

Tallinn University of Technology
Department of Computer Science

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Ph.D. Dissertation Defense



Tallinn, 2016

Ph.D. Dissertation Title

Model-Based Error Localization and
Mutation-Based Error Correction
Algorithms and their Implementation for C
Designs



Ph.D. Supervisors



- prof. Tanel Tammet
- Department of Computer Science, Tallinn University of Technology



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- prof. Jaan Raik
- Department of Computer Engineering, Tallinn University of Technology

Ph.D. Opponents



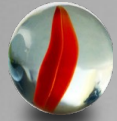
- prof. Erik G. Larsson
- Department of
Department of Electrical Engineering,
Linköping University



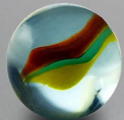
- prof. Varmo Vene
- Institute of Mathematics and Statistics,
University of Tartu



List of Publications (1)



Raik, Jaan; **Repinski, Urm**as; Hantson, Hanno; Jenihhin, Maksim; Di Guglielmo, Giuseppe; Pravadelli, Graziano; Fummi, Franco (2012). **Combining Dynamic Slicing and Mutation Operators for ESL Correction**. 17th IEEE European Test Symposium (1–6). IEEE.

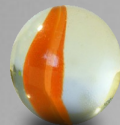



Repinski, Urmas; Raik, Jaan (2012). **Comparison of Model-Based Error Localization Algorithms for C Designs**. Proc. of 10th East-West Design & Test Symposium, Kharkov, Ukraine, September 14-17, 2012 (1–4). IEEE Computer Society Press.



Hantson, H.; **Repinski, U.**; Raik, J.; Jenihhin, M.; Ubar, R. (2012). **Diagnosis and Correction of Multiple Design Errors Using Critical Path Tracing and Mutation Analysis**. In: 13th IEEE Latin-American Test Workshop Proceedings (27–32). IEEE Computer Society Press.

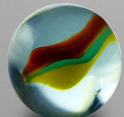
List of Publications (2)

- 
- Raik, Jaan; **Repinski, Urm**as; Jenihhin, Maksim; Chepurov, Anton (2011). **High-Level Decision Diagram Simulation for Diagnosis and Soft-Error Analysis**. Design and Test Technology for Dependable Systems-on-Chip (294–309). IGI Publishing.
- 
- Raik, Jaan; **Repinski, Urm**as; Ubar, Raimund; Jenihhin, Maksim; Chepurov, Anton (2010). **High-level design error diagnosis using backtrace on decision diagrams**. 28th Norchip Conference 15-16 November 2010, Tampere, Finland, IEEE.

Other Related Publications



Урмас Репинский (2012), Верификация на основе симуляции с нахождением и исправлением ошибок для с-дизайнов, Программные продукты и системы, № 100, 2012 год, стр. 229-237



Urmaz Repinski (2012). Model-based Verification with Error Localization and Error Correction for C Designs, Программные продукты и системы (international journal Software and Systems), № 100, 2012, pp. 221-229.



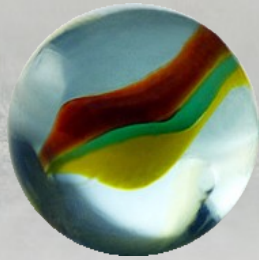
Bloem, Roderick; Drechsler, Rolf; Fey, Goerschwin; Finder, Alexander; Hofferek, Georg; Koenighofer, Robert; Raik, Jaan; **Repinski, Urmaz**; Suelflow, Andre (2012). **FoREnSiC - An Automatic Debugging Environment for C Programs**, Haifa Verification Conference (HVC'2012), pp 1-6, IBM Research Labs, Haifa, Israel: IBM.

Outline



Introduction

- **Problem Formulation**
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Problem Formulation

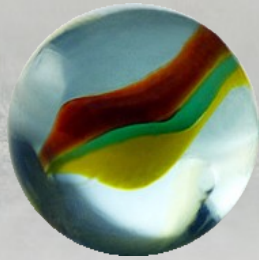
- “Black Box” → “White Box”
- The Model
- Algorithms (and Mutation-Based Error Correction)
 - Model-Based Error Localization
 - Mutation-Based Error Correction
- Specification Format
 - Vera
 - E
 - Java
 - C/C++

Outline



Introduction

- Problem Formulation
- **Requirements for the tool**
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Requirements for the Tool

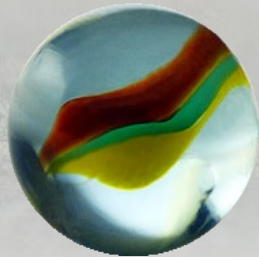
- Organized and Structured
 - Documentation:
 - Specification
 - Flow Graphs
 - Publications
 - Tutorial
- Should Process any kind of Design
- Inputs and Outputs in Clear Format
- Should have a name - “FORENSIC” tool

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- **Contributions**



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Contribution and Novel Approaches (1)

- Novel Rankings for Model-Based Error Localization
 - Consecutive
 - Simple
- Compiler's functionality for
 - Model's simulation
 - Specification animation
 - Dynamic Slicing Algorithm's Implementation
- Number of applied mutations instead of a number of statements as a measure of the error localization accuracy.

Contribution and Novel Approaches (2)

- Implementation of
 - Model-Based Error Localization Algorithm
 - Dynamic Slicing Algorithm
 - Mutation-Based Error Correction Algorithm
- Specification Format
- Tool can be used in the process of Software Development.

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- **The Model**
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

The Model (1)

- Valid processed Design's “White Box”
- Is Hammock Graph, a special case FlowGraph:

Definition 1: Digraph is a directed graph structure $\langle N, E \rangle$, where N is a set of *nodes* and *edges* in $N \times N$. If (n, m) is in E then n is an *immediate predecessor* of m and m is an *immediate successor* n .

Definition 2: Flow graph is a structure $\langle N, E, n_0 \rangle$, where $\langle N, E \rangle$ is a digraph, n_0 is in N and there exists a path from n_0 to all other edges in N . n_0 is called *the initial node*.

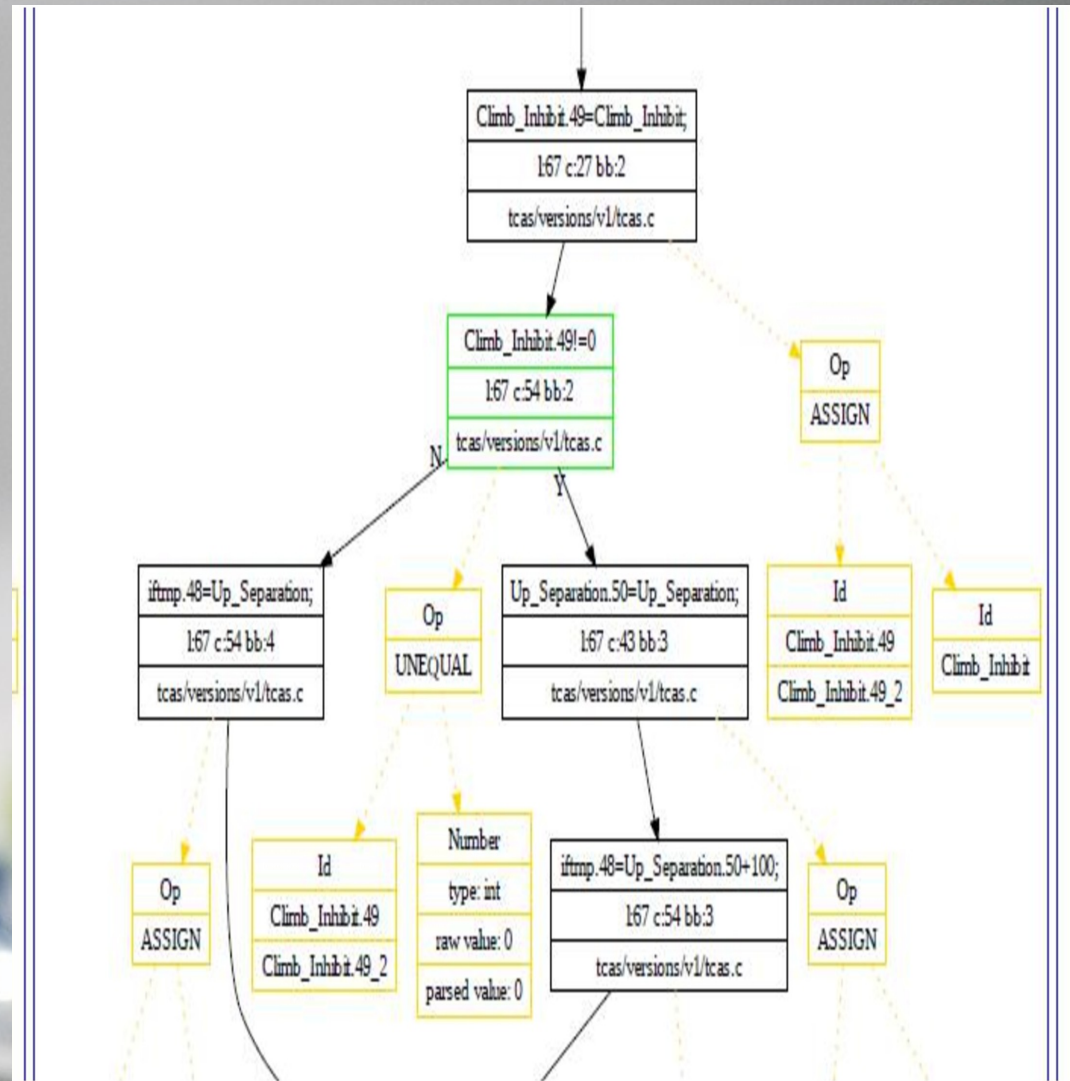
Definition 3: Hammock graph is a structure $H = \langle N, E, n_0, n_e \rangle$ where both $\langle N, E, n_0 \rangle$ and $\langle N, E^{-1}, n_e \rangle$ are *flow graphs*. Note that, as usual, $E^{-1} = \{(a, b) | (b, a) \text{ is in } E\}$. n_e is called *the end node*. [28]

Definition 4: Undirected graph is a structure $U = \langle N, E \rangle$ where N is a set of nodes and E is a set of simple edges in $N \times N$. There exists a simple path from an arbitrary node n in N to all the other nodes in N . A path is a list of the nodes p_0, p_1, \dots, p_k such that $p_0 = n, p_1 = n_{i+1}, \dots, p_k = n_k$, and for all $i, 0 \leq i \leq k-1, (p_i, p_{i+1})$ is in E .

Definition 5: Abstract syntax tree $A = \langle N, E, n_0 \rangle$ is a rooted undirected graph $U = \langle N, E^* \rangle$ with the root n_0 where two nodes are connected exactly by one simple path.

The Model (2)

- Black and green boxes are hammock graph nodes, yellow boxes are abstract syntax tree nodes.
- Mathematical structure of the FORENSIC tool's model is published in [45].



Developed at the **Graz University of Technology** by **Robert Könighofer** and colleagues from the Institute for Applied Information Processing and Communications – IAIK.

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- **Animation and Simulation**
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Animation and Simulation

- Are the most time-consuming phases of the simulation-based verification
- a) direct simulation
- b) simulation using C functionality (novel approach)
- Same principles can be applied to Designs, written in arbitrary programming languages like Java/PHP/Fortran.

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- **Algorithm**
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Model-Based Error Localization

- Uses the simulation trace of the model
- Assigns *passed* or *failed* counters to nodes that belong to every simulation trace
- Rankings are applied to the counters
- Nodes in the model are sorted according to the rankings
- Mathematical Definitions are in the Dissertation

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- **Rankings**
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Rankings for the Model-Based Error Localization

- FORENSIC tool's Rankings

- Ample tool

$$rank(n) = \left| \frac{failed(n)}{totalfailed} - \frac{passed(n)}{totalpassed} \right|$$

- Consecutive

$$rank1(s) = \frac{failed(n)}{totalfailed} \quad \text{followed by}$$

- (Novel)

$$rank2(s) = \frac{failed(n)}{\sqrt{totalfailed \cdot (passed(n) + failed(n))}}$$

- Jaccard Coefficient

$$rank(s) = \frac{failed(n)}{passed(n) + totalfailed}$$

- Ociai

$$rank(s) = \frac{failed(n)}{\sqrt{totalfailed \cdot (passed(n) + failed(n))}}$$

- Simple (Novel)

$$rank(s) = \frac{failed(n)}{totalfailed}$$

- Tarantula Tool

$$rank(s) = \frac{\frac{failed(n)}{totalfailed}}{\frac{passed(n)}{totalpassed} + \frac{failed(n)}{totalfailed}}$$

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- **Dynamic Slicing Algorithm**
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction

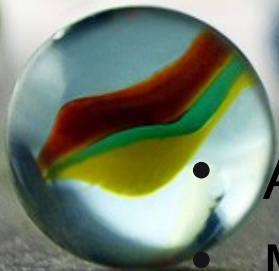


Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Dynamic Slicing Algorithm (1)

- Is the technique that is applied to the activated nodes obtained during the simulation phase of model-based error localization
- Allows to reduce the number of nodes in the error localization result
- Some amount of nodes is activated during simulation of error localization, but do not have any influence on the simulation result
 - Constant declarations
 - Assignments
- Algorithm Discards those Nodes
- Mathematical Definitions are in the ²⁵Dissertation



Dynamic Slicing Algorithm (2) *

- Example

	Design	Activated Operators $n \in N_a$	$DEF(n)$	$REF(n)$	$DV^*(n)$	Line number
	int a, b, c;	int a, b, c;				1
	a=0;	a=0;	a			2
	b=a+1;	b=a+1;	b	a	a	3
	c=a+b;	c=a+b;	c	a, b	a, b	4
	if (c>0) {	if (c>0)		c	b, c	5
Not in slice	a=0;	a=0;	a		b, c	6
	a=b+c;	a=b+c;	a	b, c	b, c	7
	} else {					8
	a=b-c;					9
	}					10
Failed	assert(a==1);	assert(a==1);		a	a	11

Figure 4.1. An example of the dynamic slicing algorithm's execution.

* Can be described in detail if will be asked

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- **Related Work**



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Related Work

- Four independent ranking algorithms were proposed in [1].
- Program Spectrum

$$M \text{ Spectra} \begin{bmatrix} x_{11} & x_{12} & \cdots & x_{1N} \\ x_{21} & x_{22} & \cdots & x_{2N} \\ \vdots & \vdots & \ddots & \vdots \\ x_{M1} & x_{M2} & \cdots & x_{MN} \end{bmatrix} \begin{bmatrix} e_1 \\ e_2 \\ \vdots \\ e_M \end{bmatrix}$$

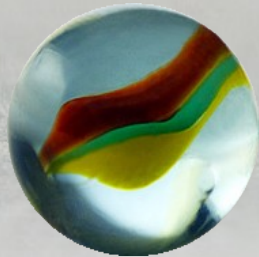
- Rankings Definitions have same meaning, but different mathematical form
- Experimental Results are Compared Later

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- **The Model**
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

The Model

- Is Hammock Graph, where every node is parsed into Abstract Syntax Tree (AST)
 - Mutations in the AST Nodes *
 - Mutations in the edges of the hammock graph
 - Mutations in the edges of AST
- * Implemented in the FORENSIC tool

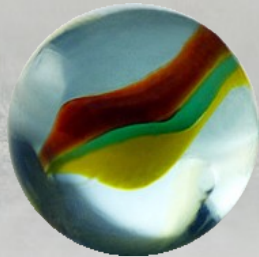


Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- **Error Classes**
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Error Classes

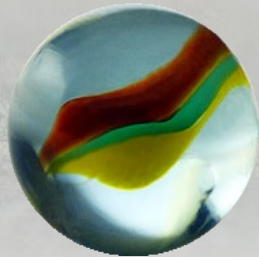
- Errors, that can be discovered in the processed design
- Algorithm should repair as much of them, as possible
- Possible Errors in the Siemens Benchmarks are in the Dissertation

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- **Algorithm**
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Mutation-Based Error Correction Algorithm (1)

- Implemented Mutations
 - Operator mutations: Integer variable mutation ($I \rightarrow I+1$), ($I \rightarrow I-1$), ($I \rightarrow -I$), Mutations of the variables of primitive types ($I \rightarrow F()$), General mutations of constants ($C \rightarrow C+1$), ($C \rightarrow C-1$), ($C \rightarrow 0$), ($C \rightarrow -C$), ($C \rightarrow C*2$), ($C \rightarrow C/2$), Mutations in the digits of a numeric constant ($axb.c \rightarrow ayb.c$), ($ab.c \rightarrow xab.c$), Floating point number rounding mutations.
 - Mutations in operators: Arithmetical operators (+), (-), (*), (/), (%), Assignment operators (=), (+=), (-=), (*=), (/=), (%=), Comparison operators (<), (>), (==), (!=), (<=), (>=), Logical operators and bit shift (&&), (||), (<<), (>>), (&), (|), (^), Bit shift with assignments operators (<<=), (>>=), (&=), (|=), (^=), Unary arithmetical operators (+), (-), Increment and decrement operators (++x), (x++), (--x), (x--), Unary logical operators (!), (~).

Mutation-Based Error Correction Algorithm (2)

- One Error Assumption
- Multiple Error Assumption
- Error Classes Are Defined
- Algorithm is fast, simple, reliable
- Mathematical Definitions are in the Dissertation

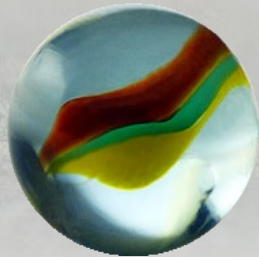


Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- **Properties**



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Properties*

- Can be used for Measuring of the Error Localization Accuracy
- Can Correct Error Using Alternative Mutation
- If Correctional Mutation is found Depends on the entire Model Structure

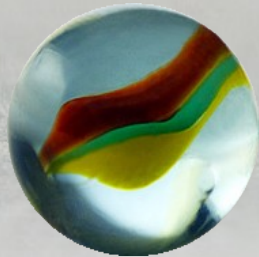
* Examples can be shown is will be asked

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- **Siemens Benchmarks**
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Siemens Benchmarks*

Design	Number of Lines of Code	Number of Inputs	Number of Designs with Errors
print_tokens	569	4130	7
print_tokens2	515	4115	10
replace	558	5543	31
schedule	419	2650	9
schedule2	312	2710	10
tcas	186	1605	41
tot_info	413	1052	22

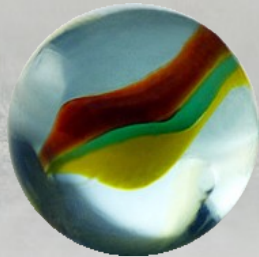
* Bug Aristotle Analysis System -- Siemens Programs, HR Variants
<<http://pleuma.cc.gatech.edu/aristotle/Tools/subjects/>>

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- **Error Localization**
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Model-Based Error Localization (1)

- In [1] a number of rankings for the model-based error localization are proposed

Design	Ample	Jaccard	Ochiai	Tarantula
print_tokens	2	7,3	1	10,5
print_tokens2	16,4	17,5	13,9	20
replace	12,7	11,6	7,6	12,2
schedule	11,3	2,9	1,6	3
schedule2	34,7	31,1	25,1	31,3
tcas	9,8	8,8	7,9	8,8
tot_info	13,2	9,6	7,1	11
Mean	14,3	12,69	9,17	12,69

Percentage of statements to be inspected to reach error.

Model-Based Error Localization (2)

- In FORENSIC tool

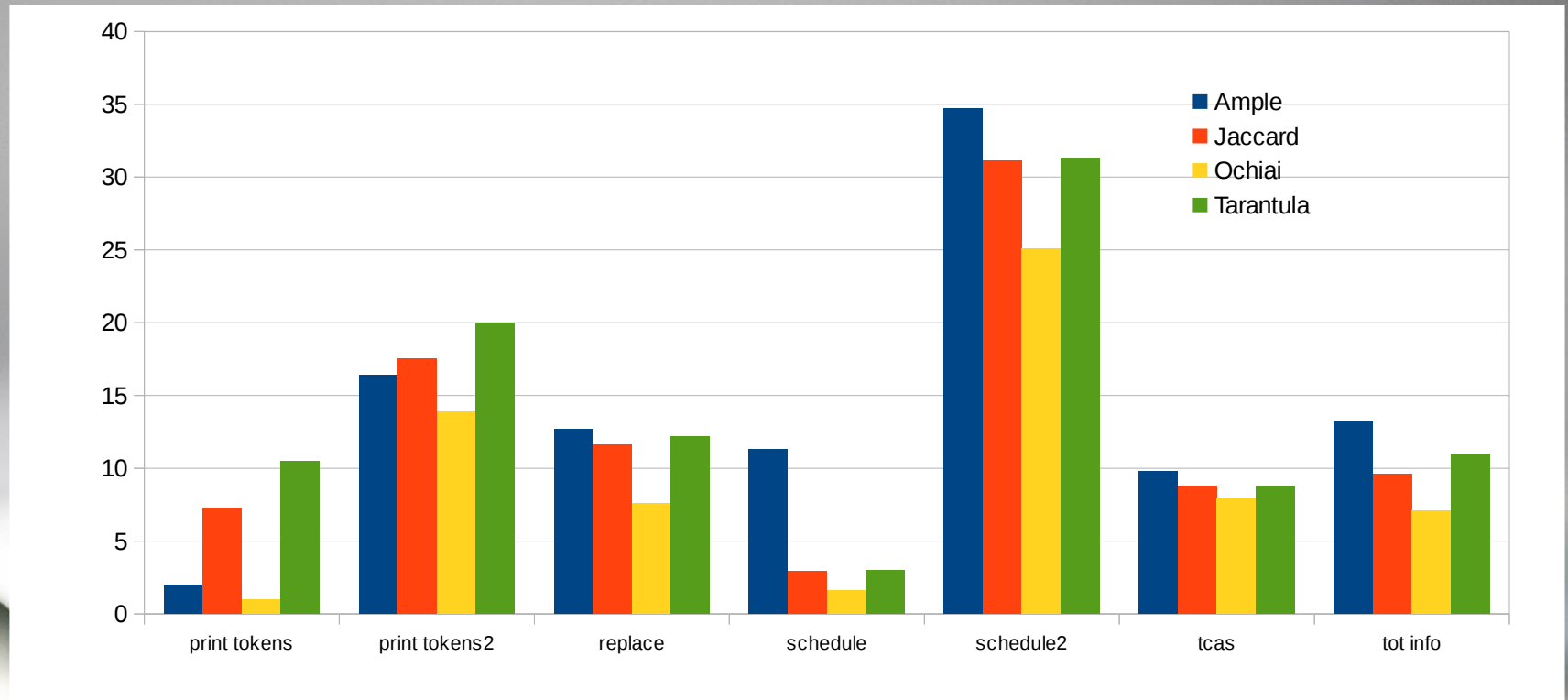
Design	Ochi ai	Am ple	Conse cutive	Jacc ard	Och iai	Sim ple	Taran tula	*
print_tokens	1	2	7,3	1	10,5	1	10,5	1
print_tokens2	13,9	16,4	17,5	13,9	20	13,9	20	13,9
replace	7,6	12,7	11,6	7,6	12,2	7,6	12,2	7,6
schedule	1,6	11,3	2,9	1,6	3	1,6	3	1,6
schedule2	25,1	34,7	31,1	25,1	31,3	25,1	31,3	25,1
tcas	7,9	9,8	8,8	7,9	8,8	7,9	8,8	7,9
tot_info	7,1	13,2	9,6	7,1	11	7,1	11	7,1
Mean	9,17	14,3	12,69	9,17	12,69	9,17	12,69	9,17

* Number of Corrected Designs

Percentage of mutations to be applied to correct the error in the design.

Model-Based Error Localization (3)

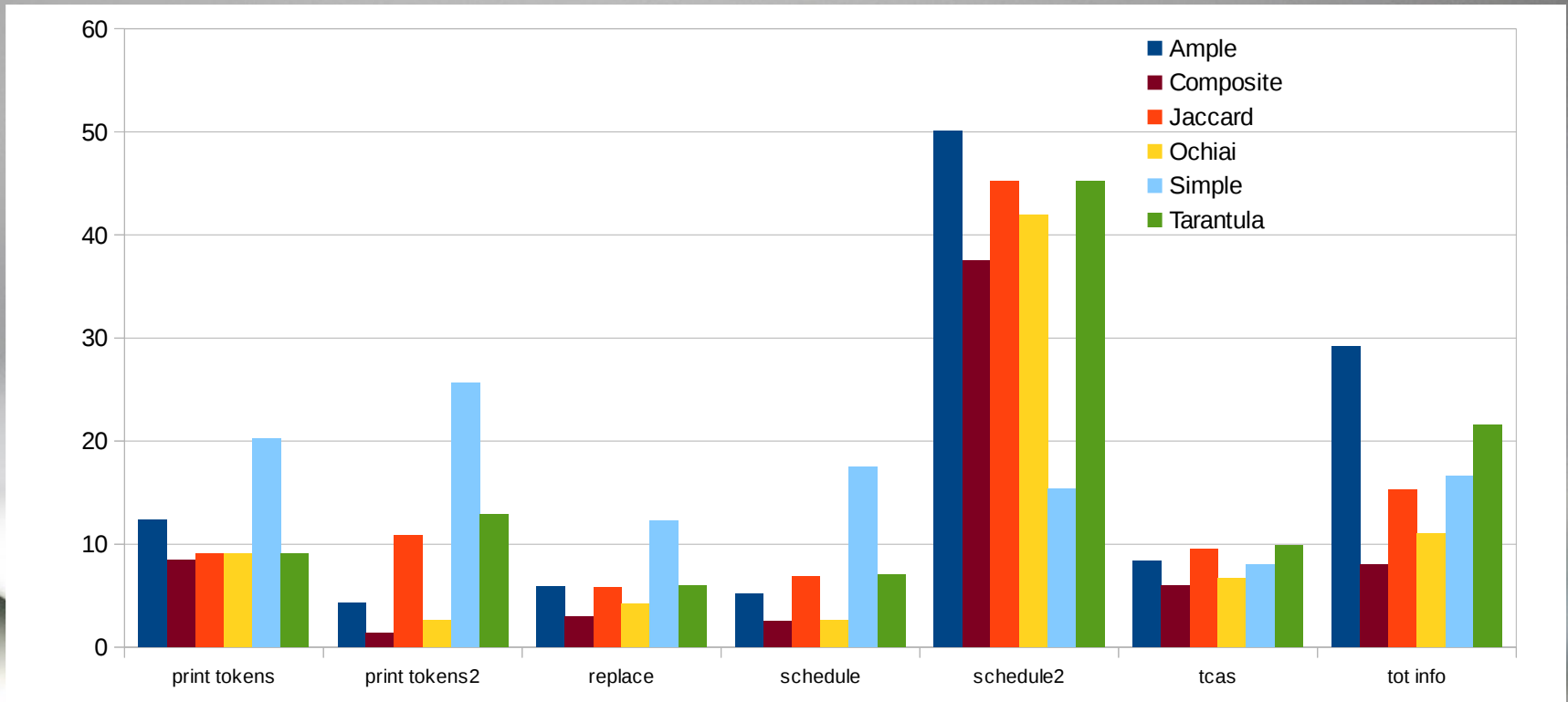
- Graphical representation of experiments in [1]



Percentage of statements to be inspected to reach error.

Model-Based Error Localization (4)

- Graphical representation FORENSIC experiments



Percentage of mutations to be applied to correct the error in the design.

Model-Based Error Localization (5)

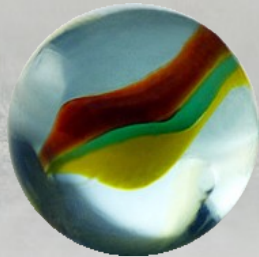
- Can be used for Measuring of the Error Localization Accuracy
- In [1] Ochiai ranking decreases the percentage of blocks of code to be inspected by 5% comparing with worst case – the Ample tool ranking
- In FORENSIC tool by 10%, that is much better

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- **Dynamic Slicing**
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Dynamic Slicing (1)

- In FORENSIC tool

Design	Number of Mutations, required to correct the error		Change, $ \frac{A-B}{B} \cdot 100\% $
	(A) If Dynamic Slicing is used	(B) If Dynamic Slicing is not used	
print_tokens	1954,5	2106,0	7,75
print_tokens2	2141,14	2254,29	5,02
replace	1635,88	1683,06	2,8
schedule	564,0	715,33	21,16
schedule2	648,25	713,25	9,11
toas	304,0	553,71	45,1
tot_info	1338,5	1363,94	1,87

Dynamic Slicing (2)

- In [60] Experimental Evaluation of using Dynamic Slicing for same Benchmark Designs were performed

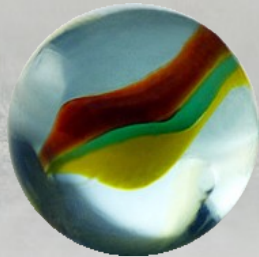
Design	# of Proc. Designs in FORENSIC	Change in FORENSIC	# of Proc. Designs in [60]	Change in [60]
print_tokens	2	7,75	5	32
print_tokens2	7	5,02	8	49
replace	16	2,8	19	42
schedule	3	21,16	6	47
schedule2	4	9,11	3	53
tcas	31	45,1	-	-
tot_info	18	1,87	-	-

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- **Error Correction**



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- Future Extensions

Error Correction (1)

- One Error Assumption
- Dynamic Slicing is used for Model-Based Error Localization
- Simple Ranking is used for Model-Based Error Localization

Design	% of Errors Corrected	# of Mutations to correct Error	Processing time, s (1000 inputs)
print_tokens	$2/7=28,57$	1954,5	330
print_tokens2	$7/10=70,0$	2124,14	502
replace	$16/32=50,0$	1635,88	342
schedule	$3/9=33,33$	564	130
schedule2	$4/10=40,0$	648,25	184
toas	$31/41=75,61$	304	50
tot_info	$18/32=56,25$	1338,5	312

Error Correction (2)

- In [12] Mutation-Based Error Correction experiments on the same Siemens designs is presented

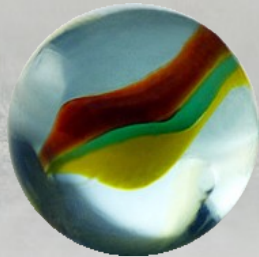
Design	# of Errors Corrected in [12] (A)	# Errors Corrected in FORENSIC (B)	Total Designs (C)	$\frac{B-A}{C} \cdot 100 \%$
print_tokens	0	2	7	28,57
print_tokens2	0	7	10	70,0
replace	3	16	32	40,63
schedule	0	3	9	33,33
schedule2	1	4	10	30,0
tcas	9	31	43	53,66
tot_info	8	18	23	43,48

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- **Contributions and Novel Approaches**
- Experimental Results
- Future Extensions

Contributions and Novel Approaches

- Introduced two novel rankings for model-based error localization – Consecutive and Simple rankings.
- Usage of the compiler functionality for the model simulation task, the specification animation and the implementation of the dynamic slicing algorithm.
- Usage of the number of processed mutations instead of a number of statements required to reach the error as a measure of the error localization accuracy.

Contributions and Novel Approaches

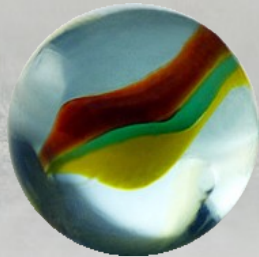
- Implementation of the model-based error localization, mutation-based error correction and dynamic slicing algorithms in the corresponding tool.
- Definition of the specification format for simulation-based verification.
- Finally, based on the development and evaluation performed during writing the dissertation, can be claimed that the FORENSIC tool can be utilized in a useful way in the process of software development.

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- **Experimental Results**
- Future Extensions

Experimental Results (1)

- *Simple* ranking for the model-based error localization is more stable than other rankings
- *Ochiai* ranking decreases the number of required mutations compared to the worst case *Ample* ranking by 10%
- *Consecutive* ranking that is simple ranking plus some secondary ranking, for example *Ochiai*, results the best results, 1.25% better than *Ochiai* ranking.

Experimental Results (2)

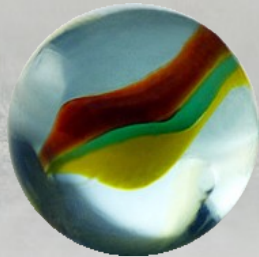
- For tcas design the dynamic slicing algorithm will reduce the number of required mutations for correction by 45,1% on average, for schedule – by 21,16%, for schedule2 by 9,11%, and for print_tokens by 7.19%, but less for replace, tot_info and print_tokens2 designs.
- Mutation-based error correction algorithm can suggest corrections for significantly more designs than the approach presented in [12], 70% additionally corrected errors for *print_tokens2* design, 54% additionally corrected for *tcas* design.

Outline



Introduction

- Problem Formulation
- Requirements for the tool
- Contributions



Model-Based Error Localization Algorithm

- The Model
- Animation and Simulation
- Algorithm
- Rankings
- Dynamic Slicing Algorithm
- Related Work



Mutation-Based Error Correction Algorithm

- The Model
- Error Classes
- Algorithm
- Properties



Experimental Results

- Siemens Benchmarks
- Error Localization
- Dynamic Slicing
- Error Correction



Conclusion

- Contributions and Novel Approaches
- Experimental Results
- **Future Extensions**

Future Extensions

- In the future, the FORENSIC tool can be extended with C++/SystemC support and the algorithms presented in the dissertation can be carried over for C++/SystemC error localization and error correction.
- It is possible to implement an input generator for the tool while the reference outputs can be achieved by simulating the specification with the generated inputs.

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Thank You

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Ph.D. Dissertation Defense



Tallinn, 2016