

Veriopt Theories

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1 Additional Theorems about Computer Words

theory *JavaWords*

imports

HOL-Library.Word

HOL-Library.Signed-Division

HOL-Library.Float

HOL-Library.LaTeXsugar

begin

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

type-synonym *int64* = 64 word — long

type-synonym *int32* = 32 word — int

type-synonym *int16* = 16 word — short

type-synonym *int8* = 8 word — char

type-synonym *int1* = 1 word — boolean

abbreviation *valid-int-widths* :: nat set **where**

valid-int-widths $\equiv \{1, 8, 16, 32, 64\}$

type-synonym *iwidth* = nat

fun *bit-bounds* :: nat \Rightarrow (int \times int) **where**

bit-bounds bits = (((2 \wedge bits) div 2) * -1, ((2 \wedge bits) div 2) - 1)

definition *logic-negate* :: ('a::len) word \Rightarrow 'a word **where**

logic-negate x = (if x = 0 then 1 else 0)

fun *int-signed-value* :: iwidth \Rightarrow int64 \Rightarrow int **where**

int-signed-value b v = sint (signed-take-bit (b - 1) v)

fun *int-unsigned-value* :: iwidth \Rightarrow int64 \Rightarrow int **where**

int-unsigned-value b v = uint v

A convenience function for directly constructing -1 values of a given bit size.

fun *neg-one* :: iwidth \Rightarrow int64 **where**

neg-one b = mask b

1.1 Bit-Shifting Operators

definition *shiffl* (infix << 75) **where**

$\text{shiffl } w \ n = (\text{push-bit } n) \ w$

lemma *shiffl-power*[simp]: $(x :: ('a :: \text{len}) \text{ word}) * (2^{\wedge} j) = x << j$
unfolding *shiffl-def* **apply** (*induction j*)
apply *simp* **unfolding** *funpow-Suc-right*
by (*metis (no-types, opaque-lifting) push-bit-eq-mult*)

lemma $(x :: ('a :: \text{len}) \text{ word}) * ((2^{\wedge} j) + 1) = x << j + x$
by (*simp add: distrib-left*)

lemma $(x :: ('a :: \text{len}) \text{ word}) * ((2^{\wedge} j) - 1) = x << j - x$
by (*simp add: right-diff-distrib*)

lemma $(x :: ('a :: \text{len}) \text{ word}) * ((2^{\wedge} j) + (2^{\wedge} k)) = x << j + x << k$
by (*simp add: distrib-left*)

lemma $(x :: ('a :: \text{len}) \text{ word}) * ((2^{\wedge} j) - (2^{\wedge} k)) = x << j - x << k$
by (*simp add: right-diff-distrib*)

Unsigned shift right.

definition *shiftr* (**infix** $>>> 75$) **where**
 $\text{shiftr } w \ n = \text{drop-bit } n \ w$

corollary $(255 :: 8 \text{ word}) >>> (2 :: \text{nat}) = 63$ **by** *code-simp*

Signed shift right.

definition *sshiftr* :: $'a :: \text{len} \text{ word} \Rightarrow \text{nat} \Rightarrow 'a :: \text{len} \text{ word}$ (**infix** $>> 75$) **where**
 $\text{sshiftr } w \ n = \text{word-of-int } ((\text{sint } w) \text{ div } (2^{\wedge} n))$

corollary $(128 :: 8 \text{ word}) >> 2 = 0xE0$ **by** *code-simp*

1.2 Fixed-width Word Theories

1.2.1 Support Lemmas for Upper/Lower Bounds

lemma *size32*: $\text{size } v = 32$ **for** $v :: 32 \text{ word}$
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3)*
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
by (*smt (verit, del-insts) mult commute*)

lemma *size64*: $\text{size } v = 64$ **for** $v :: 64 \text{ word}$
using *size-word.rep-eq*
using *One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3)*
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
by (*smt (verit, del-insts) mult commute*)

lemma *lower-bounds-equiv*:
assumes $0 < N$
shows $-(((2::int) \wedge (N-1))) = (2::int) \wedge N \text{ div } 2 * -1$
by (*simp add: assms int-power-div-base*)

lemma *upper-bounds-equiv*:
assumes $0 < N$
shows $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$
by (*simp add: assms int-power-div-base*)

Some min/max bounds for 64-bit words

lemma *bit-bounds-min64*: $((fst (bit-bounds 64))) \leq (sint (v::int64))$
unfolding *bit-bounds.simps fst-def*
using *sint-ge[of v]* **by** *simp*

lemma *bit-bounds-max64*: $((snd (bit-bounds 64))) \geq (sint (v::int64))$
unfolding *bit-bounds.simps fst-def*
using *sint-lt[of v]* **by** *simp*

Extend these min/max bounds to extracting smaller signed words using *signed_take_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed_take_bit*. But that would have to be done separately for each bit-width type.

corollary *sint(signed_take_bit 7 (128 :: int8)) = -128* **by** *code-simp*

ML-val $\langle @\{thm\ signed_take_bit_decr_length_iff\} \rangle$
declare $[[show_types=true]]$
ML-val $\langle @\{thm\ signed_take_bit_int_less_exp\} \rangle$

lemma *signed_take_bit_int_less_exp_word*:
fixes *ival* :: 'a :: len word
assumes $n < LENGTH('a)$
shows $sint(signed_take_bit\ n\ ival) < (2::int) \wedge n$
apply *transfer*
by (*smt (verit, best) not_take_bit_negative signed_take_bit_eq_take_bit_shift signed_take_bit_int_less_exp take_bit_int_greater_self_iff*)

lemma *signed_take_bit_int_greater_eq_minus_exp_word*:
fixes *ival* :: 'a :: len word
assumes $n < LENGTH('a)$
shows $-(2 \wedge n) \leq sint(signed_take_bit\ n\ ival)$
apply *transfer*
by (*smt (verit, best) signed_take_bit_int_greater_eq_minus_exp signed_take_bit_int_greater_eq_self_iff signed_take_bit_int_less_exp*)

lemma *signed_take_bit_range*:

```

fixes ival :: 'a :: len word
assumes n < LENGTH('a)
assumes val = sint(signed-take-bit n ival)
shows - (2 ^ n) ≤ val ∧ val < 2 ^ n
using signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word
using assms by blast

```

A *bit_bounds* version of the above lemma.

```

lemma signed-take-bit-bounds:
  fixes ival :: 'a :: len word
  assumes n ≤ LENGTH('a)
  assumes 0 < n
  assumes val = sint(signed-take-bit (n - 1) ival)
  shows fst (bit-bounds n) ≤ val ∧ val ≤ snd (bit-bounds n)
  using assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv
  by (metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt
    snd-conv zle-diff1-eq)

```

```

lemma signed-take-bit-bounds64:
  fixes ival :: int64
  assumes n ≤ 64
  assumes 0 < n
  assumes val = sint(signed-take-bit (n - 1) ival)
  shows fst (bit-bounds n) ≤ val ∧ val ≤ snd (bit-bounds n)
  using assms signed-take-bit-bounds
  by (metis size64 word-size)

```

```

lemma int-signed-value-bounds:
  assumes b1 ≤ 64
  assumes 0 < b1
  shows fst (bit-bounds b1) ≤ int-signed-value b1 v2 ∧
    int-signed-value b1 v2 ≤ snd (bit-bounds b1)
  using assms int-signed-value.simps signed-take-bit-bounds64 by blast

```

```

lemma int-signed-value-range:
  fixes ival :: int64
  assumes val = int-signed-value n ival
  shows - (2 ^ (n - 1)) ≤ val ∧ val < 2 ^ (n - 1)
  using signed-take-bit-range assms
  by (smt (verit, ccfv-SIG) One-nat-def diff-less int-signed-value.elims len-gt-0
    len-num1 power-less-imp-less-exp power-strict-increasing sint-greater-eq sint-less)

```

Some lemmas to relate (int) bit bounds to bit-shifting values.

```

lemma bit-bounds-lower:
  assumes 0 < bits
  shows word-of-int (fst (bit-bounds bits)) = ((-1) << (bits - 1))
  unfolding bit-bounds.simps fst-conv
  by (metis (mono-tags, opaque-lifting) assms(1) mult-1 mult-minus1-right mult-minus-left)

```

of-int-minus of-int-power shiftl-power upper-bounds-equiv word-numeral-alt)

lemma *two-exp-div*:

assumes $0 < \text{bits}$

shows $((2::\text{int}) \wedge \text{bits div } (2::\text{int})) = (2::\text{int}) \wedge (\text{bits} - \text{Suc } 0)$

using *assms* **by** (*auto simp: int-power-div-base*)

declare *[[show-types]]*

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

lemma *take-bit-smaller-range*:

fixes $\text{ival} :: 'a :: \text{len word}$

assumes $n < \text{LENGTH}('a)$

assumes $\text{val} = \text{sint}(\text{take-bit } n \text{ ival})$

shows $0 \leq \text{val} \wedge \text{val} < (2::\text{int}) \wedge n$

by (*simp add: assms signed-take-bit-eq*)

lemma *take-bit-same-size-nochange*:

fixes $\text{ival} :: 'a :: \text{len word}$

assumes $n = \text{LENGTH}('a)$

shows $\text{ival} = \text{take-bit } n \text{ ival}$

by (*simp add: assms*)

A simplification lemma for *new_int*, showing that upper bits can be ignored.

lemma *take-bit-redundant*[*simp*]:

fixes $\text{ival} :: 'a :: \text{len word}$

assumes $0 < n$

assumes $n < \text{LENGTH}('a)$

shows $\text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ ival}) = \text{signed-take-bit } (n - 1) \text{ ival}$

proof –

have $\neg (n \leq n - 1)$ **using** *assms* **by** *arith*

then have $\bigwedge i. \text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ i}) = \text{signed-take-bit } (n - 1) \text{ i}$

using *signed-take-bit-take-bit* **by** (*metis (mono-tags)*)

then show *?thesis*

by *blast*

qed

lemma *take-bit-same-size-range*:

fixes $\text{ival} :: 'a :: \text{len word}$

assumes $n = \text{LENGTH}('a)$

assumes $\text{ival2} = \text{take-bit } n \text{ ival}$

shows $-(2 \wedge n \text{ div } 2) \leq \text{sint ival2} \wedge \text{sint ival2} < 2 \wedge n \text{ div } 2$

using *assms lower-bounds-equiv sint-ge sint-lt* **by** *auto*

lemma *take-bit-same-bounds*:

fixes $\text{ival} :: 'a :: \text{len word}$

assumes $n = \text{LENGTH}('a)$

assumes $\text{ival2} = \text{take-bit } n \text{ ival}$

shows $\text{fst } (\text{bit-bounds } n) \leq \text{sint } \text{ival2} \wedge \text{sint } \text{ival2} \leq \text{snd } (\text{bit-bounds } n)$
unfolding *bit-bounds.simps*
using *assms take-bit-same-size-range*
by *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using *scast* now?)

lemma *scast-max-bound*:

assumes $\text{sint } (v :: 'a :: \text{len word}) < M$
assumes $\text{LENGTH}('a) < \text{LENGTH}('b)$
shows $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt (verit, best) One-nat-def assms(1) assms(2) decr-length-less-iff linorder-not-le power-strict-increasing-iff signed-take-bit-int-less-self-iff sint-greater-eq*)

lemma *scast-min-bound*:

assumes $M \leq \text{sint } (v :: 'a :: \text{len word})$
assumes $\text{LENGTH}('a) < \text{LENGTH}('b)$
shows $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$
unfolding *Word.scast-eq Word.sint-sbintrunc'*
using *Bit-Operations.signed-take-bit-int-eq-self-iff*
by (*smt (verit) One-nat-def Suc-pred assms(1) assms(2) len-gt-0 less-Suc-eq order-less-le order-less-le-trans power-le-imp-le-exp signed-take-bit-int-greater-eq-self-iff sint-lt*)

lemma *scast-bigger-max-bound*:

assumes $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$
shows $\text{sint } \text{result} < 2 \wedge \text{LENGTH}('a) \text{ div } 2$
using *sint-lt upper-bounds-equiv scast-max-bound*
by (*smt (verit, best) assms(1) len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff sint-ge sint-less upper-bounds-equiv*)

lemma *scast-bigger-min-bound*:

assumes $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$
shows $-(2 \wedge \text{LENGTH}('a) \text{ div } 2) \leq \text{sint } \text{result}$
using *sint-ge lower-bounds-equiv scast-min-bound*
by (*smt (verit) assms len-gt-0 nat-less-le not-less scast-max-bound*)

lemma *scast-bigger-bit-bounds*:

assumes $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$
shows $\text{fst } (\text{bit-bounds } (\text{LENGTH}('a))) \leq \text{sint } \text{result} \wedge \text{sint } \text{result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}('a)))$
using *assms scast-bigger-min-bound scast-bigger-max-bound*
by *auto*

1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take_bit wrappers.

```

lemma take-bit-dist-addL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x + y) = take-bit b (x + y)
proof (induction b)
  case 0
  then show ?case
  by simp
next
  case (Suc b)
  then show ?case
  by (simp add: add.commute mask-egs(2) take-bit-eq-mask)
qed

```

```

lemma take-bit-dist-addR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x + take-bit b y) = take-bit b (x + y)
  using take-bit-dist-addL by (metis add.commute)

```

```

lemma take-bit-dist-subL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x - y) = take-bit b (x - y)
  by (metis take-bit-dist-addR uminus-add-conv-diff)

```

```

lemma take-bit-dist-subR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x - take-bit b y) = take-bit b (x - y)
  using take-bit-dist-subL
  by (metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self)

```

```

lemma take-bit-dist-neg[simp]:
  fixes ix :: 'a :: len word
  shows take-bit b (- take-bit b (ix)) = take-bit b (- ix)
  by (metis diff-0 take-bit-dist-subR)

```

```

lemma signed-take-bit[simp]:
  fixes x :: 'a :: len word
  assumes 0 < b
  shows signed-take-bit (b - 1) (take-bit b x) = signed-take-bit (b - 1) x
  by (smt (verit, best) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit)

```

```

lemma mod-larger-ignore:
  fixes a :: int

```



```

fixes  $m\ n :: \text{nat}$ 
assumes  $n < m$ 
shows  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$ 
by (smt (verit, del-insts) assms exp-mod-exp linorder-not-le mod-0-imp-dvd mod-mod-cancel
mod-self order-less-imp-le)

```

```

lemma mod-dist-over-add:
  fixes  $a\ b\ c :: \text{int64}$ 
  fixes  $n :: \text{nat}$ 
  assumes  $1: 0 < n$ 
  assumes  $2: n < 64$ 
  shows  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$ 
proof –
  have  $3: (0 :: \text{int64}) < 2^n$ 
    using assms by (simp add: size64 word-2p-lem)
  then show ?thesis
    unfolding word-mod-2p-is-mask[OF 3]
    apply transfer
    by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

end

```

2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

```

theory JavaLong
  imports JavaWords
           HOL-Library.FSet
begin

```

```

lemma negative-all-set-32:
   $n < 32 \implies \text{bit } (-1 :: \text{int32})\ n$ 
  apply transfer by auto

```

```

definition MaxOrNeg ::  $\text{nat set} \Rightarrow \text{int}$  where
  MaxOrNeg  $s = (\text{if } s = \{\} \text{ then } -1 \text{ else } \text{Max } s)$ 

```

```

definition MinOrHighest ::  $\text{nat set} \Rightarrow \text{nat} \Rightarrow \text{nat}$  where
  MinOrHighest  $s\ m = (\text{if } s = \{\} \text{ then } m \text{ else } \text{Min } s)$ 

```

```

lemma MaxOrNegEmpty:
   $\text{MaxOrNeg } s = -1 \iff s = \{\}$ 
  unfolding MaxOrNeg-def by auto

```

2.1 Long.highestOneBit

definition *highestOneBit* :: ('a::len) word \Rightarrow int **where**
highestOneBit v = MaxOrNeg {n. bit v n}

lemma *highestOneBitInvar*:
highestOneBit v = j $\implies (\forall i::nat. (int\ i > j \longrightarrow \neg (bit\ v\ i)))$
apply (induction size v)
apply simp
by (smt (verit) MaxOrNeg-def Max-ge empty-iff finite-bit-word highestOneBit-def mem-Collect-eq of-nat-mono)

lemma *highestOneBitNeg*:
highestOneBit v = -1 \longleftrightarrow v = 0
unfolding highestOneBit-def MaxOrNeg-def
by (metis Collect-empty-eq-bot bit-0-eq bit-word-eqI int-ops(2) negative-eq-positive one-neq-zero)

lemma *higherBitsFalse*:
fixes v :: 'a :: len word
shows i > size v $\implies \neg (bit\ v\ i)$
by (simp add: bit-word.rep-eq size-word.rep-eq)

lemma *highestOneBitN*:
assumes bit v n
assumes $\forall i::nat. (int\ i > n \longrightarrow \neg (bit\ v\ i))$
shows *highestOneBit* v = n
unfolding highestOneBit-def MaxOrNeg-def
by (metis Max-ge Max-in all-not-in-conv assms(1) assms(2) finite-bit-word mem-Collect-eq of-nat-less-iff order-less-le)

lemma *highestOneBitSize*:
assumes bit v n
assumes n = size v
shows *highestOneBit* v = n
by (metis assms(1) assms(2) not-bit-length wsst-TYs(3))

lemma *highestOneBitMax*:
highestOneBit v < size v
unfolding highestOneBit-def MaxOrNeg-def
using higherBitsFalse
by (simp add: bit-imp-le-length size-word.rep-eq)

lemma *highestOneBitAtLeast*:
assumes bit v n
shows *highestOneBit* v \geq n
proof (induction size v)
case 0

```

    then show ?case by simp
next
case (Suc x)
then have  $\forall i. \text{bit } v \ i \longrightarrow i < \text{Suc } x$ 
  by (simp add: bit-imp-le-length wsst-TYs(3))
then show ?case
  unfolding highestOneBit-def MaxOrNeg-def
  using assms by auto
qed

```

```

lemma highestOneBitElim:
  highestOneBit v = n
 $\implies ((n = -1 \wedge v = 0) \vee (n \geq 0 \wedge \text{bit } v \ n))$ 
  unfolding highestOneBit-def MaxOrNeg-def
  by (metis Max-in finite-bit-word le0 le-minus-one-simps(3) mem-Collect-eq of-nat-0-le-iff
of-nat-eq-iff)

```

A recursive implementation of highestOneBit that is suitable for code generation.

```

fun highestOneBitRec :: nat  $\Rightarrow$  ('a::len) word  $\Rightarrow$  int where
  highestOneBitRec n v =
    (if bit v n then n
     else if n = 0 then -1
     else highestOneBitRec (n - 1) v)

```

```

lemma highestOneBitRecTrue:
  highestOneBitRec n v = j  $\implies j \geq 0 \implies \text{bit } v \ j$ 
proof (induction n)
case 0
then show ?case
  by (metis diff-0 highestOneBitRec.simps leD of-nat-0-eq-iff of-nat-0-le-iff zle-diff1-eq)

```

```

next
case (Suc n)
then show ?case
  by (metis diff-Suc-1 highestOneBitRec.elims nat.discI nat-int)
qed

```

```

lemma highestOneBitRecN:
  assumes bit v n
  shows highestOneBitRec n v = n
  by (simp add: assms)

```

```

lemma highestOneBitRecMax:
  highestOneBitRec n v  $\leq$  n
  by (induction n; simp)

```

```

lemma highestOneBitRecElim:
  assumes highestOneBitRec n v = j

```

shows $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge \text{bit } v \ j))$
using *assms highestOneBitRecTrue* **by** *blast*

lemma *highestOneBitRecZero*:
 $v = 0 \implies \text{highestOneBitRec } (\text{size } v) \ v = -1$
by (*induction rule: highestOneBitRec.induct; simp*)

lemma *highestOneBitRecLess*:
assumes $\neg \text{bit } v \ n$
shows $\text{highestOneBitRec } n \ v = \text{highestOneBitRec } (n - 1) \ v$
using *assms* **by** *force*

Some lemmas that use masks to restrict highestOneBit and relate it to highestOneBitRec.

lemma *highestOneBitMask*:
assumes $\text{size } v = n$
shows $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$
by (*metis assms dual-order.refl lt2p-lem mask-eq-iff size-word.rep-eq*)

lemma *maskSmaller*:
fixes $v :: 'a :: \text{len word}$
assumes $\neg \text{bit } v \ n$
shows $\text{and } v \ (\text{mask } (\text{Suc } n)) = \text{and } v \ (\text{mask } n)$
unfolding *bit-eq-iff*
by (*metis assms bit-and-iff bit-mask-iff less-Suc-eq*)

lemma *highestOneBitSmaller*:
assumes $\text{size } v = \text{Suc } n$
assumes $\neg \text{bit } v \ n$
shows $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$
by (*metis assms highestOneBitMask maskSmaller*)

lemma *highestOneBitRecMask*:
shows $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } n))) = \text{highestOneBitRec } n \ v$
proof (*induction n*)
case 0
then show *?case*
by (*smt (verit, ccfv-SIG) Word.mask-Suc-0 and-mask-lt-2p and-nonnegative-int-iff bit-1-iff bit-and-iff highestOneBitN highestOneBitNeg highestOneBitRec.simps mask-eq-exp-minus-1 of-int-0 uint-1-eq uint-and word-and-def*)
next
case $(\text{Suc } n)$
then show *?case*
proof (*cases bit v (Suc n)*)
case *True*
have $1: \text{highestOneBitRec } (\text{Suc } n) \ v = \text{Suc } n$
by (*simp add: True*)
have $\forall i::\text{nat}. (\text{int } i > (\text{Suc } n) \longrightarrow \neg (\text{bit } (\text{and } v \ (\text{mask } (\text{Suc } (\text{Suc } n)))) \ i))$
by (*simp add: bit-and-iff bit-mask-iff*)

```

    then have 2: highestOneBit (and v (mask (Suc (Suc n)))) = Suc n
      using True highestOneBitN
      by (metis bit-take-bit-iff lessI take-bit-eq-mask)
    then show ?thesis
      using 1 2 by auto
  next
    case False
    then show ?thesis
      by (simp add: Suc maskSmaller)
  qed
qed

```

Finally - we can use the mask lemmas to relate highestOneBitRec to its spec.

```

lemma highestOneBitImpl[code]:
  highestOneBit v = highestOneBitRec (size v) v
  by (metis highestOneBitMask highestOneBitRecMask maskSmaller not-bit-length
wsst-TYs(3))

```

```

lemma highestOneBit (0x5 :: int8) = 2 by code-simp

```

2.2 Long.lowestOneBit

```

definition lowestOneBit :: ('a::len) word  $\Rightarrow$  nat where
  lowestOneBit v = MinOrHighest {n . bit v n} (size v)

```

```

lemma max-bit: bit (v::('a::len) word) n  $\impl$  n < size v
  by (simp add: bit-imp-le-length size-word.rep-eq)

```

```

lemma max-set-bit: MaxOrNeg {n . bit (v::('a::len) word) n} < Nat.size v
  using max-bit unfolding MaxOrNeg-def
  by force

```

2.3 Long.numberOfLeadingZeros

```

definition numberOfLeadingZeros :: ('a::len) word  $\Rightarrow$  nat where
  numberOfLeadingZeros v = nat (Nat.size v - highestOneBit v - 1)

```

```

lemma MaxOrNeg-neg: MaxOrNeg {} = -1
  by (simp add: MaxOrNeg-def)

```

```

lemma MaxOrNeg-max: s  $\neq$  {}  $\impl$  MaxOrNeg s = Max s
  by (simp add: MaxOrNeg-def)

```

```

lemma zero-no-bits:
  {n . bit 0 n} = {}
  by simp

```

lemma *highestOneBit* (0::64 word) = -1
by (simp add: MaxOrNeg-neg highestOneBit-def)

lemma *numberOfLeadingZeros* (0::64 word) = 64
unfolding *numberOfLeadingZeros-def* **using** MaxOrNeg-neg highestOneBit-def
size64
by (smt (verit) nat-int zero-no-bits)

lemma *highestOneBit-top*: Max {highestOneBit (v::64 word)} < 64
unfolding *highestOneBit-def*
by (metis Max-singleton int-eq-iff-numeral max-set-bit size64)

lemma *numberOfLeadingZeros-top*: Max {numberOfLeadingZeros (v::64 word)} ≤ 64
unfolding *numberOfLeadingZeros-def*
using *size64*
by (simp add: MaxOrNeg-def highestOneBit-def nat-le-iff)

lemma *numberOfLeadingZeros-range*: 0 ≤ numberOfLeadingZeros a ∧ numberOfLeadingZeros a ≤ Nat.size a
unfolding *numberOfLeadingZeros-def*
using MaxOrNeg-def highestOneBit-def nat-le-iff
by (smt (verit) bot-nat-0.extremum int-eq-iff)

lemma *leadingZerosAddHighestOne*: numberOfLeadingZeros v + highestOneBit v = Nat.size v - 1
unfolding *numberOfLeadingZeros-def* *highestOneBit-def*
using MaxOrNeg-def int-nat-eq int-ops(6) max-bit order-less-irrefl **by** fastforce

2.4 Long.numberOfTrailingZeros

definition *numberOfTrailingZeros* :: ('a::len) word ⇒ nat **where**
numberOfTrailingZeros v = lowestOneBit v

lemma *lowestOneBit-bot*: lowestOneBit (0::64 word) = 64
unfolding *lowestOneBit-def* *MinOrHighest-def*
by (simp add: size64)

lemma *bit-zero-set-in-top*: bit (-1::'a::len word) 0
by auto

lemma *nat-bot-set*: (0::nat) ∈ xs ⟶ (∀ x ∈ xs . 0 ≤ x)
by fastforce

lemma *numberOfTrailingZeros* (0::64 word) = 64
unfolding *numberOfTrailingZeros-def*
using *lowestOneBit-bot* **by** simp

2.5 Long.bitCount

definition *bitCount* :: ('a::len) word \Rightarrow nat **where**
bitCount v = card {n . bit v n}

lemma *bitCount 0 = 0*
unfolding *bitCount-def*
by (metis card.empty zero-no-bits)

2.6 Long.zeroCount

definition *zeroCount* :: ('a::len) word \Rightarrow nat **where**
zeroCount v = card {n. n < Nat.size v \wedge \neg (bit v n)}

lemma *zeroCount-finite*: finite {n. n < Nat.size v \wedge \neg (bit v n)}
using *finite-nat-set-iff-bounded* **by** blast

lemma *negone-set*:
bit (-1::('a::len) word) n \longleftrightarrow n < LENGTH('a)
by simp

lemma *negone-all-bits*:
{n . bit (-1::('a::len) word) n} = {n . 0 \leq n \wedge n < LENGTH('a)}
using *negone-set*
by auto

lemma *bitCount-finite*:
finite {n . bit (v::('a::len) word) n}
by simp

lemma *card-of-range*:
x = card {n . 0 \leq n \wedge n < x}
by simp

lemma *range-of-nat*:
{(n::nat) . 0 \leq n \wedge n < x} = {n . n < x}
by simp

lemma *finite-range*:
finite {n::nat . n < x}
by simp

lemma *range-eq*:
fixes x y :: nat
shows card {y.. x } = card {y<.. x }
using *card-atLeastLessThan card-greaterThanAtMost* **by** presburger

lemma *card-of-range-bound*:
fixes x y :: nat

```

assumes  $x > y$ 
shows  $x - y = \text{card } \{n . y < n \wedge n \leq x\}$ 
proof -
  have finite:  $\text{finite } \{n . y \leq n \wedge n < x\}$ 
    by auto
  have nonempty:  $\{n . y \leq n \wedge n < x\} \neq \{\}$ 
    using assms by blast
  have simplprep:  $\{n . y < n \wedge n \leq x\} = \{y < ..x\}$ 
    by auto
  have  $x - y = \text{card } \{y < ..x\}$ 
    by auto
  then show ?thesis
    unfolding simplprep by blast
qed

```

```

lemma bitCount  $(-1::('a::\text{len}) \text{ word}) = \text{LENGTH}('a)$ 
  unfolding bitCount-def using card-of-range
  by (metis (no-types, lifting) Collect-cong negone-all-bits)

```

```

lemma bitCount-range:
  fixes  $n :: ('a::\text{len}) \text{ word}$ 
  shows  $0 \leq \text{bitCount } n \wedge \text{bitCount } n \leq \text{Nat.size } n$ 
  unfolding bitCount-def
  by (metis atLeastLessThan-iff bot-nat-0.extremum max-bit mem-Collect-eq subsetI
subset-eq-atLeast0-lessThan-card)

```

```

lemma zerosAboveHighestOne:
   $n > \text{highestOneBit } a \implies \neg(\text{bit } a \ n)$ 
  unfolding highestOneBit-def MaxOrNeg-def
  by (metis (mono-tags, opaque-lifting) Collect-empty-eq Max-ge finite-bit-word
less-le-not-le mem-Collect-eq of-nat-le-iff)

```

```

lemma zerosBelowLowestOne:
  assumes  $n < \text{lowestOneBit } a$ 
  shows  $\neg(\text{bit } a \ n)$ 
proof (cases  $\{i. \text{bit } a \ i\} = \{\}$ )
  case True
    then show ?thesis by simp
next
  case False
    have  $n < \text{Min } (\text{Collect } (\text{bit } a)) \implies \neg \text{bit } a \ n$ 
      using False by auto
    then show ?thesis
      by (metis False MinOrHighest-def assms lowestOneBit-def)
qed

```

```

lemma union-bit-sets:
  fixes  $a :: ('a::\text{len}) \text{ word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n$ 

```


. $n < \text{Nat.size } a\}$
 by *fastforce*

lemma *disjoint-bit-sets*:
 fixes $a :: ('a::\text{len}) \text{ word}$
 shows $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$
 by *blast*

lemma *qualified-bitCount*:
 $\text{bitCount } v = \text{card } \{n . n < \text{Nat.size } v \wedge \text{bit } v \ n\}$
 by (*metis* (*no-types*, *lifting*) *Collect-cong bitCount-def max-bit*)

lemma *card-eq*:
 assumes $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$
 assumes $x \cup y = z$
 assumes $y \cap x = \{\}$
 shows $\text{card } z - \text{card } y = \text{card } x$
 using *assms add-diff-cancel-right' card-Un-disjoint*
 by (*metis inf.commute*)

lemma *card-add*:
 assumes $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$
 assumes $x \cup y = z$
 assumes $y \cap x = \{\}$
 shows $\text{card } x + \text{card } y = \text{card } z$
 using *assms card-Un-disjoint*
 by (*metis inf.commute*)

lemma *card-add-inverses*:
 assumes $\text{finite } \{n. Q \ n \wedge \neg(P \ n)\} \wedge \text{finite } \{n. Q \ n \wedge P \ n\} \wedge \text{finite } \{n. Q \ n\}$
 shows $\text{card } \{n. Q \ n \wedge P \ n\} + \text{card } \{n. Q \ n \wedge \neg(P \ n)\} = \text{card } \{n. Q \ n\}$
 apply (*rule card-add*)
 using *assms apply simp*
 apply *auto*[1]
 by *auto*

lemma *ones-zero-sum-to-width*:
 $\text{bitCount } a + \text{zeroCount } a = \text{Nat.size } a$
proof –
 have *add-cards*: $\text{card } \{n. (\lambda n. n < \text{size } a) \ n \wedge (\text{bit } a \ n)\} + \text{card } \{n. (\lambda n. n < \text{size } a) \ n \wedge \neg(\text{bit } a \ n)\} = \text{card } \{n. (\lambda n. n < \text{size } a) \ n\}$
 apply (*rule card-add-inverses*) **by** *simp*
 then have $\dots = \text{Nat.size } a$
 by *auto*
 then show *?thesis*
 unfolding *bitCount-def zeroCount-def* using *max-bit*
 by (*metis* (*mono-tags*, *lifting*) *Collect-cong add-cards*)
qed

```

lemma intersect-bitCount-helper:
   $\text{card } \{n . n < \text{Nat.size } a\} - \text{bitCount } a = \text{card } \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\}$ 
proof -
  have size-def:  $\text{Nat.size } a = \text{card } \{n . n < \text{Nat.size } a\}$ 
  using card-of-range by simp
  have bitCount-def:  $\text{bitCount } a = \text{card } \{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\}$ 
  using qualified-bitCount by auto
  have disjoint:  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$ 
  using disjoint-bit-sets by auto
  have union:  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n . n < \text{Nat.size } a\}$ 
  using union-bit-sets by auto
  show ?thesis
  unfolding bitCount-def
  apply (rule card-eq)
  using finite-range apply simp
  using union apply blast
  using disjoint by simp
qed

lemma intersect-bitCount:
   $\text{Nat.size } a - \text{bitCount } a = \text{card } \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\}$ 
  using card-of-range intersect-bitCount-helper by auto

hide-fact intersect-bitCount-helper

end

```

3 Operator Semantics

```

theory Values
  imports
    Java Words
  begin

```

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

type-synonym *objref* = *nat option*

datatype (*discs-sels*) *Value* =
UndefVal |

IntVal iwidth int64 |

ObjRef objref |
ObjStr string

fun *intval-bits* :: *Value* \Rightarrow *nat* **where**
intval-bits (*IntVal b v*) = *b*

fun *intval-word* :: *Value* \Rightarrow *int64* **where**
intval-word (*IntVal b v*) = *v*

Converts an integer word into a Java value.

fun *new-int* :: *iwidth* \Rightarrow *int64* \Rightarrow *Value* **where**
new-int b w = *IntVal b (take-bit b w)*

Converts an integer word into a Java value, iff the two types are equal.

fun *new-int-bin* :: *iwidth* \Rightarrow *iwidth* \Rightarrow *int64* \Rightarrow *Value* **where**
new-int-bin b1 b2 w = (*if b1=b2 then new-int b1 w else UndefVal*)

fun *wf-bool* :: *Value* \Rightarrow *bool* **where**
wf-bool (*IntVal b w*) = (*b = 1*) |
wf-bool - = *False*

fun *val-to-bool* :: *Value* \Rightarrow *bool* **where**
val-to-bool (*IntVal b val*) = (*if val = 0 then False else True*) |
val-to-bool val = *False*

fun *bool-to-val* :: *bool* \Rightarrow *Value* **where**
bool-to-val True = (*IntVal 32 1*) |
bool-to-val False = (*IntVal 32 0*)

Converts an Isabelle bool into a Java value, iff the two types are equal.

fun *bool-to-val-bin* :: *iwidth* \Rightarrow *iwidth* \Rightarrow *bool* \Rightarrow *Value* **where**

bool-to-val-bin t1 t2 b = (if t1 = t2 then bool-to-val b else.UndefVal)

fun *is-int-val* :: *Value* \Rightarrow *bool* **where**
is-int-val v = is-IntVal v

lemma *neg-one-value[simp]*: *new-int b (neg-one b) = IntVal b (mask b)*
by *simp*

lemma *neg-one-signed[simp]*:
assumes $0 < b$
shows *int-signed-value b (neg-one b) = -1*
by (*smt (verit, best) assms diff-le-self diff-less int-signed-value.simps less-one mask-eq-take-bit-minus-one neg-one.simps nle-le signed-minus-1 signed-take-bit-of-minus-1 signed-take-bit-take-bit verit-comp-simplify1 (1)*)

3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of *intval* functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each *IRNode* tells us exactly what the bit widths will be. These merged functions make it easier to do the instantiation of *Value* as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

fun *intval-add* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-add (IntVal b1 v1) (IntVal b2 v2) =
(if b1 = b2 then IntVal b1 (take-bit b1 (v1+v2)) else.UndefVal) |
intval-add - - =.UndefVal

fun *intval-sub* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
intval-sub - - =.UndefVal

fun *intval-mul* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
*intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2) |*
intval-mul - - =.UndefVal

```

fun intval-div :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
    new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2))) |
  intval-div - - =.UndefVal

```

```

fun intval-mod :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    new-int-bin b1 b2 (word-of-int
      ((int-signed-value b1 v1) smod (int-signed-value b2 v2))) |
  intval-mod - - =.UndefVal

```

```

fun intval-negate :: Value  $\Rightarrow$  Value where
  intval-negate (IntVal t v) = new-int t (- v) |
  intval-negate - =.UndefVal

```

```

fun intval-abs :: Value  $\Rightarrow$  Value where
  intval-abs (IntVal t v) = new-int t (if int-signed-value t v < 0 then - v else v) |
  intval-abs - =.UndefVal

```

TODO: clarify which widths this should work on: just 1-bit or all?

```

fun intval-logic-negation :: Value  $\Rightarrow$  Value where
  intval-logic-negation (IntVal b v) = new-int b (logic-negate v) |
  intval-logic-negation - =.UndefVal

```

3.2 Bitwise Operators

```

fun intval-and :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-and (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (and v1 v2) |
  intval-and - - =.UndefVal

```

```

fun intval-or :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-or (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (or v1 v2) |
  intval-or - - =.UndefVal

```

```

fun intval-xor :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-xor (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (xor v1 v2) |
  intval-xor - - =.UndefVal

```

```

fun intval-not :: Value  $\Rightarrow$  Value where
  intval-not (IntVal t v) = new-int t (not v) |
  intval-not - =.UndefVal

```

3.3 Comparison Operators

```

fun intval-short-circuit-or :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-short-circuit-or (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 (((v1
     $\neq$  0)  $\vee$  (v2  $\neq$  0))) |

```

intval-short-circuit-or - - = *UndefVal*

fun *intval-equals* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-equals (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) = *bool-to-val-bin* *b1* *b2* (*v1* = *v2*) |
intval-equals - - = *UndefVal*

fun *intval-less-than* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-less-than (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =
bool-to-val-bin *b1* *b2* (*int-signed-value* *b1* *v1* < *int-signed-value* *b2* *v2*) |
intval-less-than - - = *UndefVal*

fun *intval-below* :: *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-below (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) = *bool-to-val-bin* *b1* *b2* (*v1* < *v2*) |
intval-below - - = *UndefVal*

fun *intval-conditional* :: *Value* \Rightarrow *Value* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-conditional *cond* *tv* *fv* = (*if* (*val-to-bool* *cond*) *then* *tv* *else* *fv*)

3.4 Narrowing and Widening Operators

Note: we allow these operators to have *inBits*=*outBits*, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that *take_bitN* and *signed_take_bit*(*N* - 1) match up as expected.

corollary *sint* (*signed-take-bit* 0 (1 :: *int32*)) = -1 **by** *code-simp*

corollary *sint* (*signed-take-bit* 7 ((256 + 128) :: *int64*)) = -128 **by** *code-simp*

corollary *sint* (*take-bit* 7 ((256 + 128 + 64) :: *int64*)) = 64 **by** *code-simp*

corollary *sint* (*take-bit* 8 ((256 + 128 + 64) :: *int64*)) = 128 + 64 **by** *code-simp*

fun *intval-narrow* :: *nat* \Rightarrow *nat* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-narrow *inBits* *outBits* (*IntVal* *b* *v*) =
(*if* *inBits* = *b* \wedge 0 < *outBits* \wedge *outBits* \leq *inBits* \wedge *inBits* \leq 64
then *new-int* *outBits* *v*
else *UndefVal*) |
intval-narrow - - - = *UndefVal*

fun *intval-sign-extend* :: *nat* \Rightarrow *nat* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-sign-extend *inBits* *outBits* (*IntVal* *b* *v*) =
(*if* *inBits* = *b* \wedge 0 < *inBits* \wedge *inBits* \leq *outBits* \wedge *outBits* \leq 64
then *new-int* *outBits* (*signed-take-bit* (*inBits* - 1) *v*)
else *UndefVal*) |
intval-sign-extend - - - = *UndefVal*

fun *intval-zero-extend* :: *nat* \Rightarrow *nat* \Rightarrow *Value* \Rightarrow *Value* **where**
intval-zero-extend *inBits* *outBits* (*IntVal* *b* *v*) =

```

    (if inBits = b ∧ 0 < inBits ∧ inBits ≤ outBits ∧ outBits ≤ 64
      then new-int outBits (take-bit inBits v)
      else UndefVal) |
  intval-zero-extend - - = UndefVal

```

Some well-formedness results to help reasoning about narrowing and widening operators

```

lemma intval-narrow-ok:
  assumes intval-narrow inBits outBits val ≠ UndefVal
  shows 0 < outBits ∧ outBits ≤ inBits ∧ inBits ≤ 64 ∧ outBits ≤ 64 ∧
    is-IntVal val ∧
    intval-bits val = inBits
  using assms intval-narrow.simps neq0-conv intval-bits.simps
  by (metis Value.disc(2) intval-narrow.elims le-trans)

```

```

lemma intval-sign-extend-ok:
  assumes intval-sign-extend inBits outBits val ≠ UndefVal
  shows 0 < inBits ∧
    inBits ≤ outBits ∧ outBits ≤ 64 ∧
    is-IntVal val ∧
    intval-bits val = inBits
  using assms intval-sign-extend.simps neq0-conv
  by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def)

```

```

lemma intval-zero-extend-ok:
  assumes intval-zero-extend inBits outBits val ≠ UndefVal
  shows 0 < inBits ∧
    inBits ≤ outBits ∧ outBits ≤ 64 ∧
    is-IntVal val ∧
    intval-bits val = inBits
  using assms intval-sign-extend.simps neq0-conv
  by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def)

```

3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```

fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))

```

```

fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
    b1 v2) |
  intval-left-shift - - = UndefVal

```

Signed shift is more complex, because we sometimes have to insert 1 bits at

the correct point, which is at b1 bits.

```
fun intval-right-shift :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (or ones (v1 >>> shift))
      else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal

fun intval-uright-shift :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal
```

3.5.1 Examples of Narrowing / Widening Functions

experiment begin

```
corollary intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp
corollary intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp
corollary intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp
corollary intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp
```

```
corollary intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp
corollary intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp
corollary intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp
corollary intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp
end
```

experiment begin

```
corollary intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (232 -
128) by simp
corollary intval-sign-extend 8 32 (IntVal 8 (-2)) = IntVal 32 (232 - 2) by
simp
corollary intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp
corollary intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp
```

```
corollary intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal 32 254) = UndefVal by simp
corollary intval-sign-extend 8 64 (IntVal 8 254) = IntVal 64 (-2) by simp
corollary intval-sign-extend 32 64 (IntVal 32 (232 - 2)) = IntVal 64 (-2) by
simp
corollary intval-sign-extend 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp
end
```


experiment begin

corollary *intval-zero-extend* 8 32 (*IntVal* 8 (256 + 128)) = *IntVal* 32 128 **by** *simp*

corollary *intval-zero-extend* 8 32 (*IntVal* 8 (-2)) = *IntVal* 32 254 **by** *simp*

corollary *intval-zero-extend* 1 32 (*IntVal* 1 (-1)) = *IntVal* 32 1 **by** *simp*

corollary *intval-zero-extend* 1 32 (*IntVal* 1 (-2)) = *IntVal* 32 0 **by** *simp*

corollary *intval-zero-extend* 8 32 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*

corollary *intval-zero-extend* 8 64 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*

corollary *intval-zero-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 254 **by** *simp*

corollary *intval-zero-extend* 32 64 (*IntVal* 32 ($2^{32} - 2$)) = *IntVal* 64 ($2^{32} - 2$) **by** *simp*

corollary *intval-zero-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*
end

experiment begin

corollary *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 0) = *IntVal* 8 128 **by** *eval*

corollary *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 1) = *IntVal* 8 192 **by** *eval*

corollary *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 2) = *IntVal* 8 224 **by** *eval*

corollary *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 8) = *IntVal* 8 255 **by** *eval*

corollary *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 31) = *IntVal* 8 255 **by** *eval*
end

lemma *intval-add-sym*:

shows *intval-add* a b = *intval-add* b a

by (*induction* a; *induction* b; *auto simp: add commute*)

lemma *intval-add* (*IntVal* 32 ($2^{31} - 1$)) (*IntVal* 32 ($2^{31} - 1$)) = *IntVal* 32 ($2^{32} - 2$)

by *eval*

lemma *intval-add* (*IntVal* 64 ($2^{31} - 1$)) (*IntVal* 64 ($2^{31} - 1$)) = *IntVal* 64 4294967294

by *eval*

end

3.6 Fixed-width Word Theories

theory *ValueThms*

imports *Values*

begin

3.6.1 Support Lemmas for Upper/Lower Bounds

```

lemma size32: size v = 32 for v :: 32 word
  using size-word.rep-eq
  using One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3)
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
  by (smt (verit, del-ists) mult.commute)

```

```

lemma size64: size v = 64 for v :: 64 word
  using size-word.rep-eq
  using One-nat-def add.right-neutral add-Suc-right len-of-numeral-defs(2) len-of-numeral-defs(3)
mult.right-neutral mult-Suc-right numeral-2-eq-2 numeral-Bit0
  by (smt (verit, del-ists) mult.commute)

```

```

lemma lower-bounds-equiv:
  assumes  $0 < N$ 
  shows  $\neg(((2::int) \wedge (N-1))) = (2::int) \wedge N \text{ div } 2 * -1$ 
  by (simp add: assms int-power-div-base)

```

```

lemma upper-bounds-equiv:
  assumes  $0 < N$ 
  shows  $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$ 
  by (simp add: assms int-power-div-base)

```

Some min/max bounds for 64-bit words

```

lemma bit-bounds-min64:  $((fst (bit-bounds 64))) \leq (sint (v::int64))$ 
  unfolding bit-bounds.simps fst-def
  using sint-ge[of v] by simp

```

```

lemma bit-bounds-max64:  $((snd (bit-bounds 64))) \geq (sint (v::int64))$ 
  unfolding bit-bounds.simps fst-def
  using sint-lt[of v] by simp

```

Extend these min/max bounds to extracting smaller signed words using *signed_take_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed_take_bit*. But that would have to be done separately for each bit-width type.

```

value sint(signed-take-bit 7 (128 :: int8))

```

```

ML-val  $\langle @\{thm\ signed-take-bit-decr-length-iff\} \rangle$ 
declare  $[[show-types=true]]$ 
ML-val  $\langle @\{thm\ signed-take-bit-int-less-exp\} \rangle$ 

```

```

lemma signed-take-bit-int-less-exp-word:

```

```

fixes ival :: 'a :: len word
assumes n < LENGTH('a)
shows sint(signed-take-bit n ival) < (2::int) ^ n
apply transfer
by (smt (verit, best) not-take-bit-negative signed-take-bit-eq-take-bit-shift
      signed-take-bit-int-less-exp take-bit-int-greater-self-iff)

lemma signed-take-bit-int-greater-eq-minus-exp-word:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  shows - (2 ^ n) ≤ sint(signed-take-bit n ival)
  apply transfer
  by (smt (verit, best) signed-take-bit-int-greater-eq-minus-exp
      signed-take-bit-int-greater-eq-self-iff signed-take-bit-int-less-exp)

lemma signed-take-bit-range:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  assumes val = sint(signed-take-bit n ival)
  shows - (2 ^ n) ≤ val ∧ val < 2 ^ n
  using signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word
  using assms by blast

A bit_bounds version of the above lemma.

lemma signed-take-bit-bounds:
  fixes ival :: 'a :: len word
  assumes n ≤ LENGTH('a)
  assumes 0 < n
  assumes val = sint(signed-take-bit (n - 1) ival)
  shows fst (bit-bounds n) ≤ val ∧ val ≤ snd (bit-bounds n)
  using assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv
  by (metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt
      snd-conv zle-diff1-eq)

lemma signed-take-bit-bounds64:
  fixes ival :: int64
  assumes n ≤ 64
  assumes 0 < n
  assumes val = sint(signed-take-bit (n - 1) ival)
  shows fst (bit-bounds n) ≤ val ∧ val ≤ snd (bit-bounds n)
  using assms signed-take-bit-bounds
  by (metis size64 word-size)

lemma int-signed-value-bounds:
  assumes b1 ≤ 64
  assumes 0 < b1
  shows fst (bit-bounds b1) ≤ int-signed-value b1 v2 ∧
      int-signed-value b1 v2 ≤ snd (bit-bounds b1)

```

using *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

lemma *int-signed-value-range*:

fixes *ival* :: *int64*

assumes *val* = *int-signed-value n ival*

shows $-(2^{(n-1)}) \leq \text{val} \wedge \text{val} < 2^{(n-1)}$

using *signed-take-bit-range assms*

by (*smt (verit, ccfv-SIG) One-nat-def diff-less int-signed-value.elims len-gt-0 len-num1 power-less-imp-less-exp power-strict-increasing sint-greater-eq sint-less*)

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

lemma *take-bit-smaller-range*:

fixes *ival* :: '*a* :: *len word*

assumes *n* < *LENGTH('a)*

assumes *val* = *sint(take-bit n ival)*

shows $0 \leq \text{val} \wedge \text{val} < (2::\text{int})^n$

by (*simp add: assms signed-take-bit-eq*)

lemma *take-bit-same-size-nochange*:

fixes *ival* :: '*a* :: *len word*

assumes *n* = *LENGTH('a)*

shows *ival* = *take-bit n ival*

by (*simp add: assms*)

A simplification lemma for *new_int*, showing that upper bits can be ignored.

lemma *take-bit-redundant[simp]*:

fixes *ival* :: '*a* :: *len word*

assumes $0 < n$

assumes *n* < *LENGTH('a)*

shows *signed-take-bit (n - 1) (take-bit n ival)* = *signed-take-bit (n - 1) ival*

proof –

have $\neg (n \leq n - 1)$ **using** *assms* **by** *arith*

then have $\bigwedge i. \text{signed-take-bit } (n - 1) (\text{take-bit } n \ i) = \text{signed-take-bit } (n - 1) \ i$

using *signed-take-bit-take-bit* **by** (*metis (mono-tags)*)

then show *?thesis*

by *blast*

qed

lemma *take-bit-same-size-range*:

fixes *ival* :: '*a* :: *len word*

assumes *n* = *LENGTH('a)*

assumes *ival2* = *take-bit n ival*

shows $-(2^{(n \text{ div } 2)}) \leq \text{sint } \text{ival2} \wedge \text{sint } \text{ival2} < 2^{(n \text{ div } 2)}$

using *assms lower-bounds-equiv sint-ge sint-lt* **by** *auto*

lemma *take-bit-same-bounds*:

fixes *ival* :: '*a* :: *len word*

assumes *n* = *LENGTH('a)*

```

assumes ival2 = take-bit n ival
shows fst (bit-bounds n) ≤ sint ival2 ∧ sint ival2 ≤ snd (bit-bounds n)
unfolding bit-bounds.simps
using assms take-bit-same-size-range
by force

```

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

```

lemma scast-max-bound:
  assumes sint (v :: 'a :: len word) < M
  assumes LENGTH('a) < LENGTH('b)
  shows sint ((scast v) :: 'b :: len word) < M
  unfolding Word.scast-eq Word.sint-sbintrunc'
  using Bit-Operations.signed-take-bit-int-eq-self-iff
  by (smt (verit, best) One-nat-def assms(1) assms(2) decr-length-less-iff linorder-not-le
power-strict-increasing-iff signed-take-bit-int-less-self-iff sint-greater-eq)

```

```

lemma scast-min-bound:
  assumes M ≤ sint (v :: 'a :: len word)
  assumes LENGTH('a) < LENGTH('b)
  shows M ≤ sint ((scast v) :: 'b :: len word)
  unfolding Word.scast-eq Word.sint-sbintrunc'
  using Bit-Operations.signed-take-bit-int-eq-self-iff
  by (smt (verit) One-nat-def Suc-pred assms(1) assms(2) len-gt-0 less-Suc-eq or-
der-less-le order-less-le-trans power-le-imp-le-exp signed-take-bit-int-greater-eq-self-iff
sint-lt)

```

```

lemma scast-bigger-max-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows sint result < 2 ^ LENGTH('a) div 2
  using sint-lt upper-bounds-equiv scast-max-bound
  by (smt (verit, best) assms(1) len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff
sint-ge sint-less upper-bounds-equiv)

```

```

lemma scast-bigger-min-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows -(2 ^ LENGTH('a) div 2) ≤ sint result
  using sint-ge lower-bounds-equiv scast-min-bound
  by (smt (verit) assms len-gt-0 nat-less-le not-less scast-max-bound)

```

```

lemma scast-bigger-bit-bounds:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows fst (bit-bounds (LENGTH('a))) ≤ sint result ∧ sint result ≤ snd (bit-bounds
(LENGTH('a)))
  using assms scast-bigger-min-bound scast-bigger-max-bound
  by auto

```

Results about *new_int*.

```

lemma new-int-take-bits:
  assumes IntVal b val = new-int b ival
  shows take-bit b val = val
  using assms by force

```

3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take_bit wrappers.

```

lemma take-bit-dist-addL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x + y) = take-bit b (x + y)
proof (induction b)
  case 0
  then show ?case
    by simp
next
  case (Suc b)
  then show ?case
    by (simp add: add.commute mask-eqs(2) take-bit-eq-mask)
qed

```

```

lemma take-bit-dist-addR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x + take-bit b y) = take-bit b (x + y)
  using take-bit-dist-addL by (metis add.commute)

```

```

lemma take-bit-dist-subL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x - y) = take-bit b (x - y)
  by (metis take-bit-dist-addR uminus-add-conv-diff)

```

```

lemma take-bit-dist-subR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x - take-bit b y) = take-bit b (x - y)
  using take-bit-dist-subL
  by (metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self)

```

```

lemma take-bit-dist-neg[simp]:
  fixes ix :: 'a :: len word
  shows take-bit b (- take-bit b (ix)) = take-bit b (- ix)
  by (metis diff-0 take-bit-dist-subR)

```

```

lemma signed-take-take-bit[simp]:
  fixes x :: 'a :: len word

```

```

assumes  $0 < b$ 
shows signed-take-bit  $(b - 1)$  (take-bit  $b$   $x$ ) = signed-take-bit  $(b - 1)$   $x$ 
by (smt (verit, best) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit)

```

```

lemma mod-larger-ignore:
  fixes  $a :: \text{int}$ 
  fixes  $m\ n :: \text{nat}$ 
  assumes  $n < m$ 
  shows  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$ 
  by (smt (verit, del-insts) assms exp-mod-exp linorder-not-le mod-0-imp-dvd mod-mod-cancel
mod-self order-less-imp-le)

```

```

lemma mod-dist-over-add:
  fixes  $a\ b\ c :: \text{int64}$ 
  fixes  $n :: \text{nat}$ 
  assumes  $1: 0 < n$ 
  assumes  $2: n < 64$ 
  shows  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$ 
proof –
  have  $3: (0 :: \text{int64}) < 2^n$ 
    using assms by (simp add: size64 word-2p-lem)
  then show ?thesis
    unfolding word-mod-2p-is-mask[OF 3]
    apply transfer
    by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

end

```

4 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =
  VoidStamp
  | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

```

```

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp

```

```

fun is-stamp-empty :: Stamp  $\Rightarrow$  bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False

```

Just like the `IntegerStamp` class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what `IntegerStamp.java` does with its test: `if (sameSignBounds())` in the `unsignedUpperBound()` method.

Note that this is a bit different and more accurate than what `StampFactory.forUnsignedInteger` does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```

fun valid-stamp :: Stamp  $\Rightarrow$  bool where
  valid-stamp (IntegerStamp bits lo hi) =
    (0 < bits  $\wedge$  bits  $\leq$  64  $\wedge$ 
     fst (bit-bounds bits)  $\leq$  lo  $\wedge$  lo  $\leq$  snd (bit-bounds bits)  $\wedge$ 
     fst (bit-bounds bits)  $\leq$  hi  $\wedge$  hi  $\leq$  snd (bit-bounds bits)) |
  valid-stamp s = True

```

experiment begin

corollary *bit-bounds* 1 = (-1, 0) **by** *simp*
end

— A stamp which includes the full range of the type

```

fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

```



```

    unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
    unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
    unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
    unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
    unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp ⇒ bool where
    is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp ⇒ Stamp where
    empty-stamp VoidStamp = VoidStamp |
    empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

```

```

    empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
    empty-stamp stamp = IllegalStamp

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |
    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |

```

meet s1 s2 = IllegalStamp

— Calculate the join stamp of two stamps

```
fun join :: Stamp ⇒ Stamp ⇒ Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1 ≠ b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |
  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp
```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the asConstant function converts the stamp to a value where one can be inferred.

```
fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b (word-of-int l) else
UndefVal) |
  asConstant - = UndefVal
```

— Determine if two stamps never have value overlaps i.e. their join is empty

```
fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)
```

— Determine if two stamps must always be the same value i.e. two equal constants

```
fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)
```

```
fun constantAsStamp :: Value ⇒ Stamp where
```

```
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
b v)) |
```

constantAsStamp - = *IllegalStamp*

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.

```
fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal b1 val) (IntegerStamp b l h) =
    (if b1 = b then
      valid-stamp (IntegerStamp b l h) ∧
      take-bit b val = val ∧
      l ≤ int-signed-value b val ∧ int-signed-value b val ≤ h
    else False) |
  valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
    ((alwaysNull → ref = None) ∧ (ref=Some → ¬ nonNull)) |
  valid-value stamp val = False
```

definition wf-value :: Value ⇒ bool **where**
 wf-value v = valid-value v (constantAsStamp v)

lemma unfold-wf-value[simp]:
 wf-value v ⇒ valid-value v (constantAsStamp v)
using wf-value-def **by** auto

```
fun compatible :: Stamp ⇒ Stamp ⇒ bool where
  compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
    (b1 = b2 ∧ valid-stamp (IntegerStamp b1 lo1 hi1) ∧ valid-stamp (IntegerStamp
b2 lo2 hi2)) |
  compatible (VoidStamp) (VoidStamp) = True |
  compatible - - = False
```

```
fun stamp-under :: Stamp ⇒ Stamp ⇒ bool where
  stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (hi1 < lo2)
|
  stamp-under - - = False
```

— The most common type of stamp within the compiler (apart from the VoidStamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

definition default-stamp :: Stamp **where**
 default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))

```
value valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)
end
```

5 Graph Representation

5.1 IR Graph Nodes

```
theory IRNodes
  imports
    Values
begin
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write `INPUT` (or special case thereof) instead of `ID` for input edges, and `SUCC` instead of `ID` for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
| AddNode (ir-x: INPUT) (ir-y: INPUT)
| AndNode (ir-x: INPUT) (ir-y: INPUT)
| BeginNode (ir-next: SUCC)
| BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue: INPUT)
| ConstantNode (ir-const: Value)
| DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt: INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| EndNode
| ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
```

| *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)
 | *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)
 | *IntegerBelowNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)
 | *IsNullNode* (*ir-value*: *INPUT*)
 | *KillingBeginNode* (*ir-next*: *SUCC*)
 | *LeftShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)
 | *LogicNegationNode* (*ir-value*: *INPUT-COND*)
 | *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)
 | *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*)
 | *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *NarrowNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)
 | *NegateNode* (*ir-value*: *INPUT*)
 | *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *NotNode* (*ir-value*: *INPUT*)
 | *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *ParameterNode* (*ir-index*: *nat*)
 | *PiNode* (*ir-object*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*)
 | *ReturnNode* (*ir-result-opt*: *INPUT option*) (*ir-memoryMap-opt*: *INPUT-EXT option*)
 | *RightShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)
 | *ShortCircuitOrNode* (*ir-x*: *INPUT-COND*) (*ir-y*: *INPUT-COND*)
 | *SignExtendNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)
 | *SignedDivNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)
 | *SignedRemNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)

```

| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)

| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XORNode (ir-x: INPUT) (ir-y: INPUT)
| ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
| NoNode

| RefNode (ir-ref: ID)

```

```

fun opt-to-list :: 'a option  $\Rightarrow$  'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option  $\Rightarrow$  'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode  $\Rightarrow$  ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
(opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-DynamicNewArrayNode:

```

inputs-of (*DynamicNewArrayNode* *elementType* *length0* *voidClass* *stateBefore* *next*) = [*elementType*, *length0*] @ (*opt-to-list* *voidClass*) @ (*opt-to-list* *stateBefore*) |
inputs-of-EndNode:
inputs-of (*EndNode*) = [] |
inputs-of-ExceptionObjectNode:
inputs-of (*ExceptionObjectNode* *stateAfter* *next*) = (*opt-to-list* *stateAfter*) |
inputs-of-FrameState:
inputs-of (*FrameState* *monitorIds* *outerFrameState* *values* *virtualObjectMappings*)
= *monitorIds* @ (*opt-to-list* *outerFrameState*) @ (*opt-list-to-list* *values*) @ (*opt-list-to-list* *virtualObjectMappings*) |
inputs-of-IfNode:
inputs-of (*IfNode* *condition* *trueSuccessor* *falseSuccessor*) = [*condition*] |
inputs-of-IntegerBelowNode:
inputs-of (*IntegerBelowNode* *x* *y*) = [*x*, *y*] |
inputs-of-IntegerEqualsNode:
inputs-of (*IntegerEqualsNode* *x* *y*) = [*x*, *y*] |
inputs-of-IntegerLessThanNode:
inputs-of (*IntegerLessThanNode* *x* *y*) = [*x*, *y*] |
inputs-of-InvokeNode:
inputs-of (*InvokeNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next*) =
callTarget # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |
inputs-of-InvokeWithExceptionNode:
inputs-of (*InvokeWithExceptionNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next* *exceptionEdge*) = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |
inputs-of-IsNullNode:
inputs-of (*IsNullNode* *value*) = [*value*] |
inputs-of-KillingBeginNode:
inputs-of (*KillingBeginNode* *next*) = [] |
inputs-of-LeftShiftNode:
inputs-of (*LeftShiftNode* *x* *y*) = [*x*, *y*] |
inputs-of-LoadFieldNode:
inputs-of (*LoadFieldNode* *nid0* *field* *object* *next*) = (*opt-to-list* *object*) |
inputs-of-LogicNegationNode:
inputs-of (*LogicNegationNode* *value*) = [*value*] |
inputs-of-LoopBeginNode:
inputs-of (*LoopBeginNode* *ends* *overflowGuard* *stateAfter* *next*) = *ends* @ (*opt-to-list* *overflowGuard*) @ (*opt-to-list* *stateAfter*) |
inputs-of-LoopEndNode:
inputs-of (*LoopEndNode* *loopBegin*) = [*loopBegin*] |
inputs-of-LoopExitNode:
inputs-of (*LoopExitNode* *loopBegin* *stateAfter* *next*) = *loopBegin* # (*opt-to-list* *stateAfter*) |
inputs-of-MergeNode:
inputs-of (*MergeNode* *ends* *stateAfter* *next*) = *ends* @ (*opt-to-list* *stateAfter*) |
inputs-of-MethodCallTargetNode:
inputs-of (*MethodCallTargetNode* *targetMethod* *arguments*) = *arguments* |

inputs-of-MulNode:
inputs-of (MulNode x y) = [x, y] |
inputs-of-NarrowNode:
inputs-of (NarrowNode inputBits resultBits value) = [value] |
inputs-of-NegateNode:
inputs-of (NegateNode value) = [value] |
inputs-of-NewArrayNode:
inputs-of (NewArrayNode length0 stateBefore next) = length0 # (opt-to-list state-
Before) |
inputs-of-NewInstanceNode:
inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list
stateBefore) |
inputs-of-NotNode:
inputs-of (NotNode value) = [value] |
inputs-of-OrNode:
inputs-of (OrNode x y) = [x, y] |
inputs-of-ParameterNode:
inputs-of (ParameterNode index) = [] |
inputs-of-PiNode:
inputs-of (PiNode object guard) = object # (opt-to-list guard) |
inputs-of-ReturnNode:
inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list
memoryMap) |
inputs-of-RightShiftNode:
inputs-of (RightShiftNode x y) = [x, y] |
inputs-of-ShortCircuitOrNode:
inputs-of (ShortCircuitOrNode x y) = [x, y] |
inputs-of-SignExtendNode:
inputs-of (SignExtendNode inputBits resultBits value) = [value] |
inputs-of-SignedDivNode:
inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list
zeroCheck) @ (opt-to-list stateBefore) |
inputs-of-SignedRemNode:
inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @
(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |
inputs-of-StartNode:
inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |
inputs-of-StoreFieldNode:
inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #
(opt-to-list stateAfter) @ (opt-to-list object) |
inputs-of-SubNode:
inputs-of (SubNode x y) = [x, y] |
inputs-of-UnsignedRightShiftNode:
inputs-of (UnsignedRightShiftNode x y) = [x, y] |
inputs-of-UnwindNode:
inputs-of (UnwindNode exception) = [exception] |
inputs-of-ValuePhiNode:
inputs-of (ValuePhiNode nid0 values merge) = merge # values |
inputs-of-ValueProxyNode:

inputs-of (*ValueProxyNode* *value* *loopExit*) = [*value*, *loopExit*] |
inputs-of-XorNode:
inputs-of (*XorNode* *x* *y*) = [*x*, *y*] |
inputs-of-ZeroExtendNode:
inputs-of (*ZeroExtendNode* *inputBits* *resultBits* *value*) = [*value*] |
inputs-of-NoNode: *inputs-of* (*NoNode*) = [] |

inputs-of-RefNode: *inputs-of* (*RefNode* *ref*) = [*ref*]

fun *successors-of* :: *IRNode* ⇒ *ID list* **where**
successors-of-AbsNode:
successors-of (*AbsNode* *value*) = [] |
successors-of-AddNode:
successors-of (*AddNode* *x* *y*) = [] |
successors-of-AndNode:
successors-of (*AndNode* *x* *y*) = [] |
successors-of-BeginNode:
successors-of (*BeginNode* *next*) = [*next*] |
successors-of-BytecodeExceptionNode:
successors-of (*BytecodeExceptionNode* *arguments* *stateAfter* *next*) = [*next*] |
successors-of-ConditionalNode:
successors-of (*ConditionalNode* *condition* *trueValue* *falseValue*) = [] |
successors-of-ConstantNode:
successors-of (*ConstantNode* *const*) = [] |
successors-of-DynamicNewArrayNode:
successors-of (*DynamicNewArrayNode* *elementType* *length0* *voidClass* *stateBefore*
next) = [*next*] |
successors-of-EndNode:
successors-of (*EndNode*) = [] |
successors-of-ExceptionObjectNode:
successors-of (*ExceptionObjectNode* *stateAfter* *next*) = [*next*] |
successors-of-FrameState:
successors-of (*FrameState* *monitorIds* *outerFrameState* *values* *virtualObjectMap-*
pings) = [] |
successors-of-IfNode:
successors-of (*IfNode* *condition* *trueSuccessor* *falseSuccessor*) = [*trueSuccessor*,
falseSuccessor] |
successors-of-IntegerBelowNode:
successors-of (*IntegerBelowNode* *x* *y*) = [] |
successors-of-IntegerEqualsNode:
successors-of (*IntegerEqualsNode* *x* *y*) = [] |
successors-of-IntegerLessThanNode:
successors-of (*IntegerLessThanNode* *x* *y*) = [] |
successors-of-InvokeNode:
successors-of (*InvokeNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next*)
= [*next*] |
successors-of-InvokeWithExceptionNode:

successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge) = [next, exceptionEdge] |
successors-of-IsNullNode:
successors-of (IsNullNode value) = [] |
successors-of-KillingBeginNode:
successors-of (KillingBeginNode next) = [next] |
successors-of-LeftShiftNode:
successors-of (LeftShiftNode x y) = [] |
successors-of-LoadFieldNode:
successors-of (LoadFieldNode nid0 field object next) = [next] |
successors-of-LogicNegationNode:
successors-of (LogicNegationNode value) = [] |
successors-of-LoopBeginNode:
successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |
successors-of-LoopEndNode:
successors-of (LoopEndNode loopBegin) = [] |
successors-of-LoopExitNode:
successors-of (LoopExitNode loopBegin stateAfter next) = [next] |
successors-of-MergeNode:
successors-of (MergeNode ends stateAfter next) = [next] |
successors-of-MethodCallTargetNode:
successors-of (MethodCallTargetNode targetMethod arguments) = [] |
successors-of-MulNode:
successors-of (MulNode x y) = [] |
successors-of-NarrowNode:
successors-of (NarrowNode inputBits resultBits value) = [] |
successors-of-NegateNode:
successors-of (NegateNode value) = [] |
successors-of-NewArrayNode:
successors-of (NewArrayNode length0 stateBefore next) = [next] |
successors-of-NewInstanceNode:
successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |
successors-of-NotNode:
successors-of (NotNode value) = [] |
successors-of-OrNode:
successors-of (OrNode x y) = [] |
successors-of-ParameterNode:
successors-of (ParameterNode index) = [] |
successors-of-PiNode:
successors-of (PiNode object guard) = [] |
successors-of-ReturnNode:
successors-of (ReturnNode result memoryMap) = [] |
successors-of-RightShiftNode:
successors-of (RightShiftNode x y) = [] |
successors-of-ShortCircuitOrNode:
successors-of (ShortCircuitOrNode x y) = [] |
successors-of-SignExtendNode:
successors-of (SignExtendNode inputBits resultBits value) = [] |
successors-of-SignedDivNode:

successors-of (*SignedDivNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |
successors-of-SignedRemNode:
successors-of (*SignedRemNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |
successors-of-StartNode:
successors-of (*StartNode* *stateAfter* *next*) = [*next*] |
successors-of-StoreFieldNode:
successors-of (*StoreFieldNode* *nid0* *field* *value* *stateAfter* *object* *next*) = [*next*] |
successors-of-SubNode:
successors-of (*SubNode* *x* *y*) = [] |
successors-of-UnsignedRightShiftNode:
successors-of (*UnsignedRightShiftNode* *x* *y*) = [] |
successors-of-UnwindNode:
successors-of (*UnwindNode* *exception*) = [] |
successors-of-ValuePhiNode:
successors-of (*ValuePhiNode* *nid0* *values* *merge*) = [] |
successors-of-ValueProxyNode:
successors-of (*ValueProxyNode* *value* *loopExit*) = [] |
successors-of-XorNode:
successors-of (*XorNode* *x* *y*) = [] |
successors-of-ZeroExtendNode:
successors-of (*ZeroExtendNode* *inputBits* *resultBits* *value*) = [] |
successors-of-NoNode: *successors-of* (*NoNode*) = [] |

successors-of-RefNode: *successors-of* (*RefNode* *ref*) = [*ref*]

lemma *inputs-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = *x* @ [*y*] @ *z*

unfolding *inputs-of-FrameState* **by** *simp*

lemma *successors-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = []

unfolding *inputs-of-FrameState* **by** *simp*

lemma *inputs-of* (*IfNode* *c* *t* *f*) = [*c*]

unfolding *inputs-of-IfNode* **by** *simp*

lemma *successors-of* (*IfNode* *c* *t* *f*) = [*t*, *f*]

unfolding *successors-of-IfNode* **by** *simp*

lemma *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []

unfolding *inputs-of-EndNode* *successors-of-EndNode* **by** *simp*

end

5.2 IR Graph Node Hierarchy

theory *IRNodeHierarchy*

imports *IRNodes*

begin

It is helpful to introduce a node hierarchy into our formalization. Often the

GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function `is<ClassName>Type` will be true if the node parameter is a subclass of the `ClassName` within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode ⇒ bool where
  is-EndNode EndNode = True |
  is-EndNode - = False
```

```
fun is-VirtualState :: IRNode ⇒ bool where
  is-VirtualState n = ((is-FrameState n))
```

```
fun is-BinaryArithmeticNode :: IRNode ⇒ bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-MulNode n) ∨ (is-OrNode n) ∨ (is-SubNode n) ∨ (is-XorNode n))
```

```
fun is-ShiftNode :: IRNode ⇒ bool where
  is-ShiftNode n = ((is-LeftShiftNode n) ∨ (is-RightShiftNode n) ∨ (is-UnsignedRightShiftNode n))
```

```
fun is-BinaryNode :: IRNode ⇒ bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n) ∨ (is-ShiftNode n))
```

```
fun is-AbstractLocalNode :: IRNode ⇒ bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))
```

```
fun is-IntegerConvertNode :: IRNode ⇒ bool where
  is-IntegerConvertNode n = ((is-NarrowNode n) ∨ (is-SignExtendNode n) ∨ (is-ZeroExtendNode n))
```

```
fun is-UnaryArithmeticNode :: IRNode ⇒ bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n) ∨ (is-NegateNode n) ∨ (is-NotNode n))
```

```
fun is-UnaryNode :: IRNode ⇒ bool where
  is-UnaryNode n = ((is-IntegerConvertNode n) ∨ (is-UnaryArithmeticNode n))
```

```
fun is-PhiNode :: IRNode ⇒ bool where
  is-PhiNode n = ((is-ValuePhiNode n))
```

```
fun is-FloatingGuardedNode :: IRNode ⇒ bool where
  is-FloatingGuardedNode n = ((is-PiNode n))
```

```
fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
```

```

is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-IntegerLowerThanNode :: IRNode ⇒ bool where
  is-IntegerLowerThanNode n = ((is-IntegerBelowNode n) ∨ (is-IntegerLessThanNode
n))

fun is-CompareNode :: IRNode ⇒ bool where
  is-CompareNode n = ((is-IntegerEqualsNode n) ∨ (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode ⇒ bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))

fun is-LogicNode :: IRNode ⇒ bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n) ∨ (is-LogicNegationNode n) ∨
(is-ShortCircuitOrNode n) ∨ (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode ⇒ bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-FloatingNode :: IRNode ⇒ bool where
  is-FloatingNode n = ((is-AbstractLocalNode n) ∨ (is-BinaryNode n) ∨ (is-ConditionalNode
n) ∨ (is-ConstantNode n) ∨ (is-FloatingGuardedNode n) ∨ (is-LogicNode n) ∨
(is-PhiNode n) ∨ (is-ProxyNode n) ∨ (is-UnaryNode n))

fun is-AccessFieldNode :: IRNode ⇒ bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n) ∨ (is-StoreFieldNode n))

fun is-AbstractNewArrayNode :: IRNode ⇒ bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n) ∨ (is-NewArrayNode
n))

fun is-AbstractNewObjectNode :: IRNode ⇒ bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n) ∨ (is-NewInstanceNode
n))

fun is-IntegerDivRemNode :: IRNode ⇒ bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n) ∨ (is-SignedRemNode n))

fun is-FixedBinaryNode :: IRNode ⇒ bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))

fun is-DeoptimizingFixedWithNextNode :: IRNode ⇒ bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n) ∨ (is-FixedBinaryNode
n))

fun is-AbstractMemoryCheckpoint :: IRNode ⇒ bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n) ∨ (is-InvokeNode
n))

```

```

fun is-AbstractStateSplit :: IRNode  $\Rightarrow$  bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AbstractMergeNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n)  $\vee$  (is-MergeNode n))

fun is-BeginStateSplitNode :: IRNode  $\Rightarrow$  bool where
  is-BeginStateSplitNode n = ((is-AbstractMergeNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$ 
(is-LoopExitNode n)  $\vee$  (is-StartNode n))

fun is-AbstractBeginNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractBeginNode n = ((is-BeginNode n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$ 
(is-KillingBeginNode n))

fun is-FixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractStateSplit n)
 $\vee$  (is-AccessFieldNode n)  $\vee$  (is-DeoptimizingFixedWithNextNode n))

fun is-WithExceptionNode :: IRNode  $\Rightarrow$  bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSplitNode n = ((is-IfNode n)  $\vee$  (is-WithExceptionNode n))

fun is-ControlSinkNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSinkNode n = ((is-ReturnNode n)  $\vee$  (is-UnwindNode n))

fun is-AbstractEndNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractEndNode n = ((is-EndNode n)  $\vee$  (is-LoopEndNode n))

fun is-FixedNode :: IRNode  $\Rightarrow$  bool where
  is-FixedNode n = ((is-AbstractEndNode n)  $\vee$  (is-ControlSinkNode n)  $\vee$  (is-ControlSplitNode n)
 $\vee$  (is-FixedWithNextNode n))

fun is-CallTargetNode :: IRNode  $\Rightarrow$  bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))

fun is-ValueNode :: IRNode  $\Rightarrow$  bool where
  is-ValueNode n = ((is-CallTargetNode n)  $\vee$  (is-FixedNode n)  $\vee$  (is-FloatingNode n))

fun is-Node :: IRNode  $\Rightarrow$  bool where
  is-Node n = ((is-ValueNode n)  $\vee$  (is-VirtualState n))

fun is-MemoryKill :: IRNode  $\Rightarrow$  bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-AddNode n)  $\vee$  (is-AndNode

```

$n) \vee (is-MulNode\ n) \vee (is-NegateNode\ n) \vee (is-NotNode\ n) \vee (is-OrNode\ n) \vee (is-ShiftNode\ n) \vee (is-SubNode\ n) \vee (is-XorNode\ n))$

fun *is-AnchoringNode* :: *IRNode* \Rightarrow *bool* **where**
is-AnchoringNode *n* = ((*is-AbstractBeginNode* *n*))

fun *is-DeoptBefore* :: *IRNode* \Rightarrow *bool* **where**
is-DeoptBefore *n* = ((*is-DeoptimizingFixedWithNextNode* *n*))

fun *is-IndirectCanonicalization* :: *IRNode* \Rightarrow *bool* **where**
is-IndirectCanonicalization *n* = ((*is-LogicNode* *n*))

fun *is-IterableNodeType* :: *IRNode* \Rightarrow *bool* **where**
is-IterableNodeType *n* = ((*is-AbstractBeginNode* *n*) \vee (*is-AbstractMergeNode* *n*) \vee (*is-FrameState* *n*) \vee (*is-IfNode* *n*) \vee (*is-IntegerDivRemNode* *n*) \vee (*is-InvokeWithExceptionNode* *n*) \vee (*is-LoopBeginNode* *n*) \vee (*is-LoopExitNode* *n*) \vee (*is-MethodCallTargetNode* *n*) \vee (*is-ParameterNode* *n*) \vee (*is-ReturnNode* *n*) \vee (*is-ShortCircuitOrNode* *n*))

fun *is-Invoke* :: *IRNode* \Rightarrow *bool* **where**
is-Invoke *n* = ((*is-InvokeNode* *n*) \vee (*is-InvokeWithExceptionNode* *n*))

fun *is-Proxy* :: *IRNode* \Rightarrow *bool* **where**
is-Proxy *n* = ((*is-ProxyNode* *n*))

fun *is-ValueProxy* :: *IRNode* \Rightarrow *bool* **where**
is-ValueProxy *n* = ((*is-PiNode* *n*) \vee (*is-ValueProxyNode* *n*))

fun *is-ValueNodeInterface* :: *IRNode* \Rightarrow *bool* **where**
is-ValueNodeInterface *n* = ((*is-ValueNode* *n*))

fun *is-ArrayLengthProvider* :: *IRNode* \Rightarrow *bool* **where**
is-ArrayLengthProvider *n* = ((*is-AbstractNewArrayNode* *n*) \vee (*is-ConstantNode* *n*))

fun *is-StampInverter* :: *IRNode* \Rightarrow *bool* **where**
is-StampInverter *n* = ((*is-IntegerConvertNode* *n*) \vee (*is-NegateNode* *n*) \vee (*is-NotNode* *n*))

fun *is-GuardingNode* :: *IRNode* \Rightarrow *bool* **where**
is-GuardingNode *n* = ((*is-AbstractBeginNode* *n*))

fun *is-SingleMemoryKill* :: *IRNode* \Rightarrow *bool* **where**
is-SingleMemoryKill *n* = ((*is-BytecodeExceptionNode* *n*) \vee (*is-ExceptionObjectNode* *n*) \vee (*is-InvokeNode* *n*) \vee (*is-InvokeWithExceptionNode* *n*) \vee (*is-KillingBeginNode* *n*) \vee (*is-StartNode* *n*))

fun *is-LIRLowerable* :: *IRNode* \Rightarrow *bool* **where**
is-LIRLowerable *n* = ((*is-AbstractBeginNode* *n*) \vee (*is-AbstractEndNode* *n*) \vee (*is-AbstractMergeNode* *n*) \vee (*is-BinaryOpLogicNode* *n*) \vee (*is-CallTargetNode* *n*))

$\vee (is-ConditionalNode\ n) \vee (is-ConstantNode\ n) \vee (is-IfNode\ n) \vee (is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-IsNullNode\ n) \vee (is-LoopBeginNode\ n) \vee (is-PiNode\ n) \vee (is-ReturnNode\ n) \vee (is-SignedDivNode\ n) \vee (is-SignedRemNode\ n) \vee (is-UnaryOpLogicNode\ n) \vee (is-UnwindNode\ n))$

fun *is-GuardedNode* :: *IRNode* \Rightarrow *bool* **where**
is-GuardedNode *n* = ((*is-FloatingGuardedNode* *n*))

fun *is-ArithmeticLIRLowerable* :: *IRNode* \Rightarrow *bool* **where**
is-ArithmeticLIRLowerable *n* = ((*is-AbsNode* *n*) \vee (*is-BinaryArithmeticNode* *n*) \vee (*is-IntegerConvertNode* *n*) \vee (*is-NotNode* *n*) \vee (*is-ShiftNode* *n*) \vee (*is-UnaryArithmeticNode* *n*))

fun *is-SwitchFoldable* :: *IRNode* \Rightarrow *bool* **where**
is-SwitchFoldable *n* = ((*is-IfNode* *n*))

fun *is-VirtualizableAllocation* :: *IRNode* \Rightarrow *bool* **where**
is-VirtualizableAllocation *n* = ((*is-NewArrayNode* *n*) \vee (*is-NewInstanceNode* *n*))

fun *is-Unary* :: *IRNode* \Rightarrow *bool* **where**
is-Unary *n* = ((*is-LoadFieldNode* *n*) \vee (*is-LogicNegationNode* *n*) \vee (*is-UnaryNode* *n*) \vee (*is-UnaryOpLogicNode* *n*))

fun *is-FixedNodeInterface* :: *IRNode* \Rightarrow *bool* **where**
is-FixedNodeInterface *n* = ((*is-FixedNode* *n*))

fun *is-BinaryCommutative* :: *IRNode* \Rightarrow *bool* **where**
is-BinaryCommutative *n* = ((*is-AddNode* *n*) \vee (*is-AndNode* *n*) \vee (*is-IntegerEqualsNode* *n*) \vee (*is-MulNode* *n*) \vee (*is-OrNode* *n*) \vee (*is-XorNode* *n*))

fun *is-Canonicalizable* :: *IRNode* \Rightarrow *bool* **where**
is-Canonicalizable *n* = ((*is-BytecodeExceptionNode* *n*) \vee (*is-ConditionalNode* *n*) \vee (*is-DynamicNewArrayNode* *n*) \vee (*is-PhiNode* *n*) \vee (*is-PiNode* *n*) \vee (*is-ProxyNode* *n*) \vee (*is-StoreFieldNode* *n*) \vee (*is-ValueProxyNode* *n*))

fun *is-UncheckedInterfaceProvider* :: *IRNode* \Rightarrow *bool* **where**
is-UncheckedInterfaceProvider *n* = ((*is-InvokeNode* *n*) \vee (*is-InvokeWithExceptionNode* *n*) \vee (*is-LoadFieldNode* *n*) \vee (*is-ParameterNode* *n*))

fun *is-Binary* :: *IRNode* \Rightarrow *bool* **where**
is-Binary *n* = ((*is-BinaryArithmeticNode* *n*) \vee (*is-BinaryNode* *n*) \vee (*is-BinaryOpLogicNode* *n*) \vee (*is-CompareNode* *n*) \vee (*is-FixedBinaryNode* *n*) \vee (*is-ShortCircuitOrNode* *n*))

fun *is-ArithmeticOperation* :: *IRNode* \Rightarrow *bool* **where**
is-ArithmeticOperation *n* = ((*is-BinaryArithmeticNode* *n*) \vee (*is-IntegerConvertNode* *n*) \vee (*is-ShiftNode* *n*) \vee (*is-UnaryArithmeticNode* *n*))

fun *is-ValueNumberable* :: *IRNode* \Rightarrow *bool* **where**
is-ValueNumberable *n* = ((*is-FloatingNode* *n*) \vee (*is-ProxyNode* *n*))


```

fun is-Lowerable :: IRNode ⇒ bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n) ∨ (is-AccessFieldNode n) ∨
    (is-BytecodeExceptionNode n) ∨ (is-ExceptionObjectNode n) ∨ (is-IntegerDivRemNode
    n) ∨ (is-UnwindNode n))

fun is-Virtualizable :: IRNode ⇒ bool where
  is-Virtualizable n = ((is-IsNullNode n) ∨ (is-LoadFieldNode n) ∨ (is-PiNode n)
    ∨ (is-StoreFieldNode n) ∨ (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode ⇒ bool where
  is-Simplifiable n = ((is-AbstractMergeNode n) ∨ (is-BeginNode n) ∨ (is-IfNode
    n) ∨ (is-LoopExitNode n) ∨ (is-MethodCallTargetNode n) ∨ (is-NewArrayNode n))

fun is-StateSplit :: IRNode ⇒ bool where
  is-StateSplit n = ((is-AbstractStateSplit n) ∨ (is-BeginStateSplitNode n) ∨ (is-StoreFieldNode
    n))

fun is-ConvertNode :: IRNode ⇒ bool where
  is-ConvertNode n = ((is-IntegerConvertNode n))

fun is-sequential-node :: IRNode ⇒ bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False

```

The following convenience function is useful in determining if two *IRNodes* are of the same type regardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode ⇒ IRNode ⇒ bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨

```

```

((is-IntegerBelowNode n1) ∧ (is-IntegerBelowNode n2)) ∨
((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
((is-LeftShiftNode n1) ∧ (is-LeftShiftNode n2)) ∨
((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NarrowNode n1) ∧ (is-NarrowNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-RightShiftNode n1) ∧ (is-RightShiftNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-SignedRemNode n1) ∧ (is-SignedRemNode n2)) ∨
((is-SignExtendNode n1) ∧ (is-SignExtendNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnsignedRightShiftNode n1) ∧ (is-UnsignedRightShiftNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2)) ∨
((is-ZeroExtendNode n1) ∧ (is-ZeroExtendNode n2)))

```

end

5.3 IR Graph Type

```

theory IRGraph
  imports
    IRNodeHierarchy
    Stamp
    HOL-Library.FSet

```

HOL.Relation
begin

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```
typedef IRGraph = {g :: ID  $\rightarrow$  (IRNode  $\times$  Stamp) . finite (dom g)}
proof -
  have finite(dom(Map.empty))  $\wedge$  ran Map.empty = {} by auto
  then show ?thesis
    by fastforce
qed
```

setup-lifting *type-definition-IRGraph*

```
lift-definition ids :: IRGraph  $\Rightarrow$  ID set
is  $\lambda g. \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$  .
```

```
fun with-default :: 'c  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  (('a  $\rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c) where
  with-default def conv = ( $\lambda m\ k.$ 
    (case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))
```

```
lift-definition kind :: IRGraph  $\Rightarrow$  (ID  $\Rightarrow$  IRNode)
is with-default NoNode fst .
```

```
lift-definition stamp :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  Stamp
is with-default IllegalStamp snd .
```

```
lift-definition add-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid\ k\ g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp
```

```
lift-definition remove-node :: ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid\ g.$  g(nid := None) by simp
```

```
lift-definition replace-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
is  $\lambda nid\ k\ g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp
```

```
lift-definition as-list :: IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  Stamp) list
is  $\lambda g.$  map ( $\lambda k. (k, the\ (g\ k))$ ) (sorted-list-of-set (dom g)) .
```

```
fun no-node :: (ID  $\times$  (IRNode  $\times$  Stamp)) list  $\Rightarrow$  (ID  $\times$  (IRNode  $\times$  Stamp)) list
where
  no-node g = filter ( $\lambda n. fst\ (snd\ n) \neq NoNode$ ) g
```

```
lift-definition irgraph :: (ID  $\times$  (IRNode  $\times$  Stamp)) list  $\Rightarrow$  IRGraph
is map-of  $\circ$  no-node
by (simp add: finite-dom-map-of)
```

definition *as-set* :: *IRGraph* \Rightarrow (*ID* \times (*IRNode* \times *Stamp*)) *set* **where**
as-set *g* = $\{(n, \text{kind } g \ n, \text{stamp } g \ n) \mid n . n \in \text{ids } g\}$

definition *true-ids* :: *IRGraph* \Rightarrow *ID set* **where**
true-ids *g* = *ids g* - $\{n \in \text{ids } g . \exists n' . \text{kind } g \ n = \text{RefNode } n'\}$

definition *domain-subtraction* :: '*a set* \Rightarrow ('*a* \times '*b*) *set* \Rightarrow ('*a* \times '*b*) *set*
(infix ≤ 30) **where**
domain-subtraction *s r* = $\{(x, y) . (x, y) \in r \wedge x \notin s\}$

notation (*latex*)
domain-subtraction ($- \triangleleft -$)

code-datatype *irgraph*

fun *filter-none* **where**
filter-none *g* = $\{nid \in \text{dom } g . \nexists s . g \ nid = (\text{Some } (\text{NoNode}, s))\}$

lemma *no-node-clears*:
 $\text{res} = \text{no-node } xs \longrightarrow (\forall x \in \text{set } \text{res} . \text{fst } (\text{snd } x) \neq \text{NoNode})$
by *simp*

lemma *dom-eq*:
assumes $\forall x \in \text{set } xs . \text{fst } (\text{snd } x) \neq \text{NoNode}$
shows *filter-none* (*map-of xs*) = *dom* (*map-of xs*)
unfolding *filter-none.simps* **using** *assms map-of-SomeD*
by *fastforce*

lemma *fil-eq*:
filter-none (*map-of* (*no-node xs*)) = *set* (*map fst* (*no-node xs*))
using *no-node-clears*
by (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

lemma *irgraph[code]*: *ids* (*irgraph m*) = *set* (*map fst* (*no-node m*))
unfolding *irgraph-def ids-def* **using** *fil-eq*
by (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq*
ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq)

lemma [*code*]: *Rep-IRGraph* (*irgraph m*) = *map-of* (*no-node m*)
using *Abs-IRGraph-inverse*
by (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID
fun *inputs* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID set* **where**
inputs *g nid* = *set* (*inputs-of* (*kind g nid*))
— Get the successor set of a given node ID
fun *succ* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID set* **where**

$\text{succ } g \text{ nid} = \text{set } (\text{successors-of } (\text{kind } g \text{ nid}))$
 — Gives a relation between node IDs - between a node and its input nodes
fun *input-edges* :: *IRGraph* \Rightarrow *ID rel* **where**
 input-edges *g* = $(\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{inputs } g \ i)\})$
 — Find all the nodes in the graph that have nid as an input - the usages of nid
fun *usages* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID set* **where**
 usages *g* *nid* = $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{inputs } g \ i\}$
fun *successor-edges* :: *IRGraph* \Rightarrow *ID rel* **where**
 successor-edges *g* = $(\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{succ } g \ i)\})$
fun *predecessors* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID set* **where**
 predecessors *g* *nid* = $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{succ } g \ i\}$
fun *nodes-of* :: *IRGraph* \Rightarrow (*IRNode* \Rightarrow *bool*) \Rightarrow *ID set* **where**
 nodes-of *g* *sel* = $\{\text{nid} \in \text{ids } g. \text{sel } (\text{kind } g \text{ nid})\}$
fun *edge* :: (*IRNode* \Rightarrow 'a) \Rightarrow *ID* \Rightarrow *IRGraph* \Rightarrow 'a **where**
 edge *sel* *nid* *g* = *sel* (*kind* *g* *nid*)

fun *filtered-inputs* :: *IRGraph* \Rightarrow *ID* \Rightarrow (*IRNode* \Rightarrow *bool*) \Rightarrow *ID list* **where**
 filtered-inputs *g* *nid* *f* = *filter* (*f* \circ (*kind* *g*)) (*inputs-of* (*kind* *g* *nid*))
fun *filtered-successors* :: *IRGraph* \Rightarrow *ID* \Rightarrow (*IRNode* \Rightarrow *bool*) \Rightarrow *ID list* **where**
 filtered-successors *g* *nid* *f* = *filter* (*f* \circ (*kind* *g*)) (*successors-of* (*kind* *g* *nid*))
fun *filtered-usages* :: *IRGraph* \Rightarrow *ID* \Rightarrow (*IRNode* \Rightarrow *bool*) \Rightarrow *ID set* **where**
 filtered-usages *g* *nid* *f* = $\{n \in (\text{usages } g \text{ nid}). f (\text{kind } g \ n)\}$

fun *is-empty* :: *IRGraph* \Rightarrow *bool* **where**
 is-empty *g* = (*ids* *g* = $\{\}$)

fun *any-usage* :: *IRGraph* \Rightarrow *ID* \Rightarrow *ID* **where**
 any-usage *g* *nid* = *hd* (*sorted-list-of-set* (*usages* *g* *nid*))

lemma *ids-some[simp]*: $x \in \text{ids } g \longleftrightarrow \text{kind } g \ x \neq \text{NoNode}$
proof —
 have *that*: $x \in \text{ids } g \longrightarrow \text{kind } g \ x \neq \text{NoNode}$
 using *ids.rep-eq kind.rep-eq* **by** *force*
 have $\text{kind } g \ x \neq \text{NoNode} \longrightarrow x \in \text{ids } g$
 unfolding *with-default.simps kind-def ids-def*
 by (*cases Rep-IRGraph g x = None; auto*)
 from this that show *?thesis* **by** *auto*
qed

lemma *not-in-g*:
 assumes $\text{nid} \notin \text{ids } g$
 shows $\text{kind } g \ \text{nid} = \text{NoNode}$
 using *assms ids-some* **by** *blast*

lemma *valid-creation[simp]*:
 $\text{finite } (\text{dom } g) \longleftrightarrow \text{Rep-IRGraph } (\text{Abs-IRGraph } g) = g$
 using *Abs-IRGraph-inverse* **by** (*metis Rep-IRGraph mem-Collect-eq*)

lemma *[simp]*: *finite* (*ids* *g*)

```

using Rep-IRGraph ids.rep-eq by simp

lemma [simp]: finite (ids (irgraph g))
  by (simp add: finite-dom-map-of)

lemma [simp]: finite (dom g)  $\longrightarrow$  ids (Abs-IRGraph g) = {nid  $\in$  dom g .  $\nexists$  s. g
  nid = Some (NoNode, s)}
  using ids.rep-eq by simp

lemma [simp]: finite (dom g)  $\longrightarrow$  kind (Abs-IRGraph g) = ( $\lambda x$  . (case g x of None
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))
  by (simp add: kind.rep-eq)

lemma [simp]: finite (dom g)  $\longrightarrow$  stamp (Abs-IRGraph g) = ( $\lambda x$  . (case g x of
None  $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))
  using stamp.abs-eq stamp.rep-eq by auto

lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
  using irgraph by auto

lemma [simp]: kind (irgraph g) = ( $\lambda \textit{nid}$ . (case (map-of (no-node g)) nid of None
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))
  using irgraph.rep-eq kind.transfer kind.rep-eq by auto

lemma [simp]: stamp (irgraph g) = ( $\lambda \textit{nid}$ . (case (map-of (no-node g)) nid of None
 $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))
  using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto

lemma map-of-upd: (map-of g)(k  $\mapsto$  v) = (map-of ((k, v)  $\#$  g))
  by simp

lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k)  $\#$  g)))
proof (cases fst k = NoNode)
  case True
    then show ?thesis
      by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
no-node.simps replace-node.rep-eq snd-conv)
  next
    case False
      then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
        by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims re-
place-node.abs-eq replace-node-def snd-eqD)
  qed

lemma [code]: add-node nid k (irgraph g) = (irgraph (((nid, k)  $\#$  g)))
  by (smt (z3) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq
map-of-upd no-node.simps snd-conv)

```

lemma *add-node-lookup*:
 $gup = \text{add-node } nid \ (k, s) \ g \longrightarrow$
 (if $k \neq \text{NoNode}$ then $\text{kind } gup \ nid = k \wedge \text{stamp } gup \ nid = s$ else $\text{kind } gup \ nid = \text{kind } g \ nid$)
proof (cases $k = \text{NoNode}$)
 case *True*
 then **show** *?thesis*
 by (simp add: *add-node.rep-eq kind.rep-eq*)
 next
 case *False*
 then **show** *?thesis*
 by (simp add: *kind.rep-eq add-node.rep-eq stamp.rep-eq*)
qed

lemma *remove-node-lookup*:
 $gup = \text{remove-node } nid \ g \longrightarrow \text{kind } gup \ nid = \text{NoNode} \wedge \text{stamp } gup \ nid = \text{IllegalStamp}$
 by (simp add: *kind.rep-eq remove-node.rep-eq stamp.rep-eq*)

lemma *replace-node-lookup*[*simp*]:
 $gup = \text{replace-node } nid \ (k, s) \ g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } gup \ nid = k \wedge \text{stamp } gup \ nid = s$
 by (simp add: *replace-node.rep-eq kind.rep-eq stamp.rep-eq*)

lemma *replace-node-unchanged*:
 $gup = \text{replace-node } nid \ (k, s) \ g \longrightarrow (\forall \ n \in (\text{ids } g - \{nid\}) . n \in \text{ids } g \wedge n \in \text{ids } gup \wedge \text{kind } g \ n = \text{kind } gup \ n)$
 by (simp add: *kind.rep-eq replace-node.rep-eq*)

5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

definition *start-end-graph*:: *IRGraph* **where**
 $\text{start-end-graph} = \text{irgraph } [(0, \text{StartNode } \text{None } 1, \text{VoidStamp}), (1, \text{ReturnNode } \text{None } \text{None}, \text{VoidStamp})]$

Example 2: public static int sq(int x) return x * x;

[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

definition *eg2-sq*:: *IRGraph* **where**
 $\text{eg2-sq} = \text{irgraph } [$
 (0, *StartNode* *None* 5, *VoidStamp*),
 (1, *ParameterNode* 0, *default-stamp*),
 (4, *MulNode* 1 1, *default-stamp*),
 (5, *ReturnNode* (*Some* 4) *None*, *default-stamp*)
 $]$

```

value input-edges eg2-sq
value usages eg2-sq 1

end

```

5.4 Structural Graph Comparison

```

theory
  Comparison
imports
  IRGraph
begin

```

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

```

fun find-ref-nodes :: IRGraph  $\Rightarrow$  ( $ID \rightarrow ID$ ) where
find-ref-nodes g = map-of
  (map ( $\lambda n. (n, ir-ref (kind\ g\ n))$ ) (filter ( $\lambda id. is-RefNode (kind\ g\ id)$ ) (sorted-list-of-set
    (ids g))))

```

```

fun replace-ref-nodes :: IRGraph  $\Rightarrow$  ( $ID \rightarrow ID$ )  $\Rightarrow$  ID list  $\Rightarrow$  ID list where
replace-ref-nodes g m xs = map ( $\lambda id. (case (m\ id) of Some\ other \Rightarrow other \mid None \Rightarrow id)$ ) xs

```

```

fun find-next :: ID list  $\Rightarrow$  ID set  $\Rightarrow$  ID option where
find-next to-see seen = (let l = (filter ( $\lambda nid. nid \notin seen$ ) to-see)
  in (case l of []  $\Rightarrow$  None  $\mid$  xs  $\Rightarrow$  Some (hd xs)))

```

```

inductive reachables :: IRGraph  $\Rightarrow$  ID list  $\Rightarrow$  ID set  $\Rightarrow$  ID set  $\Rightarrow$  bool where
reachables g [] {} {} |
[[None = find-next to-see seen]]  $\Longrightarrow$  reachables g to-see seen seen |
[[Some n = find-next to-see seen;
  node = kind g n;
  new = (inputs-of node) @ (successors-of node);
  reachables g (to-see @ new) ({n}  $\cup$  seen) seen' ]]  $\Longrightarrow$  reachables g to-see seen
seen'

```

```

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) [show-steps,show-mode-inference,show-intermediate-results]

```

```

reachables .

```

```

inductive nodeEq :: ( $ID \rightarrow ID$ )  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  bool
where
[[ kind g1 n1 = RefNode ref; nodeEq m g1 ref g2 n2 ]]  $\Longrightarrow$  nodeEq m g1 n1 g2 n2
|
[[ x = kind g1 n1;

```



```

    y = kind g2 n2;
    is-same-ir-node-type x y;
    replace-ref-nodes g1 m (successors-of x) = successors-of y;
    replace-ref-nodes g1 m (inputs-of x) = inputs-of y ]
     $\Rightarrow$  nodeEq m g1 n1 g2 n2

code-pred [show-modes] nodeEq .

fun diffNodesGraph :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  ID set where
diffNodesGraph g1 g2 = (let refNodes = find-ref-nodes g1 in
  { n . n  $\in$  Predicate.the (reachables-i-i-i-o g1 [0] {})  $\wedge$  (case refNodes n of Some
    -  $\Rightarrow$  False | -  $\Rightarrow$  True)  $\wedge$   $\neg$ (nodeEq refNodes g1 n g2 n)})

fun diffNodesInfo :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  IRNode) set (infix
 $\cap_s$  20)
where
diffNodesInfo g1 g2 = {(nid, kind g1 nid, kind g2 nid) | nid . nid  $\in$  diffNodesGraph
g1 g2}

fun eqGraph :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool (infix  $\approx_s$  20)
where
eqGraph isabelle-graph graal-graph = ((diffNodesGraph isabelle-graph graal-graph)
= {})

end

```

5.5 Control-flow Graph Traversal

```

theory
  Traversal
imports
  IRGraph
begin

```

```

type-synonym Seen = ID set

```

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```

fun nextEdge :: Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option where
  nextEdge seen nid g =
    (let nids = (filter ( $\lambda$ nid'. nid'  $\notin$  seen) (successors-of (kind g nid))) in
    (if length nids > 0 then Some (hd nids) else None))

```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the

first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph ⇒ ID ⇒ ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -) ⇒ Some (hd ends) |
    - ⇒
      (if IRGraph.predecessors g nid = {}
        then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )
```

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the ConditionalElimination phase

type-synonym 'a TraversalState = (ID × Seen × 'a)

inductive Step

```
:: ('a TraversalState ⇒ 'a) ⇒ IRGraph ⇒ 'a TraversalState ⇒ 'a TraversalState
option ⇒ bool
```

for sa g **where**

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

```
[[kind g nid = BeginNode nid';
```

```
  nid ∉ seen;
  seen' = {nid} ∪ seen;
```

```
  Some ifcond = pred g nid;
  kind g ifcond = IfNode cond t f;
```

```
  analysis' = sa (nid, seen, analysis)]
⇒⇒ Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |
```

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

```
[[kind g nid = EndNode;
```

```
  nid ∉ seen;
  seen' = {nid} ∪ seen;
```

```

    nid' = any-usage g nid;

    analysis' = sa (nid, seen, analysis)
     $\implies$  Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |

    — We can find a successor edge that is not in seen, go there
     $\llbracket \neg(\text{is-EndNode } (kind\ g\ nid));$ 
     $\neg(\text{is-BEGINNode } (kind\ g\ nid));$ 

    nid  $\notin$  seen;
    seen' = {nid}  $\cup$  seen;

    Some nid' = nextEdge seen' nid g;

    analysis' = sa (nid, seen, analysis)
     $\implies$  Step sa g (nid, seen, analysis) (Some (nid', seen', analysis')) |

    — We cannot find a successor edge that is not in seen, give back None
     $\llbracket \neg(\text{is-EndNode } (kind\ g\ nid));$ 
     $\neg(\text{is-BEGINNode } (kind\ g\ nid));$ 

    nid  $\notin$  seen;
    seen' = {nid}  $\cup$  seen;

    None = nextEdge seen' nid g
     $\implies$  Step sa g (nid, seen, analysis) None |

    — We've already seen this node, give back None
     $\llbracket nid \in seen \rrbracket \implies$  Step sa g (nid, seen, analysis) None

code-pred (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool) Step .

end

```