 shows kind g' nid = RefNode nid'
 using assms replace-node-lookup replace-usages.simps
 by (metis\ IRNode.distinct(2755))
lemma replace-usages-changeonly:
 assumes nid \in ids g
 assumes g' = replace-usages nid \ nid' \ g
 shows changeonly \{nid\} q q'
 using assms unfolding replace-usages.simps
 by (metis add-changed add-node-def replace-node-def)
lemma replace-usages-unchanged:
 assumes nid \in ids g
 assumes g' = replace-usages nid \ nid' \ g
 shows unchanged (ids g - \{nid\}) g g'
 using assms unfolding replace-usages.simps
  using assms(2) disjoint-change replace-usages-changeonly by presburger
```

```
fun nextNid :: IRGraph \Rightarrow ID where
  nextNid\ g = (Max\ (ids\ g)) + 1
lemma max-plus-one:
  fixes c :: ID \ set
 shows [finite c; c \neq \{\}] \Longrightarrow (Max c) + 1 \notin c
 by (meson Max-gr-iff less-add-one less-irrefl)
lemma ids-finite:
 finite (ids g)
 by simp
\mathbf{lemma}\ nextNidNotIn:
  ids \ g \neq \{\} \longrightarrow nextNid \ g \notin ids \ g
 unfolding nextNid.simps
 using ids-finite max-plus-one by blast
fun constantCondition :: bool <math>\Rightarrow ID \Rightarrow IRNode \Rightarrow IRGraph \Rightarrow IRGraph where
  constantCondition\ val\ nid\ (IfNode\ cond\ t\ f)\ g =
   replace-node nid (IfNode (nextNid g) t f, stamp g nid)
       (add-node\ (nextNid\ g)\ ((ConstantNode\ (bool-to-val\ val)),\ constantAsStamp
(bool-to-val\ val))\ g)\ |
  constantCondition\ cond\ nid - g=g
\mathbf{lemma}\ constant Condition True:
  assumes kind \ g \ if cond = If Node \ cond \ t \ f
 assumes g' = constantCondition True if cond (kind g if cond) g
 shows g', p \vdash (ifcond, m, h) \rightarrow (t, m, h)
proof -
 have ifn: \land c \ t \ f. If Node c \ t \ f \neq NoNode
   by simp
 then have if': kind \ g' \ if cond = If Node \ (nextNid \ g) \ t \ f
   using assms(1) assms(2) constantCondition.simps(1) replace-node-lookup
   by presburger
 have truedef: bool-to-val True = (Int Val 32 1)
   by auto
  from if n have if cond \neq (nextNid \ g)
   by (metis assms(1) emptyE ids-some nextNidNotIn)
  moreover have \bigwedge c. ConstantNode c \neq NoNode by simp
  ultimately have kind\ g'\ (nextNid\ g) = ConstantNode\ (bool-to-val\ True)
  using add-changed add-node-def assms(1) assms(2) constantCondition.simps(1)
not-in-q other-node-unchanged replace-node-def replace-node-lookup singletonD
   by (smt (z3) DiffI add-node-lookup replace-node-unchanged)
  then have c': kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal\ 32\ 1)
   using truedef by simp
  have valid-value (IntVal 32 1) (constantAsStamp (IntVal 32 1))
   unfolding constantAsStamp.simps valid-value.simps
   using nat-numeral by force
```

```
then have [g', m, p] \vdash nextNid \ g \mapsto IntVal \ 32 \ 1
    using ConstantExpr\ ConstantNode\ Value.distinct(1) \land kind\ g'\ (nextNid\ g) =
ConstantNode (bool-to-val True) \rightarrow encodeeval-def truedef
   by metis
 from if' c' show ?thesis using IfNode
    by (metis (no-types, opaque-lifting) val-to-bool.simps(1) \langle [g',m,p] \vdash nextNid g \rangle
\mapsto IntVal 32 1> encodeeval-def zero-neg-one)
qed
lemma constantConditionFalse:
 \mathbf{assumes} \ \mathit{kind} \ \mathit{g} \ \mathit{ifcond} = \mathit{IfNode} \ \mathit{cond} \ \mathit{t} \ \mathit{f}
 assumes g' = constantCondition False if cond (kind g if cond) g
 shows g', p \vdash (ifcond, m, h) \rightarrow (f, m, h)
proof -
 have ifn: \bigwedge c t f. IfNode c t f \neq NoNode
   by simp
  then have if': kind \ q' \ ifcond = IfNode \ (nextNid \ q) \ t \ f
   by (metis assms(1) assms(2) constantCondition.simps(1) replace-node-lookup)
  have falsedef: bool-to-val False = (IntVal 32 0)
   by auto
  from if n have if cond \neq (nextNid \ g)
   by (metis assms(1) equals0D ids-some nextNidNotIn)
  moreover have \bigwedge c. ConstantNode c \neq NoNode by simp
  ultimately have kind\ g'\ (nextNid\ g) = ConstantNode\ (bool-to-val\ False)
     by (smt (z3) \ add\text{-}changed \ add\text{-}node\text{-}def \ assms(1) \ assms(2) \ constantCondi-
tion.simps(1) not-in-q other-node-unchanged replace-node-def replace-node-lookup
singletonD)
  then have c': kind\ g'\ (nextNid\ g) = ConstantNode\ (IntVal\ 32\ 0)
   using falsedef by simp
 have valid-value (IntVal 32 0) (constantAsStamp (IntVal 32 0))
   unfolding constantAsStamp.simps valid-value.simps
   using nat-numeral by force
  then have [g', m, p] \vdash nextNid \ g \mapsto IntVal \ 32 \ 0
    by (metis\ ConstantExpr\ ConstantNode\ \langle kind\ g'\ (nextNid\ g)\ =\ ConstantNode
(bool-to-val False) encodeeval-def falsedef)
 from if' c' show ?thesis using IfNode
    by (metis (no-types, opaque-lifting) val-to-bool.simps(1) \langle [g',m,p] \vdash nextNid\ g
\mapsto IntVal 32 0> encodeeval-def)
qed
lemma diff-forall:
 assumes \forall n \in ids \ g - \{nid\}. \ cond \ n
 shows \forall n. n \in ids \ g \land n \notin \{nid\} \longrightarrow cond \ n
 by (meson Diff-iff assms)
lemma replace-node-changeonly:
  assumes g' = replace - node \ nid \ node \ g
 shows changeonly \{nid\} g g'
 using assms replace-node-unchanged
```

```
unfolding changeonly.simps using diff-forall
 by (metis add-changed add-node-def changeonly.simps replace-node-def)
lemma add-node-changeonly:
 assumes g' = add-node nid node g
 shows changeonly \{nid\} g g'
  by (metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq re-
place-node-changeonly)
\mathbf{lemma}\ constant Condition No Effect:
 assumes \neg(is\text{-}IfNode\ (kind\ g\ nid))
 shows g = constantCondition b nid (kind g nid) g
 using assms apply (cases kind g nid)
 {\bf using} \ constant Condition. simps
 apply presburger+
 apply (metis is-IfNode-def)
 using constantCondition.simps
 by presburger+
lemma constantConditionIfNode:
 assumes kind\ g\ nid = IfNode\ cond\ t\ f
 shows constantCondition\ val\ nid\ (kind\ g\ nid)\ g =
   replace-node nid (IfNode (nextNid g) t f, stamp g nid)
     (add-node\ (nextNid\ g)\ ((ConstantNode\ (bool-to-val\ val)),\ constantAsStamp
(bool-to-val\ val))\ g)
 using constant Condition.simps
 by (simp add: assms)
{\bf lemma}\ constant Condition\text{-}change only:
 assumes nid \in ids g
 assumes g' = constantCondition \ b \ nid \ (kind \ g \ nid) \ g
 shows changeonly \{nid\} g g'
proof (cases is-IfNode (kind g nid))
 case True
 have nextNid \ g \notin ids \ g
   using nextNidNotIn by (metis emptyE)
 then show ?thesis using assms
  using replace-node-changeonly add-node-changeonly unfolding changeonly.simps
   using True\ constantCondition.simps(1)\ is-IfNode-def
   by (metis (no-types, lifting) insert-iff)
\mathbf{next}
 case False
 have q = q'
   using constant Condition No Effect
   using False \ assms(2) by blast
 then show ?thesis by simp
qed
```

```
lemma constantConditionNoIf:
  assumes \forall cond t f. kind g ifcond \neq IfNode cond t f
 assumes g' = constantCondition \ val \ if cond \ (kind \ g \ if cond) \ g
  shows \exists nid' . (q \ m \ p \ h \vdash ifcond \leadsto nid') \longleftrightarrow (q' \ m \ p \ h \vdash ifcond \leadsto nid')
proof -
  have g' = g
   using assms(2) assms(1)
   using constantConditionNoEffect
   by (metis IRNode.collapse(11))
  then show ?thesis by simp
qed
\mathbf{lemma}\ constant Condition Valid:
  assumes kind\ g\ if cond = If Node\ cond\ t\ f
 assumes [g, m, p] \vdash cond \mapsto v
 assumes const = val\text{-}to\text{-}bool\ v
  assumes g' = constantCondition const if cond (kind g if cond) g
  shows \exists nid' . (g \ m \ p \ h \vdash ifcond \leadsto nid') \longleftrightarrow (g' \ m \ p \ h \vdash ifcond \leadsto nid')
{f proof}\ ({\it cases}\ {\it const})
  case True
  have ifstep: g, p \vdash (ifcond, m, h) \rightarrow (t, m, h)
   by (meson IfNode True assms(1) assms(2) assms(3) encodeeval-def)
  have ifstep': g', p \vdash (ifcond, m, h) \rightarrow (t, m, h)
   using constant Condition True
    using True \ assms(1) \ assms(4) by presburger
  from ifstep ifstep' show ?thesis
   using StutterStep by blast
next
  {f case}\ {\it False}
 have ifstep: g, p \vdash (ifcond, m, h) \rightarrow (f, m, h)
   by (meson IfNode False assms(1) assms(2) assms(3) encodeeval-def)
  have ifstep': g', p \vdash (ifcond, m, h) \rightarrow (f, m, h)
   {\bf using} \ constant Condition False
   using False \ assms(1) \ assms(4) by presburger
 from ifstep ifstep' show ?thesis
   using StutterStep by blast
qed
end
9.3
       Stuttering
theory Stuttering
  imports
    Semantics. IRStepThms
begin
inductive \ stutter:: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow FieldRefHeap \Rightarrow ID \Rightarrow
ID \Rightarrow bool (------ \rightarrow -55)
```

```
for g m p h where
     StutterStep:
      \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \rrbracket
       \implies g \ m \ p \ h \vdash nid \leadsto nid'
      Transitive:
      \llbracket g, p \vdash (nid, m, h) \rightarrow (nid'', m, h);
          g \ m \ p \ h \vdash nid'' \leadsto nid'
       \implies g \ m \ p \ h \vdash nid \leadsto nid'
lemma stuttering-successor:
     assumes (g, p \vdash (nid, m, h) \rightarrow (nid', m, h))
    shows \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\} = \{nid'\} \cup \{nid''. (g \ m \ p \ h \vdash nid' \leadsto nid'')\}
proof -
     have nextin: nid' \in \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\}
          using assms StutterStep by blast
    have nextsubset: \{nid''. (g \ m \ p \ h \vdash nid' \leadsto nid'')\} \subseteq \{P'. (g \ m \ p \ h \vdash nid \leadsto P')\}
          by (metis Collect-mono assms stutter. Transitive)
     \mathbf{have} \ \forall \ n \in \{P'. \ (g \ m \ p \ h \vdash nid \leadsto P')\} \ . \ n = nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ p \ h \vdash nid' \lor n \in \{nid''. \ (g \ m \ h \vdash nid' \lor n \in n \in \{nid''. \ (g \ m \ h \vdash nid' \lor n 
\rightsquigarrow nid'')
          using stepDet
          by (metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps)
     then show ?thesis
           using insert-absorb mk-disjoint-insert nextin nextsubset by auto
qed
end
                    Evaluation Stamp Theorems
9.4
theory StampEvalThms
     imports \ Graph. \ ValueThms
                          Semantics. IR Tree Eval Thms
begin
lemma unary-undef: val = UndefVal \Longrightarrow unary-eval op val = UndefVal
     by (cases op; auto)
lemma unary-obj: val = ObjRef x \Longrightarrow unary-eval op val = UndefVal
     by (cases op; auto)
lemma unrestricted-stamp-valid:
     assumes s = unrestricted-stamp (IntegerStamp b lo hi)
```

```
assumes \theta < b \land b \le 64
 {f shows} valid-stamp s
 using assms
  by (smt\ (z3)\ Stamp.inject(1)\ bit-bounds.simps\ not-exp-less-eq-0-int\ prod.sel(1)
prod.sel(2) unrestricted-stamp.simps(2) upper-bounds-equiv valid-stamp.elims(1))
lemma unrestricted-stamp-valid-value [simp]:
 assumes 1: result = IntVal \ b \ ival
 assumes take-bit b ival = ival
 assumes 0 < b \land b \le 64
 shows valid-value result (unrestricted-stamp (IntegerStamp b lo hi))
 have valid-stamp (unrestricted-stamp (IntegerStamp b lo hi))
   using assms unrestricted-stamp-valid by blast
 then show ?thesis
   unfolding 1 unrestricted-stamp.simps valid-value.simps
   using assms int-signed-value-bounds by presburger
qed
```

# 9.4.1 Support Lemmas for Integer Stamps and Associated IntVal values

Valid int implies some useful facts.

```
lemma valid-int-gives:
assumes valid-value (IntVal b val) stamp
obtains lo hi where stamp = IntegerStamp b lo hi \land
valid-stamp (IntegerStamp b lo hi) \land
take-bit b val = val \land
lo \leq int-signed-value b val \land int-signed-value b val \leq hi
using assms
by (smt (z3) Value.distinct(7) Value.inject(1) valid-value.elims(1))
```

And the corresponding lemma where we know the stamp rather than the value.

```
lemma valid-int-stamp-gives:

assumes valid-value val (IntegerStamp b lo hi)

obtains ival where val = IntVal b ival \land

valid-stamp (IntegerStamp b lo hi) \land

take-bit b ival = ival \land

lo \leq int-signed-value b ival \land int-signed-value b ival \leq hi

by (metis assms valid-int valid-value.simps(1))

A valid int must have the expected number of bits.

lemma valid-int-same-bits:
```

assumes valid-value (IntVal b val) (IntegerStamp bits lo hi) shows b = bits by (meson assms valid-value.simps(1))

A valid value means a valid stamp.

```
lemma valid-int-valid-stamp:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows valid-stamp (IntegerStamp bits lo hi)
 by (metis\ assms\ valid-value.simps(1))
A valid int means a valid non-empty stamp.
lemma valid-int-not-empty:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows lo \leq hi
 by (metis assms order.trans valid-value.simps(1))
A valid int fits into the given number of bits (and other bits are zero).
lemma valid-int-fits:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows take-bit bits val = val
 by (metis \ assms \ valid-value.simps(1))
lemma valid-int-is-zero-masked:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows and val (not (mask bits)) = 0
 by (metis (no-types, lifting) assms bit.conj-cancel-right take-bit-eq-mask valid-int-fits
           word-bw-assocs(1) word-log-esimps(1))
Unsigned into have bounds 0 up to 2^bits.
lemma valid-int-unsigned-bounds:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows uint \ val < 2 \ \hat{} \ bits
 by (metis assms(1) mask-eq-iff take-bit-eq-mask valid-value.simps(1))
Signed into have the usual two-complement bounds.
lemma valid-int-signed-upper-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows int-signed-value bits val < 2 \hat{\ } (bits - 1)
 by (metis (mono-tags, opaque-lifting) diff-le-mono int-signed-value.simps less-imp-diff-less
    linorder-not-le\ one-le-numeral\ order-less-le-trans\ power-increasing\ signed-take-bit-int-less-exp-word
sint-lt)
lemma valid-int-signed-lower-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows -(2 \cap (bits - 1)) \leq int-signed-value bits val
 by (smt (verit) diff-le-self int-signed-value.simps linorder-not-less power-increasing-iff
signed-take-bit-int-greater-eq-minus-exp-word\ sint-greater-eq)
and bit bounds versions of the above bounds.
\mathbf{lemma}\ valid\text{-}int\text{-}signed\text{-}upper\text{-}bit\text{-}bound:
```

```
assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows int-signed-value bits val \leq snd (bit-bounds bits)
proof -
 have b = bits using assms valid-int-same-bits by blast
 then show ?thesis
   using assms by force
qed
lemma valid-int-signed-lower-bit-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows fst (bit\text{-}bounds\ bits) \leq int\text{-}signed\text{-}value\ bits\ val)
proof -
 have b = bits using assms valid-int-same-bits by blast
 then show ?thesis
   using assms by force
qed
Valid values satisfy their stamp bounds.
lemma valid-int-signed-range:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows lo \leq int-signed-value bits val \wedge int-signed-value bits val \leq hi
 by (metis\ assms\ valid-value.simps(1))
```

### 9.4.2 Validity of UnaryAbs

A set of lemmas for each evaluation Questions: 1. is this top-down approach (assume the result node evaluation) best? Maybe. It seems to be the shortest/simplest trigger?

```
\mathbf{lemma}\ unary\text{-}abs\text{-}implies\text{-}valid\text{-}value:
 assumes 1:[m,p] \vdash e1 \mapsto r1
 assumes 2:result = unary-eval\ UnaryAbs\ r1
 assumes 3:result \neq UndefVal
 assumes 4:valid-value r1 (stamp-expr e1)
 shows valid-value result (stamp-expr (UnaryExpr UnaryAbs e1))
proof -
  have [m,p] \vdash (UnaryExpr\ UnaryAbs\ e1) \mapsto result
   using assms by blast
  then obtain b1 v1 where r1: IntVal b1 v1 = r1
   using assms by (metis intval-abs.elims unary-eval.simps(1))
  then obtain lo1 hi1 where s1: stamp-expr e1 = IntegerStamp b1 lo1 hi1
   by (metis 4 valid-int-gives)
  then obtain v2 where r2: result = IntVal \ b1 \ v2
  using assms by (metis\ intval-abs.simps(1)\ new-int.simps\ r1\ unary-eval.simps(1))
  then have r: result = intval-abs (IntVal b1 v1)
   using 2 r1 unary-eval.simps(1) by presburger
  then have b1: 0 < b1 \land b1 \le 64
   \mathbf{by} \ (\textit{metis 4} \ \textit{r1} \ \textit{s1} \ \textit{valid-stamp.simps(1)} \ \textit{valid-value.simps(1)})
 then have bnds1: fst (bit-bounds b1) \leq int-signed-value b1 v2 \wedge int-signed-value
b1 \ v2 \leq snd \ (bit\text{-}bounds \ b1)
```

```
using int-signed-value-bounds by blast
  then have s: (stamp-expr (UnaryExpr UnaryAbs e1)) = unrestricted-stamp
(IntegerStamp b1 lo1 hi1)
  by (simp add: s1)
 then show ?thesis
   unfolding s unrestricted-stamp.simps r2 valid-value.simps
   using 4 bnds1 r r1 r2 s1 by auto
qed
```

### Validity of all Unary Operators 9.4.3

```
lemma eval-normal-unary-implies-valid-value:
   assumes [m,p] \vdash expr \mapsto val
   assumes result = unary-eval \ op \ val
   assumes op: op \in normal-unary
   assumes result \neq UndefVal
   assumes valid-value val (stamp-expr expr)
   shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
   obtain b1 v1 where v1: val = IntVal \ b1 \ v1
    by (metis Value.exhaust assms(1) assms(2) assms(4) assms(5) evaltree-not-undef
unary-obj\ valid-value.simps(11))
   then obtain b2 v2 where v2: result = IntVal b2 v2
       using assms(2) assms(4) is-IntVal-def unary-eval-int by presburger
   then have result = unary-eval \ op \ (Int Val \ b1 \ v1)
       using assms(2) v1 by blast
   then obtain vtmp where vtmp: result = new-int b2 vtmp
      using assms(3) v2 by auto
   obtain b' lo' hi' where stamp-expr expr = IntegerStamp b' lo' hi'
      by (metis \ assms(5) \ v1 \ valid-int-gives)
   then have stamp-unary op (stamp-expr\ expr) =
       unrestricted-stamp
        (IntegerStamp (if op \in normal-unary then b' else ir-resultBits op) lo' hi')
      using stamp-unary.simps(1) by presburger
     then obtain lo2\ hi2\ where s:\ (stamp-expr\ (UnaryExpr\ op\ expr))=unre-
stricted-stamp (IntegerStamp b2 lo2 hi2)
      {\bf unfolding} \ stamp\text{-}expr.simps
      using vtmp op
    by (smt\ (verit,\ best)\ Value.inject(1)\ ((result::Value) = unary-eval\ (op::IRUnaryOp)
(IntVal\ (b1::nat)\ (v1::64\ word)) \land (stamp-expr\ (expr::IRExpr) = IntegerStamp\ (b'::nat)) \land (stamp-expr\ (expr::IRExpr)) = IntegerStamp\ (b'::nat) \land (stamp-expr\ (expr::IRExpr)) = IntegerStamp\ (expr::IRExpr) = IntegerStamp\ (expr::nat) \land (stamp-expr\ (expr::IRExpr)) = IntegerStamp\ (expr::IRExpr) = IntegerStamp\ (expr::IRExpr
(lo'::int) (hi'::int) assms(5) insertE intval-abs.simps(1) intval-logic-negation.simps(1)
intval-negate.simps(1) intval-not.simps(1) new-int.elims singleton-iff unary-eval.simps(1)
unary-eval.simps(2) unary-eval.simps(3) unary-eval.simps(4) v1 valid-int-same-bits)
   then have 0 < b1 \land b1 \le 64
      using valid-int-gives
      by (metis\ assms(5)\ v1\ valid-stamp.simps(1))
   then have fst (bit-bounds b2) \leq int-signed-value b2 v2 \wedge a
                      int-signed-value b2 v2 \le snd (bit-bounds b2)
    by (smt\ (verit,\ del\text{-}insts)\ Stamp.inject(1)\ assms(3)\ assms(5)\ int\text{-}signed\text{-}value\text{-}bounds
```

```
s stamp-expr.simps(1) stamp-unary.simps(1) unrestricted-stamp.simps(2) v1 valid-int-gives)
 then show ?thesis
   {\bf unfolding}\ s\ v2\ unrestricted\hbox{-}stamp.simps\ valid\hbox{-}value.simps
   by (smt\ (z3)\ assms(3)\ assms(5)\ is-stamp-empty.simps(1)\ new-int-take-bits\ s
stamp-expr.simps(1) stamp-unary.simps(1) unrestricted-stamp.simps(2) v1 v2 valid-int-gives
valid-stamp.simps(1) vtmp)
qed
lemma narrow-widen-output-bits:
 assumes unary-eval op val \neq UndefVal
 assumes op \notin normal\text{-}unary
 shows 0 < (ir\text{-}resultBits\ op) \land (ir\text{-}resultBits\ op) \leq 64
proof -
 consider ib \ ob where op = UnaryNarrow \ ib \ ob
         ib \ ob \ \mathbf{where} \ op = \mathit{UnarySignExtend} \ ib \ ob
        ib \ ob \ \mathbf{where} \ op = UnaryZeroExtend \ ib \ ob
   using IRUnaryOp.exhaust-sel assms(2) by blast
 then show ?thesis
 proof (cases)
   case 1
   then show ?thesis using assms intval-narrow-ok by force
 next
   case 2
   then show ?thesis using assms intval-sign-extend-ok by force
 \mathbf{next}
   case 3
   then show ?thesis using assms intval-zero-extend-ok by force
 ged
qed
lemma eval-widen-narrow-unary-implies-valid-value:
 assumes [m,p] \vdash expr \mapsto val
 assumes result = unary-eval \ op \ val
 assumes op: op \notin normal-unary
 assumes result \neq UndefVal
 assumes valid-value val (stamp-expr expr)
 shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
 obtain b1 v1 where v1: val = IntVal \ b1 \ v1
  by (metis Value.exhaust assms(1) assms(2) assms(4) assms(5) evaltree-not-undef
unary-obj\ valid-value.simps(11))
 then have result = unary-eval \ op \ (Int Val \ b1 \ v1)
   using assms(2) v1 by blast
 then obtain v2 where v2: result = new-int (ir-resultBits op) v2
   using assms by (cases op; simp; (meson new-int.simps)+)
 then obtain v3 where v3: result = IntVal (ir-resultBits op) v3
   using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain lo2\ hi2 where s: (stamp-expr\ (UnaryExpr\ op\ expr)) = unre-
```

```
stricted-stamp (IntegerStamp (ir-resultBits op) lo2 hi2)
   {\bf unfolding} \ stamp-expr. simps \ stamp-unary. simps
   using assms(3) assms(5) v1 valid-int-gives by fastforce
  then have outBits: 0 < (ir\text{-resultBits op}) \land (ir\text{-resultBits op}) \leq 64
   using assms narrow-widen-output-bits
   by blast
 then have fst\ (bit\text{-}bounds\ (ir\text{-}resultBits\ op)) \leq int\text{-}signed\text{-}value\ (ir\text{-}resultBits\ op)
v3 \wedge
          int-signed-value (ir-resultBits op) v3 \leq snd (bit-bounds (ir-resultBits op))
   using int-signed-value-bounds
  by (smt (verit, del-insts) Stamp.inject(1) assms(3) assms(5) int-signed-value-bounds
s \ stamp-expr.simps(1) \ stamp-unary.simps(1) \ unrestricted-stamp.simps(2) \ v1 \ valid-int-gives)
 then show ?thesis
   {f unfolding}\ s\ v3\ unrestricted\mbox{-}stamp.simps\ valid\mbox{-}value.simps
   using outBits v2 v3 by auto
qed
lemma eval-unary-implies-valid-value:
 assumes [m,p] \vdash expr \mapsto val
 assumes result = unary-eval \ op \ val
 assumes result \neq UndefVal
 assumes valid-value val (stamp-expr expr)
 shows valid-value result (stamp-expr (UnaryExpr op expr))
  proof (cases op \in normal-unary)
   {\bf case}\ {\it True}
   then show ?thesis by (metis assms eval-normal-unary-implies-valid-value)
  \mathbf{next}
   case False
  then show ?thesis by (metis assms eval-widen-narrow-unary-implies-valid-value)
  qed
        Support Lemmas for Binary Operators
9.4.4
lemma binary-undef: v1 = UndefVal \lor v2 = UndefVal \Longrightarrow bin-eval op v1 v2 =
UndefVal
 by (cases op; auto)
lemma binary-obj: v1 = ObjRef \ x \lor v2 = ObjRef \ y \Longrightarrow bin-eval op v1 v2 =
UndefVal
 by (cases op; auto)
lemma binary-eval-implies-valid-value:
 assumes [m,p] \vdash expr1 \mapsto val1
 assumes [m,p] \vdash expr2 \mapsto val2
 assumes result = bin-eval \ op \ val1 \ val2
```

```
assumes result \neq UndefVal
assumes valid-value val1 (stamp-expr expr1)
assumes valid-value val2 (stamp-expr expr2)
shows valid-value result (stamp-expr (BinaryExpr op expr1 expr2))
sorry
```

### 9.4.5 Validity of Stamp Meet and Join Operators

```
\mathbf{lemma}\ stamp	ext{-}meet	ext{-}integer	ext{-}is	ext{-}valid	ext{-}stamp:
 assumes valid-stamp stamp1
 assumes valid-stamp stamp2
 assumes is-IntegerStamp stamp1
 assumes is-IntegerStamp stamp2
 shows valid-stamp (meet stamp1 stamp2)
 using assms unfolding is-IntegerStamp-def valid-stamp.simps meet.simps
 by (smt\ (verit,\ del-insts)\ meet.simps(2)\ valid-stamp.simps(1)\ valid-stamp.simps(8))
lemma stamp-meet-is-valid-stamp:
 assumes 1: valid-stamp stamp1
 assumes 2: valid-stamp stamp2
 shows valid-stamp (meet stamp1 stamp2)
 by (cases stamp1; cases stamp2; insert stamp-meet-integer-is-valid-stamp[OF 1
2; auto)
lemma stamp-meet-commutes: meet <math>stamp1 stamp2 = meet stamp2 stamp1
 by (cases stamp1; cases stamp2; auto)
\mathbf{lemma}\ stamp\text{-}meet\text{-}is\text{-}valid\text{-}value1\text{:}
 assumes valid-value val stamp1
 assumes valid-stamp stamp2
 assumes stamp1 = IntegerStamp \ b1 \ lo1 \ hi1
 assumes stamp2 = IntegerStamp \ b2 \ lo2 \ hi2
 assumes meet \ stamp1 \ stamp2 \neq IllegalStamp
 shows valid-value val (meet stamp1 stamp2)
proof -
 have m: meet \ stamp1 \ stamp2 = IntegerStamp \ b1 \ (min \ lo1 \ lo2) \ (max \ hi1 \ hi2)
   using assms by (metis meet.simps(2))
 obtain ival where val: val = IntVal \ b1 \ ival
   using assms valid-int by blast
 then have v: valid\text{-}stamp (IntegerStamp b1 lo1 hi1) \land
      take-bit b1 ival = ival \land
      lo1 \leq int-signed-value b1 ival \wedge int-signed-value b1 ival \leq hi1
   using assms by (metis\ valid-value.simps(1))
 then have mm: min lo1 lo2 \leq int-signed-value b1 ival \wedge int-signed-value b1 ival
< max hi1 hi2
   by linarith
 then have valid-stamp (IntegerStamp b1 (min lo1 lo2) (max hi1 hi2))
   using assms v stamp-meet-is-valid-stamp
```

```
by (metis\ meet.simps(2)) then show ?thesis unfolding m\ val\ valid\ value.simps using mm\ v by presburger qed and the symmetric lemma follows by the commutativity of meet. lemma stamp\ meet\ is\ valid\ value: assumes valid\ value\ val\ stamp2 assumes valid\ stamp\ stamp1 assumes stamp1=IntegerStamp\ b1\ lo1\ hi1 assumes stamp2=IntegerStamp\ b2\ lo2\ hi2 assumes meet\ stamp1\ stamp2\ne IllegalStamp shows valid\ value\ val\ (meet\ stamp1\ stamp2) using assms\ stamp\ meet\ commutes\ stamp\ meet\ is\ valid\ value\ 1 by metis
```

### 9.4.6 Validity of conditional expressions

```
\mathbf{lemma}\ conditional\text{-}eval\text{-}implies\text{-}valid\text{-}value:}
 assumes [m,p] \vdash cond \mapsto condv
 assumes expr = (if \ val - to - bool \ condv \ then \ expr1 \ else \ expr2)
 assumes [m,p] \vdash expr \mapsto val
 assumes val \neq UndefVal
 assumes valid-value condv (stamp-expr cond)
 assumes valid-value val (stamp-expr expr)
 assumes compatible (stamp-expr expr1) (stamp-expr expr2)
 shows valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))
proof -
 have def: meet (stamp-expr expr1) (stamp-expr expr2) \neq IllegalStamp
   using assms
  by (metis\ Stamp.\ distinct(13)\ Stamp.\ distinct(25)\ compatible.\ elims(2)\ meet.\ simps(1)
meet.simps(2)
  then have valid-stamp (meet (stamp-expr expr1) (stamp-expr expr2))
   using assms
  by (smt\ (verit,\ best)\ compatible.elims(2)\ stamp-meet-is-valid-stamp\ valid-stamp.simps(2))
 then show ?thesis using stamp-meet-is-valid-value
   using assms def
  by (smt (verit, best) compatible.elims(2) never-void stamp-expr.simps(6) stamp-meet-commutes)
```

### 9.4.7 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp\_expr operators to require that all input stamps are valid.

### experiment begin

qed

```
lemma stamp-implies-valid-value:
 assumes [m,p] \vdash expr \mapsto val
 shows valid-value val (stamp-expr expr)
 using assms proof (induction expr val)
 case (UnaryExpr expr val result op)
  then show ?case using eval-unary-implies-valid-value by simp
  next
   case (BinaryExpr expr1 val1 expr2 val2 result op)
   then show ?case using binary-eval-implies-valid-value by simp
   case (ConditionalExpr cond condv expr expr1 expr2 val)
   have compatible (stamp-expr expr1) (stamp-expr expr2)
     using assms sorry
   then show ?case
     using assms conditional-eval-implies-valid-value
    using ConditionalExpr.IH(1) ConditionalExpr.IH(2) ConditionalExpr.hyps(1)
Conditional Expr. hyps(2) \ Conditional Expr. hyps(3) \ Conditional Expr. hyps(4) \ \mathbf{by} \ blast
 next
   case (ParameterExpr x1 x2)
   then show ?case by auto
   case (LeafExpr x1 x2)
   then show ?case by auto
  next
   case (ConstantExpr(x))
   then show ?case by auto
qed
lemma value-range:
 assumes [m, p] \vdash e \mapsto v
 shows v \in \{val : valid\text{-}value \ val \ (stamp\text{-}expr \ e)\}
 using assms sorry
end
lemma stamp-under-semantics:
 assumes stamp-under (stamp-expr x) (stamp-expr y)
 assumes [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto v
 assumes xvalid: (\forall m \ p \ v. \ ([m, \ p] \vdash x \mapsto v) \longrightarrow valid\text{-}value \ v \ (stamp\text{-}expr \ x))
 assumes yvalid: (\forall m \ p \ v. \ ([m, p] \vdash y \mapsto v) \longrightarrow valid\text{-value } v \ (stamp\text{-}expr \ y))
 shows val-to-bool v
 sorry
lemma stamp-under-semantics-inversed:
 assumes stamp-under\ (stamp-expr\ y)\ (stamp-expr\ x)
 assumes [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto v
 assumes xvalid: (\forall m \ p \ v. \ ([m, \ p] \vdash x \mapsto v) \longrightarrow valid\text{-value} \ v \ (stamp\text{-expr} \ x))
 assumes yvalid: (\forall m \ p \ v. \ ([m, p] \vdash y \mapsto v) \longrightarrow valid\text{-}value \ v \ (stamp\text{-}expr \ y))
 \mathbf{shows} \neg (val\text{-}to\text{-}bool\ v)
```

end

### 10 Optization DSLs

```
theory Markup
   imports Semantics.IRTreeEval Snippets.Snipping
begin
datatype 'a Rewrite =
    Transform 'a 'a (- \longmapsto -10)
    Conditional 'a 'a bool (- \longmapsto - when - 70)
    Sequential 'a Rewrite 'a Rewrite |
    Transitive 'a Rewrite
datatype 'a ExtraNotation =
    ConditionalNotation 'a 'a 'a (- ? - : -) |
    EqualsNotation 'a 'a (- eq -) |
    ConstantNotation 'a (const - 120) |
    TrueNotation (true)
    FalseNotation (false)
    ExclusiveOr 'a 'a (- \oplus -) |
    LogicNegationNotation 'a (!-) |
    ShortCircuitOr 'a 'a (- || -)
definition word :: ('a::len) \ word \Rightarrow 'a \ word \ \mathbf{where}
    word x = x
ML-file \langle markup.ML \rangle
\mathbf{ML} \leftarrow
structure\ IRExprTranslator: DSL-TRANSLATION =
struct
fun\ markup\ DSL\text{-}Tokens.Add = @\{term\ BinaryExpr\} \$ @\{term\ BinAdd\}
       markup\ DSL\text{-}Tokens.Sub = @\{term\ BinaryExpr\} \$ @\{term\ BinSub\}
       markup\ DSL\text{-}Tokens.Mul = @\{term\ BinaryExpr\} \$ @\{term\ BinMul\}
       markup\ DSL\text{-}Tokens.And = @\{term\ BinaryExpr\} \$ @\{term\ BinAnd\}
       markup\ DSL\text{-}Tokens.Or = @\{term\ BinaryExpr\} \$ @\{term\ BinOr\}
       markup\ DSL\text{-}Tokens.Xor = @\{term\ BinaryExpr\} \$ @\{term\ BinXor\}
     \mid markup\ DSL-Tokens.ShortCircuitOr = @\{term\ BinaryExpr\} $ @\{term\ Bin-
ShortCircuitOr}
    | markup\ DSL\text{-}Tokens.Abs = @\{term\ UnaryExpr\} \$ @\{term\ UnaryAbs\} 
     markup\ DSL\text{-}Tokens.Less = @\{term\ BinaryExpr\} \$ @\{term\ BinIntegerLessThan\}
     markup\ DSL\text{-}Tokens.Equals = @\{term\ BinaryExpr\} \$ @\{term\ BinIntegerEquals\}
       markup\ DSL\text{-}Tokens.Not = @\{term\ UnaryExpr\} \$ @\{term\ UnaryNot\}
       markup\ DSL\text{-}Tokens.Negate = @\{term\ UnaryExpr\} \$ @\{term\ UnaryNeg\}
       markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ UnaryExpr\} \$ @\{term\ UnaryLog-varearrow una
icNegation
```

```
| markup\ DSL\text{-}Tokens.RightShift = @\{term\ BinaryExpr\} \$ @\{term\ BinRightShift\}
  markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ BinaryExpr\} \$ @\{term\ BinaryExpr\} \}
URightShift
   markup\ DSL-Tokens.Conditional = @\{term\ Conditional Expr\}
   markup\ DSL-Tokens.Constant = @\{term\ ConstantExpr\}
   markup\ DSL\text{-}Tokens.TrueConstant = @\{term\ ConstantExpr\ (IntVal\ 32\ 1)\}
   markup\ DSL-Tokens.FalseConstant = @\{term\ ConstantExpr\ (IntVal\ 32\ 0)\}
end
structure\ IntValTranslator: DSL-TRANSLATION =
fun\ markup\ DSL\text{-}Tokens.Add = @\{term\ intval\text{-}add\}
   markup\ DSL\text{-}Tokens.Sub = @\{term\ intval\text{-}sub\}
   markup\ DSL-Tokens.Mul = @\{term\ intval-mul\}
   markup\ DSL-Tokens.And = @\{term\ intval\text{-}and\}
   markup\ DSL\text{-}Tokens.Or = @\{term\ intval\text{-}or\}
   markup\ DSL\text{-}Tokens.ShortCircuitOr = @\{term\ intval\text{-}short\text{-}circuit\text{-}or\}
   markup\ DSL\text{-}Tokens.Xor = @\{term\ intval\text{-}xor\}
   markup\ DSL\text{-}Tokens.Abs = @\{term\ intval\text{-}abs\}
   markup\ DSL\text{-}Tokens.Less = @\{term\ intval\text{-}less\text{-}than\}
   markup\ DSL\text{-}Tokens.Equals = @\{term\ intval\text{-}equals\}
   markup\ DSL\text{-}Tokens.Not = @\{term\ intval\text{-}not\}
   markup\ DSL\text{-}Tokens.Negate = @\{term\ intval\text{-}negate\}
   markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ intval\text{-}logic\text{-}negation\}
   markup\ DSL-Tokens.LeftShift = @\{term\ intval-left-shift\}
   markup\ DSL-Tokens.RightShift = @\{term\ intval\text{-}right\text{-}shift\}
   markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ intval\text{-}uright\text{-}shift\}
   markup\ DSL\text{-}Tokens.Conditional = @\{term\ intval\text{-}conditional\}
   markup\ DSL-Tokens.Constant = @\{term\ IntVal\ 32\}
   markup\ DSL-Tokens. TrueConstant = @\{term\ IntVal\ 32\ 1\}
   markup\ DSL-Tokens.FalseConstant = @\{term\ IntVal\ 32\ 0\}
end
structure\ WordTranslator: DSL-TRANSLATION =
fun \ markup \ DSL-Tokens.Add = @\{term \ plus\}
   markup \ DSL\text{-}Tokens.Sub = @\{term \ minus\}
   markup\ DSL\text{-}Tokens.Mul = @\{term\ times\}
 \mid markup\ DSL\text{-}Tokens.And = @\{term\ Bit\text{-}Operations.semiring-bit\text{-}operations-class.and}\}
   markup\ DSL\text{-}Tokens.Or = @\{term\ or\}
   markup\ DSL\text{-}Tokens.Xor = @\{term\ xor\}
   markup\ DSL\text{-}Tokens.Abs = @\{term\ abs\}
   markup\ DSL\text{-}Tokens.Less = @\{term\ less\}
   markup\ DSL\text{-}Tokens.Equals = @\{term\ HOL.eq\}
   markup\ DSL\text{-}Tokens.Not = @\{term\ not\}
   markup\ DSL-Tokens.Negate = @\{term\ uminus\}
   markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ logic\text{-}negate\}
   markup\ DSL\text{-}Tokens.LeftShift = @\{term\ shiftl\}
```

```
markup\ DSL-Tokens.RightShift = @\{term\ signed-shiftr\}
   markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ shiftr\}
   markup\ DSL\text{-}Tokens.Constant = @\{term\ word\}
   markup\ DSL-Tokens. TrueConstant = @\{term\ 1\}
  | markup \ DSL-Tokens.FalseConstant = @\{term \ 0\}
end
structure\ IRExprMarkup = DSL-Markup(IRExprTranslator);
structure\ IntValMarkup = DSL-Markup(IntValTranslator);
structure\ WordMarkup = DSL-Markup(WordTranslator);
    ir expression translation
    syntax - expandExpr :: term \Rightarrow term (exp[-])
    \mathbf{parse-translation} \quad \land \quad [(
                                      @\{syntax\text{-}const
                                                           -expandExpr
                                                                                  IREx-
    prMarkup.markup-expr [])] \rightarrow
    value\ expression\ translation
    \mathbf{syntax} \ \text{-} expandIntVal :: } term \Rightarrow term \ (val[\text{-}])
    parse-translation \leftarrow [( @\{syntax-const -expandIntVal\} ,
                                                                                Int Val-
    Markup.markup-expr [])] \rightarrow
    word expression translation
    syntax - expandWord :: term \Rightarrow term (bin[-])
    \mathbf{parse-translation} \quad \leftarrow \quad [(\quad @\{syntax-const \quad -expandWord\}]
                                                                                   Word-
    Markup.markup-expr [])] \rightarrow
    ir expression example
    value exp[(e_1 < e_2) ? e_1 : e_2]
```

 $Conditional Expr\ (Binary Expr\ Bin Integer Less Than\ e_1\ e_2)\ e_1\ e_2$ 

### value expression example

```
value val[(e_1 < e_2) ? e_1 : e_2] intval\text{-}conditional (intval\text{-}less\text{-}than } e_1 e_2) e_1 e_2
```

**value**  $exp[((e_1 - e_2) + (const (Int Val 32 0)) + e_2) \mapsto e_1 when True]$ 

```
value bin[x \& y \mid z]
    intval-conditional (intval-less-than e_1 e_2) e_1 e_2
value bin[-x]
value val[-x]
value exp[-x]
value bin[!x]
value val[!x]
value exp[!x]
value bin[\neg x]
value val[\neg x]
value exp[\neg x]
value bin[^{\sim}x]
value val[^{\sim}x]
value exp[^{\sim}x]
value ^{\sim}x
\quad \text{end} \quad
theory Phase
 imports Main
begin
ML-file map.ML
ML-file phase.ML
\quad \text{end} \quad
```

### 10.1 Canonicalization DSL

 $word\ expression\ example$ 

```
theory Canonicalization
imports
Markup
Phase
HOL-Eisbach.Eisbach
keywords
phase :: thy-decl and
terminating :: quasi-command and
print-phases :: diag and
export-phases :: thy-decl and
optimization :: thy-goal-defn
begin
```

### print-methods

```
\mathbf{ML} \langle
datatype 'a Rewrite =
  Transform of 'a * 'a \mid
  Conditional of 'a * 'a * term \mid
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite
type\ rewrite = \{
  name:\ binding,
  rewrite: term Rewrite,
  proofs: thm list,
  code: thm list,
  source: term
structure\ RewriteRule: Rule =
struct
type T = rewrite;
fun\ pretty-rewrite\ ctxt\ (Transform\ (from,\ to)) =
     Pretty.block [
       Syntax.pretty-term ctxt from,
       Pretty.str \mapsto,
       Syntax.pretty-term ctxt to
  | pretty-rewrite ctxt (Conditional (from, to, cond)) =
     Pretty.block [
       Syntax.pretty-term ctxt from,
       Pretty.str \mapsto,
       Syntax.pretty-term ctxt to,
       Pretty.str \ \ when \ ,
       Syntax.pretty-term ctxt cond
 | pretty-rewrite - - = Pretty.str not implemented*)
fun pretty-thm ctxt thm =
  (Proof-Context.pretty-fact\ ctxt\ (,\ [thm]))
fun\ pretty\ ctxt\ obligations\ t=
  let
   val is-skipped = Thm-Deps.has-skip-proof (#proofs t);
   val \ warning = (if \ is - skipped)
     then [Pretty.str (proof skipped), Pretty.brk 0]
     else []);
```

```
val\ obligations = (if\ obligations
     then [Pretty.big-list
            obligations:
            (map\ (pretty-thm\ ctxt)\ (\#proofs\ t)),
          Pretty.brk 0
     else []);
   fun pretty-bind binding =
     Pretty.markup
       (Position.markup (Binding.pos-of binding) Markup.position)
       [Pretty.str\ (Binding.name-of\ binding)];
  in
  Pretty.block ([
   pretty-bind (#name t), Pretty.str:,
   Syntax.pretty-term ctxt (#source t), Pretty.fbrk
 ] @ obligations @ warning)
  end
end
structure\ RewritePhase = DSL-Phase(RewriteRule);
val - =
 Outer	ext{-}Syntax.command \ command	ext{-}keyword \ \langle phase 
angle \ enter \ an \ optimization \ phase
  (Parse.binding -- | Parse.\$\$ terminating -- Parse.const -- | Parse.begin
    >> (Toplevel.begin-main-target true o RewritePhase.setup));
fun\ print-phases\ print-obligations\ ctxt =
   val thy = Proof\text{-}Context.theory\text{-}of ctxt;
   fun\ print\ phase = RewritePhase.pretty\ print-obligations\ phase\ ctxt
   map print (RewritePhase.phases thy)
  end
fun print-optimizations print-obligations thy =
  print-phases print-obligations thy |> Pretty.writeln-chunks
val - =
  Outer-Syntax.command command-keyword (print-phases)
   print debug information for optimizations
   (Parse.opt-bang >>
     (fn \ b => Toplevel.keep ((print-optimizations \ b) \ o \ Toplevel.context-of)));
fun \ export\text{-}phases \ thy \ name =
  let
   val state = Toplevel.theory-toplevel thy;
   val\ ctxt = Toplevel.context-of\ state;
   val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
```

```
val\ cleaned = YXML.content-of\ content;
   val filename = Path.explode (name^.rules);
   val directory = Path.explode optimizations;
   val path = Path.binding (
              Path.append directory filename,
              Position.none);
   val thy' = thy \mid > Generated-Files. add-files (path, content);
   val - = Export.export thy' path [YXML.parse cleaned];
   val -= writeln (Export.message thy' (Path.basic optimizations));
  in
   thy'
  end
val - =
  Outer	ext{-}Syntax.command \ command	ext{-}keyword \ \langle export	ext{-}phases 
angle
   export information about encoded optimizations
   (Parse.text >>
     (fn \ name => Toplevel.theory (fn \ state => export-phases \ state \ name)))
ML-file rewrites.ML
phase Opt
 terminating size
begin
end
print-phases
export-phases (MyPhases)
fun rewrite-preservation :: IRExpr Rewrite \Rightarrow bool where
  rewrite-preservation (Transform x y) = (y \le x)
 rewrite-preservation (Conditional x y cond) = (cond \longrightarrow (y \le x))
 rewrite-preservation (Sequential xy) = (rewrite-preservation x \land rewrite-preservation
y) \mid
  rewrite-preservation (Transitive x) = rewrite-preservation x
fun rewrite-termination :: IRExpr Rewrite \Rightarrow (IRExpr \Rightarrow nat) \Rightarrow bool where
  rewrite-termination (Transform x y) trm = (trm x > trm y)
 rewrite-termination (Conditional x y cond) trm = (cond \longrightarrow (trm \ x > trm \ y))
 rewrite-termination (Sequential x y) trm = (rewrite-termination x trm \land rewrite-termination
  rewrite-termination (Transitive x) trm = rewrite-termination x trm
```

```
fun intval :: Value Rewrite <math>\Rightarrow bool where
  intval\ (Transform\ x\ y) = (x \neq UndefVal \land y \neq UndefVal \longrightarrow x = y) \mid
  intval\ (Conditional\ x\ y\ cond) = (cond \longrightarrow (x = y))\ |
  intval (Sequential x y) = (intval x \land intval y) \mid
  intval (Transitive x) = intval x
fun size :: IRExpr \Rightarrow nat where
  size (UnaryExpr op e) = (size e) + 1
  size (BinaryExpr BinAdd x y) = (size x) + ((size y) * 2)
  size (BinaryExpr op x y) = (size x) + (size y) \mid
  size (ConditionalExpr cond t f) = (size cond) + (size t) + (size f) + 2
  size (ConstantExpr c) = 1
  size (ParameterExpr ind s) = 2
  size (LeafExpr \ nid \ s) = 2 \mid
  size (Constant Var c) = 2
  size (VariableExpr x s) = 2
method unfold-optimization =
  (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   unfold intval.simps,
   rule\ conjE,\ simp,\ simp\ del:\ le\text{-}expr\text{-}def,\ force?)
 (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   rule conjE, simp, simp del: le-expr-def, force?)
method unfold-size =
  (unfold size.simps, simp del: le-expr-def)?
  | (unfold size.simps)?
print-methods
ML \ \langle
structure\ System: Rewrite System=
struct
val\ preservation = @\{const\ rewrite-preservation\};
val\ termination = @\{const\ rewrite-termination\};
val\ intval = @\{const\ intval\};
end
structure\ DSL = DSL-Rewrites(System);
val - =
  Outer	ext{-}Syntax.local	ext{-}theory	ext{-}to	ext{-}proof 	extbf{command-keyword} \land optimization 
angle
   define an optimization and open proof obligation
   (Parse-Spec.thm-name: -- Parse.term
       >> DSL.rewrite-cmd);
```

end

## 11 Canonicalization Phase

 $Optimization DSL. \ Canonicalization$ 

theory Common imports

```
Semantics. IR Tree Eval Thms \\
begin
lemma size-pos[simp]: 0 < size y
 apply (induction y; auto?)
 subgoal premises prems for op a b
   using prems by (induction op; auto)
 done
lemma size-non-add: op \neq BinAdd \Longrightarrow size (BinaryExpr op a b) = size a + size
 by (induction op; auto)
lemma size-non-const:
  \neg is\text{-}ConstantExpr y \Longrightarrow 1 < size y
 using size-pos apply (induction y; auto)
 subgoal premises prems for op a b
   apply (cases op = BinAdd)
   using size-non-add size-pos apply auto
   by (simp add: Suc-lessI one-is-add)+
 done
definition well-formed-equal :: Value <math>\Rightarrow Value \Rightarrow bool
  (infix \approx 50) where
  \textit{well-formed-equal} \ v_1 \ v_2 = (v_1 \neq \textit{UndefVal} \longrightarrow v_1 = v_2)
lemma well-formed-equal-defn [simp]:
  well-formed-equal v_1 v_2 = (v_1 \neq UndefVal \longrightarrow v_1 = v_2)
 unfolding well-formed-equal-def by simp
end
```

### 11.1 Conditional Expression

```
theory ConditionalPhase
imports
   Common
begin
phase ConditionalNode
```

```
terminating size
begin
lemma negates: is-IntVal e \Longrightarrow val-to-bool (val[e]) \equiv \neg(val-to-bool (val[!e])
 using intval-logic-negation.simps unfolding logic-negate-def
 sorry
{f lemma} negation-condition-intval:
 assumes e = IntVal b ie
 assumes \theta < b
 shows val[(!e) ? x : y] = val[e ? y : x]
 using assms by (cases e; auto simp: negates logic-negate-def)
optimization negate-condition: ((!e) ? x : y) \longmapsto (e ? y : x)
   apply simp using negation-condition-intval
 by (smt (verit, ccfv-SIG) ConditionalExpr ConditionalExprE Value.collapse Value.exhaust-disc
evaltree-not-undef intval-logic-negation.simps(4) intval-logic-negation.simps negates
unary-eval.simps(4) unfold-unary)
definition wff-stamps :: bool where
 wff-stamps = (\forall m \ p \ expr \ val \ . ([m,p] \vdash expr \mapsto val) \longrightarrow valid-value \ val \ (stamp-expr
expr))
definition wf-stamp :: IRExpr \Rightarrow bool where
  wf-stamp e = (\forall m \ p \ v. \ ([m, p] \vdash e \mapsto v) \longrightarrow valid-value \ v \ (stamp-expr \ e))
optimization b[intval]: ((x eq y) ? x : y) \longmapsto y
sorry
lemma val-optimise-integer-test:
 assumes is-IntVal32 x
 shows intval-conditional (intval-equals val[(x \& (IntVal32\ 1))]\ (IntVal32\ 0))
        (IntVal32 \ 0) \ (IntVal32 \ 1) =
        val[x \& IntVal32 1]
  apply simp-all
```

```
apply auto
 using\ bool-to-val.elims\ intval-equals.elims\ val-to-bool.simps(1)\ val-to-bool.simps(3)
 sorry
optimization val-conditional-eliminate-known-less: ((x < y) ? x : y) \mapsto x
                            when (stamp-under\ (stamp-expr\ x)\ (stamp-expr\ y)
                                \land wf-stamp x \land wf-stamp y)
      apply auto
   using stamp-under.simps wf-stamp-def val-to-bool.simps
   sorry
optimization opt-conditional-eq-is-RHS: ((BinaryExpr\ BinIntegerEquals\ x\ y)\ ?\ x
: y) \longmapsto y
  apply simp-all apply auto using b Canonicalization.intval.simps(1) evalDet
        intval	ext{-}conditional.simps
 by (metis (mono-tags, lifting) evaltree-not-undef)
optimization opt-normalize-x: ((x eq const (IntVal 32 0)) ?
                           (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto x
                       when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val 32 1)))
 done
optimization opt-normalize-x2: ((x eq (const (IntVal 32 1))) ?
                            (const\ (IntVal\ 32\ 1)): (const\ (IntVal\ 32\ 0))) \longmapsto x
                       when (x = ConstantExpr (Int Val 32 0) | (x = ConstantExpr
(Int Val 32 1)))
 done
optimization opt-flip-x: ((x eq (const (IntVal 32 0))) ?
                       (const\ (IntVal\ 32\ 1)): (const\ (IntVal\ 32\ 0))) \longmapsto
                       x \oplus (const (IntVal 32 1))
                       when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val 32 1)))
 done
optimization opt-flip-x2: ((x eq (const (IntVal 32 1))) ?
                        (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
                       x \oplus (const (IntVal 32 1))
                       when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val 32 1)))
 done
```

```
optimization opt-optimise-integer-test:
              (((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?
                (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
                    x \& (const (IntVal 32 1))
                    when (stamp-expr \ x = default-stamp)
        apply simp-all
        apply auto
      using val-optimise-integer-test sorry
optimization opt-optimise-integer-test-2:
              (((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?
                                                     (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
                                                when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr (IntVal 32 0)) | (x = Const
32 1)))
     done
optimization opt-conditional-eliminate-known-less: ((x < y) ? x : y) \mapsto x
                                                                                             when (((stamp-under\ (stamp-expr\ x)\ (stamp-expr\ y))\ |
                                                                                               ((stpi-upper\ (stamp-expr\ x)) = (stpi-lower\ (stamp-expr\ x))
y))))
                                                                                                          \land wf-stamp x \land wf-stamp y)
        unfolding le-expr-def apply auto
     {\bf using} \ stamp-under.simps \ wf\text{-}stamp\text{-}def \ val\text{-}conditional\text{-}eliminate\text{-}known\text{-}less
     sorry
```

end

end

## 12 Conditional Elimination Phase

```
theory ConditionalElimination
imports
    Proofs.Rewrites
    Proofs.Bisimulation
begin
```

#### 12.1 Individual Elimination Rules

We introduce a TriState as in the Graal compiler to represent when static analysis can tell us information about the value of a boolean expression.

Unknown = No information can be inferred KnownTrue/KnownFalse = We can infer the expression will always be true or false.

```
datatype TriState = Unknown \mid KnownTrue \mid KnownFalse
```

The implies relation corresponds to the LogicNode.implies method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

```
inductive implies :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow TriState \Rightarrow bool
  (-\vdash - \& - \hookrightarrow -) for q where
  eq-imp-less:
  g \vdash (IntegerEqualsNode \ x \ y) \ \& \ (IntegerLessThanNode \ x \ y) \hookrightarrow KnownFalse \mid
  eq-imp-less-rev:
  g \vdash (IntegerEqualsNode \ x \ y) \ \& \ (IntegerLessThanNode \ y \ x) \hookrightarrow KnownFalse \mid
  less-imp-rev-less:
  g \vdash (IntegerLessThanNode \ x \ y) \ \& \ (IntegerLessThanNode \ y \ x) \hookrightarrow KnownFalse \mid
  less-imp-not-eq:
  g \vdash (IntegerLessThanNode \ x \ y) \ \& \ (IntegerEqualsNode \ x \ y) \hookrightarrow KnownFalse \mid
  less-imp-not-eq-rev:
  g \vdash (IntegerLessThanNode \ x \ y) \ \& \ (IntegerEqualsNode \ y \ x) \hookrightarrow KnownFalse \ |
  x-imp-x:
  g \vdash x \& x \hookrightarrow KnownTrue \mid
  negate-false:
   \llbracket g \vdash x \ \& \ (kind \ g \ y) \hookrightarrow KnownTrue \rrbracket \implies g \vdash x \ \& \ (LogicNegationNode \ y) \hookrightarrow
KnownFalse |
  negate-true:
   \llbracket q \vdash x \& (kind \ q \ y) \hookrightarrow KnownFalse \rrbracket \implies q \vdash x \& (LogicNegationNode \ y) \hookrightarrow
Known True
Total relation over partial implies relation
\mathbf{inductive} \ condition\text{-}implies :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow TriState \Rightarrow bool
  (-\vdash - \& - \rightharpoonup -) for g where
  \llbracket \neg (g \vdash a \& b \hookrightarrow imp) \rrbracket \Longrightarrow (g \vdash a \& b \rightharpoonup Unknown) \mid
  \llbracket (g \vdash a \& b \hookrightarrow imp) \rrbracket \Longrightarrow (g \vdash a \& b \rightharpoonup imp)
inductive implies-tree :: IRExpr \Rightarrow IRExpr \Rightarrow bool \Rightarrow bool
  (-\&-\hookrightarrow-) where
  eq-imp-less:
  (BinaryExpr\ BinIntegerEquals\ x\ y)\ \&\ (BinaryExpr\ BinIntegerLessThan\ x\ y)\hookrightarrow
False |
  eq-imp-less-rev:
  (BinaryExpr\ BinIntegerEquals\ x\ y)\ \&\ (BinaryExpr\ BinIntegerLessThan\ y\ x)\hookrightarrow
False |
  less-imp-rev-less:
  (BinaryExpr\ BinIntegerLessThan\ x\ y)\ \&\ (BinaryExpr\ BinIntegerLessThan\ y\ x)
\hookrightarrow False |
```

```
less-imp-not-eq:
  (BinaryExpr\ BinIntegerLessThan\ x\ y)\ \&\ (BinaryExpr\ BinIntegerEquals\ x\ y)\hookrightarrow
False |
  less-imp-not-eq-rev:
  (BinaryExpr\ BinIntegerLessThan\ x\ y)\ \&\ (BinaryExpr\ BinIntegerEquals\ y\ x)\hookrightarrow
False |
  x-imp-x:
 x \& x \hookrightarrow True \mid
  negate-false:
  \llbracket x \& y \hookrightarrow True \rrbracket \Longrightarrow x \& (UnaryExpr\ UnaryLogicNegation\ y) \hookrightarrow False \rrbracket
  negate-true:
  \llbracket x \ \& \ y \hookrightarrow False \rrbracket \Longrightarrow x \ \& \ (UnaryExpr\ UnaryLogicNegation\ y) \hookrightarrow True
Proofs that the implies relation is correct with respect to the existing eval-
uation semantics.
experiment begin
\mathbf{lemma}\ logic\text{-}negate\text{-}type\text{:}
  assumes [m, p] \vdash UnaryExpr\ UnaryLogicNegation\ x \mapsto v
  assumes v \neq UndefVal
 shows \exists v2. [m, p] \vdash x \mapsto IntVal32 v2
proof -
  obtain ve where ve: [m, p] \vdash x \mapsto ve
   using assms(1) by blast
  then have [m, p] \vdash UnaryExpr\ UnaryLogicNegation\ x \mapsto unary-eval\ UnaryLog-
icNegation ve
   by (metis UnaryExprE assms(1) evalDet)
 then show ?thesis using assms unary-eval.elims evalDet ve IRUnaryOp.distinct
   sorry
qed
lemma logic-negation-relation-tree:
  assumes [m, p] \vdash y \mapsto val
  assumes [m, p] \vdash UnaryExpr\ UnaryLogicNegation\ y \mapsto invval
 assumes invval \neq UndefVal
 shows val-to-bool val \longleftrightarrow \neg(val-to-bool invval)
proof -
  obtain v where invval = unary-eval\ UnaryLogicNegation\ v
   using assms(2) by blast
  then have [m, p] \vdash y \mapsto v using UnaryExprE \ assms(1,2) sorry
  then show ?thesis sorry
  qed
lemma logic-negation-relation:
  assumes [g, m, p] \vdash y \mapsto val
  \mathbf{assumes}\ kind\ g\ neg = LogicNegationNode\ y
  assumes [g, m, p] \vdash neg \mapsto invval
```

```
assumes invval \neq UndefVal
  shows val-to-bool val \longleftrightarrow \neg(val-to-bool invval)
proof -
  obtain yencode where 5: g \vdash y \simeq yencode
   using assms(1) encodeeval-def by auto
  then have 6: g \vdash neg \simeq UnaryExpr\ UnaryLogicNegation\ yencode
    using rep.intros(7) assms(2) by simp
  then have 7: [m, p] \vdash UnaryExpr\ UnaryLogicNegation\ yencode \mapsto invval
   using assms(3) encodeeval-def
   \mathbf{by} \ (metis \ repDet)
  obtain v1 where v1: [g, m, p] \vdash y \mapsto IntVal \ 32 \ v1
   using assms(1,2,3,4) using logic-negate-type sorry
  have invval = bool-to-val (\neg(val-to-bool\ val))
   using assms(1,2,3) evalDet unary-eval.simps(4)
   sorry
  have val-to-bool invval \longleftrightarrow \neg(val-to-bool val)
   using \langle invval = bool\text{-}to\text{-}val \ (\neg val\text{-}to\text{-}bool\ val) \rangle by force
  then show ?thesis
   by simp
qed
end
lemma implies-valid:
  assumes x \& y \hookrightarrow imp
  assumes [m, p] \vdash x \mapsto v1
 assumes [m, p] \vdash y \mapsto v2
 assumes v1 \neq UndefVal \land v2 \neq UndefVal
  shows (imp \longrightarrow (val\text{-}to\text{-}bool\ v1 \longrightarrow val\text{-}to\text{-}bool\ v2)) \land
        (\neg imp \longrightarrow (val\text{-}to\text{-}bool\ v1 \longrightarrow \neg(val\text{-}to\text{-}bool\ v2)))
   (is (?TP \longrightarrow ?TC) \land (?FP \longrightarrow ?FC))
  apply (intro\ conjI;\ rule\ impI)
proof -
  assume KnownTrue: ?TP
 show ?TC
 using assms(1) KnownTrue assms(2-) proof (induct xy imp rule: implies-tree.induct)
   case (eq\text{-}imp\text{-}less \ x \ y)
   then show ?case by simp
    case (eq\text{-}imp\text{-}less\text{-}rev \ x \ y)
   then show ?case by simp
  next
   case (less-imp-rev-less \ x \ y)
   then show ?case by simp
  next
   case (less-imp-not-eq x y)
   then show ?case by simp
   case (less-imp-not-eq-rev \ x \ y)
   then show ?case by simp
```

```
next
   case (x-imp-x)
   then show ?case
     by (metis evalDet)
  next
   case (negate-false x1)
   then show ?case using evalDet
     using assms(2,3) by blast
  next
   case (negate-true\ y)
   then show ?case
     sorry
 qed
next
 assume KnownFalse: ?FP
 show ?FC using assms KnownFalse proof (induct x y imp rule: implies-tree.induct)
   case (eq\text{-}imp\text{-}less \ x \ y)
   obtain xval where xval: [m, p] \vdash x \mapsto xval
     using eq\text{-}imp\text{-}less(1) eq\text{-}imp\text{-}less.prems(3)
     by blast
   then obtain yval where yval: [m, p] \vdash y \mapsto yval
     using eq-imp-less.prems(3)
     using eq\text{-}imp\text{-}less.prems(2) by blast
   have eqeval: [m, p] \vdash (BinaryExpr\ BinIntegerEquals\ x\ y) \mapsto intval\text{-equals\ xval}
yval
     using xval yval evaltree.BinaryExpr
     by (metis BinaryExprE bin-eval.simps(11) eq-imp-less.prems(1) evalDet)
   have lesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-less-than}
xval yval
     using xval yval evaltree.BinaryExpr
     by (metis BinaryExprE bin-eval.simps(12) eq-imp-less.prems(2) evalDet)
   have val-to-bool (intval-equals xval yval) \longrightarrow \neg(val-to-bool (intval-less-than xval
yval))
     using assms(4) apply (cases xval; cases yval; auto) sorry
   then show ?case
     using eqeval lesseval
     by (metis eq-imp-less.prems(1) eq-imp-less.prems(2) evalDet)
   case (eq\text{-}imp\text{-}less\text{-}rev \ x \ y)
   obtain xval where xval: [m, p] \vdash x \mapsto xval
     using eq-imp-less-rev.prems(3)
     using eq-imp-less-rev.prems(2) by blast
   obtain yval where yval: [m, p] \vdash y \mapsto yval
     using eq-imp-less-rev.prems(3)
     using eq\text{-}imp\text{-}less\text{-}rev.prems(2) by blast
   have eqeval: [m, p] \vdash (BinaryExpr\ BinIntegerEquals\ x\ y) \mapsto intval\text{-equals\ } xval
yval
     using xval yval evaltree.BinaryExpr
```

```
by (metis BinaryExprE bin-eval.simps(11) eq-imp-less-rev.prems(1) evalDet)
  have lesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ y\ x) \mapsto intval\text{-less-than}
yval xval
     using xval yval evaltree.BinaryExpr
     by (metis BinaryExprE bin-eval.simps(12) eq-imp-less-rev.prems(2) evalDet)
   have val-to-bool (intval-equals xval yval) \longrightarrow \neg(val-to-bool (intval-less-than yval)
xval)
     using assms(4) apply (cases xval; cases yval; auto) sorry
   then show ?case
     using eqeval lesseval
     by (metis\ eq-imp-less-rev.prems(1)\ eq-imp-less-rev.prems(2)\ eval Det)
 next
   case (less-imp-rev-less \ x \ y)
   obtain xval where xval: [m, p] \vdash x \mapsto xval
     using less-imp-rev-less.prems(3)
     using less-imp-rev-less.prems(2) by blast
   obtain yval where yval: [m, p] \vdash y \mapsto yval
     using less-imp-rev-less.prems(3)
     using less-imp-rev-less.prems(2) by blast
  have lesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-less-than}
xval yval
     using xval yval evaltree.BinaryExpr
    by (metis BinaryExprE bin-eval.simps(12) evalDet less-imp-rev-less.prems(1))
     have revlesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ y\ x) \mapsto int-
val-less-than yval xval
     using xval yval evaltree.BinaryExpr
    by (metis BinaryExprE bin-eval.simps(12) evalDet less-imp-rev-less.prems(2))
   have val-to-bool (intval-less-than xval yval) \longrightarrow \neg (val\text{-}to\text{-}bool (intval-less-than xval yval))
yval xval)
     using assms(4) apply (cases xval; cases yval; auto) sorry
   then show ?case
   by (metis evalDet less-imp-rev-less.prems(1) less-imp-rev-less.prems(2) lesseval
revlesseval)
  next
   case (less-imp-not-eq x y)
   obtain xval where xval: [m, p] \vdash x \mapsto xval
     using less-imp-not-eq.prems(3)
     using less-imp-not-eq.prems(1) by blast
   obtain yval where yval: [m, p] \vdash y \mapsto yval
     using less-imp-not-eq.prems(3)
     using less-imp-not-eq.prems(1) by blast
   have eqeval: [m, p] \vdash (BinaryExpr\ BinIntegerEquals\ x\ y) \mapsto intval\text{-equals}\ xval
yval
     using xval yval evaltree.BinaryExpr
     by (metis BinaryExprE bin-eval.simps(11) evalDet less-imp-not-eq.prems(2))
  have lesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-less-than}
xval yval
```

```
using xval yval evaltree.BinaryExpr
     by (metis BinaryExprE bin-eval.simps(12) evalDet less-imp-not-eq.prems(1))
   have val-to-bool (intval-less-than xval yval) \longrightarrow \neg(val-to-bool (intval-equals xval
     using assms(4) apply (cases xval; cases yval; auto) sorry
   then show ?case
      by (metis equal evalDet less-imp-not-eq.prems(1) less-imp-not-eq.prems(2)
lesseval)
 next
   case (less-imp-not-eq-rev \ x \ y)
   obtain xval where xval: [m, p] \vdash x \mapsto xval
     using less-imp-not-eq-rev.prems(3)
     using less-imp-not-eq-rev.prems(1) by blast
   obtain yval where yval: [m, p] \vdash y \mapsto yval
     using less-imp-not-eq-rev.prems(3)
     using less-imp-not-eq-rev.prems(1) by blast
   have eqeval: [m, p] \vdash (BinaryExpr\ BinIntegerEquals\ y\ x) \mapsto intval\text{-equals\ }yval
xval
     using xval yval evaltree.BinaryExpr
   by (metis BinaryExprE bin-eval.simps(11) evalDet less-imp-not-eq-rev.prems(2))
   have lesseval: [m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval\text{-less-than}
xval yval
     using xval yval evaltree.BinaryExpr
   by (metis BinaryExprE bin-eval.simps(12) evalDet less-imp-not-eq-rev.prems(1))
   have val-to-bool (intval-less-than xval yval) \longrightarrow \neg (val-to-bool (intval-equals yval)
xval)
     using assms(4) apply (cases xval; cases yval; auto) sorry
   then show ?case
   by (metis\ eqeval\ evalDet\ less-imp-not-eq-rev.prems(2)\ less-imp-not-eq-rev.prems(2)
lesseval)
 next
   case (x\text{-}imp\text{-}x x1)
   then show ?case by simp
   case (negate-false \ x \ y)
   then show ?case sorry
  next
   case (negate-true x1)
   then show ?case by simp
 qed
qed
\mathbf{lemma}\ implies\text{-}true\text{-}valid\text{:}
 assumes x \& y \hookrightarrow imp
 assumes imp
 assumes [m, p] \vdash x \mapsto v1
 assumes [m, p] \vdash y \mapsto v2
```

```
assumes v1 \neq UndefVal \land v2 \neq UndefVal shows val-to-bool v1 \longrightarrow val-to-bool v2 using assms implies-valid by blast lemma implies-false-valid: assumes x \& y \hookrightarrow imp assumes \neg imp assumes [m, p] \vdash x \mapsto v1 assumes [m, p] \vdash y \mapsto v2 assumes v1 \neq UndefVal \land v2 \neq UndefVal shows val-to-bool v1 \longrightarrow \neg (val-to-bool v2) using assms implies-valid by blast
```

The following relation corresponds to the UnaryOpLogicNode.tryFold and BinaryOpLogicNode.tryFold methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

```
inductive tryFold :: IRNode \Rightarrow (ID \Rightarrow Stamp) \Rightarrow bool \Rightarrow bool
where

[alwaysDistinct \ (stamps \ x) \ (stamps \ y)]
\Rightarrow tryFold \ (IntegerEqualsNode \ x \ y) \ stamps \ False \ |
[neverDistinct \ (stamps \ x) \ (stamps \ y)]
\Rightarrow tryFold \ (IntegerEqualsNode \ x \ y) \ stamps \ True \ |
[is-IntegerStamp \ (stamps \ x);
is-IntegerStamp \ (stamps \ x) \ < stpi-lower \ (stamps \ y)]
\Rightarrow tryFold \ (IntegerLessThanNode \ x \ y) \ stamps \ True \ |
[is-IntegerStamp \ (stamps \ x);
is-IntegerStamp \ (stamps \ x);
is-IntegerStamp \ (stamps \ y);
stpi-lower \ (stamps \ x) \ \geq stpi-upper \ (stamps \ y)]
\Rightarrow tryFold \ (IntegerLessThanNode \ x \ y) \ stamps \ False
```

Proofs that show that when the stamp lookup function is well-formed, the tryFold relation correctly predicts the output value with respect to our evaluation semantics.

#### lemma

```
assumes kind\ g\ nid = IntegerEqualsNode\ x\ y assumes [g,\ m,\ p] \vdash nid \mapsto v assumes v \neq UndefVal assumes ([g,\ m,\ p] \vdash x \mapsto xval) \land ([g,\ m,\ p] \vdash y \mapsto yval) shows val\text{-}to\text{-}bool\ (intval\text{-}equals\ xval\ yval)} \longleftrightarrow v = IntVal32\ 1 proof — have v = intval\text{-}equals\ xval\ yval using assms(1,\ 2,\ 3,\ 4)\ BinaryExprE\ IntegerEqualsNode\ bin\text{-}eval.simps(7) by (smt\ (verit)\ bin\text{-}eval.simps(11)\ encodeeval\text{-}def\ evalDet\ repDet) then show ?thesis\ using\ intval\text{-}equals.simps\ val\text{-}to\text{-}bool.simps\ sorry}
```

```
qed
```

```
{\bf lemma}\ tryFoldIntegerEqualsAlwaysDistinct:
 assumes wf-stamp q stamps
 assumes kind \ g \ nid = (IntegerEqualsNode \ x \ y)
 assumes [g, m, p] \vdash nid \mapsto v
 assumes alwaysDistinct\ (stamps\ x)\ (stamps\ y)
 shows v = IntVal32 0
proof -
 have \forall val. \neg(valid-value val (join (stamps x) (stamps y)))
   using assms(1,4) unfolding alwaysDistinct.simps
   by (smt (verit, best) is-stamp-empty.elims(2) valid-int valid-value.simps(1))
 have \neg(\exists val. ([g, m, p] \vdash x \mapsto val) \land ([g, m, p] \vdash y \mapsto val))
     using assms(1,4) unfolding alwaysDistinct.simps wf-stamp.simps encodee-
val-def sorry
 then show ?thesis sorry
qed
\mathbf{lemma}\ tryFoldIntegerEqualsNeverDistinct:
 assumes wf-stamp q stamps
 assumes kind \ g \ nid = (IntegerEqualsNode \ x \ y)
 assumes [g, m, p] \vdash nid \mapsto v
 assumes neverDistinct\ (stamps\ x)\ (stamps\ y)
 shows v = IntVal32 1
 using assms IntegerEqualsNodeE sorry
lemma tryFoldIntegerLessThanTrue:
 assumes wf-stamp q stamps
 assumes kind \ g \ nid = (IntegerLessThanNode \ x \ y)
 assumes [g, m, p] \vdash nid \mapsto v
 assumes stpi-upper\ (stamps\ x) < stpi-lower\ (stamps\ y)
 shows v = Int Val 32 1
proof -
 have stamp-type: is-IntegerStamp (stamps x)
   using assms
   sorry
 obtain xval where xval: [g, m, p] \vdash x \mapsto xval
   using assms(2,3) sorry
 obtain yval where yval: [g, m, p] \vdash y \mapsto yval
   using assms(2,3) sorry
 have is-IntegerStamp (stamps x) \land is-IntegerStamp (stamps y)
   using assms(4)
   sorry
 then have val-to-bool (intval-less-than xval yval)
   sorry
 then show ?thesis
   sorry
qed
```

```
lemma tryFoldIntegerLessThanFalse:
 assumes wf-stamp g stamps
 assumes kind\ g\ nid = (IntegerLessThanNode\ x\ y)
 assumes [q, m, p] \vdash nid \mapsto v
 assumes stpi-lower\ (stamps\ x) \ge stpi-upper\ (stamps\ y)
 shows v = IntVal32 0
 proof -
 have stamp-type: is-IntegerStamp (stamps x)
   using assms
   sorry
 obtain xval where xval: [g, m, p] \vdash x \mapsto xval
   using assms(2,3) sorry
 obtain yval where yval: [g, m, p] \vdash y \mapsto yval
   using assms(2,3) sorry
 have is-IntegerStamp (stamps x) \land is-IntegerStamp (stamps y)
   using assms(4)
   sorrv
 then have \neg(val\text{-}to\text{-}bool\ (intval\text{-}less\text{-}than\ xval\ yval))
   sorry
 then show ?thesis
   sorry
qed
theorem tryFoldProofTrue:
 assumes wf-stamp g stamps
 assumes tryFold (kind g nid) stamps True
 assumes [q, m, p] \vdash nid \mapsto v
 shows val-to-bool v
 using assms(2) proof (induction kind g nid stamps True rule: tryFold.induct)
case (1 stamps x y)
 then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry
 case (2 stamps x y)
 then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry
 case (3 stamps x y)
 then show ?case using tryFoldIntegerLessThanTrue assms sorry
case (4 stamps x y)
 then show ?case using tryFoldIntegerLessThanFalse assms sorry
qed
theorem tryFoldProofFalse:
 assumes wf-stamp g stamps
 assumes tryFold (kind g nid) stamps False
 assumes [g, m, p] \vdash nid \mapsto v
 shows \neg(val\text{-}to\text{-}bool\ v)
using assms(2) proof (induction kind g nid stamps False rule: tryFold.induct)
case (1 stamps x y)
```

```
then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms sorry next case (2 stamps x y) then show ?case using tryFoldIntegerEqualsNeverDistinct assms sorry next case (3 stamps x y) then show ?case using tryFoldIntegerLessThanTrue assms sorry next case (4 stamps x y) then show ?case using tryFoldIntegerLessThanFalse assms sorry next case (4 stamps x y) then show ?case using tryFoldIntegerLessThanFalse assms sorry qed
```

```
inductive-cases StepE:

q, p \vdash (nid, m, h) \rightarrow (nid', m', h)
```

Perform conditional elimination rewrites on the graph for a particular node. In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

```
{\bf inductive} \ {\it Conditional Elimination Step}::
  IRExpr\ set \Rightarrow (ID \Rightarrow Stamp) \Rightarrow IRGraph \Rightarrow ID \Rightarrow IRGraph \Rightarrow bool\ \mathbf{where}
  implies True:
  [kind\ g\ ifcond = (IfNode\ cid\ t\ f);
    g \vdash cid \simeq cond;
    \exists ce \in conds . (ce \& cond \hookrightarrow True);
    g' = constantCondition True if cond (kind g if cond) g
    ] \implies Conditional Elimination Step conds stamps g if cond g' |
  impliesFalse:
  [kind\ g\ ifcond = (IfNode\ cid\ t\ f);
    g \vdash cid \simeq cond;
    \exists ce \in conds . (ce \& cond \hookrightarrow False);
    q' = constantCondition False if cond (kind q if cond) q
    ] \implies Conditional Elimination Step conds stamps g if cond g' |
  tryFoldTrue:
  \llbracket kind \ g \ if cond = (If Node \ cid \ t \ f);
    cond = kind \ g \ cid;
    tryFold (kind g cid) stamps True;
    g' = constantCondition True if cond (kind g if cond) g
    ] \implies Conditional Elimination Step conds stamps g if cond g' |
```

```
tryFoldFalse:
[kind \ g \ ifcond = (IfNode \ cid \ t \ f);
cond = kind \ g \ cid;
tryFold \ (kind \ g \ cid) \ stamps \ False;
g' = constantCondition \ False \ ifcond \ (kind \ g \ ifcond) \ g
[] \implies ConditionalEliminationStep \ conds \ stamps \ g \ ifcond \ g'
```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) ConditionalEliminationStep.

 ${\bf thm}\ {\it Conditional Elimination Step. equation}$ 

# 12.2 Control-flow Graph Traversal

```
type-synonym Seen = ID \ set
type-synonym Condition = IRNode
type-synonym Conditions = Condition \ list
type-synonym StampFlow = (ID \Rightarrow Stamp) \ list
```

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```
fun nextEdge :: Seen \Rightarrow ID \Rightarrow IRGraph \Rightarrow ID option where 
 <math>nextEdge \ seen \ nid \ g = 
 (let \ nids = (filter \ (\lambda nid'. \ nid' \notin seen) \ (successors-of \ (kind \ g \ nid))) \ in 
 (if \ length \ nids > 0 \ then \ Some \ (hd \ nids) \ else \ None))
```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where

pred g nid = (case kind g nid of

(MergeNode ends - -) ⇒ Some (hd ends) |

- ⇒

(if IRGraph.predecessors g nid = {}

then None else

Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))

)
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM com-

piler, we introduce the registerNewCondition funciton which roughly corresponds to the ConditionalEliminationPhase.registerNewCondition. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp \Rightarrow int \Rightarrow Stamp where
             clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
             clip-upper s c = s
fun clip-lower :: Stamp \Rightarrow int \Rightarrow Stamp where
             clip-lower (IntegerStamp \ b \ l \ h) \ c = (IntegerStamp \ b \ c \ h) \ |
             clip-lower s c = s
fun registerNewCondition :: IRGraph <math>\Rightarrow Condition \Rightarrow (ID \Rightarrow Stamp) \Rightarrow (ID \Rightarrow Stamp
 Stamp) where
             registerNewCondition\ g\ (IntegerEqualsNode\ x\ y)\ stamps =
                         (stamps(x := join (stamps x) (stamps y)))(y := join (stamps x) (stamps y)) \mid
             registerNewCondition\ q\ (IntegerLessThanNode\ x\ y)\ stamps =
                      (stamps
                                  (x := clip\text{-}upper\ (stamps\ x)\ (stpi\text{-}lower\ (stamps\ y))))
                                  (y := clip-lower (stamps y) (stpi-upper (stamps x)))
             registerNewCondition\ g - stamps = stamps
fun hdOr :: 'a \ list \Rightarrow 'a \Rightarrow 'a \ where
            hdOr(x \# xs) de = x \mid
            hdOr [] de = de
```

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always true stack of IfNode conditions, and the flow-sensitive stamp information.

### inductive Step

::  $IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \ option \Rightarrow bool$ 

### for g where

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

 $\llbracket kind\ g\ nid = BeginNode\ nid';$ 

```
nid \notin seen;

seen' = \{nid\} \cup seen;

Some if cond = pred g nid;
```

```
kind\ g\ if cond = If Node\ cond\ t\ f;
   i = find\text{-}index\ nid\ (successors\text{-}of\ (kind\ g\ ifcond));
   c = (if \ i = 0 \ then \ kind \ g \ cond \ else \ LogicNegationNode \ cond);
   conds' = c \# conds;
   flow' = registerNewCondition \ g \ c \ (hdOr \ flow \ (stamp \ g))
   \implies Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow' # flow)) |
  — Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions
and stamp stack
  [kind\ g\ nid = EndNode;]
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   nid' = any-usage q nid;
   conds' = tl \ conds;
   flow' = tl flow
  \implies Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow')) |
  — We can find a successor edge that is not in seen, go there
  [\neg (is\text{-}EndNode\ (kind\ g\ nid));
    \neg (is\text{-}BeginNode\ (kind\ g\ nid));
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   Some nid' = nextEdge seen' nid g
   \implies Step g (nid, seen, conds, flow) (Some (nid', seen', conds, flow))
  — We can cannot find a successor edge that is not in seen, give back None
  [\neg (is\text{-}EndNode\ (kind\ g\ nid));
    \neg (is\text{-}BeginNode\ (kind\ g\ nid));
   nid \notin seen;
   seen' = \{nid\} \cup seen;
   None = nextEdge seen' nid g
   \implies Step g (nid, seen, conds, flow) None
  — We've already seen this node, give back None
 [nid \in seen] \implies Step \ g \ (nid, seen, conds, flow) \ None
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) Step.
```

The Conditional Elimination Phase relation is responsible for combining the individual traversal steps from the Step relation and the optimizations from

the Conditional EliminationStep relation to perform a transformation of the whole graph.

 $\mathbf{end}$