

# Veriopt

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## **Abstract**

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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# 1 Runtime Values and Arithmetic

```

theory Values2
  imports
    HOL-Library.Word
    HOL-Library.Signed-Division
    HOL-Library.Float
    HOL-Library.LaTeXsugar
  begin

```

In order to properly implement the IR semantics we first introduce a new type of runtime values. Our evaluation semantics are defined in terms of these runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and eventually arrays.

An object reference is an option type where the None object reference points to the static fields. This is examined more closely in our definition of the heap.

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints. Our Value type models this by keeping the value as an infinite precision signed int, but also carrying along the number of bits allowed.

So each  $(\text{IntVal } b \ v)$  should satisfy the invariants:

$$b \in \{1::'a, 8::'a, 16::'a, 32::'a, 64::'a\}$$

$$1 < b \implies v \equiv \text{scast } (\text{signed-take-bit } b \ v)$$

```

type-synonym int64 = 64 word — long
type-synonym int32 = 32 word — int
type-synonym int16 = 16 word — short
type-synonym int8 = 8 word — char
type-synonym int1 = 1 word — boolean

```

```

type-synonym objref = nat option

```

```

datatype Value =
  UndefVal |
  IntVal32 int32 |
  IntVal64 int64 |
  FloatVal float |
  ObjRef objref |
  ObjStr string

```

We define integer values to be well-formed when their bit size is valid and their integer value is able to fit within the bit size. This is defined using the *wf-value* function.

— Check that a signed int value does not overflow  $b$  bits.

```

fun fits-into-n :: nat  $\Rightarrow$  int  $\Rightarrow$  bool where
  fits-into-n b val = (( $-(2^{b-1}) \leq val$ )  $\wedge$  ( $val < 2^{b-1}$ )))

```

```
fun wf-bool :: Value  $\Rightarrow$  bool where
  wf-bool (IntVal32 v) = (v = 0  $\vee$  v = 1) |
  wf-bool - = False
```

```
fun val-to-bool :: Value  $\Rightarrow$  bool where
  val-to-bool (IntVal32 v) = (v = 1) |
  val-to-bool - = False
```

```
fun bool-to-val :: bool  $\Rightarrow$  Value where
  bool-to-val True = (IntVal32 1) |
  bool-to-val False = (IntVal32 0)
```

```
value sint(word-of-int (1) :: int1)
```

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations.

```
fun intval-add32 :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-add32 (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1+v2)) |
  intval-add32 - - =.UndefVal
```

```
fun intval-add64 :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-add64 (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1+v2)) |
  intval-add64 - - =.UndefVal
```

```
fun intval-add :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-add (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1+v2)) |
  intval-add (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1+v2)) |
  intval-add - - =.UndefVal
```

```
instantiation Value :: plus
begin
```

```
definition plus-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  plus-Value = intval-add
```

```
instance proof qed
end
```

```

fun intval-sub :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-sub (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1-v2)) |
  intval-sub (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1-v2)) |
  intval-sub - - = UndefVal

```

```

instantiation Value :: minus
begin

```

```

definition minus-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  minus-Value = intval-sub

```

```

instance proof qed
end

```

```

fun intval-mul :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-mul (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1*v2)) |
  intval-mul (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1*v2)) |
  intval-mul - - = UndefVal

```

```

instantiation Value :: times
begin

```

```

definition times-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  times-Value = intval-mul

```

```

instance proof qed
end

```

```

fun intval-div :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-div (IntVal32 v1) (IntVal32 v2) = (IntVal32 (word-of-int((sint v1) sdiv
(sint v2)))) |
  intval-div (IntVal64 v1) (IntVal64 v2) = (IntVal64 (word-of-int((sint v1) sdiv
(sint v2)))) |
  intval-div - - = UndefVal

```

```

instantiation Value :: divide
begin

```

```

definition divide-Value :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  divide-Value = intval-div

```

```

instance proof qed
end

```

```

fun intval-mod :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where

```

```

    intval-mod (IntVal32 v1) (IntVal32 v2) = (IntVal32 (word-of-int((sint v1) smod
(sint v2)))) |
    intval-mod (IntVal64 v1) (IntVal64 v2) = (IntVal64 (word-of-int((sint v1) smod
(sint v2)))) |
    intval-mod - - = UndefVal

```

**instantiation** *Value* :: *modulo*  
**begin**

**definition** *modulo-Value* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*modulo-Value* = *intval-mod*

**instance** **proof** **qed**  
**end**

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** &\* 64) **where**  
*intval-and* (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 AND v2)) |  
*intval-and* (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 AND v2)) |  
*intval-and* - - = UndefVal

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** ||\* 59) **where**  
*intval-or* (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 OR v2)) |  
*intval-or* (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 OR v2)) |  
*intval-or* - - = UndefVal

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* (**infix** ^\* 59) **where**  
*intval-xor* (IntVal32 v1) (IntVal32 v2) = (IntVal32 (v1 XOR v2)) |  
*intval-xor* (IntVal64 v1) (IntVal64 v2) = (IntVal64 (v1 XOR v2)) |  
*intval-xor* - - = UndefVal

**fun** *intval-equals* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-equals* (IntVal32 v1) (IntVal32 v2) = *bool-to-val* (v1 = v2) |  
*intval-equals* (IntVal64 v1) (IntVal64 v2) = *bool-to-val* (v1 = v2) |  
*intval-equals* - - = UndefVal

**fun** *intval-less-than* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
*intval-less-than* (IntVal32 v1) (IntVal32 v2) = *bool-to-val* (v1 <<sub>s</sub> v2) |  
*intval-less-than* (IntVal64 v1) (IntVal64 v2) = *bool-to-val* (v1 <<sub>s</sub> v2) |  
*intval-less-than* - - = UndefVal

**fun** *intval-not* :: *Value*  $\Rightarrow$  *Value* **where**  
*intval-not* (IntVal32 v) = (IntVal32 (NOT v)) |  
*intval-not* (IntVal64 v) = (IntVal64 (NOT v)) |  
*intval-not* - = UndefVal

**fun** *intval-negate* :: *Value*  $\Rightarrow$  *Value* **where**

```

intval-negate (IntVal32 v) = IntVal32 (- v) |
intval-negate (IntVal64 v) = IntVal64 (- v) |
intval-negate - = UndefVal

```

```

fun intval-abs :: Value ⇒ Value where
  intval-abs (IntVal32 v) = (if (v) <s 0 then (IntVal32 (- v)) else (IntVal32 v)) |
  intval-abs (IntVal64 v) = (if (v) <s 0 then (IntVal64 (- v)) else (IntVal64 v)) |
  intval-abs - = UndefVal

```

```

lemma word-add-sym:
  shows word-of-int v1 + word-of-int v2 = word-of-int v2 + word-of-int v1
  by simp

```

```

lemma intval-add-sym:
  shows intval-add a b = intval-add b a
  by (induction a; induction b; auto)

```

```

lemma word-add-assoc:
  shows (word-of-int v1 + word-of-int v2) + word-of-int v3
    = word-of-int v1 + (word-of-int v2 + word-of-int v3)
  by simp

```

```

lemma intval-bad1 [simp]: intval-add (IntVal32 x) (IntVal64 y) = UndefVal
  by auto

```

```

lemma intval-bad2 [simp]: intval-add (IntVal64 x) (IntVal32 y) = UndefVal
  by auto

```

```

lemma intval-assoc: intval-add32 (intval-add32 x y) z = intval-add32 x (intval-add32
y z)
  apply (induction x)
  apply auto
  apply (induction y)
  apply auto
  apply (induction z)
  by auto

```

```

code-deps intval-add
code-thms intval-add

```

```

lemma intval-add (IntVal32 ( $2^{31}-1$ )) (IntVal32 ( $2^{31}-1$ )) = IntVal32 ( $-2$ )
  by eval
lemma intval-add (IntVal64 ( $2^{31}-1$ )) (IntVal64 ( $2^{31}-1$ )) = IntVal64 4294967294
  by eval

end

```

## 2 Nodes

### 2.1 Types of Nodes

```

theory IRNodes2
  imports
    Values2
begin

```

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The `inputs_of` and `successors_of` functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write `INPUT` (or special case thereof) instead of `ID` for input edges, and `SUCC` instead of `ID` for control-flow successor edges. Optional edges are handled as "INPUT option" etc.

```

type-synonym ID = nat
type-synonym INPUT = ID
type-synonym INPUT-ASSOC = ID
type-synonym INPUT-STATE = ID
type-synonym INPUT-GUARD = ID
type-synonym INPUT-COND = ID
type-synonym INPUT-EXT = ID
type-synonym SUCC = ID

```

```

datatype (discs-sels) IRNode =
  | AbsNode (ir-value: INPUT)
  | AddNode (ir-x: INPUT) (ir-y: INPUT)
  | AndNode (ir-x: INPUT) (ir-y: INPUT)
  | BeginNode (ir-next: SUCC)

```



- | *BytecodeExceptionNode* (*ir-arguments*: INPUT list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *ConditionalNode* (*ir-condition*: INPUT-COND) (*ir-trueValue*: INPUT) (*ir-falseValue*: INPUT)
- | *ConstantNode* (*ir-const*: Value)
- | *DynamicNewArrayNode* (*ir-elementType*: INPUT) (*ir-length*: INPUT) (*ir-voidClass-opt*: INPUT option) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *EndNode*
- | *ExceptionObjectNode* (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
  
- | *FrameState* (*ir-monitorIds*: INPUT-ASSOC list) (*ir-outerFrameState-opt*: INPUT-STATE option) (*ir-values-opt*: INPUT list option) (*ir-virtualObjectMappings-opt*: INPUT-STATE list option)
- | *IfNode* (*ir-condition*: INPUT-COND) (*ir-trueSuccessor*: SUCC) (*ir-falseSuccessor*: SUCC)
- | *IntegerEqualsNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *IntegerLessThanNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *InvokeNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *InvokeWithExceptionNode* (*ir-nid*: ID) (*ir-callTarget*: INPUT-EXT) (*ir-classInit-opt*: INPUT option) (*ir-stateDuring-opt*: INPUT-STATE option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC) (*ir-exceptionEdge*: SUCC)
- | *IsNullNode* (*ir-value*: INPUT)
- | *KillingBeginNode* (*ir-next*: SUCC)
- | *LoadFieldNode* (*ir-nid*: ID) (*ir-field*: string) (*ir-object-opt*: INPUT option) (*ir-next*: SUCC)
- | *LogicNegationNode* (*ir-value*: INPUT-COND)
- | *LoopBeginNode* (*ir-ends*: INPUT-ASSOC list) (*ir-overflowGuard-opt*: INPUT-GUARD option) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *LoopEndNode* (*ir-loopBegin*: INPUT-ASSOC)
- | *LoopExitNode* (*ir-loopBegin*: INPUT-ASSOC) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MergeNode* (*ir-ends*: INPUT-ASSOC list) (*ir-stateAfter-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *MethodCallTargetNode* (*ir-targetMethod*: string) (*ir-arguments*: INPUT list)
- | *MulNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *NegateNode* (*ir-value*: INPUT)
- | *NewArrayNode* (*ir-length*: INPUT) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NewInstanceNode* (*ir-nid*: ID) (*ir-instanceClass*: string) (*ir-stateBefore-opt*: INPUT-STATE option) (*ir-next*: SUCC)
- | *NotNode* (*ir-value*: INPUT)
- | *OrNode* (*ir-x*: INPUT) (*ir-y*: INPUT)
- | *ParameterNode* (*ir-index*: nat)
- | *PiNode* (*ir-object*: INPUT) (*ir-guard-opt*: INPUT-GUARD option)
- | *ReturnNode* (*ir-result-opt*: INPUT option) (*ir-memoryMap-opt*: INPUT-EXT option)
- | *ShortCircuitOrNode* (*ir-x*: INPUT-COND) (*ir-y*: INPUT-COND)

```

| SignedDivNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt: INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt: INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XORNode (ir-x: INPUT) (ir-y: INPUT)
| NoNode

| RefNode (ir-ref: ID)

```

```

fun opt-to-list :: 'a option ⇒ 'a list where
  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where
  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where
  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |
  inputs-of-BytecodeExceptionNode:
  inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @
  (opt-to-list stateAfter) |
  inputs-of-ConditionalNode:
  inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-
  Value, falseValue] |
  inputs-of-ConstantNode:

```

*inputs-of* (*ConstantNode* *const*) = [] |  
*inputs-of-DynamicNewArrayNode*:  
*inputs-of* (*DynamicNewArrayNode* *elementType* *length0* *voidClass* *stateBefore* *next*) = [*elementType*, *length0*] @ (*opt-to-list* *voidClass*) @ (*opt-to-list* *stateBefore*) |  
*inputs-of-EndNode*:  
*inputs-of* (*EndNode*) = [] |  
*inputs-of-ExceptionObjectNode*:  
*inputs-of* (*ExceptionObjectNode* *stateAfter* *next*) = (*opt-to-list* *stateAfter*) |  
*inputs-of-FrameState*:  
*inputs-of* (*FrameState* *monitorIds* *outerFrameState* *values* *virtualObjectMappings*)  
= *monitorIds* @ (*opt-to-list* *outerFrameState*) @ (*opt-list-to-list* *values*) @ (*opt-list-to-list* *virtualObjectMappings*) |  
*inputs-of-IfNode*:  
*inputs-of* (*IfNode* *condition* *trueSuccessor* *falseSuccessor*) = [*condition*] |  
*inputs-of-IntegerEqualsNode*:  
*inputs-of* (*IntegerEqualsNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-IntegerLessThanNode*:  
*inputs-of* (*IntegerLessThanNode* *x* *y*) = [*x*, *y*] |  
*inputs-of-InvokeNode*:  
*inputs-of* (*InvokeNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next*)  
= *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-InvokeWithExceptionNode*:  
*inputs-of* (*InvokeWithExceptionNode* *nid0* *callTarget* *classInit* *stateDuring* *stateAfter* *next* *exceptionEdge*) = *callTarget* # (*opt-to-list* *classInit*) @ (*opt-to-list* *stateDuring*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-IsNullNode*:  
*inputs-of* (*IsNullNode* *value*) = [*value*] |  
*inputs-of-KillingBeginNode*:  
*inputs-of* (*KillingBeginNode* *next*) = [] |  
*inputs-of-LoadFieldNode*:  
*inputs-of* (*LoadFieldNode* *nid0* *field* *object* *next*) = (*opt-to-list* *object*) |  
*inputs-of-LogicNegationNode*:  
*inputs-of* (*LogicNegationNode* *value*) = [*value*] |  
*inputs-of-LoopBeginNode*:  
*inputs-of* (*LoopBeginNode* *ends* *overflowGuard* *stateAfter* *next*) = *ends* @ (*opt-to-list* *overflowGuard*) @ (*opt-to-list* *stateAfter*) |  
*inputs-of-LoopEndNode*:  
*inputs-of* (*LoopEndNode* *loopBegin*) = [*loopBegin*] |  
*inputs-of-LoopExitNode*:  
*inputs-of* (*LoopExitNode* *loopBegin* *stateAfter* *next*) = *loopBegin* # (*opt-to-list* *stateAfter*) |  
*inputs-of-MergeNode*:  
*inputs-of* (*MergeNode* *ends* *stateAfter* *next*) = *ends* @ (*opt-to-list* *stateAfter*) |  
*inputs-of-MethodCallTargetNode*:  
*inputs-of* (*MethodCallTargetNode* *targetMethod* *arguments*) = *arguments* |  
*inputs-of-MulNode*:  
*inputs-of* (*MulNode* *x* *y*) = [*x*, *y*] |

*inputs-of-NegateNode:*  
*inputs-of (NegateNode value) = [value] |*  
*inputs-of-NewArrayNode:*  
*inputs-of (NewArrayNode length0 stateBefore next) = length0 # (opt-to-list stateBefore) |*  
*inputs-of-NewInstanceNode:*  
*inputs-of (NewInstanceNode nid0 instanceClass stateBefore next) = (opt-to-list stateBefore) |*  
*inputs-of-NotNode:*  
*inputs-of (NotNode value) = [value] |*  
*inputs-of-OrNode:*  
*inputs-of (OrNode x y) = [x, y] |*  
*inputs-of-ParameterNode:*  
*inputs-of (ParameterNode index) = [] |*  
*inputs-of-PiNode:*  
*inputs-of (PiNode object guard) = object # (opt-to-list guard) |*  
*inputs-of-ReturnNode:*  
*inputs-of (ReturnNode result memoryMap) = (opt-to-list result) @ (opt-to-list memoryMap) |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @ (opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value # (opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of* :: *IRNode*  $\Rightarrow$  *ID list* **where**

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*  
*successors-of (AndNode x y) = [] |*  
*successors-of-BeginNode:*  
*successors-of (BeginNode next) = [next] |*  
*successors-of-BytecodeExceptionNode:*  
*successors-of (BytecodeExceptionNode arguments stateAfter next) = [next] |*  
*successors-of-ConditionalNode:*  
*successors-of (ConditionalNode condition trueValue falseValue) = [] |*  
*successors-of-ConstantNode:*  
*successors-of (ConstantNode const) = [] |*  
*successors-of-DynamicNewArrayNode:*  
*successors-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [next] |*  
*successors-of-EndNode:*  
*successors-of (EndNode) = [] |*  
*successors-of-ExceptionObjectNode:*  
*successors-of (ExceptionObjectNode stateAfter next) = [next] |*  
*successors-of-FrameState:*  
*successors-of (FrameState monitorIds outerFrameState values virtualObjectMap-*  
*pings) = [] |*  
*successors-of-IfNode:*  
*successors-of (IfNode condition trueSuccessor falseSuccessor) = [trueSuccessor,*  
*falseSuccessor] |*  
*successors-of-IntegerEqualsNode:*  
*successors-of (IntegerEqualsNode x y) = [] |*  
*successors-of-IntegerLessThanNode:*  
*successors-of (IntegerLessThanNode x y) = [] |*  
*successors-of-InvokeNode:*  
*successors-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= [next] |*  
*successors-of-InvokeWithExceptionNode:*  
*successors-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring*  
*stateAfter next exceptionEdge) = [next, exceptionEdge] |*  
*successors-of-IsNullNode:*  
*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*

*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments) = [] |*  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*  
*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*  
*successors-of (ParameterNode index) = [] |*  
*successors-of-PiNode:*  
*successors-of (PiNode object guard) = [] |*  
*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignedDivNode:*  
*successors-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-SignedRemNode:*  
*successors-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [next] |*  
*successors-of-StartNode:*  
*successors-of (StartNode stateAfter next) = [next] |*  
*successors-of-StoreFieldNode:*  
*successors-of (StoreFieldNode nid0 field value stateAfter object next) = [next] |*  
*successors-of-SubNode:*  
*successors-of (SubNode x y) = [] |*  
*successors-of-UnwindNode:*  
*successors-of (UnwindNode exception) = [] |*  
*successors-of-ValuePhiNode:*  
*successors-of (ValuePhiNode nid0 values merge) = [] |*  
*successors-of-ValueProxyNode:*  
*successors-of (ValueProxyNode value loopExit) = [] |*  
*successors-of-XorNode:*  
*successors-of (XorNode x y) = [] |*  
*successors-of-NoNode: successors-of (NoNode) = [] |*

*successors-of-RefNode: successors-of (RefNode ref) = [ref]*

```

lemma inputs-of (FrameState x (Some y) (Some z) None) = x @ [y] @ z
unfolding inputs-of-FrameState by simp
lemma successors-of (FrameState x (Some y) (Some z) None) = []
unfolding inputs-of-FrameState by simp

lemma inputs-of (IfNode c t f) = [c]
unfolding inputs-of-IfNode by simp
lemma successors-of (IfNode c t f) = [t, f]
unfolding successors-of-IfNode by simp

lemma inputs-of (EndNode) = []  $\wedge$  successors-of (EndNode) = []
unfolding inputs-of-EndNode successors-of-EndNode by simp

```

**end**

## 2.2 Hierarchy of Nodes

```

theory IRNodeHierarchy
imports IRNodes2
begin

```

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the IRNode class to determine inheritance.

As one would expect, the function `is<ClassName>Type` will be true if the node parameter is a subclass of the `ClassName` within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```

fun is-EndNode :: IRNode  $\Rightarrow$  bool where
  is-EndNode EndNode = True |
  is-EndNode _ = False

fun is-ControlSinkNode :: IRNode  $\Rightarrow$  bool where
  is-ControlSinkNode n = ((is-ReturnNode n)  $\vee$  (is-UnwindNode n))

fun is-AbstractMergeNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n)  $\vee$  (is-MergeNode n))

fun is-BeginStateSplitNode :: IRNode  $\Rightarrow$  bool where
  is-BeginStateSplitNode n = ((is-AbstractMergeNode n)  $\vee$  (is-ExceptionObjectNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-StartNode n))

fun is-AbstractBeginNode :: IRNode  $\Rightarrow$  bool where

```

*is-AbstractBeginNode*  $n = ((\text{is-BeginNode } n) \vee (\text{is-BeginStateSplitNode } n) \vee (\text{is-KillingBeginNode } n))$

**fun** *is-AbstractNewArrayNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractNewArrayNode*  $n = ((\text{is-DynamicNewArrayNode } n) \vee (\text{is-NewArrayNode } n))$

**fun** *is-AbstractNewObjectNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractNewObjectNode*  $n = ((\text{is-AbstractNewArrayNode } n) \vee (\text{is-NewInstanceNode } n))$

**fun** *is-IntegerDivRemNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IntegerDivRemNode*  $n = ((\text{is-SignedDivNode } n) \vee (\text{is-SignedRemNode } n))$

**fun** *is-FixedBinaryNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedBinaryNode*  $n = ((\text{is-IntegerDivRemNode } n))$

**fun** *is-DeoptimizingFixedWithNextNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-DeoptimizingFixedWithNextNode*  $n = ((\text{is-AbstractNewObjectNode } n) \vee (\text{is-FixedBinaryNode } n))$

**fun** *is-AbstractMemoryCheckpoint* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractMemoryCheckpoint*  $n = ((\text{is-BytecodeExceptionNode } n) \vee (\text{is-InvokeNode } n))$

**fun** *is-AbstractStateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractStateSplit*  $n = ((\text{is-AbstractMemoryCheckpoint } n))$

**fun** *is-AccessFieldNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AccessFieldNode*  $n = ((\text{is-LoadFieldNode } n) \vee (\text{is-StoreFieldNode } n))$

**fun** *is-FixedWithNextNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedWithNextNode*  $n = ((\text{is-AbstractBeginNode } n) \vee (\text{is-AbstractStateSplit } n) \vee (\text{is-AccessFieldNode } n) \vee (\text{is-DeoptimizingFixedWithNextNode } n))$

**fun** *is-WithExceptionNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-WithExceptionNode*  $n = ((\text{is-InvokeWithExceptionNode } n))$

**fun** *is-ControlSplitNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ControlSplitNode*  $n = ((\text{is-IfNode } n) \vee (\text{is-WithExceptionNode } n))$

**fun** *is-AbstractEndNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AbstractEndNode*  $n = ((\text{is-EndNode } n) \vee (\text{is-LoopEndNode } n))$

**fun** *is-FixedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedNode*  $n = ((\text{is-AbstractEndNode } n) \vee (\text{is-ControlSinkNode } n) \vee (\text{is-ControlSplitNode } n) \vee (\text{is-FixedWithNextNode } n))$

**fun** *is-FloatingGuardedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**



```

is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryArithmeticNode :: IRNode ⇒ bool where
  is-UnaryArithmeticNode n = ((is-AbsNode n) ∨ (is-NegateNode n) ∨ (is-NotNode
n))

fun is-UnaryNode :: IRNode ⇒ bool where
  is-UnaryNode n = ((is-UnaryArithmeticNode n))

fun is-BinaryArithmeticNode :: IRNode ⇒ bool where
  is-BinaryArithmeticNode n = ((is-AddNode n) ∨ (is-AndNode n) ∨ (is-MulNode
n) ∨ (is-OrNode n) ∨ (is-SubNode n) ∨ (is-XorNode n))

fun is-BinaryNode :: IRNode ⇒ bool where
  is-BinaryNode n = ((is-BinaryArithmeticNode n))

fun is-PhiNode :: IRNode ⇒ bool where
  is-PhiNode n = ((is-ValuePhiNode n))

fun is-IntegerLowerThanNode :: IRNode ⇒ bool where
  is-IntegerLowerThanNode n = ((is-IntegerLessThanNode n))

fun is-CompareNode :: IRNode ⇒ bool where
  is-CompareNode n = ((is-IntegerEqualsNode n) ∨ (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode ⇒ bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n))

fun is-UnaryOpLogicNode :: IRNode ⇒ bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-LogicNode :: IRNode ⇒ bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n) ∨ (is-LogicNegationNode n) ∨
(is-ShortCircuitOrNode n) ∨ (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode ⇒ bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-AbstractLocalNode :: IRNode ⇒ bool where
  is-AbstractLocalNode n = ((is-ParameterNode n))

fun is-FloatingNode :: IRNode ⇒ bool where
  is-FloatingNode n = ((is-AbstractLocalNode n) ∨ (is-BinaryNode n) ∨ (is-ConditionalNode
n) ∨ (is-ConstantNode n) ∨ (is-FloatingGuardedNode n) ∨ (is-LogicNode n) ∨
(is-PhiNode n) ∨ (is-ProxyNode n) ∨ (is-UnaryNode n))

fun is-CallTargetNode :: IRNode ⇒ bool where
  is-CallTargetNode n = ((is-MethodCallTargetNode n))

```

```

fun is-ValueNode :: IRNode  $\Rightarrow$  bool where
  is-ValueNode n = ((is-CallTargetNode n)  $\vee$  (is-FixedNode n)  $\vee$  (is-FloatingNode
n))

fun is-VirtualState :: IRNode  $\Rightarrow$  bool where
  is-VirtualState n = ((is-FrameState n))

fun is-Node :: IRNode  $\Rightarrow$  bool where
  is-Node n = ((is-ValueNode n)  $\vee$  (is-VirtualState n))

fun is-MemoryKill :: IRNode  $\Rightarrow$  bool where
  is-MemoryKill n = ((is-AbstractMemoryCheckpoint n))

fun is-NarrowableArithmeticNode :: IRNode  $\Rightarrow$  bool where
  is-NarrowableArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-AddNode n)  $\vee$  (is-AndNode
n)  $\vee$  (is-MulNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode n)  $\vee$  (is-OrNode n)  $\vee$ 
(is-SubNode n)  $\vee$  (is-XorNode n))

fun is-AnchoringNode :: IRNode  $\Rightarrow$  bool where
  is-AnchoringNode n = ((is-AbstractBeginNode n))

fun is-DeoptBefore :: IRNode  $\Rightarrow$  bool where
  is-DeoptBefore n = ((is-DeoptimizingFixedWithNextNode n))

fun is-IndirectCanonicalization :: IRNode  $\Rightarrow$  bool where
  is-IndirectCanonicalization n = ((is-LogicNode n))

fun is-IterableNodeType :: IRNode  $\Rightarrow$  bool where
  is-IterableNodeType n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractMergeNode n)  $\vee$ 
(is-FrameState n)  $\vee$  (is-IfNode n)  $\vee$  (is-IntegerDivRemNode n)  $\vee$  (is-InvokeWithExceptionNode
n)  $\vee$  (is-LoopBeginNode n)  $\vee$  (is-LoopExitNode n)  $\vee$  (is-MethodCallTargetNode n)
 $\vee$  (is-ParameterNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-ShortCircuitOrNode n))

fun is-Invoke :: IRNode  $\Rightarrow$  bool where
  is-Invoke n = ((is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n))

fun is-Proxy :: IRNode  $\Rightarrow$  bool where
  is-Proxy n = ((is-ProxyNode n))

fun is-ValueProxy :: IRNode  $\Rightarrow$  bool where
  is-ValueProxy n = ((is-PiNode n)  $\vee$  (is-ValueProxyNode n))

fun is-ValueNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-ValueNodeInterface n = ((is-ValueNode n))

fun is-ArrayLengthProvider :: IRNode  $\Rightarrow$  bool where
  is-ArrayLengthProvider n = ((is-AbstractNewArrayNode n)  $\vee$  (is-ConstantNode
n))

```

```

fun is-StampInverter :: IRNode  $\Rightarrow$  bool where
  is-StampInverter n = ((is-NegateNode n)  $\vee$  (is-NotNode n))

fun is-GuardingNode :: IRNode  $\Rightarrow$  bool where
  is-GuardingNode n = ((is-AbstractBeginNode n))

fun is-SingleMemoryKill :: IRNode  $\Rightarrow$  bool where
  is-SingleMemoryKill n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ExceptionObjectNode
n)  $\vee$  (is-InvokeNode n)  $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-KillingBeginNode
n)  $\vee$  (is-StartNode n))

fun is-LIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-LIRLowerable n = ((is-AbstractBeginNode n)  $\vee$  (is-AbstractEndNode n)  $\vee$ 
(is-AbstractMergeNode n)  $\vee$  (is-BinaryOpLogicNode n)  $\vee$  (is-CallTargetNode n)  $\vee$ 
(is-ConditionalNode n)  $\vee$  (is-ConstantNode n)  $\vee$  (is-IfNode n)  $\vee$  (is-InvokeNode n)
 $\vee$  (is-InvokeWithExceptionNode n)  $\vee$  (is-IsNullNode n)  $\vee$  (is-LoopBeginNode n)  $\vee$ 
(is-PiNode n)  $\vee$  (is-ReturnNode n)  $\vee$  (is-SignedDivNode n)  $\vee$  (is-SignedRemNode
n)  $\vee$  (is-UnaryOpLogicNode n)  $\vee$  (is-UnwindNode n))

fun is-GuardedNode :: IRNode  $\Rightarrow$  bool where
  is-GuardedNode n = ((is-FloatingGuardedNode n))

fun is-ArithmeticLIRLowerable :: IRNode  $\Rightarrow$  bool where
  is-ArithmeticLIRLowerable n = ((is-AbsNode n)  $\vee$  (is-BinaryArithmeticNode n)
 $\vee$  (is-NotNode n)  $\vee$  (is-UnaryArithmeticNode n))

fun is-SwitchFoldable :: IRNode  $\Rightarrow$  bool where
  is-SwitchFoldable n = ((is-IfNode n))

fun is-VirtualizableAllocation :: IRNode  $\Rightarrow$  bool where
  is-VirtualizableAllocation n = ((is-NewArrayNode n)  $\vee$  (is-NewInstanceNode n))

fun is-Unary :: IRNode  $\Rightarrow$  bool where
  is-Unary n = ((is-LoadFieldNode n)  $\vee$  (is-LogicNegationNode n)  $\vee$  (is-UnaryNode
n)  $\vee$  (is-UnaryOpLogicNode n))

fun is-FixedNodeInterface :: IRNode  $\Rightarrow$  bool where
  is-FixedNodeInterface n = ((is-FixedNode n))

fun is-BinaryCommutative :: IRNode  $\Rightarrow$  bool where
  is-BinaryCommutative n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-IntegerEqualsNode
n)  $\vee$  (is-MulNode n)  $\vee$  (is-OrNode n)  $\vee$  (is-XorNode n))

fun is-Canonicalizable :: IRNode  $\Rightarrow$  bool where
  is-Canonicalizable n = ((is-BytecodeExceptionNode n)  $\vee$  (is-ConditionalNode n)  $\vee$ 
(is-DynamicNewArrayNode n)  $\vee$  (is-PhiNode n)  $\vee$  (is-PiNode n)  $\vee$  (is-ProxyNode
n)  $\vee$  (is-StoreFieldNode n)  $\vee$  (is-ValueProxyNode n))

fun is-UncheckedInterfaceProvider :: IRNode  $\Rightarrow$  bool where

```

*is-UncheckedInterfaceProvider* *n* = ((*is-InvokeNode* *n*)  $\vee$  (*is-InvokeWithExceptionNode* *n*)  $\vee$  (*is-LoadFieldNode* *n*)  $\vee$  (*is-ParameterNode* *n*))

**fun** *is-Binary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Binary* *n* = ((*is-BinaryArithmeticNode* *n*)  $\vee$  (*is-BinaryNode* *n*)  $\vee$  (*is-BinaryOpLogicNode* *n*)  $\vee$  (*is-CompareNode* *n*)  $\vee$  (*is-FixedBinaryNode* *n*)  $\vee$  (*is-ShortCircuitOrNode* *n*))

**fun** *is-ArithmeticOperation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticOperation* *n* = ((*is-BinaryArithmeticNode* *n*)  $\vee$  (*is-UnaryArithmeticNode* *n*))

**fun** *is-ValueNumberable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNumberable* *n* = ((*is-FloatingNode* *n*)  $\vee$  (*is-ProxyNode* *n*))

**fun** *is-Lowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Lowerable* *n* = ((*is-AbstractNewObjectNode* *n*)  $\vee$  (*is-AccessFieldNode* *n*)  $\vee$  (*is-BytecodeExceptionNode* *n*)  $\vee$  (*is-ExceptionObjectNode* *n*)  $\vee$  (*is-IntegerDivRemNode* *n*)  $\vee$  (*is-UnwindNode* *n*))

**fun** *is-Virtualizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Virtualizable* *n* = ((*is-IsNullNode* *n*)  $\vee$  (*is-LoadFieldNode* *n*)  $\vee$  (*is-PiNode* *n*)  $\vee$  (*is-StoreFieldNode* *n*)  $\vee$  (*is-ValueProxyNode* *n*))

**fun** *is-Simplifiable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Simplifiable* *n* = ((*is-AbstractMergeNode* *n*)  $\vee$  (*is-BeginNode* *n*)  $\vee$  (*is-IfNode* *n*)  $\vee$  (*is-LoopExitNode* *n*)  $\vee$  (*is-MethodCallTargetNode* *n*)  $\vee$  (*is-NewArrayNode* *n*))

**fun** *is-StateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-StateSplit* *n* = ((*is-AbstractStateSplit* *n*)  $\vee$  (*is-BeginStateSplitNode* *n*)  $\vee$  (*is-StoreFieldNode* *n*))

**fun** *is-sequential-node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-sequential-node* (*StartNode* -) = *True* |  
*is-sequential-node* (*BeginNode* -) = *True* |  
*is-sequential-node* (*KillingBeginNode* -) = *True* |  
*is-sequential-node* (*LoopBeginNode* - - -) = *True* |  
*is-sequential-node* (*LoopExitNode* - -) = *True* |  
*is-sequential-node* (*MergeNode* - -) = *True* |  
*is-sequential-node* (*RefNode* -) = *True* |  
*is-sequential-node* - = *False*

The following convenience function is useful in determining if two *IRNodes* are of the same type irregardless of their edges. It will return true if both the node parameters are the same node class.

**fun** *is-same-ir-node-type* :: *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *bool* **where**  
*is-same-ir-node-type* *n1* *n2* = (  
 ((*is-AbsNode* *n1*)  $\wedge$  (*is-AbsNode* *n2*))  $\vee$   
 ((*is-AddNode* *n1*)  $\wedge$  (*is-AddNode* *n2*))  $\vee$

```

((is-AndNode n1) ∧ (is-AndNode n2)) ∨
((is-BEGINNode n1) ∧ (is-BEGINNode n2)) ∨
((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
((is-EndNode n1) ∧ (is-EndNode n2)) ∨
((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
((is-FrameState n1) ∧ (is-FrameState n2)) ∨
((is-IfNode n1) ∧ (is-IfNode n2)) ∨
((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
((is-MulNode n1) ∧ (is-MulNode n2)) ∨
((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
((is-NotNode n1) ∧ (is-NotNode n2)) ∨
((is-OrNode n1) ∧ (is-OrNode n2)) ∨
((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
((is-PiNode n1) ∧ (is-PiNode n2)) ∨
((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2))

```

end

### 3 Stamp Typing

```

theory Stamp2
  imports Values2
begin

```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
datatype Stamp =
  VoidStamp
| IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp
```

```
fun bit-bounds :: nat  $\Rightarrow$  (int  $\times$  int) where
  bit-bounds bits = (((2 ^ bits) div 2) * -1, ((2 ^ bits) div 2) - 1)
```

— A stamp which includes the full range of the type

```
fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
(bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" False False False) |
  unrestricted-stamp - = IllegalStamp
```

```
fun is-stamp-unrestricted :: Stamp  $\Rightarrow$  bool where
  is-stamp-unrestricted s = (s = unrestricted-stamp s)
```

— A stamp which provides type information but has an empty range of values

```
fun empty-stamp :: Stamp  $\Rightarrow$  Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
```

*bits*)) (*fst* (*bit-bounds bits*))) |

```

    empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
nonNull alwaysNull) |
    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
    empty-stamp stamp = IllegalStamp

```

```

fun is-stamp-empty :: Stamp ⇒ bool where
    is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

    is-stamp-empty x = False

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |

    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
    join VoidStamp VoidStamp = VoidStamp |
    join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (max l1 l2) (min u1 u2))
    ) |

    join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (KlassPointerStamp nn1 an1))
        else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    )

```

```

) |
join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
  if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
  then (empty-stamp (MethodCountersPointerStamp nn1 an1))
  else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
) |
join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
  if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
  then (empty-stamp (MethodPointersStamp nn1 an1))
  else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
) |
join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal64 (word-of-int l) else
UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
asConstant stamp1 ≠ UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal32 v) = (IntegerStamp (nat 32) (sint v) (sint v)) |
  constantAsStamp (IntVal64 v) = (IntegerStamp (nat 64) (sint v) (sint v)) |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp

```

fun valid-value :: Stamp ⇒ Value ⇒ bool where
  valid-value (IntegerStamp b l h) (IntVal32 v) = (b=32 ∧ (sint v ≥ l) ∧ (sint v ≤
h)) |
  valid-value (IntegerStamp b l h) (IntVal64 v) = (b=64 ∧ (sint v ≥ l) ∧ (sint v ≤
h)) |

  valid-value (VoidStamp) (UndefVal) = True |
  valid-value (ObjectStamp klass exact nonNull alwaysNull) (ObjRef ref) =
  (if nonNull then ref≠None else True) |
  valid-value stamp val = False

```



— The most common type of stamp within the compiler (apart from the Void-Stamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

**definition** *default-stamp* :: *Stamp* **where**  
*default-stamp* = (*unrestricted-stamp* (*IntegerStamp* 32 0 0))

**end**

## 4 Graph Representation

**theory** *IRGraph*  
**imports**  
*IRNodeHierarchy*  
*Stamp2*  
*HOL-Library.FSet*  
*HOL.Relation*  
**begin**

This theory defines the main Graal data structure - an entire IR Graph.

*IRGraph* is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

**typedef** *IRGraph* = {*g* :: *ID*  $\rightarrow$  (*IRNode*  $\times$  *Stamp*) . *finite* (*dom g*)}

**proof** –

**have** *finite*(*dom*(*Map.empty*))  $\wedge$  *ran Map.empty* = {} **by** *auto*  
**then show** *?thesis*  
**by** *fastforce*

**qed**

**setup-lifting** *type-definition-IRGraph*

**lift-definition** *ids* :: *IRGraph*  $\Rightarrow$  *ID* *set*  
**is**  $\lambda g. \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode,\ s))\}$  .

**fun** *with-default* :: '*c*  $\Rightarrow$  ('*b*  $\Rightarrow$  '*c*)  $\Rightarrow$  (('a  $\rightarrow$  '*b*)  $\Rightarrow$  '*a*  $\Rightarrow$  '*c*) **where**  
*with-default* *def conv* = ( $\lambda m\ k.$   
(case *m k* of *None*  $\Rightarrow$  *def* | *Some v*  $\Rightarrow$  *conv v*)

**lift-definition** *kind* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *IRNode*)  
**is** *with-default NoNode fst* .

**lift-definition** *stamp* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *Stamp*  
**is** *with-default IllegalStamp snd* .

**lift-definition** *add-node* :: *ID*  $\Rightarrow$  (*IRNode*  $\times$  *Stamp*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  
**is**  $\lambda nid\ k\ g.$  if *fst k* = *NoNode* then *g* else *g*(*nid*  $\mapsto$  *k*) **by** *simp*

**lift-definition** *remove-node* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid\ g.\ g(nid := None)$  **by** *simp*

**lift-definition** *replace-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid\ k\ g.\ \text{if } fst\ k = NoNode \text{ then } g \text{ else } g(nid \mapsto k)$  **by** *simp*

**lift-definition** *as-list* ::  $IRGraph \Rightarrow (ID \times IRNode \times Stamp)\ list$   
**is**  $\lambda g.\ map\ (\lambda k.\ (k, the\ (g\ k)))\ (sorted-list-of-set\ (dom\ g))$  .

**fun** *no-node* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow (ID \times (IRNode \times Stamp))\ list$   
**where**  
*no-node*  $g = filter\ (\lambda n.\ fst\ (snd\ n) \neq NoNode)\ g$

**lift-definition** *irgraph* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow IRGraph$   
**is** *map-of*  $\circ$  *no-node*  
**by** (*simp add: finite-dom-map-of*)

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none*  $g = \{nid \in dom\ g \mid \nexists s.\ g\ nid = (Some\ (NoNode, s))\}$

**lemma** *no-node-clears*:  
 $res = no-node\ xs \longrightarrow (\forall x \in set\ res.\ fst\ (snd\ x) \neq NoNode)$   
**by** *simp*

**lemma** *dom-eq*:  
**assumes**  $\forall x \in set\ xs.\ fst\ (snd\ x) \neq NoNode$   
**shows**  $filter-none\ (map-of\ xs) = dom\ (map-of\ xs)$   
**unfolding** *filter-none.simps* **using** *assms map-of-SomeD*  
**by** *fastforce*

**lemma** *fil-eq*:  
 $filter-none\ (map-of\ (no-node\ xs)) = set\ (map\ fst\ (no-node\ xs))$   
**using** *no-node-clears*  
**by** (*metis dom-eq dom-map-of-conv-image-fst list.set-map*)

**lemma** *irgraph[code]: ids* (*irgraph*  $m$ ) =  $set\ (map\ fst\ (no-node\ m))$   
**unfolding** *irgraph-def ids-def* **using** *fil-eq*  
**by** (*smt Rep-IRGraph comp-apply eq-onp-same-args filter-none.simps ids.abs-eq*  
*ids-def irgraph.abs-eq irgraph.rep-eq irgraph-def mem-Collect-eq*)

**lemma** [*code*]: *Rep-IRGraph* (*irgraph*  $m$ ) = *map-of* (*no-node*  $m$ )  
**using** *Abs-IRGraph-inverse*  
**by** (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID

```

fun inputs :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  inputs g nid = set (inputs-of (kind g nid))
  — Get the successor set of a given node ID
fun succ :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  succ g nid = set (successors-of (kind g nid))
  — Gives a relation between node IDs - between a node and its input nodes
fun input-edges :: IRGraph  $\Rightarrow$  ID rel where
  input-edges g = ( $\bigcup$  i  $\in$  ids g. {(i,j)|j. j  $\in$  (inputs g i)})
  — Find all the nodes in the graph that have nid as an input - the usages of nid
fun usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  usages g nid = {j. j  $\in$  ids g  $\wedge$  (j,nid)  $\in$  input-edges g}
fun successor-edges :: IRGraph  $\Rightarrow$  ID rel where
  successor-edges g = ( $\bigcup$  i  $\in$  ids g. {(i,j)|j. j  $\in$  (succ g i)})
fun predecessors :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
  predecessors g nid = {j. j  $\in$  ids g  $\wedge$  (j,nid)  $\in$  successor-edges g}
fun nodes-of :: IRGraph  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID set where
  nodes-of g sel = {nid  $\in$  ids g . sel (kind g nid)}
fun edge :: (IRNode  $\Rightarrow$  'a)  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  'a where
  edge sel nid g = sel (kind g nid)

fun filtered-inputs :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID list where
  filtered-inputs g nid f = filter (f  $\circ$  (kind g)) (inputs-of (kind g nid))
fun filtered-successors :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID list where
  filtered-successors g nid f = filter (f  $\circ$  (kind g)) (successors-of (kind g nid))
fun filtered-usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  (IRNode  $\Rightarrow$  bool)  $\Rightarrow$  ID set where
  filtered-usages g nid f = {n  $\in$  (usages g nid). f (kind g n)}

fun is-empty :: IRGraph  $\Rightarrow$  bool where
  is-empty g = (ids g = {})

fun any-usage :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID where
  any-usage g nid = hd (sorted-list-of-set (usages g nid))

lemma ids-some[simp]: x  $\in$  ids g  $\longleftrightarrow$  kind g x  $\neq$  NoNode
proof —
  have that: x  $\in$  ids g  $\longrightarrow$  kind g x  $\neq$  NoNode
  using ids.rep-eq kind.rep-eq by force
  have kind g x  $\neq$  NoNode  $\longrightarrow$  x  $\in$  ids g
  unfolding with-default.simps kind-def ids-def
  by (cases Rep-IRGraph g x = None; auto)
  from this that show ?thesis by auto
qed

lemma not-in-g:
  assumes nid  $\notin$  ids g
  shows kind g nid = NoNode
  using asms ids-some by blast

lemma valid-creation[simp]:

```

```

finite (dom g)  $\longleftrightarrow$  Rep-IRGraph (Abs-IRGraph g) = g
using Abs-IRGraph-inverse by (metis Rep-IRGraph mem-Collect-eq)

lemma [simp]: finite (ids g)
  using Rep-IRGraph ids.rep-eq by simp

lemma [simp]: finite (ids (irgraph g))
  by (simp add: finite-dom-map-of)

lemma [simp]: finite (dom g)  $\longrightarrow$  ids (Abs-IRGraph g) = {nid  $\in$  dom g .  $\nexists$  s. g
  nid = Some (NoNode, s)}
  using ids.rep-eq by simp

lemma [simp]: finite (dom g)  $\longrightarrow$  kind (Abs-IRGraph g) = ( $\lambda$ x . (case g x of None
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))
  by (simp add: kind.rep-eq)

lemma [simp]: finite (dom g)  $\longrightarrow$  stamp (Abs-IRGraph g) = ( $\lambda$ x . (case g x of
  None  $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))
  using stamp.abs-eq stamp.rep-eq by auto

lemma [simp]: ids (irgraph g) = set (map fst (no-node g))
  using irgraph by auto

lemma [simp]: kind (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))
  using irgraph.rep-eq kind.transfer kind.rep-eq by auto

lemma [simp]: stamp (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None
 $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))
  using irgraph.rep-eq stamp.transfer stamp.rep-eq by auto

lemma map-of-upd: (map-of g)(k  $\mapsto$  v) = (map-of ((k, v) # g))
  by simp

lemma [code]: replace-node nid k (irgraph g) = (irgraph ( ((nid, k) # g)))
proof (cases fst k = NoNode)
  case True
  then show ?thesis
    by (metis (mono-tags, lifting) Rep-IRGraph-inject filter.simps(2) irgraph.abs-eq
      no-node.simps replace-node.rep-eq snd-conv)
  next
  case False
  then show ?thesis unfolding irgraph-def replace-node-def no-node.simps
    by (smt (verit, best) Rep-IRGraph comp-apply eq-onp-same-args filter.simps(2)
      id-def irgraph.rep-eq map-fun-apply map-of-upd mem-Collect-eq no-node.elims
      replace-node.abs-eq replace-node-def snd-eqD)
qed

```

**lemma** [code]: *add-node* *nid* *k* (*irgraph* *g*) = (*irgraph* (((*nid*, *k*) # *g*)))  
 by (*smt* (*z3*) *Rep-IRGraph-inject* *add-node.rep-eq* *filter.simps*(2) *irgraph.rep-eq*  
*map-of-upd* *no-node.simps* *snd-conv*)

**lemma** *add-node-lookup*:  
*gup* = *add-node* *nid* (*k*, *s*) *g*  $\longrightarrow$   
 (if *k*  $\neq$  *NoNode* then *kind* *gup* *nid* = *k*  $\wedge$  *stamp* *gup* *nid* = *s* else *kind* *gup* *nid*  
 = *kind* *g* *nid*)  
**proof** (*cases* *k* = *NoNode*)  
 case *True*  
 then show ?thesis  
 by (*simp* *add*: *add-node.rep-eq* *kind.rep-eq*)  
next  
 case *False*  
 then show ?thesis  
 by (*simp* *add*: *kind.rep-eq* *add-node.rep-eq* *stamp.rep-eq*)  
qed

**lemma** *remove-node-lookup*:  
*gup* = *remove-node* *nid* *g*  $\longrightarrow$  *kind* *gup* *nid* = *NoNode*  $\wedge$  *stamp* *gup* *nid* =  
*IllegalStamp*  
 by (*simp* *add*: *kind.rep-eq* *remove-node.rep-eq* *stamp.rep-eq*)

**lemma** *replace-node-lookup*[*simp*]:  
*gup* = *replace-node* *nid* (*k*, *s*) *g*  $\wedge$  *k*  $\neq$  *NoNode*  $\longrightarrow$  *kind* *gup* *nid* = *k*  $\wedge$  *stamp*  
*gup* *nid* = *s*  
 by (*simp* *add*: *replace-node.rep-eq* *kind.rep-eq* *stamp.rep-eq*)

**lemma** *replace-node-unchanged*:  
*gup* = *replace-node* *nid* (*k*, *s*) *g*  $\longrightarrow$  ( $\forall$  *n*  $\in$  (*ids* *g* - {*nid*}) . *n*  $\in$  *ids* *g*  $\wedge$  *n*  $\in$  *ids*  
*gup*  $\wedge$  *kind* *g* *n* = *kind* *gup* *n*)  
 by (*simp* *add*: *kind.rep-eq* *replace-node.rep-eq*)

#### 4.0.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph*:: *IRGraph* **where**  
*start-end-graph* = *irgraph* [(0, *StartNode* *None* 1, *VoidStamp*), (1, *ReturnNode*  
*None* *None*, *VoidStamp*)]

Example 2: public static int sq(int x) return x \* x;  
 [1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**  
*eg2-sq* = *irgraph* [  
 (0, *StartNode* *None* 5, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (4, *MulNode* 1 1, *default-stamp*),

```

    (5, ReturnNode (Some 4) None, default-stamp)
  ]

```

```

value input-edges eg2-sq
value usages eg2-sq 1

end

```

## 5 Data-flow Semantics

```

theory IRTreeEval
  imports
    Graph.IRGraph
  begin

```

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```

type-synonym MapState = ID ⇒ Value
type-synonym Params = Value list

```

```

definition new-map-state :: MapState where
  new-map-state = (λx..UndefVal)

```

```

fun val-to-bool :: Value ⇒ bool where
  val-to-bool (IntVal32 val) = (if val = 0 then False else True) |
  val-to-bool v = False

```

```

fun bool-to-val :: bool ⇒ Value where
  bool-to-val True = (IntVal32 1) |

```

*bool-to-val False = (IntVal32 0)*

```
fun find-index :: 'a ⇒ 'a list ⇒ nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)
```

```
fun phi-list :: IRGraph ⇒ ID ⇒ ID list where
  phi-list g nid =
    (filter (λx.(is-PhiNode (kind g x)))
     (sorted-list-of-set (usages g nid)))
```

```
fun input-index :: IRGraph ⇒ ID ⇒ ID ⇒ nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))
```

```
fun phi-inputs :: IRGraph ⇒ nat ⇒ ID list ⇒ ID list where
  phi-inputs g i nodes = (map (λn. (inputs-of (kind g n))!(i + 1)) nodes)
```

```
fun set-phis :: ID list ⇒ Value list ⇒ MapState ⇒ MapState where
  set-phis [] [] m = m |
  set-phis (nid # xs) (v # vs) m = (set-phis xs vs (m(nid := v))) |
  set-phis [] (v # vs) m = m |
  set-phis (x # xs) [] m = m
```

```
fun find-node-and-stamp :: IRGraph ⇒ (IRNode × Stamp) ⇒ ID option where
  find-node-and-stamp g (n,s) =
    find (λi. kind g i = n ∧ stamp g i = s) (sorted-list-of-set(ids g))
```

**export-code** find-node-and-stamp

## 5.1 Data-flow Tree Representation

```
datatype IRUnaryOp =
  UnaryAbs
  | UnaryNeg
  | UnaryNot
  | UnaryLogicNegation
```

```
datatype IRBinaryOp =
  BinAdd
  | BinMul
  | BinSub
  | BinAnd
  | BinOr
  | BinXor
  | BinIntegerEquals
```

| *BinIntegerLessThan*

```

datatype (discs-sels) IRExpr =
  UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
| BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
| ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)
| ConstantExpr (ir-const: Value)

| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)

| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

```

```

fun is-preevaluated :: IRNode  $\Rightarrow$  bool where
  is-preevaluated (InvokeNode nid - - - -) = True |
  is-preevaluated (InvokeWithExceptionNode nid - - - - -) = True |
  is-preevaluated (NewInstanceNode nid - - -) = True |
  is-preevaluated (LoadFieldNode nid - - -) = True |
  is-preevaluated (SignedDivNode nid - - - - -) = True |
  is-preevaluated (SignedRemNode nid - - - - -) = True |
  is-preevaluated (ValuePhiNode nid - -) = True |
  is-preevaluated - = False

```

**inductive**

*rep* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (-  $\vdash$  -  $\triangleright$  - 55)

**for** *g* **where**

*ConstantNode*:

```

[[kind g n = ConstantNode c]]
 $\implies g \vdash n \triangleright (\text{ConstantExpr } c) \mid$ 

```

*ParameterNode*:

```

[[kind g n = ParameterNode i;
  stamp g n = s]]
 $\implies g \vdash n \triangleright (\text{ParameterExpr } i \ s) \mid$ 

```

*ConditionalNode*:

```

[[kind g n = ConditionalNode c t f;
  g  $\vdash c \triangleright ce$ ;
  g  $\vdash t \triangleright te$ ;
  g  $\vdash f \triangleright fe$ ]]
 $\implies g \vdash n \triangleright (\text{ConditionalExpr } ce \ te \ fe) \mid$ 

```



*AbsNode:*

$\llbracket \text{kind } g \ n = \text{AbsNode } x;$   
 $g \vdash x \triangleright xe \rrbracket$   
 $\implies g \vdash n \triangleright (\text{UnaryExpr } \text{UnaryAbs } xe) \mid$

*NotNode:*

$\llbracket \text{kind } g \ n = \text{NotNode } x;$   
 $g \vdash x \triangleright xe \rrbracket$   
 $\implies g \vdash n \triangleright (\text{UnaryExpr } \text{UnaryNot } xe) \mid$

*NegateNode:*

$\llbracket \text{kind } g \ n = \text{NegateNode } x;$   
 $g \vdash x \triangleright xe \rrbracket$   
 $\implies g \vdash n \triangleright (\text{UnaryExpr } \text{UnaryNeg } xe) \mid$

*LogicNegationNode:*

$\llbracket \text{kind } g \ n = \text{LogicNegationNode } x;$   
 $g \vdash x \triangleright xe \rrbracket$   
 $\implies g \vdash n \triangleright (\text{UnaryExpr } \text{UnaryLogicNegation } xe) \mid$

*AddNode:*

$\llbracket \text{kind } g \ n = \text{AddNode } x \ y;$   
 $g \vdash x \triangleright xe;$   
 $g \vdash y \triangleright ye \rrbracket$   
 $\implies g \vdash n \triangleright (\text{BinaryExpr } \text{BinAdd } xe \ ye) \mid$

*MulNode:*

$\llbracket \text{kind } g \ n = \text{MulNode } x \ y;$   
 $g \vdash x \triangleright xe;$   
 $g \vdash y \triangleright ye \rrbracket$   
 $\implies g \vdash n \triangleright (\text{BinaryExpr } \text{BinMul } xe \ ye) \mid$

*SubNode:*

$\llbracket \text{kind } g \ n = \text{SubNode } x \ y;$   
 $g \vdash x \triangleright xe;$   
 $g \vdash y \triangleright ye \rrbracket$   
 $\implies g \vdash n \triangleright (\text{BinaryExpr } \text{BinSub } xe \ ye) \mid$

*AndNode:*

$\llbracket \text{kind } g \ n = \text{AndNode } x \ y;$   
 $g \vdash x \triangleright xe;$   
 $g \vdash y \triangleright ye \rrbracket$   
 $\implies g \vdash n \triangleright (\text{BinaryExpr } \text{BinAnd } xe \ ye) \mid$

*OrNode:*

$\llbracket \text{kind } g \ n = \text{OrNode } x \ y;$   
 $g \vdash x \triangleright xe;$

$$g \vdash y \triangleright ye \parallel \\ \implies g \vdash n \triangleright (BinaryExpr \text{ BinOr } xe \ ye) \mid$$

*XorNode:*  
 $\llbracket kind \ g \ n = XorNode \ x \ y; \\ g \vdash x \triangleright xe; \\ g \vdash y \triangleright ye \parallel \\ \implies g \vdash n \triangleright (BinaryExpr \text{ BinXor } xe \ ye) \mid$

*IntegerEqualsNode:*  
 $\llbracket kind \ g \ n = IntegerEqualsNode \ x \ y; \\ g \vdash x \triangleright xe; \\ g \vdash y \triangleright ye \parallel \\ \implies g \vdash n \triangleright (BinaryExpr \text{ BinIntegerEquals } xe \ ye) \mid$

*IntegerLessThanNode:*  
 $\llbracket kind \ g \ n = IntegerLessThanNode \ x \ y; \\ g \vdash x \triangleright xe; \\ g \vdash y \triangleright ye \parallel \\ \implies g \vdash n \triangleright (BinaryExpr \text{ BinIntegerLessThan } xe \ ye) \mid$

*LeafNode:*  
 $\llbracket is\text{-preevaluated} \ (kind \ g \ n); \\ stamp \ g \ n = s \parallel \\ \implies g \vdash n \triangleright (LeafExpr \ n \ s)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$  as *exprE*) *rep* .

**inductive**

*replist* :: *IRGraph*  $\Rightarrow$  *ID list*  $\Rightarrow$  *IRExpr list*  $\Rightarrow$  *bool* (-  $\vdash$  -  $\triangleright_L$  - 55)  
**for** *g* **where**

*RepNil:*  
 $g \vdash [] \triangleright_L [] \mid$

*RepCons:*  
 $\llbracket g \vdash x \triangleright xe; \\ g \vdash xs \triangleright_L xse \parallel \\ \implies g \vdash x \# xs \triangleright_L xe \# xse$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$  as *exprListE*) *replist* .

$$\frac{kind \ g \ n = ConstantNode \ c}{g \vdash n \triangleright ConstantExpr \ c}$$

$$\frac{kind \ g \ n = ParameterNode \ i \quad stamp \ g \ n = s}{g \vdash n \triangleright ParameterExpr \ i \ s}$$

$$\begin{array}{c}
\frac{\text{kind } g \ n = \text{AbsNode } x \quad g \vdash x \triangleright xe}{g \vdash n \triangleright \text{UnaryExpr UnaryAbs } xe} \\
\\
\frac{\text{kind } g \ n = \text{AddNode } x \ y \quad g \vdash x \triangleright xe \quad g \vdash y \triangleright ye}{g \vdash n \triangleright \text{BinaryExpr BinAdd } xe \ ye} \\
\\
\frac{\text{kind } g \ n = \text{MulNode } x \ y \quad g \vdash x \triangleright xe \quad g \vdash y \triangleright ye}{g \vdash n \triangleright \text{BinaryExpr BinMul } xe \ ye} \\
\\
\frac{\text{kind } g \ n = \text{SubNode } x \ y \quad g \vdash x \triangleright xe \quad g \vdash y \triangleright ye}{g \vdash n \triangleright \text{BinaryExpr BinSub } xe \ ye} \\
\\
\frac{\text{is-preevaluated } (\text{kind } g \ n) \quad \text{stamp } g \ n = s}{g \vdash n \triangleright \text{LeafExpr } n \ s}
\end{array}$$

**values** {*t*. *eg2-sq*  $\vdash 4 \triangleright t$ }

**fun** *stamp-unary* :: *IRUnaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**  
*stamp-unary op (IntegerStamp b lo hi)* = *unrestricted-stamp (IntegerStamp b lo hi)* |

*stamp-unary op -* = *IllegalStamp*

**fun** *stamp-binary* :: *IRBinaryOp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *Stamp* **where**  
*stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2)* =  
 (if (*b1* = *b2*) then *unrestricted-stamp (IntegerStamp b1 lo1 hi1)* else *IllegalStamp*)  
 |

*stamp-binary op - -* = *IllegalStamp*

**fun** *stamp-expr* :: *IRExpr*  $\Rightarrow$  *Stamp* **where**  
*stamp-expr (UnaryExpr op x)* = *stamp-unary op (stamp-expr x)* |  
*stamp-expr (BinaryExpr bop x y)* = *stamp-binary bop (stamp-expr x) (stamp-expr y)* |  
*stamp-expr (ConstantExpr val)* = *constantAsStamp val* |  
*stamp-expr (LeafExpr i s)* = *s* |  
*stamp-expr (ParameterExpr i s)* = *s* |  
*stamp-expr (ConditionalExpr c t f)* = *meet (stamp-expr t) (stamp-expr f)*

**export-code** *stamp-unary stamp-binary stamp-expr*

**fun** *unary-node* :: *IRUnaryOp*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode* **where**  
*unary-node UnaryAbs v* = *AbsNode v* |  
*unary-node UnaryNot v* = *NotNode v* |  
*unary-node UnaryNeg v* = *NegateNode v* |  
*unary-node UnaryLogicNegation v* = *LogicNegationNode v*

```

fun bin-node :: IRBinaryOp  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  IRNode where
  bin-node BinAdd x y = AddNode x y |
  bin-node BinMul x y = MulNode x y |
  bin-node BinSub x y = SubNode x y |
  bin-node BinAnd x y = AndNode x y |
  bin-node BinOr x y = OrNode x y |
  bin-node BinXor x y = XorNode x y |
  bin-node BinIntegerEquals x y = IntegerEqualsNode x y |
  bin-node BinIntegerLessThan x y = IntegerLessThanNode x y

```

```

fun unary-eval :: IRUnaryOp  $\Rightarrow$  Value  $\Rightarrow$  Value where
  unary-eval UnaryAbs v = intval-abs v |
  unary-eval UnaryNeg v = intval-negate v |
  unary-eval UnaryNot v = intval-not v |
  unary-eval UnaryLogicNegation (IntVal32 v1) = (if v1 = 0 then (IntVal32 1) else
(IntVal32 0)) |
  unary-eval op v1 = UndefVal

```

```

fun bin-eval :: IRBinaryOp  $\Rightarrow$  Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  bin-eval BinAdd v1 v2 = intval-add v1 v2 |
  bin-eval BinMul v1 v2 = intval-mul v1 v2 |
  bin-eval BinSub v1 v2 = intval-sub v1 v2 |
  bin-eval BinAnd v1 v2 = intval-and v1 v2 |
  bin-eval BinOr v1 v2 = intval-or v1 v2 |
  bin-eval BinXor v1 v2 = intval-xor v1 v2 |
  bin-eval BinIntegerEquals v1 v2 = intval-equals v1 v2 |
  bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2

```

```

inductive fresh-id :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  bool where
  nid  $\notin$  ids g  $\Longrightarrow$  fresh-id g nid

```

```

code-pred fresh-id .

```

```

fun get-fresh-id :: IRGraph  $\Rightarrow$  ID where

```

```

  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1

```

```

export-code get-fresh-id

```

```

value get-fresh-id eg2-sq

```

```

value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)

```

```

inductive

```

```

  unrep :: IRGraph  $\Rightarrow$  IRExpr  $\Rightarrow$  (IRGraph  $\times$  ID)  $\Rightarrow$  bool (-  $\triangleleft$  -  $\rightsquigarrow$  - 55)

```

**and**  
 $unrepList :: IRGraph \Rightarrow IRExpr\ list \Rightarrow (IRGraph \times ID\ list) \Rightarrow bool\ (- \triangleleft_L - \rightsquigarrow -$   
55)

**where**

*ConstantNodeSame:*

$\llbracket find\_node\_and\_stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = Some\ nid \rrbracket$   
 $\implies g \triangleleft (ConstantExpr\ c) \rightsquigarrow (g,\ nid) \mid$

*ConstantNodeNew:*

$\llbracket find\_node\_and\_stamp\ g\ (ConstantNode\ c,\ constantAsStamp\ c) = None;$   
 $\quad nid = get\_fresh\_id\ g;$   
 $\quad g' = add\_node\ nid\ (ConstantNode\ c,\ constantAsStamp\ c)\ g \rrbracket$   
 $\implies g \triangleleft (ConstantExpr\ c) \rightsquigarrow (g',\ nid) \mid$

*ParameterNodeSame:*

$\llbracket find\_node\_and\_stamp\ g\ (ParameterNode\ i,\ s) = Some\ nid \rrbracket$   
 $\implies g \triangleleft (ParameterExpr\ i\ s) \rightsquigarrow (g,\ nid) \mid$

*ParameterNodeNew:*

$\llbracket find\_node\_and\_stamp\ g\ (ParameterNode\ i,\ s) = None;$   
 $\quad nid = get\_fresh\_id\ g;$   
 $\quad g' = add\_node\ nid\ (ParameterNode\ i,\ s)\ g \rrbracket$   
 $\implies g \triangleleft (ParameterExpr\ i\ s) \rightsquigarrow (g',\ nid) \mid$

*ConditionalNodeSame:*

$\llbracket g \triangleleft_L [ce,\ te,\ fe] \rightsquigarrow (g2,\ [c,\ t,\ f]);$   
 $\quad s' = meet\ (stamp\ g2\ t)\ (stamp\ g2\ f);$   
 $\quad find\_node\_and\_stamp\ g2\ (ConditionalNode\ c\ t\ f,\ s') = Some\ nid \rrbracket$   
 $\implies g \triangleleft (ConditionalExpr\ ce\ te\ fe) \rightsquigarrow (g2,\ nid) \mid$

*ConditionalNodeNew:*

$\llbracket g \triangleleft_L [ce,\ te,\ fe] \rightsquigarrow (g2,\ [c,\ t,\ f]);$   
 $\quad s' = meet\ (stamp\ g2\ t)\ (stamp\ g2\ f);$   
 $\quad find\_node\_and\_stamp\ g2\ (ConditionalNode\ c\ t\ f,\ s') = None;$   
 $\quad nid = get\_fresh\_id\ g2;$   
 $\quad g' = add\_node\ nid\ (ConditionalNode\ c\ t\ f,\ s')\ g2 \rrbracket$   
 $\implies g \triangleleft (ConditionalExpr\ ce\ te\ fe) \rightsquigarrow (g',\ nid) \mid$

*UnaryNodeSame:*

$\llbracket g \triangleleft xe \rightsquigarrow (g2,\ x);$   
 $\quad s' = stamp\_unary\ op\ (stamp\ g2\ x);$   
 $\quad find\_node\_and\_stamp\ g2\ (unary\_node\ op\ x,\ s') = Some\ nid \rrbracket$   
 $\implies g \triangleleft (UnaryExpr\ op\ xe) \rightsquigarrow (g2,\ nid) \mid$

*UnaryNodeNew:*

$\llbracket g \triangleleft xe \rightsquigarrow (g2,\ x);$   
 $\quad s' = stamp\_unary\ op\ (stamp\ g2\ x);$   
 $\quad find\_node\_and\_stamp\ g2\ (unary\_node\ op\ x,\ s') = None;$

$nid = \text{get-fresh-id } g2;$   
 $g' = \text{add-node } nid \ (\text{unary-node } op \ x, \ s') \ g2$   
 $\implies g \triangleleft (\text{UnaryExpr } op \ xe) \rightsquigarrow (g', \ nid) \mid$

*BinaryNodeSame:*

$\llbracket g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]);$   
 $s' = \text{stamp-binary } op \ (\text{stamp } g2 \ x) \ (\text{stamp } g2 \ y);$   
 $\text{find-node-and-stamp } g2 \ (\text{bin-node } op \ x \ y, \ s') = \text{Some } nid \rrbracket$   
 $\implies g \triangleleft (\text{BinaryExpr } op \ xe \ ye) \rightsquigarrow (g2, \ nid) \mid$

*BinaryNodeNew:*

$\llbracket g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]);$   
 $s' = \text{stamp-binary } op \ (\text{stamp } g2 \ x) \ (\text{stamp } g2 \ y);$   
 $\text{find-node-and-stamp } g2 \ (\text{bin-node } op \ x \ y, \ s') = \text{None};$   
 $nid = \text{get-fresh-id } g2;$   
 $g' = \text{add-node } nid \ (\text{bin-node } op \ x \ y, \ s') \ g2$   
 $\implies g \triangleleft (\text{BinaryExpr } op \ xe \ ye) \rightsquigarrow (g', \ nid) \mid$

*AllLeafNodes:*

$\text{stamp } g \ nid = s$   
 $\implies g \triangleleft (\text{LeafExpr } nid \ s) \rightsquigarrow (g, \ nid) \mid$

*UnrepNil:*

$g \triangleleft_L [] \rightsquigarrow (g, []) \mid$

*UnrepCons:*

$\llbracket g \triangleleft xe \rightsquigarrow (g2, x);$   
 $g2 \triangleleft_L xes \rightsquigarrow (g3, xs) \rrbracket$   
 $\implies g \triangleleft_L (xe \# xes) \rightsquigarrow (g3, x \# xs)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *unrepE*)

*unrep* .

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *unrepListE*) *unrepList* .

$\frac{\text{find-node-and-stamp } g \ (\text{ConstantNode } c, \ \text{constantAsStamp } c) = \text{Some } nid}{g \triangleleft \text{ConstantExpr } c \rightsquigarrow (g, \ nid)}$

$\frac{\begin{array}{l} \text{find-node-and-stamp } g \ (\text{ConstantNode } c, \ \text{constantAsStamp } c) = \text{None} \\ nid = \text{get-fresh-id } g \\ g' = \text{add-node } nid \ (\text{ConstantNode } c, \ \text{constantAsStamp } c) \ g \end{array}}{g \triangleleft \text{ConstantExpr } c \rightsquigarrow (g', \ nid)}$

$\frac{\text{find-node-and-stamp } g \ (\text{ParameterNode } i, \ s) = \text{Some } nid}{g \triangleleft \text{ParameterExpr } i \ s \rightsquigarrow (g, \ nid)}$

$$\begin{array}{c}
\frac{\text{find-node-and-stamp } g \text{ (ParameterNode } i, s) = \text{None} \quad \text{nid} = \text{get-fresh-id } g \quad g' = \text{add-node nid (ParameterNode } i, s) \text{ } g}{g \triangleleft \text{ParameterExpr } i \text{ } s \rightsquigarrow (g', \text{nid})} \\
\\
\frac{g \triangleleft_L [ce, te, fe] \rightsquigarrow (g2, [c, t, f]) \quad s' = \text{meet (stamp } g2 \text{ } t) \text{ (stamp } g2 \text{ } f) \quad \text{find-node-and-stamp } g2 \text{ (ConditionalNode } c \text{ } t \text{ } f, s') = \text{Some nid}}{g \triangleleft \text{ConditionalExpr } ce \text{ } te \text{ } fe \rightsquigarrow (g2, \text{nid})} \\
\\
\frac{g \triangleleft_L [ce, te, fe] \rightsquigarrow (g2, [c, t, f]) \quad s' = \text{meet (stamp } g2 \text{ } t) \text{ (stamp } g2 \text{ } f) \quad \text{find-node-and-stamp } g2 \text{ (ConditionalNode } c \text{ } t \text{ } f, s') = \text{None} \quad \text{nid} = \text{get-fresh-id } g2 \quad g' = \text{add-node nid (ConditionalNode } c \text{ } t \text{ } f, s') \text{ } g2}{g \triangleleft \text{ConditionalExpr } ce \text{ } te \text{ } fe \rightsquigarrow (g', \text{nid})} \\
\\
\frac{g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]) \quad s' = \text{stamp-binary op (stamp } g2 \text{ } x) \text{ (stamp } g2 \text{ } y) \quad \text{find-node-and-stamp } g2 \text{ (bin-node op } x \text{ } y, s') = \text{Some nid}}{g \triangleleft \text{BinaryExpr op } xe \text{ } ye \rightsquigarrow (g2, \text{nid})} \\
\\
\frac{g \triangleleft_L [xe, ye] \rightsquigarrow (g2, [x, y]) \quad s' = \text{stamp-binary op (stamp } g2 \text{ } x) \text{ (stamp } g2 \text{ } y) \quad \text{find-node-and-stamp } g2 \text{ (bin-node op } x \text{ } y, s') = \text{None} \quad \text{nid} = \text{get-fresh-id } g2 \quad g' = \text{add-node nid (bin-node op } x \text{ } y, s') \text{ } g2}{g \triangleleft \text{BinaryExpr op } xe \text{ } ye \rightsquigarrow (g', \text{nid})} \\
\\
\frac{g \triangleleft xe \rightsquigarrow (g2, x) \quad s' = \text{stamp-unary op (stamp } g2 \text{ } x) \quad \text{find-node-and-stamp } g2 \text{ (unary-node op } x, s') = \text{Some nid}}{g \triangleleft \text{UnaryExpr op } xe \rightsquigarrow (g2, \text{nid})} \\
\\
\frac{g \triangleleft xe \rightsquigarrow (g2, x) \quad s' = \text{stamp-unary op (stamp } g2 \text{ } x) \quad \text{find-node-and-stamp } g2 \text{ (unary-node op } x, s') = \text{None} \quad \text{nid} = \text{get-fresh-id } g2 \quad g' = \text{add-node nid (unary-node op } x, s') \text{ } g2}{g \triangleleft \text{UnaryExpr op } xe \rightsquigarrow (g', \text{nid})} \\
\\
\frac{\text{stamp } g \text{ nid} = s}{g \triangleleft \text{LeafExpr nid } s \rightsquigarrow (g, \text{nid})}
\end{array}$$

**definition** *sq-param0* :: *IRExpr* **where**

*sq-param0* = *BinaryExpr BinMul*  
*(ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))*  
*(ParameterExpr 0 (IntegerStamp 32 (- 2147483648) 2147483647))*

**values** {(nid, g) . (eg2-sq < sq-param0 ~> (g, nid))}

## 5.2 Data-flow Tree Evaluation

**inductive**

*evaltree* :: *MapState* ⇒ *Params* ⇒ *IRExpr* ⇒ *Value* ⇒ *bool* ([-,] ⊢ - ↦ - 55)  
**for** *m p* **where**

*ConstantExpr:*

$\llbracket c \neq \text{UndefVal} \rrbracket$   
 $\implies [m, p] \vdash (\text{ConstantExpr } c) \mapsto c \mid$

*ParameterExpr:*

$\llbracket \text{valid-value } s \ (p!i) \rrbracket$   
 $\implies [m, p] \vdash (\text{ParameterExpr } i \ s) \mapsto p!i \mid$

*ConditionalExpr:*

$\llbracket [m, p] \vdash ce \mapsto \text{cond};$   
 $\text{branch} = (\text{if val-to-bool cond then te else fe});$   
 $[m, p] \vdash \text{branch} \mapsto v \rrbracket$   
 $\implies [m, p] \vdash (\text{ConditionalExpr } ce \ te \ fe) \mapsto v \mid$

*UnaryExpr:*

$\llbracket [m, p] \vdash xe \mapsto v \rrbracket$   
 $\implies [m, p] \vdash (\text{UnaryExpr } op \ xe) \mapsto \text{unary-eval } op \ v \mid$

*BinaryExpr:*

$\llbracket [m, p] \vdash xe \mapsto x;$   
 $[m, p] \vdash ye \mapsto y \rrbracket$   
 $\implies [m, p] \vdash (\text{BinaryExpr } op \ xe \ ye) \mapsto \text{bin-eval } op \ x \ y \mid$

*LeafExpr:*

$\llbracket \text{val} = m \ \text{nid};$   
 $\text{valid-value } s \ \text{val} \rrbracket$   
 $\implies [m, p] \vdash \text{LeafExpr } \text{nid } s \mapsto \text{val}$

$$\begin{array}{c}
\frac{c \neq \text{UndefVal}}{[m, p] \vdash \text{ConstantExpr } c \mapsto c} \\
\\
\frac{\text{valid-value } s \ p[i]}{[m, p] \vdash \text{ParameterExpr } i \ s \mapsto p[i]} \\
\\
\frac{[m, p] \vdash ce \mapsto \text{cond} \quad \text{branch} = (\text{if } \text{IRTreeEval.val-to-bool cond then te else fe}) \quad [m, p] \vdash \text{branch} \mapsto v}{[m, p] \vdash \text{ConditionalExpr } ce \ te \ fe \mapsto v} \\
\\
\frac{[m, p] \vdash xe \mapsto v}{[m, p] \vdash \text{UnaryExpr } op \ xe \mapsto \text{unary-eval } op \ v} \\
\\
\frac{[m, p] \vdash xe \mapsto x \quad [m, p] \vdash ye \mapsto y}{[m, p] \vdash \text{BinaryExpr } op \ xe \ ye \mapsto \text{bin-eval } op \ x \ y} \\
\\
\frac{\text{val} = m \ \text{nid} \quad \text{valid-value } s \ \text{val}}{[m, p] \vdash \text{LeafExpr } \text{nid } s \mapsto \text{val}}
\end{array}$$



```

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalT)
  [show-steps, show-mode-inference, show-intermediate-results]
  evaltree .

```

**inductive**

```

  evaltrees :: MapState  $\Rightarrow$  Params  $\Rightarrow$  IRExp list  $\Rightarrow$  Value list  $\Rightarrow$  bool ( $[-, -] \vdash - \mapsto_L$ 
- 55)

```

**for**  $m\ p$  **where**

*EvalNil:*

```

  [m, p]  $\vdash [] \mapsto_L []$  |

```

*EvalCons:*

```

  [[m, p]  $\vdash x \mapsto xval$ ;
   [m, p]  $\vdash yy \mapsto_L yyval$ ]
   $\Rightarrow [m, p] \vdash (x \# yy) \mapsto_L (xval \# yyval)$ 

```

```

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalTs)
  evaltrees .

```

```

values {v. evaltree new-map-state [IntVal32 5] sq-param0 v}

```

```

declare evaltree.intros [intro]

```

```

declare evaltrees.intros [intro]

```

### 5.3 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** *equiv-exprs* :: IRExp  $\Rightarrow$  IRExp  $\Rightarrow$  bool ( $- \doteq -$  55) **where**  
 $(e1 \doteq e2) = (\forall\ m\ p\ v. ([m, p] \vdash e1 \mapsto v) \longleftrightarrow ([m, p] \vdash e2 \mapsto v))$

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

**lemma** *equivp equiv-exprs*

```

  apply (auto simp add: equivp-def equiv-exprs-def)

```

```

  by (metis equiv-exprs-def)+

```

We define a refinement ordering over IRExp and show that it is a preorder. Note that it is asymmetric because  $e2$  may refer to fewer variables than  $e1$ .

**instantiation** IRExp :: preorder **begin**

**definition**

*le-expr-def* [simp]:  $(e1 \leq e2) \longleftrightarrow (\forall m p v. ([m,p] \vdash e1 \mapsto v) \longrightarrow ([m,p] \vdash e2 \mapsto v))$

**definition**

*lt-expr-def* [simp]:  $(e1 < e2) \longleftrightarrow (e1 \leq e2 \wedge \neg (e1 \doteq e2))$

**instance proof**

```

fix x y z :: IRExp
show x < y  $\longleftrightarrow$  x  $\leq$  y  $\wedge$   $\neg$  (y  $\leq$  x) by (simp add: equiv-exprs-def; auto)
show x  $\leq$  x by simp
show x  $\leq$  y  $\implies$  y  $\leq$  z  $\implies$  x  $\leq$  z by simp
qed
end

end

```

## 6 Data-flow Expression-Tree Theorems

**theory** *IRTreeEvalThms*

**imports**

*Semantics.IRTreeEval*

**begin**

### 6.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of *IRNode* to the corresponding *IRExp* type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

**lemma** *rep-constant*:

```

g  $\vdash$  n  $\triangleright$  e  $\implies$ 
  kind g n = ConstantNode c  $\implies$ 
  e = ConstantExpr c
by (induction rule: rep.induct; auto)

```

**lemma** *rep-parameter*:

```

g  $\vdash$  n  $\triangleright$  e  $\implies$ 
  kind g n = ParameterNode i  $\implies$ 
  ( $\exists s. e = \text{ParameterExpr } i s$ )
by (induction rule: rep.induct; auto)

```

**lemma** *rep-conditional*:

```

g  $\vdash$  n  $\triangleright$  e  $\implies$ 
  kind g n = ConditionalNode c t f  $\implies$ 
  ( $\exists ce te fe. e = \text{ConditionalExpr } ce te fe$ )
by (induction rule: rep.induct; auto)

```

**lemma** *rep-abs*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = AbsNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryAbs\ xe)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-not*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = NotNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryNot\ xe)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-negate*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = NegateNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryNeg\ xe)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-logicnegation*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = LogicNegationNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryLogicNegation\ xe)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-add*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = AddNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinAdd\ xe\ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-sub*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = SubNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinSub\ xe\ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-mul*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = MulNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinMul\ xe\ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-and*:

$g \vdash n \triangleright e \implies$   
 $kind\ g\ n = AndNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinAnd\ xe\ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-or*:

$g \vdash n \triangleright e \implies$   
 $\text{kind } g \ n = \text{OrNode } x \ y \implies$   
 $(\exists xe \ ye. \ e = \text{BinaryExpr } \text{BinOr } xe \ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-xor*:

$g \vdash n \triangleright e \implies$   
 $\text{kind } g \ n = \text{XorNode } x \ y \implies$   
 $(\exists xe \ ye. \ e = \text{BinaryExpr } \text{BinXor } xe \ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-integer-equals*:

$g \vdash n \triangleright e \implies$   
 $\text{kind } g \ n = \text{IntegerEqualsNode } x \ y \implies$   
 $(\exists xe \ ye. \ e = \text{BinaryExpr } \text{BinIntegerEquals } xe \ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-integer-less-than*:

$g \vdash n \triangleright e \implies$   
 $\text{kind } g \ n = \text{IntegerLessThanNode } x \ y \implies$   
 $(\exists xe \ ye. \ e = \text{BinaryExpr } \text{BinIntegerLessThan } xe \ ye)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *rep-load-field*:

$g \vdash n \triangleright e \implies$   
 $\text{is-preevaluated } (\text{kind } g \ n) \implies$   
 $(\exists s. \ e = \text{LeafExpr } n \ s)$   
**by** (*induction rule*: *rep.induct*; *auto*)

**lemma** *repDet*:

**shows**  $(g \vdash n \triangleright e1) \implies (g \vdash n \triangleright e2) \implies e1 = e2$   
**proof** (*induction arbitrary*: *e2 rule*: *rep.induct*)  
**case** (*ConstantNode* *n c*)  
**then show** *?case* **using** *rep-constant* **by** *auto*  
**next**  
**case** (*ParameterNode* *n i s*)  
**then show** *?case* **using** *rep-parameter* **by** *auto*  
**next**  
**case** (*ConditionalNode* *n c t f ce te fe*)  
**then show** *?case*  
**by** (*metis rep-conditional ConditionalNodeE IRNode.inject(6)*)  
**next**  
**case** (*AbsNode* *n x xe*)  
**then show** *?case*  
**by** (*metis rep-abs AbsNodeE IRNode.inject(1)*)

```

next
  case (NotNode n x xe)
  then show ?case
    by (metis rep-not NotNodeE IRNode.inject(29))
next
case (NegateNode n x xe)
  then show ?case
    by (metis IRNode.inject(26) NegateNodeE rep-negate)
next
case (LogicNegationNode n x xe)
  then show ?case
    by (metis IRNode.inject(19) LogicNegationNodeE rep-logicnegation)
next
case (AddNode n x y xe ye)
  then show ?case
    by (metis AddNodeE IRNode.inject(2) rep-add)
next
case (MulNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(25) MulNodeE rep-mul)
next
case (SubNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(39) SubNodeE rep-sub)
next
case (AndNode n x y xe ye)
  then show ?case
    by (metis AndNodeE IRNode.inject(3) rep-and)
next
case (OrNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(30) OrNodeE rep-or)
next
case (XorNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(43) XorNodeE rep-xor)
next
case (IntegerEqualsNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(12) IntegerEqualsNodeE rep-integer-equals)
next
case (IntegerLessThanNode n x y xe ye)
  then show ?case
    by (metis IRNode.inject(13) IntegerLessThanNodeE rep-integer-less-than)
next
case (LeafNode n s)
  then show ?case using rep-load-field LeafNodeE by blast
qed

```

```

lemma evalDet:
   $[m, p] \vdash e \mapsto v1 \implies$ 
   $[m, p] \vdash e \mapsto v2 \implies$ 
   $v1 = v2$ 
  apply (induction arbitrary; v2 rule: evaltree.induct)
  by (elim EvalTreeE; auto)+

```

```

lemma evalAllDet:
   $[m, p] \vdash e \mapsto_L v1 \implies$ 
   $[m, p] \vdash e \mapsto_L v2 \implies$ 
   $v1 = v2$ 
  apply (induction arbitrary; v2 rule: evaltrees.induct)
  apply (elim EvalTreeE; auto)
  using evalDet by force

```

A valid value cannot be *UndefVal*.

```

lemma valid-not-undef:
  assumes a1: valid-value s val
  assumes a2:  $s \neq \text{VoidStamp}$ 
  shows  $val \neq \text{UndefVal}$ 
  apply (rule valid-value.elims(1)[of s val True])
  using a1 a2 by auto

```

```

lemma valid-VoidStamp[elim]:
  shows valid-value VoidStamp val  $\implies$ 
   $val = \text{UndefVal}$ 
  using valid-value.simps by (metis IRTreeEval.val-to-bool.cases)

```

```

lemma valid-ObjStamp[elim]:
  shows valid-value (ObjectStamp klass exact nonNull alwaysNull) val  $\implies$ 
   $(\exists v. val = \text{ObjRef } v)$ 
  using valid-value.simps by (metis IRTreeEval.val-to-bool.cases)

```

```

lemma valid-int32[elim]:
  shows valid-value (IntegerStamp 32 l h) val  $\implies$ 
   $(\exists v. val = \text{IntVal32 } v)$ 
  apply (rule IRTreeEval.val-to-bool.cases[of val])
  using Value.distinct by simp+

```

```

lemma valid-int64[elim]:
  shows valid-value (IntegerStamp 64 l h) val  $\implies$ 
   $(\exists v. val = \text{IntVal64 } v)$ 
  apply (rule IRTreeEval.val-to-bool.cases[of val])
  using Value.distinct by simp+

```

TODO: could we prove that expression evaluation never returns *UndefVal*?  
 But this might require restricting unary and binary operators to be total...

**lemma** *leafint32*:  
**assumes** *ev*:  $[m,p] \vdash \text{LeafExpr } i \text{ (IntegerStamp 32 lo hi)} \mapsto \text{val}$   
**shows**  $\exists v. \text{val} = (\text{IntVal32 } v)$

**proof** –  
**have** *valid-value* (IntegerStamp 32 lo hi) *val*  
**using** *ev* **by** (rule *LeafExprE*; *simp*)  
**then show** ?thesis **by** *auto*  
**qed**

**lemma** *leafint64*:  
**assumes** *ev*:  $[m,p] \vdash \text{LeafExpr } i \text{ (IntegerStamp 64 lo hi)} \mapsto \text{val}$   
**shows**  $\exists v. \text{val} = (\text{IntVal64 } v)$

**proof** –  
**have** *valid-value* (IntegerStamp 64 lo hi) *val*  
**using** *ev* **by** (rule *LeafExprE*; *simp*)  
**then show** ?thesis **by** *auto*  
**qed**

**lemma** *default-stamp* [*simp*]: *default-stamp* = IntegerStamp 32 (–2147483648)  
2147483647  
**using** *default-stamp-def* **by** *auto*

**lemma** *valid32* [*simp*]:  
**assumes** *valid-value* (IntegerStamp 32 lo hi) *val*  
**shows**  $\exists v. (\text{val} = (\text{IntVal32 } v) \wedge \text{lo} \leq \text{sint } v \wedge \text{sint } v \leq \text{hi})$   
**using** *assms valid-int32* **by** *force*

**lemma** *valid64* [*simp*]:  
**assumes** *valid-value* (IntegerStamp 64 lo hi) *val*  
**shows**  $\exists v. (\text{val} = (\text{IntVal64 } v) \wedge \text{lo} \leq \text{sint } v \wedge \text{sint } v \leq \text{hi})$   
**using** *assms valid-int64* **by** *force*

**lemma** *int-stamp-implies-valid-value*:  
 $[m,p] \vdash \text{expr} \mapsto \text{val} \implies$   
*valid-value* (stamp-expr *expr*) *val*  
**proof** (*induction rule: evaltree.induct*)  
**case** (*ConstantExpr c*)  
**then show** ?case **sorry**  
**next**  
**case** (*ParameterExpr s i*)  
**then show** ?case **sorry**  
**next**  
**case** (*ConditionalExpr ce cond branch te fe v*)  
**then show** ?case **sorry**  
**next**  
**case** (*UnaryExpr xe v op*)

```

    then show ?case sorry
next
  case (BinaryExpr xe x ye y op)
then show ?case sorry
next
  case (LeafExpr val nid s)
  then show ?case sorry
qed

```

```

lemma valid32or64:
  assumes valid-value (IntegerStamp b lo hi) x
  shows  $(\exists v1. (x = \text{IntVal32 } v1)) \vee (\exists v2. (x = \text{IntVal64 } v2))$ 
  using valid32 valid64 assms valid-value.elims(2) by blast

```

```

lemma valid32or64-both:
  assumes valid-value (IntegerStamp b lox hix) x
  and valid-value (IntegerStamp b loy hiy) y
  shows  $(\exists v1 v2. x = \text{IntVal32 } v1 \wedge y = \text{IntVal32 } v2) \vee (\exists v3 v4. x = \text{IntVal64 } v3 \wedge y = \text{IntVal64 } v4)$ 
  using assms valid32or64 valid32 valid-value.elims(2) valid-value.simps(1) by metis

```

## 6.2 Example Data-flow Optimisations

```

lemma a0a-helper [simp]:
  assumes a: valid-value (IntegerStamp 32 lo hi) v
  shows intval-add v (IntVal32 0) = v
proof -
  obtain v32 :: int32 where v = (IntVal32 v32) using a valid32 by blast
  then show ?thesis by simp
qed

```

```

lemma a0a: (BinaryExpr BinAdd (LeafExpr 1 default-stamp) (ConstantExpr (IntVal32 0)))
  ≤ (LeafExpr 1 default-stamp) (is ?L ≤ ?R)
  by (auto simp add: evaltree.LeafExpr)

```

```

lemma xyx-y-helper [simp]:
  assumes valid-value (IntegerStamp 32 lox hix) x
  assumes valid-value (IntegerStamp 32 loy hiy) y
  shows intval-add x (intval-sub y x) = y
proof -
  obtain x32 :: int32 where x = (IntVal32 x32) using assms valid32 by blast
  obtain y32 :: int32 where y = (IntVal32 y32) using assms valid32 by blast
  show ?thesis using x y by simp
qed

```



```

lemma xyx-y:
  (BinaryExpr BinAdd
    (LeafExpr x (IntegerStamp 32 lox hix))
    (BinaryExpr BinSub
      (LeafExpr y (IntegerStamp 32 loy hiy))
      (LeafExpr x (IntegerStamp 32 lox hix))))
  ≤ (LeafExpr y (IntegerStamp 32 loy hiy))
by (auto simp add: LeafExpr)

```

### 6.3 Monotonicity of Expression Optimization

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's 'mono' operator (HOL.Orderings theory), proving instantiations like 'mono (UnaryExpr op)', but it is not obvious how to do this for both arguments of the binary expressions.

```

lemma mono-unary:
  assumes  $e \leq e'$ 
  shows (UnaryExpr op e) ≤ (UnaryExpr op e')
  using UnaryExpr assms by auto

```

```

lemma mono-binary:
  assumes  $x \leq x'$ 
  assumes  $y \leq y'$ 
  shows (BinaryExpr op x y) ≤ (BinaryExpr op x' y')
  using BinaryExpr assms by auto

```

```

lemma mono-conditional:
  assumes  $ce \leq ce'$ 
  assumes  $te \leq te'$ 
  assumes  $fe \leq fe'$ 
  shows (ConditionalExpr ce te fe) ≤ (ConditionalExpr ce' te' fe')
proof (simp only: le-expr-def; (rule allI)+; rule impI)
  fix  $m\ p\ v$ 
  assume  $a: [m, p] \vdash \text{ConditionalExpr } ce\ te\ fe \mapsto v$ 
  then obtain cond where  $ce: [m, p] \vdash ce \mapsto \text{cond}$  by auto
  then have  $ce': [m, p] \vdash ce' \mapsto \text{cond}$  using assms by auto
  define branch where  $b: \text{branch} = (\text{if val-to-bool cond then te else fe})$ 
  define branch' where  $b': \text{branch}' = (\text{if val-to-bool cond then te' else fe'})$ 
  then have  $[m, p] \vdash \text{branch} \mapsto v$  using  $a\ b\ ce\ \text{evalDet}$  by blast
  then have  $[m, p] \vdash \text{branch}' \mapsto v$  using assms  $b\ b'$  by auto
  then show  $[m, p] \vdash \text{ConditionalExpr } ce'\ te'\ fe' \mapsto v$ 
    using ConditionalExpr ce' b' by auto
qed

```

end

## 7 Control-flow Semantics

```

theory IRStepObj
  imports
    IRTreeEval
begin

```

### 7.1 Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See [\cite{heap-reps-2011}](#). We also introduce the `DynamicHeap` type which allocates new object references sequentially storing the next free object reference as 'Free'.

```

type-synonym ('a, 'b) Heap = 'a  $\Rightarrow$  'b  $\Rightarrow$  Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap  $\times$  Free

fun h-load-field :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) DynamicHeap  $\Rightarrow$  Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a  $\Rightarrow$  'b  $\Rightarrow$  Value  $\Rightarrow$  ('a, 'b) DynamicHeap  $\Rightarrow$  ('a, 'b) DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: ('a, 'b) DynamicHeap  $\Rightarrow$  ('a, 'b) DynamicHeap  $\times$  Value where
  h-new-inst (h, n) = ((h, n + 1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap

definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = (( $\lambda f. \lambda p. \text{UndefVal}$ ), 0)

```

### 7.2 Intraprocedural Semantics

Intraprocedural semantics are given as a small-step semantics. Within the context of a graph, the configuration triple, (*ID*, *MethodState*, *Heap*), is related to the subsequent configuration.

```

inductive step :: IRGraph  $\Rightarrow$  Params  $\Rightarrow$  (ID  $\times$  MapState  $\times$  FieldRefHeap)  $\Rightarrow$  (ID
 $\times$  MapState  $\times$  FieldRefHeap)  $\Rightarrow$  bool
  ( $\_, \_ \vdash \_ \rightarrow \_$  55) for g p where

```

*SequentialNode:*

$\llbracket is\_sequential\_node \ (kind \ g \ nid);$   
 $\quad nid' = (successors-of \ (kind \ g \ nid))!0 \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*IfNode:*

$\llbracket kind \ g \ nid = (IfNode \ cond \ tb \ fb);$   
 $\quad g \vdash cond \triangleright condE;$   
 $\quad [m, p] \vdash condE \mapsto val;$   
 $\quad nid' = (if \ val\text{-}to\text{-}bool \ val \ then \ tb \ else \ fb) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$

*EndNodes:*

$\llbracket is\_AbstractEndNode \ (kind \ g \ nid);$   
 $\quad merge = any\_usage \ g \ nid;$   
 $\quad is\_AbstractMergeNode \ (kind \ g \ merge);$   
  
 $\quad i = find\_index \ nid \ (inputs\text{-}of \ (kind \ g \ merge));$   
 $\quad phis = (phi\text{-}list \ g \ merge);$   
 $\quad inps = (phi\text{-}inputs \ g \ i \ phis);$   
 $\quad g \vdash inps \triangleright_L inpsE;$   
 $\quad [m, p] \vdash inpsE \mapsto_L vs;$   
  
 $\quad m' = set\_phis \ phis \ vs \ m \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (merge, m', h) \mid$

*NewInstanceNode:*

$\llbracket kind \ g \ nid = (NewInstanceNode \ nid \ f \ obj \ nid');$   
 $\quad (h', ref) = h\text{-}new\text{-}inst \ h;$   
 $\quad m' = m(nid := ref) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*LoadFieldNode:*

$\llbracket kind \ g \ nid = (LoadFieldNode \ nid \ f \ (Some \ obj) \ nid');$   
 $\quad g \vdash obj \triangleright objE;$   
 $\quad [m, p] \vdash objE \mapsto ObjRef \ ref;$   
 $\quad h\text{-}load\text{-}field \ f \ ref \ h = v;$   
 $\quad m' = m(nid := v) \rrbracket$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*SignedDivNode:*

$\llbracket kind \ g \ nid = (SignedDivNode \ nid \ x \ y \ zero \ sb \ nxt);$   
 $\quad g \vdash x \triangleright xe;$   
 $\quad g \vdash y \triangleright ye;$   
 $\quad [m, p] \vdash xe \mapsto v1;$   
 $\quad [m, p] \vdash ye \mapsto v2;$   
 $\quad v = (intval\text{-}div \ v1 \ v2);$   
 $\quad m' = m(nid := v) \rrbracket$

$$\Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$$

*SignedRemNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt); \\ & \quad g \vdash x \triangleright xe; \\ & \quad g \vdash y \triangleright ye; \\ & \quad [m, p] \vdash xe \mapsto v1; \\ & \quad [m, p] \vdash ye \mapsto v2; \\ & \quad v = (intval\text{-}mod\ v1\ v2); \\ & \quad m' = m(nid := v) \rrbracket \\ & \Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid \end{aligned}$$

*StaticLoadFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ None\ nid'); \\ & \quad h\text{-load-field}\ f\ None\ h = v; \\ & \quad m' = m(nid := v) \rrbracket \\ & \Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid \end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - (Some\ obj)\ nid'); \\ & \quad g \vdash newval \triangleright newvalE; \\ & \quad g \vdash obj \triangleright objE; \\ & \quad [m, p] \vdash newvalE \mapsto val; \\ & \quad [m, p] \vdash objE \mapsto ObjRef\ ref; \\ & \quad h' = h\text{-store-field}\ f\ ref\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ & \Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid \end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (StoreFieldNode\ nid\ f\ newval - None\ nid'); \\ & \quad g \vdash newval \triangleright newvalE; \\ & \quad [m, p] \vdash newvalE \mapsto val; \\ & \quad h' = h\text{-store-field}\ f\ None\ val\ h; \\ & \quad m' = m(nid := val) \rrbracket \\ & \Longrightarrow g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow bool$ ) *step* .

### 7.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**inductive** *step-top* :: *Program*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*  $\Rightarrow$  *bool*

( $- \vdash - \longrightarrow -$  55)

**for** *P* **where**

*Lift:*

$$\begin{aligned} & \llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket \\ & \implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((g, nid', m', p) \# stk, h') \mid \end{aligned}$$

*InvokeNodeStep:*

$$\llbracket is-Invoke (kind\ g\ nid) \rrbracket$$

$$\begin{aligned} & callTarget = ir-callTarget (kind\ g\ nid); \\ & kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments); \\ & Some\ targetGraph = P\ targetMethod; \\ & m' = new-map-state; \\ & g \vdash arguments \triangleright_L argsE; \\ & [m, p] \vdash argsE \mapsto_L p' \\ & \implies P \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk, h) \mid \end{aligned}$$

*ReturnNode:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -) \rrbracket \\ & g \vdash expr \triangleright e; \\ & [m, p] \vdash e \mapsto v; \end{aligned}$$

$$\begin{aligned} & cm' = cm(cnid := v); \\ & cnid' = (successors-of (kind\ cg\ cnid))!0 \\ & \implies P \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h) \mid \end{aligned}$$

*ReturnNodeVoid:*

$$\begin{aligned} & \llbracket kind\ g\ nid = (ReturnNode\ None\ -) \rrbracket \\ & cm' = cm(cnid := (ObjRef (Some (2048)))); \end{aligned}$$

$$\begin{aligned} & cnid' = (successors-of (kind\ cg\ cnid))!0 \\ & \implies P \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h) \mid \end{aligned}$$

*UnwindNode:*

$$\llbracket kind\ g\ nid = (UnwindNode\ exception) \rrbracket$$

$$\begin{aligned} & g \vdash exception \triangleright exceptionE; \\ & [m, p] \vdash exceptionE \mapsto e; \end{aligned}$$

$$kind\ cg\ cnid = (InvokeWithExceptionNode\ -\ -\ -\ -\ -\ exEdge);$$

$$\begin{aligned} & cm' = cm(cnid := e) \\ & \implies P \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, exEdge, cm', cp) \# stk, h) \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *step-top* .

## 7.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**

*has-return* *m* = (*m* 0  $\neq$  *UndefVal*)

**inductive** *exec* :: *Program*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *Trace*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *Trace*

$\Rightarrow$  *bool*

( $\cdot \vdash \cdot \mid \cdot \longrightarrow^* \cdot \mid \cdot$ )

**for** *P*

**where**

$\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h') \rrbracket$ ;

$\neg(\text{has-return } m')$ ;

$l' = (l @ [(g, nid, m, p)])$ ;

$\text{exec } P (((g', nid', m', p') \# ys), h') \ l' \ \text{next-state } l''$

$\implies \text{exec } P (((g, nid, m, p) \# xs), h) \ l \ \text{next-state } l''$

$\mid$   
 $\llbracket P \vdash (((g, nid, m, p) \# xs), h) \longrightarrow (((g', nid', m', p') \# ys), h') \rrbracket$ ;  
 $\text{has-return } m'$ ;

$l' = (l @ [(g, nid, m, p)])$

$\implies \text{exec } P (((g, nid, m, p) \# xs), h) \ l \ (((g', nid', m', p') \# ys), h') \ l'$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$  as *Eexec*) *exec* .

**inductive** *exec-debug* :: *Program*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *nat*

$\Rightarrow$  (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*  $\times$  *FieldRefHeap*

$\Rightarrow$  *bool*

( $\vdash \longrightarrow^* \vdash$ )

**where**

$\llbracket n > 0$ ;

$p \vdash s \longrightarrow s'$ ;

$\text{exec-debug } p \ s' \ (n - 1) \ s''$

$\implies \text{exec-debug } p \ s \ n \ s'' \mid$

$\llbracket n = 0$

$\implies \text{exec-debug } p \ s \ n \ s$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *exec-debug* .

### 7.4.1 Heap Testing

**definition** *p3*:: *Params* **where**

$p3 = [IntVal32\ 3]$

**values**  $\{(prod.fst(prod.snd\ (prod.snd\ (hd\ (prod.fst\ res))))\ 0$   
 $\mid res. (\lambda x. Some\ eg2-sq) \vdash ([ (eg2-sq, 0, new-map-state, p3), (eg2-sq, 0, new-map-state, p3)],$   
 $new-heap) \rightarrow *2* res\}$

**definition**  $field-sq :: string$  **where**  
 $field-sq = "sq"$

**definition**  $eg3-sq :: IRGraph$  **where**  
 $eg3-sq = irgraph\ [$   
 $(0, StartNode\ None\ 4, VoidStamp),$   
 $(1, ParameterNode\ 0, default-stamp),$   
 $(3, MulNode\ 1\ 1, default-stamp),$   
 $(4, StoreFieldNode\ 4\ field-sq\ 3\ None\ None\ 5, VoidStamp),$   
 $(5, ReturnNode\ (Some\ 3)\ None, default-stamp)$   
 $]$

**values**  $\{h-load-field\ field-sq\ None\ (prod.snd\ res)$   
 $\mid res. (\lambda x. Some\ eg3-sq) \vdash ([ (eg3-sq, 0, new-map-state, p3), (eg3-sq, 0,$   
 $new-map-state, p3)], new-heap) \rightarrow *3* res\}$

**definition**  $eg4-sq :: IRGraph$  **where**  
 $eg4-sq = irgraph\ [$   
 $(0, StartNode\ None\ 4, VoidStamp),$   
 $(1, ParameterNode\ 0, default-stamp),$   
 $(3, MulNode\ 1\ 1, default-stamp),$   
 $(4, NewInstanceNode\ 4\ "obj-class"\ None\ 5, ObjectStamp\ "obj-class"\ True\ True$   
 $True),$   
 $(5, StoreFieldNode\ 5\ field-sq\ 3\ None\ (Some\ 4)\ 6, VoidStamp),$   
 $(6, ReturnNode\ (Some\ 3)\ None, default-stamp)$   
 $]$

**values**  $\{h-load-field\ field-sq\ (Some\ 0)\ (prod.snd\ res) \mid res.$   
 $(\lambda x. Some\ eg4-sq) \vdash ([ (eg4-sq, 0, new-map-state, p3), (eg4-sq, 0,$   
 $new-map-state, p3)], new-heap) \rightarrow *4* res\}$

**end**

## 8 Canonicalization Phase

**theory**  $CanonicalizationTree$   
**imports**  
 $Semantics.IRTreeEval$   
**begin**

**fun** *is-neutral* :: *IRBinaryOp*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* **where**

*is-neutral* *BinMul* (*IntVal32* *x*) = (*sint* (*x*) = 1) |  
*is-neutral* *BinMul* (*IntVal64* *x*) = (*sint* (*x*) = 1) |

*is-neutral* *BinAdd* (*IntVal32* *x*) = (*sint* (*x*) = 0) |  
*is-neutral* *BinAdd* (*IntVal64* *x*) = (*sint* (*x*) = 0) |

*is-neutral* *BinXor* (*IntVal32* *x*) = (*sint* (*x*) = 0) |  
*is-neutral* *BinXor* (*IntVal64* *x*) = (*sint* (*x*) = 0) |

*is-neutral* *BinSub* (*IntVal32* *x*) = (*sint* (*x*) = 0) |  
*is-neutral* *BinSub* (*IntVal64* *x*) = (*sint* (*x*) = 0) |

*is-neutral* - - = *False*

**fun** *is-zero* :: *IRBinaryOp*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* **where**

*is-zero* *BinMul* (*IntVal32* *x*) = (*sint* (*x*) = 0) |  
*is-zero* *BinMul* (*IntVal64* *x*) = (*sint* (*x*) = 0) |  
*is-zero* - - = *False*

**fun** *int-to-value* :: *Value*  $\Rightarrow$  *int*  $\Rightarrow$  *Value* **where**

*int-to-value* (*IntVal32* -) *y* = (*IntVal32* (*word-of-int* *y*)) |  
*int-to-value* (*IntVal64* -) *y* = (*IntVal64* (*word-of-int* *y*)) |  
*int-to-value* - - = *UndefVal*

**inductive** *CanonicalizeBinaryOp* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**

*binary-const-fold*:

$\llbracket x = (\text{ConstantExpr } \text{val1});$   
 $y = (\text{ConstantExpr } \text{val2});$   
 $\text{val} = \text{bin-eval } \text{op } \text{val1 } \text{val2} \rrbracket$   
 $\implies \text{CanonicalizeBinaryOp } (\text{BinaryExpr } \text{op } x \ y) (\text{ConstantExpr } \text{val}) \mid$

*binary-fold-yneutral*:

$\llbracket y = (\text{ConstantExpr } c);$   
 $\text{is-neutral } \text{op } c \rrbracket$   
 $\implies \text{CanonicalizeBinaryOp } (\text{BinaryExpr } \text{op } x \ y) x \mid$

*binary-fold-yzero*:

$\llbracket y = \text{ConstantExpr } c;$   
 $\text{is-zero } \text{op } c;$   
 $\text{zero} = (\text{int-to-value } c \ (\text{int } 0)) \rrbracket$   
 $\implies \text{CanonicalizeBinaryOp } (\text{BinaryExpr } \text{op } x \ y) (\text{ConstantExpr } \text{zero})$



**inductive** *CanonicalizeUnaryOp* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*unary-const-fold*:  
 $\llbracket val' = \text{unary-eval } op \text{ } val \rrbracket$   
 $\implies \text{CanonicalizeUnaryOp } (UnaryExpr \text{ } op \text{ } (ConstantExpr \text{ } val)) \text{ } (ConstantExpr \text{ } val')$

**inductive** *CanonicalizeMul* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**

*mul-negate32*:  
 $\llbracket y = ConstantExpr \text{ } (IntVal32 \text{ } (-1));$   
 $\text{stamp-expr } x = IntegerStamp \text{ } 32 \text{ } lo \text{ } hi \rrbracket$   
 $\implies \text{CanonicalizeMul } (BinaryExpr \text{ } BinMul \text{ } x \text{ } y) \text{ } (UnaryExpr \text{ } UnaryNeg \text{ } x) \mid$   
*mul-negate64*:  
 $\llbracket y = ConstantExpr \text{ } (IntVal64 \text{ } (-1));$   
 $\text{stamp-expr } x = IntegerStamp \text{ } 64 \text{ } lo \text{ } hi \rrbracket$   
 $\implies \text{CanonicalizeMul } (BinaryExpr \text{ } BinMul \text{ } x \text{ } y) \text{ } (UnaryExpr \text{ } UnaryNeg \text{ } x)$

**inductive** *CanonicalizeAdd* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*add-xsub*:

$\llbracket x = (BinaryExpr \text{ } BinSub \text{ } a \text{ } y);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampy} = \text{stamp-expr } y;$   
 $is-IntegerStamp \text{ } stampa \wedge is-IntegerStamp \text{ } stampy;$   
 $\text{stp-bits } stampa = \text{stp-bits } stampy \rrbracket$   
 $\implies \text{CanonicalizeAdd } (BinaryExpr \text{ } BinAdd \text{ } x \text{ } y) \text{ } a \mid$

*add-y-sub*:

$\llbracket y = (BinaryExpr \text{ } BinSub \text{ } a \text{ } x);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampx} = \text{stamp-expr } x;$   
 $is-IntegerStamp \text{ } stampa \wedge is-IntegerStamp \text{ } stampx;$   
 $\text{stp-bits } stampa = \text{stp-bits } stampx \rrbracket$   
 $\implies \text{CanonicalizeAdd } (BinaryExpr \text{ } BinAdd \text{ } x \text{ } y) \text{ } a \mid$

*add-xnegate*:

$\llbracket nx = (UnaryExpr \text{ } UnaryNeg \text{ } x);$   
 $\text{stampx} = \text{stamp-expr } x;$   
 $\text{stampy} = \text{stamp-expr } y;$   
 $is-IntegerStamp \text{ } stampx \wedge is-IntegerStamp \text{ } stampy;$   
 $\text{stp-bits } stampx = \text{stp-bits } stampy \rrbracket$   
 $\implies \text{CanonicalizeAdd } (BinaryExpr \text{ } BinAdd \text{ } nx \text{ } y) \text{ } (BinaryExpr \text{ } BinSub \text{ } y \text{ } x) \mid$

*add-ynegate*:

$\llbracket ny = (\text{UnaryExpr } \text{UnaryNeg } y);$   
 $\text{stampx} = \text{stamp-expr } x;$   
 $\text{stampy} = \text{stamp-expr } y;$   
 $\text{is-IntegerStamp } \text{stampx} \wedge \text{is-IntegerStamp } \text{stampy};$   
 $\text{stp-bits } \text{stampx} = \text{stp-bits } \text{stampy} \rrbracket$   
 $\implies \text{CanonicalizeAdd } (\text{BinaryExpr } \text{BinAdd } x \text{ ny}) (\text{BinaryExpr } \text{BinSub } x \text{ y})$

**inductive**  $\text{CanonicalizeSub} :: \text{IRExpr} \Rightarrow \text{IRExpr} \Rightarrow \text{bool}$  **where**

*sub-same32:*

$\llbracket \text{stampx} = \text{stamp-expr } x;$   
 $\text{stampx} = \text{IntegerStamp } 32 \text{ lo } \text{hi} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr } \text{BinSub } x \text{ x}) (\text{ConstantExpr } (\text{IntVal32 } 0)) \mid$   
*sub-same64:*

$\llbracket \text{stampx} = \text{stamp-expr } x;$   
 $\text{stampx} = \text{IntegerStamp } 64 \text{ lo } \text{hi} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr } \text{BinSub } x \text{ x}) (\text{ConstantExpr } (\text{IntVal64 } 0)) \mid$

*sub-left-add1:*

$\llbracket x = (\text{BinaryExpr } \text{BinAdd } a \text{ b});$   
 $\text{stamp a} = \text{stamp-expr } a;$   
 $\text{stamp b} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp } \text{stamp a} \wedge \text{is-IntegerStamp } \text{stamp b};$   
 $\text{stp-bits } \text{stamp a} = \text{stp-bits } \text{stamp b} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr } \text{BinSub } x \text{ b}) a \mid$

*sub-left-add2:*

$\llbracket x = (\text{BinaryExpr } \text{BinAdd } a \text{ b});$   
 $\text{stamp a} = \text{stamp-expr } a;$   
 $\text{stamp b} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp } \text{stamp a} \wedge \text{is-IntegerStamp } \text{stamp b};$   
 $\text{stp-bits } \text{stamp a} = \text{stp-bits } \text{stamp b} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr } \text{BinSub } x \text{ a}) b \mid$

*sub-left-sub:*

$\llbracket x = (\text{BinaryExpr } \text{BinSub } a \text{ b});$   
 $\text{stamp a} = \text{stamp-expr } a;$   
 $\text{stamp b} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp } \text{stamp a} \wedge \text{is-IntegerStamp } \text{stamp b};$   
 $\text{stp-bits } \text{stamp a} = \text{stp-bits } \text{stamp b} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr } \text{BinSub } x \text{ a}) (\text{UnaryExpr } \text{UnaryNeg } b) \mid$

*sub-right-add1:*

$\llbracket y = (\text{BinaryExpr BinAdd } a \ b);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampb} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp stampa} \wedge \text{is-IntegerStamp stampb};$   
 $\text{stp-bits stampa} = \text{stp-bits stampb} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } a \ y) \ (\text{UnaryExpr UnaryNeg } b) \mid$

*sub-right-add2:*

$\llbracket y = (\text{BinaryExpr BinAdd } a \ b);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampb} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp stampa} \wedge \text{is-IntegerStamp stampb};$   
 $\text{stp-bits stampa} = \text{stp-bits stampb} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } b \ y) \ (\text{UnaryExpr UnaryNeg } a) \mid$

*sub-right-sub:*

$\llbracket y = (\text{BinaryExpr BinSub } a \ b);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampb} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp stampa} \wedge \text{is-IntegerStamp stampb};$   
 $\text{stp-bits stampa} = \text{stp-bits stampb} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } a \ y) \ b \mid$

*sub-xzero32:*

$\llbracket \text{stampx} = \text{stamp-expr } x;$   
 $\text{stampx} = \text{IntegerStamp } 32 \text{ lo hi} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } (\text{ConstantExpr } (\text{IntVal32 } 0)) \ x)$   
 $(\text{UnaryExpr UnaryNeg } x) \mid$

*sub-xzero64:*

$\llbracket \text{stampx} = \text{stamp-expr } x;$   
 $\text{stampx} = \text{IntegerStamp } 64 \text{ lo hi} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } (\text{ConstantExpr } (\text{IntVal64 } 0)) \ x)$   
 $(\text{UnaryExpr UnaryNeg } x) \mid$

*sub-y-negate:*

$\llbracket nb = (\text{UnaryExpr UnaryNeg } b);$   
 $\text{stampa} = \text{stamp-expr } a;$   
 $\text{stampb} = \text{stamp-expr } b;$   
 $\text{is-IntegerStamp stampa} \wedge \text{is-IntegerStamp stampb};$   
 $\text{stp-bits stampa} = \text{stp-bits stampb} \rrbracket$   
 $\implies \text{CanonicalizeSub } (\text{BinaryExpr BinSub } a \ nb) \ (\text{BinaryExpr BinAdd } a \ b)$

**inductive** *CanonicalizeNegate* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*negate-negate*:

$\llbracket nx = (UnaryExpr \text{ UnaryNeg } x);$   
 $\text{is-IntegerStamp (stamp-expr } x) \rrbracket$   
 $\implies CanonicalizeNegate (UnaryExpr \text{ UnaryNeg } nx) x \mid$

*negate-sub*:

$\llbracket e = (BinaryExpr \text{ BinSub } x \ y);$   
 $\text{stampx} = \text{stamp-expr } x;$   
 $\text{stampy} = \text{stamp-expr } y;$   
 $\text{is-IntegerStamp stampx} \wedge \text{is-IntegerStamp stampy};$   
 $\text{stp-bits stampx} = \text{stp-bits stampy} \rrbracket$   
 $\implies CanonicalizeNegate (UnaryExpr \text{ UnaryNeg } e) (BinaryExpr \text{ BinSub } y \ x)$

**inductive** *CanonicalizeAbs* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*abs-abs*:

$\llbracket ax = (UnaryExpr \text{ UnaryAbs } x);$   
 $\text{is-IntegerStamp (stamp-expr } x) \rrbracket$   
 $\implies CanonicalizeAbs (UnaryExpr \text{ UnaryAbs } ax) ax \mid$

*abs-neg*:

$\llbracket nx = (UnaryExpr \text{ UnaryNeg } x);$   
 $\text{is-IntegerStamp (stamp-expr } x) \rrbracket$   
 $\implies CanonicalizeAbs (UnaryExpr \text{ UnaryAbs } nx) (UnaryExpr \text{ UnaryAbs } x)$

**inductive** *CanonicalizeNot* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*not-not*:

$\llbracket nx = (UnaryExpr \text{ UnaryNot } x);$   
 $\text{is-IntegerStamp (stamp-expr } x) \rrbracket$   
 $\implies CanonicalizeNot (UnaryExpr \text{ UnaryNot } nx) x$

**inductive** *CanonicalizeAnd* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*and-same*:

$\llbracket \text{is-IntegerStamp (stamp-expr } x) \rrbracket$   
 $\implies CanonicalizeAnd (BinaryExpr \text{ BinAnd } x \ x) x \mid$

*and-demorgans*:

$\llbracket nx = (UnaryExpr \text{ UnaryNot } x);$   
 $ny = (UnaryExpr \text{ UnaryNot } y);$

$stampx = stamp\text{-}expr\ x;$   
 $stampy = stamp\text{-}expr\ y;$   
 $is\text{-}IntegerStamp\ stampx \wedge is\text{-}IntegerStamp\ stampy;$   
 $stp\text{-}bits\ stampx = stp\text{-}bits\ stampy$   
 $\implies CanonicalizeAnd\ (BinaryExpr\ BinAnd\ nx\ ny)\ (UnaryExpr\ UnaryNot\ (BinaryExpr\ BinOr\ x\ y))$

**inductive**  $CanonicalizeOr :: IRExpr \Rightarrow IRExpr \Rightarrow bool$  **where**  
*or-same:*

$\llbracket is\text{-}IntegerStamp\ (stamp\text{-}expr\ x) \rrbracket$   
 $\implies CanonicalizeOr\ (BinaryExpr\ BinOr\ x\ x)\ x \mid$

*or-demorgans:*

$\llbracket nx = (UnaryExpr\ UnaryNot\ x);$   
 $ny = (UnaryExpr\ UnaryNot\ y);$   
 $stampx = stamp\text{-}expr\ x;$   
 $stampy = stamp\text{-}expr\ y;$   
 $is\text{-}IntegerStamp\ stampx \wedge is\text{-}IntegerStamp\ stampy;$   
 $stp\text{-}bits\ stampx = stp\text{-}bits\ stampy \rrbracket$   
 $\implies CanonicalizeOr\ (BinaryExpr\ BinOr\ nx\ ny)\ (UnaryExpr\ UnaryNot\ (BinaryExpr\ BinAnd\ x\ y))$

**inductive**  $CanonicalizeIntegerEquals :: IRExpr \Rightarrow IRExpr \Rightarrow bool$  **where**  
*int-equals-same:*

$\llbracket x = y \rrbracket$   
 $\implies CanonicalizeIntegerEquals\ (BinaryExpr\ BinIntegerEquals\ x\ y)\ (ConstantExpr\ (IntVal32\ 1)) \mid$

*int-equals-distinct:*

$\llbracket alwaysDistinct\ (stamp\text{-}expr\ x)\ (stamp\text{-}expr\ y) \rrbracket$   
 $\implies CanonicalizeIntegerEquals\ (BinaryExpr\ BinIntegerEquals\ x\ y)\ (ConstantExpr\ (IntVal32\ 0)) \mid$

*int-equals-add-first-both-same:*

$\llbracket left = (BinaryExpr\ BinAdd\ x\ y);$   
 $right = (BinaryExpr\ BinAdd\ x\ z) \rrbracket$   
 $\implies CanonicalizeIntegerEquals\ (BinaryExpr\ BinIntegerEquals\ left\ right)\ (BinaryExpr\ BinAdd\ x\ z)$

*BinIntegerEquals y z* |

*int-equals-add-first-second-same:*

$\llbracket \text{left} = (\text{BinaryExpr BinAdd } x \ y);$   
 $\text{right} = (\text{BinaryExpr BinAdd } z \ x) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left right}) (\text{BinaryExpr BinIntegerEquals } y \ z) \mid$

*int-equals-add-second-first-same:*

$\llbracket \text{left} = (\text{BinaryExpr BinAdd } y \ x);$   
 $\text{right} = (\text{BinaryExpr BinAdd } z \ x) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left right}) (\text{BinaryExpr BinIntegerEquals } y \ z) \mid$

*int-equals-add-second-both--same:*

$\llbracket \text{left} = (\text{BinaryExpr BinAdd } y \ x);$   
 $\text{right} = (\text{BinaryExpr BinAdd } z \ x) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left right}) (\text{BinaryExpr BinIntegerEquals } y \ z) \mid$

*int-equals-sub-first-both-same:*

$\llbracket \text{left} = (\text{BinaryExpr BinSub } x \ y);$   
 $\text{right} = (\text{BinaryExpr BinSub } x \ z) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left right}) (\text{BinaryExpr BinIntegerEquals } y \ z) \mid$

*int-equals-sub-second-both-same:*

$\llbracket \text{left} = (\text{BinaryExpr BinSub } y \ x);$   
 $\text{right} = (\text{BinaryExpr BinSub } z \ x) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left right}) (\text{BinaryExpr BinIntegerEquals } y \ z) \mid$

*int-equals-left-contains-right1:*

$\llbracket \text{left} = (\text{BinaryExpr BinAdd } x \ y);$   
 $\text{zero} = (\text{ConstantExpr (IntVal32 0)}) \rrbracket$   
 $\implies \text{CanonicalizeIntegerEquals } (\text{BinaryExpr BinIntegerEquals left } x) (\text{BinaryExpr BinIntegerEquals } y \ \text{zero}) \mid$

*int-equals-left-contains-right2:*

$\llbracket \text{left} = (\text{BinaryExpr BinAdd } x \ y);$

$zero = (ConstantExpr (IntVal32 0))$   
 $\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left y) (BinaryExpr BinIntegerEquals x zero) \mid$

*int-equals-right-contains-left1:*

$\llbracket right = (BinaryExpr BinAdd x y);$   
 $zero = (ConstantExpr (IntVal32 0)) \rrbracket$   
 $\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x right) (BinaryExpr BinIntegerEquals y zero) \mid$

*int-equals-right-contains-left2:*

$\llbracket right = (BinaryExpr BinAdd x y);$   
 $zero = (ConstantExpr (IntVal32 0)) \rrbracket$   
 $\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals y right) (BinaryExpr BinIntegerEquals x zero) \mid$

*int-equals-left-contains-right3:*

$\llbracket left = (BinaryExpr BinSub x y);$   
 $zero = (ConstantExpr (IntVal32 0)) \rrbracket$   
 $\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals left x) (BinaryExpr BinIntegerEquals y zero) \mid$

*int-equals-right-contains-left3:*

$\llbracket right = (BinaryExpr BinSub x y);$   
 $zero = (ConstantExpr (IntVal32 0)) \rrbracket$   
 $\implies CanonicalizeIntegerEquals (BinaryExpr BinIntegerEquals x right) (BinaryExpr BinIntegerEquals y zero)$

**inductive** *CanonicalizeConditional* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*eq-branches:*

$\llbracket t = f \rrbracket$   
 $\implies CanonicalizeConditional (ConditionalExpr c t f) t \mid$

*cond-eq:*

$\llbracket c = (BinaryExpr BinIntegerEquals x y);$   
 $stampx = stamp\text{-}expr\ x;$   
 $stampy = stamp\text{-}expr\ y;$   
 $is\text{-}IntegerStamp\ stampx \wedge is\text{-}IntegerStamp\ stampy;$   
 $stp\text{-}bits\ stampx = stp\text{-}bits\ stampy \rrbracket$   
 $\implies CanonicalizeConditional (ConditionalExpr c x y) y \mid$

*condition-bounds-x:*

$$\begin{aligned} & \llbracket c = (\text{BinaryExpr BinIntegerLessThan } x \ y); \\ & \quad \text{stamp-}x = \text{stamp-expr } x; \\ & \quad \text{stamp-}y = \text{stamp-expr } y; \\ & \quad \text{stpi-upper stamp-}x \leq \text{stpi-lower stamp-}y \rrbracket \\ & \implies \text{CanonicalizeConditional } (\text{ConditionalExpr } c \ x \ y) \ x \mid \end{aligned}$$

*condition-bounds-y:*

$$\begin{aligned} & \llbracket c = (\text{BinaryExpr BinIntegerLessThan } x \ y); \\ & \quad \text{stamp-}x = \text{stamp-expr } x; \\ & \quad \text{stamp-}y = \text{stamp-expr } y; \\ & \quad \text{stpi-upper stamp-}x \leq \text{stpi-lower stamp-}y \rrbracket \\ & \implies \text{CanonicalizeConditional } (\text{ConditionalExpr } c \ y \ x) \ y \mid \end{aligned}$$

*negate-condition:*

$$\begin{aligned} & \llbracket nc = (\text{UnaryExpr UnaryLogicNegation } c); \\ & \quad \text{stampc} = \text{stamp-expr } c; \\ & \quad \text{stampc} = \text{IntegerStamp } 32 \ \text{lo} \ \text{hi} \rrbracket \\ & \implies \text{CanonicalizeConditional } (\text{ConditionalExpr } nc \ x \ y) \ (\text{ConditionalExpr } c \ y \ x) \\ & \mid \end{aligned}$$

*const-true:*

$$\begin{aligned} & \llbracket c = \text{ConstantExpr } val; \\ & \quad \text{val-to-bool } val \rrbracket \\ & \implies \text{CanonicalizeConditional } (\text{ConditionalExpr } c \ t \ f) \ t \mid \end{aligned}$$

*const-false:*

$$\begin{aligned} & \llbracket c = \text{ConstantExpr } val; \\ & \quad \neg(\text{val-to-bool } val) \rrbracket \\ & \implies \text{CanonicalizeConditional } (\text{ConditionalExpr } c \ t \ f) \ f \end{aligned}$$

**inductive** *CanonicalizationStep* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* **where**  
*BinaryNode:*  

$$\begin{aligned} & \llbracket \text{CanonicalizeBinaryOp } \text{expr} \ \text{expr}' \rrbracket \\ & \implies \text{CanonicalizationStep } \text{expr} \ \text{expr}' \mid \end{aligned}$$



*UnaryNode:*  
 $\llbracket \text{CanonicalizeUnaryOp } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*NegateNode:*  
 $\llbracket \text{CanonicalizeNegate } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*NotNode:*  
 $\llbracket \text{CanonicalizeNegate } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*AddNode:*  
 $\llbracket \text{CanonicalizeAdd } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*MulNode:*  
 $\llbracket \text{CanonicalizeMul } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*SubNode:*  
 $\llbracket \text{CanonicalizeSub } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*AndNode:*  
 $\llbracket \text{CanonicalizeSub } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*OrNode:*  
 $\llbracket \text{CanonicalizeSub } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*IntegerEqualsNode:*  
 $\llbracket \text{CanonicalizeIntegerEquals } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}' \mid$

*ConditionalNode:*  
 $\llbracket \text{CanonicalizeConditional } \text{expr } \text{expr}' \rrbracket$   
 $\implies \text{CanonicalizationStep } \text{expr } \text{expr}'$

**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeBinaryOp* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeUnaryOp* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNegate* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeNot* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAdd* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeSub* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeMul* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeAnd* .  
**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeIntegerEquals* .

**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizeConditional* .

**code-pred** (*modes*:  $i \Rightarrow o \Rightarrow \text{bool}$ ) *CanonicalizationStep* .

**end**

## 9 Canonicalization Phase

**theory** *CanonicalizationTreeProofs*

**imports**

*CanonicalizationTree*

*Semantics.IRTreeEvalThms*

**begin**

**lemma** *mul-rewrite-helper*:

**shows** *valid-value* (*IntegerStamp* 32 *lo hi*)  $x \Longrightarrow \text{intval-mul } x \text{ (IntVal32 } (-1)) = \text{intval-negate } x$

**and** *valid-value* (*IntegerStamp* 64 *lo hi*)  $x \Longrightarrow \text{intval-mul } x \text{ (IntVal64 } (-1)) = \text{intval-negate } x$

**using** *valid32or64-both* **by** *fastforce+*

**lemma** *CanonicalizeMulProof*:

**assumes** *CanonicalizeMul before after*

**assumes**  $[m, p] \vdash \text{before} \mapsto \text{res}$

**assumes**  $[m, p] \vdash \text{after} \mapsto \text{res}'$

**shows**  $\text{res} = \text{res}'$

**using** *assms*

**proof** (*induct rule: CanonicalizeMul.induct*)

**case** (*mul-negate32 y x lo hi*)

**then show** *?case*

**using** *ConstantExprE BinaryExprE bin-eval.simps evalDet mul-rewrite-helper int-stamp-implies-valid-value*

**by** (*auto; metis*)

**next**

**case** (*mul-negate64 y x lo hi*)

**then show** *?case*

**using** *ConstantExprE BinaryExprE bin-eval.simps evalDet mul-rewrite-helper int-stamp-implies-valid-value*

**by** (*auto; metis*)

**qed**

**lemma** *add-rewrites-helper*:

**assumes** *valid-value* (*IntegerStamp* *b lox hix*)  $x$

**and** *valid-value* (*IntegerStamp* *b loy hiy*)  $y$

```

shows intval-add (intval-sub x y) y = x
and intval-add x (intval-sub y x) = y
and intval-add (intval-negate x) y = intval-sub y x
and intval-add x (intval-negate y) = intval-sub x y
using valid32or64-both assms by fastforce+

lemma CanonicalizeAddProof:
  assumes CanonicalizeAdd before after
  assumes  $[m, p] \vdash \text{before} \mapsto \text{res}$ 
  assumes  $[m, p] \vdash \text{after} \mapsto \text{res}'$ 
  shows  $\text{res} = \text{res}'$ 
  using assms
proof (induct rule: CanonicalizeAdd.induct)
  case (add-xsub x a y stampa stampy)
  then show ?case
    by (metis BinaryExprE Stamp.collapse(1) bin-eval.simps(1) bin-eval.simps(3)
      evalDet int-stamp-implies-valid-value intval-add-sym add-rewrites-helper(1))
  next
    case (add-ysub y a x stampa stampx)
    then show ?case
      by (metis is-IntegerStamp-def add-ysub.hyps add-ysub.premis evalDet BinaryExprE Stamp.sel(1)
        bin-eval.simps(1) bin-eval.simps(3) int-stamp-implies-valid-value intval-add-sym
        add-rewrites-helper(2))
    next
      case (add-xnegate nx x stampx stampy y)
      then show ?case
        by (smt (verit, del-insts) BinaryExprE Stamp.sel(1) UnaryExprE add-rewrites-helper(4)
          bin-eval.simps(1) bin-eval.simps(3) evalDet int-stamp-implies-valid-value
          intval-add-sym is-IntegerStamp-def unary-eval.simps(2))
    next
      case (add-ynegate ny y stampx x stampy)
      then show ?case
        by (smt (verit) BinaryExprE Stamp.sel(1) UnaryExprE add-rewrites-helper(4)
          bin-eval.simps(1)
          bin-eval.simps(3) evalDet int-stamp-implies-valid-value is-IntegerStamp-def
          unary-eval.simps(2))
  qed

```

```

lemma sub-rewrites-helper:
  assumes valid-value (IntegerStamp b lox hix) x

```

```

and    valid-value (IntegerStamp b loy hiy) y

shows intval-sub (intval-add x y) y = x
and    intval-sub (intval-add x y) x = y
and    intval-sub (intval-sub x y) x = intval-negate y
and    intval-sub x (intval-add x y) = intval-negate y
and    intval-sub y (intval-add x y) = intval-negate x
and    intval-sub x (intval-sub x y) = y
and    intval-sub x (intval-negate y) = intval-add x y
using valid32or64-both assms by fastforce+

lemma sub-single-rewrites-helper:
  assumes valid-value (IntegerStamp b lox hix) x
  shows   b = 32  $\implies$  intval-sub x x = IntVal32 0
  and     b = 64  $\implies$  intval-sub x x = IntVal64 0
  and     b = 32  $\implies$  intval-sub (IntVal32 0) x = intval-negate x
  and     b = 64  $\implies$  intval-sub (IntVal64 0) x = intval-negate x
  using valid32or64-both assms by fastforce+

lemma CanonicalizeSubProof:
  assumes CanonicalizeSub before after
  assumes [m, p]  $\vdash$  before  $\mapsto$  res
  assumes [m, p]  $\vdash$  after  $\mapsto$  res'
  shows res = res'
  using assms
proof (induct rule: CanonicalizeSub.induct)
  case (sub-same32 stampx x lo hi)
  show ?case
    using ConstantExprE BinaryExprE bin-eval.simps evalDet sub-same32.prem
  sub-single-rewrites-helper
    int-stamp-implies-valid-value sub-same32.hyps(1) sub-same32.hyps(2)
    by (auto; metis)
  next
    case (sub-same64 stampx x lo hi)
    show ?case
      using ConstantExprE BinaryExprE bin-eval.simps evalDet sub-same64.prem
    sub-single-rewrites-helper
      int-stamp-implies-valid-value sub-same64.hyps(1) sub-same64.hyps(2)
      by (auto; metis)
  next
    case (sub-left-add1 x a b stampa stampb)
    then show ?case
      by (metis BinaryExprE Stamp.collapse(1) bin-eval.simps(1) bin-eval.simps(3)
evalDet
        int-stamp-implies-valid-value sub-rewrites-helper(1))
  next
    case (sub-left-add2 x a b stampa stampb)

```

```

    then show ?case
      by (metis BinaryExprE Stamp.collapse(1) bin-eval.simps(1) bin-eval.simps(3)
evalDet
          int-stamp-implies-valid-value sub-rewrites-helper(2))
next
  case (sub-left-sub x a b stampa stampb)
  then show ?case
    by (smt (verit) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(3)
evalDet
        int-stamp-implies-valid-value is-IntegerStamp-def sub-rewrites-helper(3)
unary-eval.simps(2))
next
  case (sub-right-add1 y a b stampa stampb)
  then show ?case
    by (smt (verit) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(1)
bin-eval.simps(3) evalDet
        int-stamp-implies-valid-value is-IntegerStamp-def sub-rewrites-helper(4)
unary-eval.simps(2))
next
  case (sub-right-add2 y a b stampa stampb)
  then show ?case
    by (smt (verit) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(1)
bin-eval.simps(3) evalDet
        int-stamp-implies-valid-value is-IntegerStamp-def sub-rewrites-helper(5)
unary-eval.simps(2))
next
  case (sub-right-sub y a b stampa stampb)
  then show ?case
    by (metis BinaryExprE Stamp.sel(1) bin-eval.simps(3) evalDet
        int-stamp-implies-valid-value is-IntegerStamp-def sub-rewrites-helper(6))
next
  case (sub-xzero32 stampx x lo hi)
  then show ?case
    using ConstantExprE BinaryExprE bin-eval.simps evalDet sub-xzero32.premis
sub-single-rewrites-helper
    int-stamp-implies-valid-value sub-xzero32.hyps(1) sub-xzero32.hyps(2)
    by (auto; metis)
next
  case (sub-xzero64 stampx x lo hi)
  then show ?case
    using ConstantExprE BinaryExprE bin-eval.simps evalDet sub-xzero64.premis
sub-single-rewrites-helper
    int-stamp-implies-valid-value sub-xzero64.hyps(1) sub-xzero64.hyps(2)
    by (auto; metis)
next
  case (sub-y-negate nb b stampa a stampb)
  then show ?case
    by (smt (verit, best) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(1)
bin-eval.simps(3) evalDet

```

$\text{int-stamp-implies-valid-value } \text{is-IntegerStamp-def } \text{sub-rewrites-helper}(7)$   
 $\text{unary-eval.simps}(2))$   
**qed**

**lemma** *negate-xsuby-helper*:  
 assumes  $\text{valid-value } (\text{IntegerStamp } b \text{ lox } \text{hix}) \ x$   
 and  $\text{valid-value } (\text{IntegerStamp } b \text{ loy } \text{hiy}) \ y$   
 shows  $\text{intval-negate } (\text{intval-sub } x \ y) = \text{intval-sub } y \ x$   
 using  $\text{valid32or64-both } \text{assms}$  **by** *fastforce*

**lemma** *negate-negate-helper*:  
 assumes  $\text{valid-value } (\text{IntegerStamp } b \text{ lox } \text{hix}) \ x$   
 shows  $\text{intval-negate } (\text{intval-negate } x) = x$   
 using  $\text{valid32or64 } \text{assms}$  **by** *fastforce*

**lemma** *CanonicalizeNegateProof*:  
 assumes *CanonicalizeNegate before after*  
 assumes  $[m, p] \vdash \text{before} \mapsto \text{res}$   
 assumes  $[m, p] \vdash \text{after} \mapsto \text{res}'$   
 shows  $\text{res} = \text{res}'$   
 using *assms*  
**proof** (*induct rule: CanonicalizeNegate.induct*)  
 case (*negate-negate nx x*)  
 thus ?case  
 by (*metis UnaryExprE evalDet int-stamp-implies-valid-value is-IntegerStamp-def*  
*negate-negate-helper unary-eval.simps(2)*)  
**next**  
 case (*negate-sub e x y stampx stampy*)  
 thus ?case  
 by (*smt (verit) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(3)*  
*evalDet int-stamp-implies-valid-value*  
*is-IntegerStamp-def negate-xsuby-helper unary-eval.simps(2)*)  
**qed**

**lemma** *abs-helper*:  
 assumes  $\exists v1. x = \text{IntVal32 } (v1)$   
 shows  $v1 <_s 0 \implies \text{intval-abs } x = \text{IntVal32 } (-v1)$   
 and  $\neg(v1 <_s 0) \implies \text{intval-abs } x = \text{IntVal32 } (v1)$   
 using *assms*  
 sorry

**lemma** *abs-helper2*:  
 assumes  $\exists v1. x = \text{IntVal64 } (v1)$   
 shows  $v1 <_s 0 \implies \text{intval-abs } x = \text{IntVal64 } (-v1)$   
 and  $\neg(v1 <_s 0) \implies \text{intval-abs } x = \text{IntVal64 } (v1)$   
 using *assms*  
 sorry

```

lemma abs-rewrite-helper:
  assumes valid-value (IntegerStamp b lox hix) x
  shows intval-abs (intval-negate x) = intval-abs x
  and intval-abs (intval-abs x) = intval-abs x
  apply (metis (no-types, hide-lams) valid32or64 assms abs-helper abs-helper2
    intval-negate.simps(1) intval-negate.simps(2))
  by (metis valid32or64 assms abs-helper abs-helper2)

lemma CanonicalizeAbsProof:
  assumes CanonicalizeAbs before after
  assumes [m, p] ⊢ before ↦ res
  assumes [m, p] ⊢ after ↦ res'
  shows res = res'
  using assms
proof (induct rule: CanonicalizeAbs.induct)
  case (abs-abs ax x)
  then show ?case
    by (metis UnaryExprE abs-rewrite-helper(2) evalDet int-stamp-implies-valid-value
      is-IntegerStamp-def
      unary-eval.simps(1))
  next
    case (abs-neg nx x)
    then show ?case
      by (metis UnaryExprE abs-rewrite-helper(1) evalDet int-stamp-implies-valid-value
        is-IntegerStamp-def
        unary-eval.simps(1) unary-eval.simps(2))
  qed

lemma not-rewrite-helper:
  assumes valid-value (IntegerStamp b lox hix) x
  shows intval-not (intval-not x) = x
  using valid32or64 assms by fastforce+
```

  

```

lemma CanonicalizeNotProof:
  assumes CanonicalizeNot before after
  assumes [m, p] ⊢ before ↦ res
  assumes [m, p] ⊢ after ↦ res'
  shows res = res'
  using assms
proof (induct rule: CanonicalizeNot.induct)
  case (not-not nx x)
  then show ?case
    by (metis UnaryExprE evalDet is-IntegerStamp-def not-rewrite-helper
      int-stamp-implies-valid-value unary-eval.simps(3))
  qed
```

```

lemma demorgans-rewrites-helper:
  assumes valid-value (IntegerStamp b lox hix) x
  and      valid-value (IntegerStamp b loy hiy) y

  shows intval-and (intval-not x) (intval-not y) = intval-not (intval-or x y)
  and   intval-or (intval-not x) (intval-not y) = intval-not (intval-and x y)
  and   x = y  $\implies$  intval-and x y = x
  and   x = y  $\implies$  intval-or x y = x
  using valid32or64-both assms by fastforce+

lemma CanonicalizeAndProof:
  assumes CanonicalizeAnd before after
  assumes [m, p]  $\vdash$  before  $\mapsto$  res
  assumes [m, p]  $\vdash$  after  $\mapsto$  res'
  shows res = res'
  using assms
proof (induct rule: CanonicalizeAnd.induct)
  case (and-same x)
  then show ?case
    by (metis BinaryExprE bin-eval.simps(4) demorgans-rewrites-helper(3) evalDet
      int-stamp-implies-valid-value is-IntegerStamp-def)
next
  case (and-demorgans nx x ny y stampx stampy)
  then show ?case
    by (smt (z3) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(4) bin-eval.simps(5)

      demorgans-rewrites-helper(1) evalDet int-stamp-implies-valid-value is-IntegerStamp-def
      unary-eval.simps(3))
qed

lemma CanonicalizeOrProof:
  assumes CanonicalizeOr before after
  assumes [m, p]  $\vdash$  before  $\mapsto$  res
  assumes [m, p]  $\vdash$  after  $\mapsto$  res'
  shows res = res'
  using assms
proof (induct rule: CanonicalizeOr.induct)
  case (or-same x)
  then show ?case
    by (metis BinaryExprE bin-eval.simps(5) demorgans-rewrites-helper(4) evalDet
      int-stamp-implies-valid-value is-IntegerStamp-def)
next
  case (or-demorgans nx x ny y stampx stampy)
  then show ?case
    by (smt (z3) BinaryExprE Stamp.sel(1) UnaryExprE bin-eval.simps(4) bin-eval.simps(5)
      demorgans-rewrites-helper(2)
      evalDet int-stamp-implies-valid-value is-IntegerStamp-def unary-eval.simps(3))
qed

```



```

lemma CanonicalizeConditionalProof:
  assumes CanonicalizeConditional before after
  assumes  $[m, p] \vdash \text{before} \mapsto \text{res}$ 
  assumes  $[m, p] \vdash \text{after} \mapsto \text{res}'$ 
  shows  $\text{res} = \text{res}'$ 
  using assms
proof (induct rule: CanonicalizeConditional.induct)
case (eq-branches t f c)
  then show ?case using evalDet by auto
next
  case (cond-eq c x y stampx stampy)
  then show ?case using evalDet sorry
next
  case (condition-bounds-x c x y stamp-x stamp-y)
  then show ?case sorry
next
  case (condition-bounds-y c x y stamp-x stamp-y)
  then show ?case sorry
next
  case (negate-condition nc c stampc lo hi x y)
  then show ?case sorry
next
  case (const-true c val t f)
  then show ?case using evalDet by auto
next
  case (const-false c val t f)
  then show ?case using evalDet by auto
qed

end

```