

Spatial Signatures

Understanding (urban) spaces through form and function

Daniel Arribas-Bel^{*†‡}

Martin Fleischmann^{*†§}

Geographic Data Science Lab, University of Liverpool

September 2019

ABSTRACT: Blah blah blah

Key words: blah, blah, blah

^{*}THANKS.

[†]Geographic Data Science Lab, Department of Geography and Planning, University of Liverpool, Roxby Building , 74 Bedford St S , Liverpool , L69 7ZT, United Kingdom

[‡]E-mail: D.Arribas-Bel@liverpool.ac.uk; phone: +44 (0)151 795 9727; website: <http://darribas.org>.

[§]E-mail: M.Fleischmann@liverpool.ac.uk; website: <https://martinfleischmann.net/>.

1. Introduction

How we spatially arrange the building blocks that make up a city matters. The map of many European cities tells the story of the different historical periods in which they were born, grew and, in some cases, contracted. American cities which “came of age” in the second half of the XXth Century would look very different had the automobile not been the defining technology of the time. And the stark contrasts between luxury developments and informal settlements that can be observed across many cities of the Global South are a reflection of the wide range of disparities and inequalities that those societies display. This encoding is also sticky. Although cities are constantly in flux, new innovations and waves of change rarely start from scratch. More commonly, they are added in a layered way. Over time, each phase, each change blends with the rest of the urban tissue to give a city its uniquely distinct pattern that defines it almost as a strand of DNA. The building blocks include the different elements of the built and natural environments of which cities are composed, but also the purpose they serve. Understanding the former thus requires us to consider urban *form*, while grasping the latter invites us to examine its *function*. Urban form and function are relevant for two main reasons. First, their fabric encodes the socio-economic history, technology and values of the society that has built them. Studying the nature and distribution of form and function in cities thus helps us better understand the societies that, over time, have shaped them. The second reason why urban form and function are important is that they are not only a history book recording the past, but also play an important role in defining the present and shaping the future. Once in place, their features and structure have direct implications for a wide range of outcomes, from productivity and job access to social inclusion and mobility, deprivation, service provision, energy consumption or carbon emissions, to name just a few.

However, the study of urban form and function is deeply fragmented. Work focusing on the form and function of cities is scattered across different academic disciplines and policy-making scenes. This is not necessarily a problem in itself since different backgrounds provide a richer picture. And there is much to be gained from a plurality of perspectives. It does however mean that the evidence available presents different interests as well as varying degrees of detail, consistency, and coverage. It is understandable that economists develop conceptualisations shaped around economic theories, while geographers do so, paying attention to spatial scales, and yet other disciplines bring different aspects to focus. Similarly, decision-makers interested in understanding aspects of urban form and function tend to see it through the lenses provided by the vantage point they occupy. Regional planners may try to obtain as much detail as possible for a relatively small geographical area; while supra-national organisations may prioritise scale and coverage at the expense of detail.

There is a clear need for detailed, consistent, and scalable evidence on urban form and function. Detailed and granular measurement that can be performed across large geographical extents in a comparable way can unlock insights that get lost when we can only observe certain regions of the picture developed for a large extent does not have sufficient detail. This is because many of the theoretical underpinnings of urban form and function that reflect its history and influence

present and future outcomes tend to operate at fine scales but, to be able to observe meaningful differences, we need to consider many and different places. For example, the characters that define Medieval city centres in Europe quickly blur when the geographical unit considered is coarse. But, to be able to examine how these characters relate to different levels of walkability, or even of gas emissions, we require a large extent to set up meaningful comparisons.

Of detail, consistency and scale, the current research landscape described above provides, at best, any two. There is an abundance of detailed studies that consistently measure form and function, but these are in their majority confined to case studies with very limited geographical extents. On the other end of the scale spectrum, recent years have seen the appearance of work at a global scale that is consistent. However, their degree of detail tends to be hindered by data limitations. Finally, one could understand the multitude of detailed case studies in conjunction as a growing body of evidence that is able to reach a sizeable scale. But, in these case, the fragmentation discussed earlier often translates in a lack of consistency that prevents meaningful comparisons.

These limitations are beginning to be overcome thanks to recent advances on the data, technological and methodological fronts. New forms of data such as open cadastres, consumer datasets derived from modern business operations, or high resolution, public satellite imagery are greatly improving the descriptions we can build of cities ([Arribas-Bel, 2014](#)). Progressively, we are able to build denser and more up to date representations of urban environments at a cheaper cost. Technological developments such as the dramatic increase of computational power available to researchers, or improvements in computer algorithms and machine learning are lowering the entry barrier to advances that only a few years ago required a high degree of dedicated expertise to be able to benefit from. Perhaps more importantly, recent methodological contributions such as morphometrics ([Dibble, 2016](#)) or geographic data science ([Singleton and Arribas-Bel, 2021](#)) are paving the way to blend these advances with domain knowledge and urban theory, effectively enabling disciplines concerned with the form and function of cities to benefit from such developments.

In this paper, we introduce the Spatial Signatures as a characterisation of space based on form and function designed to understand urban environments. The Spatial Signatures are thus a delineation that divides geographical space based on its appearance (form) and how it is used (function). It is not a classification of space as much as a way of thinking around classifying space based on form and function. Hence, it is an ideal candidate for deployment on a wide range of data landscapes and geographical regions, as we illustrate in Section 4.

The Spatial Signatures hold important potential both for urban academics and policy-makers. From an academic point of view, they are relevant as a goal in themselves that allows us to better measure and study the spatial configuration of the building blocks that make up cities. But they also represent a platform on which other disciplines can build on to embed form and function on a variety of socio-environmental outcomes. For policy-makers, the Spatial Signatures provide a framework for detailed spatial understanding of the cities and territories their decisions affect. Because of the flexibility of the concept, they are useful both in the global north, where cities are constantly recast and retrofitted, as well as the global south, where most of the new urbanisation

is currently taking place. In summary, the Spatial Signatures allow us to move forward in realising detailed, consistent, and scalable measurement of form and function in cities.

The remaining of the paper is structured as follows. Section 2 reviews existing literature on urban form and function, highlighting current gaps. Section 3 details our proposal of Spatial Signatures, including how we define conceptualise them, the spatial unit we develop to measure them –the enclosed tessellation cell–, and the embedding of form and function into such unit. In Section 4, we illustrate the flexibility of the Spatial Signatures by presenting an application to five rather different global cities. And we conclude in Section 5 with some reflection about the value and potential of our approach.

Martin: [Note: are we using capitalised Spatial Signatures or spatial signatures? It is inconsistent throughout the paper.]

2. (Urban) form and function

2.1 Form

Urban form approaches environments from the perspective of their physical structure and appearance. Research studying urban form has a long tradition, dating back to the early XXth Century (Geddes, 1915, Trewartha, 1934). Urban morphology, subsequently, begun in the 1960s as an independent area of research. The field originated in parallel within geography (Conzen, 1960) and architecture (Muratori, 1959), reflecting its inherently multi-disciplinary nature, later reinforced by the inclusion of socio-economic elements, as in the work of Panerai et al. (1997). The original methods are predominantly qualitative, a tendency that persists today (Dibble, 2016). The first notable quantitative approaches date to the late 1980s and 1990s, reflecting advancements in computation and newly available data capturing the built environment. In this context, two strains of research have emerged. One focuses on cartographic (vector) representation of the urban environment, assessing its boundaries (Batty and Longley, 1987), street networks (Hillier, 1996, Porta et al., 2006) and other elements (Pivo, 1993). The second one is based on earth observation, exploiting remotely sensed data to capture change in the footprint of urban areas (Howarth and Boasson, 1983).

The current state of the art still retains this distinction between cartographic and remotely sensed approaches. A modern quantitative branch of urban morphology, or urban morphometrics, has emerged working predominantly discrete elements of urban form, and proposing an abundant selection of measurable characters that describe different aspects of form (Fleischmann et al., 2020b). As part of this trend, methods focusing on a single aspect (Porta et al., 2006) have been replaced by efforts to better reflect the complexity of urban form through the combination of multiple morphometric characters into a single model, often leading to data-driven typologies (Song and Knaap, 2007). This focus on classification is becoming more prominent, fueled by the possibilities afforded by new datasets increasingly available. Indeed, the literature is now able to produce typologies that start from small-scale studies focused on blocks and streets (Gil et al., 2012), and zoom out into larger areas with higher granularity (Schirmer and Axhausen, 2015, Araldi and Fusco, 2019, Bobkova et al., 2019, Dibble et al., 2019, Jochem et al., 2020).

Advances in remote sensing have also led to a range of classification frameworks based on various conceptualizations of the urban fabric. However, there is one significant difference between classification derived via morphometric characterization and the one based on remote sensing. Where the former is mostly unsupervised (Araldi and Fusco, 2019, Schirmer and Axhausen, 2015), exploiting the hidden structure in the data to develop organically the typology; the latter tends towards supervised techniques, relying on classes defined a priori (Pauleit and Duhme, 2000). Two emerging classification models used to inform these exercises are Local Climate Zones (Stewart and Oke, 2012), defining ten built-form types and seven land cover types, and used recently by Koc et al. (2017) or Taubenböck et al. (2020); and the Urban Structural Type, a generic typology based on the notion of internal homogeneity of types (Lehner and Blaschke, 2019).

2.2 Function

Urban function considers environments based on the activities that take place within them. The focus is thus not on what a space “looks like”, as it is the case on urban form, but on “what it is used for”. What activities occur within cities, how they are spatially configured, and how they relate to each other are key questions in this context. To the extent cities compress space and time to concentrate human activity of very diverse nature, the study of function is relevant to a variety of fields and is undertaken by a wider constituency of researchers. Disciplines as disparate as geography, economics or environmental sciences have contributed in their own way to our understanding of urban function. Furthermore, because function has direct implications for a wide range of social and environmental processes at different geographic scales, their study also falls within the realm of policy. Given the breadth of perspectives and goals, a complete overview of its contributions is beyond the scope of this paper. Instead, here we highlight what we consider the most relevant domains involved: environmental sciences, urban and public economics, urban and transport geography, planning, and sociology.

Environmental sciences have long considered urban function in the context of the broader interest on understanding the natural characteristics of the surface of the Earth. An area that has attracted much effort relates to the development of classifications of land cover and land use, the former describing the nature of surfaces while the latter focusing on how those surfaces are used. Several land cover classifications are available (e.g. CORINE, European Environment Agency, 1990, in Europe; the National Land Cover Database, Homer et al., 2012, in the US; or the Land Cover CCI, Defourny et al., 2012, globally), as well as some for land use (e.g. the Urban Atlas project, Copernicus Land Monitoring Service, 2021). While much of this research is not focused on urban environments, the urban remote sensing community (Weng and Quattrochi, 2018) is building a more explicit bridge between these approaches and the study of cities (e.g. Kuffer et al., 2016, Georganos et al., 2018, Jochem et al., 2018, Prasad, 2015, Stark et al., 2020).

A wide array of disciplines have developed more specific interests in urban function. Sustainability studies, for example, are interested in how function is configured within and across cities in so far as it relates to the level of emissions (Angel et al., 2018) or energy consumption (Silva et al., 2017). The social sciences have a long-standing interest on the spatial configuration

of form because it affects several outcomes of prime interest. Depending on the nature of these outcomes, form is conceptualised in one or another way. Urban economics pays special attention to density of economic activity and, by extension, of population (Ahlfeldt and Pietrostefani, 2019, Duranton and Puga, 2020), since density is intimately related to theories of agglomeration, one of the intellectual pillars of the field. Public economics has paid attention the configuration of urban function to the extent that it determines the efficiency of certain public services provided by local governments (Carruthers and Ulfarsson, 2003, Hortas-Rico and Solé-Ollé, 2010). Sociologists and planners have also found that different spatial configurations of function over space is associated with different degrees of social mobility (Ewing et al., 2016) or socio-economic deprivation (Venerandi et al., 2018). More generally, transport researchers have built a robust body of knowledge linking urban function and its spatial distribution to travel behaviour (Boarnet et al., 2001), sustainability (Sevtuk and Amindarbari, 2020), or accessibility to jobs (Horner, 2004) and amenities (Diamond and Tolley, 2013), with clear implications for socio-economic disparities.

2.3 Blending Form & Function

Whilst much of the literature focuses either on form or function, the two are deeply interconnected. Function develops in the context provided by form; and, over time, form adapts and encodes function. However, there exists a few attempts to classify urban spaces considering both jointly. Bourdic et al. (2012) propose a comprehensive classification based on indicators ranging from form to biodiversity, culture and energy on a scale of individual cities. Several studies consider the link between form and land use (Song and Knaap, 2007, Song et al., 2013, Bourdic et al., 2012), with some authors even including land use a component of form characterisations (Dibble et al., 2019). At any rate, even when the two are combined, the scope of either, particularly function, is narrow rather than all-encompassing. For example, the geodemographic tradition (Harris et al., 2005, Webber and Burrows, 2018) studies populations based on where they live. Although this considers both, the focus is very much on the residential function, leaving aside others such as employment or amenities. Recent years have also seen work at the global scale connecting form and population density (Ewing et al., 2002, Zheng et al., 2014, OECD, 2018), many facilitated by the appearance of new datasets (e.g. Pesaresi et al., 2019, Sorichetta et al., 2015), alongside studies embedding accessibility and proximity to points of interests into their frameworks (Alexiou et al., 2016, Venerandi et al., 2019). Nevertheless, the body of research directly working with both form and function in a single framework is limited and tends to focus on particular functions.

3. Spatial Signatures

Despite the current sparsity of studies, we believe there are several benefits in considering form and function in tandem when trying to understand urban spaces. The two are deeply interconnected. This close correlation implies that outcomes observed across form tend to hold true for function, and viceversa. However, unique patterns emerge when particular types of form and function come together. We argue that it is only through the combination of form and function that cities are able to encode and reflect sophisticated aspects of human nature such as

history, culture or technology. In these cases, considering only one or the other hinders rather than enables, as we risk missing important traits of the nature of a place. From a more empirical perspective, even when the two dimensions mostly overlap, there is value in considering them jointly. Some aspects of form and function are clear conceptually but challenging to measure. Broadening the pool of indices that can be deployed ensures better accuracy when characterising existing patterns on the ground. In this section, we detail our proposal to understand urban form and function through what we term “Spatial Signatures”.

3.1 Definition

We propose the notion of *Spatial Signatures* as:

A characterisation of space based on form and function designed to understand urban environments

Spatial Signatures provide exhaustive coverage for an area of interest by drawing organic boundaries that delineate portions of consistent morphological and functional characteristics. We will refer to a single *spatial signature* in two related but distinct ways: first, as one of the multiple classes that make up a wider typology of Spatial Signatures; and second, as a geographical instance of that class, a contiguous portion of territory that shares those morphological and functional traits. As such, spatial signatures can be seen as organically grown delineations that organise space into urban and rural, orderly and irregular, formal and informal. Laid out together, they can be used to explore urban extents, to parse through the complexity of their spatial structure, or to understand the evolution of cities. In bringing together both form and function, with a focus on the urban, Spatial Signatures provide a nexus between purely morphological characterisations and those entirely based on function. To the extent form and function are intrinsically connected, its combination leads to more robust portraits of the space that makes up cities. And, since the focus is on the urban, Spatial Signatures provide a complementary perspective to most land cover and use classifications, which historically pay more attention to the portion of space not occupied by cities.

The development of the Spatial Signatures approach carries several benefits to studies of cities and their footprint. The concept is data-driven but theoretically informed; granular but scalable; and flexible enough to be adapted to a wide variety of applied contexts, from data-rich to those with limited availability. Spatial Signatures embed theoretical ideas about how cities are spatially arranged, how this configuration can be best conceptualised, and how it is perceived by humans into a data-driven framework that connects them to the vast amount of empirical information available representing the world. These theoretical underpinnings are sourced from a variety of disciplines, from architecture to environmental sciences, and thus are inherently interdisciplinary. The Spatial Signatures thus provide a shared vocabulary to bring together a variety of scholars and policy makers for whom form and function in cities is relevant, either as their object of study or as an input for their own domains of expertise.

Part of the flexibility of this approach stems from the fact it represents a way of thinking about form and function in cities as much as a set of techniques to parse through data. In the following two subsections, we cover the two core components of building Spatial Signatures: the delineation

of atomic units that can be organically grown to delineate boundaries between signatures; and the approach to embed form and function into each of those units in a way that the aggregation is feasible.

3.2 Building blocks: the Enclosed Tesselation

This section proposes a novel and theoretically-informed delineation of space to support the development of spatial signatures. Since spatial signatures are conceptualised as highly granular in space, considering the ideal unit of analysis at which to measure them is of utmost importance. This step is worth spending energy and effort for two main reasons. First, if ignored, there is an important risk of incurring the modifiable areal unit problem (MAUP, Openshaw, 1981). The urban fabric is not a spatially smooth phenomenon; rather, it is lumpy, irregular and operates at very small scales. Choosing a spatial unit that does not closely match its distribution will subsume interesting variation and will hide features that are at the very heart of what we are trying to capture with spatial signatures. Second, and conversely, we see adopting a meaningful unit a step of analysis itself. Rather than selecting an imperfect but existing unit to try to characterise spatial signatures, delineating our own is an opportunity in itself to learn about the nature of urban tissue and better understand issues about distribution and composition within urban areas.

Let us first focus on what is required from an ideal unit of analysis for spatial signatures. We need a partition of space into sections of built *and* lived environment that can later be pieced together based on their characteristics. The result will feed into an organic delineation that captures variation in the appearance and character of urban fabric as it unfolds over space. To be more specific, a successful candidate for this task will need to fulfill at least three features: indivisibility, internal consistency, and exhaustivity. An ideal unit will need to be *indivisible* in the sense that if it were to be broken into smaller components, none of them would be enough to capture the notion of spatial signature. Similarly, every unit needs to be *internally consistent*: one and only one type of signature should be represented in each observation. Finally, the resulting delineation needs to be geographically *exhaustive*. In other words, it should assign every location within the area of interest (e.g. a region or a country) to one and only one class.

The existing literature does not appear to have a satisfying candidate to act as the building block of spatial signatures. [Martin: \[Add a note on the work of Mansueto institute.\]](#) Without attempting an exhaustive review, an endeavour beyond the scope of this article, the vast majority of existing approaches to delineate meaningful units of urban form and function fall within one of the following three categories. The first group relies on *administrative* units such as postcodes, census geographies or municipal boundaries (e.g. Taubenböck et al., 2020). These are practical as they usually are readily

available. However, their partition of space is usually driven by different needs that rarely align with the measurement of spatial signatures, or indeed those of any morphological or functional urban process. Taubenböck et al. (2019) even argue that “administrative units obscure morphologic reality”. An emerging body of work relies on granular, *uniform grids* as the main unit of analysis (e.g. Jochem et al., 2020). This choice is usually explicitly or implicitly motivated

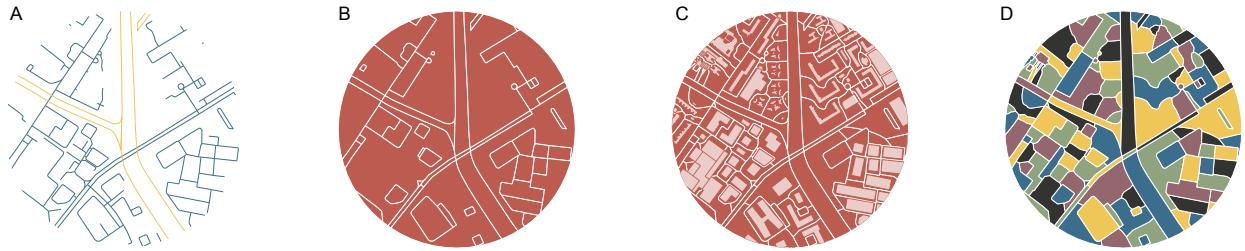


Figure 1: Diagram illustrating the sequential steps leading to the delineation of enclosed tessellation. From a series of enclosing components, where blue are streets and yellow river banks (A), to enclosures (B), incorporation of buildings as anchors (C) to final tessellation cells (D).

by the lack of a better, bespoke partitioning; the use of input data distributed in grids (e.g. satellite imagery); and the assumption that, with enough resolution, grids can be organically aggregated into units that match the processes of interest. A third approach followed mostly by the literature on urban morphology relies on the definition of morphometric units. These include street segments (Araldi and Fusco, 2019), plots (Bobkova et al., 2019), building footprints (Schirmer and Axhausen, 2015), or constructs such as the sanctuary area (Mehaffy et al., 2010, Dibble et al., 2019). In all these cases, the choice is justified by the particular application in which it takes place. However none of these approaches meet the three characteristics we require for spatial signatures. Administrative boundaries are exhaustive but rarely indivisible or consistent when it comes to urban form, usually grouping very different types of fabric within a single area. Uniform grids are also exhaustive but, similarly to administrative definition, the arbitrariness of their delineation with respect to urban form usually leaves them divisible and internally inconsistent. Morphometric units are the most theoretically appealing since they are built to match the distribution of urban features and are usually granular enough to warrant internal consistency and indivisibility. Most of them are however not exhaustive as they are anchored to particular elements of the built environment, such as streets or building footprints, which do not provide full coverage. Plots would theoretically meet all characteristics but can be problematic due to their variable definition leading to different geometric representations (Kropf, 2018).

We propose the development of a new spatial unit that we term the *enclosed tessellation cell* (EC). An EC is defined as:

The portion of space that results from growing a morphological tessellation within an enclosure delineated by a series of natural or built barriers identified from the literature on urban form, function and perception.

Let us unpack this concept a bit further. ECs result from the combination of three sequential steps (Figure 1).

First, they rely on a set of enclosing components: features of the landscape that divide it into smaller, fully delimited portions. The list of what should be counted as enclosing is informed by theory and, as we will see below, may vary by context. But, as an illustration, it includes elements such as the street network, rivers and coastlines, or railways. Second, these enclosing features are integrated into a single set of boundaries that partition the geography into smaller areas. In some cases, they will be small, as with urban blocks in dense city centres; in others, they will be larger

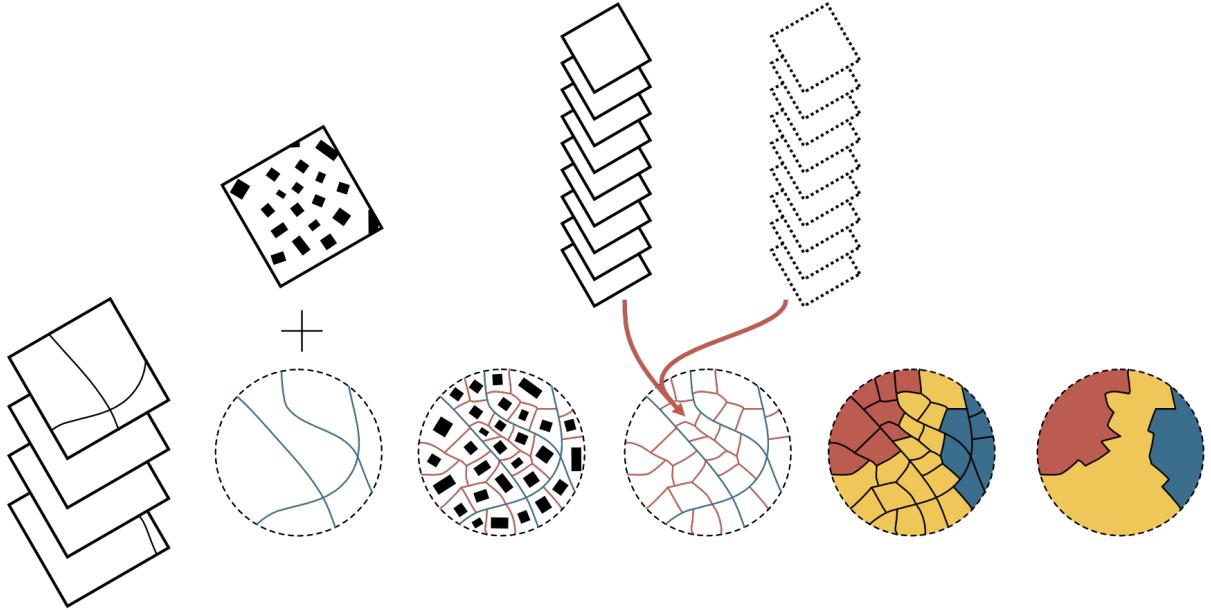


Figure 2: Diagram illustrating individual steps of delineation of the enclosed tessellation cells starting from a set of enclosing components (A) creating enclosures (B), which are further subdivided using morphological tessellation into the ECs. FIGURE IS TEMPORARY

in size, as in rural sections with lower density of enclosing features. We call each of this fully delimited areas an enclosure. Third, enclosures are further subdivided using a morphological tessellation (Fleischmann et al., 2020a)

that exhaustively partitions space based on a set of building footprints,

which are used in this context as anchors to draw catchment polygons. This process generates geographical boundaries for a given area that result in a new spatial unit. This unit provides full geographical coverage without any overlap. Since the essence of the approach resides in growing a tessellation inside a set of enclosing features, we call the resulting areas “enclosed tessellation cells”.

The enclosed tessellation (ET) intersects two perspectives of how space can be understood and organised. The first relies on the use of features that *delimit* the landscape and partition it into smaller, fully enclosed portions. These include the road and street networks, but also others such as railways or rivers. Each feature is conceptualised as a line that acts as a boundary, dividing space into what falls within each of its sides. A long tradition in the literature on urban perception relies on variations of these delimiters. Prominent early examples include the edges and paths highlighted by Lynch (1960) as two of the five core elements that define legibility and imageability of a city; as well as the later work inspired by this framework (e.g. Filomena et al., 2019).

The second perspective that ET integrate is a vision organised around *anchors*. In this view, space arises in-between a discrete set of relevant features. Unlike delimiters, these elements do not partition space per se, but instead act as origins to which the rest can be “attached”. The choice of anchors might vary by context but, in this case, the literature on morphometrics has extensive evidence to support the use of buildings as the primary feature (Hamaina et al., 2012,

Usui and Asami, 2013, Schirmer and Axhausen, 2015).

The combination of delimiters and anchors as the parsers of space make ECs an ideal spatial unit to study spatial signatures, one which meets the three requirements we outlined above. They are indivisible in that a single EC will contain no delimiters, at most a single anchor, and potentially none. They are also internally consistent because they are delineated as the area within the delimiters that contain at most one anchor. And finally ECs are exhaustive in that every location within the area of interest is assigned to one and only one EC, providing full geographical coverage without any overlap.

3.3 Embedding form and function into Spatial Signatures

This section covers how to develop Spatial Signatures once ET cells are delineated for an area of study. ET cells take the role of the structural unit. In themselves, they hold descriptive value in reflecting the configuration of the urban environment. They also operate as a container, into which other

morphometric and functional characters can be embedded. The next stage to develop Spatial Signatures is to build form and function characters on top of ET cells. With this, we aim to describe both the intrinsic traits of each cell depending on its own geometry and nature, but to also include features of its immediate spatial context. To do this, we propose to collect a set of descriptors reflecting both form and function to capture the essence and definition of spatial signatures. This process will lead to a heterogeneous mix of morphometric characters, capturing patterns of physical, built-up environment; and functional characters, reflecting demographics, amenities, land use classification or historical importance. It is not the role of this section to provide a comprehensive list of all characters, morphometric and functional, that would need to be derived. Such list will depend on the specific context in which a Spatial Signatures classification is being developed including, for example, data availability or nature of the geographical area being considered. However, it should always aim to reflect the nature of the form and function of each place in as exhaustive a way as possible.

Collecting characters at the ET cell level is only half the task to develop Spatial Signatures. Given the granularity and multi-dimensionality of the information at this stage, we need to combine it in a way that retains its

core characteristics but is easier to parse through. We propose a feasible aggregation of ET cells into spatial signatures using unsupervised learning. Again, it is not the role of this section to single out a technique, since many exist including K-Means, gaussian mixture models, or self-organizing maps (Kohonen, 1990), to name a few. We note there is no need to impose a spatial contiguity constraint as spatially contiguous clusters of cells in the same signature will emerge thanks to the inherent spatial autocorrelation of data derived from mutually overlapping contexts. These continuous groups of cells grouped in the same cluster is what we call instances of a spatial signature.



Figure 3: Selection of case studies covering geographical variation, cultural diversity, different planning paradigms involved in shaping the respective environments as well as varied historical and social contexts in which the selected cities were built.

4. Illustration

The classification of built form into spatial signatures is a conceptual framework and as such, can materialise in different ways depending on the particular implementation of a description of both form and function and the method of aggregation of enclosed cells into signatures. Here we present the concept applied to five case studies, reflecting different environments and heterogeneous input data requiring the adaptation of the classification to individual situations. The sample offers a geographical variation covering Europe (Barcelona, Spain), North America (Houston, TX, United States), South America (Medellin, Colombia), Africa (Dar es Salaam, Tanzania) and South-east Asia (Singapore), coupled with cultural diversity, different planning paradigms involved in shaping the respective environments as well as varied historical and social contexts in which the selected cities were built (Figure 3). At the same time, the selection brings a variety of input data covering both extremes in terms of quality (e.g., official mapping in Barcelona vs remote sensing in Houston), the richness of information on functional aspects of places (e.g., detailed data on the municipal level in Medellin vs global gridded datasets in Dar es Salaam) and scale (82,375 units in Barcelona vs 2,043,581 units in Houston). We present this variety to illustrate the flexibility of spatial signatures to accommodate varied inputs and adapt to a local specificity, while retaining the merit of the concept.

4.1 Method

The delineation of spatial signatures starts with the input data reflecting form and function of each place. We use enclosed tessellation, outlined in the section 3.2, as a basic spatial unit. Therefore, the input data should consist of building footprints and physical barriers denoting streets, railways, and water bodies. Using barriers, we first identify the geometry of enclosures to determine the external boundaries of consequently generated enclosed tessellation. Resulting set of geometric data is rich enough for a comprehensive morphometric analysis composed

of primary measurable characters, capturing individual aspects of form, and contextualisation, following the model proposed by Fleischmann et al., 2021. In the contextualisation step, we measure the tendencies of the distribution of each character within the neighbouring context of each tessellation cell. Function is captured as a heterogeneous set of datasets reflecting aspects from population to location of points of interest. All aspects are linked to enclosed cells using the most appropriate method for each data input (e.g., areal interpolation or network accessibility).¹ The complete list of used characters reflecting both form and function is available in [Appendix A](#).

Spatial signatures are then identified using cluster analysis of tessellation cells based on their form-function characteristics, combined with the notion of contiguity, where each contiguous portion of land belonging to a single cluster is seen as a single signature. The combined data reflecting both form and function are therefore standardised and clustered using K-Means clustering. Since the number of classes is not known a priori, we use clustergram (Schonlau, 2002) to understand clustering behaviour within different options and select the optimal number according to its structure. The final clustering is run with 1000 initialisations to ensure the stability of the results. The geometry of each spatial signature is then derived as dissolution of a contiguous patch of enclosed tessellation within the same cluster.

4.2 Results

Figures 4-5 illustrate the resulting spatial signatures in the respective case studies.² The geometries reflect the spatial extent of individual signatures derived from the enclosed tessellation with colour coding reflecting the type of a signature, i.e. the initial cluster. Two areas within the same type are expected to share the characteristics of built environment, being more similar (not necessarily the same) to each other than to the rest of the classes. Note that the similarity of different colours does not encode similarity of signatures. Also note, that due to varied extent of case studies, maps are not printed at the same scale.

The granularity of classification ranges from 9 (Houston) to 19 (Medellin) signature types per case study. However, the actual number is not dependent on the size of each city but rather on each place's actual heterogeneity, best illustrated on the comparison of Houston and Barcelona, the largest (2 million cells) and the smallest (80 thousand cells) case. Houston, representing north American sprawling urban fabric shows a considerably smaller diversity of spatial patterns (9 types of spatial signatures) than Barcelona (16 types), reflecting the richness of their respective historical developments. The distribution of cluster sizes follows the same pattern of unequal abundance across all cases. The most extensive types contain between 15 and 28 observations, and the abundance is gradually decreasing towards a small number of outlier clusters containing less than a per cent of all observations within each sample. All the cases clearly defined both extremes on the urbanisation axis, with delineated central districts on the one hand and non-urban countryside signatures on the other. The transition between the two tends to follow the gradual pattern of signatures each less urban than the previous. The only exception where this

¹See [Appendix A](#) for details on the implementation.

²For intermediate steps (e.g. clustergram) please refer to [Appendix A](#).

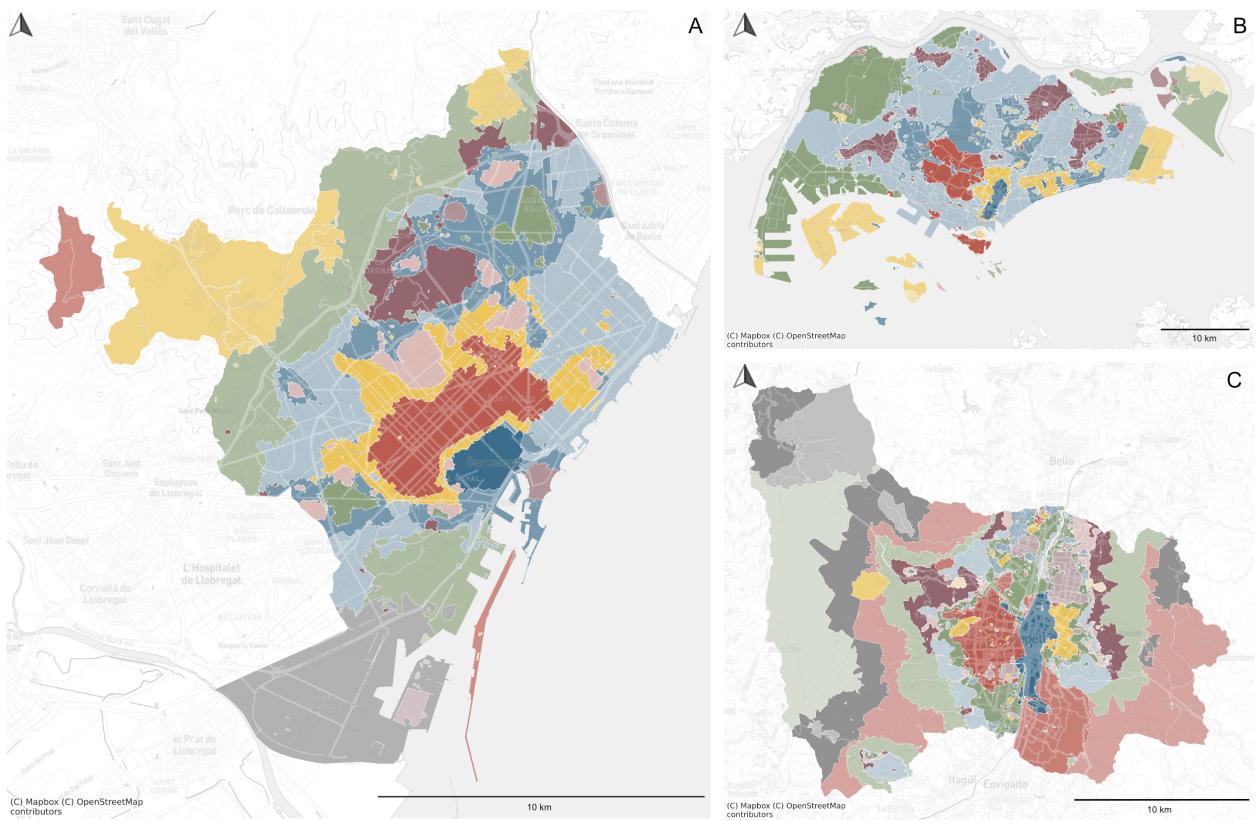


Figure 4: Resulting Spatial Signatures in the case of Barcelona (A), Singapore (B) and Medellin (C). Colours are used to distinguish between types within a single case.

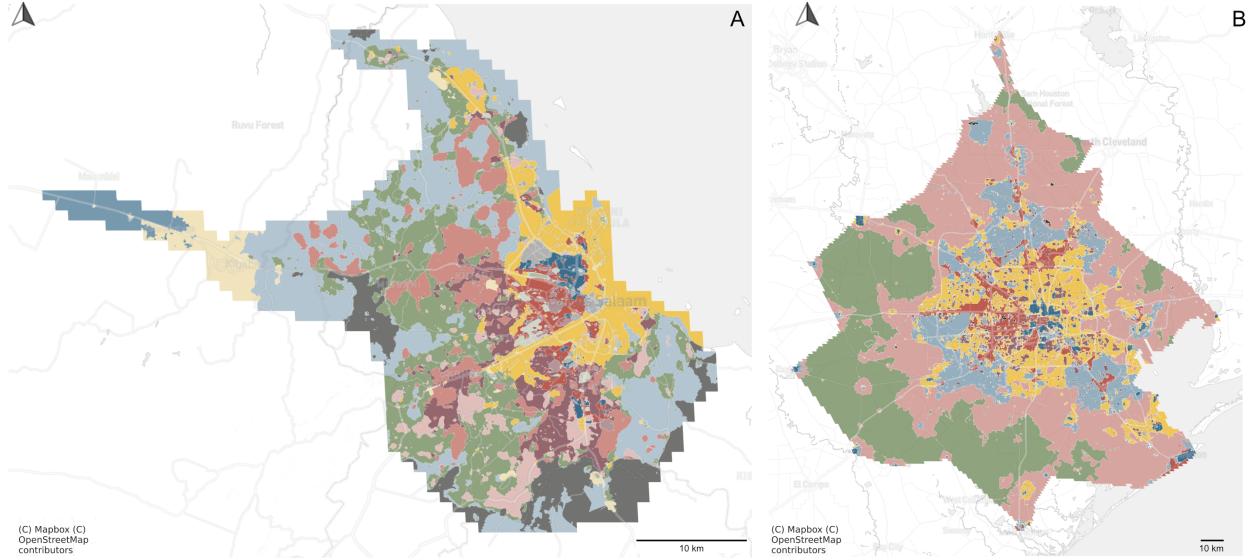


Figure 5: Resulting Spatial Signatures in the case of Dar es Salaam (A) and Houston (B). Colours are used to distinguish between types within a single case.

tendency is not so profound (but still present) is Singapore, which geographical extent limited to the defined area of the main island does not allow the full transition.

Barcelona is known for its industrial grid, which is captured as a unique signature. However, the Cerdà's grid is historically an infill between the city's medieval core and smaller existing settlements around. Both core and former independent villages are reflected in the typology of signatures, which reflect the historical origin of distinct places. The transition between the two, the historical organic fabrics and rigid Eixample is reflected as another signature, stitching together different patterns into a coherent city. Spatial distribution of signatures in Medellin tells the story of its intricate topography, even though the input data do not contain any information on altitude. The city lies in the valley surrounded by steep slopes. While the central parts lie on the relatively flat floor allowing paradigmatic planning and rigidness of the built environment, hillsides are becoming more vernacular leading to a sharp urban edge where the topography does not allow further development.

Signatures in Dar es Salaam reflect the changes in the formality of development, with formal areas distributed in the central parts of the city in the vicinity of a coastline. The transition between different degrees of formality is not always gradual as the most informal parts of the city are infills of the space not occupied by more planned neighbourhoods.

The character of spatial signatures in Houston follows two primary principles. One type forms the spine of activity spreading from the city centre radially to the suburbs. The other, filling the areas in between the former, is a story of the deterioration of compact, walkable urban block into convoluted dendritic street network patterns of modern suburbs. The change in these predominantly residential signatures is gradual and reflect the waves of development of the city as it was growing over the years.

A similar situation is in Singapore, where different types of signatures can be linked to the

period of the origin of the development of each specific neighbourhood. Contrary to previous cases, the development and, consequently, spatial signatures followed radial manner, not entirely contiguous, with major infills built in the last 50 years.

5. Conclusions

As a characterisation of space designed to understand the urban environment, spatial signatures have the potential to provide a unique insight into the ways human population creates and inhabits its cities. The illustrations are shown in the the previous section indicate that the resulting patchworks of signatures are consequences of the combination of a broad range of aspects stretching from topography, through historical development to the current use of space, each influencing the nature of the urban environment in its specific way.

The variability is also reflected in the changing scale and shape of the environment, with very granular and organic patterns in medieval development, rigid grids of industrial era and vast unbuilt natural areas limiting the expansion of nearby cities. In this context, the enclosed tessellation shows a high degree of the adaptability of the spatial unit based on the geographical position in which cells are generated and consistently reflects the pattern of both built and unbuilt areas.

Combination of form and function within a single classification method can tell more than either of them would be able to do alone. Spatial Signatures therefore bridge purely morphological approaches based on concepts like morphological region (Oliveira and Yaygin, 2020), Local Climate Zone (Stewart and Oke, 2012) or Urban Structural Type (Lehner and Blaschke, 2019) and functional classification as land use and land cover (Georganos et al., 2018) or mobility and population (Gale et al., 2016), while building on their abilities to comprehensively describe a single aspect of the environment. That said, compared to the most commonly available land cover classification, Spatial Signatures are complimentary as they turn the focus to urban landscapes.

The proposed method provides a step forward in understanding the environment in a detailed, granular manner. One which allows scalability to millions of observations. In combination with an inclusive form-functional characterisation, spatial signatures bring consistent classification, which has implications for a broad range of urban disciplines and enables their interoperability. When each discipline understands one of the components, it is easier to find common ground and interdisciplinary relations within a combined classification.

Spatial signatures can become a backbone for actions aiming under the umbrella of Sustainable Development Goals, one which is data-informed and can be targeted due to its inherent granularity. Furthermore, policy within the context of rapid urbanisation on the global South can benefit from classification, which combines form and function as development patterns and varying levels of their formality are not always aligned with the functional aspects of such environments.

Spatial signatures are the way of thinking rather than a fixed method using the exact set of variables. It is a way of conceptualising built (and non-built) environment. The outputs from different regions or countries are different manifestations of the same idea, leading to conceptual consistency rather than a strictly numerical one. Specific regions may have specific needs regard-

ing the characterisation that need to be acknowledged in the applied method. Furthermore, as illustrated in the previous section, data environments vary significantly, and we cannot assume the same quality worldwide. However, all such adaptations are still entirely within the conceptual notion of spatial signature.

Further research should look deeper into how signatures from different places and periods relate to each other and how they differ, to understand the rules which are common and those which are geographically specific. Mirroring Tobler's first law of geography (Tobler, 1970), similar cities tend to be located closer to each other, indicating the potential of identification of underlying geographical patterns within signatures.

Moving forward, the current developments in remote sensing indicate the scope of leveraging satellite imagery in the process of detection of signatures. Such a method would then allow frequent temporal updates resulting in an AI-based a form-function digital twin of the built environment.

Spatial signatures as a way of thinking about space from the perspectives of form and function do not form a link between currently fragmented research. The resulting classification embodies a plurality of perspectives leading to a richer picture of the urban environment and its better understanding.

References

- Ahlfeldt, G. M. and Pietrostefani, E. (2019). The economic effects of density: A synthesis. *Journal of Urban Economics*, 111:93–107.
- Alexiou, A., Singleton, A., and Longley, P. A. (2016). A Classification of Multidimensional Open Data for Urban Morphology. *Built Environment*, 42(3):382–395.
- Angel, S., Franco, S. A., Liu, Y., and Blei, A. M. (2018). The shape compactness of urban footprints. *Progress in Planning*.
- Araldi, A. and Fusco, G. (2019). From the street to the metropolitan region: Pedestrian perspective in urban fabric analysis. *Environment and Planning B: Urban Analytics and City Science*, 46(7):1243–1263.
- Arribas-Bel, D. (2014). Accidental, open and everywhere: Emerging data sources for the understanding of cities. *Applied Geography*, 49:45–53.
- Batty, M. and Longley, P. A. (1987). Fractal-based description of urban form. *Environment and Planning B: Planning and Design*, 14(1961):123–134.
- Boarnet, M. G., Crane, R., et al. (2001). *Travel by design: The influence of urban form on travel*. Oxford University Press on Demand.
- Bobkova, E., Berghauser Pont, M., and Marcus, L. (2019). Towards analytical typologies of plot systems: Quantitative profile of five European cities. *Environment and Planning B: Urban Analytics and City Science*, page 239980831988090.
- Bourdic, L., Salat, S., and Nowacki, C. (2012). Assessing cities: A new system of cross-scale spatial indicators. *Building Research & Information*, 40(5):592–605.
- Carruthers, J. I. and Ulfarsson, G. F. (2003). Urban sprawl and the cost of public services. *Environment and Planning B: Planning and Design*, 30(4):503–522.
- Conzen, M. R. G. (1960). Alnwick, northumberland: a study in town-plan analysis. *Transactions and Papers (Institute of British Geographers)*, (27):iii–122.
- Copernicus Land Monitoring Service (2021). Urban atlas.
- Defourny, P., Kirches, G., Brockmann, C., Boettcher, M., Peters, M., Bontemps, S., Lamarche, C., Schlerf, M., and Santoro, M. (2012). Land cover cci. *Product User Guide Version*, 2.
- Diamond, D. B. and Tolley, G. S. (2013). *The economics of urban amenities*. Elsevier.
- Dibble, J., Prelorrendjos, A., Romice, O., Zanella, M., Strano, E., Pagel, M., and Porta, S. (2019). On the origin of spaces: Morphometric foundations of urban form evolution. *Environment and Planning B: Urban Analytics and City Science*, 46(4):707–730.
- Dibble, J. L. (2016). *Urban morphometrics: towards a quantitative science of urban form*. PhD thesis, University of Strathclyde.
- Duranton, G. and Puga, D. (2020). The economics of urban density. *Journal of Economic Perspectives*, 34(3):3–26.
- European Environment Agency (1990). CORINE Land Cover. pages 1–163.

- Ewing, R., Hamidi, S., Grace, J. B., and Wei, Y. D. (2016). Does urban sprawl hold down upward mobility? *Landscape and Urban Planning*, 148:80–88.
- Ewing, R. H., Pendall, R., and Chen, D. D. (2002). *Measuring sprawl and its impact*, volume 1. Smart Growth America Washington, DC.
- Filomena, G., Versteegen, J. A., and Manley, E. (2019). A computational approach to ‘The Image of the City’. *Cities*, 89:14–25.
- Fleischmann, M., Feliciotti, A., Romice, O., and Porta, S. (2020a). Morphological tessellation as a way of partitioning space: Improving consistency in urban morphology at the plot scale. *Computers, Environment and Urban Systems*, 80:101441.
- Fleischmann, M., Feliciotti, A., Romice, O., and Porta, S. (2021). Methodological foundation of a numerical taxonomy of urban form.
- Fleischmann, M., Romice, O., and Porta, S. (2020b). Measuring urban form: Overcoming terminological inconsistencies for a quantitative and comprehensive morphologic analysis of cities. *Environment and Planning B: Urban Analytics and City Science*, page 2399808320910444.
- Gale, C. G., Singleton, A. D., Bates, A. G., and Longley, P. A. (2016). Creating the 2011 area classification for output areas (2011 oac). *Journal of Spatial Information Science*, 2016(12):1–27.
- Geddes, P. (1915). *Cities in evolution: an introduction to the town planning movement and to the study of civics*. London, Williams.
- Georganos, S., Grippa, T., Vanhuysse, S., Lennert, M., Shimoni, M., and Wolff, E. (2018). Very high resolution object-based land use–land cover urban classification using extreme gradient boosting. *IEEE geoscience and remote sensing letters*, 15(4):607–611.
- Gil, J., Montenegro, N., Beirão, J. N., and Duarte, J. P. (2012). On the Discovery of Urban Typologies: Data Mining the Multi-dimensional Character of Neighbourhoods. *Urban Morphology*, 16(1):27–40.
- Hamaina, R., Leduc, T., and Moreau, G. (2012). Towards Urban Fabrics Characterization Based on Buildings Footprints. In *Bridging the Geographic Information Sciences*, volume 2, pages 327–346. Springer, Berlin, Heidelberg, Berlin, Heidelberg.
- Harris, R., Sleight, P., and Webber, R. (2005). *Geodemographics, GIS and Neighbourhood Targeting*, volume 8. John Wiley & Sons.
- Hillier, B. (1996). *Space is the machine : a configurational theory of architecture*. Cambridge University Press, Cambridge.
- Homer, C. H., Fry, J. A., and Barnes, C. A. (2012). The national land cover database. *US Geological Survey Fact Sheet*, 3020(4):1–4.
- Horner, M. W. (2004). Exploring metropolitan accessibility and urban structure. *Urban Geography*, 25(3):264–284.
- Hortas-Rico, M. and Solé-Ollé, A. (2010). Does urban sprawl increase the costs of providing local public services? evidence from spanish municipalities. *Urban studies*, 47(7):1513–1540.
- Howarth, P. J. and Boasson, E. (1983). Landsat digital enhancements for change detection in urban environments. *Remote sensing of environment*, 13(2):149–160.

- Jochem, W. C., Bird, T. J., and Tatem, A. J. (2018). Identifying residential neighbourhood types from settlement points in a machine learning approach. *Computers, Environment and Urban Systems*, 69:104 – 113.
- Jochem, W. C., Leasure, D. R., Pannell, O., Chamberlain, H. R., Jones, P., and Tatem, A. J. (2020). Classifying settlement types from multi-scale spatial patterns of building footprints. *Environment and Planning B: Urban Analytics and City Science*, page 239980832092120.
- Koc, C. B., Osmond, P., Peters, A., and Irger, M. (2017). Mapping local climate zones for urban morphology classification based on airborne remote sensing data. In *2017 Joint Urban Remote Sensing Event (JURSE)*, pages 1–4. IEEE.
- Kohonen, T. (1990). The self-organizing map. *Proceedings of the IEEE*, 78(9):1464–1480.
- Kropf, K. (2018). Plots, property and behaviour. *Urban Morphology*, 22(1):1–10.
- Kuffer, M., Pfeffer, K., and Sliuzas, R. (2016). Slums from space—15 years of slum mapping using remote sensing. *Remote Sensing*, 8(6):455.
- Lehner, A. and Blaschke, T. (2019). A Generic Classification Scheme for Urban Structure Types. *Remote Sensing*, 11(2):173.
- Lynch, K. (1960). *The Image of the City*, volume 11. MIT press.
- Mehaffy, M., Porta, S., Rofe, Y., and Salingaros, N. (2010). Urban nuclei and the geometry of streets: The ‘emergent neighborhoods’ model. *Urban Design International*, 15(1):22–46.
- Muratori, S. (1959). Studi per una operante storia urbana di venezia. *Palladio*, 1959:1–113.
- OECD (2018). *Rethinking Urban Sprawl*.
- Oliveira, V. and Yaygin, M. A. (2020). The concept of the morphological region: Developments and prospects. *Urban Morphology*, 24(1):18.
- Openshaw, S. (1981). The modifiable areal unit problem. *Quantitative geography: A British view*, pages 60–69.
- Panerai, P., Castex, J., and Depaule, J.-C. (1997). *Formes urbaines: de l’îlot à la barre*. Editions Parenthèses.
- Pauleit, S. and Duhme, F. (2000). Assessing the environmental performance of land cover types for urban planning. *Landscape and urban planning*, 52(1):1–20.
- Pesaresi, M., Florczyk, A., Schiavina, M., Melchiorri, M., and Maffenini, L. (2019). Ghs settlement grid, updated and refined regio model 2014 in application to ghs-built r2018a and ghs-pop r2019a, multitemporal (1975–1990–2000–2015) r2019a. *European Commission, Joint Research Centre (JRC)*.
- Pivo, G. (1993). A taxonomy of suburban office clusters: the case of toronto. *Urban Studies*, 30(1):31–49.
- Porta, S., Crucitti, P., and Latora, V. (2006). The network analysis of urban streets: A primal approach. *Environment and Planning B: Planning and Design*, 33(5):705–725.
- Prasad, S. (2015). *Remotely sensed data characterization, classification, and accuracies*, volume 1.

- Schirmer, P. M. and Axhausen, K. W. (2015). A multiscale classification of urban morphology. *Journal of Transport and Land Use*, 9(1):101–130.
- Schonlau, M. (2002). The clustergram: A graph for visualizing hierarchical and nonhierarchical cluster analyses. *The Stata Journal*, 2(4):391–402.
- Sevtuk, A. and Amindarbari, R. (2020). Does metropolitan form affect transportation sustainability? evidence from us metropolitan areas. *Environment and Planning B: Urban Analytics and City Science*, page 2399808320971310.
- Silva, M., Oliveira, V., and Leal, V. (2017). Urban form and energy demand: A review of energy-relevant urban attributes. *Journal of Planning Literature*, 32(4):346–365.
- Singleton, A. and Arribas-Bel, D. (2021). Geographic data science. *Geographical Analysis*, 53(1):61–75.
- Song, Y. and Knaap, G.-J. (2007). Quantitative Classification of Neighbourhoods: The Neighbourhoods of New Single-family Homes in the Portland Metropolitan Area. *Journal of Urban Design*, 12(1):1–24.
- Song, Y., Popkin, B., and Gordon-Larsen, P. (2013). A national-level analysis of neighborhood form metrics. *Landscape and Urban Planning*, 116:73–85.
- Sorichetta, A., Hornby, G. M., Stevens, F. R., Gaughan, A. E., Linard, C., and Tatem, A. J. (2015). High-resolution gridded population datasets for Latin America and the Caribbean in 2010, 2015, and 2020. *Scientific Data*, 2(1):150045.
- Stark, T., Wurm, M., Zhu, X. X., and Taubenböck, H. (2020). Satellite-based mapping of urban poverty with transfer-learned slum morphologies. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 13:5251–5263.
- Stewart, I. D. and Oke, T. R. (2012). Local Climate Zones for Urban Temperature Studies. *Bulletin of the American Meteorological Society*, 93(12):1879–1900.
- Taubenböck, H., Debray, H., Qiu, C., Schmitt, M., Wang, Y., and Zhu, X. (2020). Seven city types representing morphologic configurations of cities across the globe. *Cities*, 105:102814.
- Taubenböck, H., Weigand, M., Esch, T., Staab, J., Wurm, M., Mast, J., and Dech, S. (2019). A new ranking of the world's largest cities—do administrative units obscure morphological realities? *Remote Sensing of Environment*, 232:111353.
- Tobler, W. R. (1970). A computer movie simulating urban growth in the detroit region. *Economic geography*, 46(sup1):234–240.
- Trewartha, G. T. (1934). Japanese cities distribution and morphology. *Geographical Review*, 24(3):404–417.
- Usui, H. and Asami, Y. (2013). Estimation of mean lot depth and its accuracy. *Journal of City Planning Institute of Japan*, 48(3):357–362.
- Venerandi, A., Fusco, G., Tettamanzi, A., and Emsellem, D. (2019). A machine learning approach to study the relationship between features of the urban environment and street value. *Urban Science*, 3(3):100.
- Venerandi, A., Quattrone, G., and Capra, L. (2018). A scalable method to quantify the relationship between urban form and socio-economic indexes. *EPJ Data Science*, 7:1–21.

Webber, R. and Burrows, R. (2018). *The Predictive Postcode: The Geodemographic Classification of British Society*. Sage.

Weng, Q. and Quattrochi, D. A. (2018). *Urban remote sensing*. CRC press.

Zheng, Y., Capra, L., Wolfson, O., and Yang, H. (2014). Urban computing: concepts, methodologies, and applications. *ACM Transactions on Intelligent Systems and Technology (TIST)*, 5(3):1–55.

Appendix A. Technical appendix

Appendix here.