

# Veriopt

April 24, 2021

## Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

## Contents

<b>1</b>	<b>Runtime Values and Arithmetic</b>	<b>3</b>
<b>2</b>	<b>Nodes</b>	<b>8</b>
2.1	Types of Nodes . . . . .	8
2.2	Hierarchy of Nodes . . . . .	15
<b>3</b>	<b>Stamp Typing</b>	<b>21</b>
<b>4</b>	<b>Graph Representation</b>	<b>26</b>
4.0.1	Example Graphs . . . . .	30
<b>5</b>	<b>Data-flow Semantics</b>	<b>30</b>
<b>6</b>	<b>Control-flow Semantics</b>	<b>36</b>
6.1	Heap . . . . .	37
6.2	Intraprocedural Semantics . . . . .	37
6.3	Interprocedural Semantics . . . . .	40
6.4	Big-step Execution . . . . .	41
6.4.1	Heap Testing . . . . .	42
<b>7</b>	<b>Proof Infrastructure</b>	<b>43</b>
7.1	Bisimulation . . . . .	43
7.2	Formedness Properties . . . . .	43
7.3	Dynamic Frames . . . . .	45
7.4	Graph Rewriting . . . . .	49
7.5	Stuttering . . . . .	51
<b>8</b>	<b>Canonicalization Phase</b>	<b>52</b>
<b>9</b>	<b>Conditional Elimination Phase</b>	<b>67</b>
9.1	Individual Elimination Rules . . . . .	67
9.2	Control-flow Graph Traversal . . . . .	71
<b>10</b>	<b>Graph Construction Phase</b>	<b>76</b>

# 1 Runtime Values and Arithmetic

```

theory Values
imports
  HOL-Library.Word
  HOL-Library.Signed-Division
  HOL-Library.Float
  HOL-Library.LaTeXsugar
begin

```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

```

type-synonym objref = nat option

```

```

datatype Value =
  UndefVal |
  IntVal (v-bits: int) (v-int: int) |
  FloatVal (v-bits: int) (v-float: float) |
  ObjRef objref |
  ObjStr string

```

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each (IntVal b v) should satisfy the invariants:

$$b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}$$

$$1 < b \implies v \equiv \text{scast } (\text{signed-take-bit } b \ v)$$

```

type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean

```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wf-value* function.

— Check that a signed int value does not overflow b bits.

```

fun fits-into-n :: nat  $\Rightarrow$  int  $\Rightarrow$  bool where
  fits-into-n b val = ((-(2b-1))  $\leq$  val)  $\wedge$  (val < (2b-1)))

```

**definition** *int-bits-allowed* :: *int set* **where**  
*int-bits-allowed* = {32}

**fun** *wf-value* :: *Value*  $\Rightarrow$  *bool* **where**  
*wf-value* (*IntVal* *b v*) =  
 (*b*  $\in$  *int-bits-allowed*  $\wedge$   
 (*nat b* = 1  $\longrightarrow$  (*v* = 0  $\vee$  *v* = 1))  $\wedge$   
 (*nat b* > 1  $\longrightarrow$  *fits-into-n* (*nat b*) *v*)) |  
*wf-value* - = *True*

**value** *sint*(*word-of-int* (1) :: *int1*)

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of *intval* functions correspond to the JVM arithmetic operations.

**fun** *intval-add* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-add* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) + (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) + (*word-of-int* *v2* :: *int64*)))))) |  
*intval-add* - = *UndefVal*

**instantiation** *Value* :: *plus*  
**begin**

**definition** *plus-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*plus-Value* = *intval-add*

**instance**  $\langle$ *proof* $\rangle$   
**end**

**fun** *intval-sub* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-sub* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) - (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) - (*word-of-int* *v2* :: *int64*)))))) |  
*intval-sub* - = *UndefVal*

**instantiation** *Value* :: *minus*

**begin**

**definition** *minus-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *minus-Value* = *intval-sub*

**instance**  $\langle proof \rangle$   
**end**

**fun** *intval-mul* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-mul* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) \* (*word-of-int* *v2* :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) \* (*word-of-int* *v2* :: *int64*)))))) |  
    *intval-mul* - - = *UndefVal*

**instantiation** *Value* :: *times*  
**begin**

**definition** *times-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *times-Value* = *intval-mul*

**instance**  $\langle proof \rangle$   
**end**

**fun** *intval-div* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-div* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int*(*v1* *sdiv* *v2*) :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int*(*v1* *sdiv* *v2*) :: *int64*)))))) |  
    *intval-div* - - = *UndefVal*

**instantiation** *Value* :: *divide*  
**begin**

**definition** *divide-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *divide-Value* = *intval-div*

**instance**  $\langle proof \rangle$   
**end**

**fun** *intval-mod* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-mod* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int*(*v1* *smod* *v2*) :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int*(*v1* *smod* *v2*) :: *int64*)))))) |  
    *intval-mod* - - = *UndefVal*

**instantiation** *Value* :: *modulo*  
**begin**

**definition** *modulo-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*modulo-Value* = *intval-mod*

**instance**  $\langle \text{proof} \rangle$   
**end**

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix**  $\&\&*$  64) **where**  
*intval-and* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
 (if  $b1 \leq 32 \wedge b2 \leq 32$   
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) AND (*word-of-int* *v2* :: *int32*))))  
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) AND (*word-of-int* *v2* :: *int64*))))))  
 |  
*intval-and* - - = *UndefVal*

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix**  $\|\ast$  59) **where**  
*intval-or* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
 (if  $b1 \leq 32 \wedge b2 \leq 32$   
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) OR (*word-of-int* *v2* :: *int32*))))  
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) OR (*word-of-int* *v2* :: *int64*))))))  
 |  
*intval-or* - - = *UndefVal*

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix**  $\hat{\ast}$  59) **where**  
*intval-xor* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
 (if  $b1 \leq 32 \wedge b2 \leq 32$   
 then (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) XOR (*word-of-int* *v2* :: *int32*))))  
 else (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) XOR (*word-of-int* *v2* :: *int64*))))))  
 |  
*intval-xor* - - = *UndefVal*

**lemma** *intval-add-bits*:  
**assumes** *b*: *IntVal* *b* *res* = *intval-add* *x* *y*  
**shows**  $b = 32 \vee b = 64$   
 $\langle \text{proof} \rangle$

**lemma** *word-add-sym*:  
**shows** *word-of-int* *v1* + *word-of-int* *v2* = *word-of-int* *v2* + *word-of-int* *v1*

*<proof>*

**lemma** *intval-add-sym1*:

**shows** *intval-add* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) = *intval-add* (*IntVal* *b2* *v2*) (*IntVal* *b1* *v1*)

*<proof>*

**lemma** *intval-add-sym*:

**shows** *intval-add* *x y* = *intval-add* *y x*

*<proof>*

**lemma** *wf-int32*:

**assumes** *wf*: *wf-value* (*IntVal* *b* *v*)

**shows** *b* = 32

*<proof>*

**lemma** *wf-int [simp]*:

**assumes** *wf*: *wf-value* (*IntVal* *w* *n*)

**assumes** *notbool*: *w* = 32

**shows** *sint*((*word-of-int* *n*) :: *int32*) = *n*

*<proof>*

**lemma** *add32-0*:

**assumes** *z*:*wf-value* (*IntVal* 32 0)

**assumes** *b*:*wf-value* (*IntVal* 32 *b*)

**shows** *intval-add* (*IntVal* 32 0) (*IntVal* 32 *b*) = (*IntVal* 32 (*b*))

*<proof>*

**code-deps** *intval-add*

**code-thms** *intval-add*

**lemma** *intval-add* (*IntVal* 32 ( $2^{31}-1$ )) (*IntVal* 32 ( $2^{31}-1$ )) = *IntVal* 32 (-2)

*<proof>*

**lemma** *intval-add* (*IntVal* 64 ( $2^{31}-1$ )) (*IntVal* 32 ( $2^{31}-1$ )) = *IntVal* 64 4294967294

*<proof>*

**end**

## 2 Nodes

### 2.1 Types of Nodes

```
theory IRNodes
  imports
    Values
begin
```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write `INPUT` (or special case thereof) instead of `ID` for input edges, and `SUCC` instead of `ID` for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```
type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
| AddNode (ir-x: INPUT) (ir-y: INPUT)
| AndNode (ir-x: INPUT) (ir-y: INPUT)
| BeginNode (ir-next: SUCC)
| BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue: INPUT)
| ConstantNode (ir-const: Value)
| DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt: INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| EndNode
| ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
```



| *FrameState* (*ir-monitorIds*: *INPUT-ASSOC list*) (*ir-outerFrameState-opt*: *INPUT-STATE option*) (*ir-values-opt*: *INPUT list option*) (*ir-virtualObjectMappings-opt*: *INPUT-STATE list option*)  
 | *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)  
 | *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)  
 | *IsNullNode* (*ir-value*: *INPUT*)  
 | *KillingBeginNode* (*ir-next*: *SUCC*)  
 | *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)  
 | *LogicNegationNode* (*ir-value*: *INPUT-COND*)  
 | *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)  
 | *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*)  
 | *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *NegateNode* (*ir-value*: *INPUT*)  
 | *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *NotNode* (*ir-value*: *INPUT*)  
 | *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *ParameterNode* (*ir-index*: *nat*)  
 | *PiNode* (*ir-object*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*)  
 | *ReturnNode* (*ir-result-opt*: *INPUT option*) (*ir-memoryMap-opt*: *INPUT-EXT option*)  
 | *ShortCircuitOrNode* (*ir-x*: *INPUT-COND*) (*ir-y*: *INPUT-COND*)  
 | *SignedDivNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *SignedRemNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-GUARD option*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *StartNode* (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *StoreFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-value*: *INPUT*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)  
 | *SubNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)

```

| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XorNode (ir-x: INPUT) (ir-y: INPUT)
| NoNode

```

```

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option  $\Rightarrow$  'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option  $\Rightarrow$  'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode  $\Rightarrow$  ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
  Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-DynamicNewArrayNode:
  inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
  next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
  |
  inputs-of-EndNode:
  inputs-of (EndNode) = [] |
  inputs-of-ExceptionObjectNode:
  inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |
  inputs-of-FrameState:

```

*inputs-of* (*FrameState* *monitorIds* *outerFrameState* *values* *virtualObjectMappings*)  
 = *monitorIds* @ (*opt-to-list* *outerFrameState*) @ (*opt-list-to-list* *values*) @ (*opt-list-to-list* *virtualObjectMappings*) |  
*inputs-of-IfNode*:  
*inputs-of* (*IfNode* *condition* *trueSuccessor* *falseSuccessor*) = [*condition*] |  
*inputs-of-IntegerEqualsNode*:  
*inputs-of* (*IntegerEqualsNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-IntegerLessThanNode*:  
*inputs-of* (*IntegerLessThanNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-InvokeNode*:  
*inputs-of* (*InvokeNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next*)  
 = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-InvokeWithExceptionNode*:  
*inputs-of* (*InvokeWithExceptionNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next* *exceptionEdge*) = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-IsNullNode*:  
*inputs-of* (*IsNullNode* *value*) = [*value*] |  
*inputs-of-KillingBeginNode*:  
*inputs-of* (*KillingBeginNode* *next*) = [] |  
*inputs-of-LoadFieldNode*:  
*inputs-of* (*LoadFieldNode* *nid0* *field* *object* *next*) = (*opt-to-list* *object*) |  
*inputs-of-LogicNegationNode*:  
*inputs-of* (*LogicNegationNode* *value*) = [*value*] |  
*inputs-of-LoopBeginNode*:  
*inputs-of* (*LoopBeginNode* *ends* *overflowGuard* *stateAfter* *next*) = *ends* @ (*opt-to-list* *overflowGuard*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-LoopEndNode*:  
*inputs-of* (*LoopEndNode* *loopBegin*) = [*loopBegin*] |  
*inputs-of-LoopExitNode*:  
*inputs-of* (*LoopExitNode* *loopBegin* *stateAfter* *next*) = *loopBegin* # (*opt-to-list* *stateAfter*) |  
*inputs-of-MergeNode*:  
*inputs-of* (*MergeNode* *ends* *stateAfter* *next*) = *ends* @ (*opt-to-list* *stateAfter*) |  
*inputs-of-MethodCallTargetNode*:  
*inputs-of* (*MethodCallTargetNode* *targetMethod* *arguments*) = *arguments* |  
*inputs-of-MulNode*:  
*inputs-of* (*MulNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-NegateNode*:  
*inputs-of* (*NegateNode* *value*) = [*value*] |  
*inputs-of-NewArrayNode*:  
*inputs-of* (*NewArrayNode* *length0* *stateBefore* *next*) = *length0* # (*opt-to-list* *stateBefore*) |  
*inputs-of-NewInstanceNode*:  
*inputs-of* (*NewInstanceNode* *nid0* *instanceClass* *stateBefore* *next*) = (*opt-to-list* *stateBefore*) |  
*inputs-of-NotNode*:  
*inputs-of* (*NotNode* *value*) = [*value*] |

*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] |*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] |*  
*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object # (opt-to-list guard) |*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value # (opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of* :: *IRNode*  $\Rightarrow$  *ID list* **where**

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*  
*successors-of (AndNode x y) = [] |*  
*successors-of-BeginNode:*  
*successors-of (BeginNode next) = [next] |*  
*successors-of-BytecodeExceptionNode:*  
*successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |*

*successors-of-ConditionalNode:*  
*successors-of (ConditionalNode condition trueValue falseValue) = [] |*  
*successors-of-ConstantNode:*  
*successors-of (ConstantNode const) = [] |*  
*successors-of-DynamicNewArrayNode:*  
*successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [next] |*  
*successors-of-EndNode:*  
*successors-of (EndNode) = [] |*  
*successors-of-ExceptionObjectNode:*  
*successors-of (ExceptionObjectNode stateAfter next) = [next] |*  
*successors-of-FrameState:*  
*successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-*  
*pings) = [] |*  
*successors-of-IfNode:*  
*successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,*  
*falseSuccessor] |*  
*successors-of-IntegerEqualsNode:*  
*successors-of (IntegerEqualsNode x y) = [] |*  
*successors-of-IntegerLessThanNode:*  
*successors-of (IntegerLessThanNode x y) = [] |*  
*successors-of-InvokeNode:*  
*successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= [next] |*  
*successors-of-InvokeWithExceptionNode:*  
*successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring*  
*stateAfter next exceptionEdge) = [next, exceptionEdge] |*  
*successors-of-IsNullNode:*  
*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments) = [] |*  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*

*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*  
*successors-of (ParameterNode index) = [] |*  
*successors-of-PiNode:*  
*successors-of (PiNode object guard) = [] |*  
*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignedDivNode:*  
*successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-SignedRemNode:*  
*successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-StartNode:*  
*successors-of (StartNode stateAfter next) = [next] |*  
*successors-of-StoreFieldNode:*  
*successors-of (StoreFieldNode nid0 field value stateAfter object next) = [next] |*  
*successors-of-SubNode:*  
*successors-of (SubNode x y) = [] |*  
*successors-of-UnwindNode:*  
*successors-of (UnwindNode exception) = [] |*  
*successors-of-ValuePhiNode:*  
*successors-of (ValuePhiNode nid0 values merge) = [] |*  
*successors-of-ValueProxyNode:*  
*successors-of (ValueProxyNode value loopExit) = [] |*  
*successors-of-XorNode:*  
*successors-of (XorNode x y) = [] |*  
*successors-of-NoNode: successors-of (NoNode) = [] |*

*successors-of-RefNode: successors-of (RefNode ref) = [ref]*

**lemma** *inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z*  
*<proof>*

**lemma** *successors-of (FrameState x (Some y) (Some z) None) = []*  
*<proof>*

**lemma** *inputs-of (IfNode c t f) = [c]*  
*<proof>*

**lemma** *successors-of (IfNode c t f) = [t, f]*

*<proof>*

**lemma** *inputs-of* (*EndNode*) = []  $\wedge$  *successors-of* (*EndNode*) = []  
*<proof>*

**end**

## 2.2 Hierarchy of Nodes

**theory** *IRNodeHierarchy*  
**imports** *IRNodes*  
**begin**

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is*<ClassName>*Type* will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

**fun** *is-EndNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-EndNode EndNode* = *True* |  
  *is-EndNode -* = *False*

**fun** *is-ControlSinkNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-ControlSinkNode n* = ((*is-ReturnNode n*)  $\vee$  (*is-UnwindNode n*))

**fun** *is-AbstractMergeNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-AbstractMergeNode n* = ((*is-LoopBeginNode n*)  $\vee$  (*is-MergeNode n*))

**fun** *is-BeginStateSplitNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-BeginStateSplitNode n* = ((*is-AbstractMergeNode n*)  $\vee$  (*is-ExceptionObjectNode n*)  $\vee$  (*is-LoopExitNode n*)  $\vee$  (*is-StartNode n*))

**fun** *is-AbstractBeginNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-AbstractBeginNode n* = ((*is-BeginNode n*)  $\vee$  (*is-BeginStateSplitNode n*)  $\vee$  (*is-KillingBeginNode n*))

**fun** *is-AbstractNewArrayNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-AbstractNewArrayNode n* = ((*is-DynamicNewArrayNode n*)  $\vee$  (*is-NewArrayNode n*))

**fun** *is-AbstractNewObjectNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
  *is-AbstractNewObjectNode n* = ((*is-AbstractNewArrayNode n*)  $\vee$  (*is-NewInstanceNode n*))

```

fun is-IntegerDivRemNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n)  $\vee$  (is-SignedRemNode n))

fun is-FixedBinaryNode :: IRNode  $\Rightarrow$  bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))

fun is-DeoptimizingFixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n)  $\vee$  (is-FixedBinaryNode n))

fun is-AbstractMemoryCheckpoint :: IRNode  $\Rightarrow$  bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n)  $\vee$  (is-InvokeNode n))

fun is-AbstractStateSplit :: IRNode  $\Rightarrow$  bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AccessFieldNode :: IRNode  $\Rightarrow$  bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n)  $\vee$  (is-StoreFieldNode n))

fun is-FixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractStateSplit n)
 $\vee$  (is-AccessFieldNode n)  $\vee$  (is-DeoptimizingFixedWithNextNode n))

fun is-WithExceptionNode :: IRNode  $\Rightarrow$  bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSplitNode n = ((is-IfNode n)  $\vee$  (is-WithExceptionNode n))

fun is-AbstractEndNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractEndNode n = ((is-EndNode n)  $\vee$  (is-LoopEndNode n))

fun is-FixedNode :: IRNode  $\Rightarrow$  bool where
  is-FixedNode n = ((is-AbstractEndNode n)  $\vee$  (is-ControlSinkNode n)  $\vee$  (is-ControlSplitNode n)
 $\vee$  (is-FixedWithNextNode n))

fun is-FloatingGuardedNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-UnaryNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))

fun is-BinaryArithmeticNode :: IRNode  $\Rightarrow$  bool where

```



*is-BinaryArithmeticNode* *n* = ((*is-AddNode* *n*)  $\vee$  (*is-AndNode* *n*)  $\vee$  (*is-MulNode* *n*)  $\vee$  (*is-OrNode* *n*)  $\vee$  (*is-SubNode* *n*)  $\vee$  (*is-XorNode* *n*))

**fun** *is-BinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryNode* *n* = ((*is-BinaryArithmeticNode* *n*))

**fun** *is-PhiNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-PhiNode* *n* = ((*is-ValuePhiNode* *n*))

**fun** *is-IntegerLowerThanNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerLowerThanNode* *n* = ((*is-IntegerLessThanNode* *n*))

**fun** *is-CompareNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CompareNode* *n* = ((*is-IntegerEqualsNode* *n*)  $\vee$  (*is-IntegerLowerThanNode* *n*))

**fun** *is-BinaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryOpLogicNode* *n* = ((*is-CompareNode* *n*))

**fun** *is-UnaryOpLogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UnaryOpLogicNode* *n* = ((*is-IsNullNode* *n*))

**fun** *is-LogicNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-LogicNode* *n* = ((*is-BinaryOpLogicNode* *n*)  $\vee$  (*is-LogicNegationNode* *n*)  $\vee$  (*is-ShortCircuitOrNode* *n*)  $\vee$  (*is-UnaryOpLogicNode* *n*))

**fun** *is-ProxyNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ProxyNode* *n* = ((*is-ValueProxyNode* *n*))

**fun** *is-AbstractLocalNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractLocalNode* *n* = ((*is-ParameterNode* *n*))

**fun** *is-FloatingNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FloatingNode* *n* = ((*is-AbstractLocalNode* *n*)  $\vee$  (*is-BinaryNode* *n*)  $\vee$  (*is-ConditionalNode* *n*)  $\vee$  (*is-ConstantNode* *n*)  $\vee$  (*is-FloatingGuardedNode* *n*)  $\vee$  (*is-LogicNode* *n*)  $\vee$  (*is-PhiNode* *n*)  $\vee$  (*is-ProxyNode* *n*)  $\vee$  (*is-UnaryNode* *n*))

**fun** *is-CallTargetNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CallTargetNode* *n* = ((*is-MethodCallTargetNode* *n*))

**fun** *is-ValueNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNode* *n* = ((*is-CallTargetNode* *n*)  $\vee$  (*is-FixedNode* *n*)  $\vee$  (*is-FloatingNode* *n*))

**fun** *is-VirtualState* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-VirtualState* *n* = ((*is-FrameState* *n*))

**fun** *is-Node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Node* *n* = ((*is-ValueNode* *n*)  $\vee$  (*is-VirtualState* *n*))

```

fun is-MemoryKill :: IRNode  $\Rightarrow$  bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-AddNode n)  $\vee$  (is-AndNode
n)  $\vee$  (is-MulNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode n)  $\vee$  (is-OrNode n)  $\vee$ 
(is-SubNode n)  $\vee$  (is-XorNode n))

fun is-AnchoringNode :: IRNode  $\Rightarrow$  bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode  $\Rightarrow$  bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode  $\Rightarrow$  bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode  $\Rightarrow$  bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractMergeNode n)  $\vee$ 
(is-FrameState n)  $\vee$  (is-IfNode n)  $\vee$  (is-IntegerDivRemNode n)  $\vee$  (is-InvokeWithExceptionNode
n)  $\vee$  (is-LoopBeginNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)
 $\vee$  (is-ParameterNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode  $\Rightarrow$  bool where
  is-Invoke n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode  $\Rightarrow$  bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode  $\Rightarrow$  bool where
  is-ValueProxy n = ((is-PiNode n)  $\vee$  (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode  $\Rightarrow$  bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n)  $\vee$  (is-ConstantNode
n))

fun is-StampInverter :: IRNode  $\Rightarrow$  bool where
  is-StampInverter n = ((is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-GuardingNode :: IRNode  $\Rightarrow$  bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))

fun is-SingleMemoryKill :: IRNode  $\Rightarrow$  bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode
n)  $\vee$  (is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-KillingBeginNode
n)  $\vee$  (is-StartNode n))

```

```

fun is-LIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-LIRLowerable n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractEndNode n)  $\vee$ 
    (is-AbstractMergeNode n)  $\vee$  (is-BinaryOpLogicNode n)  $\vee$  (is-CallTargetNode n)  $\vee$ 
    (is-ConditionalNode n)  $\vee$  (is-ConstantNode n)  $\vee$  (is-IfNode n)  $\vee$  (is-InvokeNode n)
     $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-IsNullNode n)  $\vee$  (is-LoopBeginNode n)  $\vee$ 
    (is-PiNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-SignedDivNode n)  $\vee$  (is-SignedRemNode
    n)  $\vee$  (is-UnaryOpLogicNode n)  $\vee$  (is-UnwindNode n))

fun is-GuardedNode :: IRNode  $\Rightarrow$  bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))

fun is-ArithmeticLIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n)  $\vee$  (is-BinaryArithmeticNode n)
     $\vee$  (is-NotNode n)  $\vee$  (is-UnaryArithmeticNode n))

fun is-SwitchFoldable :: IRNode  $\Rightarrow$  bool where
  is-SwitchFoldable n = ((is-IfNode n))

fun is-VirtualizableAllocation :: IRNode  $\Rightarrow$  bool where
  is-VirtualizableAllocation n = ((is-NewArrayNode n)  $\vee$  (is-NewInstanceNode n))

fun is-Unary :: IRNode  $\Rightarrow$  bool where
  is-Unary n = ((is-LoadFieldNode n)  $\vee$  (is-LogicNegationNode n)  $\vee$  (is-UnaryNode
    n)  $\vee$  (is-UnaryOpLogicNode n))

fun is-FixedNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-FixedNodeInterface n = ((is-FixedNode n))

fun is-BinaryCommutative :: IRNode  $\Rightarrow$  bool where
  is-BinaryCommutative n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-IntegerEqualsNode
    n)  $\vee$  (is-MulNode n)  $\vee$  (is-OrNode n)  $\vee$  (is-XorNode n))

fun is-Canonicalizable :: IRNode  $\Rightarrow$  bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ConditionalNode n)  $\vee$ 
    (is-DynamicNewArrayNode n)  $\vee$  (is-PhiNode n)  $\vee$  (is-PiNode n)  $\vee$  (is-ProxyNode
    n)  $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-UncheckedInterfaceProvider :: IRNode  $\Rightarrow$  bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode
    n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-ParameterNode n))

fun is-Binary :: IRNode  $\Rightarrow$  bool where
  is-Binary n = ((is-BinaryArithmeticNode n)  $\vee$  (is-BinaryNode n)  $\vee$  (is-BinaryOpLogicNode
    n)  $\vee$  (is-CompareNode n)  $\vee$  (is-FixedBinaryNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-ArithmeticOperation :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n)  $\vee$  (is-UnaryArithmeticNode
    n))

```

```

fun is-ValueNumberable :: IRNode  $\Rightarrow$  bool where
  is-ValueNumberable n = ((is-FloatingNode n)  $\vee$  (is-ProxyNode n))

fun is-Lowerable :: IRNode  $\Rightarrow$  bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n)  $\vee$  (is-AccessFieldNode n)  $\vee$ 
    (is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$  (is-IntegerDivRemNode
    n)  $\vee$  (is-UnwindNode n))

fun is-Virtualizable :: IRNode  $\Rightarrow$  bool where
  is-Virtualizable n = ((is-IsNullNode n)  $\vee$  (is-LoadFieldNode n)  $\vee$  (is-PiNode n)
     $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-Simplifiable :: IRNode  $\Rightarrow$  bool where
  is-Simplifiable n = ((is-AbstractMergeNode n)  $\vee$  (is-BeginNode n)  $\vee$  (is-IfNode
    n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)  $\vee$  (is-NewArrayNode n))

fun is-StateSplit :: IRNode  $\Rightarrow$  bool where
  is-StateSplit n = ((is-AbstractStateSplit n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$  (is-StoreFieldNode
    n))

fun is-sequential-node :: IRNode  $\Rightarrow$  bool where
  is-sequential-node (StartNode -) = True |
  is-sequential-node (BeginNode -) = True |
  is-sequential-node (KillingBeginNode -) = True |
  is-sequential-node (LoopBeginNode - - -) = True |
  is-sequential-node (LoopExitNode - - -) = True |
  is-sequential-node (MergeNode - - -) = True |
  is-sequential-node (RefNode -) = True |
  is-sequential-node - = False

The following convenience function is useful in determining if two IRNodes
are of the same type regardless of their edges. It will return true if both
the node parameters are the same node class.

fun is-same-ir-node-type :: IRNode  $\Rightarrow$  IRNode  $\Rightarrow$  bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1)  $\wedge$  (is-AbsNode n2))  $\vee$ 
  ((is-AddNode n1)  $\wedge$  (is-AddNode n2))  $\vee$ 
  ((is-AndNode n1)  $\wedge$  (is-AndNode n2))  $\vee$ 
  ((is-BeginNode n1)  $\wedge$  (is-BeginNode n2))  $\vee$ 
  ((is-BytecodeExceptionNode n1)  $\wedge$  (is-BytecodeExceptionNode n2))  $\vee$ 
  ((is-ConditionalNode n1)  $\wedge$  (is-ConditionalNode n2))  $\vee$ 
  ((is-ConstantNode n1)  $\wedge$  (is-ConstantNode n2))  $\vee$ 
  ((is-DynamicNewArrayNode n1)  $\wedge$  (is-DynamicNewArrayNode n2))  $\vee$ 
  ((is-EndNode n1)  $\wedge$  (is-EndNode n2))  $\vee$ 
  ((is-ExceptionObjectNode n1)  $\wedge$  (is-ExceptionObjectNode n2))  $\vee$ 
  ((is-FrameState n1)  $\wedge$  (is-FrameState n2))  $\vee$ 
  ((is-IfNode n1)  $\wedge$  (is-IfNode n2))  $\vee$ 

```

```

((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2))

```

end

### 3 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =

```

```

VoidStamp
| IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp

```

```

fun bit-bounds :: nat  $\Rightarrow$  (int  $\times$  int) where
  bit-bounds bits = (((2  $\wedge$  bits) div 2) * -1, ((2  $\wedge$  bits) div 2) - 1)

```

— A stamp which includes the full range of the type

```

fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp  $\Rightarrow$  bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp  $\Rightarrow$  Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp

```

```

    "" True True False) |
    empty-stamp stamp = IllegalStamp

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
    is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

    is-stamp-empty x = False

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |

    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
    join VoidStamp VoidStamp = VoidStamp |
    join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (max l1 l2) (min u1 u2))
    ) |

    join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (KlassPointerStamp nn1 an1))
        else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    ) |
    join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (MethodCountersPointerStamp nn1 an1))
        else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    ) |
    join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))

```

```

    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1  $\vee$  nn2) (an1  $\vee$  an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp  $\Rightarrow$  Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b l else UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2  $\wedge$ 
  asConstant stamp1  $\neq$  UndefVal)

```

```

fun constantAsStamp :: Value  $\Rightarrow$  Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp (nat b) v v) |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp

```

fun valid-value :: Stamp  $\Rightarrow$  Value  $\Rightarrow$  bool where
  valid-value (IntegerStamp b1 l h) (IntVal b2 v) = ((b1 = b2)  $\wedge$  (v  $\geq$  l)  $\wedge$  (v  $\leq$ 
  h)) |

  valid-value (VoidStamp) (UndefVal) = True |
  valid-value stamp val = False

```

— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use `default-stamp` as it is a frequently used stamp.

```

definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))

```

**lemma** *int-valid-range*:

```

assumes stamp = IntegerStamp bits lower upper
shows {x . valid-value stamp x} = {(IntVal bits val) | val . val  $\in$  {lower..upper}}
<proof>

```

**lemma** *disjoint-empty*:



**assumes**  $joined = (join\ x\text{-stamp}\ y\text{-stamp})$   
**assumes**  $is\text{-stamp}\text{-empty}\ joined$   
**shows**  $\{x . valid\text{-value}\ x\text{-stamp}\ x\} \cap \{y . valid\text{-value}\ y\text{-stamp}\ y\} = \{\}$   
 $\langle proof \rangle$

**lemma** *join-unequal*:

**assumes**  $joined = (join\ x\text{-stamp}\ y\text{-stamp})$   
**assumes**  $is\text{-stamp}\text{-empty}\ joined$   
**shows**  $\nexists x\ y . x = y \wedge valid\text{-value}\ x\text{-stamp}\ x \wedge valid\text{-value}\ y\text{-stamp}\ y$   
 $\langle proof \rangle$

**lemma** *neverDistinctEqual*:

**assumes**  $neverDistinct\ x\text{-stamp}\ y\text{-stamp}$   
**shows**  $\nexists x\ y . x \neq y \wedge valid\text{-value}\ x\text{-stamp}\ x \wedge valid\text{-value}\ y\text{-stamp}\ y$   
 $\langle proof \rangle$

**lemma** *boundsNoOverlapNoEqual*:

**assumes**  $stpi\text{-upper}\ x\text{-stamp} < stpi\text{-lower}\ y\text{-stamp}$   
**assumes**  $is\text{-IntegerStamp}\ x\text{-stamp} \wedge is\text{-IntegerStamp}\ y\text{-stamp}$   
**shows**  $\nexists x\ y . x = y \wedge valid\text{-value}\ x\text{-stamp}\ x \wedge valid\text{-value}\ y\text{-stamp}\ y$   
 $\langle proof \rangle$

**lemma** *boundsNoOverlap*:

**assumes**  $stpi\text{-upper}\ x\text{-stamp} < stpi\text{-lower}\ y\text{-stamp}$   
**assumes**  $x = IntVal\ b1\ xval$   
**assumes**  $y = IntVal\ b2\ yval$   
**assumes**  $is\text{-IntegerStamp}\ x\text{-stamp} \wedge is\text{-IntegerStamp}\ y\text{-stamp}$   
**assumes**  $valid\text{-value}\ x\text{-stamp}\ x \wedge valid\text{-value}\ y\text{-stamp}\ y$   
**shows**  $xval < yval$   
 $\langle proof \rangle$

**lemma** *boundsAlwaysOverlap*:

**assumes**  $stpi\text{-lower}\ x\text{-stamp} \geq stpi\text{-upper}\ y\text{-stamp}$   
**assumes**  $x = IntVal\ b1\ xval$   
**assumes**  $y = IntVal\ b2\ yval$   
**assumes**  $is\text{-IntegerStamp}\ x\text{-stamp} \wedge is\text{-IntegerStamp}\ y\text{-stamp}$   
**assumes**  $valid\text{-value}\ x\text{-stamp}\ x \wedge valid\text{-value}\ y\text{-stamp}\ y$   
**shows**  $\neg(xval < yval)$   
 $\langle proof \rangle$

**lemma** *intstamp-bits-eq-meet*:

**assumes**  $(meet\ (IntegerStamp\ b1\ l1\ u1)\ (IntegerStamp\ b2\ l2\ u2)) = (IntegerStamp\ b3\ l3\ u3)$   
**shows**  $b1 = b3 \wedge b2 = b3$   
 $\langle proof \rangle$

**lemma** *intstamp-bits-eq-join*:

**assumes**  $(join\ (IntegerStamp\ b1\ l1\ u1)\ (IntegerStamp\ b2\ l2\ u2)) = (IntegerStamp\ b3\ l3\ u3)$

**shows**  $b1 = b3 \wedge b2 = b3$   
 $\langle proof \rangle$

**lemma** *intstamp-bites-eq-unrestricted*:  
**assumes**  $(unrestricted\_stamp\ (IntegerStamp\ b1\ l1\ u1)) = (IntegerStamp\ b2\ l2\ u2)$   
**shows**  $b1 = b2$   
 $\langle proof \rangle$

**lemma** *intstamp-bits-eq-empty*:  
**assumes**  $(empty\_stamp\ (IntegerStamp\ b1\ l1\ u1)) = (IntegerStamp\ b2\ l2\ u2)$   
**shows**  $b1 = b2$   
 $\langle proof \rangle$

**notepad**  
**begin**  
 $\langle proof \rangle$   
**end**

**end**

## 4 Graph Representation

**theory** *IRGraph*  
**imports**  
*IRNodeHierarchy*  
*Stamp*  
*HOL-Library.FSet*  
*HOL.Relation*  
**begin**

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

**typedef**  $IRGraph = \{g :: ID \rightarrow (IRNode \times Stamp) . finite\ (dom\ g)\}$   
 $\langle proof \rangle$

**setup-lifting** *type-definition-IRGraph*

**lift-definition**  $ids :: IRGraph \Rightarrow ID\ set$   
**is**  $\lambda g. \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$   $\langle proof \rangle$

**fun** *with-default*  $:: 'c \Rightarrow ('b \Rightarrow 'c) \Rightarrow (('a \rightarrow 'b) \Rightarrow 'a \Rightarrow 'c)$  **where**  
*with-default*  $def\ conv = (\lambda m\ k.$

$(\text{case } m \text{ of } \text{None} \Rightarrow \text{def} \mid \text{Some } v \Rightarrow \text{conv } v)$

**lift-definition** *kind* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *IRNode*)  
**is** *with-default NoNode fst*  $\langle \text{proof} \rangle$

**lift-definition** *stamp* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *Stamp*  
**is** *with-default IllegalStamp snd*  $\langle \text{proof} \rangle$

**lift-definition** *add-node* :: *ID*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda \text{nid } k \text{ g. if fst } k = \text{NoNode then } g \text{ else } g(\text{nid} \mapsto k)$   $\langle \text{proof} \rangle$

**lift-definition** *remove-node* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda \text{nid } g. g(\text{nid} := \text{None})$   $\langle \text{proof} \rangle$

**lift-definition** *replace-node* :: *ID*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda \text{nid } k \text{ g. if fst } k = \text{NoNode then } g \text{ else } g(\text{nid} \mapsto k)$   $\langle \text{proof} \rangle$

**lift-definition** *as-list* :: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  *IRNode*  $\times$  *Stamp*) *list*  
**is**  $\lambda g. \text{map } (\lambda k. (k, \text{the } (g \text{ } k))) (\text{sorted-list-of-set } (\text{dom } g))$   $\langle \text{proof} \rangle$

**fun** *no-node* :: (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  $\Rightarrow$  (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  
**where**  
*no-node* *g* = *filter* ( $\lambda n. \text{fst } (\text{snd } n) \neq \text{NoNode}$ ) *g*

**lift-definition** *irgraph* :: (*ID*  $\times$  (*IRNode*  $\times$  *Stamp*)) *list*  $\Rightarrow$  *IRGraph*  
**is** *map-of*  $\circ$  *no-node*  
 $\langle \text{proof} \rangle$

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none* *g* =  $\{ \text{nid} \in \text{dom } g . \nexists s. g \text{ nid} = (\text{Some } (\text{NoNode}, s)) \}$

**lemma** *no-node-clears*:  
 $\text{res} = \text{no-node } xs \longrightarrow (\forall x \in \text{set res. fst } (\text{snd } x) \neq \text{NoNode})$   
 $\langle \text{proof} \rangle$

**lemma** *dom-eq*:  
**assumes**  $\forall x \in \text{set } xs. \text{fst } (\text{snd } x) \neq \text{NoNode}$   
**shows** *filter-none* (*map-of* *xs*) = *dom* (*map-of* *xs*)  
 $\langle \text{proof} \rangle$

**lemma** *fil-eq*:  
 $\text{filter-none } (\text{map-of } (\text{no-node } xs)) = \text{set } (\text{map fst } (\text{no-node } xs))$   
 $\langle \text{proof} \rangle$

**lemma** *irgraph[code]*: *ids* (*irgraph* *m*) = *set* (*map fst* (*no-node* *m*))  
 $\langle \text{proof} \rangle$

```

lemma [code]: Rep-IRGraph (irgraph m) = map-of (no-node m)
  <proof>
fun inputs :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  inputs g nid = set (inputs-of (kind g nid))
  — Get the successor set of a given node ID
fun succ :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  succ g nid = set (successors-of (kind g nid))
  — Gives a relation between node IDs - between a node and its input nodes
fun input-edges :: IRGraph  $\Rightarrow$  ID rel where
  input-edges g = ( $\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{inputs } g i)\}$ )
  — Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  usages g nid =  $\{j. j \in \text{ids } g \wedge (j, \text{nid}) \in \text{input-edges } g\}$ 
fun successor-edges :: IRGraph  $\Rightarrow$  ID rel where
  successor-edges g = ( $\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{succ } g i)\}$ )
fun predecessors :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  predecessors g nid =  $\{j. j \in \text{ids } g \wedge (j, \text{nid}) \in \text{successor-edges } g\}$ 
fun nodes-of :: IRGraph  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID set where
  nodes-of g sel =  $\{\text{nid} \in \text{ids } g. \text{sel } (\text{kind } g \text{ nid})\}$ 
fun edge :: (IRNode  $\Rightarrow$  'a)  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  'a where
  edge sel nid g = sel (kind g nid)

fun filtered-inputs :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID list where
  filtered-inputs g nid f = filter (f  $\circ$  (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID list where
  filtered-successors g nid f = filter (f  $\circ$  (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID set where
  filtered-usages g nid f =  $\{n \in (\text{usages } g \text{ nid}). f (\text{kind } g n)\}$ 

fun is-empty :: IRGraph  $\Rightarrow$  bool where
  is-empty g = (ids g =  $\{\}$ )

fun any-usage :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))

lemma ids-some[simp]:  $x \in \text{ids } g \longleftrightarrow \text{kind } g x \neq \text{NoNode}$ 
  <proof>

lemma not-in-g:
  assumes  $\text{nid} \notin \text{ids } g$ 
  shows  $\text{kind } g \text{ nid} = \text{NoNode}$ 
  <proof>

lemma valid-creation[simp]:
   $\text{finite } (\text{dom } g) \longleftrightarrow \text{Rep-IRGraph } (\text{Abs-IRGraph } g) = g$ 
  <proof>

lemma [simp]:  $\text{finite } (\text{ids } g)$ 

```

$\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{finite } (\text{ids } (\text{irgraph } g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{finite } (\text{dom } g) \longrightarrow \text{ids } (\text{Abs-IRGraph } g) = \{nid \in \text{dom } g . \nexists s. g \text{ nid} = \text{Some } (\text{NoNode}, s)\}$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{finite } (\text{dom } g) \longrightarrow \text{kind } (\text{Abs-IRGraph } g) = (\lambda x . (\text{case } g \text{ of } \text{None} \Rightarrow \text{NoNode} \mid \text{Some } n \Rightarrow \text{fst } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{finite } (\text{dom } g) \longrightarrow \text{stamp } (\text{Abs-IRGraph } g) = (\lambda x . (\text{case } g \text{ of } \text{None} \Rightarrow \text{IllegalStamp} \mid \text{Some } n \Rightarrow \text{snd } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{ids } (\text{irgraph } g) = \text{set } (\text{map } \text{fst } (\text{no-node } g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{kind } (\text{irgraph } g) = (\lambda \text{nid}. (\text{case } (\text{map-of } (\text{no-node } g)) \text{ nid} \text{ of } \text{None} \Rightarrow \text{NoNode} \mid \text{Some } n \Rightarrow \text{fst } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $[simp]$ :  $\text{stamp } (\text{irgraph } g) = (\lambda \text{nid}. (\text{case } (\text{map-of } (\text{no-node } g)) \text{ nid} \text{ of } \text{None} \Rightarrow \text{IllegalStamp} \mid \text{Some } n \Rightarrow \text{snd } n))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{map-of-upd}$ :  $(\text{map-of } g)(k \mapsto v) = (\text{map-of } ((k, v) \# g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  $\text{replace-node } \text{nid } k (\text{irgraph } g) = (\text{irgraph } ((\text{nid}, k) \# g))$   
 $\langle \text{proof} \rangle$

**lemma**  $[\text{code}]$ :  $\text{add-node } \text{nid } k (\text{irgraph } g) = (\text{irgraph } (((\text{nid}, k) \# g)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{add-node-lookup}$ :  
 $\text{gup} = \text{add-node } \text{nid } (k, s) g \longrightarrow$   
 $(\text{if } k \neq \text{NoNode} \text{ then } \text{kind } \text{gup } \text{nid} = k \wedge \text{stamp } \text{gup } \text{nid} = s \text{ else } \text{kind } \text{gup } \text{nid}$   
 $= \text{kind } g \text{ nid})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{remove-node-lookup}$ :  
 $\text{gup} = \text{remove-node } \text{nid } g \longrightarrow \text{kind } \text{gup } \text{nid} = \text{NoNode} \wedge \text{stamp } \text{gup } \text{nid} =$   
 $\text{IllegalStamp}$   
 $\langle \text{proof} \rangle$

**lemma** *replace-node-lookup*[simp]:

$gup = \text{replace-node } nid \ (k, s) \ g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } gup \ nid = k \wedge \text{stamp } gup \ nid = s$   
 <proof>

**lemma** *replace-node-unchanged*:

$gup = \text{replace-node } nid \ (k, s) \ g \longrightarrow (\forall \ n \in (\text{ids } g - \{nid\}) . n \in \text{ids } g \wedge n \in \text{ids } gup \wedge \text{kind } g \ n = \text{kind } gup \ n)$   
 <proof>

#### 4.0.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph*:: *IRGraph* **where**

*start-end-graph* = *irgraph* [(0, *StartNode* None 1, *VoidStamp*), (1, *ReturnNode* None None, *VoidStamp*)]

Example 2: public static int sq(int x) return x \* x;

[1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**

*eg2-sq* = *irgraph* [  
 (0, *StartNode* None 5, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (4, *MulNode* 1 1, *default-stamp*),  
 (5, *ReturnNode* (Some 4) None, *default-stamp*)  
 ]

**value** *input-edges* *eg2-sq*

**value** *usages* *eg2-sq* 1

**end**

## 5 Data-flow Semantics

**theory** *IREval*

**imports**

*Graph.IRGraph*

**begin**

We define the semantics of data-flow nodes as big-step operational semantics.

Data-flow nodes are evaluated in the context of the *IRGraph* and a method state (currently called *MapState* in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the

parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph. As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated part of the control-flow as the data-flow is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

**datatype** *MapState* =

*MapState*  
 (*m-values*: *ID*  $\Rightarrow$  *Value*)  
 (*m-params*: *Value list*)

**definition** *new-map-state* :: *MapState* **where**

*new-map-state* = *MapState* ( $\lambda x.$  *UndefVal*) []

**fun** *m-val* :: *MapState*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value* **where**

*m-val* *m* *nid* = (*m-values* *m*) *nid*

**fun** *m-set* :: *ID*  $\Rightarrow$  *Value*  $\Rightarrow$  *MapState*  $\Rightarrow$  *MapState* **where**

*m-set* *nid* *v* (*MapState* *m* *p*) = *MapState* (*m*(*nid* := *v*)) *p*

**fun** *m-param* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value* **where**

*m-param* *g* *m* *nid* = (case (*kind* *g* *nid*) of  
 (*ParameterNode* *i*)  $\Rightarrow$  (*m-params* *m*)!*i* |  
 -  $\Rightarrow$  *UndefVal*)

**fun** *set-params* :: *MapState*  $\Rightarrow$  *Value list*  $\Rightarrow$  *MapState* **where**

*set-params* (*MapState* *m* -) *vs* = *MapState* *m* *vs*

**fun** *new-map* :: *Value list*  $\Rightarrow$  *MapState* **where**

*new-map* *ps* = *set-params* *new-map-state* *ps*

**fun** *val-to-bool* :: *Value*  $\Rightarrow$  *bool* **where**

*val-to-bool* (*IntVal* *bits* *val*) = (if *val* = 0 then *False* else *True*) |  
*val-to-bool* *v* = *False*

**fun** *bool-to-val* :: *bool*  $\Rightarrow$  *Value* **where**

*bool-to-val* *True* = (*IntVal* 1 1) |  
*bool-to-val* *False* = (*IntVal* 1 0)

```

fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g nid =
    (filter (λx.(is-PhiNode (kind g x)))
     (sorted-list-of-set (usages g nid)))

fun input-index :: IRGraph ⇒ ID ⇒ ID ⇒ nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))

fun phi-inputs :: IRGraph ⇒ nat ⇒ ID list ⇒ ID list where
  phi-inputs g i nodes = (map (λn. (inputs-of (kind g n))!(i + 1)) nodes)

fun set-phs :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phs [] [] m = m |
  set-phs (nid # xs) (v # vs) m = (set-phs xs vs (m-set nid v m)) |
  set-phs [] (v # vs) m = m |
  set-phs (x # xs) [] m = m

inductive
  eval :: IRGraph ⇒ MapState ⇒ IRNode ⇒ Value ⇒ bool (- - ⊢ - ↦ - 55)
  for g where

    ConstantNode:
    g m ⊢ (ConstantNode c) ↦ c |

    ParameterNode:
    g m ⊢ (ParameterNode i) ↦ (m-params m)!i |

    ValuePhiNode:
    g m ⊢ (ValuePhiNode nid -) ↦ m-val m nid |

    ValueProxyNode:
    [[g m ⊢ (kind g c) ↦ val]]
    ⇒ g m ⊢ (ValueProxyNode c -) ↦ val |

    — Unary arithmetic operators

    AbsNode:
    [[g m ⊢ (kind g x) ↦ IntVal b v]]
    ⇒ g m ⊢ (AbsNode x) ↦ if v < 0 then (intval-sub (IntVal b 0) (IntVal b v))
    else (IntVal b v) |

    NegateNode:
    [[g m ⊢ (kind g x) ↦ v]]
    ⇒ g m ⊢ (NegateNode x) ↦ (IntVal (v-bits v) 0) - v |

```



*NotNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{val}; \\ & \quad \text{not-val} = (\neg(\text{val-to-bool } \text{val})) \rrbracket \\ & \implies g \ m \vdash (\text{NotNode } x) \mapsto \text{bool-to-val not-val} \mid \end{aligned}$$

— Binary arithmetic operators

*AddNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{AddNode } x \ y) \mapsto v1 + v2 \mid \end{aligned}$$

*SubNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{SubNode } x \ y) \mapsto v1 - v2 \mid \end{aligned}$$

*MulNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{MulNode } x \ y) \mapsto v1 * v2 \mid \end{aligned}$$

*SignedDivNode:*

$$g \ m \vdash (\text{SignedDivNode } \text{nid} \ - \ - \ - \ -) \mapsto \text{m-val } m \ \text{nid} \mid$$

*SignedRemNode:*

$$g \ m \vdash (\text{SignedRemNode } \text{nid} \ - \ - \ - \ -) \mapsto \text{m-val } m \ \text{nid} \mid$$

— Binary logical bitwise operators

*AndNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{AndNode } x \ y) \mapsto \text{intval-and } v1 \ v2 \mid \end{aligned}$$

*OrNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{OrNode } x \ y) \mapsto \text{intval-or } v1 \ v2 \mid \end{aligned}$$

*XorNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{XorNode } x \ y) \mapsto \text{intval-xor } v1 \ v2 \mid \end{aligned}$$

— Comparison operators

*IntegerEqualsNode:*

$$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1;$$

$g \vdash (kind\ g\ y) \mapsto IntVal\ b\ v2;$   
 $val = bool\text{-}to\text{-}val(v1 = v2)]$   
 $\implies g \vdash (IntegerEqualsNode\ x\ y) \mapsto val \mid$

*IntegerLessThanNode:*

$\llbracket g \vdash (kind\ g\ x) \mapsto IntVal\ b\ v1;$   
 $g \vdash (kind\ g\ y) \mapsto IntVal\ b\ v2;$   
 $val = bool\text{-}to\text{-}val(v1 < v2)]$   
 $\implies g \vdash (IntegerLessThanNode\ x\ y) \mapsto val \mid$

*IsNullNode:*

$\llbracket g \vdash (kind\ g\ obj) \mapsto ObjRef\ ref;$   
 $val = bool\text{-}to\text{-}val(ref = None)]$   
 $\implies g \vdash (IsNullNode\ obj) \mapsto val \mid$

— Other nodes

*ConditionalNode:*

$\llbracket g \vdash (kind\ g\ condition) \mapsto IntVal\ 1\ cond;$   
 $g \vdash (kind\ g\ trueExp) \mapsto IntVal\ b\ trueVal;$   
 $g \vdash (kind\ g\ falseExp) \mapsto IntVal\ b\ falseVal;$   
 $val = IntVal\ b\ (if\ cond \neq 0\ then\ trueVal\ else\ falseVal)]$   
 $\implies g \vdash (ConditionalNode\ condition\ trueExp\ falseExp) \mapsto val \mid$

*ShortCircuitOrNode:*

$\llbracket g \vdash (kind\ g\ x) \mapsto IntVal\ b\ v1;$   
 $g \vdash (kind\ g\ y) \mapsto IntVal\ b\ v2;$   
 $val = IntVal\ b\ (if\ v1 \neq 0\ then\ v1\ else\ v2)]$   
 $\implies g \vdash (ShortCircuitOrNode\ x\ y) \mapsto val \mid$

*LogicNegationNode:*

$\llbracket g \vdash (kind\ g\ x) \mapsto IntVal\ 1\ v1;$   
 $val = IntVal\ 1\ (NOT\ v1)]$   
 $\implies g \vdash (LogicNegationNode\ x) \mapsto val \mid$

*InvokeNodeEval:*

$g \vdash (InvokeNode\ nid\ -\ -\ -\ -) \mapsto m\text{-}val\ m\ nid \mid$

*InvokeWithExceptionNodeEval:*

$g \vdash (InvokeWithExceptionNode\ nid\ -\ -\ -\ -) \mapsto m\text{-}val\ m\ nid \mid$

*NewInstanceNode:*

$g \vdash (NewInstanceNode\ nid\ -\ -) \mapsto m\text{-}val\ m\ nid \mid$

*LoadFieldNode:*

$g \ m \vdash (\text{LoadFieldNode } nid \ - \ -) \mapsto m\text{-val } m \ nid \mid$

*PiNode:*

$\llbracket g \ m \vdash (\text{kind } g \ \text{object}) \mapsto val \rrbracket$   
 $\implies g \ m \vdash (\text{PiNode } \text{object } \text{guard}) \mapsto val \mid$

*RefNode:*

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto val \rrbracket$   
 $\implies g \ m \vdash (\text{RefNode } x) \mapsto val$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *evalE*) *eval*  $\langle \text{proof} \rangle$

The step semantics for phi nodes requires all the input nodes of the phi node to be evaluated to a value at the same time.

We introduce the *eval-all* relation to handle the evaluation of a list of node identifiers in parallel. As the evaluation semantics are side-effect free this is trivial.

**inductive**

*eval-all* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *ID list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *bool*  
 ( $- \ - \vdash - \longmapsto -$  55)

**for** *g* **where**

*Base:*

$g \ m \vdash [] \longmapsto [] \mid$

*Transitive:*

$\llbracket g \ m \vdash (\text{kind } g \ nid) \mapsto v; \quad g \ m \vdash xs \longmapsto vs \rrbracket$   
 $\implies g \ m \vdash (nid \ \# \ xs) \longmapsto (v \ \# \ vs)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *eval-allE*) *eval-all*  $\langle \text{proof} \rangle$

**inductive** *eval-graph* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value list*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*

**where**

$\llbracket \text{state} = \text{new-map } ps; \quad g \ \text{state} \vdash (\text{kind } g \ nid) \mapsto val \rrbracket$   
 $\implies \text{eval-graph } g \ nid \ ps \ val$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *eval-graph*  $\langle \text{proof} \rangle$

**values**  $\{v. \text{eval-graph } eg2\text{-sq } 4 \ [\text{IntVal } 32 \ 5] \ v\}$

**fun** *has-control-flow* :: *IRNode*  $\Rightarrow$  *bool* **where**

*has-control-flow* *n* = (*is-AbstractEndNode* *n*  
 $\vee (\text{length } (\text{successors-of } n) > 0)$ )

**definition** *control-nodes* :: *IRNode set* **where**

```

control-nodes = {n . has-control-flow n}

fun is-floating-node :: IRNode ⇒ bool where
  is-floating-node n = (¬(has-control-flow n))

definition floating-nodes :: IRNode set where
  floating-nodes = {n . is-floating-node n}

lemma is-floating-node n ⟷ ¬(has-control-flow n)
  ⟨proof⟩

lemma n ∈ control-nodes ⟷ n ∉ floating-nodes
  ⟨proof⟩

```

Here we show that using the elimination rules for eval we can prove 'inverted rule' properties

```

lemma evalAddNode : g m ⊢ (AddNode x y) ↦ val ⇒
  (∃ v1. (g m ⊢ (kind g x) ↦ v1) ∧
   (∃ v2. (g m ⊢ (kind g y) ↦ v2) ∧
    val = intval-add v1 v2))
  ⟨proof⟩

lemma not-floating: (∃ y ys. (successors-of n) = y # ys) ⟶ ¬(is-floating-node n)
  ⟨proof⟩

```

We show that within the context of a graph and method state, the same node will always evaluate to the same value and the semantics is therefore deterministic.

```

theorem evalDet:
  (g m ⊢ node ↦ val1) ⇒
  (∀ val2. ((g m ⊢ node ↦ val2) ⟶ val1 = val2))
  ⟨proof⟩

theorem evalAllDet:
  (g m ⊢ nodes ↦ vals1) ⇒
  (∀ vals2. ((g m ⊢ nodes ↦ vals2) ⟶ vals1 = vals2))
  ⟨proof⟩

```

**end**

## 6 Control-flow Semantics

```

theory IRStepObj
  imports
    IREval
  begin

```

## 6.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*.

We also introduce the `DynamicHeap` type which allocates new object references sequentially storing the next free object reference as 'Free'.

**type-synonym**  $('a, 'b) \text{ Heap} = 'a \Rightarrow 'b \Rightarrow \text{Value}$

**type-synonym**  $\text{Free} = \text{nat}$

**type-synonym**  $('a, 'b) \text{ DynamicHeap} = ('a, 'b) \text{ Heap} \times \text{Free}$

**fun**  $h\text{-load-field} :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) \text{ DynamicHeap} \Rightarrow \text{Value}$  **where**  
 $h\text{-load-field } f \ r \ (h, n) = h \ f \ r$

**fun**  $h\text{-store-field} :: 'a \Rightarrow 'b \Rightarrow \text{Value} \Rightarrow ('a, 'b) \text{ DynamicHeap} \Rightarrow ('a, 'b) \text{ DynamicHeap}$  **where**  
 $h\text{-store-field } f \ r \ v \ (h, n) = (h(f := ((h \ f)(r := v))), n)$

**fun**  $h\text{-new-inst} :: ('a, 'b) \text{ DynamicHeap} \Rightarrow ('a, 'b) \text{ DynamicHeap} \times \text{Value}$  **where**  
 $h\text{-new-inst } (h, n) = ((h, n+1), (\text{ObjRef } (\text{Some } n)))$

**type-synonym**  $\text{FieldRefHeap} = (\text{string}, \text{objref}) \text{ DynamicHeap}$

**definition**  $\text{new-heap} :: ('a, 'b) \text{ DynamicHeap}$  **where**  
 $\text{new-heap} = ((\lambda f. \lambda p. \text{UndefVal}), 0)$

## 6.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple,  $(ID, \text{MethodState}, \text{Heap})$ , is related to the subsequent configuration.

**inductive**  $\text{step} :: \text{IRGraph} \Rightarrow (ID \times \text{MapState} \times \text{FieldRefHeap}) \Rightarrow (ID \times \text{MapState} \times \text{FieldRefHeap}) \Rightarrow \text{bool}$   
 $(- \vdash - \rightarrow - \ 55)$  **for**  $g$  **where**

*SequentialNode:*

$\llbracket \text{is-sequential-node } (kind \ g \ nid);$   
 $nid' = (\text{successors-of } (kind \ g \ nid))!0 \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*IfNode:*

$\llbracket kind \ g \ nid = (\text{IfNode } cond \ tb \ fb);$   
 $g \ m \vdash (kind \ g \ cond) \mapsto val;$   
 $nid' = (\text{if } val\text{-to-bool } val \text{ then } tb \text{ else } fb) \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*EndNodes:*

$\llbracket \text{is-AbstractEndNode } (kind\ g\ nid);$   
 $\text{merge} = \text{any-usage } g\ nid;$   
 $\text{is-AbstractMergeNode } (kind\ g\ merge);$   
  
 $i = \text{find-index } nid\ (\text{inputs-of } (kind\ g\ merge));$   
 $phis = (\text{phi-list } g\ merge);$   
 $inps = (\text{phi-inputs } g\ i\ phis);$   
 $g\ m \vdash inps \mapsto vs;$

$m' = \text{set-phis } phis\ vs\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

*NewInstanceNode:*

$\llbracket kind\ g\ nid = (\text{NewInstanceNode } nid\ f\ obj\ nid');$   
 $(h', ref) = h\text{-new-inst } h;$   
 $m' = m\text{-set } nid\ ref\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*LoadFieldNode:*

$\llbracket kind\ g\ nid = (\text{LoadFieldNode } nid\ f\ (\text{Some } obj)\ nid');$   
 $g\ m \vdash (kind\ g\ obj) \mapsto \text{ObjRef } ref;$   
 $h\text{-load-field } f\ ref\ h = v;$   
 $m' = m\text{-set } nid\ v\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*SignedDivNode:*

$\llbracket kind\ g\ nid = (\text{SignedDivNode } nid\ x\ y\ zero\ sb\ nxt);$   
 $g\ m \vdash (kind\ g\ x) \mapsto v1;$   
 $g\ m \vdash (kind\ g\ y) \mapsto v2;$   
 $v = (\text{intval-div } v1\ v2);$   
 $m' = m\text{-set } nid\ v\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$

*SignedRemNode:*

$\llbracket kind\ g\ nid = (\text{SignedRemNode } nid\ x\ y\ zero\ sb\ nxt);$   
 $g\ m \vdash (kind\ g\ x) \mapsto v1;$   
 $g\ m \vdash (kind\ g\ y) \mapsto v2;$   
 $v = (\text{intval-mod } v1\ v2);$   
 $m' = m\text{-set } nid\ v\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$

*StaticLoadFieldNode:*

$\llbracket kind\ g\ nid = (\text{LoadFieldNode } nid\ f\ \text{None } nid');$   
 $h\text{-load-field } f\ \text{None } h = v;$   
 $m' = m\text{-set } nid\ v\ m \rrbracket$   
 $\implies g \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*StoreFieldNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \text{ nid} = (\text{StoreFieldNode } \text{nid } f \text{ newval} - (\text{Some } \text{obj}) \text{ nid}') \rrbracket; \\
& g \text{ m} \vdash (\text{kind } g \text{ newval}) \mapsto \text{val}; \\
& g \text{ m} \vdash (\text{kind } g \text{ obj}) \mapsto \text{ObjRef } \text{ref}; \\
& h' = h\text{-store-field } f \text{ ref } \text{val } h; \\
& m' = m\text{-set } \text{nid } \text{val } m \rrbracket \\
& \implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid
\end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned}
& \llbracket \text{kind } g \text{ nid} = (\text{StoreFieldNode } \text{nid } f \text{ newval} - \text{None } \text{nid}') \rrbracket; \\
& g \text{ m} \vdash (\text{kind } g \text{ newval}) \mapsto \text{val}; \\
& h' = h\text{-store-field } f \text{ None } \text{val } h; \\
& m' = m\text{-set } \text{nid } \text{val } m \rrbracket \\
& \implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h')
\end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow \text{bool}$ ) *step*  $\langle \text{proof} \rangle$

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

**theorem** *stepDet*:

$$\begin{aligned}
& (g \vdash (\text{nid}, m, h) \rightarrow \text{next}) \implies \\
& (\forall \text{ next}'. ((g \vdash (\text{nid}, m, h) \rightarrow \text{next}') \longrightarrow \text{next} = \text{next}')) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *stepRefNode*:

$$\begin{aligned}
& \llbracket \text{kind } g \text{ nid} = \text{RefNode } \text{nid}' \rrbracket \implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *IfNodeStepCases*:

$$\begin{aligned}
& \text{assumes } \text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb} \\
& \text{assumes } g \text{ m} \vdash \text{kind } g \text{ cond} \mapsto v \\
& \text{assumes } g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \\
& \text{shows } \text{nid}' \in \{\text{tb}, \text{fb}\} \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *IfNodeSeq*:

$$\begin{aligned}
& \text{shows } \text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb} \longrightarrow \neg(\text{is-sequential-node } (\text{kind } g \text{ nid})) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *IfNodeCond*:

$$\begin{aligned}
& \text{assumes } \text{kind } g \text{ nid} = \text{IfNode } \text{cond } \text{tb } \text{fb} \\
& \text{assumes } g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \\
& \text{shows } \exists v. (g \text{ m} \vdash \text{kind } g \text{ cond} \mapsto v) \\
& \langle \text{proof} \rangle
\end{aligned}$$

**lemma** *step-in-ids*:

$$\begin{aligned}
& \text{assumes } g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \\
& \text{shows } \text{nid} \in \text{ids } g \\
& \langle \text{proof} \rangle
\end{aligned}$$

### 6.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**inductive** *step-top* :: *Program*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$  *bool*

(-  $\vdash$  -  $\longrightarrow$  - 55)

**for** *p* **where**

*Lift*:

$\llbracket g \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$   
 $\implies p \vdash ((g, nid, m) \# stk, h) \longrightarrow ((g, nid', m') \# stk, h') \mid$

*InvokeNodeStep*:

$\llbracket is-Invoke (kind\ g\ nid) \rrbracket$

*callTarget* = *ir-callTarget* (*kind g nid*);  
*kind g callTarget* = (*MethodCallTargetNode targetMethod arguments*);  
*Some targetGraph* = *p targetMethod*;

*g m*  $\vdash$  *arguments*  $\mapsto$  *vs*;  
*m'* = *set-params m vs*;  
 $\implies p \vdash ((g, nid, m) \# stk, h) \longrightarrow ((targetGraph, 0, m') \# (g, nid, m) \# stk, h) \mid$

*ReturnNode*:

$\llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -) \rrbracket$   
*g m*  $\vdash$  (*kind g expr*)  $\mapsto$  *v*;

*c-m'* = *m-set c-nid v c-m*;  
*c-nid'* = (*successors-of (kind c-g c-nid)*)!0;  
 $\implies p \vdash ((g, nid, m) \# (c-g, c-nid, c-m) \# stk, h) \longrightarrow ((c-g, c-nid', c-m') \# stk, h) \mid$

*ReturnNodeVoid*:

$\llbracket kind\ g\ nid = (ReturnNode\ None\ -) \rrbracket$   
*c-m'* = *m-set c-nid (ObjRef (Some (2048))) c-m*;

*c-nid'* = (*successors-of (kind c-g c-nid)*)!0;  
 $\implies p \vdash ((g, nid, m) \# (c-g, c-nid, c-m) \# stk, h) \longrightarrow ((c-g, c-nid', c-m') \# stk, h) \mid$

*UnwindNode*:

$\llbracket kind\ g\ nid = (UnwindNode\ exception) \rrbracket$

*g m*  $\vdash$  (*kind g exception*)  $\mapsto$  *e*;

*kind c-g c-nid* = (*InvokeWithExceptionNode - - - - - exEdge*);

*c-m'* = *m-set c-nid e c-m*;  
 $\implies p \vdash ((g, nid, m) \# (c-g, c-nid, c-m) \# stk, h) \longrightarrow ((c-g, exEdge, c-m') \# stk, h)$



**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *step-top*  $\langle \text{proof} \rangle$

## 6.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = ((*m-val* *m* 0)  $\neq$  *UndefVal*)

**inductive** *exec* :: *Program*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  *Trace*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  *Trace*  
 $\Rightarrow$  *bool*  
 $(- \vdash - \mid - \longrightarrow * - \mid -)$   
**for** *p*  
**where**  
 $\llbracket p \vdash (((g, \text{id}, m) \# xs), h) \longrightarrow (((g', \text{id}', m') \# ys), h'); \neg(\text{has-return } m') \rrbracket$   
 $l' = (l @ [(g, \text{id}, m)]);$   
 $\text{exec } p (((g', \text{id}', m') \# ys), h') \text{ } l' \text{ next-state } l''$   
 $\implies \text{exec } p (((g, \text{id}, m) \# xs), h) \text{ } l \text{ next-state } l''$   
 $\mid$   
 $\llbracket p \vdash (((g, \text{id}, m) \# xs), h) \longrightarrow (((g', \text{id}', m') \# ys), h'); \text{has-return } m' \rrbracket$   
 $l' = (l @ [(g, \text{id}, m)])$   
 $\implies \text{exec } p (((g, \text{id}, m) \# xs), h) \text{ } l (((g', \text{id}', m') \# ys), h') \text{ } l'$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$  as *Exec*) *exec*  $\langle \text{proof} \rangle$

**inductive** *exec-debug* :: *Program*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  *nat*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  *bool*  
 $(- \vdash \longrightarrow * - * -)$   
**where**  
 $\llbracket n > 0; p \vdash s \longrightarrow s'; \text{exec-debug } p \text{ } s' (n - 1) \text{ } s' \rrbracket$   
 $\implies \text{exec-debug } p \text{ } s \text{ } n \text{ } s'' \mid$   
 $\llbracket n = 0 \rrbracket$   
 $\implies \text{exec-debug } p \text{ } s \text{ } n \text{ } s$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *exec-debug*  $\langle \text{proof} \rangle$

### 6.4.1 Heap Testing

**definition** *p3* :: *MapState* **where**

*p3* = *set-params new-map-state* [*IntVal* 32 3]

**values** {*m-val* (*prod.snd* (*prod.snd* (*hd* (*prod.fst* *res*)))) 0  
 | *res*. ( $\lambda x$ . *Some eg2-sq*)  $\vdash$  [(*eg2-sq*, 0, *p3*), (*eg2-sq*, 0, *p3*)], *new-heap*)  $\rightarrow^* 2^*$   
*res*}

**definition** *field-sq* :: *string* **where**

*field-sq* = "sq"

**definition** *eg3-sq* :: *IRGraph* **where**

*eg3-sq* = *irgraph* [  
 (0, *StartNode* *None* 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *StoreFieldNode* 4 *field-sq* 3 *None* *None* 5, *VoidStamp*),  
 (5, *ReturnNode* (*Some* 3) *None*, *default-stamp*)  
 ]

**values** {*h-load-field* *field-sq* *None* (*prod.snd* *res*)  
 | *res*. ( $\lambda x$ . *Some eg3-sq*)  $\vdash$  [(*eg3-sq*, 0, *p3*), (*eg3-sq*, 0, *p3*)], *new-heap*)  $\rightarrow^* 3^*$   
*res*}

**definition** *eg4-sq* :: *IRGraph* **where**

*eg4-sq* = *irgraph* [  
 (0, *StartNode* *None* 4, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (3, *MulNode* 1 1, *default-stamp*),  
 (4, *NewInstanceNode* 4 "obj-class" *None* 5, *ObjectStamp* "obj-class" *True* *True*  
*True*),  
 (5, *StoreFieldNode* 5 *field-sq* 3 *None* (*Some* 4) 6, *VoidStamp*),  
 (6, *ReturnNode* (*Some* 3) *None*, *default-stamp*)  
 ]

**values** {*h-load-field* *field-sq* (*Some* 0) (*prod.snd* *res*)  
 | *res*. ( $\lambda x$ . *Some eg4-sq*)  $\vdash$  [(*eg4-sq*, 0, *p3*), (*eg4-sq*, 0, *p3*)], *new-heap*)  $\rightarrow^* 3^*$   
*res*}  
**end**

## 7 Proof Infrastructure

### 7.1 Bisimulation

**theory** *Bisimulation*

**imports**

*Stuttering*

**begin**

**inductive** *weak-bisimilar* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*

( $\cdot$  .  $\cdot$   $\sim$   $\cdot$ ) **for** *nid* **where**

$\llbracket \forall P'. (g \ m \ h \vdash \textit{nid} \rightsquigarrow P') \longrightarrow (\exists Q'. (g' \ m \ h \vdash \textit{nid} \rightsquigarrow Q') \wedge P' = Q');$   
 $\forall Q'. (g' \ m \ h \vdash \textit{nid} \rightsquigarrow Q') \longrightarrow (\exists P'. (g \ m \ h \vdash \textit{nid} \rightsquigarrow P') \wedge P' = Q') \rrbracket$   
 $\implies \textit{nid} . g \sim g'$

A strong bisimulation between no-op transitions

**inductive** *strong-noop-bisimilar* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*

( $\cdot$  |  $\cdot$   $\sim$   $\cdot$ ) **for** *nid* **where**

$\llbracket \forall P'. (g \vdash (\textit{nid}, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g' \vdash (\textit{nid}, m, h) \rightarrow Q') \wedge P' = Q');$   
 $\forall Q'. (g' \vdash (\textit{nid}, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g \vdash (\textit{nid}, m, h) \rightarrow P') \wedge P' = Q') \rrbracket$   
 $\implies \textit{nid} \mid g \sim g'$

**lemma** *lockstep-strong-bisimulation*:

**assumes**  $g' = \textit{replace-node } \textit{nid} \ \textit{node } g$

**assumes**  $g \vdash (\textit{nid}, m, h) \rightarrow (\textit{nid}', m, h)$

**assumes**  $g' \vdash (\textit{nid}, m, h) \rightarrow (\textit{nid}', m, h)$

**shows**  $\textit{nid} \mid g \sim g'$

$\langle \textit{proof} \rangle$

**lemma** *no-step-bisimulation*:

**assumes**  $\forall h \ \textit{nid}' \ m' \ h'. \neg(g \vdash (\textit{nid}, m, h) \rightarrow (\textit{nid}', m', h'))$

**assumes**  $\forall h \ \textit{nid}' \ m' \ h'. \neg(g' \vdash (\textit{nid}, m, h) \rightarrow (\textit{nid}', m', h'))$

**shows**  $\textit{nid} \mid g \sim g'$

$\langle \textit{proof} \rangle$

**end**

### 7.2 Formedness Properties

**theory** *Form*

**imports**

*Semantics.IREval*

**begin**

**definition** *wf-start* **where**

$\textit{wf-start } g = (0 \in \textit{ids } g \wedge$

$\textit{is-StartNode } (\textit{kind } g \ 0))$

**definition** *wf-closed* **where**

*wf-closed*  $g =$   
 $(\forall n \in \text{ids } g .$   
 $\text{inputs } g \ n \subseteq \text{ids } g \wedge$   
 $\text{succ } g \ n \subseteq \text{ids } g \wedge$   
 $\text{kind } g \ n \neq \text{NoNode})$

**definition** *wf-phs* **where**

*wf-phs*  $g =$   
 $(\forall n \in \text{ids } g .$   
 $\text{is-PhiNode } (\text{kind } g \ n) \longrightarrow$   
 $\text{length } (\text{ir-values } (\text{kind } g \ n))$   
 $= \text{length } (\text{ir-ends}$   
 $\quad (\text{kind } g \ (\text{ir-merge } (\text{kind } g \ n))))$

**definition** *wf-ends* **where**

*wf-ends*  $g =$   
 $(\forall n \in \text{ids } g .$   
 $\text{is-AbstractEndNode } (\text{kind } g \ n) \longrightarrow$   
 $\text{card } (\text{usages } g \ n) > 0)$

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*wf-graph*  $g = (\text{wf-start } g \wedge \text{wf-closed } g \wedge \text{wf-phs } g \wedge \text{wf-ends } g)$

**lemmas** *wf-folds* =

*wf-graph.simps*  
*wf-start-def*  
*wf-closed-def*  
*wf-phs-def*  
*wf-ends-def*

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*wf-stamps*  $g = (\forall n \in \text{ids } g .$   
 $(\forall v \ m . (g \ m \vdash (\text{kind } g \ n) \mapsto v) \longrightarrow \text{valid-value } (\text{stamp } g \ n) \ v))$

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

*wf-stamp*  $g \ s = (\forall n \in \text{ids } g .$   
 $(\forall v \ m . (g \ m \vdash (\text{kind } g \ n) \mapsto v) \longrightarrow \text{valid-value } (s \ n) \ v))$

**lemma** *wf-empty*: *wf-graph start-end-graph*

$\langle \text{proof} \rangle$

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

$\langle \text{proof} \rangle$

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*wf-values*  $g = (\forall n \in \text{ids } g .$   
 $(\forall v \ m . (g \ m \vdash \text{kind } g \ n \mapsto v) \longrightarrow \text{wf-value } v))$

**lemma** *wf-value-range*:

$b > 1 \wedge b \in \text{int-bits-allowed} \longrightarrow \{v. \text{wf-value } (\text{IntVal } b \ v)\} = \{v. ((-(2^{b-1})) \leq v) \wedge (v < (2^{b-1}))\}$   
 $\langle \text{proof} \rangle$

**lemma** *wf-value-bit-range*:

$b = 1 \longrightarrow \{v. \text{wf-value } (\text{IntVal } b \ v)\} = \{\}$   
 $\langle \text{proof} \rangle$

**end**

### 7.3 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

**imports**

*Form*

*Semantics.IREval*

**begin**

**fun** *unchanged* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*unchanged ns g1 g2* =  $(\forall \ n. \ n \in ns \longrightarrow$   
 $(n \in \text{ids } g1 \wedge n \in \text{ids } g2 \wedge \text{kind } g1 \ n = \text{kind } g2 \ n))$

**fun** *changeonly* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*changeonly ns g1 g2* =  $(\forall \ n. \ n \in \text{ids } g1 \wedge n \notin ns \longrightarrow$   
 $(n \in \text{ids } g1 \wedge n \in \text{ids } g2 \wedge \text{kind } g1 \ n = \text{kind } g2 \ n))$

**lemma** *node-unchanged*:

**assumes** *unchanged ns g1 g2*

**assumes** *nid*  $\in$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

$\langle \text{proof} \rangle$

**lemma** *other-node-unchanged*:

**assumes** *changeonly ns g1 g2*

**assumes** *nid*  $\in$  *ids g1*

**assumes** *nid*  $\notin$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

$\langle \text{proof} \rangle$

Some notation for input nodes used

**inductive** *eval-uses*:: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool*  
**for** *g* **where**

*use0*: *nid*  $\in$  *ids g*  
 $\implies$  *eval-uses g nid nid* |

*use-inp*: *nid'*  $\in$  *inputs g n*  
 $\implies$  *eval-uses g nid nid'* |

*use-trans*:  $\llbracket$ *eval-uses g nid nid'*;  
*eval-uses g nid' nid''* $\rrbracket$   
 $\implies$  *eval-uses g nid nid''*

**fun** *eval-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**  
*eval-usages g nid* = {*n*  $\in$  *ids g* . *eval-uses g nid n*}

**lemma** *eval-usages-self*:  
**assumes** *nid*  $\in$  *ids g*  
**shows** *nid*  $\in$  *eval-usages g nid*  
 $\langle$ *proof* $\rangle$

**lemma** *not-in-g-inputs*:  
**assumes** *nid*  $\notin$  *ids g*  
**shows** *inputs g nid* = {}  
 $\langle$ *proof* $\rangle$

**lemma** *child-member*:  
**assumes** *n* = *kind g nid*  
**assumes** *n*  $\neq$  *NoNode*  
**assumes** *List.member (inputs-of n) child*  
**shows** *child*  $\in$  *inputs g nid*  
 $\langle$ *proof* $\rangle$

**lemma** *child-member-in*:  
**assumes** *nid*  $\in$  *ids g*  
**assumes** *List.member (inputs-of (kind g nid)) child*  
**shows** *child*  $\in$  *inputs g nid*  
 $\langle$ *proof* $\rangle$

**lemma** *inp-in-g*:  
**assumes** *n*  $\in$  *inputs g nid*  
**shows** *nid*  $\in$  *ids g*  
 $\langle$ *proof* $\rangle$

**lemma** *inp-in-g-wf*:  
**assumes** *wf-graph g*

**assumes**  $n \in \text{inputs } g \text{ nid}$   
**shows**  $n \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**lemma** *kind-unchanged*:  
**assumes**  $\text{nid} \in \text{ids } g1$   
**assumes**  $\text{unchanged } (\text{eval-usages } g1 \text{ nid}) \ g1 \ g2$   
**shows**  $\text{kind } g1 \text{ nid} = \text{kind } g2 \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *child-unchanged*:  
**assumes**  $\text{child} \in \text{inputs } g1 \text{ nid}$   
**assumes**  $\text{unchanged } (\text{eval-usages } g1 \text{ nid}) \ g1 \ g2$   
**shows**  $\text{unchanged } (\text{eval-usages } g1 \text{ child}) \ g1 \ g2$   
 $\langle \text{proof} \rangle$

**lemma** *eval-usages*:  
**assumes**  $us = \text{eval-usages } g \text{ nid}$   
**assumes**  $\text{nid}' \in \text{ids } g$   
**shows**  $\text{eval-uses } g \text{ nid nid}' \longleftrightarrow \text{nid}' \in us \ (\text{is } ?P \longleftrightarrow ?Q)$   
 $\langle \text{proof} \rangle$

**lemma** *inputs-are-uses*:  
**assumes**  $\text{nid}' \in \text{inputs } g \text{ nid}$   
**shows**  $\text{eval-uses } g \text{ nid nid}'$   
 $\langle \text{proof} \rangle$

**lemma** *inputs-are-usages*:  
**assumes**  $\text{nid}' \in \text{inputs } g \text{ nid}$   
**assumes**  $\text{nid}' \in \text{ids } g$   
**shows**  $\text{nid}' \in \text{eval-usages } g \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *usage-includes-inputs*:  
**assumes**  $us = \text{eval-usages } g \text{ nid}$   
**assumes**  $ls = \text{inputs } g \text{ nid}$   
**assumes**  $ls \subseteq \text{ids } g$   
**shows**  $ls \subseteq us$   
 $\langle \text{proof} \rangle$

**lemma** *elim-inp-set*:  
**assumes**  $k = \text{kind } g \text{ nid}$   
**assumes**  $k \neq \text{NoNode}$   
**assumes**  $\text{child} \in \text{set } (\text{inputs-of } k)$   
**shows**  $\text{child} \in \text{inputs } g \text{ nid}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-in-ids*:

**assumes**  $g \vdash m \vdash (\text{kind } g \text{ nid}) \mapsto v$   
**shows**  $\text{nid} \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**theorem** *stay-same*:

**assumes**  $\text{nc}: \text{unchanged } (\text{eval-usages } g1 \text{ nid}) \ g1 \ g2$   
**assumes**  $g1: g1 \vdash m \vdash (\text{kind } g1 \text{ nid}) \mapsto v1$   
**assumes**  $\text{wf}: \text{wf-graph } g1$   
**shows**  $g2 \vdash m \vdash (\text{kind } g2 \text{ nid}) \mapsto v1$   
 $\langle \text{proof} \rangle$

**lemma** *add-changed*:

**assumes**  $\text{gup} = \text{add-node new } k \ g$   
**shows**  $\text{changeonly } \{\text{new}\} \ g \ \text{gup}$   
 $\langle \text{proof} \rangle$

**lemma** *disjoint-change*:

**assumes**  $\text{changeonly change } g \ \text{gup}$   
**assumes**  $\text{nochange} = \text{ids } g - \text{change}$   
**shows**  $\text{unchanged nochange } g \ \text{gup}$   
 $\langle \text{proof} \rangle$

**lemma** *add-node-unchanged*:

**assumes**  $\text{new} \notin \text{ids } g$   
**assumes**  $\text{nid} \in \text{ids } g$   
**assumes**  $\text{gup} = \text{add-node new } k \ g$   
**assumes**  $\text{wf-graph } g$   
**shows**  $\text{unchanged } (\text{eval-usages } g \ \text{nid}) \ g \ \text{gup}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-uses-imp*:

$((\text{nid}' \in \text{ids } g \wedge \text{nid} = \text{nid}')$   
 $\vee \text{nid}' \in \text{inputs } g \ \text{nid}$   
 $\vee (\exists \text{nid}'' . \text{eval-uses } g \ \text{nid} \ \text{nid}'' \wedge \text{eval-uses } g \ \text{nid}'' \ \text{nid}'))$   
 $\longleftrightarrow \text{eval-uses } g \ \text{nid} \ \text{nid}'$   
 $\langle \text{proof} \rangle$

**lemma** *wf-use-ids*:

**assumes**  $\text{wf-graph } g$   
**assumes**  $\text{nid} \in \text{ids } g$   
**assumes**  $\text{eval-uses } g \ \text{nid} \ \text{nid}'$   
**shows**  $\text{nid}' \in \text{ids } g$   
 $\langle \text{proof} \rangle$

**lemma** *no-external-use*:

**assumes**  $\text{wf-graph } g$   
**assumes**  $\text{nid}' \notin \text{ids } g$



```

    assumes  $nid \in ids\ g$ 
    shows  $\neg(eval\text{-}uses\ g\ nid\ nid')$ 
  <proof>

end

```

## 7.4 Graph Rewriting

```

theory
  Rewrites
imports
  IRGraphFrames
  Stuttering
begin

fun replace-usages ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  where
  replace-usages  $nid\ nid'\ g = replace\text{-}node\ nid\ (RefNode\ nid',\ stamp\ g\ nid')\ g$ 

lemma replace-usages-effect:
  assumes  $g' = replace\text{-}usages\ nid\ nid'\ g$ 
  shows  $kind\ g'\ nid = RefNode\ nid'$ 
  <proof>

lemma replace-usages-changeonly:
  assumes  $nid \in ids\ g$ 
  assumes  $g' = replace\text{-}usages\ nid\ nid'\ g$ 
  shows  $changeonly\ \{nid\}\ g\ g'$ 
  <proof>

lemma replace-usages-unchanged:
  assumes  $nid \in ids\ g$ 
  assumes  $g' = replace\text{-}usages\ nid\ nid'\ g$ 
  shows  $unchanged\ (ids\ g - \{nid\})\ g\ g'$ 
  <proof>

fun nextNid ::  $IRGraph \Rightarrow ID$  where
  nextNid  $g = (Max\ (ids\ g)) + 1$ 

lemma max-plus-one:
  fixes  $c :: ID\ set$ 
  shows  $\llbracket finite\ c; c \neq \{\} \rrbracket \implies (Max\ c) + 1 \notin c$ 
  <proof>

lemma ids-finite:
  finite  $(ids\ g)$ 
  <proof>

```

**lemma** *nextNidNotIn*:

*ids g*  $\neq \{\}$   $\longrightarrow$  *nextNid g*  $\notin$  *ids g*  
 $\langle \text{proof} \rangle$

**fun** *constantCondition* :: *bool*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph* **where**

*constantCondition* *val nid* (*IfNode cond t f*) *g* =  
*replace-node nid* (*IfNode* (*nextNid g*) *t f*, *stamp g nid*)  
(*add-node* (*nextNid g*) ((*ConstantNode* (*bool-to-val val*)), *default-stamp*) *g*) |  
*constantCondition cond nid - g* = *g*

**lemma** *constantConditionTrue*:

**assumes** *kind g ifcond* = *IfNode cond t f*  
**assumes** *g'* = *constantCondition True ifcond* (*kind g ifcond*) *g*  
**shows** *g'*  $\vdash$  (*ifcond*, *m*, *h*)  $\rightarrow$  (*t*, *m*, *h*)  
 $\langle \text{proof} \rangle$

**lemma** *constantConditionFalse*:

**assumes** *kind g ifcond* = *IfNode cond t f*  
**assumes** *g'* = *constantCondition False ifcond* (*kind g ifcond*) *g*  
**shows** *g'*  $\vdash$  (*ifcond*, *m*, *h*)  $\rightarrow$  (*f*, *m*, *h*)  
 $\langle \text{proof} \rangle$

**lemma** *diff-forall*:

**assumes**  $\forall n \in \text{ids } g - \{nid\}. \text{cond } n$   
**shows**  $\forall n. n \in \text{ids } g \wedge n \notin \{nid\} \longrightarrow \text{cond } n$   
 $\langle \text{proof} \rangle$

**lemma** *replace-node-changeonly*:

**assumes** *g'* = *replace-node nid node g*  
**shows** *changeonly* {*nid*} *g g'*  
 $\langle \text{proof} \rangle$

**lemma** *add-node-changeonly*:

**assumes** *g'* = *add-node nid node g*  
**shows** *changeonly* {*nid*} *g g'*  
 $\langle \text{proof} \rangle$

**lemma** *constantConditionNoEffect*:

**assumes**  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$   
**shows** *g* = *constantCondition b nid* (*kind g nid*) *g*  
 $\langle \text{proof} \rangle$

**lemma** *constantConditionIfNode*:

**assumes** *kind g nid* = *IfNode cond t f*  
**shows** *constantCondition val nid* (*kind g nid*) *g* =  
*replace-node nid* (*IfNode* (*nextNid g*) *t f*, *stamp g nid*)  
(*add-node* (*nextNid g*) ((*ConstantNode* (*bool-to-val val*)), *default-stamp*) *g*)  
 $\langle \text{proof} \rangle$

```

lemma constantCondition-changeonly:
  assumes  $nid \in ids$   $g$ 
  assumes  $g' = constantCondition\ b\ nid\ (kind\ g\ nid)\ g$ 
  shows  $changeonly\ \{nid\}\ g\ g'$ 
   $\langle proof \rangle$ 

lemma constantConditionNoIf:
  assumes  $\forall\ cond\ t\ f.\ kind\ g\ ifcond \neq IfNode\ cond\ t\ f$ 
  assumes  $g' = constantCondition\ val\ ifcond\ (kind\ g\ ifcond)\ g$ 
  shows  $\exists\ nid'. (g\ m\ h \vdash ifcond \rightsquigarrow nid') \longleftrightarrow (g'\ m\ h \vdash ifcond \rightsquigarrow nid')$ 
   $\langle proof \rangle$ 

lemma constantConditionValid:
  assumes  $kind\ g\ ifcond = IfNode\ cond\ t\ f$ 
  assumes  $g\ m \vdash kind\ g\ cond \mapsto v$ 
  assumes  $const = val\text{-}to\text{-}bool\ v$ 
  assumes  $g' = constantCondition\ const\ ifcond\ (kind\ g\ ifcond)\ g$ 
  shows  $\exists\ nid'. (g\ m\ h \vdash ifcond \rightsquigarrow nid') \longleftrightarrow (g'\ m\ h \vdash ifcond \rightsquigarrow nid')$ 
   $\langle proof \rangle$ 

end

```

## 7.5 Stuttering

```

theory Stuttering
  imports
    Semantics.IRStepObj
begin

inductive stutter::  $IRGraph \Rightarrow MapState \Rightarrow FieldRefHeap \Rightarrow ID \Rightarrow ID \Rightarrow bool$  (-
-  $\vdash - \rightsquigarrow -$  55)
  for  $g\ m\ h$  where

  StutterStep:
     $\llbracket g \vdash (nid, m, h) \rightarrow (nid', m, h) \rrbracket$ 
     $\implies g\ m\ h \vdash nid \rightsquigarrow nid'$  |

  Transitive:
     $\llbracket g \vdash (nid, m, h) \rightarrow (nid'', m, h);$ 
     $g\ m\ h \vdash nid'' \rightsquigarrow nid' \rrbracket$ 
     $\implies g\ m\ h \vdash nid \rightsquigarrow nid'$ 

lemma stuttering-successor:
  assumes  $(g \vdash (nid, m, h) \rightarrow (nid', m, h))$ 
  shows  $\{P'. (g\ m\ h \vdash nid \rightsquigarrow P')\} = \{nid'\} \cup \{nid''. (g\ m\ h \vdash nid' \rightsquigarrow nid'')\}$ 
   $\langle proof \rangle$ 

end

```

## 8 Canonicalization Phase

**theory** *Canonicalization*

**imports**

*Proofs.IRGraphFrames*

*Proofs.Stuttering*

*Proofs.Bisimulation*

*Proofs.Form*

*Graph.Traversal*

**begin**

**inductive** *CanonicalizeConditional* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**where**

*negate-condition:*

$\llbracket \text{kind } g \text{ cond} = \text{LogicNegationNode flip} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (ConditionalNode flip fb tb) } |$

*const-true:*

$\llbracket \text{kind } g \text{ cond} = \text{ConstantNode val};$

$\text{val-to-bool val} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

*const-false:*

$\llbracket \text{kind } g \text{ cond} = \text{ConstantNode val};$

$\neg(\text{val-to-bool val}) \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode fb) } |$

*eq-branches:*

$\llbracket \text{tb} = \text{fb} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

*cond-eq:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerEqualsNode tb fb} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode fb) } |$

*condition-bounds-x:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode tb fb};$

$\text{stpi-upper (stamp } g \text{ tb)} \leq \text{stpi-lower (stamp } g \text{ fb)} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) } |$

*condition-bounds-y:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode fb tb};$

$\text{stpi-upper (stamp } g \text{ fb)} \leq \text{stpi-lower (stamp } g \text{ tb)} \rrbracket$

$\Rightarrow \text{CanonicalizeConditional } g \text{ (ConditionalNode cond tb fb) (RefNode tb) }$

**inductive** *CanonicalizeAdd* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*add-both-const*:  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\text{kind } g \ y = \text{ConstantNode } c-2;$   
 $\text{val} = \text{intval-add } c-1 \ c-2 \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{ConstantNode } \text{val}) \mid$

*add-xzero*:  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } 32 \ 0) \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } y) \mid$

*add-yzero*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $\text{kind } g \ y = \text{ConstantNode } c-2;$   
 $c-2 = (\text{IntVal } 32 \ 0) \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } x) \mid$

*add-xsub*:  
 $\llbracket \text{kind } g \ x = \text{SubNode } a \ y \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } a) \mid$

*add-ysub*:  
 $\llbracket \text{kind } g \ y = \text{SubNode } a \ x \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ y) \ (\text{RefNode } a) \mid$

*add-xnegate*:  
 $\llbracket \text{kind } g \ nx = \text{NegateNode } x \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } nx \ y) \ (\text{SubNode } y \ x) \mid$

*add-ynegate*:  
 $\llbracket \text{kind } g \ ny = \text{NegateNode } y \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \ (\text{AddNode } x \ ny) \ (\text{SubNode } x \ y)$

**inductive** *CanonicalizeIf* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*trueConst*:  
 $\llbracket \text{kind } g \text{ cond} = \text{ConstantNode condv};$   
 $\text{val-to-bool condv} \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode cond tb fb) (RefNode tb) } |$

*falseConst*:  
 $\llbracket \text{kind } g \text{ cond} = \text{ConstantNode condv};$   
 $\neg(\text{val-to-bool condv}) \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode cond tb fb) (RefNode fb) } |$

*eqBranch*:  
 $\llbracket \neg(\text{is-ConstantNode (kind } g \text{ cond)});$   
 $\text{tb} = \text{fb} \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode cond tb fb) (RefNode tb) } |$

*eqCondition*:  
 $\llbracket \text{kind } g \text{ cond} = \text{IntegerEqualsNode } x \text{ } x \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode cond tb fb) (RefNode tb)}$

**inductive** *CanonicalizeBinaryArithmeticNode* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**  
*add-const-fold*:  
 $\llbracket \text{op} = \text{kind } g \text{ op-id};$   
 $\text{is-AddNode op};$   
 $\text{kind } g \text{ (ir-x op)} = \text{ConditionalNode cond tb fb};$   
 $\text{kind } g \text{ tb} = \text{ConstantNode c-1};$   
 $\text{kind } g \text{ fb} = \text{ConstantNode c-2};$   
 $\text{kind } g \text{ (ir-y op)} = \text{ConstantNode c-3};$   
 $\text{tv} = \text{intval-add c-1 c-3};$   
 $\text{fv} = \text{intval-add c-2 c-3};$   
 $g' = \text{replace-node tb ((ConstantNode tv), constantAsStamp tv) } g;$   
 $g'' = \text{replace-node fb ((ConstantNode fv), constantAsStamp fv) } g';$   
 $g''' = \text{replace-node op-id (kind } g \text{ (ir-x op), meet (constantAsStamp tv) (constantAsStamp fv)) } g'' \rrbracket$   
 $\Rightarrow \text{CanonicalizeBinaryArithmeticNode op-id } g \text{ } g'''$

**inductive** *CanonicalizeCommutativeBinaryArithmeticNode* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*add-ids-ordered*:

$\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

*and-ids-ordered:*  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

*int-equals-ids-ordered:*  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{IntegerEqualsNode } x \ y)$   
 $(\text{IntegerEqualsNode } y \ x) \mid$

*mul-ids-ordered:*  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{MulNode } x \ y) \ (\text{MulNode } y \ x) \mid$

*or-ids-ordered:*  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

*xor-ids-ordered:*  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $\quad ((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x) \mid$

*add-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\quad \neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

*and-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\quad \neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

*int-equals-swap-const-first:*

$\llbracket is-ConstantNode\ (kind\ g\ x);$   
 $\neg(is-ConstantNode\ (kind\ g\ y)) \rrbracket$   
 $\implies CanonicalizeCommutativeBinaryArithmeticNode\ g\ (IntegerEqualsNode\ x\ y)$   
 $(IntegerEqualsNode\ y\ x) \mid$

*mul-swap-const-first:*  
 $\llbracket is-ConstantNode\ (kind\ g\ x);$   
 $\neg(is-ConstantNode\ (kind\ g\ y)) \rrbracket$   
 $\implies CanonicalizeCommutativeBinaryArithmeticNode\ g\ (MulNode\ x\ y)\ (MulNode\ y\ x) \mid$

*or-swap-const-first:*  
 $\llbracket is-ConstantNode\ (kind\ g\ x);$   
 $\neg(is-ConstantNode\ (kind\ g\ y)) \rrbracket$   
 $\implies CanonicalizeCommutativeBinaryArithmeticNode\ g\ (OrNode\ x\ y)\ (OrNode\ y\ x) \mid$

*xor-swap-const-first:*  
 $\llbracket is-ConstantNode\ (kind\ g\ x);$   
 $\neg(is-ConstantNode\ (kind\ g\ y)) \rrbracket$   
 $\implies CanonicalizeCommutativeBinaryArithmeticNode\ g\ (XorNode\ x\ y)\ (XorNode\ y\ x)$

**inductive** *CanonicalizeSub* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*sub-same:*

$\llbracket x = y;$   
 $stamp\ g\ x = (IntegerStamp\ b\ l\ h) \rrbracket$   
 $\implies CanonicalizeSub\ g\ (SubNode\ x\ y)\ (ConstantNode\ (IntVal\ b\ 0)) \mid$

*sub-both-const:*

$\llbracket kind\ g\ x = ConstantNode\ c-1;$   
 $kind\ g\ y = ConstantNode\ c-2;$   
 $val = intval-sub\ c-1\ c-2 \rrbracket$   
 $\implies CanonicalizeSub\ g\ (SubNode\ x\ y)\ (ConstantNode\ val) \mid$

*sub-left-add1:*

$\llbracket kind\ g\ left = AddNode\ a\ b \rrbracket$   
 $\implies CanonicalizeSub\ g\ (SubNode\ left\ b)\ (RefNode\ a) \mid$

*sub-left-add2:*

$\llbracket kind\ g\ left = AddNode\ a\ b \rrbracket$   
 $\implies CanonicalizeSub\ g\ (SubNode\ left\ a)\ (RefNode\ b) \mid$

*sub-left-sub:*



$\llbracket \text{kind } g \text{ left} = \text{SubNode } a \text{ } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode left } a \text{) (NegateNode } b \text{) } |$

*sub-right-add1:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \text{ } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } a \text{ right) (NegateNode } b \text{) } |$

*sub-right-add2:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \text{ } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } b \text{ right) (NegateNode } a \text{) } |$

*sub-right-sub:*

$\llbracket \text{kind } g \text{ right} = \text{AddNode } a \text{ } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } a \text{ right) (RefNode } a \text{) } |$

*sub-yzero:*

$\llbracket \text{kind } g \text{ y} = \text{ConstantNode (IntVal - 0)} \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } x \text{ y) (RefNode } x \text{) } |$

*sub-xzero:*

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode (IntVal - 0)} \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } x \text{ y) (NegateNode } y \text{) } |$

*sub-y-negate:*

$\llbracket \text{kind } g \text{ nb} = \text{NegateNode } b \rrbracket$   
 $\implies \text{CanonicalizeSub } g \text{ (SubNode } a \text{ nb) (AddNode } a \text{ } b \text{) }$

**inductive** *CanonicalizeMul* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*mul-both-const:*

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c\text{-1};$   
 $\text{kind } g \text{ y} = \text{ConstantNode } c\text{-2};$   
 $\text{val} = \text{intval-mul } c\text{-1 } c\text{-2} \rrbracket$   
 $\implies \text{CanonicalizeMul } g \text{ (MulNode } x \text{ y) (ConstantNode val) } |$

*mul-xzero:*

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c\text{-1};$   
 $\neg(\text{is-ConstantNode (kind } g \text{ y)});$   
 $c\text{-1} = (\text{IntVal } b \text{ } 0) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \text{ (MulNode } x \text{ y) (ConstantNode } c\text{-1) } |$

*mul-yzero:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ 0) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } c-1) \mid$

*mul-xone:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } y) \mid$

*mul-yone:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } x) \mid$

*mul-xnegate:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } b \ (-1)) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{NegateNode } y) \mid$

*mul-ynegate:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ (-1)) \rrbracket$   
 $\implies \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{NegateNode } x)$

**inductive** *CanonicalizeAbs* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*abs-abs:*  
 $\llbracket \text{kind } g \ x = (\text{AbsNode } y) \rrbracket$   
 $\implies \text{CanonicalizeAbs } g \ (\text{AbsNode } x) \ (\text{AbsNode } y) \mid$

*abs-negate:*  
 $\llbracket \text{kind } g \ nx = (\text{NegateNode } x) \rrbracket$   
 $\implies \text{CanonicalizeAbs } g \ (\text{AbsNode } nx) \ (\text{AbsNode } x)$

**inductive** *CanonicalizeNegate* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*negate-const:*  
 $\llbracket \text{kind } g \ nx = (\text{ConstantNode } val);$

$val = (IntVal\ b\ v);$   
 $neg-val = intval-sub\ (IntVal\ b\ 0)\ val\ ]$   
 $\implies CanonicalizeNegate\ g\ (NegateNode\ nx)\ (ConstantNode\ neg-val)\ |$

$negate-negate:$   
 $\llbracket kind\ g\ nx = (NegateNode\ x) \rrbracket$   
 $\implies CanonicalizeNegate\ g\ (NegateNode\ nx)\ (RefNode\ x)\ |$

$negate-sub:$   
 $\llbracket kind\ g\ sub = (SubNode\ x\ y);$   
 $stamp\ g\ sub = (IntegerStamp\ -\ -) \rrbracket$   
 $\implies CanonicalizeNegate\ g\ (NegateNode\ sub)\ (SubNode\ y\ x)$

**inductive**  $CanonicalizeNot :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool$

**for**  $g$  **where**

$not-const:$

$\llbracket kind\ g\ nx = (ConstantNode\ val);$   
 $neg-val = bool-to-val\ (\neg(val-to-bool\ val)) \rrbracket$   
 $\implies CanonicalizeNot\ g\ (NotNode\ nx)\ (ConstantNode\ neg-val)\ |$

$not-not:$

$\llbracket kind\ g\ nx = (NotNode\ x) \rrbracket$   
 $\implies CanonicalizeNot\ g\ (NotNode\ nx)\ (RefNode\ x)$

**inductive**  $CanonicalizeAnd :: IRGraph \Rightarrow IRNode \Rightarrow IRNode \Rightarrow bool$

**for**  $g$  **where**

$and-same:$

$\llbracket x = y \rrbracket$   
 $\implies CanonicalizeAnd\ g\ (AndNode\ x\ y)\ (RefNode\ x)\ |$

$and-xtrue:$

$\llbracket kind\ g\ x = ConstantNode\ val;$   
 $val-to-bool\ val \rrbracket$   
 $\implies CanonicalizeAnd\ g\ (AndNode\ x\ y)\ (RefNode\ y)\ |$

$and-ytrue:$

$\llbracket kind\ g\ y = ConstantNode\ val;$   
 $val-to-bool\ val \rrbracket$   
 $\implies CanonicalizeAnd\ g\ (AndNode\ x\ y)\ (RefNode\ x)\ |$

$and-xfalse:$

$\llbracket kind\ g\ x = ConstantNode\ val;$   
 $\neg(val-to-bool\ val) \rrbracket$   
 $\implies CanonicalizeAnd\ g\ (AndNode\ x\ y)\ (ConstantNode\ val)\ |$

$and-yfalse:$

$\llbracket kind\ g\ y = ConstantNode\ val;$

$\neg(\text{val-to-bool } \text{val})\llbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \ y) \text{ (ConstantNode } \text{val})$

**inductive** *CanonicalizeOr* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*or-same*:

$\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } x) \mid$

*or-xtrue*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$   
 $\text{val-to-bool } \text{val} \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (ConstantNode } \text{val}) \mid$

*or-ytrue*:

$\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$   
 $\text{val-to-bool } \text{val} \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (ConstantNode } \text{val}) \mid$

*or-xfalse*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } \text{val};$   
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } y) \mid$

*or-yfalse*:

$\llbracket \text{kind } g \ y = \text{ConstantNode } \text{val};$   
 $\neg(\text{val-to-bool } \text{val}) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } x)$

**inductive** *CanonicalizeDeMorgansLaw* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*de-morgan-or-to-and*:

$\llbracket \text{kind } g \ \text{nid} = \text{OrNode } \text{nx } \text{ny};$   
 $\text{kind } g \ \text{nx} = \text{NotNode } x;$   
 $\text{kind } g \ \text{ny} = \text{NotNode } y;$   
 $\text{new-add-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{new-add-id} \ ((\text{AddNode } x \ y), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g;$   
 $g'' = \text{replace-node } \text{nid} \ ((\text{NotNode } \text{new-add-id}), (\text{IntegerStamp } 1 \ 0 \ 1)) \ g \rrbracket$   
 $\implies \text{CanonicalizeDeMorgansLaw } \text{nid } g \ g'' \mid$

*de-morgan-and-to-or:*

```

[[kind g nid = AndNode nx ny;
  kind g nx = NotNode x;
  kind g ny = NotNode y;
  new-add-id = nextNid g;
  g' = add-node new-add-id ((OrNode x y), (IntegerStamp 1 0 1)) g;
  g'' = replace-node nid ((NotNode new-add-id), (IntegerStamp 1 0 1)) g']
⇒ CanonicalizeDeMorgansLaw nid g g''

```

**inductive** CanonicalizeIntegerEquals :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool

**for** *g* **where**

*int-equals-same-node:*

```

[[x = y]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode x y) (ConstantNode (IntVal
1 1)) |

```

*int-equals-distinct:*

```

[[alwaysDistinct (stamp g x) (stamp g y)]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode x y) (ConstantNode (IntVal
1 0)) |

```

*int-equals-add-first-both-same:*

```

[[kind g left = AddNode x y;
  kind g right = AddNode x z]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) |

```

*int-equals-add-first-second-same:*

```

[[kind g left = AddNode x y;
  kind g right = AddNode z x]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) |

```

*int-equals-add-second-first-same:*

```

[[kind g left = AddNode y x;
  kind g right = AddNode x z]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) |

```

*int-equals-add-second-both--same:*

```

[[kind g left = AddNode y x;
  kind g right = AddNode z x]]
⇒ CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) |

```

*int-equals-sub-first-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } x \ y;$   
 $\text{kind } g \text{ right} = \text{SubNode } x \ z \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z) \mid$

*int-equals-sub-second-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } y \ x;$   
 $\text{kind } g \text{ right} = \text{SubNode } z \ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g \ (\text{IntegerEqualsNode left right}) \ (\text{IntegerEqualsNode } y \ z)$

**inductive** *CanonicalizeIntegerEqualsGraph* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*int-equals-rewrite:*

$\llbracket \text{CanonicalizeIntegerEquals } g \text{ node node}';$   
 $\text{node} = \text{kind } g \text{ nid};$   
 $g' = \text{replace-node nid (node', stamp } g \text{ nid) } g \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g' \mid$

*int-equals-left-contains-right1:*

$\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } x;$   
 $\text{kind } g \text{ left} = \text{AddNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id ((ConstantNode (IntVal 1 0)), constantAsStamp (IntVal 1 0)) } g;$   
 $g'' = \text{replace-node const-id ((IntegerEqualsNode y const-id), stamp } g \text{ nid) } g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-left-contains-right2:*

$\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode left } y;$   
 $\text{kind } g \text{ left} = \text{AddNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id ((ConstantNode (IntVal 1 0)), constantAsStamp (IntVal 1 0)) } g;$   
 $g'' = \text{replace-node const-id ((IntegerEqualsNode x const-id), stamp } g \text{ nid) } g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-right-contains-left1:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } x \text{ right};$   
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ y};$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal } 1 \ 0)) \ g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \ \text{const-id}), \text{stamp } g \ \text{nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-right-contains-left2:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } y \text{ right};$   
 $\text{kind } g \text{ right} = \text{AddNode } x \text{ y};$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal } 1 \ 0)) \ g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } x \ \text{const-id}), \text{stamp } g \ \text{nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-left-contains-right3:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } \text{left } x;$   
 $\text{kind } g \text{ left} = \text{SubNode } x \text{ y};$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal } 1 \ 0)) \ g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \ \text{const-id}), \text{stamp } g \ \text{nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g'' \mid$

*int-equals-right-contains-left3:*  
 $\llbracket \text{kind } g \text{ nid} = \text{IntegerEqualsNode } x \text{ right};$   
 $\text{kind } g \text{ right} = \text{SubNode } x \text{ y};$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node const-id } ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal } 1 \ 0)) \ g;$   
 $g'' = \text{replace-node const-id } ((\text{IntegerEqualsNode } y \ \text{const-id}), \text{stamp } g \ \text{nid}) \ g'$   
 $\implies \text{CanonicalizeIntegerEqualsGraph nid } g \ g''$

```

inductive CanonicalizationStep :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ bool
for g where
  ConditionalNode:
    [[CanonicalizeConditional g node node']]
    ⇒ CanonicalizationStep g node node' |

  AddNode:
    [[CanonicalizeAdd g node node']]
    ⇒ CanonicalizationStep g node node' |

  IfNode:
    [[CanonicalizeIf g node node']]
    ⇒ CanonicalizationStep g node node' |

  SubNode:
    [[CanonicalizeSub g node node']]
    ⇒ CanonicalizationStep g node node' |

  MulNode:
    [[CanonicalizeMul g node node']]
    ⇒ CanonicalizationStep g node node' |

  AndNode:
    [[CanonicalizeAnd g node node']]
    ⇒ CanonicalizationStep g node node' |

  OrNode:
    [[CanonicalizeOr g node node']]
    ⇒ CanonicalizationStep g node node' |

  AbsNode:
    [[CanonicalizeAbs g node node']]
    ⇒ CanonicalizationStep g node node' |

  NotNode:
    [[CanonicalizeNot g node node']]

```



$\Rightarrow \text{CanonicalizationStep } g \text{ node node}' \mid$

*Negatenode:*

$\llbracket \text{CanonicalizeNegate } g \text{ node node}' \rrbracket$

$\Rightarrow \text{CanonicalizationStep } g \text{ node node}'$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeConditional*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAdd*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeIf*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeSub*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeMul*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAnd*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeOr*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAbs*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNot*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNegate*  $\langle \text{proof} \rangle$   
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizationStep*  $\langle \text{proof} \rangle$

**type-synonym** *CanonicalizationAnalysis* = *bool option*

**fun** *analyse* :: (*ID*  $\times$  *Seen*  $\times$  *CanonicalizationAnalysis*)  $\Rightarrow$  *CanonicalizationAnalysis*  
**where**

*analyse i* = *None*

**inductive** *CanonicalizationPhase*

:: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  *Seen*  $\times$  *CanonicalizationAnalysis*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

— Can do a step and optimise for the current node

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) (Some (nid', seen', i'))};$   
*CanonicalizationStep g (kind g nid) node;*

*g' = replace-node nid (node, stamp g nid) g;*

*CanonicalizationPhase g' (nid', seen', i') g' \rrbracket*  
 $\Rightarrow \text{CanonicalizationPhase } g \text{ (nid, seen, i) } g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) (Some (nid', seen', i'))};$

*CanonicalizationPhase g (nid', seen', i') g \rrbracket*  
 $\Rightarrow \text{CanonicalizationPhase } g \text{ (nid, seen, i) } g' \mid$

$\llbracket \text{Step analyse } g \text{ (nid, seen, i) None};$

*Some nid' = pred g nid;*  
*seen' = \{nid\} \cup seen;*

```

    CanonicalizationPhase g (nid', seen', i) g' ]
    ==> CanonicalizationPhase g (nid, seen, i) g' |

[[Step analyse g (nid, seen, i) None;
  None = pred g nid]]
==> CanonicalizationPhase g (nid, seen, i) g

code-pred (modes: i => i => o => bool) CanonicalizationPhase <proof>

type-synonym Trace = IRNode list
inductive CanonicalizationPhaseWithTrace
  :: IRGraph => (ID x Seen x CanonicalizationAnalysis) => IRGraph => Trace =>
  Trace => bool where

  — Can do a step and optimise for the current node
  [[Step analyse g (nid, seen, i) (Some (nid', seen', i'))];
    CanonicalizationStep g (kind g nid) node;

    g' = replace-node nid (node, stamp g nid) g;

    CanonicalizationPhaseWithTrace g' (nid', seen', i') g'' (kind g nid # t) t' ]
    ==> CanonicalizationPhaseWithTrace g (nid, seen, i) g'' t t' |

  — Can do a step, matches whether optimised or not causing non-determinism We
  need to find a way to negate ConditionalEliminationStep
  [[Step analyse g (nid, seen, i) (Some (nid', seen', i'))];

    CanonicalizationPhaseWithTrace g (nid', seen', i') g' (kind g nid # t) t' ]
    ==> CanonicalizationPhaseWithTrace g (nid, seen, i) g' t t' |

  [[Step analyse g (nid, seen, i) None;
    Some nid' = pred g nid;
    seen' = {nid} ∪ seen;
    CanonicalizationPhaseWithTrace g (nid', seen', i) g' (kind g nid # t) t' ]
    ==> CanonicalizationPhaseWithTrace g (nid, seen, i) g' t t' |

  [[Step analyse g (nid, seen, i) None;
    None = pred g nid]]
    ==> CanonicalizationPhaseWithTrace g (nid, seen, i) g t t

code-pred (modes: i => i => o => i => o => bool) CanonicalizationPhaseWithTrace
  <proof>

end

```

## 9 Conditional Elimination Phase

```

theory ConditionalElimination
imports
  Proofs.IRGraphFrames
  Proofs.Stuttering
  Proofs.Form
  Proofs.Rewrites
  Proofs.Bisimulation
begin

```

### 9.1 Individual Elimination Rules

We introduce a `TriState` as in the Graal compiler to represent when static analysis can tell us information about the value of a boolean expression. `Unknown` = No information can be inferred `KnownTrue`/`KnownFalse` = We can infer the expression will always be true or false.

```

datatype TriState = Unknown | KnownTrue | KnownFalse

```

The `implies` relation corresponds to the `LogicNode.implies` method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

```

inductive implies :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ TriState ⇒ bool
  (- ⊢ - & - ⇔ -) for g where
    eq-imp-less:
      g ⊢ (IntegerEqualsNode x y) & (IntegerLessThanNode x y) ⇔ KnownFalse |
    eq-imp-less-rev:
      g ⊢ (IntegerEqualsNode x y) & (IntegerLessThanNode y x) ⇔ KnownFalse |
    less-imp-rev-less:
      g ⊢ (IntegerLessThanNode x y) & (IntegerLessThanNode y x) ⇔ KnownFalse |
    less-imp-not-eq:
      g ⊢ (IntegerLessThanNode x y) & (IntegerEqualsNode x y) ⇔ KnownFalse |
    less-imp-not-eq-rev:
      g ⊢ (IntegerLessThanNode x y) & (IntegerEqualsNode y x) ⇔ KnownFalse |

    x-imp-x:
      g ⊢ x & x ⇔ KnownTrue |

    negate-false:
      [g ⊢ x & (kind g y) ⇔ KnownTrue] ⇒ g ⊢ x & (LogicNegationNode y) ⇔
KnownFalse |
    negate-true:
      [g ⊢ x & (kind g y) ⇔ KnownFalse] ⇒ g ⊢ x & (LogicNegationNode y) ⇔
KnownTrue

```

Total relation over partial `implies` relation

```

inductive condition-implies :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ TriState ⇒ bool
  (- ⊢ - & - ⇒ -) for g where

```

$$\begin{aligned} \llbracket \neg(g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket &\implies (g \vdash a \ \& \ b \hookrightarrow \text{Unknown}) \mid \\ \llbracket (g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket &\implies (g \vdash a \ \& \ b \hookrightarrow \text{imp}) \end{aligned}$$

Proofs that the implies relation is correct with respect to the existing evaluation semantics.

**lemma** *logic-negation-relation*:

**assumes** *wf-values* *g*  
**assumes** *g m*  $\vdash$  *kind g y*  $\mapsto$  *val*  
**assumes** *kind g neg* = *LogicNegationNode y*  
**assumes** *g m*  $\vdash$  *kind g neg*  $\mapsto$  *invval*  
**shows** *val-to-bool val*  $\longleftrightarrow$   $\neg(\text{val-to-bool invval})$   
*<proof>*

**lemma** *implies-valid*:

**assumes** *wf-graph g*  $\wedge$  *wf-values g*  
**assumes** *g*  $\vdash$  *x*  $\&$  *y*  $\hookrightarrow$  *imp*  
**assumes** *g m*  $\vdash$  *x*  $\mapsto$  *v1*  
**assumes** *g m*  $\vdash$  *y*  $\mapsto$  *v2*  
**shows** (*imp* = *KnownTrue*  $\longrightarrow$  (*val-to-bool v1*  $\longrightarrow$  *val-to-bool v2*))  $\wedge$   
(*imp* = *KnownFalse*  $\longrightarrow$  (*val-to-bool v1*  $\longrightarrow$   $\neg(\text{val-to-bool v2})$ ))  
**(is** (*?TP*  $\longrightarrow$  *?TC*)  $\wedge$  (*?FP*  $\longrightarrow$  *?FC*))  
*<proof>*

**lemma** *implies-true-valid*:

**assumes** *wf-graph g*  $\wedge$  *wf-values g*  
**assumes** *g*  $\vdash$  *x*  $\&$  *y*  $\hookrightarrow$  *imp*  
**assumes** *imp* = *KnownTrue*  
**assumes** *g m*  $\vdash$  *x*  $\mapsto$  *v1*  
**assumes** *g m*  $\vdash$  *y*  $\mapsto$  *v2*  
**shows** *val-to-bool v1*  $\longrightarrow$  *val-to-bool v2*  
*<proof>*

**lemma** *implies-false-valid*:

**assumes** *wf-graph g*  $\wedge$  *wf-values g*  
**assumes** *g*  $\vdash$  *x*  $\&$  *y*  $\hookrightarrow$  *imp*  
**assumes** *imp* = *KnownFalse*  
**assumes** *g m*  $\vdash$  *x*  $\mapsto$  *v1*  
**assumes** *g m*  $\vdash$  *y*  $\mapsto$  *v2*  
**shows** *val-to-bool v1*  $\longrightarrow$   $\neg(\text{val-to-bool v2})$   
*<proof>*

The following relation corresponds to the `UnaryOpLogicNode.tryFold` and `BinaryOpLogicNode.tryFold` methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

**inductive** *tryFold* :: *IRNode*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *TriState*  $\Rightarrow$  *bool*  
**where**

```

[[alwaysDistinct (stamps x) (stamps y)]]
  ⇒ tryFold (IntegerEqualsNode x y) stamps KnownFalse |
[[neverDistinct (stamps x) (stamps y)]]
  ⇒ tryFold (IntegerEqualsNode x y) stamps KnownTrue |
[[is-IntegerStamp (stamps x);
  is-IntegerStamp (stamps y);
  stpi-upper (stamps x) < stpi-lower (stamps y)]]
  ⇒ tryFold (IntegerLessThanNode x y) stamps KnownTrue |
[[is-IntegerStamp (stamps x);
  is-IntegerStamp (stamps y);
  stpi-lower (stamps x) ≥ stpi-upper (stamps y)]]
  ⇒ tryFold (IntegerLessThanNode x y) stamps KnownFalse

```

Proofs that show that when the stamp lookup function is well-formed, the tryFold relation correctly predicts the output value with respect to our evaluation semantics.

**lemma** *tryFoldIntegerEqualsAlwaysDistinct:*

```

assumes wf-stamp g stamps
assumes kind g nid = (IntegerEqualsNode x y)
assumes g m ⊢ (kind g nid) ↦ v
assumes alwaysDistinct (stamps x) (stamps y)
shows v = IntVal 1 0
⟨proof⟩

```

**lemma** *tryFoldIntegerEqualsNeverDistinct:*

```

assumes wf-stamp g stamps
assumes kind g nid = (IntegerEqualsNode x y)
assumes g m ⊢ (kind g nid) ↦ v
assumes neverDistinct (stamps x) (stamps y)
shows v = IntVal 1 1
⟨proof⟩

```

**lemma** *tryFoldIntegerLessThanTrue:*

```

assumes wf-stamp g stamps
assumes kind g nid = (IntegerLessThanNode x y)
assumes g m ⊢ (kind g nid) ↦ v
assumes stpi-upper (stamps x) < stpi-lower (stamps y)
shows v = IntVal 1 1
⟨proof⟩

```

**lemma** *tryFoldIntegerLessThanFalse:*

```

assumes wf-stamp g stamps
assumes kind g nid = (IntegerLessThanNode x y)
assumes g m ⊢ (kind g nid) ↦ v
assumes stpi-lower (stamps x) ≥ stpi-upper (stamps y)
shows v = IntVal 1 0
⟨proof⟩

```

**theorem** *tryFoldProofTrue:*

```

assumes wf-stamp  $g$  stamps
assumes tryFold (kind  $g$  nid) stamps tristate
assumes tristate = KnownTrue
assumes  $g \vdash \text{kind } g \text{ nid} \mapsto v$ 
shows val-to-bool  $v$ 
<proof>

```

```

theorem tryFoldProofFalse:
assumes wf-stamp  $g$  stamps
assumes tryFold (kind  $g$  nid) stamps tristate
assumes tristate = KnownFalse
assumes  $g \vdash (\text{kind } g \text{ nid}) \mapsto v$ 
shows  $\neg(\text{val-to-bool } v)$ 
<proof>

```

**inductive-cases** StepE:

```

 $g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h)$ 

```

Perform conditional elimination rewrites on the graph for a particular node. In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

**inductive** ConditionalEliminationStep ::  
 $\text{IRNode set} \Rightarrow (\text{ID} \Rightarrow \text{Stamp}) \Rightarrow \text{IRGraph} \Rightarrow \text{ID} \Rightarrow \text{IRGraph} \Rightarrow \text{bool}$  **where**  
*impliesTrue:*

```

[[kind  $g$  ifcond = (IfNode cid t f);
  cond = kind  $g$  cid;
   $\exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownTrue});$ 
   $g' = \text{constantCondition True ifcond (kind } g \text{ ifcond) } g$ 
]]  $\implies \text{ConditionalEliminationStep conds stamps } g \text{ ifcond } g' \mid$ 

```

*impliesFalse:*

```

[[kind  $g$  ifcond = (IfNode cid t f);
  cond = kind  $g$  cid;
   $\exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownFalse});$ 
   $g' = \text{constantCondition False ifcond (kind } g \text{ ifcond) } g$ 
]]  $\implies \text{ConditionalEliminationStep conds stamps } g \text{ ifcond } g' \mid$ 

```

*tryFoldTrue:*

```

[[kind  $g$  ifcond = (IfNode cid t f);
  cond = kind  $g$  cid;
  tryFold (kind  $g$  cid) stamps KnownTrue;
]]

```

```

g' = constantCondition True ifcond (kind g ifcond) g
 $\mathbb{I} \Rightarrow \text{ConditionalEliminationStep} \text{ conds stamps } g \text{ ifcond } g' \mid$ 

```

```

tryFoldFalse:
 $\mathbb{I} \text{kind } g \text{ ifcond} = (\text{IfNode } cid \text{ } t \text{ } f);$ 
cond = kind g cid;
tryFold (kind g cid) stamps KnownFalse;
g' = constantCondition False ifcond (kind g ifcond) g
 $\mathbb{I} \Rightarrow \text{ConditionalEliminationStep} \text{ conds stamps } g \text{ ifcond } g'$ 

```

```

code-pred (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool) ConditionalEliminationStep
<proof>

```

```

thm ConditionalEliminationStep.equation

```

## 9.2 Control-flow Graph Traversal

```

type-synonym Seen = ID set
type-synonym Conditions = IRNode list
type-synonym StampFlow = (ID  $\Rightarrow$  Stamp) list

```

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```

fun nextEdge :: Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option where
  nextEdge seen nid g =
    (let nids = (filter ( $\lambda nid'. \text{nid}' \notin \text{seen}$ ) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))

```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```

fun pred :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -)  $\Rightarrow$  Some (hd ends) |
    -  $\Rightarrow$ 
      (if IRGraph.predecessors g nid = {}
       then None else
       Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )

```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the `registerNewCondition` function which roughly corresponds to the `ConditionalEliminationPhase.registerNewCondition`. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```

fun clip-upper :: Stamp ⇒ int ⇒ Stamp where
  clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
  clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
  clip-lower (IntegerStamp b l h) c = (IntegerStamp b c h) |
  clip-lower s c = s

fun registerNewCondition :: IRGraph ⇒ IRNode ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒ Stamp) where

  registerNewCondition g (IntegerEqualsNode x y) stamps =
    (stamps(x := join (stamps x) (stamps y)))(y := join (stamps x) (stamps y)) |

  registerNewCondition g (IntegerLessThanNode x y) stamps =
    (stamps
      (x := clip-upper (stamps x) (stpi-lower (stamps y))))
    (y := clip-lower (stamps y) (stpi-upper (stamps x))) |
  registerNewCondition g - stamps = stamps

fun hdOr :: 'a list ⇒ 'a ⇒ 'a where
  hdOr (x # xs) de = x |
  hdOr [] de = de

```

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always true stack of IfNode conditions, and the flow-sensitive stamp information.

```

inductive Step
  :: IRGraph ⇒ (ID × Seen × Conditions × StampFlow) ⇒ (ID × Seen × Conditions × StampFlow) option ⇒ bool
for g where
  — Hit a BeginNode with an IfNode predecessor which represents the start of a
  basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find
  the first and only predecessor. 3. Extract condition from the preceding IfNode. 4.
  Negate condition if the begin node is second branch (we've taken the else branch of
  the condition) 5. Add the condition or the negated condition to stack 6. Perform
  any stamp updates based on the condition using the registerNewCondition function
  and place them on the top of the stack of stamp information
  [[kind g nid = BeginNode nid';

```

```

  nid ∉ seen;
  seen' = {nid} ∪ seen;

```



Some ifcond = pred g nid;  
kind g ifcond = IfNode cond t f;

i = find-index nid (successors-of (kind g ifcond));  
c = (if i = 0 then kind g cond else NegateNode cond);  
conds' = c # conds;

flow' = registerNewCondition g (kind g cond) (hdOr flow (stamp g))  
 $\implies$  Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow' # flow)) |

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket$ kind g nid = EndNode;

nid  $\notin$  seen;  
seen' = {nid}  $\cup$  seen;

nid' = any-usage g nid;

conds' = tl conds;  
flow' = tl flow

$\implies$  Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow')) |

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(is-EndNode (kind g nid));$   
 $\neg(is-BeginNode (kind g nid));$

nid  $\notin$  seen;  
seen' = {nid}  $\cup$  seen;

Some nid' = nextEdge seen' nid g  
 $\implies$  Step g (nid, seen, conds, flow) (Some (nid', seen', conds, flow)) |

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(is-EndNode (kind g nid));$   
 $\neg(is-BeginNode (kind g nid));$

nid  $\notin$  seen;  
seen' = {nid}  $\cup$  seen;

None = nextEdge seen' nid g  
 $\implies$  Step g (nid, seen, conds, flow) None |

— We've already seen this node, give back None

$\llbracket nid \in seen \rrbracket \implies$  Step g (nid, seen, conds, flow) None

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) Step  $\langle proof \rangle$

The ConditionalEliminationPhase relation is responsible for combining the

individual traversal steps from the Step relation and the optimizations from the ConditionalEliminationStep relation to perform a transformation of the whole graph.

**inductive** *ConditionalEliminationPhase*

$:: IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow IRGraph \Rightarrow bool$

**where**

— Can do a step and optimise for the current node

$\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow')) \rrbracket$   
 $\quad ConditionalEliminationStep\ (set\ conds)\ (hdOr\ flow\ (stamp\ g))\ g\ nid\ g';$

$ConditionalEliminationPhase\ g'\ (nid', seen', conds', flow')\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep

$\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow')) \rrbracket$

$ConditionalEliminationPhase\ g\ (nid', seen', conds', flow')\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step but there is a predecessor we can backtrace to

$\llbracket Step\ g\ (nid, seen, conds, flow)\ None \rrbracket$   
 $\quad Some\ nid' = pred\ g\ nid;$   
 $\quad seen' = \{nid\} \cup seen;$   
 $\quad ConditionalEliminationPhase\ g\ (nid', seen', conds, flow)\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step and have no predecessors so terminate

$\llbracket Step\ g\ (nid, seen, conds, flow)\ None \rrbracket$   
 $\quad None = pred\ g\ nid \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *ConditionalEliminationPhase*  $\langle proof \rangle$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow o \Rightarrow bool$ ) *ConditionalEliminationPhaseWithTrace*  $\langle proof \rangle$

**lemma** *IfNodeStepE*:  $g \vdash (nid, m, h) \rightarrow (nid', m', h) \implies$

$(\bigwedge cond\ tb\ fb\ val.$   
 $\quad kind\ g\ nid = IfNode\ cond\ tb\ fb \implies$   
 $\quad nid' = (if\ val\ to\ bool\ val\ then\ tb\ else\ fb) \implies$   
 $\quad g\ m \vdash kind\ g\ cond \mapsto val \implies m' = m)$   
 $\langle proof \rangle$

**lemma** *ifNodeHasCondEvalStutter*:

**assumes**  $(g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}')$   
**assumes**  $\text{kind } g \ \text{id} = \text{IfNode } \text{cond } t \ f$   
**shows**  $\exists v. (g \ m \vdash \text{kind } g \ \text{cond} \mapsto v)$   
 $\langle \text{proof} \rangle$

**lemma** *ifNodeHasCondEval*:  
**assumes**  $(g \vdash (\text{id}, m, h) \rightarrow (\text{id}', m', h'))$   
**assumes**  $\text{kind } g \ \text{id} = \text{IfNode } \text{cond } t \ f$   
**shows**  $\exists v. (g \ m \vdash \text{kind } g \ \text{cond} \mapsto v)$   
 $\langle \text{proof} \rangle$

**lemma** *replace-if-t*:  
**assumes**  $\text{kind } g \ \text{id} = \text{IfNode } \text{cond } tb \ fb$   
**assumes**  $g \ m \vdash \text{kind } g \ \text{cond} \mapsto \text{bool}$   
**assumes**  $\text{val-to-bool } \text{bool}$   
**assumes**  $g': g' = \text{replace-usages } \text{id} \ tb \ g$   
**shows**  $\exists \text{id}'. (g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}') \longleftrightarrow (g' \ m \ h \vdash \text{id} \rightsquigarrow \text{id}')$   
 $\langle \text{proof} \rangle$

**lemma** *replace-if-t-imp*:  
**assumes**  $\text{kind } g \ \text{id} = \text{IfNode } \text{cond } tb \ fb$   
**assumes**  $g \ m \vdash \text{kind } g \ \text{cond} \mapsto \text{bool}$   
**assumes**  $\text{val-to-bool } \text{bool}$   
**assumes**  $g': g' = \text{replace-usages } \text{id} \ tb \ g$   
**shows**  $\exists \text{id}'. (g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}') \longrightarrow (g' \ m \ h \vdash \text{id} \rightsquigarrow \text{id}')$   
 $\langle \text{proof} \rangle$

**lemma** *replace-if-f*:  
**assumes**  $\text{kind } g \ \text{id} = \text{IfNode } \text{cond } tb \ fb$   
**assumes**  $g \ m \vdash \text{kind } g \ \text{cond} \mapsto \text{bool}$   
**assumes**  $\neg(\text{val-to-bool } \text{bool})$   
**assumes**  $g': g' = \text{replace-usages } \text{id} \ fb \ g$   
**shows**  $\exists \text{id}'. (g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}') \longleftrightarrow (g' \ m \ h \vdash \text{id} \rightsquigarrow \text{id}')$   
 $\langle \text{proof} \rangle$

Prove that the individual conditional elimination rules are correct with respect to preservation of stuttering steps.

**lemma** *ConditionalEliminationStepProof*:  
**assumes**  $wg: \text{wf-graph } g$   
**assumes**  $ws: \text{wf-stamps } g$   
**assumes**  $wv: \text{wf-values } g$   
**assumes**  $\text{id}: \text{id} \in \text{ids } g$   
**assumes**  $\text{conds-valid}: \forall c \in \text{conds}. \exists v. (g \ m \vdash c \mapsto v) \wedge \text{val-to-bool } v$   
**assumes**  $ce: \text{ConditionalEliminationStep } \text{conds } \text{stamps } g \ \text{id} \ g'$   
  
**shows**  $\exists \text{id}'. (g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}') \longrightarrow (g' \ m \ h \vdash \text{id} \rightsquigarrow \text{id}')$   
 $\langle \text{proof} \rangle$

Prove that the individual conditional elimination rules are correct with respect to finding a bisimulation between the unoptimized and optimized graphs.

**lemma** *ConditionalEliminationStepProofBisimulation*:  
**assumes** *wf*: *wf-graph* *g*  $\wedge$  *wf-stamp* *g* *stamps*  $\wedge$  *wf-values* *g*  
**assumes** *nid*: *nid*  $\in$  *ids* *g*  
**assumes** *conds-valid*:  $\forall c \in \text{conds} . \exists v . (g \ m \vdash c \mapsto v) \wedge \text{val-to-bool } v$   
**assumes** *ce*: *ConditionalEliminationStep* *conds* *stamps* *g* *nid* *g'*  
**assumes** *gstep*:  $\exists h \ nid' . (g \vdash (nid, m, h) \rightarrow (nid', m, h))$   
  
**shows** *nid*  $\mid g \sim g'$   
 $\langle \text{proof} \rangle$

Mostly experimental proofs from here on out.

**lemma** *if-step*:  
**assumes** *nid*  $\in$  *ids* *g*  
**assumes** (*kind* *g* *nid*)  $\in$  *control-nodes*  
**shows** (*g* *m* *h*  $\vdash$  *nid*  $\rightsquigarrow$  *nid'*)  
 $\langle \text{proof} \rangle$

**lemma** *StepConditionsValid*:  
**assumes**  $\forall \text{ cond} \in \text{set } \text{conds} . (g \ m \vdash \text{cond} \mapsto v) \wedge \text{val-to-bool } v$   
**assumes** *Step* *g* (*nid*, *seen*, *conds*, *flow*) (*Some* (*nid'*, *seen'*, *conds'*, *flow'*))  
**shows**  $\forall \text{ cond} \in \text{set } \text{conds}' . (g \ m \vdash \text{cond} \mapsto v) \wedge \text{val-to-bool } v$   
 $\langle \text{proof} \rangle$

**lemma** *ConditionalEliminationPhaseProof*:  
**assumes** *wf-graph* *g*  
**assumes** *wf-stamps* *g*  
**assumes** *ConditionalEliminationPhase* *g* (*0*, *{}*, *[]*, *[]*) *g'*  
  
**shows**  $\exists \text{ nid}' . (g \ m \ h \vdash 0 \rightsquigarrow \text{nid}') \longrightarrow (g' \ m \ h \vdash 0 \rightsquigarrow \text{nid}')$   
 $\langle \text{proof} \rangle$

**end**

## 10 Graph Construction Phase

**theory**  
*Construction*  
**imports**  
*Proofs.Bisimulation*  
*Proofs.IRGraphFrames*  
**begin**

**lemma** *add-const-nodes*:  
**assumes** *xn*: *kind* *g* *x* = (*ConstantNode* (*IntVal* *b* *xv*))  
**assumes** *yn*: *kind* *g* *y* = (*ConstantNode* (*IntVal* *b* *yv*))

```

assumes zn: kind g z = (AddNode x y)
assumes wn: kind g w = (ConstantNode (intval-add (IntVal b xv) (IntVal b yv)))
assumes val: intval-add (IntVal b xv) (IntVal b yv) = IntVal b v1
assumes ez: g m ⊢ (kind g z) ↦ (IntVal b v1)
assumes ew: g m ⊢ (kind g w) ↦ (IntVal b v2)
shows v1 = v2
⟨proof⟩

```

```

lemma add-val-xzero:
shows intval-add (IntVal b 0) (IntVal b yv) = (IntVal b yv)
⟨proof⟩

```

```

lemma add-val-yzero:
shows intval-add (IntVal b xv) (IntVal b 0) = (IntVal b xv)
⟨proof⟩

```

```

fun create-add :: IRGraph ⇒ ID ⇒ ID ⇒ IRNode where
  create-add g x y =
    (case (kind g x) of
      ConstantNode (IntVal b xv) ⇒
        (case (kind g y) of
          ConstantNode (IntVal b yv) ⇒
            ConstantNode (intval-add (IntVal b xv) (IntVal b yv)) |
            - ⇒ if xv = 0 then RefNode y else AddNode x y
          ) |
      - ⇒ (case (kind g y) of
        ConstantNode (IntVal b yv) ⇒
          if yv = 0 then RefNode x else AddNode x y |
          - ⇒ AddNode x y
        )
    )

```

```

lemma add-node-create:
assumes xv: g m ⊢ (kind g x) ↦ IntVal b xv
assumes yv: g m ⊢ (kind g y) ↦ IntVal b yv
assumes res: res = intval-add (IntVal b xv) (IntVal b yv)
shows
  (g m ⊢ (AddNode x y) ↦ res) ∧
  (g m ⊢ (create-add g x y) ↦ res)

```

⟨*proof*⟩

```

fun add-node-fake :: ID ⇒ IRNode ⇒ IRGraph ⇒ IRGraph where
  add-node-fake nid k g = add-node nid (k, VoidStamp) g

```

**lemma** *add-node-lookup-fake*:  
 assumes  $gup = \text{add-node-fake } nid \ k \ g$   
 assumes  $nid \notin ids \ g$   
 shows  $kind \ gup \ nid = k$   
 $\langle proof \rangle$

**lemma** *add-node-unchanged-fake*:  
 assumes  $new \notin ids \ g$   
 assumes  $nid \in ids \ g$   
 assumes  $gup = \text{add-node-fake } new \ k \ g$   
 assumes  $wf\text{-graph } g$   
 shows  $unchanged \ (eval\text{-usages } g \ nid) \ g \ gup$   
 $\langle proof \rangle$

**lemma** *dom-add-unchanged*:  
 assumes  $nid \in ids \ g$   
 assumes  $g' = \text{add-node-fake } n \ k \ g$   
 assumes  $nid \neq n$   
 shows  $nid \in ids \ g'$   
 $\langle proof \rangle$

**lemma** *preserve-wf*:  
 assumes  $wf: wf\text{-graph } g$   
 assumes  $nid \notin ids \ g$   
 assumes  $closed: inputs \ g' \ nid \cup succ \ g' \ nid \subseteq ids \ g$   
 assumes  $g': g' = \text{add-node-fake } nid \ k \ g$   
 shows  $wf\text{-graph } g'$   
 $\langle proof \rangle$

**lemma** *equal-closure-bisimilar*:  
 assumes  $\{P'. (g \ m \ h \vdash nid \rightsquigarrow P')\} = \{P'. (g' \ m \ h \vdash nid \rightsquigarrow P')\}$   
 shows  $nid . g \sim g'$   
 $\langle proof \rangle$

**lemma** *wf-size*:  
 assumes  $nid \in ids \ g$   
 assumes  $wf\text{-graph } g$   
 assumes  $is\text{-AbstractEndNode } (kind \ g \ nid)$   
 shows  $card \ (usages \ g \ nid) > 0$   
 $\langle proof \rangle$

**lemma** *sequentials-have-successors*:  
 assumes  $is\text{-sequential-node } n$   
 shows  $size \ (successors\text{-of } n) > 0$   
 $\langle proof \rangle$

**lemma** *step-reaches-successors-only*:  
 assumes  $(g \vdash (nid, m, h) \rightarrow (nid', m, h))$   
 assumes  $wf: wf\text{-graph } g$   
 shows  $nid' \in succ \ g \ nid \vee nid' \in usages \ g \ nid$

$\langle \text{proof} \rangle$

**lemma** *stutter-closed*:

**assumes**  $g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}'$

**assumes**  $\text{wf-graph } g$

**shows**  $\exists \ n \in \text{ids } g . \text{id}' \in \text{succ } g \ n \vee \text{id}' \in \text{usages } g \ n$

$\langle \text{proof} \rangle$

**lemma** *unchanged-step*:

**assumes**  $g \vdash (\text{id}, m, h) \rightarrow (\text{id}', m, h)$

**assumes**  $\text{wf}: \text{wf-graph } g$

**assumes**  $\text{kind}: \text{kind } g \ \text{id} = \text{kind } g' \ \text{id}$

**assumes**  $\text{unchanged}: \text{unchanged } (\text{eval-usages } g \ \text{id}) \ g \ g'$

**assumes**  $\text{succ}: \text{succ } g \ \text{id} = \text{succ } g' \ \text{id}$

**shows**  $g' \vdash (\text{id}, m, h) \rightarrow (\text{id}', m, h)$

$\langle \text{proof} \rangle$

**lemma** *unchanged-closure*:

**assumes**  $\text{id} \notin \text{ids } g$

**assumes**  $\text{wf}: \text{wf-graph } g \wedge \text{wf-graph } g'$

**assumes**  $g': g' = \text{add-node-fake } \text{id} \ k \ g$

**assumes**  $\text{id}' \in \text{ids } g$

**shows**  $(g \ m \ h \vdash \text{id}' \rightsquigarrow \text{id}'') \longleftrightarrow (g' \ m \ h \vdash \text{id}' \rightsquigarrow \text{id}'')$

(is  $?P \longleftrightarrow ?Q$ )

$\langle \text{proof} \rangle$

**fun** *create-if* ::  $\text{IRGraph} \Rightarrow \text{ID} \Rightarrow \text{ID} \Rightarrow \text{ID} \Rightarrow \text{IRNode}$

**where**

*create-if*  $g \ \text{cond} \ \text{tb} \ \text{fb} =$

(case ( $\text{kind } g \ \text{cond}$ ) of

$\text{ConstantNode } \text{condv} \Rightarrow$

$\text{RefNode } (\text{if } (\text{val-to-bool } \text{condv}) \text{ then } \text{tb} \text{ else } \text{fb}) \mid$

$- \Rightarrow (\text{if } \text{tb} = \text{fb} \text{ then}$

$\text{RefNode } \text{tb}$

else

$\text{IfNode } \text{cond} \ \text{tb} \ \text{fb})$

)

**lemma** *if-node-create-bisimulation*:

**fixes**  $h :: \text{FieldRefHeap}$

**assumes**  $\text{wf}: \text{wf-graph } g$

**assumes**  $\text{cv}: g \ m \vdash (\text{kind } g \ \text{cond}) \mapsto \text{cv}$

**assumes**  $\text{fresh}: \text{id} \notin \text{ids } g$

**assumes**  $\text{closed}: \{\text{cond}, \text{tb}, \text{fb}\} \subseteq \text{ids } g$

**assumes**  $\text{gif}: \text{gif} = \text{add-node-fake } \text{id} \ (\text{IfNode } \text{cond} \ \text{tb} \ \text{fb}) \ g$

**assumes**  $\text{gcreate}: \text{gcreate} = \text{add-node-fake } \text{id} \ (\text{create-if } g \ \text{cond} \ \text{tb} \ \text{fb}) \ g$

**shows**  $nid . gif \sim gcreate$

$\langle proof \rangle$

**lemma** *if-node-create*:

**assumes** *wf*:  $wf\text{-}graph\ g$

**assumes** *cv*:  $g\ m \vdash (kind\ g\ cond) \mapsto cv$

**assumes** *fresh*:  $nid \notin ids\ g$

**assumes** *gif*:  $gif = add\text{-}node\text{-}fake\ nid\ (IfNode\ cond\ tb\ fb)\ g$

**assumes** *gcreate*:  $gcreate = add\text{-}node\text{-}fake\ nid\ (create\text{-}if\ g\ cond\ tb\ fb)\ g$

**shows**  $\exists nid'. (gif\ m\ h \vdash nid \rightsquigarrow nid') \wedge (gcreate\ m\ h \vdash nid \rightsquigarrow nid')$

$\langle proof \rangle$

**end**