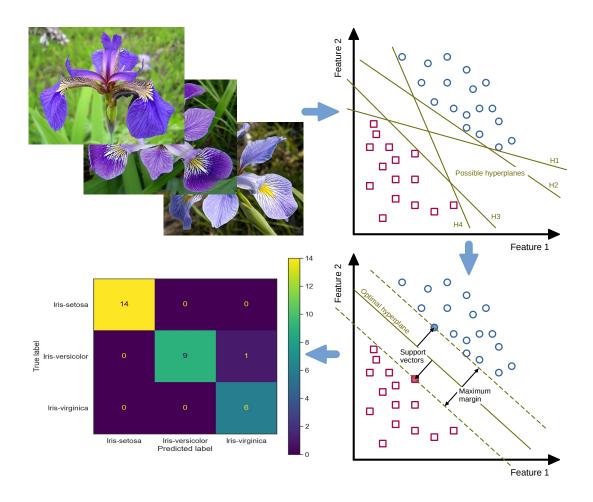
# Performing the Analytic Hierarchy Process with survey data from *SoSci Survey* and R-package *ahpsurvey*

Björn Kasper (kasper.bjoern@bgetem.de) $^1$  and Henriette John (h.john@ioer.de) $^2$ 

January 3, 2023; version 0.2 (pre-release)



This is a placeholder for the abstract that needs to be added later.

 $<sup>^1</sup>Berufsgenossenschaft\ Energie\ Textil\ Elektro\ Medienerzeugnisse$ 

<sup>&</sup>lt;sup>2</sup>Leibniz Institute of Ecological Urban and Regional Development

#### **Contents**

1	Intr	oductio	o <mark>n</mark>	2			
2	Glol	Global settings and dependencies					
	2.1	Install	missing packages if not present yet	2			
	2.2		package data.table				
	2.3		package ggplot2				
	2.4		packages knitr and IRdisplay				
	2.5	-	package tidyr				
	2.6						
	2.7	Use pipes for better coding					
	2.8		package forcats				
	2.9	Load	package ahpsurvey	4			
3		•	w CSV input data from ScoSciSurvey for analytical hierarchy process (AHP)	<b>4</b>			
3	3.1	and the first transfer of the first transfer					
	3.2	3.2 Functions to prepare the survey data for further analysis					
		3.2.1	Function to read the survey data from CSV files to dataframe objects				
		3.2.2	Function to format dataframes as a markdown tables				
		3.2.3	Function to prepare the data and store it in new dataframes				
		3.2.4	Function to write resulting dataframes to CSV files				
	3.3	·					
	3.4	F					
		3.4.1	Criteria (main criteria)				
		3.4.2	Environmental sub-criteria	9			
		3.4.3	Social sub-criteria	9			
		3.4.4	Economic sub-criteria	9			
4	Sun	nmary a	and outlook	10			
5	Ref	erences		10			

#### 1 Introduction

Why we use a Jupyter notebook to to publish the R program examples:

Jupyter is a new **open source** alternative to the proprietary numerical software Mathematica from **Wolfram Research** that is well on the way to become a **standard for exchanging research results** (Somers 2018; Romer 2018).

Originally Jupyter was intended as an IDE for the programming languages **Julia** and **Python**. Besides that it is also possible to install other interpreter kernels, such as the **IRkernel** for R. This can be interesting if the IDE **RStudio Desktop** is not available on the target platform used. For example, it is very difficult to install RStudio on the ARM-based embedded computer **Raspberry Pi** due to many technical dependencies. In contrast, using the R kernel in JupyterLab on the Raspberry Pi works very well and performant.

#### 2 Global settings and dependencies

#### 2.1 Install missing packages if not present yet

**Attention:** For some R packages several dependencies have to be installed first with apt install ckage name>.

Dependencies for package ahpsurvey:

- R package randomNames (it depends on R 4.0, refer to https://cran.r-project.org/web/packages/randomNames/index.html)

Drawback for **Raspbian buster**: the dependency randomNames is not available for R v3.5.2 as it depends on R (4.0). Upgrading R in Raspbian following the instruction on https://cran.rstudio.com/bin/linux/debian/#debian-buster-stable does not work so far ...

[1] "All required packages are installed."

#### 2.2 Load package data.table

The package data.table is used to read and manipulate tables (data.table inherits from data.frame). Install and load it:

```
[2]: library(data.table)
```

#### 2.3 Load package ggplot2

The package ggplot2 is used to plot diagrams. Install and load it:

```
[3]: library(ggplot2)
```

#### 2.4 Load packages knitr and IRdisplay

The kable() function from the package knitr is used to output dataframes as a markdown tables.

The display\_markdown() function from the package IRdisplay renders the markdown table in the notebook as well as in the PDF version.

```
[4]: library(knitr) library(IRdisplay)
```

#### 2.5 Load package tidyr

The package tidyr is used to **reshape** the dataframes and provides functions like gather() or spread(). Some examples for the application can be found here: Reshaping Your Data with tidyrReshaping Your Data with tidyr.

Install and load it:

```
[5]: library(tidyr)
```

#### 2.6 Load package dplyr

The package dplyr is necessary to manipulate dataframes using functions like select(), mutate() and left join(). Install and load it:

Hint: Setting the parameter warn.conflicts=FALSE when calling the library() function silences annoying messages about masked functions.

```
[6]: library(dplyr, warn.conflicts=FALSE)
```

#### 2.7 Use pipes for better coding

**HINT:** The pipe functionality is already available by loading the library tidyr - so you don't have to load it explicitly.

What pipes like %>% are and how to use them is described here: https://statistik-dresden.de/archives/15679.

Before using pipes in R, you have to install and load the package magrittr:

```
[7]: library(magrittr, warn.conflicts=FALSE)
```

#### 2.8 Load package forcats

The fct\_inorder() function from the package forcats is used to reorder the discrete levels of diagram axes according to the intended order of attributes.

```
[134]: library(forcats)
```

#### 2.9 Load package ahpsurvey

The package ahpsurvey contains all the necessary mathematical and statistical methods to run the analytical hierarchy process (AHP).

```
[8]: library(ahpsurvey)
```

## 3 Prepare raw CSV input data from ScoSciSurvey for analytical hierarchy process (AHP)

#### 3.1 Set globally used input and output folders for preparing raw CSV data

```
[4]: str_input_path_prep = "./input_data_from_survey" str_output_path_prep = "./output_data_manipulated"
```

#### 3.2 Functions to prepare the survey data for further analysis

The following functions are used to read the survey data from the CSV files and prepare the data structure for further analysis with the R package ahpsurvey.

#### 3.2.1 Function to read the survey data from CSV files to dataframe objects

Define a function for reading in a CSV file to 4 different dateframes by selecting different columns.

```
[5]: func_readCSVdata_to_dataframes <- function(str_CSVfilename) {
```

```
# criteria (main criteria)
  df_mySurvey_1 <- fread(</pre>
    file = str_CSVfilename, encoding = "UTF-8",
    header = TRUE, sep = "\t", quote = "\"",
    # dec = ".", row.var = "CASE",
    select = c("CASE", "AK01", "AK02", "AK03",
               "RK01_01", "RK02_01", "RK03_01", "RK04_01", "RK05_01", "RK06_01")
    )
  # environmental sub-criteria
  df_mySurvey_2 <- fread(</pre>
    file = str_CSVfilename, encoding = "UTF-8",
    header = TRUE, sep = "\t", quote = "\"",
    # dec = ".", row.names = "CASE",
    select = c("CASE", "AU01", "AU02", "AU03",
               "RU01_01", "RU02_01", "RU03_01", "RU04_01", "RU05_01", "RU06_01")
    )
  # social sub-criteria
  df_mySurvey_3 <- fread(</pre>
    file = str_CSVfilename, encoding = "UTF-8",
    header = TRUE, sep = "\t", quote = "\"",
    # dec = ".", row.names = "CASE",
    select = c("CASE", "ASO1", "ASO2", "ASO3",
               "RS01_01", "RS02_01", "RS03_01", "RS04_01", "RS05_01", "RS06_01")
    )
  # economic sub-criteria
  df_mySurvey_4 <- fread(</pre>
    file = str_CSVfilename, encoding = "UTF-8",
    header = TRUE, sep = "\t", quote = "\"",
    # dec = ".", row.names = "CASE",
    select = c("CASE", "AW01", "AW02", "AW03",
               "RW01_01", "RW02_01", "RW03_01", "RW04_01", "RW05_01", "RW06_01")
    )
  output <- list(df mySurvey 1, df mySurvey 2, df mySurvey 3, df mySurvey 4)
 return(output)
}
```

#### 3.2.2 Function to format dataframes as a markdown tables

Following function formats given dataframes as markdown tables using the kable() function from the knitr package.

The display\_markdown() function from the package IRdisplay renders the markdown table in the notebook as well as in the PDF version.

```
[6]: func_render_md_tables <- function(df_table, str_table_header) {
    # format the dataframe as a markdown table using the 'kable()' function from
    the 'knitr' package
    table_out <- kable(
        df_table,
        format = "markdown",
        # digits = 2,
        caption = str_table_header)</pre>
```

```
display_markdown(as.character(table_out))
}
```

#### 3.2.3 Function to prepare the data and store it in new dataframes

```
[7]: func_scrambleData <- function(df_inputData, vec_colnames_search_1,__
      →vec_colnames_search_2, vec_colnames_out) {
       # Generate new dataframe ...
       df_outputData <- data.frame(matrix(ncol = 3, nrow = 0))</pre>
       \# ... and name the columns
       colnames(df_outputData) <- vec_colnames_out</pre>
       # Generate 1. column
       for ( row_idx in 1:nrow(df_inputData) ) {
         # filter column names by vector element
         if (df_inputData[row_idx, colnames(df_inputData) %in% vec_colnames_search_1[1],_
      ⇔with=FALSE] == 1) {
           int_tmp_val <- as.integer(df_inputData[row_idx, colnames(df_inputData) %in%_
      →vec_colnames_search_2[1], with=FALSE])
           int_tmp_val <- int_tmp_val * -1 - 1</pre>
           df_outputData[row_idx, vec_colnames_out[1]] <- int_tmp_val</pre>
         }
         else if (df_inputData[row_idx, colnames(df_inputData) %in%_
      →vec_colnames_search_1[1], with=FALSE] == -1) {
          df_outputData[row_idx, vec_colnames_out[1]] <- 1</pre>
         else if (df_inputData[row_idx, colnames(df_inputData) %in%_
      ovec_colnames_search_1[1], with=FALSE] == 2) {
           int_tmp_val <- as.integer(df_inputData[row_idx, colnames(df_inputData) %in%u
      ⇔vec_colnames_search_2[2], with=FALSE])
           int_tmp_val <- int_tmp_val + 1</pre>
           df_outputData[row_idx, vec_colnames_out[1]] <- int_tmp_val</pre>
        }
       }
       # Generate 2. column
       for ( row_idx in 1:nrow(df_inputData) ) {
         # filter column names by vector element
         if (df_inputData[row_idx, colnames(df_inputData) %in% vec_colnames_search_1[2],_
      ⇔with=FALSE] == 1) {
           int_tmp_val <- as.integer(df_inputData[row_idx, colnames(df_inputData) %in%u

→vec_colnames_search_2[3], with=FALSE])
           int_tmp_val <- int_tmp_val * -1 - 1</pre>
           df_outputData[row_idx, vec_colnames_out[2]] <- int_tmp_val</pre>
         else if (df_inputData[row_idx, colnames(df_inputData) %in%_

    vec_colnames_search_1[2], with=FALSE] == -1) {
          df_outputData[row_idx, vec_colnames_out[2]] <- 1</pre>
         else if (df_inputData[row_idx, colnames(df_inputData) %in%_
      int_tmp_val <- as.integer(df_inputData[row_idx, colnames(df_inputData) %in%_

yec_colnames_search_2[4], with=FALSE])
```

```
int_tmp_val <- int_tmp_val + 1</pre>
    df_outputData[row_idx, vec_colnames_out[2]] <- int_tmp_val</pre>
  }
}
# Generate 3. column
for ( row_idx in 1:nrow(df_inputData) ) {
  # filter column names by vector element
  if (df_inputData[row_idx, colnames(df_inputData) %in% vec_colnames_search_1[3],__
⇔with=FALSE] == 1) {
    int_tmp_val <- as.integer(df_inputData[row_idx, colnames(df_inputData) %in%_
→vec_colnames_search_2[5], with=FALSE])
    int_tmp_val <- int_tmp_val * -1 - 1</pre>
    df_outputData[row_idx, vec_colnames_out[3]] <- int_tmp_val</pre>
  }
  else if (df_inputData[row_idx, colnames(df_inputData) %in%_
→vec_colnames_search_1[3], with=FALSE] == -1) {
    df_outputData[row_idx, vec_colnames_out[3]] <- 1</pre>
  else if (df_inputData[row_idx, colnames(df_inputData) %in%_
ovec_colnames_search_1[3], with=FALSE] == 2) {
    int tmp val <- as.integer(df inputData[row idx, colnames(df inputData) %in%||
⇔vec_colnames_search_2[6], with=FALSE])
    int_tmp_val <- int_tmp_val + 1</pre>
    df_outputData[row_idx, vec_colnames_out[3]] <- int_tmp_val</pre>
}
# return scrambled dataframe
return(df_outputData)
```

#### 3.2.4 Function to write resulting dataframes to CSV files

```
[8]: func_writeDataframe_to_CSVfile <- function(str_path, str_CSVfilename, df_dataframe, u
      str_filenameExtension) {
      # Split file name on second underscore, found here:
      # https://stackoverflow.com/questions/32398427/
      ←r-split-a-character-string-on-the-second-underscore/32398489#32398489
      list_str_split <- strsplit(sub('(^[^_]+_[^_]+)_(.*)$', '\\1 \\2',_
      str_CSVfilename), ' ')
      # extend the file name prefix and glue together with old suffix
       str_CSVfilename_extended <- paste(list_str_split[[1]][1], str_filenameExtension,_
      ⇔list_str_split[[1]][2], sep="_")
      # extend file name by path
       str_CSVfilename_extended <- paste(str_path, str_CSVfilename_extended, sep="/")</pre>
      write.table(df_dataframe, file = str_CSVfilename_extended,
                   fileEncoding = "UTF-8", row.names = FALSE,
                   col.names = TRUE, sep = "\t", quote = TRUE)
     }
```

### 3.3 Create dataframe (table) handling the file names of input CSV data (raw data from survey)

Table 1: File table for handling the file names of input CSV data (raw data from survey)

file_idx	keys	filenames	descriptions
1	all	rdata_all_AHP_edible_Cities_2022-03-18_09-53.csv	all target groups together
2	CA	rdata_CA_AHP_edible_Cities_2022-03-18_10-28.csv	City Administrations
3	NGO	rdata_NGO_AHP_edible_Cities_2022-03-18 10-40.csv	Non-Governmental Organisations
4	PE		Practitioners and Experts

#### 3.4 Prepare the data and store it in new CSV files for each criterion

#### 3.4.1 Criteria (main criteria)

Walk over all input CSV files, select necessary columns, filter cells by given algorithm, and write the results to output CSV files:

```
}
```

#### 3.4.2 Environmental sub-criteria

Walk over all input CSV files, select necessary columns, filter cells by given algorithm, and write the results to output CSV files:

#### 3.4.3 Social sub-criteria

Walk over all input CSV files, select necessary columns, filter cells by given algorithm, and write the results to output CSV files:

```
[12]: vec_colnames_search_1 <- c('ASO1', 'ASO2', 'ASO3')
                     vec_colnames_search_2 <- c('RS01_01', 'RS02_01', 'RS03_01', 'RS04_01', 'RS05_01', 'RS05_
                          vec_colnames_out <- c('KEdu_Comm', 'KEdu_Part', 'Comm_Part')</pre>
                     for ( row_idx in 1:nrow(df_csvInputFiles) ) {
                            # create list of dataframes from current input CSV file
                            str_filename <- paste(str_input_path_prep, df_csvInputFiles[row_idx, filenames],_</pre>
                          ⇔sep="/")
                           list_dataframes <- func_readCSVdata_to_dataframes(str_filename)</pre>
                           # scramble the dataframes
                           df_scrambledData <- func_scrambleData(list_dataframes[[3]],__</pre>

    vec_colnames_search_1, vec_colnames_search_2, vec_colnames_out)

                           # write scrambled dataframes to output CSV file
                           func_writeDataframe_to_CSVfile(str_output_path_prep, df_csvInputFiles[row_idx,__
                          ⇔filenames], df scrambledData, "soc")
                     }
```

#### 3.4.4 Economic sub-criteria

Walk over all input CSV files, select necessary columns, filter cells by given algorithm, and write the results to output CSV files:

#### 4 Summary and outlook

#### **5** References

#### Online references

Romer, Paul (Apr. 13, 2018). Jupyter, Mathematica, and the Future of the Research Paper. English. URL: https://paulromer.net/jupyter-mathematica-and-the-future-of-the-research-paper/ (visited on 09/08/2022) (cit. on p. 2).

Somers, James (Apr. 5, 2018). The Scientific Paper Is Obsolete. English. The Atlantic. URL: https://www.theatlantic.com/science/archive/2018/04/the-scientific-paper-is-obsolete/556676/ (visited on 09/08/2022) (cit. on p. 2).