

# Veriopt

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## **Abstract**

The Veriopt project aims to prove the optimization pass of the GraalVM compiler. The GraalVM compiler includes a sophisticated Intermediate Representation (IR) in the form of a sea-of-nodes based graph structure. We first define the IR graph structure in the Isabelle/HOL interactive theorem prover. We subsequently give the evaluation of the structure a semantics based on the current understanding of the purpose of each IR graph node. Optimization phases are then encoded including the static analysis passes required for an optimization. Each optimization phase is proved to be correct by proving that a bisimulation exists between the unoptimized and optimized graphs. The following document has been automatically generated from the Isabelle/HOL source to provide a very comprehensive definition of the semantics and optimizations introduced by the Veriopt project.

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# 1 Additional Theorems about Computer Words

**theory** *JavaWords*

**imports**

*HOL-Library.Word*

*HOL-Library.Signed-Division*

*HOL-Library.Float*

*HOL-Library.LaTeXsugar*

**begin**

Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits.

**type-synonym** *int64* = 64 word — long

**type-synonym** *int32* = 32 word — int

**type-synonym** *int16* = 16 word — short

**type-synonym** *int8* = 8 word — char

**type-synonym** *int1* = 1 word — boolean

**abbreviation** *valid-int-widths* :: nat set **where**

*valid-int-widths*  $\equiv \{1, 8, 16, 32, 64\}$

**type-synonym** *iwidth* = nat

**fun** *bit-bounds* :: nat  $\Rightarrow$  (int  $\times$  int) **where**

*bit-bounds* bits = (((2  $\wedge$  bits) div 2) \* -1, ((2  $\wedge$  bits) div 2) - 1)

**definition** *logic-negate* :: ('a::len) word  $\Rightarrow$  'a word **where**

*logic-negate* x = (if x = 0 then 1 else 0)

**fun** *int-signed-value* :: iwidth  $\Rightarrow$  int64  $\Rightarrow$  int **where**

*int-signed-value* b v = sint (signed-take-bit (b - 1) v)

**fun** *int-unsigned-value* :: iwidth  $\Rightarrow$  int64  $\Rightarrow$  int **where**

*int-unsigned-value* b v = uint v

A convenience function for directly constructing -1 values of a given bit size.

**fun** *neg-one* :: iwidth  $\Rightarrow$  int64 **where**

*neg-one* b = mask b

## 1.1 Bit-Shifting Operators

**definition** *shiffl* (infix << 75) **where**

*shiffl* w n = (push-bit n) w

**lemma** *shiffl-power[simp]*: (x::('a::len) word) \* (2  $\wedge$  j) = x << j

**unfolding** *shiffl-def* **apply** (induction j)

**apply simp unfolding funpow-Suc-right**  
**by** (*metis (no-types, opaque-lifting) push-bit-eq-mult*)

**lemma** ( $x :: ('a :: \text{len}) \text{ word}$ ) \*  $((2 \hat{~} j) + 1) = x << j + x$   
**by** (*simp add: distrib-left*)

**lemma** ( $x :: ('a :: \text{len}) \text{ word}$ ) \*  $((2 \hat{~} j) - 1) = x << j - x$   
**by** (*simp add: right-diff-distrib*)

**lemma** ( $x :: ('a :: \text{len}) \text{ word}$ ) \*  $((2 \hat{~} j) + (2 \hat{~} k)) = x << j + x << k$   
**by** (*simp add: distrib-left*)

**lemma** ( $x :: ('a :: \text{len}) \text{ word}$ ) \*  $((2 \hat{~} j) - (2 \hat{~} k)) = x << j - x << k$   
**by** (*simp add: right-diff-distrib*)

Unsigned shift right.

**definition** *shiftr* (**infix**  $>>> 75$ ) **where**  
*shiftr w n = drop-bit n w*

**corollary** ( $255 :: 8 \text{ word}$ )  $>>> (2 :: \text{nat}) = 63$  **by** *code-simp*

Signed shift right.

**definition** *sshiftr* ::  $'a :: \text{len word} \Rightarrow \text{nat} \Rightarrow 'a :: \text{len word}$  (**infix**  $>> 75$ ) **where**  
*sshiftr w n = word-of-int ((sint w) div (2  $\hat{~}$  n))*

**corollary** ( $128 :: 8 \text{ word}$ )  $>> 2 = 0xE0$  **by** *code-simp*

## 1.2 Fixed-width Word Theories

### 1.2.1 Support Lemmas for Upper/Lower Bounds

**lemma** *size32*: *size v = 32 for v :: 32 word*  
**by** (*smt (verit, del-ists) mult.commute One-nat-def add.right-neutral add-Suc-right numeral-2-eq-2*  
*len-of-numeral-defs(2,3) mult.right-neutral mult-Suc-right numeral-Bit0 size-word.rep-eq*)

**lemma** *size64*: *size v = 64 for v :: 64 word*  
**by** (*metis numeral-times-numeral semiring-norm(12) semiring-norm(13) size32 len-of-numeral-defs(3)*  
*size-word.rep-eq*)

**lemma** *lower-bounds-equiv*:  
**assumes**  $0 < N$   
**shows**  $-(((2 :: \text{int}) \hat{~} (N-1))) = (2 :: \text{int}) \hat{~} N \text{ div } 2 * - 1$   
**by** (*simp add: assms int-power-div-base*)

**lemma** *upper-bounds-equiv*:

```

assumes  $0 < N$ 
shows  $(2::int) \wedge (N-1) = (2::int) \wedge N \text{ div } 2$ 
by (simp add: assms int-power-div-base)

```

Some min/max bounds for 64-bit words

```

lemma bit-bounds-min64:  $((fst (bit-bounds 64))) \leq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-ge[of v] by simp

```

```

lemma bit-bounds-max64:  $((snd (bit-bounds 64))) \geq (sint (v::int64))$ 
unfolding bit-bounds.simps fst-def
using sint-lt[of v] by simp

```

Extend these min/max bounds to extracting smaller signed words using *signed\_take\_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed\_take\_bit*. But that would have to be done separately for each bit-width type.

```

corollary sint(signed-take-bit 7 (128 :: int8)) = -128 by code-simp

```

```

ML-val  $\langle @\{thm\ signed\_take\_bit\_decr\_length\_iff\} \rangle$ 
declare  $[[show\_types=true]]$ 
ML-val  $\langle @\{thm\ signed\_take\_bit\_int\_less\_exp\} \rangle$ 

```

```

lemma signed-take-bit-int-less-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $sint(signed-take-bit\ n\ ival) < (2::int) \wedge n$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-less-exp)

```

```

lemma signed-take-bit-int-greater-eq-minus-exp-word:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
shows  $-(2 \wedge n) \leq sint(signed-take-bit\ n\ ival)$ 
apply transfer using assms apply auto
by (metis min.commute signed-take-bit-signed-take-bit signed-take-bit-int-greater-eq-minus-exp)

```

```

lemma signed-take-bit-range:
fixes ival :: 'a :: len word
assumes  $n < LENGTH('a)$ 
assumes  $val = sint(signed-take-bit\ n\ ival)$ 
shows  $-(2 \wedge n) \leq val \wedge val < 2 \wedge n$ 
using signed-take-bit-int-greater-eq-minus-exp-word signed-take-bit-int-less-exp-word
using assms by blast

```

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq \text{LENGTH}('a)$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-range lower-bounds-equiv upper-bounds-equiv*  
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt*  
*snd-conv zle-diff1-eq*)

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival* :: int64  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms signed-take-bit-bounds*  
**by** (*metis size64 word-size*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $\text{fst } (\text{bit-bounds } b1) \leq \text{int-signed-value } b1 \text{ v2} \wedge$   
 $\text{int-signed-value } b1 \text{ v2} \leq \text{snd } (\text{bit-bounds } b1)$   
**using** *assms int-signed-value.simps signed-take-bit-bounds64* **by** *blast*

**lemma** *int-signed-value-range*:  
**fixes** *ival* :: int64  
**assumes**  $\text{val} = \text{int-signed-value } n \text{ ival}$   
**shows**  $-(2^{(n - 1)}) \leq \text{val} \wedge \text{val} < 2^{(n - 1)}$   
**using** *assms apply auto*  
**apply** (*smt (verit, ccfv-threshold) sint-greater-eq diff-less len-gt-0 power-strict-increasing*  
*power-less-imp-less-exp signed-take-bit-range len-num1 One-nat-def*)  
**by** (*smt (verit, ccfv-threshold) neg-equal-0-iff-equal power-0 signed-minus-1 sint-0*  
*not-gr-zero*  
*word-exp-length-eq-0 diff-less diff-zero len-gt-0 sint-less power-strict-increasing*  
*signed-take-bit-range power-less-imp-less-exp*)

Some lemmas to relate (int) bit bounds to bit-shifting values.

**lemma** *bit-bounds-lower*:  
**assumes**  $0 < \text{bits}$   
**shows**  $\text{word-of-int } (\text{fst } (\text{bit-bounds } \text{bits})) = ((-1) << (\text{bits} - 1))$   
**unfolding** *bit-bounds.simps fst-conv*  
**by** (*metis (mono-tags, opaque-lifting) assms(1) mult-1 mult-minus1-right mult-minus-left*  
*of-int-minus of-int-power shiftl-power upper-bounds-equiv word-numeral-alt*)

**lemma** *two-exp-div*:  
**assumes**  $0 < \text{bits}$



```

shows ((2::int) ^ bits div (2::int)) = (2::int) ^ (bits - Suc 0)
using assms by (auto simp: int-power-div-base)

```

```

declare [[show-types]]

```

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

```

lemma take-bit-smaller-range:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  assumes val = sint(take-bit n ival)
  shows 0 ≤ val ∧ val < (2::int) ^ n
  by (simp add: assms signed-take-bit-eq)

```

```

lemma take-bit-same-size-nochange:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  shows ival = take-bit n ival
  by (simp add: assms)

```

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

```

lemma take-bit-redundant[simp]:
  fixes ival :: 'a :: len word
  assumes 0 < n
  assumes n < LENGTH('a)
  shows signed-take-bit (n - 1) (take-bit n ival) = signed-take-bit (n - 1) ival
proof -
  have ¬ (n ≤ n - 1) using assms by arith
  then have ∧i . signed-take-bit (n - 1) (take-bit n i) = signed-take-bit (n - 1) i
    using signed-take-bit-take-bit by (metis (mono-tags))
  then show ?thesis
    by blast
qed

```

```

lemma take-bit-same-size-range:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  assumes ival2 = take-bit n ival
  shows - (2 ^ n div 2) ≤ sint ival2 ∧ sint ival2 < 2 ^ n div 2
  using assms lower-bounds-equiv sint-ge sint-lt by auto

```

```

lemma take-bit-same-bounds:
  fixes ival :: 'a :: len word
  assumes n = LENGTH('a)
  assumes ival2 = take-bit n ival
  shows fst (bit-bounds n) ≤ sint ival2 ∧ sint ival2 ≤ snd (bit-bounds n)
  unfolding bit-bounds.simps
  using assms take-bit-same-size-range
  by force

```

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using `scast` now?)

```
lemma scast-max-bound:
  assumes sint (v :: 'a :: len word) < M
  assumes LENGTH('a) < LENGTH('b)
  shows sint ((scast v) :: 'b :: len word) < M
  using assms unfolding Word.scast-eq Word.sint-sbintrunc' by (simp add: sint-uint)
```

```
lemma scast-min-bound:
  assumes M ≤ sint (v :: 'a :: len word)
  assumes LENGTH('a) < LENGTH('b)
  shows M ≤ sint ((scast v) :: 'b :: len word)
  using assms unfolding Word.scast-eq Word.sint-sbintrunc' by (simp add: sint-uint)
```

```
lemma scast-bigger-max-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows sint result < 2 ^ LENGTH('a) div 2
  using assms apply auto
  by (smt (verit, ccfu-SIG) assms len-gt-0 signed-scast-eq signed-take-bit-int-greater-self-iff
    sint-ge sint-less upper-bounds-equiv sint-lt upper-bounds-equiv scast-max-bound)
```

```
lemma scast-bigger-min-bound:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows - (2 ^ LENGTH('a) div 2) ≤ sint result
  by (metis upper-bounds-equiv assms len-gt-0 nat-less-le not-less scast-max-bound
    scast-min-bound
    sint-ge)
```

```
lemma scast-bigger-bit-bounds:
  assumes (result :: 'b :: len word) = scast (v :: 'a :: len word)
  shows fst (bit-bounds (LENGTH('a))) ≤ sint result ∧ sint result ≤ snd (bit-bounds
    (LENGTH('a)))
  using assms scast-bigger-min-bound scast-bigger-max-bound
  by auto
```

## 1.2.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant `take_bit` wrappers.

```
lemma take-bit-dist-addL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x + y) = take-bit b (x + y)
proof (induction b)
  case 0
  then show ?case
    by simp
next
  case (Suc b)
```

```

    then show ?case
      by (simp add: add.commute mask-eqs(2) take-bit-eq-mask)
qed

lemma take-bit-dist-addR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x + take-bit b y) = take-bit b (x + y)
  using take-bit-dist-addL by (metis add.commute)

lemma take-bit-dist-subL[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (take-bit b x - y) = take-bit b (x - y)
  by (metis take-bit-dist-addR uminus-add-conv-diff)

lemma take-bit-dist-subR[simp]:
  fixes x :: 'a :: len word
  shows take-bit b (x - take-bit b y) = take-bit b (x - y)
  using take-bit-dist-subL
  by (metis (no-types, opaque-lifting) diff-add-cancel diff-right-commute diff-self)

lemma take-bit-dist-neg[simp]:
  fixes ix :: 'a :: len word
  shows take-bit b (- take-bit b (ix)) = take-bit b (- ix)
  by (metis diff-0 take-bit-dist-subR)

lemma signed-take-bit[simp]:
  fixes x :: 'a :: len word
  assumes 0 < b
  shows signed-take-bit (b - 1) (take-bit b x) = signed-take-bit (b - 1) x
  using assms apply auto
  by (smt (verit, ccfv-threshold) Suc-diff-1 assms lessI linorder-not-less signed-take-bit-take-bit
      diff-Suc-less Suc-pred One-nat-def)

lemma mod-larger-ignore:
  fixes a :: int
  fixes m n :: nat
  assumes n < m
  shows (a mod 2 ^ m) mod 2 ^ n = a mod 2 ^ n
  by (meson assms le-imp-power-dvd less-or-eq-imp-le mod-mod-cancel)

lemma mod-dist-over-add:
  fixes a b c :: int64
  fixes n :: nat
  assumes 1: 0 < n
  assumes 2: n < 64
  shows (a mod 2 ^ n + b) mod 2 ^ n = (a + b) mod 2 ^ n
  proof -
    have 3: (0 :: int64) < 2 ^ n

```

```

    using assms by (simp add: size64 word-2p-lem)
  then show ?thesis
    unfolding word-mod-2p-is-mask[OF 3]
    apply transfer
    by (metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask)
qed

```

### 1.3 Java min and max operators on 64-bit values

Java uses signed comparison, so we define a convenient abbreviation for this to avoid accidental mistakes, because by default the Isabelle min/max will assume unsigned words.

**abbreviation** *javaMin64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMin64 a b*  $\equiv$  (*if a*  $\leq$  *s b* *then a* *else b*)

**abbreviation** *javaMax64* :: *int64*  $\Rightarrow$  *int64*  $\Rightarrow$  *int64* **where**  
*javaMax64 a b*  $\equiv$  (*if a*  $\leq$  *s b* *then b* *else a*)

**end**

## 2 java.lang.Long

Utility functions from the Java Long class that Graal occasionally makes use of.

```

theory JavaLong
  imports JavaWords
           HOL-Library.FSet
begin

```

**lemma** *negative-all-set-32*:  
 $n < 32 \implies \text{bit } (-1::\text{int32}) \ n$   
**apply** *transfer* **by** *auto*

**definition** *MaxOrNeg* :: *nat set*  $\Rightarrow$  *int* **where**  
*MaxOrNeg s* = (*if s* = {} *then* -1 *else* *Max s*)

**definition** *MinOrHighest* :: *nat set*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*MinOrHighest s m* = (*if s* = {} *then* *m* *else* *Min s*)

**lemma** *MaxOrNegEmpty*:  
 $\text{MaxOrNeg } s = -1 \iff s = \{\}$   
**unfolding** *MaxOrNeg-def* **by** *auto*

### 2.1 Long.highestOneBit

**definition** *highestOneBit* :: (*a::len*) *word*  $\Rightarrow$  *int* **where**

$\text{highestOneBit } v = \text{MaxOrNeg } \{n. \text{ bit } v \ n\}$

**lemma** *highestOneBitInvar*:

$\text{highestOneBit } v = j \implies (\forall i::\text{nat}. (\text{int } i > j \longrightarrow \neg (\text{bit } v \ i)))$

**apply** (*induction size v; auto*) **unfolding** *highestOneBit-def*

**by** (*metis linorder-not-less MaxOrNeg-def empty-iff finite-bit-word mem-Collect-eq of-nat-mono*

*Max-ge*)

**lemma** *highestOneBitNeg*:

$\text{highestOneBit } v = -1 \longleftrightarrow v = 0$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Collect-empty-eq-bot bit-0-eq bit-word-eqI int-ops(2) negative-eq-positive one-neq-zero*)

**lemma** *higherBitsFalse*:

**fixes**  $v :: 'a :: \text{len word}$

**shows**  $i > \text{size } v \implies \neg (\text{bit } v \ i)$

**by** (*simp add: bit-word.rep-eq size-word.rep-eq*)

**lemma** *highestOneBitN*:

**assumes**  $\text{bit } v \ n$

**assumes**  $\forall i::\text{nat}. (\text{int } i > n \longrightarrow \neg (\text{bit } v \ i))$

**shows**  $\text{highestOneBit } v = n$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**by** (*metis Max-ge Max-in all-not-in-conv assms(1) assms(2) finite-bit-word mem-Collect-eq of-nat-less-iff order-less-le*)

**lemma** *highestOneBitSize*:

**assumes**  $\text{bit } v \ n$

**assumes**  $n = \text{size } v$

**shows**  $\text{highestOneBit } v = n$

**by** (*metis assms(1) assms(2) not-bit-length wsst-TYs(3)*)

**lemma** *highestOneBitMax*:

$\text{highestOneBit } v < \text{size } v$

**unfolding** *highestOneBit-def MaxOrNeg-def*

**using** *higherBitsFalse*

**by** (*simp add: bit-imp-le-length size-word.rep-eq*)

**lemma** *highestOneBitAtLeast*:

**assumes**  $\text{bit } v \ n$

**shows**  $\text{highestOneBit } v \geq n$

**proof** (*induction size v*)

**case** 0

**then show** ?case **by** *simp*

**next**

**case** (*Suc x*)

**then have**  $\forall i. \text{bit } v \ i \longrightarrow i < \text{Suc } x$

```

    by (simp add: bit-imp-le-length wsst-TYs(3))
  then show ?case
    unfolding highestOneBit-def MaxOrNeg-def
    using assms by auto
qed

```

```

lemma highestOneBitElim:
  highestOneBit v = n
     $\implies ((n = -1 \wedge v = 0) \vee (n \geq 0 \wedge \text{bit } v \ n))$ 
  unfolding highestOneBit-def MaxOrNeg-def
  by (metis Max-in finite-bit-word le0 le-minus-one-simps(3) mem-Collect-eq of-nat-0-le-iff
    of-nat-eq-iff)

```

A recursive implementation of highestOneBit that is suitable for code generation.

```

fun highestOneBitRec :: nat  $\Rightarrow$  ('a::len) word  $\Rightarrow$  int where
  highestOneBitRec n v =
    (if bit v n then n
     else if n = 0 then -1
     else highestOneBitRec (n - 1) v)

```

```

lemma highestOneBitRecTrue:
  highestOneBitRec n v = j  $\implies j \geq 0 \implies \text{bit } v \ j$ 
proof (induction n)
  case 0
  then show ?case
    by (metis diff-0 highestOneBitRec.simps leD of-nat-0-eq-iff of-nat-0-le-iff zle-diff1-eq)

```

```

next
  case (Suc n)
  then show ?case
    by (metis diff-Suc-1 highestOneBitRec.elims nat.discI nat-int)
qed

```

```

lemma highestOneBitRecN:
  assumes bit v n
  shows highestOneBitRec n v = n
  by (simp add: assms)

```

```

lemma highestOneBitRecMax:
  highestOneBitRec n v  $\leq$  n
  by (induction n; simp)

```

```

lemma highestOneBitRecElim:
  assumes highestOneBitRec n v = j
  shows  $((j = -1 \wedge v = 0) \vee (j \geq 0 \wedge \text{bit } v \ j))$ 
  using assms highestOneBitRecTrue by blast

```

```

lemma highestOneBitRecZero:

```

$v = 0 \implies \text{highestOneBitRec } (\text{size } v) \ v = -1$   
**by** (induction rule: *highestOneBitRec.induct; simp*)

**lemma** *highestOneBitRecLess*:  
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBitRec } n \ v = \text{highestOneBitRec } (n - 1) \ v$   
**using** *assms* **by** *force*

Some lemmas that use masks to restrict *highestOneBit* and relate it to *highestOneBitRec*.

**lemma** *highestOneBitMask*:  
**assumes**  $\text{size } v = n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
**by** (metis *assms dual-order.refl lt2p-lem mask-eq-iff size-word.rep-eq*)

**lemma** *maskSmaller*:  
**fixes**  $v :: 'a :: \text{len word}$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{and } v \ (\text{mask } (\text{Suc } n)) = \text{and } v \ (\text{mask } n)$   
**unfolding** *bit-eq-iff*  
**by** (metis *assms bit-and-iff bit-mask-iff less-Suc-eq*)

**lemma** *highestOneBitSmaller*:  
**assumes**  $\text{size } v = \text{Suc } n$   
**assumes**  $\neg \text{bit } v \ n$   
**shows**  $\text{highestOneBit } v = \text{highestOneBit } (\text{and } v \ (\text{mask } n))$   
**by** (metis *assms highestOneBitMask maskSmaller*)

**lemma** *highestOneBitRecMask*:  
**shows**  $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } n))) = \text{highestOneBitRec } n \ v$   
**proof** (induction  $n$ )  
**case** 0  
**then have**  $\text{highestOneBit } (\text{and } v \ (\text{mask } (\text{Suc } 0))) = \text{highestOneBitRec } 0 \ v$   
**apply** *auto*  
**apply** (smt (verit, ccfv-threshold) *neg-equal-zero negative-eq-positive bit-1-iff bit-and-iff highestOneBitN*)  
**by** (simp add: *bit-iff-and-push-bit-not-eq-0 highestOneBitNeg*)  
**then show** ?case  
**by** *presburger*  
**next**  
**case** (Suc  $n$ )  
**then show** ?case  
**proof** (cases  $\text{bit } v \ (\text{Suc } n)$ )  
**case** True  
**have** 1:  $\text{highestOneBitRec } (\text{Suc } n) \ v = \text{Suc } n$   
**by** (simp add: True)  
**have**  $\forall i :: \text{nat}. (\text{int } i > (\text{Suc } n) \longrightarrow \neg (\text{bit } (\text{and } v \ (\text{mask } (\text{Suc } (\text{Suc } n)))) \ i))$   
**by** (simp add: *bit-and-iff bit-mask-iff*)

```

    then have 2: highestOneBit (and v (mask (Suc (Suc n)))) = Suc n
      using True highestOneBitN
      by (metis bit-take-bit-iff lessI take-bit-eq-mask)
    then show ?thesis
      using 1 2 by auto
  next
    case False
    then show ?thesis
      by (simp add: Suc maskSmaller)
  qed
qed

```

Finally - we can use the mask lemmas to relate highestOneBitRec to its spec.

```

lemma highestOneBitImpl[code]:
  highestOneBit v = highestOneBitRec (size v) v
  by (metis highestOneBitMask highestOneBitRecMask maskSmaller not-bit-length
  wsst-TYs(3))

```

```

lemma highestOneBit (0x5 :: int8) = 2 by code-simp

```

## 2.2 Long.lowestOneBit

```

definition lowestOneBit :: ('a::len) word  $\Rightarrow$  nat where
  lowestOneBit v = MinOrHighest {n . bit v n} (size v)

```

```

lemma max-bit: bit (v::('a::len) word) n  $\implies$  n < size v
  by (simp add: bit-imp-le-length size-word.rep-eq)

```

```

lemma max-set-bit: MaxOrNeg {n . bit (v::('a::len) word) n} < Nat.size v
  using max-bit unfolding MaxOrNeg-def
  by force

```

## 2.3 Long.numberOfLeadingZeros

```

definition numberOfLeadingZeros :: ('a::len) word  $\Rightarrow$  nat where
  numberOfLeadingZeros v = nat (Nat.size v - highestOneBit v - 1)

```

```

lemma MaxOrNeg-neg: MaxOrNeg {} = -1
  by (simp add: MaxOrNeg-def)

```

```

lemma MaxOrNeg-max: s  $\neq$  {}  $\implies$  MaxOrNeg s = Max s
  by (simp add: MaxOrNeg-def)

```

```

lemma zero-no-bits:
  {n . bit 0 n} = {}
  by simp

```

```

lemma highestOneBit (0::64 word) = -1

```



**by** (*simp add: MaxOrNeg-neg highestOneBit-def*)

**lemma** *numberOfLeadingZeros (0::64 word) = 64*  
**unfolding** *numberOfLeadingZeros-def* **by** (*simp add: highestOneBitImpl size64*)

**lemma** *highestOneBit-top: Max {highestOneBit (v::64 word)} < 64*  
**unfolding** *highestOneBit-def*  
**by** (*metis Max-singleton int-eq-iff-numeral max-set-bit size64*)

**lemma** *numberOfLeadingZeros-top: Max {numberOfLeadingZeros (v::64 word)} ≤ 64*  
**unfolding** *numberOfLeadingZeros-def*  
**using** *size64*  
**by** (*simp add: MaxOrNeg-def highestOneBit-def nat-le-iff*)

**lemma** *numberOfLeadingZeros-range: 0 ≤ numberOfLeadingZeros a ∧ numberOfLeadingZeros a ≤ Nat.size a*  
**unfolding** *numberOfLeadingZeros-def* **apply** *auto*  
**apply** (*induction highestOneBit a*) **apply** (*simp add: numberOfLeadingZeros-def*)  
**by** (*metis (mono-tags, opaque-lifting) leD negative-zless int-eq-iff diff-right-commute diff-self*  
*diff-zero nat-le-iff le-iff-diff-le-0 minus-diff-eq nat-0-le nat-le-linear of-nat-0-le-iff*  
*MaxOrNeg-def highestOneBit-def*)

**lemma** *leadingZerosAddHighestOne: numberOfLeadingZeros v + highestOneBit v = Nat.size v - 1*  
**unfolding** *numberOfLeadingZeros-def highestOneBit-def*  
**using** *MaxOrNeg-def int-nat-eq int-ops(6) max-bit order-less-irrefl* **by** *fastforce*

## 2.4 Long.numberOfTrailingZeros

**definition** *numberOfTrailingZeros :: ('a::len) word ⇒ nat* **where**  
*numberOfTrailingZeros v = lowestOneBit v*

**lemma** *lowestOneBit-bot: lowestOneBit (0::64 word) = 64*  
**unfolding** *lowestOneBit-def MinOrHighest-def*  
**by** (*simp add: size64*)

**lemma** *bit-zero-set-in-top: bit (-1::'a::len word) 0*  
**by** *auto*

**lemma** *nat-bot-set: (0::nat) ∈ xs ⟶ (∀ x ∈ xs . 0 ≤ x)*  
**by** *fastforce*

**lemma** *numberOfTrailingZeros (0::64 word) = 64*  
**unfolding** *numberOfTrailingZeros-def*  
**using** *lowestOneBit-bot* **by** *simp*

## 2.5 Long.reverseBytes

**fun** *reverseBytes-fun* :: ('a::len) word  $\Rightarrow$  nat  $\Rightarrow$  ('a::len) word  $\Rightarrow$  ('a::len) word  
**where**  
*reverseBytes-fun* v b flip = (if (b = 0) then (flip) else  
(reverseBytes-fun (v >> 8) (b - 8) (or (flip << 8) (take-bit 8  
v))))

## 2.6 Long.bitCount

**definition** *bitCount* :: ('a::len) word  $\Rightarrow$  nat **where**  
*bitCount* v = card {n . bit v n}

**fun** *bitCount-fun* :: ('a::len) word  $\Rightarrow$  nat  $\Rightarrow$  nat **where**  
*bitCount-fun* v n = (if (n = 0) then  
(if (bit v n) then 1 else 0) else  
if (bit v n) then (1 + *bitCount-fun* (v) (n - 1))  
else (0 + *bitCount-fun* (v) (n - 1)))

**lemma** *bitCount 0 = 0*  
**unfolding** *bitCount-def*  
**by** (metis card.empty zero-no-bits)

## 2.7 Long.zeroCount

**definition** *zeroCount* :: ('a::len) word  $\Rightarrow$  nat **where**  
*zeroCount* v = card {n. n < Nat.size v  $\wedge$   $\neg$ (bit v n)}

**lemma** *zeroCount-finite*: finite {n. n < Nat.size v  $\wedge$   $\neg$ (bit v n)}  
**using** *finite-nat-set-iff-bounded* **by** blast

**lemma** *negone-set*:  
bit (-1::('a::len) word) n  $\longleftrightarrow$  n < LENGTH('a)  
**by** simp

**lemma** *negone-all-bits*:  
{n . bit (-1::('a::len) word) n} = {n . 0  $\leq$  n  $\wedge$  n < LENGTH('a)}  
**using** *negone-set*  
**by** auto

**lemma** *bitCount-finite*:  
finite {n . bit (v::('a::len) word) n}  
**by** simp

**lemma** *card-of-range*:  
x = card {n . 0  $\leq$  n  $\wedge$  n < x}  
**by** simp

**lemma** *range-of-nat*:

```

    {(n::nat) . 0 ≤ n ∧ n < x} = {n . n < x}
  by simp

lemma finite-range:
  finite {n::nat . n < x}
  by simp

lemma range-eq:
  fixes x y :: nat
  shows card {y..

```

```

  shows  $\neg(\text{bit } a \ n)$ 
proof (cases  $\{i. \text{bit } a \ i\} = \{\}$ )
  case True
  then show ?thesis by simp
next
  case False
  have  $n < \text{Min } (\text{Collect } (\text{bit } a)) \implies \neg \text{bit } a \ n$ 
  using False by auto
  then show ?thesis
  by (metis False MinOrHighest-def assms lowestOneBit-def)
qed

lemma union-bit-sets:
  fixes  $a :: ('a::\text{len}) \text{ word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cup \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{n . n < \text{Nat.size } a\}$ 
  by fastforce

lemma disjoint-bit-sets:
  fixes  $a :: ('a::\text{len}) \text{ word}$ 
  shows  $\{n . n < \text{Nat.size } a \wedge \text{bit } a \ n\} \cap \{n . n < \text{Nat.size } a \wedge \neg(\text{bit } a \ n)\} = \{\}$ 
  by blast

lemma qualified-bitCount:
   $\text{bitCount } v = \text{card } \{n . n < \text{Nat.size } v \wedge \text{bit } v \ n\}$ 
  by (metis (no-types, lifting) Collect-cong bitCount-def max-bit)

lemma card-eq:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } z - \text{card } y = \text{card } x$ 
  using assms add-diff-cancel-right' card-Un-disjoint
  by (metis inf.commute)

lemma card-add:
  assumes  $\text{finite } x \wedge \text{finite } y \wedge \text{finite } z$ 
  assumes  $x \cup y = z$ 
  assumes  $y \cap x = \{\}$ 
  shows  $\text{card } x + \text{card } y = \text{card } z$ 
  using assms card-Un-disjoint
  by (metis inf.commute)

lemma card-add-inverses:
  assumes  $\text{finite } \{n. Q \ n \wedge \neg(P \ n)\} \wedge \text{finite } \{n. Q \ n \wedge P \ n\} \wedge \text{finite } \{n. Q \ n\}$ 
  shows  $\text{card } \{n. Q \ n \wedge P \ n\} + \text{card } \{n. Q \ n \wedge \neg(P \ n)\} = \text{card } \{n. Q \ n\}$ 
  apply (rule card-add)
  using assms apply simp

```

```

apply auto[1]
by auto

lemma ones-zero-sum-to-width:
   $bitCount\ a + zeroCount\ a = Nat.size\ a$ 
proof –
  have add-cards:  $card\ \{n. (\lambda n. n < size\ a)\ n \wedge (bit\ a\ n)\} + card\ \{n. (\lambda n. n < size\ a)\ n \wedge \neg(bit\ a\ n)\} = card\ \{n. (\lambda n. n < size\ a)\ n\}$ 
  apply (rule card-add-inverses) by simp
  then have ... =  $Nat.size\ a$ 
  by auto
then show ?thesis
  unfolding bitCount-def zeroCount-def using max-bit
  by (metis (mono-tags, lifting) Collect-cong add-cards)
qed

lemma intersect-bitCount-helper:
   $card\ \{n . n < Nat.size\ a\} - bitCount\ a = card\ \{n . n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$ 
proof –
  have size-def:  $Nat.size\ a = card\ \{n . n < Nat.size\ a\}$ 
  using card-of-range by simp
  have bitCount-def:  $bitCount\ a = card\ \{n . n < Nat.size\ a \wedge bit\ a\ n\}$ 
  using qualified-bitCount by auto
  have disjoint:  $\{n . n < Nat.size\ a \wedge bit\ a\ n\} \cap \{n . n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{\}$ 
  using disjoint-bit-sets by auto
  have union:  $\{n . n < Nat.size\ a \wedge bit\ a\ n\} \cup \{n . n < Nat.size\ a \wedge \neg(bit\ a\ n)\} = \{n . n < Nat.size\ a\}$ 
  using union-bit-sets by auto
  show ?thesis
  unfolding bitCount-def
  apply (rule card-eq)
  using finite-range apply simp
  using union apply blast
  using disjoint by simp
qed

lemma intersect-bitCount:
   $Nat.size\ a - bitCount\ a = card\ \{n . n < Nat.size\ a \wedge \neg(bit\ a\ n)\}$ 
  using card-of-range intersect-bitCount-helper by auto

hide-fact intersect-bitCount-helper

end

```

### 3 Operator Semantics

```

theory Values
  imports

```

*JavaLong*  
**begin**

In order to properly implement the IR semantics we first introduce a type that represents runtime values. These runtime values represent the full range of primitive types currently allowed by our semantics, ranging from basic integer types to object references and arrays.

Note that Java supports 64, 32, 16, 8 signed ints, plus 1 bit (boolean) ints, and char is 16-bit unsigned. E.g. an 8-bit stamp has a default range of -128..+127. And a 1-bit stamp has a default range of -1..0, surprisingly.

During calculations the smaller sizes are sign-extended to 32 bits, but explicit widening nodes will do that, so most binary calculations should see equal input sizes.

An object reference is an option type where the *None* object reference points to the static fields. This is examined more closely in our definition of the heap.

**type-synonym** *objref* = *nat option*  
**type-synonym** *length* = *nat*

**datatype** (*discs-sels*) *Value* =  
*UndefVal* |

*IntVal iwidth int64* |

*ObjRef objref* |

*ObjStr string* |

*ArrayVal length Value list*

**fun** *intval-bits* :: *Value*  $\Rightarrow$  *nat* **where**  
*intval-bits* (*IntVal b v*) = *b*

**fun** *intval-word* :: *Value*  $\Rightarrow$  *int64* **where**  
*intval-word* (*IntVal b v*) = *v*

Converts an integer word into a Java value.

**fun** *new-int* :: *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
*new-int b w* = *IntVal b (take-bit b w)*

Converts an integer word into a Java value, iff the two types are equal.

**fun** *new-int-bin* :: *iwidth*  $\Rightarrow$  *iwidth*  $\Rightarrow$  *int64*  $\Rightarrow$  *Value* **where**  
*new-int-bin b1 b2 w* = (*if b1=b2 then new-int b1 w else UndefVal*)

```
fun array-length :: Value  $\Rightarrow$  Value where
  array-length (ArrayVal len list) = new-int 32 (word-of-nat len)
```

```
fun wf-bool :: Value  $\Rightarrow$  bool where
  wf-bool (IntVal b w) = (b = 1) |
  wf-bool - = False
```

```
fun val-to-bool :: Value  $\Rightarrow$  bool where
  val-to-bool (IntVal b val) = (if val = 0 then False else True) |
  val-to-bool val = False
```

```
fun bool-to-val :: bool  $\Rightarrow$  Value where
  bool-to-val True = (IntVal 32 1) |
  bool-to-val False = (IntVal 32 0)
```

Converts an Isabelle bool into a Java value, iff the two types are equal.

```
fun bool-to-val-bin :: iwidth  $\Rightarrow$  iwidth  $\Rightarrow$  bool  $\Rightarrow$  Value where
  bool-to-val-bin t1 t2 b = (if t1 = t2 then bool-to-val b else UndefVal)
```

```
fun is-int-val :: Value  $\Rightarrow$  bool where
  is-int-val v = is-IntVal v
```

```
lemma neg-one-value[simp]: new-int b (neg-one b) = IntVal b (mask b)
by simp
```

```
lemma neg-one-signed[simp]:
  assumes 0 < b
  shows int-signed-value b (neg-one b) = -1
  using assms apply auto
by (metis (no-types, lifting) Suc-pred diff-Suc-1 signed-take-take-bit assms signed-minus-1
  int-signed-value.simps mask-eq-take-bit-minus-one signed-take-bit-of-minus-1)
```

```
lemma word-unsigned:
  shows  $\forall$  b1 v1. (IntVal b1 (word-of-int (int-unsigned-value b1 v1))) = IntVal b1 v1
by simp
```

### 3.1 Arithmetic Operators

We need to introduce arithmetic operations which agree with the JVM.

Within the JVM, bytecode arithmetic operations are performed on 32 or 64 bit integers, unboxing where appropriate.

The following collection of intval functions correspond to the JVM arithmetic operations. We merge the 32 and 64 bit operations into a single function, even though the stamp of each IRNode tells us exactly what the bit widths will be. These merged functions make it easier to do the instan-

tiation of Value as 'plus', etc. It might be worse for reasoning, because it could cause more case analysis, but this does not seem to be a problem in practice.

```
fun intval-add :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-add (IntVal b1 v1) (IntVal b2 v2) =
    (if b1 = b2 then IntVal b1 (take-bit b1 (v1+v2)) else.UndefVal) |
  intval-add - - =.UndefVal
```

```
fun intval-sub :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-sub (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1-v2) |
  intval-sub - - =.UndefVal
```

```
fun intval-mul :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-mul (IntVal b1 v1) (IntVal b2 v2) = new-int-bin b1 b2 (v1*v2) |
  intval-mul - - =.UndefVal
```

```
fun intval-div :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-div (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then.UndefVal else
      new-int-bin b1 b2 (word-of-int
        ((int-signed-value b1 v1) sdiv (int-signed-value b2 v2)))) |
  intval-div - - =.UndefVal
```

```
value intval-div (IntVal 32 5) (IntVal 32 0)
```

```
fun intval-mod :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-mod (IntVal b1 v1) (IntVal b2 v2) =
    (if v2 = 0 then.UndefVal else
      new-int-bin b1 b2 (word-of-int
        ((int-signed-value b1 v1) smod (int-signed-value b2 v2)))) |
  intval-mod - - =.UndefVal
```

```
fun intval-mul-high :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-mul-high (IntVal b1 v1) (IntVal b2 v2) = (
    if (b1 = b2  $\wedge$  b1 = 64) then (
      if (((int-signed-value b1 v1) < 0)  $\vee$  ((int-signed-value b2 v2) < 0))
      then (
        let x1 = (v1 >> 32) in
        let x2 = (and v1 4294967295) in
        let y1 = (v2 >> 32) in
        let y2 = (and v2 4294967295) in
        let z2 = (x2 * y2) in
```



```

    let t = (x1 * y2 + (z2 >>> 32)) in
    let z1 = (and t 4294967295) in
    let z0 = (t >> 32) in
    let z1 = (z1 + (x2 * y1)) in

    let result = (x1 * y1 + z0 + (z1 >> 32)) in

    (new-int b1 result)
  ) else (

    let x1 = (v1 >>> 32) in
    let y1 = (v2 >>> 32) in
    let x2 = (and v1 4294967295) in
    let y2 = (and v2 4294967295) in
    let A = (x1 * y1) in
    let B = (x2 * y2) in
    let C = ((x1 + x2) * (y1 + y2)) in
    let K = (C - A - B) in

    let result = (((B >>> 32) + K) >>> 32) + A in

    (new-int b1 result)
  )
) else (
  if (b1 = b2 ∧ b1 = 32) then (

    let newv1 = (word-of-int (int-signed-value b1 v1)) in
    let newv2 = (word-of-int (int-signed-value b1 v2)) in
    let r = (newv1 * newv2) in

    let result = (r >> 32) in

    (new-int b1 result)
  ) else UndefVal
) |
intval-mul-high - - = UndefVal

fun intval-reverse-bytes :: Value ⇒ Value where
  intval-reverse-bytes (IntVal b1 v1) = (new-int b1 (reverseBytes-fun v1 b1 0)) |
  intval-reverse-bytes - = UndefVal

fun intval-bit-count :: Value ⇒ Value where
  intval-bit-count (IntVal b1 v1) = (new-int 32 (word-of-nat (bitCount-fun v1 64)))
  |
  intval-bit-count - = UndefVal

fun intval-negate :: Value ⇒ Value where
  intval-negate (IntVal t v) = new-int t (- v) |

```

*intval-negate* - = *UndefVal*

**fun** *intval-abs* :: *Value*  $\Rightarrow$  *Value* **where**  
  *intval-abs* (*IntVal* *t v*) = *new-int* *t* (if *int-signed-value* *t v* < 0 then - *v* else *v*) |  
  *intval-abs* - = *UndefVal*

TODO: clarify which widths this should work on: just 1-bit or all?

**fun** *intval-logic-negation* :: *Value*  $\Rightarrow$  *Value* **where**  
  *intval-logic-negation* (*IntVal* *b v*) = *new-int* *b* (*logic-negate* *v*) |  
  *intval-logic-negation* - = *UndefVal*

### 3.2 Bitwise Operators

**fun** *intval-and* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-and* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*and* *v1 v2*) |  
  *intval-and* - - = *UndefVal*

**fun** *intval-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*or* *v1 v2*) |  
  *intval-or* - - = *UndefVal*

**fun** *intval-xor* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-xor* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *new-int-bin* *b1 b2* (*xor* *v1 v2*) |  
  *intval-xor* - - = *UndefVal*

**fun** *intval-not* :: *Value*  $\Rightarrow$  *Value* **where**  
  *intval-not* (*IntVal* *t v*) = *new-int* *t* (*not* *v*) |  
  *intval-not* - = *UndefVal*

### 3.3 Comparison Operators

**fun** *intval-short-circuit-or* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-short-circuit-or* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (((*v1*  
   $\neq 0$ )  $\vee$  (*v2*  $\neq 0$ ))) |  
  *intval-short-circuit-or* - - = *UndefVal*

**fun** *intval-equals* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-equals* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* = *v2*) |  
  *intval-equals* - - = *UndefVal*

**fun** *intval-less-than* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-less-than* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) =  
    *bool-to-val-bin* *b1 b2* (*int-signed-value* *b1 v1* < *int-signed-value* *b2 v2*) |  
  *intval-less-than* - - = *UndefVal*

**fun** *intval-below* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *Value* **where**  
  *intval-below* (*IntVal* *b1 v1*) (*IntVal* *b2 v2*) = *bool-to-val-bin* *b1 b2* (*v1* < *v2*) |  
  *intval-below* - - = *UndefVal*

```

fun intval-conditional :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-conditional cond tv fv = (if (val-to-bool cond) then tv else fv)

fun intval-is-null :: Value  $\Rightarrow$  Value where
  intval-is-null (ObjRef (v)) = (if (v=(None)) then bool-to-val True else bool-to-val
False) |
  intval-is-null - = UndefVal

fun intval-test :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-test (IntVal b1 v1) (IntVal b2 v2) = bool-to-val-bin b1 b2 ((and v1 v2) =
0) |
  intval-test - - = UndefVal

fun intval-normalize-compare :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-normalize-compare (IntVal b1 v1) (IntVal b2 v2) =
    (if (b1 = b2) then new-int 32 (if (v1 < v2) then -1 else (if (v1 = v2) then 0
else 1))
      else UndefVal) |
  intval-normalize-compare - - = UndefVal

fun find-index :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

definition default-values :: Value list where
  default-values = [new-int 32 0, new-int 64 0, ObjRef None]

definition short-types-32 :: string list where
  short-types-32 = ["Z", "I", "C", "B", "S"]

definition short-types-64 :: string list where
  short-types-64 = ["J"]

fun default-value :: string  $\Rightarrow$  Value where
  default-value n = (if (find-index n short-types-32) < (length short-types-32)
    then (default-values!0) else
    (if (find-index n short-types-64) < (length short-types-64)
      then (default-values!1)
      else (default-values!2)))

fun populate-array :: nat  $\Rightarrow$  Value list  $\Rightarrow$  string  $\Rightarrow$  Value list where
  populate-array len a s = (if (len = 0) then (a)
    else (a @ (populate-array (len-1) [default-value s] s)))

fun intval-new-array :: Value  $\Rightarrow$  string  $\Rightarrow$  Value where

```

```

    intval-new-array (IntVal b1 v1) s = (ArrayVal (nat (int-signed-value b1 v1))
      (populate-array (nat (int-signed-value b1 v1)) [] s)) |
    intval-new-array - - = UndefVal

fun intval-load-index :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-load-index (ArrayVal len cons) (IntVal b1 v1) = (if (v1  $\geq$  (word-of-nat
      len)) then (UndefVal)
      else (cons!(nat (int-signed-value b1
        v1)))) |
    intval-load-index - - = UndefVal

fun intval-store-index :: Value  $\Rightarrow$  Value  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-store-index (ArrayVal len cons) (IntVal b1 v1) val =
      (if (v1  $\geq$  (word-of-nat len)) then (UndefVal)
        else (ArrayVal len (list-update cons (nat (int-signed-value b1
          v1)) (val)))) |
    intval-store-index - - - = UndefVal

lemma intval-equals-result:
  assumes intval-equals v1 v2 = r
  assumes r  $\neq$  UndefVal
  shows r = IntVal 32 0  $\vee$  r = IntVal 32 1
proof -
  obtain b1 i1 where i1: v1 = IntVal b1 i1
  by (metis assms intval-bits.elims intval-equals.simps(2,3,4,5))
  obtain b2 i2 where i2: v2 = IntVal b2 i2
  by (smt (z3) assms intval-equals.elims)
  then have b1 = b2
  by (metis i1 assms bool-to-val-bin.elims intval-equals.simps(1))
  then show ?thesis
  using assms(1) bool-to-val.elims i1 i2 by auto
qed

```

### 3.4 Narrowing and Widening Operators

Note: we allow these operators to have `inBits=outBits`, because the Graal compiler also seems to allow that case, even though it should rarely / never arise in practice.

Some sanity checks that `take_bitN` and `signed_take_bit(N-1)` match up as expected.

```

corollary sint (signed-take-bit 0 (1 :: int32)) = -1 by code-simp
corollary sint (signed-take-bit 7 ((256 + 128) :: int64)) = -128 by code-simp
corollary sint (take-bit 7 ((256 + 128 + 64) :: int64)) = 64 by code-simp
corollary sint (take-bit 8 ((256 + 128 + 64) :: int64)) = 128 + 64 by code-simp

```

```

fun intval-narrow :: nat  $\Rightarrow$  nat  $\Rightarrow$  Value  $\Rightarrow$  Value where
    intval-narrow inBits outBits (IntVal b v) =
      (if inBits = b  $\wedge$  0 < outBits  $\wedge$  outBits  $\leq$  inBits  $\wedge$  inBits  $\leq$  64

```

```

    then new-int outBits v
  else UndefVal) |
  intval-narrow - - - = UndefVal

```

```

fun intval-sign-extend :: nat  $\Rightarrow$  nat  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-sign-extend inBits outBits (IntVal b v) =
    (if inBits = b  $\wedge$  0 < inBits  $\wedge$  inBits  $\leq$  outBits  $\wedge$  outBits  $\leq$  64
     then new-int outBits (signed-take-bit (inBits - 1) v)
     else UndefVal) |
  intval-sign-extend - - - = UndefVal

```

```

fun intval-zero-extend :: nat  $\Rightarrow$  nat  $\Rightarrow$  Value  $\Rightarrow$  Value where
  intval-zero-extend inBits outBits (IntVal b v) =
    (if inBits = b  $\wedge$  0 < inBits  $\wedge$  inBits  $\leq$  outBits  $\wedge$  outBits  $\leq$  64
     then new-int outBits (take-bit inBits v)
     else UndefVal) |
  intval-zero-extend - - - = UndefVal

```

Some well-formedness results to help reasoning about narrowing and widening operators

**lemma** *intval-narrow-ok*:

```

assumes intval-narrow inBits outBits val  $\neq$  UndefVal
shows 0 < outBits  $\wedge$  outBits  $\leq$  inBits  $\wedge$  inBits  $\leq$  64  $\wedge$  outBits  $\leq$  64  $\wedge$ 
  is-IntVal val  $\wedge$ 
  intval-bits val = inBits
using assms apply (cases val; auto) apply (meson le-trans)+ by presburger

```

**lemma** *intval-sign-extend-ok*:

```

assumes intval-sign-extend inBits outBits val  $\neq$  UndefVal
shows 0 < inBits  $\wedge$ 
  inBits  $\leq$  outBits  $\wedge$  outBits  $\leq$  64  $\wedge$ 
  is-IntVal val  $\wedge$ 
  intval-bits val = inBits
by (metis intval-bits.simps intval-sign-extend.elims is-IntVal-def assms)

```

**lemma** *intval-zero-extend-ok*:

```

assumes intval-zero-extend inBits outBits val  $\neq$  UndefVal
shows 0 < inBits  $\wedge$ 
  inBits  $\leq$  outBits  $\wedge$  outBits  $\leq$  64  $\wedge$ 
  is-IntVal val  $\wedge$ 
  intval-bits val = inBits
by (metis intval-bits.simps intval-zero-extend.elims is-IntVal-def assms)

```

### 3.5 Bit-Shifting Operators

Note that Java shift operators use unary numeric promotion, unlike other binary operators, which use binary numeric promotion (see the Java language reference manual). This means that the left-hand input determines the output size, while the right-hand input can be any size.

```

fun shift-amount :: iwidth ⇒ int64 ⇒ nat where
  shift-amount b val = unat (and val (if b = 64 then 0x3F else 0x1f))

fun intval-left-shift :: Value ⇒ Value ⇒ Value where
  intval-left-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 << shift-amount
b1 v2) |
  intval-left-shift - - = UndefVal

```

Signed shift is more complex, because we sometimes have to insert 1 bits at the correct point, which is at b1 bits.

```

fun intval-right-shift :: Value ⇒ Value ⇒ Value where
  intval-right-shift (IntVal b1 v1) (IntVal b2 v2) =
    (let shift = shift-amount b1 v2 in
     let ones = and (mask b1) (not (mask (b1 - shift) :: int64)) in
     (if int-signed-value b1 v1 < 0
      then new-int b1 (or ones (v1 >>> shift))
      else new-int b1 (v1 >>> shift))) |
  intval-right-shift - - = UndefVal

fun intval-uright-shift :: Value ⇒ Value ⇒ Value where
  intval-uright-shift (IntVal b1 v1) (IntVal b2 v2) = new-int b1 (v1 >>> shift-amount
b1 v2) |
  intval-uright-shift - - = UndefVal

```

### 3.5.1 Examples of Narrowing / Widening Functions

**experiment begin**

**corollary** *intval-narrow 32 8 (IntVal 32 (256 + 128)) = IntVal 8 128 by simp*

**corollary** *intval-narrow 32 8 (IntVal 32 (-2)) = IntVal 8 254 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-2)) = IntVal 1 0 by simp*

**corollary** *intval-narrow 32 1 (IntVal 32 (-3)) = IntVal 1 1 by simp*

**corollary** *intval-narrow 32 8 (IntVal 64 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 32 (-2)) = UndefVal by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 254) = IntVal 8 254 by simp*

**corollary** *intval-narrow 64 8 (IntVal 64 (256+127)) = IntVal 8 127 by simp*

**corollary** *intval-narrow 64 64 (IntVal 64 (-2)) = IntVal 64 (-2) by simp*

**end**

**experiment begin**

**corollary** *intval-sign-extend 8 32 (IntVal 8 (256 + 128)) = IntVal 32 (2<sup>32</sup> - 128) by simp*

**corollary** *intval-sign-extend 8 32 (IntVal 8 (-2)) = IntVal 32 (2<sup>32</sup> - 2) by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-2)) = IntVal 32 0 by simp*

**corollary** *intval-sign-extend 1 32 (IntVal 1 (-3)) = IntVal 32 (mask 32) by simp*

**corollary** *intval-sign-extend 8 32 (IntVal 64 254) = UndefVal by simp*

**corollary** *intval-sign-extend* 8 64 (*IntVal* 32 254) = *UndefVal* **by** *simp*  
**corollary** *intval-sign-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 (-2) **by** *simp*  
**corollary** *intval-sign-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 (-2) **by** *simp*  
**corollary** *intval-sign-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (256 + 128)) = *IntVal* 32 128 **by** *simp*  
**corollary** *intval-zero-extend* 8 32 (*IntVal* 8 (-2)) = *IntVal* 32 254 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-1)) = *IntVal* 32 1 **by** *simp*  
**corollary** *intval-zero-extend* 1 32 (*IntVal* 1 (-2)) = *IntVal* 32 0 **by** *simp*

**corollary** *intval-zero-extend* 8 32 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 64 (-2)) = *UndefVal* **by** *simp*  
**corollary** *intval-zero-extend* 8 64 (*IntVal* 8 254) = *IntVal* 64 254 **by** *simp*  
**corollary** *intval-zero-extend* 32 64 (*IntVal* 32 ( $2^{32} - 2$ )) = *IntVal* 64 ( $2^{32} - 2$ ) **by** *simp*  
**corollary** *intval-zero-extend* 64 64 (*IntVal* 64 (-2)) = *IntVal* 64 (-2) **by** *simp*  
**end**

**experiment begin**

**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 0) = *IntVal* 8 128 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 1) = *IntVal* 8 192 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 2) = *IntVal* 8 224 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 8) = *IntVal* 8 255 **by** *eval*  
**corollary** *intval-right-shift* (*IntVal* 8 128) (*IntVal* 8 31) = *IntVal* 8 255 **by** *eval*  
**end**

**lemma** *intval-add-sym*:

**shows** *intval-add* a b = *intval-add* b a  
**by** (*induction* a; *induction* b; *auto simp: add.commute*)

**lemma** *intval-add* (*IntVal* 32 ( $2^{31}-1$ )) (*IntVal* 32 ( $2^{31}-1$ )) = *IntVal* 32 ( $2^{32} - 2$ )  
**by** *eval*  
**lemma** *intval-add* (*IntVal* 64 ( $2^{31}-1$ )) (*IntVal* 64 ( $2^{31}-1$ )) = *IntVal* 64 4294967294  
**by** *eval*  
**end**

### 3.6 Fixed-width Word Theories

```
theory ValueThms
  imports Values
begin
```

#### 3.6.1 Support Lemmas for Upper/Lower Bounds

```
lemma size32: size v = 32 for v :: 32 word
  by (smt (verit, del-Insts) size-word.rep-eq numeral-Bit0 numeral-2-eq-2 mult-Suc-right
    One-nat-def
    mult.commute len-of-numeral-defs(2,3) mult.right-neutral)
```

```
lemma size64: size v = 64 for v :: 64 word
  by (simp add: size64)
```

```
lemma lower-bounds-equiv:
  assumes 0 < N
  shows -(((2::int) ^ (N-1))) = (2::int) ^ N div 2 * - 1
  by (simp add: asms int-power-div-base)
```

```
lemma upper-bounds-equiv:
  assumes 0 < N
  shows (2::int) ^ (N-1) = (2::int) ^ N div 2
  by (simp add: asms int-power-div-base)
```

Some min/max bounds for 64-bit words

```
lemma bit-bounds-min64: ((fst (bit-bounds 64))) ≤ (sint (v::int64))
  using sint-ge[of v] by simp
```

```
lemma bit-bounds-max64: ((snd (bit-bounds 64))) ≥ (sint (v::int64))
  using sint-lt[of v] by simp
```

Extend these min/max bounds to extracting smaller signed words using *signed\_take\_bit*.

Note: we could use *signed* to convert between bit-widths, instead of *signed\_take\_bit*. But that would have to be done separately for each bit-width type.

```
value sint(signed-take-bit 7 (128 :: int8))
```

```
ML-val <@{thm signed-take-bit-decr-length-iff}>
declare [[show-types=true]]
ML-val <@{thm signed-take-bit-int-less-exp}>
```

```
lemma signed-take-bit-int-less-exp-word:
  fixes ival :: 'a :: len word
  assumes n < LENGTH('a)
  shows sint(signed-take-bit n ival) < (2::int) ^ n
```



**apply** *transfer*  
**by** (*smt* (*verit*) *not-take-bit-negative signed-take-bit-eq-take-bit-shift*  
*signed-take-bit-int-less-exp take-bit-int-greater-self-iff*)

**lemma** *signed-take-bit-int-greater-eq-minus-exp-word*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $-(2^n) \leq \text{sint}(\text{signed-take-bit } n \text{ ival})$   
**using** *signed-take-bit-int-greater-eq-minus-exp-word assms* **by** *blast*

**lemma** *signed-take-bit-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < \text{LENGTH}('a)$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } n \text{ ival})$   
**shows**  $-(2^n) \leq \text{val} \wedge \text{val} < 2^n$   
**by** (*auto simp add: assms signed-take-bit-int-greater-eq-minus-exp-word*  
*signed-take-bit-int-less-exp-word*)

A *bit\_bounds* version of the above lemma.

**lemma** *signed-take-bit-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n \leq \text{LENGTH}('a)$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**by** (*metis bit-bounds.simps fst-conv less-imp-diff-less nat-less-le sint-ge sint-lt*  
*snd-conv*  
*zle-diff1-eq upper-bounds-equiv lower-bounds-equiv signed-take-bit-range assms*)

**lemma** *signed-take-bit-bounds64*:  
**fixes** *ival* :: int64  
**assumes**  $n \leq 64$   
**assumes**  $0 < n$   
**assumes**  $\text{val} = \text{sint}(\text{signed-take-bit } (n - 1) \text{ ival})$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{val} \wedge \text{val} \leq \text{snd } (\text{bit-bounds } n)$   
**by** (*metis size64 word-size signed-take-bit-bounds assms*)

**lemma** *int-signed-value-bounds*:  
**assumes**  $b1 \leq 64$   
**assumes**  $0 < b1$   
**shows**  $\text{fst } (\text{bit-bounds } b1) \leq \text{int-signed-value } b1 \text{ v2} \wedge$   
 $\text{int-signed-value } b1 \text{ v2} \leq \text{snd } (\text{bit-bounds } b1)$   
**using** *signed-take-bit-bounds64* **by** (*simp add: assms*)

**lemma** *int-signed-value-range*:  
**fixes** *ival* :: int64  
**assumes**  $\text{val} = \text{int-signed-value } n \text{ ival}$   
**shows**  $-(2^{(n - 1)}) \leq \text{val} \wedge \text{val} < 2^{(n - 1)}$

**using** *assms int-signed-value-range* **by** *blast*

Some lemmas about unsigned words smaller than 64-bit, for zero-extend operators.

**lemma** *take-bit-smaller-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n < \text{LENGTH}('a)$   
**assumes**  $\text{val} = \text{sint}(\text{take-bit } n \text{ ival})$   
**shows**  $0 \leq \text{val} \wedge \text{val} < (2::\text{int})^{\wedge} n$   
**by** (*simp add: assms signed-take-bit-eq*)

**lemma** *take-bit-same-size-nochange*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**shows**  $\text{ival} = \text{take-bit } n \text{ ival}$   
**by** (*simp add: assms*)

A simplification lemma for *new\_int*, showing that upper bits can be ignored.

**lemma** *take-bit-redundant*[*simp*]:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $0 < n$   
**assumes**  $n < \text{LENGTH}('a)$   
**shows**  $\text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ ival}) = \text{signed-take-bit } (n - 1) \text{ ival}$   
**proof** –  
**have**  $\neg (n \leq n - 1)$   
**using** *assms* **by** *simp*  
**then have**  $\bigwedge i. \text{signed-take-bit } (n - 1) (\text{take-bit } n \text{ i}) = \text{signed-take-bit } (n - 1) \text{ i}$   
**by** (*metis (mono-tags) signed-take-bit-take-bit*)  
**then show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *take-bit-same-size-range*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $\text{ival2} = \text{take-bit } n \text{ ival}$   
**shows**  $-(2^{\wedge} n \text{ div } 2) \leq \text{sint ival2} \wedge \text{sint ival2} < 2^{\wedge} n \text{ div } 2$   
**using** *lower-bounds-equiv sint-ge sint-lt* **by** (*auto simp add: assms*)

**lemma** *take-bit-same-bounds*:  
**fixes** *ival* :: 'a :: len word  
**assumes**  $n = \text{LENGTH}('a)$   
**assumes**  $\text{ival2} = \text{take-bit } n \text{ ival}$   
**shows**  $\text{fst } (\text{bit-bounds } n) \leq \text{sint ival2} \wedge \text{sint ival2} \leq \text{snd } (\text{bit-bounds } n)$   
**using** *assms take-bit-same-size-range* **by** *force*

Next we show that casting a word to a wider word preserves any upper/lower bounds. (These lemmas may not be needed any more, since we are not using *scast* now?)

**lemma** *scast-max-bound*:  
**assumes**  $\text{sint } (v :: 'a :: \text{len word}) < M$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $\text{sint } ((\text{scast } v) :: 'b :: \text{len word}) < M$   
**using** *scast-max-bound assms* **by** *fast*

**lemma** *scast-min-bound*:  
**assumes**  $M \leq \text{sint } (v :: 'a :: \text{len word})$   
**assumes**  $\text{LENGTH}('a) < \text{LENGTH}('b)$   
**shows**  $M \leq \text{sint } ((\text{scast } v) :: 'b :: \text{len word})$   
**by** (*simp add: scast-min-bound assms*)

**lemma** *scast-bigger-max-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{sint result} < 2^{\text{LENGTH}('a) \text{ div } 2}$   
**using** *assms scast-bigger-max-bound* **by** *blast*

**lemma** *scast-bigger-min-bound*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $-(2^{\text{LENGTH}('a) \text{ div } 2}) \leq \text{sint result}$   
**using** *scast-bigger-min-bound assms* **by** *blast*

**lemma** *scast-bigger-bit-bounds*:  
**assumes**  $(\text{result} :: 'b :: \text{len word}) = \text{scast } (v :: 'a :: \text{len word})$   
**shows**  $\text{fst } (\text{bit-bounds } (\text{LENGTH}('a))) \leq \text{sint result} \wedge \text{sint result} \leq \text{snd } (\text{bit-bounds } (\text{LENGTH}('a)))$   
**by** (*auto simp add: scast-bigger-max-bound scast-bigger-min-bound assms*)

Results about *new\_int*.

**lemma** *new-int-take-bits*:  
**assumes**  $\text{IntVal } b \text{ val} = \text{new-int } b \text{ ival}$   
**shows**  $\text{take-bit } b \text{ val} = \text{val}$   
**using** *assms* **by** *simp*

### 3.6.2 Support lemmas for take bit and signed take bit.

Lemmas for removing redundant take\_bit wrappers.

**lemma** *take-bit-dist-addL[simp]*:  
**fixes**  $x :: 'a :: \text{len word}$   
**shows**  $\text{take-bit } b (\text{take-bit } b \ x + y) = \text{take-bit } b (x + y)$   
**proof** (*induction b*)  
**case** 0  
**then show** ?*case*  
**by** *simp*  
**next**  
**case** (*Suc b*)  
**then show** ?*case*  
**by** (*simp add: add.commute mask-eqs(2) take-bit-eq-mask*)

qed

**lemma** *take-bit-dist-addR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x + \text{take-bit } b y) = \text{take-bit } b (x + y)$

**by** (*metis add.commute take-bit-dist-addL*)

**lemma** *take-bit-dist-subL[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (\text{take-bit } b x - y) = \text{take-bit } b (x - y)$

**by** (*metis take-bit-dist-addR uminus-add-conv-diff*)

**lemma** *take-bit-dist-subR[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (x - \text{take-bit } b y) = \text{take-bit } b (x - y)$

**by** (*metis (no-types) take-bit-dist-subL diff-add-cancel diff-right-commute diff-self*)

**lemma** *take-bit-dist-neg[simp]*:

**fixes**  $ix :: 'a :: \text{len word}$

**shows**  $\text{take-bit } b (- \text{take-bit } b (ix)) = \text{take-bit } b (- ix)$

**by** (*metis diff-0 take-bit-dist-subR*)

**lemma** *signed-take-take-bit[simp]*:

**fixes**  $x :: 'a :: \text{len word}$

**assumes**  $0 < b$

**shows**  $\text{signed-take-bit } (b - 1) (\text{take-bit } b x) = \text{signed-take-bit } (b - 1) x$

**using** *signed-take-take-bit assms* **by** *blast*

**lemma** *mod-larger-ignore*:

**fixes**  $a :: \text{int}$

**fixes**  $m n :: \text{nat}$

**assumes**  $n < m$

**shows**  $(a \bmod 2^m) \bmod 2^n = a \bmod 2^n$

**using** *mod-larger-ignore assms* **by** *blast*

**lemma** *mod-dist-over-add*:

**fixes**  $a b c :: \text{int64}$

**fixes**  $n :: \text{nat}$

**assumes**  $1: 0 < n$

**assumes**  $2: n < 64$

**shows**  $(a \bmod 2^n + b) \bmod 2^n = (a + b) \bmod 2^n$

**proof** –

**have**  $3: (0 :: \text{int64}) < 2^n$

**by** (*simp add: size64 word-2p-lem assms*)

**then show** *?thesis*

**unfolding** *word-mod-2p-is-mask[OF 3]* **apply** *transfer*

**by** (*metis (no-types, opaque-lifting) and.right-idem take-bit-add take-bit-eq-mask*)

qed

end

## 4 Stamp Typing

```
theory Stamp
  imports Values
begin
```

The GraalVM compiler uses the Stamp class to store range and type information for a given node in the IR graph. We model the Stamp class as a datatype, Stamp, and provide a number of functions on the datatype which correspond to the class methods within the compiler.

Stamp information is used in a variety of ways in optimizations, and so, we additionally provide a number of lemmas which help to prove future optimizations.

```
datatype Stamp =
  VoidStamp
| IntegerStamp (stp-bits: nat) (stpi-lower: int) (stpi-upper: int)

| KlassPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodCountersPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| MethodPointersStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| ObjectStamp (stp-type: string) (stp-exactType: bool) (stp-nonNull: bool) (stp-alwaysNull:
bool)
| RawPointerStamp (stp-nonNull: bool) (stp-alwaysNull: bool)
| IllegalStamp
```

To help with supporting masks in future, this constructor allows masks but ignores them.

```
abbreviation IntegerStampM :: nat  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  int64  $\Rightarrow$  int64  $\Rightarrow$  Stamp
where
  IntegerStampM b lo hi down up  $\equiv$  IntegerStamp b lo hi
```

```
fun is-stamp-empty :: Stamp  $\Rightarrow$  bool where
  is-stamp-empty (IntegerStamp b lower upper) = (upper < lower) |

  is-stamp-empty x = False
```

Just like the IntegerStamp class, we need to know that our lo/hi bounds fit into the given number of bits (either signed or unsigned). Our integer stamps have infinite lo/hi bounds, so if the lower bound is non-negative, we can assume that all values are positive, and the integer bits of a related value can be interpreted as unsigned. This is similar (but slightly more general) to what IntegerStamp.java does with its test: if (sameSignBounds()) in the unsignedUpperBound() method.

Note that this is a bit different and more accurate than what `StampFactory.forUnsignedInteger` does (it widens large unsigned ranges to the max signed range to allow all bit patterns) because its lo/hi values are only 64-bit.

```
fun valid-stamp :: Stamp  $\Rightarrow$  bool where
  valid-stamp (IntegerStamp bits lo hi) =
    (0 < bits  $\wedge$  bits  $\leq$  64  $\wedge$ 
     fst (bit-bounds bits)  $\leq$  lo  $\wedge$  lo  $\leq$  snd (bit-bounds bits)  $\wedge$ 
     fst (bit-bounds bits)  $\leq$  hi  $\wedge$  hi  $\leq$  snd (bit-bounds bits)) |
  valid-stamp s = True
```

**experiment begin**

```
corollary bit-bounds 1 = (-1, 0) by simp
end
```

— A stamp which includes the full range of the type

```
fun unrestricted-stamp :: Stamp  $\Rightarrow$  Stamp where
  unrestricted-stamp VoidStamp = VoidStamp |
  unrestricted-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (fst
    (bit-bounds bits)) (snd (bit-bounds bits))) |

  unrestricted-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
    False False) |
  unrestricted-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
    False False) |
  unrestricted-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
    False False) |
  unrestricted-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
    "" False False False) |
  unrestricted-stamp - = IllegalStamp
```

**fun** *is-stamp-unrestricted* :: *Stamp*  $\Rightarrow$  *bool* **where**

```
is-stamp-unrestricted s = (s = unrestricted-stamp s)
```

— A stamp which provides type information but has an empty range of values

```
fun empty-stamp :: Stamp  $\Rightarrow$  Stamp where
  empty-stamp VoidStamp = VoidStamp |
  empty-stamp (IntegerStamp bits lower upper) = (IntegerStamp bits (snd (bit-bounds
    bits)) (fst (bit-bounds bits))) |
```

```
  empty-stamp (KlassPointerStamp nonNull alwaysNull) = (KlassPointerStamp
    nonNull alwaysNull) |
  empty-stamp (MethodCountersPointerStamp nonNull alwaysNull) = (MethodCountersPointerStamp
    nonNull alwaysNull) |
```

```

    empty-stamp (MethodPointersStamp nonNull alwaysNull) = (MethodPointersStamp
nonNull alwaysNull) |
    empty-stamp (ObjectStamp type exactType nonNull alwaysNull) = (ObjectStamp
"" True True False) |
    empty-stamp stamp = IllegalStamp

```

— Calculate the meet stamp of two stamps

```

fun meet :: Stamp ⇒ Stamp ⇒ Stamp where
    meet VoidStamp VoidStamp = VoidStamp |
    meet (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (min l1 l2) (max u1 u2))
    ) |

    meet (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        KlassPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp
nn2 an2) = (
        MethodCountersPointerStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        MethodPointersStamp (nn1 ∧ nn2) (an1 ∧ an2)
    ) |
    meet s1 s2 = IllegalStamp

```

— Calculate the join stamp of two stamps

```

fun join :: Stamp ⇒ Stamp ⇒ Stamp where
    join VoidStamp VoidStamp = VoidStamp |
    join (IntegerStamp b1 l1 u1) (IntegerStamp b2 l2 u2) = (
        if b1 ≠ b2 then IllegalStamp else
        (IntegerStamp b1 (max l1 l2) (min u1 u2))
    ) |

    join (KlassPointerStamp nn1 an1) (KlassPointerStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (KlassPointerStamp nn1 an1))
        else (KlassPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    ) |
    join (MethodCountersPointerStamp nn1 an1) (MethodCountersPointerStamp nn2
an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (MethodCountersPointerStamp nn1 an1))
        else (MethodCountersPointerStamp (nn1 ∨ nn2) (an1 ∨ an2))
    ) |
    join (MethodPointersStamp nn1 an1) (MethodPointersStamp nn2 an2) = (
        if ((nn1 ∨ nn2) ∧ (an1 ∨ an2))
        then (empty-stamp (MethodPointersStamp nn1 an1))

```

```

    else (MethodPointersStamp (nn1 ∨ nn2) (an1 ∨ an2))
  ) |
  join s1 s2 = IllegalStamp

```

— In certain circumstances a stamp provides enough information to evaluate a value as a stamp, the `asConstant` function converts the stamp to a value where one can be inferred.

```

fun asConstant :: Stamp ⇒ Value where
  asConstant (IntegerStamp b l h) = (if l = h then IntVal b (word-of-int l) else
  UndefVal) |
  asConstant - = UndefVal

```

— Determine if two stamps never have value overlaps i.e. their join is empty

```

fun alwaysDistinct :: Stamp ⇒ Stamp ⇒ bool where
  alwaysDistinct stamp1 stamp2 = is-stamp-empty (join stamp1 stamp2)

```

— Determine if two stamps must always be the same value i.e. two equal constants

```

fun neverDistinct :: Stamp ⇒ Stamp ⇒ bool where
  neverDistinct stamp1 stamp2 = (asConstant stamp1 = asConstant stamp2 ∧
  asConstant stamp1 ≠ UndefVal)

```

```

fun constantAsStamp :: Value ⇒ Stamp where
  constantAsStamp (IntVal b v) = (IntegerStamp b (int-signed-value b v) (int-signed-value
  b v)) |
  constantAsStamp (ObjRef (None)) = ObjectStamp "" False False True |
  constantAsStamp (ObjRef (Some n)) = ObjectStamp "" False True False |

  constantAsStamp - = IllegalStamp

```

— Define when a runtime value is valid for a stamp. The stamp bounds must be valid, and val must be zero-extended.

```

fun valid-value :: Value ⇒ Stamp ⇒ bool where
  valid-value (IntVal b1 val) (IntegerStamp b l h) =
    (if b1 = b then
      valid-stamp (IntegerStamp b l h) ∧
      take-bit b val = val ∧
      l ≤ int-signed-value b val ∧ int-signed-value b val ≤ h
    else False) |

  valid-value (ObjRef ref) (ObjectStamp klass exact nonNull alwaysNull) =
    ((alwaysNull → ref = None) ∧ (ref=None → ¬ nonNull)) |
  valid-value stamp val = False

```

```

definition wf-value :: Value ⇒ bool where

```



*wf-value v = valid-value v (constantAsStamp v)*

**lemma** *unfold-wf-value[simp]:*  
*wf-value v  $\implies$  valid-value v (constantAsStamp v)*  
**by** (*simp add: wf-value-def*)

**fun** *compatible* :: *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *bool* **where**  
*compatible (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =*  
*(b1 = b2  $\wedge$  valid-stamp (IntegerStamp b1 lo1 hi1)  $\wedge$  valid-stamp (IntegerStamp*  
*b2 lo2 hi2)) |*  
*compatible (VoidStamp) (VoidStamp) = True |*  
*compatible - - = False*

**fun** *stamp-under* :: *Stamp*  $\Rightarrow$  *Stamp*  $\Rightarrow$  *bool* **where**  
*stamp-under (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) = (hi1 < lo2) |*  
*stamp-under - - = False*

— The most common type of stamp within the compiler (apart from the VoidStamp) is a 32 bit integer stamp with an unrestricted range. We use *default-stamp* as it is a frequently used stamp.

**definition** *default-stamp* :: *Stamp* **where**  
*default-stamp = (unrestricted-stamp (IntegerStamp 32 0 0))*

**value** *valid-value (IntVal 8 (255)) (IntegerStamp 8 (-128) 127)*  
**end**

## 5 Graph Representation

### 5.1 IR Graph Nodes

**theory** *IRNodes*  
**imports**  
*Values*  
**begin**

The GraalVM IR is represented using a graph data structure. Here we define the nodes that are contained within the graph. Each node represents a Node subclass in the GraalVM compiler, the node classes have annotated fields to indicate input and successor edges.

We represent these classes with each IRNode constructor explicitly labelling a reference to the node IDs that it stores as inputs and successors.

The *inputs\_of* and *successors\_of* functions partition those labelled references into input edges and successor edges of a node.

To identify each Node, we use a simple natural number index. Zero is always the start node in a graph. For human readability, within nodes we write INPUT (or special case thereof) instead of ID for input edges, and SUCC instead of ID for control-flow successor edges. Optional edges are handled

as "INPUT option" etc.

```
datatype IRInvokeKind =  
  Interface | Special | Static | Virtual
```

```
fun isDirect :: IRInvokeKind  $\Rightarrow$  bool where  
  isDirect Interface = False |  
  isDirect Special = True |  
  isDirect Static = True |  
  isDirect Virtual = False
```

```
fun hasReceiver :: IRInvokeKind  $\Rightarrow$  bool where  
  hasReceiver Static = False |  
  hasReceiver - = True
```

```
type-synonym ID = nat  
type-synonym INPUT = ID  
type-synonym INPUT-ASSOC = ID  
type-synonym INPUT-STATE = ID  
type-synonym INPUT-GUARD = ID  
type-synonym INPUT-COND = ID  
type-synonym INPUT-EXT = ID  
type-synonym SUCC = ID
```

```
datatype (discs-sels) IRNode =  
  AbsNode (ir-value: INPUT)  
  | AddNode (ir-x: INPUT) (ir-y: INPUT)  
  | AndNode (ir-x: INPUT) (ir-y: INPUT)  
  | ArrayLengthNode (ir-value: INPUT) (ir-next: SUCC)  
  | BeginNode (ir-next: SUCC)  
  | BitCountNode (ir-value: INPUT)  
  | BytecodeExceptionNode (ir-arguments: INPUT list) (ir-stateAfter-opt: INPUT-STATE  
  option) (ir-next: SUCC)  
  | ConditionalNode (ir-condition: INPUT-COND) (ir-trueValue: INPUT) (ir-falseValue:  
  INPUT)  
  | ConstantNode (ir-const: Value)  
  | ControlFlowAnchorNode (ir-next: SUCC)  
  | DynamicNewArrayNode (ir-elementType: INPUT) (ir-length: INPUT) (ir-voidClass-opt:  
  INPUT option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)  
  | EndNode  
  | ExceptionObjectNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)  
  
  | FixedGuardNode (ir-condition: INPUT-COND) (ir-stateBefore-opt: INPUT-STATE  
  option) (ir-next: SUCC)  
  | FrameState (ir-monitorIds: INPUT-ASSOC list) (ir-outerFrameState-opt: IN-  
  PUT-STATE option) (ir-values-opt: INPUT list option) (ir-virtualObjectMappings-opt:  
  INPUT-STATE list option)
```

| *IfNode* (*ir-condition*: *INPUT-COND*) (*ir-trueSuccessor*: *SUCC*) (*ir-falseSuccessor*: *SUCC*)  
 | *IntegerBelowNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerEqualsNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerLessThanNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerMulHighNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerNormalizeCompareNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *IntegerTestNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *InvokeNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *InvokeWithExceptionNode* (*ir-nid*: *ID*) (*ir-callTarget*: *INPUT-EXT*) (*ir-classInit-opt*: *INPUT option*) (*ir-stateDuring-opt*: *INPUT-STATE option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*) (*ir-exceptionEdge*: *SUCC*)  
 | *IsNullNode* (*ir-value*: *INPUT*)  
 | *KillingBeginNode* (*ir-next*: *SUCC*)  
 | *LeftShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *LoadFieldNode* (*ir-nid*: *ID*) (*ir-field*: *string*) (*ir-object-opt*: *INPUT option*) (*ir-next*: *SUCC*)  
 | *LoadIndexedNode* (*ir-index*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*) (*ir-value*: *INPUT*) (*ir-next*: *SUCC*)  
 | *LogicNegationNode* (*ir-value*: *INPUT-COND*)  
 | *LoopBeginNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-overflowGuard-opt*: *INPUT-GUARD option*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *LoopEndNode* (*ir-loopBegin*: *INPUT-ASSOC*)  
 | *LoopExitNode* (*ir-loopBegin*: *INPUT-ASSOC*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *MergeNode* (*ir-ends*: *INPUT-ASSOC list*) (*ir-stateAfter-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *MethodCallTargetNode* (*ir-targetMethod*: *string*) (*ir-arguments*: *INPUT list*) (*ir-invoke-kind*: *IRInvokeKind*)  
 | *MulNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *NarrowNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)  
 | *NegateNode* (*ir-value*: *INPUT*)  
 | *NewArrayNode* (*ir-length*: *INPUT*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *NewInstanceNode* (*ir-nid*: *ID*) (*ir-instanceClass*: *string*) (*ir-stateBefore-opt*: *INPUT-STATE option*) (*ir-next*: *SUCC*)  
 | *NotNode* (*ir-value*: *INPUT*)  
 | *OrNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *ParameterNode* (*ir-index*: *nat*)  
 | *PiNode* (*ir-object*: *INPUT*) (*ir-guard-opt*: *INPUT-GUARD option*)  
 | *ReturnNode* (*ir-result-opt*: *INPUT option*) (*ir-memoryMap-opt*: *INPUT-EXT option*)  
 | *ReverseBytesNode* (*ir-value*: *INPUT*)  
 | *RightShiftNode* (*ir-x*: *INPUT*) (*ir-y*: *INPUT*)  
 | *ShortCircuitOrNode* (*ir-x*: *INPUT-COND*) (*ir-y*: *INPUT-COND*)  
 | *SignExtendNode* (*ir-inputBits*: *nat*) (*ir-resultBits*: *nat*) (*ir-value*: *INPUT*)  
 | *SignedDivNode* (*ir-nid*: *ID*) (*ir-x*: *INPUT*) (*ir-y*: *INPUT*) (*ir-zeroCheck-opt*: *INPUT-EXT option*)

```

PUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)

| SignedFloatingIntegerDivNode (ir-x: INPUT) (ir-y: INPUT)
| SignedFloatingIntegerRemNode (ir-x: INPUT) (ir-y: INPUT)
| SignedRemNode (ir-nid: ID) (ir-x: INPUT) (ir-y: INPUT) (ir-zeroCheck-opt:
INPUT-GUARD option) (ir-stateBefore-opt: INPUT-STATE option) (ir-next: SUCC)
| StartNode (ir-stateAfter-opt: INPUT-STATE option) (ir-next: SUCC)
| StoreFieldNode (ir-nid: ID) (ir-field: string) (ir-value: INPUT) (ir-stateAfter-opt:
INPUT-STATE option) (ir-object-opt: INPUT option) (ir-next: SUCC)
| StoreIndexedNode (ir-storeCheck: INPUT-GUARD option) (ir-value: ID) (ir-stateAfter-opt:
INPUT-STATE option) (ir-index: INPUT) (ir-guard-opt: INPUT-GUARD option)
(ir-array: INPUT) (ir-next: SUCC)
| SubNode (ir-x: INPUT) (ir-y: INPUT)
| UnsignedRightShiftNode (ir-x: INPUT) (ir-y: INPUT)
| UnwindNode (ir-exception: INPUT)
| ValuePhiNode (ir-nid: ID) (ir-values: INPUT list) (ir-merge: INPUT-ASSOC)
| ValueProxyNode (ir-value: INPUT) (ir-loopExit: INPUT-ASSOC)
| XORNode (ir-x: INPUT) (ir-y: INPUT)
| ZeroExtendNode (ir-inputBits: nat) (ir-resultBits: nat) (ir-value: INPUT)
| NoNode

| RefNode (ir-ref:ID)

```

```

fun opt-to-list :: 'a option ⇒ 'a list where

```

```

  opt-to-list None = [] |
  opt-to-list (Some v) = [v]

```

```

fun opt-list-to-list :: 'a list option ⇒ 'a list where

```

```

  opt-list-to-list None = [] |
  opt-list-to-list (Some x) = x

```

The following functions, `inputs_of` and `successors_of`, are automatically generated from the GraalVM compiler. Their purpose is to partition the node edges into input or successor edges.

```

fun inputs-of :: IRNode ⇒ ID list where

```

```

  inputs-of-AbsNode:
  inputs-of (AbsNode value) = [value] |
  inputs-of-AddNode:
  inputs-of (AddNode x y) = [x, y] |
  inputs-of-AndNode:
  inputs-of (AndNode x y) = [x, y] |
  inputs-of-ArrayLengthNode:
  inputs-of (ArrayLengthNode x next) = [x] |
  inputs-of-BEGINNode:
  inputs-of (BeginNode next) = [] |

```

*inputs-of-BitCountNode:*  
*inputs-of (BitCountNode value) = [value] |*  
*inputs-of-BytecodeExceptionNode:*  
*inputs-of (BytecodeExceptionNode arguments stateAfter next) = arguments @*  
*(opt-to-list stateAfter) |*  
*inputs-of-ConditionalNode:*  
*inputs-of (ConditionalNode condition trueValue falseValue) = [condition, true-*  
*Value, falseValue] |*  
*inputs-of-ConstantNode:*  
*inputs-of (ConstantNode const) = [] |*  
*inputs-of-ControlFlowAnchorNode:*  
*inputs-of (ControlFlowAnchorNode n) = [] |*  
*inputs-of-DynamicNewArrayNode:*  
*inputs-of (DynamicNewArrayNode elementType length0 voidClass stateBefore*  
*next) = [elementType, length0] @ (opt-to-list voidClass) @ (opt-to-list stateBefore)*  
*|*  
*inputs-of-EndNode:*  
*inputs-of (EndNode) = [] |*  
*inputs-of-ExceptionObjectNode:*  
*inputs-of (ExceptionObjectNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-FixedGuardNode:*  
*inputs-of (FixedGuardNode condition stateBefore next) = [condition] |*  
*inputs-of-FrameState:*  
*inputs-of (FrameState monitorIds outerFrameState values virtualObjectMappings)*  
*= monitorIds @ (opt-to-list outerFrameState) @ (opt-list-to-list values) @ (opt-list-to-list*  
*virtualObjectMappings) |*  
*inputs-of-IfNode:*  
*inputs-of (IfNode condition trueSuccessor falseSuccessor) = [condition] |*  
*inputs-of-IntegerBelowNode:*  
*inputs-of (IntegerBelowNode x y) = [x, y] |*  
*inputs-of-IntegerEqualsNode:*  
*inputs-of (IntegerEqualsNode x y) = [x, y] |*  
*inputs-of-IntegerLessThanNode:*  
*inputs-of (IntegerLessThanNode x y) = [x, y] |*  
*inputs-of-IntegerMulHighNode:*  
*inputs-of (IntegerMulHighNode x y) = [x, y] |*  
*inputs-of-IntegerNormalizeCompareNode:*  
*inputs-of (IntegerNormalizeCompareNode x y) = [x, y] |*  
*inputs-of-IntegerTestNode:*  
*inputs-of (IntegerTestNode x y) = [x, y] |*  
*inputs-of-InvokeNode:*  
*inputs-of (InvokeNode nid0 callTarget classInit stateDuring stateAfter next)*  
*= callTarget # (opt-to-list classInit) @ (opt-to-list stateDuring) @ (opt-to-list*  
*stateAfter) |*  
*inputs-of-InvokeWithExceptionNode:*  
*inputs-of (InvokeWithExceptionNode nid0 callTarget classInit stateDuring stateAfter*  
*next exceptionEdge) = callTarget # (opt-to-list classInit) @ (opt-to-list stateDur-*  
*ing) @ (opt-to-list stateAfter) |*  
*inputs-of-IsNullNode:*

*inputs-of* (*IsNullNode value*) = [*value*] |  
*inputs-of-KillingBeginNode*:  
*inputs-of* (*KillingBeginNode next*) = [] |  
*inputs-of-LeftShiftNode*:  
*inputs-of* (*LeftShiftNode x y*) = [*x*, *y*] |  
*inputs-of-LoadFieldNode*:  
*inputs-of* (*LoadFieldNode nid0 field object next*) = (*opt-to-list object*) |  
*inputs-of-LoadIndexedNode*:  
*inputs-of* (*LoadIndexedNode index guard x next*) = [*x*] |  
*inputs-of-LogicNegationNode*:  
*inputs-of* (*LogicNegationNode value*) = [*value*] |  
*inputs-of-LoopBeginNode*:  
*inputs-of* (*LoopBeginNode ends overflowGuard stateAfter next*) = *ends* @ (*opt-to-list overflowGuard*) @ (*opt-to-list stateAfter*) |  
*inputs-of-LoopEndNode*:  
*inputs-of* (*LoopEndNode loopBegin*) = [*loopBegin*] |  
*inputs-of-LoopExitNode*:  
*inputs-of* (*LoopExitNode loopBegin stateAfter next*) = *loopBegin* # (*opt-to-list stateAfter*) |  
*inputs-of-MergeNode*:  
*inputs-of* (*MergeNode ends stateAfter next*) = *ends* @ (*opt-to-list stateAfter*) |  
*inputs-of-MethodCallTargetNode*:  
*inputs-of* (*MethodCallTargetNode targetMethod arguments invoke-kind*) = *arguments* |  
*inputs-of-MulNode*:  
*inputs-of* (*MulNode x y*) = [*x*, *y*] |  
*inputs-of-NarrowNode*:  
*inputs-of* (*NarrowNode inputBits resultBits value*) = [*value*] |  
*inputs-of-NegateNode*:  
*inputs-of* (*NegateNode value*) = [*value*] |  
*inputs-of-NewArrayNode*:  
*inputs-of* (*NewArrayNode length0 stateBefore next*) = *length0* # (*opt-to-list stateBefore*) |  
*inputs-of-NewInstanceNode*:  
*inputs-of* (*NewInstanceNode nid0 instanceClass stateBefore next*) = (*opt-to-list stateBefore*) |  
*inputs-of-NotNode*:  
*inputs-of* (*NotNode value*) = [*value*] |  
*inputs-of-OrNode*:  
*inputs-of* (*OrNode x y*) = [*x*, *y*] |  
*inputs-of-ParameterNode*:  
*inputs-of* (*ParameterNode index*) = [] |  
*inputs-of-PiNode*:  
*inputs-of* (*PiNode object guard*) = *object* # (*opt-to-list guard*) |  
*inputs-of-ReturnNode*:  
*inputs-of* (*ReturnNode result memoryMap*) = (*opt-to-list result*) @ (*opt-to-list memoryMap*) |  
*inputs-of-ReverseBytesNode*:  
*inputs-of* (*ReverseBytesNode value*) = [*value*] |

*inputs-of-RightShiftNode:*  
*inputs-of (RightShiftNode x y) = [x, y] |*  
*inputs-of-ShortCircuitOrNode:*  
*inputs-of (ShortCircuitOrNode x y) = [x, y] |*  
*inputs-of-SignExtendNode:*  
*inputs-of (SignExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-SignedDivNode:*  
*inputs-of (SignedDivNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-SignedFloatingIntegerDivNode:*  
*inputs-of (SignedFloatingIntegerDivNode x y) = [x, y] |*  
*inputs-of-SignedFloatingIntegerRemNode:*  
*inputs-of (SignedFloatingIntegerRemNode x y) = [x, y] |*  
*inputs-of-SignedRemNode:*  
*inputs-of (SignedRemNode nid0 x y zeroCheck stateBefore next) = [x, y] @*  
*(opt-to-list zeroCheck) @ (opt-to-list stateBefore) |*  
*inputs-of-StartNode:*  
*inputs-of (StartNode stateAfter next) = (opt-to-list stateAfter) |*  
*inputs-of-StoreFieldNode:*  
*inputs-of (StoreFieldNode nid0 field value stateAfter object next) = value #*  
*(opt-to-list stateAfter) @ (opt-to-list object) |*  
*inputs-of-StoreIndexedNode:*  
*inputs-of (StoreIndexedNode check val st index guard array nid') = [val, array] |*  
*inputs-of-SubNode:*  
*inputs-of (SubNode x y) = [x, y] |*  
*inputs-of-UnsignedRightShiftNode:*  
*inputs-of (UnsignedRightShiftNode x y) = [x, y] |*  
*inputs-of-UnwindNode:*  
*inputs-of (UnwindNode exception) = [exception] |*  
*inputs-of-ValuePhiNode:*  
*inputs-of (ValuePhiNode nid0 values merge) = merge # values |*  
*inputs-of-ValueProxyNode:*  
*inputs-of (ValueProxyNode value loopExit) = [value, loopExit] |*  
*inputs-of-XorNode:*  
*inputs-of (XorNode x y) = [x, y] |*  
*inputs-of-ZeroExtendNode:*  
*inputs-of (ZeroExtendNode inputBits resultBits value) = [value] |*  
*inputs-of-NoNode: inputs-of (NoNode) = [] |*

*inputs-of-RefNode: inputs-of (RefNode ref) = [ref]*

**fun** *successors-of* :: *IRNode*  $\Rightarrow$  *ID list* **where**

*successors-of-AbsNode:*  
*successors-of (AbsNode value) = [] |*  
*successors-of-AddNode:*  
*successors-of (AddNode x y) = [] |*  
*successors-of-AndNode:*

*successors-of* (*AndNode* *x y*) = [] |  
*successors-of-ArrayLengthNode*:  
*successors-of* (*ArrayLengthNode* *x next*) = [*next*] |  
*successors-of-BeginNode*:  
*successors-of* (*BeginNode* *next*) = [*next*] |  
*successors-of-BitCountNode*:  
*successors-of* (*BitCountNode* *value*) = [] |  
*successors-of-BytecodeExceptionNode*:  
*successors-of* (*BytecodeExceptionNode* *arguments stateAfter next*) = [*next*] |  
*successors-of-ConditionalNode*:  
*successors-of* (*ConditionalNode* *condition trueValue falseValue*) = [] |  
*successors-of-ConstantNode*:  
*successors-of* (*ConstantNode* *const*) = [] |  
*successors-of-ControlFlowAnchorNode*:  
*successors-of* (*ControlFlowAnchorNode* *next*) = [*next*] |  
*successors-of-DynamicNewArrayNode*:  
*successors-of* (*DynamicNewArrayNode* *elementType length0 voidClass stateBefore next*) = [*next*] |  
*successors-of-EndNode*:  
*successors-of* (*EndNode*) = [] |  
*successors-of-ExceptionObjectNode*:  
*successors-of* (*ExceptionObjectNode* *stateAfter next*) = [*next*] |  
*successors-of-FixedGuardNode*:  
*successors-of* (*FixedGuardNode* *condition stateBefore next*) = [*next*] |  
*successors-of-FrameState*:  
*successors-of* (*FrameState* *monitorIds outerFrameState values virtualObjectMappings*) = [] |  
*successors-of-IfNode*:  
*successors-of* (*IfNode* *condition trueSuccessor falseSuccessor*) = [*trueSuccessor*, *falseSuccessor*] |  
*successors-of-IntegerBelowNode*:  
*successors-of* (*IntegerBelowNode* *x y*) = [] |  
*successors-of-IntegerEqualsNode*:  
*successors-of* (*IntegerEqualsNode* *x y*) = [] |  
*successors-of-IntegerLessThanNode*:  
*successors-of* (*IntegerLessThanNode* *x y*) = [] |  
*successors-of-IntegerMulHighNode*:  
*successors-of* (*IntegerMulHighNode* *x y*) = [] |  
*successors-of-IntegerNormalizeCompareNode*:  
*successors-of* (*IntegerNormalizeCompareNode* *x y*) = [] |  
*successors-of-IntegerTestNode*:  
*successors-of* (*IntegerTestNode* *x y*) = [] |  
*successors-of-InvokeNode*:  
*successors-of* (*InvokeNode* *nid0 callTarget classInit stateDuring stateAfter next*) = [*next*] |  
*successors-of-InvokeWithExceptionNode*:  
*successors-of* (*InvokeWithExceptionNode* *nid0 callTarget classInit stateDuring stateAfter next exceptionEdge*) = [*next*, *exceptionEdge*] |  
*successors-of-IsNullNode*:



*successors-of (IsNullNode value) = [] |*  
*successors-of-KillingBeginNode:*  
*successors-of (KillingBeginNode next) = [next] |*  
*successors-of-LeftShiftNode:*  
*successors-of (LeftShiftNode x y) = [] |*  
*successors-of-LoadFieldNode:*  
*successors-of (LoadFieldNode nid0 field object next) = [next] |*  
*successors-of-LoadIndexedNode:*  
*successors-of (LoadIndexedNode index guard x next) = [next] |*  
*successors-of-LogicNegationNode:*  
*successors-of (LogicNegationNode value) = [] |*  
*successors-of-LoopBeginNode:*  
*successors-of (LoopBeginNode ends overflowGuard stateAfter next) = [next] |*  
*successors-of-LoopEndNode:*  
*successors-of (LoopEndNode loopBegin) = [] |*  
*successors-of-LoopExitNode:*  
*successors-of (LoopExitNode loopBegin stateAfter next) = [next] |*  
*successors-of-MergeNode:*  
*successors-of (MergeNode ends stateAfter next) = [next] |*  
*successors-of-MethodCallTargetNode:*  
*successors-of (MethodCallTargetNode targetMethod arguments invoke-kind) = []*  
|  
*successors-of-MulNode:*  
*successors-of (MulNode x y) = [] |*  
*successors-of-NarrowNode:*  
*successors-of (NarrowNode inputBits resultBits value) = [] |*  
*successors-of-NegateNode:*  
*successors-of (NegateNode value) = [] |*  
*successors-of-NewArrayNode:*  
*successors-of (NewArrayNode length0 stateBefore next) = [next] |*  
*successors-of-NewInstanceNode:*  
*successors-of (NewInstanceNode nid0 instanceClass stateBefore next) = [next] |*  
*successors-of-NotNode:*  
*successors-of (NotNode value) = [] |*  
*successors-of-OrNode:*  
*successors-of (OrNode x y) = [] |*  
*successors-of-ParameterNode:*  
*successors-of (ParameterNode index) = [] |*  
*successors-of-PiNode:*  
*successors-of (PiNode object guard) = [] |*  
*successors-of-ReturnNode:*  
*successors-of (ReturnNode result memoryMap) = [] |*  
*successors-of-ReverseBytesNode:*  
*successors-of (ReverseBytesNode value) = [] |*  
*successors-of-RightShiftNode:*  
*successors-of (RightShiftNode x y) = [] |*  
*successors-of-ShortCircuitOrNode:*  
*successors-of (ShortCircuitOrNode x y) = [] |*  
*successors-of-SignExtendNode:*

*successors-of* (*SignExtendNode* *inputBits* *resultBits* *value*) = [] |  
*successors-of-SignedDivNode*:  
*successors-of* (*SignedDivNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-SignedFloatingIntegerDivNode*:  
*successors-of* (*SignedFloatingIntegerDivNode* *x* *y*) = [] |  
*successors-of-SignedFloatingIntegerRemNode*:  
*successors-of* (*SignedFloatingIntegerRemNode* *x* *y*) = [] |  
*successors-of-SignedRemNode*:  
*successors-of* (*SignedRemNode* *nid0* *x* *y* *zeroCheck* *stateBefore* *next*) = [*next*] |  
*successors-of-StartNode*:  
*successors-of* (*StartNode* *stateAfter* *next*) = [*next*] |  
*successors-of-StoreFieldNode*:  
*successors-of* (*StoreFieldNode* *nid0* *field* *value* *stateAfter* *object* *next*) = [*next*] |  
*successors-of-StoreIndexedNode*:  
*successors-of* (*StoreIndexedNode* *check* *val* *st* *index* *guard* *array* *next*) = [*next*] |  
*successors-of-SubNode*:  
*successors-of* (*SubNode* *x* *y*) = [] |  
*successors-of-UnsignedRightShiftNode*:  
*successors-of* (*UnsignedRightShiftNode* *x* *y*) = [] |  
*successors-of-UnwindNode*:  
*successors-of* (*UnwindNode* *exception*) = [] |  
*successors-of-ValuePhiNode*:  
*successors-of* (*ValuePhiNode* *nid0* *values* *merge*) = [] |  
*successors-of-ValueProxyNode*:  
*successors-of* (*ValueProxyNode* *value* *loopExit*) = [] |  
*successors-of-XorNode*:  
*successors-of* (*XorNode* *x* *y*) = [] |  
*successors-of-ZeroExtendNode*:  
*successors-of* (*ZeroExtendNode* *inputBits* *resultBits* *value*) = [] |  
*successors-of-NoNode*: *successors-of* (*NoNode*) = [] |

*successors-of-RefNode*: *successors-of* (*RefNode* *ref*) = [*ref*]

**lemma** *inputs-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = *x* @ [*y*] @ *z*  
**by** *simp*

**lemma** *successors-of* (*FrameState* *x* (*Some* *y*) (*Some* *z*) *None*) = []  
**by** *simp*

**lemma** *inputs-of* (*IfNode* *c* *t* *f*) = [*c*]  
**by** *simp*

**lemma** *successors-of* (*IfNode* *c* *t* *f*) = [*t*, *f*]  
**by** *simp*

**lemma** *inputs-of* (*EndNode*) = [] ∧ *successors-of* (*EndNode*) = []  
**by** *simp*

**end**

## 5.2 IR Graph Node Hierarchy

```
theory IRNodeHierarchy  
imports IRNodes  
begin
```

It is helpful to introduce a node hierarchy into our formalization. Often the GraalVM compiler relies on explicit type checks to determine which operations to perform on a given node, we try to mimic the same functionality by using a suite of predicate functions over the *IRNode* class to determine inheritance.

As one would expect, the function *is*<ClassName>Type will be true if the node parameter is a subclass of the *ClassName* within the GraalVM compiler.

These functions have been automatically generated from the compiler.

```
fun is-EndNode :: IRNode  $\Rightarrow$  bool where  
  is-EndNode EndNode = True |  
  is-EndNode - = False
```

```
fun is-VirtualState :: IRNode  $\Rightarrow$  bool where  
  is-VirtualState n = ((is-FrameState n))
```

```
fun is-BinaryArithmeticNode :: IRNode  $\Rightarrow$  bool where  
  is-BinaryArithmeticNode n = ((is-AddNode n)  $\vee$  (is-AndNode n)  $\vee$  (is-MulNode n)  
 $\vee$  (is-OrNode n)  $\vee$  (is-SubNode n)  $\vee$  (is-XorNode n)  $\vee$  (is-IntegerNormalizeCompareNode  
n)  $\vee$  (is-IntegerMulHighNode n))
```

```
fun is-ShiftNode :: IRNode  $\Rightarrow$  bool where  
  is-ShiftNode n = ((is-LeftShiftNode n)  $\vee$  (is-RightShiftNode n)  $\vee$  (is-UnsignedRightShiftNode  
n))
```

```
fun is-BinaryNode :: IRNode  $\Rightarrow$  bool where  
  is-BinaryNode n = ((is-BinaryArithmeticNode n)  $\vee$  (is-ShiftNode n))
```

```
fun is-AbstractLocalNode :: IRNode  $\Rightarrow$  bool where  
  is-AbstractLocalNode n = ((is-ParameterNode n))
```

```
fun is-IntegerConvertNode :: IRNode  $\Rightarrow$  bool where  
  is-IntegerConvertNode n = ((is-NarrowNode n)  $\vee$  (is-SignExtendNode n)  $\vee$   
(is-ZeroExtendNode n))
```

```
fun is-UnaryArithmeticNode :: IRNode  $\Rightarrow$  bool where  
  is-UnaryArithmeticNode n = ((is-AbsNode n)  $\vee$  (is-NegateNode n)  $\vee$  (is-NotNode  
n)  $\vee$  (is-BitCountNode n)  $\vee$  (is-ReverseBytesNode n))
```

```

fun is-UnaryNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryNode n = ((is-IntegerConvertNode n)  $\vee$  (is-UnaryArithmeticNode n))

fun is-PhiNode :: IRNode  $\Rightarrow$  bool where
  is-PhiNode n = ((is-ValuePhiNode n))

fun is-FloatingGuardedNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingGuardedNode n = ((is-PiNode n))

fun is-UnaryOpLogicNode :: IRNode  $\Rightarrow$  bool where
  is-UnaryOpLogicNode n = ((is-IsNullNode n))

fun is-IntegerLowerThanNode :: IRNode  $\Rightarrow$  bool where
  is-IntegerLowerThanNode n = ((is-IntegerBelowNode n)  $\vee$  (is-IntegerLessThanNode n))

fun is-CompareNode :: IRNode  $\Rightarrow$  bool where
  is-CompareNode n = ((is-IntegerEqualsNode n)  $\vee$  (is-IntegerLowerThanNode n))

fun is-BinaryOpLogicNode :: IRNode  $\Rightarrow$  bool where
  is-BinaryOpLogicNode n = ((is-CompareNode n)  $\vee$  (is-IntegerTestNode n))

fun is-LogicNode :: IRNode  $\Rightarrow$  bool where
  is-LogicNode n = ((is-BinaryOpLogicNode n)  $\vee$  (is-LogicNegationNode n)  $\vee$ 
    (is-ShortCircuitOrNode n)  $\vee$  (is-UnaryOpLogicNode n))

fun is-ProxyNode :: IRNode  $\Rightarrow$  bool where
  is-ProxyNode n = ((is-ValueProxyNode n))

fun is-FloatingNode :: IRNode  $\Rightarrow$  bool where
  is-FloatingNode n = ((is-AbstractLocalNode n)  $\vee$  (is-BinaryNode n)  $\vee$  (is-ConditionalNode n)
     $\vee$  (is-ConstantNode n)  $\vee$  (is-FloatingGuardedNode n)  $\vee$  (is-LogicNode n)  $\vee$ 
    (is-PhiNode n)  $\vee$  (is-ProxyNode n)  $\vee$  (is-UnaryNode n))

fun is-AccessFieldNode :: IRNode  $\Rightarrow$  bool where
  is-AccessFieldNode n = ((is-LoadFieldNode n)  $\vee$  (is-StoreFieldNode n))

fun is-AbstractNewArrayNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewArrayNode n = ((is-DynamicNewArrayNode n)  $\vee$  (is-NewArrayNode n))

fun is-AbstractNewObjectNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractNewObjectNode n = ((is-AbstractNewArrayNode n)  $\vee$  (is-NewInstanceNode n))

fun is-AbstractFixedGuardNode :: IRNode  $\Rightarrow$  bool where
  is-AbstractFixedGuardNode n = (is-FixedGuardNode n)

fun is-IntegerDivRemNode :: IRNode  $\Rightarrow$  bool where

```

```

is-IntegerDivRemNode n = ((is-SignedDivNode n) ∨ (is-SignedRemNode n))

fun is-FixedBinaryNode :: IRNode ⇒ bool where
  is-FixedBinaryNode n = (is-IntegerDivRemNode n)

fun is-DeoptimizingFixedWithNextNode :: IRNode ⇒ bool where
  is-DeoptimizingFixedWithNextNode n = ((is-AbstractNewObjectNode n) ∨ (is-FixedBinaryNode n)
  ∨ (is-AbstractFixedGuardNode n))

fun is-AbstractMemoryCheckpoint :: IRNode ⇒ bool where
  is-AbstractMemoryCheckpoint n = ((is-BytecodeExceptionNode n) ∨ (is-InvokeNode n))

fun is-AbstractStateSplit :: IRNode ⇒ bool where
  is-AbstractStateSplit n = ((is-AbstractMemoryCheckpoint n))

fun is-AbstractMergeNode :: IRNode ⇒ bool where
  is-AbstractMergeNode n = ((is-LoopBeginNode n) ∨ (is-MergeNode n))

fun is-BeginStateSplitNode :: IRNode ⇒ bool where
  is-BeginStateSplitNode n = ((is-AbstractMergeNode n) ∨ (is-ExceptionObjectNode n)
  ∨ (is-LoopExitNode n) ∨ (is-StartNode n))

fun is-AbstractBeginNode :: IRNode ⇒ bool where
  is-AbstractBeginNode n = ((is-BeginNode n) ∨ (is-BeginStateSplitNode n)
  ∨ (is-KillingBeginNode n))

fun is-AccessArrayNode :: IRNode ⇒ bool where
  is-AccessArrayNode n = ((is-LoadIndexedNode n) ∨ (is-StoreIndexedNode n))

fun is-FixedWithNextNode :: IRNode ⇒ bool where
  is-FixedWithNextNode n = ((is-AbstractBeginNode n) ∨ (is-AbstractStateSplit n)
  ∨ (is-AccessFieldNode n) ∨ (is-DeoptimizingFixedWithNextNode n) ∨ (is-ControlFlowAnchorNode n)
  ∨ (is-ArrayLengthNode n) ∨ (is-AccessArrayNode n))

fun is-WithExceptionNode :: IRNode ⇒ bool where
  is-WithExceptionNode n = ((is-InvokeWithExceptionNode n))

fun is-ControlSplitNode :: IRNode ⇒ bool where
  is-ControlSplitNode n = ((is-IfNode n) ∨ (is-WithExceptionNode n))

fun is-ControlSinkNode :: IRNode ⇒ bool where
  is-ControlSinkNode n = ((is-ReturnNode n) ∨ (is-UnwindNode n))

fun is-AbstractEndNode :: IRNode ⇒ bool where
  is-AbstractEndNode n = ((is-EndNode n) ∨ (is-LoopEndNode n))

fun is-FixedNode :: IRNode ⇒ bool where
  is-FixedNode n = ((is-AbstractEndNode n) ∨ (is-ControlSinkNode n) ∨ (is-ControlSplitNode

```

$n) \vee (is-FixedWithNextNode\ n))$

**fun** *is-CallTargetNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-CallTargetNode* *n* = ((*is-MethodCallTargetNode* *n*))

**fun** *is-ValueNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNode* *n* = ((*is-CallTargetNode* *n*)  $\vee$  (*is-FixedNode* *n*)  $\vee$  (*is-FloatingNode* *n*))

**fun** *is-Node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Node* *n* = ((*is-ValueNode* *n*)  $\vee$  (*is-VirtualState* *n*))

**fun** *is-MemoryKill* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-MemoryKill* *n* = ((*is-AbstractMemoryCheckpoint* *n*))

**fun** *is-NarrowableArithmeticNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-NarrowableArithmeticNode* *n* = ((*is-AbsNode* *n*)  $\vee$  (*is-AddNode* *n*)  $\vee$  (*is-AndNode* *n*)  $\vee$  (*is-MulNode* *n*)  $\vee$  (*is-NegateNode* *n*)  $\vee$  (*is-NotNode* *n*)  $\vee$  (*is-OrNode* *n*)  $\vee$  (*is-ShiftNode* *n*)  $\vee$  (*is-SubNode* *n*)  $\vee$  (*is-XorNode* *n*))

**fun** *is-AnchoringNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-AnchoringNode* *n* = ((*is-AbstractBeginNode* *n*))

**fun** *is-DeoptBefore* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-DeoptBefore* *n* = ((*is-DeoptimizingFixedWithNextNode* *n*))

**fun** *is-IndirectCanonicalization* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IndirectCanonicalization* *n* = ((*is-LogicNode* *n*))

**fun** *is-IterableNodeType* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-IterableNodeType* *n* = ((*is-AbstractBeginNode* *n*)  $\vee$  (*is-AbstractMergeNode* *n*)  $\vee$  (*is-FrameState* *n*)  $\vee$  (*is-IfNode* *n*)  $\vee$  (*is-IntegerDivRemNode* *n*)  $\vee$  (*is-InvokeWithExceptionNode* *n*)  $\vee$  (*is-LoopBeginNode* *n*)  $\vee$  (*is-LoopExitNode* *n*)  $\vee$  (*is-MethodCallTargetNode* *n*)  $\vee$  (*is-ParameterNode* *n*)  $\vee$  (*is-ReturnNode* *n*)  $\vee$  (*is-ShortCircuitOrNode* *n*))

**fun** *is-Invoke* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Invoke* *n* = ((*is-InvokeNode* *n*)  $\vee$  (*is-InvokeWithExceptionNode* *n*))

**fun** *is-Proxy* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Proxy* *n* = ((*is-ProxyNode* *n*))

**fun** *is-ValueProxy* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueProxy* *n* = ((*is-PiNode* *n*)  $\vee$  (*is-ValueProxyNode* *n*))

**fun** *is-ValueNodeInterface* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNodeInterface* *n* = ((*is-ValueNode* *n*))

**fun** *is-ArrayLengthProvider* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArrayLengthProvider* *n* = ((*is-AbstractNewArrayNode* *n*)  $\vee$  (*is-ConstantNode* *n*))

*n*))

**fun** *is-StampInverter* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-StampInverter* *n* = ((*is-IntegerConvertNode* *n*)  $\vee$  (*is-NegateNode* *n*)  $\vee$  (*is-NotNode* *n*))

**fun** *is-GuardingNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-GuardingNode* *n* = ((*is-AbstractBeginNode* *n*))

**fun** *is-SingleMemoryKill* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-SingleMemoryKill* *n* = ((*is-BytecodeExceptionNode* *n*)  $\vee$  (*is-ExceptionObjectNode* *n*)  $\vee$  (*is-InvokeNode* *n*)  $\vee$  (*is-InvokeWithExceptionNode* *n*)  $\vee$  (*is-KillingBeginNode* *n*)  $\vee$  (*is-StartNode* *n*))

**fun** *is-LIRLowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-LIRLowerable* *n* = ((*is-AbstractBeginNode* *n*)  $\vee$  (*is-AbstractEndNode* *n*)  $\vee$  (*is-AbstractMergeNode* *n*)  $\vee$  (*is-BinaryOpLogicNode* *n*)  $\vee$  (*is-CallTargetNode* *n*)  $\vee$  (*is-ConditionalNode* *n*)  $\vee$  (*is-ConstantNode* *n*)  $\vee$  (*is-IfNode* *n*)  $\vee$  (*is-InvokeNode* *n*)  $\vee$  (*is-InvokeWithExceptionNode* *n*)  $\vee$  (*is-IsNullNode* *n*)  $\vee$  (*is-LoopBeginNode* *n*)  $\vee$  (*is-PiNode* *n*)  $\vee$  (*is-ReturnNode* *n*)  $\vee$  (*is-SignedDivNode* *n*)  $\vee$  (*is-SignedRemNode* *n*)  $\vee$  (*is-UnaryOpLogicNode* *n*)  $\vee$  (*is-UnwindNode* *n*))

**fun** *is-GuardedNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-GuardedNode* *n* = ((*is-FloatingGuardedNode* *n*))

**fun** *is-ArithmeticLIRLowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticLIRLowerable* *n* = ((*is-AbsNode* *n*)  $\vee$  (*is-BinaryArithmeticNode* *n*)  $\vee$  (*is-IntegerConvertNode* *n*)  $\vee$  (*is-NotNode* *n*)  $\vee$  (*is-ShiftNode* *n*)  $\vee$  (*is-UnaryArithmeticNode* *n*))

**fun** *is-SwitchFoldable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-SwitchFoldable* *n* = ((*is-IfNode* *n*))

**fun** *is-VirtualizableAllocation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-VirtualizableAllocation* *n* = ((*is-NewArrayNode* *n*)  $\vee$  (*is-NewInstanceNode* *n*))

**fun** *is-Unary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Unary* *n* = ((*is-LoadFieldNode* *n*)  $\vee$  (*is-LogicNegationNode* *n*)  $\vee$  (*is-UnaryNode* *n*)  $\vee$  (*is-UnaryOpLogicNode* *n*))

**fun** *is-FixedNodeInterface* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-FixedNodeInterface* *n* = ((*is-FixedNode* *n*))

**fun** *is-BinaryCommutative* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-BinaryCommutative* *n* = ((*is-AddNode* *n*)  $\vee$  (*is-AndNode* *n*)  $\vee$  (*is-IntegerEqualsNode* *n*)  $\vee$  (*is-MulNode* *n*)  $\vee$  (*is-OrNode* *n*)  $\vee$  (*is-XorNode* *n*))

**fun** *is-Canonicalizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Canonicalizable* *n* = ((*is-BytecodeExceptionNode* *n*)  $\vee$  (*is-ConditionalNode* *n*)  $\vee$

$(is-DynamicNewArrayNode\ n) \vee (is-PhiNode\ n) \vee (is-PiNode\ n) \vee (is-ProxyNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-UncheckedInterfaceProvider* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-UncheckedInterfaceProvider* *n* =  $((is-InvokeNode\ n) \vee (is-InvokeWithExceptionNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-ParameterNode\ n))$

**fun** *is-Binary* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Binary* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-BinaryNode\ n) \vee (is-BinaryOpLogicNode\ n) \vee (is-CompareNode\ n) \vee (is-FixedBinaryNode\ n) \vee (is-ShortCircuitOrNode\ n))$

**fun** *is-ArithmeticOperation* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ArithmeticOperation* *n* =  $((is-BinaryArithmeticNode\ n) \vee (is-IntegerConvertNode\ n) \vee (is-ShiftNode\ n) \vee (is-UnaryArithmeticNode\ n))$

**fun** *is-ValueNumberable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ValueNumberable* *n* =  $((is-FloatingNode\ n) \vee (is-ProxyNode\ n))$

**fun** *is-Lowerable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Lowerable* *n* =  $((is-AbstractNewObjectNode\ n) \vee (is-AccessFieldNode\ n) \vee (is-BytecodeExceptionNode\ n) \vee (is-ExceptionObjectNode\ n) \vee (is-IntegerDivRemNode\ n) \vee (is-UnwindNode\ n))$

**fun** *is-Virtualizable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Virtualizable* *n* =  $((is-IsNullNode\ n) \vee (is-LoadFieldNode\ n) \vee (is-PiNode\ n) \vee (is-StoreFieldNode\ n) \vee (is-ValueProxyNode\ n))$

**fun** *is-Simplifiable* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-Simplifiable* *n* =  $((is-AbstractMergeNode\ n) \vee (is-BEGINNode\ n) \vee (is-IfNode\ n) \vee (is-LoopExitNode\ n) \vee (is-MethodCallTargetNode\ n) \vee (is-NewArrayNode\ n))$

**fun** *is-StateSplit* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-StateSplit* *n* =  $((is-AbstractStateSplit\ n) \vee (is-BEGINStateSplitNode\ n) \vee (is-StoreFieldNode\ n))$

**fun** *is-ConvertNode* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-ConvertNode* *n* =  $((is-IntegerConvertNode\ n))$

**fun** *is-sequential-node* :: *IRNode*  $\Rightarrow$  *bool* **where**  
*is-sequential-node* (*StartNode* -) = *True* |  
*is-sequential-node* (*BeginNode* -) = *True* |  
*is-sequential-node* (*KillingBeginNode* -) = *True* |  
*is-sequential-node* (*LoopBeginNode* - - -) = *True* |  
*is-sequential-node* (*LoopExitNode* - -) = *True* |  
*is-sequential-node* (*MergeNode* - -) = *True* |  
*is-sequential-node* (*RefNode* -) = *True* |  
*is-sequential-node* (*ControlFlowAnchorNode* -) = *True* |  
*is-sequential-node* - = *False*



The following convenience function is useful in determining if two IRNodes are of the same type regardless of their edges. It will return true if both the node parameters are the same node class.

```

fun is-same-ir-node-type :: IRNode ⇒ IRNode ⇒ bool where
is-same-ir-node-type n1 n2 = (
  ((is-AbsNode n1) ∧ (is-AbsNode n2)) ∨
  ((is-AddNode n1) ∧ (is-AddNode n2)) ∨
  ((is-AndNode n1) ∧ (is-AndNode n2)) ∨
  ((is-BeginNode n1) ∧ (is-BeginNode n2)) ∨
  ((is-BytecodeExceptionNode n1) ∧ (is-BytecodeExceptionNode n2)) ∨
  ((is-ConditionalNode n1) ∧ (is-ConditionalNode n2)) ∨
  ((is-ConstantNode n1) ∧ (is-ConstantNode n2)) ∨
  ((is-DynamicNewArrayNode n1) ∧ (is-DynamicNewArrayNode n2)) ∨
  ((is-EndNode n1) ∧ (is-EndNode n2)) ∨
  ((is-ExceptionObjectNode n1) ∧ (is-ExceptionObjectNode n2)) ∨
  ((is-FrameState n1) ∧ (is-FrameState n2)) ∨
  ((is-IfNode n1) ∧ (is-IfNode n2)) ∨
  ((is-IntegerBelowNode n1) ∧ (is-IntegerBelowNode n2)) ∨
  ((is-IntegerEqualsNode n1) ∧ (is-IntegerEqualsNode n2)) ∨
  ((is-IntegerLessThanNode n1) ∧ (is-IntegerLessThanNode n2)) ∨
  ((is-InvokeNode n1) ∧ (is-InvokeNode n2)) ∨
  ((is-InvokeWithExceptionNode n1) ∧ (is-InvokeWithExceptionNode n2)) ∨
  ((is-IsNullNode n1) ∧ (is-IsNullNode n2)) ∨
  ((is-KillingBeginNode n1) ∧ (is-KillingBeginNode n2)) ∨
  ((is-LeftShiftNode n1) ∧ (is-LeftShiftNode n2)) ∨
  ((is-LoadFieldNode n1) ∧ (is-LoadFieldNode n2)) ∨
  ((is-LogicNegationNode n1) ∧ (is-LogicNegationNode n2)) ∨
  ((is-LoopBeginNode n1) ∧ (is-LoopBeginNode n2)) ∨
  ((is-LoopEndNode n1) ∧ (is-LoopEndNode n2)) ∨
  ((is-LoopExitNode n1) ∧ (is-LoopExitNode n2)) ∨
  ((is-MergeNode n1) ∧ (is-MergeNode n2)) ∨
  ((is-MethodCallTargetNode n1) ∧ (is-MethodCallTargetNode n2)) ∨
  ((is-MulNode n1) ∧ (is-MulNode n2)) ∨
  ((is-NarrowNode n1) ∧ (is-NarrowNode n2)) ∨
  ((is-NegateNode n1) ∧ (is-NegateNode n2)) ∨
  ((is-NewArrayNode n1) ∧ (is-NewArrayNode n2)) ∨
  ((is-NewInstanceNode n1) ∧ (is-NewInstanceNode n2)) ∨
  ((is-NotNode n1) ∧ (is-NotNode n2)) ∨
  ((is-OrNode n1) ∧ (is-OrNode n2)) ∨
  ((is-ParameterNode n1) ∧ (is-ParameterNode n2)) ∨
  ((is-PiNode n1) ∧ (is-PiNode n2)) ∨
  ((is-ReturnNode n1) ∧ (is-ReturnNode n2)) ∨
  ((is-RightShiftNode n1) ∧ (is-RightShiftNode n2)) ∨
  ((is-ShortCircuitOrNode n1) ∧ (is-ShortCircuitOrNode n2)) ∨
  ((is-SignedDivNode n1) ∧ (is-SignedDivNode n2)) ∨
  ((is-SignedFloatingIntegerDivNode n1) ∧ (is-SignedFloatingIntegerDivNode n2))
  ∨
  ((is-SignedFloatingIntegerRemNode n1) ∧ (is-SignedFloatingIntegerRemNode n2))
  ∨

```

```

((is-SignedRemNode n1) ∧ (is-SignedRemNode n2)) ∨
((is-SignExtendNode n1) ∧ (is-SignExtendNode n2)) ∨
((is-StartNode n1) ∧ (is-StartNode n2)) ∨
((is-StoreFieldNode n1) ∧ (is-StoreFieldNode n2)) ∨
((is-SubNode n1) ∧ (is-SubNode n2)) ∨
((is-UnsignedRightShiftNode n1) ∧ (is-UnsignedRightShiftNode n2)) ∨
((is-UnwindNode n1) ∧ (is-UnwindNode n2)) ∨
((is-ValuePhiNode n1) ∧ (is-ValuePhiNode n2)) ∨
((is-ValueProxyNode n1) ∧ (is-ValueProxyNode n2)) ∨
((is-XorNode n1) ∧ (is-XorNode n2)) ∨
((is-ZeroExtendNode n1) ∧ (is-ZeroExtendNode n2)))
end

```

### 5.3 IR Graph Type

```

theory IRGraph
imports
  IRNodeHierarchy
  Stamp
  HOL-Library.FSet
  HOL.Relation
begin

```

This theory defines the main Graal data structure - an entire IR Graph.

IRGraph is defined as a partial map with a finite domain. The finite domain is required to be able to generate code and produce an interpreter.

```

typedef IRGraph = {g :: ID ⇒ (IRNode × Stamp) . finite (dom g)}
proof -
  have finite(dom(Map.empty)) ∧ ran Map.empty = {} by auto
  then show ?thesis
    by fastforce
qed

```

```

setup-lifting type-definition-IRGraph

```

```

lift-definition ids :: IRGraph ⇒ ID set
is λg. {nid ∈ dom g . ∄s. g nid = (Some (NoNode, s))} .

```

```

fun with-default :: 'c ⇒ ('b ⇒ 'c) ⇒ (('a ⇒ 'b) ⇒ 'a ⇒ 'c) where
  with-default def conv = (λm k.
    (case m k of None ⇒ def | Some v ⇒ conv v))

```

```

lift-definition kind :: IRGraph ⇒ (ID ⇒ IRNode)
is with-default NoNode fst .

```

```

lift-definition stamp :: IRGraph ⇒ ID ⇒ Stamp
is with-default IllegalStamp snd .

```

**lift-definition** *add-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid\ k\ g.$  *if*  $fst\ k = NoNode$  *then*  $g$  *else*  $g(nid \mapsto k)$  **by** *simp*

**lift-definition** *remove-node* ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid\ g.$   $g(nid := None)$  **by** *simp*

**lift-definition** *replace-node* ::  $ID \Rightarrow (IRNode \times Stamp) \Rightarrow IRGraph \Rightarrow IRGraph$   
**is**  $\lambda nid\ k\ g.$  *if*  $fst\ k = NoNode$  *then*  $g$  *else*  $g(nid \mapsto k)$  **by** *simp*

**lift-definition** *as-list* ::  $IRGraph \Rightarrow (ID \times IRNode \times Stamp)\ list$   
**is**  $\lambda g.$  *map*  $(\lambda k. (k, the\ (g\ k)))$  *(sorted-list-of-set (dom g))* .

**fun** *no-node* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow (ID \times (IRNode \times Stamp))\ list$   
**where**  
*no-node*  $g = filter\ (\lambda n. fst\ (snd\ n) \neq NoNode)\ g$

**lift-definition** *irgraph* ::  $(ID \times (IRNode \times Stamp))\ list \Rightarrow IRGraph$   
**is** *map-of*  $\circ no-node$   
**by** *(simp add: finite-dom-map-of)*

**definition** *as-set* ::  $IRGraph \Rightarrow (ID \times (IRNode \times Stamp))\ set$  **where**  
*as-set*  $g = \{(n, kind\ g\ n, stamp\ g\ n) \mid n . n \in ids\ g\}$

**definition** *true-ids* ::  $IRGraph \Rightarrow ID\ set$  **where**  
*true-ids*  $g = ids\ g - \{n \in ids\ g. \exists n'. kind\ g\ n = RefNode\ n'\}$

**definition** *domain-subtraction* ::  $'a\ set \Rightarrow ('a \times 'b)\ set \Rightarrow ('a \times 'b)\ set$   
**(infix  $\trianglelefteq$  30) where**  
*domain-subtraction*  $s\ r = \{(x, y) . (x, y) \in r \wedge x \notin s\}$

**notation** (*latex*)  
*domain-subtraction*  $(- \trianglelefteq -)$

**code-datatype** *irgraph*

**fun** *filter-none* **where**  
*filter-none*  $g = \{nid \in dom\ g . \nexists s. g\ nid = (Some\ (NoNode, s))\}$

**lemma** *no-node-clears*:  
 $res = no-node\ xs \longrightarrow (\forall x \in set\ res. fst\ (snd\ x) \neq NoNode)$   
**by** *simp*

**lemma** *dom-eq*:  
**assumes**  $\forall x \in set\ xs. fst\ (snd\ x) \neq NoNode$   
**shows** *filter-none* *(map-of xs)* = *dom* *(map-of xs)*  
**using** *assms map-of-SomeD* **by** *fastforce*

**lemma** *fil-eq*:

*filter-none* (*map-of* (*no-node* *xs*)) = *set* (*map fst* (*no-node* *xs*))  
**by** (*metis no-node-clears dom-eq dom-map-of-conv-image-fst list.set-map*)

**lemma** *irgraph[code]*: *ids* (*irgraph* *m*) = *set* (*map fst* (*no-node* *m*))

**by** (*metis fil-eq Rep-IRGraph eq-onp-same-args filter-none.simps ids.abs-eq ir-graph.abs-eq*  
*irgraph.rep-eq mem-Collect-eq*)

**lemma** [*code*]: *Rep-IRGraph* (*irgraph* *m*) = *map-of* (*no-node* *m*)

**by** (*simp add: irgraph.rep-eq*)

— Get the inputs set of a given node ID

**fun** *inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*inputs* *g* *nid* = *set* (*inputs-of* (*kind* *g* *nid*))

— Get the successor set of a given node ID

**fun** *succ* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*succ* *g* *nid* = *set* (*successors-of* (*kind* *g* *nid*))

— Gives a relation between node IDs - between a node and its input nodes

**fun** *input-edges* :: *IRGraph*  $\Rightarrow$  *ID rel* **where**

*input-edges* *g* = ( $\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{inputs } g \ i)\}$ )

— Find all the nodes in the graph that have *nid* as an input - the usages of *nid*

**fun** *usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*usages* *g* *nid* =  $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{inputs } g \ i\}$

**fun** *successor-edges* :: *IRGraph*  $\Rightarrow$  *ID rel* **where**

*successor-edges* *g* = ( $\bigcup i \in \text{ids } g. \{(i,j) | j \in (\text{succ } g \ i)\}$ )

**fun** *predecessors* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID set* **where**

*predecessors* *g* *nid* =  $\{i. i \in \text{ids } g \wedge \text{nid} \in \text{succ } g \ i\}$

**fun** *nodes-of* :: *IRGraph*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID set* **where**

*nodes-of* *g* *sel* =  $\{\text{nid} \in \text{ids } g. \text{sel } (\text{kind } g \ \text{nid})\}$

**fun** *edge* :: (*IRNode*  $\Rightarrow$  'a)  $\Rightarrow$  *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  'a **where**

*edge* *sel* *nid* *g* = *sel* (*kind* *g* *nid*)

**fun** *filtered-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID list* **where**

*filtered-inputs* *g* *nid* *f* = *filter* (*f*  $\circ$  (*kind* *g*)) (*inputs-of* (*kind* *g* *nid*))

**fun** *filtered-successors* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID list* **where**

*filtered-successors* *g* *nid* *f* = *filter* (*f*  $\circ$  (*kind* *g*)) (*successors-of* (*kind* *g* *nid*))

**fun** *filtered-usages* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  (*IRNode*  $\Rightarrow$  *bool*)  $\Rightarrow$  *ID set* **where**

*filtered-usages* *g* *nid* *f* =  $\{n \in (\text{usages } g \ \text{nid}). f \ (\text{kind } g \ n)\}$

**fun** *is-empty* :: *IRGraph*  $\Rightarrow$  *bool* **where**

*is-empty* *g* = (*ids* *g* =  $\{\}$ )

**fun** *any-usage* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID* **where**

*any-usage* *g* *nid* = *hd* (*sorted-list-of-set* (*usages* *g* *nid*))

**lemma** *ids-some[simp]*:  $x \in \text{ids } g \longleftrightarrow \text{kind } g \ x \neq \text{NoNode}$

**proof** —

**have** *that*:  $x \in \text{ids } g \longrightarrow \text{kind } g \ x \neq \text{NoNode}$

by (auto simp add: kind.rep-eq ids.rep-eq)  
 have kind g x  $\neq$  NoNode  $\longrightarrow$  x  $\in$  ids g  
 by (cases Rep-IRGraph g x = None; auto simp add: ids-def kind-def)  
 from this that show ?thesis  
 by auto  
 qed

**lemma** not-in-g:  
 assumes nid  $\notin$  ids g  
 shows kind g nid = NoNode  
 using assms by simp

**lemma** valid-creation[simp]:  
 finite (dom g)  $\longleftrightarrow$  Rep-IRGraph (Abs-IRGraph g) = g  
 by (metis Abs-IRGraph-inverse Rep-IRGraph mem-Collect-eq)

**lemma** [simp]: finite (ids g)  
 using Rep-IRGraph by (simp add: ids.rep-eq)

**lemma** [simp]: finite (ids (irgraph g))  
 by (simp add: finite-dom-map-of)

**lemma** [simp]: finite (dom g)  $\longrightarrow$  ids (Abs-IRGraph g) = {nid  $\in$  dom g .  $\nexists$  s. g  
 nid = Some (NoNode, s)}  
 by (simp add: ids.rep-eq)

**lemma** [simp]: finite (dom g)  $\longrightarrow$  kind (Abs-IRGraph g) = ( $\lambda$ x . (case g x of None  
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))  
 by (simp add: kind.rep-eq)

**lemma** [simp]: finite (dom g)  $\longrightarrow$  stamp (Abs-IRGraph g) = ( $\lambda$ x . (case g x of  
 None  $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))  
 by (simp add: stamp.rep-eq)

**lemma** [simp]: ids (irgraph g) = set (map fst (no-node g))  
 by (simp add: irgraph)

**lemma** [simp]: kind (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None  
 $\Rightarrow$  NoNode | Some n  $\Rightarrow$  fst n))  
 by (simp add: kind.rep-eq irgraph.rep-eq)

**lemma** [simp]: stamp (irgraph g) = ( $\lambda$ nid. (case (map-of (no-node g)) nid of None  
 $\Rightarrow$  IllegalStamp | Some n  $\Rightarrow$  snd n))  
 by (simp add: stamp.rep-eq irgraph.rep-eq)

**lemma** map-of-upd: (map-of g)(k  $\mapsto$  v) = (map-of ((k, v) # g))  
 by simp

**lemma** [code]: *replace-node* *nid* *k* (*irgraph* *g*) = (*irgraph* ( ((*nid*, *k*) # *g*)))  
**proof** (*cases* *fst* *k* = *NoNode*)  
  *case* *True*  
  **then show** ?*thesis*  
  **by** (*metis* (*mono-tags*, *lifting*) *Rep-IRGraph-inject* *filter.simps*(2) *irgraph.abs-eq*  
*no-node.simps*  
   *replace-node.rep-eq snd-conv*)  
**next**  
  *case* *False*  
  **then show** ?*thesis*  
  **by** (*smt* (*verit*, *ccfv-SIG*) *irgraph-def* *Rep-IRGraph* *comp-apply* *eq-onp-same-args*  
*filter.simps*(2)  
   *id-def* *irgraph.rep-eq* *map-fun-apply* *map-of-upd* *mem-Collect-eq* *no-node.elims*  
*replace-node-def*  
   *replace-node.abs-eq snd-eqD*)  
**qed**

**lemma** [code]: *add-node* *nid* *k* (*irgraph* *g*) = (*irgraph* (((*nid*, *k*) # *g*)))  
**by** (*smt* (*verit*) *Rep-IRGraph-inject* *add-node.rep-eq* *filter.simps*(2) *irgraph.rep-eq*  
*map-of-upd*  
   *snd-conv* *no-node.simps*)

**lemma** *add-node-lookup*:  
*gup* = *add-node* *nid* (*k*, *s*) *g*  $\longrightarrow$   
  (*if* *k*  $\neq$  *NoNode* *then* *kind* *gup* *nid* = *k*  $\wedge$  *stamp* *gup* *nid* = *s* *else* *kind* *gup* *nid*  
  = *kind* *g* *nid*)  
**proof** (*cases* *k* = *NoNode*)  
  *case* *True*  
  **then show** ?*thesis*  
  **by** (*simp* *add*: *add-node.rep-eq* *kind.rep-eq*)  
**next**  
  *case* *False*  
  **then show** ?*thesis*  
  **by** (*simp* *add*: *kind.rep-eq* *add-node.rep-eq* *stamp.rep-eq*)  
**qed**

**lemma** *remove-node-lookup*:  
*gup* = *remove-node* *nid* *g*  $\longrightarrow$  *kind* *gup* *nid* = *NoNode*  $\wedge$  *stamp* *gup* *nid* =  
*IllegalStamp*  
**by** (*simp* *add*: *kind.rep-eq* *remove-node.rep-eq* *stamp.rep-eq*)

**lemma** *replace-node-lookup*[*simp*]:  
*gup* = *replace-node* *nid* (*k*, *s*) *g*  $\wedge$  *k*  $\neq$  *NoNode*  $\longrightarrow$  *kind* *gup* *nid* = *k*  $\wedge$  *stamp*  
*gup* *nid* = *s*  
**by** (*simp* *add*: *replace-node.rep-eq* *kind.rep-eq* *stamp.rep-eq*)

**lemma** *replace-node-unchanged*:  
*gup* = *replace-node* *nid* (*k*, *s*) *g*  $\longrightarrow$  ( $\forall$  *n*  $\in$  (*ids* *g* - {*nid*}) . *n*  $\in$  *ids* *g*  $\wedge$  *n*  $\in$  *ids*  
*gup*  $\wedge$  *kind* *g* *n* = *kind* *gup* *n*)

**by** (*simp add: kind.rep-eq replace-node.rep-eq*)

### 5.3.1 Example Graphs

Example 1: empty graph (just a start and end node)

**definition** *start-end-graph* :: *IRGraph* **where**

*start-end-graph* = *irgraph* [(0, *StartNode* *None* 1, *VoidStamp*), (1, *ReturnNode* *None* *None*, *VoidStamp*)]

Example 2: public static int sq(int x) return x \* x;

[1 P(0)] / [0 Start] [4 \*] | / V / [5 Return]

**definition** *eg2-sq* :: *IRGraph* **where**

*eg2-sq* = *irgraph* [  
 (0, *StartNode* *None* 5, *VoidStamp*),  
 (1, *ParameterNode* 0, *default-stamp*),  
 (4, *MulNode* 1 1, *default-stamp*),  
 (5, *ReturnNode* (*Some* 4) *None*, *default-stamp*)  
 ]

**value** *input-edges* *eg2-sq*

**value** *usages* *eg2-sq* 1

**end**

## 5.4 Structural Graph Comparison

**theory**

*Comparison*

**imports**

*IRGraph*

**begin**

We introduce a form of structural graph comparison that is able to assert structural equivalence of graphs which differ in zero or more reference node chains for any given nodes.

**fun** *find-ref-nodes* :: *IRGraph*  $\Rightarrow$  (*ID*  $\rightarrow$  *ID*) **where**

*find-ref-nodes* *g* = *map-of*  
 (*map* ( $\lambda n.$  (*n*, *ir-ref* (*kind* *g* *n*))) (*filter* ( $\lambda id.$  *is-RefNode* (*kind* *g* *id*))

**fun** *replace-ref-nodes* :: *IRGraph*  $\Rightarrow$  (*ID*  $\rightarrow$  *ID*)  $\Rightarrow$  *ID* *list*  $\Rightarrow$  *ID* *list* **where**

*replace-ref-nodes* *g* *m* *xs* = *map* ( $\lambda id.$  (*case* (*m* *id*) *of* *Some* *other*  $\Rightarrow$  *other* | *None*  $\Rightarrow$  *id*)) *xs*

**fun** *find-next* :: *ID* *list*  $\Rightarrow$  *ID* *set*  $\Rightarrow$  *ID* *option* **where**

```

    find-next to-see seen = (let l = (filter ( $\lambda$ nid. nid  $\notin$  seen) to-see)
      in (case l of []  $\Rightarrow$  None | xs  $\Rightarrow$  Some (hd xs)))

inductive reachables :: IRGraph  $\Rightarrow$  ID list  $\Rightarrow$  ID set  $\Rightarrow$  ID set  $\Rightarrow$  bool where
  reachables g [] {} {} |
  [[None = find-next to-see seen]]  $\Longrightarrow$  reachables g to-see seen seen |
  [Some n = find-next to-see seen;
   node = kind g n;
   new = (inputs-of node) @ (successors-of node);
   reachables g (to-see @ new) ({n}  $\cup$  seen) seen']  $\Longrightarrow$  reachables g to-see seen
  seen'

code-pred (modes: i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool) [show-steps, show-mode-inference, show-intermediate-results]

reachables .

inductive nodeEq :: (ID  $\rightarrow$  ID)  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID  $\Rightarrow$  bool
where
  [[kind g1 n1 = RefNode ref; nodeEq m g1 ref g2 n2]]  $\Longrightarrow$  nodeEq m g1 n1 g2 n2 |
  [x = kind g1 n1;
   y = kind g2 n2;
   is-same-ir-node-type x y;
   replace-ref-nodes g1 m (successors-of x) = successors-of y;
   replace-ref-nodes g1 m (inputs-of x) = inputs-of y]
   $\Longrightarrow$  nodeEq m g1 n1 g2 n2

code-pred [show-modes] nodeEq .

fun diffNodesGraph :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  ID set where
  diffNodesGraph g1 g2 = (let refNodes = find-ref-nodes g1 in
    { n . n  $\in$  Predicate.the (reachables-i-i-i-o g1 [0] {})  $\wedge$  (case refNodes n of Some
      -  $\Rightarrow$  False | -  $\Rightarrow$  True)  $\wedge$   $\neg$ (nodeEq refNodes g1 n g2 n)})

fun diffNodesInfo :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  (ID  $\times$  IRNode  $\times$  IRNode) set (infix
 $\cap_s$  20)
where
  diffNodesInfo g1 g2 = {(nid, kind g1 nid, kind g2 nid) | nid . nid  $\in$  diffNodesGraph
    g1 g2}

fun eqGraph :: IRGraph  $\Rightarrow$  IRGraph  $\Rightarrow$  bool (infix  $\approx_s$  20)
where
  eqGraph isabelle-graph graal-graph = ((diffNodesGraph isabelle-graph graal-graph)
    = {})

end

```



## 5.5 Control-flow Graph Traversal

**theory**

*Traversal*

**imports**

*IRGraph*

**begin**

**type-synonym** *Seen* = *ID set*

*nextEdge* helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, *None* is returned instead.

**fun** *nextEdge* :: *Seen*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *ID option* **where**

*nextEdge seen nid g* =  
 (let *nids* = (filter ( $\lambda$ *nid'*. *nid'*  $\notin$  *seen*) (successors-of (*kind g nid*))) in  
 (if length *nids* > 0 then Some (hd *nids*) else None))

*pred* determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

**fun** *pred* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID option* **where**

*pred g nid* = (case *kind g nid* of  
 (MergeNode *ends* -)  $\Rightarrow$  Some (hd *ends*) |  
 -  $\Rightarrow$   
 (if *IRGraph.predecessors g nid* = {}  
 then None else  
 Some (hd (sorted-list-of-set (*IRGraph.predecessors g nid*))))  
 )  
 )

Here we try to implement a generic fork of the control-flow traversal algorithm that was initially implemented for the ConditionalElimination phase

**type-synonym** *'a TraversalState* = (*ID*  $\times$  *Seen*  $\times$  *'a*)

**inductive** *Step*

:: (*'a TraversalState*  $\Rightarrow$  *'a*)  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *'a TraversalState*  $\Rightarrow$  *'a TraversalState option*  $\Rightarrow$  *bool*

**for** *sa g* **where**

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. *nid'* will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4.

Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the registerNewCondition function and place them on the top of the stack of stamp information

$\llbracket \text{kind } g \text{ nid} = \text{BeginNode } \text{nid}' ;$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{Some } \text{ifcond} = \text{pred } g \text{ nid};$   
 $\text{kind } g \text{ ifcond} = \text{IfNode } \text{cond } t \text{ f};$

$\text{analysis}' = \text{sa } (\text{nid}, \text{seen}, \text{analysis}) \rrbracket$   
 $\implies \text{Step } \text{sa } g (\text{nid}, \text{seen}, \text{analysis}) (\text{Some } (\text{nid}', \text{seen}', \text{analysis}')) \mid$

— Hit an EndNode 1. nid' will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket \text{kind } g \text{ nid} = \text{EndNode};$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{nid}' = \text{any-usage } g \text{ nid};$

$\text{analysis}' = \text{sa } (\text{nid}, \text{seen}, \text{analysis}) \rrbracket$   
 $\implies \text{Step } \text{sa } g (\text{nid}, \text{seen}, \text{analysis}) (\text{Some } (\text{nid}', \text{seen}', \text{analysis}')) \mid$

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(\text{is-EndNode } (\text{kind } g \text{ nid}));$   
 $\neg(\text{is-BeginNode } (\text{kind } g \text{ nid}));$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{Some } \text{nid}' = \text{nextEdge } \text{seen}' \text{ nid } g;$

$\text{analysis}' = \text{sa } (\text{nid}, \text{seen}, \text{analysis}) \rrbracket$   
 $\implies \text{Step } \text{sa } g (\text{nid}, \text{seen}, \text{analysis}) (\text{Some } (\text{nid}', \text{seen}', \text{analysis}')) \mid$

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(\text{is-EndNode } (\text{kind } g \text{ nid}));$   
 $\neg(\text{is-BeginNode } (\text{kind } g \text{ nid}));$

$\text{nid} \notin \text{seen};$   
 $\text{seen}' = \{\text{nid}\} \cup \text{seen};$

$\text{None} = \text{nextEdge } \text{seen}' \text{ nid } g \rrbracket$   
 $\implies \text{Step } \text{sa } g (\text{nid}, \text{seen}, \text{analysis}) \text{ None } \mid$

```

    — We've already seen this node, give back None
     $\llbracket nid \in seen \rrbracket \implies Step\ sa\ g\ (nid, seen, analysis)\ None$ 

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) Step .

end

```

## 6 Data-flow Semantics

```

theory IRTreeEval
  imports
    Graph.Stamp
  begin

```

We define a tree representation of data-flow nodes, as an abstraction of the graph view.

Data-flow trees are evaluated in the context of a method state (currently called MapState in the theories for historical reasons).

The method state consists of the values for each method parameter, references to method parameters use an index of the parameter within the parameter list, as such we store a list of parameter values which are looked up at parameter references.

The method state also stores a mapping of node ids to values. The contents of this mapping is calculates during the traversal of the control flow graph.

As a concrete example, as the *SignedDivNode::'a* can have side-effects (during division by zero), it is treated as part of the control-flow, since the data-flow phase is specified to be side-effect free. As a result, the control-flow semantics for *SignedDivNode::'a* calculates the value of a node and maps the node identifier to the value within the method state. The data-flow semantics then just reads the value stored in the method state for the node.

```

type-synonym ID = nat
type-synonym MapState = ID  $\Rightarrow$  Value
type-synonym Params = Value list

```

```

definition new-map-state :: MapState where
  new-map-state = ( $\lambda x.$  UndefVal)

```

### 6.1 Data-flow Tree Representation

```

datatype IRUnaryOp =
  UnaryAbs
| UnaryNeg
| UnaryNot
| UnaryLogicNegation
| UnaryNarrow (ir-inputBits: nat) (ir-resultBits: nat)
| UnarySignExtend (ir-inputBits: nat) (ir-resultBits: nat)

```

```

| UnaryZeroExtend (ir-inputBits: nat) (ir-resultBits: nat)
| UnaryIsNull
| UnaryReverseBytes
| UnaryBitCount

datatype IRBinaryOp =
  BinAdd
| BinSub
| BinMul
| BinDiv
| BinMod
| BinAnd
| BinOr
| BinXor
| BinShortCircuitOr
| BinLeftShift
| BinRightShift
| BinURightShift
| BinIntegerEquals
| BinIntegerLessThan
| BinIntegerBelow
| BinIntegerTest
| BinIntegerNormalizeCompare
| BinIntegerMulHigh

datatype (discs-sels) IRExpr =
  UnaryExpr (ir-uop: IRUnaryOp) (ir-value: IRExpr)
| BinaryExpr (ir-op: IRBinaryOp) (ir-x: IRExpr) (ir-y: IRExpr)
| ConditionalExpr (ir-condition: IRExpr) (ir-trueValue: IRExpr) (ir-falseValue:
IRExpr)

| ParameterExpr (ir-index: nat) (ir-stamp: Stamp)

| LeafExpr (ir-nid: ID) (ir-stamp: Stamp)

| ConstantExpr (ir-const: Value)
| ConstantVar (ir-name: String.literal)
| VariableExpr (ir-name: String.literal) (ir-stamp: Stamp)

fun is-ground :: IRExpr ⇒ bool where
  is-ground (UnaryExpr op e) = is-ground e |
  is-ground (BinaryExpr op e1 e2) = (is-ground e1 ∧ is-ground e2) |
  is-ground (ConditionalExpr b e1 e2) = (is-ground b ∧ is-ground e1 ∧ is-ground
e2) |
  is-ground (ParameterExpr i s) = True |
  is-ground (LeafExpr n s) = True |
  is-ground (ConstantExpr v) = True |
  is-ground (ConstantVar name) = False |

```

*is-ground* ( *VariableExpr* name *s* ) = *False*

**typedef** *GroundExpr* = { *e* :: *IRExpr* . *is-ground* *e* }  
**using** *is-ground.simps*(6) **by** *blast*

## 6.2 Functions for re-calculating stamps

Note: in Java all integer calculations are done as 32 or 64 bit calculations. However, here we generalise the operators to allow any size calculations. Many operators have the same output bits as their inputs. However, the unary integer operators that are not *normal\_unary* are narrowing or widening operators, so the result bits is specified by the operator. The binary integer operators are divided into three groups: (1) *binary\_fixed\_32* operators always output 32 bits, (2) *binary\_shift\_ops* operators output size is determined by their left argument, and (3) other operators output the same number of bits as both their inputs.

**abbreviation** *binary-normal* :: *IRBinaryOp* set **where**  
*binary-normal*  $\equiv$  { *BinAdd*, *BinMul*, *BinDiv*, *BinMod*, *BinSub*, *BinAnd*, *BinOr*, *BinXor* }

**abbreviation** *binary-fixed-32-ops* :: *IRBinaryOp* set **where**  
*binary-fixed-32-ops*  $\equiv$  { *BinShortCircuitOr*, *BinIntegerEquals*, *BinIntegerLessThan*, *BinIntegerBelow*, *BinIntegerTest*, *BinIntegerNormalizeCompare* }

**abbreviation** *binary-shift-ops* :: *IRBinaryOp* set **where**  
*binary-shift-ops*  $\equiv$  { *BinLeftShift*, *BinRightShift*, *BinURightShift* }

**abbreviation** *binary-fixed-ops* :: *IRBinaryOp* set **where**  
*binary-fixed-ops*  $\equiv$  { *BinIntegerMulHigh* }

**abbreviation** *normal-unary* :: *IRUnaryOp* set **where**  
*normal-unary*  $\equiv$  { *UnaryAbs*, *UnaryNeg*, *UnaryNot*, *UnaryLogicNegation*, *UnaryReverseBytes* }

**abbreviation** *unary-fixed-32-ops* :: *IRUnaryOp* set **where**  
*unary-fixed-32-ops*  $\equiv$  { *UnaryBitCount* }

**abbreviation** *boolean-unary* :: *IRUnaryOp* set **where**  
*boolean-unary*  $\equiv$  { *UnaryIsNull* }

**lemma** *binary-ops-all*:

**shows**  $op \in \text{binary-normal} \vee op \in \text{binary-fixed-32-ops} \vee op \in \text{binary-fixed-ops} \vee op \in \text{binary-shift-ops}$

```

by (cases op; auto)

lemma binary-ops-distinct-normal:
  shows op ∈ binary-normal ⇒ op ∉ binary-fixed-32-ops ∧ op ∉ binary-fixed-ops
  ∧ op ∉ binary-shift-ops
  by auto

lemma binary-ops-distinct-fixed-32:
  shows op ∈ binary-fixed-32-ops ⇒ op ∉ binary-normal ∧ op ∉ binary-fixed-ops
  ∧ op ∉ binary-shift-ops
  by auto

lemma binary-ops-distinct-fixed:
  shows op ∈ binary-fixed-ops ⇒ op ∉ binary-fixed-32-ops ∧ op ∉ binary-normal
  ∧ op ∉ binary-shift-ops
  by auto

lemma binary-ops-distinct-shift:
  shows op ∈ binary-shift-ops ⇒ op ∉ binary-fixed-32-ops ∧ op ∉ binary-fixed-ops
  ∧ op ∉ binary-normal
  by auto

lemma unary-ops-distinct:
  shows op ∈ normal-unary ⇒ op ∉ boolean-unary ∧ op ∉ unary-fixed-32-ops
  and op ∈ boolean-unary ⇒ op ∉ normal-unary ∧ op ∉ unary-fixed-32-ops
  and op ∈ unary-fixed-32-ops ⇒ op ∉ boolean-unary ∧ op ∉ normal-unary
  by auto

fun stamp-unary :: IRUnaryOp ⇒ Stamp ⇒ Stamp where

  stamp-unary UnaryIsNull - = (IntegerStamp 32 0 1) |
  stamp-unary op (IntegerStamp b lo hi) =
    unrestricted-stamp (IntegerStamp
      (if op ∈ normal-unary      then b else
       if op ∈ boolean-unary    then 32 else
       if op ∈ unary-fixed-32-ops then 32 else
       (ir-resultBits op)) lo hi) |

  stamp-unary op - = IllegalStamp

fun stamp-binary :: IRBinaryOp ⇒ Stamp ⇒ Stamp ⇒ Stamp where
  stamp-binary op (IntegerStamp b1 lo1 hi1) (IntegerStamp b2 lo2 hi2) =
    (if op ∈ binary-shift-ops then unrestricted-stamp (IntegerStamp b1 lo1 hi1)
     else if b1 ≠ b2 then IllegalStamp else
     (if op ∈ binary-fixed-32-ops
      then unrestricted-stamp (IntegerStamp 32 lo1 hi1)
      else unrestricted-stamp (IntegerStamp b1 lo1 hi1))) |

```

*stamp-binary op - - = IllegalStamp*

```
fun stamp-expr :: IRExpr ⇒ Stamp where
  stamp-expr (UnaryExpr op x) = stamp-unary op (stamp-expr x) |
  stamp-expr (BinaryExpr bop x y) = stamp-binary bop (stamp-expr x) (stamp-expr
y) |
  stamp-expr (ConstantExpr val) = constantAsStamp val |
  stamp-expr (LeafExpr i s) = s |
  stamp-expr (ParameterExpr i s) = s |
  stamp-expr (ConditionalExpr c t f) = meet (stamp-expr t) (stamp-expr f)

export-code stamp-unary stamp-binary stamp-expr
```

### 6.3 Data-flow Tree Evaluation

```
fun unary-eval :: IRUnaryOp ⇒ Value ⇒ Value where
  unary-eval UnaryAbs v = intval-abs v |
  unary-eval UnaryNeg v = intval-negate v |
  unary-eval UnaryNot v = intval-not v |
  unary-eval UnaryLogicNegation v = intval-logic-negation v |
  unary-eval (UnaryNarrow inBits outBits) v = intval-narrow inBits outBits v |
  unary-eval (UnarySignExtend inBits outBits) v = intval-sign-extend inBits outBits
v |
  unary-eval (UnaryZeroExtend inBits outBits) v = intval-zero-extend inBits outBits
v |
  unary-eval UnaryIsNull v = intval-is-null v |
  unary-eval UnaryReverseBytes v = intval-reverse-bytes v |
  unary-eval UnaryBitCount v = intval-bit-count v
```

```
fun bin-eval :: IRBinaryOp ⇒ Value ⇒ Value ⇒ Value where
  bin-eval BinAdd v1 v2 = intval-add v1 v2 |
  bin-eval BinSub v1 v2 = intval-sub v1 v2 |
  bin-eval BinMul v1 v2 = intval-mul v1 v2 |
  bin-eval BinDiv v1 v2 = intval-div v1 v2 |
  bin-eval BinMod v1 v2 = intval-mod v1 v2 |
  bin-eval BinAnd v1 v2 = intval-and v1 v2 |
  bin-eval BinOr v1 v2 = intval-or v1 v2 |
  bin-eval BinXor v1 v2 = intval-xor v1 v2 |
  bin-eval BinShortCircuitOr v1 v2 = intval-short-circuit-or v1 v2 |
  bin-eval BinLeftShift v1 v2 = intval-left-shift v1 v2 |
  bin-eval BinRightShift v1 v2 = intval-right-shift v1 v2 |
  bin-eval BinURightShift v1 v2 = intval-uright-shift v1 v2 |
  bin-eval BinIntegerEquals v1 v2 = intval-equals v1 v2 |
  bin-eval BinIntegerLessThan v1 v2 = intval-less-than v1 v2 |
  bin-eval BinIntegerBelow v1 v2 = intval-below v1 v2 |
  bin-eval BinIntegerTest v1 v2 = intval-test v1 v2 |
  bin-eval BinIntegerNormalizeCompare v1 v2 = intval-normalize-compare v1 v2 |
  bin-eval BinIntegerMulHigh v1 v2 = intval-mul-high v1 v2
```

**lemma** *defined-eval-is-intval*:

**shows**  $\text{bin-eval } op \ x \ y \neq \text{UndefVal} \implies (\text{is-IntVal } x \wedge \text{is-IntVal } y)$   
**by** (*cases op*; *cases x*; *cases y*; *auto*)

**lemmas** *eval-thms* =

*intval-abs.simps intval-negate.simps intval-not.simps*  
*intval-logic-negation.simps intval-narrow.simps*  
*intval-sign-extend.simps intval-zero-extend.simps*  
*intval-add.simps intval-mul.simps intval-sub.simps*  
*intval-and.simps intval-or.simps intval-xor.simps*  
*intval-left-shift.simps intval-right-shift.simps*  
*intval-uright-shift.simps intval-equals.simps*  
*intval-less-than.simps intval-below.simps*

**inductive** *not-undef-or-fail* :: *Value*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* **where**

$\llbracket \text{value} \neq \text{UndefVal} \rrbracket \implies \text{not-undef-or-fail } \text{value } \text{value}$

**notation** (*latex output*)

*not-undef-or-fail* ( $- = -$ )

**inductive**

*evaltree* :: *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool* ( $[-, -] \vdash - \mapsto -$  55)  
**for** *m p* **where**

*ConstantExpr*:

$\llbracket \text{wf-value } c \rrbracket$   
 $\implies [m, p] \vdash (\text{ConstantExpr } c) \mapsto c \mid$

*ParameterExpr*:

$\llbracket i < \text{length } p; \text{valid-value } (p!i) \ s \rrbracket$   
 $\implies [m, p] \vdash (\text{ParameterExpr } i \ s) \mapsto p!i \mid$

*ConditionalExpr*:

$\llbracket [m, p] \vdash ce \mapsto cond;$   
 $cond \neq \text{UndefVal};$   
 $branch = (\text{if val-to-bool } cond \text{ then } te \text{ else } fe);$   
 $[m, p] \vdash branch \mapsto result;$   
 $result \neq \text{UndefVal};$

$[m, p] \vdash te \mapsto true; \ true \neq \text{UndefVal};$   
 $[m, p] \vdash fe \mapsto false; \ false \neq \text{UndefVal} \rrbracket$   
 $\implies [m, p] \vdash (\text{ConditionalExpr } ce \ te \ fe) \mapsto result \mid$

*UnaryExpr*:

$\llbracket [m, p] \vdash xe \mapsto x;$   
 $result = (\text{unary-eval } op \ x);$



$result \neq \text{UndefVal}]$   
 $\implies [m,p] \vdash (\text{UnaryExpr } op \ xe) \mapsto result \mid$

*BinaryExpr:*  
 $\llbracket [m,p] \vdash xe \mapsto x;$   
 $[m,p] \vdash ye \mapsto y;$   
 $result = (\text{bin-eval } op \ x \ y);$   
 $result \neq \text{UndefVal}]$   
 $\implies [m,p] \vdash (\text{BinaryExpr } op \ xe \ ye) \mapsto result \mid$

*LeafExpr:*  
 $\llbracket val = m \ n;$   
 $\text{valid-value } val \ s]$   
 $\implies [m,p] \vdash \text{LeafExpr } n \ s \mapsto val$

**code-pred** (*modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalT*)  
 $[\text{show-steps}, \text{show-mode-inference}, \text{show-intermediate-results}]$   
 $\text{evaltree} \ .$

**inductive**  
 $\text{evaltrees} :: \text{MapState} \Rightarrow \text{Params} \Rightarrow \text{IRExpr list} \Rightarrow \text{Value list} \Rightarrow \text{bool} \ ([-,] \vdash - \mapsto_L$   
 $- \ 55)$   
**for**  $m \ p$  **where**

*EvalNil:*  
 $[m,p] \vdash [] \mapsto_L [] \mid$

*EvalCons:*  
 $\llbracket [m,p] \vdash x \mapsto xval;$   
 $[m,p] \vdash yy \mapsto_L yyval]$   
 $\implies [m,p] \vdash (x \# yy) \mapsto_L (xval \# yyval)$

**code-pred** (*modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as evalTs*)  
 $\text{evaltrees} \ .$

**definition**  $\text{sq-param0} :: \text{IRExpr}$  **where**  
 $\text{sq-param0} = \text{BinaryExpr } \text{BinMul}$   
 $(\text{ParameterExpr } 0 \ (\text{IntegerStamp } 32 \ (- \ 2147483648) \ 2147483647))$   
 $(\text{ParameterExpr } 0 \ (\text{IntegerStamp } 32 \ (- \ 2147483648) \ 2147483647))$

**values**  $\{v. \text{evaltree } \text{new-map-state } [\text{IntVal } 32 \ 5] \ \text{sq-param0 } v\}$

**declare**  $\text{evaltree.intros} \ [\text{intro}]$   
**declare**  $\text{evaltrees.intros} \ [\text{intro}]$

## 6.4 Data-flow Tree Refinement

We define the induced semantic equivalence relation between expressions. Note that syntactic equality implies semantic equivalence, but not vice versa.

**definition** *equiv-exprs* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (-  $\doteq$  - 55) **where**  
 $(e1 \doteq e2) = (\forall m p v. ([m,p] \vdash e1 \mapsto v) \longleftrightarrow ([m,p] \vdash e2 \mapsto v))$

We also prove that this is a total equivalence relation (*equivp equiv-exprs*) (HOL.Equiv\_Relations), so that we can reuse standard results about equivalence relations.

**lemma** *equivp equiv-exprs*

**apply** (*auto simp add: equivp-def equiv-exprs-def*) **by** (*metis equiv-exprs-def*)+

We define a refinement ordering over *IRExpr* and show that it is a preorder. Note that it is asymmetric because *e2* may refer to fewer variables than *e1*.

**instantiation** *IRExpr* :: *preorder* **begin**

**notation** *less-eq* (**infix**  $\sqsubseteq$  65)

**definition**

*le-expr-def* [*simp*]:

$(e2 \leq e1) \longleftrightarrow (\forall m p v. ([m,p] \vdash e1 \mapsto v) \longrightarrow ([m,p] \vdash e2 \mapsto v))$

**definition**

*lt-expr-def* [*simp*]:

$(e1 < e2) \longleftrightarrow (e1 \leq e2 \wedge \neg (e1 \doteq e2))$

**instance proof**

**fix** *x y z* :: *IRExpr*

**show**  $x < y \longleftrightarrow x \leq y \wedge \neg (y \leq x)$  **by** (*simp add: equiv-exprs-def; auto*)

**show**  $x \leq x$  **by** *simp*

**show**  $x \leq y \Longrightarrow y \leq z \Longrightarrow x \leq z$  **by** *simp*

**qed**

**end**

**abbreviation** (**output**) *Refines* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool* (**infix**  $\sqsupseteq$  64)

**where**  $e1 \sqsupseteq e2 \equiv (e2 \leq e1)$

## 6.5 Stamp Masks

A stamp can contain additional range information in the form of masks. A stamp has an up mask and a down mask, corresponding to the bits that may be set and the bits that must be set.

Examples: A stamp where no range information is known will have; an up mask of -1 as all bits may be set, and a down mask of 0 as no bits must be set.

A stamp known to be one should have; an up mask of 1 as only the first bit may be set, no others, and a down mask of 1 as the first bit must be set and no others.

We currently don't carry mask information in stamps, and instead assume correct masks to prove optimizations.

```

locale stamp-mask =
  fixes up :: IRExp ⇒ int64 (↑)
  fixes down :: IRExp ⇒ int64 (↓)
  assumes up-spec: [m, p] ⊢ e ↦ IntVal b v ⇒ (and v (not ((ucast (↑e))))) = 0
  and down-spec: [m, p] ⊢ e ↦ IntVal b v ⇒ (and (not v) (ucast (↓e))) = 0
begin

```

```

lemma may-implies-either:
  [m, p] ⊢ e ↦ IntVal b v ⇒ bit (↑e) n ⇒ bit v n = False ∨ bit v n = True
by simp

```

```

lemma not-may-implies-false:
  [m, p] ⊢ e ↦ IntVal b v ⇒ ¬(bit (↑e) n) ⇒ bit v n = False
by (metis (no-types, lifting) bit.double-compl up-spec bit-and-iff bit-not-iff bit-unsigned-iff
  down-spec)

```

```

lemma must-implies-true:
  [m, p] ⊢ e ↦ IntVal b v ⇒ bit (↓e) n ⇒ bit v n = True
by (metis bit.compl-one bit-and-iff bit-minus-1-iff bit-not-iff impossible-bit ucast-id
  down-spec)

```

```

lemma not-must-implies-either:
  [m, p] ⊢ e ↦ IntVal b v ⇒ ¬(bit (↓e) n) ⇒ bit v n = False ∨ bit v n = True
by simp

```

```

lemma must-implies-may:
  [m, p] ⊢ e ↦ IntVal b v ⇒ n < 32 ⇒ bit (↓e) n ⇒ bit (↑e) n
by (meson must-implies-true not-may-implies-false)

```

```

lemma up-mask-and-zero-implies-zero:
  assumes and (↑x) (↑y) = 0
  assumes [m, p] ⊢ x ↦ IntVal b xv
  assumes [m, p] ⊢ y ↦ IntVal b yv
  shows and xv yv = 0
by (smt (z3) assms and.commute and.right-neutral bit.compl-zero bit.conj-cancel-right
  ucast-id
  bit.conj-disj-distrib(1) up-spec word-bw-assocs(1) word-not-dist(2) word-ao-absorbs(8)
  and-eq-not-not-or)

```

```

lemma not-down-up-mask-and-zero-implies-zero:
  assumes and (not (↓x)) (↑y) = 0
  assumes [m, p] ⊢ x ↦ IntVal b xv

```

```

assumes  $[m, p] \vdash y \mapsto \text{IntVal } b \ yv$ 
shows  $and \ xv \ yv = yv$ 
by (metis (no-types, opaque-lifting) assms bit.conj-cancel-left bit.conj-disj-distrib(1,2)
  bit.de-Morgan-disj ucast-id down-spec or-eq-not-not-and up-spec word-ao-absorbs(2,8)
  word-bw-lcs(1) word-not-dist(2))

end

definition IRExpr-up :: IRExpr  $\Rightarrow$  int64 where
  IRExpr-up e = not 0

definition IRExpr-down :: IRExpr  $\Rightarrow$  int64 where
  IRExpr-down e = 0

lemma ucast-zero: (ucast (0::int64)::int32) = 0
by simp

lemma ucast-minus-one: (ucast (-1::int64)::int32) = -1
apply transfer by auto

interpretation simple-mask: stamp-mask
  IRExpr-up :: IRExpr  $\Rightarrow$  int64
  IRExpr-down :: IRExpr  $\Rightarrow$  int64
apply unfold-locales
by (simp add: ucast-minus-one IRExpr-up-def IRExpr-down-def) +

end

```

## 6.6 Data-flow Tree Theorems

```

theory IRTreeEvalThms
imports
  Graph.ValueThms
  IRTreeEval
begin

```

### 6.6.1 Deterministic Data-flow Evaluation

```

lemma evalDet:
   $[m, p] \vdash e \mapsto v_1 \Rightarrow$ 
   $[m, p] \vdash e \mapsto v_2 \Rightarrow$ 
   $v_1 = v_2$ 
apply (induction arbitrary: v2 rule: evaltree.induct) by (elim EvalTreeE; auto) +

lemma evalAllDet:
   $[m, p] \vdash e \mapsto_L v1 \Rightarrow$ 
   $[m, p] \vdash e \mapsto_L v2 \Rightarrow$ 
   $v1 = v2$ 
apply (induction arbitrary: v2 rule: evaltrees.induct)
apply (elim EvalTreeE; auto)

```

**using** *evalDet* **by** *force*

### 6.6.2 Typing Properties for Integer Evaluation Functions

We use three simple typing properties on integer values: *isIntVal32*, *isIntVal64* and the more general *isIntVal*.

**lemma** *unary-eval-not-obj-ref*:  
**shows** *unary-eval op x ≠ ObjRef v*  
**by** (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-not-obj-str*:  
**shows** *unary-eval op x ≠ ObjStr v*  
**by** (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-not-array*:  
**shows** *unary-eval op x ≠ ArrayVal len v*  
**by** (*cases op*; *cases x*; *auto*)

**lemma** *unary-eval-int*:  
**assumes** *unary-eval op x ≠ UndefVal*  
**shows** *is-IntVal (unary-eval op x)*  
**by** (*cases unary-eval op x*; *auto simp add: asms unary-eval-not-obj-ref unary-eval-not-obj-str unary-eval-not-array*)

**lemma** *bin-eval-int*:  
**assumes** *bin-eval op x y ≠ UndefVal*  
**shows** *is-IntVal (bin-eval op x y)*  
**using** *asms*  
**apply** (*cases op*; *cases x*; *cases y*; *auto simp add: is-IntVal-def*)  
**apply** *presburger* +  
**prefer** 3 **prefer** 4  
**apply** (*smt (verit, del-insts) new-int.simps*)  
**apply** (*smt (verit, del-insts) new-int.simps*)  
**apply** (*meson new-int-bin.simps*) +  
**apply** (*meson bool-to-val.elims*)  
**apply** (*meson bool-to-val.elims*)  
**apply** (*smt (verit, del-insts) new-int.simps*) +  
**by** (*metis bool-to-val.elims*) +

**lemma** *IntVal0*:  
*(IntVal 32 0) = (new-int 32 0)*  
**by** *auto*

```

lemma IntVal1:
  (IntVal 32 1) = (new-int 32 1)
  by auto

lemma bin-eval-new-int:
  assumes bin-eval op x y  $\neq$  UndefVal
  shows  $\exists b\ v. (bin-eval\ op\ x\ y) = new-int\ b\ v \wedge$ 
     $b = (if\ op \in binary-fixed-32-ops\ then\ 32\ else\ intval-bits\ x)$ 
  using is-IntVal-def assms
proof (cases op)
  case BinAdd
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinMul
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinDiv
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (meson new-int-bin.simps)
next
  case BinMod
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (meson new-int-bin.simps)
next
  case BinSub
  then show ?thesis
    using assms apply (cases x; cases y; auto) by presburger
next
  case BinAnd
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-and)+
next
  case BinOr
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-or)+
next
  case BinXor
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (metis take-bit-xor)+
next
  case BinShortCircuitOr
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    by (metis IntVal1 bits-mod-0 bool-to-val.elims new-int.simps take-bit-eq-mod)+

```

```

next
  case BinLeftShift
  then show ?thesis
    using assms by (cases x; cases y; auto)
next
  case BinRightShift
  then show ?thesis
    using assms apply (cases x; cases y; auto) by (smt (verit, del-insts) new-int.simps)+
next
  case BinURightShift
  then show ?thesis
    using assms by (cases x; cases y; auto)
next
  case BinIntegerEquals
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis (full-types) IntVal0 IntVal1 bool-to-val.simps(1,2) new-int.elims)
by presburger
next
  case BinIntegerLessThan
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis (no-types, opaque-lifting) bool-to-val.simps(1,2) bool-to-val.elims
new-int.simps
      IntVal1 take-bit-of-0)
    by presburger
next
  case BinIntegerBelow
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
    by presburger
next
  case BinIntegerTest
  then show ?thesis
    using assms apply (cases x; cases y; auto)
    apply (metis bool-to-val.simps(1,2) bool-to-val.elims new-int.simps IntVal0 Int-
Val1)
    by presburger
next
  case BinIntegerNormalizeCompare
  then show ?thesis
    using assms apply (cases x; cases y; auto) using take-bit-of-0 apply blast
    by (metis IntVal1 intval-word.simps new-int.elims take-bit-minus-one-eq-mask)+
next
  case BinIntegerMulHigh
  then show ?thesis
    using assms apply (cases x; cases y; auto)

```

```

    prefer 2 prefer 5 prefer 8
    apply presburger+
    by metis+
qed

```

```

lemma int-stamp:
  assumes is-IntVal v
  shows is-IntegerStamp (constantAsStamp v)
  using assms is-IntVal-def by auto

```

```

lemma validStampIntConst:
  assumes v = IntVal b ival
  assumes 0 < b ∧ b ≤ 64
  shows valid-stamp (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
    int-signed-value b ival ≤ snd (bit-bounds b)
    using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value
    b ival)
    using assms(1) by simp
  then show ?thesis
    unfolding s valid-stamp.simps using assms(2) bnds by linarith
qed

```

```

lemma validDefIntConst:
  assumes v: v = IntVal b ival
  assumes 0 < b ∧ b ≤ 64
  assumes take-bit b ival = ival
  shows valid-value v (constantAsStamp v)
proof -
  have bnds: fst (bit-bounds b) ≤ int-signed-value b ival ∧
    int-signed-value b ival ≤ snd (bit-bounds b)
    using assms(2) int-signed-value-bounds by simp
  have s: constantAsStamp v = IntegerStamp b (int-signed-value b ival) (int-signed-value
    b ival)
    using assms(1) by simp
  then show ?thesis
    using assms validStampIntConst by simp
qed

```

### 6.6.3 Evaluation Results are Valid

A valid value cannot be *UndefVal*.

```

lemma valid-not-undef:
  assumes valid-value val s
  assumes s ≠ VoidStamp
  shows val ≠ UndefVal
  apply (rule valid-value.elims(1)[of val s True]) using assms by auto

```



```

lemma valid-VoidStamp[elim]:
  shows valid-value val VoidStamp  $\implies$  val =.UndefVal
  by simp

lemma valid-ObjStamp[elim]:
  shows valid-value val (ObjectStamp klass exact nonNull alwaysNull)  $\implies$   $(\exists v. \text{val} = \text{ObjRef } v)$ 
  by (metis Value.exhaust valid-value.simps(3,11,12,18))

lemma valid-int[elim]:
  shows valid-value val (IntegerStamp b lo hi)  $\implies$   $(\exists v. \text{val} = \text{IntVal } b \ v)$ 
  using valid-value.elims(2) by fastforce

lemmas valid-value-elim =
  valid-VoidStamp
  valid-ObjStamp
  valid-int

lemma evaltree-not-undef:
  fixes m p e v
  shows  $([m,p] \vdash e \mapsto v) \implies v \neq \text{UndefVal}$ 
  apply (induction rule: evaltree.induct) by (auto simp add: wf-value-def)

lemma leafint:
  assumes  $[m,p] \vdash \text{LeafExpr } i \ (\text{IntegerStamp } b \ lo \ hi) \mapsto \text{val}$ 
  shows  $\exists b \ v. \text{val} = (\text{IntVal } b \ v)$ 

proof –
  have valid-value val (IntegerStamp b lo hi)
  using assms by (rule LeafExprE; simp)
  then show ?thesis
  by auto
qed

lemma default-stamp [simp]: default-stamp = IntegerStamp 32 (-2147483648)
2147483647
  by (auto simp add: default-stamp-def)

lemma valid-value-signed-int-range [simp]:
  assumes valid-value val (IntegerStamp b lo hi)
  assumes lo < 0
  shows  $\exists v. (\text{val} = \text{IntVal } b \ v \wedge$ 
     $lo \leq \text{int-signed-value } b \ v \wedge$ 
     $\text{int-signed-value } b \ v \leq hi)$ 
  by (metis valid-value.simps(1) assms(1) valid-int)

```

#### 6.6.4 Example Data-flow Optimisations

#### 6.6.5 Monotonicity of Expression Refinement

We prove that each subexpression position is monotonic. That is, optimizing a subexpression anywhere deep inside a top-level expression also optimizes that top-level expression.

Note that we might also be able to do this via reusing Isabelle's *mono* operator (HOL.Orderings theory), proving instantiations like *mono(UnaryExpr op)*, but it is not obvious how to do this for both arguments of the binary expressions.

**lemma** *mono-unary*:

**assumes**  $x \geq x'$   
**shows**  $(UnaryExpr\ op\ x) \geq (UnaryExpr\ op\ x')$   
**using** *assms* **by** *auto*

**lemma** *mono-binary*:

**assumes**  $x \geq x'$   
**assumes**  $y \geq y'$   
**shows**  $(BinaryExpr\ op\ x\ y) \geq (BinaryExpr\ op\ x'\ y')$   
**using** *BinaryExpr assms* **by** *auto*

**lemma** *never-void*:

**assumes**  $[m, p] \vdash x \mapsto xv$   
**assumes** *valid-value xv (stamp-expr xe)*  
**shows** *stamp-expr xe*  $\neq$  *VoidStamp*  
**using** *assms(2)* **by** *force*

**lemma** *compatible-trans*:

*compatible x y*  $\wedge$  *compatible y z*  $\implies$  *compatible x z*  
**by** (*cases x; cases y; cases z; auto*)

**lemma** *compatible-refl*:

*compatible x y*  $\implies$  *compatible y x*  
**using** *compatible.elims(2)* **by** *fastforce*

**lemma** *mono-conditional*:

**assumes**  $c \geq c'$   
**assumes**  $t \geq t'$   
**assumes**  $f \geq f'$   
**shows**  $(ConditionalExpr\ c\ t\ f) \geq (ConditionalExpr\ c'\ t'\ f')$   
**proof** (*simp only: le-expr-def; (rule allI)+; rule impI*)

```

fix  $m\ p\ v$ 
assume  $a$ :  $[m,p] \vdash \text{ConditionalExpr } c\ t\ f \mapsto v$ 
then obtain  $cond$  where  $c$ :  $[m,p] \vdash c \mapsto cond$ 
  by auto
then have  $c'$ :  $[m,p] \vdash c' \mapsto cond$ 
  using assms by simp

then obtain  $tr$  where  $tr$ :  $[m,p] \vdash t \mapsto tr$ 
  using  $a$  by auto
then have  $tr'$ :  $[m,p] \vdash t' \mapsto tr$ 
  using assms(2) by auto
then obtain  $fa$  where  $fa$ :  $[m,p] \vdash f \mapsto fa$ 
  using  $a$  by blast
then have  $fa'$ :  $[m,p] \vdash f' \mapsto fa$ 
  using assms(3) by auto
define  $branch$  where  $b$ :  $branch = (if\ val\text{-to-bool}\ cond\ then\ t\ else\ f)$ 
define  $branch'$  where  $b'$ :  $branch' = (if\ val\text{-to-bool}\ cond\ then\ t'\ else\ f')$ 
then have  $beval$ :  $[m,p] \vdash branch \mapsto v$ 
  using  $a\ b\ c\ evalDet$  by blast

from  $beval$  have  $[m,p] \vdash branch' \mapsto v$ 
  using assms by (auto simp add: b b')
then show  $[m,p] \vdash \text{ConditionalExpr } c'\ t'\ f' \mapsto v$ 
  using  $c'\ fa'\ tr'$  by (simp add: evaltree-not-undef b' ConditionalExpr)
qed

```

## 6.7 Unfolding rules for evaltree quadruples down to bin-eval level

These rewrite rules can be useful when proving optimizations. They support top-down rewriting of each level of the tree into the lower-level *bin<sub>e</sub>eval* / *unary<sub>e</sub>eval* level, simply by saying *unfoldingunfold<sub>e</sub>evaltree*.

**lemma** *unfold-const*:

```

( $[m,p] \vdash \text{ConstantExpr } c \mapsto v$ ) = (wf-value  $v \wedge v = c$ )
by auto

```

**lemma** *unfold-binary*:

```

shows ( $[m,p] \vdash \text{BinaryExpr } op\ xe\ ye \mapsto val$ ) = ( $\exists\ x\ y.$ 
  ( $[m,p] \vdash xe \mapsto x$ )  $\wedge$ 
  ( $[m,p] \vdash ye \mapsto y$ )  $\wedge$ 
  ( $val = bin\text{-eval } op\ x\ y$ )  $\wedge$ 
  ( $val \neq UndefinedVal$ )
  ) (is  $?L = ?R$ )
proof (intro iffI)
  assume  $\exists$ :  $?L$ 
  show  $?R$  by (rule evaltree.cases[OF ??]; blast+)

```

```

next
  assume ?R
  then obtain x y where [m,p] ⊢ xe ↦ x
    and [m,p] ⊢ ye ↦ y
    and val = bin-eval op x y
    and val ≠ UndefVal
  by auto
  then show ?L
    by (rule BinaryExpr)
qed

```

```

lemma unfold-unary:
  shows ([m,p] ⊢ UnaryExpr op xe ↦ val)
    = (∃ x.
      (([m,p] ⊢ xe ↦ x) ∧
       (val = unary-eval op x) ∧
       (val ≠ UndefVal)
      )) (is ?L = ?R)
  by auto

```

```

lemmas unfold-evaltree =
  unfold-binary
  unfold-unary

```

## 6.8 Lemmas about *new\_int* and integer eval results.

```

lemma unary-eval-new-int:
  assumes def: unary-eval op x ≠ UndefVal
  shows ∃ b v. (unary-eval op x = new-int b v ∧

```

$$\begin{aligned}
 b = & \text{ (if } op \in \text{normal-unary} \quad \text{then } \text{intval-bits } x \text{ else} \\
 & \text{if } op \in \text{boolean-unary} \quad \text{then } 32 \quad \text{else} \\
 & \text{if } op \in \text{unary-fixed-32-ops} \text{ then } 32 \quad \text{else} \\
 & \text{ir-resultBits } op)
 \end{aligned}$$

```

proof (cases op)
  case UnaryAbs
  then show ?thesis
    apply auto
    by (metis intval-bits.simps intval-abs.simps(1) UnaryAbs def new-int.elims
        unary-eval.simps(1)
        intval-abs.elims)
next
  case UnaryNeg
  then show ?thesis
    apply auto
    by (metis def intval-bits.simps intval-negate.elims new-int.elims unary-eval.simps(2))
next

```

```

    case UnaryNot
    then show ?thesis
      apply auto
      by (metis intval-bits.simps intval-not.elims new-int.simps unary-eval.simps(3)
def)
next
    case UnaryLogicNegation
    then show ?thesis
      apply auto
      by (metis intval-bits.simps UnaryLogicNegation intval-logic-negation.elims new-int.elims
def
      unary-eval.simps(4))
next
    case (UnaryNarrow x51 x52)
    then show ?thesis
      using assms apply auto
      subgoal premises p
      proof -
        obtain xb xv where xv: x = IntVal xb xv
        by (metis UnaryNarrow def intval-logic-negation.cases intval-narrow.simps(2,3,4,5)
            unary-eval.simps(5))
        then have evalNotUndef: intval-narrow x51 x52 x ≠ UndefVal
          using p by fast
        then show ?thesis
          by (metis (no-types, lifting) new-int.elims intval-narrow.simps(1) xv)
      qed done
next
    case (UnarySignExtend x61 x62)
    then show ?thesis
      using assms apply auto
      subgoal premises p
      proof -
        obtain xb xv where xv: x = IntVal xb xv
          by (metis Value.exhaust intval-sign-extend.simps(2,3,4,5) p(2))
        then have evalNotUndef: intval-sign-extend x61 x62 x ≠ UndefVal
          using p by fast
        then show ?thesis
          by (metis intval-sign-extend.simps(1) new-int.elims xv)
      qed done
next
    case (UnaryZeroExtend x71 x72)
    then show ?thesis
      using assms apply auto
      subgoal premises p
      proof -
        obtain xb xv where xv: x = IntVal xb xv
          by (metis Value.exhaust intval-zero-extend.simps(2,3,4,5) p(2))
        then have evalNotUndef: intval-zero-extend x71 x72 x ≠ UndefVal
          using p by fast

```

```

      then show ?thesis
      by (metis intval-zero-extend.simps(1) new-int.elims xv)
    qed done
  next
    case UnaryIsNull
    then show ?thesis
    apply auto
    by (metis bool-to-val.simps(1) new-int.simps IntVal0 IntVal1 unary-eval.simps(8)
    assms def
        intval-is-null.elims bool-to-val.elims)
  next
    case UnaryReverseBytes
    then show ?thesis
    apply auto
    by (metis intval-bits.simps intval-reverse-bytes.elims new-int.elims unary-eval.simps(9)
    def)
  next
    case UnaryBitCount
    then show ?thesis
    apply auto
    by (metis intval-bit-count.elims new-int.simps unary-eval.simps(10) intval-bit-count.simps(1)
    def)
qed

lemma new-int-unused-bits-zero:
  assumes IntVal b ival = new-int b ival0
  shows take-bit b ival = ival
  by (simp add: new-int-take-bits assms)

lemma unary-eval-unused-bits-zero:
  assumes unary-eval op x = IntVal b ival
  shows take-bit b ival = ival
  by (metis unary-eval-new-int Value.inject(1) new-int.elims new-int-unused-bits-zero
  Value.simps(5)
      assms)

lemma bin-eval-unused-bits-zero:
  assumes bin-eval op x y = (IntVal b ival)
  shows take-bit b ival = ival
  by (metis bin-eval-new-int Value.distinct(1) Value.inject(1) new-int.elims new-int-take-bits
      assms)

lemma eval-unused-bits-zero:
  [m,p] ⊢ xe ↦ (IntVal b ix) ⟹ take-bit b ix = ix
proof (induction xe)
  case (UnaryExpr x1 xe)
  then show ?case
  by (auto simp add: unary-eval-unused-bits-zero)

```

```

next
  case (BinaryExpr x1 xe1 xe2)
  then show ?case
  by (auto simp add: bin-eval-unused-bits-zero)
next
  case (ConditionalExpr xe1 xe2 xe3)
  then show ?case
  by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr i s)
  then have valid-value (p!i) s
  by fastforce
  then show ?case
  by (metis (no-types, opaque-lifting) Value.distinct(9) intval-bits.simps valid-value.elims(2)
    local.ParameterExpr ParameterExprE intval-word.simps)
next
  case (LeafExpr x1 x2)
  then show ?case
  apply auto
  by (metis (no-types, opaque-lifting) intval-bits.simps intval-word.simps valid-value.elims(2)
    valid-value.simps(18))
next
  case (ConstantExpr x)
  then show ?case
  by (metis EvalTreeE(1) constantAsStamp.simps(1) valid-value.simps(1) wf-value-def)
next
  case (ConstantVar x)
  then show ?case
  by auto
next
  case (VariableExpr x1 x2)
  then show ?case
  by auto
qed

```

```

lemma unary-normal-bitsize:
  assumes unary-eval op x = IntVal b ival
  assumes op ∈ normal-unary
  shows ∃ ix. x = IntVal b ix
  using assms apply (cases op; auto) prefer 5
  apply (smt (verit, ccfv-threshold) Value.distinct(1) Value.inject(1) intval-reverse-bytes.elims
    new-int.simps)
  by (metis Value.distinct(1) Value.inject(1) intval-logic-negation.elims new-int.simps
    intval-not.elims intval-negate.elims intval-abs.elims)+

```

```

lemma unary-not-normal-bitsize:
  assumes unary-eval op x = IntVal b ival
  assumes op ∉ normal-unary ∧ op ∉ boolean-unary ∧ op ∉ unary-fixed-32-ops
  shows b = ir-resultBits op ∧ 0 < b ∧ b ≤ 64

```

**apply** (*cases op*) **prefer 8 prefer 10 prefer 10 using** *assms apply blast+*  
**by** (*smt(verit, ccfv-SIG) Value.distinct(1) assms(1) intval-bits.simps intval-narrow.elims*  
*intval-narrow-ok intval-zero-extend.elims linorder-not-less neq0-conv new-int.simps*  
*unary-eval.simps(5,6,7) IRUnaryOp.sel(4,5,6) intval-sign-extend.elims*)**+**

**lemma** *unary-eval-bitsize*:

**assumes** *unary-eval op x = IntVal b ival*  
**assumes** *2: x = IntVal bx ix*  
**assumes** *0 < bx ∧ bx ≤ 64*  
**shows** *0 < b ∧ b ≤ 64*  
**using** *assms apply (cases op; simp)*  
**by** (*metis Value.distinct(1) Value.inject(1) intval-narrow.simps(1) le-zero-eq int-*  
*val-narrow-ok*  
*new-int.simps le-zero-eq gr-zeroI*)**+**

**lemma** *bin-eval-inputs-are-ints*:

**assumes** *bin-eval op x y = IntVal b ix*  
**obtains** *xb yb xi yi where x = IntVal xb xi ∧ y = IntVal yb yi*  
**proof** –  
**have** *bin-eval op x y ≠ UndefVal*  
**by** (*simp add: assms*)  
**then show** *?thesis*  
**using** *assms that by (cases op; cases x; cases y; auto)*  
**qed**

**lemma** *eval-bits-1-64*:

*[m,p] ⊢ xe ↦ (IntVal b ix) ⇒ 0 < b ∧ b ≤ 64*  
**proof** (*induction xe arbitrary: b ix*)  
**case** (*UnaryExpr op x2*)  
**then obtain** *xv where*  
*xv: ([m,p] ⊢ x2 ↦ xv) ∧*  
*IntVal b ix = unary-eval op xv*  
**by** (*auto simp add: unfold-binary*)  
**then have** *b = (if op ∈ normal-unary then intval-bits xv else*  
*if op ∈ unary-fixed-32-ops then 32 else*  
*if op ∈ boolean-unary then 32 else*  
*ir-resultBits op)*  
**by** (*metis Value.disc(1) Value.discI(1) Value.sel(1) new-int.simps unary-eval-new-int*)  
**then show** *?case*  
**by** (*metis xv linorder-le-cases linorder-not-less numeral-less-iff semiring-norm(76,78)*  
*gr0I*  
*unary-normal-bitsize unary-not-normal-bitsize UnaryExpr.IH*)

**next**

**case** (*BinaryExpr op x y*)  
**then obtain** *xv yv where*  
*xy: ([m,p] ⊢ x ↦ xv) ∧*  
*([m,p] ⊢ y ↦ yv) ∧*



```

      IntVal b ix = bin-eval op xv yv
    by (auto simp add: unfold-binary)
  then have def: bin-eval op xv yv ≠ UndefVal and xv: xv ≠ UndefVal and yv ≠
UndefVal
    using evaltree-not-undef xy by (force, blast, blast)
  then have b = (if op ∈ binary-fixed-32-ops then 32 else intval-bits xv)
    by (metis xy intval-bits.simps new-int.simps bin-eval-new-int)
  then show ?case
    by (smt (verit, best) Value.distinct(9,11,13) BinaryExpr.IH(1) xv bin-eval-inputs-are-ints
xy
      intval-bits.elims le-add-same-cancel1 less-or-eq-imp-le numeral-Bit0 zero-less-numeral)
next
  case (ConditionalExpr xe1 xe2 xe3)
  then show ?case
    by (metis (full-types) EvalTreeE(3))
next
  case (ParameterExpr x1 x2)
  then show ?case
    apply auto
    using valid-value.elims(2)
    by (metis valid-stamp.simps(1) intval-bits.simps valid-value.simps(18))+
next
  case (LeafExpr x1 x2)
  then show ?case
    apply auto
    using valid-value.elims(1,2)
    by (metis Value.inject(1) valid-stamp.simps(1) valid-value.simps(18) Value.distinct(9))+
next
  case (ConstantExpr x)
  then show ?case
    by (metis wf-value-def constantAsStamp.simps(1) valid-stamp.simps(1) valid-value.simps(1)
      EvalTreeE(1))
next
  case (ConstantVar x)
  then show ?case
    by auto
next
  case (VariableExpr x1 x2)
  then show ?case
    by auto
qed

```

```

lemma bin-eval-normal-bits:
  assumes op ∈ binary-normal
  assumes bin-eval op x y = xy
  assumes xy ≠ UndefVal
  shows ∃ xv yv xyv b. (x = IntVal b xv ∧ y = IntVal b yv ∧ xy = IntVal b xyv)
  using assms apply simp

```

```

proof (cases op ∈ binary-normal)
case True
then show ?thesis
  proof –
    have operator: xy = bin-eval op x y
    by (simp add: assms(2))
    obtain xv xb where xv: x = IntVal xb xv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    obtain yv yb where yv: y = IntVal yb yv
    by (metis assms(3) bin-eval-inputs-are-ints bin-eval-int is-IntVal-def operator)
    then have notUndefMeansWidthSame: bin-eval op x y ≠ UndefVal ⇒ (xb
= yb)
    using assms apply (cases op; auto)
    by (metis intval-xor.simps(1) intval-or.simps(1) intval-div.simps(1) int-
val-mod.simps(1) intval-and.simps(1) intval-sub.simps(1)
    intval-mul.simps(1) intval-add.simps(1) new-int-bin.elims xv)+
    then have inWidthsSame: xb = yb
    using assms(3) operator by auto
    obtain ob xyv where out: xy = IntVal ob xyv
    by (metis Value.collapse(1) assms(3) bin-eval-int operator)
    then have yb = ob
    using assms apply (cases op; auto)
    apply (simp add: inWidthsSame xv yv)+
    apply (metis assms(3) intval-bits.simps new-int.simps new-int-bin.elims)
    apply (metis xv yv Value.distinct(1) intval-mod.simps(1) new-int.simps
new-int-bin.elims)
    by (simp add: inWidthsSame xv yv)+
    then show ?thesis
    using xv yv inWidthsSame assms out by blast
  qed
next
  case False
  then show ?thesis
    using assms by simp
  qed

lemma unfold-binary-width-bin-normal:
  assumes op ∈ binary-normal
  shows  $\bigwedge^{xv\ yv}.$ 
    IntVal b val = bin-eval op xv yv ⇒
    [m,p] ⊢ xe ↦ xv ⇒
    [m,p] ⊢ ye ↦ yv ⇒
    bin-eval op xv yv ≠ UndefVal ⇒
    ∃ xa.
    (([m,p] ⊢ xe ↦ IntVal b xa) ∧
    (∃ ya. ([m,p] ⊢ ye ↦ IntVal b ya) ∧
    bin-eval op xv yv = bin-eval op (IntVal b xa) (IntVal b ya)))
  using assms apply simp
  subgoal premises p for x y

```

```

proof –
  obtain  $xv\ yv$  where  $eval: ([m,p] \vdash xe \mapsto xv) \wedge ([m,p] \vdash ye \mapsto yv)$ 
    using  $p(2,3)$  by blast
  then obtain  $xa\ bb$  where  $xa: xv = IntVal\ bb\ xa$ 
    by  $(metis\ bin-eval-inputs-are-ints\ evalDet\ p(1,2))$ 
  then obtain  $ya\ yb$  where  $ya: yv = IntVal\ yb\ ya$ 
    by  $(metis\ bin-eval-inputs-are-ints\ evalDet\ p(1,3)\ eval)$ 
  then have  $eqWidth: bb = b$ 
    by  $(metis\ intval-bits.simps\ p(1,2,4)\ assms\ eval\ xa\ bin-eval-normal-bits\ evalDet)$ 
  then obtain  $xy$  where  $eval0: bin-eval\ op\ x\ y = IntVal\ b\ xy$ 
    by  $(metis\ p(1))$ 
  then have  $sameVals: bin-eval\ op\ x\ y = bin-eval\ op\ xv\ yv$ 
    by  $(metis\ evalDet\ p(2,3)\ eval)$ 
  then have  $notUndefMeansSameWidth: bin-eval\ op\ xv\ yv \neq UndefVal \implies (bb = yb)$ 
    using  $assms$  apply  $(cases\ op; auto)$ 
    by  $(metis\ intval-add.simps(1)\ intval-mul.simps(1)\ intval-div.simps(1)\ intval-mod.simps(1)\ intval-sub.simps(1)\ intval-and.simps(1)\ intval-or.simps(1)\ intval-xor.simps(1)\ new-int-bin.simps\ xa\ ya) +$ 
  have  $unfoldVal: bin-eval\ op\ x\ y = bin-eval\ op\ (IntVal\ bb\ xa)\ (IntVal\ yb\ ya) +$ 
    unfolding  $sameVals\ xa\ ya$  by simp
  then have  $sameWidth: b = yb$ 
    using  $eqWidth\ notUndefMeansSameWidth\ p(4)\ sameVals$  by force
  then show  $?thesis$ 
    using  $eqWidth\ eval\ xa\ ya\ unfoldVal$  by blast
qed
done

```

```

lemma unfold-binary-width:
  assumes  $op \in binary-normal$ 
  shows  $([m,p] \vdash BinaryExpr\ op\ xe\ ye \mapsto IntVal\ b\ val) = (\exists\ x\ y.$ 
     $(([m,p] \vdash xe \mapsto IntVal\ b\ x) \wedge$ 
     $([m,p] \vdash ye \mapsto IntVal\ b\ y) \wedge$ 
     $(IntVal\ b\ val = bin-eval\ op\ (IntVal\ b\ x)\ (IntVal\ b\ y)) \wedge$ 
     $(IntVal\ b\ val \neq UndefVal)$ 
     $))\ (is\ ?L = ?R)$ 
proof  $(intro\ iffI)$ 
  assume  $?L: ?L$ 
  show  $?R$ 
    apply  $(rule\ evaltree.cases[OF\ ?L])$  apply auto
    apply  $(cases\ op \in binary-normal)$ 
    using unfold-binary-width-bin-normal assms by force
next
  assume  $R: ?R$ 
  then obtain  $x\ y$  where  $[m,p] \vdash xe \mapsto IntVal\ b\ x$ 
    and  $[m,p] \vdash ye \mapsto IntVal\ b\ y$ 
    and  $new-int\ b\ val = bin-eval\ op\ (IntVal\ b\ x)\ (IntVal\ b\ y)$ 
    and  $new-int\ b\ val \neq UndefVal$ 
    using bin-eval-unused-bits-zero by force

```

```

    then show ?L
    using R by blast
qed

end

```

## 7 Tree to Graph

```

theory TreeToGraph
imports
  Semantics.IRTreeEval
  Graph.IRGraph
begin

```

### 7.1 Subgraph to Data-flow Tree

```

fun find-node-and-stamp :: IRGraph  $\Rightarrow$  (IRNode  $\times$  Stamp)  $\Rightarrow$  ID option where
  find-node-and-stamp g (n,s) =
    find ( $\lambda i.$  kind g i = n  $\wedge$  stamp g i = s) (sorted-list-of-set(ids g))

export-code find-node-and-stamp

```

```

fun is-preevaluated :: IRNode  $\Rightarrow$  bool where
  is-preevaluated (InvokeNode n - - - -) = True |
  is-preevaluated (InvokeWithExceptionNode n - - - -) = True |
  is-preevaluated (NewInstanceNode n - -) = True |
  is-preevaluated (LoadFieldNode n - -) = True |
  is-preevaluated (SignedDivNode n - - - -) = True |
  is-preevaluated (SignedRemNode n - - - -) = True |
  is-preevaluated (ValuePhiNode n -) = True |
  is-preevaluated (BytecodeExceptionNode n -) = True |
  is-preevaluated (NewArrayNode n -) = True |
  is-preevaluated (ArrayLengthNode n -) = True |
  is-preevaluated (LoadIndexedNode n - -) = True |
  is-preevaluated (StoreIndexedNode n - - - -) = True |
  is-preevaluated - = False

```

#### inductive

```

rep :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  IRExpr  $\Rightarrow$  bool ( $- \vdash - \simeq -$  55)
for g where

```

```

  ConstantNode:
   $\llbracket \text{kind } g \text{ } n = \text{ConstantNode } c \rrbracket$ 
   $\implies g \vdash n \simeq (\text{ConstantExpr } c)$  |

```

```

  ParameterNode:
   $\llbracket \text{kind } g \text{ } n = \text{ParameterNode } i;$ 
   $\text{stamp } g \text{ } n = s \rrbracket$ 

```

$$\implies g \vdash n \simeq (\text{ParameterExpr } i \ s) \mid$$

*ConditionalNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{ConditionalNode } c \ t \ f; \\ & \quad g \vdash c \simeq ce; \\ & \quad g \vdash t \simeq te; \\ & \quad g \vdash f \simeq fe \rrbracket \\ & \implies g \vdash n \simeq (\text{ConditionalExpr } ce \ te \ fe) \mid \end{aligned}$$

*AbsNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{AbsNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryAbs } xe) \mid \end{aligned}$$

*ReverseBytesNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{ReverseBytesNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryReverseBytes } xe) \mid \end{aligned}$$

*BitCountNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{BitCountNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryBitCount } xe) \mid \end{aligned}$$

*NotNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{NotNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryNot } xe) \mid \end{aligned}$$

*NegateNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{NegateNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryNeg } xe) \mid \end{aligned}$$

*LogicNegationNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{LogicNegationNode } x; \\ & \quad g \vdash x \simeq xe \rrbracket \\ & \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryLogicNegation } xe) \mid \end{aligned}$$

*AddNode:*

$$\begin{aligned} & \llbracket \text{kind } g \ n = \text{AddNode } x \ y; \\ & \quad g \vdash x \simeq xe; \\ & \quad g \vdash y \simeq ye \rrbracket \\ & \implies g \vdash n \simeq (\text{BinaryExpr } \text{BinAdd } xe \ ye) \mid \end{aligned}$$

*MulNode:*

$$\llbracket \text{kind } g \ n = \text{MulNode } x \ y;$$

$$\begin{aligned}
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinMul } xe \ ye) \mid
\end{aligned}$$

*DivNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinDiv } xe \ ye) \mid
\end{aligned}$$

*ModNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinMod } xe \ ye) \mid
\end{aligned}$$

*SubNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{SubNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinSub } xe \ ye) \mid
\end{aligned}$$

*AndNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{AndNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinAnd } xe \ ye) \mid
\end{aligned}$$

*OrNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{OrNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinOr } xe \ ye) \mid
\end{aligned}$$

*XorNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{XorNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinXor } xe \ ye) \mid
\end{aligned}$$

*ShortCircuitOrNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{ShortCircuitOrNode } x \ y; \\
&g \vdash x \simeq xe; \\
&g \vdash y \simeq ye]] \\
\implies &g \vdash n \simeq (\text{BinaryExpr BinShortCircuitOr } xe \ ye) \mid
\end{aligned}$$

*LeftShiftNode:*

$$\begin{aligned}
&[[\text{kind } g \ n = \text{LeftShiftNode } x \ y; \\
&g \vdash x \simeq xe;
\end{aligned}$$

$$g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinLeftShift } xe \ ye) \mid$$

*RightShiftNode:*

$$[kind \ g \ n = \text{RightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinRightShift } xe \ ye) \mid$$

*UnsignedRightShiftNode:*

$$[kind \ g \ n = \text{UnsignedRightShiftNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinURightShift } xe \ ye) \mid$$

*IntegerBelowNode:*

$$[kind \ g \ n = \text{IntegerBelowNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerBelow } xe \ ye) \mid$$

*IntegerEqualsNode:*

$$[kind \ g \ n = \text{IntegerEqualsNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerEquals } xe \ ye) \mid$$

*IntegerLessThanNode:*

$$[kind \ g \ n = \text{IntegerLessThanNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerLessThan } xe \ ye) \mid$$

*IntegerTestNode:*

$$[kind \ g \ n = \text{IntegerTestNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerTest } xe \ ye) \mid$$

*IntegerNormalizeCompareNode:*

$$[kind \ g \ n = \text{IntegerNormalizeCompareNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye] \\ \implies g \vdash n \simeq (\text{BinaryExpr BinIntegerNormalizeCompare } xe \ ye) \mid$$

*IntegerMulHighNode:*

$$[kind \ g \ n = \text{IntegerMulHighNode } x \ y; \\ g \vdash x \simeq xe; \\ g \vdash y \simeq ye]$$

$\implies g \vdash n \simeq (\text{BinaryExpr } \text{BinIntegerMulHigh } xe \ ye) \mid$

*NarrowNode:*

$\llbracket \text{kind } g \ n = \text{NarrowNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe \rrbracket \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryNarrow } \text{inputBits } \text{resultBits}) \ xe) \mid$

*SignExtendNode:*

$\llbracket \text{kind } g \ n = \text{SignExtendNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe \rrbracket \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnarySignExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*ZeroExtendNode:*

$\llbracket \text{kind } g \ n = \text{ZeroExtendNode } \text{inputBits } \text{resultBits } x; \\ g \vdash x \simeq xe \rrbracket \\ \implies g \vdash n \simeq (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) \ xe) \mid$

*LeafNode:*

$\llbracket \text{is-preevaluated } (\text{kind } g \ n); \\ \text{stamp } g \ n = s \rrbracket \\ \implies g \vdash n \simeq (\text{LeafExpr } n \ s) \mid$

*PiNode:*

$\llbracket \text{kind } g \ n = \text{PiNode } n' \ \text{guard}; \\ g \vdash n' \simeq e \rrbracket \\ \implies g \vdash n \simeq e \mid$

*RefNode:*

$\llbracket \text{kind } g \ n = \text{RefNode } n'; \\ g \vdash n' \simeq e \rrbracket \\ \implies g \vdash n \simeq e \mid$

*IsNullNode:*

$\llbracket \text{kind } g \ n = \text{IsNullNode } v; \\ g \vdash v \simeq \text{lf}n \rrbracket \\ \implies g \vdash n \simeq (\text{UnaryExpr } \text{UnaryIsNull } \text{lf}n)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprE*) *rep* .

**inductive**

*replist* :: *IRGraph*  $\Rightarrow$  *ID list*  $\Rightarrow$  *IRExpr list*  $\Rightarrow$  *bool* ( $\vdash \simeq_L$  - 55)  
**for** *g* **where**

*RepNil*:



$g \vdash [] \simeq_L [] \mid$

*RepCons:*

$\llbracket g \vdash x \simeq xe; \\ g \vdash xs \simeq_L xse \rrbracket \\ \implies g \vdash x\#xs \simeq_L xe\#xse$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *exprListE*) *replist* .

**definition** *wf-term-graph* :: *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**  
*wf-term-graph* *m p g n* = ( $\exists e. (g \vdash n \simeq e) \wedge (\exists v. ([m, p] \vdash e \mapsto v))$ )

**values** {*t. eg2-sq*  $\vdash 4 \simeq t$ }

## 7.2 Data-flow Tree to Subgraph

**fun** *unary-node* :: *IRUnaryOp*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode* **where**

*unary-node* *UnaryAbs* *v* = *AbsNode* *v* |  
*unary-node* *UnaryNot* *v* = *NotNode* *v* |  
*unary-node* *UnaryNeg* *v* = *NegateNode* *v* |  
*unary-node* *UnaryLogicNegation* *v* = *LogicNegationNode* *v* |  
*unary-node* (*UnaryNarrow* *ib rb*) *v* = *NarrowNode* *ib rb v* |  
*unary-node* (*UnarySignExtend* *ib rb*) *v* = *SignExtendNode* *ib rb v* |  
*unary-node* (*UnaryZeroExtend* *ib rb*) *v* = *ZeroExtendNode* *ib rb v* |  
*unary-node* *UnaryIsNull* *v* = *IsNullNode* *v* |  
*unary-node* *UnaryReverseBytes* *v* = *ReverseBytesNode* *v* |  
*unary-node* *UnaryBitCount* *v* = *BitCountNode* *v*

**fun** *bin-node* :: *IRBinaryOp*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRNode* **where**

*bin-node* *BinAdd* *x y* = *AddNode* *x y* |  
*bin-node* *BinMul* *x y* = *MulNode* *x y* |  
*bin-node* *BinDiv* *x y* = *SignedFloatingIntegerDivNode* *x y* |  
*bin-node* *BinMod* *x y* = *SignedFloatingIntegerRemNode* *x y* |  
*bin-node* *BinSub* *x y* = *SubNode* *x y* |  
*bin-node* *BinAnd* *x y* = *AndNode* *x y* |  
*bin-node* *BinOr* *x y* = *OrNode* *x y* |  
*bin-node* *BinXor* *x y* = *XorNode* *x y* |  
*bin-node* *BinShortCircuitOr* *x y* = *ShortCircuitOrNode* *x y* |  
*bin-node* *BinLeftShift* *x y* = *LeftShiftNode* *x y* |  
*bin-node* *BinRightShift* *x y* = *RightShiftNode* *x y* |  
*bin-node* *BinURightShift* *x y* = *UnsignedRightShiftNode* *x y* |  
*bin-node* *BinIntegerEquals* *x y* = *IntegerEqualsNode* *x y* |  
*bin-node* *BinIntegerLessThan* *x y* = *IntegerLessThanNode* *x y* |  
*bin-node* *BinIntegerBelow* *x y* = *IntegerBelowNode* *x y* |  
*bin-node* *BinIntegerTest* *x y* = *IntegerTestNode* *x y* |  
*bin-node* *BinIntegerNormalizeCompare* *x y* = *IntegerNormalizeCompareNode* *x y* |

```

bin-node BinIntegerMulHigh x y = IntegerMulHighNode x y

inductive fresh-id :: IRGraph ⇒ ID ⇒ bool where
  n ∉ ids g ⇒ fresh-id g n

code-pred fresh-id .

fun get-fresh-id :: IRGraph ⇒ ID where

  get-fresh-id g = last(sorted-list-of-set(ids g)) + 1

export-code get-fresh-id

value get-fresh-id eg2-sq
value get-fresh-id (add-node 6 (ParameterNode 2, default-stamp) eg2-sq)

inductive
  unrep :: IRGraph ⇒ IRExpr ⇒ (IRGraph × ID) ⇒ bool (- ⊕ - ∼ - 55)
  where

    ConstantNodeSame:
    [[find-node-and-stamp g (ConstantNode c, constantAsStamp c) = Some n]
     ⇒ g ⊕ (ConstantExpr c) ∼ (g, n) |

    ConstantNodeNew:
    [[find-node-and-stamp g (ConstantNode c, constantAsStamp c) = None;
     n = get-fresh-id g;
     g' = add-node n (ConstantNode c, constantAsStamp c) g ]
     ⇒ g ⊕ (ConstantExpr c) ∼ (g', n) |

    ParameterNodeSame:
    [[find-node-and-stamp g (ParameterNode i, s) = Some n]
     ⇒ g ⊕ (ParameterExpr i s) ∼ (g, n) |

    ParameterNodeNew:
    [[find-node-and-stamp g (ParameterNode i, s) = None;
     n = get-fresh-id g;
     g' = add-node n (ParameterNode i, s) g]
     ⇒ g ⊕ (ParameterExpr i s) ∼ (g', n) |

    ConditionalNodeSame:
    [[find-node-and-stamp g4 (ConditionalNode c t f, s') = Some n;
     g ⊕ ce ∼ (g2, c);
     g2 ⊕ te ∼ (g3, t);
     g3 ⊕ fe ∼ (g4, f);
     s' = meet (stamp g4 t) (stamp g4 f)]
     ⇒ g ⊕ (ConditionalExpr ce te fe) ∼ (g4, n) |

```

*ConditionalNodeNew:*

$\llbracket \text{find-node-and-stamp } g4 \text{ (ConditionalNode } c \text{ } t \text{ } f, s') = \text{None};$   
 $g \oplus ce \rightsquigarrow (g2, c);$   
 $g2 \oplus te \rightsquigarrow (g3, t);$   
 $g3 \oplus fe \rightsquigarrow (g4, f);$   
 $s' = \text{meet (stamp } g4 \text{ } t) \text{ (stamp } g4 \text{ } f);$   
 $n = \text{get-fresh-id } g4;$   
 $g' = \text{add-node } n \text{ (ConditionalNode } c \text{ } t \text{ } f, s') \text{ } g4 \rrbracket$   
 $\implies g \oplus (\text{ConditionalExpr } ce \text{ } te \text{ } fe) \rightsquigarrow (g', n) \mid$

*UnaryNodeSame:*

$\llbracket \text{find-node-and-stamp } g2 \text{ (unary-node op } x, s') = \text{Some } n;$   
 $g \oplus xe \rightsquigarrow (g2, x);$   
 $s' = \text{stamp-unary op (stamp } g2 \text{ } x) \rrbracket$   
 $\implies g \oplus (\text{UnaryExpr op } xe) \rightsquigarrow (g2, n) \mid$

*UnaryNodeNew:*

$\llbracket \text{find-node-and-stamp } g2 \text{ (unary-node op } x, s') = \text{None};$   
 $g \oplus xe \rightsquigarrow (g2, x);$   
 $s' = \text{stamp-unary op (stamp } g2 \text{ } x);$   
 $n = \text{get-fresh-id } g2;$   
 $g' = \text{add-node } n \text{ (unary-node op } x, s') \text{ } g2 \rrbracket$   
 $\implies g \oplus (\text{UnaryExpr op } xe) \rightsquigarrow (g', n) \mid$

*BinaryNodeSame:*

$\llbracket \text{find-node-and-stamp } g3 \text{ (bin-node op } x \text{ } y, s') = \text{Some } n;$   
 $g \oplus xe \rightsquigarrow (g2, x);$   
 $g2 \oplus ye \rightsquigarrow (g3, y);$   
 $s' = \text{stamp-binary op (stamp } g3 \text{ } x) \text{ (stamp } g3 \text{ } y) \rrbracket$   
 $\implies g \oplus (\text{BinaryExpr op } xe \text{ } ye) \rightsquigarrow (g3, n) \mid$

*BinaryNodeNew:*

$\llbracket \text{find-node-and-stamp } g3 \text{ (bin-node op } x \text{ } y, s') = \text{None};$   
 $g \oplus xe \rightsquigarrow (g2, x);$   
 $g2 \oplus ye \rightsquigarrow (g3, y);$   
 $s' = \text{stamp-binary op (stamp } g3 \text{ } x) \text{ (stamp } g3 \text{ } y);$   
 $n = \text{get-fresh-id } g3;$   
 $g' = \text{add-node } n \text{ (bin-node op } x \text{ } y, s') \text{ } g3 \rrbracket$   
 $\implies g \oplus (\text{BinaryExpr op } xe \text{ } ye) \rightsquigarrow (g', n) \mid$

*AllLeafNodes:*

$\llbracket \text{stamp } g \text{ } n = s;$   
 $\text{is-preevaluated (kind } g \text{ } n) \rrbracket$   
 $\implies g \oplus (\text{LeafExpr } n \text{ } s) \rightsquigarrow (g, n)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as *unrepE*)

*unrep* .

# unrepRules

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (ConstantNode } (c::\text{Value}), \text{ constantAsStamp } c) = \text{Some } (n::\text{nat})}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g, n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (ConstantNode } (c::\text{Value}), \text{ constantAsStamp } c) = \text{None} \\ (n::\text{nat}) = \text{get-fresh-id } g \\ (g'::\text{IRGraph}) = \text{add-node } n \text{ (ConstantNode } c, \text{ constantAsStamp } c) \end{array}}{g \oplus \text{ConstantExpr } c \rightsquigarrow (g', n)}$$

$$\frac{\text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (ParameterNode } (i::\text{nat}), s::\text{Stamp}) = \text{Some } (n::\text{nat})}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g, n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g::\text{IRGraph}) \text{ (ParameterNode } (i::\text{nat}), s::\text{Stamp}) = \text{None} \\ (n::\text{nat}) = \text{get-fresh-id } g \\ (g'::\text{IRGraph}) = \text{add-node } n \text{ (ParameterNode } i, s) \end{array}}{g \oplus \text{ParameterExpr } i \ s \rightsquigarrow (g', n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g4::\text{IRGraph}) \text{ (ConditionalNode } (c::\text{nat}) \ (t::\text{nat}) \ (f::\text{nat}), s'::\text{Stamp}) = \text{Some } (n::\text{nat}) \\ g::\text{IRGraph} \oplus ce::\text{IExpr} \rightsquigarrow (g2::\text{IRGraph}, c) \\ g2 \oplus te::\text{IExpr} \rightsquigarrow (g3::\text{IRGraph}, t) \\ g3 \oplus fe::\text{IExpr} \rightsquigarrow (g4, f) \quad s' = \text{meet } (\text{stamp } g4 \ t) \ (\text{stamp } g4 \ f) \end{array}}{g \oplus \text{ConditionalExpr } ce \ te \ fe \rightsquigarrow (g4, n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g4::\text{IRGraph}) \text{ (ConditionalNode } (c::\text{nat}) \ (t::\text{nat}) \ (f::\text{nat}), s'::\text{Stamp}) = \text{None} \\ g::\text{IRGraph} \oplus ce::\text{IExpr} \rightsquigarrow (g2::\text{IRGraph}, c) \\ g2 \oplus te::\text{IExpr} \rightsquigarrow (g3::\text{IRGraph}, t) \quad g3 \oplus fe::\text{IExpr} \rightsquigarrow (g4, f) \\ s' = \text{meet } (\text{stamp } g4 \ t) \ (\text{stamp } g4 \ f) \quad (n::\text{nat}) = \text{get-fresh-id } g4 \\ (g'::\text{IRGraph}) = \text{add-node } n \text{ (ConditionalNode } c \ t \ f, s') \end{array}}{g \oplus \text{ConditionalExpr } ce \ te \ fe \rightsquigarrow (g', n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g3::\text{IRGraph}) \text{ (bin-node } (op::\text{IRBinaryOp}) \ (x::\text{nat}) \ (y::\text{nat}), s'::\text{Stamp}) = \text{Some } (n::\text{nat}) \\ g::\text{IRGraph} \oplus xe::\text{IExpr} \rightsquigarrow (g2::\text{IRGraph}, x) \\ g2 \oplus ye::\text{IExpr} \rightsquigarrow (g3, y) \\ s' = \text{stamp-binary } op \ (\text{stamp } g3 \ x) \ (\text{stamp } g3 \ y) \end{array}}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g3, n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g3::\text{IRGraph}) \text{ (bin-node } (op::\text{IRBinaryOp}) \ (x::\text{nat}) \ (y::\text{nat}), s'::\text{Stamp}) = \text{None} \\ g::\text{IRGraph} \oplus xe::\text{IExpr} \rightsquigarrow (g2::\text{IRGraph}, x) \\ g2 \oplus ye::\text{IExpr} \rightsquigarrow (g3, y) \\ s' = \text{stamp-binary } op \ (\text{stamp } g3 \ x) \ (\text{stamp } g3 \ y) \\ (n::\text{nat}) = \text{get-fresh-id } g3 \\ (g'::\text{IRGraph}) = \text{add-node } n \text{ (bin-node } op \ x \ y, s') \end{array}}{g \oplus \text{BinaryExpr } op \ xe \ ye \rightsquigarrow (g', n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g2::\text{IRGraph}) \text{ (unary-node } (op::\text{IRUnaryOp}) \ (x::\text{nat}), s'::\text{Stamp}) = \text{Some } (n::\text{nat}) \\ g::\text{IRGraph} \oplus xe::\text{IExpr} \rightsquigarrow (g2, x) \\ s' = \text{stamp-unary } op \ (\text{stamp } g2 \ x) \end{array}}{g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g2, n)}$$

$$\frac{\begin{array}{l} \text{find-node-and-stamp } (g2::\text{IRGraph}) \text{ (unary-node } (op::\text{IRUnaryOp}) \ (x::\text{nat}), s'::\text{Stamp}) = \text{None} \\ g::\text{IRGraph} \oplus xe::\text{IExpr} \rightsquigarrow (g2, x) \\ s' = \text{stamp-unary } op \ (\text{stamp } g2 \ x) \quad (n::\text{nat}) = \text{get-fresh-id } g2 \\ (g'::\text{IRGraph}) = \text{add-node } n \text{ (unary-node } op \ x, s') \end{array}}{g \oplus \text{UnaryExpr } op \ xe \rightsquigarrow (g', n)}$$

$$\frac{\text{stamp } (g::\text{IRGraph}) \ (n::\text{nat}) = (s::\text{Stamp}) \quad \text{is-preevaluated } (\text{kind } g \ n)}{g \oplus \text{LeafExpr } n \ s \rightsquigarrow (g, n)}$$

*values*  $\{(n, g) . (eg2\text{-}sq \oplus sq\text{-}param0 \rightsquigarrow (g, n))\}$

### 7.3 Lift Data-flow Tree Semantics

**definition** *encodeeval* :: *IRGraph*  $\Rightarrow$  *MapState*  $\Rightarrow$  *Params*  $\Rightarrow$  *ID*  $\Rightarrow$  *Value*  $\Rightarrow$  *bool*  
 $([\cdot, \cdot, \cdot] \vdash \cdot \mapsto \cdot \ 50)$   
**where**  
*encodeeval* *g m p n v* =  $(\exists \ e. (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v))$

### 7.4 Graph Refinement

**definition** *graph-represents-expression* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool*  
 $(\vdash \cdot \leq \cdot \ 50)$   
**where**  
 $(g \vdash n \leq e) = (\exists \ e'. (g \vdash n \simeq e') \wedge (e' \leq e))$

**definition** *graph-refinement* :: *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**  
*graph-refinement* *g1 g2* =  
 $((ids \ g_1 \subseteq ids \ g_2) \wedge$   
 $(\forall \ n . n \in ids \ g_1 \longrightarrow (\forall \ e. (g_1 \vdash n \simeq e) \longrightarrow (g_2 \vdash n \leq e))))$

**lemma** *graph-refinement*:  
*graph-refinement* *g1 g2*  $\implies$   
 $(\forall \ n \ m \ p \ v. n \in ids \ g1 \longrightarrow ([g1, m, p] \vdash n \mapsto v) \longrightarrow ([g2, m, p] \vdash n \mapsto v))$   
**by** (*meson encodeeval-def graph-refinement-def graph-represents-expression-def le-expr-def*)

### 7.5 Maximal Sharing

**definition** *maximal-sharing*:  
*maximal-sharing* *g* =  $(\forall \ n_1 \ n_2 . n_1 \in true\text{-}ids \ g \wedge n_2 \in true\text{-}ids \ g \longrightarrow$   
 $(\forall \ e. (g \vdash n_1 \simeq e) \wedge (g \vdash n_2 \simeq e) \wedge (stamp \ g \ n_1 = stamp \ g \ n_2) \longrightarrow n_1 = n_2))$   
**end**

### 7.6 Formedness Properties

**theory** *Form*  
**imports**  
*Semantics.TreeToGraph*  
**begin**

**definition** *wf-start* **where**  
*wf-start* *g* =  $(0 \in ids \ g \wedge$   
 $is\text{-}StartNode \ (kind \ g \ 0))$

**definition** *wf-closed* **where**  
*wf-closed* *g* =  
 $(\forall \ n \in ids \ g .$

$$\begin{aligned} &inputs\ g\ n \subseteq ids\ g \wedge \\ &succ\ g\ n \subseteq ids\ g \wedge \\ &kind\ g\ n \neq NoNode) \end{aligned}$$

**definition** *wf-phs* **where**

$$\begin{aligned} wf-phs\ g = & \\ &(\forall\ n \in ids\ g. \\ &\quad is-PhiNode\ (kind\ g\ n) \longrightarrow \\ &\quad length\ (ir-values\ (kind\ g\ n)) \\ &= length\ (ir-ends \\ &\quad (kind\ g\ (ir-merge\ (kind\ g\ n)))))) \end{aligned}$$

**definition** *wf-ends* **where**

$$\begin{aligned} wf-ends\ g = & \\ &(\forall\ n \in ids\ g . \\ &\quad is-AbstractEndNode\ (kind\ g\ n) \longrightarrow \\ &\quad card\ (usages\ g\ n) > 0) \end{aligned}$$

**fun** *wf-graph* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$wf-graph\ g = (wf-start\ g \wedge wf-closed\ g \wedge wf-phs\ g \wedge wf-ends\ g)$$

**lemmas** *wf-folds* =

$$\begin{aligned} &wf-graph.simps \\ &wf-start-def \\ &wf-closed-def \\ &wf-phs-def \\ &wf-ends-def \end{aligned}$$

**fun** *wf-stamps* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$\begin{aligned} wf-stamps\ g = &(\forall\ n \in ids\ g . \\ &(\forall\ v\ m\ p\ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow valid-value\ v\ (stamp-expr\ e))) \end{aligned}$$

**fun** *wf-stamp* :: *IRGraph*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  *bool* **where**

$$\begin{aligned} wf-stamp\ g\ s = &(\forall\ n \in ids\ g . \\ &(\forall\ v\ m\ p\ e . (g \vdash n \simeq e) \wedge ([m, p] \vdash e \mapsto v) \longrightarrow valid-value\ v\ (s\ n))) \end{aligned}$$

**lemma** *wf-empty*: *wf-graph start-end-graph*

**unfolding** *wf-folds* **by** (*simp add: start-end-graph-def*)

**lemma** *wf-eg2-sq*: *wf-graph eg2-sq*

**unfolding** *wf-folds* **by** (*simp add: eg2-sq-def*)

**fun** *wf-logic-node-inputs* :: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool* **where**

$$\begin{aligned} wf-logic-node-inputs\ g\ n = & \\ &(\forall\ inp \in set\ (inputs-of\ (kind\ g\ n)) . (\forall\ v\ m\ p . ([g, m, p] \vdash inp \mapsto v) \longrightarrow wf-bool \\ &v)) \end{aligned}$$

**fun** *wf-values* :: *IRGraph*  $\Rightarrow$  *bool* **where**

$$wf-values\ g = (\forall\ n \in ids\ g .$$

$$\begin{aligned}
& (\forall v m p . ([g, m, p] \vdash n \mapsto v) \longrightarrow \\
& \quad (is-LogicNode (kind g n) \longrightarrow \\
& \quad \quad wf-bool v \wedge wf-logic-node-inputs g n)))
\end{aligned}$$

**end**

## 7.7 Dynamic Frames

This theory defines two operators, 'unchanged' and 'changeonly', that are useful for specifying which nodes in an IRGraph can change. The dynamic framing idea originates from 'Dynamic Frames' in software verification, started by Ioannis T. Kassios in "Dynamic frames: Support for framing, dependencies and sharing without restrictions", In FM 2006.

**theory** *IRGraphFrames*

**imports**

*Form*

**begin**

**fun** *unchanged* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*unchanged ns g1 g2* =  $(\forall n . n \in ns \longrightarrow$   
 $(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**fun** *changeonly* :: *ID set*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *IRGraph*  $\Rightarrow$  *bool* **where**

*changeonly ns g1 g2* =  $(\forall n . n \in ids\ g1 \wedge n \notin ns \longrightarrow$   
 $(n \in ids\ g1 \wedge n \in ids\ g2 \wedge kind\ g1\ n = kind\ g2\ n \wedge stamp\ g1\ n = stamp\ g2\ n))$

**lemma** *node-unchanged*:

**assumes** *unchanged ns g1 g2*

**assumes** *nid*  $\in$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

**lemma** *other-node-unchanged*:

**assumes** *changeonly ns g1 g2*

**assumes** *nid*  $\in$  *ids g1*

**assumes** *nid*  $\notin$  *ns*

**shows** *kind g1 nid* = *kind g2 nid*

**using** *assms* **by** *simp*

Some notation for input nodes used

**inductive** *eval-uses*:: *IRGraph*  $\Rightarrow$  *ID*  $\Rightarrow$  *ID*  $\Rightarrow$  *bool*

**for** *g* **where**

*use0*: *nid*  $\in$  *ids g*

$\implies$  *eval-uses g nid nid* |

*use-inp*: *nid'*  $\in$  *inputs g n*



```

     $\implies \text{eval-uses } g \text{ nid nid}' \mid$ 

    use-trans:  $\llbracket \text{eval-uses } g \text{ nid nid}';$ 
                $\text{eval-uses } g \text{ nid}' \text{ nid}'' \rrbracket$ 
     $\implies \text{eval-uses } g \text{ nid nid}''$ 

fun eval-usages :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID set where
    eval-usages g nid = {n  $\in$  ids g . eval-uses g nid n}

lemma eval-usages-self:
    assumes nid  $\in$  ids g
    shows nid  $\in$  eval-usages g nid
    using assms by (simp add: ids.rep-eq eval-uses.intros(1))

lemma not-in-g-inputs:
    assumes nid  $\notin$  ids g
    shows inputs g nid = {}
proof –
    have k: kind g nid = NoNode
    using assms by (simp add: not-in-g)
    then show ?thesis
    by (simp add: k)
qed

lemma child-member:
    assumes n = kind g nid
    assumes n  $\neq$  NoNode
    assumes List.member (inputs-of n) child
    shows child  $\in$  inputs g nid
    by (metis in-set-member inputs.simps assms(1,3))

lemma child-member-in:
    assumes nid  $\in$  ids g
    assumes List.member (inputs-of (kind g nid)) child
    shows child  $\in$  inputs g nid
    by (metis child-member ids-some assms)

lemma inp-in-g:
    assumes n  $\in$  inputs g nid
    shows nid  $\in$  ids g
proof –
    have inputs g nid  $\neq$  {}
    by (metis empty-iff empty-set assms)
    then have kind g nid  $\neq$  NoNode
    by (metis not-in-g-inputs ids-some)
    then show ?thesis
    by (metis not-in-g)
qed

```

```

lemma inp-in-g-wf:
  assumes wf-graph g
  assumes  $n \in \text{inputs } g \text{ nid}$ 
  shows  $n \in \text{ids } g$ 
  using assms wf-folds inp-in-g by blast

lemma kind-unchanged:
  assumes  $\text{nid} \in \text{ids } g1$ 
  assumes unchanged (eval-usages g1 nid) g1 g2
  shows  $\text{kind } g1 \text{ nid} = \text{kind } g2 \text{ nid}$ 
proof –
  show ?thesis
  using assms eval-usages-self by simp
qed

lemma stamp-unchanged:
  assumes  $\text{nid} \in \text{ids } g1$ 
  assumes unchanged (eval-usages g1 nid) g1 g2
  shows  $\text{stamp } g1 \text{ nid} = \text{stamp } g2 \text{ nid}$ 
  by (meson assms eval-usages-self unchanged.elims(2))

lemma child-unchanged:
  assumes  $\text{child} \in \text{inputs } g1 \text{ nid}$ 
  assumes unchanged (eval-usages g1 nid) g1 g2
  shows unchanged (eval-usages g1 child) g1 g2
  by (smt assms eval-usages.simps mem-Collect-eq unchanged.simps use-inp use-trans)

lemma eval-usages:
  assumes  $us = \text{eval-usages } g \text{ nid}$ 
  assumes  $\text{nid}' \in \text{ids } g$ 
  shows  $\text{eval-uses } g \text{ nid nid}' \longleftrightarrow \text{nid}' \in us$  (is  $?P \longleftrightarrow ?Q$ )
  using assms by (simp add: ids.rep-eq)

lemma inputs-are-uses:
  assumes  $\text{nid}' \in \text{inputs } g \text{ nid}$ 
  shows  $\text{eval-uses } g \text{ nid nid}'$ 
  by (metis assms use-inp)

lemma inputs-are-usages:
  assumes  $\text{nid}' \in \text{inputs } g \text{ nid}$ 
  assumes  $\text{nid}' \in \text{ids } g$ 
  shows  $\text{nid}' \in \text{eval-usages } g \text{ nid}$ 
  using assms by (simp add: inputs-are-uses)

lemma inputs-of-are-usages:
  assumes  $\text{List.member } (\text{inputs-of } (\text{kind } g \text{ nid})) \text{ nid}'$ 
  assumes  $\text{nid}' \in \text{ids } g$ 
  shows  $\text{nid}' \in \text{eval-usages } g \text{ nid}$ 

```

```

by (metis assms in-set-member inputs.elims inputs-are-usages)

lemma usage-includes-inputs:
  assumes us = eval-usages g nid
  assumes ls = inputs g nid
  assumes ls  $\subseteq$  ids g
  shows ls  $\subseteq$  us
  using inputs-are-usages assms by blast

lemma elim-inp-set:
  assumes k = kind g nid
  assumes k  $\neq$  NoNode
  assumes child  $\in$  set (inputs-of k)
  shows child  $\in$  inputs g nid
  using assms by simp

lemma encode-in-ids:
  assumes g  $\vdash$  nid  $\simeq$  e
  shows nid  $\in$  ids g
  using assms apply (induction rule: rep.induct) by fastforce+

lemma eval-in-ids:
  assumes [g, m, p]  $\vdash$  nid  $\mapsto$  v
  shows nid  $\in$  ids g
  using assms encode-in-ids by (auto simp add: encodeeval-def)

lemma transitive-kind-same:
  assumes unchanged (eval-usages g1 nid) g1 g2
  shows  $\forall$  nid'  $\in$  (eval-usages g1 nid) . kind g1 nid' = kind g2 nid'
  by (meson unchanged.elims(1) assms)

theorem stay-same-encoding:
  assumes nc: unchanged (eval-usages g1 nid) g1 g2
  assumes g1: g1  $\vdash$  nid  $\simeq$  e
  assumes wf: wf-graph g1
  shows g2  $\vdash$  nid  $\simeq$  e
proof -
  have dom: nid  $\in$  ids g1
  using g1 encode-in-ids by simp
  show ?thesis
  using g1 nc wf dom
proof (induction e rule: rep.induct)
case (ConstantNode n c)
then have kind g2 n = ConstantNode c
by (metis kind-unchanged)
then show ?case
using rep.ConstantNode by presburger
next
case (ParameterNode n i s)

```

```

then have kind g2 n = ParameterNode i
  by (metis kind-unchanged)
then show ?case
  by (metis ParameterNode.hyps(2) ParameterNode.premis(1,3) rep.ParameterNode
stamp-unchanged)
next
  case (ConditionalNode n c t f ce te fe)
  then have kind g2 n = ConditionalNode c t f
    by (metis kind-unchanged)
  have c ∈ eval-usages g1 n ∧ t ∈ eval-usages g1 n ∧ f ∈ eval-usages g1 n
  by (metis inputs-of-ConditionalNode ConditionalNode.hyps(1,2,3,4) encode-in-ids
inputs.simps
    inputs-are-usages list.set-intros(1) set-subset-Cons subset-code(1))
  then show ?case
  by (metis ConditionalNode.hyps(1) ConditionalNode.premis(1) IRNodes.inputs-of-ConditionalNode
    ⟨kind g2 n = ConditionalNode c t f⟩ child-unchanged inputs.simps list.set-intros(1)
    local.ConditionalNode(5,6,7,9) rep.ConditionalNode set-subset-Cons sub-
set-code(1)
    unchanged.elims(2))
  next
  case (AbsNode n x xe)
  then have kind g2 n = AbsNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis inputs-of-AbsNode AbsNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
    list.set-intros(1))
  then show ?case
  by (metis AbsNode.IH AbsNode.hyps(1) AbsNode.premis(1,3) IRNodes.inputs-of-AbsNode
rep.AbsNode
    ⟨kind g2 n = AbsNode x⟩ child-member-in child-unchanged local.wf mem-
ber-rec(1)
    unchanged.simps)
  next
  case (ReverseBytesNode n x xe)
  then have kind g2 n = ReverseBytesNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
  by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.hyps(1,2)
encode-in-ids
    inputs.simps inputs-are-usages list.set-intros(1))
  then show ?case
  by (metis IRNodes.inputs-of-ReverseBytesNode ReverseBytesNode.IH Reverse-
BytesNode.hyps(1,2)
    ReverseBytesNode.premis(1) child-member-in child-unchanged local.wf mem-
ber-rec(1)
    ⟨kind g2 n = ReverseBytesNode x⟩ encode-in-ids rep.ReverseBytesNode)

```

```

next
  case (BitCountNode n x xe)
  then have kind g2 n = BitCountNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis BitCountNode.hyps(1,2) IRNodes.inputs-of-BitCountNode encode-in-ids
inputs.simps
      inputs-are-usages list.set-intros(1))
  then show ?case
    by (metis BitCountNode.IH BitCountNode.hyps(1,2) BitCountNode.prem(1)
member-rec(1) local.wf
      IRNodes.inputs-of-BitCountNode ⟨kind g2 n = BitCountNode x⟩ encode-in-ids
rep.BitCountNode
      child-member-in child-unchanged)
next
  case (NotNode n x xe)
  then have kind g2 n = NotNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-NotNode NotNode.hyps(1,2) encode-in-ids inputs.simps in-
puts-are-usages
      list.set-intros(1))
  then show ?case
    by (metis NotNode.IH NotNode.hyps(1) NotNode.prem(1,3) IRNodes.inputs-of-NotNode
rep.NotNode
      ⟨kind g2 n = NotNode x⟩ child-member-in child-unchanged local.wf mem-
ber-rec(1)
      unchanged.simps)
next
  case (NegateNode n x xe)
  then have kind g2 n = NegateNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-NegateNode NegateNode.hyps(1,2) encode-in-ids inputs.simps
inputs-are-usages
      list.set-intros(1))
  then show ?case
    by (metis IRNodes.inputs-of-NegateNode NegateNode.IH NegateNode.hyps(1)
NegateNode.prem(1,3)
      ⟨kind g2 n = NegateNode x⟩ child-member-in child-unchanged local.wf
member-rec(1)
      rep.NegateNode unchanged.elims(1))
next
  case (LogicNegationNode n x xe)
  then have kind g2 n = LogicNegationNode x
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis inputs-of-LogicNegationNode inputs-of-are-usages LogicNegationN-
ode.hyps(1,2))

```

```

      encode-in-ids member-rec(1))
    then show ?case
      by (metis IRNodes.inputs-of-LogicNegationNode LogicNegationNode.IH Logic-
NegationNode.hyps(1,2)
        LogicNegationNode.prem(1) ⟨kind g2 n = LogicNegationNode x⟩ child-unchanged
encode-in-ids
        inputs.simps list.set-intros(1) local.wf rep.LogicNegationNode)
  next
    case (AddNode n x y xe ye)
    then have kind g2 n = AddNode x y
      by (metis kind-unchanged)
    then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
      by (metis AddNode.hyps(1,2,3) IRNodes.inputs-of-AddNode encode-in-ids in-mono
inputs.simps
        inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
      by (metis AddNode.IH(1,2) AddNode.hyps(1,2,3) AddNode.prem(1) IRN-
odes.inputs-of-AddNode
        ⟨kind g2 n = AddNode x y⟩ child-unchanged encode-in-ids in-set-member
inputs.simps
        local.wf member-rec(1) rep.AddNode)
  next
    case (MulNode n x y xe ye)
    then have kind g2 n = MulNode x y
      by (metis kind-unchanged)
    then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
      by (metis MulNode.hyps(1,2,3) IRNodes.inputs-of-MulNode encode-in-ids in-mono
inputs.simps
        inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
      by (metis ⟨kind g2 n = MulNode x y⟩ child-unchanged inputs.simps list.set-intros(1)
rep.MulNode
        set-subset-Cons subset-iff unchanged.elims(2) inputs-of-MulNode MulN-
ode(1,4,5,6,7))
  next
    case (DivNode n x y xe ye)
    then have kind g2 n = SignedFloatingIntegerDivNode x y
      by (metis kind-unchanged)
    then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
      by (metis DivNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerDivNode
encode-in-ids in-mono inputs.simps
        inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
      by (metis ⟨kind g2 n = SignedFloatingIntegerDivNode x y⟩ child-unchanged
inputs.simps list.set-intros(1) rep.DivNode
        set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerDivNode
DivNode(1,4,5,6,7))
  next
    case (ModNode n x y xe ye)

```

```

then have kind g2 n = SignedFloatingIntegerRemNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis ModNode.hyps(1,2,3) IRNodes.inputs-of-SignedFloatingIntegerRemNode
    encode-in-ids in-mono inputs.simps
    inputs-are-usages list.set-intros(1) set-subset-Cons)
then show ?case
  by (metis ⟨kind g2 n = SignedFloatingIntegerRemNode x y⟩ child-unchanged
    inputs.simps list.set-intros(1) rep.ModNode
    set-subset-Cons subset-iff unchanged.elims(2) inputs-of-SignedFloatingIntegerRemNode
    ModNode(1,4,5,6,7))
next
  case (SubNode n x y xe ye)
  then have kind g2 n = SubNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis SubNode.hyps(1,2,3) IRNodes.inputs-of-SubNode encode-in-ids in-mono
      inputs.simps
      inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis ⟨kind g2 n = SubNode x y⟩ child-member child-unchanged encode-in-ids
      ids-some SubNode
      member-rec(1) rep.SubNode inputs-of-SubNode)
  next
    case (AndNode n x y xe ye)
    then have kind g2 n = AndNode x y
      by (metis kind-unchanged)
    then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
      by (metis AndNode.hyps(1,2,3) IRNodes.inputs-of-AndNode encode-in-ids in-mono
        inputs.simps
        inputs-are-usages list.set-intros(1) set-subset-Cons)
    then show ?case
      by (metis AndNode(1,4,5,6,7) inputs-of-AndNode ⟨kind g2 n = AndNode x y⟩
        child-unchanged
        inputs.simps list.set-intros(1) rep.AndNode set-subset-Cons subset-iff un-
        changed.elims(2))
    next
      case (OrNode n x y xe ye)
      then have kind g2 n = OrNode x y
        by (metis kind-unchanged)
      then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
        by (metis OrNode.hyps(1,2,3) IRNodes.inputs-of-OrNode encode-in-ids in-mono
          inputs.simps
          inputs-are-usages list.set-intros(1) set-subset-Cons)
      then show ?case
        by (metis inputs-of-OrNode ⟨kind g2 n = OrNode x y⟩ child-unchanged en-
          code-in-ids rep.OrNode
          child-member ids-some member-rec(1) OrNode)
      next

```

```

case (XorNode n x y xe ye)
then have kind g2 n = XorNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis XorNode.hyps(1,2,3) IRNodes.inputs-of-XorNode encode-in-ids in-mono
inputs.simps
    inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis inputs-of-XorNode ⟨kind g2 n = XorNode x y⟩ child-member child-unchanged
rep.XorNode
    encode-in-ids ids-some member-rec(1) XorNode)
next
case (ShortCircuitOrNode n x y xe ye)
then have kind g2 n = ShortCircuitOrNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis ShortCircuitOrNode.hyps(1,2,3) IRNodes.inputs-of-ShortCircuitOrNode
inputs-are-usages
    in-mono inputs.simps list.set-intros(1) set-subset-Cons encode-in-ids)
  then show ?case
    by (metis ShortCircuitOrNode inputs-of-ShortCircuitOrNode ⟨kind g2 n =
ShortCircuitOrNode x y⟩
    child-member child-unchanged encode-in-ids ids-some member-rec(1) rep.ShortCircuitOrNode)
next
case (LeftShiftNode n x y xe ye)
then have kind g2 n = LeftShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis LeftShiftNode.hyps(1,2,3) IRNodes.inputs-of-LeftShiftNode encode-in-ids
inputs.simps
    inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
  then show ?case
    by (metis LeftShiftNode inputs-of-LeftShiftNode ⟨kind g2 n = LeftShiftNode x
y⟩ child-unchanged
    encode-in-ids ids-some member-rec(1) rep.LeftShiftNode child-member)
next
case (RightShiftNode n x y xe ye)
then have kind g2 n = RightShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis RightShiftNode.hyps(1,2,3) IRNodes.inputs-of-RightShiftNode en-
code-in-ids inputs.simps
    inputs-are-usages list.set-intros(1) set-subset-Cons in-mono)
  then show ?case
    by (metis RightShiftNode inputs-of-RightShiftNode ⟨kind g2 n = RightShiftNode
x y⟩ child-member
    child-unchanged encode-in-ids ids-some member-rec(1) rep.RightShiftNode)
next
case (UnsignedRightShiftNode n x y xe ye)

```



```

then have kind g2 n = UnsignedRightShiftNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis UnsignedRightShiftNode.hyps(1,2,3) IRNodes.inputs-of-UnsignedRightShiftNode
in-mono
    encode-in-ids inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis UnsignedRightShiftNode.inputs-of-UnsignedRightShiftNode child-member
child-unchanged
    ⟨kind g2 n = UnsignedRightShiftNode x y⟩ encode-in-ids ids-some rep.UnsignedRightShiftNode
member-rec(1))
next
case (IntegerBelowNode n x y xe ye)
then have kind g2 n = IntegerBelowNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis IntegerBelowNode.hyps(1,2,3) IRNodes.inputs-of-IntegerBelowNode
encode-in-ids in-mono
    inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-IntegerBelowNode ⟨kind g2 n = IntegerBelowNode x y⟩
rep.IntegerBelowNode
    child-member child-unchanged encode-in-ids ids-some member-rec(1) IntegerBelowNode)
next
case (IntegerEqualsNode n x y xe ye)
then have kind g2 n = IntegerEqualsNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis IntegerEqualsNode.hyps(1,2,3) IRNodes.inputs-of-IntegerEqualsNode
inputs-are-usages
    in-mono inputs.simps encode-in-ids list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis inputs-of-IntegerEqualsNode ⟨kind g2 n = IntegerEqualsNode x y⟩
rep.IntegerEqualsNode
    child-member child-unchanged encode-in-ids ids-some member-rec(1) IntegerEqualsNode)
next
case (IntegerLessThanNode n x y xe ye)
then have kind g2 n = IntegerLessThanNode x y
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
  by (metis IntegerLessThanNode.hyps(1,2,3) IRNodes.inputs-of-IntegerLessThanNode
encode-in-ids
    in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
  by (metis rep.IntegerLessThanNode inputs-of-IntegerLessThanNode child-unchanged
encode-in-ids
    ⟨kind g2 n = IntegerLessThanNode x y⟩ child-member member-rec(1))

```

```

IntegerLessThanNode
  ids-some)
next
  case (IntegerTestNode n x y xe ye)
  then have kind g2 n = IntegerTestNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IntegerTestNode.hyps IRNodes.inputs-of-IntegerTestNode encode-in-ids
      in-mono inputs.simps inputs-are-usages list.set-intros(1) set-subset-Cons)
  then show ?case
    by (metis rep.IntegerTestNode inputs-of-IntegerTestNode child-unchanged en-
      code-in-ids
        ⟨kind g2 n = IntegerTestNode x y⟩ child-member member-rec(1) IntegerTestN-
          ode ids-some)
  next
  case (IntegerNormalizeCompareNode n x y xe ye)
  then have kind g2 n = IntegerNormalizeCompareNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n ∧ y ∈ eval-usages g1 n
    by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
      CompareNode.hyps(1,2,3)
        encode-in-ids in-set-member inputs.simps inputs-are-usages member-rec(1))
  then show ?case
    by (metis IRNodes.inputs-of-IntegerNormalizeCompareNode IntegerNormalize-
      CompareNode.IH(1,2)
        IntegerNormalizeCompareNode.hyps(1,2,3) IntegerNormalizeCompareN-
          ode.premis(1) inputs.simps
            ⟨kind (g2::IRGraph) (n::nat) = IntegerNormalizeCompareNode (x::nat)
              (y::nat)⟩ local.wf
              encode-in-ids list.set-intros(1) rep.IntegerNormalizeCompareNode set-subset-Cons
                in-mono
                  child-unchanged)
  next
  case (IntegerMulHighNode n x y xe ye)
  then have kind g2 n = IntegerMulHighNode x y
    by (metis kind-unchanged)
  then have x ∈ eval-usages g1 n
    by (metis IRNodes.inputs-of-IntegerMulHighNode IntegerMulHighNode.hyps(1,2)
      encode-in-ids
        inputs-of-are-usages member-rec(1))
  then show ?case
    by (metis inputs-of-IntegerMulHighNode IntegerMulHighNode.IH(1,2) Inte-
      gerMulHighNode.hyps(1,2,3)
        IntegerMulHighNode.premis(1) child-unchanged encode-in-ids inputs.simps
          list.set-intros(1,2)
            ⟨kind (g2::IRGraph) (n::nat) = IntegerMulHighNode (x::nat) (y::nat)⟩
              rep.IntegerMulHighNode
                local.wf)
  next

```

```

case (NarrowNode n ib rb x xe)
then have kind g2 n = NarrowNode ib rb x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
  by (metis NarrowNode.hyps(1,2) IRNodes.inputs-of-NarrowNode inputs-are-usages
encode-in-ids
    list.set-intros(1) inputs.simps)
then show ?case
  by (metis NarrowNode(1,3,4,5) inputs-of-NarrowNode ⟨kind g2 n = NarrowNode
ib rb x⟩ inputs.elims
    child-unchanged list.set-intros(1) rep.NarrowNode unchanged.simps)
next
case (SignExtendNode n ib rb x xe)
then have kind g2 n = SignExtendNode ib rb x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
  by (metis inputs-of-SignExtendNode SignExtendNode.hyps(1,2) inputs-are-usages
encode-in-ids
    list.set-intros(1) inputs.simps)
then show ?case
  by (metis SignExtendNode(1,3,4,5,6) inputs-of-SignExtendNode in-set-member
list.set-intros(1)
    ⟨kind g2 n = SignExtendNode ib rb x⟩ child-member-in child-unchanged
rep.SignExtendNode
    unchanged.elims(2)))
next
case (ZeroExtendNode n ib rb x xe)
then have kind g2 n = ZeroExtendNode ib rb x
  by (metis kind-unchanged)
then have x ∈ eval-usages g1 n
  by (metis ZeroExtendNode.hyps(1,2) IRNodes.inputs-of-ZeroExtendNode en-
code-in-ids inputs.simps
    inputs-are-usages list.set-intros(1)))
then show ?case
  by (metis ZeroExtendNode(1,3,4,5,6) inputs-of-ZeroExtendNode child-unchanged
unchanged.simps
    ⟨kind g2 n = ZeroExtendNode ib rb x⟩ child-member-in rep.ZeroExtendNode
member-rec(1)))
next
case (LeafNode n s)
then show ?case
  by (metis kind-unchanged rep.LeafNode stamp-unchanged)
next
case (PiNode n n' gu)
then have kind g2 n = PiNode n' gu
  by (metis kind-unchanged)
then show ?case
  by (metis PiNode.IH ⟨kind (g2) (n) = PiNode (n') (gu)⟩ child-unchanged
encode-in-ids rep.PiNode)

```

```

      inputs.elims list.set-intros(1) PiNode.hyps PiNode.prem(1,2) IRNodes.inputs-of-PiNode)
next
  case (RefNode n n')
  then have kind g2 n = RefNode n'
    by (metis kind-unchanged)
  then have n' ∈ eval-usages g1 n
    by (metis IRNodes.inputs-of-RefNode RefNode.hyps(1,2) inputs-are-usages list.set-intros(1)
      inputs.elims encode-in-ids)
  then show ?case
    by (metis IRNodes.inputs-of-RefNode RefNode.IH RefNode.hyps(1,2) RefNode.prem(1) inputs.elims
      ⟨kind g2 n = RefNode n'⟩ child-unchanged encode-in-ids list.set-intros(1)
      rep.RefNode
      local.wf)
next
  case (IsNullNode n v)
  then have kind g2 n = IsNullNode v
    by (metis kind-unchanged)
  then show ?case
    by (metis IRNodes.inputs-of-IsNullNode IsNullNode.IH IsNullNode.hyps(1,2)
      IsNullNode.prem(1)
      ⟨kind g2 n = IsNullNode v⟩ child-unchanged encode-in-ids inputs.simps
      list.set-intros(1)
      local.wf rep.IsNullNode)
qed
qed

```

**theorem** *stay-same*:

```

  assumes nc: unchanged (eval-usages g1 nid) g1 g2
  assumes g1: [g1, m, p] ⊢ nid ↦ v1
  assumes wf: wf-graph g1
  shows [g2, m, p] ⊢ nid ↦ v1
proof -
  have nid: nid ∈ ids g1
    using g1 eval-in-ids by simp
  then have nid ∈ eval-usages g1 nid
    using eval-usages-self by simp
  then have kind-same: kind g1 nid = kind g2 nid
    using nc node-unchanged by blast
  obtain e where e: (g1 ⊢ nid ≈ e) ∧ ([m,p] ⊢ e ↦ v1)
    using g1 by (auto simp add: encodeeval-def)
  then have val: [m,p] ⊢ e ↦ v1
    by (simp add: g1 encodeeval-def)
  then show ?thesis
    using e nc unfolding encodeeval-def
  proof (induct e v1 arbitrary: nid rule: evaltree.induct)
    case (ConstantExpr c)
    then show ?case

```

```

    by (meson local.wf stay-same-encoding)
  next
    case (ParameterExpr i s)
    have  $g2 \vdash nid \simeq \text{ParameterExpr } i \ s$ 
    by (meson local.wf stay-same-encoding ParameterExpr)
    then show ?case
    by (meson ParameterExpr.hyps evaltree.ParameterExpr)
  next
    case (ConditionalExpr ce cond branch te fe v)
    then have  $g2 \vdash nid \simeq \text{ConditionalExpr } ce \ te \ fe$ 
    using local.wf stay-same-encoding by presburger
    then show ?case
    by (meson ConditionalExpr.prem1)
  next
    case (UnaryExpr xe v op)
    then show ?case
    using local.wf stay-same-encoding by blast
  next
    case (BinaryExpr xe x ye y op)
    then show ?case
    using local.wf stay-same-encoding by blast
  next
    case (LeafExpr val nid s)
    then show ?case
    by (metis local.wf stay-same-encoding)
qed
qed

lemma add-changed:
  assumes  $gup = \text{add-node new } k \ g$ 
  shows  $\text{changeonly } \{new\} \ g \ gup$ 
  by (simp add: assms add-node.rep-eq kind.rep-eq stamp.rep-eq)

lemma disjoint-change:
  assumes  $\text{changeonly change } g \ gup$ 
  assumes  $\text{nochange} = \text{ids } g - \text{change}$ 
  shows  $\text{unchanged nochange } g \ gup$ 
  using assms by simp

lemma add-node-unchanged:
  assumes  $new \notin \text{ids } g$ 
  assumes  $nid \in \text{ids } g$ 
  assumes  $gup = \text{add-node new } k \ g$ 
  assumes  $\text{wf-graph } g$ 
  shows  $\text{unchanged (eval-usages } g \ nid) \ g \ gup$ 
proof -
  have  $new \notin (\text{eval-usages } g \ nid)$ 
  using assms by simp
  then have  $\text{changeonly } \{new\} \ g \ gup$ 

```

```

    using assms add-changed by simp
  then show ?thesis
    using assms by auto
qed

```

```

lemma eval-uses-imp:
  ((nid' ∈ ids g ∧ nid = nid')
   ∨ nid' ∈ inputs g nid
   ∨ (∃ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'))
  ⟷ eval-uses g nid nid'
by (meson eval-uses.simps)

```

```

lemma wf-use-ids:
  assumes wf-graph g
  assumes nid ∈ ids g
  assumes eval-uses g nid nid'
  shows nid' ∈ ids g
  using assms(3) apply (induction rule: eval-uses.induct) using assms(1) inp-in-g-wf
  by auto

```

```

lemma no-external-use:
  assumes wf-graph g
  assumes nid' ∉ ids g
  assumes nid ∈ ids g
  shows ¬(eval-uses g nid nid')
proof -
  have 0: nid ≠ nid'
    using assms by auto
  have inp: nid' ∉ inputs g nid
    using assms inp-in-g-wf by auto
  have rec-0: ∄ n . n ∈ ids g ∧ n = nid'
    using assms by simp
  have rec-inp: ∄ n . n ∈ ids g ∧ n ∈ inputs g nid'
    using assms(2) by (simp add: inp-in-g)
  have rec: ∄ nid'' . eval-uses g nid nid'' ∧ eval-uses g nid'' nid'
    using wf-use-ids assms by blast
  from inp 0 rec show ?thesis
    using eval-uses-imp by blast
qed

```

end

## 7.8 Tree to Graph Theorems

```

theory TreeToGraphThms
imports
  IRTreeEvalThms
  IRGraphFrames
  HOL-Eisbach.Eisbach

```

*HOL-Eisbach.Eisbach-Tools*  
**begin**

### 7.8.1 Extraction and Evaluation of Expression Trees is Deterministic.

First, we prove some extra rules that relate each type of IRNode to the corresponding IRExpr type that 'rep' will produce. These are very helpful for proving that 'rep' is deterministic.

**named-theorems** *rep*

**lemma** *rep-constant* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ConstantNode\ c \implies$   
 $e = ConstantExpr\ c$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-parameter* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ParameterNode\ i \implies$   
 $(\exists\ s.\ e = ParameterExpr\ i\ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-conditional* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ConditionalNode\ c\ t\ f \implies$   
 $(\exists\ ce\ te\ fe.\ e = ConditionalExpr\ ce\ te\ fe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-abs* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = AbsNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryAbs\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-reverse-bytes* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = ReverseBytesNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryReverseBytes\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-bit-count* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = BitCountNode\ x \implies$   
 $(\exists\ xe.\ e = UnaryExpr\ UnaryBitCount\ xe)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-not* [*rep*]:

$g \vdash n \simeq e \implies$   
 $kind\ g\ n = NotNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryNot\ xe)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-negate [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = NegateNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryNeg\ xe)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-logicnegation [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = LogicNegationNode\ x \implies$   
 $(\exists xe. e = UnaryExpr\ UnaryLogicNegation\ xe)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-add [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = AddNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinAdd\ xe\ ye)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-sub [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SubNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinSub\ xe\ ye)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-mul [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = MulNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinMul\ xe\ ye)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-div [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerDivNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinDiv\ xe\ ye)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-mod [rep]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = SignedFloatingIntegerRemNode\ x\ y \implies$   
 $(\exists xe\ ye. e = BinaryExpr\ BinMod\ xe\ ye)$   
**by** (induction rule: rep.induct; auto)

**lemma** rep-and [rep]:  
 $g \vdash n \simeq e \implies$



$kind\ g\ n = AndNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinAnd\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-or* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = OrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinOr\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-xor* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = XorNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinXor\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-short-circuit-or* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = ShortCircuitOrNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinShortCircuitOr\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-left-shift* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = LeftShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinLeftShift\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-right-shift* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = RightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinRightShift\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-unsigned-right-shift* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = UnsignedRightShiftNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinURightShift\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-below* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerBelowNode\ x\ y \implies$   
 $(\exists\ xe\ ye. e = BinaryExpr\ BinIntegerBelow\ xe\ ye)$   
**by** (induction rule: *rep.induct*; *auto*)

**lemma** *rep-integer-equals* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $kind\ g\ n = IntegerEqualsNode\ x\ y \implies$

( $\exists xe ye. e = \text{BinaryExpr BinIntegerEquals } xe ye$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-integer-less-than* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IntegerLessThanNode } x \ y \implies$   
( $\exists xe ye. e = \text{BinaryExpr BinIntegerLessThan } xe ye$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-integer-mul-high* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IntegerMulHighNode } x \ y \implies$   
( $\exists xe ye. e = \text{BinaryExpr BinIntegerMulHigh } xe ye$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-integer-test* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IntegerTestNode } x \ y \implies$   
( $\exists xe ye. e = \text{BinaryExpr BinIntegerTest } xe ye$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-integer-normalize-compare* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y \implies$   
( $\exists xe ye. e = \text{BinaryExpr BinIntegerNormalizeCompare } xe ye$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-narrow* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{NarrowNode } ib \ rb \ x \implies$   
( $\exists x. e = \text{UnaryExpr } (\text{UnaryNarrow } ib \ rb) \ x$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-sign-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{SignExtendNode } ib \ rb \ x \implies$   
( $\exists x. e = \text{UnaryExpr } (\text{UnarySignExtend } ib \ rb) \ x$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-zero-extend* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{ZeroExtendNode } ib \ rb \ x \implies$   
( $\exists x. e = \text{UnaryExpr } (\text{UnaryZeroExtend } ib \ rb) \ x$ )  
**by** (induction rule: *rep.induct*; auto)

**lemma** *rep-load-field* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{is-preevaluated } (\text{kind } g \ n) \implies$   
( $\exists s. e = \text{LeafExpr } n \ s$ )

**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-bytecode-exception* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{BytecodeExceptionNode } gu \ st \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-new-array* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{NewArrayNode } len \ st \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-array-length* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{ArrayLengthNode } x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-load-index* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{LoadIndexedNode } index \ guard \ x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-store-index* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $(\text{kind } g \ n) = \text{StoreIndexedNode } check \ val \ st \ index \ guard \ x \ n' \implies$   
 $(\exists s. e = \text{LeafExpr } n \ s)$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-ref* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{RefNode } n' \implies$   
 $g \vdash n' \simeq e$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-pi* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{PiNode } n' \ gu \implies$   
 $g \vdash n' \simeq e$   
**by** (*induction rule: rep.induct; auto*)

**lemma** *rep-is-null* [*rep*]:  
 $g \vdash n \simeq e \implies$   
 $\text{kind } g \ n = \text{IsNullNode } x \implies$   
 $(\exists xe. e = (\text{UnaryExpr } \text{UnaryIsNull } xe))$   
**by** (*induction rule: rep.induct; auto*)

```

method solve-det uses node =
  (match node in kind - - = node - for node  $\Rightarrow$ 
    <match rep in r: -  $\Rightarrow$  - = node -  $\Rightarrow$  -  $\Rightarrow$ 
      <match IRNode.inject in i: (node - = node -) = -  $\Rightarrow$ 
        <match RepE in e: -  $\Rightarrow$  ( $\bigwedge x$ . - = node x  $\Rightarrow$  -)  $\Rightarrow$  -  $\Rightarrow$ 
          <match IRNode.distinct in d: node -  $\neq$  RefNode -  $\Rightarrow$ 
            <match IRNode.distinct in f: node -  $\neq$  PiNode - -  $\Rightarrow$ 
              <metis i e r d f>>>>> |
      match node in kind - - = node - - for node  $\Rightarrow$ 
        <match rep in r: -  $\Rightarrow$  - = node - -  $\Rightarrow$  -  $\Rightarrow$ 
          <match IRNode.inject in i: (node - - = node - -) = -  $\Rightarrow$ 
            <match RepE in e: -  $\Rightarrow$  ( $\bigwedge x y$ . - = node x y  $\Rightarrow$  -)  $\Rightarrow$  -  $\Rightarrow$ 
              <match IRNode.distinct in d: node - -  $\neq$  RefNode -  $\Rightarrow$ 
                <match IRNode.distinct in f: node - -  $\neq$  PiNode - -  $\Rightarrow$ 
                  <metis i e r d f>>>>> |
          match node in kind - - = node - - - for node  $\Rightarrow$ 
            <match rep in r: -  $\Rightarrow$  - = node - - -  $\Rightarrow$  -  $\Rightarrow$ 
              <match IRNode.inject in i: (node - - - = node - - -) = -  $\Rightarrow$ 
                <match RepE in e: -  $\Rightarrow$  ( $\bigwedge x y z$ . - = node x y z  $\Rightarrow$  -)  $\Rightarrow$  -  $\Rightarrow$ 
                  <match IRNode.distinct in d: node - - -  $\neq$  RefNode -  $\Rightarrow$ 
                    <match IRNode.distinct in f: node - - -  $\neq$  PiNode - - -  $\Rightarrow$ 
                      <metis i e r d f>>>>> |
              match node in kind - - = node - - - for node  $\Rightarrow$ 
                <match rep in r: -  $\Rightarrow$  - = node - - -  $\Rightarrow$  -  $\Rightarrow$ 
                  <match IRNode.inject in i: (node - - - = node - - -) = -  $\Rightarrow$ 
                    <match RepE in e: -  $\Rightarrow$  ( $\bigwedge x$ . - = node - - x  $\Rightarrow$  -)  $\Rightarrow$  -  $\Rightarrow$ 
                      <match IRNode.distinct in d: node - - -  $\neq$  RefNode -  $\Rightarrow$ 
                        <match IRNode.distinct in f: node - - -  $\neq$  PiNode - - -  $\Rightarrow$ 
                          <metis i e r d f>>>>>)
  )

```

Now we can prove that 'rep' and 'eval', and their list versions, are deterministic.

```

lemma repDet:
  shows ( $g \vdash n \simeq e_1$ )  $\Rightarrow$  ( $g \vdash n \simeq e_2$ )  $\Rightarrow$   $e_1 = e_2$ 
proof (induction arbitrary:  $e_2$  rule: rep.induct)
  case (ConstantNode n c)
  then show ?case
    using rep-constant by simp
next
  case (ParameterNode n i s)
  then show ?case
    by (metis IRNode.distinct(3655) IRNode.distinct(3697) ParameterNodeE rep-parameter)
next
  case (ConditionalNode n c t f ce te fe)
  then show ?case
    by (metis ConditionalNodeE IRNode.distinct(925) IRNode.distinct(967) IRNode.sel(90) IRNode.sel(93) IRNode.sel(94) rep-conditional)
next

```

```

    case (AbsNode n x xe)
    then show ?case
        by (solve-det node: AbsNode)
next
    case (ReverseBytesNode n x xe)
    then show ?case
        by (solve-det node: ReverseBytesNode)
next
    case (BitCountNode n x xe)
    then show ?case
        by (solve-det node: BitCountNode)
next
    case (NotNode n x xe)
    then show ?case
        by (solve-det node: NotNode)
next
    case (NegateNode n x xe)
    then show ?case
        by (solve-det node: NegateNode)
next
    case (LogicNegationNode n x xe)
    then show ?case
        by (solve-det node: LogicNegationNode)
next
    case (AddNode n x y xe ye)
    then show ?case
        by (solve-det node: AddNode)
next
    case (MulNode n x y xe ye)
    then show ?case
        by (solve-det node: MulNode)
next
    case (DivNode n x y xe ye)
    then show ?case
        by (solve-det node: DivNode)
next
    case (ModNode n x y xe ye)
    then show ?case
        by (solve-det node: ModNode)
next
    case (SubNode n x y xe ye)
    then show ?case
        by (solve-det node: SubNode)
next
    case (AndNode n x y xe ye)
    then show ?case
        by (solve-det node: AndNode)
next
    case (OrNode n x y xe ye)

```

```

    then show ?case
      by (solve-det node: OrNode)
next
  case (XorNode n x y xe ye)
  then show ?case
    by (solve-det node: XorNode)
next
  case (ShortCircuitOrNode n x y xe ye)
  then show ?case
    by (solve-det node: ShortCircuitOrNode)
next
  case (LeftShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: LeftShiftNode)
next
  case (RightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: RightShiftNode)
next
  case (UnsignedRightShiftNode n x y xe ye)
  then show ?case
    by (solve-det node: UnsignedRightShiftNode)
next
  case (IntegerBelowNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerBelowNode)
next
  case (IntegerEqualsNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerEqualsNode)
next
  case (IntegerLessThanNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerLessThanNode)
next
  case (IntegerTestNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerTestNode)
next
  case (IntegerNormalizeCompareNode n x y xe ye)
  then show ?case
    by (solve-det node: IntegerNormalizeCompareNode)
next
  case (IntegerMulHighNode n x xe)
  then show ?case
    by (solve-det node: IntegerMulHighNode)
next
  case (NarrowNode n x xe)
  then show ?case

```

```

    using NarrowNodeE rep-narrow
    by (metis IRNode.distinct(3361) IRNode.distinct(3403) IRNode.inject(36))
next
  case (SignExtendNode n x xe)
  then show ?case
    using SignExtendNodeE rep-sign-extend
    by (metis IRNode.distinct(3707) IRNode.distinct(3919) IRNode.inject(48))
next
  case (ZeroExtendNode n x xe)
  then show ?case
    using ZeroExtendNodeE rep-zero-extend
    by (metis IRNode.distinct(3735) IRNode.distinct(4157) IRNode.inject(62))
next
  case (LeafNode n s)
  then show ?case
    using rep-load-field LeafNodeE
    by (metis is-preevaluated.simps(48) is-preevaluated.simps(65))
next
  case (RefNode n')
  then show ?case
    using rep-ref by blast
next
  case (PiNode n v)
  then show ?case
    using rep-pi by blast
next
  case (IsNullNode n v)
  then show ?case
    using IsNullNodeE rep-is-null
    by (metis IRNode.distinct(2557) IRNode.distinct(2599) IRNode.inject(24))
qed

lemma repAllDet:
   $g \vdash xs \simeq_L e1 \implies$ 
   $g \vdash xs \simeq_L e2 \implies$ 
   $e1 = e2$ 
proof (induction arbitrary: e2 rule: replist.induct)
  case RepNil
  then show ?case
    using replist.cases by auto
next
  case (RepCons x xe xs xse)
  then show ?case
    by (metis list.distinct(1) list.sel(1,3) repDet replist.cases)
qed

lemma encodeEvalDet:
   $[g, m, p] \vdash e \mapsto v1 \implies$ 
   $[g, m, p] \vdash e \mapsto v2 \implies$ 

```

$v1 = v2$   
**by** (*metis encodeeval-def evalDet repDet*)  
**lemma** *graphDet*:  $([g, m, p] \vdash n \mapsto v_1) \wedge ([g, m, p] \vdash n \mapsto v_2) \implies v_1 = v_2$   
**by** (*auto simp add: encodeEvalDet*)

### 7.8.2 Monotonicity of Graph Refinement

Lift refinement monotonicity to graph level. Hopefully these shouldn't really be required.

**lemma** *mono-abs*:  
**assumes**  $kind\ g1\ n = AbsNode\ x \wedge kind\ g2\ n = AbsNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis AbsNode assms mono-unary repDet*)

**lemma** *mono-not*:  
**assumes**  $kind\ g1\ n = NotNode\ x \wedge kind\ g2\ n = NotNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis NotNode assms mono-unary repDet*)

**lemma** *mono-negate*:  
**assumes**  $kind\ g1\ n = NegateNode\ x \wedge kind\ g2\ n = NegateNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis NegateNode assms mono-unary repDet*)

**lemma** *mono-logic-negation*:  
**assumes**  $kind\ g1\ n = LogicNegationNode\ x \wedge kind\ g2\ n = LogicNegationNode\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis LogicNegationNode assms mono-unary repDet*)

**lemma** *mono-narrow*:  
**assumes**  $kind\ g1\ n = NarrowNode\ ib\ rb\ x \wedge kind\ g2\ n = NarrowNode\ ib\ rb\ x$   
**assumes**  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
**assumes**  $xe1 \geq xe2$   
**assumes**  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
**shows**  $e1 \geq e2$   
**by** (*metis NarrowNode assms mono-unary repDet*)



**lemma** *mono-sign-extend*:

assumes  $\text{kind } g1 \ n = \text{SignExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{SignExtendNode } ib \ rb \ x$   
 assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
 assumes  $xe1 \geq xe2$   
 assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
 shows  $e1 \geq e2$   
 by (metis *SignExtendNode* *assms mono-unary repDet*)

**lemma** *mono-zero-extend*:

assumes  $\text{kind } g1 \ n = \text{ZeroExtendNode } ib \ rb \ x \wedge \text{kind } g2 \ n = \text{ZeroExtendNode } ib \ rb \ x$   
 assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
 assumes  $xe1 \geq xe2$   
 assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
 shows  $e1 \geq e2$   
 by (metis *ZeroExtendNode* *assms mono-unary repDet*)

**lemma** *mono-conditional-graph*:

assumes  $\text{kind } g1 \ n = \text{ConditionalNode } c \ t \ f \wedge \text{kind } g2 \ n = \text{ConditionalNode } c \ t \ f$   
 assumes  $(g1 \vdash c \simeq ce1) \wedge (g2 \vdash c \simeq ce2)$   
 assumes  $(g1 \vdash t \simeq te1) \wedge (g2 \vdash t \simeq te2)$   
 assumes  $(g1 \vdash f \simeq fe1) \wedge (g2 \vdash f \simeq fe2)$   
 assumes  $ce1 \geq ce2 \wedge te1 \geq te2 \wedge fe1 \geq fe2$   
 assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
 shows  $e1 \geq e2$   
 by (smt (verit, ccfv-SIG) *ConditionalNode* *assms mono-conditional repDet le-expr-def*)

**lemma** *mono-add*:

assumes  $\text{kind } g1 \ n = \text{AddNode } x \ y \wedge \text{kind } g2 \ n = \text{AddNode } x \ y$   
 assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
 assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
 assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
 assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
 shows  $e1 \geq e2$   
 by (metis (no-types, lifting) *AddNode* *mono-binary* *assms repDet*)

**lemma** *mono-mul*:

assumes  $\text{kind } g1 \ n = \text{MulNode } x \ y \wedge \text{kind } g2 \ n = \text{MulNode } x \ y$   
 assumes  $(g1 \vdash x \simeq xe1) \wedge (g2 \vdash x \simeq xe2)$   
 assumes  $(g1 \vdash y \simeq ye1) \wedge (g2 \vdash y \simeq ye2)$   
 assumes  $xe1 \geq xe2 \wedge ye1 \geq ye2$   
 assumes  $(g1 \vdash n \simeq e1) \wedge (g2 \vdash n \simeq e2)$   
 shows  $e1 \geq e2$   
 by (metis (no-types, lifting) *MulNode* *assms mono-binary repDet*)

**lemma** *mono-div*:

assumes  $\text{kind } g1 \ n = \text{SignedFloatingIntegerDivNode } x \ y \wedge \text{kind } g2 \ n = \text{Signed-}$

```

FloatingIntegerDivNode x y
  assumes (g1 ⊢ x ≃ xe1) ∧ (g2 ⊢ x ≃ xe2)
  assumes (g1 ⊢ y ≃ ye1) ∧ (g2 ⊢ y ≃ ye2)
  assumes xe1 ≥ xe2 ∧ ye1 ≥ ye2
  assumes (g1 ⊢ n ≃ e1) ∧ (g2 ⊢ n ≃ e2)
  shows e1 ≥ e2
  by (metis (no-types, lifting) DivNode assms mono-binary repDet)

lemma mono-mod:
  assumes kind g1 n = SignedFloatingIntegerRemNode x y ∧ kind g2 n = Signed-
FloatingIntegerRemNode x y
  assumes (g1 ⊢ x ≃ xe1) ∧ (g2 ⊢ x ≃ xe2)
  assumes (g1 ⊢ y ≃ ye1) ∧ (g2 ⊢ y ≃ ye2)
  assumes xe1 ≥ xe2 ∧ ye1 ≥ ye2
  assumes (g1 ⊢ n ≃ e1) ∧ (g2 ⊢ n ≃ e2)
  shows e1 ≥ e2
  by (metis (no-types, lifting) ModNode assms mono-binary repDet)

lemma term-graph-evaluation:
  (g ⊢ n ⊑ e) ⟹ (∀ m p v . ([m,p] ⊢ e ↦ v) ⟶ ([g,m,p] ⊢ n ↦ v))
  using graph-represents-expression-def encodeeval-def by (auto; meson)

lemma encodes-contains:
  g ⊢ n ≃ e ⟹
  kind g n ≠ NoNode
  apply (induction rule: rep.induct)
  apply (match IRNode.distinct in e: ?n ≠ NoNode ⟹ ⟨presburger add: e⟩+)
  by fastforce+

lemma no-encoding:
  assumes n ∉ ids g
  shows ¬(g ⊢ n ≃ e)
  using assms apply simp apply (rule notI) by (induction e; simp add: en-
codes-contains)

lemma not-excluded-keep-type:
  assumes n ∈ ids g1
  assumes n ∉ excluded
  assumes (excluded ⊆ as-set g1) ⊆ as-set g2
  shows kind g1 n = kind g2 n ∧ stamp g1 n = stamp g2 n
  using assms by (auto simp add: domain-subtraction-def as-set-def)

method metis-node-eq-unary for node :: 'a ⇒ IRNode =
  (match IRNode.inject in i: (node - = node -) = - ⇒
  ⟨metis i⟩)
method metis-node-eq-binary for node :: 'a ⇒ 'a ⇒ IRNode =
  (match IRNode.inject in i: (node - - = node - -) = - ⇒
  ⟨metis i⟩)
method metis-node-eq-ternary for node :: 'a ⇒ 'a ⇒ 'a ⇒ IRNode =

```

(*match* *IRNode.inject* **in** *i*: (*node* - - - = *node* - - -) = -  $\Rightarrow$   
 $\langle \text{metis } i \rangle$ )

### 7.8.3 Lift Data-flow Tree Refinement to Graph Refinement

**theorem** *graph-antics-preservation*:

**assumes** *a*:  $e1' \geq e2'$   
**assumes** *b*:  $(\{n'\} \trianglelefteq \text{as-set } g1) \subseteq \text{as-set } g2$   
**assumes** *c*:  $g1 \vdash n' \simeq e1'$   
**assumes** *d*:  $g2 \vdash n' \simeq e2'$   
**shows** *graph-refinement* *g1 g2*  
**unfolding** *graph-refinement-def* **apply** *rule*  
**apply** (*metis b d ids-some no-encoding not-excluded-keep-type singleton-iff sub-setI*)  
**apply** (*rule allI*) **apply** (*rule impI*) **apply** (*rule allI*) **apply** (*rule impI*)  
**unfolding** *graph-represents-expression-def*  
**proof** -  
**fix** *n e1*  
**assume** *e*:  $n \in \text{ids } g1$   
**assume** *f*:  $(g1 \vdash n \simeq e1)$   
**show**  $\exists e2. (g2 \vdash n \simeq e2) \wedge e1 \geq e2$   
**proof** (*cases n = n'*)  
**case** *True*  
**have** *g*:  $e1 = e1'$   
**using** *f* **by** (*simp add: repDet True c*)  
**have** *h*:  $(g2 \vdash n \simeq e2') \wedge e1' \geq e2'$   
**using** *a* **by** (*simp add: d True*)  
**then show** *?thesis*  
**by** (*auto simp add: g*)  
**next**  
**case** *False*  
**have**  $n \notin \{n'\}$   
**by** (*simp add: False*)  
**then have** *i*:  $\text{kind } g1 \ n = \text{kind } g2 \ n \wedge \text{stamp } g1 \ n = \text{stamp } g2 \ n$   
**using** *not-excluded-keep-type b e* **by** *presburger*  
**show** *?thesis*  
**using** *f i*  
**proof** (*induction e1*)  
**case** (*ConstantNode n c*)  
**then show** *?case*  
**by** (*metis eq-refl rep.ConstantNode*)  
**next**  
**case** (*ParameterNode n i s*)  
**then show** *?case*  
**by** (*metis eq-refl rep.ParameterNode*)  
**next**  
**case** (*ConditionalNode n c t f ce1 te1 fe1*)  
**have** *k*:  $g1 \vdash n \simeq \text{ConditionalExpr } ce1 \ te1 \ fe1$   
**using** *ConditionalNode* **by** (*simp add: ConditionalNode.hyps(2) rep.ConditionalNode*)

f)

```

obtain cn tn fn where l: kind g1 n = ConditionalNode cn tn fn
  by (auto simp add: ConditionalNode.hyps(1))
then have mc: g1 ⊢ cn ≃ ce1
  using ConditionalNode.hyps(1,2) by simp
from l have mt: g1 ⊢ tn ≃ te1
  using ConditionalNode.hyps(1,3) by simp
from l have mf: g1 ⊢ fn ≃ fe1
  using ConditionalNode.hyps(1,4) by simp
then show ?case
proof –
  have g1 ⊢ cn ≃ ce1
    by (simp add: mc)
  have g1 ⊢ tn ≃ te1
    by (simp add: mt)
  have g1 ⊢ fn ≃ fe1
    by (simp add: mf)
  have cer: ∃ ce2. (g2 ⊢ cn ≃ ce2) ∧ ce1 ≥ ce2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have ter: ∃ te2. (g2 ⊢ tn ≃ te2) ∧ te1 ≥ te2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  have ∃ fe2. (g2 ⊢ fn ≃ fe2) ∧ fe1 ≥ fe2
    using ConditionalNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-ternary ConditionalNode)
  then have ∃ ce2 te2 fe2. (g2 ⊢ n ≃ ConditionalExpr ce2 te2 fe2) ∧
    ConditionalExpr ce1 te1 fe1 ≥ ConditionalExpr ce2 te2 fe2
    apply meson
  by (smt (verit, best) mono-conditional ConditionalNode.prem1 l rep. ConditionalNode
cer ter)
  then show ?thesis
    by meson
qed
next
case (AbsNode n x xe1)
have k: g1 ⊢ n ≃ UnaryExpr UnaryAbs xe1
  using AbsNode by (simp add: AbsNode.hyps(2) rep. AbsNode f)
obtain xn where l: kind g1 n = AbsNode xn
  by (auto simp add: AbsNode.hyps(1))
then have m: g1 ⊢ xn ≃ xe1
  using AbsNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
    then have n: xe1 = e1'

```

```

      using m by (simp add: repDet c)
    then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryAbs } e2'$ 
      using l d by (simp add: rep.AbsNode True AbsNode.premis)
    then have r:  $\text{UnaryExpr UnaryAbs } e1' \geq \text{UnaryExpr UnaryAbs } e2'$ 
      by (meson a mono-unary)
    then show ?thesis
      by (metis n ev)
  next
    case False
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: m)
    have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using AbsNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
      by (metis node-eq-unary AbsNode)
    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryAbs } xe2) \wedge$ 
       $\text{UnaryExpr UnaryAbs } xe1 \geq \text{UnaryExpr UnaryAbs } xe2$ 
      by (metis AbsNode.premis l mono-unary rep.AbsNode)
    then show ?thesis
      by meson
  qed
next
case (ReverseBytesNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } xe1$ 
  by (simp add: ReverseBytesNode.hyps(1,2) rep.ReverseBytesNode)
obtain xn where l: kind g1 n = ReverseBytesNode xn
  by (simp add: ReverseBytesNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  by (metis IRNode.inject(45) ReverseBytesNode.hyps(1,2))
then show ?case
proof (cases xn = n')
  case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryReverseBytes } e2'$ 
    using ReverseBytesNode.premis True d l rep.ReverseBytesNode by presburger
  then have r:  $\text{UnaryExpr UnaryReverseBytes } e1' \geq \text{UnaryExpr UnaryRe-}$ 
verseBytes  $e2'$ 
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have  $g1 \vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  by (metis False IRNode.inject(45) ReverseBytesNode.IH ReverseBytesNode.hyps(1,2)
b l
      encodes-contains ids-some not-excluded-keep-type singleton-iff)

```

```

    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr } \text{UnaryReverseBytes } xe2) \wedge$ 
     $\text{UnaryExpr } \text{UnaryReverseBytes } xe1 \geq \text{UnaryExpr } \text{UnaryReverseBytes } xe2$ 
    by (metis ReverseBytesNode.premis l mono-unary rep.ReverseBytesNode)
    then show ?thesis
    by meson
  qed
next
case (BitCountNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr } \text{UnaryBitCount } xe1$ 
by (simp add: BitCountNode.hyps(1,2) rep.BitCountNode)
obtain xn where l: kind g1 n = BitCountNode xn
by (simp add: BitCountNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
by (metis BitCountNode.hyps(1,2) IRNode.inject(6))
then show ?case
proof (cases xn = n')
case True
then have n:  $xe1 = e1'$ 
using m by (simp add: repDet c)
then have ev:  $g2 \vdash n \simeq \text{UnaryExpr } \text{UnaryBitCount } e2'$ 
using BitCountNode.premis True d l rep.BitCountNode by presburger
then have r:  $\text{UnaryExpr } \text{UnaryBitCount } e1' \geq \text{UnaryExpr } \text{UnaryBitCount}$ 
 $e2'$ 
by (meson a mono-unary)
then show ?thesis
by (metis n ev)
next
case False
have  $g1 \vdash xn \simeq xe1$ 
by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
by (metis BitCountNode.IH BitCountNode.hyps(1) False IRNode.inject(6))
b emptyE insertE l m
no-encoding not-excluded-keep-type)
then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr } \text{UnaryBitCount } xe2) \wedge$ 
 $\text{UnaryExpr } \text{UnaryBitCount } xe1 \geq \text{UnaryExpr } \text{UnaryBitCount } xe2$ 
by (metis BitCountNode.premis l mono-unary rep.BitCountNode)
then show ?thesis
by meson
qed
next
case (NotNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr } \text{UnaryNot } xe1$ 
using NotNode by (simp add: NotNode.hyps(2) rep.NotNode f)
obtain xn where l: kind g1 n = NotNode xn
by (auto simp add: NotNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
using NotNode.hyps(1,2) by simp
then show ?case

```

```

proof (cases  $xn = n'$ )
  case True
    then have  $n: xe1 = e1'$ 
      using  $m$  by (simp add: repDet c)
    then have  $ev: g2 \vdash n \simeq \text{UnaryExpr UnaryNot } e2'$ 
      using  $l$  by (simp add: rep.NotNode d True NotNode.premis)
    then have  $r: \text{UnaryExpr UnaryNot } e1' \geq \text{UnaryExpr UnaryNot } e2'$ 
      by (meson a mono-unary)
    then show ?thesis
      by (metis n ev)
  next
    case False
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: m)
    have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using NotNode False b l not-excluded-keep-type singletonD no-encoding
      by (metis-node-eq-unary NotNode)
    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryNot } xe2) \wedge$ 
       $\text{UnaryExpr UnaryNot } xe1 \geq \text{UnaryExpr UnaryNot } xe2$ 
      by (metis NotNode.premis l mono-unary rep.NotNode)
    then show ?thesis
      by meson
  qed
next
  case (NegateNode  $n$   $x$   $xe1$ )
  have  $k: g1 \vdash n \simeq \text{UnaryExpr UnaryNeg } xe1$ 
    using NegateNode by (simp add: NegateNode.hyps(2) rep.NegateNode f)
  obtain  $xn$  where  $l: \text{kind } g1 \ n = \text{NegateNode } xn$ 
    by (auto simp add: NegateNode.hyps(1))
  then have  $m: g1 \vdash xn \simeq xe1$ 
    using NegateNode.hyps(1,2) by simp
  then show ?case
  proof (cases  $xn = n'$ )
    case True
      then have  $n: xe1 = e1'$ 
        using  $m$  by (simp add: c repDet)
      then have  $ev: g2 \vdash n \simeq \text{UnaryExpr UnaryNeg } e2'$ 
        using  $l$  by (simp add: rep.NegateNode True NegateNode.premis d)
      then have  $r: \text{UnaryExpr UnaryNeg } e1' \geq \text{UnaryExpr UnaryNeg } e2'$ 
        by (meson a mono-unary)
      then show ?thesis
        by (metis n ev)
    next
      case False
      have  $g1 \vdash xn \simeq xe1$ 
        by (simp add: m)
      have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
        using NegateNode False b l not-excluded-keep-type singletonD no-encoding
        by (metis-node-eq-unary NegateNode)

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    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryNeg } xe2) \wedge$ 
       $\text{UnaryExpr UnaryNeg } xe1 \geq \text{UnaryExpr UnaryNeg } xe2$ 
    by (metis NegateNode.prem1 mono-unary rep.NegateNode)
    then show ?thesis
    by meson
  qed
next
case (LogicNegationNode n x xe1)
have k:  $g1 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe1$ 
using LogicNegationNode by (simp add: LogicNegationNode.hyps(2) rep.LogicNegationNode)
obtain xn where l: kind g1 n = LogicNegationNode xn
  by (simp add: LogicNegationNode.hyps(1))
then have m:  $g1 \vdash xn \simeq xe1$ 
  using LogicNegationNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: c repDet)
  then have ev:  $g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } e2'$ 
  using l by (simp add: rep.LogicNegationNode True LogicNegationNode.prem1)
d
     $\text{LogicNegationNode.hyps(1)}$ 
  then have r:  $\text{UnaryExpr UnaryLogicNegation } e1' \geq \text{UnaryExpr UnaryLogicNegation } e2'$ 
icNegation e2'
    by (meson a mono-unary)
  then show ?thesis
  by (metis n ev)
next
case False
have g1  $\vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using LogicNegationNode False b l not-excluded-keep-type singletonD
no-encoding
  by (metis-node-eq-unary LogicNegationNode)
then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr UnaryLogicNegation } xe2) \wedge$ 
 $\text{UnaryExpr UnaryLogicNegation } xe1 \geq \text{UnaryExpr UnaryLogicNegation } xe2$ 
  by (metis LogicNegationNode.prem1 mono-unary rep.LogicNegationNode)
then show ?thesis
  by meson
qed
next
case (AddNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinAdd } xe1 ye1$ 
  using AddNode by (simp add: AddNode.hyps(2) rep.AddNode f)
obtain xn yn where l: kind g1 n = AddNode xn yn
  by (simp add: AddNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 

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    using AddNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
    using AddNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AddNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using AddNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AddNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAdd xe2 ye2) \wedge$ 
       $BinaryExpr BinAdd xe1 ye1 \geq BinaryExpr BinAdd xe2 ye2$ 
      by (metis AddNode.premis l mono-binary rep.AddNode xer)
    then show ?thesis
      by meson
  qed
next
case (MulNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinMul xe1 ye1$ 
  using MulNode by (simp add: MulNode.hyps(2) rep.MulNode f)
obtain xn yn where l: kind  $g1 \vdash n = MulNode xn yn$ 
  by (simp add: MulNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using MulNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using MulNode.hyps(1,3) by simp
then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary MulNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using MulNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary MulNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMul xe2 ye2) \wedge$ 
       $BinaryExpr BinMul xe1 ye1 \geq BinaryExpr BinMul xe2 ye2$ 

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```

    by (metis MulNode.premis l mono-binary rep.MulNode xer)
  then show ?thesis
    by meson
qed
next
case (DivNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinDiv } xe1 \ ye1$ 
  using DivNode by (simp add: DivNode.hyps(2) rep.DivNode f)
obtain xn yn where l: kind g1 n = SignedFloatingIntegerDivNode xn yn
  by (simp add: DivNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using DivNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using DivNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using DivNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using DivNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SignedFloatingIntegerDivNode)
  then have  $\exists xe2 \ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinDiv } xe2 \ ye2) \wedge$ 
     $\text{BinaryExpr BinDiv } xe1 \ ye1 \geq \text{BinaryExpr BinDiv } xe2 \ ye2$ 
    by (metis DivNode.premis l mono-binary rep.DivNode xer)
  then show ?thesis
    by meson
qed
next
case (ModNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinMod } xe1 \ ye1$ 
  using ModNode by (simp add: ModNode.hyps(2) rep.ModNode f)
obtain xn yn where l: kind g1 n = SignedFloatingIntegerRemNode xn yn
  by (simp add: ModNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using ModNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using ModNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)

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    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary SignedFloatingIntegerRemNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using ModNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary SignedFloatingIntegerRemNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinMod xe2 ye2) \wedge$ 
       $BinaryExpr BinMod xe1 ye1 \geq BinaryExpr BinMod xe2 ye2$ 
      by (metis ModNode.premis l mono-binary rep.ModNode xer)
    then show ?thesis
      by meson
  qed
next
case (SubNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinSub xe1 ye1$ 
  using SubNode by (simp add: SubNode.hyps(2) rep.SubNode f)
obtain xn yn where l: kind g1 n = SubNode xn yn
  by (simp add: SubNode.hyps(1))
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using SubNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using SubNode.hyps(1,3) by simp
then show ?case
proof -
  have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
  have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
  have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  using SubNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
    by (metis-node-eq-binary SubNode)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinSub xe2 ye2) \wedge$ 
     $BinaryExpr BinSub xe1 ye1 \geq BinaryExpr BinSub xe2 ye2$ 
    by (metis SubNode.premis l mono-binary rep.SubNode xer)
  then show ?thesis
    by meson
  qed
next
case (AndNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinAnd xe1 ye1$ 
  using AndNode by (simp add: AndNode.hyps(2) rep.AndNode f)
obtain xn yn where l: kind g1 n = AndNode xn yn
  using AndNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 

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    using AndNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
    using AndNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AndNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using AndNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
      by (metis-node-eq-binary AndNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinAnd xe2 ye2) \wedge$ 
       $BinaryExpr BinAnd xe1 ye1 \geq BinaryExpr BinAnd xe2 ye2$ 
      by (metis AndNode.premis l mono-binary rep.AndNode xer)
    then show ?thesis
      by meson
  qed
next
case (OrNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinOr xe1 ye1$ 
  using OrNode by (simp add: OrNode.hyps(2) rep.OrNode f)
obtain xn yn where l: kind  $g1 \ n = OrNode \ xn \ yn$ 
  using OrNode.hyps(1) by simp
then have mx:  $g1 \vdash xn \simeq xe1$ 
  using OrNode.hyps(1,2) by simp
from l have my:  $g1 \vdash yn \simeq ye1$ 
  using OrNode.hyps(1,3) by simp
then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary OrNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using OrNode a b c d l no-encoding not-excluded-keep-type repDet singletonD
      by (metis-node-eq-binary OrNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinOr xe2 ye2) \wedge$ 
       $BinaryExpr BinOr xe1 ye1 \geq BinaryExpr BinOr xe2 ye2$ 
      by (metis OrNode.premis l mono-binary rep.OrNode xer)
    then show ?thesis

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      by meson
    qed
  next
  case (XorNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq \text{BinaryExpr BinXor } xe1 \text{ } ye1$ 
    using XorNode by (simp add: XorNode.hyps(2) rep.XorNode f)
  obtain xn yn where l: kind g1 n = XorNode xn yn
    using XorNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
    using XorNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
    using XorNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using XorNode a b c d l no-encoding not-excluded-keep-type repDet
    singletonD
      by (metis-node-eq-binary XorNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using XorNode a b c d l no-encoding not-excluded-keep-type repDet
    singletonD
      by (metis-node-eq-binary XorNode)
    then have  $\exists xe2 \ ye2. (g2 \vdash n \simeq \text{BinaryExpr BinXor } xe2 \text{ } ye2) \wedge$ 
       $\text{BinaryExpr BinXor } xe1 \text{ } ye1 \geq \text{BinaryExpr BinXor } xe2 \text{ } ye2$ 
      by (metis XorNode.premis l mono-binary rep.XorNode xer)
    then show ?thesis
      by meson
    qed
  next
  case (ShortCircuitOrNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq \text{BinaryExpr BinShortCircuitOr } xe1 \text{ } ye1$ 
    using ShortCircuitOrNode by (simp add: ShortCircuitOrNode.hyps(2) rep.ShortCircuitOrNode
f)
  obtain xn yn where l: kind g1 n = ShortCircuitOrNode xn yn
    using ShortCircuitOrNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
    using ShortCircuitOrNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
    using ShortCircuitOrNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
      by (simp add: my)

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      have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary ShortCircuitOrNode)
      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using ShortCircuitOrNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary ShortCircuitOrNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinShortCircuitOr xe2 ye2)$ 
 $\wedge$ 
      BinaryExpr BinShortCircuitOr xe1 ye1  $\geq$  BinaryExpr BinShortCircuitOr xe2 ye2
      by (metis ShortCircuitOrNode.premis l mono-binary rep.ShortCircuitOrNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (LeftShiftNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq BinaryExpr BinLeftShift xe1 ye1$ 
  using LeftShiftNode by (simp add: LeftShiftNode.hyps(2) rep.LeftShiftNode
f)
  obtain xn yn where l: kind g1 n = LeftShiftNode xn yn
  using LeftShiftNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
  using LeftShiftNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
  using LeftShiftNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using LeftShiftNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary LeftShiftNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinLeftShift xe2 ye2) \wedge$ 
      BinaryExpr BinLeftShift xe1 ye1  $\geq$  BinaryExpr BinLeftShift xe2 ye2
    by (metis LeftShiftNode.premis l mono-binary rep.LeftShiftNode xer)
    then show ?thesis
    by meson
  qed
next
case (RightShiftNode n x y xe1 ye1)

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have k: g1 ⊢ n ≃ BinaryExpr BinRightShift xe1 ye1
using RightShiftNode by (simp add: RightShiftNode.hyps(2) rep.RightShiftNode)
obtain xn yn where l: kind g1 n = RightShiftNode xn yn
  using RightShiftNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≃ xe1
  using RightShiftNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using RightShiftNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≃ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≃ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
    using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary RightShiftNode)
  have ∃ ye2. (g2 ⊢ yn ≃ ye2) ∧ ye1 ≥ ye2
    using RightShiftNode a b c d l no-encoding not-excluded-keep-type repDet
  singletonD
    by (metis-node-eq-binary RightShiftNode)
  then have ∃ xe2 ye2. (g2 ⊢ n ≃ BinaryExpr BinRightShift xe2 ye2) ∧
    BinaryExpr BinRightShift xe1 ye1 ≥ BinaryExpr BinRightShift xe2 ye2
    by (metis RightShiftNode.premis l mono-binary rep.RightShiftNode xer)
  then show ?thesis
    by meson
qed
next
case (UnsignedRightShiftNode n x y xe1 ye1)
have k: g1 ⊢ n ≃ BinaryExpr BinURightShift xe1 ye1
using UnsignedRightShiftNode by (simp add: UnsignedRightShiftNode.hyps(2)
  rep.UnsignedRightShiftNode)
obtain xn yn where l: kind g1 n = UnsignedRightShiftNode xn yn
  using UnsignedRightShiftNode.hyps(1) by simp
then have mx: g1 ⊢ xn ≃ xe1
  using UnsignedRightShiftNode.hyps(1,2) by simp
from l have my: g1 ⊢ yn ≃ ye1
  using UnsignedRightShiftNode.hyps(1,3) by simp
then show ?case
proof -
  have g1 ⊢ xn ≃ xe1
    by (simp add: mx)
  have g1 ⊢ yn ≃ ye1
    by (simp add: my)
  have xer: ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
    using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type
  repDet singletonD

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      l
      by (metis-node-eq-binary UnsignedRightShiftNode)
      have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
      using UnsignedRightShiftNode a b c d no-encoding not-excluded-keep-type
repDet singletonD
      l
      by (metis-node-eq-binary UnsignedRightShiftNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinURightShift xe2 ye2) \wedge$ 
BinaryExpr BinURightShift xe1 ye1  $\geq BinaryExpr BinURightShift xe2 ye2$ 
      by (metis UnsignedRightShiftNode.premis l mono-binary rep.UnsignedRightShiftNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (IntegerBelowNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerBelow xe1 ye1$ 
  using IntegerBelowNode by (simp add: IntegerBelowNode.hyps(2) rep.IntegerBelowNode)
  obtain xn yn where l: kind g1 n = IntegerBelowNode xn yn
  using IntegerBelowNode.hyps(1) by simp
  then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IntegerBelowNode.hyps(1,2) by simp
  from l have my:  $g1 \vdash yn \simeq ye1$ 
  using IntegerBelowNode.hyps(1,3) by simp
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary IntegerBelowNode)
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerBelowNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis-node-eq-binary IntegerBelowNode)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerBelow xe2 ye2) \wedge$ 
BinaryExpr BinIntegerBelow xe1 ye1  $\geq BinaryExpr BinIntegerBelow xe2 ye2$ 
    by (metis IntegerBelowNode.premis l mono-binary rep.IntegerBelowNode
xer)
    then show ?thesis
    by meson
  qed
next
case (IntegerEqualsNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq BinaryExpr BinIntegerEquals xe1 ye1$ 
using IntegerEqualsNode by (simp add: IntegerEqualsNode.hyps(2) rep.IntegerEqualsNode)

```



```

obtain  $xn\ yn$  where  $l$ :  $kind\ g1\ n = IntegerEqualsNode\ xn\ yn$ 
  using  $IntegerEqualsNode.hyps(1)$  by  $simp$ 
then have  $mx$ :  $g1 \vdash xn \simeq xe1$ 
  using  $IntegerEqualsNode.hyps(1,2)$  by  $simp$ 
from  $l$  have  $my$ :  $g1 \vdash yn \simeq ye1$ 
  using  $IntegerEqualsNode.hyps(1,3)$  by  $simp$ 
then show  $?case$ 
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by ( $simp\ add$ :  $mx$ )
  have  $g1 \vdash yn \simeq ye1$ 
    by ( $simp\ add$ :  $my$ )
  have  $xer$ :  $\exists\ xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using  $IntegerEqualsNode\ a\ b\ c\ d\ l\ no-encoding\ not-excluded-keep-type$ 
 $repDet\ singletonD$ 
    by ( $metis-node-eq-binary\ IntegerEqualsNode$ )
  have  $\exists\ ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using  $IntegerEqualsNode\ a\ b\ c\ d\ l\ no-encoding\ not-excluded-keep-type$ 
 $repDet\ singletonD$ 
    by ( $metis-node-eq-binary\ IntegerEqualsNode$ )
  then have  $\exists\ xe2\ ye2. (g2 \vdash n \simeq BinaryExpr\ BinIntegerEquals\ xe2\ ye2) \wedge$ 
 $BinaryExpr\ BinIntegerEquals\ xe1\ ye1 \geq BinaryExpr\ BinIntegerEquals\ xe2\ ye2$ 
    by ( $metis\ IntegerEqualsNode.premis\ l\ mono-binary\ rep.IntegerEqualsNode$ 
 $xer$ )
  then show  $?thesis$ 
    by  $meson$ 
qed
next
case ( $IntegerLessThanNode\ n\ x\ y\ xe1\ ye1$ )
have  $k$ :  $g1 \vdash n \simeq BinaryExpr\ BinIntegerLessThan\ xe1\ ye1$ 
  using  $IntegerLessThanNode$  by ( $simp\ add$ :  $IntegerLessThanNode.hyps(2)$ 
 $rep.IntegerLessThanNode$ )
obtain  $xn\ yn$  where  $l$ :  $kind\ g1\ n = IntegerLessThanNode\ xn\ yn$ 
  using  $IntegerLessThanNode.hyps(1)$  by  $simp$ 
then have  $mx$ :  $g1 \vdash xn \simeq xe1$ 
  using  $IntegerLessThanNode.hyps(1,2)$  by  $simp$ 
from  $l$  have  $my$ :  $g1 \vdash yn \simeq ye1$ 
  using  $IntegerLessThanNode.hyps(1,3)$  by  $simp$ 
then show  $?case$ 
proof –
  have  $g1 \vdash xn \simeq xe1$ 
    by ( $simp\ add$ :  $mx$ )
  have  $g1 \vdash yn \simeq ye1$ 
    by ( $simp\ add$ :  $my$ )
  have  $xer$ :  $\exists\ xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using  $IntegerLessThanNode\ a\ b\ c\ d\ l\ no-encoding\ not-excluded-keep-type$ 
 $repDet\ singletonD$ 
    by ( $metis-node-eq-binary\ IntegerLessThanNode$ )
  have  $\exists\ ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 

```

```

      using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
      by (metis-node-eq-binary IntegerLessThanNode)
      then have  $\exists xe2 ye2. (g2 \vdash n \simeq \text{BinaryExpr BinIntegerLessThan } xe2 ye2)$ 
 $\wedge$ 
BinaryExpr BinIntegerLessThan  $xe1 ye1 \geq \text{BinaryExpr BinIntegerLessThan } xe2 ye2$ 
      by (metis IntegerLessThanNode.premis l mono-binary rep.IntegerLessThanNode
xer)
      then show ?thesis
      by meson
    qed
  next
  case (IntegerTestNode n x y xe1 ye1)
  have k:  $g1 \vdash n \simeq \text{BinaryExpr BinIntegerTest } xe1 ye1$ 
  using IntegerTestNode by (meson rep.IntegerTestNode)
  obtain xn yn where l: kind g1 n = IntegerTestNode xn yn
  by (simp add: IntegerTestNode.hyps(1))
  then have mx:  $g1 \vdash xn \simeq xe1$ 
  using IRNode.inject(21) IntegerTestNode.hyps(1,2) by presburger
  from l have my:  $g1 \vdash yn \simeq ye1$ 
  by (metis IRNode.inject(21) IntegerTestNode.hyps(1,3))
  then show ?case
  proof -
    have  $g1 \vdash xn \simeq xe1$ 
    by (simp add: mx)
    have  $g1 \vdash yn \simeq ye1$ 
    by (simp add: my)
    have xer:  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
    using IntegerTestNode a b c d l no-encoding not-excluded-keep-type repDet
singletonD
    by (metis IRNode.inject(21))
    have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
    using IntegerLessThanNode a b c d l no-encoding not-excluded-keep-type
repDet singletonD
    by (metis IRNode.inject(21) IntegerTestNode.IH(2) IntegerTestNode.hyps(1)
my)
    then have  $\exists xe2 ye2. (g2 \vdash n \simeq \text{BinaryExpr BinIntegerTest } xe2 ye2) \wedge$ 
BinaryExpr BinIntegerTest  $xe1 ye1 \geq \text{BinaryExpr BinIntegerTest } xe2 ye2$ 
    by (metis IntegerTestNode.premis l mono-binary xer rep.IntegerTestNode)
    then show ?thesis
    by meson
  qed
next
case (IntegerNormalizeCompareNode n x y xe1 ye1)
have k:  $g1 \vdash n \simeq \text{BinaryExpr BinIntegerNormalizeCompare } xe1 ye1$ 
by (simp add: IntegerNormalizeCompareNode.hyps(1,2,3) rep.IntegerNormalizeCompareNode)
obtain xn yn where l: kind g1 n = IntegerNormalizeCompareNode xn yn
by (simp add: IntegerNormalizeCompareNode.hyps(1))

```

```

    then have  $m x: g1 \vdash x n \simeq x e1$ 
      using  $IRNode.inject(20)$   $IntegerNormalizeCompareNode.hyps(1,2)$  by pres-
burger
    from  $l$  have  $m y: g1 \vdash y n \simeq y e1$ 
      using  $IRNode.inject(20)$   $IntegerNormalizeCompareNode.hyps(1,3)$  by pres-
burger
    then show ?case
    proof -
      have  $g1 \vdash x n \simeq x e1$ 
        by (simp add:  $m x$ )
      have  $g1 \vdash y n \simeq y e1$ 
        by (simp add:  $m y$ )
      have  $x e r: \exists x e2. (g2 \vdash x n \simeq x e2) \wedge x e1 \geq x e2$ 
        by (metis  $IRNode.inject(20)$   $IntegerNormalizeCompareNode.IH(1)$   $l$   $m x$ 
no-encoding  $a$   $b$   $c$   $d$ 
 $IntegerNormalizeCompareNode.hyps(1)$   $emptyE$   $insertE$   $not-excluded-keep-type$ 
 $repDet$ )
      have  $\exists y e2. (g2 \vdash y n \simeq y e2) \wedge y e1 \geq y e2$ 
        by (metis  $IRNode.inject(20)$   $IntegerNormalizeCompareNode.IH(2)$   $m y$ 
no-encoding  $a$   $b$   $c$   $d$   $l$ 
 $IntegerNormalizeCompareNode.hyps(1)$   $emptyE$   $insertE$   $not-excluded-keep-type$ 
 $repDet$ )
      then have  $\exists x e2 y e2. (g2 \vdash n \simeq BinaryExpr\ BinIntegerNormalizeCompare$ 
 $x e2\ y e2) \wedge$ 
 $BinaryExpr\ BinIntegerNormalizeCompare\ x e1\ y e1 \geq BinaryExpr\ BinIntegerNor-$ 
 $malizeCompare\ x e2\ y e2$ 
        by (metis  $IntegerNormalizeCompareNode.prem s\ l$   $mono-binary\ rep.IntegerNormalizeCompareNode$ 
 $x e r$ )
      then show ?thesis
        by meson
    qed
  next
  case ( $IntegerMulHighNode\ n\ x\ y\ x e1\ y e1$ )
  have  $k: g1 \vdash n \simeq BinaryExpr\ BinIntegerMulHigh\ x e1\ y e1$ 
    by (simp add:  $IntegerMulHighNode.hyps(1,2,3)$   $rep.IntegerMulHighNode$ )
  obtain  $x n\ y n$  where  $l: kind\ g1\ n = IntegerMulHighNode\ x n\ y n$ 
    by (simp add:  $IntegerMulHighNode.hyps(1)$ )
  then have  $m x: g1 \vdash x n \simeq x e1$ 
    using  $IRNode.inject(19)$   $IntegerMulHighNode.hyps(1,2)$  by presburger
  from  $l$  have  $m y: g1 \vdash y n \simeq y e1$ 
    using  $IRNode.inject(19)$   $IntegerMulHighNode.hyps(1,3)$  by presburger
  then show ?case
  proof -
    have  $g1 \vdash x n \simeq x e1$ 
      by (simp add:  $m x$ )
    have  $g1 \vdash y n \simeq y e1$ 
      by (simp add:  $m y$ )
    have  $x e r: \exists x e2. (g2 \vdash x n \simeq x e2) \wedge x e1 \geq x e2$ 
      by (metis  $IRNode.inject(19)$   $IntegerMulHighNode.IH(1)$   $IntegerMulHigh-$ 

```

```

Node.hyps(1) a b c d
  emptyE insertE l mx no-encoding not-excluded-keep-type repDet)
  have  $\exists ye2. (g2 \vdash yn \simeq ye2) \wedge ye1 \geq ye2$ 
  by (metis IRNode.inject(19) IntegerMulHighNode.IH(2) IntegerMulHigh-
Node.hyps(1) a b c d
  emptyE insertE l my no-encoding not-excluded-keep-type repDet)
  then have  $\exists xe2 ye2. (g2 \vdash n \simeq BinaryExpr BinIntegerMulHigh xe2 ye2) \wedge$ 
     $BinaryExpr BinIntegerMulHigh xe1 ye1 \geq BinaryExpr BinIntegerMulHigh xe2 ye2$ 
  by (metis IntegerMulHighNode.premis l mono-binary rep.IntegerMulHighNode
xer)
  then show ?thesis
  by meson
qed
next
case (NarrowNode n inputBits resultBits x xe1)
have k:  $g1 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) xe1$ 
  using NarrowNode by (simp add: NarrowNode.hyps(2) rep.NarrowNode)
obtain xn where l: kind g1 n = NarrowNode inputBits resultBits xn
  using NarrowNode.hyps(1) by simp
then have m:  $g1 \vdash xn \simeq xe1$ 
  using NarrowNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
  case True
  then have n:  $xe1 = e1'$ 
    using m by (simp add: repDet c)
  then have ev:  $g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits) e2'$ 

    using l by (simp add: rep.NarrowNode d True NarrowNode.premis)
  then have r:  $UnaryExpr (UnaryNarrow inputBits resultBits) e1' \geq$ 
     $UnaryExpr (UnaryNarrow inputBits resultBits) e2'$ 
  by (meson a mono-unary)
  then show ?thesis
  by (metis n ev)
next
case False
have  $g1 \vdash xn \simeq xe1$ 
  by (simp add: m)
have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
  using NarrowNode False b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
  by (metis-node-eq-ternary NarrowNode)
then have  $\exists xe2. (g2 \vdash n \simeq UnaryExpr (UnaryNarrow inputBits resultBits)$ 
 $xe2) \wedge$ 
     $UnaryExpr (UnaryNarrow inputBits resultBits) xe1 \geq$ 
     $UnaryExpr (UnaryNarrow inputBits resultBits) xe2$ 
  by (metis NarrowNode.premis l mono-unary rep.NarrowNode)
then show ?thesis
  by meson

```

```

qed
next
case (SignExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits) xe1
using SignExtendNode by (simp add: SignExtendNode.hyps(2) rep.SignExtendNode)
obtain xn where l: kind g1 n = SignExtendNode inputBits resultBits xn
  using SignExtendNode.hyps(1) by simp
then have m: g1 ⊢ xn ≃ xe1
  using SignExtendNode.hyps(1,2) by simp
then show ?case
proof (cases xn = n')
case True
  then have n: xe1 = e1'
    using m by (simp add: repDet c)
  then have ev: g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits resultBits)
e2'
    using l by (simp add: True d rep.SignExtendNode SignExtendNode.prem)
  then have r: UnaryExpr (UnarySignExtend inputBits resultBits) e1' ≥
    UnaryExpr (UnarySignExtend inputBits resultBits) e2'
    by (meson a mono-unary)
  then show ?thesis
    by (metis n ev)
next
case False
have g1 ⊢ xn ≃ xe1
  by (simp add: m)
have ∃ xe2. (g2 ⊢ xn ≃ xe2) ∧ xe1 ≥ xe2
  using SignExtendNode False b encodes-contains l not-excluded-keep-type
not-in-g
    singleton-iff
  by (metis node-eq-ternary SignExtendNode)
  then have ∃ xe2. (g2 ⊢ n ≃ UnaryExpr (UnarySignExtend inputBits
resultBits) xe2) ∧
    UnaryExpr (UnarySignExtend inputBits resultBits)
xe1 ≥
    UnaryExpr (UnarySignExtend inputBits resultBits) xe2
  by (metis SignExtendNode.prem l mono-unary rep.SignExtendNode)
  then show ?thesis
    by meson
qed
next
case (ZeroExtendNode n inputBits resultBits x xe1)
have k: g1 ⊢ n ≃ UnaryExpr (UnaryZeroExtend inputBits resultBits) xe1
using ZeroExtendNode by (simp add: ZeroExtendNode.hyps(2) rep.ZeroExtendNode)
obtain xn where l: kind g1 n = ZeroExtendNode inputBits resultBits xn
  using ZeroExtendNode.hyps(1) by simp
then have m: g1 ⊢ xn ≃ xe1
  using ZeroExtendNode.hyps(1,2) by simp
then show ?case

```

```

proof (cases  $xn = n'$ )
  case True
    then have  $n: xe1 = e1'$ 
      using  $m$  by (simp add: repDet c)
    then have  $ev: g2 \vdash n \simeq \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits})$ 
 $e2'$ 
      using  $l$  by (simp add: ZeroExtendNode.premis True d rep.ZeroExtendNode)
    then have  $r: \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) e1' \geq$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) e2'$ 
      by (meson a mono-unary)
    then show ?thesis
      by (metis n ev)
  next
    case False
    have  $g1 \vdash xn \simeq xe1$ 
      by (simp add: m)
    have  $\exists xe2. (g2 \vdash xn \simeq xe2) \wedge xe1 \geq xe2$ 
      using ZeroExtendNode b encodes-contains l not-excluded-keep-type not-in-g
singleton-iff
      False
      by (metis-node-eq-ternary ZeroExtendNode)
    then have  $\exists xe2. (g2 \vdash n \simeq \text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits}$ 
resultBits) xe2)  $\wedge$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits})$ 
 $xe1 \geq$ 
       $\text{UnaryExpr } (\text{UnaryZeroExtend } \text{inputBits } \text{resultBits}) xe2$ 
      by (metis ZeroExtendNode.premis l mono-unary rep.ZeroExtendNode)
    then show ?thesis
      by meson
  qed
next
  case (LeafNode n s)
  then show ?case
    by (metis eq-refl rep.LeafNode)
next
  case (PiNode n' gu)
  then show ?case
    by (metis encodes-contains not-excluded-keep-type not-in-g rep.PiNode repDet
singleton-iff
      a b c d)
next
  case (RefNode n')
  then show ?case
    by (metis a b c d no-encoding not-excluded-keep-type rep.RefNode repDet
singletonD)
next
  case (IsNullNode n)
  then show ?case
    by (metis insertE mono-unary no-encoding not-excluded-keep-type rep.IsNullNode

```

*repDet emptyE*  
     *a b c d)*

**qed**  
   **qed**  
**qed**

**lemma** *graph-antics-preservation-subscript*:  
   **assumes** *a*:  $e_1' \geq e_2'$   
   **assumes** *b*:  $(\{n\} \trianglelefteq \text{as-set } g_1) \subseteq \text{as-set } g_2$   
   **assumes** *c*:  $g_1 \vdash n \simeq e_1'$   
   **assumes** *d*:  $g_2 \vdash n \simeq e_2'$   
   **shows** *graph-refinement*  $g_1 \ g_2$   
   **using** *assms* **by** (*simp add: graph-antics-preservation*)

**lemma** *tree-to-graph-rewriting*:  
    $e_1 \geq e_2$   
    $\wedge (g_1 \vdash n \simeq e_1) \wedge \text{maximal-sharing } g_1$   
    $\wedge (\{n\} \trianglelefteq \text{as-set } g_1) \subseteq \text{as-set } g_2$   
    $\wedge (g_2 \vdash n \simeq e_2) \wedge \text{maximal-sharing } g_2$   
    $\implies \text{graph-refinement } g_1 \ g_2$   
   **by** (*auto simp add: graph-antics-preservation*)

**declare** [*simp-trace*]  
**lemma** *equal-refines*:  
   **fixes** *e1 e2* :: *IRExpr*  
   **assumes**  $e1 = e2$   
   **shows**  $e1 \geq e2$   
   **using** *assms* **by** *simp*  
**declare** [*simp-trace=false*]

**lemma** *eval-contains-id[simp]*:  $g1 \vdash n \simeq e \implies n \in \text{ids } g1$   
   **using** *no-encoding* **by** *auto*

**lemma** *subset-kind[simp]*:  $\text{as-set } g1 \subseteq \text{as-set } g2 \implies g1 \vdash n \simeq e \implies \text{kind } g1 \ n = \text{kind } g2 \ n$   
   **using** *eval-contains-id as-set-def* **by** *blast*

**lemma** *subset-stamp[simp]*:  $\text{as-set } g1 \subseteq \text{as-set } g2 \implies g1 \vdash n \simeq e \implies \text{stamp } g1 \ n = \text{stamp } g2 \ n$   
   **using** *eval-contains-id as-set-def* **by** *blast*

**method** *solve-subset-eval* **uses** *as-set eval* =  
   (*metis eval as-set subset-kind subset-stamp* |  
   *metis eval as-set subset-kind*)

**lemma** *subset-implies-evals*:  
   **assumes**  $\text{as-set } g1 \subseteq \text{as-set } g2$

```

assumes ( $g1 \vdash n \simeq e$ )
shows ( $g2 \vdash n \simeq e$ )
using assms(2)
apply (induction e)
  apply (solve-subset-eval as-set: assms(1) eval: ConstantNode)
  apply (solve-subset-eval as-set: assms(1) eval: ParameterNode)
  apply (solve-subset-eval as-set: assms(1) eval: ConditionalNode)
  apply (solve-subset-eval as-set: assms(1) eval: AbsNode)
  apply (solve-subset-eval as-set: assms(1) eval: ReverseBytesNode)
  apply (solve-subset-eval as-set: assms(1) eval: BitCountNode)
  apply (solve-subset-eval as-set: assms(1) eval: NotNode)
  apply (solve-subset-eval as-set: assms(1) eval: NegateNode)
  apply (solve-subset-eval as-set: assms(1) eval: LogicNegationNode)
  apply (solve-subset-eval as-set: assms(1) eval: AddNode)
  apply (solve-subset-eval as-set: assms(1) eval: MulNode)
  apply (solve-subset-eval as-set: assms(1) eval: DivNode)
  apply (solve-subset-eval as-set: assms(1) eval: ModNode)
  apply (solve-subset-eval as-set: assms(1) eval: SubNode)
  apply (solve-subset-eval as-set: assms(1) eval: AndNode)
  apply (solve-subset-eval as-set: assms(1) eval: OrNode)
  apply (solve-subset-eval as-set: assms(1) eval: XorNode)
  apply (solve-subset-eval as-set: assms(1) eval: ShortCircuitOrNode)
  apply (solve-subset-eval as-set: assms(1) eval: LeftShiftNode)
  apply (solve-subset-eval as-set: assms(1) eval: RightShiftNode)
  apply (solve-subset-eval as-set: assms(1) eval: UnsignedRightShiftNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerBelowNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerEqualsNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerLessThanNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerTestNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerNormalizeCompareNode)
  apply (solve-subset-eval as-set: assms(1) eval: IntegerMulHighNode)
  apply (solve-subset-eval as-set: assms(1) eval: NarrowNode)
  apply (solve-subset-eval as-set: assms(1) eval: SignExtendNode)
  apply (solve-subset-eval as-set: assms(1) eval: ZeroExtendNode)
  apply (solve-subset-eval as-set: assms(1) eval: LeafNode)
  apply (solve-subset-eval as-set: assms(1) eval: PiNode)
apply (solve-subset-eval as-set: assms(1) eval: RefNode)
by (solve-subset-eval as-set: assms(1) eval: IsNullNode)

```

**lemma** *subset-refines*:

```

assumes  $as\text{-}set\ g1 \subseteq as\text{-}set\ g2$ 
shows graph-refinement g1 g2
proof –
  have  $ids\ g1 \subseteq ids\ g2$ 
  using assms as-set-def by blast
  then show ?thesis
    unfolding graph-refinement-def
    apply rule apply (rule allI) apply (rule impI) apply (rule allI) apply (rule
impI)

```



```

unfolding graph-represents-expression-def
proof –
  fix n e1
  assume 1:n ∈ ids g1
  assume 2:g1 ⊢ n ≃ e1
  show  $\exists e2. (g2 \vdash n \simeq e2) \wedge e1 \geq e2$ 
    by (meson equal-refines subset-implies-evals assms 1 2)
  qed
qed

```

```

lemma graph-construction:
   $e_1 \geq e_2$ 
   $\wedge as\text{-}set\ g_1 \subseteq as\text{-}set\ g_2$ 
   $\wedge (g_2 \vdash n \simeq e_2)$ 
   $\implies (g_2 \vdash n \trianglelefteq e_1) \wedge graph\text{-}refinement\ g_1\ g_2$ 
by (meson encodeeval-def graph-represents-expression-def le-expr-def subset-refines)

```

#### 7.8.4 Term Graph Reconstruction

```

lemma find-exists-kind:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows kind g nid = node
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-exists-stamp:
  assumes find-node-and-stamp g (node, s) = Some nid
  shows stamp g nid = s
  by (metis (mono-tags, lifting) find-Some-iff find-node-and-stamp.simps assms)

```

```

lemma find-new-kind:
  assumes  $g' = add\text{-}node\ nid\ (node, s)\ g$ 
  assumes  $node \neq NoNode$ 
  shows kind g' nid = node
  by (simp add: add-node-lookup assms)

```

```

lemma find-new-stamp:
  assumes  $g' = add\text{-}node\ nid\ (node, s)\ g$ 
  assumes  $node \neq NoNode$ 
  shows stamp g' nid = s
  by (simp add: assms add-node-lookup)

```

```

lemma sorted-bottom:
  assumes finite xs
  assumes  $x \in xs$ 
  shows  $x \leq last(sorted\text{-}list\text{-}of\text{-}set(xs::nat\ set))$ 
proof –
  obtain largest where largest:  $largest = last\ (sorted\text{-}list\text{-}of\text{-}set(xs))$ 
    by simp
  obtain sortedList where sortedList:  $sortedList = sorted\text{-}list\text{-}of\text{-}set(xs)$ 

```

```

    by simp
    have step:  $\forall i. 0 < i \wedge i < (\text{length } (\text{sortedList})) \longrightarrow \text{sortedList}!(i-1) \leq \text{sortedList}!(i)$ 
    unfolding sortedList apply auto
    by (metis diff-le-self sorted-list-of-set.length-sorted-key-list-of-set sorted-nth-mono sorted-list-of-set(2))
    have finalElement:  $\text{last } (\text{sorted-list-of-set}(xs)) = \text{sorted-list-of-set}(xs)!(\text{length } (\text{sorted-list-of-set}(xs)) - 1)$ 
    using assms last-conv-nth sorted-list-of-set.sorted-key-list-of-set-eq-Nil-iff by blast
    have contains0:  $(x \in xs) = (x \in \text{set } (\text{sorted-list-of-set}(xs)))$ 
    using assms(1) by auto
    have lastLargest:  $((x \in xs) \longrightarrow (\text{largest} \geq x))$ 
    using step unfolding largest finalElement apply auto
    by (metis (no-types, lifting) One-nat-def Suc-pred assms(1) card-Diff1-less in-set-conv-nth sorted-list-of-set.length-sorted-key-list-of-set card-Diff-singleton-if-less-Suc-eq-le sorted-list-of-set.sorted-sorted-key-list-of-set length-pos-if-in-set sorted-nth-mono contains0)
    then show ?thesis
    by (simp add: assms largest)
qed

```

**lemma** *fresh*:  $\text{finite } xs \implies \text{last}(\text{sorted-list-of-set}(xs::\text{nat set})) + 1 \notin xs$   
 using sorted-bottom not-le by auto

**lemma** *fresh-ids*:  
 assumes  $n = \text{get-fresh-id } g$   
 shows  $n \notin \text{ids } g$   
**proof** –  
 have *finite* (*ids* *g*)  
 by (simp add: Rep-IRGraph)  
 then show ?thesis  
 using assms *fresh* unfolding *get-fresh-id.simps* by blast  
**qed**

**lemma** *graph-unchanged-rep-unchanged*:  
 assumes  $\forall n \in \text{ids } g. \text{kind } g \ n = \text{kind } g' \ n$   
 assumes  $\forall n \in \text{ids } g. \text{stamp } g \ n = \text{stamp } g' \ n$   
 shows  $(g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$   
 apply (rule *impI*) subgoal premises *e* using *e* assms  
 apply (induction *n e*)  
 apply (metis no-encoding rep.ConstantNode)  
 apply (metis no-encoding rep.ParameterNode)  
 apply (metis no-encoding rep.ConditionalNode)  
 apply (metis no-encoding rep.AbsNode)  
 apply (metis no-encoding rep.ReverseBytesNode)  
 apply (metis no-encoding rep.BitCountNode)

```

    apply (metis no-encoding rep.NotNode)
    apply (metis no-encoding rep.NegateNode)
    apply (metis no-encoding rep.LogicNegationNode)
    apply (metis no-encoding rep.AddNode)
    apply (metis no-encoding rep.MulNode)
    apply (metis no-encoding rep.DivNode)
    apply (metis no-encoding rep.ModNode)
    apply (metis no-encoding rep.SubNode)
    apply (metis no-encoding rep.AndNode)
    apply (metis no-encoding rep.OrNode)
    apply (metis no-encoding rep.XorNode)
    apply (metis no-encoding rep.ShortCircuitOrNode)
    apply (metis no-encoding rep.LeftShiftNode)
    apply (metis no-encoding rep.RightShiftNode)
    apply (metis no-encoding rep.UnsignedRightShiftNode)
    apply (metis no-encoding rep.IntegerBelowNode)
    apply (metis no-encoding rep.IntegerEqualsNode)
    apply (metis no-encoding rep.IntegerLessThanNode)
    apply (metis no-encoding rep.IntegerTestNode)
    apply (metis no-encoding rep.IntegerNormalizeCompareNode)
    apply (metis no-encoding rep.IntegerMulHighNode)
    apply (metis no-encoding rep.NarrowNode)
    apply (metis no-encoding rep.SignExtendNode)
    apply (metis no-encoding rep.ZeroExtendNode)
    apply (metis no-encoding rep.LeafNode)
    apply (metis no-encoding rep.PiNode)
    apply (metis no-encoding rep.RefNode)
    by (metis no-encoding rep.IsNullNode)
done

```

**lemma** *fresh-node-subset*:

```

  assumes  $n \notin \text{ids } g$ 
  assumes  $g' = \text{add-node } n (k, s) g$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  by (smt (z3) Collect-mono-iff Diff-idemp Diff-insert-absorb add-changed as-set-def
    unchanged.simps
    disjoint-change assms)

```

**lemma** *unrep-subset*:

```

  assumes  $(g \oplus e \rightsquigarrow (g', n))$ 
  shows  $\text{as-set } g \subseteq \text{as-set } g'$ 
  using assms proof (induction  $g \ e \ (g', n)$  arbitrary:  $g' \ n$ )
  case (ConstantNodeSame  $g \ c \ n$ )
  then show ?case by blast
next
  case (ConstantNodeNew  $g \ c \ n \ g'$ )
  then show ?case
    using fresh-ids fresh-node-subset by simp
next

```

```

    case (ParameterNodeSame g i s n)
    then show ?case
      by auto
next
    case (ParameterNodeNew g i s n g')
    then show ?case
      using fresh-ids fresh-node-subset by simp
next
    case (ConditionalNodeSame g ce g2 c te g3 t fe g4 f s' n)
    then show ?case
      by auto
next
    case (ConditionalNodeNew g ce g2 c te g3 t fe g4 f s' n g')
    then show ?case
      by (meson subset-trans fresh-ids fresh-node-subset)
next
    case (UnaryNodeSame g xe g2 x s' op n)
    then show ?case
      by auto
next
    case (UnaryNodeNew g xe g2 x s' op n g')
    then show ?case
      by (meson subset-trans fresh-ids fresh-node-subset)
next
    case (BinaryNodeSame g xe g2 x ye g3 y s' op n)
    then show ?case
      by auto
next
    case (BinaryNodeNew g xe g2 x ye g3 y s' op n g')
    then show ?case
      by (meson subset-trans fresh-ids fresh-node-subset)
next
    case (AllLeafNodes g n s)
    then show ?case
      by auto
qed

lemma fresh-node-preserves-other-nodes:
  assumes n' = get-fresh-id g
  assumes g' = add-node n' (k, s) g
  shows  $\forall n \in \text{ids } g. (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  using assms apply auto
  by (metis fresh-node-subset subset-implies-evals fresh-ids assms)

lemma found-node-preserves-other-nodes:
  assumes find-node-and-stamp g (k, s) = Some n
  shows  $\forall n \in \text{ids } g. (g \vdash n \simeq e) \longleftrightarrow (g \vdash n \simeq e)$ 
  by (auto simp add: assms)

```

```

lemma unrep-ids-subset[simp]:
  assumes  $g \oplus e \rightsquigarrow (g', n)$ 
  shows  $\text{ids } g \subseteq \text{ids } g'$ 
  by (meson graph-refinement-def subset-refines unrep-subset assms)

lemma unrep-unchanged:
  assumes  $g \oplus e \rightsquigarrow (g', n)$ 
  shows  $\forall n \in \text{ids } g . \forall e. (g \vdash n \simeq e) \longrightarrow (g' \vdash n \simeq e)$ 
  by (meson subset-implies-evals unrep-subset assms)

theorem term-graph-reconstruction:
   $g \oplus e \rightsquigarrow (g', n) \implies (g' \vdash n \simeq e) \wedge \text{as-set } g \subseteq \text{as-set } g'$ 
  subgoal premises  $e$  apply (rule conjI) defer
    using  $e$  unrep-subset apply blast using  $e$ 
  proof (induction g e (g', n) arbitrary: g' n)
    case (ConstantNodeSame g' c n)
      then have  $\text{kind } g' n = \text{ConstantNode } c$ 
      using find-exists-kind by blast
      then show ?case
        by (simp add: ConstantNode)
    next
      case (ConstantNodeNew g c)
      then show ?case
        using IRNode.distinct(697) by (simp add: add-node-lookup ConstantNode)
    next
      case (ParameterNodeSame i s)
      then show ?case
        by (metis ParameterNode find-exists-kind find-exists-stamp)
    next
      case (ParameterNodeNew g i s)
      then show ?case
        using ParameterNode find-new-kind find-new-stamp
        by (metis IRNode.distinct(3695))
    next
      case (ConditionalNodeSame g4 c t f s' n g ce g2 te g3 fe)
      then have  $k: \text{kind } g4 n = \text{ConditionalNode } c t f$ 
      using find-exists-kind by blast
      have  $c: g4 \vdash c \simeq ce$ 
      using local.ConditionalNodeSame unrep-unchanged no-encoding by blast
      have  $t: g4 \vdash t \simeq te$ 
      using local.ConditionalNodeSame unrep-unchanged no-encoding by blast
      have  $f: g4 \vdash f \simeq fe$ 
      using local.ConditionalNodeSame unrep-unchanged no-encoding by blast
      then show ?case
        by (auto simp add: k ConditionalNode c t)
    next
      case (ConditionalNodeNew g4 c t f s' g ce g2 te g3 fe n g')
      moreover have  $\text{ConditionalNode } c t f \neq \text{NoNode}$ 
      by simp

```

```

ultimately have k: kind g' n = ConditionalNode c t f
  by (simp add: find-new-kind)
then have c: g' ⊢ c ≃ ce
  by (metis ConditionalNodeNew.hyps(9) fresh-node-preserves-other-nodes
no-encoding
  local.ConditionalNodeNew(3,4,6,9,10) unrep-unchanged)
then have t: g' ⊢ t ≃ te
  by (metis no-encoding fresh-node-preserves-other-nodes local.ConditionalNodeNew(5,6,9,10)
  unrep-unchanged)
then have f: g' ⊢ f ≃ fe
  by (metis no-encoding fresh-node-preserves-other-nodes local.ConditionalNodeNew(7,9,10))
then show ?case
  by (simp add: c t ConditionalNode k)
next
case (UnaryNodeSame g' op x s' n g xe)
then have k: kind g' n = unary-node op x
  using find-exists-kind by blast
then have g' ⊢ x ≃ xe
  by (simp add: local.UnaryNodeSame)
then show ?case
  using k apply (cases op)
  using unary-node.simps(1,2,3,4,5,6,7,8,9,10)
  AbsNode NegateNode NotNode LogicNegationNode NarrowNode SignEx-
tendNode ZeroExtendNode
  IsNullNode ReverseBytesNode BitCountNode
  by presburger+
next
case (UnaryNodeNew g2 op x s' g xe n g')
moreover have unary-node op x ≠ NoNode
  using unary-node.elims by blast
ultimately have k: kind g' n = unary-node op x
  by (simp add: find-new-kind)
have x ∈ ids g2
  using local.UnaryNodeNew eval-contains-id by simp
then have x ≠ n
  using fresh-ids by (auto simp add: local.UnaryNodeNew(5))
have g' ⊢ x ≃ xe
  using ⟨x ∈ ids g2⟩ by (simp add: fresh-node-preserves-other-nodes lo-
cal.UnaryNodeNew)
then show ?case
  using k apply (cases op)
  using unary-node.simps(1,2,3,4,5,6,7,8,9,10)
  AbsNode NegateNode NotNode LogicNegationNode NarrowNode SignEx-
tendNode ZeroExtendNode
  IsNullNode ReverseBytesNode BitCountNode
  by presburger+
next
case (BinaryNodeSame g3 op x y s' n g xe g2 ye)

```

```

then have k: kind g3 n = bin-node op x y
  using find-exists-kind by blast
have x: g3 ⊢ x ≃ xe
  using local.BinaryNodeSame unrep-unchanged no-encoding by blast
have y: g3 ⊢ y ≃ ye
  by (simp add: local.BinaryNodeSame)
then show ?case
  using x k apply (cases op)
  using bin-node.simps(1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18)
    AddNode MulNode DivNode ModNode SubNode AndNode OrNode
ShortCircuitOrNode LeftShiftNode RightShiftNode
    UnsignedRightShiftNode IntegerEqualsNode IntegerLessThanNode Inte-
gerBelowNode XorNode
    IntegerTestNode IntegerNormalizeCompareNode IntegerMulHighNode
  by metis+
next
case (BinaryNodeNew g3 op x y s' g xe g2 ye n g')
moreover have bin-node op x y ≠ NoNode
  using bin-node.elims by blast
ultimately have k: kind g' n = bin-node op x y
  by (simp add: find-new-kind)
then have k: kind g' n = bin-node op x y
  by simp
have x: g' ⊢ x ≃ xe
  using local.BinaryNodeNew
  by (meson fresh-node-preserves-other-nodes no-encoding unrep-unchanged)
have y: g' ⊢ y ≃ ye
  using local.BinaryNodeNew
  by (meson fresh-node-preserves-other-nodes no-encoding)
then show ?case
  using x k apply (cases op)
  using bin-node.simps(1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18)
    AddNode MulNode DivNode ModNode SubNode AndNode OrNode
ShortCircuitOrNode LeftShiftNode RightShiftNode
    UnsignedRightShiftNode IntegerEqualsNode IntegerLessThanNode XorNode
IntegerBelowNode
    IntegerTestNode IntegerNormalizeCompareNode IntegerMulHighNode
  by metis+
next
case (AllLeafNodes g n s)
then show ?case
  by (simp add: rep.LeafNode)
qed
done

```

**lemma** *ref-refinement*:

```

assumes g ⊢ n ≃ e1
assumes kind g n' = RefNode n
shows g ⊢ n' ≼ e1

```

by (*meson equal-refines graph-represents-expression-def RefNode assms*)

**lemma** *unrep-refines*:  
 assumes  $g \oplus e \rightsquigarrow (g', n)$   
 shows *graph-refinement*  $g$   $g'$   
 using *assms* by (*simp add: unrep-subset subset-refines*)

**lemma** *add-new-node-refines*:  
 assumes  $n \notin \text{ids } g$   
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows *graph-refinement*  $g$   $g'$   
 using *assms* by (*simp add: fresh-node-subset subset-refines*)

**lemma** *add-node-as-set*:  
 assumes  $g' = \text{add-node } n \ (k, s) \ g$   
 shows  $\{n\} \trianglelefteq \text{as-set } g \subseteq \text{as-set } g'$   
 unfolding *assms*  
 by (*smt (verit, ccfv-SIG) case-prodE changeonly.simps mem-Collect-eq prod.sel(1) subsetI assms*  
   *add-changed as-set-def domain-subtraction-def*)

**theorem** *refined-insert*:  
 assumes  $e_1 \geq e_2$   
 assumes  $g_1 \oplus e_2 \rightsquigarrow (g_2, n')$   
 shows  $(g_2 \vdash n' \trianglelefteq e_1) \wedge \text{graph-refinement } g_1 \ g_2$   
 using *assms* *graph-construction term-graph-reconstruction* by *blast*

**lemma** *ids-finite*: *finite* (*ids*  $g$ )  
 by *simp*

**lemma** *unwrap-sorted*: *set* (*sorted-list-of-set* (*ids*  $g$ )) = *ids*  $g$   
 using *ids-finite* by *simp*

**lemma** *find-none*:  
 assumes *find-node-and-stamp*  $g \ (k, s) = \text{None}$   
 shows  $\forall n \in \text{ids } g. \text{kind } g \ n \neq k \vee \text{stamp } g \ n \neq s$   
**proof** –  
 have  $(\nexists n. n \in \text{ids } g \wedge (\text{kind } g \ n = k \wedge \text{stamp } g \ n = s))$   
 by (*metis (mono-tags) unwrap-sorted find-None-iff find-node-and-stamp.simps*  
   *assms*)  
 then show *?thesis*  
 by *auto*  
**qed**



```

method ref-represents uses node =
  (metis IRNode.distinct(2755) RefNode dual-order.refl find-new-kind fresh-node-subset
node subset-implies-evals)

```

### 7.8.5 Data-flow Tree to Subgraph Preserves Maximal Sharing

```

lemma same-kind-stamp-encodes-equal:
  assumes kind g n = kind g n'
  assumes stamp g n = stamp g n'
  assumes  $\neg(\text{is-preevaluated } (\text{kind } g \ n))$ 
  shows  $\forall \ e. (g \vdash n \simeq e) \longrightarrow (g \vdash n' \simeq e)$ 
  apply (rule allI)
  subgoal for e
    apply (rule impI)
    subgoal premises eval using eval assms
      apply (induction e)
    using ConstantNode apply presburger
    using ParameterNode apply presburger
      apply (metis ConditionalNode)
      apply (metis AbsNode)
      apply (metis ReverseBytesNode)
      apply (metis BitCountNode)
      apply (metis NotNode)
      apply (metis NegateNode)
      apply (metis LogicNegationNode)
      apply (metis AddNode)
      apply (metis MulNode)
      apply (metis DivNode)
      apply (metis ModNode)
      apply (metis SubNode)
      apply (metis AndNode)
      apply (metis OrNode)
      apply (metis XorNode)
      apply (metis ShortCircuitOrNode)
      apply (metis LeftShiftNode)
      apply (metis RightShiftNode)
      apply (metis UnsignedRightShiftNode)
      apply (metis IntegerBelowNode)
      apply (metis IntegerEqualsNode)
      apply (metis IntegerLessThanNode)
      apply (metis IntegerTestNode)
      apply (metis IntegerNormalizeCompareNode)
      apply (metis IntegerMulHighNode)

```

```

    apply (metis NarrowNode)
    apply (metis SignExtendNode)
    apply (metis ZeroExtendNode)
  defer
    apply (metis PiNode)
    apply (metis RefNode)
  apply (metis IsNullNode)
by blast
done
done

```

**lemma** *new-node-not-present*:

```

assumes find-node-and-stamp g (node, s) = None
assumes n = get-fresh-id g
assumes g' = add-node n (node, s) g
shows  $\forall n' \in \text{true-ids } g. (\forall e. ((g \vdash n \simeq e) \wedge (g \vdash n' \simeq e)) \longrightarrow n = n')$ 
using assms encode-in-ids fresh-ids by blast

```

**lemma** *true-ids-def*:

```

true-ids g = {n ∈ ids g. ¬(is-RefNode (kind g n)) ∧ ((kind g n) ≠ NoNode)}
using true-ids-def by (auto simp add: is-RefNode-def)

```

**lemma** *add-node-some-node-def*:

```

assumes k ≠ NoNode
assumes g' = add-node nid (k, s) g
shows g' = Abs-IRGraph ((Rep-IRGraph g)(nid ↦ (k, s)))
by (metis Rep-IRGraph-inverse add-node.rep-eq fst-conv assms)

```

**lemma** *ids-add-update-v1*:

```

assumes g' = add-node nid (k, s) g
assumes k ≠ NoNode
shows dom (Rep-IRGraph g') = dom (Rep-IRGraph g) ∪ {nid}
by (simp add: add-node.rep-eq assms)

```

**lemma** *ids-add-update-v2*:

```

assumes g' = add-node nid (k, s) g
assumes k ≠ NoNode
shows nid ∈ ids g'
by (simp add: find-new-kind assms)

```

**lemma** *add-node-ids-subset*:

```

assumes n ∈ ids g
assumes g' = add-node n node g
shows ids g' = ids g ∪ {n}
using assms replace-node.rep-eq by (auto simp add: replace-node-def ids.rep-eq
add-node-def)

```

**lemma** *convert-maximal*:

```

assumes  $\forall n n'. n \in \text{true-ids } g \wedge n' \in \text{true-ids } g \longrightarrow$ 

```

$(\forall e e'. (g \vdash n \simeq e) \wedge (g \vdash n' \simeq e') \longrightarrow e \neq e')$   
**shows** *maximal-sharing*  $g$   
**using** *assms* **by** (*auto simp add: maximal-sharing*)

**lemma** *add-node-set-eq*:  
**assumes**  $k \neq \text{NoNode}$   
**assumes**  $n \notin \text{ids } g$   
**shows**  $\text{as-set } (\text{add-node } n \ (k, s) \ g) = \text{as-set } g \cup \{(n, (k, s))\}$   
**using** *assms* **unfolding** *as-set-def* **by** (*transfer; auto*)

**lemma** *add-node-as-set-eq*:  
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**assumes**  $n \notin \text{ids } g$   
**shows**  $(\{n\} \sqsubseteq \text{as-set } g') = \text{as-set } g$   
**unfolding** *domain-subtraction-def*  
**by** (*smt (z3) assms add-node-set-eq Collect-cong Rep-IRGraph-inverse UnCI*  
*add-node.rep-eq le-boolE*  
*as-set-def case-prodE2 case-prodI2 le-boolI' mem-Collect-eq prod.sel(1) single-*  
*tonD singletonI*  
*UnE*)

**lemma** *true-ids*:  
 $\text{true-ids } g = \text{ids } g - \{n \in \text{ids } g. \text{is-RefNode } (\text{kind } g \ n)\}$   
**unfolding** *true-ids-def* **by** *fastforce*

**lemma** *as-set-ids*:  
**assumes**  $\text{as-set } g = \text{as-set } g'$   
**shows**  $\text{ids } g = \text{ids } g'$   
**by** (*metis antisym equalityD1 graph-refinement-def subset-refines assms*)

**lemma** *ids-add-update*:  
**assumes**  $k \neq \text{NoNode}$   
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**shows**  $\text{ids } g' = \text{ids } g \cup \{n\}$   
**by** (*smt (z3) Diff-idemp Diff-insert-absorb Un-commute add-node.rep-eq in-*  
*sert-is-Un insert-Collect*  
*add-node-def ids.rep-eq ids-add-update-v1 insertE assms replace-node-unchanged*  
*Collect-cong*  
*map-upd-Some-unfold mem-Collect-eq replace-node-def ids-add-update-v2*)

**lemma** *true-ids-add-update*:  
**assumes**  $k \neq \text{NoNode}$   
**assumes**  $n \notin \text{ids } g$   
**assumes**  $g' = \text{add-node } n \ (k, s) \ g$   
**assumes**  $\neg(\text{is-RefNode } k)$   
**shows**  $\text{true-ids } g' = \text{true-ids } g \cup \{n\}$   
**by** (*smt (z3) Collect-cong Diff-iff Diff-insert-absorb Un-commute add-node-def*  
*find-new-kind assms*)

*insert-Diff-if insert-is-Un mem-Collect-eq replace-node-def replace-node-unchanged*  
*true-ids*  
*ids-add-update)*

**lemma** *new-def*:

**assumes**  $(new \sqsubseteq as-set\ g') = as-set\ g$   
**shows**  $n \in ids\ g \longrightarrow n \notin new$   
**using** *assms apply auto unfolding as-set-def*  
**by** (*smt (z3) as-set-def case-prodD domain-subtraction-def mem-Collect-eq assms*  
*ids-some)*

**lemma** *add-preserves-rep*:

**assumes** *unchanged*:  $(new \sqsubseteq as-set\ g') = as-set\ g$   
**assumes** *closed*: *wf-closed* *g*  
**assumes** *existed*:  $n \in ids\ g$   
**assumes**  $g' \vdash n \simeq e$   
**shows**  $g \vdash n \simeq e$   
**proof** (*cases*  $n \in new$ )  
**case** *True*  
**have**  $n \notin ids\ g$   
**using** *unchanged True as-set-def unfolding domain-subtraction-def by blast*  
**then show** *?thesis*  
**using** *existed by simp*  
**next**  
**case** *False*  
**have** *kind-eq*:  $\forall\ n' . n' \notin new \longrightarrow kind\ g\ n' = kind\ g'\ n'$   
— can be more general than *stamp\_eq* because *NoNode* default is equal  
**apply** (*rule allI; rule impI*)  
**by** (*smt (z3) case-prodE domain-subtraction-def ids-some mem-Collect-eq subsetI*  
*unchanged*  
*not-excluded-keep-type*)  
**from** *False* **have** *stamp-eq*:  $\forall\ n' \in ids\ g' . n' \notin new \longrightarrow stamp\ g\ n' = stamp\ g'\ n'$   
**by** (*metis equalityE not-excluded-keep-type unchanged*)  
**show** *?thesis*  
**using** *assms(4) kind-eq stamp-eq False*  
**proof** (*induction* *n e* *rule: rep.induct*)  
**case** (*ConstantNode* *n c*)  
**then show** *?case*  
**by** (*simp add: rep.ConstantNode*)  
**next**  
**case** (*ParameterNode* *n i s*)  
**then show** *?case*  
**by** (*metis no-encoding rep.ParameterNode*)  
**next**  
**case** (*ConditionalNode* *n c t f ce te fe*)  
**have** *kind*:  $kind\ g\ n = ConditionalNode\ c\ t\ f$   
**by** (*simp add: kind-eq ConditionalNode.premis(3) ConditionalNode.hyps(1)*)  
**then have** *isin*:  $n \in ids\ g$

```

    by simp
  have inputs: {c, t, f} = inputs g n
    by (simp add: kind)
  have c ∈ ids g ∧ t ∈ ids g ∧ f ∈ ids g
    using closed wf-closed-def isin inputs by blast
  then have c ∉ new ∧ t ∉ new ∧ f ∉ new
    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: rep.ConditionalNode ConditionalNode)
next
case (AbsNode n x xe)
then have kind: kind g n = AbsNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have x ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AbsNode rep.AbsNode)
next
case (ReverseBytesNode n x xe)
then have kind: kind g n = ReverseBytesNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have x ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  using ReverseBytesNode.IH kind kind-eq rep.ReverseBytesNode stamp-eq by
blast
next
case (BitCountNode n x xe)
then have kind: kind g n = BitCountNode x
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {x} = inputs g n
  by (simp add: kind)
have x ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have x ∉ new

```

```

    using unchanged by (simp add: new-def)
  then show ?case
    using BitCountNode.IH kind kind-eq rep.BitCountNode stamp-eq by blast
next
case (NotNode n x xe)
then have kind: kind g n = NotNode x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NotNode rep.NotNode)
next
case (NegateNode n x xe)
then have kind: kind g n = NegateNode x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NegateNode rep.NegateNode)
next
case (LogicNegationNode n x xe)
then have kind: kind g n = LogicNegationNode x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: LogicNegationNode rep.LogicNegationNode)
next
case (AddNode n x y xe ye)
then have kind: kind g n = AddNode x y
  by simp

```

```

then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AddNode rep.AddNode)
next
case (MulNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{MulNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: MulNode rep.MulNode)
next
case (DivNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerDivNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: DivNode rep.DivNode)
next
case (ModNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{SignedFloatingIntegerRemNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 

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    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: ModNode rep.ModNode)
next
case (SubNode n x y xe ye)
then have kind: kind g n = SubNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: SubNode rep.SubNode)
next
case (AndNode n x y xe ye)
then have kind: kind g n = AndNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: AndNode rep.AndNode)
next
case (OrNode n x y xe ye)
then have kind: kind g n = OrNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: OrNode rep.OrNode)
next
case (XorNode n x y xe ye)
then have kind: kind g n = XorNode x y
  by simp

```



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then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: XorNode rep.XorNode)
next
case (ShortCircuitOrNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{ShortCircuitOrNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: ShortCircuitOrNode rep.ShortCircuitOrNode)
next
case (LeftShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{LeftShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: LeftShiftNode rep.LeftShiftNode)
next
case (RightShiftNode n x y xe ye)
then have kind:  $\text{kind } g \ n = \text{RightShiftNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 

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    using unchanged by (simp add: new-def)
  then show ?case
    by (simp add: RightShiftNode rep.RightShiftNode)
next
case (UnsignedRightShiftNode n x y xe ye)
then have kind: kind g n = UnsignedRightShiftNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: UnsignedRightShiftNode rep.UnsignedRightShiftNode)
next
case (IntegerBelowNode n x y xe ye)
then have kind: kind g n = IntegerBelowNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerBelowNode rep.IntegerBelowNode)
next
case (IntegerEqualsNode n x y xe ye)
then have kind: kind g n = IntegerEqualsNode x y
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerEqualsNode rep.IntegerEqualsNode)
next
case (IntegerLessThanNode n x y xe ye)
then have kind: kind g n = IntegerLessThanNode x y
  by simp

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then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerLessThanNode rep.IntegerLessThanNode)
next
case (IntegerTestNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{IntegerTestNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: IntegerTestNode rep.IntegerTestNode)
next
case (IntegerNormalizeCompareNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{IntegerNormalizeCompareNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
using IntegerNormalizeCompareNode.IH(1,2) kind kind-eq rep.IntegerNormalizeCompareNode
  stamp-eq by blast
next
case (IntegerMulHighNode  $n \ x \ y \ xe \ ye$ )
then have kind:  $\text{kind } g \ n = \text{IntegerMulHighNode } x \ y$ 
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x, y\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g \wedge y \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast

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```

then have  $x \notin \text{new} \wedge y \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  using IntegerMulHighNode.IH(1,2) kind kind-eq rep.IntegerMulHighNode
stamp-eq by blast
next
case (NarrowNode n inputBits resultBits x xe)
then have kind: kind g n = NarrowNode inputBits resultBits x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: NarrowNode rep.NarrowNode)
next
case (SignExtendNode n inputBits resultBits x xe)
then have kind: kind g n = SignExtendNode inputBits resultBits x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: SignExtendNode rep.SignExtendNode)
next
case (ZeroExtendNode n inputBits resultBits x xe)
then have kind: kind g n = ZeroExtendNode inputBits resultBits x
  by simp
then have isin:  $n \in \text{ids } g$ 
  by simp
have inputs:  $\{x\} = \text{inputs } g \ n$ 
  by (simp add: kind)
have  $x \in \text{ids } g$ 
  using closed wf-closed-def isin inputs by blast
then have  $x \notin \text{new}$ 
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: ZeroExtendNode rep.ZeroExtendNode)
next
case (LeafNode n s)

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    then show ?case
    by (metis no-encoding rep.LeafNode)
next
case (PiNode n n' gu e)
then have kind: kind g n = PiNode n' gu
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: set (n' # (opt-to-list gu)) = inputs g n
  by (simp add: kind)
have n' ∈ ids g
  by (metis in-mono list.set-intros(1) inputs isin wf-closed-def closed)
then show ?case
  using PiNode.IH kind kind-eq new-def rep.PiNode stamp-eq unchanged by
blast
next
case (RefNode n n' e)
then have kind: kind g n = RefNode n'
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {n'} = inputs g n
  by (simp add: kind)
have n' ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have n' ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: RefNode rep.RefNode)
next
case (IsNullNode n v)
then have kind: kind g n = IsNullNode v
  by simp
then have isin: n ∈ ids g
  by simp
have inputs: {v} = inputs g n
  by (simp add: kind)
have v ∈ ids g
  using closed wf-closed-def isin inputs by blast
then have v ∉ new
  using unchanged by (simp add: new-def)
then show ?case
  by (simp add: rep.IsNullNode stamp-eq kind-eq kind IsNullNode.IH)
qed
qed

lemma not-in-no-rep:
  n ∉ ids g ⟹ ∀ e. ¬(g ⊢ n ≃ e)
  using eval-contains-id by auto

```

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lemma unary-inputs:
  assumes kind g n = unary-node op x
  shows inputs g n = {x}
  by (cases op; auto simp add: assms)

lemma unary-succ:
  assumes kind g n = unary-node op x
  shows succ g n = {}
  by (cases op; auto simp add: assms)

lemma binary-inputs:
  assumes kind g n = bin-node op x y
  shows inputs g n = {x, y}
  by (cases op; auto simp add: assms)

lemma binary-succ:
  assumes kind g n = bin-node op x y
  shows succ g n = {}
  by (cases op; auto simp add: assms)

lemma unrep-contains:
  assumes  $g \oplus e \rightsquigarrow (g', n)$ 
  shows  $n \in \text{ids } g'$ 
  using assms not-in-no-rep term-graph-reconstruction by blast

lemma unrep-preserves-contains:
  assumes  $n \in \text{ids } g$ 
  assumes  $g \oplus e \rightsquigarrow (g', n')$ 
  shows  $n \in \text{ids } g'$ 
  by (meson subsetD unrep-ids-subset assms)

lemma unrep-preserves-closure:
  assumes wf-closed g
  assumes  $g \oplus e \rightsquigarrow (g', n)$ 
  shows wf-closed g'
  using assms(2,1) wf-closed-def
  proof (induction g e (g', n) arbitrary: g' n)
    case (ConstantNodeSame g c n)
    then show ?case
    by simp
  next
    case (ConstantNodeNew g c n g')
    then have dom:  $\text{ids } g' = \text{ids } g \cup \{n\}$ 
    using add-node-ids-subset ids-add-update
    by (meson IRNode.distinct(1077))
    have k: kind g' n = ConstantNode c

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    by (simp add: add-node-lookup ConstantNodeNew)
  then have inp: {} = inputs g' n
    by simp
  from k have suc: {} = succ g' n
    by simp
  have inputs g' n  $\subseteq$  ids g'  $\wedge$  succ g' n  $\subseteq$  ids g'  $\wedge$  kind g' n  $\neq$  NoNode
    by (simp add: k)
  then show ?case
    by (smt (verit) ConstantNodeNew.hyps(3) ConstantNodeNew.premis Un-insert-right
    add-changed dom
      changeonly.elims(2) insert-iff singleton-iff subset-insertI subset-trans
    sup-bot-right
      succ.simps inputs.simps)
  next
  case (ParameterNodeSame g i s n)
  then show ?case
    by simp
  next
  case (ParameterNodeNew g i s n g')
  then have dom: ids g' = ids g  $\cup$  {n}
    using add-node-ids-subset ids-add-update
    by (meson IRNode.distinct(3695))
  have k: kind g' n = ParameterNode i
    by (simp add: add-node-lookup ParameterNodeNew)
  then have inp: {} = inputs g' n
    by simp
  from k have suc: {} = succ g' n
    by simp
  have inputs g' n  $\subseteq$  ids g'  $\wedge$  succ g' n  $\subseteq$  ids g'  $\wedge$  kind g' n  $\neq$  NoNode
    by (simp add: k)
  then show ?case
    by (smt (verit) ParameterNodeNew.hyps(3) ParameterNodeNew.premis Un-insert-right
    sup-bot-right
      add-node-as-set dom inputs.elims insertE not-excluded-keep-type order-trans
    singletonD
      subset-insertI succ.elims)
  next
  case (ConditionalNodeSame g ce g2 c te g3 t fe g4 f s' n)
  then show ?case
    by simp
  next
  case (ConditionalNodeNew g4 c t f s' g ce g2 te g3 fe n g')
  then have dom: ids g' = ids g4  $\cup$  {n}
    using add-node-ids-subset ids-add-update
    by (meson IRNode.distinct(965))
  have k: kind g' n = ConditionalNode c t f
    by (auto simp add: find-new-kind ConditionalNodeNew.hyps(10))
  then have inp: {c, t, f} = inputs g' n
    by simp

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```

from  $k$  have  $\text{succ}: \{\} = \text{succ } g' n$ 
  by simp
have  $\text{inputs } g' n \subseteq \text{ids } g' \wedge \text{succ } g' n \subseteq \text{ids } g' \wedge \text{kind } g' n \neq \text{NoNode}$ 
  using ConditionalNodeNew.hyps(2,4,6) insertCI k
    Un-empty-right Un-insert-right dom empty-subsetI in-mono insert-subsetI
unrep-contains
    unrep-ids-subset inp suc
  by (metis (mono-tags, lifting) IRNode.distinct(965))
then show ?case
  by (smt (z3) dom ConditionalNodeNew.hyps ConditionalNodeNew.prem
Diff-eq-empty-iff Diff-iff
    Un-insert-right Un-upper1 add-node-def inputs.simps insertE replace-node-def
succ.simps
    replace-node-unchanged subset-trans sup-bot-right)
next
  case (UnaryNodeSame g xe g2 x s' op n)
  then show ?case
    by simp
next
  case (UnaryNodeNew g2 op x s' g xe n g')
  then have  $\text{dom}: \text{ids } g' = \text{ids } g2 \cup \{n\}$ 
    by (metis add-node-ids-subset add-node-lookup ids-add-update ids-some un-
rep.UnaryNodeNew
    unrep-contains)
  have  $k: \text{kind } g' n = \text{unary-node op } x$ 
    by (metis fresh-ids ids-some add-node-lookup UnaryNodeNew(5,6))
  then have  $\text{inp}: \{x\} = \text{inputs } g' n$ 
    using unary-inputs by simp
  from  $k$  have  $\text{succ}: \{\} = \text{succ } g' n$ 
    using unary-succ by simp
  have  $\text{inputs } g' n \subseteq \text{ids } g' \wedge \text{succ } g' n \subseteq \text{ids } g' \wedge \text{kind } g' n \neq \text{NoNode}$ 
    by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty in-
sert-subsetI not-in-g-inputs
    subset-iff UnaryNodeNew(2) unrep-contains suc k inp)
  then show ?case
    by (smt (verit, ccv-threshold) Un-insert-right UnaryNodeNew.hyps UnaryN-
odeNew.prem dom
    add-changed succ.simps changeonly.elims(2) inputs.simps insert-iff single-
ton-iff
    subset-insertI subset-trans sup-bot-right)
next
  case (BinaryNodeSame g xe g2 x ye g3 y s' op n)
  then show ?case
    by simp
next
  case (BinaryNodeNew g3 op x y s' g xe g2 ye n g')
  then have  $\text{dom}: \text{ids } g' = \text{ids } g3 \cup \{n\}$ 
    by (metis binary-inputs fresh-ids ids-add-update ids-some insert-not-empty
not-in-g-inputs)

```



```

have  $k$ :  $\text{kind } g' \ n = \text{bin-node op } x \ y$ 
  by (metis fresh-ids ids-some add-node-lookup BinaryNodeNew(7,8))
then have  $\text{inp}: \{x, y\} = \text{inputs } g' \ n$ 
  using binary-inputs by simp
from  $k$  have  $\text{suc}: \{\} = \text{succ } g' \ n$ 
  using binary-succ by simp
have  $\text{inputs } g' \ n \subseteq \text{ids } g' \wedge \text{succ } g' \ n \subseteq \text{ids } g' \wedge \text{kind } g' \ n \neq \text{NoNode}$ 
  by (metis Un-upper1 dom empty-subsetI ids-some insert-not-empty insert-subsetI not-in-g-inputs
    subset-iff BinaryNodeNew(2,4) unrep-preserves-contains k inp suc unrep-contains)
  then show ?case
    by (smt (verit, del-insts) dom BinaryNodeNew Diff-eq-empty-iff Un-insert-right sup-bot-right
      add-node-def inputs.simps succ.simps replace-node-def replace-node-unchanged subset-trans
      insertE Diff-iff Un-upper1)
  next
    case (AllLeafNodes g n s)
    then show ?case
      by simp
qed

```

**inductive-cases** *ConstUnrepE*:  $g \oplus (\text{ConstantExpr } x) \rightsquigarrow (g', n)$

**definition** *constant-value* **where**

*constant-value* = (*IntVal* 32 0)

**definition** *bad-graph* **where**

*bad-graph* = *irgraph* [  
 (0, *AbsNode* 1, *constantAsStamp constant-value*),  
 (1, *RefNode* 2, *constantAsStamp constant-value*),  
 (2, *ConstantNode constant-value, constantAsStamp constant-value*)  
 ]

**end**

## 8 Control-flow Semantics

**theory** *IRStepObj*

**imports**

*TreeToGraph*

*Graph.Class*

**begin**

## 8.1 Object Heap

The heap model we introduce maps field references to object instances to runtime values. We use the  $H[f][p]$  heap representation. See [\cite{heap-reps-2011}](#). We also introduce the `DynamicHeap` type which allocates new object references sequentially storing the next free object reference as 'Free'.

*heapdef*

```

type-synonym ('a, 'b) Heap = 'a  $\Rightarrow$  'b  $\Rightarrow$  Value
type-synonym Free = nat
type-synonym ('a, 'b) DynamicHeap = ('a, 'b) Heap  $\times$  Free

fun h-load-field :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) DynamicHeap  $\Rightarrow$  Value where
  h-load-field f r (h, n) = h f r

fun h-store-field :: 'a  $\Rightarrow$  'b  $\Rightarrow$  Value  $\Rightarrow$  ('a, 'b) DynamicHeap  $\Rightarrow$  ('a, 'b)
  DynamicHeap where
  h-store-field f r v (h, n) = (h(f := ((h f)(r := v))), n)

fun h-new-inst :: (string, objref) DynamicHeap  $\Rightarrow$  string  $\Rightarrow$  (string, objref)
  DynamicHeap  $\times$  Value where
  h-new-inst (h, n) className = (h-store-field "class" (Some n) (ObjStr
    className) (h,n+1), (ObjRef (Some n)))

type-synonym FieldRefHeap = (string, objref) DynamicHeap

```

```

definition new-heap :: ('a, 'b) DynamicHeap where
  new-heap = (( $\lambda$ f.  $\lambda$ p. UndefVal), 0)

```

## 8.2 Intraprocedural Semantics

```

fun find-index :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat where
  find-index - [] = 0 |
  find-index v (x # xs) = (if (x=v) then 0 else find-index v xs + 1)

fun phi-list :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID list where
  phi-list g n =
    (filter ( $\lambda$ x.(is-PhiNode (kind g x)))
     (sorted-list-of-set (usages g n)))

fun input-index :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID  $\Rightarrow$  nat where
  input-index g n n' = find-index n' (inputs-of (kind g n))

fun phi-inputs :: IRGraph  $\Rightarrow$  nat  $\Rightarrow$  ID list  $\Rightarrow$  ID list where
  phi-inputs g i nodes = (map ( $\lambda$ n. (inputs-of (kind g n))!(i + 1)) nodes)

```

```

fun set-phis :: ID list  $\Rightarrow$  Value list  $\Rightarrow$  MapState  $\Rightarrow$  MapState where
  set-phis [] [] m = m |
  set-phis (n # xs) (v # vs) m = (set-phis xs vs (m(n := v))) |
  set-phis [] (v # vs) m = m |
  set-phis (x # xs) [] m = m

```

Intraprocedural semantics are given as a small-step semantics.

Within the context of a graph, the configuration triple, (*ID*, *MethodState*, *Heap*), is related to the subsequent configuration.

```

inductive step :: IRGraph  $\Rightarrow$  Params  $\Rightarrow$  (ID  $\times$  MapState  $\times$  FieldRefHeap)  $\Rightarrow$  (ID
 $\times$  MapState  $\times$  FieldRefHeap)  $\Rightarrow$  bool
  ( $\neg$ ,  $- \vdash - \rightarrow -$  55) for g p where

```

*SequentialNode*:

```

[[is-sequential-node (kind g nid);
  nid' = (successors-of (kind g nid))!0]]
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$ 

```

*FixedGuardNode*:

```

[[(kind g nid) = (FixedGuardNode cond before next);
  g  $\vdash$  cond  $\simeq$  condE;
  [m, p]  $\vdash$  condE  $\mapsto$  val;

   $\neg$ (val-to-bool val);

  nid' = next]]
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$ 

```

*BytecodeExceptionNode*:

```

[[(kind g nid) = (BytecodeExceptionNode args st nid');
  exceptionType = stp-type (stamp g nid);
  (h', ref) = h-new-inst h exceptionType;
  m' = m(nid := ref)]
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$ 

```

*IfNode*:

```

[[kind g nid = (IfNode cond tb fb);
  g  $\vdash$  cond  $\simeq$  condE;
  [m, p]  $\vdash$  condE  $\mapsto$  val;
  nid' = (if val-to-bool val then tb else fb)]
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m, h) \mid$ 

```

*EndNodes*:

```

[[is-AbstractEndNode (kind g nid);
  merge = any-usage g nid;
  is-AbstractMergeNode (kind g merge);

```

$i = \text{find-index } nid \text{ (inputs-of (kind } g \text{ merge))};$   
 $phis = (\text{phi-list } g \text{ merge});$   
 $inps = (\text{phi-inputs } g \text{ } i \text{ } phis);$   
 $g \vdash inps \simeq_L inpsE;$   
 $[m, p] \vdash inpsE \mapsto_L vs;$

$m' = \text{set-phis } phis \text{ vs } m \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (\text{merge}, m', h) \mid$

*NewArrayNode:*

$\llbracket \text{kind } g \text{ } nid = (\text{NewArrayNode } len \text{ } st \text{ } nid') \rrbracket;$   
 $g \vdash len \simeq lenE;$   
 $[m, p] \vdash lenE \mapsto length';$   
  
 $arrayType = \text{stp-type (stamp } g \text{ } nid);$   
 $(h', ref) = h\text{-new-inst } h \text{ } arrayType;$   
 $ref = \text{ObjRef } refNo;$   
 $h'' = h\text{-store-field } '''' \text{ } refNo \text{ (intval-new-array } length' \text{ } arrayType) \text{ } h';$

$m' = m(nid := ref) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h'') \mid$

*ArrayLengthNode:*

$\llbracket \text{kind } g \text{ } nid = (\text{ArrayLengthNode } x \text{ } nid') \rrbracket;$   
 $g \vdash x \simeq xE;$   
 $[m, p] \vdash xE \mapsto \text{ObjRef } ref;$   
  
 $h\text{-load-field } '''' \text{ } ref \text{ } h = \text{arrayVal};$   
 $length' = \text{array-length (arrayVal)};$

$m' = m(nid := length') \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*LoadIndexedNode:*

$\llbracket \text{kind } g \text{ } nid = (\text{LoadIndexedNode } index \text{ } guard \text{ } array \text{ } nid') \rrbracket;$   
 $g \vdash index \simeq indexE;$   
 $[m, p] \vdash indexE \mapsto indexVal;$   
  
 $g \vdash array \simeq arrayE;$   
 $[m, p] \vdash arrayE \mapsto \text{ObjRef } ref;$   
  
 $h\text{-load-field } '''' \text{ } ref \text{ } h = \text{arrayVal};$   
 $loaded = \text{intval-load-index arrayVal indexVal};$

$m' = m(nid := loaded) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*StoreIndexedNode:*

$\llbracket \text{kind } g \text{ } nid = (\text{StoreIndexedNode } check \text{ } val \text{ } st \text{ } index \text{ } guard \text{ } array \text{ } nid') \rrbracket;$

$g \vdash index \simeq indexE;$   
 $[m, p] \vdash indexE \mapsto indexVal;$

$g \vdash array \simeq arrayE;$   
 $[m, p] \vdash arrayE \mapsto ObjRef\ ref;$

$g \vdash val \simeq valE;$   
 $[m, p] \vdash valE \mapsto value;$

$h\text{-load-field } ''''\ ref\ h = arrayVal;$   
 $updated = intval\text{-store-index } arrayVal\ indexVal\ value;$   
 $h' = h\text{-store-field } ''''\ ref\ updated\ h;$   
 $m' = m(nid := updated) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*NewInstanceNode:*

$\llbracket kind\ g\ nid = (NewInstanceNode\ nid\ cname\ obj\ nid');$   
 $(h', ref) = h\text{-new-inst } h\ cname;$   
 $m' = m(nid := ref) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \mid$

*LoadFieldNode:*

$\llbracket kind\ g\ nid = (LoadFieldNode\ nid\ f\ (Some\ obj)\ nid');$   
 $g \vdash obj \simeq objE;$   
 $[m, p] \vdash objE \mapsto ObjRef\ ref;$   
 $h\text{-load-field } f\ ref\ h = v;$   
 $m' = m(nid := v) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nid', m', h) \mid$

*SignedDivNode:*

$\llbracket kind\ g\ nid = (SignedDivNode\ nid\ x\ y\ zero\ sb\ nxt);$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye;$   
 $[m, p] \vdash xe \mapsto v1;$   
 $[m, p] \vdash ye \mapsto v2;$   
 $v = (intval\text{-div } v1\ v2);$   
 $m' = m(nid := v) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$

*SignedRemNode:*

$\llbracket kind\ g\ nid = (SignedRemNode\ nid\ x\ y\ zero\ sb\ nxt);$   
 $g \vdash x \simeq xe;$   
 $g \vdash y \simeq ye;$   
 $[m, p] \vdash xe \mapsto v1;$   
 $[m, p] \vdash ye \mapsto v2;$   
 $v = (intval\text{-mod } v1\ v2);$   
 $m' = m(nid := v) \parallel$   
 $\implies g, p \vdash (nid, m, h) \rightarrow (nxt, m', h) \mid$

*StaticLoadFieldNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{LoadFieldNode } \text{nid } f \text{ None } \text{nid}') \rrbracket; \\ & \quad h\text{-load-field } f \text{ None } h = v; \\ & \quad m' = m(\text{nid} := v) \rrbracket \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h) \mid \end{aligned}$$

*StoreFieldNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{StoreFieldNode } \text{nid } f \text{ newval} - (\text{Some } \text{obj}) \text{nid}') \rrbracket; \\ & \quad g \vdash \text{newval} \simeq \text{newvalE}; \\ & \quad g \vdash \text{obj} \simeq \text{objE}; \\ & \quad [m, p] \vdash \text{newvalE} \mapsto \text{val}; \\ & \quad [m, p] \vdash \text{objE} \mapsto \text{ObjRef } \text{ref}; \\ & \quad h' = h\text{-store-field } f \text{ ref } \text{val } h; \\ & \quad m' = m(\text{nid} := \text{val}) \rrbracket \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \mid \end{aligned}$$

*StaticStoreFieldNode:*

$$\begin{aligned} & \llbracket \text{kind } g \text{ nid} = (\text{StoreFieldNode } \text{nid } f \text{ newval} - \text{None } \text{nid}') \rrbracket; \\ & \quad g \vdash \text{newval} \simeq \text{newvalE}; \\ & \quad [m, p] \vdash \text{newvalE} \mapsto \text{val}; \\ & \quad h' = h\text{-store-field } f \text{ None } \text{val } h; \\ & \quad m' = m(\text{nid} := \text{val}) \rrbracket \\ \implies & g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m', h') \end{aligned}$$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i * i * i \Rightarrow o * o * o \Rightarrow \text{bool}$ ) *step* .

### 8.3 Interprocedural Semantics

**type-synonym** *Signature* = *string*

**type-synonym** *Program* = *Signature*  $\rightarrow$  *IRGraph*

**type-synonym** *System* = *Program*  $\times$  *Classes*

**function** *dynamic-lookup* :: *System*  $\Rightarrow$  *string*  $\Rightarrow$  *string*  $\Rightarrow$  *string list*  $\Rightarrow$  *IRGraph*

*option where*

$$\begin{aligned} & \text{dynamic-lookup } (P, \text{cl}) \text{ cn mn path} = ( \\ & \quad \text{if } (\text{cn} = \text{"None"} \vee \text{cn} \notin \text{set } (\text{Class.mapJVMFunc } \text{class-name } \text{cl})) \vee \text{path} = [] \\ & \quad \text{then } (P \text{ mn}) \\ & \quad \text{else } ( \end{aligned}$$

$$\begin{aligned} & \quad \text{let method-index} = (\text{find-index } (\text{get-simple-signature } \text{mn}) (\text{CLsimple-signatures} \\ & \text{cn cl})) \text{ in} \end{aligned}$$

$$\text{let parent} = \text{hd path in}$$

$$\begin{aligned} & \quad \text{if } (\text{method-index} = \text{length } (\text{CLsimple-signatures } \text{cn cl})) \\ & \quad \text{then } (\text{dynamic-lookup } (P, \text{cl}) \text{ parent mn } (\text{tl path})) \\ & \quad \text{else } (P \text{ (nth (map method-unique-name } (\text{CLget-Methods } \text{cn cl})) \\ & \text{method-index})) \\ & \quad ) \end{aligned}$$

)

**by** *auto*

**termination** *dynamic-lookup* **apply** (*relation measure*  $(\lambda(S, cn, mn, path). (length\ path)))$  **by** *auto*

**inductive** *step-top* :: *System*  $\Rightarrow (IRGraph \times ID \times MapState \times Params) list \times FieldRefHeap \Rightarrow$

$(IRGraph \times ID \times MapState \times Params) list \times$

*FieldRefHeap*  $\Rightarrow bool$

$(- \vdash - \longrightarrow - 55)$

**for** *S* **where**

*Lift*:

$\llbracket g, p \vdash (nid, m, h) \rightarrow (nid', m', h') \rrbracket$

$\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((g, nid', m', p) \# stk, h') \mid$

*InvokeNodeStepStatic*:

$\llbracket is-Invoke\ (kind\ g\ nid);$

$\quad callTarget = ir-callTarget\ (kind\ g\ nid);$

$\quad kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments\ invoke-kind);$

$\quad \neg(hasReceiver\ invoke-kind);$

$\quad Some\ targetGraph = (dynamic-lookup\ S\ "None"\ targetMethod\ []);$

$\quad m' = new-map-state;$

$\quad g \vdash arguments \simeq_L argsE;$

$\quad [m, p] \vdash argsE \mapsto_L p \rrbracket$

$\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk,$

$h) \mid$

*InvokeNodeStep*:

$\llbracket is-Invoke\ (kind\ g\ nid);$

$\quad callTarget = ir-callTarget\ (kind\ g\ nid);$

$\quad kind\ g\ callTarget = (MethodCallTargetNode\ targetMethod\ arguments\ invoke-kind);$

$\quad hasReceiver\ invoke-kind;$

$\quad m' = new-map-state;$

$\quad g \vdash arguments \simeq_L argsE;$

$\quad [m, p] \vdash argsE \mapsto_L p';$

$\quad ObjRef\ self = hd\ p';$

$\quad ObjStr\ cname = (h-load-field\ "class"\ self\ h);$

$\quad S = (P, cl);$

$\quad Some\ targetGraph = dynamic-lookup\ S\ cname\ targetMethod\ (class-parents$

$\quad (CLget-JVMClass\ cname\ cl)) \rrbracket$

$\implies (S) \vdash ((g, nid, m, p) \# stk, h) \longrightarrow ((targetGraph, 0, m', p') \# (g, nid, m, p) \# stk,$

$h) \mid$

*ReturnNode*:

$\llbracket kind\ g\ nid = (ReturnNode\ (Some\ expr)\ -);$

$\quad g \vdash expr \simeq e; \rrbracket$

$[m, p] \vdash e \mapsto v;$

$cm' = cm(cnid := v);$   
 $cnid' = (successors-of (kind\ cg\ cnid))!0$   
 $\implies (S) \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h)$

|

*ReturnNodeVoid:*

$\llbracket kind\ g\ nid = (ReturnNode\ None\ -);$   
 $cm' = cm(cnid := (ObjRef\ (Some\ (2048))));$

$cnid' = (successors-of (kind\ cg\ cnid))!0$   
 $\implies (S) \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, cnid', cm', cp) \# stk, h)$

|

*UnwindNode:*

$\llbracket kind\ g\ nid = (UnwindNode\ exception);$

$g \vdash exception \simeq exceptionE;$   
 $[m, p] \vdash exceptionE \mapsto e;$

$kind\ cg\ cnid = (InvokeWithExceptionNode\ -\ -\ -\ -\ -\ exEdge);$

$cm' = cm(cnid := e)$   
 $\implies (S) \vdash ((g, nid, m, p) \# (cg, cnid, cm, cp) \# stk, h) \longrightarrow ((cg, exEdge, cm', cp) \# stk, h)$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *step-top* .

## 8.4 Big-step Execution

**type-synonym** *Trace* = (*IRGraph*  $\times$  *ID*  $\times$  *MapState*  $\times$  *Params*) *list*

**fun** *has-return* :: *MapState*  $\Rightarrow$  *bool* **where**  
*has-return* *m* = (*m* 0  $\neq$  *UndefVal*)

**inductive** *exec* :: *System*

$\Rightarrow (IRGraph \times ID \times MapState \times Params)\ list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow (IRGraph \times ID \times MapState \times Params)\ list \times FieldRefHeap$   
 $\Rightarrow Trace$   
 $\Rightarrow bool$

(-  $\vdash$  - | -  $\longrightarrow^*$  - | -)

**for** *P* **where**

$\llbracket P \vdash ((g, nid, m, p) \# xs), h \longrightarrow (((g', nid', m', p') \# ys), h');$   
 $\neg(has\text{-}return\ m');$

$l' = (l\ @\ [(g, nid, m, p)]);$



$$\begin{aligned} & \text{exec } P \ ((g', \text{nid}', m', p') \# \text{ys}), h' \ l' \ \text{next-state } l'' \\ & \implies \text{exec } P \ ((g, \text{nid}, m, p) \# \text{xs}), h \ l \ \text{next-state } l'' \\ & | \\ & \llbracket P \vdash ((g, \text{nid}, m, p) \# \text{xs}), h \longrightarrow ((g', \text{nid}', m', p') \# \text{ys}), h'; \\ & \quad \text{has-return } m'; \\ & l' = (l \ @ \ [(g, \text{nid}, m, p)]) \\ & \implies \text{exec } P \ ((g, \text{nid}, m, p) \# \text{xs}), h \ l \ ((g', \text{nid}', m', p') \# \text{ys}), h' \ l' \\ \text{code-pred } & (\text{modes: } i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool as Exec}) \ \text{exec} . \end{aligned}$$

**inductive** *exec-debug* :: *System*  

$$\begin{aligned} & \Rightarrow (IRGraph \times ID \times MapState \times Params) \ \text{list} \times FieldRefHeap \\ & \Rightarrow \text{nat} \\ & \Rightarrow (IRGraph \times ID \times MapState \times Params) \ \text{list} \times FieldRefHeap \\ & \Rightarrow \text{bool} \\ & (\vdash \longrightarrow * - *) \\ \text{where} & \\ & \llbracket n > 0; \\ & \quad p \vdash s \longrightarrow s'; \\ & \quad \text{exec-debug } p \ s' \ (n - 1) \ s' \rrbracket \\ & \implies \text{exec-debug } p \ s \ n \ s'' \mid \\ & \llbracket n = 0 \rrbracket \\ & \implies \text{exec-debug } p \ s \ n \ s \\ \text{code-pred } & (\text{modes: } i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}) \ \text{exec-debug} . \end{aligned}$$

### 8.4.1 Heap Testing

**definition** *p3* :: *Params* **where**  

$$p3 = [IntVal \ 32 \ 3]$$

**fun** *graphToSystem* :: *IRGraph*  $\Rightarrow$  *System* **where**  

$$\text{graphToSystem } \text{graph} = ((\lambda x. \text{Some } \text{graph}), JVMClasses \ [])$$

**values**  $\{(prod.fst(prod.snd \ (prod.snd \ (hd \ (prod.fst \ res)))) \ 0$   

$$\mid res. (\text{graphToSystem } eg2\text{-sq}) \vdash ((eg2\text{-sq}, 0, \text{new-map-state}, p3), (eg2\text{-sq}, 0, \text{new-map-state}, p3)),$$
  

$$\text{new-heap}) \rightarrow * 2 * \text{res}\}$$

**definition** *field-sq* :: *string* **where**  

$$\text{field-sq} = "sq"$$

**definition** *eg3-sq* :: *IRGraph* **where**  

$$\begin{aligned} eg3\text{-sq} = & \text{irgraph } [ \\ & (0, \text{StartNode } None \ 4, \text{VoidStamp}), \\ & (1, \text{ParameterNode } 0, \text{default-stamp}), \\ & (3, \text{MulNode } 1 \ 1, \text{default-stamp}), \end{aligned}$$

```

    (4, StoreFieldNode 4 field-sq 3 None None 5, VoidStamp),
    (5, ReturnNode (Some 3) None, default-stamp)
  ]

values {h-load-field field-sq None (prod.snd res)
  | res. (graphToSystem eg3-sq) ⊢ [(eg3-sq, 0, new-map-state, p3), (eg3-sq, 0,
new-map-state, p3)], new-heap) →*3* res}

definition eg4-sq :: IRGraph where
  eg4-sq = irgraph [
    (0, StartNode None 4, VoidStamp),
    (1, ParameterNode 0, default-stamp),
    (3, MulNode 1 1, default-stamp),
    (4, NewInstanceNode 4 "obj-class" None 5, ObjectStamp "obj-class" True True
False),
    (5, StoreFieldNode 5 field-sq 3 None (Some 4) 6, VoidStamp),
    (6, ReturnNode (Some 3) None, default-stamp)
  ]

```

```

values {h-load-field field-sq (Some 0) (prod.snd res)
  | res. (graphToSystem (eg4-sq)) ⊢ [(eg4-sq, 0, new-map-state, p3), (eg4-sq,
0, new-map-state, p3)], new-heap) →*3* res}

```

**end**

## 8.5 Control-flow Semantics Theorems

```

theory IRStepThms
imports
  IRStepObj
  TreeToGraphThms
begin

```

We prove that within the same graph, a configuration triple will always transition to the same subsequent configuration. Therefore, our step semantics is deterministic.

### 8.5.1 Control-flow Step is Deterministic

```

theorem stepDet:
  (g, p ⊢ (nid,m,h) → next) ⇒
  (∀ next'. ((g, p ⊢ (nid,m,h) → next') ⟶ next = next'))
proof (induction rule: step.induct)
case (SequentialNode nid next m h)
have notif: ¬(is-IfNode (kind g nid))
  by (metis is-IfNode-def SequentialNode.hyps(1) is-sequential-node.simps(22))
have notend: ¬(is-AbstractEndNode (kind g nid))

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by (metis is-AbstractEndNode.simps SequentialNode.hyps(1) is-sequential-node.simps(18,36)
    is-EndNode.elims(2) is-LoopEndNode-def)
have notnew:  $\neg$ (is-NewInstanceNode (kind g nid))
by (metis is-NewInstanceNode-def SequentialNode.hyps(1) is-sequential-node.simps(42))
have notload:  $\neg$ (is-LoadFieldNode (kind g nid))
by (metis is-LoadFieldNode-def SequentialNode.hyps(1) is-sequential-node.simps(33))
have notstore:  $\neg$ (is-StoreFieldNode (kind g nid))
  using is-StoreFieldNode-def SequentialNode.hyps(1)
  by (metis is-sequential-node.simps(56))
have notdivrem:  $\neg$ (is-IntegerDivRemNode (kind g nid))
  using is-IntegerDivRemNode.simps SequentialNode.hyps(1)
    is-SignedDivNode-def is-SignedRemNode-def
  by (metis is-sequential-node.simps(52) is-sequential-node.simps(55))
from notif notend notnew notload notstore notdivrem
show ?case
  using SequentialNode Pair-inject
    step.cases
  by (smt (verit) IRNode.disc(1718) IRNode.disc(3500) IRNode.disc(926) IRN-
ode.discI(39) is-sequential-node.simps(12) is-sequential-node.simps(14) is-sequential-node.simps(20)
is-sequential-node.simps(34) is-sequential-node.simps(41) is-sequential-node.simps(52)
is-sequential-node.simps(55) is-sequential-node.simps(57))
next
case (FixedGuardNode nid cond before next condE m p val h)
have notseq:  $\neg$ (is-sequential-node (kind g nid))
  using is-sequential-node.simps by (simp add: FixedGuardNode.hyps(1))
have notend:  $\neg$ (is-AbstractEndNode (kind g nid))
  by (simp add: FixedGuardNode.hyps(1))
have notloadindex:  $\neg$ (is-LoadIndexedNode (kind g nid))
  by (simp add: FixedGuardNode.hyps(1))
have notstoreindex:  $\neg$ (is-StoreIndexedNode (kind g nid))
  by (simp add: FixedGuardNode.hyps(1))
from notseq notend notloadindex notstoreindex
show ?case
  using step.cases Pair-inject FixedGuardNode.hyps(1,5)
  by (smt (verit) IRNode.disc(1784) IRNode.disc(3566) IRNode.distinct(1511)
IRNode.distinct(1535) IRNode.distinct(1557) IRNode.distinct(1559) IRNode.distinct(1579)
IRNode.distinct(1585) IRNode.distinct(1589) IRNode.distinct(397) IRNode.distinct(751)
IRNode.inject(13))
next
case (BytecodeExceptionNode nid args st n' ex h' ref h m' m)
have notseq:  $\neg$ (is-sequential-node (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notif:  $\neg$ (is-IfNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notref:  $\neg$ (is-RefNode (kind g nid))
  by (metis notseq is-RefNode-def is-sequential-node.simps(7))
have notnew:  $\neg$ (is-NewInstanceNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))

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have notload: ¬(is-LoadFieldNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notstore: ¬(is-StoreFieldNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notfixedguard: ¬(is-FixedGuardNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notend: ¬(is-AbstractEndNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notnewarray: ¬(is-NewArrayNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notarraylength: ¬(is-ArrayLengthNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notloadindex: ¬(is-LoadIndexedNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
have notstoreindex: ¬(is-StoreIndexedNode (kind g nid))
  by (simp add: BytecodeExceptionNode.hyps(1))
from notseq notif notref notnew notload notstore notdivrem notfixedguard notend
notnewarray
  notarraylength notloadindex notstoreindex
show ?case
  by (smt (verit) BytecodeExceptionNode.hyps(1) BytecodeExceptionNode.hyps(2)
    BytecodeExceptionNode.hyps(3) BytecodeExceptionNode.hyps(4) IRNode.discI(39)
    IRNode.inject(7) Pair-inject is-ArrayLengthNode-def is-FixedGuardNode-def is-IfNode-def
    is-IntegerDivRemNode.simps is-LoadFieldNode-def is-LoadIndexedNode-def is-NewArrayNode-def
    is-SignedDivNode-def is-SignedRemNode-def is-StoreFieldNode-def is-StoreIndexedNode-def
    step.cases)

next
case (IfNode nid cond tb fb m val next h)
then have notseq: ¬(is-sequential-node (kind g nid))
  by (simp add: IfNode.hyps(1))
have notend: ¬(is-AbstractEndNode (kind g nid))
  by (simp add: IfNode.hyps(1))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  by (simp add: IfNode.hyps(1))
have notnewarray: ¬(is-NewArrayNode (kind g nid))
  by (simp add: IfNode.hyps(1))
from notseq notend notdivrem notnewarray
show ?case
  using Pair-inject repDet evalDet IfNode.hyps step.cases
  by (smt (verit) IRNode.disc(2444) IRNode.distinct(1511) IRNode.distinct(1733)
    IRNode.distinct(1735) IRNode.distinct(1757) IRNode.distinct(1777) IRNode.distinct(1783)
    IRNode.distinct(1787) IRNode.distinct(1789) IRNode.distinct(401) IRNode.distinct(755)
    IRNode.inject(15))

next
case (EndNodes nid merge i phis inputs m vs m' h)
have notseq: ¬(is-sequential-node (kind g nid))

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by (metis is-EndNode.elims(2) is-LoopEndNode-def is-sequential-node.simps(18,36)
    is-AbstractEndNode.simps EndNodes.hyps(1))
have notif: ¬(is-IfNode (kind g nid))
  using is-AbstractEndNode.elims(2) EndNodes.hyps(1) is-IfNode-def
    is-EndNode.simps(16)
  by (metis IRNode.distinct-disc(1742))
have notref: ¬(is-RefNode (kind g nid))
  using notseq is-RefNode-def
  by (metis is-sequential-node.simps(7))
have notnew: ¬(is-NewInstanceNode (kind g nid))
  using is-EndNode.simps(40) is-NewInstanceNode-def
    is-AbstractEndNode.simps EndNodes.hyps(1)
  by (metis IRNode.distinct-disc(3053))
have notload: ¬(is-LoadFieldNode (kind g nid))
  using is-EndNode.simps(28) is-LoadFieldNode-def EndNodes.hyps(1)
    is-AbstractEndNode.simps
  by (metis IRNode.distinct-disc(2762))
have notstore: ¬(is-StoreFieldNode (kind g nid))
  using is-EndNode.simps(53) is-StoreFieldNode-def EndNodes.hyps(1)
    is-AbstractEndNode.simps
  by (metis IRNode.distinct-disc(3084) is-EndNode.simps(55))
have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
  using EndNodes.hyps(1) is-SignedDivNode-def is-SignedRemNode-def by force
have notfixedguard: ¬(is-FixedGuardNode (kind g nid))
  using is-EndNode.simps(14) is-FixedGuardNode-def EndNodes.hyps(1)
    is-AbstractEndNode.simps
  by (metis IRNode.distinct-disc(1543))
have notbytecodeexception: ¬(is-BytecodeExceptionNode (kind g nid))
  using is-BytecodeExceptionNode-def is-AbstractEndNode.simps
    is-EndNode.simps(8) EndNodes.hyps(1)
  by (metis IRNode.distinct-disc(788))
have notnewarray: ¬(is-NewArrayNode (kind g nid))
  using is-EndNode.simps(39) is-NewArrayNode-def EndNodes.hyps(1)
    is-AbstractEndNode.simps
  by (metis IRNode.distinct-disc(3052))
have notarraylength: ¬(is-ArrayLengthNode (kind g nid))
  using is-EndNode.simps(5) is-ArrayLengthNode-def EndNodes.hyps(1)
    is-AbstractEndNode.simps
  by (metis IRNode.disc(1954))
have notloadindex: ¬(is-LoadIndexedNode (kind g nid))
  using is-EndNode.simps(29) is-LoadIndexedNode-def
    EndNodes.hyps(1) is-AbstractEndNode.simps
  by (metis IRNode.disc(1979))
have notstoreindex: ¬(is-StoreIndexedNode (kind g nid))
  using is-EndNode.simps(54) is-AbstractEndNode.simps
    EndNodes.hyps(1) is-StoreIndexedNode-def
  by (metis IRNode.distinct-disc(3085) is-EndNode.simps(56))
from notseq notif notref notnew notload notstore notdivrem notfixedguard not-
bytecodeexception

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    notnewarray notarraylength notloadindex notstoreindex
  show ?case
  by (smt (verit) is-FixedGuardNode-def repAllDet evalAllDet is-IfNode-def
    EndNodes step.cases
    is-RefNode-def Pair-inject is-LoadFieldNode-def is-NewInstanceNode-def
    is-StoreFieldNode-def
    is-SignedDivNode-def is-SignedRemNode-def is-IntegerDivRemNode.elims(3)
    is-NewArrayNode-def
    is-BytecodeExceptionNode-def is-ArrayLengthNode-def is-LoadIndexedNode-def
    is-StoreIndexedNode-def)
  next
  case (NewArrayNode nid len st n' lenE m length' arrayType h' ref h refNo h'')
  have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notfixedguard:  $\neg(\text{is-FixedGuardNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notbytecodeexception:  $\neg(\text{is-BytecodeExceptionNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notarraylength:  $\neg(\text{is-ArrayLengthNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  have notnew:  $\neg(\text{is-NewInstanceNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: NewArrayNode.hyps(1))
  from notseq notend notif notload notstore notfixedguard notbytecodeexception
  notarraylength notnew
  show ?case sledgehammer
  by (smt (verit) IRNode.disc(1718) IRNode.disc(3500) IRNode.disc(926) IRN-
    ode.discI(39) IRNode.distinct(2847) IRNode.distinct(3479) IRNode.distinct(3485)
    IRNode.distinct(3491) IRNode.inject(38) NewArrayNode.hyps(1) NewArrayNode.hyps(2)
    NewArrayNode.hyps(3) NewArrayNode.hyps(4) NewArrayNode.hyps(5) NewArrayN-
    ode.hyps(6) NewArrayNode.hyps(7) NewArrayNode.hyps(8) Pair-inject Value.inject(2)
    evalDet is-ArrayLengthNode-def is-BytecodeExceptionNode-def is-FixedGuardNode-def
    repDet step.cases)
  next
  case (ArrayLengthNode nid x nid' xE m ref h arrayVal length' m')
  have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
  by (simp add: ArrayLengthNode.hyps(1))
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: ArrayLengthNode.hyps(1))
  have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: ArrayLengthNode.hyps(1))
  have notstore:  $\neg(\text{is-StoreFieldNode } (\text{kind } g \text{ nid}))$ 

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    by (simp add: ArrayLengthNode.hyps(1))
  have notfixedguard:  $\neg$ (is-FixedGuardNode (kind g nid))
    by (simp add: ArrayLengthNode.hyps(1))
  have notbytecodeexception:  $\neg$ (is-BytecodeExceptionNode (kind g nid))
    by (simp add: ArrayLengthNode.hyps(1))
  have notnew:  $\neg$ (is-NewInstanceNode (kind g nid))
    by (simp add: ArrayLengthNode.hyps(1))
  have notnewarray:  $\neg$ (is-NewArrayNode (kind g nid))
    by (simp add: ArrayLengthNode.hyps(1))
  have notloadindex:  $\neg$ (is-LoadIndexedNode (kind g nid))
    by (simp add: ArrayLengthNode.hyps(1))
  from notseq notend notif notstore notfixedguard notbytecodeexception notnew
notnewarray
  notloadindex
  show ?case
    by (smt (verit) ArrayLengthNode.hyps(1) ArrayLengthNode.hyps(2) ArrayLengthNode.hyps(3)
ArrayLengthNode.hyps(4) ArrayLengthNode.hyps(5) ArrayLengthNode.hyps(6)
IRNode.disc(1784) IRNode.disc(3500) IRNode.disc(926) IRNode.discI(39) IRNode.distinct(425)
IRNode.distinct(469) IRNode.distinct(475) IRNode.distinct(481)
IRNode.inject(4) Pair-inject Value.inject(2) evalDet is-BytecodeExceptionNode-def
is-FixedGuardNode-def is-NewArrayNode-def repDet step.cases)
  next
    case (LoadIndexedNode nid index gu array nid' indexE m indexVal arrayE ref h
arrayVal loaded m')
    then have notseq:  $\neg$ (is-sequential-node (kind g nid))
      by simp
    have notend:  $\neg$ (is-AbstractEndNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notif:  $\neg$ (is-IfNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notref:  $\neg$ (is-RefNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notload:  $\neg$ (is-LoadFieldNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notstore:  $\neg$ (is-StoreFieldNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notdivrem:  $\neg$ (is-IntegerDivRemNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notnewarray:  $\neg$ (is-NewArrayNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notarraylength:  $\neg$ (is-ArrayLengthNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notstoreindex:  $\neg$ (is-StoreIndexedNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notfixedguard:  $\neg$ (is-FixedGuardNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notbytecodeexception:  $\neg$ (is-BytecodeExceptionNode (kind g nid))
      by (simp add: LoadIndexedNode.hyps(1))
    have notnew:  $\neg$ (is-NewInstanceNode (kind g nid))

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    by (simp add: LoadIndexedNode.hyps(1))
  from notseq notend notif notref notload notstore notdivrem notnewarray notarraylength notnew
    notstoreindex notfixedguard notbytecodeexception
  show ?case
    by (smt (verit) IRNode.disc(1718) IRNode.disc(3500) IRNode.disc(3566) IRNode.disc(926) IRNode.discI(39) IRNode.inject(28) LoadIndexedNode.hyps(1) LoadIndexedNode.hyps(2) LoadIndexedNode.hyps(3) LoadIndexedNode.hyps(4) LoadIndexedNode.hyps(5) LoadIndexedNode.hyps(6) LoadIndexedNode.hyps(7) LoadIndexedNode.hyps(8) Value.inject(2) evalDet is-ArrayLengthNode-def is-BytecodeExceptionNode-def is-FixedGuardNode-def is-IntegerDivRemNode.simps is-NewArrayNode-def is-SignedDivNode-def is-SignedRemNode-def prod.inject repDet step.cases)
  next
    case (StoreIndexedNode nid ch val st i gu a nid' indexE m iv arrayE ref valE val0 h av new h' m^ )
    then have notseq: ¬(is-sequential-node (kind g nid))
      by simp
    have notend: ¬(is-AbstractEndNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notif: ¬(is-IfNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notref: ¬(is-RefNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notload: ¬(is-LoadFieldNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notstore: ¬(is-StoreFieldNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notdivrem: ¬(is-IntegerDivRemNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notnewarray: ¬(is-NewArrayNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notarraylength: ¬(is-ArrayLengthNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notfixedguard: ¬(is-FixedGuardNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notbytecodeexception: ¬(is-BytecodeExceptionNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    have notnew: ¬(is-NewInstanceNode (kind g nid))
      by (simp add: StoreIndexedNode.hyps(1))
    from notseq notend notif notref notload notstore notdivrem notnewarray notarraylength notnew
      notfixedguard notbytecodeexception
    show ?case
      by (smt (verit) IRNode.disc(1718) IRNode.disc(3500) IRNode.disc(926) IRNode.discI(39) IRNode.distinct(2881) IRNode.distinct(3931) IRNode.distinct(4009) IRNode.distinct(481) IRNode.inject(55) Pair-inject StoreIndexedNode.hyps(1) StoreIndexedNode.hyps(10) StoreIndexedNode.hyps(11) StoreIndexedNode.hyps(2) StoreIndexedNode.hyps(3) StoreIndexedNode.hyps(4) StoreIndexedNode.hyps(5) StoreIndexedNode.hyps(6) StoreIndexedNode.hyps(7) StoreIndexedNode.hyps(8) Stor-

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eIndexedNode.hyps(9) Value.inject(2) evalDet is-BytecodeExceptionNode-def is-FixedGuardNode-def
is-NewArrayNode-def repDet step.cases)
next
  case (NewInstanceNode nid f obj nrt h' ref h m' m)
  then have notseq:  $\neg(\text{is-sequential-node } (kind\ g\ nid))$ 
    by simp
  have notend:  $\neg(\text{is-AbstractEndNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notif:  $\neg(\text{is-IfNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notref:  $\neg(\text{is-RefNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notload:  $\neg(\text{is-LoadFieldNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notstore:  $\neg(\text{is-StoreFieldNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notnewarray:  $\neg(\text{is-NewArrayNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  have notarraylength:  $\neg(\text{is-ArrayLengthNode } (kind\ g\ nid))$ 
    by (simp add: NewInstanceNode.hyps(1))
  from notseq notend notif notref notload notstore notdivrem notnewarray notarraylength
  show ?case
    using NewInstanceNode step.cases
    Pair-inject
    by (smt (verit) IRNode.disc(1718) IRNode.disc(2444) IRNode.disc(3500) IRNode.discI(15)
IRNode.discI(4) IRNode.distinct(1559) IRNode.distinct(2849) IRNode.distinct(3529)
IRNode.distinct(3535) IRNode.distinct(3541) IRNode.distinct(803)
IRNode.inject(39))
next
  case (LoadFieldNode nid f obj nrt m ref h v m')
  then have notseq:  $\neg(\text{is-sequential-node } (kind\ g\ nid))$ 
    by simp
  have notend:  $\neg(\text{is-AbstractEndNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notif:  $\neg(\text{is-IfNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notref:  $\neg(\text{is-RefNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notstore:  $\neg(\text{is-StoreFieldNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notnewarray:  $\neg(\text{is-NewArrayNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))
  have notarraylength:  $\neg(\text{is-ArrayLengthNode } (kind\ g\ nid))$ 
    by (simp add: LoadFieldNode.hyps(1))

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from notseq notend notdivrem notif notref notstore notnewarray notarraylength
show ?case
  using LoadFieldNode step.cases evalDet option.discI option.inject
    Pair-inject repDet Value.inject(2)
    is-ArrayLengthNode-def is-IfNode-def is-NewArrayNode-def is-StoreFieldNode-def
  by (smt (verit) IRNode.distinct(1535) IRNode.distinct(2755) IRNode.distinct(2777)
    IRNode.distinct(2797) IRNode.distinct(2803) IRNode.distinct(2809) IRNode.distinct(779)
    IRNode.inject(27))
next
  case (SignedDivNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq: ¬(is-sequential-node (kind g nid))
    by simp
  have notend: ¬(is-AbstractEndNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notif: ¬(is-IfNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notref: ¬(is-RefNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notload: ¬(is-LoadFieldNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notstore: ¬(is-StoreFieldNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notnewarray: ¬(is-NewArrayNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
  have notarraylength: ¬(is-ArrayLengthNode (kind g nid))
    by (simp add: SignedDivNode.hyps(1))
from notseq notend notif notref notload notstore notnewarray notarraylength
show ?case
  using evalDet repDet
    SignedDivNode Pair-inject is-ArrayLengthNode-def is-IfNode-def is-NewArrayNode-def
    is-LoadFieldNode-def is-StoreFieldNode-def step.cases
  by (smt (verit) IRNode.distinct(1579) IRNode.distinct(2869) IRNode.distinct(3529)
    IRNode.distinct(3925) IRNode.distinct(3931) IRNode.distinct(823) IRNode.inject(49))
next
  case (SignedRemNode nid x y zero sb nxt m v1 v2 v m' h)
  then have notseq: ¬(is-sequential-node (kind g nid))
    by simp
  have notend: ¬(is-AbstractEndNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))
  have notif: ¬(is-IfNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))
  have notref: ¬(is-RefNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))
  have notload: ¬(is-LoadFieldNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))
  have notstore: ¬(is-StoreFieldNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))
  have notnewarray: ¬(is-NewArrayNode (kind g nid))
    by (simp add: SignedRemNode.hyps(1))

```

```

have notarraylength:  $\neg(\text{is-ArrayLengthNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: SignedRemNode.hyps(1))
have notdivnode:  $\neg(\text{is-SignedDivNode } (\text{kind } g \text{ nid}))$ 
  by (simp add: SignedRemNode.hyps(1))
from notseq notend notif notref notload notstore notnewarray notarraylength
notdivnode
show ?case
  by (smt (verit) IRNode.disc(1718) IRNode.disc(2444) IRNode.disc(3500) IRN-
ode.disc(926) IRNode.distinct(1585) IRNode.distinct(2875) IRNode.distinct(3535)
IRNode.distinct(3925) IRNode.distinct(4009) IRNode.distinct(475) IRNode.distinct(829)
IRNode.inject(52) SignedRemNode.hyps(1) SignedRemNode.hyps(2) SignedRemNode.hyps(3)
SignedRemNode.hyps(4) SignedRemNode.hyps(5) SignedRemNode.hyps(6) Signe-
dRemNode.hyps(7) evalDet prod.inject repDet step.cases)
next
  case (StaticLoadFieldNode nid f nxt h v m' m)
  then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
    by simp
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StaticLoadFieldNode.hyps(1))
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StaticLoadFieldNode.hyps(1))
  from notseq notend notdivrem
  show ?case
    by (smt (verit) IRNode.distinct(1535) IRNode.distinct(1733) IRNode.distinct(2755)
IRNode.distinct(2775) IRNode.distinct(2777) IRNode.distinct(2797) IRNode.distinct(2803)
IRNode.distinct(2807) IRNode.distinct(2809) IRNode.distinct(425) IRNode.distinct(779)
IRNode.inject(27) Pair-inject StaticLoadFieldNode.hyps(1) StaticLoadFieldNode.hyps(2)
StaticLoadFieldNode.hyps(3) option.discI step.cases)
next
  case (StoreFieldNode nid f newval uu obj nxt m val ref h' h m')
  then have notseq:  $\neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$ 
    by simp
  have notend:  $\neg(\text{is-AbstractEndNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notdivrem:  $\neg(\text{is-IntegerDivRemNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notif:  $\neg(\text{is-IfNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notref:  $\neg(\text{is-RefNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notload:  $\neg(\text{is-LoadFieldNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notnewarray:  $\neg(\text{is-NewArrayNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  have notarraylength:  $\neg(\text{is-ArrayLengthNode } (\text{kind } g \text{ nid}))$ 
    by (simp add: StoreFieldNode.hyps(1))
  from notseq notend notdivrem notif notref notload notnewarray notarraylength
  show ?case
    using evalDet step.cases repDet

```

*StoreFieldNode option.discI Pair-inject Value.inject(2) option.inject*  
*is-ArrayLengthNode-def is-IfNode-def is-LoadFieldNode-def is-NewArrayNode-def*  
**by** (*smt (verit) IRNode.distinct(1589) IRNode.distinct(2879) IRNode.distinct(3539)*  
*IRNode.distinct(3929) IRNode.distinct(4007) IRNode.distinct(4051) IRNode.distinct(833)*  
*IRNode.inject(54)*)

**next**

**case** (*StaticStoreFieldNode nid f newval uv nxt m val h' h m'*)  
**then have** *notseq: ¬(is-sequential-node (kind g nid))*  
**by** *simp*  
**have** *notend: ¬(is-AbstractEndNode (kind g nid))*  
**by** (*simp add: StaticStoreFieldNode.hyps(1)*)  
**have** *notdivrem: ¬(is-IntegerDivRemNode (kind g nid))*  
**by** (*simp add: StaticStoreFieldNode.hyps(1)*)  
**from** *notseq notend notdivrem*  
**show** *?case*  
**using** *evalDet*  
*IRNode.inject(52) step.cases StoreFieldNode StaticStoreFieldNode.hyps op-*  
*tion.distinct(1)*  
*Pair-inject repDet*  
**by** (*smt (verit) IRNode.distinct(1589) IRNode.distinct(1787) IRNode.distinct(2807)*  
*IRNode.distinct(2879) IRNode.distinct(3489) IRNode.distinct(3539) IRNode.distinct(3929)*  
*IRNode.distinct(4007) IRNode.distinct(4051) IRNode.distinct(479) IRNode.distinct(833)*  
*IRNode.inject(54)*)  
**qed**

**lemma** *stepRefNode:*

$\llbracket \text{kind } g \text{ nid} = \text{RefNode } \text{nid}' \rrbracket \implies g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**by** (*metis IRNodes.successors-of-RefNode is-sequential-node.simps(7) nth-Cons-0*  
*SequentialNode*)

**lemma** *IfNodeStepCases:*

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g \vdash \text{cond} \simeq \text{condE}$   
**assumes**  $[m, p] \vdash \text{condE} \mapsto v$   
**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**shows**  $\text{nid}' \in \{tb, fb\}$   
**by** (*metis insert-iff old.prod.inject step.IfNode stepDet assms*)

**lemma** *IfNodeSeq:*

**shows**  $\text{kind } g \text{ nid} = \text{IfNode cond tb fb} \longrightarrow \neg(\text{is-sequential-node } (\text{kind } g \text{ nid}))$   
**using** *is-sequential-node.simps(18,19)* **by** *simp*

**lemma** *IfNodeCond:*

**assumes** *kind g nid = IfNode cond tb fb*  
**assumes**  $g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h)$   
**shows**  $\exists \text{ condE } v. ((g \vdash \text{cond} \simeq \text{condE}) \wedge ([m, p] \vdash \text{condE} \mapsto v))$   
**using** *assms(2,1)* **by** (*induct (nid,m,h) (nid',m,h) rule: step.induct; auto*)

```

lemma step-in-ids:
  assumes  $g, p \vdash (nid, m, h) \rightarrow (nid', m', h')$ 
  shows  $nid \in ids\ g$ 
  using assms apply (induct ( $nid, m, h$ ) ( $nid', m', h'$ ) rule: step.induct) apply
fastforce

  prefer 4 prefer 14 defer defer
  using IRNode.distinct(1607) ids-some apply presburger
  using IRNode.distinct(851) ids-some apply presburger

  using IRNode.distinct(1805) ids-some apply presburger
    apply (metis IRNode.distinct(3507) not-in-g)
  apply (metis IRNode.distinct(497) not-in-g)
  apply (metis IRNode.distinct(2897) not-in-g)

  apply (metis IRNode.distinct(4085) not-in-g)
  using IRNode.distinct(3557) ids-some apply presburger
  apply (metis IRNode.distinct(2825) not-in-g)
  apply (metis IRNode.distinct(3947) not-in-g)
    apply (metis IRNode.distinct(4025) not-in-g)
  using IRNode.distinct(2825) ids-some apply presburger
  apply (metis IRNode.distinct(4067) not-in-g)
    apply (metis IRNode.distinct(4067) not-in-g)
  using IRNode.disc(1952) is-EndNode.simps(62) is-AbstractEndNode.simps not-in-g
  by (metis IRNode.disc(2014) is-EndNode.simps(64))

end

```

## 9 Proof Infrastructure

### 9.1 Bisimulation

```

theory Bisimulation
imports
  Stuttering
begin

```

```

inductive weak-bisimilar ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool$ 
  ( $- \cdot - \sim -$ ) for nid where
     $\llbracket \forall P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P') \longrightarrow (\exists Q'. (g'\ m\ p\ h \vdash nid \rightsquigarrow Q') \wedge P' = Q');$ 
     $\forall Q'. (g'\ m\ p\ h \vdash nid \rightsquigarrow Q') \longrightarrow (\exists P'. (g\ m\ p\ h \vdash nid \rightsquigarrow P') \wedge P' = Q') \rrbracket$ 
     $\impl nid \cdot g \sim g'$ 

```

A strong bisimulation between no-op transitions

```

inductive strong-noop-bisimilar ::  $ID \Rightarrow IRGraph \Rightarrow IRGraph \Rightarrow bool$ 
  ( $- \mid - \sim -$ ) for nid where
     $\llbracket \forall P'. (g, p \vdash (nid, m, h) \rightarrow P') \longrightarrow (\exists Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \wedge P' = Q') \rrbracket$ 

```

$$\begin{aligned} & \forall Q'. (g', p \vdash (nid, m, h) \rightarrow Q') \longrightarrow (\exists P'. (g, p \vdash (nid, m, h) \rightarrow P') \wedge P' = \\ & Q') \parallel \\ & \implies nid \mid g \sim g' \end{aligned}$$

**lemma** *lockstep-strong-bisimulation*:

**assumes**  $g' = \text{replace-node } nid \text{ node } g$   
**assumes**  $g, p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**assumes**  $g', p \vdash (nid, m, h) \rightarrow (nid', m, h)$   
**shows**  $nid \mid g \sim g'$   
**by** (*metis strong-noop-bisimilar.simps stepDet assms(2,3)*)

**lemma** *no-step-bisimulation*:

**assumes**  $\forall m p h nid' m' h'. \neg(g, p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**assumes**  $\forall m p h nid' m' h'. \neg(g', p \vdash (nid, m, h) \rightarrow (nid', m', h'))$   
**shows**  $nid \mid g \sim g'$   
**by** (*simp add: assms(1,2) strong-noop-bisimilar.intros*)

**end**

## 9.2 Graph Rewriting

**theory**

*Rewrites*

**imports**

*Stuttering*

**begin**

**fun** *replace-usages* ::  $ID \Rightarrow ID \Rightarrow IRGraph \Rightarrow IRGraph$  **where**  
*replace-usages*  $nid \ nid' \ g = \text{replace-node } nid \ (\text{RefNode } nid', \text{stamp } g \ nid') \ g$

**lemma** *replace-usages-effect*:

**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows**  $\text{kind } g' \ nid = \text{RefNode } nid'$   
**using** *replace-usages.simps replace-node-lookup assms* **by** *blast*

**lemma** *replace-usages-changeonly*:

**assumes**  $nid \in ids \ g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows** *changeonly*  $\{nid\} \ g \ g'$   
**by** (*metis add-changed add-node-def replace-node-def replace-usages.simps assms(2)*)

**lemma** *replace-usages-unchanged*:

**assumes**  $nid \in ids \ g$   
**assumes**  $g' = \text{replace-usages } nid \ nid' \ g$   
**shows** *unchanged*  $(ids \ g - \{nid\}) \ g \ g'$   
**using** *assms disjoint-change replace-usages-changeonly* **by** *presburger*

**fun** *nextNid* ::  $IRGraph \Rightarrow ID$  **where**

*nextNid*  $g = (\text{Max } (ids \ g)) + 1$

```

lemma max-plus-one:
  fixes  $c :: ID$  set
  shows  $\llbracket \text{finite } c; c \neq \{\} \rrbracket \implies (Max\ c) + 1 \notin c$ 
  by (meson Max-gr-iff less-add-one less-irrefl)

lemma ids-finite:
  finite (ids  $g$ )
  by simp

lemma nextNidNotIn:
  ids  $g \neq \{\} \longrightarrow nextNid\ g \notin ids\ g$ 
  unfolding nextNid.simps using ids-finite max-plus-one by blast

fun bool-to-val-width1 ::  $bool \Rightarrow Value$  where
  bool-to-val-width1 True = (IntVal 1 1) |
  bool-to-val-width1 False = (IntVal 1 0)

fun constantCondition ::  $bool \Rightarrow ID \Rightarrow IRNode \Rightarrow IRGraph \Rightarrow IRGraph$  where
  constantCondition val nid (IfNode cond t f)  $g =$ 
    replace-node nid (IfNode (nextNid  $g$ ) t f, stamp  $g$  nid)
    (add-node (nextNid  $g$ ) ((ConstantNode (bool-to-val-width1 val)), constantAsStamp (bool-to-val-width1 val))  $g$ ) |
  constantCondition cond nid -  $g = g$ 

lemma constantConditionTrue:
  assumes kind  $g$  ifcond = IfNode cond t f
  assumes  $g' = constantCondition\ True\ ifcond\ (kind\ g\ ifcond)\ g$ 
  shows  $g', p \vdash (ifcond, m, h) \rightarrow (t, m, h)$ 
proof -
  have ifn:  $\bigwedge c\ t\ f. IfNode\ c\ t\ f \neq NoNode$ 
    by simp
  then have if': kind  $g'$  ifcond = IfNode (nextNid  $g$ ) t f
    using assms constantCondition.simps(1) replace-node-lookup by presburger
  have truedef: bool-to-val True = (IntVal 32 1)
    by auto
  from ifn have ifcond  $\neq (nextNid\ g)$ 
    by (metis assms(1) emptyE ids-some nextNidNotIn)
  moreover have  $\bigwedge c. ConstantNode\ c \neq NoNode$ 
    by simp
  ultimately have kind  $g'$  (nextNid  $g$ ) = ConstantNode (bool-to-val-width1 True)
    using add-changed
  by (smt (z3) find-new-kind replace-node-unchanged singletonD replace-node-def not-in-g assms other-node-unchanged constantCondition.simps(1) add-node-def)
  then have c': kind  $g'$  (nextNid  $g$ ) = ConstantNode (IntVal 1 1)
    by simp
  have valid-value (IntVal 1 1) (constantAsStamp (IntVal 1 1))
    by fastforce

```

```

then have  $[g', m, p] \vdash \text{nextNid } g \mapsto \text{IntVal } 1 \ 1$ 
using  $\text{Value.distinct}(1) \langle \text{kind } g' (\text{nextNid } g) = \text{ConstantNode } (\text{bool-to-val-width1 } \text{True}) \rangle$ 
by  $(\text{metis } \text{bool-to-val-width1.simps}(1) \text{ wf-value-def encodeeval-def ConstantExpr ConstantNode})$ 
from  $\text{if' } c' \text{ show ?thesis}$ 
by  $(\text{metis } (\text{no-types, opaque-lifting}) \text{ val-to-bool.simps}(1) \langle [g', m, p] \vdash \text{nextNid } g \mapsto \text{IntVal } 1 \ 1 \rangle$ 
 $\text{encodeeval-def zero-neq-one IfNode})$ 
qed

```

**lemma** *constantConditionFalse*:

```

assumes  $\text{kind } g \text{ ifcond} = \text{IfNode } \text{cond } t \ f$ 
assumes  $g' = \text{constantCondition } \text{False } \text{ifcond} (\text{kind } g \text{ ifcond}) \ g$ 
shows  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
proof –
have  $\text{ifn}: \bigwedge c \ t \ f. \text{IfNode } c \ t \ f \neq \text{NoNode}$ 
by simp
then have  $\text{if'}: \text{kind } g' \text{ ifcond} = \text{IfNode } (\text{nextNid } g) \ t \ f$ 
by  $(\text{metis } \text{assms } \text{constantCondition.simps}(1) \text{ replace-node-lookup})$ 
have  $\text{falsedef}: \text{bool-to-val } \text{False} = (\text{IntVal } 32 \ 0)$ 
by auto
from  $\text{ifn}$  have  $\text{ifcond} \neq (\text{nextNid } g)$ 
by  $(\text{metis } \text{assms}(1) \text{ equals0D ids-some nextNidNotIn})$ 
moreover have  $\bigwedge c. \text{ConstantNode } c \neq \text{NoNode}$ 
by simp
ultimately have  $\text{kind } g' (\text{nextNid } g) = \text{ConstantNode } (\text{bool-to-val-width1 } \text{False})$ 
by  $(\text{smt } (z3) \text{ add-changed add-node-def assms } \text{constantCondition.simps}(1) \text{ find-new-kind not-in-g}$ 
 $\text{other-node-unchanged replace-node-def singletonD})$ 
then have  $c': \text{kind } g' (\text{nextNid } g) = \text{ConstantNode } (\text{IntVal } 1 \ 0)$ 
by simp
have  $\text{valid-value } (\text{IntVal } 1 \ 0) (\text{constantAsStamp } (\text{IntVal } 1 \ 0))$ 
by auto
then have  $[g', m, p] \vdash \text{nextNid } g \mapsto \text{IntVal } 1 \ 0$ 
by  $(\text{meson } \text{ConstantExpr ConstantNode } c' \text{ encodeeval-def wf-value-def})$ 
from  $\text{if' } c' \text{ show ?thesis}$ 
by  $(\text{metis } (\text{no-types, opaque-lifting}) \text{ val-to-bool.simps}(1) \langle [g', m, p] \vdash \text{nextNid } g \mapsto \text{IntVal } 1 \ 0 \rangle$ 
 $\text{encodeeval-def IfNode})$ 
qed

```

**lemma** *diff-forall*:

```

assumes  $\forall n \in \text{ids } g - \{nid\}. \text{cond } n$ 
shows  $\forall n. n \in \text{ids } g \wedge n \notin \{nid\} \longrightarrow \text{cond } n$ 
by  $(\text{meson } \text{Diff-iff } \text{assms})$ 

```

**lemma** *replace-node-changeonly*:

```

assumes  $g' = \text{replace-node } nid \ \text{node } g$ 

```



```

shows changeonly {nid} g g'
by (metis add-changed add-node-def replace-node-def assms)

lemma add-node-changeonly:
  assumes g' = add-node nid node g
  shows changeonly {nid} g g'
  by (metis Rep-IRGraph-inverse add-node.rep-eq assms replace-node.rep-eq replace-node-changeonly)

lemma constantConditionNoEffect:
  assumes  $\neg(\text{is-IfNode } (kind\ g\ nid))$ 
  shows g = constantCondition b nid (kind g nid) g
  using assms constantCondition.simps
  apply (cases kind g nid)
  prefer 15 prefer 16
  apply (metis is-IfNode-def)
  apply (metis)
  by presburger+

lemma constantConditionIfNode:
  assumes kind g nid = IfNode cond t f
  shows constantCondition val nid (kind g nid) g =
    replace-node nid (IfNode (nextNid g) t f, stamp g nid)
    (add-node (nextNid g) ((ConstantNode (bool-to-val-width1 val)), constantAsStamp (bool-to-val-width1 val)) g)
  by (simp add: assms)

lemma constantCondition-changeonly:
  assumes nid ∈ ids g
  assumes g' = constantCondition b nid (kind g nid) g
  shows changeonly {nid} g g'
proof (cases is-IfNode (kind g nid))
  case True
  have nextNid g ∉ ids g
  by (metis emptyE nextNidNotIn)
  then show ?thesis
  using assms replace-node-changeonly add-node-changeonly unfolding changeonly.simps
  by (metis (no-types, lifting) insert-iff is-IfNode-def constantCondition.simps(1) True)
next
  case False
  have g = g'
  using constantConditionNoEffect False assms(2) by presburger
  then show ?thesis
  by simp
qed

lemma constantConditionNoIf:
  assumes  $\forall cond\ t\ f. kind\ g\ ifcond \neq IfNode\ cond\ t\ f$ 

```

```

    assumes  $g' = \text{constantCondition val ifcond (kind g ifcond) } g$ 
    shows  $\exists \text{nid}'. (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ 
  proof -
    have  $g' = g$ 
    using constantConditionNoEffect assms is-IfNode-def by presburger
    then show ?thesis
    by simp
  qed

```

```

lemma constantConditionValid:
  assumes  $\text{kind } g \text{ ifcond} = \text{IfNode cond } t \ f$ 
  assumes  $[g, m, p] \vdash \text{cond} \mapsto v$ 
  assumes  $\text{const} = \text{val-to-bool } v$ 
  assumes  $g' = \text{constantCondition const ifcond (kind g ifcond) } g$ 
  shows  $\exists \text{nid}'. (g \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}') \longleftrightarrow (g' \ m \ p \ h \vdash \text{ifcond} \rightsquigarrow \text{nid}')$ 
  proof (cases const)
    case True
    have ifstep:  $g, p \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    by (meson IfNode True assms(1,2,3) encodeeval-def)
    have ifstep':  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (t, m, h)$ 
    using constantConditionTrue True assms(1,4) by presburger
    from ifstep ifstep' show ?thesis
    using StutterStep by blast
  next
    case False
    have ifstep:  $g, p \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    by (meson IfNode False assms(1,2,3) encodeeval-def)
    have ifstep':  $g', p \vdash (\text{ifcond}, m, h) \rightarrow (f, m, h)$ 
    using constantConditionFalse False assms(1,4) by presburger
    from ifstep ifstep' show ?thesis
    using StutterStep by blast
  qed
end

```

### 9.3 Stuttering

```

theory Stuttering
  imports
    Semantics.IRStepThms
begin

```

```

inductive stutter:: IRGraph  $\Rightarrow$  MapState  $\Rightarrow$  Params  $\Rightarrow$  FieldRefHeap  $\Rightarrow$  ID  $\Rightarrow$ 
ID  $\Rightarrow$  bool (- - -  $\vdash$  -  $\rightsquigarrow$  - 55)
  for  $g \ m \ p \ h$  where

```

```

    StutterStep:
     $\llbracket g, p \vdash (\text{nid}, m, h) \rightarrow (\text{nid}', m, h) \rrbracket$ 
     $\implies g \ m \ p \ h \vdash \text{nid} \rightsquigarrow \text{nid}' \mid$ 

```

*Transitive:*  
 $\llbracket g, p \vdash (nid, m, h) \rightarrow (nid'', m, h);$   
 $g \ m \ p \ h \vdash nid'' \rightsquigarrow nid \rrbracket$   
 $\implies g \ m \ p \ h \vdash nid \rightsquigarrow nid'$

**lemma** *stuttering-successor*:  
**assumes**  $(g, p \vdash (nid, m, h) \rightarrow (nid', m, h))$   
**shows**  $\{P'. (g \ m \ p \ h \vdash nid \rightsquigarrow P')\} = \{nid'\} \cup \{nid''. (g \ m \ p \ h \vdash nid' \rightsquigarrow nid'')\}$   
**proof** –  
**have** *nextin*:  $nid' \in \{P'. (g \ m \ p \ h \vdash nid \rightsquigarrow P')\}$   
**using** *assms StutterStep* **by** *fast*  
**have** *nextsubset*:  $\{nid''. (g \ m \ p \ h \vdash nid' \rightsquigarrow nid'')\} \subseteq \{P'. (g \ m \ p \ h \vdash nid \rightsquigarrow P')\}$   
**by** (*metis Collect-mono assms stutter.Transitive*)  
**have**  $\forall n \in \{P'. (g \ m \ p \ h \vdash nid \rightsquigarrow P')\} . n = nid' \vee n \in \{nid''. (g \ m \ p \ h \vdash nid' \rightsquigarrow nid'')\}$   
**by** (*metis (no-types, lifting) Pair-inject assms mem-Collect-eq stutter.simps stepDet*)  
**then show** *?thesis*  
**using** *nextin nextsubset* **by** (*auto simp add: mk-disjoint-insert*)  
**qed**

**end**

## 9.4 Evaluation Stamp Theorems

**theory** *StampEvalThms*  
**imports** *Graph.ValueThms*  
*Semantics.IRTreeEvalThms*  
**begin**

**lemma**  
**assumes** *take-bit*  $b \ v = v$   
**shows** *signed-take-bit*  $b \ v = v$   
**by** (*metis(full-types) eq-imp-le signed-take-bit-take-bit assms*)

**lemma** *unwrap-signed-take-bit*:  
**fixes**  $v :: \text{int64}$   
**assumes**  $0 < b \wedge b \leq 64$   
**assumes** *signed-take-bit*  $(b - 1) \ v = v$   
**shows** *signed-take-bit*  $63 \ (\text{Word.rep} \ (\text{signed-take-bit} \ (b - \text{Suc } 0) \ v)) = \text{sint } v$   
**using** *assms* **by** (*simp add: signed-def*)

**lemma** *unrestricted-new-int-always-valid* [*simp*]:  
**assumes**  $0 < b \wedge b \leq 64$   
**shows** *valid-value*  $(\text{new-int } b \ v) \ (\text{unrestricted-stamp} \ (\text{IntegerStamp } b \ \text{lo } \text{hi}))$   
**by** (*simp; metis One-nat-def assms int-power-div-base int-signed-value.simps int-signed-value-range*  
*linorder-not-le not-exp-less-eq-0-int zero-less-numeral*)

**lemma** *unary-undef*:  $val = \text{UndefVal} \implies \text{unary-eval } op \text{ } val = \text{UndefVal}$   
**by** (*cases op; auto*)

$$\text{val} = \text{ObjRef } x \implies (\text{if } (\text{op} = \text{UnaryIsNull}) \text{ then} \\ \text{unary-eval op val} \neq \text{UndefVal} \text{ else} \\ \text{unary-eval op val} = \text{UndefVal})$$

**lemma** *unrestricted-stamp-valid*:

**assumes**  $0 < b \wedge b < 64$

```
using assms apply auto by (simp add: pos-imp-zdiv-pos-iff self-le-power)
```

**lemma** *unrestricted-stamp-valid-value* [simp]:

**assumes** *take-bit* *b* *ival* = *ival*

**shows** *valid-value result* (*unrestricted-stamp* (*IntegerStamp* *b lo hi*))

proof —

using *assms unrestricted-stamp-valid* by *blast*

then show *?thesis*

**unfolding** *unrestricted-stamp.simps* using *assms int-signed-value-bounds valid-value.simps*  
by *presburger*

qed

### 9.4.1 Support Lemmas for Integer Stamps and Associated IntVal values

**lemma** *valid-int-gives*:

```

assumes valid-value (IntVal b val) stamp

```

**obtains**  $lo\ hi$  **where**  $stamp = IntegerStamp\ b\ lo\ hi \wedge$

$$valid\_stamp \ (IntegerStamp \ b \ lo \ hi) \wedge$$
$$take\_bit\ b\ val = val \wedge$$
$$lo \leq \text{int-signed-value } b \text{ val} \wedge \text{int-signed-value } b \text{ val} \leq hi$$

**using** *assms* **apply** (*cases stamp; auto*) **by** (*metis that*)

And the corresponding lemma where we know the stamp rather than the value.

**lemma** *valid-int-stamp-gives*:

**assumes** *valid-value* *val* (*IntegerStamp* *b lo hi*)

**obtains** *ival* **where**  $val = IntVal\ b\ ival \wedge$

$$valid\_stamp \ (IntegerStamp \ b \ lo \ hi) \wedge$$
$$take\_bit\ b\ ival = ival \wedge$$

$lo \leq \text{int-signed-value } b \text{ ival} \wedge \text{int-signed-value } b \text{ ival} \leq hi$   
**by** (metis assms valid-int valid-value.simps(1))

A valid int must have the expected number of bits.

**lemma** *valid-int-same-bits*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $b = bits$   
**by** (meson assms valid-value.simps(1))

A valid value means a valid stamp.

**lemma** *valid-int-valid-stamp*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows** valid-stamp (IntegerStamp bits lo hi)  
**by** (metis assms valid-value.simps(1))

A valid int means a valid non-empty stamp.

**lemma** *valid-int-not-empty*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $lo \leq hi$   
**by** (metis assms order.trans valid-value.simps(1))

A valid int fits into the given number of bits (and other bits are zero).

**lemma** *valid-int-fits*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows** take-bit bits val = val  
**by** (metis assms valid-value.simps(1))

**lemma** *valid-int-is-zero-masked*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows** and val (not (mask bits)) = 0  
**by** (metis (no-types, lifting) assms bit.conj-cancel-right take-bit-eq-mask valid-int-fits

$\text{word-bw-assocs}(1) \text{ word-log-esimps}(1)$

Unsigned ints have bounds 0 up to  $2^{\text{bits}}$ .

**lemma** *valid-int-unsigned-bounds*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $\text{uint val} < 2^{\text{bits}}$   
**by** (metis assms(1) mask-eq-iff take-bit-eq-mask valid-value.simps(1))

Signed ints have the usual two-complement bounds.

**lemma** *valid-int-signed-upper-bound*:  
**assumes** valid-value (IntVal b val) (IntegerStamp bits lo hi)  
**shows**  $\text{int-signed-value bits val} < 2^{(\text{bits} - 1)}$   
**by** (metis (mono-tags, opaque-lifting) diff-le-mono int-signed-value.simps less-imp-diff-less  
linorder-not-le one-le-numeral order-less-le-trans signed-take-bit-int-less-exp-word  
sint-lt

*power-increasing*)

**lemma** *valid-int-signed-lower-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows**  $-(2^{bits-1}) \leq \text{int-signed-value } bits \text{ } val$

**using** *assms One-nat-def ValueThms.int-signed-value-range* **by** *auto*

and *bit\_bounds* versions of the above bounds.

**lemma** *valid-int-signed-upper-bit-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows**  $\text{int-signed-value } bits \text{ } val \leq \text{snd } (\text{bit-bounds } bits)$

**proof** –

**have**  $b = bits$

**using** *assms valid-int-same-bits* **by** *blast*

**then show** *?thesis*

**using** *assms* **by** *auto*

**qed**

**lemma** *valid-int-signed-lower-bit-bound*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows**  $\text{fst } (\text{bit-bounds } bits) \leq \text{int-signed-value } bits \text{ } val$

**proof** –

**have**  $b = bits$

**using** *assms valid-int-same-bits* **by** *blast*

**then show** *?thesis*

**using** *assms* **by** *auto*

**qed**

Valid values satisfy their stamp bounds.

**lemma** *valid-int-signed-range*:

**assumes** *valid-value* (*IntVal* *b val*) (*IntegerStamp* *bits lo hi*)

**shows**  $lo \leq \text{int-signed-value } bits \text{ } val \wedge \text{int-signed-value } bits \text{ } val \leq hi$

**by** (*metis assms valid-value.simps*(1))

## 9.4.2 Validity of all Unary Operators

We split the validity proof for unary operators into two lemmas, one for normal unary operators whose output bits equals their input bits, and the other case for the widen and narrow operators.

**lemma** *eval-normal-unary-implies-valid-value*:

**assumes**  $[m, p] \vdash \text{expr} \mapsto val$

**assumes**  $\text{result} = \text{unary-eval } op \text{ } val$

**assumes**  $op: op \in \text{normal-unary}$

**assumes** *notbool*:  $op \notin \text{boolean-unary}$

**assumes** *notfixed32*:  $op \notin \text{unary-fixed-32-ops}$

**assumes**  $\text{result} \neq \text{UndefVal}$

**assumes** *valid-value*  $val$  (*stamp-expr*  $\text{expr}$ )

**shows** *valid-value*  $\text{result}$  (*stamp-expr* (*UnaryExpr*  $op \text{ } expr$ ))

**proof** –

```

obtain b1 v1 where v1: val = IntVal b1 v1
  using assms by (meson is-IntVal-def unary-eval-int unary-normal-bitsize)
then obtain b2 v2 where v2: result = IntVal b2 v2
  by (metis Value.collapse(1) assms(2,6) unary-eval-int)
then have result = unary-eval op (IntVal b1 v1)
  using assms(2) v1 by blast
then obtain vtmp where vtmp: result = new-int b2 vtmp
  using assms(3) by (auto simp add: v2)
obtain b' lo' hi' where stamp-expr expr = IntegerStamp b' lo' hi'
  by (metis assms(7) v1 valid-int-gives)
then have stamp-unary op (stamp-expr expr) =
  unrestricted-stamp
  (IntegerStamp (if op ∈ normal-unary then b' else ir-resultBits op) lo' hi')
  using op by force
then obtain lo2 hi2 where s: (stamp-expr (UnaryExpr op expr)) =
  unrestricted-stamp (IntegerStamp b2 lo2 hi2)
  unfolding stamp-expr.simps
  by (metis (full-types) assms(2,7) unary-normal-bitsize v2 valid-int-same-bits op
    ⟨stamp-expr expr = IntegerStamp b' lo' hi'⟩)
then have bitRange: 0 < b1 ∧ b1 ≤ 64
  using assms(1) eval-bits-1-64 v1 by blast
then have fst (bit-bounds b2) ≤ int-signed-value b2 v2 ∧
  int-signed-value b2 v2 ≤ snd (bit-bounds b2)
  using assms(2) int-signed-value-bounds unary-eval-bitsize v1 v2 by blast
then show ?thesis
  apply auto
  by (metis stamp-expr.simps(1) unrestricted-new-int-always-valid bitRange assms(2)
s v1 vtmp v2
unary-eval-bitsize)
qed

```

**lemma** *narrow-widen-output-bits:*

```

assumes unary-eval op val ≠ UndefVal
assumes op ∉ normal-unary
assumes op ∉ boolean-unary
assumes op ∉ unary-fixed-32-ops
shows 0 < (ir-resultBits op) ∧ (ir-resultBits op) ≤ 64
proof –
  consider ib ob where op = UnaryNarrow ib ob
    | ib ob where op = UnarySignExtend ib ob
    | ib ob where op = UnaryZeroExtend ib ob
  using IRUnaryOp.exhaust-sel assms(2,3,4) by blast
then show ?thesis
proof (cases)
  case 1
  then show ?thesis
  using assms intval-narrow-ok by force
next

```

```

    case 2
    then show ?thesis
      using assms intval-sign-extend-ok by force
  next
    case 3
    then show ?thesis
      using assms intval-zero-extend-ok by force
  qed
qed

```

**lemma** *eval-widen-narrow-unary-implies-valid-value:*

```

  assumes [m,p] ⊢ expr ↦ val
  assumes result = unary-eval op val
  assumes op: op ∉ normal-unary
  and notbool: op ∉ boolean-unary
  and notfixed: op ∉ unary-fixed-32-ops
  assumes result ≠ UndefVal
  assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
proof -
  obtain b1 v1 where v1: val = IntVal b1 v1
  by (metis Value.exhaust-disc insertCI is-ArrayVal-def is-IntVal-def is-ObjRef-def
    is-ObjStr-def
      unary-obj valid-value.simps(3,11,12) assms(2,4,6,7))
  then have result = unary-eval op (IntVal b1 v1)
    using assms(2) by blast
  then obtain v2 where v2: result = new-int (ir-resultBits op) v2
    using assms unary-eval-new-int by presburger
  then obtain v3 where v3: result = IntVal (ir-resultBits op) v3
    using assms by (cases op; simp; (meson new-int.simps)+)
  then obtain b lo2 hi2 where eval: stamp-expr expr = IntegerStamp b lo2 hi2
    by (metis assms(7) v1 valid-int-gives)
  then have s: (stamp-expr (UnaryExpr op expr)) =
    unrestricted-stamp (IntegerStamp (ir-resultBits op) lo2 hi2)
    using op notbool notfixed by (cases op; auto)
  then have outBits: 0 < (ir-resultBits op) ∧ (ir-resultBits op) ≤ 64
    using assms narrow-widen-output-bits by blast
  then have fst (bit-bounds (ir-resultBits op)) ≤ int-signed-value (ir-resultBits op)
    v3 ∧
    int-signed-value (ir-resultBits op) v3 ≤ snd (bit-bounds (ir-resultBits op))
    using ValueThms.int-signed-value-bounds outBits by blast
  then show ?thesis
    using v2 s by (simp add: v3 outBits)
qed

```

**lemma** *eval-boolean-unary-implies-valid-value:*

```

  assumes [m,p] ⊢ expr ↦ val
  assumes result = unary-eval op val
  assumes op: op ∈ boolean-unary

```



```

assumes notnorm:  $op \notin \text{normal-unary}$ 
assumes result  $\neq \text{UndefVal}$ 
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof –
  obtain b1 where v1: val = ObjRef (b1)
  by (metis singletonD unary-eval.simps(8) intval-is-null.elims assms(2,3,5))
  then have eval: result = unary-eval op (ObjRef (b1))
  using assms(2) by blast
then obtain v2 where v2: result = IntVal 32 v2
by (metis op singleton-iff unary-eval.simps(8) intval-is-null.simps(1) bool-to-val.simps(1,2))
have vBounds: result  $\in \{\text{bool-to-val True}, \text{bool-to-val False}\}$ 
by (metis insertI1 insertI2 intval-is-null.simps(1) op singleton-iff unary-eval.simps(8)
eval)
then have boolstamp: (stamp-expr (UnaryExpr op expr)) = (IntegerStamp 32 0
1)
  using op by (cases op; auto)
then show ?thesis
  using vBounds by (cases result; auto)
qed

```

**lemma** eval-fixed-unary-32-implies-valid-value:

```

assumes [m,p]  $\vdash \text{expr} \mapsto \text{val}$ 
assumes result = unary-eval op val
assumes op:  $op \in \text{unary-fixed-32-ops}$ 
assumes notnorm:  $op \notin \text{normal-unary}$ 
assumes notbool:  $op \notin \text{boolean-unary}$ 
assumes result  $\neq \text{UndefVal}$ 
assumes valid-value val (stamp-expr expr)
shows valid-value result (stamp-expr (UnaryExpr op expr))
proof –
obtain b1 v1 where v1: val = IntVal b1 v1
by (metis Value.exhaust-sel insert-iff intval-bit-count.simps(3,4,5) unary-eval.simps(10)
valid-value.simps(3) assms(2,3,5,6,7))
then obtain v2 where v2: result = new-int 32 v2
  using assms unary-eval-new-int by presburger
then obtain v3 where v3: result = IntVal 32 v3
  using assms by (cases op; simp; (meson new-int.simps)+)
then obtain b lo2 hi2 where eval: stamp-expr expr = IntegerStamp b lo2 hi2
  by (metis assms(7) v1 valid-int-gives)
then have s: (stamp-expr (UnaryExpr op expr)) = unrestricted-stamp (IntegerStamp
32 lo2 hi2)
  using op notbool by (cases op; auto)
then have fst (bit-bounds 32)  $\leq \text{int-signed-value } 32 \text{ } v3 \wedge$ 
   $\text{int-signed-value } 32 \text{ } v3 \leq \text{snd (bit-bounds 32)}$ 
  by (metis ValueThms.int-signed-value-bounds leI not-numeral-le-zero semir-
ing-norm(68,71)
numeral-le-iff)
then show ?thesis

```

```

    using s v2 v3 by force
qed

lemma eval-unary-implies-valid-value:
  assumes [m,p] ⊢ expr ↦ val
  assumes result = unary-eval op val
  assumes result ≠ UndefVal
  assumes valid-value val (stamp-expr expr)
  shows valid-value result (stamp-expr (UnaryExpr op expr))
  proof (cases op ∈ normal-unary)
    case True
    then show ?thesis
      using assms eval-normal-unary-implies-valid-value by blast
  next
    case False
    then show ?thesis
  proof (cases op ∈ boolean-unary)
    case True
    then show ?thesis
      using assms eval-boolean-unary-implies-valid-value by blast
  next
    case False
    then show ?thesis
  proof (cases op ∈ unary-fixed-32-ops)
    case True
    then show ?thesis
      using assms eval-fixed-unary-32-implies-valid-value by auto
  next
    case False
    then show ?thesis
      using assms
      by (meson eval-boolean-unary-implies-valid-value eval-normal-unary-implies-valid-value
        eval-widen-narrow-unary-implies-valid-value unary-ops-distinct(2))
  qed
qed
qed

```

### 9.4.3 Support Lemmas for Binary Operators

```

lemma binary-undef: v1 = UndefVal ∨ v2 = UndefVal ⟹ bin-eval op v1 v2 =
  UndefVal
  by (cases op; auto)

```

```

lemma binary-obj: v1 = ObjRef x ∨ v2 = ObjRef y ⟹ bin-eval op v1 v2 =
  UndefVal
  by (cases op; auto)

```

Some lemmas about the three different output sizes for binary operators.

```

lemma bin-eval-bits-binary-shift-ops:

```

```

assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
assumes result ≠ UndefVal
assumes op ∈ binary-shift-ops
shows ∃ v. result = new-int b1 v
using assms by (cases op; simp; smt (verit, best) new-int.simps)+

lemma bin-eval-bits-fixed-32-ops:
assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
assumes result ≠ UndefVal
assumes op ∈ binary-fixed-32-ops
shows ∃ v. result = new-int 32 v
apply (cases op; simp)
using assms by (metis new-int.simps bin-eval-new-int)+

lemma bin-eval-bits-normal-ops:
assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
assumes result ≠ UndefVal
assumes op ∉ binary-shift-ops
assumes op ∉ binary-fixed-32-ops
shows ∃ v. result = new-int b1 v
using assms apply (cases op; simp)
apply metis+
apply (metis new-int-bin.simps)+
by (metis take-bit-xor take-bit-and take-bit-or)+

lemma bin-eval-input-bits-equal:
assumes result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
assumes result ≠ UndefVal
assumes op ∉ binary-shift-ops
shows b1 = b2
using assms apply (cases op; simp) by (meson new-int-bin.simps)+

lemma bin-eval-implies-valid-value:
assumes [m,p] ⊢ expr1 ↦ val1
assumes [m,p] ⊢ expr2 ↦ val2
assumes result = bin-eval op val1 val2
assumes result ≠ UndefVal
assumes valid-value val1 (stamp-expr expr1)
assumes valid-value val2 (stamp-expr expr2)
shows valid-value result (stamp-expr (BinaryExpr op expr1 expr2))
proof –
obtain b1 v1 where v1: val1 = IntVal b1 v1
by (metis Value.collapse(1) assms(3,4) bin-eval-inputs-are-ints bin-eval-int)
obtain b2 v2 where v2: val2 = IntVal b2 v2
by (metis Value.collapse(1) assms(3,4) bin-eval-inputs-are-ints bin-eval-int)
then obtain lo1 hi1 where s1: stamp-expr expr1 = IntegerStamp b1 lo1 hi1
by (metis assms(5) v1 valid-int-gives)
then obtain lo2 hi2 where s2: stamp-expr expr2 = IntegerStamp b2 lo2 hi2
by (metis assms(6) v2 valid-int-gives)

```

```

then have r: result = bin-eval op (IntVal b1 v1) (IntVal b2 v2)
  using assms(3) v1 v2 by presburger
then obtain bres vtmp where vtmp: result = new-int bres vtmp
  using assms by (meson bin-eval-new-int)
then obtain vres where vres: result = IntVal bres vres
  by force

then have sres: stamp-expr (BinaryExpr op expr1 expr2) =
  unrestricted-stamp (IntegerStamp bres lo1 hi1)
  ∧ 0 < bres ∧ bres ≤ 64
proof (cases op ∈ binary-shift-ops)
  case True
  then show ?thesis
    unfolding stamp-expr.simps
    by (metis Value.inject(1) eval-bits-1-64 new-int.simps r assms(1,4) stamp-binary.simps(1)
      bin-eval-bits-binary-shift-ops s2 s1 v1 vres)
  next
  case False
  then have op ∉ binary-shift-ops
    by blast
  then have beq: b1 = b2
    using v1 v2 assms bin-eval-input-bits-equal by blast
  then show ?thesis
  proof (cases op ∈ binary-fixed-32-ops)
  case True
  then show ?thesis
  unfolding stamp-expr.simps
    by (metis False Value.inject(1) beq bin-eval-new-int le-add-same-cancel1
      new-int.simps s2 s1
      numeral-Bit0 vres zero-le-numeral zero-less-numeral assms(3,4) stamp-binary.simps(1))
  next
  case False
  then show ?thesis
  unfolding s1 s2 stamp-binary.simps stamp-expr.simps
    by (metis beq bin-eval-new-int eval-bits-1-64 intval-bits.simps assms(1,3,4)
      vres v1
      unrestricted-new-int-always-valid unrestricted-stamp.simps(2) valid-int-same-bits)
  qed
qed
then show ?thesis
  using unrestricted-new-int-always-valid vres vtmp by presburger
qed

```

#### 9.4.4 Validity of Stamp Meet and Join Operators

**lemma** *stamp-meet-integer-is-valid-stamp:*

```

assumes valid-stamp stamp1
assumes valid-stamp stamp2
assumes is-IntegerStamp stamp1

```

```

assumes is-IntegerStamp stamp2
shows valid-stamp (meet stamp1 stamp2)
using assms apply (cases stamp1; cases stamp2; auto)
using meet.simps(2) valid-stamp.simps(1,8) is-IntegerStamp-def assms by linarith+

```

```

lemma stamp-meet-is-valid-stamp:
  assumes 1: valid-stamp stamp1
  assumes 2: valid-stamp stamp2
  shows valid-stamp (meet stamp1 stamp2)
  by (cases stamp1; cases stamp2; insert stamp-meet-integer-is-valid-stamp[OF 1 2]; auto)

```

```

lemma stamp-meet-commutes: meet stamp1 stamp2 = meet stamp2 stamp1
  by (cases stamp1; cases stamp2; auto)

```

```

lemma stamp-meet-is-valid-value1:
  assumes valid-value val stamp1
  assumes valid-stamp stamp2
  assumes stamp1 = IntegerStamp b1 lo1 hi1
  assumes stamp2 = IntegerStamp b2 lo2 hi2
  assumes meet stamp1 stamp2 ≠ IllegalStamp
  shows valid-value val (meet stamp1 stamp2)
proof –
  have m: meet stamp1 stamp2 = IntegerStamp b1 (min lo1 lo2) (max hi1 hi2)
    by (metis assms(3,4,5) meet.simps(2))
  obtain ival where val: val = IntVal b1 ival
    using assms valid-int by blast
  then have v: valid-stamp (IntegerStamp b1 lo1 hi1) ∧
    take-bit b1 ival = ival ∧
    lo1 ≤ int-signed-value b1 ival ∧ int-signed-value b1 ival ≤ hi1
    by (metis assms(1,3) valid-value.simps(1))
  then have mm: min lo1 lo2 ≤ int-signed-value b1 ival ∧ int-signed-value b1 ival
    ≤ max hi1 hi2
    by linarith
  then have valid-stamp (IntegerStamp b1 (min lo1 lo2) (max hi1 hi2))
    by (metis meet.simps(2) stamp-meet-is-valid-stamp v assms(2,3,4,5))
  then show ?thesis
    using mm v valid-value.simps val m by presburger
qed

```

and the symmetric lemma follows by the commutativity of meet.

```

lemma stamp-meet-is-valid-value:
  assumes valid-value val stamp2
  assumes valid-stamp stamp1
  assumes stamp1 = IntegerStamp b1 lo1 hi1
  assumes stamp2 = IntegerStamp b2 lo2 hi2
  assumes meet stamp1 stamp2 ≠ IllegalStamp
  shows valid-value val (meet stamp1 stamp2)

```

by (metis stamp-meet-is-valid-value1 stamp-meet-commutes assms)

#### 9.4.5 Validity of conditional expressions

**lemma** conditional-eval-implies-valid-value:

```

assumes [m,p] ⊢ cond ↦ condv
assumes expr = (if val-to-bool condv then expr1 else expr2)
assumes [m,p] ⊢ expr ↦ val
assumes val ≠ UndefVal
assumes valid-value condv (stamp-expr cond)
assumes valid-value val (stamp-expr expr)
assumes compatible (stamp-expr expr1) (stamp-expr expr2)
shows valid-value val (stamp-expr (ConditionalExpr cond expr1 expr2))
proof -
  have def: meet (stamp-expr expr1) (stamp-expr expr2) ≠ IllegalStamp
    using assms apply auto
  by (smt (verit, ccfv-threshold) Stamp.distinct(13,25) compatible.elims(2) meet.simps(1,2))
  then have valid-stamp (meet (stamp-expr expr1) (stamp-expr expr2))
    using assms apply auto
  by (metis compatible-refl compatible.elims(2) stamp-meet-is-valid-stamp valid-stamp.simps(2)
    assms(7))
  then show ?thesis
    using assms apply auto
  by (smt (verit, ccfv-SIG) Stamp.distinct(1) assms(6,7) compatible.elims(2)
    compatible.simps(1)
    def compatible-refl stamp-meet-commutes stamp-meet-is-valid-value1 valid-value.simps(13))
qed

```

#### 9.4.6 Validity of Whole Expression Tree Evaluation

TODO: find a way to encode that conditional expressions must have compatible (and valid) stamps? One approach would be for all the stamp\_expr operators to require that all input stamps are valid.

**definition** wf-stamp :: IRExpr ⇒ bool **where**

wf-stamp e = (∀ m p v. ([m, p] ⊢ e ↦ v) ⟶ valid-value v (stamp-expr e))

**lemma** stamp-under-defn:

```

assumes stamp-under (stamp-expr x) (stamp-expr y)
assumes wf-stamp x ∧ wf-stamp y
assumes ([m, p] ⊢ x ↦ xv) ∧ ([m, p] ⊢ y ↦ yv)
shows val-to-bool (bin-eval BinIntegerLessThan xv yv) ∨
  (bin-eval BinIntegerLessThan xv yv) = UndefVal
proof -
  have yval: valid-value yv (stamp-expr y)
    using assms wf-stamp-def by blast
  obtain b lx hi where xstamp: stamp-expr x = IntegerStamp b lx hi
    by (metis stamp-under.elims(2) assms(1))
  then obtain b' lo hy where ystamp: stamp-expr y = IntegerStamp b' lo hy
    by (meson stamp-under.elims(2) assms(1))

```

```

obtain xvv where xvv: xv = IntVal b xvv
  by (metis assms(2,3) valid-int wf-stamp-def xstamp)
then have xval: valid-value (IntVal b xvv) (stamp-expr x)
  using assms(2,3) wf-stamp-def by blast
obtain yvv where yvv: yv = IntVal b' yvv
  by (metis valid-int ystamp yval)
then have xval: valid-value (IntVal b' yvv) (stamp-expr y)
  using yval by blast
have xunder: int-signed-value b xvv ≤ hi
  by (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xvv)
have yunder: lo ≤ int-signed-value b' yvv
  by (metis ystamp valid-value.simps(1) yval yvv)
have unwrap: ∀ cond. bool-to-val-bin b b cond = bool-to-val cond
  by simp
from xunder yunder have int-signed-value b xvv < int-signed-value b' yvv
  using assms(1) xstamp ystamp by force
then have (intval-less-than xv yv) = IntVal 32 1 ∨ (intval-less-than xv yv) =
 .UndefVal
  by (simp add: yvv xvv)
then show ?thesis
  by force
qed

```

**lemma** *stamp-under-defn-inverse*:

```

assumes stamp-under (stamp-expr y) (stamp-expr x)
assumes wf-stamp x ∧ wf-stamp y
assumes ([m, p] ⊢ x ↦ xv) ∧ ([m, p] ⊢ y ↦ yv)
shows ¬(val-to-bool (bin-eval BinIntegerLessThan xv yv)) ∨ (bin-eval BinIntegerLessThan xv yv) =.UndefVal
proof –
  have yval: valid-value yv (stamp-expr y)
    using assms wf-stamp-def by blast
  obtain b lo hx where xstamp: stamp-expr x = IntegerStamp b lo hx
    by (metis stamp-under.elims(2) assms(1))
  then obtain b' ly hi where ystamp: stamp-expr y = IntegerStamp b' ly hi
    by (meson stamp-under.elims(2) assms(1))
  obtain xvv where xvv: xv = IntVal b xvv
    by (metis assms(2,3) valid-int wf-stamp-def xstamp)
  then have xval: valid-value (IntVal b xvv) (stamp-expr x)
    using assms(2,3) wf-stamp-def by blast
  obtain yvv where yvv: yv = IntVal b' yvv
    by (metis valid-int ystamp yval)
  then have xval: valid-value (IntVal b' yvv) (stamp-expr y)
    using yval by simp
  have yunder: int-signed-value b' yvv ≤ hi
    by (metis ystamp valid-value.simps(1) yval yvv)
  have xover: lo ≤ int-signed-value b xvv
    by (metis assms(2,3) wf-stamp-def xstamp valid-value.simps(1) xvv)
  have unwrap: ∀ cond. bool-to-val-bin b b cond = bool-to-val cond

```

```

    by simp
  from xover yunder have int-signed-value b' yvv < int-signed-value b xvv
    using assms(1) xstamp ystamp by force
  then have (intval-less-than xv yv) = IntVal 32 0 ∨ (intval-less-than xv yv) =
UndefVal
    by (auto simp add: yvv xvv)
  then show ?thesis
    by force
qed

end

```

## 10 Optization DSL

### 10.1 Markup

```

theory Markup
  imports Semantics.IRTreeEval Snippets.Snipping
begin

```

```

datatype 'a Rewrite =
  Transform 'a 'a (- ⟶ - 10) |
  Conditional 'a 'a bool (- ⟶ - when - 11) |
  Sequential 'a Rewrite 'a Rewrite |
  Transitive 'a Rewrite

```

```

datatype 'a ExtraNotation =
  ConditionalNotation 'a 'a 'a (- ? - : - 50) |
  EqualsNotation 'a 'a (- eq -) |
  ConstantNotation 'a (const - 120) |
  TrueNotation (true) |
  FalseNotation (false) |
  ExclusiveOr 'a 'a (- ⊕ -) |
  LogicNegationNotation 'a (!-) |
  ShortCircuitOr 'a 'a (- || -) |
  Remainder 'a 'a (- % -)

```

```

definition word :: ('a::len) word ⇒ 'a word where
  word x = x

```

```

ML-val @{term ⟨x % x⟩}
ML-file ⟨markup.ML⟩

```

#### 10.1.1 Expression Markup

```

ML ⟨
  structure IRExprTranslator : DSL-TRANSLATION =
  struct
    fun markup DSL-Tokens.Add = @{term BinaryExpr} $ @{term BinAdd}

```



```

| markup DSL-Tokens.Sub = @{term BinaryExpr} $ @{term BinSub}
| markup DSL-Tokens.Mul = @{term BinaryExpr} $ @{term BinMul}
| markup DSL-Tokens.Div = @{term BinaryExpr} $ @{term BinDiv}
| markup DSL-Tokens.Rem = @{term BinaryExpr} $ @{term BinMod}
| markup DSL-Tokens.And = @{term BinaryExpr} $ @{term BinAnd}
| markup DSL-Tokens.Or = @{term BinaryExpr} $ @{term BinOr}
| markup DSL-Tokens.Xor = @{term BinaryExpr} $ @{term BinXor}
| markup DSL-Tokens.ShortCircuitOr = @{term BinaryExpr} $ @{term Bin-
ShortCircuitOr}
| markup DSL-Tokens.Abs = @{term UnaryExpr} $ @{term UnaryAbs}
| markup DSL-Tokens.Less = @{term BinaryExpr} $ @{term BinIntegerLessThan}
| markup DSL-Tokens.Equals = @{term BinaryExpr} $ @{term BinIntegerEquals}
| markup DSL-Tokens.Not = @{term UnaryExpr} $ @{term UnaryNot}
| markup DSL-Tokens.Negate = @{term UnaryExpr} $ @{term UnaryNeg}
| markup DSL-Tokens.LogicNegate = @{term UnaryExpr} $ @{term UnaryLog-
icNegation}
| markup DSL-Tokens.LeftShift = @{term BinaryExpr} $ @{term BinLeftShift}
| markup DSL-Tokens.RightShift = @{term BinaryExpr} $ @{term BinRightShift}
| markup DSL-Tokens.UnsignedRightShift = @{term BinaryExpr} $ @{term Bin-
URightShift}
| markup DSL-Tokens.Conditional = @{term ConditionalExpr}
| markup DSL-Tokens.Constant = @{term ConstantExpr}
| markup DSL-Tokens.TrueConstant = @{term ConstantExpr (IntVal 32 1)}
| markup DSL-Tokens.FalseConstant = @{term ConstantExpr (IntVal 32 0)}
end
structure IRExprMarkup = DSL-Markup(IRExprTranslator);
>

```

*ir expression translation*

```

syntax -expandExpr :: term ⇒ term (exp[-])
parse-translation < [( @{syntax-const -expandExpr} , IREx-
prMarkup.markup-expr []) ] >

```

*ir expression example*

```

value exp[(e1 < e2) ? e1 : e2]

ConditionalExpr (BinaryExpr BinIntegerLessThan (e1::IRExpr)
(e2::IRExpr)) e1 e2

```

### 10.1.2 Value Markup

```

ML <
structure IntValTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term intval-add}
| markup DSL-Tokens.Sub = @{term intval-sub}

```

```

markup DSL-Tokens.Mul = @{term intval-mul}
markup DSL-Tokens.Div = @{term intval-div}
markup DSL-Tokens.Rem = @{term intval-mod}
markup DSL-Tokens.And = @{term intval-and}
markup DSL-Tokens.Or = @{term intval-or}
markup DSL-Tokens.ShortCircuitOr = @{term intval-short-circuit-or}
markup DSL-Tokens.Xor = @{term intval-xor}
markup DSL-Tokens.Abs = @{term intval-abs}
markup DSL-Tokens.Less = @{term intval-less-than}
markup DSL-Tokens.Equals = @{term intval-equals}
markup DSL-Tokens.Not = @{term intval-not}
markup DSL-Tokens.Negate = @{term intval-negate}
markup DSL-Tokens.LogicNegate = @{term intval-logic-negation}
markup DSL-Tokens.LeftShift = @{term intval-left-shift}
markup DSL-Tokens.RightShift = @{term intval-right-shift}
markup DSL-Tokens.UnsignedRightShift = @{term intval-uright-shift}
markup DSL-Tokens.Conditional = @{term intval-conditional}
markup DSL-Tokens.Constant = @{term IntVal 32}
markup DSL-Tokens.TrueConstant = @{term IntVal 32 1}
markup DSL-Tokens.FalseConstant = @{term IntVal 32 0}
end
structure IntValMarkup = DSL-Markup(IntValTranslator);
>

```

*value expression translation*

```

syntax -expandIntVal :: term ⇒ term (val[-])
parse-translation < [( @ {syntax-const -expandIntVal} , IntVal-
Markup.markup-expr []) ] >

```

*value expression example*

```

value val[(e1 < e2) ? e1 : e2]

intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2

```

### 10.1.3 Word Markup

**ML** <

```

structure WordTranslator : DSL-TRANSLATION =
struct
fun markup DSL-Tokens.Add = @{term plus}
| markup DSL-Tokens.Sub = @{term minus}
| markup DSL-Tokens.Mul = @{term times}
| markup DSL-Tokens.Div = @{term signed-divide}
| markup DSL-Tokens.Rem = @{term signed-modulo}
| markup DSL-Tokens.And = @{term Bit-Operations.semiring-bit-operations-class.and}
| markup DSL-Tokens.Or = @{term or}
| markup DSL-Tokens.Xor = @{term xor}

```

```

markup DSL-Tokens.Abs = @{term abs}
markup DSL-Tokens.Less = @{term less}
markup DSL-Tokens.Equals = @{term HOL.eq}
markup DSL-Tokens.Not = @{term not}
markup DSL-Tokens.Negate = @{term uminus}
markup DSL-Tokens.LogicNegate = @{term logic-negate}
markup DSL-Tokens.LeftShift = @{term shiftl}
markup DSL-Tokens.RightShift = @{term signed-shiftr}
markup DSL-Tokens.UnsignedRightShift = @{term shiftr}
markup DSL-Tokens.Constant = @{term word}
markup DSL-Tokens.TrueConstant = @{term 1}
markup DSL-Tokens.FalseConstant = @{term 0}
end
structure WordMarkup = DSL-Markup(WordTranslator);
>

```

*word expression translation*

```

syntax -expandWord :: term ⇒ term (bin[-])
parse-translation < [( @{syntax-const -expandWord} , Word-
Markup.markup-expr []) ] >

```

*word expression example*

```

value bin[x & y | z]

intval-conditional (intval-less-than (e1::Value) (e2::Value)) e1 e2

```

```

value bin[¬x]
value val[¬x]
value exp[¬x]

```

```

value bin[!x]
value val[!x]
value exp[!x]

```

```

value bin[¬x]
value val[¬x]
value exp[¬x]

```

```

value bin[~x]
value val[~x]
value exp[~x]

```

```

value ~x

```

```

end

```

## 10.2 Optimization Phases

```
theory Phase
  imports Main
begin

ML-file map.ML
ML-file phase.ML

end
```

## 10.3 Canonicalization DSL

```
theory Canonicalization
  imports
    Markup
    Phase
    HOL-Eisbach.Eisbach
  keywords
    phase :: thy-decl and
    terminating :: quasi-command and
    print-phases :: diag and
    export-phases :: thy-decl and
    optimization :: thy-goal-defn
begin

print-methods

ML <
datatype 'a Rewrite =
  Transform of 'a * 'a |
  Conditional of 'a * 'a * term |
  Sequential of 'a Rewrite * 'a Rewrite |
  Transitive of 'a Rewrite

type rewrite = {
  name: binding,
  rewrite: term Rewrite,
  proofs: thm list,
  code: thm list,
  source: term
}

structure RewriteRule : Rule =
struct
type T = rewrite;

(*
fun pretty-rewrite ctxt (Transform (from, to)) =
  Pretty.block [
```

```

      Syntax.pretty-term ctxt from,
      Pretty.str ↦ ,
      Syntax.pretty-term ctxt to
    ]
  | pretty-rewrite ctxt (Conditional (from, to, cond)) =
    Pretty.block [
      Syntax.pretty-term ctxt from,
      Pretty.str ↦ ,
      Syntax.pretty-term ctxt to,
      Pretty.str when ,
      Syntax.pretty-term ctxt cond
    ]
  | pretty-rewrite - - = Pretty.str not implemented*)

fun pretty-thm ctxt thm =
  (Proof-Context.pretty-fact ctxt (, [thm]))

fun pretty ctxt obligations t =
  let
    val is-skipped = Thm-Deps.has-skip-proof (#proofs t);

    val warning = (if is-skipped
      then [Pretty.str (proof skipped), Pretty.brk 0]
      else []);

    val obligations = (if obligations
      then [Pretty.big-list
        obligations:
        (map (pretty-thm ctxt) (#proofs t)),
        Pretty.brk 0]
      else []);

    fun pretty-bind binding =
      Pretty.markup
        (Position.markup (Binding.pos-of binding) Markup.position)
        [Pretty.str (Binding.name-of binding)];

    in
      Pretty.block ([
        pretty-bind (#name t), Pretty.str : ,
        Syntax.pretty-term ctxt (#source t), Pretty.fbrk
      ] @ obligations @ warning)
    end
  end

structure RewritePhase = DSL-Phase(RewriteRule);

val - =
  Outer-Syntax.command command-keyword⟨phase⟩ enter an optimization phase

```

```

(Parse.binding --| Parse.$$$ terminating -- Parse.const --| Parse.begin
 >> (Toplevel.begin-main-target true o RewritePhase.setup));

fun print-phases print-obligations ctxt =
  let
    val thy = Proof-Context.theory-of ctxt;
    fun print phase = RewritePhase.pretty print-obligations phase ctxt
  in
    map print (RewritePhase.phases thy)
  end

fun print-optimizations print-obligations thy =
  print-phases print-obligations thy |> Pretty.writeln-chunks

val - =
  Outer-Syntax.command command-keyword⟨print-phases⟩
  print debug information for optimizations
  (Parse.opt-bang >>
   (fn b => Toplevel.keep ((print-optimizations b) o Toplevel.context-of)));

fun export-phases thy name =
  let
    val state = Toplevel.theory-tolevel thy;
    val ctxt = Toplevel.context-of state;
    val content = Pretty.string-of (Pretty.chunks (print-phases false ctxt));
    val cleaned = YXML.content-of content;

    val filename = Path.explode (name^".rules");
    val directory = Path.explode optimizations;
    val path = Path.binding (
      Path.append directory filename,
      Position.none);
    val thy' = thy |> Generated-Files.add-files (path, (Bytes.string content));

    val - = Export.export thy' path [YXML.parse cleaned];

    val - = writeln (Export.message thy' (Path.basic optimizations));
  in
    thy'
  end

val - =
  Outer-Syntax.command command-keyword⟨export-phases⟩
  export information about encoded optimizations
  (Parse.path >>
   (fn name => Toplevel.theory (fn state => export-phases state name)))
>

```

ML-file *rewrites.ML*

### 10.3.1 Semantic Preservation Obligation

```
fun rewrite-preservation :: IRExp Rewrite  $\Rightarrow$  bool where
  rewrite-preservation (Transform x y) = (y  $\leq$  x) |
  rewrite-preservation (Conditional x y cond) = (cond  $\longrightarrow$  (y  $\leq$  x)) |
  rewrite-preservation (Sequential x y) = (rewrite-preservation x  $\wedge$  rewrite-preservation
y) |
  rewrite-preservation (Transitive x) = rewrite-preservation x
```

### 10.3.2 Termination Obligation

```
fun rewrite-termination :: IRExp Rewrite  $\Rightarrow$  (IRExp  $\Rightarrow$  nat)  $\Rightarrow$  bool where
  rewrite-termination (Transform x y) trm = (trm x > trm y) |
  rewrite-termination (Conditional x y cond) trm = (cond  $\longrightarrow$  (trm x > trm y)) |
  rewrite-termination (Sequential x y) trm = (rewrite-termination x trm  $\wedge$  rewrite-termination
y trm) |
  rewrite-termination (Transitive x) trm = rewrite-termination x trm
```

```
fun intval :: Value Rewrite  $\Rightarrow$  bool where
  intval (Transform x y) = (x  $\neq$  UndefVal  $\wedge$  y  $\neq$  UndefVal  $\longrightarrow$  x = y) |
  intval (Conditional x y cond) = (cond  $\longrightarrow$  (x = y)) |
  intval (Sequential x y) = (intval x  $\wedge$  intval y) |
  intval (Transitive x) = intval x
```

### 10.3.3 Standard Termination Measure

```
fun size :: IRExp  $\Rightarrow$  nat where
  unary-size:
  size (UnaryExpr op x) = (size x) + 2 |

  bin-const-size:
  size (BinaryExpr op x (ConstantExpr cy)) = (size x) + 2 |
  bin-size:
  size (BinaryExpr op x y) = (size x) + (size y) + 2 |
  cond-size:
  size (ConditionalExpr c t f) = (size c) + (size t) + (size f) + 2 |
  const-size:
  size (ConstantExpr c) = 1 |
  param-size:
  size (ParameterExpr ind s) = 2 |
  leaf-size:
  size (LeafExpr nid s) = 2 |
  size (ConstantVar c) = 2 |
  size (VariableExpr x s) = 2
```

### 10.3.4 Automated Tactics

**named-theorems** *size-simps size simplification rules*

```

method unfold-optimization =
  (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   unfold intval.simps,
   rule conjE, simp, simp del: le-expr-def, force?)
| (unfold rewrite-preservation.simps, unfold rewrite-termination.simps,
   rule conjE, simp, simp del: le-expr-def, force?)

```

```

method unfold-size =
  (((unfold size.simps, simp add: size-simps del: le-expr-def)?
   ; (simp add: size-simps del: le-expr-def)?
   ; (auto simp: size-simps)?
   ; (unfold size.simps)?[1])

```

## print-methods

```

ML <
  structure System : RewriteSystem =
    struct
      val preservation = @{const rewrite-preservation};
      val termination = @{const rewrite-termination};
      val intval = @{const intval};
    end

  structure DSL = DSL-Rewrites(System);

  val - =
    Outer-Syntax.local-theory-to-proof command-keyword <optimization>
      define an optimization and open proof obligation
      (Parse-Spec.thm-name : -- Parse.term
       >> DSL.rewrite-cmd);
  >

end

```

## 11 Canonicalization Optimizations

```

theory Common
  imports
    OptimizationDSL.Canonicalization
    Semantics.IRTreeEvalThms
  begin

  lemma size-pos[size-simps]: 0 < size y
    apply (induction y; auto?)
    subgoal for op
      apply (cases op)
      by (smt (z3) gr0I one-neq-zero pos2 size.elims trans-less-add2)+

```



**done**

**lemma** *size-non-add[size-simps]*:  $\text{size } (\text{BinaryExpr op } a \ b) = \text{size } a + \text{size } b + 2$   
 $\longleftrightarrow \neg(\text{is-ConstantExpr } b)$   
**by** (*induction b; induction op; auto simp: is-ConstantExpr-def*)

**lemma** *size-non-const[size-simps]*:  
 $\neg \text{is-ConstantExpr } y \implies 1 < \text{size } y$   
**using** *size-pos* **apply** (*induction y; auto*)  
**by** (*metis Suc-lessI add-is-1 is-ConstantExpr-def le-less linorder-not-le n-not-Suc-n numeral-2-eq-2 pos2 size.simps(2) size-non-add*)

**lemma** *size-binary-const[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } a \ b) = \text{size } a + 2 \longleftrightarrow (\text{is-ConstantExpr } b)$   
**by** (*induction b; auto simp: is-ConstantExpr-def size-pos*)

**lemma** *size-flip-binary[size-simps]*:  
 $\neg(\text{is-ConstantExpr } y) \longrightarrow \text{size } (\text{BinaryExpr op } (\text{ConstantExpr } x) \ y) > \text{size } (\text{BinaryExpr op } y \ (\text{ConstantExpr } x))$   
**by** (*metis add-Suc not-less-eq order-less-asm plus-1-eq-Suc size.simps(2,11) size-non-add*)

**lemma** *size-binary-lhs-a[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } (\text{BinaryExpr op' } a \ b) \ c) > \text{size } a$   
**by** (*metis add-lessD1 less-add-same-cancel1 pos2 size-binary-const size-non-add*)

**lemma** *size-binary-lhs-b[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } (\text{BinaryExpr op' } a \ b) \ c) > \text{size } b$   
**by** (*metis IRExp.disc(42) One-nat-def add.left-commute add.right-neutral is-ConstantExpr-def less-add-Suc2 numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-binary-const size-non-add size-non-const trans-less-add1*)

**lemma** *size-binary-lhs-c[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } (\text{BinaryExpr op' } a \ b) \ c) > \text{size } c$   
**by** (*metis IRExp.disc(42) add.left-commute add.right-neutral is-ConstantExpr-def less-Suc-eq numeral-2-eq-2 plus-1-eq-Suc size.simps(11) size-non-add size-non-const trans-less-add2*)

**lemma** *size-binary-rhs-a[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } c \ (\text{BinaryExpr op' } a \ b)) > \text{size } a$   
**apply** *auto*  
**by** (*metis trans-less-add2 less-Suc-eq less-add-same-cancel1 linorder-neqE-nat not-add-less1 pos2 order-less-trans size-binary-const size-non-add*)

**lemma** *size-binary-rhs-b[size-simps]*:  
 $\text{size } (\text{BinaryExpr op } c \ (\text{BinaryExpr op' } a \ b)) > \text{size } b$   
**by** (*metis add.left-commute add.right-neutral is-ConstantExpr-def lessI numeral-2-eq-2 plus-1-eq-Suc size.simps(4,11) size-non-add trans-less-add2*)

```

lemma size-binary-rhs-c[size-simps]:
  size (BinaryExpr op c (BinaryExpr op' a b)) > size c
by simp

lemma size-binary-lhs[size-simps]:
  size (BinaryExpr op x y) > size x
by (metis One-nat-def Suc-eq-plus1 add-Suc-right less-add-Suc1 numeral-2-eq-2
size-binary-const size-non-add)

lemma size-binary-rhs[size-simps]:
  size (BinaryExpr op x y) > size y
by (metis IRExpr.disc(42) add-strict-increasing is-ConstantExpr-def linorder-not-le
not-add-less1 size.simps(11) size-non-add size-non-const size-pos)

lemmas arith[size-simps] = Suc-leI add-strict-increasing order-less-trans trans-less-add2

definition well-formed-equal :: Value  $\Rightarrow$  Value  $\Rightarrow$  bool
  (infix  $\approx$  50) where
    well-formed-equal  $v_1$   $v_2 = (v_1 \neq \text{UndefVal} \longrightarrow v_1 = v_2)$ 

lemma well-formed-equal-defn [simp]:
  well-formed-equal  $v_1$   $v_2 = (v_1 \neq \text{UndefVal} \longrightarrow v_1 = v_2)$ 
unfolding well-formed-equal-def by simp

end

```

## 11.1 AbsNode Phase

```

theory AbsPhase
  imports
    Common Proofs.StampEvalThms
begin

phase AbsNode
  terminating size
begin

```

Note:

We can't use ( $<s$ ) for reasoning about *intval-less-than*. ( $<s$ ) will always treat the  $64^{th}$  bit as the sign flag while *intval-less-than* uses the  $b^{th}$  bit depending on the size of the word.

```

value val[new-int 32 0 < new-int 32 4294967286] —  $0 < -10 = \text{False}$ 
value ( $0::\text{int}64$ )  $<s$  4294967286 —  $0 < 4294967286 = \text{True}$ 

```

```

lemma signed-equiv:
  assumes  $b > 0 \wedge b \leq 64$ 

```

**shows** *val-to-bool* (*val*[(*new-int* *b* *v* < *new-int* *b* *v'*)] = (*int-signed-value* *b* *v* < *int-signed-value* *b* *v'*))  
**using** *assms*  
**by** (*metis* (*no-types*, *lifting*) *ValueThms.signed-take-take-bit* *bool-to-val.elims* *bool-to-val-bin.elims* *int-signed-value.simps* *intval-less-than.simps*(1) *new-int.simps* *one-neq-zero* *val-to-bool.simps*(1))

**lemma** *val-abs-pos*:  
**assumes** *val-to-bool*(*val*[(*new-int* *b* 0) < (*new-int* *b* *v*)])  
**shows** *intval-abs* (*new-int* *b* *v*) = (*new-int* *b* *v*)  
**using** *assms* **by** *force*

**lemma** *val-abs-neg*:  
**assumes** *val-to-bool*(*val*[(*new-int* *b* *v*) < (*new-int* *b* 0)])  
**shows** *intval-abs* (*new-int* *b* *v*) = *intval-negate* (*new-int* *b* *v*)  
**using** *assms* **by** *force*

**lemma** *val-bool-unwrap*:  
*val-to-bool* (*bool-to-val* *v*) = *v*  
**by** (*metis* *bool-to-val.elims* *one-neq-zero* *val-to-bool.simps*(1))

**lemma** *take-bit-64*:  
**assumes**  $0 < b \wedge b \leq 64$   
**assumes** *take-bit* *b* *v* = *v*  
**shows** *take-bit* 64 *v* = *take-bit* *b* *v*  
**using** *assms*  
**by** (*metis* *min-def* *nle-le* *take-bit-take-bit*)

A special value exists for the maximum negative integer as its negation is itself. We can define the value as *set-bit* ((*b::nat*) - (*1::nat*)) (*0::64 word*) for any bit-width, *b*.

**value** (*set-bit* 1 0)::2 word — 2  
**value** -(*set-bit* 1 0)::2 word — 2  
**value** (*set-bit* 31 0)::32 word — 2147483648  
**value** -(*set-bit* 31 0)::32 word — 2147483648

**lemma** *negative-def*:  
**fixes** *v* :: 'a::len word  
**assumes**  $v <_s 0$   
**shows** *bit* *v* (*LENGTH*('a) - 1)  
**using** *assms*  
**by** (*simp* *add*: *bit-last-iff* *word-sless-alt*)

**lemma** *positive-def*:  
**fixes** *v* :: 'a::len word  
**assumes**  $0 <_s v$   
**shows**  $\neg(\text{bit } v \text{ (LENGTH('a) - 1)})$   
**using** *assms*

by (simp add: bit-last-iff word-sless-alt)

**lemma** *negative-lower-bound*:

fixes  $v :: 'a::len\ word$   
 assumes  $(2^\wedge(LLENGTH('a) - 1)) <_s v$   
 assumes  $v <_s 0$   
 shows  $0 <_s (-v)$   
 using *assms*  
 by (smt (verit) signed-0 signed-take-bit-int-less-self-iff sint-ge sint-word-ariths(4) word-sless-alt)

**lemma** *min-int*:

fixes  $x :: 'a::len\ word$   
 assumes  $x <_s 0$   
 assumes  $x \neq (2^\wedge(LLENGTH('a) - 1))$   
 shows  $2^\wedge(LLENGTH('a) - 1) <_s x$   
 using *assms* sorry

**lemma** *negate-min-int*:

fixes  $v :: 'a::len\ word$   
 assumes  $v = (2^\wedge(LLENGTH('a) - 1))$   
 shows  $v = (-v)$   
 using *assms*  
 by (metis One-nat-def add.inverse-neutral double-eq-zero-iff mult-minus-right verit-minus-simplify(4))

**fun** *abs* ::  $'a::len\ word \Rightarrow 'a\ word$  **where**

$abs\ x = (if\ x <_s 0\ then\ (-x)\ else\ x)$

**lemma**

$abs(abs(x)) = abs(x)$   
 for  $x :: 'a::len\ word$   
**proof** (cases  $0 \leq_s x$ )  
 case *True*  
 then show ?thesis  
 by force  
**next**  
 case *neg*: *False*  
 then show ?thesis  
**proof** (cases  $x = (2^\wedge LLENGTH('a) - 1)$ )  
 case *True*  
 then show ?thesis  
 using *negate-min-int*  
 by (simp add: word-sless-alt)  
**next**

```

    case False
    then show ?thesis using min-int negative-lower-bound
    using negate-min-int by force
  qed
qed

```

We need to do the same proof at the value level.

```

lemma invert-intval:
  assumes int-signed-value b v < 0
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v ≠ (2^(b - 1))
  shows 0 < int-signed-value b (-v)
  using assms apply simp sorry

```

```

lemma negate-max-negative:
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v = (2^(b - 1))
  shows new-int b v = intval-negate (new-int b v)
  using assms apply simp using negate-min-int sorry

```

```

lemma val-abs-always-pos:
  assumes b > 0 ∧ b ≤ 64
  assumes take-bit b v = v
  assumes v ≠ (2^(b - 1))
  assumes intval-abs (new-int b v) = (new-int b v')
  shows val-to-bool (val[(new-int b 0) < (new-int b v')]) ∨ val-to-bool (val[(new-int
b 0) eq (new-int b v')])
proof (cases v = 0)
  case True
  then have isZero: intval-abs (new-int b 0) = new-int b 0
    by auto
  then have IntVal b 0 = new-int b v'
    using True assms by auto
  then have val-to-bool (val[(new-int b 0) eq (new-int b v')])
    by simp
  then show ?thesis by simp
next
  case neq0: False
  have zero: int-signed-value b 0 = 0
    by simp
  then show ?thesis
proof (cases int-signed-value b v > 0)
  case True
  then have val-to-bool(val[(new-int b 0) < (new-int b v)])
    using zero apply simp
  by (metis One-nat-def ValueThms.signed-take-bit assms(1) val-bool-unwrap)
  then have val-to-bool (val[new-int b 0 < new-int b v'])

```

```

    by (metis assms(4) val-abs-pos)
  then show ?thesis
    by blast
next
case neg: False
then have val-to-bool (val[new-int b 0 < new-int b v])
proof -
  have int-signed-value b v ≤ 0
    using assms neg neq0 by simp
  then show ?thesis
  proof (cases int-signed-value b v = 0)
    case True
    then have v = 0
      by (metis One-nat-def Suc-pred assms(1) assms(2) dual-order.refl
        int-signed-value.simps signed-eq-0-iff take-bit-of-0 take-bit-signed-take-bit)
    then show ?thesis
      using neq0 by simp
  next
  case False
  then have int-signed-value b v < 0
    using ⟨int-signed-value (b::nat) (v::64 word) ⊆ (0::int)⟩ by linarith
  then have new-int b v' = new-int b (-v)
    using assms using intval-abs.elims
    by simp
  then have 0 < int-signed-value b (-v)
    using assms(3) invert-intval
    using ⟨int-signed-value (b::nat) (v::64 word) < (0::int)⟩ assms(1) assms(2)
  by blast
  then show ?thesis
    using ⟨new-int (b::nat) (v'::64 word) = new-int b (- (v::64 word))⟩ assms(1)
    signed-eqiv zero by presburger
  qed
  qed
  then show ?thesis
    by simp
  qed
qed

lemma intval-abs-elim:
  assumes intval-abs x ≠ UndefVal
  shows ∃ t v . x = IntVal t v ∧
    intval-abs x = new-int t (if int-signed-value t v < 0 then - v else v)
  by (meson intval-abs.elims assms)

lemma wf-abs-new-int:
  assumes intval-abs (IntVal t v) ≠ UndefVal
  shows intval-abs (IntVal t v) = new-int t v ∨ intval-abs (IntVal t v) = new-int t
    (-v)
  by simp

```

```

lemma mono-undef-abs:
  assumes intval-abs (intval-abs x)  $\neq$  UndefVal
  shows intval-abs x  $\neq$  UndefVal
  using assms by force

lemma val-abs-idem:
  assumes valid-value x (IntegerStamp b l h)
  assumes val[abs(abs(x))]  $\neq$  UndefVal
  shows val[abs(abs(x))] = val[abs x]
proof –
  obtain b v where in-def: x = IntVal b v
  using assms intval-abs-elim mono-undef-abs by blast
  then have bInRange: b > 0  $\wedge$  b  $\leq$  64
  using assms(1)
  by (metis valid-stamp.simps(1) valid-value.simps(1))
  then show ?thesis
  proof (cases int-signed-value b v < 0)
    case neg: True
    then show ?thesis
    proof (cases v = (2b – 1))
      case min: True
      then show ?thesis
      by (smt (z3) assms(1) bInRange in-def intval-abs.simps(1) intval-negate.simps(1)
        negate-max-negative new-int.simps valid-value.simps(1))
    next
    case notMin: False
    then have nested: (intval-abs x) = new-int b (–v)
    using neg val-abs-neg in-def by simp
    also have int-signed-value b (–v) > 0
    using neg notMin invert-intval bInRange
    by (metis assms(1) in-def valid-value.simps(1))
    then have (intval-abs (new-int b (–v))) = new-int b (–v)
    by (smt (verit, best) ValueThms.signed-take-take-bit bInRange int-signed-value.simps
      intval-abs.simps(1) new-int.simps new-int-unused-bits-zero)
    then show ?thesis
    using nested by presburger
  qed
next
  case False
  then show ?thesis
  by (metis (mono-tags, lifting) assms(1) in-def intval-abs.simps(1) new-int.simps
    valid-value.simps(1))
  qed
qed

```

**Optimisations** **end**

**end**

## 11.2 AddNode Phase

**theory** *AddPhase*

**imports**

*Common*

**begin**

**phase** *AddNode*

**terminating** *size*

**begin**

**lemma** *binadd-commute*:

**assumes** *bin-eval BinAdd x y  $\neq$ .UndefVal*

**shows** *bin-eval BinAdd x y = bin-eval BinAdd y x*

**by** (*simp add: intval-add-sym*)

**optimization** *AddShiftConstantRight*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  *when*  
 $\neg(\text{is-ConstantExpr } y)$

**apply** (*metis add-2-eq-Suc' less-Suc-eq plus-1-eq-Suc size.simps(11) size-non-add*)

**using** *le-expr-def binadd-commute* **by** *blast*

**optimization** *AddShiftConstantRight2*:  $((\text{const } v) + y) \mapsto y + (\text{const } v)$  *when*  
 $\neg(\text{is-ConstantExpr } y)$

**using** *AddShiftConstantRight* **by** *auto*

**lemma** *is-neutral-0 [simp]*:

**assumes** *val[(IntVal b x) + (IntVal b 0)]  $\neq$ .UndefVal*

**shows** *val[(IntVal b x) + (IntVal b 0)] = (new-int b x)*

**by** *simp*

**lemma** *AddNeutral-Exp*:

**shows** *exp[(e + (const (IntVal 32 0)))]  $\geq$  exp[e]*

**apply** *auto*

**subgoal** **premises** *p* **for** *m p x*

**proof** –

**obtain** *ev* **where** *ev: [m,p]  $\vdash$  e  $\mapsto$  ev*

**using** *p* **by** *auto*

**then obtain** *b evx* **where** *evx: ev = IntVal b evx*

**by** (*metis evalDet evaltree-not-undef intval-add.simps(3,4,5) intval-logic-negation.cases*  
*p(1,2)*)

**then have** *additionNotUndef: val[ev + (IntVal 32 0)]  $\neq$ .UndefVal*

**using** *p evalDet ev* **by** *blast*

**then have** *sameWidth: b = 32*



```

    by (metis evx additionNotUndef intval-add.simps(1))
  then have unfolded: val[ev + (IntVal 32 0)] = IntVal 32 (take-bit 32 (evx+0))
    by (simp add: evx)
  then have eqE: IntVal 32 (take-bit 32 (evx+0)) = IntVal 32 (take-bit 32 (evx))
    by auto
  then show ?thesis
    by (metis ev evalDet eval-unused-bits-zero evx p(1) sameWidth unfolded)
qed
done

optimization AddNeutral: (e + (const (IntVal 32 0)))  $\mapsto$  e
  using AddNeutral-Exp by presburger

ML-val  $\langle @\{term \langle x = y \rangle\} \rangle$ 

lemma NeutralLeftSubVal:
  assumes e1 = new-int b ival
  shows val[(e1 - e2) + e2]  $\approx$  e1
  using assms by (cases e1; cases e2; auto)

lemma RedundantSubAdd-Exp:
  shows exp[((a - b) + b)]  $\geq$  a
  apply auto
  subgoal premises p for m p y xa ya
  proof -
    obtain bv where bv: [m,p]  $\vdash$  b  $\mapsto$  bv
      using p(1) by auto
    obtain av where av: [m,p]  $\vdash$  a  $\mapsto$  av
      using p(3) by auto
    then have subNotUndef: val[av - bv]  $\neq$  UndefVal
      by (metis bv evalDet p(3,4,5))
    then obtain bb bvv where bInt: bv = IntVal bb bvv
      by (metis bv evaltree-not-undef intval-logic-negation.cases intval-sub.simps(7,8,9))
    then obtain ba avv where aInt: av = IntVal ba avv
      by (metis av evaltree-not-undef intval-logic-negation.cases intval-sub.simps(3,4,5)
        subNotUndef)
    then have widthSame: bb=ba
      by (metis av bInt bv evalDet intval-sub.simps(1) new-int-bin.simps p(3,4,5))
    then have valEval: val[((av-bv)+bv)] = val[av]
      using aInt av eval-unused-bits-zero widthSame bInt by simp
    then show ?thesis
      by (metis av bv evalDet p(1,3,4))
  qed
done

optimization RedundantSubAdd: ((e1 - e2) + e2)  $\mapsto$  e1
  using RedundantSubAdd-Exp by blast

```

**lemma** *allE2*:  $(\forall x y. P x y) \implies (P a b \implies R) \implies R$   
**by** *simp*

**lemma** *just-goal2*:  
**assumes**  $(\forall a b. (val[(a - b) + b] \neq \text{UndefVal} \wedge a \neq \text{UndefVal} \longrightarrow val[(a - b) + b] = a))$   
**shows**  $(exp[(e_1 - e_2) + e_2] \geq e_1)$   
**unfolding** *le-expr-def unfold-binary bin-eval.simps* **by** (*metis assms evalDet eval-tree-not-undef*)

**optimization** *RedundantSubAdd2*:  $e_2 + (e_1 - e_2) \mapsto e_1$   
**using** *size-binary-rhs-a* **apply** *simp* **apply** *auto*  
**by** (*smt (z3) NeutralLeftSubVal evalDet eval-unused-bits-zero intval-add-sym int-val-sub.elims new-int.simps well-formed-equal-defn*)

**lemma** *AddToSubHelperLowLevel*:  
**shows**  $val[-e + y] = val[y - e]$  (**is**  $?x = ?y$ )  
**by** (*induction y; induction e; auto*)

**print-phases**

**lemma** *val-redundant-add-sub*:  
**assumes**  $a = \text{new-int } bb \text{ ival}$   
**assumes**  $val[b + a] \neq \text{UndefVal}$   
**shows**  $val[(b + a) - b] = a$   
**using** *assms* **apply** (*cases a; cases b; auto*) **by** *presburger*

**lemma** *val-add-right-negate-to-sub*:  
**assumes**  $val[x + e] \neq \text{UndefVal}$   
**shows**  $val[x + (-e)] = val[x - e]$   
**by** (*cases x; cases e; auto simp: assms*)

**lemma** *exp-add-left-negate-to-sub*:  
 $exp[-e + y] \geq exp[y - e]$   
**by** (*cases e; cases y; auto simp: AddToSubHelperLowLevel*)

**lemma** *RedundantAddSub-Exp*:  
**shows**  $exp[(b + a) - b] \geq a$

```

apply auto
  subgoal premises p for m p y xa ya
proof –
  obtain bv where bv: [m,p] ⊢ b ↦ bv
    using p(1) by auto
  obtain av where av: [m,p] ⊢ a ↦ av
    using p(4) by auto
  then have addNotUndef: val[av + bv] ≠ UndefVal
    by (metis bv evalDet intval-add-sym intval-sub.simps(2) p(2,3,4))
  then obtain bb bvv where bInt: bv = IntVal bb bvv
  by (metis bv evalDet evaltree-not-undef intval-add.simps(3,5) intval-logic-negation.cases
    intval-sub.simps(8) p(1,2,3,5))
  then obtain ba avv where aInt: av = IntVal ba avv
    by (metis addNotUndef intval-add.simps(2,3,4,5) intval-logic-negation.cases)
  then have widthSame: bb=ba
    by (metis addNotUndef bInt intval-add.simps(1))
  then have valEval: val[((bv+av)-bv)] = val[av]
    using aInt av eval-unused-bits-zero widthSame bInt by simp
  then show ?thesis
    by (metis av bv evalDet p(1,3,4))
qed
done

```

Optimisations

```

optimization RedundantAddSub: (b + a) - b ↦ a
  using RedundantAddSub-Exp by blast

```

```

optimization AddRightNegateToSub: x + -e ↦ x - e
  apply (metis Nat.add-0-right add-2-eq-Suc' add-less-mono1 add-mono-thms-linordered-field(2)
    less-SucI not-less-less-Suc-eq size-binary-const size-non-add size-pos)
  using AddToSubHelperLowLevel intval-add-sym by auto

```

```

optimization AddLeftNegateToSub: -e + y ↦ y - e
  apply (smt (verit, best) One-nat-def add.commute add-Suc-right is-ConstantExpr-def
    less-add-Suc2
    numeral-2-eq-2 plus-1-eq-Suc size.simps(1) size.simps(11) size-binary-const
    size-non-add)
  using exp-add-left-negate-to-sub by simp

```

**end**

**end**

### 11.3 AndNode Phase

```

theory AndPhase
  imports
    Common
    Proofs.StampEvalThms
begin

context stamp-mask
begin

lemma AndCommute-Val:
  assumes  $\text{val}[x \ \& \ y] \neq \text{UndefVal}$ 
  shows  $\text{val}[x \ \& \ y] = \text{val}[y \ \& \ x]$ 
  using assms apply (cases x; cases y; auto) by (simp add: and.commute)

lemma AndCommute-Exp:
  shows  $\text{exp}[x \ \& \ y] \geq \text{exp}[y \ \& \ x]$ 
  using AndCommute-Val unfold-binary by auto

lemma AndRightFallthrough:  $((\text{and} (\downarrow x)) (\uparrow y)) = 0) \longrightarrow \text{exp}[x \ \& \ y] \geq \text{exp}[y]$ 
  apply simp apply (rule impI; (rule allI)+; rule impI)
  subgoal premises p for m p v
  proof –
    obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
      using p(2) by blast
    obtain yv where yv:  $[m, p] \vdash y \mapsto yv$ 
      using p(2) by blast
    obtain xb xv where xv:  $xv = \text{IntVal } xb \ xv$ 
      by (metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2))
    unfold-binary xv
    obtain yb yv where yv:  $yv = \text{IntVal } yb \ yv$ 
      by (metis bin-eval-inputs-are-ints bin-eval-int evalDet is-IntVal-def p(2))
    unfold-binary yv
    have equalAnd:  $v = \text{val}[xv \ \& \ yv]$ 
      by (metis BinaryExprE bin-eval.simps(6) evalDet p(2) xv yv)
    then have andUnfold:  $\text{val}[xv \ \& \ yv] = (\text{if } xb=yb \text{ then new-int } xb \ (\text{and } xv \ yv) \text{ else UndefVal})$ 
      by (simp add: xv yv)
    have  $v = yv$ 
      apply (cases v; cases yv; auto)
      using p(2) apply auto[1] using yv apply simp-all
      by (metis Value.distinct(1,3,5,7,9,11,13) Value.inject(1) andUnfold equalAnd new-int.simps)
    xv xv yv eval-unused-bits-zero new-int.simps not-down-up-mask-and-zero-implies-zero equalAnd p(1)+
    then show ?thesis
      by (simp add: yv)
  qed

```

```

done

lemma AndLeftFallthrough: (((and (not (↓ y)) (↑ x)) = 0)) → exp[x & y] ≥
exp[x]
  using AndRightFallthrough AndCommute-Exp by simp

end

phase AndNode
  terminating size
begin

lemma bin-and-nots:
  (¬x & ¬y) = (¬(x | y))
  by simp

lemma bin-and-neutral:
  (x & ¬False) = x
  by simp

lemma val-and-equal:
  assumes x = new-int b v
  and val[x & x] ≠ UndefVal
  shows val[x & x] = x
  by (auto simp: assms)

lemma val-and-nots:
  val[¬x & ¬y] = val[¬(x | y)]
  by (cases x; cases y; auto simp: take-bit-not-take-bit)

lemma val-and-neutral:
  assumes x = new-int b v
  and val[x & ¬(new-int b' 0)] ≠ UndefVal
  shows val[x & ¬(new-int b' 0)] = x
  using assms apply (simp add: take-bit-eq-mask) by presburger

lemma val-and-zero:
  assumes x = new-int b v
  shows val[x & (IntVal b 0)] = IntVal b 0
  by (auto simp: assms)

lemma exp-and-equal:

```

```

exp[x & x] ≥ exp[x]
apply auto
subgoal premises p for m p xv yv
proof–
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p(1) by auto
  obtain yv where yv: [m,p] ⊢ x ↦ yv
  using p(1) by auto
  then have evalSame: xv = yv
  using evalDet xv by auto
  then have notUndef: xv ≠ UndefVal ∧ yv ≠ UndefVal
  using evaltree-not-undef xv by blast
  then have andNotUndef: val[xv & yv] ≠ UndefVal
  by (metis evalDet evalSame p(1,2,3) xv)
  obtain xb xvv where xvv: xv = IntVal xb xvv
  by (metis Value.exhaust-sel andNotUndef evalSame intval-and.simps(3,4,9)
notUndef)
  obtain yb yvv where yvv: yv = IntVal yb yvv
  using evalSame xvv by auto
  then have widthSame: xb=yb
  using evalSame xvv by auto
  then have valSame: yvv=xvv
  using evalSame xvv yvv by blast
  then have evalSame0: val[xv & yv] = new-int xb (xvv)
  using evalSame xvv by auto
  then show ?thesis
  by (metis eval-unused-bits-zero new-int.simps evalDet p(1,2) valSame width-
Same xv xvv yvv)
qed
done

lemma exp-and-nots:
  exp[¬x & ¬y] ≥ exp[¬(x | y)]
  using val-and-nots by force

lemma exp-sign-extend:
  assumes e = (1 << In) − 1
  shows BinaryExpr BinAnd (UnaryExpr (UnarySignExtend In Out) x)
    (ConstantExpr (new-int b e))
    ≥ (UnaryExpr (UnaryZeroExtend In Out) x)

apply auto
subgoal premises p for m p va
proof –
  obtain va where va: [m,p] ⊢ x ↦ va
  using p(2) by auto
  then have notUndef: va ≠ UndefVal
  by (simp add: evaltree-not-undef)
  then have 1: intval-and (intval-sign-extend In Out va) (IntVal b (take-bit b
e)) ≠ UndefVal

```

```

    using evalDet p(1) p(2) va by blast
  then have 2: intval-sign-extend In Out va ≠ UndefVal
    by auto
  then have 21: (0::nat) < b
    using eval-bits-1-64 p(4) by blast
  then have 3: b ⊆ (64::nat)
    using eval-bits-1-64 p(4) by blast
  then have 4: − ((2::int) ^ b div (2::int)) ⊆ sint (signed-take-bit (b − Suc
(0::nat)) (take-bit b e))
    by (simp add: 21 int-power-div-base signed-take-bit-int-greater-eq-minus-exp-word)
  then have 5: sint (signed-take-bit (b − Suc (0::nat)) (take-bit b e)) < (2::int)
^ b div (2::int)
    by (simp add: 21 3 Suc-le-lessD int-power-div-base signed-take-bit-int-less-exp-word)
  then have 6: [m,p] ⊢ UnaryExpr (UnaryZeroExtend In Out)
    x ↦ intval-and (intval-sign-extend In Out va) (IntVal b (take-bit b e))
    apply (cases va; simp)
    apply (simp add: notUndef) defer
    using 2 apply fastforce+
  sorry
  then show ?thesis
    by (metis evalDet p(2) va)
qed
done

```

**lemma** *exp-and-neutral*:

```

  assumes wf-stamp x
  assumes stamp-expr x = IntegerStamp b lo hi
  shows exp[(x & ~ (const (IntVal b 0)))] ≥ x
  using assms apply auto
  subgoal premises p for m p xa
  proof-
    obtain xv where xv: [m,p] ⊢ x ↦ xv
      using p(3) by auto
    obtain xb xv where xv: xv = IntVal xb xv
      by (metis assms valid-int wf-stamp-def xv)
    then have widthSame: xb=b
      by (metis p(1,2) valid-int-same-bits wf-stamp-def xv)
    then show ?thesis
      by (metis evalDet eval-unused-bits-zero intval-and.simps(1) new-int.elims
new-int-bin.elims
p(3) take-bit-eq-mask xv xv)
  qed
done

```

**lemma** *val-and-commute[simp]*:

$val[x \& y] = val[y \& x]$

```

    by (cases x; cases y; auto simp: word-bw-comms(1))

Optimisations

optimization AndEqual:  $x \& x \mapsto x$ 
  using exp-and-equal by blast

optimization AndShiftConstantRight:  $((\text{const } x) \& y) \mapsto y \& (\text{const } x)$ 
  when  $\neg(\text{is-ConstantExpr } y)$ 
  using size-flip-binary by auto

optimization AndNots:  $(\sim x) \& (\sim y) \mapsto \sim(x \mid y)$ 
  by (metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const size-non-add
    exp-and-nots)+

optimization AndSignExtend:  $\text{BinaryExpr BinAnd } (\text{UnaryExpr } (\text{UnarySignExtend }
  \text{In } \text{Out}) (x))$ 
  
$$\begin{aligned} & \quad (\text{const } (\text{new-int } b \ e)) \\ & \mapsto (\text{UnaryExpr } (\text{UnaryZeroExtend } \text{In } \text{Out}) (x)) \\ & \quad \text{when } (e = (1 << \text{In}) - 1) \end{aligned}$$

  using exp-sign-extend by simp

optimization AndNeutral:  $(x \& \sim(\text{const } (\text{IntVal } b \ 0))) \mapsto x$ 
  when  $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ \text{lo } \text{hi})$ 
  using exp-and-neutral by fast

optimization AndRightFallThrough:  $(x \& y) \mapsto y$ 
  when  $((\text{and } (\text{not } (\text{IExpr-down } x)) (\text{IExpr-up } y)) = 0)$ 
  by (simp add: IExpr-down-def IExpr-up-def)

optimization AndLeftFallThrough:  $(x \& y) \mapsto x$ 
  when  $((\text{and } (\text{not } (\text{IExpr-down } y)) (\text{IExpr-up } x)) = 0)$ 
  by (simp add: IExpr-down-def IExpr-up-def)

end

end

```

## 11.4 BinaryNode Phase

```

theory BinaryNode
  imports
    Common
begin

phase BinaryNode
  terminating size
begin

```



```

optimization BinaryFoldConstant: BinaryExpr op (const v1) (const v2)  $\mapsto$  ConstantExpr (bin-eval op v1 v2)
  unfolding le-expr-def
  apply (rule allI impI) +
  subgoal premises bin for m p v
    apply (rule BinaryExprE[OF bin])
    subgoal premises prems for x y
    proof –
      have x: x = v1
      using prems by auto
      have y: y = v2
      using prems by auto
      have xy: v = bin-eval op x y
      by (simp add: prems x y)
      have int:  $\exists$  b vv . v = new-int b vv
      using bin-eval-new-int prems by fast
      show ?thesis
      by (metis ConstantExpr prems(1) x y int bin eval-bits-1-64 new-int.simps
new-int-take-bits
wf-value-def validDefIntConst)
    qed
  done
done

end

end

```

## 11.5 ConditionalNode Phase

```

theory ConditionalPhase
  imports
    Common
    Proofs.StampEvalThms
begin

  phase ConditionalNode
    terminating size
  begin

    lemma negates:  $\exists v b. e = \text{IntVal } b \ v \wedge b > 0 \implies \text{val-to-bool } (\text{val}[e]) \longleftrightarrow$ 
 $\neg(\text{val-to-bool } (\text{val}[\neg e]))$ 
    by (metis (mono-tags, lifting) intval-logic-negation.simps(1) logic-negate-def new-int.simps
of-bool-eq(2) one-neq-zero take-bit-of-0 take-bit-of-1 val-to-bool.simps(1))

    lemma negation-condition-intval:
      assumes e = IntVal b ie
      assumes  $0 < b$ 

```

```

shows  $\text{val}[(!e) \text{ ? } x : y] = \text{val}[e \text{ ? } y : x]$ 
by (metis assms intval-conditional.simps negates)

lemma negation-preserve-eval:
  assumes  $[m, p] \vdash \text{exp}[!e] \mapsto v$ 
  shows  $\exists v'. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v = \text{val}[!v']$ 
  using assms by auto

lemma negation-preserve-eval-intval:
  assumes  $[m, p] \vdash \text{exp}[!e] \mapsto v$ 
  shows  $\exists v' b \text{ vv}. ([m, p] \vdash \text{exp}[e] \mapsto v') \wedge v' = \text{IntVal } b \text{ vv} \wedge b > 0$ 
  by (metis assms eval-bits-1-64 intval-logic-negation.elims negation-preserve-eval
    unfold-unary)

optimization NegateConditionFlipBranches:  $((!e) \text{ ? } x : y) \mapsto (e \text{ ? } y : x)$ 
  apply simp apply (rule allI; rule allI; rule allI; rule impI)
  subgoal premises p for m p v
  proof –
    obtain ev where ev:  $[m, p] \vdash e \mapsto ev$ 
    using p by blast
    obtain notEv where notEv:  $\text{notEv} = \text{intval-logic-negation } ev$ 
    by simp
    obtain lhs where lhs:  $[m, p] \vdash \text{ConditionalExpr } (\text{UnaryExpr } \text{UnaryLogicNegation } e) \text{ } x \text{ } y \mapsto lhs$ 
    using p by auto
    obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using lhs by blast
    obtain yv where yv:  $[m, p] \vdash y \mapsto yv$ 
    using lhs by blast
    then show ?thesis
    by (smt (z3) le-expr-def ConditionalExpr ConditionalExprE Value.distinct(1)
      evalDet negates p
      negation-preserve-eval negation-preserve-eval-intval)
  qed
done

optimization DefaultTrueBranch:  $(\text{true} \text{ ? } x : y) \mapsto x$  .

optimization DefaultFalseBranch:  $(\text{false} \text{ ? } x : y) \mapsto y$  .

optimization ConditionalEqualBranches:  $(e \text{ ? } x : x) \mapsto x$  .

optimization condition-bounds-x:  $((u < v) \text{ ? } x : y) \mapsto x$ 
  when  $(\text{stamp-under } (\text{stamp-expr } u) (\text{stamp-expr } v) \wedge \text{wf-stamp } u \wedge \text{wf-stamp } v)$ 
  using stamp-under-defn by fastforce

optimization condition-bounds-y:  $((u < v) \text{ ? } x : y) \mapsto y$ 
  when  $(\text{stamp-under } (\text{stamp-expr } v) (\text{stamp-expr } u) \wedge \text{wf-stamp } u \wedge \text{wf-stamp } v)$ 
  using stamp-under-defn-inverse by fastforce

```

```

lemma val-optimise-integer-test:
  assumes  $\exists v. x = \text{IntVal } 32 \ v$ 
  shows  $\text{val}[(x \ \& \ (\text{IntVal } 32 \ 1)) \ \text{eq} \ (\text{IntVal } 32 \ 0)) \ ? \ (\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)]$ 
=
   $\text{val}[x \ \& \ \text{IntVal } 32 \ 1]$ 
using assms apply auto
apply (metis (full-types) bool-to-val.simps(2) val-to-bool.simps(1))
by (metis (mono-tags, lifting) bool-to-val.simps(1) val-to-bool.simps(1) even-iff-mod-2-eq-zero
  odd-iff-mod-2-eq-one and-one-eq)

optimization ConditionalEliminateKnownLess:  $((x < y) \ ? \ x : y) \longmapsto x$ 
   $\text{when } (\text{stamp-under } (\text{stamp-expr } x) \ (\text{stamp-expr } y)$ 
   $\wedge \text{wf-stamp } x \wedge \text{wf-stamp } y)$ 
using stamp-under-defn by fastforce

lemma ExpIntBecomesIntVal:
  assumes  $\text{stamp-expr } x = \text{IntegerStamp } b \ xl \ xh$ 
  assumes wf-stamp  $x$ 
  assumes valid-value  $v$  ( $\text{IntegerStamp } b \ xl \ xh$ )
  assumes  $[m, p] \vdash x \mapsto v$ 
  shows  $\exists xv. v = \text{IntVal } b \ xv$ 
  using assms by (simp add: IRTreeEvalThms.valid-value-elim(3))

lemma intval-self-is-true:
  assumes  $yv \neq \text{UndefVal}$ 
  assumes  $yv = \text{IntVal } b \ yvv$ 
  shows intval-equals  $yv \ yv = \text{IntVal } 32 \ 1$ 
  using assms by (cases  $yv$ ; auto)

lemma intval-commute:
  assumes intval-equals  $yv \ xv \neq \text{UndefVal}$ 
  assumes intval-equals  $xv \ yv \neq \text{UndefVal}$ 
  shows intval-equals  $yv \ xv = \text{intval-equals } xv \ yv$ 
  using assms apply (cases  $yv$ ; cases  $xv$ ; auto) by (smt (verit, best))

definition isBoolean :: IRExpr  $\Rightarrow$  bool where
  isBoolean  $e = (\forall m \ p \ cond. (([m, p] \vdash e \mapsto cond) \longrightarrow (cond \in \{\text{IntVal } 32 \ 0, \text{IntVal } 32 \ 1\})))$ 

lemma preserveBoolean:
  assumes isBoolean  $c$ 
  shows isBoolean  $\text{exp}[!c]$ 
  using assms isBoolean-def apply auto

```

```

by (metis (no-types, lifting) IntVal0 IntVal1 intval-logic-negation.simps(1) logic-negate-def)

optimization ConditionalIntegerEquals-1: exp[BinaryExpr BinIntegerEquals (c ?
x : y) (x)]  $\mapsto$  c
    when stamp-expr x = IntegerStamp b xl xh  $\wedge$ 
    wf-stamp x  $\wedge$ 
    stamp-expr y = IntegerStamp b yl yh  $\wedge$ 
    wf-stamp y  $\wedge$ 
    (alwaysDistinct (stamp-expr x) (stamp-expr
y))  $\wedge$ 
    isBoolean c
apply (metis Canonicalization.cond-size add-lessD1 size-binary-lhs) apply auto
subgoal premises p for m p cExpr xv cond
proof –
  obtain cond where cond: [m,p]  $\vdash$  c  $\mapsto$  cond
  using p by blast
  have cRange: cond = IntVal 32 0  $\vee$  cond = IntVal 32 1
  using p cond isBoolean-def by blast
  then obtain yv where yVal: [m,p]  $\vdash$  y  $\mapsto$  yv
  using p(15) by auto
  obtain xv where xv: xv = IntVal b xv
  by (metis p(1,2,7) valid-int wf-stamp-def)
  obtain yv where yv: yv = IntVal b yv
  by (metis ExpIntBecomesIntVal p(3,4) wf-stamp-def yVal)
  have yxDiff: xv  $\neq$  yv
  by (smt (verit, del-insts) yVal xv wf-stamp-def valid-int-signed-range p yv)
  have eqEvalFalse: intval-equals yv xv = (IntVal 32 0)
  unfolding xv yv apply auto by (metis (mono-tags) bool-to-val.simps(2)
yxDiff)
  then have valEvalSame: cond = intval-equals val[cond ? xv : yv] xv
  apply (cases cond = IntVal 32 0; simp) using cRange xv by auto
  then have condTrue: val-to-bool cond  $\implies$  cExpr = xv
  by (metis (mono-tags, lifting) cond evalDet p(11) p(7) p(9))
  then have condFalse:  $\neg$ (val-to-bool cond)  $\implies$  cExpr = yv
  by (metis (full-types) cond evalDet p(11) p(9) yVal)
  then have [m,p]  $\vdash$  c  $\mapsto$  intval-equals cExpr xv
  using cond condTrue valEvalSame by fastforce
  then show ?thesis
  by blast
qed
done

```

```

lemma negation-preserve-eval0:
  assumes [m, p]  $\vdash$  exp[e]  $\mapsto$  v
  assumes isBoolean e
  shows  $\exists v'. ([m, p] \vdash \text{exp}[!e] \mapsto v')$ 
  using assms
proof –

```

```

obtain  $b\ vv$  where  $vIntVal: v = IntVal\ b\ vv$ 
  using  $isBoolean-def\ assms$  by  $blast$ 
then have  $negationDefined: intval-logic-negation\ v \neq UndefVal$ 
  by  $simp$ 
show  $?thesis$ 
  using  $assms(1)\ negationDefined$  by  $fastforce$ 
qed

lemma  $negation-preserve-eval2$ :
  assumes  $([m, p] \vdash exp[e] \mapsto v)$ 
  assumes  $(isBoolean\ e)$ 
  shows  $\exists v'. ([m, p] \vdash exp[!e] \mapsto v') \wedge v = val[!v']$ 
  using  $assms$ 
proof –
  obtain  $notEval$  where  $notEval: ([m, p] \vdash exp[!e] \mapsto notEval)$ 
    by  $(metis\ assms\ negation-preserve-eval0)$ 
  then have  $logicNegateEquiv: notEval = intval-logic-negation\ v$ 
    using  $evalDet\ assms(1)\ unary-eval.simps(4)$  by  $blast$ 
  then have  $vRange: v = IntVal\ 32\ 0 \vee v = IntVal\ 32\ 1$ 
    using  $assms$  by  $(auto\ simp\ add: isBoolean-def)$ 
  have  $evaluateNot: v = intval-logic-negation\ notEval$ 
    by  $(metis\ IntVal0\ IntVal1\ intval-logic-negation.simps(1)\ logicNegateEquiv\ logic-negate-def\ vRange)$ 
  then show  $?thesis$ 
    using  $notEval$  by  $auto$ 
qed

optimization  $ConditionalIntegerEquals-2: exp[BinaryExpr\ BinIntegerEquals\ (c\ ?$ 
 $x : y)\ (y)] \mapsto (!c)$ 
   $when\ stamp-expr\ x = IntegerStamp\ b\ xl\ xh \wedge$ 
 $wf-stamp\ x \wedge$ 
 $stamp-expr\ y = IntegerStamp\ b\ yl\ yh \wedge$ 
 $wf-stamp\ y \wedge$ 
 $(alwaysDistinct\ (stamp-expr\ x)\ (stamp-expr\ y)) \wedge$ 
 $isBoolean\ c$ 
  apply  $(smt\ (verit)\ not-add-less1\ max-less-iff-conj\ max.absorb3\ linorder-less-linear$ 
 $add-2-eq-Suc')$ 
 $add-less-cancel-right\ size-binary-lhs\ add-lessD1\ Canonicalization.cond-size)$ 
apply  $auto$ 
subgoal premises  $p$  for  $m\ p\ cExpr\ yv\ cond\ trE\ faE$ 
proof –
  obtain  $cond$  where  $cond: [m, p] \vdash c \mapsto cond$ 
    using  $p$  by  $blast$ 
  then have  $condNotUndef: cond \neq UndefVal$ 
    by  $(simp\ add: evaltree-not-undef)$ 
  then obtain  $notCond$  where  $notCond: [m, p] \vdash exp[!c] \mapsto notCond$ 
    by  $(meson\ p(6)\ negation-preserve-eval2\ cond)$ 
  have  $cRange: cond = IntVal\ 32\ 0 \vee cond = IntVal\ 32\ 1$ 

```

```

    using p cond by (simp add: isBoolean-def)
  then have cNotRange: notCond = IntVal 32 0  $\vee$  notCond = IntVal 32 1
  by (metis (no-types, lifting) IntVal0 IntVal1 cond evalDet intval-logic-negation.simps(1)
    logic-negate-def negation-preserve-eval notCond)
  then obtain xv where xv:  $[m,p] \vdash x \mapsto xv$ 
    using p by auto
  then have trueCond: (notCond = IntVal 32 1)  $\implies [m,p] \vdash$  (ConditionalExpr
c x y)  $\mapsto yv$ 
    by (smt (verit, best) cRange evalDet negates negation-preserve-eval notCond
p(7) cond
    zero-less-numeral val-to-bool.simps(1) evaltree-not-undef ConditionalExpr
ConditionalExprE)
  obtain xvv where xvv: xv = IntVal b xvv
    by (metis p(1,2) valid-int wf-stamp-def xv)
  then have opposites: notCond = intval-logic-negation cond
    by (metis cond evalDet negation-preserve-eval notCond)
  then have negate: (intval-logic-negation cond = IntVal 32 0)  $\implies$  (cond =
IntVal 32 1)
    using cRange intval-logic-negation.simps negates by fastforce
  have falseCond: (notCond = IntVal 32 0)  $\implies [m,p] \vdash$  (ConditionalExpr c x y)
 $\mapsto xv$ 
    unfolding opposites using negate cond evalDet p(13,14,15,16) xv by auto
  obtain yvv where yvv: yv = IntVal b yvv
    by (metis p(3,4,7) wf-stamp-def ExpIntBecomesIntVal)
  have yxDiff: xv  $\neq$  yv
    by (metis linorder-not-less max.absorb1 max.absorb4 max-less-iff-conj min-def
xv yvv
    wf-stamp-def valid-int-signed-range p(1,2,3,4,5,7))
  then have trueEvalCond: (cond = IntVal 32 0)  $\implies$ 
     $[m,p] \vdash \text{exp}[BinaryExpr BinIntegerEquals (c ? x : y) (y)]$ 
 $\mapsto \text{intval-equals } yv yv$ 
    by (smt (verit) cNotRange trueCond ConditionalExprE cond bin-eval.simps(13)
evalDet p
    falseCond unfold-binary val-to-bool.simps(1))
  then have falseEval: (notCond = IntVal 32 0)  $\implies$ 
     $[m,p] \vdash \text{exp}[BinaryExpr BinIntegerEquals (c ? x : y) (y)]$ 
 $\mapsto \text{intval-equals } xv yv$ 
    using p by (metis ConditionalExprE bin-eval.simps(13) evalDet falseCond
unfold-binary)
  have eqEvalFalse: intval-equals yv xv = (IntVal 32 0)
    unfolding xvv yvv apply auto by (metis (mono-tags) bool-to-val.simps(2)
yxDiff yvv xvv)
  have trueEvalEquiv:  $[m,p] \vdash \text{exp}[BinaryExpr BinIntegerEquals (c ? x : y) (y)]$ 
 $\mapsto \text{notCond}$ 
    apply (cases notCond) prefer 2
    apply (metis IntVal0 Value.distinct(1) eqEvalFalse evalDet evaltree-not-undef
falseEval p(6)
    intval-commute intval-logic-negation.simps(1) intval-self-is-true logic-negate-def
negation-preserve-eval2 notCond trueEvalCond yvv cNotRange cond)

```

```

    using notCond cNotRange by auto
  show ?thesis
    using ConditionalExprE
    by (metis cNotRange falseEval notCond trueEvalEquiv trueCond falseCond
intval-self-is-true
      yvv p(9,11) evalDet)
qed
done

optimization ConditionalExtractCondition:  $\text{exp}[(c \text{ ? } \text{true} : \text{false})] \mapsto c$ 
      when isBoolean c
  using isBoolean-def by fastforce

optimization ConditionalExtractCondition2:  $\text{exp}[(c \text{ ? } \text{false} : \text{true})] \mapsto !c$ 
      when isBoolean c
  apply auto
  subgoal premises p for m p cExpr cond
  proof-
    obtain cond where cond:  $[m,p] \vdash c \mapsto \text{cond}$ 
    using p(2) by auto
    obtain notCond where notCond:  $[m,p] \vdash \text{exp}[!c] \mapsto \text{notCond}$ 
    by (metis cond negation-preserve-eval2 p(1))
    then have cRange:  $\text{cond} = \text{IntVal } 32 \ 0 \vee \text{cond} = \text{IntVal } 32 \ 1$ 
    using isBoolean-def cond p(1) by auto
    then have cExprRange:  $c\text{Expr} = \text{IntVal } 32 \ 0 \vee c\text{Expr} = \text{IntVal } 32 \ 1$ 
    by (metis (full-types) ConstantExprE p(4))
    then have condTrue:  $\text{cond} = \text{IntVal } 32 \ 1 \implies c\text{Expr} = \text{IntVal } 32 \ 0$ 
    using cond evalDet p(2) p(4) by fastforce
    then have condFalse:  $\text{cond} = \text{IntVal } 32 \ 0 \implies c\text{Expr} = \text{IntVal } 32 \ 1$ 
    using p cond evalDet by fastforce
    then have opposite:  $\text{cond} = \text{intval-logic-negation } c\text{Expr}$ 
    by (metis (full-types) IntVal0 IntVal1 cExprRange condTrue intval-logic-negation.simps(1)
      logic-negate-def)
    then have eq:  $\text{notCond} = c\text{Expr}$ 
    by (metis (no-types, lifting) IntVal0 IntVal1 cExprRange cond evalDet nega-
tion-preserve-eval
      intval-logic-negation.simps(1) logic-negate-def notCond)
    then show ?thesis
    using notCond by auto
  qed
done

optimization ConditionalEqualIsRHS:  $((x \text{ eq } y) \text{ ? } x : y) \mapsto y$ 
  apply auto
  subgoal premises p for m p v true false xa ya
  proof-
    obtain xv where xv:  $[m,p] \vdash x \mapsto xv$ 
    using p(8) by auto
    obtain yv where yv:  $[m,p] \vdash y \mapsto yv$ 

```

```

    using p(9) by auto
  have notUndef:  $xv \neq \text{UndefVal} \wedge yv \neq \text{UndefVal}$ 
    using evaltree-not-undef xv yv by blast
  have evalNotUndef:  $\text{intval-equals } xv \ yv \neq \text{UndefVal}$ 
    by (metis evalDet p(1,8,9) xv yv)
  obtain xb xvv where xvv:  $xv = \text{IntVal } xb \ xvv$ 
    by (metis Value.exhaust evalNotUndef intval-equals.simps(3,4,5) notUndef)
  obtain yb yvv where yvv:  $yv = \text{IntVal } yb \ yvv$ 
    by (metis evalNotUndef intval-equals.simps(7,8,9) intval-logic-negation.cases
notUndef)
  obtain vv where evalLHS:  $[m,p] \vdash \text{if val-to-bool (intval-equals } xv \ yv) \text{ then } x$ 
    else  $y \mapsto vv$ 
    by (metis (full-types) p(4) yv)
  obtain equ where equ:  $\text{equ} = \text{intval-equals } xv \ yv$ 
    by fastforce
  have trueEval:  $\text{equ} = \text{IntVal } 32 \ 1 \implies vv = xv$ 
    using evalLHS by (simp add: evalDet xv equ)
  have falseEval:  $\text{equ} = \text{IntVal } 32 \ 0 \implies vv = yv$ 
    using evalLHS by (simp add: evalDet yv equ)
  then have  $vv = v$ 
    by (metis evalDet evalLHS p(2,8,9) xv yv)
  then show ?thesis
    by (metis (full-types) bool-to-val.simps(1,2) bool-to-val-bin.simps equ evalNo-
tUndef falseEval
      intval-equals.simps(1) trueEval xvv yv yvv)
qed
done

```

```

optimization normalizeX: (( $x \text{ eq const (IntVal } 32 \ 0)$ ) ?
  ( $\text{const (IntVal } 32 \ 0)$ ) : ( $\text{const (IntVal } 32 \ 1)$ ))  $\mapsto x$ 
  when stamp-expr  $x = \text{IntegerStamp } 32 \ 0 \ 1 \wedge \text{wf-stamp } x \wedge$ 
    isBoolean  $x$ 

```

```

apply auto
subgoal premises p for m p v
proof -
  obtain xa where xa:  $[m,p] \vdash x \mapsto xa$ 
    using p by blast
  have eval:  $[m,p] \vdash \text{if val-to-bool (intval-equals } xa \ (\text{IntVal } 32 \ 0))$ 
    then  $\text{ConstantExpr (IntVal } 32 \ 0)$ 
    else  $\text{ConstantExpr (IntVal } 32 \ 1) \mapsto v$ 
    using evalDet p(3,4,5,6,7) xa by blast
  then have xaRange:  $xa = \text{IntVal } 32 \ 0 \vee xa = \text{IntVal } 32 \ 1$ 
    using isBoolean-def p(3) xa by blast
  then have 6:  $v = xa$ 
    using eval xaRange by auto
  then show ?thesis
    by (auto simp: xa)
qed

```



**done**

**optimization** *normalizeX2*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 1)))) \ ?$   
 $(\text{const } (\text{IntVal } 32 \ 1)) : (\text{const } (\text{IntVal } 32 \ 0))) \mapsto x$   
*when*  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \ .$

**optimization** *flipX*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 0)))) \ ?$   
 $(\text{const } (\text{IntVal } 32 \ 1)) : (\text{const } (\text{IntVal } 32 \ 0))) \mapsto x \oplus (\text{const}$   
 $(\text{IntVal } 32 \ 1))$   
*when*  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \ .$

**optimization** *flipX2*:  $((x \text{ eq } (\text{const } (\text{IntVal } 32 \ 1)))) \ ?$   
 $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto x \oplus (\text{const}$   
 $(\text{IntVal } 32 \ 1))$   
*when*  $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 0) \mid$   
 $(x = \text{ConstantExpr } (\text{IntVal } 32 \ 1))) \ .$

**lemma** *stamp-of-default*:

**assumes** *stamp-expr*  $x = \text{default-stamp}$   
**assumes** *wf-stamp*  $x$   
**shows**  $([m, p] \vdash x \mapsto v) \longrightarrow (\exists vv. v = \text{IntVal } 32 \ vv)$   
**by** (*metis* *assms default-stamp valid-value-elim*(3) *wf-stamp-def*)

**optimization** *OptimiseIntegerTest*:

$((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))) \text{ eq } (\text{const } (\text{IntVal } 32 \ 0)))) \ ?$   
 $(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1))) \mapsto$   
 $x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))$   
*when*  $(\text{stamp-expr } x = \text{default-stamp} \wedge \text{wf-stamp } x)$

**apply** (*simp*; *rule impI*; (*rule allI*)<sup>+</sup>; *rule impI*)

**subgoal premises** *eval* **for**  $m \ p \ v$

**proof** –

**obtain**  $xv$  **where**  $xv: [m, p] \vdash x \mapsto xv$

**using** *eval* **by** *fast*

**then have**  $x32: \exists v. xv = \text{IntVal } 32 \ v$

**using** *stamp-of-default eval* **by** *auto*

**obtain**  $lhs$  **where**  $lhs: [m, p] \vdash \text{exp}[(((x \ \& \ (\text{const } (\text{IntVal } 32 \ 1))) \text{ eq } (\text{const } (\text{IntVal } 32 \ 0)))) \ ?$

$(\text{const } (\text{IntVal } 32 \ 0)) : (\text{const } (\text{IntVal } 32 \ 1)))] \mapsto lhs$

**using** *eval*(2) **by** *auto*

**then have**  $lhsV: lhs = \text{val}[((xv \ \& \ (\text{IntVal } 32 \ 1)) \text{ eq } (\text{IntVal } 32 \ 0)) \ ?$

$(\text{IntVal } 32 \ 0) : (\text{IntVal } 32 \ 1)]$

**using** *ConditionalExprE ConstantExprE bin-eval.simps*(4,11) *evalDet xv unfold-binary*

*intval-conditional.simps*

```

    by fastforce
  obtain rhs where rhs: [m, p] ⊢ exp[x & (const (IntVal 32 1))] ↦ rhs
    using eval(2) by blast
  then have rhsV: rhs = val[xv & IntVal 32 1]
    by (metis BinaryExprE ConstantExprE bin-eval.simps(6) evalDet xv)
  have lhs = rhs
    using val-optimize-integer-test x32 lhsV rhsV by presburger
  then show ?thesis
    by (metis eval(2) evalDet lhs rhs)
qed
done

```

```

optimization opt-optimize-integer-test-2:
  (((x & (const (IntVal 32 1))) eq (const (IntVal 32 0))) ?
    (const (IntVal 32 0)) : (const (IntVal 32 1))) ↦ x
    when (x = ConstantExpr (IntVal 32 0) | (x = ConstantExpr (IntVal
32 1))) .

```

end

end

## 11.6 MulNode Phase

**theory** MulPhase

**imports**

Common

Proofs.StampEvalThms

**begin**

**fun** mul-size :: IRExpr ⇒ nat **where**

```

  mul-size (UnaryExpr op e) = (mul-size e) + 2 |
  mul-size (BinaryExpr BinMul x y) = ((mul-size x) + (mul-size y) + 2) * 2 |
  mul-size (BinaryExpr op x y) = (mul-size x) + (mul-size y) + 2 |
  mul-size (ConditionalExpr cond t f) = (mul-size cond) + (mul-size t) + (mul-size
f) + 2 |
  mul-size (ConstantExpr c) = 1 |
  mul-size (ParameterExpr ind s) = 2 |
  mul-size (LeafExpr nid s) = 2 |
  mul-size (ConstantVar c) = 2 |
  mul-size (VariableExpr x s) = 2

```

```

phase MulNode
  terminating mul-size
begin

```

```

lemma bin-eliminate-redundant-negative:
  uminus (x :: 'a::len word) * uminus (y :: 'a::len word) = x * y
by simp

```

```

lemma bin-multiply-identity:
  (x :: 'a::len word) * 1 = x
by simp

```

```

lemma bin-multiply-eliminate:
  (x :: 'a::len word) * 0 = 0
by simp

```

```

lemma bin-multiply-negative:
  (x :: 'a::len word) * uminus 1 = uminus x
by simp

```

```

lemma bin-multiply-power-2:
  (x :: 'a::len word) * (2^j) = x << j
by simp

```

```

lemma take-bit64[simp]:
  fixes w :: int64
  shows take-bit 64 w = w
proof -
  have Nat.size w = 64
  by (simp add: size64)
  then show ?thesis
  by (metis lt2p-lem mask-eq-iff take-bit-eq-mask verit-comp-simplify1(2) wsst-TYs(3))
qed

```

```

lemma mergeTakeBit:
  fixes a :: nat
  fixes b c :: 64 word
  shows take-bit a (take-bit a (b) * take-bit a (c)) =
    take-bit a (b * c)
by (smt (verit, ccfv-SIG) take-bit-mult take-bit-of-int unsigned-take-bit-eq word-mult-def)

```

```

lemma val-eliminate-redundant-negative:
  assumes val[-x * -y] ≠ UndefVal
  shows val[-x * -y] = val[x * y]
by (cases x; cases y; auto simp: mergeTakeBit)

```

**lemma** *val-multiply-neutral*:  
**assumes**  $x = \text{new-int } b \ v$   
**shows**  $\text{val}[x * (\text{IntVal } b \ 1)] = x$   
**by** (*auto simp: assms*)

**lemma** *val-multiply-zero*:  
**assumes**  $x = \text{new-int } b \ v$   
**shows**  $\text{val}[x * (\text{IntVal } b \ 0)] = \text{IntVal } b \ 0$   
**by** (*simp add: assms*)

**lemma** *val-multiply-negative*:  
**assumes**  $x = \text{new-int } b \ v$   
**shows**  $\text{val}[x * -(\text{IntVal } b \ 1)] = \text{val}[-x]$   
**unfolding** *assms(1)* **apply** *auto*  
**by** (*metis bin-multiply-negative mergeTakeBit take-bit-minus-one-eq-mask*)

**lemma** *val-MulPower2*:  
**fixes**  $i :: 64 \text{ word}$   
**assumes**  $y = \text{IntVal } 64 \ (2 \wedge \text{unat}(i))$   
**and**  $0 < i$   
**and**  $i < 64$   
**and**  $\text{val}[x * y] \neq \text{UndefVal}$   
**shows**  $\text{val}[x * y] = \text{val}[x << \text{IntVal } 64 \ i]$   
**using** *assms* **apply** (*cases x; cases y; auto*)  
**subgoal** **premises**  $p$  **for**  $x2$   
**proof** –  
**have**  $63 :: \text{int64} = \text{mask } 6$   
**by** *eval*  
**then have**  $(2 :: \text{int}) \wedge 6 = 64$   
**by** *eval*  
**then have**  $\text{uint } i < (2 :: \text{int}) \wedge 6$   
**by** (*metis linorder-not-less lt2p-lem of-int-numeral p(4) word-2p-lem*  
*word-of-int-2p*  
*wsst-TYs(3)*)  
**then have**  $\text{and } i \ (\text{mask } 6) = i$   
**using** *mask-eq-iff* **by** *blast*  
**then show**  $x2 << \text{unat } i = x2 << \text{unat } (\text{and } i \ (63 :: 64 \text{ word}))$   
**by** (*auto simp: 63*)  
**qed**  
**by** *presburger*

**lemma** *val-MulPower2Add1*:  
**fixes**  $i :: 64 \text{ word}$   
**assumes**  $y = \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + 1)$   
**and**  $0 < i$   
**and**  $i < 64$

```

and    val-to-bool(val[IntVal 64 0 < x])
and    val-to-bool(val[IntVal 64 0 < y])
shows  val[x * y] = val[(x << IntVal 64 i) + x]
using  assms apply (cases x; cases y; auto)
  subgoal premises p for x2
proof -
  have 63: (63 :: int64) = mask 6
  by eval
  then have (2 :: int) ^ 6 = 64
  by eval
  then have and i (mask 6) = i
  by (simp add: less-mask-eq p(6))
  then have x2 * (2 ^ unat i + 1) = (x2 * (2 ^ unat i)) + x2
  by (simp add: distrib-left)
  then show x2 * (2 ^ unat i + 1) = x2 << unat (and i 63) + x2
  by (simp add: 63 ‹and i (mask 6) = i›)
qed
using val-to-bool.simps(2) by presburger

```

**lemma** *val-MulPower2Sub1*:

```

fixes i :: 64 word
assumes y = IntVal 64 ((2 ^ unat(i)) - 1)
and    0 < i
and    i < 64
and    val-to-bool(val[IntVal 64 0 < x])
and    val-to-bool(val[IntVal 64 0 < y])
shows  val[x * y] = val[(x << IntVal 64 i) - x]
using  assms apply (cases x; cases y; auto)
  subgoal premises p for x2
proof -
  have 63: (63 :: int64) = mask 6
  by eval
  then have (2 :: int) ^ 6 = 64
  by eval
  then have and i (mask 6) = i
  by (simp add: less-mask-eq p(6))
  then have x2 * (2 ^ unat i - 1) = (x2 * (2 ^ unat i)) - x2
  by (simp add: right-diff-distrib')
  then show x2 * (2 ^ unat i - 1) = x2 << unat (and i 63) - x2
  by (simp add: 63 ‹and i (mask 6) = i›)
qed
using val-to-bool.simps(2) by presburger

```

**lemma** *val-distribute-multiplication*:

```

assumes x = IntVal b xx ∧ q = IntVal b qq ∧ a = IntVal b aa
assumes val[x * (q + a)] ≠ UndefVal
assumes val[(x * q) + (x * a)] ≠ UndefVal

```

```

shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
by (metis (no-types, opaque-lifting) distrib-left new-int.elims new-int-unused-bits-zero
      mergeTakeBit)

```

```

lemma val-distribute-multiplication64:
assumes  $x = \text{new-int } 64 \text{ } xx \wedge q = \text{new-int } 64 \text{ } qq \wedge a = \text{new-int } 64 \text{ } aa$ 
shows  $\text{val}[x * (q + a)] = \text{val}[(x * q) + (x * a)]$ 
using assms apply (cases x; cases q; cases a; auto)
using distrib-left by blast

```

```

lemma val-MulPower2AddPower2:
fixes  $i \ j :: 64 \text{ word}$ 
assumes  $y = \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + (2 \wedge \text{unat}(j)))$ 
and  $0 < i$ 
and  $0 < j$ 
and  $i < 64$ 
and  $j < 64$ 
and  $x = \text{new-int } 64 \text{ } xx$ 
shows  $\text{val}[x * y] = \text{val}[(x << \text{IntVal } 64 \text{ } i) + (x << \text{IntVal } 64 \text{ } j)]$ 
proof –
  have  $63 :: \text{int64} = \text{mask } 6$ 
  by eval
  then have  $(2 :: \text{int}) \wedge 6 = 64$ 
  by eval
  then have  $n :: \text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + (2 \wedge \text{unat}(j))) =$ 
     $\text{val}[(\text{IntVal } 64 \ (2 \wedge \text{unat}(i))) + (\text{IntVal } 64 \ (2 \wedge \text{unat}(j)))]$ 

  by auto
  then have  $1: \text{val}[x * ((\text{IntVal } 64 \ (2 \wedge \text{unat}(i))) + (\text{IntVal } 64 \ (2 \wedge \text{unat}(j))))] =$ 
     $\text{val}[(x * \text{IntVal } 64 \ (2 \wedge \text{unat}(i))) + (x * \text{IntVal } 64 \ (2 \wedge \text{unat}(j)))]$ 

  using assms val-distribute-multiplication64 by simp
  then have  $2: \text{val}[(x * \text{IntVal } 64 \ (2 \wedge \text{unat}(i)))] = \text{val}[x << \text{IntVal } 64 \text{ } i]$ 
  by (metis (no-types, opaque-lifting) Value.distinct(1) intval-mul.simps(1)
    new-int.simps
    new-int-bin.simps assms(2,4,6) val-MulPower2)
  then show ?thesis
  by (metis (no-types, lifting)  $1 \text{ Value.distinct}(1) n \text{ intval-mul.simps}(1) \text{ new-int-bin.elims}$ 
    new-int.simps val-MulPower2 assms(1,3,5,6))
qed

```

```

thm-oracles val-MulPower2AddPower2

```

```

lemma exp-multiply-zero-64:
shows  $\text{exp}[x * (\text{const } (\text{IntVal } b \ 0))] \geq \text{ConstantExpr } (\text{IntVal } b \ 0)$ 
apply auto

```

```

subgoal premises p for m p xa
proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p(1) by auto
  obtain xb xv where xv: xv = IntVal xb xv
  by (metis evalDet p(1,2) xv evaltree-not-undef intval-is-null.cases intval-mul.simps(3,4,5))
  then have evalNotUndef: val[xv * (IntVal b 0)] ≠ UndefVal
  using p evalDet xv by blast
  then have mulUnfold: val[xv * (IntVal b 0)] = IntVal xb (take-bit xb (xv*0))
  by (metis new-int.simps xv new-int-bin.simps intval-mul.simps(1))
  then have isZero: val[xv * (IntVal b 0)] = (new-int xb (0))
  by (simp add: mulUnfold)
  then have eq: (IntVal b 0) = (IntVal xb (0))
  by (metis Value.distinct(1) intval-mul.simps(1) mulUnfold new-int-bin.elims xv)
  then show ?thesis
  using evalDet isZero p(1,3) xv by fastforce
qed
done

```

**lemma** *exp-multiply-neutral*:

*exp*[*x* \* (*const* (*IntVal b 1*))] ≥ *x*

*apply auto*

subgoal premises *p* for *m p xa*

proof –

obtain *xv* where *xv*: [*m,p*] ⊢ *x* ↦ *xv*

using *p*(1) by *auto*

obtain *xb xv* where *xv*: *xv* = *IntVal xb xv*

by (*smt* (*z3 evalDet intval-mul.elims p*(1,2) *xv*))

then have *evalNotUndef*: *val*[*xv* \* (*IntVal b 1*)] ≠ *UndefVal*

using *p evalDet xv* by *blast*

then have *mulUnfold*: *val*[*xv* \* (*IntVal b 1*)] = *IntVal xb (take-bit xb (xv\*1))*

by (metis *new-int.simps xv new-int-bin.simps intval-mul.simps*(1))

then show ?thesis

by (metis *bin-multiply-identity evalDet eval-unused-bits-zero p*(1) *xv xv*)

qed

done

**thm-oracles** *exp-multiply-neutral*

**lemma** *exp-multiply-negative*:

*exp*[*x* \* −(*const* (*IntVal b 1*))] ≥ *exp*[−*x*]

*apply auto*

subgoal premises *p* for *m p xa*

proof –

obtain *xv* where *xv*: [*m,p*] ⊢ *x* ↦ *xv*

using *p*(1) by *auto*

obtain *xb xv* where *xv*: *xv* = *IntVal xb xv*

by (metis *array-length.cases evalDet evaltree-not-undef intval-mul.simps*(3,4,5))

```

p(1,2) xv)
  then have rewrite: val[-(IntVal b 1)] = IntVal b (mask b)
    by simp
  then have evalNotUndef: val[xv * -(IntVal b 1)] ≠ UndefVal
    unfolding rewrite using evalDet p(1,2) xv by blast
  then have mulUnfold: val[xv * (IntVal b (mask b))] =
    (if xb=b then (IntVal xb (take-bit xb (xvv*(mask xb)))) else
UndefVal)
    by (metis new-int.simps xvv new-int-bin.simps intval-mul.simps(1))
  then have sameWidth: xb=b
    by (metis evalNotUndef rewrite)
  then show ?thesis
    by (metis evalDet eval-unused-bits-zero new-int.elims p(1,2) rewrite unary-eval.simps(2)
xvv
    unfold-unary val-multiply-negative xv)
qed
done

```

```

lemma exp-MulPower2:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 (2 ^ unat(i)))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]
  and exp[y > (const IntVal b 0)]
  shows exp[x * y] ≥ exp[x << ConstantExpr (IntVal 64 i)]
  using ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

```

lemma exp-MulPower2Add1:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) + 1))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]
  and exp[y > (const IntVal b 0)]
  shows exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) + x]
  using ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

```

lemma exp-MulPower2Sub1:
  fixes i :: 64 word
  assumes y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
  and 0 < i
  and i < 64
  and exp[x > (const IntVal b 0)]
  and exp[y > (const IntVal b 0)]
  shows exp[x * y] ≥ exp[(x << ConstantExpr (IntVal 64 i)) - x]
  using ConstantExprE equiv-exprs-def unfold-binary assms by fastforce

```

```

lemma exp-MulPower2AddPower2:

```



```

fixes  $i\ j :: 64\ \text{word}$ 
assumes  $y = \text{ConstantExpr}\ (\text{IntVal}\ 64\ ((2 \wedge \text{unat}(i)) + (2 \wedge \text{unat}(j))))$ 
and  $0 < i$ 
and  $0 < j$ 
and  $i < 64$ 
and  $j < 64$ 
and  $\text{exp}[x > (\text{const}\ \text{IntVal}\ b\ 0)]$ 
and  $\text{exp}[y > (\text{const}\ \text{IntVal}\ b\ 0)]$ 
shows  $\text{exp}[x * y] \geq \text{exp}[(x << \text{ConstantExpr}\ (\text{IntVal}\ 64\ i)) + (x << \text{ConstantExpr}\ (\text{IntVal}\ 64\ j))]$ 
using  $\text{ConstantExprE}\ \text{equiv-exprs-def}\ \text{unfold-binary}\ \text{assms}\ \text{by}\ \text{fastforce}$ 

```

**lemma** *greaterConstant:*

```

fixes  $a\ b :: 64\ \text{word}$ 
assumes  $a > b$ 
and  $y = \text{ConstantExpr}\ (\text{IntVal}\ 32\ a)$ 
and  $x = \text{ConstantExpr}\ (\text{IntVal}\ 32\ b)$ 
shows  $\text{exp}[\text{BinaryExpr}\ \text{BinIntegerLessThan}\ y\ x] \geq \text{exp}[\text{const}\ (\text{new-int}\ 32\ 0)]$ 
using  $\text{assms}$ 
apply  $\text{simp}\ \text{unfolding}\ \text{equiv-exprs-def}\ \text{apply}\ \text{auto}$ 
sorry

```

**lemma** *exp-distribute-multiplication:*

```

assumes  $\text{stamp-expr}\ x = \text{IntegerStamp}\ b\ xl\ xh$ 
assumes  $\text{stamp-expr}\ q = \text{IntegerStamp}\ b\ ql\ qh$ 
assumes  $\text{stamp-expr}\ y = \text{IntegerStamp}\ b\ yl\ yh$ 
assumes  $\text{wf-stamp}\ x$ 
assumes  $\text{wf-stamp}\ q$ 
assumes  $\text{wf-stamp}\ y$ 
shows  $\text{exp}[(x * q) + (x * y)] \geq \text{exp}[x * (q + y)]$ 
apply  $\text{auto}$ 
subgoal premises  $p$  for  $m\ p\ xa\ qa\ xb\ aa$ 
proof –
  obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto xv$ 
    using  $p$  by  $\text{simp}$ 
  obtain  $qv$  where  $qv: [m, p] \vdash q \mapsto qv$ 
    using  $p$  by  $\text{simp}$ 
  obtain  $yv$  where  $yv: [m, p] \vdash y \mapsto yv$ 
    using  $p$  by  $\text{simp}$ 
  then obtain  $xvv$  where  $xvv: xv = \text{IntVal}\ b\ xvv$ 
    by  $(\text{metis}\ \text{assms}(1,4)\ \text{valid-int}\ \text{wf-stamp-def}\ xv)$ 
  then obtain  $qvv$  where  $qvv: qv = \text{IntVal}\ b\ qvv$ 
    by  $(\text{metis}\ qv\ \text{valid-int}\ \text{assms}(2,5)\ \text{wf-stamp-def})$ 
  then obtain  $yvv$  where  $yvv: yv = \text{IntVal}\ b\ yvv$ 
    by  $(\text{metis}\ yv\ \text{valid-int}\ \text{assms}(3,6)\ \text{wf-stamp-def})$ 
  then have  $\text{rhsDefined: val}[xv * (qv + yv)] \neq \text{UndefVal}$ 
    by  $(\text{simp}\ \text{add:}\ xvv\ qvv)$ 

```

```

have  $val[xv * (qv + yv)] = val[(xv * qv) + (xv * yv)]$ 
using val-distribute-multiplication by (simp add: yvv qvv xvv)
then show ?thesis
by (metis bin-eval.simps(1,3) BinaryExpr p(1,2,3,5,6) qv xv evalDet yv qvv
Value.distinct(1)
yvv intval-add.simps(1))
qed
done

```

Optimisations

```

optimization EliminateRedundantNegative:  $-x * -y \mapsto x * y$ 
apply auto
by (metis BinaryExpr val-eliminate-redundant-negative bin-eval.simps(3))

```

```

optimization MulNeutral:  $x * ConstantExpr (IntVal b 1) \mapsto x$ 
using exp-multiply-neutral by blast

```

```

optimization MulEliminator:  $x * ConstantExpr (IntVal b 0) \mapsto const (IntVal b 0)$ 
using exp-multiply-zero-64 by fast

```

```

optimization MulNegate:  $x * -(const (IntVal b 1)) \mapsto -x$ 
using exp-multiply-negative by presburger

```

```

fun isNonZero :: Stamp  $\Rightarrow$  bool where
  isNonZero (IntegerStamp b lo hi) = (lo > 0) |
  isNonZero - = False

```

```

lemma isNonZero-defn:
  assumes isNonZero (stamp-expr x)
  assumes wf-stamp x
  shows ( $[m, p] \vdash x \mapsto v$ )  $\longrightarrow$  ( $\exists vv b. (v = IntVal b vv \wedge val-to-bool\ val[(IntVal b 0) < v])$ )
  apply (rule impI) subgoal premises eval
proof -
  obtain b lo hi where xstamp: stamp-expr x = IntegerStamp b lo hi
    by (meson isNonZero.elims(2) assms)
  then obtain vv where vdef: v = IntVal b vv
    by (metis assms(2) eval valid-int wf-stamp-def)
  have lo > 0
    using assms(1) xstamp by force
  then have signed-above: int-signed-value b vv > 0
    using assms eval vdef xstamp wf-stamp-def by fastforce
  have take-bit b vv = vv
    using eval eval-unused-bits-zero vdef by auto
  then have vv > 0
    by (metis bit-take-bit-iff int-signed-value.simps signed-eq-0-iff take-bit-of-0 signed-above
verit-comp-simplify1(1) word-gt-0 signed-take-bit-eq-if-positive)
  then show ?thesis

```

```

    using vdef signed-above by simp
qed
done

lemma ExpIntBecomesIntValArbitrary:
  assumes stamp-expr  $x = \text{IntegerStamp } b \ xl \ xh$ 
  assumes wf-stamp  $x$ 
  assumes valid-value  $v$  ( $\text{IntegerStamp } b \ xl \ xh$ )
  assumes  $[m, p] \vdash x \mapsto v$ 
  shows  $\exists xv. v = \text{IntVal } b \ xv$ 
  using assms by (simp add: IRTreeEvalThms.valid-value-elim3)

optimization MulPower2:  $x * y \mapsto x << \text{const } (\text{IntVal } 64 \ i)$ 
  when ( $i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64 \ xl \ xh \wedge$ 
    wf-stamp  $x \wedge$ 
     $64 > i \wedge$ 
     $y = \text{exp}[\text{const } (\text{IntVal } 64 \ (2^{\text{unat}(i)}))]$ )
  apply simp apply (rule impI; (rule allI)+; rule impI)
  subgoal premises eval for  $m \ p \ v$ 
proof -
  obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto xv$ 
  using eval(2) by blast
  then have notUndef:  $xv \neq \text{UndefVal}$ 
  by (simp add: evaltree-not-undef)
  obtain  $xb \ xvv$  where  $xvv: xv = \text{IntVal } xb \ xvv$ 
  by (metis wf-stamp-def eval(1) ExpIntBecomesIntValArbitrary xv)
  then have w64:  $xb = 64$ 
  by (metis wf-stamp-def intval-bits.simps ExpIntBecomesIntValArbitrary xv
    eval(1))
  obtain  $yv$  where  $yv: [m, p] \vdash y \mapsto yv$ 
  using eval(1,2) by blast
  then have lhs:  $[m, p] \vdash \text{exp}[x * y] \mapsto \text{val}[xv * yv]$ 
  by (metis bin-eval.simps(3) eval(1,2) evalDet unfold-binary xv)
  have  $[m, p] \vdash \text{exp}[\text{const } (\text{IntVal } 64 \ i)] \mapsto \text{val}[(\text{IntVal } 64 \ i)]$ 
  by (smt (verit, ccfv-SIG) ConstantExpr constantAsStamp.simps(1) eval-bits-1-64
    take-bit64 xv xvv
    validStampIntConst wf-value-def valid-value.simps(1) w64)
  then have rhs:  $[m, p] \vdash \text{exp}[x << \text{const } (\text{IntVal } 64 \ i)] \mapsto \text{val}[xv << (\text{IntVal } 64 \ i)]$ 
  by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
    xv xvv
    evaltree.BinaryExpr)
  have  $\text{val}[xv * yv] = \text{val}[xv << (\text{IntVal } 64 \ i)]$ 
  by (metis ConstantExprE eval(1) evaltree-not-undef lhs yv val-MulPower2)
  then show ?thesis
  by (metis eval(1,2) evalDet lhs rhs)
qed
done

```

**optimization** *MulPower2Add1*:  $x * y \mapsto (x << \text{const } (\text{IntVal } 64 \ i)) + x$   
 when  $(i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64 \ xl \ xh \wedge$   
 $\text{wf-stamp } x \wedge$

$64 > i \wedge$   
 $y = \text{ConstantExpr } (\text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + 1))$

**apply** *simp* **apply** (*rule impI*; (*rule allI*)<sup>+</sup>; *rule impI*)  
**subgoal** *premises p* **for** *m p v*  
**proof** –  
**obtain** *xv* **where** *xv*:  $[m, p] \vdash x \mapsto xv$   
**using** *p* **by** *fast*  
**then obtain** *xvv* **where** *xvv*:  $xv = \text{IntVal } 64 \ xvv$   
**using** *p* **by** (*metis valid-int wf-stamp-def*)  
**obtain** *yv* **where** *yv*:  $[m, p] \vdash y \mapsto yv$   
**using** *p* **by** *blast*  
**have** *ygezero*:  $y > \text{ConstantExpr } (\text{IntVal } 64 \ 0)$   
**using** *greaterConstant p wf-value-def sorry*  
**then have** *1*:  $0 < i \wedge$   
 $i < 64 \wedge$   
 $y = \text{ConstantExpr } (\text{IntVal } 64 \ ((2 \wedge \text{unat}(i)) + 1))$   
**using** *p* **by** *blast*  
**then have** *lhs*:  $[m, p] \vdash \text{exp}[x * y] \mapsto \text{val}[xv * yv]$   
**by** (*metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary*)  
**then have**  $[m, p] \vdash \text{exp}[\text{const } (\text{IntVal } 64 \ i)] \mapsto \text{val}[(\text{IntVal } 64 \ i)]$   
**by** (*metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr*  
*take-bit64*  
 $\text{constantAsStamp.simps(1) validStampIntConst valid-value.simps(1)}$ )  
**then have** *rhs2*:  $[m, p] \vdash \text{exp}[x << \text{const } (\text{IntVal } 64 \ i)] \mapsto \text{val}[xv << (\text{IntVal } 64 \ i)]$   
**by** (*metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps*  
*xv xvv*  
 $\text{evaltree.BinaryExpr}$ )  
**then have** *rhs*:  $[m, p] \vdash \text{exp}[(x << \text{const } (\text{IntVal } 64 \ i)) + x] \mapsto \text{val}[(xv << (\text{IntVal } 64 \ i)) + xv]$   
**by** (*metis (no-types, lifting) intval-add.simps(1) bin-eval.simps(1) Value.simps(5)*  
*xv xvv*  
 $\text{evaltree.BinaryExpr intval-left-shift.simps(1) new-int.simps}$ )  
**then have** *simple*:  $\text{val}[xv * (\text{IntVal } 64 \ (2 \wedge \text{unat}(i)))] = \text{val}[xv << (\text{IntVal } 64 \ i)]$   
**using** *val-MulPower2 sorry*  
**then have**  $\text{val}[xv * yv] = \text{val}[(xv << (\text{IntVal } 64 \ i)) + xv]$   
**using** *val-MulPower2Add1 sorry*  
**then show** *?thesis*  
**by** (*metis 1 evalDet lhs p(2) rhs*)  
**qed**  
**done**

**optimization** *MulPower2Sub1*:  $x * y \mapsto (x << \text{const } (\text{IntVal } 64 \ i)) - x$   
 when  $(i > 0 \wedge \text{stamp-expr } x = \text{IntegerStamp } 64 \ xl \ xh \wedge$

```

wf-stamp x ∧
    64 > i ∧
    y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
apply simp apply (rule impI; (rule allI)+; rule impI)
subgoal premises p for m p v
proof –
  obtain xv where xv: [m,p] ⊢ x ↦ xv
  using p by fast
  then obtain xvv where xvv: xv = IntVal 64 xvv
  using p by (metis valid-int wf-stamp-def)
  obtain yv where yv: [m,p] ⊢ y ↦ yv
  using p by blast
  have ygezero: y > ConstantExpr (IntVal 64 0) sorry
  then have 1: 0 < i ∧
    i < 64 ∧
    y = ConstantExpr (IntVal 64 ((2 ^ unat(i)) - 1))
    using p by blast
  then have lhs: [m, p] ⊢ exp[x * y] ↦ val[xv * yv]
    by (metis bin-eval.simps(3) evalDet p(2) xv yv unfold-binary)
  then have [m, p] ⊢ exp[const (IntVal 64 i)] ↦ val[(IntVal 64 i)]
    by (metis wf-value-def verit-comp-simplify1(2) zero-less-numeral ConstantExpr
take-bit64
    constantAsStamp.simps(1) validStampIntConst valid-value.simps(1))
  then have rhs2: [m, p] ⊢ exp[x << const (IntVal 64 i)] ↦ val[xv << (IntVal
64 i)]
    by (metis Value.simps(5) bin-eval.simps(10) intval-left-shift.simps(1) new-int.simps
xv xvv
    evaltree.BinaryExpr)
  then have rhs: [m, p] ⊢ exp[(x << const (IntVal 64 i)) - x] ↦ val[(xv <<
(IntVal 64 i)) - xv]
    using 1 equiv-exprs-def ygezero yv by fastforce
  then have val[xv * yv] = val[(xv << (IntVal 64 i)) - xv]
    using 1 exp-MulPower2Sub1 ygezero sorry
  then show ?thesis
    by (metis evalDet lhs p(1) p(2) rhs)
qed
done

end

end

```

## 11.7 Experimental AndNode Phase

```

theory NewAnd
imports
  Common
  Graph.JavaLong
begin

```

**lemma** *intval-distribute-and-over-or*:  
 $val[z \& (x \mid y)] = val[(z \& x) \mid (z \& y)]$   
**by** (*cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib*)

**lemma** *exp-distribute-and-over-or*:  
 $exp[z \& (x \mid y)] \geq exp[(z \& x) \mid (z \& y)]$   
**apply** *auto*  
**by** (*metis bin-eval.simps(6,7) intval-or.simps(2,6) intval-distribute-and-over-or BinaryExpr*)

**lemma** *intval-and-commute*:  
 $val[x \& y] = val[y \& x]$   
**by** (*cases x; cases y; auto simp: and.commute*)

**lemma** *intval-or-commute*:  
 $val[x \mid y] = val[y \mid x]$   
**by** (*cases x; cases y; auto simp: or.commute*)

**lemma** *intval-xor-commute*:  
 $val[x \oplus y] = val[y \oplus x]$   
**by** (*cases x; cases y; auto simp: xor.commute*)

**lemma** *exp-and-commute*:  
 $exp[x \& z] \geq exp[z \& x]$   
**by** (*auto simp: intval-and-commute*)

**lemma** *exp-or-commute*:  
 $exp[x \mid y] \geq exp[y \mid x]$   
**by** (*auto simp: intval-or-commute*)

**lemma** *exp-xor-commute*:  
 $exp[x \oplus y] \geq exp[y \oplus x]$   
**by** (*auto simp: intval-xor-commute*)

**lemma** *intval-eliminate-y*:  
**assumes**  $val[y \& z] = IntVal\ b\ 0$   
**shows**  $val[(x \mid y) \& z] = val[x \& z]$   
**using** *assms* **by** (*cases x; cases y; cases z; auto simp add: bit.conj-disj-distrib2*)

**lemma** *intval-and-associative*:  
 $val[(x \& y) \& z] = val[x \& (y \& z)]$   
**by** (*cases x; cases y; cases z; auto simp: and.assoc*)

**lemma** *intval-or-associative*:  
 $val[(x \mid y) \mid z] = val[x \mid (y \mid z)]$   
**by** (*cases x; cases y; cases z; auto simp: or.assoc*)

**lemma** *intval-xor-associative*:

```

    val[(x ⊕ y) ⊕ z] = val[x ⊕ (y ⊕ z)]
    by (cases x; cases y; cases z; auto simp: xor.assoc)

lemma exp-and-associative:
  exp[(x & y) & z] ≥ exp[x & (y & z)]
  using intval-and-associative by fastforce

lemma exp-or-associative:
  exp[(x | y) | z] ≥ exp[x | (y | z)]
  using intval-or-associative by fastforce

lemma exp-xor-associative:
  exp[(x ⊕ y) ⊕ z] ≥ exp[x ⊕ (y ⊕ z)]
  using intval-xor-associative by fastforce

lemma intval-and-absorb-or:
  assumes ∃ b v . x = new-int b v
  assumes val[x & (x | y)] ≠ UndefVal
  shows val[x & (x | y)] = val[x]
  using assms apply (cases x; cases y; auto)
  by (metis (full-types) intval-and.simps(6))

lemma intval-or-absorb-and:
  assumes ∃ b v . x = new-int b v
  assumes val[x | (x & y)] ≠ UndefVal
  shows val[x | (x & y)] = val[x]
  using assms apply (cases x; cases y; auto)
  by (metis (full-types) intval-or.simps(6))

lemma exp-and-absorb-or:
  exp[x & (x | y)] ≥ exp[x]
  apply auto
  subgoal premises p for m p xa xaa ya
  proof-
    obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
    obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p(4) by auto
    then have lhsDefined: val[xv & (xv | yv)] ≠ UndefVal
    by (metis evalDet p(1,2,3,4) xv)
    obtain xb xvv where xvv: xv = IntVal xb xvv
    by (metis Value.exhaust-sel intval-and.simps(2,3,4,5) lhsDefined)
    obtain yb yvv where yvv: yv = IntVal yb yvv
    by (metis Value.exhaust-sel intval-and.simps(6) intval-or.simps(6,7,8,9) lhs-
    Defined)
    then have valEval: val[xv & (xv | yv)] = val[xv]
    by (metis eval-unused-bits-zero intval-and-absorb-or lhsDefined new-int.elims
    xv xvv)
    then show ?thesis

```

```

    by (metis evalDet p(1,3,4) xv yv)
qed
done

lemma exp-or-absorb-and:
  exp[x | (x & y)] ≥ exp[x]
  apply auto
  subgoal premises p for m p xa xaa ya
  proof-
    obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
    obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p(4) by auto
    then have lhsDefined: val[xv | (xv & yv)] ≠ UndefVal
    by (metis evalDet p(1,2,3,4) xv)
    obtain xb xvv where xvv: xv = IntVal xb xvv
    by (metis Value.exhaust-sel intval-and.simps(3,4,5) intval-or.simps(2,6) lhs-
    Defined)
    obtain yb yvv where yvv: yv = IntVal yb yvv
    by (metis Value.exhaust-sel intval-and.simps(6,7,8,9) intval-or.simps(6) lhs-
    Defined)
    then have valEval: val[xv | (xv & yv)] = val[xv]
    by (metis eval-unused-bits-zero intval-or-absorb-and lhsDefined new-int.elims
    xv xvv)
    then show ?thesis
    by (metis evalDet p(1,3,4) xv yv)
  qed
done

```

```

lemma
  assumes y = 0
  shows x + y = or x y
  by (simp add: assms)

```

```

lemma no-overlap-or:
  assumes and x y = 0
  shows x + y = or x y
  by (metis bit-and-iff bit-xor-iff disjunctive-add xor-self-eq assms)

```

```

context stamp-mask

```



**begin**

**lemma** *intval-up-and-zero-implies-zero*:

**assumes** *and*  $(\uparrow x) (\uparrow y) = 0$   
**assumes**  $[m, p] \vdash x \mapsto xv$   
**assumes**  $[m, p] \vdash y \mapsto yv$   
**assumes**  $val[xv \& yv] \neq \text{UndefVal}$   
**shows**  $\exists b. val[xv \& yv] = \text{new-int } b \ 0$   
**using** *assms apply* (*cases xv; cases yv; auto*)  
**apply** (*metis eval-unused-bits-zero stamp-mask.up-mask-and-zero-implies-zero*  
*stamp-mask-axioms*)  
**by** *presburger*

**lemma** *exp-eliminate-y*:

*and*  $(\uparrow y) (\uparrow z) = 0 \longrightarrow \text{exp}[(x \mid y) \& z] \geq \text{exp}[x \& z]$   
**apply** *simp apply* (*rule impI; rule allI; rule allI; rule allI*)  
**subgoal** **premises** *p* **for** *m p v* **apply** (*rule impI*) **subgoal** **premises** *e*  
**proof** –  
**obtain** *xv* **where** *xv*:  $[m, p] \vdash x \mapsto xv$   
**using** *e* **by** *auto*  
**obtain** *yv* **where** *yv*:  $[m, p] \vdash y \mapsto yv$   
**using** *e* **by** *auto*  
**obtain** *zv* **where** *zv*:  $[m, p] \vdash z \mapsto zv$   
**using** *e* **by** *auto*  
**have** *lhs*:  $v = val[(xv \mid yv) \& zv]$   
**by** (*smt* (*verit*, *best*) *BinaryExprE bin-eval.simps(6,7)* *e evalDet xv yv zv*)  
**then have**  $v = val[(xv \& zv) \mid (yv \& zv)]$   
**by** (*simp add: intval-and-commute intval-distribute-and-over-or*)  
**also have**  $\exists b. val[yv \& zv] = \text{new-int } b \ 0$   
**by** (*metis calculation e intval-or.simps(6)* *p unfold-binary intval-up-and-zero-implies-zero*  
*yv*  
*zv*)  
**ultimately have** *rhs*:  $v = val[xv \& zv]$   
**by** (*auto simp: intval-eliminate-y lhs*)  
**from** *lhs rhs* **show** *?thesis*  
**by** (*metis BinaryExpr BinaryExprE bin-eval.simps(6)* *e xv zv*)  
**qed**  
**done**  
**done**

**lemma** *leadingZeroBounds*:

**fixes** *x* :: 'a::len word  
**assumes**  $n = \text{numberOfLeadingZeros } x$   
**shows**  $0 \leq n \wedge n \leq \text{Nat.size } x$   
**by** (*simp add: MaxOrNeg-def highestOneBit-def nat-le-iff numberOfLeadingZe-*  
*ros-def assms*)

**lemma** *above-nth-not-set*:

**fixes** *x* :: *int64*

**assumes**  $n = 64 - \text{numberOfLeadingZeros } x$   
**shows**  $j > n \longrightarrow \neg(\text{bit } x \ j)$   
**by** (*smt (verit, ccfv-SIG) highestOneBit-def int-nat-eq int-ops(6) less-imp-of-nat-less size64*)  
*max-set-bit zerosAboveHighestOne assms numberOfLeadingZeros-def*)

**no-notation** *LogicNegationNotation (!-)*

**lemma** *zero-horner*:  
*horner-sum of-bool 2 (map ( $\lambda x. \text{False}$ ) xs) = 0*  
**by** (*induction xs; auto*)

**lemma** *zero-map*:  
**assumes**  $j \leq n$   
**assumes**  $\forall i. j \leq i \longrightarrow \neg(f \ i)$   
**shows**  $\text{map } f \ [0..<n] = \text{map } f \ [0..<j] @ \text{map } (\lambda x. \text{False}) \ [j..<n]$   
**by** (*smt (verit, del-ists) add-diff-inverse-nat atLeastLessThan-iff bot-nat-0.extremum leD assms*)  
*map-append map-eq-conv set-upt upt-add-eq-append*)

**lemma** *map-join-horner*:  
**assumes**  $\text{map } f \ [0..<n] = \text{map } f \ [0..<j] @ \text{map } (\lambda x. \text{False}) \ [j..<n]$   
**shows**  $\text{horner-sum of-bool } (2::'a::\text{len word}) (\text{map } f \ [0..<n]) = \text{horner-sum of-bool } 2 (\text{map } f \ [0..<j])$   
**proof** –  
**have**  $\text{horner-sum of-bool } (2::'a::\text{len word}) (\text{map } f \ [0..<n]) = \text{horner-sum of-bool } 2 (\text{map } f \ [0..<j]) + 2^{\text{length } [0..<j]} * \text{horner-sum of-bool } 2 (\text{map } f \ [j..<n])$   
**using** *assms apply auto*  
**by** (*smt (verit) assms diff-le-self diff-zero le-add-same-cancel2 length-append length-map length-upt map-append upt-add-eq-append horner-sum-append*)  
**also have**  $\dots = \text{horner-sum of-bool } 2 (\text{map } f \ [0..<j]) + 2^{\text{length } [0..<j]} * \text{horner-sum of-bool } 2 (\text{map } (\lambda x. \text{False}) \ [j..<n])$   
**by** (*metis calculation horner-sum-append length-map assms*)  
**also have**  $\dots = \text{horner-sum of-bool } 2 (\text{map } f \ [0..<j])$   
**using** *zero-horner mult-not-zero by auto*  
**finally show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *split-horner*:  
**assumes**  $j \leq n$   
**assumes**  $\forall i. j \leq i \longrightarrow \neg(f \ i)$   
**shows**  $\text{horner-sum of-bool } (2::'a::\text{len word}) (\text{map } f \ [0..<n]) = \text{horner-sum of-bool } 2 (\text{map } f \ [0..<j])$   
**by** (*auto simp: assms zero-map map-join-horner*)

**lemma** *transfer-map*:  
**assumes**  $\forall i. i < n \longrightarrow f \ i = f' \ i$

```

shows (map f [0.. $n$ ]) = (map f' [0.. $n$ ])
by (simp add: assms)

lemma transfer-horner:
  assumes  $\forall i. i < n \longrightarrow f\ i = f'\ i$ 
  shows horner-sum of-bool (2::'a:len word) (map f [0.. $n$ ]) = horner-sum of-bool
  2 (map f' [0.. $n$ ])
  by (smt (verit, best) assms transfer-map)

lemma L1:
  assumes  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$ 
  assumes  $[m, p] \vdash z \mapsto \text{IntVal } b\ zv$ 
  shows and v zv = and (v mod  $2^n$ ) zv
proof -
  have nle:  $n \leq 64$ 
  using assms diff-le-self by blast
  also have and v zv = horner-sum of-bool 2 (map (bit (and v zv)) [0.. $64$ ])
  by (metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64)
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. \text{bit } (and\ v\ zv)\ i$ ) [0.. $64$ ])
  by blast
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ ) [0.. $64$ ])
  by (metis bit-and-iff)
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ ) [0.. $n$ ])
  proof -
  have  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv\ i)$ 
  by (smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms
    linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc
      zerosAboveHighestOne not-may-implies-false)
  then have  $\forall i. i \geq n \longrightarrow \neg((\text{bit } v\ i) \wedge (\text{bit } zv\ i))$ 
  by auto
  then show ?thesis using nle split-horner
  by (metis (no-types, lifting))
qed
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } (v\ \text{mod } 2^n)\ i) \wedge (\text{bit } zv\ i))$ ) [0.. $n$ ])
  proof -
  have  $\forall i. i < n \longrightarrow \text{bit } (v\ \text{mod } 2^n)\ i = \text{bit } v\ i$ 
  by (metis bit-take-bit-iff take-bit-eq-mod)
  then have  $\forall i. i < n \longrightarrow ((\text{bit } v\ i) \wedge (\text{bit } zv\ i)) = ((\text{bit } (v\ \text{mod } 2^n)\ i) \wedge (\text{bit } zv\ i))$ 
  by force
  then show ?thesis
  by (rule transfer-horner)
qed
  also have ... = horner-sum of-bool 2 (map ( $\lambda i. ((\text{bit } (v\ \text{mod } 2^n)\ i) \wedge (\text{bit } zv\ i))$ ) [0.. $64$ ])
  proof -

```

```

have  $\forall i. i \geq n \longrightarrow \neg(\text{bit } zv \ i)$ 
  by (smt (verit, ccfv-SIG) One-nat-def diff-less int-ops(6) leadingZerosAddHighestOne assms
    linorder-not-le nat-int-comparison(2) not-numeral-le-zero size64 zero-less-Suc

    zerosAboveHighestOne not-may-implies-false)
  then show ?thesis
    by (metis (no-types, lifting) assms(1) diff-le-self split-horner)
qed
also have ... = horner-sum of-bool 2 (map (bit (and (v mod  $2^n$ ) zv)) [0..<64])
  by (meson bit-and-iff)
also have ... = and (v mod  $2^n$ ) zv
  by (metis size-word.rep-eq take-bit-length-eq horner-sum-bit-eq-take-bit size64)
finally show ?thesis
  using  $\langle \text{and } (v::64 \text{ word}) (zv::64 \text{ word}) = \text{horner-sum of-bool } (2::64 \text{ word})$ 
    (map (bit (and v zv)) [0::nat..<64::nat])  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat. bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word}) i)$ 
    [0::nat..<64::nat]) = horner-sum of-bool (2::64 word) (map (bit (and (v mod (2::64 word)  $\wedge$  n) zv)) [0::nat..<64::nat])  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat. bit } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) i \wedge \text{bit } (zv::64 \text{ word}) i)$ 
    [0::nat..<n]) = horner-sum of-bool (2::64 word) (map ( $\lambda i::\text{nat. bit } (v \text{ mod } (2::64 \text{ word}) \wedge n) i \wedge \text{bit } zv \ i)$  [0::nat..<64::nat])  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat. bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64 \text{ word}) i)$  [0::nat..<64::nat]) = horner-sum of-bool (2::64 word) (map ( $\lambda i::\text{nat. bit } v \ i \wedge \text{bit } zv \ i$ ) [0::nat..<n::nat])  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\lambda i::\text{nat. bit } (v::64 \text{ word}) i \wedge \text{bit } (zv::64 \text{ word}) i)$  [0::nat..<n::nat]) =
    horner-sum of-bool (2::64 word) (map ( $\lambda i::\text{nat. bit } (v \text{ mod } (2::64 \text{ word}) \wedge n) i \wedge \text{bit } zv \ i$ ) [0::nat..<n])  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } ((v::64 \text{ word}) \text{ mod } (2::64 \text{ word}) \wedge (n::\text{nat})) (zv::64 \text{ word})))$  [0::nat..<64::nat]) = and (v mod (2::64 word)  $\wedge$  n) zv  $\rangle$ 
     $\langle \text{horner-sum of-bool } (2::64 \text{ word}) (\text{map } (\text{bit } (\text{and } (v::64 \text{ word}) (zv::64 \text{ word})))$  [0::nat..<64::nat]) = horner-sum of-bool (2::64 word) (map ( $\lambda i::\text{nat. bit } v \ i \wedge \text{bit } zv \ i$ ) [0::nat..<64::nat])  $\rangle$  by presburger
qed

lemma up-mask-upper-bound:
  assumes [m, p]  $\vdash x \mapsto \text{IntVal } b \ xv$ 
  shows  $xv \leq (\uparrow x)$ 
by (metis (no-types, lifting) and.right-neutral bit.conj-cancel-left bit.conj-disj-distrib(1)
    bit.double-compl ucast-id up-spec word-and-le1 word-not-dist(2) assms)

lemma L2:
  assumes numberOfLeadingZeros ( $\uparrow z$ ) + numberOfTrailingZeros ( $\uparrow y$ )  $\geq 64$ 
  assumes  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$ 
  assumes [m, p]  $\vdash z \mapsto \text{IntVal } b \ zv$ 
  assumes [m, p]  $\vdash y \mapsto \text{IntVal } b \ yv$ 
  shows  $yv \text{ mod } 2^n = 0$ 
proof –
  have  $yv \text{ mod } 2^n = \text{horner-sum of-bool } 2 (\text{map } (\text{bit } yv) [0..<n])$ 
    by (simp add: horner-sum-bit-eq-take-bit take-bit-eq-mod)
  also have ...  $\leq \text{horner-sum of-bool } 2 (\text{map } (\text{bit } (\uparrow y)) [0..<n])$ 

```

```

    by (metis (no-types, opaque-lifting) and.right-neutral bit.conj-cancel-right word-not-dist(2)
        bit.conj-disj-distrib(1) bit.double-compl horner-sum-bit-eq-take-bit take-bit-and
        ucast-id
        up-spec word-and-le1 assms(4))
    also have horner-sum of-bool 2 (map (bit (↑y)) [0.. $n$ ]) = horner-sum of-bool 2
    (map (λx. False) [0.. $n$ ])
    proof -
      have ∀  $i < n$ . ¬(bit (↑y))  $i$ 
      by (metis add commute add-diff-inverse-nat add-lessD1 leD le-diff-conv zeros-
        BelowLowestOne
        numberOfTrailingZeros-def assms(1,2))
      then show ?thesis
      by (metis (full-types) transfer-map)
    qed
    also have horner-sum of-bool 2 (map (λx. False) [0.. $n$ ]) = 0
    by (auto simp: zero-horner)
    finally show ?thesis
    by auto
  qed

```

**thm-oracles**  $L1\ L2$

**lemma** *unfold-binary-width-add:*

```

shows ([ $m, p$ ] ⊢ BinaryExpr BinAdd  $xe\ ye \mapsto IntVal\ b\ val$ ) = (∃  $x\ y$ .
  ([ $m, p$ ] ⊢  $xe \mapsto IntVal\ b\ x$ ) ∧
  ([ $m, p$ ] ⊢  $ye \mapsto IntVal\ b\ y$ ) ∧
  ( $IntVal\ b\ val = bin-eval\ BinAdd\ (IntVal\ b\ x)\ (IntVal\ b\ y)$ ) ∧
  ( $IntVal\ b\ val \neq UndefVal$ )
) (is ?L = ?R)
using unfold-binary-width by simp

```

**lemma** *unfold-binary-width-and:*

```

shows ([ $m, p$ ] ⊢ BinaryExpr BinAnd  $xe\ ye \mapsto IntVal\ b\ val$ ) = (∃  $x\ y$ .
  ([ $m, p$ ] ⊢  $xe \mapsto IntVal\ b\ x$ ) ∧
  ([ $m, p$ ] ⊢  $ye \mapsto IntVal\ b\ y$ ) ∧
  ( $IntVal\ b\ val = bin-eval\ BinAnd\ (IntVal\ b\ x)\ (IntVal\ b\ y)$ ) ∧
  ( $IntVal\ b\ val \neq UndefVal$ )
) (is ?L = ?R)
using unfold-binary-width by simp

```

**lemma** *mod-dist-over-add-right:*

```

fixes  $a\ b\ c :: int64$ 
fixes  $n :: nat$ 
assumes  $0 < n$ 
assumes  $n < 64$ 
shows  $(a + b \bmod 2^n) \bmod 2^n = (a + b) \bmod 2^n$ 
using mod-dist-over-add by (simp add: assms add.commute)

```

**lemma** *numberOfLeadingZeros-range:*

$0 \leq \text{numberOfLeadingZeros } n \wedge \text{numberOfLeadingZeros } n \leq \text{Nat.size } n$   
**by** (*simp add: leadingZeroBounds*)

**lemma** *improved-opt*:

**assumes**  $\text{numberOfLeadingZeros } (\uparrow z) + \text{numberOfTrailingZeros } (\uparrow y) \geq 64$

**shows**  $\text{exp}[(x + y) \& z] \geq \text{exp}[x \& z]$

**apply** *simp apply ((rule allI)+; rule impI)*

**subgoal premises** *eval for m p v*

**proof** –

**obtain** *n* **where** *n*:  $n = 64 - \text{numberOfLeadingZeros } (\uparrow z)$

**by** *simp*

**obtain** *b val* **where** *val*:  $[m, p] \vdash \text{exp}[(x + y) \& z] \mapsto \text{IntVal } b \text{ val}$

**by** (*metis BinaryExprE bin-eval-new-int eval new-int.simps*)

**then obtain** *xv yv* **where** *addv*:  $[m, p] \vdash \text{exp}[x + y] \mapsto \text{IntVal } b (xv + yv)$

**apply** (*subst (asm) unfold-binary-width-and*) **by** (*metis add.right-neutral*)

**then obtain** *yv* **where** *yv*:  $[m, p] \vdash y \mapsto \text{IntVal } b \text{ yv}$

**apply** (*subst (asm) unfold-binary-width-add*) **by** *blast*

**from** *addv* **obtain** *xv* **where** *xv*:  $[m, p] \vdash x \mapsto \text{IntVal } b \text{ xv}$

**apply** (*subst (asm) unfold-binary-width-add*) **by** *blast*

**from** *val* **obtain** *zv* **where** *zv*:  $[m, p] \vdash z \mapsto \text{IntVal } b \text{ zv}$

**apply** (*subst (asm) unfold-binary-width-and*) **by** *blast*

**have** *addv*:  $[m, p] \vdash \text{exp}[x + y] \mapsto \text{new-int } b (xv + yv)$

**using** *xv yv evaltree.BinaryExpr* **by** *auto*

**have** *lhs*:  $[m, p] \vdash \text{exp}[(x + y) \& z] \mapsto \text{new-int } b (\text{and } (xv + yv) \text{ zv})$

**using** *addv zv* **apply** (*rule evaltree.BinaryExpr*) **by** *simp+*

**have** *rhs*:  $[m, p] \vdash \text{exp}[x \& z] \mapsto \text{new-int } b (\text{and } xv \text{ zv})$

**using** *xv zv evaltree.BinaryExpr* **by** *auto*

**then show** *?thesis*

**proof** (*cases numberOfLeadingZeros*  $(\uparrow z) > 0$ )

**case** *True*

**have** *n-bounds*:  $0 \leq n \wedge n < 64$

**by** (*simp add: True n*)

**have** *and*  $(xv + yv) \text{ zv} = \text{and } ((xv + yv) \text{ mod } 2^n) \text{ zv}$

**using** *L1 n zv* **by** *blast*

**also have**  $\dots = \text{and } ((xv + (yv \text{ mod } 2^n)) \text{ mod } 2^n) \text{ zv}$

**by** (*metis take-bit-0 take-bit-eq-mod zero-less-iff-neq-zero mod-dist-over-add-right n-bounds*)

**also have**  $\dots = \text{and } (((xv \text{ mod } 2^n) + (yv \text{ mod } 2^n)) \text{ mod } 2^n) \text{ zv}$

**by** (*metis bits-mod-by-1 mod-dist-over-add n-bounds order-le-imp-less-or-eq power-0*)

**also have**  $\dots = \text{and } ((xv \text{ mod } 2^n) \text{ mod } 2^n) \text{ zv}$

**using** *L2 n zv yv assms* **by** *auto*

**also have**  $\dots = \text{and } (xv \text{ mod } 2^n) \text{ zv}$

**by** (*smt (verit, best) and.idem take-bit-eq-mask take-bit-eq-mod word-bw-assocs(1)*)

*mod-mod-trivial*)

**also have**  $\dots = \text{and } xv \text{ zv}$

**by** (*metis L1 n zv*)

**finally show** *?thesis*

```

    by (metis evalDet eval lhs rhs)
next
case False
then have numberOfLeadingZeros ( $\uparrow z$ ) = 0
    by simp
then have numberOfTrailingZeros ( $\uparrow y$ )  $\geq$  64
    using assms by fastforce
then have  $yv = 0$ 
    by (metis (no-types, lifting) L1 L2 add-diff-cancel-left' and.comm-neutral
linorder-not-le
    bit.conj-cancel-right bit.conj-disj-distrib(1) bit.double-compl less-imp-diff-less
    yv
    word-not-dist(2))
then show ?thesis
    by (metis add.right-neutral eval evalDet lhs rhs)
qed
qed
done

thm-oracles improved-opt

```

end

```

phase NewAnd
terminating size
begin

```

```

optimization redundant-lhs-y-or:  $((x \mid y) \& z) \mapsto x \& z$ 
    when  $((\text{and } (IExpr\text{-up } y) (IExpr\text{-up } z)) = 0)$ 
    by (simp add: IExpr-up-def)+

```

```

optimization redundant-lhs-x-or:  $((x \mid y) \& z) \mapsto y \& z$ 
    when  $((\text{and } (IExpr\text{-up } x) (IExpr\text{-up } z)) = 0)$ 
    by (simp add: IExpr-up-def)+

```

```

optimization redundant-rhs-y-or:  $(z \& (x \mid y)) \mapsto z \& x$ 
    when  $((\text{and } (IExpr\text{-up } y) (IExpr\text{-up } z)) = 0)$ 
    by (simp add: IExpr-up-def)+

```

```

optimization redundant-rhs-x-or:  $(z \& (x \mid y)) \mapsto z \& y$ 
    when  $((\text{and } (IExpr\text{-up } x) (IExpr\text{-up } z)) = 0)$ 
    by (simp add: IExpr-up-def)+

```

**end**

**end**

## 11.8 NotNode Phase

**theory** *NotPhase*

**imports**

*Common*

**begin**

**phase** *NotNode*

**terminating** *size*

**begin**

**lemma** *bin-not-cancel*:

$bin[\neg(\neg(e))] = bin[e]$

**by** *auto*

**lemma** *val-not-cancel*:

**assumes**  $val[\sim(new-int\ b\ v)] \neq UndefinedVal$

**shows**  $val[\sim(\sim(new-int\ b\ v))] = (new-int\ b\ v)$

**by** (*simp add: take-bit-not-take-bit*)

**lemma** *exp-not-cancel*:

$exp[\sim(\sim a)] \geq exp[a]$

**apply** *auto*

**subgoal** **premises** *p* **for** *m p x*

**proof** –

**obtain** *av* **where**  $av: [m,p] \vdash a \mapsto av$

**using** *p(2)* **by** *auto*

**obtain** *bv avv* **where**  $avv: av = IntVal\ bv\ avv$

**by** (*metis Value.exhaust av evalDet evaltree-not-undef intval-not.simps(3,4,5)*  
*p(2,3)*)

**then** **have** *valEval*:  $val[\sim(\sim av)] = val[av]$

**by** (*metis av avv evalDet eval-unused-bits-zero new-int.elims p(2,3) val-not-cancel*)

**then** **show** *?thesis*

**by** (*metis av evalDet p(2)*)

**qed**

**done**

Optimisations

**optimization** *NotCancel*:  $exp[\sim(\sim a)] \mapsto a$

**by** (*metis exp-not-cancel*)



end

end

## 11.9 OrNode Phase

**theory** *OrPhase*

**imports**

*Common*

**begin**

**context** *stamp-mask*

**begin**

Taking advantage of the truth table of or operations.

#	x	y	$x y$
1	0	0	0
2	0	1	1
3	1	0	1
4	1	1	1

If row 2 never applies, that is,  $\text{canBeZero } x \ \& \ \text{canBeOne } y = 0$ , then  $(x|y) = x$ .

Likewise, if row 3 never applies,  $\text{canBeZero } y \ \& \ \text{canBeOne } x = 0$ , then  $(x|y) = y$ .

**lemma** *OrLeftFallthrough*:

**assumes**  $(\text{and } (\text{not } (\downarrow x)) (\uparrow y)) = 0$

**shows**  $\text{exp}[x \mid y] \geq \text{exp}[x]$

**using** *assms*

**apply** *simp* **apply**  $((\text{rule } \text{allI})+; \text{rule } \text{impI})$

**subgoal** **premises** *eval* **for**  $m \ p \ v$

**proof** –

**obtain**  $b \ vv$  **where**  $e: [m, p] \vdash \text{exp}[x \mid y] \mapsto \text{IntVal } b \ vv$

**by**  $(\text{metis } \text{BinaryExprE } \text{bin-eval-new-int } \text{new-int.simps } \text{eval}(2))$

**from**  $e$  **obtain**  $xv$  **where**  $xv: [m, p] \vdash x \mapsto \text{IntVal } b \ xv$

**apply**  $(\text{subst } (\text{asm}) \text{ unfold-binary-width})$  **by** *force+*

**from**  $e$  **obtain**  $yv$  **where**  $yv: [m, p] \vdash y \mapsto \text{IntVal } b \ yv$

**apply**  $(\text{subst } (\text{asm}) \text{ unfold-binary-width})$  **by** *force+*

**have**  $v\text{def}: v = \text{val}[(\text{IntVal } b \ xv) \mid (\text{IntVal } b \ yv)]$

**by**  $(\text{metis } \text{bin-eval.simps}(7) \text{ eval}(2) \text{ evalDet } \text{unfold-binary } xv \ yv)$

**have**  $\forall i. (\text{bit } xv \ i) \mid (\text{bit } yv \ i) = (\text{bit } xv \ i)$

**by**  $(\text{metis } \text{assms } \text{bit-and-iff } \text{not-down-up-mask-and-zero-implies-zero } xv \ yv)$

**then** **have**  $\text{IntVal } b \ xv = \text{val}[(\text{IntVal } b \ xv) \mid (\text{IntVal } b \ yv)]$

**by**  $(\text{metis } (\text{no-types}, \text{lifting}) \text{ and.idem } \text{assms } \text{bit.conj-disj-distrib } \text{eval-unused-bits-zero}$

$yv \ xv$

$\text{intval-or.simps}(1) \text{ new-int.simps } \text{new-int-bin.simps } \text{not-down-up-mask-and-zero-implies-zero}$

```

      word-ao-absorbs(3))
    then show ?thesis
      using xv vdef by presburger
  qed
done

lemma OrRightFallthrough:
  assumes (and (not ( $\downarrow y$ )) ( $\uparrow x$ )) = 0
  shows  $\text{exp}[x \mid y] \geq \text{exp}[y]$ 
  using assms
  apply simp apply ((rule allI)+; rule impI)
  subgoal premises eval for m p v
  proof -
    obtain b vv where e:  $[m, p] \vdash \text{exp}[x \mid y] \mapsto \text{IntVal } b \text{ } vv$ 
      by (metis BinaryExprE bin-eval-new-int new-int.simps eval(2))
    from e obtain xv where xv:  $[m, p] \vdash x \mapsto \text{IntVal } b \text{ } xv$ 
      apply (subst (asm) unfold-binary-width) by force+
    from e obtain yv where yv:  $[m, p] \vdash y \mapsto \text{IntVal } b \text{ } yv$ 
      apply (subst (asm) unfold-binary-width) by force+
    have vdef:  $v = \text{val}[(\text{IntVal } b \text{ } xv) \mid (\text{IntVal } b \text{ } yv)]$ 
      by (metis bin-eval.simps(7) eval(2) evalDet unfold-binary xv yv)
    have  $\forall i. (\text{bit } xv \text{ } i) \mid (\text{bit } yv \text{ } i) = (\text{bit } yv \text{ } i)$ 
      by (metis assms bit-and-iff not-down-up-mask-and-zero-implies-zero xv yv)
    then have  $\text{IntVal } b \text{ } yv = \text{val}[(\text{IntVal } b \text{ } xv) \mid (\text{IntVal } b \text{ } yv)]$ 
      by (metis (no-types, lifting) assms eval-unused-bits-zero intval-or.simps(1)
        new-int.elims yv
        new-int-bin.elims stamp-mask.not-down-up-mask-and-zero-implies-zero
        stamp-mask-axioms xv
        word-ao-absorbs(8))
    then show ?thesis
      using vdef yv by presburger
  qed
done

end

phase OrNode
  terminating size
begin

lemma bin-or-equal:
   $\text{bin}[x \mid x] = \text{bin}[x]$ 
  by simp

lemma bin-shift-const-right-helper:
   $x \mid y = y \mid x$ 
  by simp

```

**lemma** *bin-or-not-operands*:

$(\sim x \mid \sim y) = (\sim(x \ \& \ y))$

**by** *simp*

**lemma** *val-or-equal*:

**assumes**  $x = \text{new-int } b \ v$

**and**  $\text{val}[x \mid x] \neq \text{UndefVal}$

**shows**  $\text{val}[x \mid x] = \text{val}[x]$

**by** (*auto simp: assms*)

**lemma** *val-elim-redundant-false*:

**assumes**  $x = \text{new-int } b \ v$

**and**  $\text{val}[x \mid \text{false}] \neq \text{UndefVal}$

**shows**  $\text{val}[x \mid \text{false}] = \text{val}[x]$

**using** *assms* **by** (*cases x; auto; presburger*)

**lemma** *val-shift-const-right-helper*:

$\text{val}[x \mid y] = \text{val}[y \mid x]$

**by** (*cases x; cases y; auto simp: or.commute*)

**lemma** *val-or-not-operands*:

$\text{val}[\sim x \mid \sim y] = \text{val}[\sim(x \ \& \ y)]$

**by** (*cases x; cases y; auto simp: take-bit-not-take-bit*)

**lemma** *exp-or-equal*:

$\text{exp}[x \mid x] \geq \text{exp}[x]$

**apply** *auto[1]*

**subgoal** *premises p* **for**  $m \ p \ x_a \ y_a$

**proof**–

**obtain**  $xv$  **where**  $xv: [m, p] \vdash x \mapsto xv$

**using**  $p(1)$  **by** *auto*

**obtain**  $xb \ xvv$  **where**  $xvv: xv = \text{IntVal } xb \ xvv$

**by** (*metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5)*)

$p(1,3) \ xv)$

**then have** *evalNotUndef*:  $\text{val}[xv \mid xv] \neq \text{UndefVal}$

**using**  $p \ \text{evalDet } xv$  **by** *blast*

**then have** *orUnfold*:  $\text{val}[xv \mid xv] = (\text{new-int } xb \ (\text{or } xvv \ xvv))$

**by** (*simp add: xvv*)

**then have** *simplify*:  $\text{val}[xv \mid xv] = (\text{new-int } xb \ (xvv))$

**by** (*simp add: orUnfold*)

**then have** *eq*:  $(xv) = (\text{new-int } xb \ (xvv))$

**using** *eval-unused-bits-zero xv xvv* **by** *auto*

**then show** *?thesis*

**by** (*metis evalDet p(1,2) simplify xv*)

**qed**

**done**

```

lemma exp-elim-redundant-false:
   $\text{exp}[x \mid \text{false}] \geq \text{exp}[x]$ 
  apply auto[1]
  subgoal premises p for m p xa
  proof–
    obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using p(1) by auto
    obtain xb xv where xv:  $xv = \text{IntVal } xb \text{ } xv$ 
    by (metis evalDet evaltree-not-undef intval-is-null.cases intval-or.simps(3,4,5))
  p(1,2) xv
    then have evalNotUndef:  $\text{val}[xv \mid (\text{IntVal } 32 \ 0)] \neq \text{UndefVal}$ 
    using p evalDet xv by blast
    then have widthSame:  $xb = 32$ 
    by (metis intval-or.simps(1) new-int-bin.simps xv)
    then have orUnfold:  $\text{val}[xv \mid (\text{IntVal } 32 \ 0)] = (\text{new-int } xb \text{ } (or \ xv \ 0))$ 
    by (simp add: xv)
    then have simplify:  $\text{val}[xv \mid (\text{IntVal } 32 \ 0)] = (\text{new-int } xb \text{ } (xv))$ 
    by (simp add: orUnfold)
    then have eq:  $(xv) = (\text{new-int } xb \text{ } (xv))$ 
    using eval-unused-bits-zero xv xv by auto
    then show ?thesis
    by (metis evalDet p(1) simplify xv)
  qed
done

```

Optimisations

```

optimization OrEqual:  $x \mid x \mapsto x$ 
  by (meson exp-or-equal)

optimization OrShiftConstantRight:  $((\text{const } x) \mid y) \mapsto y \mid (\text{const } x) \text{ when } \neg(\text{is-ConstantExpr } y)$ 
  using size-flip-binary by (auto simp: BinaryExpr unfold-const val-shift-const-right-helper)

optimization EliminateRedundantFalse:  $x \mid \text{false} \mapsto x$ 
  by (meson exp-elim-redundant-false)

optimization OrNotOperands:  $(\sim x \mid \sim y) \mapsto \sim(x \ \& \ y)$ 
  apply (metis add-2-eq-Suc' less-SucI not-add-less1 not-less-eq size-binary-const size-non-add)
  using BinaryExpr UnaryExpr bin-eval.simps(4) intval-not.simps(2) unary-eval.simps(3)

  val-or-not-operands by fastforce

```

```

optimization OrLeftFallthrough:
   $x \mid y \mapsto x \text{ when } ((\text{and } (\text{not } (\text{IExpr-down } x)) (\text{IExpr-up } y)) = 0)$ 
  using simple-mask.OrLeftFallthrough by blast

```

```

optimization OrRightFallthrough:
   $x \mid y \mapsto y \text{ when } ((\text{and } (\text{not } (\text{IExpr-down } y)) (\text{IExpr-up } x)) = 0)$ 

```

```

    using simple-mask.OrRightFallthrough by blast

end

```

```

end

```

## 11.10 ShiftNode Phase

```

theory ShiftPhase

```

```

  imports

```

```

    Common

```

```

begin

```

```

  phase ShiftNode

```

```

    terminating size

```

```

begin

```

```

fun intval-log2 :: Value  $\Rightarrow$  Value where

```

```

  intval-log2 (IntVal b v) = IntVal b (word-of-int (SOME e. v=2e)) |

```

```

  intval-log2 - = UndefVal

```

```

fun in-bounds :: Value  $\Rightarrow$  int  $\Rightarrow$  int  $\Rightarrow$  bool where

```

```

  in-bounds (IntVal b v) l h = (l < sint v  $\wedge$  sint v < h) |

```

```

  in-bounds - l h = False

```

```

lemma

```

```

  assumes in-bounds (intval-log2 val-c) 0 32

```

```

  shows val[x << (intval-log2 val-c)] = val[x * val-c]

```

```

  apply (cases val-c; auto) using intval-left-shift.simps(1) intval-mul.simps(1) intval-log2.simps(1)

```

```

  sorry

```

```

lemma e-intval:

```

```

  n = intval-log2 val-c  $\wedge$  in-bounds n 0 32  $\longrightarrow$ 

```

```

  val[x << (intval-log2 val-c)] = val[x * val-c]

```

```

proof (rule impI)

```

```

  assume n = intval-log2 val-c  $\wedge$  in-bounds n 0 32

```

```

  show val[x << (intval-log2 val-c)] = val[x * val-c]

```

```

  proof (cases  $\exists v . val-c = \text{IntVal } 32\ v$ )

```

```

    case True

```

```

    obtain vc where val-c = IntVal 32 vc

```

```

    using True by blast

```

```

    then have n = IntVal 32 (word-of-int (SOME e. vc=2e))

```

```

    using  $\langle n = \text{intval-log2 } val-c \wedge \text{in-bounds } n\ 0\ 32 \rangle$  intval-log2.simps(1) by

```

```

presburger

```

```

    then show ?thesis sorry

```

```

  next

```

```

    case False

```

```

    then have  $\exists v . \text{val-}c = \text{IntVal } 64 \ v$ 
    sorry
    then obtain  $vc$  where  $\text{val-}c = \text{IntVal } 64 \ vc$ 
    by auto
    then have  $n = \text{IntVal } 64 \ (\text{word-of-int } (\text{SOME } e. \text{vc} = 2^{\wedge} e))$ 
    using  $\langle n = \text{intval-log2 } \text{val-}c \wedge \text{in-bounds } n \ 0 \ 32 \rangle \text{intval-log2.simps}(1)$  by
presburger
    then show ?thesis sorry
qed
qed

optimization  $e$ :
 $x * (\text{const } c) \mapsto x << (\text{const } n)$  when  $(n = \text{intval-log2 } c \wedge \text{in-bounds } n \ 0 \ 32)$ 
using  $e\text{-intval BinaryExprE ConstantExprE bin-eval.simps}(2,7)$  sorry

```

end

end

### 11.11 SignedDivNode Phase

```

theory SignedDivPhase
  imports
    Common
begin

phase SignedDivNode
  terminating size
begin

```

```

lemma val-division-by-one-is-self-32:
  assumes  $x = \text{new-int } 32 \ v$ 
  shows  $\text{intval-div } x \ (\text{IntVal } 32 \ 1) = x$ 
  using  $\text{assms}$  apply (cases  $x$ ; auto)
  by (simp add: take-bit-signed-take-bit)

```

end

end

### 11.12 SignedRemNode Phase

```

theory SignedRemPhase
  imports
    Common
begin

```

```

phase SignedRemNode
  terminating size
begin

lemma val-remainder-one:
  assumes intval-mod x (IntVal 32 1)  $\neq$  UndefVal
  shows intval-mod x (IntVal 32 1) = IntVal 32 0
  using assms apply (cases x; auto) sorry

value word-of-int (sint (x2::32 word) smod 1)

end

end

```

### 11.13 SubNode Phase

```

theory SubPhase
  imports
    Common
    Proofs.StampEvalThms
begin

phase SubNode
  terminating size
begin

lemma bin-sub-after-right-add:
  shows  $((x::('a::len) \text{ word}) + (y::('a::len) \text{ word})) - y = x$ 
  by simp

lemma sub-self-is-zero:
  shows  $(x::('a::len) \text{ word}) - x = 0$ 
  by simp

lemma bin-sub-then-left-add:
  shows  $(x::('a::len) \text{ word}) - (x + (y::('a::len) \text{ word})) = -y$ 
  by simp

lemma bin-sub-then-left-sub:
  shows  $(x::('a::len) \text{ word}) - (x - (y::('a::len) \text{ word})) = y$ 
  by simp

lemma bin-subtract-zero:
  shows  $(x :: 'a::len \text{ word}) - (0 :: 'a::len \text{ word}) = x$ 
  by simp

```

**lemma** *bin-sub-negative-value*:  
 $(x :: ('a::len) \text{ word}) - (-(y :: ('a::len) \text{ word})) = x + y$   
**by** *simp*

**lemma** *bin-sub-self-is-zero*:  
 $(x :: ('a::len) \text{ word}) - x = 0$   
**by** *simp*

**lemma** *bin-sub-negative-const*:  
 $(x :: 'a::len \text{ word}) - (-(y :: 'a::len \text{ word})) = x + y$   
**by** *simp*

**lemma** *val-sub-after-right-add-2*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[(x + y) - y] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x + y) - y] = x$   
**using** *assms* **apply** (*cases*  $x$ ; *cases*  $y$ ; *auto*)  
**by** (*metis* (*full-types*) *intval-sub.simps*(2))

**lemma** *val-sub-after-left-sub*:  
**assumes**  $\text{val}[(x - y) - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[(x - y) - x] = \text{val}[-y]$   
**using** *assms* *intval-sub.elims* **apply** (*cases*  $x$ ; *cases*  $y$ ; *auto*)  
**by** *fastforce*

**lemma** *val-sub-then-left-sub*:  
**assumes**  $y = \text{new-int } b \ v$   
**assumes**  $\text{val}[x - (x - y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (x - y)] = y$   
**using** *assms* **apply** (*cases*  $x$ ; *auto*)  
**by** (*metis* (*mono-tags*) *intval-sub.simps*(6))

**lemma** *val-subtract-zero*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[x - (\text{IntVal } b \ 0)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (\text{IntVal } b \ 0)] = x$   
**by** (*cases*  $x$ ; *simp* *add*: *assms*)

**lemma** *val-zero-subtract-value*:  
**assumes**  $x = \text{new-int } b \ v$   
**assumes**  $\text{val}[(\text{IntVal } b \ 0) - x] \neq \text{UndefVal}$   
**shows**  $\text{val}[(\text{IntVal } b \ 0) - x] = \text{val}[-x]$   
**by** (*cases*  $x$ ; *simp* *add*: *assms*)

**lemma** *val-sub-then-left-add*:  
**assumes**  $\text{val}[x - (x + y)] \neq \text{UndefVal}$   
**shows**  $\text{val}[x - (x + y)] = \text{val}[-y]$



```

using assms apply (cases x; cases y; auto)
by (metis (mono-tags, lifting) intval-sub.simps(6))

lemma val-sub-negative-value:
  assumes  $\text{val}[x - (-y)] \neq \text{UndefVal}$ 
  shows  $\text{val}[x - (-y)] = \text{val}[x + y]$ 
  by (cases x; cases y; simp add: assms)

lemma val-sub-self-is-zero:
  assumes  $x = \text{new-int } b \ v \wedge \text{val}[x - x] \neq \text{UndefVal}$ 
  shows  $\text{val}[x - x] = \text{new-int } b \ 0$ 
  by (cases x; simp add: assms)

lemma val-sub-negative-const:
  assumes  $y = \text{new-int } b \ v \wedge \text{val}[x - (-y)] \neq \text{UndefVal}$ 
  shows  $\text{val}[x - (-y)] = \text{val}[x + y]$ 
  by (cases x; simp add: assms)

lemma exp-sub-after-right-add:
  shows  $\text{exp}[(x + y) - y] \geq x$ 
  apply auto
  subgoal premises p for m p ya xa yaa
  proof–
    obtain xv where xv:  $[m, p] \vdash x \mapsto xv$ 
    using p(3) by auto
    obtain yv where yv:  $[m, p] \vdash y \mapsto yv$ 
    using p(1) by auto
    obtain xb xv where xv:  $xv = \text{IntVal } xb \ xv$ 
    by (metis Value.exhaust evalDet evaltree-not-undef intval-add.simps(3,4,5)
  intval-sub.simps(2)
    p(2,3) xv)
    obtain yb yv where yv:  $yv = \text{IntVal } yb \ yv$ 
    by (metis evalDet evaltree-not-undef intval-add.simps(7,8,9) intval-logic-negation.cases
  yv
    intval-sub.simps(2) p(2,4))
    then have lhsDefined:  $\text{val}[(xv + yv) - yv] \neq \text{UndefVal}$ 
    using xv yv apply (cases xv; cases yv; auto)
    by (metis evalDet intval-add.simps(1) p(3,4,5) xv yv)
    then show ?thesis
    by (metis  $\langle \bigwedge \text{thesis}. (\bigwedge (xb) \ xv. (xv) = \text{IntVal } xb \ xv \implies \text{thesis}) \implies \text{thesis} \rangle$ 
  evalDet xv yv
    eval-unused-bits-zero lhsDefined new-int.simps p(1,3,4) val-sub-after-right-add-2)
  qed
done

lemma exp-sub-after-right-add2:
  shows  $\text{exp}[(x + y) - x] \geq y$ 
  using exp-sub-after-right-add apply auto

```

```

    by (metis bin-eval.simps(1,2) intval-add-sym unfold-binary)

lemma exp-sub-negative-value:
  exp[x - (-y)] ≥ exp[x + y]
  apply auto
  subgoal premises p for m p xa ya
  proof -
    obtain xv where xv: [m,p] ⊢ x ↦ xv
    using p(1) by auto
    obtain yv where yv: [m,p] ⊢ y ↦ yv
    using p(3) by auto
    then have rhsEval: [m,p] ⊢ exp[x + y] ↦ val[xv + yv]
    by (metis bin-eval.simps(1) evalDet p(1,2,3) unfold-binary val-sub-negative-value
  xv)
    then show ?thesis
    by (metis evalDet p(1,2,3) val-sub-negative-value xv yv)
  qed
done

lemma exp-sub-then-left-sub:
  exp[x - (x - y)] ≥ y
  using val-sub-then-left-sub apply auto
  subgoal premises p for m p xa xaa ya
  proof-
    obtain xa where xa: [m, p] ⊢ x ↦ xa
    using p(2) by blast
    obtain ya where ya: [m, p] ⊢ y ↦ ya
    using p(5) by auto
    obtain xaa where xaa: [m, p] ⊢ x ↦ xaa
    using p(2) by blast
    have 1: val[xa - (xaa - ya)] ≠ UndefVal
    by (metis evalDet p(2,3,4,5) xa xaa ya)
    then have val[xaa - ya] ≠ UndefVal
    by auto
    then have [m, p] ⊢ y ↦ val[xa - (xaa - ya)]
    by (metis 1 Value.exhaust eval-unused-bits-zero evaltree-not-undef xa xaa ya
  new-int.simps
    intval-sub.simps(6,7,8,9) evalDet val-sub-then-left-sub)
    then show ?thesis
    by (metis evalDet p(2,4,5) xa xaa ya)
  qed
done

thm-oracles exp-sub-then-left-sub

lemma SubtractZero-Exp:
  exp[(x - (const IntVal b 0))] ≥ x
  apply auto
  subgoal premises p for m p xa

```

```

proof-
  obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
  using  $p(1)$  by auto
  obtain  $xb\ xvv$  where  $xvv: xv = \text{IntVal } xb\ xvv$ 
  by (metis array-length.cases evalDet evaltree-not-undef intval-sub.simps(3,4,5)
 $p(1,2)\ xv$ )
  then have  $\text{widthSame}: xb=b$ 
  by (metis evalDet intval-sub.simps(1) new-int-bin.simps p(1) p(2) xv)
  then have  $\text{unfoldSub}: \text{val}[xv - (\text{IntVal } b\ 0)] = (\text{new-int } xb\ (xvv-0))$ 
  by (simp add: xvv)
  then have  $\text{rhsSame}: \text{val}[xv] = (\text{new-int } xb\ (xvv))$ 
  using eval-unused-bits-zero xv xvv by auto
  then show ?thesis
  by (metis diff-zero evalDet p(1) unfoldSub xv)
qed
done

```

**lemma** *ZeroSubtractValue-Exp:*

```

assumes wf-stamp x
assumes stamp-expr x = IntegerStamp b lo hi
assumes  $\neg(\text{is-ConstantExpr } x)$ 
shows  $\text{exp}[(\text{const IntVal } b\ 0) - x] \geq \text{exp}[-x]$ 
using assms apply auto
subgoal premises  $p$  for  $m\ p\ xa$ 
proof-
  obtain  $xv$  where  $xv: [m,p] \vdash x \mapsto xv$ 
  using  $p(4)$  by auto
  obtain  $xb\ xvv$  where  $xvv: xv = \text{IntVal } xb\ xvv$ 
  by (metis constantAsStamp.cases evalDet evaltree-not-undef intval-sub.simps(7,8,9)
 $p(4,5)\ xv$ )
  then have  $\text{unfoldSub}: \text{val}[(\text{IntVal } b\ 0) - xv] = (\text{new-int } xb\ (0-xvv))$ 
  by (metis intval-sub.simps(1) new-int-bin.simps p(1,2) valid-int-same-bits
wf-stamp-def xv)
  then show ?thesis
  by (metis UnaryExpr intval-negate.simps(1) p(4,5) unary-eval.simps(2)
verit-minus-simplify(3)
evalDet xv xvv)
qed
done

```

Optimisations

```

optimization SubAfterAddRight:  $((x + y) - y) \mapsto x$ 
using exp-sub-after-right-add by blast

```

```

optimization SubAfterAddLeft:  $((x + y) - x) \mapsto y$ 
using exp-sub-after-right-add2 by blast

```

```

optimization SubAfterSubLeft:  $((x - y) - x) \mapsto -y$ 
by (smt (verit) Suc-lessI add-2-eq-Suc' add-less-cancel-right less-trans-Suc not-add-less1)

```

```

evalDet
  size-binary-const size-binary-lhs size-binary-rhs size-non-add BinaryExprE
bin-eval.simps(2)
  le-expr-def unary-eval.simps(2) unfold-unary val-sub-after-left-sub)+

optimization SubThenAddLeft:  $(x - (x + y)) \mapsto -y$ 
  apply auto
  by (metis evalDet unary-eval.simps(2) unfold-unary val-sub-then-left-add)

optimization SubThenAddRight:  $(y - (x + y)) \mapsto -x$ 
  apply auto
  by (metis evalDet intval-add-sym unary-eval.simps(2) unfold-unary val-sub-then-left-add)

optimization SubThenSubLeft:  $(x - (x - y)) \mapsto y$ 
  using size-simps exp-sub-then-left-sub by auto

optimization SubtractZero:  $(x - (\text{const IntVal } b \ 0)) \mapsto x$ 
  using SubtractZero-Exp by fast

thm-oracles SubtractZero

optimization SubNegativeValue:  $(x - (-y)) \mapsto x + y$ 
  apply (metis add-2-eq-Suc' less-SucI less-add-Suc1 not-less-eq size-binary-const
size-non-add)
  using exp-sub-negative-value by blast

thm-oracles SubNegativeValue

lemma negate-idempotent:
  assumes  $x = \text{IntVal } b \ v \wedge \text{take-bit } b \ v = v$ 
  shows  $x = \text{val}[-(-x)]$ 
  by (auto simp: assms is-IntVal-def)

optimization ZeroSubtractValue:  $((\text{const IntVal } b \ 0) - x) \mapsto (-x)$ 
  when (wf-stamp  $x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ \text{lo } hi \wedge \neg(\text{is-ConstantExpr } x))$ 
  using size-flip-binary ZeroSubtractValue-Exp by simp+

optimization SubSelfIsZero:  $(x - x) \mapsto \text{const IntVal } b \ 0$  when
  (wf-stamp  $x \wedge \text{stamp-expr } x = \text{IntegerStamp } b \ \text{lo } hi$ )
  using size-non-const apply auto

```

```

  by (smt (verit) wf-value-def ConstantExpr eval-bits-1-64 eval-unused-bits-zero
new-int.simps
    take-bit-of-0 val-sub-self-is-zero validDefIntConst valid-int wf-stamp-def One-nat-def
    evalDet)

```

```
end
```

```
end
```

### 11.14 XorNode Phase

```

theory XorPhase
  imports
    Common
    Proofs.StampEvalThms
begin

```

```

phase XorNode
  terminating size
begin

```

```

lemma bin-xor-self-is-false:
  bin[x  $\oplus$  x] = 0
  by simp

```

```

lemma bin-xor-commute:
  bin[x  $\oplus$  y] = bin[y  $\oplus$  x]
  by (simp add: xor.commute)

```

```

lemma bin-eliminate-redundant-false:
  bin[x  $\oplus$  0] = bin[x]
  by simp

```

```

lemma val-xor-self-is-false:
  assumes val[x  $\oplus$  x]  $\neq$  UndefVal
  shows val-to-bool (val[x  $\oplus$  x]) = False
  by (cases x; auto simp: assms)

```

```

lemma val-xor-self-is-false-2:
  assumes val[x  $\oplus$  x]  $\neq$  UndefVal
  and     x = IntVal 32 v
  shows val[x  $\oplus$  x] = bool-to-val False
  by (auto simp: assms)

```

```

lemma val-xor-self-is-false-3:
  assumes val[x  $\oplus$  x]  $\neq$  UndefVal  $\wedge$  x = IntVal 64 v

```

```

shows  $val[x \oplus x] = IntVal\ 64\ 0$ 
by (auto simp: assms)

lemma val-xor-commute:
   $val[x \oplus y] = val[y \oplus x]$ 
by (cases x; cases y; auto simp: xor.commute)

lemma val-eliminate-redundant-false:
  assumes  $x = new-int\ b\ v$ 
  assumes  $val[x \oplus (bool-to-val\ False)] \neq UndefVal$ 
  shows  $val[x \oplus (bool-to-val\ False)] = x$ 
  using assms by (auto; meson)

lemma exp-xor-self-is-false:
  assumes  $wf-stamp\ x \wedge stamp-expr\ x = default-stamp$ 
  shows  $exp[x \oplus x] \geq exp[false]$ 
  using assms apply auto
  subgoal premises  $p$  for  $m\ p\ xa\ ya$ 
  proof–
    obtain  $xv$  where  $xv: [m, p] \vdash x \mapsto xv$ 
    using  $p(3)$  by auto
    obtain  $xb\ xv$  where  $xv: xv = IntVal\ xb\ xv$ 
    by (metis Value.exhaust-sel assms evalDet evaltree-not-undef intval-xor.simps(5,7))
   $p(3,4,5)\ xv$ 
     $valid-value.simps(11)\ wf-stamp-def$ 
    then have  $unfoldXor: val[xv \oplus xv] = (new-int\ xb\ (xor\ xv\ xv))$ 
    by simp
    then have  $isZero: xor\ xv\ xv = 0$ 
    by simp
    then have  $width: xb = 32$ 
    by (metis valid-int-same-bits xv xv p(1,2) wf-stamp-def)
    then have  $isFalse: val[xv \oplus xv] = bool-to-val\ False$ 
    unfolding  $unfoldXor\ isZero\ width$  by fastforce
    then show ?thesis
    by (metis (no-types, lifting) eval-bits-1-64 p(3,4) width xv xv validDefIntConst
  IntVal0
     $Value.inject(1)\ bool-to-val.simps(2)\ evalDet\ new-int.simps\ unfold-const$ 
  wf-value-def)
  qed
done

lemma exp-eliminate-redundant-false:
  shows  $exp[x \oplus false] \geq exp[x]$ 
  using val-eliminate-redundant-false apply auto
  subgoal premises  $p$  for  $m\ p\ xa$ 
  proof –
    obtain  $xa$  where  $xa: [m, p] \vdash x \mapsto xa$ 
    using  $p(2)$  by blast

```

```

then have  $\text{val}[xa \oplus (\text{IntVal } 32 \ 0)] \neq \text{UndefVal}$ 
using evalDet p(2,3) by blast
then have  $[m, p] \vdash x \mapsto \text{val}[xa \oplus (\text{IntVal } 32 \ 0)]$ 
using eval-unused-bits-zero xa by (cases xa; auto)
then show ?thesis
using evalDet p(2) xa by blast
qed
done

```

Optimisations

```

optimization XorSelfIsFalse:  $(x \oplus x) \mapsto \text{false}$  when
   $(\text{wf-stamp } x \wedge \text{stamp-expr } x = \text{default-stamp})$ 
using size-non-const exp-xor-self-is-false by auto

```

```

optimization XorShiftConstantRight:  $((\text{const } x) \oplus y) \mapsto y \oplus (\text{const } x)$  when
 $\neg(\text{is-ConstantExpr } y)$ 
using size-flip-binary val-xor-commute by auto

```

```

optimization EliminateRedundantFalse:  $(x \oplus \text{false}) \mapsto x$ 
using exp-eliminate-redundant-false by auto

```

**end**

**end**

## 12 Conditional Elimination Phase

```

theory ConditionalElimination
imports
  Semantics.IRTreeEvalThms
  Proofs.Rewrites
  Proofs.Bisimulation
begin

```

### 12.1 Individual Elimination Rules

The set of rules used for determining whether a condition  $q1::'a$  implies another condition  $q2::'a$  or its negation. These rules are used for conditional elimination.

```

inductive impliesx :: IRExpr  $\Rightarrow$  IRExpr  $\Rightarrow$  bool  $(- \Rightarrow -)$  and
  impliesnot :: IRExpr  $\Rightarrow$  IRExpr  $\Rightarrow$  bool  $(- \Rightarrow \neg -)$  where
  q-imp-q:
     $q \Rightarrow q$  |
  eq-impliesnot-less:

```

$(BinaryExpr\ BinIntegerEquals\ x\ y) \Rightarrow \neg (BinaryExpr\ BinIntegerLessThan\ x\ y) \mid$   
*eq-impliesnot-less-rev:*  
 $(BinaryExpr\ BinIntegerEquals\ x\ y) \Rightarrow \neg (BinaryExpr\ BinIntegerLessThan\ y\ x) \mid$   
*less-impliesnot-rev-less:*  
 $(BinaryExpr\ BinIntegerLessThan\ x\ y) \Rightarrow \neg (BinaryExpr\ BinIntegerLessThan\ y\ x)$   
 $\mid$   
*less-impliesnot-eq:*  
 $(BinaryExpr\ BinIntegerLessThan\ x\ y) \Rightarrow \neg (BinaryExpr\ BinIntegerEquals\ x\ y) \mid$   
*less-impliesnot-eq-rev:*  
 $(BinaryExpr\ BinIntegerLessThan\ x\ y) \Rightarrow \neg (BinaryExpr\ BinIntegerEquals\ y\ x) \mid$   
*negate-true:*  
 $\llbracket x \Rightarrow \neg y \rrbracket \implies x \Rightarrow (UnaryExpr\ UnaryLogicNegation\ y) \mid$   
*negate-false:*  
 $\llbracket x \Rightarrow y \rrbracket \implies x \Rightarrow \neg (UnaryExpr\ UnaryLogicNegation\ y)$

The relation  $q1::IRExpr \Rightarrow q2::IRExpr$  indicates that the implication  $(q1::bool) \longrightarrow (q2::bool)$  is known true (i.e. universally valid), and the relation  $q1::IRExpr \Rightarrow \neg q2::IRExpr$  indicates that the implication  $(q1::bool) \longrightarrow (q2::bool)$  is known false (i.e.  $(q1::bool) \longrightarrow \neg (q2::bool)$  is universally valid). If neither  $q1::IRExpr \Rightarrow q2::IRExpr$  nor  $q1::IRExpr \Rightarrow \neg q2::IRExpr$  then the status is unknown. Only the known true and known false cases can be used for conditional elimination.

**fun** *implies-valid* ::  $IRExpr \Rightarrow IRExpr \Rightarrow bool$  (**infix**  $\rightsquigarrow$  50) **where**  
*implies-valid*  $q1\ q2 =$   
 $(\forall m\ p\ v1\ v2. ([m, p] \vdash q1 \mapsto v1) \wedge ([m, p] \vdash q2 \mapsto v2) \longrightarrow$   
 $(val\text{-}to\text{-}bool\ v1 \longrightarrow val\text{-}to\text{-}bool\ v2))$

**fun** *impliesnot-valid* ::  $IRExpr \Rightarrow IRExpr \Rightarrow bool$  (**infix**  $\rightsquigarrow$  50) **where**  
*impliesnot-valid*  $q1\ q2 =$   
 $(\forall m\ p\ v1\ v2. ([m, p] \vdash q1 \mapsto v1) \wedge ([m, p] \vdash q2 \mapsto v2) \longrightarrow$   
 $(val\text{-}to\text{-}bool\ v1 \longrightarrow \neg val\text{-}to\text{-}bool\ v2))$

The relation  $(q1::IRExpr) \rightsquigarrow (q2::IRExpr)$  means  $(q1::bool) \longrightarrow (q2::bool)$  is universally valid, and the relation  $(q1::IRExpr) \rightsquigarrow (q2::IRExpr)$  means  $(q1::bool) \longrightarrow \neg (q2::bool)$  is universally valid.

**lemma** *eq-impliesnot-less-helper:*  
 $v1 = v2 \longrightarrow \neg(int\text{-}signed\text{-}value\ b\ v1 < int\text{-}signed\text{-}value\ b\ v2)$   
**by** *force*

**lemma** *eq-impliesnot-less-val:*  
 $val\text{-}to\text{-}bool(intval\text{-}equals\ v1\ v2) \longrightarrow \neg val\text{-}to\text{-}bool(intval\text{-}less\text{-}than\ v1\ v2)$

**proof** –

**have** *unfoldEqualDefined:*  $(intval\text{-}equals\ v1\ v2 \neq UndefinedVal) \implies$   
 $(val\text{-}to\text{-}bool(intval\text{-}equals\ v1\ v2) \longrightarrow (\neg(val\text{-}to\text{-}bool(intval\text{-}less\text{-}than\ v1\ v2))))$

**subgoal** *premises*  $p$

**proof** –

**obtain**  $v1b\ v1v$  **where**  $v1v: v1 = IntVal\ v1b\ v1v$

**by**  $(metis\ array\text{-}length.cases\ intval\text{-}equals.simps(2,3,4,5)\ p)$



```

obtain v2b v2v where v2v: v2 = IntVal v2b v2v
  by (metis Value.exhaust-sel intval-equals.simps(6,7,8,9) p)
have sameWidth: v1b=v2b
  by (metis bool-to-val-bin.simps intval-equals.simps(1) p v1v v2v)
have unfoldEqual: intval-equals v1 v2 = (bool-to-val (v1v=v2v))
  by (simp add: sameWidth v1v v2v)
have unfoldLessThan: intval-less-than v1 v2 = (bool-to-val (int-signed-value v1b
v1v < int-signed-value v2b v2v))
  by (simp add: sameWidth v1v v2v)
have val: ((v1v=v2v))  $\longrightarrow$  ( $\neg$ ((int-signed-value v1b v1v < int-signed-value v2b
v2v)))
  using sameWidth by auto
have doubleCast0: val-to-bool (bool-to-val ((v1v = v2v))) = (v1v = v2v)
  using bool-to-val.elims val-to-bool.simps(1) by fastforce
have doubleCast1: val-to-bool (bool-to-val ((int-signed-value v1b v1v < int-signed-value
v2b v2v))) =
  (int-signed-value v1b v1v < int-signed-value
v2b v2v)
  using bool-to-val.elims val-to-bool.simps(1) by fastforce
then show ?thesis
  using p val unfolding unfoldEqual unfoldLessThan doubleCast0 doubleCast1
by blast
qed done
show ?thesis
  by (metis Value.distinct(1) val-to-bool.elims(2) unfoldEqualDefined)
qed

```

```

lemma eq-impliesnot-less-rev-val:
  val-to-bool(intval-equals v1 v2)  $\longrightarrow$   $\neg$ val-to-bool(intval-less-than v2 v1)
proof –
  have a: intval-equals v1 v2 = intval-equals v2 v1
  apply (cases intval-equals v1 v2 = UndefVal)
  apply (smt (z3) bool-to-val-bin.simps intval-equals.elims intval-equals.simps)
  subgoal premises p
  proof –
    obtain v1b v1v where v1v: v1 = IntVal v1b v1v
    by (metis Value.exhaust-sel intval-equals.simps(2,3,4,5) p)
    obtain v2b v2v where v2v: v2 = IntVal v2b v2v
    by (metis Value.exhaust-sel intval-equals.simps(6,7,8,9) p)
    then show ?thesis
    by (smt (verit) bool-to-val-bin.simps intval-equals.simps(1) v1v)
  qed done
show ?thesis
  using a eq-impliesnot-less-val by presburger
qed

```

```

lemma less-impliesnot-rev-less-val:
  val-to-bool(intval-less-than v1 v2)  $\longrightarrow$   $\neg$ val-to-bool(intval-less-than v2 v1)
  apply (rule impI)

```



```

      (is (?imp  $\longrightarrow$  ?val)  $\wedge$  (?notimp  $\longrightarrow$  ?notval))
proof (induct q1 q2 rule: impliesx-impliesnot.induct)
  case (q-imp-q q)
  then show ?case
    using evalDet by fastforce
next
  case (eq-impliesnot-less x y)
  then show ?case
    apply auto using eq-impliesnot-less-val evalDet by blast
next
  case (eq-impliesnot-less-rev x y)
  then show ?case
    apply auto using eq-impliesnot-less-rev-val evalDet by blast
next
  case (less-impliesnot-rev-less x y)
  then show ?case
    apply auto using less-impliesnot-rev-less-val evalDet by blast
next
  case (less-impliesnot-eq x y)
  then show ?case
    apply auto using less-impliesnot-eq-val evalDet by blast
next
  case (less-impliesnot-eq-rev x y)
  then show ?case
    apply auto by (metis eq-impliesnot-less-rev-val evalDet)
next
  case (negate-true x y)
  then show ?case
    apply auto by (metis logic-negation-relation-tree unary-eval.simps(4) un-
fold-unary)
next
  case (negate-false x y)
  then show ?case
    apply auto by (metis UnaryExpr logic-negation-relation-tree unary-eval.simps(4))
qed

```

We introduce a type *TriState::'a* (as in the GraalVM compiler) to represent when static analysis can tell us information about the value of a Boolean expression. If *Unknown::'a* then no information can be inferred and if *Known-True::'a*/*KnownFalse::'a* one can infer the expression is always true/false.

**datatype** *TriState* = *Unknown* | *KnownTrue* | *KnownFalse*

The *implies* relation corresponds to the *LogicNode.implies* method from the compiler which attempts to infer when one logic nodes value can be inferred from a known logic node.

**inductive** *implies* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *TriState*  $\Rightarrow$  *bool*  
 (-  $\vdash$  - & -  $\hookrightarrow$  -) **for** *g* **where**  
*eq-imp-less*:

$g \vdash (\text{IntegerEqualsNode } x \ y) \ \& \ (\text{IntegerLessThanNode } x \ y) \hookrightarrow \text{KnownFalse} \mid$   
*eq-imp-less-rev:*  
 $g \vdash (\text{IntegerEqualsNode } x \ y) \ \& \ (\text{IntegerLessThanNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$   
*less-imp-rev-less:*  
 $g \vdash (\text{IntegerLessThanNode } x \ y) \ \& \ (\text{IntegerLessThanNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$   
*less-imp-not-eq:*  
 $g \vdash (\text{IntegerLessThanNode } x \ y) \ \& \ (\text{IntegerEqualsNode } x \ y) \hookrightarrow \text{KnownFalse} \mid$   
*less-imp-not-eq-rev:*  
 $g \vdash (\text{IntegerLessThanNode } x \ y) \ \& \ (\text{IntegerEqualsNode } y \ x) \hookrightarrow \text{KnownFalse} \mid$

*x-imp-x:*  
 $g \vdash x \ \& \ x \hookrightarrow \text{KnownTrue} \mid$

*negate-false:*  
 $\llbracket g \vdash x \ \& \ (\text{kind } g \ y) \hookrightarrow \text{KnownTrue} \rrbracket \implies g \vdash x \ \& \ (\text{LogicNegationNode } y) \hookrightarrow \text{KnownFalse} \mid$   
*negate-true:*  
 $\llbracket g \vdash x \ \& \ (\text{kind } g \ y) \hookrightarrow \text{KnownFalse} \rrbracket \implies g \vdash x \ \& \ (\text{LogicNegationNode } y) \hookrightarrow \text{KnownTrue}$

Total relation over partial implies relation

**inductive** *condition-implies* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *IRNode*  $\Rightarrow$  *TriState*  $\Rightarrow$  *bool*  
 ( -  $\vdash$  -  $\&$  -  $\hookrightarrow$  - ) **for** *g* **where**  
 $\llbracket \neg(g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \ \& \ b \hookrightarrow \text{Unknown}) \mid$   
 $\llbracket (g \vdash a \ \& \ b \hookrightarrow \text{imp}) \rrbracket \implies (g \vdash a \ \& \ b \hookrightarrow \text{imp})$

**inductive** *implies-tree* :: *IRExpr*  $\Rightarrow$  *IRExpr*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool*  
 ( -  $\&$  -  $\hookrightarrow$  - ) **where**  
*eq-imp-less:*  
 $(\text{BinaryExpr } \text{BinIntegerEquals } x \ y) \ \& \ (\text{BinaryExpr } \text{BinIntegerLessThan } x \ y) \hookrightarrow \text{False} \mid$   
*eq-imp-less-rev:*  
 $(\text{BinaryExpr } \text{BinIntegerEquals } x \ y) \ \& \ (\text{BinaryExpr } \text{BinIntegerLessThan } y \ x) \hookrightarrow \text{False} \mid$   
*less-imp-rev-less:*  
 $(\text{BinaryExpr } \text{BinIntegerLessThan } x \ y) \ \& \ (\text{BinaryExpr } \text{BinIntegerLessThan } y \ x) \hookrightarrow \text{False} \mid$   
*less-imp-not-eq:*  
 $(\text{BinaryExpr } \text{BinIntegerLessThan } x \ y) \ \& \ (\text{BinaryExpr } \text{BinIntegerEquals } x \ y) \hookrightarrow \text{False} \mid$   
*less-imp-not-eq-rev:*  
 $(\text{BinaryExpr } \text{BinIntegerLessThan } x \ y) \ \& \ (\text{BinaryExpr } \text{BinIntegerEquals } y \ x) \hookrightarrow \text{False} \mid$   
*x-imp-x:*  
 $x \ \& \ x \hookrightarrow \text{True} \mid$   
*negate-false:*  
 $\llbracket x \ \& \ y \hookrightarrow \text{True} \rrbracket \implies x \ \& \ (\text{UnaryExpr } \text{UnaryLogicNegation } y) \hookrightarrow \text{False} \mid$   
*negate-true:*  
 $\llbracket x \ \& \ y \hookrightarrow \text{False} \rrbracket \implies x \ \& \ (\text{UnaryExpr } \text{UnaryLogicNegation } y) \hookrightarrow \text{True}$

Proofs that the implies relation is correct with respect to the existing evaluation semantics.

**lemma** *logic-negation-relation*:

```

assumes [g, m, p] ⊢ y ↦ val
assumes kind g neg = LogicNegationNode y
assumes [g, m, p] ⊢ neg ↦ invval
assumes invval ≠ UndefVal
shows val-to-bool val ⟷ ¬(val-to-bool invval)
by (metis assms(1,2,3) LogicNegationNode encodeeval-def logic-negation-relation-tree
repDet)

```

**lemma** *implies-valid*:

```

assumes x & y ⟷ imp
assumes [m, p] ⊢ x ↦ v1
assumes [m, p] ⊢ y ↦ v2
shows (imp ⟶ (val-to-bool v1 ⟶ val-to-bool v2)) ∧
      (¬imp ⟶ (val-to-bool v1 ⟶ ¬(val-to-bool v2)))
      (is (?TP ⟶ ?TC) ∧ (?FP ⟶ ?FC))
apply (intro conjI; rule impI)
proof –
  assume KnownTrue: ?TP
  show ?TC
using assms(1) KnownTrue assms(2–) proof (induct x y imp rule: implies-tree.induct)
  case (eq-imp-less x y)
  then show ?case
    by simp
next
  case (eq-imp-less-rev x y)
  then show ?case
    by simp
next
  case (less-imp-rev-less x y)
  then show ?case
    by simp
next
  case (less-imp-not-eq x y)
  then show ?case
    by simp
next
  case (less-imp-not-eq-rev x y)
  then show ?case
    by simp
next
  case (x-imp-x)
  then show ?case
    by (metis evalDet)
next
  case (negate-false x1)
  then show ?case

```

```

    using evalDet assms(2,3) by fast
next
  case (negate-true x y)
  then show ?case
    using logic-negation-relation-tree sorry
qed
next
  assume KnownFalse: ?FP
  show ?FC using assms KnownFalse proof (induct x y imp rule: implies-tree.induct)
    case (eq-imp-less x y)
    obtain xval where xval:  $[m, p] \vdash x \mapsto xval$ 
    using eq-imp-less(1) by blast
    then obtain yval where yval:  $[m, p] \vdash y \mapsto yval$ 
    using eq-imp-less.prem(2) by blast
    have egeval:  $[m, p] \vdash (\text{BinaryExpr BinIntegerEquals } x \ y) \mapsto \text{intval-equals } xval \ yval$ 
    by (metis xval yval BinaryExprE bin-eval.simps(13) eq-imp-less.prem(1) evalDet)
    have lesseval:  $[m, p] \vdash (\text{BinaryExpr BinIntegerLessThan } x \ y) \mapsto \text{intval-less-than } xval \ yval$ 
    by (metis xval yval BinaryExprE bin-eval.simps(14) eq-imp-less.prem(2) evalDet)
    have val-to-bool (intval-equals xval yval)  $\longrightarrow \neg(\text{val-to-bool } (\text{intval-less-than } xval \ yval))$ 
    apply (cases xval; cases yval; auto)
    by (smt (verit, best) bool-to-val.simps(2) val-to-bool.simps(1))
    then show ?case
    by (metis egeval lesseval eq-imp-less.prem(1,2) evalDet)
next
  case (eq-imp-less-rev x y)
  obtain xval where xval:  $[m, p] \vdash x \mapsto xval$ 
  using eq-imp-less-rev.prem(2) by blast
  obtain yval where yval:  $[m, p] \vdash y \mapsto yval$ 
  using eq-imp-less-rev.prem(2) by blast
  have egeval:  $[m, p] \vdash (\text{BinaryExpr BinIntegerEquals } x \ y) \mapsto \text{intval-equals } xval \ yval$ 
  by (metis xval yval BinaryExprE bin-eval.simps(13) eq-imp-less-rev.prem(1) evalDet)
  have lesseval:  $[m, p] \vdash (\text{BinaryExpr BinIntegerLessThan } y \ x) \mapsto \text{intval-less-than } yval \ xval$ 
  by (metis xval yval BinaryExprE bin-eval.simps(14) eq-imp-less-rev.prem(2) evalDet)
  have val-to-bool (intval-equals xval yval)  $\longrightarrow \neg(\text{val-to-bool } (\text{intval-less-than } yval \ xval))$ 
  apply (cases xval; cases yval; auto)
  by (metis (full-types) bool-to-val.simps(2) less-irrefl val-to-bool.simps(1))
  then show ?case
  by (metis eq-imp-less-rev.prem(1) eq-imp-less-rev.prem(2) evalDet egeval lesseval)

```

```

next
  case (less-imp-rev-less x y)
  obtain xval where xval: [m, p] ⊢ x ↦ xval
  using less-imp-rev-less.prem(2) by blast
  obtain yval where yval: [m, p] ⊢ y ↦ yval
  using less-imp-rev-less.prem(2) by blast
  have lesseval: [m, p] ⊢ (BinaryExpr BinIntegerLessThan x y) ↦ intval-less-than
xval yval
  by (metis BinaryExprE bin-eval.simp(14) evalDet less-imp-rev-less.prem(1)
xval yval)
  have revlesseval: [m, p] ⊢ (BinaryExpr BinIntegerLessThan y x) ↦ int-
val-less-than yval xval
  by (metis BinaryExprE bin-eval.simp(14) evalDet less-imp-rev-less.prem(2)
xval yval)
  have val-to-bool (intval-less-than xval yval) ⟶ ¬(val-to-bool (intval-less-than
yval xval))
  apply (cases xval; cases yval; auto)
  by (smt (verit) bool-to-val.simp(2) val-to-bool.simp(1))
  then show ?case
  by (metis evalDet less-imp-rev-less.prem(1,2) lesseval revlesseval)
next
  case (less-imp-not-eq x y)
  obtain xval where xval: [m, p] ⊢ x ↦ xval
  using less-imp-not-eq.prem(1) by blast
  obtain yval where yval: [m, p] ⊢ y ↦ yval
  using less-imp-not-eq.prem(1) by blast
  have egeval: [m, p] ⊢ (BinaryExpr BinIntegerEquals x y) ↦ intval-equals xval
yval
  by (metis BinaryExprE bin-eval.simp(13) evalDet less-imp-not-eq.prem(2)
xval yval)
  have lesseval: [m, p] ⊢ (BinaryExpr BinIntegerLessThan x y) ↦ intval-less-than
xval yval
  by (metis BinaryExprE bin-eval.simp(14) evalDet less-imp-not-eq.prem(1)
xval yval)
  have val-to-bool (intval-less-than xval yval) ⟶ ¬(val-to-bool (intval-equals xval
yval))
  apply (cases xval; cases yval; auto)
  by (smt (verit, best) bool-to-val.simp(2) val-to-bool.simp(1))
  then show ?case
  by (metis egeval evalDet less-imp-not-eq.prem(1,2) lesseval)
next
  case (less-imp-not-eq-rev x y)
  obtain xval where xval: [m, p] ⊢ x ↦ xval
  using less-imp-not-eq-rev.prem(1) by blast
  obtain yval where yval: [m, p] ⊢ y ↦ yval
  using less-imp-not-eq-rev.prem(1) by blast
  have egeval: [m, p] ⊢ (BinaryExpr BinIntegerEquals y x) ↦ intval-equals yval
xval
  by (metis xval yval BinaryExprE bin-eval.simp(13) evalDet less-imp-not-eq-rev.prem(2))

```

```

have lesseval:  $[m, p] \vdash (BinaryExpr\ BinIntegerLessThan\ x\ y) \mapsto intval-less-than$ 
 $xval\ yval$ 
by (metis  $xval\ yval\ BinaryExprE\ bin-eval.simps(14)\ evalDet\ less-imp-not-eq-rev.prem(1)$ )
have val-to-bool ( $intval-less-than\ xval\ yval$ )  $\longrightarrow \neg(val-to-bool\ (intval-equals\ yval$ 
 $xval))$ 
apply (cases  $xval$ ; cases  $yval$ ; auto)
by (smt (verit, best)  $bool-to-val.simps(2)\ val-to-bool.simps(1)$ )
then show ?case
by (metis  $egeval\ evalDet\ less-imp-not-eq-rev.prem(1,2)\ lesseval$ )
next
case ( $x-imp-x\ x1$ )
then show ?case
by simp
next
case ( $negate-false\ x\ y$ )
then show ?case sorry
next
case ( $negate-true\ x1$ )
then show ?case
by simp
qed
qed

```

```

lemma implies-true-valid:
assumes  $x \ \& \ y \hookrightarrow imp$ 
assumes  $imp$ 
assumes  $[m, p] \vdash x \mapsto v1$ 
assumes  $[m, p] \vdash y \mapsto v2$ 
shows  $val-to-bool\ v1 \longrightarrow val-to-bool\ v2$ 
using assms implies-valid by blast

```

```

lemma implies-false-valid:
assumes  $x \ \& \ y \hookrightarrow imp$ 
assumes  $\neg imp$ 
assumes  $[m, p] \vdash x \mapsto v1$ 
assumes  $[m, p] \vdash y \mapsto v2$ 
shows  $val-to-bool\ v1 \longrightarrow \neg(val-to-bool\ v2)$ 
using assms implies-valid by blast

```

The following relation corresponds to the UnaryOpLogicNode.tryFold and BinaryOpLogicNode.tryFold methods and their associated concrete implementations.

The relation determines if a logic operation can be shown true or false through the stamp typing information.

```

inductive tryFold ::  $IRNode \Rightarrow (ID \Rightarrow Stamp) \Rightarrow bool \Rightarrow bool$ 
where
   $\llbracket alwaysDistinct\ (stamps\ x)\ (stamps\ y) \rrbracket$ 
     $\implies tryFold\ (IntegerEqualsNode\ x\ y)\ stamps\ False \mid$ 
   $\llbracket neverDistinct\ (stamps\ x)\ (stamps\ y) \rrbracket$ 

```



```

    ==> tryFold (IntegerEqualsNode x y) stamps True |
  [[is-IntegerStamp (stamps x);
    is-IntegerStamp (stamps y);
    stpi-upper (stamps x) < stpi-lower (stamps y)]]
    ==> tryFold (IntegerLessThanNode x y) stamps True |
  [[is-IntegerStamp (stamps x);
    is-IntegerStamp (stamps y);
    stpi-lower (stamps x) ≥ stpi-upper (stamps y)]]
    ==> tryFold (IntegerLessThanNode x y) stamps False

```

Proofs that show that when the stamp lookup function is well-formed, the tryFold relation correctly predicts the output value with respect to our evaluation semantics.

**lemma**

```

  assumes kind g nid = IntegerEqualsNode x y
  assumes [g, m, p] ⊢ nid ↦ v
  assumes ([g, m, p] ⊢ x ↦ xval) ∧ ([g, m, p] ⊢ y ↦ yval)
  shows val-to-bool (intval-equals xval yval) ⟷ v = IntVal 32 1
proof -
  have v = intval-equals xval yval
  by (smt (verit) bin-eval.simps(13) encodeeval-def evalDet repDet IntegerEqualsNode BinaryExprE
    assms)
  then show ?thesis
  by (metis bool-to-val.simps(1,2) one-neq-zero val-to-bool.simps(1,2) intval-equals-result)
qed

```

**lemma** tryFoldIntegerEqualsAlwaysDistinct:

```

  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerEqualsNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes alwaysDistinct (stamps x) (stamps y)
  shows v = IntVal 32 0
proof -
  have ∀ val. ¬(valid-value val (join (stamps x) (stamps y)))
  by (smt (verit, best) is-stamp-empty.elims(2) valid-int valid-value.simps(1)
    assms(1,4)
    alwaysDistinct.simps)
  obtain xv where [g, m, p] ⊢ x ↦ xv
  using assms unfolding encodeeval-def sorry
  have ¬(∃ val . ([g, m, p] ⊢ x ↦ val) ∧ ([g, m, p] ⊢ y ↦ val))
  using assms(1,4) unfolding alwaysDistinct.simps wf-stamp.simps encodeeval-def sorry
  then show ?thesis
  sorry
qed

```

**lemma** tryFoldIntegerEqualsNeverDistinct:

```

  assumes wf-stamp g stamps

```

```

assumes kind g nid = (IntegerEqualsNode x y)
assumes [g, m, p] ⊢ nid ↦ v
assumes neverDistinct (stamps x) (stamps y)
shows v = IntVal 32 1
using assms IntegerEqualsNodeE sorry

lemma tryFoldIntegerLessThanTrue:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerLessThanNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes stpi-upper (stamps x) < stpi-lower (stamps y)
  shows v = IntVal 32 1
proof –
  have stamp-type: is-IntegerStamp (stamps x)
    using assms
  sorry
  obtain xval where xval: [g, m, p] ⊢ x ↦ xval
    using assms(2,3) sorry
  obtain yval where yval: [g, m, p] ⊢ y ↦ yval
    using assms(2,3) sorry
  have is-IntegerStamp (stamps x) ∧ is-IntegerStamp (stamps y)
    using assms(4)
  sorry
  then have val-to-bool (intval-less-than xval yval)
    sorry
  then show ?thesis
    sorry
qed

lemma tryFoldIntegerLessThanFalse:
  assumes wf-stamp g stamps
  assumes kind g nid = (IntegerLessThanNode x y)
  assumes [g, m, p] ⊢ nid ↦ v
  assumes stpi-lower (stamps x) ≥ stpi-upper (stamps y)
  shows v = IntVal 32 0
  proof –
  have stamp-type: is-IntegerStamp (stamps x)
    using assms sorry
  obtain xval where xval: [g, m, p] ⊢ x ↦ xval
    using assms(2,3) sorry
  obtain yval where yval: [g, m, p] ⊢ y ↦ yval
    using assms(2,3) sorry
  have is-IntegerStamp (stamps x) ∧ is-IntegerStamp (stamps y)
    using assms(4) sorry
  then have ¬(val-to-bool (intval-less-than xval yval))
    sorry
  then show ?thesis
    sorry
qed

```

```

theorem tryFoldProofTrue:
  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps True
  assumes  $[g, m, p] \vdash \text{nid} \mapsto v$ 
  shows val-to-bool v
  using assms(2) proof (induction kind g nid stamps True rule: tryFold.induct)
case (1 stamps x y)
  then show ?case
    using tryFoldIntegerEqualsAlwaysDistinct assms by force
next
  case (2 stamps x y)
  then show ?case
    by (smt (verit, best) one-neq-zero tryFold.cases tryFoldIntegerEqualsNeverDis-
tinct assms
      tryFoldIntegerLessThanTrue val-to-bool.simps(1))
next
  case (3 stamps x y)
  then show ?case
    by (smt (verit, best) one-neq-zero tryFold.cases tryFoldIntegerEqualsNeverDis-
tinct assms
      val-to-bool.simps(1) tryFoldIntegerLessThanTrue)
next
case (4 stamps x y)
  then show ?case
    by force
qed

theorem tryFoldProofFalse:
  assumes wf-stamp g stamps
  assumes tryFold (kind g nid) stamps False
  assumes  $[g, m, p] \vdash \text{nid} \mapsto v$ 
  shows  $\neg(\text{val-to-bool } v)$ 
using assms(2) proof (induction kind g nid stamps False rule: tryFold.induct)
case (1 stamps x y)
  then show ?case
    by (smt (verit) tryFoldIntegerLessThanFalse tryFoldIntegerEqualsAlwaysDistinct
tryFold.cases
      tryFoldIntegerEqualsNeverDistinct val-to-bool.simps(1) assms)
next
case (2 stamps x y)
  then show ?case
    by blast
next
  case (3 stamps x y)
  then show ?case
    by blast
next
  case (4 stamps x y)

```

```

then show ?case
  by (smt (verit, del-insts) tryFold.cases tryFoldIntegerEqualsAlwaysDistinct
    val-to-bool.simps(1)
    tryFoldIntegerLessThanFalse assms)
qed

```

**inductive-cases** *StepE*:

$g, p \vdash (nid, m, h) \rightarrow (nid', m', h)$

Perform conditional elimination rewrites on the graph for a particular node. In order to determine conditional eliminations appropriately the rule needs two data structures produced by static analysis. The first parameter is the set of IRNodes that we know result in a true value when evaluated. The second parameter is a mapping from node identifiers to the flow-sensitive stamp.

The relation transforms the third parameter to the fifth parameter for a node identifier which represents the fourth parameter.

**inductive** *ConditionalEliminationStep* ::

$IRExpr \text{ set} \Rightarrow (ID \Rightarrow Stamp) \Rightarrow IRGraph \Rightarrow ID \Rightarrow IRGraph \Rightarrow bool$  **where**  
*impliesTrue*:

```

[[kind g ifcond = (IfNode cid t f);
  g ⊢ cid ≃ cond;
  ∃ ce ∈ conds . (ce ⇒ cond);
  g' = constantCondition True ifcond (kind g ifcond) g
]] ⇒ ConditionalEliminationStep conds stamps g ifcond g' |

```

*impliesFalse*:

```

[[kind g ifcond = (IfNode cid t f);
  g ⊢ cid ≃ cond;
  ∃ ce ∈ conds . (ce ⇒ ¬ cond);
  g' = constantCondition False ifcond (kind g ifcond) g
]] ⇒ ConditionalEliminationStep conds stamps g ifcond g' |

```

*tryFoldTrue*:

```

[[kind g ifcond = (IfNode cid t f);
  cond = kind g cid;
  tryFold (kind g cid) stamps True;
  g' = constantCondition True ifcond (kind g ifcond) g
]] ⇒ ConditionalEliminationStep conds stamps g ifcond g' |

```

*tryFoldFalse*:

```

[[kind g ifcond = (IfNode cid t f);
  cond = kind g cid;
  tryFold (kind g cid) stamps False;
  g' = constantCondition False ifcond (kind g ifcond) g
]] ⇒ ConditionalEliminationStep conds stamps g ifcond g' |

```

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) *ConditionalEliminationStep* .

**thm** *ConditionalEliminationStep.equation*

## 12.2 Control-flow Graph Traversal

**type-synonym** *Seen* = *ID set*

**type-synonym** *Condition* = *IRExpr*

**type-synonym** *Conditions* = *Condition list*

**type-synonym** *StampFlow* = (*ID*  $\Rightarrow$  *Stamp*) *list*

*nextEdge* helps determine which node to traverse next by returning the first successor edge that isn't in the set of already visited nodes. If there is not an appropriate successor, *None* is returned instead.

```
fun nextEdge :: Seen  $\Rightarrow$  ID  $\Rightarrow$  IRGraph  $\Rightarrow$  ID option where
  nextEdge seen nid g =
    (let nids = (filter ( $\lambda$ nid'. nid'  $\notin$  seen) (successors-of (kind g nid))) in
     (if length nids > 0 then Some (hd nids) else None))
```

*pred* determines which node, if any, acts as the predecessor of another.

Merge nodes represent a special case where-in the predecessor exists as an input edge of the merge node, to simplify the traversal we treat only the first input end node as the predecessor, ignoring that multiple nodes may act as a successor.

For all other nodes, the predecessor is the first element of the predecessors set. Note that in a well-formed graph there should only be one element in the predecessor set.

```
fun pred :: IRGraph  $\Rightarrow$  ID  $\Rightarrow$  ID option where
  pred g nid = (case kind g nid of
    (MergeNode ends -)  $\Rightarrow$  Some (hd ends) |
    -  $\Rightarrow$ 
      (if IRGraph.predecessors g nid = {}
       then None else
        Some (hd (sorted-list-of-set (IRGraph.predecessors g nid))))
  )
```

When the basic block of an if statement is entered, we know that the condition of the preceding if statement must be true. As in the GraalVM compiler, we introduce the *registerNewCondition* function which roughly corresponds to the *ConditionalEliminationPhase.registerNewCondition*. This method updates the flow-sensitive stamp information based on the condition which we know must be true.

```
fun clip-upper :: Stamp  $\Rightarrow$  int  $\Rightarrow$  Stamp where
  clip-upper (IntegerStamp b l h) c = (IntegerStamp b l c) |
  clip-upper s c = s
fun clip-lower :: Stamp  $\Rightarrow$  int  $\Rightarrow$  Stamp where
```

*clip-lower* (*IntegerStamp* *b l h*) *c* = (*IntegerStamp* *b c h*) |  
*clip-lower* *s c* = *s*

**fun** *registerNewCondition* :: *IRGraph*  $\Rightarrow$  *IRNode*  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*)  $\Rightarrow$  (*ID*  $\Rightarrow$  *Stamp*) **where**

*registerNewCondition* *g* (*IntegerEqualsNode* *x y*) *stamps* =  
 (*stamps*  
   (*x* := *join* (*stamps* *x*) (*stamps* *y*)))  
   (*y* := *join* (*stamps* *x*) (*stamps* *y*))) |

*registerNewCondition* *g* (*IntegerLessThanNode* *x y*) *stamps* =  
 (*stamps*  
   (*x* := *clip-upper* (*stamps* *x*) (*stpi-lower* (*stamps* *y*))))  
   (*y* := *clip-lower* (*stamps* *y*) (*stpi-upper* (*stamps* *x*)))) |  
*registerNewCondition* *g* - *stamps* = *stamps*

**fun** *hdOr* :: '*a* *list*  $\Rightarrow$  '*a*  $\Rightarrow$  '*a* **where**

*hdOr* (*x* # *xs*) *de* = *x* |  
*hdOr* [] *de* = *de*

The Step relation is a small-step traversal of the graph which handles transitions between individual nodes of the graph.

It relates a pairs of tuple of the current node, the set of seen nodes, the always true stack of IfNode conditions, and the flow-sensitive stamp information.

**inductive** *Step*

:: *IRGraph*  $\Rightarrow$  (*ID*  $\times$  *Seen*  $\times$  *Conditions*  $\times$  *StampFlow*)  $\Rightarrow$  (*ID*  $\times$  *Seen*  $\times$  *Conditions*  $\times$  *StampFlow*) *option*  $\Rightarrow$  *bool*

**for** *g* **where**

— Hit a BeginNode with an IfNode predecessor which represents the start of a basic block for the IfNode. 1. *nid'* will be the successor of the begin node. 2. Find the first and only predecessor. 3. Extract condition from the preceding IfNode. 4. Negate condition if the begin node is second branch (we've taken the else branch of the condition) 5. Add the condition or the negated condition to stack 6. Perform any stamp updates based on the condition using the *registerNewCondition* function and place them on the top of the stack of stamp information

[[*kind* *g* *nid* = *BeginNode* *nid'*;

*nid*  $\notin$  *seen*;  
*seen'* = {*nid*}  $\cup$  *seen*;

*Some* *ifcond* = *pred* *g* *nid*;  
*kind* *g* *ifcond* = *IfNode* *cond* *t* *f*;

*i* = *find-index* *nid* (*successors-of* (*kind* *g* *ifcond*));  
*c* = (*if* *i* = 0 *then* *kind* *g* *cond* *else* *LogicNegationNode* *cond*);  
*rep* *g* *cond* *ce*;  
*ce'* = (*if* *i* = 0 *then* *ce* *else* *UnaryExpr* *UnaryLogicNegation* *ce*);  
*conds'* = *ce'* # *conds*;

$flow' = registerNewCondition\ g\ c\ (hdOr\ flow\ (stamp\ g))$   
 $\implies Step\ g\ (nid,\ seen,\ conds,\ flow)\ (Some\ (nid',\ seen',\ conds',\ flow'\ \# \ flow))\ |$

— Hit an EndNode 1.  $nid'$  will be the usage of EndNode 2. pop the conditions and stamp stack

$\llbracket kind\ g\ nid = EndNode;$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$nid' = any-usage\ g\ nid;$

$conds' = tl\ conds;$   
 $flow' = tl\ flow$

$\implies Step\ g\ (nid,\ seen,\ conds,\ flow)\ (Some\ (nid',\ seen',\ conds',\ flow'))\ |$

— We can find a successor edge that is not in seen, go there

$\llbracket \neg(is-EndNode\ (kind\ g\ nid));$   
 $\neg(is-BeginNode\ (kind\ g\ nid));$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$Some\ nid' = nextEdge\ seen'\ nid\ g$

$\implies Step\ g\ (nid,\ seen,\ conds,\ flow)\ (Some\ (nid',\ seen',\ conds,\ flow))\ |$

— We can cannot find a successor edge that is not in seen, give back None

$\llbracket \neg(is-EndNode\ (kind\ g\ nid));$   
 $\neg(is-BeginNode\ (kind\ g\ nid));$

$nid \notin seen;$   
 $seen' = \{nid\} \cup seen;$

$None = nextEdge\ seen'\ nid\ g$

$\implies Step\ g\ (nid,\ seen,\ conds,\ flow)\ None\ |$

— We've already seen this node, give back None

$\llbracket nid \in seen \rrbracket \implies Step\ g\ (nid,\ seen,\ conds,\ flow)\ None$

**code-pred** (*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow bool$ ) *Step* .

The ConditionalEliminationPhase relation is responsible for combining the individual traversal steps from the Step relation and the optimizations from the ConditionalEliminationStep relation to perform a transformation of the whole graph.

**inductive** ConditionalEliminationPhase

$:: IRGraph \Rightarrow (ID \times Seen \times Conditions \times StampFlow) \Rightarrow IRGraph \Rightarrow bool$

**where**

— Can do a step and optimise for the current node

$$\begin{aligned} & \llbracket \text{Step } g \text{ (nid, seen, conds, flow) (Some (nid', seen', conds', flow'))}; \\ & \quad \text{ConditionalEliminationStep (set conds) (hdOr flow (stamp g)) } g \text{ nid } g'; \\ & \quad \text{ConditionalEliminationPhase } g' \text{ (nid', seen', conds', flow')} g' \rrbracket \\ & \implies \text{ConditionalEliminationPhase } g \text{ (nid, seen, conds, flow) } g'' \mid \end{aligned}$$

— Can do a step, matches whether optimised or not causing non-determinism We need to find a way to negate ConditionalEliminationStep

$$\begin{aligned} & \llbracket \text{Step } g \text{ (nid, seen, conds, flow) (Some (nid', seen', conds', flow'))}; \\ & \quad \text{ConditionalEliminationPhase } g \text{ (nid', seen', conds', flow')} g' \rrbracket \\ & \implies \text{ConditionalEliminationPhase } g \text{ (nid, seen, conds, flow) } g' \mid \end{aligned}$$

— Can't do a step but there is a predecessor we can backtrace to

$$\begin{aligned} & \llbracket \text{Step } g \text{ (nid, seen, conds, flow) None}; \\ & \quad \text{Some nid' = pred } g \text{ nid}; \\ & \quad \text{seen' = \{nid\} } \cup \text{seen}; \\ & \quad \text{ConditionalEliminationPhase } g \text{ (nid', seen', conds, flow) } g' \rrbracket \\ & \implies \text{ConditionalEliminationPhase } g \text{ (nid, seen, conds, flow) } g' \mid \end{aligned}$$

— Can't do a step and have no predecessors so terminate

$$\begin{aligned} & \llbracket \text{Step } g \text{ (nid, seen, conds, flow) None}; \\ & \quad \text{None = pred } g \text{ nid} \rrbracket \\ & \implies \text{ConditionalEliminationPhase } g \text{ (nid, seen, conds, flow) } g \end{aligned}$$

**code-pred** (modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ) ConditionalEliminationPhase .

**definition** runConditionalElimination :: IRGraph  $\Rightarrow$  IRGraph **where**

$$\begin{aligned} & \text{runConditionalElimination } g = \\ & \quad (\text{Predicate.the (ConditionalEliminationPhase-}i\text{-}i\text{-}o \text{ } g \text{ (0, \{\}, ([], []))})) \end{aligned}$$

**end**