“Decoding (urban) form and function using spatially explicit deep learning”

Abstract: This paper explores how can geographical dimension be in- corporated into deep learning designed to understand the composition of urban landscapes based on Sentinel 2 satellite imagery. Compared to standard computer vision, satellite imagery is unique as images sampled from the data form a continuous array, rather than being fully independent. We argue that the spatial configuration of the images is as important as the content of each image when attempting to capture a pattern that reflects the structure of the urban environment. We propose a series of approaches explicitly incorporating spatial dimen- sion in the predictive pipeline based on the EfficientNetB4 convolu- tional neural network (CNN) and experimentally test their effect on model performance. The experiments in this study cover the scale of the sampled area, the effect of spatial augmentation, and the role of modelling (logit ensemble and histogram-based gradient-boosted classifiers) with and without the spatial context on the outputs of the neural network-generated vector of probabilities while trying to predict spatial signatures, a classification of primarily urban landscape based on form and function. The results suggest that certain ways of embedding spatial information, especially in the modelling step, consistently significantly improve the prediction accuracy and shall be considered on top of standard CNNs.

Key words: spatial signatures, classification, remote sensing, artificial intelligence, open data

# Introduction

The way in which different urban functions are arranged within space, and the forms these give rise to, are important to understand how cities work, how they interact with the human and environmental systems that create them, and how policy can effectively intervene. Urban form and function matter, at least, for two reasons ([Arribas-Bel and Fleischmann](#_bookmark23), [2022](#_bookmark23)): first because cities use both to encode their history; and second because, once in place, the physical layout of functions within a city condition how it can and will develop in the future. A key requirement to understand form and function in cities is adequate measurement, which implies detailed, consistent, and scalable characterisations that can be updated frequently over time. These characteristics then allow not only to observe detail, but to see it unfold both over space and time. There is a large literature measuring these phenomena, and it is relatively common to find any two of those characteristics (i.e., detailed and consistent, consistent and scalable, and detailed and scalable) present in a given piece of work. Research bringing the three together is still rare, although some is emerging (e.g., [Fleischmann and Arribas-Bel](#_bookmark31), [2022](#_bookmark31)) thanks to the confluence of better data, open source software, and cheap computing power. Still, generating detailed, consistent, and scalable classifications of urban form and function is an expensive process that is difficult to refresh regularly because most of the underlying data sources only see updates infrequently.

A promising option to improve the frequency of these classifications is satellite imagery. Satellite technology has radically increased and improved the amount of data available on the Earth, and shows no signs of slowing down. More and better imagery has been complemented with the rise of new computer vision algorithms, such as deep learning ([LeCun et al.](#_bookmark41), [2015](#_bookmark41)), that allow to extract more value from the same amount of data; and the availability of computing power that makes it possible to deploy them cheaply without the steep learning curve required only a few years ago. The convergence of these three trends in remote sensing is unlocking achievements that even very recently seemed beyond the realm of possibility. One such area is the use of remote sensing and satellite technology to decode complex patterns in urban landscapes, such as the spatial signature of different types of form and function. Just as importantly, many of these advances are being built atop technology developed under open licenses that allow to further build on them, freely redistributing downstream outputs.

The use of satellite technology for measuring different aspects of urban environments is by no means new. Much of the present work falls within the broad category of urban remote sensing ([Rashed and Jürgens](#_bookmark48), [2010](#_bookmark48), [Weng and Quattrochi](#_bookmark57), [2018](#_bookmark57), [Yang](#_bookmark58), [2021](#_bookmark58)). In fact, the promise of using remote sensing data to decode the complexity of urban structure has long been recognised (e.g., [Longley](#_bookmark43), [2002](#_bookmark43)). Much of the work in this area has traditionally focused on identification of individual geographic features, such as building footprints (e.g., [Microsoft](#_bookmark45), [2019](#_bookmark45)) or trees (e.g., [Ke and Quackenbush](#_bookmark36), [2011](#_bookmark36)). More recently, the field has started to pay increasing attention to the use of modern algorithms such as deep learning ([Lai et al.](#_bookmark40), [2021](#_bookmark40)), and attempting to map more complex patterns that involve bundles of features rather than a single one (e.g., [Kuffer et al.](#_bookmark38), [2021](#_bookmark38)). On the adjacent domain of Land Use / Land Cover (LULC) mapping, recent advances

have shown the potential of using frequently updated, open satellite data in combination with modern computer vision to effectively map land cover globally in quasi continuous ways (e.g., [Karra et al.](#_bookmark34), [2021](#_bookmark34), [Brown et al.](#_bookmark24), [2022](#_bookmark24); see [Venter et al.](#_bookmark53), [2022](#_bookmark53) for a detailed comparison of some of the most novel data products in this realm).

While most of the efforts in urban remote sensing have focused on the identification of individual features or single uses, much less work has been directed at decoding patterns that involve several features and/or uses to be identified. In some ways, the jump from the simpler goal of identifying one object or a single use to detecting a pattern that involves a particular bundle of them is not without its challenges and shortcomings ([Wang et al.](#_bookmark55), [2022b](#_bookmark55)). But, given the performance of modern algorithms, and the increase in resolution and quality of even openly available imagery, realising this goal is starting to become possible. There are two areas that have received most of the attention in this context. One revolves around the prediction of Local Climate Zones (LCZs, [Stewart and Oke](#_bookmark49), [2012](#_bookmark49)). LCZs are a set of pre-defined classes of urban fabric originally developed for the study of the urban heat island effect. A growing body of literature has focused on developing more exhaustive and sophisticated models to extract these classes from satellite imagery (e.g., [Koc et al.](#_bookmark37), [2017](#_bookmark37), [Wang et al.](#_bookmark56), [2018](#_bookmark56), [Liu and Shi](#_bookmark42), [2020](#_bookmark42), [Taubenböck et al.](#_bookmark51), [2020](#_bookmark51), [Zhou et al.](#_bookmark61), [2021](#_bookmark61), [2022](#_bookmark60)). The second one is focused on one particular type of urban form and function that is mostly found in regions which are typically data scarce: informal settlements, or urban slums. For the interested reader, [Kuffer et al.](#_bookmark39) ([2016](#_bookmark39)) provides an excellent starting point. Although much more in its infancy, a nascent area of interest is growing around using imagery to decode urban form (e.g., [Wang et al.](#_bookmark54), [2022a](#_bookmark54)).

A common element of the recent advances reviewed above is the use of deep convolutional neural networks to perform the task of interest (i.e., classification/segmentation/recognition) from satellite imagery. While neural networks are becoming ubiquitous in the analysis of urban satellite imagery, their application has so far mostly ignored the geographical nature of the images being fed to these algorithms. This is not entirely unreasonable. Much of the state of the art in deep learning and computer vision was developed in the last decade with “aspatial imagery” in mind, in particular consumer photographs uploaded and shared through the internet (e.g., featuring cats and dogs). As such, many of the assumptions (e.g., unrelated images), tricks (e.g., data augmentation techniques), and limitations (e.g., shape of the input data) these models feature are intimately related to data of this kind. The application of deep learning to satellite imagery is in what we consider a first phase in which cutting-edge computer vision has been deployed to images that, rather than animals or people, represent locations on Earth observed from above. Because of the overall performance of modern algorithms, the results are impressive, even with largely unmodified models. However, this does not imply there is no further margin for improvement.

The main aspect of the geographical nature is that the individual images sampled from the satellite imagery are not independent from each other, but rather are part of a continuous whole that is the Earth’s surface. This means that the spatial configuration of the images is as important as the content of each image. This is a key difference with the standard computer vision tasks that have been the focus of most of the research in the field so far. By ignoring this aspect, we

are leaving value on the table when analysing satellite imagery. The architecture of convolutional neural networks is able to capture some of the spatial information but only within the confines of the individual image. Looking at the spatial statistics methods, we learn that the inclusion of geographical context in the model, often in the form of a spatially smoothed average (sometimes referred to as a “spatial lag”), is a core component and one of the key distinctions between statistics and spatial statistics. We believe that such a distinction should happen in the realm of computer vision as well and that the geographical nature of the data should play an explicit role in the design of the algorithms.

The explicit spatial dimension can be embedded in many ways. The first one is to alter the architecture of the neural network to include not only the content of the image but also its neighborhood as an input. In practice, two chips would be fed to the network: given the image of 16x16 pixels, the network would also receive, e.g., a 32x32 image, with the original image in the center and the surrounding pixels as the context. The second one is to use the output of the neural network as an input to a spatial model that would smooth the predictions based on the spatial configuration of the images. Both are equally valid but have different implications in terms of computational complexity and interpretability. The first one is more computationally demanding as it requires the network to process two images at once, making the result less interpretable at the same time as we would not be able to tell which part of the input is driving the result the most. The second one is less computationally demanding while allowing for different models to be used for the final prediction based on the spatial configuration. Given these models can be anything from logistic regression to gradient-boosted trees, the final model allows for analysis of the importance of spatial configuration allowing for a more nuanced interpretation. Both approaches provide a way to incorporate inherent spatial autocorrelation of the data in the model, making explicit use of Tobler’s First Law of Geography ([Tobler](#_bookmark52), [1970](#_bookmark52)). Another approach is to use the geographical nature of the data in spatial augmentation, allowing for sampling using a ”sliding window”. All further require a consideration of the image size sampled from continuous satellite data as the number of pixels directly affects the scale and inherent spatial unit of the analysis, leading to issues known as Modifiable Areal Unit Problem (MAUP) ([Openshaw](#_bookmark46), [1981](#_bookmark46)). At the same time, all require a careful design of the experiments to ensure that the spatial context is not leaking from the training to the validation set.

This paper focuses on a subset of these options. We test the role of the scale of the image, the effect of spatial augmentation using the sliding technique, and the role of a modelling including spatial context on top of the neural network output. It starts from an existing classification of Great Britain that is data-driven, designed to best capture urban form and function from available data (i.e. it is not designed to be seen on satellite imagery), and that flips the ratio of urban vs non-urban classes compared to most LULC classifications. From there, we build a matrix of experiments that allow us to test 1) the scale of the input image, 2) the effect of spatial augmentation, and 3) the role of modelling on top of neural network outputs and inclusion of spatial context in the final prediction. The key methodological advancement of this paper lies in the latter, which also proves to be the only consistent way to improve the predictions.

The remainder of the paper is structured as follows: Section [2](#_bookmark0) describes the data, covering

spatial signatures as the target of the prediction and Sentinel 2 satellite imagery as the data used to predict signatures as well as the methodological strategy we follow, reflecting all chip size selection, spatial data augmentation, model architecture, performance metrics, and a method of experiment summarization; Section [3](#_bookmark13) presents the key results from our experiments in a form of tables and figures; and Section [4](#_bookmark21) discusses the relevance of each of the dimensions of the experiment matrix, the performance of the models, and the implications of the results for the design of spatially explicit methods within remote sensing.

# Materials and Methods

In this section, we present the materials used in the research - the British spatial signatures we would like to identify and Sentinel 2 satellite imagery - and methods designed to understand our ability to train a conventional model on such a task and to unpack the role of geography in image-based deep learning.

## Materials

The research uses only two data inputs, one representing the ”ground truth” we aim to predict using neural networks and the other representing satellite imagery. While the latter does not need much introduction, the British spatial signatures used as labels need to be explained further.

* + 1. *British Spatial Signatures*

Spatial signatures are a classification of space covering the entirety of a case study area. They are defined as *”a characterisation of space based on form and function designed to understand urban environments”* ([Arribas-Bel and Fleischmann](#_bookmark23), [2022](#_bookmark23), p.4). This definition points at the clear dis- tinction between signatures and traditional LULC classifications. Taking the example of CORINE ([European Environment Agency](#_bookmark29), [1990](#_bookmark29)) as a representative of LULC, it has 44 distinct classes, out of which 2 cover urban form, and six other can be loosely related to urban areas (Continuous urban fabric, Discontinuous urban fabric; Construction sites, Green urban areas, Sport and leisure facilities, Industrial or commercial units, Road and rail networks and associated land, Port areas). A similar situation arises with recently released global LULC datasets. European Space Agency’s WorldCover project distinguishes 11 classes, of which one is urban (Built-up) ([Zanaga et al.](#_bookmark59), [2021](#_bookmark59)). Esri’s Land cover has 9 classes: one is *Built Area*, and the rest covers unbuilt areas ([Karra](#_bookmark34) [et al.](#_bookmark34), [2021](#_bookmark34)). This ratio of built vs unbuilt classes is typical but not very suited for research applications focusing on urban environments. Spatial signatures invert this ratio as they are primarily classifying urban space as illustrated visually in Figure [1](#_bookmark3). That is one of the main reasons for the existence of spatial signatures. There are very few data products that focus on the classification of the internal organisation of cities as a combination of the physical form and the function of space. Even if they do, they are often limited by detail, granularity, or the geographical extent of the area they cover. Spatial signatures are designed to overcome these limitations.

The focus on urban environments is shared with the notion of *urban functional zones* ([Lu et al.](#_bookmark44),

[2022](#_bookmark44), [Izzo et al.](#_bookmark32), [2022](#_bookmark32), [Jing et al.](#_bookmark33), [2022](#_bookmark33)), though the latter is more focused on the function of urban

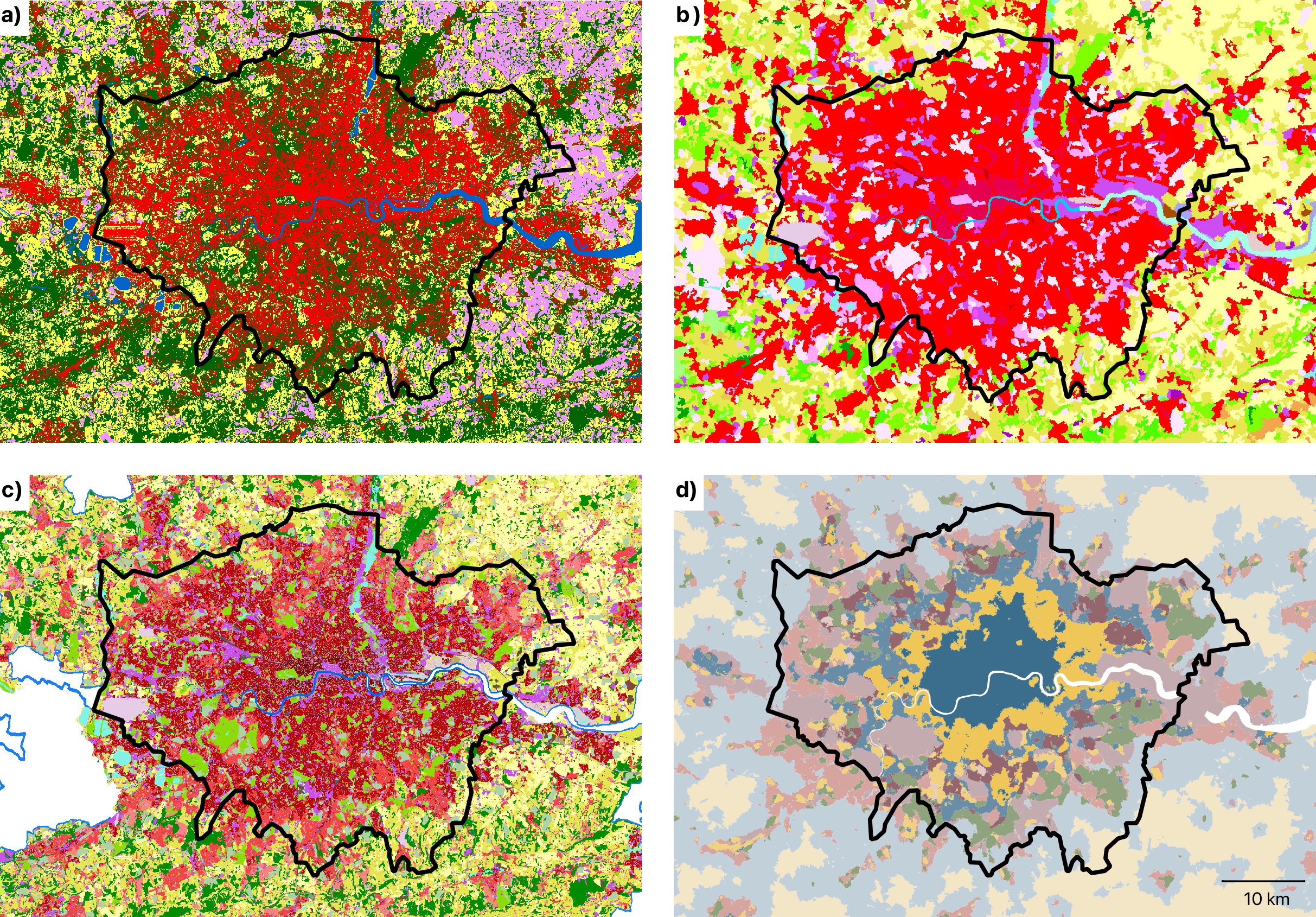


Figure 1: A visual comparison between established LULC products and spatial signatures. Panel

a) shows the WorldCover classification by ESA ([Zanaga et al.](#_bookmark59), [2021](#_bookmark59)), panel b) shows the CORINE land cover classification ([European Environment Agency](#_bookmark29), [1990](#_bookmark29)), panel c shows the Copernicus Urban Atlas ([European Environment Agency and European Environment Agency](#_bookmark30), [2020](#_bookmark30)), and panel d shows the British spatial signatures ([Fleischmann and Arribas-Bel](#_bookmark31), [2022](#_bookmark31)). The latter is the focus of this paper. The direct comparison showcases the major difference between the concep- tualisation of urban areas between different classifications, with traditional LULC providing only a mimimal disticntion between types of urban development, whilst spatial signatures provide a much more nuanced view, allowing a different type of understanding of the environment.

**anchors**

**function**  **form**

+



**barriers**  **enclosures**

**enclosed**

**tessellation**

**enclosed**

**tessellation**

**spatial signatures**

Figure 2: Diagram reproduced from [Fleischmann and Arribas-Bel](#_bookmark31) ([2022](#_bookmark31)) illustrating the sequen- tial steps leading to the delineation of spatial signatures. From a series of enclosing components, to enclosures, enclosed tessellation (ET), the addition of form and function characters to ET cells, and the development of spatial signatures.

**clusters**

space, while spatial signatures explicitly capture also form, leading to a set of categories that go beyond ”residential”, ”industrial”, or ”commercial” categories, and provide a more nuanced characterization of space.

As illustrated in [Arribas-Bel and Fleischmann](#_bookmark23) ([2022](#_bookmark23)), spatial signatures are by far not limited to the context of Great Britain or similarly data-rich countries. The method presented in this paper is expected to apply to any area where spatial signature classification is available or can be generated.

There are two main concepts embedded in spatial signatures delivering urban-focused clas- sification. The first one is the spatial unit called the enclosed tessellation cell (ETC). To derive ETCs, [Arribas-Bel and Fleischmann](#_bookmark24) ([2022](#_bookmark24)) first generate *enclosures*, spaces fully enclosed by a set of barriers (roads, railways, rivers, coastline). ETCs are an outcome of Voronoi tessellation based on building footprint polygons. The resulting spatial unit has adaptive granularity reflecting the scale of each urban pattern. The second is the selection of characters describing each ETC. They measure form and function, primarily urban phenomena and mostly omit environmental aspects focusing on land cover patterns. The resulting characterisation of space forms the basis for a cluster analysis used to derive spatial signatures, as illustrated in Figure [2](#_bookmark4). However, spatial signatures depend on a wide range of data inputs that are being updated at a variable rate. Some in monthly snapshots but others, based on census data, only every ten years. Given this heterogeneity, it is nearly impossible to provide a consistent yearly time series of their evolution. This is where remote sensing based on satellite imagery may help.

As presented in [Fleischmann and Arribas-Bel](#_bookmark31) ([2022](#_bookmark31)), British spatial signatures are one applica- tion of the concept of spatial signatures in the context of Great Britain. It divides the space into the

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Signature type | area (sq.km) | ETC count | area (%) | ETCs (%) |
| Countryside agriculture | 93,856.1 | 3,022,385 | 41 | 21 |
| Accessible suburbia | 2,244.5 | 1,962,830 | 1 | 14 |
| Dense residential neighbourhoods | 957.2 | 502,835 | 0 | 3 |
| Connected residential neighbourhoods | 565.4 | 374,090 | 0 | 3 |
| Dense urban neighbourhoods | 570.6 | 238,639 | 0 | 2 |
| Open sprawl | 5,081.5 | 2,561,211 | 2 | 18 |
| Wild countryside | 91,306.3 | 595,902 | 40 | 4 |
| Warehouse/Park land | 2,462.4 | 707,211 | 1 | 5 |
| Gridded residential quarters | 261.2 | 209,959 | 0 | 1 |
| Urban buffer | 31,588.8 | 3,686,554 | 14 | 25 |
| Disconnected suburbia | 708.9 | 564,318 | 0 | 4 |
| Local urbanity\* | 231.1 | 86,380 | 0 | 1 |
| Concentrated urbanity\* | 7.8 | 1,390 | 0 | 0 |
| Regional urbanity\* | 76.4 | 21,760 | 0 | 0 |
| Metropolitan urbanity\* | 16.5 | 3,739 | 0 | 0 |
| Hyper concentrated urbanity\* | 2.2 | 264 | 0 | 0 |

Table 1: Classes of British spatial signatures and their coverage in terms of area and a number of ETCs. Urbanity classes marked with \* are combined for the experiments presented in this paper.

16 data-driven classes (Figure [3](#_bookmark6)) listed in Table [1](#_bookmark5). Their interpretative profiles providing a deeper insight into their nature, as reported in the original paper, are attached as an Appendix A. for the convenience of a reader.While maps like those in Figure [3](#_bookmark6) implicitly convey the idea of clearcut boundaries between signature types, reality is much more complex. Thus, boundaries between signatures should be taken as [Fleischmann and](#_bookmark31) [Arribas-Bel](#_bookmark31) ([2022](#_bookmark31))’s best estimate at delineating each area, but also on the understanding that reality is much more fluid, porous, and fuzzy.

* + 1. *Sentinel 2 imagery*

The second data input used in this research is satellite imagery provided by the Sentinel 2 mission. Specifically, we use the pre-processed cloud-free mosaic of Sentinel 2 released by [Corbane et al.](#_bookmark27) ([2020](#_bookmark27)). The mosaic provides pixel-level composite based on imagery for the period January 2017- December 2018 at an original resolution of 10 meters per pixel. While Sentinel 2 captures many spectral bands beyond traditional visible red, green and blue (RGB), this research uses only RGB bands due to its employment of pre-trained neural networks stemming from non-satellite imagery that is composed only of RGB and an attempt to minimize training from scratch that would need to happen to derive weights for other bands. The exclusion of other bands may be seen as a

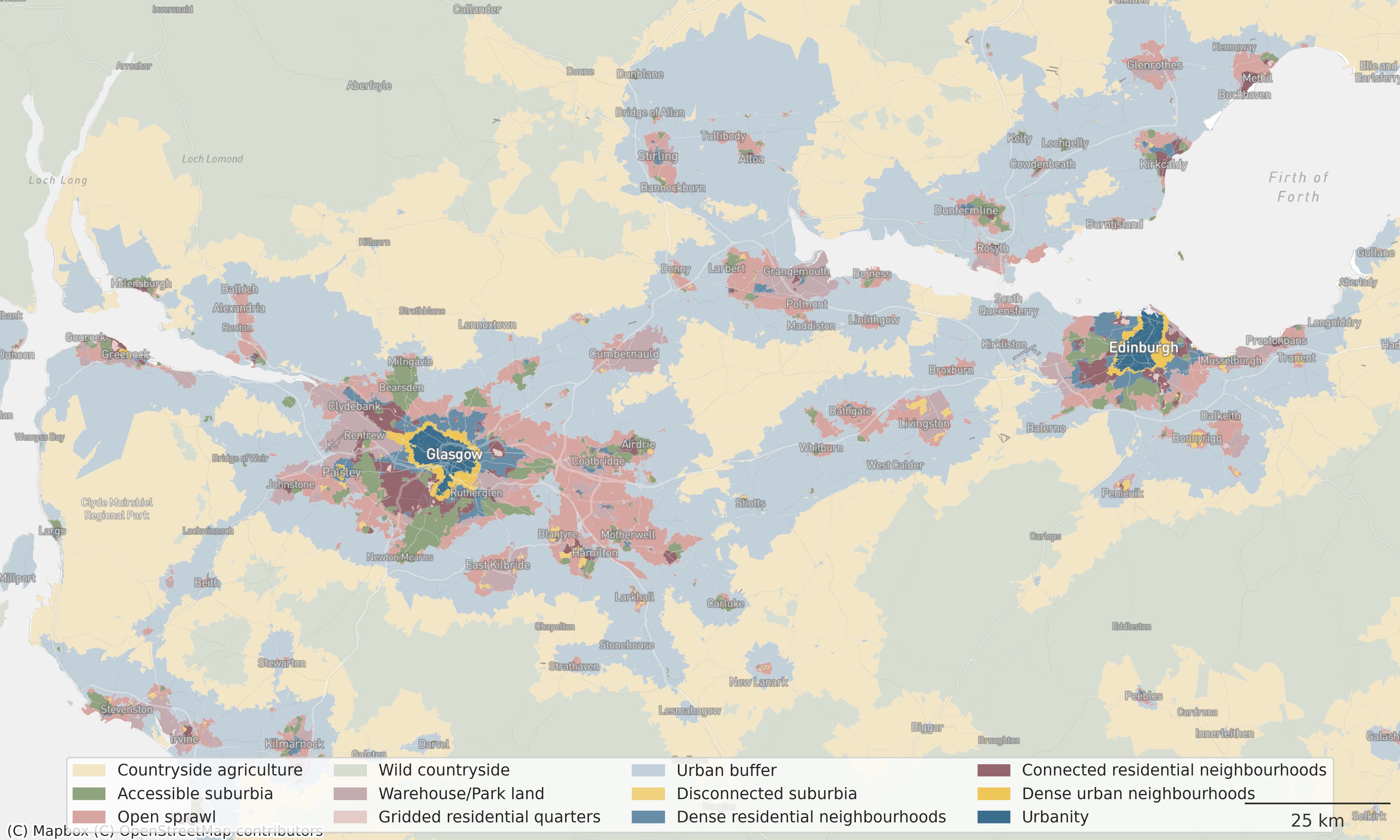
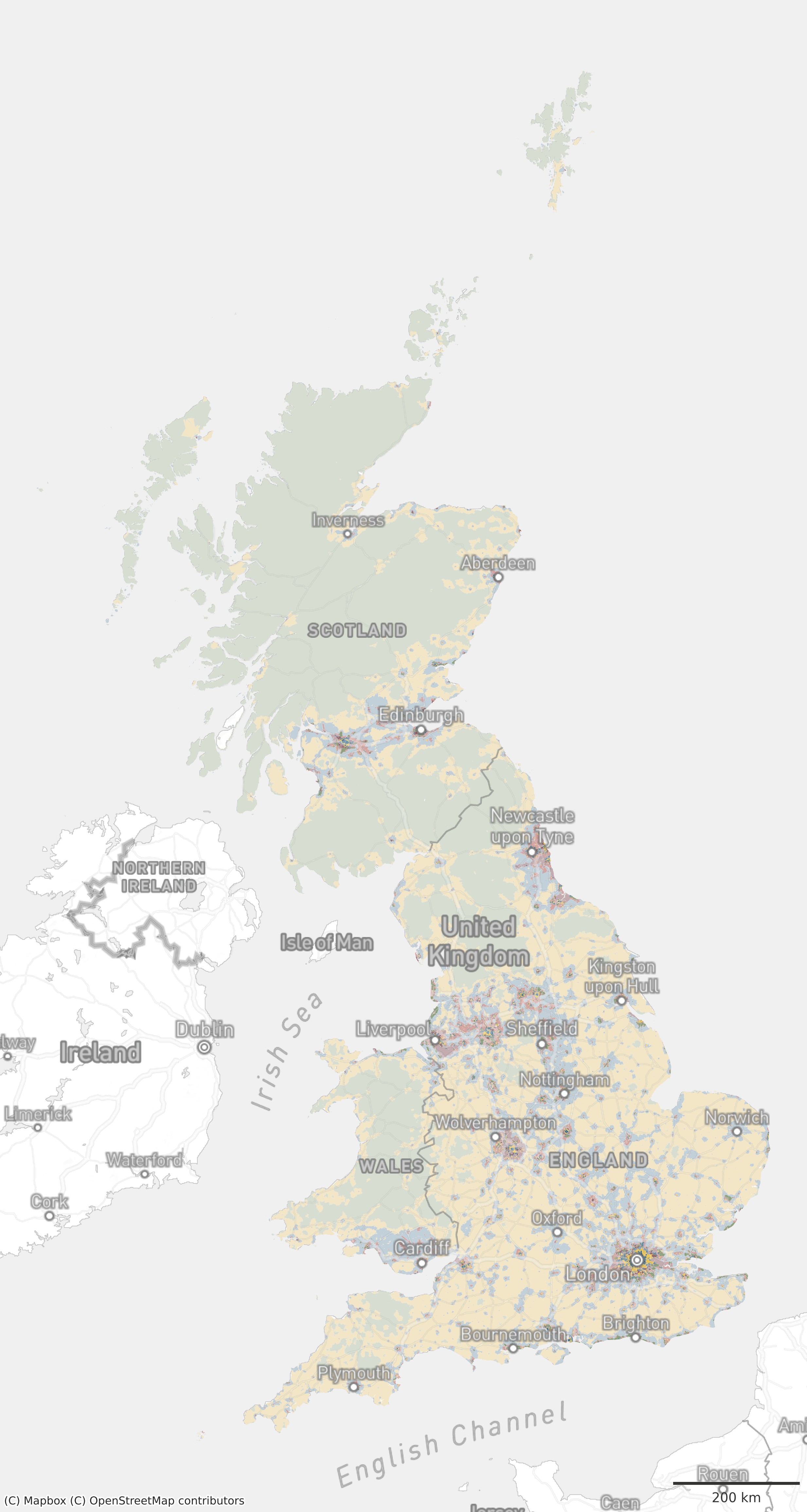
 

Figure 3: Spatial signatures in the full extent of Great Britain (right) and zoomed to a metropolitan area of the Scottish Central Belt stretching from Glasgow to Edinburgh (left), limited to 12 classes used in this paper.

limiting factor of the work, but we believe that, as with other aspects that will be discussed later, it efficiently illustrates the *lower bound* of the performance of the presented method and can be only improved with the addition of other spectral bands or other data (e.g. synthetic-aperture radar imagery).

Another notable aspect of the Sentinel 2 imagery is the resolution. Ten meters per pixel may be enough to distinguish LULC classes, as shown by the examples discussed above. However, it is unclear whether it is enough to delineate types of urban environments. Individual buildings often do not stretch beyond the spatial extent of two pixels, which is severely limiting what we can *see* on the image, as illustrated in Figure [4](#_bookmark8). While other data sources may provide better resolution (For example, commercial imagery by Maxar reaches a resolution of 30cm per pixel and imagery by Planet of 50cm per pixel.), potentially improving model performance, this research is bound within the limits of *open data*, where Sentinel 2 is the best offering to date.

## Methods

We define our challenge as an image classification task and use competing alternatives to explore which one performs best and to assess whether the *best* is good enough. Each of them implies geographically relevant trade-offs. First, we build and train a model composed of a convolutional neural network and probability modelling able to predict the 12 classes derived from the spatial signatures. Second, we use methods designed to unveil which of the inherently geographical decisions being tested has a significant effect on the resulting performance and should therefore be considered when applying CNN to spatial problems.

When selecting the CNN architecture, we have intentionally excluded image segmentation. While it seems like an ideal candidate for the task at hand, there are several reasons for its exclusion. The first has to do with the spatial signatures and the nature of the boundaries between individual types. While the dataset from [Fleischmann and Arribas-Bel](#_bookmark32) ([2022](#_bookmark32)) delineates them with hard boundaries when one cell is a type A and the neighbouring one a type B, the reality is not that simple, and these boundaries should be treated more as a fuzzy edge that the hard one. There is very rarely an immediate switch between one type of urban environment and the other one. In many cities, two types tend to form a transition on the edges where neither is dominant. A situation like this is very challenging for image segmentation as it often looks at delineation of water bodies, buildings, or other precisely defined patches on an image. The second reason is that the image segmentation, having a prediction for individual pixels, would require running the modelling part of the pipeline (see section [2.2.3](#_bookmark9)) on a pixel level which would be extremely computationally expensive, hence challenging to reproduce. We believe that the method that can be run on a local machine is in the end, more valuable than the one requiring a high-performance cluster.

Overall, our exercise is structured as a comparison of models that attempt to predict the 12 spatial signatures entirely from Sentinel 2 imagery. Each model 1) takes a set of training data as input; 2) runs the class prediction using the convolutional neural network (CNN); and 3) builds a (spatial) model on top of the resulting probabilities. The differences between the models are capturing the geographical options that are being tested: extent of the area sampled from the satellite imagery into a single *chip*, presence of spatial augmentation, class exclusivity within each chip, and an architecture of probability modelling on top of a prediction coming from the CNN. Finally, the performance of each model is assessed using both traditional non-spatial techniques used in deep learning and bespoke spatial metrics. Given a large number of resulting values, a regression approach is used to determine the effect of the tested options. Each of the steps is further discussed in detail in the subsequent sections.

* + 1. *Chip size*

The first question that needs to be answered when trying to apply a classification algorithm on satellite imagery that spans a large amount of continuous land is how to sample such data into individual patches (or, hereafter, chips) that can be assigned to classes. Pre-trained CNNs usually expect a square image of a certain size, but that does not mean that the same size (in terms of pixels) needs to be directly sampled from the image, thanks to possible resampling. What should be retained, though, is the ratio. Therefore, we need to sample square chips of a custom size. Within an image classification framework, which is the first type of model that is tested, we assume that each chip contains data of a single class only. Therefore, such a chip should be entirely within the boundary of a single signature type. That poses some restrictions as spatial signatures, especially in the urban context, tend to be relatively granular, and large chips would not fit inside the boundaries, reducing the number of valid chips for training. Therefore, the goal is to find a balance between the number of chips sampled from the data and the amount of information each chip can hold. Given the relatively coarse resolution of Sentinel 2, a chip

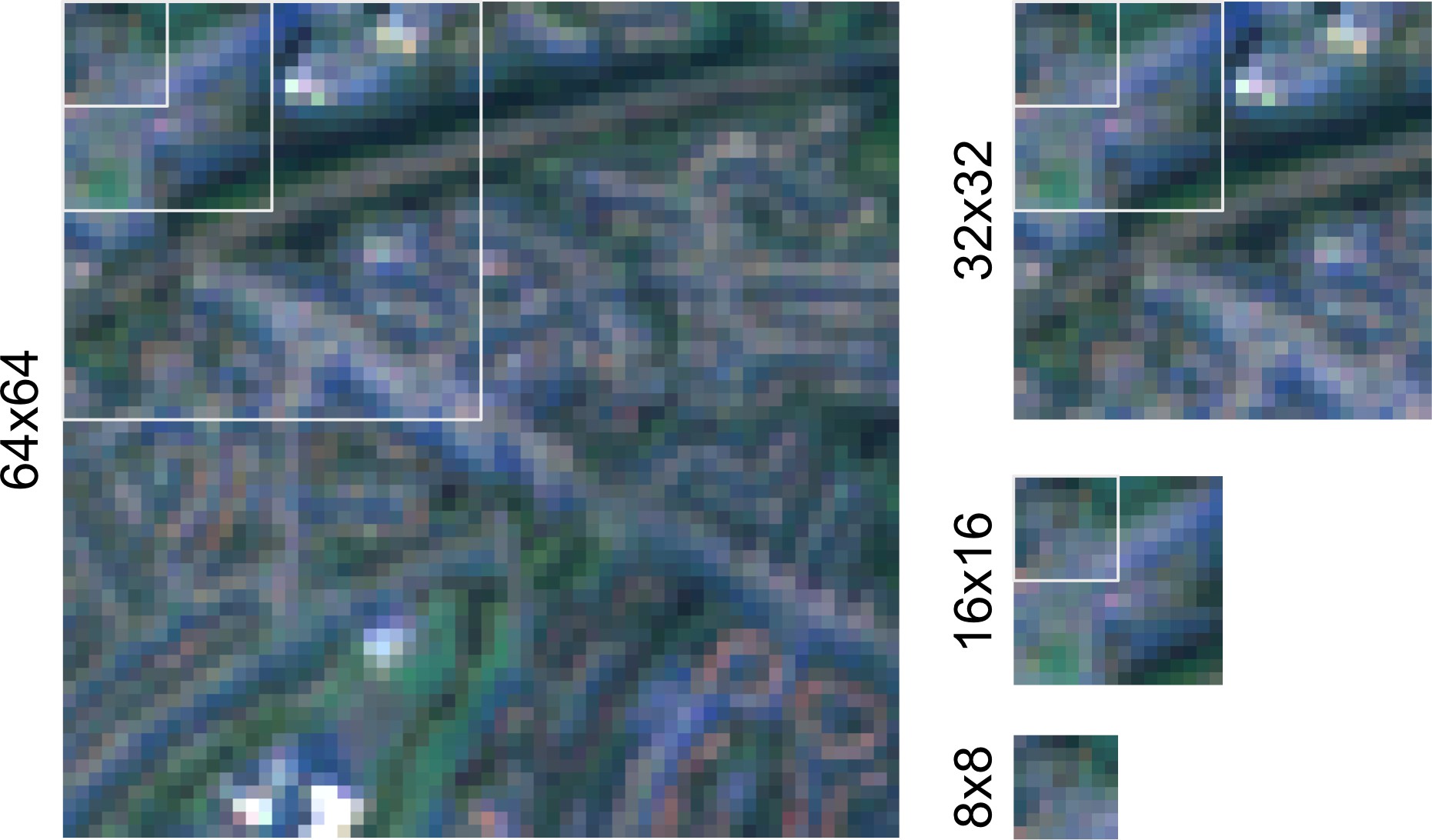


Figure 4: Illustration of the selected chip sizes using the Sentinel 2 cloud-free mosaic. Each of the chips also shows the sizes of the smaller options as a white outline.

of 100x100 meters consists of only 10x10 pixels, which may not be enough to capture the nature of a signature type and distinguish it from other types. On the other hand, a chip of 1000x1000 meters, which is likely large enough to capture the difference, will not fit in most of the signature boundaries and we would end up with only a few chips per urban class.

The literature rarely discusses the decisions involved in defining the chip size for single-class chip classification. In some cases, the size is predetermined due to the requirement of either a pre-trained model or an existing set of labelled data ([Taubenböck et al.](#_bookmark57), [2020](#_bookmark57)). In others, the size that has been used in previous studies is applied again without discussing the implications of such a decision ([Wang et al.](#_bookmark62), [2018b](#_bookmark62)). From a spatial analysis perspective, this approach is surprising as deciding the chip size is a prime example of the modifiable areal unit problem (also known as MAUP, [Openshaw](#_bookmark45), [1981](#_bookmark45)), especially the aspect about scale, which states that a change of the scale may affect the outcome of an experiment. Hence such an effect should be at least considered in an interpretation if not minimized where possible.

In this work, we try to understand the effect of chip size by testing all the models based on four different chip sizes - 80, 160, 320 and 640 meters representing chips of 8x8, 16x16, 32x32 and 64x64 pixels, respectively, illustrated on a Figure [4](#_bookmark8) and a Supplementary Figure [14](#_bookmark64).

* + 1. *(Spatial) data augmentation - Sliding*

As mentioned above, in combination with the signature geometry and the requirement to keep chips exclusively within a single class, specific chip sizes may result in insufficient training data for some signature types causing imbalance in the training set. Under-sampling like this one can be a serious problem that is not unique to spatial modelling. However, traditional augmentation methods may not be directly applicable here. For example, in an image classification problem trying to determine if there is a cat or a dog on an image, we add some rotation or zoom to get

more versions of the same image and expand the set of training data. Neither of these methods is applicable to spatial signatures. Zooming would change the scale of the urban environment we attempt to capture, while the distinction between some signature types is partially in different orientation of streets, rendering rotation-based augmentation conceptually problematic.

At the same time, the geographical and continuous nature of the data at hand allows us to use explicitly spatial augmentation techniques such as the one we call *sliding*. Sliding can be seen as overlapping sampling. Instead of overlaying a grid of chips over target geometry and using each

pixel only once, we take the initial grid and slide it a few pixels horizontally and vertically, as illustrated in Figure [5](#_bookmark10). If the boundary of a slid chip is fully within a signature geometry, it is added to the pool of chips to be used. This process is done repeatedly to ensure that each class has a reasonable amount of chips to work with, while chips from the large signature types are intentionally undersampled to retain a relative balance between the classes in the training data.

It is to be noted that sliding can cause data leakage (sequences of pixels being present in both training and validation) if done before splitting the data into training and validation subsets. Therefore, we first create the initial grid, subdivide it spatially into four parts (40% for CNN training, 10% for CNN validation, 40% for probability modelling training, 10% for probability modelling validation) and apply sliding within each part to avoid any pixels being shared among chips from different sets. Subdivision into the four parts is done within each signature geometry to avoid potential geographical bias. The details of the splitting method are available in the appendix B.

* + 1. *Model architecture*

Model architecture refers to the analytical pipeline that transforms chips into a prediction for a single signature type. Our competing architectures contain two main parts. First is a CNN that transforms a single chip into a set of 12 probabilities, one for each signature type. Second is a mathematical function that converts such probabilities ( considering only those for the chip of interest or in conjunction with those of neighbouring chips) into a prediction for a single signature type. This section describes each of these in detail. We would like to highlight that, contrary to the majority of deep learning-focused research, our focus is not on the architecture of the CNN itself. We assume the effect of geographic choices will largely show similar behaviour irrespective of the network architecture. For that reason, throughout our experiments we use EfficientNetB4 ([Tan and Le](#_bookmark56), [2019](#_bookmark56)), pre-trained on the popular ImageNet dataset ([Deng et al.](#_bookmark29), [2009](#_bookmark29)). Appendix C. shows a brief comparison of several standard neural network architectures with a subset different hyperparameters and their performance on a subset of data to motivate our decision. The final selection of hyperparameters and top layers - 256 neurons using GlobalAveragePooling2D with a learning rate of 0.001 - is based on the global accuracy and models (re-)trained on a subset of the data. We then apply transfer learning by re-training the top layer of the pre-trained model and replacing it by a custom sequence of dense layers described below.

We consider three variants of the CNN. The default approach (which we will refer to bic, for “baseline image classification”) is a standard image classification problem, using the sets of chips that are fully within a single signature type. The custom top layer of the pre-trained CNN then

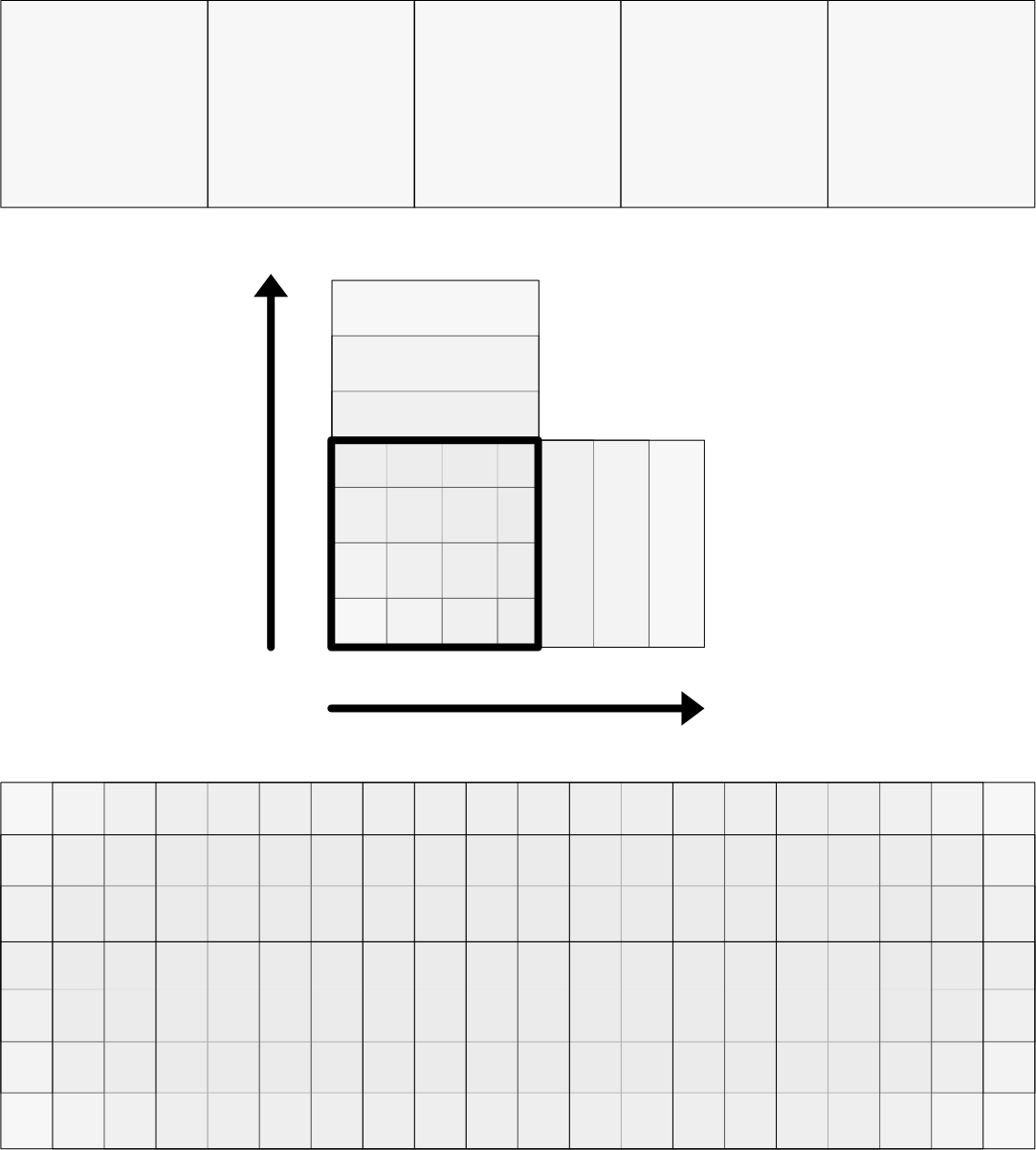


Figure 5: Diagram illustrating the sliding mechanism.

contains a Global Average Pooling (2D) layer, a dense layer with ReLu activation and 256 neurons, and a dense layer with the softmax activation and a number of neurons equal to a number of classes (12). The result for a single chip is a collection of 12 probabilities of a chip belonging to each signature type. The sum of all probabilities is one. An extension of this approach (sic, for “sliding image classification”) applies this technique to the data being spatially augmented with the sliding technique described above.

Our third approach recasts the image classification task as a multiclass prediction. If we relax the requirement that every chip is fully within the boundaries of a single signature type, we end up with many more available chips, but now some of them include more than a single label within their extent. Instead of a single label per chip, we now deal with a 1-D array of them. This can be beneficial from the geographical perspective as such chips now inherently encode the co-location of individual signature types and a model could use this information during the prediction. As signature types usually tend to neighbour only a subset of other classes (e.g., Urbanity never neighbours Wild Countryside), we can assume that information on co-location can positively impact predictive performance. We then include a set of chips sampled from a grid crossing the boundaries of signature types (using the same chip sizes as before) and adapt the CNN to perform multi-output regression (mor) instead of image classification. This change implies the top layer is now composed of a Global Average Pooling (2D) layer, a dense layer with ReLu activation and 256 neurons, and a dense layer with the sigmoid activation and a number of neurons equal to a number of classes (i.e., 12). The result for a single chip is a similar collection of probabilities, but these are now predicted proportions. As such, the sum of all of them ranges between 0 and 12 rather than between 0 and 1.

A comparison of the total number of chips used by each CNN is available in the supplementary table [11](#_bookmark65). The split of chips is then 40% for CNN training, 10% for CNN validation, 40% for probability modelling training, 10% for probability modelling validation equally across all the options. All CNN models are trained using the following hyperparameters: number of epochs: 200, optimizer: Adam, patience: 5, and a batch size: 32. See the relevant code in the linked repository for details.

The second step in the pipeline takes chip probabilities and turns them into predictions of a signature type. To do this, we compare five approaches of differing complexity and sophistication. These five variants stem from the combination of two components: the set of inputs used to make the prediction and the function transforming them into a single signature type. For a given chip *i*, we can express this step of the pipeline mathematically as follows:

*Si* = *f* (*P*)

i

*P* = ∑

*Pk−i*

*N−*1

+ ∑ ∑ *wij*

*Pk−j* l

(1)

*k k j*

,bas..e,.line.,

, w..,.x .,

where *Si* is the prediction for the signature type of chip *i* (one of the *k* available types, where

*k* = 12 in our empirical case, see Section [2.1.1](#_bookmark1)) and *f* (*·*) is a function that transforms the inputs *P*

into *Si*. The five approaches we compare derive from the different implementations of *f* (*·*) and *P*. On the latter, we compare models that only use *Pk−i* (probability that chip *i* is of type *k*) generated by the CNN for chip *i* (baseline) with alternatives (signalled with the wx term) that, in addition, also include an average of *Pk−j* (probability that chip *j* is of type *k*), which are the probabilities generated by the CNN for each neighbour *j* of chip *i*. This is akin to what in spatial analysis is called the *spatial lag* of each probability, and is calculated using a spatial weights matrix *W* that records the spatial relationship between every chip in the set. In our *W*, two neighboring locations *i* and *j* will receive a weight *wij* = 1, if they are in the same of the four split sets as defined above, and if they either are geographically contiguous or are nearest neighbours; while otherwise they will be considered non-neighbours and receive a weight *wij* = 0. To obtain an average of the

neighbors, we row-standardise *W* so that ∑*j wij* = 1. The second dimension other than *P* we vary is the function *f* (*·*) that maps it to the prediction *Si*. We take three distinct approaches here: simply picking the maximum probability (maxprob), which we only use without the spatial lag of

probabilities; an ensemble of binary logit models to predict each class (logite), then selecting the class with top probability, which we also use with the wx variant; and a histogram-based gradient boosted classifiers inspired by LightGBM ([Ke et al.](#_bookmark35), [2017](#_bookmark35)) and implemented in scikit-learn ([Pedregosa et al.](#_bookmark47), [2011](#_bookmark47)). This yields our five competing models: maxprob, logite baseline, logite baseline-wx, HGBC baseline, and HGBC baseline-wx.

* + 1. *Performance metrics*

The goal of our experiments is to compare different models under varying geographical condi- tions to learn both which performs best, but also how different choices of geographical nature influence the overall performance when predicting form and function from satellite imagery. To provide a workbench that systematically compares each model and setup, we use a set of performance scores that operate either at the global or class level, and that measure performance in the traditional machine learning sense, as well as in the spatial sense.

We use four standard performance scores. *Cohen’s kappa* score (*κ*, [Cohen](#_bookmark27), [1960](#_bookmark27)) is a measure of agreement between two sets of categorical labels that ranges from -1 to 1. Intuitively, it measures the extent to which the two sets agree with each other (i.e., same label for the same observation) beyond what would be expected from pure chance (*κ* = 0). Cases where there

is more disagreement than expected from chance receive a negative score. *Global (within-class)*

*accuracy* captures the proportion of observations correctly predicted (in a given class). The *Macro F1* is a score that aggregates class-based F1 scores. The F1 is the harmonic mean between precision (proportion of chips predicted in one class actually belonging to that class) and recall (proportion of chips belonging to a given class being predicted as such). We use both the *weighted Macro F1* as well as the *averaged Macro F1*. The latter takes the standard mean of the F1 scores for each class, while the former weights each F1 by the proportion of chips in each class.

In addition to traditional performance scores, we also evaluate how similar the spatial pattern of predictions is to that of the original labels. The measures described above are all “spatially unaware” in the sense that they quantify different aspects of the correctness of a model’s predic- tions but ignore their spatial patterning. Two sets of results may have the same amount of correct

.2 0.4 ... 0.1



[

0

0

1

...

...

]

.1 0.4

...

0.2 0.5 0.0

.0 0.0

chips

size

sampling

pre-trained neural network top level spatial lag modelling

8 baseline

options

16 sliding

32 proportional

64

EfficientNetB4

GAP2D + Dense + Softmax GAP2D + Dense + Sigmoid

(if proportional sampling)

exclude include

maxprob logite HGBC

Figure 6: Simple diagram showcasing the top-level architecture of the proposed predictive pipeline with all the tested options per each step. Chips are used to re-train pre-trained Ef- ficientNetB4 CNN with custom top layers depending on the type of the chips. The resulting probabilities are optionally used with a calculation of spatial lag and all (original or original and lagged) probabilities are used to predict the signature type.

predictions, but in one, the spatial layout of such predictions may be close to that of the observed labels, while the other one spatially allocates mispredictions in a way that differs more from what is observed empirically. Given the nature of our classification challenge –identify form and function over space from satellite imagery– the spatial dimension of model performance is of great importance. Since the spatial signatures represent a set of (12) distinct categories, we rely on the *join counts* statistic (JC, [Cliff and Ord](#_bookmark26), [1981](#_bookmark26)). The JC measures the degree of spatial concentration in a binary categorical variable; hence, we use it at the class level. For each class in each model, we retain the proportion of pairs of chips in the same class that are spatial neighbours (“joins”) over the total number of pairs that are spatial neighbours. Our neighbourhood definition relies on two alternative spatial weights matrices: one based on a distance threshold of 1*Km* (*Wthr*), and one that combines the nearest neighbour with those defined by contiguity (*Wunion*). Our metric of interest is then the error (i.e., absolute value of the difference) between this proportion for the model of interest and that of the observed labels.

The whole predictive pipeline is illustrated in Figure [6](#_bookmark11).

* + 1. *Summarizing experiments*

The setup described above generates over 60 different models to be trained to predict 12 signature types and six performance measures to evaluate them. Making sense of their results requires a systematic approach that summarises them and provides explicit tests for the questions we are trying to answer. We achieve this goal by fitting linear regressions that explain performance scores for each model as a function of the characteristics of the setup evaluated. Specifically, we estimate the following two equations. First, for global metrics, we run:

*Per fr* = *α* + ∑ *δm Mr* + ∑ *γa Ar* + *β*1*Chip Sizer* + *β*2*Wr* + *ϵr* (2)

*m a*

where *Per fi* is each of our four global performance scores measured for trained model in setup *i*; *α* is an intercept; *Mi* are indicator variables for the type of model we estimate (i.e., maxprob, logite, HGBC);3 *Ai* are, similarly, indicator variables for the architecture used (i.e., bic, sic, mor);4 *Chip Sizei* captures the number of pixels the chips in the setup contain; *Ws* is another indicator variable that takes the value of one if the model includes the spatial lag of signature type probabilities and zero otherwise; and *ϵi ∼ N* (0, *σ*) is an i.i.d. error term.

Second, for class-based scores, we fit:

*Per fr−s* = *α* + ∑ *δm Mr* + ∑ *γa Ar* + *β*1*Chip Sizer* + *β*2*Wr* + *β*3 [%] *Obsr−s* + ∑ *ζsSr−s* + *ϵr−s* (3)

*m a s*

where [%] *Obs* represents either the number of chips in signature *s* in setup *i* or as a proportion of the total; and *Si−s* an indicator variable for the signature type *s*;5 and the rest is as in Equation

1. Importantly for both equations, *δm*/*γa*/*β*1/*β*2/*β*3/*ζs*, parameters to be estimated by the regression model, provide a direct and formal test to the key questions we set out to answer with our experiments.

# Results

## Global accuracy

Global accuracy is shown in Table [2](#_bookmark17). It may seem that the results are underwhelming but taking a closer look, it is true only for some models, usually using smaller chip sizes and simpler architecture. Out of 60 tested models, 14 have global accuracy over 0.5, 6 over 0.6 and one over 0.7. Compared to the relevant metrics from established LULC models, [Venter et al.](#_bookmark58), [2022](#_bookmark58) reports that ESRI’s Land Cover reaches global accuracy of .75, Google’s Dynamic World 0.71 and ESA’s World Cover 0.65, rendering our highest performing models at par with these. However, they do perform considerably worse than established LCZ models, that report global accuracy of

0.87 ([Taubenböck et al.](#_bookmark57), [2020](#_bookmark57)). Yet, this difference is expected as LCZ classes are designed with remote sensing in mind while spatial signatures aim to reflect the form and function independent of whether the distinction between two signature types shall be seen from satellite imagery. Nevertheless, global accuracy is far from providing the full picture.

## Within-class accuracy

Within-class accuracy by the model can be seen in Figure [7](#_bookmark18) (a sister figure where scores are grouped by signature rather than by model can be found in Appendix [E.](#_bookmark63)). We notice some

3We remove HGBC to avoid perfect collinearity and hence treat it as the reference model.

4We remove BIC to avoid perfect collinearity and hence treat it as the reference model.

5We remove Accessible suburbia to avoid perfect collinearity and hence treat it as the reference model.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Chip Size | B.I.C. | S.I.C | M.O.R |
| maxprob | 8 | 0.30 | 0.32 | 0.29 |
|  | 16 | 0.27 | 0.35 | 0.28 |
|  | 32 | 0.42 | 0.34 | 0.35 |
|  | 64 | *0.50* | 0.46 | *0.58* |
| logite | 8 | 0.32 | 0.35 | 0.31 |
|  | 16 | 0.36 | 0.36 | 0.31 |
|  | 32 | 0.46 | 0.36 | 0.36 |
|  | 64 | **0.60** | 0.47 | *0.58* |
| logite-wx | 8 | 0.34 | 0.41 | 0.33 |
|  | 16 | 0.42 | 0.46 | 0.33 |
|  | 32 | *0.52* | 0.48 | 0.39 |
|  | 64 | **0.67** | *0.55* | *0.59* |
| HistGradientBoostingClassifier | 8 | 0.32 | 0.36 | 0.32 |
|  | 16 | 0.37 | 0.37 | 0.33 |
|  | 32 | 0.48 | 0.40 | 0.49 |
|  | 64 | **0.62** | 0.48 | ***0.71*** |
| HistGradientBoostingClassifier-wx | 8 | 0.35 | 0.44 | 0.35 |
|  | 16 | 0.44 | 0.47 | 0.34 |
|  | 32 | *0.54* | *0.50* | 0.40 |
|  | 64 | **0.68** | *0.56* | **0.63** |

Table 2: Global accuracy of all the models tested in this study. Values higher than 0.5 are highlighted in italic, values higher than 0.6 in bold and a value over 0.7 in bold italic. Similar tables representing other global performance metrics (Cohen’s Kappa, Macro F1-score, Weighted F1-score) can be found in Appendix [D.](#_bookmark62)

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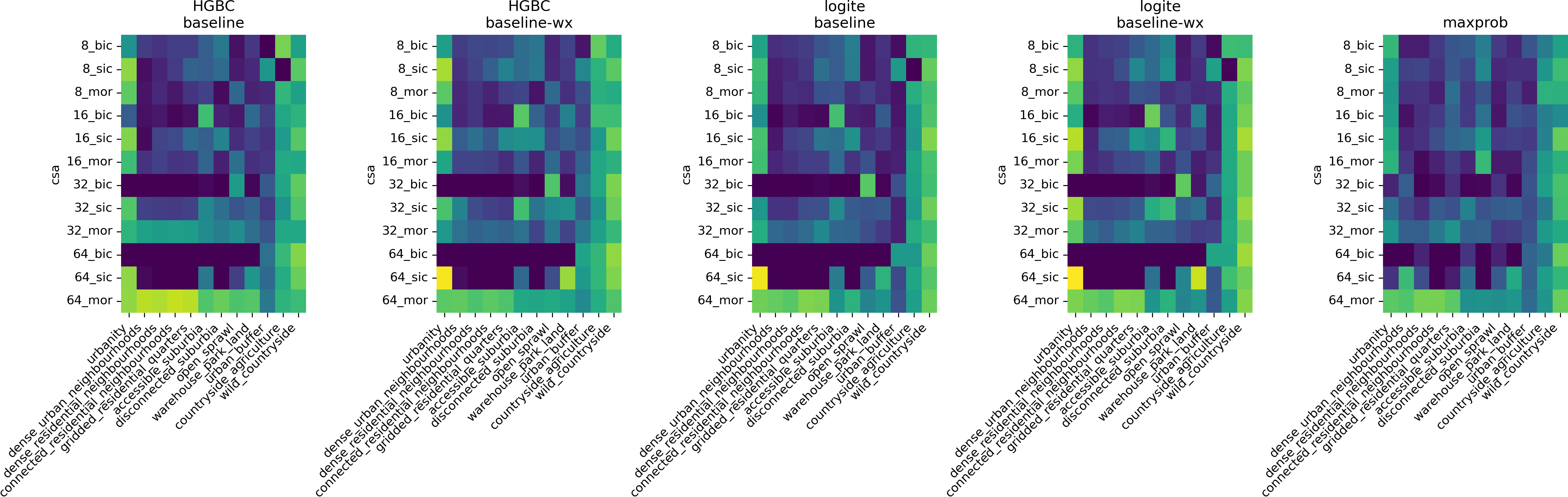


Figure 7

On the other hand, multi-output re- gression (mor), and using the larger chip size (32 or 64), tends to show the highest values across signature types and models. If we look at accuracy for individual signature types, both extremes (urbanity on one side and both countryside classes on the other) tend to be the easiest to predict. Regarding the models, there is no immediate conclusion to be made apart from a clear indication that the maximum probability (maxprob) approach is generally worse than any of the modelling, suggesting that there is a value in the modelling step. The within-class accuracy can be further explored using confusion matrices available in Appendix F..

## Regression outputs for global performance metrics

Whilst plotting the accuracy is a way to build an intuition about the performance of individual options, it does not quantify their effects. The linear regressions shown in tables [3](#_bookmark19) and [4](#_bookmark20) provide better insight. The first regression explains global performance scores (Cohen’s kappa, Global Accuracy, Marco F1 weighted and Macro F1 average). We can draw a few conclusions from this. First, the chip size seems to have a positive effect on the results, as it is consistently significant across all metrics. Except for the average macro F1 score, there is a positive effect of the inclusion of spatial lag in the modelling step (W). Regarding the CNN step, we do not see a lot of significance but there are indications that sliding image classification and multi-output regression approaches outperform baseline image classification. Comparing the probability modelling step, we see an indication that the maximum probability is the least performant of the options, again suggesting the value of post-CNN modelling.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | *κ* | Global Accuracy | Macro F1 w. | Macro F1 avg. |
| Intercept | 0.2185\*\*\* | 0.3236\*\*\* | 0.2790\*\*\* | 0.1798\*\*\* |
|  | (0.0209) | (0.0175) | (0.0174) | (0.0375) |
| (M) Logit E. | -0.0245 | -0.0256\* | -0.0324\*\* | -0.0325 |
|  | (0.0168) | (0.0141) | (0.0141) | (0.0302) |
| (M) Max. Prob. | -0.0559\*\* | -0.0606\*\*\* | -0.0421\*\* | -0.0296 |
|  | (0.0222) | (0.0187) | (0.0186) | (0.0399) |
| (A) M.O.R. | 0.0227 | -0.0357\*\* | -0.0278\* | 0.1787\*\*\* |
|  | (0.0184) | (0.0155) | (0.0154) | (0.0331) |
| (A) S.I.C. | 0.0232 | -0.0247 | -0.0171 | 0.1101\*\*\* |
|  | (0.0184) | (0.0155) | (0.0154) | (0.0331) |
| Chip Size | 0.0036\*\*\* | 0.0043\*\*\* | 0.0048\*\*\* | 0.0014\*\* |
|  | (0.0004) | (0.0003) | (0.0003) | (0.0006) |
| W | 0.0572\*\*\* | 0.0468\*\*\* | 0.0531\*\*\* | 0.0392 |
|  | (0.0168) | (0.0141) | (0.0141) | (0.0302) |
| *R*2  *R*2 Adj. | 0.7214  0.6899 | 0.8281  0.8086 | 0.8514  0.8346 | 0.4191  0.3533 |
| N. | 60 | 60 | 60 | 60 |

Table 3: Regression outputs explaining global non-spatial performance scores. Explanatory variables with a preced- ing (M) and (A) correspond to binary variables for the type of model (with histogram-based boosted classifier, or HGBC, as the baseline) and architecture (with baseline image classification, or BIC, as the baseline), respectively. Standard errors in parenthesis. Coefficients significant at the 1%, 5%, 10% level are noted with \*\*\*, \*\*, and \*, respectively.

## Regression outputs for within-class accuracy

Table [4](#_bookmark20) then looks again at the within-class accuracy explaining what we have seen in Figure [7](#_bookmark18). Multi-output regression consistently outperforms both baseline image classification and sliding image classification (which shows inconsistent results itself). Chip size has, again, a positive effect on the performance, while the inclusion of spatial lag in the modelling also consistently shows a positive impact. As assumed above, the prediction of signature types on both extremes of the urban-wild range tends to be easier than classes in between, which are, conceptually, the most challenging to predict due to the higher amount of *transition land* between class core areas.

## Regression outputs for spatial performance metrics

The regression outputs explaining differences in the spatial pattern between observed and pre- dicted values measured by the Join Counts statistic offer another - spatially explicit - perspective on the performance of tested model configurations. As such, it also indicates slightly different results as presented in Table [5](#_bookmark22). Neither option of the probability modelling steps seem to have a significant effect on the Join Counts results, unlike in previous performance metrics. However, the architecture of the neural network step shows a significant effect as multi-output regression, and in two out of four cases also sliding image classification, outperform the baseline image classification. While the effect of the chip size is inconsistent across the options, the inclusion of the spatial lag in the modelling step has a significant effect (at either 10%, 5% or 1% significance level). The effect of a signature type depends on its nature. More compact urban types like

|  |  |  |  |
| --- | --- | --- | --- |
| Within-Class Accuracy | Baseline | Absolute imb. | Relative imb. |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Intercept | 0.1866\*\*\* | -0.0237 | 0.0595\*\* | |
|  | (0.0308) | (0.0311) | (0.0303) | |
| (M) Logit E. | -0.0125 | -0.0125 | -0.0125 | |
|  | (0.0159) | (0.0141) | (0.0146) | |
| (M) Max. Prob. | -0.0188 | -0.0188 | -0.0188 | |
|  | (0.0211) | (0.0186) | (0.0193) | |
| (A) M.O.R. | 0.1753\*\*\* | 0.2512\*\*\* | 0.1753\*\*\* | |
|  | (0.0175) | (0.0163) | (0.0160) | |
| (A) S.I.C. | 0.1202\*\*\* | -0.0783\*\*\* | 0.1202\*\*\* | |
|  | (0.0175) | (0.0209) | (0.0160) | |
| Chip Size | 0.0014\*\*\* | 0.0041\*\*\* | 0.0014\*\*\* | |
|  | (0.0003) | (0.0003) | (0.0003) | |
| 1k Obs. |  | 0.0514\*\*\* |  | |
|  |  | (0.0036) |  | |
| % Obs. |  |  | 0.0156\*\*\* | |
|  |  |  | (0.0013) | |
| W | 0.0365\*\* | 0.0365\*\*\* | 0.0365\*\* | |
|  | (0.0159) | (0.0141) | (0.0146) | |
| (S)Urbanity | 0.2358\*\*\* | 0.2022\*\*\* | 0.2574\*\*\* | |
|  | (0.0349) | (0.0309) | (0.0320) | |
| (S)Dense urban neighbourhoods | -0.1420\*\*\* | -0.1075\*\*\* | -0.0998\*\*\* | |
|  | (0.0349) | (0.0309) | (0.0322) | |
| (S)Dense residential neighbourhoods | -0.1414\*\*\* | -0.0836\*\*\* | -0.0983\*\*\* | |
|  | (0.0349) | (0.0311) | (0.0322) | |
| (S)Connected residential neighbourhoods | -0.1306\*\*\* | -0.0726\*\* | -0.0754\*\* | |
|  | (0.0349) | (0.0311) | (0.0323) | |
| (S)Gridded residential quarters | -0.0785\*\* | -0.0127 | -0.0049 | |
|  | (0.0349) | (0.0312) | (0.0326) | |
| (S)Disconnected suburbia | -0.0601\* | -0.0103 | -0.0019 | |
|  | (0.0349) | (0.0311) | (0.0324) | |
| (S)Open sprawl | -0.0845\*\* | -0.0995\*\*\* | -0.1143\*\*\* | |
|  | (0.0349) | (0.0309) | (0.0321) | |
| (S)Warehouse park land | -0.0857\*\* | -0.0788\*\* | -0.0817\*\* | |
|  | (0.0349) | (0.0309) | (0.0320) | |
| (S)Urban buffer | -0.0828\*\* | -0.1382\*\*\* | -0.1753\*\*\* | |
|  | (0.0349) | (0.0311) | (0.0330) | |
| (S)Countryside agriculture | 0.2236\*\*\* | 0.1593\*\*\* | 0.1118\*\*\* | |
|  | (0.0349) | (0.0312) | (0.0334) | |
| (S)Wild countryside | 0.3876\*\*\* | 0.3283\*\*\* | 0.2925\*\*\* | |
|  | (0.0349) | (0.0311) | (0.0330) | |
| *R*2  *R*2 Adj. | 0.4979  0.4857 | 0.6087  0.5987 | 0.5794  0.5686 | |
| N. | 720 | 720 | 720 | |

Table 4: Regression outputs explaining within-class accuracy. Explanatory variables with a preceding (M), (A) and (S) correspond to binary variables for the type of model (with histogram-based boosted classifier, or HGBC, as the baseline), architecture (with baseline image classification, or BIC, as the baseline) and spatial signature (with Accessible suburbia as the baseline), respectively. Standard errors in parenthesis. Coefficients significant at the 1%, 5%, 10% level are noted with \*\*\*, \*\*, and \*, respectively.

# 

It seems clear that the baseline image classification is limited. However, the sliding approach does not come with significant performance benefits compared to multi-output regression, which shall be preferred in a use case like signature detec- tion. Multi-output regression seems to be better due to its ability to implicitly capture co-location. Still, sliding significantly improves the performance when considering the global Macro F1 score and within-class accuracy, making it a viable option if we want to stick to the traditional image classification approach.

The results do not suggest that one of the options is the *sweet spot* of the balance between sample size and amount of within-a-chip data.

performance than linear logit models. The last dimension focuses on the inclusion of the spatial lag in the modelling step as a geographically-explicit method of capturing the context of each chip.

*JC* log(*JC*) *JC* log(*JC*) *W thr W thr W union W union*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Intercept | 4.3454\*\*\* | 1.4617\*\*\* | 4.7103\*\*\* | 1.6311\*\*\* |
|  | (0.9507) | (0.1344) | (0.5763) | (0.1080) |
| (M) Logit E. | -0.1406 | -0.0431 | 0.1851 | 0.0481 |
|  | (0.4951) | (0.0700) | (0.2995) | (0.0561) |
| (M) Max. Prob. | 0.1128 | -0.1223 | 0.2819 | 0.0223 |
|  | (0.6442) | (0.0911) | (0.3887) | (0.0728) |
| (A) M.O.R. | -3.1630\*\*\* | -0.5744\*\*\* | -2.7875\*\*\* | -0.4647\*\*\* |
|  | (0.5494) | (0.0777) | (0.3301) | (0.0619) |
| (A) S.I.C. | 0.0119 | -0.2390\*\*\* | -0.6666\*\* | -0.0481 |
|  | (0.5532) | (0.0782) | (0.3329) | (0.0624) |
| Chip Size | 0.0297\*\*\* | -0.0005 | -0.0061 | -0.0080\*\*\* |
|  | (0.0108) | (0.0015) | (0.0065) | (0.0012) |
| W | -0.9325\* | -0.1376\*\* | -0.9556\*\*\* | -0.1785\*\*\* |
|  | (0.4945) | (0.0699) | (0.2991) | (0.0560) |
| (S)Urbanity | 4.6650\*\*\* | 0.6574\*\*\* | 0.1156 | -0.1258 |
|  | (1.0696) | (0.1512) | (0.6460) | (0.1211) |
| (S)Dense urban neighbourhoods | 1.7796\* | 0.5094\*\*\* | 0.7480 | 0.1609 |
|  | (1.0695) | (0.1512) | (0.6487) | (0.1216) |
| (S)Dense residential neighbourhoods | -0.8545 | 0.0672 | -0.4636 | -0.0920 |
|  | (1.0958) | (0.1550) | (0.6647) | (0.1246) |
| (S)Connected residential neighbourhoods | -0.3656 | 0.1543 | -0.4388 | -0.1447 |
|  | (1.1018) | (0.1558) | (0.6647) | (0.1246) |
| (S)Gridded residential quarters | -0.2000 | 0.1009 | -0.6203 | -0.2111\* |
|  | (1.0744) | (0.1519) | (0.6517) | (0.1221) |
| (S)Disconnected suburbia | -0.9752 | -0.1719 | -1.0303 | -0.3358\*\*\* |
|  | (1.1213) | (0.1586) | (0.6684) | (0.1252) |
| (S)Open sprawl | 1.8342\* | 0.1734 | 2.1575\*\*\* | 0.3576\*\*\* |
|  | (1.0604) | (0.1499) | (0.6432) | (0.1205) |
| (S)Warehouse park land | 0.5496 | 0.2123 | 1.2245\* | 0.3054\*\* |
|  | (1.0694) | (0.1512) | (0.6487) | (0.1216) |
| (S)Urban buffer | -0.0558 | -0.0931 | 2.7027\*\*\* | 0.5164\*\*\* |
|  | (1.0521) | (0.1488) | (0.6382) | (0.1196) |
| (S)Countryside agriculture | -1.3759 | -0.2511\* | 0.6623 | 0.0670 |
|  | (1.0521) | (0.1488) | (0.6382) | (0.1196) |
| (S)Wild countryside | -2.0183\* | -0.5065\*\*\* | -0.5918 | -0.1635 |
|  | (1.0521) | (0.1488) | (0.6382) | (0.1196) |
| *R*2 | 0.1589 | 0.1954 | 0.2118 | 0.2660 |
| *R*2 Adj. | 0.1368 | 0.1743 | 0.1913 | 0.2468 |
| N. | 665 | 665 | 670 | 670 |

Table 5: Regression outputs explaining (log of) differences in the spatial pattern between observed and predicted values, as measured by the Join Counts statistic. The Join Counts for each signature were computed using two types of spatial weights: one based on a distance threshold of 1Km (*W thr*), and another one built as a the union of nearest neighbor and queen contiguity matrices (*W union*). Explanatory variables with a preceding (M), (A) and (S) correspond to binary variables for the type of model (with histogram-based boosted classifier, or HGBC, as the baseline), architecture (with baseline image classification, or BIC, as the baseline) and spatial signature (with Accessible suburbia as the baseline), respectively. Standard errors in parenthesis. Coefficients significant at the 1%, 5%, 10% level are noted with \*\*\*, \*\*, and \*, respectively.

Regardless of global performance, we cannot assume that even the best model will perform evenly across all 12 signature types. The within-class performance metrics indicate that some classes on the extreme sides of the urban-rural dimension are easier to detect. That is not surprising as both *Urbanity* and *Wild countryside* signature types are unique, while the difference between *Dense residential neighbourhoods* and *Connected residential neighbourhoods* that is visible on the satellite imagery is much more subtle. It is also common that some of the classes are easier to distinguish than others ([Zanaga et al.](#_bookmark65) ([2021](#_bookmark65)), [Karra et al.](#_bookmark34) ([2021](#_bookmark34))). However, any model deployed for periodical updates of signature classification will have to deal with this limitation.

The experiments presented in this article focus on specific target data represented by spatial signatures. Because the signatures are designed to capture the structure of urban environments, the behaviour of spatial components in the modelling pipeline may differ when target data are of a different nature. However, we argue that the principle still holds in most cases. When the target data has a spatial dimension and a similar structure to the spatial signatures (e.g. relatively large patches of a contiguous area belonging to a single class), the explicit inclusion of spatial information in the modelling pipeline will be beneficial as it directly embeds Tobler’s first law of geography into the model ([Tobler](#_bookmark52), [1970](#_bookmark52)). This is a unique advantage of geographical problems, unavailable when the task is aspatial. While this assumption is only theoretical now, we believe that will can be empirically tested in future research.

Since this article is restricted to the use of open data at every step, the best current resolution of satellite imagery is 10 meters per pixel, as offered by the Sentinel 2 mission. That poses some challenges because such a resolution limits the amount of information we can capture on a small area and may oversimplify urban environments that are naturally more granular in their patterns than what 10m can capture. Further research should explore the performance differences when very-high-resolution imagery is used instead.

The combination of signatures reflecting small-scale urban types and a relatively coarse resolution leads to another limitation this work faces - the struggle to sample chips in a balanced manner. This is most prominent in the baseline image classification problem, where no pixels are shared among chips and all chips need to be exclusive to a single signature type. The issue is alleviated by class weights in the neural network architecture, but such a solution is not optimal. Is geography relevant in image classification problems, then? The results presented above suggest so. An introduction of explicit geographical methods to improve image classification models based on spatial imagery proves to be beneficial and makes use of what a unique - spatial

It requires moving beyond traditionally used pre-trained models that have no sense of adjacency of individual chips/samples. We need to take a step towards merging GIS expertise with the one that lies in the field of AI, often based in departments of computer science rather than geography.

We can also conclude that when properly designed, deep learning models have a lot of poten- tial in characterisation of the composition of urban landscapes, if we want to answer the question from the introduction. How well they perform varies across different signature types, meaning that it will also vary across different types of urban environments when other classification than signatures is considered. Nevertheless, we can foresee a variety of applications of models of the

sort presented and tested in this article. The spatial signatures are based on a large number of data sources with limited temporal rate of updates (notably census data, updated every 10 years), making it nearly impossible to do yearly snapshots of classification allowing longitudinal studies of evolution of cities. With the classification derived from satellite imagery, we can expect to see a much higher temporal resolution, easily resulting in annual updates, providing a detailed insight into the dynamics of urban expansion, densification and overall change of the shape of cities. This is a potential application that is not limited to spatial signatures but can be extended to any classification of urban environments.

When using openly available satellite data that are currently limited to the resolution of 10 meters per pixel at best, and classification focusing on primarily urban landscape, our results show both potential and limits. Accuracy is not far from that of established LULC models that could be increased in future by expansion of the training data set and possible inclusion of other available bands (like near-infrared) in the model. A limitation in decreased performance when it comes to distinction between urban areas that are neither too dense nor too sparse but show different form and function profiles. It is either a difference that is not visible on imagery (e.g. more driven by function) or a limitation of the available resolution and/or training data volume. This issue could have been primarily driven by the nature of spatial signatures as a classification target, and it shall be tested on other types of urban classification in the future.

While satellite imagery and neural networks have been around for some time already, we are just entering the era of an increasing abundance of satellite-based data. What used to be reserved for national agencies and international consortia is becoming a domain of commercial subjects. Research in the remote sensing area will face not a lack of available data but the opposite. We may find ourselves in a situation where a vast amount of data streams will come our way, but we will struggle to make sense of it. We believe that the research presented in this article helps in finding our way through.

# Data and code availability statement

All the data and code will be available on a public repository with DOI upon acceptance of the article to ensure the anonymity of a double-blind review.

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# Appendix A. Technical appendix

## Pen portraits of the British Spatial Signature types

Table 6: Interpretative pen portraits characterising each signature type based on its numerical profile. Reproduced from [Fleischmann and Arribas-Bel](#_bookmark31) ([2022](#_bookmark31)) published under CC-BY 4.0.

Signature type Pen Portait

Wild countryside In “Wild countryside”, human influence is the least intensive. This signature covers large open spaces in the countryside where no urbanisation happens apart from occasional roads, cottages, and pastures. You can find it across the Scottish Highlands, numerous national parks such as Lake District, or in the majority of Wales.

Countryside agriculture “Countryside agriculture” features much of the English countryside and displays a high degree of agriculture including both fields and pastures. There are a few buildings scattered across the area but, for the most part, it is green space.

Urban buffer “Urban buffer” can be characterised as a green belt around cities. This signature includes mostly agricultural land in the immediate adjacency of towns and cities, often including edge development. It still feels more like countryside than urban, but these signatures are much smaller compared to other countryside types.

Open sprawl “Open sprawl” represents the transition between countryside and urbanised land. It is located in the outskirts of cities or around smaller towns and is typically made up of large open space areas intertwined with different kinds of human development, from high- ways to smaller neighbourhoods.

Disconnected suburbia “Disconnected suburbia” includes residential developments in the outskirts of cities or even towns and villages with convoluted, dis- connected street networks, low built-up and population densities, and lack of jobs and services. This signature type is entirely car- dependent.

Accessible suburbia “Accessible suburbia” covers residential development on the urban periphery with a relatively legible and connected street network, albeit less so than other more urban signature types. Areas in this signature feature low density, both in terms of population and built- up area, lack of jobs and services. For these reasons, “accessible suburbia” largely acts as dormitories.

Continued on next page

Signature type Pen Portait

Warehouse/Park land “Warehouse/Park land” covers predominantly industrial areas and other work-related developments made of box-like buildings with large footprints. It contains many jobs of manual nature such as manufacturing or construction, and very little population live here compared to the rest of urban areas. Occasionally this type also covers areas of parks with large scale green open areas.

Gridded residential quarters

Connected residential neighbourhoods

Dense residential neigh- bourhoods

Dense urban neighbour- hoods

“Gridded residential quarters” are areas with street networks form- ing a well-connected grid-like (high density of 4-way intersections) pattern, resulting in places with smaller blocks and higher granular- ity. This signature is mostly residential but includes some services and jobs, and it tends to be located away from city centres. “Connected residential neighbourhoods” are relatively dense urban areas, both in terms of population and built-up area, that tend to be formed around well-connected street networks. They have access to services and some jobs but may be further away from city centres leading to higher dependency on cars and public transport for their residents.

A “dense residential neighbourhood” is an abundant signature often covering large parts of cities outside of their centres. It has primarily residential purpose and high population density, varied street net- work patterns, and some services and jobs but not in high intensity. “Dense urban neighbourhoods” are areas of inner-city with high population and built-up density of a predominantly residential nature but with direct access to jobs and services. This signature type tends to be relatively walkable and, in the case of some towns, may even form their centres.

Local urbanity “Local urbanity” reflects town centres, outer parts of city centres or even district centres. In all cases, this signature is very much urban in essence, combining high population and built-up density, access to amenities and jobs. Yet, it is on the lower end of the hierarchy of signature types denoting urban centres with only a local significance.

Regional urbanity “Regional urbanity” captures centres of mid-size cities with regional importance such as Liverpool, Plymouth or Newcastle upon Tyne. It is often encircled by “Local urbanity” signatures and can form outer rings of city centres in large cities. It features high population density, as well as a high number of jobs and amenities within walkable distance.

Continued on next page

Signature type Pen Portait

Metropolitan urbanity Signature type “Metropolitan urbanity” captures the centre of the largest cities in Great Britain such as Glasgow, Birmingham or Manchester. It is characterised by a very high number of jobs in the area, high built-up density and often high population density. This type serves as the core centre of the entire metropolitan areas.

Concentrated urbanity Concentrated urbanity” is a signature type found in the city centre of London and nowhere else in Great Britain. It reflects the uniqueness of London in the British context with an extremely high number of jobs and amenities located nearby, as well as high built-up and pop- ulation densities. Buildings in this signature are large and tightly packed, forming complex shapes with courtyards and little green space.

Hyper concentrated urbanity

The epitome of urbanity in the British context. “Hyper concentrated urbanity” is a signature type present only in the centre of London, around the Soho district, and covering Oxford and Regent streets. This signature is the result of centuries of urban primacy, with a multitude of historical layers interwoven, very high built-up and population density, and extreme abundance of amenities, services and jobs.

## Method of data splitting

Due to the potential data leakage caused by pixels shared by chips present in both train and validation sets, we have developed a method of spatial data splitting that ensures no data leakage happens. While this could be done randomly, such a subdivision does not allow for sliding, the splits are required to be spatially contiguous. The method therefore proceeds as follows:

* 1. Create a grid of chips of a set size covering the entire study area.
  2. Eliminate chips that are not fully within a single signature geometry.
  3. Sort chips using the space-filling Hilbert curve.
  4. Subdivide chips within each contiguous signature geometry into four parts: 40% for CNN training, 10% for CNN validation, 40% for probability modelling training, 10% for probab- ility modelling validation. This subdivision is node based on the Hilbert distance ensuring spatial compactness and contiguity of each part. For signature geometries that are too small to be subdivided, the entire geometry is used within one set only.
  5. (If SIC) Apply sliding within each part.

## Comparison of neural network architecture

|  |  |  |  |
| --- | --- | --- | --- |
| architecture | top layer | # neurons in top layer | global accuracy |
| EfficientNetB4 | Flatten | 128 | 0.663482 |
| EfficientNetB4 | Flatten | 256 | 0.715764 |
| EfficientNetB4 | Flatten | 512 | 0.697187 |
| EfficientNetB4 | GlobalAveragePooling2D | 128 | 0.723726 |
| EfficientNetB4 | GlobalAveragePooling2D | 256 | 0.715764 |
| EfficientNetB4 | GlobalAveragePooling2D | 512 | 0.727972 |
| ResnNet50 | Flatten | 128 | 0.481157 |
| ResnNet50 | Flatten | 256 | 0.481423 |
| ResnNet50 | Flatten | 512 | 0.522824 |
| ResnNet50 | GlobalAveragePooling2D | 128 | 0.469745 |
| ResnNet50 | GlobalAveragePooling2D | 256 | 0.469745 |
| ResnNet50 | GlobalAveragePooling2D | 512 | 0.526274 |
| VGG19 | Flatten | 128 | 0.708333 |
| VGG19 | Flatten | 256 | 0.675425 |
| VGG19 | Flatten | 512 | 0.692144 |
| VGG19 | GlobalAveragePooling2D | 128 | 0.69931 |
| VGG19 | GlobalAveragePooling2D | 256 | 0.678609 |
| VGG19 | GlobalAveragePooling2D | 512 | 0.67224 |

Table 7: Comparison of global accuracy of different architectures of neural network on a sample of data with signature types aggregated into three classes (centres, periphery, countryside) using the baseline image classification. EfficientNetB4 with GlobalAveragePooling2D and 256 neurons has been used in the final experiment.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Chip Size | B.I.C. | S.I.C | M.O.R |
| maxprob | 8 | 0.23 | 0.26 | 0.22 |
|  | 16 | 0.19 | 0.29 | 0.21 |
|  | 32 | 0.27 | 0.28 | 0.28 |
|  | 64 | 0.32 | 0.35 | 0.53 |
| logite | 8 | 0.25 | 0.28 | 0.24 |
|  | 16 | 0.26 | 0.30 | 0.24 |
|  | 32 | 0.31 | 0.30 | 0.30 |
|  | 64 | 0.41 | 0.36 | 0.53 |
| logite-wx | 8 | 0.27 | 0.35 | 0.26 |
|  | 16 | 0.34 | 0.41 | 0.27 |
|  | 32 | 0.39 | 0.43 | 0.33 |
|  | 64 | 0.51 | 0.45 | 0.54 |
| HistGradientBoostingClassifier | 8 | 0.25 | 0.28 | 0.23 |
|  | 16 | 0.28 | 0.30 | 0.25 |
|  | 32 | 0.32 | 0.33 | 0.44 |
|  | 64 | 0.43 | 0.36 | 0.68 |
| HistGradientBoostingClassifier-wx | 8 | 0.28 | 0.38 | 0.27 |
|  | 16 | 0.36 | 0.41 | 0.27 |
|  | 32 | 0.40 | 0.44 | 0.34 |
|  | 64 | 0.53 | 0.47 | 0.59 |

Table 8: Global Cohen’s Kappa score of all the models tested in this study.

## Global performance metrics

1. ***Within-class performance by spatial signature***

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Chip Size | B.I.C. | S.I.C | M.O.R |
| maxprob | 8 | 0.27 | 0.29 | 0.25 |
|  | 16 | 0.22 | 0.32 | 0.24 |
|  | 32 | 0.16 | 0.32 | 0.35 |
|  | 64 | 0.14 | 0.28 | 0.62 |
| logite | 8 | 0.27 | 0.27 | 0.25 |
|  | 16 | 0.22 | 0.31 | 0.26 |
|  | 32 | 0.16 | 0.32 | 0.35 |
|  | 64 | 0.15 | 0.25 | 0.59 |
| logite-wx | 8 | 0.29 | 0.32 | 0.27 |
|  | 16 | 0.28 | 0.41 | 0.29 |
|  | 32 | 0.19 | 0.42 | 0.37 |
|  | 64 | 0.17 | 0.29 | 0.60 |
| HistGradientBoostingClassifier | 8 | 0.26 | 0.26 | 0.24 |
|  | 16 | 0.24 | 0.32 | 0.29 |
|  | 32 | 0.17 | 0.35 | 0.52 |
|  | 64 | 0.15 | 0.26 | 0.76 |
| HistGradientBoostingClassifier-wx | 8 | 0.31 | 0.39 | 0.29 |
|  | 16 | 0.34 | 0.44 | 0.31 |
|  | 32 | 0.20 | 0.45 | 0.40 |
|  | 64 | 0.17 | 0.30 | 0.68 |

Table 9: Global macro F1-score of all the models tested in this study.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Chip Size | B.I.C. | S.I.C | M.O.R |
| maxprob | 8 | 0.28 | 0.32 | 0.27 |
|  | 16 | 0.27 | 0.34 | 0.25 |
|  | 32 | 0.41 | 0.35 | 0.34 |
|  | 64 | 0.53 | 0.46 | 0.58 |
| logite | 8 | 0.28 | 0.31 | 0.27 |
|  | 16 | 0.30 | 0.33 | 0.27 |
|  | 32 | 0.43 | 0.35 | 0.34 |
|  | 64 | 0.59 | 0.46 | 0.56 |
| logite-wx | 8 | 0.30 | 0.37 | 0.30 |
|  | 16 | 0.37 | 0.42 | 0.30 |
|  | 32 | 0.49 | 0.46 | 0.37 |
|  | 64 | 0.66 | 0.54 | 0.57 |
| HistGradientBoostingClassifier | 8 | 0.28 | 0.30 | 0.27 |
|  | 16 | 0.33 | 0.33 | 0.31 |
|  | 32 | 0.44 | 0.38 | 0.49 |
|  | 64 | 0.60 | 0.47 | 0.71 |
| HistGradientBoostingClassifier-wx | 8 | 0.32 | 0.42 | 0.33 |
|  | 16 | 0.42 | 0.46 | 0.33 |
|  | 32 | 0.50 | 0.49 | 0.40 |
|  | 64 | 0.67 | 0.55 | 0.64 |

Table 10: Global weighted F1-score of all the models tested in this study.



Figure 8: Within-class accuracy scores grouped by signature. Each panel represents results from one of the 12 signatures predicted. Each column in the heatmap corresponds to one of the five models compared, namely: histogram-based boosted classifier (HGBC) with features pertaining only to a given chip (baseline) or including also features from neighbouring ones (baseline-wx); Logit ensemble (logite) with the same two variations; and a simpler maximum probability approach (maxprob). Each row corresponds to a pair of chipsize (8, 16, 32, and 64 pixels) and architecture (baseline image classification, or bic; sliding image classification, or sic; and multi-output regression, or mor) used in the neural network stage of the pipeline.

## Confusion matrices



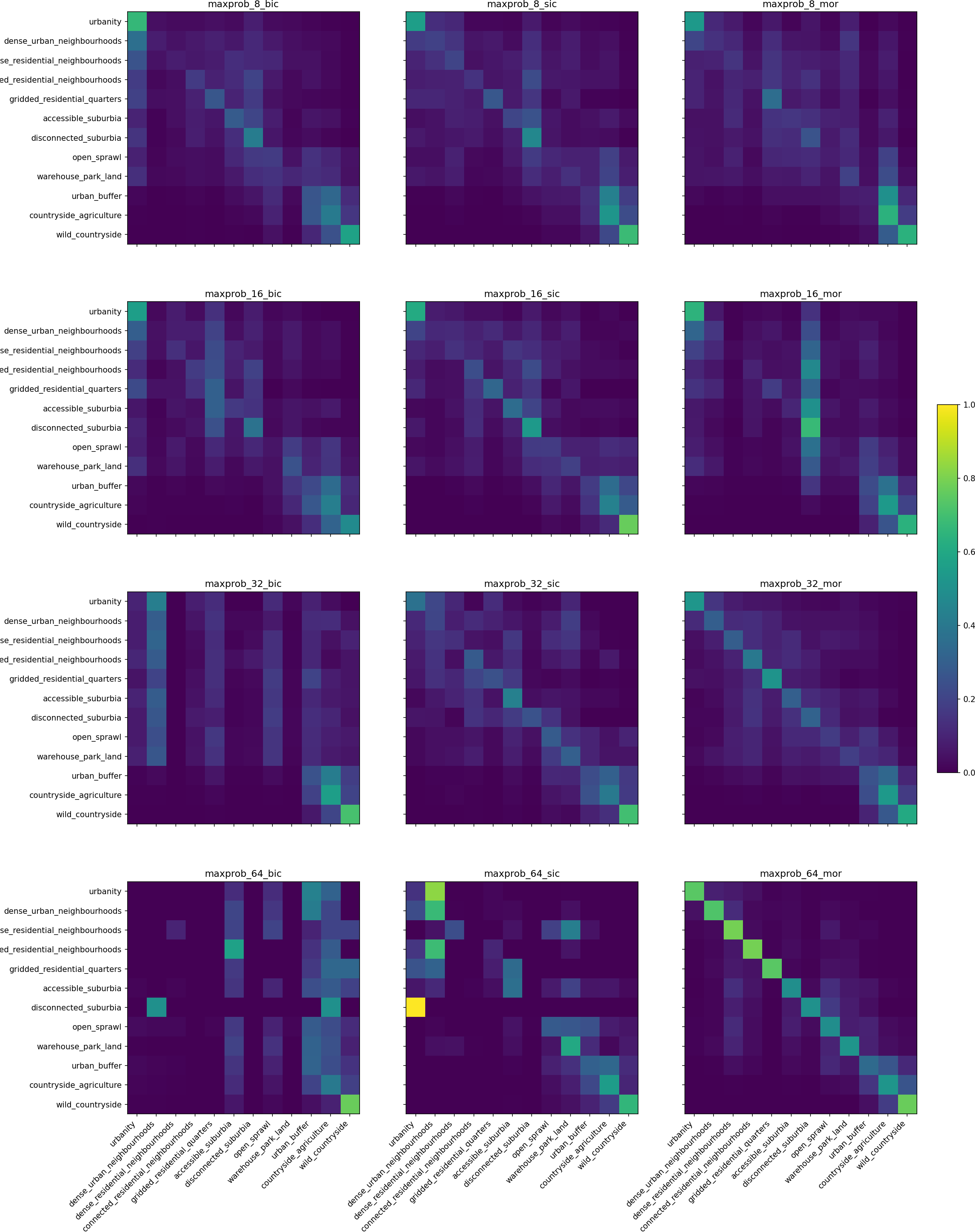


Figure 9: Confusion matrices for individual models denoting the ability of each model in prediction of a correct label per each class using the maximum probability architecture.

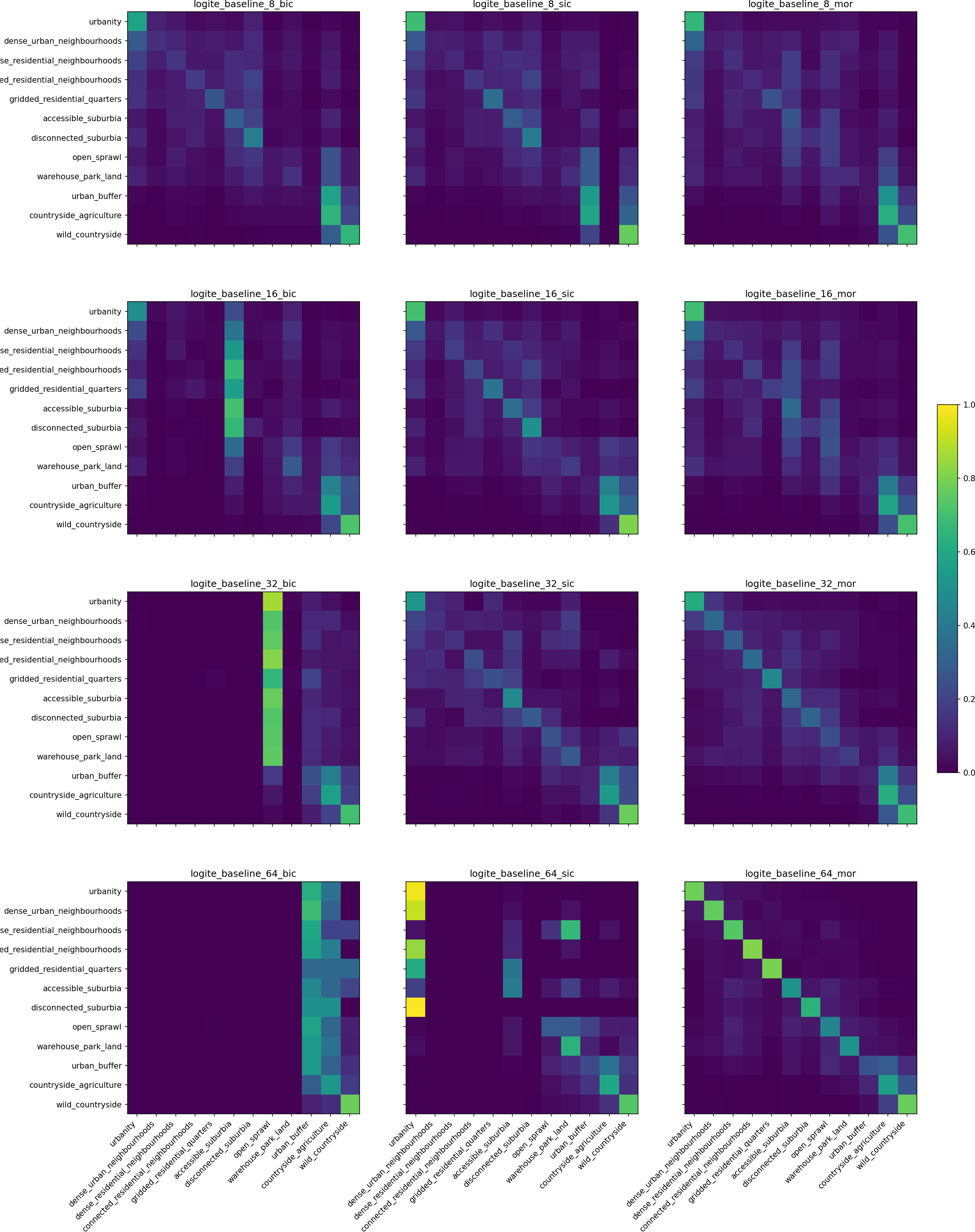


Figure 10: Confusion matrices for individual models denoting the ability of each model in prediction of a correct label per each class using the logit ensemble baseline architecture.

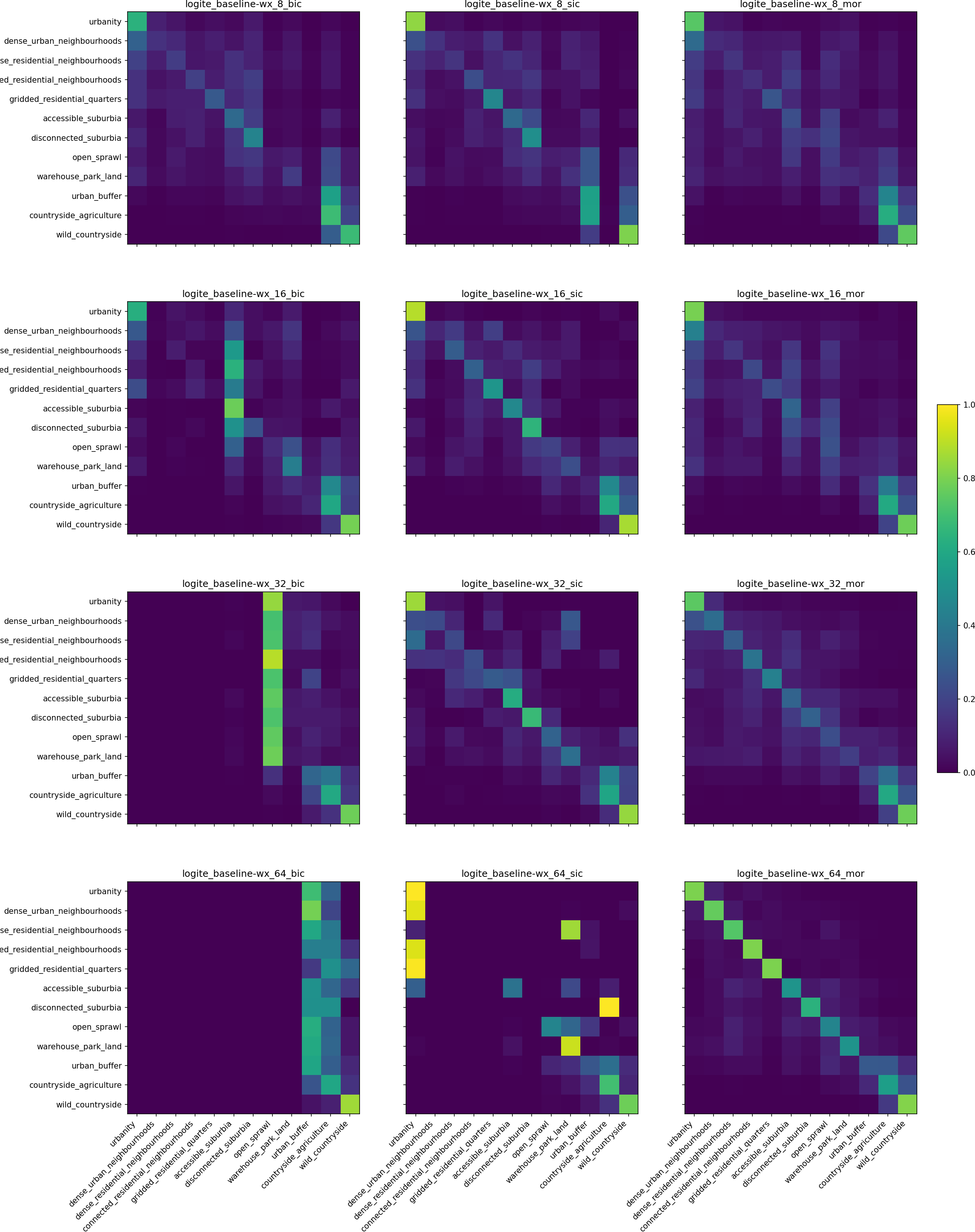


Figure 11: Confusion matrices for individual models denoting the ability of each model in prediction of a correct label per each class using the logit ensemble baseline-wx architecture.

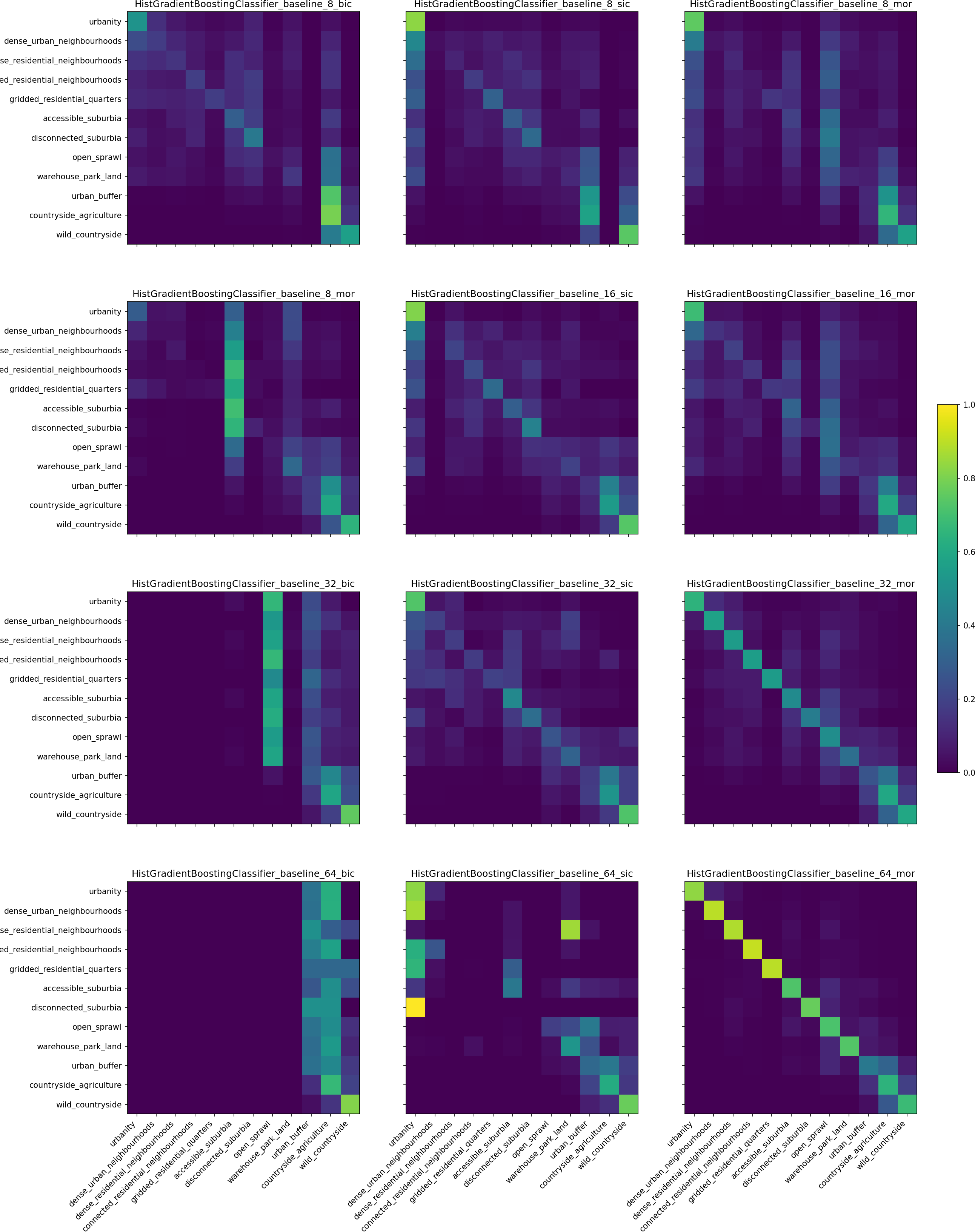


Figure 12: Confusion matrices for individual models denoting the ability of each model in prediction of a correct label per each class using the HGBC baseline architecture.

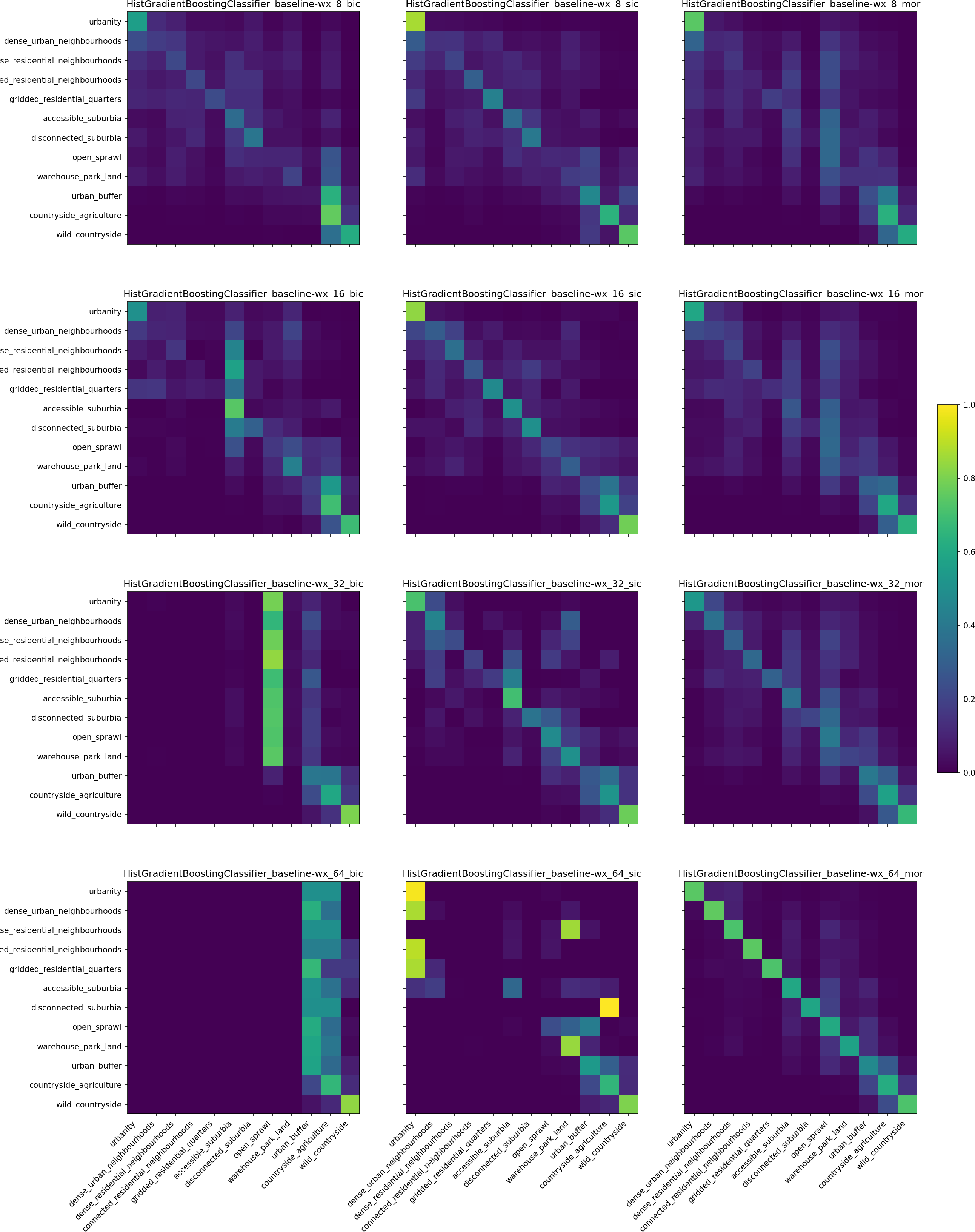


Figure 13: Confusion matrices for individual models denoting the ability of each model in prediction of a correct label per each class using the HGBC baseline-wx architecture.

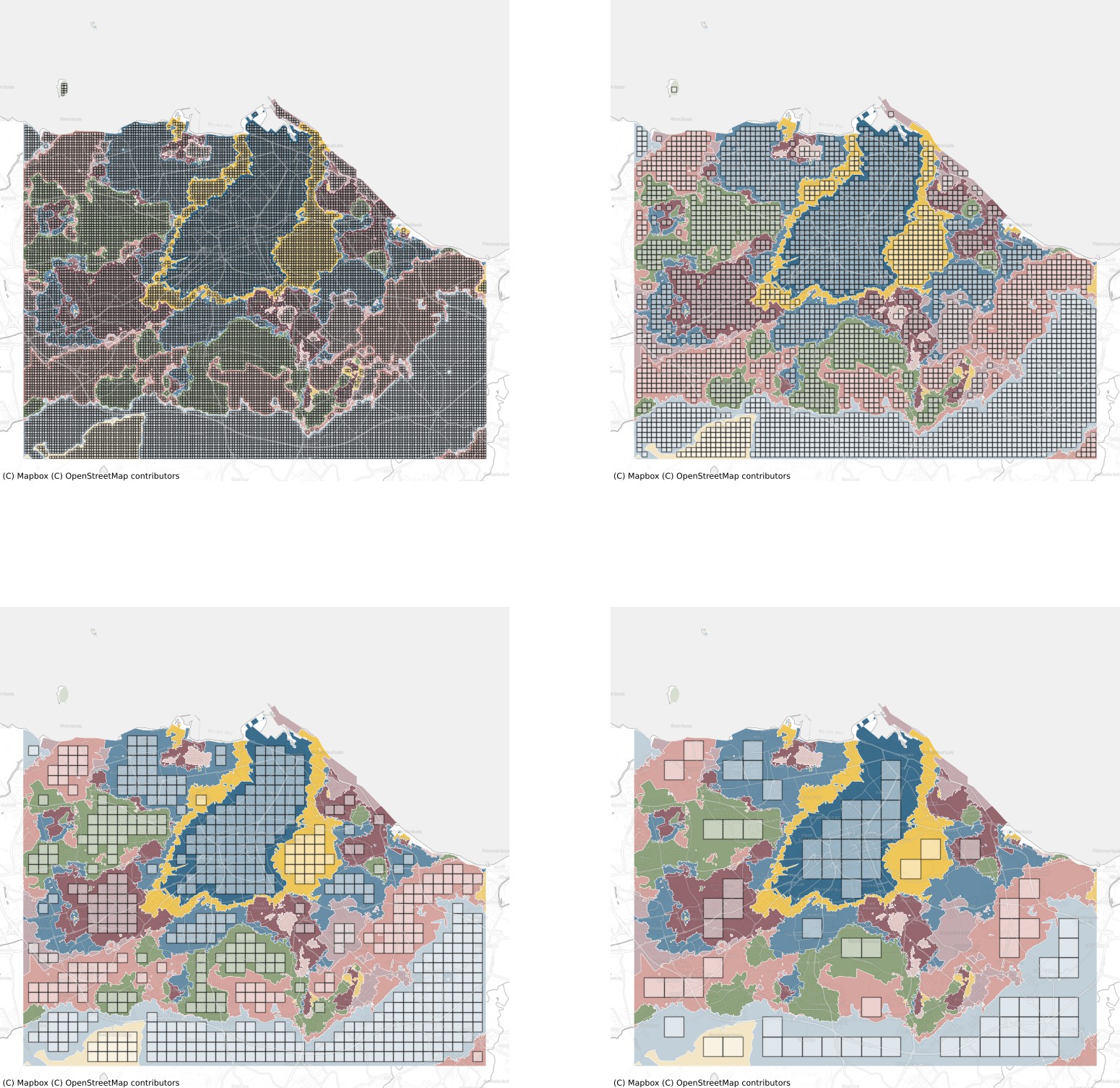


Figure 14: An illustration of the relationship between chip size and signature geometry in Edinburgh area. Subplots show all chips that represent a single class using 80 meters (top left), 160 m (top right), 320 m (bottom left) and 640 m (bottom right) chip sizes.

1. ***Chip counts***

|  |  |  |  |
| --- | --- | --- | --- |
|  | B.I.C. | S.I.C | M.O.R. |
| 8 | 534,729 | 1,099,439 | 135,264 |
| 16 | 331,459 | 1,087,827 | 126,016 |
| 32 | 179,261 | 901,538 | 112,196 |
| 64 | 132,619 | 373,131 | 94,960 |

Table 11: Total number of chips (within all training, validation and secret sets) per chip size and architecture.