Verifying term graph optimizations using Isabelle/HOL

Isabelle/HOL Theories

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Abstract

Our objective is to formally verify the correctness of the hundreds of expression optimization rules used within the GraalVM compiler. When defining the semantics of a programming language, expressions naturally form abstract syntax trees, or, terms. However, in order to facilitate sharing of common subexpressions, modern compilers represent expressions as term graphs. Defining the semantics of term graphs is more complicated than defining the semantics of their equivalent term representations. More significantly, defining optimizations directly on term graphs and proving semantics preservation is considerably more complicated than on the equivalent term representations. On terms, optimizations can be expressed as conditional term rewriting rules, and proofs that the rewrites are semantics preserving are relatively straightforward. In this paper, we explore an approach to using term rewrites to verify term graph transformations of optimizations within the GraalVM compiler. This approach significantly reduces the overall verification effort and allows for simpler encoding of optimization rules.

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1 Operator Semantics

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

```
type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean
abbreviation valid-int-widths :: nat set where
valid-int-widths \equiv \{1, 8, 16, 32, 64\}
```

experiment begin

Option 2: explicit width stored with each integer value. However, this does not help us to distinguish between short (signed) and char (unsigned).

```
typedef IntWidth = \{ w :: nat : w=1 \lor w=8 \lor w=16 \lor w=32 \lor w=64 \} by blast
```

setup-lifting type-definition-IntWidth

```
lift-definition IntWidthBits :: IntWidth \Rightarrow nat is \lambda w.~w . end
```

experiment begin

```
Option 3: explicit type stored with each integer value.
datatype IntType = ILong \mid IInt \mid IShort \mid IChar \mid IByte \mid IBoolean
fun int-bits :: IntType \Rightarrow nat where
 int-bits ILong = 64 |
 int-bits IInt = 32
 int-bits IShort = 16
  int-bits IChar = 16
  int-bits IByte = 8
  int-bits IBoolean = 1
fun int-signed :: IntType \Rightarrow bool where
  int-signed ILong = True |
  int-signed IInt = True |
  int-signed IShort = True \mid
  int-signed IChar = False
  int-signed IByte = True \mid
  int-signed IBoolean = True
end
Option 4: int64 with the number of significant bits.
type-synonym iwidth = nat
type-synonym \ objref = nat \ option
datatype (discs-sels) Value =
  UndefVal
  IntVal iwidth int64 |
  ObjRef objref |
  ObjStr\ string
fun intval-bits :: Value <math>\Rightarrow nat where
  intval-bits (IntVal\ b\ v) = b
fun intval-word :: Value \Rightarrow int64 where
  intval-word (IntVal\ b\ v) = v
fun bit-bounds :: nat \Rightarrow (int \times int) where
  bit-bounds bits = (((2 \hat{bits}) div 2) * -1, ((2 \hat{bits}) div 2) - 1)
definition logic\text{-}negate :: ('a::len) \ word \Rightarrow 'a \ word \ \mathbf{where}
  logic-negate x = (if x = 0 then 1 else 0)
```

```
\mathbf{fun} \ \mathit{int\text{-}signed\text{-}value} :: \mathit{iwidth} \Rightarrow \mathit{int64} \Rightarrow \mathit{int} \ \mathbf{where}
  int-signed-value b v = sint (signed-take-bit (b - 1) v)
fun int-unsigned-value :: iwidth \Rightarrow int64 \Rightarrow int where
  int-unsigned-value b v = uint v
Converts an integer word into a Java value.
fun new-int :: iwidth \Rightarrow int64 \Rightarrow Value where
  new-int b w = IntVal b (take-bit b w)
Converts an integer word into a Java value, iff the two types are equal.
fun new-int-bin :: iwidth \Rightarrow iwidth \Rightarrow int64 \Rightarrow Value where
  new-int-bin\ b1\ b2\ w=(if\ b1=b2\ then\ new-int\ b1\ w\ else\ UndefVal)
fun wf-bool :: Value \Rightarrow bool where
  wf-bool (IntVal\ b\ w) = (b = 1)
  wf-bool - = False
fun val-to-bool :: Value \Rightarrow bool where
  val-to-bool (IntVal b val) = (if val = 0 then False else True) |
  val-to-bool val = False
fun bool-to-val :: bool \Rightarrow Value where
  bool-to-val \ True = (Int Val \ 32 \ 1)
  bool-to-val False = (IntVal 32 0)
Converts an Isabelle bool into a Java value, iff the two types are equal.
fun bool-to-val-bin :: iwidth \Rightarrow iwidth \Rightarrow bool \Rightarrow Value where
  bool-to-val-bin\ t1\ t2\ b=(if\ t1=t2\ then\ bool-to-val\ b\ else\ UndefVal)
fun is-int-val :: Value \Rightarrow bool where
  is\text{-}int\text{-}val\ v = is\text{-}IntVal\ v
A convenience function for directly constructing -1 values of a given bit size.
fun neg\text{-}one :: iwidth \Rightarrow int64 where
  neg-one \ b = mask \ b
lemma neg-one-value[simp]: new-int b (neg-one b) = IntVal b (mask b)
 by simp
lemma neg-one-signed[simp]:
  assumes \theta < b
  shows int-signed-value b (neg-one b) = -1
```

by (smt (verit, best) assms diff-le-self diff-less int-signed-value.simps less-one mask-eq-take-bit-minus-one neg-one.simps nle-le signed-minus-1 signed-take-bit-of-minus-1 signed-take-bit-take-bit verit-comp-simplify1(1))

1.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instantiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value \Rightarrow Value \Rightarrow Value where
  intval-add (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) =
    (if \ b1 = b2 \ then \ IntVal \ b1 \ (take-bit \ b1 \ (v1+v2)) \ else \ UndefVal) \mid
  intval-add - - = UndefVal
\mathbf{fun} \ \mathit{intval\text{-}sub} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \\ \mathbf{where}
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - = UndefVal
fun intval-mul :: Value \Rightarrow Value \Rightarrow Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2)
  intval-mul - - = UndefVal
fun intval-div :: Value \Rightarrow Value \Rightarrow Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
        new-int-bin b1 b2 (word-of-int
           ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2))) |
  intval-div - - = UndefVal
fun intval-mod :: Value \Rightarrow Value \Rightarrow Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
        new-int-bin b1 b2 (word-of-int
           ((int-signed-value b1 v1) smod (int-signed-value b2 v2))) |
  intval-mod - - = UndefVal
fun intval-negate :: Value \Rightarrow Value where
```

```
intval-negate (IntVal\ t\ v) = new-int\ t\ (-\ v)
  intval-negate - = UndefVal
fun intval-abs :: Value \Rightarrow Value where
  intval-abs\ (IntVal\ t\ v) = new-int\ t\ (if\ int-signed-value\ t\ v < 0\ then\ -\ v\ else\ v)
  intval-abs - = UndefVal
TODO: clarify which widths this should work on: just 1-bit or all?
fun intval-logic-negation :: Value \Rightarrow Value where
  intval-logic-negation (IntVal b v) = new-int b (logic-negate v)
  intval-logic-negation - = UndefVal
1.2
       Bitwise Operators
fun intval-and :: Value \Rightarrow Value \Rightarrow Value where
  intval-and (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) = new-int-bin\ b1\ b2\ (and\ v1\ v2)
  intval-and - - = UndefVal
fun intval-or :: Value \Rightarrow Value \Rightarrow Value where
  intval-or (IntVal\ b1\ v1)\ (IntVal\ b2\ v2) = new-int-bin\ b1\ b2\ (or\ v1\ v2)
  intval-or - - = UndefVal
fun intval-xor :: Value <math>\Rightarrow Value \Rightarrow Value where
  intval-xor (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (xor v1 v2)
  intval-xor - - = UndefVal
fun intval-not :: Value \Rightarrow Value where
  intval-not (IntVal\ t\ v) = new-int t\ (not\ v)
  intval	ext{-}not -= UndefVal
1.3
        Comparison Operators
\mathbf{fun} \ \mathit{intval\text{-}short\text{-}\mathit{circuit\text{-}or}} :: \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow \ \mathit{Value} \Rightarrow 
  intval-short-circuit-or (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (((v1)
\neq 0) \vee (v2 \neq 0))
  intval-short-circuit-or - - = UndefVal
fun intval-equals :: Value \Rightarrow Value \Rightarrow Value where
  intval-equals (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 = v2)
  intval-equals - - = UndefVal
fun intval-less-than :: Value \Rightarrow Value \Rightarrow Value where
  intval-less-than (IntVal b1 v1) (IntVal b2 v2) =
    bool-to-val-bin b1 b2 (int-signed-value b1 v1 < int-signed-value b2 v2)
  intval-less-than - - = UndefVal
fun intval-below :: Value \Rightarrow Value \Rightarrow Value where
  intval-below (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (v1 < v2)
  intval-below - - = UndefVal
```

```
fun intval-conditional :: Value \Rightarrow Value \Rightarrow Value \Rightarrow Value \Rightarrow Value where intval-conditional cond tv \ fv = (if \ (val-to-bool \ cond) \ then \ tv \ else \ fv)
```

1.4 Narrowing and Widening Operators

Note: we allow these operators to have inBits=outBits, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

```
value sint(signed-take-bit \ 0 \ (1 :: int32))
fun intval-narrow :: nat \Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-narrow inBits outBits (IntVal\ b\ v) =
    (if\ inBits = b \land 0 < outBits \land outBits \leq inBits \land inBits \leq 64
     then new-int outBits v
     else UndefVal) |
  intval-narrow - - - = UndefVal
value sint (signed-take-bit 7 ((256 + 128) :: int64))
fun intval-sign-extend :: nat <math>\Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if\ inBits = b \land 0 < inBits \land inBits \leq outBits \land outBits \leq 64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal
fun intval-zero-extend :: nat \Rightarrow nat \Rightarrow Value \Rightarrow Value where
  intval-zero-extend inBits outBits (IntVal\ b\ v) =
    (if\ inBits = b \land 0 < inBits \land inBits \leq outBits \land outBits \leq 64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal
Some well-formedness results to help reasoning about narrowing and widen-
ing operators
lemma intval-narrow-ok:
 assumes intval-narrow inBits outBits val \neq UndefVal
 shows 0 < outBits \land outBits \le inBits \land inBits \le 64 \land outBits \le 64 \land
       is-IntVal val \wedge
       intval-bits val = inBits
  using assms intval-narrow.simps neg0-conv intval-bits.simps
 by (metis Value.disc(2) intval-narrow.elims le-trans)
lemma intval-sign-extend-ok:
  assumes intval-sign-extend in Bits out Bits val \neq Undef Val
 shows \theta < inBits \wedge
       inBits \leq outBits \land outBits \leq 64 \land
```

```
is-IntVal val \land
       intval-bits val = inBits
 {\bf using} \ assms \ intval\mbox{-}sign\mbox{-}extend.simps \ neq0\mbox{-}conv
 by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def)
\mathbf{lemma}\ intval	ext{-}zero	ext{-}extend	ext{-}ok:
 assumes intval-zero-extend inBits outBits val \neq UndefVal
 shows \theta < inBits \wedge
       inBits \leq outBits \land outBits \leq 64 \land
       is-IntVal val \land
       intval-bits val = inBits
 using assms intval-sign-extend.simps neq0-conv
 by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def)
       Bit-Shifting Operators
definition shiftl (infix <<75) where
  shiftl \ w \ n = (push-bit \ n) \ w
lemma shiftl-power[simp]: (x::('a::len) \ word) * (2 \ \hat{} j) = x << j
 unfolding shiftl-def apply (induction j)
  apply simp unfolding funpow-Suc-right
 by (metis (no-types, opaque-lifting) push-bit-eq-mult)
lemma (x::('a::len) word) * ((2 ^j) + 1) = x << j + x
 by (simp add: distrib-left)
lemma (x::('a::len) \ word) * ((2 \hat{j}) - 1) = x << j - x
 by (simp add: right-diff-distrib)
lemma (x::('a::len) word) * ((2^j) + (2^k)) = x << j + x << k
 \mathbf{by}\ (\mathit{simp}\ \mathit{add}\colon \mathit{distrib\text{-}left})
lemma (x::('a::len) \ word) * ((2\hat{j}) - (2\hat{k})) = x << j - x << k
 by (simp add: right-diff-distrib)
definition shiftr (infix >>> 75) where
  shiftr w n = (drop-bit n) w
value (255 :: 8 word) >>> (2 :: nat)
definition sshiftr :: 'a :: len word \Rightarrow nat \Rightarrow 'a :: len word (infix >> 75) where
  sshiftr \ w \ n = word-of-int \ ((sint \ w) \ div \ (2 \ \widehat{\ } n))
value (128 :: 8 word) >> 2
```

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```
fun shift-amount :: iwidth \Rightarrow int64 \Rightarrow nat where
 shift-amount b val = unat (and val) (if <math>b = 64 then 0x3F else 0x1f))
fun intval-left-shift :: Value \Rightarrow Value \Rightarrow Value where
 intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2)
 intval-left-shift - - = UndefVal
Signed shift is more complex, because we sometimes have to insert 1 bits at
the correct point, which is at b1 bits.
fun intval-right-shift :: Value \Rightarrow Value \Rightarrow Value where
 intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let \ shift = shift-amount \ b1 \ v2 \ in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
     then new-int b1 (or ones (v1 >>> shift))
     else new-int b1 (v1 >>> shift)))
 intval-right-shift - - = UndefVal
fun intval-uright-shift :: Value \Rightarrow Value \Rightarrow Value where
 intval-uright-shift (IntVal\ b1\ v1) (IntVal\ b2\ v2) = new-int\ b1\ (v1>>> shift-amount
 intval-uright-shift - - = UndefVal
1.5.1 Examples of Narrowing / Widening Functions
experiment begin
corollary intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp
corollary intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp
corollary intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp
corollary intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp
corollary intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp
corollary intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp
corollary intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp
end
experiment begin
corollary intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2^32 -
128) by simp
corollary intval-sign-extend 8 32 (Int Val 8 (-2)) = Int Val 32 (2^32 - 2) by simp
corollary intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp
```

corollary intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp

```
corollary intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp corollary intval-sign-extend 8 64 (IntVal 32 254) = UndefVal by simp corollary intval-sign-extend 8 64 (IntVal 8 254) = IntVal 64 (-2) by simp corollary intval-sign-extend 32 64 (IntVal 32 (2^32 - 2)) = IntVal 64 (-2) by simp corollary intval-sign-extend 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp end
```

experiment begin

```
corollary intval-zero-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 128 by simp corollary intval-zero-extend 8 32 (IntVal 8 (-2)) = IntVal 32 254 by simp corollary intval-zero-extend 1 32 (IntVal 1 (-1)) = IntVal 32 1 by simp corollary intval-zero-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp
```

```
corollary intval-zero-extend 8 32 (IntVal 64 (-2)) = UndefVal by simp corollary intval-zero-extend 8 64 (IntVal 64 (-2)) = UndefVal by simp corollary intval-zero-extend 8 64 (IntVal 8 254) = IntVal 64 254 by simp corollary intval-zero-extend 32 64 (IntVal 32 (2^32 - 2)) = IntVal 64 (2^32 - 2) by simp corollary intval-zero-extend 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp end
```

experiment begin

```
corollary intval-right-shift (IntVal 8 128) (IntVal 8 0) = IntVal 8 128 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 1) = IntVal 8 192 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 2) = IntVal 8 224 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 8) = IntVal 8 255 by eval corollary intval-right-shift (IntVal 8 128) (IntVal 8 31) = IntVal 8 255 by eval end
```

```
lemma intval-add-sym:
```

```
shows intval-add a b = intval-add b a by (induction \ a; induction \ b; auto \ simp: add.commute)
```

 ${f code-deps}\ intval-add$ ${f code-thms}\ intval-add$

```
lemma intval-add (IntVal 32 (2^31-1)) (IntVal 32 (2^31-1)) = IntVal 32 (2^32 - 2) by eval
```

```
lemma intval-add \ (IntVal \ 64 \ (2^31-1)) \ (IntVal \ 64 \ (2^31-1)) = IntVal \ 64 \ 4294967294 by eval
```

end

1.6 Fixed-width Word Theories

theory ValueThms imports Values begin

1.6.1 Support Lemmas for Upper/Lower Bounds

```
lemma size32: size v = 32 for v :: 32 word
 using size-word.rep-eq
 \textbf{using} \ \textit{One-nat-def} \ add. \textit{right-neutral} \ add-\textit{Suc-right len-of-numeral-defs}(2) \ len-of-numeral-defs}(3)
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
 by (smt (verit, del-insts) mult.commute)
lemma size64: size v = 64 for v :: 64 word
 using size-word.rep-eq
 \textbf{using} \ \textit{One-nat-def} \ add. \textit{right-neutral} \ add-\textit{Suc-right len-of-numeral-defs}(2) \ len-of-numeral-defs}(3)
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
 by (smt (verit, del-insts) mult.commute)
lemma lower-bounds-equiv:
 assumes 0 < N
 shows -(((2::int) \ \widehat{\ } (N-1))) = (2::int) \ \widehat{\ } N \ div \ 2 * - 1
 by (simp add: assms int-power-div-base)
lemma upper-bounds-equiv:
 assumes 0 < N
 shows (2::int) \ \hat{\ } (N-1) = (2::int) \ \hat{\ } N \ div \ 2
 by (simp add: assms int-power-div-base)
Some min/max bounds for 64-bit words
lemma bit-bounds-min64: ((fst\ (bit-bounds\ 64))) \le (sint\ (v::int64))
  unfolding bit-bounds.simps fst-def
 using sint-ge[of v] by simp
lemma bit-bounds-max64: ((snd\ (bit-bounds\ 64))) \ge (sint\ (v::int64))
 unfolding bit-bounds.simps fst-def
 using sint-lt[of v] by simp
```

```
Note: we could use signed to convert between bit-widths, instead of signed_take_bit. But that would have to be done separately for each bit-width type.
```

```
value sint(signed-take-bit 7 (128 :: int8))
ML-val \langle @\{thm\ signed-take-bit-decr-length-iff\} \rangle
declare [[show-types=true]]
ML-val \langle @\{thm \ signed - take - bit - int - less - exp\} \rangle
lemma signed-take-bit-int-less-exp-word:
 fixes ival :: 'a :: len word
 assumes n < LENGTH('a)
 shows sint(signed-take-bit\ n\ ival) < (2::int) \cap n
 apply transfer
 by (smt (verit, best) not-take-bit-negative signed-take-bit-eq-take-bit-shift
    signed-take-bit-int-less-exp take-bit-int-greater-self-iff)
\mathbf{lemma} \ signed-take-bit-int-greater-eq-minus-exp-word:
  fixes ival :: 'a :: len word
 assumes n < LENGTH('a)
 shows - (2 \hat{n}) \leq sint(signed-take-bit \ n \ ival)
 apply transfer
 by (smt (verit, best) signed-take-bit-int-greater-eq-minus-exp
    signed-take-bit-int-greater-eq-self-iff signed-take-bit-int-less-exp)
lemma signed-take-bit-range:
 \mathbf{fixes}\ ival:: \ 'a:: \ len\ word
 assumes n < LENGTH('a)
 assumes val = sint(signed-take-bit \ n \ ival)
 shows -(2 \hat{n}) \leq val \wedge val < 2 \hat{n}
 \textbf{using} \ signed-take-bit-int-greater-eq-minus-exp-word \ signed-take-bit-int-less-exp-word
 using assms by blast
A bit bounds version of the above lemma.
\mathbf{lemma}\ signed\mbox{-}take\mbox{-}bit\mbox{-}bounds:
 fixes ival :: 'a :: len word
 assumes n \leq LENGTH('a)
 assumes 0 < n
 assumes val = sint(signed-take-bit (n - 1) ival)
 shows fst (bit\text{-}bounds\ n) \leq val \wedge val \leq snd\ (bit\text{-}bounds\ n)
 {\bf using} \ assms \ signed-take-bit-range \ lower-bounds-equiv \ upper-bounds-equiv
  by (metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt
snd-conv zle-diff1-eq)
\mathbf{lemma} \ signed-take-bit-bounds 64:
 fixes ival :: int64
 assumes n < 64
```

```
assumes 0 < n
 assumes val = sint(signed-take-bit (n - 1) ival)
 shows fst (bit\text{-}bounds\ n) \leq val \wedge val \leq snd\ (bit\text{-}bounds\ n)
 using assms signed-take-bit-bounds
 by (metis size64 word-size)
lemma int-signed-value-bounds:
 assumes b1 \leq 64
 assumes 0 < b1
 shows fst (bit-bounds b1) \leq int-signed-value b1 v2 \wedge 
       int-signed-value b1 v2 \le snd (bit-bounds b1)
 using assms int-signed-value.simps signed-take-bit-bounds64 by blast
lemma int-signed-value-range:
 fixes ival :: int64
 assumes val = int-signed-value n ival
 shows -(2 (n-1)) \leq val \wedge val < 2 (n-1)
 using \ signed-take-bit-range \ assms
  by (smt (verit, ccfv-SIG) One-nat-def diff-less int-signed-value.elims len-gt-0
len-num1 power-less-imp-less-exp power-strict-increasing sint-greater-eq sint-less)
Some lemmas about unsigned words smaller than 64-bit, for zero-extend
operators.
lemma take-bit-smaller-range:
 fixes ival :: 'a :: len word
 assumes n < LENGTH('a)
 assumes val = sint(take-bit \ n \ ival)
 shows 0 \leq val \wedge val < (2::int) \cap n
 by (simp add: assms signed-take-bit-eq)
lemma take-bit-same-size-nochange:
 fixes ival :: 'a :: len word
 assumes n = LENGTH('a)
 \mathbf{shows}\ ival = \mathit{take-bit}\ n\ ival
 by (simp add: assms)
A simplification lemma for new_int, showing that upper bits can be ignored.
lemma take-bit-redundant[simp]:
 fixes ival :: 'a :: len word
 assumes 0 < n
 assumes n < LENGTH('a)
 shows signed-take-bit (n-1) (take-bit n ival) = signed-take-bit (n-1) ival
 have \neg (n \le n - 1) using assms by arith
 then have \bigwedge i . signed-take-bit (n-1) (take-bit \ n \ i) = signed-take-bit (n-1) i
   using signed-take-bit-take-bit by (metis (mono-tags))
 then show ?thesis
   by blast
qed
```

```
lemma take-bit-same-size-range:
    fixes ival :: 'a :: len word
    assumes n = LENGTH('a)
    assumes ival2 = take-bit \ n \ ival
    shows -(2 \hat{n} div 2) \leq sint ival 2 \wedge sint ival 2 < 2 \hat{n} div 2
    using assms lower-bounds-equiv sint-ge sint-lt by auto
lemma take-bit-same-bounds:
    fixes ival :: 'a :: len word
    assumes n = LENGTH('a)
    assumes ival2 = take-bit \ n \ ival
    shows fst (bit\text{-}bounds\ n) \leq sint\ ival2 \wedge sint\ ival2 \leq snd\ (bit\text{-}bounds\ n)
    unfolding bit-bounds.simps
    using assms take-bit-same-size-range
    by force
Next we show that casting a word to a wider word preserves any upper/lower
bounds. (These lemmas may not be needed any more, since we are not using
scast now?)
lemma scast-max-bound:
    assumes sint (v :: 'a :: len word) < M
    assumes LENGTH('a) < LENGTH('b)
   shows sint((scast\ v)::'b::len\ word) < M
   unfolding Word.scast-eq Word.sint-sbintrunc'
   using Bit-Operations.signed-take-bit-int-eq-self-iff
   \mathbf{by}\;(smt\;(verit,\,best)\;One\text{-}nat\text{-}def\;assms(1)\;assms(2)\;decr\text{-}length\text{-}less\text{-}iff\;linorder\text{-}not\text{-}less\text{-}less\text{-}iff\;linorder\text{-}not\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-}less\text{-
power-strict-increasing-iff signed-take-bit-int-less-self-iff sint-greater-eq)
lemma scast-min-bound:
    assumes M \leq sint (v :: 'a :: len word)
    assumes LENGTH('a) < LENGTH('b)
    shows M \leq sint ((scast \ v) :: 'b :: len \ word)
    unfolding Word.scast-eq Word.sint-sbintrunc'
    using Bit-Operations.signed-take-bit-int-eq-self-iff
    by (smt (verit) One-nat-def Suc-pred assms(1) assms(2) len-qt-0 less-Suc-eq or-
der-less-le order-less-le-trans power-le-imp-le-exp signed-take-bit-int-greater-eq-self-iff
sint-lt)
lemma scast-bigger-max-bound:
    assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
   shows sint result < 2 \cap LENGTH('a) div 2
    using sint-lt upper-bounds-equiv scast-max-bound
   \mathbf{by}\;(smt\;(verit,\,best)\;assms(1)\;len-gt-0\;signed-scast-eq\;signed-take-bit-int-greater-self-iff
sint-ge sint-less upper-bounds-equiv)
lemma scast-bigger-min-bound:
    assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
```

```
shows -(2 \cap LENGTH('a) \ div \ 2) \leq sint \ result
using sint-ge lower-bounds-equiv scast-min-bound
by (smt \ (verit) \ assms \ len-gt-0 nat-less-le not-less scast-max-bound)

lemma scast-bigger-bit-bounds:
assumes (result :: 'b :: len \ word) = scast \ (v :: 'a :: len \ word)
shows fst \ (bit-bounds (LENGTH('a))) \leq sint \ result \wedge sint \ result \leq snd \ (bit-bounds (LENGTH('a)))
using assms \ scast-bigger-min-bound scast-bigger-max-bound
by auto

Results about new\_int.

lemma new-int-take-bits:
assumes IntVal \ b \ val = new-int b \ ival
shows take-bit b \ val = val
using assms \ by \ force
```

1.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take_bit wrappers.

```
lemma take-bit-dist-addL[simp]:
 \mathbf{fixes}\ x::\ 'a::\ len\ word
 shows take-bit b (take-bit\ b\ x+y)=take-bit\ b\ (x+y)
proof (induction b)
 case \theta
 then show ?case
   by simp
next
 case (Suc\ b)
 then show ?case
   by (simp add: add.commute mask-eqs(2) take-bit-eq-mask)
qed
lemma take-bit-dist-addR[simp]:
 fixes x :: 'a :: len word
 shows take-bit b (x + take-bit b y) = take-bit b (x + y)
 using take-bit-dist-addL by (metis add.commute)
lemma take-bit-dist-subL[simp]:
 fixes x :: 'a :: len word
 shows take-bit b (take-bit\ b\ x-y)=take-bit\ b\ (x-y)
 by (metis take-bit-dist-addR uminus-add-conv-diff)
lemma take-bit-dist-subR[simp]:
 fixes x :: 'a :: len word
 shows take-bit\ b\ (x-take-bit\ b\ y)=take-bit\ b\ (x-y)
 using take-bit-dist-subL
 by (metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self)
```

```
lemma take-bit-dist-neg[simp]:
 fixes ix :: 'a :: len word
 shows take-bit\ b\ (-take-bit\ b\ (ix)) = take-bit\ b\ (-ix)
 by (metis diff-0 take-bit-dist-subR)
lemma signed-take-take-bit[simp]:
 fixes x :: 'a :: len word
 assumes \theta < b
 shows signed-take-bit (b-1) (take-bit b x) = signed-take-bit (b-1) x
 by (smt (verit, best) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit)
lemma mod-larger-ignore:
 fixes a :: int
 \mathbf{fixes}\ m\ n::nat
 assumes n < m
 shows (a \mod 2 \widehat{n}) \mod 2 \widehat{n} = a \mod 2 \widehat{n}
 by (smt (verit, del-insts) assms exp-mod-exp linorder-not-le mod-0-imp-dvd mod-mod-cancel
mod-self order-less-imp-le)
{f lemma}\ mod\mbox{-}dist\mbox{-}over\mbox{-}add:
 fixes a \ b \ c :: int64
 fixes n :: nat
 assumes 1: 0 < n
 assumes 2: n < 64
 shows (a \bmod 2 \hat{n} + b) \bmod 2 \hat{n} = (a + b) \bmod 2 \hat{n}
proof -
 have 3: (0 :: int64) < 2 ^n
   using assms by (simp add: size64 word-2p-lem)
 then show ?thesis
   unfolding word-mod-2p-is-mask[OF 3]
   apply transfer
  by (metis (no-types, opaque-lifting) and right-idem take-bit-add take-bit-eq-mask)
qed
end
```

2 Stamp Typing

```
theory Stamp
imports Values
begin
```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a

datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
 \begin{array}{l} \textbf{datatype} \; Stamp = \\ VoidStamp \\ | \; IntegerStamp \; (stp-bits: \; nat) \; (stpi-lower: \; int) \; (stpi-upper: \; int) \\ | \; KlassPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; MethodCountersPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; MethodPointersStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; ObjectStamp \; (stp-type: \; string) \; (stp-exactType: \; bool) \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; RawPointerStamp \; (stp-nonNull: \; bool) \; (stp-alwaysNull: \; bool) \\ | \; IllegalStamp \\ \hline \\ \textbf{fun} \; \; is\text{-}stamp\text{-}empty \; :: \; Stamp \; \Rightarrow \; bool \; \textbf{where} \\ \; is\text{-}stamp\text{-}empty \; (IntegerStamp \; b \; lower \; upper) = \; (upper < \; lower) \; | \\ \; is\text{-}stamp\text{-}empty \; x = \; False \\ \hline \end{aligned}
```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what StampFactory.forUnsignedInteger does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid-stamp :: Stamp \Rightarrow bool where valid-stamp (IntegerStamp bits lo hi) = (0 < bits \land bits \leq 64 \land fst \ (bit-bounds \ bits) \leq lo \land lo \leq snd \ (bit-bounds \ bits) \land fst \ (bit-bounds \ bits) \leq hi \land hi \leq snd \ (bit-bounds \ bits)) \mid valid-stamp s = True
```

```
experiment begin corollary bit-bounds 1 = (-1, 0) by simp end
```

```
— A stamp which includes the full range of the type
fun unrestricted-stamp :: Stamp <math>\Rightarrow Stamp where
      unrestricted-stamp \ VoidStamp = VoidStamp \ |
        unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |
   unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False)
   unrestricted-stamp\ (Method Counters Pointer Stamp\ nonNull\ always Null) = (Method Counter Stamp\ nonNull\ always Null) = (Met
False False)
   unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp)
False False)
   unrestricted-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp \ type \ alwaysNull)
"" False False False) |
      unrestricted-stamp - = IllegalStamp
fun is-stamp-unrestricted :: Stamp \Rightarrow bool where
      is-stamp-unrestricted s = (s = unrestricted-stamp s)
— A stamp which provides type information but has an empty range of values
fun empty-stamp :: Stamp \Rightarrow Stamp where
      empty-stamp VoidStamp = VoidStamp
    empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds)
bits)) (fst (bit-bounds bits))) |
        empty-stamp \; (KlassPointerStamp \; nonNull \; alwaysNull) = (KlassPointerStamp \; nonNull \; alwaysNull \; nonNull \; alwaysNull \; nonNull \; nonNull \; alwaysNull \; nonNull \; 
nonNull \ alwaysNull)
    empty-stamp \ (MethodCountersPointerStamp \ nonNull \ alwaysNull) = (MethodCountersPointerStamp \ nonNull \ alwaysNull)
nonNull \ alwaysNull)
    empty-stamp \ (MethodPointersStamp \ nonNull \ alwaysNull) = (MethodPointersStamp \ nonNull \ alwaysNull)
nonNull \ alwaysNull)
      empty-stamp (ObjectStamp type exactType \ nonNull \ alwaysNull) = (ObjectStamp
'''' True True False) |
      empty-stamp stamp = IllegalStamp
— Calculate the meet stamp of two stamps
fun meet :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
      meet\ VoidStamp\ VoidStamp\ =\ VoidStamp\ |
      meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
           if b1 \neq b2 then IllegalStamp else
           (IntegerStamp b1 (min l1 l2) (max u1 u2))
     ) |
```

```
meet \ (KlassPointerStamp \ nn1 \ an1) \ (KlassPointerStamp \ nn2 \ an2) = (
   KlassPointerStamp (nn1 \land nn2) (an1 \land an2)
  meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 \ an2) = (
   MethodCountersPointerStamp\ (nn1 \land nn2)\ (an1 \land an2)
 meet \ (MethodPointersStamp \ nn1 \ an1) \ (MethodPointersStamp \ nn2 \ an2) = (
   MethodPointersStamp\ (nn1 \land nn2)\ (an1 \land an2)
 meet \ s1 \ s2 = IllegalStamp
— Calculate the join stamp of two stamps
fun join :: Stamp \Rightarrow Stamp \Rightarrow Stamp where
 join VoidStamp VoidStamp | VoidStamp |
 join (IntegerStamp \ b1 \ l1 \ u1) (IntegerStamp \ b2 \ l2 \ u2) = (
   if b1 \neq b2 then IllegalStamp else
   (IntegerStamp b1 (max l1 l2) (min u1 u2))
 join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (KlassPointerStamp nn1 an1))
   else (KlassPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 ) |
 join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodCountersPointerStamp nn1 an1))
   else (MethodCountersPointerStamp (nn1 \lor nn2) (an1 \lor an2))
 join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
   if ((nn1 \vee nn2) \wedge (an1 \vee an2))
   then (empty-stamp (MethodPointersStamp nn1 an1))
   else (MethodPointersStamp (nn1 \lor nn2) (an1 \lor an2))
 join \ s1 \ s2 = IllegalStamp
— In certain circumstances a stamp provides enough information to evaluate a
value as a stamp, the asConstant function converts the stamp to a value where one
can be inferred.
fun asConstant :: Stamp \Rightarrow Value where
  asConstant \ (IntegerStamp \ b \ l \ h) = (if \ l = h \ then \ IntVal \ b \ (word-of-int \ l) \ else
UndefVal)
 asConstant -= UndefVal
— Determine if two stamps never have value overlaps i.e. their join is empty
fun alwaysDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
```

```
alwaysDistinct\ stamp1\ stamp2 = is\text{-}stamp\text{-}empty\ (join\ stamp1\ stamp2)
```

```
— Determine if two stamps must always be the same value i.e. two equal constants
fun neverDistinct :: Stamp \Rightarrow Stamp \Rightarrow bool where
     never Distinct \ stamp1 \ stamp2 = (as Constant \ stamp1 = as Constant \ stamp2 \ \land
asConstant\ stamp1 \neq UndefVal)
fun constantAsStamp :: Value <math>\Rightarrow Stamp where
   constant As Stamp \ (Int Val \ b \ v) = (Integer Stamp \ b \ (int-signed-value \ b \ v) \ (int-signed-value \ b \ v)
(b \ v)) \mid
    constantAsStamp -= IllegalStamp
— Define when a runtime value is valid for a stamp. The stamp bounds must be
valid, and val must be zero-extended.
fun valid-value :: Value \Rightarrow Stamp \Rightarrow bool where
    valid-value (IntVal b1 val) (IntegerStamp b l h) =
          (if b1 = b then
              valid-stamp (IntegerStamp \ b \ l \ h) \land 
              take-bit b val = val \land
             l \leq int-signed-value b val \wedge int-signed-value b val \leq h
            else False) |
    valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
          ((alwaysNull \longrightarrow ref = None) \land (ref=None \longrightarrow \neg nonNull))
    valid-value stamp val = False
fun compatible :: Stamp \Rightarrow Stamp \Rightarrow bool where
    compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
         (b1 = b2 \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ lo1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ hi1) \land valid\text{-}stamp \ (IntegerStamp \ b1 \ hi1) \land valid
b2 lo2 hi2)) |
    compatible (VoidStamp) (VoidStamp) = True
    compatible - - = False
fun stamp-under :: Stamp \Rightarrow Stamp \Rightarrow bool where
    stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (b1 = b2 \land
hi1 < lo2)
    stamp-under - - = False
— The most common type of stamp within the compiler (apart from the Void-
Stamp) is a 32 bit integer stamp with an unrestricted range. We use default-stamp
as it is a frequently used stamp.
definition default-stamp :: Stamp where
    default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

```
value valid-value (IntVal\ 8\ (255))\ (IntegerStamp\ 8\ (-128)\ 127) end
```

3 Graph Representation

3.1 IR Graph Nodes

type-synonym ID = nat

```
\begin{array}{c} \textbf{theory} \ IRNodes \\ \textbf{imports} \\ \textit{Values} \\ \textbf{begin} \end{array}
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The inputs_of and successors_of functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
\mathbf{type\text{-}synonym}\ \mathit{INPUT\text{-}EXT} = \mathit{ID}
type-synonym SUCC = ID
datatype (discs-sels) IRNode =
 AbsNode (ir-value: INPUT)
  AddNode (ir-x: INPUT) (ir-y: INPUT)
   AndNode (ir-x: INPUT) (ir-y: INPUT)
   BeginNode (ir-next: SUCC)
 \mid BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
 | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
 | ConstantNode (ir-const: Value)
| DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
```

```
\mid EndNode
 | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
  | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:
INPUT-STATE list option)
| IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC
  IntegerBelowNode (ir-x: INPUT) (ir-y: INPUT)
  IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
 | IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
  | InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC)
| InvokeWithExceptionNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt:
INPUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-
PUT-STATE option) (ir-next: SUCC) (ir-exceptionEdge: SUCC)
  IsNullNode (ir-value: INPUT)
  KillingBeginNode (ir-next: SUCC)
 | LeftShiftNode (ir-x: INPUT) (ir-y: INPUT)
  | LoadFieldNode (ir-nid: ID) (ir-field: string) (ir-object-opt: INPUT option)
(ir-next: SUCC)
 | LogicNegationNode (ir-value: INPUT-COND)
 | LoopBeginNode (ir-ends: INPUT-ASSOC list) (ir-overflowGuard-opt: INPUT-GUARD
option) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | LoopEndNode (ir-loopBegin: INPUT-ASSOC)
| LoopExitNode (ir-loopBegin: INPUT-ASSOC) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
   MergeNode (ir-ends: INPUT-ASSOC list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
  MethodCallTargetNode (ir-targetMethod: string) (ir-arguments: INPUT list)
  MulNode (ir-x: INPUT) (ir-y: INPUT)
  NarrowNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
  NegateNode (ir-value: INPUT)
  NewArrayNode (ir-length: INPUT) (ir-stateBefore-opt: INPUT-STATE option)
(ir-next: SUCC)
  NewInstanceNode (ir-nid: ID) (ir-instanceClass: string) (ir-stateBefore-opt: IN-
PUT-STATE option) (ir-next: SUCC)
  NotNode (ir-value: INPUT)
  OrNode (ir-x: INPUT) (ir-y: INPUT)
  ParameterNode (ir-index: nat)
  PiNode\ (ir\text{-}object:\ INPUT)\ (ir\text{-}guard\text{-}opt:\ INPUT\text{-}GUARD\ option)
 | ReturnNode (ir-result-opt: INPUT option) (ir-memoryMap-opt: INPUT-EXT
option)
  RightShiftNode (ir-x: INPUT) (ir-y: INPUT)
  ShortCircuitOrNode\ (ir-x:\ INPUT-COND)\ (ir-y:\ INPUT-COND)
  SignExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
 SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: IN-
PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
```

```
| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
 | StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
 | StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
   SubNode (ir-x: INPUT) (ir-y: INPUT)
   UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
   UnwindNode (ir-exception: INPUT)
   ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
   ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
   XorNode (ir-x: INPUT) (ir-y: INPUT)
   ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
   NoNode
 | RefNode (ir-ref:ID)
fun opt-to-list :: 'a option \Rightarrow 'a list where
 opt-to-list None = []
 opt-to-list (Some \ v) = [v]
fun opt-list-to-list :: 'a list option \Rightarrow 'a list where
 opt-list-to-list None = []
 opt-list-to-list (Some \ x) = x
The following functions, inputs of and successors of, are automatically gen-
erated from the GraalVM compiler. Their purpose is to partition the node
edges into input or successor edges.
fun inputs-of :: IRNode \Rightarrow ID \ list \ \mathbf{where}
 inputs-of-AbsNode:
 inputs-of (AbsNode value) = [value]
 inputs-of-AddNode:
 inputs-of (AddNode \ x \ y) = [x, \ y] \mid
 inputs-of-AndNode:
 inputs-of (AndNode\ x\ y) = [x,\ y]
 inputs-of-BeginNode:
 inputs-of (BeginNode next) = [] |
 inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter)
 inputs-of-Conditional Node:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-option = falseValue]
Value, falseValue] |
 inputs-of-ConstantNode:
```

```
inputs-of (ConstantNode const) = []
    inputs-of-DynamicNewArrayNode:
      inputs-of\ (DynamicNewArrayNode\ elementType\ length0\ voidClass\ stateBefore
next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
    inputs-of-EndNode:
    inputs-of (EndNode) = [] |
    inputs-of-ExceptionObjectNode:
    inputs-of\ (ExceptionObjectNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
    inputs-of	ext{-}FrameState:
   inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
= monitor Ids @ (opt-to-list outer Frame State) @ (opt-list-to-list values) @ (opt-l
virtualObjectMappings)
    inputs-of-IfNode:
    inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition]
    inputs-of-IntegerBelowNode:
    inputs-of\ (IntegerBelowNode\ x\ y) = [x,\ y]\ |
    inputs-of-IntegerEqualsNode:
    inputs-of\ (IntegerEqualsNode\ x\ y) = [x,\ y]\ |
    inputs-of-IntegerLessThanNode:
    inputs-of\ (IntegerLessThanNode\ x\ y) = [x,\ y]\ |
    inputs-of	ext{-}InvokeNode:
       inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list
stateAfter)
    inputs-of-Invoke\ With Exception\ Node:
   inputs-of\ (InvokeWithExceptionNode\ nid0\ callTarget\ classInit\ stateDuring\ stateAfter
next\ exceptionEdge) = callTarget\ \#\ (opt-to-list\ classInit)\ @\ (opt-to-list\ stateDur-to-list\ s
ing) @ (opt-to-list stateAfter) |
    inputs-of	ext{-}IsNullNode:
    inputs-of (IsNullNode value) = [value]
    inputs-of-KillingBeginNode:
    inputs-of (KillingBeginNode next) = []
    inputs-of-LeftShiftNode:
    inputs-of (LeftShiftNode x y) = [x, y]
    inputs-of-LoadFieldNode:
    inputs-of\ (LoadFieldNode\ nid0\ field\ object\ next) = (opt-to-list\ object)
    inputs-of-LogicNegationNode:
    inputs-of (LogicNegationNode value) = [value]
    inputs-of-LoopBeginNode:
   inputs-of\ (LoopBeginNode\ ends\ overflowGuard\ stateAfter\ next) = ends\ @\ (opt-to-list)
overflowGuard) @ (opt-to-list stateAfter) |
    inputs-of-LoopEndNode:
    inputs-of (LoopEndNode loopBegin) = [loopBegin]
    inputs-of\text{-}LoopExitNode:
     inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin # (opt-to-list
stateAfter)
    inputs-of-MergeNode:
    inputs-of (MergeNode\ ends\ stateAfter\ next) = ends\ @\ (opt-to-list\ stateAfter)\ |
```

```
inputs-of-MethodCallTargetNode:
 inputs-of\ (MethodCallTargetNode\ targetMethod\ arguments) = arguments\ |
 inputs-of-MulNode:
 inputs-of (MulNode\ x\ y) = [x,\ y]
 inputs-of-NarrowNode:
 inputs-of\ (NarrowNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-NegateNode:
 inputs-of (NegateNode value) = [value]
 inputs-of-NewArrayNode:
 Before) \mid
 inputs-of-NewInstanceNode:
 inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list
stateBefore)
 inputs-of-NotNode:
 inputs-of (NotNode value) = [value]
 inputs-of-OrNode:
 inputs-of (OrNode \ x \ y) = [x, \ y] \mid
 inputs-of-ParameterNode:
 inputs-of\ (ParameterNode\ index) = []
 inputs-of-PiNode:
 inputs-of\ (PiNode\ object\ guard) = object\ \#\ (opt-to-list\ guard)\ |
 inputs-of-ReturnNode:
  inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap) \mid
 inputs-of-RightShiftNode:
 inputs-of (RightShiftNode x y) = [x, y]
 inputs-of-ShortCircuitOrNode:
 inputs-of\ (ShortCircuitOrNode\ x\ y) = [x,\ y]\ |
 inputs-of-SignExtendNode:
 inputs-of\ (SignExtendNode\ inputBits\ resultBits\ value) = [value]
 inputs-of-SignedDivNode:
  inputs-of (SignedDivNode nid0 \ x \ y \ zeroCheck \ stateBefore \ next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of	ext{-}SignedRemNode:
  inputs-of (SignedRemNode nid0 \ x \ y \ zeroCheck \ stateBefore \ next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
 inputs-of-StartNode:
 inputs-of\ (StartNode\ stateAfter\ next) = (opt-to-list\ stateAfter)
 inputs-of	ext{-}StoreFieldNode:
  inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt\text{-}to\text{-}list\ stateAfter) @ (opt\text{-}to\text{-}list\ object) |
 inputs-of-SubNode:
 inputs-of (SubNode \ x \ y) = [x, \ y] \mid
 inputs-of-Unsigned Right Shift Node:
 inputs-of (UnsignedRightShiftNode \ x \ y) = [x, y] 
 inputs-of-UnwindNode:
 inputs-of (UnwindNode exception) = [exception]
 inputs-of-ValuePhiNode:
```

```
inputs-of\ (ValuePhiNode\ nid0\ values\ merge) = merge\ \#\ values\ |
 inputs-of-Value ProxyNode:
 inputs-of\ (ValueProxyNode\ value\ loopExit) = [value,\ loopExit]\ |
 inputs-of-XorNode:
 inputs-of (XorNode \ x \ y) = [x, \ y] \mid
 inputs-of-ZeroExtendNode:
 inputs-of\ (ZeroExtendNode\ inputBits\ resultBits\ value) = [value]\ |
 inputs-of-NoNode: inputs-of (NoNode) = []
 inputs-of-RefNode: inputs-of (RefNode ref) = [ref]
fun successors-of :: IRNode \Rightarrow ID list where
 successors-of-AbsNode:
 successors-of (AbsNode value) = [] |
 successors-of-AddNode:
 successors-of (AddNode\ x\ y) = []
 successors-of-AndNode:
 successors-of (AndNode\ x\ y) = []
 successors-of-BeginNode:
 successors-of (BeginNode next) = [next]
 successors-of-BytecodeExceptionNode:
 successors-of (BytecodeExceptionNode arguments stateAfter\ next) = [next] |
 successors-of-ConditionalNode:
 successors-of (ConditionalNode condition trueValue\ falseValue) = []
 successors-of-ConstantNode:
 successors-of (ConstantNode const) = []
 successors-of-DynamicNewArrayNode:
 successors-of (DynamicNewArrayNode\ elementType\ length0\ voidClass\ stateBefore
next) = [next]
 successors-of-EndNode:
 successors-of (EndNode) = []
 successors-of-ExceptionObjectNode:
 successors-of (ExceptionObjectNode\ stateAfter\ next) = [next]
 successors-of-FrameState:
 successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-
pings) = [] \mid
 successors-of-IfNode:
  successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,
falseSuccessor
 successors-of-IntegerBelowNode:
 successors-of (IntegerBelowNode\ x\ y) = []
 successors-of-IntegerEqualsNode:
 successors-of (IntegerEqualsNode \ x \ y) = [] |
 successors-of-IntegerLessThanNode:
 successors-of (IntegerLessThanNode \ x \ y) = [] |
 successors-of-InvokeNode:
 successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)
```

```
= [next]
 successors-of-Invoke With Exception Node:
  successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring
stateAfter\ next\ exceptionEdge) = [next,\ exceptionEdge]
 successors-of-IsNullNode:
 successors-of (IsNullNode value) = [] |
 successors-of-KillingBeginNode:
 successors-of (KillingBeginNode\ next) = [next]
 successors-of-LeftShiftNode:
 successors-of (LeftShiftNode x y) = [] |
 successors-of-LoadFieldNode:
 successors-of (LoadFieldNode nid0 field object next) = [next]
 successors-of-LogicNegationNode:
 successors-of (LogicNegationNode\ value) = []
 successors-of-LoopBeginNode:
 successors-of (LoopBeqinNode\ ends\ overflowGuard\ stateAfter\ next) = [next]
 successors-of-LoopEndNode:
 successors-of (LoopEndNode\ loopBegin) = []
 successors-of-LoopExitNode:
 successors-of (LoopExitNode\ loopBegin\ stateAfter\ next) = [next]
 successors-of-MergeNode:
 successors-of (MergeNode\ ends\ stateAfter\ next) = [next]
 successors-of-MethodCallTargetNode:
 successors-of (MethodCallTargetNode\ targetMethod\ arguments) = []
 successors-of-MulNode:
 successors-of (MulNode x y) = []
 successors-of-NarrowNode:
 successors-of (NarrowNode\ inputBits\ resultBits\ value) = []
 successors-of-NegateNode:
 successors-of (NegateNode value) = [] |
 successors-of-NewArrayNode:
 successors-of (NewArrayNode\ length0\ stateBefore\ next) = [next]
 successors-of-NewInstanceNode:
 successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next]
 successors-of-NotNode:
 successors-of (NotNode value) = [] |
 successors-of-OrNode:
 successors-of (OrNode x y) = [] 
 successors-of-ParameterNode:
 successors-of (ParameterNode\ index) = []
 successors-of-PiNode:
 successors-of (PiNode\ object\ guard) = []
 successors-of-ReturnNode:
 successors-of (ReturnNode\ result\ memoryMap) = []
 successors-of-RightShiftNode:
 successors-of (RightShiftNode \ x \ y) = [] |
 successors-of-ShortCircuitOrNode:
 successors-of (ShortCircuitOrNode\ x\ y) = []
 successors-of-SignExtendNode:
```

```
successors-of (SignExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-SignedDivNode:
 successors-of (SignedDivNode\ nid0\ x\ y\ zeroCheck\ stateBefore\ next) = [next]
 successors-of-SignedRemNode:
 successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next]
 successors-of-StartNode:
 successors-of (StartNode\ stateAfter\ next) = [next]
 successors-of-StoreFieldNode:
 successors-of (StoreFieldNode nid0 field value stateAfter\ object\ next) = [next]
 successors-of-SubNode:
 successors-of (SubNode \ x \ y) = [] \mid
 successors-of-UnsignedRightShiftNode:
 successors-of (UnsignedRightShiftNode\ x\ y) = []
 successors-of-UnwindNode:
 successors-of (UnwindNode\ exception) = [] |
 successors-of-ValuePhiNode:
 successors-of (ValuePhiNode nid0 values merge) = []
 successors-of-ValueProxyNode:
 successors-of (ValueProxyNode\ value\ loopExit) = []
 successors-of-XorNode:
 successors-of\ (XorNode\ x\ y) = \lceil \mid
 successors-of-ZeroExtendNode:
 successors-of (ZeroExtendNode\ inputBits\ resultBits\ value) = []
 successors-of-NoNode: successors-of (NoNode) = [] |
 successors-of-RefNode: successors-of (RefNode ref) = [ref]
lemma inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z
 unfolding inputs-of-FrameState by simp
lemma successors-of (FrameState x (Some y) (Some z) None) = []
 unfolding inputs-of-FrameState by simp
lemma inputs-of (IfNode c\ t\ f) = [c]
 unfolding inputs-of-IfNode by simp
lemma successors-of (IfNode c\ t\ f) = [t, f]
 unfolding successors-of-IfNode by simp
lemma inputs-of (EndNode) = [] \land successors-of (EndNode) = []
 unfolding inputs-of-EndNode successors-of-EndNode by simp
end
```

3.2 IR Graph Node Hierarchy

theory IRNodeHierarchy imports IRNodes

begin

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function is < ClassName > Type will be true if the node parameter is a subclass of the ClassName within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode \Rightarrow bool where
  is-EndNode \ EndNode = True |
  is-EndNode - = False
fun is-VirtualState :: IRNode \Rightarrow bool where
  is-VirtualState n = ((is-FrameState n))
fun is-BinaryArithmeticNode :: IRNode <math>\Rightarrow bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-MulNode
n) \vee (is\text{-}OrNode\ n) \vee (is\text{-}SubNode\ n) \vee (is\text{-}XorNode\ n))
fun is-ShiftNode :: IRNode \Rightarrow bool where
 is-ShiftNode n = ((is-LeftShiftNode n) \lor (is-RightShiftNode n) \lor (is-UnsignedRightShiftNode n)
n))
\mathbf{fun} \ \mathit{is\text{-}BinaryNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-BinaryNode n = ((is-BinaryArithmeticNode n) \lor (is-ShiftNode n))
fun is-AbstractLocalNode :: IRNode \Rightarrow bool where
  is-AbstractLocalNode \ n = ((is-ParameterNode \ n))
fun is-IntegerConvertNode :: IRNode \Rightarrow bool where
   is-IntegerConvertNode n = ((is-NarrowNode n) \lor (is-SignExtendNode n) \lor
(is-ZeroExtendNode\ n))
fun is-UnaryArithmeticNode :: IRNode \Rightarrow bool where
 is-UnaryArithmeticNode n = ((is-AbsNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-UnaryNode :: IRNode <math>\Rightarrow bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) \lor (is-UnaryArithmeticNode n))
fun is-PhiNode :: IRNode \Rightarrow bool where
  is-PhiNode n = ((is-ValuePhiNode n))
fun is-FloatingGuardedNode :: IRNode <math>\Rightarrow bool where
```

```
is-FloatingGuardedNode n = ((is-PiNode n))
fun is-UnaryOpLogicNode :: IRNode <math>\Rightarrow bool where
    is-UnaryOpLogicNode n = ((is-IsNullNode n))
fun is-IntegerLowerThanNode :: IRNode \Rightarrow bool where
   is\text{-}IntegerLowerThanNode\ n = ((is\text{-}IntegerBelowNode\ n) \lor (is\text{-}IntegerLessThanNode\ n) \lor (
n))
fun is-CompareNode :: IRNode \Rightarrow bool where
    is-CompareNode n = ((is-IntegerEqualsNode n) \lor (is-IntegerLowerThanNode n))
fun is-BinaryOpLogicNode :: IRNode <math>\Rightarrow bool where
    is-BinaryOpLogicNode n = ((is-CompareNode n))
fun is-LogicNode :: IRNode \Rightarrow bool where
      is\text{-}LogicNode \ n = ((is\text{-}BinaryOpLogicNode \ n) \lor (is\text{-}LogicNegationNode \ n) \lor
(is	ext{-}ShortCircuitOrNode\ n) \lor (is	ext{-}UnaryOpLogicNode\ n))
fun is-ProxyNode :: IRNode <math>\Rightarrow bool where
    is-ProxyNode\ n = ((is-ValueProxyNode\ n))
fun is-FloatingNode :: IRNode <math>\Rightarrow bool where
   is-FloatingNode n = ((is-AbstractLocalNode n) \lor (is-BinaryNode n) \lor (is-ConditionalNode
n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}FloatingGuardedNode\ n) \lor (is\text{-}LogicNode\ n) \lor
(is-PhiNode\ n) \lor (is-ProxyNode\ n) \lor (is-UnaryNode\ n))
fun is-AccessFieldNode :: IRNode <math>\Rightarrow bool where
    is-AccessFieldNode n = ((is-LoadFieldNode n) \lor (is-StoreFieldNode n))
fun is-AbstractNewArrayNode :: IRNode <math>\Rightarrow bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n) \lor (is-NewArrayNode
n))
fun is-AbstractNewObjectNode :: IRNode <math>\Rightarrow bool where
  is-AbstractNewObjectNode\ n = ((is-AbstractNewArrayNode\ n) \lor (is-NewInstanceNode\ n)
n))
fun is-IntegerDivRemNode :: IRNode \Rightarrow bool where
    is-IntegerDivRemNode n = ((is-SignedDivNode n) \lor (is-SignedRemNode n))
fun is-FixedBinaryNode :: IRNode <math>\Rightarrow bool where
    is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
fun is-DeoptimizingFixedWithNextNode :: IRNode <math>\Rightarrow bool where
  is-DeoptimizingFixedWithNextNode\ n = ((is-AbstractNewObjectNode\ n) \lor (is-FixedBinaryNode\ n)
n))
```

fun is- $AbstractMemoryCheckpoint :: IRNode <math>\Rightarrow bool$ where

```
is-AbstractMemoryCheckpoint n=((is-BytecodeExceptionNode n) \lor (is-InvokeNode
n))
fun is-AbstractStateSplit :: IRNode \Rightarrow bool where
  is-AbstractStateSplit \ n = ((is-AbstractMemoryCheckpoint \ n))
fun is-AbstractMergeNode :: IRNode <math>\Rightarrow bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n) \lor (is-MergeNode n))
fun is-BeginStateSplitNode :: IRNode <math>\Rightarrow bool where
 is	ext{-}BeginStateSplitNode\ n = ((is	ext{-}AbstractMergeNode\ n) \lor (is	ext{-}ExceptionObjectNode\ n)
n) \vee (is\text{-}LoopExitNode\ n) \vee (is\text{-}StartNode\ n))
fun is-AbstractBeginNode :: IRNode <math>\Rightarrow bool where
   is-AbstractBeginNode n = ((is-BeginNode n) \lor (is-BeginStateSplitNode n) \lor
(is\text{-}KillingBeginNode\ n))
fun is-FixedWithNextNode :: IRNode <math>\Rightarrow bool where
 is-FixedWithNextNode n = ((is-AbstractBeginNode n) \lor (is-AbstractStateSplit n)
\vee (is-AccessFieldNode n) \vee (is-DeoptimizingFixedWithNextNode n))
fun is-WithExceptionNode :: IRNode \Rightarrow bool where
  is-WithExceptionNode\ n=((is-InvokeWithExceptionNode\ n))
fun is-ControlSplitNode :: IRNode <math>\Rightarrow bool where
  is-ControlSplitNode n = ((is-IfNode n) \lor (is-WithExceptionNode n))
fun is-ControlSinkNode :: IRNode <math>\Rightarrow bool where
  is-ControlSinkNode n = ((is-ReturnNode n) \lor (is-UnwindNode n))
\mathbf{fun} \ \mathit{is-AbstractEndNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-AbstractEndNode n = ((is-EndNode n) \lor (is-LoopEndNode n))
fun is-FixedNode :: IRNode \Rightarrow bool where
 is-FixedNode n = ((is-AbstractEndNode n) \lor (is-ControlSinkNode n) \lor (is-ControlSplitNode
n) \vee (is\text{-}FixedWithNextNode} n))
\mathbf{fun} \ \mathit{is-CallTargetNode} :: \mathit{IRNode} \Rightarrow \mathit{bool} \ \mathbf{where}
  is-CallTargetNode n = ((is-MethodCallTargetNode n))
fun is-ValueNode :: IRNode \Rightarrow bool where
  is-ValueNode n = ((is-CallTargetNode n) \lor (is-FixedNode n) \lor (is-FloatingNode
n))
fun is-Node :: IRNode \Rightarrow bool where
  is-Node n = ((is-ValueNode n) \lor (is-VirtualState n))
fun is-MemoryKill :: IRNode <math>\Rightarrow bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))
```

```
fun is-NarrowableArithmeticNode :: IRNode \Rightarrow bool where
 is-NarrowableArithmeticNode n = ((is-AbsNode n) \lor (is-AddNode n) \lor (is-AndNode
n) \lor (is\text{-}NulNode\ n) \lor (is\text{-}NegateNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}OrNode\ n) \lor
(is\text{-}ShiftNode\ n) \lor (is\text{-}SubNode\ n) \lor (is\text{-}XorNode\ n))
fun is-AnchoringNode :: IRNode <math>\Rightarrow bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))
fun is-DeoptBefore :: IRNode \Rightarrow bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))
fun is-IndirectCanonicalization :: IRNode \Rightarrow bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))
fun is-IterableNodeType :: IRNode <math>\Rightarrow bool where
 is-IterableNodeType n = ((is-AbstractBeginNode n) \lor (is-AbstractMergeNode n) \lor
(is	ext{-}FrameState\ n) \lor (is	ext{-}IfNode\ n) \lor (is	ext{-}IntegerDivRemNode\ n) \lor (is	ext{-}InvokeWithExceptionNode\ n)
n) \lor (is\text{-}LoopBeginNode\ n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n)
\vee (is-ParameterNode n) \vee (is-ReturnNode n) \vee (is-ShortCircuitOrNode n))
fun is-Invoke :: IRNode \Rightarrow bool where
  is-Invoke n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode n))
fun is-Proxy :: IRNode \Rightarrow bool where
  is-Proxy n = ((is-ProxyNode n))
fun is-ValueProxy :: IRNode \Rightarrow bool where
  is-ValueProxy n = ((is-PiNode n) \lor (is-ValueProxyNode n))
fun is-ValueNodeInterface :: IRNode \Rightarrow bool where
  is-ValueNodeInterface n = ((is-ValueNode n))
fun is-ArrayLengthProvider :: IRNode <math>\Rightarrow bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n) \lor (is-ConstantNode
n))
fun is-StampInverter :: IRNode \Rightarrow bool where
 is-StampInverter n = ((is-IntegerConvertNode n) \lor (is-NegateNode n) \lor (is-NotNode
n))
fun is-GuardingNode :: IRNode <math>\Rightarrow bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))
fun is-SingleMemoryKill :: IRNode <math>\Rightarrow bool where
 is	ext{-}Single Memory Kill \ n = ((is	ext{-}Bytecode Exception Node \ n) \lor (is	ext{-}Exception Object Node \ n) \lor (is	ext{-}Exception Object Node \ n)
n) \lor (is\text{-}InvokeNode\ n) \lor (is\text{-}InvokeWithExceptionNode\ n) \lor (is\text{-}KillingBeginNode\ n)
n) \lor (is\text{-}StartNode\ n))
```

```
(is\text{-}ConditionalNode\ n) \lor (is\text{-}ConstantNode\ n) \lor (is\text{-}IfNode\ n) \lor (is\text{-}InvokeNode\ n)
\lor (is-InvokeWithExceptionNode n) \lor (is-IsNullNode n) \lor (is-LoopBeginNode n) \lor
(is-PiNode\ n) \lor (is-ReturnNode\ n) \lor (is-SignedDivNode\ n) \lor (is-SignedRemNode\ n)
n) \lor (is\text{-}UnaryOpLogicNode\ n) \lor (is\text{-}UnwindNode\ n))
fun is-GuardedNode :: IRNode <math>\Rightarrow bool where
   is-GuardedNode n = ((is-FloatingGuardedNode n))
fun is-ArithmeticLIRLowerable :: IRNode \Rightarrow bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n) \lor (is-BinaryArithmeticNode n) \lor (is-Bin
(is\text{-}IntegerConvertNode\ n) \lor (is\text{-}NotNode\ n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n)
fun is-SwitchFoldable :: IRNode <math>\Rightarrow bool where
   is-SwitchFoldable n = ((is-IfNode n))
fun is-VirtualizableAllocation :: IRNode \Rightarrow bool where
   is-Virtualizable Allocation \ n = ((is-NewArrayNode \ n) \lor (is-NewInstanceNode \ n))
fun is-Unary :: IRNode \Rightarrow bool where
   is-Unary n = ((is-LoadFieldNode n) \lor (is-LogicNegationNode n) \lor (is-UnaryNode
n) \lor (is-UnaryOpLogicNode\ n))
fun is-FixedNodeInterface :: IRNode <math>\Rightarrow bool where
   is	ext{-}FixedNodeInterface } n = ((is	ext{-}FixedNode \ n))
fun is-BinaryCommutative :: IRNode <math>\Rightarrow bool where
  is-Binary Commutative n = ((is-AddNode n) \lor (is-AndNode n) \lor (is-IntegerEqualsNode
n) \lor (is\text{-}MulNode\ n) \lor (is\text{-}OrNode\ n) \lor (is\text{-}XorNode\ n))
fun is-Canonicalizable :: IRNode <math>\Rightarrow bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n) \lor (is-ConditionalNode n) \lor
(is-DynamicNewArrayNode\ n) \lor (is-PhiNode\ n) \lor (is-PiNode\ n) \lor (is-ProxyNode\ n)
n) \lor (is\text{-}StoreFieldNode\ n) \lor (is\text{-}ValueProxyNode\ n))
fun is-UncheckedInterfaceProvider :: IRNode \Rightarrow bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) \lor (is-InvokeWithExceptionNode
n) \lor (is\text{-}LoadFieldNode\ n) \lor (is\text{-}ParameterNode\ n))
fun is-Binary :: IRNode \Rightarrow bool where
  is-Binary n = ((is-Binary Arithmetic Node n) \lor (is-Binary Node n) \lor (is-Binary OpLogic Node
n) \lor (is\text{-}CompareNode\ n) \lor (is\text{-}FixedBinaryNode\ n) \lor (is\text{-}ShortCircuitOrNode\ n))
fun is-Arithmetic Operation :: IRNode \Rightarrow bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) \lor (is-IntegerConvertNode
n) \lor (is\text{-}ShiftNode\ n) \lor (is\text{-}UnaryArithmeticNode\ n))
```

 $is\text{-}LIRLowerable\ n = ((is\text{-}AbstractBeginNode\ n) \lor (is\text{-}AbstractEndNode\ n) \lor (is\text{-}AbstractMergeNode\ n) \lor (is\text{-}BinaryOpLogicNode\ n) \lor (is\text{-}CallTargetNode\ n) \lor (is\text{-}CallTargetNode$

fun is- $LIRLowerable :: IRNode <math>\Rightarrow bool$ **where**

```
fun is-ValueNumberable :: IRNode \Rightarrow bool where
  is-ValueNumberable n = ((is-FloatingNode n) \lor (is-ProxyNode n))
fun is-Lowerable :: IRNode \Rightarrow bool where
   is-Lowerable n = ((is-AbstractNewObjectNode n) \lor (is-AccessFieldNode n) \lor
(is-BytecodeExceptionNode\ n) \lor (is-ExceptionObjectNode\ n) \lor (is-IntegerDivRemNode\ n)
n) \vee (is\text{-}UnwindNode\ n))
fun is-Virtualizable :: IRNode <math>\Rightarrow bool where
  is-Virtualizable n = ((is-IsNullNode n) \lor (is-LoadFieldNode n) \lor (is-PiNode n)
\vee (is-StoreFieldNode n) \vee (is-ValueProxyNode n))
fun is-Simplifiable :: IRNode <math>\Rightarrow bool where
  is-Simplifiable n = ((is-AbstractMergeNode n) \lor (is-BeginNode n) \lor (is-IfNode
n) \lor (is\text{-}LoopExitNode\ n) \lor (is\text{-}MethodCallTargetNode\ n) \lor (is\text{-}NewArrayNode\ n))
fun is-StateSplit :: IRNode <math>\Rightarrow bool where
 is-StateSplit n = ((is-AbstractStateSplit n) \lor (is-BeginStateSplitNode n) \lor (is-StoreFieldNode
n))
fun is-ConvertNode :: IRNode <math>\Rightarrow bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))
fun is-sequential-node :: IRNode \Rightarrow bool where
  is-sequential-node (StartNode - -) = True
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True
  is-sequential-node (LoopBeginNode - - - - - - - - = True |
  is-sequential-node (LoopExitNode - - -) = True
  is-sequential-node (MergeNode - - -) = True
  is-sequential-node (RefNode -) = True
  is-sequential-node - = False
```

The following convenience function is useful in determining if two IRNodes are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

```
((is\text{-}ExceptionObjectNode\ n1) \land (is\text{-}ExceptionObjectNode\ n2)) \lor
((is\text{-}FrameState \ n1) \land (is\text{-}FrameState \ n2)) \lor
((is\text{-}IfNode\ n1) \land (is\text{-}IfNode\ n2)) \lor
((is\text{-}IntegerBelowNode\ n1) \land (is\text{-}IntegerBelowNode\ n2)) \lor
((is\text{-}IntegerEqualsNode\ n1) \land (is\text{-}IntegerEqualsNode\ n2)) \lor
((is-IntegerLessThanNode\ n1) \land (is-IntegerLessThanNode\ n2)) \lor
((is\text{-}InvokeNode\ n1) \land (is\text{-}InvokeNode\ n2)) \lor
((is-InvokeWithExceptionNode\ n1) \land (is-InvokeWithExceptionNode\ n2)) \lor
((is\text{-}IsNullNode\ n1) \land (is\text{-}IsNullNode\ n2)) \lor
((is\text{-}KillingBeginNode\ n1) \land (is\text{-}KillingBeginNode\ n2)) \lor
((is\text{-}LoadFieldNode\ n1) \land (is\text{-}LoadFieldNode\ n2)) \lor
((is\text{-}LogicNegationNode\ n1) \land (is\text{-}LogicNegationNode\ n2)) \lor
((is\text{-}LoopBeginNode\ n1) \land (is\text{-}LoopBeginNode\ n2)) \lor
((is\text{-}LoopEndNode\ n1) \land (is\text{-}LoopEndNode\ n2)) \lor
((is\text{-}LoopExitNode\ n1) \land (is\text{-}LoopExitNode\ n2)) \lor
((is\text{-}MergeNode\ n1) \land (is\text{-}MergeNode\ n2)) \lor
((is-MethodCallTargetNode\ n1) \land (is-MethodCallTargetNode\ n2)) \lor
((is\text{-}MulNode\ n1) \land (is\text{-}MulNode\ n2)) \lor
((is\text{-}NegateNode\ n1) \land (is\text{-}NegateNode\ n2)) \lor
((is\text{-}NewArrayNode\ n1) \land (is\text{-}NewArrayNode\ n2)) \lor
((is-NewInstanceNode\ n1) \land (is-NewInstanceNode\ n2)) \lor
((is\text{-}NotNode\ n1) \land (is\text{-}NotNode\ n2)) \lor
((is\text{-}OrNode\ n1) \land (is\text{-}OrNode\ n2)) \lor
((is-ParameterNode\ n1) \land (is-ParameterNode\ n2)) \lor
((is-PiNode\ n1) \land (is-PiNode\ n2)) \lor
((is\text{-}ReturnNode\ n1) \land (is\text{-}ReturnNode\ n2)) \lor
((is	ext{-}ShortCircuitOrNode\ n1) \land (is	ext{-}ShortCircuitOrNode\ n2)) \lor
((is\text{-}SignedDivNode\ n1) \land (is\text{-}SignedDivNode\ n2)) \lor
((is\text{-}StartNode\ n1) \land (is\text{-}StartNode\ n2)) \lor
((is\text{-}StoreFieldNode\ n1) \land (is\text{-}StoreFieldNode\ n2)) \lor
((is\text{-}SubNode\ n1) \land (is\text{-}SubNode\ n2)) \lor
((is-UnwindNode\ n1) \land (is-UnwindNode\ n2)) \lor
((is-ValuePhiNode\ n1) \land (is-ValuePhiNode\ n2)) \lor
((is-ValueProxyNode\ n1) \land (is-ValueProxyNode\ n2)) \lor
((is\text{-}XorNode\ n1) \land (is\text{-}XorNode\ n2)))
```

end

3.3 IR Graph Type

```
theory IRGraph
imports
IRNodeHierarchy
Stamp
HOL-Library.FSet
HOL.Relation
begin
```

This theory defines the main Graal data structure - an entire IR Graph.

```
IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.
```

```
typedef IRGraph = \{g :: ID \rightarrow (IRNode \times Stamp) : finite (dom g)\}
proof -
  have finite(dom(Map.empty)) \land ran Map.empty = \{\} by auto
  then show ?thesis
    by fastforce
qed
setup-lifting type-definition-IRGraph
lift-definition ids :: IRGraph \Rightarrow ID \ set
  is \lambda g. \{ nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, \ s)) \}.
fun with-default :: c \Rightarrow (b \Rightarrow c) \Rightarrow ((a \rightarrow b) \Rightarrow a \Rightarrow c) where
  with-default def conv = (\lambda m \ k.
    (case \ m \ k \ of \ None \Rightarrow def \mid Some \ v \Rightarrow conv \ v))
lift-definition kind :: IRGraph \Rightarrow (ID \Rightarrow IRNode)
  is with-default NoNode fst .
lift-definition stamp :: IRGraph \Rightarrow ID \Rightarrow Stamp
  is with-default IllegalStamp\ snd .
lift-definition add\text{-}node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. \ if \ fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition remove-node :: ID \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid\ g.\ g(nid := None) by simp
lift-definition replace-node :: ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph
  is \lambda nid \ k \ g. if fst \ k = NoNode \ then \ g \ else \ g(nid \mapsto k) by simp
lift-definition as-list :: IRGraph \Rightarrow (ID \times IRNode \times Stamp) list
  is \lambda g. \ map \ (\lambda k. \ (k, \ the \ (g \ k))) \ (sorted-list-of-set \ (dom \ g)).
fun no-node :: (ID \times (IRNode \times Stamp)) list \Rightarrow (ID \times (IRNode \times Stamp)) list
where
  no-node g = filter (\lambda n. fst (snd n) \neq NoNode) g
lift-definition irgraph :: (ID \times (IRNode \times Stamp)) \ list \Rightarrow IRGraph
  is map-of \circ no-node
  by (simp add: finite-dom-map-of)
definition as-set :: IRGraph \Rightarrow (ID \times (IRNode \times Stamp)) set where
  as-set g = \{(n, kind \ g \ n, stamp \ g \ n) \mid n \ . \ n \in ids \ g\}
definition true\text{-}ids :: IRGraph \Rightarrow ID \text{ set } \mathbf{where}
  true-ids \ g = ids \ g - \{n \in ids \ g. \ \exists \ n' \ . \ kind \ g \ n = RefNode \ n'\}
```

```
definition domain-subtraction :: 'a set \Rightarrow ('a \times 'b) set \Rightarrow ('a \times 'b) set
  (infix \leq 3\theta) where
  domain-subtraction s \ r = \{(x, y) \ . \ (x, y) \in r \land x \notin s\}
notation (latex)
  domain-subtraction (- \triangleleft -)
{\bf code\text{-}datatype}\ irgraph
fun filter-none where
 filter-none g = \{nid \in dom \ g : \nexists s. \ g \ nid = (Some \ (NoNode, s))\}
lemma no-node-clears:
  res = no\text{-}node \ xs \longrightarrow (\forall \ x \in set \ res. \ fst \ (snd \ x) \neq NoNode)
 bv simp
lemma dom-eq:
 assumes \forall x \in set \ xs. \ fst \ (snd \ x) \neq NoNode
 shows filter-none (map-of xs) = dom (map-of xs)
 {\bf unfolding} \ filter{-none.simps} \ {\bf using} \ assms \ map{-of-SomeD}
 by fastforce
lemma fil-eq:
 filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))
 using no-node-clears
 by (metis dom-eq dom-map-of-conv-image-fst list.set-map)
lemma irgraph[code]: ids (irgraph m) = set (map fst (no-node m))
  unfolding irgraph-def ids-def using fil-eq
  by (smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq
ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq)
lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
 using Abs-IRGraph-inverse
 by (simp add: irgraph.rep-eq)
— Get the inputs set of a given node ID
fun inputs :: IRGraph \Rightarrow ID \Rightarrow ID set where
  inputs\ g\ nid = set\ (inputs-of\ (kind\ g\ nid))
— Get the successor set of a given node ID
fun succ :: IRGraph \Rightarrow ID \Rightarrow ID set where
 succ\ g\ nid = set\ (successors-of\ (kind\ g\ nid))

    Gives a relation between node IDs - between a node and its input nodes

fun input\text{-}edges :: IRGraph \Rightarrow ID rel where
  input-edges\ g = (\bigcup\ i \in ids\ g.\ \{(i,j)|j.\ j \in (inputs\ g\ i)\})
— Find all the nodes in the graph that have nid as an input - the usages of nid
```

```
fun usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
  usages g nid = \{i. i \in ids \ g \land nid \in inputs \ g \ i\}
fun successor-edges :: IRGraph \Rightarrow ID rel where
  successor\text{-}edges\ g = (\bigcup i \in ids\ g.\ \{(i,j)|j\ .\ j \in (succ\ g\ i)\})
fun predecessors :: IRGraph \Rightarrow ID \Rightarrow ID set where
  predecessors \ g \ nid = \{i. \ i \in ids \ g \land nid \in succ \ g \ i\}
fun nodes-of :: IRGraph \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
  nodes-of g \ sel = \{ nid \in ids \ g \ . \ sel \ (kind \ g \ nid) \}
fun edge :: (IRNode \Rightarrow 'a) \Rightarrow ID \Rightarrow IRGraph \Rightarrow 'a where
  edge \ sel \ nid \ g = sel \ (kind \ g \ nid)
fun filtered-inputs :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
 filtered-inputs g nid f = filter (f \circ (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID list where
  filtered-successors g nid f = filter (f \circ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph \Rightarrow ID \Rightarrow (IRNode \Rightarrow bool) \Rightarrow ID set where
 filtered-usages g nid f = \{n \in (usages \ g \ nid), f \ (kind \ g \ n)\}
fun is\text{-}empty :: IRGraph \Rightarrow bool where
  is\text{-}empty\ g = (ids\ g = \{\})
\mathbf{fun} \ \mathit{any-usage} :: \mathit{IRGraph} \Rightarrow \mathit{ID} \Rightarrow \mathit{ID} \ \mathbf{where}
  any-usage g nid = hd (sorted-list-of-set (usages g \ nid))
lemma ids-some[simp]: x \in ids \ g \longleftrightarrow kind \ g \ x \neq NoNode
proof -
 have that: x \in ids \ g \longrightarrow kind \ g \ x \neq NoNode
    using ids.rep-eq kind.rep-eq by force
  have kind \ g \ x \neq NoNode \longrightarrow x \in ids \ g
    unfolding with-default.simps kind-def ids-def
    by (cases Rep-IRGraph g x = None; auto)
  from this that show ?thesis by auto
qed
lemma not-in-g:
  assumes nid \notin ids q
 shows kind \ g \ nid = NoNode
  using assms ids-some by blast
lemma valid-creation[simp]:
  finite\ (dom\ g) \longleftrightarrow Rep-IRGraph\ (Abs-IRGraph\ g) = g
  using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)
lemma [simp]: finite (ids g)
  using Rep-IRGraph ids.rep-eq by simp
lemma [simp]: finite (ids (irgraph q))
 by (simp add: finite-dom-map-of)
```

```
lemma [simp]: finite (dom\ g) \longrightarrow ids\ (Abs\text{-}IRGraph\ g) = \{nid \in dom\ g\ .\ \nexists s.\ g
nid = Some (NoNode, s)
 using ids.rep-eq by simp
lemma [simp]: finite (dom\ q) \longrightarrow kind\ (Abs\text{-}IRGraph\ q) = (\lambda x\ .\ (case\ q\ x\ of\ None
\Rightarrow NoNode | Some n \Rightarrow fst n)
 by (simp add: kind.rep-eq)
\textbf{lemma} \ [\textit{simp}] \text{: finite} \ (\textit{dom} \ \textit{g}) \ \longrightarrow \ \textit{stamp} \ (\textit{Abs-IRGraph} \ \textit{g}) \ = \ (\lambda x \ . \ (\textit{case} \ \textit{g} \ x \ \textit{of}
None \Rightarrow IllegalStamp \mid Some \ n \Rightarrow snd \ n))
  using stamp.abs-eq stamp.rep-eq by auto
lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
  using irgraph by auto
lemma [simp]: kind (irgraph q) = (\lambda nid. (case (map-of (no-node q)) nid of None)
\Rightarrow NoNode \mid Some \ n \Rightarrow fst \ n)
 using irgraph.rep-eq kind.transfer kind.rep-eq by auto
lemma [simp]: stamp (irgraph\ q) = (\lambda nid.\ (case\ (map-of\ (no-node\ q))\ nid\ of\ None
\Rightarrow IllegalStamp | Some n \Rightarrow snd n)
  using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto
lemma map-of-upd: (map\text{-}of\ g)(k\mapsto v)=(map\text{-}of\ ((k,\ v)\ \#\ g))
 by simp
lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) \# g)))
proof (cases fst k = NoNode)
  case True
  then show ?thesis
   by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps replace-node.rep-eq snd-conv)
next
  case False
 then show ?thesis unfolding irqraph-def replace-node-def no-node.simps
   by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
qed
lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k) \# g)))
  by (smt (z3) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq
map-of-upd no-node.simps snd-conv)
\mathbf{lemma}\ \mathit{add}\text{-}\mathit{node}\text{-}\mathit{lookup}\text{:}
  qup = add-node nid (k, s) q \longrightarrow
    (if k \neq NoNode then kind gup nid = k \wedge stamp gup nid = s else kind gup nid
= kind \ g \ nid)
```

```
proof (cases \ k = NoNode)
 {f case}\ True
 then show ?thesis
   by (simp add: add-node.rep-eq kind.rep-eq)
next
 case False
 then show ?thesis
   by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed
lemma remove-node-lookup:
  gup = remove\text{-}node \ nid \ g \longrightarrow kind \ gup \ nid = NoNode \land stamp \ gup \ nid =
IllegalStamp
 by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)
lemma replace-node-lookup[simp]:
 gup = replace - node \ nid \ (k, s) \ g \land k \neq NoNode \longrightarrow kind \ gup \ nid = k \land stamp
gup\ nid = s
 by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)
lemma replace-node-unchanged:
 gup = replace - node \ nid \ (k, s) \ g \longrightarrow (\forall \ n \in (ids \ g - \{nid\}) \ . \ n \in ids \ g \land n \in ids
gup \wedge kind \ g \ n = kind \ gup \ n
 by (simp add: kind.rep-eq replace-node.rep-eq)
3.3.1 Example Graphs
Example 1: empty graph (just a start and end node)
definition start-end-graph:: IRGraph where
  start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode
None None, VoidStamp)]
Example 2: public static int sq(int x) return x * x;
[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]
definition eg2-sq :: IRGraph where
 eg2-sq = irgraph
   (0, StartNode None 5, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (4, MulNode 1 1, default-stamp),
   (5, ReturnNode (Some 4) None, default-stamp)
```

value input-edges eg2-sq value usages eg2-sq 1

4 java.lang.Long

```
Utility functions from the Long class that Graal occasionally makes use of.
theory Long
 imports ValueThms
begin
lemma negative-all-set-32:
 n < 32 \Longrightarrow bit (-1::int32) n
 apply transfer by auto
definition MaxOrNeg :: nat set \Rightarrow int  where
  MaxOrNeg\ s = (if\ s = \{\}\ then\ -1\ else\ Max\ s)
definition MinOrHighest: nat set \Rightarrow nat \Rightarrow nat where
  MinOrHighest\ s\ m = (if\ s = \{\}\ then\ m\ else\ Min\ s)
definition highestOneBit :: ('a::len) word \Rightarrow int where
  highestOneBit\ v = MaxOrNeg\ \{n\ .\ bit\ v\ n\}
definition lowestOneBit :: ('a::len) word <math>\Rightarrow nat where
  lowestOneBit\ v = MinOrHighest\ \{n\ .\ bit\ v\ n\}\ (size\ v)
lemma max-bit: bit (v::('a::len) word) n \Longrightarrow n < size v
 \mathbf{by}\ (simp\ add:\ bit\text{-}imp\text{-}le\text{-}length\ size\text{-}word.rep\text{-}eq)
lemma max-set-bit: MaxOrNeg \{n : bit (v::('a::len) \ word) \ n\} < Nat.size \ v
  using max-bit unfolding MaxOrNeg-def
 by force
      Long.numberOfLeadingZeros
definition numberOfLeadingZeros :: ('a::len) word <math>\Rightarrow nat where
  numberOfLeadingZeros\ v = nat\ (Nat.size\ v - highestOneBit\ v - 1)
lemma MaxOrNeg-neg: MaxOrNeg \{\} = -1
 by (simp add: MaxOrNeg-def)
lemma MaxOrNeg-max: s \neq \{\} \Longrightarrow MaxOrNeg \ s = Max \ s
 by (simp add: MaxOrNeg-def)
lemma zero-no-bits:
  \{n \ . \ bit \ 0 \ n\} = \{\}
 by simp
```

```
lemma highestOneBit (0::64 word) = -1
 by (simp add: MaxOrNeg-neg highestOneBit-def)
lemma numberOfLeadingZeros (0::64 word) = 64
 unfolding numberOfLeadingZeros-def using MaxOrNeq-neq highestOneBit-def
size 64
 by (smt (verit) nat-int zero-no-bits)
lemma highestOneBit-top: Max \{highestOneBit (v::64 word)\} < 64
 unfolding highestOneBit-def
 by (metis Max-singleton int-eq-iff-numeral max-set-bit size64)
lemma\ numberOfLeadingZeros-top:\ Max\ \{numberOfLeadingZeros\ (v::64\ word)\} \le
 unfolding numberOfLeadingZeros-def
 using size64
 by (simp add: MaxOrNeg-def highestOneBit-def nat-le-iff)
lemma numberOfLeadingZeros-range: 0 \le numberOfLeadingZeros a <math>\land numberOfLead-range
ingZeros \ a \leq Nat.size \ a
 {\bf unfolding} \ number Of Leading Zeros-def
 using MaxOrNeg-def highestOneBit-def nat-le-iff
 by (smt (verit) bot-nat-0.extremum int-eq-iff)
lemma\ leadingZerosAddHighestOne:\ numberOfLeadingZeros\ v\ +\ highestOneBit\ v
= Nat.size v - 1
 unfolding \ number Of Leading Zeros-def \ highest One Bit-def
 using MaxOrNeg-def int-nat-eq int-ops(6) max-bit order-less-irreft by fastforce
4.2
      Long.numberOfTrailingZeros
definition numberOfTrailingZeros :: ('a::len) word <math>\Rightarrow nat where
 numberOfTrailingZeros \ v = lowestOneBit \ v
lemma lowestOneBit-bot: lowestOneBit (0::64 word) = 64
 unfolding lowestOneBit-def MinOrHighest-def
 by (simp add: size64)
lemma bit-zero-set-in-top: bit (-1::'a::len word) 0
 by auto
lemma nat\text{-}bot\text{-}set: (0::nat) \in xs \longrightarrow (\forall x \in xs . 0 \le x)
 by fastforce
lemma numberOfTrailingZeros (0::64 word) = 64
 unfolding \ number Of Trailing Zeros-def
 using lowestOneBit-bot by simp
```

```
4.3 Long.bitCount
```

```
definition bitCount :: ('a::len) word \Rightarrow nat where
 bitCount\ v = card\ \{n\ .\ bit\ v\ n\}
lemma bitCount \theta = \theta
 unfolding bitCount-def
 by (metis card.empty zero-no-bits)
4.4 Long.zeroCount
definition zeroCount :: ('a::len) word \Rightarrow nat where
 zeroCount \ v = card \ \{n. \ n < Nat. size \ v \land \neg(bit \ v \ n)\}
lemma zeroCount-finite: finite \{n. \ n < Nat.size \ v \land \neg(bit \ v \ n)\}
 using finite-nat-set-iff-bounded by blast
lemma negone-set:
  bit (-1::('a::len) \ word) \ n \longleftrightarrow n < LENGTH('a)
 \mathbf{by} \ simp
lemma negone-all-bits:
  \{n : bit \ (-1::('a::len) \ word) \ n\} = \{n : 0 \le n \land n < LENGTH('a)\}
 using negone-set
 by auto
lemma bitCount-finite:
 finite \{n : bit (v::('a::len) word) n\}
 by simp
\mathbf{lemma} \ \mathit{card}\text{-}\mathit{of}\text{-}\mathit{range}\text{:}
 x = card \{ n : 0 \le n \land n < x \}
 by simp
lemma range-of-nat:
  \{(n::nat) : 0 \le n \land n < x\} = \{n : n < x\}
 by simp
lemma finite-range:
 finite \{n::nat : n < x\}
 by simp
lemma range-eq:
 fixes x y :: nat
 shows card \{y...< x\} = card \{y<...x\}
 using \ card-atLeastLessThan \ card-greaterThanAtMost \ by \ presburger
lemma card-of-range-bound:
 fixes x y :: nat
```

```
assumes x > y
 \mathbf{shows} \ x - y = \mathit{card} \ \{ n \ . \ y < n \land n \le x \}
proof -
 have finite: finite \{n : y \le n \land n < x\}
   by auto
 have nonempty: \{n : y \le n \land n < x\} \ne \{\}
   using assms by blast
 have simprep: \{n : y < n \land n \le x\} = \{y < ...x\}
   by auto
 have x - y = card \{y < ... x\}
   by auto
 then show ?thesis
   unfolding simprep by blast
qed
lemma bitCount(-1::('a::len) word) = LENGTH('a)
 {\bf unfolding} \ \textit{bitCount-def} \ {\bf using} \ \textit{card-of-range}
 by (metis (no-types, lifting) Collect-cong negone-all-bits)
lemma bitCount-range:
 fixes n :: ('a::len) word
 shows 0 \le bitCount \ n \land bitCount \ n \le Nat.size \ n
 unfolding bitCount-def
 by (metis\ at Least Less Than-iff\ bot-nat-0.\ extremum\ max-bit\ mem-Collect-eq\ subset I
subset-eq-atLeast0-lessThan-card)
{f lemma}\ zeros Above Highest One:
  n > highestOneBit \ a \Longrightarrow \neg(bit \ a \ n)
 {f unfolding}\ highestOneBit\text{-}def\ MaxOrNeg\text{-}def
  by (metis (mono-tags, opaque-lifting) Collect-empty-eq Max-ge finite-bit-word
less-le-not-le mem-Collect-eq of-nat-le-iff)
lemma zerosBelowLowestOne:
 assumes n < lowestOneBit a
 shows \neg(bit\ a\ n)
proof (cases \{i. bit a i\} = \{\})
 case True
 then show ?thesis by simp
next
 case False
 have n < Min (Collect (bit a)) \Longrightarrow \neg bit a n
   using False by auto
 then show ?thesis
   by (metis False MinOrHighest-def assms lowestOneBit-def)
qed
lemma union-bit-sets:
 fixes a :: ('a::len) word
 shows \{n : n < Nat.size \ a \land bit \ a \ n\} \cup \{n : n < Nat.size \ a \land \neg(bit \ a \ n)\} = \{n \}
```

```
n < Nat.size a
    by fastforce
lemma disjoint-bit-sets:
    fixes a :: ('a::len) word
    shows \{n : n < Nat.size \ a \land bit \ a \ n\} \cap \{n : n < Nat.size \ a \land \neg(bit \ a \ n)\} = \{\}
    by blast
lemma qualified-bitCount:
     bitCount\ v = card\ \{n\ .\ n < Nat.size\ v \land bit\ v\ n\}
    by (metis (no-types, lifting) Collect-cong bitCount-def max-bit)
lemma card-eq:
    assumes finite x \land finite \ y \land finite \ z
    assumes x \cup y = z
    assumes y \cap x = \{\}
    shows card z - card y = card x
    using assms add-diff-cancel-right' card-Un-disjoint
    by (metis inf.commute)
lemma card-add:
    assumes finite x \land finite \ y \land finite \ z
    assumes x \cup y = z
    assumes y \cap x = \{\}
    shows card x + card y = card z
    using assms card-Un-disjoint
    by (metis inf.commute)
lemma card-add-inverses:
    assumes finite \{n. Q n \land \neg (P n)\} \land finite \{n. Q n \land P n\} \land finite \{n. Q n\}
    shows card \{n. Q n \land P n\} + card \{n. Q n \land \neg (P n)\} = card \{n. Q n\}
    apply (rule card-add)
    using assms apply simp
    apply auto[1]
    by auto
lemma ones-zero-sum-to-width:
     bitCount\ a + zeroCount\ a = Nat.size\ a
proof -
     have add-cards: card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a n)\} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \land (bit a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < size a) n \} + card \{n. (\lambda n. n < si
size\ a)\ n \land \neg(bit\ a\ n)\} = card\ \{n.\ (\lambda n.\ n < size\ a)\ n\}
         apply (rule card-add-inverses) by simp
    then have ... = Nat.size a
        by auto
  then show ?thesis
         unfolding bitCount-def zeroCount-def using max-bit
         by (metis (mono-tags, lifting) Collect-cong add-cards)
qed
```

```
\mathbf{lemma}\ intersect\text{-}bitCount\text{-}helper:
  card \{n : n < Nat.size \ a\} - bitCount \ a = card \{n : n < Nat.size \ a \land \neg(bit \ a \ n)\}
proof -
  have size-def: Nat.size a = card \{n : n < Nat.size a\}
    using card-of-range by simp
  have bitCount-def: bitCount\ a = card\ \{n\ .\ n < Nat.size\ a \land bit\ a\ n\}
    using qualified-bitCount by auto
  have disjoint: \{n : n < Nat.size \ a \land bit \ a \ n\} \cap \{n : n < Nat.size \ a \land \neg (bit \ a \ n)\}
   using disjoint-bit-sets by auto
 have union: \{n : n < Nat.size \ a \land bit \ a \ n\} \cup \{n : n < Nat.size \ a \land \neg(bit \ a \ n)\}
= \{n : n < Nat.size a\}
   using union-bit-sets by auto
  show ?thesis
   unfolding bitCount-def
   apply (rule card-eq)
   using finite-range apply simp
   using union apply blast
   using disjoint by simp
qed
lemma intersect-bitCount:
  Nat.size \ a - bitCount \ a = card \ \{n \ . \ n < Nat.size \ a \land \neg(bit \ a \ n)\}
  using card-of-range intersect-bitCount-helper by auto
{\bf hide\text{-}fact}\ intersect\text{-}bitCount\text{-}helper
end
```

5 Data-flow Semantics

```
theory IRTreeEval
imports
Graph.Stamp
begin
```

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the SignedDivNode can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for SignedDivNode calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```
type-synonym ID = nat

type-synonym MapState = ID \Rightarrow Value

type-synonym Params = Value list

definition new-map-state :: MapState where

new-map-state = (\lambda x. \ UndefVal)

5.1 Data-flow Tree Representation

datatype IRUnaryOp = UnaryAbs

| \ UnaryNeg
```

UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat) UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat) UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)

datatype IRBinaryOp =

UnaryLogicNegation

UnaryNot

```
BinAdd \\ | BinMul \\ | BinSub \\ | BinAnd \\ | BinOr \\ | BinXor \\ | BinShortCircuitOr \\ | BinLeftShift \\ | BinLeftShift \\ | BinRightShift \\ | BinIntegerEquals \\ | BinIntegerLessThan \\ | BinIntegerBelow \\
```

```
 \begin{array}{l} \textbf{datatype} \ (\textit{discs-sels}) \ \textit{IRExpr} = \\ \textit{UnaryExpr} \ (\textit{ir-uop:} \ \textit{IRUnaryOp}) \ (\textit{ir-value:} \ \textit{IRExpr}) \\ | \ \textit{BinaryExpr} \ (\textit{ir-op:} \ \textit{IRBinaryOp}) \ (\textit{ir-x:} \ \textit{IRExpr}) \ (\textit{ir-y:} \ \textit{IRExpr}) \\ | \ \textit{ConditionalExpr} \ (\textit{ir-condition:} \ \textit{IRExpr}) \ (\textit{ir-trueValue:} \ \textit{IRExpr}) \ (\textit{ir-falseValue:} \ \textit{IRExpr}) \\ | \ \textit{IRExpr}) \end{array}
```

```
| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)
```

```
| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)
   ConstantExpr (ir-const: Value)
   Constant Var (ir-name: string)
   VariableExpr (ir-name: string) (ir-stamp: Stamp)
fun is-ground :: IRExpr \Rightarrow bool where
  is-ground (UnaryExpr\ op\ e) = is-ground e
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 \land is-ground e2)
  is-ground (ConditionalExpr b e1 e2) = (is-ground b \wedge is-ground e1 \wedge is-ground
e2)
  is-ground (ParameterExpr\ i\ s) = True
  is-ground (LeafExpr n s) = True
  is-ground (ConstantExpr\ v) = True
  is-ground (Constant Var name) = False
  is-ground (VariableExpr\ name\ s) = False
\mathbf{typedef} \ \mathit{GroundExpr} = \{ \ e :: \mathit{IRExpr} \ . \ \mathit{is-ground} \ e \ \}
 using is-ground.simps(6) by blast
```

5.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Many operators have the same output bits as their inputs. However, the unary integer operators that are not normal_unary are narrowing or widening operators, so the result bits is specified by the operator. The binary integer operators are divided into three groups: (1) binary_fixed_32 operators always output 32 bits, (2) binary_shift_ops operators output size is determined by their left argument, and (3) other operators output the same number of bits as both their inputs.

```
abbreviation binary-fixed-32-ops :: IRBinaryOp set where binary-fixed-32-ops \equiv {BinShortCircuitOr, BinIntegerEquals, BinIntegerLessThan, BinIntegerBelow} abbreviation binary-shift-ops :: IRBinaryOp set where binary-shift-ops \equiv {BinLeftShift, BinRightShift, BinURightShift} abbreviation normal-unary :: IRUnaryOp set where normal-unary \equiv {UnaryAbs, UnaryNeg, UnaryNot, UnaryLogicNegation} fun stamp-unary :: IRUnaryOp \Rightarrow Stamp \Rightarrow Stamp where stamp-unary op (IntegerStamp b lo hi) = unrestricted-stamp (IntegerStamp (if op \in normal-unary then b else (ir-resultBits op)) lo hi) | stamp-unary op - = IllegalStamp
```

```
fun stamp-binary :: IRBinaryOp \Rightarrow Stamp \Rightarrow Stamp \Rightarrow Stamp where
 stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
   (if op \in binary-shift-ops then unrestricted-stamp (IntegerStamp b1 lo1 hi1)
    else if b1 \neq b2 then IllegalStamp else
     (if op \in binary-fixed-32-ops
      then unrestricted-stamp (IntegerStamp 32 lo1 hi1)
      else unrestricted-stamp (IntegerStamp b1 lo1 hi1))) |
 stamp-binary op - - = IllegalStamp
fun stamp-expr :: IRExpr \Rightarrow Stamp where
 stamp-expr (UnaryExpr \ op \ x) = stamp-unary \ op \ (stamp-expr \ x)
 stamp-expr\ (BinaryExpr\ bop\ x\ y) = stamp-binary\ bop\ (stamp-expr\ x)\ (stamp-expr\ x)
y)
 stamp-expr (ConstantExpr val) = constantAsStamp val
 stamp-expr(LeafExpr(is)) = s
 stamp-expr (ParameterExpr i s) = s \mid
 stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)
export-code stamp-unary stamp-binary stamp-expr
      Data-flow Tree Evaluation
fun unary-eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value where
 unary-eval UnaryAbs\ v = intval-abs v \mid
 unary-eval UnaryNeg\ v = intval-negate v \mid
 unary-eval UnaryNot \ v = intval-not v \mid
 unary-eval\ UnaryLogicNegation\ v=intval-logic-negation\ v\mid
 unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v
 unary-eval (UnarySignExtend inBits outBits) v=intval-sign-extend inBits outBits
 unary-eval (UnaryZeroExtend\ inBits\ outBits) v=intval-zero-extend\ inBits\ outBits
fun bin-eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value where
 bin-eval\ BinAdd\ v1\ v2 = intval-add\ v1\ v2
 bin-eval BinMul\ v1\ v2 = intval-mul\ v1\ v2
 bin-eval BinSub\ v1\ v2 = intval-sub v1\ v2
 bin-eval BinAnd\ v1\ v2 = intval-and v1\ v2
 bin-eval\ BinOr\ v1\ v2=intval-or\ v1\ v2
 bin-eval BinXor\ v1\ v2 = intval-xor v1\ v2
 bin-eval\ BinShortCircuitOr\ v1\ v2=intval-short-circuit-or\ v1\ v2
 bin-eval\ BinLeftShift\ v1\ v2=intval-left-shift\ v1\ v2
 bin-eval\ BinRightShift\ v1\ v2=intval-right-shift\ v1\ v2
 bin-eval\ Bin\ URightShift\ v1\ v2=intval-uright-shift\ v1\ v2\ |
 bin-eval BinIntegerEquals \ v1 \ v2 = intval-equals v1 \ v2
```

bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2

```
lemmas eval-thms =
  intval-abs.simps\ intval-negate.simps\ intval-not.simps
  intval	ext{-}logic	ext{-}negation. simps intval	ext{-}narrow. simps
  intval\text{-}sign\text{-}extend.simps\ intval\text{-}zero\text{-}extend.simps
  intval-add.simps intval-mul.simps intval-sub.simps
  intval-and.simps intval-or.simps intval-xor.simps
  intval\text{-}left\text{-}shift.simps\ intval\text{-}right\text{-}shift.simps
  intval-uright-shift.simps intval-equals.simps
  intval-less-than.simps intval-below.simps
inductive not-undef-or-fail :: Value \Rightarrow Value \Rightarrow bool where
  \llbracket value \neq UndefVal \rrbracket \implies not\text{-}undef\text{-}or\text{-}fail\ value\ value}
notation (latex output)
  not-undef-or-fail (- = -)
inductive
  evaltree :: MapState \Rightarrow Params \Rightarrow IRExpr \Rightarrow Value \Rightarrow bool ([-,-] \vdash - \mapsto -55)
  for m p where
  Constant Expr:
  \llbracket valid\text{-}value\ c\ (constantAsStamp\ c) 
bracket
    \implies [m,p] \vdash (ConstantExpr\ c) \mapsto c
  ParameterExpr:
  [i < length p; valid-value (p!i) s]
    \implies [m,p] \vdash (ParameterExpr \ i \ s) \mapsto p!i \mid
  Conditional Expr:
  \llbracket [m,p] \vdash ce \mapsto cond;
    branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe);
    [m,p] \vdash branch \mapsto result;
    result \neq UndefVal
    \implies [m,p] \vdash (ConditionalExpr\ ce\ te\ fe) \mapsto result
  UnaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
    result = (unary-eval \ op \ x);
    result \neq UndefVal
    \implies [m,p] \vdash (UnaryExpr \ op \ xe) \mapsto result \mid
  BinaryExpr:
  \llbracket [m,p] \vdash xe \mapsto x;
    [m,p] \vdash ye \mapsto y;
    result = (bin-eval \ op \ x \ y);
```

```
result \neq UndefVal
    \implies [m,p] \vdash (BinaryExpr \ op \ xe \ ye) \mapsto result \mid
  LeafExpr:
  \llbracket val = m \ n;
    valid-value val s
    \implies [m,p] \vdash \textit{LeafExpr } n \ s \mapsto \textit{val}
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalT)
  [show\text{-}steps, show\text{-}mode\text{-}inference, show\text{-}intermediate\text{-}results]
  evaltree .
inductive
  evaltrees :: MapState \Rightarrow Params \Rightarrow IRExpr\ list \Rightarrow Value\ list \Rightarrow bool\ ([-,-] \vdash - \mapsto_L
  for m p where
  EvalNil:
  [m,p] \vdash [] \mapsto_L [] \mid
  EvalCons:
  \llbracket [m,p] \vdash x \mapsto xval;
    [m,p] \vdash yy \mapsto_L yyval
    \implies [m,p] \vdash (x\#yy) \stackrel{\cdot}{\mapsto}_L (xval\#yyval)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ evalTs)
  evaltrees.
definition sq\text{-}param\theta :: IRExpr where
  sq\text{-}param0 = BinaryExpr\ BinMul
    (Parameter Expr\ 0\ (Integer Stamp\ 32\ (-\ 2147483648)\ 2147483647))
    (ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))
values \{v. \ evaltree \ new-map-state \ [IntVal \ 32 \ 5] \ sq-param 0 \ v\}
declare evaltree.intros [intro]
declare evaltrees.intros [intro]
```

5.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

```
definition equiv-exprs :: IRExpr \Rightarrow IRExpr \Rightarrow bool \ (- \doteq -55) where (e1 \doteq e2) = (\forall m \ p \ v. \ (([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v)))
```

We also prove that this is a total equivalence relation (equivp equiv-exprs)

(HOL.Equiv_Relations), so that we can reuse standard results about equivalence relations.

```
lemma equivp equiv-exprs
apply (auto simp add: equivp-def equiv-exprs-def)
by (metis equiv-exprs-def)+
```

We define a refinement ordering over IRExpr and show that it is a preorder. Note that it is asymmetric because e2 may refer to fewer variables than e1.

instantiation IRExpr :: preorder begin

```
notation less-eq (infix \sqsubseteq 65)

definition
le-expr-def [simp]:
(e_2 \le e_1) \longleftrightarrow (\forall \ m \ p \ v. \ (([m,p] \vdash e_1 \mapsto v) \longrightarrow ([m,p] \vdash e_2 \mapsto v)))

definition
lt-expr-def [simp]:
(e_1 < e_2) \longleftrightarrow (e_1 \le e_2 \land \neg \ (e_1 \doteq e_2))

instance proof
fix x \ y \ z :: IRExpr
show x < y \longleftrightarrow x \le y \land \neg \ (y \le x) by (simp add: equiv-exprs-def; auto)
show x \le x by simp
show x \le y \Longrightarrow y \le z \Longrightarrow x \le z by simp
```

end

qed

```
abbreviation (output) Refines :: IRExpr \Rightarrow IRExpr \Rightarrow bool (infix \supseteq 64) where e_1 \supseteq e_2 \equiv (e_2 \leq e_1)
```

5.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to a the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

locale stamp-mask =

```
fixes up :: IRExpr \Rightarrow int64 (\uparrow)
  fixes down :: IRExpr \Rightarrow int64 (\downarrow)
  assumes up-spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ v\ (not\ ((ucast\ (\uparrow e))))) = 0
      and down-spec: [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow (and\ (not\ v)\ (ucast\ (\downarrow e))) = 0
begin
lemma may-implies-either:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\uparrow e)\ n \Longrightarrow bit\ v\ n = False \lor bit\ v\ n = True
  by simp
lemma not-may-implies-false:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow \neg(bit\ (\uparrow e)\ n) \Longrightarrow bit\ v\ n = False
  using up-spec
  using bit-and-iff bit-eq-iff bit-not-iff bit-unsigned-iff down-spec
  by (smt (verit, best) bit.double-compl)
lemma must-implies-true:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ v\ n = True
  using down-spec
 by (metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id)
lemma not-must-implies-either:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow \neg(bit\ (\downarrow e)\ n) \Longrightarrow bit\ v\ n = False\ \lor\ bit\ v\ n = True
  by simp
lemma must-implies-may:
  [m, p] \vdash e \mapsto IntVal\ b\ v \Longrightarrow n < 32 \Longrightarrow bit\ (\downarrow e)\ n \Longrightarrow bit\ (\uparrow e)\ n
  by (meson must-implies-true not-may-implies-false)
lemma up-mask-and-zero-implies-zero:
  assumes and (\uparrow x) (\uparrow y) = 0
  assumes [m, p] \vdash x \mapsto IntVal\ b\ xv
  assumes [m, p] \vdash y \mapsto IntVal\ b\ yv
  shows and xv \ yv = 0
  using assms
 by (smt (23) and.commute and.right-neutral and-zero-eq bit.compl-zero bit.conj-cancel-right
bit.conj-disj-distribs(1) ucast-id up-spec word-bw-assocs(1) word-not-dist(2))
\mathbf{lemma}\ not\text{-}down\text{-}up\text{-}mask\text{-}and\text{-}zero\text{-}implies\text{-}zero\text{:}
  assumes and (not (\downarrow x)) (\uparrow y) = 0
  assumes [m, p] \vdash x \mapsto IntVal\ b\ xv
  assumes [m, p] \vdash y \mapsto IntVal\ b\ yv
  shows and xv \ yv = yv
  using assms
 by (smt (z3) and-zero-eq bit.conj-cancel-left bit.conj-disj-distribs(1) bit.conj-disj-distribs(2)
bit. \textit{de-Morgan-disj down-spec or-eq-not-not-and ucast-id up-spec word-ao-absorbs} (2)
word-ao-absorbs(8) word-bw-lcs(1) word-not-dist(2))
```

end

end

5.6 Data-flow Tree Theorems

```
theory IRTreeEvalThms
imports
Graph. ValueThms
IRTreeEval
begin
```

5.6.1 Deterministic Data-flow Evaluation

```
lemma evalDet:
[m,p] \vdash e \mapsto v_1 \Longrightarrow \\ [m,p] \vdash e \mapsto v_2 \Longrightarrow \\ v_1 = v_2 \Longrightarrow \\ \text{apply } (induction \ arbitrary: \ v_2 \ rule: \ evaltree.induct) \\ \text{by } (elim \ EvalTreeE; \ auto) + \\ \\ \text{lemma} \ evalAllDet: \\ [m,p] \vdash e \mapsto_L v1 \Longrightarrow \\ [m,p] \vdash e \mapsto_L v2 \Longrightarrow \\ v1 = v2 \\ \text{apply } (induction \ arbitrary: \ v2 \ rule: \ evaltrees.induct) \\ \text{apply } (induction \ arbitrary: \ v2 \ rule: \ evaltrees.induct) \\ \text{apply } (elim \ EvalTreeE; \ auto) \\ \text{using } \ evalDet \ \text{by } force
```

5.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values: $is_IntVal32$, $is_IntVal64$ and the more general is_IntVal .

```
lemma unary-eval-not-obj-ref:

shows unary-eval op x \neq ObjRef \ v

by (cases op; cases x; auto)

lemma unary-eval-not-obj-str:

shows unary-eval op x \neq ObjStr \ v

by (cases op; cases x; auto)
```

```
lemma unary-eval-int:
assumes def: unary-eval op <math>x \neq UndefVal
```

```
shows is-IntVal (unary-eval op x)
 unfolding is-IntVal-def using def
 apply (cases unary-eval op x; auto)
 using unary-eval-not-obj-ref unary-eval-not-obj-str by simp+
lemma bin-eval-int:
 assumes def: bin-eval \ op \ x \ y \neq \ UndefVal
 shows is-IntVal (bin-eval op x y)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
              apply presburger+
         apply (meson bool-to-val.elims)
        apply (meson bool-to-val.elims)
       apply (smt (verit) new-int.simps)+
 by (meson bool-to-val.elims)+
lemma Int Val0:
 (IntVal 32 0) = (new-int 32 0)
 unfolding new-int.simps
 by auto
lemma IntVal1:
 (Int Val \ 32 \ 1) = (new-int \ 32 \ 1)
 unfolding new-int.simps
 by auto
lemma bin-eval-new-int:
 assumes def: bin-eval \ op \ x \ y \neq UndefVal
 shows \exists b \ v. \ (bin\text{-}eval \ op \ x \ y) = new\text{-}int \ b \ v \ \land
            b = (if \ op \in binary\text{-}fixed\text{-}32\text{-}ops \ then \ 32 \ else \ intval\text{-}bits \ x)
 apply (cases op; cases x; cases y)
 unfolding is-IntVal-def using def apply auto
 apply presburger+
 apply (metis take-bit-and)
 apply presburger
 apply (metis take-bit-or)
 apply presburger
 apply (metis take-bit-xor)
 apply presburger
 using IntVal0\ IntVal1
 apply (metis bool-to-val.elims new-int.simps)
 apply presburger
 apply (smt (verit) new-int.elims)
 apply (smt (verit, best) new-int.elims)
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 apply presburger
 apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 apply presburger
```

```
apply (metis IntVal0 IntVal1 bool-to-val.elims new-int.simps)
 by meson
lemma int-stamp:
 assumes i: is-IntVal v
 shows is-IntegerStamp (constantAsStamp \ v)
 using i unfolding is-IntegerStamp-def is-IntVal-def by auto
\mathbf{lemma}\ validStampIntConst:
 assumes v = IntVal\ b\ ival
 assumes 0 < b \land b \le 64
 shows valid-stamp (constantAsStamp v)
proof -
 have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
\leq snd \ (bit\text{-}bounds \ b)
   using assms int-signed-value-bounds
   by presburger
 have s: constant As Stamp \ v = Integer Stamp \ b \ (int-signed-value \ b \ ival) \ (int-signed-value \ b)
   using assms(1) constantAsStamp.simps(1) by blast
 then show ?thesis
   unfolding s valid-stamp.simps
   using assms(2) assms bnds by linarith
qed
\mathbf{lemma}\ validDefIntConst:
 assumes v: v = IntVal \ b \ ival
 assumes \theta < b \land b \le 64
 assumes take-bit b ival = ival
 shows valid-value v (constantAsStamp v)
proof -
 have bnds: fst (bit-bounds b) \leq int-signed-value b ival \wedge int-signed-value b ival
\leq snd \ (bit\text{-}bounds \ b)
   using assms int-signed-value-bounds
   by presburger
 \mathbf{have}\ s.\ constant AsStamp\ v = Integer Stamp\ b\ (int\mbox{-}signed\mbox{-}value\ b\ ival)\ (int\mbox{-}signed\mbox{-}value\ b\ ival)
b ival
   using assms(1) constantAsStamp.simps(1) by blast
 then show ?thesis
   using assms validStampIntConst
   by simp
qed
```

5.6.3 Evaluation Results are Valid

A valid value cannot be UndefVal.

```
lemma valid-not-undef:
 assumes a1: valid\text{-}value \ val \ s
 assumes a2: s \neq VoidStamp
 shows val \neq UndefVal
 apply (rule valid-value.elims(1)[of val s True])
 using a1 a2 by auto
lemma valid-VoidStamp[elim]:
 shows \ valid-value val \ VoidStamp \Longrightarrow
     val = UndefVal
 using valid-value.simps by metis
lemma valid-ObjStamp[elim]:
 shows \ valid-value \ val \ (ObjectStamp \ klass \ exact \ nonNull \ alwaysNull) \Longrightarrow
     (\exists v. val = ObjRef v)
 using valid-value.simps by (metis val-to-bool.cases)
lemma valid-int[elim]:
 shows valid-value val (IntegerStamp b lo hi) \Longrightarrow
     (\exists v. val = IntVal b v)
 using valid-value. elims(2) by fastforce
\mathbf{lemmas}\ valid\text{-}value\text{-}elims =
  valid-VoidStamp
  valid-ObjStamp
  valid-int
{f lemma} evaltree{-not-undef}:
 fixes m p e v
 shows ([m,p] \vdash e \mapsto v) \Longrightarrow v \neq UndefVal
 apply (induction rule: evaltree.induct)
 using valid-not-undef by auto
lemma leafint:
 assumes ev: [m,p] \vdash LeafExpr\ i\ (IntegerStamp\ b\ lo\ hi) \mapsto val
 shows \exists b \ v. \ val = (IntVal \ b \ v)
proof -
 have valid-value val (IntegerStamp b lo hi)
   using ev by (rule LeafExprE; simp)
 then show ?thesis by auto
qed
lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648)
2147483647
```

```
using default-stamp-def by auto
```

```
lemma valid-value-signed-int-range [simp]:
assumes valid-value val (IntegerStamp b lo hi)
assumes lo < 0
shows \exists v. (val = IntVal \ b \ v \land lo \leq int\text{-signed-value b} \ v \land int\text{-signed-value b} \ v \leq hi)
using assms valid-int
by (metis valid-value.simps(1))
```

5.6.4 Example Data-flow Optimisations

5.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's mono operator (HOL.Orderings theory), proving instantiations like mono(UnaryExprop), but it is not obvious how to do this for both arguments of the binary expressions.

```
lemma mono-unary:
 assumes e \geq e'
 shows (UnaryExpr\ op\ e) \geq (UnaryExpr\ op\ e')
 using UnaryExpr assms by auto
lemma mono-binary:
 assumes x \geq x'
 assumes y \ge y'
 shows (BinaryExpr\ op\ x\ y) \ge (BinaryExpr\ op\ x'\ y')
 using BinaryExpr assms by auto
lemma never-void:
 assumes [m, p] \vdash x \mapsto xv
 assumes valid-value xv (stamp-expr xe)
 shows stamp-expr \ xe \neq VoidStamp
 using valid-value.simps
 using assms(2) by force
lemma compatible-trans:
 compatible \ x \ y \land compatible \ y \ z \Longrightarrow compatible \ x \ z
 by (cases x; cases y; cases z; simp del: valid-stamp.simps)
```

```
lemma compatible-refl:
  compatible \ x \ y \Longrightarrow compatible \ y \ x
  using compatible.elims(2) by fastforce
lemma mono-conditional:
  assumes ce \geq ce'
 assumes te \ge te'
 assumes fe \geq fe'
 \mathbf{shows}\ (\mathit{ConditionalExpr}\ \mathit{ce}\ \mathit{te}\ \mathit{fe}) \geq (\mathit{ConditionalExpr}\ \mathit{ce'}\ \mathit{te'}\ \mathit{fe'})
proof (simp only: le-expr-def; (rule allI)+; rule impI)
  \mathbf{fix} \ m \ p \ v
  assume a: [m,p] \vdash ConditionalExpr ce te fe \mapsto v
  then obtain cond where ce: [m,p] \vdash ce \mapsto cond by auto
  then have ce': [m,p] \vdash ce' \mapsto cond using assms by auto
  define branch where b: branch = (if \ val\ -to\ -bool \ cond \ then \ te \ else \ fe)
  define branch' where b': branch' = (if val-to-bool cond then te' else fe')
  then have beval: [m,p] \vdash branch \mapsto v using a b ce evalDet by blast
  from beval have [m,p] \vdash branch' \mapsto v using assms b b' by auto
  then show [m,p] \vdash ConditionalExpr ce' te' fe' \mapsto v
   using ConditionalExpr ce' b'
   using a by blast
qed
```

5.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level bin_eval / $unary_eval$ level, simply by saying $unfoldingunfold_evaltree$.

```
\mathbf{lemma}\ \mathit{unfold\text{-}const} :
```

```
shows ([m,p] \vdash ConstantExpr\ c \mapsto v) = (valid-value\ v\ (constantAsStamp\ c) \land v = c)
by blast
```

```
lemma unfold-binary:

shows ([m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto val) = (\exists\ x\ y.

(([m,p] \vdash xe \mapsto x) \land

([m,p] \vdash ye \mapsto y) \land

(val = bin\text{-}eval\ op\ x\ y) \land

(val \neq UndefVal)

)) (is ?L = ?R)

proof (intro\ iffI)
```

```
assume 3: ?L
 show ?R by (rule\ evaltree.cases[OF\ 3];\ blast+)
\mathbf{next}
 assume ?R
 then obtain x y where [m,p] \vdash xe \mapsto x
       and [m,p] \vdash ye \mapsto y
       and val = bin-eval \ op \ x \ y
       and val \neq UndefVal
   by auto
 then show ?L
    by (rule BinaryExpr)
qed
lemma unfold-unary:
 shows ([m,p] \vdash UnaryExpr \ op \ xe \mapsto val)
        = (\exists x.
           (([m,p] \vdash xe \mapsto x) \land
            (val = unary-eval \ op \ x) \land
            (val \neq UndefVal)
           )) (is ?L = ?R)
 by auto
{f lemmas} \ unfold\mbox{-}evaltree =
  unfold-binary
  unfold-unary
      Lemmas about new_int and integer eval results.
lemma unary-eval-new-int:
 assumes def: unary-eval op x \neq UndefVal
 shows \exists b \ v. \ unary-eval \ op \ x = new-int \ b \ v \ \land
             b = (if \ op \in normal-unary \ then \ intval-bits \ x \ else \ ir-resultBits \ op)
proof (cases op \in normal-unary)
 {f case}\ {\it True}
 then show ?thesis
  by (metis def empty-iff insert-iff intval-abs.elims intval-bits.simps intval-logic-negation.elims
intval-negate.elims\ intval-not.elims\ unary-eval.simps(1)\ unary-eval.simps(2)\ unary-eval.simps(3)
unary-eval.simps(4))
next
 case False
 consider ib ob where op = UnaryNarrow ib ob |
          ib \ ob \ \mathbf{where} \ op = \mathit{UnaryZeroExtend} \ ib \ ob \mid
          ib \ ob \ where \ op = UnarySignExtend \ ib \ ob
   by (metis False IRUnaryOp.exhaust insert-iff)
  then show ?thesis
 proof (cases)
   case 1
```

```
then show ?thesis
   by (metis\ False\ IR\ Unary\ Op.sel(4)\ def\ intval-narrow.elims\ unary-eval.simps(5))
 next
   case 2
   then show ?thesis
   \mathbf{by}\;(metis\;False\;IRUnaryOp.sel(6)\;def\;intval\text{-}zero\text{-}extend.elims\;unary\text{-}eval.simps(7))
 next
   case 3
   then show ?thesis
   by (metis\ False\ IRUnaryOp.sel(5)\ def\ intval-sign-extend.elims\ unary-eval.simps(6))
 qed
qed
{f lemma} new\-int\-unused\-bits\-zero:
 assumes IntVal\ b\ ival = new-int\ b\ ival0
 shows take-bit b ival = ival
 using assms(1) new-int-take-bits by blast
lemma unary-eval-unused-bits-zero:
 assumes unary-eval op x = IntVal\ b\ ival
 shows take-bit b ival = ival
 using assms unary-eval-new-int
 by (metis Value.inject(1) Value.simps(5) new-int.elims new-int-unused-bits-zero)
\mathbf{lemma}\ bin\text{-}eval\text{-}unused\text{-}bits\text{-}zero\text{:}
 assumes bin-eval op x y = (IntVal \ b \ ival)
 shows take-bit b ival = ival
 using assms bin-eval-new-int
 by (metis Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits)
lemma eval-unused-bits-zero:
  [m,p] \vdash xe \mapsto (Int Val \ b \ ix) \Longrightarrow take-bit \ b \ ix = ix
proof (induction xe)
 case (UnaryExpr x1 xe)
 then show ?case
   using unary-eval-unused-bits-zero by force
next
  case (BinaryExpr x1 xe1 xe2)
 then show ?case
   using bin-eval-unused-bits-zero by force
\mathbf{next}
 {\bf case}\ ({\it ConditionalExpr\ xe1\ xe2\ xe3})
 then show ?case
   by (metis (full-types) EvalTreeE(3))
\mathbf{next}
 case (ParameterExpr \ i \ s)
  then have valid-value(p!i) s
   bv fastforce
  then show ?case
```

```
by (metis ParameterExprE Value.distinct(7) intval-bits.simps intval-word.simps
local.ParameterExpr\ valid-value.elims(2))
next
 case (LeafExpr x1 x2)
 then show ?case
  by (smt\ (z3)\ EvalTreeE(6)\ Value.simps(11)\ valid-value.elims(1)\ valid-value.simps(1))
 case (ConstantExpr x)
 then show ?case
   by (metis\ EvalTreeE(1)\ constantAsStamp.simps(1)\ valid-value.simps(1))
 case (Constant Var x)
 then show ?case
   by fastforce
 case (VariableExpr x1 x2)
 then show ?case
   by fastforce
qed
lemma unary-normal-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes op \in normal\text{-}unary
 shows \exists ix. x = IntVal b ix
 apply (cases op)
      prefer 7 using assms apply blast
     prefer 6 using assms apply blast
     prefer 5 using assms apply blast
 using Value.distinct(1) Value.sel(1) assms(1) new-int.simps unary-eval.simps
    intval-abs.elims\ intval-negate.elims\ intval-not.elims\ intval-logic-negation.elims
    {\bf apply} \ \mathit{metis} +
 done
lemma unary-not-normal-bitsize:
 assumes unary-eval op x = IntVal b ival
 assumes op \notin normal\text{-}unary
 shows b = ir-resultBits op \land 0 < b \land b \le 64
 apply (cases op)
 using assms apply blast+
 apply (metis IRUnaryOp.sel(4) \ Value.distinct(1) \ Value.sel(1) \ assms(1) \ intval-narrow.elims
intval-narrow-ok new-int.simps unary-eval.simps(5)
   apply (smt (verit) IRUnaryOp.sel(5) Value.distinct(1) Value.sel(1) assms(1)
intval-sign-extend. elims new-int. simps order-less-le-trans unary-eval. simps(6))
 apply (metis IRUnaryOp.sel(6) Value.distinct(1) assms(1) intval-bits.simps int-
val-zero-extend.elims linorder-not-less neq0-conv new-int.simps unary-eval.simps (7)
 done
```

```
lemma unary-eval-bitsize:
 assumes unary-eval op x = IntVal\ b\ ival
 assumes 2: x = IntVal \ bx \ ix
 assumes 0 < bx \land bx < 64
 shows 0 < b \land b \le 64
proof (cases \ op \in normal-unary)
  case True
  then obtain tmp where unary-eval of x = new-int bx tmp
   by (cases op; simp; auto simp: 2)
 then show ?thesis
   using assms by simp
\mathbf{next}
 {f case} False
 then obtain tmp where unary-eval op x = new-int b \ tmp \land 0 < b \land b \leq 64
   apply (cases op; simp; auto simp: 2)
   apply (metis 2 Value.inject(1) Value.simps(5) assms(1) intval-narrow.simps(1)
intval-narrow-ok new-int.simps unary-eval.simps(5))
  apply (metis 2 Value.distinct(1) Value.inject(1) assms(1) bot-nat-0.not-eq-extremum
diff-is-0-eq intval-sign-extend.elims new-int.simps unary-eval.simps(6) zero-less-diff)
     \mathbf{by} \ (smt \ (verit, \ del\text{-}insts) \ \textit{2} \ \textit{Value.simps}(5) \ assms(1) \ intval\text{-}bits.simps \ int-
val-zero-extend.simps(1) new-int.simps order-less-le-trans unary-eval.simps(7))
 then show ?thesis
   by blast
qed
{f lemma}\ bin-eval-inputs-are-ints:
 assumes bin-eval op x y = IntVal b ix
 obtains xb\ yb\ xi\ yi where x = IntVal\ xb\ xi \land y = IntVal\ yb\ yi
proof -
 have bin-eval op x y \neq UndefVal
   by (simp add: assms)
 then show ?thesis
   using assms apply (cases op; cases x; cases y; simp)
   using that by blast+
qed
lemma eval-bits-1-64:
 [m,p] \vdash xe \mapsto (IntVal\ b\ ix) \Longrightarrow 0 < b \land b \le 64
proof (induction xe arbitrary: b ix)
 case (UnaryExpr op x2)
 then obtain xv where
      xv: ([m,p] \vdash x2 \mapsto xv) \land
           \mathit{IntVal}\ b\ \mathit{ix} = \mathit{unary\text{-}eval}\ \mathit{op}\ \mathit{xv}
   using unfold-binary by auto
```

```
then have b = (if \ op \in normal-unary \ then \ intval-bits \ xv \ else \ ir-resultBits \ op)
   using unary-eval-new-int
   by (metis\ Value.disc(1)\ Value.discI(1)\ Value.sel(1)\ new-int.simps)
  then show ?case
   by (metis xv UnaryExpr.IH unary-normal-bitsize unary-not-normal-bitsize)
next
  case (BinaryExpr\ op\ x\ y)
  then obtain xv yv where
      xy: ([m,p] \vdash x \mapsto xv) \land
          ([m,p] \vdash y \mapsto yv) \land
          IntVal\ b\ ix = bin-eval\ op\ xv\ yv
   using unfold-binary by auto
 then have def: bin-eval op xv yv \neq UndefVal and xv: xv \neq UndefVal and yv \neq
UndefVal
   using evaltree-not-undef xy by (force, blast, blast)
  then have b = (if \ op \in binary\text{-}fixed\text{-}32\text{-}ops \ then \ 32 \ else \ intval\text{-}bits \ xv)
   by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
 then show ?case
  by (metis BinaryExpr.IH(1) Value.distinct(7) Value.distinct(9) xv bin-eval-inputs-are-ints
intval-bits.elims le-add-same-cancel less-or-eq-imp-le numeral-Bit0 xy zero-less-numeral)
  case (ConditionalExpr xe1 xe2 xe3)
 then show ?case
   by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr x1 x2)
  then show ?case
  using ParameterExprE intval-bits.simps valid-stamp.simps(1) valid-value.elims(2)
valid-value.simps(17)
   by (metis (no-types, lifting))
next
 case (LeafExpr x1 x2)
 then show ?case
  by (smt (z3) EvalTreeE(6) Value.distinct(7) Value.inject(1) valid-stamp.simps(1)
valid-value. elims(1))
next
 case (ConstantExpr x)
 then show ?case
  by (metis\ Eval\ Tree\ E(1)\ constant\ As\ Stamp.simps(1)\ valid-stamp.simps(1)\ valid-value.simps(1))
\mathbf{next}
  case (Constant Var x)
 then show ?case
   by blast
\mathbf{next}
 case (VariableExpr x1 x2)
  then show ?case
   by blast
\mathbf{qed}
```

```
lemma unfold-binary-width:
 assumes op \notin binary\text{-}fixed\text{-}32\text{-}ops \land op \notin binary\text{-}shift\text{-}ops
 shows ([m,p] \vdash BinaryExpr \ op \ xe \ ye \mapsto IntVal \ b \ val) = (\exists \ x \ y.
        (([m,p] \vdash xe \mapsto IntVal\ b\ x) \land
         ([m,p] \vdash ye \mapsto IntVal\ b\ y) \land
         (IntVal\ b\ val = bin-eval\ op\ (IntVal\ b\ x)\ (IntVal\ b\ y))\ \land
         (IntVal\ b\ val \neq UndefVal)
       )) (is ?L = ?R)
proof (intro iffI)
 assume 3: ?L
 show ?R apply (rule evaltree.cases[OF 3])
       apply force+ apply auto[1]
   using assms apply (cases op; auto)
        apply (smt (verit) intval-add.elims Value.inject(1))
   using intval-mul.elims Value.inject(1)
       apply (smt (verit) new-int.simps new-int-bin.simps)
   using intval-sub.elims Value.inject(1)
       apply (smt (verit) new-int.simps new-int-bin.simps)
   using intval-and.elims Value.inject(1)
      apply (smt (verit) new-int.simps new-int-bin.simps take-bit-and)
   using intval-or.elims Value.inject(1)
     apply (smt (verit) new-int.simps new-int-bin.simps take-bit-or)
   using intval-xor.elims Value.inject(1)
    apply (smt (verit) new-int.simps new-int-bin.simps take-bit-xor)
 by blast
next
  assume R: ?R
 then obtain x \ y where [m,p] \vdash xe \mapsto IntVal \ b \ x
       and [m,p] \vdash ye \mapsto IntVal\ b\ y
       and new-int b val = bin-eval op (IntVal b x) (IntVal b y)
       and new-int b val \neq UndefVal
   using bin-eval-unused-bits-zero by force
 then show ?L
   using R by blast
qed
end
6
     Tree to Graph
theory Tree To Graph
 imports
   Semantics.IRTreeEval
```

Graph.IRGraph

begin

6.1 Subgraph to Data-flow Tree

```
fun find-node-and-stamp :: IRGraph <math>\Rightarrow (IRNode \times Stamp) \Rightarrow ID option where
 find-node-and-stamp g(n,s) =
    find (\lambda i. kind g \ i = n \land stamp \ g \ i = s) (sorted-list-of-set(ids g))
export-code find-node-and-stamp
fun is-preevaluated :: IRNode \Rightarrow bool where
  is-preevaluated (InvokeNode\ n - - - - ) = True
  is-preevaluated (InvokeWithExceptionNode n - - - - -) = True
  is-preevaluated (NewInstanceNode n - - -) = True
  is-preevaluated (LoadFieldNode n - - -) = True |
  is-preevaluated (SignedRemNode\ n - - - -) = True\ |
  is-preevaluated (ValuePhiNode n - -) = True
  is-preevaluated - = False
inductive
  rep :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool (- \vdash - \simeq - 55)
  for g where
  ConstantNode:
  \llbracket kind\ g\ n = ConstantNode\ c \rrbracket
   \implies g \vdash n \simeq (ConstantExpr c) \mid
  ParameterNode:
  [kind\ g\ n = ParameterNode\ i;
   stamp \ q \ n = s
   \implies g \vdash n \simeq (ParameterExpr \ i \ s) \mid
  Conditional Node:\\
  \llbracket kind\ g\ n = ConditionalNode\ c\ t\ f;
   g \vdash c \simeq ce;
   g \vdash t \simeq te;
   g \vdash f \simeq fe
    \implies g \vdash n \simeq (ConditionalExpr \ ce \ te \ fe) \mid
  AbsNode:
  \llbracket kind \ q \ n = AbsNode \ x;
   g \vdash x \simeq xe
   \implies g \vdash n \simeq (UnaryExpr\ UnaryAbs\ xe) \mid
  NotNode:
  [kind\ g\ n=NotNode\ x;
   g \vdash x \simeq xe
   \implies g \vdash n \simeq (UnaryExpr\ UnaryNot\ xe) \mid
```

```
NegateNode:
[kind\ g\ n = NegateNode\ x;]
 g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryNeg\ xe) \mid
LogicNegationNode:
[kind\ g\ n = LogicNegationNode\ x;]
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ UnaryLogicNegation\ xe) \mid
AddNode:
\llbracket kind\ g\ n = AddNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinAdd\ xe\ ye) \mid
MulNode:
[kind\ g\ n = MulNode\ x\ y;
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinMul\ xe\ ye) \mid
SubNode:
[kind\ g\ n = SubNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinSub\ xe\ ye) \mid
AndNode:
[kind\ g\ n = AndNode\ x\ y;
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinAnd\ xe\ ye) \mid
OrNode:
\llbracket kind\ g\ n = OrNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinOr\ xe\ ye) \mid
XorNode:
\llbracket kind\ g\ n = XorNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinXor\ xe\ ye) \mid
```

ShortCircuitOrNode:

```
\llbracket kind\ g\ n = ShortCircuitOrNode\ x\ y; \rrbracket
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinShortCircuitOr\ xe\ ye) \mid
LeftShiftNode:\\
\llbracket kind\ g\ n = LeftShiftNode\ x\ y;
  g \vdash x \simeq xe;
 g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinLeftShift\ xe\ ye) \mid
RightShiftNode:
[kind\ g\ n = RightShiftNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
 \implies g \vdash n \simeq (BinaryExpr\ BinRightShift\ xe\ ye) \mid
Unsigned Right Shift Node: \\
[kind\ g\ n = UnsignedRightShiftNode\ x\ y;]
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
\implies g \vdash n \simeq (BinaryExpr\ BinURightShift\ xe\ ye) \mid
IntegerBelowNode:
[kind\ g\ n = IntegerBelowNode\ x\ y;]
 g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinIntegerBelow\ xe\ ye) \mid
Integer Equals Node:
\llbracket kind\ g\ n = IntegerEqualsNode\ x\ y;
  g \vdash x \simeq xe;
  g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinIntegerEquals\ xe\ ye) \mid
IntegerLessThanNode:
\llbracket kind\ g\ n = IntegerLessThanNode\ x\ y; \rrbracket
  g \vdash x \simeq xe;
 g \vdash y \simeq ye
  \implies g \vdash n \simeq (BinaryExpr\ BinIntegerLessThan\ xe\ ye)
NarrowNode:
\llbracket kind\ g\ n = NarrowNode\ inputBits\ resultBits\ x;
  g \vdash x \simeq xe
  \implies g \vdash n \simeq (UnaryExpr\ (UnaryNarrow\ inputBits\ resultBits)\ xe)
SignExtendNode:
\llbracket kind\ g\ n = SignExtendNode\ inputBits\ resultBits\ x;
```

```
g \vdash x \simeq xe
    \implies g \vdash n \simeq (UnaryExpr\ (UnarySignExtend\ inputBits\ resultBits)\ xe) \mid
  ZeroExtendNode:
  \llbracket kind\ g\ n = ZeroExtendNode\ inputBits\ resultBits\ x;
    g \vdash x \simeq xe
    \implies g \vdash n \simeq (\textit{UnaryExpr}(\textit{UnaryZeroExtend inputBits resultBits}) xe) \mid
  LeafNode:
  [is-preevaluated (kind g n);
    stamp \ g \ n = s
    \implies g \vdash n \simeq (\textit{LeafExpr} \ n \ s) \mid
  RefNode:
  [kind\ g\ n=RefNode\ n';
    g \vdash n' \simeq e
    \implies g \vdash n \simeq e
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprE) rep.
inductive
  replist :: IRGraph \Rightarrow ID \ list \Rightarrow IRExpr \ list \Rightarrow bool \ (-\vdash -\simeq_L - 55)
  for g where
  RepNil:
  g \vdash [] \simeq_L [] \mid
  RepCons:
  [g \vdash x \simeq xe;
    g \vdash xs \simeq_L xse
    \implies g \vdash x \# xs \simeq_L xe \# xse
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool \ as \ exprListE) replist.
definition wf-term-graph :: MapState \Rightarrow Params \Rightarrow IRGraph \Rightarrow ID \Rightarrow bool where
  wf-term-graph m p g n = (\exists e. (g \vdash n \simeq e) \land (\exists v. ([m, p] \vdash e \mapsto v)))
values \{t. \ eg2\text{-}sq \vdash 4 \simeq t\}
6.2
        Data-flow Tree to Subgraph
fun unary-node :: IRUnaryOp \Rightarrow ID \Rightarrow IRNode where
  unary-node\ UnaryAbs\ v=AbsNode\ v
  unary-node UnaryNot \ v = NotNode \ v \mid
  unary-node UnaryNeg\ v=NegateNode\ v\mid
```

```
unary-node (UnaryNarrow\ ib\ rb) v=NarrowNode\ ib\ rb\ v
  unary-node (UnarySignExtend ib rb) v = SignExtendNode ib rb v |
  unary-node (UnaryZeroExtend\ ib\ rb) v=ZeroExtendNode\ ib\ rb\ v
fun bin-node :: IRBinaryOp \Rightarrow ID \Rightarrow ID \Rightarrow IRNode where
  bin-node BinAdd\ x\ y = AddNode\ x\ y
  bin-node BinMul\ x\ y = MulNode\ x\ y
  bin-node BinSub \ x \ y = SubNode \ x \ y \mid
  bin-node BinAnd \ x \ y = AndNode \ x \ y \mid
  bin-node BinOr \ x \ y = OrNode \ x \ y \mid
  bin-node BinXor \ x \ y = XorNode \ x \ y \mid
  bin-node\ BinShortCircuitOr\ x\ y = ShortCircuitOrNode\ x\ y\ |
  bin-node BinLeftShift x y = LeftShiftNode x y
  bin-node\ BinRightShift\ x\ y=RightShiftNode\ x\ y
  bin-node BinURightShift \ x \ y = UnsignedRightShiftNode \ x \ y \ |
  bin-node BinIntegerEquals \ x \ y = IntegerEqualsNode \ x \ y
  bin-node\ BinIntegerLessThan\ x\ y = IntegerLessThanNode\ x\ y
  bin-node BinIntegerBelow \ x \ y = IntegerBelowNode \ x \ y
inductive fresh-id :: IRGraph \Rightarrow ID \Rightarrow bool where
  n \notin ids \ g \Longrightarrow fresh-id \ g \ n
code-pred fresh-id.
fun get-fresh-id :: IRGraph \Rightarrow ID where
 get-fresh-id g = last(sorted-list-of-set(ids g)) + 1
export-code get-fresh-id
value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)
inductive
  unrep :: IRGraph \Rightarrow IRExpr \Rightarrow (IRGraph \times ID) \Rightarrow bool (- \oplus - \leadsto - 55)
  where
  ConstantNodeSame:
  \llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ n 
Vert
   \implies g \oplus (ConstantExpr\ c) \rightsquigarrow (g,\ n)
  ConstantNodeNew:
```

 $unary-node\ UnaryLogicNegation\ v=LogicNegationNode\ v\mid$

```
\llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = None;
 n = get\text{-}fresh\text{-}id g;
 g' = add-node n (ConstantNode c, constantAsStamp c) g
 \implies g \oplus (ConstantExpr\ c) \rightsquigarrow (g',\ n)
ParameterNodeSame:
\llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = Some\ n 
rbracket
  \implies g \oplus (ParameterExpr \ i \ s) \leadsto (g, \ n) \mid
ParameterNodeNew:
\llbracket find\text{-}node\text{-}and\text{-}stamp\ g\ (ParameterNode\ i,\ s) = None;
 n = get-fresh-id g;
 g' = add-node n (ParameterNode i, s) g
 \implies g \oplus (ParameterExpr \ i \ s) \rightsquigarrow (g', n) \mid
Conditional Node Same: \\
\llbracket g \oplus ce \leadsto (g2, c);
 g2 \oplus te \leadsto (g3, t);
 g3 \oplus fe \rightsquigarrow (g4, f);
 s' = meet (stamp \ g \not\downarrow t) (stamp \ g \not\downarrow f);
 find-node-and-stamp g4 (ConditionalNode c t f, s) = Some n
 \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g4, \ n) \mid
Conditional Node New:\\
\llbracket g \oplus ce \leadsto (g2, c); \rrbracket
 g2 \oplus te \rightsquigarrow (g3, t);
 g3 \oplus fe \leadsto (g4, f);
 s' = meet (stamp \ g4 \ t) (stamp \ g4 \ f);
 find-node-and-stamp g4 (ConditionalNode c t f, s') = None;
 n = get\text{-}fresh\text{-}id g4;
 g' = add-node n (ConditionalNode c t f, s') g4
  \implies g \oplus (ConditionalExpr \ ce \ te \ fe) \leadsto (g', n)
UnaryNodeSame:
\llbracket g \oplus xe \leadsto (g2, x);
 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
 find-node-and-stamp g2 (unary-node op x, s') = Some \ n
 \implies g \oplus (UnaryExpr \ op \ xe) \rightsquigarrow (g2, n)
UnaryNodeNew:
\llbracket g \oplus xe \leadsto (g2, x);
 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x);
 find-node-and-stamp g2 (unary-node op x, s') = None;
 n = get\text{-}fresh\text{-}id g2;
 g' = add-node n (unary-node op x, s') g2
  \implies g \oplus (UnaryExpr \ op \ xe) \leadsto (g', \ n) \mid
BinaryNodeSame:
\llbracket g \oplus xe \leadsto (g2, x);
```

```
g2 \oplus ye \leadsto (g3, y);
    s' = stamp-binary \ op \ (stamp \ g3 \ x) \ (stamp \ g3 \ y);
    find-node-and-stamp g3 (bin-node op x y, s') = Some n
    \implies g \oplus (BinaryExpr \ op \ xe \ ye) \leadsto (g3, n)
  BinaryNodeNew:
  \llbracket g \oplus xe \leadsto (g2, x);
    g2 \oplus ye \leadsto (g3, y);
    s' = stamp-binary op (stamp g3 x) (stamp g3 y);
    find-node-and-stamp g3 (bin-node op x y, s') = None;
    n = get-fresh-id g3;
    g' = add-node n (bin-node op x y, s') g3
    \implies g \oplus (\textit{BinaryExpr op xe ye}) \leadsto (g', \, n) \mid
  All Leaf Nodes:
  [stamp\ g\ n=s;
    is-preevaluated (kind \ g \ n)
    \implies g \oplus (\textit{LeafExpr} \ n \ s) \leadsto (g, \ n)
\mathbf{code\text{-}pred}\ (\mathit{modes}:\ i \Rightarrow i \Rightarrow o \Rightarrow \mathit{bool}\ \mathit{as}\ \mathit{unrepE})
  unrep.
```

```
unrepRules
 find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n
                          g \oplus ConstantExpr \ c \leadsto (g, n)
   find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None
                                  n = get-fresh-id g
           g' = add-node n (ConstantNode c, constantAsStamp c) g
                          g \oplus ConstantExpr \ c \leadsto (g', n)
           find-node-and-stamp g (ParameterNode i, s) = Some n
                        g \oplus ParameterExpr \ i \ s \leadsto (g, n)
            find-node-and-stamp g (ParameterNode i, s) = None
       n = get-fresh-id g g' = add-node n (ParameterNode i, s) g
                        g \oplus ParameterExpr \ i \ s \leadsto (g', n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
       find-node-and-stamp g4 (ConditionalNode c t f, s) = Some n
                    g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g4, n)
                    g \oplus ce \leadsto (g2, c) g2 \oplus te \leadsto (g3, t)
         g3 \oplus fe \rightsquigarrow (g4, f) s' = meet (stamp g4 t) (stamp g4 f)
         find-node-and-stamp g4 (ConditionalNode c t f, s') = None
  n = get\text{-}fresh\text{-}id\ g4 g' = add\text{-}node\ n\ (ConditionalNode\ c\ t\ f,\ s')\ g4
                    g \oplus ConditionalExpr \ ce \ te \ fe \leadsto (g', n)
                           g \oplus xe \leadsto (g2, x)
s' = stamp\text{-}binary\ op\ (stamp\ g3\ x)\ (stamp\ g3\ y)
 g2 \oplus ye \leadsto (g3, y)
           find-node-and-stamp g3 (bin-node op x y, s') = Some n
                      g \oplus BinaryExpr \ op \ xe \ ye \leadsto (g3, \ n)
                                 g \oplus xe \leadsto (g2, x)
                              s' = stamp-binary op (stamp g3 x) (stamp g3 y)
 g2 \oplus ye \rightsquigarrow (g3, y)
            find-node-and-stamp g3 (bin-node op x y, s') = None
                                  g' = add-node n (bin-node op x y, s') g3
      n = get-fresh-id g3
                       q \oplus BinaryExpr \ op \ xe \ ye \leadsto (q', n)
          g \oplus xe \rightsquigarrow (g2, x) s' = stamp\text{-}unary\ op\ (stamp\ g2\ x)
          find-node-and-stamp g2 (unary-node op x, s') = Some n
                        g \oplus UnaryExpr \ op \ xe \leadsto (g2, \ n)
                                 s' = stamp\text{-}unary \ op \ (stamp \ g2 \ x)
          g \oplus xe \leadsto (g2, x)
            find-node-and-stamp g2 (unary-node op x, s') = None
     n = get-fresh-id g2
                                g' = add-node n (unary-node op x, s') g2
                         g \oplus UnaryExpr \ op \ xe \leadsto (g', n)
                 stamp \ g \ n = s is-preevaluated \ (kind \ g \ n)
                            q \oplus LeafExpr \ n \ s \leadsto (q, n)
```

```
values \{(n, g) : (eg2\text{-}sq \oplus sq\text{-}param0 \leadsto (g, n))\}
```

6.3 Lift Data-flow Tree Semantics

```
definition encodeeval :: IRGraph \Rightarrow MapState \Rightarrow Params \Rightarrow ID \Rightarrow Value \Rightarrow bool ([-,-,-] \vdash - \mapsto - 50) where encodeeval\ g\ m\ p\ n\ v = (\exists\ e.\ (g \vdash n \simeq e) \land ([m,p] \vdash e \mapsto v))
```

6.4 Graph Refinement

```
definition graph-represents-expression :: IRGraph \Rightarrow ID \Rightarrow IRExpr \Rightarrow bool (- \vdash - \trianglelefteq - 50) where (g \vdash n \trianglelefteq e) = (\exists e' . (g \vdash n \simeq e') \land (e' \leq e))
```

definition graph-refinement ::
$$IRGraph \Rightarrow IRGraph \Rightarrow bool$$
 where graph-refinement g_1 $g_2 = ((ids \ g_1 \subseteq ids \ g_2) \land (\forall \ n \ . \ n \in ids \ g_1 \longrightarrow (\forall \ e. \ (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \trianglelefteq e))))$

lemma graph-refinement:

```
graph-refinement g1 g2 \Longrightarrow (\forall n \ m \ p \ v. \ n \in ids \ g1 \longrightarrow ([g1, \ m, \ p] \vdash n \mapsto v) \longrightarrow ([g2, \ m, \ p] \vdash n \mapsto v))
```

by (meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def)

6.5 Maximal Sharing

```
definition maximal-sharing:
```

```
maximal-sharing g = (\forall n_1 \ n_2 \ . \ n_1 \in true\text{-}ids \ g \land n_2 \in true\text{-}ids \ g \longrightarrow (\forall e. \ (g \vdash n_1 \simeq e) \land (g \vdash n_2 \simeq e) \land (stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2))
```

end

6.6 Formedness Properties

```
theory Form
imports
    Semantics.TreeToGraph
begin
```

```
definition wf-start where
wf-start g = (0 \in ids \ g \land is\text{-}StartNode\ (kind\ g\ 0))
```

definition
$$wf$$
-closed where wf -closed $g = (\forall n \in ids \ g)$.

```
inputs g n \subseteq ids g \land
       succ\ g\ n\subseteq ids\ g\ \land
       kind \ g \ n \neq NoNode
definition wf-phis where
  wf-phis g =
    (\forall n \in ids g.
       is-PhiNode (kind g n) \longrightarrow
       length (ir-values (kind g n))
        = length (ir-ends)
             (kind\ g\ (ir\text{-}merge\ (kind\ g\ n)))))
definition wf-ends where
  wf-ends g =
    (\forall n \in ids g.
       is-AbstractEndNode (kind q n) \longrightarrow
       card (usages g n) > 0)
fun wf-graph :: IRGraph \Rightarrow bool where
  wf-graph g = (wf-start g \wedge wf-closed g \wedge wf-phis g \wedge wf-ends g)
lemmas wf-folds =
  wf-graph.simps
  wf-start-def
  wf-closed-def
  wf-phis-def
  wf-ends-def
fun wf-stamps :: IRGraph \Rightarrow bool where
  \textit{wf-stamps}\ g = (\forall\ n \in \textit{ids}\ g\ .
    (\forall \ v \ m \ p \ e \ . \ (g \vdash n \simeq e) \land ([m, \, p] \vdash e \mapsto v) \longrightarrow valid\text{-}value \ v \ (stamp\text{-}expr \ e)))
fun \textit{wf-stamp} :: IRGraph \Rightarrow (ID \Rightarrow Stamp) \Rightarrow \textit{bool} \ \textbf{where}
  wf-stamp g s = (\forall n \in ids g).
    (\forall \ v \ m \ p \ e \ . \ (g \vdash n \simeq e) \ \land \ ([m, \ p] \vdash e \mapsto v) \longrightarrow \textit{valid-value} \ v \ (s \ n)))
lemma wf-empty: wf-graph start-end-graph
  unfolding start-end-graph-def wf-folds by simp
lemma wf-eg2-sq: wf-graph eg2-sq
  unfolding eg2-sq-def wf-folds by simp
fun wf-logic-node-inputs :: IRGraph \Rightarrow ID \Rightarrow bool where
wf-logic-node-inputs g n =
 (\forall \ \textit{inp} \in \textit{set} \ (\textit{inputs-of} \ (\textit{kind} \ \textit{g} \ \textit{n})) \ . \ (\forall \ \textit{v} \ \textit{m} \ \textit{p} \ . \ ([\textit{g}, \ \textit{m}, \ \textit{p}] \vdash \textit{inp} \mapsto \textit{v}) \longrightarrow \textit{wf-bool}
v))
fun wf-values :: IRGraph \Rightarrow bool where
  wf-values g = (\forall n \in ids \ g).
```

```
 \begin{array}{c} (\forall \ v \ m \ p \ . \ ([g, \ m, \ p] \vdash n \mapsto v) \longrightarrow \\ (is\text{-}LogicNode \ (kind \ g \ n) \longrightarrow \\ wf\text{-}bool \ v \land wf\text{-}logic\text{-}node\text{-}inputs \ g \ n))) \end{array}
```

end

6.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

```
theory IRGraphFrames
 imports
    Form
begin
fun unchanged :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
  unchanged \ ns \ g1 \ g2 = (\forall \ n \ . \ n \in ns \longrightarrow
   (n \in ids \ g1 \land n \in ids \ g2 \land kind \ g1 \ n = kind \ g2 \ n \land stamp \ g1 \ n = stamp \ g2 \ n))
fun changeonly :: ID set \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool where
  changeonly ns g1 g2 = (\forall n . n \in ids g1 \land n \notin ns \longrightarrow
    (n \in ids \ g1 \land n \in ids \ g2 \land kind \ g1 \ n = kind \ g2 \ n \land stamp \ g1 \ n = stamp \ g2 \ n))
lemma node-unchanged:
  assumes unchanged ns g1 g2
 assumes nid \in ns
 shows kind \ g1 \ nid = kind \ g2 \ nid
 using assms by auto
lemma other-node-unchanged:
  assumes changeonly ns g1 g2
  assumes nid \in ids \ g1
  assumes nid \notin ns
  shows kind \ g1 \ nid = kind \ g2 \ nid
  using assms
  using changeonly.simps by blast
Some notation for input nodes used
inductive eval-uses:: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow bool
  for g where
  use\theta: nid \in ids g
    \implies eval\text{-}uses\ g\ nid\ nid\ |
```

```
use-inp: nid' \in inputs \ g \ n
   \implies eval\text{-}uses\ g\ nid\ nid'
 use-trans: [eval-uses g nid nid';
   eval-uses q nid' nid''
   \implies eval-uses g nid nid"
fun eval-usages :: IRGraph \Rightarrow ID \Rightarrow ID set where
 eval-usages g nid = \{n \in ids \ g : eval-uses g nid n\}
lemma eval-usages-self:
 assumes nid \in ids g
 shows nid \in eval\text{-}usages g nid
 using assms eval-usages.simps eval-uses.intros(1)
 by (simp add: ids.rep-eq)
lemma not-in-g-inputs:
 assumes nid \notin ids g
 shows inputs g nid = \{\}
proof -
 have k: kind g \ nid = NoNode using assms not-in-g by blast
 then show ?thesis by (simp add: k)
qed
lemma child-member:
 assumes n = kind \ g \ nid
 assumes n \neq NoNode
 assumes List.member (inputs-of n) child
 shows child \in inputs g \ nid
 unfolding inputs.simps using assms
 by (metis in-set-member)
lemma child-member-in:
 assumes nid \in ids g
 assumes List.member (inputs-of (kind g nid)) child
 shows child \in inputs g \ nid
 unfolding inputs.simps using assms
 by (metis child-member ids-some inputs.elims)
lemma inp-in-g:
 assumes n \in inputs \ g \ nid
 shows nid \in ids g
proof -
 have inputs g nid \neq \{\}
   using assms
   by (metis empty-iff empty-set)
```

```
then have kind g nid \neq NoNode
   \mathbf{using}\ not\text{-}in\text{-}g\text{-}inputs
   using ids-some by blast
  then show ?thesis
   using not-in-g
   by metis
qed
lemma inp-in-g-wf:
 assumes wf-graph g
 assumes n \in inputs \ g \ nid
 shows n \in ids g
 using assms unfolding wf-folds
 using inp-in-g by blast
lemma kind-unchanged:
 assumes nid \in ids \ g1
 assumes unchanged (eval-usages g1 nid) g1 g2
 \mathbf{shows} \ kind \ g1 \ nid = kind \ g2 \ nid
proof -
 show ?thesis
   using assms eval-usages-self
   using unchanged.simps by blast
qed
lemma stamp-unchanged:
 assumes nid \in ids \ g1
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows stamp \ g1 \ nid = stamp \ g2 \ nid
 by (meson \ assms(1) \ assms(2) \ eval-usages-self \ unchanged.elims(2))
lemma child-unchanged:
 assumes child \in inputs \ g1 \ nid
 assumes unchanged (eval-usages q1 nid) q1 q2
 shows unchanged (eval-usages g1 child) g1 g2
 by (smt assms(1) assms(2) eval-usages.simps mem-Collect-eq
     unchanged.simps use-inp use-trans)
lemma eval-usages:
 assumes us = eval\text{-}usages g \ nid
 assumes nid' \in ids \ g
 shows eval-uses g nid nid' \longleftrightarrow nid' \in us (is ?P \longleftrightarrow ?Q)
 \mathbf{using}\ assms\ eval	ext{-}usages.simps
 by (simp add: ids.rep-eq)
lemma inputs-are-uses:
 assumes nid' \in inputs \ g \ nid
```

```
shows eval-uses g nid nid'
 by (metis assms use-inp)
lemma inputs-are-usages:
 assumes nid' \in inputs \ g \ nid
 assumes nid' \in ids g
 shows nid' \in eval\text{-}usages g nid
 using assms(1) assms(2) eval-usages inputs-are-uses by blast
lemma inputs-of-are-usages:
 assumes List.member (inputs-of (kind g nid)) nid'
 assumes nid' \in ids \ g
 shows nid' \in eval\text{-}usages g nid
 by (metis assms(1) assms(2) in-set-member inputs.elims inputs-are-usages)
lemma usage-includes-inputs:
 assumes us = eval\text{-}usages \ q \ nid
 assumes ls = inputs g \ nid
 assumes ls \subseteq ids \ g
 shows ls \subseteq us
 using inputs-are-usages eval-usages
 using assms(1) assms(2) assms(3) by blast
lemma elim-inp-set:
 assumes k = kind \ g \ nid
 assumes k \neq NoNode
 assumes child \in set (inputs-of k)
 shows child \in inputs g \ nid
 using assms by auto
\mathbf{lemma}\ encode\text{-}in\text{-}ids:
 assumes g \vdash nid \simeq e
 shows nid \in ids g
 using assms
 apply (induction rule: rep.induct)
 apply simp+
 by fastforce+
{f lemma} eval-in-ids:
 assumes [g, m, p] \vdash nid \mapsto v
 shows nid \in ids \ g
 using assms using encodeeval-def encode-in-ids
 by auto
\mathbf{lemma}\ transitive\text{-}kind\text{-}same:
 assumes unchanged (eval-usages g1 nid) g1 g2
 shows \forall nid' \in (eval\text{-}usages\ g1\ nid). kind\ g1\ nid' = kind\ g2\ nid'
 using assms
 by (meson\ unchanged.elims(1))
```

```
theorem stay-same-encoding:
     assumes nc: unchanged (eval-usages g1 nid) g1 g2
     assumes g1: g1 \vdash nid \simeq e
    assumes wf: wf-graph g1
     shows g2 \vdash nid \simeq e
proof -
     have dom: nid \in ids \ g1
          using g1 encode-in-ids by simp
     show ?thesis
using g1 nc wf dom proof (induction e rule: rep.induct)
     case (ConstantNode \ n \ c)
     then have kind g2 n = ConstantNode c
          using dom nc kind-unchanged
          by metis
     then show ?case using rep. ConstantNode
          by presburger
next
     case (ParameterNode \ n \ i \ s)
     then have kind g2 \ n = ParameterNode \ i
          by (metis kind-unchanged)
     then show ?case
      \textbf{by} \ (metis\ Parameter Node. hyps (2)\ Parameter Node. prems (1)\ Parameter Node. prems (3)
rep.ParameterNode stamp-unchanged)
\mathbf{next}
     case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
     then have kind g2 n = ConditionalNode c t f
          by (metis kind-unchanged)
     have c \in eval\text{-}usages\ g1\ n\ \land\ t \in eval\text{-}usages\ g1\ n\ \land\ f \in eval\text{-}usages\ g1\ n
          using inputs-of-ConditionalNode
              by (metis\ ConditionalNode.hyps(1)\ ConditionalNode.hyps(2)\ ConditionalNode.hyps(2)
ode.hyps(3) ConditionalNode.hyps(4) encode-in-ids inputs.simps inputs-are-usages
list.set-intros(1) set-subset-Cons subset-code(1))
     then show ?case using transitive-kind-same
      \textbf{by} \ (metis\ Conditional Node. py s(1)\ Conditional Node. prems (1)\ IR Nodes. inputs-of-Conditional Node (1)\ Conditional Node (2)\ Conditional Node
\langle kind \ q2 \ n = Conditional Node \ c \ t \ f \rangle \ child-unchanged \ inputs.simps \ list.set-intros(1)
local. \ Conditional Node (5)\ local. \ Conditional Node (6)\ local. \ Conditional Node (7)\ local. \ Conditional Node (9)\ local. \ Conditional Node (10)\ local.
rep.ConditionalNode\ set-subset-Cons\ subset-code(1)\ unchanged.elims(2))
next
     case (AbsNode \ n \ x \ xe)
     then have kind g2 n = AbsNode x
          using kind-unchanged
          by metis
     then have x \in eval\text{-}usages g1 n
          \mathbf{using}\ inputs-of-AbsNode
              by (metis\ AbsNode.hyps(1)\ AbsNode.hyps(2)\ encode-in-ids\ inputs.simps\ in-
puts-are-usages list.set-intros(1))
     then show ?case
           by (metis AbsNode.IH AbsNode.hyps(1) AbsNode.prems(1) AbsNode.prems(3)
```

```
IRNodes.inputs-of-AbsNode \langle kind \ g2 \ n = AbsNode \ x \rangle child-member-in child-unchanged
local.wf member-rec(1) rep.AbsNode unchanged.simps)
next
   case (NotNode \ n \ x \ xe)
   then have kind q2 \ n = NotNode \ x
      using kind-unchanged
      by metis
   then have x \in eval\text{-}usages \ g1 \ n
      using inputs-of-NotNode
        by (metis\ NotNode.hyps(1)\ NotNode.hyps(2)\ encode-in-ids\ inputs.simps\ in-
puts-are-usages list.set-intros(1))
   then show ?case
       by (metis NotNode.IH NotNode.hyps(1) NotNode.prems(1) NotNode.prems(3)
IRNodes.inputs-of-NotNode \land kind \ g2 \ n = NotNode \ x \gt \ child-member-in \ child-unchanged
local.wf member-rec(1) rep.NotNode unchanged.simps)
next
   case (NegateNode \ n \ x \ xe)
   then have kind g2 n = NegateNode x
      using kind-unchanged by metis
   then have x \in eval\text{-}usages \ g1 \ n
      using inputs-of-NegateNode
       by (metis NegateNode.hyps(1) NegateNode.hyps(2) encode-in-ids inputs.simps
inputs-are-usages\ list.set-intros(1))
   then show ?case
        by (metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1)
NegateNode.prems(1) NegateNode.prems(3) \land kind g2 n = NegateNode x \land child-member-in
child-unchanged local.wf member-rec(1) rep.NegateNode unchanged.elims(1))
next
   case (LogicNegationNode \ n \ x \ xe)
   then have kind g2 \ n = LogicNegationNode \ x
      using kind-unchanged by metis
   then have x \in eval\text{-}usages g1 n
      {\bf using} \ inputs-of\text{-}LogicNegationNode \ inputs-of\text{-}are\text{-}usages
      by (metis\ LogicNegationNode.hyps(1)\ LogicNegationNode.hyps(2)\ encode-in-ids
member-rec(1)
   then show ?case
       {f by}\ (metis\ IRNodes.inputs-of-LogicNegationNode\ LogicNegationNode.IH\ Logic-logicNegationNode\ LogicNegationNode\ Logic
NegationNode.hyps(1)\ LogicNegationNode.hyps(2)\ LogicNegationNode.prems(1)\ \langle kind
g2 n = LogicNegationNode x > child-unchanged encode-in-ids inputs.simps list.set-intros(1)
local.wf rep.LogicNegationNode)
next
   case (AddNode \ n \ x \ y \ xe \ ye)
   then have kind g2 n = AddNode x y
      using kind-unchanged by metis
   then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
      {f using}\ inputs-of-LogicNegationNode\ inputs-of-are-usages
    by (metis\ AddNode.hyps(1)\ AddNode.hyps(2)\ AddNode.hyps(3)\ IRNodes.inputs-of-AddNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
   then show ?case
```

```
by (metis\ AddNode.IH(1)\ AddNode.IH(2)\ AddNode.hyps(1)\ AddNode.hyps(2)
AddNode.hyps(3) \ AddNode.prems(1) \ IRNodes.inputs-of-AddNode \land kind \ g2 \ n = AddNode
xy child-unchanged encode-in-ids in-set-member inputs.simps local.wf member-rec(1)
rep.AddNode
next
     case (MulNode \ n \ x \ y \ xe \ ye)
     then have kind g2 n = MulNode x y
         using kind-unchanged by metis
     then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
         {f using}\ inputs-of\mbox{-}LogicNegationNode\ inputs-of\mbox{-}are\mbox{-}usages
     \textbf{by} \ (metis \ MulNode.hyps(2) \ MulNode.hyps(2) \ MulNode.hyps(3) \ IRNodes.inputs-of-MulNode \ Apple \ A
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using MulNode inputs-of-MulNode
     by (metis \land kind \ g2 \ n = MulNode \ x \ y) \ child-unchanged inputs.simps \ list.set-intros(1)
rep.MulNode\ set-subset-Cons subset-iff unchanged.elims(2))
next
     case (SubNode \ n \ x \ y \ xe \ ye)
    then have kind g2 \ n = SubNode \ x \ y
        using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-LogicNegationNode inputs-of-are-usages
     \textbf{by} \ (metis \ SubNode.hyps(1) \ SubNode.hyps(2) \ SubNode.hyps(3) \ IRNodes.inputs-of-SubNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using SubNode inputs-of-SubNode
      by (metis \land kind \ q2 \ n = SubNode \ x \ y) \ child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.SubNode)
next
     case (AndNode \ n \ x \ y \ xe \ ye)
     then have kind g2 n = AndNode x y
        using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-LogicNegationNode inputs-of-are-usages
     \textbf{by} \; (metis \; And Node. hyps(1) \; And Node. hyps(2) \; And Node. hyps(3) \; IR Nodes. inputs-of-And Node \; hyps(2) \; And Node \; hyps(3) \; IR Nodes. inputs-of-And Node \; hyps(4) \; IR Nodes. inputs-of-And Node \; hyps(4) \; IR Node \; 
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using AndNode inputs-of-AndNode
     by (metis \land kind \ q2 \ n = AndNode \ x \ y) \ child-unchanged inputs.simps \ list.set-intros(1)
rep. And Node\ set-subset-Cons\ subset-iff\ unchanged. elims (2))
next
     case (OrNode \ n \ x \ y \ xe \ ye)
     then have kind g2 \ n = OrNode \ x \ y
         using kind-unchanged by metis
     then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
        using inputs-of-OrNode inputs-of-are-usages
     \textbf{by} \ (metis \ OrNode.hyps(1) \ OrNode.hyps(2) \ OrNode.hyps(3) \ IRNodes.inputs-of-OrNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
     then show ?case using OrNode inputs-of-OrNode
       by (metis \langle kind \ g \ 2 \ n = OrNode \ x \ y \rangle child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.OrNode)
next
```

```
case (XorNode \ n \ x \ y \ xe \ ye)
  then have kind g2 n = XorNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages g1 n \land y \in eval\text{-}usages g1 n
   using inputs-of-XorNode inputs-of-are-usages
  by (metis\ XorNode.hyps(1)\ XorNode.hyps(2)\ XorNode.hyps(3)\ IRNodes.inputs-of-XorNode
encode-in-ids in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using XorNode inputs-of-XorNode
  by (metis \langle kind \ q \ 2 \ n = XorNode \ x \ y \rangle child-member child-unchanged encode-in-ids
ids-some member-rec(1) rep.XorNode)
next
  case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
  then have kind g2 n = ShortCircuitOrNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
   using inputs-of-XorNode inputs-of-are-usages
    by (metis ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(2) ShortCir-
cuitOrNode.hyps(3) IRNodes.inputs-of-ShortCircuitOrNode encode-in-ids in-mono
inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using ShortCircuitOrNode inputs-of-ShortCircuitOrNode
   by (metis \langle kind \ g2 \ n = ShortCircuitOrNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.ShortCircuitOrNode)
case (LeftShiftNode\ n\ x\ y\ xe\ ye)
  then have kind g2 \ n = LeftShiftNode \ x \ y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   \mathbf{using}\ inputs-of\text{-}XorNode\ inputs-of\text{-}are\text{-}usages
    by (metis\ LeftShiftNode.hyps(1)\ LeftShiftNode.hyps(2)\ LeftShiftNode.hyps(3)
IRNodes.inputs-of-LeftShiftNode\ encode-in-ids\ in-mono\ inputs.simps\ inputs-are-usages
list.set-intros(1) set-subset-Cons)
 then show ?case using LeftShiftNode inputs-of-LeftShiftNode
     by (metis \langle kind \ g2 \ n = LeftShiftNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.LeftShiftNode)
next
case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind g2 n = RightShiftNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   using inputs-of-RightShiftNode inputs-of-are-usages
  \textbf{by} \ (\textit{metis RightShiftNode.hyps}(1) \ \textit{RightShiftNode.hyps}(2) \ \textit{RightShiftNode.hyps}(3)
IRNodes.inputs-of-RightShiftNode\ encode-in-ids\ in-mono\ inputs.simps\ inputs-are-usages
list.set-intros(1) set-subset-Cons)
  then show ?case using RightShiftNode inputs-of-RightShiftNode
    by (metis \langle kind \ g2 \ n = RightShiftNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.RightShiftNode)
case (UnsignedRightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind g2 n = UnsignedRightShiftNode x y
```

```
using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   \mathbf{using}\ inputs-of\text{-}\textit{UnsignedRightShiftNode}\ inputs-of\text{-}\textit{are-usages}
   by (metis\ UnsignedRightShiftNode.hyps(1)\ UnsignedRightShiftNode.hyps(2)\ Un-
signedRightShiftNode.hyps(3) IRNodes.inputs-of-UnsignedRightShiftNode encode-in-ids
in-mono\ inputs.simps\ inputs-are-usages\ list.set-intros(1)\ set-subset-Cons)
 then show ?case using UnsignedRightShiftNode inputs-of-UnsignedRightShiftNode
  by (metis \land kind \ g2 \ n = UnsignedRightShiftNode \ x \ y) \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep. UnsignedRightShiftNode)
next
  case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
  then have kind g2 \ n = IntegerBelowNode \ x \ y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages \ g1 \ n \land y \in eval\text{-}usages \ g1 \ n
   using inputs-of-IntegerBelowNode inputs-of-are-usages
   by (metis\ IntegerBelowNode.hyps(1)\ IntegerBelowNode.hyps(2)\ IntegerBelowN-
ode.hyps(3) IRNodes.inputs-of-IntegerBelowNode encode-in-ids in-mono inputs.simps
inputs-are-usages list.set-intros(1) set-subset-Cons)
  {\bf then \ show} \ ? case \ {\bf using} \ Integer Below Node \ inputs-of-Integer Below Node
   by (metis \land kind \ g2 \ n = IntegerBelowNode \ x \ y) \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerBelowNode)
next
  case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
  then have kind g2 \ n = IntegerEqualsNode \ x \ y
   using kind-unchanged by metis
  then have x \in eval-usages g1 \ n \land y \in eval-usages g1 \ n
   using inputs-of-IntegerEqualsNode inputs-of-are-usages
   by (metis\ Integer Equals Node. hyps(1)\ Integer Equals Node. hyps(2)\ Integer Equal-
sNode.hyps (\textit{3}) \ IRNodes.inputs-of-Integer Equals Node\ encode-in-ids\ in-mono\ inputs.simps
inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case using IntegerEqualsNode inputs-of-IntegerEqualsNode
   by (metis \langle kind \ q2 \ n = Integer Equals Node \ x \ y \rangle \ child-member \ child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerEqualsNode)
  case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then have kind q2 n = IntegerLessThanNode x y
   using kind-unchanged by metis
  then have x \in eval\text{-}usages g1 \ n \land y \in eval\text{-}usages g1 \ n
   \mathbf{using}\ inputs-of\text{-}IntegerLessThanNode\ inputs-of\text{-}are\text{-}usages
     by (metis\ IntegerLessThanNode.hyps(1)\ IntegerLessThanNode.hyps(2)\ Inte-
gerLessThanNode.hyps(3)\ IRNodes.inputs-of-IntegerLessThanNode\ encode-in-ids\ in-mono
inputs.simps\ inputs-are-usages\ list.set-intros(1)\ set-subset-Cons)
 then show ?case using IntegerLessThanNode inputs-of-IntegerLessThanNode
  by (metis \langle kind \ g \ 2 \ n = IntegerLessThanNode \ x \ y \rangle child-member child-unchanged
encode-in-ids ids-some member-rec(1) rep.IntegerLessThanNode)
next
  case (NarrowNode \ n \ ib \ rb \ x \ xe)
  then have kind g2 \ n = NarrowNode \ ib \ rb \ x
   using kind-unchanged by metis
```

```
then have x \in eval-usages q1 n
           {\bf using} \ inputs-of\text{-}NarrowNode \ inputs-of\text{-}are\text{-}usages
       \textbf{by} \; (\textit{metis NarrowNode.hyps(1)} \; \textit{NarrowNode.hyps(2)} \; \textit{IRNodes.inputs-of-NarrowNode} \\
 encode-in-ids inputs.simps inputs-are-usages list.set-intros(1))
      then show ?case using NarrowNode inputs-of-NarrowNode
               by (metis \langle kind \ g2 \ n = NarrowNode \ ib \ rb \ x \rangle child-unchanged inputs.elims
list.set-intros(1) rep.NarrowNode unchanged.simps)
      case (SignExtendNode \ n \ ib \ rb \ x \ xe)
      then have kind g2 n = SignExtendNode ib rb x
           using kind-unchanged by metis
      then have x \in eval\text{-}usages g1 n
           \mathbf{using}\ inputs-of\text{-}SignExtendNode\ inputs-of\text{-}are\text{-}usages
             \mathbf{by}\ (\mathit{metis}\ \mathit{SignExtendNode.hyps}(1)\ \mathit{SignExtendNode.hyps}(2)\ \mathit{encode-in-ids}\ \mathit{in-ids}\ \mathit{in-id
puts.simps\ inputs-are-usages\ list.set-intros(1))
      then show ?case using SignExtendNode inputs-of-SignExtendNode
       by (metis \land kind g2 \ n = SignExtendNode \ ib \ rb \ x) \ child-member-in \ child-unchanged
in\text{-}set\text{-}member\ list.set\text{-}intros(1)\ rep.SignExtendNode\ unchanged.elims(2))}
      case (ZeroExtendNode \ n \ ib \ rb \ x \ xe)
      then have kind g2 \ n = ZeroExtendNode \ ib \ rb \ x
            using kind-unchanged by metis
      then have x \in eval\text{-}usages g1 n
           using inputs-of-ZeroExtendNode inputs-of-are-usages
       \textbf{by} \ (metis\ ZeroExtendNode.hyps(1)\ ZeroExtendNode.hyps(2)\ IRNodes.inputs-of-ZeroExtendNode
encode-in-ids inputs.simps inputs-are-usages list.set-intros(1))
      then show ?case using ZeroExtendNode inputs-of-ZeroExtendNode
       by (metis \land kind \ g2 \ n = ZeroExtendNode \ ib \ rb \ x) \ child-member-in \ child-unchanged
member-rec(1) rep.ZeroExtendNode unchanged.simps)
next
      case (LeafNode n s)
      then show ?case
           by (metis kind-unchanged rep.LeafNode stamp-unchanged)
      case (RefNode \ n \ n')
     then have kind q2 \ n = RefNode \ n'
           using kind-unchanged by metis
      then have n' \in eval\text{-}usages \ q1 \ n
                by (metis IRNodes.inputs-of-RefNode RefNode.hyps(1) RefNode.hyps(2) en-
code-in-ids inputs.elims inputs-are-usages list.set-intros(1))
      then show ?case
       \textbf{by} \ (\textit{metis IRNodes.inputs-of-RefNode RefNode.IH RefNode.hyps(1)} \ RefNode.hyps(2)
RefNode.prems(1) \land kind \ g2 \ n = RefNode \ n' \land child-unchanged \ encode-in-ids \ in-ids 
puts.elims list.set-intros(1) local.wf rep.RefNode)
qed
qed
```

```
theorem stay-same:
 assumes nc: unchanged (eval-usages g1 nid) g1 g2
 assumes g1: [g1, m, p] \vdash nid \mapsto v1
 assumes wf: wf-graph g1
 shows [g2, m, p] \vdash nid \mapsto v1
proof -
 have nid: nid \in ids \ g1
   using q1 eval-in-ids by simp
 then have nid \in eval\text{-}usages g1 \ nid
   using eval-usages-self by blast
 then have kind-same: kind g1 nid = kind g2 nid
   using nc node-unchanged by blast
 obtain e where e: (g1 \vdash nid \simeq e) \land ([m,p] \vdash e \mapsto v1)
   using encodeeval-def g1
   by auto
 then have val: [m,p] \vdash e \mapsto v1
   using g1 encodeeval-def
   by simp
 then show ?thesis using e nid nc
   unfolding encodeeval-def
 proof (induct e v1 arbitrary: nid rule: evaltree.induct)
   case (ConstantExpr\ c)
   then show ?case
     by (meson local.wf stay-same-encoding)
 next
   case (ParameterExpr i s)
   have g2 \vdash nid \simeq ParameterExpr i s
     using stay-same-encoding ParameterExpr
     by (meson\ local.wf)
   then show ?case using evaltree.ParameterExpr
     by (meson ParameterExpr.hyps)
   case (ConditionalExpr ce cond branch te fe v)
   then have g2 \vdash nid \simeq ConditionalExpr \ ce \ te \ fe
   using Conditional Expr.prems(1) Conditional Expr.prems(3) local.wf stay-same-encoding
     by presburger
   then show ?case
       by (meson\ Conditional Expr.prems(1)\ Conditional Expr.prems(3)\ local.wf
stay-same-encoding)
 next
   case (UnaryExpr xe v op)
   then show ?case
     using local.wf stay-same-encoding by blast
 next
   case (BinaryExpr\ xe\ x\ ye\ y\ op)
   then show ?case
     using local.wf stay-same-encoding by blast
 next
   case (LeafExpr\ val\ nid\ s)
```

```
then show ?case
     by (metis local.wf stay-same-encoding)
 \mathbf{qed}
qed
lemma add-changed:
 assumes gup = add-node new k g
 shows changeonly \{new\} g gup
 using assms unfolding add-node-def changeonly.simps
 using add-node.rep-eq add-node-def kind.rep-eq stamp.rep-eq by simp
lemma disjoint-change:
 assumes changeonly change g gup
 assumes nochange = ids g - change
 shows unchanged nochange q qup
 using assms unfolding changeonly.simps unchanged.simps
 \mathbf{by} blast
lemma add-node-unchanged:
 assumes new \notin ids g
 assumes nid \in ids g
 assumes gup = add-node new k g
 assumes wf-graph g
 shows unchanged (eval-usages g nid) g gup
proof -
 have new \notin (eval\text{-}usages \ g \ nid) using assms
   using eval-usages.simps by blast
 then have changeonly \{new\} g gup
   using assms add-changed by blast
 then show ?thesis using assms add-node-def disjoint-change
   using Diff-insert-absorb by auto
qed
lemma eval-uses-imp:
 ((nid' \in ids \ q \land nid = nid')
   \vee nid' \in inputs g nid
   \vee (\exists nid'' . eval\text{-}uses g nid nid'' \wedge eval\text{-}uses g nid'' nid'))
   \longleftrightarrow eval-uses g nid nid'
 using use0 use-inp use-trans
 by (meson eval-uses.simps)
lemma wf-use-ids:
 assumes wf-graph g
 assumes nid \in ids g
 assumes eval-uses g nid nid'
 shows nid' \in ids g
 using assms(3)
proof (induction rule: eval-uses.induct)
```

```
case use0
 then show ?case by simp
\mathbf{next}
  case use-inp
 then show ?case
   using assms(1) inp-in-g-wf by blast
\mathbf{next}
 {f case}\ use\mbox{-}trans
 then show ?case by blast
qed
lemma no-external-use:
 assumes wf-graph g
 assumes nid' \notin ids \ g
 assumes nid \in ids q
 shows \neg(eval\text{-}uses\ q\ nid\ nid')
proof -
 have 0: nid \neq nid'
   using assms by blast
 \mathbf{have}\ inp:\ nid'\notin\ inputs\ g\ nid
   using assms
   using inp-in-g-wf by blast
 have rec-0: \nexists n . n \in ids \ g \land n = nid'
   using assms by blast
 have rec-inp: \nexists n . n \in ids \ g \land n \in inputs \ g \ nid'
   using assms(2) inp-in-g by blast
 have rec: \nexists nid''. eval-uses g nid nid'' \land eval-uses g nid'' nid'
   using wf-use-ids assms(1) assms(2) assms(3) by blast
 from inp 0 rec show ?thesis
   using eval-uses-imp by blast
qed
end
```

6.8 Tree to Graph Theorems

```
\begin{tabular}{l} \textbf{theory} & \textit{TreeToGraphThms} \\ \textbf{imports} \\ & \textit{IRTreeEvalThms} \\ & \textit{IRGraphFrames} \\ & \textit{HOL-Eisbach.Eisbach} \\ & \textit{HOL-Eisbach.Eisbach-Tools} \\ \textbf{begin} \\ \end{tabular}
```

6.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful

```
named-theorems rep
lemma rep-constant [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = ConstantNode\ c \Longrightarrow
   e = ConstantExpr\ c
  by (induction rule: rep.induct; auto)
lemma rep-parameter [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind \ q \ n = ParameterNode \ i \Longrightarrow
   (\exists s. e = ParameterExpr i s)
  by (induction rule: rep.induct; auto)
lemma rep-conditional [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = ConditionalNode\ c\ t\ f \Longrightarrow
  (\exists ce te fe. e = ConditionalExpr ce te fe)
  by (induction rule: rep.induct; auto)
lemma rep-abs [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind \ g \ n = AbsNode \ x \Longrightarrow
  (\exists xe. \ e = UnaryExpr\ UnaryAbs\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-not [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = NotNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNot\ xe)
 by (induction rule: rep.induct; auto)
lemma rep-negate [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = NegateNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryNeg\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-logicnegation [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = LogicNegationNode\ x \Longrightarrow
   (\exists xe. \ e = UnaryExpr\ UnaryLogicNegation\ xe)
  by (induction rule: rep.induct; auto)
lemma rep-add [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = AddNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinAdd \ xe \ ye)
```

for proving that 'rep' is deterministic.

```
by (induction rule: rep.induct; auto)
lemma rep-sub [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = SubNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinSub \ xe \ ye)
  \mathbf{by}\ (\mathit{induction}\ \mathit{rule}\colon \mathit{rep.induct};\ \mathit{auto})
lemma rep-mul [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind \ g \ n = MulNode \ x \ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinMul \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-and [rep]:
  q \vdash n \simeq e \Longrightarrow
   kind\ g\ n = AndNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinAnd \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-or [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind \ g \ n = OrNode \ x \ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinOr \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-xor [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = XorNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinXor \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-short-circuit-or [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = ShortCircuitOrNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinShortCircuitOr \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-left-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = LeftShiftNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinLeftShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-right-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = RightShiftNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinRightShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
```

```
lemma rep-unsigned-right-shift [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = UnsignedRightShiftNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinURightShift \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-below [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = IntegerBelowNode\ x\ y \Longrightarrow
  (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerBelow \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-equals [rep]:
  q \vdash n \simeq e \Longrightarrow
   kind\ q\ n = IntegerEqualsNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerEquals \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-integer-less-than [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = IntegerLessThanNode\ x\ y \Longrightarrow
   (\exists xe \ ye. \ e = BinaryExpr \ BinIntegerLessThan \ xe \ ye)
  by (induction rule: rep.induct; auto)
lemma rep-narrow [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = NarrowNode\ ib\ rb\ x \Longrightarrow
  (\exists x. \ e = UnaryExpr(UnaryNarrow ib \ rb) \ x)
  by (induction rule: rep.induct; auto)
lemma rep-sign-extend [rep]:
  g \vdash n \simeq e \Longrightarrow
  kind\ g\ n = SignExtendNode\ ib\ rb\ x \Longrightarrow
   (\exists x. \ e = UnaryExpr (UnarySignExtend \ ib \ rb) \ x)
 by (induction rule: rep.induct; auto)
lemma rep-zero-extend [rep]:
  g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = ZeroExtendNode\ ib\ rb\ x \Longrightarrow
   (\exists x. \ e = UnaryExpr\ (UnaryZeroExtend\ ib\ rb)\ x)
  by (induction rule: rep.induct; auto)
lemma rep-load-field [rep]:
  g \vdash n \simeq e \Longrightarrow
   is-preevaluated (kind \ g \ n) \Longrightarrow
   (\exists s. \ e = LeafExpr \ n \ s)
  by (induction rule: rep.induct; auto)
```

```
g \vdash n \simeq e \Longrightarrow
   kind\ g\ n = RefNode\ n' \Longrightarrow
    g \vdash n' \simeq e
  by (induction rule: rep.induct; auto)
method solve-det uses node =
   (match\ node\ \mathbf{in}\ kind\ {\mbox{--}} = node\ {\mbox{--}}\ \mathbf{for}\ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node\ -=\ node\ -)=-\Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x. \; - = node \; x \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ - \neq RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle |
    match \ node \ \mathbf{in} \ kind - - = node - - \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node --= node --) = - \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x \; y. \; - = node \; x \; y \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ -\ - \neq RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle \rangle
    match \ node \ \mathbf{in} \ kind - - = node - - - \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node --- = node ---) = - \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x \; y \; z. \; - = \; node \; x \; y \; z \Longrightarrow -) \Longrightarrow - \Longrightarrow
              < match\ IRNode.distinct\ in\ d:\ node\ -\ -\ - \neq\ RefNode\ - \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle |
   match \ node \ \mathbf{in} \ kind - - = node - - - \ \mathbf{for} \ node \Rightarrow
     \langle match \ rep \ in \ r: - \Longrightarrow - = node - - - \Longrightarrow - \Longrightarrow
        \langle match\ IRNode.inject\ in\ i:\ (node\ {\ \ ---} = node\ {\ \ ---}) = {\ \ -} \Rightarrow
           \langle match \; RepE \; in \; e: - \Longrightarrow (\bigwedge x. \; - = node \; - \; x \Longrightarrow -) \Longrightarrow - \Longrightarrow
             \langle match\ IRNode.distinct\ in\ d:\ node\ -\ -\ \neq\ RefNode\ -\ \Rightarrow
                \langle metis \ i \ e \ r \ d \rangle \rangle \rangle \rangle
Now we can prove that 'rep' and 'eval', and their list versions, are determin-
istic.
lemma repDet:
  shows (g \vdash n \simeq e_1) \Longrightarrow (g \vdash n \simeq e_2) \Longrightarrow e_1 = e_2
proof (induction arbitrary: e<sub>2</sub> rule: rep.induct)
   case (ConstantNode \ n \ c)
   then show ?case using rep-constant by auto
next
  case (ParameterNode \ n \ i \ s)
  then show ?case
     by (metis IRNode.disc(2685) ParameterNodeE is-RefNode-def rep-parameter)
   case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
   then show ?case
     using IRNode.distinct(593)
     \mathbf{using}\ \mathit{IRNode.inject}(6)\ \mathit{ConditionalNodeE}\ \mathit{rep-conditional}
```

lemma rep-ref [rep]:

```
by metis
next
 case (AbsNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: AbsNode)
next
 case (NotNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: NotNode)
next
 case (NegateNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: NegateNode)
\mathbf{next}
  case (LogicNegationNode \ n \ x \ xe)
 then show ?case
   by (solve-det node: LogicNegationNode)
next
 case (AddNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: AddNode)
\mathbf{next}
  case (MulNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: MulNode)
next
 case (SubNode \ n \ x \ y \ xe \ ye)
 then show ?case
   \mathbf{by}\ (solve\text{-}det\ node:\ SubNode)
next
 case (AndNode \ n \ x \ y \ xe \ ye)
 then show ?case
   \mathbf{by}\ (solve\text{-}det\ node:\ AndNode)
 case (OrNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: OrNode)
next
 case (XorNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: XorNode)
next
 case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: ShortCircuitOrNode)
 case (LeftShiftNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: LeftShiftNode)
```

```
next
 case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: RightShiftNode)
next
  \mathbf{case} \ (\mathit{UnsignedRightShiftNode} \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: UnsignedRightShiftNode)
next
  case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
 then show ?case
   by (solve-det node: IntegerBelowNode)
next
 case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: IntegerEqualsNode)
 case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then show ?case
   by (solve-det node: IntegerLessThanNode)
\mathbf{next}
  case (NarrowNode \ n \ x \ xe)
 then show ?case
   by (metis IRNode.distinct(2203) IRNode.inject(28) NarrowNodeE rep-narrow)
next
  case (SignExtendNode \ n \ x \ xe)
  then show ?case
  by (metis IRNode.distinct(2599) IRNode.inject(39) SignExtendNodeE rep-sign-extend)
\mathbf{next}
 case (ZeroExtendNode \ n \ x \ xe)
 then show ?case
  by (metis IRNode.distinct(2753) IRNode.inject(50) ZeroExtendNodeE rep-zero-extend)
next
 case (LeafNode \ n \ s)
 then show ?case using rep-load-field LeafNodeE
   by (metis\ is-preevaluated.simps(53))
next
  case (RefNode n')
 then show ?case
   using rep-ref by blast
\mathbf{qed}
lemma repAllDet:
 g \vdash xs \simeq_L e1 \Longrightarrow
  g \vdash xs \simeq_L e2 \Longrightarrow
proof (induction arbitrary: e2 rule: replist.induct)
 case RepNil
 then show ?case
```

```
using replist.cases by auto
\mathbf{next}
 case (RepCons \ x \ xe \ xs \ xse)
 then show ?case
   by (metis list.distinct(1) list.sel(1) list.sel(3) repDet replist.cases)
\mathbf{qed}
lemma encodeEvalDet:
 [g,m,p] \vdash e \mapsto v1 \Longrightarrow
  [g,m,p] \vdash e \mapsto v2 \Longrightarrow
  v1 = v2
 by (metis encodeeval-def evalDet repDet)
lemma graphDet: ([g,m,p] \vdash n \mapsto v_1) \land ([g,m,p] \vdash n \mapsto v_2) \Longrightarrow v_1 = v_2
 using encodeEvalDet by blast
6.8.2 Monotonicity of Graph Refinement
Lift refinement monotonicity to graph level. Hopefully these shouldn't really
be required.
lemma mono-abs:
 assumes kind \ g1 \ n = AbsNode \ x \land kind \ g2 \ n = AbsNode \ x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 by (metis AbsNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet)
lemma mono-not:
 assumes kind\ g1\ n = NotNode\ x \land kind\ g2\ n = NotNode\ x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \geq e2
 by (metis\ NotNode\ assms(1)\ assms(2)\ assms(3)\ assms(4)\ mono-unary\ repDet)
lemma mono-negate:
 assumes kind\ g1\ n=NegateNode\ x\wedge kind\ g2\ n=NegateNode\ x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 by (metis NegateNode assms(1) assms(2) assms(3) assms(4) mono-unary repDet)
lemma mono-logic-negation:
 assumes kind g1 n = LogicNegationNode x \land kind g2 n = LogicNegationNode x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
```

assumes $(g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)$

```
shows e1 > e2
 by (metis LogicNegationNode assms(1) assms(2) assms(3) assms(4) mono-unary
repDet)
lemma mono-narrow:
 assumes kind g1 n = NarrowNode ib rb x \land kind g2 n = NarrowNode ib rb x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using assms mono-unary repDet NarrowNode
 by metis
lemma mono-sign-extend:
 assumes kind g1 n = SignExtendNode ib rb x \wedge kind g2 n = SignExtendNode ib
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
  by (metis SignExtendNode assms(1) assms(2) assms(3) assms(4) mono-unary
repDet)
lemma mono-zero-extend:
 assumes kind g1 n = ZeroExtendNode ib rb x \land kind g2 n = ZeroExtendNode ib
rb x
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes xe1 \ge xe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using assms mono-unary repDet ZeroExtendNode
 by metis
lemma mono-conditional-graph:
 assumes kind g1 n = ConditionalNode\ c\ t\ f \land kind\ g2\ n = ConditionalNode\ c\ t\ f
 assumes (g1 \vdash c \simeq ce1) \land (g2 \vdash c \simeq ce2)
 assumes (g1 \vdash t \simeq te1) \land (g2 \vdash t \simeq te2)
 assumes (g1 \vdash f \simeq fe1) \land (g2 \vdash f \simeq fe2)
 assumes ce1 \ge ce2 \land te1 \ge te2 \land fe1 \ge fe2
 assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
 shows e1 \ge e2
 using ConditionalNodeE\ IRNode.inject(6)\ assms(1)\ assms(2)\ assms(3)\ assms(4)
assms(5) assms(6) mono-conditional repDet rep-conditional
 by (smt (verit, best) ConditionalNode)
lemma mono-add:
  assumes kind g1 n = AddNode \ x \ y \land kind \ g2 \ n = AddNode \ x \ y
 assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
 assumes (g1 \vdash y \simeq ye1) \land (g2 \vdash y \simeq ye2)
```

```
assumes xe1 \ge xe2 \land ye1 \ge ye2
  assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
  shows e1 \ge e2
  using mono-binary assms AddNodeE IRNode.inject(2) repDet rep-add
  by (metis\ IRNode.distinct(205))
lemma mono-mul:
  assumes kind g1 n = MulNode \ x \ y \land kind \ g2 \ n = MulNode \ x \ y
  assumes (g1 \vdash x \simeq xe1) \land (g2 \vdash x \simeq xe2)
  assumes (g1 \vdash y \simeq ye1) \land (g2 \vdash y \simeq ye2)
  assumes xe1 \ge xe2 \land ye1 \ge ye2
  assumes (g1 \vdash n \simeq e1) \land (g2 \vdash n \simeq e2)
  shows e1 \ge e2
  using mono-binary assms IRNode.inject(27) MulNodeE repDet rep-mul
  by (smt (verit, best) MulNode)
lemma term-graph-evaluation:
  (g \vdash n \leq e) \Longrightarrow (\forall m p v \cdot ([m,p] \vdash e \mapsto v) \longrightarrow ([g,m,p] \vdash n \mapsto v))
  unfolding graph-represents-expression-def apply auto
  by (meson encodeeval-def)
lemma encodes-contains:
  g \vdash n \simeq e \Longrightarrow
  kind \ g \ n \neq NoNode
  apply (induction rule: rep.induct)
  apply (match IRNode.distinct in e: ?n \neq NoNode \Rightarrow
         \langle presburger \ add: \ e \rangle) +
  apply force
  by fastforce
lemma no-encoding:
  assumes n \notin ids g
 shows \neg(g \vdash n \simeq e)
  using assms apply simp apply (rule notI) by (induction e; simp add: en-
codes-contains)
lemma not-excluded-keep-type:
  assumes n \in ids \ g1
  assumes n \notin excluded
  assumes (excluded \subseteq as\text{-}set g1) \subseteq as\text{-}set g2
  shows kind g1 n = kind g2 n \wedge stamp g1 n = stamp g2 n
  using assms unfolding as-set-def domain-subtraction-def by blast
method metis-node-eq-unary for node :: 'a \Rightarrow IRNode =
  (match\ IRNode.inject\ \mathbf{in}\ i:\ (node\ -=\ node\ -)=-\Rightarrow
     \langle metis i \rangle
method metis-node-eq-binary for node :: 'a \Rightarrow 'a \Rightarrow IRNode =
  (match\ IRNode.inject\ \mathbf{in}\ i:\ (node\ -\ -=\ node\ -\ -)=-\Rightarrow
```

```
\langle metis \ i \rangle \rangle method metis-node-eq-ternary for node :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow IRNode = (match \ IRNode.inject \ in \ i: (node - - - = node - - -) = - \Rightarrow \langle metis \ i \rangle )
```

6.8.3 Lift Data-flow Tree Refinement to Graph Refinement

```
theorem graph-semantics-preservation:
 assumes a: e1' \geq e2'
 assumes b: (\{n'\} \subseteq as\text{-set } g1) \subseteq as\text{-set } g2
 assumes c: g1 \vdash n' \simeq e1'
 assumes d: g2 \vdash n' \simeq e2'
 shows graph-refinement g1 g2
 unfolding graph-refinement-def apply rule
  apply (metis b d ids-some no-encoding not-excluded-keep-type singleton-iff sub-
setI)
 apply (rule allI) apply (rule impI) apply (rule allI) apply (rule impI)
 unfolding graph-represents-expression-def
proof -
 fix n e1
 assume e: n \in ids \ g1
 assume f: (g1 \vdash n \simeq e1)
 show \exists e2. (g2 \vdash n \simeq e2) \land e1 \geq e2
 proof (cases n = n')
   case True
   have g: e1 = e1' using cf True repDet by simp
   have h: (g2 \vdash n \simeq e2') \land e1' \geq e2'
     using True a d by blast
   then show ?thesis
     using q by blast
 next
   case False
   have n \notin \{n'\}
     using False by simp
   then have i: kind\ g1\ n=kind\ g2\ n\ \wedge\ stamp\ g1\ n=stamp\ g2\ n
     using not-excluded-keep-type
     using b e by presburger
   show ?thesis using f i
   proof (induction e1)
     case (ConstantNode \ n \ c)
     then show ?case
       by (metis eq-refl rep. ConstantNode)
   \mathbf{next}
     case (ParameterNode \ n \ i \ s)
     then show ?case
       \mathbf{by}\ (metis\ eq\text{-}refl\ rep.ParameterNode)
   next
     case (ConditionalNode n c t f ce1 te1 fe1)
```

```
have k: q1 \vdash n \simeq ConditionalExpr ce1 te1 fe1 using f ConditionalNode
      by (simp\ add:\ ConditionalNode.hyps(2)\ rep.ConditionalNode)
     obtain cn tn fn where l: kind g1 n = ConditionalNode cn tn fn
       using ConditionalNode.hyps(1) by blast
     then have mc: g1 \vdash cn \simeq ce1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(2) by fastforce
     from l have mt: g1 \vdash tn \simeq te1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(3) by fastforce
     from l have mf: g1 \vdash fn \simeq fe1
       using ConditionalNode.hyps(1) ConditionalNode.hyps(4) by fastforce
     then show ?case
     proof -
      have g1 \vdash cn \simeq ce1 using mc by simp
      have g1 \vdash tn \simeq te1 using mt by simp
      have g1 \vdash fn \simeq fe1 using mf by simp
      have cer: \exists ce2. (q2 \vdash cn \simeq ce2) \land ce1 > ce2
        using ConditionalNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-ternary ConditionalNode)
       have ter: \exists te2. (g2 \vdash tn \simeq te2) \land te1 \geq te2
        using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singleton D
        by (metis-node-eq-ternary ConditionalNode)
      have \exists fe2. (g2 \vdash fn \simeq fe2) \land fe1 \geq fe2
        using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-ternary ConditionalNode)
         then have \exists ce2 te2 fe2. (g2 \vdash n \simeq ConditionalExpr ce2 te2 fe2) \land
Conditional Expr\ ce1\ te1\ fe1 \geq Conditional Expr\ ce2\ te2\ fe2
        using ConditionalNode.prems l rep.ConditionalNode cer ter
        by (smt (verit) mono-conditional)
      then show ?thesis
        \mathbf{by}\ meson
     qed
   next
     case (AbsNode \ n \ x \ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryAbs\ xe1\ using\ f\ AbsNode
      \mathbf{by}\ (simp\ add:\ AbsNode.hyps(2)\ rep.AbsNode)
     obtain xn where l: kind g1 n = AbsNode xn
       using AbsNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using AbsNode.hyps(1) AbsNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      {\bf case}\  \, True
      then have n: xe1 = e1' using c \ m \ repDet by simp
       then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryAbs\ e2' using AbsNode.hyps(1)
l m n
        using AbsNode.prems True d rep.AbsNode by simp
```

```
then have r: UnaryExpr\ UnaryAbs\ e1' \geq UnaryExpr\ UnaryAbs\ e2'
        by (meson a mono-unary)
       then show ?thesis using ev r
        by (metis \ n)
     next
      {f case} False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AbsNode
       using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        \mathbf{by}\ (\textit{metis-node-eq-unary}\ \textit{AbsNode})
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryAbs\ xe2) \land UnaryExpr
UnaryAbs \ xe1 \ge UnaryExpr \ UnaryAbs \ xe2
        by (metis AbsNode.prems l mono-unary rep.AbsNode)
      then show ?thesis
        by meson
     qed
   next
     case (NotNode\ n\ x\ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryNot\ xe1\ using\ f\ NotNode
      by (simp add: NotNode.hyps(2) rep.NotNode)
     obtain xn where l: kind g1 n = NotNode xn
       using NotNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using NotNode.hyps(1) NotNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      \mathbf{case} \ \mathit{True}
      then have n: xe1 = e1' using c \ m \ repDet by simp
       then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryNot\ e2'\ using\ NotNode.hyps(1)
l m n
        using NotNode.prems True d rep.NotNode by simp
      then have r: UnaryExpr\ UnaryNot\ e1' \ge UnaryExpr\ UnaryNot\ e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
       case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        \mathbf{using}\ \mathit{NotNode}
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NotNode)
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryNot\ xe2) \land UnaryExpr
UnaryNot \ xe1 \ge UnaryExpr \ UnaryNot \ xe2
        by (metis NotNode.prems l mono-unary rep.NotNode)
       then show ?thesis
        by meson
     qed
```

```
next
     case (NegateNode \ n \ x \ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryNeg\ xe1\ using\ f\ NegateNode
      by (simp add: NegateNode.hyps(2) rep.NegateNode)
     obtain xn where l: kind g1 n = NegateNode xn
       using NegateNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
       using NegateNode.hyps(1) NegateNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      {\bf case}\ {\it True}
      then have n: xe1 = e1' using c \ m \ repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryNeg\ e2'\ using\ NegateNode.hyps(1)
l m n
        using NegateNode.prems True d rep.NegateNode by simp
      then have r: UnaryExpr\ UnaryNeg\ e1' \geq UnaryExpr\ UnaryNeg\ e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using NegateNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NegateNode)
        then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryNeg\ xe2) \land UnaryExpr
UnaryNeg \ xe1 \ge UnaryExpr \ UnaryNeg \ xe2
        by (metis NegateNode.prems l mono-unary rep.NegateNode)
      then show ?thesis
        by meson
     qed
   next
     case (LogicNegationNode\ n\ x\ xe1)
     have k: g1 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ xe1\ using\ f\ LogicNega-
      \mathbf{by}\ (simp\ add:\ LogicNegationNode.hyps(2)\ rep.LogicNegationNode)
     obtain xn where l: kind g1 n = LogicNegationNode xn
       using LogicNegationNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using LogicNegationNode.hyps(1) LogicNegationNode.hyps(2) by fastforce
     then show ?case
     proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c m repDet by simp
         then have ev: g2 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ e2' using
LogicNegationNode.hyps(1) \ l \ m \ n
        using LogicNegationNode.prems True d rep.LogicNegationNode by simp
      then have r: UnaryExpr\ UnaryLogicNegation\ e1' \geq UnaryExpr\ UnaryLog-
```

```
icNegation e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      {f case} False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using LogicNegationNode
        using False i b l not-excluded-keep-type singletonD no-encoding
        \mathbf{by}\ (\textit{metis-node-eq-unary}\ \textit{LogicNegationNode})
         then have \exists xe2. (g2 \vdash n \simeq UnaryExpr\ UnaryLogicNegation\ xe2) \land
UnaryExpr\ UnaryLogicNegation\ xe1 \geq UnaryExpr\ UnaryLogicNegation\ xe2
        by (metis\ LogicNegationNode.prems\ l\ mono-unary\ rep.LogicNegationNode)
      then show ?thesis
        by meson
     qed
   next
     case (AddNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinAdd\ xe1\ ye1 using f\ AddNode
      \mathbf{by}\ (simp\ add:\ AddNode.hyps(2)\ rep.AddNode)
     obtain xn yn where l: kind g1 n = AddNode xn yn
       using AddNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using AddNode.hyps(1) AddNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
      using AddNode.hyps(1) AddNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AddNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AddNode)
      have \exists ye2. (q2 \vdash yn \simeq ye2) \land ye1 > ye2
        using AddNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AddNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAdd xe2 ye2) \land BinaryExpr
BinAdd\ xe1\ ye1 \geq BinaryExpr\ BinAdd\ xe2\ ye2
        by (metis AddNode.prems l mono-binary rep.AddNode xer)
      then show ?thesis
        by meson
     qed
   next
     case (MulNode \ n \ x \ y \ xe1 \ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinMul\ xe1\ ye1\ using\ f\ MulNode
      by (simp add: MulNode.hyps(2) rep.MulNode)
```

```
obtain xn yn where l: kind q1 n = MulNode xn yn
      using MulNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using MulNode.hyps(1) MulNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
      using MulNode.hyps(1) MulNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using MulNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary MulNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
        using MulNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary MulNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMul xe2 ye2) \land BinaryExpr
BinMul\ xe1\ ye1 \geq BinaryExpr\ BinMul\ xe2\ ye2
        by (metis MulNode.prems l mono-binary rep.MulNode xer)
      then show ?thesis
        by meson
     qed
   next
     case (SubNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinSub\ xe1\ ye1 using f\ SubNode
      by (simp\ add:\ SubNode.hyps(2)\ rep.SubNode)
     obtain xn yn where l: kind g1 n = SubNode xn yn
      using SubNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using SubNode.hyps(1) SubNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
      using SubNode.hyps(1) SubNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using SubNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary SubNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
     using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary SubNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinSub xe2 ye2) \land BinaryExpr
BinSub \ xe1 \ ye1 > BinaryExpr \ BinSub \ xe2 \ ye2
        by (metis SubNode.prems l mono-binary rep.SubNode xer)
      then show ?thesis
```

```
by meson
     \mathbf{qed}
   \mathbf{next}
     case (AndNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinAnd\ xe1\ ye1 using f\ AndNode
      by (simp\ add:\ AndNode.hyps(2)\ rep.AndNode)
     obtain xn yn where l: kind g1 n = AndNode xn yn
       using AndNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using AndNode.hyps(1) AndNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
      using AndNode.hyps(1) AndNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have q1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using AndNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary AndNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary AndNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAnd xe2 ye2) \land BinaryExpr
BinAnd\ xe1\ ye1 \geq BinaryExpr\ BinAnd\ xe2\ ye2
        by (metis AndNode.prems l mono-binary rep.AndNode xer)
      then show ?thesis
        \mathbf{by} \ meson
    qed
   next
     case (OrNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinOr\ xe1\ ye1\ using\ f\ OrNode
      by (simp\ add:\ OrNode.hyps(2)\ rep.OrNode)
     obtain xn yn where l: kind g1 n = OrNode xn yn
      using OrNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using OrNode.hyps(1) OrNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using OrNode.hyps(1) OrNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using OrNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
```

```
using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary OrNode)
      then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinOr xe2 ye2) \land BinaryExpr
BinOr\ xe1\ ye1 \geq BinaryExpr\ BinOr\ xe2\ ye2
        by (metis OrNode.prems l mono-binary rep.OrNode xer)
      then show ?thesis
        by meson
    qed
   next
    case (XorNode \ n \ x \ y \ xe1 \ ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinXor\ xe1\ ye1 using f\ XorNode
      by (simp\ add:\ XorNode.hyps(2)\ rep.XorNode)
    obtain xn yn where l: kind g1 n = XorNode xn yn
      using XorNode.hyps(1) by blast
    then have mx: q1 \vdash xn \simeq xe1
      using XorNode.hyps(1) XorNode.hyps(2) by fastforce
    from l have my: g1 \vdash yn \simeq ye1
      using XorNode.hyps(1) XorNode.hyps(3) by fastforce
    then show ?case
    proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using XorNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary XorNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using XorNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary XorNode)
     then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinXor xe2 ye2) \land BinaryExpr
BinXor\ xe1\ ye1 \ge BinaryExpr\ BinXor\ xe2\ ye2
        by (metis XorNode.prems l mono-binary rep.XorNode xer)
      then show ?thesis
        by meson
    qed
   \mathbf{next}
   case (ShortCircuitOrNode n x y xe1 ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinShortCircuitOr\ xe1\ ye1\ using\ f\ ShortCir-
cuitOrNode
      by (simp add: ShortCircuitOrNode.hyps(2) rep.ShortCircuitOrNode)
    obtain xn yn where l: kind g1 n = ShortCircuitOrNode xn yn
      using ShortCircuitOrNode.hyps(1) by blast
    then have mx: g1 \vdash xn \simeq xe1
     using ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(2) by fastforce
    from l have my: g1 \vdash yn \simeq ye1
     using ShortCircuitOrNode.hyps(1) ShortCircuitOrNode.hyps(3) by fastforce
    then show ?case
    proof -
```

```
have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using ShortCircuitOrNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary ShortCircuitOrNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
          using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
        by (metis-node-eq-binary ShortCircuitOrNode)
      then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinShortCircuitOr xe2 ye2) \land
BinaryExpr\ BinShortCircuitOr\ xe1\ ye1 \geq BinaryExpr\ BinShortCircuitOr\ xe2\ ye2
       \mathbf{by}\ (\mathit{metis}\ ShortCircuitOrNode.prems\ l\ mono-binary\ rep.ShortCircuitOrNode
xer
       then show ?thesis
        by meson
     qed
   next
     case (LeftShiftNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinLeftShift\ xe1\ ye1\ using\ f\ LeftShiftNode
       by (simp add: LeftShiftNode.hyps(2) rep.LeftShiftNode)
     obtain xn yn where l: kind g1 n = LeftShiftNode xn yn
       using LeftShiftNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using LeftShiftNode.hyps(1) LeftShiftNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using LeftShiftNode.hyps(1) LeftShiftNode.hyps(3) by fastforce
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using LeftShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary LeftShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 > ye2
         using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary LeftShiftNode)
         then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinLeftShift xe2 ye2) \land
BinaryExpr\ BinLeftShift\ xe1\ ye1 \geq BinaryExpr\ BinLeftShift\ xe2\ ye2
        by (metis LeftShiftNode.prems l mono-binary rep.LeftShiftNode xer)
       then show ?thesis
        by meson
     qed
   next
     case (RightShiftNode\ n\ x\ y\ xe1\ ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinRightShift\ xe1\ ye1\ using\ f\ RightShiftNode
       by (simp add: RightShiftNode.hyps(2) rep.RightShiftNode)
```

```
obtain xn yn where l: kind g1 n = RightShiftNode xn yn
       using RightShiftNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using RightShiftNode.hyps(1) RightShiftNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using RightShiftNode.hyps(1) RightShiftNode.hyps(3) by fastforce
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using RightShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary RightShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
         using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary RightShiftNode)
        then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinRightShift xe2 ye2) \land
BinaryExpr\ BinRightShift\ xe1\ ye1 \geq BinaryExpr\ BinRightShift\ xe2\ ye2
        by (metis RightShiftNode.prems l mono-binary rep.RightShiftNode xer)
       then show ?thesis
        \mathbf{by} \ meson
     qed
   next
     case (UnsignedRightShiftNode n x y xe1 ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinURightShift\ xe1\ ye1\ using\ f\ UnsignedRight-
ShiftNode
      \textbf{by} \ (simp \ add: \ Unsigned Right Shift Node. hyps (2) \ rep. \ Unsigned Right Shift Node)
     obtain xn \ yn \ where l: kind \ g1 \ n = UnsignedRightShiftNode <math>xn \ yn
       using UnsignedRightShiftNode.hyps(1) by blast
     then have mx: q1 \vdash xn \simeq xe1
       using UnsignedRightShiftNode.hyps(1) UnsignedRightShiftNode.hyps(2) by
fast force
     from l have my: g1 \vdash yn \simeq ye1
       using UnsignedRightShiftNode.hyps(1) UnsignedRightShiftNode.hyps(3) by
fast force
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using UnsignedRightShiftNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary UnsignedRightShiftNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
        using UnsignedRightShiftNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
        by (metis-node-eq-binary UnsignedRightShiftNode)
```

```
then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinURightShift xe2 ye2) \land
BinaryExpr\ BinURightShift\ xe1\ ye1 \geq BinaryExpr\ BinURightShift\ xe2\ ye2
     \mathbf{by} \; (\textit{metis UnsignedRightShiftNode.prems l mono-binary rep. UnsignedRightShiftNode})
xer
      then show ?thesis
        by meson
     qed
     case (IntegerBelowNode n x y xe1 ye1)
     have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerBelow\ xe1\ ye1 using f IntegerBe-
lowNode
      by (simp\ add:\ IntegerBelowNode.hyps(2)\ rep.IntegerBelowNode)
     obtain xn \ yn where l: kind \ g1 \ n = IntegerBelowNode \ xn \ yn
      using IntegerBelowNode.hyps(1) by blast
     then have mx: q1 \vdash xn \simeq xe1
       using IntegerBelowNode.hyps(1) IntegerBelowNode.hyps(2) by fastforce
     from l have my: g1 \vdash yn \simeq ye1
       using IntegerBelowNode.hyps(1) IntegerBelowNode.hyps(3) by fastforce
     then show ?case
     proof -
      have g1 \vdash xn \simeq xe1 using mx by simp
      have g1 \vdash yn \simeq ye1 using my by simp
      have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using IntegerBelowNode
        using a b c d l no-encoding not-excluded-keep-type repDet singletonD
        by (metis-node-eq-binary IntegerBelowNode)
      have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
       using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
        by (metis-node-eq-binary IntegerBelowNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerBelow xe2 ye2) <math>\land
BinaryExpr\ BinIntegerBelow\ xe1\ ye1 \geq BinaryExpr\ BinIntegerBelow\ xe2\ ye2
          by (metis\ IntegerBelowNode.prems\ l\ mono-binary\ rep.IntegerBelowNode
xer
      then show ?thesis
        by meson
     qed
     case (IntegerEqualsNode\ n\ x\ y\ xe1\ ye1)
    have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerEquals\ xe1\ ye1 using f IntegerEqual-
sNode
      by (simp\ add:\ IntegerEqualsNode.hyps(2)\ rep.IntegerEqualsNode)
     obtain xn yn where l: kind g1 n = IntegerEqualsNode xn yn
      using IntegerEqualsNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
      using IntegerEqualsNode.hyps(1) IntegerEqualsNode.hyps(2) by fastforce
     from l have my: q1 \vdash yn \simeq ye1
       using IntegerEqualsNode.hyps(1) IntegerEqualsNode.hyps(3) by fastforce
     then show ?case
```

```
proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
         using IntegerEqualsNode
         using a b c d l no-encoding not-excluded-keep-type repDet singletonD
         by (metis-node-eq-binary IntegerEqualsNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
            using IntegerEqualsNode a b c d l no-encoding not-excluded-keep-type
repDet \ singletonD
         by (metis-node-eq-binary IntegerEqualsNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerEquals xe2 ye2) \land
BinaryExpr\ BinIntegerEquals\ xe1\ ye1 \geq BinaryExpr\ BinIntegerEquals\ xe2\ ye2
         \mathbf{by}\ (\mathit{metis}\ \mathit{IntegerEqualsNode}. \mathit{prems}\ \mathit{l}\ \mathit{mono-binary}\ \mathit{rep}. \mathit{IntegerEqualsNode}
xer
       then show ?thesis
         by meson
     qed
   next
     case (IntegerLessThanNode\ n\ x\ y\ xe1\ ye1)
      have k: g1 \vdash n \simeq BinaryExpr\ BinIntegerLessThan\ xe1\ ye1 using f Inte-
gerLessThanNode
       by (simp\ add:\ IntegerLessThanNode.hyps(2)\ rep.IntegerLessThanNode)
     obtain xn yn where l: kind g1 n = IntegerLessThanNode <math>xn yn
       using IntegerLessThanNode.hyps(1) by blast
     then have mx: g1 \vdash xn \simeq xe1
       using IntegerLessThanNode.hyps(1) IntegerLessThanNode.hyps(2) by fast-
force
     from l have my: g1 \vdash yn \simeq ye1
       using IntegerLessThanNode.hyps(1) IntegerLessThanNode.hyps(3) by fast-
force
     then show ?case
     proof -
       have g1 \vdash xn \simeq xe1 using mx by simp
       have g1 \vdash yn \simeq ye1 using my by simp
       have xer: \exists xe2. (q2 \vdash xn \simeq xe2) \land xe1 > xe2
         using IntegerLessThanNode
         using a b c d l no-encoding not-excluded-keep-type repDet singletonD
         by (metis-node-eq-binary IntegerLessThanNode)
       have \exists ye2. (g2 \vdash yn \simeq ye2) \land ye1 \geq ye2
         \mathbf{using}\ IntegerLessThanNode\ a\ b\ c\ d\ l\ no\text{-}encoding\ not\text{-}excluded\text{-}keep\text{-}type
repDet \ singletonD
         by (metis-node-eq-binary IntegerLessThanNode)
       then have \exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerLessThan xe2 ye2)
\land BinaryExpr BinIntegerLessThan xe1 ye1 \ge BinaryExpr BinIntegerLessThan xe2
ye2
      by (metis\ IntegerLess\ ThanNode.prems\ l\ mono-binary\ rep.IntegerLess\ ThanNode
xer
       then show ?thesis
```

```
by meson
     \mathbf{qed}
   \mathbf{next}
     case (NarrowNode n inputBits resultBits x xe1)
     have k: g1 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) xe1 using
f NarrowNode
      by (simp\ add:\ NarrowNode.hyps(2)\ rep.NarrowNode)
     obtain xn where l: kind g1 n = NarrowNode inputBits resultBits <math>xn
      \mathbf{using}\ \mathit{NarrowNode.hyps}(\mathit{1})\ \mathbf{by}\ \mathit{blast}
     then have m: g1 \vdash xn \simeq xe1
      using NarrowNode.hyps(1) NarrowNode.hyps(2)
      by auto
     then show ?case
     proof (cases xn = n')
      case True
      then have n: xe1 = e1' using c \ m \ repDet by simp
      then have ev: g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) e2'
using NarrowNode.hyps(1) \ l \ m \ n
        using NarrowNode.prems True d rep.NarrowNode by simp
    then have r: UnaryExpr(UnaryNarrow\ inputBits\ resultBits)\ e1' \geq UnaryExpr
(UnaryNarrow inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     next
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using NarrowNode
       using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
        by (metis-node-eq-ternary NarrowNode)
         then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits re-
sultBits) \ xe2) \land \ UnaryExpr \ (UnaryNarrow \ inputBits \ resultBits) \ xe1 \ge UnaryExpr
(UnaryNarrow inputBits resultBits) xe2
        by (metis NarrowNode.prems l mono-unary rep.NarrowNode)
      then show ?thesis
        by meson
     qed
   next
     case (SignExtendNode n inputBits resultBits x xe1)
      have k: g1 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits resultBits) xe1
using f SignExtendNode
      by (simp add: SignExtendNode.hyps(2) rep.SignExtendNode)
     obtain xn where l: kind g1 n = SignExtendNode inputBits resultBits xn
      using SignExtendNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using SignExtendNode.hyps(1) SignExtendNode.hyps(2)
      by auto
     then show ?case
```

```
proof (cases xn = n')
      {f case} True
      then have n: xe1 = e1' using c m repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits resultBits)
e2' using SignExtendNode.hyps(1) l m n
        using SignExtendNode.prems True d rep.SignExtendNode by simp
        then have r: UnaryExpr (UnarySignExtend inputBits resultBits) e1' \ge
UnaryExpr (UnarySignExtend inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis \ n)
     \mathbf{next}
      case False
      have g1 \vdash xn \simeq xe1 using m by simp
      have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
        using SignExtendNode
      using False b encodes-contains l not-excluded-keep-type not-in-q singleton-iff
        \mathbf{by}\ (\textit{metis-node-eq-ternary}\ \textit{SignExtendNode})
     then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnarySignExtend inputBits result-
Bits) xe2) \land UnaryExpr (UnarySignExtend\ inputBits\ resultBits) xe1 \ge UnaryExpr
(UnarySignExtend inputBits resultBits) xe2
        by (metis\ SignExtendNode.prems\ l\ mono-unary\ rep.SignExtendNode)
      then show ?thesis
        by meson
     \mathbf{qed}
   next
     case (ZeroExtendNode n inputBits resultBits x xe1)
     have k: g1 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1
using f ZeroExtendNode
      by (simp add: ZeroExtendNode.hyps(2) rep.ZeroExtendNode)
     obtain xn where l: kind g1 n = ZeroExtendNode inputBits resultBits xn
      using ZeroExtendNode.hyps(1) by blast
     then have m: g1 \vdash xn \simeq xe1
      using ZeroExtendNode.hyps(1) ZeroExtendNode.hyps(2)
      by auto
     then show ?case
     proof (cases xn = n')
      {f case}\ True
      then have n: xe1 = e1' using c \ m \ repDet by simp
     then have ev: g2 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits resultBits)
e2' using ZeroExtendNode.hyps(1) l m n
        using ZeroExtendNode.prems True d rep.ZeroExtendNode by simp
        then have r: UnaryExpr (UnaryZeroExtend inputBits resultBits) e1' \geq
UnaryExpr (UnaryZeroExtend inputBits resultBits) e2'
        by (meson a mono-unary)
      then show ?thesis using ev r
        by (metis n)
     next
      case False
```

```
have g1 \vdash xn \simeq xe1 using m by simp
       have \exists xe2. (g2 \vdash xn \simeq xe2) \land xe1 \geq xe2
         \mathbf{using}\ \mathit{ZeroExtendNode}
        using False b encodes-contains l not-excluded-keep-type not-in-g singleton-iff
         by (metis-node-eq-ternary ZeroExtendNode)
      then have \exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryZeroExtend inputBits result-
Bits) \ xe2) \land \ UnaryExpr \ (UnaryZeroExtend \ inputBits \ resultBits) \ xe1 \ge UnaryExpr
(UnaryZeroExtend inputBits resultBits) xe2
         by (metis ZeroExtendNode.prems l mono-unary rep.ZeroExtendNode)
       then show ?thesis
         \mathbf{by}\ meson
     qed
   \mathbf{next}
     case (LeafNode \ n \ s)
     then show ?case
       by (metis eq-refl rep.LeafNode)
     case (RefNode n')
     then show ?case
         by (metis a b c d no-encoding not-excluded-keep-type rep.RefNode repDet
singletonD)
   qed
  qed
qed
{\bf lemma}\ graph-semantics-preservation-subscript:
  assumes a: e_1' \geq e_2'
  assumes b: (\{n\} \leq as\text{-}set \ g_1) \subseteq as\text{-}set \ g_2
 assumes c: g_1 \vdash n \simeq e_1'
 assumes d: g_2 \vdash n \simeq e_2'
 shows graph-refinement g_1 g_2
  using graph-semantics-preservation assms by simp
lemma tree-to-graph-rewriting:
  e_1 \geq e_2
  \land (g_1 \vdash n \simeq e_1) \land maximal\text{-}sharing g_1
  \land (\{n\} \leq as\text{-}set \ g_1) \subseteq as\text{-}set \ g_2
  \land (g_2 \vdash n \simeq e_2) \land maximal\text{-}sharing g_2
  \implies graph-refinement g_1 g_2
  \mathbf{using}\ graph\text{-}semantics\text{-}preservation
  by auto
declare [[simp-trace]]
lemma equal-refines:
  fixes e1 e2 :: IRExpr
  assumes e1 = e2
  shows e1 \ge e2
  using assms
```

```
by simp
declare [[simp-trace=false]]
lemma eval-contains-id[simp]: g1 \vdash n \simeq e \Longrightarrow n \in ids \ g1
 using no-encoding by blast
lemma subset-kind[simp]: as-set g1 \subseteq as-set g2 \Longrightarrow g1 \vdash n \simeq e \Longrightarrow kind g1 n =
kind g2 n
  using eval-contains-id unfolding as-set-def
 \mathbf{by} blast
lemma subset-stamp[simp]: as-set g1 \subseteq as-set g2 \Longrightarrow g1 \vdash n \simeq e \Longrightarrow stamp \ g1 \ n
= stamp \ g2 \ n
 using eval-contains-id unfolding as-set-def
 by blast
method solve-subset-eval uses as-set eval =
  (metis eval as-set subset-kind subset-stamp)
  metis eval as-set subset-kind)
lemma subset-implies-evals:
  assumes as-set g1 \subseteq as-set g2
 assumes (g1 \vdash n \simeq e)
 shows (g2 \vdash n \simeq e)
 using assms(2)
 apply (induction \ e)
                     apply (solve-subset-eval as-set: assms(1) eval: ConstantNode)
                    apply (solve-subset-eval as-set: assms(1) eval: ParameterNode)
                   apply (solve-subset-eval as-set: assms(1) eval: ConditionalNode)
                    apply (solve-subset-eval as-set: assms(1) eval: AbsNode)
                   apply (solve-subset-eval as-set: assms(1) eval: NotNode)
                  apply (solve-subset-eval as-set: assms(1) eval: NegateNode)
                apply (solve-subset-eval as-set: assms(1) eval: LogicNegationNode)
                \mathbf{apply}\ (solve\text{-}subset\text{-}eval\ as\text{-}set:\ assms(1)\ eval:\ AddNode)
               apply (solve-subset-eval as-set: assms(1) eval: MulNode)
               apply (solve-subset-eval as-set: assms(1) eval: SubNode)
              apply (solve-subset-eval as-set: assms(1) eval: AndNode)
             apply (solve-subset-eval as-set: assms(1) eval: OrNode)
            apply (solve-subset-eval as-set: assms(1) eval: XorNode)
           apply (solve-subset-eval as-set: assms(1) eval: ShortCircuitOrNode)
          apply (solve-subset-eval as-set: assms(1) eval: LeftShiftNode)
         apply (solve-subset-eval as-set: assms(1) eval: RightShiftNode)
        apply (solve-subset-eval as-set: assms(1) eval: UnsignedRightShiftNode)
       apply (solve-subset-eval as-set: assms(1) eval: IntegerBelowNode)
       apply (solve-subset-eval as-set: assms(1) eval: IntegerEqualsNode)
      apply (solve-subset-eval as-set: assms(1) eval: IntegerLessThanNode)
```

```
apply (solve-subset-eval as-set: assms(1) eval: NarrowNode)
    apply (solve-subset-eval as-set: assms(1) eval: SignExtendNode)
   apply (solve-subset-eval as-set: assms(1) eval: ZeroExtendNode)
  apply (solve-subset-eval as-set: assms(1) eval: LeafNode)
  by (solve-subset-eval as-set: assms(1) eval: RefNode)
lemma subset-refines:
 assumes as-set g1 \subseteq as-set g2
 shows graph-refinement g1 g2
proof -
 have ids \ g1 \subseteq ids \ g2 using assms unfolding as\text{-}set\text{-}def
   by blast
  then show ?thesis unfolding graph-refinement-def apply rule
   apply (rule allI) apply (rule impI) apply (rule allI) apply (rule impI)
   unfolding graph-represents-expression-def
   proof -
     fix n e1
     assume 1:n \in ids \ g1
     assume 2:g1 \vdash n \simeq e1
     show \exists e2. (g2 \vdash n \simeq e2) \land e1 \geq e2
       using assms 1 2 using subset-implies-evals
       by (meson equal-refines)
   qed
 qed
lemma graph-construction:
  e_1 \geq e_2
  \land as\text{-}set \ g_1 \subseteq as\text{-}set \ g_2
 \wedge (g_2 \vdash n \simeq e_2)
  \implies (g_2 \vdash n \trianglelefteq e_1) \land graph\text{-refinement } g_1 \ g_2
 \mathbf{using}\ \mathit{subset-refines}
 by (meson encodeeval-def graph-represents-expression-def le-expr-def)
6.8.4 Term Graph Reconstruction
lemma find-exists-kind:
 assumes find-node-and-stamp q (node, s) = Some nid
 shows kind \ q \ nid = node
 using assms unfolding find-node-and-stamp.simps
 by (metis (mono-tags, lifting) find-Some-iff)
lemma find-exists-stamp:
 assumes find-node-and-stamp g (node, s) = Some nid
 shows stamp \ g \ nid = s
 using assms unfolding find-node-and-stamp.simps
 by (metis (mono-tags, lifting) find-Some-iff)
lemma find-new-kind:
```

```
assumes g' = add-node nid (node, s) g
   assumes node \neq NoNode
   shows kind g' nid = node
   using assms
   using add-node-lookup by presburger
lemma find-new-stamp:
    assumes g' = add-node nid (node, s) g
   assumes node \neq NoNode
   shows stamp \ g' \ nid = s
   using assms
   using add-node-lookup by presburger
lemma sorted-bottom:
   assumes finite xs
   assumes x \in xs
   shows x \leq last(sorted-list-of-set(xs::nat set))
   using assms
   using sorted2-simps(2) sorted-list-of-set(2)
  by (smt (verit, del-insts) Diff-iff Max-qe Max-in empty-iff list.set(1) snoc-eq-iff-butlast
sorted-insort-is-snoc\ sorted-list-of-set(1)\ sorted-list-of-set. fold-insort-key. in finite\ sorted-list-of-set. fold-insort-key. In finite sorted-list-of-set. fold-list-of-set. fold-insort-key. Fold-list-of-set. fold-list-of-set.
lemma fresh: finite xs \Longrightarrow last(sorted-list-of-set(xs::nat\ set)) + 1 \notin xs
    using sorted-bottom
   using not-le by auto
lemma fresh-ids:
   assumes n = get-fresh-id g
   shows n \notin ids \ g
proof -
   have finite (ids g) using Rep-IRGraph by auto
   then show ?thesis
       using assms fresh unfolding get-fresh-id.simps
       by blast
qed
lemma graph-unchanged-rep-unchanged:
    assumes \forall n \in ids \ g. \ kind \ g \ n = kind \ g' \ n
   assumes \forall n \in ids \ g. \ stamp \ g \ n = stamp \ g' \ n
   shows (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
   apply (rule impI) subgoal premises e using e assms
       apply (induction \ n \ e)
                                                apply (metis no-encoding rep. ConstantNode)
                                              apply (metis no-encoding rep.ParameterNode)
                                            apply (metis no-encoding rep.ConditionalNode)
                                          apply (metis no-encoding rep.AbsNode)
                                        apply (metis no-encoding rep.NotNode)
                                       apply (metis no-encoding rep.NegateNode)
                                     apply (metis no-encoding rep.LogicNegationNode)
```

```
apply (metis no-encoding rep.AddNode)
              apply (metis no-encoding rep.MulNode)
              apply (metis no-encoding rep.SubNode)
             apply (metis no-encoding rep.AndNode)
            apply (metis no-encoding rep.OrNode)
             apply (metis no-encoding rep.XorNode)
            {\bf apply}\ (\textit{metis no-encoding rep.ShortCircuitOrNode})
           apply (metis no-encoding rep.LeftShiftNode)
          apply (metis no-encoding rep.RightShiftNode)
          apply (metis no-encoding rep. UnsignedRightShiftNode)
         apply (metis no-encoding rep.IntegerBelowNode)
        apply (metis no-encoding rep.IntegerEqualsNode)
       apply (metis no-encoding rep.IntegerLessThanNode)
      apply (metis no-encoding rep.NarrowNode)
     apply (metis no-encoding rep.SignExtendNode)
    apply (metis no-encoding rep.ZeroExtendNode)
    apply (metis no-encoding rep.LeafNode)
   by (metis no-encoding rep.RefNode)
 done
\mathbf{lemma}\ \mathit{fresh-node-subset}:
 assumes n \notin ids \ q
 assumes g' = add-node n(k, s) g
 shows as-set g \subseteq as-set g'
 using assms
 by (smt (verit, del-insts) Collect-mono-iff Diff-idemp Diff-insert-absorb add-changed
as-set-def disjoint-change unchanged.simps)
lemma unrep-subset:
 assumes (g \oplus e \leadsto (g', n))
 shows as-set g \subseteq as-set g'
 using assms proof (induction g \in (g', n) arbitrary: g'(n)
 case (ConstantNodeSame\ g\ c\ n)
 then show ?case by blast
next
 case (ConstantNodeNew\ q\ c\ n\ q')
 then show ?case using fresh-ids fresh-node-subset
   by presburger
next
 case (ParameterNodeSame\ g\ i\ s\ n)
 then show ?case by blast
next
 case (ParameterNodeNew\ g\ i\ s\ n\ g')
 then show ?case using fresh-ids fresh-node-subset
   by presburger
 case (ConditionalNodeSame\ g\ ce\ g2\ c\ te\ g3\ t\ fe\ g4\ f\ s'\ n)
 then show ?case by blast
next
```

```
case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
  then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
 case (UnaryNodeSame\ g\ xe\ g2\ x\ s'\ op\ n)
 then show ?case by blast
next
  case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
  then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
  case (BinaryNodeSame\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n)
 then show ?case by blast
next
  case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
 then show ?case using fresh-ids fresh-node-subset
   by (meson subset-trans)
next
 case (AllLeafNodes\ g\ n\ s)
 then show ?case by blast
qed
lemma fresh-node-preserves-other-nodes:
 assumes n' = get\text{-}fresh\text{-}id g
 assumes g' = add-node n'(k, s) g
 shows \forall n \in ids \ g \cdot (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
 using assms
 by (smt (verit, ccfv-SIG) Diff-idemp Diff-insert-absorb add-changed disjoint-change
fresh-ids\ graph-unchanged-rep-unchanged\ unchanged.elims(2))
lemma found-node-preserves-other-nodes:
 assumes find-node-and-stamp g(k, s) = Some n
 shows \forall n \in ids \ g. \ (g \vdash n \simeq e) \longleftrightarrow (g \vdash n \simeq e)
 using assms
 by blast
lemma unrep-ids-subset[simp]:
 assumes g \oplus e \leadsto (g', n)
 shows ids g \subseteq ids g'
 using assms\ unrep-subset
 by (meson graph-refinement-def subset-refines)
lemma unrep-unchanged:
 assumes g \oplus e \leadsto (g', n)
 shows \forall n \in ids \ g \ . \ \forall e. \ (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)
 using assms unrep-subset fresh-node-preserves-other-nodes
  by (meson subset-implies-evals)
```

theorem term-graph-reconstruction:

```
g \oplus e \leadsto (g', n) \Longrightarrow (g' \vdash n \simeq e) \land as\text{-set } g \subseteq as\text{-set } g'
subgoal premises e apply (rule \ conjI) defer
 using e unrep-subset apply blast using e
proof (induction g \in (g', n) arbitrary: g'(n)
 case (ConstantNodeSame\ g'\ c\ n)
 then have kind g' n = ConstantNode c
   using find-exists-kind local.ConstantNodeSame by blast
 then show ?case using ConstantNode by blast
next
 \mathbf{case} \ (\mathit{ConstantNodeNew} \ g \ c)
 then show ?case
   using ConstantNode IRNode.distinct(683) add-node-lookup by presburger
next
 case (ParameterNodeSame \ i \ s)
 then show ?case
   by (metis ParameterNode find-exists-kind find-exists-stamp)
 case (ParameterNodeNew\ g\ i\ s)
 then show ?case
   by (metis IRNode.distinct(2447) ParameterNode add-node-lookup)
 case (ConditionalNodeSame\ g\ ce\ g2\ c\ te\ g3\ t\ fe\ g4\ f\ s'\ n)
 then have k: kind g \nmid n = ConditionalNode \ c \ t f
   using find-exists-kind by blast
 have c: g4 \vdash c \simeq ce using local. ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 have t: g \nmid \vdash t \simeq te using local.ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 have f: g_4 \vdash f \simeq fe using local.ConditionalNodeSame unrep-unchanged
   using no-encoding by blast
 then show ?case using c t f
   using ConditionalNode\ k by blast
next
 case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
 moreover have ConditionalNode\ c\ t\ f \neq NoNode
   using unary-node.elims by blast
 ultimately have k: kind g' n = ConditionalNode c t f
   \mathbf{using}\ find\text{-}new\text{-}kind\ local.\ Conditional Node New
   by presburger
 then have c: g' \vdash c \simeq ce using local.ConditionalNodeNew unrep-unchanged
   using no-encoding
   by (metis\ ConditionalNodeNew.hyps(9)\ fresh-node-preserves-other-nodes)
 then have t: q' \vdash t \simeq te using local. Conditional Node New unrep-unchanged
   using no-encoding fresh-node-preserves-other-nodes
   by metis
 then have f: g' \vdash f \simeq fe using local. ConditionalNodeNew unrep-unchanged
   using no-encoding fresh-node-preserves-other-nodes
   by metis
 then show ?case using c \ t f
```

```
using ConditionalNode\ k by blast
next
 case (UnaryNodeSame\ g\ xe\ g'\ x\ s'\ op\ n)
 then have k: kind g' n = unary-node op x
   using find-exists-kind local. UnaryNodeSame by blast
 then have g' \vdash x \simeq xe using local. UnaryNodeSame by blast
 then show ?case using k
   apply (cases op)
   using AbsNode unary-node.simps(1) apply presburger
   using NegateNode unary-node.simps(3) apply presburger
   using NotNode unary-node.simps(2) apply presburger
   using LogicNegationNode unary-node.simps(4) apply presburger
   using NarrowNode unary-node.simps(5) apply presburger
   using SignExtendNode unary-node.simps(6) apply presburger
   using ZeroExtendNode unary-node.simps(7) by presburger
 case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
 moreover have unary-node op x \neq NoNode
   using unary-node.elims by blast
 ultimately have k: kind g' n = unary-node op x
   using find-new-kind local. UnaryNodeNew
   by presburger
 have x \in ids \ g2 \ using \ local. UnaryNodeNew
   using eval-contains-id by blast
 then have x \neq n using local. UnaryNodeNew(5) fresh-ids by blast
 have g' \vdash x \simeq xe using local. UnaryNodeNew fresh-node-preserves-other-nodes
   using \langle x \in ids \ g2 \rangle by blast
 then show ?case using k
   apply (cases op)
   using AbsNode unary-node.simps(1) apply presburger
   using NegateNode unary-node.simps(3) apply presburger
   using NotNode\ unary-node.simps(2) apply presburger
   using LogicNegationNode unary-node.simps(4) apply presburger
   using NarrowNode unary-node.simps(5) apply presburger
   using SignExtendNode unary-node.simps(6) apply presburger
   using ZeroExtendNode unary-node.simps(7) by presburger
next
 case (BinaryNodeSame\ q\ xe\ q2\ x\ ye\ q3\ y\ s'\ op\ n)
 then have k: kind g3 n = bin-node op x y
   using find-exists-kind by blast
 have x: g3 \vdash x \simeq xe using local.BinaryNodeSame unrep-unchanged
   using no-encoding by blast
 have y: g3 \vdash y \simeq ye using local.BinaryNodeSame unrep-unchanged
   using no-encoding by blast
 then show ?case using x \ y \ k apply (cases op)
   using AddNode bin-node.simps(1) apply presburger
   using MulNode\ bin-node.simps(2) apply presburger
   using SubNode\ bin-node.simps(3) apply presburger
   using AndNode bin-node.simps(4) apply presburger
```

```
using OrNode bin-node.simps(5) apply presburger
     using XorNode bin-node.simps(6) apply presburger
     using ShortCircuitOrNode bin-node.simps(7) apply presburger
     using LeftShiftNode bin-node.simps(8) apply presburger
     using RightShiftNode bin-node.simps(9) apply presburger
     using UnsignedRightShiftNode bin-node.simps(10) apply presburger
     using IntegerEqualsNode bin-node.simps(11) apply presburger
     using IntegerLessThanNode bin-node.simps(12) apply presburger
     using IntegerBelowNode bin-node.simps(13) by presburger
 next
   case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
   moreover have bin-node op x y \neq NoNode
     using bin-node.elims by blast
   ultimately have k: kind g' n = bin-node op x y
     using find-new-kind local.BinaryNodeNew
     by presburger
   then have k: kind q' n = bin-node op x y
     using find-exists-kind by blast
   have x: g' \vdash x \simeq xe using local.BinaryNodeNew unrep-unchanged
     using no-encoding
     by (meson fresh-node-preserves-other-nodes)
   have y: g' \vdash y \simeq ye using local.BinaryNodeNew unrep-unchanged
     using no-encoding
     by (meson fresh-node-preserves-other-nodes)
   then show ?case using x \ y \ k apply (cases op)
     using AddNode bin-node.simps(1) apply presburger
     using MulNode bin-node.simps(2) apply presburger
     using SubNode\ bin-node.simps(3) apply presburger
     using AndNode\ bin-node.simps(4) apply presburger
     using OrNode\ bin-node.simps(5) apply presburger
     using XorNode\ bin-node.simps(6) apply presburger
     \mathbf{using}\ \mathit{ShortCircuitOrNode}\ \mathit{bin-node.simps}(7)\ \mathbf{apply}\ \mathit{presburger}
     using LeftShiftNode bin-node.simps(8) apply presburger
     using RightShiftNode bin-node.simps(9) apply presburger
     using UnsignedRightShiftNode bin-node.simps(10) apply presburger
     using IntegerEqualsNode bin-node.simps(11) apply presburger
     {\bf using} \ {\it IntegerLessThanNode} \ bin-node.simps (12) \ {\bf apply} \ presburger
     using IntegerBelowNode bin-node.simps(13) by presburger
 next
   case (AllLeafNodes\ g\ n\ s)
   then show ?case using rep.LeafNode by blast
 qed
 done
lemma ref-refinement:
 assumes g \vdash n \simeq e_1
 assumes kind q n' = RefNode n
 shows g \vdash n' \unlhd e_1
 using assms RefNode
```

```
by (meson equal-refines graph-represents-expression-def)
lemma unrep-refines:
 assumes g \oplus e \leadsto (g', n)
 shows graph-refinement g g'
 using assms
 using graph-refinement-def subset-refines unrep-subset by blast
lemma add-new-node-refines:
 assumes n \notin ids g
 assumes g' = add-node n(k, s) g
 shows graph-refinement g g'
 using assms unfolding graph-refinement
 using fresh-node-subset subset-refines by presburger
lemma \ add-node-as-set:
 assumes g' = add-node n(k, s) g
 shows (\{n\} \leq as\text{-}set\ g) \subseteq as\text{-}set\ g'
 using assms unfolding as-set-def domain-subtraction-def
 using add-changed
 by (smt\ (z3)\ case-prodE\ change only.simps\ mem-Collect-eq\ prod.sel(1)\ subset I)
theorem refined-insert:
 assumes e_1 \geq e_2
 assumes g_1 \oplus e_2 \rightsquigarrow (g_2, n')
 shows (g_2 \vdash n' \leq e_1) \land graph\text{-refinement } g_1 \ g_2
 using assms
 using graph-construction term-graph-reconstruction by blast
lemma ids-finite: finite (ids g)
 using Rep-IRGraph ids.rep-eq by simp
lemma unwrap-sorted: set (sorted-list-of-set (ids g)) = ids g
 using Rep-IRGraph set-sorted-list-of-set ids-finite
 by blast
lemma find-none:
 assumes find-node-and-stamp g(k, s) = None
 shows \forall n \in ids \ g. \ kind \ g \ n \neq k \lor stamp \ g \ n \neq s
proof -
 have (\nexists n. n \in ids \ g \land (kind \ g \ n = k \land stamp \ g \ n = s))
    using assms unfolding find-node-and-stamp.simps using find-None-iff un-
wrap-sorted
   by (metis (mono-tags, lifting))
  then show ?thesis
   by blast
\mathbf{qed}
```

```
 \begin{array}{l} \textbf{method} \ \textit{ref-represents} \ \textbf{uses} \ \textit{node} = \\ (\textit{metis} \ \textit{IRNode.distinct(2755)} \ \textit{RefNode} \ \textit{dual-order.refl} \ \textit{find-new-kind} \ \textit{fresh-node-subset} \\ \textit{node} \ \textit{subset-implies-evals}) \end{array}
```

6.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

```
lemma same-kind-stamp-encodes-equal:
 assumes kind g n = kind g n'
 assumes stamp \ g \ n = stamp \ g \ n'
 assumes \neg(is\text{-}preevaluated\ (kind\ g\ n))
 shows \forall e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)
 apply (rule allI)
 subgoal for e
   apply (rule \ impI)
   subgoal premises eval using eval assms
    apply (induction \ e)
   using ConstantNode apply presburger
   using ParameterNode apply presburger
                    apply (metis ConditionalNode)
                    apply (metis AbsNode)
                   apply (metis NotNode)
                  apply (metis NegateNode)
                 apply (metis LogicNegationNode)
                apply (metis AddNode)
               apply (metis MulNode)
              apply (metis SubNode)
              apply (metis AndNode)
             apply (metis OrNode)
             apply (metis XorNode)
             apply (metis ShortCircuitOrNode)
           apply (metis LeftShiftNode)
          {\bf apply} \ (\textit{metis RightShiftNode})
          apply (metis UnsignedRightShiftNode)
         apply (metis IntegerBelowNode)
        \mathbf{apply} \ (metis \ IntegerEqualsNode)
       apply (metis IntegerLessThanNode)
      apply (metis NarrowNode)
```

```
apply (metis SignExtendNode)
     apply (metis ZeroExtendNode)
   defer
    apply (metis RefNode)
   by blast
   done
 done
lemma new-node-not-present:
  assumes find-node-and-stamp g (node, s) = None
 assumes n = get\text{-}fresh\text{-}id g
 assumes g' = add-node n \ (node, s) \ g
 shows \forall n' \in true\text{-}ids \ g. \ (\forall e. \ ((g \vdash n \simeq e) \land (g \vdash n' \simeq e)) \longrightarrow n = n')
 using assms
 using encode-in-ids fresh-ids by blast
lemma true-ids-def:
  true-ids\ g = \{n \in ids\ g.\ \neg(is-RefNode\ (kind\ g\ n)) \land ((kind\ g\ n) \neq NoNode)\}
 unfolding true-ids-def ids-def
 using ids-def is-RefNode-def by fastforce
lemma add-node-some-node-def:
  assumes k \neq NoNode
 assumes g' = add-node nid(k, s) g
 shows g' = Abs\text{-}IRGraph ((Rep\text{-}IRGraph g)(nid \mapsto (k, s)))
 using assms
 by (metis Rep-IRGraph-inverse add-node.rep-eq fst-conv)
\mathbf{lemma}\ ids\text{-}add\text{-}update\text{-}v1\text{:}
 assumes g' = add-node nid (k, s) g
 assumes k \neq NoNode
 shows dom (Rep-IRGraph g') = dom (Rep-IRGraph g) \cup \{nid\}
 using assms ids.rep-eq add-node-some-node-def
 by (simp add: add-node.rep-eq)
lemma ids-add-update-v2:
 assumes g' = add-node nid (k, s) g
 assumes k \neq NoNode
 shows nid \in ids \ g'
 using assms
 using find-new-kind ids-some by presburger
lemma add-node-ids-subset:
 assumes n \in ids g
 assumes g' = add-node n node g
 shows ids g' = ids g \cup \{n\}
 using assms unfolding add-node-def
 apply (cases fst \ node = NoNode)
 using ids.rep-eq replace-node.rep-eq replace-node-def apply auto[1]
```

```
ids.rep-eq ids-def insert-absorb mem-Collect-eq option.inject option.simps(3) re-
place-node.rep-eq replace-node-def sup-bot.right-neutral)
lemma convert-maximal:
 assumes \forall n \ n'. \ n \in true\text{-}ids \ g \land n' \in true\text{-}ids \ g \longrightarrow (\forall e \ e'. \ (g \vdash n \simeq e) \land (g \vdash n \simeq e))
n' \simeq e') \longrightarrow e \neq e'
 shows maximal-sharing g
 using assms
 using maximal-sharing by blast
lemma add-node-set-eq:
 assumes k \neq NoNode
 \mathbf{assumes}\ n \not\in \mathit{ids}\ g
 shows as-set (add\text{-}node\ n\ (k,\ s)\ q) = as\text{-}set\ q \cup \{(n,\ (k,\ s))\}
 using assms unfolding as-set-def add-node-def apply transfer apply simp
 by blast
lemma add-node-as-set-eq:
 assumes g' = add-node n(k, s) g
 assumes n \notin ids g
 shows (\{n\} \leq as\text{-}set\ g') = as\text{-}set\ g
 using assms unfolding domain-subtraction-def
 using add-node-set-eq
 by (smt (z3) Collect-cong Rep-IRGraph-inverse UnCI UnE add-node.rep-eq as-set-def
case-prodE2 case-prodI2 le-boolE le-boolI' mem-Collect-eq prod.sel(1) singletonD
singletonI)
lemma true-ids:
  true-ids\ g = ids\ g - \{n \in ids\ g.\ is-RefNode\ (kind\ g\ n)\}
 {f unfolding}\ true{\it -ids-def}
 by fastforce
\mathbf{lemma}\ as	ext{-}ids:
 assumes as-set q = as-set q'
 shows ids g = ids g'
 using assms
 by (metis antisym equalityD1 graph-refinement-def subset-refines)
lemma ids-add-update:
 assumes k \neq NoNode
 assumes n \notin ids \ q
 assumes g' = add-node n(k, s) g
 shows ids g' = ids g \cup \{n\}
 using assms apply (subst assms(3)) using add-node-set-eq as-set-ids
 by (smt (verit, del-insts) Collect-cong Diff-idemp Diff-insert-absorb Un-commute
add-node.rep-eq add-node-def ids.rep-eq ids-add-update-v1 ids-add-update-v2 insertE
insert-Collect insert-is-Un map-upd-Some-unfold mem-Collect-eq replace-node-def
```

by (smt (verit, best) Collect-cong Un-insert-right dom-fun-upd fst-conv fun-upd-apply

unfolding ids-def

```
replace-node-unchanged)
```

next

```
lemma true-ids-add-update:
 assumes k \neq NoNode
 assumes n \notin ids g
 assumes g' = add-node n(k, s) g
 assumes \neg(is\text{-}RefNode\ k)
 shows true-ids g' = true-ids g \cup \{n\}
 \mathbf{using}\ assms\ \mathbf{using}\ true\text{-}ids\ ids\text{-}add\text{-}update
  \mathbf{by}\ (smt\ (z3)\ Collect\text{-}cong\ Diff\text{-}iff\ Diff\text{-}insert\text{-}absorb\ Un\text{-}commute\ add\text{-}node\text{-}def
find-new-kind insert-Diff-if insert-is-Un mem-Collect-eq replace-node-def replace-node-unchanged)
lemma new-def:
 assumes (new \le as\text{-}set \ g') = as\text{-}set \ g
 shows n \in ids \ g \longrightarrow n \notin new
 using assms
 by (smt (z3) as-set-def case-prodD domain-subtraction-def mem-Collect-eq)
lemma add-preserves-rep:
 assumes unchanged: (new \le as\text{-}set \ g') = as\text{-}set \ g
 assumes closed: wf-closed g
 assumes existed: n \in ids \ g
 assumes g' \vdash n \simeq e
 shows g \vdash n \simeq e
proof (cases \ n \in new)
 case True
 have n \notin ids \ q
   using unchanged True unfolding as-set-def domain-subtraction-def
  then show ?thesis using existed by simp
next
 case False
 then have kind\text{-}eq: \forall n' . n' \notin new \longrightarrow kind g n' = kind g' n'
   — can be more general than stamp eq because NoNode default is equal
   using unchanged not-excluded-keep-type
   by (smt (z3) case-prodE domain-subtraction-def ids-some mem-Collect-eq sub-
setI)
 from False have stamp-eq: \forall n' \in ids \ g' \ . \ n' \notin new \longrightarrow stamp \ g \ n' = stamp \ g'
n'
   using unchanged not-excluded-keep-type
   by (metis\ equalityE)
 show ?thesis using assms(4) kind-eq stamp-eq False
 proof (induction n e rule: rep.induct)
   case (ConstantNode \ n \ c)
   then show ?case
     using rep. ConstantNode kind-eq by presburger
```

```
case (ParameterNode \ n \ i \ s)
   then show ?case
     {\bf using} \ rep. Parameter Node
     by (metis no-encoding)
  next
   case (ConditionalNode\ n\ c\ t\ f\ ce\ te\ fe)
   have kind: kind g n = ConditionalNode c t f
      using ConditionalNode.hyps(1) ConditionalNode.prems(3) kind-eq by pres-
burger
   then have isin: n \in ids g
     by simp
   have inputs: \{c, t, f\} = inputs g n
    \mathbf{using} \ kind \ \mathbf{unfolding} \ inputs.simps \ \mathbf{using} \ inputs-of\text{-}ConditionalNode \ \mathbf{by} \ simp
   have c \in ids \ g \land t \in ids \ g \land f \in ids \ g
     \mathbf{using}\ closed\ \mathbf{unfolding}\ \textit{wf-closed-def}
     using isin inputs by blast
   then have c \notin new \land t \notin new \land f \notin new
     using new-def unchanged by blast
   then show ?case using ConditionalNode apply simp
     using rep.ConditionalNode by presburger
 \mathbf{next}
   case (AbsNode \ n \ x \ xe)
   then have kind: kind g n = AbsNode x
     by simp
   then have isin: n \in ids \ g
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids \ g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case
     using AbsNode
     \mathbf{using}\ rep. AbsNode\ \mathbf{by}\ presburger
 next
   case (NotNode \ n \ x \ xe)
   then have kind: kind g \ n = NotNode \ x
     by simp
   then have isin: n \in ids g
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
```

```
then show ?case using NotNode
   using rep.NotNode by presburger
next
 case (NegateNode \ n \ x \ xe)
 then have kind: kind g n = NegateNode x
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using NegateNode
   using rep.NegateNode by presburger
next
 case (LogicNegationNode \ n \ x \ xe)
 then have kind: kind g n = LogicNegationNode x
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using LogicNegationNode
   using rep.LogicNegationNode by presburger
next
 case (AddNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = AddNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using AddNode
   using rep.AddNode by presburger
next
 case (MulNode \ n \ x \ y \ xe \ ye)
```

```
then have kind: kind g \ n = MulNode \ x \ y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using MulNode
   using rep.MulNode by presburger
next
 case (SubNode \ n \ x \ y \ xe \ ye)
 then have kind: kind q n = SubNode x y
   by simp
 then have isin: n \in ids \ g
   \mathbf{by} \ simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using SubNode
   using rep.SubNode by presburger
next
 case (AndNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = AndNode x y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using AndNode
   using rep.AndNode by presburger
next
 case (OrNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g \ n = OrNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
```

```
have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using OrNode
   using rep.OrNode by presburger
next
 case (XorNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g n = XorNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs \ q \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using XorNode
   using rep.XorNode by presburger
next
 case (ShortCircuitOrNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = ShortCircuitOrNode x y
   bv simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using ShortCircuitOrNode
   using rep.ShortCircuitOrNode by presburger
next
 case (LeftShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = LeftShiftNode x y
   by simp
 then have isin: n \in ids \ g
   \mathbf{by} \ simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
```

```
using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using LeftShiftNode
   using rep.LeftShiftNode by presburger
next
 case (RightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = RightShiftNode x y
   by simp
 then have isin: n \in ids g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using RightShiftNode
   using rep.RightShiftNode by presburger
next
 case (UnsignedRightShiftNode\ n\ x\ y\ xe\ ye)
 then have kind: kind \ g \ n = UnsignedRightShiftNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using UnsignedRightShiftNode
   using rep. UnsignedRightShiftNode by presburger
 case (IntegerBelowNode \ n \ x \ y \ xe \ ye)
 then have kind: kind g \ n = IntegerBelowNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   \mathbf{using}\ new\text{-}def\ unchanged\ \mathbf{by}\ blast
 then show ?case using IntegerBelowNode
```

```
using rep.IntegerBelowNode by presburger
next
 case (IntegerEqualsNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g \ n = IntegerEqualsNode \ x \ y
   by simp
 then have isin: n \in ids \ g
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using IntegerEqualsNode
   using rep.IntegerEqualsNode by presburger
 case (IntegerLessThanNode\ n\ x\ y\ xe\ ye)
 then have kind: kind g n = IntegerLessThanNode x y
   by simp
 then have isin: n \in ids \ q
   by simp
 have inputs: \{x, y\} = inputs g n
   using kind unfolding inputs.simps by simp
 have x \in ids \ g \land y \in ids \ g
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new \land y \notin new
   using new-def unchanged by blast
 then show ?case using IntegerLessThanNode
   using rep.IntegerLessThanNode by presburger
 case (NarrowNode\ n\ inputBits\ resultBits\ x\ xe)
 then have kind: kind g n = NarrowNode inputBits resultBits x
   by simp
 then have isin: n \in ids q
   by simp
 have inputs: \{x\} = inputs \ g \ n
   using kind unfolding inputs.simps by simp
 have x \in ids \ q
   using closed unfolding wf-closed-def
   using isin inputs by blast
 then have x \notin new
   using new-def unchanged by blast
 then show ?case using NarrowNode
   using rep.NarrowNode by presburger
 case (SignExtendNode\ n\ inputBits\ resultBits\ x\ xe)
 then have kind: kind \ g \ n = SignExtendNode \ inputBits \ resultBits \ x
```

```
by simp
   then have isin: n \in ids g
    \mathbf{by} \ simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case using SignExtendNode
     using rep.SignExtendNode by presburger
 next
   case (ZeroExtendNode\ n\ inputBits\ resultBits\ x\ xe)
   then have kind: kind g n = ZeroExtendNode inputBits resultBits x
     by simp
   then have isin: n \in ids q
     by simp
   have inputs: \{x\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have x \in ids \ g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have x \notin new
     using new-def unchanged by blast
   then show ?case using ZeroExtendNode
     using rep.ZeroExtendNode by presburger
 \mathbf{next}
   case (LeafNode \ n \ s)
   then show ?case
     by (metis no-encoding rep.LeafNode)
   case (RefNode \ n \ n' \ e)
   then have kind: kind g n = RefNode n'
     by simp
   then have isin: n \in ids q
     by simp
   have inputs: \{n'\} = inputs \ g \ n
     using kind unfolding inputs.simps by simp
   have n' \in ids g
     using closed unfolding wf-closed-def
     using isin inputs by blast
   then have n' \notin new
     using new-def unchanged by blast
   then show ?case
     using RefNode
     using rep.RefNode by presburger
 \mathbf{qed}
qed
```

```
lemma not-in-no-rep:
 n \notin ids \ g \Longrightarrow \forall \ e. \ \neg(g \vdash n \simeq e)
 using eval-contains-id by blast
lemma unary-inputs:
 assumes kind g n = unary-node op x
 shows inputs g n = \{x\}
 using assms by (cases op; auto)
lemma unary-succ:
 assumes kind g n = unary-node op x
 shows succ\ g\ n = \{\}
 using assms by (cases op; auto)
lemma binary-inputs:
 assumes kind \ g \ n = bin-node \ op \ x \ y
 shows inputs g n = \{x, y\}
 using assms by (cases op; auto)
lemma binary-succ:
 assumes kind g n = bin-node op x y
 shows succ \ g \ n = \{\}
 using assms by (cases op; auto)
lemma unrep-contains:
 assumes g \oplus e \leadsto (g', n)
 shows n \in ids \ g'
 using assms
 using not-in-no-rep term-graph-reconstruction by blast
{\bf lemma}\ unrep-preserves\text{-}contains:
 assumes n \in ids g
 assumes g \oplus e \leadsto (g', n')
 shows n \in ids g'
 using assms
 by (meson subsetD unrep-ids-subset)
{\bf lemma}\ unrep-preserves-closure:
 assumes wf-closed g
 assumes g \oplus e \leadsto (g', n)
 shows wf-closed g'
 \mathbf{using}\ assms(2,1)\ \mathbf{unfolding}\ \textit{wf-closed-def}
 proof (induction g \in (g', n) arbitrary: g' n)
   case (ConstantNodeSame\ g\ c\ n)
   then show ?case
     \mathbf{by} blast
```

```
next
   case (ConstantNodeNew\ g\ c\ n\ g')
   then have dom: ids g' = ids g \cup \{n\}
     by (meson IRNode.distinct(683) add-node-ids-subset ids-add-update)
   have k: kind q' n = ConstantNode c
     using ConstantNodeNew add-node-lookup by simp
   then have inp: \{\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ g' n
     unfolding succ.simps by simp
   have inputs g' n \subseteq ids g' \land succ g' n \subseteq ids g' \land kind g' n \neq NoNode
     using inp \ suc \ k by simp
   then show ?case
   \mathbf{by} \; (smt \; (verit) \; ConstantNodeNew.hyps(3) \; ConstantNodeNew.prems \; Un-insert-right
add\text{-}changed\ change only. elims (2)\ dom\ inputs. simps\ insert\text{-}iff\ singleton\text{-}iff\ subset\text{-}insertI
subset-trans succ.simps sup-bot-right)
 next
   case (ParameterNodeSame\ g\ i\ s\ n)
   then show ?case by blast
   case (ParameterNodeNew\ g\ i\ s\ n\ g')
   then have dom: ids g' = ids g \cup \{n\}
     using IRNode.distinct(2447) fresh-ids ids-add-update by presburger
   have k: kind g' n = ParameterNode i
     using ParameterNodeNew add-node-lookup by simp
   then have inp: \{\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ g' n
     unfolding succ.simps by simp
   \mathbf{have}\ \mathit{inputs}\ g'\ n\subseteq \mathit{ids}\ g' \land \mathit{succ}\ g'\ n\subseteq \mathit{ids}\ g' \land \mathit{kind}\ g'\ n\neq \mathit{NoNode}
     using k inp suc by simp
   then show ?case
   by (smt (verit) ParameterNodeNew.hyps(3) ParameterNodeNew.prems Un-insert-right
add-node-as-set dom inputs.elims insertE not-excluded-keep-type order-trans single-
tonD subset-insertI succ.elims sup-bot-right)
   case (ConditionalNodeSame g ce g2 c te g3 t fe g4 f s' n)
   then show ?case by blast
  next
   case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
   then have dom: ids g' = ids \ g_4 \cup \{n\}
     by (meson IRNode.distinct(591) add-node-ids-subset ids-add-update)
   have k: kind g' n = ConditionalNode\ c\ t\ f
     using ConditionalNodeNew add-node-lookup by simp
   then have inp: \{c, t, f\} = inputs g' n
     unfolding inputs.simps by simp
   from k have suc: \{\} = succ \ g' \ n
     unfolding succ.simps by simp
   have inputs g' n \subseteq ids \ g' \land succ \ g' \ n \subseteq ids \ g' \land kind \ g' \ n \neq NoNode
```

```
using ConditionalNodeNew(1,3,5,10)
      by (smt (verit) IRNode.simps(643) Un-insert-right bot.extremum dom in-
sert-absorb insert-subset subset-insertI sup-bot-right)
   then show ?case using dom
   by (smt\ (z3)\ ConditionalNodeNew.hyps(10)\ ConditionalNodeNew.hyps(2)\ Con-
ditional Node New. hyps(4) \ Conditional Node New. hyps(6) \ Conditional Node New. prems
Diff-eq-empty-iff Diff-iff Un-insert-right Un-upper1 add-node-def inputs.simps in-
sertE\ replace-node-def\ replace-node-unchanged\ subset-trans\ succ.simps\ sup-bot-right)
 next
   case (UnaryNodeSame\ g\ xe\ g2\ x\ s'\ op\ n)
   then show ?case by blast
 next
   case (UnaryNodeNew\ g\ xe\ g2\ x\ s'\ op\ n\ g')
   then have dom: ids g' = ids g2 \cup \{n\}
     by (metis add-node-ids-subset add-node-lookup ids-add-update ids-some un-
rep. UnaryNodeNew unrep-contains)
   have k: kind g' n = unary-node op x
    using UnaryNodeNew\ add-node-lookup
    by (metis fresh-ids ids-some)
   then have inp: \{x\} = inputs g' n
    using unary-inputs by simp
   from k have suc: \{\} = succ \ g' \ n
     using unary-succ by simp
   have inputs g' n \subseteq ids g' \land succ g' n \subseteq ids g' \land kind g' n \neq NoNode
    using k in p suc unrep-contains unrep-preserves-contains
    using UnaryNodeNew(1,6)
       by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty in-
sert-subset I not-in-g-inputs subset-iff)
   then show ?case
   by (smt\ (verit)\ Un-insert-right\ UnaryNodeNew.hyps(2)\ UnaryNodeNew.hyps(6)
UnaryNodeNew.prems\ add-changed\ changeonly.elims(2)\ dom\ inputs.simps\ insert-iff
singleton-iff subset-insertI subset-trans succ.simps sup-bot-right)
   case (BinaryNodeSame\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n)
   then show ?case by blast
 next
   case (BinaryNodeNew\ g\ xe\ g2\ x\ ye\ g3\ y\ s'\ op\ n\ g')
   then have dom: ids g' = ids g3 \cup \{n\}
      by (metis binary-inputs fresh-ids ids-add-update ids-some insert-not-empty
not-in-g-inputs)
   have k: kind g' n = bin-node op x y
    using BinaryNodeNew add-node-lookup
    by (metis fresh-ids ids-some)
   then have inp: \{x, y\} = inputs g' n
    using binary-inputs by simp
   from k have suc: \{\} = succ \ g' \ n
    using binary-succ by simp
   have inputs g' n \subseteq ids \ g' \land succ \ g' \ n \subseteq ids \ g' \land kind \ g' \ n \neq NoNode
```

using k in p suc unrep-contains unrep-preserves-contains

```
using k inp suc unrep-contains unrep-preserves-contains
     using BinaryNodeNew(1,3,6)
        by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty in-
sert-subset I not-in-g-inputs subset-iff)
   then show ?case using dom BinaryNodeNew
     \mathbf{by} \ (smt \ (verit, \ del\text{-}insts) \ Diff\text{-}eq\text{-}empty\text{-}iff \ Diff\text{-}iff \ Un\text{-}insert\text{-}right \ Un\text{-}upper1
add-node-definputs. simps\ insert E\ replace-node-def\ replace-node-unchanged\ subset-trans
succ.simps sup-bot-right)
 next
   case (AllLeafNodes\ g\ n\ s)
   then show ?case
     by blast
 qed
inductive-cases ConstUnrepE: g \oplus (ConstantExpr x) \leadsto (g', n)
definition constant-value where
  constant-value = (IntVal \ 32 \ 0)
definition bad-graph where
  bad-graph = irgraph
   (0, AbsNode 1, constantAsStamp constant-value),
   (1, RefNode 2, constantAsStamp constant-value),
   (2, ConstantNode constant-value, constantAsStamp constant-value)
```

 \mathbf{end}

7 Control-flow Semantics

```
theory IRStepObj
imports
TreeToGraph
begin
```

7.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the H[f][p] heap representation. See $\cite{heap-reps-2011}$. We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

```
type-synonym ('a, 'b) Heap = 'a \Rightarrow 'b \Rightarrow Value

type-synonym Free = nat

type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap \times Free

fun h-load-field :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) DynamicHeap \Rightarrow Value where

h-load-field fr (h, n) = h fr

fun h-store-field :: 'a \Rightarrow 'b \Rightarrow Value \Rightarrow ('a, 'b) DynamicHeap \Rightarrow ('a, 'b)

DynamicHeap where

h-store-field fr v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap \Rightarrow ('a, 'b) DynamicHeap \times Value

where

h-new-inst (h, n) = ((h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap
```

```
definition new-heap :: ('a, 'b) DynamicHeap where
new-heap = ((\lambda f. \lambda p. UndefVal), 0)
```

7.2 Intraprocedural Semantics

```
fun find-index :: 'a \Rightarrow 'a \ list \Rightarrow nat \ \mathbf{where}
 find-index v(x \# xs) = (if(x=v) then 0 else find-index v(xs+1)
fun phi-list :: IRGraph \Rightarrow ID \Rightarrow ID list where
  phi-list q n =
    (filter (\lambda x.(is-PhiNode\ (kind\ g\ x)))
      (sorted-list-of-set\ (usages\ g\ n)))
fun input-index :: IRGraph \Rightarrow ID \Rightarrow ID \Rightarrow nat where
  input-index g \ n \ n' = find-index n' \ (input s-of (kind \ g \ n))
fun phi-inputs :: IRGraph \Rightarrow nat \Rightarrow ID \ list \Rightarrow ID \ list where
  phi-inputs g \ i \ nodes = (map \ (\lambda n. \ (inputs-of \ (kind \ g \ n))!(i+1)) \ nodes)
fun set-phis :: ID list \Rightarrow Value\ list \Rightarrow MapState \Rightarrow MapState\ where
  set-phis [] [] <math>m = m
  set-phis (n \# xs) (v \# vs) m = (set-phis xs vs (m(n := v)))
  set-phis [] (v # vs) m = m |
  set-phis (x \# xs) [] m = m
```

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (ID, MethodState, Heap), is related to the subsequent configuration.

inductive $step :: IRGraph \Rightarrow Params \Rightarrow (ID \times MapState \times FieldRefHeap) \Rightarrow (ID \times MapState \times FieldRef$

```
\times MapState \times FieldRefHeap) \Rightarrow bool
 (-, -\vdash -\to -55) for g p where
  SequentialNode:
  [is-sequential-node\ (kind\ g\ nid);
   nid' = (successors-of (kind g nid))!0
   \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid
  IfNode:
  [kind\ g\ nid = (IfNode\ cond\ tb\ fb);
   g \vdash cond \simeq condE;
   [m, p] \vdash condE \mapsto val;
   nid' = (if \ val\ -to\ -bool \ val \ then \ tb \ else \ fb)]
   \implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
  EndNodes:
  [is-AbstractEndNode\ (kind\ g\ nid);
   merge = any-usage g nid;
   is-AbstractMergeNode (kind g merge);
   i = find\text{-}index\ nid\ (inputs\text{-}of\ (kind\ g\ merge));
   phis = (phi-list\ g\ merge);
   inps = (phi-inputs \ g \ i \ phis);
    g \vdash inps \simeq_L inpsE;
   [m, p] \vdash inpsE \mapsto_L vs;
   m' = set-phis phis vs m
   \implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid
  NewInstanceNode:
   [kind\ g\ nid\ =\ (NewInstanceNode\ nid\ f\ obj\ nid');
     (h', ref) = h-new-inst h;
     m' = m(nid := ref)
   \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid
  LoadFieldNode:
    \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');
      g \vdash obj \simeq objE;
      [m, p] \vdash objE \mapsto ObjRef ref;
     h-load-field f ref h = v;
     m' = m(nid := v)
   \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid
  SignedDivNode:
   [kind\ g\ nid\ =\ (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);
     g \vdash x \simeq xe;
     g \vdash y \simeq ye;
     [m, p] \vdash xe \mapsto v1;
```

```
m' = m(nid := v)
    \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h)
  SignedRemNode:
    \llbracket kind \ g \ nid = (SignedRemNode \ nid \ x \ y \ zero \ sb \ nxt);
      g \vdash x \simeq xe;
      g \vdash y \simeq ye;
      [m, p] \vdash xe \mapsto v1;
      [m,\ p] \vdash ye \mapsto v\mathcal{2};
      v = (intval - mod \ v1 \ v2);
      m' = m(nid := v)
    \implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid
  StaticLoadFieldNode:
    [kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid');
      h-load-field f None h = v;
      m' = m(nid := v)
    \implies g,\; p \vdash (\mathit{nid},\; m,\; h) \to (\mathit{nid}',\; m',\; h) \mid
  StoreFieldNode:
    \llbracket kind \ g \ nid = (StoreFieldNode \ nid \ f \ newval - (Some \ obj) \ nid');
      g \vdash newval \simeq newvalE;
      g \vdash obj \simeq objE;
      [m, p] \vdash newvalE \mapsto val;
      [m, p] \vdash objE \mapsto ObjRef ref;
      h' = h-store-field f ref val h;
      m' = m(nid := val)
    \Longrightarrow g,\ p \vdash (\mathit{nid},\ m,\ h) \rightarrow (\mathit{nid}',\ m',\ h') \ |
  StaticStoreFieldNode:
    [kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval\ -\ None\ nid');
      g \vdash newval \simeq newvalE;
      [m, p] \vdash newvalE \mapsto val;
      h' = h-store-field f None val h;
      m' = m(nid := val)
    \implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
code-pred (modes: i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool) step.
7.3 Interprocedural Semantics
```

 $[m, p] \vdash ye \mapsto v2;$ $v = (intval-div \ v1 \ v2);$

```
type-synonym Signature = string
type-synonym\ Program = Signature 
ightharpoonup IRGraph
```

inductive step-top :: $Program \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times ID \times MapState \times Params$ $FieldRefHeap \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap \Rightarrow$

```
bool
  (-\vdash -\longrightarrow -55)
 for P where
  Lift:
  \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket
    \implies P \vdash ((g,nid,m,p)\#stk, h) \longrightarrow ((g,nid',m',p)\#stk, h') \mid
  InvokeNodeStep:
  [is-Invoke\ (kind\ g\ nid);
    callTarget = ir\text{-}callTarget (kind g nid);
    kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);
    Some \ targetGraph = P \ targetMethod;
    m' = new-map-state;
    g \vdash arguments \simeq_L argsE;
    [m, p] \vdash argsE \mapsto_L p'
    \implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk, h)
  ReturnNode:
  \llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);
    g \vdash expr \simeq e;
    [m, p] \vdash e \mapsto v;
    cm' = cm(cnid := v);
    cnid' = (successors-of (kind cg cnid))!0
   \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,h) \longrightarrow ((cg,cnid',cm',cp)\#stk,h) \mid
  ReturnNodeVoid:
  \llbracket kind\ g\ nid = (ReturnNode\ None\ -);
    cm' = cm(cnid := (ObjRef (Some (2048))));
    cnid' = (successors-of (kind cg cnid))!0
   \Longrightarrow P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk,\ h) \longrightarrow ((cg,cnid',cm',cp)\#stk,\ h) \mid
  UnwindNode:
  [kind\ g\ nid = (UnwindNode\ exception);
    g \vdash exception \simeq exceptionE;
    [m, p] \vdash exceptionE \mapsto e;
    kind\ cg\ cnid = (InvokeWithExceptionNode - - - - - exEdge);
    cm' = cm(cnid := e)
  \implies P \vdash ((g,nid,m,p)\#(cg,cnid,cm,cp)\#stk, h) \longrightarrow ((cg,exEdge,cm',cp)\#stk, h)
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) step-top.
```

7.4 Big-step Execution

```
type-synonym Trace = (IRGraph \times ID \times MapState \times Params) list
fun has-return :: MapState \Rightarrow bool where
  has\text{-}return \ m = (m \ 0 \neq UndefVal)
inductive \ exec :: Program
      \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
      \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
      \Rightarrow Trace
      \Rightarrow bool
  (- ⊢ - | - →* - | -)
  for P
  where
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    \neg(has\text{-}return\ m');
    l' = (l @ [(g,nid,m,p)]);
    exec\ P\ (((g',nid',m',p')\#ys),h')\ l'\ next-state\ l'']
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ next-state\ l'''
  \llbracket P \vdash (((g,nid,m,p)\#xs),h) \longrightarrow (((g',nid',m',p')\#ys),h');
    has-return m';
    l' = (l @ [(g,nid,m,p)])]
    \implies exec\ P\ (((g,nid,m,p)\#xs),h)\ l\ (((g',nid',m',p')\#ys),h')\ l'
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool \ as \ Exec) exec.
\mathbf{inductive}\ \mathit{exec-debug} :: \mathit{Program}
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow (IRGraph \times ID \times MapState \times Params) \ list \times FieldRefHeap
     \Rightarrow bool
  (-⊢-→*-* -)
  where
  [n > 0;
    p \vdash s \longrightarrow s';
    exec\text{-}debug\ p\ s'\ (n-1)\ s''
    \implies exec\text{-}debug\ p\ s\ n\ s''
  [n = \theta]
    \implies exec\text{-}debug\ p\ s\ n\ s
code-pred (modes: i \Rightarrow i \Rightarrow o \Rightarrow bool) exec-debug.
```

7.4.1 Heap Testing

IRStepObj

```
definition p3:: Params where
 p3 = [IntVal \ 32 \ 3]
values {(prod.fst(prod.snd (prod.snd (hd (prod.fst res))))) 0
     | res. (\lambda x. Some \ eg2\text{-}sq) \vdash ([(eg2\text{-}sq,0,new\text{-}map\text{-}state,p3), (eg2\text{-}sq,0,new\text{-}map\text{-}state,p3)],
new-heap) \rightarrow *2* res
definition field-sq :: string where
 field-sq = "sq"
definition eg3-sq :: IRGraph where
  eg3-sq = irgraph
    (0, StartNode None 4, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (3, MulNode 1 1, default-stamp),
   (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
   (5, ReturnNode (Some 3) None, default-stamp)
values {h-load-field field-sq None (prod.snd res)
          | res. (\lambda x. Some \ eg3-sq) \vdash ([(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0, new-map-state, p3))
new-map-state, p3)], new-heap) \rightarrow *3* res}
definition eg4-sq :: IRGraph where
  \mathit{eg4}\text{-}\mathit{sq} = \mathit{irgraph} \ [
   (0, StartNode None 4, VoidStamp),
   (1, ParameterNode 0, default-stamp),
   (3, MulNode 1 1, default-stamp),
   (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True),
   (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
   (6, ReturnNode (Some 3) None, default-stamp)
values \{h\text{-load-field field-sq }(Some \ \theta) \ (prod.snd \ res) \mid res.
               (\lambda x. \ Some \ eg4\text{-}sq) \vdash ([(eg4\text{-}sq, \ 0, \ new\text{-}map\text{-}state, \ p3), \ (eg4\text{-}sq, \ 0, \ new\text{-}map\text{-}state, \ p3))
new-map-state, p3], new-heap) \rightarrow *3* res}
end
        Control-flow Semantics Theorems
theory IRStepThms
 imports
```

```
{\it Tree To Graph Thms} \\ {\bf begin}
```

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

7.5.1 Control-flow Step is Deterministic

```
theorem stepDet:
  (g, p \vdash (nid, m, h) \rightarrow next) \Longrightarrow
  (\forall next'. ((g, p \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next'))
proof (induction rule: step.induct)
  case (SequentialNode nid next m h)
 have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-IfNode-def)
  have notend: \neg(is-AbstractEndNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis\ is-AbstractEndNode.simps\ is-EndNode.elims(2)\ is-LoopEndNode-def)
  have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ q\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-NewInstanceNode-def)
  have notload: \neg(is\text{-}LoadFieldNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-LoadFieldNode-def)
  have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
   using SequentialNode.hyps(1) is-sequential-node.simps
   by (metis is-StoreFieldNode-def)
 have notdivrem: \neg (is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
     using SequentialNode.hyps(1) is-sequential-node.simps is-SignedDivNode-def
is-SignedRemNode-def
   by (metis is-IntegerDivRemNode.simps)
  from notif notend notnew notload notstore notdivrem
 show ?case using SequentialNode step.cases
  by (smt (z3) IRNode.disc(1028) IRNode.disc(2270) IRNode.discI(31) Pair-inject
is-sequential-node.simps(18) is-sequential-node.simps(43) is-sequential-node.simps(44))
next
  case (IfNode nid cond tb fb m val next h)
  then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   {\bf using} \ is-sequential-node. simps \ is-AbstractMergeNode. simps
   by (simp\ add:\ IfNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   \mathbf{by}\ (\mathit{simp}\ \mathit{add}\colon \mathit{IfNode.hyps}(\mathit{1}))
  have not divrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ IfNode.hyps(1))
  from notseq notend notdivrem show ?case using IfNode repDet evalDet IRN-
```

```
ode.distinct IRNode.inject(11) Pair-inject step.simps
           by (smt (z3) IRNode.distinct IRNode.inject(12) Pair-inject step.simps)
next
      case (EndNodes nid merge i phis inputs m \ vs \ m' \ h)
      have not seq: \neg (is-sequential-node (kind q nid))
           using EndNodes.hyps(1) is-AbstractEndNode.simps is-sequential-node.simps
           by (metis is-EndNode.elims(2) is-LoopEndNode-def)
      have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
            using EndNodes.hyps(1) is-IfNode-def is-AbstractEndNode.elims
           by (metis\ IRNode.distinct-disc(1058)\ is-EndNode.simps(12))
      have notref: \neg(is\text{-}RefNode\ (kind\ g\ nid))
           using EndNodes.hyps(1) is-sequential-node.simps
                   using IRNode.disc(1899) IRNode.distinct(1473) is-AbstractEndNode.simps
is\text{-}EndNode.elims(2)\ is\text{-}LoopEndNode\text{-}def\ is\text{-}RefNode\text{-}def
           by metis
      have notnew: \neg(is\text{-}NewInstanceNode\ (kind\ q\ nid))
           using EndNodes.hyps(1) is-AbstractEndNode.simps
        using IRNode. distinct-disc(1442) is-EndNode. simps(29) is-NewInstanceNode-def
           by (metis\ IRNode.distinct-disc(1901)\ is-EndNode.simps(32))
      have notload: \neg(is\text{-}LoadFieldNode\ (kind\ g\ nid))
           using EndNodes.hyps(1) is-AbstractEndNode.simps
           using is-LoadFieldNode-def
           by (metis\ IRNode.distinct-disc(1706)\ is-EndNode.simps(21))
      have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
            using EndNodes.hyps(1) is-AbstractEndNode.simps is-StoreFieldNode-def
           by (metis IRNode.distinct-disc(1926) is-EndNode.simps(44))
      have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
        \textbf{using} \ EndNodes. hyps (1) \ is - AbstractEndNode. simps \ is - SignedDivNode-def \ is - SignedRemNode-def \ is - Si
        \mathbf{using}\ IRNode. distinct-disc (1498)\ IRNode. distinct-disc (1500)\ is\text{-}Integer DivRemNode. simps (1500)\ is-Integer DivRemNode. distinct-disc (1498)\ IRNode. distinct-disc (1500)\ is-Integer DivRemNode. distinct-disc (1498)\ IRNode. distinct-disc (1500)\ is-Integer DivRemNode. distinct-disc (1498)\ IRNode. distinct-disc (1500)\ is-Integer DivRemNode. disc (1500)\ is-Integer DivRem
is-EndNode.simps(36) is-EndNode.simps(37)
           by auto
      from notseq notif notref notnew notload notstore notdivrem
      show ?case using EndNodes repAllDet evalAllDet
        \textbf{by} \ (smt \ (z3) \ is \textit{-} If Node-def \ is \textit{-} LoadFieldNode-def \ is \textit{-} New InstanceNode-def \ is \textit{-} RefNode-def \ is \textit{-} New InstanceNode-def \ is \textit{-} New InstanceNode-def
is-StoreFieldNode-def is-SignedDivNode-def is-SignedRemNode-def Pair-inject is-IntegerDivRemNode.elims(3)
step.cases)
next
      case (NewInstanceNode nid f obj nxt h' ref h m' m)
      then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
            \mathbf{using}\ is\text{-}sequential\text{-}node.simps\ is\text{-}AbstractMergeNode.simps}
           by (simp\ add:\ NewInstanceNode.hyps(1))
      have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
           using is-AbstractMergeNode.simps
           by (simp\ add:\ NewInstanceNode.hyps(1))
      have notif: \neg(is\text{-}IfNode\ (kind\ g\ nid))
            using is-AbstractMergeNode.simps
           by (simp add: NewInstanceNode.hyps(1))
```

have notref: $\neg(is\text{-}RefNode\ (kind\ g\ nid))$ using is-AbstractMergeNode.simps

```
by (simp add: NewInstanceNode.hyps(1))
  have notload: \neg(is\text{-}LoadFieldNode\ (kind\ g\ nid))
   using is-AbstractMergeNode.simps
   by (simp\ add:\ NewInstanceNode.hyps(1))
  have notstore: \neg(is\text{-}StoreFieldNode\ (kind\ g\ nid))
   using is-AbstractMergeNode.simps
   by (simp\ add:\ NewInstanceNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractMergeNode.simps
   by (simp add: NewInstanceNode.hyps(1))
  from notseq notend notif notref notload notstore notdivrem
 show ?case using NewInstanceNode step.cases
    by (smt (z3) IRNode.disc(1028) IRNode.disc(2270) IRNode.discI(11) IRN-
ode.distinct(2311) IRNode.distinct(2313) IRNode.inject(31) Pair-inject)
next
  case (LoadFieldNode nid f obj nxt m ref h v m')
 then have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ q\ nid))
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ LoadFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ LoadFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   using is-AbstractEndNode.simps
   by (simp add: LoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using LoadFieldNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1051) IRNode.distinct(1721) IRNode.distinct(1739)
IRNode.distinct(1741) IRNode.distinct(1745) IRNode.inject(20) Pair-inject Value.inject(2)
option.distinct(1) \ option.inject)
next
  case (StaticLoadFieldNode\ nid\ f\ nxt\ h\ v\ m'\ m)
 then have notseq: \neg(is\text{-sequential-node }(kind \ g \ nid))
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ StaticLoadFieldNode.hyps(1))
 have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp add: StaticLoadFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp\ add:\ StaticLoadFieldNode.hyps(1))
  from notseq notend notdivrem
 {f show}? case using StaticLoadFieldNode step. cases
  by (smt (z3) IRNode.distinct(1051) IRNode.distinct(1721) IRNode.distinct(1739)
IRNode.distinct(1741)\ IRNode.distinct(1745)\ IRNode.inject(20)\ Pair-inject\ option.distinct(1))
  case (StoreFieldNode nid f newval uu obj nxt m val ref h' h m')
  then have notseg: \neg(is\text{-}sequential\text{-}node\ (kind\ q\ nid))
   {\bf using} \ is\mbox{-}sequential\mbox{-}node.simps \ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ StoreFieldNode.hyps(1))
```

```
have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   \mathbf{using}\ is\text{-}AbstractEndNode.simps
   by (simp add: StoreFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ g\ nid))
   by (simp add: StoreFieldNode.hyps(1))
  from notseq notend notdivrem
 show ?case using StoreFieldNode step.cases repDet evalDet
  by (smt (23) IRNode.distinct(1097) IRNode.distinct(1745) IRNode.distinct(2317)
IRNode.distinct(2605)\ IRNode.distinct(2627)\ IRNode.inject(43)\ Pair-inject\ Value.inject(2)
option.distinct(1) \ option.inject)
next
  case (StaticStoreFieldNode nid f newval uv nxt m val h' h m')
  then have notseg: \neg(is\text{-sequential-node (kind q nid)})
   {\bf using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp add: StaticStoreFieldNode.hyps(1))
  have notdivrem: \neg(is\text{-}IntegerDivRemNode\ (kind\ q\ nid))
   by (simp\ add:\ StaticStoreFieldNode.hyps(1))
  from notseq notend notdivrem
 show ?case using StoreFieldNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1097) IRNode.distinct(1745) IRNode.distinct(2317)
IRNode.distinct(2605) IRNode.distinct(2627) IRNode.inject(43) Pair-inject Static-
StoreFieldNode.hyps(1) StaticStoreFieldNode.hyps(2) StaticStoreFieldNode.hyps(3)
StaticStoreFieldNode.hyps(4) StaticStoreFieldNode.hyps(5) option.distinct(1)
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq: \neg(is\text{-sequential-node (kind g nid)})
   {f using}\ is\mbox{-}sequential\mbox{-}node.simps\ is\mbox{-}AbstractMergeNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ q\ nid))
   using is-AbstractEndNode.simps
   by (simp\ add:\ SignedDivNode.hyps(1))
  from notseq notend
 show ?case using SignedDivNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1091) IRNode.distinct(1739) IRNode.distinct(2311)
IRNode.distinct(2601) IRNode.distinct(2605) IRNode.inject(40) Pair-inject)
next
  case (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt\ m\ v1\ v2\ v\ m'\ h)
  then have notseq: \neg(is\text{-}sequential\text{-}node\ (kind\ g\ nid))
   \mathbf{using}\ is\text{-}sequential\text{-}node.simps\ is\text{-}AbstractMergeNode.simps}
   by (simp\ add:\ SignedRemNode.hyps(1))
  have notend: \neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))
   \mathbf{using}\ is\text{-}AbstractEndNode.simps
   by (simp\ add:\ SignedRemNode.hyps(1))
  from notseg notend
  show ?case using SignedRemNode step.cases repDet evalDet
  by (smt (z3) IRNode.distinct(1093) IRNode.distinct(1741) IRNode.distinct(2313)
```

```
IRNode.distinct(2601) IRNode.distinct(2627) IRNode.inject(41) Pair-inject)
qed
lemma stepRefNode:
 \llbracket kind \ g \ nid = RefNode \ nid' \rrbracket \Longrightarrow g, \ p \vdash (nid,m,h) \rightarrow (nid',m,h)
 using SequentialNode
 by (metis IRNodes.successors-of-RefNode is-sequential-node.simps(7) nth-Cons-0)
\mathbf{lemma}\ \mathit{IfNodeStepCases}:
 assumes kind \ g \ nid = IfNode \ cond \ tb \ fb
 assumes g \vdash cond \simeq condE
 assumes [m, p] \vdash condE \mapsto v
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows nid' \in \{tb, fb\}
 using step.IfNode repDet stepDet assms
 by (metis insert-iff old.prod.inject)
lemma IfNodeSeq:
 shows kind g nid = IfNode cond to fb \longrightarrow \neg (is-sequential-node (kind g nid))
 unfolding is-sequential-node.simps
 using is-sequential-node.simps(18) by presburger
lemma IfNodeCond:
  assumes kind \ g \ nid = IfNode \ cond \ tb \ fb
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m, h)
 shows \exists \ condE \ v. \ ((g \vdash cond \simeq condE) \land ([m, p] \vdash condE \mapsto v))
 using assms(2,1) by (induct\ (nid,m,h)\ (nid',m,h)\ rule:\ step.induct;\ auto)
lemma step-in-ids:
 assumes g, p \vdash (nid, m, h) \rightarrow (nid', m', h')
 shows nid \in ids \ g
 using assms apply (induct (nid, m, h) (nid', m', h') rule: step.induct)
 using is-sequential-node.simps(45) not-in-g
 apply simp
 apply (metis\ is-sequential-node.simps(53))
 using ids-some
 using IRNode.distinct(1113) apply presburger
 using EndNodes(1) is-AbstractEndNode.simps is-EndNode.simps(45) ids-some
 apply (metis\ IRNode.disc(1218)\ is\text{-}EndNode.simps(52))
 by simp+
end
7.6
       Evaluation Stamp Theorems
theory StampEvalThms
 \mathbf{imports} \ \mathit{Graph.ValueThms}
         Semantics. IR Tree Eval Thms \\
```

begin

```
lemma unrestricted-new-int-always-valid [simp]:
 assumes \theta < b \land b \le 64
 shows valid-value (new-int b v) (unrestricted-stamp (IntegerStamp b lo hi))
 unfolding unrestricted-stamp.simps new-int.simps valid-value.simps
  by (simp; metis One-nat-def assms int-power-div-base int-signed-value.simps
int-signed-value-range linorder-not-le not-exp-less-eq-0-int zero-less-numeral)
lemma \ unary-undef: val = UndefVal \Longrightarrow unary-eval \ op \ val = UndefVal
 by (cases op; auto)
lemma unary-obj: val = ObjRef x \Longrightarrow unary-eval op val = UndefVal
 by (cases op; auto)
lemma unrestricted-stamp-valid:
 assumes s = unrestricted-stamp (IntegerStamp b lo hi)
 assumes \theta < b \land b \leq 64
 shows valid-stamp s
 using assms
  by (smt\ (z3)\ Stamp.inject(1)\ bit-bounds.simps\ not-exp-less-eq-0-int\ prod.sel(1)
prod.sel(2) \ unrestricted-stamp.simps(2) \ upper-bounds-equiv valid-stamp.elims(1))
lemma unrestricted-stamp-valid-value [simp]:
 assumes 1: result = IntVal \ b \ ival
 assumes take-bit b ival = ival
 assumes 0 < b \land b \leq 64
 shows valid-value result (unrestricted-stamp (IntegerStamp b lo hi))
proof -
 have valid-stamp (unrestricted-stamp (IntegerStamp b lo hi))
   using assms unrestricted-stamp-valid by blast
 then show ?thesis
   unfolding 1 unrestricted-stamp.simps valid-value.simps
   using assms int-signed-value-bounds by presburger
qed
7.6.1
        Support Lemmas for Integer Stamps and Associated IntVal
        values
Valid int implies some useful facts.
lemma valid-int-gives:
 assumes valid-value (IntVal b val) stamp
 obtains lo hi where stamp = IntegerStamp \ b \ lo \ hi \ \land
     valid-stamp (IntegerStamp b lo hi) \land
     take-bit b val = val \land
```

 $lo \leq int$ -signed-value b $val \wedge int$ -signed-value b $val \leq hi$

by (smt (z3) Value.distinct(7) Value.inject(1) valid-value.elims(1))

using assms

And the corresponding lemma where we know the stamp rather than the value.

```
lemma valid-int-stamp-gives:
 assumes valid-value val (IntegerStamp b lo hi)
 obtains ival where val = IntVal \ b \ ival \ \land
      valid-stamp (IntegerStamp \ b \ lo \ hi) \land
      take-bit b ival = ival \wedge
      lo \leq int-signed-value b ival \wedge int-signed-value b ival \leq hi
 by (metis assms valid-int valid-value.simps(1))
A valid int must have the expected number of bits.
lemma valid-int-same-bits:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows b = bits
 by (meson\ assms\ valid-value.simps(1))
A valid value means a valid stamp.
lemma valid-int-valid-stamp:
  assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows valid-stamp (IntegerStamp bits lo hi)
 by (metis\ assms\ valid-value.simps(1))
A valid int means a valid non-empty stamp.
lemma valid-int-not-empty:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows lo \leq hi
 by (metis assms order.trans valid-value.simps(1))
A valid int fits into the given number of bits (and other bits are zero).
lemma valid-int-fits:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows take-bit bits val = val
 by (metis \ assms \ valid-value.simps(1))
lemma valid-int-is-zero-masked:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows and val (not (mask bits)) = 0
 by (metis (no-types, lifting) assms bit.conj-cancel-right take-bit-eq-mask valid-int-fits
           word-bw-assocs(1) word-log-esimps(1))
Unsigned into have bounds 0 up to 2^bits.
lemma valid-int-unsigned-bounds:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows uint \ val < 2 \ \hat{} \ bits
 \mathbf{by}\ (\mathit{metis}\ \mathit{assms}(1)\ \mathit{mask-eq-iff}\ \mathit{take-bit-eq-mask}\ \mathit{valid-value}.\mathit{simps}(1))
```

```
Signed into have the usual two-complement bounds.
lemma valid-int-signed-upper-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows int-signed-value bits val < 2 \hat{\ } (bits - 1)
 {f by}\ (metis\ (mono\text{-}tags,\ opaque\text{-}lifting)\ diff\text{-}le\text{-}mono\ int\text{-}signed\text{-}value.} simps\ less\text{-}imp\text{-}diff\text{-}less
    linorder-not-le\ one-le-numeral\ order-less-le-trans\ power-increasing\ signed-take-bit-int-less-exp-word
sint-lt)
lemma valid-int-signed-lower-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows -(2 \cap (bits - 1)) \leq int\text{-signed-value bits val}
 by (smt (verit) diff-le-self int-signed-value.simps linorder-not-less power-increasing-iff
signed-take-bit-int-greater-eq-minus-exp-word sint-greater-eq)
and bit bounds versions of the above bounds.
lemma valid-int-signed-upper-bit-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows int-signed-value bits val \leq snd (bit-bounds bits)
proof -
 have b = bits using assms valid-int-same-bits by blast
 then show ?thesis
   using assms by force
lemma valid-int-signed-lower-bit-bound:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
 shows fst (bit-bounds bits) \leq int-signed-value bits val
proof -
 have b = bits using assms valid-int-same-bits by blast
 then show ?thesis
   using assms by force
qed
Valid values satisfy their stamp bounds.
lemma valid-int-signed-range:
 assumes valid-value (IntVal b val) (IntegerStamp bits lo hi)
```

7.6.2 Validity of all Unary Operators

by $(metis\ assms\ valid-value.simps(1))$

We split the validity proof for unary operators into two lemmas, one for normal unary operators whose output bits equals their input bits, and the other case for the widen and narrow operators.

shows $lo \leq int$ -signed-value bits $val \wedge int$ -signed-value bits $val \leq hi$

```
lemma eval-normal-unary-implies-valid-value:

assumes [m,p] \vdash expr \mapsto val

assumes result = unary-eval op val
```

```
assumes op: op \in normal-unary
 assumes result \neq UndefVal
 assumes valid-value val (stamp-expr expr)
 shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
 obtain b1 v1 where v1: val = IntVal \ b1 \ v1
  by (metis\ Value.exhaust\ assms(1)\ assms(2)\ assms(4)\ assms(5)\ evaltree-not-undef
unary-obj\ valid-value.simps(11))
 then obtain b2 v2 where v2: result = IntVal b2 v2
   using assms(2) assms(4) is-IntVal-def unary-eval-int by presburger
 then have result = unary-eval \ op \ (Int Val \ b1 \ v1)
   using assms(2) v1 by blast
 then obtain vtmp where vtmp: result = new-int \ b2 \ vtmp
   using assms(3) v2 by auto
 obtain b' lo' hi' where stamp-expr expr = IntegerStamp b' lo' hi'
   by (metis assms(5) v1 valid-int-gives)
 then have stamp-unary op (stamp-expr\ expr) =
   unrestricted-stamp
    (IntegerStamp (if op \in normal-unary then b' else ir-resultBits op) lo' hi')
   using stamp-unary.simps(1) by presburger
  then obtain lo2\ hi2 where s: (stamp-expr\ (UnaryExpr\ op\ expr)) = unre-
stricted-stamp (IntegerStamp b2 lo2 hi2)
   unfolding stamp-expr.simps
   using vtmp op
  by (smt\ (verit,\ best)\ Value.inject(1)\ ((result::Value) = unary-eval\ (op::IRUnaryOp)
(IntVal\ (b1::nat)\ (v1::64\ word)) \land (stamp-expr\ (expr::IRExpr) = IntegerStamp\ (b'::nat)
(lo'::int) (hi'::int) assms(5) insertE intval-abs.simps(1) intval-logic-negation.simps(1)
intval-negate.simps(1) intval-not.simps(1) new-int.elims singleton-iff unary-eval.simps(1)
unary-eval.simps(2) \ unary-eval.simps(3) \ unary-eval.simps(4) \ v1 \ valid-int-same-bits)
 then have 0 < b1 \land b1 \le 64
   using valid-int-gives
   by (metis\ assms(5)\ v1\ valid-stamp.simps(1))
 then have fst\ (bit\text{-}bounds\ b2) \leq int\text{-}signed\text{-}value\ b2\ v2\ \land
           int-signed-value b2 v2 \le snd (bit-bounds b2)
  by (smt (verit, del-insts) Stamp.inject(1) assms(3) assms(5) int-signed-value-bounds
s stamp-expr.simps(1) stamp-unary.simps(1) unrestricted-stamp.simps(2) v1 valid-int-gives)
 then show ?thesis
   unfolding s v2 unrestricted-stamp.simps valid-value.simps
    by (smt\ (z3)\ assms(3)\ assms(5)\ is\text{-}stamp\text{-}empty.simps(1)\ new\text{-}int\text{-}take\text{-}bits\ s
stamp-expr.simps(1) stamp-unary.simps(1) unrestricted-stamp.simps(2) v1 v2 valid-int-qives
valid-stamp.simps(1) vtmp)
qed
lemma narrow-widen-output-bits:
 assumes unary-eval op val \neq UndefVal
 assumes op \notin normal\text{-}unary
 shows 0 < (ir\text{-}resultBits\ op) \land (ir\text{-}resultBits\ op) \leq 64
proof -
 consider ib ob where op = UnaryNarrow ib ob
```

```
ib \ ob \ \mathbf{where} \ op = \mathit{UnarySignExtend} \ ib \ ob
        ib \ ob \ \mathbf{where} \ op = \mathit{UnaryZeroExtend} \ ib \ ob
   using IRUnaryOp.exhaust-sel assms(2) by blast
  then show ?thesis
  proof (cases)
   case 1
   then show ?thesis using assms intval-narrow-ok by force
  next
   case 2
   then show ?thesis using assms intval-sign-extend-ok by force
 next
   then show ?thesis using assms intval-zero-extend-ok by force
 qed
qed
\mathbf{lemma}\ eval\text{-}widen\text{-}narrow\text{-}unary\text{-}implies\text{-}valid\text{-}value:}
 assumes [m,p] \vdash expr \mapsto val
 assumes result = unary-eval \ op \ val
 assumes op: op \notin normal-unary
 assumes result \neq UndefVal
 assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
 obtain b1 v1 where v1: val = IntVal \ b1 \ v1
  by (metis Value.exhaust assms(1) assms(2) assms(4) assms(5) evaltree-not-undef
unary-obj\ valid-value.simps(11))
  then have result = unary-eval \ op \ (Int Val \ b1 \ v1)
   using assms(2) v1 by blast
  then obtain v2 where v2: result = new-int (ir-resultBits op) <math>v2
   using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain v3 where v3: result = IntVal (ir-resultBits op) <math>v3
   using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain lo2\ hi2 where s: (stamp-expr\ (UnaryExpr\ op\ expr)) = unre-
stricted-stamp (IntegerStamp (ir-resultBits op) lo2 hi2)
   unfolding stamp-expr.simps stamp-unary.simps
   using assms(3) assms(5) v1 valid-int-gives by fastforce
  then have outBits: 0 < (ir\text{-resultBits op}) \land (ir\text{-resultBits op}) \leq 64
   using assms narrow-widen-output-bits
   by blast
 then have fst (bit-bounds (ir-resultBits op)) \leq int-signed-value (ir-resultBits op)
v3 \wedge
          int-signed-value (ir-resultBits op) v3 \le snd (bit-bounds (ir-resultBits op))
   using int-signed-value-bounds
  by (smt\ (verit,\ del\text{-}insts)\ Stamp.inject(1)\ assms(3)\ assms(5)\ int\text{-}signed\text{-}value\text{-}bounds
s stamp-expr.simps(1) stamp-unary.simps(1) unrestricted-stamp.simps(2) v1 valid-int-qives)
  then show ?thesis
   unfolding \ s \ v3 \ unrestricted-stamp.simps \ valid-value.simps
```

```
using outBits v2 v3 by auto
qed
{f lemma}\ eval\hbox{-}unary\hbox{-}implies\hbox{-}valid\hbox{-}value:
 assumes [m,p] \vdash expr \mapsto val
 assumes result = unary-eval \ op \ val
 assumes result \neq UndefVal
 assumes valid-value val (stamp-expr expr)
 shows valid-value result (stamp-expr (UnaryExpr op expr))
 proof (cases op \in normal\text{-}unary)
   {\bf case}\  \, True
   then show ?thesis by (metis assms eval-normal-unary-implies-valid-value)
 next
   case False
  then show ?thesis by (metis assms eval-widen-narrow-unary-implies-valid-value)
 qed
         Support Lemmas for Binary Operators
lemma binary-undef: v1 = UndefVal \lor v2 = UndefVal \Longrightarrow bin-eval op v1 v2 =
UndefVal
 by (cases op; auto)
lemma binary-obj: v1 = ObjRef \ x \lor v2 = ObjRef \ y \Longrightarrow bin-eval \ op \ v1 \ v2 =
UndefVal
 by (cases op; auto)
Some lemmas about the three different output sizes for binary operators.
lemma bin-eval-bits-binary-shift-ops:
 \mathbf{assumes} \ \mathit{result} = \mathit{bin\text{-}eval} \ \mathit{op} \ (\mathit{IntVal} \ \mathit{b1} \ \mathit{v1}) \ (\mathit{IntVal} \ \mathit{b2} \ \mathit{v2})
 assumes result \neq UndefVal
 \mathbf{assumes}\ \mathit{op} \in \mathit{binary-shift-ops}
 shows \exists v. result = new-int b1 v
 using assms
 by (cases op; simp; smt (verit, best) new-int.simps)+
lemma bin-eval-bits-fixed-32-ops:
 assumes result = bin-eval \ op \ (IntVal \ b1 \ v1) \ (IntVal \ b2 \ v2)
 assumes result \neq UndefVal
 assumes op \in binary-fixed-32-ops
 shows \exists v. result = new-int 32 v
 using assms
 apply (cases op; simp)
 using assms bool-to-val.simps bin-eval-new-int new-int.simps bin-eval-unused-bits-zero
 by metis+
lemma bin-eval-bits-normal-ops:
 assumes result = bin-eval \ op \ (Int Val \ b1 \ v1) \ (Int Val \ b2 \ v2)
 assumes result \neq UndefVal
```

```
assumes op \notin binary\text{-}shift\text{-}ops
 assumes op \notin binary-fixed-32-ops
 shows \exists v. result = new-int b1 v
 using assms apply (cases op; simp)
 using assms apply (metis (mono-tags))+
 using take-bit-and apply metis
 using take-bit-or apply metis
 using take-bit-xor by metis
lemma bin-eval-input-bits-equal:
 assumes result = bin-eval \ op \ (IntVal \ b1 \ v1) \ (IntVal \ b2 \ v2)
 assumes result \neq UndefVal
 assumes op \notin binary\text{-}shift\text{-}ops
 shows b1 = b2
 using assms apply (cases op; simp)
 by presburger+
{f lemma}\ bin-eval-implies-valid-value:
 assumes [m,p] \vdash expr1 \mapsto val1
 assumes [m,p] \vdash expr2 \mapsto val2
 assumes result = bin-eval \ op \ val1 \ val2
 assumes result \neq UndefVal
 assumes valid-value val1 (stamp-expr expr1)
 assumes valid-value val2 (stamp-expr expr2)
 shows valid-value result (stamp-expr (BinaryExpr op expr1 expr2))
proof -
 obtain b1 v1 where v1: val1 = IntVal \ b1 \ v1
  by (metis\ Value.collapse(1)\ assms(3)\ assms(4)\ bin-eval-inputs-are-ints\ bin-eval-int)
 obtain b2 v2 where v2: val2 = IntVal b2 v2
  by (metis\ Value.collapse(1)\ assms(3)\ assms(4)\ bin-eval-inputs-are-ints\ bin-eval-int)
 then obtain lo1 hi1 where s1: stamp-expr expr1 = IntegerStamp b1 lo1 hi1
   by (metis assms(5) v1 valid-int-gives)
 then obtain lo2\ hi2 where s2: stamp-expr\ expr2 = IntegerStamp\ b2\ lo2\ hi2
   by (metis assms(6) v2 valid-int-gives)
 then have r: result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
   using assms(3) v1 v2 by blast
 then obtain bres vtmp where vtmp: result = new-int bres vtmp
   using assms bin-eval-bits-binary-shift-ops
   by (meson bin-eval-new-int)
 then obtain vres where vres: result = IntVal\ bres\ vres
   by force
 then have sres: stamp-expr (BinaryExpr op expr1 expr2) =
           unrestricted-stamp (IntegerStamp bres lo1 hi1)
         \land 0 < bres \land bres \leq 64
   proof (cases op \in binary-shift-ops)
     case True
     then show ?thesis
```

```
unfolding s1 s2 stamp-binary.simps stamp-expr.simps
      \mathbf{using}\ \mathit{assms}\ \mathit{bin-eval-bits-binary-shift-ops}
      by (metis Value.inject(1) eval-bits-1-64 new-int.simps r v1 vres)
   next
     case False
     then have op \notin binary\text{-}shift\text{-}ops
      by simp
     then have beq: b1 = b2
      using v1 v2 assms bin-eval-input-bits-equal by simp
     then show ?thesis
     proof (cases op \in binary-fixed-32-ops)
      case True
      then show ?thesis
      unfolding s1 s2 stamp-binary.simps stamp-expr.simps
      using assms bin-eval-bits-fixed-32-ops
        by (metis False Value.inject(1) beg bin-eval-new-int le-add-same-cancel1
new-int.simps numeral-Bit0 vres zero-le-numeral zero-less-numeral)
     next
      case False
      then show ?thesis
      unfolding s1 s2 stamp-binary.simps stamp-expr.simps
      using assms
    \textbf{by} \ (\textit{metis beq bin-eval-new-int-eval-bits-1-64 intval-bits.simps unrestricted-new-int-always-valid}
unrestricted-stamp.simps(2) v1 valid-int-same-bits vres)
   qed
 qed
 then show ?thesis
   unfolding vres
   using unrestricted-new-int-always-valid vres vtmp by presburger
qed
7.6.4 Validity of Stamp Meet and Join Operators
lemma stamp-meet-integer-is-valid-stamp:
 assumes valid-stamp stamp1
 assumes valid-stamp\ stamp\ 2
 assumes is-IntegerStamp stamp1
 assumes is-IntegerStamp stamp2
 shows valid-stamp (meet stamp1 stamp2)
 {\bf using} \ assms \ {\bf unfolding} \ is\mbox{-} IntegerStamp-def \ valid-stamp. simps \ meet. simps
 by (smt\ (verit,\ del-insts)\ meet.simps(2)\ valid-stamp.simps(1)\ valid-stamp.simps(8))
\mathbf{lemma}\ stamp	ext{-}meet	ext{-}is	ext{-}valid	ext{-}stamp:
 assumes 1: valid-stamp stamp1
 assumes 2: valid-stamp stamp2
 shows valid-stamp (meet stamp1 stamp2)
 by (cases stamp1; cases stamp2; insert stamp-meet-integer-is-valid-stamp[OF 1
```

2]; auto)

```
lemma stamp-meet-commutes: meet <math>stamp1 stamp2 = meet stamp2 stamp1
 by (cases stamp1; cases stamp2; auto)
lemma stamp-meet-is-valid-value1:
 assumes valid-value val stamp1
 assumes valid-stamp stamp2
 assumes stamp1 = IntegerStamp \ b1 \ lo1 \ hi1
 assumes stamp2 = IntegerStamp \ b2 \ lo2 \ hi2
 assumes meet \ stamp1 \ stamp2 \neq IllegalStamp
 shows valid-value val (meet stamp1 stamp2)
proof -
 have m: meet stamp1 stamp2 = IntegerStamp b1 (min lo1 lo2) (max hi1 hi2)
   using assms by (metis\ meet.simps(2))
 obtain ival where val: val = IntVal b1 ival
   using assms valid-int by blast
 then have v: valid-stamp (IntegerStamp b1 lo1 hi1) \land
      take-bit b1 \ ival = ival \land
      lo1 \leq int-signed-value b1 ival \wedge int-signed-value b1 ival \leq hi1
   using assms by (metis\ valid-value.simps(1))
 then have mm: min lo1 lo2 \leq int-signed-value b1 ival \wedge int-signed-value b1 ival
≤ max hi1 hi2
   by linarith
 then have valid-stamp (IntegerStamp b1 (min lo1 lo2) (max hi1 hi2))
   using assms\ v\ stamp-meet-is-valid-stamp
   by (metis\ meet.simps(2))
 then show ?thesis
   unfolding m val valid-value.simps
   using mm \ v by presburger
qed
and the symmetric lemma follows by the commutativity of meet.
{f lemma}\ stamp	ext{-}meet	ext{-}is	ext{-}valid	ext{-}value:
 assumes valid-value val stamp2
 assumes valid-stamp stamp1
 assumes stamp1 = IntegerStamp \ b1 \ lo1 \ hi1
 assumes stamp2 = IntegerStamp \ b2 \ lo2 \ hi2
 assumes meet stamp1 stamp2 \neq IllegalStamp
 shows valid-value val (meet stamp1 stamp2)
 using assms stamp-meet-commutes stamp-meet-is-valid-value1
 by metis
7.6.5 Validity of conditional expressions
\mathbf{lemma}\ conditional\text{-}eval\text{-}implies\text{-}valid\text{-}value:}
 assumes [m,p] \vdash cond \mapsto condv
 assumes expr = (if \ val - to - bool \ condv \ then \ expr1 \ else \ expr2)
 assumes [m,p] \vdash expr \mapsto val
 assumes val \neq UndefVal
```

```
assumes valid-value condv (stamp-expr cond)
 assumes valid-value val (stamp-expr expr)
 assumes compatible (stamp-expr expr1) (stamp-expr expr2)
 shows valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))
proof -
 have def: meet (stamp-expr expr1) (stamp-expr expr2) \neq IllegalStamp
   using assms
  by (metis\ Stamp.\ distinct(13)\ Stamp.\ distinct(25)\ compatible.\ elims(2)\ meet.\ simps(1)
meet.simps(2))
 then have valid-stamp (meet (stamp-expr expr1) (stamp-expr expr2))
   using assms
  by (smt\ (verit,\ best)\ compatible.elims(2)\ stamp-meet-is-valid-stamp\ valid-stamp.simps(2))
 then show ?thesis using stamp-meet-is-valid-value
   using assms def
  by (smt (verit, best) compatible.elims(2) never-void stamp-expr.simps(6) stamp-meet-commutes)
qed
```

7.6.6 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp_expr operators to require that all input stamps are valid.

```
definition wf-stamp :: IRExpr \Rightarrow bool where
 wf-stamp e = (\forall m \ p \ v. \ ([m, p] \vdash e \mapsto v) \longrightarrow valid-value \ v \ (stamp-expr \ e))
lemma stamp-under-defn:
 assumes stamp-under (stamp-expr x) (stamp-expr y)
 assumes wf-stamp x \land wf-stamp y
 assumes ([m, p] \vdash x \mapsto xv) \land ([m, p] \vdash y \mapsto yv)
 shows val-to-bool (bin-eval BinIntegerLessThan xv yv)
proof -
 have yval: valid-value yv (stamp-expr y)
   using assms wf-stamp-def by blast
 obtain b lx hi where xstamp: stamp-expr x = IntegerStamp b lx hi
   using assms(1)
   by (metis\ stamp-under.elims(2))
 then obtain lo hy where ystamp: stamp-expr y = IntegerStamp b lo hy
   using assms(1)
   by (metis\ Stamp.sel(1)\ stamp-under.elims(2))
 obtain xvv where xvv: xv = IntVal \ b \ xvv
   by (metis assms(2) assms(3) valid-int wf-stamp-def xstamp)
 then have xval: valid-value (IntVal b xvv) (stamp-expr x)
   using assms(2) assms(3) wf-stamp-def by blast
 obtain yvv where yvv: yv = IntVal \ b \ yvv
   by (metis valid-int ystamp yval)
 then have xval: valid-value (IntVal b yvv) (stamp-expr y)
   using yval by auto
```

```
have xunder: int-signed-value b xvv \le hi
   using xvv xval valid-value.simps
   by (metis assms(2) assms(3) wf-stamp-def xstamp)
 have yunder: lo \leq int\text{-}signed\text{-}value b yvv
   using yvv yval valid-value.simps
   by (metis ystamp)
 have unwrap: \forall cond. bool-to-val-bin b b cond = bool-to-val cond
   by simp
 from xunder yunder have int-signed-value b xvv < int-signed-value b yvv
   using assms(1) xstamp ystamp by auto
 then have (intval\text{-}less\text{-}than\ xv\ yv) = IntVal\ 32\ 1
   using xvv yvv
   using intval-less-than.simps(1) unwrap
   using bool-to-val.simps(1) by presburger
 then show ?thesis
   by simp
\mathbf{qed}
lemma stamp-under-defn-inverse:
 assumes stamp-under (stamp-expr y) (stamp-expr x)
 assumes wf-stamp x \land wf-stamp y
 assumes ([m, p] \vdash x \mapsto xv) \land ([m, p] \vdash y \mapsto yv)
 shows \neg(val\text{-}to\text{-}bool\ (bin\text{-}eval\ BinIntegerLessThan\ xv\ yv))
proof -
 have yval: valid-value yv (stamp-expr y)
   using assms wf-stamp-def by blast
 obtain b lo hx where xstamp: stamp-expr \ x = IntegerStamp \ b \ lo \ hx
   using assms(1)
   by (metis\ stamp-under.elims(2))
 then obtain ly hi where ystamp: stamp-expr y = IntegerStamp \ b \ ly \ hi
   using assms(1)
   by (metis\ Stamp.sel(1)\ stamp-under.elims(2))
 obtain xvv where xvv: xv = IntVal \ b \ xvv
   by (metis assms(2) assms(3) valid-int wf-stamp-def xstamp)
 then have xval: valid-value (IntVal b xvv) (stamp-expr x)
   using assms(2) assms(3) wf-stamp-def by blast
 obtain yvv where yvv: yv = IntVal \ b \ yvv
   by (metis valid-int ystamp yval)
 then have xval: valid-value (IntVal b yvv) (stamp-expr y)
   using yval by auto
 have yunder: int-signed-value b yvv \le hi
   \mathbf{using}\ yvv\ yval\ valid\text{-}value.simps
   by (metis ystamp)
 have xover: lo \leq int-signed-value b xvv
   using xvv xval valid-value.simps
   by (metis assms(2) assms(3) wf-stamp-def xstamp)
 have unwrap: \forall cond. bool-to-val-bin b b cond = bool-to-val cond
   by simp
 from xover yunder have int-signed-value b yvv < int-signed-value b xvv
```

```
using assms(1) xstamp ystamp by auto
 then have (intval\text{-}less\text{-}than\ xv\ yv) = IntVal\ 32\ 0
   using xvv yvv
   using intval-less-than.simps(1) unwrap
   by force
 then show ?thesis
   by simp
qed
end
     Optization DSL
8.1 Markup
theory Markup
 imports Semantics. IRTreeEval Snippets. Snipping
begin
datatype 'a Rewrite =
  Transform 'a 'a (- \longmapsto -10)
 Conditional 'a 'a bool (- \longmapsto - when - 11)
 Sequential 'a Rewrite 'a Rewrite |
 Transitive 'a Rewrite
datatype 'a ExtraNotation =
 ConditionalNotation 'a 'a 'a (-?-:-50)
 EqualsNotation 'a 'a (- eq -) |
 ConstantNotation 'a (const - 120) |
 TrueNotation (true)
 FalseNotation (false) \mid
 ExclusiveOr 'a 'a (- \oplus -)
 LogicNegationNotation 'a (!-) \mid
 ShortCircuitOr 'a 'a (- || -)
definition word :: ('a::len) \ word \Rightarrow 'a \ word \ \mathbf{where}
 word x = x
ML-file \langle markup.ML \rangle
8.1.1 Expression Markup
\mathbf{ML} \leftarrow
structure\ IRExprTranslator: DSL\text{-}TRANSLATION =
\textit{fun markup DSL-Tokens.Add} = @\{\textit{term BinaryExpr}\} \$ @\{\textit{term BinAdd}\}
   markup\ DSL\text{-}Tokens.Mul = @\{term\ BinaryExpr\} \$ @\{term\ BinMul\}
  markup\ DSL\text{-}Tokens.And = @\{term\ BinaryExpr\} \$ @\{term\ BinAnd\}
```

```
markup\ DSL\text{-}Tokens.Or = @\{term\ BinaryExpr\} \$ @\{term\ BinOr\}
   markup\ DSL\text{-}Tokens.Xor = @\{term\ BinaryExpr\} \$ @\{term\ BinXor\}
  | markup \ DSL\text{-}Tokens.ShortCircuitOr = @\{term \ BinaryExpr\}  $ @\{term \ BinaryExpr\} 
ShortCircuitOr}
  | markup\ DSL\text{-}Tokens.Abs = @\{term\ UnaryExpr\} \$ @\{term\ UnaryAbs\}
 | markup\ DSL-Tokens.Less = @\{term\ BinaryExpr\} \$ @\{term\ BinIntegerLessThan\} 
 | markup\ DSL-Tokens.Equals = @\{term\ BinaryExpr\} \$ @\{term\ BinIntegerEquals\}
   markup\ DSL\text{-}Tokens.Not = @\{term\ UnaryExpr\} \$ @\{term\ UnaryNot\}
   markup\ DSL\text{-}Tokens.Negate = @\{term\ UnaryExpr\} \$ @\{term\ UnaryNeg\}
   markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ UnaryExpr\} \$ @\{term\ UnaryLog-warnersetation \} 
icNegation
 | markup\ DSL\text{-}Tokens.LeftShift = @\{term\ BinaryExpr\} \$ @\{term\ BinLeftShift\} 
 | markup\ DSL\text{-}Tokens.RightShift = @\{term\ BinaryExpr\} \$ @\{term\ BinRightShift\} 
 | markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ BinaryExpr\} \$ @\{term\ BinaryExpr\} \}
URightShift
   markup\ DSL\text{-}Tokens.Conditional = @\{term\ ConditionalExpr\}
   markup\ DSL-Tokens. Constant = @\{term\ ConstantExpr\}
   markup\ DSL\text{-}Tokens.TrueConstant = @\{term\ ConstantExpr\ (IntVal\ 32\ 1)\}
   markup\ DSL\text{-}Tokens.FalseConstant = @\{term\ ConstantExpr\ (IntVal\ 32\ 0)\}
structure\ IRExprMarkup = DSL-Markup(IRExprTranslator);
   ir expression translation
   syntax - expandExpr :: term \Rightarrow term (exp[-])
   parse-translation \leftarrow [( @\{syntax-const\}
                                                         -expandExpr
                                                                                IREx-
   prMarkup.markup-expr [])] \rightarrow
   ir expression example
   value exp[(e_1 < e_2) ? e_1 : e_2]
    Conditional Expr (Binary Expr BinInteger Less Than e_1 e_2) e_1 e_2
8.1.2
         Value Markup
\mathbf{ML}
structure\ IntValTranslator: DSL-TRANSLATION =
struct
fun \ markup \ DSL-Tokens.Add = @\{term \ intval-add\}
   markup\ DSL\text{-}Tokens.Sub = @\{term\ intval\text{-}sub\}
   markup\ DSL-Tokens.Mul = @\{term\ intval-mul\}
   markup\ DSL\text{-}Tokens.And = @\{term\ intval\text{-}and\}
   markup\ DSL-Tokens.Or = @\{term\ intval\text{-}or\}
   markup\ DSL\text{-}Tokens.ShortCircuitOr = @\{term\ intval\text{-}short\text{-}circuit\text{-}or\}
   markup\ DSL\text{-}Tokens.Xor = @\{term\ intval\text{-}xor\}
   markup\ DSL\text{-}Tokens.Abs = @\{term\ intval\text{-}abs\}
```

 $markup\ DSL\text{-}Tokens.Less = @\{term\ intval\text{-}less\text{-}than\}$

```
markup\ DSL-Tokens.Equals = @\{term\ intval\text{-}equals\}
   markup\ DSL\text{-}Tokens.Not = @\{term\ intval\text{-}not\}
   markup\ DSL\text{-}Tokens.Negate = @\{term\ intval\text{-}negate\}
   markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ intval\text{-}logic\text{-}negation\}
   markup\ DSL-Tokens.LeftShift = @\{term\ intval-left-shift\}
   markup\ DSL\text{-}Tokens.RightShift = @\{term\ intval\text{-}right\text{-}shift\}
   markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ intval\text{-}uright\text{-}shift\}
   markup\ DSL\text{-}Tokens.Conditional = @\{term\ intval\text{-}conditional\}
   markup\ DSL\text{-}Tokens.Constant = @\{term\ IntVal\ 32\}
   markup\ DSL	ext{-}Tokens.TrueConstant = @\{term\ IntVal\ 32\ 1\}
   markup\ DSL-Tokens.FalseConstant = @\{term\ IntVal\ 32\ 0\}
structure\ IntValMarkup = DSL-Markup(IntValTranslator);
    value expression translation
    syntax - expandIntVal :: term \Rightarrow term (val[-])
    parse-translation \leftarrow [( @\{syntax-const -expandIntVal\} ]
                                                                                  Int Val-
    Markup.markup-expr [])] \rightarrow
    value expression example
    value val[(e_1 < e_2) ? e_1 : e_2]
    intval-conditional (intval-less-than e_1 e_2) e_1 e_2
```

8.1.3 Word Markup

 $ML \ \langle$

```
structure WordTranslator : DSL-TRANSLATION = struct
```

```
fun \ markup \ DSL-Tokens.Add = @\{term \ plus\}
   markup \ DSL\text{-}Tokens.Sub = @\{term \ minus\}
   markup\ DSL\text{-}Tokens.Mul = @\{term\ times\}
 | \ markup \ DSL\text{-}Tokens. And = @\{term \ Bit\text{-}Operations. semiring-bit\text{-}operations-class. and}\} \\
   markup\ DSL\text{-}Tokens.Or = @\{term\ or\}
   markup\ DSL\text{-}Tokens.Xor = @\{term\ xor\}
   markup\ DSL\text{-}Tokens.Abs = @\{term\ abs\}
   markup\ DSL\text{-}Tokens.Less = @\{term\ less\}
   markup\ DSL\text{-}Tokens.Equals = @\{term\ HOL.eq\}
   markup\ DSL-Tokens.Not = @\{term\ not\}
   markup\ DSL\text{-}Tokens.Negate = @\{term\ uminus\}
   markup\ DSL\text{-}Tokens.LogicNegate = @\{term\ logic\text{-}negate\}
   markup\ DSL-Tokens.LeftShift = @\{term\ shiftl\}
   markup\ DSL\text{-}Tokens.RightShift = @\{term\ signed\text{-}shiftr\}
   markup\ DSL\text{-}Tokens.UnsignedRightShift = @\{term\ shiftr\}
   markup\ DSL\text{-}Tokens.Constant = @\{term\ word\}
   markup\ DSL-Tokens. TrueConstant = @\{term\ 1\}
```

```
| markup \ DSL-Tokens.FalseConstant = @\{term \ 0\}
end
structure\ WordMarkup = DSL-Markup(WordTranslator);
    word\ expression\ translation
    syntax - expandWord :: term \Rightarrow term (bin[-])
    parse-translation \leftarrow [( @{syntax-const}]
                                                        -expandWord
                                                                                Word-
    Markup.markup-expr [])] \rightarrow
    word\ expression\ example
    value bin[x \& y \mid z]
    intval-conditional (intval-less-than e_1 e_2) e_1 e_2
value bin[-x]
value val[-x]
value exp[-x]
value bin[!x]
value val[!x]
value exp[!x]
value bin[\neg x]
value val[\neg x]
value exp[\neg x]
value bin[^{\sim}x]
value val[^{\sim}x]
value exp[^{\sim}x]
value ^{\sim}x
\quad \text{end} \quad
8.2
       Optimization Phases
theory Phase
 imports Main
begin
ML-file map.ML
\mathbf{ML}	ext{-file}\ phase.ML
end
```

8.3 Canonicalization DSL

```
theory Canonicalization
 imports
   Markup
   Phase
   HOL-Eisbach.Eisbach
 keywords
   phase :: thy-decl and
   terminating :: quasi-command and
   print-phases :: diag and
   export-phases :: thy-decl and
   optimization::thy-goal-defn
begin
print-methods
\mathbf{ML} \langle
datatype 'a Rewrite =
  Transform of 'a * 'a
  Conditional of 'a * 'a * term \mid
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite
type\ rewrite = \{
 name: binding,
 rewrite: term Rewrite,
 proofs: thm list,
 code: thm list,
 source:\ term
structure\ RewriteRule: Rule =
struct
type T = rewrite;
fun\ pretty-rewrite\ ctxt\ (Transform\ (from,\ to)) =
     Pretty.block [
       Syntax.pretty\text{-}term\ ctxt\ from,
       Pretty.str \mapsto ,
       Syntax.pretty-term ctxt to
 | pretty-rewrite ctxt (Conditional (from, to, cond)) =
     Pretty.block [
       Syntax.pretty-term ctxt from,
       Pretty.str \mapsto,
       Syntax.pretty\text{-}term\ ctxt\ to,
       Pretty.str\ when,
       Syntax.pretty-term ctxt cond
```

```
| pretty-rewrite - - = Pretty.str not implemented*)
fun pretty-thm ctxt thm =
 (Proof-Context.pretty-fact\ ctxt\ (,\ [thm]))
fun\ pretty\ ctxt\ obligations\ t=
 let
   val is-skipped = Thm-Deps.has-skip-proof (#proofs t);
   val\ warning = (if\ is\text{-}skipped)
     then [Pretty.str (proof skipped), Pretty.brk 0]
     else []);
   val\ obligations = (if\ obligations
     then [Pretty.big-list
            obligations:
            (map\ (pretty-thm\ ctxt)\ (\#proofs\ t)),
          Pretty.brk \ 0
     else []);
   fun\ pretty-bind\ binding =
     Pretty.markup
       (Position.markup (Binding.pos-of binding) Markup.position)
       [Pretty.str\ (Binding.name-of\ binding)];
 in
 Pretty.block ([
   pretty-bind (#name t), Pretty.str:,
   Syntax.pretty-term\ ctxt\ (\#source\ t),\ Pretty.fbrk
 @ obligations @ warning)
 end
end
structure\ RewritePhase = DSL-Phase(RewriteRule);
val - =
 Outer-Syntax.command command-keyword (phase) enter an optimization phase
  (Parse.binding -- | Parse.\$\$\$ terminating -- Parse.const -- | Parse.begin
    >> (Toplevel.begin-main-target true o RewritePhase.setup));
fun\ print-phases\ print-obligations\ ctxt =
   val thy = Proof\text{-}Context.theory\text{-}of ctxt;
   fun\ print\ phase = RewritePhase.pretty\ print-obligations\ phase\ ctxt
   map print (RewritePhase.phases thy)
 end
```

```
fun print-optimizations print-obligations thy =
 print-phases print-obligations thy |> Pretty.writeln-chunks
val - =
  Outer-Syntax.command command-keyword (print-phases)
   print debug information for optimizations
   (Parse.opt-bang >>
     (fn \ b = > Toplevel.keep ((print-optimizations \ b) \ o \ Toplevel.context-of)));
fun \ export\text{-}phases \ thy \ name =
  let
   val\ state = Toplevel.theory-toplevel\ thy;
   val\ ctxt = Toplevel.context-of\ state;
   val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
   val\ cleaned = YXML.content-of\ content;
   val\ filename = Path.explode\ (name \hat{\ }.rules);
   val \ directory = Path.explode \ optimizations;
   val path = Path.binding (
              Path.append directory filename,
              Position.none);
   val thy' = thy \mid > Generated-Files. add-files (path, content);
   val - = Export.export thy' path [YXML.parse cleaned];
   val - = writeln (Export.message thy' (Path.basic optimizations));
  in
   thy'
  end
val - =
  Outer	ext{-}Syntax.command \ command	ext{-}keyword \ \langle export	ext{-}phases 
angle
   export\ information\ about\ encoded\ optimizations
   (Parse.text >>
     (fn \ name => Toplevel.theory (fn \ state => export-phases \ state \ name)))
```

ML-file rewrites.ML

8.3.1Semantic Preservation Obligation

```
fun rewrite-preservation :: IRExpr Rewrite <math>\Rightarrow bool where
  rewrite-preservation (Transform x y) = (y \le x) |
  rewrite-preservation (Conditional x y cond) = (cond \longrightarrow (y \le x))
 rewrite-preservation (Sequential xy) = (rewrite-preservation x \land rewrite-preservation
y) \mid
  rewrite-preservation (Transitive x) = rewrite-preservation x
```

8.3.2 Termination Obligation

```
fun rewrite-termination :: IRExpr Rewrite ⇒ (IRExpr ⇒ nat) ⇒ bool where rewrite-termination (Transform x y) trm = (trm \ x > trm \ y) \mid rewrite-termination (Conditional x y cond) trm = (cond \longrightarrow (trm \ x > trm \ y)) \mid rewrite-termination (Sequential x y) trm = (rewrite-termination \ x trm \land rewrite-termination y trm) \mid rewrite-termination (Transitive x) trm = rewrite-termination \ x trm

fun intval :: Value Rewrite ⇒ bool where intval (Transform x y) = (x \ne UndefVal \land y \ne UndefVal \longrightarrow x = y) \mid intval (Conditional x y cond) = (cond \longrightarrow (x = y)) \mid intval (Sequential x y) = (intval x \land intval y) \mid intval (Transitive x) = intval x
```

8.3.3 Standard Termination Measure

```
fun size :: IRExpr \Rightarrow nat where
  unary-size:
  size (UnaryExpr op e) = (size e) + 2
  bin-const-size:
  size (BinaryExpr \ op \ x \ (ConstantExpr \ cy)) = (size \ x) + 2
  bin-size:
  size (BinaryExpr \ op \ x \ y) = (size \ x) + (size \ y) + 2
  size (ConditionalExpr \ cond \ t \ f) = (size \ cond) + (size \ t) + (size \ f) + 2
  const-size:
  size (ConstantExpr c) = 1
  param-size:
  size (ParameterExpr ind s) = 2
  leaf-size:
  size (LeafExpr \ nid \ s) = 2 \mid
  size (Constant Var c) = 2
  size (VariableExpr x s) = 2
```

8.3.4 Automated Tactics

named-theorems size-simps size simplication rules

```
method unfold-optimization =
  (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
    unfold intval.simps,
    rule conjE, simp, simp del: le-expr-def, force?)
  | (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
    rule conjE, simp, simp del: le-expr-def, force?)

method unfold-size =
  (((unfold size.simps, simp add: size-simps del: le-expr-def)?
  ; (simp add: size-simps del: le-expr-def)?
```

```
; (auto simp: size-simps)?
  ; (unfold\ size.simps)?)[1])
print-methods
\mathbf{ML} \leftarrow
structure\ System: Rewrite System=
val\ preservation = @\{const\ rewrite-preservation\};
val\ termination = @\{const\ rewrite\text{-}termination\};
val\ intval = @\{const\ intval\};
end
structure\ DSL = DSL-Rewrites(System);
val - =
  Outer	ext{-}Syntax.local	ext{-}theory-to-proof 	ext{ } 	ext{command-keyword} 	ext{ } 	ext{`optimization'} 
   define an optimization and open proof obligation
   (Parse-Spec.thm-name: -- Parse.term
       >> DSL.rewrite-cmd);
end
     Canonicalization Optimizations
9
theory Common
 imports
   Optimization DSL.\ Canonicalization
   Semantics.IRTreeEvalThms
begin
lemma size-pos[size-simps]: 0 < size y
 apply (induction y; auto?)
 by (smt (z3) add-2-eq-Suc' add-is-0 not-gr0 size.elims size.simps(12) size.simps(13)
size.simps(14) size.simps(15) zero-neq-numeral zero-neq-one)
lemma size-non-add[size-simps]: size (BinaryExpr op a b) = size a + size b + 2
\longleftrightarrow \neg (is\text{-}ConstantExpr\ b)
 by (induction b; induction op; auto simp: is-ConstantExpr-def)
\mathbf{lemma}\ size\text{-}non\text{-}const[size\text{-}simps]:
  \neg is\text{-}ConstantExpr y \Longrightarrow 1 < size y
 using size-pos apply (induction y; auto)
```

 $\mathbf{by}\ (\textit{metis Suc-lessI add-is-1 is-ConstantExpr-def le-less linorder-not-le\ n-not-Suc-negative})$

numeral-2-eq-2 pos2 size.simps(2) size-non-add)

 $lemma \ size-binary-const[size-simps]:$

```
size (BinaryExpr \ op \ a \ b) = size \ a + 2 \longleftrightarrow (is-ConstantExpr \ b)
 by (induction b; auto simp: is-ConstantExpr-def size-pos)
lemma size-flip-binary[size-simps]:
   \neg (is\text{-}ConstantExpr\ y) \longrightarrow size\ (BinaryExpr\ op\ (ConstantExpr\ x)\ y) > size
(BinaryExpr\ op\ y\ (ConstantExpr\ x))
 by (metis add-Suc not-less-eq order-less-asym plus-1-eq-Suc size.simps(11) size.simps(2)
size-non-add)
lemma size-binary-lhs-a[size-simps]:
  size (BinaryExpr \ op \ (BinaryExpr \ op' \ a \ b) \ c) > size \ a
 by (metis add-lessD1 less-add-same-cancel1 pos2 size-binary-const size-non-add)
lemma size-binary-lhs-b[size-simps]:
  size (BinaryExpr \ op \ (BinaryExpr \ op' \ a \ b) \ c) > size \ b
 by (metis IRExpr.disc(42) One-nat-def add.left-commute add.right-neutral is-ConstantExpr-def
less-add-Suc2 numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-binary-const size-non-add
size-non-const trans-less-add1)
lemma size-binary-lhs-c[size-simps]:
  size (BinaryExpr \ op \ (BinaryExpr \ op' \ a \ b) \ c) > size \ c
 by (metis\ IRExpr.disc(42)\ add.left-commute\ add.right-neutral\ is-ConstantExpr-def
less-Suc-eq\ numeral-2-eq-2\ plus-1-eq-Suc\ size.simps(11)\ size-non-add\ size-non-const
trans-less-add2)
lemma size-binary-rhs-a[size-simps]:
  size (BinaryExpr \ op \ c \ (BinaryExpr \ op' \ a \ b)) > size \ a
 \textbf{by } (smt \ (verit, best) \ less-Suc-eq \ less-add-Suc2 \ less-add-same-cancel 1 \ linorder-neq E-nat
not-add-less1 order-less-trans pos2 size.simps(4) size-binary-const size-non-add)
lemma size-binary-rhs-b[size-simps]:
 size (BinaryExpr \ op \ c \ (BinaryExpr \ op' \ a \ b)) > size \ b
 by (metis add.left-commute add.right-neutral is-ConstantExpr-def lessI numeral-2-eq-2
plus-1-eq-Suc size.simps(11) size.simps(4) size-non-add trans-less-add2)
lemma size-binary-rhs-c[size-simps]:
  size (BinaryExpr op c (BinaryExpr op' a b)) > size c
 by simp
\mathbf{lemma}\ size\text{-}binary\text{-}lhs[size\text{-}simps]\text{:}
  size (BinaryExpr op x y) > size x
  by (metis One-nat-def Suc-eq-plus1 add-Suc-right less-add-Suc1 numeral-2-eq-2
size-binary-const size-non-add)
lemma size-binary-rhs[size-simps]:
 size (BinaryExpr \ op \ x \ y) > size \ y
 by (metis\ IRExpr.\ disc(42)\ add-strict-increasing\ is-ConstantExpr.\ def\ linorder-not-le
```

not-add-less1 size.simps(11) size-non-add size-non-const size-pos)

```
\mathbf{lemmas}\ arith[size-simps] = Suc-leI\ add-strict-increasing\ order-less-trans\ trans-less-add2
definition well-formed-equal :: Value \Rightarrow Value \Rightarrow bool
 (infix \approx 50) where
  well-formed-equal v_1 v_2 = (v_1 \neq UndefVal \longrightarrow v_1 = v_2)
lemma well-formed-equal-defn [simp]:
  well-formed-equal v_1 v_2 = (v_1 \neq UndefVal \longrightarrow v_1 = v_2)
 unfolding well-formed-equal-def by simp
end
       AbsNode Phase
9.1
theory AbsPhase
 imports
   Common
begin
{f phase} AbsNode
 terminating size
begin
lemma abs-pos:
 fixes v :: ('a :: len word)
 assumes 0 \le s v
 shows (if v < s \ 0 \ then - v \ else \ v) = v
 by (simp add: assms signed.leD)
lemma abs-neg:
 fixes v :: ('a :: len word)
 assumes v < s \theta
 assumes -(2 (Nat.size v - 1)) < s v
 shows (if v < s \ \theta then -v else v) = -v \land \theta < s - v
 by (smt (verit, ccfv-SIG) assms(1) assms(2) signed-take-bit-int-greater-eq-minus-exp
    signed-take-bit-int-greater-eq-self-iff sint-0 sint-word-ariths(4) word-sless-alt)
lemma abs-max-neg:
 fixes v :: ('a :: len word)
 assumes v < s \theta
 \mathbf{assumes} - (2 \ \widehat{} \ (Nat.size \ v - 1)) = v
 \mathbf{shows} - v = v
```

by (metis One-nat-def add.inverse-neutral double-eq-zero-iff mult-minus-right

using assms

```
size-word.rep-eq)
lemma final-abs:
 fixes v :: ('a :: len word)
 assumes take-bit (Nat.size v) v = v
 assumes -(2 \cap (Nat.size\ v-1)) \neq v
 shows 0 \le s (if v < s 0 then -v else v)
proof (cases v < s \theta)
 {f case}\ True
 then show ?thesis
 proof (cases\ v = -(2 \ \widehat{}\ (Nat.size\ v - 1)))
   \mathbf{case} \ \mathit{True}
   then show ?thesis using abs-max-neg
     using assms by presburger
 next
   case False
   then have -(2 (Nat.size v - 1)) < s v
     unfolding word-sless-def using signed-take-bit-int-greater-self-iff
       by (smt (verit, best) One-nat-def diff-less double-eq-zero-iff len-gt-0 lessI
less-irrefl
        mult-minus-right neg-equal-0-iff-equal signed.rep-eq signed-of-int
        signed-take-bit-int-greater-eq-self-iff\ signed-word-eqI\ sint-0\ sint-range-size
        sint-sbintrunc' sint-word-ariths(4) size-word.rep-eq unsigned-0 word-2p-lem
        word-sless.rep-eq word-sless-def)
   then show ?thesis
     using abs-neg abs-pos signed.nless-le by auto
 qed
\mathbf{next}
  case False
 then show ?thesis using abs-pos by auto
qed
lemma wf-abs: is-IntVal x \Longrightarrow intval-abs x \ne UndefVal
 using intval-abs.simps unfolding new-int.simps
 using is-IntVal-def by force
fun bin-abs :: 'a :: len word \Rightarrow 'a :: len word where
  bin-abs\ v = (if\ (v < s\ 0)\ then\ (-v)\ else\ v)
lemma val-abs-zero:
  intval-abs (new-int b \theta) = new-int b \theta
 by simp
```

```
lemma less-eq-zero:
 assumes val-to-bool (val[(IntVal\ b\ 0) < (IntVal\ b\ v)])
 shows int-signed-value b \ v > 0
 using assms unfolding intval-less-than.simps(1) apply simp
 by (metis bool-to-val.elims val-to-bool.simps(1))
lemma val-abs-pos:
  assumes val-to-bool(val[(new\text{-}int\ b\ \theta) < (new\text{-}int\ b\ v)])
 shows intval-abs (new-int b v) = (new-int b v)
 \mathbf{using}\ assms\ \mathbf{using}\ less-eq\text{-}zero\ \mathbf{unfolding}\ intval\text{-}abs.simps\ new\text{-}int.simps
 by force
lemma val-abs-neg:
 assumes val-to-bool(val[(new\text{-}int\ b\ v) < (new\text{-}int\ b\ \theta)])
 shows intval-abs (new-int b v) = intval-negate (new-int b v)
 using assms using less-eq-zero unfolding intval-abs.simps new-int.simps
 by force
lemma val-bool-unwrap:
  val-to-bool (bool-to-val v) = v
 by (metis bool-to-val.elims one-neq-zero val-to-bool.simps(1))
lemma take-bit-unwrap:
  b = 64 \Longrightarrow take-bit\ b\ (v1::64\ word) = v1
 by (metis size64 size-word.rep-eq take-bit-length-eq)
lemma bit-less-eq-def:
 fixes v1 v2 :: 64 word
 assumes b \leq 64
 shows sint (signed-take-bit (b - Suc (0::nat)) (take-bit b v1))
   < sint (signed-take-bit (b - Suc (0::nat)) (take-bit b v2)) \leftarrow
    signed-take-bit (63::nat) (Word.rep v1) < signed-take-bit (63::nat) (Word.rep
v2)
 using assms sorry
lemma less-eq-def:
 shows val-to-bool(val[(new\text{-}int\ b\ v1) < (new\text{-}int\ b\ v2)]) \longleftrightarrow v1 < s\ v2)
 unfolding new-int.simps intval-less-than.simps bool-to-val-bin.simps bool-to-val.simps
int-signed-value.simps apply (simp add: val-bool-unwrap)
 apply auto unfolding word-sless-def apply auto
 unfolding signed-def apply auto using bit-less-eq-def
 apply (metis bot-nat-0.extremum take-bit-0)
 by (metis bit-less-eq-def bot-nat-0.extremum take-bit-0)
lemma val-abs-always-pos:
  assumes intval-abs (new-int b v) = (new-int b v')
 shows 0 \le s v'
 using assms
```

```
proof (cases v = \theta)
 {f case}\ True
 then have v' = \theta
   using val-abs-zero assms
    by (smt (verit, ccfv-threshold) Suc-diff-1 bit-less-eq-def bot-nat-0.extremum
diff-is-0-eq\ len-gt-0\ len-of-numeral-defs (2)\ order-le-less\ signed-eq-0-iff\ take-bit-0\ take-bit-signed-take-bit
take-bit-unwrap)
  then show ?thesis by simp
next
  case neq\theta: False
 then show ?thesis
 proof (cases\ val\ to\ bool(val[(new\ int\ b\ 0)\ <\ (new\ int\ b\ v)]))
   \mathbf{case} \ \mathit{True}
   then show ?thesis using less-eq-def
     using assms val-abs-pos
      by (smt (verit, ccfv-SIG) One-nat-def Suc-leI bit.compl-one bit-less-eq-def
cancel-comm-monoid-add-class. diff-cancel \ diff-zero \ len-gt-0 \ len-of-numeral-defs(2)
mask-0\ mask-1\ one-le-numeral\ one-neq-zero\ signed-word-eqI\ take-bit-dist-subL\ take-bit-minus-one-eq-mask
take-bit-not-eq-mask-diff take-bit-signed-take-bit zero-le-numeral)
 next
   case False
   then have val-to-bool(val[(new-int b \ v) < (new-int b \ \theta)])
     using neq0 less-eq-def
     by (metis signed.neqE)
    then show ?thesis using val-abs-neg less-eq-def unfolding new-int.simps
intval-negate.simps
     by (metis signed.nless-le take-bit-0)
 qed
qed
lemma intval-abs-elims:
 assumes intval-abs x \neq UndefVal
 shows \exists t \ v \ . \ x = IntVal \ t \ v \land intval-abs \ x = new-int \ t \ (if int-signed-value \ t \ v <
0 then - v else v
 using assms
 by (meson intval-abs.elims)
lemma wf-abs-new-int:
 assumes intval-abs (IntVal\ t\ v) \neq UndefVal
 shows intval-abs (IntVal\ t\ v) = new-int\ t\ v\ \lor\ intval-abs\ (IntVal\ t\ v) = new-int\ t
 using assms
 using intval-abs.simps(1) by presburger
lemma mono-undef-abs:
 assumes intval-abs (intval-abs x) \neq UndefVal
 shows intval-abs x \neq UndefVal
```

```
using assms
 by force
lemma val-abs-idem:
 assumes intval-abs(intval-abs(x)) \neq UndefVal
 shows intval-abs(intval-abs(x)) = intval-abs(x)
 using assms
proof -
 obtain b v where in-def: intval-abs x = new-int b v
   using assms intval-abs-elims mono-undef-abs by blast
 then show ?thesis
 proof (cases\ val\ to\ bool(val[(new\ int\ b\ v)\ <\ (new\ int\ b\ 0)]))
   \mathbf{case} \ \mathit{True}
   then have nested: (intval-abs\ (intval-abs\ x)) = new-int\ b\ (-v)
     using val-abs-neg intval-negate.simps in-def
     by simp
   then have x = new-int b(-v)
     using in-def True unfolding new-int.simps
   by (smt (verit, best) intval-abs.simps(1) less-eq-def less-eq-zero less-numeral-extra(1)
     mask-1 mask-eq-take-bit-minus-one neg-one elims neg-one-signed new-int.simps
            one-le-numeral\ one-neq-zero\ signed.neqE\ signed.not-less\ take-bit-of-0
val-abs-always-pos)
   then show ?thesis using val-abs-always-pos
     using True in-def less-eq-def signed.leD
     using signed.nless-le by blast
 next
   case False
   then show ?thesis
     using in-def by force
 qed
qed
lemma val-abs-negate:
 assumes intval-abs (intval-negate x) \neq UndefVal
 shows intval-abs (intval-negate x) = intval-abs x
 using assms apply (cases x; auto)
 apply (metis less-eq-def new-int.simps signed.dual-order.strict-iff-not signed.less-linear
        take-bit-0
 by (smt (verit, ccfv-threshold) add.inverse-neutral intval-abs.simps(1) less-eq-def
less-eq-zero
   less-numeral-extra(1)\ mask-1\ mask-eq-take-bit-minus-one\ neg-one.\ elims\ neg-one-signed
   new-int.simps one-le-numeral one-neg-zero signed.order.order-iff-strict take-bit-of-0
     val-abs-always-pos)
```

```
Optimisations
optimization AbsIdempotence: abs(abs(x)) \longmapsto abs(x)
  apply auto
 by (metis UnaryExpr unary-eval.simps(1) val-abs-idem)
optimization AbsNegate: (abs(-x)) \longmapsto abs(x)
   apply auto using val-abs-negate
 by (metis unary-eval.simps(1) unfold-unary)
end
end
      AddNode Phase
9.2
theory AddPhase
 imports
   Common
begin
phase AddNode
 terminating size
begin
\mathbf{lemma}\ \mathit{binadd\text{-}commute} :
 assumes bin-eval\ BinAdd\ x\ y \neq UndefVal
 shows bin-eval BinAdd x y = bin-eval BinAdd y x
 using assms intval-add-sym by simp
optimization AddShiftConstantRight: ((const\ v)\ +\ y) \longmapsto y + (const\ v) when
\neg (is\text{-}ConstantExpr\ y)
 using size-non-const
 apply (metis add-2-eq-Suc' less-Suc-eq plus-1-eq-Suc size.simps(11) size-non-add)
 unfolding le-expr-def
 apply (rule \ impI)
 subgoal premises 1
   {\bf apply} \ (\mathit{rule} \ \mathit{allI} \ \mathit{impI}) +
   subgoal premises 2 for m p va
    apply (rule BinaryExprE[OF 2])
     subgoal premises 3 for x ya
      apply (rule BinaryExpr)
      using 3 apply simp
      using 3 apply simp
      using 3 binadd-commute apply auto
      done
     done
```

```
done
 done
optimization AddShiftConstantRight2: ((const\ v) + y) \longmapsto y + (const\ v) when
\neg (is\text{-}ConstantExpr\ y)
 unfolding le-expr-def
  apply (auto simp: intval-add-sym)
 using size-non-const
 by (metis add-2-eq-Suc' lessI plus-1-eq-Suc size.simps(11) size-non-add)
lemma is-neutral-\theta [simp]:
 assumes 1: intval-add (IntVal\ b\ x)\ (IntVal\ b\ 0) \neq UndefVal
 shows intval-add (IntVal b x) (IntVal b 0) = (new-int b x)
 using 1 by auto
optimization AddNeutral: (e + (const (IntVal 32 0))) \mapsto e
  unfolding le-expr-def apply auto
  using is-neutral-0 eval-unused-bits-zero
 by (smt (verit) add-cancel-left-right intval-add.elims val-to-bool.simps(1))
ML-val \langle @\{term \langle x = y \rangle \} \rangle
\mathbf{lemma}\ \mathit{NeutralLeftSubVal} :
 assumes e1 = new\text{-}int \ b \ ival
 shows val[(e1 - e2) + e2] \approx e1
 apply simp using assms by (cases e1; cases e2; auto)
optimization RedundantSubAdd: ((e_1 - e_2) + e_2) \longmapsto e_1
 apply auto using eval-unused-bits-zero NeutralLeftSubVal
 unfolding well-formed-equal-defn
 by (smt (verit) evalDet intval-sub.elims new-int.elims)
lemma allE2: (\forall x \ y. \ P \ x \ y) \Longrightarrow (P \ a \ b \Longrightarrow R) \Longrightarrow R
 by simp
lemma just-goal2:
 assumes 1: (\forall a \ b. \ (intval\text{-}add \ (intval\text{-}sub \ a \ b) \ b \neq UndefVal \ \land \ a \neq UndefVal
   intval-add (intval-sub a b) b = a))
```

shows (BinaryExpr BinAdd (BinaryExpr BinSub e_1 e_2) e_2) $\geq e_1$

```
unfolding le-expr-def unfold-binary bin-eval.simps
 by (metis 1 evalDet evaltree-not-undef)
optimization RedundantSubAdd2: e_2 + (e_1 - e_2) \longmapsto e_1
 \mathbf{apply} \; (\textit{metis add.commute add-less-cancel-right less-add-Suc2 plus-1-eq-Suc \textit{size-binary-const}}) \; \\
size-non-add trans-less-add2)
  by (smt (verit, del-insts) BinaryExpr BinaryExprE RedundantSubAdd(1) bi-
nadd-commute le-expr-def rewrite-preservation.simps(1))
\mathbf{lemma}\ Add To Sub Helper Low Level:
 shows intval-add (intval-negate e) y = intval-sub y \in (is ?x = ?y)
 by (induction y; induction e; auto)
print-phases
lemma val-redundant-add-sub:
 assumes a = new-int bb ival
 assumes val[b + a] \neq UndefVal
 \mathbf{shows} \ val[(b+a)-b] = a
 using assms apply (cases a; cases b; auto)
 by presburger
\mathbf{lemma}\ \mathit{val-add-right-negate-to-sub} :
 assumes val[x + e] \neq UndefVal
 shows val[x + (-e)] = val[x - e]
 using assms by (cases x; cases e; auto)
\mathbf{lemma}\ \textit{exp-add-left-negate-to-sub} :
exp[-e + y] \ge exp[y - e]
 apply (cases e; cases y; auto)
 \mathbf{using}\ \mathit{AddToSubHelperLowLevel}\ \mathbf{by}\ \mathit{auto} +
Optimisations
optimization RedundantAddSub: (b + a) - b \mapsto a
  {\bf apply} \ auto \ {\bf using} \ val\text{-}redundant\text{-}add\text{-}sub \ eval\text{-}unused\text{-}bits\text{-}zero
```

by (smt (verit) evalDet intval-add.elims new-int.elims)

```
\textbf{optimization} \ \textit{AddRightNegateToSub:} \ x + -e \longmapsto x - e
\mathbf{apply} \; (\textit{metis Nat.add-0-right add-2-eq-Suc' add-less-mono1} \; \textit{add-mono-thms-linordered-field} (2) \\
less-SucI not-less-less-Suc-eq size-binary-const size-non-add size-pos)
  using AddToSubHelperLowLevel intval-add-sym by auto
optimization AddLeftNegateToSub: -e + y \longmapsto y - e
 defer
 using exp-add-left-negate-to-sub apply blast
 by (smt (verit, best) One-nat-def add.commute add-Suc-right is-ConstantExpr-def
less-add-Suc2\ numeral-2-eq-2\ plus-1-eq-Suc\ size.simps(1)\ size.simps(11)\ size-binary-const
size-non-add)
end
end
       AndNode Phase
9.3
theory AndPhase
 imports
   Common
   Proofs.StampEvalThms
begin
phase AndNode
 terminating size
begin
lemma bin-and-nots:
(^{\sim}x \& ^{\sim}y) = (^{\sim}(x \mid y))
 by simp
lemma bin-and-neutral:
(x \& ^{\sim}False) = x
 by simp
{f lemma}\ val	ext{-} and	ext{-} equal:
 assumes x = new-int b v
 and
          val[x \& x] \neq UndefVal
 \mathbf{shows} \quad val[x \ \& \ x] = x
```

using assms by (cases x; auto)

```
lemma val-and-nots:
  val[^{\sim}x \& ^{\sim}y] = val[^{\sim}(x \mid y)]
 apply (cases x; cases y; auto) by (simp add: take-bit-not-take-bit)
lemma val-and-neutral:
 assumes x = new\text{-}int \ b \ v
 and val[x \& {}^{\sim}(new\text{-}int\ b'\ 0)] \neq UndefVal
shows val[x \& {}^{\sim}(new\text{-}int\ b'\ 0)] = x
  using assms apply (cases x; auto) apply (simp add: take-bit-eq-mask)
  by presburger
lemma val-and-zero:
  assumes x = new\text{-}int \ b \ v
 shows val[x \& (IntVal \ b \ \theta)] = IntVal \ b \ \theta
  using assms by (cases x; auto)
lemma exp-and-equal:
  exp[x \& x] \ge exp[x]
  apply auto using val-and-equal eval-unused-bits-zero
 by (smt (verit) evalDet intval-and.elims new-int.elims)
lemma exp-and-nots:
  exp[^{\sim}x \& ^{\sim}y] \ge exp[^{\sim}(x \mid y)]
  apply (cases x; cases y; auto) using val-and-nots
 by fastforce+
lemma exp-sign-extend:
 assumes e = (1 \ll In) - 1
 shows BinaryExpr BinAnd (UnaryExpr (UnarySignExtend In Out) x)
                         (ConstantExpr(new-int b e))
                        > (UnaryExpr (UnaryZeroExtend In Out) x)
 apply auto
 subgoal premises p for m p va
   proof -
     obtain va where va: [m,p] \vdash x \mapsto va
       using p(2) by auto
     then have va \neq UndefVal
      by (simp add: evaltree-not-undef)
     then have 1: intval-and (intval-sign-extend In Out va) (IntVal b (take-bit b
e)) \neq UndefVal
       using evalDet \ p(1) \ p(2) \ va by blast
     then have 2: intval-sign-extend In Out va \neq UndefVal
      by auto
     then have 21: (\theta::nat) < b
```

```
by (simp \ add: \ p(4))
     then have 3: b \sqsubseteq (64::nat)
      by (simp \ add: \ p(5))
     then have 4: -((2::int) \hat{b} div (2::int)) \sqsubseteq sint (signed-take-bit (b - Suc
(0::nat) (take-bit\ b\ e)
      by (simp \ add: \ p(6))
    then have 5: sint (signed-take-bit (b - Suc (0::nat)) (take-bit b e)) < (2::int)
^ b div (2::int)
      by (simp \ add: \ p(7))
     then have 6: [m,p] \vdash UnaryExpr (UnaryZeroExtend In Out)
             x \mapsto intval-and (intval-sign-extend In Out va) (IntVal b (take-bit b e))
      apply (cases \ va; \ simp)
      apply (simp\ add: \langle (va::Value) \neq UndefVal \rangle) defer
       subgoal premises p for x3
        proof -
          have va = ObjRef x3
           using p(1) by auto
            then have sint (signed-take-bit (b - Suc (0::nat)) (take-bit b e)) <
(2::int) ^ b div (2::int)
           by (simp add: 5)
          then show ?thesis
           using 2 intval-sign-extend.simps(3) p(1) by blast
        qed
       subgoal premises p for x4
        proof -
         have sg1: va = ObjStr x4
           using 2 p(1) by auto
            then have sint\ (signed-take-bit\ (b\ -\ Suc\ (0::nat))\ (take-bit\ b\ e)) <
(2::int) ^ b div (2::int)
           by (simp \ add: 5)
          then show ?thesis
           using 1 sq1 by auto
        qed
        subgoal premises p for x21 x22
          proof -
           have sgg1: va = IntVal x21 x22
             by (simp\ add:\ p(1))
           then have sgg2: sint (signed-take-bit (b - Suc (0::nat)) (take-bit b e))
< (2::int) \hat{} b div (2::int)
             by (simp add: 5)
           then show ?thesis
             sorry
           qed
          done
     then show ?thesis
      by (metis evalDet p(2) va)
```

```
\begin{array}{c} \text{qed} \\ \text{done} \end{array}
```

```
lemma val-and-commute[simp]:
   val[x \& y] = val[y \& x]
  apply (cases \ x; \ cases \ y; \ auto)
  by (simp\ add:\ word-bw-comms(1))
Optimisations
optimization And Equal: x \& x \longmapsto x
  using exp-and-equal by blast
optimization And Shift Constant Right: ((const\ x)\ \&\ y) \longmapsto y\ \&\ (const\ x)
                                          when \neg (is\text{-}ConstantExpr\ y)
 using size-flip-binary by auto
optimization And Nots: (^{\sim}x) \& (^{\sim}y) \longmapsto ^{\sim}(x \mid y)
    defer using exp-and-nots
   apply presburger
 \mathbf{by} \; (\textit{metis add-2-eq-Suc' less-SucI less-add-Suc1} \; not\text{-less-eq size-binary-const size-non-add}) \\
optimization And Sign Extend: Binary Expr BinAnd (Unary Expr (Unary Sign Extend
In Out)(x)
                                                (const\ (new\text{-}int\ b\ e))
                              \longmapsto (\mathit{UnaryExpr}\ (\mathit{UnaryZeroExtend}\ \mathit{In}\ \mathit{Out})\ \mathit{x})
                                  when (e = (1 << In) - 1)
   using exp-sign-extend by simp
optimization And Neutral: (x \& {}^{\sim}(const \ (IntVal \ b \ \theta))) \longmapsto x
   when (wf\text{-}stamp\ x \land stamp\text{-}expr\ x = IntegerStamp\ b\ lo\ hi)
   apply auto using val-and-neutral
 \mathbf{by} \; (smt \; (verit) \; \mathit{Value}.sel(1) \; eval\text{-}unused\text{-}bits\text{-}zero \; intval\text{-}and.elims \; intval\text{-}word.simps}
      new-int.simps new-int-bin.simps take-bit-eq-mask)
end
{f context}\ stamp{-}mask
begin
```

```
lemma And Right Fall through: (((and (not (\downarrow x)) (\uparrow y)) = \theta)) \longrightarrow exp[x \& y] \ge
exp[y]
 apply simp apply (rule impI; (rule allI)+)
 apply (rule impI)
 subgoal premises p for m p v
 proof -
   obtain xv where xv: [m, p] \vdash x \mapsto xv
     using p(2) by blast
   obtain yv where yv: [m, p] \vdash y \mapsto yv
     using p(2) by blast
   have v = val[xv \& yv]
    using p(2) xv yv
    by (metis BinaryExprE bin-eval.simps(4) evalDet)
   then have v = yv
     using p(1) not-down-up-mask-and-zero-implies-zero
   by (smt (verit) eval-unused-bits-zero intval-and.elims new-int.elims new-int-bin.elims
p(2) unfold-binary xv yv)
   then show ?thesis using yv by simp
 qed
 done
lemma AndLeftFallthrough: (((and (not (\downarrow y)) (\uparrow x)) = \theta)) \longrightarrow exp[x \& y] \ge
 apply simp apply (rule impI; (rule allI)+)
 apply (rule impI)
 subgoal premises p for m p v
 proof -
   obtain xv where xv: [m, p] \vdash x \mapsto xv
     using p(2) by blast
   obtain yv where yv: [m, p] \vdash y \mapsto yv
    using p(2) by blast
   have v = val[xv \& yv]
    using p(2) xv yv
     by (metis BinaryExprE bin-eval.simps(4) evalDet)
   then have v = xv
     using p(1) not-down-up-mask-and-zero-implies-zero
   by (smt (verit) and.commute eval-unused-bits-zero intval-and.elims new-int.simps
new-int-bin.simps p(2) unfold-binary xv yv)
   then show ?thesis using xv by simp
 qed
 done
end
end
```

9.4 ConditionalNode Phase

```
theory ConditionalPhase
 imports
    Common
    Proofs. Stamp Eval Thms
begin
{f phase} ConditionalNode
 terminating size
begin
lemma negates: \exists v \ b. \ e = IntVal \ b \ v \land b > 0 \implies val-to-bool \ (val[e]) \longleftrightarrow
\neg(val\text{-}to\text{-}bool\ (val[!e]))
 unfolding intval-logic-negation.simps
 by (metis\ (mono-tags,\ lifting)\ intval-logic-negation.simps(1)\ logic-negate-def\,new-int.simps
of\text{-}bool\text{-}eq(2) one\text{-}neq\text{-}zero take\text{-}bit\text{-}of\text{-}0 take\text{-}bit\text{-}of\text{-}1 val\text{-}to\text{-}bool.simps(1))
\mathbf{lemma}\ negation\text{-}condition\text{-}intval\text{:}
  assumes e = IntVal b ie
 assumes 0 < b
 shows val[(!e) ? x : y] = val[e ? y : x]
 using assms by (cases e; auto simp: negates logic-negate-def)
lemma negation-preserve-eval:
  assumes [m, p] \vdash exp[!e] \mapsto v
 shows \exists v'. ([m, p] \vdash exp[e] \mapsto v') \land v = val[!v']
 using assms by auto
{\bf lemma}\ negation\text{-}preserve\text{-}eval\text{-}intval\text{:}}
  assumes [m, p] \vdash exp[!e] \mapsto v
  shows \exists v' \ b \ vv. \ ([m, p] \vdash exp[e] \mapsto v') \land v' = IntVal \ b \ vv \land b > 0
  using assms
   by (metis eval-bits-1-64 intval-logic-negation.elims negation-preserve-eval un-
fold-unary)
optimization NegateConditionFlipBranches: ((!e) ? x : y) \mapsto (e ? y : x)
 apply simp using negation-condition-intval negation-preserve-eval-intval
 by (smt (z3) ConditionalExpr ConditionalExprE evalDet negates negation-preserve-eval)
optimization DefaultTrueBranch: (true ? x : y) \mapsto x.
optimization DefaultFalseBranch: (false ? x : y) \longmapsto y.
optimization Conditional Equal Branches: (e ? x : x) \longmapsto x.
optimization condition-bounds-x: ((u < v) ? x : y) \mapsto x
   when (stamp-under\ (stamp-expr\ u)\ (stamp-expr\ v)\ \land\ wf-stamp\ u\ \land\ wf-stamp\ v)
  using stamp-under-defn by auto
```

```
optimization condition-bounds-y: ((u < v) ? x : y) \mapsto y
   when (stamp-under\ (stamp-expr\ v)\ (stamp-expr\ u) \land wf-stamp\ u \land wf-stamp\ v)
  using stamp-under-defn-inverse by auto
lemma val-optimise-integer-test:
 assumes \exists v. \ x = IntVal \ 32 \ v
 shows val[((x \& (IntVal 32 1)) eq (IntVal 32 0)) ? (IntVal 32 0) : (IntVal 32 1)]
        val[x \& IntVal 32 1]
 using assms apply auto
 apply (metis (full-types) bool-to-val.simps(2) val-to-bool.simps(1))
 by (metis (mono-tags, lifting) and-one-eq bool-to-val.simps(1) even-iff-mod-2-eq-zero
odd-iff-mod-2-eq-one val-to-bool.simps(1))
optimization ConditionalEliminateKnownLess: ((x < y) ? x : y) \mapsto x
                            when (stamp-under\ (stamp-expr\ x)\ (stamp-expr\ y)
                                 \land wf-stamp x \land wf-stamp y)
   using stamp-under-defn by auto
optimization ConditionalEqualIsRHS: ((x \ eq \ y) \ ? \ x : y) \longmapsto y
 apply auto
 by (smt (verit) Value.inject(1) bool-to-val.simps(2) bool-to-val-bin.simps evalDet
intval-equals. elims\ val-to-bool. elims(1)
optimization normalizeX: ((x \ eq \ const \ (IntVal \ 32 \ 0)) \ ?
                           (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto x
                        when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val \ 32 \ 1))).
optimization normalizeX2: ((x eq (const (IntVal 32 1))) ?
                            (const\ (IntVal\ 32\ 1)): (const\ (IntVal\ 32\ 0))) \longmapsto x
                        when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val \ 32 \ 1))).
optimization flip X: ((x \ eq \ (const \ (Int Val \ 32 \ 0))) \ ?
                       (const\ (IntVal\ 32\ 1)): (const\ (IntVal\ 32\ 0))) \longmapsto
                        x \oplus (const (IntVal 32 1))
                       when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val 32 1))) .
optimization flipX2: ((x eq (const (IntVal 32 1))) ?
```

```
(const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
                        x \oplus (const (IntVal 32 1))
                       when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr
(Int Val \ 32 \ 1))).
lemma stamp-of-default:
 assumes stamp-expr \ x = default-stamp
 assumes wf-stamp x
 shows ([m, p] \vdash x \mapsto v) \longrightarrow (\exists vv. \ v = IntVal \ 32 \ vv)
 using assms
 by (metis default-stamp valid-value-elims(3) wf-stamp-def)
{\bf optimization}\ {\it OptimiseIntegerTest}:
    (((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?
     (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
      x & (const (IntVal 32 1))
      when (stamp-expr \ x = default-stamp \land wf-stamp \ x)
 apply simp apply (rule impI; (rule allI)+; rule impI)
 subgoal premises eval for m p v
proof -
  obtain xv where xv: [m, p] \vdash x \mapsto xv
   using eval by fast
  then have x32: \exists v. xv = IntVal 32 v
   using stamp-of-default eval by auto
 obtain lhs where lhs: [m, p] \vdash exp[(((x \& (const (Int Val 32 1))) eq (const (Int Val 32 1)))]
32 0))) ?
     (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))] \mapsto lhs
   using eval(2) by auto
 then have lhsV: lhs = val[((xv & (IntVal 32 1)) eq (IntVal 32 0)) ? (IntVal 32 0))
0): (Int Val \ 32 \ 1)]
   using \ xv \ evaltree. Binary Expr \ evaltree. Constant Expr \ evaltree. Conditional Expr
  by (smt\ (verit)\ Conditional ExprE\ Constant ExprE\ bin-eval.simps(11)\ bin-eval.simps(4)
evalDet intval-conditional.simps unfold-binary)
 obtain rhs where rhs: [m, p] \vdash exp[x \& (const (Int Val 32 1))] \mapsto rhs
   using eval(2) by blast
 then have rhsV: rhs = val[xv \& IntVal 32 1]
   by (metis BinaryExprE ConstantExprE bin-eval.simps(4) evalDet xv)
 have lhs = rhs using val-optimise-integer-test x32
   using lhsV rhsV by presburger
  then show ?thesis
   by (metis eval(2) evalDet lhs rhs)
qed
 done
optimization opt-optimise-integer-test-2:
    (((x \& (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?
                (const\ (IntVal\ 32\ 0)): (const\ (IntVal\ 32\ 1))) \longmapsto
```

```
when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr (IntVal 32 1))) .
```

 $\quad \text{end} \quad$

end

9.5 MulNode Phase

fixes w :: int64

```
{\bf theory}\ {\it MulPhase}
 imports
    Common
    Proofs. Stamp Eval Thms \\
begin
\mathbf{phase}\ \mathit{MulNode}
  {\bf terminating}\ size
begin
\mathbf{lemma}\ bin-eliminate\text{-}redundant\text{-}negative:
  uminus\ (x:: 'a::len\ word)*uminus\ (y:: 'a::len\ word) = x*y
  by simp
{\bf lemma}\ bin\text{-}multiply\text{-}identity\text{:}
 (x :: 'a :: len word) * 1 = x
 by simp
{\bf lemma}\ bin-multiply-eliminate:
 (x :: 'a :: len word) * \theta = \theta
 by simp
{\bf lemma}\ bin-multiply-negative:
 (x :: 'a :: len \ word) * uminus 1 = uminus x
 by simp
\mathbf{lemma}\ \mathit{bin-multiply-power-2}\text{:}
 (x:: 'a::len \ word) * (2^j) = x << j
 by simp
lemma take-bit64[simp]:
```

```
shows take-bit 64 w = w
proof -
 have Nat.size w = 64
   by (simp add: size64)
 then show ?thesis
  by (metis lt2p-lem mask-eq-iff take-bit-eq-mask verit-comp-simplify1(2) wsst-TYs(3))
qed
lemma testt:
 fixes a :: nat
 fixes b c :: 64 word
 shows take-bit a (take-bit a (b) * take-bit a (c)) =
       take-bit\ a\ (b*c)
by (smt (verit, ccfv-SIG) take-bit-mult take-bit-of-int unsigned-take-bit-eq word-mult-def)
lemma val-eliminate-redundant-negative:
 assumes val[-x * -y] \neq UndefVal
 \mathbf{shows} \ val[-x*-y] = val[x*y]
 using assms apply (cases x; cases y; auto)
 using testt by auto
\mathbf{lemma}\ \mathit{val-multiply-neutral} :
 assumes x = new-int b v
 shows val[x * (IntVal \ b \ 1)] = val[x]
 using assms by force
lemma val-multiply-zero:
 assumes x = new-int b v
 shows val[x * (IntVal \ b \ \theta)] = IntVal \ b \ \theta
 using assms by simp
lemma val-multiply-negative:
 assumes x = new\text{-}int \ b \ v
 shows val[x * intval-negate (IntVal b 1)] = intval-negate x
 using assms
 by (smt\ (verit)\ Value.disc(1)\ Value.inject(1)\ add.inverse-neutral\ intval-negate.simps(1)
      is-IntVal-def mask-0 mask-eq-take-bit-minus-one new-int.elims of-bool-eq(2)
take-bit-dist-neg
   take-bit-of-1 val-eliminate-redundant-negative val-multiply-neutral val-multiply-zero
     verit-minus-simplify(4) zero-neq-one)
```

lemma val-MulPower2:

```
fixes i :: 64 word
 assumes y = IntVal\ 64\ (2\ \widehat{\ }unat(i))
          0 < i
 and
 and
          i < 64
 and
          val[x * y] \neq UndefVal
 shows val[x * y] = val[x << IntVal 64 i]
  using assms apply (cases x; cases y; auto)
   subgoal premises p for x2
   proof -
     have 63: (63 :: int64) = mask 6
      by eval
     then have (2::int) \cap 6 = 64
      \mathbf{by} \ eval
     then have uint \ i < (2::int) \ \widehat{\phantom{a}} \ 6
       by (metis linorder-not-less lt2p-lem of-int-numeral p(4) size64 word-2p-lem
word-of-int-2p wsst-TYs(3)
     then have and i (mask 6) = i
      using mask-eq-iff by blast
     then show x^2 \ll unat \ i = x^2 \ll unat \ (and \ i \ (63::64 \ word))
      unfolding 63
      by force
   \mathbf{qed}
   by presburger
\mathbf{lemma}\ \mathit{val-MulPower2Add1} :
  fixes i :: 64 \ word
 assumes y = IntVal 64 ((2 \cap unat(i)) + 1)
          0 < i
 and
 and
          i < 64
          val-to-bool(val[IntVal\ 64\ 0 < x])
 and
 and
          val-to-bool(val[IntVal\ 64\ 0 < y])
 shows val[x * y] = val[(x \ll IntVal 64 i) + x]
 using assms apply (cases x; cases y; auto)
   subgoal premises p for x2
 proof -
   have 63: (63 :: int64) = mask 6
     by eval
   then have (2::int) \cap 6 = 64
     by eval
   then have and i \pmod{6} = i
     using mask-eq-iff by (simp \ add: \ less-mask-eq p(6))
   then have x^2 * ((2::64 \ word) \cap unat \ i + (1::64 \ word)) = (x^2 * ((2::64 \ word)))
\hat{} unat i)) + x2
     by (simp add: distrib-left)
   then show x2 * ((2::64 \ word) \cap unat \ i + (1::64 \ word)) = x2 << unat \ (and \ i
(63::64 \ word)) + x2
     by (simp add: 63 \langle and (i::64 word) (mask (6::nat)) = i\rangle)
   qed
```

```
lemma val-MulPower2Sub1:
 fixes i :: 64 word
 assumes y = IntVal\ 64\ ((2 \cap unat(i)) - 1)
          0 < i
 and
 and
          i < 64
 and
          val-to-bool(val[IntVal\ 64\ 0 < x])
 and
          val-to-bool(val[IntVal\ 64\ 0 < y])
 shows val[x * y] = val[(x \ll IntVal 64 i) - x]
 using assms apply (cases x; cases y; auto)
   subgoal premises p for x2
  proof -
   have 63: (63 :: int64) = mask 6
     \mathbf{by} \ eval
   then have (2::int) \cap 6 = 64
     by eval
   then have and i (mask 6) = i
     using mask-eq-iff by (simp\ add:\ less-mask-eq\ p(6))
   then have x2 * ((2::64 \ word) \cap unat \ i - (1::64 \ word)) = (x2 * ((2::64 \ word)))
\hat{} unat i)) - x2
     by (simp add: right-diff-distrib')
   then show x2*((2::64 \ word) \cap unat \ i-(1::64 \ word)) = x2 << unat \ (and \ i
(63::64 \ word)) - x2
     by (simp add: 63 \(\langle and \((i::64 \) word) \((mask \)(6::nat)\) = i\)
   ged
   using val-to-bool.simps(2) by presburger
\mathbf{lemma}\ val\text{-}distribute\text{-}multiplication:
 assumes x = new\text{-}int \ 64 \ xx \land q = new\text{-}int \ 64 \ qq \land a = new\text{-}int \ 64 \ aa
 shows val[x * (q + a)] = val[(x * q) + (x * a)]
 apply (cases x; cases q; cases a; auto) using distrib-left assms by auto
\mathbf{lemma}\ val\text{-}MulPower2AddPower2:
  fixes i j :: 64 word
 assumes y = IntVal\ 64\ ((2 \cap unat(i)) + (2 \cap unat(j)))
 and
          0 < i
 and
          0 < j
 and
          i < 64
 and
          j < 64
          x = new\text{-}int 64 xx
 and
 shows val[x * y] = val[(x << IntVal 64 i) + (x << IntVal 64 j)]
  using assms
 proof -
   have 63: (63 :: int64) = mask 6
```

```
\mathbf{by} \ eval
   then have (2::int) \cap 6 = 64
     by eval
   then have n: IntVal\ 64\ ((2 \cap unat(i)) + (2 \cap unat(j))) =
          val[(IntVal\ 64\ (2\ \widehat{\ }unat(i))) + (IntVal\ 64\ (2\ \widehat{\ }unat(j)))]
     using assms by (cases i; cases j; auto)
  then have 1: val[x * ((IntVal\ 64\ (2 \cap unat(i))) + (IntVal\ 64\ (2 \cap unat(j))))] =
          val[(x * IntVal 64 (2 \cap unat(i))) + (x * IntVal 64 (2 \cap unat(j)))]
    using assms val-distribute-multiplication val-MulPower2 by simp
  then have 2: val[(x * IntVal 64 (2 \cap unat(i)))] = val[x << IntVal 64 i]
    using assms val-MulPower2
    using Value.distinct(1) intval-mul.simps(1) new-int.simps new-int-bin.simps
    by (smt (verit))
  then show ?thesis
   using 1 Value.distinct(1) assms(1) assms(3) assms(5) assms(6) intval-mul.simps(1)
n
          new\text{-}int.simps\ new\text{-}int\text{-}bin.elims\ val\text{-}MulPower2
    by (smt (verit, del-insts))
  ged
thm-oracles val-MulPower2AddPower2
lemma exp-multiply-zero-64:
exp[x * (const (IntVal 64 0))] \ge ConstantExpr (IntVal 64 0)
 using val-multiply-zero apply auto
 \mathbf{using}\ \mathit{Value.inject(1)}\ constant As \mathit{Stamp.simps(1)}\ int\text{-}\mathit{signed-value-bounds}\ intval\text{-}\mathit{mul.elims}
           mult-zero-right new-int.simps new-int-bin.simps nle-le numeral-eq-Suc
take-bit-of-0
       unfold\text{-}const\ valid\text{-}stamp.simps(1)\ valid\text{-}value.simps(1)\ zero\text{-}less\text{-}Suc
 by (smt (verit))
lemma exp-multiply-neutral:
exp[x * (const (IntVal b 1))] \ge x
 using val-multiply-neutral apply auto
 by (smt (verit) Value.inject(1) eval-unused-bits-zero intval-mul.elims mult.right-neutral
     new-int.elims new-int-bin.elims)
thm-oracles exp-multiply-neutral
\mathbf{lemma}\ \mathit{exp-MulPower2}\text{:}
 fixes i :: 64 \ word
 assumes y = ConstantExpr (IntVal 64 (2 ^unat(i)))
 and
          0 < i
 and
           i < 64
```

```
exp[x > (const\ IntVal\ b\ 0)]
 and
 and
          exp[y > (const\ IntVal\ b\ \theta)]
 shows exp[x * y] \ge exp[x << ConstantExpr (IntVal 64 i)]
 using assms apply simp using val-MulPower2
 by (metis ConstantExprE equiv-exprs-def unfold-binary)
lemma exp-MulPower2Add1:
 fixes i :: 64 \ word
 assumes y = ConstantExpr (IntVal 64 ((2 \cap unat(i)) + 1))
 and
          0 < i
 and
          i < 64
          exp[x > (const\ IntVal\ b\ 0)]
 and
          exp[y > (const\ IntVal\ b\ \theta)]
 and
         exp[x * y] = exp[(x << ConstantExpr(IntVal 64 i)) + x]
\mathbf{shows}
 sorry
lemma greaterConstant:
 assumes a > b
 and y = ConstantExpr (IntVal 64 a)
 and x = ConstantExpr (IntVal 64 b)
 shows y > x
 apply auto
 sorry
Optimisations
optimization EliminateRedundantNegative: -x * -y \longmapsto x * y
 apply (metis One-nat-def Suc-eq-plus1 add-Suc-shift add-less-imp-less-right less-Suc-eq
not-add-less1 not-less-eq numeral-2-eq-2 size-binary-const size-non-add)
  apply auto using val-eliminate-redundant-negative bin-eval.simps(2)
 by (metis BinaryExpr)
optimization MulNeutral: x * ConstantExpr (IntVal \ b \ 1) \longmapsto x
 using exp-multiply-neutral by blast
optimization MulEliminator: x * ConstantExpr (IntVal b 0) \longrightarrow const (IntVal b)
 apply auto using val-multiply-zero
 {\bf using}\ Value.inject(1)\ constant AsStamp.simps(1)\ int-signed-value-bounds\ intval-mul.elims
      mult-zero-right new-int.simps new-int-bin.simps take-bit-of-0 unfold-const
      valid-stamp.simps(1) valid-value.simps(1)
 by (smt (verit))
optimization MulNegate: x * -(const (IntVal \ b \ 1)) \longmapsto -x
 apply auto using val-multiply-negative
 \mathbf{by}\ (smt\ (verit)\ Value.distinct(1)\ Value.sel(1)\ add.inverse-inverse\ intval-mul.elims
```

```
intval-negate.simps(1) mask-eq-take-bit-minus-one new-int.simps new-int-bin.simps
                 take-bit-dist-neg\ unary-eval.simps(2)\ unfold-unary
                 val-eliminate-redundant-negative)
fun isNonZero :: Stamp \Rightarrow bool where
      isNonZero (IntegerStamp \ b \ lo \ hi) = (lo > 0)
      isNonZero - = False
lemma isNonZero-defn:
      assumes isNonZero (stamp-expr x)
     assumes wf-stamp x
     \mathbf{shows}\ ([m,\,p] \vdash x \mapsto v) \longrightarrow (\exists\,vv\ b.\ (v = \mathit{IntVal}\ b\ vv \land \mathit{val-to-bool}\ \mathit{val}[(\mathit{IntVal}\ b\ v) \land val)) \cap (\exists\,vv \land val) \cap (\exists\,vv \land v
     apply (rule impI) subgoal premises eval
proof -
      obtain b lo hi where xstamp: stamp-expr x = IntegerStamp b lo hi
           using assms
           by (meson\ isNonZero.elims(2))
      then obtain vv where vdef: v = IntVal\ b\ vv
           by (metis assms(2) eval valid-int wf-stamp-def)
      have lo > 0
            using assms(1) xstamp by force
      then have signed-above: int-signed-value b vv > 0
           using assms unfolding wf-stamp-def
           using eval vdef xstamp by fastforce
      have take-bit b \ vv = vv
           using eval eval-unused-bits-zero vdef by auto
      then have vv > 0
           using signed-above
                  by (metis bit-take-bit-iff int-signed-value.simps not-less-zero signed-eq-0-iff
signed-take-bit-eq-if-positive\ take-bit-0\ take-bit-of-0\ verit-comp-simplify 1 (1)\ word-gt-0)
      then show ?thesis
           using vdef using signed-above
           by simp
\mathbf{qed}
      done
optimization MulPower2: x * y \longmapsto x << const (IntVal 64 i)
                                                                                       when (i > 0 \land
                                                                                                         64 > i \land
                                                                                                         y = exp[const (IntVal 64 (2 \cap unat(i)))])
         defer
        apply simp apply (rule impI; (rule allI)+; rule impI)
      subgoal premises eval for m p v
proof -
      obtain xv where xv: [m, p] \vdash x \mapsto xv
```

```
using eval(2) by blast
 then obtain xvv where xvv: xv = IntVal 64 xvv
   using eval
  {f using}\ Constant ExprE\ bin-eval. simps (2)\ eval Det\ intval-bits. simps\ intval-mul. elims
new-int-bin.simps unfold-binary
   by (smt (verit))
 obtain yv where yv: [m, p] \vdash y \mapsto yv
   using eval(1) eval(2) by blast
 then have lhs: [m, p] \vdash exp[x * y] \mapsto val[xv * yv]
   by (metis\ bin-eval.simps(2)\ eval(1)\ eval(2)\ evalDet\ unfold-binary\ xv)
 have [m, p] \vdash exp[const\ (IntVal\ 64\ i)] \mapsto val[(IntVal\ 64\ i)]
  by (smt (verit, ccfv-SIG) ConstantExpr constantAsStamp.simps(1) eval-bits-1-64
take-bit64 validStampIntConst valid-value.simps(1) xv xvv)
 then have rhs: [m, p] \vdash exp[x << const (IntVal 64 i)] \mapsto val[xv << (IntVal 64 i)]
i)
   using xv xvv using evaltree.BinaryExpr
  by (metis Value.simps(5) bin-eval.simps(8) intval-left-shift.simps(1) new-int.simps)
 have val[xv * yv] = val[xv << (IntVal 64 i)]
   using val-MulPower2
   by (metis ConstantExprE eval(1) evaltree-not-undef lhs yv)
 then show ?thesis
   by (metis eval(1) eval(2) evalDet lhs rhs)
qed
 sorry
optimization MulPower2Add1: x * y \mapsto (x << const (IntVal 64 i)) + x
                         when (i > 0 \land
                              64 > i \land
                              y = ConstantExpr (IntVal 64 ((2 \cap unat(i)) + 1)))
  defer
  apply simp apply (rule impI; (rule allI)+; rule impI)
 subgoal premises p for m p v
 proof -
   obtain xv where xv: [m,p] \vdash x \mapsto xv
     using p by fast
   then obtain xvv where xvv: xv = IntVal 64 xvv
     by (smt (verit) p ConstantExprE bin-eval.simps(2) evalDet intval-bits.simps
intval	ext{-}mul.elims
        new-int-bin.simps unfold-binary)
   obtain yv where yv: [m,p] \vdash y \mapsto yv
     using p by blast
   have ygezero: y > ConstantExpr (IntVal 64 0)
     using greaterConstant p by fastforce
   then have 1: \theta < i \wedge
               i < 64 \land
               y = ConstantExpr (IntVal 64 ((2 \cap unat(i)) + 1))
     using p by blast
```

```
then have lhs: [m, p] \vdash exp[x * y] \mapsto val[xv * yv]
      \mathbf{by} \ (\textit{metis bin-eval.simps}(\textit{2}) \ \textit{evalDet} \ \textit{p(1)} \ \textit{p(2)} \ \textit{xv} \ \textit{yv} \ \textit{unfold-binary})
    then have [m, p] \vdash exp[const\ (IntVal\ 64\ i)] \mapsto val[(IntVal\ 64\ i)]
     by (metis verit-comp-simplify1(2) zero-less-numeral ConstantExpr constantA-
sStamp.simps(1)
          take-bit64 validStampIntConst valid-value.simps(1))
    then have rhs2: [m, p] \vdash exp[x << const (IntVal 64 i)] \mapsto val[xv << (IntVal 64 i)]
    by (metis\ Value.simps(5)\ bin-eval.simps(8)\ intval-left-shift.simps(1)\ new-int.simps
xv \ xvv
          evaltree.BinaryExpr)
    then have \mathit{rhs} : [\mathit{m}, \ \mathit{p}] \vdash \mathit{exp}[(\mathit{x} << \mathit{const} \ (\mathit{IntVal} \ \mathit{64} \ \mathit{i})) + \mathit{x}] \mapsto \mathit{val}[(\mathit{xv} <<
(Int Val 64 i)) + xv
          by (metis (no-types, lifting) intval-add.simps(1) rhs2 bin-eval.simps(1)
Value.simps(5)
           evaltree.BinaryExpr intval-left-shift.simps(1) new-int.simps xv xvv)
     then have val[xv * yv] = val[(xv << (IntVal 64 i)) + xv]
       using 1 exp-MulPower2Add1 ygezero by auto
     then show ?thesis
      by (metis evalDet lhs p(1) p(2) rhs)
  qed
  sorry
end
end
9.6
        NotNode Phase
theory NotPhase
 imports
    Common
begin
{\bf phase}\ {\it NotNode}
 terminating size
begin
lemma bin-not-cancel:
 bin[\neg(\neg(e))] = bin[e]
 by auto
\mathbf{lemma}\ val	ext{-}not	ext{-}cancel:
  assumes val[^{\sim}(new\text{-}int\ b\ v)] \neq UndefVal
  shows val[\stackrel{\sim}{\sim}(\stackrel{\sim}{\sim}(new\text{-}int\ b\ v))] = (new\text{-}int\ b\ v)
   using bin-not-cancel
```

```
by (simp add: take-bit-not-take-bit)
lemma exp-not-cancel:
 shows exp[^{\sim}(^{\sim}a)] \geq exp[a]
  using val-not-cancel apply auto
 \mathbf{by} \ (\textit{metis eval-unused-bits-zero intval-logic-negation.} \textit{cases intval-not.simps} (\textit{1})
     intval-not.simps(2) intval-not.simps(3) intval-not.simps(4) new-int.simps)
Optimisations
optimization NotCancel: exp[^{\sim}(^{\sim}a)] \longmapsto a
 by (metis exp-not-cancel)
end
end
       OrNode Phase
9.7
theory OrPhase
 imports
    Common
begin
phase OrNode
 terminating size
begin
lemma bin-or-equal:
  bin[x \mid x] = bin[x]
 \mathbf{by} \ simp
\mathbf{lemma}\ \mathit{bin-shift-const-right-helper}:
 x \mid y = y \mid x
 by simp
lemma bin-or-not-operands:
 (^{\sim}x \mid ^{\sim}y) = (^{\sim}(x \& y))
 by simp
\mathbf{lemma}\ val	ext{-}or	ext{-}equal:
  assumes x = new\text{-}int \ b \ v
 and (val[x \mid x] \neq UndefVal)
 shows val[x \mid x] = val[x]
  apply (cases x; auto) using bin-or-equal assms
```

by auto+

```
lemma val-elim-redundant-false:
 assumes x = new\text{-}int \ b \ v
          val[x \mid false] \neq UndefVal
 and
 shows val[x \mid false] = val[x]
  using assms apply (cases x; auto) by presburger
\mathbf{lemma}\ \mathit{val-shift-const-right-helper} :
  val[x \mid y] = val[y \mid x]
  apply (cases x; cases y; auto)
 by (simp\ add:\ or.commute)+
lemma val-or-not-operands:
val[^{\sim}x \mid ^{\sim}y] = val[^{\sim}(x \& y)]
 apply (cases x; cases y; auto)
 by (simp add: take-bit-not-take-bit)
lemma exp-or-equal:
  exp[x \mid x] \ge exp[x]
  using val-or-equal apply auto
  by (smt (verit, ccfv-SIG) evalDet eval-unused-bits-zero intval-negate.elims int-
val-or.simps(2)
      intval-or.simps(6) intval-or.simps(7) new-int.simps val-or-equal)
lemma exp-elim-redundant-false:
exp[x \mid false] \ge exp[x]
  using val-elim-redundant-false apply auto
  by (smt (verit) Value.sel(1) eval-unused-bits-zero intval-or.elims new-int.simps
      new-int-bin.simps val-elim-redundant-false)
Optimisations
optimization OrEqual: x \mid x \longmapsto x
 by (meson exp-or-equal le-expr-def)
optimization OrShiftConstantRight: ((const\ x)\ |\ y) \longmapsto y\ |\ (const\ x)\ when\ \neg (is-ConstantExpr
y)
 using size-flip-binary apply force
 apply auto
 by (simp add: BinaryExpr unfold-const val-shift-const-right-helper)
optimization EliminateRedundantFalse: x \mid false \longmapsto x
 by (meson exp-elim-redundant-false le-expr-def)
optimization OrNotOperands: (^{\sim}x \mid ^{\sim}y) \longmapsto ^{\sim}(x \& y)
  apply (metis add-2-eq-Suc' less-SucI not-add-less1 not-less-eq size-binary-const
size-non-add)
  apply auto using val-or-not-operands
 by (metis\ BinaryExpr\ UnaryExpr\ bin-eval.simps(4)\ intval-not.simps(2)\ unary-eval.simps(3))
```

```
{f context} stamp-mask {f begin}
```

Taking advantage of the truth table of or operations.

```
#
           x|y
       У
            0
1
   0
      0
2
   0
      1
            1
3
   1
       0
            1
   1
            1
       1
```

If row 2 never applies, that is, can BeZero x & can BeOne y = 0, then (x|y) = x.

Likewise, if row 3 never applies, can BeZero y & can BeOne x = 0, then (x|y) = y.

```
lemma Or Left Fall through:
 assumes (and (not (\downarrow x)) (\uparrow y)) = 0
 shows exp[x \mid y] \ge exp[x]
  using assms
  apply simp apply ((rule allI)+; rule impI)
  subgoal premises eval for m p v
  proof -
   obtain b vv where e: [m, p] \vdash exp[x \mid y] \mapsto IntVal\ b\ vv
     using eval
     \mathbf{by}\ (\mathit{metis}\ \mathit{BinaryExprE}\ \mathit{bin-eval-new-int}\ \mathit{new-int.simps})
   from e obtain xv where xv: [m, p] \vdash x \mapsto IntVal\ b\ xv
     apply (subst (asm) unfold-binary-width)
     by force+
   from e obtain yv where yv: [m, p] \vdash y \mapsto IntVal\ b\ yv
     apply (subst (asm) unfold-binary-width)
     by force+
   have vdef: v = intval\text{-}or (IntVal b xv) (IntVal b yv)
     using e xv yv
     by (metis\ bin-eval.simps(5)\ eval(2)\ evalDet\ unfold-binary)
   have \forall i. (bit xv i) | (bit yv i) = (bit xv i)
     by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
   then have IntVal\ b\ xv = intval\text{-}or\ (IntVal\ b\ xv)\ (IntVal\ b\ yv)
   by (smt (verit, ccfv-threshold) and idem assms bit.conj-disj-distrib eval-unused-bits-zero
intval\text{-}or.simps(1)\ new\text{-}int.simps\ new\text{-}int-bin.simps\ not\text{-}down\text{-}up\text{-}mask\text{-}and\text{-}zero\text{-}implies\text{-}zero
word-ao-absorbs(3) xv yv)
   then show ?thesis
     using vdef
     using xv by presburger
  qed
  done
```

```
\mathbf{lemma} \ \mathit{OrRightFallthrough} :
 assumes (and (not (\downarrow y)) (\uparrow x)) = 0
 shows exp[x \mid y] \ge exp[y]
 using assms
 apply simp apply ((rule allI)+; rule impI)
 subgoal premises eval for m p v
 proof -
   obtain b vv where e: [m, p] \vdash exp[x \mid y] \mapsto IntVal\ b\ vv
     using eval
     by (metis BinaryExprE bin-eval-new-int new-int.simps)
   from e obtain xv where xv: [m, p] \vdash x \mapsto IntVal\ b\ xv
     apply (subst (asm) unfold-binary-width)
     by force+
   from e obtain yv where yv: [m, p] \vdash y \mapsto IntVal\ b\ yv
     apply (subst (asm) unfold-binary-width)
     by force+
   have vdef: v = intval\text{-}or (IntVal b xv) (IntVal b yv)
     using e xv yv
     by (metis\ bin-eval.simps(5)\ eval(2)\ evalDet\ unfold-binary)
   have \forall i. (bit xv i) \mid (bit yv i) = (bit yv i)
     by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
   then have IntVal\ b\ yv = intval\text{-}or\ (IntVal\ b\ xv)\ (IntVal\ b\ yv)
      by (metis (no-types, lifting) assms eval-unused-bits-zero intval-or.simps(1)
new\-int.elims\ new\-int-bin.elims\ stamp\-mask.not\-down\-up\-mask-and\-zero\-implies\-zero
stamp-mask-axioms word-ao-absorbs(8) xv yv)
   then show ?thesis
     using vdef
     using yv by presburger
 \mathbf{qed}
 done
end
end
       SubNode Phase
9.8
theory SubPhase
 imports
   Common
   Proofs. Stamp Eval Thms
begin
phase SubNode
 terminating size
begin
```

```
lemma bin-sub-after-right-add:
 shows ((x::('a::len) word) + (y::('a::len) word)) - y = x
 \mathbf{by} \ simp
lemma sub-self-is-zero:
 shows (x::('a::len) word) - x = 0
 by simp
\mathbf{lemma}\ bin\text{-}sub\text{-}then\text{-}left\text{-}add:
 shows (x::('a::len) \ word) - (x + (y::('a::len) \ word)) = -y
 by simp
\mathbf{lemma}\ \mathit{bin-sub-then-left-sub} \colon
 shows (x::('a::len) \ word) - (x - (y::('a::len) \ word)) = y
 by simp
{f lemma}\ bin-subtract-zero:
 shows (x :: 'a::len \ word) - (0 :: 'a::len \ word) = x
 by simp
{f lemma}\ bin\mbox{-}sub\mbox{-}negative\mbox{-}value:
(x :: ('a::len) \ word) - (-(y :: ('a::len) \ word)) = x + y
 by simp
lemma bin-sub-self-is-zero:
(x :: ('a::len) \ word) - x = 0
 by simp
{\bf lemma}\ bin-sub-negative-const:
(x :: 'a :: len \ word) - (-(y :: 'a :: len \ word)) = x + y
 by simp
lemma \ val-sub-after-right-add-2:
 assumes x = new\text{-}int b v
 assumes val[(x + y) - y] \neq UndefVal
 shows val[(x + y) - y] = val[x]
 using bin-sub-after-right-add
 using assms apply (cases x; cases y; auto)
 by (metis (full-types) intval-sub.simps(2))
lemma val-sub-after-left-sub:
 assumes val[(x - y) - x] \neq UndefVal
 shows val[(x - y) - x] = val[-y]
 using assms apply (cases x; cases y; auto)
 using intval-sub.elims by fastforce
lemma val-sub-then-left-sub:
 assumes y = new\text{-}int b v
```

```
assumes val[x - (x - y)] \neq UndefVal
  shows val[x - (x - y)] = val[y]
  using assms apply (cases x; cases y; auto)
  by (metis\ (mono-tags)\ intval-sub.simps(5))
lemma val-subtract-zero:
  assumes x = new-int b v
  assumes intval-sub x (IntVal\ b\ 0) \neq UndefVal
 shows intval-sub x (IntVal b 0) = val[x]
  using assms by (induction x; simp)
lemma val-zero-subtract-value:
  assumes x = new\text{-}int \ b \ v
  assumes intval-sub (IntVal\ b\ 0)\ x \neq UndefVal
 shows intval-sub (IntVal b \theta) x = val[-x]
 using assms by (induction x; simp)
lemma \ val-sub-then-left-add:
  assumes val[x - (x + y)] \neq UndefVal
  shows val[x - (x + y)] = val[-y]
  using assms apply (cases x; cases y; auto)
  \mathbf{by}\ (\mathit{metis}\ (\mathit{mono-tags},\ \mathit{lifting})\ \mathit{intval-sub.simps}(5))
\mathbf{lemma}\ val\text{-}sub\text{-}negative\text{-}value:
  assumes val[x - (-y)] \neq UndefVal
  shows val[x - (-y)] = val[x + y]
  using assms by (cases x; cases y; auto)
\mathbf{lemma}\ \mathit{val-sub-self-is-zero}.
  assumes x = new\text{-}int \ b \ v \land val[x - x] \neq UndefVal
 shows val[x - x] = new\text{-}int \ b \ \theta
  using assms by (cases x; auto)
\mathbf{lemma}\ val\text{-}sub\text{-}negative\text{-}const:
  assumes y = new\text{-}int \ b \ v \land val[x - (-y)] \neq UndefVal
  shows val[x - (-y)] = val[x + y]
  using assms by (cases x; cases y; auto)
\mathbf{lemma}\ exp\text{-}sub\text{-}after\text{-}right\text{-}add:
  shows exp[(x + y) - y] \ge exp[x]
  {\bf apply} \ auto \ {\bf using} \ val\text{-}sub\text{-}after\text{-}right\text{-}add\text{-}2
  using evalDet eval-unused-bits-zero intval-add.elims new-int.simps
  by (smt\ (verit))
\mathbf{lemma}\ exp\text{-}sub\text{-}after\text{-}right\text{-}add2\text{:}
  shows exp[(x + y) - x] \ge exp[y]
  using exp-sub-after-right-add apply auto
  using bin-eval.simps(1) bin-eval.simps(3) intval-add-sym unfold-binary
```

```
by (smt (23) Value.inject(1) diff-eq-eq evalDet eval-unused-bits-zero intval-add.elims
     intval-sub.elims new-int.simps new-int-bin.simps take-bit-dist-subL)
lemma exp-sub-negative-value:
exp[x - (-y)] \ge exp[x + y]
 apply simp using val-sub-negative-value
 by (smt\ (verit)\ bin-eval.simps(1)\ bin-eval.simps(3)\ evaltree-not-undef
     unary-eval.simps(2) unfold-binary unfold-unary)
lemma exp-sub-then-left-sub:
 shows exp[x - (x - y)] \ge exp[y]
 using val-sub-then-left-sub apply auto
 subgoal premises p for m p xa xaa ya
   proof-
     obtain xa where xa: [m, p] \vdash x \mapsto xa
      using p(2) by blast
     obtain ya where ya: [m, p] \vdash y \mapsto ya
      using p(5) by auto
     obtain xaa where xaa: [m, p] \vdash x \mapsto xaa
      using p(2) by blast
     have 1: val[xa - (xaa - ya)] \neq UndefVal
      by (metis evalDet p(2) p(3) p(4) p(5) xa xaa ya)
     then have val[xaa - ya] \neq UndefVal
      by auto
     then have [m,p] \vdash y \mapsto val[xa - (xaa - ya)]
       by (metis 1 Value.exhaust evalDet eval-unused-bits-zero evaltree-not-undef
intval-sub.simps(6) intval-sub.simps(7) new-int.simps p(5) val-sub-then-left-sub xa
xaa ya
    then show ?thesis
      by (metis evalDet p(2) p(4) p(5) xa xaa ya)
   qed
   done
thm-oracles exp-sub-then-left-sub
Optimisations
optimization SubAfterAddRight: ((x + y) - y) \mapsto x
 using exp-sub-after-right-add by blast
optimization SubAfterAddLeft: ((x + y) - x) \mapsto y
 using exp-sub-after-right-add2 by blast
optimization SubAfterSubLeft: ((x - y) - x) \longmapsto -y
 apply (metis Suc-lessI add-2-eq-Suc' add-less-cancel-right less-trans-Suc not-add-less1
size-binary-const size-binary-lhs size-binary-rhs size-non-add)
  apply auto
 by (metis\ evalDet\ unary-eval.simps(2)\ unfold-unary\ val-sub-after-left-sub)
```

```
optimization SubThenAddLeft: (x - (x + y)) \longmapsto -y
  apply auto
 \mathbf{by}\ (metis\ evalDet\ unary-eval.simps(2)\ unfold-unary
     val-sub-then-left-add)
optimization SubThenAddRight: (y - (x + y)) \longmapsto -x
  apply auto
 by (metis evalDet intval-add-sym unary-eval.simps(2) unfold-unary
     val-sub-then-left-add)
optimization SubThenSubLeft: (x - (x - y)) \mapsto y
 using size-simps apply simp
 using exp-sub-then-left-sub by blast
optimization SubtractZero: (x - (const\ IntVal\ b\ \theta)) \longmapsto x
 apply auto
 by (smt (verit) add.right-neutral diff-add-cancel eval-unused-bits-zero intval-sub.elims
     intval-word.simps new-int.simps new-int-bin.simps)
thm-oracles SubtractZero
optimization SubNegativeValue: (x - (-y)) \mapsto x + y
 apply (metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const
size-non-add)
 using exp-sub-negative-value by simp
thm-oracles SubNegativeValue
lemma negate-idempotent:
 assumes x = IntVal\ b\ v \land take-bit\ b\ v = v
 shows x = val[-(-x)]
 using assms
 using is-IntVal-def by force
optimization ZeroSubtractValue: ((const\ IntVal\ b\ 0) - x) \longmapsto (-x)
                            when (wf\text{-}stamp\ x \land stamp\text{-}expr\ x = IntegerStamp\ b\ lo
hi \wedge \neg (is\text{-}ConstantExpr x))
  defer
 apply auto unfolding wf-stamp-def
 apply (smt (verit) diff-0 intval-negate.simps(1) intval-sub.elims intval-word.simps
        new-int-bin.simps\ unary-eval.simps(2)\ unfold-unary)
```

```
optimization SubSelfIsZero: (x - x) \longmapsto const \ IntVal \ b \ 0 \ when
                    (wf\text{-}stamp\ x \land stamp\text{-}expr\ x = IntegerStamp\ b\ lo\ hi)
  apply simp-all
  apply auto
  using IRExpr.disc(42) One-nat-def size-non-const apply presburger
  \mathbf{by}\ (smt\ (verit,\ best)\ Constant Expr\ eval Det\ eval-bits-1-64\ eval-unused-bits-zero
new-int.simps\ take-bit-of-0\ val-sub-self-is-zero\ validDefIntConst\ valid-int\ wf-stamp-def)
end
end
       XorNode Phase
9.9
theory XorPhase
 imports
    Common
    Proofs.StampEvalThms
begin
{f phase} \ {\it XorNode}
  terminating size
begin
{f lemma}\ bin-xor-self-is-false:
 bin[x \oplus x] = 0
 by simp
lemma bin-xor-commute:
 bin[x \oplus y] = bin[y \oplus x]
 by (simp add: xor.commute)
{\bf lemma}\ bin-eliminate-redundant-false:
 bin[x \oplus \theta] = bin[x]
 by simp
{f lemma}\ val	ext{-}xor	ext{-}self	ext{-}is	ext{-}false:
  assumes val[x \oplus x] \neq UndefVal
 shows val-to-bool (val[x \oplus x]) = False
 using assms by (cases x; auto)
```

lemma val-xor-self-is-false-2:

```
assumes (val[x \oplus x]) \neq UndefVal
 \mathbf{and}
          x = Int Val 32 v
 shows val[x \oplus x] = bool-to-val\ False
 using assms by (cases x; auto)
\mathbf{lemma}\ \mathit{val-xor-self-is-false-3}\colon
  assumes val[x \oplus x] \neq UndefVal \land x = IntVal 64 v
 shows val[x \oplus x] = IntVal 64 0
 using assms by (cases x; auto)
lemma val-xor-commute:
  val[x \oplus y] = val[y \oplus x]
  apply (cases x; cases y; auto)
 by (simp\ add:\ xor.commute)+
{f lemma}\ val\mbox{-}eliminate\mbox{-}redundant\mbox{-}false:
 assumes x = new-int b v
 assumes val[x \oplus (bool\text{-}to\text{-}val\ False)] \neq UndefVal
 shows val[x \oplus (bool\text{-}to\text{-}val\ False)] = x
 using assms apply (cases x; auto)
 by meson
lemma exp-xor-self-is-false:
assumes wf-stamp x \wedge stamp\text{-}expr \ x = default\text{-}stamp
shows exp[x \oplus x] \ge exp[false]
 using assms apply auto unfolding wf-stamp-def
 \mathbf{using}\ IntVal0\ Value.inject(1)\ bool-to-val.simps(2)\ constantAsStamp.simps(1)\ evalDet
          int-signed-value-bounds new-int.simps unfold-const val-xor-self-is-false-2
valid-int
       valid-stamp.simps(1) valid-value.simps(1)
 by (smt (z3) \ validDefIntConst)
lemma exp-eliminate-redundant-false:
 shows exp[x \oplus false] \ge exp[x]
 using val-eliminate-redundant-false apply auto
 subgoal premises p for m p xa
   proof -
     obtain xa where xa: [m,p] \vdash x \mapsto xa
       using p(2) by blast
     then have val[xa \oplus (IntVal \ 32 \ 0)] \neq UndefVal
       using evalDet \ p(2) \ p(3) by blast
     then have [m,p] \vdash x \mapsto val[xa \oplus (IntVal\ 32\ 0)]
       apply (cases xa; auto) using eval-unused-bits-zero xa by auto
     then show ?thesis
       using evalDet \ p(2) \ xa \ by \ blast
   qed
```

done

```
Optimization XorSelfIsFalse: (x \oplus x) \longmapsto false \ when (wf\text{-}stamp \ x \land stamp\text{-}expr \ x = default\text{-}stamp) using size-non-const apply force using exp-xor-self-is-false by auto optimization XorShiftConstantRight: ((const \ x) \oplus y) \longmapsto y \oplus (const \ x) \ when \neg (is\text{-}ConstantExpr \ y) using size-flip-binary apply force unfolding le-expr-def using val-xor-commute by auto optimization EliminateRedundantFalse: (x \oplus false) \longmapsto x using exp-eliminate-redundant-false by blast
```

end

end

10 Verifying term graph optimizations using Isabelle/HOL

```
{\bf theory} \ {\it TreeSnippets}
 imports
   Canonicalizations. BinaryNode
   Canonicalizations.\ Conditional Phase
   Canonicalizations. AddPhase
   Semantics.\ Tree\ To\ Graph\ Thms
   Snippets. Snipping
   HOL-Library. Optional Sugar
begin
— First, we disable undesirable markup.
\mathbf{declare}\ [[\mathit{show-types=false}, \mathit{show-sorts=false}]]
no-notation ConditionalExpr (- ? - : -)
— We want to disable and reduce how aggressive automated tactics are as obliga-
tions are generated in the paper
method unfold-size = -
method unfold-optimization =
  (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   rule conjE, simp, simp del: le-expr-def)
```

10.1 Markup syntax for common operations

```
notation (latex)
kind (-\langle - \rangle)

notation (latex)
valid\text{-}value (- \in -)

notation (latex)
val\text{-}to\text{-}bool (bool\text{-}of -)

notation (latex)
constantAsStamp (stamp\text{-}from\text{-}value -)

notation (latex)
size (trm(-))
```

10.2 Representing canonicalization optimizations

We wish to provide an example of the semantics layers at which optimizations can be expressed.

```
lemma diff-self:

fixes x :: int

shows x - x = 0

by simp

lemma diff-diff-cancel:

fixes x y :: int

shows x - (x - y) = y

by simp

thm diff-self

thm diff-diff-cancel
```

```
algebraic-laws
x - x = 0 \tag{1}
x - (x - y) = y \tag{2}
```

```
lemma diff-self-value: \forall v::'a::len\ word.\ v-v=0 by simp lemma diff-diff-cancel-value: \forall\ v_1\ v_2::'a::len\ word\ .\ v_1-(v_1-v_2)=v_2 by simp
```

```
algebraic{-laws-values}
```

```
\forall v :: 'a \ word. \ v - v = (0 :: 'a \ word) (3)

\forall (v_1 :: 'a \ word) \ v_2 :: 'a \ word. \ v_1 - (v_1 - v_2) = v_2 (4)
```

```
translations
```

```
n <= CONST\ ConstantExpr\ (CONST\ IntVal\ b\ n)
 x - y \le CONST BinaryExpr (CONST BinSub) x y
notation (ExprRule output)
 Refines (- \longmapsto -)
lemma diff-self-expr:
 assumes \forall m \ p \ v. \ [m,p] \vdash exp[e-e] \mapsto IntVal \ b \ v
 shows exp[e - e] \ge exp[const\ (IntVal\ b\ 0)]
 using assms apply simp
 by (metis(full-types) evalDet val-to-bool.simps(1) zero-neq-one)
method open\text{-}eval = (simp; (rule impI)?; (rule allI)+; rule impI)
lemma diff-diff-cancel-expr:
 shows exp[e_1 - (e_1 - e_2)] \ge exp[e_2]
 apply open-eval
 subgoal premises eval for m p v
 proof -
   obtain v1 where v1: [m, p] \vdash e_1 \mapsto v1
     using eval by blast
   obtain v2 where v2: [m, p] \vdash e_2 \mapsto v2
     using eval by blast
   then have e: [m, p] \vdash exp[e_1 - (e_1 - e_2)] \mapsto val[v1 - (v1 - v2)]
     using v1 v2 eval
     by (smt (verit, ccfv-SIG) bin-eval.simps(3) evalDet unfold-binary)
   then have notUn: val[v1 - (v1 - v2)] \neq UndefVal
     using evaltree-not-undef by auto
   then have val[v1 - (v1 - v2)] = v2
    apply (cases v1; cases v2; auto simp: notUn)
     using eval-unused-bits-zero v2 apply blast
     by (metis(full-types) intval-sub.simps(5))
   then show ?thesis
     by (metis e eval evalDet v2)
 qed
 done
```

thm-oracles diff-diff-cancel-expr

```
algebraic\mbox{-}laws\mbox{-}expressions
```

then show $\forall m \ p \ v. \ ([m,p] \vdash BinaryExpr \ BinSub \ e \ e \mapsto v) \longrightarrow ([m,p] \vdash Con-$

by (smt (verit, best) BinaryExprE TreeSnippets.wf-stamp-def assms bin-eval.simps(3)

by $(metis\ stamp-expr.simps(2))$

 $stantExpr (IntVal \ b \ \theta) \mapsto v)$

```
constant As Stamp. simps (1) \ eval Det \ stamp-expr. simps (2) \ sub-same-val \ unfold-const \ valid-stamp. simps (1) \ valid-value. simps (1))
```

qed

thm-oracles SubIdentity

```
RedundantSubtract

optimization RedundantSubtract:
e_1 - (e_1 - e_2) \longmapsto e_2
```

```
using size-simps apply simp
using diff-diff-cancel-expr by presburger
end
```

10.3 Representing terms

We wish to show a simple example of expressions represented as terms.

```
ast-example BinaryExpr\ BinAdd (BinaryExpr\ BinMul\ x\ x) (BinaryExpr\ BinMul\ x\ x)
```

Then we need to show the datatypes that compose the example expression.

```
abstract-syntax-tree

datatype IRExpr =
    UnaryExpr IRUnaryOp IRExpr
| BinaryExpr IRBinaryOp IRExpr IRExpr
| ConditionalExpr IRExpr IRExpr IRExpr
| ParameterExpr nat Stamp
| LeafExpr nat Stamp
| ConstantExpr Value
| ConstantVar (char list)
| VariableExpr (char list) Stamp
```

10.4 Term semantics

The core expression evaluation functions need to be introduced.

eval

unary- $eval :: IRUnaryOp \Rightarrow Value \Rightarrow Value$ bin- $eval :: IRBinaryOp \Rightarrow Value \Rightarrow Value \Rightarrow Value$

We then provide the full semantics of IR expressions.

no-translations

$$(prop) \ P \land Q \Longrightarrow R <= (prop) \ P \Longrightarrow Q \Longrightarrow R$$

translations
 $(prop) \ P \Longrightarrow Q \Longrightarrow R <= (prop) \ P \land Q \Longrightarrow R$

tree-semantics

semantics:unary semantics:binary semantics:conditional semantics:constant semantics:parameter semantics:leaf

no-translations

$$\begin{array}{ccc} (prop) \ P \Longrightarrow Q \Longrightarrow R <= (prop) \ P \wedge Q \Longrightarrow R \\ \textbf{translations} \\ (prop) \ P \wedge Q \Longrightarrow R <= (prop) \ P \Longrightarrow Q \Longrightarrow R \\ \end{array}$$

And show that expression evaluation is deterministic.

tree-evaluation-deterministic

$$[m,p] \vdash e \mapsto v_1 \land [m,p] \vdash e \mapsto v_2 \Longrightarrow v_1 = v_2$$

We then want to start demonstrating the obligations for optimizations. For this we define refinement over terms.

expression-refinement

$$e_1 \sqsupseteq e_2 = (\forall \ m \ p \ v. \ [m,p] \vdash e_1 \mapsto v \longrightarrow [m,p] \vdash e_2 \mapsto v)$$

To motivate this definition we show the obligations generated by optimization definitions.

phase SnipPhase terminating size begin

InverseLeftSub

 ${\bf optimization}\ \mathit{InverseLeftSub}:$

$$(e_1 - e_2) + e_2 \longmapsto e_1$$

Inverse Left Sub Obligation

- 1. $trm(e_1) < trm(BinaryExpr\ BinAdd\ (BinaryExpr\ BinSub\ e_1\ e_2)\ e_2)$
- 2. $BinaryExpr\ BinAdd\ (BinaryExpr\ BinSub\ e_1\ e_2)\ e_2\ \supseteq\ e_1$

using RedundantSubAdd by auto

InverseRightSub

optimization InverseRightSub: $e_2 + (e_1 - e_2) \longmapsto e_1$

Inverse Right Sub Obligation

- 1. $trm(e_1) < trm(BinaryExpr\ BinAdd\ e_2\ (BinaryExpr\ BinSub\ e_1\ e_2))$
- 2. $BinaryExpr\ BinAdd\ e_2\ (BinaryExpr\ BinSub\ e_1\ e_2)\ \supseteq\ e_1$

using RedundantSubAdd2(2) rewrite-termination.simps(1) apply blast using RedundantSubAdd2(1) rewrite-preservation.simps(1) by blast end

$expression\-refinement\-monotone$

 $e \supseteq e' \Longrightarrow UnaryExpr \ op \ e \supseteq UnaryExpr \ op \ e'$

 $x \supseteq x' \land y \supseteq y' \Longrightarrow BinaryExpr \ op \ x \ y \supseteq BinaryExpr \ op \ x' \ y'$

 $ce \supseteq ce' \land te \supseteq te' \land fe \supseteq fe' \Longrightarrow ConditionalExpr ce te fe \supseteq ConditionalExpr ce' te' fe'$

phase SnipPhase terminating size begin

Binary Fold Constant

optimization $BinaryFoldConstant: BinaryExpr op (const v1) (const v2) \\ \longmapsto ConstantExpr (bin-eval op v1 v2)$

Binary Fold Constant Obligation

- 1. $trm(ConstantExpr\ (bin-eval\ op\ v1\ v2))$ $< trm(BinaryExpr\ op\ (ConstantExpr\ v1)\ (ConstantExpr\ v2))$
- 2. BinaryExpr op (ConstantExpr v1) (ConstantExpr v2) \(\) ConstantExpr (bin-eval op v1 v2)

using BinaryFoldConstant(1) by auto

Add Commute Constant Right

optimization AddCommuteConstantRight: $(const\ v) + y \longmapsto y + (const\ v)$ when $\neg (is\text{-}ConstantExpr\ y)$

Add Commute Constant Right Obligation

- 1. \neg is-ConstantExpr $y \longrightarrow trm(BinaryExpr\ BinAdd\ y\ (ConstantExpr\ v))$ < $trm(BinaryExpr\ BinAdd\ (ConstantExpr\ v)\ y)$
- 2. \neg is-ConstantExpr $y \longrightarrow$ BinaryExpr BinAdd (ConstantExpr v) $y \supseteq$ BinaryExpr BinAdd y (ConstantExpr v)

using AddShiftConstantRight by auto

AddNeutral

optimization $AddNeutral: e + (const (IntVal 32 0)) \mapsto e$

Add Neutral Obligation

- 1. $trm(e) < trm(BinaryExpr\ BinAdd\ e\ (ConstantExpr\ (IntVal\ 32\ 0)))$
- 2. BinaryExpr BinAdd e (ConstantExpr (IntVal 32 0)) \supseteq e

apply auto

using AddNeutral(1) rewrite-preservation.simps(1) by force

$\overline{AddToSub}$

optimization $AddToSub: -e + y \longmapsto y - e$

```
Add To Sub Obligation
```

- 1. $trm(BinaryExpr\ BinSub\ y\ e) < trm(BinaryExpr\ BinAdd\ (UnaryExpr\ UnaryNeg\ e)\ y)$
- 2. BinaryExprBinAdd (UnaryExpr UnaryNeg e) y \supseteq BinaryExprBinSub y e

using AddLeftNegateToSub by auto

end

definition trm where trm = size

 $\mathbf{lemma} \ trm\text{-}defn[size\text{-}simps]:$

 $trm\ x=size\ x$

by (simp add: trm-def)

phase

 ${\bf phase} \ Add Canonicalizations$

 $\mathbf{terminating}\ \mathit{trm}$

begin...end

hide-const (open) Form.wf-stamp

phase-example

phase Conditional

 $\mathbf{terminating}\ \mathit{trm}$

begin

phase-example-1

optimization NegateCond: $((!e) ? x : y) \longmapsto (e ? y : x)$

apply (simp add: size-simps)

using Conditional Phase. Negate Condition Flip Branches (1) by simp

phase-example-2

optimization TrueCond: $(true ? x : y) \longmapsto x$

by (auto simp: trm-def)

phase-example-3

optimization FalseCond: (false ? x : y) $\longmapsto y$

by (auto simp: trm-def)

```
phase-example-4 \mathbf{optimization}\ BranchEqual:\ (e\ ?\ x:x)\longmapsto x
```

by (auto simp: trm-def)

```
phase-example-5 optimization LessCond: ((u < v) ? x : y) \mapsto x when (stamp-under (stamp-expr u) (stamp-expr v) \land wf\text{-stamp } u \land wf\text{-stamp } v)
```

 $\mathbf{apply} \ (\mathit{auto} \ \mathit{simp} \colon \mathit{trm-def})$

using Conditional Phase. condition-bounds-x(1)

 $\textbf{by} \ (\textit{metis}(\textit{full-types}) \ \textit{StampEvalThms.wf-stamp-def TreeSnippets.wf-stamp-def bin-eval.simps} (12) \ \textit{stamp-under-defn})$

apply (auto simp: trm-def)

using Conditional Phase. condition-bounds-y(1)

 $\textbf{by} \; (\textit{metis}(\textit{full-types}) \; \textit{StampEvalThms.wf-stamp-def TreeSnippets.wf-stamp-def bin-eval.simps} (12) \\ \textit{stamp-under-defn-inverse})$

```
phase-example-7
```

end

lemma simplified-binary: $\neg(is\text{-}ConstantExpr\ b) \implies size\ (BinaryExpr\ op\ a\ b) = size\ a + size\ b + 2$

by (induction b; induction op; auto simp: is-ConstantExpr-def)

thm bin-size

 $\mathbf{thm}\ \mathit{bin\text{-}const\text{-}size}$

 $\mathbf{thm}\ \mathit{unary-size}$

 \mathbf{thm} size-non-add

termination

$$trm(UnaryExpr\ op\ e)=trm(e)+2$$

$$trm(BinaryExpr\ op\ x\ (ConstantExpr\ cy)) = trm(x) + 2$$

$$trm(BinaryExpr\ op\ a\ b) = trm(a) + trm(b) + 2$$

$$trm(ConditionalExpr\ cond\ t\ f) = trm(cond) + trm(t) + trm(f) + 2$$

$$trm(ConstantExpr\ c) = 1$$

$$trm(ParameterExpr\ ind\ s)=2$$

$$trm(LeafExpr\ nid\ s)=2$$

$graph\mbox{-}representation$

$$\{g :: ID \rightharpoonup (IRNode \times Stamp) : finite (dom g)\}$$

no-translations

$$(prop) \ P \land Q \Longrightarrow R <= (prop) \ P \Longrightarrow Q \Longrightarrow R$$

translations

$$(prop) P \Longrightarrow Q \Longrightarrow R \le (prop) P \land Q \Longrightarrow R$$

graph2tree

 $\begin{tabular}{ll} rep: constant & rep: parameter & rep: conditional & rep: unary & rep: convert \\ rep: binary & rep: leaf & rep: ref \\ \end{tabular}$

no-translations

$$(prop)\ P \Longrightarrow Q \Longrightarrow R <= (prop)\ P \land\ Q \Longrightarrow R$$

translations

$$(prop)\ P\ \land\ Q \Longrightarrow R <= (prop)\ P \Longrightarrow Q \Longrightarrow R$$

```
preeval
is-preevaluated (InvokeNode\ n\ uu\ uv\ uw\ ux\ uy) = True
is-preevaluated (InvokeWithExceptionNode n uz va vb vc vd ve) =
True
is-preevaluated (NewInstanceNode n vf vg vh) = True
is-preevaluated (LoadFieldNode n vi vj vk) = True
is-preevaluated (SignedDivNode n vl vm vn vo vp) = True
is-preevaluated (SignedRemNode\ n\ vq\ vr\ vs\ vt\ vu) = True
is-preevaluated (ValuePhiNode n \ vv \ vw) = True
is-preevaluated (AbsNode\ v) = False
is-preevaluated (AddNode v va) = False
is-preevaluated (AndNode v va) = False
is-preevaluated (BeginNode v) = False
is-preevaluated (BytecodeExceptionNode v va vb) = False
is-preevaluated (ConditionalNode v va vb) = False
is-preevaluated (ConstantNode v) = False
is-preevaluated (DynamicNewArrayNode v va vb vc vd) = False
is-preevaluated EndNode = False
is-preevaluated (ExceptionObjectNode v va) = False
is-preevaluated (FrameState v va vb vc) = False
is-preevaluated (IfNode v va vb) = False
is-preevaluated (IntegerBelowNode v va) = False
is-preevaluated (IntegerEqualsNode v va) = False
is-preevaluated (IntegerLessThanNode v va) = False
is-preevaluated (IsNullNode\ v) = False
is-preevaluated (KillingBeginNode v) = False
is-preevaluated (LeftShiftNode v va) = False
is-preevaluated (LogicNegationNode v) = False
is-preevaluated (LoopBeginNode v va vb vc) = False
is-preevaluated (LoopEndNode\ v) = False
is-preevaluated (LoopExitNode\ v\ va\ vb) = False
is-preevaluated (MergeNode v va vb) = False
is-preevaluated (MethodCallTargetNode v va) = False
is-preevaluated (MulNode v va) = False
is-preevaluated (NarrowNode v va vb) = False
is-preevaluated (NegateNode v) = False
is-preevaluated (NewArrayNode v va vb) = False
is-preevaluated (NotNode v) = False
is-preevaluated (OrNode v va) = Felse
is-preevaluated (ParameterNode\ v) = False
is-preevaluated (PiNode\ v\ va) = False
is-preevaluated (ReturnNode v va) = False
is-preevaluated (RightShiftNode v va) = False
is-preevaluated (ShortCircuitOrNode v va) = False
```

is-preevaluated (SianExtendNode v va vb) = False

$deterministic \hbox{-} representation$

$$g \vdash n \simeq e_1 \land g \vdash n \simeq e_2 \Longrightarrow e_1 = e_2$$

thm-oracles repDet

$well\mbox{-}formed\mbox{-}term\mbox{-}graph$

$$\exists \, e. \, g \vdash n \, \simeq \, e \, \land \, (\exists \, v. \, [m,p] \vdash e \mapsto v)$$

graph-semantics

$$([g,m,p] \vdash n \mapsto v) = (\exists e. g \vdash n \simeq e \land [m,p] \vdash e \mapsto v)$$

graph-semantics-deterministic

$$[g,m,p] \vdash n \mapsto v_1 \, \land \, [g,m,p] \vdash n \mapsto v_2 \Longrightarrow v_1 = v_2$$

 ${f thm ext{-}oracles}\ graphDet$

notation (*latex*)

graph-refinement (term-graph-refinement -)

graph-refinement

$$\begin{array}{l} \textit{term-graph-refinement} \ g_1 \ g_2 = \\ (\textit{ids} \ g_1 \subseteq \textit{ids} \ g_2 \land \\ (\forall \, n. \ n \in \textit{ids} \ g_1 \longrightarrow (\forall \, e. \ g_1 \vdash n \simeq e \longrightarrow g_2 \vdash n \unlhd e))) \end{array}$$

translations

 $n <= CONST \ as ext{-}set \ n$

graph-semantics-preservation

$$\begin{array}{l} e_1{'} \supseteq e_2{'} \wedge \\ \{n\} \lessdot g_1 \subseteq g_2 \wedge \\ g_1 \vdash n \simeq e_1{'} \wedge g_2 \vdash n \simeq e_2{'} \Longrightarrow \\ \textit{term-graph-refinement } g_1 \ g_2 \end{array}$$

 ${f thm ext{-}oracles}\ graph ext{-}semantics ext{-}preservation ext{-}subscript$

$maximal\mbox{-}sharing$

```
\begin{array}{l} \textit{maximal-sharing } g = \\ (\forall \, n_1 \, \, n_2. \\ \quad n_1 \in \textit{true-ids } g \, \land \, n_2 \in \textit{true-ids } g \longrightarrow \\ (\forall \, e. \, g \vdash n_1 \simeq e \, \land \\ \quad g \vdash n_2 \simeq e \, \land \, \textit{stamp } g \, \, n_1 = \textit{stamp } g \, \, n_2 \longrightarrow \\ \quad n_1 = n_2)) \end{array}
```

tree-to-graph-rewriting

```
\begin{array}{l} e_1 \mathrel{\sqsupset} e_2 \land \\ g_1 \vdash n \simeq e_1 \land \\ maximal\text{-}sharing \ g_1 \land \\ \{n\} \mathrel{\vartriangleleft} g_1 \mathrel{\subseteq} g_2 \land \\ g_2 \vdash n \simeq e_2 \land \\ maximal\text{-}sharing \ g_2 \Longrightarrow \\ term\text{-}graph\text{-}refinement \ g_1 \ g_2 \end{array}
```

$\mathbf{thm\text{-}oracles}\ \mathit{tree\text{-}to\text{-}graph\text{-}rewriting}$

$term\hbox{-} graph\hbox{-} refines\hbox{-} term$

$$(g \vdash n \trianglelefteq e) = (\exists e'. g \vdash n \simeq e' \land e \sqsubseteq e')$$

$term\hbox{-} graph\hbox{-} evaluation$

$$g \vdash n \trianglelefteq e \Longrightarrow \forall \, m \, \, p \, \, v. \, \, [m,p] \vdash e \mapsto v \longrightarrow [g,m,p] \vdash n \mapsto v$$

graph-construction

$$\begin{array}{l} e_1 \mathrel{\sqsubseteq} e_2 \land g_1 \subseteq g_2 \land g_2 \vdash n \simeq e_2 \Longrightarrow \\ g_2 \vdash n \mathrel{\unlhd} e_1 \land term\text{-}graph\text{-}refinement \ g_1 \ g_2 \end{array}$$

${f thm ext{-}oracles}\ graph ext{-}construction$

$term\hbox{-} graph\hbox{-} reconstruction$

$$g \oplus e \leadsto (g',\,n) \Longrightarrow g' \vdash n \simeq e \land g \subseteq g'$$

refined-insert

 $\begin{array}{l} e_1 \sqsupseteq e_2 \wedge g_1 \oplus e_2 \leadsto (g_2, \, n') \Longrightarrow \\ g_2 \vdash n' \unlhd e_1 \wedge term\text{-}graph\text{-}refinement \, g_1 \, g_2 \end{array}$

 $\quad \mathbf{end} \quad$