# Veriopt Theories

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## 1 Data-flow Semantics

 $\begin{array}{c} \textbf{theory} \ IRTreeEval \\ \textbf{imports} \\ \textit{Graph.Stamp} \\ \textbf{begin} \end{array}$ 

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym ID = nat

type-synonym MapState = ID \Rightarrow Value

type-synonym Params = Value\ list

definition new-map-state :: MapState\ where

new-map-state = (\lambda x.\ UndefVal)
```

#### 1.1 Data-flow Tree Representation

```
datatype IRUnaryOp =
   UnaryAbs
   UnaryNeg
   UnaryNot
   UnaryLogicNegation
   UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat)
   UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)
  UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
datatype IRBinaryOp =
   BinAdd
   BinMul
   BinSub
   BinAnd
   BinOr
   BinXor
   BinShortCircuitOr
  BinLeftShift \\
   BinRightShift
   BinURightShift
   BinIntegerEquals
   BinIntegerLessThan
  BinIntegerBelow
datatype (discs-sels) IRExpr =
   UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
```

```
BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
   ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)
 | ParameterExpr (ir-index: nat) (ir-stamp: Stamp)
 | LeafExpr (ir-nid: ID) (ir-stamp: Stamp)
   ConstantExpr (ir-const: Value)
   Constant Var (ir-name: string)
   VariableExpr (ir-name: string) (ir-stamp: Stamp)
fun is-ground :: IRExpr \Rightarrow bool where
 is-ground (UnaryExpr op e) = is-ground e
 is-ground (BinaryExpr op e1 e2) = (is-ground e1 \land is-ground e2)
 is-ground (ConditionalExpr b e1 e2) = (is-ground b \wedge is-ground e1 \wedge is-ground
 is-ground (ParameterExpr\ i\ s) = True\ |
 is-ground (LeafExpr n s) = True
 is-ground (ConstantExpr\ v) = True
 is-ground (ConstantVar\ name) = False
 is-ground (VariableExpr\ name\ s) = False
typedef GroundExpr = \{ e :: IRExpr . is-ground e \}
 using is-ground.simps(6) by blast
```

#### 1.2 Functions for re-calculating stamps

Note: all integer calculations are done as 32 or 64 bit calculations. Most operators have the same output bits as their inputs. But the following  $fixed_32$  binary operators always output 32 bits. And the unary operators that are not  $normal_unary$  are narrowing or widening operators, so the result bits is specified by the operator.

```
abbreviation fixed-32 :: IRBinaryOp set where
fixed-32 \equiv {BinShortCircuitOr, BinIntegerEquals, BinIntegerLessThan, BinIntegerBelow}

abbreviation normal-unary :: IRUnaryOp set where
normal-unary \equiv {UnaryAbs, UnaryNeg, UnaryNot, UnaryLogicNegation}

fun stamp-unary :: IRUnaryOp \Rightarrow Stamp \Rightarrow Stamp where

stamp-unary op (IntegerStamp b lo hi) =
(if op \in normal-unary
then unrestricted-stamp (IntegerStamp (if b=64 then 64 else 32) lo hi)
else unrestricted-stamp (IntegerStamp (ir-resultBits op) lo hi)) |
```

```
stamp	ext{-}unary op -= IllegalStamp
fun stamp-binary :: IRBinaryOp \Rightarrow Stamp \Rightarrow Stamp \Rightarrow Stamp where
  stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
   (if (b1 \neq b2) then IllegalStamp else
     (if \ op \notin fixed-32 \land b1=64
      then unrestricted-stamp (IntegerStamp 64 lo1 hi1)
      else unrestricted-stamp (IntegerStamp 32 lo1 hi1))) |
  stamp-binary op - - = IllegalStamp
fun stamp-expr :: IRExpr \Rightarrow Stamp where
  stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x)
 stamp-expr\ (BinaryExpr\ bop\ x\ y) = stamp-binary\ bop\ (stamp-expr\ x)\ (stamp-expr\ x)
  stamp-expr (ConstantExpr val) = constantAsStamp val
  stamp-expr(LeafExpr(i s) = s \mid
  stamp-expr (ParameterExpr i s) = s \mid
  stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)
export-code stamp-unary stamp-binary stamp-expr
       Data-flow Tree Evaluation
fun unary-eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value where
  unary-eval UnaryAbs\ v = intval-abs\ v \mid
  unary-eval UnaryNeg\ v = intval-negate v \mid
  unary-eval\ UnaryNot\ v=intval-not\ v\mid
  unary-eval\ UnaryLogicNegation\ v=intval-logic-negation\ v\mid
  unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v
  unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits out-
Bits v \mid
  unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits out-eval
Bits v
fun bin-eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value where
  bin-eval BinAdd\ v1\ v2 = intval-add v1\ v2
  bin-eval \ Bin Mul \ v1 \ v2 = int val-mul \ v1 \ v2
  bin-eval \ BinSub \ v1 \ v2 = intval-sub \ v1 \ v2 \ |
  bin-eval BinAnd\ v1\ v2 = intval-and v1\ v2
  bin-eval\ BinOr\ v1\ v2=intval-or\ v1\ v2
  bin-eval BinXor\ v1\ v2 = intval-xor v1\ v2
  bin-eval\ BinShortCircuitOr\ v1\ v2=intval-short-circuit-or\ v1\ v2
  bin-eval\ BinLeftShift\ v1\ v2=intval-left-shift\ v1\ v2
  bin-eval\ BinRightShift\ v1\ v2=intval-right-shift\ v1\ v2
  bin-eval\ Bin\ URightShift\ v1\ v2=intval-uright-shift\ v1\ v2
  bin-eval BinIntegerEquals \ v1 \ v2 = intval-equals v1 \ v2
```

bin-eval  $BinIntegerLessThan\ v1\ v2 = intval$ -less-than  $v1\ v2$ 

```
lemmas eval-thms =
  intval-abs.simps\ intval-negate.simps\ intval-not.simps
  intval	ext{-}logic	ext{-}negation. simps intval	ext{-}narrow. simps
  intval\mbox{-}sign\mbox{-}extend.simps intval\mbox{-}zero\mbox{-}extend.simps
  intval-add.simps intval-mul.simps intval-sub.simps
  intval-and.simps intval-or.simps intval-xor.simps
  intval\text{-}left\text{-}shift.simps\ intval\text{-}right\text{-}shift.simps
  intval-uright-shift.simps intval-equals.simps
  intval-less-than.simps intval-below.simps
inductive not-undef-or-fail :: Value \Rightarrow Value \Rightarrow bool where
  \llbracket value \neq UndefVal \rrbracket \implies not\text{-}undef\text{-}or\text{-}fail\ value\ value}
notation (latex output)
  not-undef-or-fail (- = -)
inductive
  evaltree :: MapState \Rightarrow Params \Rightarrow IRExpr \Rightarrow Value \Rightarrow bool ([-,-] \vdash - \mapsto -55)
  for m p where
  Constant Expr:
  \llbracket valid\text{-}value\ c\ (constantAsStamp\ c) 
bracket
    \implies [m,p] \vdash (ConstantExpr\ c) \mapsto c
  ParameterExpr:
  [i < length p; valid-value (p!i) s]
    \implies [m,p] \vdash (ParameterExpr \ i \ s) \mapsto p!i \mid
  Conditional Expr:
  \llbracket [m,p] \vdash ce \mapsto cond;
    branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe);
    [m,p] \vdash branch \mapsto v;
    v \neq UndefVal
    \implies [m,p] \vdash (ConditionalExpr\ ce\ te\ fe) \mapsto v \mid
  UnaryExpr:
  \llbracket [m,p] \vdash xe \mapsto v;
    result = (unary-eval \ op \ v);
    result \neq UndefVal
    \implies [m,p] \vdash (UnaryExpr \ op \ xe) \mapsto result \mid
  BinaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
    [m,p] \vdash ye \mapsto y;
    result = (bin-eval \ op \ x \ y);
```

```
result \neq UndefVal
    \implies [m,p] \vdash (BinaryExpr\ op\ xe\ ye) \mapsto result
  LeafExpr:
  \llbracket val = m \ n;
    valid-value val s
    \implies [m,p] \vdash \textit{LeafExpr } n \ s \mapsto \textit{val}
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalT)
  [show\text{-}steps, show\text{-}mode\text{-}inference, show\text{-}intermediate\text{-}results]
  evaltree \ .
inductive
  evaltrees :: MapState \Rightarrow Params \Rightarrow IRExpr\ list \Rightarrow Value\ list \Rightarrow bool\ ([-,-] \vdash - \mapsto_L
  for m p where
  EvalNil:
  [m,p] \vdash [] \mapsto_L [] \mid
  EvalCons:
  \llbracket [m,p] \vdash x \mapsto xval;
    [m,p] \vdash yy \mapsto_L yyval
    \implies [m,p] \vdash (x \# yy) \mapsto_L (xval \# yyval)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalTs)
  evaltrees.
definition sq\text{-}param\theta :: IRExpr where
  sq\text{-}param0 = BinaryExpr\ BinMul
    (Parameter Expr\ 0\ (Integer Stamp\ 32\ (-\ 2147483648)\ 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
values \{v. \ evaltree \ new-map-state \ [IntVal32\ 5] \ sq-param0\ v\}
declare evaltree.intros [intro]
declare evaltrees.intros [intro]
```

#### 1.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** equiv-exprs :: 
$$IRExpr \Rightarrow IRExpr \Rightarrow bool (- \doteq -55)$$
 where  $(e1 \doteq e2) = (\forall m \ p \ v. (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))$ 

We also prove that this is a total equivalence relation (equivp equiv-exprs)

(HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

```
lemma equivp equiv-exprs
apply (auto simp add: equivp-def equiv-exprs-def)
by (metis equiv-exprs-def)+
```

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation IRExpr :: preorder begin

```
notation less-eq (infix \sqsubseteq 65)

definition
le-expr-def [simp]:
(e_2 \le e_1) \longleftrightarrow (\forall \ m \ p \ v. (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))

definition
lt-expr-def [simp]:
(e_1 < e_2) \longleftrightarrow (e_1 \le e_2 \land \neg (e_1 \doteq e_2))

instance proof
fix x \ y \ z :: IRExpr
show x < y \longleftrightarrow x \le y \land \neg (y \le x) by (simp add: equiv-exprs-def; auto)
show x \le x by simp
show x \le x \Rightarrow y \Rightarrow y \le z \Rightarrow x \le z by simp
qed
end
```

**abbreviation** (output) Refines ::  $IRExpr \Rightarrow IRExpr \Rightarrow bool$  (infix  $\supseteq 64$ ) where  $e_1 \supseteq e_2 \equiv (e_2 \leq e_1)$ 

end

#### 1.5 Data-flow Tree Theorems

```
theory IRTreeEvalThms
imports
IRTreeEval
begin
```

#### 1.5.1 Deterministic Data-flow Evaluation

```
\begin{array}{l} \textbf{lemma} \ evalDet: \\ [m,p] \vdash e \mapsto v_1 \Longrightarrow \\ [m,p] \vdash e \mapsto v_2 \Longrightarrow \\ v_1 = v_2 \\ \textbf{apply} \ (induction \ arbitrary: \ v_2 \ rule: \ evaltree.induct) \\ \textbf{by} \ (elim \ EvalTreeE; \ auto) + \end{array}
```

```
lemma evalAllDet:

[m,p] \vdash e \mapsto_L v1 \Longrightarrow

[m,p] \vdash e \mapsto_L v2 \Longrightarrow

v1 = v2

apply (induction arbitrary: v2 rule: evaltrees.induct)

apply (elim\ EvalTreeE; auto)

using evalDet\ by force
```

#### 1.5.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values:  $is_IntVal32$ ,  $is_IntVal64$  and the more general  $is_IntVal$ .

```
lemma unary-eval-not-obj-ref:
 shows unary-eval op x \neq ObjRef v
 by (cases op; cases x; auto)
lemma unary-eval-not-obj-str:
 shows unary-eval op x \neq ObjStr\ v
 by (cases op; cases x; auto)
lemma unary-eval-int:
 assumes def: unary-eval op x \neq UndefVal
 shows is-IntVal (unary-eval op x)
 unfolding is-IntVal-def using def
 apply (cases unary-eval op x; auto)
 using unary-eval-not-obj-ref unary-eval-not-obj-str by simp+
lemma bin-eval-int:
 assumes def: bin-eval \ op \ x \ y \neq \ UndefVal
 shows is-IntVal (bin-eval op x y)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
 by (metis (full-types) bool-to-val.simps is-IntVal32-def)+
lemma int-stamp32:
 assumes i: is-IntVal32 v
 shows is-IntegerStamp (constantAsStamp v)
 using i unfolding is-IntegerStamp-def is-IntVal32-def by auto
lemma int-stamp64:
 assumes i: is-IntVal64 v
 shows is-IntegerStamp (constantAsStamp v)
 using i unfolding is-IntegerStamp-def is-IntVal64-def by auto
\mathbf{lemma} \ int\text{-}stamp\text{-}both:
 assumes i: is-IntVal v
```

```
shows is-IntegerStamp (constantAsStamp v)
 using i unfolding is-IntVal-def is-IntegerStamp-def
 using int-stamp32 int-stamp64 is-IntegerStamp-def by auto
lemma validDefIntConst:
 assumes v \neq UndefVal
 \mathbf{assumes}\ is\text{-}IntegerStamp\ (constantAsStamp\ v)
 shows valid-value v (constantAsStamp v)
 using assms by (cases v; auto)
lemma validIntConst:
 assumes i: is-IntVal v
 shows valid-value v (constantAsStamp v)
 using i int-stamp-both is-IntVal-def validDefIntConst by auto
1.5.3
        Evaluation Results are Valid
A valid value cannot be UndefVal.
lemma valid-not-undef:
 assumes a1: valid-value val s
 assumes a2: s \neq VoidStamp
 shows val \neq UndefVal
 apply (rule valid-value.elims(1)[of val s True])
 using a1 a2 by auto
lemma valid-VoidStamp[elim]:
 shows \ valid-value val \ VoidStamp \Longrightarrow
     val = UndefVal
 using valid-value.simps by metis
lemma valid-ObjStamp[elim]:
 shows valid-value val (ObjectStamp klass exact nonNull alwaysNull) \Longrightarrow
     (\exists v. val = ObjRef v)
 using valid-value.simps by (metis val-to-bool.cases)
lemma valid-int1[elim]:
 shows valid-value val (IntegerStamp 1 lo hi) \Longrightarrow
     (\exists v. val = Int Val32 v)
 apply (rule val-to-bool.cases[of val])
 using Value.distinct by simp+
lemma valid-int8[elim]:
 shows valid-value val (IntegerStamp 8 l h) \Longrightarrow
     (\exists v. val = Int Val32 v)
 apply (rule val-to-bool.cases[of val])
 using Value.distinct by simp+
lemma valid-int16[elim]:
```

```
shows valid-value val (IntegerStamp 16 l h) \Longrightarrow
     (\exists v. val = Int Val32 v)
 {\bf apply} \ ({\it rule} \ {\it val-to-bool.cases} [{\it of} \ {\it val}])
 using Value.distinct by simp+
lemma valid-int32[elim]:
 shows valid-value val (IntegerStamp 32 l h) \Longrightarrow
     (\exists v. val = Int Val32 v)
 apply (rule val-to-bool.cases[of val])
 using Value.distinct by simp+
lemma valid-int64 [elim]:
 shows valid-value val (IntegerStamp 64 l h) \Longrightarrow
     (\exists v. val = IntVal64 v)
 apply (rule val-to-bool.cases[of val])
 using Value.distinct by simp+
lemmas valid-value-elims =
  valid-VoidStamp
  valid-ObjStamp
  valid-int1
  valid-int8
  valid-int16
  valid-int32
  valid-int64
lemma evaltree-not-undef:
 fixes m p e v
 \mathbf{shows}\ ([m,p] \vdash e \mapsto v) \Longrightarrow v \neq \mathit{UndefVal}
 apply (induction rule: evaltree.induct)
 using valid-not-undef by auto
lemma leafint32:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ 32\ lo\ hi) \mapsto val
 shows \exists v. val = (Int Val 32 v)
proof -
 have valid-value val (IntegerStamp 32 lo hi)
   using ev by (rule LeafExprE; simp)
 then show ?thesis by auto
qed
lemma leafint64:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ 64\ lo\ hi) \mapsto val
 shows \exists v. val = (Int Val 64 v)
```

```
proof -
 have valid-value val (IntegerStamp 64 lo hi)
   using ev by (rule LeafExprE; simp)
 then show ?thesis by auto
ged
lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648)
 using default-stamp-def by auto
lemma valid32 [simp]:
 assumes valid-value val (IntegerStamp 32 lo hi)
 shows \exists v. (val = (Int Val 32 \ v) \land lo \leq sint \ v \land sint \ v \leq hi)
 using assms valid-int32 by force
lemma valid64 [simp]:
 assumes valid-value val (IntegerStamp 64 lo hi)
 shows \exists v. (val = (IntVal64 \ v) \land lo \leq sint \ v \land sint \ v \leq hi)
 using assms valid-int64 by force
lemma valid32or64:
 assumes valid-value x (IntegerStamp b lo hi)
 shows (\exists v1. (x = Int Val32 v1)) \lor (\exists v2. (x = Int Val64 v2))
 using valid32 valid64 assms valid-value.elims(2) by blast
lemma valid32or64-both:
 assumes valid-value x (IntegerStamp b lox hix)
 and valid-value y (IntegerStamp b loy hiy)
 shows (\exists v1 v2. x = IntVal32 v1 \land y = IntVal32 v2) \lor (\exists v3 v4. x = IntVal64)
v3 \wedge y = IntVal64 v4)
 using assms valid32or64 valid32 by (metis valid-int64 valid-value.simps(2))
1.5.4
        Example Data-flow Optimisations
lemma a\theta a-helper [simp]:
 assumes a: valid-value v (IntegerStamp 32 lo hi)
 shows intval-add v (IntVal32 0) = v
 obtain v32 :: int32 where v = (IntVal32 \ v32) using a valid32 by blast
 then show ?thesis by simp
qed
lemma a0a: (BinaryExpr BinAdd (LeafExpr 1 default-stamp) (ConstantExpr (IntVal32
\theta)))
            \geq (LeafExpr\ 1\ default\text{-}stamp)
 by (auto simp add: evaltree.LeafExpr)
```

```
\mathbf{lemma}\ xyx\text{-}y\text{-}helper\ [simp]:
 assumes valid-value x (IntegerStamp 32 lox hix)
 assumes valid-value y (IntegerStamp 32 loy hiy)
 shows intval-add x (intval-sub y x) = y
proof -
 obtain x32 :: int32 where x: x = (IntVal32 x32) using assms valid32 by blast
 obtain y32 :: int32 where y: y = (IntVal32 \ y32) using assms valid32 by blast
 show ?thesis using x y by simp
qed
lemma xyx-y:
 (BinaryExpr BinAdd
    (LeafExpr x (IntegerStamp 32 lox hix))
    (BinaryExpr BinSub
      (LeafExpr y (IntegerStamp 32 loy hiy))
      (LeafExpr \ x \ (IntegerStamp \ 32 \ lox \ hix))))
  \geq (LeafExpr\ y\ (IntegerStamp\ 32\ loy\ hiy))
 by (auto simp add: LeafExpr)
```

#### 1.5.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's mono operator (HOL.Orderings theory), proving instantiations like mono(UnaryExprop), but it is not obvious how to do this for both arguments of the binary expressions.

```
lemma mono-unary:
   assumes e \ge e'
   shows (UnaryExpr\ op\ e) \ge (UnaryExpr\ op\ e')
   using UnaryExpr\ assms by auto

lemma mono-binary:
   assumes x \ge x'
   assumes y \ge y'
   shows (BinaryExpr\ op\ x\ y) \ge (BinaryExpr\ op\ x'\ y')
   using BinaryExpr\ assms by auto

lemma never-void:
   assumes [m,\ p] \vdash x \mapsto xv
   assumes valid-value\ xv\ (stamp-expr\ xe)
   shows stamp-expr\ xe \ne VoidStamp
   using valid-value.simps
   using assms(2) by force
```

```
\mathbf{lemma}\ compatible\text{-}trans:
  compatible x \ y \land compatible \ y \ z \Longrightarrow compatible \ x \ z
 by (smt\ (verit,\ best)\ compatible.elims(2)\ compatible.simps(1))
lemma compatible-refl:
  compatible \ x \ y \Longrightarrow compatible \ y \ x
  using compatible.elims(2) by fastforce
lemma mono-conditional:
 assumes ce \geq ce'
 assumes te > te'
 assumes fe > fe'
 shows (ConditionalExpr ce te fe) \geq (ConditionalExpr ce' te' fe')
proof (simp only: le-expr-def; (rule allI)+; rule impI)
  fix m p v
 assume a: [m,p] \vdash ConditionalExpr ce te fe \mapsto v
  then obtain cond where ce: [m,p] \vdash ce \mapsto cond by auto
 then have ce': [m,p] \vdash ce' \mapsto cond using assms by auto
 define branch where b: branch = (if val-to-bool cond then to else fe)
  define branch' where b': branch' = (if \ val\ -to\ -bool \ cond \ then \ te' \ else \ fe')
  then have beval: [m,p] \vdash branch \mapsto v using a b ce evalDet by blast
  from beval have [m,p] \vdash branch' \mapsto v using assms b b' by auto
  then show [m,p] \vdash ConditionalExpr ce' te' fe' \mapsto v
   using ConditionalExpr ce' b'
   using a by blast
qed
```

# 1.6 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level  $bin_eval / unary_eval$  level, simply by saying  $unfoldingunfold_evaltree$ .

```
lemma unfold-valid32 [simp]:
  valid-value y (constantAsStamp (IntVal32 v)) = (y = IntVal32 v)
  by (induction y; auto dest: signed-word-eqI)

lemma unfold-valid64 [simp]:
  valid-value y (constantAsStamp (IntVal64 v)) = (y = IntVal64 v)
  by (induction y; auto dest: signed-word-eqI)
```

```
lemma unfold-const:
 shows ([m,p] \vdash ConstantExpr \ c \mapsto v) = (valid-value \ v \ (constantAsStamp \ c) \land v
= c
 by blast
corollary unfold-const32:
 shows ([m,p] \vdash ConstantExpr (IntVal32 c) \mapsto v) = (v = IntVal32 c)
 using unfold-valid32 by blast
corollary unfold-const64:
 shows ([m,p] \vdash ConstantExpr (IntVal64 c) \mapsto v) = (v = IntVal64 c)
 using unfold-valid64 by blast
lemma unfold-binary:
 shows ([m,p] \vdash BinaryExpr \ op \ xe \ ye \mapsto val) = (\exists \ x \ y.
         (([m,p] \vdash xe \mapsto x) \land
          ([m,p] \vdash ye \mapsto y) \land
          (val = bin-eval \ op \ x \ y) \land
          (val \neq UndefVal)
       )) (is ?L = ?R)
proof (intro iffI)
 assume 3: ?L
 show ?R by (rule evaltree.cases[OF 3]; blast+)
next
 assume ?R
 then obtain x \ y where [m,p] \vdash xe \mapsto x
       and [m,p] \vdash ye \mapsto y
       and val = bin-eval \ op \ x \ y
       and val \neq UndefVal
   by auto
 then show ?L
    by (rule BinaryExpr)
qed
lemma unfold-unary:
 shows ([m,p] \vdash UnaryExpr \ op \ xe \mapsto val)
        = (\exists x.
           (([m,p] \vdash xe \mapsto x) \land
            (val = unary-eval \ op \ x) \land
            (val \neq UndefVal)
           )) (is ?L = ?R)
 by auto
lemmas unfold-evaltree =
  unfold-binary
  unfold-unary
```

```
unfold-const32
unfold-const64
unfold-valid32
unfold-valid64
```

end

## 2 Tree to Graph

```
theory TreeToGraph
imports
Semantics.IRTreeEval
Graph.IRGraph
begin
```

### 2.1 Subgraph to Data-flow Tree

```
fun find-node-and-stamp :: IRGraph \Rightarrow (IRNode \times Stamp) \Rightarrow ID option where find-node-and-stamp g (n,s) = find (\lambda i. \ kind \ g \ i = n \land stamp \ g \ i = s) (sorted-list-of-set(ids \ g))
```

 $\mathbf{export\text{-}code}\ \mathit{find}\text{-}\mathit{node}\text{-}\mathit{and}\text{-}\mathit{stamp}$ 

```
fun is-preevaluated :: IRNode ⇒ bool where is-preevaluated (InvokeNode n - - - - -) = True | is-preevaluated (InvokeWithExceptionNode n - - - - -) = True | is-preevaluated (NewInstanceNode n - - -) = True | is-preevaluated (LoadFieldNode n - - -) = True | is-preevaluated (SignedDivNode n - - - -) = True | is-preevaluated (SignedRemNode n - - - - -) = True | is-preevaluated (ValuePhiNode n - - - - -) = True | is-preevaluated - = False
```

#### inductive

```
rep :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool \ (-\vdash - \simeq -55) for g where ConstantNode: \\ \llbracket kind \ g \ n = ConstantNode \ c \rrbracket \\ \Rightarrow g \vdash n \simeq (ConstantExpr \ c) \mid
```

```
ParameterNode:
```

```
\llbracket kind \ g \ n = ParameterNode \ i;

stamp \ g \ n = s \rrbracket

\implies g \vdash n \simeq (ParameterExpr \ i \ s) \mid
```

```
Conditional Node:
\llbracket kind\ g\ n = ConditionalNode\ c\ t\ f;
  g \vdash c \simeq ce;
  g \vdash t \simeq te;
  g \vdash f \simeq fe
  \implies g \vdash n \simeq (ConditionalExpr \ ce \ te \ fe) \mid
AbsNode:
[kind\ g\ n = AbsNode\ x;]
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryAbs\ xe) \mid
NotNode:
\llbracket kind\ g\ n = NotNode\ x;
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid
NegateNode:
[kind\ g\ n = NegateNode\ x;]
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (\mathit{UnaryExpr}\ \mathit{UnaryNeg}\ \mathit{xe}) \mid
LogicNegationNode:
[kind\ g\ n = LogicNegationNode\ x;]
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe) \mid
AddNode:
[kind\ g\ n = AddNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye) \mid
MulNode:
\llbracket kind\ g\ n = MulNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinMul\ xe\ ye) \mid
SubNode:
[kind\ g\ n = SubNode\ x\ y;]
  g \vdash x \simeq xe;
 g \vdash y \simeq ye ]\!]
  \implies g \vdash n \simeq (BinaryExpr\ BinSub\ xe\ ye) \mid
AndNode:
[kind\ g\ n = AndNode\ x\ y;
```

```
g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinAnd\ xe\ ye) \mid
OrNode:
[kind\ g\ n=OrNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinOr\ xe\ ye) \mid
XorNode:
[kind\ g\ n = XorNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinXor\ xe\ ye) \mid
ShortCircuitOrNode:
[kind\ g\ n = ShortCircuitOrNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinShortCircuitOr\ xe\ ye) \mid
LeftShiftNode:
[kind\ g\ n = LeftShiftNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinLeftShift\ xe\ ye) \mid
RightShiftNode:
[kind\ g\ n = RightShiftNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinRightShift\ xe\ ye) \mid
Unsigned Right Shift Node: \\
\llbracket kind\ g\ n = UnsignedRightShiftNode\ x\ y;
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
\implies g \vdash n \cong (BinaryExpr\ BinURightShift\ xe\ ye)
IntegerBelowNode:
[kind\ g\ n = IntegerBelowNode\ x\ y;]
 g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinIntegerBelow\ xe\ ye) \mid
IntegerEqualsNode:
[kind\ g\ n = IntegerEqualsNode\ x\ y;]
 g \vdash x \simeq xe;
```

```
g \vdash y \simeq ye
    \implies g \vdash n \simeq (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mid
  IntegerLessThanNode:
  [kind\ g\ n = IntegerLessThanNode\ x\ y;]
    g \vdash x \simeq xe;
    g \vdash y \simeq ye
    \implies g \vdash n \simeq (BinaryExpr\ BinIntegerLessThan\ xe\ ye) \mid
  NarrowNode:
  \llbracket kind\ g\ n = NarrowNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (\textit{UnaryExpr}(\textit{UnaryNarrow inputBits resultBits}) xe) \mid
  SignExtendNode:
  \llbracket kind\ g\ n = SignExtendNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnarySignExtend\ inputBits\ resultBits)\ xe) \mid
  ZeroExtendNode:
  \llbracket kind\ g\ n = ZeroExtendNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (\mathit{UnaryExpr}\ (\mathit{UnaryZeroExtend}\ inputBits\ resultBits)\ xe) \mid
  LeafNode:
  [is-preevaluated (kind g n);
    stamp \ g \ n = s
    \implies g \vdash n \simeq (\overline{\textit{LeafExpr } n \ s}) \mid
  RefNode:
  [kind\ g\ n=RefNode\ n';
    g \vdash n' \simeq e
    \implies q \vdash n \simeq e
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprE) rep.
inductive
  replist :: IRGraph \Rightarrow ID \ list \Rightarrow IRExpr \ list \Rightarrow bool \ (- \vdash - \simeq_L - 55)
  for g where
  RepNil:
  g \vdash [] \simeq_L [] \mid
  RepCons:
  [g \vdash x \simeq xe;
```

```
g \vdash xs \simeq_L xse \rrbracket
\Rightarrow g \vdash x\#xs \simeq_L xe\#xse

code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprListE) replist.

definition wf-term-graph :: MapState \Rightarrow Params \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool \ \mathbf{where}
wf-term-graph m \ p \ g \ n = (\exists \ e. \ (g \vdash n \simeq e) \land (\exists \ v. \ ([m, \ p] \vdash e \mapsto v)))

values \{t. \ eg2\text{-}sq \vdash 4 \simeq t\}
```

#### 2.2 Data-flow Tree to Subgraph

```
fun unary-node :: IRUnaryOp \Rightarrow ID \Rightarrow IRNode where unary-node UnaryAbs\ v = AbsNode\ v\ | unary-node UnaryNot\ v = NotNode\ v\ | unary-node UnaryNeg\ v = NegateNode\ v\ | unary-node UnaryLogicNegation\ v = LogicNegationNode\ v\ | unary-node UnaryNarrow\ ib\ rb)\ v = NarrowNode\ ib\ rb\ v\ | unary-node UnarySignExtend\ ib\ rb)\ v = SignExtendNode\ ib\ rb\ v\ | unary-node UnaryZeroExtend\ ib\ rb)\ v = ZeroExtendNode\ ib\ rb\ v
```

```
fun bin-node :: IRBinaryOp ⇒ ID ⇒ ID ⇒ IRNode where bin-node BinAdd x y = AddNode x y | bin-node BinMul x y = MulNode x y | bin-node BinSub x y = SubNode x y | bin-node BinAnd x y = AndNode x y | bin-node BinOr x y = OrNode x y | bin-node BinXor x y = XorNode x y | bin-node BinShortCircuitOr x y = ShortCircuitOrNode x y | bin-node BinLeftShift x y = LeftShiftNode x y | bin-node BinRightShift x y = RightShiftNode x y | bin-node BinIntegerEquals x y = IntegerEqualsNode x y | bin-node BinIntegerLessThan x y = IntegerLessThanNode x y | bin-node BinIntegerBelow x y = IntegerBelowNode x y | bin-node BinIntegerBelow x y = IntegerBelowNode x y
```

```
fun choose-32-64 :: int \Rightarrow int64 \Rightarrow Value where choose-32-64 bits val =
(if \ bits = 32
then \ (IntVal32 \ (ucast \ val))
else \ (IntVal64 \ (val)))
```

inductive fresh-id ::  $IRGraph \Rightarrow ID \Rightarrow bool$  where

```
n \notin ids \ g \Longrightarrow fresh-id \ g \ n
code-pred fresh-id.
fun get-fresh-id :: IRGraph \Rightarrow ID where
  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1
export-code get-fresh-id
value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)
inductive
  unrep :: IRGraph \Rightarrow IRExpr \Rightarrow (IRGraph \times ID) \Rightarrow bool (- \oplus - \leadsto - 55)
   where
  ConstantNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ n 
Vert
    \implies g \oplus (ConstantExpr\ c) \rightsquigarrow (g,\ n)
  ConstantNodeNew:\\
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = None;
    n = get-fresh-id g;
    g' = add-node n (ConstantNode c, constantAsStamp c) g
    \implies g \oplus (ConstantExpr\ c) \leadsto (g',\ n)
  ParameterNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = Some\ n \rrbracket
    \implies g \oplus (ParameterExpr \ i \ s) \rightsquigarrow (g, \ n) \mid
  ParameterNodeNew:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = None;
    n = qet-fresh-id q;
    g' = add-node n (ParameterNode i, s) g
    \implies g \oplus (ParameterExpr \ i \ s) \rightsquigarrow (g', n) \mid
  Conditional Node Same:
  \llbracket g \oplus ce \leadsto (g2, c);
    g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \rightsquigarrow (g4, f);
    s' = meet (stamp g \not\downarrow t) (stamp g \not\downarrow f);
    find-node-and-stamp g4 (ConditionalNode c t f, s') = Some n
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \rightsquigarrow (g4, n)
  Conditional Node New:
  \llbracket g \oplus ce \leadsto (g2, c);
```

```
g2 \oplus te \rightsquigarrow (g3, t);
    g3 \oplus fe \leadsto (g4, f);
    s' = meet (stamp \ g4 \ t) (stamp \ g4 \ f);
    find-node-and-stamp g4 (ConditionalNode c t f, s) = None;
    n = get-fresh-id g4;
    g' = add-node n (ConditionalNode c t f, s') g4
    \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g', n)
  UnaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
    find-node-and-stamp g2 (unary-node op x, s') = Some n
    \implies g \oplus (UnaryExpr \ op \ xe) \leadsto (g2, n)
  UnaryNodeNew:
  \llbracket q \oplus xe \rightsquigarrow (q2, x);
    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
    find-node-and-stamp g2 (unary-node op x, s') = None;
    n = get-fresh-id g2;
    g' = add-node n (unary-node op x, s') g2
    \implies g \oplus (UnaryExpr \ op \ xe) \leadsto (g', n)
  BinaryNodeSame:
  \llbracket g \oplus xe \leadsto (g2, x);
    g2 \oplus ye \rightsquigarrow (g3, y);
    s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y);
    find-node-and-stamp g3 (bin-node op x y, s') = Some n
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g3, n) \mid
  BinaryNodeNew:
  \llbracket g \oplus xe \rightsquigarrow (g2, x); \rrbracket
    g2 \oplus ye \rightsquigarrow (g3, y);
    s' = stamp-binary op (stamp g3 x) (stamp g3 y);
    find-node-and-stamp g3 (bin-node op x y, s') = None;
    n = get-fresh-id g3;
    g' = add-node n (bin-node op x y, s') g3
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g', \ n)
  AllLeafNodes:
  [stamp\ q\ n=s;
    is-preevaluated (kind \ g \ n)
    \implies g \oplus (LeafExpr \ n \ s) \leadsto (g, \ n)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ unrep E)
  unrep.
```

```
unrepRules
 find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n
                           g \oplus ConstantExpr c \leadsto (g, n)
  find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None
                                   n = get-fresh-id g
           g' = add-node n (ConstantNode c, constantAsStamp c) g'
                          g \oplus ConstantExpr \ c \leadsto (g', n)
           \mathit{find}\text{-}\mathit{node}\text{-}\mathit{and}\text{-}\mathit{stamp}\ g\ (\mathit{ParameterNode}\ i,\ s) = \mathit{Some}\ n
                         g \oplus ParameterExpr \ i \ s \leadsto (g, \ n)
             find-node-and-stamp g (ParameterNode i, s) = None
       n = get-fresh-id g g' = add-node n (ParameterNode i, s) g
                        g \oplus ParameterExpr i s \leadsto (g', n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
       find-node-and-stamp g4 (ConditionalNode c t f, s) = Some n
                    g \oplus ConditionalExpr \ ce \ \overline{te \ fe} \leadsto (g4, n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
         find-node-and-stamp g4 (ConditionalNode c t f, s') = None
  n = get-fresh-id g4 g' = add-node n (ConditionalNode c t f, s') g4
                     g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g', n)
                            g \oplus xe \leadsto (g2, x)
s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
           find-node-and-stamp g3 (bin-node op x y, s') = Some n
                       g \oplus BinaryExpr \ op \ xe \ ye \leadsto (g3, \ n)
                                  g \oplus xe \leadsto (g2, x)
                              s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
             find-node-and-stamp g3 (bin-node op xy, s') = None
                                   g' = add-node n (bin-node op x y, s') g3
      n = get-fresh-id g3
                       q \oplus BinaryExpr \ op \ xe \ ye \leadsto (q', n)
          g \oplus xe \leadsto (g2, x)
                                    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          find-node-and-stamp g2 (unary-node op x, s') = Some n
                         g \oplus UnaryExpr \ op \ xe \leadsto (g2, n)
                                    s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          g \oplus xe \leadsto (g2, x)
            find-node-and-stamp g2 (unary-node op x, s') = None
     n = get-fresh-id g2
                                g' = add-node n (unary-node op x, s') g2
                         g \oplus UnaryExpr \ op \ xe \leadsto (g', n)
                 stamp \ g \ n = s is-preevaluated \ (kind \ g \ n)
                            g \oplus LeafExpr \ n \ s \leadsto (g, n)
```

```
values \{(n, g) : (eg2\text{-}sq \oplus sq\text{-}param0 \leadsto (g, n))\}
```

#### 2.3 Lift Data-flow Tree Semantics

```
definition encodeeval :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow ID \Rightarrow Value \Rightarrow bool ([-,-,-] \vdash - \mapsto - 50) where encodeeval\ g\ m\ p\ n\ v = (\exists\ e.\ (g \vdash n \simeq e) \land ([m,p] \vdash e \mapsto v))
```

#### 2.4 Graph Refinement

```
definition graph-represents-expression :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool (- \vdash - \trianglelefteq - 50) where (g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \land (e' \leq e))
```

**definition** graph-refinement :: 
$$IRGraph \Rightarrow IRGraph \Rightarrow bool$$
 where graph-refinement  $g_1$   $g_2 = ((ids \ g_1 \subseteq ids \ g_2) \land (\forall \ n \ . \ n \in ids \ g_1 \longrightarrow (\forall \ e. \ (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$ 

**lemma** graph-refinement:

```
graph-refinement g1 g2 \Longrightarrow (\forall n \ m \ p \ v. \ n \in ids \ g1 \longrightarrow ([g1, \ m, \ p] \vdash n \mapsto v) \longrightarrow ([g2, \ m, \ p] \vdash n \mapsto v))
```

**by** (meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def)

#### 2.5 Maximal Sharing

```
definition maximal-sharing:
```

```
maximal-sharing g = (\forall n_1 \ n_2 \ . \ n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow (\forall e. \ (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land (stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2))
```

end