

# Veriopt

April 24, 2021

## Abstract

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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# 1 Runtime Values and Arithmetic

```

theory Values
  imports
    HOL-Library.Word
    HOL-Library.Signed-Division
    HOL-Library.Float
    HOL-Library.LaTeXsugar
  begin

```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

```

type-synonym objref = nat option

```

```

datatype Value =
  UndefVal |
  IntVal (v-bits: int) (v-int: int) |
  FloatVal (v-bits: int) (v-float: float) |
  ObjRef objref |
  ObjStr string

```

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each (IntVal b v) should satisfy the invariants:

$$b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}$$

$$1 < b \implies v \equiv \text{scast } (\text{signed-take-bit } b \ v)$$

```

type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean

```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wf-value* function.

— Check that a signed int value does not overflow b bits.

```

fun fits-into-n :: nat  $\Rightarrow$  int  $\Rightarrow$  bool where
  fits-into-n b val = ((-(2b-1))  $\leq$  val)  $\wedge$  (val < (2b-1)))

```

**definition** *int-bits-allowed* :: *int set* **where**  
*int-bits-allowed* = {32}

**fun** *wf-value* :: *Value*  $\Rightarrow$  *bool* **where**  
*wf-value* (*IntVal* *b v*) =  
 (*b*  $\in$  *int-bits-allowed*  $\wedge$   
 (*nat b* = 1  $\longrightarrow$  (*v* = 0  $\vee$  *v* = 1))  $\wedge$   
 (*nat b* > 1  $\longrightarrow$  *fits-into-n* (*nat b*) *v*)) |  
*wf-value* - = *True*

**value** *sint*(*word-of-int* (1) :: *int1*)

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of *intval* functions correspond to the JVM arithmetic operations.

**fun** *intval-add* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-add* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) + (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) + (*word-of-int* *v2* :: *int64*)))))) |  
*intval-add* - = *UndefVal*

**instantiation** *Value* :: *plus*  
**begin**

**definition** *plus-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*plus-Value* = *intval-add*

**instance** **proof** **qed**  
**end**

**fun** *intval-sub* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-sub* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
 (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
   *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) - (*word-of-int* *v2* :: *int32*))))  
   *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) - (*word-of-int* *v2* :: *int64*)))))) |  
*intval-sub* - = *UndefVal*

**instantiation** *Value* :: *minus*

**begin**

**definition** *minus-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *minus-Value* = *intval-sub*

**instance proof qed**  
**end**

**fun** *intval-mul* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-mul* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int* *v1* :: *int32*) \* (*word-of-int* *v2* :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int* *v1* :: *int64*) \* (*word-of-int* *v2* :: *int64*)))))) |  
    *intval-mul* - - = *UndefVal*

**instantiation** *Value* :: *times*  
**begin**

**definition** *times-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *times-Value* = *intval-mul*

**instance proof qed**  
**end**

**fun** *intval-div* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-div* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int*(*v1* *sdiv* *v2*) :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int*(*v1* *sdiv* *v2*) :: *int64*)))))) |  
    *intval-div* - - = *UndefVal*

**instantiation** *Value* :: *divide*  
**begin**

**definition** *divide-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *divide-Value* = *intval-div*

**instance proof qed**  
**end**

**fun** *intval-mod* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
    *intval-mod* (*IntVal* *b1* *v1*) (*IntVal* *b2* *v2*) =  
        (*if* *b1*  $\leq$  32  $\wedge$  *b2*  $\leq$  32  
            *then* (*IntVal* 32 (*sint*((*word-of-int*(*v1* *smod* *v2*) :: *int32*))))  
            *else* (*IntVal* 64 (*sint*((*word-of-int*(*v1* *smod* *v2*) :: *int64*)))))) |  
    *intval-mod* - - = *UndefVal*

**instantiation** *Value* :: *modulo*  
**begin**

**definition** *modulo-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*modulo-Value* = *intval-mod*

**instance** **proof** **qed**  
**end**

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** &&\* 64) **where**  
*intval-and* (*IntVal* b1 v1) (*IntVal* b2 v2) =  
 (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32  
   then (*IntVal* 32 (*sint*((*word-of-int* v1 :: *int32*) AND (*word-of-int* v2 :: *int32*))))  
   else (*IntVal* 64 (*sint*((*word-of-int* v1 :: *int64*) AND (*word-of-int* v2 :: *int64*))))))  
 |  
*intval-and* - - = *UndefVal*

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** ||\* 59) **where**  
*intval-or* (*IntVal* b1 v1) (*IntVal* b2 v2) =  
 (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32  
   then (*IntVal* 32 (*sint*((*word-of-int* v1 :: *int32*) OR (*word-of-int* v2 :: *int32*))))  
   else (*IntVal* 64 (*sint*((*word-of-int* v1 :: *int64*) OR (*word-of-int* v2 :: *int64*))))))  
 |  
*intval-or* - - = *UndefVal*

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** ^\* 59) **where**  
*intval-xor* (*IntVal* b1 v1) (*IntVal* b2 v2) =  
 (if b1  $\leq$  32  $\wedge$  b2  $\leq$  32  
   then (*IntVal* 32 (*sint*((*word-of-int* v1 :: *int32*) XOR (*word-of-int* v2 :: *int32*))))  
   else (*IntVal* 64 (*sint*((*word-of-int* v1 :: *int64*) XOR (*word-of-int* v2 :: *int64*))))))  
 |  
*intval-xor* - - = *UndefVal*

**lemma** *intval-add-bits*:  
**assumes** *b*: *IntVal* b *res* = *intval-add* *x* *y*  
**shows** *b* = 32  $\vee$  *b* = 64

**proof** –  
**have** *def*: *intval-add* *x* *y*  $\neq$  *UndefVal*  
**using** *b* **by** *auto*  
**obtain** *b1* *v1* **where** *x*: *x* = *IntVal* b1 *v1*  
**by** (*metis* *Value.exhaust-sel* *def* *intval-add.simps*(2,3,4,5))

```

obtain b2 v2 where y: y = IntVal b2 v2
by (metis Value.exhaust-sel def intval-add.simps(6,7,8,9))
have
  ax: intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 ≤ 32 ∧ b2 ≤ 32
      then (IntVal 32 (sint((word-of-int v1 :: int32) + (word-of-int v2 :: int32))))
      else (IntVal 64 (sint((word-of-int v1 :: int64) + (word-of-int v2 :: int64))))))
    (is ?L = (if ?C then (IntVal 32 ?A) else (IntVal 64 ?B)))
  by simp
then have l: IntVal b res = ?L using b x y by simp
have (b1 ≤ 32 ∧ b2 ≤ 32) ∨ ¬(b1 ≤ 32 ∧ b2 ≤ 32) by auto
then show ?thesis
proof
  assume (b1 ≤ 32 ∧ b2 ≤ 32)
  then have r32: ?L = (IntVal 32 ?A) using ax by auto
  then have b = 32 using r32 l b by auto
  then show ?thesis by simp
next
  assume ¬(b1 ≤ 32 ∧ b2 ≤ 32)
  then have r64: ?L = (IntVal 64 ?B) using ax by auto
  then have b = 64 using r64 l b by auto
  then show ?thesis by simp
qed
qed

lemma word-add-sym:
  shows word-of-int v1 + word-of-int v2 = word-of-int v2 + word-of-int v1
  by simp

lemma intval-add-sym1:
  shows intval-add (IntVal b1 v1) (IntVal b2 v2) = intval-add (IntVal b2 v2) (IntVal
b1 v1)
  by (simp add: word-add-sym)

lemma intval-add-sym:
  shows intval-add x y = intval-add y x
  using intval-add-sym1 apply simp
  apply (induction x)
  apply auto
  apply (induction y)
  apply auto
  done

lemma wf-int32:
  assumes wf: wf-value (IntVal b v)
  shows b = 32

```

```

proof –
  have  $b \in \text{int-bits-allowed}$ 
  using  $wf\ wf\text{-value.simps}(1)$  by  $blast$ 
  then show  $?thesis$ 
  by ( $\text{simp add: int-bits-allowed-def}$ )
qed

```

```

lemma  $wf\text{-int}$  [ $\text{simp}$ ]:
  assumes  $wf: wf\text{-value}\ (IntVal\ w\ n)$ 
  assumes  $notbool: w = 32$ 
  shows  $\text{sint}((\text{word-of-int}\ n) :: \text{int32}) = n$ 
  apply ( $\text{simp only: int-word-sint}$ )
  using  $wf\ notbool$  apply  $\text{simp}$ 
done

```

```

lemma  $\text{add32-0}$ :
  assumes  $z: wf\text{-value}\ (IntVal\ 32\ 0)$ 
  assumes  $b: wf\text{-value}\ (IntVal\ 32\ b)$ 
  shows  $\text{intval-add}\ (IntVal\ 32\ 0)\ (IntVal\ 32\ b) = (IntVal\ 32\ (b))$ 
  apply ( $\text{simp only: intval-add.simps word-of-int-0}$ )
  apply ( $\text{simp only: order-class.order.refl conj-absorb if-True}$ )
  apply ( $\text{simp only: word-add-def uint-0-eq add-0}$ )
  apply ( $\text{simp only: word-of-int-uint int-word-sint}$ )
  using  $b$  apply  $\text{simp}$ 
done

```

```

code-deps  $\text{intval-add}$ 
code-thms  $\text{intval-add}$ 

```

```

lemma  $\text{intval-add}\ (IntVal\ 32\ (2^{31}-1))\ (IntVal\ 32\ (2^{31}-1)) = IntVal\ 32\ (-2)$ 
  by  $eval$ 
lemma  $\text{intval-add}\ (IntVal\ 64\ (2^{31}-1))\ (IntVal\ 32\ (2^{31}-1)) = IntVal\ 64\ 4294967294$ 
  by  $eval$ 

```

```

end

```

## 2 Nodes

### 2.1 Types of Nodes

```

theory  $IRNodes$ 
  imports
     $Values$ 
begin

```



The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write `INPUT` (or special case thereof) instead of `ID` for input edges, and `SUCC` instead of `ID` for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```

type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID

```

```

datatype (discs-sels) IRNode =
  AbsNode (ir-value: INPUT)
  | AddNode (ir-x: INPUT) (ir-y: INPUT)
  | AndNode (ir-x: INPUT) (ir-y: INPUT)
  | BeginNode (ir-next: SUCC)
  | BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE
option) (ir-next: SUCC)
  | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:
INPUT)
  | ConstantNode (ir-const: Value)
  | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:
INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
  | EndNode
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)

  | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-
PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:
INPUT-STATE list option)
  | IfNode (ir-condition: INPUT-COND) (ir-trueSuccessor: SUCC) (ir-falseSuccessor:
SUCC)
  | IntegerEqualsNode (ir-x: INPUT) (ir-y: INPUT)
  | IntegerLessThanNode (ir-x: INPUT) (ir-y: INPUT)
  | InvokeNode (ir-nid: ID) (ir-callTarget: INPUT-EXT) (ir-classInit-opt: IN-
PUT option) (ir-stateDuring-opt: INPUT-STATE option) (ir-stateAfter-opt: IN-

```

*PUT-STATE option*) (*ir-next: SUCC*)  
 | *InvokeWithExceptionNode* (*ir-nid: ID*) (*ir-callTarget: INPUT-EXT*) (*ir-classInit-opt: INPUT option*) (*ir-stateDuring-opt: INPUT-STATE option*) (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-next: SUCC*) (*ir-exceptionEdge: SUCC*)  
 | *IsNullNode* (*ir-value: INPUT*)  
 | *KillingBeginNode* (*ir-next: SUCC*)  
 | *LoadFieldNode* (*ir-nid: ID*) (*ir-field: string*) (*ir-object-opt: INPUT option*) (*ir-next: SUCC*)  
 | *LogicNegationNode* (*ir-value: INPUT-COND*)  
 | *LoopBeginNode* (*ir-ends: INPUT-ASSOC list*) (*ir-overflowGuard-opt: INPUT-GUARD option*) (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *LoopEndNode* (*ir-loopBegin: INPUT-ASSOC*)  
 | *LoopExitNode* (*ir-loopBegin: INPUT-ASSOC*) (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *MergeNode* (*ir-ends: INPUT-ASSOC list*) (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *MethodCallTargetNode* (*ir-targetMethod: string*) (*ir-arguments: INPUT list*)  
 | *MulNode* (*ir-x: INPUT*) (*ir-y: INPUT*)  
 | *NegateNode* (*ir-value: INPUT*)  
 | *NewArrayNode* (*ir-length: INPUT*) (*ir-stateBefore-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *NewInstanceNode* (*ir-nid: ID*) (*ir-instanceClass: string*) (*ir-stateBefore-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *NotNode* (*ir-value: INPUT*)  
 | *OrNode* (*ir-x: INPUT*) (*ir-y: INPUT*)  
 | *ParameterNode* (*ir-index: nat*)  
 | *PiNode* (*ir-object: INPUT*) (*ir-guard-opt: INPUT-GUARD option*)  
 | *ReturnNode* (*ir-result-opt: INPUT option*) (*ir-memoryMap-opt: INPUT-EXT option*)  
 | *ShortCircuitOrNode* (*ir-x: INPUT-COND*) (*ir-y: INPUT-COND*)  
 | *SignedDivNode* (*ir-nid: ID*) (*ir-x: INPUT*) (*ir-y: INPUT*) (*ir-zeroCheck-opt: INPUT-GUARD option*) (*ir-stateBefore-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *SignedRemNode* (*ir-nid: ID*) (*ir-x: INPUT*) (*ir-y: INPUT*) (*ir-zeroCheck-opt: INPUT-GUARD option*) (*ir-stateBefore-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *StartNode* (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-next: SUCC*)  
 | *StoreFieldNode* (*ir-nid: ID*) (*ir-field: string*) (*ir-value: INPUT*) (*ir-stateAfter-opt: INPUT-STATE option*) (*ir-object-opt: INPUT option*) (*ir-next: SUCC*)  
 | *SubNode* (*ir-x: INPUT*) (*ir-y: INPUT*)  
 | *UnwindNode* (*ir-exception: INPUT*)  
 | *ValuePhiNode* (*ir-nid: ID*) (*ir-values: INPUT list*) (*ir-merge: INPUT-ASSOC*)  
 | *ValueProxyNode* (*ir-value: INPUT*) (*ir-loopExit: INPUT-ASSOC*)  
 | *XorNode* (*ir-x: INPUT*) (*ir-y: INPUT*)  
 | *NoNode*  
 | *RefNode* (*ir-ref: ID*)

```

fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, *inputs\_of* and *successors\_of*, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BeginNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
  Value, falseValue] |
  inputs-of-ConstantNode:
  inputs-of (ConstantNode const) = [] |
  inputs-of-DynamicNewArrayNode:
  inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore
  next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)
  |
  inputs-of-EndNode:
  inputs-of (EndNode) = [] |
  inputs-of-ExceptionObjectNode:
  inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |
  inputs-of-FrameState:
  inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)
  = monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list
  virtualObjectMappings) |
  inputs-of-IfNode:
  inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition] |
  inputs-of-IntegerEqualsNode:
  inputs-of (IntegerEqualsNode x y) = [x, y] |
  inputs-of-IntegerLessThanNode:
  inputs-of (IntegerLessThanNode x y) = [x, y] |

```

*inputs-of-InvokeNode:*  
*inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
 $= \text{callTarget} \# (\text{opt-to-list classInit}) @ (\text{opt-to-list stateDuring}) @ (\text{opt-to-list stateAfter}) \mid$   
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter next exceptionEdge)*  
 $= \text{callTarget} \# (\text{opt-to-list classInit}) @ (\text{opt-to-list stateDuring}) @ (\text{opt-to-list stateAfter}) \mid$   
*inputs-of-IsNullNode:*  
*inputs-of (IsNullNode value) = [value] \mid*  
*inputs-of-KillingBeginNode:*  
*inputs-of (KillingBeginNode next) = [] \mid*  
*inputs-of-LoadFieldNode:*  
*inputs-of (LoadFieldNode nid0 field object next) = (opt-to-list object) \mid*  
*inputs-of-LogicNegationNode:*  
*inputs-of (LogicNegationNode value) = [value] \mid*  
*inputs-of-LoopBeginNode:*  
*inputs-of (LoopBeginNode ends overflowGuard stateAfter next) = ends @ (opt-to-list overflowGuard) @ (opt-to-list stateAfter) \mid*  
*inputs-of-LoopEndNode:*  
*inputs-of (LoopEndNode loopBegin) = [loopBegin] \mid*  
*inputs-of-LoopExitNode:*  
*inputs-of (LoopExitNode loopBegin stateAfter next) = loopBegin \# (opt-to-list stateAfter) \mid*  
*inputs-of-MergeNode:*  
*inputs-of (MergeNode ends stateAfter next) = ends @ (opt-to-list stateAfter) \mid*  
*inputs-of-MethodCallTargetNode:*  
*inputs-of (MethodCallTargetNode targetMethod arguments) = arguments \mid*  
*inputs-of-MulNode:*  
*inputs-of (MulNode x y) = [x, y] \mid*  
*inputs-of-NegateNode:*  
*inputs-of (NegateNode value) = [value] \mid*  
*inputs-of-NewArrayNode:*  
*inputs-of (NewArrayNode length0 stateBefore next) = length0 \# (opt-to-list stateBefore) \mid*  
*inputs-of-NewInstanceNode:*  
*inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list stateBefore) \mid*  
*inputs-of-NotNode:*  
*inputs-of (NotNode value) = [value] \mid*  
*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] \mid*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] \mid*  
*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object \# (opt-to-list guard) \mid*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) \mid*

*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #*  
*(opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of* :: *IRNode*  $\Rightarrow$  *ID list* **where**

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*  
*successors-of (AndNode x y) = [] |*  
*successors-of-BeginNode:*  
*successors-of (BeginNode next) = [next] |*  
*successors-of-BytecodeExceptionNode:*  
*successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |*  
*successors-of-ConditionalNode:*  
*successors-of (ConditionalNode condition trueValue falseValue) = [] |*  
*successors-of-ConstantNode:*  
*successors-of (ConstantNode const) = [] |*  
*successors-of-DynamicNewArrayNode:*  
*successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [next] |*  
*successors-of-EndNode:*  
*successors-of (EndNode) = [] |*

*successors-of-ExceptionObjectNode:*  
*successors-of (ExceptionObjectNode stateAfter next) = [next] |*  
*successors-of-FrameState:*  
*successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-*  
*pings) = [] |*  
*successors-of-IfNode:*  
*successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,*  
*falseSuccessor] |*  
*successors-of-IntegerEqualsNode:*  
*successors-of (IntegerEqualsNode x y) = [] |*  
*successors-of-IntegerLessThanNode:*  
*successors-of (IntegerLessThanNode x y) = [] |*  
*successors-of-InvokeNode:*  
*successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= [next] |*  
*successors-of-InvokeWithExceptionNode:*  
*successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring*  
*stateAfter next exceptionEdge) = [next, exceptionEdge] |*  
*successors-of-IsNullNode:*  
*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments) = [] |*  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*  
*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*

*successors-of* (*ParameterNode index*) = [] |  
*successors-of-PiNode*:  
*successors-of* (*PiNode object guard*) = [] |  
*successors-of-ReturnNode*:  
*successors-of* (*ReturnNode result memoryMap*) = [] |  
*successors-of-ShortCircuitOrNode*:  
*successors-of* (*ShortCircuitOrNode x y*) = [] |  
*successors-of-SignedDivNode*:  
*successors-of* (*SignedDivNode nid0 x y zeroCheck stateBefore next*) = [next] |  
*successors-of-SignedRemNode*:  
*successors-of* (*SignedRemNode nid0 x y zeroCheck stateBefore next*) = [next] |  
*successors-of-StartNode*:  
*successors-of* (*StartNode stateAfter next*) = [next] |  
*successors-of-StoreFieldNode*:  
*successors-of* (*StoreFieldNode nid0 field value stateAfter object next*) = [next] |  
*successors-of-SubNode*:  
*successors-of* (*SubNode x y*) = [] |  
*successors-of-UnwindNode*:  
*successors-of* (*UnwindNode exception*) = [] |  
*successors-of-ValuePhiNode*:  
*successors-of* (*ValuePhiNode nid0 values merge*) = [] |  
*successors-of-ValueProxyNode*:  
*successors-of* (*ValueProxyNode value loopExit*) = [] |  
*successors-of-XorNode*:  
*successors-of* (*XorNode x y*) = [] |  
*successors-of-NoNode*: *successors-of* (*NoNode*) = [] |

*successors-of-RefNode*: *successors-of* (*RefNode ref*) = [ref]

**lemma** *inputs-of* (*FrameState x (Some y) (Some z) None*) = *x @ [y] @ z*

**unfolding** *inputs-of-FrameState* **by** *simp*

**lemma** *successors-of* (*FrameState x (Some y) (Some z) None*) = []

**unfolding** *inputs-of-FrameState* **by** *simp*

**lemma** *inputs-of* (*IfNode c t f*) = [c]

**unfolding** *inputs-of-IfNode* **by** *simp*

**lemma** *successors-of* (*IfNode c t f*) = [t, f]

**unfolding** *successors-of-IfNode* **by** *simp*

**lemma** *inputs-of* (*EndNode*) = []  $\wedge$  *successors-of* (*EndNode*) = []

**unfolding** *inputs-of-EndNode* *successors-of-EndNode* **by** *simp*

**end**

## 2.2 Hierarchy of Nodes

```
theory IRNodeHierarchy
imports IRNodes
begin
```

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function `is<ClassName>Type` will be true if the node parameter is a subclass of the `ClassName` within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode  $\Rightarrow$  bool where
  is-EndNode EndNode = True |
  is-EndNode - = False
```

```
fun is-ControlSinkNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSinkNode n = ((is-ReturnNode n)  $\vee$  (is-UnwindNode n))
```

```
fun is-AbstractMergeNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n)  $\vee$  (is-MergeNode n))
```

```
fun is-BeginStateSplitNode :: IRNode  $\Rightarrow$  bool where
  is-BeginStateSplitNode n = ((is-AbstractMergeNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-StartNode n))
```

```
fun is-AbstractBeginNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractBeginNode n = ((is-BeginNode n)  $\vee$  (is-BeginStateSplitNode n)  $\vee$  (is-KillingBeginNode n))
```

```
fun is-AbstractNewArrayNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n)  $\vee$  (is-NewArrayNode n))
```

```
fun is-AbstractNewObjectNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n)  $\vee$  (is-NewInstanceNode n))
```

```
fun is-IntegerDivRemNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerDivRemNode n = ((is-SignedDivNode n)  $\vee$  (is-SignedRemNode n))
```

```
fun is-FixedBinaryNode :: IRNode  $\Rightarrow$  bool where
  is-FixedBinaryNode n = ((is-IntegerDivRemNode n))
```



```

fun is-DeoptimizingFixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n)  $\vee$  (is-FixedBinaryNode
n))

fun is-AbstractMemoryCheckpoint :: IRNode  $\Rightarrow$  bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n)  $\vee$  (is-InvokeNode
n))

fun is-AbstractStateSplit :: IRNode  $\Rightarrow$  bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AccessFieldNode :: IRNode  $\Rightarrow$  bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n)  $\vee$  (is-StoreFieldNode n))

fun is-FixedWithNextNode :: IRNode  $\Rightarrow$  bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractStateSplit n)
 $\vee$  (is-AccessFieldNode n)  $\vee$  (is-DeoptimizingFixedWithNextNode n))

fun is-WithExceptionNode :: IRNode  $\Rightarrow$  bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSplitNode n = ((is-IfNode n)  $\vee$  (is-WithExceptionNode n))

fun is-AbstractEndNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractEndNode n = ((is-EndNode n)  $\vee$  (is-LoopEndNode n))

fun is-FixedNode :: IRNode  $\Rightarrow$  bool where
  is-FixedNode n = ((is-AbstractEndNode n)  $\vee$  (is-ControlSinkNode n)  $\vee$  (is-ControlSplitNode
n)  $\vee$  (is-FixedWithNextNode n))

fun is-FloatingGuardedNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode
n))

fun is-UnaryNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))

fun is-BinaryArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryArithmeticNode n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-MulNode
n)  $\vee$  (is-OrNode n)  $\vee$  (is-SubNode n)  $\vee$  (is-XorNode n))

fun is-BinaryNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n))

fun is-PhiNode :: IRNode  $\Rightarrow$  bool where

```

```

is-PhiNode n = ((is-ValuePhiNode n))

fun is-IntegerLowerThanNode :: IRNode ⇒ bool where
  is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n))

fun is-CompareNode :: IRNode ⇒ bool where
  is-CompareNode n = ((is-IntegerEqualsNode n) ∨ (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode ⇒ bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))

fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-LogicNode :: IRNode ⇒ bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n) ∨ (is-LogicNegationNode n) ∨
    (is-ShortCircuitOrNode n) ∨ (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode ⇒ bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-AbstractLocalNode :: IRNode ⇒ bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))

fun is-FloatingNode :: IRNode ⇒ bool where
  is-FloatingNode n = ((is-AbstractLocalNode n) ∨ (is-BinaryNode n) ∨ (is-ConditionalNode
    n) ∨ (is-ConstantNode n) ∨ (is-FloatingGuardedNode n) ∨ (is-LogicNode n) ∨
    (is-PhiNode n) ∨ (is-ProxyNode n) ∨ (is-UnaryNode n))

fun is-CallTargetNode :: IRNode ⇒ bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))

fun is-ValueNode :: IRNode ⇒ bool where
  is-ValueNode n = ((is-CallTargetNode n) ∨ (is-FixedNode n) ∨ (is-FloatingNode
    n))

fun is-VirtualState :: IRNode ⇒ bool where
  is-VirtualState n = ((is-FrameState n))

fun is-Node :: IRNode ⇒ bool where
  is-Node n = ((is-ValueNode n) ∨ (is-VirtualState n))

fun is-MemoryKill :: IRNode ⇒ bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode ⇒ bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n) ∨ (is-AddNode n) ∨ (is-AndNode
    n) ∨ (is-MulNode n) ∨ (is-NegateNode n) ∨ (is-NotNode n) ∨ (is-OrNode n) ∨
    (is-SubNode n) ∨ (is-XorNode n))

```

```

fun is-AnchoringNode :: IRNode  $\Rightarrow$  bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode  $\Rightarrow$  bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode  $\Rightarrow$  bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode  $\Rightarrow$  bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractMergeNode n)  $\vee$ 
    (is-FrameState n)  $\vee$  (is-IfNode n)  $\vee$  (is-IntegerDivRemNode n)  $\vee$  (is-InvokeWithExceptionNode
    n)  $\vee$  (is-LoopBeginNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)
     $\vee$  (is-ParameterNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode  $\Rightarrow$  bool where
  is-Invoke n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode  $\Rightarrow$  bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode  $\Rightarrow$  bool where
  is-ValueProxy n = ((is-PiNode n)  $\vee$  (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode  $\Rightarrow$  bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n)  $\vee$  (is-ConstantNode
    n))

fun is-StampInverter :: IRNode  $\Rightarrow$  bool where
  is-StampInverter n = ((is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-GuardingNode :: IRNode  $\Rightarrow$  bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))

fun is-SingleMemoryKill :: IRNode  $\Rightarrow$  bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode
    n)  $\vee$  (is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-KillingBeginNode
    n)  $\vee$  (is-StartNode n))

fun is-LIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-LIRLowerable n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractEndNode n)  $\vee$ 
    (is-AbstractMergeNode n)  $\vee$  (is-BinaryOpLogicNode n)  $\vee$  (is-CallTargetNode n)  $\vee$ 
    (is-ConditionalNode n)  $\vee$  (is-ConstantNode n)  $\vee$  (is-IfNode n)  $\vee$  (is-InvokeNode n)
     $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-IsNullNode n)  $\vee$  (is-LoopBeginNode n)  $\vee$ 
    (is-PiNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-SignedDivNode n)  $\vee$  (is-SignedRemNode

```

```

n) ∨ (is-UnaryOpLogicNode n) ∨ (is-UnwindNode n))

fun is-GuardedNode :: IRNode ⇒ bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))

fun is-ArithmeticLIRLowerable :: IRNode ⇒ bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n) ∨ (is-BinaryArithmeticNode n)
  ∨ (is-NotNode n) ∨ (is-UnaryArithmeticNode n))

fun is-SwitchFoldable :: IRNode ⇒ bool where
  is-SwitchFoldable n = ((is-IfNode n))

fun is-VirtualizableAllocation :: IRNode ⇒ bool where
  is-VirtualizableAllocation n = ((is-NewArrayNode n) ∨ (is-NewInstanceNode n))

fun is-Unary :: IRNode ⇒ bool where
  is-Unary n = ((is-LoadFieldNode n) ∨ (is-LogicNegationNode n) ∨ (is-UnaryNode
  n) ∨ (is-UnaryOpLogicNode n))

fun is-FixedNodeInterface :: IRNode ⇒ bool where
  is-FixedNodeInterface n = ((is-FixedNode n))

fun is-BinaryCommutative :: IRNode ⇒ bool where
  is-BinaryCommutative n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-IntegerEqualsNode
  n) ∨ (is-MulNode n) ∨ (is-OrNode n) ∨ (is-XorNode n))

fun is-Canonicalizable :: IRNode ⇒ bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n) ∨ (is-ConditionalNode n) ∨
  (is-DynamicNewArrayNode n) ∨ (is-PhiNode n) ∨ (is-PiNode n) ∨ (is-ProxyNode
  n) ∨ (is-StoreFieldNode n) ∨ (is-ValueProxyNode n))

fun is-UncheckedInterfaceProvider :: IRNode ⇒ bool where
  is-UncheckedInterfaceProvider n = ((is-InvokeNode n) ∨ (is-InvokeWithExceptionNode
  n) ∨ (is-LoadFieldNode n) ∨ (is-ParameterNode n))

fun is-Binary :: IRNode ⇒ bool where
  is-Binary n = ((is-BinaryArithmeticNode n) ∨ (is-BinaryNode n) ∨ (is-BinaryOpLogicNode
  n) ∨ (is-CompareNode n) ∨ (is-FixedBinaryNode n) ∨ (is-ShortCircuitOrNode n))

fun is-ArithmeticOperation :: IRNode ⇒ bool where
  is-ArithmeticOperation n = ((is-BinaryArithmeticNode n) ∨ (is-UnaryArithmeticNode
  n))

fun is-ValueNumberable :: IRNode ⇒ bool where
  is-ValueNumberable n = ((is-FloatingNode n) ∨ (is-ProxyNode n))

fun is-Lowerable :: IRNode ⇒ bool where
  is-Lowerable n = ((is-AbstractNewObjectNode n) ∨ (is-AccessFieldNode n) ∨
  (is-BytecodeExceptionNode n) ∨ (is-ExceptionObjectNode n) ∨ (is-IntegerDivRemNode

```

$n) \vee (is-UnwindNode\ n))$

**fun** *is-Virtualizable* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-Virtualizable*  $n = ((is-IsNullNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-PiNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-Simplifiable* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-Simplifiable*  $n = ((is-AbstractMergeNode\ n) \vee (is-BeginNode\ n) \vee (is-IfNode\ n) \vee (is-LoopExitNode\ n) \vee (is-MethodCallTargetNode\ n) \vee (is-NewArrayNode\ n))$

**fun** *is-StateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-StateSplit*  $n = ((is-AbstractStateSplit\ n) \vee (is-BeginStateSplitNode\ n) \vee (is-StoreFieldNode\ n))$

**fun** *is-sequential-node* :: *IRNode*  $\Rightarrow$  *bool* **where**

*is-sequential-node* (*StartNode* -) = *True* |  
*is-sequential-node* (*BeginNode* -) = *True* |  
*is-sequential-node* (*KillingBeginNode* -) = *True* |  
*is-sequential-node* (*LoopBeginNode* - - -) = *True* |  
*is-sequential-node* (*LoopExitNode* - - -) = *True* |  
*is-sequential-node* (*MergeNode* - - -) = *True* |  
*is-sequential-node* (*RefNode* -) = *True* |  
*is-sequential-node* - = *False*

The following convenience function is useful in determining if two *IRNodes* are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

**fun** *is-same-ir-node-type* :: *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool* **where**

*is-same-ir-node-type*  $n1\ n2 = ($   
 $((is-AbsNode\ n1) \wedge (is-AbsNode\ n2)) \vee$   
 $((is-AddNode\ n1) \wedge (is-AddNode\ n2)) \vee$   
 $((is-AndNode\ n1) \wedge (is-AndNode\ n2)) \vee$   
 $((is-BeginNode\ n1) \wedge (is-BeginNode\ n2)) \vee$   
 $((is-BytecodeExceptionNode\ n1) \wedge (is-BytecodeExceptionNode\ n2)) \vee$   
 $((is-ConditionalNode\ n1) \wedge (is-ConditionalNode\ n2)) \vee$   
 $((is-ConstantNode\ n1) \wedge (is-ConstantNode\ n2)) \vee$   
 $((is-DynamicNewArrayNode\ n1) \wedge (is-DynamicNewArrayNode\ n2)) \vee$   
 $((is-EndNode\ n1) \wedge (is-EndNode\ n2)) \vee$   
 $((is-ExceptionObjectNode\ n1) \wedge (is-ExceptionObjectNode\ n2)) \vee$   
 $((is-FrameState\ n1) \wedge (is-FrameState\ n2)) \vee$   
 $((is-IfNode\ n1) \wedge (is-IfNode\ n2)) \vee$   
 $((is-IntegerEqualsNode\ n1) \wedge (is-IntegerEqualsNode\ n2)) \vee$   
 $((is-IntegerLessThanNode\ n1) \wedge (is-IntegerLessThanNode\ n2)) \vee$   
 $((is-InvokeNode\ n1) \wedge (is-InvokeNode\ n2)) \vee$   
 $((is-InvokeWithExceptionNode\ n1) \wedge (is-InvokeWithExceptionNode\ n2)) \vee$   
 $((is-IsNullNode\ n1) \wedge (is-IsNullNode\ n2)) \vee$   
 $((is-KillingBeginNode\ n1) \wedge (is-KillingBeginNode\ n2)) \vee$   
 $((is-LoadFieldNode\ n1) \wedge (is-LoadFieldNode\ n2)) \vee$   
 $)$

```

((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2))

```

end

### 3 Stamp Typing

```

theory Stamp
  imports Values
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```

datatype Stamp =
  VoidStamp
  | IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

  | KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
  | MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)

```

```

| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp

```

```

fun bit-bounds :: nat ⇒ (int × int) where
  bit-bounds bits = (((2 ^ bits) div 2) * -1, ((2 ^ bits) div 2) - 1)

```

— A stamp which includes the full range of the type

```

fun unrestricted-stamp :: Stamp ⇒ Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp

```

```

fun is-stamp-unrestricted :: Stamp ⇒ bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)

```

— A stamp which provides type information but has an empty range of values

```

fun empty-stamp :: Stamp ⇒ Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
bits)) (fst (bit-bounds bits))) |

  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
  empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
  empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
  empty-stamp stamp = IllegalStamp

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

```

*is-stamp-empty*  $x = \text{False}$

— Calculate the meet stamp of two stamps

```
fun meet :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  Stamp where
  meet VoidStamp VoidStamp = VoidStamp |
  meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1  $\neq$  b2 then IllegalStamp else
    (IntegerStamp b1 (min l1 l2) (max u1 u2))
  ) |

  meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    KlassPointerStamp (nn1  $\wedge$  nn2) (an1  $\wedge$  an2)
  ) |
  meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
    MethodCountersPointerStamp (nn1  $\wedge$  nn2) (an1  $\wedge$  an2)
  ) |
  meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    MethodPointersStamp (nn1  $\wedge$  nn2) (an1  $\wedge$  an2)
  ) |
  meet s1 s2 = IllegalStamp
```

— Calculate the join stamp of two stamps

```
fun join :: Stamp  $\Rightarrow$  Stamp  $\Rightarrow$  Stamp where
  join VoidStamp VoidStamp = VoidStamp |
  join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
    if b1  $\neq$  b2 then IllegalStamp else
    (IntegerStamp b1 (max l1 l2) (min u1 u2))
  ) |

  join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
    if ((nn1  $\vee$  nn2)  $\wedge$  (an1  $\vee$  an2))
    then (empty-stamp (KlassPointerStamp nn1 an1))
    else (KlassPointerStamp (nn1  $\vee$  nn2) (an1  $\vee$  an2))
  ) |
  join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
    if ((nn1  $\vee$  nn2)  $\wedge$  (an1  $\vee$  an2))
    then (empty-stamp (MethodCountersPointerStamp nn1 an1))
    else (MethodCountersPointerStamp (nn1  $\vee$  nn2) (an1  $\vee$  an2))
  ) |
  join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
    if ((nn1  $\vee$  nn2)  $\wedge$  (an1  $\vee$  an2))
    then (empty-stamp (MethodPointersStamp nn1 an1))
    else (MethodPointersStamp (nn1  $\vee$  nn2) (an1  $\vee$  an2))
  ) |
  join s1 s2 = IllegalStamp
```

— In certain circumstances a stamp provides enough information to evaluate a



value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```
fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b l else UndefVal) |
  asConstant - = UndefVal
```

— Determine if two stamps never have value overlaps i.e. their join is empty

```
fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)
```

— Determine if two stamps must always be the same value i.e. two equal constants

```
fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)
```

```
fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp (nat b) v v) |

  constantAsStamp - = IllegalStamp
```

— Define when a runtime value is valid for a stamp

```
fun valid-value :: Stamp ⇒ Value ⇒ bool where
  valid-value (IntegerStamp b1 l h) (IntVal b2 v) = ((b1 = b2) ∧ (v ≥ l) ∧ (v ≤
h)) |

  valid-value (VoidStamp) (UndefVal) = True |
  valid-value stamp val = False
```

— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

```
definition default-stamp :: Stamp where
  default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))
```

**lemma** *int-valid-range*:

```
  assumes stamp = IntegerStamp bits lower upper
  shows {x . valid-value stamp x} = {(IntVal bits val) | val . val ∈ {lower..upper}}
  using assms valid-value.simps apply auto
  using valid-value.elims(2) by blast
```

**lemma** *disjoint-empty*:

```
  assumes joined = (join x-stamp y-stamp)
  assumes is-stamp-empty joined
  shows {x . valid-value x-stamp x} ∩ {y . valid-value y-stamp y} = {}
  using assms int-valid-range
  by (induction x-stamp; induction y-stamp; auto)
```

**lemma** *join-unequal*:

**assumes** *joined* = (*join* *x-stamp* *y-stamp*)  
**assumes** *is-stamp-empty* *joined*  
**shows**  $\nexists x y . x = y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$   
**using** *assms disjoint-empty* **by** *auto*

**lemma** *neverDistinctEqual*:

**assumes** *neverDistinct* *x-stamp* *y-stamp*  
**shows**  $\nexists x y . x \neq y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$   
**using** *assms*  
**by** (*smt* (*verit*, *best*) *asConstant.simps*(1) *asConstant.simps*(2) *asConstant.simps*(3) *neverDistinct.elims*(2) *valid-value.elims*(2))

**lemma** *boundsNoOverlapNoEqual*:

**assumes** *stpi-upper* *x-stamp* < *stpi-lower* *y-stamp*  
**assumes** *is-IntegerStamp* *x-stamp*  $\wedge$  *is-IntegerStamp* *y-stamp*  
**shows**  $\nexists x y . x = y \wedge \text{valid-value } x\text{-stamp } x \wedge \text{valid-value } y\text{-stamp } y$   
**using** *assms* **apply** (*cases* *x-stamp*; *auto*)  
**using** *int-valid-range*  
**by** (*smt* (*verit*, *ccfv-threshold*) *Stamp.collapse*(1) *mem-Collect-eq* *valid-value.simps*(1))

**lemma** *boundsNoOverlap*:

**assumes** *stpi-upper* *x-stamp* < *stpi-lower* *y-stamp*  
**assumes** *x* = *IntVal* *b1* *xval*  
**assumes** *y* = *IntVal* *b2* *yval*  
**assumes** *is-IntegerStamp* *x-stamp*  $\wedge$  *is-IntegerStamp* *y-stamp*  
**assumes** *valid-value* *x-stamp* *x*  $\wedge$  *valid-value* *y-stamp* *y*  
**shows** *xval* < *yval*  
**using** *assms is-IntegerStamp-def* **by** *force*

**lemma** *boundsAlwaysOverlap*:

**assumes** *stpi-lower* *x-stamp*  $\geq$  *stpi-upper* *y-stamp*  
**assumes** *x* = *IntVal* *b1* *xval*  
**assumes** *y* = *IntVal* *b2* *yval*  
**assumes** *is-IntegerStamp* *x-stamp*  $\wedge$  *is-IntegerStamp* *y-stamp*  
**assumes** *valid-value* *x-stamp* *x*  $\wedge$  *valid-value* *y-stamp* *y*  
**shows**  $\neg(xval < yval)$   
**using** *assms is-IntegerStamp-def*  
**by** *fastforce*

**lemma** *intstamp-bits-eq-meet*:

**assumes** (*meet* (*IntegerStamp* *b1* *l1* *u1*) (*IntegerStamp* *b2* *l2* *u2*)) = (*IntegerStamp* *b3* *l3* *u3*)  
**shows** *b1* = *b3*  $\wedge$  *b2* = *b3*  
**by** (*metis* *Stamp.distinct*(25) *assms meet.simps*(2))

**lemma** *intstamp-bits-eq-join*:

**assumes** (*join* (*IntegerStamp* *b1* *l1* *u1*) (*IntegerStamp* *b2* *l2* *u2*)) = (*IntegerStamp*

```

b3 l3 u3)
  shows  $b1 = b3 \wedge b2 = b3$ 
  by (metis Stamp.distinct(25) assms join.simps(2))

lemma intstamp-bites-eq-unrestricted:
  assumes (unrestricted-stamp (IntegerStamp b1 l1 u1)) = (IntegerStamp b2 l2 u2)
  shows  $b1 = b2$ 
  using assms by auto

lemma intstamp-bits-eq-empty:
  assumes (empty-stamp (IntegerStamp b1 l1 u1)) = (IntegerStamp b2 l2 u2)
  shows  $b1 = b2$ 
  using assms by auto

notepad
begin
  have unrestricted-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 (- 128) 127)
    by auto
  have unrestricted-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 (- 32768)
32767)
    by auto
  have unrestricted-stamp (IntegerStamp 32 0 10) = (IntegerStamp 32 (- 2147483648)
2147483647)
    by auto
  have empty-stamp (IntegerStamp 8 0 10) = (IntegerStamp 8 127 (- 128))
    by auto
  have empty-stamp (IntegerStamp 16 0 10) = (IntegerStamp 16 32767 (- 32768))
    by auto
  have empty-stamp (IntegerStamp 32 0 10) = (IntegerStamp 32 2147483647 (-
2147483648))
    by auto
  have join (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 0 10)
    by auto
  have meet (IntegerStamp 32 0 20) (IntegerStamp 32 (-100) 10) = (IntegerStamp
32 (- 100) 20)
    by auto
end
end

```

## 4 Graph Representation

theory *IRGraph*

```

imports
  IRNodeHierarchy
  Stamp
  HOL-Library.FSet
  HOL.Relation
begin

```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```

typedef IRGraph = {g :: ID  $\rightarrow$  (IRNode  $\times$  Stamp) . finite (dom g)}
proof -
  have finite(dom(Map.empty))  $\wedge$  ran Map.empty = {} by auto
  then show ?thesis
    by fastforce
qed

```

**setup-lifting** *type-definition-IRGraph*

```

lift-definition ids :: IRGraph  $\Rightarrow$  ID set
  is  $\lambda g. \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$  .

```

```

fun with-default :: 'c  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  (('a  $\rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'c) where
  with-default def conv = ( $\lambda m\ k.$ 
    (case m k of None  $\Rightarrow$  def | Some v  $\Rightarrow$  conv v))

```

```

lift-definition kind :: IRGraph  $\Rightarrow$  (ID  $\Rightarrow$  IRNode)
  is with-default NoNode fst .

```

```

lift-definition stamp :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  Stamp
  is with-default IllegalStamp snd .

```

```

lift-definition add-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid\ k\ g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp

```

```

lift-definition remove-node :: ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid\ g.$  g(nid := None) by simp

```

```

lift-definition replace-node :: ID  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph
  is  $\lambda nid\ k\ g.$  if fst k = NoNode then g else g(nid  $\mapsto$  k) by simp

```

```

lift-definition as-list :: IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  Stamp) list
  is  $\lambda g.$  map ( $\lambda k. (k, the\ (g\ k))$ ) (sorted-list-of-set (dom g)) .

```

```

fun no-node :: (ID  $\times$  (IRNode  $\times$  Stamp)) list  $\Rightarrow$  (ID  $\times$  (IRNode  $\times$  Stamp)) list
where
  no-node g = filter ( $\lambda n. fst\ (snd\ n) \neq NoNode$ ) g

```

**lift-definition** *irgraph* :: (*ID* × (*IRNode* × *Stamp*)) *list* ⇒ *IRGraph*  
**is** *map-of* ∘ *no-node*  
**by** (*simp add: finite-dom-map-of*)

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none g* = {*nid* ∈ *dom g* . ∄ *s*. *g nid* = (*Some* (*NoNode*, *s*))}

**lemma** *no-node-clears*:  
*res* = *no-node xs* ⟶ (∀ *x* ∈ *set res*. *fst* (*snd x*) ≠ *NoNode*)  
**by** *simp*

**lemma** *dom-eq*:  
**assumes** ∀ *x* ∈ *set xs*. *fst* (*snd x*) ≠ *NoNode*  
**shows** *filter-none* (*map-of xs*) = *dom* (*map-of xs*)  
**unfolding** *filter-none.simps* **using** *assms map-of-SomeD*  
**by** *fastforce*

**lemma** *fil-eq*:  
*filter-none* (*map-of* (*no-node xs*)) = *set* (*map fst* (*no-node xs*))  
**using** *no-node-clears*  
**by** (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

**lemma** *irgraph[code]*: *ids* (*irgraph m*) = *set* (*map fst* (*no-node m*))  
**unfolding** *irgraph-def ids-def* **using** *fil-eq*  
**by** (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq*  
*ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq*)

**lemma** [*code*]: *Rep-IRGraph* (*irgraph m*) = *map-of* (*no-node m*)  
**using** *Abs-IRGraph-inverse*  
**by** (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID

**fun** *inputs* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**  
*inputs g nid* = *set* (*inputs-of* (*kind g nid*))

— Get the successor set of a given node ID

**fun** *succ* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**  
*succ g nid* = *set* (*successors-of* (*kind g nid*))

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* :: *IRGraph* ⇒ *ID rel* **where**  
*input-edges g* = (⋃ *i* ∈ *ids g*. {(*i,j*) | *j*. *j* ∈ (*inputs g i*)})

— Find all the nodes in the graph that have *nid* as an input - the usages of *nid*

**fun** *usages* :: *IRGraph* ⇒ *ID* ⇒ *ID set* **where**  
*usages g nid* = {*j*. *j* ∈ *ids g* ∧ (*j,nid*) ∈ *input-edges g*}

**fun** *successor-edges* :: *IRGraph* ⇒ *ID rel* **where**  
*successor-edges g* = (⋃ *i* ∈ *ids g*. {(*i,j*) | *j*. *j* ∈ (*succ g i*)})

```

fun predecessors :: IRGraph ⇒ ID ⇒ ID set where
  predecessors g nid = {j. j ∈ ids g ∧ (j,nid) ∈ successor-edges g}
fun nodes-of :: IRGraph ⇒ (IRNode ⇒ bool) ⇒ ID set where
  nodes-of g sel = {nid ∈ ids g . sel (kind g nid)}
fun edge :: (IRNode ⇒ 'a) ⇒ ID ⇒ IRGraph ⇒ 'a where
  edge sel nid g = sel (kind g nid)

fun filtered-inputs :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID list where
  filtered-inputs g nid f = filter (f ∘ (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID list where
  filtered-successors g nid f = filter (f ∘ (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph ⇒ ID ⇒ (IRNode ⇒ bool) ⇒ ID set where
  filtered-usages g nid f = {n ∈ (usages g nid). f (kind g n)}

fun is-empty :: IRGraph ⇒ bool where
  is-empty g = (ids g = {})

fun any-usage :: IRGraph ⇒ ID ⇒ ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))

lemma ids-some[simp]: x ∈ ids g ⟷ kind g x ≠ NoNode
proof –
  have that: x ∈ ids g ⟶ kind g x ≠ NoNode
    using ids.rep-eq kind.rep-eq by force
  have kind g x ≠ NoNode ⟶ x ∈ ids g
    unfolding with-default.simps kind-def ids-def
    by (cases Rep-IRGraph g x = None; auto)
  from this that show ?thesis by auto
qed

lemma not-in-g:
  assumes nid ∉ ids g
  shows kind g nid = NoNode
  using assms ids-some by blast

lemma valid-creation[simp]:
  finite (dom g) ⟷ Rep-IRGraph (Abs-IRGraph g) = g
  using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)

lemma [simp]: finite (ids g)
  using Rep-IRGraph ids.rep-eq by simp

lemma [simp]: finite (ids (irgraph g))
  by (simp add: finite-dom-map-of)

lemma [simp]: finite (dom g) ⟶ ids (Abs-IRGraph g) = {nid ∈ dom g . ∃ s. g
  nid = Some (NoNode, s)}
  using ids.rep-eq by simp

```

**lemma** *[simp]: finite (dom g)  $\longrightarrow$  kind (Abs-IRGraph g) = ( $\lambda x$  . (case g x of None  $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))*  
**by** (*simp add: kind.rep-eq*)

**lemma** *[simp]: finite (dom g)  $\longrightarrow$  stamp (Abs-IRGraph g) = ( $\lambda x$  . (case g x of None  $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))*  
**using** *stamp.abs-eq stamp.rep-eq by auto*

**lemma** *[simp]: ids (irgraph g) = set (map fst (no-node g))*  
**using** *irgraph by auto*

**lemma** *[simp]: kind (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None  $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))*  
**using** *irgraph.rep-eq kind.transfer kind.rep-eq by auto*

**lemma** *[simp]: stamp (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None  $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))*  
**using** *irgraph.rep-eq stamp.transfer stamp.rep-eq by auto*

**lemma** *map-of-upd: (map-of g)(k  $\mapsto$  v) = (map-of ((k, v) # g))*  
**by** *simp*

**lemma** *[code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) # g)))*  
**proof** (*cases fst k = NoNode*)  
**case** *True*  
**then show** *?thesis*  
**by** (*metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq no-node.simps replace-node.rep-eq snd-conv*)  
**next**  
**case** *False*  
**then show** *?thesis unfolding irgraph-def replace-node-def no-node.simps*  
**by** (*smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2) id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims replace-node.abs-eq replace-node-def snd-eqD*)  
**qed**

**lemma** *[code]: add-node nid k (irgraph g) = (irgraph (((nid, k) # g)))*  
**by** (*smt (z3) Rep-IRGraph-inject add-node.rep-eq filter.simps(2) irgraph.rep-eq map-of-upd no-node.simps snd-conv*)

**lemma** *add-node-lookup:*  
*gup = add-node nid (k, s) g  $\longrightarrow$*   
*(if k  $\neq$  NoNode then kind gup nid = k  $\wedge$  stamp gup nid = s else kind gup nid = kind g nid)*  
**proof** (*cases k = NoNode*)  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: add-node.rep-eq kind.rep-eq*)

```

next
  case False
  then show ?thesis
  by (simp add: kind.rep-eq add-node.rep-eq stamp.rep-eq)
qed

lemma remove-node-lookup:
   $gup = \text{remove-node } nid \ g \longrightarrow \text{kind } gup \ nid = \text{NoNode} \wedge \text{stamp } gup \ nid = \text{IllegalStamp}$ 
  by (simp add: kind.rep-eq remove-node.rep-eq stamp.rep-eq)

lemma replace-node-lookup[simp]:
   $gup = \text{replace-node } nid \ (k, s) \ g \wedge k \neq \text{NoNode} \longrightarrow \text{kind } gup \ nid = k \wedge \text{stamp } gup \ nid = s$ 
  by (simp add: replace-node.rep-eq kind.rep-eq stamp.rep-eq)

lemma replace-node-unchanged:
   $gup = \text{replace-node } nid \ (k, s) \ g \longrightarrow (\forall n \in (\text{ids } g - \{nid\}) . n \in \text{ids } g \wedge n \in \text{ids } gup \wedge \text{kind } g \ n = \text{kind } gup \ n)$ 
  by (simp add: kind.rep-eq replace-node.rep-eq)



#### 4.0.1 Example Graphs



Example 1: empty graph (just a start and end node)



definition start-end-graph:: IRGraph where



```

  start-end-graph = irgraph [(0, StartNode None 1, VoidStamp), (1, ReturnNode
    None None, VoidStamp)]

```



Example 2: public static int sq(int x) return x * x;



```

[1 P(0)] / [0 Start] [4 *] | / V / [5 Return]

```



definition eg2-sq:: IRGraph where



```

eg2-sq = irgraph [
  (0, StartNode None 5, VoidStamp),
  (1, ParameterNode 0, default-stamp),
  (4, MulNode 1 1, default-stamp),
  (5, ReturnNode (Some 4) None, default-stamp)
]

```



value input-edges eg2-sq



value usages eg2-sq 1



end


```



## 5 Data-flow Semantics

```

theory IREval
  imports
    Graph.IRGraph
begin

```

We define the semantics of data-flow nodes as big-step operational semantics. Data-flow nodes are evaluated in the context of the *IRGraph* and a method state (currently called *MapState* in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculated during the traversal of the control flow graph. As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated part of the control-flow as the data-flow is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```

datatype MapState =

```

```

  MapState
    (m-values: ID  $\Rightarrow$  Value)
    (m-params: Value list)

```

```

definition new-map-state :: MapState where
  new-map-state = MapState ( $\lambda x.$  UndefVal) []

```

```

fun m-val :: MapState  $\Rightarrow$  ID  $\Rightarrow$  Value where
  m-val m nid = (m-values m) nid

```

```

fun m-set :: ID  $\Rightarrow$  Value  $\Rightarrow$  MapState  $\Rightarrow$  MapState where
  m-set nid v (MapState m p) = MapState (m(nid := v)) p

```

```

fun m-param :: IRGraph  $\Rightarrow$  MapState  $\Rightarrow$  ID  $\Rightarrow$  Value where
  m-param g m nid = (case (kind g nid) of
    (ParameterNode i)  $\Rightarrow$  (m-params m)!i |
    -  $\Rightarrow$  UndefVal)

```

```

fun set-params :: MapState  $\Rightarrow$  Value list  $\Rightarrow$  MapState where
  set-params (MapState m -) vs = MapState m vs

```

```

fun new-map :: Value list  $\Rightarrow$  MapState where
  new-map ps = set-params new-map-state ps

```

```

fun val-to-bool :: Value ⇒ bool where
  val-to-bool (IntVal bits val) = (if val = 0 then False else True) |
  val-to-bool v = False

fun bool-to-val :: bool ⇒ Value where
  bool-to-val True = (IntVal 1 1) |
  bool-to-val False = (IntVal 1 0)

fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g nid =
    (filter (λx.(is-PhiNode (kind g x)))
     (sorted-list-of-set (usages g nid)))

fun input-index :: IRGraph ⇒ ID ⇒ ID ⇒ nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))

fun phi-inputs :: IRGraph ⇒ nat ⇒ ID list ⇒ ID list where
  phi-inputs g i nodes = (map (λn. (inputs-of (kind g n))!(i + 1)) nodes)

fun set-phis :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phis [] [] m = m |
  set-phis (nid # xs) (v # vs) m = (set-phis xs vs (m-set nid v m)) |
  set-phis [] (v # vs) m = m |
  set-phis (x # xs) [] m = m

inductive
  eval :: IRGraph ⇒ MapState ⇒ IRNode ⇒ Value ⇒ bool (- - ⊢ - ↦ - 55)
  for g where

    ConstantNode:
    g m ⊢ (ConstantNode c) ↦ c |

    ParameterNode:
    g m ⊢ (ParameterNode i) ↦ (m-params m)!i |

    ValuePhiNode:
    g m ⊢ (ValuePhiNode nid -) ↦ m-val m nid |

    ValueProxyNode:
    [g m ⊢ (kind g c) ↦ val]
    ⇒ g m ⊢ (ValueProxyNode c -) ↦ val |

```

— Unary arithmetic operators

*AbsNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v \rrbracket \\ & \implies g \ m \vdash (\text{AbsNode } x) \mapsto \text{if } v < 0 \text{ then } (\text{intval-sub } (\text{IntVal } b \ 0) \ (\text{IntVal } b \ v)) \\ & \text{else } (\text{IntVal } b \ v) \mid \end{aligned}$$

*NegateNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v \rrbracket \\ & \implies g \ m \vdash (\text{NegateNode } x) \mapsto (\text{IntVal } (v\text{-bits } v) \ 0) - v \mid \end{aligned}$$

*NotNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{val}; \\ & \quad \text{not-val} = (\neg(\text{val-to-bool } \text{val})) \rrbracket \\ & \implies g \ m \vdash (\text{NotNode } x) \mapsto \text{bool-to-val not-val} \mid \end{aligned}$$

— Binary arithmetic operators

*AddNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{AddNode } x \ y) \mapsto v1 + v2 \mid \end{aligned}$$

*SubNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{SubNode } x \ y) \mapsto v1 - v2 \mid \end{aligned}$$

*MulNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{MulNode } x \ y) \mapsto v1 * v2 \mid \end{aligned}$$

*SignedDivNode:*

$$g \ m \vdash (\text{SignedDivNode } \text{nid} \ - \ - \ - \ -) \mapsto m\text{-val } m \ \text{nid} \mid$$

*SignedRemNode:*

$$g \ m \vdash (\text{SignedRemNode } \text{nid} \ - \ - \ - \ -) \mapsto m\text{-val } m \ \text{nid} \mid$$

— Binary logical bitwise operators

*AndNode:*

$$\begin{aligned} & \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\ & \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\ & \implies g \ m \vdash (\text{AndNode } x \ y) \mapsto \text{intval-and } v1 \ v2 \mid \end{aligned}$$

*OrNode:*

$$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1;$$

$$\begin{aligned}
& g \ m \vdash (\text{kind } g \ y) \mapsto v2 \\
& \implies g \ m \vdash (\text{OrNode } x \ y) \mapsto \text{intval-or } v1 \ v2 \mid
\end{aligned}$$

*XorNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto v2 \rrbracket \\
& \implies g \ m \vdash (\text{XorNode } x \ y) \mapsto \text{intval-xor } v1 \ v2 \mid
\end{aligned}$$

— Comparison operators

*IntegerEqualsNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{bool-to-val}(v1 = v2) \rrbracket \\
& \implies g \ m \vdash (\text{IntegerEqualsNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

*IntegerLessThanNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{bool-to-val}(v1 < v2) \rrbracket \\
& \implies g \ m \vdash (\text{IntegerLessThanNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

*IsNullNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ \text{obj}) \mapsto \text{ObjRef } \text{ref}; \\
& \quad \text{val} = \text{bool-to-val}(\text{ref} = \text{None}) \rrbracket \\
& \implies g \ m \vdash (\text{IsNullNode } \text{obj}) \mapsto \text{val} \mid
\end{aligned}$$

— Other nodes

*ConditionalNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ \text{condition}) \mapsto \text{IntVal } 1 \ \text{cond}; \\
& \quad g \ m \vdash (\text{kind } g \ \text{trueExp}) \mapsto \text{IntVal } b \ \text{trueVal}; \\
& \quad g \ m \vdash (\text{kind } g \ \text{falseExp}) \mapsto \text{IntVal } b \ \text{falseVal}; \\
& \quad \text{val} = \text{IntVal } b \ (\text{if } \text{cond} \neq 0 \text{ then } \text{trueVal} \text{ else } \text{falseVal}) \rrbracket \\
& \implies g \ m \vdash (\text{ConditionalNode } \text{condition } \text{trueExp } \text{falseExp}) \mapsto \text{val} \mid
\end{aligned}$$

*ShortCircuitOrNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ v1; \\
& \quad g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ v2; \\
& \quad \text{val} = \text{IntVal } b \ (\text{if } v1 \neq 0 \text{ then } v1 \text{ else } v2) \rrbracket \\
& \implies g \ m \vdash (\text{ShortCircuitOrNode } x \ y) \mapsto \text{val} \mid
\end{aligned}$$

*LogicNegationNode:*

$$\begin{aligned}
& \llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } 1 \ v1; \\
& \quad \text{val} = \text{IntVal } 1 \ (\text{NOT } v1) \rrbracket \\
& \implies g \ m \vdash (\text{LogicNegationNode } x) \mapsto \text{val} \mid
\end{aligned}$$

*InvokeNodeEval:*

$g \ m \vdash (\text{InvokeNode } nid \ - \ - \ - \ -) \mapsto m\text{-val } m \ nid \mid$

*InvokeWithExceptionNodeEval:*

$g \ m \vdash (\text{InvokeWithExceptionNode } nid \ - \ - \ - \ - \ -) \mapsto m\text{-val } m \ nid \mid$

*NewInstanceNode:*

$g \ m \vdash (\text{NewInstanceNode } nid \ - \ -) \mapsto m\text{-val } m \ nid \mid$

*LoadFieldNode:*

$g \ m \vdash (\text{LoadFieldNode } nid \ - \ -) \mapsto m\text{-val } m \ nid \mid$

*PiNode:*

$\llbracket g \ m \vdash (\text{kind } g \ \text{object}) \mapsto \text{val} \rrbracket$   
 $\implies g \ m \vdash (\text{PiNode } \text{object } \text{guard}) \mapsto \text{val} \mid$

*RefNode:*

$\llbracket g \ m \vdash (\text{kind } g \ x) \mapsto \text{val} \rrbracket$   
 $\implies g \ m \vdash (\text{RefNode } x) \mapsto \text{val}$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *evalE*) *eval* .

The step semantics for phi nodes requires all the input nodes of the phi node to be evaluated to a value at the same time.

We introduce the *eval-all* relation to handle the evaluation of a list of node identifiers in parallel. As the evaluation semantics are side-effect free this is trivial.

**inductive**

*eval-all* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *ID list*  $\Rightarrow$  *Value list*  $\Rightarrow$  *bool*  
 ( $- \vdash - \longmapsto -$  55)

**for** *g* **where**

*Base:*

$g \ m \vdash [] \longmapsto [] \mid$

*Transitive:*

$\llbracket g \ m \vdash (\text{kind } g \ nid) \mapsto v;$   
 $g \ m \vdash xs \longmapsto vs \rrbracket$   
 $\implies g \ m \vdash (nid \ \# \ xs) \longmapsto (v \ \# \ vs)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *eval-allE*) *eval-all* .

**inductive** *eval-graph* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value list*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*

**where**

$\llbracket \text{state} = \text{new-map } ps;$   
 $g \ \text{state} \vdash (\text{kind } g \ nid) \mapsto \text{val} \rrbracket$

```

     $\implies$  eval-graph g nid ps val

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) eval-graph .

values {v. eval-graph eg2-sq 4 [IntVal 32 5] v}

fun has-control-flow :: IRNode  $\Rightarrow$  bool where
  has-control-flow n = (is-AbstractEndNode n
     $\vee$  (length (successors-of n) > 0))

definition control-nodes :: IRNode set where
  control-nodes = {n . has-control-flow n}

fun is-floating-node :: IRNode  $\Rightarrow$  bool where
  is-floating-node n = ( $\neg$ (has-control-flow n))

definition floating-nodes :: IRNode set where
  floating-nodes = {n . is-floating-node n}

lemma is-floating-node n  $\longleftrightarrow$   $\neg$ (has-control-flow n)
by simp

lemma  $n \in \text{control-nodes} \longleftrightarrow n \notin \text{floating-nodes}$ 
by (simp add: control-nodes-def floating-nodes-def)

```

Here we show that using the elimination rules for eval we can prove 'inverted rule' properties

```

lemma evalAddNode :  $g \ m \vdash (\text{AddNode } x \ y) \mapsto \text{val} \implies$ 
  ( $\exists \ v1. (g \ m \vdash (\text{kind } g \ x) \mapsto v1) \wedge$ 
    ( $\exists \ v2. (g \ m \vdash (\text{kind } g \ y) \mapsto v2) \wedge$ 
       $\text{val} = \text{intval-add } v1 \ v2$ ))
using AddNodeE plus-Value-def by metis

lemma not-floating: ( $\exists \ y \ ys. (\text{successors-of } n) = y \ \# \ ys$ )  $\longrightarrow \neg(\text{is-floating-node } n)$ 
unfolding is-floating-node.simps
by (induct n; simp add: neq-Nil-conv)

```

We show that within the context of a graph and method state, the same node will always evaluate to the same value and the semantics is therefore deterministic.

```

theorem evalDet:
  ( $g \ m \vdash \text{node} \mapsto \text{val1}$ )  $\implies$ 
  ( $\forall \ \text{val2}. ((g \ m \vdash \text{node} \mapsto \text{val2}) \longrightarrow \text{val1} = \text{val2})$ )
apply (induction rule: eval.induct)
by (rule allI; rule impI; elim EvalE; auto)+

```

```

theorem evalAllDet:
  (g m ⊢ nodes ↦ vals1) ⇒
  (∀ vals2. ((g m ⊢ nodes ↦ vals2) ⇒ vals1 = vals2))
apply (induction rule: eval-all.induct)
using eval-all.cases apply blast
by (metis evalDet eval-all.cases list.discI list.inject)

end

```

## 6 Control-flow Semantics

```

theory IRStepObj
  imports
    IREval
begin

```

### 6.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See *\cite{heap-reps-2011}*. We also introduce the DynamicHeap type which allocates new object references sequentially storing the next free object reference as 'Free'.

```

type-synonym ('a, 'b) Heap = 'a ⇒ 'b ⇒ Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap × Free

fun h-load-field :: 'a ⇒ 'b ⇒ ('a, 'b) DynamicHeap ⇒ Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a ⇒ 'b ⇒ Value ⇒ ('a, 'b) DynamicHeap ⇒ ('a, 'b) DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap ⇒ ('a, 'b) DynamicHeap × Value where
  h-new-inst (h, n) = ((h, n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap

definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = ((λf. λp. UndefVal), 0)

```

### 6.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple,  $(ID, \text{MethodState}, \text{Heap})$ , is related to the subsequent configuration.

**inductive**  $\text{step} :: \text{IRGraph} \Rightarrow (ID \times \text{MapState} \times \text{FieldRefHeap}) \Rightarrow (ID \times \text{MapState} \times \text{FieldRefHeap}) \Rightarrow \text{bool}$   
 $(- \vdash - \rightarrow - \ 55)$  **for**  $g$  **where**

*SequentialNode:*

$\llbracket \text{is-sequential-node } (\text{kind } g \text{ nid});$   
 $\text{nid}' = (\text{successors-of } (\text{kind } g \text{ nid}))!0 \rrbracket$   
 $\implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \mid$

*IfNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{IfNode cond tb fb});$   
 $g \vdash (\text{kind } g \text{ cond}) \mapsto \text{val};$   
 $\text{nid}' = (\text{if val-to-bool val then tb else fb}) \rrbracket$   
 $\implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \mid$

*EndNodes:*

$\llbracket \text{is-AbstractEndNode } (\text{kind } g \text{ nid});$   
 $\text{merge} = \text{any-usage } g \text{ nid};$   
 $\text{is-AbstractMergeNode } (\text{kind } g \text{ merge});$   
 $i = \text{find-index nid } (\text{inputs-of } (\text{kind } g \text{ merge}));$   
 $\text{phis} = (\text{phi-list } g \text{ merge});$   
 $\text{inps} = (\text{phi-inputs } g \text{ i phis});$   
 $g \vdash \text{inps} \mapsto \text{vs};$

$m' = \text{set-phis phis vs } m \rrbracket$   
 $\implies g \vdash (\text{nid}, m, h) \rightarrow (\text{merge}, m', h) \mid$

*NewInstanceNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{NewInstanceNode nid f obj nid}');$   
 $(h', \text{ref}) = \text{h-new-inst } h;$   
 $m' = \text{m-set nid ref } m \rrbracket$   
 $\implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid$

*LoadFieldNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{LoadFieldNode nid f } (\text{Some obj}) \text{ nid}');$   
 $g \vdash (\text{kind } g \text{ obj}) \mapsto \text{ObjRef ref};$   
 $\text{h-load-field f ref } h = v;$   
 $m' = \text{m-set nid v } m \rrbracket$   
 $\implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid$

*SignedDivNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{SignedDivNode nid x y zero sb nxt});$   
 $g \vdash (\text{kind } g \text{ x}) \mapsto v1;$   
 $g \vdash (\text{kind } g \text{ y}) \mapsto v2;$   
 $v = (\text{intval-div } v1 \text{ } v2);$   
 $m' = \text{m-set nid v } m \rrbracket$



$$\Longrightarrow g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$$

*SignedRemNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt); \\ & \quad g\ m \vdash (kind\ g\ x) \mapsto v1; \\ & \quad g\ m \vdash (kind\ g\ y) \mapsto v2; \\ & \quad v = (intval\text{-}mod\ v1\ v2); \\ & \quad m' = m\text{-}set\ nid\ v\ m \rrbracket \\ & \Longrightarrow g \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid \end{aligned}$$

*StaticLoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid'); \\ & \quad h\text{-}load\text{-}field\ f\ None\ h = v; \\ & \quad m' = m\text{-}set\ nid\ v\ m \rrbracket \\ & \Longrightarrow g \vdash (nid, m, h) \rightarrow (nid', m', h) \mid \end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - (Some\ obj)\ nid'); \\ & \quad g\ m \vdash (kind\ g\ newval) \mapsto val; \\ & \quad g\ m \vdash (kind\ g\ obj) \mapsto ObjRef\ ref; \\ & \quad h' = h\text{-}store\text{-}field\ f\ ref\ val\ h; \\ & \quad m' = m\text{-}set\ nid\ val\ m \rrbracket \\ & \Longrightarrow g \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - None\ nid'); \\ & \quad g\ m \vdash (kind\ g\ newval) \mapsto val; \\ & \quad h' = h\text{-}store\text{-}field\ f\ None\ val\ h; \\ & \quad m' = m\text{-}set\ nid\ val\ m \rrbracket \\ & \Longrightarrow g \vdash (nid, m, h) \rightarrow (nid', m', h') \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool$ ) *step* .

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

**theorem** *stepDet*:

$$\begin{aligned} & (g \vdash (nid, m, h) \rightarrow next) \Longrightarrow \\ & (\forall\ next'. ((g \vdash (nid, m, h) \rightarrow next') \longrightarrow next = next')) \end{aligned}$$

**proof** (*induction rule*: *step.induct*)

**case** (*SequentialNode* *nid* *next* *m* *h*)

**have** *notif*:  $\neg(is\text{-}IfNode\ (kind\ g\ nid))$

**using** *SequentialNode.hyps*(1) *is-sequential-node.simps*

**by** (*metis is-IfNode-def*)

**have** *notend*:  $\neg(is\text{-}AbstractEndNode\ (kind\ g\ nid))$

**using** *SequentialNode.hyps*(1) *is-sequential-node.simps*

**by** (*metis is-AbstractEndNode.simps is-EndNode.elims*(2) *is-LoopEndNode-def*)

**have** *notnew*:  $\neg(is\text{-}NewInstanceNode\ (kind\ g\ nid))$

**using** *SequentialNode.hyps*(1) *is-sequential-node.simps*

```

    by (metis is-NewInstanceNode-def)
  have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
    using SequentialNode.hyps(1) is-sequential-node.simps
    by (metis is-LoadFieldNode-def)
  have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
    using SequentialNode.hyps(1) is-sequential-node.simps
    by (metis is-StoreFieldNode-def)
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
    using SequentialNode.hyps(1) is-sequential-node.simps is-SignedDivNode-def
    is-SignedRemNode-def
    by (metis is-IntegerDivRemNode.simps)
  from notif notend notnew notload notstore notdivrem
  show ?case using SequentialNode.step.cases
    by (smt (verit) IRNode.discI(18) is-IfNode-def is-NewInstanceNode-def is-StoreFieldNode-def
    is-sequential-node.simps(38) is-sequential-node.simps(39) old.prod.inject)
next
case (IfNode nid cond tb fb m val next h)
then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: IfNode.hyps(1))
have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractEndNode.simps
  by (simp add: IfNode.hyps(1))
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractEndNode.simps
  by (simp add: IfNode.hyps(1))
from notseq notend notdivrem show ?case using IfNode.evalDet
  using IRNode.distinct(871) IRNode.distinct(891) IRNode.distinct(909) IRN-
ode.distinct(923)
  by (smt (z3) IRNode.distinct(893) IRNode.distinct(913) IRNode.distinct(927)
  IRNode.distinct(929) IRNode.distinct(933) IRNode.distinct(947) IRNode.inject(11)
  Pair-inject step.simps)
next
case (EndNodes nid merge i phis inputs m vs m' h)
have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps is-sequential-node.simps
  by (metis is-EndNode.elims(2) is-LoopEndNode-def)
have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1)
  by (metis is-AbstractEndNode.elims(1) is-EndNode.simps(12) is-IfNode-def IRN-
ode.distinct-disc(900))
have notref:  $\neg(\text{is-RefNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-sequential-node.simps
  using IRNode.disc(1899) IRNode.distinct(1473) is-AbstractEndNode.simps
  is-EndNode.elims(2) is-LoopEndNode-def is-RefNode-def
  by (metis IRNode.distinct(737) IRNode.distinct-disc(1518))
have notnew:  $\neg(\text{is-NewInstanceNode } (\text{kind } g \text{ nid}))$ 
  using EndNodes.hyps(1) is-AbstractEndNode.simps
  using IRNode.distinct-disc(1442) is-EndNode.simps(29) is-NewInstanceNode-def

```

```

    by (metis IRNode.distinct-disc(1483))
  have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
    using EndNodes.hyps(1) is-AbstractEndNode.simps
    by (metis IRNode.disc(939) is-EndNode.simps(19) is-LoadFieldNode-def)
  have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
    using EndNodes.hyps(1) is-AbstractEndNode.simps
    using IRNode.distinct-disc(1504) is-EndNode.simps(39) is-StoreFieldNode-def
    by fastforce
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
    using EndNodes.hyps(1) is-AbstractEndNode.simps is-SignedDivNode-def is-SignedRemNode-def
    using IRNode.distinct-disc(1498) IRNode.distinct-disc(1500) is-IntegerDivRemNode.simps
    is-EndNode.simps(36) is-EndNode.simps(37)
    by auto
  from notseq notif notref notnew notload notstore notdivrem
  show ?case using EndNodes.evalAllDet
    by (smt (z3) is-IfNode-def is-LoadFieldNode-def is-NewInstanceNode-def is-RefNode-def
    is-StoreFieldNode-def is-SignedDivNode-def is-SignedRemNode-def Pair-inject is-IntegerDivRemNode.elims(3)
    step.cases)
next
case (NewInstanceNode nid f obj nxt h' ref h m' m)
then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notref:  $\neg(\text{is-RefNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractMergeNode.simps
  by (simp add: NewInstanceNode.hyps(1))
from notseq notend notif notref notload notstore notdivrem
show ?case using NewInstanceNode.step.cases
  by (smt (z3) IRNode.discI(11) IRNode.discI(18) IRNode.discI(38) IRNode.distinct(1777)
  IRNode.distinct(1779) IRNode.distinct(1797) IRNode.inject(28) Pair-inject)
next
case (LoadFieldNode nid f obj nxt m ref h v m')
then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  using is-sequential-node.simps is-AbstractMergeNode.simps

```

```

    by (simp add: LoadFieldNode.hyps(1))
  have notend: ¬(is-AbstractEndNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: LoadFieldNode.hyps(1))
  have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: LoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using LoadFieldNode step.cases
    by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
      IRNode.distinct(1353) IRNode.distinct(1367) IRNode.distinct(893) IRNode.inject(18)
      Pair-inject Value.inject(3) evalDet option.distinct(1) option.inject)
next
  case (StaticLoadFieldNode nid f nrt h v m' m)
  then have notseq: ¬(is-sequential-node (kind g nid))
    using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StaticLoadFieldNode.hyps(1))
  have notend: ¬(is-AbstractEndNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: StaticLoadFieldNode.hyps(1))
  have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: StaticLoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using StaticLoadFieldNode step.cases
    by (smt (z3) IRNode.distinct(1333) IRNode.distinct(1347) IRNode.distinct(1349)
      IRNode.distinct(1353) IRNode.distinct(1367) IRNode.distinct(893) IRNode.distinct(1297)
      IRNode.distinct(1315) IRNode.distinct(1329) IRNode.distinct(871) IRNode.inject(18)
      Pair-inject option.discI)
next
  case (StoreFieldNode nid f newval uu obj nrt m val ref h' h m')
  then have notseq: ¬(is-sequential-node (kind g nid))
    using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StoreFieldNode.hyps(1))
  have notend: ¬(is-AbstractEndNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: StoreFieldNode.hyps(1))
  have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
    using is-AbstractEndNode.simps
  by (simp add: StoreFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case using StoreFieldNode step.cases
    by (smt (z3) IRNode.distinct(1353) IRNode.distinct(1783) IRNode.distinct(1965)
      IRNode.distinct(1983) IRNode.distinct(2027) IRNode.distinct(933) IRNode.distinct(1315)
      IRNode.distinct(1725) IRNode.distinct(1937) IRNode.distinct(909) IRNode.inject(38)
      Pair-inject Value.inject(3) evalDet option.distinct(1) option.inject)
next
  case (StaticStoreFieldNode nid f newval uv nrt m val h' h m')
  then have notseq: ¬(is-sequential-node (kind g nid))
    using is-sequential-node.simps is-AbstractMergeNode.simps
  by (simp add: StaticStoreFieldNode.hyps(1))

```

```

have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  using is-AbstractEndNode.simps
  by (simp add: StaticStoreFieldNode.hyps(1))
have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: StaticStoreFieldNode.hyps(1))
from notseq notend notdivrem
show ?case using StoreFieldNode.step.cases
  by (smt (z3) IRNode.distinct(1315) IRNode.distinct(1353) IRNode.distinct(1783)
    IRNode.distinct(1965)
    IRNode.distinct(1983) IRNode.distinct(2027) IRNode.distinct(933) IRN-
    ode.inject(38) IRNode.distinct(1725) Pair-inject StaticStoreFieldNode.hyps(1) Stat-
    icStoreFieldNode.hyps(2) StaticStoreFieldNode.hyps(3) StaticStoreFieldNode.hyps(4)
    evalDet option.discI)
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
    using is-sequential-node.simps is-AbstractMergeNode.simps
    by (simp add: SignedDivNode.hyps(1))
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
    using is-AbstractEndNode.simps
    by (simp add: SignedDivNode.hyps(1))
  from notseq notend
  show ?case using SignedDivNode.step.cases
    by (smt (z3) IRNode.distinct(1347) IRNode.distinct(1777) IRNode.distinct(1961)
      IRNode.distinct(1965) IRNode.distinct(1979) IRNode.distinct(927) IRNode.inject(35)
      Pair-inject evalDet)
next
  case (SignedRemNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
    using is-sequential-node.simps is-AbstractMergeNode.simps
    by (simp add: SignedRemNode.hyps(1))
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
    using is-AbstractEndNode.simps
    by (simp add: SignedRemNode.hyps(1))
  from notseq notend
  show ?case using SignedRemNode.step.cases
    by (smt (z3) IRNode.distinct(1349) IRNode.distinct(1779) IRNode.distinct(1961)
      IRNode.distinct(1983) IRNode.distinct(1997) IRNode.distinct(929) IRNode.inject(36)
      Pair-inject evalDet)
qed

```

**lemma** stepRefNode:

```

 $\llbracket \text{kind } g \text{ nid} = \text{RefNode nid}' \rrbracket \implies g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$ 
by (simp add: SequentialNode)

```

**lemma** IfNodeStepCases:

```

assumes kind g nid = IfNode cond tb fb
assumes g m  $\vdash$  kind g cond  $\mapsto$  v
assumes g  $\vdash$  (nid, m, h)  $\rightarrow$  (nid', m, h)

```

**shows**  $nid' \in \{tb, fb\}$   
**using** *step.IfNode*  
**by** (*metis* *assms*(1) *assms*(2) *assms*(3) *insert-iff* *prod.inject* *stepDet*)

**lemma** *IfNodeSeq*:  
**shows**  $kind\ g\ nid = IfNode\ cond\ tb\ fb \longrightarrow \neg(is\_sequential\_node\ (kind\ g\ nid))$   
**unfolding** *is-sequential-node.simps* **by** *simp*

**lemma** *IfNodeCond*:  
**assumes**  $kind\ g\ nid = IfNode\ cond\ tb\ fb$   
**assumes**  $g \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $\exists v. (g\ m \vdash kind\ g\ cond \mapsto v)$   
**using** *assms*(2,1) **by** (*induct* (*nid,m,h*) (*nid',m,h*) *rule: step.induct; auto*)

**lemma** *step-in-ids*:  
**assumes**  $g \vdash (nid, m, h) \rightarrow (nid', m', h')$   
**shows**  $nid \in ids\ g$   
**using** *assms* **apply** (*induct* (*nid, m, h*) (*nid', m', h'*) *rule: step.induct*)  
**using** *is-sequential-node.simps*(45) *not-in-g*  
**apply** *simp*  
**apply** (*metis is-sequential-node.simps*(46))  
**using** *ids-some* **apply** (*metis IRNode.simps*(990))  
**using** *EndNodes*(1) *is-AbstractEndNode.simps* *is-EndNode.simps*(45) *ids-some*  
**apply** (*metis IRNode.disc*(965))  
**by** *simp+*

### 6.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*  
**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**inductive** *step-top* :: *Program*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  
 $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$  *bool*  
 $(- \vdash - \longrightarrow -\ 55)$   
**for** *p* **where**

*Lift*:  
 $\llbracket g \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$   
 $\implies p \vdash ((g, nid, m) \# stk, h) \longrightarrow ((g, nid', m') \# stk, h') \mid$

*InvokeNodeStep*:  
 $\llbracket is\_Invoke\ (kind\ g\ nid) \rrbracket$

$callTarget = ir\_callTarget\ (kind\ g\ nid);$   
 $kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments);$   
 $Some\ targetGraph = p\ targetMethod;$

$g\ m \vdash arguments \mapsto vs;$   
 $m' = set\_params\ m\ vs$

$$\implies p \vdash ((g, \text{nid}, m) \# \text{stk}, h) \longrightarrow ((\text{targetGraph}, 0, m') \# (g, \text{nid}, m) \# \text{stk}, h) \mid$$

*ReturnNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{ReturnNode } (\text{Some } \text{expr}) \text{ -}) \rrbracket$ ;  
 $g \text{ m} \vdash (\text{kind } g \text{ expr}) \mapsto v$ ;

$$\begin{aligned} c\text{-}m' &= m\text{-set } c\text{-nid } v \text{ c-m}; \\ c\text{-nid}' &= (\text{successors-of } (\text{kind } c\text{-}g \text{ c-nid}))!0 \rrbracket \\ \implies p \vdash ((g, \text{nid}, m) \# (c\text{-}g, c\text{-nid}, c\text{-}m) \# \text{stk}, h) &\longrightarrow ((c\text{-}g, c\text{-nid}', c\text{-}m') \# \text{stk}, h) \mid \end{aligned}$$

*ReturnNodeVoid:*

$\llbracket \text{kind } g \text{ nid} = (\text{ReturnNode } \text{None } \text{-}) \rrbracket$ ;  
 $c\text{-}m' = m\text{-set } c\text{-nid } (\text{ObjRef } (\text{Some } (2048))) \text{ c-m};$

$$\begin{aligned} c\text{-nid}' &= (\text{successors-of } (\text{kind } c\text{-}g \text{ c-nid}))!0 \rrbracket \\ \implies p \vdash ((g, \text{nid}, m) \# (c\text{-}g, c\text{-nid}, c\text{-}m) \# \text{stk}, h) &\longrightarrow ((c\text{-}g, c\text{-nid}', c\text{-}m') \# \text{stk}, h) \mid \end{aligned}$$

*UnwindNode:*

$\llbracket \text{kind } g \text{ nid} = (\text{UnwindNode } \text{exception}) \rrbracket$ ;

$$g \text{ m} \vdash (\text{kind } g \text{ exception}) \mapsto e$$

$$\text{kind } c\text{-}g \text{ c-nid} = (\text{InvokeWithExceptionNode } \text{-----} \text{ exEdge});$$

$$\begin{aligned} c\text{-}m' &= m\text{-set } c\text{-nid } e \text{ c-m} \rrbracket \\ \implies p \vdash ((g, \text{nid}, m) \# (c\text{-}g, c\text{-nid}, c\text{-}m) \# \text{stk}, h) &\longrightarrow ((c\text{-}g, \text{exEdge}, c\text{-}m') \# \text{stk}, h) \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *step-top* .

## 6.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = ((*m-val* *m* 0)  $\neq$  *UndefVal*)

**inductive** *exec* :: *Program*

$$\begin{aligned} &\Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState}) \text{ list} \times \text{FieldRefHeap} \\ &\Rightarrow \text{Trace} \\ &\Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState}) \text{ list} \times \text{FieldRefHeap} \\ &\Rightarrow \text{Trace} \\ &\Rightarrow \text{bool} \end{aligned}$$

$$(- \vdash - \mid - \longrightarrow * - \mid -)$$

**for** *p*

**where**

$$\begin{aligned} \llbracket p \vdash (((g, \text{nid}, m) \# \text{xs}), h) &\longrightarrow (((g', \text{nid}', m') \# \text{ys}), h') \rrbracket; \\ \neg(\text{has-return } m') &; \end{aligned}$$

$$l' = (l \text{ @ } [(g, \text{nid}, m)]);$$

$$\begin{aligned} & \text{exec } p \ ((g', \text{nid}', m') \# \text{ys}), h') \ l' \ \text{next-state } l'' \rceil \\ & \implies \text{exec } p \ ((g, \text{nid}, m) \# \text{xs}), h) \ l \ \text{next-state } l'' \\ & | \\ & \llbracket p \vdash ((g, \text{nid}, m) \# \text{xs}), h) \longrightarrow ((g', \text{nid}', m') \# \text{ys}), h'); \\ & \quad \text{has-return } m'; \\ & l' = (l \ @ \ [(g, \text{nid}, m)]) \\ & \implies \text{exec } p \ ((g, \text{nid}, m) \# \text{xs}), h) \ l \ ((g', \text{nid}', m') \# \text{ys}), h') \ l' \\ \text{code-pred } (\text{modes: } i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool as Exec}) \ \text{exec} . \end{aligned}$$

**inductive** *exec-debug* :: *Program*  

$$\begin{aligned} & \Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState}) \ \text{list} \times \text{FieldRefHeap} \\ & \Rightarrow \text{nat} \\ & \Rightarrow (\text{IRGraph} \times \text{ID} \times \text{MapState}) \ \text{list} \times \text{FieldRefHeap} \\ & \Rightarrow \text{bool} \\ & (-\vdash \longrightarrow *-* -) \\ \text{where} \\ & \llbracket n > 0; \\ & \quad p \vdash s \longrightarrow s'; \\ & \quad \text{exec-debug } p \ s' \ (n - 1) \ s' \rceil \\ & \implies \text{exec-debug } p \ s \ n \ s'' \mid \\ & \llbracket n = 0 \rceil \\ & \implies \text{exec-debug } p \ s \ n \ s \\ \text{code-pred } (\text{modes: } i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}) \ \text{exec-debug} . \end{aligned}$$

### 6.4.1 Heap Testing

**definition** *p3* :: *MapState* **where**  

$$p3 = \text{set-params new-map-state } [\text{IntVal } 32 \ 3]$$

**values**  $\{m\text{-val } (\text{prod.snd } (\text{prod.snd } (\text{hd } (\text{prod.fst } \text{res})))) \ 0$   
 $\mid \text{res. } (\lambda x . \text{Some } \text{eg2-sq}) \vdash [(\text{eg2-sq}, 0, p3), (\text{eg2-sq}, 0, p3)], \text{new-heap}) \rightarrow *2*$   
 $\text{res}\}$

**definition** *field-sq* :: *string* **where**  

$$\text{field-sq} = \text{"sq"}$$

**definition** *eg3-sq* :: *IRGraph* **where**  

$$\begin{aligned} \text{eg3-sq} = \text{irgraph } [ \\ & (0, \text{StartNode None } 4, \text{VoidStamp}), \\ & (1, \text{ParameterNode } 0, \text{default-stamp}), \\ & (3, \text{MulNode } 1 \ 1, \text{default-stamp}), \\ & (4, \text{StoreFieldNode } 4 \ \text{field-sq } 3 \ \text{None None } 5, \text{VoidStamp}), \\ & (5, \text{ReturnNode } (\text{Some } 3) \ \text{None}, \text{default-stamp}) \end{aligned}$$



```

]

values {h-load-field field-sq None (prod.snd res)
  | res. (λx. Some eg3-sq) ⊢ [(eg3-sq, 0, p3), (eg3-sq, 0, p3)], new-heap) →*3*
res}

definition eg4-sq :: IRGraph where
  eg4-sq = irgraph [
    (0, StartNode None 4, VoidStamp),
    (1, ParameterNode 0, default-stamp),
    (3, MulNode 1 1, default-stamp),
    (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
True),
    (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
    (6, ReturnNode (Some 3) None, default-stamp)
  ]

values {h-load-field field-sq (Some 0) (prod.snd res)
  | res. (λx. Some eg4-sq) ⊢ [(eg4-sq, 0, p3), (eg4-sq, 0, p3)], new-heap) →*3*
res}
end

```

## 7 Proof Infrastructure

### 7.1 Bisimulation

```

theory Bisimulation
imports
  Stuttering
begin

```

```

inductive weak-bisimilar :: ID ⇒ IRGraph ⇒ IRGraph ⇒ bool
  (- . - ~ -) for nid where
    [∀ P'. (g m h ⊢ nid ~ P') ⟶ (∃ Q'. (g' m h ⊢ nid ~ Q') ∧ P' = Q');
     ∀ Q'. (g' m h ⊢ nid ~ Q') ⟶ (∃ P'. (g m h ⊢ nid ~ P') ∧ P' = Q')]
    ⟹ nid . g ~ g'

```

A strong bisimulation between no-op transitions

```

inductive strong-noop-bisimilar :: ID ⇒ IRGraph ⇒ IRGraph ⇒ bool
  (- | - ~ -) for nid where
    [∀ P'. (g ⊢ (nid, m, h) → P') ⟶ (∃ Q'. (g' ⊢ (nid, m, h) → Q') ∧ P' = Q');
     ∀ Q'. (g' ⊢ (nid, m, h) → Q') ⟶ (∃ P'. (g ⊢ (nid, m, h) → P') ∧ P' = Q')]
    ⟹ nid | g ~ g'

```

**lemma** lockstep-strong-bisimulation:

```

assumes  $g' = \text{replace-node } nid \text{ node } g$ 
assumes  $g \vdash (nid, m, h) \rightarrow (nid', m, h)$ 
assumes  $g' \vdash (nid, m, h) \rightarrow (nid', m, h)$ 
shows  $nid \mid g \sim g'$ 
using  $\text{assms}(2) \text{ assms}(3) \text{ stepDet strong-noop-bisimilar.simps}$  by  $\text{blast}$ 

lemma no-step-bisimulation:
assumes  $\forall m \ h \ nid' \ m' \ h'. \neg(g \vdash (nid, m, h) \rightarrow (nid', m', h'))$ 
assumes  $\forall m \ h \ nid' \ m' \ h'. \neg(g' \vdash (nid, m, h) \rightarrow (nid', m', h'))$ 
shows  $nid \mid g \sim g'$ 
using  $\text{assms}$ 
by  $(\text{simp add: assms}(1) \text{ assms}(2) \text{ strong-noop-bisimilar.intros})$ 

end

```

## 7.2 Formedness Properties

```

theory Form
imports
  Semantics.IREval
begin

```

**definition** *wf-start* **where**

```

 $\text{wf-start } g = (0 \in \text{ids } g \wedge$ 
 $\text{is-StartNode } (\text{kind } g \ 0))$ 

```

**definition** *wf-closed* **where**

```

 $\text{wf-closed } g =$ 
 $(\forall n \in \text{ids } g .$ 
 $\text{inputs } g \ n \subseteq \text{ids } g \wedge$ 
 $\text{succ } g \ n \subseteq \text{ids } g \wedge$ 
 $\text{kind } g \ n \neq \text{NoNode})$ 

```

**definition** *wf-phs* **where**

```

 $\text{wf-phs } g =$ 
 $(\forall n \in \text{ids } g .$ 
 $\text{is-PhiNode } (\text{kind } g \ n) \longrightarrow$ 
 $\text{length } (\text{ir-values } (\text{kind } g \ n))$ 
 $= \text{length } (\text{ir-ends}$ 
 $\text{ (kind } g \ (\text{ir-merge } (\text{kind } g \ n))))$ 

```

**definition** *wf-ends* **where**

```

 $\text{wf-ends } g =$ 
 $(\forall n \in \text{ids } g .$ 
 $\text{is-AbstractEndNode } (\text{kind } g \ n) \longrightarrow$ 
 $\text{card } (\text{usages } g \ n) > 0)$ 

```

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

```

 $\text{wf-graph } g = (\text{wf-start } g \wedge \text{wf-closed } g \wedge \text{wf-phs } g \wedge \text{wf-ends } g)$ 

```

**lemmas** *wf-folds* =

*wf-graph.simps*  
*wf-start-def*  
*wf-closed-def*  
*wf-phis-def*  
*wf-ends-def*

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*wf-stamps* *g* = ( $\forall$  *n*  $\in$  *ids* *g* .  
 $(\forall$  *v m* . (*g m*  $\vdash$  (*kind* *g n*)  $\mapsto$  *v*)  $\longrightarrow$  *valid-value* (*stamp* *g n*) *v*))

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

*wf-stamp* *g s* = ( $\forall$  *n*  $\in$  *ids* *g* .  
 $(\forall$  *v m* . (*g m*  $\vdash$  (*kind* *g n*)  $\mapsto$  *v*)  $\longrightarrow$  *valid-value* (*s n*) *v*))

**lemma** *wf-empty*: *wf-graph start-end-graph*

**unfolding** *start-end-graph-def wf-folds* **by** *simp*

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

**unfolding** *eg2-sq-def wf-folds* **by** *simp*

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*wf-values* *g* = ( $\forall$  *n*  $\in$  *ids* *g* .  
 $(\forall$  *v m* . (*g m*  $\vdash$  *kind* *g n*  $\mapsto$  *v*)  $\longrightarrow$  *wf-value* *v*))

**lemma** *wf-value-range*:

$b > 1 \wedge b \in \text{int-bits-allowed} \longrightarrow \{v. \text{wf-value } (\text{IntVal } b \ v)\} = \{v. ((-(2^{b-1})) \leq v) \wedge (v < (2^{b-1}))\}$

**unfolding** *wf-value.simps*

**by** *auto*

**lemma** *wf-value-bit-range*:

$b = 1 \longrightarrow \{v. \text{wf-value } (\text{IntVal } b \ v)\} = \{\}$

**unfolding** *wf-value.simps*

**by** (*simp add: int-bits-allowed-def*)

**end**

### 7.3 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an *IRGraph* can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

```

imports
  Form
  Semantics.IREval
begin

fun unchanged :: ID set  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool where
  unchanged ns g1 g2 = ( $\forall$  n . n  $\in$  ns  $\longrightarrow$ 
    (n  $\in$  ids g1  $\wedge$  n  $\in$  ids g2  $\wedge$  kind g1 n = kind g2 n))

fun changeonly :: ID set  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool where
  changeonly ns g1 g2 = ( $\forall$  n . n  $\in$  ids g1  $\wedge$  n  $\notin$  ns  $\longrightarrow$ 
    (n  $\in$  ids g1  $\wedge$  n  $\in$  ids g2  $\wedge$  kind g1 n = kind g2 n))

lemma node-unchanged:
  assumes unchanged ns g1 g2
  assumes nid  $\in$  ns
  shows kind g1 nid = kind g2 nid
  using assms by auto

lemma other-node-unchanged:
  assumes changeonly ns g1 g2
  assumes nid  $\in$  ids g1
  assumes nid  $\notin$  ns
  shows kind g1 nid = kind g2 nid
  using assms
  using changeonly.simps by blast

Some notation for input nodes used

inductive eval-uses:: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  bool
for g where

  use0: nid  $\in$  ids g
     $\implies$  eval-uses g nid nid |

  use-inp: nid'  $\in$  inputs g n
     $\implies$  eval-uses g nid nid' |

  use-trans:  $\llbracket$ eval-uses g nid nid';
    eval-uses g nid' nid'' $\rrbracket$ 
     $\implies$  eval-uses g nid nid''

fun eval-usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  eval-usages g nid = {n  $\in$  ids g . eval-uses g nid n}

lemma eval-usages-self:
  assumes nid  $\in$  ids g
  shows nid  $\in$  eval-usages g nid

```

```

using assms eval-usages.simps eval-uses.intros(1)
by (simp add: ids.rep-eq)

lemma not-in-g-inputs:
  assumes  $nid \notin ids\ g$ 
  shows  $inputs\ g\ nid = \{\}$ 
proof –
  have  $k: kind\ g\ nid = NoNode$  using assms not-in-g by blast
  then show ?thesis by (simp add: k)
qed

lemma child-member:
  assumes  $n = kind\ g\ nid$ 
  assumes  $n \neq NoNode$ 
  assumes  $List.member\ (inputs-of\ n)\ child$ 
  shows  $child \in inputs\ g\ nid$ 
  unfolding inputs.simps using assms
  by (metis in-set-member)

lemma child-member-in:
  assumes  $nid \in ids\ g$ 
  assumes  $List.member\ (inputs-of\ (kind\ g\ nid))\ child$ 
  shows  $child \in inputs\ g\ nid$ 
  unfolding inputs.simps using assms
  by (metis child-member ids-some inputs.elims)

lemma inp-in-g:
  assumes  $n \in inputs\ g\ nid$ 
  shows  $nid \in ids\ g$ 
proof –
  have  $inputs\ g\ nid \neq \{\}$ 
  using assms
  by (metis empty-iff empty-set)
  then have  $kind\ g\ nid \neq NoNode$ 
  using not-in-g-inputs
  using ids-some by blast
  then show ?thesis
  using not-in-g
  by metis
qed

lemma inp-in-g-wf:
  assumes wf-graph g
  assumes  $n \in inputs\ g\ nid$ 
  shows  $n \in ids\ g$ 
  using assms unfolding wf-folds
  using inp-in-g by blast

```

```

lemma kind-unchanged:
  assumes  $nid \in ids\ g1$ 
  assumes unchanged (eval-usages  $g1\ nid$ )  $g1\ g2$ 
  shows  $kind\ g1\ nid = kind\ g2\ nid$ 
proof –
  show ?thesis
  using assms eval-usages-self
  using unchanged.simps by blast
qed

lemma child-unchanged:
  assumes  $child \in inputs\ g1\ nid$ 
  assumes unchanged (eval-usages  $g1\ nid$ )  $g1\ g2$ 
  shows unchanged (eval-usages  $g1\ child$ )  $g1\ g2$ 
  by (smt assms(1) assms(2) eval-usages.simps mem-Collect-eq
    unchanged.simps use-inp use-trans)

lemma eval-usages:
  assumes  $us = eval-usages\ g\ nid$ 
  assumes  $nid' \in ids\ g$ 
  shows eval-uses  $g\ nid\ nid' \longleftrightarrow nid' \in us$  (is ? $P \longleftrightarrow ?Q$ )
  using assms eval-usages.simps
  by (simp add: ids.rep-eq)

lemma inputs-are-uses:
  assumes  $nid' \in inputs\ g\ nid$ 
  shows eval-uses  $g\ nid\ nid'$ 
  by (metis assms use-inp)

lemma inputs-are-usages:
  assumes  $nid' \in inputs\ g\ nid$ 
  assumes  $nid' \in ids\ g$ 
  shows  $nid' \in eval-usages\ g\ nid$ 
  using assms(1) assms(2) eval-usages inputs-are-uses by blast

lemma usage-includes-inputs:
  assumes  $us = eval-usages\ g\ nid$ 
  assumes  $ls = inputs\ g\ nid$ 
  assumes  $ls \subseteq ids\ g$ 
  shows  $ls \subseteq us$ 
  using inputs-are-usages eval-usages
  using assms(1) assms(2) assms(3) by blast

lemma elim-inp-set:
  assumes  $k = kind\ g\ nid$ 
  assumes  $k \neq NoNode$ 
  assumes  $child \in set\ (inputs-of\ k)$ 

```

```

shows  $child \in inputs\ g\ nid$ 
using assms by auto

lemma eval-in-ids:
assumes  $g\ m \vdash (kind\ g\ nid) \mapsto v$ 
shows  $nid \in ids\ g$ 
using assms by (cases kind g nid = NoNode; auto)

theorem stay-same:
assumes nc: unchanged (eval-usages g1 nid)  $g1\ g2$ 
assumes  $g1: g1\ m \vdash (kind\ g1\ nid) \mapsto v1$ 
assumes wf: wf-graph g1
shows  $g2\ m \vdash (kind\ g2\ nid) \mapsto v1$ 
proof –
  have nid:  $nid \in ids\ g1$ 
    using  $g1\ eval-in-ids$  by simp
  then have  $nid \in eval-usages\ g1\ nid$ 
    using eval-usages-self by blast
  then have kind-same:  $kind\ g1\ nid = kind\ g2\ nid$ 
    using nc node-unchanged by blast
  show ?thesis using  $g1\ nid\ nc$ 
  proof (induct m (kind g1 nid) v1 arbitrary: nid rule: eval.induct)
    print-cases
    case const: (ConstantNode m c)
      then have  $(kind\ g2\ nid) = ConstantNode\ c$ 
        using kind-unchanged by metis
      then show ?case using eval.ConstantNode const.hyps(1) by metis
    next
      case param: (ParameterNode val m i)
        show ?case
        by (metis eval.ParameterNode kind-unchanged param.hyps(1) param.prem(1)
param.prem(2))
    next
      case (ValuePhiNode val nida ux uy)
        then have kind:  $(kind\ g2\ nid) = ValuePhiNode\ nida\ ux\ uy$ 
          using kind-unchanged by metis
        then show ?case
          using eval.ValuePhiNode kind ValuePhiNode.hyps(1) by metis
    next
      case (ValueProxyNode m child val - nid)
        from ValueProxyNode.prem(1) ValueProxyNode.hyps(3)
        have inp-in:  $child \in inputs\ g1\ nid$ 
          using child-member-in inputs-of-ValueProxyNode
          by (metis member-rec(1))
        then have cin:  $child \in ids\ g1$ 
          using wf inp-in-g-wf by blast
        from inp-in have unc: unchanged (eval-usages g1 child)  $g1\ g2$ 
          using child-unchanged ValueProxyNode.prem(2) by metis

```

```

then have g2 m ⊢ (kind g2 child) ↦ val
  using ValueProxyNode.hyps(2) cin
  by blast
then show ?case
  by (metis ValueProxyNode.hyps(3) ValueProxyNode.prem(1) ValueProxyNode.prem(2) eval.ValueProxyNode kind-unchanged)
next
case (AbsNode m x b v -)
then have unchanged (eval-usages g1 x) g1 g2
by (metis child-unchanged elim-inp-set ids-some inputs-of.simps(1) list.set-intros(1))
then have g2 m ⊢ (kind g2 x) ↦ IntVal b v
  using AbsNode.hyps(1) AbsNode.hyps(2) not-in-g
  by (metis AbsNode.hyps(3) AbsNode.prem(1) elim-inp-set ids-some inp-in-g-wf inputs-of.simps(1) list.set-intros(1) wf)
then show ?case
  by (metis AbsNode.hyps(3) AbsNode.prem(1) AbsNode.prem(2) eval.AbsNode kind-unchanged)
next
case Node: (NegateNode m x v -)
from inputs-of-NegateNode Node.hyps(3) Node.prem(1)
have xin: x ∈ inputs g1 nid
  using child-member-in by (metis member-rec(1))
then have xin: x ∈ ids g1
  using wf inp-in-g-wf by blast
from xin child-unchanged Node.prem(2)
have ux: unchanged (eval-usages g1 x) g1 g2 by blast
have x1:g1 m ⊢ (kind g1 x) ↦ v
  using Node.hyps(1) Node.hyps(2)
  by blast
have x2: g2 m ⊢ (kind g2 x) ↦ v
  using kind-unchanged ux xin Node.hyps
  by blast
then show ?case
  using kind-same Node.hyps(1,3) eval.NegateNode
  by (metis Node.prem(1) Node.prem(2) kind-unchanged ux xin)
next
case node:(AddNode m x v1 y v2)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-unchanged inputs.simps inputs-of-AddNode list.set-intros(1))
then have x: g1 m ⊢ (kind g1 x) ↦ v1
  using node.hyps(1) by blast
have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis IRNodes.inputs-of-AddNode child-member-in child-unchanged member-rec(1) node.hyps(5) node.prem(1) node.prem(2))
have y: g1 m ⊢ (kind g1 y) ↦ v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.prem ux x uy y
  by (metis AddNode inputs.simps inp-in-g-wf inputs-of-AddNode kind-unchanged

```



```

list.set-intros(1) set-subset-Cons subset-iff wf)
next
  case node:(SubNode m x v1 y v2)
  then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
  then have x: g1 m ⊢ (kind g1 x) ↦ v1
    using node.hyps(1) by blast
  from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-SubNode member-rec(1))
  have y: g1 m ⊢ (kind g1 y) ↦ v2
    using node.hyps(3) by blast
  show ?case
    using node.hyps node.premis ux x uy y
    by (metis SubNode inputs.simps inputs-of-SubNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
next
  case node:(MulNode m x v1 y v2)
  then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
  then have x: g1 m ⊢ (kind g1 x) ↦ v1
    using node.hyps(1) by blast
  from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-MulNode member-rec(1))
  have y: g1 m ⊢ (kind g1 y) ↦ v2
    using node.hyps(3) by blast
  show ?case
    using node.hyps node.premis ux x uy y
    by (metis MulNode inputs.simps inputs-of-MulNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
next
  case node:(AndNode m x v1 y v2)
  then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
  then have x: g1 m ⊢ (kind g1 x) ↦ v1
    using node.hyps(1) by blast
  from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-AndNode member-rec(1))
  have y: g1 m ⊢ (kind g1 y) ↦ v2
    using node.hyps(3) by blast
  show ?case
    using node.hyps node.premis ux x uy y
    by (metis AndNode inputs.simps inputs-of-AndNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
next
  case node:(OrNode m x v1 y v2)
  then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
  then have x: g1 m ⊢ (kind g1 x) ↦ v1
    using node.hyps(1) by blast

```

```

from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-OrNode member-rec(1))
have y: g1 m  $\vdash$  (kind g1 y)  $\mapsto$  v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.premys ux x uy y
  by (metis OrNode inputs.simps inputs-of-OrNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
next
  case node: (XorNode m x v1 y v2)
  then have ux: unchanged (eval-usages g1 x) g1 g2
    by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
  then have x: g1 m  $\vdash$  (kind g1 x)  $\mapsto$  v1
    using node.hyps(1) by blast
  from node have uy: unchanged (eval-usages g1 y) g1 g2
    by (metis child-member-in child-unchanged inputs-of-XorNode member-rec(1))
  have y: g1 m  $\vdash$  (kind g1 y)  $\mapsto$  v2
    using node.hyps(3) by blast
  show ?case
    using node.hyps node.premys ux x uy y
    by (metis XorNode inputs.simps inputs-of-XorNode kind-unchanged list.set-intros(1)
set-subset-Cons subsetD wf wf-folds(1,3))
  next
    case node: (IntegerEqualsNode m x b v1 y v2 val)
    then have ux: unchanged (eval-usages g1 x) g1 g2
      by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode member-rec(1))
    then have x: g1 m  $\vdash$  (kind g1 x)  $\mapsto$  IntVal b v1
      using node.hyps(1) by blast
    from node have uy: unchanged (eval-usages g1 y) g1 g2
      by (metis child-member-in child-unchanged inputs-of-IntegerEqualsNode member-rec(1))
    have y: g1 m  $\vdash$  (kind g1 y)  $\mapsto$  IntVal b v2
      using node.hyps(3) by blast
    show ?case
      using node.hyps node.premys ux x uy y
      by (metis (full-types) IntegerEqualsNode child-member-in in-set-member
inputs-of-IntegerEqualsNode kind-unchanged list.set-intros(1) set-subset-Cons subsetD wf wf-folds(1,3))
    next
      case node: (IntegerLessThanNode m x b v1 y v2 val)
      then have ux: unchanged (eval-usages g1 x) g1 g2
        by (metis child-member-in child-unchanged inputs-of-IntegerLessThanNode member-rec(1))
      then have x: g1 m  $\vdash$  (kind g1 x)  $\mapsto$  IntVal b v1
        using node.hyps(1) by blast
      from node have uy: unchanged (eval-usages g1 y) g1 g2
        by (metis child-member-in child-unchanged inputs-of-IntegerLessThanNode member-rec(1))

```

```

have y: g1 m ⊢ (kind g1 y) ⇨ IntVal b v2
  using node.hyps(3) by blast
show ?case
  using node.hyps node.prem s ux x uy y
  by (metis (full-types) IntegerLessThanNode child-member-in in-set-member in-
    puts-of-IntegerLessThanNode kind-unchanged list.set-intros(1) set-subset-Cons sub-
    setD wf wf-folds(1,3))
next
case node: (ShortCircuitOrNode m x b v1 y v2 val)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode
    member-rec(1))
then have x: g1 m ⊢ (kind g1 x) ⇨ IntVal b v1
  using node.hyps(1) by blast
from node have uy: unchanged (eval-usages g1 y) g1 g2
  by (metis child-member-in child-unchanged inputs-of-ShortCircuitOrNode
    member-rec(1))
have y: g1 m ⊢ (kind g1 y) ⇨ IntVal b v2
  using node.hyps(3) by blast
have x2: g2 m ⊢ (kind g2 x) ⇨ IntVal b v1
  by (metis inputs.simps inputs-of-ShortCircuitOrNode list.set-intros(1) node.hyps(2)
    node.hyps(6) node.prem s(1) subsetD ux wf wf-folds(1,3))
have y2: g2 m ⊢ (kind g2 y) ⇨ IntVal b v2
  by (metis basic-trans-rules(31) inputs.simps inputs-of-ShortCircuitOrNode
    list.set-intros(1) node.hyps(4) node.hyps(6) node.prem s(1) set-subset-Cons uy wf
    wf-folds(1,3))
show ?case
  using node.hyps node.prem s ux x uy y x2 y2
  by (metis ShortCircuitOrNode kind-unchanged)
next
case node: (LogicNegationNode m x v1 val nida)
then have ux: unchanged (eval-usages g1 x) g1 g2
  by (metis child-member-in child-unchanged inputs-of-LogicNegationNode mem-
    ber-rec(1))
then have x:g2 m ⊢ (kind g2 x) ⇨ IntVal 1 v1
  by (metis inputs.simps inp-in-g-wf inputs-of-LogicNegationNode list.set-intros(1)
    node.hyps(2) node.hyps(4) wf)
then show ?case
  by (metis LogicNegationNode kind-unchanged node.hyps(3) node.hyps(4)
    node.prem s(1) node.prem s(2))
next
case node: (ConditionalNode m condition cond trueExp b trueVal falseExp falseVal
  val)
have c: condition ∈ inputs g1 nid
  by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
    node.hyps(8) node.prem s(1))
then have unchanged (eval-usages g1 condition) g1 g2
  using child-unchanged node.prem s(2) by blast
then have cond: g2 m ⊢ (kind g2 condition) ⇨ IntVal 1 cond

```

```

    using node c inp-in-g-wf wf by blast

    have t: trueExp ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prem(1))
    then have utrue: unchanged (eval-usages g1 trueExp) g1 g2
    using node.prem(2) child-unchanged by blast
    then have trueVal: g2 m ⊢ (kind g2 trueExp) ↦ IntVal b (trueVal)
    using node.hyps node t inp-in-g-wf wf by blast

    have f: falseExp ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-ConditionalNode child-member-in member-rec(1)
node.hyps(8) node.prem(1))
    then have ufalse: unchanged (eval-usages g1 falseExp) g1 g2
    using node.prem(2) child-unchanged by blast
    then have falseVal: g2 m ⊢ (kind g2 falseExp) ↦ IntVal b (falseVal)
    using node.hyps node f inp-in-g-wf wf by blast

    have g2 m ⊢ (kind g2 nid) ↦ val
    using kind-same trueVal falseVal cond
    by (metis ConditionalNode kind-unchanged node.hyps(7) node.hyps(8) node.prem(1)
node.prem(2))
    then show ?case
    by blast

  next
  case (RefNode m x val nid)
  have x: x ∈ inputs g1 nid
  by (metis IRNodes.inputs-of-RefNode RefNode.hyps(3) RefNode.prem(1)
child-member-in member-rec(1))
  then have ref: g2 m ⊢ (kind g2 x) ↦ val
  using RefNode.hyps(2) RefNode.prem(2) child-unchanged inp-in-g-wf wf by
blast
  then show ?case
  by (metis RefNode.hyps(3) RefNode.prem(1) RefNode.prem(2) eval.RefNode
kind-unchanged)
  next
  case (InvokeNodeEval val m - callTarget classInit stateDuring stateAfter nex)
  then show ?case
  by (metis eval.InvokeNodeEval kind-unchanged)
  next
  case (SignedDivNode m x v1 y v2 zeroCheck frameState nex)
  then show ?case
  by (metis eval.SignedDivNode kind-unchanged)
  next
  case (SignedRemNode m x v1 y v2 zeroCheck frameState nex)
  then show ?case
  by (metis eval.SignedRemNode kind-unchanged)
  next

```

```

    case (InvokeWithExceptionNodeEval val m - callTarget classInit stateDuring
stateAfter nex exceptionEdge)
    then show ?case
    by (metis eval.InvokeWithExceptionNodeEval kind-unchanged)
next
    case (NewInstanceNode m nid clazz stateBefore nex)
    then show ?case
    by (metis eval.NewInstanceNode kind-unchanged)
next
    case (IsNullNode m obj ref val)
    have obj: obj ∈ inputs g1 nid
    by (metis IRNodes.inputs-of-IsNullNode IsNullNode.hyps(4) inputs.simps
list.set-intros(1))
    then have ref: g2 m ⊢ (kind g2 obj) ↦ ObjRef ref
    using IsNullNode.hyps(1) IsNullNode.hyps(2) IsNullNode.prem(2) child-unchanged
eval-in-ids by blast
    then show ?case
    by (metis (full-types) IsNullNode.hyps(3) IsNullNode.hyps(4) IsNullNode.prem(1)
IsNullNode.prem(2) eval.IsNullNode kind-unchanged)
next
    case (LoadFieldNode)
    then show ?case
    by (metis eval.LoadFieldNode kind-unchanged)
next
    case (PiNode m object val)
    have object: object ∈ inputs g1 nid
    using inputs-of-PiNode inputs.simps
    by (metis PiNode.hyps(3) append-Cons list.set-intros(1))
    then have ref: g2 m ⊢ (kind g2 object) ↦ val
    using PiNode.hyps(1) PiNode.hyps(2) PiNode.prem(2) child-unchanged
eval-in-ids by blast
    then show ?case
    by (metis PiNode.hyps(3) PiNode.prem(1) PiNode.prem(2) eval.PiNode
kind-unchanged)
next
    case (NotNode m x val not-val)
    have object: x ∈ inputs g1 nid
    using inputs-of-NotNode inputs.simps
    by (metis NotNode.hyps(4) list.set-intros(1))
    then have ref: g2 m ⊢ (kind g2 x) ↦ val
    using NotNode.hyps(1) NotNode.hyps(2) NotNode.prem(2) child-unchanged
eval-in-ids by blast
    then show ?case
    by (metis NotNode.hyps(3) NotNode.hyps(4) NotNode.prem(1) NotNode.prem(2)
eval.NotNode kind-unchanged)
qed
qed

```

```

lemma add-changed:
  assumes  $gup = \text{add-node new } k \ g$ 
  shows  $\text{changeonly } \{new\} \ g \ gup$ 
  using assms unfolding  $\text{add-node-def changeonly.simps}$ 
  using  $\text{add-node.rep-eq add-node-def kind.rep-eq}$  by auto

lemma disjoint-change:
  assumes  $\text{changeonly change } g \ gup$ 
  assumes  $\text{nochange} = \text{ids } g - \text{change}$ 
  shows  $\text{unchanged nochange } g \ gup$ 
  using assms unfolding  $\text{changeonly.simps unchanged.simps}$ 
  by blast

lemma add-node-unchanged:
  assumes  $new \notin \text{ids } g$ 
  assumes  $nid \in \text{ids } g$ 
  assumes  $gup = \text{add-node new } k \ g$ 
  assumes  $\text{wf-graph } g$ 
  shows  $\text{unchanged } (\text{eval-usages } g \ nid) \ g \ gup$ 
proof –
  have  $new \notin (\text{eval-usages } g \ nid)$  using assms
    using  $\text{eval-usages.simps}$  by blast
  then have  $\text{changeonly } \{new\} \ g \ gup$ 
    using assms  $\text{add-changed}$  by blast
  then show ?thesis using assms  $\text{add-node-def disjoint-change}$ 
    using  $\text{Diff-insert-absorb}$  by auto
qed

lemma eval-uses-imp:
   $((nid' \in \text{ids } g \wedge nid = nid') \vee$ 
     $nid' \in \text{inputs } g \ nid \vee (\exists nid'' . \text{eval-uses } g \ nid \ nid'' \wedge \text{eval-uses } g \ nid'' \ nid'))$ 
     $\longleftrightarrow \text{eval-uses } g \ nid \ nid'$ 
  using  $\text{use0 use-inp use-trans}$ 
  by (meson eval-uses.simps)

lemma wf-use-ids:
  assumes  $\text{wf-graph } g$ 
  assumes  $nid \in \text{ids } g$ 
  assumes  $\text{eval-uses } g \ nid \ nid'$ 
  shows  $nid' \in \text{ids } g$ 
  using assms(3)
proof (induction rule: eval-uses.induct)
  case use0
  then show ?case by simp
next
  case use-inp
  then show ?case
    using assms(1)  $\text{inp-in-g-wf}$  by blast

```

```

next
  case use-trans
  then show ?case by blast
qed

lemma no-external-use:
  assumes wf-graph g
  assumes nid'  $\notin$  ids g
  assumes nid  $\in$  ids g
  shows  $\neg(\text{eval-uses } g \text{ } nid \text{ } nid')$ 
proof -
  have 0: nid  $\neq$  nid'
  using assms by blast
  have inp: nid'  $\notin$  inputs g nid
  using assms
  using inp-in-g-wf by blast
  have rec-0:  $\nexists n . n \in \text{ids } g \wedge n = nid'$ 
  using assms by blast
  have rec-inp:  $\nexists n . n \in \text{ids } g \wedge n \in \text{inputs } g \text{ } nid'$ 
  using assms(2) inp-in-g by blast
  have rec:  $\nexists nid'' . \text{eval-uses } g \text{ } nid \text{ } nid'' \wedge \text{eval-uses } g \text{ } nid'' \text{ } nid'$ 
  using wf-use-ids assms(1) assms(2) assms(3) by blast
  from inp 0 rec show ?thesis
  using eval-uses-imp by blast
qed

end

```

## 7.4 Graph Rewriting

```

theory
  Rewrites
imports
  IRGraphFrames
  Stuttering
begin

fun replace-usages :: ID  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph where
  replace-usages nid nid' g = replace-node nid (RefNode nid', stamp g nid') g

lemma replace-usages-effect:
  assumes g' = replace-usages nid nid' g
  shows kind g' nid = RefNode nid'
  using assms replace-node-lookup replace-usages.simps IRNode.distinct(2069)
  by (metis)

lemma replace-usages-changeonly:
  assumes nid  $\in$  ids g
  assumes g' = replace-usages nid nid' g

```

```

shows changeonly {nid} g g'
using assms unfolding replace-usages.simps
by (metis DiffI changeonly.elims(3) ids-some replace-node-unchanged)

lemma replace-usages-unchanged:
  assumes nid ∈ ids g
  assumes g' = replace-usages nid nid' g
  shows unchanged (ids g - {nid}) g g'
  using assms unfolding replace-usages.simps
  by (smt (verit, del-insts) DiffE ids-some replace-node-unchanged unchanged.simps)

fun nextNid :: IRGraph ⇒ ID where
  nextNid g = (Max (ids g)) + 1

lemma max-plus-one:
  fixes c :: ID set
  shows  $\llbracket \text{finite } c; c \neq \{\} \rrbracket \implies (\text{Max } c) + 1 \notin c$ 
  by (meson Max-gr-iff less-add-one less-irrefl)

lemma ids-finite:
  finite (ids g)
  by simp

lemma nextNidNotIn:
  ids g ≠ {} ⟶ nextNid g ∉ ids g
  unfolding nextNid.simps
  using ids-finite max-plus-one by blast

fun constantCondition :: bool ⇒ ID ⇒ IRNode ⇒ IRGraph ⇒ IRGraph where
  constantCondition val nid (IfNode cond t f) g =
    replace-node nid (IfNode (nextNid g) t f, stamp g nid)
    (add-node (nextNid g) ((ConstantNode (bool-to-val val)), default-stamp) g) |
  constantCondition cond nid - g = g

lemma constantConditionTrue:
  assumes kind g ifcond = IfNode cond t f
  assumes g' = constantCondition True ifcond (kind g ifcond) g
  shows g' ⊢ (ifcond, m, h) → (t, m, h)
proof –
  have if': kind g' ifcond = IfNode (nextNid g) t f
    by (metis IRNode.simps(989) assms(1) assms(2) constantCondition.simps(1)
    replace-node-lookup)
  have bool-to-val True = (IntVal 1 1)
    by auto
  have ifcond ≠ (nextNid g)
    by (metis IRNode.simps(989) assms(1) emptyE ids-some nextNidNotIn)
  then have c': kind g' (nextNid g) = ConstantNode (IntVal 1 1)

```



```

    using assms(2) replace-node-unchanged
  by (metis DiffI IRNode.distinct(585) ⟨bool-to-val True = IntVal 1 1⟩ add-node-lookup
    assms(1) constantCondition.simps(1) emptyE insertE not-in-g)
  from if' c' show ?thesis using IfNode
  by (smt (z3) ConstantNode val-to-bool.simps(1))
qed

```

**lemma** *constantConditionFalse*:

```

  assumes kind g ifcond = IfNode cond t f
  assumes g' = constantCondition False ifcond (kind g ifcond) g
  shows g' ⊢ (ifcond, m, h) → (f, m, h)
proof -
  have if': kind g' ifcond = IfNode (nextNid g) t f
  by (metis IRNode.simps(989) assms(1) assms(2) constantCondition.simps(1)
    replace-node-lookup)
  have bool-to-val False = (IntVal 1 0)
  by auto
  have ifcond ≠ (nextNid g)
  by (metis IRNode.simps(989) assms(1) emptyE ids-some nextNidNotIn)
  then have c': kind g' (nextNid g) = ConstantNode (IntVal 1 0)
  using assms(2) replace-node-unchanged
  by (metis DiffI IRNode.distinct(585) ⟨bool-to-val False = IntVal 1 0⟩ add-node-lookup
    assms(1) constantCondition.simps(1) emptyE insertE not-in-g)
  from if' c' show ?thesis using IfNode
  by (smt (z3) ConstantNode val-to-bool.simps(1))
qed

```

**lemma** *diff-forall*:

```

  assumes ∀ n ∈ ids g - {nid}. cond n
  shows ∀ n. n ∈ ids g ∧ n ∉ {nid} → cond n
  by (meson Diff-iff assms)

```

**lemma** *replace-node-changeonly*:

```

  assumes g' = replace-node nid node g
  shows changeonly {nid} g g'
  using assms replace-node-unchanged
  unfolding changeonly.simps using diff-forall
  sorry

```

**lemma** *add-node-changeonly*:

```

  assumes g' = add-node nid node g
  shows changeonly {nid} g g'
  by (metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq re-
    place-node-changeonly)

```

**lemma** *constantConditionNoEffect*:

```

  assumes ¬(is-IfNode (kind g nid))
  shows g = constantCondition b nid (kind g nid) g
  using assms apply (cases kind g nid)

```

```

using constantCondition.simps
apply presburger+
apply (metis is-IfNode-def)
using constantCondition.simps
by presburger+

lemma constantConditionIfNode:
  assumes kind g nid = IfNode cond t f
  shows constantCondition val nid (kind g nid) g =
    replace-node nid (IfNode (nextNid g) t f, stamp g nid)
    (add-node (nextNid g) ((ConstantNode (bool-to-val val)), default-stamp) g)
  using constantCondition.simps
  by (simp add: assms)

lemma constantCondition-changeonly:
  assumes nid ∈ ids g
  assumes g' = constantCondition b nid (kind g nid) g
  shows changeonly {nid} g g'
proof (cases is-IfNode (kind g nid))
  case True
  have nextNid g ∉ ids g
  using nextNidNotIn by (metis emptyE)
  then show ?thesis using assms
  using replace-node-changeonly add-node-changeonly unfolding changeonly.simps
  using True constantCondition.simps(1) is-IfNode-def
  by (metis (full-types) DiffD2 Diff-insert-absorb)
next
  case False
  have g = g'
  using constantConditionNoEffect
  using False assms(2) by blast
  then show ?thesis by simp
qed

lemma constantConditionNoIf:
  assumes  $\forall \text{cond } t f. \text{kind } g \text{ ifcond} \neq \text{IfNode cond } t f$ 
  assumes g' = constantCondition val ifcond (kind g ifcond) g
  shows  $\exists \text{nid}'. (g \text{ m } h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \text{ m } h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ 
proof –
  have g' = g
  using assms(2) assms(1)
  using constantConditionNoEffect
  by (metis IRNode.collapse(11))
  then show ?thesis by simp
qed

lemma constantConditionValid:
  assumes kind g ifcond = IfNode cond t f

```

```

assumes  $g \vdash \text{kind } g \text{ cond} \mapsto v$ 
assumes  $\text{const} = \text{val-to-bool } v$ 
assumes  $g' = \text{constantCondition } \text{const} \text{ ifcond } (\text{kind } g \text{ ifcond}) \ g$ 
shows  $\exists \text{nid}' . (g \ m \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ 
proof (cases const)
  case True
    have  $\text{ifstep}: g \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
      by (meson IfNode True assms(1) assms(2) assms(3))
    have  $\text{ifstep}': g' \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
      using constantConditionTrue
      using True assms(1) assms(4) by presburger
    from  $\text{ifstep} \ \text{ifstep}'$  show ?thesis
      using StutterStep by blast
  next
    case False
      have  $\text{ifstep}: g \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
        by (meson IfNode False assms(1) assms(2) assms(3))
      have  $\text{ifstep}': g' \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
        using constantConditionFalse
        using False assms(1) assms(4) by presburger
      from  $\text{ifstep} \ \text{ifstep}'$  show ?thesis
        using StutterStep by blast
qed
end

```

## 7.5 Stuttering

```

theory Stuttering
imports
  Semantics.IRStepObj
begin

```

```

inductive stutter:: IRGraph  $\Rightarrow$  MapState  $\Rightarrow$  FieldRefHeap  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  bool (-
-  $\vdash - \rightsquigarrow -$  55)
for  $g \ m \ h$  where

```

```

StutterStep:
 $\llbracket g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \rrbracket$ 
 $\implies g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}' \mid$ 

```

```

Transitive:
 $\llbracket g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}'', m, h);$ 
 $g \ m \ h \vdash \text{nid}'' \rightsquigarrow \text{nid}' \rrbracket$ 
 $\implies g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}'$ 

```

**lemma** *stuttering-successor*:

```

assumes  $(g \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h))$ 
shows  $\{P'. (g \ m \ h \vdash \text{nid} \rightsquigarrow P')\} = \{\text{nid}'\} \cup \{\text{nid}'' . (g \ m \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'')\}$ 

```

```

proof –
  have nextin:  $nid' \in \{P'. (g \ m \ h \vdash \text{nid} \rightsquigarrow P')\}$ 
    using assms StutterStep by blast
  have nextsubset:  $\{nid''. (g \ m \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'')\} \subseteq \{P'. (g \ m \ h \vdash \text{nid} \rightsquigarrow P')\}$ 
    by (metis Collect-mono assms stutter.Transitive)
  have  $\forall n \in \{P'. (g \ m \ h \vdash \text{nid} \rightsquigarrow P')\} . n = \text{nid}' \vee n \in \{nid''. (g \ m \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'')\}$ 
    using stepDet
    by (metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps)
  then show ?thesis
    using insert-absorb mk-disjoint-insert nextin nextsubset by auto
qed

end

```

## 8 Canonicalization Phase

```

theory Canonicalization
  imports
    Proofs.IRGraphFrames
    Proofs.Stuttering
    Proofs.Bisimulation
    Proofs.Form

    Graph.Traversal
begin

inductive CanonicalizeConditional :: IRGraph  $\Rightarrow$  IRNode  $\Rightarrow$  IRNode  $\Rightarrow$  bool
where
  negate-condition:
     $\llbracket \text{kind } g \text{ cond} = \text{LogicNegationNode flip} \rrbracket$ 
     $\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode cond tb fb}) \ (\text{ConditionalNode flip fb tb}) \mid$ 

  const-true:
     $\llbracket \text{kind } g \text{ cond} = \text{ConstantNode val}; \text{val-to-bool val} \rrbracket$ 
     $\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode cond tb fb}) \ (\text{RefNode tb}) \mid$ 

  const-false:
     $\llbracket \text{kind } g \text{ cond} = \text{ConstantNode val}; \neg(\text{val-to-bool val}) \rrbracket$ 
     $\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode cond tb fb}) \ (\text{RefNode fb}) \mid$ 

  eq-branches:
     $\llbracket \text{tb} = \text{fb} \rrbracket$ 
     $\implies \text{CanonicalizeConditional } g \ (\text{ConditionalNode cond tb fb}) \ (\text{RefNode tb}) \mid$ 

  cond-eq:

```

$\llbracket \text{kind } g \text{ cond} = \text{IntegerEqualsNode } tb \text{ fb} \rrbracket$   
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond } tb \text{ fb) (RefNode fb) } |$

*condition-bounds-x:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode } tb \text{ fb};$   
 $\quad \text{stpi-upper (stamp } g \text{ tb)} \leq \text{stpi-lower (stamp } g \text{ fb)} \rrbracket$   
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond } tb \text{ fb) (RefNode tb) } |$

*condition-bounds-y:*

$\llbracket \text{kind } g \text{ cond} = \text{IntegerLessThanNode } fb \text{ tb};$   
 $\quad \text{stpi-upper (stamp } g \text{ fb)} \leq \text{stpi-lower (stamp } g \text{ tb)} \rrbracket$   
 $\implies \text{CanonicalizeConditional } g \text{ (ConditionalNode cond } tb \text{ fb) (RefNode tb) }$

**inductive** *CanonicalizeAdd* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*add-both-const:*

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c-1;$   
 $\quad \text{kind } g \text{ y} = \text{ConstantNode } c-2;$   
 $\quad \text{val} = \text{intval-add } c-1 \text{ } c-2 \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (ConstantNode val) } |$

*add-xzero:*

$\llbracket \text{kind } g \text{ x} = \text{ConstantNode } c-1;$   
 $\quad \neg(\text{is-ConstantNode (kind } g \text{ y)});$   
 $\quad c-1 = (\text{IntVal } 32 \text{ } 0) \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (RefNode y) } |$

*add-yzero:*

$\llbracket \neg(\text{is-ConstantNode (kind } g \text{ x)});$   
 $\quad \text{kind } g \text{ y} = \text{ConstantNode } c-2;$   
 $\quad c-2 = (\text{IntVal } 32 \text{ } 0) \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (RefNode x) } |$

*add-xsub:*

$\llbracket \text{kind } g \text{ x} = \text{SubNode } a \text{ y} \rrbracket$   
 $\implies \text{CanonicalizeAdd } g \text{ (AddNode } x \text{ y) (RefNode a) } |$

*add-ysub:*

$\llbracket \text{kind } g \text{ y} = \text{SubNode } a \text{ x} \rrbracket$

$\Rightarrow \text{CanonicalizeAdd } g \text{ (AddNode } x \ y) \text{ (RefNode } a) \mid$

*add-xnegate:*

$\llbracket \text{kind } g \ nx = \text{NegateNode } x \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \text{ (AddNode } nx \ y) \text{ (SubNode } y \ x) \mid$

*add-ynegate:*

$\llbracket \text{kind } g \ ny = \text{NegateNode } y \rrbracket$   
 $\Rightarrow \text{CanonicalizeAdd } g \text{ (AddNode } x \ ny) \text{ (SubNode } x \ y)$

**inductive** *CanonicalizeIf* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*trueConst:*

$\llbracket \text{kind } g \ cond = \text{ConstantNode } condv;$   
 $\text{val-to-bool } condv \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode } cond \ tb \ fb) \text{ (RefNode } tb) \mid$

*falseConst:*

$\llbracket \text{kind } g \ cond = \text{ConstantNode } condv;$   
 $\neg(\text{val-to-bool } condv) \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode } cond \ tb \ fb) \text{ (RefNode } fb) \mid$

*eqBranch:*

$\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ cond));$   
 $tb = fb \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode } cond \ tb \ fb) \text{ (RefNode } tb) \mid$

*eqCondition:*

$\llbracket \text{kind } g \ cond = \text{IntegerEqualsNode } x \ x \rrbracket$   
 $\Rightarrow \text{CanonicalizeIf } g \text{ (IfNode } cond \ tb \ fb) \text{ (RefNode } tb)$

**inductive** *CanonicalizeBinaryArithmeticNode* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$

*bool* **where**

*add-const-fold:*

$\llbracket op = \text{kind } g \ op\text{-id};$   
 $is\text{-AddNode } op;$   
 $\text{kind } g \ (ir\text{-}x \ op) = \text{ConditionalNode } cond \ tb \ fb;$   
 $\text{kind } g \ tb = \text{ConstantNode } c\text{-}1;$   
 $\text{kind } g \ fb = \text{ConstantNode } c\text{-}2;$   
 $\text{kind } g \ (ir\text{-}y \ op) = \text{ConstantNode } c\text{-}3;$   
 $tv = \text{intval-add } c\text{-}1 \ c\text{-}3;$

$fv = \text{intval-add } c-2 \ c-3;$   
 $g' = \text{replace-node } tb \ ((\text{ConstantNode } tv), \text{constantAsStamp } tv) \ g;$   
 $g'' = \text{replace-node } fb \ ((\text{ConstantNode } fv), \text{constantAsStamp } fv) \ g';$   
 $g''' = \text{replace-node } op\text{-id} \ (\text{kind } g \ (\text{ir-}x \ op), \text{meet } (\text{constantAsStamp } tv) \ (\text{constantAsStamp } fv)) \ g'' \ ]$   
 $\implies \text{CanonicalizeBinaryArithmeticNode } op\text{-id } g \ g'''$

**inductive** *CanonicalizeCommutativeBinaryArithmeticNode* :: *IRGraph*  $\Rightarrow$  *IRNode*  
 $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*add-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

*and-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

*int-equals-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{IntegerEqualsNode } x \ y)$   
 $(\text{IntegerEqualsNode } y \ x) \mid$

*mul-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{MulNode } x \ y) \ (\text{MulNode } y \ x) \mid$

*or-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

*xor-ids-ordered*:  
 $\llbracket \neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $((\text{is-ConstantNode } (\text{kind } g \ x)) \vee (x > y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x) \mid$

*add-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AddNode } x \ y) \ (\text{AddNode } y \ x) \mid$

*and-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{AndNode } x \ y) \ (\text{AndNode } y \ x) \mid$

*int-equals-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{IntegerEqualsNode } x \ y) \ (\text{IntegerEqualsNode } y \ x) \mid$

*mul-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{MulNode } x \ y) \ (\text{MulNode } y \ x) \mid$

*or-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{OrNode } x \ y) \ (\text{OrNode } y \ x) \mid$

*xor-swap-const-first:*  
 $\llbracket \text{is-ConstantNode } (\text{kind } g \ x);$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y)) \rrbracket$   
 $\implies \text{CanonicalizeCommutativeBinaryArithmeticNode } g \ (\text{XorNode } x \ y) \ (\text{XorNode } y \ x)$

**inductive** *CanonicalizeSub* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*sub-same:*  
 $\llbracket x = y;$   
 $\text{stamp } g \ x = (\text{IntegerStamp } b \ l \ h) \rrbracket$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{ConstantNode } (\text{IntVal } b \ 0)) \mid$

*sub-both-const:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } c\text{-}1;$   
 $\text{kind } g \ y = \text{ConstantNode } c\text{-}2;$



$val = \text{intval-sub } c-1 \ c-2]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{ConstantNode } val) \mid$

*sub-left-add1:*

$[[\text{kind } g \ \text{left} = \text{AddNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left } b) \ (\text{RefNode } a) \mid$

*sub-left-add2:*

$[[\text{kind } g \ \text{left} = \text{AddNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left } a) \ (\text{RefNode } b) \mid$

*sub-left-sub:*

$[[\text{kind } g \ \text{left} = \text{SubNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } \text{left } a) \ (\text{NegateNode } b) \mid$

*sub-right-add1:*

$[[\text{kind } g \ \text{right} = \text{AddNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{NegateNode } b) \mid$

*sub-right-add2:*

$[[\text{kind } g \ \text{right} = \text{AddNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } b \ \text{right}) \ (\text{NegateNode } a) \mid$

*sub-right-sub:*

$[[\text{kind } g \ \text{right} = \text{AddNode } a \ b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ \text{right}) \ (\text{RefNode } a) \mid$

*sub-yzero:*

$[[\text{kind } g \ y = \text{ConstantNode } (\text{IntVal } - \ 0)]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{RefNode } x) \mid$

*sub-xzero:*

$[[\text{kind } g \ x = \text{ConstantNode } (\text{IntVal } - \ 0)]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } x \ y) \ (\text{NegateNode } y) \mid$

*sub-y-negate:*

$[[\text{kind } g \ nb = \text{NegateNode } b]]$   
 $\implies \text{CanonicalizeSub } g \ (\text{SubNode } a \ nb) \ (\text{AddNode } a \ b)$

**inductive** *CanonicalizeMul* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*

**for** *g* **where**

*mul-both-const*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\text{kind } g \ y = \text{ConstantNode } c-2;$   
 $\text{val} = \text{intval-mul } c-1 \ c-2 \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } \text{val}) \mid$

*mul-xzero*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } b \ 0) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } c-1) \mid$

*mul-yzero*:

$\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ 0) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{ConstantNode } c-1) \mid$

*mul-xone*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } y) \mid$

*mul-yone*:

$\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ 1) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{RefNode } x) \mid$

*mul-xnegate*:

$\llbracket \text{kind } g \ x = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ y));$   
 $c-1 = (\text{IntVal } b \ (-1)) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{NegateNode } y) \mid$

*mul-ynegate*:

$\llbracket \text{kind } g \ y = \text{ConstantNode } c-1;$   
 $\neg(\text{is-ConstantNode } (\text{kind } g \ x));$   
 $c-1 = (\text{IntVal } b \ (-1)) \rrbracket$   
 $\Rightarrow \text{CanonicalizeMul } g \ (\text{MulNode } x \ y) \ (\text{NegateNode } x)$

**inductive** *CanonicalizeAbs* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*abs-abs*:  
 $\llbracket \text{kind } g \ x = (\text{AbsNode } y) \rrbracket$   
 $\implies \text{CanonicalizeAbs } g \ (\text{AbsNode } x) \ (\text{AbsNode } y) \mid$

*abs-negate*:  
 $\llbracket \text{kind } g \ nx = (\text{NegateNode } x) \rrbracket$   
 $\implies \text{CanonicalizeAbs } g \ (\text{AbsNode } nx) \ (\text{AbsNode } x)$

**inductive** *CanonicalizeNegate* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*negate-const*:  
 $\llbracket \text{kind } g \ nx = (\text{ConstantNode } val);$   
 $\text{val} = (\text{IntVal } b \ v);$   
 $\text{neg-val} = \text{intval-sub } (\text{IntVal } b \ 0) \ \text{val} \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \ (\text{NegateNode } nx) \ (\text{ConstantNode } \text{neg-val}) \mid$

*negate-negate*:  
 $\llbracket \text{kind } g \ nx = (\text{NegateNode } x) \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \ (\text{NegateNode } nx) \ (\text{RefNode } x) \mid$

*negate-sub*:  
 $\llbracket \text{kind } g \ sub = (\text{SubNode } x \ y);$   
 $\text{stamp } g \ sub = (\text{IntegerStamp } - \ -) \rrbracket$   
 $\implies \text{CanonicalizeNegate } g \ (\text{NegateNode } sub) \ (\text{SubNode } y \ x)$

**inductive** *CanonicalizeNot* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*not-const*:  
 $\llbracket \text{kind } g \ nx = (\text{ConstantNode } val);$   
 $\text{neg-val} = \text{bool-to-val } (\neg(\text{val-to-bool } val)) \rrbracket$   
 $\implies \text{CanonicalizeNot } g \ (\text{NotNode } nx) \ (\text{ConstantNode } \text{neg-val}) \mid$

*not-not*:  
 $\llbracket \text{kind } g \ nx = (\text{NotNode } x) \rrbracket$   
 $\implies \text{CanonicalizeNot } g \ (\text{NotNode } nx) \ (\text{RefNode } x)$

**inductive** *CanonicalizeAnd* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**  
*and-same*:  
 $\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \ (\text{AndNode } x \ y) \ (\text{RefNode } x) \mid$

*and-xtrue*:  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } val;$

*val-to-bool val*  
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \ y) \text{ (RefNode } y) \mid$

*and-ytrue:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \ y) \text{ (RefNode } x) \mid$

*and-xfalse:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \ y) \text{ (ConstantNode } val) \mid$

*and-yfalse:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeAnd } g \text{ (AndNode } x \ y) \text{ (ConstantNode } val)$

**inductive** *CanonicalizeOr* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for** *g* **where**

*or-same:*  
 $\llbracket x = y \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } x) \mid$

*or-xtrue:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (ConstantNode } val) \mid$

*or-ytrue:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } val; \text{val-to-bool } val \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (ConstantNode } val) \mid$

*or-xfalse:*  
 $\llbracket \text{kind } g \ x = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } y) \mid$

*or-yfalse:*  
 $\llbracket \text{kind } g \ y = \text{ConstantNode } val; \neg(\text{val-to-bool } val) \rrbracket$   
 $\implies \text{CanonicalizeOr } g \text{ (OrNode } x \ y) \text{ (RefNode } x)$

**inductive** *CanonicalizeDeMorgansLaw* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*de-morgan-or-to-and*:

```

[[kind g nid = OrNode nx ny;
  kind g nx = NotNode x;
  kind g ny = NotNode y;
  new-add-id = nextNid g;
  g' = add-node new-add-id ((AddNode x y), (IntegerStamp 1 0 1)) g;
  g'' = replace-node nid ((NotNode new-add-id), (IntegerStamp 1 0 1)) g']
 $\Rightarrow$  CanonicalizeDeMorgansLaw nid g g' |

```

*de-morgan-and-to-or*:

```

[[kind g nid = AndNode nx ny;
  kind g nx = NotNode x;
  kind g ny = NotNode y;
  new-add-id = nextNid g;
  g' = add-node new-add-id ((OrNode x y), (IntegerStamp 1 0 1)) g;
  g'' = replace-node nid ((NotNode new-add-id), (IntegerStamp 1 0 1)) g']
 $\Rightarrow$  CanonicalizeDeMorgansLaw nid g g' |

```

**inductive** *CanonicalizeIntegerEquals* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool*  
**for g where**

*int-equals-same-node*:

```

[[x = y]
 $\Rightarrow$  CanonicalizeIntegerEquals g (IntegerEqualsNode x y) (ConstantNode (IntVal
1 1)) |

```

*int-equals-distinct*:

```

[[alwaysDistinct (stamp g x) (stamp g y)]
 $\Rightarrow$  CanonicalizeIntegerEquals g (IntegerEqualsNode x y) (ConstantNode (IntVal
1 0)) |

```

*int-equals-add-first-both-same*:

```

[[kind g left = AddNode x y;
  kind g right = AddNode x z]
 $\Rightarrow$  CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode
y z) |

```

*int-equals-add-first-second-same*:

```

[[kind g left = AddNode x y;
  kind g right = AddNode z x]
 $\Rightarrow$  CanonicalizeIntegerEquals g (IntegerEqualsNode left right) (IntegerEqualsNode

```

$y\ z) \mid$

*int-equals-add-second-first-same:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{AddNode } x\ z \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-add-second-both--same:*

$\llbracket \text{kind } g \text{ left} = \text{AddNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{AddNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-sub-first-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } x\ y;$   
 $\text{kind } g \text{ right} = \text{SubNode } x\ z \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z) \mid$

*int-equals-sub-second-both-same:*

$\llbracket \text{kind } g \text{ left} = \text{SubNode } y\ x;$   
 $\text{kind } g \text{ right} = \text{SubNode } z\ x \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } g\ (\text{IntegerEqualsNode left right})\ (\text{IntegerEqualsNode } y\ z)$

**inductive** *CanonicalizeIntegerEqualsGraph* :: *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool*  
**where**

*int-equals-rewrite:*

$\llbracket \text{CanonicalizeIntegerEquals } g\ \text{node}\ \text{node}';$   
 $\text{node} = \text{kind } g\ \text{nid};$   
 $g' = \text{replace-node}\ \text{nid}\ (\text{node}',\ \text{stamp } g\ \text{nid})\ g \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph}\ \text{nid}\ g\ g' \mid$

*int-equals-left-contains-right1:*

$\llbracket \text{kind } g\ \text{nid} = \text{IntegerEqualsNode left } x;$   
 $\text{kind } g \text{ left} = \text{AddNode } x\ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node}\ \text{const-id}\ ((\text{ConstantNode } (\text{IntVal } 1\ 0)),\ \text{constantAsStamp } (\text{IntVal } 1\ 0))\ g;$   
 $g'' = \text{replace-node}\ \text{const-id}\ ((\text{IntegerEqualsNode } y\ \text{const-id}),\ \text{stamp } g\ \text{nid})\ g' \rrbracket$

$\implies \text{CanonicalizeIntegerEqualsGraph } nid \ g \ g'' \mid$

*int-equals-left-contains-right2:*

$\llbracket \text{kind } g \ nid = \text{IntegerEqualsNode } left \ y;$   
 $\text{kind } g \ left = \text{AddNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{const-id} \ ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal}$   
 $1 \ 0)) \ g;$   
 $g'' = \text{replace-node } \text{const-id} \ ((\text{IntegerEqualsNode } x \ \text{const-id}), \text{stamp } g \ nid) \ g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph } nid \ g \ g'' \mid$

*int-equals-right-contains-left1:*

$\llbracket \text{kind } g \ nid = \text{IntegerEqualsNode } x \ right;$   
 $\text{kind } g \ right = \text{AddNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{const-id} \ ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal}$   
 $1 \ 0)) \ g;$   
 $g'' = \text{replace-node } \text{const-id} \ ((\text{IntegerEqualsNode } y \ \text{const-id}), \text{stamp } g \ nid) \ g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph } nid \ g \ g'' \mid$

*int-equals-right-contains-left2:*

$\llbracket \text{kind } g \ nid = \text{IntegerEqualsNode } y \ right;$   
 $\text{kind } g \ right = \text{AddNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{const-id} \ ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal}$   
 $1 \ 0)) \ g;$   
 $g'' = \text{replace-node } \text{const-id} \ ((\text{IntegerEqualsNode } x \ \text{const-id}), \text{stamp } g \ nid) \ g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph } nid \ g \ g'' \mid$

*int-equals-left-contains-right3:*

$\llbracket \text{kind } g \ nid = \text{IntegerEqualsNode } left \ x;$   
 $\text{kind } g \ left = \text{SubNode } x \ y;$   
 $\text{const-id} = \text{nextNid } g;$   
 $g' = \text{add-node } \text{const-id} \ ((\text{ConstantNode } (\text{IntVal } 1 \ 0)), \text{constantAsStamp } (\text{IntVal}$   
 $1 \ 0)) \ g;$   
 $g'' = \text{replace-node } \text{const-id} \ ((\text{IntegerEqualsNode } y \ \text{const-id}), \text{stamp } g \ nid) \ g' \rrbracket$   
 $\implies \text{CanonicalizeIntegerEqualsGraph } nid \ g \ g'' \mid$

*int-equals-right-contains-left3:*

```

[[kind g nid = IntegerEqualsNode x right;
  kind g right = SubNode x y;
  const-id = nextNid g;
  g' = add-node const-id ((ConstantNode (IntVal 1 0)), constantAsStamp (IntVal
1 0)) g;
  g'' = replace-node const-id ((IntegerEqualsNode y const-id), stamp g nid) g']
⇒ CanonicalizeIntegerEqualsGraph nid g g'']

```

**inductive** *CanonicalizationStep* :: *IRGraph* ⇒ *IRNode* ⇒ *IRNode* ⇒ *bool*

**for** *g* **where**

*ConditionalNode*:

```

[[CanonicalizeConditional g node node']]
⇒ CanonicalizationStep g node node' |

```

*AddNode*:

```

[[CanonicalizeAdd g node node']]
⇒ CanonicalizationStep g node node' |

```

*IfNode*:

```

[[CanonicalizeIf g node node']]
⇒ CanonicalizationStep g node node' |

```

*SubNode*:

```

[[CanonicalizeSub g node node']]
⇒ CanonicalizationStep g node node' |

```

*MulNode*:

```

[[CanonicalizeMul g node node']]
⇒ CanonicalizationStep g node node' |

```



*AndNode:*  
 $\llbracket \text{CanonicalizeAnd } g \text{ node node} \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*OrNode:*  
 $\llbracket \text{CanonicalizeOr } g \text{ node node} \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*AbsNode:*  
 $\llbracket \text{CanonicalizeAbs } g \text{ node node} \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*NotNode:*  
 $\llbracket \text{CanonicalizeNot } g \text{ node node} \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}' \mid$

*Negatenode:*  
 $\llbracket \text{CanonicalizeNegate } g \text{ node node} \rrbracket$   
 $\implies \text{CanonicalizationStep } g \text{ node node}'$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeConditional* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAdd* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeIf* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeSub* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeMul* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAnd* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeOr* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAbs* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNot* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNegate* .  
**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizationStep* .

**type-synonym** *CanonicalizationAnalysis* = *bool option*

**fun** *analyse* :: (*ID*  $\times$  *Seen*  $\times$  *CanonicalizationAnalysis*)  $\Rightarrow$  *CanonicalizationAnalysis*  
**where**  
*analyse* *i* = *None*

**inductive** *CanonicalizationPhase*  
:: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  *Seen*  $\times$  *CanonicalizationAnalysis*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

— Can do a step and optimise for the current node  
 $\llbracket \text{Step analyse } g \text{ (nid, seen, i) (Some (nid', seen', i'))} \rrbracket$   
*CanonicalizationStep* *g* (*kind* *g* *nid*) *node*;

*g'* = *replace-node* *nid* (*node*, *stamp* *g* *nid*) *g*;

$\text{CanonicalizationPhase } g' (nid', seen', i') g' \parallel$   
 $\implies \text{CanonicalizationPhase } g (nid, seen, i) g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We  
 need to find a way to negate ConditionalEliminationStep  
 $\llbracket \text{Step analyse } g (nid, seen, i) (\text{Some } (nid', seen', i'));$

$\text{CanonicalizationPhase } g (nid', seen', i') g' \parallel$   
 $\implies \text{CanonicalizationPhase } g (nid, seen, i) g' \mid$

$\llbracket \text{Step analyse } g (nid, seen, i) \text{None};$   
 $\text{Some } nid' = \text{pred } g \text{ nid};$   
 $seen' = \{nid\} \cup seen;$   
 $\text{CanonicalizationPhase } g (nid', seen', i) g' \parallel$   
 $\implies \text{CanonicalizationPhase } g (nid, seen, i) g' \mid$

$\llbracket \text{Step analyse } g (nid, seen, i) \text{None};$   
 $\text{None} = \text{pred } g \text{ nid} \parallel$   
 $\implies \text{CanonicalizationPhase } g (nid, seen, i) g$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizationPhase* .

**type-synonym** *Trace* = *IRNode list*

**inductive** *CanonicalizationPhaseWithTrace*

$:: \text{IRGraph} \Rightarrow (\text{ID} \times \text{Seen} \times \text{CanonicalizationAnalysis}) \Rightarrow \text{IRGraph} \Rightarrow \text{Trace} \Rightarrow$   
 $\text{Trace} \Rightarrow \text{bool} \text{ where}$

— Can do a step and optimise for the current node  
 $\llbracket \text{Step analyse } g (nid, seen, i) (\text{Some } (nid', seen', i'));$   
 $\text{CanonicalizationStep } g (\text{kind } g \text{ nid}) \text{ node};$

$g' = \text{replace-node } nid (\text{node}, \text{stamp } g \text{ nid}) g;$

$\text{CanonicalizationPhaseWithTrace } g' (nid', seen', i') g'' (\text{kind } g \text{ nid} \# t) t' \parallel$   
 $\implies \text{CanonicalizationPhaseWithTrace } g (nid, seen, i) g'' t t' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We  
 need to find a way to negate ConditionalEliminationStep  
 $\llbracket \text{Step analyse } g (nid, seen, i) (\text{Some } (nid', seen', i'));$

$\text{CanonicalizationPhaseWithTrace } g (nid', seen', i') g' (\text{kind } g \text{ nid} \# t) t' \parallel$   
 $\implies \text{CanonicalizationPhaseWithTrace } g (nid, seen, i) g' t t' \mid$

$\llbracket \text{Step analyse } g (nid, seen, i) \text{None};$   
 $\text{Some } nid' = \text{pred } g \text{ nid};$

```

seen' = {nid} ∪ seen;
CanonicalizationPhaseWithTrace g (nid', seen', i) g' (kind g nid # t) t' ]
⇒ CanonicalizationPhaseWithTrace g (nid, seen, i) g' t t' |

[[Step analyse g (nid, seen, i) None;
None = pred g nid]]
⇒ CanonicalizationPhaseWithTrace g (nid, seen, i) g t t

code-pred (modes: i ⇒ i ⇒ o ⇒ i ⇒ o ⇒ bool) CanonicalizationPhaseWithTrace
.

end

```

## 9 Conditional Elimination Phase

```

theory ConditionalElimination
imports
  Proofs.IRGraphFrames
  Proofs.Stuttering
  Proofs.Form
  Proofs.Rewrites
  Proofs.Bisimulation
begin

```

### 9.1 Individual Elimination Rules

We introduce a TriState as in the Graal compiler to represent when static analysis can tell us information about the value of a boolean expression. Unknown = No information can be inferred KnownTrue/KnownFalse = We can infer the expression will always be true or false.

```
datatype TriState = Unknown | KnownTrue | KnownFalse
```

The implies relation corresponds to the LogicNode.implies method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

```

inductive implies :: IRGraph ⇒ IRNode ⇒ IRNode ⇒ TriState ⇒ bool
  (- ⊢ - & - ⇔ -) for g where
    eq-imp-less:
      g ⊢ (IntegerEqualsNode x y) & (IntegerLessThanNode x y) ⇔ KnownFalse |
    eq-imp-less-rev:
      g ⊢ (IntegerEqualsNode x y) & (IntegerLessThanNode y x) ⇔ KnownFalse |
    less-imp-rev-less:
      g ⊢ (IntegerLessThanNode x y) & (IntegerLessThanNode y x) ⇔ KnownFalse |
    less-imp-not-eq:
      g ⊢ (IntegerLessThanNode x y) & (IntegerEqualsNode x y) ⇔ KnownFalse |

```

*less-imp-not-eq-rev:*

$g \vdash (\text{IntegerLessThanNode } x \ y) \ \& \ (\text{IntegerEqualsNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$

*x-imp-x:*

$g \vdash x \ \& \ x \hookrightarrow \text{KnownTrue} \mid$

*negate-false:*

$\llbracket g \vdash x \ \& \ (\text{kind } g \ y) \hookrightarrow \text{KnownTrue} \rrbracket \implies g \vdash x \ \& \ (\text{LogicNegationNode } y) \hookrightarrow \text{KnownFalse} \mid$

*negate-true:*

$\llbracket g \vdash x \ \& \ (\text{kind } g \ y) \hookrightarrow \text{KnownFalse} \rrbracket \implies g \vdash x \ \& \ (\text{LogicNegationNode } y) \hookrightarrow \text{KnownTrue}$

Total relation over partial implies relation

**inductive** *condition-implies* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *TriState*  $\Rightarrow$  *bool*

( $- \vdash - \ \& \ - \rightarrow -$ ) **for** *g* **where**

$\llbracket \neg(g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \ \& \ b \rightarrow \text{Unknown}) \mid$

$\llbracket (g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \ \& \ b \rightarrow \text{imp})$

Proofs that the implies relation is correct with respect to the existing evaluation semantics.

**lemma** *logic-negation-relation:*

**assumes** *wf-values g*

**assumes**  $g \ m \vdash \text{kind } g \ y \mapsto \text{val}$

**assumes**  $\text{kind } g \ \text{neg} = \text{LogicNegationNode } y$

**assumes**  $g \ m \vdash \text{kind } g \ \text{neg} \mapsto \text{invval}$

**shows**  $\text{val-to-bool } \text{val} \longleftrightarrow \neg(\text{val-to-bool } \text{invval})$

**proof** –

**have** *wf-value val*

**using** *assms(1) assms(2) eval-in-ids wf-values.elims(2)*

**by** *meson*

**have** *wf-value invval*

**using** *assms(1,4) eval-in-ids wf-values.simps* **by** *blast*

**then show** *?thesis*

**using** *assms eval.LogicNegationNode*

**by** *fastforce*

**qed**

**lemma** *implies-valid:*

**assumes**  $\text{wf-graph } g \ \wedge \ \text{wf-values } g$

**assumes**  $g \vdash x \ \& \ y \rightarrow \text{imp}$

**assumes**  $g \ m \vdash x \mapsto v1$

**assumes**  $g \ m \vdash y \mapsto v2$

**shows**  $(\text{imp} = \text{KnownTrue} \rightarrow (\text{val-to-bool } v1 \rightarrow \text{val-to-bool } v2)) \ \wedge$

$(\text{imp} = \text{KnownFalse} \rightarrow (\text{val-to-bool } v1 \rightarrow \neg(\text{val-to-bool } v2)))$

**(is**  $(?TP \rightarrow ?TC) \ \wedge \ (?FP \rightarrow ?FC)$ **)**

**apply** *(intro conjI; rule impI)*

**proof** –

**assume** *KnownTrue: ?TP*

```

show ?TC proof –
have  $s: g \vdash x \ \& \ y \hookrightarrow \text{imp}$ 
  using KnownTrue assms(2) condition-implies.cases by blast
then show ?thesis
using KnownTrue assms proof (induct  $x \ y \ \text{imp}$  rule: implies.induct)
  case (eq-imp-less  $x \ y$ )
  then show ?case by simp
next
  case (eq-imp-less-rev  $x \ y$ )
  then show ?case by simp
next
  case (less-imp-rev-less  $x \ y$ )
  then show ?case by simp
next
  case (less-imp-not-eq  $x \ y$ )
  then show ?case by simp
next
  case (less-imp-not-eq-rev  $x \ y$ )
  then show ?case by simp
next
  case (x-imp-x  $x1$ )
  then show ?case using evalDet
    using assms(2,3) by blast
next
  case (negate-false  $x1$ )
  then show ?case using evalDet
    using assms(2,3) by blast
next
  case (negate-true  $x \ y$ )
  then show ?case using logic-negation-relation
    by fastforce
qed
qed
next
assume KnownFalse: ?FP
show ?FC proof –
  have  $g \vdash x \ \& \ y \hookrightarrow \text{imp}$ 
  using KnownFalse assms(2) condition-implies.cases by blast
then show ?thesis
using assms KnownFalse proof (induct  $x \ y \ \text{imp}$  rule: implies.induct)
  case (eq-imp-less  $x \ y$ )
  obtain  $b \ xval$  where  $xval: g \ m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ xval$ 
    using eq-imp-less.prems(3) by blast
  then obtain  $yval$  where  $yval: g \ m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ yval$ 
    using eq-imp-less.prems(3)
    using evalDet by blast
  have equal:  $g \ m \vdash (\text{IntegerEqualsNode } x \ y) \mapsto \text{bool-to-val}(xval = yval)$ 
    using eval.IntegerEqualsNode
    using  $xval \ yval$  by blast

```

```

have lesseval:  $g \ m \vdash (IntegerLessThanNode \ x \ y) \mapsto bool\text{-}to\text{-}val(xval < yval)$ 
  using eval.IntegerLessThanNode
  using xval yval by blast
have xval = yval  $\longrightarrow \neg(xval < yval)$ 
  by blast
then show ?case
  using egeval lesseval
  by (metis (full-types) eq-imp-less.premis(3) eq-imp-less.premis(4) bool-to-val.simps(2)
evalDet val-to-bool.simps(1))
next
case (eq-imp-less-rev x y)
obtain b xval where xval:  $g \ m \vdash (kind \ g \ x) \mapsto IntVal \ b \ xval$ 
  using eq-imp-less-rev.premis(3) by blast
then obtain yval where yval:  $g \ m \vdash (kind \ g \ y) \mapsto IntVal \ b \ yval$ 
  using eq-imp-less-rev.premis(3)
  using evalDet by blast
have egeval:  $g \ m \vdash (IntegerEqualsNode \ x \ y) \mapsto bool\text{-}to\text{-}val(xval = yval)$ 
  using eval.IntegerEqualsNode
  using xval yval by blast
have lesseval:  $g \ m \vdash (IntegerLessThanNode \ y \ x) \mapsto bool\text{-}to\text{-}val(yval < xval)$ 
  using eval.IntegerLessThanNode
  using xval yval by blast
have xval = yval  $\longrightarrow \neg(yval < xval)$ 
  by blast
then show ?case
  using egeval lesseval
  by (metis (full-types) eq-imp-less-rev.premis(3) eq-imp-less-rev.premis(4) bool-to-val.simps(2)
evalDet val-to-bool.simps(1))
next
case (less-imp-rev-less x y)
obtain b xval where xval:  $g \ m \vdash (kind \ g \ x) \mapsto IntVal \ b \ xval$ 
  using less-imp-rev-less.premis(3) by blast
then obtain yval where yval:  $g \ m \vdash (kind \ g \ y) \mapsto IntVal \ b \ yval$ 
  using less-imp-rev-less.premis(3)
  using evalDet by blast
have lesseval:  $g \ m \vdash (IntegerLessThanNode \ x \ y) \mapsto bool\text{-}to\text{-}val(xval < yval)$ 
  using eval.IntegerLessThanNode
  using xval yval by blast
have revlesseval:  $g \ m \vdash (IntegerLessThanNode \ y \ x) \mapsto bool\text{-}to\text{-}val(yval < xval)$ 
  using eval.IntegerLessThanNode
  using xval yval by blast
have xval < yval  $\longrightarrow \neg(yval < xval)$ 
  by simp
then show ?case
  by (metis (full-types) bool-to-val.simps(2) evalDet less-imp-rev-less.premis(3,4)
less-imp-rev-less.premis(3) lesseval revlesseval val-to-bool.simps(1))
next
case (less-imp-not-eq x y)
obtain b xval where xval:  $g \ m \vdash (kind \ g \ x) \mapsto IntVal \ b \ xval$ 

```

```

    using less-imp-not-eq.premis(3) by blast
  then obtain yval where yval:  $g \vdash m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ yval$ 
    using less-imp-not-eq.premis(3)
    using evalDet by blast
  have egeval:  $g \vdash m \vdash (\text{IntegerEqualsNode } x \ y) \mapsto \text{bool-to-val}(xval = yval)$ 
    using eval.IntegerEqualsNode
    using xval yval by blast
  have lesseval:  $g \vdash m \vdash (\text{IntegerLessThanNode } x \ y) \mapsto \text{bool-to-val}(xval < yval)$ 
    using eval.IntegerLessThanNode
    using xval yval by blast
  have  $xval < yval \longrightarrow \neg(xval = yval)$ 
    by simp
  then show ?case
    by (metis (full-types) bool-to-val.simps(2) egeval evalDet less-imp-not-eq.premis(3,4)
less-imp-not-eq.premis(3) lesseval val-to-bool.simps(1))
  next
    case (less-imp-not-eq-rev x y)
    obtain b xval where xval:  $g \vdash m \vdash (\text{kind } g \ x) \mapsto \text{IntVal } b \ xval$ 
      using less-imp-not-eq-rev.premis(3) by blast
    then obtain yval where yval:  $g \vdash m \vdash (\text{kind } g \ y) \mapsto \text{IntVal } b \ yval$ 
      using less-imp-not-eq-rev.premis(3)
      using evalDet by blast
    have egeval:  $g \vdash m \vdash (\text{IntegerEqualsNode } y \ x) \mapsto \text{bool-to-val}(yval = xval)$ 
      using eval.IntegerEqualsNode
      using xval yval by blast
    have lesseval:  $g \vdash m \vdash (\text{IntegerLessThanNode } x \ y) \mapsto \text{bool-to-val}(xval < yval)$ 
      using eval.IntegerLessThanNode
      using xval yval by blast
    have  $xval < yval \longrightarrow \neg(yval = xval)$ 
      by simp
    then show ?case
      by (metis (full-types) bool-to-val.simps(2) egeval evalDet less-imp-not-eq-rev.premis(3,4)
less-imp-not-eq-rev.premis(3) lesseval val-to-bool.simps(1))
  next
    case (x-imp-x x1)
    then show ?case by simp
  next
    case (negate-false x y)
    then show ?case using logic-negation-relation sorry
  next
    case (negate-true x1)
    then show ?case by simp
qed
qed
qed

lemma implies-true-valid:
  assumes wf-graph  $g \wedge$  wf-values  $g$ 
  assumes  $g \vdash x \ \& \ y \rightharpoonup \text{imp}$ 

```

```

assumes  $imp = KnownTrue$ 
assumes  $g \ m \vdash x \mapsto v1$ 
assumes  $g \ m \vdash y \mapsto v2$ 
shows  $val\text{-}to\text{-}bool \ v1 \longrightarrow val\text{-}to\text{-}bool \ v2$ 
using assms implies-valid by blast

```

```

lemma implies-false-valid:
assumes  $wf\text{-}graph \ g \wedge wf\text{-}values \ g$ 
assumes  $g \vdash x \ \& \ y \rightarrow imp$ 
assumes  $imp = KnownFalse$ 
assumes  $g \ m \vdash x \mapsto v1$ 
assumes  $g \ m \vdash y \mapsto v2$ 
shows  $val\text{-}to\text{-}bool \ v1 \longrightarrow \neg(val\text{-}to\text{-}bool \ v2)$ 
using assms implies-valid by blast

```

The following relation corresponds to the `UnaryOpLogicNode.tryFold` and `BinaryOpLogicNode.tryFold` methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

```

inductive tryFold ::  $IRNode \Rightarrow (ID \Rightarrow Stamp) \Rightarrow TriState \Rightarrow bool$ 
where
   $\llbracket alwaysDistinct \ (stamps \ x) \ (stamps \ y) \rrbracket$ 
     $\Longrightarrow tryFold \ (IntegerEqualsNode \ x \ y) \ stamps \ KnownFalse \mid$ 
   $\llbracket neverDistinct \ (stamps \ x) \ (stamps \ y) \rrbracket$ 
     $\Longrightarrow tryFold \ (IntegerEqualsNode \ x \ y) \ stamps \ KnownTrue \mid$ 
   $\llbracket is\text{-}IntegerStamp \ (stamps \ x);$ 
     $is\text{-}IntegerStamp \ (stamps \ y);$ 
     $stpi\text{-}upper \ (stamps \ x) < stpi\text{-}lower \ (stamps \ y) \rrbracket$ 
     $\Longrightarrow tryFold \ (IntegerLessThanNode \ x \ y) \ stamps \ KnownTrue \mid$ 
   $\llbracket is\text{-}IntegerStamp \ (stamps \ x);$ 
     $is\text{-}IntegerStamp \ (stamps \ y);$ 
     $stpi\text{-}lower \ (stamps \ x) \geq stpi\text{-}upper \ (stamps \ y) \rrbracket$ 
     $\Longrightarrow tryFold \ (IntegerLessThanNode \ x \ y) \ stamps \ KnownFalse$ 

```

Proofs that show that when the stamp lookup function is well-formed, the `tryFold` relation correctly predicts the output value with respect to our evaluation semantics.

```

lemma tryFoldIntegerEqualsAlwaysDistinct:
assumes  $wf\text{-}stamp \ g \ stamps$ 
assumes  $kind \ g \ nid = (IntegerEqualsNode \ x \ y)$ 
assumes  $g \ m \vdash (kind \ g \ nid) \mapsto v$ 
assumes  $alwaysDistinct \ (stamps \ x) \ (stamps \ y)$ 
shows  $v = IntVal \ 1 \ 0$ 
using assms eval.IntegerEqualsNode join-unequal alwaysDistinct.simps
by (smt (verit, best) IntegerEqualsNodeE bool-to-val.simps(2) eval-in-ids wf-stamp.elims(2))

```

```

lemma tryFoldIntegerEqualsNeverDistinct:

```



```

assumes wf-stamp  $g$  stamps
assumes kind  $g$  nid = (IntegerEqualsNode  $x$   $y$ )
assumes  $g$   $m \vdash$  (kind  $g$  nid)  $\mapsto v$ 
assumes neverDistinct (stamps  $x$ ) (stamps  $y$ )
shows  $v = \text{IntVal } 1 \ 1$ 
using assms neverDistinctEqual IntegerEqualsNodeE
  by (smt (verit, ccfv-threshold) Value.inject(1) bool-to-val.simps(1) eval-in-ids
wf-stamp.simps)

```

**lemma** tryFoldIntegerLessThanTrue:

```

assumes wf-stamp  $g$  stamps
assumes kind  $g$  nid = (IntegerLessThanNode  $x$   $y$ )
assumes  $g$   $m \vdash$  (kind  $g$  nid)  $\mapsto v$ 
assumes stpi-upper (stamps  $x$ ) < stpi-lower (stamps  $y$ )
shows  $v = \text{IntVal } 1 \ 1$ 
proof –
  have stamp-type: is-IntegerStamp (stamps  $x$ )
    using assms
    by (metis IntegerLessThanNodeE Stamp.disc(2) Value.distinct(1) eval-in-ids
valid-value.elims(2) wf-stamp.elims(2))
  obtain xval  $b$  where xval:  $g$   $m \vdash$  kind  $g$   $x \mapsto \text{IntVal } b$  xval
    using assms(2,3) eval.IntegerLessThanNode by auto
  obtain yval  $b$  where yval:  $g$   $m \vdash$  kind  $g$   $y \mapsto \text{IntVal } b$  yval
    using assms(2,3) eval.IntegerLessThanNode by auto
  have is-IntegerStamp (stamps  $x$ )  $\wedge$  is-IntegerStamp (stamps  $y$ )
    using assms(4)
    by (metis stamp-type Stamp.disc(2) Value.distinct(1) assms(1) eval-in-ids
valid-value.elims(2) wf-stamp.simps yval)
  then have xval < yval
    using boundsNoOverlap xval yval assms(1,4)
    using eval-in-ids wf-stamp.elims(2)
    by metis
  then show ?thesis
    by (metis (full-types) IntegerLessThanNodeE Value.sel(3) assms(2) assms(3)
bool-to-val.simps(1) evalDet xval yval)
qed

```

**lemma** tryFoldIntegerLessThanFalse:

```

assumes wf-stamp  $g$  stamps
assumes kind  $g$  nid = (IntegerLessThanNode  $x$   $y$ )
assumes  $g$   $m \vdash$  (kind  $g$  nid)  $\mapsto v$ 
assumes stpi-lower (stamps  $x$ )  $\geq$  stpi-upper (stamps  $y$ )
shows  $v = \text{IntVal } 1 \ 0$ 
proof –
  have stamp-type: is-IntegerStamp (stamps  $x$ )
    using assms
    by (metis IntegerLessThanNodeE Stamp.disc(2) Value.distinct(1) eval-in-ids
valid-value.elims(2) wf-stamp.elims(2))
  obtain xval  $b$  where xval:  $g$   $m \vdash$  kind  $g$   $x \mapsto \text{IntVal } b$  xval

```

```

    using assms(2,3) eval.IntegerLessThanNode by auto
  obtain yval b where yval: g m ⊢ kind g y ↦ IntVal b yval
    using assms(2,3) eval.IntegerLessThanNode by auto
  have is-IntegerStamp (stamps x) ∧ is-IntegerStamp (stamps y)
    using assms(4)
    by (metis stamp-type Stamp.disc(2) Value.distinct(1) assms(1) eval-in-ids
        valid-value.elims(2) wf-stamp.simps yval)
  then have ¬(xval < yval)
    using boundsAlwaysOverlap xval yval assms(1,4)
    using eval-in-ids wf-stamp.elims(2)
    by metis
  then show ?thesis
    by (smt (verit, best) IntegerLessThanNodeE Value.inject(1) assms(2) assms(3)
        bool-to-val.simps(2) evalDet xval yval)
qed

```

**theorem** *tryFoldProofTrue:*

```

  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps tristate
  assumes tristate = KnownTrue
  assumes g m ⊢ kind g nid ↦ v
  shows val-to-bool v
    using assms(2) proof (induction kind g nid stamps tristate rule: tryFold.induct)
  case (1 stamps x y)
    then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms
      by (smt (verit, best) IRNode.distinct(949) TriState.distinct(5) tryFold.cases
          tryFoldIntegerEqualsNeverDistinct val-to-bool.simps(1))
  next
    case (2 stamps x y)
    then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms
      by (smt (verit, best) IRNode.distinct(949) TriState.distinct(5) tryFold.cases tryFold-
          IntegerEqualsNeverDistinct val-to-bool.simps(1))
  next
    case (3 stamps x y)
    then show ?case using tryFoldIntegerLessThanTrue assms
      by (smt (verit, best) IRNode.simps(994) TriState.simps(6) tryFold.cases val-to-bool.simps(1))
  next
    case (4 stamps x y)
    then show ?case using tryFoldIntegerLessThanFalse assms
      by (smt (verit, best) IRNode.simps(994) TriState.simps(6) tryFold.simps try-
          FoldIntegerLessThanTrue val-to-bool.simps(1))
  qed

```

**theorem** *tryFoldProofFalse:*

```

  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps tristate
  assumes tristate = KnownFalse
  assumes g m ⊢ (kind g nid) ↦ v
  shows ¬(val-to-bool v)

```

```

using assms(2) proof (induction kind g nid stamps tristate rule: tryFold.induct)
case (1 stamps x y)
  then show ?case using tryFoldIntegerEqualsAlwaysDistinct assms
    by (smt (verit, best) IRNode.distinct(949) TriState.distinct(5) Value.inject(1)
tryFold.cases val-to-bool.elims(2))
  next
case (2 stamps x y)
  then show ?case using tryFoldIntegerEqualsNeverDistinct assms
    by (smt (verit, best) IRNode.distinct(949) TriState.distinct(5) Value.inject(1)
tryFold.cases tryFoldIntegerEqualsAlwaysDistinct val-to-bool.elims(2))
  next
  case (3 stamps x y)
  then show ?case using tryFoldIntegerLessThanTrue assms
    by (smt (verit, best) TriState.distinct(5) tryFold.cases tryFoldIntegerEqualsAl-
waysDistinct tryFoldIntegerLessThanFalse val-to-bool.simps(1))
  next
  case (4 stamps x y)
  then show ?case using tryFoldIntegerLessThanFalse assms
    by (smt (verit, best) TriState.distinct(5) tryFold.cases tryFoldIntegerEqualsAl-
waysDistinct val-to-bool.simps(1))
qed

```

**inductive-cases** *StepE*:

$$g \vdash (nid, m, h) \rightarrow (nid', m', h)$$

Perform conditional elimination rewrites on the graph for a particular node. In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

**inductive** *ConditionalEliminationStep* ::

*IRNode set*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**  
*impliesTrue*:

$$\begin{aligned}
& \llbracket \text{kind } g \text{ ifcond} = (\text{IfNode } cid \text{ } t \text{ } f); \\
& \quad \text{cond} = \text{kind } g \text{ } cid; \\
& \quad \exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownTrue}); \\
& \quad g' = \text{constantCondition True ifcond (kind } g \text{ ifcond) } g \\
& \rrbracket \implies \text{ConditionalEliminationStep conds stamps } g \text{ ifcond } g' \mid
\end{aligned}$$

*impliesFalse*:

$$\begin{aligned}
& \llbracket \text{kind } g \text{ ifcond} = (\text{IfNode } cid \text{ } t \text{ } f); \\
& \quad \text{cond} = \text{kind } g \text{ } cid; \\
& \quad \exists c \in \text{conds} . (g \vdash c \ \& \ \text{cond} \hookrightarrow \text{KnownFalse}); \\
& \rrbracket
\end{aligned}$$

```

g' = constantCondition False ifcond (kind g ifcond) g
]] ==> ConditionalEliminationStep conds stamps g ifcond g' |

```

```

tryFoldTrue:
[[kind g ifcond = (IfNode cid t f);
 cond = kind g cid;
 tryFold (kind g cid) stamps KnownTrue;
 g' = constantCondition True ifcond (kind g ifcond) g
]] ==> ConditionalEliminationStep conds stamps g ifcond g' |

```

```

tryFoldFalse:
[[kind g ifcond = (IfNode cid t f);
 cond = kind g cid;
 tryFold (kind g cid) stamps KnownFalse;
 g' = constantCondition False ifcond (kind g ifcond) g
]] ==> ConditionalEliminationStep conds stamps g ifcond g'

```

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *ConditionalEliminationStep* .

**thm** *ConditionalEliminationStep.equation*

## 9.2 Control-flow Graph Traversal

```

type-synonym Seen = ID set
type-synonym Conditions = IRNode list
type-synonym StampFlow = (ID  $\Rightarrow$  Stamp) list

```

nextEdge helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, None is returned instead.

```

fun nextEdge :: Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option where
  nextEdge seen nid g =
    (let nids = (filter ( $\lambda \text{nid}'$ . nid'  $\notin$  seen) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))

```

pred determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```

fun pred :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -)  $\Rightarrow$  Some (hd ends) |
    -  $\Rightarrow$ 

```

```

    (if IRGraph.predecessors g nid = {}
      then None else
      Some (hd (sorted-list-of-set (IRGraph.predecessors g nid)))
    )
  )
)

```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the registerNewCondition function which roughly corresponds to the ConditionalEliminationPhase.registerNewCondition. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```

fun clip-upper :: Stamp ⇒ int ⇒ Stamp where
  clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
  clip-upper s c = s
fun clip-lower :: Stamp ⇒ int ⇒ Stamp where
  clip-lower (IntegerStamp b l h) c = (IntegerStamp b c h) |
  clip-lower s c = s

```

```

fun registerNewCondition :: IRGraph ⇒ IRNode ⇒ (ID ⇒ Stamp) ⇒ (ID ⇒ Stamp) where

```

```

  registerNewCondition g (IntegerEqualsNode x y) stamps =
    (stamps(x := join (stamps x) (stamps y)))(y := join (stamps x) (stamps y)) |

  registerNewCondition g (IntegerLessThanNode x y) stamps =
    (stamps
      (x := clip-upper (stamps x) (stpi-lower (stamps y))))
    (y := clip-lower (stamps y) (stpi-upper (stamps x))) |
  registerNewCondition g - stamps = stamps

```

```

fun hdOr :: 'a list ⇒ 'a ⇒ 'a where
  hdOr (x # xs) de = x |
  hdOr [] de = de

```

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always true stack of IfNode conditions, and the flow-sensitive stamp information.

**inductive** Step

```

  :: IRGraph ⇒ (ID × Seen × Conditions × StampFlow) ⇒ (ID × Seen × Conditions × StampFlow) option ⇒ bool

```

**for** g **where**

- Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. nid' will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform

any stamp updates based on the condition using the `registerNewCondition` function and place them on the top of the stack of stamp information

$\llbracket \text{kind } g \text{ nid} = \text{BeginNode } \text{nid}' ;$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{Some } \text{ifcond} = \text{pred } g \text{ nid};$   
 $\text{kind } g \text{ ifcond} = \text{IfNode } \text{cond } t \text{ f};$

$i = \text{find-index } \text{nid} \text{ (successors-of (kind } g \text{ ifcond))};$   
 $c = (\text{if } i = 0 \text{ then kind } g \text{ cond else NegateNode cond});$   
 $\text{conds}' = c \# \text{ conds};$

$\text{flow}' = \text{registerNewCondition } g \text{ (kind } g \text{ cond) (hdOr flow (stamp } g))\rrbracket$   
 $\implies \text{Step } g \text{ (nid, seen, conds, flow) (Some (nid', seen', conds', flow' \# flow))} \mid$

— Hit an EndNode 1.  $\text{nid}'$  will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket \text{kind } g \text{ nid} = \text{EndNode};$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{nid}' = \text{any-usage } g \text{ nid};$

$\text{conds}' = \text{tl conds};$   
 $\text{flow}' = \text{tl flow}\rrbracket$   
 $\implies \text{Step } g \text{ (nid, seen, conds, flow) (Some (nid', seen', conds', flow'))} \mid$

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(\text{is-EndNode (kind } g \text{ nid)});$   
 $\neg(\text{is-BeginNode (kind } g \text{ nid)});$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{Some } \text{nid}' = \text{nextEdge seen' nid } g\rrbracket$   
 $\implies \text{Step } g \text{ (nid, seen, conds, flow) (Some (nid', seen', conds, flow))} \mid$

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(\text{is-EndNode (kind } g \text{ nid)});$   
 $\neg(\text{is-BeginNode (kind } g \text{ nid)});$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{None} = \text{nextEdge seen' nid } g\rrbracket$   
 $\implies \text{Step } g \text{ (nid, seen, conds, flow) None} \mid$

— We've already seen this node, give back None  
 $\llbracket nid \in seen \rrbracket \implies Step\ g\ (nid, seen, conds, flow)\ None$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *Step* .

The ConditionalEliminationPhase relation is responsible for combining the individual traversal steps from the Step relation and the optimizations from the ConditionalEliminationStep relation to perform a transformation of the whole graph.

**inductive** *ConditionalEliminationPhase*

$:: IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow IRGraph \Rightarrow bool$

**where**

— Can do a step and optimise for the current node  
 $\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow')) \rrbracket;$   
 $ConditionalEliminationStep\ (set\ conds)\ (hdOr\ flow\ (stamp\ g))\ g\ nid\ g';$

$ConditionalEliminationPhase\ g'\ (nid', seen', conds', flow')\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g'' \mid$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep  
 $\llbracket Step\ g\ (nid, seen, conds, flow)\ (Some\ (nid', seen', conds', flow')) \rrbracket;$

$ConditionalEliminationPhase\ g\ (nid', seen', conds', flow')\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step but there is a predecessor we can backtrace to  
 $\llbracket Step\ g\ (nid, seen, conds, flow)\ None \rrbracket;$   
 $Some\ nid' = pred\ g\ nid;$   
 $seen' = \{nid\} \cup seen;$   
 $ConditionalEliminationPhase\ g\ (nid', seen', conds, flow)\ g' \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g' \mid$

— Can't do a step and have no predecessors so terminate  
 $\llbracket Step\ g\ (nid, seen, conds, flow)\ None \rrbracket;$   
 $None = pred\ g\ nid \rrbracket$   
 $\implies ConditionalEliminationPhase\ g\ (nid, seen, conds, flow)\ g$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *ConditionalEliminationPhase* .

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow o \Rightarrow bool$ ) *ConditionalEliminationPhaseWithTrace* .

**lemma** *IfNodeStepE*:  $g \vdash (nid, m, h) \rightarrow (nid', m', h) \implies$

```

( $\wedge$  cond tb fb val.
  kind g nid = IfNode cond tb fb  $\implies$ 
  nid' = (if val-to-bool val then tb else fb)  $\implies$ 
  g m  $\vdash$  kind g cond  $\mapsto$  val  $\implies$  m' = m)
using StepE
by (smt (verit, best) IfNode Pair-inject stepDet)

lemma ifNodeHasCondEvalStutter:
  assumes (g m h  $\vdash$  nid  $\rightsquigarrow$  nid')
  assumes kind g nid = IfNode cond t f
  shows  $\exists$  v. (g m  $\vdash$  kind g cond  $\mapsto$  v)
  using IfNodeStepE assms(1) assms(2) stutter.cases
  by (meson IfNodeCond)

lemma ifNodeHasCondEval:
  assumes (g  $\vdash$  (nid, m, h)  $\rightarrow$  (nid', m', h'))
  assumes kind g nid = IfNode cond t f
  shows  $\exists$  v. (g m  $\vdash$  kind g cond  $\mapsto$  v)
  using IfNodeStepE assms(1) assms(2)
  by (smt (z3) IRNode.disc(932) IRNode.simps(938) IRNode.simps(958) IRNode.simps(972) IRNode.simps(974) IRNode.simps(978) Pair-inject StutterStep ifNodeHasCondEvalStutter is-AbstractEndNode.simps is-EndNode.simps(12) step.cases)

lemma replace-if-t:
  assumes kind g nid = IfNode cond tb fb
  assumes g m  $\vdash$  kind g cond  $\mapsto$  bool
  assumes val-to-bool bool
  assumes g': g' = replace-usages nid tb g
  shows  $\exists$  nid'. (g m h  $\vdash$  nid  $\rightsquigarrow$  nid')  $\longleftrightarrow$  (g' m h  $\vdash$  nid  $\rightsquigarrow$  nid')
proof -
  have g1step: g  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h)
  by (meson IfNode assms(1) assms(2) assms(3))
  have g2step: g'  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h)
  using g' unfolding replace-usages.simps
  by (simp add: stepRefNode)
  from g1step g2step show ?thesis
  using StutterStep by blast
qed

lemma replace-if-t-imp:
  assumes kind g nid = IfNode cond tb fb
  assumes g m  $\vdash$  kind g cond  $\mapsto$  bool
  assumes val-to-bool bool
  assumes g': g' = replace-usages nid tb g
  shows  $\exists$  nid'. (g m h  $\vdash$  nid  $\rightsquigarrow$  nid')  $\longrightarrow$  (g' m h  $\vdash$  nid  $\rightsquigarrow$  nid')
  using replace-if-t assms by blast

lemma replace-if-f:

```



```

assumes kind g nid = IfNode cond tb fb
assumes g m  $\vdash$  kind g cond  $\mapsto$  bool
assumes  $\neg(\text{val-to-bool } \text{bool})$ 
assumes g': g' = replace-usages nid fb g
shows  $\exists \text{nid}' . (g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$ 
proof –
  have g1step: g  $\vdash$  (nid, m, h)  $\rightarrow$  (fb, m, h)
    by (meson IfNode assms(1) assms(2) assms(3))
  have g2step: g'  $\vdash$  (nid, m, h)  $\rightarrow$  (fb, m, h)
    using g' unfolding replace-usages.simps
    by (simp add: stepRefNode)
  from g1step g2step show ?thesis
  using StutterStep by blast
qed

```

Prove that the individual conditional elimination rules are correct with respect to preservation of stuttering steps.

**lemma** *ConditionalEliminationStepProof*:

```

assumes wg: wf-graph g
assumes ws: wf-stamps g
assumes wv: wf-values g
assumes nid: nid  $\in$  ids g
assumes conds-valid:  $\forall \ c \in \text{conds} . \exists \ v . (g \ m \vdash c \mapsto v) \wedge \text{val-to-bool } v$ 
assumes ce: ConditionalEliminationStep conds stamps g nid g'

shows  $\exists \text{nid}' . (g \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}') \longrightarrow (g' \ m \ h \vdash \text{nid} \rightsquigarrow \text{nid}')$ 
using ce using assms
proof (induct g nid g' rule: ConditionalEliminationStep.induct)
  case (impliesTrue g ifcond cid t f cond conds g')
  show ?case proof (cases (g m h  $\vdash$  ifcond  $\rightsquigarrow$  nid'))
    case True
    obtain condu where condu: g m  $\vdash$  kind g cid  $\mapsto$  condu
      using implies.simps impliesTrue.hyps(3) impliesTrue.prem(4)
      using impliesTrue.hyps(2) True
      by (metis ifNodeHasCondEvalStutter impliesTrue.hyps(1))
    have conduTrue: val-to-bool condu
      by (metis condition-implies.intros(2) condu impliesTrue.hyps(2) impliesTrue.hyps(3)
impliesTrue.prem(1) impliesTrue.prem(3) impliesTrue.prem(5) implies-true-valid)
    then show ?thesis
      using constantConditionValid
      using impliesTrue.hyps(1) condu impliesTrue.hyps(4)
      by blast
    next
    case False
    then show ?thesis by auto
  qed
next
  case (impliesFalse g ifcond cid t f cond conds g')
  then show ?case

```

```

proof (cases (g m h ⊢ ifcond ~→ nid'))
  case True
    obtain condv where condv: g m ⊢ kind g cid ↦ condv
    using ifNodeHasCondEvalStutter impliesFalse.hyps(1)
    using True by blast
    have condvFalse: False = val-to-bool condv
      by (metis condition-implies.intros(2) condv impliesFalse.hyps(2) implies-
False.hyps(3) impliesFalse.prem(1) impliesFalse.prem(3) impliesFalse.prem(5)
implies-false-valid)
    then show ?thesis
      using constantConditionValid
      using impliesFalse.hyps(1) condv impliesFalse.hyps(4)
      by blast
  next
    case False
    then show ?thesis
      by auto
  qed
next
  case (tryFoldTrue g ifcond cid t f cond g' conds)
  then show ?case using constantConditionValid tryFoldProofTrue
    using StutterStep constantConditionTrue by metis
next
  case (tryFoldFalse g ifcond cid t f cond g' conds)
  then show ?case using constantConditionValid tryFoldProofFalse
    using StutterStep constantConditionFalse by metis
qed

```

Prove that the individual conditional elimination rules are correct with respect to finding a bisimulation between the unoptimized and optimized graphs.

**lemma** *ConditionalEliminationStepProofBisimulation:*

```

assumes wf: wf-graph g ∧ wf-stamp g stamps ∧ wf-values g
assumes nid: nid ∈ ids g
assumes conds-valid: ∀ c ∈ conds . ∃ v. (g m ⊢ c ↦ v) ∧ val-to-bool v
assumes ce: ConditionalEliminationStep conds stamps g nid g'
assumes gstep: ∃ h nid'. (g ⊢ (nid, m, h) → (nid', m, h))

shows nid | g ~ g'
using ce gstep using asms
proof (induct g nid g' rule: ConditionalEliminationStep.induct)
  case (impliesTrue g ifcond cid t f cond conds g' stamps)
  from impliesTrue(5) obtain h where gstep: g ⊢ (ifcond, m, h) → (t, m, h)
    by (metis IfNode StutterStep condition-implies.intros(2) ifNodeHasCondEval-
Stutter impliesTrue.hyps(1) impliesTrue.hyps(2) impliesTrue.hyps(3) impliesTrue.prem(2)
impliesTrue.prem(4) implies-true-valid)
  have g' ⊢ (ifcond, m, h) → (t, m, h)
    using constantConditionTrue impliesTrue.hyps(1) impliesTrue.hyps(4) by blast
  then show ?case using gstep

```

```

    by (metis stepDet strong-noop-bisimilar.intros)
next
  case (impliesFalse g ifcond cid t f cond conds g' stamps)
  from impliesFalse(5) obtain h where gstep: g ⊢ (ifcond, m, h) → (f, m, h)
  by (metis IfNode condition-implies.intros(2) ifNodeHasCondEval impliesFalse.hyps(1)
impliesFalse.hyps(2) impliesFalse.hyps(3) impliesFalse.prem(2) impliesFalse.prem(4)
implies-false-valid)
  have g' ⊢ (ifcond, m, h) → (f, m, h)
  using constantConditionFalse impliesFalse.hyps(1) impliesFalse.hyps(4) by blast
  then show ?case using gstep
  by (metis stepDet strong-noop-bisimilar.intros)
next
  case (tryFoldTrue g ifcond cid t f cond stamps g' conds)
  from tryFoldTrue(5) obtain val where g m ⊢ kind g cid ↦ val
  using ifNodeHasCondEval tryFoldTrue.hyps(1) by blast
  then have val-to-bool val
  using tryFoldProofTrue tryFoldTrue.prem(2) tryFoldTrue(3)
  by blast
  then obtain h where gstep: g ⊢ (ifcond, m, h) → (t, m, h)
  using tryFoldTrue(5)
  by (meson IfNode ⟨g m ⊢ kind g cid ↦ val⟩ tryFoldTrue.hyps(1))
  have g' ⊢ (ifcond, m, h) → (t, m, h)
  using constantConditionTrue tryFoldTrue.hyps(1) tryFoldTrue.hyps(4) by pres-
burger
  then show ?case using gstep
  by (metis stepDet strong-noop-bisimilar.intros)
next
  case (tryFoldFalse g ifcond cid t f cond stamps g' conds)
  from tryFoldFalse(5) obtain h where gstep: g ⊢ (ifcond, m, h) → (f, m, h)
  by (meson IfNode ifNodeHasCondEval tryFoldFalse.hyps(1) tryFoldFalse.hyps(3)
tryFoldFalse.prem(2) tryFoldProofFalse)
  have g' ⊢ (ifcond, m, h) → (f, m, h)
  using constantConditionFalse tryFoldFalse.hyps(1) tryFoldFalse.hyps(4) by blast
  then show ?case using gstep
  by (metis stepDet strong-noop-bisimilar.intros)
qed

```

Mostly experimental proofs from here on out.

**lemma if-step:**

```

  assumes nid ∈ ids g
  assumes (kind g nid) ∈ control-nodes
  shows (g m h ⊢ nid ~⇒ nid')
  using assms apply (cases kind g nid) sorry

```

**lemma StepConditionsValid:**

```

  assumes ∀ cond ∈ set conds. (g m ⊢ cond ↦ v) ∧ val-to-bool v
  assumes Step g (nid, seen, conds, flow) (Some (nid', seen', conds', flow'))
  shows ∀ cond ∈ set conds'. (g m ⊢ cond ↦ v) ∧ val-to-bool v
  using assms(2)

```

```

proof (induction (nid, seen, conds, flow) Some (nid', seen', conds', flow') rule:
Step.induct)
  case (1 ifcond cond t f i c)
  obtain cv where cv: g m  $\vdash$  c  $\mapsto$  cv
  sorry
  have cvt: val-to-bool cv
  sorry
  have set conds' = {c}  $\cup$  set conds
  using 1.hyps(8) by auto
  then show ?case using cv cvt assms(1) sorry
next
  case (2)
  from 2(5) have set conds'  $\subseteq$  set conds
  by (metis list.sel(2) list.set-sel(2) subsetI)
  then show ?case using assms(1)
  by blast
next
case (3)
  then show ?case
  using assms(1) by force
qed

lemma ConditionalEliminationPhaseProof:
  assumes wf-graph g
  assumes wf-stamps g
  assumes ConditionalEliminationPhase g (0, {}, [], []) g'

  shows  $\exists$  nid'. (g m h  $\vdash$  0  $\rightsquigarrow$  nid')  $\longrightarrow$  (g' m h  $\vdash$  0  $\rightsquigarrow$  nid')
proof -
  have 0  $\in$  ids g
  using assms(1) wf-folds by blast
  show ?thesis
using assms(3) assms proof (induct rule: ConditionalEliminationPhase.induct)
case (1 g nid g' succs nid' g'')
  then show ?case sorry
next
  case (2 succs g nid nid' g'')
  then show ?case sorry
next
  case (3 succs g nid)
  then show ?case
  by simp
next
  case (4)
  then show ?case sorry
qed
qed

end

```

## 10 Graph Construction Phase

```

theory
  Construction
imports
  Proofs.Bisimulation
  Proofs.IRGraphFrames
begin

lemma add-const-nodes:
  assumes xn: kind g x = (ConstantNode (IntVal b xv))
  assumes yn: kind g y = (ConstantNode (IntVal b yv))
  assumes zn: kind g z = (AddNode x y)
  assumes wn: kind g w = (ConstantNode (intval-add (IntVal b xv) (IntVal b yv)))
  assumes val: intval-add (IntVal b xv) (IntVal b yv) = IntVal b v1
  assumes ez: g m ⊢ (kind g z) ↦ (IntVal b v1)
  assumes ew: g m ⊢ (kind g w) ↦ (IntVal b v2)
  shows v1 = v2
proof –
  have zv: g m ⊢ (kind g z) ↦ IntVal b v1
    using eval.AddNode eval.ConstantNode xn yn zn val plus-Value-def by metis
  have wv: g m ⊢ (kind g w) ↦ IntVal b v2
    using eval.ConstantNode wn ew by blast
  show ?thesis using evalDet zv wv ew ez
    using ConstantNode val wn by auto
qed

lemma add-val-xzero:
  shows intval-add (IntVal b 0) (IntVal b yv) = (IntVal b yv)
  unfolding intval-add.simps sorry

lemma add-val-yzero:
  shows intval-add (IntVal b xv) (IntVal b 0) = (IntVal b xv)
  unfolding intval-add.simps sorry

fun create-add :: IRGraph ⇒ ID ⇒ ID ⇒ IRNode where
  create-add g x y =
    (case (kind g x) of
      ConstantNode (IntVal b xv) ⇒
        (case (kind g y) of
          ConstantNode (IntVal b yv) ⇒
            ConstantNode (intval-add (IntVal b xv) (IntVal b yv)) |
            - ⇒ if xv = 0 then RefNode y else AddNode x y
          ) |
      - ⇒ (case (kind g y) of
        ConstantNode (IntVal b yv) ⇒
          if yv = 0 then RefNode x else AddNode x y |

```

```

      -  $\Rightarrow$  AddNode x y
    )
  )

```

**lemma** *add-node-create*:

```

assumes xv:  $g\ m \vdash (\textit{kind}\ g\ x) \mapsto \textit{IntVal}\ b\ xv$ 
assumes yv:  $g\ m \vdash (\textit{kind}\ g\ y) \mapsto \textit{IntVal}\ b\ yv$ 
assumes res:  $\textit{res} = \textit{intval-add}\ (\textit{IntVal}\ b\ xv)\ (\textit{IntVal}\ b\ yv)$ 
shows
  ( $g\ m \vdash (\textit{AddNode}\ x\ y) \mapsto \textit{res}$ )  $\wedge$ 
  ( $g\ m \vdash (\textit{create-add}\ g\ x\ y) \mapsto \textit{res}$ )

```

**proof** –

```

let ?P = ( $g\ m \vdash (\textit{AddNode}\ x\ y) \mapsto \textit{res}$ )
let ?Q = ( $g\ m \vdash (\textit{create-add}\ g\ x\ y) \mapsto \textit{res}$ )
have P: ?P
  using xv yv res eval.AddNode plus-Value-def by metis
have Q: ?Q
proof (cases is-ConstantNode (kind g x))
  case xconst: True
  then show ?thesis
  proof (cases is-ConstantNode (kind g y))
  case yconst: True
  have create-add g x y = ConstantNode res
    using xconst yconst
    using ConstantNodeE is-ConstantNode-def xv yv res by auto
  then show ?thesis using eval.ConstantNode by simp
next
  case yconst: False
  have kind g x = ConstantNode (IntVal b xv)
    using ConstantNodeE xconst
    by (metis is-ConstantNode-def xv)
  then have add-def:
    create-add g x y = (if xv = 0 then RefNode y else AddNode x y)
    using xconst yconst is-ConstantNode-def
    unfolding create-add.simps
    by (simp split: IRNode.split)
  then show ?thesis
  proof (cases xv = 0)
  case xzero: True
  have ref: create-add g x y = RefNode y
    using xzero add-def
    by meson
  have refval:  $g\ m \vdash \textit{RefNode}\ y \mapsto \textit{IntVal}\ b\ yv$ 
    using eval.RefNode yv by simp
  have res = IntVal b yv
    using res unfolding xzero add-val-xzero by simp

```

```

    then show ?thesis using xzero ref refval by simp
  next
    case xnotzero: False
    then show ?thesis
      using P add-def by presburger
    qed
  qed
next
case notxconst: False
then show ?thesis
proof (cases is-ConstantNode (kind g y))
  case yconst: True
  have kind g y = ConstantNode (IntVal b yv)
    using ConstantNodeE yconst
    by (metis is-ConstantNode-def yv)
  then have add-def:
    create-add g x y = (if yv = 0 then RefNode x else AddNode x y)
    using notxconst yconst is-ConstantNode-def
    unfolding create-add.simps
    by (simp split: IRNode.split)
  then show ?thesis
  proof (cases yv = 0)
    case yzero: True
    have ref: create-add g x y = RefNode x
      using yzero add-def
      by meson
    have refval: g m ⊢ RefNode x ↦ IntVal b xv
      using eval.RefNode xv by simp
    have res = IntVal b xv
      using res unfolding yzero add-val-yzero by simp
    then show ?thesis using yzero ref refval by simp
  next
    case ynotzero: False
    then show ?thesis
      using P add-def by presburger
    qed
  qed
next
case notyconst: False
have create-add g x y = AddNode x y
  using notxconst notyconst is-ConstantNode-def
  create-add.simps by (simp split: IRNode.split)
then show ?thesis
  using P by presburger
qed
qed
from P Q show ?thesis by simp
qed

```

```

fun add-node-fake :: ID  $\Rightarrow$  IRNode  $\Rightarrow$  IRGraph  $\Rightarrow$  IRGraph where
  add-node-fake nid k g = add-node nid (k, VoidStamp) g
lemma add-node-lookup-fake:
  assumes gup = add-node-fake nid k g
  assumes nid  $\notin$  ids g
  shows kind gup nid = k
  using add-node-lookup proof (cases k = NoNode)
  case True
  have kind g nid = NoNode
    using assms(2)
    using not-in-g by blast
  then show ?thesis using assms
    by (metis add-node-fake.simps add-node-lookup)
next
  case False
  then show ?thesis
    by (simp add: add-node-lookup assms(1))
qed
lemma add-node-unchanged-fake:
  assumes new  $\notin$  ids g
  assumes nid  $\in$  ids g
  assumes gup = add-node-fake new k g
  assumes wf-graph g
  shows unchanged (eval-usages g nid) g gup
  using add-node-fake.simps add-node-unchanged assms by blast

lemma dom-add-unchanged:
  assumes nid  $\in$  ids g
  assumes g' = add-node-fake n k g
  assumes nid  $\neq$  n
  shows nid  $\in$  ids g'
  using add-changed assms(1) assms(2) assms(3) by force

lemma preserve-wf:
  assumes wf: wf-graph g
  assumes nid  $\notin$  ids g
  assumes closed: inputs g' nid  $\cup$  succ g' nid  $\subseteq$  ids g
  assumes g': g' = add-node-fake nid k g
  shows wf-graph g'
  using assms unfolding wf-folds
  apply (intro conjI)
    apply (metis dom-add-unchanged)
    apply (metis add-node-unchanged-fake assms(1) kind-unchanged)
  sorry

lemma equal-closure-bisimilar:
  assumes  $\{P'. (g \ m \ h \vdash \text{nid} \rightsquigarrow P')\} = \{P'. (g' \ m \ h \vdash \text{nid} \rightsquigarrow P')\}$ 
  shows nid . g  $\sim$  g'

```



```

by (metis assms weak-bisimilar.simps mem-Collect-eq)

lemma wf-size:
  assumes  $nid \in ids\ g$ 
  assumes wf-graph  $g$ 
  assumes is-AbstractEndNode (kind  $g\ nid$ )
  shows  $card\ (usages\ g\ nid) > 0$ 
  using assms unfolding wf-folds
  by fastforce

lemma sequentials-have-successors:
  assumes is-sequential-node  $n$ 
  shows  $size\ (successors-of\ n) > 0$ 
  using assms by (cases  $n$ ; auto)

lemma step-reaches-successors-only:
  assumes  $(g \vdash (nid, m, h) \rightarrow (nid', m, h))$ 
  assumes wf: wf-graph  $g$ 
  shows  $nid' \in succ\ g\ nid \vee nid' \in usages\ g\ nid$ 
  using assms proof (induct  $(nid, m, h)\ (nid', m, h)$  rule: step.induct)
  case SequentialNode
  then show ?case using sequentials-have-successors
    by (metis nth-mem succ.simps)
next
  case (IfNode cond tb fb val)
  then show ?case using successors-of-IfNode
    by (simp add: IfNode.hyps(1))
next
  case (EndNodes i phis inputs vs)
  have  $nid \in ids\ g$ 
  using assms(1) step-in-ids
  by blast
  then have usage-size:  $card\ (usages\ g\ nid) > 0$ 
  using wf EndNodes(1) wf-size
  by blast
  then have usage-size:  $size\ (sorted-list-of-set\ (usages\ g\ nid)) > 0$ 
  by (metis length-sorted-list-of-set)
  have  $usages\ g\ nid \subseteq ids\ g$ 
  using wf by fastforce
  then have finite-usage: finite  $(usages\ g\ nid)$ 
  by (metis bot-nat-0.extremum-strict list.size(3) sorted-list-of-set.infinite usage-size)
  from EndNodes(2) have  $nid' \in usages\ g\ nid$ 
  unfolding any-usage.simps
  using usage-size finite-usage
  by (metis hd-in-set length-greater-0-conv sorted-list-of-set(1))
  then show ?case
  by simp
next

```

```

    case (NewInstanceNode f obj ref)
    then show ?case using successors-of-NewInstanceNode by simp
next
    case (LoadFieldNode f obj ref v)
    then show ?case by simp
next
    case (SignedDivNode x y zero sb v1 v2 v)
    then show ?case by simp
next
    case (SignedRemNode x y zero sb v1 v2 v)
    then show ?case by simp
next
    case (StaticLoadFieldNode f v)
    then show ?case by simp
next
    case (StoreFieldNode f newval uu obj val ref)
    then show ?case by simp
next
    case (StaticStoreFieldNode f newval uv val)
    then show ?case by simp
qed

```

```

lemma stutter-closed:
  assumes  $g \ m \ h \vdash \text{id} \rightsquigarrow \text{id}'$ 
  assumes wf-graph  $g$ 
  shows  $\exists \ n \in \text{ids } g . \text{id}' \in \text{succ } g \ n \vee \text{id}' \in \text{usages } g \ n$ 
  using assms
proof (induct  $\text{id} \ \text{id}'$  rule: stutter.induct)
  case (StutterStep  $\text{id} \ \text{id}'$ )
  have  $\text{id} \in \text{ids } g$ 
  using StutterStep.hyps step-in-ids by blast
  then show ?case using StutterStep step-reaches-successors-only
    by blast
next
  case (Transitive  $\text{id} \ \text{id}'' \ \text{id}'$ )
  then show ?case
    by blast
qed

```

```

lemma unchanged-step:
  assumes  $g \vdash (\text{id}, m, h) \rightarrow (\text{id}', m, h)$ 
  assumes wf: wf-graph  $g$ 
  assumes kind:  $\text{kind } g \ \text{id} = \text{kind } g' \ \text{id}$ 
  assumes unchanged:  $\text{unchanged } (\text{eval-usages } g \ \text{id}) \ g \ g'$ 
  assumes succ:  $\text{succ } g \ \text{id} = \text{succ } g' \ \text{id}$ 

  shows  $g' \vdash (\text{id}, m, h) \rightarrow (\text{id}', m, h)$ 
using assms proof (induct  $(\text{id}, m, h) \ (\text{id}', m, h)$  rule: step.induct)

```

```

case SequentialNode
  then show ?case
    by (metis step.SequentialNode)
next
  case (IfNode cond tb fb val)
  then show ?case using stay-same step.IfNode
    by (metis (no-types, lifting) IRNodes.inputs-of-IfNode child-unchanged in-
puts.elims list.set-intros(1))
next
  case (EndNodes i phis inputs vs)
  then show ?case sorry
next
  case (NewInstanceNode f obj ref)
  then show ?case using step.NewInstanceNode
    by metis
next
  case (LoadFieldNode f obj ref v)
  have obj  $\in$  inputs g nid
    using LoadFieldNode(1) inputs-of-LoadFieldNode
    using opt-to-list.simps
    by (simp add: LoadFieldNode.hyps(1))
  then have unchanged (eval-usages g obj) g g'
    using unchanged
    using child-unchanged by blast
  then have g' m  $\vdash$  kind g' obj  $\mapsto$  ObjRef ref
    using unchanged wf stay-same
    using LoadFieldNode.hyps(2) by presburger
  then show ?case using step.LoadFieldNode
    by (metis LoadFieldNode.hyps(1) LoadFieldNode.hyps(3) LoadFieldNode.hyps(4)
assms(3))
next
  case (SignedDivNode x y zero sb v1 v2 v)
  have x  $\in$  inputs g nid
    using SignedDivNode(1) inputs-of-SignedDivNode
    using opt-to-list.simps
    by (simp add: SignedDivNode.hyps(1))
  then have unchanged (eval-usages g x) g g'
    using unchanged
    using child-unchanged by blast
  then have g' m  $\vdash$  kind g' x  $\mapsto$  v1
    using unchanged wf stay-same
    using SignedDivNode.hyps(2) by presburger
  have y  $\in$  inputs g nid
    using SignedDivNode(1) inputs-of-SignedDivNode
    using opt-to-list.simps
    by (simp add: SignedDivNode.hyps(1))
  then have unchanged (eval-usages g y) g g'
    using unchanged
    using child-unchanged by blast

```

```

then have  $g' m \vdash \text{kind } g' y \mapsto v2$ 
  using unchanged wf stay-same
  using SignedDivNode.hyps(3) by presburger
then show ?case using step.SignedDivNode
  by (metis SignedDivNode.hyps(1) SignedDivNode.hyps(4) SignedDivNode.hyps(5)
 $\langle g' m \vdash \text{kind } g' x \mapsto v1 \rangle \text{kind}$ )
next
case (SignedRemNode  $x y \text{ zero sb } v1 v2 v$ )
have  $x \in \text{inputs } g \text{ nid}$ 
  using SignedRemNode(1) inputs-of-SignedRemNode
  using opt-to-list.simps
  by (simp add: SignedRemNode.hyps(1))
then have unchanged (eval-usages  $g x$ )  $g g'$ 
  using unchanged
  using child-unchanged by blast
then have  $g' m \vdash \text{kind } g' x \mapsto v1$ 
  using unchanged wf stay-same
  using SignedRemNode.hyps(2) by presburger
have  $y \in \text{inputs } g \text{ nid}$ 
  using SignedRemNode(1) inputs-of-SignedRemNode
  using opt-to-list.simps
  by (simp add: SignedRemNode.hyps(1))
then have unchanged (eval-usages  $g y$ )  $g g'$ 
  using unchanged
  using child-unchanged by blast
then have  $g' m \vdash \text{kind } g' y \mapsto v2$ 
  using unchanged wf stay-same
  using SignedRemNode.hyps(3) by presburger
then show ?case
  by (metis SignedRemNode.hyps(1) SignedRemNode.hyps(4) SignedRemNode.hyps(5)
 $\langle g' m \vdash \text{kind } g' x \mapsto v1 \rangle \text{kind step.SignedRemNode}$ )
next
case (StaticLoadFieldNode  $f v$ )
then show ?case using step.StaticLoadFieldNode
  by metis
next
case (StoreFieldNode  $f \text{ newval uu obj val ref}$ )
have  $\text{obj} \in \text{inputs } g \text{ nid}$ 
  using StoreFieldNode(1) inputs-of-StoreFieldNode
  using opt-to-list.simps
  by (simp add: StoreFieldNode.hyps(1))
then have unchanged (eval-usages  $g \text{ obj}$ )  $g g'$ 
  using unchanged
  using child-unchanged by blast
then have  $g' m \vdash \text{kind } g' \text{obj} \mapsto \text{ObjRef ref}$ 
  using unchanged wf stay-same
  using StoreFieldNode.hyps(3) by presburger
have  $\text{newval} \in \text{inputs } g \text{ nid}$ 
  using StoreFieldNode(1) inputs-of-StoreFieldNode

```

```

    using opt-to-list.simps
    by (simp add: StoreFieldNode.hyps(1))
  then have unchanged (eval-usages g newval) g g'
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' \text{ newval} \mapsto \text{val}$ 
    using unchanged wf stay-same
    using StoreFieldNode.hyps(2) by blast
  then show ?case using step.StoreFieldNode
    by (metis StoreFieldNode.hyps(1) StoreFieldNode.hyps(4) StoreFieldNode.hyps(5)
      ( $g' m \vdash \text{kind } g' \text{ obj} \mapsto \text{ObjRef ref}$ ) assms(3))
next
  case (StaticStoreFieldNode f newval uv val)
  have newval  $\in \text{inputs } g \text{ nid}$ 
    using StoreFieldNode(1) inputs-of-StoreFieldNode
    using opt-to-list.simps
    by (simp add: StaticStoreFieldNode.hyps(1))
  then have unchanged (eval-usages g newval) g g'
    using unchanged
    using child-unchanged by blast
  then have  $g' m \vdash \text{kind } g' \text{ newval} \mapsto \text{val}$ 
    using unchanged wf stay-same
    using StaticStoreFieldNode.hyps(2) by blast
  then show ?case using step.StaticStoreFieldNode
    by (metis StaticStoreFieldNode.hyps(1) StaticStoreFieldNode.hyps(3) StaticStoreFieldNode.hyps(4) kind)
qed

```

**lemma** *unchanged-closure*:

```

  assumes  $\text{nid} \notin \text{ids } g$ 
  assumes wf:  $\text{wf-graph } g \wedge \text{wf-graph } g'$ 
  assumes  $g': g' = \text{add-node-fake } \text{nid } k \ g$ 
  assumes  $\text{nid}' \in \text{ids } g$ 
  shows  $(g \ m \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'') \longleftrightarrow (g' \ m \ h \vdash \text{nid}' \rightsquigarrow \text{nid}'')$ 
    (is ?P  $\longleftrightarrow$  ?Q)
proof
  assume P: ?P
  have niddiff:  $\text{nid} \neq \text{nid}'$ 
    using assms
    by blast
  from P show ?Q using assms niddiff
proof (induction rule: stutter.induct)
  case (StutterStep start e)
  have unchanged: unchanged (eval-usages g start) g g'
    using StutterStep.prems(4) add-node-unchanged-fake assms(1) g' wf by blast
  have succ-same:  $\text{succ } g \text{ start} = \text{succ } g' \text{ start}$ 
    using StutterStep.prems(4) kind-unchanged succ.simps unchanged by presburger

```

```

    have kind g start = kind g' start
      by (metis StutterStep.prem(4) add-node-fake.elims add-node-unchanged
        assms(1) assms(2) g' kind-unchanged)
    then have g' ⊢ (start, m, h) → (e, m, h)
      using unchanged-step wf unchanged succ-same
      by (meson StutterStep.hyps)
    then show ?case
      using stutter.StutterStep by blast
  next
    case (Transitive nid nid'' nid')
    then show ?case
      by (metis add-node-unchanged-fake kind-unchanged step-in-ids stutter.Transitive
        stutter.cases succ.simps unchanged-step)
  qed
next
  assume Q: ?Q
  have niddiff: nid ≠ nid'
    using assms
    by blast
  from Q show ?P using assms niddiff
  proof (induction rule: stutter.induct)
    case (StutterStep start e)
    have eval-usages g' start ⊆ eval-usages g start
      using g' eval-usages sorry
    then have unchanged: unchanged (eval-usages g' start) g' g
      by (smt (verit, ccfv-SIG) StutterStep.prem(4) add-node-unchanged-fake
        assms(1) g' subset-iff unchanged.simps wf)
    have succ-same: succ g start = succ g' start
      using StutterStep.prem(4) eval-usages-self node-unchanged succ.simps un-
        changed
      by (metis (no-types, lifting) StutterStep.hyps step-in-ids)
    have kind g start = kind g' start
      by (metis StutterStep.prem(4) add-node-fake.elims add-node-unchanged
        assms(1) assms(2) g' kind-unchanged)
    then have g ⊢ (start, m, h) → (e, m, h)
      using StutterStep(1) wf unchanged-step unchanged succ-same
      sorry
    then show ?case
      using stutter.StutterStep by blast
  next
    case (Transitive nid nid'' nid')
    then show ?case
      using add-node-unchanged-fake kind-unchanged step-in-ids stutter.Transitive
        stutter.cases succ.simps unchanged-step
      sorry
  qed
qed

```

**fun** create-if :: IRGraph ⇒ ID ⇒ ID ⇒ ID ⇒ IRNode

```

where
  create-if  $g$  cond  $tb$   $fb$  =
    (case (kind  $g$  cond) of
      ConstantNode condv  $\Rightarrow$ 
        RefNode (if (val-to-bool condv) then  $tb$  else  $fb$ ) |
      -  $\Rightarrow$  (if  $tb = fb$  then
        RefNode  $tb$ 
      else
        IfNode cond  $tb$   $fb$ )
    )

```

**lemma** *if-node-create-bisimulation*:

```

fixes  $h :: \text{FieldRefHeap}$ 
assumes  $wf$ :  $wf\text{-graph } g$ 
assumes  $cv$ :  $g \ m \vdash (\text{kind } g \ \text{cond}) \mapsto cv$ 
assumes  $\text{fresh}$ :  $nid \notin \text{ids } g$ 
assumes  $\text{closed}$ :  $\{\text{cond}, tb, fb\} \subseteq \text{ids } g$ 
assumes  $\text{gif}$ :  $\text{gif} = \text{add-node-fake } nid \ (\text{IfNode } cond \ tb \ fb) \ g$ 
assumes  $\text{gcreate}$ :  $\text{gcreate} = \text{add-node-fake } nid \ (\text{create-if } g \ \text{cond } tb \ fb) \ g$ 

```

**shows**  $nid . gif \sim \text{gcreate}$

**proof** –

```

have  $\text{indep}$ :  $\neg(\text{eval-uses } g \ \text{cond } nid)$ 
  using  $cv$   $\text{eval-in-ids}$   $\text{fresh}$   $\text{no-external-use } wf$  by  $\text{blast}$ 
have  $\text{kind } gif \ nid = \text{IfNode } cond \ tb \ fb$ 
  using  $\text{gif}$   $\text{add-node-lookup}$  by  $\text{simp}$ 
then have  $\{\text{cond}, tb, fb\} = \text{inputs } gif \ nid \cup \text{succ } gif \ nid$ 
  using  $\text{inputs-of-IfNode}$   $\text{successors-of-IfNode}$ 
  by ( $\text{metis empty-set inputs.simps insert-is-Un list.simps(15) succ.simps}$ )
then have  $wf\text{-gif}$ :  $wf\text{-graph } gif$ 
  using  $\text{closed}$   $wf$   $\text{preserve-wf}$ 
  using  $\text{fresh } gif$  by  $\text{presburger}$ 
have  $\text{create-if } g \ \text{cond } tb \ fb = \text{IfNode } cond \ tb \ fb \vee$ 
   $\text{create-if } g \ \text{cond } tb \ fb = \text{RefNode } tb \vee$ 
   $\text{create-if } g \ \text{cond } tb \ fb = \text{RefNode } fb$ 
  by ( $\text{cases kind } g \ \text{cond}; \text{auto}$ )
then have  $\text{kind } \text{gcreate } nid = \text{IfNode } cond \ tb \ fb \vee$ 
   $\text{kind } \text{gcreate } nid = \text{RefNode } tb \vee$ 
   $\text{kind } \text{gcreate } nid = \text{RefNode } fb$ 
  using  $\text{gcreate}$   $\text{add-node-lookup}$ 
  using  $\text{add-node-lookup-fake } \text{fresh}$  by  $\text{presburger}$ 
then have  $\text{inputs } \text{gcreate } nid \cup \text{succ } \text{gcreate } nid \subseteq \{\text{cond}, tb, fb\}$ 
using  $\text{inputs-of-IfNode}$   $\text{successors-of-IfNode}$   $\text{inputs-of-RefNode}$   $\text{successors-of-RefNode}$ 
  by  $\text{force}$ 
then have  $wf\text{-gcreate}$ :  $wf\text{-graph } \text{gcreate}$ 
  using  $\text{closed}$   $wf$   $\text{preserve-wf}$   $\text{fresh } \text{gcreate}$ 
  by ( $\text{metis subset-trans}$ )
have  $tb\text{-unchanged}$ :  $\{nid'. (gif \ m \ h \vdash tb \rightsquigarrow nid')\} = \{nid'. (\text{gcreate } m \ h \vdash tb \rightsquigarrow$ 

```

```

nidl')
proof -
  have  $\neg(\exists n \in \text{ids } g. \text{nidl} \in \text{succ } g \ n \vee \text{nidl} \in \text{usages } g \ n)$ 
  using wf
  by (metis (no-types, lifting) fresh mem-Collect-eq subsetD usages.simps
wf-folds(1,3))
  then have  $\text{nidl} \notin \{\text{nidl}'. (g \ m \ h \vdash \text{tb} \rightsquigarrow \text{nidl}')\}$ 
  using wf stutter-closed
  by (metis mem-Collect-eq)
  have gif-set:  $\{\text{nidl}'. (\text{gif } m \ h \vdash \text{tb} \rightsquigarrow \text{nidl}')\} = \{\text{nidl}'. (g \ m \ h \vdash \text{tb} \rightsquigarrow \text{nidl}')\}$ 
  using unchanged-closure fresh wf gif closed wf-gif
  by blast
  have gcreate-set:  $\{\text{nidl}'. (\text{gcreate } m \ h \vdash \text{tb} \rightsquigarrow \text{nidl}')\} = \{\text{nidl}'. (g \ m \ h \vdash \text{tb} \rightsquigarrow$ 
nidl')
  using unchanged-closure fresh wf gcreate closed wf-gcreate
  by blast
  from gif-set gcreate-set show ?thesis by simp
qed
  have fb-unchanged:  $\{\text{nidl}'. (\text{gif } m \ h \vdash \text{fb} \rightsquigarrow \text{nidl}')\} = \{\text{nidl}'. (\text{gcreate } m \ h \vdash \text{fb} \rightsquigarrow$ 
nidl')
  proof -
  have  $\neg(\exists n \in \text{ids } g. \text{nidl} \in \text{succ } g \ n \vee \text{nidl} \in \text{usages } g \ n)$ 
  using wf
  by (metis (no-types, lifting) fresh mem-Collect-eq subsetD usages.simps
wf-folds(1,3))
  then have  $\text{nidl} \notin \{\text{nidl}'. (g \ m \ h \vdash \text{fb} \rightsquigarrow \text{nidl}')\}$ 
  using wf stutter-closed
  by (metis mem-Collect-eq)
  have gif-set:  $\{\text{nidl}'. (\text{gif } m \ h \vdash \text{fb} \rightsquigarrow \text{nidl}')\} = \{\text{nidl}'. (g \ m \ h \vdash \text{fb} \rightsquigarrow \text{nidl}')\}$ 
  using unchanged-closure fresh wf gif closed wf-gif
  by blast
  have gcreate-set:  $\{\text{nidl}'. (\text{gcreate } m \ h \vdash \text{fb} \rightsquigarrow \text{nidl}')\} = \{\text{nidl}'. (g \ m \ h \vdash \text{fb} \rightsquigarrow$ 
nidl')
  using unchanged-closure fresh wf gcreate closed wf-gcreate
  by blast
  from gif-set gcreate-set show ?thesis by simp
qed
show ?thesis
proof (cases  $\exists \text{val}. (\text{kind } g \ \text{cond}) = \text{ConstantNode } \text{val}$ )
  let ?gif-closure =  $\{P'. (\text{gif } m \ h \vdash \text{nidl} \rightsquigarrow P')\}$ 
  let ?gcreate-closure =  $\{P'. (\text{gcreate } m \ h \vdash \text{nidl} \rightsquigarrow P')\}$ 
  case constantCond: True
  obtain val where val:  $(\text{kind } g \ \text{cond}) = \text{ConstantNode } \text{val}$ 
  using constantCond by blast
  then show ?thesis
proof (cases  $\text{val-to-bool } \text{val}$ )
  case constantTrue: True
  have if-kind:  $\text{kind } \text{gif } \text{nidl} = (\text{IfNode } \text{cond } \text{tb } \text{fb})$ 
  using gif add-node-lookup by simp

```



```

have if-cv: gif m ⊢ (kind gif cond) ↦ val
  by (metis ConstantNodeE add-node-unchanged-fake cv eval-in-ids fresh gif
stay-same val wf)
have (gif ⊢ (nid, m, h) → (tb, m, h))
  using step.IfNode if-kind if-cv
  using constantTrue by presburger
then have gif-closure: ?gif-closure = {tb} ∪ {nid'. (gif m h ⊢ tb ↘ nid')}
  using stuttering-successor by presburger
have ref-kind: kind gcreate nid = (RefNode tb)
  using gcreate add-node-lookup constantTrue constantCond unfolding cre-
ate-if.simps
  by (simp add: val)
have (gcreate ⊢ (nid, m, h) → (tb, m, h))
  using stepRefNode ref-kind by simp
then have gcreate-closure: ?gcreate-closure = {tb} ∪ {nid'. (gcreate m h ⊢ tb
↘ nid')}
  using stuttering-successor
  by auto
from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
  using tb-unchanged by simp
then show ?thesis
  using equal-closure-bisimilar by simp
next
case constantFalse: False
have if-kind: kind gif nid = (IfNode cond tb fb)
  using gif add-node-lookup by simp
have if-cv: gif m ⊢ (kind gif cond) ↦ val
  by (metis ConstantNodeE add-node-unchanged-fake cv eval-in-ids fresh gif
stay-same val wf)
have (gif ⊢ (nid, m, h) → (fb, m, h))
  using step.IfNode if-kind if-cv
  using constantFalse by presburger
then have gif-closure: ?gif-closure = {fb} ∪ {nid'. (gif m h ⊢ fb ↘ nid')}
  using stuttering-successor by presburger
have ref-kind: kind gcreate nid = RefNode fb
  using add-node-lookup-fake constantFalse fresh gcreate val by force
then have (gcreate ⊢ (nid, m, h) → (fb, m, h))
  using stepRefNode by presburger
then have gcreate-closure: ?gcreate-closure = {fb} ∪ {nid'. (gcreate m h ⊢ fb
↘ nid')}
  using stuttering-successor by presburger
from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
  using fb-unchanged by simp
then show ?thesis
  using equal-closure-bisimilar by simp
qed
next
let ?gif-closure = {P'. (gif m h ⊢ nid ↘ P')}
let ?gcreate-closure = {P'. (gcreate m h ⊢ nid ↘ P')}

```

```

case notConstantCond: False
then show ?thesis
proof (cases tb = fb)
  case equalBranches: True
    have if-kind: kind gif nid = (IfNode cond tb fb)
    using gif add-node-lookup by simp
    have (gif  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h))  $\vee$  (gif  $\vdash$  (nid, m, h)  $\rightarrow$  (fb, m, h))
    using step.IfNode if-kind cv apply (cases val-to-bool cv)
    apply (metis add-node-fake.simps add-node-unchanged eval-in-ids fresh gif
stay-same wf)
    by (metis add-node-unchanged-fake eval-in-ids fresh gif stay-same wf)
    then have gif-closure: ?gif-closure = {tb}  $\cup$  {nid'. (gif m h  $\vdash$  tb  $\rightsquigarrow$  nid')}
    using equalBranches
    using stuttering-successor by presburger
    have iref-kind: kind gcreate nid = (RefNode tb)
    using gcreate add-node-lookup notConstantCond equalBranches
    unfolding create-if.simps
    by (cases (kind g cond); auto)
    then have (gcreate  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h))
    using stepRefNode by simp
    then have gcreate-closure: ?gcreate-closure = {tb}  $\cup$  {nid'. (gcreate m h  $\vdash$  tb
 $\rightsquigarrow$  nid')}
    using stuttering-successor by presburger
    from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
    using tb-unchanged by simp
    then show ?thesis
    using equal-closure-bisimilar by simp
  next
    case uniqueBranches: False
    let ?tb-closure = {tb}  $\cup$  {nid'. (gif m h  $\vdash$  tb  $\rightsquigarrow$  nid')}
    let ?fb-closure = {fb}  $\cup$  {nid'. (gif m h  $\vdash$  fb  $\rightsquigarrow$  nid')}
    have if-kind: kind gif nid = (IfNode cond tb fb)
    using gif add-node-lookup by simp
    have if-step: (gif  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h))  $\vee$  (gif  $\vdash$  (nid, m, h)  $\rightarrow$  (fb, m,
h))
    using step.IfNode if-kind cv apply (cases val-to-bool cv)
    apply (metis add-node-fake.simps add-node-unchanged eval-in-ids fresh gif
stay-same wf)
    by (metis add-node-unchanged-fake eval-in-ids fresh gif stay-same wf)
    then have gif-closure: ?gif-closure = ?tb-closure  $\vee$  ?fb-closure
    using stuttering-successor by presburger
    have gc-kind: kind gcreate nid = (IfNode cond tb fb)
    using gcreate add-node-lookup notConstantCond uniqueBranches
    unfolding create-if.simps
    by (cases (kind g cond); auto)
    then have (gcreate  $\vdash$  (nid, m, h)  $\rightarrow$  (tb, m, h))  $\vee$  (gcreate  $\vdash$  (nid, m, h)  $\rightarrow$ 
(fb, m, h))
    by (metis add-node-lookup-fake fresh gcreate gif if-step)
    then have gcreate-closure: ?gcreate-closure = ?tb-closure  $\vee$  ?fb-closure =

```

```

?fb-closure
  by (metis add-node-lookup-fake fresh gc-kind gcreate gif gif-closure)
from gif-closure gcreate-closure have ?gif-closure = ?gcreate-closure
  using tb-unchanged fb-unchanged
  by (metis add-node-lookup-fake fresh gc-kind gcreate gif)
then show ?thesis
  using equal-closure-bisimilar by simp
qed
qed
qed

```

lemma if-node-create:

```

assumes wf: wf-graph g
assumes cv: g m ⊢ (kind g cond) ↦ cv
assumes fresh: nid ∉ ids g
assumes gif: gif = add-node-fake nid (IfNode cond tb fb) g
assumes gcreate: gcreate = add-node-fake nid (create-if g cond tb fb) g
shows ∃ nid'. (gif m h ⊢ nid ∼ nid') ∧ (gcreate m h ⊢ nid ∼ nid')

```

proof (cases ∃ val . (kind g cond) = ConstantNode val)

case True

show ?thesis

proof –

obtain val where val: (kind g cond) = ConstantNode val

using True by blast

have cond-exists: cond ∈ ids g

using cv eval-in-ids by auto

have if-kind: kind gif nid = (IfNode cond tb fb)

using gif add-node-lookup by simp

have if-cv: gif m ⊢ (kind gif cond) ↦ val

using step.IfNode if-kind

using True eval.ConstantNode gif fresh

using stay-same cond-exists

using val

using add-node.rep-eq kind.rep-eq by auto

have if-step: gif ⊢ (nid, m, h) → (if val-to-bool val then tb else fb, m, h)

proof –

show ?thesis using step.IfNode if-kind if-cv

by (simp)

qed

have create-step: gcreate ⊢ (nid, m, h) → (if val-to-bool val then tb else fb, m, h)

proof –

have create-kind: kind gcreate nid = (create-if g cond tb fb)

using gcreate add-node-lookup-fake

using fresh by blast

else fb)

using True create-kind val by simp

show ?thesis using stepRefNode create-kind create-fun if-cv

```

      by (simp)
    qed
  then show ?thesis using StutterStep create-step if-step
    by blast
  qed
next
case not-const: False
obtain nid' where nid' = (if val-to-bool cv then tb else fb)
  by blast
have nid-eq: (gif ⊢ (nid, m, h) → (nid', m, h)) ∧ (gcreate ⊢ (nid, m, h) → (nid', m, h))
proof -
  have indep: ¬(eval-uses g cond nid)
    using no-external-use
    using cv eval-in-ids fresh wf by blast
  have nid': nid' = (if val-to-bool cv then tb else fb)
    by (simp add: ⟨nid' = (if val-to-bool cv then tb else fb)⟩)
  have gif-kind: kind gif nid = (IfNode cond tb fb)
    using add-node-lookup-fake gif
    using fresh by blast
  then have nid ≠ cond
    using cv fresh indep
    using eval-in-ids by blast
  have unchanged (eval-usages g cond) g gif
    using gif add-node-unchanged-fake
    using cv eval-in-ids fresh wf by blast
  then obtain cv2 where cv2: gif m ⊢ (kind gif cond) ↦ cv2
    using cv gif wf stay-same by blast
  then have cv = cv2
    using indep gif cv
    using ⟨nid ≠ cond⟩
    using fresh
    using ⟨unchanged (eval-usages g cond) g gif⟩ evalDet stay-same wf by blast
  then have eval-gif: (gif ⊢ (nid, m, h) → (nid', m, h))
    using step.IfNode gif-kind nid' cv2
    by auto
  have gcreate-kind: kind gcreate nid = (create-if g cond tb fb)
    using gcreate add-node-lookup-fake
    using fresh by blast
  have eval-gcreate: gcreate ⊢ (nid, m, h) → (nid', m, h)
proof (cases tb = fb)
  case True
  have create-if g cond tb fb = RefNode tb
    using not-const True by (cases (kind g cond)); auto
  then show ?thesis
    using True gcreate-kind nid' stepRefNode
    by (simp)
  next
  case False
  have create-if g cond tb fb = IfNode cond tb fb

```

```

      using not-const False by (cases (kind g cond); auto)
    then show ?thesis
      using eval-gif gcreate gif
      using IfNode ⟨cv = cv2⟩ cv2 gif-kind nid' by auto
    qed
  show ?thesis
    using eval-gcreate eval-gif StutterStep by blast
  qed
show ?thesis using nid-eq StutterStep by meson
qed
end

```