

# Veriopt

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## Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

## Contents

<b>1</b>	<b>Runtime Values and Arithmetic</b>	<b>3</b>
<b>2</b>	<b>Nodes</b>	<b>8</b>
2.1	Types of Nodes . . . . .	8
2.2	Hierarchy of Nodes . . . . .	15
<b>3</b>	<b>Stamp Typing</b>	<b>21</b>
<b>4</b>	<b>Graph Representation</b>	<b>25</b>
4.0.1	Example Graphs . . . . .	29
<b>5</b>	<b>Data-flow Semantics</b>	<b>29</b>
<b>6</b>	<b>Control-flow Semantics</b>	<b>35</b>
6.1	Heap . . . . .	35
6.2	Intraprocedural Semantics . . . . .	35
6.3	Interprocedural Semantics . . . . .	38
6.4	Big-step Execution . . . . .	39
6.4.1	Heap Testing . . . . .	40
<b>7</b>	<b>Proof Infrastructure</b>	<b>41</b>
7.1	Bisimulation . . . . .	41
7.2	Formedness Properties . . . . .	42
7.3	Dynamic Frames . . . . .	43
7.4	Graph Rewriting . . . . .	47
7.5	Stuttering . . . . .	49
<b>8</b>	<b>Canonicalization Phase</b>	<b>50</b>

# 1 Runtime Values and Arithmetic

```

theory Values
  imports
    HOL-Library.Word
    HOL-Library.Signed-Division
    HOL-Library.Float
    HOL-Library.LaTeXsugar
begin

```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

```

type-synonym objref = nat option

```

```

datatype Value =
  UndefVal |
  IntVal (v-bits: int) (v-int: int) |
  FloatVal (v-bits: int) (v-float: float) |
  ObjRef objref |
  ObjStr string

```

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each (IntVal b v) should satisfy the invariants:

$$b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}$$

$$1 < b \implies v \equiv \text{scast } (\text{signed-take-bit } b \ v)$$

```

type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean

```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wf-value* function.

— Check that a signed int value does not overflow b bits.

```

fun fits-into-n :: nat  $\Rightarrow$  int  $\Rightarrow$  bool where
  fits-into-n b val = ((-(2b-1))  $\leq$  val)  $\wedge$  (val < (2b-1)))

```

**definition** *int-bits-allowed* :: *int set* **where**  
*int-bits-allowed* = {32}

**fun** *wf-value* :: *Value*  $\Rightarrow$  *bool* **where**  
*wf-value* (*IntVal* *b v*) =  
 (*b*  $\in$  *int-bits-allowed*  $\wedge$   
 (*nat b* = 1  $\longrightarrow$  (*v* = 0  $\vee$  *v* = 1))  $\wedge$   
 (*nat b* > 1  $\longrightarrow$  *fits-into-n* (*nat b*) *v*)) |  
*wf-value* - = *True*

**fun** *wf-bool* :: *Value*  $\Rightarrow$  *bool* **where**  
*wf-bool* (*IntVal* *b v*) = (*b* = 1  $\wedge$  (*v* = 0  $\vee$  *v* = 1)) |  
*wf-bool* - = *False*

**value** *sint*(*word-of-int* (1) :: *int1*)

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of *intval* functions correspond to the JVM arithmetic operations.

**fun** *intval-add* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-add* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) + (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) + (*word-of-int* *v2* :: *int64*)))))) |  
*intval-add* - = *UndefVal*

**instantiation** *Value* :: *plus*  
**begin**

**definition** *plus-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*plus-Value* = *intval-add*

**instance**  $\langle$ *proof* $\rangle$   
**end**

**fun** *intval-sub* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-sub* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) - (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) - (*word-of-int* *v2* :: *int64*)))))) |

```

    intval-sub - - =.UndefVal

instantiation Value :: minus
begin

definition minus-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    minus-Value = intval-sub

instance  $\langle$ proof $\rangle$ 
end

fun intval-mul :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-mul (IntVal b1 v1) (IntVal b2 v2) =
        (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32
         then (IntVal 32 (sint((word-of-int v1 :: int32) * (word-of-int v2 :: int32))))
         else (IntVal 64 (sint((word-of-int v1 :: int64) * (word-of-int v2 :: int64))))) |
    intval-mul - - =.UndefVal

instantiation Value :: times
begin

definition times-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    times-Value = intval-mul

instance  $\langle$ proof $\rangle$ 
end

fun intval-div :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-div (IntVal b1 v1) (IntVal b2 v2) =
        (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32
         then (IntVal 32 (sint((word-of-int(v1 sdiv v2) :: int32))))
         else (IntVal 64 (sint((word-of-int(v1 sdiv v2) :: int64))))) |
    intval-div - - =.UndefVal

instantiation Value :: divide
begin

definition divide-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    divide-Value = intval-div

instance  $\langle$ proof $\rangle$ 
end

fun intval-mod :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-mod (IntVal b1 v1) (IntVal b2 v2) =
        (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32

```

```

      then (IntVal 32 (sint((word-of-int(v1 smod v2) :: int32))))
      else (IntVal 64 (sint((word-of-int(v1 smod v2) :: int64)))) |
    intval-mod - - = UndefVal

```

```

instantiation Value :: modulo
begin

```

```

definition modulo-Value :: Value ⇒ Value ⇒ Value where
    modulo-Value = intval-mod

```

```

instance ⟨proof⟩
end

```

```

fun intval-and :: Value ⇒ Value ⇒ Value (infix &&* 64) where
    intval-and (IntVal b1 v1) (IntVal b2 v2) =
      (if b1 ≤ 32 ∧ b2 ≤ 32
       then (IntVal 32 (sint((word-of-int v1 :: int32) AND (word-of-int v2 :: int32))))
       else (IntVal 64 (sint((word-of-int v1 :: int64) AND (word-of-int v2 :: int64)))))
    |
    intval-and - - = UndefVal

```

```

fun intval-or :: Value ⇒ Value ⇒ Value (infix ||* 59) where
    intval-or (IntVal b1 v1) (IntVal b2 v2) =
      (if b1 ≤ 32 ∧ b2 ≤ 32
       then (IntVal 32 (sint((word-of-int v1 :: int32) OR (word-of-int v2 :: int32))))
       else (IntVal 64 (sint((word-of-int v1 :: int64) OR (word-of-int v2 :: int64)))))
    |
    intval-or - - = UndefVal

```

```

fun intval-xor :: Value ⇒ Value ⇒ Value (infix ^* 59) where
    intval-xor (IntVal b1 v1) (IntVal b2 v2) =
      (if b1 ≤ 32 ∧ b2 ≤ 32
       then (IntVal 32 (sint((word-of-int v1 :: int32) XOR (word-of-int v2 :: int32))))
       else (IntVal 64 (sint((word-of-int v1 :: int64) XOR (word-of-int v2 :: int64)))))
    |
    intval-xor - - = UndefVal

```

```

fun intval-not :: Value ⇒ Value where
    intval-not (IntVal b v) =
      (if b ≤ 32
       then (IntVal 32 (sint(NOT (word-of-int v :: int32))))
       else (IntVal 64 (sint(NOT (word-of-int v :: int64))))) |
    intval-not - = UndefVal

```

**lemma** *intval-add-bits*:  
**assumes**  $b$ : *IntVal*  $b$   $res = intval\text{-}add\ x\ y$   
**shows**  $b = 32 \vee b = 64$   
 $\langle proof \rangle$

**lemma** *word-add-sym*:  
**shows**  $word\text{-}of\text{-}int\ v1 + word\text{-}of\text{-}int\ v2 = word\text{-}of\text{-}int\ v2 + word\text{-}of\text{-}int\ v1$   
 $\langle proof \rangle$

**lemma** *intval-add-sym1*:  
**shows**  $intval\text{-}add\ (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) = intval\text{-}add\ (IntVal\ b2\ v2)\ (IntVal\ b1\ v1)$   
 $\langle proof \rangle$

**lemma** *intval-add-sym*:  
**shows**  $intval\text{-}add\ x\ y = intval\text{-}add\ y\ x$   
 $\langle proof \rangle$

**lemma** *word-add-assoc*:  
**shows**  $(word\text{-}of\text{-}int\ v1 + word\text{-}of\text{-}int\ v2) + word\text{-}of\text{-}int\ v3$   
 $= word\text{-}of\text{-}int\ v1 + (word\text{-}of\text{-}int\ v2 + word\text{-}of\text{-}int\ v3)$   
 $\langle proof \rangle$

**lemma** *wf-int32*:  
**assumes**  $wf$ : *wf-value*  $(IntVal\ b\ v)$   
**shows**  $b = 32$   
 $\langle proof \rangle$

**lemma** *wf-int [simp]*:  
**assumes**  $wf$ : *wf-value*  $(IntVal\ w\ n)$   
**assumes** *notbool*:  $w = 32$   
**shows**  $sint((word\text{-}of\text{-}int\ n) :: int32) = n$   
 $\langle proof \rangle$

**lemma** *add32-0*:  
**assumes**  $z$ : *wf-value*  $(IntVal\ 32\ 0)$   
**assumes**  $b$ : *wf-value*  $(IntVal\ 32\ b)$   
**shows**  $intval\text{-}add\ (IntVal\ 32\ 0)\ (IntVal\ 32\ b) = (IntVal\ 32\ (b))$

```

    <proof>

code-deps intval-add
code-thms intval-add

lemma intval-add (IntVal 32 ( $2^{31}-1$ )) (IntVal 32 ( $2^{31}-1$ )) = IntVal 32 (-2)
  <proof>
lemma intval-add (IntVal 64 ( $2^{31}-1$ )) (IntVal 32 ( $2^{31}-1$ )) = IntVal 64 4294967294
  <proof>

end

```

## 2 Nodes

### 2.1 Types of Nodes

```

theory IRNodes
  imports
    Values2
begin

```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each *IRNode* constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The *inputs\_of* and *successors\_of* functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write *INPUT* (or special case thereof) instead of *ID* for input edges, and *SUCC* instead of *ID* for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```

type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID

datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
  | AddNode (ir-x: INPUT) (ir-y: INPUT)

```



- | *AndNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
- | *BeginNode* (*ir-next*: *SUCC*)
- | *BytecodeExceptionNode* (*ir-arguments*: *INPUT list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *ConditionalNode* (*ir-condition*: *INPUT-COND*) (*ir-trueValue*: *INPUT*) (*ir-falseValue*: *INPUT*)
- | *ConstantNode* (*ir-const*: *Value*)
- | *DynamicNewArrayNode* (*ir-elementType*: *INPUT*) (*ir-length*: *INPUT*) (*ir-voidClass-opt*: *INPUT option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *EndNode*
- | *ExceptionObjectNode* (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
  
- | *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)
- | *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)
- | *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
- | *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
- | *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)
- | *IsNullNode* (*ir-value*: *INPUT*)
- | *KillingBeginNode* (*ir-next*: *SUCC*)
- | *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)
- | *LogicNegationNode* (*ir-value*: *INPUT-COND*)
- | *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)
- | *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*)
- | *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
- | *NegateNode* (*ir-value*: *INPUT*)
- | *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
- | *NotNode* (*ir-value*: *INPUT*)
- | *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
- | *ParameterNode* (*ir-index*: *nat*)
- | *PiNode* (*ir-object*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*)
- | *ReturnNode* (*ir-result-opt*: *INPUT option*) (*ir-memoryMap-opt*: *INPUT-EXT*)

```

option)
| ShortCircuitOrNode (ir-x: INPUT-COND) (ir-y: INPUT-COND)
| SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XORNode (ir-x: INPUT) (ir-y: INPUT)
| NoNode

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-

```

*Value, falseValue*] |  
*inputs-of-ConstantNode:*  
*inputs-of (ConstantNode const) = []* |  
*inputs-of-DynamicNewArrayNode:*  
*inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)*  
 |  
*inputs-of-EndNode:*  
*inputs-of (EndNode) = []* |  
*inputs-of-ExceptionObjectNode:*  
*inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter)* |  
*inputs-of-FrameState:*  
*inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)*  
*= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list virtualObjectMappings)* |  
*inputs-of-IfNode:*  
*inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition]* |  
*inputs-of-IntegerEqualsNode:*  
*inputs-of (IntegerEqualsNode x y) = [x, y]* |  
*inputs-of-IntegerLessThanNode:*  
*inputs-of (IntegerLessThanNode x y) = [x, y]* |  
*inputs-of-InvokeNode:*  
*inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list stateAfter)* |  
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge) = callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list stateAfter)* |  
*inputs-of-IsNullNode:*  
*inputs-of (IsNullNode value) = [value]* |  
*inputs-of-KillingBeginNode:*  
*inputs-of (KillingBeginNode next) = []* |  
*inputs-of-LoadFieldNode:*  
*inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object)* |  
*inputs-of-LogicNegationNode:*  
*inputs-of (LogicNegationNode value) = [value]* |  
*inputs-of-LoopBeginNode:*  
*inputs-of (LoopBeginNode ends overflowGuard stateAfter next) = ends @ (opt-to-list overflowGuard) @ (opt-to-list stateAfter)* |  
*inputs-of-LoopEndNode:*  
*inputs-of (LoopEndNode loopBegin) = [loopBegin]* |  
*inputs-of-LoopExitNode:*  
*inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list stateAfter)* |  
*inputs-of-MergeNode:*  
*inputs-of (MergeNode ends stateAfter next) = ends @ (opt-to-list stateAfter)* |  
*inputs-of-MethodCallTargetNode:*  
*inputs-of (MethodCallTargetNode targetMethod arguments) = arguments* |

*inputs-of-MulNode:*  
*inputs-of (MulNode x y) = [x, y] |*  
*inputs-of-NegateNode:*  
*inputs-of (NegateNode value) = [value] |*  
*inputs-of-NewArrayNode:*  
*inputs-of (NewArrayNode length0 stateBefore next) = length0 # (opt-to-list stateBefore) |*  
*inputs-of-NewInstanceNode:*  
*inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list stateBefore) |*  
*inputs-of-NotNode:*  
*inputs-of (NotNode value) = [value] |*  
*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] |*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] |*  
*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object # (opt-to-list guard) |*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value # (opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

```

fun successors-of :: IRNode ⇒ ID list where
  successors-of-AbsNode:
    successors-of (AbsNode value) = [] |
  successors-of-AddNode:
    successors-of (AddNode x y) = [] |
  successors-of-AndNode:
    successors-of (AndNode x y) = [] |
  successors-of-BeginNode:
    successors-of (BeginNode next) = [next] |
  successors-of-BytecodeExceptionNode:
    successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |
  successors-of-ConditionalNode:
    successors-of (ConditionalNode condition trueValue falseValue) = [] |
  successors-of-ConstantNode:
    successors-of (ConstantNode const) = [] |
  successors-of-DynamicNewArrayNode:
    successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
next) = [next] |
  successors-of-EndNode:
    successors-of (EndNode) = [] |
  successors-of-ExceptionObjectNode:
    successors-of (ExceptionObjectNode stateAfter next) = [next] |
  successors-of-FrameState:
    successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] |
  successors-of-IfNode:
    successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor] |
  successors-of-IntegerEqualsNode:
    successors-of (IntegerEqualsNode x y) = [] |
  successors-of-IntegerLessThanNode:
    successors-of (IntegerLessThanNode x y) = [] |
  successors-of-InvokeNode:
    successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= [next] |
  successors-of-InvokeWithExceptionNode:
    successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter next exceptionEdge) = [next, exceptionEdge] |
  successors-of-IsNullNode:
    successors-of (IsNullNode value) = [] |
  successors-of-KillingBeginNode:
    successors-of (KillingBeginNode next) = [next] |
  successors-of-LoadFieldNode:
    successors-of (LoadFieldNode nid0 field object next) = [next] |
  successors-of-LogicNegationNode:
    successors-of (LogicNegationNode value) = [] |
  successors-of-LoopBeginNode:
    successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |

```

*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments) = [] |*  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*  
*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*  
*successors-of (ParameterNode index) = [] |*  
*successors-of-PiNode:*  
*successors-of (PiNode object guard) = [] |*  
*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignedDivNode:*  
*successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-SignedRemNode:*  
*successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-StartNode:*  
*successors-of (StartNode stateAfter next) = [next] |*  
*successors-of-StoreFieldNode:*  
*successors-of (StoreFieldNode nid0 field value stateAfter object next) = [next] |*  
*successors-of-SubNode:*  
*successors-of (SubNode x y) = [] |*  
*successors-of-UnwindNode:*  
*successors-of (UnwindNode exception) = [] |*  
*successors-of-ValuePhiNode:*  
*successors-of (ValuePhiNode nid0 values merge) = [] |*  
*successors-of-ValueProxyNode:*  
*successors-of (ValueProxyNode value loopExit) = [] |*  
*successors-of-XorNode:*  
*successors-of (XorNode x y) = [] |*  
*successors-of-NoNode: successors-of (NoNode) = [] |*

*successors-of-RefNode*:  $\text{successors-of } (\text{RefNode } \text{ref}) = [\text{ref}]$

**lemma** *inputs-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = *x* @ [*y*] @ *z*  
 ⟨*proof*⟩

**lemma** *successors-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = []  
 ⟨*proof*⟩

**lemma** *inputs-of* (*IfNode* *c* *t* *f*) = [*c*]  
 ⟨*proof*⟩

**lemma** *successors-of* (*IfNode* *c* *t* *f*) = [*t*, *f*]  
 ⟨*proof*⟩

**lemma** *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []  
 ⟨*proof*⟩

**end**

## 2.2 Hierarchy of Nodes

**theory** *IRNodeHierarchy*  
**imports** *IRNodes2*  
**begin**

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is*<ClassName>*Type* will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

**fun** *is-EndNode* :: *IRNode* ⇒ *bool* **where**  
   *is-EndNode* *EndNode* = *True* |  
   *is-EndNode* - = *False*

**fun** *is-ControlSinkNode* :: *IRNode* ⇒ *bool* **where**  
   *is-ControlSinkNode* *n* = ((*is-ReturnNode* *n*) ∨ (*is-UnwindNode* *n*))

**fun** *is-AbstractMergeNode* :: *IRNode* ⇒ *bool* **where**  
   *is-AbstractMergeNode* *n* = ((*is-LoopBeginNode* *n*) ∨ (*is-MergeNode* *n*))

**fun** *is-BeginStateSplitNode* :: *IRNode* ⇒ *bool* **where**  
   *is-BeginStateSplitNode* *n* = ((*is-AbstractMergeNode* *n*) ∨ (*is-ExceptionObjectNode* *n*) ∨ (*is-LoopExitNode* *n*) ∨ (*is-StartNode* *n*))

```

fun is-AbstractBeginNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractBeginNode n = ((is-BeginNode n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$ 
    (is-KillingBeginNode n))

fun is-AbstractNewArrayNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n)  $\vee$  (is-NewArrayNode
    n))

fun is-AbstractNewObjectNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n)  $\vee$  (is-NewInstanceNode
    n))

fun is-IntegerDivRemNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n)  $\vee$  (is-SignedRemNode n))

fun is-FixedBinaryNode :: IRNode  $\Rightarrow$  bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))

fun is-DeoptimizingFixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n)  $\vee$  (is-FixedBinaryNode
    n))

fun is-AbstractMemoryCheckpoint :: IRNode  $\Rightarrow$  bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n)  $\vee$  (is-InvokeNode
    n))

fun is-AbstractStateSplit :: IRNode  $\Rightarrow$  bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AccessFieldNode :: IRNode  $\Rightarrow$  bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n)  $\vee$  (is-StoreFieldNode n))

fun is-FixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractStateSplit n)
     $\vee$  (is-AccessFieldNode n)  $\vee$  (is-DeoptimizingFixedWithNextNode n))

fun is-WithExceptionNode :: IRNode  $\Rightarrow$  bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSplitNode n = ((is-IfNode n)  $\vee$  (is-WithExceptionNode n))

fun is-AbstractEndNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractEndNode n = ((is-EndNode n)  $\vee$  (is-LoopEndNode n))

fun is-FixedNode :: IRNode  $\Rightarrow$  bool where
  is-FixedNode n = ((is-AbstractEndNode n)  $\vee$  (is-ControlSinkNode n)  $\vee$  (is-ControlSplitNode
    n)  $\vee$  (is-FixedWithNextNode n))

```



```

fun is-FloatingGuardedNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode
n))

fun is-UnaryNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))

fun is-BinaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryArithmeticNode n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-MulNode
n)  $\vee$  (is-OrNode n)  $\vee$  (is-SubNode n)  $\vee$  (is-XorNode n))

fun is-BinaryNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n))

fun is-PhiNode :: IRNode  $\Rightarrow$  bool where
  is-PhiNode n = ((is-ValuePhiNode n))

fun is-IntegerLowerThanNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n))

fun is-CompareNode :: IRNode  $\Rightarrow$  bool where
  is-CompareNode n = ((is-IntegerEqualsNode n)  $\vee$  (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))

fun is-UnaryOpLogicNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-LogicNode :: IRNode  $\Rightarrow$  bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n)  $\vee$  (is-LogicNegationNode n)  $\vee$ 
(is-ShortCircuitOrNode n)  $\vee$  (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode  $\Rightarrow$  bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-AbstractLocalNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))

fun is-FloatingNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingNode n = ((is-AbstractLocalNode n)  $\vee$  (is-BinaryNode n)  $\vee$  (is-ConditionalNode
n)  $\vee$  (is-ConstantNode n)  $\vee$  (is-FloatingGuardedNode n)  $\vee$  (is-LogicNode n)  $\vee$ 
(is-PhiNode n)  $\vee$  (is-ProxyNode n)  $\vee$  (is-UnaryNode n))

fun is-CallTargetNode :: IRNode  $\Rightarrow$  bool where

```

```

is-CallTargetNode n = ((is-MethodCallTargetNode n))

fun is-ValueNode :: IRNode ⇒ bool where
  is-ValueNode n = ((is-CallTargetNode n) ∨ (is-FixedNode n) ∨ (is-FloatingNode
n))

fun is-VirtualState :: IRNode ⇒ bool where
  is-VirtualState n = ((is-FrameState n))

fun is-Node :: IRNode ⇒ bool where
  is-Node n = ((is-ValueNode n) ∨ (is-VirtualState n))

fun is-MemoryKill :: IRNode ⇒ bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode ⇒ bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n) ∨ (is-AddNode n) ∨ (is-AndNode
n) ∨ (is-MulNode n) ∨ (is-NegateNode n) ∨ (is-NotNode n) ∨ (is-OrNode n) ∨
(is-SubNode n) ∨ (is-XorNode n))

fun is-AnchoringNode :: IRNode ⇒ bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode ⇒ bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode ⇒ bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode ⇒ bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n) ∨ (is-AbstractMergeNode n) ∨
(is-FrameState n) ∨ (is-IfNode n) ∨ (is-IntegerDivRemNode n) ∨ (is-InvokeWithExceptionNode
n) ∨ (is-LoopBeginNode n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n)
∨ (is-ParameterNode n) ∨ (is-ReturnNode n) ∨ (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode ⇒ bool where
  is-Invoke n = ((is-InvokeNode n) ∨ (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode ⇒ bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode ⇒ bool where
  is-ValueProxy n = ((is-PiNode n) ∨ (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode ⇒ bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode ⇒ bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) ∨ (is-ConstantNode

```

$n))$

**fun** *is-StampInverter* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-StampInverter*  $n = ((is-NegateNode\ n) \vee (is-NotNode\ n))$

**fun** *is-GuardingNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-GuardingNode*  $n = ((is-AbstractBeginNode\ n))$

**fun** *is-SingleMemoryKill* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-SingleMemoryKill*  $n = ((is-BytecodeExceptionNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-KillingBeginNode\ n) \vee (is-StartNode\ n))$

**fun** *is-LIRLowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-LIRLowerable*  $n = ((is-AbstractBeginNode\ n) \vee (is-AbstractEndNode\ n) \vee (is-AbstractMergeNode\ n) \vee (is-BinaryOpLogicNode\ n) \vee (is-CallTargetNode\ n) \vee (is-ConditionalNode\ n) \vee (is-ConstantNode\ n) \vee (is-IfNode\ n) \vee (is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-IsNullNode\ n) \vee (is-LoopBeginNode\ n) \vee (is-PiNode\ n) \vee (is-ReturnNode\ n) \vee (is-SignedDivNode\ n) \vee (is-SignedRemNode\ n) \vee (is-UnaryOpLogicNode\ n) \vee (is-UnwindNode\ n))$

**fun** *is-GuardedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-GuardedNode*  $n = ((is-FloatingGuardedNode\ n))$

**fun** *is-ArithmeticLIRLowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticLIRLowerable*  $n = ((is-AbsNode\ n) \vee (is-BinaryArithmeticNode\ n) \vee (is-NotNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-SwitchFoldable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-SwitchFoldable*  $n = ((is-IfNode\ n))$

**fun** *is-VirtualizableAllocation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-VirtualizableAllocation*  $n = ((is-NewArrayNode\ n) \vee (is-NewInstanceNode\ n))$

**fun** *is-Unary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Unary*  $n = ((is-LoadFieldNode\ n) \vee (is-LogicNegationNode\ n) \vee (is-UnaryNode\ n) \vee (is-UnaryOpLogicNode\ n))$

**fun** *is-FixedNodeInterface* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedNodeInterface*  $n = ((is-FixedNode\ n))$

**fun** *is-BinaryCommutative* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryCommutative*  $n = ((is-AddNode\ n) \vee (is-AndNode\ n) \vee (is-IntegerEqualsNode\ n) \vee (is-MulNode\ n) \vee (is-OrNode\ n) \vee (is-XorNode\ n))$

**fun** *is-Canonicalizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Canonicalizable*  $n = ((is-BytecodeExceptionNode\ n) \vee (is-ConditionalNode\ n) \vee (is-DynamicNewArrayNode\ n) \vee (is-PhiNode\ n) \vee (is-PiNode\ n) \vee (is-ProxyNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

```

fun is-UncheckedInterfaceProvider :: IRNode  $\Rightarrow$  bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode
n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-ParameterNode n))

fun is-Binary :: IRNode  $\Rightarrow$  bool where
  is-Binary n = ((is-BinaryArithmeticNode n)  $\vee$  (is-BinaryNode n)  $\vee$  (is-BinaryOpLogicNode
n)  $\vee$  (is-CompareNode n)  $\vee$  (is-FixedBinaryNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-ArithmeticOperation :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n)  $\vee$  (is-UnaryArithmeticNode
n))

fun is-ValueNumberable :: IRNode  $\Rightarrow$  bool where
  is-ValueNumberable n = ((is-FloatingNode n)  $\vee$  (is-ProxyNode n))

fun is-Lowerable :: IRNode  $\Rightarrow$  bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n)  $\vee$  (is-AccessFieldNode n)  $\vee$ 
(is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$  (is-IntegerDivRemNode
n)  $\vee$  (is-UnwindNode n))

fun is-Virtualizable :: IRNode  $\Rightarrow$  bool where
  is-Virtualizable n = ((is-IsNullNode n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-PiNode n)
 $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode  $\Rightarrow$  bool where
  is-Simplifiable n = ((is-AbstractMergeNode n)  $\vee$  (is-BEGINNode n)  $\vee$  (is-IfNode
n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)  $\vee$  (is-NewArrayNode n))

fun is-StateSplit :: IRNode  $\Rightarrow$  bool where
  is-StateSplit n = ((is-AbstractStateSplit n)  $\vee$  (is-BEGINStateSplitNode n)  $\vee$  (is-StoreFieldNode
n))

fun is-sequential-node :: IRNode  $\Rightarrow$  bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False

```

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode  $\Rightarrow$  IRNode  $\Rightarrow$  bool where
  is-same-ir-node-type n1 n2 = (

```

$((is-AbsNode\ n1) \wedge (is-AbsNode\ n2)) \vee$   
 $((is-AddNode\ n1) \wedge (is-AddNode\ n2)) \vee$   
 $((is-AndNode\ n1) \wedge (is-AndNode\ n2)) \vee$   
 $((is-BEGINNode\ n1) \wedge (is-BEGINNode\ n2)) \vee$   
 $((is-BytecodeExceptionNode\ n1) \wedge (is-BytecodeExceptionNode\ n2)) \vee$   
 $((is-ConditionalNode\ n1) \wedge (is-ConditionalNode\ n2)) \vee$   
 $((is-ConstantNode\ n1) \wedge (is-ConstantNode\ n2)) \vee$   
 $((is-DynamicNewArrayNode\ n1) \wedge (is-DynamicNewArrayNode\ n2)) \vee$   
 $((is-EndNode\ n1) \wedge (is-EndNode\ n2)) \vee$   
 $((is-ExceptionObjectNode\ n1) \wedge (is-ExceptionObjectNode\ n2)) \vee$   
 $((is-FrameState\ n1) \wedge (is-FrameState\ n2)) \vee$   
 $((is-IfNode\ n1) \wedge (is-IfNode\ n2)) \vee$   
 $((is-IntegerEqualsNode\ n1) \wedge (is-IntegerEqualsNode\ n2)) \vee$   
 $((is-IntegerLessThanNode\ n1) \wedge (is-IntegerLessThanNode\ n2)) \vee$   
 $((is-InvokeNode\ n1) \wedge (is-InvokeNode\ n2)) \vee$   
 $((is-InvokeWithExceptionNode\ n1) \wedge (is-InvokeWithExceptionNode\ n2)) \vee$   
 $((is-IsNullNode\ n1) \wedge (is-IsNullNode\ n2)) \vee$   
 $((is-KillingBeginNode\ n1) \wedge (is-KillingBeginNode\ n2)) \vee$   
 $((is-LoadFieldNode\ n1) \wedge (is-LoadFieldNode\ n2)) \vee$   
 $((is-LogicNegationNode\ n1) \wedge (is-LogicNegationNode\ n2)) \vee$   
 $((is-LoopBeginNode\ n1) \wedge (is-LoopBeginNode\ n2)) \vee$   
 $((is-LoopEndNode\ n1) \wedge (is-LoopEndNode\ n2)) \vee$   
 $((is-LoopExitNode\ n1) \wedge (is-LoopExitNode\ n2)) \vee$   
 $((is-MergeNode\ n1) \wedge (is-MergeNode\ n2)) \vee$   
 $((is-MethodCallTargetNode\ n1) \wedge (is-MethodCallTargetNode\ n2)) \vee$   
 $((is-MulNode\ n1) \wedge (is-MulNode\ n2)) \vee$   
 $((is-NegateNode\ n1) \wedge (is-NegateNode\ n2)) \vee$   
 $((is-NewArrayNode\ n1) \wedge (is-NewArrayNode\ n2)) \vee$   
 $((is-NewInstanceNode\ n1) \wedge (is-NewInstanceNode\ n2)) \vee$   
 $((is-NotNode\ n1) \wedge (is-NotNode\ n2)) \vee$   
 $((is-OrNode\ n1) \wedge (is-OrNode\ n2)) \vee$   
 $((is-ParameterNode\ n1) \wedge (is-ParameterNode\ n2)) \vee$   
 $((is-PiNode\ n1) \wedge (is-PiNode\ n2)) \vee$   
 $((is-ReturnNode\ n1) \wedge (is-ReturnNode\ n2)) \vee$   
 $((is-ShortCircuitOrNode\ n1) \wedge (is-ShortCircuitOrNode\ n2)) \vee$   
 $((is-SignedDivNode\ n1) \wedge (is-SignedDivNode\ n2)) \vee$   
 $((is-StartNode\ n1) \wedge (is-StartNode\ n2)) \vee$   
 $((is-StoreFieldNode\ n1) \wedge (is-StoreFieldNode\ n2)) \vee$   
 $((is-SubNode\ n1) \wedge (is-SubNode\ n2)) \vee$   
 $((is-UnwindNode\ n1) \wedge (is-UnwindNode\ n2)) \vee$   
 $((is-ValuePhiNode\ n1) \wedge (is-ValuePhiNode\ n2)) \vee$   
 $((is-ValueProxyNode\ n1) \wedge (is-ValueProxyNode\ n2)) \vee$   
 $((is-XorNode\ n1) \wedge (is-XorNode\ n2))$

end

### 3 Stamp Typing

theory *Stamp*

```

imports Values2
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =
  VoidStamp
  | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

  | KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
  | RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | IllegalStamp

```

```

fun bit-bounds :: nat  $\Rightarrow$  (int  $\times$  int) where
  bit-bounds bits = (((2 ^ bits) div 2) * -1, ((2 ^ bits) div 2) - 1)

```

— A stamp which includes the full range of the type

```

fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp  $\Rightarrow$  bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
  empty-stamp stamp = IllegalStamp

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
  meet VoidStamp VoidStamp = VoidStamp |
  meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (min l1 l2) (max u1 u2))
  ) |

  meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
    MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
  ) |
  meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |

  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (

```

```

    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal32 (word-of-int l) else
UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where

```

```

  constantAsStamp (IntVal32 v) = (IntegerStamp 32 (sint v) (sint v)) |

```

```

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp

```

fun valid-value :: Stamp ⇒ Value ⇒ bool where
  valid-value (IntegerStamp b1 l h) (IntVal32 v) = ((sint v ≥ l) ∧ (sint v ≤ h)) |

  valid-value (VoidStamp) (UndefVal) = True |
  valid-value stamp val = False

```

— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.



```
definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

```
notepad
begin
  <proof>
end
```

```
end
```

## 4 Graph Representation

```
theory IRGraph
imports
  IRNodeHierarchy
  Stamp2
  HOL-Library.FSet
  HOL.Relation
begin
```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```
typedef IRGraph = {g :: ID  $\rightarrow$  (IRNode  $\times$  Stamp) . finite (dom g)}
<proof>
```

```
setup-lifting type-definition-IRGraph
```

```
lift-definition ids :: IRGraph  $\Rightarrow$  ID set
is  $\lambda g. \{nid \in dom\ g \ . \ \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$  <proof>
```

```
fun with-default :: 'c  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  (('a  $\rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c) where
  with-default def conv = ( $\lambda m\ k.$ 
    (case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))
```

```
lift-definition kind :: IRGraph  $\Rightarrow$  (ID  $\Rightarrow$  IRNode)
is with-default NoNode fst <proof>
```

```
lift-definition stamp :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  Stamp
is with-default IllegalStamp snd <proof>
```

```
lift-definition add-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid\ k\ g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) <proof>
```

**lift-definition** *remove-node* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph$

**is**  $\lambda nid\ g. g(nid := None)$  *<proof>*

**lift-definition** *replace-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$

**is**  $\lambda nid\ k\ g. \text{if } fst\ k = NoNode \text{ then } g \text{ else } g(nid \mapsto k)$  *<proof>*

**lift-definition** *as-list* ::  $IRGraph \Rightarrow (ID \times IRNode \times Stamp)\ list$

**is**  $\lambda g. \text{map } (\lambda k. (k, the\ (g\ k)))\ (\text{sorted-list-of-set } (dom\ g))$  *<proof>*

**fun** *no-node* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow (ID \times (IRNode \times Stamp))\ list$

**where**

*no-node*  $g = \text{filter } (\lambda n. fst\ (snd\ n) \neq NoNode)\ g$

**lift-definition** *irgraph* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow IRGraph$

**is** *map-of*  $\circ$  *no-node*

*<proof>*

**code-datatype** *irgraph*

**fun** *filter-none* **where**

*filter-none*  $g = \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode, s))\}$

**lemma** *no-node-clears*:

*res* = *no-node* *xs*  $\longrightarrow (\forall x \in set\ res. fst\ (snd\ x) \neq NoNode)$

*<proof>*

**lemma** *dom-eq*:

**assumes**  $\forall x \in set\ xs. fst\ (snd\ x) \neq NoNode$

**shows** *filter-none* (*map-of* *xs*) = *dom* (*map-of* *xs*)

*<proof>*

**lemma** *fil-eq*:

*filter-none* (*map-of* (*no-node* *xs*)) = *set* (*map* *fst* (*no-node* *xs*))

*<proof>*

**lemma** *irgraph[code]*: *ids* (*irgraph* *m*) = *set* (*map* *fst* (*no-node* *m*))

*<proof>*

**lemma** [*code*]: *Rep-IRGraph* (*irgraph* *m*) = *map-of* (*no-node* *m*)

*<proof>*

**fun** *inputs* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*inputs* *g* *nid* = *set* (*inputs-of* (*kind* *g* *nid*))

— Get the successor set of a given node ID

**fun** *succ* ::  $IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**

*succ* *g* *nid* = *set* (*successors-of* (*kind* *g* *nid*))

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* ::  $IRGraph \Rightarrow ID\ rel$  **where**

$input\_edges\ g = (\bigcup i \in ids\ g. \{(i,j) | j. j \in (inputs\ g\ i)\})$   
 — Find all the nodes in the graph that have  $nid$  as an input - the usages of  $nid$   
**fun**  $usages :: IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**  
 $usages\ g\ nid = \{j. j \in ids\ g \wedge (j,nid) \in input\_edges\ g\}$   
**fun**  $successor\_edges :: IRGraph \Rightarrow ID\ rel$  **where**  
 $successor\_edges\ g = (\bigcup i \in ids\ g. \{(i,j) | j. j \in (succ\ g\ i)\})$   
**fun**  $predecessors :: IRGraph \Rightarrow ID \Rightarrow ID\ set$  **where**  
 $predecessors\ g\ nid = \{j. j \in ids\ g \wedge (j,nid) \in successor\_edges\ g\}$   
**fun**  $nodes\_of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$  **where**  
 $nodes\_of\ g\ sel = \{nid \in ids\ g . sel\ (kind\ g\ nid)\}$   
**fun**  $edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a$  **where**  
 $edge\ sel\ nid\ g = sel\ (kind\ g\ nid)$

**fun**  $filtered\_inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$  **where**  
 $filtered\_inputs\ g\ nid\ f = filter\ (f \circ (kind\ g))\ (inputs\_of\ (kind\ g\ nid))$   
**fun**  $filtered\_successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ list$  **where**  
 $filtered\_successors\ g\ nid\ f = filter\ (f \circ (kind\ g))\ (successors\_of\ (kind\ g\ nid))$   
**fun**  $filtered\_usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID\ set$  **where**  
 $filtered\_usages\ g\ nid\ f = \{n \in (usages\ g\ nid). f\ (kind\ g\ n)\}$

**fun**  $is\_empty :: IRGraph \Rightarrow bool$  **where**  
 $is\_empty\ g = (ids\ g = \{\})$

**fun**  $any\_usage :: IRGraph \Rightarrow ID \Rightarrow ID$  **where**  
 $any\_usage\ g\ nid = hd\ (sorted\_list\_of\_set\ (usages\ g\ nid))$

**lemma**  $ids\_some[simp]$ :  $x \in ids\ g \longleftrightarrow kind\ g\ x \neq NoNode$   
 $\langle proof \rangle$

**lemma**  $not\_in\_g$ :  
**assumes**  $nid \notin ids\ g$   
**shows**  $kind\ g\ nid = NoNode$   
 $\langle proof \rangle$

**lemma**  $valid\_creation[simp]$ :  
 $finite\ (dom\ g) \longleftrightarrow Rep\_IRGraph\ (Abs\_IRGraph\ g) = g$   
 $\langle proof \rangle$

**lemma**  $[simp]$ :  $finite\ (ids\ g)$   
 $\langle proof \rangle$

**lemma**  $[simp]$ :  $finite\ (ids\ (irgraph\ g))$   
 $\langle proof \rangle$

**lemma**  $[simp]$ :  $finite\ (dom\ g) \longrightarrow ids\ (Abs\_IRGraph\ g) = \{nid \in dom\ g . \nexists s. g\ nid = Some\ (NoNode, s)\}$   
 $\langle proof \rangle$

**lemma**  $[simp]$ :  $finite\ (dom\ g) \longrightarrow kind\ (Abs\_IRGraph\ g) = (\lambda x. (case\ g\ x\ of\ None$

$\Rightarrow \text{NoNode} \mid \text{Some } n \Rightarrow \text{fst } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{simp}]$ :  $\text{finite } (\text{dom } g) \longrightarrow \text{stamp } (\text{Abs-IRGraph } g) = (\lambda x . (\text{case } g \ x \text{ of } \text{None} \Rightarrow \text{IllegalStamp} \mid \text{Some } n \Rightarrow \text{snd } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{simp}]$ :  $\text{ids } (\text{irgraph } g) = \text{set } (\text{map } \text{fst } (\text{no-node } g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{simp}]$ :  $\text{kind } (\text{irgraph } g) = (\lambda \text{nid}. (\text{case } (\text{map-of } (\text{no-node } g)) \ \text{nid} \text{ of } \text{None} \Rightarrow \text{NoNode} \mid \text{Some } n \Rightarrow \text{fst } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{simp}]$ :  $\text{stamp } (\text{irgraph } g) = (\lambda \text{nid}. (\text{case } (\text{map-of } (\text{no-node } g)) \ \text{nid} \text{ of } \text{None} \Rightarrow \text{IllegalStamp} \mid \text{Some } n \Rightarrow \text{snd } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-of-upd}$ :  $(\text{map-of } g)(k \mapsto v) = (\text{map-of } ((k, v) \# g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  $\text{replace-node } \text{nid } k \ (\text{irgraph } g) = (\text{irgraph } ((\text{nid}, k) \# g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  $\text{add-node } \text{nid } k \ (\text{irgraph } g) = (\text{irgraph } (((\text{nid}, k) \# g)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{add-node-lookup}$ :  
 $\text{gup} = \text{add-node } \text{nid } (k, s) \ g \longrightarrow$   
 $(\text{if } k \neq \text{NoNode} \text{ then } \text{kind } \text{gup } \text{nid} = k \wedge \text{stamp } \text{gup } \text{nid} = s \text{ else } \text{kind } \text{gup } \text{nid}$   
 $= \text{kind } g \ \text{nid})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{remove-node-lookup}$ :  
 $\text{gup} = \text{remove-node } \text{nid } g \longrightarrow \text{kind } \text{gup } \text{nid} = \text{NoNode} \wedge \text{stamp } \text{gup } \text{nid} =$   
 $\text{IllegalStamp}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{replace-node-lookup}[\text{simp}]$ :  
 $\text{gup} = \text{replace-node } \text{nid } (k, s) \ g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } \text{gup } \text{nid} = k \wedge \text{stamp}$   
 $\text{gup } \text{nid} = s$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{replace-node-unchanged}$ :  
 $\text{gup} = \text{replace-node } \text{nid } (k, s) \ g \longrightarrow (\forall \ n \in (\text{ids } g - \{\text{nid}\}) . n \in \text{ids } g \wedge n \in \text{ids}$   
 $\text{gup} \wedge \text{kind } g \ n = \text{kind } \text{gup } n)$   
 $\langle \text{proof} \rangle$

#### 4.0.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph* :: *IRGraph* **where**

*start-end-graph* = *irgraph* [(0, *StartNode* *None* 1, *VoidStamp*), (1, *ReturnNode* *None* *None*, *VoidStamp*)]

Example 2: public static int sq(int x) return x \* x;

[1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**

```
eg2-sq = irgraph [
  (0, StartNode None 5, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (4, MulNode 1 1, default-stamp),
  (5, ReturnNode (Some 4) None, default-stamp)
]
```

**value** *input-edges* *eg2-sq*

**value** *usages* *eg2-sq* 1

**end**

## 5 Data-flow Semantics

**theory** *IREval*

**imports**

*Graph.IRGraph*

**begin**

We define the semantics of data-flow nodes as big-step operational semantics.

Data-flow nodes are evaluated in the context of the *IRGraph* and a method state (currently called *MapState* in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculated during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated part of the control-flow as the data-flow is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier

to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

**type-synonym** *MapState* = *ID*  $\Rightarrow$  *Value*  
**type-synonym** *Params* = *Value list*

**definition** *new-map-state* :: *MapState* **where**  
*new-map-state* = ( $\lambda x$ . *UndefVal*)

**fun** *find-index* :: '*a*  $\Rightarrow$  '*a list*  $\Rightarrow$  *nat* **where**  
*find-index* - [] = 0 |  
*find-index* *v* (*x* # *xs*) = (if (*x*=*v*) then 0 else *find-index* *v* *xs* + 1)

**fun** *phi-list* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID list* **where**  
*phi-list* *g* *nid* =  
 (filter ( $\lambda x$ . (*is-PhiNode* (*kind* *g* *x*)))  
 (sorted-list-of-set (*usages* *g* *nid*)))

**fun** *input-index* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *nat* **where**  
*input-index* *g* *n* *n'* = *find-index* *n'* (*inputs-of* (*kind* *g* *n*))

**fun** *phi-inputs* :: *IRGraph*  $\Rightarrow$  *nat*  $\Rightarrow$  *ID list*  $\Rightarrow$  *ID list* **where**  
*phi-inputs* *g* *i* *nodes* = (map ( $\lambda n$ . (*inputs-of* (*kind* *g* *n*))!(*i* + 1)) *nodes*)

**fun** *set-phis* :: *ID list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *MapState*  $\Rightarrow$  *MapState* **where**  
*set-phis* [] [] *m* = *m* |  
*set-phis* (*nid* # *xs*) (*v* # *vs*) *m* = (*set-phis* *xs* *vs* (*m*(*nid* := *v*))) |  
*set-phis* [] (*v* # *vs*) *m* = *m* |  
*set-phis* (*x* # *xs*) [] *m* = *m*

**inductive**

*eval* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* ([-, -, -]  $\vdash$  -  $\mapsto$  - 55)  
**for** *g* *m* *p* **where**

*ConstantNode*:

[*g*, *m*, *p*]  $\vdash$  (*ConstantNode* *c*)  $\mapsto$  *c* |

*ParameterNode*:

[*g*, *m*, *p*]  $\vdash$  (*ParameterNode* *i*)  $\mapsto$  *p*!*i* |

*ValuePhiNode*:

[*g*, *m*, *p*]  $\vdash$  (*ValuePhiNode* *nid* -)  $\mapsto$  *m* *nid* |

*ValueProxyNode*:

[[*g*, *m*, *p*]  $\vdash$  (*kind* *g* *c*)  $\mapsto$  *val*]  
 $\implies$  [*g*, *m*, *p*]  $\vdash$  (*ValueProxyNode* *c* -)  $\mapsto$  *val* |

— Unary arithmetic operators

*AbsNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto \text{IntVal32 } v \rrbracket \\ & \implies [g, m, p] \vdash (\text{AbsNode } x) \mapsto \text{if } v < 0 \text{ then } (\text{intval-sub } (\text{IntVal32 } 0) (\text{IntVal32 } \\ & v)) \text{ else } (\text{IntVal32 } v) \mid \end{aligned}$$

*NegateNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v \rrbracket \\ & \implies [g, m, p] \vdash (\text{NegateNode } x) \mapsto (\text{IntVal32 } 0) - v \mid \end{aligned}$$

*NotNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v; \\ & \quad nv = \text{intval-not } v \rrbracket \\ & \implies [g, m, p] \vdash (\text{NotNode } x) \mapsto nv \mid \end{aligned}$$

— Binary arithmetic operators

*AddNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies [g, m, p] \vdash (\text{AddNode } x \ y) \mapsto v1 + v2 \mid \end{aligned}$$

*SubNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies [g, m, p] \vdash (\text{SubNode } x \ y) \mapsto v1 - v2 \mid \end{aligned}$$

*MulNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies [g, m, p] \vdash (\text{MulNode } x \ y) \mapsto v1 * v2 \mid \end{aligned}$$

*SignedDivNode:*

$$[g, m, p] \vdash (\text{SignedDivNode } nid \text{ - - - -}) \mapsto m \ nid \mid$$

*SignedRemNode:*

$$[g, m, p] \vdash (\text{SignedRemNode } nid \text{ - - - -}) \mapsto m \ nid \mid$$

— Binary logical bitwise operators

*AndNode:*

$$\begin{aligned} & \llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies [g, m, p] \vdash (\text{AndNode } x \ y) \mapsto \text{intval-and } v1 \ v2 \mid \end{aligned}$$

*OrNode:*

$$\llbracket [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1;$$

$$\begin{aligned} & [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2 \\ \implies & [g, m, p] \vdash (\text{OrNode } x \ y) \mapsto \text{intval-or } v1 \ v2 \mid \end{aligned}$$

*XorNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\ & [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2] \\ \implies & [g, m, p] \vdash (\text{XorNode } x \ y) \mapsto \text{intval-xor } v1 \ v2 \mid \end{aligned}$$

— Comparison operators

*IntegerEqualsNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ x) \mapsto \text{IntVal32 } v1; \\ & [g, m, p] \vdash (\text{kind } g \ y) \mapsto \text{IntVal32 } v2; \\ & \text{val} = \text{bool-to-val}(v1 = v2)] \\ \implies & [g, m, p] \vdash (\text{IntegerEqualsNode } x \ y) \mapsto \text{val} \mid \end{aligned}$$

*IntegerLessThanNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ x) \mapsto \text{IntVal32 } v1; \\ & [g, m, p] \vdash (\text{kind } g \ y) \mapsto \text{IntVal32 } v2; \\ & \text{val} = \text{bool-to-val}(v1 < v2)] \\ \implies & [g, m, p] \vdash (\text{IntegerLessThanNode } x \ y) \mapsto \text{val} \mid \end{aligned}$$

*IsNullNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ \text{obj}) \mapsto \text{ObjRef } \text{ref}; \\ & \text{val} = \text{bool-to-val}(\text{ref} = \text{None})] \\ \implies & [g, m, p] \vdash (\text{IsNullNode } \text{obj}) \mapsto \text{val} \mid \end{aligned}$$

— Other nodes

*ConditionalNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ \text{condition}) \mapsto \text{IntVal32 } \text{cond}; \\ & [g, m, p] \vdash (\text{kind } g \ \text{trueExp}) \mapsto \text{IntVal32 } \text{trueVal}; \\ & [g, m, p] \vdash (\text{kind } g \ \text{falseExp}) \mapsto \text{IntVal32 } \text{falseVal}; \\ & \text{val} = \text{IntVal32 } (\text{if } (\text{val-to-bool } (\text{IntVal32 } \text{cond})) \text{ then } \text{trueVal} \text{ else } \text{falseVal})] \\ \implies & [g, m, p] \vdash (\text{ConditionalNode } \text{condition } \text{trueExp } \text{falseExp}) \mapsto \text{val} \mid \end{aligned}$$

*ShortCircuitOrNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ x) \mapsto \text{IntVal32 } v1; \\ & [g, m, p] \vdash (\text{kind } g \ y) \mapsto \text{IntVal32 } v2; \\ & \text{val} = \text{IntVal32 } (\text{if } v1 \neq 0 \text{ then } v1 \text{ else } v2)] \\ \implies & [g, m, p] \vdash (\text{ShortCircuitOrNode } x \ y) \mapsto \text{val} \mid \end{aligned}$$

*LogicNegationNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \ x) \mapsto \text{IntVal32 } v1; \\ & \text{neg-v1} = (\neg(\text{val-to-bool } (\text{IntVal32 } v1))); \\ & \text{val} = \text{bool-to-val } \text{neg-v1}] \end{aligned}$$



$$\implies [g, m, p] \vdash (\text{LogicNegationNode } x) \mapsto \text{val} \mid$$

*InvokeNodeEval:*

$$[g, m, p] \vdash (\text{InvokeNode } \textit{nid} \text{ - - - -}) \mapsto m \textit{ nid} \mid$$

*InvokeWithExceptionNodeEval:*

$$[g, m, p] \vdash (\text{InvokeWithExceptionNode } \textit{nid} \text{ - - - - -}) \mapsto m \textit{ nid} \mid$$

*NewInstanceNode:*

$$[g, m, p] \vdash (\text{NewInstanceNode } \textit{nid} \text{ - -}) \mapsto m \textit{ nid} \mid$$

*LoadFieldNode:*

$$[g, m, p] \vdash (\text{LoadFieldNode } \textit{nid} \text{ - -}) \mapsto m \textit{ nid} \mid$$

*PiNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \text{ object}) \mapsto \text{val}] \\ & \implies [g, m, p] \vdash (\text{PiNode object guard}) \mapsto \text{val} \mid \end{aligned}$$

*RefNode:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \text{ x}) \mapsto \text{val}] \\ & \implies [g, m, p] \vdash (\text{RefNode } x) \mapsto \text{val} \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *evalE*) *eval*  $\langle \text{proof} \rangle$

The step semantics for phi nodes requires all the input nodes of the phi node to be evaluated to a value at the same time.

We introduce the *eval-all* relation to handle the evaluation of a list of node identifiers in parallel. As the evaluation semantics are side-effect free this is trivial.

**inductive**

$$\text{eval-all} :: \text{IRGraph} \Rightarrow \text{MapState} \Rightarrow \text{Params} \Rightarrow \text{ID list} \Rightarrow \text{Value list} \Rightarrow \text{bool}$$

$$([\_, \_, \_] \vdash \_ \longmapsto \_ \text{ 55})$$

**for** *g m p* **where**

*Base:*

$$[g, m, p] \vdash [] \longmapsto [] \mid$$

*Transitive:*

$$\begin{aligned} & [[g, m, p] \vdash (\text{kind } g \text{ nid}) \mapsto v; \\ & [g, m, p] \vdash xs \longmapsto vs] \\ & \implies [g, m, p] \vdash (\text{nid} \# xs) \longmapsto (v \# vs) \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *eval-allE*) *eval-all*  $\langle \text{proof} \rangle$

**inductive** *eval-graph* ::  $\text{IRGraph} \Rightarrow \text{ID} \Rightarrow \text{Value list} \Rightarrow \text{Value} \Rightarrow \text{bool}$

**where**

$$[[g, \text{new-map-state}, ps] \vdash (\text{kind } g \text{ nid}) \mapsto \text{val}]$$

```

     $\implies \text{eval-graph } g \text{ nid } ps \text{ val}$ 

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) eval-graph  $\langle \text{proof} \rangle$ 

values { $v$ . eval-graph eg2-sq 4 [IntVal32 5]  $v$ }

fun has-control-flow :: IRNode  $\Rightarrow$  bool where
  has-control-flow  $n = (\text{is-AbstractEndNode } n$ 
     $\vee (\text{length } (\text{successors-of } n) > 0))$ 

definition control-nodes :: IRNode set where
  control-nodes = { $n$  . has-control-flow  $n$ }

fun is-floating-node :: IRNode  $\Rightarrow$  bool where
  is-floating-node  $n = (\neg(\text{has-control-flow } n))$ 

definition floating-nodes :: IRNode set where
  floating-nodes = { $n$  . is-floating-node  $n$ }

lemma is-floating-node  $n \longleftrightarrow \neg(\text{has-control-flow } n)$ 
   $\langle \text{proof} \rangle$ 

lemma  $n \in \text{control-nodes} \longleftrightarrow n \notin \text{floating-nodes}$ 
   $\langle \text{proof} \rangle$ 

```

Here we show that using the elimination rules for eval we can prove 'inverted rule' properties

```

lemma evalAddNode :  $[g, m, p] \vdash (\text{AddNode } x \ y) \mapsto \text{val} \implies$ 
   $(\exists \ v1. ([g, m, p] \vdash (\text{kind } g \ x) \mapsto v1) \wedge$ 
     $(\exists \ v2. ([g, m, p] \vdash (\text{kind } g \ y) \mapsto v2) \wedge$ 
       $\text{val} = \text{intval-add } v1 \ v2))$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma not-floating:  $(\exists \ y \ ys. (\text{successors-of } n) = y \ \# \ ys) \longrightarrow \neg(\text{is-floating-node } n)$ 
   $\langle \text{proof} \rangle$ 

```

We show that within the context of a graph and method state, the same node will always evaluate to the same value and the semantics is therefore deterministic.

```

theorem evalDet:
   $([g, m, p] \vdash \text{node} \mapsto \text{val1}) \implies$ 
   $(\forall \ \text{val2}. ([g, m, p] \vdash \text{node} \mapsto \text{val2}) \longrightarrow \text{val1} = \text{val2})$ 
   $\langle \text{proof} \rangle$ 

```

```

theorem evalAllDet:
   $([g, m, p] \vdash \text{nodes} \mapsto \text{vals1}) \implies$ 

```

$(\forall \text{ vals2}. (([g, m, p] \vdash \text{nodes} \mapsto \text{vals2}) \longrightarrow \text{vals1} = \text{vals2}))$   
 $\langle \text{proof} \rangle$

**end**

## 6 Control-flow Semantics

**theory** *IRStepObj*  
**imports**  
*IREval*  
**begin**

### 6.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*. We also introduce the *DynamicHeap* type which allocates new object references sequentially storing the next free object reference as 'Free'.

**type-synonym**  $('a, 'b) \text{Heap} = 'a \Rightarrow 'b \Rightarrow \text{Value}$

**type-synonym**  $\text{Free} = \text{nat}$

**type-synonym**  $('a, 'b) \text{DynamicHeap} = ('a, 'b) \text{Heap} \times \text{Free}$

**fun** *h-load-field*  $:: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{DynamicHeap} \Rightarrow \text{Value}$  **where**  
*h-load-field*  $r \ f \ (h, n) = h \ r \ f$

**fun** *h-store-field*  $:: 'a \Rightarrow 'b \Rightarrow \text{Value} \Rightarrow ('a, 'b) \text{DynamicHeap} \Rightarrow ('a, 'b) \text{DynamicHeap}$  **where**  
*h-store-field*  $r \ f \ v \ (h, n) = (h(r := ((h \ r)(f := v))), n)$

**fun** *h-new-inst*  $:: ('a, 'b) \text{DynamicHeap} \Rightarrow ('a, 'b) \text{DynamicHeap} \times \text{Value}$  **where**  
*h-new-inst*  $(h, n) = ((h, n+1), (\text{ObjRef } (\text{Some } n)))$

**type-synonym**  $\text{RefFieldHeap} = (\text{objref}, \text{string}) \text{DynamicHeap}$

**definition** *new-heap*  $:: ('a, 'b) \text{DynamicHeap}$  **where**  
*new-heap*  $= ((\lambda f. \lambda p. \text{UndefVal}), 0)$

### 6.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple,  $(ID, \text{MethodState}, \text{Heap})$ , is related to the subsequent configuration.

**inductive** *step*  $:: \text{IRGraph} \Rightarrow \text{Params} \Rightarrow (ID \times \text{MapState} \times \text{RefFieldHeap}) \Rightarrow (ID \times \text{MapState} \times \text{RefFieldHeap}) \Rightarrow \text{bool}$

(-, -  $\vdash$  -  $\rightarrow$  - 55) **for**  $g\ p$  **where**

*SequentialNode:*

$\llbracket is\_sequential\_node\ (kind\ g\ nid);$   
 $\quad nid' = (successors\_of\ (kind\ g\ nid))!0 \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*IfNode:*

$\llbracket kind\ g\ nid = (IfNode\ cond\ tb\ fb);$   
 $\quad [g, m, p] \vdash (kind\ g\ cond) \mapsto val;$   
 $\quad nid' = (if\ val\_to\_bool\ val\ then\ tb\ else\ fb) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*EndNodes:*

$\llbracket is\_AbstractEndNode\ (kind\ g\ nid);$   
 $\quad merge = any\_usage\ g\ nid;$   
 $\quad is\_AbstractMergeNode\ (kind\ g\ merge);$   
  
 $\quad i = find\_index\ nid\ (inputs\_of\ (kind\ g\ merge));$   
 $\quad phis = (phi\_list\ g\ merge);$   
 $\quad inps = (phi\_inputs\ g\ i\ phis);$   
 $\quad [g, m, p] \vdash inps \mapsto vs;$   
  
 $\quad m' = set\_phis\ phis\ vs\ m \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

*NewInstanceNode:*

$\llbracket kind\ g\ nid = (NewInstanceNode\ nid\ f\ obj\ nid');$   
 $\quad (h', ref) = h\_new\_inst\ h;$   
 $\quad m' = m(nid := ref) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*LoadFieldNode:*

$\llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');$   
 $\quad [g, m, p] \vdash (kind\ g\ obj) \mapsto ObjRef\ ref;$   
 $\quad h\_load\_field\ ref\ f\ h = v;$   
 $\quad m' = m(nid := v) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*SignedDivNode:*

$\llbracket kind\ g\ nid = (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);$   
 $\quad [g, m, p] \vdash (kind\ g\ x) \mapsto v1;$   
 $\quad [g, m, p] \vdash (kind\ g\ y) \mapsto v2;$   
 $\quad v = (intval\_div\ v1\ v2);$   
 $\quad m' = m(nid := v) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$

*SignedRemNode:*

$\llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);$

$$\begin{aligned}
& [g, m, p] \vdash (\text{kind } g \ x) \mapsto v1; \\
& [g, m, p] \vdash (\text{kind } g \ y) \mapsto v2; \\
& v = (\text{intval-mod } v1 \ v2); \\
& m' = m(\text{nid} := v) \\
\implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{next}, m', h) \mid
\end{aligned}$$

*StaticLoadFieldNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ \text{nid} = (\text{LoadFieldNode } \text{nid} \ f \ \text{None } \text{nid}') \rrbracket; \\
& h\text{-load-field } \text{None} \ f \ h = v; \\
& m' = m(\text{nid} := v) \\
\implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid
\end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ \text{nid} = (\text{StoreFieldNode } \text{nid} \ f \ \text{newval} - (\text{Some } \text{obj}) \ \text{nid}') \rrbracket; \\
& [g, m, p] \vdash (\text{kind } g \ \text{newval}) \mapsto \text{val}; \\
& [g, m, p] \vdash (\text{kind } g \ \text{obj}) \mapsto \text{ObjRef } \text{ref}; \\
& h' = h\text{-store-field } \text{ref} \ f \ \text{val} \ h; \\
& m' = m(\text{nid} := \text{val}) \\
\implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid
\end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \ \text{nid} = (\text{StoreFieldNode } \text{nid} \ f \ \text{newval} - \text{None } \text{nid}') \rrbracket; \\
& [g, m, p] \vdash (\text{kind } g \ \text{newval}) \mapsto \text{val}; \\
& h' = h\text{-store-field } \text{None} \ f \ \text{val} \ h; \\
& m' = m(\text{nid} := \text{val}) \\
\implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h')
\end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow \text{bool}$ ) *step*  $\langle \text{proof} \rangle$

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

**theorem** *stepDet*:

$$\begin{aligned}
& (g, p \vdash (\text{nid}, m, h) \rightarrow \text{next}) \implies \\
& (\forall \text{next}'. ((g, p \vdash (\text{nid}, m, h) \rightarrow \text{next}') \longrightarrow \text{next} = \text{next}')) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *stepRefNode*:

$$\llbracket \text{kind } g \ \text{nid} = \text{RefNode } \text{nid}' \rrbracket \implies g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$$

$\langle \text{proof} \rangle$

**lemma** *IfNodeStepCases*:

**assumes**  $\text{kind } g \ \text{nid} = \text{IfNode } \text{cond} \ \text{tb} \ \text{fb}$   
**assumes**  $[g, m, p] \vdash \text{kind } g \ \text{cond} \mapsto v$   
**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**shows**  $\text{nid}' \in \{\text{tb}, \text{fb}\}$   
 $\langle \text{proof} \rangle$

**lemma** *IfNodeSeq*:

**shows**  $kind\ g\ nid = IfNode\ cond\ tb\ fb \longrightarrow \neg(is\_sequential\_node\ (kind\ g\ nid))$   
 $\langle proof \rangle$

**lemma** *IfNodeCond*:

**assumes**  $kind\ g\ nid = IfNode\ cond\ tb\ fb$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $\exists v. ([g, m, p] \vdash kind\ g\ cond \mapsto v)$   
 $\langle proof \rangle$

**lemma** *step-in-ids*:

**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h')$   
**shows**  $nid \in ids\ g$   
 $\langle proof \rangle$

### 6.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**inductive** *step-top* :: *Program*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *RefFieldHeap*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *RefFieldHeap*  $\Rightarrow$  *bool*

$(- \vdash - \longrightarrow -\ 55)$

**for** *P* **where**

*Lift*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$   
 $\implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((g, nid', m', p) \# stk, h') \mid$

*InvokeNodeStep*:

$\llbracket is\_Invoke\ (kind\ g\ nid);$

$callTarget = ir\_callTarget\ (kind\ g\ nid);$

$kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);$

$Some\ targetGraph = P\ targetMethod;$

$m' = new\_map\_state;$

$[g, m, p] \vdash arguments \mapsto p'$

$\implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk, h)$

$\mid$

*ReturnNode*:

$\llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);$

$[g, m, p] \vdash (kind\ g\ expr) \mapsto v;$

$cm' = cm(cnid := v);$

$cnid' = (successors\_of\ (kind\ cg\ cnid))!0$

$\implies P \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h) \mid$

*ReturnNodeVoid*:

$\llbracket \text{kind } g \text{ nid} = (\text{ReturnNode } \text{None } -);$   
 $\text{cm}' = \text{cm}(\text{cnid} := (\text{ObjRef } (\text{Some } (2048))));$   
 $\text{cnid}' = (\text{successors-of } (\text{kind } cg \text{ cnid}))!0 \rrbracket$   
 $\implies P \vdash ((g, \text{nid}, m, p) \# (cg, \text{cnid}, cm, cp) \# \text{stk}, h) \longrightarrow ((cg, \text{cnid}', cm', cp) \# \text{stk}, h) \mid$   
*UnwindNode:*  
 $\llbracket \text{kind } g \text{ nid} = (\text{UnwindNode } \text{exception});$   
 $[g, m, p] \vdash (\text{kind } g \text{ exception}) \mapsto e;$   
 $\text{kind } cg \text{ cnid} = (\text{InvokeWithExceptionNode } - - - - - \text{exEdge});$   
 $\text{cm}' = \text{cm}(\text{cnid} := e) \rrbracket$   
 $\implies P \vdash ((g, \text{nid}, m, p) \# (cg, \text{cnid}, cm, cp) \# \text{stk}, h) \longrightarrow ((cg, \text{exEdge}, cm', cp) \# \text{stk}, h)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *step-top*  $\langle \text{proof} \rangle$

## 6.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = (*m* 0  $\neq$  *UndefVal*)

**inductive** *exec* :: *Program*  
 $\Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState} \times \text{Params}) \text{ list} \times \text{RefFieldHeap}$   
 $\Rightarrow \text{Trace}$   
 $\Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState} \times \text{Params}) \text{ list} \times \text{RefFieldHeap}$   
 $\Rightarrow \text{Trace}$   
 $\Rightarrow \text{bool}$   
 $(- \vdash - \mid - \longrightarrow * - \mid -)$   
**for** *P*  
**where**  
 $\llbracket P \vdash (((g, \text{nid}, m, p) \# xs), h) \longrightarrow (((g', \text{nid}', m', p') \# ys), h');$   
 $\neg(\text{has-return } m');$   
 $l' = (l @ [(g, \text{nid}, m, p)]);$   
 $\text{exec } P (((g', \text{nid}', m', p') \# ys), h') \text{ } l' \text{ next-state } l'' \rrbracket$   
 $\implies \text{exec } P (((g, \text{nid}, m, p) \# xs), h) \text{ } l \text{ next-state } l''$   
 $\mid$   
 $\llbracket P \vdash (((g, \text{nid}, m, p) \# xs), h) \longrightarrow (((g', \text{nid}', m', p') \# ys), h');$   
 $\text{has-return } m';$   
 $l' = (l @ [(g, \text{nid}, m, p)]) \rrbracket$   
 $\implies \text{exec } P (((g, \text{nid}, m, p) \# xs), h) \text{ } l (((g', \text{nid}', m', p') \# ys), h') \text{ } l'$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$  *as Exec*) *exec*  $\langle \text{proof} \rangle$

**inductive** *exec-debug* :: *Program*  
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times RefFieldHeap$   
 $\Rightarrow nat$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times RefFieldHeap$   
 $\Rightarrow bool$   
 $(\vdash \rightarrow * -)$   
**where**  
 $\llbracket n > 0; \quad p \vdash s \longrightarrow s'; \quad exec\text{-}debug\ p\ s'\ (n - 1)\ s'' \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s'' \mid$   
 $\llbracket n = 0 \rrbracket$   
 $\implies exec\text{-}debug\ p\ s\ n\ s$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *exec-debug*  $\langle proof \rangle$

#### 6.4.1 Heap Testing

**definition** *p3* :: *Params* **where**  
*p3* = [*IntVal32 3*]

**values**  $\{(prod.fst(prod.snd\ (prod.snd\ (hd\ (prod.fst\ res))))\ 0$   
 $\mid res. (\lambda x. Some\ eg2\text{-}sq) \vdash ([ (eg2\text{-}sq, 0, new\text{-}map\text{-}state, p3), (eg2\text{-}sq, 0, new\text{-}map\text{-}state, p3)],$   
 $new\text{-}heap) \rightarrow * 2 * res\}$

**definition** *field-sq* :: *string* **where**  
*field-sq* = "sq"

**definition** *eg3-sq* :: *IRGraph* **where**  
*eg3-sq* = *irgraph* [  
 $(0, StartNode\ None\ 4, VoidStamp),$   
 $(1, ParameterNode\ 0, default\text{-}stamp),$   
 $(3, MulNode\ 1\ 1, default\text{-}stamp),$   
 $(4, StoreFieldNode\ 4\ field\text{-}sq\ 3\ None\ None\ 5, VoidStamp),$   
 $(5, ReturnNode\ (Some\ 3)\ None, default\text{-}stamp)$   
 $]$

**values**  $\{h\text{-}load\text{-}field\ None\ field\text{-}sq\ (prod.snd\ res)$   
 $\mid res. (\lambda x. Some\ eg3\text{-}sq) \vdash ([ (eg3\text{-}sq, 0, new\text{-}map\text{-}state, p3), (eg3\text{-}sq, 0,$   
 $new\text{-}map\text{-}state, p3)], new\text{-}heap) \rightarrow * 3 * res\}$

**definition** *eg4-sq* :: *IRGraph* **where**  
*eg4-sq* = *irgraph* [  
 $(0, StartNode\ None\ 4, VoidStamp),$   
 $(1, ParameterNode\ 0, default\text{-}stamp),$



```

    (3, MulNode 1 1, default-stamp),
    (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True),
    (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
    (6, ReturnNode (Some 3) None, default-stamp)
  ]

```

```

values {h-load-field (Some 0) field-sq (prod.snd res)
  | res. (λx. Some eg4-sq) ⊢ [(eg4-sq, 0, new-map-state, p3), (eg4-sq, 0,
new-map-state, p3)], new-heap) →*3* res}
end

```

## 7 Proof Infrastructure

### 7.1 Bisimulation

```

theory Bisimulation
imports
  Stuttering
begin

```

```

inductive weak-bisimilar :: ID ⇒ IRGraph ⇒ IRGraph ⇒ bool
  (- . - ~ -) for nid where
    [⊢ P'. (g m p h ⊢ nid ~ P') ⟶ (∃ Q'. (g' m p h ⊢ nid ~ Q') ∧ P' = Q');
     ⊢ Q'. (g' m p h ⊢ nid ~ Q') ⟶ (∃ P'. (g m p h ⊢ nid ~ P') ∧ P' = Q')]
    ⟹ nid . g ~ g'

```

A strong bisimulation between no-op transitions

```

inductive strong-noop-bisimilar :: ID ⇒ IRGraph ⇒ IRGraph ⇒ bool
  (- | - ~ -) for nid where
    [⊢ P'. (g, p ⊢ (nid, m, h) → P') ⟶ (∃ Q'. (g', p ⊢ (nid, m, h) → Q') ∧ P' =
Q');
     ⊢ Q'. (g', p ⊢ (nid, m, h) → Q') ⟶ (∃ P'. (g, p ⊢ (nid, m, h) → P') ∧ P' =
Q')]
    ⟹ nid | g ~ g'

```

**lemma** lockstep-strong-bisimulation:

```

assumes g' = replace-node nid node g
assumes g, p ⊢ (nid, m, h) → (nid', m, h)
assumes g', p ⊢ (nid, m, h) → (nid', m, h)
shows nid | g ~ g'
<proof>

```

**lemma** no-step-bisimulation:

```

assumes ∀ m p h nid' m' h'. ¬(g, p ⊢ (nid, m, h) → (nid', m', h'))
assumes ∀ m p h nid' m' h'. ¬(g', p ⊢ (nid, m, h) → (nid', m', h'))

```

```

shows nid | g ~ g'
⟨proof⟩

```

```

end

```

## 7.2 Formedness Properties

```

theory Form
imports
  Semantics.IREval
begin

```

```

definition wf-start where
  wf-start g = (0 ∈ ids g ∧
    is-StartNode (kind g 0))

```

```

definition wf-closed where
  wf-closed g =
    (∀ n ∈ ids g .
      inputs g n ⊆ ids g ∧
      succ g n ⊆ ids g ∧
      kind g n ≠ NoNode)

```

```

definition wf-phs where
  wf-phs g =
    (∀ n ∈ ids g .
      is-PhiNode (kind g n) ⟶
      length (ir-values (kind g n))
      = length (ir-ends
        (kind g (ir-merge (kind g n))))))

```

```

definition wf-ends where
  wf-ends g =
    (∀ n ∈ ids g .
      is-AbstractEndNode (kind g n) ⟶
      card (usages g n) > 0)

```

```

fun wf-graph :: IRGraph ⇒ bool where
  wf-graph g = (wf-start g ∧ wf-closed g ∧ wf-phs g ∧ wf-ends g)

```

```

lemmas wf-folds =
  wf-graph.simps
  wf-start-def
  wf-closed-def
  wf-phs-def
  wf-ends-def

```

```

fun wf-stamps :: IRGraph ⇒ bool where
  wf-stamps g = (∀ n ∈ ids g .

```

```

    (∀ v m p . ([g, m, p] ⊢ (kind g n) ↦ v) ⟶ valid-value (stamp g n) v))

fun wf-stamp :: IRGraph ⇒ (ID ⇒ Stamp) ⇒ bool where
  wf-stamp g s = (∀ n ∈ ids g .
    (∀ v m p . ([g, m, p] ⊢ (kind g n) ↦ v) ⟶ valid-value (s n) v))

lemma wf-empty: wf-graph start-end-graph
  ⟨proof⟩

lemma wf-eg2-sq: wf-graph eg2-sq
  ⟨proof⟩

fun wf-logic-node-inputs :: IRGraph ⇒ ID ⇒ bool where
  wf-logic-node-inputs g n =
    (∀ inp ∈ set (inputs-of (kind g n)) . (∀ v m p . ([g, m, p] ⊢ kind g inp ↦ v) ⟶
      wf-bool v))

end

```

### 7.3 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

```

theory IRGraphFrames
  imports
    Form
    Semantics.IREval
  begin

  fun unchanged :: ID set ⇒ IRGraph ⇒ IRGraph ⇒ bool where
    unchanged ns g1 g2 = (∀ n . n ∈ ns ⟶
      (n ∈ ids g1 ∧ n ∈ ids g2 ∧ kind g1 n = kind g2 n))

  fun changeonly :: ID set ⇒ IRGraph ⇒ IRGraph ⇒ bool where
    changeonly ns g1 g2 = (∀ n . n ∈ ids g1 ∧ n ∉ ns ⟶
      (n ∈ ids g1 ∧ n ∈ ids g2 ∧ kind g1 n = kind g2 n))

  lemma node-unchanged:
    assumes unchanged ns g1 g2
    assumes nid ∈ ns
    shows kind g1 nid = kind g2 nid
    ⟨proof⟩

  lemma other-node-unchanged:

```

```

assumes changeonly ns g1 g2
assumes nid ∈ ids g1
assumes nid ∉ ns
shows kind g1 nid = kind g2 nid
⟨proof⟩

```

Some notation for input nodes used

```

inductive eval-uses:: IRGraph ⇒ ID ⇒ ID ⇒ bool
for g where

```

```

use0: nid ∈ ids g
    ⇒ eval-uses g nid nid |

```

```

use-inp: nid' ∈ inputs g n
    ⇒ eval-uses g nid nid' |

```

```

use-trans:  $\llbracket \text{eval-uses } g \text{ nid nid'}; \text{eval-uses } g \text{ nid'} \text{ nid''} \rrbracket$ 
    ⇒ eval-uses g nid nid''

```

```

fun eval-usages :: IRGraph ⇒ ID ⇒ ID set where
    eval-usages g nid = {n ∈ ids g . eval-uses g nid n}

```

```

lemma eval-usages-self:
assumes nid ∈ ids g
shows nid ∈ eval-usages g nid
⟨proof⟩

```

```

lemma not-in-g-inputs:
assumes nid ∉ ids g
shows inputs g nid = {}
⟨proof⟩

```

```

lemma child-member:
assumes n = kind g nid
assumes n ≠ NoNode
assumes List.member (inputs-of n) child
shows child ∈ inputs g nid
⟨proof⟩

```

```

lemma child-member-in:
assumes nid ∈ ids g
assumes List.member (inputs-of (kind g nid)) child
shows child ∈ inputs g nid
⟨proof⟩

```

**lemma** *inp-in-g*:  
 assumes  $n \in \text{inputs } g \text{ nid}$   
 shows  $\text{nid} \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**lemma** *inp-in-g-wf*:  
 assumes *wf-graph*  $g$   
 assumes  $n \in \text{inputs } g \text{ nid}$   
 shows  $n \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**lemma** *kind-unchanged*:  
 assumes  $\text{nid} \in \text{ids } g1$   
 assumes *unchanged* (*eval-usages*  $g1 \text{ nid}$ )  $g1 \ g2$   
 shows  $\text{kind } g1 \text{ nid} = \text{kind } g2 \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *child-unchanged*:  
 assumes  $\text{child} \in \text{inputs } g1 \text{ nid}$   
 assumes *unchanged* (*eval-usages*  $g1 \text{ nid}$ )  $g1 \ g2$   
 shows *unchanged* (*eval-usages*  $g1 \text{ child}$ )  $g1 \ g2$   
 $\langle \text{proof} \rangle$

**lemma** *eval-usages*:  
 assumes  $us = \text{eval-usages } g \text{ nid}$   
 assumes  $\text{nid}' \in \text{ids } g$   
 shows *eval-uses*  $g \text{ nid } \text{nid}' \longleftrightarrow \text{nid}' \in us$  (**is**  $?P \longleftrightarrow ?Q$ )  
 $\langle \text{proof} \rangle$

**lemma** *inputs-are-uses*:  
 assumes  $\text{nid}' \in \text{inputs } g \text{ nid}$   
 shows *eval-uses*  $g \text{ nid } \text{nid}'$   
 $\langle \text{proof} \rangle$

**lemma** *inputs-are-usages*:  
 assumes  $\text{nid}' \in \text{inputs } g \text{ nid}$   
 assumes  $\text{nid}' \in \text{ids } g$   
 shows  $\text{nid}' \in \text{eval-usages } g \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *usage-includes-inputs*:  
 assumes  $us = \text{eval-usages } g \text{ nid}$   
 assumes  $ls = \text{inputs } g \text{ nid}$   
 assumes  $ls \subseteq \text{ids } g$   
 shows  $ls \subseteq us$   
 $\langle \text{proof} \rangle$

**lemma** *elim-inp-set*:

**assumes**  $k = \text{kind } g \text{ nid}$   
**assumes**  $k \neq \text{NoNode}$   
**assumes**  $\text{child} \in \text{set } (\text{inputs-of } k)$   
**shows**  $\text{child} \in \text{inputs } g \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-in-ids*:  
**assumes**  $[g, m, p] \vdash (\text{kind } g \text{ nid}) \mapsto v$   
**shows**  $\text{nid} \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**theorem** *stay-same*:  
**assumes**  $\text{nc: unchanged } (\text{eval-usages } g1 \text{ nid}) \text{ } g1 \text{ } g2$   
**assumes**  $g1: [g1, m, p] \vdash (\text{kind } g1 \text{ nid}) \mapsto v1$   
**assumes**  $\text{wf: wf-graph } g1$   
**shows**  $[g2, m, p] \vdash (\text{kind } g2 \text{ nid}) \mapsto v1$   
 $\langle \text{proof} \rangle$

**lemma** *add-changed*:  
**assumes**  $\text{gup} = \text{add-node new } k \text{ } g$   
**shows**  $\text{changeonly } \{\text{new}\} \text{ } g \text{ gup}$   
 $\langle \text{proof} \rangle$

**lemma** *disjoint-change*:  
**assumes**  $\text{changeonly change } g \text{ gup}$   
**assumes**  $\text{nochange} = \text{ids } g - \text{change}$   
**shows**  $\text{unchanged nochange } g \text{ gup}$   
 $\langle \text{proof} \rangle$

**lemma** *add-node-unchanged*:  
**assumes**  $\text{new} \notin \text{ids } g$   
**assumes**  $\text{nid} \in \text{ids } g$   
**assumes**  $\text{gup} = \text{add-node new } k \text{ } g$   
**assumes**  $\text{wf-graph } g$   
**shows**  $\text{unchanged } (\text{eval-usages } g \text{ nid}) \text{ } g \text{ gup}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-uses-imp*:  
 $((\text{nid}' \in \text{ids } g \wedge \text{nid} = \text{nid}')$   
 $\vee \text{nid}' \in \text{inputs } g \text{ nid}$   
 $\vee (\exists \text{nid}'' . \text{eval-uses } g \text{ nid nid}'' \wedge \text{eval-uses } g \text{ nid}'' \text{ nid}'))$   
 $\longleftrightarrow \text{eval-uses } g \text{ nid nid}'$   
 $\langle \text{proof} \rangle$

**lemma** *wf-use-ids*:  
**assumes**  $\text{wf-graph } g$   
**assumes**  $\text{nid} \in \text{ids } g$

```

assumes eval-uses g nid nid'
shows  $nid' \in ids\ g$ 
 $\langle proof \rangle$ 

lemma no-external-use:
  assumes wf-graph g
  assumes  $nid' \notin ids\ g$ 
  assumes  $nid \in ids\ g$ 
  shows  $\neg(eval-uses\ g\ nid\ nid')$ 
 $\langle proof \rangle$ 

end

```

## 7.4 Graph Rewriting

```

theory
  Rewrites
imports
  IRGraphFrames
  Stuttering
begin

fun replace-usages ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  where
  replace-usages nid nid' g = replace-node nid (RefNode nid', stamp g nid') g

lemma replace-usages-effect:
  assumes  $g' = replace-usages\ nid\ nid'\ g$ 
  shows  $kind\ g'\ nid = RefNode\ nid'$ 
 $\langle proof \rangle$ 

lemma replace-usages-changeonly:
  assumes  $nid \in ids\ g$ 
  assumes  $g' = replace-usages\ nid\ nid'\ g$ 
  shows changeonly  $\{nid\}\ g\ g'$ 
 $\langle proof \rangle$ 

lemma replace-usages-unchanged:
  assumes  $nid \in ids\ g$ 
  assumes  $g' = replace-usages\ nid\ nid'\ g$ 
  shows unchanged  $(ids\ g - \{nid\})\ g\ g'$ 
 $\langle proof \rangle$ 

fun nextNid ::  $IRGraph \Rightarrow ID$  where
  nextNid g = (Max (ids g)) + 1

lemma max-plus-one:
  fixes  $c :: ID$  set

```

**shows**  $\llbracket \text{finite } c; c \neq \{\} \rrbracket \implies (\text{Max } c) + 1 \notin c$   
 $\langle \text{proof} \rangle$

**lemma** *ids-finite*:  
 $\text{finite } (\text{ids } g)$   
 $\langle \text{proof} \rangle$

**lemma** *nextNidNotIn*:  
 $\text{ids } g \neq \{\} \longrightarrow \text{nextNid } g \notin \text{ids } g$   
 $\langle \text{proof} \rangle$

**fun** *constantCondition* ::  $\text{bool} \Rightarrow \text{ID} \Rightarrow \text{IRNode} \Rightarrow \text{IRGraph} \Rightarrow \text{IRGraph}$  **where**  
 $\text{constantCondition } \text{val } \text{nid } (\text{IfNode } \text{cond } t \text{ } f) \text{ } g =$   
 $\text{replace-node } \text{nid } (\text{IfNode } (\text{nextNid } g) \text{ } t \text{ } f, \text{stamp } g \text{ } \text{nid})$   
 $(\text{add-node } (\text{nextNid } g) ((\text{ConstantNode } (\text{bool-to-val } \text{val})), \text{constantAsStamp}$   
 $(\text{bool-to-val } \text{val})) \text{ } g) \mid$   
 $\text{constantCondition } \text{cond } \text{nid} - g = g$

**lemma** *constantConditionTrue*:  
**assumes**  $\text{kind } g \text{ ifcond} = \text{IfNode } \text{cond } t \text{ } f$   
**assumes**  $g' = \text{constantCondition } \text{True } \text{ifcond } (\text{kind } g \text{ ifcond}) \text{ } g$   
**shows**  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionFalse*:  
**assumes**  $\text{kind } g \text{ ifcond} = \text{IfNode } \text{cond } t \text{ } f$   
**assumes**  $g' = \text{constantCondition } \text{False } \text{ifcond } (\text{kind } g \text{ ifcond}) \text{ } g$   
**shows**  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$   
 $\langle \text{proof} \rangle$

**lemma** *diff-forall*:  
**assumes**  $\forall n \in \text{ids } g - \{\text{nid}\}. \text{cond } n$   
**shows**  $\forall n. n \in \text{ids } g \wedge n \notin \{\text{nid}\} \longrightarrow \text{cond } n$   
 $\langle \text{proof} \rangle$

**lemma** *replace-node-changeonly*:  
**assumes**  $g' = \text{replace-node } \text{nid } \text{node } g$   
**shows**  $\text{changeonly } \{\text{nid}\} \text{ } g \text{ } g'$   
 $\langle \text{proof} \rangle$

**lemma** *add-node-changeonly*:  
**assumes**  $g' = \text{add-node } \text{nid } \text{node } g$   
**shows**  $\text{changeonly } \{\text{nid}\} \text{ } g \text{ } g'$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionNoEffect*:  
**assumes**  $\neg(\text{is-IfNode } (\text{kind } g \text{ } \text{nid}))$   
**shows**  $g = \text{constantCondition } b \text{ } \text{nid } (\text{kind } g \text{ } \text{nid}) \text{ } g$   
 $\langle \text{proof} \rangle$



**lemma** *constantConditionIfNode*:  
**assumes**  $\text{kind } g \text{ nid} = \text{IfNode cond } t \text{ } f$   
**shows**  $\text{constantCondition val nid (kind } g \text{ nid) } g =$   
 $\text{replace-node nid (IfNode (nextNid } g) \text{ } t \text{ } f, \text{ stamp } g \text{ nid})}$   
 $(\text{add-node (nextNid } g) ((\text{ConstantNode (bool-to-val val)}), \text{ constantAsStamp}$   
 $(\text{bool-to-val val})) \text{ } g)$   
 $\langle \text{proof} \rangle$

**lemma** *constantCondition-changeonly*:  
**assumes**  $\text{nid} \in \text{ids } g$   
**assumes**  $g' = \text{constantCondition } b \text{ nid (kind } g \text{ nid) } g$   
**shows**  $\text{changeonly } \{\text{nid}\} \text{ } g \text{ } g'$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionNoIf*:  
**assumes**  $\forall \text{ cond } t \text{ } f. \text{ kind } g \text{ ifcond} \neq \text{IfNode cond } t \text{ } f$   
**assumes**  $g' = \text{constantCondition val ifcond (kind } g \text{ ifcond) } g$   
**shows**  $\exists \text{ nid}' . (g \text{ } m \text{ } p \text{ } h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \text{ } m \text{ } p \text{ } h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$   
 $\langle \text{proof} \rangle$

**lemma** *constantConditionValid*:  
**assumes**  $\text{kind } g \text{ ifcond} = \text{IfNode cond } t \text{ } f$   
**assumes**  $[g, m, p] \vdash \text{kind } g \text{ cond} \mapsto v$   
**assumes**  $\text{const} = \text{val-to-bool } v$   
**assumes**  $g' = \text{constantCondition const ifcond (kind } g \text{ ifcond) } g$   
**shows**  $\exists \text{ nid}' . (g \text{ } m \text{ } p \text{ } h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \text{ } m \text{ } p \text{ } h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$   
 $\langle \text{proof} \rangle$

**end**

## 7.5 Stuttering

**theory** *Stuttering*

**imports**

*Semantics.IRStepObj*

**begin**

**inductive** *stutter*::  $\text{IRGraph} \Rightarrow \text{MapState} \Rightarrow \text{Params} \Rightarrow \text{RefFieldHeap} \Rightarrow \text{ID} \Rightarrow$   
 $\text{ID} \Rightarrow \text{bool} \text{ } (- - - \vdash - \rightsquigarrow - \text{ } 55)$   
**for**  $g \text{ } m \text{ } p \text{ } h$  **where**

*StutterStep*:

$\llbracket g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \rrbracket$   
 $\implies g \text{ } m \text{ } p \text{ } h \vdash \text{nid} \rightsquigarrow \text{nid}' \mid$

*Transitive*:

$\llbracket g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}'', m, h) \rrbracket;$

$$g \ m \ p \ h \vdash \text{nid}'' \rightsquigarrow \text{nid}'$$

$$\implies g \ m \ p \ h \vdash \text{nid} \rightsquigarrow \text{nid}'$$

**lemma** *stuttering-successor*:

**assumes**  $(g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h))$

**shows**  $\{P'. (g \ m \ p \ h \vdash \text{nid} \rightsquigarrow P')\} = \{\text{nid}'\} \cup \{\text{nid}'' \mid (g \ m \ p \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'')\}$   
 $\langle \text{proof} \rangle$

**end**

## 8 Canonicalization Phase

**theory** *Canonicalization*

**imports**

*Proofs.IRGraphFrames*

*Proofs.Stuttering*

*Proofs.Bisimulation*

*Proofs.Form*

*Graph.Traversal*

**begin**

**inductive** *CanonicalizeConditional* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**where**

*negate-condition*:

$\llbracket \text{kind } g \ \text{cond} = \text{LogicNegationNode } \text{flip} \rrbracket$

$\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode } \text{cond } \text{tb } \text{fb}) \ (\text{ConditionalNode } \text{flip } \text{fb } \text{tb}) \mid$

*const-true*:

$\llbracket \text{kind } g \ \text{cond} = \text{ConstantNode } \text{val};$

$\text{val-to-bool } \text{val} \rrbracket$

$\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode } \text{cond } \text{tb } \text{fb}) \ (\text{RefNode } \text{tb}) \mid$

*const-false*:

$\llbracket \text{kind } g \ \text{cond} = \text{ConstantNode } \text{val};$

$\neg(\text{val-to-bool } \text{val}) \rrbracket$

$\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode } \text{cond } \text{tb } \text{fb}) \ (\text{RefNode } \text{fb}) \mid$

*eq-branches*:

$\llbracket \text{tb} = \text{fb} \rrbracket$

$\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode } \text{cond } \text{tb } \text{fb}) \ (\text{RefNode } \text{tb}) \mid$

*cond-eq*:

$\llbracket \text{kind } g \ \text{cond} = \text{IntegerEqualsNode } \text{tb } \text{fb} \rrbracket$

$\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode } \text{cond } \text{tb } \text{fb}) \ (\text{RefNode } \text{fb}) \mid$

*condition-bounds-x*:

$\llbracket \text{kind } g \ \text{cond} = \text{IntegerLessThanNode } \text{tb } \text{fb};$

$\llbracket \text{stpi-upper } (\text{stamp } g \text{ } tb) \leq \text{stpi-lower } (\text{stamp } g \text{ } fb) \rrbracket$   
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

*condition-bounds-y:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode fb tb};$   
 $\text{stpi-upper } (\text{stamp } g \text{ } fb) \leq \text{stpi-lower } (\text{stamp } g \text{ } tb) \rrbracket$   
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) }$

**inductive** *CanonicalizeAdd* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*add-both-const:*

$\llbracket \text{kind } g \text{ } x = \text{ConstantNode } c-1;$   
 $\text{kind } g \text{ } y = \text{ConstantNode } c-2;$   
 $\text{val} = \text{intval-add } c-1 \text{ } c-2 \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } y) (\text{ConstantNode val}) |$

*add-xzero:*

$\llbracket \text{kind } g \text{ } x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \text{ } y));$   
 $c-1 = (\text{IntVal32 } 0) \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } y) (\text{RefNode } y) |$

*add-yzero:*

$\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } x));$   
 $\text{kind } g \text{ } y = \text{ConstantNode } c-2;$   
 $c-2 = (\text{IntVal32 } 0) \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } y) (\text{RefNode } x) |$

*add-xsub:*

$\llbracket \text{kind } g \text{ } x = \text{SubNode } a \text{ } y \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } y) (\text{RefNode } a) |$

*add-ysub:*

$\llbracket \text{kind } g \text{ } y = \text{SubNode } a \text{ } x \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } y) (\text{RefNode } a) |$

*add-xnegate:*

$\llbracket \text{kind } g \text{ } nx = \text{NegateNode } x \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } nx \text{ } y) \text{ (SubNode } y \text{ } x) \mid$

*add-ynegate:*

$\llbracket \text{kind } g \text{ } ny = \text{NegateNode } y \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ } ny) \text{ (SubNode } x \text{ } y)$

**inductive** *CanonicalizeIf* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*trueConst:*

$\llbracket \text{kind } g \text{ } cond = \text{ConstantNode } condv;$   
 $\text{val-to-bool } condv \rrbracket$   
 $\implies \text{CanonicalizeIf } g \text{ (IfNode } cond \text{ } tb \text{ } fb) \text{ (RefNode } tb) \mid$

*falseConst:*

$\llbracket \text{kind } g \text{ } cond = \text{ConstantNode } condv;$   
 $\neg(\text{val-to-bool } condv) \rrbracket$   
 $\implies \text{CanonicalizeIf } g \text{ (IfNode } cond \text{ } tb \text{ } fb) \text{ (RefNode } fb) \mid$

*eqBranch:*

$\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \text{ } cond));$   
 $tb = fb \rrbracket$   
 $\implies \text{CanonicalizeIf } g \text{ (IfNode } cond \text{ } tb \text{ } fb) \text{ (RefNode } tb) \mid$

*eqCondition:*

$\llbracket \text{kind } g \text{ } cond = \text{IntegerEqualsNode } x \text{ } x \rrbracket$   
 $\implies \text{CanonicalizeIf } g \text{ (IfNode } cond \text{ } tb \text{ } fb) \text{ (RefNode } tb)$

**inductive** *CanonicalizeBinaryArithmeticNode* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$

*bool* **where**

*add-const-fold:*

$\llbracket op = \text{kind } g \text{ } op\text{-id};$   
 $is\text{-AddNode } op;$   
 $\text{kind } g \text{ (ir-} x \text{ } op) = \text{ConditionalNode } cond \text{ } tb \text{ } fb;$   
 $\text{kind } g \text{ } tb = \text{ConstantNode } c\text{-1};$   
 $\text{kind } g \text{ } fb = \text{ConstantNode } c\text{-2};$   
 $\text{kind } g \text{ (ir-} y \text{ } op) = \text{ConstantNode } c\text{-3};$   
 $tv = \text{intval-add } c\text{-1 } c\text{-3};$   
 $fv = \text{intval-add } c\text{-2 } c\text{-3};$   
 $g' = \text{replace-node } tb \text{ ((ConstantNode } tv), \text{ constantAsStamp } tv) \text{ } g;$   
 $g'' = \text{replace-node } fb \text{ ((ConstantNode } fv), \text{ constantAsStamp } fv) \text{ } g';$   
 $g''' = \text{replace-node } op\text{-id } (\text{kind } g \text{ (ir-} x \text{ } op), \text{ meet } (\text{constantAsStamp } tv) \text{ (constantAsStamp } fv)) \text{ } g'' \rrbracket$

$\implies \text{CanonicalizeBinaryArithmeticNode } op\text{-id } g \ g'''$

**inductive**  $\text{CanonicalizeCommutativeBinaryArithmeticNode} :: \text{IRGraph} \Rightarrow \text{IRNode}$   
 $\Rightarrow \text{IRNode} \Rightarrow \text{bool}$

**for**  $g$  **where**

*add-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

*and-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

*int-equals-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{IntegerEqualsNode } x \ y)$   
 $(\text{IntegerEqualsNode } y \ x) \mid$

*mul-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{MulNode } x \ y) \ (\text{MulNode } y \ x) \mid$

*or-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

*xor-ids-ordered:*

$\llbracket \neg(\text{is-ConstantNode } (kind \ g \ y));$   
 $((\text{is-ConstantNode } (kind \ g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x) \mid$

*add-swap-const-first:*

$\llbracket \text{is-ConstantNode } (kind \ g \ x);$   
 $\neg(\text{is-ConstantNode } (kind \ g \ y)) \rrbracket$

$\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (AddNode } x \text{ } y \text{) (AddNode } y \text{ } x) \mid$

*and-swap-const-first:*  
 $\llbracket \text{is-ConstantNode (kind } g \text{ } x \text{); } \neg(\text{is-ConstantNode (kind } g \text{ } y \text{)}) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (AndNode } x \text{ } y \text{) (AndNode } y \text{ } x) \mid$

*int-equals-swap-const-first:*  
 $\llbracket \text{is-ConstantNode (kind } g \text{ } x \text{); } \neg(\text{is-ConstantNode (kind } g \text{ } y \text{)}) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (IntegerEqualsNode } x \text{ } y \text{) (IntegerEqualsNode } y \text{ } x) \mid$

*mul-swap-const-first:*  
 $\llbracket \text{is-ConstantNode (kind } g \text{ } x \text{); } \neg(\text{is-ConstantNode (kind } g \text{ } y \text{)}) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (MulNode } x \text{ } y \text{) (MulNode } y \text{ } x) \mid$

*or-swap-const-first:*  
 $\llbracket \text{is-ConstantNode (kind } g \text{ } x \text{); } \neg(\text{is-ConstantNode (kind } g \text{ } y \text{)}) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (OrNode } x \text{ } y \text{) (OrNode } y \text{ } x) \mid$

*xor-swap-const-first:*  
 $\llbracket \text{is-ConstantNode (kind } g \text{ } x \text{); } \neg(\text{is-ConstantNode (kind } g \text{ } y \text{)}) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \text{ (XorNode } x \text{ } y \text{) (XorNode } y \text{ } x)$

**inductive** *CanonicalizeSub* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*sub-same:*

$\llbracket x = y; \text{stamp } g \text{ } x = (\text{IntegerStamp } b \text{ } l \text{ } h) \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } x \text{ } y \text{) (ConstantNode (IntVal32 0))} \mid$

*sub-both-const:*

$\llbracket \text{kind } g \text{ } x = \text{ConstantNode } c\text{-1}; \text{kind } g \text{ } y = \text{ConstantNode } c\text{-2}; \text{val} = \text{intval-sub } c\text{-1 } c\text{-2} \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } x \text{ } y \text{) (ConstantNode val)} \mid$

*sub-left-add1:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode left } b) \ (\text{RefNode } a) \mid$

*sub-left-add2:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode left } a) \ (\text{RefNode } b) \mid$

*sub-left-sub:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode left } a) \ (\text{NegateNode } b) \mid$

*sub-right-add1:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{NegateNode } b) \mid$

*sub-right-add2:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } b \ \text{right}) \ (\text{NegateNode } a) \mid$

*sub-right-sub:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \ b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{RefNode } a) \mid$

*sub-yzero:*

$\llbracket \text{kind } g \ y = \text{ConstantNode } (\text{IntVal32 } 0) \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{RefNode } x) \mid$

*sub-xzero:*

$\llbracket \text{kind } g \ x = \text{ConstantNode } (\text{IntVal32 } 0) \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{NegateNode } y) \mid$

*sub-y-negate:*

$\llbracket \text{kind } g \ nb = \text{NegateNode } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ nb) \ (\text{AddNode } a \ b)$

**inductive** *CanonicalizeMul* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*mul-both-const:*

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1; \rrbracket$

$kind\ g\ y = ConstantNode\ c-2;$   
 $val = intval-mul\ c-1\ c-2$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (ConstantNode\ val)\ |$

$mul-xzero:$   
 $\llbracket kind\ g\ x = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ y));$   
 $c-1 = (IntVal32\ 0) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (ConstantNode\ c-1)\ |$

$mul-yzero:$   
 $\llbracket kind\ g\ y = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ x));$   
 $c-1 = (IntVal32\ 0) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (ConstantNode\ c-1)\ |$

$mul-xone:$   
 $\llbracket kind\ g\ x = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ y));$   
 $c-1 = (IntVal32\ 1) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (RefNode\ y)\ |$

$mul-yone:$   
 $\llbracket kind\ g\ y = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ x));$   
 $c-1 = (IntVal32\ 1) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (RefNode\ x)\ |$

$mul-xnegate:$   
 $\llbracket kind\ g\ x = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ y));$   
 $c-1 = (IntVal32\ (-1)) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (NegateNode\ y)\ |$

$mul-ynegate:$   
 $\llbracket kind\ g\ y = ConstantNode\ c-1;$   
 $\neg(is-ConstantNode\ (kind\ g\ x));$   
 $c-1 = (IntVal32\ (-1)) \rrbracket$   
 $\Rightarrow CanonicalizeMul\ g\ (MulNode\ x\ y)\ (NegateNode\ x)$

**inductive**  $CanonicalizeAbs :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool$   
**for**  $g$  **where**  
 $abs-abs:$   
 $\llbracket kind\ g\ x = (AbsNode\ y) \rrbracket$   
 $\Rightarrow CanonicalizeAbs\ g\ (AbsNode\ x)\ (AbsNode\ y)\ |$



*abs-negate:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{NegateNode } x) \rrbracket$   
 $\implies \text{CanonicalizeAbs } g \text{ } (\text{AbsNode } nx) \text{ } (\text{AbsNode } x)$

**inductive** *CanonicalizeNegate* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*negate-const:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{ConstantNode } val);$   
 $\text{val} = (\text{IntVal32 } v);$   
 $\text{neg-val} = \text{intval-sub } (\text{IntVal32 } 0) \text{ } val \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \text{ } (\text{NegateNode } nx) \text{ } (\text{ConstantNode } \text{neg-val}) \mid$

*negate-negate:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{NegateNode } x) \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \text{ } (\text{NegateNode } nx) \text{ } (\text{RefNode } x) \mid$

*negate-sub:*  
 $\llbracket \text{kind } g \text{ } sub = (\text{SubNode } x \text{ } y);$   
 $\text{stamp } g \text{ } sub = (\text{IntegerStamp } - \text{ } -) \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \text{ } (\text{NegateNode } sub) \text{ } (\text{SubNode } y \text{ } x)$

**inductive** *CanonicalizeNot* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*not-const:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{ConstantNode } val);$   
 $\text{neg-val} = \text{intval-not } val \rrbracket$   
 $\implies \text{CanonicalizeNot } g \text{ } (\text{NotNode } nx) \text{ } (\text{ConstantNode } \text{neg-val}) \mid$

*not-not:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{NotNode } x) \rrbracket$   
 $\implies \text{CanonicalizeNot } g \text{ } (\text{NotNode } nx) \text{ } (\text{RefNode } x)$

**inductive** *CanonicalizeLogicNegation* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*logical-not-const:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{ConstantNode } val);$   
 $\text{neg-val} = \text{bool-to-val } (\neg(\text{val-to-bool } val)) \rrbracket$   
 $\implies \text{CanonicalizeLogicNegation } g \text{ } (\text{LogicNegationNode } nx) \text{ } (\text{ConstantNode } \text{neg-val})$   
 $\mid$

*logical-not-not:*  
 $\llbracket \text{kind } g \text{ } nx = (\text{LogicNegationNode } x) \rrbracket$   
 $\implies \text{CanonicalizeLogicNegation } g \text{ } (\text{LogicNegationNode } nx) \text{ } (\text{RefNode } x)$

**inductive** *CanonicalizeAnd* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for**  $g$  **where**  
*and-same:*  
 $\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \text{ } y) \text{ (RefNode } x) \mid$

*and-xtrue:*  
 $\llbracket \text{kind } g \text{ } x = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \text{ } y) \text{ (RefNode } y) \mid$

*and-ytrue:*  
 $\llbracket \text{kind } g \text{ } y = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \text{ } y) \text{ (RefNode } x) \mid$

*and-xfalse:*  
 $\llbracket \text{kind } g \text{ } x = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \text{ } y) \text{ (ConstantNode } val) \mid$

*and-yfalse:*  
 $\llbracket \text{kind } g \text{ } y = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \text{ } y) \text{ (ConstantNode } val)$

**inductive**  $\text{CanonicalizeOr} :: \text{IRGraph} \Rightarrow \text{IRNode} \Rightarrow \text{IRNode} \Rightarrow \text{bool}$   
**for**  $g$  **where**  
*or-same:*  
 $\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \text{ } y) \text{ (RefNode } x) \mid$

*or-xtrue:*  
 $\llbracket \text{kind } g \text{ } x = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \text{ } y) \text{ (ConstantNode } val) \mid$

*or-ytrue:*  
 $\llbracket \text{kind } g \text{ } y = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \text{ } y) \text{ (ConstantNode } val) \mid$

*or-xfalse:*  
 $\llbracket \text{kind } g \text{ } x = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \text{ } y) \text{ (RefNode } y) \mid$

*or-yfalse:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$   
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \ (\text{OrNode } x \ y) \ (\text{RefNode } x)$

**inductive** *CanonicalizeDeMorgansLaw* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*de-morgan-or-to-and:*  
 $\llbracket \text{kind } g \ \text{nid} = \text{OrNode } nx \ ny;$   
 $\text{kind } g \ nx = \text{NotNode } x;$   
 $\text{kind } g \ ny = \text{NotNode } y;$   
 $\text{new-add-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{new-add-id} \ ((\text{AddNode } x \ y), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g;$   
 $g'' = \text{replace-node } \text{nid} \ ((\text{NotNode } \text{new-add-id}), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g' \rrbracket$   
 $\implies \text{CanonicalizeDeMorgansLaw } \text{nid } g \ g'' \mid$

*de-morgan-and-to-or:*  
 $\llbracket \text{kind } g \ \text{nid} = \text{AndNode } nx \ ny;$   
 $\text{kind } g \ nx = \text{NotNode } x;$   
 $\text{kind } g \ ny = \text{NotNode } y;$   
 $\text{new-add-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{new-add-id} \ ((\text{OrNode } x \ y), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g;$   
 $g'' = \text{replace-node } \text{nid} \ ((\text{NotNode } \text{new-add-id}), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g' \rrbracket$   
 $\implies \text{CanonicalizeDeMorgansLaw } \text{nid } g \ g'' \mid$

**inductive** *CanonicalizeIntegerEquals* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*int-equals-same-node:*  
 $\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode } x \ y) \ (\text{ConstantNode } (\text{IntVal32 } 1)) \mid$

*int-equals-distinct:*  
 $\llbracket \text{alwaysDistinct } (\text{stamp } g \ x) \ (\text{stamp } g \ y) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode } x \ y) \ (\text{ConstantNode } (\text{IntVal32 } 0)) \mid$

*int-equals-add-first-both-same:*

$\llbracket \text{kind } g \ \text{left} = \text{AddNode } x \ y;$   
 $\text{kind } g \ \text{right} = \text{AddNode } x \ z \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode } \text{left } \text{right}) \ (\text{IntegerEqualsNode } \text{left } \text{right}) \mid$

$y\ z) \mid$

*int-equals-add-first-second-same:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } x\ y;$   
 $\text{kind } g \text{ right} = \text{AddNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-add-second-first-same:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{AddNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-add-second-both--same:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{AddNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-sub-first-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } x\ y;$   
 $\text{kind } g \text{ right} = \text{SubNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-sub-second-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{SubNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z)$

**inductive** *CanonicalizeIntegerEqualsGraph* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*int-equals-rewrite:*

$\llbracket \text{CanonicalizeIntegerEquals } g\ \text{node}\ \text{node}';$   
 $\text{node} = \text{kind } g\ \text{nid};$   
 $g' = \text{replace-node}\ \text{nid}\ (\text{node}',\ \text{stamp } g\ \text{nid})\ g \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph}\ \text{nid}\ g\ g' \mid$

*int-equals-left-contains-right1:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } x;$   
 $\text{kind } g \text{ left} = \text{AddNode } x \text{ } y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \text{ } g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

*int-equals-left-contains-right2:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } y;$   
 $\text{kind } g \text{ left} = \text{AddNode } x \text{ } y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \text{ } g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } x \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

*int-equals-right-contains-left1:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } x \text{ right};$   
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ } y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \text{ } g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

*int-equals-right-contains-left2:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } y \text{ right};$   
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ } y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \text{ } g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } x \text{ const-id}), \text{stamp } g \text{ nid}) \text{ } g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \text{ } g'' \mid$

*int-equals-left-contains-right3:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } x;$   
 $\text{kind } g \text{ left} = \text{SubNode } x \text{ } y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \text{ } g;$

0))  $g$ ;  
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-right-contains-left3:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } x \text{ right};$   
 $\text{kind } g \text{ right} = \text{SubNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal32 } 0)), \text{constantAsStamp } (\text{IntVal32 } 0)) \ g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \text{ const-id}), \text{stamp } g \text{ nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g''$

**inductive** *CanonicalizationStep* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for**  $g$  **where**

*ConditionalNode:*

$\llbracket \text{CanonicalizeConditional } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*AddNode:*

$\llbracket \text{CanonicalizeAdd } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*IfNode:*

$\llbracket \text{CanonicalizeIf } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*SubNode:*  
 $\llbracket \text{CanonicalizeSub } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*MulNode:*  
 $\llbracket \text{CanonicalizeMul } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*AndNode:*  
 $\llbracket \text{CanonicalizeAnd } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*OrNode:*  
 $\llbracket \text{CanonicalizeOr } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*AbsNode:*  
 $\llbracket \text{CanonicalizeAbs } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*NotNode:*  
 $\llbracket \text{CanonicalizeNot } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*NegateNode:*  
 $\llbracket \text{CanonicalizeNegate } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*LogicNegationNode:*  
 $\llbracket \text{CanonicalizeLogicNegation } g \text{ node node}' \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}'$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeConditional*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAdd*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeIf*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeSub*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeMul*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAnd*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeOr*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAbs*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNot*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNegate*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeLogicNegation*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizationStep*  $\langle \text{proof} \rangle$

**type-synonym** *CanonicalizationAnalysis* = *bool option*

**fun** *analyse* :: (*ID* × *Seen* × *CanonicalizationAnalysis*) ⇒ *CanonicalizationAnalysis*  
**where**

*analyse* *i* = *None*

**inductive** *CanonicalizationPhase*

:: *IRGraph* ⇒ (*ID* × *Seen* × *CanonicalizationAnalysis*) ⇒ *IRGraph* ⇒ *bool* **where**

— Can do a step and optimise for the current node

[[*Step analyse g (nid, seen, i) (Some (nid', seen', i'))*;  
*CanonicalizationStep g (kind g nid) node*;

*g'* = *replace-node nid (node, stamp g nid) g*;

*CanonicalizationPhase g' (nid', seen', i') g'']*  
⇒ *CanonicalizationPhase g (nid, seen, i) g''* |

— Can do a step, matches whether optimised or not causing non-determinism We  
need to find a way to negate *ConditionalEliminationStep*

[[*Step analyse g (nid, seen, i) (Some (nid', seen', i'))*;

*CanonicalizationPhase g (nid', seen', i') g']*  
⇒ *CanonicalizationPhase g (nid, seen, i) g'* |

[[*Step analyse g (nid, seen, i) None*;

*Some nid' = pred g nid*;

*seen' = {nid} ∪ seen*;

*CanonicalizationPhase g (nid', seen', i) g']*  
⇒ *CanonicalizationPhase g (nid, seen, i) g'* |

[[*Step analyse g (nid, seen, i) None*;

*None = pred g nid*]

⇒ *CanonicalizationPhase g (nid, seen, i) g*

**code-pred** (*modes*: *i* ⇒ *i* ⇒ *o* ⇒ *bool*) *CanonicalizationPhase* ⟨*proof*⟩

**type-synonym** *Trace* = *IRNode* *list*

**inductive** *CanonicalizationPhaseWithTrace*

:: *IRGraph* ⇒ (*ID* × *Seen* × *CanonicalizationAnalysis*) ⇒ *IRGraph* ⇒ *Trace* ⇒  
*Trace* ⇒ *bool* **where**

— Can do a step and optimise for the current node

[[*Step analyse g (nid, seen, i) (Some (nid', seen', i'))*;  
*CanonicalizationStep g (kind g nid) node*;

*g'* = *replace-node nid (node, stamp g nid) g*;

*CanonicalizationPhaseWithTrace g' (nid', seen', i') g'' (kind g nid # t) t']*



$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g'' t t' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) (Some (nid', seen', i'))} \rrbracket$

$\text{CanonicalizationPhaseWithTrace } g \text{ (nid', seen', i') } g' \text{ (kind } g \text{ nid \# t) } t' \rrbracket$

$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g' t t' \mid$

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) None} \rrbracket$

$\text{Some } \text{nid}' = \text{pred } g \text{ nid};$

$\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{CanonicalizationPhaseWithTrace } g \text{ (nid', seen', i) } g' \text{ (kind } g \text{ nid \# t) } t' \rrbracket$

$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g' t t' \mid$

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) None} \rrbracket$

$\text{None} = \text{pred } g \text{ nid} \rrbracket$

$\implies \text{CanonicalizationPhaseWithTrace } g \text{ (nid, seen, i) } g t t$

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  $\text{CanonicalizationPhaseWithTrace}$   
 $\langle \text{proof} \rangle$

**end**